Digital technology and disease surveillance in the COVID-19 pandemic: a scoping review protocol

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ABSTRACT

Introduction Infectious diseases pose a risk to public health, requiring efficient strategies for disease prevention. Digital health surveillance technologies provide new opportunities to enhance disease prevention, detection, tracking, reporting and analysis. However, in addition to concerns regarding the effectiveness of these technologies in meeting public health goals, there are also concerns regarding the ethics, legality, safety and sustainability of digital surveillance technologies. This scoping review examines the literature on digital surveillance for public health purposes during the COVID-19 pandemic to identify health-related applications of digital surveillance technologies, and to highlight discussions of the implications of these technologies.

Methods and analysis The scoping review will be guided by the framework proposed by Arksey and O’Malley and the guidelines outlined by Colquhoun et al and Levac et al. We will search Medline (Ovid), PsycInfo, PubMed, Scopus, CINAHL (EBSCOhost), ACM Digital Library, Google Scholar and IEEE Explore for relevant studies published between December 2019 and December 2020. The review will also include grey literature. Data will be managed and analysed through an extraction table and thematic analysis.

Ethics and dissemination Findings will be disseminated through traditional academic channels, as well as social media channels and research briefs and infographics. We will target our dissemination to provincial and federal public health organisations, as well as technology companies and community-based organisations managing the public response to the COVID-19 pandemic.

INTRODUCTION

The COVID-19 pandemic constitutes an unparalleled global crisis impacting all matters that determine health (eg, environment, economy, health services) and has been described as the first pandemic of ‘the algorithmic age’ where advanced data analytics are contributing to sophisticated detection, treatment and prevention strategies.1 Bennet et al8 describe surveillance practices as central to all organisations and sectors, and encourage attentiveness to misuse of data collected for another purpose: function or mission creep.2 Defined as ‘the focused, systematic, and routine attention
to personal details for purposes of influence, management, protection or direction’, surveillance constitutes a long-standing practice within public health. There has been a surge in digital surveillance technology development by academics, private sector companies and ‘citizen scientists’ to support public health practices (eg, contact tracing, physical distancing). The use of existing digital surveillance technologies has also been leveraged and redirected to support pandemic management. To date, the use of technology to mitigate the spread of COVID-19 within and across countries has achieved varying levels of success, dependent on indicators of success (eg, disease containment (testing, vaccinations), mortality, educational/school attendance, employment rate, real gross domestic product), which vary geographically. Globally, governments are considering, or are currently using, digital surveillance technologies (eg, cellphone geolocation, closed-circuit cameras, apps) and mass public data collection (eg, wastewater surveillance) to detect and mitigate the spread of the COVID-19 virus, and to ensure compliance with public health measures.

There have also been concerns about (mis)uses of digital technology measures during pandemic and non-pandemic situations. Many have voiced concerns regarding the short-term and long-term potentials of these technologies, including undermining human rights, threatening our fundamental values, inequitable targeting of oppressed and racialised communities, biases embedded in coding leading to discriminatory practices, inequitable power structures and engendering a false sense of security. Researchers, human rights advocates and knowledge leaders in digital technology are insistent that governments and healthcare decision-makers balance technological innovation as a pandemic response with transparency, diligence and attentiveness to issues of data standards, ethics, equity and human rights to effectively address the short-term and long-term implications on health and issues that determine health. Patel, for instance, argues that:

while data can save lives at times of global public health crisis...it can only do this effectively if its use, management and governance, even at times of crisis, is underpinned by clear rules (grounded in law, ethics and human rights) about how best to use data; and trust in institutions to use data well.

However, the urgency to control the spread of COVID-19 has effectively limited opportunities to thoroughly consider the intended (disease containment) and unintended (eg, violation of ethical practices and human rights standards) consequences. Digital surveillance technologies that bear on determinants of health require regulatory oversight that accounts for transparency, diversity, networks of control, influence and the potential for the exploitation of citizen data by public and private organisations.

This scoping review aims to investigate the peer-reviewed and grey literature on the use of digital surveillance technologies for public health mitigation purposes during the COVID-19 pandemic and within the global context. The objectives of the scoping review are as follows:

- To review the breadth and depth of the academic and grey literature on digital health surveillance technologies and their use during the COVID-19 pandemic.
- To explore how the literature has taken up and addressed the short-term and long-term implications of digital surveillance technology on diverse populations, particularly those who are marginalised or facing existing inequities.
- To identify gaps in the peer-reviewed and grey literature.

**METHODS AND ANALYSIS**

We will conduct a scoping review with guidance from Arksey and O’Malley, Colquhoun et al., Levac et al. and the Joanna Briggs Institute guidelines. A scoping review was determined to be the most appropriate means of addressing our research objectives, as our intent is to explore what is known about digital surveillance technologies for public health purposes and to investigate the state of the literature. To this end, we look to use a scoping strategy to map relevant literature in the field of interest. Our aim is to convey the breadth and depth of the peer-reviewed and grey literature on this topic. We will also trace these various forms of investigation and discussions to identify any gaps that might exist.

This scoping review will follow the methodological framework described by Arksey and O’Malley, which comprises five stages: (1) identifying the research question, (2) identifying relevant studies, (3) study selection, (4) charting the data, (5) collating, summarising and reporting the results. In writing this scoping review protocol, we also drew on the Preferred Reporting Items for Systematic Review and Meta-Analysis (PRISMA) Protocols reporting guidelines.

**Stage 1: identifying the research question**

Our scoping review will be guided by the following research question: What is known about digital health surveillance technologies targeted at citizen surveillance during the COVID-19 pandemic within the global context? In addition to this research question, we also seek to answer the following subquestions: (1) What are the health-related applications of digital surveillance technology strategies? (2) What are the existing and/or predicted short-term and long-term implications of digital surveillance technology on diverse cultural, criminalised, Indigenous, disabled and otherwise marginalised populations?

**Stage 2: identifying relevant literature**

Our interdisciplinary team of researchers informed the adoption of an expansive definition of digital health surveillance technologies that includes any use of technology with the goal of making someone, or something, visible...
for public health purposes. We developed our search strategy through ongoing consultations with a specialist subject librarian, who assisted in developing the search strategy and identifying relevant databases. The search strategy will include pertinent and comprehensive search terms that represent the primary concepts of this scoping review’s objectives. These consist of keywords and medical subject headings (MeSH) terms, as well as combinations of these terms using Boolean operators (box 1). The search strategy and keywords will be adjusted for each database (see online supplemental file 1).

An electronic search will be conducted using the following databases: Medline (Ovid), PsycInfo (Ovid), PubMed, Scopus, CINAHL, ACM Digital Library, Google Scholar and IEEE Explore. The databases were chosen with the intention of including perspectives from health, public health, engineering, computer science, data ethics and other specialist fields on the use of technology for health surveillance purposes. We will also hand search key journals and the reference lists of relevant articles for additional publications that may have been missed from the database searches. All references will be exported to a reference manager software to organise references and remove duplicates.

Grey literature from organisations with relevance to the focus of our research (eg, digital health, surveillance, data/human rights, ethics, equity, privacy) will be included. With the help of a research librarian, our team of interdisciplinary researchers selected relevant organisational websites that explore the use and applications of digital technology for surveillance purposes. We will conduct a search of these websites to retrieve potentially relevant grey literature. These sites include: the Canadian Agencies for Drugs and Technology in Health, the Ada Lovelace Institute, the Center for International Governance Innovation, the Geneva Internet Platform, Munk Updates, Human Rights Watch, the International Civil Liberties Monitoring Group, the Surveillance Studies Centre at Queen’s University, the Information and Privacy Commissioner of Ontario, Privacy International, Amnesty International, the International Association of Privacy Professionals, PreventionWeb, the National Health Policy Forum and the Mitre.

These websites will be searched through a manual search of current and archived contents and, where applicable, through the use of the internal search tool on each website. We will use similar key terms to those being used to search the peer-reviewed literature. Any relevant literature published between December 2019 and December 2020 will be retained for further review. Links to potentially relevant publications will be extracted to a spreadsheet for further screening by two researchers.

Stage 3: literature selection
Inclusion criteria

We began with a broad search of the literature to capture all publications on the use of digital health surveillance technology during pandemics, epidemics and outbreaks published between January 2000 and December 2020. As we are interested in the global use of digital health surveillance technologies, we included publications written from, and about, all countries and regions. However, due to limitations in time and resources, we only included publications written in English. This search yielded 9630 results. From these results, we screened the abstracts based on the following inclusion criteria:

- The publication must include mention of the use of a digital technology for public health surveillance.
- This public health surveillance must be oriented towards the containment or mitigation of the spread of an infectious disease.
- Public health surveillance through digital technology must be focused on surveilling humans, not non-human animals.

After screening the abstracts, we retained 2076 publications for inclusion. Next, we read each publication to screen against the inclusion criteria listed above. Following this screening process, we retained 888 publications for review.

Given the resources and time available to us, it was impractical to attempt a scoping review of over 800 publications. Our research team trialled several ways of further limiting our scope as a means of reducing this number. We experimented with limiting the scope by technology, by region, by methodology and according to whether the technology was publicly or privately funded, but these exclusions either limited the scope in such a way that we could not answer our research questions or were ineffective at reducing the number of included publications to a manageable amount.

We next attempted to limit the scope to focusing solely on digital health surveillance technologies used during the COVID-19 pandemic. We refined our inclusion criteria to limit the publication timeframe from December 2019 to December 2020, and we excluded publications that did not have the terms ‘coronavirus’, ‘COVID19’, ‘SARS-CoV-2’ or ‘severe acute respiratory syndrome coronavirus
These inclusion criteria reduced the number of retained publications to 172. After consultation with the research team, we agreed that this limited scope reduced the number of publications for review to a manageable amount, while also ensuring that we could answer our research questions if we modified them to focus solely on the COVID-19 pandemic.

Title and abstract screening was conducted by two researchers. Included articles were imported into Mendeley for full-article screening by five researchers. Any discrepancies were discussed among the researchers until a consensus was reached.

Stage 4: charting the data

After searching the databases, all identified citations were uploaded to Mendeley 1.19.4/2019 (Elsevier) and duplicates removed. Titles and abstracts of all articles were screened by two independent reviewers to determine if they met the study’s inclusion criteria. Potentially relevant articles were reviewed in full against the inclusion criteria by two independent reviewers. Disagreements between the two reviewers at any stage were resolved through mutual discussion or, where necessary, consultation with a third reviewer. The results and study inclusion process will be presented on a PRISMA extension for Scoping Reviews flow chart (figure 1).

We will use a targeted rule set to extract 12 relevant pieces of data from the included articles. This data extraction table will be developed in accordance with the objectives of our scoping review, as well as domain-specific expertise from members of our research team to ensure that we identify all relevant information. The data extracted from all relevant documents will include the following: (1) author(s), (2) year of publication, (3) type of document, (4) aim or study purpose, (5) methodology, (6) countries or regions studied, (7) type(s) of digital surveillance technology studied, (8) how the technology under study is used for disease surveillance, (9) target population(s), (10) key findings, (11) outcomes, and (12) implications of technology use (eg, ethical, political, etc). Five researchers will pilot the data extraction table on five articles and then discuss the findings to determine whether adjustments need to be made.

Stage 5: collating, summarising and reporting the results

In line with our objective of mapping the breadth and depth of the literature, we will conduct a thematic analysis of the data extracted from the articles with the goal of identifying what kinds of studies of digital health surveillance technologies have been conducted; which technologies, countries and surveillance implications have been studied; what debates, discussions and tensions have emerged within the literature; and, where applicable, what gaps exist in the literature. The analysis will be undertaken as a collective effort among our team of researchers to ensure an interdisciplinary analysis from multiple expert perspectives.

PATIENT AND PUBLIC INVOLVEMENT

Patients and the public were not involved in this research in any way.

DISCUSSION

The aim of this scoping review is to explore the literature on digital health surveillance technology, with the goal of mapping the research that has been done in this area, understanding the implications of use and highlighting any gaps. As digital health surveillance technologies are leveraged by countries around the world in an attempt to manage the COVID-19 pandemic, there is an urgent need to understand the potential short-term and long-term implications of technology use. We anticipate that the results of this scoping review will support informed decision-making around digital surveillance use and provide important insight into the existing knowledge of digital health surveillance technologies and the use of these forms of surveillance in monitoring and mitigating pandemics.

ETHICS AND DISSEMINATION

Given we are reviewing secondary sources and not working with human subjects, our scoping review did not require ethics approval. The findings of our scoping review will be disseminated through traditional academic channels, including peer-reviewed publications and conference presentations. We will also engage targeted public organisations through social media channels and accessible research briefs
and infographics, developed with our interdisciplinary team of researchers. We will target our dissemination to global public health organisations. We will also target technology industry companies and community-based organisations dealing with the public response to the COVID-19 pandemic. Dissemination of our findings is intended to generate a shared understanding of the concept of digital surveillance, and to facilitate reflection and discussion on the benefits and challenges of pandemic surveillance strategies.

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