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Implementation of the Diabetes Community Exercise and Education Programme (DCEP) for the management of type 2 diabetes: qualitative process evaluation

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Complete List of Authors:	Stokes, Tim; University of Otago Dunedin School of Medicine, Department of General Practice & Rural Health Wilkinson, Amanda; University of Otago Division of Health Sciences, School of Physiotherapy Jayakaran, Prasath; University of Otago Division of Health Sciences, School of Physiotherapy Higgs, Chris; University of Otago Division of Health Sciences, School of Physiotherapy Keen, Donna; University of Otago Division of Health Sciences, School of Physiotherapy Mani, Ramakrishnan; University of Otago Division of Health Sciences, School of Physiotherapy Sullivan, Trudy; University of Otago Dunedin School of Medicine, Department of Preventive and Social Medicine Gray, Andrew; University of Otago Division of Health Sciences, Biostatistics Centre Doolan-Noble, F; University of Otago Dunedin School of Medicine, Department of General Practice and Rural Health Mann, Jim; University of Otago, Department of Human Nutrition Hale, Leigh; University of Otago Division of Health Sciences, School of Physiotherapy
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3 **Implementation of the Diabetes Community Exercise and Education Programme**
4 **(DCEP) for the management of type 2 diabetes: qualitative process evaluation**
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11 Tim Stokes,^{1*} Amanda Wilkinson,² Prasath Jayakaran,² Christopher Higgs,² Donna
12 Keen,² Ramakrishnan Mani,² Trudy Sullivan,³ Andrew R Gray,⁴ Fiona Doolan-Noble,¹
13
14 Jim Mann,⁵ Leigh Hale.²
15
16
17
18
19
20
21

22 ¹Department of General Practice and Rural Health, Dunedin School of Medicine,
23
24 University of Otago, Dunedin, New Zealand
25
26

27 ²School of Physiotherapy, University of Otago, Dunedin, New Zealand
28
29

30 ³Department of Preventive and Social Medicine, Dunedin School of Medicine,
31
32 University of Otago, Dunedin, New Zealand
33
34

35 ⁴Biostatistics Centre, University of Otago, Dunedin, New Zealand
36
37
38

39 ⁵Department of Human Nutrition, University of Otago, Dunedin, New Zealand
40
41
42
43
44
45

46 **Corresponding author**
47

48 Professor Tim Stokes: tim.stokes@otago.ac.nz
49
50

51 Department of General Practice and Rural Health, Dunedin School of Medicine,
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53 University of Otago, PO Box 56, Dunedin 9054, New Zealand
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ABSTRACT

Objectives: To examine context-specific delivery factors, facilitators and barriers to implementation of the Diabetes Community Exercise and Education Programme (DCEP) for adults with type 2 diabetes (T2D) using the Reach, Effectiveness, Adoption, Implementation, and Maintenance (RE-AIM) Framework.

Design: A qualitative evaluation embedded within the DCEP pragmatic randomised controlled trial. Data collected via focus groups and interviews and analysed thematically.

Setting: Community-based in two cities (Dunedin and Invercargill) in the lower south island of New Zealand.

Participants: Seventeen adults diagnosed with T2D attending DCEP and 14 healthcare professionals involved in DCEP delivery.

Intervention: DCEP is a twice weekly session of exercise and education over 12 weeks, followed by a twice weekly on-going exercise class.

Results: Whilst we met our reach target (sample size, ethnic representation), the randomisation process potentially deterred Māori and Pasifika from participating. Promoting self-referral, primary healthcare organisation ownership and community champions could extend reach. DCEP was considered effective based on perceived benefit. The social and welcoming environment created relationships and connections. People felt comfortable attending and empowered to learn. Key to implementation and adoption was the building of trusting relationships with local health providers and communities. This takes time and care and cannot be rushed. Training of staff and optimising communication needed further attention. To maintain

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3 DCEP, delivery close to where people live and a generic approach catering for
4
5 people with multiple chronic conditions may be required.
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9 **Conclusions:** For success, lifestyle programmes such as DCEP, need time and
10
11 diligence to build and maintain networks and trust. Relationships extend beyond
12
13 frontline delivery staff and target populations, to local healthcare organisations and
14
15 communities. Access and ongoing attendance are enabled by health care
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17 professionals practicing in a nuanced person-centred manner; this, plus high staff
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19 turnover, necessitates on-going training.
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26 *Abstract word count: 292*
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Strengths and limitations of this study

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|---|
| <ul style="list-style-type: none">• Data were collected from both DCEP attendees and healthcare professionals involved in DCEP, delivery, enabling capture of wide and diverse opinions.• |
| <ul style="list-style-type: none">• The initial focussing analysis to identify key topics may have missed smaller and possibly important issues that merited consideration.• |
| <ul style="list-style-type: none">• Although our RCT met ethnic representation, this qualitative evaluation had low Māori or Pasifika representation.• |
| <ul style="list-style-type: none">• Whilst the interviewers were ethnically diverse, the three researchers who analysed the data were Pākehā (non-Māori) negating a Māori or Pasifika lens to the analysis. |

INTRODUCTION

Type 2 diabetes (T2D) is a substantial health issue. Globally, 8.5% of adults aged 18 years and older are estimated to have T2D.¹ In Aotearoa/New Zealand (NZ) over 250,000 people are estimated to have T2D (self-reported prevalence 5.9%), with high prevalence among Māori (7.9%), Pasifika (13.6%), and people living in low socioeconomic areas (10.4%).² Alongside blood glucose control via medication, diet control and being physically active are the key evidence-based components of management.¹ In NZ, diabetes primary healthcare is provided by general practitioners (GPs) and nurses focussing on screening and diagnosis, education and pharmacological management.^{3 4} The educational component is largely achieved via referral to the Diabetes Education Self-Management Newly Diagnosed and Ongoing Diabetes (DESMOND) programme, a one-day group-delivered educational programme.⁵ There are very few exercise or physical activity programmes delivered by healthcare professionals (HCPs). To address this challenge in the southern region of NZ, we developed the Diabetes Community Exercise and Education Programme (DCEP), which has now been in existence for over 10 years.

DCEP is a group exercise and educational programme, tailored to individual needs, and specifically designed to enable access for Māori, Pasifika, and people living in low socioeconomic areas. The aim of DCEP is to support adults living with T2D to take control of their health and to live well with their long-term condition. There are two parts to DCEP. Participants attend a twice weekly exercise and education session for 12 weeks, followed by a twice weekly maintenance exercise class. The programme has previously been described in detail.⁶ The potential benefits of DCEP highlighted in a

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3 feasibility study,⁷ justified a pragmatic randomised controlled trial (RCT) to evaluate
4 the effects of DCEP (plus usual care) on the glycated haemoglobin (HbA1c) levels,
5 physical health outcomes and health-related quality of life of individuals living with
6 T2D, compared to usual care alone.⁶ The target sample size for the primary outcome
7 (glycaemic control) was 220 individuals with T2D which included a 40% dropout rate.
8 We recruited and analysed data from 169 and 165 participants, respectively. Although
9 the results of the RCT showed no statistically significant between-group differences
10 for both the primary outcome (blood glucose control - HbA1c) and secondary
11 outcomes (Incremental Shuttle Walk Test, body weight, waist circumference, blood
12 pressure, quality of life measures) at one-year follow-up, the trial was successful in
13 engaging its target population and there was good attendance in the first 12 weeks
14 (as described below).

15
16 Reflective of the ethnicity in the lower South Island of NZ, 14% of the cohort were
17 Māori and 6% Pasifika, with 27% of participants living in areas considered by the NZ
18 Deprivation Index to be in the most deprived deciles (deciles 9 and 10).⁸ Adherence
19 to the 12-week DCEP intervention was 41% for ≥20/24 sessions, 15% for 15-19/24
20 sessions, 21% for 2-15/24 sessions and 23% for no attendance or one session.
21 Attendance at the subsequent maintenance classes was however poor (23% attending
22 >50% and 35% attending 10-40% of available sessions, with 42% attending no
23 sessions).

24
25 Given the success in targeting the populations of interest and initial attendance at
26 DCEP and NZ's current health inequities, and associated poorer outcomes for Māori,⁹
27 ¹⁰ an in-depth explorative evaluation of DCEP is warranted to inform future practice.

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3 This paper reports a qualitative process evaluation to identify practical ways to improve
4 DCEP delivery and inform its future development. This evaluation, embedded within
5 the RCT, aimed to examine the context-specific delivery factors, facilitators and
6 barriers to implementation of the DCEP using the Reach, Effectiveness, Adoption,
7 Implementation, and Maintenance (RE-AIM) Framework.¹¹
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18 **METHODS**

19 **Study Setting**

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21 This community-based study took place in in two separate urban centres in the lower
22 South Island of NZ: Dunedin (Otago Region) and Invercargill (Southland Region) in
23 community exercise venues.
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31 **Design**

32 A qualitative process evaluation of DCEP was undertaken as part of a two-arm
33 parallel, open label RCT (ACTRN12617001624370). The trial protocol has previously
34 been published.⁶ The trial recruited adults (age ≥ 35 years) with a diagnosis of T2D via
35 general practices and public advertisements. DCEP was introduced sequentially,
36 starting in Dunedin and then three months later in Invercargill. Following baseline
37 evaluation, participants were randomly allocated to either DCEP (plus usual care) or
38 usual care. Participants randomised to DCEP attended the 12-week programme and
39 then continued in the maintenance programme for a further 12 months.
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55 **Data collection**

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3 Interviews and focus groups were held at both study sites following the 12-week
4 programme and at the end of the trial until data saturation occurred. Semi-structured
5 interviews were used with DCEP attendees and any attending whānau (family).
6
7 Guided by their availability or for logistical reasons, we used either interviews or focus
8 groups for the HCPs involved in DCEP. The interview topic guide was informed by The
9 Consolidated Framework for Implementation Research (CFIR).¹² (Table 1). All
10 interviews and focus groups occurred at a mutually arranged time and place, were
11 audio-recorded with permission and were about one hour long. Research assistants,
12 with bachelor's degrees and from a variety of backgrounds (nursing, psychology,
13 social science) and ethnicities (Māori, Pākehā (non-Māori)) and known to the
14 attendees, undertook the interviews. All audio recordings were transcribed verbatim
15 by a professional transcription company.
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36 **Table 1: Interview topic guide**

37 **Questions for both DCEP attendees and healthcare professionals:**

38 Tell me about your experience of DCEP?

39 How could we improve DCEP?

40 How suitable / appropriate / acceptable is DCEP for your community?

41 How can we make DCEP continue in your community beyond the trial?

42
43 **Additional healthcare professional questions:**

44 What are the important aspects of DCEP? Why?

45 In order to deliver DCEP, what are important attributes / training do healthcare professionals
46 require?

47 How did DCEP influence your practice?
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55 **Data analysis**

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57 Data were first thematically analysed using the General Inductive Approach, a
58 pragmatic approach specifically designed for evaluative health research.^{13 14} Three
59
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3 researchers (AW, LH, TSt) read the transcripts multiple times to gain an
4
5 understanding of the key topics of interest, coded them accordingly and identified
6
7 illustrative quotes. To assist defining these key topics, a short precis was written by
8
9 AW for each transcript summarising the main points of the interview. The transcripts
10
11 of HCPs were analysed first. The key topics were then further analysed over two
12
13 stages using both the CFIR and RE-AIM frameworks.¹⁵ The rationale for using both
14
15 frameworks is that CFIR enables the understanding of the “why” of success (or not)
16
17 of implementation while the RE-AIM describes the practicalities of the outcomes (the
18
19 who, what, where, how, and when).¹⁵ ¹⁶ In the first stage, the relevant constructs and
20
21 domains from the CFIR were used to deductively explore and organise data. To
22
23 further categorise the organised data, in the second stage, the five RE-AIM domains
24
25 were applied by AW and LH. Multiple discussions between the research team
26
27 members (AW, LH, TSt) finalised the analysis by consensus. The consolidated
28
29 criteria for reporting qualitative research (COREQ)¹⁷ were used to inform reporting of
30
31 the study findings (Supplementary File 1).
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38 **Patient and public involvement**

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40 Patients or members of the general public were not involved in the design or conduct
41
42 of this study.
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48 **RESULTS**

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51 Seventeen DCEP participants, adults (female=11, male 3, age range 39-76, mean
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53 age 61 years; non-Māori=13, Māori=3, Cook Island Māori=1) diagnosed with T2D
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55 and randomised to DCEP, consented to interview. Fourteen HCPs (female=10, male
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57 4, Māori=1) were interviewed, these included two physiotherapists, four nurses, the
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3 clinical DCEP lead, a pharmacist, a podiatrist, a dietitian, an administrator, a Primary
4 Care Liaison Coordinator for Arthritis NZ, a General Practitioner (GP) and a
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8 counsellor. Table 2 presents a summary of the key CFIR domains identified.
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Supplementary file 2 presents the detailed CFIR findings along with illustrative quotes. Below we present the findings relative to the RE-AIM framework domains (namely, Reach, Effectiveness, Adoption, Implementation, and Maintenance).

Table 2: Summary of the key CFIR domains

Domains	Summary
Individual	Training and good communication of HCPs was crucial – they had to buy into the philosophies of DCEP and person-centred care and be trained into the nuances of delivering individualised care and attendee driven education within a group setting. Further, HCPs had to have, or develop, the ability to create trusting and caring relationships with attendees thus enabling a social and welcoming atmosphere and encouraging attendance. In turn, the supportive social environment enhanced the relationships and interactions of attendees, so they derived benefit from each other. Additionally, the correct venues had to be found (for example, in terms of location, safety, access both to and into, temperature, culturally acceptability, inexpensive to hire); the time in the day for the class was crucial (for example, not impacting on work); and the correct equipment purchased (for example, durable, practical, easily transportable and stored).
Inner setting	The most prominent findings were securing appropriate HCPs and their ongoing training.
Outer setting	The outer setting both assisted and offered challenges to implementation. Whilst we had long standing and strong relationships with many HCPs, for the trial we needed to work with new healthcare providers. We found that we rushed the process with some new healthcare providers or did not quite understand the local political environment for others. As we were not merging DCEP into an existing healthcare practice but rather setting up an independent community-based class, we learnt the necessity of taking time, and focused energy, as well as having local champions, to build such relationships and good communication strategies. Further, the navigation of relationships was ongoing as HCPs changed – both those that delivered DCEP and the managers of the services involved. Ongoing funding was another major challenge to the sustainability of DCEP.
Characteristics of individuals	Attendees talked about their increasing self-efficacy to manage their health, undertaking self-management activities and growing more comfortable to attend DCEP.

Reach

As described above, the RCT attained its targeted sample size, and its ethnic composition was reflective of that of the study setting. HCP participants suggested however that the RCT randomization process challenged recruitment as it was considered culturally unacceptable for Māori and Pasifika. For these populations, whānau (family) support is important and potential participants would have been more comfortable if they could attend together; the possibility of being randomised to different groups as individuals was undesirable.

[Our] community feel more comfortable coming in groups. [I] recommend they be randomised together. [I] can then go along with them to whatever programme they get randomised to [to facilitate introductions and help create relationships]. [If] this could be the case, I am happy to promote the research on my marae and to the general practice. [Nurse]

Referral into the trial was assisted by community champions of DCEP.

We just got a few champions [to work with us]. We got this big practice and got a nurse onboard. She just worked with a lot people with diabetes and just referred them. [Clinical Lead]

However, there was a need for improved communication channels, beyond GPs, for getting information about DCEP out and how people could self-refer to it.

I do the [name of clinic] at the hospital as well, and I give it [information about the programme] out to people who come in to see me. As soon as I said, 'You need to see your GP [to get a referral],' some said, 'I don't know about it.' They didn't want to contact their GP 'cos they thought of that as an extra expense [paying to see the GP to get a referral to the programme]. ... It was a big barrier. [Podiatrist]

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3 *You can self-refer into the study.* [Interviewer]
4
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6 It was thought that having a primary healthcare organisation (PHO) endorse, fund and
7 run DCEP would increase general practice referral; thus, mitigating the observed
8 resistance from some general practices about referring patients into DCEP.
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13 *We had some resistance from general practices about referring [‘their’ patients].*

14
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16 *... So, I think if the PHO owned it, they would promote it around their respective*
17 *practices. They would target their practices that they identified as having high-*
18 *needs patients [who would benefit from participating in DCEP].* [Clinical Lead]
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22 23 **Effectiveness**

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25 Both attendees and HCPs expressed a range of positive beliefs about DCEP. The
26 group approach of DCEP facilitated relationship development amongst the whole
27 group, both between HCPs and attendees, and amongst attendees themselves.
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32
33 *I try and engage with everyone to start with ... when people are doing their thing,*
34 *I’ll walk around and chat and I’ll do that connecting. I am working on a kind of*
35 *personal connection, not just a ‘I’m your physio’ kind of connection but actually*
36 *finding out a bit about them, [like asking] ‘What do you do?’ I’ll [also] share a little*
37 *bit about myself and so I sort of engage them from there. When the bikes are*
38 *together, you end up having a conversation with two people at the same time and*
39 *[then] they end up talking.* [Physio]
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51 The group nature of DCEP intervention also encouraged inclusion of family/whānau
52 (important in Māori culture). Family came along to support and joined in with the
53 education and exercise sessions.
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3 *I really like the idea of [the approach of DCEP]. Instead of just being [targeted at]*
4 *one person with diabetes, it's actually engaging for whānau to come and do this*
5 *[join in]. So, it's been wonderful to see husbands and wives coming in and talking*
6 *and walking that journey together. [Nurse]*
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13 Attendees (A) stated meeting people, connecting and enjoying each other's company
14 was key to their continued attendance.
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18 *I guess that was one of the reasons why we kept going back, because we had*
19 *some laughs and because we were comfortable. [A639]*
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23 Others suggested that DCEP was an integral, positive and supportive part of their
24 lives.
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28 *It's been a tough couple of years, but for us, DCEP has been an important part,*
29 *a positive part [of our lives]. It's been a real support [from] both a health and*
30 *physical fitness point of view. [A577].*
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36 One participant stated that attending DCEP had changed her health care behavior.
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39 *Something the programme has encouraged me to do [my blood sugar levels]*
40 *and I do it almost every day. Before I have my cup of tea, or first thing in the*
41 *morning before I have anything [to eat]. [A324]*
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47 Attendees also found the HCPs welcoming and appreciated the individual attention
48 that provided exercise tailored to their needs.
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52 *[physiotherapist] was prepared to work with us all individually if we required it,*
53 *and if we had any specific issues that she could help with. [A373]*
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57 Attendees considered the format of DCEP, while different from others they had
58 attended, was good and thought provoking. They seemed to enjoy the group
59
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3 discussions that were facilitated by educators and occurred organically between
4
5 participants.
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8 *We have had more discussion from the people within the group during and*
9 *afterwards. When you are discussing that [new information] among group of*
10 *people, there are things that come out that you didn't know about. [A373]*
11
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16 One participant summed up impact of DCEP by stating:
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18 *I feel better just for meeting the people that I met, doing the stuff that I did,*
19 *learning what I did. [A639]*
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23
24 HCPs considered that DCEP had several advantages over the other two usual
25 healthcare options, namely, DESMOND or advice given through routine consultations
26 with members of the primary healthcare team. The group focus provided a non-
27 threatening environment for participants and facilitated revisiting of educational
28 information, while at the same time provided repeated contact with HCPs.
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35
36 *I think the points of difference [to usual care], that I can see, is the education*
37 *component... that constant or continued access, a point of contact to a health*
38 *professional. It's in an environment that's not threatening because they're there in*
39 *a big group doing exercise and learning more about their health condition [at every*
40 *session]. [Nurse Manager]*
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48 HCPs suggested the repeated sessions of DCEP provided more opportunity for
49 attendees to ask questions of HCPs.
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51

52
53 *I see it [DCEP] as being really valuable because people often tell us that they*
54 *don't feel that they have the ability to ask the questions that they really want to*
55 *ask [at an appointment] due to time pressures. [Pharmacist]*
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3 The ability to create an atmosphere through a suitably curated music playlist enabled
4
5 HCPs to build group cohesion; an underpinning aspect of DCEP's approach.
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8 *People said they loved the music. We had a mix. There was island music and all*
9 *sorts of things a real big variation of music and they were like, "This is great!"*
10
11 *... Being able to make [the playlist] more personalised and more appropriate for*
12
13 *the people that are coming in is important and having that flexibility I think is quite*
14
15 *good. [Physio]*
16
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19

20 **Adoption**

21
22 The DCEP delivery characteristics that supported adoption were underpinned by the
23
24 longstanding networking and relationship development undertaken with external
25
26 people and organisations over many years. This led to the successful inclusion of
27
28 others to support DCEP (e.g. venue, staffing) or for delivery of education sessions.
29
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31

32
33 *Places where we have had existing relationships, existing trusting relationships*
34 *[built] over time, [these] have worked. We've had a long-standing relationship with*
35 *[name of a health provider]. And they've been good. They've supported us. They*
36 *had their staff running the exercise class long before we had a contract sorted*
37 *with them. They needed to trust us. And they did. And then there's others ...*
38 *and I've been working with them for years. One person always agrees [to come*
39 *and talk] and does it free of charge. He sees it as part of his role. [Clinical Lead]*
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50 It was evident in the data however, that taking time to develop relationships and not
51
52 asking too much of people or organisations, was imperative for the adoption of DCEP
53
54 by community organisations.
55

56
57 *We tried to work closely with [name of health provider]. ... It didn't go well. ...*
58
59 *The challenge was that we didn't really have an opportunity to work through the*
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3 *necessary discussions because, all of a sudden, we were asking a lot of them in*
4 *a relatively short period of time. We managed to sour that relationship through*
5 *communication not being ideal and just asking for too much, too soon. [Clinical*
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9
10 Lead]

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13 While training of HCPs assisted with engagement in DCEP delivery, HCPs' knowledge
14 and beliefs about DCEP suggested a buy-in to its philosophy was essential. A
15 challenging aspect was engaging HCPs whose daily practice aligned with this
16 philosophy.
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23 *And I do think that if staff aren't clear on some of the values around [DCEP] it is*
24 *difficult ... It's not classic cardiac rehab, or pulmonary rehab. It's not, 'do this', 'do*
25 *this', or blow whistles. We do try and run [DCEP] with a certain ethos. [Clinical*
26
27
28
29
30 Lead]

31
32
33 One HCP stated a team player is required.
34

35
36 *I definitely think there are certain personalities that probably fit better with the*
37 *programme [approach. It's] those people that work in a team. The team needs to*
38 *be working in order to make [DCEP] work. [Physio]*
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43 Additionally, HCPs recommended that an ability to connect with
44 individuals/family/whānau and facilitate development of relationships was an important
45 attribute for successful implementation of DCEP.
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51 *You certainly need someone who can engage with people [especially] when*
52 *you've got all these random people that don't know each other, and you need to*
53 *engage with them and then try and get them to engage with each other! It's quite*
54 *key to how [DCEP] runs as well. [Physio]*
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Implementation

Initial training was undertaken with HCPs involved in DCEP delivery via zoom (i.e. introduction and orientation), followed by self-directed study of relevant resources. Sharing of pertinent resources was ongoing and shared with the team via email.

I am aware that we try to bring some of the MI [Motivational Interviewing] 'Spirit' to the group setting. The 'Talking to Change' podcast series by Chris Wagner is great but in particular the 5th episode ... is specifically related to MI in groups. It is the best explanation, I have heard, of the atmosphere we try to create within DCEP. [Clinical Lead]

Training updates were held to answer any outstanding or frequently asked questions and to train any new HCPs who had joined since the previous training. However, some HCPs missed these opportunities. New HCPs to DCEP talked about information not being handed on.

That was the problem, that none of it [training about what to do] was handed over. Absolutely nothing. [Nurse]

The orientation training for DCEP was not repeated for new HCPs:

No, I don't know that there was any orientation! [Dietician]

HCPs participants suggested that the networks and communication between and amongst people involved in DCEP could have been more structured and improved.

There was also limited networking experienced by educators.

You just slot yourself in and move on, don't you? [Podiatrist]

Limited feedback was provided to educators about content for and applicability of their sessions.

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3 *And nobody came back and said that was a bad talk.* [Podiatrist]
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6 Implementation from an administrative perspective included ensuring that there was
7
8 good administrator as:
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10
11 *There was a lot of coordinating and making sure that we had all our ducks in a row*
12
13 *basically, to keep it going.* (Administrator)
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15

16 This included the logistics of finding the suitable venues in which to hold DCEP.
17

18
19 Accessible for, sometimes, older, frailer people with physical disabilities; good
20
21 parking, ideally, free parking trying to break down the barriers, any barriers to
22
23 access [including culturally appropriate and accessible venues]; and maybe in a
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25 good location, as in, um, closer to the high-needs communities where we wanted
26
27 to work in, with the people with a high incidence of T2D. And then it was a venue
28
29 that was big enough to house up to 25 people, with bathroom, kitchen... a sound
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31 system or something like that. Yep, affordable. And, um, but also, that the
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33 exercise equipment... 'cause we've got some exercycles on wheels, some mats,
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35 some benches-type things or steps, and some rowing machines. Which are all
36
37 portable but require storage space. [Clinical lead]
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43 **Maintenance**

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46 An administrator suggested that DCEP, because of its preventative, collaborative and
47
48 community focus should be an attractive long-term investment for national and local
49
50 planners and funders.
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54 *From an investment point of view, you cannot underestimate the investment in*
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56 *preventative work* [Nurse Manager].
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3 Additionally, a broader approach that included people with any long-term condition/s
4
5 should be a consideration moving forward.
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8 *My personal view is around having [DCEP] as long-term conditions focused, not*
9 *just diabetes. I think the sustainability in the community, particularly in some of*
10 *our rural areas, would be difficult with just a diabetes focused programme. It would*
11 *be a challenge. ... Therefore, [if you broaden the programme] you're not doubling*
12 *up on your resources. You can use the process and get greater 'bang for your*
13 *buck. [Nurse Manager]*
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23 To achieve sustainability, it was suggested that any programme would need to be
24 delivered close to where people lived, especially in rural areas because that is where
25 people with complex needs and multiple long-term conditions often live because living
26 costs are lower.
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32 *And travel from [rural town] to [city] is quite difficult and can be expensive for*
33 *people. [Nurse Manager]*
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38 The timing of the classes was often a major barrier to those who were working.
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41 *The middle of day obviously excludes a large portion of people from being able*
42 *to participate. [Pharmacist]*
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45

46 It also excluded attendees from bringing along their family/whanau.
47
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49 *Probably the timing of the programme that didn't allow it for people to take a*
50 *significant other. But I think if it was in the evenings [around] 6pm, people got a*
51 *bit more availability. [Nurse]*
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56 Additionally, it was felt DCEP would need to have the local and wider community
57 supporting its implementation and integration into the community.
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3 *Has to be a programme that can be picked up and taken somewhere and*
4 *supported from a distance. [It would need] good community engagement so that*
5 *everybody knows it's available for a wider population, and that there is*
6 *commitment from all the layers [local providers, planners and funders etc.,] who*
7 *need to be involved. But if it's not a funded programme, then there needs to be a*
8 *community response to what we're going to do, for the long-term. [Nurse Manager]*
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17 DCEP was also perceived as having value in that it provided exposure to different
18 work environments because:
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23 *physiotherapists have a role in exercise for people with long-term health*
24 *conditions. [Physio]*
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28 However, for physiotherapists, a tension was evident between the value placed on the
29 approach of DCEP by HCPs and potential HCPs, and the facility to recoup wages at
30 a rate similar to that earned in private practice.
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36 *If you're working in a private practice, that person can be billing for at least 2-3*
37 *consultations through ACC, an hour, which brings in quite a bit more money than*
38 *[the] hourly rate that [the programme could] pay someone. So, approaching a*
39 *private practice to buy out their staff time [is tricky]. [Clinical Lead]*
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45 As DCEP was developed to support people living in low socioeconomic conditions it
46 was offered free to attendees. Funding however to support aspects of DCEP (i.e.
47 venue hire, staff wages) was identified as a perennial issue.
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53 *Funding [laughs]. I mean that always comes up with everything. [GP]*
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59 **DISCUSSION**

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3 To inform future development of DCEP and similar lifestyle programmes for people
4 living with T2D, we undertook a process evaluation of the implementation of DCEP
5 into community-based settings within two cities in the lower South Island of NZ. We
6 used a three-stage approach. Initially, key topics of implementation interest were
7 identified through thematic analysis and we then sought to understand the “why”
8 of success (or not) of our implementation via application of the CFIR framework. To
9 inform future development of DCEP, we identified the practicalities of the outcomes
10 (“the who, what, where, how, and when”) using the RE-AIM Framework.^{15 16} Below we
11 discuss our findings relative to the RE-AIM Framework.
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25 **Reach**

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27 Whilst we met our reach target (i.e. sample size and regional ethnic representation),
28 had we not had to use the randomisation process of the RCT (thus potentially deterring
29 Māori participants) reach could have been extended. It could have also been further
30 extended had we promoted self-referral in addition to GP referral, given the latter was
31 potentially ‘gate-keeping’. PHO ‘ownership’ of DCEP and community champions could
32 further enhance reach.
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42 The RCT process was found culturally unacceptable to Māori and potentially for other
43 ethnic groups such as Pasifika, potentially reducing the reach of DCEP, similar to a
44 finding in a recent systematic review.¹⁸ Wider literature suggests that the NZ health
45 system’s individualised approach to healthcare,¹⁹⁻²¹ and by extension that of the RCT
46 randomisation process, denies people the psychosocial benefit attained through
47 inclusion of family/whānau in preventative and rehabilitation programmes.²²
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56 Further, to improve reach and access to DCEP, wider and enhanced communication
57 targeted directly to people living with T2D was needed, especially emphasizing the self-
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3 referral option. Self-referral has been shown to enhance population representation for
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5 people accessing psychological therapies for mental health in the United Kingdom
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7 (UK)²³ and availability of funding and staff training support to provide community
8
9 rehabilitation programmes is crucial to equitable access. In contrast, other UK
10
11 research²⁴ has found that people on low incomes considered self-referral to be an
12
13 obstacle to psychological therapies. These authors suggested the need to better
14
15 understand the complexities of effective referral and/or self-referral in primary care,
16
17 such as how services are discussed with patients and assumptions about people's
18
19 readiness to self-refer.²⁴ Our findings suggest that improving the referral cycle would
20
21 additionally require 'ownership' of DCEP by local primary healthcare organisations
22
23 (PHOs), who because of the 'buy-in' would then refer patients into the programme on
24
25 an ongoing basis. To enable programmes that address issues of inequities for Māori,
26
27 a strategy 'by Māori for Māori' is crucial,^{25 26} but funding, development and
28
29 implementation of such programmes continues to be challenge in NZ. As noted in the
30
31 foreword of the 2019 Health Quality and Safety Commission report: "It is not a matter
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33 of favouritism, political correctness or deference to Māori; rather, it is a matter of health
34
35 and wellbeing and the eradication of inequities."²⁷
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43 **Effectiveness**

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46 Essentially DCEP was considered effective in that both attendees and HCPs spoke of
47
48 the beneficial impact it had in creating a social and welcoming environment which,
49
50 although founded on relationships and connections, was tailored to the individual.
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53 People felt comfortable attending and empowered to learn.

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56 DCEP was valued by both attendees and HCPs because it appeared to offer benefits
57
58 that impacted wellness and social connectedness, with group interactions and the
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3 ability to build relationships considered important facets. It is well established that
4 development of meaningful relationships with other people generates a feeling of
5 belonging (or social connection) and an improvement in wellbeing and health.²⁸⁻³¹
6
7 Further, for older adults, social support, especially from family, is associated with
8 increased engagement in physical activity.³² Group participation for people with long-
9 term conditions has significant benefits (on, for example, self-efficacy, self-care,
10 quality of life, pain, psychological symptoms).³³ For such populations, numerous
11 factors (such as mental, emotional and physical symptoms)^{34 35} or wider social
12 determinants of health³⁶ make it difficult to develop and maintain support networks,
13 and thus organised healthcare groups can become important enablers. Effective,
14 caring, empathetic communication is a cornerstone of relationship development ^{30 37}
15 and relationship-centred care.³⁸ Relationship-centred care is argued to be the
16 founding principle of healthcare provision³⁸ and is contended to have a positive effect
17 on health outcomes.³⁹ Our findings further reinforce the substantiation for relationship-
18 centred care in rehabilitation programmes.

37 **Adoption**

38
39 Key to adoption of DCEP were the networking and relationships with local health
40 providers and communities. However, the building of these relationships should not
41 be underestimated – it takes time and should not be rushed. Also, of importance, was
42 whether the HCPs delivering DCEP valued the philosophy of DCEP (based on the
43 ‘spirit’ of Motivational Interviewing).⁴⁰ Training of staff and communication between the
44 various HCPs involved was not optimal and needs further consideration and
45 development.

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3 Not only is relationship centred care important for recipients of healthcare, our findings
4 emphasise the long-term relationship development and networking with healthcare
5 providers and the community required for the initiation and adoption of community-
6 based rehabilitation programmes. This process cannot be rushed, and is an important
7 facilitator of attendance, particularly for indigenous peoples.¹⁵ From an organisational
8 perspective, HCPs felt that champions and the 'right' type of HCPs employed to deliver
9 DCEP were important for adoption. From the perspective of attendees, the inclusive,
10 non-judgemental and welcoming atmosphere of DCEP encouraged their engagement.
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22 **Implementation**

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25 HCPs' buy-in to the underlying philosophy of DCEP and a team player attitude
26 contributed to successful delivery of DCEP. The literature suggests obtaining HCPs'
27 buy-in is a perennial issue when introducing change or innovation.⁴¹ Understanding
28 and addressing the organisational factors impacting on implementation, and indeed
29 organisational readiness,⁴² along with understanding of predictors of HCPs readiness,
30 are needed to increase team cohesiveness and engagement with a programme.⁴¹⁻⁴⁴
31
32 HCPs also suggested better DCEP training was needed, including improved
33 communication amongst involved HCPs. Strengthening such aspects would increase
34 the psychological meaningfulness, a prerequisite for buy-in,⁴⁵ the reward resulting in
35 greater investment in DCEP delivery.⁴¹ Our findings suggest champions for DCEP
36 were required to facilitate cultural and context specific factors, impacting not only reach
37 but implementation.⁴⁶
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53 **Maintenance**

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56 To maintain DCEP, especially if aiming to reach those in most need, DCEP needs to
57 be delivered closer to where people live; in rural NZ, this would also necessitate a
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3 generic approach catering for people with multimorbidity, instead of condition-specific
4 approaches. As DCEP was developed for those living in low socio-economic
5 situations, it was free to attend; this however meant on-going funding challenges, even
6 though its preventative attributes may, in the long-term, be cost-saving for the health
7 system.
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15 DCEP was considered impactful as a health preventative programme. A plethora of
16 literature espouses the benefit of exercise and education and their impact on mitigating
17 risk of disease progression and improved outcomes for people with long-term
18 conditions.⁴⁷ With limited healthcare resources,⁴⁸ a more sustainable model of a
19 generic programme for people living with multiple conditions rather than a condition
20 specific focus has been suggested. Delivered locally and offered at times appropriate
21 for the community concerned with local and wider community support would improve
22 engagement⁴⁹ but sourcing funding would require attention. Ownership by a PHO or
23 community-based health organisation (for example, a Māori health provider) has also
24 been proposed.⁴⁹
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39 **Strengths and limitations**

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42 A strength and a limitation of our study was the broad and rich data we collected.
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44 Whilst this ensured a wide and diverse capture of opinions, it also required an initial
45 focussing analysis to identify key topics that we then explored in more depth with the
46 CFIR¹² and RE-AIM¹¹ frameworks. The initial analysis may have missed smaller and
47 possibly important issues that merited consideration. Although our RCT met ethnic
48 representation, the process evaluation had low Māori or Pasifika representation.
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Further to this, whilst the interviewers were ethnically diverse, the three researchers

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3 who analysed the data were Pākehā (non-Māori) negating the application of a Māori
4 or Pasifika lens to the analysis.
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8 **Implications for policy and practice**

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11 Lifestyle programmes such as DCEP are developed based on community input and
12 community relationships. Whilst acceptable and effective in promoting healthcare in a
13 person-centred manner, their survival appears dependent, not on perceived
14 acceptability or perceived effectiveness, but on ongoing funding, which is largely short
15 term and not sustained. The funding appears to be used as a “band-aid” for identified
16 problems and not dedicated and embedded to enable a preventative long-term
17 strategy. A case in point of a lifestyle intervention programme developed by Māori for
18 Māori in Dunedin,⁵⁰ found to be successful and beneficial for attending Māori with T2D,
19 attracted enough funding from the Health Funding Authority to continue, but only for
20 one year. The Health Funding Authority and the programme no longer exist.⁵⁰
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35 **CONCLUSION**

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38 What we have learnt in implementing a lifestyle programme such as DCEP is that to
39 ensure success, time and care needs to be taken to build and maintain networks, trust
40 and relationships. This requires good communication channels. The networks and
41 relationships required are not only between those delivering the programmes and the
42 target community group, but also between local healthcare organisations (for example,
43 district health boards, general practices, PHOs and Māori health providers) as well as
44 between the HCPs involved within DCEP. Healthcare programmes that have a person-
45 centred focus enable access and ongoing attendance. It does, however, require HCPs
46 to practise in a nuanced person-centred manner, and as staff turnover is frequently
47 high, a programme of continual training is also required. Future programmes may be
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3 more viable if delivered closer to where people live and, instead of having a condition-
4 specific approach, could take a more generic approach to cater for people with multiple
5 long-term conditions.
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10 *Word count: 5317*
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14 15 16 **SUPPLEMENTARY FILES**

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19 Supplementary File 1: COREQ-32 reporting checklist. An assessment of the study
20 reporting against the domains of the COREQ-32 reporting checklist for interviews
21 and focus groups. (.pdf)
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27 Supplementary File 2: First stage of analysis (Consolidated Framework for
28 Implementation Research) with illustrative quotes (.pdf)
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32 33 34 **ACKNOWLEDGMENTS**

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38 contributed to the study and to the Clinical Advisory Group and lay advisors.
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45 46 **CONTRIBUTORSHIP STATEMENT**

47
48 Conceptualization LH, CH, TSt, RM, TSu, FDN, PJ, ARG, JM; Methodology TSt, LH,
49 AW; Formal analysis AW, LH, TSt; Writing – Original draft AW, LH, TSt; Writing –
50 Review and editing All authors; Funding acquisition LH wrote the grant application with
51 input from CH, TSt, RM, TSu, FDN, PJ, ARG, JM; All authors read and approved the
52 final manuscript.
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COMPETING INTERESTS

All authors declare that they have no competing interests.

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ETHICS APPROVAL

Ethical approval for the DCEP trial and evaluation was obtained from the Health and Disability Ethics Committee, Ministry of Health (HDEC17/CEN/241/AM01). All participants provided written, signed consent to participate.

DATA SHARING STATEMENT

Full de-identified interview transcripts will not be shared. Informed consent, in line with the approving ethics committee, only allows for the use of de-identified extracts within research reporting and writing, in order to maintain the privacy of participants based in a defined regional area and population, thus making their identification with full transcripts more likely.

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SUPPLEMENTARY FILE 2

First stage of analysis (Consolidated Framework for Implementation Research) with illustrative quotes

Domain (constructs)	
The intervention characteristics	Illustrative quote
<p>HCP: Community of practice (Relative advantage)</p>	<p>Well it's fantastic. and also it's, you know, obviously a great social, a lot of people with these conditions feel quite isolated so a programme like this gives them a chance to interact with other people and I think sometimes they learn a lot more from people they're with than people like me. They know what it's like living with their conditions and they can share their experiences.</p>
<p>Attendees: Supportive intervention (Evidence strength & quality)</p>	<p>I didn't know anybody else, um, but we were all of similar age and we were all in the same boat and I think that was probably a big part. Previously you felt as if you were on your own with your diabetes. But here we all were and we were all quite open about things like um, you know medication, the exercise part, I went in there thinking well I am here for exercise, so for the three quarters of an hour we were to exercise I made a really good attempt. and I did enjoy that. ... And we were all, I think by the end of the programme to, one of the biggest benefits was actually, the comradery that you had with everybody all you know, hi [attendee name] how are you today and you were the same, kind of got to know people a bit and thoroughly enjoyed that. And that probably was um, took me going back, [attendee name] and I kept us going back on the maintenance programme. (A324)</p>
<p>HCP: A valuable resource (Relative advantage; Design quality & packaging; Cost)</p>	<p>I think, personally, really important. I think it's something that could grow and develop and be a real resource.</p> <p>I think it's amazing. I think it's fantastic. We really refer an awful lot of people to the DCEP just simply because I feel really confident because I know the expertise that are there, so that's always a great starting point. If you refer people you need to be confident that they're going to be in good hands. Obviously, particularly if they've got diabetes and other health related problems, that they are going to be cared for and put through a programme that's specially designed for them and that's really, really, really valuable, I think. The other thing that it is, there is no cost apart from the donation which is really valuable as well and just being able to refer people to a community service.</p>
<p>Attendees: Acceptable and valued (Evidence strength & quality)</p>	<p>Well if it was, if you know doctors could refer people that are border line diabetic or people that are type 2 and have ended up on insulin and it was just a good way to you know, find out about things. Because if you just go for one day, you know all those questions, but over 12 weeks there is different things that crop up that you are able to ask. So just on one day you might not think of everything, but with 12 weeks, yeah. And like with having like the dietician and the</p>

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	<p>pharmacists and all those different people, you know, you kind of thought of something and other people asks questions as well, and you think, oh yeah, I had been wondering about that. (A920)</p> <p>So it's been a um, yeh it's been a tough couple of years but for us the DCEP has been an important part, a positive part. It's been a real support in terms, as I say both a health and psychological fitness point of view. So we're very grateful for the programme and we found it really worthwhile.</p>
<p>HCP: Perhaps too social, and thus not enough exercise.</p> <p>(Relative advantage)</p>	<p>I've had a couple of patients that have come in, not for the maintenance programme, they've just come to have their blood pressure taken so they haven't done any exercise in the maintenance, and they haven't done anything other than pop in to have their blood pressures taken. actually, some of them don't even exercise.</p> <p>They come to the class, and that's the big thing. They come to class to socialise, and the exercise is like a side issue. And for the exercise purists, this is challenging. And I'm constantly challenged by this. And I've got to constantly stand by it. And my line will go something like, typically, you're working with the sedentary population, who do next to no physical activity, who will get the maximum bang for buck from going doing no physical activity to doing some physical activity. So, if they're motivated to come because they feel comfortable and not judged, and accepted, and they can just do what they can manage, then that's more important than anything else.</p>
<p>Attendees: Not enough exercise</p> <p>(Adaptability)</p>	<p>The maintenance class, the only thing I'm finding, this is the only negative I have about the whole thing, alright? You know how we used to just do forty minutes to forty-five minutes of exercise? And it was fine. Well, when I went to the maintenance class and you can do it an hour, and I want to do the hour, there's not enough variety to be able to do to fill in the hour. I started to find I was getting a bit bored. you have to keep looking to see if the machine you wanted was free so that you, you know or go to something else. (A238)</p> <p>One lady, all she did was walk around a couple of laps and then sat down for the rest of the time and talked and all that. It was um, a get out of home activity for her and she did you know a few wee laps and that was it for her. You know, that was better than sitting at home just doing nothing, that was her exercise you know, it was catered for everybody. It really was. (A887)</p>
<p>Attendees: Tailored person-centred approach</p> <p>(Adaptability; Design quality & packaging)</p>	<p>There's a number of things I can't do because of my hip. My hip's down to bone on bone so it's kind of discomfort. I'm on fairly high levels of pain relief um, and I take a whole bottle of [pain medication] to get here. So, yes, they've helped me and pointed me in the right direction to give me the strengths in the areas I will need to have it when I come out of theatre and move onto stage, the next stage in life. (A205)</p>

	<p>I think it makes you more comfortable the fact that you've got the nurse there and the physio there. Like um, for me, um, like having them there to guide you and support you ... show you like, [Physio] would show me like she would sort of challenge me, like um, and she's like "Oh, I think that's too easy for you. So we're going to do this." And I was like... "Oh, do we have, you know, do we have to?" And she's like, "You're doing that too easy, we're going to do this." (A238)</p> <p>I am 13 months out of a triple bypass ah so I have a few issues with my chest. So [Physio] has been working with me to exercise and strengthen chest muscles. And this is something that would never have happened if I hadn't had been involved in this, you know. (A373)</p>
<p>HCP: Importance of relationships and communication</p> <p>(Relative advantage)</p>	<p>It is better in terms of relationship building if we're there doing the exercises with them... I definitely think there's advantages to working amongst the people ... for building rapport and trust. My first session I went to, I rolled up in my work gear, and they were all, like, "where's your gears?" And, so, for my second session, I was, like, "right, well, I'm coming prepared then." "I'm coming in my lycra"! "Activate your tights." Then it just, kind of, it felt a bit more comfortable, um, in terms of... they just seemed to be a bit more... relaxed.</p> <p>I try and engage with people, like um, like I try and engage with everyone to start with and I make time to block out, so what I tend to do is when people are you know doing their thing I'll walk around and chat and I'll do that connection so I am working on a kind of personal connection, not just a I'm your physio kind of connection but actually kind of finding out a bit about them and oh you know, "What do you do," and this, that, I'll share a little bit about myself and so I sort of engage them from there and then I tend to like, particularly the bikes, when the bikes are together and things like that, you end up having a conversation with two people at the same time and they end up talking and you kind of move on [laughs].</p>
<p>Attendees: Social atmosphere</p> <p>(Evidence strength & quality; Relative advantage)</p>	<p>I have quite enjoyed it, um, we have had a really good mix of a good bunch of ah people in it, and I thought, like Lena has been wonderful and so have the nursed that have come along. So, it has really been good that way. And, I have enjoyed most, most of the um, classes that I have afterwards, I have learnt a hell of a lot more from that. ... you spend three quarter of an hour each lesson on a particular subject and you have got interaction of the other people so it is really good. (A373)</p> <p>Do I enjoy it here? I love it. Are the people great? Fantastic. And the group is fantastic, we've made new friends um, and they're all respective of one another, but they all have gas and laugh and joke amongst themselves. The majority are women, but that means absolutely nothing, it</p>

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	<p>just means that they drew that envelope. It could've gone the other way just as easy. Do I have any, no I have no regrets. Am I going to carry on? Hell yeah! (A205)</p>
<p>Attendees: Unpretentious environment</p> <p>(Adaptability)</p>	<p>I think um, family inclusion is good, um, especially like the meal preparations and all that sort of thing and why it is important to go for a walk after dinner and how it is nice if you can have someone walking with you, and all that sort of thing. Um, so I think that is really beneficial to have um, a significant other as part of the programme. (A639)</p> <p>I brought hubby along when he had a week off. I said come along, so he came along and did a few exercises and listened, it was a good talk that day and he gained valuable information, that day, through diet and all that. (A887)</p> <p>And, you know, I mean, we don't, it's like, when people go to the gym, they've got all the flash gears on, you know, just to be looked at, that's what I think. We can go there how we are, we're taken how we are, and get on with it. which is good, you know, those are the sorts of people you want, and they don't use big, like, um, flash words. They use language that we understand. (A21)</p> <p>Encouragement, you know talking amongst yourself. A lot of humour quite a bit of hilarity you know. Oh, we have had some good laughs, yeah. And that, I guess that was one of the reasons why we kept going back to, um, because we had some laughs and because we were comfortable. (A639)</p>
<p>Attendees: Beneficial</p> <p>(Evidence strength & quality; Relative advantage)</p>	<p>I have quite enjoyed it, um, we have had a really good mix of a good bunch of ah people in it, and I thought, like [Physio] has been wonderful and so have the nurses that have come along. So, it has really been good that way. And, I have enjoyed most, most of the um, classes that I have afterwards, I have learnt a hell of a lot more from that. In fact, I would say that I have learnt more from that, I did the Desmond ah, course and ah, I have found this more beneficial than the Desmond. The Desmond was too much to try and squeeze in over a six-hour period, you know and to try and take in all the information that they give you in such a short time it is really hard. Whereas where you spend three quarters of an hour each lesson on a particular subject and you have got interaction of the other people so it is really good. (A373)</p> <p>Well, I can just show you. Like, if you start at my book. Like you see, my, on the 2nd of the 4th, my blood pressure was 160 over 190 which is quite high. ... And then, as we kept going down, it went down to 140 over 90..... And then it went to 132 over 82. Um and then towards the end, like I was getting 124 over 82. Ah, my blood sugars range between 8.4 and 4.7. ... And like when I, I got my certificate up on the bookshelf up there, and when I got it, I came home and put it on Facebook and all the um, I got all these comments about it and everything. (A238)</p>

<p>Attendees: Advice confusion</p> <p>(Design quality & packaging)</p>	<p>I got really confused about what is right and what is not right. I had started on a diet for control management of diabetes and it was working for me and they were telling me something different. They were telling me about whole grain breads and pastas were ok and rice and all that, and yet in my diet I had none of that. (A639)</p>
<p>Attendees: Costs</p> <p>(Evidence strength & quality; Relative advantage; Design quality & packaging; Cost)</p>	<p>I really liked the venue and I was quite surprised um, about the equipment that was available. So, walking into the [venue] where I had been several times before for different things and seeing it all set up where there is a gym was quite cool. ... I like working out and I like doing that and I even like it better when I am doing it for free. (A639)</p> <p>It is unique because it is an exercise programme that doesn't cost us anything, it is very local. Um, it is utilising a business locally. And it is free. (A887)</p> <p>I enjoyed it. I'm not an exercise... I'm an active person, but I have to be doing something constructive, like shifting the sheep or doing something like that. Whereas, um, to go, say, to the gym... and that's the other thing, gyms are so expensive to go to, and when you're on a pension or something like that, um, yeah, it's one of those things that get left behind. The fact that it was free, it was good. (A280)</p> <p>... because of the cost of petrol and everything, twice a week getting to the other side of town is um. ... It is a bit too much. (A159)</p> <p>(Interviewer: Yeah, like if you had to pay for it.) I probably wouldn't go then. Yeah, I don't think, there would be a handful of people that were there wouldn't be able to afford that either. Yeah. A couple of them on their, well that is only my opinion of looking at them, I don't think they would come if there was a fee to it. (A639)</p>
<p>HCP: Timing of the classes</p> <p>(Adaptability)</p>	<p>The middle of day obviously excludes a large portion of people from being able to participate. Um, and it makes it exponentially more complicated. But, having something that's available as, like, an after-work type option... you know, some of the patients are working 2 jobs. ... type 2 diabetic working, like you say, more than one job, limits their exercise opportunities, plus they're stressed, probably eating at their desk and not eating great.</p> <p>I think that having that availability, that flex, I think yeh definitely long term going forward recognising that people who are working get diabetes. (HCP)</p>
<p>Attendees: Timing of the classes</p>	<p>I don't know. I think basically it depends on where people are in their lives. I mean, I was lucky in that I'm retired, so I took retirement early so as a result of that I made a point of using that as</p>

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<p>(Adaptability)</p>	<p>part of my physical exercise programme, to actually take part. I think a lot of the people that were there were working people. And so I think it was harder for them to actually, to come once the actual programme started and I think it's, while they could probably get it off for 12 weeks, having it, continuing that over a year period probably became a little bit more difficult. So yeh, so my, yeh, my feelings are that it probably was the fact that some are working. Some of them, I have seen some of them who will be in the group doing exercise, like walking out and about. So some of them obviously have carried on their exercise but they haven't obviously wanted to do it in an organised setting, that's down for a particular time of the week. And so it gives them a bit more flexibility if they're doing it themselves, so that would probably be my take on it.</p>
<p>HCP: Good venues (Complexity)</p>	<p>Community halls, and went to scout halls, in the end. And... things that were important to look for were: access, as in accessible for, sometimes, older, frailer people with physical disabilities; good parking, ideally, free parking, so you didn't have to search for 10 minutes to find a park or pay for expensive parking, trying to break down the barriers, any barriers to access; and maybe in a good location, as in, um, closer to the high-needs communities where we wanted to work in, with the people with a high incidence of type 2 diabetes. And then it was a venue that was big enough to house up to 25 people, with bathroom, kitchen... a sound system or something like that. Yep, affordable. And, um, but also, that the exercise equipment... 'cause we've got some exercycles on wheels, some mats, some benches-type things or steps, and some rowing machines. Which are all portable but require storage space. So, not only did the venue need to be able to fit that exercise equipment in, if it was a multi-purpose, it needed to have a storage space where you could store that space also.</p>
<p>HCP: Importance of relationships and communication (Relative advantage)</p>	<p>It is better in terms of relationship building if we're there doing the exercises with them... I definitely think there's advantages to working amongst the people ... for building rapport and trust. My first session I went to, I rolled up in my work gear, and they were all, like, "where's your gears?" And, so, for my second session, I was, like, "right, well, I'm coming prepared then." "I'm coming in my lycra!" "Activate your tights." Then it just, kind of, it felt a bit more comfortable, um, in terms of... they just seemed to be a bit more... relaxed.</p> <p>I try and engage with people, like um, like I try and engage with everyone to start with and I make time to block out, so what I tend to do is when people are you know doing their thing I'll walk around and chat and I'll do that connection so I am working on a kind of personal connection, not just a I'm your physio kind of connection but actually kind of finding out a bit about them and oh you know, "What do you do," and this, that, I'll share a little bit about myself and so I sort of engage them from there and then I tend to like, particularly the bikes, when the bikes are together and things like that, you end up</p>

	<p>having a conversation with two people at the same time and they end up taking and you kind of move on [laughs].</p>
<p>Outer setting</p>	
<p>HCP: Ongoing funding (External policy & incentives)</p>	<p>Well, I think, I think, from an investment point of view, you cannot underestimate the investment in preventative kind of work. It needs, you know, these patients, so, even the social aspect of them being engaged socially has to have good patient outcomes. And benefits. And then, um, doing an exercise programme in a supported way, it has to make a difference to people's kind of, where they're heading and what they're doing with their long-term condition. So, yeah, I think it's a valuable investment to make. Um, just that, who's going to make that investment and where does that sit? And that's why I think it needs to be a collaborative, kind of, community investment. Because no, our health system here is strapped financially. (HCP)</p> <p>There is going to be no shortage of people that will benefit for the foreseeable future. Um, so, I think there needs to be options like this. Like, it's kind of a step up from Green Prescriptions. It's a more costly intervention, absolutely. But the cost of managing people with multiple long-term conditions as they age just exponentially goes real high. (HCP)</p>
<p>HCP: Engagement with communities (Patient needs & resources)</p>	<p>Just around engagement with the community, and how you approach community, especially Māori community, rather than approach Māori participants. It's not a usual programme, but it's great to see that. They've had a good focus. It's, it, and I've also seen benefit..., and I like the idea of that, some of the programme, they're inviting whānau too. So, it's not just about one patient with diabetes, it's about a whānau, can go too. It's a no-brainer. (HCP)</p>
<p>Inner setting</p>	
<p>HCP: Importance of administration (Networks and communications)</p>	<p>And the same like thinking about what else is going on in the community so we had a number, particularly in this last group we had quite a lot of people that were involved in outdoor bowls and once outdoor bowls season clicked in, our numbers went down [laughs] it's on same day so yeh having a little think about what else is in the community, what else that might those people be involved in as well I guess, and that's going to be really hard to work around from a bigger group perspective. I think if you had a bigger group it probably wouldn't be that noticeable but um, certainly if you're in a smaller community, having a think about what else are those people involved in because with timing those groups around that. Um access and stuff here was fine. Like parking was really easy, everyone was like you can park straight outside the door, yeh it was good. Bus stop not that far away. (HCP)</p>

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<p>HCP: Issues with training</p> <p>(Implementation climate: Learning climate)</p>	<p>Just going over the motivational interviewing, lots of practical sort of stuff like we did the other day would've been good and where we got to practice it on, you know pair up and practice doing that discussion</p> <p>Like looking at blood sugar levels if you're at 17 then it's actually a little bit risky to exercise ... yeh just that safety stuff. Um and just kind of having that team, let's the whole team know that this is when it's unsafe or this is what we do so kind of know 'cause like there was a couple of times we had, particularly the first group we had a lady who threw some really high blood pressures and so we had this kind of team management approach around her, you know? "You're not allowed to exercise until you go get your blood pressure done," and then the nurse would sort of say yes or no.</p> <p>Yeh, is there anything else that you feel is important to mention about the programme going forward like you know, this is going to be written up into a package to that anyone should be able to read and be able to roll out in any community. Like cultural appropriateness or something we haven't talked about, and health literacy and talking to people that maybe don't have the same language around health issues.</p>
<p>HCP: Nursing staff changeover was particularly high</p> <p>(Implementation climate: Goals & feedback; Available resources)</p>	<p>I think from a staffing perspective again just having the ability for people to take leave 'cause you know like we have had pretty much the year and a half of just nonstop and apart from that break over Christmas it's, we've been here every week and I think ongoing that would be ... I think you need more than just the two people that are running it, I think you need to have a bit of a team.</p> <p>Mainly, that is probably due to our kind of staff turnover. And keeping the communication flowing when there's new staff, um, between the research project, us and, um, trying to orientate new staff members to the programme, the intention and what it's doing, and how it, kind of, the operational components of what they need to do.</p>
<p>HCP: Attributes and skills of staff</p> <p>(Culture)</p>	<p>Partnership acceptance, compassion are, ultimately, helpfulness. How can I work with you to be helpful? Um, and so, that attitude was core. Non-judgemental, accepting, come from that place and that space because too many people don't necessarily have, unfortunately, healthcare experiences that they really enjoy. Particularly people with multiple, long-term health conditions. They see numerous healthcare professionals, numerous times, and are told, "do this, do this, and do this." And not many people are particularly good at 'doing this, doing this, doing this', hence they keep on presenting with continuously deteriorating health concerns. So, it's trying to develop trusting, meaningful relationships with these people. Don't judge them, accept them. And, actually, often, they open up to you a bit more. And you can find out more, what's actually going on with them.</p>

	<p>Certainly someone who can engage easier with people is quite important in this space where you've got all these random people that don't know each other and you need to engage with them and then try and get them to engage with each other, it's um, I think that's quite key to how it runs as well.</p>
<p>Attendees: Good staff (Culture)</p>	<p>I think it is fantastic. I think it should be, you can't make exercise compulsory, we are adults we won't be dictated to. Therefore, it should be encouraged to the maximum. It takes a special type of person to lead it and I think Lena and Michelle were wonderful. Um, there will be other people out there that are of a similar approach as they are, they have, I think their term is bedside manner. They have got a fantastic approach and way with people. They never at any point and time made you feel a lesser person or embarrassed or, I don't know what I am looking for, but there was nothing that they ever said that put you down in any shape or form. They were full of encouragement all the way. (A205)</p>
<p>Characteristics of individuals</p>	
<p>Attendees: DCEP enhanced attendees' self-efficacy (Self-efficacy)</p>	<p>But, as it moved on you know, we walked in and straight away we were over to get our blood pressure done. We are lining up there, we want our blood pressure done before we go ahead and do any exercise, so that we can, well, so that I can see how it is going and all that, you, know from day to day Um, I always check my labels when I buy food, I always do. I take so long at the supermarket; it drives him nuts. (A887)</p> <p>And I take, I took my um, notebook to the doctor's appointment that I went to and showed him...everything. And I'll take it to, like the dietician and stuff like that just to, so they can see what, what's been happening over the last few months. 'Cos I've got it all there on paper. (A238)</p> <p>But something the programme has encouraged me to do and I do it almost every day is actually to before I have any um, cup of tea, or first thing in the morning before I have anything, I will sit down and do my blood sugar test, which I haven't been doing very regularly before Since we started the programme, um, we have actually purchased exercise equipment, we brought um, the rower and the exercycle and mini trampoline and so we actually are using those at home. (A324)</p>
<p>Attendees: Reduced anxiety (Individual stage of change)</p>	<p>The first day of the programme I was probably a little bit anxious about it. Like not sure what you were going to, what was going to happen or what you were going, or what it was going to be like? And what, and what the people were going to be like. Because it's a, it's a big thing to put yourself into that sort of ... situation. Um, and but then, as the, as the weeks went on, you just</p>

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	got more comfortable with everybody. With [physiotherapist], with the people, with yourself. (A238)
HCP: Healthcare professional	

For peer review only

COREQ (CONsolidated criteria for REporting Qualitative research) Checklist

A checklist of items that should be included in reports of qualitative research. You must report the page number in your manuscript where you consider each of the items listed in this checklist. If you have not included this information, either revise your manuscript accordingly before submitting or note N/A.

Topic	Item No.	Guide Questions/Description	Reported on Page No.
Domain 1: Research team and reflexivity			
<i>Personal characteristics</i>			
Interviewer/facilitator	1	Which author/s conducted the interview or focus group?	
Credentials	2	What were the researcher's credentials? E.g. PhD, MD	
Occupation	3	What was their occupation at the time of the study?	
Gender	4	Was the researcher male or female?	
Experience and training	5	What experience or training did the researcher have?	
<i>Relationship with participants</i>			
Relationship established	6	Was a relationship established prior to study commencement?	
Participant knowledge of the interviewer	7	What did the participants know about the researcher? e.g. personal goals, reasons for doing the research	
Interviewer characteristics	8	What characteristics were reported about the interviewer/facilitator? e.g. Bias, assumptions, reasons and interests in the research topic	
Domain 2: Study design			
<i>Theoretical framework</i>			
Methodological orientation and Theory	9	What methodological orientation was stated to underpin the study? e.g. grounded theory, discourse analysis, ethnography, phenomenology, content analysis	
<i>Participant selection</i>			
Sampling	10	How were participants selected? e.g. purposive, convenience, consecutive, snowball	
Method of approach	11	How were participants approached? e.g. face-to-face, telephone, mail, email	
Sample size	12	How many participants were in the study?	
Non-participation	13	How many people refused to participate or dropped out? Reasons?	
<i>Setting</i>			
Setting of data collection	14	Where was the data collected? e.g. home, clinic, workplace	
Presence of non-participants	15	Was anyone else present besides the participants and researchers?	
Description of sample	16	What are the important characteristics of the sample? e.g. demographic data, date	
<i>Data collection</i>			
Interview guide	17	Were questions, prompts, guides provided by the authors? Was it pilot tested?	
Repeat interviews	18	Were repeat interviews carried out? If yes, how many?	
Audio/visual recording	19	Did the research use audio or visual recording to collect the data?	
Field notes	20	Were field notes made during and/or after the interview or focus group?	
Duration	21	What was the duration of the interviews or focus group?	
Data saturation	22	Was data saturation discussed?	
Transcripts returned	23	Were transcripts returned to participants for comment and/or	

Topic	Item No.	Guide Questions/Description	Reported on Page No.
		correction?	
Domain 3: analysis and findings			
<i>Data analysis</i>			
Number of data coders	24	How many data coders coded the data?	
Description of the coding tree	25	Did authors provide a description of the coding tree?	
Derivation of themes	26	Were themes identified in advance or derived from the data?	
Software	27	What software, if applicable, was used to manage the data?	
Participant checking	28	Did participants provide feedback on the findings?	
<i>Reporting</i>			
Quotations presented	29	Were participant quotations presented to illustrate the themes/findings? Was each quotation identified? e.g. participant number	
Data and findings consistent	30	Was there consistency between the data presented and the findings?	
Clarity of major themes	31	Were major themes clearly presented in the findings?	
Clarity of minor themes	32	Is there a description of diverse cases or discussion of minor themes?	

Developed from: Tong A, Sainsbury P, Craig J. Consolidated criteria for reporting qualitative research (COREQ): a 32-item checklist for interviews and focus groups. *International Journal for Quality in Health Care*. 2007. Volume 19, Number 6: pp. 349 – 357

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BMJ Open

Implementation of the Diabetes Community Exercise and Education Programme (DCEP) for the management of type 2 diabetes: qualitative process evaluation

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3 **Implementation of the Diabetes Community Exercise and Education Programme**
4 **(DCEP) for the management of type 2 diabetes: qualitative process evaluation**
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11 Tim Stokes,^{1*} Amanda Wilkinson,² Prasath Jayakaran,² Christopher Higgs,² Donna
12 Keen,² Ramakrishnan Mani,² Trudy Sullivan,³ Andrew R Gray,⁴ Fiona Doolan-Noble,¹
13
14 Jim Mann,⁵ Leigh Hale.²
15
16
17
18
19
20
21

22 ¹Department of General Practice and Rural Health, Dunedin School of Medicine,
23
24 University of Otago, Dunedin, New Zealand
25
26

27
28 ²School of Physiotherapy, University of Otago, Dunedin, New Zealand
29

30
31 ³Department of Preventive and Social Medicine, Dunedin School of Medicine,
32
33 University of Otago, Dunedin, New Zealand
34
35

36
37 ⁴Biostatistics Centre, University of Otago, Dunedin, New Zealand
38

39
40 ⁵Department of Human Nutrition, University of Otago, Dunedin, New Zealand
41
42
43
44
45

46 **Corresponding author**
47

48 Professor Tim Stokes: tim.stokes@otago.ac.nz
49

50
51 Department of General Practice and Rural Health, Dunedin School of Medicine,
52
53 University of Otago, PO Box 56, Dunedin 9054, New Zealand
54
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ABSTRACT

Objectives: To examine context-specific delivery factors, facilitators and barriers to implementation of the Diabetes Community Exercise and Education Programme (DCEP) for adults with type 2 diabetes (T2D) using the Reach, Effectiveness, Adoption, Implementation, and Maintenance (RE-AIM) Framework.

Design: A qualitative evaluation embedded within the DCEP pragmatic randomised controlled trial. Data collected via focus groups and interviews and analysed thematically.

Setting: Community-based in two cities (Dunedin and Invercargill) in the lower south island of New Zealand.

Participants: Seventeen adults diagnosed with T2D attending DCEP and 14 healthcare professionals involved in DCEP delivery.

Intervention: DCEP is a twice weekly session of exercise and education over 12 weeks, followed by a twice weekly on-going exercise class.

Results: Whilst our reach target was met (sample size, ethnic representation), the randomisation process potentially deterred Māori and Pasifika from participating. The reach of DCEP may be extended through the use of several strategies: promotion of self-referral, primary healthcare organisation ownership and community champions. DCEP was considered effective based on perceived benefit. The social and welcoming environment created relationships and connections. People felt comfortable attending DCEP and empowered to learn. Key to implementation and adoption was the building of trusting relationships with local health providers and communities. This takes time and care and cannot be rushed. Training of staff and

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3 optimising communication needed further attention. To maintain DCEP, delivery
4 close to where people live and a generic approach catering for people with multiple
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6 chronic conditions may be required.
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10 **Conclusions:** For success, lifestyle programmes such as DCEP, need time and
11 diligence to build and maintain networks and trust. Beyond frontline delivery staff and
12 target populations, relationships should extend to local healthcare organisations and
13 communities. Access and ongoing attendance are enabled by health care
14 professionals practicing in a nuanced person-centred manner; this, plus high staff
15 turnover, necessitates on-going training.
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Abstract word count: 300

Strengths and limitations of this study

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|---|
| <ul style="list-style-type: none">• Data were collected from both DCEP attendees and healthcare professionals involved in DCEP, delivery, enabling capture of wide and diverse opinions.• |
| <ul style="list-style-type: none">• The initial focussing analysis to identify key topics may have missed smaller and possibly important issues that merited consideration.• |
| <ul style="list-style-type: none">• Although our RCT met ethnic representation, this qualitative evaluation had low Māori or Pasifika representation.• |
| <ul style="list-style-type: none">• Whilst the interviewers were ethnically diverse, the three researchers who analysed the data were Pākehā (non-Māori) negating a Māori or Pasifika lens to the analysis. |

INTRODUCTION

Type 2 diabetes (T2D) is a substantial health issue. Globally, 8.5% of adults aged 18 years and older are estimated to have T2D.¹ In Aotearoa/New Zealand (NZ) over 250,000 people are estimated to have T2D (self-reported prevalence 5.9%), with high prevalence among Māori (the indigenous people of NZ) (7.9%), Pasifika (people from the Pacific Islands now living in NZ) (13.6%), and people living in low socioeconomic areas (10.4%).² Alongside blood glucose control via medication, diet control and being physically active are the key evidence-based components of management,^{1 3} especially if delivered by healthcare professionals.⁴ In NZ, diabetes primary healthcare is provided by general practitioners (GPs) and nurses focussing on screening and diagnosis, education and pharmacological management.^{5 6} The educational component is largely achieved via referral to the Diabetes Education Self-Management Newly Diagnosed and Ongoing Diabetes (DESMOND) programme, a one-day group-delivered educational programme.⁷ We are not aware of any formal exercise programmes delivered by registered healthcare professionals (HCPs) to people with T2D in NZ. To address this challenge in the southern region of NZ, we developed the Diabetes Community Exercise and Education Programme (DCEP), which has now been in existence for over 10 years.

DCEP is a group exercise and educational programme, tailored to individual needs, and specifically designed to enable access for Māori, Pasifika, and people living in low socioeconomic areas. The aim of DCEP is to support adults living with T2D to take control of their health and to live well with their long-term condition. There are two parts to DCEP. Participants attend a twice weekly exercise and education session for 12 weeks, followed by a twice weekly maintenance exercise class. The programme has previously been described in detail.⁸ The potential benefits of DCEP highlighted in a

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3 feasibility study,⁹ justified a pragmatic randomised controlled trial (RCT) to evaluate
4 the effects of DCEP (plus usual care) on the glycated haemoglobin (HbA1c) levels,
5 physical health outcomes and health-related quality of life of individuals living with
6 T2D, compared to usual care alone.⁸ The target sample size for the primary outcome
7 (glycaemic control) was 220 individuals with T2D which included a 40% dropout rate.
8 We recruited and analysed data from 165 participants. The results of the RCT showed
9 no statistically significant differences between groups for both the primary outcome
10 (blood glucose control - HbA1c) and secondary outcomes (Incremental Shuttle Walk
11 Test, body weight, waist circumference, blood pressure, quality of life measures) at
12 one-year follow-up.¹⁰ The RCT, however, was successful in engaging its target
13 population and there was good attendance in the first 12 weeks (as described below).
14
15 Reflective of the ethnicity in the lower South Island of NZ, 14% of the cohort were
16 Māori and 6% Pasifika, with 27% of participants living in areas considered by the NZ
17 Deprivation Index to be in the most deprived deciles (deciles 9 and 10).¹¹ Adherence
18 to the 12-week DCEP intervention was good, a majority (56%) attended 15 or more of
19 the 24 sessions (41% attending for $\geq 20/24$ sessions, 15% for 15-19/24 sessions, 21%
20 for 2-15/24 sessions and 23% for no attendance or one session). Attendance at the
21 subsequent maintenance classes was however poor (23% attending $>50\%$ and 35%
22 attending 10-40% of available sessions, with 42% attending no sessions).
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48 Given the success in targeting the populations of interest and initial attendance at
49 DCEP and NZ's current health inequities, and associated poorer outcomes for Māori,¹²
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13 an in-depth explorative evaluation of DCEP is warranted to inform future practice.
This paper reports a qualitative process evaluation to identify practical ways to improve
DCEP delivery and inform its future development. This evaluation, embedded within
the DCEP RCT, aimed to examine the context-specific delivery factors, facilitators and

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3 barriers to implementation of the DCEP using the Reach, Effectiveness, Adoption,
4 Implementation, and Maintenance (RE-AIM) Framework.¹⁴
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11 **METHODS**

14 **Study Setting**

17 This community-based study took place in in two separate urban centres in the lower
18 South Island of NZ: Dunedin (Otago Region) and Invercargill (Southland Region) in
19 community exercise venues.
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25 **Design**

27 A qualitative process evaluation of DCEP was undertaken as part of a two-arm
28 parallel, open label RCT (ACTRN12617001624370). The trial protocol⁸ and main trial
29 findings¹⁰ have been previously reported. The trial recruited adults (age ≥ 35 years)
30 with a diagnosis of T2D via general practices and public advertisements. DCEP was
31 introduced sequentially, starting in Dunedin and then three months later in Invercargill.
32 Following baseline evaluation, participants were randomly allocated to either DCEP
33 (plus usual care) or usual care. Participants randomised to DCEP attended the 12-
34 week programme and then continued in the maintenance programme for a further 12
35 months. Across the duration of the trial, seven DCEP 12-week classes were held.
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51 **Data collection**

52 Interviews and focus groups were held at both study sites following the 12-week
53 programme and at the end of the trial until data saturation (when no new data repeated
54 what was in the previous data)¹⁵ occurred. From DCEP participants consenting to
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3 interview, 2-3 were purposively (by attendance) interviewed after completion of each
4 class. Semi-structured interviews were used with DCEP attendees and any attending
5 whānau (family). Guided by their availability or for logistical reasons, we used either
6 interviews or focus groups for all the HCPs involved in DCEP who consented to
7 interview. The interview topic guide was informed by The Consolidated Framework for
8 Implementation Research (CFIR).¹⁶ (Table 1). All interviews and focus groups
9 occurred at a mutually arranged time and place, were audio-recorded with permission
10 and were about one hour long. Research assistants, with bachelor's degrees and from
11 a variety of backgrounds (nursing, psychology, social science) and ethnicities (Māori,
12 Pākehā (non-Māori)) and known to the attendees, undertook the interviews. All audio
13 recordings were transcribed verbatim by a professional transcription company.
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4 **Table 1: Interview topic guide**
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6 **Questions for both DCEP attendees and healthcare professionals:**

7 Tell me about your experience of DCEP?

8 How could we improve DCEP?

9 How suitable / appropriate / acceptable is DCEP for your community?

10 How can we make DCEP continue in your community beyond the trial?

11
12 **Additional healthcare professional questions:**

13 What are the important aspects of DCEP? Why?

14 In order to deliver DCEP, what are important attributes / training do healthcare professionals
15 require?

16 How did DCEP influence your practice?
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23 **Data analysis**
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26 Data were first thematically analysed using the General Inductive Approach, a
27 pragmatic approach specifically designed for evaluative health research.^{17 18} Three
28 researchers (AW, LH, TSt) read the transcripts multiple times to gain an
29 understanding of the key topics of interest, coded them accordingly and identified
30 illustrative quotes. To assist defining these key topics, a short summary was written
31 by AW for each transcript summarising the main points of the interview. The
32 transcripts of HCPs were analysed first. The key topics were then further analysed
33 over two stages using both the CFIR and RE-AIM frameworks.¹⁹ The rationale for
34 using both frameworks is that CFIR enables the understanding of the “why” of
35 success (or not) of implementation while the RE-AIM describes the practicalities of
36 the outcomes (the who, what, where, how, and when).^{19 20} In the first stage, the
37 relevant constructs and domains from the CFIR were used to deductively explore
38 and organise data. To further categorise the organised data, in the second stage, the
39 five RE-AIM domains were applied by AW and LH. Multiple discussions between the
40 research team members (AW, LH, TSt) finalised the analysis by consensus. The
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3 consolidated criteria for reporting qualitative research (COREQ)²¹ were used to
4 inform reporting of the study findings (Supplementary File 1).
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8 **Patient and public involvement**

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10 Patients or members of the general public were not involved in the design or conduct
11 of this study.
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16 **RESULTS**

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18 We interviewed 17 DCEP participants diagnosed with T2D and randomised to DCEP
19 and 18 HCPs. The characteristics of participants are presented in tables 2 and 3.
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Table 2 Characteristics of DCEP participants (N= 17)

Category	Participants
Location	
Dunedin	7
Invercargill	10
Sex	
Female	11
Male	6
Age	Age range 39-76; mean age 61
Ethnicity	
NZ European/Pākehā	13
Māori	3
Cook Island Māori (Pasifika)	1

Table 3 Characteristics of health care professional stakeholders (N=18)

Category	Participants
Location	
Dunedin	7
Invercargill	11
Sex	
Female	15
Male	3
Ethnicity	
NZ European/Pākehā	17
Māori	1
Health Care Profession¹	
Nurses	5
Physiotherapist	1
Clinical DCEP Lead	1
Pharmacist	2
Podiatrist	1
Dietician	1
General Practitioner	1
Counsellor	1
DCEP Administrator	1
Primary Care Liaison Coordinator for Arthritis NZ	1
Diabetes NZ coordinators	2
SmokeFree NZ coordinator	1

Table 4 presents a summary of the key CFIR domains identified. Supplementary file 2 presents the detailed CFIR findings along with illustrative quotes. Below we

present the findings relative to the RE-AIM framework domains (namely, Reach, Effectiveness, Adoption, Implementation, and Maintenance).

Table 4: Summary of the key CFIR domains

Domains	Summary
Individual	Training and good communication of HCPs was crucial – they had to buy into the philosophies of DCEP and person-centred care and be trained into the nuances of delivering individualised care and attendee driven education within a group setting. Further, HCPs had to have, or develop, the ability to create trusting and caring relationships with attendees thus enabling a social and welcoming atmosphere and encouraging attendance. In turn, the supportive social environment enhanced the relationships and interactions of attendees, so they derived benefit from each other. Additionally, the correct venues had to be found (for example, in terms of location, safety, access both to and into, temperature, culturally acceptability, inexpensive to hire); the time in the day for the class was crucial (for example, not impacting on work); and the correct equipment purchased (for example, durable, practical, easily transportable and stored).
Inner setting	The most prominent findings were securing appropriate HCPs and their ongoing training.
Outer setting	The outer setting both assisted and offered challenges to implementation. Whilst we had long standing and strong relationships with many HCPs, for the trial we needed to work with new healthcare providers. We found that we rushed the process with some new healthcare providers or did not quite understand the local political environment for others. As we were not merging DCEP into an existing healthcare practice but rather setting up an independent community-based class, we learnt the necessity of taking time, and focused energy, as well as having local champions, to build such relationships and good communication strategies. Further, the navigation of relationships was ongoing as HCPs changed – both those that delivered DCEP and the managers of the services involved. Ongoing funding was another major challenge to the sustainability of DCEP.
Characteristics of individuals	Attendees talked about their increasing self-efficacy to manage their health, undertaking self-management activities and growing more comfortable to attend DCEP.

Reach

As described above, the RCT attained its targeted sample size, and its ethnic composition was reflective of that of the study setting. HCP participants suggested however that the RCT randomization process challenged recruitment as it was

1
2
3 considered culturally unacceptable for Māori and Pasifika. For these populations,
4
5 whānau (family) support is important and potential participants would have been more
6
7 comfortable if they could attend together; the possibility of being randomised to
8
9 different groups as individuals was undesirable.
10
11

12
13 *[Our] community feel more comfortable coming in groups. [I] recommend they*
14
15 *be randomised together. [I] can then go along with them to whatever programme*
16
17 *they get randomised to [to facilitate introductions and help create relationships].*
18
19 *[If] this could be the case, I am happy to promote the research on my marae and*
20
21 *to the general practice. [Nurse]*
22
23

24
25 Referral into the trial was assisted by community champions of DCEP.
26

27
28 *We just got a few champions [to work with us]. We got this big practice and got a*
29
30 *nurse onboard. She just worked with a lot people with diabetes and just referred*
31
32 *them. [Clinical Lead]*
33
34

35
36 However, there was a need for improved communication channels, beyond GPs, for
37
38 getting information about DCEP out and how people could self-refer to it.
39

40
41 *I do the [name of clinic] at the hospital as well, and I give it [information about the*
42
43 *programme] out to people who come in to see me. As soon as I said, 'You need*
44
45 *to see your GP [to get a referral],' some said, 'I don't know about it.' They didn't*
46
47 *want to contact their GP 'cos they thought of that as an extra expense [paying to*
48
49 *see the GP to get a referral to the programme]. ... It was a big barrier. [Podiatrist]*
50
51

52
53 *You can self-refer into the study. [Interviewer]*
54
55
56
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1
2
3 It was thought that having a primary healthcare organisation (PHO) endorse, fund and
4 run DCEP would increase general practice referral; thus, mitigating the observed
5 resistance from some general practices about referring patients into DCEP.
6
7
8
9

10
11 *We had some resistance from general practices about referring [‘their’ patients].*
12
13 *... So, I think if the PHO owned it, they would promote it around their respective*
14 *practices. They would target their practices that they identified as having high-*
15 *needs patients [who would benefit from participating in DCEP]. [Clinical Lead]*
16
17
18
19

20 **Effectiveness**

21
22
23 Both attendees and HCPs expressed a range of positive beliefs about DCEP. The
24 group approach of DCEP facilitated relationship development amongst the whole
25 group, both between HCPs and attendees, and amongst attendees themselves.
26
27
28
29

30
31 *I try and engage with everyone to start with ... when people are doing their thing,*
32 *I’ll walk around and chat and I’ll do that connecting. I am working on a kind of*
33 *personal connection, not just a ‘I’m your physio’ kind of connection but actually*
34 *finding out a bit about them, [like asking] ‘What do you do?’ I’ll [also] share a little*
35 *bit about myself and so I sort of engage them from there. When the bikes are*
36 *together, you end up having a conversation with two people at the same time and*
37 *[then] they end up talking. [Physio]*
38
39
40
41
42
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46
47

48 The group nature of DCEP intervention also encouraged inclusion of family/whānau
49 (important in Māori culture). Family came along to support and joined in with the
50 education and exercise sessions.
51
52
53
54

55 *I really like the idea of [the approach of DCEP]. Instead of just being [targeted at]*
56 *one person with diabetes, it’s actually engaging for whānau to come and do this*
57
58
59
60

1
2
3 *[join in]. So, it's been wonderful to see husbands and wives coming in and talking*
4
5 *and walking that journey together. [Nurse]*
6
7

8 Attendees [A#] stated meeting people, connecting and enjoying each other's company
9
10 was key to their continued attendance.
11
12

13
14 *I guess that was one of the reasons why we kept going back, because we had*
15
16 *some laughs and because we were comfortable. [A639]*
17
18

19 Others suggested that DCEP was an integral, positive and supportive part of their
20
21 lives.
22
23

24 *It's been a tough couple of years, but for us, DCEP has been an important part,*
25
26 *a positive part [of our lives]. It's been a real support [from] both a health and*
27
28 *physical fitness point of view. [A577].*
29
30

31 One participant stated that attending DCEP had changed her health care behavior.
32
33

34
35 *Something the programme has encouraged me to do [my blood sugar levels]*
36
37 *and I do it almost every day. Before I have my cup of tea, or first thing in the*
38
39 *morning before I have anything [to eat]. [A324]*
40
41

42 Attendees also found the HCPs welcoming and appreciated the individual attention
43
44 that provided exercise tailored to their needs.
45
46

47 *[physiotherapist] was prepared to work with us all individually if we required it,*
48
49 *and if we had any specific issues that she could help with. [A373]*
50
51

52 Attendees considered the format of DCEP, while different from others they had
53
54 attended, was good and thought provoking. They seemed to enjoy the group
55
56 discussions that were facilitated by educators and occurred organically between
57
58 participants.
59
60

1
2
3 *We have had more discussion from the people within the group during and*
4 *afterwards. When you are discussing that [new information] among group of*
5 *people, there are things that come out that you didn't know about. [A373]*
6
7
8
9

10 One participant summed up impact of DCEP by stating:

11
12
13 *I feel better just for meeting the people that I met, doing the stuff that I did,*
14 *learning what I did. [A639]*
15
16
17
18

19 HCPs considered that DCEP had several advantages over the other two usual
20 healthcare options, namely, DESMOND or advice given through routine consultations
21 with members of the primary healthcare team. The group focus provided a non-
22 threatening environment for participants and facilitated revisiting of educational
23 information, while at the same time provided repeated contact with HCPs.
24
25
26
27
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29

30
31 *I think the points of difference [to usual care], that I can see, is the education*
32 *component... that constant or continued access, a point of contact to a health*
33 *professional. It's in an environment that's not threatening because they're there in*
34 *a big group doing exercise and learning more about their health condition [at every*
35 *session]. [Nurse Manager]*
36
37
38
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43 HCPs suggested the repeated sessions of DCEP provided more opportunity for
44 attendees to ask questions of HCPs.
45
46
47

48 *I see it [DCEP] as being really valuable because people often tell us that they*
49 *don't feel that they have the ability to ask the questions that they really want to*
50 *ask [at an appointment] due to time pressures. [Pharmacist]*
51
52
53
54
55

56 The ability to create an atmosphere through a suitably curated music playlist enabled
57 HCPs to build group cohesion; an underpinning aspect of DCEP's approach.
58
59
60

1
2
3 *People said they loved the music. We had a mix. There was island music and all*
4 *sorts of things a real big variation of music and they were like, "This is great!"*
5
6 *... Being able to make [the playlist] more personalised and more appropriate for*
7
8 *the people that are coming in is important and having that flexibility I think is quite*
9
10 *good. [Physio]*

15 **Adoption**

16
17
18 The DCEP delivery characteristics that supported adoption were underpinned by the
19 longstanding networking and relationship development undertaken with external
20 people and organisations over many years. This led to the successful inclusion of
21 others to support DCEP (e.g. venue, staffing) or for delivery of education sessions.
22
23

24
25
26
27
28 *Places where we have had existing relationships, existing trusting relationships*
29 *[built] over time, [these] have worked. We've had a long-standing relationship with*
30 *[name of a health provider]. And they've been good. They've supported us. They*
31 *had their staff running the exercise class long before we had a contract sorted*
32 *with them. They needed to trust us. And they did. And then there's others ...*
33 *and I've been working with them for years. One person always agrees [to come*
34 *and talk] and does it free of charge. He sees it as part of his role. [Clinical Lead]*
35
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44
45 It was evident in the data however, that taking time to develop relationships and not
46 asking too much of people or organisations, was imperative for the adoption of DCEP
47 by community organisations.
48
49

50
51
52 *We tried to work closely with [name of health provider]. ... It didn't go well. ...*
53 *The challenge was that we didn't really have an opportunity to work through the*
54 *necessary discussions because, all of a sudden, we were asking a lot of them in*
55 *a relatively short period of time. We managed to sour that relationship through*
56
57
58
59
60

1
2
3 *communication not being ideal and just asking for too much, too soon.* [Clinical
4
5 Lead]

6
7
8 While training of HCPs assisted with engagement in DCEP delivery, HCPs' knowledge
9 and beliefs about DCEP suggested a buy-in to its philosophy was essential. A
10 challenging aspect was engaging HCPs whose daily practice aligned with this
11 philosophy.
12
13
14
15
16

17
18 *And I do think that if staff aren't clear on some of the values around [DCEP] it is*
19 *difficult ... It's not classic cardiac rehab, or pulmonary rehab. It's not, 'do this', 'do*
20 *this', or blow whistles. We do try and run [DCEP] with a certain ethos.* [Clinical
21
22 Lead]

23
24
25
26
27
28 One HCP stated a team player is required.

29
30
31 *I definitely think there are certain personalities that probably fit better with the*
32 *programme [approach. It's] those people that work in a team. The team needs to*
33 *be working in order to make [DCEP] work.* [Physio]
34
35
36
37

38
39 Additionally, HCPs recommended that an ability to connect with
40 individuals/family/whānau and facilitate development of relationships was an important
41 attribute for successful implementation of DCEP.
42
43
44

45
46 *You certainly need someone who can engage with people [especially] when*
47 *you've got all these random people that don't know each other, and you need to*
48 *engage with them and then try and get them to engage with each other! It's quite*
49 *key to how [DCEP] runs as well.* [Physio]
50
51
52
53
54
55

56 **Implementation**

57
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1
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3 Initial training was undertaken with HCPs involved in DCEP delivery via zoom (i.e.
4 introduction and orientation), followed by self-directed study of relevant resources.
5
6
7 Sharing of pertinent resources was ongoing and shared with the team via email.
8
9

10
11 *I am aware that we try to bring some of the MI [Motivational Interviewing] ‘Spirit’*
12 *to the group setting. The ‘Talking to Change’ podcast series by Chris Wagner is*
13 *great but in particular the 5th episode ... is specifically related to MI in groups. It is*
14 *the best explanation, I have heard, of the atmosphere we try to create within*
15 *DCEP. [Clinical Lead]*
16
17
18
19
20
21

22
23 Training updates were held to answer any outstanding or frequently asked questions
24 and to train any new HCPs who had joined since the previous training. However, some
25 HCPs missed these opportunities. New HCPs to DCEP talked about information not
26 being handed on.
27
28
29
30
31

32
33 *That was the problem, that none of it [training about what to do] was handed*
34 *over. Absolutely nothing. [Nurse]*
35
36
37

38 The orientation training for DCEP was not repeated for new HCPs:
39
40

41 *No, I don't know that there was any orientation! [Dietician]*
42
43

44 HCPs participants suggested that the networks and communication between and
45 amongst people involved in DCEP could have been more structured and improved.
46
47
48 There was also limited networking experienced by educators.
49
50

51 *You just slot yourself in and move on, don't you? [Podiatrist]*
52
53

54 Limited feedback was provided to educators about content for and applicability of their
55 sessions.
56
57
58

59 *And nobody came back and said that was a bad talk. [Podiatrist]*
60

1
2
3 Implementation from an administrative perspective included ensuring that there was
4
5 good administrator as:

6
7
8 *There was a lot of coordinating and making sure that we had all our ducks in a row*
9
10 *basically, to keep it going. (Administrator)*

11
12
13 This included the logistics of finding the suitable venues in which to hold DCEP.

14
15
16 *Accessible for, sometimes, older, frailer people with physical disabilities; good*
17
18 *parking, ideally, free parking trying to break down the barriers, any barriers to*
19
20 *access [including culturally appropriate and accessible venues]; and maybe in a*
21
22 *good location, as in, um, closer to the high-needs communities where we wanted*
23
24 *to work in, with the people with a high incidence of T2D. And then it was a venue*
25
26 *that was big enough to house up to 25 people, with bathroom, kitchen... a sound*
27
28 *system or something like that. Yep, affordable. And, um, but also, that the*
29
30 *exercise equipment... 'cause we've got some exercycles on wheels, some mats,*
31
32 *some benches-type things or steps, and some rowing machines. Which are all*
33
34 *portable but require storage space. [Clinical lead]*

40 **Maintenance**

41
42
43 An administrator suggested that DCEP, because of its preventative, collaborative and
44
45 community focus should be an attractive long-term investment for national and local
46
47 planners and funders.

48
49
50
51 *From an investment point of view, you cannot underestimate the investment in*
52
53 *preventative work [Nurse Manager].*

54
55
56 Additionally, a broader approach that included people with any long-term condition/s
57
58 should be a consideration moving forward.

1
2
3 *My personal view is around having [DCEP] as long-term conditions focused, not*
4 *just diabetes. I think the sustainability in the community, particularly in some of*
5 *our rural areas, would be difficult with just a diabetes focused programme. It would*
6 *be a challenge. ... Therefore, [if you broaden the programme] you're not doubling*
7 *up on your resources. You can use the process and get greater 'bang for your*
8 *buck. [Nurse Manager]*
9

10
11
12 To achieve sustainability, it was suggested that any programme would need to be
13 delivered close to where people lived, especially in rural areas because that is where
14 people with complex needs and multiple long-term conditions often live because living
15 costs are lower.
16

17
18 *And travel from [rural town] to [city] is quite difficult and can be expensive for*
19 *people. [Nurse Manager]*
20
21

22
23 The timing of the classes was often a major barrier to those who were working.
24

25
26 *The middle of day obviously excludes a large portion of people from being able*
27 *to participate. [Pharmacist]*
28
29

30
31 It also excluded attendees from bringing along their family/whanau.
32

33
34 *Probably the timing of the programme that didn't allow it for people to take a*
35 *significant other. But I think if it was in the evenings [around] 6pm, people got a*
36 *bit more availability. [Nurse]*
37
38
39

40
41 Additionally, it was felt DCEP would need to have the local and wider community
42 supporting its implementation and integration into the community.
43

44
45 *Has to be a programme that can be picked up and taken somewhere and*
46 *supported from a distance. [It would need] good community engagement so that*
47
48
49
50

1
2
3 *everybody knows it's available for a wider population, and that there is*
4 *commitment from all the layers [local providers, planners and funders etc.,] who*
5 *need to be involved. But if it's not a funded programme, then there needs to be a*
6 *community response to what we're going to do, for the long-term. [Nurse Manager]*
7
8
9
10
11
12

13 DCEP was also perceived as having value in that it provided exposure to different
14 work environments because:
15

16
17
18 *physiotherapists have a role in exercise for people with long-term health*
19 *conditions. [Physio]*
20
21
22

23 However, for physiotherapists, a tension was evident between the value placed on the
24 approach of DCEP by HCPs and potential HCPs, and the facility to recoup wages at
25 a rate similar to that earned in private practice.
26
27
28
29

30
31 *If you're working in a private practice, that person can be billing for at least 2-3*
32 *consultations through ACC, an hour, which brings in quite a bit more money than*
33 *[the] hourly rate that [the programme could] pay someone. So, approaching a*
34 *private practice to buy out their staff time [is tricky]. [Clinical Lead]*
35
36
37
38
39
40

41 As DCEP was developed to support people living in low socioeconomic conditions it
42 was offered free to attendees. Funding however to support aspects of DCEP (i.e.
43 venue hire, staff wages) was identified as a perennial issue.
44
45
46
47

48 *Funding [laughs]. I mean that always comes up with everything. [GP]*
49
50
51
52
53

54 **DISCUSSION**

55
56
57 To inform future development of DCEP and similar lifestyle programmes for people
58 living with T2D, we undertook a process evaluation of the implementation of DCEP
59
60

1
2
3 into community-based settings within two cities in the lower South Island of NZ. We
4 used a three-stage approach. Initially, key topics of implementation interest were
5 identified through thematic analysis and we then sought to understand the “why”
6 of success (or not) of our implementation via application of the CFIR framework. To
7 inform future development of DCEP, we identified the practicalities of the outcomes
8 (“the who, what, where, how, and when”) using the RE-AIM Framework.^{19 20} Below we
9 discuss our findings relative to the RE-AIM Framework.

20 **Reach**

21
22 Whilst we met our reach target (i.e. sample size and regional ethnic representation),
23 had we not had to use the randomisation process of the RCT (thus potentially deterring
24 Māori participants) reach could have been extended. It could have also been further
25 extended had we promoted self-referral in addition to GP referral, given the latter was
26 potentially ‘gate-keeping’. PHO ‘ownership’ of DCEP and community champions could
27 further enhance reach.
28
29

30
31 The RCT process was found culturally unacceptable to Māori and potentially for other
32 ethnic groups such as Pasifika, potentially reducing the reach of DCEP, similar to a
33 finding in a recent systematic review.²² Wider literature suggests that the NZ health
34 system’s individualised approach to healthcare,²³⁻²⁵ and by extension that of the RCT
35 randomisation process, denies people the psychosocial benefit attained through
36 inclusion of family/whānau in preventative and rehabilitation programmes.²⁶
37
38

39
40 Further, to improve reach and access to DCEP, wider and enhanced communication
41 targeted directly to people living with T2D was needed, especially emphasizing the self-
42 referral option. Self-referral has been shown to enhance population representation for
43 people accessing psychological therapies for mental health in the United Kingdom
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2
3 (UK)²⁷ and availability of funding and staff training support to provide community
4 rehabilitation programmes is crucial to equitable access. In contrast, other UK
5 research²⁴ has found that people on low incomes considered self-referral to be an
6 obstacle to psychological therapies. These authors suggested the need to better
7 understand the complexities of effective referral and/or self-referral in primary care,
8 such as how services are discussed with patients and assumptions about people's
9 readiness to self-refer.²⁸ Our findings suggest that improving the referral cycle would
10 additionally require 'ownership' of DCEP by local primary healthcare organisations
11 (PHOs), who because of the 'buy-in' would then refer patients into the programme on
12 an ongoing basis. To enable programmes that address issues of inequities for Māori,
13 a strategy 'by Māori for Māori' is crucial,^{29 30} but funding, development and
14 implementation of such programmes continues to be challenge in NZ. As noted in the
15 foreword of the 2019 Health Quality and Safety Commission report: "It is not a matter
16 of favouritism, political correctness or deference to Māori; rather, it is a matter of health
17 and wellbeing and the eradication of inequities."³¹

38 **Effectiveness**

39
40
41 Essentially DCEP was considered effective in that both attendees and HCPs spoke of
42 the beneficial impact it had in creating a social and welcoming environment which,
43 although founded on relationships and connections, was tailored to the individual.
44 People felt comfortable attending and empowered to learn.

45
46
47 DCEP was valued by both attendees and HCPs because it appeared to offer benefits
48 that impacted wellness and social connectedness, with group interactions and the
49 ability to build relationships considered important facets. It is well established that
50 development of meaningful relationships with other people generates a feeling of
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1
2
3 belonging (or social connection) and an improvement in wellbeing and health.³²⁻³⁵
4
5 Further, for older adults, social support, especially from family, is associated with
6
7 increased engagement in physical activity.³⁶ Group participation for people with long-
8
9 term conditions has significant benefits (on, for example, self-efficacy, self-care,
10
11 quality of life, pain, psychological symptoms).³⁷ For such populations, numerous
12
13 factors (such as mental, emotional and physical symptoms)^{38 39} or wider social
14
15 determinants of health⁴⁰ make it difficult to develop and maintain support networks,
16
17 and thus organised healthcare groups can become important enablers. Effective,
18
19 caring, empathetic communication is a cornerstone of relationship development ^{34 41}
20
21 and relationship-centred care.⁴² Relationship-centred care is argued to be the
22
23 founding principle of healthcare provision⁴² and is contended to have a positive effect
24
25 on health outcomes.⁴³ Our findings further reinforce the substantiation for relationship-
26
27 centred care in rehabilitation programmes.
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31
32

33 **Adoption**

34
35
36 Key to adoption of DCEP were the networking and relationships with local health
37
38 providers and communities. However, the building of these relationships should not
39
40 be underestimated – it takes time and should not be rushed. Also, of importance, was
41
42 whether the HCPs delivering DCEP valued the philosophy of DCEP (based on the
43
44 ‘spirit’ of Motivational Interviewing).⁴⁴ Training of staff and communication between the
45
46 various HCPs involved was not optimal and needs further consideration and
47
48 development.
49
50
51

52
53 Not only is relationship centred care important for recipients of healthcare, our findings
54
55 emphasise the long-term relationship development and networking with healthcare
56
57 providers and the community required for the initiation and adoption of community-
58
59
60

1
2
3 based rehabilitation programmes. This process cannot be rushed, and is an important
4
5 facilitator of attendance, particularly for indigenous peoples.¹⁹ From an organisational
6
7 perspective, HCPs felt that champions and the 'right' type of HCPs employed to deliver
8
9 DCEP were important for adoption. From the perspective of attendees, the inclusive,
10
11 non-judgemental and welcoming atmosphere of DCEP encouraged their engagement.
12
13
14

15 **Implementation**

16
17
18 HCPs' buy-in to the underlying philosophy of DCEP and a team player attitude
19
20 contributed to successful delivery of DCEP. The literature suggests obtaining HCPs'
21
22 buy-in is a perennial issue when introducing change or innovation.⁴⁵ Understanding
23
24 and addressing the organisational factors impacting on implementation, and indeed
25
26 organisational readiness,⁴⁶ along with understanding of predictors of HCPs readiness,
27
28 are needed to increase team cohesiveness and engagement with a programme.⁴⁵⁻⁴⁸
29
30 HCPs also suggested better DCEP training was needed, including improved
31
32 communication amongst involved HCPs. Strengthening such aspects would increase
33
34 the psychological meaningfulness, a prerequisite for buy-in,⁴⁹ the reward resulting in
35
36 greater investment in DCEP delivery.⁴⁵ Our findings suggest champions for DCEP
37
38 were required to facilitate cultural and context specific factors, impacting not only reach
39
40 but implementation.⁵⁰
41
42
43
44
45

46 **Maintenance**

47
48
49 To maintain DCEP, especially if aiming to reach those in most need, DCEP needs to
50
51 be delivered closer to where people live; in rural NZ, this would also necessitate a
52
53 generic approach catering for people with multimorbidity, instead of condition-specific
54
55 approaches. As DCEP was developed for those living in low socio-economic
56
57 situations, it was free to attend; this however meant on-going funding challenges, even
58
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1
2
3 though its preventative attributes may, in the long-term, be cost-saving for the health
4
5 system.
6
7

8 DCEP was considered impactful as a health preventative programme. A plethora of
9
10 literature espouses the benefit of exercise and education and their impact on mitigating
11
12 risk of disease progression and improved outcomes for people with long-term
13
14 conditions.⁵¹ With limited healthcare resources,⁵² a more sustainable model of a
15
16 generic programme for people living with multiple conditions rather than a condition
17
18 specific focus has been suggested. Delivered locally and offered at times appropriate
19
20 for the community concerned with local and wider community support would improve
21
22 engagement⁵³ but sourcing funding would require attention. Ownership by a PHO or
23
24 community-based health organisation (for example, a Māori health provider) has also
25
26 been proposed.⁵³
27
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30
31

32 **Strengths and limitations**

33

34
35 A strength of our study was the use of two complementary implementation science
36
37 frameworks (CFIR and RE-AIM) to better understand the DCEP implementation
38
39 process. A strength and a limitation of our study was the broad and rich data we
40
41 collected. Whilst this ensured a wide and diverse capture of opinions, it also required
42
43 an initial focussing analysis to identify key topics that we then explored in more depth
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45 with the CFIR¹⁶ and RE-AIM¹⁴ frameworks. The initial analysis may have missed
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47 smaller and possibly important issues that merited consideration. Although our RCT
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49 met ethnic representation, the process evaluation had low Māori or Pasifika
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51 representation. Further to this, whilst the interviewers were ethnically diverse, the three
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53 researchers who analysed the data were Pākehā (non-Māori) negating the application
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55 of a Māori or Pasifika lens to the analysis.
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Implications for policy and practice

Lifestyle programmes such as DCEP are developed based on community input and community relationships. Whilst acceptable and effective in promoting healthcare in a person-centred manner, their survival appears dependent, not on perceived acceptability or perceived effectiveness, but on ongoing funding, which is largely short term and not sustained. The funding appears to be used as a “band-aid” for identified problems and not dedicated and embedded to enable a preventative long-term strategy. A case in point of a lifestyle intervention programme developed by Māori for Māori in Dunedin,⁵⁴ found to be successful and beneficial for attending Māori with T2D, attracted enough funding from the Health Funding Authority to continue, but only for one year. The Health Funding Authority and the programme no longer exist.⁵⁴

CONCLUSION

What we have learnt in implementing a lifestyle programme such as DCEP is that to ensure success, time and care needs to be taken to build and maintain networks, trust and relationships. This requires good communication channels. The networks and relationships required are not only between those delivering the programmes and the target community group, but also between local healthcare organisations (for example, district health boards, general practices, PHOs and Māori health providers) as well as between the HCPs involved within DCEP. Healthcare programmes that have a person-centred focus enable access and ongoing attendance. It does, however, require HCPs to practise in a nuanced person-centred manner, and as staff turnover is frequently high, a programme of continual training is also required. Future programmes may be more viable if delivered closer to where people live and, instead of having a condition-

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3 specific approach, could take a more generic approach to cater for people with multiple
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5 long-term conditions.
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11 12 13 **SUPPLEMENTARY FILES**

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17 Supplementary File 1: COREQ-32 reporting checklist. An assessment of the study
18 reporting against the domains of the COREQ-32 reporting checklist for interviews
19 and focus groups. (.pdf)
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24 Supplementary File 2: First stage of analysis (Consolidated Framework for
25 Implementation Research - CFIR) with illustrative quotes (.pdf)
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32 33 **ACKNOWLEDGMENTS**

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43 44 **CONTRIBUTORSHIP STATEMENT**

45 Conceptualization LH, CH, TSt, RM, TSu, FDN, PJ, ARG, JM, DK; Methodology TSt,
46 LH, AW; Formal analysis AW, LH, TSt; Writing – Original draft AW, LH, TSt; Writing –
47 review and editing All authors; Funding acquisition LH wrote the grant application with
48 input from CH, TSt, RM, TSu, FDN, PJ, ARG, JM, DK; All authors read and approved
49 the final manuscript.
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COMPETING INTERESTS

All authors declare that they have no competing interests.

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ETHICS APPROVAL

Ethical approval for the DCEP trial and evaluation was obtained from the Health and Disability Ethics Committee, Ministry of Health (HDEC17/CEN/241/AM01). All participants provided written, signed consent to participate.

DATA SHARING STATEMENT

Full de-identified interview transcripts will not be shared. Informed consent, in line with the approving ethics committee, only allows for the use of de-identified extracts within research reporting and writing, in order to maintain the privacy of participants based in a defined regional area and population, thus making their identification with full transcripts more likely.

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COREQ (CONsolidated criteria for REporting Qualitative research) Checklist

A checklist of items that should be included in reports of qualitative research. You must report the page number in your manuscript where you consider each of the items listed in this checklist. If you have not included this information, either revise your manuscript accordingly before submitting or note N/A.

Topic	Item No.	Guide Questions/Description	Reported on Page No.
Domain 1: Research team and reflexivity			
<i>Personal characteristics</i>			
Interviewer/facilitator	1	Which author/s conducted the interview or focus group?	
Credentials	2	What were the researcher's credentials? E.g. PhD, MD	
Occupation	3	What was their occupation at the time of the study?	
Gender	4	Was the researcher male or female?	
Experience and training	5	What experience or training did the researcher have?	
<i>Relationship with participants</i>			
Relationship established	6	Was a relationship established prior to study commencement?	
Participant knowledge of the interviewer	7	What did the participants know about the researcher? e.g. personal goals, reasons for doing the research	
Interviewer characteristics	8	What characteristics were reported about the interviewer/facilitator? e.g. Bias, assumptions, reasons and interests in the research topic	
Domain 2: Study design			
<i>Theoretical framework</i>			
Methodological orientation and Theory	9	What methodological orientation was stated to underpin the study? e.g. grounded theory, discourse analysis, ethnography, phenomenology, content analysis	
<i>Participant selection</i>			
Sampling	10	How were participants selected? e.g. purposive, convenience, consecutive, snowball	
Method of approach	11	How were participants approached? e.g. face-to-face, telephone, mail, email	
Sample size	12	How many participants were in the study?	
Non-participation	13	How many people refused to participate or dropped out? Reasons?	
<i>Setting</i>			
Setting of data collection	14	Where was the data collected? e.g. home, clinic, workplace	
Presence of non-participants	15	Was anyone else present besides the participants and researchers?	
Description of sample	16	What are the important characteristics of the sample? e.g. demographic data, date	
<i>Data collection</i>			
Interview guide	17	Were questions, prompts, guides provided by the authors? Was it pilot tested?	
Repeat interviews	18	Were repeat interviews carried out? If yes, how many?	
Audio/visual recording	19	Did the research use audio or visual recording to collect the data?	
Field notes	20	Were field notes made during and/or after the interview or focus group?	
Duration	21	What was the duration of the interviews or focus group?	
Data saturation	22	Was data saturation discussed?	
Transcripts returned	23	Were transcripts returned to participants for comment and/or	

Topic	Item No.	Guide Questions/Description	Reported on Page No.
		correction?	
Domain 3: analysis and findings			
<i>Data analysis</i>			
Number of data coders	24	How many data coders coded the data?	
Description of the coding tree	25	Did authors provide a description of the coding tree?	
Derivation of themes	26	Were themes identified in advance or derived from the data?	
Software	27	What software, if applicable, was used to manage the data?	
Participant checking	28	Did participants provide feedback on the findings?	
<i>Reporting</i>			
Quotations presented	29	Were participant quotations presented to illustrate the themes/findings? Was each quotation identified? e.g. participant number	
Data and findings consistent	30	Was there consistency between the data presented and the findings?	
Clarity of major themes	31	Were major themes clearly presented in the findings?	
Clarity of minor themes	32	Is there a description of diverse cases or discussion of minor themes?	

Developed from: Tong A, Sainsbury P, Craig J. Consolidated criteria for reporting qualitative research (COREQ): a 32-item checklist for interviews and focus groups. *International Journal for Quality in Health Care*. 2007. Volume 19, Number 6: pp. 349 – 357

Once you have completed this checklist, please save a copy and upload it as part of your submission. DO NOT include this checklist as part of the main manuscript document. It must be uploaded as a separate file.

SUPPLEMENTARY FILE 2

First stage of analysis (Consolidated Framework for Implementation Research) with illustrative quotes

Domain (constructs)	
The intervention characteristics	Illustrative quote
<p>HCP: Community of practice (Relative advantage)</p>	<p>Well it's fantastic. and also it's, you know, obviously a great social, a lot of people with these conditions feel quite isolated so a programme like this gives them a chance to interact with other people and I think sometimes they learn a lot more from people they're with than people like me. They know what it's like living with their conditions and they can share their experiences.</p>
<p>Attendees: Supportive intervention (Evidence strength & quality)</p>	<p>I didn't know anybody else, um, but we were all of similar age and we were all in the same boat and I think that was probably a big part. Previously you felt as if you were on your own with your diabetes. But here we all were and we were all quite open about things like um, you know medication, the exercise part, I went in there thinking well I am here for exercise, so for the three quarters of an hour we were to exercise I made a really good attempt. and I did enjoy that. ... And we were all, I think by the end of the programme to, one of the biggest benefits was actually, the comradery that you had with everybody all you know, hi [attendee name] how are you today and you were the same, kind of got to know people a bit and thoroughly enjoyed that. And that probably was um, took me going back, [attendee name] and I kept us going back on the maintenance programme. (A324)</p>
<p>HCP: A valuable resource (Relative advantage; Design quality & packaging; Cost)</p>	<p>I think, personally, really important. I think it's something that could grow and develop and be a real resource.</p> <p>I think it's amazing. I think it's fantastic. We really refer an awful lot of people to the DCEP just simply because I feel really confident because I know the expertise that are there, so that's always a great starting point. If you refer people you need to be confident that they're going to be in good hands. Obviously, particularly if they've got diabetes and other health related problems, that they are going to be cared for and put through a programme that's specially designed for them and that's really, really, really valuable, I think. The other thing that it is, there is no cost apart from the donation which is really valuable as well and just being able to refer people to a community service.</p>
<p>Attendees: Acceptable and valued (Evidence strength & quality)</p>	<p>Well if it was, if you know doctors could refer people that are border line diabetic or people that are type 2 and have ended up on insulin and it was just a good way to you know, find out about things. Because if you just go for one day, you know all those questions, but over 12 weeks there is different things that crop up that you are able to ask. So just on one day you might not think of everything, but with 12 weeks, yeah. And like with having like the dietician and the</p>

6/bmjopen-2021-059853 on 27 May 2022. Downloaded from http://bmjopen.bmj.com/ on April 30, 2024 by guest. Protected by copyright.

	<p>pharmacists and all those different people, you know, you kind of thought of something and other people asks questions as well, and you think, oh yeah, I had been wondering about that. (A920)</p> <p>So it's been a um, yeh it's been a tough couple of years but for us the DCEP has been an important part, a positive part. It's been a real support in terms, as I say both a health and psychical fitness point of view. So we're very grateful for the programme and we found it really worthwhile.</p>
<p>HCP: Perhaps too social, and thus not enough exercise.</p> <p>(Relative advantage)</p>	<p>I've had a couple of patients that have come in, not for the maintenance programme, they've just come to have their blood pressure taken so they haven't done any exercise in the maintenance, and they haven't done anything other than pop in to have their blood pressures taken. actually, some of them don't even exercise.</p> <p>They come to the class, and that's the big thing. They come to class to socialise, and the exercise is like a side issue. And for the exercise purists, this is challenging. And I'm constantly challenged by this. And I've got to constantly stand by it. And my line will go something like, typically, you're working with the sedentary population, who do next to no physical activity, who will get the maximum bang for buck from going doing no physical activity to doing some physical activity. So, if they're motivated to come because they feel comfortable and not judged, and accepted, and they can just do what they can manage, then that's more important than anything else.</p>
<p>Attendees: Not enough exercise</p> <p>(Adaptability)</p>	<p>The maintenance class, the only thing I'm finding, this is the only negative I have about the whole thing, alright? You know how we used to just do forty minutes to forty-five minutes of exercise? And it was fine. Well, when I went to the maintenance class and you can do it an hour, and I want to do the hour, there's not enough variety to be able to do to fill in the hour. I started to find I was getting a bit bored. you have to keep looking to see if the machine you wanted was free so that you, you know or go to something else. (A238)</p> <p>One lady, all she did was walk around a couple of laps and then sat down for the rest of the time and talked and all that. It was um, a get out of home activity for her and she did you know a few wee laps and that was it for her. You know, that was better than sitting at home just doing nothing, that was her exercise you know, it was catered for everybody. It really was. (A887)</p>
<p>Attendees: Tailored person-centred approach</p> <p>(Adaptability; Design quality & packaging)</p>	<p>There's a number of things I can't do because of my hip. My hip's down to bone on bone so it's kind of discomfort. I'm on fairly high levels of pain relief um, and I take a whole bottle of [pain medication] to get here. So, yes, they've helped me and pointed me in the right direction to give me the strengths in the areas I will need to have it when I come out of theatre and move onto stage, the next stage in life. (A205)</p>

	<p>I think it makes you more comfortable the fact that you've got the nurse there and the physio there. Like um, for me, um, like having them there to guide you and support you ... show you like, [Physio] would show me like she would sort of challenge me, like um, and she's like "Oh, I think that's too easy for you. So we're going to do this." And I was like... "Oh, do we have, you know, do we have to?" And she's like, "You're doing that too easy, we're going to do this." (A238)</p> <p>I am 13 months out of a triple bypass ah so I have a few issues with my chest. So [Physio] has been working with me to exercise and strengthen chest muscles. And this is something that would never have happened if I hadn't had been involved in this, you know. (A373)</p>
<p>HCP: Importance of relationships and communication</p> <p>(Relative advantage)</p>	<p>It is better in terms of relationship building if we're there doing the exercises with them... I definitely think there's advantages to working amongst the people ... for building rapport and trust. My first session I went to, I rolled up in my work gear, and they were all, like, "where's your gears?" And, so, for my second session, I was, like, "right, well, I'm coming prepared then." "I'm coming in my lycra"! "Activate your tights." Then it just, kind of, it felt a bit more comfortable, um, in terms of... they just seemed to be a bit more... relaxed.</p> <p>I try and engage with people, like um, like I try and engage with everyone to start with and I make time to block out, so what I tend to do is when people are you know doing their thing I'll walk around and chat and I'll do that connection so I am working on a kind of personal connection, not just a I'm your physio kind of connection but actually kind of finding out a bit about them and oh you know, "What do you do," and this, that, I'll share a little bit about myself and so I sort of engage them from there and then I tend to like, particularly the bikes, when the bikes are together and things like that, you end up having a conversation with two people at the same time and they end up talking and you kind of move on [laughs].</p>
<p>Attendees: Social atmosphere</p> <p>(Evidence strength & quality; Relative advantage)</p>	<p>I have quite enjoyed it, um, we have had a really good mix of a good bunch of ah people in it, and I thought, like Lena has been wonderful and so have the nursed that have come along. So, it has really been good that way. And, I have enjoyed most, most of the um, classes that I have afterwards, I have learnt a hell of a lot more from that. ... you spend three quarter of an hour each lesson on a particular subject and you have got interaction of the other people so it is really good. (A373)</p> <p>Do I enjoy it here? I love it. Are the people great? Fantastic. And the group is fantastic, we've made new friends um, and they're all respective of one another, but they all have gas and laugh and joke amongst themselves. The majority are women, but that means absolutely nothing, it</p>

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	<p>just means that they drew that envelope. It could've gone the other way just as easy. Do I have any, no I have no regrets. Am I going to carry on? Hell yeah! (A205)</p>
<p>Attendees: Unpretentious environment</p> <p>(Adaptability)</p>	<p>I think um, family inclusion is good, um, especially like the meal preparations and all that sort of thing and why it is important to go for a walk after dinner and how it is nice if you can have someone walking with you, and all that sort of thing. Um, so I think that is really beneficial to have um, a significant other as part of the programme. (A639)</p> <p>I brought hubby along when he had a week off. I said come along, so he came along and did a few exercises and listened, it was a good talk that day and he gained valuable information, that day, through diet and all that. (A887)</p> <p>And, you know, I mean, we don't, it's like, when people go to the gym, they've got all the flash gears on, you know, just to be looked at, that's what I think. We can go there how we are, we're taken how we are, and get on with it. which is good, you know, those are the sorts of people you want, and they don't use big, like, um, flash words. They use language that we understand. (A21)</p> <p>Encouragement, you know talking amongst yourself. A lot of humour quite a bit of hilarity you know. Oh, we have had some good laughs, yeah. And that, I guess that was one of the reasons why we kept going back to, um, because we had some laughs and because we were comfortable. (A639)</p>
<p>Attendees: Beneficial</p> <p>(Evidence strength & quality; Relative advantage)</p>	<p>I have quite enjoyed it, um, we have had a really good mix of a good bunch of ah people in it, and I thought, like [Physio] has been wonderful and so have the nurses that have come along. So, it has really been good that way. And, I have enjoyed most, most of the um, classes that I have afterwards, I have learnt a hell of a lot more from that. In fact, I would say that I have learnt more from that, I did the Desmond ah, course and ah, I have found this more beneficial than the Desmond. The Desmond was too much to try and squeeze in over a six-hour period, you know and to try and take in all the information that they give you in such a short time it is really hard. Whereas where you spend three quarters of an hour each lesson on a particular subject and you have got interaction of the other people so it is really good. (A373)</p> <p>Well, I can just show you. Like, if you start at my book. Like you see, my, on the 2nd of the 4th, my blood pressure was 160 over 190 which is quite high. ... And then, as we kept going down, it went down to 140 over 90..... And then it went to 132 over 82. Um and then towards the end, like I was getting 124 over 82. Ah, my blood sugars range between 8.4 and 4.7. ... And like when I, I got my certificate up on the bookshelf up there, and when I got it, I came home and put it on Facebook and all the um, I got all these comments about it and everything. (A238)</p>

<p>Attendees: Advice confusion</p> <p>(Design quality & packaging)</p>	<p>I got really confused about what is right and what is not right. I had started on a diet for control management of diabetes and it was working for me and they were telling me something different. They were telling me about whole grain breads and pastas were ok and rice and all that, and yet in my diet I had none of that. (A639)</p>
<p>Attendees: Costs</p> <p>(Evidence strength & quality; Relative advantage; Design quality & packaging; Cost)</p>	<p>I really liked the venue and I was quite surprised um, about the equipment that was available. So, walking into the [venue] where I had been several times before for different things and seeing it all set up where there is a gym was quite cool. ... I like working out and I like doing that and I even like it better when I am doing it for free. (A639)</p> <p>It is unique because it is an exercise programme that doesn't cost us anything, it is very local. Um, it is utilising a business locally. And it is free. (A887)</p> <p>I enjoyed it. I'm not an exercise... I'm an active person, but I have to be doing something constructive, like shifting the sheep or doing something like that. Whereas, um, to go, say, to the gym... and that's the other thing, gyms are so expensive to go to, and when you're on a pension or something like that, um, yeah, it's one of those things that get left behind. The fact that it was free, it was good. (A280)</p> <p>... because of the cost of petrol and everything, twice a week getting to the other side of town is um. ... It is a bit too much. (A159)</p> <p>(Interviewer: Yeah, like if you had to pay for it.) I probably wouldn't go then. Yeah, I don't think, there would be a handful of people that were there wouldn't be able to afford that either. Yeah. A couple of them on their, well that is only my opinion of looking at them, I don't think they would come if there was a fee to it. (A639)</p>
<p>HCP: Timing of the classes</p> <p>(Adaptability)</p>	<p>The middle of day obviously excludes a large portion of people from being able to participate. Um, and it makes it exponentially more complicated. But, having something that's available as, like, an after-work type option... you know, some of the patients are working 2 jobs. ... type 2 diabetic working, like you say, more than one job, limits their exercise opportunities, plus they're stressed, probably eating at their desk and not eating great.</p> <p>I think that having that availability, that flex, I think yeh definitely long term going forward recognising that people who are working get diabetes. (HCP)</p>
<p>Attendees: Timing of the classes</p>	<p>I don't know. I think basically it depends on where people are in their lives. I mean I was lucky in that I'm retired, so I took retirement early so as a result of that I made a point of using that as</p>

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<p>(Adaptability)</p>	<p>part of my physical exercise programme, to actually take part. I think a lot of the people that were there were working people. And so I think it was harder for them to actually, to come once the actual programme started and I think it's, while they could probably get it off for 12 weeks, having it, continuing that over a year period probably became a little bit more difficult. So yeh, so my, yeh, my feelings are that it probably was the fact that some are working. Some of them, I have seen some of them who will be in the group doing exercise, like walking out and about. So some of them obviously have carried on their exercise but they haven't obviously wanted to do it in an organised setting, that's down for a particular time of the week. And so it gives them a bit more flexibility if they're doing it themselves, so that would probably be my take on it.</p>
<p>HCP: Good venues (Complexity)</p>	<p>Community halls, and went to scout halls, in the end. And... things that were important to look for were: access, as in accessible for, sometimes, older, frailer people with physical disabilities; good parking, ideally, free parking, so you didn't have to search for 10 minutes to find a park or pay for expensive parking, trying to break down the barriers, any barriers to access; and maybe in a good location, as in, um, closer to the high-needs communities where we wanted to work in, with the people with a high incidence of type 2 diabetes. And then it was a venue that was big enough to house up to 25 people, with bathroom, kitchen... a sound system or something like that. Yep, affordable. And, um, but also, that the exercise equipment... 'cause we've got some exercycles on wheels, some mats, some benches-type things or steps, and some rowing machines. Which are all portable but require storage space. So, not only did the venue need to be able to fit that exercise equipment in, if it was a multi-purpose, it needed to have a storage space where you could store that space also.</p>
<p>HCP: Importance of relationships and communication (Relative advantage)</p>	<p>It is better in terms of relationship building if we're there doing the exercises with them... I definitely think there's advantages to working amongst the people ... for building rapport and trust. My first session I went to, I rolled up in my work gear, and they were all, like, "where's your gears?" And, so, for my second session, I was, like, "right, well, I'm coming prepared then." "I'm coming in my lycra!" "Activate your tights." Then it just, kind of, it felt a bit more comfortable, um, in terms of... they just seemed to be a bit more... relaxed.</p> <p>I try and engage with people, like um, like I try and engage with everyone to start with and I make time to block out, so what I tend to do is when people are you know doing their thing I'll walk around and chat and I'll do that connection so I am working on a kind of personal connection, not just a I'm your physio kind of connection but actually kind of finding out a bit about them and oh you know, "What do you do," and this, that, I'll share a little bit about myself and so I sort of engage them from there and then I tend to like, particularly the bikes, when the bikes are together and things like that, you end up</p>

	<p>having a conversation with two people at the same time and they end up taking and you kind of move on [laughs].</p>
<p>Outer setting</p>	
<p>HCP: Ongoing funding (External policy & incentives)</p>	<p>Well, I think, I think, from an investment point of view, you cannot underestimate the investment in preventative kind of work. It needs, you know, these patients, so, even the social aspect of them being engaged socially has to have good patient outcomes. And benefits. And then, um, doing an exercise programme in a supported way, it has to make a difference to people's kind of, where they're heading and what they're doing with their long-term condition. So, yeah, I think it's a valuable investment to make. Um, just that, who's going to make that investment and where does that sit? And that's why I think it needs to be a collaborative, kind of, community investment. Because no, our health system here is strapped financially. (HCP)</p> <p>There is going to be no shortage of people that will benefit for the foreseeable future. Um, so, I think there needs to be options like this. Like, it's kind of a step up from Green Prescriptions. It's a more costly intervention, absolutely. But the cost of managing people with multiple long-term conditions as they age just exponentially goes real high. (HCP)</p>
<p>HCP: Engagement with communities (Patient needs & resources)</p>	<p>Just around engagement with the community, and how you approach community, especially Māori community, rather than approach Māori participants. It's not a usual programme, but it's great to see that. They've had a good focus. It's, it, and I've also seen benefit..., and I like the idea of that, some of the programme, they're inviting whānau too. So, it's not just about one patient with diabetes, it's about a whānau, can go too. It's a no-brainer. (HCP)</p>
<p>Inner setting</p>	
<p>HCP: Importance of administration (Networks and communications)</p>	<p>And the same like thinking about what else is going on in the community so we had a number, particularly in this last group we had quite a lot of people that were involved in outdoor bowls and once outdoor bowls season clicked in, our numbers went down [laughs] it's on same day so yeh having a little think about what else is in the community, what else that might those people be involved in as well I guess, and that's going to be really hard to work around from a bigger group perspective. I think if you had a bigger group it probably wouldn't be that noticeable but um, certainly if you're in a smaller community, having a think about what else are those people involved in because with timing those groups around that. Um access and stuff here was fine. Like parking was really easy, everyone was like you can park straight outside the door, yeh it was good. Bus stop not that far away. (HCP)</p>

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<p>HCP: Issues with training</p> <p>(Implementation climate: Learning climate)</p>	<p>Just going over the motivational interviewing, lots of practical sort of stuff like we did the other day would've been good and where we got to practice it on, you know pair up and practice doing that discussion</p> <p>Like looking at blood sugar levels if you're at 17 then it's actually a little bit risky to exercise ... yeh just that safety stuff. Um and just kind of having that team, let's the whole team know that this is when it's unsafe or this is what we do so kind of know 'cause like there was a couple of times we had, particularly the first group we had a lady who threw some really high blood pressures and so we had this kind of team management approach around her, you know? "You're not allowed to exercise until you go get your blood pressure done," and then the nurse would sort of say yes or no.</p> <p>Yeh, is there anything else that you feel is important to mention about the programme going forward like you know, this is going to be written up into a package to that anyone should be able to read and be able to roll out in any community. Like cultural appropriateness or something we haven't talked about, and health literacy and talking to people that maybe don't have the same language around health issues.</p>
<p>HCP: Nursing staff changeover was particularly high</p> <p>(Implementation climate: Goals & feedback; Available resources)</p>	<p>I think from a staffing perspective again just having the ability for people to take leave 'cause you know like we have had pretty much the year and a half of just nonstop and apart from that break over Christmas it's, we've been here every week and I think ongoing that would be ... I think you need more than just the two people that are running it, I think you need to have a bit of a team.</p> <p>Mainly, that is probably due to our kind of staff turnover. And keeping the communication flowing when there's new staff, um, between the research project, us and, um, trying to orientate new staff members to the programme, the intention and what it's doing, and how it, kind of, the operational components of what they need to do.</p>
<p>HCP: Attributes and skills of staff</p> <p>(Culture)</p>	<p>Partnership acceptance, compassion are, ultimately, helpfulness. How can I work with you to be helpful? Um, and so, that attitude was core. Non-judgemental, accepting, come from that place and that space because too many people don't necessarily have, unfortunately, healthcare experiences that they really enjoy. Particularly people with multiple, long-term health conditions. They see numerous healthcare professionals, numerous times, and are told, "do this, do this, and do this." And not many people are particularly good at 'doing this, doing this, doing this', hence they keep on presenting with continuously deteriorating health concerns. So, it's trying to develop trusting, meaningful relationships with these people. Don't judge them, accept them. And, actually, often, they open up to you a bit more. And you can find out more, what's actually going on with them.</p>

	<p>Certainly someone who can engage easier with people is quite important in this space where you've got all these random people that don't know each other and you need to engage with them and then try and get them to engage with each other, it's um, I think that's quite key to how it runs as well.</p>
<p>Attendees: Good staff (Culture)</p>	<p>I think it is fantastic. I think it should be, you can't make exercise compulsory, we are adults we won't be dictated to. Therefore, it should be encouraged to the maximum. It takes a special type of person to lead it and I think Lena and Michelle were wonderful. Um, there will be other people out there that are of a similar approach as they are, they have, I think their term is bedside manner. They have got a fantastic approach and way with people. They never at any point and time made you feel a lesser person or embarrassed or, I don't know what I am looking for, but there was nothing that they ever said that put you down in any shape or form. They were full of encouragement all the way. (A205)</p>
<p>Characteristics of individuals</p>	
<p>Attendees: DCEP enhanced attendees' self-efficacy (Self-efficacy)</p>	<p>But, as it moved on you know, we walked in and straight away we were over to get our blood pressure done. We are lining up there, we want our blood pressure done before we go ahead and do any exercise, so that we can, well, so that I can see how it is going and all that, you, know from day to day Um, I always check my labels when I buy food, I always do. I take so long at the supermarket; it drives him nuts. (A887)</p> <p>And I take, I took my um, notebook to the doctor's appointment that I went to and showed him...everything. And I'll take it to, like the dietician and stuff like that just to, so they can see what, what's been happening over the last few months. 'Cos I've got it all there on paper. (A238)</p> <p>But something the programme has encouraged me to do and I do it almost every day is actually to before I have any um, cup of tea, or first thing in the morning before I have anything, I will sit down and do my blood sugar test, which I haven't been doing very regularly before Since we started the programme, um, we have actually purchased exercise equipment, we brought um, the rower and the exercycle and mini trampoline and so we actually are using those at home. (A324)</p>
<p>Attendees: Reduced anxiety (Individual stage of change)</p>	<p>The first day of the programme I was probably a little bit anxious about it. Like not sure what you were going to, what was going to happen or what you were going, or what it was going to be like? And what, and what the people were going to be like. Because it's a, it's a big thing to put yourself into that sort of ... situation. Um, and but then, as the, as the weeks went on, you just</p>

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	got more comfortable with everybody. With [physiotherapist], with the people, with yourself. (A238)
HCP: Healthcare professional	

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Implementation of the Diabetes Community Exercise and Education Programme (DCEP) for the management of type 2 diabetes: qualitative process evaluation

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3 **Implementation of the Diabetes Community Exercise and Education Programme**
4 **(DCEP) for the management of type 2 diabetes: qualitative process evaluation**
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11 Tim Stokes,^{1*} Amanda Wilkinson,² Prasath Jayakaran,² Christopher Higgs,² Donna
12 Keen,² Ramakrishnan Mani,² Trudy Sullivan,³ Andrew R Gray,⁴ Fiona Doolan-Noble,¹
13
14 Jim Mann,⁵ Leigh Hale.²
15
16
17
18
19
20
21

22 ¹Department of General Practice and Rural Health, Dunedin School of Medicine,
23
24 University of Otago, Dunedin, New Zealand
25
26

27 ²School of Physiotherapy, University of Otago, Dunedin, New Zealand
28
29

30 ³Department of Preventive and Social Medicine, Dunedin School of Medicine,
31
32 University of Otago, Dunedin, New Zealand
33
34

35 ⁴Biostatistics Centre, University of Otago, Dunedin, New Zealand
36
37

38 ⁵Department of Human Nutrition, University of Otago, Dunedin, New Zealand
39
40
41
42
43
44
45

46 **Corresponding author**
47

48 Professor Tim Stokes: tim.stokes@otago.ac.nz
49
50

51 Department of General Practice and Rural Health, Dunedin School of Medicine,
52
53 University of Otago, PO Box 56, Dunedin 9054, New Zealand
54
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ABSTRACT

Objectives: To examine context-specific delivery factors, facilitators and barriers to implementation of the Diabetes Community Exercise and Education Programme (DCEP) for adults with type 2 diabetes (T2D) using the Reach, Effectiveness, Adoption, Implementation, and Maintenance (RE-AIM) Framework.

Design: A qualitative evaluation embedded within the DCEP pragmatic randomised controlled trial. Data collected via focus groups and interviews and analysed thematically.

Setting: Community-based in two cities (Dunedin and Invercargill) in the lower south island of New Zealand.

Participants: Seventeen adults diagnosed with T2D attending DCEP and 14 healthcare professionals involved in DCEP delivery.

Intervention: DCEP is a twice weekly session of exercise and education over 12 weeks, followed by a twice weekly on-going exercise class.

Results: Whilst our reach target was met (sample size, ethnic representation), the randomisation process potentially deterred Māori and Pasifika from participating. The reach of DCEP may be extended through the use of several strategies: promotion of self-referral, primary healthcare organisation ownership and community champions. DCEP was considered effective based on perceived benefit. The social and welcoming environment created relationships and connections. People felt comfortable attending DCEP and empowered to learn. Key to implementation and adoption was the building of trusting relationships with local health providers and communities. This takes time and care and cannot be rushed. Training of staff and

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3 optimising communication needed further attention. To maintain DCEP, delivery
4 close to where people live and a generic approach catering for people with multiple
5
6 chronic conditions may be required.
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10 **Conclusions:** For success, lifestyle programmes such as DCEP, need time and
11 diligence to build and maintain networks and trust. Beyond frontline delivery staff and
12 target populations, relationships should extend to local healthcare organisations and
13 communities. Access and ongoing attendance are enabled by health care
14 professionals practicing in a nuanced person-centred manner; this, plus high staff
15 turnover, necessitates on-going training.
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Abstract word count: 300

Strengths and limitations of this study

- | |
|---|
| <ul style="list-style-type: none">• Data were collected from both DCEP attendees and healthcare professionals involved in DCEP, delivery, enabling capture of wide and diverse opinions.• |
| <ul style="list-style-type: none">• The initial focussing analysis to identify key topics may have missed smaller and possibly important issues that merited consideration.• |
| <ul style="list-style-type: none">• Although our RCT met ethnic representation, this qualitative evaluation had low Māori or Pasifika representation.• |
| <ul style="list-style-type: none">• Whilst the interviewers were ethnically diverse, the three researchers who analysed the data were Pākehā (non-Māori) negating a Māori or Pasifika lens to the analysis. |

INTRODUCTION

Type 2 diabetes (T2D) is a substantial health issue. Globally, 8.5% of adults aged 18 years and older are estimated to have T2D.¹ In Aotearoa/New Zealand (NZ) over 250,000 people are estimated to have T2D (self-reported prevalence 5.9%), with high prevalence among Māori (the indigenous people of NZ) (7.9%), Pasifika (people from the Pacific Islands now living in NZ) (13.6%), and people living in low socioeconomic areas (10.4%).² Alongside blood glucose control via medication, diet control and being physically active are the key evidence-based components of management,^{1 3} especially if delivered by healthcare professionals.⁴ In NZ, diabetes primary healthcare is provided by general practitioners (GPs) and nurses focussing on screening and diagnosis, education and pharmacological management.^{5 6} The educational component is largely achieved via referral to the Diabetes Education Self-Management Newly Diagnosed and Ongoing Diabetes (DESMOND) programme, a one-day group-delivered educational programme.⁷ We are not aware of any formal exercise programmes delivered by registered healthcare professionals (HCPs) to people with T2D in NZ. To address this challenge in the southern region of NZ, we developed the Diabetes Community Exercise and Education Programme (DCEP), which has now been in existence for over 10 years.

DCEP is a group exercise and educational programme, tailored to individual needs, and specifically designed to enable access for Māori, Pasifika, and people living in low socioeconomic areas. The aim of DCEP is to support adults living with T2D to take control of their health and to live well with their long-term condition. There are two parts to DCEP. Participants attend a twice weekly exercise and education session for 12 weeks, followed by a twice weekly maintenance exercise class. The programme has previously been described in detail.⁸ The potential benefits of DCEP highlighted in a

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3 feasibility study,⁹ justified a pragmatic randomised controlled trial (RCT) to evaluate
4 the effects of DCEP (plus usual care) on the glycated haemoglobin (HbA1c) levels,
5 physical health outcomes and health-related quality of life of individuals living with
6 T2D, compared to usual care alone.⁸ The target sample size for the primary outcome
7 (glycaemic control) was 220 individuals with T2D which included a 40% dropout rate.
8 We recruited and analysed data from 165 participants. The results of the RCT showed
9 no statistically significant differences between groups for both the primary outcome
10 (blood glucose control - HbA1c) and secondary outcomes (Incremental Shuttle Walk
11 Test, body weight, waist circumference, blood pressure, quality of life measures) at
12 one-year follow-up.¹⁰ The RCT, however, was successful in engaging its target
13 population and there was good attendance in the first 12 weeks (as described below).

14
15 Reflective of the ethnicity in the lower South Island of NZ, 14% of the cohort were
16 Māori and 6% Pasifika, with 27% of participants living in areas considered by the NZ
17 Deprivation Index to be in the most deprived deciles (deciles 9 and 10).¹¹ Adherence
18 to the 12-week DCEP intervention was good, a majority (56%) attended 15 or more of
19 the 24 sessions (41% attending for $\geq 20/24$ sessions, 15% for 15-19/24 sessions, 21%
20 for 2-15/24 sessions and 23% for no attendance or one session). Attendance at the
21 subsequent maintenance classes was however poor (23% attending $>50\%$ and 35%
22 attending 10-40% of available sessions, with 42% attending no sessions).

23
24 Given the success in targeting the populations of interest and initial attendance at
25 DCEP and NZ's current health inequities, and associated poorer outcomes for Māori,¹²
26 ¹³ an in-depth explorative evaluation of DCEP is warranted to inform future practice.

27
28 This paper reports a qualitative process evaluation to identify practical ways to improve
29 DCEP delivery and inform its future development. This evaluation, embedded within
30 the DCEP RCT, aimed to examine the context-specific delivery factors, facilitators and

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3 barriers to implementation of the DCEP using the Reach, Effectiveness, Adoption,
4 Implementation, and Maintenance (RE-AIM) Framework.¹⁴
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11 **METHODS**

14 **Study Setting**

17 This community-based study took place in in two separate urban centres in the lower
18 South Island of NZ: Dunedin (Otago Region) and Invercargill (Southland Region) in
19 community exercise venues.
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25 **Design**

27 A qualitative process evaluation of DCEP was undertaken as part of a two-arm
28 parallel, open label RCT (ACTRN12617001624370). The trial protocol⁸ and main trial
29 findings¹⁰ have been previously reported. The trial recruited adults (age ≥ 35 years)
30 with a diagnosis of T2D via general practices and public advertisements. DCEP was
31 introduced sequentially, starting in Dunedin and then three months later in Invercargill.
32 Following baseline evaluation, participants were randomly allocated to either DCEP
33 (plus usual care) or usual care. Participants randomised to DCEP attended the 12-
34 week programme and then continued in the maintenance programme for a further 12
35 months. Across the duration of the trial, seven DCEP 12-week classes were held.
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51 **Data collection**

52 Interviews and focus groups were held at both study sites following the 12-week
53 programme and at the end of the trial until data saturation (when no new data repeated
54 what was in the previous data)¹⁵ occurred. From DCEP participants consenting to
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3 interview, 2-3 were purposively (by attendance) interviewed after completion of each
4 class. Semi-structured interviews were used with DCEP attendees and any attending
5 whānau (family). Guided by their availability or for logistical reasons, we used either
6 interviews or focus groups for all the HCPs involved in DCEP who consented to
7 interview. The interview topic guide was informed by The Consolidated Framework for
8 Implementation Research (CFIR).¹⁶ (Table 1). All interviews and focus groups
9 occurred at a mutually arranged time and place, were audio-recorded with permission
10 and were about one hour long. Research assistants, with bachelor's degrees and from
11 a variety of backgrounds (nursing, psychology, social science) and ethnicities (Māori,
12 Pākehā (non-Māori)) and known to the attendees, undertook the interviews. All audio
13 recordings were transcribed verbatim by a professional transcription company.
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4 **Table 1: Interview topic guide**
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6 **Questions for both DCEP attendees and healthcare professionals:**

7 Tell me about your experience of DCEP?

8 How could we improve DCEP?

9 How suitable / appropriate / acceptable is DCEP for your community?

10 How can we make DCEP continue in your community beyond the trial?

11
12 **Additional healthcare professional questions:**

13 What are the important aspects of DCEP? Why?

14 In order to deliver DCEP, what are important attributes / training do healthcare professionals
15 require?

16 How did DCEP influence your practice?
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23 **Data analysis**
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26 Data were first thematically analysed using the General Inductive Approach, a
27 pragmatic approach specifically designed for evaluative health research.^{17 18} Three
28 researchers (AW, LH, TSt) read the transcripts multiple times to gain an
29 understanding of the key topics of interest, coded them accordingly and identified
30 illustrative quotes. To assist defining these key topics, a short summary was written
31 by AW for each transcript summarising the main points of the interview. The
32 transcripts of HCPs were analysed first. The key topics were then further analysed
33 over two stages using both the CFIR and RE-AIM frameworks.¹⁹ The rationale for
34 using both frameworks is that CFIR enables the understanding of the “why” of
35 success (or not) of implementation while the RE-AIM describes the practicalities of
36 the outcomes (the who, what, where, how, and when).^{19 20} In the first stage, the
37 relevant constructs and domains from the CFIR were used to deductively explore
38 and organise data. To further categorise the organised data, in the second stage, the
39 five RE-AIM domains were applied by AW and LH. Multiple discussions between the
40 research team members (AW, LH, TSt) finalised the analysis by consensus. The
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3 consolidated criteria for reporting qualitative research (COREQ)²¹ were used to
4 inform reporting of the study findings (Supplementary File 1).
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8 **Patient and public involvement**

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10 Patients or members of the general public were not involved in the design or conduct
11 of this study.
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16 **RESULTS**

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18 We interviewed 17 DCEP participants diagnosed with T2D and randomised to DCEP
19 and 18 HCPs. The characteristics of participants are presented in tables 2 and 3.
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Table 2 Characteristics of DCEP participants (N= 17)

Category	Participants
Location	
Dunedin	7
Invercargill	10
Sex	
Female	11
Male	6
Age	Age range 39-76; mean age 61
Ethnicity	
NZ European/Pākehā	13
Māori	3
Cook Island Māori (Pasifika)	1

Table 3 Characteristics of health care professional stakeholders (N=18)

Category	Participants
Location	
Dunedin	7
Invercargill	11
Sex	
Female	15
Male	3
Ethnicity	
NZ European/Pākehā	17
Māori	1
Health Care Profession¹	
Nurses	5
Physiotherapist	1
Clinical DCEP Lead	1
Pharmacist	2
Podiatrist	1
Dietician	1
General Practitioner	1
Counsellor	1
DCEP Administrator	1
Primary Care Liaison Coordinator for Arthritis NZ	1
Diabetes NZ coordinators	2
SmokeFree NZ coordinator	1

Table 4 presents a summary of the key CFIR domains identified. Supplementary file 2 presents the detailed CFIR findings along with illustrative quotes. Below we

present the findings relative to the RE-AIM framework domains (namely, Reach, Effectiveness, Adoption, Implementation, and Maintenance).

Table 4: Summary of the key CFIR domains

Domains	Summary
Individual	Training and good communication of HCPs was crucial – they had to buy into the philosophies of DCEP and person-centred care and be trained into the nuances of delivering individualised care and attendee driven education within a group setting. Further, HCPs had to have, or develop, the ability to create trusting and caring relationships with attendees thus enabling a social and welcoming atmosphere and encouraging attendance. In turn, the supportive social environment enhanced the relationships and interactions of attendees, so they derived benefit from each other. Additionally, the correct venues had to be found (for example, in terms of location, safety, access both to and into, temperature, culturally acceptability, inexpensive to hire); the time in the day for the class was crucial (for example, not impacting on work); and the correct equipment purchased (for example, durable, practical, easily transportable and stored).
Inner setting	The most prominent findings were securing appropriate HCPs and their ongoing training.
Outer setting	The outer setting both assisted and offered challenges to implementation. Whilst we had long standing and strong relationships with many HCPs, for the trial we needed to work with new healthcare providers. We found that we rushed the process with some new healthcare providers or did not quite understand the local political environment for others. As we were not merging DCEP into an existing healthcare practice but rather setting up an independent community-based class, we learnt the necessity of taking time, and focused energy, as well as having local champions, to build such relationships and good communication strategies. Further, the navigation of relationships was ongoing as HCPs changed – both those that delivered DCEP and the managers of the services involved. Ongoing funding was another major challenge to the sustainability of DCEP.
Characteristics of individuals	Attendees talked about their increasing self-efficacy to manage their health, undertaking self-management activities and growing more comfortable to attend DCEP.

Reach

As described above, the RCT attained its targeted sample size, and its ethnic composition was reflective of that of the study setting. HCP participants suggested however that the RCT randomization process challenged recruitment as it was

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2
3 considered culturally unacceptable for Māori and Pasifika. For these populations,
4 whānau (family) support is important and potential participants would have been more
5 comfortable if they could attend together; the possibility of being randomised to
6 different groups as individuals was undesirable.
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13 *[Our] community feel more comfortable coming in groups. [I] recommend they*
14 *be randomised together. [I] can then go along with them to whatever programme*
15 *they get randomised to [to facilitate introductions and help create relationships].*
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17 *[If] this could be the case, I am happy to promote the research on my marae and*
18 *to the general practice. [Nurse]*
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25 Referral into the trial was assisted by community champions of DCEP, such as general
26 practice staff. However, there was also a need for improved communication channels,
27 beyond GPs, for getting information about DCEP out and how people could self-refer
28 to it. Further, it was thought that having a primary healthcare organisation (PHO)
29 endorse, fund and run DCEP would increase general practice referral; thus, mitigating
30 the observed resistance from some general practices about referring patients into
31 DCEP.
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42 *We had some resistance from general practices about referring [‘their’ patients].*
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44 *... So, I think if the PHO owned it, they would promote it around their respective*
45 *practices. They would target their practices that they identified as having high-*
46 *needs patients [who would benefit from participating in DCEP]. [Clinical Lead]*
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52 **Effectiveness**

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54 Both attendees and HCPs expressed a range of positive beliefs about DCEP. The
55 group approach of DCEP facilitated relationship development amongst the whole
56 group, both between HCPs and attendees, and amongst attendees themselves.
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3 *I try and engage with everyone to start with ... when people are doing their thing,*
4 *I'll walk around and chat and I'll do that connecting. I am working on a kind of*
5 *personal connection, not just a 'I'm your physio' kind of connection but actually*
6 *finding out a bit about them, [like asking] 'What do you do?' I'll [also] share a little*
7 *bit about myself and so I sort of engage them from there. When the bikes are*
8 *together, you end up having a conversation with two people at the same time and*
9 *[then] they end up talking. [Physio]*

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20 The group nature of DCEP intervention also encouraged inclusion of family/whānau
21 (important in Māori culture). Family came along to support and joined in with the
22 education and exercise sessions.
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27 *I really like the idea of [the approach of DCEP]. Instead of just being [targeted at]*
28 *one person with diabetes, it's actually engaging for whānau to come and do this*
29 *[join in]. So, it's been wonderful to see husbands and wives coming in and talking*
30 *and walking that journey together. [Nurse]*
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37 Attendees [A#] stated meeting people, connecting and enjoying each other's company
38 was key to their continued attendance.
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42 *I guess that was one of the reasons why we kept going back, because we had*
43 *some laughs and because we were comfortable. [A639]*
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48 Others suggested that DCEP was an integral, positive and supportive part of their lives
49 and had led to behaviour change, such as testing their blood sugar levels daily.
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53 Attendees also found the HCPs welcoming and appreciated the individual attention
54 that provided exercise tailored to their needs.
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3 *[physiotherapist] was prepared to work with us all individually if we required it,*
4
5 *and if we had any specific issues that she could help with. [A373]*
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8 Attendees considered the format of DCEP, while different from others they had
9 attended, was good and thought provoking. They seemed to enjoy the group
10 discussions that were facilitated by educators and occurred organically between
11 participants.
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18 *We have had more discussion from the people within the group during and*
19 *afterwards. When you are discussing that [new information] among group of*
20 *people, there are things that come out that you didn't know about. [A373]*
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26 One participant summed up impact of DCEP by stating:

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29 *I feel better just for meeting the people that I met, doing the stuff that I did,*
30 *learning what I did. [A639]*
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34 HCPs considered that DCEP had several advantages over the other two usual
35 healthcare options, namely, DESMOND or advice given through routine consultations
36 with members of the primary healthcare team. The group focus provided a non-
37 threatening environment for participants and facilitated revisiting of educational
38 information, while at the same time provided repeated contact with HCPs.
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46 *I think the points of difference [to usual care], that I can see, is the education*
47 *component... that constant or continued access, a point of contact to a health*
48 *professional. It's in an environment that's not threatening because they're there in*
49 *a big group doing exercise and learning more about their health condition [at every*
50 *session]. [Nurse Manager]*
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3 HCPs suggested the repeated sessions of DCEP provided more opportunity for
4
5 attendees to ask questions of HCPs.
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8 *I see it [DCEP] as being really valuable because people often tell us that they*
9 *don't feel that they have the ability to ask the questions that they really want to*
10 *ask [at an appointment] due to time pressures. [Pharmacist]*
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16 The ability to create an atmosphere through a suitably curated music playlist enabled
17
18 HCPs to build group cohesion; an underpinning aspect of DCEP's approach.
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21 *People said they loved the music. We had a mix. There was [Pacific] island music*
22 *and all sorts of things a real big variation of music and they were like, "This is*
23 *great!" ... Being able to make [the playlist] more personalised and more*
24 *appropriate for the people that are coming in is important and having that flexibility*
25 *I think is quite good. [Physio]*
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33 **Adoption**

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36 The DCEP delivery characteristics that supported adoption were underpinned by the
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38 longstanding networking and relationship development undertaken with external
39
40 people and organisations over many years. This led to the successful inclusion of
41
42 others to support DCEP (e.g. venue, staffing) or for delivery of education sessions.
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46 *Places where we have had existing relationships, existing trusting relationships*
47 *[built] over time, [these] have worked. We've had a long-standing relationship with*
48 *[name of a health provider]. And they've been good. They've supported us. They*
49 *had their staff running the exercise class long before we had a contract sorted*
50 *with them. They needed to trust us. And they did. And then there's others ...*
51 *and I've been working with them for years. One person always agrees [to come*
52 *and talk] and does it free of charge. He sees it as part of his role. [Clinical Lead]*
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3 It was evident in the data, however, that taking time to develop relationships and not
4 asking too much of people or organisations, was imperative for the adoption of DCEP
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8 by community organisations.
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10 *We tried to work closely with [name of health provider]. ... It didn't go well. ...*
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12 *The challenge was that we didn't really have an opportunity to work through the*
13 *necessary discussions because, all of a sudden, we were asking a lot of them in*
14 *a relatively short period of time. We managed to sour that relationship through*
15 *communication not being ideal and just asking for too much, too soon. [Clinical*
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Lead]

While training of HCPs assisted with engagement in DCEP delivery, HCPs' knowledge and beliefs about DCEP suggested a buy-in to its philosophy was essential. Challenging aspects were ensuring team players were recruited whose daily practice aligned with the DCEP philosophy.

And I do think that if staff aren't clear on some of the values around [DCEP] it is difficult ... It's not classic cardiac rehab, or pulmonary rehab. It's not, 'do this', 'do this', or blow whistles. We do try and run [DCEP] with a certain ethos. [Clinical

Lead]

Additionally, HCPs recommended that an ability to connect with individuals/family/whānau and facilitate development of relationships was an important attribute for successful implementation of DCEP.

You certainly need someone who can engage with people [especially] when you've got all these random people that don't know each other, and you need to engage with them and then try and get them to engage with each other! It's quite key to how [DCEP] runs as well. [Physio]

Implementation

Initial training was undertaken with HCPs involved in DCEP delivery via zoom (i.e. introduction and orientation), followed by self-directed study of relevant resources. Sharing of pertinent resources was ongoing and shared with the team via email. Training updates were held to answer any outstanding or frequently asked questions and to train any new HCPs who had joined since the previous training. However, some HCPs missed these opportunities. The orientation training for DCEP was not repeated for new HCPs and new HCPs to DCEP talked about information not being handed on.

That was the problem, that none of it [training about what to do] was handed over. Absolutely nothing. [Nurse]

HCP participants suggested that the networks and communication between and amongst people involved in DCEP could have been more structured and improved. There was also limited networking experienced by educators and limited feedback was provided to educators about content for and applicability of their sessions.

And nobody came back and said that was a bad talk. [Podiatrist]

Implementation from an administrative perspective included ensuring that there was a good administrator as:

There was a lot of coordinating and making sure that we had all our ducks in a row basically, to keep it going. (Administrator)

This included the logistics of finding suitable venues in which to hold DCEP. Venues needed to be accessible, close to high-needs populations and be large enough to fit the participants and their exercise equipment in.

Maintenance

It was suggested that DCEP, because of its preventative, collaborative and community focus should be an attractive long-term investment for national and local planners and funders. Additionally, a broader approach that included people with any long-term condition/s should be a consideration moving forward.

My personal view is around having [DCEP] as long-term conditions focused, not just diabetes. I think the sustainability in the community, particularly in some of our rural areas, would be difficult with just a diabetes focused programme. It would be a challenge. ... Therefore, [if you broaden the programme] you're not doubling up on your resources. You can use the process and get greater 'bang for your buck. [Nurse Manager]

To achieve sustainability, it was suggested that any programme would need to be delivered close to where people lived, especially in rural areas, where people with complex needs and multiple long-term conditions often live because living costs are lower. It can be expensive and difficult for this group to travel into urban centres to attend DCEP.

The timing of the classes, being held in the middle of the day, was often a major barrier to those who were working and also excluded attendees from bringing along their family/whanau, an evening class was suggested as a way of promoting attendance. Additionally, it was felt DCEP would need to have the local and wider community supporting its implementation and integration into the community.

[it] has to be a programme that can be picked up and taken somewhere and supported from a distance. [It would need] good community engagement so that everybody knows it's available for a wider population, and that there is

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3 *commitment from all the layers [local providers, planners and funders etc.,] who*
4 *need to be involved. But if it's not a funded programme, then there needs to be a*
5 *community response to what we're going to do, for the long-term. [Nurse Manager]*
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10 DCEP was also perceived as having value for physiotherapists as it broadened their
11 expertise to include exercise programmes for people with long term conditions.
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13 However, for physiotherapists, a tension was evident between the value placed on the
14 approach of DCEP by HCPs and potential HCPs, and the facility to recoup wages at
15 a rate similar to that earned in private practice.
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23 *If you're working in a private practice, that person can be billing for at least 2-3*
24 *consultations through ACC [Accident Compensation Corporation], an hour, which*
25 *brings in quite a bit more money than [the] hourly rate that [the programme could]*
26 *pay someone. So, approaching a private practice to buy out their staff time [is*
27 *tricky]. [Clinical Lead]*
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35 As DCEP was developed to support people living in low socioeconomic conditions it
36 was offered free to attendees. This, however, meant that funding streams had to be
37 identified to support aspects of DCEP (i.e. venue hire, staff wages).
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45 **DISCUSSION**

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48 To inform future development of DCEP and similar lifestyle programmes for people
49 living with T2D, we undertook a process evaluation of the implementation of DCEP
50 into community-based settings within two cities in the lower South Island of NZ. We
51 used a three-stage approach. Initially, key topics of implementation interest were
52 identified through thematic analysis and we then sought to understand the "why"
53 of success (or not) of our implementation via application of the CFIR framework. To
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3 inform future development of DCEP, we identified the practicalities of the outcomes
4 (“the who, what, where, how, and when”) using the RE-AIM Framework.^{19 20} Below we
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6 discuss our findings relative to the RE-AIM Framework.
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10 **Reach**

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13 Whilst we met our reach target (i.e. sample size and regional ethnic representation),
14 had we not had to use the randomisation process of the RCT (thus potentially deterring
15 Māori participants) reach could have been extended. It could have also been further
16 extended had we promoted self-referral in addition to GP referral, given the latter was
17 potentially ‘gate-keeping’. PHO ‘ownership’ of DCEP and community champions could
18 further enhance reach.
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28 The RCT process was found culturally unacceptable to Māori and potentially for other
29 ethnic groups such as Pasifika, potentially reducing the reach of DCEP, similar to a
30 finding in a recent systematic review.²² Wider literature suggests that the NZ health
31 system’s individualised approach to healthcare,²³⁻²⁵ and by extension that of the RCT
32 randomisation process, denies people the psychosocial benefit attained through
33 inclusion of family/whānau in preventative and rehabilitation programmes.²⁶
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42 Further, to improve reach and access to DCEP, wider and enhanced communication
43 targeted directly to people living with T2D was needed, especially emphasizing the self-
44 referral option. Self-referral has been shown to enhance population representation for
45 people accessing psychological therapies for mental health in the United Kingdom
46 (UK)²⁷ and availability of funding and staff training support to provide community
47 rehabilitation programmes is crucial to equitable access. In contrast, other UK
48 research²⁴ has found that people on low incomes considered self-referral to be an
49 obstacle to psychological therapies. These authors suggested the need to better
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3 understand the complexities of effective referral and/or self-referral in primary care,
4 such as how services are discussed with patients and assumptions about people's
5 readiness to self-refer.²⁸ Our findings suggest that improving the referral cycle would
6 additionally require 'ownership' of DCEP by local primary healthcare organisations
7 (PHOs), who because of the 'buy-in' would then refer patients into the programme on
8 an ongoing basis. To enable programmes that address issues of inequities for Māori,
9 a strategy 'by Māori for Māori' is crucial,^{29 30} but funding, development and
10 implementation of such programmes continues to be challenge in NZ. As noted in the
11 foreword of the 2019 Health Quality and Safety Commission report: "It is not a matter
12 of favouritism, political correctness or deference to Māori; rather, it is a matter of health
13 and wellbeing and the eradication of inequities."³¹
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29 **Effectiveness**

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32 Essentially DCEP was considered effective in that both attendees and HCPs spoke of
33 the beneficial impact it had in creating a social and welcoming environment which,
34 although founded on relationships and connections, was tailored to the individual.
35 People felt comfortable attending and empowered to learn.
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42 DCEP was valued by both attendees and HCPs because it appeared to offer benefits
43 that impacted wellness and social connectedness, with group interactions and the
44 ability to build relationships considered important facets. It is well established that
45 development of meaningful relationships with other people generates a feeling of
46 belonging (or social connection) and an improvement in wellbeing and health.³²⁻³⁵
47 Further, for older adults, social support, especially from family, is associated with
48 increased engagement in physical activity.³⁶ Group participation for people with long-
49 term conditions has significant benefits (on, for example, self-efficacy, self-care,
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3 quality of life, pain, psychological symptoms).³⁷ For such populations, numerous
4 factors (such as mental, emotional and physical symptoms)^{38 39} or wider social
5 determinants of health⁴⁰ make it difficult to develop and maintain support networks,
6 and thus organised healthcare groups can become important enablers. Effective,
7 caring, empathetic communication is a cornerstone of relationship development ^{34 41}
8 and relationship-centred care.⁴² Relationship-centred care is argued to be the
9 founding principle of healthcare provision⁴² and is contended to have a positive effect
10 on health outcomes.⁴³ Our findings further reinforce the substantiation for relationship-
11 centred care in rehabilitation programmes.

22 **Adoption**

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25 Key to adoption of DCEP were the networking and relationships with local health
26 providers and communities. However, the building of these relationships should not
27 be underestimated – it takes time and should not be rushed. Also, of importance, was
28 whether the HCPs delivering DCEP valued the philosophy of DCEP (based on the
29 ‘spirit’ of Motivational Interviewing).⁴⁴ Training of staff and communication between the
30 various HCPs involved was not optimal and needs further consideration and
31 development.

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34 Not only is relationship centred care important for recipients of healthcare, our findings
35 emphasise the long-term relationship development and networking with healthcare
36 providers and the community required for the initiation and adoption of community-
37 based rehabilitation programmes. This process cannot be rushed, and is an important
38 facilitator of attendance, particularly for indigenous peoples.¹⁹ From an organisational
39 perspective, HCPs felt that champions and the ‘right’ type of HCPs employed to deliver
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3 DCEP were important for adoption. From the perspective of attendees, the inclusive,
4 non-judgemental and welcoming atmosphere of DCEP encouraged their engagement.
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8 **Implementation**

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11 HCPs' buy-in to the underlying philosophy of DCEP and a team player attitude
12 contributed to successful delivery of DCEP. The literature suggests obtaining HCPs'
13 buy-in is a perennial issue when introducing change or innovation.⁴⁵ Understanding
14 and addressing the organisational factors impacting on implementation, and indeed
15 organisational readiness,⁴⁶ along with understanding of predictors of HCPs readiness,
16 are needed to increase team cohesiveness and engagement with a programme.⁴⁵⁻⁴⁸
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18 HCPs also suggested better DCEP training was needed, including improved
19 communication amongst involved HCPs. Strengthening such aspects would increase
20 the psychological meaningfulness, a prerequisite for buy-in,⁴⁹ the reward resulting in
21 greater investment in DCEP delivery.⁴⁵ Our findings suggest champions for DCEP
22 were required to facilitate cultural and context specific factors, impacting not only reach
23 but implementation.⁵⁰
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39 **Maintenance**

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42 To maintain DCEP, especially if aiming to reach those in most need, DCEP needs to
43 be delivered closer to where people live; in rural NZ, this would also necessitate a
44 generic approach catering for people with multimorbidity, instead of condition-specific
45 approaches. As DCEP was developed for those living in low socio-economic
46 situations, it was free to attend; this however meant on-going funding challenges, even
47 though its preventative attributes may, in the long-term, be cost-saving for the health
48 system.
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3 DCEP was considered impactful as a health preventative programme. A plethora of
4 literature espouses the benefit of exercise and education and their impact on mitigating
5 risk of disease progression and improved outcomes for people with long-term
6 conditions.⁵¹ With limited healthcare resources,⁵² a more sustainable model of a
7 generic programme for people living with multiple conditions rather than a condition
8 specific focus has been suggested. Delivered locally and offered at times appropriate
9 for the community concerned with local and wider community support would improve
10 engagement⁵³ but sourcing funding would require attention. Ownership by a PHO or
11 community-based health organisation (for example, a Māori health provider) has also
12 been proposed.⁵³

26 **Strengths and limitations**

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30 A strength of our study was the use of two complementary implementation science
31 frameworks (CFIR and RE-AIM) to better understand the DCEP implementation
32 process. A strength and a limitation of our study was the broad and rich data we
33 collected. Whilst this ensured a wide and diverse capture of opinions, it also required
34 an initial focussing analysis to identify key topics that we then explored in more depth
35 with the CFIR¹⁶ and RE-AIM¹⁴ frameworks. The initial analysis may have missed
36 smaller and possibly important issues that merited consideration. Although our RCT
37 met ethnic representation, the process evaluation had low Māori or Pasifika
38 representation. Further to this, whilst the interviewers were ethnically diverse, the three
39 researchers who analysed the data were Pākehā (non-Māori) negating the application
40 of a Māori or Pasifika lens to the analysis.

54 **Implications for policy and practice**

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3 Lifestyle programmes such as DCEP are developed based on community input and
4 community relationships. Whilst acceptable and effective in promoting healthcare in a
5 person-centred manner, their survival appears dependent, not on perceived
6 acceptability or perceived effectiveness, but on ongoing funding, which is largely short
7 term and not sustained. The funding appears to be used as a “band-aid” for identified
8 problems and not dedicated and embedded to enable a preventative long-term
9 strategy. A case in point of a lifestyle intervention programme developed by Māori for
10 Māori in Dunedin,⁵⁴ found to be successful and beneficial for attending Māori with T2D,
11 attracted enough funding from the Health Funding Authority to continue, but only for
12 one year. The Health Funding Authority and the programme no longer exist.⁵⁴
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27 **CONCLUSION**

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29 What we have learnt in implementing a lifestyle programme such as DCEP is that to
30 ensure success, time and care needs to be taken to build and maintain networks, trust
31 and relationships. This requires good communication channels. The networks and
32 relationships required are not only between those delivering the programmes and the
33 target community group, but also between local healthcare organisations (for example,
34 district health boards, general practices, PHOs and Māori health providers) as well as
35 between the HCPs involved within DCEP. Healthcare programmes that have a person-
36 centred focus enable access and ongoing attendance. It does, however, require HCPs
37 to practise in a nuanced person-centred manner, and as staff turnover is frequently
38 high, a programme of continual training is also required. Future programmes may be
39 more viable if delivered closer to where people live and, instead of having a condition-
40 specific approach, could take a more generic approach to cater for people with multiple
41 long-term conditions.
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8 9 **SUPPLEMENTARY FILES**

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11 Supplementary File 1: COREQ-32 reporting checklist. An assessment of the study
12 reporting against the domains of the COREQ-32 reporting checklist for interviews
13 and focus groups. (.pdf)
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19 Supplementary File 2: First stage of analysis (Consolidated Framework for
20 Implementation Research - CFIR) with illustrative quotes (.pdf)
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24 25 26 27 **ACKNOWLEDGMENTS**

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31 contributed to the study and to the Clinical Advisory Group and lay advisors.
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35 36 37 38 **CONTRIBUTORSHIP STATEMENT**

39
40 Conceptualization LH, CH, TSt, RM, TSu, FDN, PJ, ARG, JM, DK; Methodology TSt,
41 LH, AW; Formal analysis AW, LH, TSt; Writing – Original draft AW, LH, TSt; Writing –
42 review and editing All authors; Funding acquisition LH wrote the grant application with
43 input from CH, TSt, RM, TSu, FDN, PJ, ARG, JM, DK; All authors read and approved
44 the final manuscript.
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51 52 53 54 55 **COMPETING INTERESTS**

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58 All authors declare that they have no competing interests.
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ETHICS APPROVAL

Ethical approval for the DCEP trial and evaluation was obtained from the Health and Disability Ethics Committee, Ministry of Health (HDEC17/CEN/241/AM01). All participants provided written, signed consent to participate.

DATA SHARING STATEMENT

Full de-identified interview transcripts will not be shared. Informed consent, in line with the approving ethics committee, only allows for the use of de-identified extracts within research reporting and writing, in order to maintain the privacy of participants based in a defined regional area and population, thus making their identification with full transcripts more likely.

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COREQ (CONsolidated criteria for REporting Qualitative research) Checklist

A checklist of items that should be included in reports of qualitative research. You must report the page number in your manuscript where you consider each of the items listed in this checklist. If you have not included this information, either revise your manuscript accordingly before submitting or note N/A.

Topic	Item No.	Guide Questions/Description	Reported on Page No.
Domain 1: Research team and reflexivity			
<i>Personal characteristics</i>			
Interviewer/facilitator	1	Which author/s conducted the interview or focus group?	
Credentials	2	What were the researcher's credentials? E.g. PhD, MD	
Occupation	3	What was their occupation at the time of the study?	
Gender	4	Was the researcher male or female?	
Experience and training	5	What experience or training did the researcher have?	
<i>Relationship with participants</i>			
Relationship established	6	Was a relationship established prior to study commencement?	
Participant knowledge of the interviewer	7	What did the participants know about the researcher? e.g. personal goals, reasons for doing the research	
Interviewer characteristics	8	What characteristics were reported about the interviewer/facilitator? e.g. Bias, assumptions, reasons and interests in the research topic	
Domain 2: Study design			
<i>Theoretical framework</i>			
Methodological orientation and Theory	9	What methodological orientation was stated to underpin the study? e.g. grounded theory, discourse analysis, ethnography, phenomenology, content analysis	
<i>Participant selection</i>			
Sampling	10	How were participants selected? e.g. purposive, convenience, consecutive, snowball	
Method of approach	11	How were participants approached? e.g. face-to-face, telephone, mail, email	
Sample size	12	How many participants were in the study?	
Non-participation	13	How many people refused to participate or dropped out? Reasons?	
<i>Setting</i>			
Setting of data collection	14	Where was the data collected? e.g. home, clinic, workplace	
Presence of non-participants	15	Was anyone else present besides the participants and researchers?	
Description of sample	16	What are the important characteristics of the sample? e.g. demographic data, date	
<i>Data collection</i>			
Interview guide	17	Were questions, prompts, guides provided by the authors? Was it pilot tested?	
Repeat interviews	18	Were repeat interviews carried out? If yes, how many?	
Audio/visual recording	19	Did the research use audio or visual recording to collect the data?	
Field notes	20	Were field notes made during and/or after the interview or focus group?	
Duration	21	What was the duration of the interviews or focus group?	
Data saturation	22	Was data saturation discussed?	
Transcripts returned	23	Were transcripts returned to participants for comment and/or	

Topic	Item No.	Guide Questions/Description	Reported on Page No.
		correction?	
Domain 3: analysis and findings			
<i>Data analysis</i>			
Number of data coders	24	How many data coders coded the data?	
Description of the coding tree	25	Did authors provide a description of the coding tree?	
Derivation of themes	26	Were themes identified in advance or derived from the data?	
Software	27	What software, if applicable, was used to manage the data?	
Participant checking	28	Did participants provide feedback on the findings?	
<i>Reporting</i>			
Quotations presented	29	Were participant quotations presented to illustrate the themes/findings? Was each quotation identified? e.g. participant number	
Data and findings consistent	30	Was there consistency between the data presented and the findings?	
Clarity of major themes	31	Were major themes clearly presented in the findings?	
Clarity of minor themes	32	Is there a description of diverse cases or discussion of minor themes?	

Developed from: Tong A, Sainsbury P, Craig J. Consolidated criteria for reporting qualitative research (COREQ): a 32-item checklist for interviews and focus groups. *International Journal for Quality in Health Care*. 2007. Volume 19, Number 6: pp. 349 – 357

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SUPPLEMENTARY FILE 2

First stage of analysis (Consolidated Framework for Implementation Research) with illustrative quotes

Domain (constructs)	
The intervention characteristics	Illustrative quote
<p><u>HCP: Community of practice</u> (Relative advantage)</p>	<p>Well it's fantastic. and also it's, you know, obviously a great social, a lot of people with these conditions feel quite isolated so a programme like this gives them a chance to interact with other people and I think sometimes they learn a lot more from people they're with than people like me. They know what it's like living with their conditions and they can share their experiences.</p>
<p><u>Attendees: Supportive intervention</u> (Evidence strength & quality)</p>	<p>I didn't know anybody else, um, but we were all of similar age and we were all in the same boat and I think that was probably a big part. Previously you felt as if you were on your own with your diabetes. But here we all were and we were all quite open about things like um, you know medication, the exercise part, I went in there thinking well I am here for exercise, so for the three quarters of an hour we were to exercise I made a really good attempt. and I did enjoy that. ... And we were all, I think by the end of the programme to, one of the biggest benefits was actually, the comradery that you had with everybody all you know, hi [attendee name] how are you today and you were the same, kind of got to know people a bit and thoroughly enjoyed that. And that probably was um, took me going back, [attendee name] and I kept us going back on the maintenance programme. (A324)</p>
<p><u>HCP: A valuable resource</u> (Relative advantage; Design quality & packaging; Cost)</p>	<p>I think, personally, really important. I think it's something that could grow and develop and be a real resource.</p> <p>I think it's amazing. I think it's fantastic. We really refer an awful lot of people to the DCEP just simply because I feel really confident because I know the expertise that are there, so that's always a great starting point. If you refer people you need to be confident that they're going to be in good hands. Obviously, particularly if they've got diabetes and other health related problems, that they are going to be cared for and put through a programme that's specially designed for them and that's really, really, really valuable, I think. The other thing that it is, there is no cost apart from the donation which is really valuable as well and just being able to refer people to a community service.</p>
<p><u>Attendees: Acceptable and valued</u> (Evidence strength & quality)</p>	<p>Well if it was, if you know doctors could refer people that are border line diabetic or people that are type 2 and have ended up on insulin and it was just a good way to you know, find out about things. Because if you just go for one day, you know all those questions, but over 12 weeks there is different things that crop up that you are able to ask. So just on one day you might not think of everything, but with 12 weeks, yeah. And like with having like the dietician and the</p>

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	<p>pharmacists and all those different people, you know, you kind of thought of something and other people asks questions as well, and you think, oh yeah, I had been wondering about that. (A920)</p> <p>So it's been a um, yeh it's been a tough couple of years but for us the DCEP has been an important part, a positive part. It's been a real support in terms, as I say both a health and psychical fitness point of view. So we're very grateful for the programme and we found it really worthwhile.</p>
<p><u>HCP: Perhaps too social</u>, and thus not enough exercise.</p> <p>(Relative advantage)</p>	<p>I've had a couple of patients that have come in, not for the maintenance programme, they've just come to have their blood pressure taken so they haven't done any exercise in the maintenance, and they haven't done anything other than pop in to have their blood pressures taken. actually, some of them don't even exercise.</p> <p>They come to the class, and that's the big thing. They come to class to socialise, and the exercise is like a side issue. And for the exercise purists, this is challenging. And I'm constantly challenged by this. And I've got to constantly stand by it. And my line will go something like, typically, you're working with the sedentary population, who do next to no physical activity, who will get the maximum bang for buck from going doing no physical activity to doing some physical activity. So, if they're motivated to come because they feel comfortable and not judged, and accepted, and they can just do what they can manage, then that's more important than anything else.</p>
<p><u>Attendees: Not enough exercise</u></p> <p>(Adaptability)</p>	<p>The maintenance class, the only thing I'm finding, this is the only negative I have about the whole thing, alright? You know how we used to just do forty minutes to forty-five minutes of exercise? And it was fine. Well, when I went to the maintenance class and you can do it an hour, and I want to do the hour, there's not enough variety to be able to do to fill in the hour. I started to find I was getting a bit bored. you have to keep looking to see if the machine you wanted was free so that you, you know or go to something else. (A238)</p> <p>One lady, all she did was walk around a couple of laps and then sat down for the rest of the time and talked and all that. It was um, a get out of home activity for her and she did you know a few wee laps and that was it for her. You know, that was better than sitting at home just doing nothing, that was her exercise you know, it was catered for everybody. It really was. (A887)</p>
<p><u>Attendees: Tailored person-centred approach</u></p> <p>(Adaptability; Design quality & packaging)</p>	<p>There's a number of things I can't do because of my hip. My hip's down to bone on bone so it's kind of discomfort. I'm on fairly high levels of pain relief um, and I take a whole bottle of [pain medication] to get here. So, yes, they've helped me and pointed me in the right direction to give me the strengths in the areas I will need to have it when I come out of theatre and move onto stage, the next stage in life. (A205)</p>

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	<p>I think it makes you more comfortable the fact that you've got the nurse there and the physio there. Like um, for me, um, like having them there to guide you and support you ... show you like, [Physio] would show me like she would sort of challenge me, like um, and she's like "Oh, I think that's too easy for you. So we're going to do this." And I was like... "Oh, do we have, you know, do we have to?" And she's like, "You're doing that too easy, we're going to do this." (A238)</p> <p>I am 13 months out of a triple bypass ah so I have a few issues with my chest. So [Physio] has been working with me to exercise and strengthen chest muscles. And this is something that would never have happened if I hadn't had been involved in this, you know. (A373)</p>
<p>HCP: Importance of relationships and communication</p> <p>(Relative advantage)</p>	<p>It is better in terms of relationship building if we're there doing the exercises with them... I definitely think there's advantages to working amongst the people ... for building rapport and trust. My first session I went to, I rolled up in my work gear, and they were all, like, "where's your gears?" And, so, for my second session, I was, like, "right, well, I'm coming prepared then." "I'm coming in my lycra"! "Activate your tights." Then it just, kind of, it felt a bit more comfortable, um, in terms of... they just seemed to be a bit more... relaxed.</p> <p>I try and engage with people, like um, like I try and engage with everyone to start with and I make time to block out, so what I tend to do is when people are you know doing their thing I'll walk around and chat and I'll do that connection so I am working on a kind of personal connection, not just a I'm your physio kind of connection but actually kind of finding out a bit about them and oh you know, "What do you do," and this, that, I'll share a little bit about myself and so I sort of engage them from there and then I tend to like, particularly the bikes, when the bikes are together and things like that, you end up having a conversation with two people at the same time and they end up talking and you kind of move on [laughs].</p>
<p>Attendees: Social atmosphere</p> <p>(Evidence strength & quality; Relative advantage)</p>	<p>I have quite enjoyed it, um, we have had a really good mix of a good bunch of ah people in it, and I thought, like Lena has been wonderful and so have the nursed that have come along. So, it has really been good that way. And, I have enjoyed most, most of the um, classes that I have afterwards, I have learnt a hell of a lot more from that. ... you spend three quarter of an hour each lesson on a particular subject and you have got interaction of the other people so it is really good. (A373)</p> <p>Do I enjoy it here? I love it. Are the people great? Fantastic. And the group is fantastic, we've made new friends um, and they're all respective of one another, but they all have gas and laugh and joke amongst themselves. The majority are women, but that means absolutely nothing, it</p>

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	<p>just means that they drew that envelope. It could've gone the other way just as easy. Do I have any, no I have no regrets. Am I going to carry on? Hell yeah! (A205)</p>
<p>Attendees: Unpretentious environment (Adaptability)</p>	<p>I think um, family inclusion is good, um, especially like the meal preparations and all that sort of thing and why it is important to go for a walk after dinner and how it is nice if you can have someone walking with you, and all that sort of thing. Um, so I think that is really beneficial to have um, a significant other as part of the programme. (A639)</p> <p>I brought hubby along when he had a week off. I said come along, so he came along and did a few exercises and listened, it was a good talk that day and he gained valuable information, that day, through diet and all that. (A887)</p> <p>And, you know, I mean, we don't, it's like, when people go to the gym, they've got all the flash gears on, you know, just to be looked at, that's what I think. We can go there how we are, we're taken how we are, and get on with it. which is good, you know, those are the sorts of people you want, and they don't use big, like, um, flash words. They use language that we understand. (A21)</p> <p>Encouragement, you know talking amongst yourself. A lot of humour quite a bit of hilarity you know. Oh, we have had some good laughs, yeah. And that, I guess that was one of the reasons why we kept going back to, um, because we had some laughs and because we were comfortable. (A639)</p>
<p>Attendees: Beneficial (Evidence strength & quality; Relative advantage)</p>	<p>I have quite enjoyed it, um, we have had a really good mix of a good bunch of ah people in it, and I thought, like [Physio] has been wonderful and so have the nurses that have come along. So, it has really been good that way. And, I have enjoyed most, most of the um, classes that I have afterwards, I have learnt a hell of a lot more from that. In fact, I would say that I have learnt more from that, I did the Desmond ah, course and ah, I have found this more beneficial than the Desmond. The Desmond was too much to try and squeeze in over a six-hour period, you know and to try and take in all the information that they give you in such a short time it is really hard. Whereas where you spend three quarters of an hour each lesson on a particular subject and you have got interaction of the other people so it is really good. (A373)</p> <p>Well, I can just show you. Like, if you start at my book. Like you see, my, on the 2nd of the 4th, my blood pressure was 160 over 190 which is quite high. ... And then, as we kept going down, it went down to 140 over 90..... And then it went to 132 over 82. Um and then towards the end, like I was getting 124 over 82. Ah, my blood sugars range between 8.4 and 4.7. ... And like when I, I got my certificate up on the bookshelf up there, and when I got it, I came home and put it on Facebook and all the um, I got all these comments about it and everything. (A238)</p>

<p>Attendees: Advice confusion</p> <p>(Design quality & packaging)</p>	<p>I got really confused about what is right and what is not right. I had started on a diet for control management of diabetes and it was working for me and they were telling me something different. They were telling me about whole grain breads and pastas were ok and rice and all that, and yet in my diet I had none of that. (A639)</p>
<p>Attendees: Costs</p> <p>(Evidence strength & quality; Relative advantage; Design quality & packaging; Cost)</p>	<p>I really liked the venue and I was quite surprised um, about the equipment that was available. So, walking into the [venue] where I had been several times before for different things and seeing it all set up where there is a gym was quite cool. ... I like working out and I like doing that and I even like it better when I am doing it for free. (A639)</p> <p>It is unique because it is an exercise programme that doesn't cost us anything, it is very local. Um, it is utilising a business locally. And it is free. (A887)</p> <p>I enjoyed it. I'm not an exercise... I'm an active person, but I have to be doing something constructive, like shifting the sheep or doing something like that. Whereas, um, to go, say, to the gym... and that's the other thing, gyms are so expensive to go to, and when you're on a pension or something like that, um, yeah, it's one of those things that get left behind. The fact that it was free, it was good. (A280)</p> <p>... because of the cost of petrol and everything, twice a week getting to the other side of town is um. ... It is a bit too much. (A159)</p> <p>(Interviewer: Yeah, like if you had to pay for it.) I probably wouldn't go then. Yeah, I don't think, there would be a handful of people that were there wouldn't be able to afford that either. Yeah. A couple of them on their, well that is only my opinion of looking at them, I don't think they would come if there was a fee to it. (A639)</p>
<p>HCP: Timing of the classes</p> <p>(Adaptability)</p>	<p>The middle of day obviously excludes a large portion of people from being able to participate. Um, and it makes it exponentially more complicated. But, having something that's available as, like, an after-work type option... you know, some of the patients are working 2 jobs. ... type 2 diabetic working, like you say, more than one job, limits their exercise opportunities, plus they're stressed, probably eating at their desk and not eating great.</p> <p>I think that having that availability, that flex, I think yeh definitely long term going forward recognising that people who are working get diabetes. (HCP)</p>
<p>Attendees: Timing of the classes</p>	<p>I don't know. I think basically it depends on where people are in their lives. I mean I was lucky in that I'm retired, so I took retirement early so as a result of that I made a point of using that as</p>

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<p>(Adaptability)</p>	<p>part of my physical exercise programme, to actually take part. I think a lot of the people that were there were working people. And so I think it was harder for them to actually, to come once the actual programme started and I think it's, while they could probably get it off for 12 weeks, having it, continuing that over a year period probably became a little bit more difficult. So yeh, so my, yeh, my feelings are that it probably was the fact that some are working. Some of them, I have seen some of them who will be in the group doing exercise, like walking out and about. So some of them obviously have carried on their exercise but they haven't obviously wanted to do it in an organised setting, that's down for a particular time of the week. And so it gives them a bit more flexibility if they're doing it themselves, so that would probably be my take on it.</p>
<p>HCP: Good venues (Complexity)</p>	<p>Community halls, and went to scout halls, in the end. And... things that were important to look for were: access, as in accessible for, sometimes, older, frailer people with physical disabilities; good parking, ideally, free parking, so you didn't have to search for 10 minutes to find a park or pay for expensive parking, trying to break down the barriers, any barriers to access; and maybe in a good location, as in, um, closer to the high-needs communities where we wanted to work in, with the people with a high incidence of type 2 diabetes. And then it was a venue that was big enough to house up to 25 people, with bathroom, kitchen... a sound system or something like that. Yep, affordable. And, um, but also, that the exercise equipment... 'cause we've got some exercycles on wheels, some mats, some benches-type things or steps, and some rowing machines. Which are all portable but require storage space. So, not only did the venue need to be able to fit that exercise equipment in, if it was a multi-purpose, it needed to have a storage space where you could store that space also.</p>
<p>HCP: Importance of relationships and communication (Relative advantage)</p>	<p>It is better in terms of relationship building if we're there doing the exercises with them... I definitely think there's advantages to working amongst the people ... for building rapport and trust. My first session I went to, I rolled up in my work gear, and they were all, like, "where's your gears?" And, so, for my second session, I was, like, "right, well, I'm coming prepared then." "I'm coming in my lycra!" "Activate your tights." Then it just, kind of, it felt a bit more comfortable, um, in terms of... they just seemed to be a bit more... relaxed.</p> <p>I try and engage with people, like um, like I try and engage with everyone to start with and I make time to block out, so what I tend to do is when people are you know doing their thing I'll walk around and chat and I'll do that connection so I am working on a kind of personal connection, not just a I'm your physio kind of connection but actually kind of finding out a bit about them and oh you know, "What do you do," and this, that, I'll share a little bit about myself and so I sort of engage them from there and then I tend to like, particularly the bikes, when the bikes are together and things like that, you end up</p>

	<p>having a conversation with two people at the same time and they end up taking and you kind of move on [laughs].</p>
<p>Outer setting</p>	
<p>HCP: Ongoing funding (External policy & incentives)</p>	<p>Well, I think, I think, from an investment point of view, you cannot underestimate the investment in preventative kind of work. It needs, you know, these patients, so, even the social aspect of them being engaged socially has to have good patient outcomes. And benefits. And then, um, doing an exercise programme in a supported way, it has to make a difference to people's kind of, where they're heading and what they're doing with their long-term condition. So, yeah, I think it's a valuable investment to make. Um, just that, who's going to make that investment and where does that sit? And that's why I think it needs to be a collaborative, kind of, community investment. Because no, our health system here is strapped financially. (HCP)</p> <p>There is going to be no shortage of people that will benefit for the foreseeable future. Um, so, I think there needs to be options like this. Like, it's kind of a step up from Green Prescriptions. It's a more costly intervention, absolutely. But the cost of managing people with multiple long-term conditions as they age just exponentially goes real high. (HCP)</p>
<p>HCP: Engagement with communities (Patient needs & resources)</p>	<p>Just around engagement with the community, and how you approach community, especially Māori community, rather than approach Māori participants. It's not a usual programme, but it's great to see that. They've had a good focus. It's, it, and I've also seen benefit..., and I like the idea of that, some of the programme, they're inviting whānau too. So, it's not just about one patient with diabetes, it's about a whānau, can go too. It's a no-brainer. (HCP)</p>
<p>Inner setting</p>	
<p>HCP: Importance of administration (Networks and communications)</p>	<p>And the same like thinking about what else is going on in the community so we had a number, particularly in this last group we had quite a lot of people that were involved in outdoor bowls and once outdoor bowls season clicked in, our numbers went down [laughs] it's on same day so yeh having a little think about what else is in the community, what else that might those people be involved in as well I guess, and that's going to be really hard to work around from a bigger group perspective. I think if you had a bigger group it probably wouldn't be that noticeable but um, certainly if you're in a smaller community, having a think about what else are those people involved in because with timing those groups around that. Um access and stuff here was fine. Like parking was really easy, everyone was like you can park straight outside the door, yeh it was good. Bus stop not that far away. (HCP)</p>

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<p>HCP: Issues with training</p> <p>(Implementation climate: Learning climate)</p>	<p>Just going over the motivational interviewing, lots of practical sort of stuff like we did the other day would've been good and where we got to practice it on, you know pair up and practice doing that discussion</p> <p>Like looking at blood sugar levels if you're at 17 then it's actually a little bit risky to exercise ... yeh just that safety stuff. Um and just kind of having that team, let's the whole team know that this is when it's unsafe or this is what we do so kind of know 'cause like there was a couple of times we had, particularly the first group we had a lady who threw some really high blood pressures and so we had this kind of team management approach around her, you know? "You're not allowed to exercise until you go get your blood pressure done," and then the nurse would sort of say yes or no.</p> <p>Yeh, is there anything else that you feel is important to mention about the programme going forward like you know, this is going to be written up into a package to that anyone should be able to read and be able to roll out in any community. Like cultural appropriateness or something we haven't talked about, and health literacy and talking to people that maybe don't have the same language around health issues.</p>
<p>HCP: Nursing staff changeover was particularly high</p> <p>(Implementation climate: Goals & feedback; Available resources)</p>	<p>I think from a staffing perspective again just having the ability for people to take leave 'cause you know like we have had pretty much the year and a half of just nonstop and apart from that break over Christmas it's, we've been here every week and I think ongoing that would be... I think you need more than just the two people that are running it, I think you need to have a bit of a team.</p> <p>Mainly, that is probably due to our kind of staff turnover. And keeping the communication flowing when there's new staff, um, between the research project, us and, um, trying to orientate new staff members to the programme, the intention and what it's doing, and how it, kind of, the operational components of what they need to do.</p>
<p>HCP: Attributes and skills of staff</p> <p>(Culture)</p>	<p>Partnership acceptance, compassion are, ultimately, helpfulness. How can I work with you to be helpful? Um, and so, that attitude was core. Non-judgemental, accepting, come from that place and that space because too many people don't necessarily have, unfortunately, healthcare experiences that they really enjoy. Particularly people with multiple, long-term health conditions. They see numerous healthcare professionals, numerous times, and are told, "do this, do this, and do this." And not many people are particularly good at 'doing this, doing this, doing this', hence they keep on presenting with continuously deteriorating health concerns. So, it's trying to develop trusting, meaningful relationships with these people. Don't judge them, accept them. And, actually, often, they open up to you a bit more. And you can find out more, what's actually going on with them.</p>

	Certainly someone who can engage easier with people is quite important in this space where you've got all these random people that don't know each other and you need to engage with them and then try and get them to engage with each other, it's um, I think that's quite key to how it runs as well.
<u>Attendees: Good staff</u> (Culture)	I think it is fantastic. I think it should be, you can't make exercise compulsory, we are adults we won't be dictated to. Therefore, it should be encouraged to the maximum. It takes a special type of person to lead it and I think Lena and Michelle were wonderful. Um, there will be other people out there that are of a similar approach as they are, they have, I think their term is bedside manner. They have got a fantastic approach and way with people. They never at any point and time made you feel a lesser person or embarrassed or, I don't know what I am looking for, but there was nothing that they ever said that put you down in any shape or form. They were full of encouragement all the way. (A205)
Characteristics of individuals	
<u>Attendees: DCEP enhanced attendees' self-efficacy</u> (Self-efficacy)	<p>But, as it moved on you know, we walked in and straight away we were over to get our blood pressure done. We are lining up there, we want our blood pressure done before we go ahead and do any exercise, so that we can, well, so that I can see how it is going and all that, you, know from day to day Um, I always check my labels when I buy food, I always do. I take so long at the supermarket; it drives him nuts. (A887)</p> <p>And I take, I took my um, notebook to the doctor's appointment that I went to and showed him...everything. And I'll take it to, like the dietician and stuff like that just to, so they can see what, what's been happening over the last few months. 'Cos I've got it all there on paper. (A238)</p> <p>But something the programme has encouraged me to do and I do it almost every day is actually to before I have any um, cup of tea, or first thing in the morning before I have anything, I will sit down and do my blood sugar test, which I haven't been doing very regularly before Since we started the programme, um, we have actually purchased exercise equipment, we brought um, the rower and the exercycle and mini trampoline and so we actually are using those at home. (A324)</p>
<u>Attendees: Reduced anxiety</u> (Individual stage of change)	The first day of the programme I was probably a little bit anxious about it. Like not sure what you were going to, what was going to happen or what you were going, or what it was going to be like? And what, and what the people were going to be like. Because it's a, it's a big thing to put yourself into that sort of ... situation. Um, and but then, as the, as the weeks went on, you just

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	got more comfortable with everybody. With [physiotherapist], with the people, with yourself. (A238)
HCP: Healthcare professional	

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