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# BMJ Open

## Built environments for inpatient stroke rehabilitation services and care: A systematic literature review

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**Title**

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**Word count**

4,381

**Abstract**

**Objectives:** To identify, appraise, and synthesise existing design evidence for inpatient stroke rehabilitation facilities; to identify impacts of these built environments on the outcomes and experiences of people recovering from stroke, their family/caregivers, and staff.

**Design:** A convergent segregated review design was used to conduct a systematic review.

**Data sources:** OVID Medline, SCOPUS, Web of Science, and CINAHL were searched between January 2000 and November 2020.

**Eligibility criteria for selecting studies:** Qualitative, quantitative, and mixed methods studies investigating the impact of the built environment of inpatient rehabilitation facilities on stroke survivors, their family/caregivers, and/or staff.

**Data extraction and synthesis:** Two authors separately completed title, abstract, full-text screening, data extraction, and quality assessment. Extracted data were categorised according to the aspect of the built environment explored and the outcomes reported. These categories were used to structure a narrative synthesis of the results from all included studies.

**Results:** Twenty-four articles were included, most qualitative and exploratory. Half of the included articles investigated a particular aspect of the built environment, including environmental enrichment and communal areas ( $n = 8$ ), bedroom

1  
2  
3 design ( $n = 3$ ), and therapy spaces ( $n = 1$ ). Findings related  
4  
5 to one or more of the following outcome categories: 1)  
6  
7 clinical outcomes; 2) patient activity; 3) patient well-being;  
8  
9 4) patient and/or staff safety; and 5) clinical practice.  
10  
11 Heterogeneous designs and variables of interest meant results  
12  
13 could not be compared, but some repeated findings suggest that  
14  
15 attractive and accessible communal areas are important for  
16  
17 patient activity and well-being.  
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19

20  
21 **Conclusions:** Stroke rehabilitation is a unique healthcare  
22  
23 context where patient activity, practice, and motivation are  
24  
25 paramount. We found many evidence gaps that with more targeted  
26  
27 research could better inform the design of rehabilitation  
28  
29 spaces to optimise care.  
30  
31

32  
33 **PROSPERO registration number:** CRD42020158006  
34  
35

### 36 **Strengths and limitations of this study**

37

- 38 • The review method allowed for all the current evidence  
39 regarding inpatient stroke rehabilitation built  
40 environments to be gathered and assessed in a systematic  
41 and rigorous way.  
42  
43
- 44 • The narrative synthesis and diagrams provide a succinct  
45 summary of the trends and gaps in stroke rehabilitation  
46 environments research.  
47  
48
- 49 • Results of the included studies could not be easily  
50 combined or compared due to heterogeneity of study  
51 designs and variables of interest.  
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3 • Stroke rehabilitation services vary globally, but the  
4 majority of the studies in this review were conducted in  
5 Australia (50% of included articles) and Sweden (21% of  
6 included articles).  
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14 **Key words**

15 Stroke rehabilitation; Hospital Design and Construction;  
16 Clinical outcomes  
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## INTRODUCTION

The physical environment of healthcare facilities can influence clinical outcomes, patient and staff experiences, and the economic performance of the facility.[1, 2] Healthcare design research generates evidence to inform the design of healthcare facilities. Recent healthcare design research has focused on acute environments such as surgery and intensive care,[3] with significant attention paid to residential aged care[4] and mental health facilities.[5] Between these disparate sectors lies an important and expensive sector of healthcare: hospital-based inpatient rehabilitation.

Inpatient rehabilitation is essential for people recovering from serious injury or illness, such as stroke.[6] Stroke is a leading cause of death and disability worldwide.[7] As acute stroke treatments continue to improve, more people are expected to survive a stroke, and many will experience ongoing disability that requires hospital-based, or inpatient, rehabilitation. While recovery may continue for years post-stroke, initial rehabilitation usually begins in the acute phase of care, followed by sub-acute inpatient rehabilitation for some, and a gradual shift to outpatient and community care. Early supported discharge to home, more common in Europe, is suitable for only 30% of patients.[6, 8] The average length of stay in post-acute inpatient stroke rehabilitation varies globally, but is generally lengthy (for example, 27.2 days in Australia).[9] There is evidence that



1  
2  
3 functional outcomes vary between rehabilitation  
4  
5 facilities.[10] While variation may be due to differences in  
6  
7 procedures and staffing, differences in environment could also  
8  
9 contribute; we know that rehabilitation facility design is  
10  
11 heterogeneous.[11]  
12  
13

14         Rehabilitation is defined as "a process of active change  
15  
16 by which a person who has become disabled acquires the  
17  
18 knowledge and skills needed for optimum physical,  
19  
20 psychological and social function".[12] Repetitive practice  
21  
22 and targeted therapy - such as upper limb training, walking,  
23  
24 speech exercises, and practicing activities of daily living -  
25  
26 are integral to the rehabilitation process. Stroke patients  
27  
28 are encouraged to engage in general physical, cognitive, and  
29  
30 social activity outside of their structured therapy time in  
31  
32 order to further promote their recovery.[13] This contrasts  
33  
34 sharply with the priorities of acute care - to diagnose,  
35  
36 stabilise the patient and, where possible, apply acute  
37  
38 treatments such as thrombolysis or clot retrieval to prevent  
39  
40 death and optimise outcomes.[14] During rehabilitation,  
41  
42 patients must participate in activities and practice, but many  
43  
44 patients experience boredom, lack of stimulation, fatigue, low  
45  
46 mood, and feelings of disempowerment, which negatively impact  
47  
48 their motivation.[15] The distinct function and priorities of  
49  
50 rehabilitation, the importance of patient engagement, and the  
51  
52 typically long length of stay, prompted this review of the  
53  
54 healthcare design evidence specific to stroke rehabilitation  
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1  
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3 to better understand how the design of these healthcare  
4  
5 facilities could be optimised for their function.  
6

7  
8 The aim of this systematic literature review was to  
9  
10 identify, appraise, and synthesise the existing literature  
11  
12 related to the design of inpatient stroke rehabilitation  
13  
14 facilities. Our research questions were: What aspects of the  
15  
16 built environment have been investigated in inpatient stroke  
17  
18 rehabilitation settings? What types of research methods have  
19  
20 been used? What types of outcomes have been investigated? What  
21  
22 are the impacts of the built environment on the outcomes and  
23  
24 experiences of patients recovering from stroke, their  
25  
26 family/caregivers, and staff?  
27  
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## 32 **METHODS**

### 33 **Design**

34  
35 We aimed to include all relevant research, so we elected  
36  
37 to conduct a mixed studies systematic literature review which  
38  
39 followed the Preferred Reporting Items for Systematic Reviews  
40  
41 and Meta-Analyses (PRISMA) statement (see Supplementary file  
42  
43 1).[16] We used a convergent segregated review design so that  
44  
45 results from qualitative, quantitative, and mixed methods  
46  
47 studies could be synthesised in a narrative summary.[17] The  
48  
49 protocol was prospectively registered on PROSPERO  
50  
51 (CRD42020158006; date: 17 November 2019; see Supplementary  
52  
53 file 2).  
54  
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### 60 **Patient and public involvement**

1  
2  
3 An Advisory Committee including two stroke survivors  
4  
5 reviewed the research questions and draft manuscript of this  
6  
7 review.  
8

### 9 10 **Data sources**

11  
12 A systematic search was conducted in the following  
13  
14 databases in January 2020, and updated in November 2020: OVID  
15  
16 Medline, SCOPUS, Web of Science, and Cumulative Index to  
17  
18 Nursing and Allied Health Literature (CINAHL). A Boolean  
19  
20 search strategy was used (see Supplementary file 3). Authors  
21  
22 LP and RLS searched the reference lists of included articles,  
23  
24 systematic literature reviews, relevant PhD theses, key  
25  
26 journals (Health Environments Research & Design) and  
27  
28 organisations (The Centre for Healthcare Design) for  
29  
30 additional eligible studies.  
31  
32  
33

### 34 35 **Article selection**

36  
37 Publications that met the criteria outlined in Table 1  
38  
39 were considered eligible for inclusion. Following duplicate  
40  
41 removal, two reviewers ([INITIALS]) independently screened  
42  
43 titles and abstracts of the remaining articles using  
44  
45 Covidence.[18] These authors then independently screened the  
46  
47 full text of potentially eligible articles. Consensus was  
48  
49 reached with whole team discussion.  
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**Table 1.** Eligibility criteria for articles in this systematic literature review

Criteria	Eligibility requirements
Publication year	Articles published between 2000 and 2020 (to reflect the rise of evidence-based design research in the past 20 years).
Article type	Peer reviewed, English language, journal article or conference paper; excluded conference abstracts, posters, and PhD theses.
Study design	Quantitative, qualitative, or mixed methods research designs; excluded opinion pieces, commentaries, single case studies, and systematic reviews with no meta-analysis or meta-synthesis.
Population	Stroke survivors, their family/caregivers, and/or staff who care for stroke survivors; included research reporting on mixed populations only if stroke results could be extracted, or the sample was $\geq 60\%$ stroke; excluded paediatric populations.
Intervention or phenomenon of interest	Detailed information about the built environment, including ambient features, architectural and landscape features, interior design features, and/or maintenance features; excluded articles that mentioned aspects of the built environment without providing sufficient detail, for example, research that reported only the location of certain activities (e.g., time spent in the dining room) were not included, but research that provided details of said location (e.g., dimensions, adjacencies, etc.) were included.
Context	Inpatient rehabilitation hospital acute or sub-acute settings; research conducted in a virtual setting (e.g., using Virtual Reality) was eligible if the virtual environment depicted an inpatient rehabilitation hospital.
Outcome	Any outcome, experience, or perspective of any of the included populations.

### Quality appraisal

Level of evidence and methodological quality were independently appraised by two reviewers.[19] For level of evidence, [INITIALS] and [INITIALS] used criteria adapted from Stichler (see Supplementary file 4), [20, 21] and reached consensus through discussion. Methodological quality was assessed using the Mixed Methods Appraisal Tool (MMAT).[22] To ensure consistent use of the MMAT, 25% of the included

1  
2  
3 articles were assessed collaboratively by [INITIALS] and  
4  
5 [INITIALS], before the remainder of the articles were  
6  
7 independently assessed. Articles authored by reviewers were  
8  
9 appraised by non-authors. Consensus was reached through  
10  
11 discussion.  
12  
13

#### 14 **Data extraction and synthesis**

15  
16 Data were extracted using a standardized form (see  
17  
18 Supplementary file 5). [INITIALS] categorised the studies  
19  
20 according to: 1) the aspect of the built environment explored  
21  
22 (e.g., bedrooms) or approaches to altering the environment  
23  
24 (e.g., 'environmental enrichment' - i.e., setting up a  
25  
26 communal activity area, encouraging communal dining, and  
27  
28 providing patients with personalized 'enrichment packages'  
29  
30 that include books, games, and activities of their choice),  
31  
32 and 2) the outcomes reported in findings. The categories were  
33  
34 reviewed by authors and were used to structure the narrative  
35  
36 synthesis. For the environmental enrichment articles included  
37  
38 in this review, only the results pertaining to the built  
39  
40 environment components of the enrichment intervention are  
41  
42 discussed, namely the availability and set-up of the communal  
43  
44 activity areas.  
45  
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#### 53 **RESULTS**

54  
55 After duplicate removal, our searches revealed 859  
56  
57 articles, 24 of which were included in the final review (see  
58  
59 Figure 1). These 24 articles reported 18 studies from 14  
60

1  
2  
3 research groups and 9 countries. Some articles were excluded  
4  
5 because they were not specific to stroke rehabilitation ( $n =$   
6  
7 14) or did not provide any details about the built environment  
8  
9 ( $n = 21$ ); see Categories A and B in Figure 2.

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14 [Insert Figure 2 approximately here.]  
15

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19 [Insert Figure 3 approximately here.]  
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21  
22  
23 The study characteristics, article focus, outcomes of  
24  
25 interest, level of evidence and methodological quality of the  
26  
27 24 included articles are outlined in Table 2 and their results  
28  
29 are summarised in Supplementary file 6. Half of the articles  
30  
31 ( $n = 12$ ) did not focus on a particular aspect of the built  
32  
33 environment, instead exploring the impact of the built  
34  
35 environment as a whole (see Table 2). The remaining 12  
36  
37 articles investigated a particular aspect of the built  
38  
39 environment, including environmental enrichment ( $n = 8$ ),  
40  
41 bedroom design ( $n = 3$ ), and the location and availability of  
42  
43 therapy spaces ( $n = 1$ ). The aim of the environmental  
44  
45 enrichment studies was to test, in humans, the long-  
46  
47 established finding that laboratory rats who are housed with a  
48  
49 rotating selection of toys, running wheels, and other rats are  
50  
51 more active and recover more effectively from brain injury  
52  
53 than single rats in standard cages.[23]  
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**Table 2.** The characteristics, focus, outcomes, and quality of the articles included in this review

Aspect of built environment	First author, year, country	Outcome categories					Participant type, <i>n</i>	Context	Study design	Level of evidence	Methodological quality
		1	2	3	4	5					
Enriched environment	Janssen, 2014, Australia		✓				Stroke patients, 29	Post-acute mixed rehabilitation ward pre-/post-EE intervention	Quant-NR	2	3
	Khan, 2016, Australia	✓		✓			Mixed rehab patients, 103 total (53 stroke)	Post-acute mixed rehabilitation ward pre-/post-EE intervention	Quant-R	2	5
	Robertson, 2020, Australia	✓					Stroke patients, 60	Acute stroke ward pre-/post-EE intervention	Quant-NR	2	4
	Rosbergen, 2017a, Australia	✓	✓		✓		Staff (nurses & AH), 10	Acute stroke ward pre-/post-EE intervention	Qual	3	5
	Rosbergen, 2017b, Australia	✓	✓				Stroke patients, 90	Acute stroke ward pre-/post-EE intervention	Quant-NR	2	4
	Rosbergen, 2019, Australia	✓			✓		Stroke patients, 90	Acute stroke ward pre-/post-EE intervention	Quant-NR	2	4
	White, 2014, Australia	✓	✓		✓		Staff (nurses), 11	Post-acute rehabilitation ward pre-/post-EE intervention	Qual	3	5
	White, 2015, Australia	✓	✓		✓		Stroke patients, 10	Post-acute rehabilitation ward pre-/post-EE intervention	Qual	3	5
Bedroom design	Arbel, 2019, Canada			✓			Stroke patients, 25 (10 in AHR; 15 in standard)	AHR and standard bedroom in post-acute stroke rehab ward	Mix	4	0
	Daemen, 2014a, Netherlands	✓			✓		Staff (nurses, doctors, AH, managers), 30	Mock-up of AHR	Mix	3	1
	Perovic, 2017, Montenegro			✓			Stroke patients, 100	Acute neurological ward pre/post-move	Quant-NR	2	4

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46						
Therapy spaces	Skubik-Peplaski, 2015, USA			✓	✓	Staff (OTs), 3	Post-acute rehabilitation ward	Qual	3	5																																									
Whole of built environment	Anaker, 2017, Sweden			✓		Stroke patients, 59	Stroke ward pre/post-move	Mix	2	4																																									
	Anaker, 2018, Sweden			✓		Stroke patients, 55	Comparison between 3 stroke wards	Mix	2	4																																									
	Anaker, 2019, Sweden			✓	✓	Stroke patients, 16	Stroke ward	Qual	3	4																																									
	Anaker, 2020, Sweden					Staff, <i>n</i> not provided	Comparison between 3 stroke wards	Mix	2	2																																									
	Daemen, 2014b, Belgium & Netherlands	✓		✓		Stroke patients, family & staff, <i>n</i> not provided	Two neurological wards	Qual	4	2																																									
	Kevdzija, 2018, Germany			✓	✓	Stroke patients, 50; Staff, 46	Five neurological rehabilitation wards	Qual	3	5																																									
	Lampinen, 2003, Sweden			✓		Stroke patients with visuospatial agnosia, 8	Stroke rehabilitation ward	Qual	3	5																																									
	Lipson-Smith, 2019, Australia	✓	✓	✓	✓	Patients, staff, researchers, designers, policy, 30	Hypothetical stroke rehabilitation ward	Qual	3	5																																									
	O'Halloran, 2011, Australia					Stroke patients, 65	Two acute stroke wards	Qual	3	4																																									
O'Halloran, 2012, Australia					Stroke patients, 75; Staff (nurses, doctors, AH), 10	Metasynthesis of 3 studies in acute stroke wards	Qual	2	5																																										
Shannon, 2019, Australia			✓		Mixed neuro patients, 37 total (22 stroke)	Acute neurological ward pre/post-move	Quant-NR	2	3																																										
Turner, 2012, New Zealand				✓	Stroke patients with depression, 6	Rehabilitation ward	Qual	4	2																																										

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Outcome categories: 1 = patient clinical outcomes; 2 = patient activity (including physical, cognitive, and/or social activity); 3 = patient emotional well-being; 4 = patient and/or staff safety; and 5 = staff clinical practice and efficiency.

Level of evidence: 1 = systematic reviews, meta-analyses, and meta-syntheses; 2 = well-designed experimental, quasi-experimental, and multiple-case studies, and integrative or systematic reviews of observational or qualitative studies; 3 = well-designed observational and qualitative studies, poorly designed experimental, quasi-experimental, and multiple-case studies; 4 = poorly designed observational and qualitative studies.

Methodological quality: Measured using the Mixed Methods Appraisal Tool where 0 = low quality and 5 = high quality.

Study design: Qual = Qualitative, Quant-R = Quantitative randomised, Quant-NR = Quantitative non-randomised, Mix = Mixed methods.

Abbreviations: AHR = Adaptable Healing Room (specialised bedroom which incorporates technology to provide targeted levels of light and noise, orientation information, and positive distraction for the patient); AH = Allied Health professionals; EE = Environmental Enrichment (communal area, stimulating resources, and activities provided to patients); OT = Occupational Therapist.

1  
2  
3 In all included articles, one or more of the following  
4 five outcome categories were reported: 1) patient clinical  
5 outcomes (measurable changes in health or function, such a  
6 person's balance, mobility, or ability to perform everyday  
7 tasks); 2) patient activity (including physical, cognitive,  
8 and/or social activity); 3) patient emotional well-being  
9 (including mood, boredom, loneliness, sense of empowerment,  
10 and need for privacy); 4) patient and/or staff safety; and 5)  
11 staff clinical practice and efficiency (such as clinical  
12 decision making and use of staff time) (see Table 2). These  
13 outcome categories are described in detail in the narrative  
14 synthesis below.

### 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 **Study design, research focus, and methodological quality of** 33 **the included articles** 34 35

36  
37 Half of the included articles were qualitative studies ( $n$   
38 = 12), the remainder were non-randomised quantitative studies  
39 ( $n = 6$ ), mixed methods studies ( $n = 5$ ), and randomized  
40 quantitative studies ( $n = 1$ ) (see Table 2 and Figure 3). In 18  
41 of the 24 studies patient outcomes or experiences were  
42 examined, rather than staff or family/caregivers (see Figure  
43 3). In six articles targeted research questions were  
44 addressed, e.g., pre-specifying aspects of the built  
45 environment and/or specific outcomes of interest, while in  
46 other articles a more exploratory approach was taken (see top  
47 left quadrant Figure 3). The role of the built environment in  
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3 general was the focus in nine articles, in relation to  
4  
5 specific outcomes of interest (lower left quadrant of Figure  
6  
7 3), and the research questions in three articles were purely  
8  
9 exploratory, with no predefined aspects of the built  
10  
11 environment or outcomes of interest (bottom right quadrant of  
12  
13 Figure 3).  
14  
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19 [Insert Figure 3 approximately here.]  
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23  
24 The qualitative studies appeared to be of higher  
25  
26 methodological quality ( $n = 12$ , MMAT median score = 5), as did  
27  
28 the one randomised quantitative study (MMAT score = 5), while  
29  
30 the non-randomised quantitative studies and mixed methods  
31  
32 studies were judged to be of lower methodological quality  
33  
34 (non-randomised quantitative  $n = 6$ , MMAT median score = 4;  
35  
36 mixed methods  $n = 5$ , MMAT median score = 2). Level of evidence  
37  
38 classification is shown in Table 2. All of the articles that  
39  
40 received a MMAT score  $< 2$  (indicating low methodological  
41  
42 quality) were also judged to provide the lowest level of  
43  
44 evidence (level 4). The poorest scoring item on the MMAT was  
45  
46 question 3.1 'Are the participants representative of the  
47  
48 target population?' (see Supplementary file 7). We elected not  
49  
50 to include one article[31] in the narrative synthesis as it  
51  
52 was assessed as having very low methodological quality (MMAT =  
53  
54 0, see Table 2 and Supplementary file 7).  
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## Narrative synthesis of results

### Patient clinical outcomes

In six articles (total  $n = 263$  participants), one or more clinical outcome(s) were discussed (see Table 2).

Heterogeneity of outcomes, methods and environments prohibited comparison across studies.

In the only randomized trial, self-care and mobility functional independence at discharge were better in stroke patients with access to an enriched environment compared to patients without access (controls).[25] Differences were not sustained at 3-months post-discharge, however patients who experienced enrichment reported better health (measured using the EQ-5D) than controls.[25] Fewer adverse events (such as worsening of symptoms) were reported in patients experiencing enrichment compared to controls in another study, with no difference in serious adverse events (such as hospitalisation or death) or malnutrition.[27, 35]

One study explored staff opinion about the potential value of Adaptable Healing Rooms (AHRs) for stroke patients.[32] These specialised bedroom designs used timed lighting and multi-media technology to provide targeted levels of light and noise throughout the day, orientation information (e.g., clock, timetable, etc.) and positive distraction (e.g., family photos or nature scenes) for the patient. Staff suggested that AHRs may help to facilitate healing by promoting patient/staff relationships, being patient-centered,

1  
2  
3 helping patients to wake-up naturally and improving sleep,  
4 providing more information and structure to the day, and  
5 providing stimulation at the right times.[32]  
6  
7  
8

9  
10 Expert elicitation conducted with a large stakeholder  
11 group of stroke patients and staff, researchers, architects,  
12 designers, and policy makers,[36] revealed four agreed  
13  
14 'fundamentally important' objectives that the built  
15 environment should meet in order to optimise stroke  
16 rehabilitation care: maximising efficiency of care, maximising  
17 effectiveness of care (i.e., clinical outcomes), maximising  
18 emotional well-being, and maximising safety. The experts  
19 identified a number of 'instrumentally important' objectives  
20 that the built environment could achieve to maximise patient  
21 activity and effective sleep and rest and thereby maximise  
22 clinical outcomes, including: maximising the versatility of  
23 the space, legibility (wayfinding), indoor environmental  
24 quality (air, light, noise, etc.), and patients' personal  
25 control over the space including accessibility to different  
26 spaces such as green and outdoor spaces and integration with  
27 the surrounding community.[36]  
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51 Physical, cognitive, and social activity

52  
53 In over half of the included articles (13 articles, total  
54  $n = 526$  participants), patient activity, including physical  
55 activity (walking, using arm, etc.), cognitive activity  
56  
57 (reading, listening to music, etc.), and/or social activity  
58  
59  
60

1  
2  
3 (talking, touch, etc.), was reported. Taken together, these  
4  
5 studies provide some preliminary evidence that patient  
6  
7 activity may increase in environments that are legible and  
8  
9 easy to navigate, have attractive and accessible communal  
10  
11 areas, and a smaller proportion of single-bed patient rooms.  
12  
13

14 In two studies (reported across three articles) stroke  
15  
16 patients exposed to an enriched environment and a communal  
17  
18 activity area participated in more activity than patients in a  
19  
20 'usual care' rehabilitation ward.[24, 27, 28] Variation in the  
21  
22 type of activity enhanced with enrichment was found, with  
23  
24 cognitive and social activity higher in one study,[24] and  
25  
26 physical, cognitive, and social activity all were found to be  
27  
28 higher in the other study.[27, 28] In qualitative studies  
29  
30 associated with these projects, both staff[26, 29] and  
31  
32 patients[30] reported that access to a communal activity area  
33  
34 helped to promote patient activity.  
35  
36  
37  
38

39 In two studies, patient activity was measured before and  
40  
41 after a ward was relocated to a new building.[37, 38] In a  
42  
43 further study, patient activity was measured across three  
44  
45 existing wards.[39] In these studies, a higher proportion of  
46  
47 single-bed rooms was associated with lower levels of patient  
48  
49 activity. Other aspects of the built environment thought to  
50  
51 contribute to lower patient activity were the presence and  
52  
53 attractiveness of communal areas and the ease of navigation.  
54  
55 Communal areas that were unattractive or hard to find went  
56  
57 unused.[38-40]  
58  
59  
60

1  
2  
3           Kevdzija and Marquardt identified difficulty navigating  
4  
5           (poor wayfinding), inappropriate dimensions of space (such as  
6  
7           corridors that are too narrow for self-propelled wheelchairs),  
8  
9  
10          inappropriate distances between spaces (such as communal  
11  
12          spaces being too far from the patient bedroom), uneven floor  
13  
14          surfaces, and physical obstacles (such as equipment left in  
15  
16          corridors) as barriers.[41] Similarly, legibility of the  
17  
18          space, access to spaces beyond the bedroom (including communal  
19  
20          and outdoor spaces), and patient control of the space were  
21  
22          themes identified by Lipson-Smith et al. during expert  
23  
24          elicitation.[36] In a small qualitative study by Lampinen and  
25  
26          Tham in which the challenges of agnosia (changes in ability to  
27  
28          recognise objects) were specifically considered, participants  
29  
30          described how unrecognisable objects in the environment became  
31  
32          obstacles and created barriers to their activity and  
33  
34          performance of everyday tasks.[42]

#### 41          Emotional well-being

42  
43           Emotional well-being was explored in nine articles in  
44  
45          this review (total  $n = 261$  participants). Patient mood,  
46  
47          boredom, empowerment, privacy, and loneliness were all raised  
48  
49          as contributing to emotional well-being in inpatient  
50  
51          rehabilitation. In several qualitative studies communal area  
52  
53          access appeared important for patient emotional well-being,  
54  
55          reducing boredom and loneliness and promoting patient  
56  
57          empowerment.[26, 29, 30, 36, 40] Reduced levels of depression,  
58  
59  
60

1  
2  
3 anxiety, and stress at discharge were reported in patients  
4 with access to enrichment and communal areas compared to  
5 patients without access.[25]  
6  
7  
8  
9

10 Other built environment features thought to contribute to  
11 emotional well-being included: flexible space (e.g., having  
12 access to both single-bed and multi-bed patient rooms);  
13 connection to nature and the outside world; privacy and  
14 control over the space, and allowing for personal spaces  
15 within a clinical environment; aesthetics and appropriate  
16 light and noise levels; and ease of navigation, legibility,  
17 and access within the space.[36, 40, 43, 44] In one  
18 quantitative study, no difference in depression or anxiety was  
19 found between patients in an old rehabilitation ward and those  
20 in a new rehabilitation ward, which had fewer beds per room,  
21 more natural light, more colour, and a contemporary  
22 aesthetic.[33]  
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39 Staff and visitor/family emotional well-being were  
40 identified as important by Lipson-Smith et al., [36] but were  
41 not explored directly in any studies.  
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## 48 Safety

49  
50 The concept of safety within the environment was  
51 addressed in only three studies (total  $n = 129$   
52 participants).[36, 41, 45] In the study by Lipson-Smith et  
53 al., experts agreed that safety for patients, staff, and  
54 visitors/family could be maximised by: minimising manual  
55  
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1  
2  
3 handling, maximising sightlines between staff and patients;  
4  
5 maximising legibility, accessibility and flexibility of the  
6  
7 space; maximising indoor environmental quality (e.g., light  
8  
9 and noise); and incorporating modern technology.[36] In a  
10  
11 small qualitative study, Occupational Therapists felt safer  
12  
13 treating patients in a gym environment than in one isolated  
14  
15 and not purpose-built for therapy (such as a patient's  
16  
17 bedroom) as there are always "extra hands" available from  
18  
19 fellow therapists in a gym.[34] Obstacles in the environment  
20  
21 (e.g., equipment in the hallway) and uneven floor surfaces  
22  
23 were perceived barriers to patient mobility in the study by  
24  
25 Kevdzija and Marquardt.[41] The actual safety, as opposed to  
26  
27 perceived safety, of patients, staff, and/or visitors was not  
28  
29 measured in any of the included studies.  
30  
31  
32  
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36

### 37 Clinical practice and efficiency

38  
39 Aspects of clinical practice and/or efficiency were  
40  
41 mentioned in ten articles (total  $n = 334$  participants).[26,  
42  
43 28-30, 32, 34, 36, 46-48] In four articles, communal activity  
44  
45 areas were explored in the context of staff workload.[26, 28-  
46  
47 30] Staff opinion varied about whether communal areas  
48  
49 increased staff workload; some nurses felt obliged to  
50  
51 facilitate patients' use of the area, while other nurses felt  
52  
53 that activity areas kept patients occupied and so decreased  
54  
55 staff workload.[26, 29] Quantitative studies in which staff  
56  
57 time spent assisting patients in communal areas was measured  
58  
59  
60

1  
2  
3 suggested no change in staff workload when these activity  
4  
5 areas were introduced.[28]  
6

7  
8 An observational study of multi-professional teamwork in  
9  
10 three stroke units found that the design of the stroke units  
11  
12 did not appear to foster multi-professional teamwork:  
13  
14 Centrally-located staff workplaces, such as the nurses'  
15  
16 stations, created visible hubs but were not appropriate for  
17  
18 confidential discussions between staff; none of the stroke  
19  
20 units had dedicated rooms for multi-professional meetings; and  
21  
22 each profession worked mainly in their own dedicated  
23  
24 offices.[48]  
25  
26

27  
28 The qualitative meta-synthesis conducted by O'Halloran et  
29  
30 al. addressed the question of patient/staff communication and  
31  
32 concluded that high levels of background noise, visual  
33  
34 distractions, and a lack of single-bed rooms acted as  
35  
36 environmental barriers to communication between patients and  
37  
38 staff.[46] In another qualitative study, Occupational  
39  
40 Therapists reported adapting their treatment sessions  
41  
42 according to the available space, indicating that the  
43  
44 suitability of therapy spaces impacts treatment decision-  
45  
46 making.[34]  
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48  
49

50  
51 Finally, in studies by Lipson-Smith et al.[36] and Daemon  
52  
53 et al.[32] the role that the built environment, including  
54  
55 AHRs, could play in contributing to care efficiency was raised  
56  
57 in consultations with staff and other stakeholders.  
58  
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60

## DISCUSSION

This systematic review provides an overview of the existing research related to inpatient stroke rehabilitation built environments, a unique healthcare environment where patient activity, practice, and motivation are paramount. Our review revealed a research field in its early stages; the majority of the included articles were exploratory (see Figure 3), the quality of research varied, and there was no research to provide level 1 evidence (see Table 2). Heterogeneity of outcomes, methods and environmental variables of interest hindered comparison across studies but raised interesting questions about what drives research in this field and how this research is generated.

The few targeted research articles included in this review were limited to three aspects of the built environment: 1) environmental enrichment and associated communal activity areas; 2) bedroom design, including the impact of AHRs; and 3) the type and availability of therapy spaces. While these topics are important, they are hardly exhaustive. Access to nature and the outdoors was identified by Lipson-Smith et al.[36] as important for encouraging activity and emotional well-being in stroke rehabilitation environments, and the therapeutic impact of outdoor spaces is well-researched in other healthcare settings,[49] but our review revealed no targeted research studies addressing the impact of outdoor spaces in inpatient stroke rehabilitation.

1  
2  
3 Applying evidence-based design principles from other  
4 healthcare contexts to a rehabilitation setting is unlikely to  
5 fully address the unique priorities and purpose of  
6 rehabilitation environments.[11] Single-bed patient rooms, for  
7 example, have been found to improve patient-clinician  
8 communication, infection control, and noise reduction in other  
9 healthcare settings,[50] but evidence regarding the impact of  
10 single-bed rooms is lacking in patients with neurological  
11 injury.[51-53] Noise reduction and privacy are important  
12 considerations in stroke rehabilitation, especially  
13 considering the disabling experience of fatigue,[15] however,  
14 exploratory studies in this review suggest that stroke  
15 patients in single-bed rooms may be less active and spend more  
16 time alone than patients in shared bedrooms,[37, 38] which may  
17 impact their recovery and well-being.[36] More recently,  
18 Rosebergen et al. found that patients spent more time alone  
19 but were also more physically active in a rehabilitation  
20 facility with more single-bed rooms, but there was no change  
21 in cognitive or social activity.[54] Given the importance of  
22 both activity and rest in stroke rehabilitation, it is  
23 essential that the impact of single-bed rooms is further  
24 investigated in a rehabilitation-specific context so that a  
25 design solution can be achieved which facilitates activity and  
26 practice, while ensuring opportunity for privacy and rest.

27  
28 Communal areas were the most frequently addressed  
29 environmental feature in this review (addressed in half of the  
30

1  
2  
3 articles,  $n = 12$ ). Taken together, these articles allow some  
4  
5 tentative conclusions to be drawn regarding the benefits of  
6  
7 communal areas for patient activity and emotional well-being  
8  
9 in stroke rehabilitation. This is in line with findings from a  
10  
11 large qualitative study conducted in a general (not stroke-  
12  
13 specific) rehabilitation setting, in which freedom of  
14  
15 movement, access to facilities, and choice within the  
16  
17 environment impacted patient motivation, activity, and social  
18  
19 interaction.[55] Provision of communal dining and activity  
20  
21 areas were particularly noted as helping to increase patient  
22  
23 activity in the study by.[56] Importantly, the mere existence  
24  
25 of a communal area is likely not sufficient to guarantee its  
26  
27 use.[39] Future research could examine the optimal design of  
28  
29 communal areas; whether their use should be flexible or  
30  
31 structured, their optimal size, and their optimal placement in  
32  
33 relation to the patient bedrooms and other key spaces.  
34  
35  
36  
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39 Patient perceptions and outcomes were the targets of  
40  
41 interest in most studies (see Figure 3). Variation in patient  
42  
43 activity associated with the environment ( $n = 13$ ) was explored  
44  
45 in over half of the articles in this review. This is perhaps  
46  
47 unsurprising since physical activity and fitness may predict  
48  
49 outcomes after stroke.[13] Healthcare environments can impact  
50  
51 staff efficiency, well-being, and retention,[1] with flow-on  
52  
53 effects for patient care. Family and caregiver involvement can  
54  
55 improve patient outcomes,[57] yet caregivers often feel  
56  
57 ignored or alienated in inpatient stroke rehabilitation  
58  
59  
60

1  
2  
3 environments.[58] Future research should consider the impact  
4  
5 of the built environment on staff and family/caregivers, and  
6  
7 how the environmental needs and priorities of these groups can  
8  
9 be balanced with patient need.

10  
11  
12 Twenty-one articles were excluded from this review because,  
13  
14 although they provided some comments about the built  
15  
16 environment in their results or discussion, the authors did  
17  
18 not intend to study the built environment and did not provide  
19  
20 any details about said environment (see Figures 1 and 2). For  
21  
22 example, in some of these studies the level of patient  
23  
24 physical activity was shown to vary in different locations of  
25  
26 the rehabilitation facility and be especially low in the  
27  
28 bedroom and lounge.[59] While these studies can help us  
29  
30 understand, for example, high use activity areas, the absence  
31  
32 of details about the environment makes it impossible to  
33  
34 determine *in what way* the environment is important.

35  
36  
37 This review showcases the wide array of study designs in  
38  
39 this field. The authors of the one randomized study in this  
40  
41 review acknowledged difficulties with conducting randomized  
42  
43 trials of built environment interventions. This includes the  
44  
45 inability to blind participants to randomization outcome  
46  
47 (because the environmental change is obvious), which can  
48  
49 introduce bias. While Khan et al. found significant between-  
50  
51 group differences with their enrichment intervention, they  
52  
53 recommended the study be repeated in different settings with  
54  
55 larger sample sizes to confirm their findings.[25] In three  
56  
57  
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1  
2  
3 studies the authors took advantage of renovations or rebuilds  
4  
5 to conduct comparative studies. While these natural  
6  
7 experiments can be informative, rebuilds usually involve more  
8  
9 than one design change and often coincide with significant  
10  
11 procedural or social change in the healthcare service, making  
12  
13 it difficult for environmental variables to be isolated.  
14  
15 Standardised description of rehabilitation environments as  
16  
17 well as replication of studies showing promising findings  
18  
19 should be important goals for all healthcare built environment  
20  
21 research. Innovative research approaches are needed to  
22  
23 overcome the challenges of researching healthcare  
24  
25 environments. Emergent research approaches in rehabilitation  
26  
27 environments research include using Virtual Reality to model  
28  
29 and test different designs in controlled experiment (for  
30  
31 example see The NOVELL Redesign project,  
32  
33 [www.novellredesign.com](http://www.novellredesign.com)).

34  
35  
36  
37  
38  
39 The quality of the studies in this review varied according  
40  
41 to the MMAT, with the qualitative studies achieving the  
42  
43 highest scores (indicating higher quality). This may in part  
44  
45 be a reflection of the scoring system used in the MMAT. The  
46  
47 MMAT was, however, designed to be used for all study types,  
48  
49 including mixed methods, and has precedent in healthcare  
50  
51 environments research.[19, 50] It is possible that our search  
52  
53 may have missed some relevant research because the physical  
54  
55 environment is defined differently in different disciplines,  
56  
57 and some disciplines frequently publish in non-peer-reviewed  
58  
59  
60

1  
2  
3 mediums such as professional architecture magazines and books.  
4  
5 However, we are confident that our search terms were  
6  
7 sufficient to capture peer-reviewed research relating to the  
8  
9 built environment as it is defined in this review. Our search  
10  
11 was limited to articles published since the year 2000. We  
12  
13 consider it unlikely that many relevant articles were  
14  
15 published before this time. Indeed, only one (4%) of the  
16  
17 articles included in this review was published prior to 2010.  
18  
19 The rate of research in this field is increasing; we are aware  
20  
21 of relevant articles that are in preparation or that were  
22  
23 published after our searches were completed.[54] This review  
24  
25 should therefore be updated in the coming years.  
26  
27  
28  
29

30 The 24 articles in this review were produced by 14 research  
31  
32 groups. Many of these groups have previously collaborated and  
33  
34 the authors of this review were involved in a number of the  
35  
36 included studies. Evidence-based healthcare design research is  
37  
38 inherently interdisciplinary, and the field will benefit as  
39  
40 more diverse research groups bring innovative methods and  
41  
42 approaches. The majority of the studies in this review were  
43  
44 conducted either in Australia (50% of included articles) or  
45  
46 Sweden (21% of included articles). As mentioned in the  
47  
48 introduction, stroke rehabilitation services vary globally,  
49  
50 and the design of rehabilitation facilities should reflect the  
51  
52 local service. There is therefore a need to bring a more  
53  
54 diverse international perspective to stroke rehabilitation  
55  
56 environments research.  
57  
58  
59  
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1  
2  
3 To effectively grow the research field and provide evidence-  
4 based design for patient well-being and health, it is  
5 essential that important factors (such as outdoor spaces,  
6 single-bed rooms, patient and staff safety, and staff well-  
7 being) are not overlooked. We recommend that future  
8 researchers use the findings from the exploratory studies  
9 included in this review to provide a rationale and framework  
10 for their research in rehabilitation design. These exploratory  
11 studies identify aspects of the built environment and outcomes  
12 that are worthy of further investigation and provide a  
13 framework for future stroke rehabilitation environments  
14 research. This may encourage a more unified approach to the  
15 discipline and help researchers to identify aspects of the  
16 built environment and outcomes that are worthy of targeted  
17 study.

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43  
44  
45

46  
47  
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56  
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21  
22

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24  
25

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27  
28

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31  
32  
33

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36 material.  
37  
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## Figure legends

**Figure 1.** Article identification and screening flow diagram

*†The following types of articles were excluded from this review, but their reference lists were searched for relevant articles: opinion pieces or commentaries, unpublished studies in PhD theses, single case studies, and systematic reviews with no meta-analysis, meta-synthesis, or integrative component.*

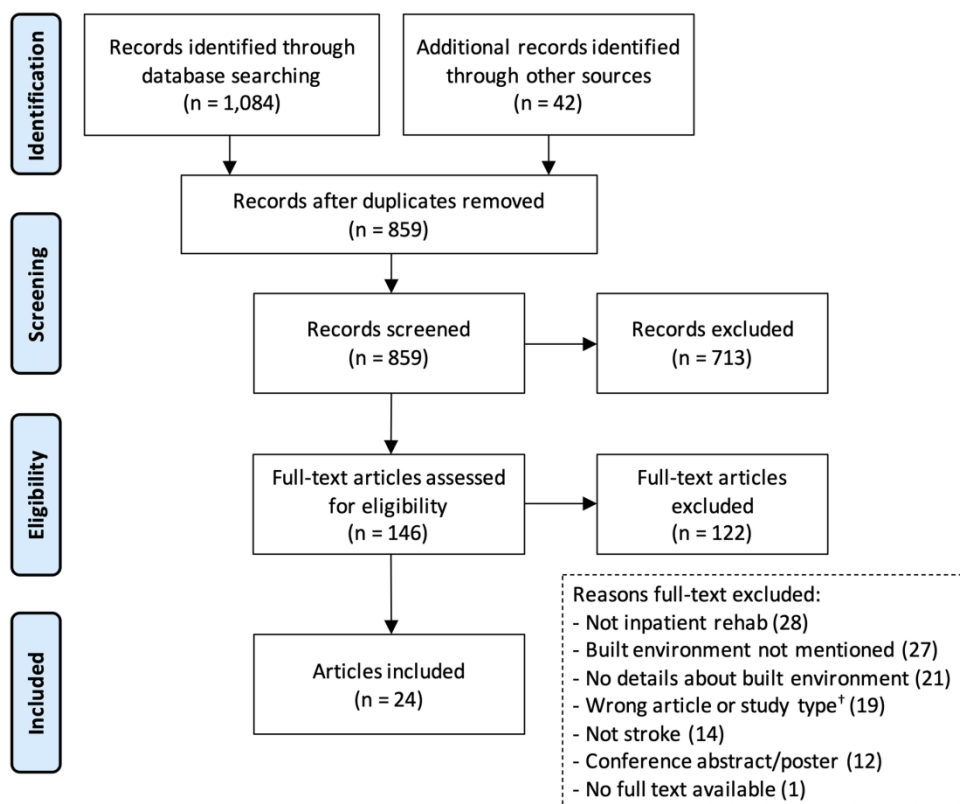
**Figure 2.** The overlapping categories of research which may provide evidence relevant to stroke rehabilitation healthcare facility design.

*Category A: Evidence from other healthcare settings which may support research findings from stroke rehabilitation environments (but are not specific to stroke rehabilitation).*

*Category B: Evidence from stroke rehabilitation research which may highlight the importance of the built environment (but not describe it in any detail). This systematic literature review included only evidence from Category C.*

**Figure 3.** Research method and focus of included articles.

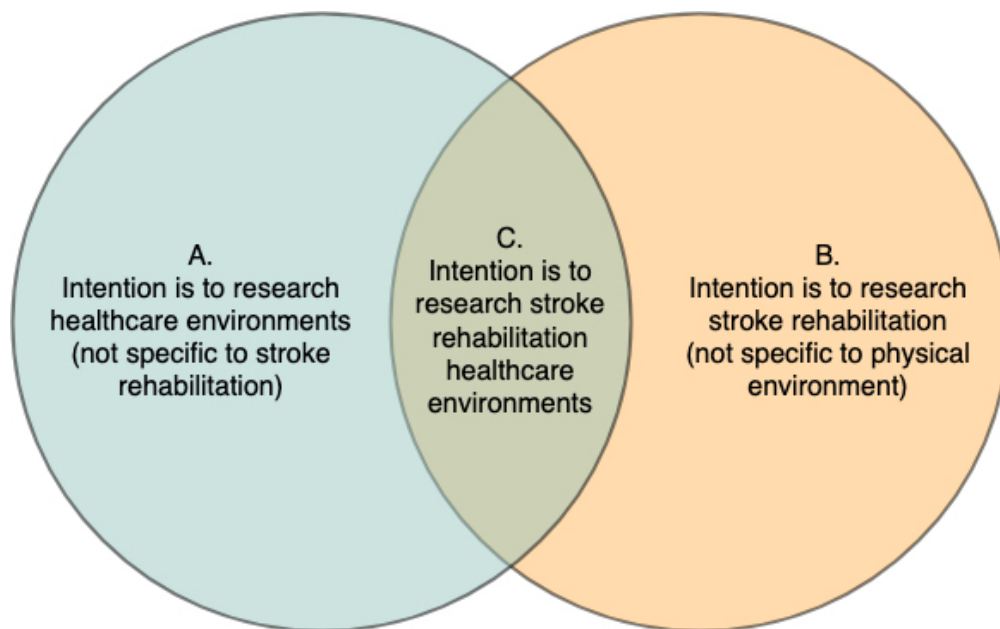
*Articles are clustered according to the extent to which they pre-specified the specific aspects of the built environment or outcomes to be investigated (targeted vs exploratory research).*



Article identification and screening flow diagram

†The following types of articles were excluded from this review, but their reference lists were searched for relevant articles: opinion pieces or commentaries, unpublished studies in PhD theses, single case studies, and systematic reviews with no meta-analysis, meta-synthesis, or integrative component.

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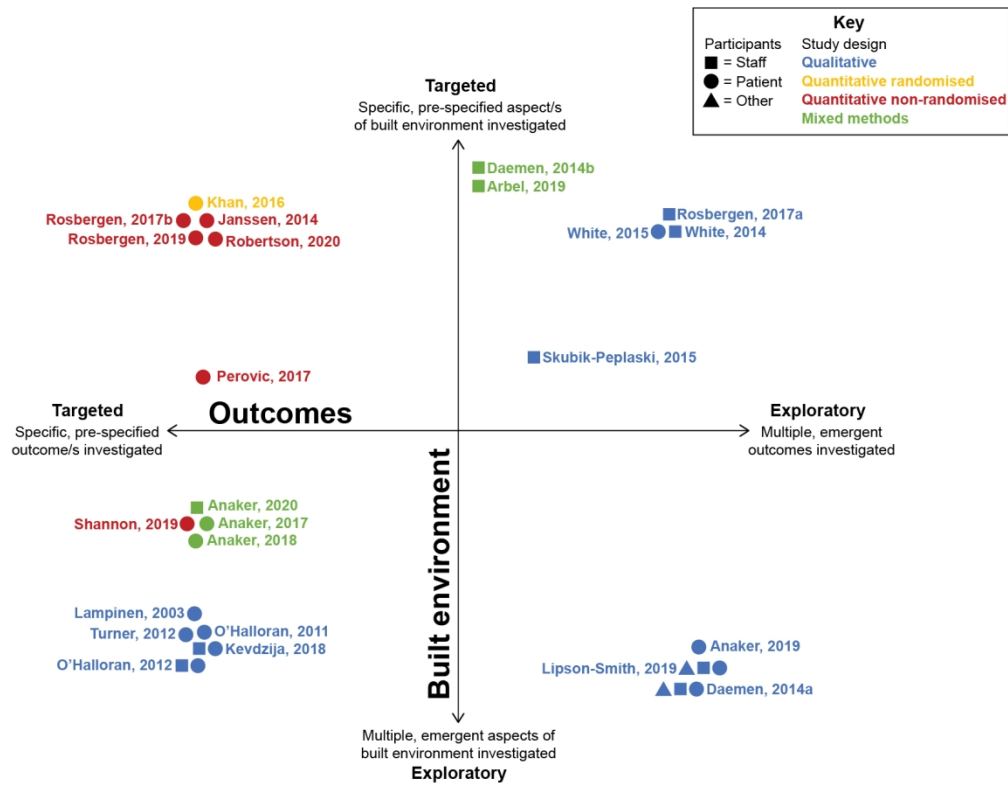


The overlapping categories of research which may provide evidence relevant to stroke rehabilitation healthcare facility design.

Category A: Evidence from other healthcare settings which may support research findings from stroke rehabilitation environments (but are not specific to stroke rehabilitation). Category B: Evidence from stroke rehabilitation research which may highlight the importance of the built environment (but not describe it in any detail). This systematic literature review included only evidence from Category C.

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Research method and focus of included articles. Articles are clustered according to the extent to which they pre-specified the specific aspects of the built environment or outcomes to be investigated (targeted vs exploratory research).

286x223mm (150 x 150 DPI)



# PRISMA 2009 Checklist

Section/topic	#	Checklist item	Reported on page #
<b>TITLE</b>			
Title	1	Identify the report as a systematic review, meta-analysis, or both.	1
<b>ABSTRACT</b>			
Structured summary	2	Provide a structured summary including, as applicable: background; objectives; data sources; study eligibility criteria, participants, and interventions; study appraisal and synthesis methods; results; limitations; conclusions and implications of key findings; systematic review registration number.	2
<b>INTRODUCTION</b>			
Rationale	3	Describe the rationale for the review in the context of what is already known.	4
Objectives	4	Provide an explicit statement of questions being addressed with reference to participants, interventions, comparisons, outcomes, and study design (PICOS).	5
<b>METHODS</b>			
Protocol and registration	5	Indicate if a review protocol exists, if and where it can be accessed (e.g., Web address), and, if available, provide registration information including registration number.	6
Eligibility criteria	6	Specify study characteristics (e.g., PICOS, length of follow-up) and report characteristics (e.g., years considered, language, publication status) used as criteria for eligibility, giving rationale.	7
Information sources	7	Describe all information sources (e.g., databases with dates of coverage, contact with study authors to identify additional studies) in the search and date last searched.	6
Search	8	Present full electronic search strategy for at least one database, including any limits used, such that it could be repeated.	Supp material 3
Study selection	9	State the process for selecting studies (i.e., screening, eligibility, included in systematic review, and, if applicable, included in the meta-analysis).	6
Data collection process	10	Describe method of data extraction from reports (e.g., piloted forms, independently, in duplicate) and any processes for obtaining and confirming data from investigators.	8
Data items	11	List and define all variables for which data were sought (e.g., PICOS, funding sources) and any assumptions and simplifications made.	Supp material 5
Risk of bias in individual studies	12	Describe methods used for assessing risk of bias of individual studies (including specification of whether this was done at the study or outcome level), and how this information is to be used in any data synthesis.	7
Summary measures	13	State the principal summary measures (e.g., risk ratio, difference in means).	N/A
Synthesis of results	14	Describe the methods of handling data and combining results of studies, if done, including measures of consistency (e.g., $I^2$ ) for each meta-analysis.	8



# PRISMA 2009 Checklist

Page 1 of 2

Section/topic	#	Checklist item	Reported on page #
Risk of bias across studies	15	Specify any assessment of risk of bias that may affect the cumulative evidence (e.g., publication bias, selective reporting within studies).	N/A
Additional analyses	16	Describe methods of additional analyses (e.g., sensitivity or subgroup analyses, meta-regression), if done, indicating which were pre-specified.	N/A
<b>RESULTS</b>			
Study selection	17	Give numbers of studies screened, assessed for eligibility, and included in the review, with reasons for exclusions at each stage, ideally with a flow diagram.	Fig 1
Study characteristics	18	For each study, present characteristics for which data were extracted (e.g., study size, PICOS, follow-up period) and provide the citations.	Table 2
Risk of bias within studies	19	Present data on risk of bias of each study and, if available, any outcome level assessment (see item 12).	Supp material 7
Results of individual studies	20	For all outcomes considered (benefits or harms), present, for each study: (a) simple summary data for each intervention group (b) effect estimates and confidence intervals, ideally with a forest plot.	Supp material 6
Synthesis of results	21	Present results of each meta-analysis done, including confidence intervals and measures of consistency.	14-19
Risk of bias across studies	22	Present results of any assessment of risk of bias across studies (see Item 15).	N/A
Additional analysis	23	Give results of additional analyses, if done (e.g., sensitivity or subgroup analyses, meta-regression [see Item 16]).	N/A
<b>DISCUSSION</b>			
Summary of evidence	24	Summarize the main findings including the strength of evidence for each main outcome; consider their relevance to key groups (e.g., healthcare providers, users, and policy makers).	20-21
Limitations	25	Discuss limitations at study and outcome level (e.g., risk of bias), and at review-level (e.g., incomplete retrieval of identified research, reporting bias).	23
Conclusions	26	Provide a general interpretation of the results in the context of other evidence, and implications for future research.	23
<b>FUNDING</b>			
Funding	27	Describe sources of funding for the systematic review and other support (e.g., supply of data); role of funders for the systematic review.	24

From: Moher D, Liberati A, Tetzlaff J, Altman DG, The PRISMA Group (2009). Preferred Reporting Items for Systematic Reviews and Meta-Analyses: The PRISMA Statement. PLoS Med 6(7): e1000097. doi:10.1371/journal.pmed1000097

For more information, visit: [www.prisma-statement.org](http://www.prisma-statement.org).

For peer review only - <http://bmjopen.bmj.com/site/about/guidelines.xhtml>

# The impacts of the physical environment of inpatient rehabilitation settings on outcomes and experiences of patients recovering from stroke, their family/carers, and staff: a mixed methods systematic review protocol

Keywords: Stroke; Rehabilitation; Brain Recovery; Built Environment; Physical environment

## 1. Background

Research-driven architecture or evidence-based design is a new field of endeavor that aims to inform health facility design. To date, the focus of research has been on hyper acute (Intensive Care Unit, surgery) environments [1], with some attention paid to institutional care for older people [2] and mental health facilities [3]. Between these disparate sectors lies an important and expensive area of healthcare: that of hospital-based rehabilitation. Rehabilitation, particularly for those with acquired neurological injury, traumatic brain injury or stroke, is slow and expensive.

Research-driven or evidence informed design refers to the act of creating healthcare environments based on the judicious use of best evidence from research and practice together with an informed client's view. Evidence-based design results in improvements in patient outcomes and safety, economic performance and productivity of the organization, and user satisfaction [4]. Evidence-based design has driven an exciting new era of questioning how healthcare design (the buildings, interiors, wayfinding, etc.) impacts on patient care and healthcare outcomes. To date, most research has explored the effect of the acute healthcare environment on patient and staff outcomes. While the evidence base is growing, empirical research in healthcare environments has been described as minimal [5].

In the last decade, primary care hospital design has been the focus for innovation [6]. In the US alone, over the next decade over \$200 billion will be spent on the development of new healthcare facilities [7]. In Australia, the new Royal Adelaide Hospital has been named the eighth most expensive building in the world at US\$2.1 billion [<https://www.emporis.com>]. In contrast, the post-acute rehabilitation environment has received little attention and research focus, despite the fact that rehabilitation care is expensive and a critical element of the recovery trajectory after serious injury.

Survivors of stroke may spend between 2 weeks and 2 or more months in hospital-based inpatient rehabilitation (mean 27.7 days for stroke and 39.2 days for brain injury) [8]. In 2016, the provision of rehabilitation grew in volume as there was a 2.8% increase in inpatient episodes of rehabilitation [8]. Rehabilitation often continues for months to years with gradual shift from hospital-based to outpatient care to community care. The environment is an important element that has the potential to help or harm brain recovery [9]. In 2011, Sadler et al conservatively calculated the economic benefits of introducing evidence-based design improvement in healthcare facility design as providing a return on investment within 3 years [5].

A major challenge of providing stroke care and rehabilitation is to determine how the physical environment should be designed and utilized to best address specific patient needs and rehabilitation goals.

## 2. Aim

The aim of this systematic review is to identify, appraise, and synthesize the existing literature related to evidence-based design (EBD) of rehabilitation facilities, and identify the recorded impacts of the physical environment of rehabilitation settings on the outcomes and experiences of patients recovering from stroke, their family/carers, and staff.

### Overarching research question

What is the current state of knowledge about evidence-based design in the stroke rehabilitation setting?

Specifically this review will address the following research questions

- What types of outcomes have been investigated in relation to the physical environment in the stroke rehabilitation setting?
- What are the impacts of the physical rehabilitation environment on the outcomes and experiences of patients recovering from stroke, their family/carers, and staff?
- What aspects of the physical environment has shown to impact on outcomes and experiences of patients recovering from stroke, their family/carers, and staff?
- What are the research methods used to investigate the impact of the physical environment on outcomes and experiences of patient recovering from stroke, their family/carers, and staff?

## 3. Method

This mixed studies systematic literature review will follow the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) statement [10]. A convergent segregated review design will be used whereby the results from qualitative, quantitative, and mixed-methods studies were integrated in a narrative summary [11].

This mixed studies review applies a systematic strategy for identifying, retrieving, assessing, and appraising the available literature reporting on the **impacts** of the physical environment in the stroke rehabilitation setting. The review will consider a range of research designs including qualitative, quantitative and mixed-method studies in order to report comprehensively on the topic. The data synthesis will use descriptive statistics and qualitative content analysis [12] as appropriate to the type of data retrieved. An inter-rater reliability process [13] will be included in the search and retrieval stages whereby the processes will be performed by two researchers and any ambiguity or disagreement about the inclusion or exclusion of articles will be discussed until agreement is reached.

### 3.1. Search Strategy

Search terms will be reviewed by a professional research librarian. A systematic search of the following electronic databases will be conducted: OVID Medline, SCOPUS, Cumulative Index to Nursing and Allied Health Literature (CINAHL), and Web of Science. The two key concepts “Stroke” and “Healthcare facility design” will determine the search terms used (see Table 1). Boolean searches using the operators “AND” / “OR” / ”NOT” will be constructed with selected search terms and combination of search terms as appropriate for each database following respective guidelines. Figure 1 shows an example of the OVID Medline Boolean search strategy. Any additional, search terms identified during the screening process will be added as appropriate. The reference lists of key articles will be additionally hand-searched (“snowballing”). Two researchers will perform the searches.

### Table 1 Search terms



Key concept	Search terms
Stroke	Stroke or neurologic* or brain injur* or brain recovery or Stroke Rehabilitation or brain injur* rehabilitation or stroke recovery or neurologic* rehabilitation or brain injur* recovery
Healthcare facility design	facility or facilities or environment* or rehabilitation environment* or rehabilitation setting* or buil* design or architecture* or evidence-based design or garden* or hospital design or outdoor setting or outdoor environment or interior design or environment* factor* or physical environment or built environment or

Database: Ovid MEDLINE(R) ALL <1946 to July 29, 2019>

Search Strategy:

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1  (("environment* factor*" or "physical environment*" or "built environment*" or
2  facility or facilities or architecture* or "evidence-based design" or garden* or "outdoor
3  setting*" or "outdoor environment*" or "facilit* design*" or "hospital design" or "interior
4  design") not "nursing facilit*").m_titl. (53548)
5  2  ((stroke or neurologic* or "brain injur*" or "brain recovery" or "stroke rehabilitation"
6  or "neurologic* rehabilitation" or "brain injur* rehabilitation" or "stroke recovery" or
7  "neurologic* recovery" or "brain injur* recovery") not gene* not robot* not pharmacol*
8  not non-pharmacol* not delirium not ulcer* not pollution not syndrome* not wildlife not
9  dementia not sepsis not pneumonia not "spinal cord injur*" not mouse* not rat* not
10 "animal model*" not ventilat* not transfer not multidrug* not drug* not malnutrition* not
11 cardi* not kidney not fracture* not thrombolys* not "aged care" not "nursing home" not
12 Parkinson* not fibrillat* not tomograph* not ecology* not incontinen* not continen* not
13 urin* not ultrasound not geograph* not treadmill not "muscle architecture" not "sleep
14 architecture" not "clot architecture" not "pagodian architecture" not "brain architecture" not
15 influenza not payment not "systematic review" not "meta-synthesis" not "meta-analysis"
16 not "methicillin*").m_titl. (133295)
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**Figure 1** OVID Medline Boolean search strategy

### 3.2. Inclusion criteria

The inclusion criteria are outlined in Table 2. Research addressing any aspect of the physical environment in inpatient rehabilitation settings and its impact on the outcomes or experiences of adult patients recovering from stroke or their family/carers or staff will be considered for inclusion, as long as sufficient detail is provided about the physical environment (see Table 2).

**Table 2** Included articles must meet all the below criteria.

Inclusion criteria
1. Peer-reviewed
2. Published between 2000 and 2020
3. Written in English language

4. Quantitative, qualitative or mixed method research design. Protocol papers will only be included if the study results have not yet been published. Opinion pieces, commentaries, single case studies, and systematic reviews with no meta-analysis or meta-synthesis will not be included, but will be searched for relevant references (snowballing).

5. Journal article or conference paper. Conference posters and conference abstracts will not be included.

6. Population:  
Adult stroke survivors, their family/carers, and/or staff who care for adult stroke survivors. Research reporting on mixed populations will only be included if one or more of the populations listed above make up the vast majority of the sample (>60%) or their results are reported separately so that they can be extracted from the mixed population.

7. Intervention/phenomenon of interest:  
Research reporting on the physical environment of acute or sub-acute inpatient rehabilitation hospital settings where the physical environment is described in sufficient detail. For example, research that reports only on the location of certain activities (e.g., time spent in the dining room) or the position of a rehab ward in relation to an acute ward would not be included, but research that reports the dimensions, features, and etc. of said locations or wards (i.e., 'dining room was 10m<sup>2</sup>, with south facing windows and positioned adjacent to a courtyard and the nurses station') will be included.

For the purposes of this review, the physical environment is defined as comprising the following (this definition of the physical environment is adapted from Harris et al. (2002) [14] – studies that provide *details* about any of the following will be included:

- a. ambient features (e.g., noise, air quality, odours, light, temperature);
- b. architectural and landscape features (e.g., position and layout of the building, relationship between the building and its surroundings, dimensions of a room, placement of doors and windows, views and outdoor areas);
- c. interior design features (e.g., furniture, artwork, signage, colours, equipment and technology); and
- d. maintenance and housekeeping (e.g., cleanliness, repair and upkeep of architectural and interior features).

Both of the following types of studies will be included: 1) research where the intent is to describe or investigate any aspect of a physical environment of inpatient rehabilitation settings, and 2) research where findings concerning the physical environment of inpatient rehabilitation settings are reported (even if this was not the original intent of the research).

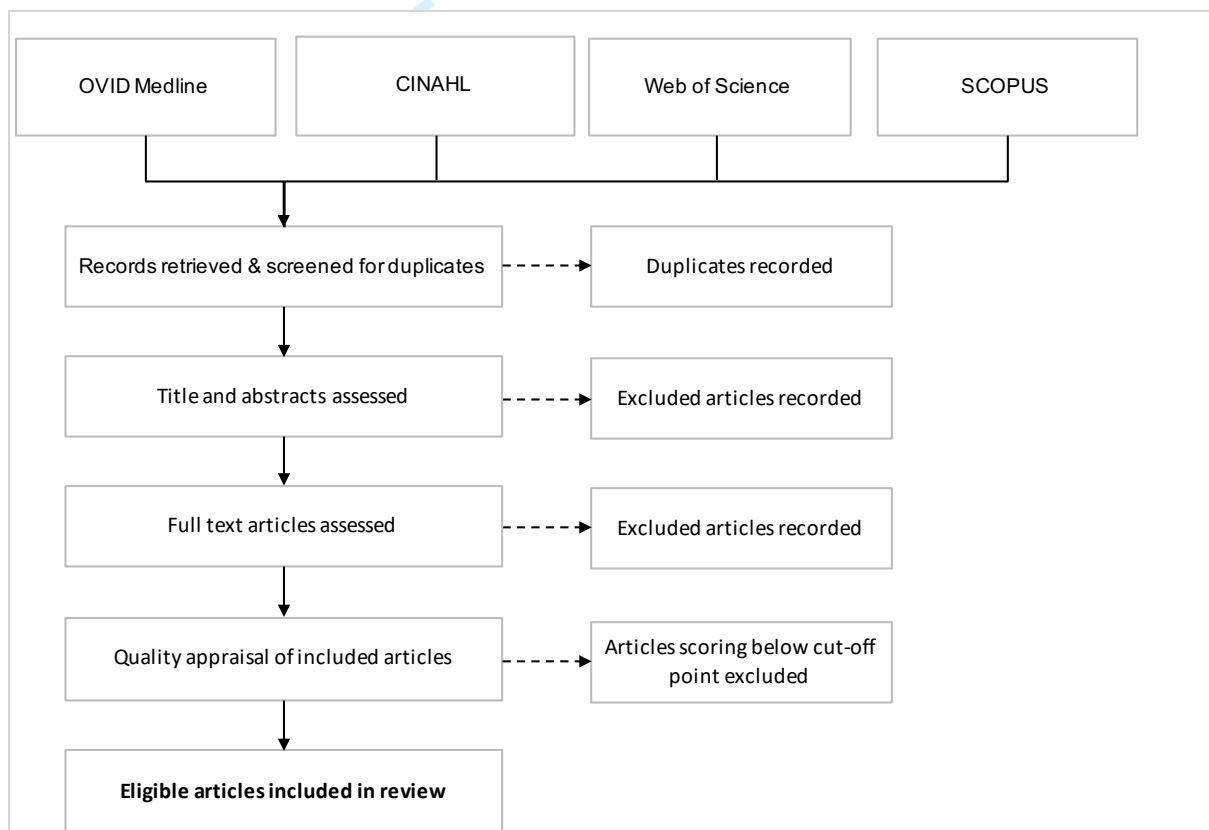
Research conducted in a virtual setting (e.g. using Virtual Reality) will be included as long as the virtual environment meets all of the criteria outlined above.

8. Outcome:  
Research reporting on the outcomes, experiences, or perspectives of any of the populations specified above will be included.

### 3.3. Screening

Figure 2 provides a flowchart illustrating the 4-step process used for screening and assessing the retrieved literature. Each step will be conducted by two researchers independently who will discuss any disagreement until consensus is reached before proceeding to the next step (inter-rater reliability process) [13]. Covidence will be used to manage the screening and inter-rater process [15].

1. All duplicates eliminated
2. Title and abstracts screened for topic relevance
3. Full text articles of all included abstracts will be retrieved and read in full to confirm topic relevance
4. Quality of eligible articles will be assessed using a mixed studies review scoring system [16]



**Figure 2** Flowchart of literature search and assessment process

### 3.4. Quality appraisal

The quality of articles will be assessed using the Mixed Methods Appraisal Tool (MMAT) [16]. This framework provides a system for appraising mixed studies reviews, which are reviews that include qualitative, quantitative and mixed-method research. The level of evidence of the included studies will be assessed following recommendations from Marquardt and Motzek (2013) [17], adapted from Stichler (2010) [18].

### 3.5. Data extraction and synthesis

A purpose-designed data extraction form will be used to retrieve all data relevant to answering the research questions (see Appendix 1). The form will include variables describing study characteristics in order to descriptively summarize the included studies.

The following variables will be collected:

First author, Year of publication, Country where research conducted, Study focus, Research design and methodology, Sample size, Participant characteristics, Setting characteristics, Type of outcome, Impact of physical environment, Aspects of physical environment.

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**Appendix 1** Sample data extraction form

First author (year), country [ref]	Study focus	Research design and methodology	Sample size (n)	Participants characteristics	Setting characteristics	Primary outcomes	Secondary outcomes

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### Supplementary material 3

#### OVID Medline Boolean search strategy

Database: Ovid MEDLINE(R) ALL <1946 to July 29, 2019>

Search Strategy:

1 ("environment\* factor\*" or "physical environment\*" or "built environment\*" or facility or facilities or architecture\* or "evidence-based design" or garden\* or "outdoor setting\*" or "outdoor environment\*" or "facilit\* design\*" or "hospital design" or "interior design") not "nursing facilit\*").m\_titl. (53548)

2 ((stroke or neurologic\* or "brain injur\*" or "brain recovery" or "stroke rehabilitation" or "neurologic\* rehabilitation" or "brain injur\* rehabilitation" or "stroke recovery" or "neurologic\* recovery" or "brain injur\* recovery") not gene\* not robot\* not pharmacol\* not non-pharmacol\* not delirium not ulcer\* not pollution not syndrome\* not wildlife not dementia not sepsis not pneumonia not "spinal cord injur\*" not mouse\* not rat\* not "animal model\*" not ventilat\* not transfer not multidrug\* not drug\* not malnutrition\* not cardi\* not kidney not fracture\* not thrombolys\* not "aged care" not "nursing home" not Parkinson\* not fibrillat\* not tomograph\* not ecology\* not incontinen\* not continen\* not urin\* not ultrasound not geograph\* not treadmill not "muscle architecture" not "sleep architecture" not "clot architecture" not "pagodian architecture" not "brain architecture" not influenza not payment not "systematic review" not "meta-synthesis" not "meta-analysis" not "methicillin\*").m\_titl. (133295)

3 1 and 2 (123)

4 limit 3 to (english language and yr="2000 - 2020") (90)

\*\*\*\*\*

## Supplementary material 4

### Levels of evidence

Level	Criteria
Level 1	Systematic reviews, meta-analyses of qualitative studies, and meta-syntheses of multiple qualitative studies leading to an integrative interpretation.
Level 2	Well-designed experimental (randomized), quasi-experimental (nonrandomized), and multiple-case studies. Integrative or systematic reviews of observational or qualitative studies.
Level 3	Well-designed observational and qualitative studies, and poorly designed experimental, quasi-experimental, and multiple-case studies.
Level 4	Poorly designed observational and qualitative studies, and professional standards or guidelines with studies to support recommendations.
Level 5	Opinions of recognized experts, single case studies.
Level 6	Recommendations from manufacturers or consultants who may have a financial interest or bias.

Adapted from Marquardt & Motzek (58) and Stichler (20)

Articles authored by reviewers were appraised by non-authors

Following the eligibility criteria for this review (see Table 1), systematic reviews with no meta-analysis or integrative component were not included, nor were non-peer reviewed articles, such as professional standards and guidelines, nor were any of the article types at Levels 5 and 6.



## Supplementary material 5

Standardised form for data extraction.

Variable	Description
Author	First author of article
Year	Year article published
Title	Title of article
Aim	Aim as stated in the article
Study type	Qualitative   Quantitative randomized controlled trials   Quantitative nonrandomized   Quantitative descriptive   Mixed methods
Study design	Study design as stated in the article
Year data collected	
Participant type	Patients (acute, rehab, all stroke, or mixed population, etc.), or staff, or family visitors. Include eligibility criteria if provided.
Mixed population	Are other patient/carer/staff types included besides stroke? Y/N
Stroke data extracted	If Y to 'mixed population', can the stroke-specific data be extracted? Y/N
Participant number	Number of participants
Participant age	Mean age
Time since stroke	Only relevant for patient participants
Other participant characteristics	
Country	Country or countries where the study was completed
Setting	Setting in which the study was completed, i.e., acute hospital, rehab hospital etc. Include definition of this setting if provided in the paper.
Intervention/Exposure	Was an intervention conducted by the researchers? Or did they expose the participants to different environments? Y/N
Observational pre/post	Was this an observational study of an environment pre/post a move? Y/N
Details of physical environment	Include the details of the physical environment of the setting of this study.

Variable	Description
Aspect of physical environment	What aspect of the physical environment was of interest in this study? A particular room/location? Access to nature? Particular design or architectural feature? Or whole environment considered?
Floorplans provided?	Y/N
Photographs provided?	Y/N
Outcomes measured	Which outcomes were measured in this study
Method of data collection	How the data were collected
Method of data analysis	How the data were analysed
Findings	Ensure that the summary you provide for this variable refers to both the physical environment and the outcomes as they were measured in this study.
Conclusions	Our conclusions might not be the same as the conclusions in the paper - we need to think about the conclusions that we can draw from their results about the relationship between physical environment and patient/staff outcomes.
Comments	

## Supplementary material 6

## Summaries of the results of the included articles

Aspect of built environment	First author, year	Results summary
Enriched environment	Janssen, 2014	Participants in the enrichment group were 1.7 (95% CI 1.1 to 2.5, $p = 0.02$ ) times more likely to be engaged in cognitive activity, 1.2 (95% CI 1.0 to 1.5, $p = 0.04$ ) times more likely to be engaged in social activity, 0.7 (95% CI 0.6 to 0.9, $p < 0.001$ ) times as likely to be inactive and alone and 0.5 (95% CI 0.4 to 0.7, $p < 0.001$ ) times as likely to be asleep compared to the usual care group. Physical activity was not different between groups.
	Khan, 2016	At discharge, stroke participants in enrichment group had improved mood (DASS total mean difference = $-24.1$ , CI = $-40.1$ , $-7.2$ , $p = 0.006$ ) and functional independence for self-care (FIM self-care mean difference = $-5$ , CI = $0.4$ , $6.6$ , $p = 0.028$ ) and mobility (FIM mobility mean difference = $2.0$ , CI = $0.3$ , $3.8$ , $p = 0.024$ ) compared to the control group. At 3-months follow-up, stroke participants in enrichment group showed improvement in "overall health" section of EQ-5D (total mean difference = $11.4$ , CI = $0.1$ , $22.7$ , $p = 0.047$ ) compared to control group.
	Robertson, 2020	Neither standard care nor enriched environment participants met daily requirements for energy ( $70.7\% \pm SD 16.8$ vs. $70.7\% \pm SD 17.3$ , $p = 0.94$ ) or protein intake ( $73.2\% \pm SD 18.6$ vs. $69.8\% \pm SD 17.3$ , $p = 0.70$ ). Mean body weight dropped for both groups; standard care $0.92 \text{ kg} \pm SD 2.47$ vs. enriched $0.64 \text{ kg} \pm SD 3.12$ ( $p = 0.53$ ) and malnutrition increased; standard care $3.3\% - 26.6\%$ vs. enriched $6.6\% - 13.3\%$ ( $p = 0.07$ ). Predictors of malnutrition on discharge in logistic regression models were length of stay ( $p < 0.01$ ) and protein ( $p < 0.01$ ) or energy intake ( $p = 0.02$ ).
	Rosbergen, 2017a	Staff felt that the activity area helped to increase activity, empowerment, and psychological well-being for patients. Activity area led to increased workload for some nurses (esp. with higher acuity patients) but others experienced reduced workload because patient kept occupied (esp. if other staff cooperated). The activity area was not purpose-built, which was challenging; staff converted therapy area to dining area daily.
	Rosbergen, 2017b	Participants in the enrichment group spent a greater proportion of their day in physical activity ( $33\%$ vs. $22\%$ , $p < 0.001$ ), social ( $40\%$ vs. $29\%$ , $p = 0.003$ ) and cognitive activity ( $59\%$ vs. $45\%$ , $p < 0.001$ ) compared to usual care group. Changes were sustained six months post-implementation. Participants with an activity area experienced fewer adverse events ( $0.4 \pm 0.7$ vs. $1.3 \pm 1.6$ , $p = 0.001$ ), but no differences in serious adverse events ( $0.5 \pm 1.6$ vs. $1.0 \pm 2.0$ , $p = 0.309$ ).
	Rosbergen, 2019	Participants in enrichment group had higher activity levels during scheduled communal activity ( $p < 0.001$ ), weekday non-scheduled activity ( $p = 0.007$ ) and weekends ( $p = 0.018$ ) compared to control group, but no difference between groups on weekdays after 5 p.m. ( $p = 0.324$ ). Participants in enrichment group spent more time on upper limb ( $p < 0.001$ ), communal

		socializing ( $p < 0.001$ ), listening ( $p = 0.007$ ) and iPad activities ( $p = 0.002$ ) compared to control group. There was no difference in staff assistance during activities ( $p = 0.055$ ).
	White, 2014	Staff felt that the activity area promoted patient activity, participation, and moral. Some nurses felt obliged to facilitate patients' use of activity area, other nurses were unsure how to facilitate use or did not consider this their responsibility. Some nurses felt too busy to facilitate, others experienced reduced workload because activity area kept patient occupied. Suggested having dedicated staff to facilitate use of activity area.
	White, 2015	Patients felt that the activity area helped to increase their physical and social activity and reduce boredom. Activity area may have been used more by internally motivated patients. Access to activity area was difficult for those with mobility restrictions, and patients hesitant to ask for help.
Bedroom design	Arbel, 2019	The AHR was rated more positively on all aspects compared to the standard room, and participants in the AHR reported more positive feelings and fewer negative feelings. More participants in the AHR reported a satisfactory overall experience compared to participants in standard rooms (100% [ $n = 10$ ] vs 46.7% [ $n = 7$ ], $p = 0.016$ ) and more reported a satisfactory experience of waking-up from sleep (90% [ $n = 9$ ] vs 53.3% [ $n = 8$ ], $p = 0.046$ ). Most felt that the orientation screen helped them to feel oriented (80% [ $n = 8$ ]) and that the nature screen positively impacted their mood (70% [ $n = 7$ ]).
	Daemen, 2014a	All participants rated the AHR positively for impact on clinical outcomes and workflow score of 5 or over on a 7-point Likert scale). Participants felt that the AHR would promote patient/staff relationship, be patient-centered, help patients wake-up naturally, give more structure to the day, give stimulation at the right times and so be beneficial for both healing and workflow. Participants noted that patients would also be impacted by stimuli outside the AHR (e.g. sounds in hallway).
	Perovic, 2017	There was no significant difference in depression or anxiety (HADS) between participants in the bedrooms pre-refurbishment (many beds per room, poor light, poor aesthetics, old; mean HADS score = 9.14) and those in the bedroom post-refurbishment (fewer beds per room, more colour and light, new; mean HADS score = 7.18).
Therapy spaces	Skubik-Peplaski, 2015	Participants felt that they choose to treat in whichever space they are used to going to (habit), that the environment influenced their intervention choices ("see it use it"), and that they felt safer and so more confident treating in a gym environment versus an environment that was more isolated and was not purpose built for therapy.
Whole of built environment	Anaker, 2017	Participants were more inactive and alone post-move and spent more time in their bedrooms compared to pre-move (inactive for 25.3% of day pre-move, 54.1% post-move; alone 49.6% vs. 82.8%; in bedroom 54.8% vs. 83.1%). Authors suggest that the following factors contributed to the decreased activity and increased time alone and time in bedroom: increase in single-bed rooms post-move, more therapy in the bedroom, doors to bedrooms were always kept shut, lounge difficult to locate, and built environment hard to navigate.
	Anaker 2018	Participants in the ward with a combination of single- and multi-bedrooms were more active than participants in the wards with mostly single-bed rooms (31.6% of the day inactive vs 54.1% and 54.4%), but multi-bedrooms appeared to have less privacy and more noise. In all wards, participants spent very little time in the lounge and therapy areas (between 0.2% and 8.6% of the day),

	possibly because these rooms were difficult to locate or because they were not attractive spaces. Overall, three main aspects of the built environment appeared to have an impact on patients' activities and care: (1) Ease of navigation; (2) Responsiveness, flexibility and variety; and (3) Privacy and respect for personal integrity.
Anaker, 2019	Interviews with participants revealed two themes: 1) there is incongruence between community and privacy in the environment (single rooms promote privacy and control but also loneliness, and there is a lack of communal areas); 2) Connectedness with the outside world provides distraction and a sense of normality (nature and outdoors facilitate well-being and view of outdoor activities evoke memories and bring positive distraction).
Anaker, 2020	Staff rarely worked in teams of two or more while with patients, but when they do it is usually in the bedroom, indicating a need for large bedrooms with access to privacy. None of the included stroke units had a co-location for all the members of the multi-professional team. Three main categories were common across the stroke units: the units all contained a central hub; places were divided by profession and did not facilitate teamwork; the power imbalance between different staff groups and between staff and patients appears to be accentuated by the environment (e.g., meeting rooms being too small to accommodate all staff).
Daemen, 2014b	Authors state that patients' experiences and recovery could be improved if the following environmental needs are met: dosing stimulus load, having social support, having access to both single and multiple patient rooms, balancing a clinical and personal environment, providing structure to the day, undisturbed sleep, access to information.
Kevdzija, 2018	Staff and patients identified the following issues in the built environment that are barriers to patients' independent mobility: wayfinding problems, insufficient dimensions of spaces (corridors), physical obstacles, uneven floor surfaces and large distances between patient rooms and therapy rooms. Patients in the earlier stages of rehabilitation, especially those using a wheelchair, appeared to experience more barriers related to the built environment.
Lampinen, 2003	Participants described 3 main aspects of the built environment that impact their performance of everyday tasks: 1) Everything seems unfamiliar, familiar characteristics become unrecognizable, perceptions and sensations changed; 2) Interacting with the physical world can be difficult, objects can be obstacles and seem to have a mind of their own; and 3) Experiences of adaptation to the new problematic world, striving for mastery over things in the environment which used to be easy.
Lipson-Smith, 2019	Participants identified 4 fundamentally important things that the built environment must achieve for stroke rehabilitation: 1) maximise efficiency (by minimising time, cost and maximising responsiveness of the space), 2) maximise clinical outcomes (by maximising patient activity, sleep and rest), 3) maximise emotional well-being for all users, and 4) maximise safety for all users. Participants also identified 14 means by which these 4 things could be achieved: Maximise adaptability, versatility, adequate technology, multipurpose circulation spaces, outdoor and green space, personal control over space, integration with community, aesthetics, indoor environmental quality, legibility, accessibility, and sight lines, adhere to safety guidelines and minimize manual handling.
O'Halloran, 2011	The medical chart (visible in the patient bedroom) was observed to facilitate communication between patients and healthcare providers. All the other observed physical environmental factors appeared to create barriers to communication, including background noise, lack of physical aids, small print on food menus, and lack of written information to aid recall.

- 1 O'Halloran, The physical environment predominantly acted as a barrier to communication between patients and health care providers via  
2 2012 e.g., high levels of background noise, visual distractions. Assistive communication devices were absent or frequently inaccessible.  
3 The lack of single-bed rooms made it more difficult to have conversations with patients.  
4  
5 Shannon, Higher proportion of single-bed rooms post-move. Overall, there was no difference in time spent in social activity between the  
6 2019 two wards, but there was more in-bed social activity in the pre-move ward than in the post-move ward (33% of time vs 8%,  $p =$   
7 0.03). Participants were more physically active in their bedrooms post-move compared to pre-move (47% of time vs 2%,  $p =$   
8 0.001).  
9  
10 Turner, Participants identified that the rehabilitation environment contributed to their feelings of disempowerment, lack of control, and  
11 2012 feeling of being in a time capsule, all of which they felt contributed to their post-stroke depression.

12 Abbreviations: AHR = Adaptable Healing Room; DASS = Depression Anxiety, Stress Scales; CI = Confidence Interval; FIM = Functional Independence Measure;  
13 EQ-5D = Euro-Quality of Life-5D questionnaire.  
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### Supplementary material 7

Details of the results of methodological appraisal using the Mixed Methods Appraisal Tool (21).

#### Quality appraisal ratings of each study

First author, year	Criteria from the Mixed Methods Appraisal Tool																								
	1.1	1.2	1.3	1.4	1.5	2.1	2.2	2.3	2.4	2.5	3.1	3.2	3.3	3.4	3.5	4.1	4.2	4.3	4.4	4.5	5.1	5.2	5.3	5.4	5.5
Anaker, 2017	1	0	1	1	1											1	1		1	1	0	1	1	1	1
Anaker, 2018	1	0	1	1	1											1	1		1	1	1	1	1	1	1
Anaker, 2019	1	1	1	0	1																				
Anaker, 2020	1	1	1	1	1											0	0		0	1	1	1	1	1	0
Arbel, 2019	1	0	0	0	1						0	0	0	0	0						1	1	1	1	0
Daemen, 2014a	1	1	0	1	1											0	0		0	0	1	0	0	0	0
Daemen, 2014b	1	1	0	0	0																				
Janssen, 2014											0	1	0	1	1										
Kevdzija, 2018	1	1	1	1	1																				
Khan, 2016						1	1	1	1	1															
Lampinen, 2003	1	1	1	1	1																				
Lipson-Smith, 2019	1	1	1	1	1																				
O'Halloran, 2011	1	1	0	1	1																				
O'Halloran, 2012	1	1	1	1	1																				
Perovic, 2017											0	1	1	1	1										
Robertson, 2020											0	1	1	1	1										
Rosbergen, 2017a	1	1	1	1	1																				
Rosbergen, 2017b											0	1	1	1	1										
Rosbergen, 2019											0	1	1	1	1										
Shannon, 2019											0	1	1	0	1										
Skubik-Peplaski, 2015	1	1	1	1	1																				
Turner, 2012	1	1	0	0	0																				
White, 2014	1	1	1	1	1																				
White, 2015	1	1	1	1	1																				

1 = a score of 'yes'; 0 = a score of 'no' or 'can't tell'

Mixed methods studies were given the score of their lowest scoring criterion, as recommended by MMAT

## Criteria within the Mixed Methods Appraisal Tool

Category of study designs	Methodological quality criteria
1. Qualitative	<p>1.1. Is the qualitative approach appropriate to answer the research question?</p> <p>1.2. Are the qualitative data collection methods adequate to address the research question?</p> <p>1.3. Are the findings adequately derived from the data?</p> <p>1.4. Is the interpretation of results sufficiently substantiated by data?</p> <p>1.5. Is there coherence between qualitative data sources, collection, analysis and interpretation?</p>
2. Quantitative randomized controlled trials	<p>2.1. Is randomization appropriately performed?</p> <p>2.2. Are the groups comparable at baseline?</p> <p>2.3. Are there complete outcome data?</p> <p>2.4. Are outcome assessors blinded to the intervention provided?</p> <p>2.5. Did the participants adhere to the assigned intervention?</p>
3. Quantitative nonrandomized	<p>3.1. Are the participants representative of the target population?</p> <p>3.2. Are measurements appropriate regarding both the outcome and intervention (or exposure)?</p> <p>3.3. Are there complete outcome data?</p> <p>3.4. Are the confounders accounted for in the design and analysis?</p> <p>3.5. During the study period, is the intervention administered (or exposure occurred) as intended?</p>
4. Quantitative descriptive	<p>4.1. Is the sampling strategy relevant to address the research question?</p> <p>4.2. Is the sample representative of the target population?</p> <p>4.3. Are the measurements appropriate?</p> <p>4.4. Is the risk of nonresponse bias low?</p> <p>4.5. Is the statistical analysis appropriate to answer the research question?</p>
5. Mixed methods	<p>5.1. Is there an adequate rationale for using a mixed methods design to address the research question?</p> <p>5.2. Are the different components of the study effectively integrated to answer the research question?</p> <p>5.3. Are the outputs of the integration of qualitative and quantitative components adequately interpreted?</p> <p>5.4. Are divergences and inconsistencies between quantitative and qualitative results adequately addressed?</p> <p>5.5. Do the different components of the study adhere to the quality criteria of each tradition of the methods involved?</p>



# BMJ Open

## Built environments for inpatient stroke rehabilitation services and care: A systematic literature review

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Manuscript ID	bmjopen-2021-050247.R1
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Date Submitted by the Author:	24-May-2021
Complete List of Authors:	Lipson-Smith, Ruby; Florey Institute of Neuroscience and Mental Health - Austin Campus Pflaumer, Luis; Florey Institute of Neuroscience and Mental Health - Austin Campus Elf, Marie; Dalarna University, School of education, health and social studies Blaschke, Sarah-May; New Zealand Ministry of Health, Facility Design & Planning, Health Infrastructure Unit Davis, Aaron; Florey Institute of Neuroscience and Mental Health - Austin Campus White, Marcus; The Swinburne University of Technology Zeeman, Heidi; Griffith University, School of Human Services and Social Work, Menzies Health Institute Queensland Bernhardt, Julie; Florey Institute of Neuroscience and Mental Health - Austin Campus, Stroke
<b>Primary Subject Heading</b>:	Rehabilitation medicine
Secondary Subject Heading:	Health services research
Keywords:	Stroke medicine < INTERNAL MEDICINE, Rehabilitation medicine < INTERNAL MEDICINE, HEALTH SERVICES ADMINISTRATION & MANAGEMENT

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**Title**

Built environments for inpatient stroke rehabilitation services and care: A systematic literature review

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## Abstract

**Objectives:** To identify, appraise, and synthesise existing design evidence for inpatient stroke rehabilitation facilities; to identify impacts of these built environments on the outcomes and experiences of people recovering from stroke, their family/caregivers, and staff.

**Design:** A convergent segregated review design was used to conduct a systematic review.

**Data sources:** OVID Medline, SCOPUS, Web of Science, and CINAHL were searched between January 2000 and November 2020.

**Eligibility criteria for selecting studies:** Qualitative, quantitative, and mixed methods studies investigating the impact of the built environment of inpatient rehabilitation facilities on stroke survivors, their family/caregivers, and/or staff.

**Data extraction and synthesis:** Two authors separately completed title, abstract, full-text screening, data extraction, and quality assessment. Extracted data were categorised according to the aspect of the built environment explored and the outcomes reported. These categories were used to structure a narrative synthesis of the results from all included studies.

**Results:** Twenty-four articles were included, most qualitative and exploratory. Half of the included articles investigated a particular aspect of the built environment, including environmental enrichment and communal areas ( $n = 8$ ), bedroom design ( $n = 3$ ), and therapy spaces ( $n = 1$ ). Findings related to one or more of the following outcome categories: 1) clinical outcomes; 2) patient activity; 3) patient well-being; 4) patient and/or staff safety; and 5) clinical

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3 practice. Heterogeneous designs and variables of interest meant  
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5 results could not be compared, but some repeated findings suggest  
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7 that attractive and accessible communal areas are important for  
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9 patient activity and well-being.  
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11 **Conclusions:** Stroke rehabilitation is a unique healthcare  
12  
13 context where patient activity, practice, and motivation are  
14  
15 paramount. We found many evidence gaps that with more targeted  
16  
17 research could better inform the design of rehabilitation  
18  
19 spaces to optimise care.  
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22  
23 **PROSPERO registration number:** CRD42020158006  
24  
25

#### 26 **Strengths and limitations of this study**

- 27  
28 • The review method allowed for all the current evidence  
29  
30 regarding inpatient stroke rehabilitation built  
31  
32 environments to be gathered and assessed in a systematic  
33  
34 and rigorous way.  
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- 37  
38 • The narrative synthesis and diagrams provide a succinct  
39  
40 summary of the trends and gaps in stroke rehabilitation  
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42 environments research.  
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- 45  
46 • Results of the included studies could not be easily  
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48 combined or compared due to heterogeneity of study  
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50 designs and variables of interest.  
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- 53  
54 • Stroke rehabilitation services vary globally, but the  
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56 majority of the studies in this review were conducted in  
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58 Australia (50% of included articles) and Sweden (21% of  
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60 included articles).

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**Key words**

Stroke rehabilitation; Hospital Design and Construction;  
Clinical outcomes

For peer review only

## INTRODUCTION

The physical environment of healthcare facilities can influence clinical outcomes, patient and staff experiences, and the economic performance of the facility.[1, 2] Healthcare design research generates evidence to inform the design of healthcare facilities. Recent healthcare design research has focused on acute environments such as surgery and intensive care,[3] with significant attention paid to residential aged care[4] and mental health facilities.[5] Between these disparate sectors lies an important and expensive sector of healthcare: hospital-based inpatient rehabilitation.

Inpatient rehabilitation is essential for people recovering from serious injury or illness, such as stroke.[6] Stroke is a leading cause of death and disability worldwide.[7] As acute stroke treatments continue to improve, more people are expected to survive a stroke, and many will experience ongoing disability that requires hospital-based, or inpatient, rehabilitation. While recovery may continue for years post-stroke, initial rehabilitation usually begins in the acute phase of care, followed by sub-acute inpatient rehabilitation for some, and a gradual shift to outpatient and community care. Early supported discharge to home, more common in Europe, is suitable for only 30% of patients.[6, 8] The average length of stay in post-acute inpatient stroke rehabilitation varies globally, but is generally lengthy (for example, 27.2 days in Australia).[9] There is evidence that

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3 functional outcomes vary between rehabilitation  
4  
5 facilities.[10] While variation may be due to differences in  
6  
7 procedures and staffing, differences in environment could also  
8  
9 contribute; we know that rehabilitation facility design is  
10  
11 heterogeneous.[11]

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14         Rehabilitation is defined as "a process of active change  
15  
16 by which a person who has become disabled acquires the  
17  
18 knowledge and skills needed for optimum physical,  
19  
20 psychological and social function".[12] Repetitive practice  
21  
22 and targeted therapy - such as upper limb training, walking,  
23  
24 speech exercises, and practicing activities of daily living -  
25  
26 are integral to the rehabilitation process. People who have  
27  
28 experienced a stroke are encouraged to engage in general  
29  
30 physical, cognitive, and social activity outside of their  
31  
32 structured therapy time in order to further promote their  
33  
34 recovery.[13] This contrasts sharply with the priorities of  
35  
36 acute care - to diagnose, stabilise the patient and, where  
37  
38 possible, apply acute treatments such as thrombolysis or clot  
39  
40 retrieval to prevent death and optimise outcomes.[14] During  
41  
42 rehabilitation, patients must participate in activities and  
43  
44 practice, but many patients experience boredom, lack of  
45  
46 stimulation, fatigue, low mood, and feelings of  
47  
48 disempowerment, which negatively impact their motivation.[15]  
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51 The distinct function and priorities of rehabilitation, the  
52  
53 importance of patient engagement, and the typically long  
54  
55 length of stay, prompted this review of the healthcare design  
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3 evidence specific to stroke rehabilitation to better  
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5 understand how the design of these healthcare facilities could  
6  
7 be optimised for their function.  
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10 The aim of this systematic literature review was to  
11  
12 identify, appraise, and synthesise the existing literature  
13  
14 related to the design of inpatient stroke rehabilitation  
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16 facilities. Our research questions were: What aspects of the  
17  
18 built environment have been investigated in inpatient stroke  
19  
20 rehabilitation settings? What types of research methods have  
21  
22 been used? What types of outcomes have been investigated? What  
23  
24 are the impacts of the built environment on the outcomes and  
25  
26 experiences of patients recovering from stroke, their  
27  
28 family/caregivers, and staff?  
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## 35 **METHODS**

### 36 **Design**

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38 We aimed to include all relevant research, so we elected  
39  
40 to conduct a mixed studies systematic literature review which  
41  
42 followed the Preferred Reporting Items for Systematic Reviews  
43  
44 and Meta-Analyses (PRISMA) statement (see Supplementary file  
45  
46 1).[16] We used a convergent segregated review design so that  
47  
48 results from qualitative, quantitative, and mixed methods  
49  
50 studies could be synthesised in a narrative summary.[17] The  
51  
52 protocol was prospectively registered on PROSPERO  
53  
54 (CRD42020158006; date: 17 November 2019; see Supplementary  
55  
56 file 2).  
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### **Patient and public involvement**

An Advisory Committee including two stroke survivors reviewed the research questions and draft manuscript of this review.

### **Data sources**

A systematic search was conducted in the following databases in January 2020, and updated in November 2020: OVID Medline, SCOPUS, Web of Science, and Cumulative Index to Nursing and Allied Health Literature (CINAHL). A Boolean search strategy was used (see Supplementary file 3). Authors LP and RLS searched the reference lists of included articles, systematic literature reviews, relevant PhD theses, key journals (Health Environments Research & Design) and organisations (The Centre for Healthcare Design) for additional eligible studies.

### **Article selection**

Publications that met the criteria outlined in Table 1 were considered eligible for inclusion. Following duplicate removal, two reviewers ([INITIALS]) independently screened titles and abstracts of the remaining articles using Covidence.[18] These authors then independently screened the full text of potentially eligible articles. Consensus was reached with whole team discussion.

**Table 1.** Eligibility criteria for articles in this systematic literature review

Criteria	Eligibility requirements
Publication year	Articles published between 2000 and 2020 (to reflect the rise of evidence-based design research in the past 20 years).
Article type	Peer reviewed, English language, journal article or conference paper; excluded conference abstracts, posters, and PhD theses.
Study design	Quantitative, qualitative, or mixed methods research designs; excluded opinion pieces, commentaries, single case studies, and systematic reviews with no meta-analysis or meta-synthesis.
Population	Stroke survivors, their family/caregivers, and/or staff who care for stroke survivors; included research reporting on mixed populations only if stroke results could be extracted, or the sample was $\geq 60\%$ stroke; excluded paediatric populations.
Intervention or phenomenon of interest	Detailed information about the built environment, including ambient features, architectural and landscape features, interior design features, and/or maintenance features; excluded articles that mentioned aspects of the built environment without providing sufficient detail, for example, research that reported only the location of certain activities (e.g., time spent in the dining room) were not included, but research that provided details of said location (e.g., dimensions, adjacencies, etc.) were included.
Context	Inpatient rehabilitation hospital acute or sub-acute settings; research conducted in a virtual setting (e.g., using Virtual Reality) was eligible if the virtual environment depicted an inpatient rehabilitation hospital.
Outcome	Any outcome, experience, or perspective of any of the included populations.

### Quality appraisal

Level of evidence and methodological quality were independently appraised by two reviewers.[19] For level of evidence, [INITIALS] and [INITIALS] used criteria adapted from Stichler (see Supplementary file 4), [20, 21] and reached consensus through discussion. Methodological quality was assessed using the Mixed Methods Appraisal Tool (MMAT).[22] To ensure consistent use of the MMAT, 25% of the included

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2  
3 articles were assessed collaboratively by [INITIALS] and  
4  
5 [INITIALS], before the remainder of the articles were  
6  
7 independently assessed. Articles authored by reviewers were  
8  
9 appraised by non-authors. Consensus was reached through  
10  
11 discussion.  
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#### 14 **Data extraction and synthesis**

15  
16 Data were extracted using a standardized form (see  
17  
18 Supplementary file 5). [INITIALS] categorised the studies  
19  
20 according to: 1) the aspect of the built environment explored  
21  
22 (e.g., bedrooms) or approaches to altering the environment  
23  
24 (e.g., 'environmental enrichment' - i.e., setting up a  
25  
26 communal activity area, encouraging communal dining, and  
27  
28 providing patients with personalized 'enrichment packages'  
29  
30 that include books, games, and activities of their choice),  
31  
32 and 2) the outcomes reported in findings. The categories were  
33  
34 reviewed by authors and were used to structure the narrative  
35  
36 synthesis. For the environmental enrichment articles included  
37  
38 in this review, only the results pertaining to the built  
39  
40 environment components of the enrichment intervention are  
41  
42 discussed, namely the availability and set-up of the communal  
43  
44 activity areas.  
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#### 53 **RESULTS**

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55 After duplicate removal, our searches revealed 859  
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57 articles, 24 of which were included in the final review (see  
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59 Figure 1). These 24 articles reported 18 studies from 14  
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3 research groups and 9 countries. We included only articles  
4 that focused on inpatient stroke rehabilitation healthcare  
5 built environments. Some articles were excluded because they  
6 were not specific to stroke rehabilitation ( $n = 14$ ) or did not  
7 provide any details about the built environment ( $n = 21$ ).  
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17 [Insert Figure 1 approximately here.]  
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20 The study characteristics, article focus, outcomes of  
21 interest, level of evidence and methodological quality of the  
22 24 included articles are outlined in Table 2 and their results  
23 are summarised in Supplementary file 6. Half of the articles  
24 ( $n = 12$ ) did not focus on a particular aspect of the built  
25 environment, instead exploring the impact of the built  
26 environment as a whole (see Table 2). The remaining 12  
27 articles investigated a particular aspect of the built  
28 environment, including environmental enrichment ( $n = 8$ ),  
29 bedroom design ( $n = 3$ ), and the location and availability of  
30 therapy spaces ( $n = 1$ ). The aim of the environmental  
31 enrichment studies was to test, in humans, the long-  
32 established finding that laboratory rats who are housed with a  
33 rotating selection of toys, running wheels, and other rats are  
34 more active and recover more effectively from brain injury  
35 than single rats in standard cages.[23]  
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**Table 2.** The characteristics, focus, outcomes, and quality of the articles included in this review

Aspect of built environment	First author, year, country	Outcome categories					Participant type, <i>n</i>	Context	Study design	Level of evidence	Methodological quality
		1	2	3	4	5					
Enriched environment	Janssen, 2014, Australia		✓				Stroke patients, 29	Post-acute mixed rehabilitation ward pre-/post-EE intervention	Quant-NR	2	3
	Khan, 2016, Australia	✓		✓			Mixed rehab patients, 103 total (53 stroke)	Post-acute mixed rehabilitation ward pre-/post-EE intervention	Quant-R	2	5
	Robertson, 2020, Australia	✓					Stroke patients, 60	Acute stroke ward pre-/post-EE intervention	Quant-NR	2	4
	Rosbergen, 2017a, Australia	✓	✓		✓		Staff (nurses & AH), 10	Acute stroke ward pre-/post-EE intervention	Qual	3	5
	Rosbergen, 2017b, Australia	✓	✓				Stroke patients, 90	Acute stroke ward pre-/post-EE intervention	Quant-NR	2	4
	Rosbergen, 2019, Australia	✓			✓		Stroke patients, 90	Acute stroke ward pre-/post-EE intervention	Quant-NR	2	4
	White, 2014, Australia	✓	✓		✓		Staff (nurses), 11	Post-acute rehabilitation ward pre-/post-EE intervention	Qual	3	5
	White, 2015, Australia	✓	✓		✓		Stroke patients, 10	Post-acute rehabilitation ward pre-/post-EE intervention	Qual	3	5
Bedroom design	Arbel, 2019, Canada			✓			Stroke patients, 25 (10 in AHR; 15 in standard)	AHR and standard bedroom in post-acute stroke rehab ward	Mix	4	0
	Daemen, 2014a, Netherlands	✓			✓		Staff (nurses, doctors, AH, managers), 30	Mock-up of AHR	Mix	3	1
	Perovic, 2017, Montenegro			✓			Stroke patients, 100	Acute neurological ward pre/post-move	Quant-NR	2	4

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46					
Therapy spaces	Skubik-Peplaski, 2015, USA			✓	✓	Staff (OTs), 3	Post-acute rehabilitation ward	Qual	3	5																																								
Whole of built environment	Anaker, 2017, Sweden			✓		Stroke patients, 59	Stroke ward pre/post-move	Mix	2	4																																								
	Anaker, 2018, Sweden			✓		Stroke patients, 55	Comparison between 3 stroke wards	Mix	2	4																																								
	Anaker, 2019, Sweden			✓	✓	Stroke patients, 16	Stroke ward	Qual	3	4																																								
	Anaker, 2020, Sweden					✓	Staff, <i>n</i> not provided	Comparison between 3 stroke wards	Mix	2	2																																							
	Daemen, 2014b, Belgium & Netherlands	✓		✓		Stroke patients, family & staff, <i>n</i> not provided	Two neurological wards	Qual	4	2																																								
	Kevdzija, 2018, Germany			✓	✓	Stroke patients, 50; Staff, 46	Five neurological rehabilitation wards	Qual	3	5																																								
	Lampinen, 2003, Sweden			✓		Stroke patients with visuospatial agnosia, 8	Stroke rehabilitation ward	Qual	3	5																																								
	Lipson-Smith, 2019, Australia	✓	✓	✓	✓	✓	Patients, staff, researchers, designers, policy, 30	Hypothetical stroke rehabilitation ward	Qual	3	5																																							
	O'Halloran, 2011, Australia					✓	Stroke patients, 65	Two acute stroke wards	Qual	3	4																																							
O'Halloran, 2012, Australia					✓	Stroke patients, 75; Staff (nurses, doctors, AH), 10	Metasynthesis of 3 studies in acute stroke wards	Qual	2	5																																								
Shannon, 2019, Australia			✓			Mixed neuro patients, 37 total (22 stroke)	Acute neurological ward pre/post-move	Quant-NR	2	3																																								
Turner, 2012, New Zealand				✓		Stroke patients with depression, 6	Rehabilitation ward	Qual	4	2																																								

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Outcome categories: 1 = patient clinical outcomes; 2 = patient activity (including physical, cognitive, and/or social activity); 3 = patient emotional well-being; 4 = patient and/or staff safety; and 5 = staff clinical practice and efficiency.

Level of evidence: 1 = systematic reviews, meta-analyses, and meta-syntheses; 2 = well-designed experimental, quasi-experimental, and multiple-case studies, and integrative or systematic reviews of observational or qualitative studies; 3 = well-designed observational and qualitative studies, poorly designed experimental, quasi-experimental, and multiple-case studies; 4 = poorly designed observational and qualitative studies.

Context: Pre/post move = outcomes were compared before and after (i.e., pre and post) a ward was moved to a new building, or before and after a ward redesign or redevelopment.

Study design: Qual = Qualitative, Quant-R = Quantitative randomised, Quant-NR = Quantitative non-randomised, Mix = Mixed methods.

Methodological quality: Measured using the Mixed Methods Appraisal Tool where 0 = low quality and 5 = high quality.

Abbreviations: AHR = Adaptable Healing Room (specialised bedroom which incorporates technology to provide targeted levels of light and noise, orientation information, and positive distraction for the patient); AH = Allied Health professionals; EE = Environmental Enrichment (communal area, stimulating resources, and activities provided to patients); OT = Occupational Therapists.



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3 In all included articles, one or more of the following  
4 five outcome categories were reported: 1) patient clinical  
5 outcomes (measurable changes in health or function, such a  
6 person's balance, mobility, or ability to perform everyday  
7 tasks); 2) patient activity (including physical, cognitive,  
8 and/or social activity); 3) patient emotional well-being  
9 (including mood, boredom, loneliness, sense of empowerment,  
10 and need for privacy); 4) patient and/or staff safety; and 5)  
11 staff clinical practice and efficiency (such as clinical  
12 decision making and use of staff time) (see Table 2). These  
13 outcome categories are described in detail in the narrative  
14 synthesis below.

### 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 **Study design, research focus, and methodological quality of** 34 **the included articles**

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37 Half of the included articles were qualitative studies ( $n$   
38 = 12), the remainder were non-randomised quantitative studies  
39 ( $n = 6$ ), mixed methods studies ( $n = 5$ ), and randomized  
40 quantitative studies ( $n = 1$ ) (see Table 2 and Figure 2). In 18  
41 of the 24 studies patient outcomes or experiences were  
42 examined, rather than staff or family/caregivers (see Figure  
43 2). In six articles targeted research questions were  
44 addressed, e.g., pre-specifying aspects of the built  
45 environment and/or specific outcomes of interest, while in  
46 other articles a more exploratory approach was taken (see top  
47 left quadrant Figure 2). The role of the built environment in  
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3 general was the focus in nine articles, in relation to  
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5 specific outcomes of interest (lower left quadrant of Figure  
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7 2), and the research questions in three articles were purely  
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9 exploratory, with no predefined aspects of the built  
10  
11 environment or outcomes of interest (bottom right quadrant of  
12  
13 Figure 2).  
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19 [Insert Figure 2 approximately here.]  
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24 The qualitative studies appeared to be of higher  
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26 methodological quality ( $n = 12$ , MMAT median score = 5), as did  
27  
28 the one randomised quantitative study (MMAT score = 5), while  
29  
30 the non-randomised quantitative studies and mixed methods  
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32 studies were judged to be of lower methodological quality  
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34 (non-randomised quantitative  $n = 6$ , MMAT median score = 4;  
35  
36 mixed methods  $n = 5$ , MMAT median score = 2). Level of evidence  
37  
38 classification is shown in Table 2. All of the articles that  
39  
40 received a MMAT score  $< 2$  (indicating low methodological  
41  
42 quality) were also judged to provide the lowest level of  
43  
44 evidence (level 4). The poorest scoring item on the MMAT was  
45  
46 question 3.1 'Are the participants representative of the  
47  
48 target population?' (see Supplementary file 7). We elected not  
49  
50 to include one article[24] in the narrative synthesis as it  
51  
52 was assessed as having very low methodological quality (MMAT =  
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54 0, see Table 2 and Supplementary file 7).  
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## Narrative synthesis of results

### Patient clinical outcomes

In six articles (total  $n = 263$  participants), one or more clinical outcome(s) were discussed (see Table 2).

Heterogeneity of outcomes, methods and environments prohibited comparison across studies.

In the only randomized trial, self-care and mobility functional independence at discharge were better in stroke patients with access to an enriched environment compared to patients without access (controls).[25] Differences were not sustained at 3-months post-discharge, however patients who experienced enrichment reported better health (measured using the EQ-5D) than controls.[25] Fewer adverse events (such as worsening of symptoms) were reported in patients experiencing enrichment compared to controls in another study, with no difference in serious adverse events (such as hospitalisation or death) or malnutrition.[26, 27]

One study explored staff opinion about the potential value of Adaptable Healing Rooms (AHRs) for patients who had experienced a stroke.[28] These specialised bedroom designs used timed lighting and multi-media technology to provide targeted levels of light and noise throughout the day, orientation information (e.g., clock, timetable, etc.) and positive distraction (e.g., family photos or nature scenes) for the patient. Staff suggested that AHRs may help to facilitate healing by promoting patient/staff relationships,

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3 being patient-centered, helping patients to wake-up naturally  
4 and improving sleep, providing more information and structure  
5 to the day, and providing stimulation at the right times.[28]  
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10 Expert elicitation conducted with a large stakeholder  
11 group of people who had experienced a stroke and staff,  
12 researchers, architects, designers, and policy makers,[29]  
13  
14 revealed four agreed 'fundamentally important' objectives that  
15 the built environment should meet in order to optimise stroke  
16 rehabilitation care: maximising efficiency of care, maximising  
17 effectiveness of care (i.e., clinical outcomes), maximising  
18 emotional well-being, and maximising safety. The experts  
19 identified a number of 'instrumentally important' objectives  
20 that the built environment could achieve to maximise patient  
21 activity and effective sleep and rest and thereby maximise  
22 clinical outcomes, including: maximising the versatility of  
23 the space, legibility (wayfinding), indoor environmental  
24 quality (air, light, noise, etc.), and patients' personal  
25 control over the space including accessibility to different  
26 spaces such as green and outdoor spaces and integration with  
27 the surrounding community.[29]  
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51 Physical, cognitive, and social activity

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53 In over half of the included articles (13 articles, total  
54  $n = 526$  participants), patient activity, including physical  
55 activity (walking, using arm, etc.), cognitive activity  
56 (reading, listening to music, etc.), and/or social activity  
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3 (talking, touch, etc.), was reported. Taken together, these  
4  
5 studies provide some preliminary evidence that patient  
6  
7 activity may increase in environments that are legible and  
8  
9 easy to navigate, have attractive and accessible communal  
10  
11 areas, and a smaller proportion of single-bed patient rooms.  
12  
13

14 In two studies (reported across three articles) stroke  
15  
16 patients exposed to an enriched environment and a communal  
17  
18 activity area participated in more activity than patients in a  
19  
20 'usual care' rehabilitation ward.[26, 30, 31] Variation in the  
21  
22 type of activity enhanced with enrichment was found, with  
23  
24 cognitive and social activity higher in one study,[30] and  
25  
26 physical, cognitive, and social activity all were found to be  
27  
28 higher in the other study.[26, 31] In qualitative studies  
29  
30 associated with these projects, both staff[32, 33] and  
31  
32 patients[34] reported that access to a communal activity area  
33  
34 helped to promote patient activity.  
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39 In two studies, patient activity was measured before and  
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41 after a ward was relocated to a new building.[35, 36] In a  
42  
43 further study, patient activity was measured across three  
44  
45 existing wards.[37] In these studies, a higher proportion of  
46  
47 single-bed rooms was associated with lower levels of patient  
48  
49 activity. Other aspects of the built environment thought to  
50  
51 contribute to lower patient activity were the presence and  
52  
53 attractiveness of communal areas and the ease of navigation.  
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55 Communal areas that were unattractive or hard to find went  
56  
57 unused.[36-38]  
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3 Kevdzija and Marquardt identified difficulty navigating  
4 (poor wayfinding), inappropriate dimensions of space (such as  
5 corridors that are too narrow for self-propelled wheelchairs),  
6  
7 inappropriate distances between spaces (such as communal  
8 spaces being too far from the patient bedroom), uneven floor  
9  
10 surfaces, and physical obstacles (such as equipment left in  
11  
12 corridors) as barriers.[39] Similarly, legibility of the  
13  
14 space, access to spaces beyond the bedroom (including communal  
15  
16 and outdoor spaces), and patient control of the space were  
17  
18 themes identified by Lipson-Smith et al. during expert  
19  
20 elicitation.[29] In a small qualitative study by Lampinen and  
21  
22 Tham in which the challenges of agnosia (changes in ability to  
23  
24 recognise objects) were specifically considered, participants  
25  
26 described how unrecognisable objects in the environment became  
27  
28 obstacles and created barriers to their activity and  
29  
30 performance of everyday tasks.[40]  
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#### 41 Emotional well-being

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43  
44 Emotional well-being was explored in nine articles in  
45  
46 this review (total  $n = 261$  participants). Patient mood,  
47  
48 boredom, empowerment, privacy, and loneliness were all raised  
49  
50 as contributing to emotional well-being in inpatient  
51  
52 rehabilitation. In several qualitative studies communal area  
53  
54 access appeared important for patient emotional well-being,  
55  
56 reducing boredom and loneliness and promoting patient  
57  
58 empowerment.[29, 32-34, 38] Reduced levels of depression,  
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3 anxiety, and stress at discharge were reported in patients  
4 with access to enrichment and communal areas compared to  
5 patients without access.[25]  
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10 Other built environment features thought to contribute to  
11 emotional well-being included: flexible space (e.g., having  
12 access to both single-bed and multi-bed patient rooms);  
13 connection to nature and the outside world; privacy and  
14 control over the space, and allowing for personal spaces  
15 within a clinical environment; aesthetics and appropriate  
16 light and noise levels; and ease of navigation, legibility,  
17 and access within the space.[29, 38, 41, 42] In one  
18 quantitative study, no difference in depression or anxiety was  
19 found between patients in an old rehabilitation ward and those  
20 in a new rehabilitation ward, which had fewer beds per room,  
21 more natural light, more colour, and a contemporary  
22 aesthetic.[43]  
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39 Staff and visitor/family emotional well-being were  
40 identified as important by Lipson-Smith et al., [29] but were  
41 not explored directly in any studies.  
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## 48 Safety

49  
50 The concept of safety within the environment was  
51 addressed in only three studies (total  $n = 129$   
52 participants).[29, 39, 44] In the study by Lipson-Smith et  
53 al., experts agreed that safety for patients, staff, and  
54 visitors/family could be maximised by: minimising manual  
55  
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2  
3 handling, maximising sightlines between staff and patients;  
4  
5 maximising legibility, accessibility and flexibility of the  
6  
7 space; maximising indoor environmental quality (e.g., light  
8  
9 and noise); and incorporating modern technology.[29] In a  
10  
11 small qualitative study, Occupational Therapists felt safer  
12  
13 treating patients in a gym environment than in one isolated  
14  
15 and not purpose-built for therapy (such as a patient's  
16  
17 bedroom) as there are always "extra hands" available from  
18  
19 fellow therapists in a gym.[45] Obstacles in the environment  
20  
21 (e.g., equipment in the hallway) and uneven floor surfaces  
22  
23 were perceived barriers to patient mobility in the study by  
24  
25 Kevdzija and Marquardt.[39] The actual safety, as opposed to  
26  
27 perceived safety, of patients, staff, and/or visitors was not  
28  
29 measured in any of the included studies.  
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### 37 Clinical practice and efficiency

38  
39 Aspects of clinical practice and/or efficiency were  
40  
41 mentioned in ten articles (total  $n = 334$  participants).[28,  
42  
43 29, 31-34, 45-48] In four articles, communal activity areas  
44  
45 were explored in the context of staff workload.[31-34] Staff  
46  
47 opinion varied about whether communal areas increased staff  
48  
49 workload; some nurses felt obliged to facilitate patients' use  
50  
51 of the area, while other nurses felt that activity areas kept  
52  
53 patients occupied and so decreased staff workload.[32, 33]  
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56  
57 Quantitative studies in which staff time spent assisting  
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2  
3 patients in communal areas was measured suggested no change in  
4  
5 staff workload when these activity areas were introduced.[31]  
6

7  
8 An observational study of multi-professional teamwork in  
9  
10 three stroke units found that the design of the stroke units  
11  
12 did not appear to foster multi-professional teamwork:  
13  
14 Centrally-located staff workplaces, such as the nurses'  
15  
16 stations, created visible hubs but were not appropriate for  
17  
18 confidential discussions between staff; none of the stroke  
19  
20 units had dedicated rooms for multi-professional meetings; and  
21  
22 each profession worked mainly in their own dedicated  
23  
24 offices.[48]  
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27  
28 The qualitative meta-synthesis conducted by O'Halloran et  
29  
30 al. addressed the question of patient/staff communication and  
31  
32 concluded that high levels of background noise, visual  
33  
34 distractions, and a lack of single-bed rooms acted as  
35  
36 environmental barriers to communication between patients and  
37  
38 staff.[46] In another qualitative study, Occupational  
39  
40 Therapists reported adapting their treatment sessions  
41  
42 according to the available space, indicating that the  
43  
44 suitability of therapy spaces impacts treatment decision-  
45  
46 making.[45]  
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50  
51 Finally, in studies by Lipson-Smith et al.[29] and Daemon  
52  
53 et al.[28] the role that the built environment, including  
54  
55 AHRs, could play in contributing to care efficiency was raised  
56  
57 in consultations with staff and other stakeholders.  
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## DISCUSSION

This systematic review provides an overview of the existing research related to inpatient stroke rehabilitation built environments, a unique healthcare environment where patient activity, practice, and motivation are paramount. Our review revealed a research field in its early stages; the majority of the included articles were exploratory (see Figure 2), the quality of research varied, and there was no research to provide level 1 evidence (see Table 2). Heterogeneity of outcomes, methods and environmental variables of interest hindered comparison across studies but raised interesting questions about what drives research in this field and how this research is generated.

The few targeted research articles included in this review were limited to three aspects of the built environment: 1) environmental enrichment and associated communal activity areas; 2) bedroom design, including the impact of AHRs; and 3) the type and availability of therapy spaces. While these topics are important, they are hardly exhaustive. Access to nature and the outdoors was identified by Lipson-Smith et al.[29] as important for encouraging activity and emotional well-being in stroke rehabilitation environments, and the therapeutic impact of outdoor spaces is well-researched in other healthcare settings,[49] but our review revealed no targeted research studies addressing the impact of outdoor spaces in inpatient stroke rehabilitation.

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2  
3 Applying evidence-based design principles from other  
4 healthcare contexts to a rehabilitation setting is unlikely to  
5 fully address the unique priorities and purpose of  
6 rehabilitation environments.[11] Single-bed patient rooms, for  
7 example, have been found to improve patient-clinician  
8 communication, infection control, and noise reduction in other  
9 healthcare settings,[50] but evidence regarding the impact of  
10 single-bed rooms is lacking in patients with neurological  
11 injury.[51-53] Noise reduction and privacy are important  
12 considerations in stroke rehabilitation, especially  
13 considering the disabling experience of fatigue,[15] however,  
14 exploratory studies in this review suggest that stroke  
15 patients in single-bed rooms may be less active and spend more  
16 time alone than patients in shared bedrooms,[35, 36] which may  
17 impact their recovery and well-being.[29] More recently,  
18 Rosebergen et al. found that patients spent more time alone  
19 but were also more physically active in a rehabilitation  
20 facility with more single-bed rooms, but there was no change  
21 in cognitive or social activity.[54] Given the importance of  
22 both activity and rest in stroke rehabilitation, it is  
23 essential that the impact of single-bed rooms is further  
24 investigated in a rehabilitation-specific context so that a  
25 design solution can be achieved which facilitates activity and  
26 practice, while ensuring opportunity for privacy and rest.

27  
28 Communal areas were the most frequently addressed  
29 environmental feature in this review (addressed in half of the  
30

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3 articles,  $n = 12$ ). Taken together, these articles allow some  
4 tentative conclusions to be drawn regarding the benefits of  
5 communal areas for patient activity and emotional well-being  
6 in stroke rehabilitation. This is in line with findings from a  
7 large qualitative study conducted in a general (not stroke-  
8 specific) rehabilitation setting, in which freedom of  
9 movement, access to facilities, and choice within the  
10 environment impacted patient motivation, activity, and social  
11 interaction.[55] Provision of communal dining and activity  
12 areas were particularly noted as helping to increase patient  
13 activity in the study by.[56] Importantly, the mere existence  
14 of a communal area is likely not sufficient to guarantee its  
15 use.[37] Future research could examine the optimal design of  
16 communal areas; whether their use should be flexible or  
17 structured, their optimal size, and their optimal placement in  
18 relation to the patient bedrooms and other key spaces.

19 Patient perceptions and outcomes were the targets of  
20 interest in most studies (see Figure 2). Variation in patient  
21 activity associated with the environment ( $n = 13$ ) was explored  
22 in over half of the articles in this review. This is perhaps  
23 unsurprising since physical activity and fitness may predict  
24 outcomes after stroke.[13] Healthcare environments can impact  
25 staff efficiency, well-being, and retention,[1] with flow-on  
26 effects for patient care. Family and caregiver involvement can  
27 improve patient outcomes,[57] yet caregivers often feel  
28 ignored or alienated in inpatient stroke rehabilitation

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3 environments.[58] Future research should consider the impact  
4  
5 of the built environment on staff and family/caregivers, and  
6  
7 how the environmental needs and priorities of these groups can  
8  
9 be balanced with patient need.

10  
11  
12 Twenty-one articles were excluded from this review because,  
13  
14 although they provided some comments about the built  
15  
16 environment in their results or discussion, the authors did  
17  
18 not intend to study the built environment and did not provide  
19  
20 any details about said environment (see Figure 1). For  
21  
22 example, in some of these studies the level of patient  
23  
24 physical activity was shown to vary in different locations of  
25  
26 the rehabilitation facility and be especially low in the  
27  
28 bedroom and lounge.[59] While these studies can help us  
29  
30 understand, for example, high use activity areas, the absence  
31  
32 of details about the environment makes it impossible to  
33  
34 determine *in what way* the environment is important.

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36  
37 This review showcases the wide array of study designs in  
38  
39 this field. The authors of the one randomized study in this  
40  
41 review acknowledged difficulties with conducting randomized  
42  
43 trials of built environment interventions. This includes the  
44  
45 inability to blind participants to randomization outcome  
46  
47 (because the environmental change is obvious), which can  
48  
49 introduce bias. While Khan et al. found significant between-  
50  
51 group differences with their enrichment intervention, they  
52  
53 recommended the study be repeated in different settings with  
54  
55 larger sample sizes to confirm their findings.[25] In three  
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3 studies the authors took advantage of renovations or rebuilds  
4  
5 to conduct comparative studies. While these natural  
6  
7 experiments can be informative, rebuilds usually involve more  
8  
9 than one design change and often coincide with significant  
10  
11 procedural or social change in the healthcare service, making  
12  
13 it difficult for environmental variables to be isolated.  
14  
15 Standardised description of rehabilitation environments as  
16  
17 well as replication of studies showing promising findings  
18  
19 should be important goals for all healthcare built environment  
20  
21 research. Innovative research approaches are needed to  
22  
23 overcome the challenges of researching healthcare  
24  
25 environments. Emergent research approaches in rehabilitation  
26  
27 environments research include using Virtual Reality to model  
28  
29 and test different designs in controlled experiment (for  
30  
31 example see The NOVELL Redesign project,  
32  
33 [www.novellredesign.com](http://www.novellredesign.com)).

34  
35  
36  
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38  
39 The quality of the studies in this review varied according  
40  
41 to the MMAT, with the qualitative studies achieving the  
42  
43 highest scores (indicating higher quality). This may in part  
44  
45 be a reflection of the scoring system used in the MMAT. The  
46  
47 MMAT was, however, designed to be used for all study types,  
48  
49 including mixed methods, and has precedent in healthcare  
50  
51 environments research.[19, 50] It is possible that our search  
52  
53 may have missed some relevant research because the physical  
54  
55 environment is defined differently in different disciplines,  
56  
57 and some disciplines frequently publish in non-peer-reviewed  
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3 mediums such as professional architecture magazines and books.  
4  
5 However, we are confident that our search terms were  
6  
7 sufficient to capture peer-reviewed research relating to the  
8  
9 built environment as it is defined in this review. Our search  
10  
11 was limited to articles published since the year 2000. We  
12  
13 consider it unlikely that many relevant articles were  
14  
15 published before this time. Indeed, only one (4%) of the  
16  
17 articles included in this review was published prior to 2010.  
18  
19 The rate of research in this field is increasing; we are aware  
20  
21 of relevant articles that are in preparation or that were  
22  
23 published after our searches were completed.[54, 60, 61] This  
24  
25 review should therefore be updated in the coming years.  
26  
27  
28  
29

30 The 24 articles in this review were produced by 14 research  
31  
32 groups. Many of these groups have previously collaborated and  
33  
34 the authors of this review were involved in a number of the  
35  
36 included studies. Evidence-based healthcare design research is  
37  
38 inherently interdisciplinary, and the field will benefit as  
39  
40 more diverse research groups bring innovative methods and  
41  
42 approaches. The majority of the studies in this review were  
43  
44 conducted either in Australia (50% of included articles) or  
45  
46 Sweden (21% of included articles). As mentioned in the  
47  
48 introduction, stroke rehabilitation services vary globally,  
49  
50 and the design of rehabilitation facilities should reflect the  
51  
52 local service. There is therefore a need to bring a more  
53  
54 diverse international perspective to stroke rehabilitation  
55  
56 environments research.  
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3 To effectively grow the research field and provide evidence-  
4 based design for patient well-being and health, it is  
5 essential that important factors (such as outdoor spaces,  
6 single-bed rooms, patient and staff safety, and staff well-  
7 being) are not overlooked. We recommend that future  
8 researchers use the findings from the exploratory studies  
9 included in this review to provide a rationale and framework  
10 for their research in rehabilitation design. These exploratory  
11 studies identify aspects of the built environment and outcomes  
12 that are worthy of further investigation and provide a  
13 framework for future stroke rehabilitation environments  
14 research. This may encourage a more unified approach to the  
15 discipline and help researchers to identify aspects of the  
16 built environment and outcomes that are worthy of targeted  
17 study.

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38  
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43  
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46  
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50 eligibility and conducted the data extraction. RLS conducted  
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19

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21  
22

23  
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25  
26

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29  
30

31  
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39 this systematic review are summarised in the supplementary  
40 material.  
41  
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## Figure legends

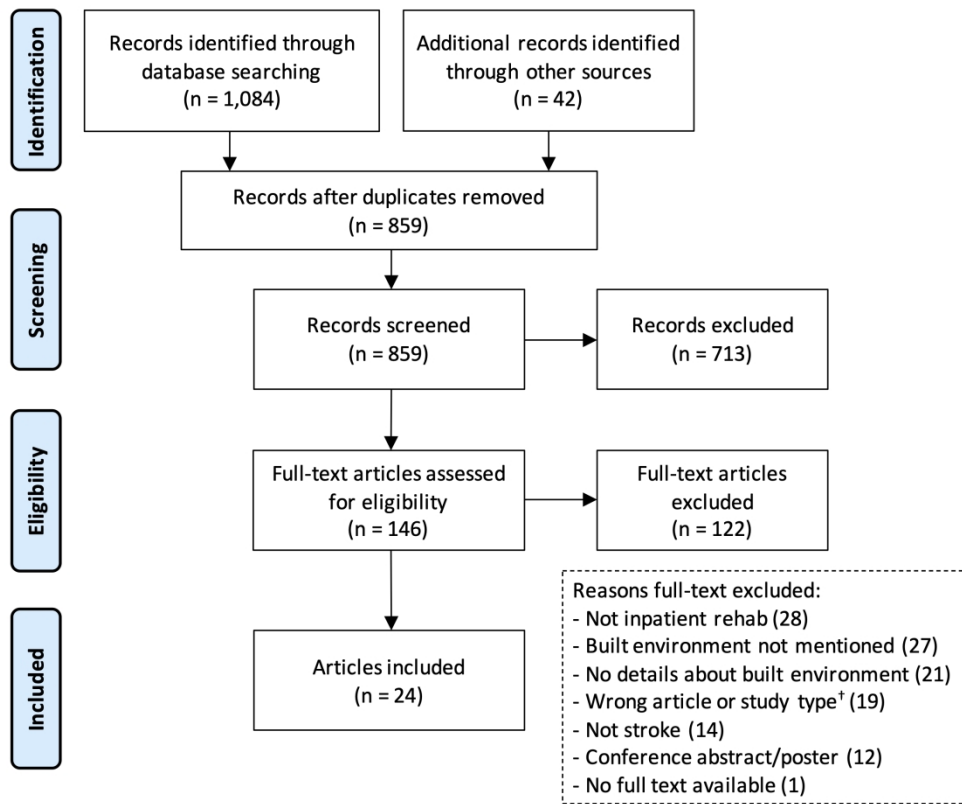
### Figure 1. Article identification and screening flow diagram

<sup>†</sup>The following types of articles were excluded from this review, but their reference lists were searched for relevant articles: opinion pieces or commentaries, unpublished studies in PhD theses, single case studies, and systematic reviews with no meta-analysis, meta-synthesis, or integrative component.

### Figure 2. Research method and focus of included articles.

Articles are clustered according to the extent to which they pre-specified the specific aspects of the built environment or outcomes to be investigated (targeted vs exploratory research).





Article identification and screening flow diagram

†The following types of articles were excluded from this review, but their reference lists were searched for relevant articles: opinion pieces or commentaries, unpublished studies in PhD theses, single case studies, and systematic reviews with no meta-analysis, meta-synthesis, or integrative component.

173x145mm (400 x 400 DPI)

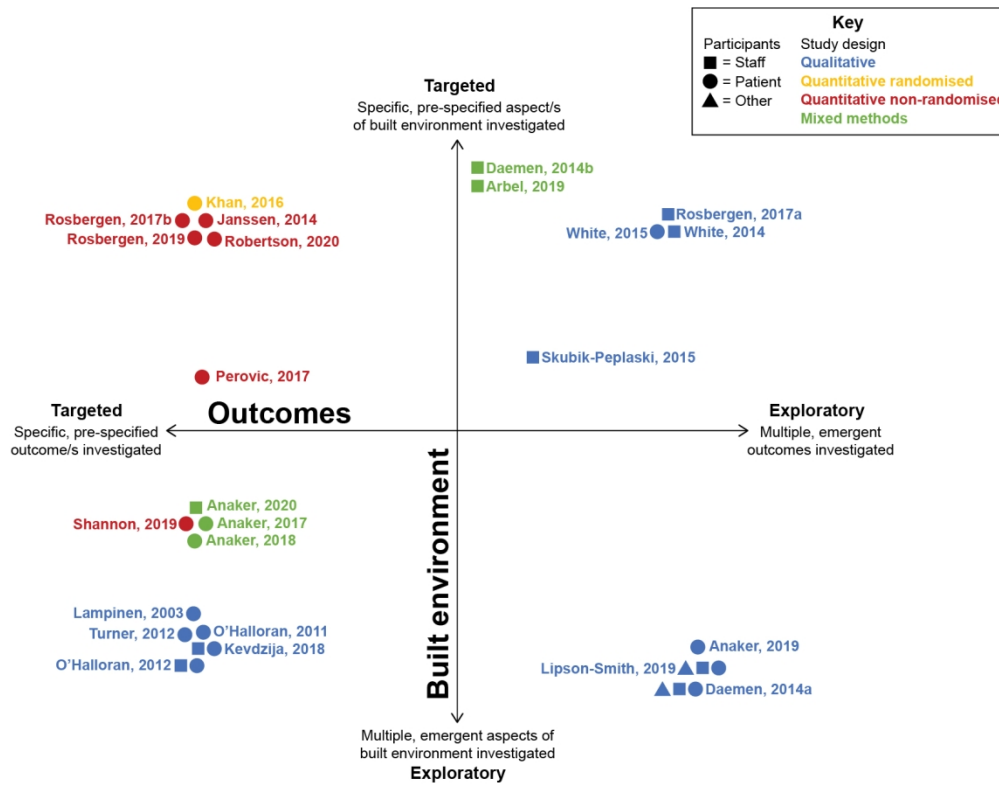


Figure 2. Research method and focus of included articles. Articles are clustered according to the extent to which they pre-specified the specific aspects of the built environment or outcomes to be investigated (targeted vs exploratory research).

286x223mm (150 x 150 DPI)



# PRISMA 2009 Checklist

Section/topic	#	Checklist item	Reported on page #
<b>TITLE</b>			
Title	1	Identify the report as a systematic review, meta-analysis, or both.	1
<b>ABSTRACT</b>			
Structured summary	2	Provide a structured summary including, as applicable: background; objectives; data sources; study eligibility criteria, participants, and interventions; study appraisal and synthesis methods; results; limitations; conclusions and implications of key findings; systematic review registration number.	2
<b>INTRODUCTION</b>			
Rationale	3	Describe the rationale for the review in the context of what is already known.	4
Objectives	4	Provide an explicit statement of questions being addressed with reference to participants, interventions, comparisons, outcomes, and study design (PICOS).	5
<b>METHODS</b>			
Protocol and registration	5	Indicate if a review protocol exists, if and where it can be accessed (e.g., Web address), and, if available, provide registration information including registration number.	6
Eligibility criteria	6	Specify study characteristics (e.g., PICOS, length of follow-up) and report characteristics (e.g., years considered, language, publication status) used as criteria for eligibility, giving rationale.	7
Information sources	7	Describe all information sources (e.g., databases with dates of coverage, contact with study authors to identify additional studies) in the search and date last searched.	6
Search	8	Present full electronic search strategy for at least one database, including any limits used, such that it could be repeated.	Supp material 3
Study selection	9	State the process for selecting studies (i.e., screening, eligibility, included in systematic review, and, if applicable, included in the meta-analysis).	6
Data collection process	10	Describe method of data extraction from reports (e.g., piloted forms, independently, in duplicate) and any processes for obtaining and confirming data from investigators.	8
Data items	11	List and define all variables for which data were sought (e.g., PICOS, funding sources) and any assumptions and simplifications made.	Supp material 5
Risk of bias in individual studies	12	Describe methods used for assessing risk of bias of individual studies (including specification of whether this was done at the study or outcome level), and how this information is to be used in any data synthesis.	7
Summary measures	13	State the principal summary measures (e.g., risk ratio, difference in means).	N/A
Synthesis of results	14	Describe the methods of handling data and combining results of studies, if done, including measures of consistency (e.g., $I^2$ ) for each meta-analysis.	8



# PRISMA 2009 Checklist

Page 1 of 2

Section/topic	#	Checklist item	Reported on page #
Risk of bias across studies	15	Specify any assessment of risk of bias that may affect the cumulative evidence (e.g., publication bias, selective reporting within studies).	N/A
Additional analyses	16	Describe methods of additional analyses (e.g., sensitivity or subgroup analyses, meta-regression), if done, indicating which were pre-specified.	N/A
<b>RESULTS</b>			
Study selection	17	Give numbers of studies screened, assessed for eligibility, and included in the review, with reasons for exclusions at each stage, ideally with a flow diagram.	Fig 1
Study characteristics	18	For each study, present characteristics for which data were extracted (e.g., study size, PICOS, follow-up period) and provide the citations.	Table 2
Risk of bias within studies	19	Present data on risk of bias of each study and, if available, any outcome level assessment (see item 12).	Supp material 7
Results of individual studies	20	For all outcomes considered (benefits or harms), present, for each study: (a) simple summary data for each intervention group (b) effect estimates and confidence intervals, ideally with a forest plot.	Supp material 6
Synthesis of results	21	Present results of each meta-analysis done, including confidence intervals and measures of consistency.	14-19
Risk of bias across studies	22	Present results of any assessment of risk of bias across studies (see Item 15).	N/A
Additional analysis	23	Give results of additional analyses, if done (e.g., sensitivity or subgroup analyses, meta-regression [see Item 16]).	N/A
<b>DISCUSSION</b>			
Summary of evidence	24	Summarize the main findings including the strength of evidence for each main outcome; consider their relevance to key groups (e.g., healthcare providers, users, and policy makers).	20-21
Limitations	25	Discuss limitations at study and outcome level (e.g., risk of bias), and at review-level (e.g., incomplete retrieval of identified research, reporting bias).	23
Conclusions	26	Provide a general interpretation of the results in the context of other evidence, and implications for future research.	23
<b>FUNDING</b>			
Funding	27	Describe sources of funding for the systematic review and other support (e.g., supply of data); role of funders for the systematic review.	24

From: Moher D, Liberati A, Tetzlaff J, Altman DG, The PRISMA Group (2009). Preferred Reporting Items for Systematic Reviews and Meta-Analyses: The PRISMA Statement. PLoS Med 6(7): e1000097. doi:10.1371/journal.pmed1000097

For more information, visit: [www.prisma-statement.org](http://www.prisma-statement.org).

For peer review only - <http://bmjopen.bmj.com/site/about/guidelines.xhtml>

# The impacts of the physical environment of inpatient rehabilitation settings on outcomes and experiences of patients recovering from stroke, their family/carers, and staff: a mixed methods systematic review protocol

Keywords: Stroke; Rehabilitation; Brain Recovery; Built Environment; Physical environment

## 1. Background

Research-driven architecture or evidence-based design is a new field of endeavor that aims to inform health facility design. To date, the focus of research has been on hyper acute (Intensive Care Unit, surgery) environments [1], with some attention paid to institutional care for older people [2] and mental health facilities [3]. Between these disparate sectors lies an important and expensive area of healthcare: that of hospital-based rehabilitation. Rehabilitation, particularly for those with acquired neurological injury, traumatic brain injury or stroke, is slow and expensive.

Research-driven or evidence informed design refers to the act of creating healthcare environments based on the judicious use of best evidence from research and practice together with an informed client's view. Evidence-based design results in improvements in patient outcomes and safety, economic performance and productivity of the organization, and user satisfaction [4]. Evidence-based design has driven an exciting new era of questioning how healthcare design (the buildings, interiors, wayfinding, etc.) impacts on patient care and healthcare outcomes. To date, most research has explored the effect of the acute healthcare environment on patient and staff outcomes. While the evidence base is growing, empirical research in healthcare environments has been described as minimal [5].

In the last decade, primary care hospital design has been the focus for innovation [6]. In the US alone, over the next decade over \$200 billion will be spent on the development of new healthcare facilities [7]. In Australia, the new Royal Adelaide Hospital has been named the eighth most expensive building in the world at US\$2.1 billion [<https://www.emporis.com>]. In contrast, the post-acute rehabilitation environment has received little attention and research focus, despite the fact that rehabilitation care is expensive and a critical element of the recovery trajectory after serious injury.

Survivors of stroke may spend between 2 weeks and 2 or more months in hospital-based inpatient rehabilitation (mean 27.7 days for stroke and 39.2 days for brain injury) [8]. In 2016, the provision of rehabilitation grew in volume as there was a 2.8% increase in inpatient episodes of rehabilitation [8]. Rehabilitation often continues for months to years with gradual shift from hospital-based to outpatient care to community care. The environment is an important element that has the potential to help or harm brain recovery [9]. In 2011, Sadler et al conservatively calculated the economic benefits of introducing evidence-based design improvement in healthcare facility design as providing a return on investment within 3 years [5].

A major challenge of providing stroke care and rehabilitation is to determine how the physical environment should be designed and utilized to best address specific patient needs and rehabilitation goals.

## 2. Aim

The aim of this systematic review is to identify, appraise, and synthesize the existing literature related to evidence-based design (EBD) of rehabilitation facilities, and identify the recorded impacts of the physical environment of rehabilitation settings on the outcomes and experiences of patients recovering from stroke, their family/carers, and staff.

### Overarching research question

What is the current state of knowledge about evidence-based design in the stroke rehabilitation setting?

Specifically this review will address the following research questions

- What types of outcomes have been investigated in relation to the physical environment in the stroke rehabilitation setting?
- What are the impacts of the physical rehabilitation environment on the outcomes and experiences of patients recovering from stroke, their family/carers, and staff?
- What aspects of the physical environment has shown to impact on outcomes and experiences of patients recovering from stroke, their family/carers, and staff?
- What are the research methods used to investigate the impact of the physical environment on outcomes and experiences of patient recovering from stroke, their family/carers, and staff?

## 3. Method

This mixed studies systematic literature review will follow the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) statement [10]. A convergent segregated review design will be used whereby the results from qualitative, quantitative, and mixed-methods studies were integrated in a narrative summary [11].

This mixed studies review applies a systematic strategy for identifying, retrieving, assessing, and appraising the available literature reporting on the **impacts** of the physical environment in the stroke rehabilitation setting. The review will consider a range of research designs including qualitative, quantitative and mixed-method studies in order to report comprehensively on the topic. The data synthesis will use descriptive statistics and qualitative content analysis [12] as appropriate to the type of data retrieved. An inter-rater reliability process [13] will be included in the search and retrieval stages whereby the processes will be performed by two researchers and any ambiguity or disagreement about the inclusion or exclusion of articles will be discussed until agreement is reached.

### 3.1. Search Strategy

Search terms will be reviewed by a professional research librarian. A systematic search of the following electronic databases will be conducted: OVID Medline, SCOPUS, Cumulative Index to Nursing and Allied Health Literature (CINAHL), and Web of Science. The two key concepts “Stroke” and “Healthcare facility design” will determine the search terms used (see Table 1). Boolean searches using the operators “AND” / “OR” / ”NOT” will be constructed with selected search terms and combination of search terms as appropriate for each database following respective guidelines. Figure 1 shows an example of the OVID Medline Boolean search strategy. Any additional, search terms identified during the screening process will be added as appropriate. The reference lists of key articles will be additionally hand-searched (“snowballing”). Two researchers will perform the searches.

### Table 1 Search terms

Key concept	Search terms
Stroke	Stroke or neurologic* or brain injur* or brain recovery or Stroke Rehabilitation or brain injur* rehabilitation or stroke recovery or neurologic* rehabilitation or brain injur* recovery
Healthcare facility design	facility or facilities or environment* or rehabilitation environment* or rehabilitation setting* or buil* design or architecture* or evidence-based design or garden* or hospital design or outdoor setting or outdoor environment or interior design or environment* factor* or physical environment or built environment or

Database: Ovid MEDLINE(R) ALL <1946 to July 29, 2019>

Search Strategy:

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1  (("environment* factor*" or "physical environment*" or "built environment*" or
2  facility or facilities or architecture* or "evidence-based design" or garden* or "outdoor
3  setting*" or "outdoor environment*" or "facilit* design*" or "hospital design" or "interior
4  design") not "nursing facilit*").m_titl. (53548)
5  2  ((stroke or neurologic* or "brain injur*" or "brain recovery" or "stroke rehabilitation"
6  or "neurologic* rehabilitation" or "brain injur* rehabilitation" or "stroke recovery" or
7  "neurologic* recovery" or "brain injur* recovery") not gene* not robot* not pharmacol*
8  not non-pharmacol* not delirium not ulcer* not pollution not syndrome* not wildlife not
9  dementia not sepsis not pneumonia not "spinal cord injur*" not mouse* not rat* not
10 "animal model*" not ventilat* not transfer not multidrug* not drug* not malnutrition* not
11 cardi* not kidney not fracture* not thrombolys* not "aged care" not "nursing home" not
12 Parkinson* not fibrillat* not tomograph* not ecology* not incontinen* not continen* not
13 urin* not ultrasound not geograph* not treadmill not "muscle architecture" not "sleep
14 architecture" not "clot architecture" not "pagodian architecture" not "brain architecture" not
15 influenza not payment not "systematic review" not "meta-synthesis" not "meta-analysis"
16 not "methicillin*").m_titl. (133295)
17 3  1 and 2 (123)
18 4  limit 3 to (english language and yr="2000 - 2020") (90)
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**Figure 1** OVID Medline Boolean search strategy

**3.2. Inclusion criteria**

The inclusion criteria are outlined in Table 2. Research addressing any aspect of the physical environment in inpatient rehabilitation settings and its impact on the outcomes or experiences of adult patients recovering from stroke or their family/carers or staff will be considered for inclusion, as long as sufficient detail is provided about the physical environment (see Table 2).

**Table 2** Included articles must meet all the below criteria.

Inclusion criteria
1. Peer-reviewed
2. Published between 2000 and 2020
3. Written in English language

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4. Quantitative, qualitative or mixed method research design. Protocol papers will only be included if the study results have not yet been published. Opinion pieces, commentaries, single case studies, and systematic reviews with no meta-analysis or meta-synthesis will not be included, but will be searched for relevant references (snowballing).

5. Journal article or conference paper. Conference posters and conference abstracts will not be included.

6. Population:  
Adult stroke survivors, their family/carers, and/or staff who care for adult stroke survivors. Research reporting on mixed populations will only be included if one or more of the populations listed above make up the vast majority of the sample (>60%) or their results are reported separately so that they can be extracted from the mixed population.

7. Intervention/phenomenon of interest:  
Research reporting on the physical environment of acute or sub-acute inpatient rehabilitation hospital settings where the physical environment is described in sufficient detail. For example, research that reports only on the location of certain activities (e.g., time spent in the dining room) or the position of a rehab ward in relation to an acute ward would not be included, but research that reports the dimensions, features, and etc. of said locations or wards (i.e., 'dining room was 10m<sup>2</sup>, with south facing windows and positioned adjacent to a courtyard and the nurses station') will be included.

For the purposes of this review, the physical environment is defined as comprising the following (this definition of the physical environment is adapted from Harris et al. (2002) [14] – studies that provide *details* about any of the following will be included:

- a. ambient features (e.g., noise, air quality, odours, light, temperature);
- b. architectural and landscape features (e.g., position and layout of the building, relationship between the building and its surroundings, dimensions of a room, placement of doors and windows, views and outdoor areas);
- c. interior design features (e.g., furniture, artwork, signage, colours, equipment and technology); and
- d. maintenance and housekeeping (e.g., cleanliness, repair and upkeep of architectural and interior features).

Both of the following types of studies will be included: 1) research where the intent is to describe or investigate any aspect of a physical environment of inpatient rehabilitation settings, and 2) research where findings concerning the physical environment of inpatient rehabilitation settings are reported (even if this was not the original intent of the research).

Research conducted in a virtual setting (e.g. using Virtual Reality) will be included as long as the virtual environment meets all of the criteria outlined above.

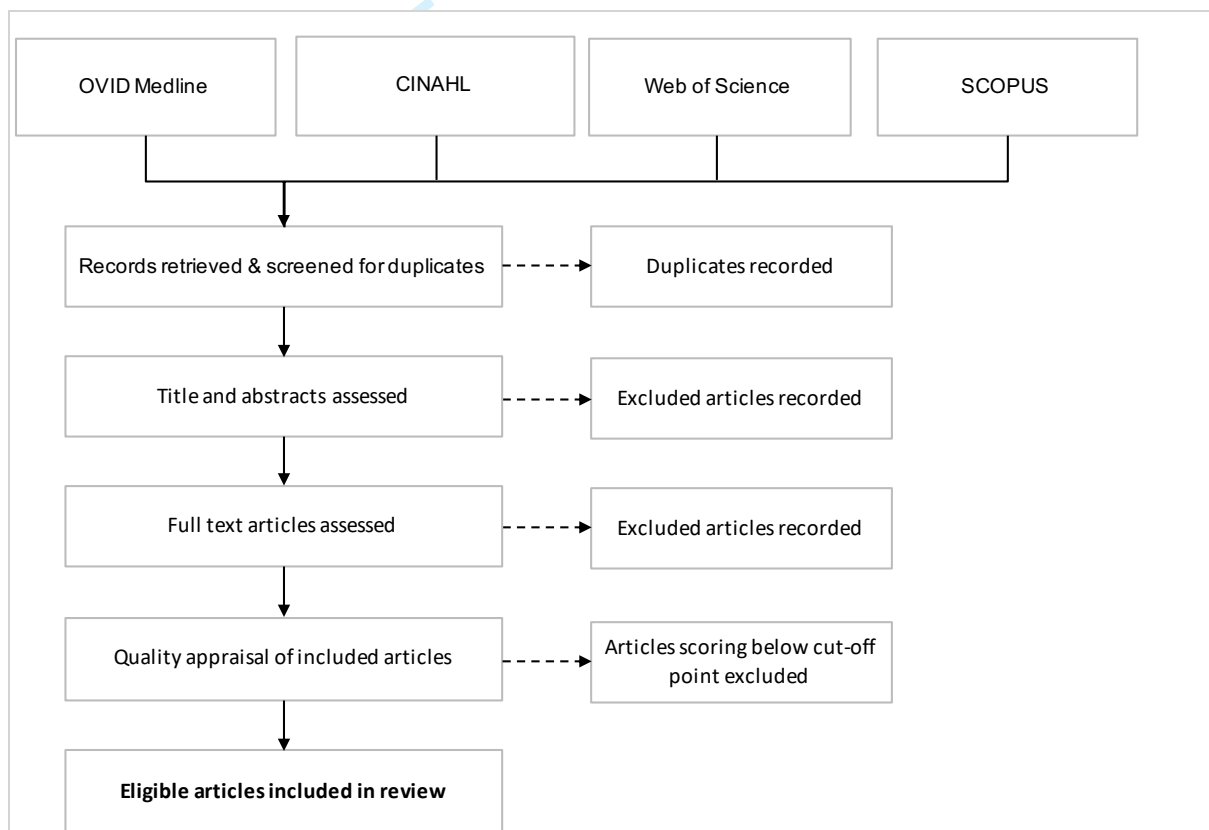
8. Outcome:  
Research reporting on the outcomes, experiences, or perspectives of any of the populations specified above will be included.



### 3.3. Screening

Figure 2 provides a flowchart illustrating the 4-step process used for screening and assessing the retrieved literature. Each step will be conducted by two researchers independently who will discuss any disagreement until consensus is reached before proceeding to the next step (inter-rater reliability process) [13]. Covidence will be used to manage the screening and inter-rater process [15].

1. All duplicates eliminated
2. Title and abstracts screened for topic relevance
3. Full text articles of all included abstracts will be retrieved and read in full to confirm topic relevance
4. Quality of eligible articles will be assessed using a mixed studies review scoring system [16]



**Figure 2** Flowchart of literature search and assessment process

### 3.4. Quality appraisal

The quality of articles will be assessed using the Mixed Methods Appraisal Tool (MMAT) [16]. This framework provides a system for appraising mixed studies reviews, which are reviews that include qualitative, quantitative and mixed-method research. The level of evidence of the included studies will be assessed following recommendations from Marquardt and Motzek (2013) [17], adapted from Stichler (2010) [18].

### 3.5. Data extraction and synthesis

A purpose-designed data extraction form will be used to retrieve all data relevant to answering the research questions (see Appendix 1). The form will include variables describing study characteristics in order to descriptively summarize the included studies.

The following variables will be collected:

First author, Year of publication, Country where research conducted, Study focus, Research design and methodology, Sample size, Participant characteristics, Setting characteristics, Type of outcome, Impact of physical environment, Aspects of physical environment.

For peer review only

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### Supplementary material 3

#### OVID Medline Boolean search strategy

Database: Ovid MEDLINE(R) ALL <1946 to July 29, 2019>

Search Strategy:

1 ("environment\* factor\*" or "physical environment\*" or "built environment\*" or facility or facilities or architecture\* or "evidence-based design" or garden\* or "outdoor setting\*" or "outdoor environment\*" or "facilit\* design\*" or "hospital design" or "interior design") not "nursing facilit\*").m\_titl. (53548)

2 ((stroke or neurologic\* or "brain injur\*" or "brain recovery" or "stroke rehabilitation" or "neurologic\* rehabilitation" or "brain injur\* rehabilitation" or "stroke recovery" or "neurologic\* recovery" or "brain injur\* recovery") not gene\* not robot\* not pharmacol\* not non-pharmacol\* not delirium not ulcer\* not pollution not syndrome\* not wildlife not dementia not sepsis not pneumonia not "spinal cord injur\*" not mouse\* not rat\* not "animal model\*" not ventilat\* not transfer not multidrug\* not drug\* not malnutrition\* not cardi\* not kidney not fracture\* not thrombolys\* not "aged care" not "nursing home" not Parkinson\* not fibrillat\* not tomograph\* not ecology\* not incontinen\* not continen\* not urin\* not ultrasound not geograph\* not treadmill not "muscle architecture" not "sleep architecture" not "clot architecture" not "pagodian architecture" not "brain architecture" not influenza not payment not "systematic review" not "meta-synthesis" not "meta-analysis" not "methicillin\*").m\_titl. (133295)

3 1 and 2 (123)

4 limit 3 to (english language and yr="2000 - 2020") (90)

\*\*\*\*\*

## Supplementary material 4

### Levels of evidence

Level	Criteria
Level 1	Systematic reviews, meta-analyses of qualitative studies, and meta-syntheses of multiple qualitative studies leading to an integrative interpretation.
Level 2	Well-designed experimental (randomized), quasi-experimental (nonrandomized), and multiple-case studies. Integrative or systematic reviews of observational or qualitative studies.
Level 3	Well-designed observational and qualitative studies, and poorly designed experimental, quasi-experimental, and multiple-case studies.
Level 4	Poorly designed observational and qualitative studies, and professional standards or guidelines with studies to support recommendations.
Level 5	Opinions of recognized experts, single case studies.
Level 6	Recommendations from manufacturers or consultants who may have a financial interest or bias.

Adapted from Marquardt & Motzek (58) and Stichler (20)

Articles authored by reviewers were appraised by non-authors

Following the eligibility criteria for this review (see Table 1), systematic reviews with no meta-analysis or integrative component were not included, nor were non-peer reviewed articles, such as professional standards and guidelines, nor were any of the article types at Levels 5 and 6.

## Supplementary material 5

Standardised form for data extraction.

Variable	Description
Author	First author of article
Year	Year article published
Title	Title of article
Aim	Aim as stated in the article
Study type	Qualitative   Quantitative randomized controlled trials   Quantitative nonrandomized   Quantitative descriptive   Mixed methods
Study design	Study design as stated in the article
Year data collected	
Participant type	Patients (acute, rehab, all stroke, or mixed population, etc.), or staff, or family visitors. Include eligibility criteria if provided.
Mixed population	Are other patient/carer/staff types included besides stroke? Y/N
Stroke data extracted	If Y to 'mixed population', can the stroke-specific data be extracted? Y/N
Participant number	Number of participants
Participant age	Mean age
Time since stroke	Only relevant for patient participants
Other participant characteristics	
Country	Country or countries where the study was completed
Setting	Setting in which the study was completed, i.e., acute hospital, rehab hospital etc. Include definition of this setting if provided in the paper.
Intervention/Exposure	Was an intervention conducted by the researchers? Or did they expose the participants to different environments? Y/N
Observational pre/post	Was this an observational study of an environment pre/post a move? Y/N
Details of physical environment	Include the details of the physical environment of the setting of this study.

Variable	Description
Aspect of physical environment	What aspect of the physical environment was of interest in this study? A particular room/location? Access to nature? Particular design or architectural feature? Or whole environment considered?
Floorplans provided?	Y/N
Photographs provided?	Y/N
Outcomes measured	Which outcomes were measured in this study
Method of data collection	How the data were collected
Method of data analysis	How the data were analysed
Findings	Ensure that the summary you provide for this variable refers to both the physical environment and the outcomes as they were measured in this study.
Conclusions	Our conclusions might not be the same as the conclusions in the paper - we need to think about the conclusions that we can draw from their results about the relationship between physical environment and patient/staff outcomes.
Comments	



## Supplementary material 6

## Summaries of the results of the included articles

Aspect of built environment	First author, year	Results summary
Enriched environment	Janssen, 2014	Participants in the enrichment group were 1.7 (95% CI 1.1 to 2.5, $p = 0.02$ ) times more likely to be engaged in cognitive activity, 1.2 (95% CI 1.0 to 1.5, $p = 0.04$ ) times more likely to be engaged in social activity, 0.7 (95% CI 0.6 to 0.9, $p < 0.001$ ) times as likely to be inactive and alone and 0.5 (95% CI 0.4 to 0.7, $p < 0.001$ ) times as likely to be asleep compared to the usual care group. Physical activity was not different between groups.
	Khan, 2016	At discharge, stroke participants in enrichment group had improved mood (DASS total mean difference = $-24.1$ , CI = $-40.1$ , $-7.2$ , $p = 0.006$ ) and functional independence for self-care (FIM self-care mean difference = $5$ , CI = $0.4$ , $6.6$ , $p = 0.028$ ) and mobility (FIM mobility mean difference = $2.0$ , CI = $0.3$ , $3.8$ , $p = 0.024$ ) compared to the control group. At 3-months follow-up, stroke participants in enrichment group showed improvement in "overall health" section of EQ-5D (total mean difference = $11.4$ , CI = $0.1$ , $22.7$ , $p = 0.047$ ) compared to control group.
	Robertson, 2020	Neither standard care nor enriched environment participants met daily requirements for energy ( $70.7\% \pm SD 16.8$ vs. $70.7\% \pm SD 17.3$ , $p = 0.94$ ) or protein intake ( $73.2\% \pm SD 18.6$ vs. $69.8\% \pm SD 17.3$ , $p = 0.70$ ). Mean body weight dropped for both groups; standard care $0.92 \text{ kg} \pm SD 2.47$ vs. enriched $0.64 \text{ kg} \pm SD 3.12$ ( $p = 0.53$ ) and malnutrition increased; standard care $3.3\% - 26.6\%$ vs. enriched $6.6\% - 13.3\%$ ( $p = 0.07$ ). Predictors of malnutrition on discharge in logistic regression models were length of stay ( $p < 0.01$ ) and protein ( $p < 0.01$ ) or energy intake ( $p = 0.02$ ).
	Rosbergen, 2017a	Staff felt that the activity area helped to increase activity, empowerment, and psychological well-being for patients. Activity area led to increased workload for some nurses (esp. with higher acuity patients) but others experienced reduced workload because patient kept occupied (esp. if other staff cooperated). The activity area was not purpose-built, which was challenging; staff converted therapy area to dining area daily.
	Rosbergen, 2017b	Participants in the enrichment group spent a greater proportion of their day in physical activity ( $33\%$ vs. $22\%$ , $p < 0.001$ ), social ( $40\%$ vs. $29\%$ , $p = 0.003$ ) and cognitive activity ( $59\%$ vs. $45\%$ , $p < 0.001$ ) compared to usual care group. Changes were sustained six months post-implementation. Participants with an activity area experienced fewer adverse events ( $0.4 \pm 0.7$ vs. $1.3 \pm 1.6$ , $p = 0.001$ ), but no differences in serious adverse events ( $0.5 \pm 1.6$ vs. $1.0 \pm 2.0$ , $p = 0.309$ ).
	Rosbergen, 2019	Participants in enrichment group had higher activity levels during scheduled communal activity ( $p < 0.001$ ), weekday non-scheduled activity ( $p = 0.007$ ) and weekends ( $p = 0.018$ ) compared to control group, but no difference between groups on weekdays after 5 p.m. ( $p = 0.324$ ). Participants in enrichment group spent more time on upper limb ( $p < 0.001$ ), communal

		socializing ( $p < 0.001$ ), listening ( $p = 0.007$ ) and iPad activities ( $p = 0.002$ ) compared to control group. There was no difference in staff assistance during activities ( $p = 0.055$ ).
	White, 2014	Staff felt that the activity area promoted patient activity, participation, and moral. Some nurses felt obliged to facilitate patients' use of activity area, other nurses were unsure how to facilitate use or did not consider this their responsibility. Some nurses felt too busy to facilitate, others experienced reduced workload because activity area kept patient occupied. Suggested having dedicated staff to facilitate use of activity area.
	White, 2015	Patients felt that the activity area helped to increase their physical and social activity and reduce boredom. Activity area may have been used more by internally motivated patients. Access to activity area was difficult for those with mobility restrictions, and patients hesitant to ask for help.
Bedroom design	Arbel, 2019	The AHR was rated more positively on all aspects compared to the standard room, and participants in the AHR reported more positive feelings and fewer negative feelings. More participants in the AHR reported a satisfactory overall experience compared to participants in standard rooms (100% [ $n = 10$ ] vs 46.7% [ $n = 7$ ], $p = 0.016$ ) and more reported a satisfactory experience of waking-up from sleep (90% [ $n = 9$ ] vs 53.3% [ $n = 8$ ], $p = 0.046$ ). Most felt that the orientation screen helped them to feel oriented (80% [ $n = 8$ ]) and that the nature screen positively impacted their mood (70% [ $n = 7$ ]).
	Daemen, 2014a	All participants rated the AHR positively for impact on clinical outcomes and workflow score of 5 or over on a 7-point Likert scale). Participants felt that the AHR would promote patient/staff relationship, be patient-centered, help patients wake-up naturally, give more structure to the day, give stimulation at the right times and so be beneficial for both healing and workflow. Participants noted that patients would also be impacted by stimuli outside the AHR (e.g. sounds in hallway).
	Perovic, 2017	There was no significant difference in depression or anxiety (HADS) between participants in the bedrooms pre-refurbishment (many beds per room, poor light, poor aesthetics, old; mean HADS score = 9.14) and those in the bedroom post-refurbishment (fewer beds per room, more colour and light, new; mean HADS score = 7.18).
Therapy spaces	Skubik-Peplaski, 2015	Participants felt that they choose to treat in whichever space they are used to going to (habit), that the environment influenced their intervention choices ("see it use it"), and that they felt safer and so more confident treating in a gym environment versus an environment that was more isolated and was not purpose built for therapy.
Whole of built environment	Anaker, 2017	Participants were more inactive and alone post-move and spent more time in their bedrooms compared to pre-move (inactive for 25.3% of day pre-move, 54.1% post-move; alone 49.6% vs. 82.8%; in bedroom 54.8% vs. 83.1%). Authors suggest that the following factors contributed to the decreased activity and increased time alone and time in bedroom: increase in single-bed rooms post-move, more therapy in the bedroom, doors to bedrooms were always kept shut, lounge difficult to locate, and built environment hard to navigate.
	Anaker 2018	Participants in the ward with a combination of single- and multi-bedrooms were more active than participants in the wards with mostly single-bed rooms (31.6% of the day inactive vs 54.1% and 54.4%), but multi-bedrooms appeared to have less privacy and more noise. In all wards, participants spent very little time in the lounge and therapy areas (between 0.2% and 8.6% of the day),

	possibly because these rooms were difficult to locate or because they were not attractive spaces. Overall, three main aspects of the built environment appeared to have an impact on patients' activities and care: (1) Ease of navigation; (2) Responsiveness, flexibility and variety; and (3) Privacy and respect for personal integrity.
Anaker, 2019	Interviews with participants revealed two themes: 1) there is incongruence between community and privacy in the environment (single rooms promote privacy and control but also loneliness, and there is a lack of communal areas); 2) Connectedness with the outside world provides distraction and a sense of normality (nature and outdoors facilitate well-being and view of outdoor activities evoke memories and bring positive distraction).
Anaker, 2020	Staff rarely worked in teams of two or more while with patients, but when they do it is usually in the bedroom, indicating a need for large bedrooms with access to privacy. None of the included stroke units had a co-location for all the members of the multi-professional team. Three main categories were common across the stroke units: the units all contained a central hub; places were divided by profession and did not facilitate teamwork; the power imbalance between different staff groups and between staff and patients appears to be accentuated by the environment (e.g., meeting rooms being too small to accommodate all staff).
Daemen, 2014b	Authors state that patients' experiences and recovery could be improved if the following environmental needs are met: dosing stimulus load, having social support, having access to both single and multiple patient rooms, balancing a clinical and personal environment, providing structure to the day, undisturbed sleep, access to information.
Kevdzija, 2018	Staff and patients identified the following issues in the built environment that are barriers to patients' independent mobility: wayfinding problems, insufficient dimensions of spaces (corridors), physical obstacles, uneven floor surfaces and large distances between patient rooms and therapy rooms. Patients in the earlier stages of rehabilitation, especially those using a wheelchair, appeared to experience more barriers related to the built environment.
Lampinen, 2003	Participants described 3 main aspects of the built environment that impact their performance of everyday tasks: 1) Everything seems unfamiliar, familiar characteristics become unrecognizable, perceptions and sensations changed; 2) Interacting with the physical world can be difficult, objects can be obstacles and seem to have a mind of their own; and 3) Experiences of adaptation to the new problematic world, striving for mastery over things in the environment which used to be easy.
Lipson-Smith, 2019	Participants identified 4 fundamentally important things that the built environment must achieve for stroke rehabilitation: 1) maximise efficiency (by minimising time, cost and maximising responsiveness of the space), 2) maximise clinical outcomes (by maximising patient activity, sleep and rest), 3) maximise emotional well-being for all users, and 4) maximise safety for all users. Participants also identified 14 means by which these 4 things could be achieved: Maximise adaptability, versatility, adequate technology, multipurpose circulation spaces, outdoor and green space, personal control over space, integration with community, aesthetics, indoor environmental quality, legibility, accessibility, and sight lines, adhere to safety guidelines and minimize manual handling.
O'Halloran, 2011	The medical chart (visible in the patient bedroom) was observed to facilitate communication between patients and healthcare providers. All the other observed physical environmental factors appeared to create barriers to communication, including background noise, lack of physical aids, small print on food menus, and lack of written information to aid recall.

- 1 O'Halloran, The physical environment predominantly acted as a barrier to communication between patients and health care providers via  
2 2012 e.g., high levels of background noise, visual distractions. Assistive communication devices were absent or frequently inaccessible.  
3 The lack of single-bed rooms made it more difficult to have conversations with patients.  
4  
5 Shannon, Higher proportion of single-bed rooms post-move. Overall, there was no difference in time spent in social activity between the  
6 2019 two wards, but there was more in-bed social activity in the pre-move ward than in the post-move ward (33% of time vs 8%,  $p =$   
7 0.03). Participants were more physically active in their bedrooms post-move compared to pre-move (47% of time vs 2%,  $p =$   
8 0.001).  
9  
10 Turner, Participants identified that the rehabilitation environment contributed to their feelings of disempowerment, lack of control, and  
11 2012 feeling of being in a time capsule, all of which they felt contributed to their post-stroke depression.

12 Abbreviations: AHR = Adaptable Healing Room; DASS = Depression Anxiety, Stress Scales; CI = Confidence Interval; FIM = Functional Independence Measure;  
13 EQ-5D = Euro-Quality of Life-5D questionnaire.  
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**Supplementary material 7**

Details of the results of methodological appraisal using the Mixed Methods Appraisal Tool (21).

Quality appraisal ratings of each study

First author, year	Criteria from the Mixed Methods Appraisal Tool																								
	1.1	1.2	1.3	1.4	1.5	2.1	2.2	2.3	2.4	2.5	3.1	3.2	3.3	3.4	3.5	4.1	4.2	4.3	4.4	4.5	5.1	5.2	5.3	5.4	5.5
Anaker, 2017	1	0	1	1	1											1	1		1	1	0	1	1	1	1
Anaker, 2018	1	0	1	1	1											1	1		1	1	1	1	1	1	1
Anaker, 2019	1	1	1	0	1																				
Anaker, 2020	1	1	1	1	1											0	0		0	1	1	1	1	1	0
Arbel, 2019	1	0	0	0	1						0	0	0	0	0						1	1	1	1	0
Daemen, 2014a	1	1	0	1	1											0	0		0	0	1	0	0	0	0
Daemen, 2014b	1	1	0	0	0																				
Janssen, 2014											0	1	0	1	1										
Kevdzija, 2018	1	1	1	1	1																				
Khan, 2016						1	1	1	1	1															
Lampinen, 2003	1	1	1	1	1																				
Lipson-Smith, 2019	1	1	1	1	1																				
O'Halloran, 2011	1	1	0	1	1																				
O'Halloran, 2012	1	1	1	1	1																				
Perovic, 2017											0	1	1	1	1										
Robertson, 2020											0	1	1	1	1										
Rosbergen, 2017a	1	1	1	1	1																				
Rosbergen, 2017b											0	1	1	1	1										
Rosbergen, 2019											0	1	1	1	1										
Shannon, 2019											0	1	1	0	1										
Skubik-Peplaski, 2015	1	1	1	1	1																				
Turner, 2012	1	1	0	0	0																				
White, 2014	1	1	1	1	1																				
White, 2015	1	1	1	1	1																				

1 = a score of 'yes'; 0 = a score of 'no' or 'can't tell'

Mixed methods studies were given the score of their lowest scoring criterion, as recommended by MMAT

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Criteria within the Mixed Methods Appraisal Tool

Category of study designs	Methodological quality criteria
1. Qualitative	1.1. Is the qualitative approach appropriate to answer the research question? 1.2. Are the qualitative data collection methods adequate to address the research question? 1.3. Are the findings adequately derived from the data? 1.4. Is the interpretation of results sufficiently substantiated by data? 1.5. Is there coherence between qualitative data sources, collection, analysis and interpretation?
2. Quantitative randomized controlled trials	2.1. Is randomization appropriately performed? 2.2. Are the groups comparable at baseline? 2.3. Are there complete outcome data? 2.4. Are outcome assessors blinded to the intervention provided? 2.5. Did the participants adhere to the assigned intervention?
3. Quantitative nonrandomized	3.1. Are the participants representative of the target population? 3.2. Are measurements appropriate regarding both the outcome and intervention (or exposure)? 3.3. Are there complete outcome data? 3.4. Are the confounders accounted for in the design and analysis? 3.5. During the study period, is the intervention administered (or exposure occurred) as intended?
4. Quantitative descriptive	4.1. Is the sampling strategy relevant to address the research question? 4.2. Is the sample representative of the target population? 4.3. Are the measurements appropriate? 4.4. Is the risk of nonresponse bias low? 4.5. Is the statistical analysis appropriate to answer the research question?
5. Mixed methods	5.1. Is there an adequate rationale for using a mixed methods design to address the research question? 5.2. Are the different components of the study effectively integrated to answer the research question? 5.3. Are the outputs of the integration of qualitative and quantitative components adequately interpreted? 5.4. Are divergences and inconsistencies between quantitative and qualitative results adequately addressed? 5.5. Do the different components of the study adhere to the quality criteria of each tradition of the methods involved?



# PRISMA 2009 Checklist

Section/topic	#	Checklist item	Reported on page #
<b>TITLE</b>			
Title	1	Identify the report as a systematic review, meta-analysis, or both.	1
<b>ABSTRACT</b>			
Structured summary	2	Provide a structured summary including, as applicable: background; objectives; data sources; study eligibility criteria, participants, and interventions; study appraisal and synthesis methods; results; limitations; conclusions and implications of key findings; systematic review registration number.	2
<b>INTRODUCTION</b>			
Rationale	3	Describe the rationale for the review in the context of what is already known.	4
Objectives	4	Provide an explicit statement of questions being addressed with reference to participants, interventions, comparisons, outcomes, and study design (PICOS).	5
<b>METHODS</b>			
Protocol and registration	5	Indicate if a review protocol exists, if and where it can be accessed (e.g., Web address), and, if available, provide registration information including registration number.	6
Eligibility criteria	6	Specify study characteristics (e.g., PICOS, length of follow-up) and report characteristics (e.g., years considered, language, publication status) used as criteria for eligibility, giving rationale.	7
Information sources	7	Describe all information sources (e.g., databases with dates of coverage, contact with study authors to identify additional studies) in the search and date last searched.	6
Search	8	Present full electronic search strategy for at least one database, including any limits used, such that it could be repeated.	Supp material 3
Study selection	9	State the process for selecting studies (i.e., screening, eligibility, included in systematic review, and, if applicable, included in the meta-analysis).	6
Data collection process	10	Describe method of data extraction from reports (e.g., piloted forms, independently, in duplicate) and any processes for obtaining and confirming data from investigators.	8
Data items	11	List and define all variables for which data were sought (e.g., PICOS, funding sources) and any assumptions and simplifications made.	Supp material 5
Risk of bias in individual studies	12	Describe methods used for assessing risk of bias of individual studies (including specification of whether this was done at the study or outcome level), and how this information is to be used in any data synthesis.	7
Summary measures	13	State the principal summary measures (e.g., risk ratio, difference in means).	N/A
Synthesis of results	14	Describe the methods of handling data and combining results of studies, if done, including measures of consistency (e.g., $I^2$ ) for each meta-analysis.	8



# PRISMA 2009 Checklist

Page 1 of 2

Section/topic	#	Checklist item	Reported on page #
Risk of bias across studies	15	Specify any assessment of risk of bias that may affect the cumulative evidence (e.g., publication bias, selective reporting within studies).	N/A
Additional analyses	16	Describe methods of additional analyses (e.g., sensitivity or subgroup analyses, meta-regression), if done, indicating which were pre-specified.	N/A
<b>RESULTS</b>			
Study selection	17	Give numbers of studies screened, assessed for eligibility, and included in the review, with reasons for exclusions at each stage, ideally with a flow diagram.	Fig 1
Study characteristics	18	For each study, present characteristics for which data were extracted (e.g., study size, PICOS, follow-up period) and provide the citations.	Table 2
Risk of bias within studies	19	Present data on risk of bias of each study and, if available, any outcome level assessment (see item 12).	Supp material 7
Results of individual studies	20	For all outcomes considered (benefits or harms), present, for each study: (a) simple summary data for each intervention group (b) effect estimates and confidence intervals, ideally with a forest plot.	Supp material 6
Synthesis of results	21	Present results of each meta-analysis done, including confidence intervals and measures of consistency.	14-19
Risk of bias across studies	22	Present results of any assessment of risk of bias across studies (see Item 15).	N/A
Additional analysis	23	Give results of additional analyses, if done (e.g., sensitivity or subgroup analyses, meta-regression [see Item 16]).	N/A
<b>DISCUSSION</b>			
Summary of evidence	24	Summarize the main findings including the strength of evidence for each main outcome; consider their relevance to key groups (e.g., healthcare providers, users, and policy makers).	20-21
Limitations	25	Discuss limitations at study and outcome level (e.g., risk of bias), and at review-level (e.g., incomplete retrieval of identified research, reporting bias).	23
Conclusions	26	Provide a general interpretation of the results in the context of other evidence, and implications for future research.	23
<b>FUNDING</b>			
Funding	27	Describe sources of funding for the systematic review and other support (e.g., supply of data); role of funders for the systematic review.	24

From: Moher D, Liberati A, Tetzlaff J, Altman DG, The PRISMA Group (2009). Preferred Reporting Items for Systematic Reviews and Meta-Analyses: The PRISMA Statement. PLoS Med 6(7): e1000097. doi:10.1371/journal.pmed1000097

For more information, visit: [www.prisma-statement.org](http://www.prisma-statement.org).

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