Prospective assessing metabolic abnormalities, lifestyle and dietary pattern in a Chinese population with heart failure: the MALD-HF study protocol

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ABSTRACT
Introduction The evidence on predictive value of lifestyle behaviours and dietary pattern on the prognosis of heart failure (HF) is limited. Our aim is to identify these factors in the setting of secondary prevention of HF.

Methods and analysis The Metabolic Abnormalities, Lifestyle and Dietary Pattern in Heart Failure study is an ongoing, prospective cohort, single-centre study that aims to recruit 1500 patients with HF from June 2016 to June 2021. At baseline, each participant completes a questionnaire on demographic characteristics, medical history, lifestyle behaviours, sleep duration and quality, bowel movements and regular diet. Biochemical measurements, blood pressure, carotid ultrasound, echocardiography, electrocardiography and cardiac magnetic resonance are obtained and analysed. Muscle strength is assessed using the handgrip dynamometer and the MicroFet2 hand-held dynamometer. Each patient is followed for 5 years or until the occurrence of death. The primary outcome is a composite of cardiovascular mortality or hospitalisation due to worsening heart failure. The secondary end points are cardiovascular deaths and the hospitalisations due to worsening HF. The incidence of mortality and cardiovascular events is documented biennially.

Ethics and dissemination The study protocol has been approved by the Ethics Committee of the Ruijin Hospital, Shanghai Jiao Tong University School of Medicine, and follows the norms of the World’s Association Declaration of Helsinki. The results of this study will be disseminated in peer-reviewed journals and academic conferences.

Trial registration number NCT03951311.

BACKGROUND
Heart failure (HF), manifested as structural and functional cardiac abnormalities, is an end result of other cardiovascular diseases (CVD). Although pharmacological and device therapies have substantially reduced the death rate of CVD, the prognosis of patients with HF remains poor. Patients with HF are burdened by decreased quality of life, re-hospitalisation and CVD mortality. Unhealthy lifestyle behaviours (eg, tobacco use, heavy alcohol drinking and physical inactivity) and poor diet quality (eg, high intake of sugar-sweetened beverages and red and processed meats) are important risk factors for CVD. Dietary intervention and physical activity are effective in reducing the risk of metabolic diseases and CVD. For instance, vigorous physical activity is helpful to attain blood pressure and glucose target accompanied by commonly used antihypertensive/diabetic drugs. Healthy eating patterns, such as the Mediterranean diet and the Dietary Approaches to Stop Hypertension (DASH) diet, have been recommended to reduce the risk of coronary artery diseases and metabolic abnormalities in the general population.

However, previous studies of the association between these dietary patterns and HF and left ventricular dysfunction yielded mixed results. Constipation, defined as infrequent bowel movements and difficulties
during defecation, is a consequence of unhealthy diet (eg, low fibre diet) and physical inactivity. Constipation is associated with age, diabetes mellitus, hypertension and a lack of exercise, which are risk factors for CVD. A previous study found constipation was associated with the increased risk of HF. However, it is unclear about the contribution of constipation and dietary patterns to the prognosis of HF.

Sarcopenia is characterised by low muscle strength and impaired muscle function. Patients with HF are generally old to very old with limited exercise capacity in daily life; thus, sarcopenia is highly prevalent among patients with HF. Exercise training is considered beneficial to preventing the loss of muscle mass and muscle function. Previous studies found an inverse association of exercise with chronic subclinical myocardial damage and left ventricular hypertrophy. However, other studies found that high intensity interval training was not superior to moderate continuous training in improving left ventricle remodelling or aerobic capacity in patients with HF. Regular exercise training based on standard guidelines. Concomitant conditions is still unclear. We therefore conduct a prospective cohort study to assess the association of metabolic abnormalities, lifestyle behaviours and dietary patterns with HF prognosis.

**PATIENTS AND METHODS**

**Study cohort**

The Metabolic Abnormalities, Lifestyle and Dietary Pattern in Heart Failure (MALD-HF) study is an ongoing prospective cohort study embedded into the Ruijin Hospital (Shanghai, China). Recruitment begins from June 2016 to June 2021. We aim to include a total of 1500 Chinese patients with HF. Participants are recruited consecutively according to the following inclusion criteria: (1) aged 14 years or older; (2) typical symptoms of HF according to the Framingham criteria; (3) left ventricular ejection fraction (LVEF) <50%, demonstrated by echocardiography or cardiac magnetic resonance, which include either patients with mid-range EF (HfEF) or with reduced EF (HFrEF) with relevant structural and functional cardiac changes and/or elevated N-terminal pro-brain B-type natriuretic peptide BNP (pro-BNP) (eg, ≥400 pg/mL); Exclusion criteria include: (1) age <14 years or ≥90 years; (2) pregnancy; (3) cancer with a life expectancy of <1 year; (4) participation in other trials; (5) endocarditis, pericardial diseases or congenital heart diseases; (6) HF secondary to non-cardiac diseases (eg, pulmonary heart disease, infection, infiltration, metabolic derangements, severe anaemia, sepsis and arteriovenous fistula); (7) lack of informed consent and (8) refusal of the drug treatment or intervention recommended by the guidelines.

Each patient with HF is asked to finish a standardised questionnaire about demographic characteristics, medical history, lifestyle behaviours and regular diet. If the patients cannot complete the questionnaire or are unable to respond, their relatives or those who are familiar with their characteristics are accepted as respondents.

The main exposures include food composition, lifestyle behaviours, muscle strength, biological parameters and metabolic factors, defined as shown in table 1. Patients with HF are considered as having a family history of CVD if at least one of their first-degree relatives has that disease. The frequency and amount of tobacco smoked per day and years since smoking cessation are recorded. Furthermore, the information on alcohol consumption includes drinking frequency, type of alcoholic beverage and volume of alcohol consumed on a typical drinking day (figure 1).

**Ethics and dissemination**

**Ethical approval**

The study protocol has been approved by the Ethics Committee of the Ruijin Hospital, Shanghai Jiao Tong University School of Medicine, and follows the norms of the World’s Association Declaration of Helsinki. All patients with HF recruited in the MALD-HF study give their informed consent at the time of enrolment.

**Dissemination**

The results of this study will be disseminated in peer-reviewed journals and academic conferences.

**Sample size estimation**

We use data of previous cohort studies of lifestyle behaviours in patients with chronic HF to determine the sample size. The sample size is calculated with the estimate of an 25.9% mortality in patients with HF without alcohol consumption, the estimate of an 37% mortality in patients with HF without exercise prescription. Assuming a 5% loss to follow-up, a two-sided alpha level of 0.05, we calculate that the study will have >80% power to detect a 20% reduction in mortality with a total number of 1500 patients, using the two-sided Z test. If the sample size cannot be fulfilled during the study time frame, the recruitment period will be extended. Sample size calculations are performed using PASS 15 (NCSS, Kaysville, Utah, USA).

**Left ventricular structure and function**

Left ventricular structure and function (eg, left ventricular mass, end-systolic/diastolic volume, mass-to-volume ratio, stroke volume and ejection fraction) and carotid intima-media thickness are measured using a high-resolution tomographic ultrasound system (ACUSON SC2000, Siemens, Munich, Germany) after admission. The ejection fraction is calculated as the end-systolic volume divided by the end-diastolic volume multiplied by 100%, according to Simpson’s rule. A repeat echocardiography is performed after 12–24 months in participants...
who have received one at baseline. A 12-lead electrocardiography is then used to diagnose arrhythmia.

Assessment of dietary pattern
We ask about lifestyle behaviours and dietary habits in the baseline questionnaire. The dietary pattern is assessed by a self-administered semi-quantitative Food Frequency Questionnaire (FFQ) that has been validated in previous studies. This FFQ includes 14 foods components commonly consumed in China: oils/fats, fruits, vegetables, nuts, legumes, fish and seafood, dairy products, whole grains, sodium, sweets, sweetened beverages, potatoes, meats and eggs. Each participant is asked about the frequency (never, number of times per day, per week, per month or per year) and the amount of each food consumed with the aid of food-size reference photographs. The average intake of each item per day is calculated by multiplying the frequency per day by the amount consumed at each time. The daily energy intake of participants is calculated according to the China Food Composition Database.

Assessment of physical activity
The baseline physical activity status is assessed using the International Physical Activity Questionnaire (IPAQ)-Form previously validated. Each participant is asked about the type, frequency and duration of each activity, such as occupation, transportation, housework and recreational activity per day/week in the past year: vigorous activity (e.g., running, cycling, tennis, callisthenics, aero-bics, swimming or heavy working), moderate activity (e.g., housework or Tai Chi), light activity (e.g., walking) or sedentary activity (e.g., sitting or lying awake). The IPAQ-Form data are converted to metabolic equivalent scores×minutes per week for each type of activity according to the IPAQ guideline for data processing and analysis.
Assessment of bowel movements
Patients with HF are asked to provide information on the parameters of bowel movements (eg, stool frequency, straining during defecations) and use of laxatives. The functional constipation is assessed according to the Rome IV criteria.\(^3^4\)

Assessment of sleeping duration and quality
The information on sleep parameters (eg, sleep duration, sleep quality and snoring) is collected via questionnaires that have been previously used.\(^3^5\) There are six indicators of sleep quality: (1) delayed sleep induction after turning-off the lights; (2) awakening during the night; (3) early final awakening and difficulty getting back to sleep; (4) use of sleeping drugs; (5) sleepiness at daytime as to need a nap; (6) unsatisfactory sleep quality in the morning. We construct a sleeping score based on the six indicators. Each indicator is scored from 0 to 3 (0=never, 1=1–2 times per week, 2=3–4 times per week, 3=≥5 times per week). Snoring is defined in this study as snoring plus breathing stops (>10 s).\(^3^6\) Information on snoring and breathing stop is obtained in the absence of the symptom of dyspnoea or via asking spouses of patients with HF or those who take care of them daily. The frequency and severity of snoring are also noted. A home-sleeping test device (Alice NightOne, Philips, Amsterdam, The Netherlands) is used to determine the presence of sleep apnoea and the duration of apnoea.

Assessment of sarcopenia and muscle strength
Sarcopenia is diagnosed based on the SARC-F questionnaire including five components: strength, assistance with walking, rise from a chair, climbing stairs and falls; the scores range from 0 to 2 points for each component. A score ≥4 is considered as sarcopenia.\(^3^7\) Arm strength is analysed using the handgrip dynamometer, and limb muscle strength is assessed using the MicroFet2 handheld dynamometer that has been verified to be reliable.
for measuring muscle strength. A mean of two measurements is used in each of the arm-strength and limb-strength tests.

Assessment of ankle brachial index and pulse wave velocity
Ankle brachial index (ABI) and pulse wave velocity (PWV) are measured using an automatic device (Colin, Komaki, Japan). Patients with HF receive ABI/PWV measurements by trained nurses in the morning without tea or coffee in a controlled temperature between 22°C and 24°C, following the manufacturer’s recommendations. ABI is calculated by dividing ankle systolic blood pressure (SBP) by the brachial SBP, while PWV is estimated as a proxy of arterial stiffness based on the European Expert Consensus on Arterial Stiffness.

Assessment of biological parameters
Fasting blood samples for biochemical parameters are collected within 24 hours of hospital admission. Blood glucose, lipid profiles (eg, high-density lipoprotein cholesterol, low-density lipoprotein cholesterol, triglycerides and lipoprotein (a)), electrolytes, iron, albumin, creatinine and urine protein are analysed using commercial kits (AU5800; Beckman Coulter, USA). Myocardial enzymes, tumour markers and ferritin are analysed using luminescence immunoassay methods (DXI-800-1; Beckman Coulter). Blood cells (eg, white blood cell, red blood cell or platelets) are analysed using the Unicel DxH 800 Blood Analyzer (Beckman Coulter); NT-pro-BNP is analysed using the Cobs e601 Biochemical Autoanalyzer (Roche, Switzerland); high-sensitivity C reactive protein is measured using the Cobs c311 Biochemical Autoanalyzer (Roche). Inflammatory factors (eg, interleukin (IL)-1β, IL-6, IL-10, tumour necrosis factor-α) are tested by flow cytometry (FACSCanto II; BD Bioscience, USA). The interassay coefficient of all variables is below 10%. Estimated glomerular filtration rate is calculated according to the Chronic Kidney Disease Epidemiology Collaboration equation considering creatinine, sex and age. The single, random, midstream morning urine samples are measured using a urine analyzer for microalbuminuria and urine albumin-creatinine ratio (AU5800; Beckman Coulter). Diabetes mellitus is defined as a fasting blood glucose concentration ≥7.0 mmol/L, a non-fasting glucose ≥11.1 mmol/L or self-reported use of glucose-lowering drugs.

Assessment of clinical parameters
SBP and diastolic blood pressure (DBP) represent the means of three duplicate measures conducted after individuals have been seated quietly for at least 5 min using an electronic sphygmomanometer (HBP-1300, OMRON). Hypertension is defined as SBP ≥140 mm Hg, DBP ≥90 mm Hg or self-reported use of BP-lowering drugs according to the Seventh Joint National Committee. Waist circumference and hip circumference are measured using a tape rule, both to the nearest 0.1 cm in the absence of oedema. Waist-to-hip ratio is calculated by dividing waist circumference in centimetres by hip circumference in centimetres. Height and weight are measured using a calibrated platform scale, to the nearest 0.1 cm and 0.1 kg. The dry-weight body mass index (BMI) is calculated by dividing dry weight in kilograms by the square of height in metres.

Assessment of outcomes
All participants are followed for up to 5 years after the index procedure. The primary outcome is a composite of cardiovascular mortality or hospitalisation due to subjectively and objectively worsening HF. The main cause of death is coded based on International Classification of Diseases-10th Revision. The secondary end points are cardiovascular deaths (sudden death or deaths due to CVD events) and the adjudicated hospitalisations due to worsening HF. An independent committee of experts including three physicians reviews all the death certificates and medical records for adjudicating the death cases and all suspected CVD cases biennially from the index episode, via telephone contacting patients’ family members or reviewing medical records and the Hospital Discharge Register data.

Specifically, myocardial infarction is diagnosed based on cardiac symptoms, positive cardiac biomarkers or electrocardiography. Ischaemic stroke and haemorrhagic stroke are defined as neurological deficits of cerebrovascular cause that last >24 hours or a significant lesion detected by CT or MRI.

Patient and public involvement
No patient will be involved in the protocol design or implementation of the study.

Statistical analysis
The person-time for each patient with HF is accumulated from the finishing date of the baseline survey to date of whichever event comes first: death or termination of follow-up. Baseline characteristics of patients with HF are summarised using mean±SD or medians with IQRs for continuous variables and frequencies or percentages for categorical variables. The HR with 95% CI is analysed by Cox proportional regression, adjusting for baseline characteristics that strongly predict the clinical outcomes (eg, age, sex, lifestyle behaviours, hypertension/blood pressure, diabetes mellitus/glucose, lipid profiles, renal function index, inflammatory biomarkers and N-terminal pro-BNP). The test for interaction between two covariables will be performed by using likelihood ratio test comparing models with and without cross-product term. HF aetiology (eg, dilated cardiomyopathy, hypertrophic cardiomyopathy or ischaemic cardiomyopathy) is prespecified as a stratification factor. As for missing data, the distributions of clinical characteristics are compared in those with complete and incomplete data. The more preferred approach to missing data is multiple imputation. The missing values are replaced by imputed
values selected at random from the predictive distribution based on the observed data by the regression model. In addition, the main competing risks are non-CVD deaths. The cumulative incidence function method and the Fine-Gray subdistribution hazards model are used to estimate the competing hazards for the primary outcome and death from non-CVD causes. Statistical analyses are performed using STATA V.12.0 (StataCorp, College Station, Texas, USA), and a two-sided p value <0.05 is considered statistically significant.

**DISCUSSION**

The MALD-HF study is designed to investigate the predictive value of metabolic abnormalities, lifestyle behaviours and dietary pattern for HF prognosis, because previous studies concerning the effect of lifestyle and diet interventions in the secondary prevention of HF yielded conflicting or obscure results. One of the aims of the MALD-HF study is to fill this knowledge gap by focusing on lifestyle and dietary patterns that may aid the prediction of HF deterioration.

Exercise training has been considered useful for preventing the occurrence of HF. The 2019 American College of Cardiology/American Heart Association guideline on the primary prevention of cardiovascular disease recommended at least 150 min of moderate-intensity physical activity per week or 75 min of vigorous-intensity physical activity per week in the general population. Individuals with severe HF, however, have a lower exercise tolerance than healthy people and more often cannot attain the recommended period and intensity of physical activity. A previous small study (n=242) found that Tai Chi, a low-intensity physical activity derived from China, significantly improved the quality of life but did not confer the change in N-terminal-pro-BNP, SBP and 6 min walking distance in patients with HF, suggesting a necessity for a properly designed exercise training to patients with HF.

The adherence to different dietary patterns may have different influences on the risk of HF. For example, in the Women’s Health Initiative participants with HF (n=3215), the DASH diet scores modestly predicted post-HF mortality while there was a non-significant trend towards an inverse association between the Mediterranean diet scores and post-HF mortality. Caloric restriction improved exercise capacity, metabolic abnormalities and left ventricular function in older people with obesity without HF, but it was controversial in patients with HF. Low-carbohydrate and high-protein diets seemed to improve the functional capacity in patients with HF, but there is no evidence for reduction of CVD events in patients with HF. The dietary pattern of Chinese people is different from that of Western people. For example, Chinese adults consume more highly refined grain, legumes and rapeseed oils, and less milk and olive oil compared with Western people. Our FFQ has been validated for reliability in Chinese people and used for the Chinese national nutrition survey in 2010. The results are expected to reflect the dietary habits of Chinese patients with HF and will contribute the knowledge for the dietary guidance individually designed for them.

Sleep-disordered breathing (eg, apnoea and hypopnoea) is common in patients with HF. It is still unclear whether sleep-disordered breathing is a consequence or causally associated with poor HF prognosis. The evidence on the treatment of obstructive sleep apnoea using positive airway pressure conferring survival benefit is still lacking. We will propose to assess the sleeping quality and apnoea by constructing a sleeping score to provide more information on guidance for lifestyle intervention to improve HF prognosis.

The current study still has several limitations. First, the anticipated sample size is moderate (n=1500), which may limit the power to perform a detailed classification. Second, we do not include patients with preserved EF (HFpEF) in our study because there is lacking precise indicators and objective one-method-fit-all approach for diagnosing HFpEF and the false-positive and false-negative diagnosis cannot be avoided. Patients with increased left ventricular-filling pressure may not have the increased concentrations of BNP and the echocardiographic variables for diagnosing diastolic dysfunction are limited, which challenges the current criteria diagnosing HFpEF in clinical practice. In addition, HFpEF is secondary to heterogeneous pathophysiological phenotypes and affected by these comorbidities (eg, age and obesity), which makes it difficult to identify unique risk factors at play in HFpEF. Further extensive studies investigating population with special HFpEF phenotypes (eg, atrial fibrillation-related HFpEF or obesity-related HFpEF) may help to elucidate the association between lifestyle behaviours/dietary pattern and HFpEF prognosis. Third, this is a single-centre study, and all the assessments of imaging data and blood samples are performed in the same hospital without validation of interinstitutional variation. Thus, we cannot completely exclude the potential bias due to measurements. Fourth, the assessments of dietary pattern, lifestyle behaviours and sarcopenia are self-reported. Although the questionnaire is valid and reliable, the potential recall bias could not be completely removed. Nevertheless, we use a home-sleeping test device and a hand-held dynamometer for the objective measurements of apnoea and muscle strength. Fifth, the findings from our study may not be generalisable to all those excluded herein (eg, right ventricular failure, endocarditis or cardiomyopathy in children).

In summary, the MALD-HF study is an ongoing study recruiting HF survivors with the aim of investigating the association between metabolic abnormalities, lifestyle behaviours, dietary pattern and HF prognosis. The results will improve our understanding of the predictive value of these risk factors in the setting of secondary prevention of HF.
CONTRIBUTORS YJ, HH and ZW conceptualised the study; YJ, OZ and FD designed the analyses; YJ, HH, LL, XG and ZW wrote the manuscript. All authors read and approved the final manuscript.

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Competing interests None declared.

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