

BMJ Open is committed to open peer review. As part of this commitment we make the peer review history of every article we publish publicly available.

When an article is published we post the peer reviewers' comments and the authors' responses online. We also post the versions of the paper that were used during peer review. These are the versions that the peer review comments apply to.

The versions of the paper that follow are the versions that were submitted during the peer review process. They are not the versions of record or the final published versions. They should not be cited or distributed as the published version of this manuscript.

BMJ Open is an open access journal and the full, final, typeset and author-corrected version of record of the manuscript is available on our site with no access controls, subscription charges or pay-per-view fees (http://bmjopen.bmj.com).

If you have any questions on BMJ Open's open peer review process please email info.bmjopen@bmj.com

BMJ Open

Clinical, Behavioral and Social Factors Associated with Racial Disparities in Hospitalized and Ambulatory COVID-19 Patients from an Integrated Health Care System in Georgia

Journal:	BMJ Open
Manuscript ID	bmjopen-2020-044052
Article Type:	Original research
Date Submitted by the Author:	23-Aug-2020
Complete List of Authors:	Lobelo, Felipe; Southeast Permanente Medical Group Inc, Quality and Patient Safety Bienvenida, Alan; Southeast Permanente Medical Group Inc Leung, Serena; Southeast Permanente Medical Group Inc Mbanya, Armand N; Southeast Permanente Medical Group Inc Leslie, Elizabeth; Southeast Permanente Medical Group Inc Koplan, Kate; Southeast Permanente Medical Group Inc Shin, S. Ryan; Southeast Permanente Medical Group Inc
Keywords:	COVID-19, EPIDEMIOLOGY, Quality in health care < HEALTH SERVICES ADMINISTRATION & MANAGEMENT, Public health < INFECTIOUS DISEASES

SCHOLARONE™ Manuscripts



I, the Submitting Author has the right to grant and does grant on behalf of all authors of the Work (as defined in the below author licence), an exclusive licence and/or a non-exclusive licence for contributions from authors who are: i) UK Crown employees; ii) where BMJ has agreed a CC-BY licence shall apply, and/or iii) in accordance with the terms applicable for US Federal Government officers or employees acting as part of their official duties; on a worldwide, perpetual, irrevocable, royalty-free basis to BMJ Publishing Group Ltd ("BMJ") its licensees and where the relevant Journal is co-owned by BMJ to the co-owners of the Journal, to publish the Work in this journal and any other BMJ products and to exploit all rights, as set out in our licence.

The Submitting Author accepts and understands that any supply made under these terms is made by BMJ to the Submitting Author unless you are acting as an employee on behalf of your employer or a postgraduate student of an affiliated institution which is paying any applicable article publishing charge ("APC") for Open Access articles. Where the Submitting Author wishes to make the Work available on an Open Access basis (and intends to pay the relevant APC), the terms of reuse of such Open Access shall be governed by a Creative Commons licence – details of these licences and which Creative Commons licence will apply to this Work are set out in our licence referred to above.

Other than as permitted in any relevant BMJ Author's Self Archiving Policies, I confirm this Work has not been accepted for publication elsewhere, is not being considered for publication elsewhere and does not duplicate material already published. I confirm all authors consent to publication of this Work and authorise the granting of this licence.

1	Clinical, Behavioral and Social Factors	Associated with	Racial Disparities in	1 Hospitalized

- 2 and Ambulatory COVID-19 Patients from an Integrated Health Care System in Georgia
- 4 Felipe Lobelo, MD PhD^{1,2*}; Alan Bienvenida, MPH¹; Serena Leung, MPH¹; Armand Mbanya,
- 5 MD Msc ²; Elizabeth J Leslie, MA¹; Kate E Koplan, MD MPH¹; S. Ryan Shin, MD MA¹
- 7 Department of Quality and Patient Safety, The Southeast Permanente Medical Group, Kaiser
- 8 Permanente Georgia and ² Hubert Department of Global Health, Rollins School of Public Health,
- 9 Emory University, Atlanta, Georgia.
- 11 Please address correspondence to:
- Felipe Lobelo, MD PhD
- 13 Physician Program Director Epidemiology, Public Health and Preparedness
- and Senior Physician Consultant, Population Health Research
- 15 Department of Quality and Patient Safety
- 16 The Southeast Permanente Medical Group; Kaiser Permanente Georgia
- 17 3495 Piedmont Road NE; 9 Piedmont Center, 3rd floor
- 18 Atlanta GA 30305-1736
- 19 P: (470) 825-6846
- 20 <u>Felipe.lobelo@kp.org</u>; felipelobelo@emory.edu

and female models (ORs from 0.22 to 0.64)

ABSTRACT

Introduction: Racial and ethnic minorities have shouldered a disproportioned burden of coronavirus disease 2019 (COVID-19) infection, but data on the various drivers of these disparities is limited. Methods: Case series of consecutive KPGA members with confirmed COVID-19 seen at Kaiser Permanente Georgia (KPGA) from March 3 to May 12, 2020. Multivariable analyses for hospitalization risk were performed among laboratory-confirmed COVID-19 patients and on 3489 persons under investigation (PUI) with suspected infection. Models included COVID-19 treatment and outcomes, underlying comorbidities and quality of care management metrics, socio-demographic and other individual and community-level social determinants of health (SDOH) indicators. **Results**: Of 448 KPGA COVID-19 positive members, 68,3% were non-Hispanic Black (n=306). 18% non-Hispanic White (n=81) and 13,7% Other race (n=61). Median age was 54 [IQR 43-63) years. Overall, 224 patients were hospitalized, median age 60 (50-69) years. Black race was a significant hospitalization risk factor in the Confirmed + PUI, female and male models (ORs from 1.98 to 2.19). Obesity was associated with higher hospitalization odds in the confirmed, confirmed + PUI, Black and male models (ORs from 1.78 to 2.77). Those with adequate chronic disease management (diabetes, hypertension, hyperlipidemia) had 48% to 35% lower odds of hospitalization in the confirmed + PUI and Black models. Self-reported physical inactivity was associated with 50% higher hospitalization odds in the Black and Female models. Residence in Northeast Atlanta was associated with lower hospitalization odds in the Confirmed + PUI, White

Conclusions: Non-Hispanic Black KPGA members had twice the risk of hospitalization compared to other race groups. We found no significant differences in clinical outcomes or mortality across race/ethnicity groups. Beyond well-known physiologic and clinical factors, individual and community-level SDOH indicators and health behaviors must be considered as interventions designed to reduce COVID-19 racial disparities are implemented.

ARTICLE SUMMARY: STRENGHTS AND LIMITATIONS OF THIS STUDY

- Racial and ethnic minorities have shouldered a disproportioned burden of coronavirus disease 2019 (COVID-19) infection to date in the United States and across the world, but data on the various clinical and social drivers of these disparities is limited.
- In this retrospective cohort study of 448 consecutive patients with confirmed Coronavirus disease 2019, Black members had a higher risk of infection and hospitalization but no significant differences in mortality across race groups. In addition to age, sex and presence of comorbidities, pre-pandemic self-reported exercise, control of underlying chronic diseases, and location of residence were significantly associated with hospitalization risk by race groups
- As a limitation, the target population in this analysis included only KPGA members that by definition have insurance and ready access to health care services
- To our knowledge, this investigation is the first COVID-19 retrospective cohort to include a
 multivariate analysis on multiple measures of SDOH and pre-pandemic comorbidity
 management. Clinical, behavioral and social factors should be considered as interventions
 designed to reduce COVID-19 disparities are implemented
- Data sharing statement: All data relevant to the study are included in the article. No additional data is available.

MANUSCRIPT TEXT

Introduction

As of July 2020, despite having 4.25% of the global population, the United States (US) has contributed a quarter of the more than 10 million cases and 500,000 deaths recorded globally due to coronavirus disease 2019 (COVID-19).(1) In the US — the current epicenter of the pandemic — it has been widely reported that Black/African Americans (AA) and other racial/ethnic minorities, particularly those living in large and diverse urban centers, shoulder a disproportionate burden of COVID-19 infection risk and associated adverse health outcomes.(2-6)

Earlier descriptive studies from patients admitted during March/April 2020 in Georgia showed an over-representation of COVID-19 hospitalizations and death rates among the Black/AA population.(7, 8) Subsequent reports from two large health care systems in Louisiana and California, and from the Veterans Affairs health system(9) also found racial disparities in COVID-19 outcomes and clinical risk factors for hospitalization. These reports also theorized that chronic disease control, health behaviors, social and other factors may contribute to such disparities.^{(3, 5),(7),(8)} However, limited availability of quality of care history and social determinants of health metrics in most medical health records has precluded a comprehensive, broader analyses of potential drivers of these racial disparities.

The U.S. Census Bureau reports the racial/ethnic demographic distribution of Georgia as 58.3% White alone, 31.6% Black/AA, 9.7% Latino or Hispanic, and 4.1% Asian.(10) As of May 12th, the Georgia Department of Public Health (DPH) reported confirmed COVID-19 cases by race/ethnicity as 35.1% Black/AA, 30% white, 10.1% Hispanic or Latino and 1.4% Asian(11). This overrepresentation of Black/AA and other minority populations has been observed in other

Georgia counties.(11) Kaiser Permanente Georgia (KPGA) is a regional integrated health care system serving over 300,000 members in 32 counties located in the Atlanta Metropolitan Area and the Northeast region of the state. As of April 2020, KPGA membership is 43% Black/AA, 30% White, 5% Asian, 4% Hispanic or Latino (18% Unknown/other), which more closely resembles metro Atlanta than overall Georgia.(12) In this study, we conducted a descriptive analysis of KPGA members with suspected and laboratory-confirmed COVID-19. KPGA's robust electronic health record (EHR) data enabled analyses throughout the continuum of care including pre-pandemic underlying disease control, COVID-19 outpatient/inpatient management and post-discharge, with a particular focus on racial/ethnic comparisons. In addition, we conducted multivariable analyses for hospitalization risk based on demographics, comorbidities, quality of care metrics, lifestyle behaviors and other available individual and community-level social determinants of health indicators.

105 Methods

For this retrospective cohort study, we performed an EHR review of KPGA members seen with COVID-19 related symptoms between March 3, 2020 and May 12, 2020. Given the nature of this study, it was not appropriate or possible to involve patients or the public in the design, or conduct, or reporting, or dissemination plans of our research. Patients were screened according to the Centers for Disease Control (CDC) and Georgia DPH guidelines.(13, 14) Patients who met criteria were tested for severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) by polymerase chain reaction (PCR). Due to limits on the testing capacity in Georgia during this period, patients with symptoms or exposures consistent with SARS-CoV-2 were categorized as having been tested or as a person under investigation (PUI). Patients who

received tests were further categorized as confirmed or ruled-out. At the start of the epidemic in our region, KPGA prioritized testing among symptomatic health care workers and/or with relevant exposures and symptomatic KPGA members requiring hospital admission. After April 22, testing was progressively expanded to include clinical dispositions dependent on test results (pre-operative clearance, dialysis pending, skilled nurse facility or hospice placement), high risk symptomatic patients based on clinical criteria (>65 years, immunocompromise, chronic obstructive pulmonary disease (COPD), moderate-to-severe asthma, serious heart condition, Body Mass Index (BMI)>40, diabetes, chronic kidney disease (CKD), liver disease, pregnancy) and symptomatic patients with public health implications (non-KPGA healthcare workers, first responders, jail and elder care employees, etc.)

Patient Demographics

We characterized confirmed SARS-CoV-2 and PUI patients by age, sex, self-reported race/ethnicity, insurance type, and area of residence. Race/ethnicity was categorized as non-Hispanic Black/AA (confirmed n=306; 68,3%), non-Hispanic White (n=81; 18%), and Other (n=61; 13,7%), which included Hispanic or Latino (n=16), Asian (n=15), Native American (n=1) and unknown/declined to report (n=29).

We obtained patient's location of residence from the EHR and categorized it into four different regions of metro Atlanta: Northeast, Northwest, Southeast, and Southwest. Residence location was also linked to the neighborhood deprivation index (NDI), a composite SDOH measure including income, education, employment and housing quality.(15, 16) The higher the NDI value, the higher the level of deprivation in the neighborhood.(15, 16) We also utilized ESRI® Business Analyst data, a comprehensive demographic and lifestyle database which

provides data to help interpolate patient's socioeconomic status(17). Specifically, we linked patients' places of residency with ESRI's® zip code level classifications of median household income, occupation, and educational attainment. We used this data to cross-reference median household income with the government-defined poverty line.(18)

Patient and public involvement: Given the nature of this study, it was not appropriate or possible to involve patients or the public in the design, or conduct, or reporting, or dissemination plans of our research.

Comorbidities

Existing comorbidities of SARS-CoV-2 confirmed patients were obtained from the patient's EHR as classified by the International Statistical Classification of Diseases and Related Health Problems codes (ICD-10)(19). The Charlson Comorbidity Index (CCI) was used as a continuous measure of total comorbidity burden.(20) We used pharmacy dispensing data to compile the frequency of outpatient medications used by patients.

We used Healthcare Effectiveness Data and Information Set (HEDIS)(21) as a marker of hypertension (blood pressure reading lower than <140/90mm Hg) and diabetes control (glycated hemoglobin HbA1c <8%) within a minimum rolling 12-month period.

Using KPGA's Exercise Vital Sign (EVS) data, patient's physical activity levels were classified as inactive, insufficiently active, and sufficiently active for those self-reporting ≤10 minutes, 11-149 minutes, and ≥150 minutes of exercise/week, respectively. The EVS has been previously validated(22) and is considered a clinically relevant screening tool for physical activity behaviors in the health care setting(23, 24).

Clinical Outcomes

Hospitalized patients with confirmed COVID-19 were characterized by hospital length of stay (LOS), ICU LOS, invasive mechanical ventilation initiation and length of use, hospital discharge, readmission, currently hospitalized (n=20; 8.9%), and deceased. Instances of admission and discharge on the same date were defined as LOS of one day. Mechanical ventilation data was compiled using an ICD-10 code flagging instances of emergency endotracheal intubation during hospital stay. Once identified, a manual chart review was conducted for each eligible patient to calculate the length of mechanical ventilation, from intubation to extubation or death. Readmissions were defined as instances of subsequent admission within 30 days to a hospital after recent discharge. We conducted manual record reviews to distinguish between encounters of readmission and patient transfers from a hospital to Q. another medical facility.

Statistical Analysis

We report numbers (percentages) for binary and categorical variables and medians (interquartile ranges, IQR) for continuous variables. Chi-square tests ANOVAs and two sample t-tests were used to determine significant differences between groups. For two sample t-tests with statistically unequal variances, the Satterthwaite method was applied and reported.

Multivariable logistic regression was used to explore factors associated with having a COVID-19 related hospitalization in seven different models: COVID-19 confirmed cases only, confirmed cases plus PUIs, and confirmed cases plus PUIs stratified by race/ethnicity (Black/AA, White, Other) and by sex (Male and Female). All multivariable logistic regression models included age, gender and race/ethnicity as independent variables and hospitalization as

the dependent variable. All additional independent variables were assessed using a bivariate analysis, either chi-squared or two sample t-test, and only the variables showing evidence of a statistically significant (α =0.05) relationship with the dependent variable were considered for entry into the models. A subset of the dependent variables was considered for the confirmed cases model due to the reduced sample size of the population. Stepwise selection method was used for final dependent variable selection with effect entry and effect remain significance levels of 0.05. All data analysis was conducted using SAS 9.4 software.

The KPGA institutional review board approved this study with a waiver of informed consent. All data relevant to the study are included in the article. No additional data is available.

Results

Epidemiologic Characteristics

Within the study period we screened 6,568 patients, tested 2,920 (44.5%) and 448 (15.3% of tested) patients were positive for SARS-CoV-2. The median age of confirmed positive patients was 54 [IQR, 43-63] years old. Black/AA patients resided in neighborhoods with the highest rate under the federal poverty level (14.2%), unfavorable NDI (0.45), and the highest mean percentage of frontline (35.7%) and healthcare workers (7.5%). (Table 1). The highest percentage of the KPGA members with confirmed SARS-COV-2 resided in the Northeast Metro Atlanta area (31.5%). However, different areas of metro Atlanta showed varying prevalence of KPGA members with confirmed SARS-COV-2 when stratified by race/ethnicity. More Black/AA and Other race patients lived in the Southern areas of metro Atlanta which visible correlates with more socially deprived neighborhoods. (Figure)

Clinical Characteristics

Black/AA patients had higher rates of obesity (67.3%), hypertension (54.9%), and 2 or more comorbidities (66.3%). White patients presented with higher rates of hyperlipidemia (50.6%), congestive heart failure (CHF; 24.7%), coronary artery disease (CAD; 13.6%), arrhythmia (13.8%), CKD (11.1%) and overall CCI Scores (3.2 [2.2]) (all p<0.001) (Table 2).

Compared to other race/ethnicity groups, White patients had the highest rate of diabetes control (14.8%) but Black/AA patients had higher rates of blood pressure control (27.5%) as measured by HEDIS measures. Black/AA patients self-reported the least mean [SD] average weekly exercise minutes in all race/ethnicity groups (61.9 [88.1]; p <0.001). The prevalence of physical inactivity was higher for both Black/AA and White females compared to Other race females (38.7% vs. 41.5% vs 7.4%; all p <0.001, respectively).

Clinical Outcomes of Hospitalization

Overall, 224 patients with laboratory confirmed COVID-19 were hospitalized with 248 hospital stays, a median age of 60 (50-69) and a median length of stay of 6 (3-11.3) days. (Exhibit 3) There were no significant differences between Black/AA and White patients in ICU admission, ICU LOS, invasive mechanical ventilation and death (8.1% vs. 14.6%). Black/AA females were hospitalized on average 2.4 days longer than white females (95% CI 0.11 to 4.6; p \leq 0.05). White females had higher 30-day readmission rates than Black/AA females (17.9% vs. 4%; p \leq 0.05). Other race females showed significantly higher rates of invasive mechanical ventilation compared to Black/AA and White females (50% vs. 17% vs 10.7%, p \leq 0.05; respectively) (Table 3).

Multivariable Analysis and Factors Associated with Hospitalization

Increasing age was a significant risk factor in all models and females had lower hospitalization odds in the confirmed, confirmed + PUI, Black/AA and Other race models (ORs ranging from 0.33 to 0.51) (Table 4). Black/AA race was a significant factor in the Confirmed + PUI, female and male models (ORs ranging from 1.98 to 2.19). Obesity was associated with higher hospitalization odds in the confirmed, confirmed +PUI, Black/AA and male models (ORs ranging from 1.78 to 2.77). Every point increase in the CCI Index showed increased hospitalization odds in the White model (OR 1.35 95% CI 1.15 to 1.59; p<0.001) while patients with 2 or more Comorbidities had higher hospitalization odds in the Female model (OR 2.38 95% CI 1.43 to 3.94; p<0.001). Cardio-metabolic disease management and control metrics (diabetes, hypertension, hyperlipidemia) were associated with lower odds of hospitalization ranging from 48% to 35% in the confirmed + PUI and Black/AA models.

Self-reported physical inactivity was associated with 50% higher hospitalization odds in the Black/AA and Female models. Residence in the Northeast region of Atlanta was associated with lower hospitalization odds in the Confirmed + PUI, White and female models (ORs ranging from 0.22 to 0.64)

Discussion

This study shows an over-representation of Black/AA populations and other minorities in both the outpatient and inpatient phases of care for COVID-19 in an integrated care system, similar to previous reports (4, 6-9) Although a higher number of Black/AA KPGA members with COVID-19 were hospitalized (69.8%) than other races, there were no significant differences between racial/ethnic groups in ICU admission and duration, invasive ventilation and duration,

30-day readmissions, and mortality. However, further stratification by sex showed Black/AA females were hospitalized on average 2.4 days longer than white females, white females had higher 30-day readmission rates than Black/AA females (17.9% vs. 4%; p \leq 0.05) and Other race females had higher rates of invasive mechanical ventilation compared to both Black/AA and White females (50% vs. 17% vs 10.7%, p \leq 0.05; respectively). Previous studies reported similar clinical outcomes between Black/AA and non-Black hospitalized COVID-19 patients in Georgia(7, 8) and some but not all previous report have also showed no difference in clinical outcomes between racial/ethnic groups.(9)

Compared to White KPGA members, a higher percentage of Black/AA members with COVID-19 were female, younger, and more likely to reside in neighborhoods with median household income less than \$75,000. Furthermore, Black/AA patients also reside at a higher proportion in neighborhoods with the highest rate of households below the federal poverty level (14.2%), positive neighborhood deprivation index (0.45), and the highest percentage of frontline (35.7%) and healthcare workers (7.5%) compared to other racial groups. All of these factors were associated with an increased risk of COVID-19 infection in our findings. In addition, Black/AA patients had the highest prevalence of obesity, hypertension, and presence of 2 or more comorbidities, all associated with increased disease severity in our analysis, as was found in previous reports.(4) However, we found the comorbidity burden was somewhat different by race, with White patients in our sample being on average older and showing higher CCI scores compared to Black/AA patients, and a different mix of specific underlying conditions (hyperlipidemia, CAD, CHF, arrythmia, CKD). Although there is a high prevalence of obesity, diabetes and other chronic diseases in the US population, (25-27) these findings suggest that a different comorbidity profile may influence COVID-19 disease severity across racial groups.

Similar to previous reports, our multivariable analysis revealed males were consistently more likely to be hospitalized while increasing age, obesity and hypertension were predominant factors associated with higher odds of hospitalization in all models.(7, 8) Black/AA race, diabetes, COPD, CHF, and CKD were also significant factors in different models. Of note, in the confirmed + PUIs model we detected a significant protective effect of outpatient hypertension and lipid management. Furthermore, Black/AA patients with adequate HEDIS blood pressure and diabetes control were significantly less likely to be hospitalized. Overall, these findings confirm that although the presence of various comorbidities is associated with COVID-19 admission, emphasis on providing adequate clinical management of baseline cardio-metabolic diseases could help ameliorate hospitalization rates. As the pandemic progresses over time, enhanced measures to ensure high quality of care for patients with multiple comorbidities should be reinforced, including those that leverage novel avenues of care including telemedicine and patient-generated actionable data, as well as sustainable linkages with community resources.(28, 29)

Beyond demographic and underlying comorbidity burden and management, our analyses also took into account the potential role of additional SDOH, including indicators of education, economic stability, neighborhood and physical environment and lifestyle behaviors. Of these metrics, we found that residence in the Northeast area of metro Atlanta was one of the most powerful protective factors for hospitalization in the Confirmed + PUI model, particularly for White and female KPGA members. Counties in the NE region have consistently higher levels of safety, quality housing, green space, education and income and have a lower prevalence of obesity compared to the southern regions of KPGA's catchment area(30, 31).

Furthermore, self-reported physical inactivity — engaging in less than 10 minutes of moderate to vigorous exercise/week — increased by 50% the odds of hospitalization among Black/AA and female populations. Several biologic mechanisms may explain this novel association. Physical inactivity is a consistent risk factor for a plethora of chronic diseases shown to also increase COVID-19 severity.(32) Increased inactivity and sedentary time and related comorbidities are also associated with an increased low-grade chronic inflammatory state, (33) which may contribute to the known increased systemic inflammatory effects of SARS-COV-2. In addition to being a modulator of inflammation, regular moderate exercise is also an important immunomodulator, particularly of the virus-fighting cytotoxic immune response. (34) This is reinforced by epidemiologic studies showing a link between moderate-to-vigorous regular exercise and a lower risk of upper respiratory tract viral infections – including influenza and pneumonia – as well as improved vaccine responses.(35) Although previous reports have shown that self-reported exercise is a predictor of clinical outcomes (24), it is noteworthy that physical inactivity remained a significant correlate of hospitalization risk in our study population, after adjusting for traditional "hard" risk factors such as age, BMI, comorbidity burden and therapeutic management. This reinforces the clinical value of promoting fitness and an active lifestyle, preferably outdoors, to reduce the risk of infection and disease severity of a novel infectious agent such as SARS-COV-2.(36)

This study has some limitations. Limited testing availability in the early stages of the pandemic — globally and in Georgia — led to prioritizing those with the most symptomatic and severe disease requiring admission, as well as testing healthcare workers to prevent further nosocomial infection. For this reason, we included in our analysis not only laboratory-confirmed but also persons under investigation seeking care with COVID-like symptoms. However, we

acknowledge that not all PUIs would necessarily have SARS-COV-2. The target population in this analysis included only KPGA members that by definition have insurance and ready access to health care services. However, our analysis showed a diverse socioeconomic background of KPGA members. Merging racial/ethnic groups with low sample sizes into a combined "Other" race category was necessary for statistical power reasons but limits the interpretation of findings for this group. Finally, despite having some SDOH indicators in our member's EHR, we also included neighborhood level data to extrapolate additional SDOH metrics. Ongoing investigation of drivers in COVID-19 disparities will benefit from more individual level SDOH data. Despite these limitations, by integrating underlying chronic disease management history, outpatient information, hospitalization, clinical outcomes and post-discharge follow-up data, this study provides a comprehensive longitudinal assessment of COVID-19 patients in relation to racial/ethnic disparities.

To our knowledge, this investigation is the first COVID-19 retrospective cohort to include a multivariate analysis on multiple measures of SDOH and pre-pandemic comorbidity management. Our study suggests that, within our sample of KPGA members with ready access to insurance and high quality of care within an integrated health system, Black/AA members were still being disproportionately affected by COVID-19 risk of infection and hospitalization. However, we found no significant differences in clinical outcomes such as ICU length of stay or mortality across race/ethnicity groups. Location of residence, a proxy for the overall community context of our patients, appears to be a factor strongly associated with increased infection risk among Black/AA and other minorities. SDOH have also shown to contribute to a more unfavorable baseline health status and therefore, can indirectly impact COVID-19 risk of hospitalization and severity.(6) In addition to age, sex, location of residence and presence of

comorbidities, pre-pandemic self-reported exercise levels and underlying cardio-metabolic
disease control may also significantly impact hospitalization risk in different race groups.
Therefore, beyond well-known physiologic and clinical factors, individual and community-level
social factors and health behaviors must be considered by clinicians, health care systems(37) and
public health stakeholders (6) as interventions designed to reduce COVID-19 disparities and the
systemic effects of racism(38) are implemented.
Acknowledgements: Special thanks to all the clinicians, providers and staff of the Southeast
Permanente Medical Group and Kaiser Permanente Georgia.
Funding: None to report
Author Contributions : Drs Lobelo and Shin had full access to all the data in the study and take responsibility for the integrity of the data and the accuracy of the data analysis.
Concept and design: Shin, Lobelo, Koplan
Acquisition, analysis, or interpretation of data: Lobelo, Bienvenida, Leung, Mbanya, Leslie, Shin
Drafting of the manuscript: Lobelo, Shin, Bienvenida, Leung, Mbanya, Leslie
Critical revision of the manuscript for important intellectual content: Lobelo, Koplan, Shin
Statistical analysis: Leung, Leslie
Administrative, technical, or material support: Lobelo, Koplan, Shin
Supervision: Lobelo, Koplan, Shin

References

- 373 1. World Health Organization. Coronavirus disease (COVID-19) Situation Report 164
- July 2nd 2020 [Available from: https://www.who.int/docs/default-source/coronaviruse/situation-
- 375 <u>reports/20200702-covid-19-sitrep-164.pdf?sfvrsn=ac074f58_2</u>.
- 2. Price-Haywood EG, Burton J, Fort D, Seoane L. Hospitalization and Mortality among
- Black Patients and White Patients with Covid-19. N Engl J Med 2020;382(26):2534-2543
- 378 doi:101056/NEJMsa2011686. 2020.
- 379 3. Azar KMJ, Shen Z, Romanelli RJ, Lockhart SH, Smits K, Robinson S, et al. Disparities
- 380 In Outcomes Among COVID-19 Patients In A Large Health Care System In California.
- [published online ahead of print, 2020 May 21]. Health Aff (Millwood)
- 382 2020;101377hlthaff202000598 doi:101377/hlthaff202000598. 2020.
- 383 4. Suleyman G, Fadel RA, Malette KM, Hammond C, Abdulla H, Entz A, et al. Clinical
- Characteristics and Morbidity Associated With Coronavirus Disease 2019 in a Series of Patients
- in Metropolitan Detroit. JAMA Netw Open. 2020;3(6):e2012270.
- 386 5. Millett GA, Jones AT, Benkeser D, Baral S, Mercer L, Beyrer C, et al. Assessing
- Differential Impacts of COVID-19 on Black Communities [published online ahead of print, 2020]
- 388 May 14]. Ann Epidemiol 2020;101016/jannepidem202005003
- 389 doi:101016/jannepidem202005003. 2020.
- 390 6. Centers for Disease Control and Prevention. National Center for Immunization and
- Respiratory Diseases (NCIRD) DoVD. COVID-19 in Racial and Ethnic Minority Groups

- 392 Atlanta, GA2020 [Available from: https://www.cdc.gov/coronavirus/2019-ncov/need-extra-
- 393 precautions/racial-ethnic-minorities.html.
- 394 7. Gold JA WK, Szablewski CM. Characteristics and Clinical Outcomes of Adult Patients
- Hospitalized with COVID-19 Georgia, March 2020. MMWR Morb Mortal Wkly Rep 2020.
- 396 March 2020;69(18):545-50.
- 8. Killerby ME L-GR, Haight SC. Characteristics Associated with Hospitalization Among
- Patients with COVID-19 Metropolitan Atlanta, Georgia, March–April 2020. MMWR Morb
- 399 Mortal Wkly Rep 2020. 2020.
- 400 9. Rentsch CT, Kidwai-Khan F, Tate JP, Park LS, King Jr JT, Skanderson M, et al. Covid-
- 401 19 by Race and Ethnicity: A National Cohort Study of 6 Million United States Veterans.
- 402 Preprint. medRxiv 2020;2020051220099135 Published 2020 May 18
- 403 doi:101101/2020051220099135. 2020.
- 404 10. United States Census Bureau. Georgia 2020 [Available from:
- https://data.census.gov/cedsci/profile?q=Georgia&g=0400000US13&tid=ACSDP1Y2018.DP05.
- 406 11. Georgia Department of Public Health. Georgia Department of Public Health Daily Status
- Report 2020 [updated 6/6/2020. Available from: https://dph.georgia.gov/covid-19-daily-status-
- 408 report.
- 409 12. Metro Atlanta Chamber. Profile of Metro Atlanta 2020 [Available from:
- 410 https://www.metroatlantachamber.com/resources/reports-and-information/executive-profile.

- 411 13. National Center for Immunization and Respiratory Diseases (NCIRD) Division of Viral
- Diseases. Standard Operating Procedure (SOP) for Triage of Suspected COVID-19 Patients in
- 413 non-US Healthcare Settings: Early Identification and Prevention of Transmission during Triage:
- Centers for Disease Control and Prevention; 2020 [updated May 28th 2020. Available from:
- 415 https://www.cdc.gov/coronavirus/2019-ncov/hcp/non-us-settings/sop-triage-prevent-
- 416 <u>transmission.html</u>.

- 417 14. Georgia Department of Public Health. COVID-19: Guidance for Healthcare Professionals
- 418 2020 [updated April 16, 2020. Available from: https://dph.georgia.gov/covid-19-guidance-
- 419 <u>healthcare-professionals</u>.
- 420 15. Andrews MR, Tamura K, Claudel SE, Xu S, Ceasar JN, Collins BS, et al. Geospatial
- analysis of neighborhood deprivation index (NDI) for the United States by county. Journal of
- 422 Maps. 2020;16(1):101-12.
- 423 16. Messer LC, Laraia BA, Kaufman JS, Eyster J, Holzman C, Culhane J, et al. The
- development of a standardized neighborhood deprivation index. J Urban Health.
- 425 2006;83(6):1041-62.
- 426 17. ArcGIS. Esri Demographics Tapestry Segmentation: ArcGIS; 2019 [updated 2019.
- 427 Available from: https://doc.arcgis.com/en/esri-demographics/data/tapestry-segmentation.htm.
- 428 18. Office of the Assistant Secretary for Planning and Evaluation. U.S. Federal Poverty
- Guidelines Used to Determine Financial Eligibility for Certain Federal Programs: U.S
- Department of Health & Human Services; 2020 [updated January 17th 2020. Available from:
- 431 https://aspe.hhs.gov/poverty-guidelines.

- World Health Organization. The ICD-10 classification of mental and behavioural
- disorders: diagnostic criteria for research1993.
- 20. Deyo RA, Cherkin DC, Ciol MA. Adapting a clinical comorbidity index for use with
- 435 ICD-9-CM administrative databases. Journal of clinical epidemiology. 1992;45(6):613-9.
- 436 21. National Committee for Quality Assurance (NCQA). HEDIS Measures and Technical
- 437 Resources 2020 [Available from: https://www.ncqa.org/hedis/measures/.
- 438 22. Coleman KJ, Ngor E, Reynolds K, Quinn VP, Koebnick C, Young DR, et al. Initial
- validation of an exercise "vital sign" in electronic medical records. Medicine & Science in Sports
- 440 & Exercise. 2012;44(11):2071-6.
- 441 23. Young DR, Coleman KJ, Ngor E, Reynolds K, Sidell M, Sallis RE. Associations between
- physical activity and cardiometabolic risk factors assessed in a Southern California health care
- 443 system, 2010-2012. Preventing chronic disease. 2014;11:E219.
- Lobelo F, Rohm Young D, Sallis R, Garber MD, Billinger SA, Duperly J, et al. Routine
- 445 Assessment and Promotion of Physical Activity in Healthcare Settings: A Scientific Statement
- From the American Heart Association. Circulation. 2018;137(18):e495-e522.
- 447 25. Hales CM, Carroll MD, Fryar CD, Ogden CL. Prevalence of obesity and severe obesity
- among adults: United States, 2017–2018. NCHS Data Brief, no 360 Hyattsville, MD: National
- Center for Health Statistics 2020. 2020.
- 450 26. Centers for Disease Control and Prevention. National Diabetes Statistics Report A, GA:
- Centers for Disease Control and Prevention, U.S. Dept of Health and Human Services; 2020. . . .

- Clark A, Jit M, Warren-Gash C, Guthrie B, Wang HHX, Mercer SW, et al. Global,
- regional, and national estimates of the population at increased risk of severe COVID-19 due to
- underlying health conditions in 2020: a modelling study. The Lancet Global health.
- 2020; published online ahead of print, 2020 Jun 15]. Lancet Glob Health. 2020; S2214-
- 456 109X(20)30264-3. doi:10.1016/S2214-109X(20)30264-3.
- 457 28. Ceriello A, Schnell O. COVID-19: Considerations of Diabetes and Cardiovascular
- Disease Management. Journal of diabetes science and technology. 2020:1932296820930025.
- 459 29. Shabto JM, Loerinc L, O'Keefe GA, O'Keefe J. Characteristics and outcomes of COVID-
- 460 19 positive patients with diabetes managed as outpatients. Diabetes research and clinical
- 461 practice. 2020;164:108229.

- 462 30. Emory University. COVID-19 Health Equity Interactive Dashboard 2020 [Available
- 463 from: https://covid19.emory.edu/.
- 464 31. County Health Rankings & Roadmaps. 2020 Georgia Report 2020 [Available from:
- https://www.countyhealthrankings.org/reports/state-reports/2020-georgia-report.
- 466 32. Powell KE, King AC, Buchner DM, Campbell WW, DiPietro L, Erickson KI, et al. The
- Scientific Foundation for the Physical Activity Guidelines for Americans, 2nd Edition. J Phys
- 468 Act Health. 2018:1-11.
- 469 33. Henson J, Yates T, Edwardson CL, Khunti K, Talbot D, Gray LJ, et al. Sedentary time
- and markers of chronic low-grade inflammation in a high risk population. PLoS One.
- 471 2013;8(10):e78350.

- Nieman DC, Wentz LM. The compelling link between physical activity and the body's
- defense system. Journal of sport and health science. 2019;8(3):201-17.
- 35. Song Y, Ren F, Sun D, Wang M, Baker JS, Istvan B, et al. Benefits of Exercise on
- Influenza or Pneumonia in Older Adults: A Systematic Review. Int J Environ Res Public Health.
- 476 2020;17(8).
- 477 36. Sallis J, Pratt M. A Call to Action: Physical Activity and COVID-19 Exercise is
- 478 Medicine 2020 [updated April 3, 2020. Available from:
- https://www.exerciseismedicine.org/support_page.php/stories/?b=896.
- 480 37. Parodi S CB, Young S, Bellows J, Grossman D, Liu VX. Kaiser Permanente's system
- capabilities to suppress Covid-19. NEJM Catal Published June 9, 2020 doi:101056/CAT200187.
- 482 38. Bryan A D-GJ, Davis NJ, Chokshi DA, Galea S. Moving From The Five Whys To Five
- 483 Hows: Addressing Racial Inequities In COVID-19 Infection And Death. Health Aff (Millwood)
- 484 2020; 101377/hblog20200625389260

ed as

 Table 1: Socio-economic and demographic characteristics of KPGA members with confirmed SARS-COV-2 seen from March 3 to May 12, 2020

		Patier	Patients Stratified by Race ^a No. (%)					
	All	Black/AA	White	₫Other	p-value			
	(N= 448)	(n= 306)	(n= 81)	प ्रीn= 61)				
Age, median [IQR], years	54 [43-63]	54 [43-62]	58 [49-73]	**************************************	<.001			
Age range, years				202	<.001			
18-49	169 (37.7)	116 (37.9)	23 (28.4)	ලි0 (49.2)				
50-64	177 (39.5)	132 (43.1)	24 (29.6)	⊉1 (34.4)				
65 and above	102 (22.8)	58 (19.0)	34 (42.0)	ရွိ0 (16.4)				
Gender				on on	<.001			
Male	176 (39.3)	102 (33.3)	40 (49.4)	ं 4 (55.7)				
Female	272 (60.7)	204 (66.7)	41 (50.6)	₹7 (44.3)				
		, ,	, ,	Ž , ,				
Insurance	202 (62 0)	104 (62.4)	42 (52 1)	20 20 245 (73.8)	0.11			
Commercial	282 (62.9)	194 (63.4)	43 (53.1)		0.11			
Medicare	94 (21)	61 (19.9)	26 (32.1)	ர் (11.5) ≨ (9.8)				
Self-pay	44 (9.8)	31 (10.1)	7 (8.6)	±9 (9.8) ⊙ (4.0)				
Other ^b	28 (6.3)	20 (6.6)	5 (6.2)	මි (4.9) ලේ ර ලේර				
Median Household Income ^c No.	439	299	80	<u>~</u> 60				
25k-50k	95 (21.6)	74 (24.7)	9 (11.3)	व <u>्</u> रि2 (20)	<.001			
50k-75k	230 (52.4)	178 (59.5)	27 (33.8)	≩ 5 (41.7)				
75k-100k	88 (20)	43 (14.4)	29 (36.3)	₫6 (26.7)				
100k+	26 (5.9)	4 (1.3)	15 (18.8)	ਕ੍ਰੌ (11.7)				
Households Under Poverty Level, % ^d	13.1	14.2	10.0	njop 2.0 en.b 49	<.001			
Residential Region, No. (%) e	308	208	51	.b.				
Northeast	97 (31.5)	55 (26.4)	15 (29.4)	27 (55.1)	<.001			
Northwest	46 (14.9)	23 (11.1)	19 (37.3)	₹ (8.2)	<.001			
Southeast	84 (27.3)	65 (31.3)	6 (11.8)	9.3 (26.5)				
Southeast	81 (26.3)	65 (31.3)	11 (21.6)					
				≱ (10.2) ≅				
Neighborhood Deprivation Index ^f	0.21	0.45	-0.48	ಹ್0.07	<.001			
Occupation ^g , mean % ^h				. 2024 നൃ കൃ ക . 2024 നൃ കൃ ക്				
Frontline Workers	34.4	35.7	29.6	4.3 ₹3.3	<.001			
Healthcare Workers	7.3	7.5	6.9	√ ∞ 6.8	<.001			
Other Workers	58.3	56.8	63.5	% 8.9	<.001			
Education, mean % h				:- P				
Some High School	6.8	7.2	5.5	Protected By topyrid	<.001			
High School	22.9	24.4	18.9	a 20.9	<.001			
Associates Degree	8.4	8.6	7.8	<u>a</u> 8.3	<.001			
Some College	21	22	18.7	d9.3	<.001			
Bachelors	21.1	19.2	26.3	9 3.4	<.001			
Graduate	12.4	11.4	15.6	ā3.4	<.001			

Page 25 of 33 BMJ Open

Abbreviations: IQR, Interquartile Range; COVID-19, Coronavirus Disease 2019; KPGA, Kaiser Permanente Georgia; AA, African American.

- ^a Other is defined as all other racial/ethnic groups (Asian, Hispanic/Latino), Unknown, and those who declined to report their race.
- ^b Other Insurances include military Health Maintenance Organization (HMO) or Preferred Provider Organization (PPO).
- *Other insurances include military Health Maintenance Organization (HMO) or Preferred Provider Organization (PPO).

 *Based on ESRI® Business Analyst dataset showing median household income by zip code and then linked to individual patients based on an entire recorded residence.

 *Based on ESRI® Business Analyst dataset showing median household income by zip code and then linked to individual patients based on an entire recorded residence.
- ^d Poverty line was defined by the Federal poverty level ¹⁸
- e The Atlanta metro area was divided up by county in four sub-regions
 - Northwest: Cobb, Cherokee, Paulding, Bartow, Pickens, Polk, Troup, Habersham.
 - Northeast: Dekalb, Gwinnett, Forsyth, Hall, Barrow, Jackson, Butts, Gilmer, Pike, Gordon, Jasper, Monroe.
 - Southwest: Fulton, Douglas, Fayette, Coweta, Carroll, Meriwether, Heard, Dawson, Madison, Lumpkin.
 - Southeast: Clayton, Henry, Rockdale, Walton, Clarke, Spalding, Oconee, Muscogee, Brooks, Town.

The Neighborhood Deprivation Index (NDI) is a composite measure of social and economic factors such as income, education, employme and housing quality that reflect neighborhood deprivation. ^{13,14} The higher the index value, the higher the level of deprivation in the neighborhood.

- g Based on ESRI® Business Analyst data. 16 Occupation Breakdown:
 - Frontline workers included community/social services, protective services, food preparation/serving related services, building/grounds cleaning/maintenance services, construction/extraction services, installation/maintenance/repair services, production services and transportation/material moving services.
 - Healthcare workers included healthcare practitioners/technicians and healthcare support staff.

legal workers, education/training/library workers and arts/design/entertainment/sports/media workers.

Other workers included personal care/service workers, sales and sales related workers, office/administrative support workers, faming/fishing/forestry workers, management/business/financial workers, computer/mathematical service workers, architecture/engineering workers, life/physical/secial science workers, community/social service workers,

h Based on ESRI® Business Analyst data. It is expressed in mean percentage and provides the counts of individual education attainment an coccupation by category within each zip code

(denominator).19

490 491

492

493

526 52/8

	utpatient medicatio	, , , , , , , , , , , , , , , , , , , ,	,	,,	Race/Ethnici		<u> </u>	_		
	All	Black/AA No. (9	%)		White No. (%)	-	njope	Other No. (%		
	(N=448)	Total (n=306)	Male (n=102)	Female (n=204)	Total (n=81)	Male (n=40)	%Female %(n=41)	Total (n=61)	Male (n=34)	Female (n=27)
Comorbidities							-04 ⁻			
Hypertension	226 (50.5)	168 (54.9)	61 (59.8)	107(52.5)	37(45.7)	21 (52.5)	်္ဌ်16 (39)	21 (34.4)	13 (38.2)	8 (29.6)
		*†, *°°	*	*εε	*†		2 on	*†, *°°	*	*εε
Diabetes	116 (25.9)	86 (28.1)	40 (39.2)	46 (22.5)	21(25.9)	14 (35)	_ 6 7 (17.1)	9 (14.8)	5 (14.7)	4 (14.8)
		***	§, *[[§		*	Мау	***	* , *	
Obesity (BMI>30)	273 (60.9)	207 (67.3)	70(68.6)	137(67.2)	39(48.1)	21 (52.5)	N18 (43.9)	28 (45.9)	15 (44.1)	13 (48.1)
		**†, *°, *°°	*	*ε	**†, *°		N*ε	**†, *°°	*	
Hyperlipidemia	150 (33.5)	95 (31)	41 (40.2)	54 (52.9)	41(50.6)	23 (57.5)	⊘ 18 (43.9)	14 (23)	9 (26.5)	5 (18.5)
		**†, *°	§, *ſ	§, *ε	**†, *°, *°°°	*[, *[][¥°, *≈≈	**†, *°°°	*	*888
CAD	34 (7.6)	19 (6.2)	11 (10.8)	8 (3.9)	11(13.6)	6 (15)	85 (12.2)	4 (6.6)	3 (8.8)	1 (3.7)
		**	§	§, *ε	**		 O_*ε 			
CHF	61 (13.6)	38 (12.4)	17 (16.7)	21 (10.3)	20(24.7)	10 (25)	ਤੋਂ 10 (24.4)	3 (4.9)	1 (2.9)	2 (7.4)
		*†, *°	*	*8	*†, *°, *°°°		*. ## ##	*†, *°°°	*∬	
Asthma	47 (10.5)	37 (11.8)	10 (9.8)	26 (12.7)	2(2.5)	1 (2.5)	2.4)	9 (14.8)	2 (5.9)	7 (25.9)
		*†, *°			*†, *°, *°°°	*}}	3*****	*†, *°°°	¶, *∭	¶, ****
COPD	28 (6.3)	13 (4.2)	5 (4.9)	8 (3.9)	12(14.8)	2 (5)	10 (24.4)	3 (4.9)	1 (2.9)	2 (7.4)
		*†, **°		**E	*†, **°	#		*†		
Arrhythmia	29 (6.6)	16 (5.3)	7 (6.9)	9 (4.4)	11(13.8)	8 (20)	<u>⊇</u> . 3 (7.3)	2 (3.4)	1 (2.9)	1 (3.7)
		*†, *°	*∫		*†, *°, *°°°	*ʃ, *ʃʃʃ	m	*†, *°°°	*	
ESRD ^b	1 (0.2)	1 (0.3)	1 (1)	0 (0)	0(0)	0 (0)	90 (0) ≥	0 (0)	0 (0)	0 (0)
HIV	6 (1.3)	5 (1.6)	4 (3.9)	1 (0.5)	1(1.2)	1 (2.5)	Aprii 0 (0)	0 (0)	0 (0)	0 (0)
			§	§			9 2			
Depression	69 (15.4)	45 (14.7)	5 (4.9)	40 (19.6)	15(18.5)	3 (7.5)	212 (29.3)	9 (14.8)	5 (14.7)	4 (14.8)
			§	§		#	5#			
CKD c	25 (5.6)	15 (4.9) *+, *°	6 (5.9)	9 (4.4) ∗ε	9(11.1) *+, *°, *°°°	3 (7.5)	ge (14.6)	1 (1.6) *+, *°°°	0 (0)	1 (3.7)
Cancer	15 (3.4)	12 (4)	7 (6.9)	5 (2.5)	2(2.5)	0 (0)	P2 (4.9) 60 60 6224 (58.5)	1 (1.6)	1 (2.9)	0 (0)
2. Compositivitation d	204 (62 7)	202 (66.2)	72 (70 6)	121/64 2\	FO/C1 7\	26 (65)	.e Cto. 4 (50.5)	20 (45 0)	45 (44.4)	12 /40 2\
2+ Comorbidities ^d	281 (62.7)	203 (66.3) *†, *°°	72 (70.6) *∫∫	131(64.2)	50(61.7) * 	26 (65)	<u>@</u> 24 (58.5) Ş	28 (45.9) * 1 , *°°	15 (44.1) *∬	13 (48.2)
3+ Comorbidities ^d	179 (40)	124 (40.5)	48 (47.1)	76 (37.3)	38(46.9) ****	20 (50)	S18 (43.9) Pyrigh 3.4 [2.5]	17 (27.9) ****	11 (32.3)	6 (22.2)
CCI, mean [SD] e	2.4 [1.8]	2.3 [1.6]	2.7 [1.8]	2.1 [1.5]	3.2[2.2]	3 [1.8]	ਰੂੰ ⊒3.4 [2.5]	1.5 [1]	1.6 [1.0]	1.36 [1.1]

ed as

* \parallel \parallel

	**†, *°, *°°	*ε	**†, *°, **°°°	*		**†, *°°, **°°°
					86/2	
Table 2. Comorbidities, autnotions modication	history of disease control	2 avarsisa fraguans	v of KDCA mombors wit	h confirmed	CABĞCOV 2 bv	raco 9 cov

					Race/E	thnicity ^a	эре			
	All	Black/AA No	. (%)		White No. (%)		n-2(Other No. (%)		
	(N=448)	Total (n=306)	Male (n=102)	Female (n=204)	Total (n=81)	Male (n=40)	Feræale (n= 2 1)	Total (n=61)	Male (n=34)	Female (n=27)
Outpatient Medication, No. (%)							405			
Anti-Rheumatic	2 (0.4)	1 (0.3)	1 (1)	0 (0) *εε	0(0)	0 (0)	1052 @ n 19	1 (1.6)	0 (0)	1 (3.7) *εε
Anti-Hypertensive	129 (28.8)	91 (29.7)	34 (33.3)	57 (27.9)	24(29.6)	15 (37.5)	9 (溟)	14 (23)	7 (20.6)	7 (25.9)
Anti-Asthmatic	103 (23)	72 (23.5)	27 (26.5)	45 (22.1) ***	17(21)	9 (22.5)	8 (19.5) *****\\	14 (23)	4 (11.8) ¶	10 (37) ¶, *εε, *εεε
Anti-Hyperlipidemic	125 (27.9)	81 (26.5)	40 (39.2)	41 (20.1)	29(35.8)	17 (42.5)	12 (2 9.3)	15 (24.6)	9 (26.5)	6 (22.2)
Corticosteroids	151 (33.7)	112 (36.6)	37 (36.3)	75 (36.8)	22(27.2)	9 (22.5)	13 (31.7)	17 (27.9)	7 (20.6)	10 (37)
Anti-malarial	6 (1.3)	4 (1.3)	0 (0)	4 (2)	1(1.2)	0 (0)	1 (2. 4)	1 (1.6)	0 (0)	1 (3.7)
HEDIS Measures ^f							htt			
Blood Pressure Control ^g	113 (25.2)	84 (27.5) *°	35 (34.3)	49 (24)	18(22.2)	11 (27.5)	7 (17.1)	11 (18) ****	5 (14.7)	6 (22.2)
Diabetes Control HbA1c < 8% h	55 (12.3)	37 (12.1) *†, *°	14 (13.7) *∫	23 (11.3)	12(14.8) *†, *°	7 (17.5) * (5 (12.2)	6 (9.8) * 1	3 (8.8)	3 (11.1)
Weekly Exercise, mean [SD], mins i		',	J		" 1	J	bmj.cc	'		
	70.1 [101.8]	61.9 [88.1] *†, *°°	86.7 [104.5]	49.5 [76.1] *EE	75.7 [118.5] * I	76.4 [97.74]	75.½ [136.2]	108.4 [134.9] *†, *°°	98.4 [126.8]	123 [148.9 *EE
Exercise Vital Sign Category, No. (%) ^j							April			
Inactive	151 (33.7)	106 (34.6) ** , *°°	27 (26.5)	79 (38.7) ***	32(39.5) **†, *°°°	15 (37.5)	17 (31.5)	13 (21.3) **†, *°°, *°°°	11 (32.3)	2 (7.4) ***, ****
Insufficiently Active	144 (32.1)	103 (33.7) ** 	34 (33.3)	69 (33.8)	23(28.4) ** †	11 (27.5)	12 (2 9.3)	18 (29.5) **†	7 (20.6)	11 (40.8)
Sufficiently Active	64 (14.3)	37 (12.1) ** 	21 (20.6)	16 (7.8) **	16(19.8) ** !	8 (20)	8 (199.5) ** P	11 (18) ** !	7 (20.6)	4 (14.8)
No information	89 (19.9)	60 (19.6) **†,*°°	20 (19.6)	40 (19.7) *εε	10(12.3) **†, ***	6 (15)	4 (% 7) ****6	19 (31.2) **†, *°°, *°°°	9 (26.5)	10 (37) *εε *εεε

Abbreviations: AA, African American; HbA1c, Glycated Hemoglobin; BMI, Body Mass Index; CAD, Coronary Artery Disease; CCI, Charlson Comorbidity Index; CHF, Congestive Heart Failure; CKD, Chronic Kidney Disease; COPD, Chronic Obstructive Pulmonary Disease; ESRD, End Stage Renal Disease;

Significance Levels * P ≤ 0.05, ** P ≤ 0.001

Significance Tests

† Across Race Groups

545

549

550

531

552 553 554

5**55**

556

551 555 559

568

569

5@2

568

5**734** 38

5748 45 5749

> 48 49 50

- ° Black/AA vs. White, °° Black/AA vs. Other, °°° White vs. Other
- § Black/AA Male vs. Black/AA Female
- # White Male vs. White Female
- ¶ Other Male vs. Other Female
- [€] Black/AA Female vs. White Female, ^{€€} Black/AA Female vs. Other Female, ^{€€€} White Female vs Other Female
- ∫ Black/AA Male vs. White Male, ∬ Black/AA Male vs. Other Male, ∭ White Male vs. Other Male
- Other Race is defined as all other racial/ethnic groups (Asian, Hispanic/Latino), Unknown, and those who declined to report their race.
- ^b ESRD classified based on ICD-10 in patient's medical history.²⁰
- ^cCKD classified based on diagnosis reported by the ICD-10 code in patient's medical history.²⁰
- d Comorbidities are medical diagnoses included in medical history as ICD-10 codes. These include but are not limited to those presented in the table.
- e Charlson Comorbidity Index. The lowest score of 0 corresponds to a 98% estimated 10-year survival rate. 21
- f Healthcare Effectiveness Data and Information Set (HEDIS), is a performance improvement tool used by healthcare organizations in the writed States.²² For this study, this tool was used to identify the proportion of COVID-19 confirmed patients with their chronic disease successfully controlled. Of note, the total number of people with diabetes (n=116) included in this analysis is larger than the total number of diabetics reported by HEDIS measure (n=55). This discrepancy is also observed when comparing total number of people with hypertension in this analysis (n=226) with total number of hypertensive patients reported by HEDIS measure (n=116). Explanations for these differences in sample size are related to lack of continuous membership enrollment and the likelihood of no interactions with patient within the last year. These reasons could explain the lack of documentation of HEDIS diseasecontrol.
- ^g HEDIS measure for blood pressure control (<140/90mm HG) for KPGA members with a 12-month rolling enrollment.²²
- hHEDIS measure for diabetes control based on a HbA1C < 8% for KPGA members with a 12-month rolling enrollment.²²
- ¹Average exercise was collected from self-reported data during a clinical encounter in the last year.
- ^j Exercise Vital Sign is based on patient reported weekly exercise minutes. Three categories are coded: Inactive (< 10 mins/week), insufficiently active (11-149 minutes/week) and sufficiently active

(150 or more mins/week)²⁴

Page 29 of 33 **BMJ** Open

580

589 5**8**2 583

584 583

586

585

46 47

48 49 50

Table 3: Clinical outcomes of hospitalized KPGA members with confirmed COVID-19 by race & age groups

1						8			136				
2					R	ace/Ethnici	ty ^b		36/bmjop		Age	group (ye	ars)
3						No. (%)			jope			No. (%)	
5	All No. (%)		Black/AA			White			ther				
6		Total	Male	Female	Total	Male	Female	Total	Male	Female	18-49	50-64	65+
Hospitalization Characteristics 8 9	N = 248 ^c	n=173	n=79	n=94	n=48	n=20	n=28	n=27	-044 n=17	n=10	n=62	n=97	n=89
App of Hospitalized Patients, median (IQR) d, years 11		59 (49.5-66.0)	59 (49.5-66.0)	59 (49.5-66.0)	67 (54.0-75.5)	66.5 (52.5-74.5)	68 (55.5-77.0)	60.5 (39.5-65.5)	Š	52 (41.0-60.0)	n/a	n/a	n/a
Hlæspital Length of Stay, median (IQR), days 14 15 16	6 (3.0-11.3)	6 (3.0-11.0)	7 (3.0-12.0)	6 (3.0-11.0) **	6 (2.8-10.3)	7.5 (3.0-14.5)	4 (2.0-8.5)	5 (2.0 - 17.0)	ay 4 (20-14.0) 1. Dov	12 (2.0-20)	5 (3.0-8.0) *¶,	6 (3.0-12.0) *¶, *◊◊	6 (3.0-14.0) *¶,*◊
Admitted to ICU 18	104 (41.9)	69 (39.9)	33 (41.8)	36 (38.3)	19 (39.6)	9 (45.0)	10 (35.7)	16 (59.3)	(52.9)	7 (70.0)	20 (32.3)	44 (45.4)	40 (44.9)
Length of Stay, median (IQR), days	7 (2.0-13.0)	6 (2.0-13.3)	6 (3.0-14.0)	7 (1.0-13.0)	8 (1.0-10.5)	10 (8.0-13.0)	5.5 (1.0-8.0)	9 (4.0-18.0)	8.5 (2.0-18.5)	9 (5.0-19.0)	4 (1.0-16.0)	6 (3.0-12.0)	9 (3.5-16.0)
122 Invasive Mechanical Ventilation 24	47 (19.0)	32 (18.5)	16 (20.3)	16 (17.0)	6 (12.5)	3 (15.0)	3 (10.7)	9 (33.3) ****	ntt (23.5) /bmjo	5 (50.0) ****, *****	5 (8.1)	20 (20.6)	22 (24.7)
25 Ventilator duration, median (IQR), days 27 28 Outcomes	13 (8.0-16.5)	13 (8.0-15.5)	11.5 (6.0-15.5)	13 (9.5-16.0)	10.5 (6.0-16.0)	13 (4.0-24.0)	8 (6.0-16.0)	11 (8.0-14.0)	9.5-16.5)	9 (8.0-14.0)	14 (13.0-15.0) *¶	10.5 (6.5-13.0) *¶	15.0 (8.0-19.0) *¶,*◊◊◊
30 D3charged alive 32	206 (83.0)	149 (86.1)	65 (82.3)	84 (89.4)	37 (77.1)	16 (80.0)	21 (75.0)	20 (74.1)	의 12 왕(70.6) 리	8 (80.0)	60 (96.8) **¶	86 (88.7) **¶	60 (67.4) **¶
SBBI Hospitalized 34	20 (8.1)	10 (5.8) *†	6 (7.6)	4 (4.3)	4 (8.3) *†	1 (5.0)	3 (10.7)	6 (22.2) * †	9(29.4) 28√, *∭	1 (10.0)	2 (3.2)	7 (7.2)	11 (12.4)
305 day Readmission Rate 36	13 (5.2)	7 (4.0)	4 (5.1)	3 (3.2)	5 (10.4)	0 (0.0)	5 (17.9) **	1 (3.7)	2024 (5.9) 44 (5.9)	0 (0.0)	2 (3.2)	2 (2.1)	6 (6.7)
Deceased 38	22 (8.9)	14 (8.1)	8 (10.1)	6 (6.4)	7 (14.6)	3 (15.0)	4 (14.3)	1 (3.7)	gest.	1 (10.0)	0 (0.0) **¶	4 (4.1) **¶	18 (20.2) **¶

ed as

Abbreviations: ICU, Intensive Care Unit; IQR, interquartile range; n/a = not applicable; AA, African American

a Significance Levels * P ≤ 0.05, ** P ≤ 0.001; Significance Tests (Categorical: Chi-Squared Test of Significance, Continuous: ANOVA Test of Significance, Two-Sample T-Test of Means)

^b Other is defined as all other racial/ethnic groups (Asian, Hispanic/Latino), Unknown, and those who declined to report their race.

^{*} N=248 includes patients at level of hospital stay. Thus, participants who were readmitted, or transferred, are accounted for more than oxic.

d Median age represents all unique hospitalized nationals and oxic.

d Median age represents all unique hospitalized patients n=224 (Black n=160, White n=40, Other n=24); e In this table, column percentages are provided for categorical variables.

[†] Across Race Groups, Other vs. Black, White vs. Other, ¶Across Age Groups, Age 18-49 vs. 50-64, Age 18-49 vs. 65+, Age 50-64 vs. 65+

^{*} Black/AA Female vs. White Female, 🕫 Black/AA Female vs. Other Female, 🕬 White Female vs Other Female; [Black/AA Male vs. Other Maie, ([[White Male vs. Other Male

	SARS-COV-2 Status			Race/Ethnicity Groups	/bm	Sex Groups		
Sample Population	Confirmed	Confirmed & PUI	Black/AA	White	Other a g	Female	Male	
Total sample size	n=448	n=3,937	n=2,156	n=981	n=800 n-20	n=2,536	n=1,401	
Variables, OR (95% CI)					<u>2</u> 0-0.			
Age	1.03**(1.02,1.05)	1.05**(1.04,1.06)	1.04**(1.03,1.06)	1.05**(1.02,1.08)	1.06**(1.03,1.	1.04**(1.03,1.06)	1.06**(1.05,1.08)	
Race: Black		1.98**(1.44,2.72)	n/a ^b	n/a ^b	n/a ^b	2.19**(1.40,3.42)	2.03*(1.31,3.13)	
Female	0.42**(0.27,0.65)	0.51**(0.38,0.68)	0.52**(0.37,0.73)		0.33*(0.14,0.27)	n/a ^c	n/a ^c	
Obesity (BMI ≥30)	1.87*(1.19,2.93)	1.80**(1.31,2.47)	1.78*(1.21,2.64)		9 Мау		2.77**(1.78,4.32)	
CCI d				1.35**(1.15,1.59)	ay 2			
CHF ^e		1.83*(1.19,2.80)	1.99*(1.20,3.31)		2021	2.65**(1.54,4.52)		
Hypertension ^e	2.09*(1.33,3.29)	1.76*(1.21,2.55)	2.02*(1.26,3.21)		D			
CKD ^e	11.04*(1.39,87.60)				own			
Diabetes ^e		1.76*(1.23,2.51)	2.00*(1.27,3.13)		oad			
Physically Inactive ^f			1.50*(1.05,2.13)		ed 1	1.49*(1.00,2.20)		
COPD ^e	5.37*(1.45,19.81)	1.72*(1.02,2.90)			from			
2+ Comorbidities ^g					ı h#	2.38**(1.43,3.94)		
Antihypertensive		0.65*(0.46,0.94)			p://b			
Antihyperlipidemic		0.65*(0.45,0.95)			http://bmjope			
HEDIS h BP Control i			0.62*(0.41,0.94)		pen			
HEDIS h Diabetes Control j			0.52*(0.29,0.93)		ı.bm			
NE County Residence k		0.64*(0.47,0.89)		0.22*(0.07,0.66)	j.00	0.61*(0.38,0.95)		

Abbreviations: OR, Odds Ratio; CI, Confidence Interval; PUI, Persons Under Investigation; n/a, not applicable; BMI, Body Mass Index; AA, African American; CCI, Charlson Comorbidity Index; CHF, Congestive Heart Failure; CKD, Chronic Kidney Disease; COPD, Chronic Obstructive Pulmonary Disease; HEDIS, Healthcare Effectiveness Data and Information Set; BP: blood pressure Significance Levels * P \leq 0.05, ** P \leq 0.001

Odds Ratios (OR) represent yes vs no for all variables except Age (per year) and Charlson Comorbidity Index (per point)

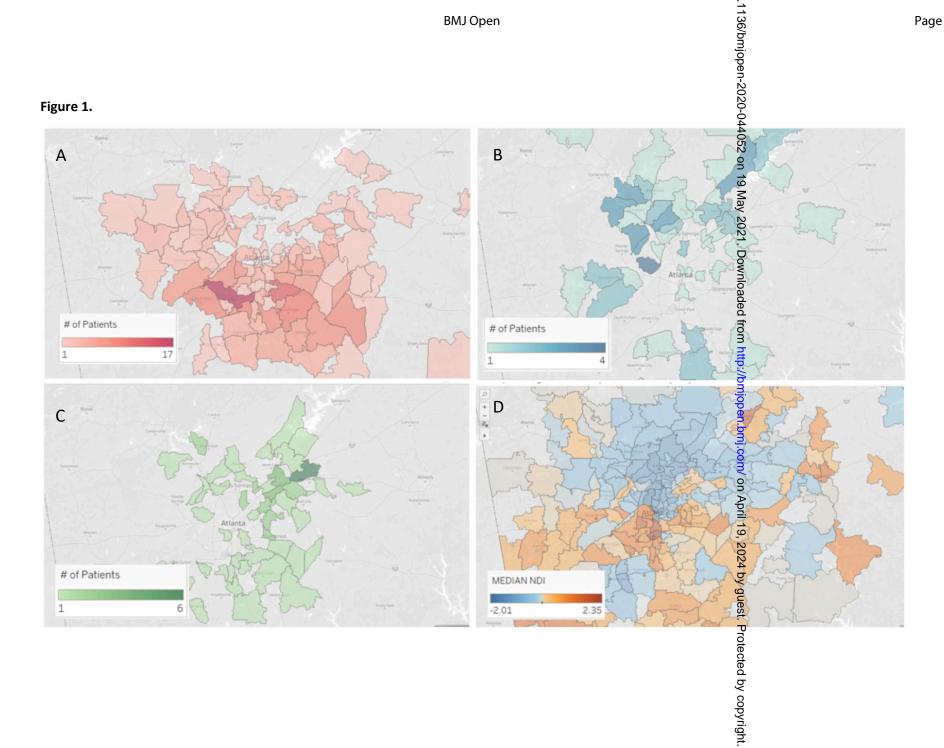
- ^a Other is defined as all other racial/ethnic groups (Asian, Hispanic/Latino), Unknown, and those who declined to state race
- ^b Race Black/AA is not available as independent variable for race stratified models
- ^c Gender Female not available as an independent variable for the gender stratified models
- ^d Charlson Comorbidity Index ²¹
- ^e CHF, Hypertension, CKD, Diabetes, and COPD classified based on ICD-10 diagnosis in member's electronic medical record²⁰
- f Physically Inactive defined as self-reported exercise < 10 minutes/week.²⁴
- ^g Comorbidities here are medical diagnoses included in medical history as ICD-10 codes.²⁰
- g Comorbidities here are medical diagnoses included in medical history as ICD-10 codes.²⁰
 h HEDIS is a performance improvement tool used by healthcare organizations in the United States.²² For this study, this tool was used to igentify the proportion of members with their chronic i HEDIS measure for diabetes control based on a glycated hemoglobin HbA1c < 8% for KPGA members with a 12-month rolling enrollment. 22 gg i HEDIS measure for diabetes control based on a glycated hemoglobin HbA1c < 8% for KPGA members with a 12-month rolling enrollment. 23 gg i HEDIS measure for diabetes control based on a glycated hemoglobin HbA1c < 8% for KPGA members with a 12-month rolling enrollment. 23 gg i HEDIS measure for diabetes control based on a glycated hemoglobin HbA1c < 8% for KPGA members with a 12-month rolling enrollment. 24 gg i HEDIS measure for diabetes control based on a glycated hemoglobin HbA1c < 8% for KPGA members with a 12-month rolling enrollment. 25 gg i HEDIS measure for diabetes control based on a glycated hemoglobin HbA1c < 8% for KPGA members with a 12-month rolling enrollment. 25 gg i HEDIS measure for diabetes control based on a glycated hemoglobin HbA1c < 8% for KPGA members with a 12-month rolling enrollment. 26 gg i HEDIS measure for diabetes control based on a glycated hemoglobin HbA1c < 8% for KPGA members with a 12-month rolling enrollment. 26 gg i HEDIS measure for diabetes control based on a glycated hemoglobin HbA1c < 8% for KPGA members with a 12-month rolling enrollment. 26 gg i HEDIS measure for diabetes control based on a glycated hemoglobin HbA1c < 8% for KPGA members with a 12-month rolling enrollment. 26 gg i HEDIS measure for diabetes control based on a glycated hemoglobin HbA1c < 8% for KPGA members with a 12-month rolling enrollment. 26 gg i HEDIS measure for diabetes control based on a glycated hemoglobin HbA1c < 8% for KPGA members with a 12-month rolling enrollment. 26 gg i HEDIS measure for diabetes control based on a glycated hemoglobin HbA1c < 8% for KPGA members with a 12-month rolling enrollment.

BMJ Open

* NE County Area of Residence, extracted from member's electronic medical record, includes Dekalb, Gwinnett, Forsyth, Hall, Barrow, Jase Bergall John Butts, Gillmer, Pike, Gordon, Jasper, Monroe counties

* NE County Area of Residence, extracted from member's electronic medical record, includes Dekalb, Gwinnett, Forsyth, Hall, Barrow, Jase Bergall John Butts, Gillmer, Pike, Gordon, Jasper, Monroe counties

* Development of the May 2021, Development of April 19, 2021 by given. Proprieted by applying the proprieted by ap **BMJ** Open



STROBE Statement—Checklist of items that should be included in reports of *cohort studies*

	Manuscript Page #	Recommendation
Title and abstract	1,2	(a) Indicate the study's design with a commonly used term in the title or the abstract
		(b) Provide in the abstract an informative and balanced summary of what
		was done and what was found
Introduction		
Background/rationale	4	Explain the scientific background and rationale for the investigation being reported
Objectives	5	State specific objectives, including any prespecified hypotheses
Methods		
Study design	5	Present key elements of study design early in the paper
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection
Participants	5,6	(a) Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up
		(b) For matched studies, give matching criteria and number of exposed and unexposed
Variables	7,8	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable
Data sources/	7,8	For each variable of interest, give sources of data and details of methods of
measurement		assessment (measurement). Describe comparability of assessment methods if there is more than one group
Bias	8	Describe any efforts to address potential sources of bias
Study size	6,7	Explain how the study size was arrived at
Quantitative variables	7,8	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why
Statistical methods	8	(a) Describe all statistical methods, including those used to control for confounding
		(b) Describe any methods used to examine subgroups and interactions
		(c) Explain how missing data were addressed
		(d) If applicable, explain how loss to follow-up was addressed
		(e) Describe any sensitivity analyses
Results		
Participants	9	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed
		(b) Give reasons for non-participation at each stage
		(c) Consider use of a flow diagram
Descriptive data	9,10	(a) Give characteristics of study participants (eg demographic, clinical,
		social) and information on exposures and potential confounders
		(b) Indicate number of participants with missing data for each variable of interest
		(c) Summarise follow-up time (eg, average and total amount)
Outcome data	10	Report numbers of outcome events or summary measures over time
Main results	10,11	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear

		which confounders were adjusted for and why they were included
		(b) Report category boundaries when continuous variables were categorized
		(c) If relevant, consider translating estimates of relative risk into absolute
		risk for a meaningful time period
Other analyses	9-11	Report other analyses done—eg analyses of subgroups and interactions, and
		sensitivity analyses
Discussion		
Key results	11,12	Summarise key results with reference to study objectives
Limitations	14,15	Discuss limitations of the study, taking into account sources of potential bias
		or imprecision. Discuss both direction and magnitude of any potential bias
Interpretation	14-16	Give a cautious overall interpretation of results considering objectives,
		limitations, multiplicity of analyses, results from similar studies, and other
		relevant evidence
Generalisability	14-16	Discuss the generalisability (external validity) of the study results
Other information		
Funding	16	Give the source of funding and the role of the funders for the present study
		and, if applicable, for the original study on which the present article is based

^{*}Give information separately for exposed and unexposed groups.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at http://www.plosmedicine.org/, Annals of Internal Medicine at http://www.annals.org/, and Epidemiology at http://www.epidem.com/). Information on the STROBE Initiative is available at http://www.strobe-statement.org.

BMJ Open

Clinical, Behavioral and Social Factors Associated with Racial Disparities in Hospitalized and Ambulatory COVID-19 Patients from an Integrated Health Care System in Georgia: A Retrospective Cohort Study

Journal:	BMJ Open
Manuscript ID	bmjopen-2020-044052.R1
Article Type:	Original research
Date Submitted by the Author:	01-Dec-2020
Complete List of Authors:	Lobelo, Felipe; Southeast Permanente Medical Group Inc, Quality and Patient Safety Bienvenida, Alan; Southeast Permanente Medical Group Inc Leung, Serena; Southeast Permanente Medical Group Inc Mbanya, Armand N; Southeast Permanente Medical Group Inc Leslie, Elizabeth; Southeast Permanente Medical Group Inc Koplan, Kate; Southeast Permanente Medical Group Inc Shin, S. Ryan; Southeast Permanente Medical Group Inc
Primary Subject Heading :	Health services research
Secondary Subject Heading:	Epidemiology, Global health, Infectious diseases, Public health
Keywords:	COVID-19, EPIDEMIOLOGY, Quality in health care < HEALTH SERVICES ADMINISTRATION & MANAGEMENT, Public health < INFECTIOUS DISEASES

SCHOLARONE™ Manuscripts



I, the Submitting Author has the right to grant and does grant on behalf of all authors of the Work (as defined in the below author licence), an exclusive licence and/or a non-exclusive licence for contributions from authors who are: i) UK Crown employees; ii) where BMJ has agreed a CC-BY licence shall apply, and/or iii) in accordance with the terms applicable for US Federal Government officers or employees acting as part of their official duties; on a worldwide, perpetual, irrevocable, royalty-free basis to BMJ Publishing Group Ltd ("BMJ") its licensees and where the relevant Journal is co-owned by BMJ to the co-owners of the Journal, to publish the Work in this journal and any other BMJ products and to exploit all rights, as set out in our licence.

The Submitting Author accepts and understands that any supply made under these terms is made by BMJ to the Submitting Author unless you are acting as an employee on behalf of your employer or a postgraduate student of an affiliated institution which is paying any applicable article publishing charge ("APC") for Open Access articles. Where the Submitting Author wishes to make the Work available on an Open Access basis (and intends to pay the relevant APC), the terms of reuse of such Open Access shall be governed by a Creative Commons licence – details of these licences and which Creative Commons licence will apply to this Work are set out in our licence referred to above.

Other than as permitted in any relevant BMJ Author's Self Archiving Policies, I confirm this Work has not been accepted for publication elsewhere, is not being considered for publication elsewhere and does not duplicate material already published. I confirm all authors consent to publication of this Work and authorise the granting of this licence.

- 1 Clinical, Behavioral and Social Factors Associated with Racial Disparities in Hospitalized
- and Ambulatory COVID-19 Patients from an Integrated Health Care System in Georgia: A
- **3 Retrospective Cohort Study**

- 5 Felipe Lobelo, MD PhD^{1,2*}; Alan Bienvenida, MPH¹; Serena Leung, MPH¹; Armand Mbanya,
- 6 MD MPH¹; Elizabeth J Leslie, MS¹; Kate E Koplan, MD MPH¹; S. Ryan Shin, MD MA¹
- 8 ¹Department of Quality and Patient Safety, The Southeast Permanente Medical Group, Kaiser
- 9 Permanente Georgia and ² Hubert Department of Global Health, Rollins School of Public Health,
- 10 Emory University, Atlanta, Georgia.
- 12 Please address correspondence to:
- Felipe Lobelo, MD PhD
- 14 Physician Program Director Epidemiology, Public Health and Preparedness
- and Senior Physician Consultant, Population Health Research
- Department of Quality and Patient Safety
- 17 The Southeast Permanente Medical Group; Kaiser Permanente Georgia
- 18 3495 Piedmont Road NE; 9 Piedmont Center, 3rd floor
- 19 Atlanta GA 30305-1736
- 20 P: (470) 825-6846
- 21 <u>Felipe.lobelo@kp.org</u>; felipelobelo@emory.edu

ABSTRACT

- Objectives: To explore drivers of racial disparities in relation to coronavirus disease 2019
- 27 (COVID-19) in outpatient and inpatient settings
- **Design**: Retrospective cohort of patients with laboratory-confirmed COVID-19 seen from
- March 3rd to October 29th, 2020. Analyses included underlying comorbidities, quality of care
- metrics, demographic and social determinants of health (SDOH) indicators. Multivariable
- analyses for hospitalization risk were performed among COVID-19 patients overall and by race
- 32 and sex.
- **Setting**: Kaiser Permanente Georgia, an integrated health care system in the Southeast United
- 34 States.
- Results: Of 5,712 patients with COVID-19, 57.8% were female, 58.4% Black, 29.5% White,
- 36 8.5% Hispanic and 3.6% Asian. Overall, 14.4% (n=827) of this cohort was hospitalized.
- 37 Demographic and SDOH factors associated with higher hospitalization odds among all patients
- 38 included: race Hispanic (adjusted OR 1.60, 95% CI [1.08, 2.37]), race Black (1.43 [1.13, 1.83])
- and age in years (1.03 [1.02, 1.04]) while female sex was protective (0.74 [0.61, 0.90]). Living in
- a zip-code with high unemployment was associated with higher hospitalization odds in the All-
- 41 patients (1.08 [1.03, 1.13]) and Black models (1.09 [1.03, 1.16]), while residence in northeast
- 42 Atlanta (0.64 [0.43, 0.95]) and in zip-codes with high incomes (0.24 [0.08, 0.78]) associated with
- 43 lower hospitalization odds among White and Asian patients, respectively. COVID-19 patients
- with chronic obstructive pulmonary disease (2.59 [1.67, 4.02]), chronic heart failure (1.79)
- 45 [1.31,2.45]), immunocompromised (1.77 [1.16, 2.70]), with glycated hemoglobin >8% (1.68

46 [1.19, 2.38)], depression (1.60 [1.24, 2.06]), hypertension (1.5 [1.21,1.87]) and self-reported 47 physical inactivity 1.25 ([1,03, 1.51]) had higher odds of hospitalization.

Conclusions: Black and Hispanic KPGA patients were at higher odds of hospitalization, but not mortality, compared to other race groups. Beyond previously reported socio-demographics and comorbidities, we recommend considering quality of care and lifestyle behaviors as well as individual and community-level SDOH indicators when designing and implementing

ARTICLE SUMMARY: STRENGHTS AND LIMITATIONS OF THIS STUDY

interventions to reduce COVID-19 racial disparities.

- In the United States and across the world, racial and ethnic minorities have shouldered a disproportionate burden of COVID-19 infection, but data on the various clinical and social drivers of these disparities is limited.
- In this retrospective cohort study of 5,721 consecutive patients with confirmed COVID-19,

 Black and Hispanic patients had a higher risk of infection and hospitalization but no

 significant differences in mortality across race groups. In addition to age, sex and presence of

 comorbidities, pre-pandemic self-reported exercise, control of underlying chronic diseases,

 and location of residence were significantly associated with hospitalization risk by race

 groupings
- As a limitation, the target population in this analysis included only KPGA patients that have insurance and ready access to health care services
- To our knowledge, this is the first COVID-19 retrospective cohort study to incorporate
 multiple measures of SDOH, pre-pandemic lifestyle behaviors and comorbidity management
 as drivers of COVID-19 racial disparities

Data sharing statement: All data relevant to the study are included in the article. No additional data is available.

MANUSCRIPT TEXT

Introduction

As of November 15th 2020, the United States (U.S) had over 10.5 million cases and 250,000 deaths due to coronavirus disease 2019 (COVID-19). This accounts for 20% of the cases and deaths reported worldwide, despite the U.S having about 4% of the global population. It has been widely reported that racial/ethnic minorities, particularly those living in large and diverse urban centers, shoulder a disproportionate burden of the COVID-19 infection risk and associated adverse health outcomes. ²⁻⁶

Earlier descriptive studies from patients admitted during March/April 2020 in Georgia showed an over-representation of COVID-19 hospitalizations and death rates among Black populations.⁷⁸ Subsequent reports from two large health care systems in Louisiana and California, and from the Veterans Affairs health system⁹ also found racial disparities in COVID-19 outcomes and clinical risk factors for hospitalization. These reports also theorized that chronic disease control, health behaviors, social and other factors may contribute to such disparities.^{35,7,8} However, limited availability of quality of care history and social determinants of health (SDOH) metrics in most medical health records has precluded a more comprehensive analyses of potential drivers of these racial disparities.

The U.S. Census Bureau reports the racial/ethnic demographic distribution of Georgia as 58.3% White, 31.6% Black, 9.7% Hispanic, and 4.1% Asian. As of November 20th, the Georgia Department of Public Health (DPH) reported 399,410 confirmed COVID-19 with the following

categorization by race/ethnicity: 37% White, 27.5% Black, 12.5% Hispanic, 1.9% Asian, 2.6% other race (American Indian/Alaska Native, Native Hawaiian/Pacific Islander) and 18.5% unknown or no data ¹¹. This overrepresentation of Black and Hispanic populations in terms of COVID-19 burden has also been observed in other U.S areas. ⁴⁶⁹ ¹²⁻¹⁵ Kaiser Permanente Georgia (KPGA) is a regional integrated health care system serving over 300,000 patients in 32 counties located in the Atlanta Metropolitan Area and Northeast Georgia. As of April 2020, KPGA membership is 43% Black, 30% White, 5% Asian, 4% Hispanic and 18% Unknown/other, which mirrors that of the Atlanta metropolitan area. ¹⁶

This study had two objectives. First, to determine if racial disparities exist amongst KPGA patients with COVID-19, with respect to demographic and SDOH, pre-pandemic comorbidities/ underlying conditions, quality of care metrics and lifestyle behaviors and COVID-19 related clinical outcomes. Second, to explore the roles of these clinical, behavioral and social factors as potential drivers of racial disparities for COVID-19 hospitalization.

Methods

We performed a retrospective review of KPGA patients seen with COVID-19 related symptoms between March 3rd and October 29th of 2020. Patients were screened according to the U.S. Centers for Disease Control and Prevention (CDC) and Georgia DPH guidelines. ^{17 18} Patients who met criteria were tested for severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) by polymerase chain reaction (PCR). For this analysis, we only included patients with laboratory-confirmed COVID-19. At the start of the epidemic, KPGA prioritized testing among symptomatic health care workers and symptomatic KPGA patients requiring hospital admission. In mid-April, testing was progressively expanded to high-risk symptomatic patients based on clinical criteria (>65 years, immunocompromise, chronic obstructive pulmonary disease

(COPD), moderate-to-severe asthma, serious heart condition, Body Mass Index (BMI)>40, diabetes, chronic kidney disease (CKD), liver disease, pregnancy) and symptomatic patients with public health implications (healthcare workers, first responders, jail and elder care employees, etc.). Tests were offered in following manner. After in person or telemedicine evaluation, patients were tested, if recommended, via drive-thru and/or tents at one of four KPGA facilities located across metro Atlanta.

Patient Demographics

We characterized COVID-19 patients by age, sex, self-reported race/ethnicity, insurance type, and area of residence. Race/ethnicity was categorized in our EHR as African American/Black (hereinafter referred to as "Black"), non-Hispanic White ("White"), Hispanic/Latino ("Hispanic"), "Asian/Pacific Islander ("Asian"), "unknown", "declined to report" and "Other", which included American Indian/Alaska Native. For purposes of this analyses, we excluded COVID-19 patients seen during the study period in the "Other" (n=13) "unknown" (n=636) and "declined to report" (n=95) categories, given the large heterogeneity of these groups and/or low sample size.

We obtained patient's location of residence and zip code from the EHR and categorized it into four different regions of metro Atlanta: Northeast, Northwest, Southeast, and Southwest. Residence location was also linked to the neighborhood deprivation index (NDI), a composite SDOH measure including income, education, employment and housing quality. The higher the NDI value, the higher the level of deprivation in the neighborhood. We also utilized ESRI® Business Analyst data, a comprehensive demographic and lifestyle database which provides data to help interpolate patient's socioeconomic status²¹. Specifically, we linked

patients' places of residency with ESRI's® zip code level classifications of median household income, occupation (frontline, healthcare and other), and educational attainment. We used this data to cross-reference median household income with the government-defined poverty line.²²

<u>Patient and public involvement</u>: Given the nature of this study, it was not appropriate or possible to involve patients or the public in the design, or conduct, or reporting, or dissemination plans of our research.

Comorbidities and Quality of Care (Past 12 months)

Existing comorbidities and clinical care of patients were obtained from the latest clinical visit dating back up to 12 months from the first COVID-19 encounter. Comorbidities were reported as classified by the International Statistical Classification of Diseases and Related Health Problems codes (ICD-10)²³. The Charlson Comorbidity Index (CCI) was used as a continuous measure of total comorbidity burden.²⁴ The CCI is a weighted index developed to predict risk of death within 1 year of hospitalization for patients with 17 specific common comorbidities. Each condition is assigned a weight from 1 to 6, based on the estimated 1-year mortality hazard ratio and the weights summed to produce the CCI. A score of zero indicates no comorbidities whereas the higher the score, the more comorbidity burden resulting in higher predicted mortality or resource utilization.

We used pharmacy dispensing data to compile the frequency of outpatient medications used by patients. We used established clinical thresholds recommended by the National Committee for Quality Assurance as markers for adequate blood pressure (<140/90mmHg) and (glycated hemoglobin (HbA1c <8% and <9%) blood glucose control. ²⁵

Exercise Vital Sign (EVS) data was collected from the latest encounter. Patient's physical activity levels were classified as inactive, insufficiently active, and sufficiently active for those self-reporting \leq 10 minutes, 11-149 minutes, and \geq 150 minutes of exercise/week, respectively. The EVS has been previously validated 26 and is considered a clinically relevant screening tool for physical activity behaviors in health care settings $^{27\,28}$.

Clinical Outcomes

All patients with COVID-19 who were hospitalized at KPGA affiliated (2 core and 43 non-core) hospitals, were characterized by hospital length of stay (LOS), intensive care unit (ICU) LOS, invasive mechanical ventilation initiation and duration, hospital discharge, 30 and 60-day readmission, currently hospitalized, and deceased. Instances of admission and discharge on the same date were defined as LOS of one day. Mechanical ventilation data was compiled using an ICD-10 code flagging instances and length of emergency endotracheal intubation during hospital stay. Readmissions were defined as instances of subsequent admission to a hospital within the KPGA health system due to COVID-19 complications or any other cause, 30 and 60 days after index discharge. We conducted manual record reviews to distinguish between encounters of readmission and patient transfers from a hospital to another non-KPGA affiliated medical facility.

Statistical Analysis

We report numbers (percentages) for binary and categorical variables and means (SD) for continuous variables. Chi-square tests, ANOVAs and two sample t-tests were used to determine

significant differences between groups. For two sample t-tests with statistically unequal variances, the Satterthwaite method was applied and reported.

Multivariable logistic regression was used to explore factors associated with having a COVID-19 related hospitalization in seven different models: all COVID-19 patients, stratified by race/ethnicity (Black, White, Hispanic, Asian) and by sex. All multivariable logistic regression models included age, sex and race/ethnicity as independent variables and hospitalization as the dependent variable. All additional independent variables were assessed using a bivariate analysis, either chi-squared or two sample t-test, and only the variables showing evidence of a statistically significant (α =0.05) relationship with the dependent variable were considered for entry into the models. Stepwise selection method was used for final independent variable selection with effect entry and effect remain significance levels of 0.05. All data analysis was conducted using SAS 9.4 software. The KPGA institutional review board approved this study with a waiver of informed consent. All data relevant to the study are included in the manuscript.

Results

<u>Demographic Characteristics and SDOH</u>

Within the study period, we screened 52,166 patients, tested 42,421 (81.3%) and 5,721 (15.2% of tested) patients were confirmed with COVID-19. The mean age of COVID-19 positive patients was 44.8 [15.7] years old. Black patients resided in neighborhoods with the highest rate under the federal poverty level (13.95%), unfavorable NDI (0.37), and the highest mean percentage of frontline (35.6%) and healthcare workers (7.4%). (Table 1). The highest percentage of the patients with COVID-19 resided in the Northeast Metro Atlanta area (36.5%). However, different areas of metro Atlanta showed varying prevalence of COVID-19 patients

when stratified by race/ethnicity. A higher proportion of Black patients lived in the Southern areas of metro Atlanta which visibly correlates with higher NDI neighborhoods. (Figure 1)

Comorbidities and Quality of Care

Black patients had the highest rates of obesity (9%), hypertension (34.7%), Asthma (11.3%) and human immunodeficiency virus (HIV; (1.9%) all p<0.001. White patients presented with the highest rates of congestive heart failure (CHF; 7.2%), coronary artery disease (CAD; 7.4%), arrhythmia (5%), chronic obstructive pulmonary disease (COPD; 4.6%), depression (15.5%) (all p<0.0001) and overall CCI Scores (2.1 [1.7]), p=0.0014) (Table 2). Asian patients had the highest rate of diabetes (18%; p=0.0022). Compared to other race/ethnicity groups, Black patients had the lowest rate of blood pressure control (69.9%) and the lowest self-reported mean [SD] weekly exercise minutes (75.3 [113.4]; p<0.001).

Hospitalization and Other Clinical Outcomes

Overall, 827 patients with COVID-19 were hospitalized with 896 hospital stays, a mean age of 57.3 (SD [15.8]) and an average length of stay of 7.9 [9.2]) days. (Table 3). Of those hospitalized, 66% were admitted at our two core Hospitals and 44% at non-core hospitals. Compare to all other race/ethnicity groups, Asian patients had longer average hospital LOS (14.5 [17.1]), ICU admission (53.1%) and invasive mechanical ventilation (21.9%) (all p<0.05). No significant differences in the rates of re-admission or mortality were found between race/ethnic groups.

Male patients had longer average hospital LOS's (8.6 [10.0] vs. 7.3 [8.3], p<0.05), and higher rates of ICU admission (32.2% vs. 25.8%; p<0.05) compared to female patients.

Compared to patients aged 18 to 49 years, older patients aged 50 to 64, and 65+ had longer average hospital LOS (6.6 [8,2] vs. 8.5 [9.9] and 8.5 SD [9.2]; p<0.05), higher rates of ICU admission (21.8% vs. 34.8% and 29.0%; p<0.05), invasive mechanical ventilation (4.4% vs. 10.9% and 9.9%; p<0.05) and death (2.2% vs. 8.1%, 21.1%; p<0.001). Of the 96 deceased patients in our cohort, 70% died during the COVID-19 index hospitalization. Other patients died after discharge to hospice (10.3%), assisted/skilled nursing facility or long term assisted care (9.3%), home (7.3%) or other hospital (3.1%)

Multivariable Analysis and Factors Associated with Hospitalization

Overall, increasing age was a significant risk factor for hospitalization in all models. Female sex was associated with lower odds of hospitalization in the All-patients (adjusted OR 0.74, 95% CI [0.61,0.90]), Asian (0.38, [0.15,0.96)]) and Hispanic models (0.39, [0.20, 0.76]), respectively (Table 4). Race Black (1.43 [1.13,1.83]) and race Hispanic (1.60 [1.08, 2.37]) were associated with increased odds of hospitalization in the All-patients model. Within the female model, race Black was associated (1.46 [1.06,2.02]) with increased odds of hospitalization.

Regarding comorbidities and quality of care, COVID-19 patients with COPD (2.59 [1.67, 4.02]), CHF (1.79 [1.31,2.45]), immunocompromised (1.77 [1.16, 2.70]), with HbA1c >8% (1.68 [1.19, 2.38]), depression (1.60 [1.24, 2.06]), hypertension (1.5 [1.21,1.87]) and higher comorbidity scores (1.19 [1.11,1.28]) had increased odds of hospitalization. Additionally, self-reported physical inactivity was associated with higher odds of hospitalization in the All-patients (1.25 [1,03, 1.51]) and female models (1.45 [1.12, 1.89])

Among Black patients, those with a history of COPD (2.53 [1.24, 5.16]), CHF (2.19 [1.47, 3.27]) and hypertension (1.74 [1.30, 2.32]) as well as those with higher CCI (1.21 [1.11,

1.33]), a recent (past 12 months) uncontrolled HbA1c >8% (1.74 [1.13, 2.66]) or a cough/cold medication prescription (1.37 [1.02, 1.84]) had higher odds of hospitalization.

Among White patients, those with a history of being immunocompromised (2.54 [1.14, 5.67]), with COPD (2.49 [1.38, 4,49]), depression (2.13 [1.42, 3.21]) and arrythmia (1.89 [1.05, 3.42]) as well as those with higher CCI (1.26 [1.12, 1.42]) had increased odds of hospitalization, whereas a recent blood pressure <140/90 measurement was a protective factor (0.46 [0.28, 0.76]).

Among Hispanic patients, a recent uncontrolled HbA1c(>8%) measurement was associated with higher odds of hospitalization (5.95 [2.24, 15.78]).

Among females, clinical factors significantly associated with increased odds of hospitalization were a history of COPD, CHF, immunocompromise, depression, uncontrolled HbA1c >8%, hypertension, self-reported physical inactivity and a higher CCI (adjusted ORs ranging from 4.34 to 1.12 in descending order). Among males, a recent uncontrolled HbA1c >9%, history of depression, hypertension, recent anti-asthmatic prescription and a higher CCI, were clinical factors associated with higher hospitalization odds (adjusted ORs ranging from 2.01 to 1.34, in descending order).

Finally, regarding SDOH factors, living in a zip code with high rates of unemployment (1.08 [1.03, 1.13]) and having Medicare insurance (1.52 [1.12, 2.06]), were associated with higher hospitalization odds among All-patients, as well as for Black patients. Conversely, residence in northeast Atlanta (0.64 [0.43, 0.95]) and in high-income zip codes (0.24 [0.08, 0.78]) were associated with lower hospitalization odds among White and Asian patients respectively.

Discussion

This study reports an over-representation of Black and Hispanic populations in both the outpatient and inpatient phases of care for COVID-19 in an integrated care system serving the Southeast region of the United States. In comparison to the KPGA membership by race/ethnicity (43% Black, 30% White, 5% Asian, 4% Hispanic, 18% Other/unknown), a higher proportion of Black and Hispanic patients were diagnosed with COVID-19 (58.4% and 8.5%, respectively) and required hospitalization (62% and 5.7%, respectively). White and Asian KPGA patients where not overrepresented in terms of COVID-19 diagnosis (29.5% and 3.6%, respectively) or hospitalization (28.7% and 3.6%, respectively). Although Asian patients showed significantly higher rates of disease severity (LOS, ICU admission, mechanical ventilation), we found no racial disparities in re-admission or mortality rates.

Our findings are comparable to previous reports but with some important exceptions. Earlier studies have reported similar clinical outcomes between Black and non-Black hospitalized COVID-19 patients in Georgia ^{7 8} and some previous reports have also showed no differences in clinical outcomes between racial/ethnic groups. ^{9 15}. Asian patients have also been shown to present with a higher cardiorespiratory severity (aOR 1.48) ¹³, and be at 1.3x times increased risk of hospitalization compared to White patients. ¹⁵ National data from the CDC from August 2020 indicates that, Black and Hispanic patients were 4.6 and 4.7 times more likely than Whites to be hospitalized for COVID-19. ¹⁵ Other studies from academic or integrated health care systems have shown that, after adjustment for age, sex, comorbidities, and income, Black patients had between 1.72 and 2.7 times and Hispanics 1.5 times the odds of hospitalization compared to White patients. ^{3 29 30} In comparison in our cohort, adjustment for sociodemographics, comorbidities, pre-pandemic quality of care and lifestyle behaviors did attenuate

but not fully eliminate racial disparities, with Black and Hispanic patients showing 1.43 and 1.60 higher odds of hospitalization compared to White patients. Of note, when examining the concordance (c) statistics of the different variables included in the All-patients model, clinical risk factors/quality of care predicted 0.66 of the hospitalization outcomes, comorbidities predicted 0.75 and demographics/SDOH a c-statistic of 0.76. Furthermore, the combination of these three groups of predictor variables reached a discriminatory ability of 0.79 for hospitalization. These findings underscore the importance of considering SDOH's in addition to demographic and clinical risk factors to better discriminate risk of severe COVID-19 health outcomes.

Compared to White patients, a higher percentage of Black and Hispanic patients with COVID-19 were female, younger, and more likely to reside in zip codes with a higher proportion of median household incomes below \$75,000. Furthermore, Black and Hispanic patients also reside at a higher proportion in neighborhoods with the highest rate of households below the federal poverty level (14 and 12%), high neighborhood deprivation index (0.37 and 0.03), and the highest percentage of frontline workers (35.6 and 35.4%%) compared to other racial groups. This and other SDOH factors have been associated with an increased risk of exposure to and infection with COVID-19 infection and underscore how systemic racism and inequities plays a role in health disparities, a situation that has been magnified by the COVID-19 pandemic in the U.S.

In addition, Black patients had significantly higher prevalence of obesity, hypertension, Asthma and HIV, all associated with increased disease severity in our analysis, as has been reported in previous studies.⁴ However, we found the comorbidity burden was somewhat different by race. In our cohort, Asian patients had the highest diabetes prevalence. White

patients were older, with higher CCI scores compared to Black patients, and had a significantly higher prevalence of underlying conditions, such as hyperlipidemia, CAD, CHF, COPD, arrythmia, and depression. Although there is a high prevalence of obesity, diabetes and other chronic diseases in the overall U.S population, particularly in the Southeast, ³¹⁻³³ our study and other reports suggest that different comorbidity phenotypes may influence COVID-19 disease severity across racial groups ¹³.

Similar to previous studies, our multivariable analysis revealed females were significantly (aOR 0.74) less likely to be hospitalized while race (Black, Hispanic) increasing age and chronic comorbidities were predominant factors associated with higher odds of hospitalization ⁷⁸. Medicare insurance type was a significant correlate of hospitalization, a finding that was expected given the population that has access to this insurance option. The median age for Medicare beneficiaries at KPGA was 71.8 vs 41.8 years for those with other types of insurance.

Interestingly, a recent uncontrolled blood glucose measurement (HbA1c >8%) was an independent risk factor for hospitalization among All-patients (aOR 1.68), Black (aOR 1.74) and particularly Hispanic patients (aOR 5.95). Conversely adequate blood pressure control (<140/90 mmHg) was a strong protective factor against hospitalization (aOR 0.46) among White patients. Overall, these findings suggest that presence of, and poorly controlled comorbidities, increase risk of hospitalization for COVID-19 and that improving clinical management of underlying cardio-metabolic diseases could help ameliorate hospitalization rates. As the pandemic waves progresses over time, particular emphasis on implementing evidence-based strategies to reduce well established racial disparities in diabetes and hypertension management, 25 34 should be reinforced. Approaches that leverage novel avenues of care including telemedicine and patient-

generated actionable data, as well as sustainable linkages with community resources are recommended.^{34 35}

In addition to demographic factors and underlying comorbidity burden and management, our analyses also accounted for the potential role of additional SDOH, including indicators of education, economic stability, health insurance type, neighborhood and physical environment as well as pre-pandemic lifestyle behaviors. Of these metrics, we found that residence in zip codes with a high proportion of unemployment was a consistent factor associated with increased hospitalization risk for All patients (aOR 1.08) and specifically Black patients (aOR 1.09). In contrast, residence in zip codes with a high proportion of high-income individuals (aOR 0.24) and living in the Northeast area of metro Atlanta (0.64) were powerful protective factors against hospitalization among White and Asian patients, respectively. Northeast Atlanta counties have consistently higher levels of median income, quality housing, green space, better safety and education and have a lower prevalence of obesity compared to the southern regions of KPGA's catchment area ^{36 37}. This is another reflection of how systemic factors perpetuate racial inequities and influence the risk of adverse health outcomes.

Furthermore, self-reported physical inactivity — engaging in less than 10 minutes of moderate to vigorous exercise/week — increased by 25% the odds of hospitalization among patients in our cohort. The effect estimate of physical inactivity was even more pronounced for female patients (aOR 1.45). Several biologic mechanisms may explain this novel association. Physical inactivity is a consistent risk factor for a plethora of chronic diseases shown to also increase COVID-19 severity.³⁸ Increased inactivity and sedentary time and related comorbidities are also associated with an increased low-grade chronic inflammatory state,³⁹ which may contribute to the known increased systemic inflammatory effects of COVID-19. In addition to

being a modulator of inflammation, regular moderate exercise is also an important immunomodulator, particularly of the virus-fighting cytotoxic immune response. ⁴⁰ This is reinforced by epidemiologic studies showing a link between moderate-to-vigorous regular exercise and a lower risk of upper respiratory tract viral infections – including influenza and pneumonia – as well as improved vaccine responses. ⁴¹ Although previous reports have shown that self-reported exercise is a predictor of clinical outcomes ²⁸, it is noteworthy that physical inactivity remained a significant correlate of hospitalization risk in our study population, after adjusting for traditional risk factors such as age, body mass index, comorbidity burden and therapeutic management. This reinforces the clinical value of promoting fitness and an active lifestyle, preferably outdoors, to reduce the risk of infection and disease severity of a novel infectious agent such as SARS-COV-2. ⁴²

This study has some limitations. The study population included only KPGA patients that have access to insurance and, therefore, ready access to health care services. However, our analysis showed a diverse socio-economic background of KPGA patients underscoring the role of various SDOH in relation to COVID-19 risk of infection and hospitalization. We excluded "Other" (n=13) and the "declined to report" (n=95) race/ethnicity categories from our analyses. Despite the robustness of KPGA's EHR data collection procedures and additional manual chart abstractions, we could not obtain data for an additional 636 patients with "unknown" race/ethnicity and thus this groups was also excluded from the analyses given their large heterogeneity and the difficulty to interpret findings or establish comparisons. In total these groups constituted about 11% of the COVID-19 patients seen at KPGA during the study period, a smaller proportion than the unknown race/ethnicity category in the Georgia DPH (18.5%). Finally, despite having some SDOH indicators in our member's EHR, we also included

neighborhood level data to extrapolate additional SDOH metrics. Well established U.S studies examining COVID-19 racial disparities have included some, but not all of the SDOH metrics we were able to include in our analyses ^{3 5 9 29} Ongoing investigation of the drivers in COVID-19 racial disparities will benefit from including more individual level SDOH data. Despite these limitations, by integrating underlying chronic disease management history, outpatient information, hospitalization, clinical outcomes and post-discharge follow-up data, this study provides one of the most comprehensive longitudinal assessments of COVID-19 patients in relation to racial/ethnic disparities.

To our knowledge, this investigation is the first COVID-19 retrospective cohort to include a multivariate analysis on multiple measures of SDOH and pre-pandemic comorbidity management. Our study suggests that, within our sample of KPGA patients with ready access to insurance and high quality of care in an integrated health care system, Black and Hispanic patients were still being disproportionately affected by COVID-19 risk of infection and hospitalization. However, we found no significant differences in clinical outcomes such as readmission or mortality across race/ethnicity groups. Location of residence, a proxy for the overall community context of our patients, appears to be a factor strongly associated with increased infection risk among Black patients. The SDOH have shown to contribute to a more unfavorable baseline health status and therefore, can indirectly impact COVID-19 risk of hospitalization and severity. 6 In addition to age, sex, location of residence and presence of comorbidities, pre-pandemic self-reported exercise levels and underlying blood pressure and glucose control may also significantly impact hospitalization risk in different race groups. Therefore, as interventions designed to reduce COVID-19 disparities and the systemic effects of racism ⁴³ are implemented, we recommend that in addition to well-known clinical variables,

413	individual and community-level social factors and lifestyle health behaviors be considered by
414	clinicians, health care systems 44 and public health stakeholders.
415	Acknowledgements: Special thanks to all the clinicians, providers and staff of the Southeast
416	Permanente Medical Group and Kaiser Permanente Georgia.
417	
418	Funding: None to report
419	
420	Author Contributions: Drs Lobelo and Shin had full access to all the data in the study and take
421	responsibility for the integrity of the data and the accuracy of the data analysis.
422	Concept and design: Shin, Lobelo, Koplan
423	Acquisition, analysis, or interpretation of data: Lobelo, Bienvenida, Leung, Mbanya, Leslie,
424	Shin
425	Drafting of the manuscript: Lobelo, Shin, Bienvenida, Leung, Mbanya, Leslie
426	Critical revision of the manuscript for important intellectual content: Lobelo, Koplan, Shin
427	Statistical analysis: Leung, Leslie
428	Administrative, technical, or material support: Lobelo, Koplan, Shin
429	Supervision: Lobelo, Koplan, Shin
430	
431	Figure 1 Legend. Map of Metro Atlanta Region's COVID19 Cases by Race/ethnicity
432	A. Map of COVID19 Cases: Race Black
433	B. Map of COVID19 Cases: Race White
434	C. Map of COVID19 Cases: Race Asian
435	D. Map of COVID19 Cases: Race Hispanic
436	E. Map of COVID19 Cases: All Races
437	F. Map of Metro Atlanta Neighborhood Deprivation Index

Refe	ren	ces
------	-----	-----

- 1. World Health Organization. Coronavirus disease (COVID-19) Situation Report November
- 17, 2020 [Available from: https://www.who.int/publications/m/item/weekly-
- 442 <u>epidemiological-update---17-november-2020</u>.
- 2. Price-Haywood EG, Burton J, Fort D, et al. Hospitalization and Mortality among Black
- Patients and White Patients with Covid-19. *N Engl J Med* 2020;382(26):2534-2543
- *doi:101056/NEJMsa2011686* 2020 doi: 10.1056/NEJMsa2011686 [published Online
- 446 First: 2020/05/28]
- 3. Azar KMJ, Shen Z, Romanelli RJ, et al. Disparities In Outcomes Among COVID-19 Patients
- In A Large Health Care System In California. [published online ahead of print, 2020 May
- 449 21]. Health Aff (Millwood) 2020;101377hlthaff202000598 doi:101377/hlthaff202000598
- 450 2020 [published Online First: 2020/05/22]
- 4. Suleyman G, Fadel RA, Malette KM, et al. Clinical Characteristics and Morbidity Associated
- With Coronavirus Disease 2019 in a Series of Patients in Metropolitan Detroit. *JAMA*
- 453 Netw Open 2020;3(6):e2012270. doi: 10.1001/jamanetworkopen.2020.12270 [published
- 454 Online First: 2020/06/17]
- 5. Millett GA, Jones AT, Benkeser D, et al. Assessing Differential Impacts of COVID-19 on
- Black Communities [published online ahead of print, 2020 May 14]. *Ann Epidemiol*
- *2020;101016/jannepidem202005003 doi:101016/jannepidem202005003* 2020 doi:
- 458 10.1016/j.annepidem.2020.05.003 [published Online First: 2020/05/19]

459	6. Centers for Disease Control and Prevention. National Center for Immunization and
460	Respiratory Diseases (NCIRD) DoVD. COVID-19 in Racial and Ethnic Minority Groups
461	Atlanta, GA2020 [Available from: https://www.cdc.gov/coronavirus/2019-ncov/need-
462	extra-precautions/racial-ethnic-minorities.html accessed July 2 2020.
463	7. Gold JA WK, Szablewski CM. Characteristics and Clinical Outcomes of Adult Patients
464	Hospitalized with COVID-19 — Georgia, March 2020. MMWR Morb Mortal Wkly Rep
465	2020 March 2020;69(18):545-50. doi: http://dx.doi.org/10.15585/mmwr.mm6918e1
466	[published Online First: April 29, 2020]
467	8. Killerby ME L-GR, Haight SC. Characteristics Associated with Hospitalization Among
468	Patients with COVID-19 — Metropolitan Atlanta, Georgia, March-April 2020. MMWR
469	Morb Mortal Wkly Rep 2020 2020 doi: http://dx.doi.org/10.15585/mmwr.mm6925e1
470	[published Online First: 17 June 2020]
471	9. Rentsch CT, Kidwai-Khan F, Tate JP, et al. Covid-19 by Race and Ethnicity: A National
472	Cohort Study of 6 Million United States Veterans. Preprint. medRxiv
473	2020;2020051220099135 Published 2020 May 18 doi:101101/2020051220099135 2020
474	10. United States Census Bureau. Georgia 2020 [Available from:
475	https://data.census.gov/cedsci/profile?q=Georgia&g=0400000US13&tid=ACSDP1Y201
476	<u>8.DP05</u> accessed June 17 2020.
477	11. Georgia Department of Public Health. Georgia Department of Public Health Daily Status
478	Report 2020 [updated 11/20/2020. Available from: https://dph.georgia.gov/covid-19-

daily-status-report accessed November 20 2020.

480	12. Georgia Department of Public Health. Georgia Department of Public Health Daily Status
481	Report 2020 [updated 6/6/2020. Available from: https://dph.georgia.gov/covid-19-daily-
482	status-report accessed May 12 2020.
483	13. Rodriguez F, Solomon N, de Lemos JA, et al. Racial and Ethnic Differences in Presentation
484	and Outcomes for Patients Hospitalized with COVID-19: Findings from the American
485	Heart Association's COVID-19 Cardiovascular Disease Registry. Circulation 2020 doi:
486	10.1161/circulationaha.120.052278 [published Online First: 2020/11/18]
487	14. Renelus BD, Khoury NC, Chandrasekaran K, et al. Racial Disparities in COVID-19
488	Hospitalization and In-hospital Mortality at the Height of the New York City Pandemic. J
489	Racial Ethn Health Disparities 2020:1-7. doi: 10.1007/s40615-020-00872-x [published
490	Online First: 2020/09/19]
491	15. Centers for Disease Control and Prevention. National Center for Immunization and
492	Respiratory Diseases (NCIRD) DoVD. COVID-19 Hospitalization and Death by
493	Race/Ethnicity, 2020.
494	16. Metro Atlanta Chamber. Profile of Metro Atlanta 2020 [Available from:
495	https://www.metroatlantachamber.com/resources/reports-and-information/executive-
496	profile accessed June 25th 2020.
497	17. National Center for Immunization and Respiratory Diseases (NCIRD) Division of Viral
498	Diseases. Standard Operating Procedure (SOP) for Triage of Suspected COVID-19
499	Patients in non-US Healthcare Settings: Early Identification and Prevention of
500	Transmission during Triage: Centers for Disease Control and Prevention; 2020 [updated

501	May 28th 2020. Available from: <a covid-19-"="" dph.georgia.gov="" href="https://www.cdc.gov/coronavirus/2019-ncov/hcp/non-ncov/h</td></tr><tr><td>502</td><td>us-settings/sop-triage-prevent-transmission.html accessed June 18 2020.</td></tr><tr><td>503</td><td>18. Georgia Department of Public Health. COVID-19: Guidance for Healthcare Professionals</td></tr><tr><td>504</td><td>2020 [updated April 16, 2020. Available from: https://dph.georgia.gov/covid-19-
505	guidance-healthcare-professionals accessed June 18 2020.
506	19. Andrews MR, Tamura K, Claudel SE, et al. Geospatial analysis of neighborhood deprivation
507	index (NDI) for the United States by county. Journal of Maps 2020;16(1):101-12. doi:
508	10.1080/17445647.2020.1750066
509	20. Messer LC, Laraia BA, Kaufman JS, et al. The development of a standardized neighborhood
510	deprivation index. J Urban Health 2006;83(6):1041-62. doi: 10.1007/s11524-006-9094-x
511	[published Online First: 2006/10/13]
512	21. ArcGIS. Esri Demographics - Tapestry Segmentation: ArcGIS; 2019 [updated 2019.
513	Available from: <a aspe.hhs.gov="" href="https://doc.arcgis.com/en/esri-demographics/data/tapestry-demographics/data/t</td></tr><tr><td>514</td><td>segmentation.htm accessed June 20, 2022.</td></tr><tr><td>515</td><td>22. Office of the Assistant Secretary for Planning and Evaluation. U.S. Federal Poverty</td></tr><tr><td>516</td><td>Guidelines Used to Determine Financial Eligibility for Certain Federal Programs: U.S</td></tr><tr><td>517</td><td>Department of Health & Human Services; 2020 [updated January 17th 2020. Available</td></tr><tr><td>518</td><td>from: https://aspe.hhs.gov/poverty-guidelines2020 .
519	23. World Health Organization. The ICD-10 classification of mental and behavioural disorders:
520	diagnostic criteria for research1993.

521	24. Deyo RA, Cherkin DC, Ciol MA. Adapting a clinical comorbidity index for use with ICD-9-
522	CM administrative databases. Journal of clinical epidemiology 1992;45(6):613-19.
523	25. National Committee for Quality Assurance (NCQA). HEDIS Measures and Technical
524	Resources 2020 [Available from: https://www.ncqa.org/hedis/measures/2020 .
525	26. Coleman KJ, Ngor E, Reynolds K, et al. Initial validation of an exercise "vital sign" in
526	electronic medical records. Medicine & Science in Sports & Exercise 2012;44(11):2071-
527	76.
530	27 Vanna DD Calaman KI Na a E at al. A acceptain made at an abasis at a stirite and
528	27. Young DR, Coleman KJ, Ngor E, et al. Associations between physical activity and
529	cardiometabolic risk factors assessed in a Southern California health care system, 2010-
530	2012. Preventing chronic disease 2014;11:E219. doi: 10.5888/pcd11.140196 [published
531	Online First: 2014/12/20]
532	28. Lobelo F, Rohm Young D, Sallis R, et al. Routine Assessment and Promotion of Physical
533	Activity in Healthcare Settings: A Scientific Statement From the American Heart
534	Association. Circulation 2018;137(18):e495-e522. doi: 10.1161/CIR.00000000000559
535	[published Online First: 2018/04/06]
536	29. Gu T, Mack JA, Salvatore M, et al. Characteristics Associated With Racial/Ethnic Disparities
537	in COVID-19 Outcomes in an Academic Health Care System. JAMA Netw Open
538	2020;3(10):e2025197. doi: 10.1001/jamanetworkopen.2020.25197 [published Online
539	First: 2020/10/22]

540	30. Poulson M, Neufeld M, Geary A, et al. Intersectional Disparities Among Hispanic Groups in
541	COVID-19 Outcomes. <i>J Immigr Minor Health</i> 2020:1-7. doi: 10.1007/s10903-020-
542	01111-5 [published Online First: 2020/10/23]
543	31. Hales CM, Carroll MD, Fryar CD, et al. Prevalence of obesity and severe obesity among
544	adults: United States, 2017–2018. NCHS Data Brief, no 360 Hyattsville, MD: National
545	Center for Health Statistics 2020 2020
546	32. Centers for Disease Control and Prevention. National Diabetes Statistics Report A, GA:
547	Centers for Disease Control and Prevention, U.S. Dept of Health and Human Services;
548	2020
549	33. Clark A, Jit M, Warren-Gash C, et al. Global, regional, and national estimates of the
550	population at increased risk of severe COVID-19 due to underlying health conditions in
551	2020: a modelling study. The Lancet Global health 2020; published online ahead of print,
552	2020 Jun 15]. Lancet Glob Health. 2020;S2214-109X(20)30264-3. doi:10.1016/S2214-
553	109X(20)30264-3 doi: 10.1016/s2214-109x(20)30264-3 [published Online First:
554	2020/06/20]
555	34. Ceriello A, Schnell O. COVID-19: Considerations of Diabetes and Cardiovascular Disease
556	Management. Journal of diabetes science and technology 2020:1932296820930025. doi:
557	10.1177/1932296820930025 [published Online First: 2020/06/02]
558	35. Shabto JM, Loerinc L, O'Keefe GA, et al. Characteristics and outcomes of COVID-19
559	positive patients with diabetes managed as outpatients. Diabetes research and clinical

560	practice 2020;164:108229. doi: 10.1016/j.diabres.2020.108229 [published Online First:
561	2020/05/25]
562	36. Emory University. COVID-19 Health Equity Interactive Dashboard 2020 [Available from:
563	https://covid19.emory.edu/ accessed June 23 2020.
564	37. County Health Rankings & Roadmaps. 2020 Georgia Report 2020 [Available from:
565	https://www.countyhealthrankings.org/reports/state-reports/2020-georgia-report accessed
566	June 23 2020.
567	38. Powell KE, King AC, Buchner DM, et al. The Scientific Foundation for the Physical Activity
568	Guidelines for Americans, 2nd Edition. J Phys Act Health 2018:1-11. doi:
569	10.1123/jpah.2018-0618 [published Online First: 2018/12/19]
570	39. Henson J, Yates T, Edwardson CL, et al. Sedentary time and markers of chronic low-grade
571	inflammation in a high risk population. <i>PLoS One</i> 2013;8(10):e78350. doi:
572	10.1371/journal.pone.0078350 [published Online First: 2013/11/10]
573	40. Nieman DC, Wentz LM. The compelling link between physical activity and the body's
574	defense system. Journal of sport and health science 2019;8(3):201-17.
575	41. Song Y, Ren F, Sun D, et al. Benefits of Exercise on Influenza or Pneumonia in Older
576	Adults: A Systematic Review. Int J Environ Res Public Health 2020;17(8) doi:
577	10.3390/ijerph17082655 [published Online First: 2020/04/17]
578	42. Sallis J, Pratt M. A Call to Action: Physical Activity and COVID-19 Exercise is
579	Medicine2020 [updated April 3, 2020. Available from:

580	https://www.exerciseismedicine.org/support_page.php/stories/?b=896 accessed June 23
581	2020.
582	43. Bryan A D-GJ, Davis NJ, Chokshi DA, Galea S. Moving From The Five Whys To Five
583	Hows: Addressing Racial Inequities In COVID-19 Infection And Death. Health Aff
584	(Millwood) 2020; 101377/hblog20200625389260
585	44. Parodi S CB, Young S, Bellows J, Grossman D, Liu VX. Kaiser Permanente's system
586	capabilities to suppress Covid-19. NEJM Catal Published June 9, 2020
587	doi:101056/CAT200187
588	
589	
590	
591	

Table 1: Socio-demographic characteristics of KPGA patients with COVID-19 seen from March 3 to October 29th, 2020

	KPGA members by Race No. (%)									
	All	Black	White	Hispanic	Asian	p-value				
ge, mean [SD], y	N=5,721 (100%) 44.8 [15.7]	n=3,339 (58,4%) 43.9 [15.1]	n=1,689 (29,5%) 47.1 [17.8]	n=487 (8.5%) 41.9 [14]	n=206 (3.6%) 45.8 [15.8]	<.0001				
ge range, y	44.0 [15.7]	43.5 [13.1]	47.1 [17.0]	41.5 [14]	45.0 [15.0]	\				
8-49	3414 (59.7)	2106 (63.1)	865 (51.21)	328 (67.4)	115 (55.8)					
0-64	1686 (29.5)	931 (27.9)	549 (32.5)	140 (28.8)	66 (32)	<.0001				
5 and above	621 (10.9)	302 (9)	275 (16.3)	19 (3.9)	25 (12.1)					
ender										
1ale	2416 (42.2)	1270 (38)	820 (48.5)	226(46.4)	100(48.5)	<.0001				
emale	3304 (57.8)	2068 (62)	869 (51.5)	261(53.6)	106(51.5)					
surance ommercial	4626 (80.9)	2675 (80.1)	1343 (79.5)	435 (89.3)	173 (84)					
ledicare	567 (9.9)	291 (8.7)	245 (14.5)	14 (2.9)	17 (8.3)					
edicaid	6 (0.1)	4 (0.1)	0 (0)	2 (0.4)	0 (0)	<.0001				
elf-pay	326 (5.7)	237 (7.1)	59 (3.5)	17 (3.5)	13 (6.3)					
her ^a	196 (3.4)	132 (4)	42 (2.5)	19 (3.9)	3 (1.5)					
edian Household				,						
come ^b No. (%)										
5k-50k	1079 (19.4)	855 (25.6)	115 (6.8)	78 (16)	31 (15)					
k-75k	2746 (49.3)	1814 (54.3)	639 (37.8)	225 (46.2)	68 (33)	<.0001				
5k-100k	1478 (26.5)	512 (15.3)	734 (43.5)	155 (31.8)	77 (37.4)					
00k+ ouseholds Under	272 (4.9)	58 (1.7)	170 (10.1)	19 (3.9)	25 (12.1)					
overty Level, % ^c										
verty Level, 70	12.36	13.95	9.85	11.96	10.37	<.0001				
sidential Region (%) d		25.55	3.00		20.07					
rtheast	2090 (36.5)	1085 (32.5)	626 (37.1)	274 (56.3)	105 (51)					
rthwest	969 (16.9)	341 (10.2)	492 (29.1)	102 (20.9)	34 (16.5)	<.0001				
utheast	1116 (19.5)	854 (25.6)	192 (11.4)	35 (7.2)	35 (17)	\.UUU1				
ıthwest	1179 (20.6)	822 (24.6)	280 (16.6)	53 (10.9)	24 (11.7)					
ighborhood	0.07	0.37	-0.4	0.03	-0.27	<.0001				
privation Index e			(V)							
upauon, mean % antline Workers	33.6	35 6	30.2	35 /	31 2					
valthcare Workers	7.2	7 <i>4</i>	7	6.5	6.6	< 0001				
her Workers	59.2	57	62.7	58.1	62.1	1.0001				
ucation, mean % g										
me High School	6.6	7.2	5.8	7	5.8					
igh School	22.3	24	20.2	21.4	19.9					
sociates Degree	8.4	8.6	8.1	8.2	8.4	< 0001				
me College	20.8	21.8	19.7	19.4	19.1	\UUU1				
chelors	21.8	19.5	24.8	22.1	24.9					
aduate	12.6	11.5	14.2	12.3	14.4					
eviations: COVID-19, (her Insurances include hed on ESRI® Business A recorded residence. verty line was defined h	coronavirus Disease a military Health Main Analyst dataset show	zoly; kpGA, Kaiser I tenance Organizatio ing median househo ty level	reimanente Georgia in (HMO) or Preferre old income by zip cod	; ed Provider Orgai de and then linke	nization (PPO). ed to individual p	atients based				
coutheast couthwest eighborhood eprivation Index e ccupationf, mean % rontline Workers ealthcare Workers ther Workers ducation, mean % g ome High School igh School ssociates Degree ome College achelors raduate breviations: COVID-19, C ther Insurances include ased on ESRI® Business A eir recorded residence. overty line was defined be the Atlanta metro area w Northwest: Cobb, Northeast: Dekalb, Southwest: Fulton Southeast: Claytor the Neighborhood Deprived thousing quality that resighborhood. ased on ESRI® Business A Frontline workers	as divided up by cou Cherokee, Paulding, , Gwinnett, Forsyth, , Douglas, Fayette, C n, Henry, Rockdale, V ration Index (NDI) is a	nty in four sub-region Bartow, Pickens, Po Hall, Barrow, Jackso oweta, Carroll, Merion Valton, Clarke, Spald a composite measure lengivation. The birth	ons lk, Troup, Habershar n, Butts, Gilmer, Pike wether, Heard, Daw ling, Oconee, Musco e of social and econd	m. e, Gordon, Jaspel son, Madison, Lu gee, Brooks, Tow omic factors such	r, Monroe. Impkin. vn. I as income, educ	cation, employ				
ghborhood. sed on ESRI® Business A Frontline workers	nalyst data. Occupat	ieprivation. The high ion Breakdown: /social services, pro	tective services, foo	d preparation/se	rving related ser	vices,				

- building/grounds cleaning/maintenance services, construction/extraction services, installation/maintenance/repair services, production services and transportation/material moving services.
- Healthcare workers included healthcare practitioners/technicians and healthcare support staff.
- Other workers included personal care/service workers, sales and sales related workers, office/administrative support workers, Other workers, included personal care/services workers, sales and sales related workers, including from in include personal care/services workers, sales and sales related workers, careful ca farming/fishing/forestry workers, management/business/financial workers, computer/mathematical service workers, architecture/engineering workers, life/physical/social science workers, community/social service workers, legal workers, education/training/library workers and arts/design/entertainment/sports/media workers.



Table 2: Comorbidities, outpatient medication, quality of care and exercise metrics of KPGA patients with COVID-19, by race/ethnicity

	All (N= 5721)	Black (n=3339)	White (n=1689)	Hispanic (n=487)	Asian (n=206)	p-value
Comorbidities N (%)						
HTN	1816 (31.7)	1160 (34.7) §, §§	507 (30) °	87 (17.9)	62 (30.1)	<0.0001
Diabetes	898 (15.7)	570 (17.1) §, §§	229 (13.6)	62 (12.7)	37 (18)	0.0022
Obesity (BMI>30)	439 (7.7)	300 (9) §, §§§	102 (6)	32 (6.6)	5 (2.4)	<0.0001
Hyperlipidemia	1262 (22.1)	667 (20) §, §§§	453 (26.8)	82 (16.8)	60 (29.1)	<0.0001
CAD	285 (5)	135 (4)	125 (7.4)	13 (2.7)	12 (5.8)	<0.0001
CHF	320 (5.6)	187 (5.6) §, §§	121 (7.2)	6 (1.2)	6 (2.9)	<0.0001
Asthma	574 (10)	377 (11.3) §, §§, §§§	148 (8.8)	35 (7.2)	14 (6.8)	0.0013
COPD	153 (2.7)	64 (1.9) §	78 (4.6)	5 (1)	6 (2.9)	<0.0001
Arythmia	172(3)	79 (2.4) §, §§	85 (5) °	3 (0.6)	5 (2.4)	<0.0001
ESRD ^a	4 (0.1)	3 (0.1)	1 (0.1)	0 (0)	0 (0)	0.8726
HIV	65 (1.1)	54 (1.6) §, §§	9 (0.5)	1 (0.2)	1 (0.5)	0.0007
Depression	633 (11.1)	318 (9.5) §	262 (15.5) °, °°	39 (8)	14 (6.8)	<0.0001
CKD ^b	100 (1.8)	62 (1.9) §§	31 (1.8)	3 (0.6)	4 (1.9)	0.2632
Cancer	93 (1.6)	55 (1.7)	33 (2)	3 (0.6)	2 (1)	0.1867
2+ Comorbidities ^c	1823 (31.9)	1095 (32.8) §§§	570 (33.7)	90 (18.5)	68 (33)	<0.0001
3+ Comorbidities ^c	966 (16.9)	560 (16.8) §, §§§	333 (19.7)	41 (8.4)	32 (15.5)	<0.0001
Charlson Comorbidity Index, mean [SD] ^d	1.9 [1.4] §, §§	1.9 [1.5]	2.1 [1.7]	1.5 [1.1]	1.67 [1.2]	0.0014
Outpatient Medication, No. (%)						
Anti Rheumatic	17 (0.3)	8 (0.2)	7 (0.4)	2 (0.4)	0 (0)	0.5696
Anti Hypertensive	1059 (18.5)	632 (18.9) §§	329 (19.5) °	62 (12.7)	36 (17.5)	0.0062
Anti Asthmatic	890 (15.6)	533 (16)	274 (16.2)	57 (11.7)	26 (12.6)	0.3283
Anti Hyperlipidemic	1034 (18.1)	543 (16.3) §, §§§	371 (22)	65 (13.4)	55 (26.7)	<0.0001
Corticosteroids	1244 (21.7)	726 (21.7) §	388 (23)	89 (18.3)	41 (19.9)	0.1478
Anti malarial	31 (0.5)	22 (0.7) §	4 (0.2)	4 (0.8)	1 (0.5)	0.2139
Quality of Care Metrics ^e						
Blood Pressure <140/90	1315 (72.4)	811 (69.9) §§	389 (76.7) °	66 (75.9)	49 (79)	<0.0001
Diabetes Uncontrolled (A1C>8)	286 (5)	195 (5.8)	65 (3.8)	18 (3.7)	8 (3.9)	0.1976
Average Exercise minutes, mean [SD] ^f	79.9 [114]	75.3 [113.4] §	91 [128.1]	76.7 [115.6]	87.5 [99.7]	0.0034
EVS Category, No. (%) g						
Inactive	1648 (28.8)	998 (29.9)	460 (27.2)	136 (27.9)	54 (26.2)	

as

Insufficient	1336 (23.4)	814 (24.4%) §	359 (21.3)	107 (22)	56 (27.2)	
Sufficient	785 (13.7)	432 (12.9) §	265 (15.7) °	57 (11.7)	31 (15.1)	0.0044
No information	1952 (34.1)	1095 (32.8) §, §§	605 (35.8)	187 (38.4)	65 (31.6)	

Abbreviations: COVID-19, Coronavirus Disease 2019; KPGA, Kaiser Permanente Georgia; HbA1c, Glycated Hemoglobin; BMI, Body Mass Abbreviations: COVID-19, Coronavirus Disease 2019; KPGA, Kaiser Permanente Georgia; HDAIC, Glycated Hemoglobili; Divil, Douy Magelindex; CAD, Coronary Artery Disease; CHF, Congestive Heart Failure; CKD, Chronic Kidney Disease; COPD, Chronic Obstructive Pulmonary Disease; ESRD, End Stage Renal Disease; HTN, Hypertension; EVS, Exercise as a Vital Sign.

Significance Levels: § Black vs. White; §§ Black vs. Hispanic; §§§Black vs Asian; °White vs Hispanic; °°White vs. Asian °°° Hispanic vs. Asian, significant difference at p< 0.05

Health Problems code (ICD-10) in patient's medical history.

^b CKD classified based on diagnosis reported by the ICD-10 code in patient's medical history.

^cComorbidities here are medical diagnoses included in medical history as ICD-10 codes. These include but are not limited to those presented in the table.

d Charlson Comorbidity Index predicts the 10-year mortality of a patient based on age and comorbidities. Scores are summed to provide a

^{**}Comorbidities here are medical diagnoses included in medical history as ICD-10 codes. These include but are not limited to those presented in the table.

**Charlson Comorbidity Index predicts the 10-year motality to a patient based on age and comorbidities. Scores are summed to provide a total predictive score. The lowest score of 0 corresponds to a 98% estimated 10-year survival rate. (Charlson *et al.* 1987)

**Assessed at the most recent clinical encounter within the last 12 months

**Average exercise was collected from self-reported data.

**EVIs is based on patient reported weekly exercise minutes. We used 3 categories: Inactive for patients who reported 150 mins/week, insufficiently active for patients who reported 11-149 minutes/week and sufficiently active for patients who reported 150 mins/week.

Downloaded from http://bmjopen.bmj.com/site/about/guidelines.xhtml

For peer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml

Table 3: Clinical outcomes of hospitalized KPGA patients with COVID-19 by race/ethnicity, sex and age groups

			Race/Et	thnicity		Sex B		Age group (years)		
		No. (%)			No. (%) 9		No. (%)			
	Total no. (%)	Black	White	Hispanic	Asian	Female		18-49	50-64	65+
		Total	Total	Total	Total	Total	Total 8	Total	Total	Total
Hospitalization	N = 896 ^b	556 (62.0%)	257 (28.7%)	51 (5.7%)	32 (3.6%)	458 (51.1%)	438 (48.9%)	271 (30.3%)	322 (35.9%)	303 (33.8%)
Characteristics)44(
Mean Age c,	57.3 [15.7]	55.4 [15.1]	62.7 [16.1]	51.2 [12.4]	55.9 [15.4]	56.4 [16.4]	58.1 [14.8]	n/a	n/a	n/a
Years, [SD]							on			
Health Care Utiliza	tion						19			
Mean Hospital	7.9 [9.2]	7.9 [9.1]	7.2 [7.9]	6.9 [7.3]	14.5 [17.1]	7.3 [8.3]	8.6 [10.0万	6.6 [8.2]	8.5 [9.9]	8.5 [9.2]
LOS, days, [SD]		*†	*†	*†	*†,*°, *°°,*°°°	*∆	*Δ,*ε ~	* ¶	*¶, *◊	*¶,*◊◊
Admitted to ICU ^d	259 (28.9%)	154 (27.7%)	71 (27.6%)	17 (33.3%)	17 (53.1%)	118 (25.8%)	141 (32.2%)	59 (21.8%)	112 (34.8%)	88 (29.0%)
		*†	*†	*†	*† ,*°,*°°	*Δ	*Δ,*° -	*¶	*¶,**◊	*¶,*◊◊
Mean ICU LOS,	10.0 [10.5]	10.1 [9.3]	8.1 [9.0]	8.7 [9.6]	17.8 [20.4]	9.1 [9.8]	10.7 [11.02]	9.0 [9.0]	9.7 [10.3]	11 [11.7]
days, [SD]		*†	*†	*†	*†		nlo			
Mechanical	77 (8.6%)	51 (9.2%)	15 (5.8%)	4 (7.8%)	7 (21.9%)	36 (7.9%)	41 (9.4%	12 (4.4%)	35 (10.9%)	30 (9.9%)
Ventilation d		*†	*†	*†	*†,*°,*°°		ă	*¶	*¶, **◊	*¶, *◊◊
Mean ventilator	14.5 [11.4]	13.4 [9.0]	12.9 [10.6]	16 [9.1]	24.7 [22.5]	14.4 [10.8]	14.6[12.0∯	13.6 [9.1]	12.6 [10.7]	17.0 [12.7]
duration, days,					4) ht			
[SD]							nttp://			
Outcomes					1		, bn		1	ı
Discharged Alive d	798 (89.1%)	502 (90.3%)	222 (86.4%)	46 (90.2%)	28 (87.5%)	417 (91%)	381 (87.0%)	265 (97.8%) **¶	294 (91.3%) **¶,**◊	239 (78.9%) **¶,**◊◊
Still Hospitalized ^d	2 (0.2%)	1 (0.2%)	1 (0.4%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	2 (0.5%)	0 (0.0%)	2 (0.6%)	0 (0.0%)
30 Day	75 (8.4%)	44 (7.9%)	25 (9.7%)	4 (7.8%)	2 (6.3%)	38 (8.3%)	37 (8.4%	22 (8.1%)	23 (7.1%)	30 (9.9%)
Re-admission d							9			
60 Day Re-admission ^d	85 (9.5%)	49 (8.8%)	30 (11.7%)	4 (7.8%)	2 (6.3%)	44 (9.6%)	41 (9.4%)	25 (9.2%)	25 (7.8%)	35 (11.6%)
Deceased ^d	96 (10.7%)	53 (9.5%)	34 (13.2%)	5 (9.8%)	4 (12.5%)	41 (9.0%)	55 (12.6%)	6 (2.2%)	26 (8.1%)	64 (21.1%)
							202	**¶	**¶,*◊	**¶,**◊◊

Abbreviations: COVID-19, Coronavirus Disease 2019; KPGA, Kaiser Permanente Georgia; ICU, Intensive Care Unit; SD, Standard Devation; n/a = not applicable;

a Significance Levels * P ≤ 0.05, ** P ≤ 0.001 b N=896 includes patients at level of hospital stay. Thus, participants who were readmitted, or transferred, are accounted for more that once.

c Mean age represents all unique hospitalized patients n=827
d In this table, column percentages are provided for categorical variables and rounded to the nearest tenth.
Significance Tests (Categorical: Chi-Squared Test of Significance, Continuous: ANOVA Test of Significance, Two-Sample T-Test of Means

[†] Across Race Groups, ° Black vs. Asian; °° White vs. Asian; °° Hispanic vs. Asian; Δ Across Sex; ° Men vs. Women

[¶]Across Age Groups; ◊ Age 18-49 vs. 50-64; ◊◊ Age 18-49 vs. 65+; ◊◊◊ Age 50-64 vs. 65+

Table 4: Multivariable logistic regression model odds ratios for hospitalization among all KPGA COVID-19 patients and by race/ethnicity and sex

			Race/E	Sex			
Population	All COVID-19	Black	White	Hispanic	Asian Pe	Female	Male
Total sample size n (%)	n=5,721 (100%)	n=3,339 (58,4%)	n=1,689 (29,5%)	n=487 (8.5%)	n=206 (3.6%)	n=3,304 (57.8%)	n=2,417 (42.2%)
Variables OR (95% CI)	, , , ,	, , , ,	, , ,	,	, , 202	, , ,	, , ,
Demographics					20-0		
Race Black	1.43*(1.13,1.83)	n/aª	n/aª	n/aª	n/aª ‡	1.46*(1.06,2.02)	
Race Hispanic	1.60*(1.08,2.37)	n/aª	n/aª	n/aª	n/aª 44 n/aª 52		
Age	1.03**(1.02,1.04)	1.02**(1.01,1.04)	1.05**(1.03,1.06)	1.05**(1.02,1.07)	1.06**(1.03,1.109)	1.04**(1.03,1.05)	1.04**(1.03,1.05)
Female Sex	0.74*(0.61,0.90)			0.39*(0.20,0.76)	0.38*(0.15,0.96	n/aª	n/aª
Social Determinants					May		
Medicare Insurance ^b	1.52*(1.12,2.06)	1.92*(1.29,2.88)			ay 2		
High Unemployment Zip code ^c	1.08*(1.03,1.13)	1.09*(1.03,1.16)			202	1.09*(1.02,1.17)	1.11**(1.04,1.19)
NE County Area d			0.64*(0.43,0.95)		1. [
High Income Zip code ^c					0.24*(0.08,0.78)		
Comorbidities ^e		I	I		'nlo	1	
COPD	2.59**(1.67,4.02)	2.53*(1.24,5.16)	2.49*(1.38,4.49)		ade	4.34**(2.42,7.77)	
CHF	1.79**(1.31,2.45)	2.19**(1.47,3.27)			ă fr	2.62**(1.67,4.12)	
Immunocompromised	1.77*(1.16,2.70)		2.54*(1.14,5.67)		o'm	2.41*(1.22,4.74)	
Depression	1.60**(1.24,2.06)		2.13**(1.42,3.21)		htt	1.52*(1.11,2.09)	1.73*(1.11,2.69)
Hypertension	1.50**(1.21,1.87)	1.74**(1.30,2.32)			þ://	1.38*(1.01,1.88)	1.58*(1.15,2.17)
Charlson Comorbidity Index f	1.19**(1.11,1.28)	1.21**(1.11,1.33)	1.26**(1.12,1.42)		om.	1.12*(1.01,1.24)	1.34**(1.23,1.47)
Arrhythmia			1.89*(1.05,3.42)		9		
Quality of Care Metrics g					en.l		
Uncontrolled HbA1c >8%	1.68*(1.19,2.38)	1.74*(1.13,2.66)		5.95**(2.24,15.78)	o <u>m</u> .	1.76*(1.07,2.90)	
Uncontrolled HbA1c >9%					.co		2.01*(1.11,3.62)
Blood Pressure <140/90			0.46* (0.28,0.76)		m/		
Anti-Asthmatic Medication					000		1.51*(1.06,2.15)
Cough/Cold Medication		1.37* (1.02,1.84)			April		
Lifestyle Behaviors ^g					_		
Physically Inactive ⁱ	1.25*(1.03,1.51)				, V	1.45*(1.12,1.89)	

Abbreviations: KPGA, Kaiser Permanente Georgia; COVID-19, Coronavirus Disease 2019; NE, Northeast; COPD, Chronic Obstructive Pulm ary Disease; CHF, Congestive Heart Failure; HbA1c, Glycated Hemoglobin

a not available as an independent variable for stratified models; Significance Levels * $P \le 0.05$, ** $P \le 0.001$

b Represents Medicare Population (Aged 65+ and people with disabilities)

^c Based on ESRI® Business Analyst dataset showing employment and income breakdown by zip code and then linked to individual patients—based on their recorded residence

d NE County Area includes Dekalb, Gwinnett, Forsyth, Hall, Barrow, Jackson, Butts, Gilmer, Pike, Gordon, Jasper, Monroe counties

e Based on diagnosis reported by the 10th revision of the International Statistical Classification of Diseases and Related Health Problems cade (ICD-10) in patient's medical history

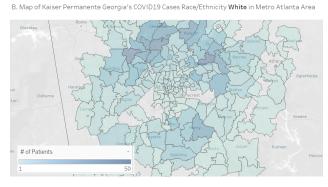
f Charlson Comorbidity Index predicts the 10-year mortality of a patient based on age and comorbidities. Scores are summed to provide a batal predictive score. The lowest score of 0 corresponds to a 98% estimated 10-year survival rate. (Charlson et al. 1987)

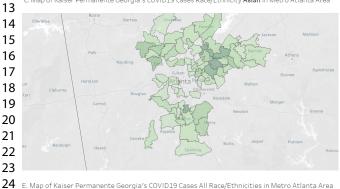
^g Assessed at the most recent clinical encounter within the last 12 months

¹ Physically Inactive defined as self-reported weekly exercise < 10 minutes

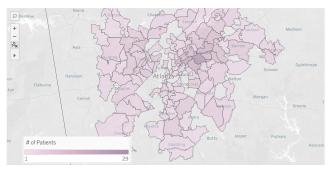
Kaiser Permanente Georgia's COVID19 Cases By Race/Ethnicity

A. Map of Kaiser Permanente Georgia's COVID19 Cases of Race/Ethnicity Black in Metro Atlanta Area # of Patients

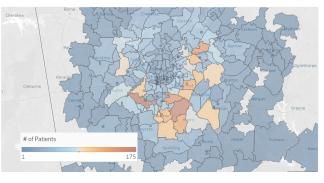




E. Map of Kaiser Permanente Georgia's COVID19 Cases All Race/Ethnicities in Metro Atlanta Area



F. Map of Metro Atlanta Neighborhood Deprivation Index



Map of Metro Atlanta Region's COVID19 Cases by Race/Ethnicity Map of COVID19 Cases: Race Black

- Map of COVID19 Cases: Race White
- Map of COVID19 Cases: Race Asian
- Map of COVID19 Cases: Race/ethnicity Hispanic
- Map of COVID19 Cases: All Races/ethnicities
- Map of Metro Atlanta Neighborhood Deprivation Index

STROBE Statement—Checklist of items that should be included in reports of *cohort studies*

	Manuscript Page #	Recommendation
Title and abstract	1,2	(a) Indicate the study's design with a commonly used term in the title or the abstract
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found
Introduction		
Background/rationale	4	Explain the scientific background and rationale for the investigation being reported
Objectives	5	State specific objectives, including any prespecified hypotheses
Methods		
Study design	5	Present key elements of study design early in the paper
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up
		(b) For matched studies, give matching criteria and number of exposed and unexposed
Variables	6-8	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable
Data sources/ measurement	7,8	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group
Bias	8	Describe any efforts to address potential sources of bias
Study size	6-8	Explain how the study size was arrived at
Quantitative variables	7,8	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why
Statistical methods	8,9	(a) Describe all statistical methods, including those used to control for confounding
		(b) Describe any methods used to examine subgroups and interactions
		(c) Explain how missing data were addressed
		(d) If applicable, explain how loss to follow-up was addressed
		(e) Describe any sensitivity analyses
Results		
Participants	9	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed
		(b) Give reasons for non-participation at each stage
		(c) Consider use of a flow diagram
Descriptive data	9,10	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders
		(b) Indicate number of participants with missing data for each variable of interest
		(c) Summarise follow-up time (eg, average and total amount)
Outcome data	10	Report numbers of outcome events or summary measures over time
Main results	10-12	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear

		which confounders were adjusted for and why they were included
		(b) Report category boundaries when continuous variables were categorized
		(c) If relevant, consider translating estimates of relative risk into absolute
		risk for a meaningful time period
Other analyses	9-11	Report other analyses done—eg analyses of subgroups and interactions, and
		sensitivity analyses
Discussion		
Key results	13,14	Summarise key results with reference to study objectives
Limitations	17,18	Discuss limitations of the study, taking into account sources of potential bias
		or imprecision. Discuss both direction and magnitude of any potential bias
Interpretation	13-18	Give a cautious overall interpretation of results considering objectives,
		limitations, multiplicity of analyses, results from similar studies, and other
		relevant evidence
Generalisability	17,18	Discuss the generalisability (external validity) of the study results
Other information		
Funding	19	Give the source of funding and the role of the funders for the present study
		and, if applicable, for the original study on which the present article is based

^{*}Give information separately for exposed and unexposed groups.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at http://www.plosmedicine.org/, Annals of Internal Medicine at http://www.annals.org/, and Epidemiology at http://www.epidem.com/). Information on the STROBE Initiative is available at http://www.strobe-statement.org.

BMJ Open

Clinical, Behavioral and Social Factors Associated with Racial Disparities in COVID-19 Patients from an Integrated Health Care System in Georgia: A Retrospective Cohort Study

Journal:	BMJ Open
Manuscript ID	bmjopen-2020-044052.R2
Article Type:	Original research
Date Submitted by the Author:	19-Feb-2021
Complete List of Authors:	Lobelo, Felipe; Southeast Permanente Medical Group Inc, Quality and Patient Safety Bienvenida, Alan; Southeast Permanente Medical Group Inc Leung, Serena; Southeast Permanente Medical Group Inc Mbanya, Armand N; Southeast Permanente Medical Group Inc Leslie, Elizabeth; Southeast Permanente Medical Group Inc Koplan, Kate; Southeast Permanente Medical Group Inc Shin, S. Ryan; Southeast Permanente Medical Group Inc
Primary Subject Heading :	Health services research
Secondary Subject Heading:	Epidemiology, Global health, Infectious diseases, Public health
Keywords:	COVID-19, EPIDEMIOLOGY, Quality in health care < HEALTH SERVICES ADMINISTRATION & MANAGEMENT, Public health < INFECTIOUS DISEASES

SCHOLARONE™ Manuscripts



I, the Submitting Author has the right to grant and does grant on behalf of all authors of the Work (as defined in the below author licence), an exclusive licence and/or a non-exclusive licence for contributions from authors who are: i) UK Crown employees; ii) where BMJ has agreed a CC-BY licence shall apply, and/or iii) in accordance with the terms applicable for US Federal Government officers or employees acting as part of their official duties; on a worldwide, perpetual, irrevocable, royalty-free basis to BMJ Publishing Group Ltd ("BMJ") its licensees and where the relevant Journal is co-owned by BMJ to the co-owners of the Journal, to publish the Work in this journal and any other BMJ products and to exploit all rights, as set out in our licence.

The Submitting Author accepts and understands that any supply made under these terms is made by BMJ to the Submitting Author unless you are acting as an employee on behalf of your employer or a postgraduate student of an affiliated institution which is paying any applicable article publishing charge ("APC") for Open Access articles. Where the Submitting Author wishes to make the Work available on an Open Access basis (and intends to pay the relevant APC), the terms of reuse of such Open Access shall be governed by a Creative Commons licence – details of these licences and which Creative Commons licence will apply to this Work are set out in our licence referred to above.

Other than as permitted in any relevant BMJ Author's Self Archiving Policies, I confirm this Work has not been accepted for publication elsewhere, is not being considered for publication elsewhere and does not duplicate material already published. I confirm all authors consent to publication of this Work and authorise the granting of this licence.

1	Clinical, Behavioral	and Social Factors	Associated with	Racial Disparities in	COVID-19
---	----------------------	--------------------	-----------------	-----------------------	----------

- 2 Patients from an Integrated Health Care System in Georgia: A Retrospective Cohort Study
- 4 Felipe Lobelo, MD PhD^{1,2*}; Alan Bienvenida, MPH¹; Serena Leung, MPH¹; Armand Mbanya,
- 5 MD MPH¹; Elizabeth J Leslie, MS¹; Kate E Koplan, MD MPH¹; S. Ryan Shin, MD MA¹
- 7 Department of Quality and Patient Safety, The Southeast Permanente Medical Group, Kaiser
- 8 Permanente Georgia and ² Hubert Department of Global Health, Rollins School of Public Health,
- 9 Emory University, Atlanta, Georgia.
- 11 Please address correspondence to:
- 12 Felipe Lobelo, MD PhD
- 13 Physician Program Director Epidemiology, Public Health and Preparedness
- and Senior Physician Consultant, Population Health Research
- 15 Department of Quality and Patient Safety
- 16 The Southeast Permanente Medical Group; Kaiser Permanente Georgia
- 17 3495 Piedmont Road NE; 9 Piedmont Center, 3rd floor
- 18 Atlanta GA 30305-1736
- 19 P: (470) 825-6846
- 20 <u>Felipe.lobelo@kp.org</u>; felipelobelo@emory.edu

24 ABSTRACT

- **Objectives:** To identify socio-demographic, clinical and behavioral drivers of racial disparities
- and their association to clinical outcomes among Kaiser Permanente Georgia (KPGA) members
- with COVID-19.
- **Design**: Retrospective cohort of patients with COVID-19 seen from March 3rd to October 29th,
- 29 2020. We described the distribution of underlying comorbidities, quality of care metrics,
- demographic and social determinants of health (SDOH) indicators across race groups. We also
- 31 described clinical outcomes in hospitalized patients including length of stay, ICU admission,
- readmission and mortality. We performed multivariable analyses for hospitalization risk among
- all COVID-19 patients and stratifying by race and sex.
- **Setting**: KPGA, an integrated health care system.
- Participants: 5,712 patients who all had laboratory-confirmed COVID-19. Of them, 57.8% were
- 36 female, 58.4% Black, 29.5% White, 8.5% Hispanic and 3.6% Asian
- **Results**: Black patients had the highest proportions of living under the federal poverty line
- 38 (12.4%) and in more deprived neighborhoods (neighborhood deprivation index=0.4). Overall,
- 39 14.4% (n=827) of this cohort was hospitalized. Asian patients had the highest rates of ICU
- admission (53.1%) and mechanical ventilation (21.9%). Among all patients: Hispanics (aOR
- 41 1.60, 95% CI [1.08, 2.37]), Blacks (1.43 [1.13, 1.83]), age in years (1.03 [1.02, 1.04]) and living
- in a zip-code with high unemployment (1.08 [1.03, 1.13]) were associated with higher odds of
- hospitalization. COVID-19 patients with chronic obstructive pulmonary disease (2.59 [1.67,
- 44 4.02]), chronic heart failure (1.79 [1.31,2.45]), immunocompromised (1.77 [1.16, 2.70]), with
- 45 glycated hemoglobin >8% (1.68 [1.19, 2.38)], depression (1.60 [1.24, 2.06]), hypertension (1.5
- 46 [1.21,1.87]) and physical inactivity 1.25 ([1,03, 1.51]) had higher odds of hospitalization.

Conclusions: Black and Hispanic KPGA patients were at higher odds of hospitalization, but not
mortality, compared to other race groups. Beyond previously reported socio-demographics and
comorbidities, quality of car, lifestyle behaviors and SDOH indicators should be considered
when designing and implementing interventions to reduce COVID-19 racial disparities.

ARTICLE SUMMARY: STRENGHTS AND LIMITATIONS OF THIS STUDY

- In the United States and across the world, racial and ethnic minorities have shouldered a
 disproportionate burden of COVID-19 infection, but data on the various clinical and social
 drivers of these disparities is limited.
- As a limitation, the target population in this analysis included only KPGA patients that have insurance and ready access to health care services
- To our knowledge, this is the first COVID-19 retrospective cohort study to incorporate
 multiple individual and community-level SDOH indicators, pre-pandemic lifestyle behaviors
 and comorbidity management metrics as drivers of COVID-19 racial disparities

Data sharing statement: All data relevant to the study are included in the article. No additional data is available.

Competing Interest Statement: there are no competing interests for any author.

MANUSCRIPT TEXT

Introduction

As of November 15th 2020, the United States (U.S) had over 10.5 million cases and 250,000 deaths due to coronavirus disease 2019 (COVID-19).¹ This accounts for 20% of the cases and deaths reported worldwide, despite the U.S having about 4% of the global population. It has been widely reported that racial/ethnic minorities, particularly those living in large and diverse urban centers, shoulder a disproportionate burden of the COVID-19 infection risk and associated adverse health outcomes.²⁻⁶

Earlier descriptive studies from patients admitted during March/April 2020 in Georgia showed an over-representation of COVID-19 hospitalizations and death rates among Black populations.⁷⁸ Subsequent reports from two large health care systems in Louisiana and California, and from the Veterans Affairs health system⁹ also found racial disparities in COVID-19 outcomes and clinical risk factors for hospitalization. These reports also theorized that chronic disease control, health behaviors, social and other factors may contribute to such disparities.^{35,7,8} However, limited availability of quality of care history and social determinants of health (SDOH) metrics in most medical health records has precluded a more comprehensive analyses of potential drivers of these racial disparities.

The U.S. Census Bureau reports the racial/ethnic demographic distribution of Georgia as 57.8% White, 31.9% Black, 4.1% Asian, 0.4% American Indian/ Alaska Native, 2.7% two or more races and 3% some other race with 9.8% Hispanics (irrespective of race). As of November 20th, the Georgia Department of Public Health (DPH) reported 399,410 confirmed COVID-19 with the following categorization by race/ethnicity: 37% White, 27.5% Black, 12.5% Hispanic, 1.9% Asian, 2.6% other race (American Indian/Alaska Native, Native

Hawaiian/Pacific Islander) and 18.5% unknown or no data ¹¹. This overrepresentation of Black and Hispanic populations in terms of COVID-19 burden has also been observed in other U.S areas. ⁴⁶⁹¹²⁻¹⁵ Kaiser Permanente Georgia (KPGA) is a regional integrated health care system serving over 300,000 patients in 32 counties located in the Atlanta Metropolitan Area and Northeast Georgia. As of April 2020, KPGA membership is 43% Black, 30% White, 5% Asian, 4% Hispanic and 18% Unknown/other, which mirrors that of the Atlanta metropolitan area. ¹⁶

This study had two objectives. First, to determine if racial disparities exist amongst KPGA patients with COVID-19, with respect to demographic and SDOH, pre-pandemic comorbidities/ underlying conditions, quality of care metrics and lifestyle behaviors as well as COVID-19 related clinical outcomes (hospitalization, ICU admission, length of stay, mechanical ventilation, readmission and mortality). Second, to explore the roles of these clinical, behavioral and SDOH factors as potential drivers of racial disparities for COVID-19 hospitalization.

Methods

We performed a retrospective review of KPGA patients seen with COVID-19 related symptoms between March 3rd and October 29th of 2020. Patients were screened according to the U.S. Centers for Disease Control and Prevention (CDC) and Georgia DPH guidelines.^{17 18} Patients who met criteria were tested for severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) by polymerase chain reaction (PCR). For this analysis, we included any KPGA member with a documented laboratory-confirmed COVID-19 PCR test in their EMR which also integrates tests performed in facilities outside of our health care system. At the start of the epidemic, KPGA prioritized testing among symptomatic health care workers and symptomatic KPGA patients requiring hospital admission. In mid-April, testing was progressively expanded to high-risk symptomatic patients]based on clinical criteria (>65 years, immunocompromise, chronic

obstructive pulmonary disease (COPD), moderate-to-severe asthma, serious heart condition, Body Mass Index (BMI)>40, diabetes, chronic kidney disease (CKD), liver disease, pregnancy) and symptomatic patients with public health implications (healthcare workers, first responders, jail and elder care employees, etc.). Tests were offered in following manner. After in person or telemedicine evaluation, patients were tested, if recommended, via drive-thru and/or tents at one of four KPGA facilities located across metro Atlanta.

Patient Demographics

We characterized COVID-19 patients by age, sex, self-reported race/ethnicity, insurance type, and area of residence. Race/ethnicity was categorized in our EHR as African American/Black (hereinafter referred to as "Black"), non-Hispanic White ("White"), Hispanic/Latino ("Hispanic"), "Asian/Pacific Islander ("Asian"), "unknown", "declined to report" and "Other", which included American Indian/Alaska Native. For purposes of this analyses, we excluded COVID-19 patients seen during the study period in the "Other" (n=13) "unknown" (n=636) and "declined to report" (n=95) categories, given the large heterogeneity of these groups and/or low sample size.

We obtained patient's location of residence and zip code from the EHR and categorized it into four different regions of metro Atlanta: Northeast, Northwest, Southeast, and Southwest. Residence location was also linked to the neighborhood deprivation index (NDI), a composite SDOH measure including income, education, employment and housing quality. The higher the NDI value, the higher the level of deprivation in the neighborhood. We also utilized ESRI® Business Analyst data, a comprehensive demographic and lifestyle database which provides data to help interpolate patient's socioeconomic status²¹. Specifically, we linked patients' places of residency with ESRI's® zip code level classifications of median household

income, occupation (frontline, healthcare and other), and educational attainment. We used this data to cross-reference median household income with the government-defined poverty line.²²

<u>Patient and public involvement</u>: Given the nature of this study, it was not appropriate or possible to involve patients or the public in the design, or conduct, or reporting, or dissemination plans of our research.

Comorbidities and Quality of Care (Past 12 months)

Existing comorbidities and clinical care of patients were obtained from the latest clinical visit dating back up to 12 months from the first COVID-19 encounter. Comorbidities were reported as classified by the International Statistical Classification of Diseases and Related Health Problems codes (ICD-10)²³. The Deyo-Charlson Comorbidity Index (CCI) was used as a continuous measure of total comorbidity burden.²⁴ The CCI is a weighted index developed to predict risk of death within 1 year of hospitalization for patients with 17 specific common comorbidities. Each condition is assigned a weight from 1 to 6, based on the estimated 1-year mortality hazard ratio and the weights summed to produce the CCI. A score of zero indicates no comorbidities whereas the higher the score, the more comorbidity burden resulting in higher predicted mortality or resource utilization.

We used pharmacy dispensing data to compile the frequency of outpatient medications used by patients. We used established clinical thresholds recommended by the National Committee for Quality Assurance as markers for adequate blood pressure (<140/90mmHg) and (glycated hemoglobin (HbA1c <8% and <9%) blood glucose control. ²⁵

Exercise Vital Sign (EVS) data was collected from the latest encounter. Patient's physical activity levels were classified as inactive, insufficiently active, and sufficiently active for those self-reporting \leq 10 minutes, 11-149 minutes, and \geq 150 minutes of exercise/week, respectively. The EVS has been previously validated 26 and is considered a clinically relevant screening tool for physical activity behaviors in health care settings $^{27\,28}$.

Clinical Outcomes

All patients with COVID-19 who were hospitalized at KPGA affiliated (2 core and 43 non-core) hospitals, were characterized by hospital length of stay (LOS), intensive care unit (ICU) LOS, invasive mechanical ventilation initiation and duration, hospital discharge, 30 and 60-day readmission, currently hospitalized, and deceased. Hospital LOS consisted of the entire time spent in hospital from admission (including emergency department) to discharge (including death). Instances of admission and discharge on the same date were defined as LOS of one day. Mechanical ventilation data was compiled using an ICD-10 code flagging instances and length of emergency endotracheal intubation during hospital stay. Readmissions were defined as instances of subsequent admission to a hospital within the KPGA health system due to COVID-19 complications or any other cause, 30 and 60 days after index discharge. We conducted manual record reviews to distinguish between encounters of readmission and patient transfers from a hospital to another non-KPGA affiliated medical facility.

Statistical Analysis

We report numbers (percentages) for binary and categorical variables and means (SD) for continuous variables. Chi-square tests, ANOVAs and two sample t-tests were used to determine

significant differences between groups. For two sample t-tests with statistically unequal variances, the Satterthwaite method was applied and reported.

Multivariable logistic regression was used to explore factors associated with having a COVID-19 related hospitalization in seven different models: all COVID-19 patients, stratified by race/ethnicity (Black, White, Hispanic, Asian) and by sex. All multivariable logistic regression models included age, sex and race/ethnicity as independent variables and hospitalization as the dependent variable. All additional independent variables were assessed using a bivariate analysis, either chi-squared or two sample t-test, and only the variables showing evidence of a statistically significant (α=0.05) relationship with the dependent variable were considered for entry into the models. Stepwise selection method was used for final independent variable selection with effect entry and effect remain significance levels of 0.05 and adjusted via the Student–Newman–Keuls post hoc test for differences in means. All data analysis was conducted using SAS 9.4 software. The KPGA institutional review board approved this study with a waiver of informed consent. All data relevant to the study are included in the manuscript.

198 Results

Demographic Characteristics and SDOH

Within the study period, we screened 52,166 patients, tested 42,421 (81.3%) and 5,721 (15.2% of tested) patients were confirmed with COVID-19. The mean age of COVID-19 positive patients was 44.8 [15.7] years old (Table 1). A higher proportion of black patients resided in neighborhoods under the federal poverty level (13.95%), with unfavorable NDI (0.37), and with the highest mean percentage of frontline (35.6%) and healthcare workers (7.4%) compared to other race groups (Table 1). The highest overall percentage of the patients with COVID-19

resided in the Northeast Metro Atlanta area (36.5%) (Table 1). However, different areas of metro Atlanta showed varying prevalence of COVID-19 patients when stratified by race/ethnicity. A higher proportion of Black patients lived in the Southern areas of metro Atlanta which visibly correlates with higher NDI neighborhoods(Figure 1).

Comorbidities and Quality of Care

Black patients had the highest rates of obesity (9%), hypertension (34.7%), Asthma (11.3%) and human immunodeficiency virus (HIV; (1.9%) all p<0.0001 (Table 2). White patients presented with the highest rates of congestive heart failure (CHF; 7.2%), coronary artery disease (CAD; 7.4%), arrhythmia (5%), chronic obstructive pulmonary disease (COPD; 4.6%), depression (15.5%) (all p<0.0001) and overall CCI Scores (2.1 [1.7]), p=0.0014) (Table 2). Asian patients had the highest rate of diabetes (18%; p=0.0022) (Table 2). Compared to other race/ethnicity groups, Black patients had the highest proportion of patients with uncontrolled blood pressure (BP) as defined by BP>140/90 mmHg (30.1%) and the lowest self-reported mean [SD] weekly exercise minutes (75.3 [113.4]; p<0.0001) (Table 2).

Hospitalization and Other Clinical Outcomes

Overall, 827 patients with COVID-19 were hospitalized with 896 hospital stays, a mean age of 57.3 (SD [15.8]) and an average length of stay of 7.9 [9.2]) days(Table 3). Of those hospitalized, 66% were admitted at our two core Hospitals and 34% at non-core hospitals.

Compared to Black and White patients, Asian patients had longer average hospital LOS

(14.5 [17.1] vs. 7.9 [9.1], p=0.0002, and 7.2 [7.9], p<0.0001), ICU admission (53.1% vs. 27.7%,

p=0.0021, and 27.6%, p=0.0031) and invasive mechanical ventilation (21.9% vs. 9.2%, p=.0191,

and 5.8%, p<0.0001), respectively (Table 3). Asians also had a longer average hospital LOS than Hispanics (14.5 [17.1] vs. 6.9 [7.3], p=0.0064). No significant differences in the rates of readmission or mortality were found between racial groups (Table 3).

Male patients had longer average hospital LOS (8.6 [10.0] vs. 7.3 [8.3], p=0.03), and higher rates of ICU admission (32.2% vs. 25.8%; p=0.03) compared to female patients (Table 3). Compared to patients aged 18 to 49 years, older patients aged 50 to 64, and 65+ had longer average hospital LOS (6.6 [8.2] vs. 8.5 [9.9], p=0.0084, and 8.5 [9.2], p=0.0092), higher rates of ICU admission (21.8% vs. 34.8%, p<0.0001, and 29.0%,p=0.0265), invasive mechanical ventilation (4.4% vs. 10.9%, p=0.0004, and 9.9%, p=0.0033) and death (2.2% vs. 8.1%, p=0.001, 21.1%, p<0.0001) (Table 3). Of the 96 deceased patients in our cohort, 70% died during the COVID-19 index hospitalization (Table 3). Other patients died after discharge to hospice (10.3%), assisted/skilled nursing facility or long term assisted care (9.3%), home (7.3%) or other hospital (3.1%).

Multivariable Analysis and Factors Associated with Hospitalization

Overall Model: Socio-demographic factors including increasing age (aOR 0.74, 95% CI [0.61,0.90]), Black race (aOR 1.43 [1.13,1.83]), Hispanic race (aOR 1.60 [1.08, 2.37]), living in a zip code with high rates of unemployment (aOR 1.08 [1.03, 1.13]) and having Medicare insurance (aOR 1.52 [1.12, 2.06]) were associated with increased odds of hospitalization for COVID-19 (Table 4). Female sex was associated with lower odds of hospitalization (aOR 0.74, 95% CI [0.61,0.90]) (Table 4).

Comorbidities, quality of care, and lifestyle factors associated with increased odds of hospitalization included patients with COPD (aOR 2.59 [1.67, 4.02]), CHF (aOR 1.79

[1.31,2.45]), immunocompromised (aOR 1.77 [1.16, 2.70]), with HbA1c >8% (aOR 1.68 [1.19,
2.38]), depression (aOR 1.60 [1.24, 2.06]), hypertension (aOR 1.5 [1.21,1.87]) and higher
comorbidity scores (aOR 1.19 [1.11,1.28]) (Table 4). Additionally, self-reported physical
inactivity was associated with higher odds of hospitalization (aOR 1.25 [1,03, 1.51]) (Table 4).

Race Stratification: Increasing age was associated to increased odds of hospitalization across all race groups (Table 4).

Among Black patients, living in a zip code with high rates of unemployment (aOR 1.09 [1.03,1.16]) and having Medicare insurance (aOR 1.92 [1.29,2.88]) were associated with higher hospitalization odds. Clinically, those with a history of COPD (aOR 2.53 [1.24, 5.16]), CHF (aOR 2.19 [1.47, 3.27]) and hypertension (aOR 1.74 [1.30, 2.32]) as well as those with higher CCI (aOR 1.21 [1.11, 1.33]), a recent (past 12 months) uncontrolled HbA1c >8% (aOR 1.74 [1.13, 2.66]) or a cough/cold medication prescription (aOR 1.37 [1.02, 1.84]) had higher odds of hospitalization (Table 4).

Among White patients, residence in northeast Atlanta (aOR 0.64 [0.43, 0.95]) was protective for COVID-19 hospitalization. White patients with a history of being immunocompromised (aOR 2.54 [1.14, 5.67]), with COPD (aOR 2.49 [1.38, 4,49]), depression (aOR 2.13 [1.42, 3.21]), arrythmia (aOR 1.89 [1.05, 3.42]) and recent blood pressure measurement >140/90 (aOR 2.17 [1.31, 3.57]), as well as those with higher CCI (aOR 1.26 [1.12, 1.42]) had increased odds of hospitalization (Table 4).

Among Hispanic patients, a recent uncontrolled HbA1c (>8%) measurement was associated with higher odds of hospitalization (aOR 5.95 [2.24, 15.78]) (Table 4). Being a a female was protective for hospitalization with aOR 0.39 [0.20,0.76]) (Table 4).

Among Asian patients being a female (aOR 0.38 [0.15,0.96]) and residing in a high-income zip code (aOR 0.24 [0.08,0.78]) were protective against hospitalization for COVID-19 (Table 4).

Sex stratification: Increasing age was associated with increased odds of hospitalization in all sex stratified models (Table 4).

Among male patients, residing in a high unemployment zip code was associated with increased odds of hospitalization (aOR 1.11 [1.04,1.19]) (Table 4). A recent uncontrolled HbA1c >9% (aOR 2.01 [1.11,3.62]), history of depression (aOR 1.73 [1.11,2.69]), hypertension (aOR 1.58 [1.15,2.17]), recent anti-asthmatic prescription (aOR 1.51[1.06,2.15]) and a higher CCI (aOR 1.34 [1.23,1.47]), were clinical factors associated with higher hospitalization odds (Table 4).

Among female patients, socio-demographic factors associated with increased odds of hospitalization included being Black (aOR 1.46 [1.06,2.02]) and living in a high unemployment zip code (aOR 1.09 [1.02,1.17]) (Table 4).Clinical factors significantly associated with increased odds of hospitalization were a history of COPD (aOR 4.34 [2.42,7.77]), CHF (aOR 2.62 [1.67,4.12]), immunocompromise (aOR 2.41 [1.22,4.74]), depression (aOR 1.52 [1.11,2.09]), uncontrolled HbA1c >8% (aOR 1.76 [1.07,2.90]), hypertension (aOR 1.38 [1.01,1.88]), self-reported physical inactivity (aOR 1.45 [1.12,1.89]) and a higher CCI (aOR 1.12 [1.01,1.24]) (Table 4).

Discussion

This study reports an over-representation of Black and Hispanic populations among the cohort of laboratory-confirmed COVID-19 patients seen in an integrated care system serving the

Southeast region of the United States. In comparison to the KPGA membership by race/ethnicity (43% Black, 30% White, 5% Asian, 4% Hispanic, 18% Other/unknown), a higher proportion of Black and Hispanic patients were diagnosed with COVID-19 (58.4% and 8.5%, respectively) and required hospitalization (62% and 5.7%, respectively). White and Asian KPGA patients where not overrepresented in terms of COVID-19 diagnosis (29.5% and 3.6%, respectively) or hospitalization (28.7% and 3.6%, respectively). Although Asian patients showed significantly higher rates of disease severity (LOS, ICU admission, mechanical ventilation), we found no racial disparities in re-admission or mortality rates.

Our findings are comparable to previous reports but with some important exceptions. Earlier studies have reported similar clinical outcomes between Black and non-Black hospitalized COVID-19 patients in Georgia ^{7 8} and some previous reports have also showed no differences in clinical outcomes between racial/ethnic groups. ^{9 15}. Asian patients have also been shown to present with a higher cardiorespiratory severity (aOR 1.48) ¹³, and be at 1.3x times increased risk of hospitalization compared to White patients. ¹⁵ National data from the CDC from August 2020 indicates that, Black and Hispanic patients were 4.6 and 4.7 times more likely than Whites to be hospitalized for COVID-19. ¹⁵ Other studies from academic or integrated health care systems have shown that, after adjustment for age, sex, comorbidities, and income, Black patients had between 1.72 and 2.7 times and Hispanics 1.5 times the odds of hospitalization compared to White patients. ^{3 29 30}

Cowpared to White patients, a higher percentage of Black and Hispanic patients with COVID-19 were female, younger, and more likely to reside in zip codes with a higher proportion of median household incomes below \$75,000. Furthermore, Black and Hispanic patients also resided at a higher proportion in neighborhoods with the highest rate of households below the

federal poverty level (14 and 12%), with a higher neighborhood deprivation index (0.37 and 0.03), and the highest percentage of frontline workers (35.6 and 35.4%%) compared to other racial groups. This and other SDOH factors have been associated with an increased risk of exposure to and infection with COVID-19 infection and underscore how systemic racism and inequities plays a role in health disparities, a situation that has been magnified by the COVID-19 pandemic in the U.S.

In addition to SDOH factors, comorbidities have been associated to more severe COVID-19 disease. The prevalence of comorbidities in the U.S. is inequitably distributed across race groups with minority populations shouldering a heavier burden of disease. Black patients had significantly higher prevalence of obesity, hypertension, Asthma and HIV, all associated with increased disease severity in our analysis, as has been reported in previous studies. In our cohort, Asian patients had the highest diabetes prevalence. White patients were older, with higher CCI scores compared to Black patients, and had a significantly higher prevalence of underlying conditions, such as hyperlipidemia, CAD, CHF, COPD, arrythmia, and depression. Although there is a high prevalence of obesity, diabetes and other chronic diseases in the overall U.S population, particularly in the Southeast, 31-33 our study and other reports suggest that different comorbidity phenotypes may influence COVID-19 disease severity across racial groups 13.

Similar to previous studies, our multivariable analysis revealed females were significantly (aOR 0.74) less likely to be hospitalized while racial minorities (Black, Hispanic) increasing age and chronic comorbidities were predominant factors associated with higher odds of hospitalization ⁷⁸. Medicare insurance type was a significant correlate of hospitalization, a finding that was expected given the age of the population that has access to this insurance option.

The median age for Medicare beneficiaries at KPGA was 71.8 vs 41.8 years for those with other types of insurance.

Interestingly, a recent uncontrolled blood glucose measurement (HbA1c >8%) was an independent risk factor for hospitalization among All-patients (aOR 1.68), Black (aOR 1.74) and particularly Hispanic patients (aOR 5.95). Furthermore, poor blood pressure control (>140/90 mmHg) was a predictive factor for hospitalization (aOR 2.17) among White patients. Overall, these findings suggest that presence of, and poorly controlled comorbidities, increase risk of hospitalization for COVID-19 and that improving clinical management of underlying cardiometabolic diseases could help ameliorate hospitalization rates. As the pandemic waves progresses over time, particular emphasis on implementing evidence-based strategies to reduce well established racial disparities in diabetes and hypertension management, ^{25 34} should be reinforced. Approaches that leverage novel avenues of care including telemedicine and patientgenerated actionable data, as well as sustainable linkages with community resources are recommended.^{34 35} Moreover, identifying the drivers of poorly controlled comorbidities in minority populations, particularly diabetes among Hispanic patients, may be particularly impactful given the high prevalence of both diabetes and COVID-19 risk of infection and hospitalization among this group.

In addition to demographic factors and underlying comorbidity burden and management, our analyses also accounted for the potential role of additional SDOH, including indicators of education, economic stability, health insurance type, neighborhood and physical environment as well as pre-pandemic lifestyle behaviors. Of these metrics, we found that residence in zip codes with a high proportion of unemployment was a consistent factor associated with increased hospitalization risk for All patients (aOR 1.08) and specifically Black patients (aOR 1.09), albeit

with a smaller effect than other factors. In contrast, residence in zip codes with a high proportion of high-income individuals (aOR 0.24) and living in the Northeast area of metro Atlanta (0.64) were powerful protective factors against hospitalization among White and Asian patients, respectively. Northeast Atlanta counties have consistently higher levels of median income, quality housing, green space, better safety and education and have a lower prevalence of obesity compared to the southern regions of KPGA's catchment area ^{36 37}. This is another reflection of how systemic factors perpetuate racial inequities and influence the risk of adverse health outcomes.

Furthermore, self-reported physical inactivity — engaging in less than 10 minutes of moderate to vigorous exercise/week — increased by 25% the odds of hospitalization among patients in our cohort. The effect estimate of physical inactivity was even more pronounced for female patients (aOR 1.45). Several biologic mechanisms may explain this novel association. Physical inactivity is a consistent risk factor for a plethora of chronic diseases shown to also increase COVID-19 severity.³⁸ Increased inactivity and sedentary time and related comorbidities are also associated with an increased low-grade chronic inflammatory state, ³⁹ which may contribute to the known increased systemic inflammatory effects of COVID-19. In addition to being a modulator of inflammation, regular moderate exercise is also an important immunomodulator, particularly of the virus-fighting cytotoxic immune response. 40 This is reinforced by epidemiologic studies showing a link between moderate-to-vigorous regular exercise and a lower risk of upper respiratory tract viral infections – including influenza and pneumonia – as well as improved vaccine responses.⁴¹ Although previous reports have shown that self-reported exercise is a predictor of clinical outcomes²⁸, it is noteworthy that physical inactivity remained a significant correlate of hospitalization risk in our study population, after

adjusting for traditional risk factors such as age, body mass index, comorbidity burden and therapeutic management. This reinforces the clinical value of promoting fitness and an active lifestyle, preferably outdoors, to reduce the risk of infection and disease severity of a novel infectious agent such as SARS-COV-2.⁴²

This study has some limitations. The study population included only KPGA patients that have access to insurance and, therefore, ready access to health care services. However, our analysis showed a diverse socio-economic background of KPGA patients underscoring the role of various SDOH in relation to COVID-19 risk of infection and hospitalization. We excluded "Other" (n=13) and the "declined to report" (n=95) race/ethnicity categories from our analyses. Despite the robustness of KPGA's EHR data collection procedures and additional manual chart abstractions, we could not obtain data for an additional 636 patients with "unknown" race/ethnicity and thus this groups was also excluded from the analyses given their large heterogeneity and the difficulty to interpret findings or establish comparisons. In total these groups constituted about 11% of the COVID-19 patients seen at KPGA during the study period, a smaller proportion than the unknown race/ethnicity category in the Georgia DPH (18.5%). Finally, despite having some SDOH indicators in our member's EHR, we also included neighborhood level data to extrapolate additional SDOH metrics. Well established U.S studies examining COVID-19 racial disparities have included some, but not all of the SDOH metrics we were able to include in our analyses ^{3 5 9 29} Ongoing investigation of the drivers in COVID-19 racial disparities will benefit from including more individual level SDOH data. Despite these limitations, by integrating underlying chronic disease management history, outpatient information, hospitalization, clinical outcomes and post-discharge follow-up data, this study

provides one of the most comprehensive assessments of COVID-19 patients in relation to racial/ethnic disparities.

To our knowledge, this investigation is the first COVID-19 retrospective cohort to include a multivariate analysis on multiple measures of SDOH and pre-pandemic comorbidity management. Our study suggests that, within our sample of KPGA patients with ready access to insurance and high quality of care in an integrated health care system, Black and Hispanic patients were still being disproportionately affected by COVID-19 infection and risk of hospitalization. However, we found no significant differences in clinical outcomes such as readmission or mortality across race/ethnicity groups. These outcomes are not very frequent therefore these finding needs to be corroborated on a larger sample size. Location of residence, a proxy for the overall community context of our patients, appears to be a factor strongly associated with increased hospitalization risk among Black patients. The SDOH have shown to contribute to a more unfavorable baseline health status and therefore, can indirectly impact COVID-19 risk of hospitalization and severity. In addition to age, sex, location of residence and presence of comorbidities, pre-pandemic self-reported exercise levels and underlying blood pressure and glucose control may also significantly impact hospitalization risk in different race groups. Therefore, as interventions designed to reduce COVID-19 disparities and the systemic effects of racism ⁴³ are implemented, we recommend that in addition to well-known clinical and quality of care variables, individual and community-level social factors and lifestyle health behaviors be considered by clinicians, health care systems 44 and public health stakeholders.

Acknowledgements: Special thanks to all the clinicians, providers and staff of the Southeast Permanente Medical Group and Kaiser Permanente Georgia.

2		
3 4	435	Funding: None to report
5 6 7	436	
8	437	Data sharing statement: All data relevant to the study are included in the article. No additional
9 10 11	438	data is available.
12 13	439	
14 15	440	Competing Interest Statement: there are no competing interests for any author
16 17 18	441	
19 20	442	Author Contributions: Drs Lobelo and Shin had full access to all the data in the study and take
21 22	443	responsibility for the integrity of the data and the accuracy of the data analysis.
23 24 25	444	Concept and design: Shin, Lobelo, Koplan
26	445	Acquisition, analysis, or interpretation of data: Lobelo, Bienvenida, Leung, Mbanya, Leslie,
27 28 29	446	Shin
30 31	447	Drafting of the manuscript: Lobelo, Shin, Bienvenida, Leung, Mbanya, Leslie
32 33	448	Critical revision of the manuscript for important intellectual content: Lobelo, Koplan, Shin
34 35 36	449	Statistical analysis: Leung, Leslie
37 38	450	Administrative, technical, or material support: Lobelo, Koplan, Shin
39 40 41	451	Supervision: Lobelo, Koplan, Shin
42	452	
43 44	453	Figure 1 Legend. Map of Metro Atlanta Region's COVID19 Cases by Race/ethnicity
45 46	454	A. Map of COVID19 Cases: Race Black
47 48	455	B. Map of COVID19 Cases: Race White
49 50	456	C. Map of COVID19 Cases: Race Asian
51 52	457	D. Map of COVID19 Cases: Race Hispanic
53	458	E. Map of COVID19 Cases: All Races
54 55 56 57	459	F. Map of Metro Atlanta Neighborhood Deprivation Index

460	Ethics Statement:
461	Patient consent for publication
462	Not required.
463	
464	Ethics approval
465	The KPGA institutional review board approved this study with a waiver of informed consent
466	(study ID: 1605119)
467	
468	
469	
470	References
471	
472	1. World Health Organization. Coronavirus disease (COVID-19) Situation Report November
473	17, 2020 [Available from: https://www.who.int/publications/m/item/weekly-
474	epidemiological-update17-november-2020.
475	2. Price-Haywood EG, Burton J, Fort D, et al. Hospitalization and Mortality among Black
476	Patients and White Patients with Covid-19. N Engl J Med 2020;382(26):2534-2543
477	doi:101056/NEJMsa2011686 2020 doi: 10.1056/NEJMsa2011686 [published Online
478	First: 2020/05/28]
479	3. Azar KMJ, Shen Z, Romanelli RJ, et al. Disparities In Outcomes Among COVID-19 Patients
480	In A Large Health Care System In California. [published online ahead of print, 2020 May
481	21]. Health Aff (Millwood) 2020;101377hlthaff202000598 doi:101377/hlthaff202000598
482	2020 [published Online First: 2020/05/22]

483	4. Suleyman G, Fadel RA, Malette KM, et al. Clinical Characteristics and Morbidity Associated
484	With Coronavirus Disease 2019 in a Series of Patients in Metropolitan Detroit. JAMA
485	Netw Open 2020;3(6):e2012270. doi: 10.1001/jamanetworkopen.2020.12270 [published
486	Online First: 2020/06/17]

- 5. Millett GA, Jones AT, Benkeser D, et al. Assessing Differential Impacts of COVID-19 on Black Communities [published online ahead of print, 2020 May 14]. *Ann Epidemiol* 2020;101016/jannepidem202005003 doi:101016/jannepidem202005003 2020 doi: 10.1016/j.annepidem.2020.05.003 [published Online First: 2020/05/19]
- 6. Centers for Disease Control and Prevention. National Center for Immunization and Respiratory Diseases (NCIRD) DoVD. COVID-19 in Racial and Ethnic Minority Groups Atlanta, GA2020 [Available from: https://www.cdc.gov/coronavirus/2019-ncov/need-extra-precautions/racial-ethnic-minorities.html accessed July 2 2020.
- 7. Gold JA WK, Szablewski CM. Characteristics and Clinical Outcomes of Adult Patients

 Hospitalized with COVID-19 Georgia, March 2020. MMWR Morb Mortal Wkly Rep

 2020 March 2020;69(18):545-50. doi: http://dx.doi.org/10.15585/mmwr.mm6918e1

 [published Online First: April 29, 2020]
- 8. Killerby ME L-GR, Haight SC. Characteristics Associated with Hospitalization Among

 Patients with COVID-19 Metropolitan Atlanta, Georgia, March–April 2020. MMWR

 Morb Mortal Wkly Rep 2020 2020 doi: http://dx.doi.org/10.15585/mmwr.mm6925e1

 [published Online First: 17 June 2020]

503	9. Rentsch CT, Kidwai-Khan F, Tate JP, et al. Covid-19 by Race and Ethnicity: A National
504	Cohort Study of 6 Million United States Veterans. Preprint. medRxiv
505	2020;2020051220099135 Published 2020 May 18 doi:101101/2020051220099135 2020
506	10. United States Census Bureau. Georgia 2020 [Available from:
507	https://data.census.gov/cedsci/profile?q=Georgia&g=0400000US13&tid=ACSDP1Y201
508	<u>8.DP05</u> accessed June 17 2020.
509	11. Georgia Department of Public Health. Georgia Department of Public Health Daily Status
510	Report 2020 [updated 11/20/2020. Available from: https://dph.georgia.gov/covid-19-
511	daily-status-report accessed November 20 2020.
512	12. Georgia Department of Public Health. Georgia Department of Public Health Daily Status
513	Report 2020 [updated 6/6/2020. Available from: https://dph.georgia.gov/covid-19-daily-
514	status-report accessed May 12 2020.
515	13. Rodriguez F, Solomon N, de Lemos JA, et al. Racial and Ethnic Differences in Presentation
516	and Outcomes for Patients Hospitalized with COVID-19: Findings from the American
517	Heart Association's COVID-19 Cardiovascular Disease Registry. Circulation 2020 doi:
518	10.1161/circulationaha.120.052278 [published Online First: 2020/11/18]
519	14. Renelus BD, Khoury NC, Chandrasekaran K, et al. Racial Disparities in COVID-19
520	Hospitalization and In-hospital Mortality at the Height of the New York City Pandemic. J
521	Racial Ethn Health Disparities 2020:1-7. doi: 10.1007/s40615-020-00872-x [published
522	Online First: 2020/09/19]

523	15. Centers for Disease Control and Prevention. National Center for Immunization and
524	Respiratory Diseases (NCIRD) DoVD. COVID-19 Hospitalization and Death by
525	Race/Ethnicity, 2020.
526	16. Metro Atlanta Chamber. Profile of Metro Atlanta 2020 [Available from:
527	https://www.metroatlantachamber.com/resources/reports-and-information/executive-
528	profile accessed June 25th 2020.
529	17. National Center for Immunization and Respiratory Diseases (NCIRD) Division of Viral
530	Diseases. Standard Operating Procedure (SOP) for Triage of Suspected COVID-19
531	Patients in non-US Healthcare Settings: Early Identification and Prevention of
532	Transmission during Triage: Centers for Disease Control and Prevention; 2020 [updated
533	May 28th 2020. Available from: https://www.cdc.gov/coronavirus/2019-ncov/hcp/non-
534	us-settings/sop-triage-prevent-transmission.html accessed June 18 2020.
5 25	10 Comis Donaton at a Challia Harlet COVID 10 Caidan a far Harlet and Darfarian la
535	18. Georgia Department of Public Health. COVID-19: Guidance for Healthcare Professionals
536	2020 [updated April 16, 2020. Available from: https://dph.georgia.gov/covid-19-
537	guidance-healthcare-professionals accessed June 18 2020.
538	19. Andrews MR, Tamura K, Claudel SE, et al. Geospatial analysis of neighborhood deprivation
539	index (NDI) for the United States by county. <i>Journal of Maps</i> 2020;16(1):101-12. doi:
540	10.1080/17445647.2020.1750066
541	20. Messer LC, Laraia BA, Kaufman JS, et al. The development of a standardized neighborhood

[published Online First: 2006/10/13]

deprivation index. J Urban Health 2006;83(6):1041-62. doi: 10.1007/s11524-006-9094-x

544	21. ArcGIS. Esri Demographics - Tapestry Segmentation: ArcGIS; 2019 [updated 2019.
545	Available from: https://doc.arcgis.com/en/esri-demographics/data/tapestry-
546	segmentation.htm accessed June 20, 2022.
547	22. Office of the Assistant Secretary for Planning and Evaluation. U.S. Federal Poverty
548	Guidelines Used to Determine Financial Eligibility for Certain Federal Programs: U.S
549	Department of Health & Human Services; 2020 [updated January 17th 2020. Available
550	from: https://aspe.hhs.gov/poverty-guidelines2020.
551	23. World Health Organization. The ICD-10 classification of mental and behavioural disorders:
552	diagnostic criteria for research1993.
553	24. Deyo RA, Cherkin DC, Ciol MA. Adapting a clinical comorbidity index for use with ICD-9
554	CM administrative databases. <i>Journal of clinical epidemiology</i> 1992;45(6):613-19.
555	25. National Committee for Quality Assurance (NCQA). HEDIS Measures and Technical
556	Resources 2020 [Available from: https://www.ncqa.org/hedis/measures/2020 .
557	26. Coleman KJ, Ngor E, Reynolds K, et al. Initial validation of an exercise "vital sign" in
558	electronic medical records. Medicine & Science in Sports & Exercise 2012;44(11):2071
559	76.
560	27. Young DR, Coleman KJ, Ngor E, et al. Associations between physical activity and
561	cardiometabolic risk factors assessed in a Southern California health care system, 2010-
562	2012. Preventing chronic disease 2014;11:E219. doi: 10.5888/pcd11.140196 [published
563	Online First: 2014/12/20]

564	28. Lobelo F, Rohm Young D, Sallis R, et al. Routine Assessment and Promotion of Physical
565	Activity in Healthcare Settings: A Scientific Statement From the American Heart
566	Association. Circulation 2018;137(18):e495-e522. doi: 10.1161/CIR.00000000000559
567	[published Online First: 2018/04/06]
568	29. Gu T, Mack JA, Salvatore M, et al. Characteristics Associated With Racial/Ethnic Disparities
569	in COVID-19 Outcomes in an Academic Health Care System. JAMA Netw Open
570	2020;3(10):e2025197. doi: 10.1001/jamanetworkopen.2020.25197 [published Online
571	First: 2020/10/22]
572	30. Poulson M, Neufeld M, Geary A, et al. Intersectional Disparities Among Hispanic Groups in
573	COVID-19 Outcomes. J Immigr Minor Health 2020:1-7. doi: 10.1007/s10903-020-
574	01111-5 [published Online First: 2020/10/23]
575	31. Hales CM, Carroll MD, Fryar CD, et al. Prevalence of obesity and severe obesity among
576	adults: United States, 2017–2018. NCHS Data Brief, no 360 Hyattsville, MD: National
577	Center for Health Statistics 2020 2020
578	32. Centers for Disease Control and Prevention. National Diabetes Statistics Report A, GA:
579	Centers for Disease Control and Prevention, U.S. Dept of Health and Human Services;
580	2020
581	33. Clark A, Jit M, Warren-Gash C, et al. Global, regional, and national estimates of the
582	population at increased risk of severe COVID-19 due to underlying health conditions in

2020: a modelling study. The Lancet Global health 2020; published online ahead of print,

2020 Jun 15]. Lancet Glob Health. 2020;S2214-109X(20)30264-3. doi:10.1016/S2214-

585	109X(20)30264-3 doi: 10.1016/s2214-109x(20)30264-3 [published Online First:
586	2020/06/20]
587	34. Ceriello A, Schnell O. COVID-19: Considerations of Diabetes and Cardiovascular Disease
307	54. Certeno 11, Semien 6. Co vid 17. Considerations of Diabetes and Cardiovascular Disease
588	Management. Journal of diabetes science and technology 2020:1932296820930025. doi:
589	10.1177/1932296820930025 [published Online First: 2020/06/02]
F00	35. Shabto JM, Loerinc L, O'Keefe GA, et al. Characteristics and outcomes of COVID-19
590	33. Shabto Jivi, Loetine L, O Reele GA, et al. Characteristics and outcomes of CO vib-19
591	positive patients with diabetes managed as outpatients. Diabetes research and clinical
592	practice 2020;164:108229. doi: 10.1016/j.diabres.2020.108229 [published Online First:
593	2020/05/25]
594	36. Emory University. COVID-19 Health Equity Interactive Dashboard 2020 [Available from:
595	https://covid19.emory.edu/ accessed June 23 2020.
596	37. County Health Rankings & Roadmaps. 2020 Georgia Report 2020 [Available from:
597	https://www.countyhealthrankings.org/reports/state-reports/2020-georgia-report accessed
598	June 23 2020.
599	38. Powell KE, King AC, Buchner DM, et al. The Scientific Foundation for the Physical Activity
600	Guidelines for Americans, 2nd Edition. J Phys Act Health 2018:1-11. doi:
601	10.1123/jpah.2018-0618 [published Online First: 2018/12/19]
602	39. Henson J, Yates T, Edwardson CL, et al. Sedentary time and markers of chronic low-grade
602	37. Tichson 3, Tates 1, Edwardson CE, et al. Sedentary time and markers of chronic low-grade
603	inflammation in a high risk population. PLoS One 2013;8(10):e78350. doi:
604	10.1371/journal.pone.0078350 [published Online First: 2013/11/10]

605	40. Nieman DC, Wentz LM. The compelling link between physical activity and the body's
606	defense system. Journal of sport and health science 2019;8(3):201-17.
607	41. Song Y, Ren F, Sun D, et al. Benefits of Exercise on Influenza or Pneumonia in Older
608	Adults: A Systematic Review. Int J Environ Res Public Health 2020;17(8) doi:
609	10.3390/ijerph17082655 [published Online First: 2020/04/17]
610	42. Sallis J, Pratt M. A Call to Action: Physical Activity and COVID-19 Exercise is
611	Medicine2020 [updated April 3, 2020. Available from:
612	https://www.exerciseismedicine.org/support_page.php/stories/?b=896 accessed June 23
613	2020.
614	43. Bryan A D-GJ, Davis NJ, Chokshi DA, Galea S. Moving From The Five Whys To Five
615	Hows: Addressing Racial Inequities In COVID-19 Infection And Death. Health Aff
616	(Millwood) 2020; 101377/hblog20200625389260
617	44. Parodi S CB, Young S, Bellows J, Grossman D, Liu VX. Kaiser Permanente's system
618	capabilities to suppress Covid-19. NEJM Catal Published June 9, 2020
619	doi:101056/CAT200187
620	
621	
622	
623	

	KPGA members by Race No. (%)								
	All	Black	White	Hispanic	Asian	p-value			
e, mean [SD], y	N=5,721 (100%) 44.8 [15.7]	n=3,339 (58,4%) 43.9 [15.1]	n=1,689 (29,5%) 47.1 [17.8]	n=487 (8.5%) 41.9 [14]	n=206 (3.6%) 45.8 [15.8]	<.0001			
e range, y	44.6 [15.7]	45.9 [15.1]	47.1 [17.0]	41.9 [14]	45.6 [15.6]	<.0001			
-49	3414 (59.7)	2106 (63.1)	865 (51.21)	328 (67.4)	115 (55.8)				
-64	1686 (29.5)	931 (27.9)	549 (32.5)	140 (28.8)	66 (32)	<.0001			
and above	621 (10.9)	302 (9)	275 (16.3)	19 (3.9)	25 (12.1)	1,0001			
ender	===(====,	332 (0)							
ale	2416 (42.2)	1270 (38)	820 (48.5)	226(46.4)	100(48.5)	<.0001			
male	3304 (57.8)	2068 (62)	869 (51.5)	261(53.6)	106(51.5)				
surance									
mmercial	4626 (80.9)	2675 (80.1)	1343 (79.5)	435 (89.3)	173 (84)				
edicare	567 (9.9)	291 (8.7)	245 (14.5)	14 (2.9)	17 (8.3)				
edicaid	6 (0.1)	4 (0.1)	0 (0)	2 (0.4)	0 (0)	<.0001			
lf-pay	326 (5.7)	237 (7.1)	59 (3.5)	17 (3.5)	13 (6.3)				
her ^a	196 (3.4)	132 (4)	42 (2.5)	19 (3.9)	3 (1.5)				
edian Household									
come ^b No. (%)	4070 (40.4)	055 (25.6)	445 (5.0)	70 (46)	24 (45)				
k-50k	1079 (19.4)	855 (25.6)	115 (6.8)	78 (16)	31 (15)				
k-75k k-100k	2746 (49.3)	1814 (54.3) 512 (15.3)	639 (37.8)	225 (46.2)	68 (33) 77 (37.4)	<.0001			
k-100k	1478 (26.5) 272 (4.9)	512 (15.3) 58 (1.7)	734 (43.5) 170 (10.1)	155 (31.8) 19 (3.9)	25 (12.1)				
ouseholds Under	212 (4.3)	30 (1.7)	170 (10.1)	19 (3.9)	23 (12.1)				
verty Level, % ^c									
verty Level, 70	12.36	13.95	9.85	11.96	10.37	<.0001			
sidential Region (%) d	12.50	13.33	3.03	11.50	10.37	1,0001			
ortheast	2090 (36.5)	1085 (32.5)	626 (37.1)	274 (56.3)	105 (51)				
orthwest	969 (16.9)	341 (10.2)	492 (29.1)	102 (20.9)	34 (16.5)				
	l				,	<.0001			
uthwest	1179 (20.6)	822 (24.6)	280 (16.6)	53 (10.9)	24 (11.7)				
eighborhood	0.07	0.37	-0.4	0.03	-0.27	<.0001			
privation Index ^e									
cupation ^f , mean %									
ontline Workers	33.6	35.6	30.2	35.4	31.2				
althcare Workers	7.2	7.4	7	6.5	6.6	<.0001			
her Workers	59.2	57	62.7	58.1	62.1				
ucation, mean % ^g									
me High School	6.6	7.2	5.8	7	5.8				
gn School	22.3	24	20.2	21.4	19.9				
sociates Degree	8.4	გ.ხ 21.0	8.1	8.2	8.4	<.0001			
rne conege chalors	20.8	∠1.ŏ 10 ⊑	19.7	19.4	24.0				
aduate	12.6	19.5 11 5	24.8 1 <i>A</i> 2	12.1	24.9 1 <i>A A</i>				
reviations: COVID-10 /	Oronavirus Disease	2010 KDCV Kaicar I	Permanente Georgia	12.3	14.4	l			
viations: COVID-19, (Insurances include I on ESRI® Business Accorded residence. ty line was defined by the contact and the contact are the contact and the contact are the c	12.6 Coronavirus Disease imilitary Health Main Analyst dataset show	11.5 2019; KPGA, Kaiser F tenance Organizatio ing median househo	14.2 Permanente Georgia on (HMO) or Preferre old income by zip co	12.3 i; ed Provider Orgai de and then linke	14.4 nization (PPO). ed to individual p	atients bas			
utheast uthwest ighborhood privation Index e cupationf, mean % ontline Workers althcare Workers her Workers ucation, mean % g me High School sociates Degree me College chelors aduate reviations: COVID-19, 0 her Insurances include sed on ESRI® Business A r recorded residence. verty line was defined be e Atlanta metro area w Northwest: Cobb, Northeast: Dekalb Southwest: Fulton Southeast: Claytor e Neighborhood Depriv housing quality that re ghborhood. sed on ESRI® Business A		ion Breakdown:	lk, Troup, Habershar n, Butts, Gilmer, Pike iwether, Heard, Daw ding, Oconee, Musco e of social and econo ner the index value, the tective services, foo						

- building/grounds cleaning/maintenance services, construction/extraction services, installation/maintenance/repair services, production services and transportation/material moving services.
- Healthcare workers included healthcare practitioners/technicians and healthcare support staff.
- Other workers included personal care/service workers, sales and sales related workers, office/administrative support workers, Other workers, included personal care/services workers, sales and sales related workers, including from in include personal care/services workers, sales and sales related workers, careful ca farming/fishing/forestry workers, management/business/financial workers, computer/mathematical service workers, architecture/engineering workers, life/physical/social science workers, community/social service workers, legal workers, education/training/library workers and arts/design/entertainment/sports/media workers.

Table 2: Comorbidities, outpatient medication, quality of care and exercise metrics of KPGA patients with COVID-19, by race/ethnicity

	All (N= 5721)	Black (n=3339)	White (n=1689)	Hispanic (n=487)	Asian (n=206)	p-value
Comorbidities N (%)						
HTN	1816 (31.7)	1160 (34.7) §, §§	507 (30)	87 (17.9)	62 (30.1)	<0.0001
Diabetes	898 (15.7)	570 (17.1) §, §§	229 (13.6)	62 (12.7)	37 (18)	0.0022
Obesity (BMI>30)	439 (7.7)	300 (9) §, §§§	102 (6)	32 (6.6)	5 (2.4)	<0.0001
Hyperlipidemia	1262 (22.1)	667 (20) §, §§§	453 (26.8)	82 (16.8)	60 (29.1)	<0.0001
CAD	285 (5)	135 (4)	125 (7.4) °	13 (2.7)	12 (5.8)	<0.0001
CHF	320 (5.6)	187 (5.6) §, §§	121 (7.2)	6 (1.2)	6 (2.9)	<0.0001
Asthma	574 (10)	377 (11.3) §, §§, §§§	148 (8.8)	35 (7.2)	14 (6.8)	0.0013
COPD	153 (2.7)	64 (1.9) §	78 (4.6)	5 (1)	6 (2.9)	<0.0001
Arythmia	172(3)	79 (2.4) §, §§	85 (5) °	3 (0.6)	5 (2.4)	<0.0001
ESRDª	4 (0.1)	3 (0.1)	1 (0.1)	0 (0)	0 (0)	0.8726
HIV	65 (1.1)	54 (1.6) §, §§	9 (0.5)	1 (0.2)	1 (0.5)	0.0007
Depression	633 (11.1)	318 (9.5) §	262 (15.5) °, °°	39 (8)	14 (6.8)	<0.0001
CKD ^b	100 (1.8)	62 (1.9) §§	31 (1.8)	3 (0.6)	4 (1.9)	0.2632
Cancer	93 (1.6)	55 (1.7)	33 (2)	3 (0.6)	2 (1)	0.1867
2+ Comorbidities ^c	1823 (31.9)	1095 (32.8) §§§	570 (33.7)	90 (18.5)	68 (33)	<0.0001
3+ Comorbidities ^c	966 (16.9)	560 (16.8) §, §§§	333 (19.7)	41 (8.4)	32 (15.5)	<0.0001
Charlson Comorbidity Index, mean [SD] ^d	1.9 [1.4] §, §§	1.9 [1.5]	2.1 [1.7]	1.5 [1.1]	1.67 [1.2]	0.0014
Outpatient Medication, No. (%)						
Anti Rheumatic	17 (0.3)	8 (0.2)	7 (0.4)	2 (0.4)	0 (0)	0.5696
Anti Hypertensive	1059 (18.5)	632 (18.9) §§	329 (19.5)	62 (12.7)	36 (17.5)	0.0062
Anti Asthmatic	890 (15.6)	533 (16)	274 (16.2)	57 (11.7)	26 (12.6)	0.3283
Anti Hyperlipidemic	1034 (18.1)	543 (16.3) §, §§§	371 (22)	65 (13.4)	55 (26.7)	<0.0001
Corticosteroids	1244 (21.7)	726 (21.7) §	388 (23)	89 (18.3)	41 (19.9)	0.1478
Cough/cold medication	788 (13.8)	459 (13.8)	249 (14.7)	56 (11.5)	24 (11.7)	0.2367
Anti malarial	31 (0.5)	22 (0.7) §	4 (0.2)	4 (0.8)	1 (0.5)	0.2139
Quality of Care Metrics ^e					'	
Blood Pressure >140/90 f	501 (27.6)	349 (30.1) §§	118 (23.3)	21 (24.1)	13 (21)	<0.0001
Diabetes Uncontrolled (A1C>8) f	286 (31.8)	195 (34.2)	65 (28.4)	18 (29)	8 (21.6)	0.1976
Average Exercise minutes, mean [SD] ^g	79.9 [114]	75.3 [113.4] §	91 [128.1]	76.7 [115.6]	87.5 [99.7]	0.0034
EVS Category, No. (%) h	4640 (25.5)	000 (00 0)	460 (27.5)	425 (27.5)	F4 (2.5.5)	
Inactive	1648 (28.8)	998 (29.9)	460 (27.2)	136 (27.9)	54 (26.2)	

Insufficient	1336 (23.4)	814 (24.4%) §	359 (21.3)	107 (22)	56 (27.2)	
Sufficient	785 (13.7)	432 (12.9) §	265 (15.7) °	57 (11.7)	31 (15.1)	0.0044
No information	1952 (34.1)	1095 (32.8) §, §§	605 (35.8)	187 (38.4)	65 (31.6)	

Abbreviations: COVID-19, Coronavirus Disease 2019; KPGA, Kaiser Permanente Georgia; HbA1c, Glycated Hemoglobin; BMI, Body Mass Index; CAD, Coronary Artery Disease; CHF, Congestive Heart Failure; CKD, Chronic Kidney Disease; COPD, Chronic Obstructive Pulmona () Disease; ESRD, End Stage Renal Disease; HTN, Hypertension; EVS, Exercise as a Vital Sign. first published as

Significance Levels: § Black vs. White; §§ Black vs. Hispanic; §§§Black vs Asian; °White vs Hispanic; °°White vs. Asian °°° Hispanic vs. Asian, significant difference at p< 0.05

- ^a ESRD classified based on diagnosis reported by the 10th revision of the International Statistical Classification of Diseases and Related Health Problems code (ICD-10) in patient's medical history.
- ^b CKD classified based on diagnosis reported by the ICD-10 code in patient's medical history.
- ^c Comorbidities here are medical diagnoses included in medical history as ICD-10 codes. These include but are not limited to those presented in the table.
- d Charlson Comorbidity Index predicts the 10-year mortality of a patient based on age and comorbidities. Scores are summed to provide a

- "Comorbidities ner are medical diagnoses included in medical history as LCD-10 codes. These include but are not limited to those gressned in the table.

 "Charlson Comorbidity Index predicts the 10-year mortality of a patient based on age and comorbidities. Scores are summed to provide a total predictive score. The lowest score of 0 corresponds to a 98% estimated 10-year survival rate. (Charlson et al. 1987)

 "Assessed at the most recent clinical encounter within the last 12 months

 "Blood pressure control was evaluated only amongst patients with hypertension (n=1816) and glucose control amongst patients with diabetes (n=938).

 "A verage exercise was collected from self-reported data.

 "A Versige exercise was collected from self-reported data.

 "EVS is based on patient reported weekly exercise minutes. We used 3 categories: Inactive for patients who reported 150 gr more mins/week.

 "Downloaded from mins/week."

 "Downloaded from history active for patients who reported 11-149 minutes/week and sufficiently active for patients who reported 150 gr more mins/week.

 "Bood pressure control weekly exercise minutes."

 "Downloaded from history active for patients who reported 11-149 minutes/week and sufficiently active for patients who reported 150 gr more mins/week.

 "Downloaded from history active for patients who reported 11-149 minutes/week and sufficiently active for patients who reported 150 gr more mins/week.

 "Downloaded from history active for patients who reported 150 gr more mins/week."

 "Downloaded from history active for patients who reported 150 gr more mins/week."

 "Downloaded from history active for patients who reported 150 gr more mins/week."

 "Downloaded from history active for patients who reported 150 gr more mins/week."

 "Downloaded from history active for patients with history active for patients who reported 150 gr more mins/week."

 "Downloaded from history active for patients with patients with patients and patients with patients and patients active for patients with patients and patients active f

Page 34 of 37

Table 3: Clinical outcomes of hospitalized KPGA patients with COVID-19 by race/ethnicity, sex and age groups

			Race/E	thnicity		S	ex 3		Age group (years	5)
		No. (%)				No.	(%) 9 P	No. (%)		
	Total no. (%)	Black	White	Hispanic	Asian	Female	Male ♀	18-49	50-64	65+
		Total	Total	Total	Total	Total	Total $^{\circ}_{\circ}$	Total	Total	Total
Hospitalization Characteristics	N = 896 ^b	556 (62.0%)	257 (28.7%)	51 (5.7%)	32 (3.6%)	458 (51.1%)	438 (48.9%) 44	271 (30.3%)	322 (35.9%)	303 (33.8%)
Mean Age ^c , Years, [SD]	57.3 [15.7]	55.4 [15.1]	62.7 [16.1]	51.2 [12.4]	55.9 [15.4]	56.4 [16.4]	58.1 [14.8] S	n/a	n/a	n/a
Health Care Utiliza	tion						19			
Mean Hospital LOS, days, [SD]	7.9 [9.2]	7.9 [9.1] * 	7.2 [7.9] * 	6.9 [7.3] * 	14.5 [17.1] *†,*°, *°°,*°°°	7.3 [8.3] *Δ	8.6 [10.0 Κ *Δ,*ε Ν	6.6 [8.2] *¶	8.5 [9.9] *¶, *◊	8.5 [9.2] *¶,*◊◊
Admitted to ICU ^d	259 (28.9%)	154 (27.7%) *†	71 (27.6%) *†	17 (33.3%) *†	17 (53.1%) *+ ,*°,*°°	118 (25.8%) *Δ	141 (32.2%) *Δ,*ε	59 (21.8%) *¶	112 (34.8%) *¶,**◊	88 (29.0%) *¶,*◊◊
Mean ICU LOS, days, [SD]	10.0 [10.5]	10.1 [9.3] *†	8.1 [9.0] *†	8.7 [9.6] * 	17.8 [20.4] *†	9.1 [9.8]	10.7 [11.02	9.0 [9.0]	9.7 [10.3]	11 [11.7]
Mechanical Ventilation d	77 (8.6%)	51 (9.2%) * †	15 (5.8%) * †	4 (7.8%) * †	7 (21.9%) *+,*°,*°°	36 (7.9%)	41 (9.4%	12 (4.4%) *¶	35 (10.9%) *¶, **◊	30 (9.9%) *¶, *◊◊
Mean ventilator duration, days, [SD]	14.5 [11.4]	13.4 [9.0]	12.9 [10.6]	16 [9.1]	24.7 [22.5]	14.4 [10.8]	14.6[12.0g	13.6 [9.1]	12.6 [10.7]	17.0 [12.7]
Outcomes							/b			
Discharged Alive d	798 (89.1%)	502 (90.3%)	222 (86.4%)	46 (90.2%)	28 (87.5%)	417 (91%)	381 (87.0%)	265 (97.8%) **¶	294 (91.3%) **¶,**◊	239 (78.9%) **¶,**◊◊
Still Hospitalized ^d	2 (0.2%)	1 (0.2%)	1 (0.4%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	2 (0.5%)	0 (0.0%)	2 (0.6%)	0 (0.0%)
30 Day Re-admission ^d	75 (8.4%)	44 (7.9%)	25 (9.7%)	4 (7.8%)	2 (6.3%)	38 (8.3%)	37 (8.4%	22 (8.1%)	23 (7.1%)	30 (9.9%)
60 Day Re-admission ^d	85 (9.5%)	49 (8.8%)	30 (11.7%)	4 (7.8%)	2 (6.3%)	44 (9.6%)	41 (9.4%) Pri	25 (9.2%)	25 (7.8%)	35 (11.6%)
Deceased ^d	96 (10.7%)	53 (9.5%)	34 (13.2%)	5 (9.8%)	4 (12.5%)	41 (9.0%)	55 (12.6%) N	6 (2.2%) **¶	26 (8.1%) **¶,*◊	64 (21.1%) **¶,**◊◊

Abbreviations: COVID-19, Coronavirus Disease 2019; KPGA, Kaiser Permanente Georgia; ICU, Intensive Care Unit; SD, Standard Devation; n/a = not applicable;

a Significance Levels * P ≤ 0.05, ** P ≤ 0.001 6 N=896 includes patients at level of hospital stay. Thus, participants who were readmitted, or transferred, are accounted for more that once.

c Mean age represents all unique hospitalized patients n=827
d In this table, column percentages are provided for categorical variables and rounded to the nearest tenth.

Significance Tests (Categorical: Chi-Squared Test of Significance, Continuous: ANOVA Test of Significance, Two-Sample T-Test of Means

[†] Across Race Groups, ° Black vs. Asian; °° White vs. Asian; °° Hispanic vs. Asian; Δ Across Sex; ε Men vs. Women

[¶]Across Age Groups; ◊ Age 18-49 vs. 50-64; ◊◊ Age 18-49 vs. 65+; ◊◊◊ Age 50-64 vs. 65+

ed as

Table 4: Multivariable logistic regression model odds ratios for hospitalization among all KPGA COVID-19 patients and by race/ethnicity and sex

			Race/E	36/br	Se	ex	
Population	All COVID-19	Black	White	Hispanic	Asian 💆	Female	Male
Total sample size n (%)	n=5,721 (100%)	n=3,339 (58,4%)	n=1,689 (29,5%)	n=487 (8.5%)	n=206 (3.6%) 💆	n=3,304 (57.8%)	n=2,417 (42.2%)
Variables OR (95% CI)					1-20		
Demographics)20		
Race Black	1.43*(1.13,1.83)	n/aª	n/aª	n/aª	n/aª $\frac{1}{4}$	1.46*(1.06,2.02)	
Race Hispanic	1.60*(1.08,2.37)	n/aª	n/aª	n/aª	n/a ^a 69		
Race Asian	1.43 (0.90,2.28)	n/aª	n/aª	n/aª	n/aª 👸		
Age	1.03**(1.02,1.04)	1.02**(1.01,1.04)	1.05**(1.03,1.06)	1.05**(1.02,1.07)	1.06**(1.03,1.1 Ŏ)	1.04**(1.03,1.05)	1.04**(1.03,1.05)
Female Sex	0.74*(0.61,0.90)	0.85 (0.68,1.6)	0.75 (0.54,1.05)	0.39*(0.20,0.76)	0.38*(0.15,0.96	n/aª	n/aª
Social Determinants					Лау		
Medicare Insurance b	1.52*(1.12,2.06)	1.92*(1.29,2.88)			2021		
High Unemployment Zip code ^c	1.08*(1.03,1.13)	1.09*(1.03,1.16)			21.	1.09*(1.02,1.17)	1.11**(1.04,1.19)
NE County Area d			0.64*(0.43,0.95)		D		
High Income Zip code ^c					0.24*(0.08,0.78≸		
Comorbidities ^e					oac		
COPD	2.59**(1.67,4.02)	2.53*(1.24,5.16)	2.49*(1.38,4.49)		e d	4.34**(2.42,7.77)	
CHF	1.79**(1.31,2.45)	2.19**(1.47,3.27)	NA		fro	2.62**(1.67,4.12)	
Immunocompromised	1.77*(1.16,2.70)		2.54*(1.14,5.67)		m T	2.41*(1.22,4.74)	
Depression	1.60**(1.24,2.06)		2.13**(1.42,3.21))#tp	1.52*(1.11,2.09)	1.73*(1.11,2.69)
Hypertension	1.50**(1.21,1.87)	1.74**(1.30,2.32)			://b	1.38*(1.01,1.88)	1.58*(1.15,2.17)
Charlson Comorbidity Index ^f	1.19**(1.11,1.28)	1.21**(1.11,1.33)	1.26**(1.12,1.42)		mjo	1.12*(1.01,1.24)	1.34**(1.23,1.47)
Arrhythmia			1.89*(1.05,3.42)		pe		
Quality of Care Metrics g					h.br		
Uncontrolled HbA1c >8%	1.68*(1.19,2.38)	1.74*(1.13,2.66)		5.95**(2.24,15.78)	mj.c	1.76*(1.07,2.90)	
Uncontrolled HbA1c >9%					mo		2.01*(1.11,3.62)
Blood Pressure >140/90			2.17*(1.31 – 3.57)		0		
Anti-Asthmatic Medication) A		1.51*(1.06,2.15)
Cough/Cold Medication		1.37* (1.02,1.84)			April		
Lifestyle Behaviors ^g				•	19		
Physically Inactive i	1.25*(1.03,1.51)				20	1.45*(1.12,1.89)	

Abbreviations: KPGA, Kaiser Permanente Georgia; COVID-19, Coronavirus Disease 2019; NE, Northeast; COPD, Chronic Obstructive Pulmary Disease; CHF, Congestive Heart Failure; HbA1c, Glycated Hemoglobin

^a not available as an independent variable for stratified models; Significance Levels * P ≤ 0.05, ** P ≤ 0.001

^b Represents Medicare Population (Aged 65+ and people with disabilities)

c Based on ESRI® Business Analyst dataset showing employment and income breakdown by zip code and then linked to individual patients assed on their recorded residence

^d NE County Area includes Dekalb, Gwinnett, Forsyth, Hall, Barrow, Jackson, Butts, Gilmer, Pike, Gordon, Jasper, Monroe counties

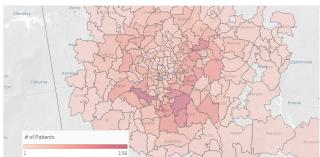
e Based on diagnosis reported by the 10th revision of the International Statistical Classification of Diseases and Related Health Problems code (ICD-10) in patient's medical history

f Charlson Comorbidity Index predicts the 10-year mortality of a patient based on age and comorbidities. Scores are summed to provide a total predictive score. The lowest score of 0 corresponds to a 98% estimated 10-year survival rate. (Charlson et al. 1987)

g Assessed at the most recent clinical encounter within the last 12 months

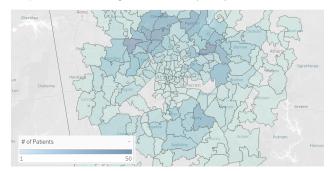
ⁱ Physically Inactive defined as self-reported weekly exercise < 10 minutes

Kaiser Permanente Georgia's COVID19 Cases By Race/Ethnicity



A. Map of Kaiser Permanente Georgia's COVID19 Cases of Race/Ethnicity Black in Metro Atlanta Area

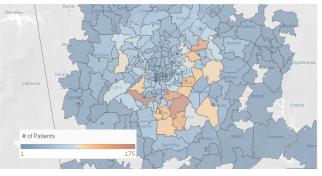
B. Map of Kaiser Permanente Georgia's COVID19 Cases Race/Ethnicity **White** in Metro Atlanta Area



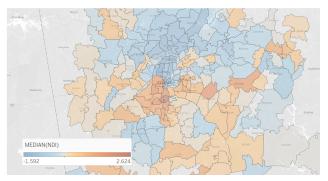




E. Map of Kaiser Permanente Georgia's COVID19 Cases All Race/Ethnicities in Metro Atlanta Area



F. Map of Metro Atlanta Neighborhood Deprivation Index



Map of Metro Atlanta Region's COVID19 Cases by Race/Ethnicity

- Map of COVID19 Cases: Race Black
- Map of COVID19 Cases: Race White Map of COVID19 Cases: Race Asian
- Map of COVID19 Cases: Race/ethnicity Hispanic
- Map of COVID19 Cases: All Races/ethnicities
- Map of Metro Atlanta Neighborhood Deprivation Index

STROBE Statement—Checklist of items that should be included in reports of *cohort studies*

	Manuscript Page #	Recommendation
Title and abstract	1,2	(a) Indicate the study's design with a commonly used term in the title or the abstract
		(b) Provide in the abstract an informative and balanced summary of what
		was done and what was found
Introduction		
Background/rationale	4	Explain the scientific background and rationale for the investigation being reported
Objectives	5	State specific objectives, including any prespecified hypotheses
Methods		
Study design	5	Present key elements of study design early in the paper
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of
r. r.		participants. Describe methods of follow-up
		(b) For matched studies, give matching criteria and number of exposed and unexposed
Variables	6-8	Clearly define all outcomes, exposures, predictors, potential confounders,
		and effect modifiers. Give diagnostic criteria, if applicable
Data sources/	7,8	For each variable of interest, give sources of data and details of methods of
measurement		assessment (measurement). Describe comparability of assessment methods
		if there is more than one group
Bias	8	Describe any efforts to address potential sources of bias
Study size	6-8	Explain how the study size was arrived at
Quantitative variables	7,8	Explain how quantitative variables were handled in the analyses. If
		applicable, describe which groupings were chosen and why
Statistical methods	8,9	(a) Describe all statistical methods, including those used to control for confounding
		(b) Describe any methods used to examine subgroups and interactions
		(c) Explain how missing data were addressed
		(d) If applicable, explain how loss to follow-up was addressed
		(e) Describe any sensitivity analyses
Results		
Participants	9	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed
		(b) Give reasons for non-participation at each stage
		(c) Consider use of a flow diagram
Descriptive data	9,10	(a) Give characteristics of study participants (eg demographic, clinical,
-		social) and information on exposures and potential confounders
		(b) Indicate number of participants with missing data for each variable of interest
		(c) Summarise follow-up time (eg, average and total amount)
Outcome data	10	Report numbers of outcome events or summary measures over time
Main results	10-12	(a) Give unadjusted estimates and, if applicable, confounder-adjusted
		estimates and their precision (eg, 95% confidence interval). Make clear

		which confounders were adjusted for and why they were included
		(b) Report category boundaries when continuous variables were categorized
		(c) If relevant, consider translating estimates of relative risk into absolute
		risk for a meaningful time period
Other analyses	9-11	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses
Discussion		
Key results	13,14	Summarise key results with reference to study objectives
Limitations	17,18	Discuss limitations of the study, taking into account sources of potential bias
		or imprecision. Discuss both direction and magnitude of any potential bias
Interpretation	13-18	Give a cautious overall interpretation of results considering objectives,
		limitations, multiplicity of analyses, results from similar studies, and other
		relevant evidence
Generalisability	17,18	Discuss the generalisability (external validity) of the study results
Other information		
Funding	19	Give the source of funding and the role of the funders for the present study
		and, if applicable, for the original study on which the present article is based

^{*}Give information separately for exposed and unexposed groups.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at http://www.plosmedicine.org/, Annals of Internal Medicine at http://www.annals.org/, and Epidemiology at http://www.epidem.com/). Information on the STROBE Initiative is available at http://www.strobe-statement.org.