

# BMJ Open Vector control strategies in Brazil: a qualitative investigation into community knowledge, attitudes and perceptions following the 2015–2016 Zika virus epidemic

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## ABSTRACT

**Objective** The World Health Organization declared a Public Health Emergency of International Concern following the rapid emergence of neonatal microcephaly in Brazil during the 2015–2016 Zika virus (ZIKV) epidemic. In response, a national campaign sought to control *Aedes* mosquito populations and reduce ZIKV transmission. Achieving adherence to vector control or mosquito-bite reduction behaviours, including the use of topical mosquito repellents, is challenging. Coproduction of research at the community level is needed to understand and mitigate social determinants of lower engagement with *Aedes* preventive measures, particularly within disempowered groups.

**Design** In 2017, the Zika Preparedness Latin America Network (ZikaPLAN) conducted a qualitative study to understand individual and community level experiences of ZIKV and other mosquito-borne disease outbreaks. Presented here is a thematic analysis of 33 transcripts from community focus groups and semistructured interviews, applying the Health Belief Model (HBM) to elaborate knowledge, attitudes and perceptions of ZIKV and vector control strategies.

**Participants** 120 purposively sampled adults of approximate reproductive age (18–45); 103 women participated in focus groups and 17 men in semistructured interviews.

**Setting** Two sociopolitically and epidemiologically distinct cities in Brazil: Jundiá (57 km north of São Paulo) and Salvador (Bahia state capital).

**Results** Four key and 12 major themes emerged from the analysis: (1) knowledge and cues to action; (2) attitudes and normative beliefs (perceived threat, barriers, benefits and self-efficacy); (3) behaviour change (household prevention and community participation); and (4) community preferences for novel repellent tools, vector control strategies and ZIKV messaging.

**Conclusions** Common barriers to repellent adherence were accessibility, appearance and effectiveness. A strong case is made for the transferability of the HBM to inform epidemic preparedness for mosquito-borne disease outbreaks at the community level. Nationally, a health campaign targeting men is recommended, in addition to

## Strengths and limitations of this study

- There are limited examples of direct postepidemic engagement and research coproduction with disempowered groups in Brazil, including pregnant women and communities with lower socioeconomic position.
- Focus groups and semistructured interviews provided rich qualitative data on perceptions of vector control strategies and barriers to community engagement with preventive measures during the Zika epidemic.
- A large sample of community members of different ages from two geographically distinct cities in Brazil promoted generalisability of the study outcomes and recommendations.
- A limitation of the focus groups is that participants were asked about their awareness and interest in repellent clothing, and most were not familiar with these as options for personal protection.
- Since interviews took place in 2017, follow-up sessions may have strengthened understanding of how perceptions of *Aedes*-related diseases changed over time, particularly following subsequent outbreaks of chikungunya and yellow fever virus in Brazil.

local mobilisation of funding to strengthen surveillance, risk communication and community engagement.

## BACKGROUND

Zika virus (ZIKV) is a flavivirus primarily transmitted by *Aedes aegypti*, an aggressive day-biting mosquito found in tropical and subtropical climates.<sup>1</sup> Secondary modes of transmission include sexual contact and blood transfusions, as well as vertical transmission in ZIKV-seropositive women.<sup>2,3</sup> Vertical transmission of ZIKV during pregnancy has been associated with devastating developmental consequences in infected offspring, including microcephaly and other neurological



impairments that are collectively recognised as congenital Zika syndrome (CZS).<sup>4–6</sup>

On 11 November 2015, following a significant increase in the number of children born with microcephaly in Northeast Brazil, the Ministry of Health (MoH) declared ZIKV a national emergency.<sup>7</sup> Given the temporal and spatial overlap of microcephaly cases and ZIKV outbreaks, in February 2016, the World Health Organization (WHO) subsequently declared ZIKV a Public Health Emergency of International Concern.<sup>8</sup> By February 2017, Brazil accounted for 65% of the confirmed cases of ZIKV (N=201 821) and 90% of cases of CZS (N=2632) in the Americas.<sup>9</sup>

Population control of *A. aegypti* is the main line of defence against ZIKV transmission.<sup>10</sup> In addition to natural reservoirs, rapid or unplanned urbanisation has contributed to the metropolitan success of this species, which breeds in areas with poor drainage, such as open drains, water tanks and receptacles created by household waste.<sup>11</sup> Negotiating responsibility in relation to maintenance of communal spaces (eg, the individual, community, government or society more broadly) and failure to identify persistent *A. aegypti* or *A. albopictus* cryptic breeding sites hinders adequate vector control.<sup>12</sup> Chronic underfunding and intervention siloes also further undermine efforts to prevent mosquito-borne disease (MBD) outbreaks.<sup>13</sup>

Individual-level mosquito bite-reduction strategies include wearing long-sleeved clothing to create physical barriers, as well as applying topical mosquito repellents.<sup>14</sup> Non-topical strategies include fabric repellent or insecticide sprays.<sup>14 15</sup> However, many repellents do not provide long-lasting protection and often require reapplication.<sup>16</sup> Integration of repellents or insecticides into wearable materials, a method used to treat military clothing in some settings,<sup>17</sup> may instead provide an effective and scalable prevention strategy that is of value to at-risk communities in Brazil.<sup>18</sup>

To reduce sexual transmission of ZIKV, Brazil's MoH promoted condom use and postponement of planned pregnancy during the epidemic.<sup>19</sup> While international guidelines also advocated the relaxation of antiabortion legislation, in Brazil, abortion is only decriminalised for fetal anencephaly (a lethal birth defect), rape or conditions that risk maternal death.<sup>20 21</sup> As a result, abortion was omitted from the MoH protocol on reproduction rights and prenatal, delivery and postpartum care in response to ZIKV.<sup>19</sup> Instead, Brazil's policy strategy emphasised vector control, technology research and development, and assurance of access to healthcare for individuals with long-term sequelae of ZIKV infection.<sup>22</sup>

In November 2016, the WHO declared the end of the ZIKV epidemic.<sup>23</sup> However, as the epidemic waned, development of the most promising vaccine candidates faced challenges in clinical efficacy trials.<sup>24</sup> Since *Aedes* mosquitoes continue to transmit arboviruses worldwide, the epidemic preparedness community remains concerned about the high risk of future outbreaks of ZIKV and other

emerging MBDs.<sup>24–27</sup> Brazil's limited success in controlling *Aedes* populations therefore indicates the importance of investigating the social determinants underlying the 2015–2016 ZIKV epidemic.<sup>22 26</sup>

Successful uptake of mosquito-bite preventive strategies is contingent on the broader sociopolitical context, as behaviour change is strongly informed by family, community, cultural, political and economic factors.<sup>13 26 28</sup> The WHO Global Vector Control Response 2017–2030 outlined engagement and mobilisation of communities as one of its four pillars for effective, locally adapted and sustainable vector control.<sup>26</sup> Despite this, during the 2015–2016 ZIKV epidemic, few examples of direct postepidemic engagement or research coproduction with populations at highest risk of adverse health outcomes following ZIKV infection were observed, including with pregnant women and communities experiencing lower socioeconomic position.<sup>29 30</sup> Funding allocated for social research was also markedly lower in comparison to other disciplines.<sup>30</sup> Therefore, to analyse community experiences of ZIKV and vector control strategies in a Brazilian context,<sup>22 31</sup> we consider the application of Rosenstock's Health Belief Model (HBM).<sup>31 32</sup> The HBM is a widely adopted theoretical framework for behaviour change that has been applied to other qualitative studies investigating MBDs.<sup>33 34</sup>

## Aims

This study aims to identify determinants of low adherence to mosquito-bite preventive behaviours by applying the HBM as a conceptual model for community knowledge, attitudes and perceptions towards ZIKV and vector control strategies in two sociopolitically and epidemiologically distinct populations in Brazil: Jundiaí, a municipality of São Paulo (pop. 423 000) and Salvador, the state capital of Bahia (pop. 2.9 million).<sup>35</sup> To best contextualise these drivers, our additional study objectives were to: (1) elaborate household preferences for vector control strategies, particularly with regard to treated clothing; (2) identify perceived barriers to adoption of prevention behaviours; (3) contrast perceptions of ZIKV control with other mosquito-borne arboviruses; (4) compare normative beliefs of pregnancy postponement and abortion to reduce fetal susceptibility to CZS; and (5) map themes against a theoretical framework for behaviour change.

## METHODS

### Participant recruitment and data collection

From March to August 2017, focus group discussions (FGDs) with adult women of approximate reproductive age (18–49) and semistructured interviews (SSIs) with male partners were conducted in Jundiaí and Salvador. Both cities have cohorts of children living with CZS.<sup>36 37</sup> The interview topic guide comprised 12 questions covering three main areas of enquiry: (1) perceptions and practices of mosquito control, (2) protecting oneself against mosquito bites and (3) knowledge and

perceptions of ZIKV (online supplemental file 1).<sup>38</sup> All sessions were delivered in Brazilian Portuguese, and the source data transcribed and translated into English for analysis.

### Participants

Participants were purposively sampled and consented to participate in the study. The pregnancy status of women was not taken into account and a sociodemographic survey stratified participants by age (18–30 or 31–49 years). In Jundiá, recruitment took place in outpatient departments at University Hospital, and data collection in both faculty buildings and a non-government organisation (NGO) run community centre. In Salvador, recruitment and data collection took place in two primary care units. In both cities, men were recruited through community stakeholders and interviewed at private residences.

### Patient and public involvement

The principal investigators from Jundiá and Salvador are native Brazilian speakers familiar with the study setting and context. To ensure the research question was informed by patients' priorities and experiences, the topic guide was developed and pilot tested with research teams local to the study sites. Additionally, 17 in-depth interviews were conducted with health professionals, including Salvador health professionals working in a primary care unit and in private clinics, and community leaders, with three religious leaders from Kardecism, Candomblé (an Afro-Brazilian religion) and an evangelical Christian church. To disseminate results, those who expressed interest and provided consent were invited to attend a follow-up session to discuss initial findings in September 2017.

### Analysis

In total, 33 transcripts were analysed (table 1). Open coding was performed in NVivo (V.12, QSR International). Theme generation followed Braun and Clarke's six phases for thematic analysis.<sup>39</sup> A preliminary coding framework was established from the topic guide. However, coding was mostly inductive, by grouping prevalent response patterns into higher order categories.<sup>40</sup> Major themes were mapped against the constructs in the HBM (figure 1).<sup>31,32</sup> A concept map for themes was developed to gauge whether there was a credible fit with the HBM (figure 2). The 32-item Consolidated Criteria for Reporting Qualitative Research tool was used to ensure all key methodological issues were taken into account (online supplemental file 2).<sup>41</sup>

## RESULTS

A total of 120 individuals participated in the study: 103 women (60 in Jundiá, 43 in Salvador); and 17 men. Responses to questions on novel repellents were initially coded: effectiveness; affordability; availability; appearance; comfort; protection; risk; and other. Each were mapped against the HBM as: risk (perceived

susceptibility); positive responses such as protection (perceived benefits); willingness to adopt (self-efficacy); negative responses for effectiveness, acceptance or accessibility (perceived barriers); and alternative suggestions (preferred criteria). A finalised concept map comprised of 44 minor themes and 12 major themes grouped under four higher order key themes (figure 2; table 2). Definitions are provided in the codebook (online supplemental file 3).

### Knowledge and cues to action

Participants expressed uncertainty around which vectors transmit ZIKV. In Salvador, several participants accurately described the appearance and behaviour of *A. aegypti*. However, the majority of participants did not differentiate the mosquito from other biting insects and some were misinformed. Dengue was the second most commonly identified MBD, although chikungunya and yellow fever were also discussed. Most participants were aware of the impact of ZIKV infection on pregnancy as a distinction from other infectious diseases. However, sexual transmission was poorly understood, and questions from women that disclosed higher levels of education often related to the pathophysiology of ZIKV and unknown sequelae.

[P1]: So, [microcephaly] sparked people's interest: "Pow, then really, that's the difference between Zika and dengue and H1N1 [influenza]."

#### Salvador-FGD1

[P1]: There are 3 different mosquitoes, right?

#### Salvador-FGD2

[P2]: [I understood that ZIKV is transmitted] by the host, yes. But not from person to person... This has not been clear to me until today.

#### Salvador-FGD3

Many women first learnt about ZIKV and were advised to use condoms when accessing maternity services. Often exposure to public health information in broadcast or print media, including pamphlets and posters, was described. Several mentioned learning about ZIKV online via social media, as well as in workplace or higher education settings. Other external cues to action included direct contact with political representatives, NGOs or community volunteers involved with Zika projects. Health agents were described to inspect households and disseminate public health information about *Aedes* and preventive strategies. One key message often recalled was to remove standing water from around the household and spaces shared with neighbours. Participants from four FGDs also recalled a visit from military personnel to promote clearing of communal spaces.

[P2]: There was a joint effort that the government [made] in the neighbourhood, like this... It was like D-Day against Zika, dengue...

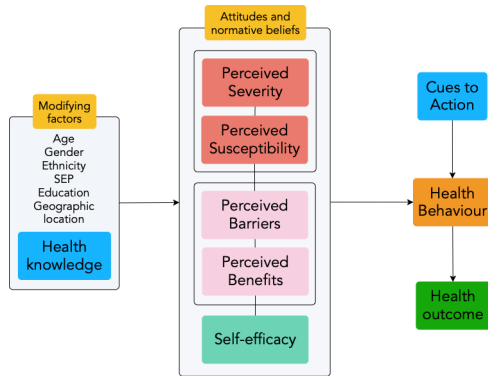
#### Salvador-FGD4

**Table 1** Summary of interview transcripts provided for analysis

Transcript	Words	Participants	Age	Transcript	Words	Participants	Age
<b>Female participants</b>							
1	Jundiaí-FGD-1	4338	18–30	19	Salvador-FGD-1	14762	31–49
2	Jundiaí-FGD-2	4399	31–49	20	Salvador-FGD-2	3318	18–30
3	Jundiaí-FGD-3	4067	18–30	21	Salvador-FGD-3	16863	31–49
4	Jundiaí-FGD-4	3409	31–49	22	Salvador-FGD-4	10262	18–30
5	Jundiaí-FGD-5	1691		23	Salvador-FGD-5	8103	18–30
6	Jundiaí-FGD-6	4026	31–49	24	Salvador-FGD-6	15619	31–49
7	Jundiaí-FGD-7	1239		25	Salvador-FGD-7	13138	31–49
8	Jundiaí-FGD-8	3012	31–49	26	Salvador-FGD-8	9256	18–30
9	<b>Jundiaí-FGD-9</b>	1860					
<b>Male participants</b>							
10	Jundiaí-SSI-1	41		27	Salvador-SSI-1	619	
11	Jundiaí-SSI-2	44		28	Salvador-SSI-2	346	
12	Jundiaí-SSI-3	37		29	Salvador-SSI-3	208	
13	Jundiaí-SSI-4	65		30	Salvador-SSI-4	407	
14	Jundiaí-SSI-5	73		31	Salvador-SSI-5	269	
15	Jundiaí-SSI-6	147		32	Salvador-SSI-6	367	
16	Jundiaí-SSI-7	276		33	Salvador-SSI-7	298	
17	Jundiaí-SSI-8	105		34	Salvador-SSI-8	239	
18	Jundiaí-SSI-9	4312	18–30				

A total of 17 focus group discussions (FGD) with 103 women and 16 semistructured interviews (SSI) with 16 men were included in the analysis. Three FGDs and all semistructured interviews were missing sociodemographic data (age). Jundiaí transcripts were missing the breakdown of participants by focus group. Jundiaí FGD-9 was selected for triangulation. Jundiaí SSI-9 was a deviant case excluded from the analysis.





**Figure 1** The Health Belief Model (HBM) adapted from Rosenstock *et al* (1988).<sup>32</sup> The HBM predicts the decision-making process to engage in a new health-seeking behaviour. The individual assesses a perceived threat, potential barriers, benefits and their ability to undergo a behaviour change in response to knowledge, internal or external cues to action.<sup>31</sup>

Visibility of vehicle-mounted thermal spraying/fogging in previous years was recalled by several groups, although most activities were described as having ended. Most agreed that ZIKV messaging had slowed or stopped at the

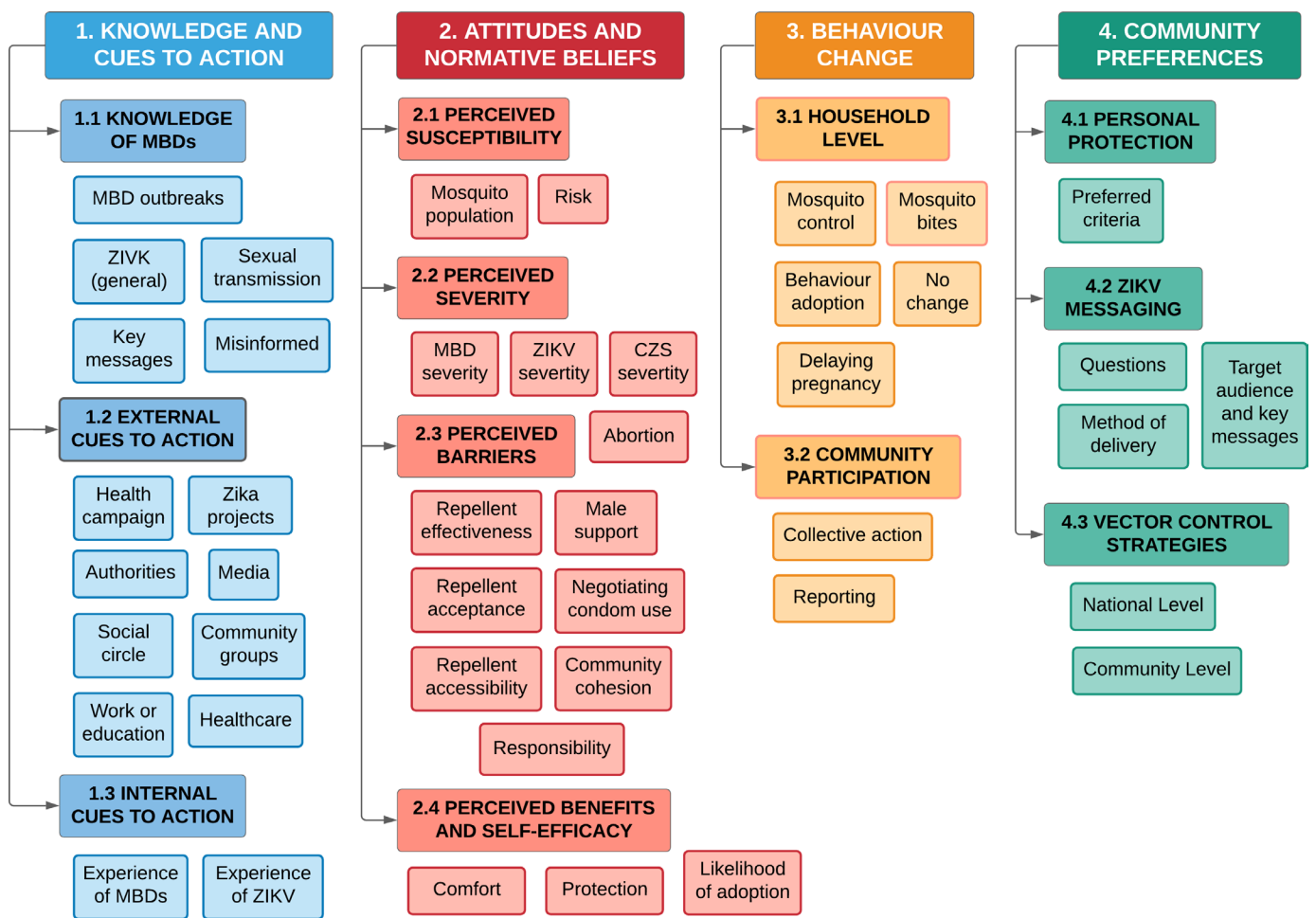
time of their interview, and several participants recalled no community vector control interventions occurring in their neighbourhood at all. Internal cues to action comprised direct or indirect experiences of confirmed/suspected cases of MBDs. In Salvador, more women disclosed having experience of ZIKV infection, whereas in Jundiaí few participants knew someone that had been infected.

*[P1]: I think [during] the outbreak I [became] more attentive... everyone was contracting Zika... Wow! My father had it too, and he had that anxiety thing—if you saw anything, even if it [only] had water in [it] a little while, you'd turn it [upside down].*

**Salvador-FGD4**

**Attitudes and normative beliefs**

There was consensus across all groups that pregnant women were most susceptible to ZIKV infection, followed by children, the elderly and those with chronic health conditions. Participants described avoiding travel to areas perceived to present an elevated risk of MBDs, and some understood outbreak seasonality. Several described the



**Figure 2** Concept map of key, major and minor themes for community knowledge, attitudes and perceptions of Zika virus and vector control strategies in Salvador and Jundiaí, Brazil. Four key and 12 major themes were mapped to determine whether they credibly fit constructs for behaviour change outlined in the Health Belief Model.<sup>31 32</sup> The key and major themes are further defined in table 2.

**Table 2** Summary table of definitions for key and major themes.

Theme	Definition
<b>1. Knowledge and cues to action</b>	Depth of understanding of ZIKV, MBDs, vector control and key messages identified by participants. Stimuli for a decision-making process that may have led to behaviour change, as recalled at the time of study. <sup>31</sup>
1.1 Knowledge of MBDs	Participant awareness of MBDs and ZIKV, as well as the community and national response to outbreaks at the time of the study.
1.2 External cues to action	External stimuli, such as a health campaign, triggered a decision-making process that may have led to a behaviour change.
1.3 Internal cues to action	Direct and indirect experiences of confirmed or suspected cases of MBDs triggered a decision-making process that may have led to a behaviour change.
<b>2. Attitudes and normative beliefs</b>	Personal attitudes are internal assessments of knowledge and cues to action for MBD preventive behaviours. Normative beliefs may inform personal attitudes according to how others perceive the behaviour in a social setting, such as the community.
2.1 Perceived susceptibility	A subjective assessment of the risk of ZIKV infection or a CZS pregnancy and the first component of perceived threat. <sup>31</sup>
2.2 Perceived severity	A subjective assessment of the severity of ZIKV symptoms and CZS and the second component of perceived threat. <sup>31</sup>
2.3 Perceived barriers	An individual's assessment of the barriers to uptake of ZIKV preventive behaviours for sexual transmission, mosquito bite-reduction and vector control.
2.4 Perceived benefits and self-efficacy	An individual's perception of the benefits of novel repellent technologies and their ability to successfully undergo a behaviour change by adopting preventive strategies.
<b>3. Behaviour change</b>	Behaviours either attributed to the ZIKV epidemic, are pre-existing practices against MBDs (no change), or no preventive measures were taken.
3.1 Household level	Practices to prevent mosquitoes from breeding and exposure to mosquito bites at the household level.
3.2 Community participation	Engaging with others in the community; participants describe activities for collective action for vector control.
<b>4. Community preferences</b>	Expressed needs and preferences for mosquito bite-reduction strategies, coordination of vector control and ZIKV messaging, including questions.
4.1 Novel repellents	Preferred criteria for novel topical mosquito repellents, repellent-impregnated clothing or other wearables designed to prevent mosquito bites.
4.2 Vector control strategy	Preferred activities for mosquito population control, including surveillance.
4.3 ZIKV messaging	Preferred content, source and format for delivery of ZIKV risk communication and community engagement.

CZS, congenital Zika syndrome; MBD, mosquito-borne disease; ZIKV, Zika virus.

belief that infection by one MBD increased their susceptibility to others, although there was a lot of uncertainty and misinformation around ZIKV case confirmation. The spread of misinformation was a concern to participants, and several misinterpreted or described feeling unable to trust public information about the origin of the virus.

[P2]: *In my opinion, I knew that Dengue and Zika is the same thing... I think that's evolution from one disease to another.*

#### Salvador-FGD6

Living in an area of perceived low risk was often described to diminish participants interest in adopting preventive measures ("It's only worrisome when there's an epidemic," Jundiaí-FGD1). However, there was less

consensus between focus groups regarding where population density of *A. aegypti* vectors was highest, and several participants described the mosquito as absent from their neighbourhood altogether. Perceived severity of ZIKV infection also varied considerably. Some likened ZIKV symptoms to mild influenza, although women perceived there to be a higher threat from ZIKV than men. Some participants recalled inflammation of the joints and fatigue as symptoms that required extended recovery, and a few described the risk of death as a potential consequence of ZIKV infection.

[P1]: *It caused a panic, right? Many women gave up being mothers, or they delayed, right? Fear of disease.*

[P2]: *In fact, all the [mosquito-borne] diseases mentioned are worrisome, right? Even the flu is scary.*

#### Salvador-FGD8

Some participants also described differences in the appearance of bites from mosquitoes carrying ZIKV. Several likened the experience to an allergic reaction, which is perhaps a perception of maculopapular rash linked to ZIKV infection.<sup>42</sup> Several women demonstrated higher awareness of ZIKV sequelae from work or study in healthcare, or volunteering with local ZIKV projects. Although collective awareness was described to have peaked and waned, several participants commented on the visibility of families caring for a child with CZS in broadcast media, and they believed a greater disease burden was in more deprived communities.

*...usually the people most affected [by CZS] are low-level people right...people who have poor conditions, who live in more inappropriate places.*

#### Jundiaí-Male-SSI-8

Several participants disclosed they would be willing to access abortion services to reduce risk of having a child with CZS or having previously terminated a pregnancy. However, perceptions of rights to terminate a pregnancy were influenced by strong social norms and religious beliefs, and there was often reluctance to disclose or elaborate on personal attitudes due to its criminalisation. Some conceded community attitudes and norms towards abortion were more nuanced given perceptions of lower quality-of-life due to severe disability associated with CZS. However, for one focus group, partial legislation of abortion in the case of microcephaly was criticised as inadequate and perpetuating discrimination.

*...I think it depends on where she congregates because religion weighs in a lot... She will not do it because of religion, and if she dares [abort], she will not be accepted.*

#### Jundiaí-FGD4

[P1]: *Anencephaly in cases of problems was allowed because it makes life unfeasible, but microcephaly does not... So, you're just going to admit normal kids? It'd be a way of sanitizing the population...*

#### Salvador-FGD3

Women aged 18–30 were more supportive of the right to abort, as were participants that disclosed as working in healthcare or having accessed higher education. Despite adequate levels of perceived threat from ZIKV and recognition of potential benefits of a behaviour, participants described many barriers to reproductive health decision-making. There was frustration around the burdens of preventing ZIKV and caring for children with CZS falling on women. Discordant attitudes towards abortion between pregnant women and male partners were also discussed. For example, women reported diminished self-efficacy to negotiate condom use with an intimate partner

during the epidemic, often attributed to the stability of the relationship or harmful gender norms.

[P2]: *We've already talked about machismo, right? I've heard of a husband dropping his wife and saying "No, if you do not [abort], I'll let you go," because she already knew she had a microcephaly [baby].*

...

[P3]: *Yes, but the question of the condom? OK! One part would accept, but this question of non-penetrative sex for six months? No!*

#### Salvador-FGD5

With regards to mosquito-bite prevention, for several participants, skin allergies were also a barrier to the use of topical repellents for personal protection. While this motivated some to consider investing in alternative brands or non-chemical bite-reduction strategies, there was broadly low participant awareness of novel repellent tools such as clothing. While participants were relatively unfamiliar with repellent treated clothing, some recognised the benefit of these items for protecting children and pregnant women, although overheating during pregnancy was a concern. However, in both cities, repellents were described as less accessible for individuals of lower socioeconomic position. Owning a single item was not perceived to provide sufficient protection, yet buying 'a whole wardrobe' would be a significant investment. Interest was also strongly affected by their appearance in the community, including negative perceptions of the association between MBDs and social deprivation.

[P4]: *It's just one more expense, right?*

[ALL]: *Yes!*

[P5]: *It would probably be very expensive. Because it would be, say, for the elite.*

#### Salvador-FGD5

*Maybe he is bothered about having to wear clothes that would be, in this case, also an indicator of poverty, right?*

#### Male-Salvador-SSI-4

Overall, during interviews there was a positive reception to adoption of novel repellent tools. However, similarity was observed between shorter responses and interviewer prompts, and men often expressed disinterest. Scepticism around long-term effectiveness of repellent clothing was also observed, including concern for areas of skin left exposed.

[P4]: *...an entire population can't be protected that way. In particularly endemic regions and for high-risk group like babies or pregnant [women] it works, but it's not good for you to dress a whole neighbourhood with the same clothes!*

#### Salvador-FGD4

At the community level, contextual factors were often linked to MBD outbreaks, such as inadequate coverage of urban planning like sanitation services. In Salvador, the

former administration was criticised for poor management of the ZIKV epidemic, including the cost of testing, financial support for families with CZS children and an over-reliance on mass-media campaigns. Surveillance teams were often perceived as undermotivated or not being trusted to adequately search for cryptic breeding sites. Some participants also described health agents refusing to enter all households in a community, attributed to either concerns around neighbourhood violence or inadequate upstream coordination of vector control efforts.

[P1]: *Where are the community agents themselves? I'm not talking about treatment, I'm talking about preventive measures. Community agents are not effective by municipal power... it's a type of unstable work, you know? There are months without receiving [them].*

#### Salvador-FGD3

*There is a lot of suspicion...total distrust in the [Zika] project... The resistance with men is great.*

#### Jundiaí-FGD4

### Behaviour change

The most frequent vector control strategy described by participants at the household level was preventing water stagnating by recycling, using sand, covering open receptacles and applying detergents or treatments to bodies of water. Bite-reduction strategies included physical barriers: fans, air-conditioning, bed-nets, window screens and long clothing. Several described using plug-in appliances or burning coils to repel mosquitoes with increased frequency during the epidemic. Electric-shock devices to kill adult mosquitoes were also popular. Some participants, particularly pregnant women, avoided travel to certain areas or during times when mosquitoes were believed to be most active. Women in every focus group described knowing someone in their social circle that delayed pregnancy to mitigate the risk of CZS.

*I have two sisters-in-law who wanted to get pregnant, but because of the epidemic they were afraid and postponed it.*

#### Jundiaí-FGD3

Community participation comprised reporting mosquito breeding sites to public health authorities, which was frequently discussed in Jundiaí. Several women described generally observing and encouraging behaviour change in others, including the use of repellents and general maintenance of potential *Aedes* breeding sites.

[P1]: *...it's not just the authorities, everyone has to do their part...to be able to openly reach the neighbour and say, "Oh, look at your bottles [they're] full of water, focus!"*

#### Salvador-FGD8

Although some participants described skin irritation from topical repellents, only one participant recalled women avoiding chemical repellents during pregnancy due to safety concerns. Methods for mixing plant-based

oils or alcohol with chemical formulations and sunscreen were described to soothe and prevent bites from becoming infected. Doing so was also described to mask the smell of repellent products and reduce the cost of repurchase.

[In] *Bahia, the desperation is greater than here, and pregnant women are afraid to use any product and use home-made products [instead]...*

#### Jundiaí-FGD2

### Community preferences

Subsidy of contraceptives and repellents were suggested for lower income or high-risk groups during outbreaks. Alternatively, it was recommended that they are freely distributed by local health clinics, NGOs or Brazil's national social welfare programme, Bolsa Família.

[P4]: *The government should give repellent to the people since you have this yellow fever outbreak. Make a campaign. The same people who have family-grants should be entitled...*

#### Salvador-FGD6

When asked what participants thought of treated clothing, repellent school uniforms to reduce children's risk of MBDs and adult sleepwear to mitigate discomfort from bednets or topical repellents were of interest. Microencapsulation of repellents in wearable plastics were also suggested by some, such as bracelets. Generally, participants expressed interest in clothing items if they were affordable, aligned with local preferences in fashion (eg, fabric quality, design) and the smell of repellent product could not be easily identified. However, the ability to renew the effectiveness of existing items was also important.

[P1]: *...you would have to change your wardrobe to buy only mosquito repellent clothes. It would be [a] more effective process [if] you make your clothes have this substance.*

[P2]: *It makes more sense. Like a lotion.*

[P1]: *A lotion that you put on to do laundry...*

[P3]: *Yeah, like a fabric softener.*

#### Salvador-FGD4

For vector control, often improvements in municipal service coordination was expressed as a priority need, citing open drains or infrequent collection of household waste. One focus group was interested in reintroducing thermal spraying of insecticides. Another explored the idea of financing the coordination of neighbourhood associations to mobilise the community, including financial compensation of volunteers.

[P1]: *How are we going to complain about our problems? We do not have a person who can get there and settle for us. If we make a petition, everybody in the neighbourhood will sign, but who will take it? ...our neighbourhood is abandoned, we have no association...*

[P2]: *I think every neighbourhood should have [an association].*



[P1]: *[The former volunteer] did everything for us there. My street was clean, everything was clean. There should be someone to count, take care, understand?*

[P3]: *If she's doing it, she has to get something too...*

[P4]: *But the staff thinks the person [must] work for free.*

#### Salvador-FGD6

There was disagreement regarding the saturation of ZIKV messaging during public health campaigns. The majority of women expressed feeling underequipped with the practical knowledge for prevention. Whilst a few asserted messages were overly technical, others did not feel they provided sufficient detail to implement vector control strategies at the household level. Preference was therefore placed on sustained delivery of messages between outbreaks, via social media or print materials in public spaces. A media campaign that targets men was suggested as one approach to escalate perceptions of the health risks and consequences for intimate partners due to sexual transmission of ZIKV. A sexual and reproductive health-focused curriculum for schools was described as another point of delivery to improve community engagement with messaging. Health promotion materials to facilitate community events were also suggested to amplify the effect of annual awareness campaigns like 'World Dengue Day'.

[P4]: *If it's not in the extreme, [messaging] will not work. It's like cigarette campaigns.*

#### Salvador-FGD5

*No, it's not a lack of information, it's education...it has to start very early with sex education. Because human beings only change their habits when something very serious happens. I think information alone does not [do it].*

#### Jundiaí-FGD3

## DISCUSSION

In the outbreak beginning 2015, Brazil experienced more cases of ZIKV than any other country. Its MoH responded with a policy strategy focused on vector control, improved healthcare access, and technology and research development.<sup>43</sup> However, it has been argued that these policies failed to reach those most vulnerable to the virus.<sup>20 44</sup> The northeast of Brazil was particularly hard hit, as a region with some of the lowest Human Development Indices (HDI) in the country.<sup>37 45</sup> In comparison, in 2017, Jundiaí was ranked as having the 11th highest HDI of 5564 municipalities in Brazil.<sup>36</sup> Individuals from communities in Salvador and Jundiaí were invited to provide their knowledge and perceptions of ZIKV and MBD control for this investigation.

### Community awareness of mosquito-borne diseases

The sessions revealed that participant understanding of their susceptibility to infection was a key influence on their decision-making to engage in health protection measures.

Direct or indirect experience of ZIKV and dengue was a common internal cue to action in Salvador, a city with a long history of MBD outbreaks,<sup>46</sup> which is consistent with previous findings.<sup>27 37</sup> However, participants frequently believed that ZIKV-carrying *Aedes* mosquitoes were absent in their local area, and perceptions varied as to where in Brazil the prevalence of MBDs was greatest. At the time of the study, a national yellow fever vaccination campaign was communicating outbreaks in non-human primates, and some participants discussed fearing reports of its urbanisation.<sup>23 47</sup> Participants describing a potential relationship between ZIKV and other MBDs was not unwarranted, as arboviruses transmitted by *Aedes* tend to cluster.<sup>13</sup> Sequential arboviral infection is also still poorly understood,<sup>45</sup> with some studies suggesting limited cross-immunity following dengue virus infection.<sup>48–50</sup>

The majority of women interviewed were unaware of the risk of ZIKV transmission from unprotected sex. This is consistent with findings from other studies on ZIKV risk communication,<sup>33</sup> including in Colombia.<sup>51</sup> Since interviews were conducted towards the end of the outbreak, this suggests there was a missed opportunity to prevent at least some of the spread of ZIKV. Although the ultimate importance of sexual transmission may be small compared with that of mosquito-borne transmission,<sup>52</sup> the public should receive clear messaging around the relative contributions of mosquito-borne, vertical, sexual and bloodborne transmission, to enable individuals to make informed choices about adopting preventive measures.

### Social determinants of Zika virus and congenital Zika syndrome

There was strong disagreement around the criminalisation of abortion, which has been dismissed as a paternalistic policy that is inconsistent with MoH advice to avoid or delay pregnancy in ZIKV endemic areas.<sup>20 21 53</sup> The sense that ZIKV has been emasculated, where the responsibility to prevent sexual transmission has fallen to women, has also been described in other studies.<sup>53–57</sup> Despite being strongly advocated by international multi-lateral agencies and Brazilian legislators,<sup>20 21</sup> important questions remain outstanding on reproductive health rights for ZIKV seropositive individuals.<sup>58 59</sup>

MBDs, including ZIKV, predominantly affect individuals in socioeconomically deprived areas.<sup>29 60</sup> Inadequate access to clean water, sanitation and other infrastructural deficits allow mosquito populations to thrive.<sup>26</sup> In addition, individuals in these communities may also be less able to afford tools for personal protection and have poorer access to good quality healthcare.<sup>45 61 62</sup> In our focus groups, the perceived severity of ZIKV was most often framed through the lens of disadvantage: the availability and affordability of amniocentesis or ZIKV testing; female agency to negotiate abstinence or long-term condom use with their male partners; access and acceptance of contraceptives to delay pregnancy or abortion; and uncertainty around a financial and social support

network to care for children with CZS. These themes were consistent with other study findings.<sup>57 61–63</sup>

### Personal protection strategies

Topical repellents are uncomfortable for some users, and may not be seen as long-term solutions for preventing mosquito bites.<sup>15 64</sup> The pay-off for repeat application of repellents may also be less certain for ZIKV than other MBDs, where the onset of symptoms and potential consequences of infection is comparatively short.<sup>65</sup> Novel, non-topical repellent technologies are not yet widely known or understood, and perceived safety of synthetic repellents was anticipated to be a key barrier to their adoption, as seen in other qualitative studies.<sup>34 51</sup> Instead, the key barriers identified in this study were the effectiveness and accessibility of novel repellent tools like clothing.

In Salvador, it was also important that repellent clothing was not perceived to be a ‘uniform’ associated with low-socioeconomic position, while in Jundiaí, participants discussed the need for clothing designs to reflect local preferences in fashion. The concept of repellent school uniforms to protect school-going children from MBDs was well received and has demonstrated strong potential in a cluster randomised-controlled trial in Thailand.<sup>17</sup> Participants also expressed an interest in being able to renew the repellent effect of clothing to overcome barriers like affordability and durability, negating the need for replacements. For example, using sprays to reapply repellents to clothing was perceived as more feasible option to clothes treated prior to purchase. Some also acknowledged the attractiveness of formulated washes for ease of application, and incorporation of perfumes to mask repellent smell.

### Vector control strategies

Mosquito prevention at the household level was often perceived to be a burden. However, many participants described removal or treatment of potential mosquito-breeding sites as being incorporated into daily routines. Despite this, several individuals expressed their personal control beliefs for vector control were fatigued when neighbours did not also do their part. Abandoned buildings or communal spaces ‘contaminating’ maintained areas contributed to some participants’ sense of futility; even if they were well informed, a public health challenge as prevalent as *Aedes* was not something the community could ‘combat’ alone.

Minor themes of blame, mistrust and responsibility were also frequently allocated upstream, especially in Salvador. Reporting mosquito-breeding sites in communal areas in more deprived neighbourhoods to the City Hall was deemed unlikely to result in change due to broader inadequacies in local urban planning. Some participants also expressed frustration due to a lack of consistent or thorough household inspections by surveillance teams, confusion around the different stakeholders involved during follow-up visits, or a need for clarification of ZIKV key messages. Often, this was attributed to chronic

underinvestment in vector control, a common theme in other studies in South America, where both men and women have expressed a need for intensification of government support.<sup>51 54 56</sup>

### Community engagement related to Zika virus prevention

Freire posits that structural inequalities in Brazil creates a loss of agency,<sup>66</sup> which in the context of the ZIKV epidemic, likely constrained self-efficacy for behaviour change.<sup>44</sup> A systems model for *Aedes* vector control also argues that the pathway between collective awareness, collective action, community attitudes and normative beliefs is simply too long for effective control of MBD outbreaks.<sup>28</sup> The opportunity to communicate barriers in a more timely manner would improve collective awareness, as well as political will for local authorities to act.<sup>13</sup> Carvalho *et al* proposed one solution could be investing in improved frequency of household visits from community health workers (CHWs) under the Family Health Strategy,<sup>28</sup> which covers 66.5% of Brazil’s population.<sup>67</sup> Although task-shifting of CHW responsibilities to include ZIKV case reporting was possible during the epidemic, their catchment area excluded middle-income or high-income neighbourhoods,<sup>68</sup> like Jundiaí.

Instead, a community-participation model is proposed. Grassroots approaches, such as neighbourhood associations, may serve as a more trusted setting for community engagement during infectious disease outbreaks.<sup>13 69</sup> For example, in a meta-analysis on uptake of novel repellent technologies, participatory models were found most effective at improving self-efficacy,<sup>70</sup> as well as promoting a sense of community responsibility.<sup>71</sup> Financing mechanisms to decentralise and triage risk communication and vector control at the community level may also mitigate the marginalisation of individuals in more deprived settings, largely caused by top-down approaches in health promotion.<sup>66</sup>

### Limitations

Some participants were not familiar with questions raised on novel repellents in the topic guide. Additionally, the differentiation between prevention measures for ZIKV may not have always been clearly understood. Interview prompts, such as preferences for novel repellents, may have therefore enabled acquiescence response bias.<sup>40</sup> When focus groups discussed more contentious topics, such as abortion, personal attitudes may have also been conflated with social norms if some women felt unable to disclose disagreement with the majority.<sup>72</sup> Although facilitators were able to detect non-verbal cues for each, subtext may have been lost during analysis. To mitigate this, an independent translation service was used to verify the credibility of transcript excerpts, and preliminary findings were discussed with principal investigators for triangulation. Additional data were not collected on participants, such as data on socioeconomic position, which along with missing data on age for some Jundiaí focus groups could have provided an interesting overview of the participants

in this study. The selection of the HBM as a conceptual framework is also necessarily limited,<sup>73</sup> particularly given the scope of themes raised in the topic guide and context-specific challenges reported by other researchers during the 2015–2016 ZIKV epidemic.<sup>30</sup> Nonetheless, the HBM still permitted a relatively deep analysis of individual-level factors, despite disagreement in the literature over the order in which the framework's components may lead to behaviour change.<sup>73</sup> The literature was thus consulted post-analysis for transferability of findings.

### Recommendations

This investigation recommends that national authorities provide effective repellent tools to families entitled to social welfare in settings where MBD outbreaks are regular occurrences, and during outbreaks extend this provision to include high risk groups. Capacity-building of MBD surveillance teams is also recommended to strengthen multilevel governance and reduce gaps in the frequency of interventions designed to prevent infectious disease transmission, such as household inspections. A degree of data saturation for preferred criteria of novel repellents in this study lends weight to the finding there was an unmet need for alternative personal protective tools to topical repellents.

The WHO Global Vector Control Response advises cross-disciplinary community engagement to improve context-sensitive messaging and reduce barriers to uptake of MBD preventive strategies.<sup>26</sup> Designing a mass-media campaign that targets men could improve awareness of ZIKV sexual transmission and emphasise the importance of protecting the health of their female intimate partners. Financing participatory models for community engagement would also demonstrate a firm commitment to translating politicised slogans into an effective, bottom-up control strategy for *Aedes*-related MBDs.

It is worth noting our recommendations are also pertinent to the response to the SARS-CoV-2 pandemic. At the time of writing, Brazil also had among the highest numbers of confirmed COVID-19 cases in the world, particularly in the North, and its MoH was criticised for not developing a national plan to combat the disease.<sup>74</sup> In light of this, further focus group studies, or design of a Likert scale-based survey that operationalises the HBM during data collection,<sup>31</sup> may also prove fruitful for understanding how perceived severity and susceptibility to MBDs has changed in Salvador and Jundiá, particularly following outbreaks of chikungunya and yellow fever virus.<sup>23 75</sup>

### CONCLUSION

This study makes a strong case for the value of qualitative investigations and transferability of the HBM to inform bottom-up approaches in health protection. Since the initial outbreak in Brazil in 2015, the fall of the perceived threat from ZIKV, normalisation of CZS symptoms in affected children, and the poorly understood relationship

to other arboviruses transmitted by *Aedes* has weakened community self-efficacy and perceptions of the government response. Participant awareness of sexual transmission of ZIKV was low and several focus groups discussed an unmet need for a health campaign that targeted men. Significant barriers were also discussed around the affordability of mosquito-bite prevention strategies, such as topical repellents and novel tools for personal protection, including their perception as a potential marker of socio-economic position. Household behaviours to control mosquitoes were also often fatigued by a lack of cooperation and coordination at the community and municipal levels. It is therefore argued that the historical failure to control *Aedes* outbreaks in Brazil lies in placing too much responsibility on the individual, particularly women. By investing in evidence-based epidemic preparedness, and by stimulating a sense of community agency to tackle vector breeding sites, Brazil may indeed be better placed to 'beat' the *Aedes* mosquito.

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## Supplementary File 1: Topic Guide

Bancroft *et al.* Vector control strategies in Brazil: A qualitative investigation into community knowledge, attitudes and perceptions following the 2015–16 Zika virus epidemic. *BMJ Open* 2021 [Manuscript ID: bmjopen-2021-050991]

### SOCIODEMOGRAPHIC SURVEY:

#### Socio-demographic data collected during recruitment.

Age: 18–30 [ ] 31–49 [ ] Socioeconomic status: High [ ] Middle [ ] Low [ ]

Date of interview	
Location of interview	
Name of interviewer	
Name of observer/note taker	
Time interview started	
Time interview ended	

### INTERVIEW OPEN

- Introduction by interviewer to the study
- Review and signing of informed consent form
- Start recording

[12 questions]

#### 1. Mosquito control by families and the community.

- What do you do in your home to reduce the number of mosquitoes that exist in your region and the number of bites that you and your family receive?  
*Prompts: environmental cleaning, repellents, long sleeves, screens, bed nets etc.*
- Is there any kind of community effort to reduce mosquito outbreaks?  
*Detail (investigate this aspect well).*

#### 2. Mosquito control by local authorities

- What mosquito control activities, if any, are undertaken by the local authorities in your community?

#### 3. Changes in mosquito control practices

Has there been a change in mosquito control practices in your community, and in your own personal protection, since the emergence of Zika? If so, please provide details.

#### 4. Preferred mosquito control activities

Zika mosquitoes bite during the day. Given that, what kind of mosquito control would you like to see? *Better detail on personal protection including clothing.*

#### 5. Personal protection interventions

- What do you think of personal protection interventions / alternatives / practices such as mosquito repellent clothing?
- How likely are you to use these alternatives and what would be your considerations?  
*Prompt for cost, safety, comfort, fashion, duration of effectiveness.*

**6. Concern about mosquito-borne diseases**

- a. In relation to various issues that you and your family have to manage on a daily basis, how much are you concerned about diseases transmitted by mosquitoes, such as dengue, chikungunya, yellow fever and Zika?
- b. Are these four diseases of equal concern to you, or is one of more concern than the other three? *Detail.*

**7. Knowledge about Zika**

- a. Turning specifically to Zika, do you know anyone personally who has had Zika?
- b. If so, what is your relationship with this person / people?
- c. What do you know about Zika?
- d. Are there any aspects of the disease you would like to know more about?

**8. Sources of knowledge about Zika**

- a. Where did you receive your knowledge about Zika? (*Prompt to include social media*)
- b. Which of the Zika information sources do you think was the best, and which have been the least useful?

**9. Messages from Zika**

- a. What are the main messages about Zika that you received from the authorities? *Poll for mosquito control, bite reduction and pregnancy issues.*
- b. Were these messages useful for you, or not? Explain. *Prompt for understanding, action, relevance, communication channel and key messages.*

**10. Postponement of pregnancy**

- a. Do you know women in your community who wanted to postpone pregnancy as a means of avoiding a baby with microcephaly?
- b. Has this issue been a matter of concern or discussion in your community?

**11. Sexual transmission of Zika**

- a. The Zika virus can be sexually transmitted to women by infected men. Do you think that the men in your community would be willing to practice safe sex in the recommended six months if their partner was pregnant, and they knew they were infected with Zika? *Prompt for condom use, sex without penetration etc.*
- b. Can you think of any messages that could be used to encourage men recently infected with Zika to practice safer sex? [MEN ONLY]
- c. The Zika virus can be sexually transmitted to women by infected men. Would you be willing to use a condom for the recommended six months if your partner was pregnant and knew you were infected with Zika?

**12. Abortion**

- a. Are you aware of cases in your community of pregnant women who have sought abortions because they feared they were carrying a baby with microcephaly?
- b. If so, what did people say about it?
- c. Do people in your community agree that a woman should have the right to terminate the pregnancy in these circumstances, or not? Or do they think she should carry the baby to term even if the baby may have microcephaly?

## CLOSURE

- **Provide an opportunity for participants to discuss and ask questions about anything about Zika that they are in doubt about.**
- **Provide an official Zika information leaflet from the Ministry of Health website.**
- **Final question: *Would you be willing to attend a meeting to discuss the results of our study in 2 or 3 months? If so, please provide us with your contact details so that we can contact you.***
- **Thank all participants for their involvement and valuable responses.**



## Supplementary File 2: Consolidated criteria for reporting qualitative research (COREQ): a 32-item checklist [1]

Bancroft *et al.* Vector control strategies in Brazil: A qualitative investigation into community knowledge, attitudes and perceptions following the 2015–16 Zika virus epidemic. *BMJ Open* 2021 [Manuscript ID: bmjopen-2021-050991]

Domain 1: Research team and reflexivity	Response	Referenced	
<b>1. Interviewer/ facilitator</b> Which author/s conducted the interview or focus group?	Yes	<b>Salvador lead:</b> Jorge Iriart Facilitators: Vera Lucia Zaher-Rutherford, Tania Boccia, Mônica Manir. <b>Jundiaí lead:</b> Eduardo Massad (Principal investigator) Facilitators: Ana Maria Rico, Greice Bezerra Viana, Fernanda Macedo da Silva Lima.	p.6 (123-125) p.20 (573-575) p.20 (578)
<b>2. Credentials</b> What were the researcher's credentials?	Yes	<b>Grace Power:</b> Project Manager at the Global Vector Hub, London School of Hygiene & Tropical Medicine (LSHTM), UK. <b>Dani Bancroft:</b> MSc student, Department of Public Health, Environments and Society, Faculty of Public Health and Policy, LSHTM, UK.	All authors: p.20 (578-582)
<b>3. Occupation</b> What was their occupation at the time of the study?	Yes	<b>Robert Jones:</b> Research Fellow in Department of Disease Control, Faculty of Infectious and Tropical Diseases, LSHTM, UK. <b>Jorge Iriat:</b> Associate Professor, Institute of Collective Health (ISC), Federal University of Bahia, Brazil. <b>Eduardo Massad:</b> Professor, School of Medicine, University of São Paulo and Fundação Getulio Vargas, Brazil. <b>Raman Preet:</b> Research Coordinator, Department of Epidemiology and Global Health, Faculty of Medicine, Umeå University, Sweden. <b>John Kinsman:</b> Associate Professor, Department of Epidemiology and Global Health, Faculty of Medicine, Umeå University, Sweden. <b>James Logan:</b> Head of Department of Disease Control, Faculty of Infectious and Tropical Diseases, LSHTM, UK. <b>Interview facilitators:</b> local MDs, nurses, psychologists and sociologists.	Facilitators: p.6 (111-112) p.20 (573-575)

<b>4. Gender</b>				
Was the researcher male or female?	Yes	Both Salvador and Jundiaí interview teams consisted of one male coordinator and three female interview facilitators.		p.20 (573-575)
<b>5. Experience and Training</b>				
What experience or training did the researcher have?	Yes	The principal investigators in Salvador and Jundiaí are native Brazilian Portuguese speakers familiar with the local context of Zika virus in Bahia and São Paulo. The ZikaPLAN team carried out training and pilot testing of instrument with LSHTM visiting researchers. This was designed following 17 in-depth interviews with health professionals, including Salvador health professionals working in a Primary Care Unit and in private clinics, and community leaders, with three religious leaders from Kardecism, Candomblé (an Afro-Brazilian religion) and an evangelical Christian church.		p.6 (123-128) p.20 (578-582)
<b>6. Relationship</b>				
Was a relationship established prior to study commencement?	No	No prior relationship was established.		N/A
<b>7. Participant knowledge</b>				
What did the participants know about the researcher? e.g. personal goals, reasons for doing the research	Yes	There were no direct benefits to participating in the study. Participants were provided information on the study objectives and relevance of the research, and a leaflet on Zika virus published by the Brazilian Ministry of Health at the end of the study.		N/A
<b>8. Interviewer</b>				
What characteristics were reported about the interviewer/facilitator?	Yes	The principal investigators in Salvador and Jundiaí are native Brazilian Portuguese speakers familiar with the local context of Zika virus in Bahia and São Paulo states. Interview facilitators were also local to the study sites.		p.6 (111-112) p.6 (123-125)

Domain 2: Study design		Response	Referenced
<b>9. Methodological orientation and Theory</b>			
What methodological orientation was stated to underpin the study? e.g. content analysis.	Yes	Thematic analysis guided by Braun and Clarke (2006).[2]	p.6 (134)
<b>10. Sampling</b>			
How were participants selected? e.g. purposive, convenience, consecutive.	Yes	Purposive sample for women of reproductive age (18–49). Not all men recruited into the study were the intimate partners of female participants.	p.6 (115-120)

<b>Method of approach</b>				
11.	How were participants approached? e.g. face-to-face, telephone, email.	No	Face-to-face recruitment at outpatient clinics, NGO settings and through researcher networks in the community.	p.6 (115-120) p.6 (125-128)
<b>Sample size</b>				
12.	How many participants were in the study?	Yes	A total of 120 participants: 103 women in focus groups (60 in Jundiaí and 43 in Salvador) and 17 men in semi-structured interviews (9 in Jundiaí and 8 in Salvador).	p.2 (18-19) p.7 (141-145) p.7 (147)
<b>Non-participation</b>				
13.	How many people refused to participate or dropped out? Reasons?	No	The original study protocol proposed 6–8 women per focus group. Salvador groups ranged from 4–7. For Jundiaí, the size of number of participants in each focus group was not provided for data analysis.	p.7 (141-145) [Table 1, p.7]
<b>Setting of data collection</b>				
14.	Where was the data collected? e.g. home, clinic, workplace	Yes	In Salvador, interviews were conducted in outpatient rooms at Primary Care Clinics (FGDs) and at private residences (for men). FGDs in Jundiaí were conducted at an NGO-run community centre and in University Hospital faculty buildings.	p.6 (117-120)
<b>Presence of non-participants</b>				
15.	Was anyone else present besides the participants and researchers?	Yes	Visiting LSHTM researchers observed the interviews. No non-ZikaPLAN staff were present for the interviews.	p.6 (124-125) p.20 (573-575) p.20 (578)
<b>Description of sample</b>				
16.	What are the important characteristics of the sample? e.g. demographic data, date	Yes	Interviews took place between March and August 2017. Sociodemographic data was not collected during all interviews; stratified age groups were provided for the majority of female FGDs but not for male participants.	p.6 (106-108) p.6 (115-117) p.7 (143-145) p.18 (526-528)
<b>Interview guide</b>				
17.	Were questions, prompts, guides provided by the authors? Was it pilot tested?	Yes	The topic guide, which includes questions, prompts and the sociodemographic data collected is provided in Supplementary File 1. This was pilot tested during training of interview facilitators with LSHTM research team present.	p.6 (108-111) p.6 (124-125) [Supplementary file 1]
<b>Repeat interviews</b>				
18.	Were repeat interviews carried out? If yes, how many?	No	No follow up interviews were carried out, although all interview participants were invited to attend a follow-up session in September 2017 for dissemination of initial findings.	p.6 (128-130) [Supplementary file 1]
<b>Audiovisual</b>				
19.	Did the research use audio or visual recording to collect the data?	Yes	The source data was audio recordings that were transcribed into Brazilian Portuguese by the Brazil ZikaPLAN team. This was then translated into English, with excerpts of transcripts verified for accuracy and credibility by the University College London Digital Media translation service. The source data was not shared for data analysis.	p.6 (111-112) p.18 (523-526) p.20 (578-580)

<b>Field notes</b>				
20.	Were field notes made during and/or after the interview or focus group?	Yes	ZikaPLAN observers and facilitators took field notes during the sessions.	N/A
<b>Duration</b>				
21.	What was the duration of the interviews or focus group?	Yes	Each interview was arranged to last 60–90 minutes. Timestamps for interviews were not shared for analysis, but the wordcount of each transcript was presented in Table 1.	p.7 (142-145) [Table 1, p.7]
<b>Data saturation</b>				
22.	Was data saturation discussed?	Yes	Yes, regarding participant responses to question 5 in the topic guide on novel repellents for personal protection.	p.19 (539-541) [Supplementary file 1].
<b>Member checking</b>				
23.	Were transcripts returned to participants for comment and/or correction?	No	No, although all interview participants were invited to attend a follow-up session in September 2017 for dissemination of initial findings.	p.6 (128-130) [Supplementary file 1]

Domain 3: Analysis and findings		Response	Referenced	
24.	<b>Coders</b> How many data coders coded the data?	Yes	One researcher for initial coding and three authors of one full FGD transcript. The principal investigators in Brazil carried out an initial analysis of transcripts following data collection. The data was then passed on to LSHTM for independent data analysis. The initial coding framework was presented to the principal investigators in Brazil for confirmability and triangulation purposes prior to theme generation.	p.6 (128-130) p.6 (134-136) p.18 (523-526)
25.	<b>Coding tree</b> Did authors provide a description of the coding tree?	Yes	The full codebook is provided in Supplementary File 3. A summary table of the key and major themes and a concept map of minor themes are provided in the manuscript.	p.7 (152-154) [Table 2, p.8] [Supplementary file 3]
26.	<b>Derivation of themes</b> Were themes identified in advance or derived from the data?	Yes	Coding was derived from the data. Theme generation was mostly inductive, with some deductive elements from grouping of codes together as responses to a certain question in the topic guide. Major themes were later mapped against constructs in a pre-defined conceptual framework for behaviour change for a potential fit (Rosenstock's Health Belief Model).	p.5 (89-92) p.6 (133-138) p.7 (148-154) [Figure 1; Figure 2] p.18 (528-532)
27.	<b>Software</b> What software, if applicable, was used to manage the data?	Yes	Microsoft Excel was used to record sociodemographic data for each interview and observations, as well as administrative data, such as wordcount, date and file names for the Brazilian and English transcripts as an audit trail. NVivo 12 (QSR International, 2012) was used for coding and mapping Figure 2. Figure 2 was later redesigned in Lucidchart (Lucid Software Inc., 2021).	p.6 (133-134) [Figure 1; Figure 2]



<b>28.</b>	<b>Participant checking</b> Did participants provide feedback on the findings?	No	At the end of each interview participants were invited to consent for their contact information to be collected to disseminate the research findings. However, participant checking was not possible for this study.	p.6 (128-130) [Supplementary file 1]
<b>29.</b>	<b>Quotations presented</b> Were participant quotations presented to illustrate the findings? Was each quotation identified?	Yes	Quotations in the manuscript were identified by focus group or interview site and number (unit of analysis), with the corresponding age group (18–30 or 31–49) in Table 1.	[Results section] p/6 (106-107) p.7 (141-145) [Table 1, p.7]
<b>30.</b>	<b>Consistency</b> Was there consistency between the data presented and the findings?	Yes	–	p.18 (528-533) p.19 (559-560)
<b>31.</b>	<b>Clarity of major themes</b> Were major themes clearly presented in the findings?	Yes	A concept maps for themes was produced and this was used to navigate description of findings in relation to one another.	p.6 (137-138) p.7 (152-154) [Figure 2; Table 2, p.8]
<b>32.</b>	<b>Clarity of minor themes</b> Is there a description of diverse cases or discussion of minor themes?	Yes	Key and major themes are defined in Table 2 in the manuscript, and minor themes described in the findings. All themes are defined fully in the codebook (Supplementary File 3).	p.7 (148-154) [Table 2, p.8] [Results section] [Supplementary file 3]

- 1 Tong A, Sainsbury P, Craig J. Consolidated criteria for reporting qualitative research (COREQ): a 32-item checklist for interviews and focus groups. *International Journal for Quality in Health Care* 2007;19(6):349–357. doi:10.1093/intqhc/mzm042
- 2 Braun, V, Clarke V. Using thematic analysis in psychology. *Qual Res Psychol* 2006;3:77–101. doi:10.1191/1478088706qp063oa

### Supplementary File 3: Final transcript coding framework (Codebook)

Bancroft *et al.* Vector control strategies in Brazil: A qualitative investigation into community knowledge, attitudes and perceptions following the 2015–16 Zika virus epidemic. *BMJ Open* 2021 [Manuscript ID: bmjopen-2021-050991]

<b>1. KNOWLEDGE</b>	Knowledge of MBD and ZIKV at the time of the study, and cues to action which are recalled stimuli for a decision-making process that may lead to behaviour change.[32]
<b>1.1 Knowledge of MBDs</b>	Depth of understanding of ZIKV/MBDs, vector control and misinformation.
Key messages	Responses to Question 9 in the topic guide: “What are the main messages about Zika that you received from the authorities?” (Poll for mosquito control, bite reduction and changes in behaviour for reproductive health).
MBD outbreaks	General knowledge on other mosquito-borne diseases: yellow fever, chikungunya, dengue fever. For example, references to outbreaks and epidemics, changes in prevalence/incidence, pathophysiology and vaccination campaigns. Excluded: comments where ZIKV is the focus (coded as ‘ZIKV General’), unless being compared to other MBDs.
Misinformed	Comments made by participants that may indicate misinformation or uncertainty around key messages related to MBDs.
Sexual transmission	Knowledge related to sexual transmission of ZIKV of both the participant and others in their social circle. Excluded: content of messaging related to sexual transmission (coded as ‘Key messages’).
ZIKV (General)	Other knowledge related to ZIKV that does not fall into codes sexual transmission, severity of ZIKV symptoms, perceived risk (susceptibility), or experience of ZIKV (internal cues to action).
<b>1.2 External cues to action</b>	Stimuli from members of participants social network, the media, healthcare providers, the workplace or other community groups that trigger a decision-making process to seek additional information, engage in vector control or mosquito-bite reduction strategies, or other health seeking behaviours.
Health campaign	Alerts, visits from health agents for risk communication, billboards, posters and pamphlets, or messaging in the media explicitly described by the participant as being official public health information.
Zika Projects	The <i>Zika Project</i> , official NGO or volunteer projects taking place in hospitals (not always clear). Excluded: activities identified as being conducted by local or national authorities (e.g. City Hall, Ministry of Health).
Healthcare	Accessing different forms of healthcare, such as maternity services, community clinics, dentists etc. Excluded: experiences of having ZIKV or other MBDs, descriptions of symptoms of poor health (coded ‘Other poor health’).

Media	Parent code for references to media. Excluded: Official health campaign content (when clearly identified).
<i>Broadcast media</i>	Any media source that has been broadcast for entertainment purposes, such as television soaps and radio, or TV advertisements and print media, such as magazines and newspapers.
<i>Online and social media</i>	Casual or purposeful research online: accessing websites that may provide information about ZIKV. Messages and advertisements through social media, such as WhatsApp, Facebook, Instagram etc.
Social circle	Friends, neighbours, family members. Excluded: co-workers or acquaintances in formal settings (e.g. university, volunteer groups)
Work or education	Parent code for references to formal settings. Excluded: volunteering positions (e.g. in hospitals or ZIKV projects).
<i>Higher Education</i>	Participant is a current or former university/college student where ZIKV messaging has been delivered as part of a formal curriculum. Or there have been opportunities to access lectures and seminars on the epidemic.
<i>Schools</i>	Recalling experience of formal education for participants (e.g. high school). Or messages that children in the participants social circle have passed on to the participant informally.
<i>Workplace</i>	Participant either works in healthcare, formal education (teachers) or other profession where Zika messaging has been delivered at their workplace (e.g. works for the City Hall).
Community groups	Observing preventive activities or other stimuli in the community: informal groups (e.g. women's groups, gangs), community volunteer groups, gangs, centres of worship, neighbourhood associations, sports teams (e.g. capoeira, football) etc.
Government	National, state and municipal levels of government responsible for defining activities and protocols for <i>Aedes</i> interventions, including " <i>budget, personnel, technical guidelines, approved substances, routines, evaluation, and relationships with other sectors, such as education and public health</i> ".[4]
<i>Local authorities</i>	Aldermen, City Hall urban planning including waste management services. Health agents from the City Hall. Excluded: 'health agents' described as being from an NGO, Ministry of Health or other national body.
<i>National authorities</i>	References to the national government: politicians, deployment of the army, legislation and policy makers, the Ministry of Health (e.g. official surveillance staff from the Brazilian MoPH) or other national bodies.
No action	No vector control strategies are recalled to have taken place in the community, except for examples of vector control activities that have taken place more than one year prior to the start of epidemic in 2015.

<b>1.2 Internal cues to action</b>	Personal or secondary experience of confirmed/suspected cases of MBDs provide a stimulus for a decision-making process that leads to health seeking behaviour.
Experience of other MBDs	Confirmed or suspected cases of non-ZIKV mosquito-borne arboviruses by the participant or in the participant's social network.
Experience of Zika	Confirmed or suspected cases of ZIKV infection of the participant or in the participants social network.
Other poor health	Discussion of poor health that might be: non-communicable (e.g. disability or chronic conditions); related to non-ZIKV pregnancy complications; infectious diseases such as measles and H1N1 viruses; and other vector borne diseases such as Leptospirosis, tick-borne diseases, Chaga's disease etc. Excluded: MBDs.
<b>3. ATTITUDES &amp; NORMATIVE BELIEFS</b>	Personal attitudes are internal assessments of knowledge and cues to action for MBD preventive behaviours. Normative beliefs may inform personal attitudes according to how others perceive the behaviour in a social setting, such as the community.[1]
<b>3.1 Perceived Susceptibility</b>	A subjective assessment of risk of ZIKV infection or a CZS pregnancy. Combines with perceived severity for perceived threat.[2]
Mosquito population	Comments on the burden of the mosquito population in a specific geographical area, mosquito physiology and behaviour. Other observations made by the participant or members of the participants social circle on the activity of mosquitoes in that area.
Risk response	Perceived risk of ZIKV transmission and CZS. For example: the periodomicile does not have a large mosquito population; the participant is not pregnant or has undergone the menopause; perceptions that the risk of contracting ZIKV to be very low. (Also includes responses to question 5 of the topic guide).
<b>3.2 Perceived Severity</b>	A subjective assessment of the severity of ZIKV and potential consequences of infection or a CZS pregnancy. "The combination of perceived severity and perceived susceptibility is referred to as perceived threat".[2]
CZS severity	Experience of caring for a child with microcephaly in the in the participants social network. Perceptions of the severity of microcephaly in the community, e.g. the burden of care giving for a child with microcephaly (the financial or social implications). Excluded: comments around male support to care for a child with CZS.
ZIKV Severity	Perceptions related to the severity of symptoms of ZIKV. Comments about concern or even fear related to ZIKV. Excluded: comments about CZS caregiving.
Other MBD Severity	Perceptions related to the severity of symptoms of other MBDs. Comments about concern or fear related to other MBDs. Excluded: Perceptions of poor health due to non mosquito-borne arboviruses.



<b>3.3 Perceived Barriers</b>	An individual's assessment of the obstacles to ZIKV preventive behaviours, including condom use to prevent sexual transmission, mosquito bite-reduction and vector control strategies.
Abortion	Awareness of individuals in the community that have terminated a pregnancy due to ZIKV or has undergone an abortion themselves as a result of concern of giving carrying a microcephaly child. Also includes community perspectives on the acceptability of abortion. Excluded: rights to abort and legislation.
Abortion rights	Participant responses to Question 12 in the topic guide: "Do people in your community agree that a woman should have the right to terminate pregnancy in these circumstances? Or do you understand that she should carry the pregnancy through to the end even if the baby has microcephaly?"
<i>Depends on circumstances</i>	More consideration around abortion. Comments that it is both acceptable and unacceptable, with examples of scenarios where abortion may be necessary or comments such as 'it's difficult' or 'it's complicated'. Includes discussion of financial circumstances and male partner support to evidence reasoning (only in reference to abortion). Excluded: caring for a child with CZS.
<i>Opposed to abortion</i>	Explicit opposition to the rights to abort. May cite religious grounds and morality e.g. perceptions of foetal viability and human rights. Normative beliefs around responsibility of pregnant mothers and their male partners. Unspecified negative responses, or strong opposed even when prompted by thee interviewer about microcephaly.
<i>Supports rights to abort</i>	Explicit support for the right to choose abortion. May express the need for legislative change, or cite perceptions of women's rights and autonomy regarding reproductive health.
<i>Unclear response to abortion</i>	Conflicted, contradictory or unintelligible response. May indicate discomfort expressing personal attitudes that conflict with the majority position.
Repellent acceptance	Parent code for likelihood of community acceptance of novel repellents adoption (response to question 5 of the topic guide).
<i>Appearance response</i>	Aesthetic criteria related to the perception of wearing novel novel repellents in the community (e.g. smell, fashion).
<i>Comfort response</i>	Negative responses related to comfort of repellent clothing such as overheating, restricting physical movement and allergies or discomfort caused by repellent products.
Repellent effectiveness	Responses related to perceived effectiveness of novel repellents for mosquito bite reduction. Scepticism or expression of interest may be contingent on how effective novel repellents are in practice (response to question 5 of the topic guide).

Repellent accessibility	Parent code for perceptions of the ability to access novel novel repellents (response to question 5 of the topic guide).
<i>Affordability response</i>	Comments related to cost of novel novel repellents being a barrier to their adoption.
<i>Availability response</i>	Comments related to local availability of repellent tools for purchase, such references to vendor stock outs and likelihood of vendors in their community to sell novel repellent tools like clothing. Also included are comments around provision of novel repellents as gifts-in-kind from NGOs or the local or national authorities (e.g. through Bolsa Familia).
<i>Awareness response</i>	Participants awareness of novel repellent tools for personal protection. Comments about being unaware or vague.
Community cohesion	Social cohesion is defined as the “ <i>extent of connectedness and solidarity among groups within society</i> ”, <sup>[3]</sup> such as support from the community for vector control or being able to seek social support when unwell. Comments about absent or poor relationships with neighbours, or not allowing unsolicited calls to household due to concerns about neighbourhood violence
Responsibility	Observation about participants expressing frustration over current preventive practices or ZIKV messaging, or being unable to negotiate shared responsibility for communal spaces for vector control. Blame of third parties or authorities.
<i>Internal responsibility</i>	Expressing perceived locus of control for behaviour change lies with individual.
<i>External responsibility</i>	Expressing that the perceived locus of control in relation to behaviour change around ZIKV and messaging as lying further upstream, such as with authorities (local, national).
Male support	Perceptions of male partners and the level of support participants feel they have from partners for ZIKV prevention. Perceptions of other male members of participants social circles, including family members, including normative beliefs related to gender (e.g. machismo). Excluded: references to condom negotiation.
Negotiating condom use	Responses to question 11 of topic guide: “Do you think that the men in your community would be willing to practice safe sex (condom use, sex without penetration)? Do you think that if a man knew he was infected he would use a condom for six months?”

<b>3.4 Perceived benefits and self- efficacy</b>	“Perceived benefits refer to an individual's assessment of the value or efficacy of engaging in a health-promoting behaviour to decrease risk of disease.”[2] Self-efficacy refers to an individual's perception of their competence to successfully undergo a behaviour change.[2]
Comfort	Positive perceptions of novel repellents use such as avoiding discomfort from bed nets, overheating from having to close windows and doors at night time, ‘stickiness’ or dislike of wearing topical repellents and allergic reactions (if referring to clothing).
Protection	Responses to question 5 of the topic guide related to enhanced protection of themselves or others in their social network from MBD infection. e.g. during pregnancy, family members such as children or the elderly.
Likelihood of adoption	Willingness or likelihood to adopt novel repellents. Describes being motivated or unmotivated to take responsibility for household level behaviours or community participation to reduce transmission of ZIKV. Excluded: change in behaviour that has happened.
<i>Negative response</i>	Unspecified negative response to Q5 of the topic guide indicating disinterest or not willing to adopt novel repellents.
<i>Positive response</i>	Unspecified positive response to question 5 of the topic guide indicating willingness or interest to adopt novel repellents.

<b>3. BEHAVIOUR CHANGE</b>	Behaviour changes attributed to the ZIKV epidemic, existing MBD preventive behaviours or no changes to mosquito population control or bite-reduction strategies, including use of novel repellent tools.
<b>3.1 Household Level</b>	Practices to prevent mosquito breeding sites, mosquito-bite reduction and mosquito entry to the household.
Mosquito bites	Preventive practices taken personally to reduce risk of mosquito bites.
<i>Avoidance behaviour</i>	Avoiding certain times of day or areas known to have more mosquitoes. Closing of windows or doors to prevent mosquito entry.
<i>Bed nets, screens</i>	Insecticide treated or untreated mosquito bed nets, window or door screens to prevent mosquito entry.
<i>Electronic devices</i>	Plug in mosquito repellent devices, air conditioning and fans, electric ‘racket’ killing devices, sonic devices.
<i>Long clothing</i>	Covering up with long sleeves or legs to prevent exposed skin to mosquitoes.

<i>Other topical products</i>	Applying moisturiser, sun screen or other topical lotions that are not manufactured to function as mosquito repellents.
<i>Repellents</i>	Chemical or citronella repellents, room sprays or alternative methods like burning coils, egg shells, cardboard etc. Excluded: electronic plug-in repellents or sonic devices.
<i>Supplements</i>	Participants describe taking oral supplements due to belief this will reduce likelihood of mosquito bites (e.g. vitamin B complex).
Mosquito population control	Parent code for preventive practices related to vector control in the household.
<i>Animals</i>	Wild dogs, pets or other non-arthropod animals. Coded for potential implications for One Health.
<i>Garbage disposal</i>	Further detail relating to garbage collection or recycling to prevent water accumulation.
<i>Hygiene</i>	Using soap, scrubbing surfaces, applying disinfectant, sweeping and references to hygiene and cleanliness.
<i>Insecticide</i>	Water treatments to stop larval growth cycle (larvicides), or spraying chemical insecticides indoors or around the periodomicile.
<i>Stagnant water</i>	Practices to prevent pooling of water in the periodomicile: filling plant pots or receptacles with sand; removing rubble; turning over pots and drinks bottles; wiping condensation down from surfaces, or other measures to encourage drainage and prevent stagnancy.
Behaviour adoption	Behaviour change attributed to ZIKV; including comments on increased or decreased frequency of an activity.
Delaying pregnancy	Decision to prevent or delay pregnancy, detailing methods that include use of contraceptives, non-penetrative sex, abstinence etc. Also referrals to members of the social circle or their wider network that delayed pregnancy. Excluded: abortion.
No change	Behaviours were practiced before ZIKV epidemic, or no adoption of preventive practices since the ZIKV epidemic.
<b>3.2 Community Participation</b>	Participant has engaged with others in the community, describing activities for collective action for vector control since the arrival of the ZIKV epidemic.
Collective Action	Engaging with others for activities specific to vector control, e.g. consulting with neighbours or community groups, exchanging advice with members of their immediate social circle.



Reporting	Reporting of sources of concern for mosquito control (e.g. communal spaces and garbage, larval growth) to landlords or building maintenance staff, local authorities, health agents or other third parties in position of power.
<b>4. COMMUNITY PREFERENCES</b>	Expressed needs or elaboration of preferences for mosquito-abatement products, or coordination of vector control strategies and health promotion related to ZIKV.
<b>4.1 Personal protection</b>	Novel topical mosquito repellents, repellent-impregnated clothing or other wearables (e.g. plastics) designed to repel and prevent mosquito bites.
Preferred criteria	Preferred criteria for novel repellents and repellent wearables that would encourage adoption, such as responses relating to comfort, appearance, affordability, effectiveness and other responses to question 5 of the topic guide.
Suggestions	Responses where participant mention a criterion for novel repellents not coded for in the other responses, e.g. suggestions for alternative repellent products (e.g. microencapsulated bracelets). Any other responses to question 5 of the topic guide.
<b>4.2 ZIKV Messaging</b>	Preferred risk communication and community engagement for MBD surveillance, mosquito bite-reduction and vector control strategies. Responses to: "Which of the Zika information sources do you think was the best and which was the least useful?"
Preferred delivery	Preferred format, frequency and source of delivery of risk communication (e.g. social media, in person).
Preferred target audience and messaging	Preferred target for risk communication and community engagement where participants express there is the most need (e.g. men, school children) and preferred key messages or specific topics related to ZIKV and MBDs.
Questions	Expressing lack of understanding or requests for clarification on topics related to ZIKV or other MBDs.
<b>4.3 Vector control</b>	Preferred activities for mosquito population control; perceptions of where the responsibility lies for vector control.
Community Level	Suggestions for action related to community groups, local authorities or within their local social network. e.g. health inspections or appointment of community members for capacity building and mobilisation of funding.
National Level	Preferred activities at the national level. For example, suggestions for action related to government policy and legislation, funding, public health campaigns or vaccine research and development.

**Discard pile**

Participant responses do not answer the topic guide questions or are considered relevant to the research question to justify creation of a new code.

- 1 Cislighi B, Heise L. Theory and practice of social norms interventions: Eight common pitfalls. *Global Health* 2018;14(1):1–10.
- 2 Champion VL, Skinner CS. The Health Belief Model. In: Glanz, K., Rimer, B.K. & Viswanath, K., eds. *Health Behavior and Health Education: Theory, Research, and Practice*. San Francisco: Jossey-Bass 2008:45–66
- 3 Manca AR. Social Cohesion. In: *Encyclopedia of Quality of Life and Well-Being Research* Dordrecht: Springer Netherlands; 2014 [cited 2020 Sep 23]. p. 6026–8.
- 4 Carvalho MS, Honorio NA, Garcia LMT, *et al.* Aedes aegypti control in urban areas: A systemic approach to a complex dynamic. *PLoS Negl Trop Dis* 2017;11(7):1–15.