New screening tool for neonatal nutritional risk in China: a validation study

Mei Zhou,1 Yuwei Li,2 Huaying Yin,2 Xianhong Zhang,1 Yan Hu2

ABSTRACT

Objective A neonatal nutritional risk screening tool (NNRST) was developed by using Delphi and analytic hierarchy processes in China. We verified the accuracy of this tool and analysed whether it effectively screened neonates with nutritional risk.

Design Prospective validation study.

Setting and participants In total, 338 neonates who were admitted to the neonatal unit of Children’s Hospital of Chongqing Medical University from May–July 2016 completed the study. Nutritional risk screening and length and head circumference measurements were performed weekly. Weight was measured every morning, and other relevant clinical data were recorded during hospitalisation.

Main outcome measures We evaluated the sensitivity, specificity, validity, reliability, and positive and negative predictive value of the screening tool. Various characteristics of neonates in different risk groups were analysed to determine the rationality of the nutritional risk classification.

Results The sensitivity, specificity, and positive and negative predictive values were 85.11%, 91.07%, 60.61% and 97.43%, respectively. The criterion validity was tested by the Spearman correlation analysis ($r=0.530$) and independent samples non-parametric tests ($p=0.000$). The content validity (Spearman correlation coefficient) was 0.321–0.735. The inter-rater reliability (kappa value) was 0.890. Among the neonatal clinical indicators, gestational age, birth weight, length, admission head circumference, admission albumin, admission total proteins, discharge weight, discharge length and head circumference decreased with increasing nutrition risk level; the length of stay and the rate of parental nutrition support increased with increasing nutrition risk level. In the comparison of complications during hospitalisation, the incidence of necrotising enterocolitis and congenital gastrointestinal malformation increased with increasing nutrition risk level.

Conclusion The validation results for the NNRST are reliable. The tool can be used to preliminarily determine the degree of neonatal nutritional risk, but its predictive value needs to be determined in future large-sample studies.

Trial registration number ChiCTR2000033743.

INTRODUCTION

Nutrition during the early stages of life can have a series of consequences that extend into adulthood. Hospitalised neonates, particularly premature and low birthweight infants, are prone to nutritional problems due to deficits in growth and adaptability, combined with the nutritional deficits that occur due to diseases associated with prematurity and feeding difficulties.1 2 The short-term effects of nutritional problems may include increased morbidity and mortality, longer hospital stays and increased medical costs. The long-term effects of nutritional problems may lead to growth and development lags, delayed nerve growth and learning difficulties and may increase the risk of non-communicable diseases.3–5 However, nutritional care may provide an effective strategy for improving short-term and long-term outcomes.6–8

In systematic nutritional care, nutritional risk screening is the first procedure to be performed. The European Society for Paediatric Gastroenterology, Hepatology and Nutrition recommends the implementation
the validity, sensitivity or specificity. In Johnson et al’s research, a screening tool for nutritional risk in neonatal intensive care was created by a multidisciplinary group. However, this tool is suitable only for neonates in the neonatal intensive care unit. In China, because of the large annual number of births and a shortage of nutritional support teams for newborns, medical staff evaluate the nutritional status of newborns with a specific growth curve during hospitalisation, and there is no practical or professional tool with which to screen for nutritional risk among newborns. Therefore, it is necessary to develop a nutritional risk screening tool for neonates in China.

To meet this need, a group of Chinese experts comprising specialists with experience in neonatal clinical treatment, nutritional care and nursing was convened to conduct a two-round Delphi process and develop the dimension and indicator contents of the neonatal nutritional risk screening tool (NNRST). The developmental process of this tool has been described in detail in our previous articles. This study aimed to verify the screening accuracy of this tool and to analyse whether it can effectively screen hospitalised neonates with nutritional risk.

### METHODS

#### Subjects

This was a prospective observational study that recruited infants who were admitted to the neonatal unit of Children’s Hospital of Chongqing Medical University from May to July 2016. The inclusion criteria were age within 28 days after birth, admission to the neonatal ward for at least 24 hours and parental agreement to participate in the study. The exclusion criteria were as follows: lack of data on gestational age or birth weight, severe congenital malformation that interfered with the ability to take anthropometric measurements, age less than 14 days and weight that did not return to birth weight at discharge.

A total of 446 newborns were enrolled; 108 were excluded based on the third exclusion criterion. Finally, 338 newborns were eligible for this study, including 198 males and 140 females (figure 1). The mean (SD) birth weight was 2.98 (0.32) kg; 1–2 weeks of age: >15% weight loss since birth or weight <1 kg at birth; 1–2 weeks of age: <60 kcal/kg/day or continued weight loss; >2 weeks of age: intake <66% energy requirement or weight gain <10 g/kg/day or low albumin/low phosphate/high bilirubin/high ALP; >2 months of age: any of the above or no dietary iron or continued parenteral nutrition.

### Table 1

<table>
<thead>
<tr>
<th>Tool</th>
<th>Target population</th>
<th>Assessment criteria</th>
<th>Validation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Clinical Assessment of Nutrition score</td>
<td>Neonates soon after birth.</td>
<td>Birth weight, length, head circumference, midarm circumference and ponderal index.</td>
<td>No published data.</td>
</tr>
<tr>
<td>Ohio Neonatal Nutritionists Screening Criteria for Identifying Hospitalized Infants at Highest Nutritional Risk</td>
<td>Hospitalised neonates.</td>
<td>1 week of age: &gt;15% weight loss since birth or weight &lt;1 kg at birth; 1–2 weeks of age: &lt;60 kcal/kg/day or continued weight loss; &gt;2 weeks of age: intake &lt;66% energy requirement or weight gain &lt;10 g/kg/day or low albumin/low phosphate/high bilirubin/high ALP; &gt;2 months of age: any of the above or no dietary iron or continued parenteral nutrition.</td>
<td>No published data.</td>
</tr>
<tr>
<td>Neonatal nutrition screening tool</td>
<td>NICU population.</td>
<td>Gestational age and weight at birth; diagnosis of absent or reversed end diastolic flow on umbilical artery Doppler; diagnosis of severe intrauterine growth restriction, defined as a birth weight below the second centile on the UK-WHO growth chart; need for gastrointestinal surgery or presence of severe gastrointestinal malformation; time to regain birth weight; maximum percentage weight loss from birth weight and minimum rate of weekly weight gain from 2 weeks of age onwards.</td>
<td>Sensitivity: 89.6%. Specificity: 75.1%. Positive predictive value: 32.9%. Negative predictive value: 98.1%.</td>
</tr>
</tbody>
</table>
weight was 2683.1 (710.7) g, and the median (P25, P75) gestational age was 37.4 (34.8, 39.1) weeks. A total of 182 infants underwent caesarean section delivery. The median (P25, P75) length of stay was 9.00 (6.75, 13.20) days. The subjects were diagnosed with one or more diseases, 42 had necrotising enterocolitis/congenital gastrointestinal malformation, 32 had diarrhoea/alimentary tract haemorrhage, 21 had milk protein allergy/gastrointestinal reflux, 291 had pneumonia, 175 had sepsicaemia, 218 had congenital heart disease, 277 had brain injury/intracranial haemorrhage and 232 had hyperbilirubinaemia. Because there was no breast milk bank in the neonatal ward of our hospital in 2016, all the subjects were bottle-fed during hospitalisation.

Data collection
Nutritional risk screenings of the subjects were performed by two nurses at admission and then weekly until discharge. To ensure standardisation of the screening, all investigators participated in a training session before the study started.

The anthropometric measurement data for each participant were recorded by two trained nurses. The patients were weighed every morning at 08:00 during hospitalisation following a standardised method using an electronic baby scale (ACS-20-YE) that was accurate to 10 g. Length and head circumference were measured weekly using a WB-A baby measuring bed and a standard tape measure accurate to 0.1 cm. Each set of measurements was obtained with the measurement tools twice for each infant and then averaged.

The following information was extracted from the electronic medical records: patient identification number, sex, birth age, gestational age, birth weight, discharge date, nutrient intake and any underlying diseases.

Nutritional risk screening tool
The dimension and indicator contents of the NNRST were developed by a group comprising seven experienced neonatal clinical chief physicians, two dietitians and six neonatal nursing supervisors using a two-round Delphi process. The analytic hierarchy process (AHP) was used to calculate the weight coefficients for each dimension and indicator. There were 15 valid recycling questionnaires in a two-round expert consultation, and the expert enthusiasm coefficients of the two rounds were 88% and 100%, respectively. The average coefficient of the degree of expert authority was 0.9. The coordination coefficients of the indicators were 0.441 and 0.486, indicating the consistency of the experts’ opinions. The consistency ratios were all less than 0.1, which showed satisfactory consistency of the judgement matrix. On that basis, the weight coefficients of each dimension and indicator were calculated, and the indicator scores were determined by the weight coefficients.

The NNRST comprises mainly four items and 31 indicators. The items include item I: birth situation; item II: weight change; item III: nutritional intake method; and item IV: common neonatal disease diagnosis. Because this tool performs only preliminary nutritional risk screening, it is important to regularly monitor and assess growth (length, head circumference and weight) to avoid incorrectly classifying newborns who may receive inappropriate intervention. Therefore, the NNRST needs to be combined with the 2013 revision of the Fenton growth chart for the determination of two indicators (small for gestational age and large for gestational age) and the assessment of growth.

Scoring algorithm of the nutritional risk screening tool
According to the scoring algorithm of the NNRST (see figure 2), the nutritional risk score is calculated for the sum scores of four items. The highest scores of items I, II, III and IV are 4, 4, 3 and 4, respectively, while the lowest scores are 1, 2, 1 and 1, respectively. If the newborn does not have the relevant factors on the scale, the score is 0. Therefore, the score range of the tool is 0–15. Nutritional risk is stratified into three levels according to the total score: ≥8 for high risk, ≥4 and <8 for medium risk and <4 for low risk. There are two main scoring principles.

Never repeat scoring. The scoring process should not be repeated, and the highest score among the indicators within the same item should be used. Even if multiple indicators are scored, only the indicator with the highest score should be considered.

When the newborn does not present an indicator on the scale, the absence of indicators in items I–III should be scored 0. Based on the doctor’s diagnosis, absent indicators in item IV should be regarded as related to the corresponding disease diagnosis in the list of items being scored.

Neonates with faltering growth on admission or during their stay were considered the standard for testing the accuracy of the NNRST. Faltering growth was defined as a fall of 1.33 SD score for weight between birth and discharge, corresponding to a decrease across two marked centile lines on a Chinese neonatal birth weight
The birth and discharge weights of each infant were compared and then plotted on the Chinese neonatal birth weight curve to determine whether they had faltering growth. With our tool, a result showing that the highest nutritional risk score of the infant during hospitalisation was more than 8 would be the final result used to determine an outcome that is equal to faltering growth.

Statistical analysis

According to the incidence of neonatal malnutrition (10.9%) in China, the sample size was 305 cases, with an admissible error of 3.5% (the formula for sample size is $n = \frac{Z_{\alpha/2}^2 \pi (1-\pi)}{\delta^2}$, where $\pi = 0.109$, $\alpha = 0.05$, $Z_{\alpha} = 1.96$, $\delta = 0.035$). The loss rate was assumed to be 30%; therefore, the sample size was expanded to $305/0.7 = 436$. The final sample size was 436 cases.

Data analysis was performed by SPSS V.21.0 (SPSS, Inc, Chicago, Illinois, USA). $P<0.05$ was considered statistically significant. Measurement data with a normal distribution were compared between groups by means of the F test (variance analysis), and those with a skewed distribution were compared by means of the nonparametric test. Rates were compared between groups using the $\chi^2$ test. The correlation coefficient ($r$) was calculated by analysing the Spearman correlation between each item score and the total score. To clarify the differences among neonates with different risk levels, pairwise comparisons among the three groups were made. The standard of the Bonferroni calibration ($p = 0.0167$) was adopted in the $\chi^2$ and non-parametric tests.

Patient and public involvement

This research was performed without patient involvement. Patients were not invited to comment on the study design and were not consulted for the development of patient-relevant outcomes or in the interpretation of the results. Patients were not invited to contribute to the writing or editing of this document for readability or accuracy.

RESULTS

Screening accuracy

The screening accuracy was calculated using statistical cases of high-risk and faltering growth infants. Faltering growth and high-risk infants were designated as positive, while medium-risk and low-risk infants and those without faltering growth were designated as negative. As a result, the sensitivity, specificity, positive predictive value and negative predictive value of the screening tool for all infants were 85.11%, 91.07%, 60.61% and 97.43%, respectively (table 2).

Validity and reliability

The validity of this study was shown by its criterion validity and content validity. Infants with faltering growth were the standard for criterion validity, and Spearman correlation analysis and independent samples non-parametric testing were used to test criterion validity. The scores of high-risk infants were significantly correlated with the number of infants with faltering growth ($r = 0.530$, $p = 0.000$). The difference in scores between infants with faltering growth (8 (8, 9)) and those without faltering growth (4 (2, 6)) was significant ($Z = -9.732$, $p = 0.000$). The content validity was represented by the Spearman correlation analysis between each item score and the total score. The correlations between the total score and each item were positive,
as shown in table 3. The Spearman correlation coefficients were 0.321–0.735.

Inter-rater reliability served as a metric for assessing the reliability of the tool. The inter-rater reliability of the final screening of 338 samples by two screening nurses was measured by calculating the weighted kappa coefficient. The kappa coefficient was 0.89.

Nutritional risk screenings of the subjects were performed at admission and then weekly until discharge, and the mean (range) frequency of screenings was 1.18 (1–5). The time it took the two screeners to complete each screening was recorded, and the mean (SD) time to completion of screening was 4.22 (1.17) min.

**Clinical characteristics of newborns in the three risk groups**

**Comparison of general data**

The general data of the newborns in the three risk groups are listed in table 4. In the statistical analysis of the birth situation, the differences among all the variables except sex were statistically significant; gestational age and birth weight decreased with increasing nutritional risk level (p=0.000, p=0.000, p=0.015 and p=0.000), while length of hospital stay increased. The differences in length and head circumference at admission, the total serum protein level and the albumin level at admission between the three risk groups were statistically significant and decreased with increasing nutritional risk level (p=0.000, p=0.000, p=0.000 and p=0.000).

**Comparison of growth states**

The comparison of growth states for newborns in different risk groups is shown in table 5. The differences in discharge weight, supine length and head circumference were statistically significant and decreased with increasing risk level (p=0.000, p=0.000 and p=0.000). However, there was no significant difference in the growth of physical indicators (weight, head circumference and supine length) among the three risk groups (p=0.122, p=0.400 and p=0.266).

**Comparison of nutritional intake**

The comparison of nutritional intake for the newborns in the different risk groups is presented in table 6. According to the 2013 guidelines for the clinical application of neonatal nutrition support in China, the daily caloric intake of premature infants and term infants should reach 110 kcal/kg and 105 kcal/kg, respectively, and the daily protein intake of premature infants and term infants should reach 3.5 g/kg and 2 g/kg, respectively. In the comparison of nutritional intake among the three risk groups, there were significant differences in all nutritional intake items except cases that met the calorie standard (p=0.007, p=0.000, p=0.005 and p=0.000). The total intake of calories and protein and the total number of cases that met the standard level of protein intake and parenteral nutritional support were higher in the medium-risk group than in the low-risk group, and the total protein intake and the total number of cases that met the standard level of protein intake were higher in the high-risk group than in the low-risk group. The rate of parenteral nutrition support increased with increasing nutritional risk grade.

<table>
<thead>
<tr>
<th>Item I: birth situation</th>
<th>Item II</th>
<th>Item III</th>
<th>Item IV</th>
<th>Total score</th>
</tr>
</thead>
<tbody>
<tr>
<td>r</td>
<td>1</td>
<td>0.012</td>
<td>0.244*</td>
<td>0.173*</td>
</tr>
<tr>
<td>P</td>
<td>–</td>
<td>0.824</td>
<td>0.000</td>
<td>0.001</td>
</tr>
<tr>
<td>Item II: weight change</td>
<td>r</td>
<td>0.012</td>
<td>–</td>
<td>–0.062</td>
</tr>
<tr>
<td>P</td>
<td>0.824</td>
<td>–</td>
<td>0.258</td>
<td>0.806</td>
</tr>
<tr>
<td>Item III: nutrient intake method</td>
<td>r</td>
<td>0.244*</td>
<td>–0.062</td>
<td>1</td>
</tr>
<tr>
<td>P</td>
<td>0.000</td>
<td>0.258</td>
<td>–</td>
<td>0.000</td>
</tr>
<tr>
<td>Item IV: disease diagnosis</td>
<td>r</td>
<td>0.173*</td>
<td>–0.013</td>
<td>0.438*</td>
</tr>
<tr>
<td>P</td>
<td>0.001</td>
<td>0.806</td>
<td>0.000</td>
<td>–</td>
</tr>
</tbody>
</table>

*P<0.05.
Comparison of incidences of complications among neonates at different risk levels

The comparison of various complications in neonates at different risk levels during hospitalisation is presented in Table 7. There were statistically significant differences in the prevalence of all diseases except milk protein allergies, gastro-oesophageal reflux and hyperbilirubinaemia among the different risk groups, and the incidence of necrotising enterocolitis and congenital gastrointestinal malformation increased with increasing nutrition risk level.

DISCUSSION

Nutritional risk screening is an important part of nutrition management, but there is no nutritional risk screening tool for newborns in China. In our previous research, a nutritional risk screening tool for newborns was developed by a group of Chinese experts. This research further demonstrates that the results of the validation of the NNRST are reliable. This tool can be used to preliminarily evaluate the degree of neonatal nutritional risk in China, but its ability to predict clinical outcomes needs to be determined in studies with larger samples.

The data in this study demonstrate the screening accuracy of the NNRST, which can be used to present the clinical characteristics of neonates in different risk level groups. Our research shows that the NNRST has a sensitivity of 85.11%, a specificity of 91.07%, a positive predictive value of 60.61% and a negative predictive value of 97.43%; all of these values, but particularly the specificity and negative predictive value, are higher than those of other nutritional risk screening tools for newborns. This tool seems able to screen high-risk infants effectively and predictably. In addition, the accuracy of the tool might be higher for low-risk and medium-risk infants than for high-risk infants. Due to the absence of a gold standard

<table>
<thead>
<tr>
<th>Characteristic</th>
<th>Low risk</th>
<th>Medium risk</th>
<th>High risk</th>
<th>Statistical value</th>
<th>P value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Male/female (n)</td>
<td>62/44</td>
<td>93/73</td>
<td>43/23</td>
<td>1.622</td>
<td>0.444</td>
</tr>
<tr>
<td>Gestational age (median (P25, P75), weeks)</td>
<td>39.0 (37.7, 39.9)</td>
<td>36.7 (34.4, 38.6)*</td>
<td>34.0 (31.3, 37.2)*†</td>
<td>85.856</td>
<td>0.000</td>
</tr>
<tr>
<td>Birth weight (mean (SD), g)</td>
<td>3233.8 (434.9)</td>
<td>2561.6 (643.3)*</td>
<td>2104.2 (624.7)*†</td>
<td>83.689†</td>
<td>0.000‡</td>
</tr>
<tr>
<td>Caesarean delivery (n (%))</td>
<td>46 (43.4)</td>
<td>93 (56.0)</td>
<td>43 (65.2)*</td>
<td>8.369</td>
<td>0.015</td>
</tr>
<tr>
<td>Length of stay (median (P25, P75), days)</td>
<td>7.0 (5.0, 9.0)</td>
<td>10.0 (7.0, 14.0)*</td>
<td>14.0 (10.0, 28.3)*†</td>
<td>73.921</td>
<td>0.000</td>
</tr>
<tr>
<td>Length on admission (median (P25, P75), cm)</td>
<td>50.0 (50.0, 51.3)</td>
<td>47.0 (45.0, 50.0)*</td>
<td>45.0 (42.0, 48.0)*†</td>
<td>97.029</td>
<td>0.000</td>
</tr>
<tr>
<td>Head circumference on admission (median (P25, P75), cm)</td>
<td>34.0 (33.0, 34.9)</td>
<td>32.0 (30.0, 33.0)*</td>
<td>30.0 (27.4, 31.6)*†</td>
<td>110.102</td>
<td>0.000</td>
</tr>
<tr>
<td>Total protein (mean (SD), g/L)</td>
<td>55.6 (5.7)</td>
<td>52.0 (7.6)*</td>
<td>48.2 (8.3)*†</td>
<td>21.102†</td>
<td>0.000‡</td>
</tr>
<tr>
<td>Albumin (mean (SD), g/L)</td>
<td>34.0 (3.4)</td>
<td>31.8 (4.7)*</td>
<td>29.1 (5.4)*†</td>
<td>23.523‡</td>
<td>0.000‡</td>
</tr>
</tbody>
</table>

*Compared with the low-risk group, the difference was statistically significant.
†Compared with the medium-risk group, the difference was statistically significant.
‡F test (variance analysis).

Table 5 Growth states of neonates with different risk levels

<table>
<thead>
<tr>
<th>Groups</th>
<th>Weight at discharge (mean (SD), g)</th>
<th>Weight gain (mean (SD), g/day)</th>
<th>Head circumference at discharge (median (P25, P75), cm)</th>
<th>Head circumference growth (median (P25, P75), cm/weeks)</th>
<th>Length at discharge (mean (SD), cm)</th>
<th>Increase in length (median (P25, P75), cm/weeks)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Low risk</td>
<td>3408.3 (481.4)</td>
<td>25.1 (27.0)</td>
<td>34.5 (33.5, 35.2)</td>
<td>0.3 (0.0, 0.8)</td>
<td>51.6 (3.5)</td>
<td>0.5 (0.0, 0.8)</td>
</tr>
<tr>
<td>Medium risk</td>
<td>2774.5 (601.5)*</td>
<td>21.5 (18.1)</td>
<td>32.5 (31.4, 34.0)*</td>
<td>0.4 (0.1, 0.6)</td>
<td>49.2 (4.1)*</td>
<td>1.0 (0.4, 1.4)</td>
</tr>
<tr>
<td>High risk</td>
<td>2379.4 (466.3)*†</td>
<td>18.4 (16.6)</td>
<td>31.0 (29.5, 32.5)*†</td>
<td>0.5 (0.2, 0.7)</td>
<td>47.2 (3.1)*†</td>
<td>0.8 (0.4, 1.4)</td>
</tr>
<tr>
<td>Statistical value</td>
<td>82.257‡</td>
<td>2.114‡</td>
<td>105.229‡</td>
<td>1.831</td>
<td>29.320‡</td>
<td>2.651</td>
</tr>
<tr>
<td>P value</td>
<td>0.000‡</td>
<td>0.122‡</td>
<td>0.000‡</td>
<td>0.400</td>
<td>0.000‡</td>
<td>0.266</td>
</tr>
</tbody>
</table>

*Compared with the low-risk group, the difference was statistically significant.
†Compared with the medium-risk group, the difference was statistically significant.
‡F test (variance analysis).
for the validation of nutritional screening tools, this research adopted anthropometric measurements (incidence of faltering growth) as the reference standard to validate this tool. In our study, the Chinese neonatal birth weight curve was used to determine whether infants had faltering growth. Because this curve contains updated neonatal birth weight data for different gestational ages in China and shows differences according to sex, it accurately reflects the actual neonatal birth weight. Furthermore, a systematic review reported that because the use of anthropometric measurements as the reference standard for the validation of malnutrition screening tools tends to produce many false-positive results, full dietetic/nutritional assessment should be used to identify positive cases. However, dietetic/nutritional assessments vary across different countries due to differences in educational standards.

Compared with paediatric nutritional risk screening tools that use the full nutritional assessment as the reference standard (eg, the Screening Tool for the Assessment of Malnutrition in Paediatrics and the Paediatric Yorkhill Malnutrition Score had sensitivities of 70% and 59%, specificities of 91% and 92%, positive predictive value of 54.8% and 47% and a negative predictive value of 94.9% and 95%, respectively), the NNRST still shows good accuracy.

The ESPEN Nutrition Screening Guidelines noted that a qualified screening tool required good predictive value and a high level of reliability and validity. In this study, the criterion validity explained the correlation between the results of the tool evaluation and the reference standard, which could be shown by the Spearman correlation coefficient (r). The r value was 0.550, which indicated a significant correlation. This means that the NNRST had a positive effect on predicting and detecting infants with unfavourable clinical outcomes. The scores that infants with faltering growth received were approximately twice as high as those without faltering growth, indicating that the tool could classify the infants’ nutritional states effectively. The r values of the four items that could represent the results of the content validity were 0.672, 0.321, 0.735 and 0.560, respectively. These results suggested that the content validity was positively correlated between the total score and each item. Therefore, the contents of the tool were closely related and reasonable.

### Table 6

<table>
<thead>
<tr>
<th>Groups</th>
<th>Caloric intake (mean (SD), kcal/(kg·day))</th>
<th>Protein intake (mean (SD), g/(kg·day))</th>
<th>Cases that met the caloric standard (n (%))</th>
<th>Cases that met the protein standard (n (%))</th>
<th>Parenteral nutritional support (n (%))</th>
</tr>
</thead>
<tbody>
<tr>
<td>Low risk</td>
<td>82.7 (23.9)</td>
<td>1.7 (0.6)</td>
<td>19 (17.9)</td>
<td>20 (18.9)</td>
<td>21 (19.8)</td>
</tr>
<tr>
<td>Medium risk</td>
<td>91.5 (21.9)*</td>
<td>2.6 (0.7)*</td>
<td>31 (18.7)</td>
<td>62 (37.3)*</td>
<td>117 (70.5)*</td>
</tr>
<tr>
<td>High risk</td>
<td>86.8 (21.2)</td>
<td>2.8 (0.7)*</td>
<td>6 (9.1)</td>
<td>19 (28.8)*</td>
<td>63 (95.5)*†</td>
</tr>
<tr>
<td>Statistical value</td>
<td>5.044†</td>
<td>85.143†</td>
<td>3.343</td>
<td>10.593</td>
<td>112.975</td>
</tr>
<tr>
<td>P value</td>
<td>0.007†</td>
<td>0.000‡</td>
<td>0.188</td>
<td>0.005</td>
<td>0.000</td>
</tr>
</tbody>
</table>

*Compared with the low-risk group, the difference was statistically significant. †Compared with the medium-risk group, the difference was statistically significant.

### Table 7

<table>
<thead>
<tr>
<th>Complications</th>
<th>Low risk</th>
<th>Medium risk</th>
<th>High risk</th>
<th>Statistical value</th>
<th>P value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Necrotising enterocolitis/congenital gastrointestinal malformation</td>
<td>3 (2.8)</td>
<td>12 (7.2)</td>
<td>27 (40.9)*</td>
<td>62.295</td>
<td>0.000</td>
</tr>
<tr>
<td>Diarrhoea/alimentary tract haemorrhage</td>
<td>3 (2.8)</td>
<td>16 (9.6)</td>
<td>13 (19.7)*</td>
<td>13.512</td>
<td>0.001</td>
</tr>
<tr>
<td>Milk protein allergy/gastrointestinal reflux</td>
<td>5 (4.7)</td>
<td>12 (7.2)</td>
<td>4 (6.1)</td>
<td>0.704</td>
<td>0.703</td>
</tr>
<tr>
<td>Pneumonia</td>
<td>77 (72.6)</td>
<td>157 (94.6)*</td>
<td>57 (86.4)†</td>
<td>26.009</td>
<td>0.000</td>
</tr>
<tr>
<td>Septicaemia</td>
<td>47 (44.3)</td>
<td>85 (51.2)</td>
<td>43 (65.2)*</td>
<td>7.098</td>
<td>0.029</td>
</tr>
<tr>
<td>Congenital heart disease</td>
<td>46 (43.4)</td>
<td>121 (72.9)*</td>
<td>51 (77.3)*</td>
<td>30.424</td>
<td>0.000</td>
</tr>
<tr>
<td>Brain injury/intracranial haemorrhage</td>
<td>72 (67.9)</td>
<td>145 (87.3)*</td>
<td>60 (90.9)*</td>
<td>20.952</td>
<td>0.000</td>
</tr>
<tr>
<td>Hyperbilirubinaemia</td>
<td>82 (77.4)</td>
<td>108 (65.1)</td>
<td>42 (63.6)</td>
<td>5.499</td>
<td>0.064</td>
</tr>
<tr>
<td>Other complications</td>
<td>29 (27.4)</td>
<td>85 (51.2)*</td>
<td>45 (68.1)*</td>
<td>29.479</td>
<td>0.000</td>
</tr>
</tbody>
</table>

*Compared with the low-risk group, the difference was statistically significant. †Compared with the medium-risk group, the difference was statistically significant.
the inter-rater reliability (kappa coefficient) was 0.89, indicating the stability and consistency of our screening tool. Moreover, the average time to the completion of screening was no more than 5 min in the study; other studies of paediatric nutritional risk screening tools have reported that the longest time to complete the screening was 48 hours, while the shortest time was 5 min. Our findings suggest this screening tool can easily screen out infants with nutritional risk, which satisfies the requirements of a screening tool.

In our study, the NNRST was stratified into three levels, and the differences among the three nutritional risk groups were obvious in all of the comparative analyses. In the comparison of the general data of the neonates, there were significant differences in the birth and admission statuses of the three risk groups. The infants in the high-risk group had a lower gestational age and smaller physical measurements, lower albumin on admission, a longer hospital stay and higher rates of caesarean section than the infants in the moderate-risk and low-risk groups did. However, we did not find a significant correlation between the risk categories assigned by the tool and physical growth during hospitalisation. This result was expected because the median length of hospital stay in our population was only 9 days, and therefore, there were no significant differences in the increase of physical indicators. Furthermore, the comparison of protein intake and cases that met the protein standard shows that there were more infants with high and medium risk than infants with low risk. It is possible that the infants in the high-risk or medium-risk group were more premature, had more severe illness and had a high demand for proteins and that therefore, during the treatment process, doctors were more sensitive to their needs for parenteral nutritional supplementation. In contrast, the infants in the low-risk group may have had a generally good condition compared with those in the high-risk and medium-risk groups, which led to the assumption that they did not and would not require parenteral nutrition supplement, and their protein source was limited to formula; however, the influence of the disease and environmental factors can result in reduced milk intake, which can lead to a less-than-ideal protein intake and success rate. The comparison of the complication incidence among the neonates at three risk levels showed that the incidences of necrotising enterocolitis and congenital malformations of the digestive tract were significantly different among the three risk groups; the incidence of diseases during hospitalisation were highest in the high-risk group, making their situations more serious and complicated.

The results of our validation of this tool suggest that it can be used in practice by professionally trained nurses to screen for nutritional risk in hospitalised neonates. Infants whose screening results indicate high risk should be reported to the nutrition team so that interventions can be developed early. However, it is still necessary to routinely monitor growth indicators and dynamically screen for nutritional risk in infants with medium risk. At present, neonatal nutritional management in China is insufficient, and this tool may provide some reference for neonatal nutritional risk screening.

Limitations of this study

Our study had some limitations. First, the neonatal weight gain curve published in 2015 was meant for infants with gestational ages ranging from 24 to 42 weeks. Due to limitations in the scope of this study, we could not determine whether the growth of post-term infants revealed malnutrition. Therefore, this study did not include post-term infants. Second, the ward had strict clinical management requirements for premature infants less than 30 weeks of gestational age, and the number of premature infants admitted at that time was very low; therefore, this study did not include newborns <30 weeks of gestational age, which may have led to bias in our study. Finally, the risk categories were determined according to the scoring principle and the weight of the AHP, and future studies are needed to determine whether classification into high-risk and low-risk categories with this tool makes screening more convenient for medical staff.

CONCLUSIONS

This study verifies the accuracy, validity and reliability of the NNRST. The tool is a scale that provides an economical, handy and non-invasive method for screening neonates for nutritional risk. By combining several assessment indicators, this tool, which satisfies the conditions and requirements for nutritional risk tools, provides a dynamic method for regular preliminary screening for nutritional risk among hospitalised neonates in China.

Author affiliations

1Department of Neonatology, Children's Hospital of Chongqing Medical University, Ministry of Education Key Laboratory of Child Development and Disorders, National Clinical Research Center for Child Health and Disorders, Chongqing Key Laboratory of Paediatrics, China International Science and Technology Cooperation Base of Child Development and Critical Disorders, Chongqing, China

2Department of Primary Healthcare, Children's Hospital of Chongqing Medical University, Ministry of Education Key Laboratory of Child Development and Disorders, National Clinical Research Center for Child Health and Disorders, Chongqing Key Laboratory of Paediatrics, China International Science and Technology Cooperation Base of Child Development and Critical Disorders, Chongqing, China

Acknowledgements The authors gratefully acknowledge Yuan Shi and Jianhui Wang, who provided assistance with the submission and revision of the manuscript.

Contributors All five authors made substantial contributions to the study and manuscript and met the criteria for authorship that are defined in the author instructions. MZ and YL contributed to the acquisition, analysis and interpretation of the data and the drafting of the manuscript. HY, XZ and YH contributed to the design and conduct of the study. HY supervised the project and provided critical revision and final approval of the manuscript. The corresponding author attests that all listed authors meet the authorship criteria and that no one who met the criteria has been omitted.

Funding This study was supported by grants from the Shapingba District of Chongqing Decision-making Consultation and Management Innovation Mandatory Plan Project (q(2015)13) and the Clinical Research Project of Children's Hospital of Chongqing Medical University (jc(2015-14).

Competing interests None declared.
Patient and public involvement Patients and/or the public were not involved in the design, conduct, or reporting, or dissemination plans of this research.

Patient consent for publication Not required.

Ethics approval This study was approved by the Medical Ethics Review Committee of Children’s Hospital of Chongqing Medical University, China (approved no. 61/2015).

Provenance and peer review Not commissioned; externally peer reviewed.

Data availability statement Data are available on reasonable request.

Open access This is an open access article distributed in accordance with the Creative Commons Attribution Non Commercial (CC BY-NC 4.0) license, which permits others to distribute, remix, adapt, build upon this work non-commercially, and license their derivative works on different terms, provided the original work is properly cited, appropriate credit is given, any changes made indicated, and the use is non-commercial. See: http://creativecommons.org/licenses/by-nc/4.0/.

ORCID iD
Huaying Yin http://orcid.org/0000-0003-3054-8563

REFERENCES