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Tailoring lipid management interventions to reduce inequalities in cardiovascular risks and improve outcomes in deprived communities: intervention development protocol

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3 **Tailoring lipid management interventions to reduce inequalities in cardiovascular risks and**
4 **improve outcomes in deprived communities: intervention development protocol**
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ABSTRACT

Introduction

Hyperlipidaemia contributes a significant proportion of modifiable cardiovascular disease (CVD) risk, a condition that disproportionately affects disadvantaged socioeconomic communities, with death rates in the most deprived areas being four times higher than those in the least deprived. With the national CVD Prevention programme being delivered to minimise risk factors, no evidence is available on what has been implemented in primary care for deprived populations. This study describes the protocol for the development of a tailored intervention aiming to optimise lipid management in primary care settings to help reduce inequalities in CVD risks and improve outcomes in deprived communities.

Methods and analysis

A mixed methods approach will be employed consisting of four workpackages: 1) rapid review and logic model; 2) assessment of characteristics of lipid management; 3) interviews with health professionals; and 4) intervention development. A systematic search and narrative synthesis will be undertaken to identify evidence-based interventions and targeted outcomes in deprived areas. Practice level data will be assessed to establish the profile of lipid management, compared with the regional and national levels. Staff involved in the organisation and delivery of routine lipid management to deprived populations will be interviewed to understand the implementation and delivery of current lipid management and associated challenges. The prototype intervention will be informed by the evidence generated from workpackages 1-3, which will be reviewed and assessed using the nominal group technique to reach consensus. Training and skills development materials will also be developed as needed.

Ethics and dissemination

Ethics approval has been obtained from the Faculty of Medical Sciences Research Ethics Committee at Newcastle University, UK. Findings will be disseminated to the participating sites, participants, commissioners, and in peer-reviewed journals and academic conferences.

Strengths and limitations of this study

- This study will develop a tailored lipid management intervention for deprived populations to help reduce health inequalities, using multiple methods.
- Multiple data sources will be used to assess characteristics of lipid management in Deep End practices.
- Primary care staff needs and challenges in delivering current lipid management and resources related to implementation will be identified.
- Some limitations to the study design include exclusion of non-English studies, publication bias, quality of data and selection bias in the rapid evidence review.

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INTRODUCTION

Hyperlipidaemia contributes a significant proportion of modifiable cardiovascular disease (CVD) risk, which is the leading cause of mortality and morbidity in England and Europe ^{1,2}, accounting for a third of deaths in the UK. Hyperlipidaemia, a high level of cholesterol or triglycerides in the blood, can be inherited, is often found in people who are overweight, have alcohol abuse or have an unhealthy diet ³. Elevated levels of blood lipids represent a major risk factor for the development of coronary heart disease (CHD) and other cerebrovascular diseases including stroke, transient ischaemic attack (TIA), and peripheral arterial disease. People with a history of these events are also at increased risk of experiencing subsequent CVDs. Management of CVDs also places a significant economic burden on the National Health Service (NHS), with an estimated cost of £7.4 billion per annum ⁴.

Both national and international guidelines recommend the use of statins in people at risk of CVD ^{1,5}. Their use aims to reduce the synthesis of cholesterol, but evidence suggests that there is an underuse of lipid-lowering drugs among eligible patients ^{6,7}. CVD is also a condition that is strongly associated with health inequalities and disproportionately affects disadvantaged socioeconomic communities. People in disadvantaged socioeconomic groups experience a higher prevalence of CVD events but poorer outcomes and premature mortality, leading to the fact that people in the most deprived areas in England are four times likely to die prematurely than those in the least deprived ⁸. In addition, those living in socioeconomically disadvantaged neighbourhoods are found to have poor engagement with preventive health services, even though they are likely to benefit from screening and early treatment ⁹. This may lead to an exacerbation of existing health inequalities. NICE guidance has recognised socioeconomic status as an additional factor that contributes to CVD risk. The NHS Long Term Plan ¹⁰ has also identified CVD as a clinical priority and stressed the wider impact on health inequalities, highlighting that heart disease-related mortality is the single largest contributor to the life expectancy gap between the most and least deprived. However, it failed to establish how health inequalities could be approached or addressed within local systems.

The North East of England is consistently ranked as having the highest poverty levels and the lowest health outcomes in England ¹¹. In early 2020, local GPs, Public Health leaders and academics collaborated to form a Deep End Steering Group for the region and funding was granted from the North East and North Cumbria Integrated Care System (NENC ICS) Prevention strand to establish and co-design a Deep End network for the region. The Deep End NENC network consists of 35 practices; practices identified as Deep End are those that fall into the 10% most deprived practice populations in England. This approach to definition mirrored that used in the Scottish Deep End project ¹². These practices have between 95.7% and 57.7% of registered patients living in the most deprived 15% of

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3 indices of multiple deprivation (IMD) data zones. Due to the high rates of long term conditions,
4 unhealthy diets and physical inactivity, together with other competing priorities ¹³, people in areas of
5 deprivation are likely to face greater challenges in managing CVDs. Ongoing effects from the
6 pandemic are exacerbating these challenges and include difficulties attending review appointments
7 in person, digital poverty impeding remote review, low levels of health literacy resulting in
8 misunderstandings about the need to continue long term treatments, and closure of other support
9 services ¹⁴, potentially widening health inequalities.

15 The NHS has set up the national CVD Prevention programme ¹⁵ which aims to develop targeted
16 interventions to minimise risk factors by maximising diagnosis and treatment, accompanied by the
17 GP contract to commission a new national CVD prevention audit for primary care ¹⁶. However, no
18 evidence is available on what and how the intervention has been implemented and for what health
19 outcomes for deprived populations. There is therefore an urgent need to seek a theoretical
20 underpinning to tailor the national programme in this context, which could support the CVD element
21 of the NHS post-COVID-19 recovery plan with the region.

27 This study will examine the literature and practice-level data and undertake engagement with staff
28 who provide primary care for deprived populations to define the components and mechanisms
29 through which lipid management can be optimised to meet the identified needs. The study aims to
30 1) synthesise the evidence on interventions for deprived populations with CVDs or those with high
31 risks and understand the outcomes associated with these interventions, 2) establish the
32 characteristics of current lipid management in primary care settings in deprived areas and identify
33 clinical gaps and needs, 3) investigate the implementation and delivery of current interventions for
34 patients with CVDs and those with high risks, and 4) tailor and optimise the national prevention
35 programme to suit context and needs of deprived communities.

44 **METHODS AND ANALYSIS**

46 **Study design**

48 A mixed methods approach will be employed to inform the development of the intervention
49 comprising a rapid review, an assessment of lipid management profile and qualitative interviews.
50 Four work packages (WPs) are proposed (Figure 1).

53 *[insert Figure 1]*

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3 A project advisory group consisting of 6-8 members will be established to involve key opinion leaders
4 across core fields, who will advise at each project stage, review intervention components for the
5 consensus process and help disseminate the study outputs. Members will recruit from the Deep End
6 network, ICS Prevention Board, Academic Health Science Network (AHSN) NENC lipid steering group,
7 regional professional leads for lipid management, public members and academics with methodology
8 expertise. This group will meet quarterly with the research team to oversee the execution of the
9 study and provide advice and assistance.
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15 **Patient and public involvement**

16 The design of this study consulted the Deep End Steering group, which helped to shape the research
17 focus and question. There was no direct involvement of patients. However, patients' experiences are
18 central to the research question and outcomes, which has been recognised as an urgent agenda to
19 aim to develop a patient and public involvement strategy. It will strengthen the conduct of this study
20 and its dissemination.
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26 **WP1: Rapid review and logic model**

27 WP 1 will be a rapid review and synthesis of current evidence, aiming to identify evidence-based
28 interventions for lipid management in deprived areas and targeted outcomes. The review will be
29 conducted following Cochrane guidance on rapid reviews¹⁷. A logic model will be developed,
30 informed by existing literature to describe how lipid management works in theory to benefit services
31 and patients.
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37 **Type of studies**

38 Empirical studies (i.e. original data collection) describing the setting, problem addressed, resource
39 requirements, aim, intervention components, provider, method of delivery and objective and
40 subjective outcomes will be included if conducted in an Organisation for Economic Co-operation and
41 Development (OECD) country¹⁸ (to ensure a degree of commonality in health system and
42 socioeconomic and demographic context), published in peer-reviewed scientific journals, within the
43 last 10 years (to mirror the NHS long term plan) and in the English language.
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50 **Type of participants**

51 Studies that focus on people with disadvantaged socioeconomic status (education, income,
52 occupation, social class, deprivation, poverty or an area-based proxy for deprivation derived from
53 place of residence) will be included. Adults with CVD including angina, previous myocardial infarction
54 (MI), revascularisation, stroke or TIA or symptomatic peripheral arterial disease, and those who do
55 not have established CVD but are identified as having a high risk of developing CVDs¹ considering
56 age, ethnicity, socioeconomic status, BMI, history of taking antihypertensive or lipid modification
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3 therapy, QRISK \geq 10%, diabetes, nephropathy, familial hypercholesterolaemia or other inherited
4 disorder of lipid metabolism, and other underlying medical conditions or treatment including people
5 treated for HIV, with serious mental health problems, taking medicines that can cause dyslipidaemia
6 or with autoimmune disorders.
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10 Type of interventions

11 Multifaceted interventions delivered to deprived populations that aim to optimise care by
12 maximising diagnosis and/or treatment to minimise individual risk factors will be considered.
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16 Type of outcome measures

17 Studies with individual, area-based or both types of measures of socioeconomic deprivation will be
18 included. This may be measured according to several characteristics including income, employment,
19 education, disability, crime, housing and services and living environment deprivation. Because there
20 is no universal recommendation for core outcome sets in studies on CVD prevention¹⁹⁻²¹, studies will
21 be eligible for inclusion regardless of outcomes measured or reported for health outcomes, this may
22 include vascular-related outcomes, cognitive and functional outcomes, lifestyle, medical risk factors,
23 cardioprotective medications and patient-reported outcome measures. Any measures of
24 professionals', patients' and/or families' knowledge, attitudes or satisfaction will also be included.
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32 Study identification

33 Cochrane CENTRAL, MEDLINE, PsycInfo, CINAHL will be searched for eligible studies. Detailed search
34 strategies will be developed for each database. A preliminary search strategy developed for
35 MEDLINE is designed by YF and validated by an information specialist (online supplementary
36 material 1).
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41 Study selection

42 Identified citations will be exported to Endnote X9²² for deduplication and screening. A random
43 selection (10%) of study titles and abstracts will be screened independently by another researcher.
44 Full text will be retrieved where citations appeared to meet the eligibility criteria or where a decision
45 to exclude will not be made on the information provided. Any discrepancies will be resolved by
46 discussion with a third researcher.
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52 Data extraction

53 Data will be extracted on author's first name, publication date, location (country in which the study
54 was undertaken), study design, sample size, intervention details, control/comparison groups (if any),
55 outcome measures and results, using a data extraction sheet that will be piloted on two retrieved
56 study reports. Accuracy and consistency will be monitored through random double-extraction of
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3 10% included studies by an independent researcher. Any discrepancies will be resolved by discussion
4 with a third researcher. Where a study appears to have multiple citations, original authors will be
5 contacted for clarification. All information from multiple citations will be used if no replies received.
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8 9 **Quality assessment**

10 Quality appraisal of included studies will be performed using standardised tools adapted for
11 purpose. Appropriate Critical Appraisal Skills Programme (CASP) tool will be used according to the
12 study design, a random sample (10%) will be independently assessed by another researcher. Any
13 discrepancies will be resolved by discussion with a third researcher.
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17 18 **Data synthesis**

19 A narrative synthesis will be undertaken following Popay et al's²³ approach to conducting synthesis
20 systematically and transparently. It will focus on the intervention components, effects of the
21 interventions and mechanisms leading to the outcomes. Studies, interventions and outcomes will be
22 examined and grouped according to the aim and components of the interventions. The variation on
23 different characteristics of health systems will be taken into account when interpreting the
24 intervention across OECD countries. A logic model will be produced to present context, intervention
25 components and outcomes. Possible unintended adverse outcomes will also be provided.
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32 33 **WP2: Assessment of characteristics of lipid management**

34 WP2 will be a quantitative assessment of characteristics of lipid management in Deep End practices
35 using publicly accessible datasets and anonymised data requested from the North East
36 Commissioning Support Unit (NECSU).
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40 41 **Data sources**

42 The primary data source for this study will be the GP Practice Profiles and CVD Profiles from Public
43 Health Profiles²⁴ via Fingertips, a publicly accessible web tool containing national general practice
44 profiles generated for all Quality and Outcomes Framework (QOF)²⁵ 2019/20 with a list size of at
45 least 750 patients. Available practice-level data include local demography, QOF domains and patient
46 satisfaction. Fingertips also provides access to CVD profiles for each clinical commissioning group
47 (CCG) that include data on mortality, hospital admissions, procedures and disease management.
48 Other data sources used include the QOF, OpenPrescribing²⁶ and data requested from the NECSU via
49 electronic Prescribing Analysis and Costs (ePACT2)²⁷ and Secondary Uses Services (SUS)²⁸ data (Table
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Table 1 Data sources and variables

| Data source | Description | Level of data available | Variables and variable description |
|---------------------------------|--|---|--|
| GP Practice Profiles | Date reported by GPs to the NHS that refers to all patients in a practice | <ul style="list-style-type: none"> Individual practice | <ul style="list-style-type: none"> Practice size Mean practice age Deprivation score Age groups Percentage of patients positive experiences as “good” Percentage of practice access rated by patients as “good” Percentage with a long term condition Education status Working status Life expectancy by sex |
| Cardiovascular disease profiles | Overview of data on CVD and CVD related conditions of heart disease and stroke, including data on mortality, hospital | <ul style="list-style-type: none"> Individual practice | <ul style="list-style-type: none"> Estimated smoking prevalence Record of offer of support and treatment for smoking Patients on the CHD register with a record that aspirin, alternative anti-platelet therapy (APT), or an anticoagulant (ACT) is taken Percentage of patients with heart failure due to left ventricular dysfunction (LVD) who are treated with ACE inhibitor or angiotensin receptor blocker (ARB) Percentage of patients with a stroke who have a record that APT or an ACT is taken Percentage of patients with atrial fibrillation (if CHADS2DS2-VASc ≥ 2) treated with ACT |
| QOF | An indication of the overall achievement of a practice through a points system, concerning clinical, public health, public health – additional services, and quality improvement | <ul style="list-style-type: none"> Individual practice | <ul style="list-style-type: none"> Total on the atrial fibrillation (AF) register, prevalence Total on the CVD-primary prevention (CVD-PP) register, prevalence Total on the CHD register, prevalence Total on the heart failure (HF) register, prevalence Total on the left ventricular systolic dysfunction (LVSD) register, prevalence |

| | | | |
|------------------|--|--|---|
| | | | <ul style="list-style-type: none"> • Total on the hypertension (HYP) register, prevalence • Total on the peripheral arterial disease (PAD) register, prevalence • Total on the stroke and transient ischaemic attack (STIA) register, prevalence • QOF score |
| OpenPrescribing | Imports national prescribing data published by NHS Business Services Authority | <ul style="list-style-type: none"> • Individual practice • CCG level | <ul style="list-style-type: none"> • Total statin • Total low and medium intensity statin |
| ePACT2 | An online business intelligence tool to view analyse and present prescribing data. The data was aggregated at the CCG level monthly. | <ul style="list-style-type: none"> • Individual practice | <ul style="list-style-type: none"> • Total taking atorvastatin • Total taking fluvastatin • Total taking pravastatin • Total taking rosuvastatin • Total taking simvastatin • Total taking ezetimibe • Total taking simvastatin and ezetimibe • Statins (BNF 2.12 sub-set) ADQ/STAR-PU (Average Daily Quantity/Specific Therapeutic group Age-sex Related Prescribing Unit) |
| SUS, NHS Digital | It provides right time access to A&E. aggregate level for benchmarking and trend analysis. | <ul style="list-style-type: none"> • Individual practice | <ul style="list-style-type: none"> • A&E Attendances where first or second A&E Emergency Diagnosis code is cardiac condition |

Study population

The study population are patients aged 16 and above who have been diagnosed with any form of CVDs recorded in Office for Health Improvement and Disparities database data from 2019 to 2020, which is the study period of interest. The dataset is aggregated to the GP practice level in which variables are summarised if they are at the patient level. The data linkage will be performed by using the unique GP practice code.

Data analysis

Descriptive analysis will be used to provide a quick and low cost approach to describe characteristics of lipid management and give descriptive statistics or investigate relationships between factors. Continuous variables will be summarised using means and standard deviations or medians and interquartile ranges, while percentages will be used to summarise binary variables. Statistics will be presented at practice, clinical commissioning group (CCG) and national (England) level. Comparisons

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3 will be made between practice and CCG (which practices belong) level. The t-test (or Mann-Whitney
4 U test) will be used to compare continuous variables and the χ^2 test (or Fisher's exact test) will be
5 used for similar comparisons of categorical variables. Confidence intervals for differences in means,
6 medians or percentages will be calculated. All significance tests will be performed at the 5% level.
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8 Stata version 16 will be used to facilitate data analysis.
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11 **WP3: Interviews with health professionals**

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14 WP3 will be qualitative interviews with staff involved in the organisation and delivery of routine lipid
15 management in practices that are part of the Deep End Network. The aim of the interviews will be to
16 understand the implementation and delivery of current lipid management and identify their needs
17 and challenges.
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20 **Participants and recruitment**

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22 Staff involved in the organisation and delivery of routine lipid management in practices are eligible
23 to participate in this study. Pharmacists and social prescribers in general practice also have a key role
24 in supporting the management of dyslipidaemia, therefore consulting clinician and the facilitator a
25 healthcare assistant would also be invited.
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29 Study recruitment will be supported by the Deep End practice Network, who will send an email
30 containing brief study information to healthcare staff working in participating practices. Staff can
31 express their interests by responding to the email straight to the research team. A reminder will be
32 sent to those who have not responded in two weeks. Maximum variation sampling will be used to
33 ensure broad representation of staff on dimensions including job titles/roles, grade, speciality,
34 length of working, COVID-19 exposure, and demographics. Reasons given by practices for declining
35 to participate will be recorded to inform feasibility assessment to further studies.
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38 **Data collection**

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40 With participants' informed written consent, semi-structured interviews will be conducted via
41 telephone or online (i.e. Zoom) for up to 60 mins. A topic guide that has already been developed will
42 be piloted with a couple of health professionals prior to data collection and will be continuously
43 updated in line with emerging themes and participants' feedback. Data collection will end when data
44 saturation is reached indicating no new information is discovered; we anticipate approximately 20-
45 25 interviews.
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48 **Data analysis**

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50 Interviews will be digitally recorded (with consent), transcribed and data managed using NVivo 12, a
51 qualitative software programme to assist with the organisation and coding of data. Data will be
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3 analysed using Framework Analysis, which provides a systematic approach to sifting, charting, and
4 sorting material using the key themes and issues. To ensure trustworthiness and rigour of the
5 analysis, the coding framework will be developed and assured by double coding of a random sample
6 of transcripts (10%) as a validity check and exploring alternative interpretations of the data.
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10 **WP4: Intervention development**

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13 WP 4 will develop the prototype of the intervention in collaboration with the Academic Health
14 Science Network NENC which delivers the CVD Prevention programme, part of which includes a
15 national programme mandated by NHS England and NHS Improvement.
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18 Guided by the MRC Framework for developing and evaluating complex interventions²⁹, the
19 development of intervention will be informed by integrating the outcomes of the literature
20 evidence, current CVD management profile, and stakeholder engagement undertaken in WPs1-3, in
21 an iterative and progressive approach. The national programme and its key components will be
22 examined against the gaps, needs, and challenges identified to consider the wider context. The
23 prototype intervention will be designed taking account of health professionals' existing
24 commitments in these practices and challenging working environments. Training and skills
25 development materials for staff will also be developed to facilitate them in delivering the tailored
26 intervention. The logic model produced in WP1 will be refined to map key intervention processes
27 and outcomes.
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35 **Design**

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38 The prototype intervention will be reviewed and assessed by the project advisory group, guided by a
39 nominal group technique, a consensus method that allows for the generation of views and thoughts
40 from group participants whilst maintaining anonymity throughout³⁰.
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43 **Data collection**

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45 The group will be provided with details of the interventions and refined logic model, to seek further
46 comments and explore if the intervention is feasible, acceptable, and implementable in the context.
47 The APEASE criteria³¹ will be used to determine the acceptability, practicability, effectiveness,
48 affordability, side-effects, and equity aspects of the intervention. The nominal group technique will
49 involve two main sections:
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55 1. The group will be asked to provide their comments on the intervention, training materials,
56 and logic model. All comments will be collated and grouped into main themes for each
57 member to rate their top 10 priorities of the comments. Group ratings will be summated,
58 and the group's collective top 10 priorities will be presented to the group and discussed.
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- 2.
2. Each will re-rate the group's top 10 priorities and provide a weighting for their top 10 comments in the scale ranging from 1=least important to 100=most important. These weightings will be summated after the meeting, which will be used to refine the intervention and the logic model. The refined version will be sent out to each member for further comments. It is expected that this process will be repeated twice until a census is reached.

Data analysis

The initial listing of comments, clarification, and discussion of comments in section 1 will be analysed thematically, with further discussion with the research team. The scale data generated in section 2 will be averaged so that the comments can be re-ordered according to weighted ranked priority. The individual/group rankings produced in sections 1 and 2 will be compared to estimate the level of agreement between the sections and to observe the process of reaching consensus. Firstly, these comparisons will be made by calculating the percentage agreement between the sections, in terms of the comments that appear in the top ten priorities each time. Secondly, the movement in ranking between sections 1 and 2 will be estimated using Cohen's kappa statistic of chance-corrected agreement³². A kappa value of >0.40 is considered to represent a moderate level of agreement³³.

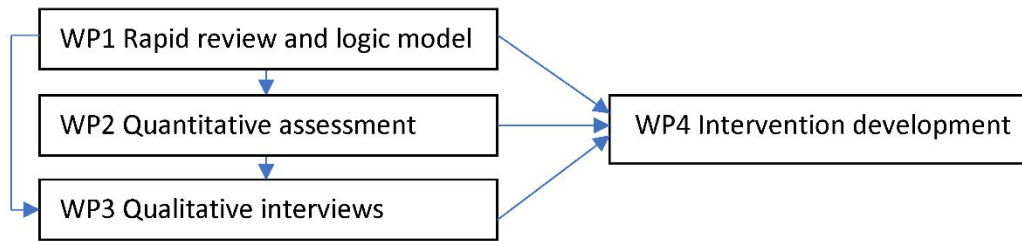
ETHICS AND DISSEMINATION

Ethics approval has been sought from the Faculty of Medical Sciences Research Ethics Committee at Newcastle University (reference no.: 2209/14251) UK, a letter of support has also been issued by the NENC Deep End Network.

Dissemination will be led by the research team and supported by the project advisory group. Reports will be produced and shared with the NENC ICS Prevention Board, Inequalities Board, Deep End network, National Institute for Health Research (NIHR) Applied Research Collaboration (ARC) NENC, and AHSN NENC. The findings will be disseminated to the participating sites, participants, commissioners, and in peer-reviewed journals and academic conferences.

FIGURES

Figure 1 Study design and related work packages



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AUTHORS' CONTRIBUTIONS

YF, JN and PW conceived the study design. YF drafted and revised the manuscript, and obtained the ethics approval. ET, SS, JN and PW independently reviewed and contributed to revising and approving the final version.

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COMPETING INTERESTS

None declared.

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Supplementary Material 1 Search strategy for MEDLINE (Ovid) (1996 to October Week 4 2021)

1. exp Cardiovascular Diseases/
2. cardio*.tw.
3. cardia*.tw.
4. heart*.tw.
5. coronary*.tw.
6. angina*.tw.
7. ventric*.tw.
8. myocard*.tw.
9. pericard*.tw.
10. isch?em*.tw.
11. emboli*.tw.
12. arrhythmi*.tw.
13. thrombo*.tw.
14. atrial fibrillat*.tw.
15. tachycardi*.tw.
16. endocardi*.tw.
17. (sick adj sinus).tw.
18. exp Stroke/

For peer review only

19. (stroke or strokes).tw.
20. cerebrovasc*.tw.
21. cerebral vascular.tw.
22. apoplexy.tw.
23. ((brain* or cerebral or lacunar) adj2 infarct*).tw.
24. exp Hypertension/
25. hypertensi*.tw.
26. peripheral arter* disease*.tw.
27. ((high or increased or elevated) adj2 blood pressure).tw.
28. exp Hyperlipidemias/
29. hyperlipid*.tw.
30. hyperlip?emia*.tw.
31. hypercholesterol*.tw.
32. hypercholester?emia*.tw.
33. hyperlipoprotein?emia*.tw.
34. hypertriglycerid?emia*.tw.
35. exp Arteriosclerosis/
36. exp Cholesterol/
37. cholesterol.tw.
38. Blood Pressure/

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3 39. 1 or 2 or 3 or 4 or 5 or 6 or 7 or 8 or 9 or 10 or 11 or 12 or 13 or 14 or 15 or 16 or 17 or 18 or 19 or 20 or 21 or 22 or 23 or 24 or 25 or 26 or 27 or 28
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6 40. exp Socioeconomic Factors/
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8 41. exp social class/
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10 42. socioeconomic*.tw.
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12 43. demographic*.tw.
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14 44. disadvantage*.tw.
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16 45. disparit*.tw.
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18 46. deprivation.tw.
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20 47. exp Health Services Accessibility/
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22 48. deprive*.tw.
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24 49. pover*.tw.
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26 50. inequalit*.tw.
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28 51. education*.tw.
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30 52. unemploy*.tw.
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32 53. employed.tw.
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34 54. employment.tw.
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36 55. income.tw.
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38 56. occupation*.tw.
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40 57. SES.tw.
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For peer review only

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- 3 58. class.tw.
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- 5 59. economic.tw.
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- 7 60. (social adj1 (class or factor or factors)).tw.
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- 9 61. 40 or 41 or 42 or 43 or 44 or 45 or 46 or 47 or 48 or 49 or 50 or 51 or 52 or 53 or 54 or 55 or 56 or 57 or 58 or 59 or 60
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- 11 62. exp General Practitioners/
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- 13 63. exp General Practice/
- 14
- 15 64. general pract*.tw.
- 16
- 17 65. exp Family Practice/
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- 19 66. family pract*.tw.
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- 21 67. family medicine/
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- 23 68. exp Family Practice/
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- 25 69. exp Physicians, Family/
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- 27 70. family phys*.tw.
- 28
- 29 71. exp Nurse Practitioners/
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- 31 72. exp Nurse Clinicians/
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- 33 73. nurse pract*.tw.
- 34
- 35 74. nurse clinic*.tw.
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- 37 75. exp Primary Health Care/
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- 39 76. primary care.tw.
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- 41 77. exp Community Health Services/
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For peer review only

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3 78. community care.tw.
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5 79. 62 or 63 or 64 or 65 or 66 or 67 or 68 or 69 or 70 or 71 or 72 or 73 or 74 or 75 or 76 or 77 or 78
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7 80. 39 and 61 and 79
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9 81. (Algeria\$ or Egypt\$ or Libya\$ or Morocco\$ or Tunisia\$ or Western Sahara\$ or Angola\$ or Benin or Botswana\$ or Burkina Faso or Burundi or Cameroon
10 or Cape Verde or Central African Republic or Chad or Comoros or Congo or Djibouti or Eritrea or Ethiopia\$ or Gabon or Gambia\$ or Ghana or Guinea or
11 Kenya\$ or Lesotho or Liberia or Madagascar\$ or Malawi or Mali or Mauritania or Mauritius or Mayotte or Mozambique\$ or Namibia\$ or Niger or Nigeria\$ or
12 Reunion or Rwanda\$ or Saint Helena or Senegal or Seychelles or Sierra Leone or Somalia or South Africa\$ or Sudan or Swaziland or Tanzania or Togo or
13 Uganda\$ or Zambia\$ or Zimbabwe\$ or China or Chinese or Hong Kong or Macao or Mongolia\$ or Taiwan\$ or Belarus or Moldova\$ or Russia\$ or Ukraine or
14 Afghanistan or Armenia\$ or Azerbaijan or Bahrain or Cyprus or Cypriot or Georgia\$ or Iran\$ or Iraq\$ or Israel\$ or Jordan\$ or Kazakhstan or Kuwait or
15 Kyrgyzstan or Lebanon\$ or Oman or Pakistan\$ or Palestine\$ or Qatar or Saudi Arabia or Syria\$ or Tajikistan or Turkmenistan or United Arab Emirates or
16 Uzbekistan or Yemen or Bangladesh\$ or Bhutan or British Indian Ocean Territory or Brunei Darussalam or Cambodia\$ or India\$ or Indonesia\$ or Lao or
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19 Macedonia or Malta or Maltese or Romania or Serbia\$ or Montenegro or Slovenia or Svalbard or Argentina\$ or Belize or Bolivia\$ or Brazil\$ or Chile or
20 Chilean or Colombia\$ or Costa Rica\$ or Cuba or Ecuador or El Salvador or French Guiana or Guatemala\$ or Guyana or Haiti or Honduras or Jamaica\$ or
21 Nicaragua\$ or Panama or Paraguay or Peru or Puerto Rico or Suriname or Uruguay or Venezuela or developing countries (or south America\$).ti,sh. [mp=title,
22 abstract, original title, name of substance word, subject heading word, floating sub-heading word, keyword heading word, organism supplementary
23 concept word, protocol supplementary concept word, rare disease supplementary concept word, unique identifier, synonyms]
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25
26
27 82. 80 not 81
28
29 83. limit 82 to (abstracts and english language and humans and yr="2011 -Current")
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PRISMA-P (Preferred Reporting Items for Systematic review and Meta-Analysis Protocols) 2015 checklist: recommended items to address in a systematic review protocol*

| Section and topic | Item No | Checklist item | Page no. |
|-----------------------------------|---------|---|---------------|
| ADMINISTRATIVE INFORMATION | | | |
| Title: | | | |
| Identification | 1a | Identify the report as a protocol of a systematic review | |
| Update | 1b | If the protocol is for an update of a previous systematic review, identify as such | |
| Registration | 2 | If registered, provide the name of the registry (such as PROSPERO) and registration number | |
| Authors: | | | |
| Contact | 3a | Provide name, institutional affiliation, e-mail address of all protocol authors; provide physical mailing address of corresponding author | |
| Contributions | 3b | Describe contributions of protocol authors and identify the guarantor of the review | |
| Amendments | 4 | If the protocol represents an amendment of a previously completed or published protocol, identify as such and list changes; otherwise, state plan for documenting important protocol amendments | |
| Support: | | | |
| Sources | 5a | Indicate sources of financial or other support for the review | |
| Sponsor | 5b | Provide name for the review funder and/or sponsor | |
| Role of sponsor or funder | 5c | Describe roles of funder(s), sponsor(s), and/or institution(s), if any, in developing the protocol | |
| INTRODUCTION | | | |
| Rationale | 6 | Describe the rationale for the review in the context of what is already known | 5-6 |
| Objectives | 7 | Provide an explicit statement of the question(s) the review will address with reference to participants, interventions, comparators, and outcomes (PICO) | 6 |
| METHODS | | | |
| Eligibility criteria | 8 | Specify the study characteristics (such as PICO, study design, setting, time frame) and report characteristics (such as years considered, language, publication status) to be used as criteria for eligibility for the review | 7-8 |
| Information sources | 9 | Describe all intended information sources (such as electronic databases, contact with study authors, trial registers or other grey literature sources) with planned dates of coverage | 8 |
| Search strategy | 10 | Present draft of search strategy to be used for at least one electronic database, including planned limits, such that it could be | Supplementary |

| | | | |
|------------------------------------|----------|--|-----|
| | repeated | | |
| Study records: | | | |
| Data management | 11a | Describe the mechanism(s) that will be used to manage records and data throughout the review | 8 |
| Selection process | 11b | State the process that will be used for selecting studies (such as two independent reviewers) through each phase of the review (that is, screening, eligibility and inclusion in meta-analysis) | 8 |
| Data collection process | 11c | Describe planned method of extracting data from reports (such as piloting forms, done independently, in duplicate), any processes for obtaining and confirming data from investigators | 8 |
| Data items | 12 | List and define all variables for which data will be sought (such as PICO items, funding sources), any pre-planned data assumptions and simplifications | 9 |
| Outcomes and prioritization | 13 | List and define all outcomes for which data will be sought, including prioritization of main and additional outcomes, with rationale | 9 |
| Risk of bias in individual studies | 14 | Describe anticipated methods for assessing risk of bias of individual studies, including whether this will be done at the outcome or study level, or both; state how this information will be used in data synthesis | 8-9 |
| Data synthesis | 15a | Describe criteria under which study data will be quantitatively synthesised | 9 |
| | 15b | If data are appropriate for quantitative synthesis, describe planned summary measures, methods of handling data and methods of combining data from studies, including any planned exploration of consistency (such as I^2 , Kendall's τ) | |
| | 15c | Describe any proposed additional analyses (such as sensitivity or subgroup analyses, meta-regression) | |
| | 15d | If quantitative synthesis is not appropriate, describe the type of summary planned | |
| Meta-bias(es) | 16 | Specify any planned assessment of meta-bias(es) (such as publication bias across studies, selective reporting within studies) | n/a |
| Confidence in cumulative evidence | 17 | Describe how the strength of the body of evidence will be assessed (such as GRADE) | n/a |

*** It is strongly recommended that this checklist be read in conjunction with the PRISMA-P Explanation and Elaboration (cite when available) for important clarification on the items. Amendments to a review protocol should be tracked and dated. The copyright for PRISMA-P (including checklist) is held by the PRISMA-P Group and is distributed under a Creative Commons Attribution Licence 4.0.**

From: Shamseer L, Moher D, Clarke M, Ghersi D, Liberati A, Petticrew M, Shekelle P, Stewart L, PRISMA-P Group. Preferred reporting items for systematic review and meta-analysis protocols (PRISMA-P) 2015: elaboration and explanation. BMJ. 2015 Jan 2;349(jan02 1):g7647.

BMJ Open

Tailoring lipid management interventions to reduce inequalities in cardiovascular disease risk management in primary care for deprived communities in Northern England: a mixed methods intervention development protocol

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| Primary Subject Heading: | General practice / Family practice |
| Secondary Subject Heading: | General practice / Family practice, Public health |
| Keywords: | Cardiology < INTERNAL MEDICINE, PRIMARY CARE, PUBLIC HEALTH |
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Manuscripts

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3 **Tailoring lipid management interventions to reduce inequalities in cardiovascular disease**
4 **risk management in primary care for deprived communities in Northern England: a mixed**
5 **methods intervention development protocol**
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53 Keywords: health inequalities; deprived populations; lipid management; mixed methods;
54 protocol
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56 Word count: 3500
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ABSTRACT

Introduction

Hyperlipidaemia contributes a significant proportion of modifiable cardiovascular disease (CVD) risk, which is a condition that disproportionately affects disadvantaged socioeconomic communities, with death rates in the most deprived areas being four times higher than those in the least deprived. With the national CVD Prevention programme being delivered to minimise risk factors, no evidence is available on what has been implemented in primary care for deprived populations. This study describes the protocol for the development of a tailored intervention aiming to optimise lipid management in primary care settings to help reduce inequalities in CVD risks and improve outcomes in deprived communities.

Methods and analysis

A mixed methods approach will be employed consisting of four workpackages: 1) rapid review and logic model; 2) assessment and comparison of CVD risk management for deprived with non-deprived populations in Northern England to England overall; 3) interviews with health professionals; 4) intervention development. A systematic search and narrative synthesis will be undertaken to identify evidence-based interventions and targeted outcomes in deprived areas. General practice level data will be assessed to establish the profile of lipid management, compared with the regional and national levels. Health professionals involved in the organisation and delivery of routine lipid management to deprived populations will be interviewed to understand the implementation and delivery of current lipid management and associated challenges. The prototype intervention will be informed by the evidence generated from workpackages 1-3, which will be reviewed and assessed using the nominal group technique to reach consensus. Training and skills development materials will also be developed as needed.

Ethics and dissemination

Ethics approval has been obtained from the Faculty of Medical Sciences Research Ethics Committee at Newcastle University, UK. Findings will be disseminated to the participating sites, participants, commissioners, and in peer-reviewed journals and academic conferences.

Strengths and limitations of this study

- This study will develop a tailored lipid management intervention for deprived populations to help reduce health inequalities, using multiple methods.
- Multiple data sources will be used to assess and compare CVD risk management for deprived with non-deprived populations in Northern England to England overall.
- Primary care staff needs and challenges in delivering current lipid management and resources related to implementation will be identified.
- Some limitations to the study design include exclusion of non-English studies, publication bias, quality of data and selection bias in the rapid evidence review.

INTRODUCTION

Hyperlipidaemia contributes a significant proportion of modifiable cardiovascular disease (CVD) risk, which is the leading cause of mortality and morbidity in England and Europe ^{1,2}, accounting for a third of deaths in the UK. Hyperlipidaemia, a high level of cholesterol or triglycerides in the blood, can be inherited, is often found in people who are overweight, have alcohol abuse or have an unhealthy diet ³. Elevated levels of blood lipids represent a major risk factor for the development of coronary heart disease (CHD) and other cerebrovascular diseases including stroke, transient ischaemic attack (TIA), and peripheral arterial disease. People with a history of these events are also at increased risk of experiencing subsequent CVDs. Management of CVDs also places a significant economic burden on the National Health Service (NHS), with an estimated cost of £7.4 billion per annum ⁴.

Both national and international guidelines recommend the use of statins in people at risk of CVD ^{1,5}. Their use aims to reduce the synthesis of cholesterol, but evidence suggests that there is an underuse of lipid-lowering drugs among eligible patients ^{6,7}. CVD is also a condition that is strongly associated with health inequalities and disproportionately affects disadvantaged socioeconomic communities. People in disadvantaged socioeconomic groups experience a higher prevalence of CVD events but poorer outcomes and premature mortality, leading to the fact that people in the most deprived areas in England are four times more likely to die prematurely than those in the least deprived ⁸. In addition, those living in socioeconomically disadvantaged neighbourhoods are found to have poor engagement with preventive health services, even though they are likely to benefit from screening and early treatment ⁹. This may lead to an exacerbation of existing health inequalities. NICE guidance has recognised socioeconomic status as an additional factor that contributes to CVD risk. The NHS Long Term Plan ¹⁰ has also identified CVD as a clinical priority and stressed the wider impact on health inequalities, highlighting that heart disease-related mortality is the single largest contributor to the life expectancy gap between the most and least deprived. However, it failed to establish how health inequalities could be approached or addressed within local systems.

The North East of England is consistently ranked as having the highest poverty levels and the lowest health outcomes in England ¹¹. Scotland has established a programme to support general practices caring for the most deprived communities (the 'Deep End' project)¹² and, in early 2020, local GPs, Public Health leaders and academics collaborated to form a Deep End Steering Group for the North East and North Cumbria (NENC). Funding was then granted from the North East and North Cumbria Integrated Care System (NENC ICS) Prevention strand to establish and co-design a Deep End network for the region. The Deep End NENC network consists of 35 practices; practices identified as Deep End

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3 are those that fall into the 10% most deprived practice populations in England. These practices have
4 between 95.7% and 57.7% of registered patients living in the most deprived 15% of indices of
5 multiple deprivation (IMD) data zones. Due to the high rates of long term conditions, unhealthy diets
6 and physical inactivity, together with other competing priorities¹³, people in areas of deprivation are
7 likely to face greater challenges in managing CVDs. Ongoing effects from the pandemic are
8 exacerbating these challenges and include difficulties attending review appointments in person,
9 digital poverty impeding remote review, low levels of health literacy resulting in misunderstandings
10 about the need to continue long term treatments, and closure of other support services¹⁴,
11 potentially widening health inequalities.

12
13 The NHS has set up the national CVD Prevention programme¹⁵ which aims to develop targeted
14 interventions to minimise risk factors by maximising diagnosis and treatment, accompanied by the
15 GP contract to commission a new national CVD prevention audit for primary care¹⁶. However, no
16 evidence is available on what and how the intervention has been implemented and for what health
17 outcomes for deprived populations. There is therefore an urgent need to seek a theoretical
18 underpinning to tailor the national programme in this context, which could support the CVD element
19 of the NHS post-COVID-19 recovery plan with the region.

20
21 This study will examine the literature and practice-level data and undertake engagement with staff
22 who provide primary care for deprived populations to define the components and mechanisms
23 through which lipid management can be optimised to meet the identified needs. The study aims to
24 1) synthesise the evidence on interventions for deprived populations with CVDs or those with high
25 risks and understand the outcomes associated with these interventions, 2) assess CVD risk
26 management for deprived populations in the NENC in comparison with non-deprived populations in
27 Northern England and with England overall in order to identify clinical gaps and needs, 3) investigate
28 the implementation and delivery of current interventions for patients with CVDs and those with high
29 risks, and 4) tailor and optimise the national prevention programme to suit the context and needs of
30 deprived communities.

31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 **METHODS AND ANALYSIS**

50 51 **Study design**

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53 A mixed methods approach will be employed to inform the development of the intervention
54 comprising a rapid review, a population based observational study and qualitative interviews. Four
55 work packages (WPs) are proposed (Figure 1).

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58 *[insert Figure 1]*
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3 A project advisory group consisting of 6-8 members will be established to involve key opinion leaders
4 across core fields, who will advise at each project stage, review intervention components for the
5 consensus process and help disseminate the study outputs. Members will recruit from the Deep End
6 network, ICS Prevention Board, Academic Health Science Network (AHSN) NENC lipid steering group,
7 regional professional leads for lipid management, public members and academics with methodology
8 expertise. This group will meet quarterly with the research team to oversee the execution of the
9 study and provide advice and assistance.
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15 **Patient and public involvement**

16 Patients' experiences are central to the research question and outcomes, although the focus of this
17 project is on clinicians' experiences. The Deep End Steering group, consisting of local GPs,
18 representatives from the North East Commissioning Support Unit (NECS), Newcastle University
19 Medical School, Health Education England North East and the Postgraduate School of Primary Care,
20 Directors of Public Health, NHS England, the Northern Cancer Alliance and local voluntary,
21 community and social enterprise organisations, was consulted in order to shape the research focus
22 and question, methods of data collection and dissemination. While the focus of the research is
23 initially on clinicians' experience, the development of a patient and public involvement strategy was
24 recognised in the consultation as an urgent requirement, and this is currently being developed. This
25 results of this study will be widely shared via the Public Involvement and Community Engagement
26 network for the National Institute for Health Research (NIHR) Applied Research Collaboration NENC.
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36 **WP1: Rapid review and logic model (months 1-3)**

37 WP 1 will be a rapid review and synthesis of current evidence, aiming to identify evidence-based
38 interventions for lipid management in deprived areas and targeted outcomes. The review will be
39 conducted following Cochrane guidance on rapid reviews¹⁷. A logic model will be developed,
40 informed by existing literature to describe how lipid management works in theory to benefit services
41 and patients.
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47 **Type of studies**

48 Empirical studies (i.e. original data collection) describing the setting, problem addressed, resource
49 requirements, aim, intervention components, provider, method of delivery and objective and
50 subjective outcomes will be included if conducted in an Organisation for Economic Co-operation and
51 Development (OECD) country¹⁸ (to ensure a degree of commonality in health system and
52 socioeconomic and demographic context), published in peer-reviewed scientific journals, within the
53 last 10 years (to mirror the NHS long term plan) and in the English language.
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Type of participants

Studies that focus on people with disadvantaged socioeconomic status (education, income, occupation, social class, deprivation, poverty or an area-based proxy for deprivation derived from place of residence) will be included. Adults with CVD including angina, previous myocardial infarction (MI), revascularisation, stroke or TIA or symptomatic peripheral arterial disease, and those who do not have established CVD but are identified as having a high risk of developing CVDs¹ considering age, ethnicity, socioeconomic status, BMI, history of taking antihypertensive or lipid modification therapy, QRISK \geq 10%, diabetes, nephropathy, familial hypercholesterolaemia or other inherited disorder of lipid metabolism, and other underlying medical conditions or treatment including people treated for HIV, with serious mental health problems, taking medicines that can cause dyslipidaemia or with autoimmune disorders.

Type of interventions

Multifaceted interventions delivered to deprived populations that aim to optimise care by maximising diagnosis and/or treatment to minimise individual risk factors will be considered.

Type of outcome measures

Studies with individual, area-based or both types of measures of socioeconomic deprivation will be included. This may be measured according to several characteristics including income, employment, education, disability, crime, housing and services and living environment deprivation. Because there is no universal recommendation for core outcome sets in studies on CVD prevention¹⁹⁻²¹, studies will be eligible for inclusion regardless of outcomes measured or reported for health outcomes, this may include vascular-related outcomes, cognitive and functional outcomes, lifestyle, medical risk factors, cardioprotective medications and patient-reported outcome measures. Any measures of professionals', patients' and/or families' knowledge, attitudes or satisfaction will also be included.

Study identification

Cochrane CENTRAL, MEDLINE, PsycInfo, CINAHL will be searched for eligible studies. Detailed search strategies will be developed for each database. A preliminary search strategy developed for MEDLINE is designed by YF and validated by an information specialist (supplementary material 1). This search strategy was piloted in MEDLINE on 16th October 2021.

Study selection

Identified citations will be exported to Endnote X9²² for deduplication and screening. A random selection (10%) of study titles and abstracts will be screened independently by another researcher. Full text will be retrieved where citations appeared to meet the eligibility criteria or where a decision

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3 to exclude will not be made on the information provided. Any discrepancies will be resolved by
4 discussion with a third researcher.
5

6 7 Data extraction

8
9 Data will be extracted on author's first name, publication date, location (country in which the study
10 was undertaken), study design, sample size, intervention details, control/comparison groups (if any),
11 outcome measures and results, using a data extraction sheet that will be piloted on two retrieved
12 study reports. Accuracy and consistency will be monitored through random double-extraction of
13 10% included studies by an independent researcher. Any discrepancies will be resolved by discussion
14 with a third researcher. Where a study appears to have multiple citations, original authors will be
15 contacted for clarification. All information from multiple citations will be used if no replies received.
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20 21 Quality assessment

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23 Quality appraisal of included studies will be performed using standardised tools adapted for
24 purpose. Appropriate Critical Appraisal Skills Programme (CASP) tool will be used according to the
25 study design, a random sample (10%) will be independently assessed by another researcher. Any
26 discrepancies will be resolved by discussion with a third researcher.
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30 31 Data synthesis

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33 A narrative synthesis will be undertaken following Popay et al's ²³ approach to conducting synthesis
34 systematically and transparently. It will focus on the intervention components, effects of the
35 interventions and mechanisms leading to the outcomes. Studies, interventions and outcomes will be
36 examined and grouped according to the aim and components of the interventions. The variation on
37 different characteristics of health systems will be taken into account when interpreting the
38 intervention across OECD countries. A logic model will be produced to present context, intervention
39 components and outcomes. Possible unintended adverse outcomes will also be provided.
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45 **WP2: Assessment and comparison of CVD risk management for deprived with non-** 46 **deprived populations to England overall (months 2-4)**

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49 WP2 will be a population based observational study comparing retrospective data from practices in
50 deprived communities in the NENC, practices in regional non-deprived communities and national
51 practice-level data obtained from publicly accessible datasets and anonymised data requested from
52 the NECS that securely house primary and secondary care datasets.
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56 57 Data sources

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59 The primary data source for this study will be the GP Practice Profiles ²⁴ via Fingertips, a publicly
60 accessible web tool containing national general practice profiles generated for all Quality and

Outcomes Framework (QOF)²⁵ 2019/20 with a list size of at least 750 patients. Available practice-level data include local demography, QOF domains and patient satisfaction. Other data sources used include the QOF, OpenPrescribing²⁶ and data requested from the NECS via Secondary Uses Services (SUS)²⁷ data (Table 1).

Table 1 Data sources and variables

| Data source | Description | Level of data available | Variables and variable description |
|----------------------|--|--|--|
| GP Practice Profiles | Date reported by GPs to the NHS that refers to all patients in a practice | <ul style="list-style-type: none"> Individual practice | <ul style="list-style-type: none"> Practice size Mean practice age Deprivation score Age groups Percentage of patients positive experiences as “good” Percentage of practice access rated by patients as “good” Percentage with a long term condition Education status Working status Life expectancy by sex |
| QOF | An indication of the overall achievement of a practice through a points system, concerning clinical, public health, public health – additional services, and quality improvement. It also has cardiovascular group data. | <ul style="list-style-type: none"> Individual practice | <ul style="list-style-type: none"> QOF score Total on the atrial fibrillation (AF) register, prevalence Total on the CVD-primary prevention (CVD-PP) register, prevalence Total on the CHD register, prevalence Total on the heart failure (HF) register, prevalence Total on the left ventricular systolic dysfunction (LVSD) register, prevalence Total on the hypertension (HYP) register, prevalence Total on the peripheral arterial disease (PAD) register, prevalence Total on the stroke and transient ischaemic attack (STIA) register, prevalence |
| OpenPrescribing | Imports national prescribing data published by NHS Business Services Authority | <ul style="list-style-type: none"> Individual practice CCG level | <ul style="list-style-type: none"> Total statin Total low and medium intensity statin |

Study population

The study population are patients aged 16 and above who have registered with the 34 Deep End practices in the NENC and have been diagnosed with any form of CVDs recorded on the QOF from 2019 to 2020. The study comparators are the patients registered in non-Deep End practices in the region and all registered patients in England where data is available. Data is aggregated to the GP practice level in which variables will be summarised if they are at the patient level.

Data analysis

Descriptive analysis will be used to provide a quick and low cost approach to assess CVD risk management and give descriptive statistics or investigate relationships between factors. GP practice code will be used to link data across all datasets.

Descriptive statistics, using means, standard deviations, and range, will be used to compare the practice profile of the 34 Deep End practices with non-Deep End in the region and England average level. The prevalence of risk factors and statin prescribing will be analysed with an appropriate statistical test (i.e., two-sample t-test, single sample t-test, and paired t-test), which will yield p values that indicate the statistical significance of any differences between Deep End, non-Deep End and England level, and over time. Confidence intervals for differences in means, medians or percentages will be calculated. All significance tests will be performed at the 5% level. Stata version 16 will be used to facilitate data analysis.

WP3: Interviews with health professionals (months 3-8)

WP3 will be qualitative interviews with staff involved in the organisation and delivery of routine lipid management in practices that are part of the Deep End Network. The aim of the interviews will be to understand the implementation and delivery of current lipid management and identify their needs and challenges.

Participants and recruitment

All health professionals involved in the management of CVDs in the practice are eligible to take part including GPs, pharmacists, assistant practitioners, practice nurses and social prescribers.

Study recruitment will be supported by the Deep End practice Network, who will send an email containing brief study information to healthcare professionals working in participating practices. Health professionals can express their interests by responding to the email straight to the research team. A reminder will be sent to those who have not responded in two weeks. Maximum variation sampling will be used to ensure a broad representation of health professionals on dimensions

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3 including job titles/roles, grade, speciality, length of working, and demographics. Reasons given by
4 practices for declining to participate will be recorded to inform feasibility assessment to further
5 studies.
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8 9 Data collection

10 With participants' informed written consent, semi-structured interviews will be conducted via
11 telephone or online (i.e. Zoom or MS Teams) for up to 60 mins. A topic guide was drafted to address
12 the research questions and piloted with two primary care health professionals to ensure the
13 questions prepared are relevant for the context and acceptable. Questions considered important but
14 not originally included were also sought from the pilot interviews, and the topic guide was amended
15 accordingly (supplementary material 2). As interviews continue, the topic guide will also allow a
16 deeper exploration of emerging themes and participants' feedback, while maintaining a consistent
17 core of questions. Data collection will end when data saturation is reached indicating no new
18 information is discovered.
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26 27 Data analysis

28 Interviews will be digitally recorded (with consent), transcribed and data managed using NVivo 12, a
29 qualitative software programme to assist with the organisation and coding of data. Data will be
30 analysed using Framework Analysis, which provides a systematic approach to sifting, charting, and
31 sorting material using the key themes and issues. Initial line-by-line coding will be undertaken. The
32 connections and relationships of these codes will be explored, contributing to the development of
33 themes. An analytical framework will have been developed as the coding process progresses and
34 themes emerges. Codes and themes from each transcript will be compared and integrated using the
35 constant comparison process, enabling continuous updates on the interview topic guide and the
36 thorough interpretation of the study data. To ensure trustworthiness and rigour of the analysis, the
37 coding framework will be developed and assured by double coding of a random sample of
38 transcripts (10%) as a validity check and exploring alternative interpretations of the data.
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48 49 **WP4: Intervention development (months 7-9)**

50 WP 4 will develop the prototype of the intervention in collaboration with the Academic Health
51 Science Network NENC which delivers the CVD Prevention programme, part of which includes a
52 national programme mandated by NHS England and NHS Improvement.
53

54 Guided by the MRC Framework for developing and evaluating complex interventions²⁸, the
55 development of intervention will be informed by integrating the outcomes of the literature
56 evidence, current CVD management profile, and stakeholder engagement undertaken in WPs1-3, in
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3 an iterative and progressive approach. The national programme and its key components will be
4 examined against the gaps, needs, and challenges identified to consider the wider context. The
5 prototype intervention will be designed taking account of health professionals' existing
6 commitments in these practices and challenging working environments. Training and skills
7 development materials for health professionals will also be developed to facilitate them in delivering
8 the tailored intervention. The logic model produced in WP1 will be refined to map key intervention
9 processes and outcomes.
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15 Design

16 The prototype intervention will be reviewed and assessed by the project advisory group, guided by a
17 nominal group technique, a consensus method that allows for the generation of views and thoughts
18 from group participants whilst maintaining anonymity throughout ²⁹.
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23 Data collection

24 The group will be provided with details of the interventions and refined logic model, to seek further
25 comments and explore if the intervention is feasible, acceptable, and implementable in the context.
26 The APEASE criteria ³⁰ will be used to determine the acceptability, practicability, effectiveness,
27 affordability, side-effects, and equity aspects of the intervention. The nominal group technique will
28 involve two main sections:
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- 33 1. The group will be asked to provide their comments on the intervention, training materials,
34 and logic model. All comments will be collated and grouped into main themes for each
35 member to rate their top 10 priorities of the comments. Group ratings will be summated,
36 and the group's collective top 10 priorities will be presented to the group and discussed.
37
- 38 2. Each will re-rate the group's top 10 priorities and provide a weighting for their top 10
39 comments in the scale ranging from 1=least important to 100=most important. These
40 weightings will be summated after the meeting, which will be used to refine the intervention
41 and the logic model. The refined version will be sent out to each member for further
42 comments. It is expected that this process will be repeated twice until a census is reached.
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50 Data analysis

51 The initial listing of comments, clarification, and discussion of comments in section 1 will be analysed
52 thematically, with further discussion with the research team. The scale data generated in section 2
53 will be averaged so that the comments can be re-ordered according to weighted ranked priority. The
54 individual/group rankings produced in sections 1 and 2 will be compared to estimate the level of
55 agreement between the sections and to observe the process of reaching consensus. Firstly, these
56 comparisons will be made by calculating the percentage agreement between the sections, in terms of
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3 the comments that appear in the top ten priorities each time. Secondly, the movement in ranking
4 between sections 1 and 2 will be estimated using Cohen's kappa statistic of chance-corrected
5 agreement ³¹. A kappa value of >0.40 is considered to represent a moderate level of agreement ³².
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9 **ETHICS AND DISSEMINATION**

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11 Ethics approval has been sought from the Faculty of Medical Sciences Research Ethics Committee at
12 Newcastle University (reference no.: 2209/14251) UK, a letter of support has also been issued by the
13 NENC Deep End Network.
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17 Dissemination will be led by the research team and supported by the project advisory group. Reports
18 will be produced and shared with the NENC ICS Prevention Board, Inequalities Board, Deep End
19 network, National Institute for Health Research (NIHR) Applied Research Collaboration (ARC) NENC,
20 and AHSN NENC. The findings will be disseminated to the participating sites, participants,
21 commissioners, and in peer-reviewed journals and academic conferences.
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FIGURES

Figure 1 Study design and related work packages

ACKNOWLEDGEMENT

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AUTHORS' CONTRIBUTIONS

YF, JN and PW conceived the study design. YF drafted and revised the manuscript and obtained the ethics approval. EYHT, SS, JN and PW independently reviewed and contributed to revising and approving the final version.

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COMPETING INTERESTS

None declared.

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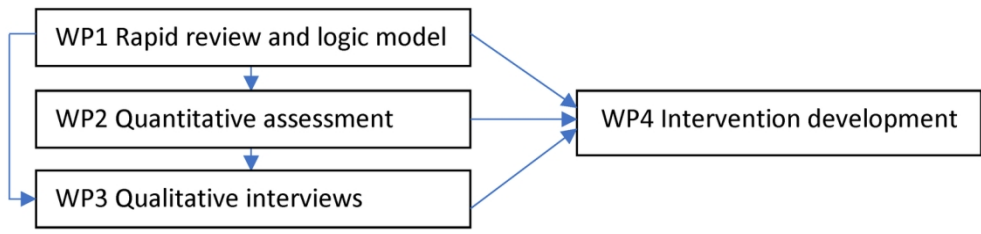


Figure 1 Study design and related work packages

139x34mm (300 x 300 DPI)

Supplementary Material 1 Search strategy for MEDLINE (Ovid) (1996 to October Week 4 2021)

1. exp Cardiovascular Diseases/
2. cardio*.tw.
3. cardia*.tw.
4. heart*.tw.
5. coronary*.tw.
6. angina*.tw.
7. ventric*.tw.
8. myocard*.tw.
9. pericard*.tw.
10. isch?em*.tw.
11. emboli*.tw.
12. arrhythmi*.tw.
13. thrombo*.tw.
14. atrial fibrillat*.tw.
15. tachycardi*.tw.
16. endocardi*.tw.
17. (sick adj sinus).tw.
18. exp Stroke/

For peer review only

19. (stroke or strokes).tw.
20. cerebrovasc*.tw.
21. cerebral vascular.tw.
22. apoplexy.tw.
23. ((brain* or cerebral or lacunar) adj2 infarct*).tw.
24. exp Hypertension/
25. hypertensi*.tw.
26. peripheral arter* disease*.tw.
27. ((high or increased or elevated) adj2 blood pressure).tw.
28. exp Hyperlipidemias/
29. hyperlipid*.tw.
30. hyperlip?emia*.tw.
31. hypercholesterol*.tw.
32. hypercholester?emia*.tw.
33. hyperlipoprotein?emia*.tw.
34. hypertriglycerid?emia*.tw.
35. exp Arteriosclerosis/
36. exp Cholesterol/
37. cholesterol.tw.
38. Blood Pressure/

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3 39. 1 or 2 or 3 or 4 or 5 or 6 or 7 or 8 or 9 or 10 or 11 or 12 or 13 or 14 or 15 or 16 or 17 or 18 or 19 or 20 or 21 or 22 or 23 or 24 or 25 or 26 or 27 or 28
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6 40. exp Socioeconomic Factors/
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8 41. exp social class/
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10 42. socioeconomic*.tw.
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12 43. demographic*.tw.
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14 44. disadvantage*.tw.
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16 45. disparit*.tw.
17
18 46. deprivation.tw.
19
20 47. exp Health Services Accessibility/
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22 48. deprive*.tw.
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24 49. pover*.tw.
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26 50. inequalit*.tw.
27
28 51. education*.tw.
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30 52. unemploy*.tw.
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32 53. employed.tw.
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34 54. employment.tw.
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36 55. income.tw.
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38 56. occupation*.tw.
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3 58. class.tw.
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5 59. economic.tw.
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7 60. (social adj1 (class or factor or factors)).tw.
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9 61. 40 or 41 or 42 or 43 or 44 or 45 or 46 or 47 or 48 or 49 or 50 or 51 or 52 or 53 or 54 or 55 or 56 or 57 or 58 or 59 or 60
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11 62. exp General Practitioners/
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13 63. exp General Practice/
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15 64. general pract*.tw.
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17 65. exp Family Practice/
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19 66. family pract*.tw.
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21 67. family medicine/
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23 68. exp Family Practice/
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25 69. exp Physicians, Family/
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27 70. family phys*.tw.
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29 71. exp Nurse Practitioners/
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31 72. exp Nurse Clinicians/
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33 73. nurse pract*.tw.
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35 74. nurse clinic*.tw.
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37 75. exp Primary Health Care/
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39 76. primary care.tw.
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41 77. exp Community Health Services/
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5 79. 62 or 63 or 64 or 65 or 66 or 67 or 68 or 69 or 70 or 71 or 72 or 73 or 74 or 75 or 76 or 77 or 78
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7 80. 39 and 61 and 79
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9 81. (Algeria\$ or Egypt\$ or Libya\$ or Morocco\$ or Tunisia\$ or Western Sahara\$ or Angola\$ or Benin or Botswana\$ or Burkina Faso or Burundi or Cameroon
10 or Cape Verde or Central African Republic or Chad or Comoros or Congo or Djibouti or Eritrea or Ethiopia\$ or Gabon or Gambia\$ or Ghana or Guinea or
11 Kenya\$ or Lesotho or Liberia or Madagascar\$ or Malawi or Mali or Mauritania or Mauritius or Mayotte or Mozambique\$ or Namibia\$ or Niger or Nigeria\$ or
12 Reunion or Rwanda\$ or Saint Helena or Senegal or Seychelles or Sierra Leone or Somalia or South Africa\$ or Sudan or Swaziland or Tanzania or Togo or
13 Uganda\$ or Zambia\$ or Zimbabwe\$ or China or Chinese or Hong Kong or Macao or Mongolia\$ or Taiwan\$ or Belarus or Moldova\$ or Russia\$ or Ukraine or
14 Afghanistan or Armenia\$ or Azerbaijan or Bahrain or Cyprus or Cypriot or Georgia\$ or Iran\$ or Iraq\$ or Israel\$ or Jordan\$ or Kazakhstan or Kuwait or
15 Kyrgyzstan or Lebanon\$ or Oman or Pakistan\$ or Palestine\$ or Qatar or Saudi Arabia or Syria\$ or Tajikistan or Turkmenistan or United Arab Emirates or
16 Uzbekistan or Yemen or Bangladesh\$ or Bhutan or British Indian Ocean Territory or Brunei Darussalam or Cambodia\$ or India\$ or Indonesia\$ or Lao or
17 People's Democratic Republic or Malaysia\$ or Maldives or Myanmar or Nepal or Philippines\$ or Singapore or Sri Lanka\$ or Thailand\$ or Timor Leste or Vietnam or
18 Albania\$ or Andorra or Bosnia\$ or Herzegovina\$ or Bulgaria\$ or Croatia\$ or Estonia or Faroe Islands or Greenland or Liechtenstein or Lithuania\$ or
19 Macedonia or Malta or Maltese or Romania or Serbia\$ or Montenegro or Slovenia or Svalbard or Argentina\$ or Belize or Bolivia\$ or Brazil\$ or Chile or
20 Chilean or Colombia\$ or Costa Rica\$ or Cuba or Ecuador or El Salvador or French Guiana or Guatemala\$ or Guyana or Haiti or Honduras or Jamaica\$ or
21 Nicaragua\$ or Panama or Paraguay or Peru or Puerto Rico or Suriname or Uruguay or Venezuela or developing countries (or south America\$).ti,sh. [mp=title,
22 abstract, original title, name of substance word, subject heading word, floating sub-heading word, keyword heading word, organism supplementary
23 concept word, protocol supplementary concept word, rare disease supplementary concept word, unique identifier, synonyms]
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Interview topic guide

This interview is to learn from your experience on what and how lipid management is currently delivered to patients in your practice. The aim is to understand the implementation process and resources available for the existing interventions targeting patients with cardiovascular disease (CVD) and/or risks, as well as your needs and any challenges to providing lipid management optimisation in deprived communities.

The interview will be recorded with your permission. It will last for about 45-60 minutes and any information you give will be kept confidential and anonymous.

Our conversation will cover:

- Your job title, an overview of patients in your practice, what your role involves for patients with CVD risks;
- Your understanding of lipid management and what it involves;
- How patients with CVD (or CVD risks) are managed and their clinical pathways in your practice (screening/assessment/monitoring/treatment/outcomes/referrals):
 - How you identify patients with CVD risks (e.g. NHS Health Check; frequency), and is there anything else that would indicate the need for care for you;
 - What assessment tool(s) you use to understand their needs;
 - How you monitor their disease progress;
 - Existing treatment and care provided for patients and rationale for that;
 - Clinically meaningful outcomes;
 - How and when you decide on referrals and for what services.
- Your views on the implementation process of current interventions targeting patients with CVD in your practice, and what resource is needed for their delivery;
- Your experience/challenges of managing patients' needs, and factors that influence the delivery and quality of lipid management to patients;
- The impact of COVID on lipid management in your practice and solutions implemented;
- To what extent you have accessed training (general/lipid) to support your clinical role; other information, skills and training that you may need to help you optimise lipid management;
- Any other questions or comments and suggestions that you want to mention on how you provide services for patients with CVD and what additional support you might need;
- Any other questions you have about this study.

PRISMA-P (Preferred Reporting Items for Systematic review and Meta-Analysis Protocols) 2015 checklist: recommended items to address in a systematic review protocol*

| Section and topic | Item No | Checklist item | Page no. |
|-----------------------------------|---------|---|---------------|
| ADMINISTRATIVE INFORMATION | | | |
| Title: | | | |
| Identification | 1a | Identify the report as a protocol of a systematic review | |
| Update | 1b | If the protocol is for an update of a previous systematic review, identify as such | |
| Registration | 2 | If registered, provide the name of the registry (such as PROSPERO) and registration number | |
| Authors: | | | |
| Contact | 3a | Provide name, institutional affiliation, e-mail address of all protocol authors; provide physical mailing address of corresponding author | |
| Contributions | 3b | Describe contributions of protocol authors and identify the guarantor of the review | |
| Amendments | 4 | If the protocol represents an amendment of a previously completed or published protocol, identify as such and list changes; otherwise, state plan for documenting important protocol amendments | |
| Support: | | | |
| Sources | 5a | Indicate sources of financial or other support for the review | |
| Sponsor | 5b | Provide name for the review funder and/or sponsor | |
| Role of sponsor or funder | 5c | Describe roles of funder(s), sponsor(s), and/or institution(s), if any, in developing the protocol | |
| INTRODUCTION | | | |
| Rationale | 6 | Describe the rationale for the review in the context of what is already known | 5-6 |
| Objectives | 7 | Provide an explicit statement of the question(s) the review will address with reference to participants, interventions, comparators, and outcomes (PICO) | 6 |
| METHODS | | | |
| Eligibility criteria | 8 | Specify the study characteristics (such as PICO, study design, setting, time frame) and report characteristics (such as years considered, language, publication status) to be used as criteria for eligibility for the review | 7-8 |
| Information sources | 9 | Describe all intended information sources (such as electronic databases, contact with study authors, trial registers or other grey literature sources) with planned dates of coverage | 8 |
| Search strategy | 10 | Present draft of search strategy to be used for at least one electronic database, including planned limits, such that it could be | Supplementary |

| | | | |
|------------------------------------|----------|--|-----|
| | repeated | | |
| Study records: | | | |
| Data management | 11a | Describe the mechanism(s) that will be used to manage records and data throughout the review | 8 |
| Selection process | 11b | State the process that will be used for selecting studies (such as two independent reviewers) through each phase of the review (that is, screening, eligibility and inclusion in meta-analysis) | 8 |
| Data collection process | 11c | Describe planned method of extracting data from reports (such as piloting forms, done independently, in duplicate), any processes for obtaining and confirming data from investigators | 8 |
| Data items | 12 | List and define all variables for which data will be sought (such as PICO items, funding sources), any pre-planned data assumptions and simplifications | 9 |
| Outcomes and prioritization | 13 | List and define all outcomes for which data will be sought, including prioritization of main and additional outcomes, with rationale | 9 |
| Risk of bias in individual studies | 14 | Describe anticipated methods for assessing risk of bias of individual studies, including whether this will be done at the outcome or study level, or both; state how this information will be used in data synthesis | 8-9 |
| Data synthesis | 15a | Describe criteria under which study data will be quantitatively synthesised | 9 |
| | 15b | If data are appropriate for quantitative synthesis, describe planned summary measures, methods of handling data and methods of combining data from studies, including any planned exploration of consistency (such as I^2 , Kendall's τ) | |
| | 15c | Describe any proposed additional analyses (such as sensitivity or subgroup analyses, meta-regression) | |
| | 15d | If quantitative synthesis is not appropriate, describe the type of summary planned | |
| Meta-bias(es) | 16 | Specify any planned assessment of meta-bias(es) (such as publication bias across studies, selective reporting within studies) | n/a |
| Confidence in cumulative evidence | 17 | Describe how the strength of the body of evidence will be assessed (such as GRADE) | n/a |

*** It is strongly recommended that this checklist be read in conjunction with the PRISMA-P Explanation and Elaboration (cite when available) for important clarification on the items. Amendments to a review protocol should be tracked and dated. The copyright for PRISMA-P (including checklist) is held by the PRISMA-P Group and is distributed under a Creative Commons Attribution Licence 4.0.**

From: Shamseer L, Moher D, Clarke M, Ghersi D, Liberati A, Petticrew M, Shekelle P, Stewart L, PRISMA-P Group. Preferred reporting items for systematic review and meta-analysis protocols (PRISMA-P) 2015: elaboration and explanation. BMJ. 2015 Jan 2;349(jan02 1):g7647.

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Tailoring lipid management interventions to reduce inequalities in cardiovascular disease risk management in primary care for deprived communities in Northern England: a mixed methods intervention development protocol

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3 **Tailoring lipid management interventions to reduce inequalities in cardiovascular disease**
4 **risk management in primary care for deprived communities in Northern England: a mixed**
5 **methods intervention development protocol**
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ABSTRACT

Introduction

Hyperlipidaemia contributes a significant proportion of modifiable cardiovascular disease (CVD) risk, which is a condition that disproportionately affects disadvantaged socioeconomic communities, with death rates in the most deprived areas being four times higher than those in the least deprived. With the national CVD Prevention programme being delivered to minimise risk factors, no evidence is available on what has been implemented in primary care for deprived populations. This study describes the protocol for the development of a tailored intervention aiming to optimise lipid management in primary care settings to help reduce inequalities in CVD risks and improve outcomes in deprived communities.

Methods and analysis

A mixed methods approach will be employed consisting of four workpackages: 1) rapid review and logic model; 2) assessment and comparison of CVD risk management for deprived with non-deprived populations in Northern England to England overall; 3) interviews with health professionals; 4) intervention development. A systematic search and narrative synthesis will be undertaken to identify evidence-based interventions and targeted outcomes in deprived areas. General practice level data will be assessed to establish the profile of lipid management, compared with the regional and national levels. Health professionals involved in the organisation and delivery of routine lipid management to deprived populations will be interviewed to understand the implementation and delivery of current lipid management and associated challenges. The prototype intervention will be informed by the evidence generated from workpackages 1-3, which will be reviewed and assessed using the nominal group technique to reach consensus. Training and skills development materials will also be developed as needed.

Ethics and dissemination

Ethics approval has been obtained from the Faculty of Medical Sciences Research Ethics Committee at Newcastle University, UK. Findings will be disseminated to the participating sites, participants, commissioners, and in peer-reviewed journals and academic conferences.

Strengths and limitations of this study

- This study will develop a tailored lipid management intervention for deprived populations to help reduce health inequalities, using multiple methods.
- Multiple data sources will be used to assess and compare CVD risk management for deprived with non-deprived populations in Northern England to England overall.
- Primary care staff needs and challenges in delivering current lipid management and resources related to implementation will be identified.
- Some limitations to the study design include exclusion of non-English studies, publication bias, quality of data and selection bias in the rapid evidence review.

INTRODUCTION

Hyperlipidaemia contributes a significant proportion of modifiable cardiovascular disease (CVD) risk, which is the leading cause of mortality and morbidity in England and Europe ^{1,2}, accounting for a third of deaths in the UK. Hyperlipidaemia, a high level of cholesterol or triglycerides in the blood, can be inherited, is often found in people who are overweight, have alcohol abuse or have an unhealthy diet ³. Elevated levels of blood lipids represent a major risk factor for the development of coronary heart disease (CHD) and other cerebrovascular diseases including stroke, transient ischaemic attack (TIA), and peripheral arterial disease. People with a history of these events are also at increased risk of experiencing subsequent CVDs. Management of CVDs also places a significant economic burden on the National Health Service (NHS), with an estimated cost of £7.4 billion per annum ⁴.

Both national and international guidelines recommend the use of statins in people at risk of CVD ^{1,5}. Their use aims to reduce the synthesis of cholesterol, but evidence suggests that there is an underuse of lipid-lowering drugs among eligible patients ^{6,7}. CVD is also a condition that is strongly associated with health inequalities and disproportionately affects disadvantaged socioeconomic communities. People in disadvantaged socioeconomic groups experience a higher prevalence of CVD events but poorer outcomes and premature mortality, leading to the fact that people in the most deprived areas in England are four times more likely to die prematurely than those in the least deprived ⁸. In addition, those living in socioeconomically disadvantaged neighbourhoods are found to have poor engagement with preventive health services, even though they are likely to benefit from screening and early treatment ⁹. This may lead to an exacerbation of existing health inequalities. NICE guidance has recognised socioeconomic status as an additional factor that contributes to CVD risk. The NHS Long Term Plan ¹⁰ has also identified CVD as a clinical priority and stressed the wider impact on health inequalities, highlighting that heart disease-related mortality is the single largest contributor to the life expectancy gap between the most and least deprived. However, it failed to establish how health inequalities could be approached or addressed within local systems.

The North East of England is consistently ranked as having the highest poverty levels and the lowest health outcomes in England ¹¹. Scotland has established a programme to support general practices caring for the most deprived communities (the 'Deep End' project)¹² and, in early 2020, local GPs, Public Health leaders and academics collaborated to form a Deep End Steering Group for the North East and North Cumbria (NENC). Funding was then granted from the North East and North Cumbria Integrated Care System (NENC ICS) Prevention strand to establish and co-design a Deep End network for the region. The Deep End NENC network consists of 35 practices; practices identified as Deep End

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3 are those that fall into the 10% most deprived practice populations in England. These practices have
4 between 95.7% and 57.7% of registered patients living in the most deprived 15% of indices of
5 multiple deprivation (IMD) data zones. Due to the high rates of long term conditions, unhealthy diets
6 and physical inactivity, together with other competing priorities¹³, people in areas of deprivation are
7 likely to face greater challenges in managing CVDs. Ongoing effects from the pandemic are
8 exacerbating these challenges and include difficulties attending review appointments in person,
9 digital poverty impeding remote review, low levels of health literacy resulting in misunderstandings
10 about the need to continue long term treatments, and closure of other support services¹⁴,
11 potentially widening health inequalities.

12
13 The NHS has set up the national CVD Prevention programme¹⁵ which aims to develop targeted
14 interventions to minimise risk factors by maximising diagnosis and treatment, accompanied by the
15 GP contract to commission a new national CVD prevention audit for primary care¹⁶. However, no
16 evidence is available on what and how the intervention has been implemented and for what health
17 outcomes for deprived populations. There is therefore an urgent need to seek a theoretical
18 underpinning to tailor the national programme in this context, which could support the CVD element
19 of the NHS post-COVID-19 recovery plan with the region.

20
21 This study will examine the literature and practice-level data and undertake engagement with staff
22 who provide primary care for deprived populations to define the components and mechanisms
23 through which lipid management can be optimised to meet the identified needs. The study aims to
24 1) synthesise the evidence on interventions for deprived populations with CVDs or those with high
25 risks and understand the outcomes associated with these interventions, 2) assess CVD risk
26 management for deprived populations in the NENC in comparison with non-deprived populations in
27 Northern England and with England overall in order to identify clinical gaps and needs, 3) investigate
28 the implementation and delivery of current interventions for patients with CVDs and those with high
29 risks, and 4) tailor and optimise the national prevention programme to suit the context and needs of
30 deprived communities.

31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 **METHODS AND ANALYSIS**

50 51 **Study design**

52
53 A mixed methods approach will be employed to inform the development of the intervention
54 comprising a rapid review, a population based observational study and qualitative interviews. Four
55 work packages (WPs) are proposed (Figure 1).

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58 *[insert Figure 1]*
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3 A project advisory group consisting of 6-8 members will be established to involve key opinion leaders
4 across core fields, who will advise at each project stage, review intervention components for the
5 consensus process and help disseminate the study outputs. Members will recruit from the Deep End
6 network, ICS Prevention Board, Academic Health Science Network (AHSN) NENC lipid steering group,
7 regional professional leads for lipid management, public members and academics with methodology
8 expertise. This group will meet quarterly with the research team to oversee the execution of the
9 study and provide advice and assistance.
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15 **Patient and public involvement**

16 Patients' experiences are central to the research question and outcomes, although the focus of this
17 project is on clinicians' experiences. The Deep End Steering group, consisting of local GPs,
18 representatives from the North East Commissioning Support Unit (NECS), Newcastle University
19 Medical School, Health Education England North East and the Postgraduate School of Primary Care,
20 Directors of Public Health, NHS England, the Northern Cancer Alliance and local voluntary,
21 community and social enterprise organisations, was consulted in order to shape the research focus
22 and question, methods of data collection and dissemination. While the focus of the research is
23 initially on clinicians' experience, the development of a patient and public involvement strategy was
24 recognised in the consultation as an urgent requirement, and this is currently being developed. This
25 results of this study will be widely shared via the Public Involvement and Community Engagement
26 network for the National Institute for Health Research (NIHR) Applied Research Collaboration NENC.
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36 **WP1: Rapid review and logic model (months 1-3)**

37 WP 1 will be a rapid review and synthesis of current evidence, aiming to identify evidence-based
38 interventions for lipid management in deprived areas and targeted outcomes. The review will be
39 conducted following Cochrane guidance on rapid reviews¹⁷. A logic model will be developed,
40 informed by existing literature to describe how lipid management works in theory to benefit services
41 and patients.
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47 **Type of studies**

48 Empirical studies (i.e. original data collection) describing the setting, problem addressed, resource
49 requirements, aim, intervention components, provider, method of delivery and objective and
50 subjective outcomes will be included if conducted in an Organisation for Economic Co-operation and
51 Development (OECD) country¹⁸ (to ensure a degree of commonality in health system and
52 socioeconomic and demographic context), published in peer-reviewed scientific journals, within the
53 last 10 years (to mirror the NHS long term plan) and in the English language.
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Type of participants

Studies that focus on people with disadvantaged socioeconomic status (education, income, occupation, social class, deprivation, poverty or an area-based proxy for deprivation derived from place of residence) will be included. Adults with CVD including angina, previous myocardial infarction (MI), revascularisation, stroke or TIA or symptomatic peripheral arterial disease, and those who do not have established CVD but are identified as having a high risk of developing CVDs¹ considering age, ethnicity, socioeconomic status, BMI, history of taking antihypertensive or lipid modification therapy, QRISK \geq 10%, diabetes, nephropathy, familial hypercholesterolaemia or other inherited disorder of lipid metabolism, and other underlying medical conditions or treatment including people treated for HIV, with serious mental health problems, taking medicines that can cause dyslipidaemia or with autoimmune disorders.

Type of interventions

Multifaceted interventions delivered to deprived populations that aim to optimise care by maximising diagnosis and/or treatment to minimise individual risk factors will be considered.

Type of outcome measures

Studies with individual, area-based or both types of measures of socioeconomic deprivation will be included. This may be measured according to several characteristics including income, employment, education, disability, crime, housing and services and living environment deprivation. Because there is no universal recommendation for core outcome sets in studies on CVD prevention¹⁹⁻²¹, studies will be eligible for inclusion regardless of outcomes measured or reported for health outcomes, this may include vascular-related outcomes, cognitive and functional outcomes, lifestyle, medical risk factors, cardioprotective medications and patient-reported outcome measures. Any measures of professionals', patients' and/or families' knowledge, attitudes or satisfaction will also be included.

Study identification

Cochrane CENTRAL, MEDLINE, PsycInfo, CINAHL will be searched for eligible studies. Detailed search strategies will be developed for each database. A preliminary search strategy developed for MEDLINE is designed by YF and validated by an information specialist (supplementary material 1). This search strategy was piloted in MEDLINE on 16th October 2021.

Study selection

Identified citations will be exported to Endnote X9²² for deduplication and screening. A random selection (10%) of study titles and abstracts will be screened independently by another researcher. Full text will be retrieved where citations appeared to meet the eligibility criteria or where a decision

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2
3 to exclude will not be made on the information provided. Any discrepancies will be resolved by
4 discussion with a third researcher.
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6 7 Data extraction

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9 Data will be extracted on author's first name, publication date, location (country in which the study
10 was undertaken), study design, sample size, intervention details, control/comparison groups (if any),
11 outcome measures and results, using a data extraction sheet that will be piloted on two retrieved
12 study reports. Accuracy and consistency will be monitored through random double-extraction of
13 10% included studies by an independent researcher. Any discrepancies will be resolved by discussion
14 with a third researcher. Where a study appears to have multiple citations, original authors will be
15 contacted for clarification. All information from multiple citations will be used if no replies received.
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20 21 Quality assessment

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23 Quality appraisal of included studies will be performed using standardised tools adapted for
24 purpose. Appropriate Critical Appraisal Skills Programme (CASP) tool will be used according to the
25 study design, a random sample (10%) will be independently assessed by another researcher. Any
26 discrepancies will be resolved by discussion with a third researcher.
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30 31 Data synthesis

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33 A narrative synthesis will be undertaken following Popay et al's ²³ approach to conducting synthesis
34 systematically and transparently. It will focus on the intervention components, effects of the
35 interventions and mechanisms leading to the outcomes. Studies, interventions and outcomes will be
36 examined and grouped according to the aim and components of the interventions. The variation on
37 different characteristics of health systems will be taken into account when interpreting the
38 intervention across OECD countries. A logic model will be produced to present context, intervention
39 components and outcomes. Possible unintended adverse outcomes will also be provided.
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45 **WP2: Assessment and comparison of CVD risk management for deprived with non-** 46 **deprived populations to England overall (months 2-4)**

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49 WP2 will be a population based observational study comparing retrospective data from practices in
50 deprived communities in the NENC, practices in regional non-deprived communities and national
51 practice-level data obtained from publicly accessible datasets and anonymised data requested from
52 the NECS that securely house primary and secondary care datasets.
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56 57 Data sources

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59 The primary data source for this study will be the GP Practice Profiles ²⁴ via Fingertips, a publicly
60 accessible web tool containing national general practice profiles generated for all Quality and

Outcomes Framework (QOF)²⁵ 2019/20 with a list size of at least 750 patients. Available practice-level data include local demography, QOF domains and patient satisfaction. Other data sources used include the QOF, OpenPrescribing²⁶ and data requested from the NECS via Secondary Uses Services (SUS)²⁷ data (Table 1).

Table 1 Data sources and variables

| Data source | Description | Level of data available | Variables and variable description |
|----------------------|--|--|--|
| GP Practice Profiles | Date reported by GPs to the NHS that refers to all patients in a practice | <ul style="list-style-type: none"> Individual practice | <ul style="list-style-type: none"> Practice size Mean practice age Deprivation score Age groups Percentage of patients positive experiences as “good” Percentage of practice access rated by patients as “good” Percentage with a long term condition Education status Working status Life expectancy by sex |
| QOF | An indication of the overall achievement of a practice through a points system, concerning clinical, public health, public health – additional services, and quality improvement. It also has cardiovascular group data. | <ul style="list-style-type: none"> Individual practice | <ul style="list-style-type: none"> QOF score Total on the atrial fibrillation (AF) register, prevalence Total on the CVD-primary prevention (CVD-PP) register, prevalence Total on the CHD register, prevalence Total on the heart failure (HF) register, prevalence Total on the left ventricular systolic dysfunction (LVSD) register, prevalence Total on the hypertension (HYP) register, prevalence Total on the peripheral arterial disease (PAD) register, prevalence Total on the stroke and transient ischaemic attack (STIA) register, prevalence |
| OpenPrescribing | Imports national prescribing data published by NHS Business Services Authority | <ul style="list-style-type: none"> Individual practice CCG level | <ul style="list-style-type: none"> Total statin Total low and medium intensity statin |

Study population

The study population are patients aged 16 and above who have registered with the 34 Deep End practices in the NENC and have been diagnosed with any form of CVDs recorded on the QOF from 2019 to 2020. The study comparators are the patients registered in non-Deep End practices in the region and all registered patients in England where data is available. Data is aggregated to the GP practice level in which variables will be summarised if they are at the patient level.

Data analysis

Descriptive analysis will be used to provide a quick and low cost approach to assess CVD risk management and give descriptive statistics or investigate relationships between factors. GP practice code will be used to link data across all datasets. Due to the nature of the aggregated data available from the public sources used (Fingertips²⁴ and QOF), it will be not possible to control any of the comparisons for age, gender, deprivation or ethnicity. Descriptive statistics, using means, standard deviations, and range, will be used to compare the practice profile of the 34 Deep End practices with non-Deep End in the region and England average level. The prevalence of risk factors and statin prescribing will be analysed with an appropriate statistical test (i.e., two-sample t-test, single sample t-test, and paired t-test), which will yield p values that indicate the statistical significance of any differences between Deep End, non-Deep End and England level. A paired t-test will be used to understand whether there was a difference in outcomes before and at the time of the COVID-19 pandemic. Confidence intervals for differences in means, medians or percentages will be calculated. All significance tests will be performed at the 5% level. Stata version 16 will be used to facilitate data analysis.

WP3: Interviews with health professionals (months 3-8)

WP3 will be qualitative interviews with staff involved in the organisation and delivery of routine lipid management in practices that are part of the Deep End Network. The aim of the interviews will be to understand the implementation and delivery of current lipid management and identify their needs and challenges.

Participants and recruitment

All health professionals involved in the management of CVDs in the practice are eligible to take part including GPs, pharmacists, assistant practitioners, practice nurses and social prescribers.

Study recruitment will be supported by the Deep End practice Network, who will send an email containing brief study information to healthcare professionals working in participating practices.

Health professionals can express their interests by responding to the email straight to the research

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3 team. A reminder will be sent to those who have not responded in two weeks. Maximum variation
4 sampling will be used to ensure a broad representation of health professionals on dimensions
5 including job titles/roles, grade, speciality, length of working, and demographics. Reasons given by
6 practices for declining to participate will be recorded to inform feasibility assessment to further
7 studies.
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10 11 12 Data collection

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14 With participants' informed written consent, semi-structured interviews will be conducted via
15 telephone or online (i.e. Zoom or MS Teams) for up to 60 mins. A topic guide was drafted to address
16 the research questions and piloted with two primary care health professionals to ensure the
17 questions prepared are relevant for the context and acceptable. Questions considered important but
18 not originally included were also sought from the pilot interviews, and the topic guide was amended
19 accordingly (supplementary material 2). As interviews continue, the topic guide will also allow a
20 deeper exploration of emerging themes and participants' feedback, while maintaining a consistent
21 core of questions. Data collection will end when data saturation is reached indicating no new
22 information is discovered.
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30 Data analysis

31 Interviews will be digitally recorded (with consent), transcribed and data managed using NVivo 12, a
32 qualitative software programme to assist with the organisation and coding of data. Data will be
33 analysed using Framework Analysis, which provides a systematic approach to sifting, charting, and
34 sorting material using the key themes and issues. Initial line-by-line coding will be undertaken. The
35 connections and relationships of these codes will be explored, contributing to the development of
36 themes. An analytical framework will have been developed as the coding process progresses and
37 themes emerges. Codes and themes from each transcript will be compared and integrated using the
38 constant comparison process, enabling continuous updates on the interview topic guide and the
39 thorough interpretation of the study data. To ensure trustworthiness and rigour of the analysis, the
40 coding framework will be developed and assured by double coding of a random sample of
41 transcripts (10%) as a validity check and exploring alternative interpretations of the data.
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51 **WP4: Intervention development (months 7-9)**

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53 WP 4 will develop the prototype of the intervention in collaboration with the Academic Health
54 Science Network NENC which delivers the CVD Prevention programme, part of which includes a
55 national programme mandated by NHS England and NHS Improvement.
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3 Guided by the Medical Research Council (MRC) Framework for developing and evaluating complex
4 interventions ²⁸, the development of intervention will be informed by integrating the outcomes of
5 the literature evidence, current CVD management profile, and stakeholder engagement undertaken
6 in WPs1-3, in an iterative and progressive approach. The national programme and its key
7 components will be examined against the gaps, needs, and challenges identified to consider the
8 wider context. The prototype intervention will be designed taking account of health professionals'
9 existing commitments in these practices and challenging working environments. Training and skills
10 development materials for health professionals will also be developed to facilitate them in delivering
11 the tailored intervention. The logic model produced in WP1 will be refined to map key intervention
12 processes and outcomes.
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20 Design

21 The prototype intervention will be reviewed and assessed by the project advisory group, guided by a
22 nominal group technique, a consensus method that allows for the generation of views and thoughts
23 from group participants whilst maintaining anonymity throughout ²⁹.
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28 Data collection

29 The group will be provided with details of the interventions and refined logic model, to seek further
30 comments and explore if the intervention is feasible, acceptable, and implementable in the context.
31 The APEASE criteria ³⁰ will be used to determine the acceptability, practicability, effectiveness,
32 affordability, side-effects, and equity aspects of the intervention. The nominal group technique will
33 involve two main sections:
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- 39 1. The group will be asked to provide their comments on the intervention, training materials,
40 and logic model. All comments will be collated and grouped into main themes for each
41 member to rate their top 10 priorities of the comments. Group ratings will be summated,
42 and the group's collective top 10 priorities will be presented to the group and discussed.
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- 45 2. Each will re-rate the group's top 10 priorities and provide a weighting for their top 10
46 comments in the scale ranging from 1=least important to 100=most important. These
47 weightings will be summated after the meeting, which will be used to refine the intervention
48 and the logic model. The refined version will be sent out to each member for further
49 comments. It is expected that this process will be repeated twice until a census is reached.
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55 Data analysis

56 The initial listing of comments, clarification, and discussion of comments in section 1 will be analysed
57 thematically, with further discussion with the research team. The scale data generated in section 2
58 will be averaged so that the comments can be re-ordered according to weighted ranked priority. The
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3 individual/group rankings produced in sections 1 and 2 will be compared to estimate the level of
4 agreement between the sections and to observe the process of reaching consensus. Firstly, these
5 comparisons will be made by calculating the percentage agreement between the sections, in terms of
6 the comments that appear in the top ten priorities each time. Secondly, the movement in ranking
7 between sections 1 and 2 will be estimated using Cohen's kappa statistic of chance-corrected
8 agreement ³¹. A kappa value of >0.40 is considered to represent a moderate level of agreement ³².
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14 **ETHICS AND DISSEMINATION**

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16 Ethics approval has been sought from the Faculty of Medical Sciences Research Ethics Committee at
17 Newcastle University (reference no.: 2209/14251) UK, a letter of support has also been issued by the
18 NENC Deep End Network.
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21
22 Dissemination will be led by the research team and supported by the project advisory group. Reports
23 will be produced and shared with the NENC ICS Prevention Board, Inequalities Board, Deep End
24 network, National Institute for Health Research (NIHR) Applied Research Collaboration (ARC) NENC,
25 and AHSN NENC. The findings will be disseminated to the participating sites, participants,
26 commissioners, and in peer-reviewed journals and academic conferences.
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FIGURES

Figure 1 Study design and related work packages

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AUTHORS' CONTRIBUTIONS

YF, JN and PW conceived the study design. YF drafted and revised the manuscript and obtained the ethics approval. EYHT, SS, JN and PW independently reviewed and contributed to revising and approving the final version.

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COMPETING INTERESTS

None declared.

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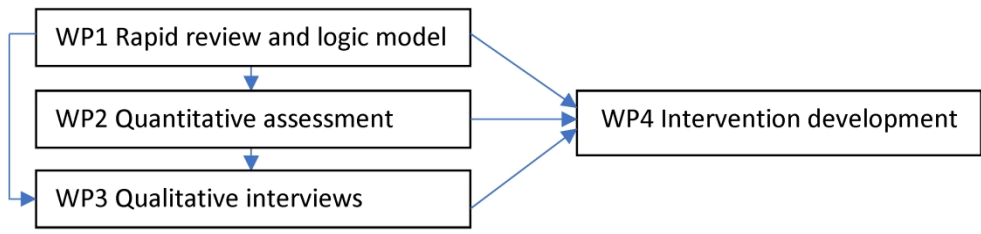


Figure 1 Study design and related work packages

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Supplementary Material 1 Search strategy for MEDLINE (Ovid) (1996 to October Week 4 2021)

1. exp Cardiovascular Diseases/
2. cardio*.tw.
3. cardia*.tw.
4. heart*.tw.
5. coronary*.tw.
6. angina*.tw.
7. ventric*.tw.
8. myocard*.tw.
9. pericard*.tw.
10. isch?em*.tw.
11. emboli*.tw.
12. arrhythmi*.tw.
13. thrombo*.tw.
14. atrial fibrillat*.tw.
15. tachycardi*.tw.
16. endocardi*.tw.
17. (sick adj sinus).tw.
18. exp Stroke/

For peer review only

19. (stroke or strokes).tw.
20. cerebrovasc*.tw.
21. cerebral vascular.tw.
22. apoplexy.tw.
23. ((brain* or cerebral or lacunar) adj2 infarct*).tw.
24. exp Hypertension/
25. hypertensi*.tw.
26. peripheral arter* disease*.tw.
27. ((high or increased or elevated) adj2 blood pressure).tw.
28. exp Hyperlipidemias/
29. hyperlipid*.tw.
30. hyperlip?emia*.tw.
31. hypercholesterol*.tw.
32. hypercholester?emia*.tw.
33. hyperlipoprotein?emia*.tw.
34. hypertriglycerid?emia*.tw.
35. exp Arteriosclerosis/
36. exp Cholesterol/
37. cholesterol.tw.
38. Blood Pressure/

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- 6 40. exp Socioeconomic Factors/
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- 8 41. exp social class/
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- 12 43. demographic*.tw.
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- 32 53. employed.tw.
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- 34 54. employment.tw.
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- 36 55. income.tw.
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- 3 58. class.tw.
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- 5 59. economic.tw.
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- 7 60. (social adj1 (class or factor or factors)).tw.
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- 9 61. 40 or 41 or 42 or 43 or 44 or 45 or 46 or 47 or 48 or 49 or 50 or 51 or 52 or 53 or 54 or 55 or 56 or 57 or 58 or 59 or 60
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- 11 62. exp General Practitioners/
- 12
- 13 63. exp General Practice/
- 14
- 15 64. general pract*.tw.
- 16
- 17 65. exp Family Practice/
- 18
- 19 66. family pract*.tw.
- 20
- 21 67. family medicine/
- 22
- 23 68. exp Family Practice/
- 24
- 25 69. exp Physicians, Family/
- 26
- 27 70. family phys*.tw.
- 28
- 29 71. exp Nurse Practitioners/
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- 31 72. exp Nurse Clinicians/
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- 33 73. nurse pract*.tw.
- 34
- 35 74. nurse clinic*.tw.
- 36
- 37 75. exp Primary Health Care/
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- 39 76. primary care.tw.
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- 41 77. exp Community Health Services/
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3 78. community care.tw.
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10 or Cape Verde or Central African Republic or Chad or Comoros or Congo or Djibouti or Eritrea or Ethiopia\$ or Gabon or Gambia\$ or Ghana or Guinea or
11 Kenya\$ or Lesotho or Liberia or Madagascar\$ or Malawi or Mali or Mauritania or Mauritius or Mayotte or Mozambique\$ or Namibia\$ or Niger or Nigeria\$ or
12 Reunion or Rwanda\$ or Saint Helena or Senegal or Seychelles or Sierra Leone or Somalia or South Africa\$ or Sudan or Swaziland or Tanzania or Togo or
13 Uganda\$ or Zambia\$ or Zimbabwe\$ or China or Chinese or Hong Kong or Macao or Mongolia\$ or Taiwan\$ or Belarus or Moldova\$ or Russia\$ or Ukraine or
14 Afghanistan or Armenia\$ or Azerbaijan or Bahrain or Cyprus or Cypriot or Georgia\$ or Iran\$ or Iraq\$ or Israel\$ or Jordan\$ or Kazakhstan or Kuwait or
15 Kyrgyzstan or Lebanon\$ or Oman or Pakistan\$ or Palestine\$ or Qatar or Saudi Arabia or Syria\$ or Tajikistan or Turkmenistan or United Arab Emirates or
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22 abstract, original title, name of substance word, subject heading word, floating sub-heading word, keyword heading word, organism supplementary
23 concept word, protocol supplementary concept word, rare disease supplementary concept word, unique identifier, synonyms]
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29 83. limit 82 to (abstracts and english language and humans and yr="2011 -Current")
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Interview topic guide

This interview is to learn from your experience on what and how lipid management is currently delivered to patients in your practice. The aim is to understand the implementation process and resources available for the existing interventions targeting patients with cardiovascular disease (CVD) and/or risks, as well as your needs and any challenges to providing lipid management optimisation in deprived communities.

The interview will be recorded with your permission. It will last for about 45-60 minutes and any information you give will be kept confidential and anonymous.

Our conversation will cover:

- Your job title, an overview of patients in your practice, what your role involves for patients with CVD risks;
- Your understanding of lipid management and what it involves;
- How patients with CVD (or CVD risks) are managed and their clinical pathways in your practice (screening/assessment/monitoring/treatment/outcomes/referrals):
 - How you identify patients with CVD risks (e.g. NHS Health Check; frequency), and is there anything else that would indicate the need for care for you;
 - What assessment tool(s) you use to understand their needs;
 - How you monitor their disease progress;
 - Existing treatment and care provided for patients and rationale for that;
 - Clinically meaningful outcomes;
 - How and when you decide on referrals and for what services.
- Your views on the implementation process of current interventions targeting patients with CVD in your practice, and what resource is needed for their delivery;
- Your experience/challenges of managing patients' needs, and factors that influence the delivery and quality of lipid management to patients;
- The impact of COVID on lipid management in your practice and solutions implemented;
- To what extent you have accessed training (general/lipid) to support your clinical role; other information, skills and training that you may need to help you optimise lipid management;
- Any other questions or comments and suggestions that you want to mention on how you provide services for patients with CVD and what additional support you might need;
- Any other questions you have about this study.

PRISMA-P (Preferred Reporting Items for Systematic review and Meta-Analysis Protocols) 2015 checklist: recommended items to address in a systematic review protocol*

| Section and topic | Item No | Checklist item | Page no. |
|-----------------------------------|---------|---|---------------|
| ADMINISTRATIVE INFORMATION | | | |
| Title: | | | |
| Identification | 1a | Identify the report as a protocol of a systematic review | |
| Update | 1b | If the protocol is for an update of a previous systematic review, identify as such | |
| Registration | 2 | If registered, provide the name of the registry (such as PROSPERO) and registration number | |
| Authors: | | | |
| Contact | 3a | Provide name, institutional affiliation, e-mail address of all protocol authors; provide physical mailing address of corresponding author | |
| Contributions | 3b | Describe contributions of protocol authors and identify the guarantor of the review | |
| Amendments | 4 | If the protocol represents an amendment of a previously completed or published protocol, identify as such and list changes; otherwise, state plan for documenting important protocol amendments | |
| Support: | | | |
| Sources | 5a | Indicate sources of financial or other support for the review | |
| Sponsor | 5b | Provide name for the review funder and/or sponsor | |
| Role of sponsor or funder | 5c | Describe roles of funder(s), sponsor(s), and/or institution(s), if any, in developing the protocol | |
| INTRODUCTION | | | |
| Rationale | 6 | Describe the rationale for the review in the context of what is already known | 5-6 |
| Objectives | 7 | Provide an explicit statement of the question(s) the review will address with reference to participants, interventions, comparators, and outcomes (PICO) | 6 |
| METHODS | | | |
| Eligibility criteria | 8 | Specify the study characteristics (such as PICO, study design, setting, time frame) and report characteristics (such as years considered, language, publication status) to be used as criteria for eligibility for the review | 7-8 |
| Information sources | 9 | Describe all intended information sources (such as electronic databases, contact with study authors, trial registers or other grey literature sources) with planned dates of coverage | 8 |
| Search strategy | 10 | Present draft of search strategy to be used for at least one electronic database, including planned limits, such that it could be | Supplementary |

| | | | |
|------------------------------------|----------|--|-----|
| | repeated | | |
| Study records: | | | |
| Data management | 11a | Describe the mechanism(s) that will be used to manage records and data throughout the review | 8 |
| Selection process | 11b | State the process that will be used for selecting studies (such as two independent reviewers) through each phase of the review (that is, screening, eligibility and inclusion in meta-analysis) | 8 |
| Data collection process | 11c | Describe planned method of extracting data from reports (such as piloting forms, done independently, in duplicate), any processes for obtaining and confirming data from investigators | 8 |
| Data items | 12 | List and define all variables for which data will be sought (such as PICO items, funding sources), any pre-planned data assumptions and simplifications | 9 |
| Outcomes and prioritization | 13 | List and define all outcomes for which data will be sought, including prioritization of main and additional outcomes, with rationale | 9 |
| Risk of bias in individual studies | 14 | Describe anticipated methods for assessing risk of bias of individual studies, including whether this will be done at the outcome or study level, or both; state how this information will be used in data synthesis | 8-9 |
| Data synthesis | 15a | Describe criteria under which study data will be quantitatively synthesised | 9 |
| | 15b | If data are appropriate for quantitative synthesis, describe planned summary measures, methods of handling data and methods of combining data from studies, including any planned exploration of consistency (such as I^2 , Kendall's τ) | |
| | 15c | Describe any proposed additional analyses (such as sensitivity or subgroup analyses, meta-regression) | |
| | 15d | If quantitative synthesis is not appropriate, describe the type of summary planned | |
| Meta-bias(es) | 16 | Specify any planned assessment of meta-bias(es) (such as publication bias across studies, selective reporting within studies) | n/a |
| Confidence in cumulative evidence | 17 | Describe how the strength of the body of evidence will be assessed (such as GRADE) | n/a |

*** It is strongly recommended that this checklist be read in conjunction with the PRISMA-P Explanation and Elaboration (cite when available) for important clarification on the items. Amendments to a review protocol should be tracked and dated. The copyright for PRISMA-P (including checklist) is held by the PRISMA-P Group and is distributed under a Creative Commons Attribution Licence 4.0.**

From: Shamseer L, Moher D, Clarke M, Ghersi D, Liberati A, Petticrew M, Shekelle P, Stewart L, PRISMA-P Group. Preferred reporting items for systematic review and meta-analysis protocols (PRISMA-P) 2015: elaboration and explanation. BMJ. 2015 Jan 2;349(jan02 1):g7647.