Efficacy of neoadjuvant hyperthermic intraperitoneal chemotherapy in advanced high-grade serous ovarian cancer (the NHIPEC trial): study protocol for a randomised controlled trial

Miao-Fang Wu, Li-Juan Wang, Yan-Fang Ye, Chang-Hao Liu, Huai-Wu Lu, Ting-Ting Yao, Bing-Zhong Zhang, Qing Chen, Ji-Bin Li, Yong-Pai Peng, Hui Zhou, Zhong-Qiu Lin, Jing Li

ABSTRACT

Background Neoadjuvant chemotherapy (NACT) is an important treatment option for patients with ovarian cancer. Although intravenous NACT can improve optimal resection rates and decrease surgical morbidity and mortality, these advantages do not translate into a survival benefit. Ovarian carcinoma is mainly confined to the peritoneal cavity, which makes it a potential target for hyperthermic intraperitoneal chemotherapy (HIPEC). Our previous study showed that HIPEC could be used in the neoadjuvant setting, which was named neoadjuvant HIPEC (NHIPEC). Since hyperthermia is an excellent chemosensitiser, we hypothesised that the combination of NHIPEC and intravenous NACT could show superior efficacy to intravenous NACT alone.

Methods This study is a single-centre, open-label, randomised (1:1 allocation ratio) phase 2 trial. A total of 80 patients will be randomly assigned into an experimental group (NHIPEC+intravenous NACT) or a control group (intravenous NACT). Patients in the experimental group will receive NHIPEC following laparoscopic evaluation, and four tubes will be placed via the laparoscopic ports, which will be used to administer NHIPEC. Then, perfusion with docetaxel (60–75 mg/m²) will be performed (43°C for 60 min, Day 0) followed by cisplatin (75 mg/m², Day 1) infusion (43°C for 60 min) 24 hours later. After NHIPEC, two cycles of intravenous NACT will be given. Patients in the control group will receive three cycles of intravenous NACT alone. The primary endpoint is the proportion of patients who achieve a Chemotherapy Response Score (CRS) of 3 according to the CRS system. The secondary endpoints include progression-free survival, overall survival and the rates of complete resection and NHIPEC-related adverse events.

Ethics approval and dissemination This study was approved by the Ethics Committee of Sun Yat-sen Memorial Hospital (approval number: 2020-ky-050). Results will be submitted to peer-reviewed journals and presented at national and international conferences.

Strengths and limitations of this study

- This is the first randomised trial that investigates the role of hyperthermic intraperitoneal chemotherapy (HIPEC) in the neoadjuvant setting.
- The Chemotherapy Response Score system is used as the primary outcome measure facilitating timely assessment of the efficacy of neoadjuvant HIPEC.
- A possible limitation is interoperator variations in terms of operational technique.

INTRODUCTION

For patients with newly diagnosed advanced epithelial ovarian cancer, surgical staging and maximal cytoreduction followed by platinum-based chemotherapy has been the cornerstone of treatment. There is convincing evidence that the amount of residual disease following cytoreductive surgery has a significant impact on patient prognosis. Since each 10% increase in optimal cytoreduction was associated with a 2.3-month increase in median survival, reduction to no visible disease (R0 resection) is the ultimate goal of surgery. However, to achieve this, high complexity surgeries are required in primary debulking surgery (PDS), which increases the risk of surgery-related morbidity and mortality. For patients with high perioperative risk and those with a low likelihood of achieving R0 resection in PDS, neoadjuvant chemotherapy (NACT) followed by interval debulking surgery (IDS) can be considered a reasonable alternative. Three phase III trials have compared NACT-IDS with PDS and showed survival non-inferiority of NACT-IDS. In addition, patients treated with intravenous NACT were less likely to suffer postoperative morbidity and mortality. The amount of...
residual disease after cytoreduction has been the strongest prognostic factor for patients with high-grade serous ovarian carcinoma (HGSOC). However, as demonstrated in the three randomised trials, NACT can increase the chances of achieving R0 resection, but it cannot offer better survival outcomes when compared with PDS.\textsuperscript{3-10} While this may be a result of high tumour burden or patients’ poor performance status, poor response to intravenous NACT could be a possible reason. Since tumour response to NACT plays an independently prognostic role for patients with HGSOC and the efficacy of NACT and IDS is still a matter of debate in gynaecology oncology literature,\textsuperscript{12-15} we believe that enhancing the efficacy of NACT has the potential to improve survival outcomes.

For patients with ovarian cancer, the disease is mainly confined to the peritoneal cavity. Because of the plasmaperitoneal barrier, the peritoneum is poorly reached by traditional intravenous NACT. There is increasing evidence that hyperthermic intraperitoneal chemotherapy (HIPEC) is an effective treatment for peritoneal carcinomatosis.\textsuperscript{15-18} In a randomised controlled trial by van Driel et al, the addition of HIPEC to IDS resulted in longer recurrence-free survival and overall survival (OS) than surgery alone.\textsuperscript{19} A recent retrospective study conducted at five high-volume cancer centres in China investigated the efficacy of HIPEC in 584 patients with ovarian cancer.\textsuperscript{20} The authors reported that the median OS time was significantly longer for patients who underwent HIPEC following PDS than for those who underwent PDS alone (median OS: 49.8 months vs 34.0 months). Our previous study showed that the median OS time was significantly longer for those who underwent HIPEC following PDS than those who underwent PDS alone (median OS: 49.8 months vs 34.0 months). Our previous study showed that the median OS time was significantly longer for those who underwent HIPEC following PDS than those who underwent PDS alone (median OS: 49.8 months vs 34.0 months).

METHODS AND ANALYSIS

Study design

This is a single-centre, open-label, randomised (1:1 allocation ratio) phase 2 trial. The eligible patients will be randomised into an experimental group (NHIPEC plus intravenous NACT) or a control group (intravenous NACT). Patients in the NHIPEC experimental group will receive NHIPEC and two cycles of intravenous NACT, while patients in the control group will receive three cycles of intravenous NACT. All patients will undergo IDS within 4 weeks after the last cycle of NACT. The specimens obtained during IDS will be formalin-fixed and paraffin-embedded. The chemotherapy response will be determined by three independent pathologists. The CRS score will be assigned based on omental examination as described by Böhm.\textsuperscript{12} Following IDS, all patients will receive at least three cycles of systemic platinum-based chemotherapy.\textsuperscript{1} Figure 1 illustrates the flow chart of the present trial, and figure 2 summarises the study protocol.

![Study design flowchart](http://bmjopen.bmj.com/ BMJ Open: first published as 10.1136/bmjopen-2020-046415 on 16 December 2021. Downloaded from http://bmjopen.bmj.com/ on September 17, 2023 by guest. Protected by copyright.)

**Figure 1** Study design flowchart. CRS, Chemotherapy Response Score; HIPEC, hyperthermic intraperitoneal chemotherapy; IDS, interval debulking surgery; iv NACT, intravenous neoadjuvant chemotherapy; NHIPEC, neoadjuvant HIPEC.
Screening procedure

Patients who are suspicious for unresectable advanced stage disease can undergo screening for this trial. A preoperative resectability scoring model proposed by Suidan et al., which is based on CT scan and cancer antigen 125 (CA125), will be used in the screening phase. During the baseline examinations the written informed consent will be obtained from patients with a high risk score (≥7) at least 24 hours before laparoscopic evaluation. After the written consent is received, the patient can be registered online.

Endpoints

Primary endpoint

The proportion of patients who achieve a CRS of 3 following NACT.

Secondary endpoints

1. PFS.
2. OS.
3. Rate of R0 resection.
4. NHIPEC-related AEs.

Patient eligibility

Inclusion criteria

1. International Federation of Gynecology and Obstetrics stage IIIC–IVA, HGSOC.
2. Age 18–70 years.
3. Patients with Fagotti score ≥8, suggesting that these patients have a low likelihood to achieve R0 resection in PDS.
5. Adequate haematological function (haemoglobin ≥110 g/L, leucocytes ≥4.0×10⁹/L, neutrophils ≥2.0×10⁹/L, platelets ≥100×10⁹/L).
6. Adequate liver function (serum total bilirubin 3.4–22.2 µmol/L, alanine aminotransferase (ALT) 7–40 U/L, aspartate aminotransferase (AST) 13–35 U/L, AST/ALT ≤1.5).
7. WHO performance status score (WHO score) 0–2.

Exclusion criteria

1. Patients who had received chemotherapy, radiotherapy or any kind of targeted therapy.
2. Patients with complete intestine obstruction.
3. Expected life span ≤8 weeks.
4. Complicated with any other known malignancies.
5. Patients with poor cardiopulmonary function, which would limit compliance with study requirements.

Withdrawal criteria

1. Allergy to platinum compounds or taxanes.
2. Withdrawal of informed consent.
3. Inability to comply with the protocol or study procedures.
Table 1  Fagotti scoring algorithm

<table>
<thead>
<tr>
<th>Tumour characteristics</th>
<th>Score 0</th>
<th>Score 2</th>
</tr>
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<tbody>
<tr>
<td>Peritoneal carcinomatosis</td>
<td>Carcinomatosis involving a limited area (along the paracolic gutter or the pelvic peritoneum) and surgically removable by peritoneectomy</td>
<td>Unresectable massive peritoneal involvement with a miliary pattern of distribution</td>
</tr>
<tr>
<td>Diaphragmatic involvement</td>
<td>No infiltrating carcinomatosis and no nodules confluent with most of the diaphragmatic surface</td>
<td>Widespread infiltrating carcinomatosis or nodules confluent with most of the diaphragmatic surface</td>
</tr>
<tr>
<td>Mesenteric involvement</td>
<td>No large infiltrating nodules and no involvement of the root of the mesentery (ie, movement of intestinal segments is not limited)</td>
<td>Large infiltrating nodules or involvement of the root of the mesentery indicated by limited movement of intestinal segments</td>
</tr>
<tr>
<td>Omental involvement</td>
<td>No tumour diffusion observed along the omentum up to the greater curvature of the stomach</td>
<td>Tumour diffusion observed along the omentum up to the greater curvature of the stomach</td>
</tr>
<tr>
<td>Bowel infiltration</td>
<td>No bowel resection assumed and no miliary carcinomatosis observed on the bowel ansae</td>
<td>Bowel resection assumed or miliary carcinomatosis observed on the ansae</td>
</tr>
<tr>
<td>Stomach infiltration</td>
<td>No obvious neoplastic involvement of the gastric wall</td>
<td>Obvious neoplastic involvement of the gastric wall</td>
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</table>

A value of 0 or 2 is assigned depending on whether disease is present in these locations. If patients score ≥8, optimal cytoreduction is very unlikely. If they score <8, they are considered candidates for cytoreductive surgery.

4. Use of concomitant medication, including chemotherapeutic drugs and targeted anticancer drugs, or radiotherapy.

Sample size calculation
The primary endpoint is to compare the CRS 3 rates of the two arms. A previous study reported that CRS 3 could be achieved in 30% of patients with HGSOC following traditional intravenous NACT. Based on our previous study, we hypothesised that a combination of NHIPEC and intravenous NACT will increase the CRS 3 rate by 15%. Using these rates in Simon’s selection design, 33 35 subjects per treatment arm are needed to allow for the selection of the superior treatment arm with a 90% probability (α=0.05). To account for a 10% dropout rate, 5 additional subjects will be recruited to each arm, with an accrual goal of 40 subjects per treatment arm.

Intervention
Laparoscopic evaluation
All patients with Suidan scores ≥7 will receive an initial laparoscopic evaluation to take a biopsy to confirm histology and assess resectability. The Fagotti scoring system will be used to determine the possibility of R0 resection in the primary debulking setting (table 1). Scoring will be performed by two experienced gynaecologic oncologists independently. Patients with a Fagotti score ≥8 will be offered NACT and subsequent IDS.

NHIPEC
For patients who are assigned to the experimental group (NHIPEC + intravenous NACT), four tubes will be placed via the laparoscopic ports (two in the bilateral subdia-phragmatic space for use as inlet tubes and two in the pelvic cavity for use as outlet tubes), and docetaxel (60–75 mg/m²) perfusion solution will be infused into the peritoneal cavity through the tubes (Day 0) immediately after the laparoscopic evaluation. Then, perfusate containing cisplatin (75 mg/m²) will be infused 24 hours later (Day 1). According to the anaesthetic protocol for HIPEC, all patients will receive intravenous non-opioid analgesics to relieve pain before cisplatin perfusion is initiated. NHIPEC will be administered at 43°C for a duration of 60 min. A high-precision hyperthermic intraperitoneal perfusion treatment system (approved by the State Food and Drug Administration of China, approval No. 2009–3260924), which has a precision of ±0.10°C for temperature control and ±5% for flow control, will be used. Saline solution (3000 mL) will be used to dissolve the drug, and it will be heated and circulated at a flow rate of 300–500 mL/min. The perfusion velocity will be adjusted to ensure that the entire abdomen is exposed to the perfusate (the initial velocity will be 300 mL/min, and then it will be increased gradually until the patient feels bloated or a flow rate of 500 mL/min is achieved). A goal intra-abdominal temperature of 43°C will be measured by temperature monitoring probes in the infusion and outflow catheters. After NHIPEC treatment (Day 1), the four tubes will be removed immediately to retain as much of the drugs in the abdominal cavity as possible.

Intravenous NACT regimen
Patients in the experimental group will receive two cycles of intravenous NACT, and the first cycle will be initiated with 4 weeks of NHIPEC. Patients in the control group will receive three cycles of intravenous NACT. The regimen of intravenous NACT is docetaxel 60–75 mg/m² followed by carboplatin AUC 5 for a 21-day cycle.
IDS and postsurgical adjuvant chemotherapy

Patients in both arms will undergo IDS within 28–30 days after the last cycle of intravenous NACT. IDS will be carried out in accordance with the National Comprehensive Cancer Network ovarian cancer guidelines. The extent and complexity of the surgical procedures will be categorised according to the surgical complexity scoring system. The amount of residual disease following IDS will be classified with the cytoreductive completeness scoring (CCS) system, where CC-0 (R0 resection) is defined as no visible disease after cytoreduction and CC-1, 2 and 3 (CC-1+) scores (residual tumours less than 2.5 mm, between 2.5 mm and 2.5 cm and greater than 2.5 cm, respectively) will be grouped together. All patients will receive at least three cycles of platinum and taxane-based adjuvant chemotherapy.

CRS scoring system

Prior to the initiation of this trial, all pathologists involved in the present trial will be required to review the original publication by Böhme et al. and they will receive online training for the CRS system (www.gpecimage.ubc.ca/aperio/images/crs).

Regression-associated fibroinflammatory changes consist of fibrosis associated with macrophages, including foam cells, mixed inflammatory cells and psammoma bodies, as distinguished from tumour-related inflammation or desmoplasia.

<table>
<thead>
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<th>Table 2</th>
<th>Criteria for the Chemotherapy Response Score</th>
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<tr>
<td>CRS1</td>
<td>No or minimal tumour response. Mainly viable tumour with no or minimal regression-associated fibroinflammatory changes, limited to a few foci; cases in which it is difficult to decide between regression and tumour-associated desmoplasia or inflammatory cell infiltration.</td>
</tr>
<tr>
<td>CRS2</td>
<td>Appreciable tumour response amid viable tumour that is readily identifiable. Tumour is regularly distributed, ranging from multifocal or diffuse regression-associated fibroinflammatory changes with viable tumour in sheets, streaks or nodules to extensive regression-associated fibroinflammatory changes with multifocal residual tumour, which is easily identifiable.</td>
</tr>
<tr>
<td>CRS3</td>
<td>Complete or near-complete response with no residual tumour OR minimal irregularly scattered tumour foci seen as individual cells, cell groups or nodules up to 2 mm maximum size. Mainly regression-associated fibroinflammatory changes or, in rare cases no or very little residual tumour in the complete absence of any inflammatory response. It is advisable to record whether there is no residual tumour or whether there is microscopic residual tumour present.</td>
</tr>
</tbody>
</table>

Randomisation

After laparoscopic evaluation is completed, all eligible patients will be randomised in a 1:1 ratio to receive the experimental treatment or the control treatment. The random allocation sequence will be generated using SAS statistical software V.9.4 (SAS Institute) by a biostatistician who works in the Clinical Research Design Division at Sun Yat-sen Memorial Hospital and is not involved in the trial. Then, the sequence will be sealed in an opaque envelope and sent to the investigator.

Monitoring

The Data and Safety Monitoring Committee (DSMC) will be established, which consists of independent experts who have no conflicts of interest and who agree with the study protocol. The DSMC is responsible for reviewing the study progress, checking the original data on efficacy endpoints and monitoring the safety data. No interim analysis is planned for this trial.

Assessment of safety outcomes

Safety outcomes include AEs during primary treatment, HIPEC-related AEs, patient-reported AEs and suspected unexpected serious adverse reactions (SUSARs). All AEs occurring during primary treatment will be graded by the National Cancer Institute Common Terminology Criteria for Adverse Events (CTCAE) V.5.0. Patient-reported AEs will be assessed using a patient-reported version of CTCAE (PRO-CTCAE). AEs that occur within 3 weeks of NHPEC are defined as NHPEC-related. If any serious NHPEC-related AEs and SUSARs occur during the trial, the investigator will immediately provide appropriate diagnosis and treatment and will report the incidence to the principal investigator and DSMC within 24 hours.

Follow-up

The follow-up schedule is detailed in box 1.

Data collection, data management and monitoring

The data will be stored and handled while maintaining the anonymity of the participants. All data will be collected in a dedicated paper file and reported by the principal investigator or his delegated representative using the EpiData system. All study documents will be regarded as confidential. Once the data are checked, they will be fixed by the DSMC.

Statistical analysis

Recruitment start date is 1 October 2021 and the planned recruitment end date is 1 October 2022. The results of the present trial are expected to be presented in 2024.
The statistical analysis will be performed by an independent biostatistician. This trial includes four data sets for analysis: intention-to-treat (ITT), per-protocol set (PPS), full analysis set (FAS), and safety set (SAS). The ITT analysis will include all participants, while the PPS analysis will only include participants who strictly follow the protocol and finish the trial. The FAS analysis will include all randomised participants within the respective treatment group, they have been assigned to at randomisation according to the ITT principle. The SAS analysis will be carried out to analyse safety outcomes for all randomised participants who receive at least one cycle of neoadjuvant treatment.

Continuous variables will be tested for normality by using the Kolmogorov-Smirnov test. Student’s t-test will be used to compare normally distributed continuous variables, while the Mann-Whitney U test will be used for data with non-normal distributions. The χ² test or Fisher’s exact test will be used to analyse the frequency distributions of categorical variables where appropriate. PFS and OS will be measured from the date of randomisation until the date of events (recurrence or death of any cause). PFS and OS will be estimated using the Kaplan-Meier method and compared with the log-rank test. If the proportional hazards assumption is not violated, Cox proportional hazards models will be fit to estimate the treatment HR and corresponding 95% CI. All statistical tests will be two-sided, with p<0.05 considered significant. The statistical analysis will be carried out using SAS V.9.4 (SAS Institute).

**PATIENT AND PUBLIC INVOLVEMENT**

Patients were not directly involved in the development of the study protocol. Results of the trial will be disseminated to participants through direct consultation with a trial clinician when the trial is completed.

**ETHICS AND DISSEMINATION**

The trial will be conducted in accordance with the seventh revision of the Declaration of Helsinki 2013. The study protocol was reviewed and approved by the Institutional Review Board of Sun Yat-sen Memorial Hospital (No. 2020-KY-050). The study was registered on 12 September 2020 with http://www.chictr.org.cn/. The investigators will obtain written informed consent from each participant before screening. Results from this trial will be submitted for publication to peer-reviewed journals, and to national meetings in presentation form.

**DISCUSSION**

Although NACT-IDS is recommended in many clinical guidelines for selected patients with ovarian cancer with advanced disease,1 2 its benefit has been a source of longstanding controversy.40 Level I evidence supporting this treatment comes from the European Organization for Research and Treatment of Cancer (EORTC) 55971 trial, the Chemotherapy OR Upfront Surgery (CHORUS) trial41 and the Surgical Complications Related to Primary or Interval Debulking in Ovarian Neoplasm (SCORPION) trial,8–10 where similar PFS and OS rates were noted between the NACT-IDS group and the PDS group. In addition, patients treated with NACT were noted to have a lower incidence of postoperative complications and a higher likelihood of achieving R0 cytoreduction than those treated with PDS. However, the non-inferiority of NACT with regard to survival outcomes was not confirmed in the Japan Clinical Oncology Group (JCOG) 0206 trial.41 In addition, evidence indicates that the use of NACT is associated with an increased risk of platinum-resistant recurrence.42 Collectively, there is an unmet clinical need for a new method to improve the efficacy of traditional intraperitoneal NACT.

In ovarian carcinoma, the disease is primarily confined to the peritoneal cavity. Intraperitoneal administration improves drug delivery to the peritoneal surface, while hyperthermia is directly cytotoxic, activates heat-shock proteins, induces apoptosis, inhibits angiogenesis, promotes protein denaturation, increases the penetration of chemotherapy at the peritoneal surface and increases the chemosensitivity of cancer cells.23–25 Based on the evidence, we proposed NHIPEC in 2019.29 Since NHIPEC is delivered using a closed technique, it allows for an increased intra-abdominal pressure and thus improves drug penetration.36 Recently, we conducted a multicentre retrospective cohort study and found that the addition of NHIPEC was associated with an increased rate of CRS 3.38 However, the study is limited by limited sample size and selection bias arising from the retrospective design. In the present randomised controlled trial, we aimed to investigate whether the combination of NHIPEC and
intravenous NACT could show superior efficacy to intravenous NACT alone. To the best of our knowledge, this trial is the first randomised controlled trial to evaluate the effect of HIPEC in the neoadjuvant setting.

The CRS system is used as the primary outcome measure, which we believe is the most notable feature of the NHIPEC trial. Since its description in 2015,12 the CRS system has been evaluated in many studies, and its prognostic value and reproducibility have been validated.13 24–27 Based on the evidence, the ICCR guideline has recommended the use of the CRS to assess the histological effects of NACT on patients with HGSC to enable standardised and objective reporting.28 In addition, the Society of Gynecologic Oncology (SGO) White Paper on an The Food and Drug Administration (FDA) Ovarian Cancer Clinical Trial Endpoints Workshop in 2015 highlighted the potential of NACT response to act as a platform for the regulatory approval of novel therapies.17 CRS 3, which indicates improved PFS and OS, is a reliable biomarker for patients with HGSC in the neoadjuvant setting.25 Therefore, we believe the significance of the CRS system is in line with what is highlighted in the SGO White Paper.47 Currently, ACTRN12618000109202 and KGOG3046 have incorporated the CRS system as an endpoint, which can facilitate timely evaluation of the efficacy of neoadjuvant treatment before IDS.

In van Driel’s trial, patients in both groups received three cycles of intravenous chemotherapy following IDS.19 The addition of HIPEC to IDS resulted in better survival outcomes than surgery alone. However, it remains unclear whether the beneficial effect is a result of one additional cycle of intraperitoneal chemotherapy. Previous studies have reported that intraperitoneal cisplatin is absorbed into the circulation in the HIPEC setting. In Cashin’s study,48 a combination of cisplatin (50 mg/m²) and doxorubicin (15 mg/m²) was added to the perfusate and infused for a duration of 90 min. The reported mean half-life (t½) of perfusate cisplatin was 18.4 min. The authors concluded that after 75 min, there is little active cisplatin left in the perfusate. Data from our previous study also indicated efficient uptake of cisplatin during HIPEC: approximately 80% of cisplatin could be used after 60 min of HIPEC.19 In the present trial, we sought to investigate whether patients benefit more from a combination of NHIPEC and intravenous NACT than intravenous NACT alone when the same number of cycles of neoadjuvant treatment is prescribed. Therefore, we chose to administer three cycles of intravenous NACT in the control arm and two cycles of intravenous NACT in the experimental arm. In addition, since docetaxel is reported to be effective for peritoneal metastases due to higher concentration and augmentation by heat,50 a combination of docetaxel and cisplatin is used for NHIPEC.

A possible limitation of the current trial is interoperator variations in terms of operational technique. To minimise the risk of bias resulted from these variations, only one experienced surgical oncologists specialised in gynaecological malignancies will be required to perform debulking surgery.

In summary, the NHIPEC trial will clarify whether a combination of NHIPEC and intravenous NACT could offer more benefit to patients with HGSC than intravenous NACT alone. We expect that the addition of NHIPEC will contribute to the efficacy improvement of NACT.

Author affiliations
1Department of Gynecologic Oncology, Sun Yat-sen Memorial Hospital, Guangzhou, Guangdong, China
2Clinical Research Design Division, Sun Yat-sen Memorial Hospital, Guangzhou, Guangdong, China
3Department of Clinical Research, Sun Yat-sen University Cancer Center, Guangzhou, China
4Radiation Oncology, Dana-Farber Cancer Institute, Boston, Massachusetts, USA

Contributors MFW, ZQL and JL contributed to the conception and design of this work. MFW, LJW, CHL, HWW, TTY, BZC, QYF and HZ contributed to data collection. YFY and JBL provided statistical expertise. JL prepared the first draft of the manuscript. MFW, YFY and JBL contributed to the analysis and interpretation of the data. All authors provided critical revisions and approved the final version.

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ORCID iDs
Ji-Bin Li http://orcid.org/0000-0001-7632-3648
Jing Li http://orcid.org/0000-0003-1361-7790

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