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# BMJ Open

## Quantifying the indirect impact of COVID-19 pandemic on utilisation of outpatient and immunisation services in Kenya: An interrupted time series analysis

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3 **Quantifying the indirect impact of COVID-19 pandemic on utilisation of outpatient and**  
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6 **immunisation services in Kenya: An interrupted time series analysis**  
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### 30 **Abstract**

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33 **Objective:** In this study we assess the indirect impact of COVID-19 on utilization of  
34 immunisation and outpatient services in Kenya.  
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38 **Design:** Longitudinal study  
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41 **Setting:** Data were analysed from all healthcare facilities reporting to Kenya's health  
42 information system from January 2018 to March 2021.  
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46 **Exposure of interest:** COVID-19 outbreak and associated interventions  
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49 **Outcome measures:** Monthly attendance to health facilities. We assessed changes in  
50 immunization and various outpatient services nationally.  
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### 54 **Results**

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3 Before the first case of COVID-19 and pursuant intervention measures in March 2020, uptake  
4 of health services was consistent with historical levels. There was significant drops in  
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6 attendance (level changes) in April 2020 for overall outpatient visits for under-fives (50%),  
7  
8 under-fives with pneumonia (43%), overall over-five visits (35%), over-fives with pneumonia  
9  
10 (38%), fourth antenatal care visit (14%), total hypertension (11%), diabetes cases (5%) and  
11  
12 HIV testing (3%). Immunization services, first antenatal care visits, new cases of hypertension  
13  
14 and diabetes were not affected. The post-COVID-19 trend was increasing, with more recent  
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16 data suggesting reversal of effects and health services reverting to expected levels as of  
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18 March 2021.  
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## 26 **Conclusion**

27  
28 COVID-19 pandemic has had varied indirect effects on utilization of health services in Kenya.  
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30 There is need for proactive and targeted interventions to reverse these effects as part of the  
31  
32 pandemic's response to avert non-COVID-19 indirect mortality.  
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39 **Keywords:** COVID-19, SARS-CoV-2, outpatient services, immunization, DHIS2  
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## 58 **Strengths and limitations of this study**

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- This analysis is strengthened by use of a broad set of health services indicators and over a large number of health facilities nationally and a longer time period (39 months) allowing for the adjustment of pre-COVID-19 trends.
  - We have adjusted for factors such as health workers strikes and missing data in the analysis strengthening the validity of the results.
  - Data was analysed across the whole health system in Kenya (both public and private sector) therefore can be used to predict impact in other similar settings.
  - COVID-19 outbreak and associated public health measures were not random. Other concurrent unmeasured factors or shocks could have contributed, however small, to the changes.
  - This study doesn't allow for in-depth evaluation of the specific causes of the trends observed within a qualitative framework because it was purely quantitative.

## Introduction

The novel coronavirus (COVID-19) outbreak was declared a global pandemic by the World Health Organization (WHO) on March 11, 2020. By 6<sup>th</sup> May 2021, 156 million cases and 3.2 million deaths have been reported globally,[1]. Since the first case of COVID-19 was reported in Kenya on 13<sup>th</sup> March 2020, 162,098 cases and 2850 deaths were reported by 6<sup>th</sup> May 2021,[1]. The government, in attempt to control the spread of the pandemic, instituted a raft of interventions. Consequently, beyond the pandemic's direct impact on the population health, indirect effects due to the control measures, changes in public and clinician behaviour and health system reorganization are likely to manifest in changes to utilisation of essential health services.

The country has experienced three waves of the pandemic,[2]. The first wave peaked in July/August 2020,[3]. During this wave, the government suspended all public gatherings, closed schools and bars, limited restaurants to take-aways, reduced transport capacity , was announced a national dusk-to-dawn curfew, suspended international flights, mandated face masks in public, and the four counties (Nairobi, Mombasa, Kwale and Kilifi) with the highest



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3 number of cases were put under lockdown, with cessation of movement to other neighbouring  
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6 countries. Some of these restrictions were relaxed between July 6<sup>th</sup> and 1<sup>st</sup> August 2020.  
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10 During the second wave, which peaked in November 2020,[3], there was phased reopening  
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13 of schools and universities and suspension of political gatherings. In January 4<sup>th</sup>, 2021, all  
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16 schools were reopened. In March 5<sup>th</sup>2021, the COVID-19 vaccination campaign targeting 1.02  
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19 million health workers and those above the age of 58 years was launched,[4]. In March 2021,  
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22 the country experienced the third wave with the highest daily cases recorded since the start  
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25 of pandemic.  
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29 The public health interventions are expected to have economic and social impacts such as  
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32 reductions in manufacturing, access to employment and basic necessities,[5, 6].  
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35 Consequently, access and utilisation of essential health services are likely to be affected,[7].  
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39 Early modelled predictions showed reductions in utilization of health services,[8, 9]. In addition,  
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42 studies during previous epidemics in sub-Saharan Africa reported a reduction in utilisation of  
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45 essential health services during and after outbreaks,[10-14]. Various population groups are  
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48 likely to be affected differently, with children and women at a higher risk,[10, 15]. These  
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51 interruptions in health service utilisation are raising concerns of increased morbidity and  
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54 mortality for non-COVID-19 illnesses and especially for childcare services,[9]. Although recent  
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57 studies have reported variable impact of the pandemic on various health services, the impact  
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3 on administration of vaccines and monitoring a broad set of essential services over a longer -  
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6 observation period after the pandemic was announced by WHO has not been evaluated  
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9 rigorously in Kenya,[16-19].  
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13 Using the Kenya's routine health information system implemented through the District Health  
14  
15 Information Software version 2 (DHIS 2), a database where all health facilities in Kenya are  
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17 expected to report services they offered in a given month, this study aimed to assess the  
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19 indirect impact of COVID-19 on utilisation of varied basic essential health services nationally.  
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## 27 **Methods**

### 28 *Timeline of events*

#### 29 **Pre-COVID-19 measures**

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38 Two months before the first case of COVID-19 was reported in Kenya, the government  
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40 increased preparedness towards the pandemic. These included installation of surveillance  
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42 systems to detect suspected COVID-19 cases at border points, additional medical staff at  
43  
44 international airports and ports, in-country capacity to test and isolate COVID-19 cases,  
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48 sensitisation of healthcare workers on dealing with COVID-19 cases and establishment of a  
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54 National Emergency Response Committee.  
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## Post-COVID-19 control measures

The government started the introduction of interventions to combat COVID-19 spread on 13<sup>th</sup> March 2020. These included suspension of public gatherings and events, closing of schools, international travel restrictions, fumigation and disinfection of markets, closure of bars and restaurants, suspension of attendance to places of worship, limit of people attending weddings and funerals and a national dust-to-dawn curfew. The month of April 2020 saw cessation of movement in and out of four counties with highest number of COVID-19 cases, restaurants were opened under strict guidelines of social distancing, handwashing and temperature checks. During the month of May 2020, cessation of movement into and out of Kenya through Tanzania and Somalia borders was affected while in June 2020 the government launched home-based care for patients with COVID-19 infection. In July 2020, certain measures were relaxed: cessation of movement into the four counties was lifted, phased re-opening of places of worship, and resumption of local air travel. In August 2020, international air travel resumed and in September 2020, operation of bars resumed. This was followed by phased re-opening of schools and lifting of suspension on political gatherings in October 2020 and November 2020 respectively. Between December 2020 to February 2021 there was a national health

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3 workers strike triggered by demands for better working conditions such as provision of  
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6 adequate Personal Protective Equipment (PPE), enhanced risk allowances and a health  
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9 insurance cover. Although the length of the strike varied by health facilities and cadre of health  
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11  
12 workers, we couldn't obtain a database which tracks strikes nationally, and we therefore  
13  
14  
15 assumed most of the health facilities were on strike during the whole period. All schools re-  
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18 opened in January 2021. The timeline of events is presented in Figure 1.  
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24 -----Figure 1-----  
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### Data

We extracted monthly data from DHIS 2 for the period January 2018 to March 2021 on total  
outpatient visits (under and over-fives), the number of hypertension and diabetes cases and  
HIV tests performed, doses of immunisation antigens administered and antenatal care visits  
(the first (ANC 1) and fourth (ANC 4) visits). A description of the indicators is presented in  
Table 1.

-----Table 1-----

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3 Data were not available for the period January 2018 to September 2018 for hypertension and  
4  
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6 diabetes new cases. For both indicators and for relevant periods data were excluded from the  
7  
8  
9 analysis. We chose 2018 as a starting point because of prolonged health care worker strikes  
10  
11  
12 in 2017 which affected health services provision,[20] and consequently reporting. Data were  
13  
14  
15 cleaned to remove duplicated health facilities. Extreme outliers, defined as values that are  
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18 more than 3 standard deviations from the mean of reported values for a given health  
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21 facility,[21, 22], were identified, investigated and treated as missing. For each health facility,  
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23  
24 we obtained the administrative units, level of the facility (Level 2: Dispensaries with outpatient  
25  
26 services only, Level 3: Comprehensive primary health care facilities, Level 4: primary referral  
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28 hospitals, Level 5: Secondary referral hospitals and Level 6: national teaching and referral  
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30 hospitals) and whether the health facility is private or public.  
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### 39 **Statistical analysis**

#### 41 42 43 **Handling missing data**

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46 To adjust for incompleteness in reporting, multiple imputation was performed,[23-25]. Missing  
47  
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49 monthly values were imputed using a mixed effects model in a joint modelling framework,[26,  
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51  
52 27]. Health facility ownership (public or private), level of health facility, time (month and year)  
53  
54  
55 and COVID-19 binary indicator (0 – months before pandemic and 1 – months post pandemic)  
56  
57  
58 were used as covariates with the health facility as a clustering variable. MI was performed for  
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3 health facilities with more than 30% of months reported (at least 12 months reported) to reduce  
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6 uncertainty in imputed values and ensure generalizability of the estimates. Additionally,  
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9 through a simulation study we found MI performance and efficiency was best when imputing  
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12 for health facilities with more than 30% of months reported. The number of health facilities  
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15 analysed is presented in Additional File 1 SI Table 1.  
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## 20 **Interrupted time series analysis**

### 21 *Exploratory analyses*

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24 Data were aggregated monthly for all health facilities. Trends were plotted to visualise changes  
25  
26  
27 in utilisation of health services. Statistical process control (SPC) charts with the 2018-2019  
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29  
30 average as a baseline were used to identify significant shifts in monthly values for 2020-2021.  
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33 Values that are more than 3 standard deviations from the mean are considered significant  
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35  
36 shifts and were carried forward for interrupted time series analysis [28]. Multiple change point  
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39 analysis was applied to assess the influence of health worker strike on provision of health  
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42 services.[29, 30].  
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### 50 *Segmented regression*

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53 We conducted interrupted time series analyses using monthly attendance counts for each  
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56 indicator as outcomes. The period running from January 2018 through March 2020 when the  
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3 first case was identified was defined as pre-COVID-19 and April 2020 to March 2021 as  
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6 post-COVID-19. For indicators where changes were observed in SPC analysis, segmented  
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8  
9 regression were performed to model attendance before and after COVID-19 was  
10  
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12 reported,[31, 32]. The following equation specifies the model,[31];  
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$$Y_t = \beta_0 + \beta_1 * time_t + \beta_2 * COVID19_t + \beta_3 * time\ after\ COVID19_t + e_t$$

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20 Where,  $Y_t$  is the attendance in month  $t$ ;  $time$  is a continuous indicator of time in months from  
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23 January 2018;  $COVID19$  is an indicator of time  $t$  occurring before ( $COVID19 = 0$ ) or after (  
24  
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26  $COVID19 = 1$ ) the outbreak, which was implemented at April 2021 in the series; and  
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29  $time\ after\ COVID19$  is a continuous variable of the number of months after COVID-19 at time  
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$t$ . In the model,  $\beta_0$  estimates the baseline level of attendance at time zero;  $\beta_1$  estimates the change in monthly number of visits before COVID-19 (pre-existing trend);  $\beta_2$  estimates the level change immediately after COVID-19 outbreak;  $\beta_3$  estimates the change in the trend after COVID-19, compared with the pre-existing trend. A change in intercept (immediate COVID-19 effect) and change in slope (gradual COVID-19 effect) were hypothesised,[32].

A generalised linear model was applied assuming a Poisson distribution. We fitted both Poisson and Negative binomial models to account for over-dispersion,[32-34]. Model performance was evaluated using the Akaike's information criterion,[35]. Model checking was conducted for autocorrelation using the Durbin-Watson statistic and autoregressive moving

1  
2  
3 average (ARMA) models were fitted for indicators with serial autocorrelation,[36-38].  
4  
5

6 Seasonality was adjusted using Fourier terms,[39]. Results were pooled across the multiple  
7  
8

9 imputed datasets using Rubin's rules,[40]. The negative binomial model, which was adjusted  
10  
11

12 for seasonality and autocorrelation was the best fitting model and it's results are presented in  
13  
14

15  
16 this study.  
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19  
20 As a form of sensitivity analysis, we fitted models excluding months when the national strike  
21  
22

23 occurred and compared estimates with those where data included the strike. We also fitted  
24  
25

26 health-facility level generalised estimating equations to test the impact of varying model  
27  
28

29 assumptions on the primary model estimates and hence evaluate robustness of our  
30  
31

32 results,[32].  
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34

35  
36 Statistical significance was defined as p-values < 0.05. All analyses were performed using R  
37  
38

39 (version 3.6.3).  
40  
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#### 42 43 **Patient and public involvement** 44

45  
46 No patients were involved in this study. We have used secondary aggregated routine health  
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49 information data available online.  
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## Results

### COVID-19 impact

#### -----Figure 2-----

Annual trends show the first antenatal care visits remained unaffected while the fourth visits experienced a downward trend from March 2020. Immunization services remained unaffected with observed spikes in administration of measles vaccines in March 2020. Utilization of outpatient services (overall and due to pneumonia) by under-fives experienced drops after March 2020. Reductions were also experienced in over-fives attendance, hypertension cases and diabetes attendance. HIV testing experienced a gradual decline over the years (Figure 2).

#### -----Figure 3-----

Further, SPC charts confirmed significant reductions (less than 3SD) in ANC 4 starting April 2020. Immunization services remained unaffected during the same period, with significant increase (more than 3SD) in measles vaccination in March 2020. Moreover, significant reductions in under-fives attendance, over-fives attendance and new visits by hypertensive patients were observed starting April 2020 with no significant reductions for HIV testing and diabetes visits (Figure 3). Additionally, utilization of most services reduced the most in

1  
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3  
4 December 2020 coinciding with start of health care workers strike, after which utilization of  
5  
6  
7 most services started to go back to expected levels.  
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9

10 -----Table 2-----  
11

12  
13  
14 We fitted interrupted time series models for indicators that showed significant changes from  
15  
16  
17 the SPC charts. The rate ratios from the model are presented in Table 1. The month-to-month  
18  
19  
20 changes before COVID-19 were generally increasing across all the indicators. There was an  
21  
22  
23 immediate statistically significant reduction in all the indicators post-COVID-19, in the month  
24  
25  
26 immediately after first case, except for ANC 1 and new cases of diabetes and hypertension,  
27  
28  
29 which were unaffected. The statistically significant level changes post-COVID-19 were  
30  
31  
32 outpatient attendance for children under-fives which reduced by 50%, those for outpatients'  
33  
34  
35 over-fives by 35%, under-fives pneumonia outpatients by 43%, over-fives pneumonia  
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37  
38 outpatients by 38%, antenatal care 4<sup>th</sup> visit by 14%, total cases of diabetes by 5%, new cases  
39  
40  
41 of hypertension by 11% and HIV tests by 3%. There was a slight but statistically significant  
42  
43  
44 month-to-month increase in services post-COVID-19 (April 2020 to March 2021) of 5% for  
45  
46  
47 under-fives outpatients attendance, 2% for over-fives outpatients, 4% for under-fives  
48  
49  
50 pneumonia outpatients, 3% for over-fives pneumonia patients and no significant month-to-  
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52  
53 month changes for antenatal care visits, diabetes and hypertension cases. The trends from  
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55  
56 the fitted interrupted time series model are visually represented in Figure 4.  
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-----Figure 4-----

## Sensitivity analyses

Change point analysis showed the health workers' strike, which started in December 2020 had a significant impact on antenatal care 4<sup>th</sup> visits, and no effect on the other indicators (Additional File 3 SI Figure 1). Further, excluding the strike period (December 2020 to February 2021) from the segmented regression models of all indicators evaluated resulted in estimates that are not different from primary model estimates (Additional File 3 SI Table 1). Estimates from the Generalised estimating equations (GEE) models were not different from the primary model indicating robustness of reported estimates (Additional File 3 SI Table 2).

## Discussion

Using DHIS2 health facility level monthly reported outpatient data, we provide evidence of COVID-19 impact on utilisation of basic health services in Kenya. The announcement of the first case of COVID-19 in Kenya in March 2020 and the intervention measures that followed coincided with sharp declines in outpatient and antenatal care fourth visits nationally. By the end of this study, health services are still in the process of returning to pre-COVID-19 levels. However, immunisation services remained unaffected.

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2  
3 Previous studies have found variable impacts on immunisation services,[17, 41, 42]. In two  
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5  
6 studies that evaluated performance of routine immunization on selected indicators in Kenya,  
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8  
9 which used a relatively shorter period and didn't account for missing data, COVID-19 had no  
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11  
12 substantial impact on vaccination coverage, antenatal care first visits and a significant  
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14  
15 increase in measles immunization in March 2020 was reported,[17, 41]. The significant  
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18 increase in measles vaccines in March 2020 was due to increased immunization to make up  
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20  
21 for stock-out of measles vaccines between November 2019 and January 2020,[17]. The  
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24 sustained immunisation levels in the other antigens suggests there were no significant  
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26  
27 disruption to vaccine supply chain resulting from the pandemic, and confirmed by the National  
28  
29  
30 Vaccines and Immunisation Programme (NVIP),[17]. Additionally, where health facilities  
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32  
33 designated as vaccination centres were assigned as COVID-19 isolation centres, the vaccines  
34  
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36 programme moved immunisation services to neighbouring health facilities,[17]. These  
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39 strategies illuminate why immunisation services remained unaffected during the pandemic,  
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41  
42 contrary to earlier predictions of reductions in immunization,[8, 9]. Although not statistically  
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44  
45 significant, the slight reductions in the number of vaccines administered in December 2020  
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47  
48 were likely attributed to the nationwide health worker strike, which led to staff shortages  
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51 consequently affecting administration of the vaccines. These results strengthen previous  
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54 findings with no observable differences in mean monthly number of immunisation and total  
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3 antenatal care visits over a much shorter study period March-June 2020 relative to the same  
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6 period in 2019 in Kenya,[42]. In summary, immunisation services were unaffected likely  
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9 because of a number of reasons; the concerted effort by the NVIP to sustain supply of vaccines  
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12 and unavailability of alternative sources for vaccination outside of the health system.  
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16  
17 There were significant drops in nearly all outpatient services evaluated in this study. Total  
18  
19 outpatient and pneumonia specific outpatient attendance were most affected, with utilization  
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21 of the services dropping by half for under-fives. Moreover, COVID-19 had an impact on ANC  
22  
23 4, total attendance for hypertension and diabetes and HIV testing. Similar findings have been  
24  
25 reported in other low- and middle-income countries,[16, 17, 19, 43-46]. Studies evaluating the  
26  
27 impact of lockdown measures to combat COVID-19 in South Africa observed a substantial  
28  
29 drop in primary healthcare services utilisation,[16, 45]. Significant drops in essential health  
30  
31 services were also experienced following institution of public health measures to combat  
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33 COVID-19 in Kinshasa, Democratic Republic of Congo,[19]. Disruptions in general attendance  
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35 have also been reported in various studies globally,[43, 47-50].  
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49 Various factors could explain the downward trends in specific outpatient services. In a survey  
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51 conducted in Kenya to assess health services utilization during COVID-19, common causes  
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53 reported by respondents include fear of risk of catching coronavirus at health facilities (26%),  
54  
55 reduced incomes affecting ability to meet transport costs and other healthcare related costs  
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3 (17%), shortage of healthcare workers in health facilities (14%), difficulties in accessing health  
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6 facilities due to lockdowns and curfew (14%) and closing of some health facilities (14%),[51].  
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9  
10 The substantial declines for under-fives attendance are likely associated with reduced mixing  
11  
12 due to closure of schools, improved hygiene practices and parents choosing to manage non-  
13  
14 severe illnesses at home. Although attendance for ANC 4 was affected, it is unclear why the  
15  
16 first visits were not affected. Notwithstanding, this might suggest that pregnant women attach  
17  
18 greater importance to the primary ANC visit as has been reported,[52, 53] and hence despite  
19  
20 the prevailing conditions managed to prioritize at least one visit to a health facility. Additionally,  
21  
22 data has suggested deliveries in health facilities were also not affected during the pandemic  
23  
24 (Wambua et al 2021, The indirect impact of COVID-19 pandemic on inpatient admissions in  
25  
26 204 Kenyan hospitals: An interrupted time series analysis), and this likely suggests the  
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28 population of pregnant women remained relatively comfortable to use health services despite  
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30 the pandemic.  
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46 A survey in Ethiopia among diabetic and hypertensive patients reported unavailability,  
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48 unaffordable or increased price of medications and interruptions in follow-up visits were  
49  
50 common barriers to accessing chronic care units in public facilities during the pandemic,[54].  
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55 Reduction in attendance for chronic conditions such as hypertensive cases is a significant  
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57 finding as missing care for these chronic illnesses could lead to further complications and  
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3 susceptibility to severe COVID-19,[55] and increased morbidity and mortality. The gradual  
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6 decline in HIV testing pre- COVID-19 might suggest reduced coverage due to policies geared  
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9 towards targeted testing as opposed to blanket testing,[56]. Additionally increased uptake and  
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12 accessibility to testing in pharmacies implemented in 2017 might be associated with reduced  
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15 testing in health facilities,[57]. Pre-existing challenges in access to health services such as  
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18 poor road network, disruptions in supplies to health facilities, and limited or no capacity for  
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21 domestic production of medical supplies could have compounded the dramatic downward  
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23  
24 trends in utilisation of outpatient services. Additionally, improved hand hygiene and use of face  
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27 masks during the pandemic could have led to reduced risk of other infectious diseases and  
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30 consequently fewer visits to health facilities,[58, 59].  
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### 36 **Strengths and implications of the study**

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39 Although most of the public attention is on control measures of COVID-19, possible health  
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42 consequences from the indirect effects of the measures should not be overlooked. We provide  
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44  
45 a comprehensive understanding of the present situation on utilisation of immunisation and  
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48 outpatient services in Kenya. Although the findings provide short-term estimates on the effect  
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51 of COVID-19 at national level, studies could assess the long-term and differential effects at  
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54 sub-national level. We addressed possible confounders in assessing changes overtime. For  
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56  
57 instance, in line with a recent guide on using routine data to monitor the effects of COVID-19  
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3 by the WHO, we adjusted for missing data which would have affected the validity of the  
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6 comparisons over time,[60]. Additionally, incompleteness may lead to biased estimates and  
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9 strategies to improve data quality in DHIS2 such as investment in better infrastructure,  
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11  
12 supervisory support, formal data quality assurance and human resources could improve  
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14  
15 reporting in Kenyan health facilities,[61, 62]. We also use sensitivity analysis to account for  
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17  
18 any uncertainty in the estimates due to other factors affecting utilisation of services such as  
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21 healthcare workers strikes and health-facility specific variations, which reduced bias and  
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23  
24 improved precision of the estimates.  
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### 33 **Limitations**

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37 In this study, controls were not used to differentiate the impact of COVID-19 from other  
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40 possible causes of the changes as most indicators were indirectly affected by the pandemic.  
41  
42  
43 However, since the drops in utilisation of services coincided with the introduction of COVID-  
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45  
46 19 intervention measures, the changes are attributed to COVID-19.  
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49

### 50 **Conclusion**

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54 In summary, COVID-19 pandemic has had varied indirect effects on utilisation of outpatient  
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57 health services. Although utilisation of immunisation services remained unchanged, there was  
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3 a significant negative impact on outpatient clinic and ANC visits nationally. Total outpatient  
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6 attendances for children under-fives reduced by 50%, under-fives pneumonia presentations  
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9 reduced by 50%, general over-five visits reduced by 35%, over-fives pneumonia reduced by  
10  
11  
12 38%, ANC 4 visits reduced by 14%, total hypertension cases reduced by 11%,total diabetes  
13  
14  
15 cases reduced by 5% and HIV testing by 3%. There is need for proactive and targeted  
16  
17  
18 interventions to avert and reverse these effects in future pandemics. These include strict  
19  
20  
21 implementation of safe practices and infrastructural changes in health facilities to reassure the  
22  
23  
24 public that it's safe to go to health facilities. Other innovative measures such as safe modes  
25  
26  
27 of transport, mobile clinics and supplementary immunisation activities (SIAs) could be  
28  
29  
30 incorporated in the pandemic response to avert any negative effects on utilisation of essential  
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33 health services.  
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### 39 **Declarations**

40  
41  
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### 19 **Contributors**

20  
21  
22 **SW:** Conceptualisation; Data curation; Formal analysis; Investigation; Methodology; Software;  
23  
24  
25 Validation; Visualisation; Writing - original draft. **LM:** Data curation; Formal analysis;  
26  
27  
28 Investigation; Methodology; Software; Validation; Visualisation; Writing - review & editing. **GM:**  
29  
30  
31 Data curation; Investigation; Software; Validation; Visualisation; Writing - review & editing. **AN:**  
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34 Data curation; Formal analysis; Investigation; Software; Validation; Visualisation; Writing -  
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37 review & editing. **JK:** Data curation; Formal analysis; Investigation; Software; Validation;  
38  
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40 Visualisation; Writing - review & editing. **TT:** Data curation; Investigation; Validation; Writing -  
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45  
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47  
48  
49 Investigation; Validation; Funding acquisition; Writing - review & editing.  
50  
51  
52

### 53 **Data availability statement**

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56  
57 Aggregated DHIS2 data is available online with access provided by Ministry  
58  
59  
60

of Health <https://hiskenya.org/dhis-web-commons/security/login.action>.

### Ethics approval and consent to participate

The study does not contain any individual person's data.

### Consent for publication

Not applicable.

### Competing interests

The authors declare that they have no competing interests

### Patient and public involvement

We have used secondary aggregated routine health information data available online and did not directly engage patients.

### Figure and table legend

**Table 1:** Description of indicators evaluated in this study.

**Table 2:** Segmented regression model estimates. Showing rate ratios (R.R.) alongside 95% confidence intervals (CI) and p-values.

**Figure 1:** Daily seven moving average trends of COVID-19 cases in Kenya showing various interventions initiated by the government.

**Figure 2:** Temporal trends in monthly immunisation and outpatient attendance nationally and by year

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3 **Figure 3:** Statistical Process Control chart of immunisation, antenatal care and outpatient  
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5  
6 services. Horizontal dashed lines represent the 3-standard deviation mark.  
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11 **Figure 4:** Fitted lines of segmented regression models for outpatient and antenatal care  
12  
13 attendance. Vertical lines represent the month (March 2020) COVID-19 was announced in  
14  
15 Kenya and as a pandemic by the WHO.  
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### 20 **Supplementary Files**

21  
22  
23 **Supplementary File 1:** Number of health facilities analysed for each indicator including health  
24  
25 facilities excluded for not reporting any month and those with less than 30% of months  
26  
27 reported.  
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33 **Supplementary File 2:** Visual distribution patterns of missing data across all the health facilities  
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35 analysed from DHIS 2  
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39 **Supplementary File 3:** Sensitivity analyses model estimates  
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Table 1: Description of indicators and Ministry of Health (MOH) source forms used to capture the data

Category	Description	Assigned names in this study	Source form
Immunization	BCG vaccine doses administered	BCG	MOH 710
	Oral polio vaccine doses administered	OPV dose 1, dose 2 & dose 3	MOH 710
	Rotavirus vaccine doses administered	Rotavirus dose 1 & dose 2	MOH 710
	Pneumococcal conjugate vaccine doses administered	Pneumococcal dose 1, dose 2 & dose 3	MOH 710
	DPT vaccine doses administered	DPT 1, 2 & 3	MOH 710
	Inactivated polio vaccine doses administered	IPV	MOH 710
	Measles vaccine doses administered	Measles dose 1 & dose 2	MOH 710
Outpatient visits	Antenatal care first visit	ANC 1	MOH 711
	Antenatal care fourth visits	ANC 4	MOH 711
	Outpatient department visits in under-fives	OPD < 5 years	MOH 705A
	Outpatient department visits in over-fives	OPD > 5 years	MOH 705B
	Outpatient department visits with pneumonia in under-fives	OPD Pneumonia < 5 years	MOH 705 A
	Outpatient department visits with pneumonia in over-fives	OPD Pneumonia > 5 years	MOH 705B
	Number of new cases of diabetes	Diabetes new cases	MOH 705 A & B
	Number of new plus revisits of diabetes cases	Diabetes total cases	MOH 705 A & B
	Number of new hypertension cases	Hypertension new cases	MOH 705 A & B
	Number of new plus revisits of hypertension cases	Hypertension total cases	MOH 705 A & B
	Number of HIV tests performed	HIV tests performed	MOH 731

Table 2: Segmented regression model estimates. Showing rate ratios (RR) alongside 95% confidence intervals (CI) and p-values.

Covariate	OPD < 5 years			OPD > 5 years			OPD Pneumonia < 5 years			OPD Pneumonia > 5 years			
	RR	95%CI	P-value	RR	95%CI	P-value	RR	95%CI	P-value	RR	95%CI	P-value	
COVID-19	0.50	(0.44-0.57)	<0.01	0.65	(0.57-0.75)	<0.01	0.43	(0.38-0.47)	<0.01	0.62	(0.55-0.70)	<0.01	
Time	1.00	(0.99-1.01)	0.15	1.00	(1.00-1.01)	0.02	1.01	(1.00-1.01)	<0.01	1.00	(0.99-1.01)	0.05	
Trend	1.05	(1.03-1.06)	<0.01	1.02	(1.00-1.04)	0.03	1.07	(1.05-1.08)	<0.01	1.03	(1.02-1.05)	<0.01	
	ANC 1			ANC 4			Diabetes new cases			Diabetes total cases			
	RR	95%CI	P-value	RR	95%CI	P-value	RR	95%CI	P-value	RR	95%CI	P-value	
COVID-19	0.96	(0.83-1.10)	0.55	0.86	(0.80-0.93)	<0.01	1.17	(0.89-1.52)	0.25	0.95	(0.93-0.97)	<0.01	
Time	1.00	(0.99-1.00)	0.61	1.00	(0.99-1.00)	0.13	0.99	(0.98-1.00)	0.33	1.00	(1.00-1.01)	<0.01	
Trend	1.01	(0.99-1.03)	0.12	1.00	(0.99-1.01)	0.90	0.99	(0.97-1.01)	0.57	1.00	(1.00-1.00)	0.05	
	Hypertension new cases			Hypertension total cases			HIV Tests Performed						
	RR	95%CI	P-value	RR	95%CI	P-value	RR	95%CI	P-value				

<b>COVID-19</b>	0.87	(0.75-1.00)	0.05	0.89	(0.82-0.96)	<0.01	0.97	(0.94-0.99)	0.95	
<b>Time</b>	1.00	(0.99-1.01)	0.81	1.01	(1.00-1.01)	<0.01	0.97	(0.97-0.97)	<0.01	
<b>Trend</b>	1.00	(0.99-1.01)	0.59	1.00	(0.99-1.01)	0.90	1.01	(1.00-1.01)	<0.01	

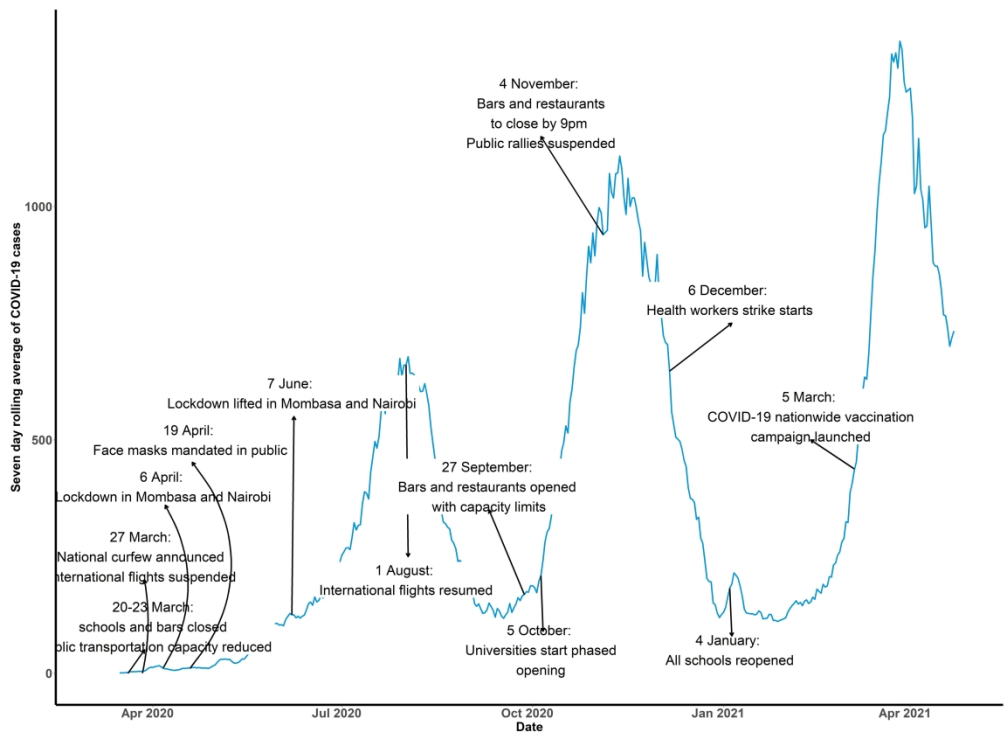
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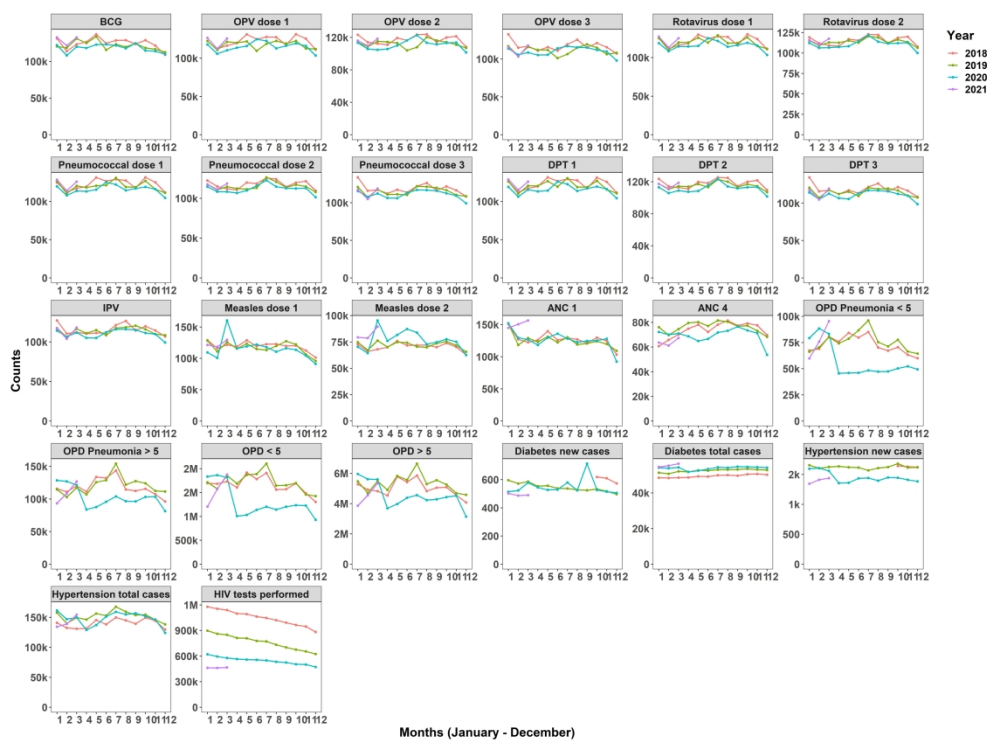
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Daily seven moving average trends of COVID-19 cases in Kenya showing various interventions initiated by the government.

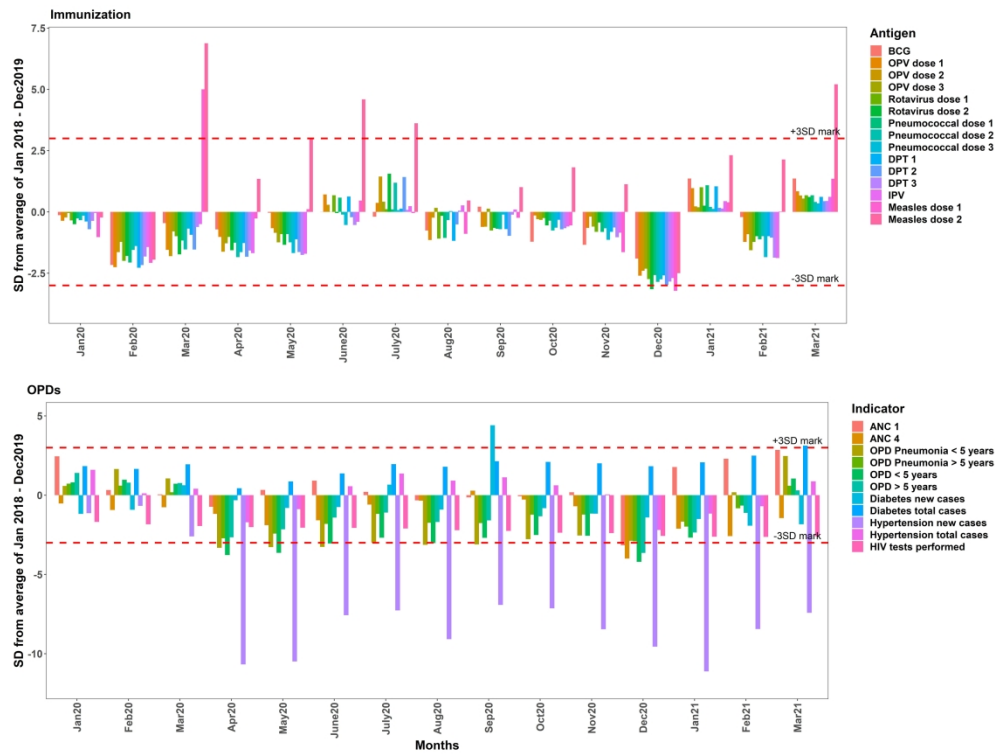
190x142mm (500 x 500 DPI)

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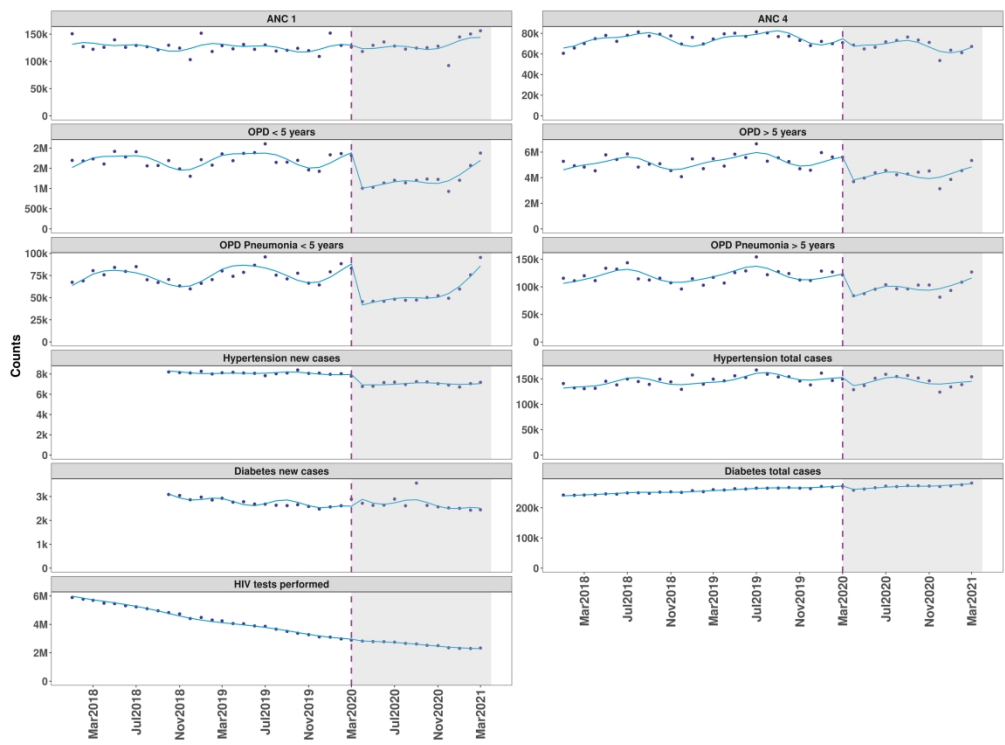
Temporal trends in monthly immunisation and outpatient attendance nationally and by year

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Statistical Process Control chart of immunisation, antenatal care and outpatient services. Horizontal dashed lines represent the 3-standard deviation mark.

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Fitted lines of segmented regression models for outpatient and antenatal care attendance. Vertical lines represent the month (March 2020) COVID-19 was announced in Kenya and as a pandemic by the WHO.

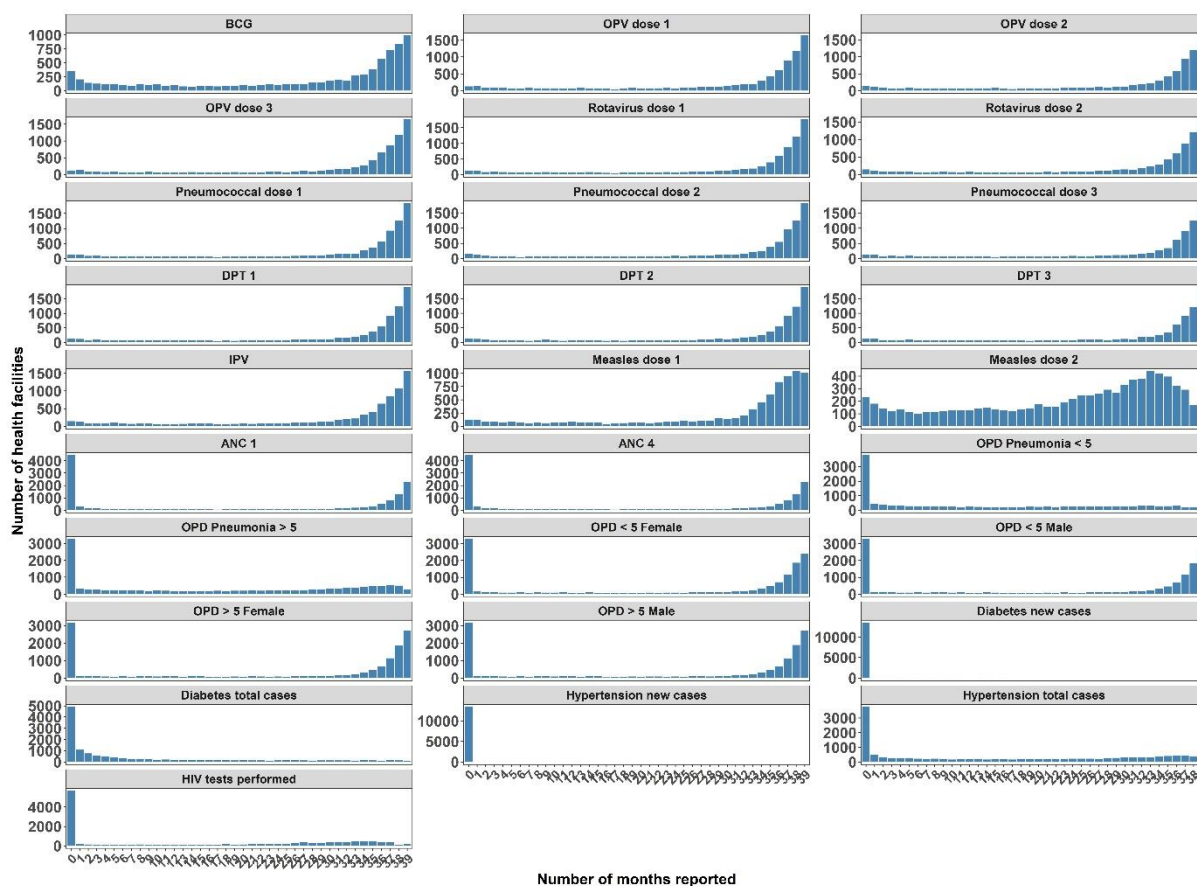
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**SI Table 1: Number and percentage of health facilities analysed for each indicator. It shows number of facilities that did not report any month and those that were imputed (health facilities with more than 30% of months reported)**

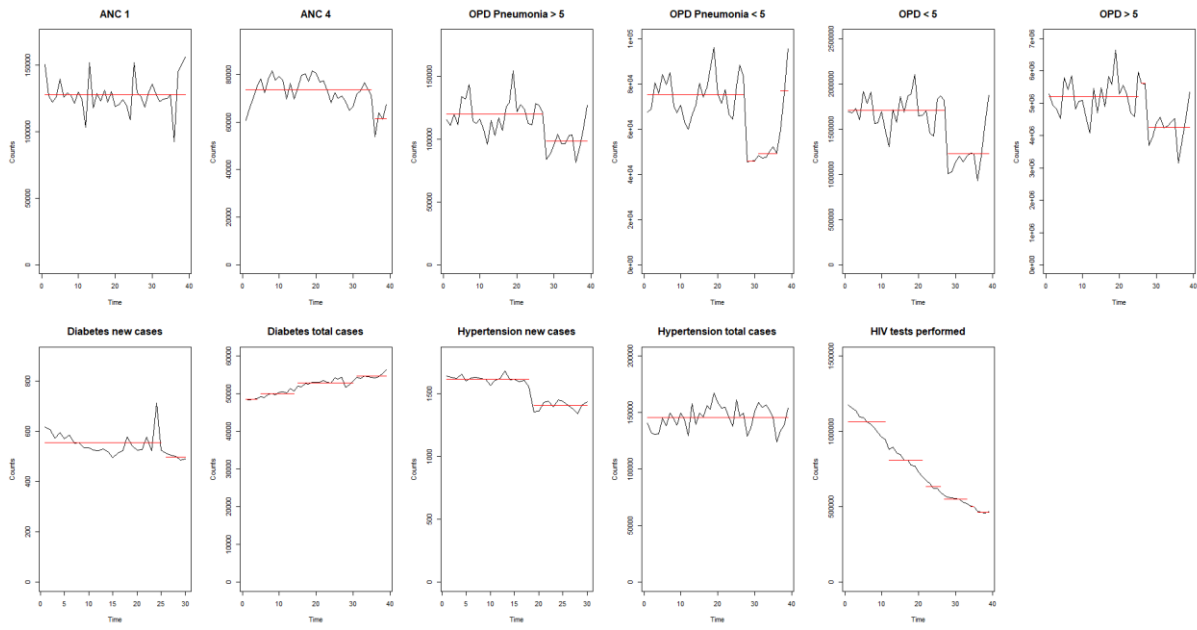
Indicator	All hospitals expected to report in DHIS2	Number of health facilities imputed	Number of health facilities with no reported data	Percent of health facilities analysed out of those reporting at least a month
BCG	8063	6509	352	84
DPT1	8063	7142	130	90
DPT2	8063	7141	140	90
DPT3	8063	7136	124	90
IPV	8063	7089	144	90
Measles1	8063	7166	125	90
Measles2	8063	6578	230	84
OPV1	8063	7134	128	90
OPV2	8063	7144	140	90
OPV3	8063	7124	123	90
Pneum1	8063	7139	132	90
Pneum2	8063	7143	141	90
Pneum3	8063	7145	129	90
Rota1	8063	7126	130	90
Rota2	8063	7114	146	90
ANC 1	13595	7768	4450	85
ANC 4	13595	7768	4450	85
OPD > 5 Female	13595	9434	3156	90
OPD > 5 Male	13595	9431	3153	90
OPD < 5 Female	13595	9246	3274	90
OPD < 5 Male	13595	9250	3276	90
OPD Pneumonia > 5	13595	7933	3264	77
OPD Pneumonia < 5	13798	6976	3784	70
Diabetes new cases	13752	72	13472	26
Diabetes total cases	13752	4220	4914	48
Hypertension new cases	13752	121	13454	41
Hypertension total cases	13757	7381	3765	74
HIV tests performed	13752	6789	5674	84

SI Figure 1: Missing data patterns plot for immunization indicators showing number of reported months by health facilities.



The x – axis shows the number of months reported by health facilities (0 to 39). 0 to the left means the health facilities did not report any month or may not be offering the service, while 39 means the health facilities reported all months.

SI Figure 1: Multiple change point analysis plots showing significant shifts in attendance



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SI Table 1: Interrupted time series models comparing estimates before and after excluding strike period

		OPD < 5			OPD > 5			OPD Pneumonia < 5			OPD Pneumonia > 5		
Ownership		RR	95%CI	P-value	RR	95%CI	P-value	RR	95%CI	P-value	RR	95%CI	P-value
Primary	COVID-19	0.50	(0.44-0.57)	<0.01	0.65	(0.57-0.75)	<0.01	0.43	(0.38-0.47)	<0.01	0.62	(0.55-0.70)	<0.01
	Time	1.00	(0.99-1.01)	0.15	1.00	(1.00-1.01)	0.02	1.01	(1.00-1.01)	<0.01	1.00	(0.99-1.01)	0.05
	Trend	1.05	(1.03-1.06)	<0.01	1.02	(1.00-1.04)	0.03	1.07	(1.05-1.08)	<0.01	1.03	(1.02-1.05)	<0.01
Excluding Strike	COVID-19	0.45	(0.39-0.52)	<0.01	0.60	(0.53-0.68)	<0.01	0.39	(0.33-0.44)	<0.01	0.58	(0.52-0.66)	<0.01
	Time	1.00	(1.00-1.01)	0.13	1.01	(1.00-1.01)	<0.01	1.01	(1.00-1.01)	0.02	1.00	(1.00-1.01)	0.03
	Trend	1.09	(1.06-1.11)	<0.01	1.05	(1.03-1.07)	<0.01	1.10	(1.07-1.11)	<0.01	1.06	(1.04-1.08)	<0.01
		ANC 1			ANC 4			Diabetes new cases			Diabetes total cases		
		RR	95%CI	P-value	RR	95%CI	P-value	RR	95%CI	P-value	RR	95%CI	P-value
Primary	COVID-19	0.96	(0.83-1.10)	0.55	0.86	(0.80-0.93)	<0.01	1.17	(0.89-1.53)	0.25	0.95	(0.93-0.97)	<0.01
	Time	1.00	(0.99-1.00)	0.61	1.00	(0.99-1.00)	0.13	0.99	(0.98-1.00)	0.13	1.00	(1.00-1.01)	<0.01
	Trend	1.01	(0.99-1.03)	0.12	1.00	(0.99-1.01)	0.90	0.99	(0.97-1.01)	0.57	1.00	(1.00-1.00)	0.05
Excluding Strike	COVID-19	0.96	(0.84-1.09)	0.52	0.83	(0.77-0.89)	<0.01	1.12	(0.85-1.48)	0.43	0.94	(0.92-0.96)	<0.01
	Time	1.00	(1.00-1.00)	0.44	1.00	(1.00-1.00)	0.05	0.99	(0.98-1.00)	0.12	1.00	(1.00-1.01)	<0.01
	Trend	1.02	(1.00-1.04)	0.06	1.01	(1.00-1.03)	0.02	1.01	(0.98-1.04)	0.73	1.01	(1.00-1.01)	<0.01
		Hypertension new cases			Hypertension total cases			HIV Tests Performed					
		RR	95%CI	P-value	RR	95%CI	P-value	RR	95%CI	P-value			
Primary	COVID-19	0.87	(0.75-1.00)	0.05	0.89	(0.82-0.96)	<0.01	0.97	(0.94-0.99)	0.01			
	Time	1.00	(0.99-1.01)	0.81	1.01	(1.00-1.01)	<0.01	0.97	(0.97-0.97)	<0.01			
	Trend	1.00	(0.99-1.01)	0.59	1.00	(0.99-1.01)	0.90	1.01	(1.01-1.01)	<0.01			
Excluding Strike	COVID-19	0.86	(0.74-1.00)	0.06	0.85	(0.79-0.92)	<0.01	0.97	(0.94-1.00)	0.11			
	Time	1.00	(0.99-1.01)	0.81	1.01	(1.00-1.01)	<0.01	0.97	(0.97-0.97)	<0.01			
	Trend	1.01	(0.99-1.02)	0.48	1.01	(1.00-1.03)	0.02	1.01	(1.00-1.01)	<0.01			



SI Table 2: Generalised estimating equations (GEE) results at health facility level showing rate ratios (RR) for COVID-19 intervention, time and trend alongside 95% confidence intervals for all indicators

		OPD < 5			OPD > 5			OPD Pneumonia < 5			OPD Pneumonia > 5		
Ownership		RR	95%CI	P-value	RR	95%CI	P-value	RR	95%CI	P-value	RR	95%CI	P-value
Primary	COVID-19	0.50	(0.44-0.57)	<0.01	0.65	(0.57-0.75)	<0.01	0.43	(0.38-0.47)	<0.01	0.62	(0.55-0.70)	<0.01
	Time	1.00	(0.99-1.01)	0.15	1.00	(1.00-1.01)	0.02	1.01	(1.00-1.01)	<0.01	1.00	(0.99-1.01)	0.05
	Trend	1.05	(1.03-1.06)	<0.01	1.02	(1.00-1.04)	0.03	1.07	(1.05-1.08)	<0.01	1.03	(1.02-1.05)	<0.01
GEE	COVID-19	0.50	(0.48-0.51)	<0.01	0.65	(0.64-0.66)	<0.01	0.42	(0.40-0.43)	<0.01	0.62	(0.60-0.64)	<0.01
	Time	1.00	(1.00-1.01)	<0.01	1.01	(1.00-1.01)	<0.01	1.01	(1.00-1.01)	<0.01	1.00	(1.00-1.01)	<0.01
	Trend	1.05	(1.04-1.05)	<0.01	1.02	(1.01-1.02)	<0.01	1.07	(1.06-1.07)	<0.01	1.03	(1.03-1.04)	<0.01
		ANC 1			ANC 4			Diabetes new cases			Diabetes total cases		
		RR	95%CI	P-value	RR	95%CI	P-value	RR	95%CI	P-value	RR	95%CI	P-value
Primary	COVID-19	0.96	(0.83-1.10)	0.55	0.86	(0.80-0.93)	<0.01	1.17	(0.89-1.52)	0.25	0.95	(0.93-0.97)	<0.01
	Time	1.00	(0.99-1.00)	0.61	1.00	(0.99-1.00)	0.13	0.99	(0.98-1.00)	0.13	1.00	(1.00-1.01)	<0.01
	Trend	1.01	(0.99-1.03)	0.12	1.00	(0.99-1.01)	0.90	0.99	(0.97-1.01)	0.57	1.00	(1.00-1.00)	0.05
GEE	COVID-19	0.96	(0.92-0.99)	0.01	0.87	(0.83-0.90)	<0.01	1.17	(0.89-1.53)	0.26	0.95	(0.93-0.98)	<0.01
	Time	1.00	(0.99-1.00)	0.05	1.00	(1.00-1.01)	0.01	0.99	(0.98-1.00)	0.13	1.00	(1.00-1.01)	<0.01
	Trend	1.01	(1.01-1.02)	<0.01	1.00	(0.99-1.01)	0.71	0.99	(0.98-1.01)	0.58	1.00	(1.00-1.00)	0.26
		Hypertension new cases			Hypertension total cases			HIV Tests Performed					
		RR	95%CI	P-value	RR	95%CI	P-value	RR	95%CI	P-value			
Primary	COVID-19	0.87	(0.75-1.00)	0.05	0.89	(0.82-0.96)	<0.01	0.97	(0.94-0.99)	0.01			
	Time	1.00	(0.99-1.01)	0.81	1.01	(1.00-1.01)	<0.01	0.97	(0.97-0.97)	<0.01			
	Trend	1.00	(0.99-1.01)	0.59	1.00	(0.99-1.01)	0.90	1.01	(1.01-1.01)	<0.01			
GEE	COVID-19	0.87	(0.74-1.02)	0.09	0.89	(0.85-0.92)	<0.01	0.96	(0.95-0.98)	<0.01			
	Time	1.00	(0.99-1.01)	0.83	1.01	(1.00-1.01)	<0.01	0.97	(0.97-0.97)	<0.01			
	Trend	1.00	(0.99-1.02)	0.70	1.00	(1.00-1.01)	0.83	1.01	(1.01-1.01)	<0.01			

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## Quantifying the indirect impact of COVID-19 pandemic on utilisation of outpatient and immunisation services in Kenya: A longitudinal study using interrupted time series analysis

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3 **Quantifying the indirect impact of COVID-19 pandemic on utilisation of outpatient and**  
4 **immunisation services in Kenya: A longitudinal study using interrupted time series analysis**  
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56 **Abstract**  
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58 **Objective:** In this study we assess the indirect impact of COVID-19 on utilization of immunisation and  
59  
60 outpatient services in Kenya.

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3 **Design:** Longitudinal study  
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5 **Setting:** Data were analysed from all healthcare facilities reporting to Kenya's health information  
6 system (KHIS) from January 2018 to March 2021. Multiple imputation was used to address missing  
7 data, interrupted timeseries analysis was used to quantify the changes in utilization of services and  
8 sensitivity analysis was carried out to assess robustness of estimates.  
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11 **Exposure of interest:** COVID-19 outbreak and associated interventions  
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14 **Outcome measures:** Monthly attendance to health facilities. We assessed changes in immunization  
15 and various outpatient services nationally.  
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17

### 18 **Results**

19  
20 Before the first case of COVID-19 and pursuant intervention measures in March 2020, uptake of health  
21 services was consistent with historical levels. There was significant drops in attendance (level changes)  
22 in April 2020 for overall outpatient visits for under-fives (RR=0.50 95% CI (0.44-0.57)), under-fives  
23 with pneumonia (RR=0.43 95% CI (0.38-0.47)), overall over-five visits (RR=0.65 95% CI (0.57-0.75)),  
24 over-fives with pneumonia (RR=0.62 95% CI (0.55-0.70)), fourth antenatal care visit (RR=0.86 95%  
25 CI (0.80-0.93)), total hypertension (RR=0.89 95% CI (0.82-0.96)), diabetes cases (RR=0.95 95% CI  
26 (0.93-0.97)) and HIV testing (RR=0.97 95% CI (0.94-0.99)). Immunization services, first antenatal care  
27 visits, new cases of hypertension and diabetes were not affected. The post-COVID-19 trend was  
28 increasing, with more recent data suggesting reversal of effects and health services reverting to expected  
29 levels as of March 2021.  
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### 37 **Conclusion**

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39 COVID-19 pandemic has had varied indirect effects on utilization of health services in Kenya. There is  
40 need for proactive and targeted interventions to reverse these effects as part of the pandemic's response  
41 to avert non-COVID-19 indirect mortality.  
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47 **Keywords:** COVID-19, SARS-CoV-2, outpatient services, immunization, DHIS2  
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### Strengths and limitations of this study

- This analysis is strengthened by use of a broad set of health services indicators and over a large number of health facilities nationally and a longer time period (39 months) allowing for the adjustment of pre-COVID-19 trends.
- We have adjusted for factors such as health workers strikes and missing data in the analysis strengthening the validity of the results.
- Data was analysed across the whole health care system in Kenya (both public and private sector) therefore can be used to predict impact in other similar settings.
- COVID-19 outbreak and associated public health measures were not random. Other concurrent unmeasured factors or shocks could have contributed, however small, to the changes.
- This study doesn't allow for in-depth evaluation of the specific causes of the trends observed within a qualitative framework because it was purely quantitative.

### Introduction

The novel coronavirus (COVID-19) outbreak was declared a global pandemic by the World Health Organization (WHO) on March 11, 2020. By 6<sup>th</sup> May 2021, 156 million cases and 3.2 million deaths have been reported globally,(1). Since the first case of COVID-19 was reported in Kenya on 13<sup>th</sup> March 2020, 162,098 cases and 2850 deaths were reported by 6<sup>th</sup> May 2021,(1). The government, in attempt to control the spread of the pandemic, instituted a raft of interventions. Consequently, beyond the pandemic's direct impact on the population health, indirect effects due to the control measures, changes

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3 in public and clinician behaviour and health system reorganization are likely to manifest in changes to  
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5 utilisation of essential health services.  
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7  
8 The country has experienced three waves of the pandemic,(2). The first wave peaked in July/August  
9  
10 2020,(3) and in March 2021, the country experienced the third wave with the highest daily cases  
11  
12 recorded since the start of pandemic. Throughout this period, a series of public health measures have  
13  
14 been instituted by government authorities such as restrictions in movement, international travel and  
15  
16 suspension of gatherings in various public places. In March 5<sup>th</sup> 2021, the COVID-19 vaccination  
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18 campaign targeting 1.02 million health workers and those above the age of 58 years was launched,(4).  
19

20  
21 The public health interventions are expected to have economic and social impacts such as reductions in  
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23 manufacturing, access to employment and basic necessities,(5, 6). Consequently, access and utilisation  
24  
25 of essential health services are likely to be affected,(7). Early modelled predictions showed reductions  
26  
27 in utilization of health services,(8, 9). In addition, studies during previous epidemics in sub-Saharan  
28  
29 Africa reported a reduction in utilisation of essential health services during and after outbreaks,(10-14).  
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31 Various population groups are likely to be affected differently, with children and women at a higher  
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33 risk,(10, 15). These interruptions in health service utilisation are raising concerns of increased morbidity  
34  
35 and mortality for non-COVID-19 illnesses and especially for childcare services,(9). Although recent  
36  
37 studies have reported variable impact of the pandemic on various health services, the impact on  
38  
39 administration of vaccines and monitoring a broad set of essential services over a longer -observation  
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41 period after the pandemic was announced by WHO has not been evaluated rigorously in Kenya,(16-  
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43 19).  
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46  
47 Using the Kenya's routine health information system implemented through the District Health  
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49 Information Software version 2 (DHIS 2), a database where all health facilities in Kenya are expected  
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51 to report services they offered in a given month, this study aimed to assess the indirect impact of  
52  
53 COVID-19 on utilisation of varied basic essential health services nationally.  
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## 56 **Methods**

### 57 *Timeline of events*

### **Pre-COVID-19 measures**

Two months before the first case of COVID-19 was reported in Kenya, the government increased preparedness towards the pandemic. The preparedness measures included monitoring suspected cases of COVID-19 at points of entry to the country, increasing capacity for testing and isolation centres, providing healthcare workers with information and tools for dealing with COVID-19 cases and enactment of an emergency response committee (20, 21).

### **Post-COVID-19 control measures**

Control measures to manage COVID-19 spread were first enacted on 13<sup>th</sup> March 2020 (22). These were suspension of public gatherings including places of worship and limiting the number of people attending weddings and funerals. Institution of learning, bars and restaurants were also closed. Travel restrictions into and out of the country were put in place and the national dusk-to-dawn curfew was introduced. A month later, restrictions in movement into and out of counties with highest cases of COVID-19 were instituted and restaurants resumed operations under strict guidelines of social and physical distancing, temperature checks when accessing the restaurants and handwashing. In the month of May 2020, the government ceased movement into and out of the country through two neighbouring countries (Tanzania and Somalia). Home-based care was introduced for patients with COVID-19 in June 2020 and in July 2020 the government started relaxing restrictions on movement and local air travel and phased re-opening of churches and other places of worship. In August 2020, international air travel resumed and in September 2020, operation of bars resumed. This was followed by phased re-opening of schools and lifting of suspension on political gatherings in October 2020 and November 2020 respectively. Between December 2020 to February 2021 there was a national health workers strike triggered by demands for better working conditions such as provision of adequate Personal Protective Equipment (PPE), enhanced risk allowances and a health insurance cover. Although the length of the strike varied by health facilities and cadre of health workers, we couldn't obtain a database which tracks strikes nationally, and we therefore assumed most of the health facilities were on strike during the whole



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3 period. All schools re-opened in January 2021. The timeline of COVID-19 control measures is  
4 presented in Figure 1.  
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8 -----Figure 1-----  
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## 10 **Data**

### 11 *Data Sources*

#### 12 **District Health Information Software version 2 (DHIS2)**

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16 DHIS2 is an open-source software platform for data reporting by all the health facilities in a country.  
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18 The primary goals of the system were to establish a centralized database with reporting capabilities at  
19 health facilities, to define and determine the standards for local and national health service reports and  
20 to connect service delivery and other health system input databases (23). Monthly aggregated hospital  
21 level data can be entered into the system using a variety of tools, including desktop computers,  
22 laptops, tablets and smartphones by health records and information officers (HRIOs) situated in  
23 various hospitals. For health facilities without a HRIO, data is sent to a central administrative unit  
24 where the data is aggregated and entered into the system. Strong technical capabilities, flexibility,  
25 cost-effectiveness, increased satisfaction and networking among stakeholders have been some of the  
26 strengths of DHIS2 reported in 11 countries (24).  
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#### 42 **Extracted data**

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44 We extracted monthly data from DHIS 2 for the period January 2018 to March 2021 on total outpatient  
45 visits (under and over-fives), the number of hypertension and diabetes cases and HIV tests performed,  
46 doses of immunisation antigens administered and antenatal care visits (the first (ANC 1) and fourth  
47 (ANC 4) visits). ANC 1 and ANC 4 are recommended by WHO as tracker indicators for antenatal care  
48 coverage and hence are reported in DHIS 2. A description of the indicators is presented in Table 1.  
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56 -----Table 1-----  
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3 Data were not available for the period January 2018 to September 2018 for hypertension and diabetes  
4 new cases. For both indicators and for relevant periods data were excluded from the analysis. We chose  
5 2018 as a starting point because of prolonged health care worker strikes in 2017 which affected health  
6 services provision,(25) and consequently reporting. Data were cleaned to remove duplicated health  
7 facilities and those indicated as closed. Extreme outliers, defined as values that are more than 3 standard  
8 deviations from the mean of reported values for a given health facility,(26, 27), were identified,  
9 investigated and treated as missing. For each health facility, we obtained the administrative units, level  
10 of the facility (Level 2: Dispensaries with outpatient services only, Level 3: Comprehensive primary  
11 health care facilities, Level 4: primary referral hospitals, Level 5: Secondary referral hospitals and Level  
12 6: national teaching and referral hospitals) and whether the health facility is private or public.

## 23 24 **Statistical analysis**

### 25 26 **Missing data in DHIS 2**

27  
28 Missing data occurred for the indicators in a given month for a given health facility. Missingness  
29 varies by health facility and consistency in reporting overtime. Incompleteness in reports has been  
30 attributed to inadequate human resources, frequent power outages and slow internet connectivity, use  
31 of manual and electronic systems concurrently and frequent changes in DHIS 2 versions (28).  
32 Strategies to improve reporting such as improving clinical care documentation, motivation among  
33 staff, government commitment and extensive donor support have been identified as strategies to  
34 improve completeness in DHIS 2 (29, 30).  
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### 48 **Handling missing data**

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50 To adjust for incompleteness in reporting, multiple imputation (MI) was performed,(31-33). MI has  
51 been shown to perform better in handling missing data in comparison to other methods(34). Missing  
52 monthly values were imputed using a mixed effects model in a joint modelling framework,(35, 36).  
53 Health facility ownership (public or private), level of health facility, time (month and year) and COVID-  
54 19 binary indicator (0 – months before pandemic and 1 – months post pandemic) were used as covariates  
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3 with the health facility as a clustering variable. MI was performed for health facilities with more than  
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5 30% of months reported (at least 12 months reported) to reduce uncertainty in imputed values and  
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7 ensure generalizability of the estimates. The missing patterns for each indicator are presented in  
8  
9 Additional File 1 SI Figure 1. The MI model specification has been provided in Additional File 2.  
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11 Additionally, through a simulation study we found MI performance and efficiency was best when  
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13 imputing for health facilities with more than 30% of months reported. The number of health facilities  
14  
15 analysed is presented in Additional File 3 SI Table 1.  
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## 18 **Interrupted time series analysis**

### 19 *Exploratory analyses*

20  
21 Data were aggregated monthly for all health facilities. Trends were plotted to visualise changes in  
22  
23 utilisation of health services. Statistical process control (SPC) charts with the 2018-2019 average as a  
24  
25 baseline were used to identify significant shifts in monthly values for 2020-2021. Values that are more  
26  
27 than 3 standard deviations from the mean are considered significant shifts and were carried forward for  
28  
29 interrupted time series analysis (37). Multiple change point analysis was applied to assess the influence  
30  
31 of health worker strike on provision of health services,(38, 39).  
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### 36 *Segmented regression*

37  
38 We conducted interrupted time series analyses using monthly attendance counts for each indicator as  
39  
40 outcomes. The period running from January 2018 through March 2020 when the first case was  
41  
42 identified was defined as pre-COVID-19 and April 2020 to March 2021 as post-COVID-19. For  
43  
44 indicators where changes were observed in SPC analysis, segmented regression were performed to  
45  
46 model attendance before and after COVID-19 was reported,(40, 41). The following equation specifies  
47  
48 the model,(40);  
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$$52 \log(Y_t) = \beta_0 + \beta_1 * time_t + \beta_2 * COVID19_t + \beta_3 * time\ after\ COVID19_t$$

53  
54 Where,  $Y_t$  is the attendance in month  $t$ ;  $time$  is a continuous indicator of time in months from January  
55  
56 2018;  $COVID19$  is an indicator of time  $t$  occurring before ( $COVID19 = 0$ ) or after ( $COVID19 = 1$ ) the  
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3 outbreak, which was implemented at April 2021 in the series; and *time after COVID19* is a continuous  
4 variable of the number of months after COVID-19 at time  $t$ . In the model,  $\beta_0$  estimates the baseline  
5 level of attendance at time zero;  $\beta_1$  estimates the change in monthly number of visits before COVID-  
6 19 (pre-existing trend);  $\beta_2$  estimates the level change immediately after COVID-19 outbreak;  $\beta_3$   
7 estimates the change in the trend after COVID-19, compared with the pre-existing trend. A change in  
8 intercept (immediate COVID-19 effect) and change in slope (gradual COVID-19 effect) were  
9 hypothesised,(41).

10  
11 A generalised linear model was applied assuming a negative binomial distribution. The negative  
12 binomial model was selected due to variations in attendance at health facility level. The intraclass  
13 correlation coefficients for each indicator are provided in Additional File 4 Table 1. We fitted two  
14 negative binomial models to account for over-dispersion, one without accounting for seasonality and  
15 another accounting for seasonality,(41-43). Model performance was evaluated using the Akaike's  
16 information criterion,(44). Model checking was conducted for autocorrelation using the Durbin-Watson  
17 statistic and autoregressive moving average (ARMA) models were fitted for indicators with serial  
18 autocorrelation,(45-47). The ARMA model fitted is presented below;

$$X_t = c + \varepsilon_t + \sum_{j=1}^p \varphi_j X_{t-j} + \sum_{j=1}^q \theta_j \varepsilon_{t-j}$$

19  
20 Where  $\varphi$  is the AR model parameters,  $\theta$  is the MA model parameters,  $c$  a constant and  $\varepsilon$  is the error  
21 term. We fitted the ARMA model using various combinations of  $p$  and  $q$  and selected the model with  
22 the lowest Arkeike Information Criteria (AIC). The *gcmr* package was used to implement the ARMA  
23 models (48). Seasonality was adjusted using Fourier terms by specifying the sine and cosine pairs as 2  
24 and the length of the period as 12 as recommended by Bernal et al ,(49). Results were pooled across  
25 the multiple imputed datasets using Rubin's rules,(50). The negative binomial model, which was  
26 adjusted for seasonality was the best fitting model and its results are presented in this study. AIC  
27 values and the estimates from the negative binomial model where seasonality was not accounted for  
28 are provided in Additional File 4 Table 2 and Table 3.

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2  
3 As a form of sensitivity analysis, we fitted models excluding months when the national strike occurred  
4 and compared estimates with those where data included the strike. We also fitted health-facility level  
5 generalised estimating equations to test the impact of varying model assumptions on the primary model  
6 estimates and hence evaluate robustness of our results,(41).  
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12 Statistical significance was defined as p-values < 0.05. All analyses were performed using R (version  
13 3.6.3).  
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### 17 **Patient and public involvement**

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19 No patients were involved in this study. We have used secondary aggregated routine health information  
20 data available online.  
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### 24 **Results**

#### 25 **COVID-19 impact**

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30 -----Figure 2-----

31  
32 Annual trends show the first antenatal care visits remained unaffected while the fourth visits  
33 experienced a downward trend from March 2020. Immunization services remained unaffected with  
34 observed spikes in administration of measles vaccines in March 2020. Utilization of outpatient services  
35 (overall and due to pneumonia) by under-fives experienced drops after March 2020. Reductions were  
36 also experienced in over-fives attendance, hypertension cases and diabetes attendance. HIV testing  
37 experienced a gradual decline over the years (Figure 2).  
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46 -----Figure 3-----

47  
48 Further, SPC charts confirmed significant reductions (less than 3SD) in ANC 4 starting April 2020.  
49 Immunization services remained unaffected during the same period, with significant increase (more  
50 than 3SD) in measles vaccination in March 2020. Moreover, significant reductions in under-fives  
51 attendance, over-fives attendance and new visits by hypertensive patients were observed starting April  
52 2020 with no significant reductions for HIV testing and diabetes visits (Figure 3). Additionally,  
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3 utilization of most services reduced the most in December 2020 coinciding with start of health care  
4 workers strike, after which utilization of most services started to go back to expected levels.  
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8 -----Table 2-----  
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10  
11 We fitted interrupted time series models for indicators that showed significant changes from the SPC  
12 charts. The rate ratios from the model are presented in Table 1. The month-to-month changes before  
13 COVID-19 were generally increasing across all the indicators. There was an immediate statistically  
14 significant reduction in all the indicators post-COVID-19, in the month immediately after first case,  
15 except for ANC 1 and new cases of diabetes and hypertension, which were unaffected. The statistically  
16 significant level changes post-COVID-19 were outpatient attendance for children under-fives which  
17 reduced by 50%, those for outpatients' over-fives by 35%, under-fives pneumonia outpatients by 43%,  
18 over-fives pneumonia outpatients by 38%, antenatal care 4<sup>th</sup> visit by 14%, total cases of diabetes by 5%,  
19 new cases of hypertension by 11% and HIV tests by 3%. There was a slight but statistically significant  
20 month-to-month increase in services post-COVID-19 (April 2020 to March 2021) of 5% for under-fives  
21 outpatients attendance, 2% for over-fives outpatients, 4% for under-fives pneumonia outpatients, 3%  
22 for over-fives pneumonia patients and no significant month-to-month changes for antenatal care visits,  
23 diabetes and hypertension cases. The trends from the fitted interrupted time series model are visually  
24 represented in Figure 4.  
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41 -----Figure 4-----  
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### 43 **Sensitivity analyses**

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46 Change point analysis showed the health workers' strike, which started in December 2020 had a  
47 significant impact on antenatal care 4<sup>th</sup> visits, and no effect on the other indicators (Additional File 5 SI  
48 Figure 1). Further, excluding the strike period (December 2020 to February 2021) from the segmented  
49 regression models of all indicators evaluated resulted in estimates that are not different from primary  
50 model estimates (Additional File 5 SI Table 1). Estimates from the Generalised estimating equations  
51 (GEE) models were not different from the primary model indicating robustness of reported estimates  
52 (Additional File 5 SI Table 2).  
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## Discussion

Using DHIS2 health facility level monthly reported outpatient data, we provide evidence of COVID-19 impact on utilisation of basic health services in Kenya. The announcement of the first case of COVID-19 in Kenya in March 2020 and the intervention measures that followed coincided with sharp declines in outpatient and antenatal care fourth visits nationally. By the end of this study, health services are still in the process of returning to pre-COVID-19 levels. However, immunisation services remained unaffected.

Previous studies have found variable impacts on immunisation services,(17, 51, 52). In two studies that evaluated performance of routine immunization on selected indicators in Kenya, which used a relatively shorter period and didn't account for missing data, COVID-19 had no substantial impact on vaccination coverage, antenatal care first visits and a significant increase in measles immunization in March 2020 was reported,(17, 51). The significant increase in measles vaccines in March 2020 was due to increased immunization to make up for stock-out of measles vaccines between November 2019 and January 2020,(17). The sustained immunisation levels in the other antigens suggests there were no significant disruption to vaccine supply chain resulting from the pandemic, and confirmed by the National Vaccines and Immunisation Programme (NVIP),(17). Additionally, where health facilities designated as vaccination centres were assigned as COVID-19 isolation centres, the vaccines programme moved immunisation services to neighbouring health facilities,(17). These strategies illuminate why immunisation services remained unaffected during the pandemic, contrary to earlier predictions of reductions in immunization,(8, 9). Although not statistically significant, the slight reductions in the number of vaccines administered in December 2020 were likely attributed to the nationwide health worker strike, which led to staff shortages consequently affecting administration of the vaccines. These results strengthen previous findings with no observable differences in mean monthly number of immunisation and total antenatal care visits over a much shorter study period March-June 2020 relative to the same period in 2019 in Kenya,(52). Additionally, in a recent survey across 18 African countries, which evaluated disruption to essential health services in Africa during COVID-19, found that vaccination was the least disrupted service across all countries (30). In summary, immunisation services

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3 were unaffected likely because of a number of reasons; the concerted effort by the NVIP to sustain  
4 supply of vaccines and unavailability of alternative sources for vaccination outside of the health system.  
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8 There were significant drops in nearly all outpatient services evaluated in this study. Total outpatient  
9 and pneumonia specific outpatient attendance were most affected, with utilization of the services  
10 dropping by half for under-fives. Moreover, COVID-19 had an impact on ANC 4, total attendance for  
11 hypertension and diabetes and HIV testing. Similar findings have been reported in other low- and  
12 middle-income countries,(16, 17, 19, 53-56). Studies evaluating the impact of lockdown measures to  
13 combat COVID-19 in South Africa observed a substantial drop in primary healthcare services  
14 utilisation,(16, 55). Significant drops in essential health services were also experienced following  
15 institution of public health measures to combat COVID-19 in Kinshasa, Democratic Republic of  
16 Congo,(19). Disruptions in general attendance have also been reported in various studies globally,(53,  
17 57-60).  
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30 Various factors could explain the downward trends in specific outpatient services. In a survey conducted  
31 in Kenya to assess health services utilization during COVID-19, common causes reported by  
32 respondents include fear of risk of catching coronavirus at health facilities (26%), reduced incomes  
33 affecting ability to meet transport costs and other healthcare related costs (17%), shortage of healthcare  
34 workers in health facilities (14%), difficulties in accessing health facilities due to lockdowns and curfew  
35 (14%) and closing of some health facilities (14%),(61). The substantial declines for under-fives  
36 attendance are likely associated with reduced mixing due to closure of schools, improved hygiene  
37 practices and parents choosing to manage non-severe illnesses at home. Although attendance for ANC  
38 4 was affected, it is unclear why the first visits were not affected. Notwithstanding, this might suggest  
39 that pregnant women attach greater importance to the primary ANC visit as has been reported,(62, 63)  
40 and hence despite the prevailing conditions managed to prioritize at least one visit to a health facility.  
41 Additionally, data has suggested deliveries in health facilities were also not affected during the  
42 pandemic (Wambua et al 2021, The indirect impact of COVID-19 pandemic on inpatient admissions in  
43 204 Kenyan hospitals: An interrupted time series analysis), and this likely suggests the population of  
44 pregnant women remained relatively comfortable to use health services despite the pandemic.  
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3 A survey in Ethiopia among diabetic and hypertensive patients reported unavailability, unaffordable or  
4 increased price of medications and interruptions in follow-up visits were common barriers to accessing  
5 chronic care units in public facilities during the pandemic,(64). Reduction in attendance for chronic  
6 conditions such as hypertensive cases is a significant finding as missing care for these chronic illnesses  
7 could lead to further complications and susceptibility to severe COVID-19,(65) and increased morbidity  
8 and mortality. The gradual decline in HIV testing pre- COVID-19 might suggest reduced coverage due  
9 to policies geared towards targeted testing as opposed to blanket testing,(66). Additionally increased  
10 uptake and accessibility to testing in pharmacies implemented in 2017 might be associated with reduced  
11 testing in health facilities,(67). Pre-existing challenges in access to health services such as poor road  
12 network, disruptions in supplies to health facilities, and limited or no capacity for domestic production  
13 of medical supplies could have compounded the dramatic downward trends in utilisation of outpatient  
14 services. Additionally, improved hand hygiene and use of face masks during the pandemic could have  
15 led to reduced risk of other infectious diseases and consequently fewer visits to health facilities,(68,  
16 69).

### 38 **Strengths and implications of the study**

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41 Although most of the public attention is on control measures of COVID-19, possible health  
42 consequences from the indirect effects of the measures should not be overlooked. We provide a  
43 comprehensive understanding of the present situation on utilisation of immunisation and outpatient  
44 services in Kenya. Although the findings provide short-term estimates on the effect of COVID-19 at  
45 national level, studies could assess the long-term and differential effects at sub-national level. We  
46 addressed possible confounders in assessing changes overtime. For instance, in line with a recent guide  
47 on using routine data to monitor the effects of COVID-19 by the WHO, we adjusted for missing data  
48 which would have affected the validity of the comparisons over time,(70). Additionally, incompleteness  
49 may lead to biased estimates and strategies to improve data quality in DHIS2 such as investment in  
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3 better infrastructure, supervisory support, formal data quality assurance and human resources could  
4 improve reporting in Kenyan health facilities,(71, 72). We also use sensitivity analysis to account for  
5 any uncertainty in the estimates due to other factors affecting utilisation of services such as healthcare  
6 workers strikes and health-facility specific variations, which reduced bias and improved precision of  
7 the estimates.  
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### 13 14 15 16 17 **Limitations and Recommendations**

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20 In this study, controls were not used to differentiate the impact of COVID-19 from other possible causes  
21 of the changes as most indicators were indirectly affected by the pandemic. However, since the drops  
22 in utilisation of services coincided with the introduction of COVID-19 intervention measures, the  
23 changes are attributed to COVID-19. We suggest sensitivity studies in future to assess any departures  
24 from the missing at random assumption when using multiple imputation for DHIS 2 data.  
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### 34 **Conclusion**

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36 In summary, COVID-19 pandemic has had varied indirect effects on utilisation of outpatient health  
37 services. Although utilisation of immunisation services remained unchanged, there was a significant  
38 negative impact on outpatient clinic and ANC visits nationally. Total outpatient attendances for children  
39 under-fives reduced by 50%, under-fives pneumonia presentations reduced by 50%, general over-five  
40 visits reduced by 35%, over-fives pneumonia reduced by 38%, ANC 4 visits reduced by 14%, total  
41 hypertension cases reduced by 11%, total diabetes cases reduced by 5% and HIV testing by 3%. There  
42 is need for proactive and targeted interventions to avert and reverse these effects in future pandemics.  
43 These include strict implementation of safe practices and infrastructural changes in health facilities to  
44 reassure the public that it's safe to go to health facilities. Other innovative measures such as safe modes  
45 of transport, mobile clinics and supplementary immunisation activities (SIAs) could be incorporated in  
46 the pandemic response to avert any negative effects on utilisation of essential health services.  
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## Declarations

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## Contributors

**SW**: Conceptualisation; Data curation; Formal analysis; Investigation; Methodology; Software; Validation; Visualisation; Writing - original draft. **LM**: Data curation; Formal analysis; Investigation; Methodology; Software; Validation; Visualisation; Writing - review & editing. **GM**: Data curation; Investigation; Software; Validation; Visualisation; Writing - review & editing. **AN**: Data curation; Formal analysis; Investigation; Software; Validation; Visualisation; Writing - review & editing. **JK**: Data curation; Formal analysis; Investigation; Software; Validation; Visualisation; Writing - review & editing. **TT**: Data curation; Investigation; Validation; Writing - review & editing. **CP**: Data curation; Investigation; Validation; Writing - review & editing. **ME**: Data curation; Investigation; Validation; Writing - review & editing. **EAO**: Data curation; Investigation; Validation; Funding acquisition; Writing - review & editing.

## Data availability statement

Aggregated DHIS2 data is available online with access provided by Ministry of Health <https://hiskenya.org/dhis-web-commons/security/login.action>.

### **Ethics approval and consent to participate**

The study does not contain any individual person's data.

### **Consent for publication**

Not applicable.

### **Competing interests**

The authors declare that they have no competing interests

### **Patient and public involvement**

We have used secondary aggregated routine health information data available online and did not directly engage patients.

### **Figure and table legend**

**Table 1:** Description of indicators evaluated in this study and the Kenyan Ministry of Health source forms used to capture the data.

**Table 2:** Segmented regression results showing rate ratios (R.R.) for COVID-19 intervention, time (pre-existing trend) and post-COVID-19 trend alongside 95% confidence intervals (CI) and p-values. The ARMA parameters (1,0) for ANC 1 and (2,0) for HIV tests performed where autocorrelation was detected are also provided.

**Figure 1:** Daily seven moving average trend of COVID-19 cases in Kenya showing various public health interventions initiated by the government to control the spread of the pandemic

**Figure 2:** Temporal trends in monthly immunisation and outpatient attendance nationally and by year

**Figure 3:** Statistical Process Control (SPC) chart of immunisation, antenatal care and outpatient services. Horizontal dashed lines represent the 3-standard deviation mark.

**Figure 4:** Fitted lines of interrupted timeseries models for outpatient and antenatal care attendance. Vertical lines represent the month (March 2020) COVID-19 was announced in Kenya and as a pandemic by the World Health Organization.

### **Supplementary Files**

**Additional File 1:** Visual distribution patterns of missing data across all the health facilities analysed from DHIS 2

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3 **Additional File 2:** Multiple Imputation model specification  
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5 **Additional File 3:** Number of health facilities analysed for each indicator including health facilities  
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7 excluded for not reporting any month and those with less than 30% of months reported.  
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9 **Additional File 4:** Model selection information  
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For peer review only

**Additional File 5:** Sensitivity analyses model estimates**References**

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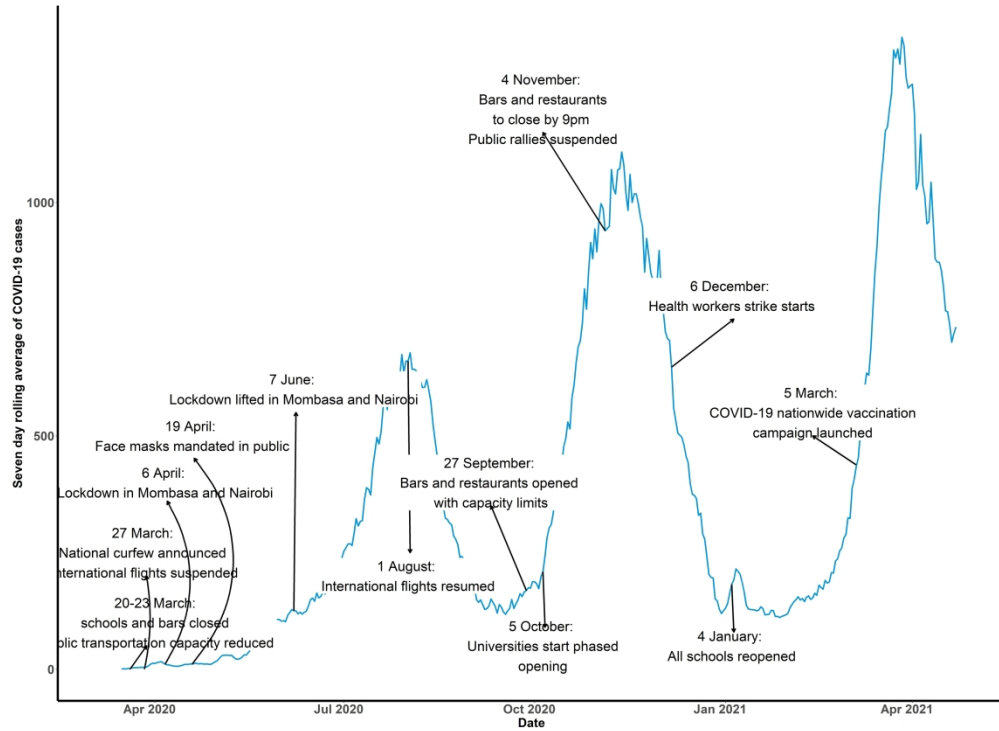
**Table 1: Description of indicators analysed in this study and Kenyan Ministry of Health (MOH) source forms used to capture the data.**

Category	Description	Assigned names in this study	Source form
Immunization	BCG vaccine doses administered	BCG	MOH 710
	Oral polio vaccine doses administered	OPV dose 1, dose 2 & dose 3	MOH 710
	Rotavirus vaccine doses administered	Rotavirus dose 1 & dose 2	MOH 710
	Pneumococcal conjugate vaccine doses administered	Pneumococcal dose 1, dose 2 & dose 3	MOH 710
	DPT vaccine doses administered	DPT 1, 2 & 3	MOH 710
	Inactivated polio vaccine doses administered	IPV	MOH 710
	Measles vaccine doses administered	Measles dose 1 & dose 2	MOH 710
Outpatient visits	Antenatal care first visit	ANC 1	MOH 711
	Antenatal care fourth visits	ANC 4	MOH 711
	Outpatient department visits in under-fives	OPD < 5 years	MOH 705A
	Outpatient department visits in over-fives	OPD > 5 years	MOH 705B
	Outpatient department visits with pneumonia in under-fives	OPD Pneumonia < 5 years	MOH 705 A
	Outpatient department visits with pneumonia in over-fives	OPD Pneumonia > 5 years	MOH 705B
	Number of new cases of diabetes	Diabetes new cases	MOH 705 A & B
	Number of new plus revisits of diabetes cases	Diabetes total cases	MOH 705 A & B
	Number of new hypertension cases	Hypertension new cases	MOH 705 A & B
	Number of new plus revisits of hypertension cases	Hypertension total cases	MOH 705 A & B
Number of HIV tests performed	HIV tests performed	MOH 731	

**Table 2: Segmented regression results showing rate ratios (RR) for COVID-19 intervention, time (pre-existing trend) and trend (post-COVID-19 trend). The 95% confidence intervals and p-values are also show.**

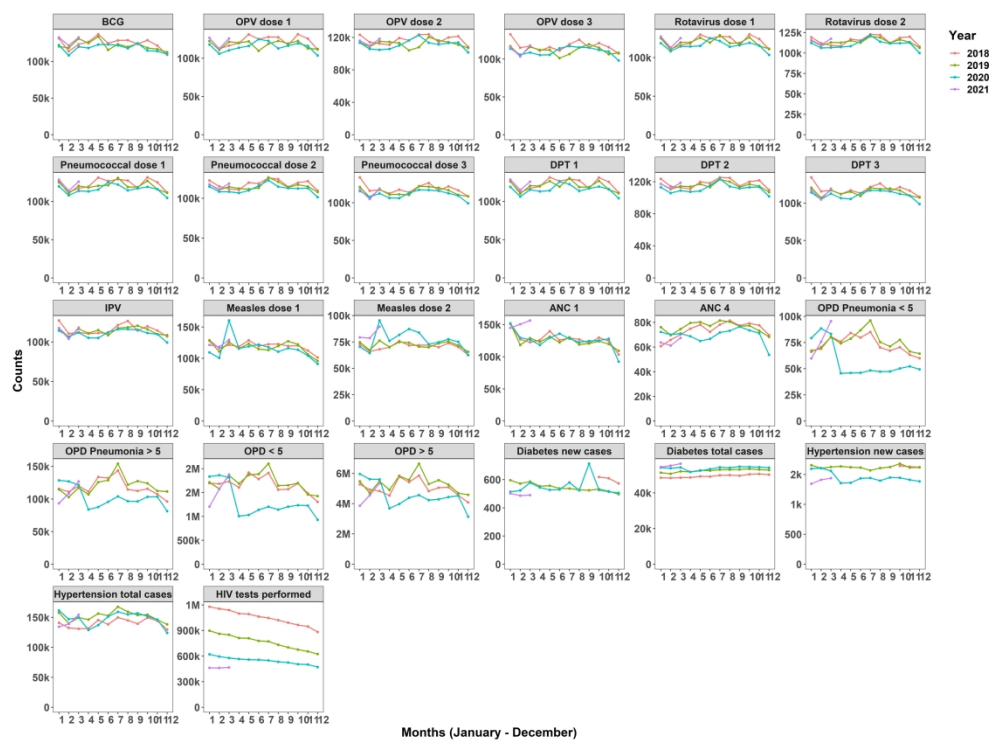
Covariate	OPD < 5 years			OPD > 5 years			OPD Pneumonia < 5 years			OPD Pneumonia > 5 years		
	RR	95%CI	P-value	RR	95%CI	P-value	RR	95%CI	P-value	RR	95%CI	P-value
<b>COVID-19</b>	0.50	(0.44-0.57)	<0.01	0.65	(0.57-0.75)	<0.01	0.43	(0.38-0.47)	<0.01	0.62	(0.55-0.70)	<0.01
<b>Time</b>	1.00	(0.99-1.01)	0.15	1.00	(1.00-1.01)	0.02	1.01	(1.00-1.01)	<0.01	1.00	(0.99-1.01)	0.05
<b>Trend</b>	1.05	(1.03-1.06)	<0.01	1.02	(1.00-1.04)	0.03	1.07	(1.05-1.08)	<0.01	1.03	(1.02-1.05)	<0.01
	<b>ANC 1</b>			<b>ANC 4</b>			<b>Diabetes new cases</b>			<b>Diabetes total cases</b>		
	RR*	95%CI*	P-value*	RR	95%CI	P-value	RR	95%CI	P-value	RR	95%CI	P-value
<b>COVID-19</b>	0.96	(0.83-1.10)	0.55	0.86	(0.80-0.93)	<0.01	1.17	(0.89-1.52)	0.15	0.95	(0.93-0.97)	<0.01
<b>Time</b>	1.00	(0.99-1.00)	0.61	1.00	(0.99-1.00)	0.13	0.99	(0.98-1.00)	0.33	1.00	(1.00-1.01)	<0.01
<b>Trend</b>	1.01	(0.99-1.03)	0.12	1.00	(0.99-1.01)	0.90	0.99	(0.97-1.01)	0.17	1.00	(1.00-1.00)	0.05
	<b>Hypertension new cases</b>			<b>Hypertension total cases</b>			<b>HIV Tests Performed</b>					
	RR	95%CI	P-value	RR	95%CI	P-value	RR*	95%CI*	P-value*			
<b>COVID-19</b>	0.87	(0.75-1.00)	0.05	0.89	(0.82-0.96)	<0.01	0.97	(0.94-0.99)	0.11			
<b>Time</b>	1.00	(0.99-1.01)	0.81	1.01	(1.00-1.01)	<0.01	0.97	(0.97-0.97)	<0.01			
<b>Trend</b>	1.00	(0.99-1.01)	0.59	1.00	(0.99-1.01)	0.90	1.01	(1.00-1.01)	<0.01			

\*Estimate after fitting ARMA model to indicator where autocorrelation was detected. The ARMA (p, q) parameters for ANC 1 are (1,0) and (2,0) for HIV tests performed.



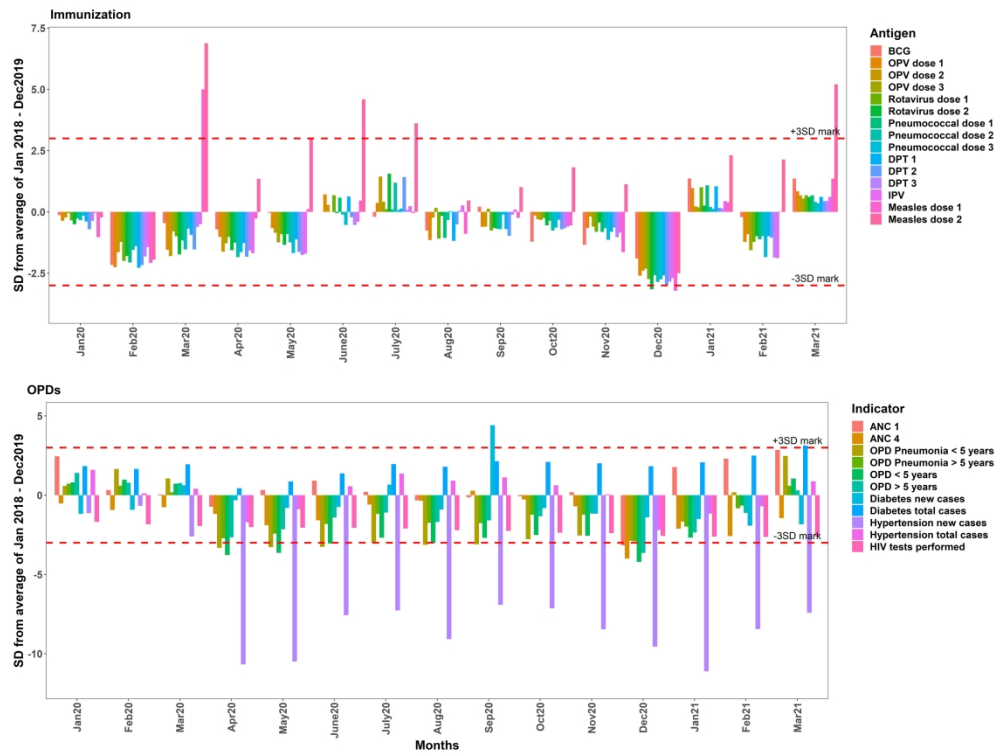
Daily seven moving average trends of COVID-19 cases in Kenya showing various interventions initiated by the government.

190x142mm (500 x 500 DPI)



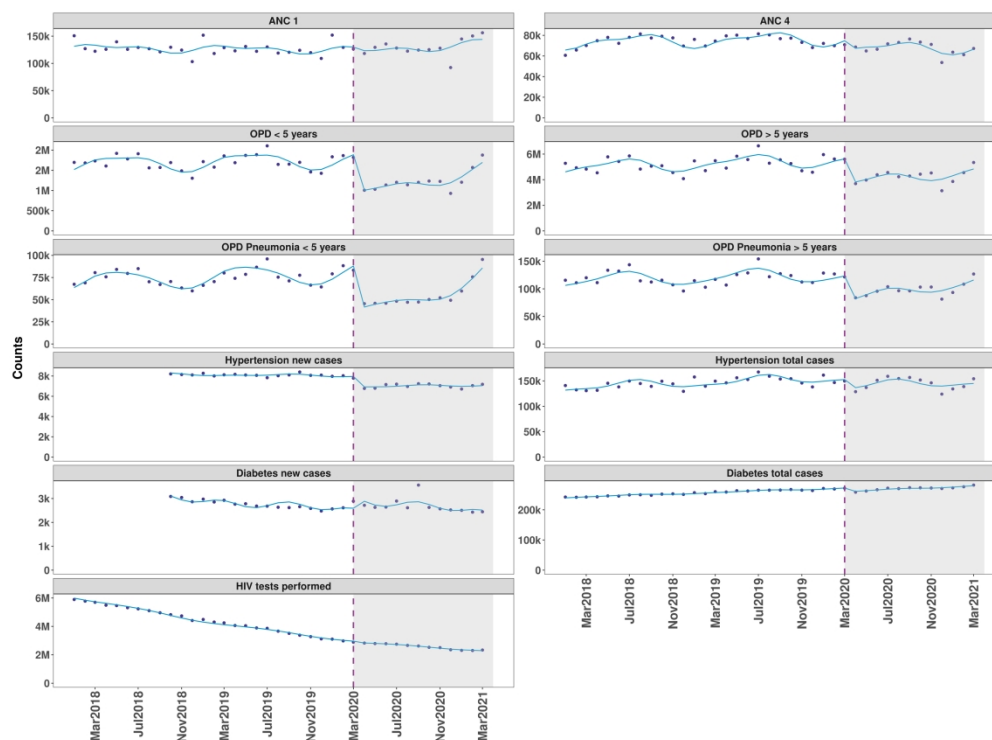
Temporal trends in monthly immunisation and outpatient attendance nationally and by year

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Statistical Process Control chart of immunisation, antenatal care and outpatient services. Horizontal dashed lines represent the 3-standard deviation mark.

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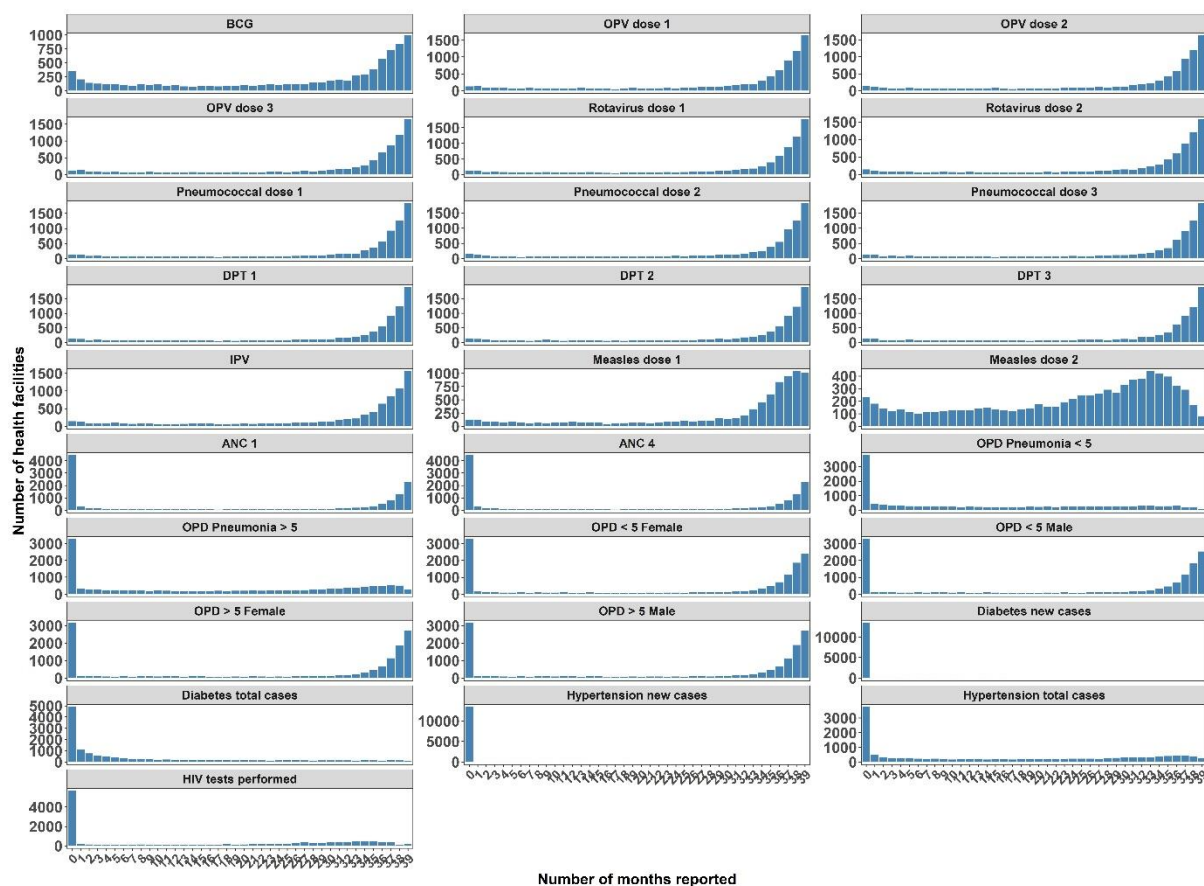


Fitted lines of segmented regression models for outpatient and antenatal care attendance. Vertical lines represent the month (March 2020) COVID-19 was announced in Kenya and as a pandemic by the WHO.

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SI Figure 1: Missing data patterns plot for indicators showing number of reported months by health facilities.



The x – axis shows the number of months reported by health facilities (0 to 39). 0 to the left means the health facilities did not report any month or may not be offering the service, while 39 means the health facilities reported all months.

## Additional Information 4

### Handling missing data

#### ***Missing data occurrence***

Missing data occurred for the indicators in a given month for a given health facility.

Health facility ownership (public or private), level of health facility, time (month and year) and COVID-19 binary indicator (0 – months before pandemic and 1 – months post pandemic) were used as covariates (independent variables) with the health facility as a clustering variable. These hospital characteristics were fully observed across all hospitals.

#### ***Multiple Imputation under joint modelling framework***

We implemented MI under the joint modelling imputation framework. In instances with complete data, standard statistical approaches for multi-level data apply models accounting for this dependency (1). Similarly, for missing values, imputation techniques need to account for dependency between observations, otherwise the predictive variance of the missing data is not accurately reflected. Certainly, if an incomplete variable is imputed ignoring the multilevel structure, the resultant imputations can be unreliable (1), and consequently bias in estimates obtained from imputed data. Therefore, to account for the multilevel structure, imputation techniques based on regression models that include a random intercept for clusters are generally used and have been implemented in the R-package *jomo* (2-4).

#### ***General model specification under joint modelling framework***

Since missing data was observed in each indicator (outcome variable) in our dataset, with hospital characteristics fully observed (covariates), this presents a univariate missing data pattern (1). The general imputation model under this scenario is outlined below (1);

Let the matrix  $Y_{n \times p} = (y_1, \dots, y_p)$  be the matrix of incomplete data for  $n$  items in rows and  $p$  variables in columns. Let  $i$  be the index for the individuals ( $1 \leq i \leq n$  and  $j$  for columns ( $1 \leq j \leq p$ )).  $Y$  is stratified to  $K$  clusters of size  $n_k$  where  $k$  denotes the index for a cluster ( $1 \leq k \leq K$ ). So,  $y_{jk}$  denotes the  $n_k$ - vector corresponding to vector  $y_j$  restricted to individuals within

1  
2  
3 cluster  $k$ . Then, let  $(y_j^{obs}, y_j^{miss})$  be the missing and observed parts of  $y_j$  and let  $Y^{obs} =$   
4  
5  $(y_1^{obs}, \dots, y_p^{obs})$  and  $Y^{miss} = (y_1^{miss}, \dots, y_p^{miss})$ . The imputation draws missing values from the  
6  
7 predictive distribution  $P(Y^{miss}|Y^{obs})$ , where, an imputation model with parameter  $\theta$  is specified  
8  
9 and realizations of the predictive distribution of the missing values can be obtained by; drawing  
10  
11  $\theta$  from  $P(\theta|Y^{obs})$  its posterior distribution and drawing missing values according to  
12  
13  $P(Y^{miss}|Y^{obs}, \theta)$  their predictive distribution given  $\theta$  (1). In our case of a single incomplete  
14  
15 variable  $(y_p)$ , the posterior distribution can be specified by letting  $\theta = (\beta, \Psi, \Sigma_k)$  be the  
16  
17 parameters of a linear mixed effects model (1):  
18  
19

$$20 \quad 21 \quad 22 \quad 23 \quad 24 \quad 25 \quad 26 \quad 27 \quad 28 \quad 29 \quad 30 \quad 31 \quad 32 \quad 33 \quad 34 \quad 35 \quad 36 \quad 37 \quad 38 \quad 39 \quad 40 \quad 41 \quad 42 \quad 43 \quad 44 \quad 45 \quad 46 \quad 47 \quad 48 \quad 49 \quad 50 \quad 51 \quad 52 \quad 53 \quad 54 \quad 55 \quad 56 \quad 57 \quad 58 \quad 59 \quad 60$$

$$y_{pk} = Z_k \beta + W_k b_k + \varepsilon_k, \quad (1)$$

$$b_k \sim N(0, \Psi), \quad (2)$$

$$\varepsilon_k \sim N(0, \Sigma_k) \quad (3)$$

Where  $y_{pk}$  denotes the incomplete variable restricted to the cluster  $k$ ,  $Z_k (n_k \times q)$  and  
 $W_k (n_k \times q')$  are the known covariate arrays corresponding to two subsets of  
 $(y_{1k}, \dots, y_{(p-1)k})$ ,  $\beta$  is the  $q$ -vector of regression coefficients of fixed effects,  $b_k$  is the  $q'$ -  
vector of random effects for cluster  $k$ ,  $\Psi (q' \times q')$  is the between cluster variance matrix, and  
 $\Sigma_k = \delta_k^2 I_{n_k} (n_k \times n_k)$  is the variance matrix within cluster  $k$ .

### **Implementation of the model to DHIS2**

Since the number of attendances is count, linear transformation was important before  
imputation, following an appropriate variance-stabilizing transformation to make the normal  
distribution assumption more plausible. The variance-stabilizing transformation for the  
Poisson distribution of count data is the square root, and it provides a better transformation  
relative to the log transformation for count data (5-9). The back transformed values under the  
square root method align with the original count scale. A linear mixed effects model was then  
selected for the implementation of MI. Below is a representation of the specified model in

matrix form:

$$Y_i = X_i\beta + Z_j b_j + \varepsilon_i \quad (4)$$

Where:  $Y_i$  is response vector of the indicators  $X_i$  the model matrix for the fixed effects (health facility covariates; Health facility ownership (public or private), level of health facility, COVID-19 binary indicator (0 – months before pandemic and 1 – months post pandemic) and time (time data was reported as months and year combined)) and  $Z_i$  the model matrix for the random intercept for observations in the  $j^{th}$  health facility. The vector of health facility covariates coefficients is represented by  $\beta$  while  $b_j$  represents the vector of random-effect coefficients in health facility  $j$ . The errors terms denoted by  $\varepsilon_i$  are assumed to follow a multivariate normal distribution with mean vector 0 and variance covariance matrix  $\Sigma$ . The MI mixed effects model was implemented in R version 3.6.3 using the *jomo* package for multilevel imputation (10).

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**SI Table 1: Number and percentage of health facilities analysed for each indicator. It shows number of facilities that did not report any month and those that were imputed (health facilities with more than 30% of months reported)**

Indicator	All hospitals expected to report in DHIS2	Number of health facilities imputed	Number of health facilities with no reported data	Percent of health facilities analysed out of those reporting at least a month
BCG	8063	6509	352	84
DPT1	8063	7142	130	90
DPT2	8063	7141	140	90
DPT3	8063	7136	124	90
IPV	8063	7089	144	90
Measles1	8063	7166	125	90
Measles2	8063	6578	230	84
OPV1	8063	7134	128	90
OPV2	8063	7144	140	90
OPV3	8063	7124	123	90
Pneum1	8063	7139	132	90
Pneum2	8063	7143	141	90
Pneum3	8063	7145	129	90
Rota1	8063	7126	130	90
Rota2	8063	7114	146	90
ANC 1	13595	7768	4450	85
ANC 4	13595	7768	4450	85
OPD > 5 Female	13595	9434	3156	90
OPD > 5 Male	13595	9431	3153	90
OPD < 5 Female	13595	9246	3274	90
OPD < 5 Male	13595	9250	3276	90
OPD Pneumonia > 5	13595	7933	3264	77
OPD Pneumonia < 5	13798	6976	3784	70
Diabetes new cases	13752	72	13472	26
Diabetes total cases	13752	4220	4914	48
Hypertension new cases	13752	121	13454	41
Hypertension total cases	13757	7381	3765	74
HIV tests performed	13752	6789	5674	84

**Table 1: Intraclass correlation coefficient (ICC)**

Indicator	ICC
OPD <5 years	0.72
OPD > 5 years	0.82
OPD Pneumonia > 5 years	0.52
OPD Pneumonia < 5 years	0.43
ANC 1	0.87
ANC 4	0.76
Diabetes new cases	0.51
Diabetes total cases	0.96
Hypertension new cases	0.93
Hypertension total cases	0.72
HIV tests	0.92

**Table 2: Akaike Information Criterion (AIC)**

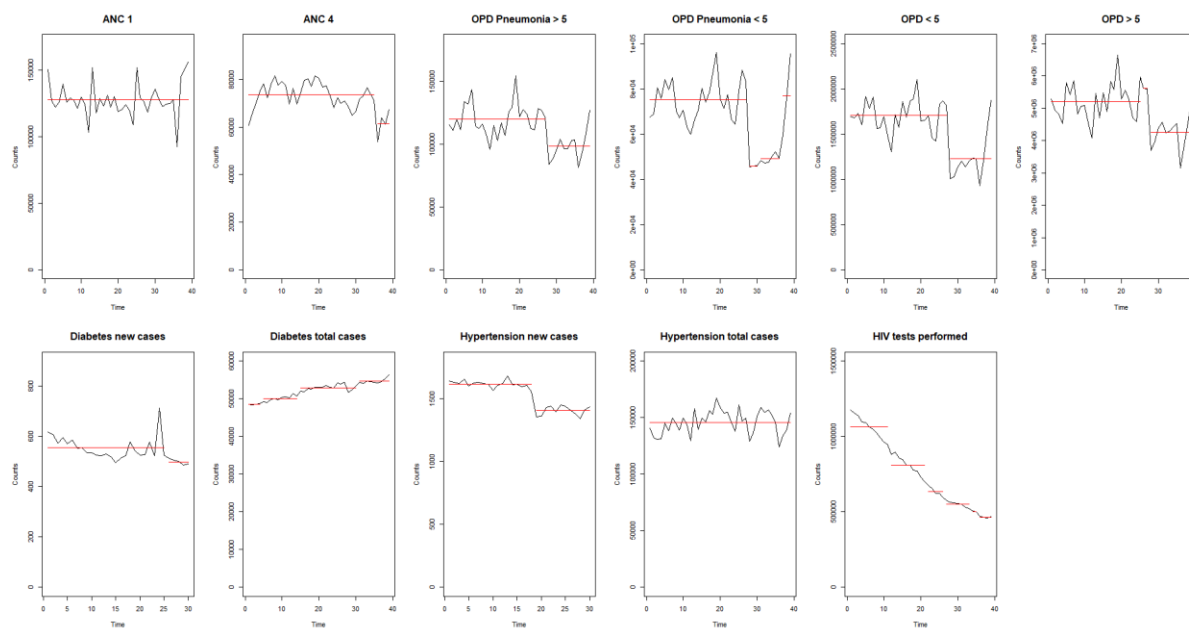
Indicator	Negative Binomial model	Negative Binomial model accounting for seasonality
OPD <5 years	1061.42	1042.73
OPD > 5 years	1147.58	1138.40
OPD Pneumonia < 5 years	841.78	832.94
OPD Pneumonia > 5 years	849.94	846.07
ANC 1	858.49	857.58
ANC 4	791.49	763.62
Diabetes new cases	419.34	417.93
Diabetes total cases	731.45	730.82
Hypertension new cases	340.09	338.17
Hypertension total cases	830.11	819.39
HIV tests	1008.38	1005.78

Table 3: Segmented regression estimates for Negative binomial model before adjusting for seasonality

Covariate	OPD < 5 years			OPD > 5 years			OPD Pneumonia < 5 years			OPD Pneumonia > 5 years			
	RR	95%CI	P-value	RR	95%CI	P-value	RR	95%CI	P-value	RR	95%CI	P-value	
<b>COVID-19</b>	0.56	(0.47-0.65)	<0.01	0.72	(0.62-0.84)	<0.01	0.49	(0.42-0.57)	<0.01	0.69	(0.59-0.82)	<0.01	
<b>Time</b>	1.00	(1.00-1.01)	0.75	1.00	(1.00-1.01)	0.18	1.01	(1.00-1.01)	<0.01	1.00	(1.00-1.01)	0.46	
<b>Trend</b>	1.04	(1.02-1.06)	<0.01	1.01	(0.99-1.03)	0.42	1.03	(1.01-1.05)	<0.01	1.00	(1.00-1.04)	0.05	
	ANC 1			ANC 4			Diabetes new cases			Diabetes total cases			
	RR	95%CI	P-value	RR	95%CI	P-value	RR	95%CI	P-value	RR	95%CI	P-value	
<b>COVID-19</b>	0.96	(0.83-1.10)	0.53	0.95	(0.84-1.08)	0.45	1.12	(0.89-1.41)	0.34	0.97	(0.95-0.98)	<0.01	
<b>Time</b>	1.00	(0.99-1.00)	0.45	1.00	(1.00-1.01)	0.52	0.99	(0.98-1.00)	0.14	1.01	(1.00-1.02)	<0.01	
<b>Trend</b>	1.02	(1.00-1.03)	0.07	0.99	(0.97-1.01)	0.25	1.00	(0.99-1.02)	0.87	1.00	(0.99-1.00)	0.56	
	Hypertension new cases			Hypertension total cases			HIV Tests Performed						
	RR	95%CI	P-value	RR	95%CI	P-value	RR	95%CI	P-value				
<b>COVID-19</b>	0.92	(0.82-1.04)	0.21	0.92	(0.86-0.99)	0.03	1.01	(0.98-1.05)	0.41				
<b>Time</b>	0.99	(0.99-1.01)	0.18	1.01	(1.00-1.01)	<0.01	0.97	(0.97-0.97)	0.01				
<b>Trend</b>	1.04	(0.99-1.10)	0.14	0.99	(0.98-1.00)	<0.01	1.00	(0.99-1.01)	0.69				

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SI Figure 1: Multiple change point analysis plots showing significant shifts in attendance



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SI Table 1: Interrupted time series models comparing estimates before and after excluding strike period

		OPD < 5			OPD > 5			OPD Pneumonia < 5			OPD Pneumonia > 5		
Ownership		RR	95%CI	P-value	RR	95%CI	P-value	RR	95%CI	P-value	RR	95%CI	P-value
Primary	COVID-19	0.50	(0.44-0.57)	<0.01	0.65	(0.57-0.75)	<0.01	0.43	(0.38-0.47)	<0.01	0.62	(0.55-0.70)	<0.01
	Time	1.00	(0.99-1.01)	0.15	1.00	(1.00-1.01)	0.02	1.01	(1.00-1.01)	<0.01	1.00	(0.99-1.01)	0.05
	Trend	1.05	(1.03-1.06)	<0.01	1.02	(1.00-1.04)	0.03	1.07	(1.05-1.09)	<0.01	1.03	(1.02-1.05)	<0.01
Excluding Strike	COVID-19	0.45	(0.39-0.52)	<0.01	0.60	(0.53-0.68)	<0.01	0.39	(0.33-0.45)	<0.01	0.58	(0.52-0.66)	<0.01
	Time	1.00	(1.00-1.01)	0.13	1.01	(1.00-1.01)	<0.01	1.01	(1.00-1.01)	0.02	1.00	(1.00-1.01)	0.03
	Trend	1.09	(1.06-1.11)	<0.01	1.05	(1.03-1.07)	<0.01	1.10	(1.07-1.13)	<0.01	1.06	(1.04-1.08)	<0.01
		ANC 1			ANC 4			Diabetes new cases			Diabetes total cases		
		RR	95%CI	P-value	RR	95%CI	P-value	RR	95%CI	P-value	RR	95%CI	P-value
Primary	COVID-19	0.96	(0.83-1.10)	0.55	0.86	(0.80-0.93)	<0.01	1.17	(0.89-1.53)	0.25	0.95	(0.93-0.97)	<0.01
	Time	1.00	(0.99-1.00)	0.61	1.00	(0.99-1.00)	0.13	0.99	(0.98-1.00)	0.13	1.00	(1.00-1.01)	<0.01
	Trend	1.01	(0.99-1.03)	0.12	1.00	(0.99-1.01)	0.90	0.99	(0.97-1.01)	0.57	1.00	(1.00-1.00)	0.05
Excluding Strike	COVID-19	0.96	(0.84-1.09)	0.52	0.83	(0.77-0.89)	<0.01	1.12	(0.85-1.48)	0.43	0.94	(0.92-0.96)	<0.01
	Time	1.00	(1.00-1.00)	0.44	1.00	(1.00-1.00)	0.05	0.99	(0.98-1.00)	0.12	1.00	(1.00-1.01)	<0.01
	Trend	1.02	(1.00-1.04)	0.06	1.01	(1.00-1.03)	0.02	1.01	(0.98-1.04)	0.73	1.01	(1.00-1.01)	<0.01
		Hypertension new cases			Hypertension total cases			HIV Tests Performed					
		RR	95%CI	P-value	RR	95%CI	P-value	RR	95%CI	P-value			
Primary	COVID-19	0.87	(0.75-1.00)	0.05	0.89	(0.82-0.96)	<0.01	0.97	(0.94-0.99)	0.01			
	Time	1.00	(0.99-1.01)	0.81	1.01	(1.00-1.01)	<0.01	0.97	(0.97-0.97)	<0.01			
	Trend	1.00	(0.99-1.01)	0.59	1.00	(0.99-1.01)	0.90	1.01	(1.01-1.01)	<0.01			
Excluding Strike	COVID-19	0.86	(0.74-1.00)	0.06	0.85	(0.79-0.92)	<0.01	0.97	(0.94-1.00)	0.11			
	Time	1.00	(0.99-1.01)	0.81	1.01	(1.00-1.01)	<0.01	0.97	(0.97-0.97)	<0.01			
	Trend	1.01	(0.99-1.02)	0.48	1.01	(1.00-1.03)	0.02	1.01	(1.00-1.01)	<0.01			

SI Table 2: Generalised estimating equations (GEE) results at health facility level showing rate ratios (RR) for COVID-19 intervention, time and trend alongside 95% confidence intervals for all indicators

		OPD < 5			OPD > 5			OPD Pneumonia < 5			OPD Pneumonia > 5		
Ownership		RR	95%CI	P-value	RR	95%CI	P-value	RR	95%CI	P-value	RR	95%CI	P-value
Primary	COVID-19	0.50	(0.44-0.57)	<0.01	0.65	(0.57-0.75)	<0.01	0.43	(0.38-0.47)	<0.01	0.62	(0.55-0.70)	<0.01
	Time	1.00	(0.99-1.01)	0.15	1.00	(1.00-1.01)	0.02	1.01	(1.00-1.01)	<0.01	1.00	(0.99-1.01)	0.05
	Trend	1.05	(1.03-1.06)	<0.01	1.02	(1.00-1.04)	0.03	1.07	(1.05-1.08)	<0.01	1.03	(1.02-1.05)	<0.01
GEE	COVID-19	0.50	(0.48-0.51)	<0.01	0.65	(0.64-0.66)	<0.01	0.42	(0.40-0.43)	<0.01	0.62	(0.60-0.64)	<0.01
	Time	1.00	(1.00-1.01)	<0.01	1.01	(1.00-1.01)	<0.01	1.01	(1.00-1.01)	<0.01	1.00	(1.00-1.01)	<0.01
	Trend	1.05	(1.04-1.05)	<0.01	1.02	(1.01-1.02)	<0.01	1.07	(1.06-1.07)	<0.01	1.03	(1.03-1.04)	<0.01
		ANC 1			ANC 4			Diabetes new cases			Diabetes total cases		
		RR	95%CI	P-value	RR	95%CI	P-value	RR	95%CI	P-value	RR	95%CI	P-value
Primary	COVID-19	0.96	(0.83-1.10)	0.55	0.86	(0.80-0.93)	<0.01	1.17	(0.89-1.52)	0.25	0.95	(0.93-0.97)	<0.01
	Time	1.00	(0.99-1.00)	0.61	1.00	(0.99-1.00)	0.13	0.99	(0.98-1.00)	0.13	1.00	(1.00-1.01)	<0.01
	Trend	1.01	(0.99-1.03)	0.12	1.00	(0.99-1.01)	0.90	0.99	(0.97-1.01)	0.57	1.00	(1.00-1.00)	0.05
GEE	COVID-19	0.96	(0.92-0.99)	0.01	0.87	(0.83-0.90)	<0.01	1.17	(0.89-1.53)	0.26	0.95	(0.93-0.98)	<0.01
	Time	1.00	(0.99-1.00)	0.05	1.00	(1.00-1.01)	0.01	0.99	(0.98-1.00)	0.13	1.00	(1.00-1.01)	<0.01
	Trend	1.01	(1.01-1.02)	<0.01	1.00	(0.99-1.01)	0.71	0.99	(0.98-1.01)	0.58	1.00	(1.00-1.00)	0.26
		Hypertension new cases			Hypertension total cases			HIV Tests Performed					
		RR	95%CI	P-value	RR	95%CI	P-value	RR	95%CI	P-value			
Primary	COVID-19	0.87	(0.75-1.00)	0.05	0.89	(0.82-0.96)	<0.01	0.97	(0.94-0.99)	0.01			
	Time	1.00	(0.99-1.01)	0.81	1.01	(1.00-1.01)	<0.01	0.97	(0.97-0.97)	<0.01			
	Trend	1.00	(0.99-1.01)	0.59	1.00	(0.99-1.01)	0.90	1.01	(1.01-1.01)	<0.01			
GEE	COVID-19	0.87	(0.74-1.02)	0.09	0.89	(0.85-0.92)	<0.01	0.96	(0.95-0.98)	<0.01			
	Time	1.00	(0.99-1.01)	0.83	1.01	(1.00-1.01)	<0.01	0.97	(0.97-0.97)	<0.01			
	Trend	1.00	(0.99-1.02)	0.70	1.00	(1.00-1.01)	0.83	1.01	(1.01-1.01)	<0.01			

## STROBE Statement—checklist of items that should be included in reports of observational studies

	Item No.	Recommendation	Page No.	Relevant text from manuscript
<b>Title and abstract</b>	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	1	Title and abstract
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	2	Methods and Results section of abstract
<b>Introduction</b>				
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	3	Introduction section
Objectives	3	State specific objectives, including any prespecified hypotheses	4	Last paragraph of Introduction section
<b>Methods</b>				
Study design	4	Present key elements of study design early in the paper	4	Timeline of events and data subsections in the methods section
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	8	Data subsection in Methods section. Data obtained from National Health Information System.
Participants	6	(a) <i>Cohort study</i> —Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up <i>Case-control study</i> —Give the eligibility criteria, and the sources and methods of case ascertainment and control selection. Give the rationale for the choice of cases and controls <i>Cross-sectional study</i> —Give the eligibility criteria, and the sources and methods of selection of participants	8	All health facilities that report to the National Health Information database were included. Aggregated health facility data was extracted and therefore no individual data was collected.
		(b) <i>Cohort study</i> —For matched studies, give matching criteria and number of exposed and unexposed <i>Case-control study</i> —For matched studies, give matching criteria and the number of controls per case		
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	10	Segmented regression subsection

1				
2	Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	8 <i>Extracted data sub-section</i>
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5	Bias	9	Describe any efforts to address potential sources of bias	8, 9 Missing data handling
6	Study size	10	Explain how the study size was arrived at	8 10 Data sources subsection-All health facilities reporting in the national health information database
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11	Continued on next page			

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Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	8-9	Statistical analysis subsection
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	8-9	Statistical analysis subsection
		(b) Describe any methods used to examine subgroups and interactions	NA	No subgroup analysis was carried out
		(c) Explain how missing data were addressed	9	Handling missing data sub-section
		(d) <i>Cohort study</i> —If applicable, explain how loss to follow-up was addressed <i>Case-control study</i> —If applicable, explain how matching of cases and controls was addressed <i>Cross-sectional study</i> —If applicable, describe analytical methods taking account of sampling strategy	NA	No loss to follow up in the study period.
		(e) Describe any sensitivity analyses	11	Last paragraph of Methods section
<b>Results</b>				
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	9	Number of health facilities analysed provided in the last sentence of subsection on Handling missing data
		(b) Give reasons for non-participation at each stage	NA	No individual data
		(c) Consider use of a flow diagram	NA	
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	NA	No individual patient's data
		(b) Indicate number of participants with missing data for each variable of interest	9	Missing data across hospitals provided
		(c) <i>Cohort study</i> —Summarise follow-up time (eg, average and total amount)	NA	
Outcome data	15*	<i>Cohort study</i> —Report numbers of outcome events or summary measures over time	12	Statistical Process Control (SPC) charts showing changes of monthly attendance post-COVID19 using the standard deviation from mean of baseline period (pre-COVID19) Figure 3
		<i>Case-control study</i> —Report numbers in each exposure category, or summary measures of exposure		
		<i>Cross-sectional study</i> —Report numbers of outcome events or summary measures		

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Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	12	Table 2
		(b) Report category boundaries when continuous variables were categorized	N/A	No continuous variables were categorized
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	13	Results were rate ratios, translated to percentages to quantify the changes

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Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	13	Sensitivity analyses section
<b>Discussion</b>				
Key results	18	Summarise key results with reference to study objectives	14	Discussion section
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	17	Limitations subsection
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	16	Strengths and implications of study subsection
Generalisability	21	Discuss the generalisability (external validity) of the study results	16-17	Strengths and implications of study subsection and Conclusion subsection
<b>Other information</b>				
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	18	Funding subsection

\*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

**Note:** An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at [www.strobe-statement.org](http://www.strobe-statement.org).