Physical therapy for the management of motor symptoms in amyotrophic lateral sclerosis: protocol for a systematic review

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ABSTRACT

Introduction The prescription of an intervention plan can be challenging for the physical therapist, considering clinical phenotypes, individual prognosis and the rapid, progressive and deteriorating nature of amyotrophic lateral sclerosis (ALS). In this context, therapeutic exercises (eg, resistance and aerobic exercises) for patients with ALS remain controversial and may influence the treatment plan. Therefore, this review aims to critically assess whether physical therapy interventions are effective for improving functional capacity, quality of life and fatigue of individuals with ALS.

Methods and analysis Studies will be selected according to eligibility criteria, and language, geographical area or publication date will not be restricted. Four databases will be used: MEDLINE, EMBASE, Cochrane Library (CENTRAL) and Physiotherapy Evidence Database (PEDro). Searches will also be conducted on ClinicalTrials.gov and references from included studies. We plan to conduct the searches between October and December 2022. Two independent authors will examine titles and abstracts and exclude irrelevant studies and duplicates. We will assess the quality of studies and quality of evidence, and disagreements will be resolved with a third researcher. The findings will be presented in the text and tables; if possible, we will perform meta-analyses.

Ethics and dissemination No ethical approval is required because this study does not involve human beings. We will publish our findings in peer-reviewed journals.

PROSPERO registration number CRD42021251350.

STRENGTHS AND LIMITATIONS OF THIS STUDY

⇒ We will critically assess whether motor physical therapy techniques are effective for treating patients with amyotrophic lateral sclerosis (ALS).
⇒ We intend to analyse different types of physical therapy interventions.
⇒ Diversified characteristics related to ALS may lead to heterogeneity of results.
⇒ Despite a comprehensive literature search, only limited clinical trials of adequate quality may be available.

INTRODUCTION

Amyotrophic lateral sclerosis (ALS) is a degenerative and disabling disease of the nervous system that involves the loss of motor neurons in the cortex, brainstem and spinal cord.1 It is characterised by muscle weakness leading to loss of ambulation and functionality, swallowing difficulties, respiratory muscle dysfunction and death due to ventilator insufficiency.2 3 ALS may be clinically classified according to symptom onset: spinal, which initially affects upper or lower limbs or both; and bulbar, which initially affects head and neck regions.4 46 The prevalence of spinal ALS worldwide may be higher than bulbar ALS (58%–82%).7

Progressive muscle weakness may occur in the early stages of the disease and be asymmetric between upper and lower limbs, leading to reduced functional performance and interfering negatively with quality of life.8 Although this progression varies substantially between individuals, it usually begins with the involvement of limbs, followed by other body regions. Individuals with ALS also report fatigue, which is experienced as a generalised feeling of tiredness and difficulty performing maximal muscle contraction.9 Spasticity is another disabling symptom that is present in most individuals with ALS.10

Considering the prognosis and lethal characteristics of ALS, the treatment consists of individualised general care.11 Regarding pharmacological treatment, the small number of drugs approved by the Food and Drug Administration acts modestly on the drastic effects of the disease.12 The clinical treatment is complex and requires a multidisciplinary approach to cover all difficulties faced by patients. Physical therapy is fundamental for the multidisciplinary team and must be adapted to the needs and objectives of the individual. The physical therapist must also focus on treating symptoms, maximising
functions and activities and participation and providing quality of life to patients with ALS.\textsuperscript{13}

Patients with ALS widely accept physical activity involving range of motion and stretching exercises. Resistance exercises for the unaffected muscles (or affected muscles with strength of at least grade 3 or higher) using low to moderate loads and aerobic activities at submaximal levels (ie, 50\%–65\% of heart rate reserve), such as swimming, walking and stationary cycling, can be safe and effective to achieve therapeutic goals.\textsuperscript{14} Aerobic and resistance exercises should be prescribed early after diagnosis and may be more suitable for patients with slow disease progression and those in the initial or intermediate stage of ALS.\textsuperscript{15}

The prescription of an intervention plan can be challenging for physical therapists due to the diverse clinical phenotypes, individual prognosis and the rapid, progressive and deteriorating nature of the disease.\textsuperscript{15} In this sense, the effects of therapeutic exercises (ie, resistance and aerobic exercises) in patients with ALS remain controversial in the literature. Nevertheless, the hypothesis that properly prescribed exercises applied in the early stages benefit patients with ALS is being increasingly accepted.\textsuperscript{16} Furthermore, most studies evaluating physical therapy exercises or motor techniques in ALS demonstrated improved physical function, quality of life and fatigue.\textsuperscript{9,17,18} Therefore, this review aims to critically assess whether physical therapy interventions are effective for improving functional capacity, quality of life and fatigue of individuals with ALS.

METHODS AND ANALYSIS

This systematic review protocol was conducted according to the Preferred Reporting Items for Systematic Review and Meta-Analysis Protocols (PRISMA-P)\textsuperscript{10} and registered in the International Prospective Register of Systematic Reviews (PROSPERO).

Eligibility criteria

Study types

We will include randomised clinical trials (RCTs) involving motor physical therapy for patients with ALS and control groups (any other non-physiotherapeutic method or technique, placebo, no intervention or minimal intervention (eg, minimum guidance and booklets)). Data from the first phase of cross-over studies will also be included if a random allocation of interventions has been conducted. We will exclude quasiexperimental and non-randomised studies.

Participants

We will include participants of both sexes, with a clinical or probable diagnosis of ALS at any stage of the disease, without any other neurological disease, aged 18 or above and who have undergone motor physical therapy methods or techniques in RCTs.

Interventions and comparators

We will consider intervention as any physical therapy practice acting on motor symptoms of patients with ALS: therapeutic exercises, stretching, aerobic exercises, relaxation techniques, massage therapy, electrostimulation and energy conservation techniques. Any other non-physiotherapeutic method or technique, placebo, no intervention or minimal intervention (eg, minimum guidance and booklets) will be considered for the control group.

Outcome measures

1. The primary outcome measure will be the assessment of global function using the Amyotrophic Lateral Sclerosis Functional Rating Scale-Revised\textsuperscript{19}

2. Secondary outcomes will include quality of life (36-Item Short Form Health Survey,\textsuperscript{20} ALS Assessment Questionnaire,\textsuperscript{21} ALS Specific Quality of Life-Revised\textsuperscript{22} or McGill Quality of Life Questionnaire),\textsuperscript{23} fatigue (Modified Fatigue Impact Scale,\textsuperscript{24} Fatigue Severity Scale\textsuperscript{25} or Neurological Fatigue Index-Motor Neurone Disease\textsuperscript{26}) and adverse events related to the intervention during the study period.

Moment of evaluation

We will extract outcome data from baseline, end of the intervention (immediate effects) and follow-up (medium and long-term effects). The medium-term effects will be those collected between 1 week after the end of the intervention and 6 months after the treatment, whereas the long-term effects will be considered if collected more than 6 months after treatment.

Search method

We will select studies according to eligibility criteria and without language, geographical area or publication date restriction. We will use four databases: MEDLINE, EMBASE, Cochrane Library (CENTRAL) and Physiotherapy Evidence Database (PEDro). Searches will also be conducted on ClinicalTrials.gov (www.clinicaltrials.gov/) and the reference list of included studies. After full-text reading, we will remove studies not meeting inclusion criteria, and reasons for exclusion will be registered. We plan to conduct the searches between October and December 2022.

Search strategy

We built a search strategy (see online supplemental material 1) using the MEDLINE database and will adapt it to other databases to search for terms referring to ALS motor symptoms, functionality, quality of life and fatigue. Descriptors regarding aspects of ALS, intended outcomes and physical therapy techniques will be included in English.

Data extraction

Two independent authors (STdS and KP) will verify the titles and abstracts of references and exclude irrelevant studies using the Rayyan software (Intelligent
Systematic Review). Researchers will remove duplicates, and authors will be contacted if more than one study included the same sample and did not report it in the text. If confirmed, we will consider the most recent study or that with a complete presentation of data. Inconsistencies will be resolved by discussion or consulting a third author (TSR). We will record this process in a PRISMA flow chart.

The same authors (STdS and KP) will also extract data from the included studies using an extraction form elaborated by the researchers. In case of absence or uncertain data, the authors will be contacted.

**Risk of bias assessment**

We will use the PEDro scale to assess the quality of included studies. It consists of 11 criteria that determine the methodological quality using a score ranging from 0 to 10. High scores indicate high methodological rigour.

**Data analysis and processing**

We will use the Review Manager V.5.4 software for statistical analysis. For continuous outcomes, the weighted mean difference will be selected when tools and measurement units are the same, whereas the standardised mean difference will be used when tools and measurement units are different. The 95% CIs will also be calculated. For dichotomous outcomes (adverse events), we will use the OR and 95% CIs to measure treatment effects.

**Heterogeneity**

Values of $X^2$ and $I^2$ will determine the heterogeneity among studies. In the case of low heterogeneity ($p>0.1$ and $I^2\leq50\%$), the fixed-effects model will be used for meta-analysis. If significant heterogeneity is observed between studies ($p<0.1$, $I^2>50\%$), the source of heterogeneity will be explored using subgroup analyses.

**Meta-analyses**

We will conduct meta-analyses using the Review Manager V.5 or a more recent version if clinical and methodological similarities are detected between studies. Studies will be grouped to compare the following effects:
1. Methods and techniques of motor physical therapy versus placebo.
2. Methods and techniques of motor physical therapy versus no therapy.
3. Methods and techniques of motor physical therapy versus educational and orientation programmes.

**Dealing with missing data**

In the case of missing data (ie, statistical or relevant data related to the study), we will contact the lead author via email for additional information. A descriptive analysis will be conducted in case of data loss or no author reply.

**Subgroup analyses**

We intend to consider the following variables in subgroup analyses if the number of studies is sufficient:
1. Type of disease onset: spinal or bulbar.
2. Disease duration: less than 5 years or more than 5 years.
3. Age: less than 60 years and above 60 years.
4. Type of treatment: manually or using equipment.
5. Treatment dose: once to twice a week and more than twice a week.

**Sensitivity analysis**

We will perform sensitivity analyses in case of suspected missing data introducing important biases and exclude studies with low grades during risk of bias assessment ($\leq5$). Heterogeneity caused by peripheral studies will also be assessed.

**Assessment of quality of evidence**

We will use the five components of the grading quality of evidence and strength of recommendations (ie, study limitations, inconsistency, imprecision, indirectness and publication bias) to assess the quality of studies included in the meta-analyses. When necessary, decisions to reduce or increase the quality of evidence will be justified using footnotes and comments to help the reader understand the review. The quality of the evidence will be classified as high, moderate, low or very low.

**Patient and public involvement**

Patients will not be involved in this study.

**ETHICS AND DISSEMINATION**

No ethical approval is required because this study does not involve human beings. Our results will be published in peer-reviewed journals.

**DISCUSSION**

The prescription of therapeutic exercises is challenging for physical therapists due to the concern that it would accelerate the progressive decline of patients with ALS. Therefore, it is worth gathering scientific evidence to guide the treatment and exploring the effects of reliable physical therapy methods and techniques for motor symptoms in ALS.

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Acknowledgements The authors thank the Laboratory of Technological Innovation in Health (LAIS) of the Federal University of Rio Grande do Norte. We also thank the Probatus Academic Services for the translation, review and editing of this study.

Contributors STdS: research concept and study design, literature review, and writing and reviewing of the manuscript. AAdS: literature review and study design. KP, VRR: research concept and study design. LPdM: study design. RAdMV: funding acquisition. TSR: research concept, study design, reviewing and project administration.

Funding This study was partially supported by the Coordination for the Improvement of Higher Education Personnel-Brazil (CAPES)-Finance Code 001 and the National Council for Scientific and Technological Development and Ministry of Health through a Decentralized Execution Term (TED132/2018).

Competing interests None declared.

Patient and public involvement Patients and/or the public were not involved in the design, or conduct, or reporting, or dissemination plans of this research.

Patient consent for publication Not applicable.
Open access

Provenance and peer review  Not commissioned; externally peer reviewed.

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REFERENCES

**Supplementary material**

**Search strategies for all databases**

Ovid search strategy used for MEDLINE and Embase

No filters will be used, and no limits will be placed on publication date nor on language.

1. amyotrophic lateral sclerosis  
2. als  
3. motor neuron disease  
4. motor neurone disease  
5. motoneuron disease  
6. motoneurone disease  
7. motor neuron disorders  
8. lou gehrig  
9. lou gehrig disease  
10. lou gehrig syndrome  
11. charcot disease  
12. (#1 or #2 or #3 or #4 or #5 or #6 or #7 or #8 or #9 or #10 or #11)  
13. fatigue  
14. muscle fatigue  
15. muscular fatigue  
16. fatigability  
17. exhaustion  
18. tiredness  
19. lethargy  
20. sleepiness  
21. lack of vigor  
22. functionality  
23. muscle function  
24. limb function  
25. loss of function  
26. activities of daily living  
27. adl  
28. daily activities  
29. quality of life  
30. well-being  
31. health-related quality of life  
32. hrqol  
33. life satisfaction  
34. qol  
35. motor function  
36. movement  
37. motor recovery  
38. motor functioning  
39. exercise  
40. muscle exercise  
41. rehabilitation
42. physiotherapy
43. physical therapy
44. (#13 or #14 or #15 or #16 or #17 or #18 or #19 or #20 or #21 or #22 or #23 or #24 or #25 or #26 or #27 or #28 or #29 or #30 or #31 or #32 or #33 or #34 or #35 or #36 or #37 or #38 or #39 or #40 or #41 or #42 or #43)
45. (#12 and #44)

Cochrane Library (CENTRAL) search strategy

This database will be searched individually using this search strategy with no limits or filters.

1. amyotrophic lateral sclerosis
2. als
3. motor neuron disease
4. motor neurone disease
5. motoneuron disease
6. motoneurone disease
7. motor neuron disorders
8. lou gehrig
9. lou gehrig disease
10. lou gehrig syndrome
11. charcot disease
12. (#1 or #2 or #3 or #4 or #5 or #6 or #7 or #8 or #9 or #10 or #11)
13. fatigue
14. muscle fatigue
15. muscular fatigue
16. fatigability
17. exhaustion
18. tiredness
19. lethargy
20. sleepiness
21. lack of vigor
22. functionality
23. muscle function
24. limb function
25. loss of function
26. activities of daily living
27. adl
28. daily activities
29. quality of life
30. well-being
31. health-related quality of life
32. hrqol
33. life satisfaction
34. qol
35. motor function
36. movement
37. motor recovery
38. motor functioning
39. exercise
40. muscle exercise
41. rehabilitation
42. physiotherapy
43. physical therapy
44. (#13 or #14 or #15 or #17 or #18 or #20 or #21 or #22 or #23 or #24 or #25 or #26 or #27 or #28 or #29 or #30 or #31 or #32 or #33 or #34 or #35 or #36 or #37 or #38 or #39 or #40 or #41 or #42 or #43)
45. (#12 and #44)

Physiotherapy Evidence Database (PEDro) strategy

This database will be searched individually using this search strategy with no limits and with the following filters.