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Food insecurity and the risk of HIV acquisition: Findings from population-based surveys in six sub-Saharan African countries (2016-2017)

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Food insecurity and the risk of HIV acquisition: Findings from populationbased surveys in six sub-Saharan African countries (2016-2017)

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ABSTRACT

Introduction: Food insecurity has a bidirectional relationship with HIV infection, with hunger driving compensatory risk behaviors, while infection can increase poverty. We used a laboratory recency assay to estimate the timing of HIV infection vis-à-vis the timing of severe food insecurity (SFI).

Methods: Data from population-based surveys in Zambia, Eswatini, Lesotho, Uganda, and Tanzania and Namibia were used. We defined SFI as having no food ≥three times in the past month. Recent HIV infection was identified using the HIV-1 LAg avidity assay, with a viral load (>1000 copies/ml) and no detectable antiretrovirals indicating an infection in the past 6 months. Logistic regression was conducted to assess correlates of SFI. Poisson regression was conducted on pooled data, adjusted by country to determine the association of SFI with recent HIV infection and risk behaviors, with effect heterogeneity evaluated for each country. All analyses were done using weighted data.

Results: Of 112,955 participants aged 15-59, 10.3% lived in households reporting SFI. SFI was most common in urban, woman-headed households. Among women and not men, SFI was associated with a two-fold increase in risk of recent HIV infection (adjusted relative risk [aRR] 2.08, 95% CI 1.09-3.97), with lower risk in high prevalence countries (Eswatini and Lesotho). SFI was associated with transactional sex (aRR 1.28, 95% CI 1.17-1.41), a history of forced sex (aRR 1.36, 95% CI 1.11-1.66), and condom-less sex with a partner of unknown or positive HIV status (aRR 1.08, 95% CI 1.02-1.14) in all women, and intergenerational sex (partner ≥10 years older) in women aged 15-24 (aRR 1.23, 95% CI 1.03-1.46), although this was heterogeneous. Recent receipt of food support was protective (aRR 0.36, 95% CI 0.14-0.88).

Conclusion: SFI increased risk for HIV acquisition in women by two-fold. Worsening food scarcity due to climactic extremes could imperil HIV epidemic control.

Keywords: HIV acquisition, Africa, food insecurity, transactional sex, climate change

ARTICLE SUMMARY

Strengths and limitations of this study

- This study of 112,955 adults across six countries in sub-Saharan Africa is the first study to be able to link acute food insecurity to recent HIV infection in women.
- The large number of participants allowed the analysis of potential behavioral and biological mediators between food insecurity and HIV acquisition.
- This study is also the first to demonstrate a protective association for food support, which was associated with a lower risk of recent HIV infection in women.
- The cross-sectional nature of the study did not allow us to determine the direction of the relationship between food shortages and HIV acquisition with certainty.
- The LAg avidity assay has limitations in estimation of HIV incidence as the algorithm used to classify someone as recently infected excludes anyone who might have started antiretroviral drugs within the first six months of infection.

INTRODUCTION

Climate change and its consequences are having a profound and escalating impact on global health. Acute events such as cyclones and flooding are predicted to become more frequent and severe, as are slower-onset changes such as drought and temperature extremes. These changes impact all domains of food security, including availability, access and utilization. Trends in world hunger have slowly reverted from a steady decline to a yearly increase, with a particular rise in sub-Saharan Africa (SSA), where almost 20 percent of the population is undernourished. Even predating the COVID-19 pandemic, models predicted that the risk of hunger and malnutrition globally could increase by 20% by 2050, generating humanitarian need in 200 million people per year, with the problem currently exacerbated by the economic impacts of the pandemic. Food insecurity impacts every facet of society, including political stability, economic productivity, and population displacement.

Food insecurity can be either acute or chronic.⁸ The primary drivers of transitory food insecurity relate to prices and availability, which are sensitive to environmental stressors, whereas chronic food insecurity is driven more by poverty.⁹ Acute food insecurity is a sensitive measure of economic shock and can capture changes in wealth that might prompt changes in health-related behaviors or trigger coping strategies, such as exchanging sex for food.¹⁰⁻¹²

The HIV pandemic has had a bidirectional link to food insecurity, ¹³ as the associated health consequences can drive lower productivity and decreased labor mobility, whereas food insecurity can increase HIV risk behaviors, disruptions in care and higher mortality. ¹¹⁻¹³ The impact has been assumed to be gendered in that women are particularly vulnerable to income shocks and to disruption to access to health resources. ¹⁴ Food insecurity has also been associated with lower efficacy of antiretroviral treatment (ART) due to drug malabsorption or decreased adherence, with virologic failure. ¹⁵ As countries pursue the new UNAIDS 95-95-95 goals, weather extremes disrupting food production and supplies could jeopardize epidemic control, both in terms of increased risk behaviors, as well as disruption of treatment due to displacement or poverty, impacting access to testing and ART services. This results in increasing community-level infectiousness, driving the synergistic relationship between land degradation, vulnerability to drought, food insecurity and HIV transmission. ¹⁶ ¹⁷

The Population-Based HIV Impact Assessments (PHIAs), a series of national household-based surveys which collected data on the prevalence of HIV, recent HIV infection and viral load suppression (VLS), were conducted in several countries in SSA beginning in 2015. These surveys provide a unique opportunity to assess the relationship between food insecurity and HIV incidence in a large representative

cohort of individuals. We used a theoretical framework to explore the relationships between food shortages, HIV and behavioral and biological mediators [(Supplementary Figure 1, appendix p 2)].

METHODS

Survey Design

We used data from all PHIA surveys collecting data on household food availability before 2018 (Eswatini, Lesotho, Namibia, Tanzania, Uganda, and Zambia). Surveys employed a two-stage sampling design to select a nationally representative sample of people aged 0-59 years or greater in each country. Consenting heads of households provided a roster of household members, who separately consented to interviews and household-based HIV testing. A guardian or parent provided permission for adolescent minors who were then asked for assent for all procedures. Written or verbal (Tanzania and Uganda) informed consent/assent was documented via electronic signature, with witnesses verifying consent for illiterate individuals. The PHIA protocol and data collection tools were approved by national ethics committees for each country, and the institutional review boards at Columbia University Irving Medical Center, the US Centers for Disease Control and Prevention (CDC) and the University of California, San Francisco in the case of Namibia. Due to the inclusion of six countries and the multiple ethical boards involved, we are only providing the protocol numbers for Columbia University IRB (AAAQ0753, AAAQ7860, AAAQ8408, AAAQ8537, AAAR2051, AAAQ889).

The period during which the surveys were conducted spanned different climate contexts, ranging from intense drought to overly wet conditions and flooding, described in the appendix [(Supplementary Figures 2-5, appendix pp 3-5)].

Patient and public involvement

Patients were not involved directly in the formation of this study, although representatives from organizations representing people living with HIV were consulted as part of the questionnaire design, and as part of dissemination activities.

Procedures

Interviewers administered the household questionnaire, which captured data from the household head on household assets, receipt of social support in the past three months, and access to food as measured by the Household Food Insecurity Access Scale Indicator Guide. We defined severe food insecurity as a household having no food in the house at least three times in the past four weeks. Receipt of food support was defined as having received food regardless of receipt of other support. The Dependent Ratio was

calculated by dividing the number of children on the household roster by the number of adults, multiplied by 100, and then divided into quartiles. The adult questionnaire was administered to all eligible participants aged 15 and older during face-to-face interviews using Google Nexus 9 tablets. The questionnaire included questions on lifetime (excluding Tanzania) and recent sexual behaviors (past 12 months), and on characteristics of the three most recent sexual partners. Transactional sex was defined as having exchanged sex for material support or having sold sex in the past 12 months. Early sexual debut was sex occurring before age 15, and intergenerational sex as partnering with someone at least 10 years older. High-risk sex was defined as having sex without a condom with someone with an unknown or positive HIV status. Sampling design and questionnaire specifics are included in the appendix [(pp 6-7)].

Survey staff tested participants for HIV using the national algorithm. HIV RNA in plasma and dried blood spots (DBS) was measured using real-time PCR. Laboratory staff at the University of Cape Town conducted qualitative screening for detection of the most commonly used antiretrovirals (ARVs) with long half-lives on DBS specimens from all HIV-infected adults. Staff used the HIV-1 limited antigen (LAg) avidity immunoassay to classify recent infection in HIV-positive samples, where samples with a normalized optical density below 1.5 that were did not have viral load suppression (defined as HIV RNA <1000 copies/mL) and without detectable antiretrovirals (ARVs), were considered indicative of recent infection, with a mean duration of infection of 130 days (95% CI 118–142) in all countries aside from Uganda (153 days, 95% CI 127-178). We calculated annualized incidence estimates using the World Health Organization (WHO) incidence formula. 20

We estimated community-level viremia as the weighted proportion of all adults in the sampled enumeration area with a viral load ≥1000 copies/ml, regardless of serostatus and excluding those recently infected to avoid biasing our analysis by including those with the outcome in the exposure variable.¹⁷

Household wealth quintiles were constructed at the country level using Principal Component Analysis (PCA) based on household assets and infrastructure.²¹

Statistical analysis

We restricted our analysis to 15-to-59-year-old participants who had been tested for HIV. All analyses were conducted in Stata version 15.1, with Taylor series weighting for variance estimation. All presented percentages and estimates are weighted whereas numbers are crude.

We ran two main analyses, 1) severe food insecurity as the outcome, and 2) recent HIV as the outcome and severe food insecurity as the exposure, using similar methodology. We used logistic regression for model one, retaining in our multivariable model all variables with a p<0.20 in the univariable analysis, then retaining significant variables (p<0.10) in the final model. Goodness of fit of our final model was tested using Hosmer/Lemeshow's test. We included urbanicity, sex, household wealth quintile, country and age as categorical variables in all models *a priori*. For model two, we used Poisson regression due to the rarity of recent HIV as an outcome, to provide the most conservative estimates, and stratified analyses by sex, due to evidence of inequity in impact of severe food insecurity. We also analyzed mediating behaviors identified in our framework using Poisson regression in a similar fashion to model two, restricted to those reporting ever having sexual activity, aside from the analysis of early sexual debut. We restricted our analysis of intergenerational sex to young women aged 15-24 as these partnerships are particularly risky in this age group. We excluded data from Tanzania in the analysis of forced sex due to the questions on forced sex being asked of a non-representative sample in that country (for details see [appendix p.7]).

We generated maps of the prevalence of HIV infection, viremia, and any food insecurity with SAGA in QGIS version 3.4. We used georeferenced weighted averages at the enumeration area-level, with all cases linked to the centroid of the EA, and kernel density smoothing and interpolation over 200 adult participants for each smoothing circle.

RESULTS

We enrolled 54,033 households, with 112,955 adults aged 15-59 with HIV test results and data on food insecurity. The majority of heads of households were men, although more women were heads of households in Lesotho (50.9%, n=3621/7502), Eswatini (54.7%, n=2549/4652) and Namibia (51.2%, n=4041/8002, Table 1). Most participants were rural (63.5%, n=73501/54033), with the highest rural proportions in Uganda and Eswatini, and the lowest in Namibia. The largest age group was 15-24 years old, comprising 40.2% (n=42112/112995) of the weighted population. The proportion of participants who had a secondary or greater education was highest in Eswatini (70.6%, n=6477/9553) and Namibia (70.0%, n=9979/16267), and lowest in Tanzania (25.6%, n=6490/28340). Less than half of participants (45.8%, 47357/112995) had been formally employed in the past 12 months. HIV prevalence was highest in women in Eswatini (34.2%, n=1913/5525, Figure 1A), and lowest in men in Tanzania (3.5%, n=521/12297). More HIV-positive men (47.5%, n=1727/4473) than women (37.9%, n=2963/9736) had unsuppressed viral load, which was highest in men in Tanzania (58.7%, n=301/521), and community viremia was highest in Lesotho, although highly heterogeneous across countries (Figure 1B).

).1136/bmjopen-2021-058704

| Characteristic | Eswatini | Lesotho | Tanzania | Uganda | Namibia | Zambia | Total |
|--|--------------|--------------|---------------|---------------|---------------|----------------------------|---------------|
| Household level | N=4,652 | N=7,052 | N=13,328 | N=11,717 | N=8,002 | N=9,282 | N=54,033 |
| Trouschold level | % (n) | % (n) | % (n) | % (n) | % (n) | % (<u>F</u>) | % (n) |
| Households reporting any food | 31.3 (1,531) | 31.1 (2,273) | 21.4 (2,818) | 27.8 (3,599) | 22.9 (2,015) | 17.8 (1,628) | 23.5 (13,864) |
| insecurity | | | | | |)22 | |
| Median Youth Dependency ratio (IQR) ^a | 40 (0-55) | 33 (0-50) | 50 (33-64) | 50 (33-67) | 33 (0-50) | 100 (40-200) | 100 (50-150) |
| Female head of household | 54.7 (2,549) | 50.9 (3,621) | 26.8 (3,417) | 31.0 (3,714) | 51.2 (4,041) | 23.7 (2,19) | 29.2 (19,530) |
| Receipt of economic support ^b | | | | | | de | |
| None | 63.9 (2,904) | 79.7 (5,560) | 94.2 (12,521) | 93.7 (10,961) | 71.7 (5,404) | 96.1 (8,923) | 93.1 (46,273) |
| Economic only | 19.1 (914) | 13.6 (981) | 5.2 (736) | 5.0 (593) | 19.7 (1,842) | 3.0 (271) | 5.5 (6,729) |
| Food support | 17.0 (834) | 6.7 (511) | 0.6 (71) | 1.2 (163) | 8.6 (756) | 0.9 (88) | 1.3 (2,423) |
| Individual level | N=9,553 | N=11,655 | N=28,340 | N=28,030 | N=16,267 | N=19 ,1 10 | N=112,955 |
| | % (n) | % (n) | % (n) | % (n) | % (n) | % (🖹 | % (n) |
| Geography - % (n) | | | | | | Jop | |
| Urban | 28.0 (2,131) | 48.8 (5,208) | 37.5 (9,348) | 28.8 (7,663) | 58.4 (6,765) | 45.7 (8,33 9) | 36.5 (39,454) |
| Rural | 72.0 (7,422) | 51.2 (6,447) | 62.5 (18,992) | 71.2 (20,367) | 41.6 (9,502) | 54.3 (10,721) | 63.5 (73,501) |
| Sex- % (n) | | | | | | j.c | |
| Women | 54.4 (5,525) | 49.7 (6,870) | 50.8 (16,043) | 52.5 (16,094) | 51.5 (9,220) | 51.1 (10,981) | 51.4 (64,726) |
| Men | 45.6 (4,028) | 50.3 (4,785) | 49.2 (12,297) | 47.5 (11,945) | 48.5 (7,047) | 48.9 (8,128) | 48.6 (48,229) |
| Median age (IQR) | 28 (21-38) | 30 (22-40) | 28 (20-39) | 27 (20-37) | 29 (21-40) | 27 (20-38) | 28 (20-38) |
| Age group (years) | | | | | | pri. | |
| 15-24 | 37.2 (3,599) | 34.1 (4,037) | 38.5 (10,359) | 43.3 (11,241) | 35.2 (5,557) | 41.1 (7,31, | 40.2 (42,112) |
| 25-34 | 29.0 (2,600) | 29.8 (3,223) | 27.3 (7,704) | 26.9 (7,613) | 28.6 (4,302) | 27.3 (5,138) | 27.3 (30,572) |
| 35-44 | 18.7 (1,698) | 19.0 (2,148) | 18.8 (5,603) | 16.4 (4,879) | 19.4 (3,310) | 18.1 (3,73 | 18.0 (21,374) |
| 45-59 | 15.1 (1,656) | 17.1 (2,247) | 15.4 (4,674) | 13.4 (4,297) | 16.8 (3,098) | 13.5 (2,92 5) | 14.5 (18,897) |
| No food in house in past 4 | | | | | | gue | |
| weeks | | | | | | est. | |
| Never | 66.4 (6,089) | 69.0 (7,820) | 79.3 (22,479) | 72.1 (19,441) | 75.6 (11,788) | 83.1 (15,8 9 6) | 77.1 (83,513) |
| Rarely (1-2 X) | 18.6 (1,900) | 13.1 (1,569) | 11.3 (3,299) | 15.8 (4,767) | 11.1 (1,944) | 10.2 (1,95%) | 12.7 (15,433) |
| Sometimes (3-10X) | 12.3 (1,280) | 13.4 (1,678) | 7.2 (2,010) | 10.7 (3,433) | 10.9 (2,104) | 5.8 (1,089) | 8.4 (11,594) |
| Often ($>10x$) | 2.8 (284) | 4.5 (588) | 2.2 (552) | 1.4 (389) | 2.4 (431) | 0.9 (171) | 1.8 (2,415) |
| Educational level | | | | | | 3 | |
| None | 3.5 (372) | 5.0 (572) | 12.4 (4,135) | 7.1 (2,451) | 6.7 (1,490) | 5.0 (961) 용 | 9.3 (9,981) |

| Primary | 25.9 (2,722) | 39.7 (4,912) | 62.0 (17,703) | 55.6 (15,882) | 23.3 (4,757) | 41.9 (8,322) | 55.5 (54,298) |
|--|--------------|--------------|---------------|---------------|--------------|-------------------------|---------------|
| Secondary or greater | 70.6 (6,447) | 55.3 (6,164) | 25.6 (6,490) | 37.3 (9,538) | 70.0 (9,979) | 53.1 (9,814) | 35.2 (48,432) |
| Marital status | | | | | | 87 | |
| Never married | 55.3 (5,178) | 38.6 (4,267) | 32.1 (7,914) | 33.1 (8,263) | 60.4 (9,228) | 37.2 (6,35\$) | 34.2 (41,205) |
| Married | 36.8 (3,519) | 48.1 (5,624) | 57.0 (17,086) | 53.6 (15,790) | 32.1 (5,583) | 53.6 (10,6\frac{9}{2}8) | 54.5 (58,250) |
| Separated/divorced/widowed | 7.9 (800) | 13.3 (1,743) | 10.9 (3,285) | 13.3 (3,910) | 7.5 (1,310) | 9.3 (1,965) | 11.4 (13,013) |
| Employed in past 12 months | 43.3 (3,853) | 39.2 (4,099) | 44.7 (12,155) | 53.2 (14,346) | 45.9 (6,756) | 33.8 (6,148) | 45.8 (47,357) |
| Recent migrant ^c | 10.9 (947) | 6.3 (675) | 14.6 (4,005) | 24.5 (6,556) | 29.2 (4,383) | 14.3 (2,683) | 17.9 (19,249) |
| HIV-positive | | | | | | 02; | |
| Women | 34.2 (1,913) | 30.3 (2,161) | 6.5 (1,187) | 7.7 (1,163) | 15.6 (1,623) | 14.6 (1,682) | 9.0 (9,736) |
| Men | 20.5 (875) | 20.9 (1,032) | 3.5 (521) | 4.7 (545) | 9.2 (722) | 9.3 (778) | 5.3 (4,473) |
| Viral load>1000 copies/ml ^d | | | | | | nic nic | |
| Women | 24.6 (461) | 29.5 (617) | 42.5 (513) | 37.7 (432) | 18.5 (313) | 39.8 (627) | 37.9 (2,963) |
| Men | 33.8 (274) | 36.4 (364) | 58.7 (301) | 46.1 (247) | 31.1 (220) | 42.5 (321) <u>B</u> | 47.5 (1,727) |

Note- some totals may equal greater than 100% due to rounding. Data are survey weighted using Taylor series weights for estimated of variance.

^a Dependency ratio was calculated as the number of rostered usual residents aged 0-14/(rostered 15 and older)*100.

^b Measured over the past 3 months.

^c Migrant defined as being away from home for at least one month in the past 12 months, except for Namibia, where it was during the past three years.

^d Among HIV-positive.

Correlates of severe food insecurity

Across all countries, 23.5% (n=13864/54033) of households reported having experienced any lack of food in the past 4 weeks, with 10.2% (n=14009/112955) of participants reporting severe food insecurity. All countries had regions with high burdens of food insecurity, but the distribution was highly heterogeneous, with frequency of any food insecurity ranging from 0-80% of an enumeration area's population (Figure 1C). The highest prevalence of severe food insecurity was seen in Lesotho (17.9%, 2266/11655, Table 2). Adjusted results from the multivariable analysis were similar to univariable results: male-headed households were less likely to suffer from severe food insecurity (aOR 0.71, 95% CI 0.63-0.80), as were wealthier households (aOR 0.68, 95% CI 0.64-0.71 for each quintile increase in wealth). At the individual level, secondary or greater education (aOR 0.75, 95% CI 0.68-0.82), formal employment in the past year (aOR 0.90, 95% CI 0.84-0.97), and being married (aOR 0.81, 95% CI 0.71-0.91 compared to never married) were all protective against severe food insecurity. Living in a household with many young dependents (aOR 1.12, 95% CI 1.08-1.17 per quartile increase), being aged 35-44 or 45-59 compared to 15-24, being separated/divorced or widowed (aOR 1.17, 95% 1.02-1.33), having recently migrated (aOR 1.14, 95% CI 1.05-1.24), and being HIV-positive were all associated with severe food insecurity (aOR 1.23, 95% CI 1.10-1.38). Excluding those who were recently HIV-infected did not change the latter association. After adjustment, sex, receipt of social support, and HIV-status of the head of household were no longer significant, and rural residence became protective.

Table 2. Correlates of severe food insecurity among adults aged 15-59

| | | BMJ Open | |).1136/bmjc |
|--|---|--------------------------------------|--|--|
| Table 2. Correlates of severe Characteristic (n=112,955) | Proportion of participants with SEVERE FOOD | Odds ratio (OR) 95% CI | Adjusted odds ratio (aOR) 95% CI | ue 2021-058704 o ↑ 12 July 2022. |
| | INSECURITY | | | y 20 |
| Country | % (n/N) | | |)22 |
| Country Zambia | 6.7% (1,260/19,110) | 1.0 | 1.0 | Down.001 |
| Tanzania | 9.5% (2,562/28,340) | 1.45 (1.23-1.72) | 1.34 (1.12-1.61) | § 001 |
| Uganda | 12.1% (3,822/28,030) | 1.43 (1.25-1.72) | 1.72 (1.47-2.00) | \$.001 \$.0 001 |
| Namibia | 13.3% (2,535/16,267) | 2.13 (1.82-2.50) | 1.84 (1.53-2.20) | 0.001 0.001 |
| Eswatini | 15.0% (1,564/9,553) | 2.46 (2.05-2.94) | 2.19 (1.81-2.65) | ₹0.001 |
| Lesotho | 17.9% (2,266/11,655) | 3.03 (2.61-3.51) | 2.88 (2.47-3.36) | ₹0.001 ₹0.001 |
| Location of Residence | | | | http%0.001 |
| Urban | 7.8% (10,228/73,501) | 1.0 | 1.0 | ₹ 0.001 |
| Rural | 11.7% (3,781/39,454) | 1.58 (1.39-1.78) | 0.72 (0.61-0.84) | /bm |
| Sex of head of household | | No. | |) Si |
| Female | 14.1% (5,993/36,264) | 1.0 | 1.0 | \$0.001 |
| Male | 9.0% (7,684/73,575) | 0.60 (0.54-0.67) | 0.71 (0.63-0.80) | .bn |
| HIV-positive head of | | , (%) | |)j.c |
| household | 10.2% (8,833/75,463) | 1.0 | NS | l S |
| No | 12.2% (2,447/14,589) | 1.23 (1.08-1.40) | | 0 |
| Yes | 9.6% (2,397/19,786) | 0.94 (0.83-1.06) | | .brj.com/ on April |
| Not tested | | | | Pri |
| Household wealth quintile | | 0.50 (0.65.0.52) | | ပ္ |
| Per quintile increase | | 0.70 (0.67-0.72) | 0.68 (0.64-0.71) | <u>⊠</u> 0.001 |
| Dependent ratio quartile | | 1 22 (1 17 1 27) | 1 12 (1 00 1 17) | 024 F0 001 |
| Per quartile increase | | 1.22 (1.17-1.27) | 1.12 (1.08-1.17) | ₹ 0.001 |
| Receipt of economic support | 0.00/ (10.59//02.211) | 1.0 | NC | |
| None | 9.9% (10,586/93,311) | 1.0 | NS | uest. |
| Economic only Food support | 12.6% (2,414/14,513) | 1.31 (1.12-1.53) 1.76 (1.37-2.26) | | Pro |
| ** | 16.3% (1,009/5,131) | 1.70 (1.37-2.20) | | Protected |
| Sex of participant Women | 10.7% (8,330/64,726) | 1.0 | 1.0 | cte |
| Men Men | 9.8% (5,679/48,229) | 0.90 (0.85-0.95) | 1.02 (0.96-1.08) | <u>□</u> 9 .523 |
| | 9.070 (3,079/40,229) | 0.90 (0.83-0.93) | 1.02 (0.90-1.08) | |
| Age group (years) | | | | сору |

| | | | | 22 |
|----------------------------|-----------------------|------------------|------------------|----------------|
| 15-24 | 10.1% (5,132/42,112) | 1.0 | 1.0 | 2021 |
| 25-34 | 9.2% (3,460/30,572) | 0.90 (0.84-0.98) | 1.02 (0.92-1.13) | \$.746 |
| 35-44 | 11.1% (2,721/21,374) | 1.11 (1.02-1.20) | 1.13 (1.00-1.27) | 99.042 |
| 45-59 | 11.5% (2,696/18,897) | 1.16 (1.07-1.26) | 1.12 (0.99-1.26) | 12 .061 |
| Educational attainment | | | | On On |
| None/Primary | 12.0% (9,539/64,235) | 1.0 | 1.0 | ₹0.001 |
| Secondary and above | 7.0% (4,446/48,476) | 0.55 (0.51-0.60) | 0.75 (0.68-0.82) | July |
| Marital status | | | | <u> </u> |
| Never married | 10.1% (5,115/41,205) | 1.0 | 1.0 | 202 |
| Married | 9.4% (6,544/58,250) | 0.92 (0.85-1.00) | 0.81 (0.71-0.91) | 19.001 |
| Separated/Divorced/Widowed | 15.0% (2,295/13,013) | 1.58 (1.45-1.71) | 1.17 (1.02-1.33) | 3 .023 |
| Employed in past 12 mo. | UA | | | 9.004 |
| No | 10.9% (9,014/65,511) | 1.0 | 1.0 | ₹004 |
| Yes | 9.4% (4,982/47,357) | 0.84 (0.79-0.89) | 0.90 (0.84-0.97) | Q Q |
| Recent migranta | | | | d fr |
| No | 10.1% (11,321/91,851) | 1.0 | 1.0 | 5 .001 |
| Yes | 10.9% (2,421/19,249) | 1.09 (1.01-1.17) | 1.14 (1.05-1.24) | |
| HIV infection ^b | | | | /;d <u>;</u> |
| Negative | 10.0% (11,516/98,250) | 1.0 | 1.0 | 2 .001 |
| Positive | 12.8% (2,201/13,256) | 1.32 (1.19-1.46) | 1.23 (1.10-1.38) | http://s9njoo |

NOTE- all proportions are weighted and numerator and denominators are crude values. Odds ratios calculated using logistic regression of weighted values and Taylor estimates of variance. p-values determined by Wald test. All variables p<0.20 in univariable analysis were tested in the final model, with those with a p-value <0.10 retained. Age, country, urbanicity, sex and wealth quintile were included *a priori*.

NS-not significant.

^a Migrant defined as away from home for than one month in the past 12 months, except for Namibia, where it was the past three years.

b The model was also run restricting HIV infection to those diagnosed more than one year prior to survey, which did not change the results.

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Association between severe food insecurity, food and economic support and HIV-related outcomes

Of the 14,208 HIV-positive participants, 1.9% (n=200) were classified as having recent HIV infection, of which 140 were women and 60 were men. Incidence was highest in women aged 15-49 in Eswatini (1.73%, 95% CI 0.96-2.50, Supplementary Figure 6), and lowest in men aged 15-24 in Tanzania (0%, 95% CI 0-0.23). Among those without chronic HIV infection, there were 27 recent cases in 6,699 severely food insecure women, and 113 cases in the other 48,431 women; there were 13 recent cases in 4,974 severely food insecure men, and 47 in the other 38,842 men. In univariable analysis of predictors of recent HIV infection, the relative risk of new infection was highest in women aged 25-34 and in men aged 35-44, and 45-59, compared to participants aged 15-24 (Table 3). Results from our multivariable model demonstrated that severe food insecurity was associated with a two-fold increase in risk of recent infection in women (aRR 2.08, 95% CI 1.09-3.97), with the effect relatively homogeneous across countries, although a lower risk was seen in Lesotho and Eswatini (Figure 2). There was no significant risk noted in men. Both sexes were at higher risk of HIV acquisition if previously married, compared to never married, but currently married men were also at significantly higher risk of recent HIV infection (aRR 8.96, 95% CI 1.77-45.35). Receipt of food support was associated with a pronounced lower risk of recent HIV in women (aRR 0.36, 95% CI 0.14-0.88), whereas receipt of other types of support was not, and neither were protective in men. The use of a scale of food insecurity incorporating the three questions on food availability and access, did not produce substantially different results than our measure [(Supplementary Table 1)], although fewer people were classified as severely food insufficient. This is further discussed in the appendix [(p 9)].

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Table 3. Multivariable analysis of relative risk of recent HIV infection in those with severe food insecurity in participants aged 15-59, by sex sex

| | Men (n=43,827)∾ | | | | | | | | |
|-----------------------------|--------------------|------------|------------------|---------|-------------------|------------|--|---------|--|
| Characteristic | | (n=54,834) | | | | (n=43,827) | | | |
| Characteristic | RR (95% CI) | P-Value | aRR | P-value | RR | | ည္က aRR | | |
| | | | (95% CI) | | (95% CI) | P-Value | 295% CI) | P-value | |
| Severe food insecurity | 2.11 (1.11-4.03) | 0.023 | 2.08 (1.09-3.97) | 0.026 | 1.85 (0.82-4.20) | 0.140 | 1.7\(\bar{\varphi}(0.84-3.74) | 0.134 | |
| Age group (years) | | | | | | | nlo | | |
| 15-24 | 1.0 | | 1.0 | | 1.0 | | 1.00 | | |
| 25-34 | 1.72 (0.97-3.05) | 0.064 | 1.20 (0.61-2.35) | 0.594 | 2.62 (0.93-7.36) | 0.067 | $0.8 \stackrel{\text{gr}}{\underline{\text{P}}} (0.22 - 3.05)$ | 0.760 | |
| 35-44 | 1.14 (0.59-2.21) | 0.698 | 0.77 (0.35-1.67) | 0.500 | 5.40 (1.92-15.21) | 0.001 | 1.45 (0.35-5.99) | 0.605 | |
| 45-59 | 0.61 (0.21-1.76) | 0.355 | 0.34 (0.11-1.12) | 0.077 | 4.75 (1.49-15.13) | 0.009 | $1.2\overline{7}(0.30-5.54)$ | 0.747 | |
| Country | | | | | | | | | |
| Zambia | 1.0 | | 1.0 | | 1.0 | | 1.0 b | | |
| Tanzania | 0.38 (0.22-0.66) | 0.001 | 0.46 (0.26-0.82) | 0.009 | 0.60 (0.24-1.50) | 0.272 | 0.58 (0.22-1.52) | 0.263 | |
| Uganda | 0.59 (0.36-0.97) | 0.036 | 0.68 (0.41-1.14) | 0.140 | 1.45 (0.58-3.61) | 0.421 | 1.3 (0.50-3.42) | 0.583 | |
| Namibia | 0.67 (0.34-1.30) | 0.233 | 0.89 (0.43-1.83) | 0.749 | 0.47 (0.13-1.67) | 0.243 | 0.72(0.19-2.73) | 0.631 | |
| Eswatini | 1.60 (0.91-2.81) | 0.102 | 1.64 (0.89-3.02) | 0.115 | 3.07 (1.18-8.00) | 0.022 | 2.84 (1.04-7.79) | 0.042 | |
| Lesotho | 1.32 (0.75-2.30) | 0.331 | 0.86 (0.47-1.56) | 0.614 | 3.46 (1.42-8.47) | 0.007 | 2.6(0.99-6.85) | 0.053 | |
| Location of Residence | | | | | | | , ≥ | | |
| Urban | 1.0 | | | | 1.0 | | 1.09 | | |
| Rural | 0.72 (0.46-1.13) | 0.154 | | | 2.44 (1.14-5.25) | 0.022 | 2.47 (1.14-5.35) | 0.022 | |
| Wealth Quintile | | | | | | | <u> </u> | | |
| (per quintile increase) | 0.99 (0.84-1.16) | 0.886 | | | 0.81 (0.66-0.98) | 0.033 | ,o | | |
| Community viremia | | | | | 4 | | 202 | | |
| (per 1% increase) | 1.12 (1.09-1.16) | < 0.001 | 1.10 (1.05-1.15) | <0.001 | 1.10 (1.05-1.16) | < 0.001 | 1.16 (1.02-1.18) | 0.015 | |
| Receipt of economic support | | | | | | | У 9 | | |
| None | 1.0 | | 1.0 | | 1.0 | | Leg | | |
| Economic only | 1.14 (0.58-2.21) | 0.709 | 1.06 (0.54-2.07) | 0.864 | 1.48 (0.55-3.99) | 0.436 | st. | | |
| Food support | 0.51 (0.20-1.32) | 0.162 | 0.36 (0.14-0.88) | 0.025 | 4.10 (0.74-22.76) | 0.106 | Pro | | |
| Migration | | | | | | | y guest. Protected by | | |
| None | 1.0 | | | | 1.0 | | Xec | | |
| Away for >1 month | 1.11 (0.57-2.17) | 0.751 | | | 0.79 (0.32-1.92) | 0.597 | 9 | | |
| Employment status | | | | | | | Q | | |
| No formal employment | 1.0 | | | | 1.0 | | 1.00 | | |

| Worked in past year | 1.48 (0.93-2.36) | 0.097 | | | 2.33 (1.11-4.91) | 0.026 | 1.95(0.95-4.02) | 0.070 |
|----------------------------|------------------|---------|------------------|-------|--------------------|---------|------------------------------|-------|
| Marital status | | | | | | | -05 | |
| Never married | 1.0 | | 1.0 | | 1.0 | | 1.087 | |
| Married | 1.51 (0.86-2.65) | 0.148 | 1.86 (0.94-3.68) | 0.074 | 10.83 (3.86-30.38) | < 0.001 | 8.9 (1.77-45.35) | 0.008 |
| Separated/Divorced/Widowed | 3.10 (1.69-5.71) | < 0.001 | 4.25 (1.89-9.57) | 0.001 | 11.19 (2.21-56.73) | 0.004 | 8.2\(\frac{9}{2}(1.15-59.02) | 0.036 |
| Male circumcised | | | | | 0.60 (0.30-1.19) | 0.144 | NS 7 | NS |

RR: Relative risk, aRR: adjusted relative risk, CI: confidence interval.

Jopan.hmj.com/ on Ap. Note- RR determined by Poisson regression using weighted values and Taylor estimates of variance. All variables p<0.20 in univariable analysis were tested in the final model, with those with a p-value <0.10 retained. Age group and country were included a priori. Results indicated in bold are significant at p<0.05.

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The frequency of different potential mediating behaviors is described in Supplementary Table 2. Women in Uganda reported the highest frequency of transactional sex (19.5%, n=2,353/11824), early sexual debut (12.1%, n=2,009/15,813), and forced sex (16.2%, n=384/2898), whereas women in Tanzania reported more high-risk sex (45.8%, n=5.038/11,246) and intergenerational sex in young women (18.2%, n=616/3,556). There was a statistically significant association between severe food insecurity and transactional sex (aRR 1.28, 95% CI 1.17-1.41, Table 4). Women with severe food insecurity also reported more frequent early sexual debut (aRR 1.18, 95% CI 1.06-1.31), more forced sex (aRR 1.36, 95% CI 1.11-1.66), and more high-risk sex (aRR 1.08, 95% CI 1.02-1.14). Economic (aRR 0.89, 95% CI 0.84-0.95) and food support (aRR 0.81, 95% CI 0.69-0.97) were both associated with significantly lower risks of high-risk sex. Severe food insecurity was also associated with an elevated risk (aRR 1.23, 95% 1.03-1.46) of intergenerational sex, reported by 16.5% of young women. None of the behaviors were associated with urbanicity after adjusting for other demographic factors. There was heterogeneity between Interge. countries for the increased risk of forced and intergenerational sex in food insecure women (Supplementary Figure 7).

| | | ВМЈ | Open | | 1136/ |
|--------------------------------|---|------------------------------------|------------------------------------|------------------------------------|--|
| able 4 – Multivariable ana | alysis of the relative | risk of several high-r | isk sexual behaviors | among women aged 1 | .1138/bmjopen-2099 with severe food |
| nsecurity | | | | |)58704 or |
| | | | BEHAVIORAL OUT | | _, |
| Characteristic | Transactional sex | Early sexual debut | History of forced | High-risk sex ^b | No Intergenerational sex in |
| | aDD (050/ CI) | oDD (050/ CI) | sex ^a | aDD (050/ CI) | ≤ AGYW° aRR (95% CI) |
| Severe food insecurity | aRR (95% CI) 1.28 (1.17-1.41)*** | aRR (95% CI) 1.18 (1.06-1.31)** | aRR (95% CI) 1.36 (1.11-1.66)** | aRR (95% CI) 1.08 (1.02-1.14)** | ≈ aRR (95% CI) № 23 (1.03-1.46)** |
| Country | 1.20 (1.1/-1.41) | 1.10 (1.00-1.31) | 1.30 (1.11-1.00) | 1.00 (1.02-1.14) | \(\frac{2}{\chi} \) \(\frac{1.03-1.40}{\chi} \) \(\frac{1}{\chi} \) |
| Zambia | 1.0 | 1.0 | 1.0 | 1.0 | ₹.0 |
| Tanzania | 0.71 (0.64-0.80)*** | 0.73 (0.67-0.81)*** | 1.0 | 1.39 (1.31-1.46)*** | 5.0 ≸.37 (1.17-1.60)*** |
| Uganda | 1.11 (1.00-1.22)* | 1.08 (0.99-1.18) | 1.59 (1.30-1.95)*** | 1.19 (1.13-1.26)*** | \(\frac{1}{2}\).37 \((1.17-1.00)\)* |
| Namibia Namibia | 0.44 (0.38-0.50)*** | 0.87 (0.77-0.99)* | 0.59 (0.48-0.72)*** | 0.71 (0.66-0.78)*** | 6.43 (1.14-1.80)** |
| Eswatini | 0.24 (0.19-0.30)*** | 0.62 (0.53-0.72)*** | 0.52 (0.40-0.67)*** | 0.71 (0.64-0.76)*** | 3.43 (1.14-1.80)*** 3.06 (1.67-2.55)*** |
| Lesotho | 0.34 (0.29-0.39)*** | 0.56 (0.49-0.64)*** | 1.46 (1.27-1.68)*** | 0.88 (0.82-0.94)*** | \$.02 (0.84-1.23) |
| Location of Residence | 0.34 (0.25-0.35) | 0.30 (0.43-0.04) | 1.40 (1.27-1.08) | 0.88 (0.82-0.94) | - |
| Urban | NS | NS | 1.0 | NS | http://o |
| Rural | INS | INS | 0.93 (0.75-1.17) | INS | 2 .14 (0.98-1.32) |
| Age group ^d (years) | | | 0.93 (0.73-1.17) | | 14 (0.98-1.32) |
| 15-24 | 1.0 | 1.0 | 1.0 | 1.0 | er year increase |
| 25-34 | 0.85 (0.79-0.91)*** | 0.78 (0.71-0.85)*** | 0.87 (0.73-1.03) | 1.01 (0.97-1.06) | b .04 (1.02-1.07)*** |
| 35-44 | 0.89 (0.83-0.97)** | 0.77 (0.70-0.86)*** | 0.87 (0.73-1.03) | 1.01 (0.97-1.00) | |
| 45-59 | 0.70 (0.61-0.80)*** | 0.68 (0.60-0.76)*** | 0.57 (0.44-0.75)*** | 1.44 (1.38-1.51)*** | nJ.cdr |
| Wealth quintile- | 0.70 (0.01-0.00) | 0.08 (0.00-0.70) | 0.37 (0.44-0.73) | 1.44 (1.30-1.31) | +2 |
| Per quintile increase | 0.92 (0.89-0.94)*** | 0.91 (0.88-0.93)*** | 1.09 (1.01-1.18)* | 0.93 (0.92-0.95)*** | P.09 (1.04-1.14)*** |
| Education | 0.72 (0.03-0.34) | 0.71 (0.00-0.73) | 1.07 (1.01-1.10) | 0.93 (0.92-0.93) | 7.07 (1.04-1.14) |
| None/Primary | 1.0 | 1.0 | | | April |
| Secondary or more education | 0.81 (0.74-0.88)*** | 0.39 (0.35-0.43)*** | NS | NS | ω ₀ 71 (0.62-0.82)*** |
| Marital status | 0.01 (0.7 1 0.00) | 0.37 (0.33 0.73) | 110 | 110 | N (0.02 0.02) |
| Single | 1.0 | 1.0 | | 1.0 | \$\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\ |
| Married | 0.34 (0.31-0.37)*** | 1.51 (1.36-1.68)*** | | 0.92 (0.87-0.98)** | 8 75 (2 27-3 34)*** |
| Separated/divorced/ widowed | | 1.71 (1.51-1.93)*** | NS | 1.12 (1.04-1.20)*** | g .63 (2.09-3.31)*** |
| Receipt of economic | (====================================== | | | () | _ |
| support ^e | | | | | Prc Prc |
| None | | | | 1.0 | ntec |
| Economic only | NS | NS | NS | 0.89 (0.84-0.95)*** | Protected |
| Food support | | | 1 | 0.81 (0.69-0.97)* | ୍ଷ by |

^{*} p≤0.05; ** p≤0.01; *** p≤0.01; AGYW, adolescent girls and young women, aged 15-24 years. NS- not significant. Analysis regricted to those who report a history of sexual activity aside from sexual debut.

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BMJ Op the final model, with those with a p-value < 0.10 retained. Age group, and country were included a priori.

- a each household. A 1,5-24.

 also of unknown or positive HIV S 3 older in the past 12 months.

 alysis restricted to the 15-24 year age band. a Violence questions were asked to a subset of participants in each household. The results exclude Tanzania due to a non-representative sample. In Uganda, sexual violence questions were only asked to those aged 15-24.
- ^b Defined as having sex without a condom with someone of unknown or positive HIV status in the past 12 months.
- ^c Defined as having a sexual partner at least 10 years older in the past 12 months.
- ^d Age included as a continuous variable in the analysis restricted to the 15-24 year age band.
- ^e Measured over the past 3 months.

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DISCUSSION

To our knowledge, this is the first study to directly link acute food insecurity with HIV incidence in women in sub-Saharan Africa, supporting prior studies which have shown associations between hunger, sexual risk-taking, and prevalent HIV infection. 11 12,22 The robustness and representativeness of the PHIA data, spanning multiple countries and contexts, including highly variable community HIV burden, is particularly valuable for substantiating the likely pathways for this association. 12 26 Our data also suggest that some of the communities with the highest levels of any food insecurity, such as areas in Lesotho, tend to have the highest HIV prevalence; these findings could therefore have serious implications for efforts to achieve or maintain epidemic control.

There was substantial variation in the spatial distribution of households reporting any food insecurity, both across countries and sub-nationally. However, we were able to find consistent correlates with insecurity: poorer households headed by women, or with many children, had much higher odds of severe food shortages. These findings have been shown in multiple other studies, attributed to the fact that women often have little control over resources such as land and employment, leading to a disproportionate susceptibility to poverty and income shocks.²⁷ It is noteworthy that female sex is not significant in our adjusted model, and that marriage is protective, suggesting that women in male-headed-households are protected by their husband's income-earning potential. The bidirectionality of the relationship between food insecurity and HIV infection is also seen here, where infection was strongly associated with severe food insecurity even when we restricted our analysis to those infected for more than one year.¹⁶

The two-fold increase in risk of recent HIV infection seen in women who reported severe food insecurity reinforces other studies which have shown increases in risk behavior and higher HIV prevalence in food insecure women, ¹⁴ ²⁸ ²⁹ but allows us to better understand the direction of the association. This increased acquisition may be attributed to the constellation of risk factors impacting these women, including more transactional sex. The risk of transactional sex declined with age, and with wealth and education. These findings build on previous studies which found that food insecurity and poverty is commonly associated with sex in exchange for goods. ¹² ²² ²⁶ ²⁷ Young food-insecure women were also more likely to report significantly older partners, possibly because they confer some financial benefit. These older partners are often more infectious than same-aged partners as a result of higher rates of viremia due to having recently acquired HIV, being undiagnosed, or not taking ART. ²⁴ ²⁵ ³⁰ It is also noteworthy that women of all ages compounded their risk by not using condoms with men who might be HIV positive, a risk factor implicated as a key driver of HIV acquisition. ³¹ Finally, the fact that food-insecure women reported both

more forced sex over their lifetimes, as well as more frequent early sexual debut suggests that some of the risk behaviors are a result of compounded vulnerabilities, and that some experiences might precede or predispose women to food insecurity. These findings also support that food security has a significant gender dimension, where women are both more at risk of severe hunger, and suffer more consequences due to limited coping strategies, which includes different forms of sexual activity in exchange for material support. Finally, the inter-country heterogeneity of certain risk behaviors suggests that there might be multiple different pathways between food insecurity and HIV acquisition, and these are likely to be highly contextual.

While most forms of social support were not associated with a protective effect, receipt of food support was associated with a 64% lower risk of recent HIV infection in women. This suggests that hunger alleviation interrupts the cycle of vulnerability, possibly because food support generally goes directly to women who are responsible for intra-household consumption needs, and is unlikely to be used by men for other purchases.³² Our results suggest that food support addresses women's immediate food shortage, alleviating the pressure to engage in forms of high-risk behaviors to obtain food. Further analysis of our data, disaggregated by sex, age and risk group, and epidemiological context, is currently being conducted to understand how different forms of social support impact behaviors and HIV risk; this should enable the comparison of our data with other studies which have shown benefits of social or financial support, both in terms of short-term assistance and longer-term coping strategies.^{33 34} This research supports the need to address structural constraints underlying poverty, as well as behavioral change and gender equity, and underscores the importance of including women as active agents who can assist in understanding how best to use social assistance.

Limitations of this study include the single-point estimate of HIV infection and associated behaviors, where the cross-sectional nature of the data means that the direction of effect is difficult to determine with certainty. The LAg avidity assay has limitations in estimation of HIV incidence and the algorithm excludes anyone who might have started antiretroviral drugs within the first six months of infection.²⁰ We also had relatively small numbers of people classified as recently infected across the surveys, particularly men, which prohibited an in-depth analysis of factors driving HIV infection in this group. However, in women, our findings are supported by our theoretical framework, suggesting that the findings are robust. The attenuation of any effect seen in the multivariable model of recent HIV infection in men also suggests that the patterns of risk for this sex are more context dependent, and therefore a pooled analysis across several different countries is less appropriate. Furthermore, as hazardous drinking data were not collected in all PHIA countries, it prohibited its inclusion in our models, which might have obscured its importance as a driver of both food shortages as well as HIV acquisition.³⁵ Further research incorporating more

community level variables, as well as other factors which might be more predictive of infection in males, are critical to the identification of high-risk men.

Conclusions

In conclusion, in this time of global economic disruption and stark increases in food insecurity, it is critical to support the economic empowerment of women, but also the more immediate targeting of food support to the communities most vulnerable to the devastating effects of climate change. Understanding that food insecurity has both short and long-term consequences, including HIV transmission, should spur further investments in preparedness, including in crop and community resilience and environmental justice. The international recognition that food support prevents conflict is heartening, but global donors must also consider other consequences of hunger, including the risks to HIV epidemic control in communities with ongoing high incidence compounded by poverty and food shortages.

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Competing interests

The authors declare that they have no competing interests.

Authors' contributions

AL, EG, AS, NM, JJ, CG, HP, AH, WK, KJ, SF, and JW designed the study. AL, EG, AS, NM, JJ, CG, SB, KS, AH, DB, JM, WK, NP, LM, JW, and SF designed the data collection tools. AL and EG did the statistical analysis. AL took primary responsibility for writing the manuscript. All co-authors contributed to data analysis and interpretation, and to the writing and review of the manuscript.

Supplementary File

Additional file 1: Food_Insecurity_Appendix_09_2021.docx.

This appendix contains additional details on the analytic framework, study design, variable construction, the climate context at the time of data collection, and more information on the measurement of food insecurity.

Figures

Figure 1: Weighted maps of the prevalence of (A) HIV infection, (B) Community HIV viremia, and (C) Any food insecurity in adults aged 15-59 in six countries in Africa, 2016-2017

Legend: Maps were generated with SAGA in QGIS version 3.4. We used georeferenced weighted averages at the enumeration area-level, with all cases linked to the centroid of the EA, and kernel density smoothing and interpolation over 200 adult participants for each smoothing circle. **(B)** community HIV

viremia (%) was defined as a viral load >1000 copies/ml, in the total sampled population regardless of HIV serostatus; (C) any food insecurity defined as no food in the house at least once in the past four weeks.

Figure 2: Country Specific adjusted relative risk of HIV acquisition by severe food insecurity in women aged 15-59

Legend: Zambia results are not included as the model did not converge.



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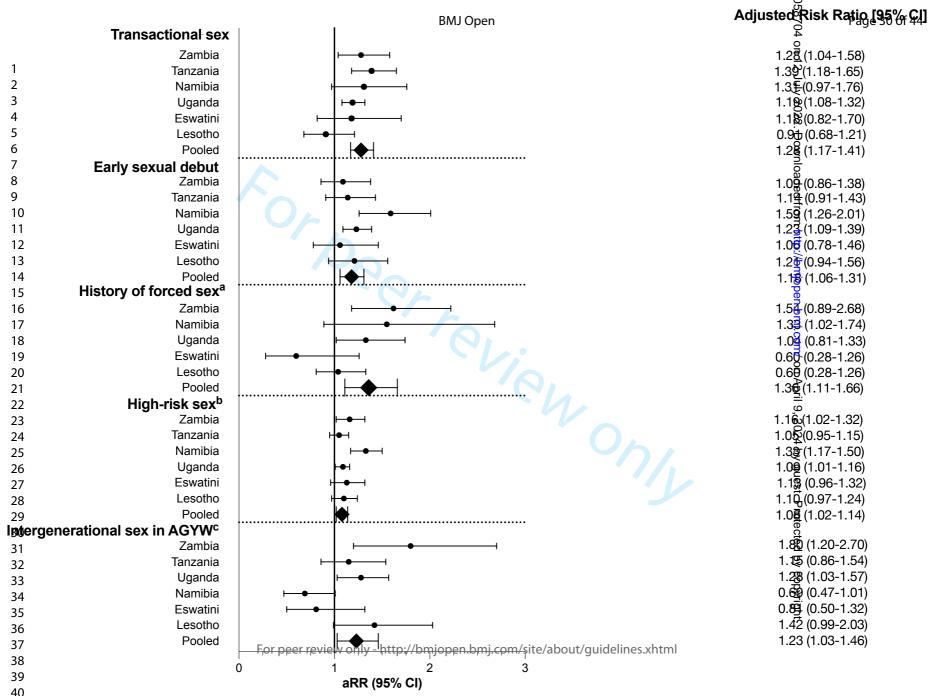
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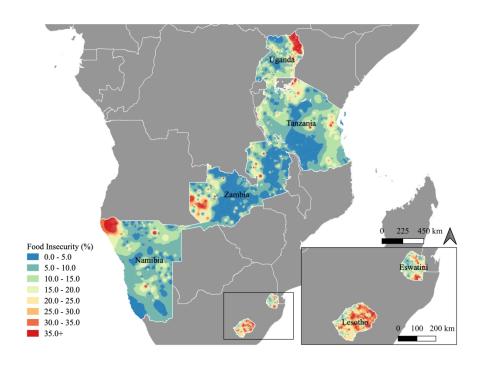
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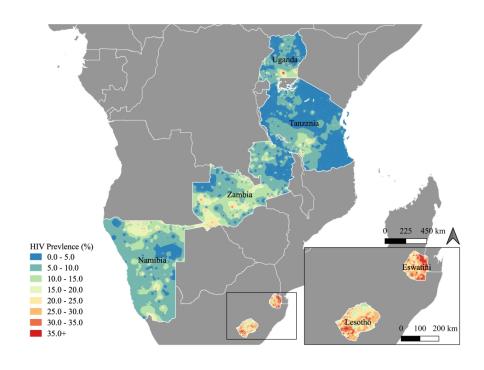
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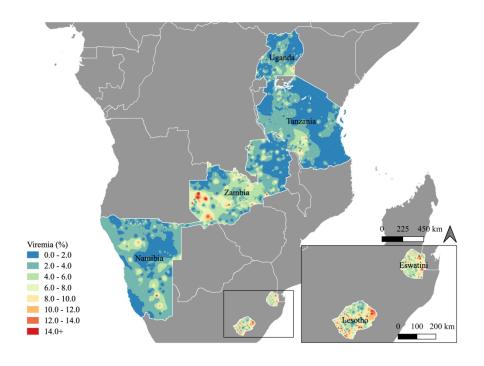




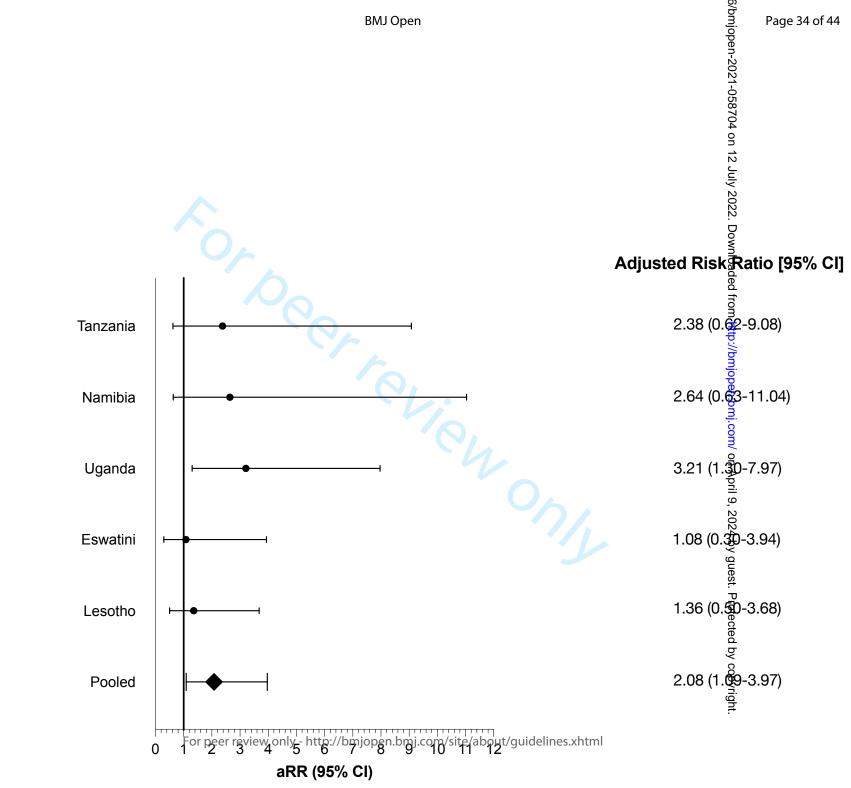
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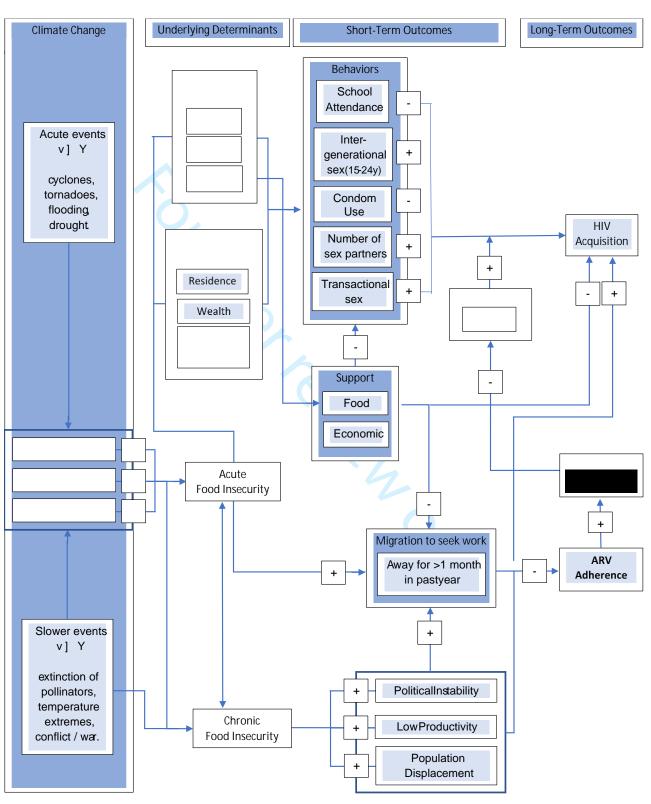
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Theoretical framework for relationship between climate change and HIV

Supplementary Figure 1. Theoretical framework



Climate context preceding and during PHIA data collection

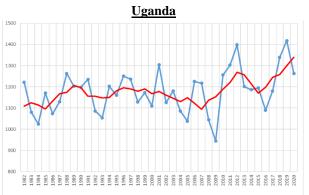
Global climate context

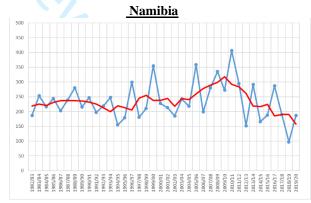
Climate extremes have immediate and long-term impacts on livelihoods of poor and vulnerable communities, contributing to greater risks of food insecurity. Different methods of estimating the impact of climate change on food availability have consistently shown that temperature changes will negatively impact crop yields at the global and national levels. With each degree increase in global mean temperature, there would be an average reduction in global yields of wheat by 6%, rice by 3% and soybeans by 3%. Studies have also shown that in 2019, prior to the COVID-19 pandemic, 34 million people were acutely food insecure. Evidence is also suggesting that 22 million people were displaced due to natural disasters in 2018. Women comprise the majority of the world's poor in both the urban and rural sectors and they are the majority of those working in the informal employment sector.

Analysis carried out by the World Food Programme (WFP) on rainfall and temperature patterns in the past 40 years for several countries in the African continent show that while there are marked temperature increases across the region, the case is less clear cut for rainfall. Of the countries under analysis, Uganda, Tanzania and Zambia show positive rainfall trends over the past 40 years, while negative trends are apparent in Eswatini and Lesotho, with no clear trend for Namibia. The trend for Uganda is the most marked (increase of 2.9mm/year) due to an exceptionally wet last three years.

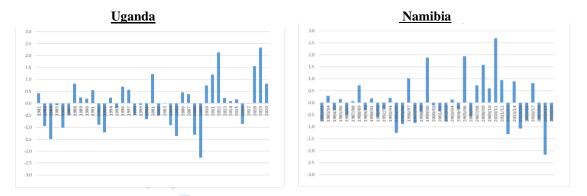
The key rainfall feature for agricultural production and consequently rural food production and food insecurity is the inter-annual (year-on-year) variability in rainfall. In the long run, rainfall variability is a major determinant of livelihoods in the semi-arid tropics as beyond a certain value, purely agriculture-based livelihoods become unfeasible and households switch progressively to livestock-based livelihoods. These fluctuations subject households to the twin hazards of drought and flood. It is a long-term driver of chronic food insecurity as large and unpredictable year-on-year fluctuations in rainfall amounts prevent households from diversifying the crops they plant and lead them to become more risk averse and conservative in terms of their production strategies. In general, the magnitude of inter-annual variations is much larger than any changes arising from a possible long-term trend.

Supplementary Figure 2. 1981-2020 all country seasonal rainfall for Uganda (left) and Namibia (right). Note large inter-annual fluctuations and lower frequency fluctuations Standardized anomaly of seasonal rainfall for Uganda (left) and Namibia (right) from 1981 to 2020.





Supplementary Figure 3. Standardized anomaly of seasonal rainfall for Uganda (left) and Namibia (right) from 1981 to 2020.



Another mode of variation in seasonal rainfall that may be present is associated with multi-year periods of drier or wetter than average conditions with inter-annual variability super-imposed on these lower frequency cycles. Of the countries in the study, Namibia in particular, but also eSwatini and Lesotho were undergoing drier than average conditions, while both Tanzania and Uganda are in a wetter than average phase.

Two extreme circumstance are exemplified by Uganda and Namibia: since 2010, Uganda has registered a single year with drier than average conditions (2016), while since 2012, Namibia has faced mostly drier than average seasons including the driest year in the 40-year record. Both these situations were preceded by opposite tendencies, a succession of mostly drier than average seasons for Uganda (2002-2009) and wetter than average seasons for Namibia (2005-06 to 2011-12).

The implications are that even if a clear picture were available as to the magnitude and direction of the trend in annual precipitation as a result of climate change, it is the direction and magnitude of change in precipitation variability that would be of crucial importance to infer potential impacts on food insecurity and livelihoods. However, while changes in mean and extreme rainfall have been the object of intense study, rainfall variability has received much less attention. Recent studies indicate that in response to global warming, rainfall variability in tropical areas is expected to increase more than mean precipitation due to greater increase in rainfall extremes.⁴

Local climate context of PHIA surveys

It is important to place the timing of the surveys against both the intra-seasonal context and the recent climate context which was somewhat unique at least for Southern Africa. Food insecurity has a well-defined seasonality: typically, in systems dominated by unimodal rain fed agriculture, planting follows soon after the onset of rains with harvests towards the end of the rainfall season. Food insecurity is usually at its lowest after harvest as household stocks are replenished and market prices tend to their yearly minimum. As household stocks are exhausted and staple food prices rise again, food insecurity tends to increase and reach a maximum during the so-called "hunger gap", a period in the first half of the rainfall season when stocks from the previous harvest have been exhausted, the new harvest is still away and market prices hit their seasonal high. Therefore, following a drought, food insecurity will peak in the early stages of the next rainfall season (even if this happens to be quite favorable).

Supplementary Figure 4. Timing of Rainfall, Agricultural Cycles, and Food Insecurity

| | AUG | SEP | OCT | NOV | DEC | JAN | FEB | MAR | APR | MAY | JUN | JUL |
|--------------|-----|-----|-----|------------|------|-----|-----|-----|-----|---------|-----------|-----------|
| Rainfal | | | | | | | | | | | | |
| Agriculture | | | | Plan | ting | | | | | Harvest | | |
| Food Securit | y | | | Hunger Gap | | | | | | | Higher Fo | od Stocks |

The surveys in this analysis were mostly carried out during a fairly unique period from the climate point of view. From late 2014 to mid-2016 one of the longest and most intense El Niño events on record developed. For Southern Africa in particular, it led to two consecutive droughts, the second of which had very intense impacts on regional

food insecurity. Consecutive droughts have compounding effects on food insecurity - the first drought, besides depleting national and regional stocks and direct impacts on households, enhances their vulnerability due erosion of household savings and sale of productive of assets. This enhances the impacts of the second drought through severe reductions in staple food availability and extreme market prices. This was followed by two La Niña events in 2016-2017 and 2017-2018, which nevertheless led to drier than average conditions in East Africa and wetter conditions in Southern Africa.

The figure below shows the timing of the surveys against a simplified drought / food insecurity timeline: we see that the surveys in Zambia took place during harvest time of 2016, after the hunger gap but during a meager harvest and inflated market prices; surveys in eSwatini and Lesotho partly coincided with the period of most extreme food insecurity. In Namibia, the survey took place following the harvests of what was a favorable season, allowing a recovery from the preceding drought impacts. In Tanzania and Uganda, the surveys took place in the drier than average season of 2016-2017. So, except for Namibia, the surveys took place in periods heavily or significantly influenced by drought events.

Supplementary Figure 5. Climate conditions preceding data collection in each country

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FI- food insecurity

Survey methodology including sample design and construction of variables

A. Survey Design and Sample Size

The PHIA surveys employed a cross-sectional, two-stage, cluster sampling design to obtain a nationally representative sample of adults aged 15 years and older, with varying upper age limits. The first-stage sampling units were enumeration areas (EAs) selected with probabilities proportionate to the number of households in the EA, with allocation to subnational areas designed to achieve 30% precision around a national estimate of incidence and 95% confidence intervals (CI) of ± 0.10 for regional estimates of viral load suppression (VLS) in individuals aged 15-49 years. There was an assumed intra-cluster correlation of 0·05 for prevalence and VLS rates. The estimated numbers of households, individuals and blood draws included adjustments for household vacancy and non-response, number of individuals per household, individual non-response, and refusal of blood testing or specimen loss, based on data derived from the most recent national census. Post-stratification weights were calculated to reflect the age and sex distribution of the most recent national census.

All households within the boundaries of the selected EAs were listed by trained staff prior to data collection. In the second stage of sampling, households were randomly selected from each EA using an equal probability approach that allowed variation in the number of households depending on the size of the EA between the time of the census and the survey household listing. On average, 25 households were selected in each EA.

B. Variable description

The food insecurity questions were included in each country's household questionnaire and included the following questions, based on HFIAS:¹¹

In the past 4 weeks, was there ever no food to eat of any kind in your household because of a lack of resources to get food? [YES/NO/DON'T KNOW]

How often did this happen in the past 4 weeks? [RARELY (1-2 TIMES)/SOMETIMES (3-10 TIMES)/OFTEN (MORE THAN 10 TIMES)]?

In the past 4 weeks, did you or any household member go to sleep at night hungry because there was not enough food? [YES/NO.DON'T KNOW]

How often did this happen in the past 4 weeks? [RARELY (1-2 TIMES)/SOMETIMES (3-10 TIMES)/OFTEN (MORE THAN 10 TIMES)]?

In the past four weeks, did you or any household member go a whole day and night without eating anything because there was not enough food? [YES/NO/ DON'T KNOW]

How often did this happen in the past 4 weeks? [RARELY (1-2 TIMES)/SOMETIMES (3-10 TIMES)/OFTEN (MORE THAN 10 TIMES)]?

Heads of households who responded that there was ever no food to eat of any kind their household because of lack of resources to get food, and then classified this as sometime (3-10 times) or often (10 times or more) in the past four weeks, were classified as living in a household with severe food insecurity.

The receipt of economic and food support was asked as:

Has your household received any of the following forms of external economic support in the last 12/3 months? [SELECT ALL THAT APPLY]

NOTHING [A]

CASH TRANSFER (E.G. PENSIONS, DISABILITY GRANTS, CHILD GRANT) [B]

ASSISTANCE FOR SCHOOL FEES [C]

MATERIAL SUPPORT FOR EDUCATION (E.G. UNIFORMS, SCHOOL BOOKS, EDUCATION, TUITION SUPPORT, BURSARIES) [D]

INCOME GENERATION SUPPORT IN CASH OR KIND (E.G. AGRIGULTURAL INPUTS) [E]

FOOD ASSISTANCE PROVIDED AT THE HOUSEHOLD OR EXTERNAL INSTITUTION [F]

MATERIAL OR FINANCIAL SUPPORT FOR SHELTER [G]

SOCIAL PENSION [H]

OTHER [X]_____(SPECIFY)

DON'T KNOW [Z]

Receipt of food support was defined as having received food assistance provided at the household or external institution in the past three months, regardless of whether they received any other types of support. Other social support included all other types excluding those who received food assistance or who reported having received nothing.

The *socio-demographic characteristics* included residence, defined as urban vs rural, and wealth quintile, which was constructed using Principal Component Analysis (PCA) based on household assets and infrastructure, including the type of house construction, cooking fuel, toilet and water source, based on the methods used by the Demographic and Health Surveys. Por variables at the individual-level, these included age and educational level, defined as the level attended, even if not completed. Employment status was based on reported recent status of paid work, where they were classified as currently enrolled in school, engaged in paid work in the past 12 months, both or neither. Marital status was defined as never married or having lived with a sexual partner, currently married or living with a partner, or no longer married, comprised of all who responded that they were currently separated, divorced or widowed.

Behavioural variables included asking whether participants had ever been tested for HIV and received the results, and if they had done so in the past 12 months, and female participants were asked about previous pregnancies and their outcomes. Sexual behaviour variables described the lifetime number of sexual partners, who could be partners with whom the participant engaged in either anal or vaginal sex acts. Among those who reported sexual activity in the past 12 months, the following characteristics were measured: how many partners, and for the three most recent partners, their relationship status with the participant (including casual partner, regular partner or husband), their age, and whether they had engaged in the partnership for goods or gifts.

For condom use with an extramarital partner, the denominator was those who reported having an extramarital partner in the past 12 months.

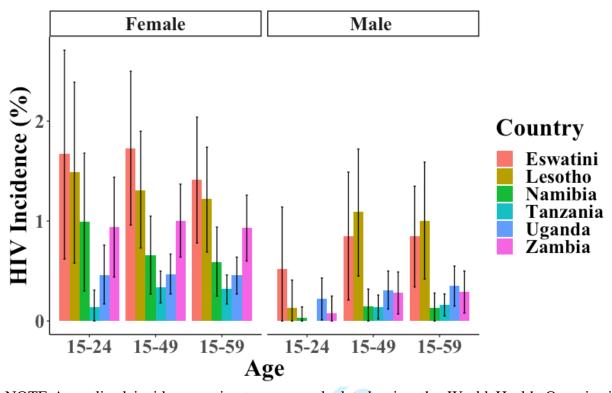
Violence questions were administered to one randomly selected female participant aged 13-59 years in each household. In Tanzania, due to an error in the sampling algorithm, appropriate weights could not be calculated and thus their data was excluded from the analysis.

Sample weights

The sample weights were created using similar methodology across all PHIAs. ¹³ The sample weights were adjusted to compensate for the variable probabilities of selection for this complex sample design, to account for differential nonresponse rates within relevant subgroups of the sample, and to adjust for under-coverage of certain populations. Taylor weights were used for variance estimates to account for the stratification, clustering and nonresponse and poststratification weighting adjustments. Due to a programming error, violence data were not correctly weighted in Tanzania, and therefore their data were excluded from the analysis of forced sex.

HIV incidence across the included PHIA countries, by age and sex

Supplementary Figure 6. HIV Incidence by sex and age among participants across six sub-Saharan countries, 2015-2017



NOTE-Annualized incidence estimates were calculated using the World Health Organization (WHO) incidence formula.²⁰

Measuring food insecurity using PHIA questions to create a hunger scale

Although we used the question on the presence of food in the house to generate our exposure variable, we compared the results to a score generated using all questions. The household hunger scale (HHS) was generated following Ballard et al, (2011). ¹⁴ It uses the six questions listed above, which are collectively validated for identifying household hunger in cross cultural settings. Responses to each of the three questions are scored from 0-2, with zero representing no occurrence of the event (lack of food in the household, going to bed hungry or 24 hours without anything to eat), 1 representing the event occurring "rarely or sometimes", and 2 representing "often". The three scores are aggregated to form a continuous household hunger score ranging from 0-6. Then we generated a three-category categorical variable of little hunger, moderate hunger and severe hunger. It is important to note that the continuous household hunger score is generally not normally distributed and therefore use of the mean score for tests of statistical significance is not recommended. The trends in recent infection in women that were observed using the one food in the house variable persisted, but, interestingly, the power was reduced, in large part because the number of participants classified as severely hungry was considerably smaller. This might reflect that the question on whether there was no food in the house is relatively objective, whereas the questions on hunger and going a whole day and night without eating are answered by the head of household for all members of the household, and therefore might not reflect individual household members' experiences with hunger.

Supplementary Table 1. Association between the food insecurity score and recent HIV infection in adults aged 15-59

| Characteristic | Won (N=54 | | Men (N=43,533 | 5) |
|-------------------------------------|-------------------|---------|--------------------|---------|
| | aRR (95% CI) | P-value | aRR (95% CI) | P-value |
| Hunger score | | | | |
| Little | Ref. | | Ref. | |
| Moderate | 1.62 (0.89-2.95) | 0.115 | 0.66 (0.29-1.50) | 0.319 |
| Severe | 2.21 (0.38-13.04) | 0.379 | 3.17 (0.47-21.27) | 0.234 |
| Age group | | | | |
| 15-24 | Ref. | | Ref. | |
| 25-34 | 1.20 (0.61-2.34) | 0.598 | 0.84 (0.22-3.17) | 0.791 |
| 35-44 | 0.78 (0.35-1.70) | 0.524 | 1.47 (0.35-6.15) | 0.593 |
| 45-59 | 0.35 (0.11-1.15) | 0.085 | 1.27 (0.29-5.61) | 0.755 |
| Country | | | | |
| Zambia | Ref. | 47 | Ref. | |
| Lesotho | 0.87 (0.49-1.57) | 0.654 | 2.26 (0.77-6.67) | 0.138 |
| Eswatini | 1.63 (0.88-3.02) | 0.117 | 2.45 (0.72-8.37) | 0.153 |
| Uganda | 0.69 (0.41-1.14) | 0.147 | 1.51 (0.59-3.89) | 0.390 |
| Namibia | 0.90 (0.44-1.86) | 0.776 | 0.62 (0.15-2.55) | 0.507 |
| Tanzania | 0.46 (0.26-0.81) | 0.007 | 0.61 (0.23-1.59) | 0.310 |
| | | | | |
| Rural residence | 0.64 (0.36-1.14) | 0.131 | 1.96 (0.80-4.78) | 0.141 |
| Wealth Quintile | 0.91 (0.74-1.13) | 0.402 | 0.92 (0.71-1.20) | 0.541 |
| Community viremia | 1.10 (1.05-1.15) | < 0.001 | 1.10 (1.02-1.18) | 0.018 |
| (per 1% increase) | | | , , , , | |
| Receipt of support in past 3 months | | | | |
| None | Ref. | | Ref. | |
| Economic only | 1.03 (0.54-1.99) | 0.921 | 1.37 (0.52-3.63) | 0.527 |
| Food support | 0.36 (0.14-0.90) | 0.029 | 2.68 (0.37-19.56) | 0.329 |
| Marital status | | | | |
| Never married | Ref. | | Ref. | |
| Married | 1.87 (0.93-3.75) | 0.077 | 10.16 (1.93-53.44) | 0.006 |
| Separated/Divorced/Widowed | 4.16 (1.81-9.54) | 0.001 | 9.48 (1.38-65.18) | 0.022 |

Potential mediating behaviors between severe food insecurity and HIV acquisition in women

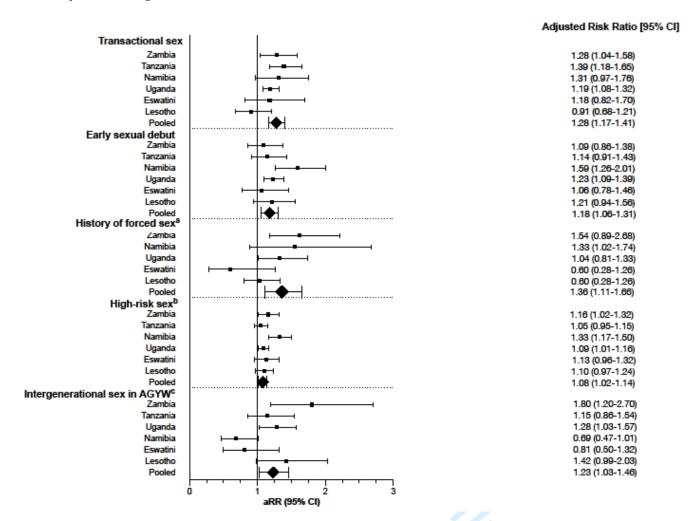
Supplementary Table 2. Frequency of mediating behaviors by country, severe food insecurity and age group in women aged 15-59

| Characteristic | Transactional sex | Early sexual debut | History of forced | High-risk sex | Intergenerational sex |
|----------------|---------------------|---------------------|--------------------|----------------------|-----------------------|
| | % (n/N) | % (n/N) | sex | % (n/N) | in AGYW |
| | | | %(n/N) | | % (n/N) |
| Country | | | | | |
| Zambia | 16.4 (1,201/7,347) | 9.6 (1,054/10,507) | 8.1 (496/6,848) | 32.3 (2,395/7,348) | 12.3 (257/2,096) |
| Lesotho | 6.1 (304/4,969) | 4.8 (332/6,661) | 11.9 (503/4,537) | 28.7 (1,462/4,976) | 11.3 (161/1,389) |
| Eswatini | 5.0 (194/3,873) | 4.5 (251/5,358) | 4.1 (98/2,459) | 22.4 (880/3,869) | 16.3 (160/1,003) |
| Uganda | 19.5 (2,353/11,824) | 12.1 (2,009/15,813) | 16.2 (384/2,898) | 38.5 (4,564/11,855) | 16.3 (630/3,870) |
| Namibia | 9.6 (553/5,908) | 5.8 (586/8,276) | 4.5 (211/5,264) | 23.2 (1,593/5,922) | 10.2 (182/1,655) |
| Tanzania | 13.1 (1,382/11,199) | 8.7 (1,492/15,884) | NI | 45.8 (5,038/11,246) | 18.2 (616/3,556) |
| Severe food | | | | | |
| insecurity | | | | | |
| No | 14.6 (5,070/39,511) | 9.4 (4,792/54,479) | 7.1 (1,730/26,874) | 39.9 (13,678/39,589) | 16.3 (1,744/11,937) |
| Yes | 21.8 (915/5,582) | 12.9 (930/7,984) | 10.8 (295/3,612) | 45.5 (2,243/5,600) | 19.0 (261/1,624) |
| Age group | | | | | |
| 15-24 | 19.8 (2,361/13,974) | 9.5 (2,036/23,227) | 11.6 (772/7,973) | 37.2 (4,372/14,013) | 16.5 (2,006/13,569) |
| 25-34 | 13.3 (1,821/15,377) | 9.4 (1,581/17,574) | 5.3 (595/9,797) | 36.7 (5,007/15,417) | - |
| 35-44 | 14.3 (1,197/9,586) | 10.7 (1,140/11,611) | 5.7 (401/6,907) | 44.6 (3,776/9,607) | - |
| 45-59 | 10.7 (608/6,183) | 9.9 (967/10,087) | 4.4 (260/5,828) | 53.3 (2,777/6,179) | - |

Note- analysis restricted to those who report a history of sexual activity aside from sexual debut. History of forced sex did not include data from Tanzania due to sampling error. All percentages are weighted and numbers are crude. Denominators vary due to missing data or different sampling methods for the violence questions. High-risk sex is defined as sex without a condom with someone of unknown or HIV-positive status.

Severe food insecurity and risk behaviors by country in women aged 15-59

Supplementary Figure 7. Country-specific adjusted relative risk for different sexual behaviors by severe food insecurity in women aged 15-59



aRR- adjusted risk ratio; AGYW- adolescent girls and young women

^a Measured in all countries except for Tanzania

^b Defined as having condomless sex with someone of unknown of positive HIV status in the past year

^c Defined as having a sexual partner older by 10 years or more in the past year

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Food insecurity and the risk of HIV acquisition: Findings from populationbased surveys in six sub-Saharan African countries (2016-2017)

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ABSTRACT

Objective: To assess the potential bi-directional relationship between food insecurity and HIV infection in sub-Saharan Africa.

Design: Nationally representative HIV impact assessment household-based surveys.

Setting: Zambia, Eswatini, Lesotho, Uganda, and Tanzania and Namibia.

Participants: 112,955 survey participants aged 15-59 with HIV and recency test results.

Measures: Recent HIV infection (within 6 months) classified using the HIV-1 LAg avidity assay, in participants with an unsuppressed viral load (>1000 copies/ml) and no detectable antiretrovirals; severe food insecurity (SFI) defined as having no food in the house ≥three times in the past month.

Results: Overall 10.3% of participants lived in households reporting SFI. SFI was most common in urban, woman-headed households, and in people with chronic HIV infection. Among women, SFI was associated with a two-fold increase in risk of recent HIV infection (adjusted relative risk [aRR] 2.08, 95% CI 1.09-3.97). SFI was also associated with transactional sex (aRR 1.28, 95% CI 1.17-1.41), a history of forced sex (aRR 1.36, 95% CI 1.11-1.66), and condom-less sex with a partner of unknown or positive HIV status (aRR 1.08, 95% CI 1.02-1.14) in all women, and intergenerational sex (partner ≥10 years older) in women aged 15-24 (aRR 1.23, 95% CI 1.03-1.46). Recent receipt of food support was protective against HIV acquisition (aRR 0.36, 95% CI 0.14-0.88).

Conclusion: SFI increased risk for HIV acquisition in women by two-fold, Heightened food insecurity during climactic extremes could imperil HIV epidemic control, and food support to women with SFI during these events could reduce HIV transmission.

Keywords: HIV risk, Africa, food insecurity, transactional sex, climate change

ARTICLE SUMMARY

Strengths and limitations of this study

- This study of 112,955 adults across six countries in sub-Saharan Africa is a large multinational sample surveyed across multiple different economic and environmental contexts.
- The large number of participants allowed the analysis of potential behavioral and biological mediators between food insecurity and HIV acquisition.
- The response rates were consistently higher than 80%, but there is always the potential for bias towards self-selection of lower risk respondents in any survey.
- The cross-sectional nature of the study did not allow us to determine the direction of the relationship between food shortages and HIV acquisition with certainty.
- The LAg avidity assay has limitations in estimation of HIV incidence as the algorithm used to classify someone as recently infected excludes anyone who might have started antiretroviral drugs within the first six months of infection.

INTRODUCTION

Climate change and its consequences are having a profound and escalating impact on global health. Acute events such as cyclones and flooding are predicted to become more frequent and severe, as are slower-onset changes such as drought and temperature extremes. These changes impact all domains of food security, including availability, access and utilization. Trends in world hunger have slowly reverted from a steady decline to a yearly increase, with a particular rise in sub-Saharan Africa (SSA), where almost 20 percent of the population is undernourished. Even predating the COVID-19 pandemic, models predicted that the risk of hunger and malnutrition globally could increase by 20% by 2050, generating humanitarian need in 200 million people per year, with the problem currently exacerbated by the economic impacts of the pandemic. Urbanization in Africa is also driving environmental degradation and climate emissions, with the potential for rapidly worsening agricultural outputs. Tood insecurity impacts every facet of society, including political stability, economic productivity, and population displacement.

Food insecurity can be either acute or chronic.¹² The primary drivers of transitory food insecurity relate to prices and availability, which are sensitive to environmental stressors, whereas chronic food insecurity is driven more by poverty.¹³ Acute food insecurity is a sensitive measure of economic shock and can capture changes in wealth that might prompt changes in health-related behaviors or trigger coping strategies, such as exchanging sex for food.¹⁴⁻¹⁶

The HIV pandemic has had a bidirectional link to food insecurity,¹⁷ as the associated health consequences can drive lower productivity and decreased labor mobility, whereas food insecurity can increase HIV risk behaviors, disruptions in care and higher mortality.¹⁵⁻¹⁹ The impact has been assumed to be gendered in that women are particularly vulnerable to income shocks and to disruption to access to health resources.²⁰ Food insecurity has also been associated with lower efficacy of antiretroviral treatment (ART) due to drug malabsorption or decreased adherence, with virologic failure.²¹⁻²³ As countries pursue the new UNAIDS 95-95-95 goals, weather extremes disrupting food production and supplies could jeopardize epidemic control, both in terms of increased risk behaviors, as well as disruption of treatment due to displacement or poverty, impacting access to testing and ART services.²⁴ This results in increasing community-level infectiousness, driving the synergistic relationship between land degradation, vulnerability to drought, food insecurity and HIV transmission.^{25 26}

The Population-Based HIV Impact Assessments (PHIAs), a series of national household-based surveys which collected data on the prevalence of HIV, recent HIV infection and viral load suppression (VLS), were conducted in several countries in SSA beginning in 2015. These surveys provide a unique

opportunity to assess the relationship between food insecurity and HIV incidence in a large representative cohort of individuals. We used a theoretical framework to explore the relationships between food shortages, HIV and behavioral and biological mediators [(Supplementary Figure 1, appendix p 2)].

METHODS

Survey Design

We used data from all PHIA surveys collecting data on household food availability between 2015 and 2018 (Eswatini, Lesotho, Namibia, Tanzania, Uganda, and Zambia). ²⁷⁻³² Surveys employed a two-stage sampling design to select a nationally representative sample of people aged 0-59 years or greater in each country, which have previously been described. ^{33 34} The first-stage sampling units were enumeration areas (EAs) selected with probabilities proportionate to the number of households in the EA, with allocation to subnational areas designed to achieve 30% precision around a national estimate of incidence and 95% confidence intervals (CI) of ±0.10 for regional estimates of viral load suppression (VLS) in individuals aged 15-49 years. The survey sample weights were adjusted to compensate for the variable probabilities of selection for the complex sample design, differential nonresponse rates within relevant subgroups of the sample, and under-coverage of certain populations. Further details on sampling are provided in the appendix (p. 6), and in country final reports. ³³

Consenting heads of households provided a roster of household members, who separately consented to interviews and household-based HIV testing. A guardian or parent provided permission for adolescent minors who were then asked for assent for all procedures. Written or verbal (Tanzania and Uganda) informed consent/assent was documented via electronic signature, with witnesses verifying consent for illiterate individuals. The PHIA protocol and data collection tools were approved by national ethics committees for each country, and the institutional review boards at Columbia University Irving Medical Center, the US Centers for Disease Control and Prevention (CDC) and the University of California, San Francisco in the case of Namibia. The Columbia University IRB gave approval continent upon approval of in-country and other ethical boards, and thus the protocol numbers listed here represent approval of all IRBs (AAAQ0753, AAAQ7860, AAAQ8408, AAAQ8537, AAAR2051, AAAQ889).

The period during which the surveys were conducted spanned different climate contexts, ranging from intense drought to overly wet conditions and flooding, described in the appendix [(Supplementary Figures 2-5, appendix pp 3-5)].

Patient and public involvement

Patients were not involved directly in the formation of this study, although representatives from organizations representing people living with HIV were consulted as part of the questionnaire design, and as part of dissemination activities.

Procedures

Interviewers administered the household questionnaire, which captured data from the household head on household assets, receipt of social support in the past three months, and access to food as measured by the Household Food Insecurity Access Scale Indicator Guide.³⁵ Further variable descriptions, including the questions used and the construction of our exposure, are provided in the Appendix, p.6. The adult questionnaire was administered to all eligible participants aged 15 and older during face-to-face interviews using Google Nexus 9 tablets. The questionnaire included questions on lifetime (excluding Tanzania) and recent sexual behaviors (past 12 months), and on characteristics of the three most recent sexual partners. Sampling design and questionnaire specifics are included in the appendix [(pp 6-7)].

Survey staff tested participants for HIV using the national algorithm. HIV RNA in plasma and dried blood spots (DBS) was measured using real-time PCR. Laboratory staff at the University of Cape Town conducted qualitative screening for detection of the most commonly used antiretrovirals (ARVs) with long half-lives on DBS specimens from all HIV-infected adults. Staff used the HIV-1 limited antigen (LAg) avidity immunoassay to classify recent infection in HIV-positive samples, where samples with a normalized optical density below 1.5 which did not have viral load suppression (defined as HIV RNA <1000 copies/mL) and without detectable antiretrovirals (ARVs), were considered indicative of recent infection, with a mean duration of infection of 130 days (95% CI 118–142) in all countries aside from Uganda (153 days, 95% CI 127-178). We calculated annualized incidence estimates using the World Health Organization (WHO) incidence formula. 37

Statistical analysis

We restricted our analysis to 15-to-59-year-old participants who had been tested for HIV. All analyses were conducted in Stata version 15.1, with the country-specific sampling weights allowing each country in the pooled data analysis to be self-representing for its population size. Taylor series weights were used for variance estimation. All presented percentages and estimates are weighted whereas numbers are crude. We defined severe food insecurity as a household having no food in the house at least three times in the past four weeks. Receipt of food support was defined as having received food regardless of receipt of other support. The dependency ratio was calculated by dividing the number of children on the household

roster by the number of adults, multiplied by 100, and then divided into quartiles. Household wealth quintiles were constructed at the country level using Principal Component Analysis (PCA) based on household assets and infrastructure.³⁸ Transactional sex was defined as having exchanged sex for material support or having sold sex in the past 12 months. Early sexual debut was sex occurring before age 15, and intergenerational sex as partnering with someone at least 10 years older. High-risk sex was defined as having sex without a condom with someone with an unknown or positive HIV status.

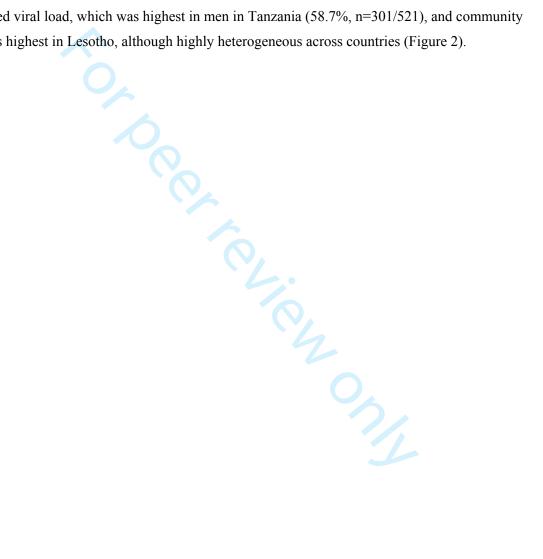
We estimated community-level viremia as the weighted proportion of all adults in the sampled enumeration area with a viral load ≥1000 copies/ml, regardless of serostatus and excluding those recently infected to avoid biasing our analysis by including those with the outcome in the exposure variable.²⁶ We ran two main analyses, 1) severe food insecurity as the outcome, and 2) recent HIV as the outcome and severe food insecurity as the exposure, using similar methodology. We used logistic regression for model one, retaining in our multivariable model all variables with a p<0.20 in the univariable analysis, then retaining significant variables (p<0.10) in the final model. Goodness of fit of our final model was tested using Hosmer/Lemeshow's test. We included urbanicity, sex, household wealth quintile, country and age as categorical variables in all models a priori, based on our theoretical framework. 25 39 40 For model two, we used Poisson regression due to the rarity of recent HIV as an outcome with stratified analyses by sex, due to evidence of inequity in impact of severe food insecurity. 16 We also analyzed mediating behaviors identified in our framework using Poisson regression in a similar fashion to model two, restricted to those reporting ever having sexual activity, aside from the analysis of early sexual debut. We restricted our analysis of intergenerational sex to young women aged 15-24 as these partnerships are particularly risky in this age group. 41 42 We excluded data from Tanzania in the analysis of forced sex due to the questions on forced sex being asked of a non-representative sample in that country (for details see [appendix p.7]).

We generated maps of the prevalence of HIV infection, viremia, and any food insecurity with SAGA in QGIS version 3.4. We used geo-referenced weighted averages at the enumeration area-level, with all cases linked to the centroid of the EA, and kernel density smoothing and interpolation over 200 adult participants for each smoothing circle.

RESULTS

We enrolled 54,033 households, with 112,955 adults aged 15-59 with HIV test results and data on food insecurity. The majority of heads of households were men, although more women were heads of households in Lesotho (50.9%, n=3621/7502), Eswatini (54.7%, n=2549/4652) and Namibia (51.2%, n=4041/8002, Table 1). Most participants were rural (63.5%, n=73501/54033), with the highest rural

proportions in Uganda and Eswatini, and the lowest in Namibia. The largest age group was 15-24 years old, comprising 40.2% (n=42112/112995) of the weighted population. The proportion of participants who had a secondary or greater education was highest in Eswatini (70.6%, n=6477/9553) and Namibia (70.0%, n=9979/16267), and lowest in Tanzania (25.6%, n=6490/28340). Less than half of participants (45.8%, 47357/112995) had been formally employed in the past 12 months. HIV prevalence was highest in women in Eswatini (34.2%, n=1913/5525, Figure 1), and lowest in men in Tanzania (3.5%, n=521/12297). More HIV-positive men (47.5%, n=1727/4473) than women (37.9%, n=2963/9736) had unsuppressed viral load, which was highest in men in Tanzania (58.7%, n=301/521), and community viremia was highest in Lesotho, although highly heterogeneous across countries (Figure 2).



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Table 1. Characteristics of participating households and adults aged 15-59, by country

| Characteristic | Eswatini | Lesotho | Tanzania | Uganda | Namibia | Zambia | Total |
|--|--------------|--------------|---------------|---------------|---------------|-----------------------|---------------|
| Household level | N=4,652 | N=7,052 | N=13,328 | N=11,717 | N=8,002 | N=9,282 | N=54,033 |
| Household level | % (n) | % (n) | % (n) | % (n) | % (n) | % (<u>F</u>) | % (n) |
| Households reporting any food | 31.3 (1,531) | 31.1 (2,273) | 21.4 (2,818) | 27.8 (3,599) | 22.9 (2,015) | 17.8 (1,628) | 23.5 (13,864) |
| insecurity | ,,,,,, | , , , , , | , (,,-,, | (1,111) | (, , , , | 022 | , , , , |
| Median Youth Dependency ratio (IQR) ^a | 40 (0-55) | 33 (0-50) | 50 (33-64) | 50 (33-67) | 33 (0-50) | 100 (40-200) | 100 (50-150) |
| Female head of household | 54.7 (2,549) | 50.9 (3,621) | 26.8 (3,417) | 31.0 (3,714) | 51.2 (4,041) | 23.7 (2,19) | 29.2 (19,530) |
| Receipt of economic support ^b | | | | | | de | |
| None | 63.9 (2,904) | 79.7 (5,560) | 94.2 (12,521) | 93.7 (10,961) | 71.7 (5,404) | 96.1 (8,923) | 93.1 (46,273) |
| Economic only | 19.1 (914) | 13.6 (981) | 5.2 (736) | 5.0 (593) | 19.7 (1,842) | 3.0 (271) | 5.5 (6,729) |
| Food support | 17.0 (834) | 6.7 (511) | 0.6 (71) | 1.2 (163) | 8.6 (756) | 0.9 (88) | 1.3 (2,423) |
| Individual level | N=9,553 | N=11,655 | N=28,340 | N=28,030 | N=16,267 | N=19,110 | N=112,955 |
| | % (n) | % (n) | % (n) | % (n) | % (n) | % (🖺 | % (n) |
| Geography - % (n) | | | ·N | | | Jog | |
| Urban | 28.0 (2,131) | 48.8 (5,208) | 37.5 (9,348) | 28.8 (7,663) | 58.4 (6,765) | 45.7 (8,33 9) | 36.5 (39,454) |
| Rural | 72.0 (7,422) | 51.2 (6,447) | 62.5 (18,992) | 71.2 (20,367) | 41.6 (9,502) | 54.3 (10,721) | 63.5 (73,501) |
| Sex- % (n) | | | | (0) | |).c | |
| Women | 54.4 (5,525) | 49.7 (6,870) | 50.8 (16,043) | 52.5 (16,094) | 51.5 (9,220) | 51.1 (10,981) | 51.4 (64,726) |
| Men | 45.6 (4,028) | 50.3 (4,785) | 49.2 (12,297) | 47.5 (11,945) | 48.5 (7,047) | 48.9 (8,12 5) | 48.6 (48,229) |
| Median age (IQR) | 28 (21-38) | 30 (22-40) | 28 (20-39) | 27 (20-37) | 29 (21-40) | 27 (20-38) | 28 (20-38) |
| Age group (years) | | | | | Uh, | pri. | |
| 15-24 | 37.2 (3,599) | 34.1 (4,037) | 38.5 (10,359) | 43.3 (11,241) | 35.2 (5,557) | 41.1 (7,31 | 40.2 (42,112) |
| 25-34 | 29.0 (2,600) | 29.8 (3,223) | 27.3 (7,704) | 26.9 (7,613) | 28.6 (4,302) | 27.3 (5,13%) | 27.3 (30,572) |
| 35-44 | 18.7 (1,698) | 19.0 (2,148) | 18.8 (5,603) | 16.4 (4,879) | 19.4 (3,310) | 18.1 (3,73🛱 | 18.0 (21,374) |
| 45-59 | 15.1 (1,656) | 17.1 (2,247) | 15.4 (4,674) | 13.4 (4,297) | 16.8 (3,098) | 13.5 (2,92\$) | 14.5 (18,897) |
| No food in house in past 4 | | | | | | gu | |
| weeks | | | | | | est | |
| Never | 66.4 (6,089) | 69.0 (7,820) | 79.3 (22,479) | 72.1 (19,441) | 75.6 (11,788) | 83.1 (15,896) | 77.1 (83,513) |
| Rarely (1-2 X) | 18.6 (1,900) | 13.1 (1,569) | 11.3 (3,299) | 15.8 (4,767) | 11.1 (1,944) | 10.2 (1,95%) | 12.7 (15,433) |
| Sometimes (3-10X) | 12.3 (1,280) | 13.4 (1,678) | 7.2 (2,010) | 10.7 (3,433) | 10.9 (2,104) | 5.8 (1,089 | 8.4 (11,594) |
| Often (>10x) | 2.8 (284) | 4.5 (588) | 2.2 (552) | 1.4 (389) | 2.4 (431) | 0.9 (171) | 1.8 (2,415) |
| Educational level | | | | | | b | |
| None | 3.5 (372) | 5.0 (572) | 12.4 (4,135) | 7.1 (2,451) | 6.7 (1,490) | 5.0 (961) 8 | 9.3 (9,981) |

| | | | | | | <u>`</u> | |
|--|--------------|--------------|---------------|---------------|--------------|-------------------------|---------------|
| Primary | 25.9 (2,722) | 39.7 (4,912) | 62.0 (17,703) | 55.6 (15,882) | 23.3 (4,757) | 41.9 (8,32 ½) | 55.5 (54,298) |
| Secondary or greater | 70.6 (6,447) | 55.3 (6,164) | 25.6 (6,490) | 37.3 (9,538) | 70.0 (9,979) | 53.1 (9,814) | 35.2 (48,432) |
| Marital status | | | | | | 387 | |
| Never married | 55.3 (5,178) | 38.6 (4,267) | 32.1 (7,914) | 33.1 (8,263) | 60.4 (9,228) | 37.2 (6,35\$) | 34.2 (41,205) |
| Married | 36.8 (3,519) | 48.1 (5,624) | 57.0 (17,086) | 53.6 (15,790) | 32.1 (5,583) | 53.6 (10,6\frac{2}{3}8) | 54.5 (58,250) |
| Separated/divorced/widowed | 7.9 (800) | 13.3 (1,743) | 10.9 (3,285) | 13.3 (3,910) | 7.5 (1,310) | 9.3 (1,965) | 11.4 (13,013) |
| Employed in past 12 months | 43.3 (3,853) | 39.2 (4,099) | 44.7 (12,155) | 53.2 (14,346) | 45.9 (6,756) | 33.8 (6,14 🖹 | 45.8 (47,357) |
| Recent migrant ^c | 10.9 (947) | 6.3 (675) | 14.6 (4,005) | 24.5 (6,556) | 29.2 (4,383) | 14.3 (2,68%) | 17.9 (19,249) |
| HIV-positive | | | | | | 022 | |
| Women | 34.2 (1,913) | 30.3 (2,161) | 6.5 (1,187) | 7.7 (1,163) | 15.6 (1,623) | 14.6 (1,682) | 9.0 (9,736) |
| Men | 20.5 (875) | 20.9 (1,032) | 3.5 (521) | 4.7 (545) | 9.2 (722) | 9.3 (778) 8 | 5.3 (4,473) |
| Viral load>1000 copies/ml ^d | | | | | | nic | |
| Women | 24.6 (461) | 29.5 (617) | 42.5 (513) | 37.7 (432) | 18.5 (313) | 39.8 (627) <u>8</u> | 37.9 (2,963) |
| Men | 33.8 (274) | 36.4 (364) | 58.7 (301) | 46.1 (247) | 31.1 (220) | 42.5 (321) <u>8</u> | 47.5 (1,727) |

Note- some totals may equal greater than 100% due to rounding. Data are survey weighted using Taylor series weights for estimated of variance.

^a Dependency ratio was calculated as the number of rostered usual residents aged 0-14/(rostered 15 and older)*100.

^b Measured over the past 3 months.

^c Migrant defined as being away from home for at least one month in the past 12 months, except for Namibia, where it was during the past three years.

^d Among HIV-positive.

Correlates of severe food insecurity

Across all countries, 23.5% (n=13864/54033) of households reported having experienced any lack of food in the past 4 weeks, with 10.2% (n=14009/112955) of participants reporting severe food insecurity. All countries had regions with high burdens of food insecurity, but the distribution was highly heterogeneous, with frequency of any food insecurity ranging from 0-80% of an enumeration area's population (Figure 3). The highest prevalence of severe food insecurity was seen in Lesotho (17.9%, 2266/11655, Table 2). Adjusted results from the multivariable analysis were similar to univariable results: male-headed households were less likely to suffer from severe food insecurity (aOR 0.71, 95% CI 0.63-0.80), as were wealthier households (aOR 0.68, 95% CI 0.64-0.71 for each quintile increase in wealth). At the individual level, secondary or greater education (aOR 0.75, 95% CI 0.68-0.82), formal employment in the past year (aOR 0.90, 95% CI 0.84-0.97), and being married (aOR 0.81, 95% CI 0.71-0.91 compared to never married) were all protective against severe food insecurity. Living in a household with many young dependents (aOR 1.12, 95% CI 1.08-1.17 per quartile increase), being aged 35-44 or 45-59 compared to 15-24, being separated/divorced or widowed (aOR 1.17, 95% 1.02-1.33), having recently migrated (aOR 1.14, 95% CI 1.05-1.24), and being HIV-positive were all associated with severe food insecurity (aOR 1.23, 95% CI 1.10-1.38). Excluding those who were recently HIV-infected did not change the association between HIV infection and severe food insecurity. After adjustment, sex, receipt of social support, and HIV-status of the head of household were no longer significant, and rural residence became protective.

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Table 2. Analysis of factors associated with increased odds of severe food insecurity among adults aged 15-59 years

| Characteristic | Proportion of | Odds ratio | Adjusted odds ratio | Po-value |
|------------------------------|----------------------|------------------|---------------------|--------------------------------|
| (n=112,955) | participants with | (OR) | (aOR) | |
| (n-112,733) | SEVERE FOOD | 95% CI | 95% CI | ے ا |
| | INSECURITY | 7570 61 | 7370 61 | 늏 |
| | % (n/N) | | | 12 July 2022. |
| Country | 70 (11/11) | | | |
| Zambia | 6.7% (1,260/19,110) | 1.0 | 1.0 | |
| Tanzania | 9.5% (2,562/28,340) | 1.45 (1.23-1.72) | 1.34 (1.12-1.61) | 1 € 1.001 |
| Uganda | 12.1% (3,822/28,030) | 1.91 (1.65-2.22) | 1.72 (1.47-2.00) | Down.001 000.001 000.001 |
| Namibia | 13.3% (2,535/16,267) | 2.13 (1.82-2.50) | 1.84 (1.53-2.20) | ्रेड इ 0.001 |
| Eswatini | 15.0% (1,564/9,553) | 2.46 (2.05-2.94) | 2.19 (1.81-2.65) | ₹0.001 |
| Lesotho | 17.9% (2,266/11,655) | 3.03 (2.61-3.51) | 2.88 (2.47-3.36) | ₹0.001 ₹0.001 |
| Location of Residence | | N/A | | 0.001 |
| Urban | 7.8% (10,228/73,501) | 1.0 | 1.0 | ₹ 0.001 |
| Rural | 11.7% (3,781/39,454) | 1.58 (1.39-1.78) | 0.72 (0.61-0.84) | /bn |
| Sex of head of household | | | | 0.001 |
| Female | 14.1% (5,993/36,264) | 1.0 | 1.0 | \$0.001 |
| Male | 9.0% (7,684/73,575) | 0.60 (0.54-0.67) | 0.71 (0.63-0.80) | l.br |
| HIV-positive head of | | | | 1 3. |
| household | 10.2% (8,833/75,463) | 1.0 | NS | Ön |
| No | 12.2% (2,447/14,589) | 1.23 (1.08-1.40) | | 0 |
| Yes | 9.6% (2,397/19,786) | 0.94 (0.83-1.06) | |) Ď |
| Not tested | | | | .bmj.com/ on April 9 |
| Household wealth quintile | | | 4) /. | 9 |
| Per quintile increase | | 0.70 (0.67-0.72) | 0.68 (0.64-0.71) | 80.001 |
| Dependent ratio quartile | | | |)24 |
| Per quartile increase | | 1.22 (1.17-1.27) | 1.12 (1.08-1.17) | 2 0.001 |
| Receipt of economic support | | | | gu |
| None | 9.9% (10,586/93,311) | 1.0 | NS | les |
| Economic only | 12.6% (2,414/14,513) | 1.31 (1.12-1.53) | | - |
| Food support | 16.3% (1,009/5,131) | 1.76 (1.37-2.26) | | rot |
| Sex of participant | | | | guest. Protected |
| Women | 10.7% (8,330/64,726) | 1.0 | 1.0 | |
| Men | 9.8% (5,679/48,229) | 0.90 (0.85-0.95) | 1.02 (0.96-1.08) | 3 .523 |
| Age group (years) | | | | cop |

| | | | | .20 |
|----------------------------|-----------------------|------------------|------------------|-----------------|
| 15-24 | 10.1% (5,132/42,112) | 1.0 | 1.0 |)21 |
| 25-34 | 9.2% (3,460/30,572) | 0.90 (0.84-0.98) | 1.02 (0.92-1.13) | ₾.746 |
| 35-44 | 11.1% (2,721/21,374) | 1.11 (1.02-1.20) | 1.13 (1.00-1.27) | 99.042 |
| 45-59 | 11.5% (2,696/18,897) | 1.16 (1.07-1.26) | 1.12 (0.99-1.26) | 12 .061 |
| Educational attainment | | | | S _n |
| None/Primary | 12.0% (9,539/64,235) | 1.0 | 1.0 | ₹0.001 |
| Secondary and above | 7.0% (4,446/48,476) | 0.55 (0.51-0.60) | 0.75 (0.68-0.82) | آ کے |
| Marital status | | | | ₹ |
| Never married | 10.1% (5,115/41,205) | 1.0 | 1.0 | 202 |
| Married | 9.4% (6,544/58,250) | 0.92 (0.85-1.00) | 0.81 (0.71-0.91) | ! 9 .001 |
| Separated/Divorced/Widowed | 15.0% (2,295/13,013) | 1.58 (1.45-1.71) | 1.17 (1.02-1.33) | ₹.023 |
| Employed in past 12 mo. | | | | 98.004 ed |
| No | 10.9% (9,014/65,511) | 1.0 | 1.0 | ₹ .004 |
| Yes | 9.4% (4,982/47,357) | 0.84 (0.79-0.89) | 0.90 (0.84-0.97) | <u>ā</u> |
| Recent migranta | 106 | | | ⇒ |
| No | 10.1% (11,321/91,851) | 1.0 | 1.0 | ≨ 9.001 |
| Yes | 10.9% (2,421/19,249) | 1.09 (1.01-1.17) | 1.14 (1.05-1.24) | |
| HIV infection ^b | | | | ttp://sp.001 |
| Negative | 10.0% (11,516/98,250) | 1.0 | 1.0 | 2 .001 |
| Positive | 12.8% (2,201/13,256) | 1.32 (1.19-1.46) | 1.23 (1.10-1.38) | <u> </u> |

NOTE- all proportions are weighted and numerator and denominators are crude values. Odds ratios calculated using logistic regression of weighted values and Taylor estimates of variance. p-values determined by Wald test. All variables p<0.20 in univariable analysis were tested in the final model, with those with a p-value <0.10 retained. Age, country, urbanicity, sex and wealth quintile were included *a priori*.

NS-not significant.

^a Migrant defined as away from home for than one month in the past 12 months, except for Namibia, where it was the past three years.

b The model was also run restricting HIV infection to those diagnosed more than one year prior to survey, which did not change the results.

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Association between severe food insecurity, food and economic support and recent HIV infection

Of the 14,208 HIV-positive participants, 1.9% (n=200) were classified as having recent HIV infection, of which 140 were women and 60 were men. Incidence was highest in women aged 15-49 in Eswatini (1.73%, 95% CI 0.96-2.50, Supplementary Figure 6), and lowest in men aged 15-24 in Tanzania (0%, 95% CI 0-0.23). Among those without chronic HIV infection, there were 27 recent cases in 6,699 severely food insecure women, and 113 cases in the other 48,431 women; there were 13 recent cases in 4,974 severely food insecure men, and 47 in the other 38,842 men. In univariable analysis of predictors of recent HIV infection, the relative risk of new infection was highest in women aged 25-34 and in men aged 35-44, and 45-59, compared to participants aged 15-24 (Table 3). Results from our multivariable model demonstrated that severe food insecurity was associated with a two-fold increase in risk of recent infection in women (aRR 2.08, 95% CI 1.09-3.97), with the effect relatively homogeneous across countries, although a lower risk was seen in Lesotho and Eswatini (Figure 4). There was no significant risk noted in men. Both sexes were at higher risk of HIV acquisition if previously married, compared to never married, but currently married men were also at significantly higher risk of recent HIV infection (aRR 8.96, 95% CI 1.77-45.35). Receipt of food support was associated with a pronounced lower risk of recent HIV in women (aRR 0.36, 95% CI 0.14-0.88), whereas receipt of other types of support was not, and neither were protective in men. The use of a scale measure of food insecurity incorporating the three questions on food availability and access, did not produce substantivelyy different results than our measure [(Supplementary Table 1)], although fewer people were classified as severely food insufficient. This is further discussed in the appendix [(p 9)].

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| Table 3. Analysis of factors | associated with | tne relativ | e risk of recent | HIV intec | ction among adults | aged 15- | 59, pg sex | |
| | | | | | | | on | |
| | | Woı | | | | Me | | |
| Characteristic | | (n=54 | | | | (n=43 | | |
| | RR (95% CI) | P-Value | aRR | P-value | RR | | < aRR | |
| | | T | (95% CI) | | (95% CI) | P-Value | 295% CI) | P-valu |
| Severe food insecurity | 2.11 (1.11-4.03) | 0.023 | 2.08 (1.09-3.97) | 0.026 | 1.85 (0.82-4.20) | 0.140 | 1.77 (0.84-3.74) | 0.134 |
| Age group (years) | | | | | | | 1.0 0 0.8 g(0.22-3.05) | |
| 15-24 | 1.0 | | 1.0 | | 1.0 | | 1.0 = | |
| 25-34 | 1.72 (0.97-3.05) | 0.064 | 1.20 (0.61-2.35) | 0.594 | 2.62 (0.93-7.36) | 0.067 | 0.8 <u>B</u> (0.22-3.05) | 0.760 |
| 35-44 | 1.14 (0.59-2.21) | 0.698 | 0.77 (0.35-1.67) | 0.500 | 5.40 (1.92-15.21) | 0.001 | 1.4 <u>8</u> (0.35-5.99) | 0.605 |
| 45-59 | 0.61 (0.21-1.76) | 0.355 | 0.34 (0.11-1.12) | 0.077 | 4.75 (1.49-15.13) | 0.009 | 1.27 (0.30-5.54) | 0.747 |
| Country | | | (A) | | | | <u> </u> | |
| Zambia | 1.0 | | 1.0 | | 1.0 | | 1.0 | |
| Tanzania | 0.38 (0.22-0.66) | 0.001 | 0.46 (0.26-0.82) | 0.009 | 0.60 (0.24-1.50) | 0.272 | 0.58 (0.22-1.52) | 0.263 |
| Uganda | 0.59 (0.36-0.97) | 0.036 | 0.68 (0.41-1.14) | 0.140 | 1.45 (0.58-3.61) | 0.421 | 1.3 (0.50-3.42) | 0.583 |
| Namibia | 0.67 (0.34-1.30) | 0.233 | 0.89 (0.43-1.83) | 0.749 | 0.47 (0.13-1.67) | 0.243 | 0.7\(2\)(0.19-2.73) | 0.631 |
| Eswatini | 1.60 (0.91-2.81) | 0.102 | 1.64 (0.89-3.02) | 0.115 | 3.07 (1.18-8.00) | 0.022 | 2.8 (1.04-7.79) | 0.042 |
| Lesotho | 1.32 (0.75-2.30) | 0.331 | 0.86 (0.47-1.56) | 0.614 | 3.46 (1.42-8.47) | 0.007 | 2.6 (0.99-6.85) | 0.053 |
| Location of Residence | 1.0 | | | | 10 | | 1.0 3 | |
| Urban | 1.0 | 0.154 | | | 1.0 | 0.022 | | 0.022 |
| Rural Wastel Orientile | 0.72 (0.46-1.13) | 0.154 | | | 2.44 (1.14-5.25) | 0.022 | 2.45(1.14-5.35) | 0.022 |
| Wealth Quintile | 0.00 (0.94.1.16) | 0.886 | | | 0.81 (0.66-0.98) | 0.033 | April | |
| (per quintile increase) | 0.99 (0.84-1.16) | 0.880 | | | 0.81 (0.00-0.98) | 0.033 | 9 | |
| Community viremia (per 1% increase) | 1.12 (1.09-1.16) | <0.001 | 1.10 (1.05-1.15) | <0.001 | 1.10 (1.05-1.16) | <0.001 | 1.16 (1.02-1.18) | 0.015 |
| * / | 1.12 (1.09-1.10) | ~0.001 | 1.10 (1.03-1.13) | ~0.001 | 1.10 (1.03-1.10) | ~0.001 | 1.10(1.02-1.10) | 0.013 |
| Receipt of economic support None | 1.0 | | 1.0 | | 1.0 | | 024 by g | |
| Economic only | 1.0 1.14 (0.58-2.21) | 0.709 | 1.0 (0.54-2.07) | 0.864 | 1.0 1.48 (0.55-3.99) | 0.436 |) gi | |
| Food support | 0.51 (0.20-1.32) | 0.769 | 0.36 (0.14-0.88) | 0.864 | 4.10 (0.74-22.76) | 0.436 | les | |
| Migration | 0.31 (0.20-1.32) | 0.102 | 0.50 (0.14-0.00) | 0.023 | 7.10 (0.74-22.70) | 0.100 | guest. Protected by co. 1.988(0.95-4.02) | |
| None | 1.0 | | | | 1.0 | | rog | |
| Away for >1 month | 1.11 (0.57-2.17) | 0.751 | | | 0.79 (0.32-1.92) | 0.597 | ect | |
| Employment status | 1.11 (0.37 2.17) | 0.751 | | | 0.17 (0.32 1.72) | 0.571 | <u> </u> | |
| No formal employment | 1.0 | | | | 1.0 | | 1.05 | |
| Worked in past year | 1.48 (0.93-2.36) | 0.097 | | | 2.33 (1.11-4.91) | 0.026 | 1 9 (0 95-4 02) | 0.070 |

| | | | | | | | 20 | |
|----------------------------|------------------|---------|------------------|-------|--------------------|---------|-------------------|-------|
| Marital status | | | | | | |)21 | |
| Never married | 1.0 | | 1.0 | | 1.0 | | 1.0 6 | |
| Married | 1.51 (0.86-2.65) | 0.148 | 1.86 (0.94-3.68) | 0.074 | 10.83 (3.86-30.38) | < 0.001 | 8.96 (1.77-45.35) | 0.008 |
| Separated/Divorced/Widowed | 3.10 (1.69-5.71) | < 0.001 | 4.25 (1.89-9.57) | 0.001 | 11.19 (2.21-56.73) | 0.004 | 8.2\$(1.15-59.02) | 0.036 |
| Male circumcised | | | | | 0.60 (0.30-1.19) | 0.144 | NSS | NS |

RR: Relative risk, aRR: adjusted relative risk, CI: confidence interval.

Note- RR determined by Poisson regression using weighted values and Taylor estimates of variance. All variables p<0.20 in univariable analysis were tested in val.

values and Taylor estimates c.

Age group and country were include.

yn.bnj.com/ on Ap the final model, with those with a p-value <0.10 retained. Age group and country were included a priori. Results indicated in bold gre significant at p<0.05.

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The frequency of different potential mediating behaviors is described in Supplementary Table 2. Women in Uganda reported the highest frequency of transactional sex (19.5%, n=2,353/11824), early sexual debut (12.1%, n=2,009/15,813), and forced sex (16.2%, n=384/2898), whereas women in Tanzania reported more high-risk sex (45.8%, n=5.038/11,246) and intergenerational sex in young women (18.2%, n=616/3,556). There was a statistically significant association between severe food insecurity and transactional sex (aRR 1.28, 95% CI 1.17-1.41, Table 4). Women with severe food insecurity also reported more frequent early sexual debut (aRR 1.18, 95% CI 1.06-1.31), more forced sex (aRR 1.36, 95% CI 1.11-1.66), and more high-risk sex (aRR 1.08, 95% CI 1.02-1.14). Economic (aRR 0.89, 95% CI 0.84-0.95) and food support (aRR 0.81, 95% CI 0.69-0.97) were both associated with significantly lower risks of high-risk sex. Severe food insecurity was also associated with an elevated risk (aRR 1.23, 95% 1.03-1.46) of intergenerational sex, reported by 16.5% of young women. None of the behaviors were associated with urbanicity after adjusting for other demographic factors. There was heterogeneity between nterge. countries for the increased risk of forced and intergenerational sex in food insecure women (Supplementary Figure 7).

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Table 4 – Multivariable analysis of the relative risk of several high-risk sexual behaviors among women aged 15259

| | BEHAVIORAL OUTCOME | | | | |
|-----------------------------|---------------------|---------------------|---------------------|----------------------------|--|
| Characteristic | Transactional sex | Early sexual debut | History of forced | High-risk sex ^b | S Intergenerational sex in |
| Characteristic | 1 Tansactional Sex | Early scaual debut | sex ^a | Iligii-lisk sex | |
| | aRR (95% CI) | aRR (95% CI) | aRR (95% CI) | aRR (95% CI) | $\begin{array}{ c c c c } \hline \overrightarrow{AGYW^c} \\ aRR (95\% CI) \end{array}$ |
| Severe food insecurity | 1.28 (1.17-1.41)*** | 1.18 (1.06-1.31)** | 1.36 (1.11-1.66)** | 1.08 (1.02-1.14)** | ₹23 (1.03-1.46)** |
| Country | | | | | 2022 |
| Zambia | 1.0 | 1.0 | 1.0 | 1.0 | \mathbb{R}_0 |
| Tanzania | 0.71 (0.64-0.80)*** | 0.73 (0.67-0.81)*** | | 1.39 (1.31-1.46)*** | ♥37 (1.17-1.60)*** |
| Uganda | 1.11 (1.00-1.22)* | 1.08 (0.99-1.18) | 1.59 (1.30-1.95)*** | 1.19 (1.13-1.26)*** | ♥37 (1.17-1.60)*** ₹18 (1.02-1.37)* |
| Namibia | 0.44 (0.38-0.50)*** | 0.87 (0.77-0.99)* | 0.59 (0.48-0.72)*** | 0.71 (0.66-0.78)*** | 5 .43 (1.14-1.80)** |
| Eswatini | 0.24 (0.19-0.30)*** | 0.62 (0.53-0.72)*** | 0.52 (0.40-0.67)*** | 0.70 (0.64-0.76)*** | 8.06 (1.67-2.55)*** |
| Lesotho | 0.34 (0.29-0.39)*** | 0.56 (0.49-0.64)*** | 1.46 (1.27-1.68)*** | 0.88 (0.82-0.94)*** | <u>\$\frac{\pi}{2}\$.02 (0.84-1.23)</u> |
| Location of Residence | | | | | rom li |
| Urban | NS | NS | 1.0 | NS | <u> </u> |
| Rural | | | 0.93 (0.75-1.17) | | 5 .14 (0.98-1.32) |
| Age groupd(years) | | | | | Id// |
| 15-24 | 1.0 | 1.0 | 1.0 | 1.0 | er year increase |
| 25-34 | 0.85 (0.79-0.91)*** | 0.78 (0.71-0.85)*** | 0.87 (0.73-1.03) | 1.01 (0.97-1.06) | 3 .04 (1.02-1.07)*** |
| 35-44 | 0.89 (0.83-0.97)** | 0.77 (0.70-0.86)*** | 0.82 (0.66-1.02)** | 1.21 (1.15-1.28)*** | D |
| 45-59 | 0.70 (0.61-0.80)*** | 0.68 (0.60-0.76)*** | 0.57 (0.44-0.75)*** | 1.44 (1.38-1.51)*** | 8.04 (1.02-1.07)*** |
| Wealth quintile- | | | | | 8 |
| Per quintile increase | 0.92 (0.89-0.94)*** | 0.91 (0.88-0.93)*** | 1.09 (1.01-1.18)* | 0.93 (0.92-0.95)*** | ₹09 (1.04-1.14)*** |
| Education | | | | | 의 원 변:71 (0.62-0.82)*** |
| None/Primary | 1.0 | 1.0 | | | Αp |
| Secondary or more education | 0.81 (0.74-0.88)*** | 0.39 (0.35-0.43)*** | NS | NS | 0.62-0.82 *** 0.62-0.82 *** |
| Marital status | | | | | 9, 2 |
| Single | 1.0 | 1.0 | | 1.0 | 200 |
| Married | 0.34 (0.31-0.37)*** | 1.51 (1.36-1.68)*** | | 0.92 (0.87-0.98)** | 2. 75 (2.27-3.34)*** |
| Separated/divorced/ widowed | 1.19 (1.08-1.31)*** | 1.71 (1.51-1.93)*** | NS | 1.12 (1.04-1.20)*** | 2 .63 (2.09-3.31)*** |
| Receipt of economic | | | | | ues |
| support ^e | | | | | <u>₹</u> |
| None | | | | 1.0 | Pro |
| Economic only | NS | NS | NS | 0.89 (0.84-0.95)*** | asis |
| Food support | | | 115.04 | 0.81 (0.69-0.97)* | uest. Protected |

^{*} p≤0.05; *** p≤0.01; *** p≤0.01; AGYW, adolescent girls and young women, aged 15-24 years. NS- not significant. Analysis restricted to those who report a history of sexual activity aside from sexual debut.

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BMJ Op the final model, with those with a p-value < 0.10 retained. Age group, and country were included a priori.

- .up, an.

 1. each household. 1.
 .5-24.
 .ue of unknown or positive HIV s.
 .older in the past 12 months.
 .dysis restricted to the 15-24 year age band. a Violence questions were asked to a subset of participants in each household. The results exclude Tanzania due to a non-representative sample. In Uganda, sexual violence questions were only asked to those aged 15-24.
- ^b Defined as having sex without a condom with someone of unknown or positive HIV status in the past 12 months.
- ^c Defined as having a sexual partner at least 10 years older in the past 12 months.
- ^d Age included as a continuous variable in the analysis restricted to the 15-24 year age band.
- ^e Measured over the past 3 months.

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DISCUSSION

To our knowledge, this is the first study to directly link acute food insecurity with HIV incidence in women in sub-Saharan Africa, supporting prior studies which have shown associations between hunger, sexual risk-taking, and prevalent HIV infection.^{15 16},³⁹ The robustness and representativeness of the PHIA data, spanning multiple countries and contexts with highly variable community HIV burden, is particularly valuable for substantiating the likely pathways for this association.^{16 43} Our data also suggest that some of the communities with the highest levels of any food insecurity, such as areas in Lesotho, tend to have the highest HIV prevalence. Given the likelihood of future periods of drought, and related food insecurity, these findings have serious implications for efforts to achieve or maintain epidemic control.

There was substantial variation in the spatial distribution of households reporting any food insecurity, both across countries and sub-nationally. Across all contexts, poorer households headed by women or those with many children consistently had much higher odds of severe food shortages. These findings have been shown in multiple other studies, attributed to the fact that women often have little control over resources such as land and employment, leading to a disproportionate susceptibility to poverty and income shocks. He being female in itself is not a predictor of severe food insecurity, as marriage is protective, suggesting that women in male-headed-households are protected by their husband's incomeearning potential. The bidirectionality of the relationship between food insecurity and HIV infection is also seen here, where infection was strongly associated with severe food insecurity even when we restricted our analysis to those infected for more than one year. He was also seen here and the suggestion of the security of the relationship between food insecurity even when we

The two-fold increase in risk of recent HIV infection seen in women who reported severe food insecurity reinforces other studies showing increases in risk behavior and higher HIV prevalence in food insecure women, ²⁰ ⁴⁵ ⁴⁶ but the detailed analyses of sexual risk behaviors among women experiencing severe food insecurity allows us to better understand the direction of the association. The increased risk of HIV acquisition may be attributed to the constellation of risk factors impacting these women, including a 28% increase in risk of engaging in transactional sex. This behavior declined with age, wealth and education. These findings build on previous studies which found that food insecurity and poverty is commonly associated with sex in exchange for goods. ¹⁶ ³⁹ ⁴³ ⁴⁴ Young food-insecure women were also more likely to report significantly older partners, possibly because they confer some financial benefit. These older partners are often more infectious than same-aged partners as a result of higher rates of viremia due to having recently acquired HIV, being undiagnosed, or not taking ART. ⁴¹ ⁴² ⁴⁷ It is also noteworthy that women of all ages compounded their risk by not using condoms with men who might be HIV positive, a

risk factor implicated as a key driver of HIV acquisition. ⁴⁸ Finally, the fact that food-insecure women reported both more forced sex over their lifetimes, as well as more frequent early sexual debut suggests that some of the risk behaviors are a result of compounded vulnerabilities, and that exposure to multiple adverse events might precede or predispose women to food insecurity. These findings also support that food security has a significant gender dimension, where women are both more at risk of severe hunger, and suffer more consequences due to limited coping strategies, which include different forms of sexual activity in exchange for material support. ²⁰ The inter-country heterogeneity of the association between severe food insecurity and certain risk behaviors suggests that there might be multiple different pathways between food insecurity and HIV acquisition, and these are likely to be highly contextual.

Another key finding is that, while most forms of social support were not associated with a protective effect, receipt of food support was associated with a 64% lower risk of recent HIV infection in women. This suggests that hunger alleviation interrupts the cycle of vulnerability, possibly because food support generally goes directly to women who are responsible for intra-household consumption needs, and is unlikely to be used by men for other purchases. ⁴⁹ Our results suggest that food support addresses women's immediate food shortage, alleviating the pressure to engage in forms of high-risk behaviors to obtain food. Further analysis of our data, disaggregated by sex, age and risk group, and epidemiological context, is currently being conducted to understand how different forms of social support impact behaviors and HIV risk; this should enable the comparison of our data with other studies which have shown benefits of social or financial support, both in terms of short-term assistance and longer-term coping strategies. ^{50 51} This research supports the need to address structural constraints underlying poverty, as well as behavioral change and gender equity, and underscores the importance of including women as active agents who can assist in understanding how best to use social assistance.

Study limitations

Limitations of this study include the single-point estimate of HIV infection and associated behaviors, where the cross-sectional nature of the data means that the direction of effect is difficult to determine with certainty. The LAg avidity assay has limitations in estimation of HIV incidence and the algorithm excludes anyone who might have started antiretroviral drugs within the first six months of infection.³⁷ We also had relatively small numbers of people classified as recently infected across the surveys, particularly men, which prohibited an in-depth analysis of factors driving HIV infection in this group. However, in women, our findings are supported by our theoretical framework, suggesting that the findings are robust. The attenuation of any effect seen in the multivariable model of recent HIV infection in men also suggests that the patterns of risk for this sex are more context dependent, and therefore a pooled analysis across

several different countries is less appropriate. Furthermore, as hazardous drinking data were not collected in all PHIA countries, it prohibited its inclusion in our models, which might have obscured its importance as a driver of both food shortages as well as HIV acquisition.⁵² Further research incorporating more community level variables, as well as other factors which might be more predictive of infection in males, are critical to the identification of high-risk men.

Conclusions

In this time of global economic disruption and stark increases in food insecurity, ^{9 53} it is critical to track the emergency of food shortages in communities that are most vulnerable to the devastating effects of climate change. Our study suggests that in addition to population-level emergency food assistance, women, particularly those heading their own households, need to be specifically targeted with food assistance. At the same time, these women can be linked to support services that will help them reduce their risk of HIV infection. Beyond these acute responses, programs need to follow-up with support for economic empowerment of unmarried women, so that they can support themselves and their children without relying on high-risk sexual activity in their times of greatest need. Investments in community resilience need to ensure that these women are fully integrated into the programs, whether in the agricultural sector or in the market or service economy.

Future research should further evaluate how current biomedical prevention modalities such as preexposure prophylaxis (PrEP), and structural interventions such as educational support targeted towards young women, might disrupt this pathway. In light of evidence suggesting that the age at acquisition is shifting upwards,⁵⁴ re-evaluation of prevention programmatic age targets is recommended in order to support women throughout their life span. Understanding that food insecurity has both short and longterm consequences, including HIV transmission, should spur further investments in preparedness, including in crop resilience, and environmental justice. Addressing the root causes of climate change by encouraging the use of renewable energy resources in sub-Saharan Africa could also offset the impacts of worsening emissions and environmental degradation.¹⁰

Supplementary File

Additional file 1: Food Insecurity Appendix 09 2021.docx.

This appendix contains additional details on the analytic framework, study design, variable construction, the climate context at the time of data collection, and more information on the measurement of food insecurity.

Figures

Figure 1: Weighted map of the prevalence of HIV infection

Figure 2: Weighted map of community HIV viremia

Figure 3: Weighted map of any food insecurity in adults aged 15-59

Legend for figures 1-3: Maps were generated with SAGA in QGIS version 3.4. We used georeferenced weighted averages at the enumeration area-level, with all cases linked to the centroid of the EA, and kernel density smoothing and interpolation over 200 adult participants for each smoothing circle. (B) community HIV viremia (%) was defined as a viral load >1000 copies/ml, in the total sampled population regardless of HIV serostatus; (C) any food insecurity defined as no food in the house at least once in the past four weeks.

Figure 4: Country Specific adjusted relative risk of HIV acquisition by severe food insecurity in women aged 15-59

Legend: Zambia results are not included as the model did not converge.

Authors' contributions

AL, EG, AS, NM, JJ, CG, HP, AH, WK, KJ, SF, and JW designed the study. AL, EG, AS, NM, JJ, CG, SB, KS, AH, DB, JM, WK, NP, LM, JW, and SF designed the data collection tools. AL and EG did the statistical analysis. AL took primary responsibility for writing the manuscript. All co-authors contributed to data analysis and interpretation, and to the writing and review of the manuscript.

Competing interests

The authors declare that they have no competing interests.

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Data sharing statement

All data are publicly available for download from the PHIA website.

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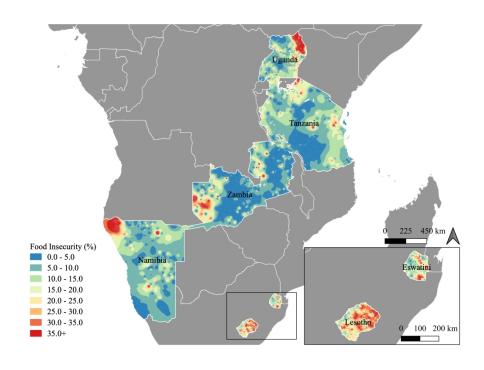
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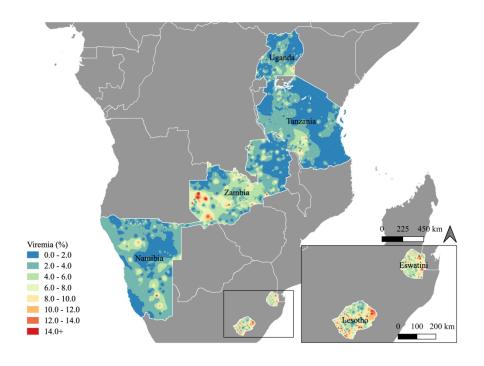
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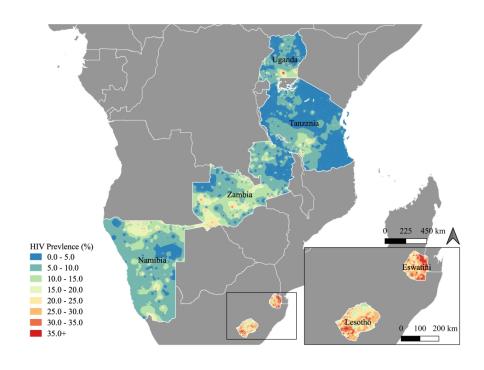




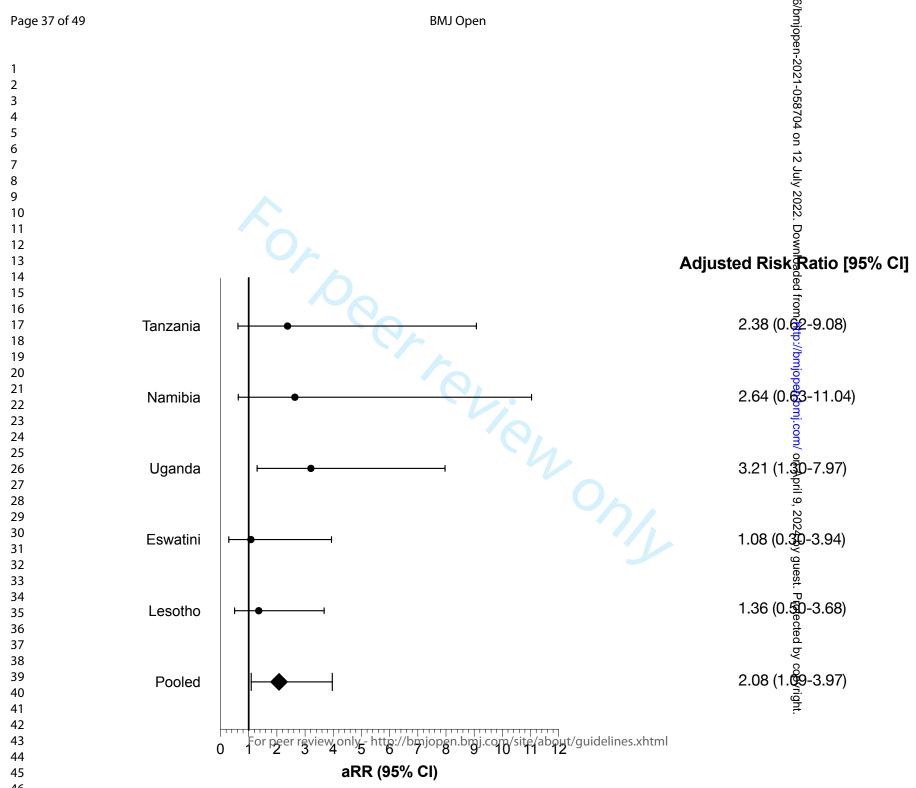
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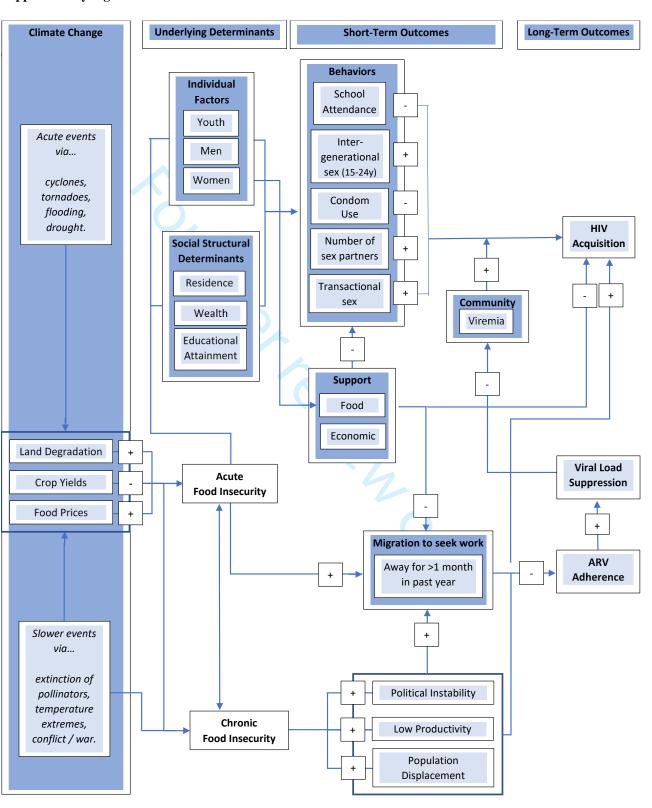
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Theoretical framework for relationship between climate change and HIV

Supplementary Figure 1. Theoretical framework



Climate context preceding and during PHIA data collection

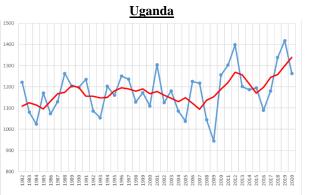
Global climate context

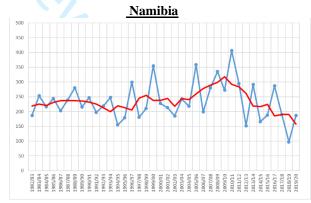
Climate extremes have immediate and long-term impacts on livelihoods of poor and vulnerable communities, contributing to greater risks of food insecurity. Different methods of estimating the impact of climate change on food availability have consistently shown that temperature changes will negatively impact crop yields at the global and national levels. With each degree increase in global mean temperature, there would be an average reduction in global yields of wheat by 6%, rice by 3% and soybeans by 3%. Studies have also shown that in 2019, prior to the COVID-19 pandemic, 34 million people were acutely food insecure. Evidence is also suggesting that 22 million people were displaced due to natural disasters in 2018. Women comprise the majority of the world's poor in both the urban and rural sectors and they are the majority of those working in the informal employment sector. ³

Analysis carried out by the World Food Programme (WFP) on rainfall and temperature patterns in the past 40 years for several countries in the African continent show that while there are marked temperature increases across the region, the case is less clear cut for rainfall. Of the countries under analysis, Uganda, Tanzania and Zambia show positive rainfall trends over the past 40 years, while negative trends are apparent in Eswatini and Lesotho, with no clear trend for Namibia. The trend for Uganda is the most marked (increase of 2.9mm/year) due to an exceptionally wet last three years.

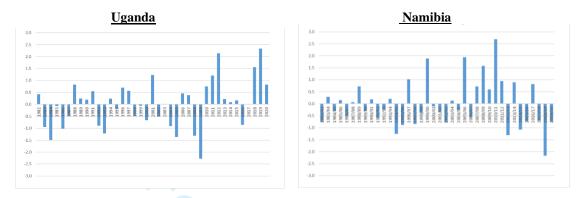
The key rainfall feature for agricultural production and consequently rural food production and food insecurity is the inter-annual (year-on-year) variability in rainfall. In the long run, rainfall variability is a major determinant of livelihoods in the semi-arid tropics as beyond a certain value, purely agriculture-based livelihoods become unfeasible and households switch progressively to livestock-based livelihoods. These fluctuations subject households to the twin hazards of drought and flood. It is a long-term driver of chronic food insecurity as large and unpredictable year-on-year fluctuations in rainfall amounts prevent households from diversifying the crops they plant and lead them to become more risk averse and conservative in terms of their production strategies. In general, the magnitude of inter-annual variations is much larger than any changes arising from a possible long-term trend.

Supplementary Figure 2. 1981-2020 all country seasonal rainfall for Uganda (left) and Namibia (right). Note large inter-annual fluctuations and lower frequency fluctuations Standardized anomaly of seasonal rainfall for Uganda (left) and Namibia (right) from 1981 to 2020.





Supplementary Figure 3. Standardized anomaly of seasonal rainfall for Uganda (left) and Namibia (right) from 1981 to 2020.



Another mode of variation in seasonal rainfall that may be present is associated with multi-year periods of drier or wetter than average conditions with inter-annual variability super-imposed on these lower frequency cycles. Of the countries in the study, Namibia in particular, but also eSwatini and Lesotho were undergoing drier than average conditions, while both Tanzania and Uganda are in a wetter than average phase.

Two extreme circumstance are exemplified by Uganda and Namibia: since 2010, Uganda has registered a single year with drier than average conditions (2016), while since 2012, Namibia has faced mostly drier than average seasons including the driest year in the 40-year record. Both these situations were preceded by opposite tendencies, a succession of mostly drier than average seasons for Uganda (2002-2009) and wetter than average seasons for Namibia (2005-06 to 2011-12).

The implications are that even if a clear picture were available as to the magnitude and direction of the trend in annual precipitation as a result of climate change, it is the direction and magnitude of change in precipitation variability that would be of crucial importance to infer potential impacts on food insecurity and livelihoods. However, while changes in mean and extreme rainfall have been the object of intense study, rainfall variability has received much less attention. Recent studies indicate that in response to global warming, rainfall variability in tropical areas is expected to increase more than mean precipitation due to greater increase in rainfall extremes.⁴

Local climate context of PHIA surveys

It is important to place the timing of the surveys against both the intra-seasonal context and the recent climate context which was somewhat unique at least for Southern Africa. Food insecurity has a well-defined seasonality: typically, in systems dominated by unimodal rain fed agriculture, planting follows soon after the onset of rains with harvests towards the end of the rainfall season. Food insecurity is usually at its lowest after harvest as household stocks are replenished and market prices tend to their yearly minimum. As household stocks are exhausted and staple food prices rise again, food insecurity tends to increase and reach a maximum during the so-called "hunger gap", a period in the first half of the rainfall season when stocks from the previous harvest have been exhausted, the new harvest is still away and market prices hit their seasonal high. Therefore, following a drought, food insecurity will peak in the early stages of the next rainfall season (even if this happens to be quite favorable).

Supplementary Figure 4. Timing of Rainfall, Agricultural Cycles, and Food Insecurity

| | AUG | SEP | ОСТ | NOV | DEC | JAN | FEB | MAR | APR | MAY | JUN | JUL |
|---------------|-----|-----|-----|------------|------|-----|-----|-----|-----|---------|-----------|-----------|
| Rainfall | | | | | | | | | | | | |
| Agriculture | | | | Plan | ting | | | | | Harvest | | |
| Food Security | | | | Hunger Gap | | | | | | | Higher Fo | od Stocks |

The surveys in this analysis were mostly carried out during a fairly unique period from the climate point of view. From late 2014 to mid-2016 one of the longest and most intense El Niño events on record developed. For Southern Africa in particular, it led to two consecutive droughts, the second of which had very intense impacts on regional

food insecurity. Consecutive droughts have compounding effects on food insecurity - the first drought, besides depleting national and regional stocks and direct impacts on households, enhances their vulnerability due erosion of household savings and sale of productive of assets. This enhances the impacts of the second drought through severe reductions in staple food availability and extreme market prices. This was followed by two La Niña events in 2016-2017 and 2017-2018, which nevertheless led to drier than average conditions in East Africa and wetter conditions in Southern Africa.

The figure below shows the timing of the surveys against a simplified drought / food insecurity timeline: we see that the surveys in Zambia took place during harvest time of 2016, after the hunger gap but during a meager harvest and inflated market prices; surveys in eSwatini and Lesotho partly coincided with the period of most extreme food insecurity. In Namibia, the survey took place following the harvests of what was a favorable season, allowing a recovery from the preceding drought impacts. In Tanzania and Uganda, the surveys took place in the drier than average season of 2016-2017. So, except for Namibia, the surveys took place in periods heavily or significantly influenced by drought events.

Supplementary Figure 5. Climate conditions preceding data collection in each country

| | 201 | 4 | | | | | | 20 |)15 | | | | | | 2016 2017 | | | | | | | | | | | | | | | | | | | | | | | |
|----|---------------------|----|-----|----|---|---|---|----|-----|---|---|-----|-----|--------------|-----------|----|---|---|-----|------|---|---|-----|-----|--------------------|-------------|-----|------|-----|-----|---|---|---|-----|-------|---|---|---|
| 0 | N | D | J | F | М | Α | М | J | J | Α | S | 0 | N | D | J | F | М | Α | М | J | J | Α | S | 0 | N | D | J | F | М | Α | М | J | J | Α | S | 0 | N | D |
| | | Dr | oug | ht | | | | | | | | Int | ens | e D r | oug | ht | | | | | | | Dry | Con | dit | ions | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | ٧ | Vet | ond | itio | ns | | | | | | | | | |
| | | | | | | | | | | | | | | Hig | hŒ∥ | ? | | | | | | | | | Extreme ® I | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | V | | | | | | | | | | | | | Ta | nza | nia | | | | | | | | |
| | | | | | | | | | | | | | | | | K | | | | | | | | | Ug | anda | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | Zan | nbia | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | (| eSv | vatin | i | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | Les | otho | , | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | Nan | nibia | 1 | | |
| FI | FI- food insecurity | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

FI- food insecurity

Survey methodology including sample design and construction of variables

A. Survey Design and Sample Size

The PHIA surveys employed a cross-sectional, two-stage, cluster sampling design to obtain a nationally representative sample of adults aged 15 years and older, with varying upper age limits. The first-stage sampling units were enumeration areas (EAs) selected with probabilities proportionate to the number of households in the EA, with allocation to subnational areas designed to achieve 30% precision around a national estimate of incidence and 95% confidence intervals (CI) of ± 0.10 for regional estimates of viral load suppression (VLS) in individuals aged 15-49 years. There was an assumed intra-cluster correlation of 005 for prevalence and VLS rates. The estimated numbers of households, individuals and blood draws included adjustments for household vacancy and non-response, number of individuals per household, individual non-response, and refusal of blood testing or specimen loss, based on data derived from the most recent national census. Post-stratification weights were calculated to reflect the age and sex distribution of the most recent national census.

All households within the boundaries of the selected EAs were listed by trained staff prior to data collection. In the second stage of sampling, households were randomly selected from each EA using an equal probability approach that allowed variation in the number of households depending on the size of the EA between the time of the census and the survey household listing. On average, 25 households were selected in each EA.

B. Variable description

The food insecurity questions were included in each country's household questionnaire and included the following questions, based on HFIAS:¹¹

In the past 4 weeks, was there ever no food to eat of any kind in your household because of a lack of resources to get food? [YES/NO/DON'T KNOW]

How often did this happen in the past 4 weeks? [RARELY (1-2 TIMES)/SOMETIMES (3-10 TIMES)/OFTEN (MORE THAN 10 TIMES)]?

In the past 4 weeks, did you or any household member go to sleep at night hungry because there was not enough food? [YES/NO.DON'T KNOW]

How often did this happen in the past 4 weeks? [RARELY (1-2 TIMES)/SOMETIMES (3-10 TIMES)/OFTEN (MORE THAN 10 TIMES)]?

In the past four weeks, did you or any household member go a whole day and night without eating anything because there was not enough food? [YES/NO/ DON'T KNOW]

How often did this happen in the past 4 weeks? [RARELY (1-2 TIMES)/SOMETIMES (3-10 TIMES)/OFTEN (MORE THAN 10 TIMES)]?

Heads of households who responded that there was ever no food to eat of any kind their household because of lack of resources to get food, and then classified this as sometime (3-10 times) or often (10 times or more) in the past four weeks, were classified as living in a household with severe food insecurity.

The receipt of economic and food support was asked as:

Has your household received any of the following forms of external economic support in the last 12/3 months? [SELECT ALL THAT APPLY]

NOTHING [A]

CASH TRANSFER (E.G. PENSIONS, DISABILITY GRANTS, CHILD GRANT) [B]

ASSISTANCE FOR SCHOOL FEES [C]

MATERIAL SUPPORT FOR EDUCATION (E.G. UNIFORMS, SCHOOL BOOKS, EDUCATION, TUITION SUPPORT, BURSARIES) [D]

INCOME GENERATION SUPPORT IN CASH OR KIND (E.G. AGRIGULTURAL INPUTS) [E]

FOOD ASSISTANCE PROVIDED AT THE HOUSEHOLD OR EXTERNAL INSTITUTION [F]

MATERIAL OR FINANCIAL SUPPORT FOR SHELTER [G]

SOCIAL PENSION [H]

OTHER [X] (SPECIFY)

DON'T KNOW [Z]

Receipt of food support was defined as having received food assistance provided at the household or external institution in the past three months, regardless of whether they received any other types of support. Other social support included all other types excluding those who received food assistance or who reported having received nothing.

The *socio-demographic characteristics* included residence, defined as urban vs rural, and wealth quintile, which was constructed using Principal Component Analysis (PCA) based on household assets and infrastructure, including the type of house construction, cooking fuel, toilet and water source, based on the methods used by the Demographic and Health Surveys. ¹² For variables at the individual-level, these included age and educational level, defined as the level attended, even if not completed. Employment status was based on reported recent status of paid work, where they were classified as currently enrolled in school, engaged in paid work in the past 12 months, both or neither. Marital status was defined as never married or having lived with a sexual partner, currently married or living with a partner, or no longer married, comprised of all who responded that they were currently separated, divorced or widowed.

Behavioural variables included asking whether participants had ever been tested for HIV and received the results, and if they had done so in the past 12 months, and female participants were asked about previous pregnancies and their outcomes. Sexual behaviour variables described the lifetime number of sexual partners, who could be partners with whom the participant engaged in either anal or vaginal sex acts. Among those who reported sexual activity in the past 12 months, the following characteristics were measured: how many partners, and for the three most recent partners, their relationship status with the participant (including casual partner, regular partner or husband), their age, and whether they had engaged in the partnership for goods or gifts.

For condom use with an extramarital partner, the denominator was those who reported having an extramarital partner in the past 12 months.

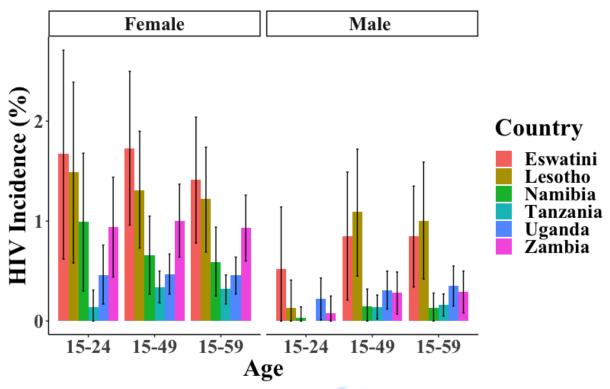
Violence questions were administered to one randomly selected female participant aged 13-59 years in each household. In Tanzania, due to an error in the sampling algorithm, appropriate weights could not be calculated and thus their data was excluded from the analysis.

Sample weights

The sample weights were created using similar methodology across all PHIAs.¹³ The sample weights were adjusted to compensate for the variable probabilities of selection for this complex sample design, to account for differential nonresponse rates within relevant subgroups of the sample, and to adjust for under-coverage of certain populations. Taylor weights were used for variance estimates to account for the stratification, clustering and nonresponse and poststratification weighting adjustments. Due to a programming error, violence data were not correctly weighted in Tanzania, and therefore their data were excluded from the analysis of forced sex.

HIV incidence across the included PHIA countries, by age and sex

Supplementary Figure 6. HIV Incidence by sex and age among participants across six sub-Saharan countries, 2015-2017



NOTE-Annualized incidence estimates were calculated using the World Health Organization (WHO) incidence formula.²⁰

Measuring food insecurity using PHIA questions to create a hunger scale

Although we used the question on the presence of food in the house to generate our exposure variable, we compared the results to a score generated using all questions. The household hunger scale (HHS) was generated following Ballard et al. (2011). 14 It uses the six questions listed above, which are collectively validated for identifying household hunger in cross cultural settings. Responses to each of the three questions are scored from 0-2, with zero representing no occurrence of the event (lack of food in the household, going to bed hungry or 24 hours without anything to eat), 1 representing the event occurring "rarely or sometimes", and 2 representing "often". The three scores are aggregated to form a continuous household hunger score ranging from 0-6. Then we generated a threecategory categorical variable of little hunger, moderate hunger and severe hunger. It is important to note that the continuous household hunger score is generally not normally distributed and therefore use of the mean score for tests of statistical significance is not recommended. The trends in recent infection in women that were observed using severe hunger as the exposure persisted, but, interestingly, the power was reduced, in large part because the number of participants classified as severely hungry was considerably smaller. Although the trends were similar with the indicator and the scale variable, we ultimately selected the directly observable indicator question. The primary reason was that the food insecurity questions are asked at the household level rather than the individual level in the PHIA, while HIV risk is (largely) individual. And we know that food insecurity is not necessarily experienced equally across household members. The questions that measure whether any household member experienced food insecurity may or may not capture the food insecurity experienced by every individual in the household. Sick household members, male household members, particularly vulnerable members, or breadwinners may be prioritized when food is scarce or insufficient. Whereas when there is no food in the household, it is a plausible assumption that every person in the household has experienced food insecurity.

Supplementary Table 1. Association between the food insecurity score and recent HIV infection in adults aged 15-59

| Characteristic | | Women (N=54,784) | | | | | | |
|-------------------------------------|-------------------|---------------------|--------------------|---------|--|--|--|--|
| | aRR (95% CI) | P-value | aRR (95% CI) | P-value | | | | |
| Hunger score | | | , , , | | | | | |
| Little | Ref. | | Ref. | | | | | |
| Moderate | 1.62 (0.89-2.95) | 0.115 | 0.66 (0.29-1.50) | 0.319 | | | | |
| Severe | 2.21 (0.38-13.04) | 0.379 | 3.17 (0.47-21.27) | 0.234 | | | | |
| Age group | | | | | | | | |
| 15-24 | Ref. | | Ref. | | | | | |
| 25-34 | 1.20 (0.61-2.34) | 0.598 | 0.84 (0.22-3.17) | 0.791 | | | | |
| 35-44 | 0.78 (0.35-1.70) | 0.524 | 1.47 (0.35-6.15) | 0.593 | | | | |
| 45-59 | 0.35 (0.11-1.15) | 0.085 | 1.27 (0.29-5.61) | 0.755 | | | | |
| Country | , , , , | | ` ′ | | | | | |
| Zambia | Ref. | | Ref. | | | | | |
| Lesotho | 0.87 (0.49-1.57) | 0.654 | 2.26 (0.77-6.67) | 0.138 | | | | |
| Eswatini | 1.63 (0.88-3.02) | 0.117 | 2.45 (0.72-8.37) | 0.153 | | | | |
| Uganda | 0.69 (0.41-1.14) | 0.147 | 1.51 (0.59-3.89) | 0.390 | | | | |
| Namibia | 0.90 (0.44-1.86) | 0.776 | 0.62 (0.15-2.55) | 0.507 | | | | |
| Tanzania | 0.46 (0.26-0.81) | 0.007 | 0.61 (0.23-1.59) | 0.310 | | | | |
| Rural residence | 0.64 (0.36-1.14) | 0.131 | 1.96 (0.80-4.78) | 0.141 | | | | |
| Wealth Quintile | 0.91 (0.74-1.13) | 0.402 | 0.92 (0.71-1.20) | 0.541 | | | | |
| Community viremia | 1.10 (1.05-1.15) | <0.001 | 1.10 (1.02-1.18) | 0.018 | | | | |
| (per 1% increase) | | | | | | | | |
| Receipt of support in past 3 months | | | | | | | | |
| None | Ref. | | Ref. | 1 | | | | |
| Economic only | 1.03 (0.54-1.99) | 0.921 | 1.37 (0.52-3.63) | 0.527 | | | | |
| Food support | 0.36 (0.14-0.90) | 0.029 | 2.68 (0.37-19.56) | 0.329 | | | | |
| Marital status | , , , | | ` ' | 1 | | | | |
| Never married | Ref. | | Ref. | 1 | | | | |
| Married | 1.87 (0.93-3.75) | 0.077 | 10.16 (1.93-53.44) | 0.006 | | | | |
| Separated/Divorced/Widowed | 4.16 (1.81-9.54) | 0.001 | 9.48 (1.38-65.18) | 0.022 | | | | |

Potential mediating behaviors between severe food insecurity and HIV acquisition in women

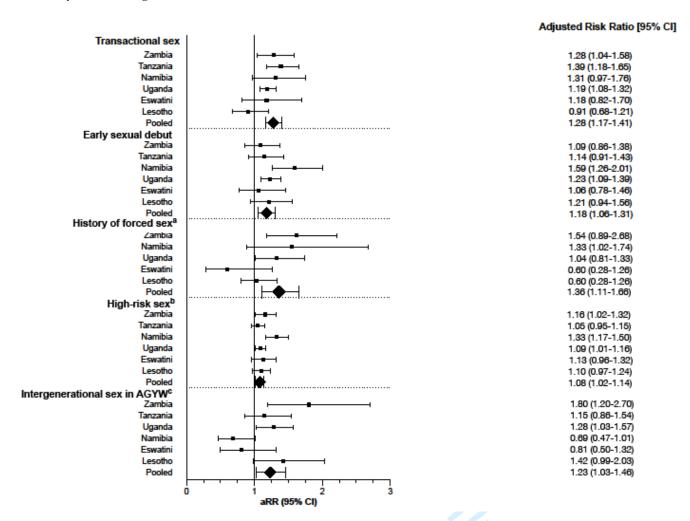
Supplementary Table 2. Frequency of mediating behaviors by country, severe food insecurity and age group in women aged 15-59

| Characteristic | Transactional sex | Early sexual debut | History of forced | High-risk sex | Intergenerational sex |
|----------------|---------------------|---------------------|--------------------|----------------------|-----------------------|
| | % (n/N) | % (n/N) | sex | % (n/N) | in AGYW |
| | | | %(n/N) | | % (n/N) |
| Country | | | | | |
| Zambia | 16.4 (1,201/7,347) | 9.6 (1,054/10,507) | 8.1 (496/6,848) | 32.3 (2,395/7,348) | 12.3 (257/2,096) |
| Lesotho | 6.1 (304/4,969) | 4.8 (332/6,661) | 11.9 (503/4,537) | 28.7 (1,462/4,976) | 11.3 (161/1,389) |
| Eswatini | 5.0 (194/3,873) | 4.5 (251/5,358) | 4.1 (98/2,459) | 22.4 (880/3,869) | 16.3 (160/1,003) |
| Uganda | 19.5 (2,353/11,824) | 12.1 (2,009/15,813) | 16.2 (384/2,898) | 38.5 (4,564/11,855) | 16.3 (630/3,870) |
| Namibia | 9.6 (553/5,908) | 5.8 (586/8,276) | 4.5 (211/5,264) | 23.2 (1,593/5,922) | 10.2 (182/1,655) |
| Tanzania | 13.1 (1,382/11,199) | 8.7 (1,492/15,884) | NI | 45.8 (5,038/11,246) | 18.2 (616/3,556) |
| Severe food | | | | | |
| insecurity | | | | | |
| No | 14.6 (5,070/39,511) | 9.4 (4,792/54,479) | 7.1 (1,730/26,874) | 39.9 (13,678/39,589) | 16.3 (1,744/11,937) |
| Yes | 21.8 (915/5,582) | 12.9 (930/7,984) | 10.8 (295/3,612) | 45.5 (2,243/5,600) | 19.0 (261/1,624) |
| Age group | | | | | |
| 15-24 | 19.8 (2,361/13,974) | 9.5 (2,036/23,227) | 11.6 (772/7,973) | 37.2 (4,372/14,013) | 16.5 (2,006/13,569) |
| 25-34 | 13.3 (1,821/15,377) | 9.4 (1,581/17,574) | 5.3 (595/9,797) | 36.7 (5,007/15,417) | - |
| 35-44 | 14.3 (1,197/9,586) | 10.7 (1,140/11,611) | 5.7 (401/6,907) | 44.6 (3,776/9,607) | - |
| 45-59 | 10.7 (608/6,183) | 9.9 (967/10,087) | 4.4 (260/5,828) | 53.3 (2,777/6,179) | - |

Note- analysis restricted to those who report a history of sexual activity aside from sexual debut. History of forced sex did not include data from Tanzania due to sampling error. All percentages are weighted and numbers are crude. Denominators vary due to missing data or different sampling methods for the violence questions. High-risk sex is defined as sex without a condom with someone of unknown or HIV-positive status.

Severe food insecurity and risk behaviors by country in women aged 15-59

Supplementary Figure 7. Country-specific adjusted relative risk for different sexual behaviors by severe food insecurity in women aged 15-59



aRR- adjusted risk ratio; AGYW- adolescent girls and young women

^a Measured in all countries except for Tanzania

^b Defined as having condomless sex with someone of unknown of positive HIV status in the past year

^c Defined as having a sexual partner older by 10 years or more in the past year

Additional References

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STROBE Statement—Checklist of items that should be included in reports of cross-sectional studies

| | Item No | Recommendation | Page No |
|------------------------------|------------|--|-----------------------------------|
| Title and abstract | 1 | (a) Indicate the study's design with a commonly used term in the title or the abstract | 1 |
| | | (b) Provide in the abstract an informative and balanced summary | 2 |
| | | of what was done and what was found | 2 |
| Introduction | | | |
| Background/rationale | 2 | Explain the scientific background and rationale for the investigation being reported | 4-5 |
| Objectives | 3 | State specific objectives, including any prespecified hypotheses | 4-5 and appendix p. 2 |
| Methods | | | |
| Study design | 4 | Present key elements of study design early in the paper | 5 and appendix p.6- |
| Setting | 5 | Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection | p.5-6 and appendix p. 4-5 |
| Participants | 6 | (a) Give the eligibility criteria, and the sources and methods of selection of participants | p.5 and 6 |
| Variables | 7 | Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable | p.6-7 & appendix p.2 |
| Data sources/ measurement | 8* | For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group | p.4-7 & appendix p.6-7 |
| Bias | 9 | Describe any efforts to address potential sources of bias | p.21-22 Appendix p.6-7, p.9 |
| Study size | 10 | Explain how the study size was arrived at | p.5 Appendix p.6 |
| Quantitative variables | 11 | Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why | p.6-7 |
| Statistical methods | 12 | (a) Describe all statistical methods, including those used to control for confounding | p.6-7 |
| | | (b) Describe any methods used to examine subgroups and interactions | p.7 |
| | | (c) Explain how missing data were addressed | N/A |
| | | (d) If applicable, describe analytical methods taking account of sampling strategy | p.5 Appendix p.6-7 |
| | | (<u>e</u>) Describe any sensitivity analyses | Appendix p.9 |
| Results | | | |
| Participants | 13* | (a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed | p.7 |

| | | eligible, included in the study, completing follow-up, and analysed | |
|-------------------|-----|--|---------------------------|
| | | (b) Give reasons for non-participation at each stage | N/A |
| | | (c) Consider use of a flow diagram | |
| Descriptive data | 14* | (a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders | p.7-10 |
| | | (b) Indicate number of participants with missing data for each variable of interest | N/A |
| Outcome data | 15* | Report numbers of outcome events or summary measures | p.11-14 |
| Main results | 16 | (a) Give unadjusted estimates and, if applicable, confounder- adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included | p.11-13; 14- 16; 17-18 |
| | | (b) Report category boundaries when continuous variables were categorized (c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period | N/A |
| Other analyses | 17 | Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses | Figure 2 and supp Fig 7 |
| Discussion | | | |
| Key results | 18 | Summarise key results with reference to study objectives | p.20 |
| Limitations | 19 | Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias | p.21-22 |
| Interpretation | 20 | Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence | p.22 |
| Generalisability | 21 | Discuss the generalisability (external validity) of the study results | p.22 |
| Other information | | | |
| Funding | 22 | Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based | p.23 |

^{*}Give information separately for exposed and unexposed groups.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at http://www.plosmedicine.org/, Annals of Internal Medicine at http://www.annals.org/, and Epidemiology at http://www.epidem.com/). Information on the STROBE Initiative is available at www.strobe-statement.org.