

# BMJ Open Lifestyle Intervention in Chronic Ischaemic Heart Disease and Type 2 Diabetes (the LeIKD study): study protocol of a prospective, multicentre, randomised, controlled trial

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## ABSTRACT

**Introduction** Guidelines recommend lifestyle intervention in chronic ischaemic heart disease (CIHD) and type 2 diabetes mellitus (T2DM). However, evidence from randomised controlled trials is scarce in patients with combined entities.

**Methods and analysis** The Lifestyle Intervention in Chronic Ischaemic Heart Disease and Type 2 Diabetes (LeIKD) trial is a prospective, multicentre study that will randomise (1:1) patients with CIHD (ICD-10: I20-I25) and T2DM (ICD-10: E11) from one health insurance company into a lifestyle intervention (LS) or usual care (UC). Active LS consists of an individual combined exercise programme of strength and endurance training and nutritional counselling with regular feedback for 6 months. Intervention is supported by telemedicine. Follow-up without individualised feedback will continue for 6 months. The study aims to investigate whether an individualised telemedically supported LS intervention is superior to UC in improving cardiovascular risk factors, physical activity, quality of life, health literacy, major cardiovascular events and health economics in patients with both CIHD and T2DM. Primary endpoint is the change in HbA<sub>1c</sub> from baseline to 6 months.

**Ethics and dissemination** The study has been approved by the ethics committee of the Technical University of Munich (registration number: 144/18-S) and at each study site. The study will be conducted according to the World Medical Association Declaration of Helsinki, and results will be published in articles and reports. It is funded by the Federal Joint Committee ([www.innovationsfonds.g-ba.de](http://www.innovationsfonds.g-ba.de)), reference number 01NVF17015, which has no impact on data collection, analysis or interpretation. Dissemination is independent of the funding source.

**Trial registration number** Clinical trials.gov identifier: NCT03835923. German registry for clinical studies (DRKS): DRKS00015140.

## INTRODUCTION

Patients with both chronic ischaemic heart disease (CIHD) and type 2 diabetes mellitus

## Strengths and limitations of this study

- The LeIKD (Lifestyle Intervention in Chronic Ischaemic Heart Disease and Type 2 Diabetes) study is one of the largest randomised controlled trials investigating a telemedically supported lifestyle intervention in patients suffering from both chronic ischaemic heart disease and type 2 diabetes mellitus.
- This study evaluates an approach for both patients and healthcare providers with regard to a telemedically supported lifestyle intervention integrating exercise and nutrition in a multimorbid elderly population.
- This study combines health economic data from one health insurance company with telemedical data from individualised exercise and nutrition.
- This study is limited to patients willing to use smartphones.
- This study is limited to insurants from one health insurance company, which may limit generalisation.

(T2DM) have a high morbidity and mortality.<sup>1</sup> Results from the EUROASPIRE-IV survey (n=7998), assessing the implementation of current guidelines in secondary prevention, show that 26.8% of patients with CIHD also suffer from T2DM.<sup>2</sup> Combining the diagnosis of T2DM and CIHD exponentially increases the risk of impaired quality of life (QoL) and mortality.<sup>1,3</sup>

Recommendations for lifestyle intervention are included in current guidelines in CIHD<sup>1</sup> and T2DM.<sup>4</sup> Both have received I A classifications, which is based on evidence from randomised controlled intervention trials. The largest trial (Look AHEAD, n=5145) in T2DM (14% with former cardiovascular event) has revealed that lifestyle intervention

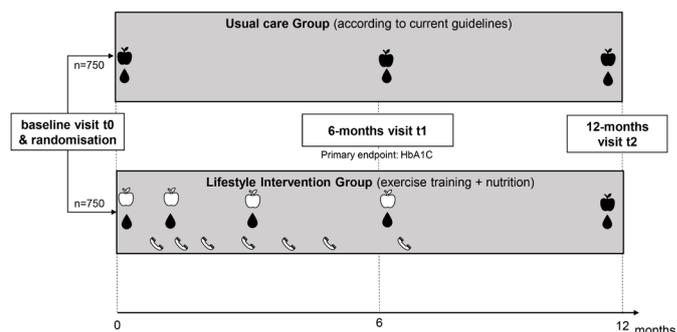
including exercise training in combination with a hypocaloric diet improved glycosylated haemoglobin (HbA<sub>1c</sub>) over 1 year as compared with usual care.<sup>5</sup> Moreover, results from the ENHANCE trial in patients with T2DM (1:1 randomised, n=296, behavioural intervention, increasing diabetes self-care by monitoring software) have shown a trend towards a mean reduction of HbA<sub>1c</sub> of 0.4% after 6 months, although this was non-significant due to improvements in both experimental and control groups.<sup>6</sup> Furthermore, results of the DiRECT study (n=306) in an outpatient general practitioner setting have demonstrated a 46% remission of T2DM through weight reduction by meal replacement therapy and exercise counselling after 12-month intervention.<sup>7</sup>

Evidence from a recent study in patients with stable CIHD (n=15 487, physical activity (PA) data by questionnaire, 38.7% T2DM) has revealed that habitual exercise is significantly associated with lower cardiovascular mortality (adjusted HR=0.92).<sup>8</sup> Moreover, in patients with heart failure with reduced ejection fraction (HFrEF), the HF-ACTION study (n=2331, 51.4% ischaemic aetiology of heart failure, prevalence of T2DM 32.1%) has revealed that, after adjusting for prognostic factors, exercise training significantly reduced rehospitalisation rate (HR 0.85, p=0.03).<sup>9</sup>

However, in all of these trials, adherence to intervention has been the key challenge.<sup>5,9</sup> Telemedicine applications, characterised by the WHO as any information and communication technologies that allow remote health-care services,<sup>10</sup> may support implementation of and adherence to lifestyle measures, as demonstrated by the ENHANCE trial.<sup>6</sup> A recent review by Zhu *et al* (2019) has underlined the effectiveness of telemedical interventions (defined by telephone, vocal support or telemonitoring) in patients with heart failure (10 981 patients with HFrEF, NYHA I-IV, 29 randomised controlled trials) by revealing significant reductions in hospitalisation rate, all-cause mortality and length of hospitalisation in the intervention group.<sup>11</sup> The TIM-HF2 trial investigated the efficacy of a remote patient management (including medical multi-component telemonitoring system with daily transmission to study centre, monthly phone calls/interviews and option of emergency phone contact) in n=1571 patients with heart failure (New York Heart Association (NYHA) II-III, 1:1 randomisation) on morbidity and mortality (40% ischaemic cause of heart failure, 45% T2DM)<sup>12</sup> and revealed a significant difference in days lost to cardiovascular hospital admissions (4.9% in remote patient management vs 6.6% in UC, p=0.046).

## Rationale

Despite this current positive clinical evidence of lifestyle interventions in T2DM and CIHD, the challenge of implementation and adherence to a long-term lifestyle programme remains unresolved. To overcome these major challenges, telemedicine has revealed beneficial effects on adherence and motivation by improving



**Figure 1** LeIKD (Lifestyle Intervention in Chronic Ischaemic Heart Disease and Type 2 Diabetes) study design. Apple black: food diary without feedback; apple white: food diary with feedback; blood drop: blood glucose profile without feedback; phone: feedback training from core laboratory.

patients' education and awareness<sup>12</sup>; however, this approach is unclear in an elderly and multimorbid patient population.

Therefore, a large, randomised controlled trial assessing the combined effects of exercise and nutrition supported by telemedicine in patients suffering from CIHD and T2DM has yet to be conducted. Data from health economics will be particularly valuable for subsequent implementation into standard care programmes in this population.

## METHODS AND ANALYSIS

### Study objectives

We hypothesise that patients with CIHD and T2DM receiving a telemedical lifestyle intervention programme including exercise training and nutritional advice will show significant improvements in cardiovascular risk factors, PA, health literacy, health economic data and clinical events when assessed after 6 and 12 months, as compared with patients assigned to UC.

### Study design

The LeIKD study is a prospective, multicentre, randomised, controlled trial. **Figure 1** depicts the study flow chart for further details. We used the SPIRIT (Standard Protocol Items: Recommendations for Interventional Trials) checklist when writing our report.<sup>13</sup> The study will include patients with the diagnosis of both CIHD and T2DM. Patients will be identified by the statutory health insurance fund TK (Techniker Krankenkasse, Hamburg, Germany) according to ICD (International Classification of Diseases) codes for ischaemic heart diseases (ICD-10: I20-I25, ie, history of angina pectoris; acute myocardial infarction; subsequent ST elevation (STEMI) and non-ST elevation (NSTEMI) myocardial infarction; certain current complication following ST elevation (STEMI) and non-ST elevation (NSTEMI) myocardial infarction; other acute ischaemic heart diseases; CIHD) and T2DM (ICD-10: E11). A complete list of inclusion and exclusion criteria is shown in **table 1**.

**Table 1** Inclusion and exclusion criteria

| Inclusion criteria   | Exclusion criteria  |
|--|---|
| Ischaemic heart disease (ICD-10: I20-I25)<br>Diabetes mellitus (ICD-10: E11) and HbA1c $\geq$ 6.5 or anti-diabetic medication at the time of screening | Mental and behavioural disorders (ICD-10: F00, F01, F02, F11, F12, F13, F14, F15, F16, F18, F20, F21, F22, F23, F24, F25, F28, F29, F44, F72, F73, F17, F84)  |
| $\geq$ 18 years  | Heart failure NYHA IV (ICD-10: I50.14)  |
| Insured at TK health insurance fund  | Malignant neoplasm (ICD-10: C25, C34, C56, C72, C73, C78, C79, C97)   |
| Permission to do physical exercises by study investigator  | Parkinson's disease (ICD-10: G20)   |
| Written informed consent   | Alzheimer disease (ICD-10: G30)<br>Infantile cerebral palsy (ICD-10: G80)<br>Chronic kidney disease (ICD-10: N18.4 & N18.5)<br>Trisomy 21 (ICD-10: Q90)<br>Blindness/visual impairment (ICD-10: H54.0, H54.2, H54.3)<br>Hearing loss (ICD-10: H90.0, H90.3, H90.5, H90.6, H90.8)<br>Care level 1–5<br>Insured abroad<br>Inability to do physical exercises or conditions that may interfere with exercise intervention<br>No optimal cardiac treatment within the last 4 weeks to be assessed and decided on by the local investigator<br>Not clinically stable within the last 4 weeks to be assessed and decided on by the local investigator<br>Participation in another trial |

Care level: needed degree of required assistance in daily life (part of the German health system).  
ICD, International Classification of Diseases register; NYHA, New York Heart Association.

Eleven centres across Germany have been selected as certified study sites: Aachen (University Hospital, Department for Cardiology), Berlin (Charité, Department for Sports Medicine and Department for Cardiovascular Prevention and Heart Failure), Dresden (University Hospital, Department for Internal Medicine and Cardiology), Freiburg (University Hospital, Department for Cardiology and Angiology), Greifswald (University Hospital, Department for Internal Medicine), Kassel (private practice for Cardiology), Leipzig (University Hospital, Department for Cardiology), Magdeburg (University Hospital, Department for Cardiology and Angiology), Villingen-Schwenningen (private practice for Prevention and Therapy) and the Department for Prevention and Sports Medicine at University Hospital

‘Klinikum rechts der Isar’ of the Technical University of Munich. Target recruitment rates are derived by the number of insurants at Techniker Krankenkasse with the corresponding ICD diagnoses. Standardised data collection and administration in each site is assured by standard operating procedures (SOPs) and personal training by the trial committee before inclusion of the first patient per site. When living within the area of 50 km from a site, patients will be contacted via phone by the health insurance fund and informed on the background and purpose of the study. In case of consent to participate in the study, the contact details of the potential study participant will be consensually transmitted to the local study site via pin-code safe data room to schedule a screening visit (t0).

### Randomisation

All patients will be randomly assigned to either the LS or UC by means of block randomisation, stratified by centre, using a web-based electronic data capture system (secuTrial, interActive Systems GmbH, Berlin, Germany).

### Baseline and follow-up investigations

After written informed consent, anamnesis including medical history, medication, physical examination, anthropometry and resting ECG will be performed. Blood samples will be taken and analysed for standard laboratory values (HbA<sub>1c</sub>, blood count, blood lipids, N-terminal pro-brain natriuretic peptide) in a local laboratory.

Health literacy, eating behaviour, daily PA and QoL will be assessed by the European Health Literacy Questionnaire (HLS-EU-Q16), a German questionnaire on eating behaviour (‘Fragebogen zum Essverhalten’, FEV), the International Physical Activity Questionnaire (IPAQ) and the Short Form Health Survey (SF-36), respectively. These examinations will be repeated after 6 (t1) and 12 months (t2).

Furthermore, a symptom-limited maximal cardiopulmonary exercise test (CPET) on a stationary cycle ergometer will be performed according to current recommendations at baseline and after 6 months.<sup>14</sup> An exercise stress test (including exercise ECG) will be performed after 12 months. Breath-by-breath CPET data will be transferred to and analysed by the CPET core laboratory at Technical University of Munich (TUM).

To monitor daily PA and blood glucose levels, all patients (both groups) will receive a pedometer (AS80/AS87, Beurer GmbH, Ulm, Germany) and blood glucose metre (GL50evo, Beurer GmbH, Ulm, Germany), which are connected to an app (Beurer Health manager, Beurer GmbH, Ulm, Germany). While the pedometer should be worn throughout the entire duration of the study, patients are asked to measure 3-day blood glucose profiles at three different points in the study: during week 1 (after t0), the week after t1 and the week before t2. Randomised patients are equipped with relevant smartphone applications (apps). If necessary, a smartphone will be provided free of cost for the duration of the study. To ensure data security and privacy, pseudonymised study identification

## Box 1 Clinical endpoints

### Primary:

- ▶ Change in HbA<sub>1c</sub> (%) after 6 months between groups.

### Secondary:

- ▶ Change in HbA<sub>1c</sub> (%) after 12 months between groups.
- ▶ Change in health literacy (HLS-EU-Q16, score) between 6 and 12 months between groups.
- ▶ Change in daily physical activity (IPAQ, score) after 6 and 12 months between groups.
- ▶ Change in average steps per days (7-day average of steps/day measured by pedometers) after 6 and 12 months between groups.
- ▶ Change in eating behaviour (FEV, score) after 6 and 12 months between groups.
- ▶ Change of quality of life (SF-36, score) after 6 and 12 months between groups.
- ▶ Change in healthcare costs (€, health claims data of health insurance fund) after 6 and 12 months between groups.
- ▶ Change in weight (kg) after 6 and 12 months.
- ▶ Change in waist circumference (cm) after 6 and 12 months.
- ▶ Change in LDL-cholesterol concentrations (mg/dL) after 6 and 12 months.
- ▶ Change in HDL-cholesterol concentrations (mg/dL) after 6 and 12 months.
- ▶ Change in triglyceride concentration (mg/dL) after 6 and 12 months.
- ▶ Change in systolic blood pressure (mmHg) after 6 and 12 months.
- ▶ Change in diastolic blood pressure (mmHg) after 6 and 12 months.
- ▶ Number of the combined endpoint '4-point MACE' after 6 and 12 months.

FEV, questionnaire about eating behaviour; HbA<sub>1c</sub>, glycosylated haemoglobin; HDL, high density cholesterol; HLS-EU-Q16, Health Literacy Survey Questionnaire; IPAQ, International Physical Activity Questionnaire; LDL, low density cholesterol; MACE, major cardiovascular events defined as cardiovascular death, non-fatal stroke, non-fatal myocardial infarction, hospitalisation due to angina pectoris; SF-36, Short Form-36 Questionnaire.

codes (study IDs) as well as standardised email addresses are used to register in LS apps.

Furthermore, 7-day paper-based food diaries corresponding to these three points in time will be recorded by the study participants and evaluated by nutrition specialists at TUM.

For safety monitoring and analysis, adverse events will be obtained and immediately forwarded to the study site at TUM to be reevaluated by a safety committee. All evaluations are performed according to SOPs to ensure objective evaluations and high data quality.

### Study endpoints

The primary endpoint is a change in HbA<sub>1c</sub> levels from baseline to 6 months of LS compared with UC (reduction of HbA<sub>1c</sub> -0.4% from baseline level).

Secondary endpoints (box 1) include changes in health literacy, PA, eating behaviour, QoL, numerous cardiovascular risk factors, the number of major cardiovascular events (cardiovascular death, non-fatal stroke, non-fatal myocardial infarction, hospitalisation for angina pectoris and/or coronary revascularisation) and healthcare costs after 6 and 12 months. The self-reported questionnaires

cover the following topics: HLS-EU-Q16 assessing health literacy, including items regarding disease management, prevention and health promotion<sup>15</sup>; IPAQ assessing PA during the last 7 days, including items for time spent on moderate, vigorous or sitting activities<sup>16</sup>; FEV assessing eating behaviour, including items regarding cognitive control of eating habits, restrained eating, disturbance of eating habits and experienced feelings of hunger<sup>17</sup>; and SF-36 assessing QoL, including items regarding physical and mental well-being.<sup>18</sup>

### Intervention

The intervention is centrally provided by the study group at TUM, which means that the individual study sites can focus on patient recruitment and follow-up investigations. This also ensures a standardised and objective support of patients enrolled to the trial.

### Lifestyle intervention group (LS)

Patients assigned to LS will receive individualised recommendations on nutrition as well as on their individual exercise programme. They will also receive two weekly newsletters on PA, motivation and nutrition by mail.

### Exercise and daily activities

The individual exercise training consists of both endurance and strength training and starts 2 weeks after randomisation. It is provided and monitored via the LeIKD app (IDS Diagnostic Systems AG, Ettlingen, Germany). The patients will be allocated to one of four different levels of fitness based on their maximum exercise capacity (percentage of normal peak oxygen consumption (% $\dot{V}O_2$ peak)<sup>19</sup> and relative  $\dot{V}O_2$ peak (mL/min/kg)), as further depicted in table 2, at baseline and 6 months visit.

Despite different templates for each level, the initial aim is to encourage all patients to exercise on a daily basis. Every template consists of four endurance and two strength training sessions per week with an initial duration of 10–15 min and an increase of duration (up to 30–50 min) and intensity over time. The endurance training varies between low, moderate and vigorous intensities, as well as continuous and interval sessions. Intensities are individually calculated according to a threshold-based approach,

**Table 2** Thresholds for endurance and strength exercise group allocations based on maximum exercise capacity

| Exercise training level | Thresholds for endurance exercise group allocation based on percentage of normal $\dot{V}O_2$ peak (%) | Thresholds for strength exercise group allocation based on relative $\dot{V}O_2$ peak (mL/min/kg) |
|-------------------------|--|---|
| 1                       | ≤75.0  | ≤16.0   |
| 2                       | 75.1–90.0  | 16.1–22.0   |
| 3                       | 90.1–110.0   | 22.1–30.0   |
| 4                       | >110.0   | >30.0   |

$\dot{V}O_2$ peak: peak oxygen uptake.

and incorporate patients' CPET data in order to provide a best-practice individual exercise recommendation.<sup>20 21</sup> All endurance sessions will be monitored by a heart rate sensor (H7 Heart rate sensor, Polar, Kempele, Finland) and recorded in the LeIKD app.

The strength training includes more than 30 different exercises with multiple variations and levels of intensity. All exercises can be performed at home and may incorporate conventional household items such as water bottles. Each session includes several exercises targeting different muscle groups (whole body, lower extremities, upper extremities, trunk muscles) and consists of at least two sets per exercise.

In addition to the LeIKD app, patients will receive a printed booklet with all exercises and access to an online platform, where they have access to instructional videos.

### Training adaptation

The core laboratory for exercise training (TUM) will be able to access all exercise data via secured web platform to monitor adherence of the participants. In order to increase adherence and motivation, patients can choose their preferred mode of endurance training (eg, riding a bike or walking). Furthermore, patients are contacted via phone in weeks 3, 5, 9, 13, 17 and 21 (before t1) by exercise physiologists from TUM to review potential problems and, if necessary, individually adapt the type, amount, duration and/or intensity of the exercise sessions. Despite potential changes, the exercise training aims at increasing the duration to at least 150 min per week of moderate PA, or a mixture of moderate-to-vigorous PA with less than 150 min per week to meet general health recommendations.

Beyond structured endurance and strength training, patients are encouraged to increase their daily PA. Therefore, based on the average steps per day in the first 2 weeks, patients are advised to gradually increase their daily PA within 6 months. After 6 months (t1), heart rate zones for the different intensity domains will be adapted according to the results of CPET at 6 months visit. Two weeks later, all LS patients will be contacted by phone to be informed about their progress and the upcoming exercise schedule. The second part of the study primarily aims at maintaining the level of PA and exercise without regular feedback. Therefore, the patients will receive another exercise schedule based on their preferences (eg, less sessions with longer duration) in order to improve long-term motivation and adherence. No further individual contact is planned until the subsequent visit after 12 months (t2). In case of urgent safety-related issues or technical problems, the exercise programme will be individually adapted by study personnel if requested.

### Nutrition monitoring

In addition to 7-day food diaries in weeks 1 (after t0), 27 (t1) and 52 (t2), patients in the LS will be asked to complete food diaries in weeks 5 and 14. Based on their food diaries, they will receive individualised nutritional

advice based on the principle of energy density<sup>22</sup> by either mail or local postal service centrally coordinated from nutritionists at TUM. This information will primarily contain recommendations about replacing high density (calories per gram) with lower density level.<sup>22</sup> This principle is intended to lead to a reduction in caloric intake.<sup>22</sup> Patients will be engaged to improve food choices based on principles of a balanced and healthy diet according to the German Nutrition Society (DGE). Nutritional advices focus on quality of food, consumption of fruits and vegetables, preferring calorie-free beverages and avoiding alcoholic drinks, preferably consuming rather fish than meat and avoid highly processed foods. This will empower the patient to make healthier, qualitatively better food choices based on their individual meal preferences and thereby support weight maintenance or reduction.

### Health literacy

To increase health literacy particularly regarding PA and nutrition, all LS patients will receive twoweekly emails with background information on PA, motivation and nutrition along with cooking recipes.

In order to improve health literacy, all patients are advised to measure their own blood glucose concentrations at different times during the day, as well as in different activity contexts, including (but not limited to) before and after one of their strength or endurance training sessions.

### Patient and public involvement

Patients have not been involved in the development of the initial study protocol. Patients participate when adapting the individual exercise intervention and change of diet according to the nutritionist's feedback, see the Intervention section. A group of random patients was invited to participate at a *focus group meeting* to discuss and further develop questionnaires.

### Usual care

Patients in the UC group are treated according to the ESC guidelines on the management of stable coronary artery disease<sup>4</sup> and all patients will receive detailed standard recommendations on nutrition and PA<sup>23 24</sup> after the baseline examination (t0) by email. These include a recommendation to perform 150 min of moderate or 75 min of higher intensity activities and several other lifestyle-related advices (see online supplemental document) to assure even access of information for every patient. They will not receive a lifestyle intervention or individualised feedback.

### Claims data from statutory health insurance fund

In order to investigate the effect of the intervention on health economics, a cost-effectiveness analysis based on claims data from one statutory health insurance (SHI) fund will be carried out as part of this study's health economic evaluation. Claims data can be obtained and analysed on an individual basis, as these data are directly

transmitted from healthcare providers to SHI funds for billing and reimbursement purposes.

### Sample size calculation

Based on the results of the ENHANCE trial<sup>6</sup> (mean difference of 0.4%±1.8%, 80% statistical power, 5% significance level and an estimated dropout rate of 15%), a number of 750 patients have been calculated to detect a statistically significant difference of the primary endpoint between the groups. For an equally distributed comparison between patients living in urban and rural areas, the estimated number has been doubled to 1500.

### Data handling

The evaluation is based on three data sources: patient reported data, medical data and SHI claims data.

Patient-reported data include, among others, questionnaires (assessed and documented at study sites), PA data (eg, pedometer by app) and nutrition diaries (centrally coordinated at TUM). Medical data are either assessed and documented by study site. SHI claims data are derived by health insurance. All data sources are pseudonymised in the same way by study IDs. No interim analysis will be conducted.

Patient-reported data and medical data will be extracted from the electronic data capture system secuTrial by the interActive Systems GmbH (Berlin, Germany). secuTrial is compliant with the Directive 2001/20/EC guidelines for Good Clinical Practice. Moreover, the compliance with all legal requirements for the interActive Systems GmbH in the handling of all collected data will be monitored by the evaluating institute within the scope of order data processing. SHI claims data will be provided by the stationary health insurance fund TK. The different sources are linked by study ID and validated for the analyses.

### Statistical analysis

The aim of the statistical analysis is to compare changes in outcomes between different points in time (ie, baseline, after 6 months and after 12 months) and study groups (ie, LS and UC). Therefore, outcomes will be measured and analysed at all different points in time in both study groups (see figure 1) following the intention-to-treat principle. Statistical analysis has been described in a study evaluation protocol. Descriptive variables and changes in outcomes will be summarised separately for both study groups. An analysis of covariance will be conducted to evaluate all between-subject effects and to identify interactions of between-subject and within-subject effects, including within-covariate interactions. To consider relevant differences between the study groups regarding the baseline variables, adjustments can be made for possible confounders (eg, comorbidities). Additionally, an analysis of variance will be used to evaluate all within-subject effects between the three points in time. To compare differences between groups, t-tests (for parametric data) or Mann-Whitney U tests (for non-parametric data)

will be applied. Additionally, multivariate analyses are performed to identify and investigate factors influencing the study's primary and secondary outcomes. Sensitivity analyses will be conducted to assess the robustness of the results to variation in key parameters. This will comprise per-protocol analyses and, if applicable, as-treated analyses, which will be defined in a statistical analysis plan before the database is locked.

In order to investigate our intervention's effect on health economics, a cost-effectiveness analysis will be carried out as part of the health economic evaluation. In this analysis, a modified societal perspective will be adopted to account for healthcare system costs. This perspective will cover the costs of our intervention, as well as the general costs for medical care (eg, outpatient and inpatient diagnostics and treatment), pharmaceuticals, rehabilitation, remedies and aids, as well as sick leave payments during the study period. Lastly, the incremental cost-effectiveness ratio will be calculated as the change in average costs between the IC and CG divided by the difference in average change on HbA<sub>1c</sub> levels between the LS and UC. All analyses will be performed with a significance level of  $\alpha=0.05$  and will not be adjusted for multiplicity. Therefore, analyses of secondary endpoints should be interpreted as hypothesis-generating.

### ETHICS AND DISSEMINATION

The LeIKD study has been approved by the local ethics committee of the TUM (protocol 27.03.2018, identification code: 144/18-S) as well as each ethics committee responsible for other participating study sites. The study will be conducted according to the World Medical Association Declaration of Helsinki. Protocol modifications must be approved by all legal authorities if necessary and further communicated. Study participation is voluntary and patients are only included after written and verbal informed consent. Patients can withdraw from study participation at any time without personal legal consequences. The results of the study will be published by the study group in presentations, articles and reports, independently of the funding sponsor. The authors were involved in study proposal (MH, VA, BH), are part of the steering committee (SN, VA, BH, MH and SM) and will be involved in study coordination and dissemination (all authors).

### Discussion

The LeIKD study will be one of the largest randomised trials investigating a telemedical lifestyle intervention in patients suffering from both CIHD and T2DM. This multimorbid, mostly elderly patient population has a significantly increased morbidity and mortality and poses a substantial and increasing socioeconomic burden.<sup>2</sup>

In the UK Prospective Diabetes Study (UKPDS), a 1% reduction in HbA<sub>1c</sub> was associated with a significant ( $p<0.001$ ) reduced risk in diabetes-related deaths (-21%), myocardial infarction (-14%) and microvascular

complications (−37%).<sup>25</sup> However, the authors state that they were not able to define a threshold regarding diabetic complications and that any improvement of diabetic control by reduction of HbA<sub>1c</sub>, independent of baseline HbA<sub>1c</sub> concentration, reduces the risk of complication.<sup>25</sup> The European Medicines Agency has set their non-inferiority margin for changes in HbA<sub>1c</sub> to 0.3%,<sup>26</sup> which is slightly less than what we expect as a treatment-related mean difference between the groups (−0.4%) based on the results of the ENHANCE trial.<sup>6</sup>

Currently, it has not been investigated before in a large randomised trial, whether QoL and health economic aspects may significantly be improved by a telemedical lifestyle intervention for patients with cardiovascular disease.<sup>11</sup> Therefore, the LeIKD study will address an important and challenging patient population, will closely evaluate adherence to lifestyle interventions, will include a telemedicine platform and devices, and will include economic data analysis.

The TEMA-HF 1 study including 160 patients with HFREF showed promising results by telemedical intervention (data of weight, blood pressure and heart rate electronically submitted to hospital, alert if necessary) during 6 months of rehabilitation after acute heart failure.<sup>27</sup> Results for all-cause mortality (17.5% death rate in the control group vs 5% in the intervention group,  $p=0.012$ ), hospitalisation ( $0.82\pm 0.93$  hospitalisations/subject in the control group vs  $0.80\pm 0.97$  in the intervention group,  $p=0.934$ ) and hospitalisation costs (€1458±3420 in the control group vs €902±2277 in the intervention group,  $p=0.23$ ) are promising, even though the sample size was relatively small.<sup>27</sup>

The long-term implementation of lifestyle intervention is a key challenge in all lifestyle trials. In the HF ACTION study in HFREF, the authors identified (1) low motivation, (2) limitations due to comorbidities and (3) the disease condition itself as the main contributors towards low adherence rates.<sup>9</sup> The LeIKD study aims at changing the patients' lifestyle in their personal environment, an approach that has succeeded previously.<sup>12</sup> Moreover, as monotonous exercise regimens will most likely lead to a decline in adherence, the LeIKD study will deliberately extend variation of exercise regarding volume, intensity, load of training, individualised training scheme and target to improve exercise capacity.

Next to the individual feedback, we intensively aim at increasing health literacy (by using pedometers, blood glucose monitoring and weekly newsletters). Health literacy and the role of personal guidance are thought to play a major role in patients' long-term adherence. This will be quantified within the LeIKD study by health literacy questionnaires and comparison of episodes with individual feedback for the first 6 months and thereafter without.

In LeIKD, individual nutritional recommendations aim at motivating the patients to change their diet by triggering their intrinsic motivation rather than imposing strict rules from the study personnel. Patients design their

own diet supported by general recommendations from newsletters, as well as implementing feedback from nutritional specialists by replacing food of high by low density. Thereby, we will follow an educational approach to support the lifestyle changes and improve health literacy.

SHI claims data from the trial will add valuable information regarding the economic impact of a lifestyle intervention. Furthermore, it will provide an overview of current treatment quality in Germany in light of the observed conditions. Compared with clinical trials, claims data analyses have been shown to reflect real-life healthcare provisions.<sup>28</sup> Other benefits of this data source include cost-efficient data generation and access to a large study population. Linking all data will ideally provide a deeper insight into real-life treatment and patient-relevant assessments, thereby also contributing to improve healthcare research.

The LeIKD study represents one of the largest lifestyle intervention trials in the field of cardiology and diabetology. Furthermore, to our knowledge, no other trial has thus far evaluated the effects of a 12-month telemedical lifestyle intervention in a population with both CIHD and T2DM. The multicentre approach as well as the close link to one of the largest insurance companies in Germany, which identifies patients according to their ICD codes in the area of study centres, will ensure an optimised recruitment strategy and potential for optimal health economic analyses and long-term follow-up. The LeIKD study will thereby contribute towards establishing a standard procedure for a telemedical approach of lifestyle intervention in a population with CIHD and T2DM.

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Dear participant in the LeIKD study,

We are pleased that you have agreed to participate in the LeIKD study. Below you will find your exercise and nutritional recommendations.

### Exercise recommendations

Regular physical activity is important for improving or maintaining health and physical and mental well-being. It leads to an increase in mobility and helps to reduce the risk of many diseases and support their treatment.

According to the current guidelines on coronary heart disease and the guideline on type 2 diabetes issued by the European Society of Cardiology (2013) and the World Health Organisation (WHO), the following exercise recommendations for endurance training result:

- o At least 150 minutes / week with moderate intensity (e.g. 5x30 minutes / week) or
- o At least 75 minutes / week with higher intensity

As a rule of thumb:

At a moderate intensity you can still talk in whole sentences, but you will notice that this is already a little difficult. At high intensity you are no longer / hardly able to formulate whole sentences.

Apart from active sports, physical activity in everyday life also has a positive effect on your health. Use the stairs instead of the lift or go for a short walk during the day. Avoid sitting for too long or interrupt this with a little physical activity (e.g. standing or walking while talking on the phone). This should ideally be supplemented by muscle-strengthening exercises and coordination and balance exercises.

### Nutritional recommendations

The patient guidelines of the National Care Guideline for Coronary Heart Disease (2017) and for the treatment of type 2 diabetes (2015) recommend

- If overweight, try to lose weight; if normal weight, avoid weight gain
- Healthy, calorie appropriate and balanced diet
- At least 5 portions of fruit and vegetables a day (1 portion is roughly the size of your own hand)
- Prefer foods with complex carbohydrates and low glycemic index
- Limit as far as possible foods with added sugar (especially ready-made products, sweet drinks and sweets)
- Protein content of the diet about 0.8 grams of protein per kilogram of body weight (10-20% of the daily energy intake); if kidney damage is present, reduce the amount in consultation with the doctor if necessary
- Moderate salt consumption (approx. 6 g salt per day); caution with finished products, as these often contain a large amount of salt
- Sufficient supply of dietary fibre (approx. 30 g per day) from whole grain cereals and pulses
- Fish twice a week if possible, including once high-fat fish (mackerel, herring, salmon) to supply healthy omega-3 fatty acids
- Pay attention to a good fatty acid composition in high-fat foods
- Vegetable fats and oils are preferable (e.g. rapeseed oil, olive oil, seeds and nuts, avocados); a handful (approx. 30 g) of unsalted nuts can be eaten daily to supplement the diet
- High-fat meals with many saturated and trans fatty acids (e.g. fatty meat, fatty finished products, food from the deep fryer, cream, fatty confectionery and bakery products, crisps etc.) as low as possible
- Drink enough: a minimum of 1.5 litres a day is recommended. Sugar-sweetened drinks (fruit juices and lemonades) should be avoided as far as possible and more use should be made of non-calorie drinks such as water or unsweetened teas
- Alcohol only in small quantities and above all not daily

We thank you for your participation in the study and wish you all the best,  
Your LeIKD study team at TU Munich

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