


# BMJ Open Ethnicity and outcomes in patients hospitalised with COVID-19 infection in East London: an observational cohort study

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## ABSTRACT

**Objective** To describe outcomes within different ethnic groups of a cohort of hospitalised patients with confirmed COVID-19 infection. To quantify and describe the impact of a number of prognostic factors, including frailty and inflammatory markers.

**Setting** Five acute National Health Service Hospitals in east London.

**Design** Prospectively defined observational study using registry data.

**Participants** 1737 patients aged 16 years or over admitted to hospital with confirmed COVID-19 infection between 1 January and 13 May 2020.

**Main outcome measures** The primary outcome was 30-day mortality from time of first hospital admission with COVID-19 diagnosis during or prior to admission. Secondary outcomes were 90-day mortality, intensive care unit (ICU) admission, ICU and hospital length of stay and type and duration of organ support. Multivariable survival analyses were adjusted for potential confounders.

**Results** 1737 were included in our analysis of whom 511 had died by day 30 (29%). 538 (31%) were from Asian, 340 (20%) black and 707 (40%) white backgrounds. Compared with white patients, those from minority ethnic backgrounds were younger, with differing comorbidity profiles and less frailty. Asian and black patients were more likely to be admitted to ICU and to receive invasive ventilation (OR 1.54, (95% CI 1.06 to 2.23);  $p=0.023$  and OR 1.80 (95% CI 1.20 to 2.71);  $p=0.005$ , respectively). After adjustment for age and sex, patients from Asian (HR 1.49 (95% CI 1.19 to 1.86);  $p<0.001$ ) and black (HR 1.30 (95% CI 1.02 to 1.65);  $p=0.036$ ) backgrounds were more likely to die. These findings persisted across a range of risk factor-adjusted analyses accounting for major comorbidities, obesity, smoking, frailty and ABO blood group.

**Conclusions** Patients from Asian and black backgrounds had higher mortality from COVID-19 infection despite controlling for all previously identified confounders and frailty. Higher rates of invasive ventilation indicate greater acute disease severity. Our analyses suggest that patients of Asian and black backgrounds suffered disproportionate rates of premature death from COVID-19.

## INTRODUCTION

The novel SARS-CoV-2, which manifests as COVID-19, has led to a global pandemic.<sup>1</sup>

## Strengths and limitations of this study

- ⇒ This study is one of the most comprehensive studies exploring COVID-19 outcomes in black, Asian and minority ethnic populations so far reported including evaluation of linked comorbid and socioeconomic risk factors.
- ⇒ This study was conducted in a single region where COVID-19 has had significant impact and thus not confounded by differences in incidence of COVID-19 disease across the UK, regional concentration of minority ethnic groups and regional differences in the time course of the epidemic.
- ⇒ In addition, we employed a prespecified statistical analysis plan and performed multiple sensitivity analyses to test the robustness of our findings.
- ⇒ In line with the vast majority of published COVID-19 analyses, we only included proven COVID-19 cases, therefore, suspected diagnoses should be considered in future studies, particularly those occurring outside of hospitals.
- ⇒ Despite its size, our study lacked the power to assess a more detailed ethnicity breakdown and like many datasets, may not reflect the vast heterogeneity within ethnic categories (such as Bangladeshi, Pakistani, black African or black Caribbean).

Older age, male sex, obesity and pre-existing health conditions such as diabetes and hypertension have all been identified as risk factors for poor outcomes.<sup>2–4</sup> A disproportionate impact of disease severity and death on people from black, Asian and minority ethnic (BAME) backgrounds has been reported, though not consistently. The UK Intensive Care National Audit and Research Centre (ICNARC) noted that while BAME groups only make up 14% of the UK population, they comprised 33% of COVID-19 patients on intensive care units (ICUs).<sup>5</sup> The degree of this excess risk also appears to differ across, and within, these heterogeneous ethnic groups. In the UK, recent analyses of data from the Office of

National Statistics and National Health Service (NHS) England described 2.5-fold to 4.3-fold greater COVID-19 mortality rates, compared with white groups, across a range of black and South Asian ethnic groups.<sup>6</sup> Whether this adverse association is driven by underlying comorbid disease, socioeconomic inequality, genetic factors or a complex interplay of them all is unclear.<sup>7</sup> Current data are limited in either number of COVID-19 patients, ethnic diversity or event rates with limited adjustment for known risk factors and potential predictors.<sup>8–12</sup> There is an urgent need for the detailed characterisation of ethnic differences in COVID-19 outcomes and associated risk factors, within diverse populations, to inform practice and policy. Identifying and responding to these ethnic inequalities will be key to mitigating the disproportionate impact of COVID-19 on BAME patients.

Barts Health NHS Trust is the largest NHS trust in the UK, comprising six hospitals; The Royal London Hospital, Newham General Hospital, Whipps Cross Hospital, Mile End Hospital (non-acute), St Bartholomew's Hospital and the London NHS Nightingale Hospital, a purposely built COVID-19 hospital. The hospitals serve the ethnically diverse and socially deprived communities of over 2.6 million people in east London including the London Borough of Newham which experienced 144.3 COVID-19-related deaths per 100 000 population,<sup>13</sup> the highest mortality in the UK and Tower Hamlets which has the largest Bangladeshi population in England.<sup>14</sup> This large, regional dataset afforded extensive analyses of COVID-19 patients of a higher acuity than other studies. We aimed to examine the demographic, socioeconomic, behavioural, biochemical and clinical risk factors associated with outcomes within different ethnic groups of hospitalised COVID-19 patients, using multivariable survival analyses.

## METHODS

### Study population

We considered all patients with confirmed SARS-CoV-2 infection and admitted to the five acute hospitals within Barts Health NHS Trust between 1 January and 13 May 2020. Diagnosis was made using one or more real-time PCR. Those under 16 years were excluded. The first emergency admission encompassing the first positive SARS-CoV-2 test, or the first emergency admission within 2 weeks of positive outpatient testing was defined as the index admission, community diagnoses without an associated emergency hospital admission were excluded. Patients with unknown or undisclosed ethnicity status were collected for comparison but were not included in our primary ethnicity analysis.

### Data collection

Clinical and demographic data, blood results and coding data from current and prior clinical encounters, were collated from the Barts Health Cerner Millennium Electronic Medical Record (EMR) data warehouse and locally

held ICNARC databases by members of the direct clinical care team. Mortality data was available to 20 May 2020.

### Definition of key variables

Ethnicity was defined using the NHS ethnic category codes and based on five high-level groups: white, Asian or Asian British, black or black British, mixed and other; to preserve statistical power the mixed and other categories were merged. Relative measures of socioeconomic deprivation were assessed using the English Indices of Deprivation 2020 by matching patient postcode to national Index of Multiple Deprivation (IMD) quintiles using the Office of National Statistics Postcode Directory.<sup>15 16</sup> Baseline comorbid diseases and Hospital Frailty Risk Score (HFRS) were identified by mapping to ICD-10 coding.<sup>17</sup> Body mass index (BMI) was calculated by height and weight measurements taken at or during the immediately preceding admission episode. Rockwood Clinical Frailty Scoring (RFS) was assessed by the admitting medical team and recorded in the EMR.<sup>18</sup> Secondary haemophagocytic lymphohistiocytosis (sHLH) risk score was calculated from peak values of blood results.<sup>19</sup> Full definitions are detailed in supplementary materials. National Early Warning Score (NEWS) was recorded in the emergency room and general wards by clinical teams in the EMR and is presented as the total score from six physiological parameters.<sup>20</sup>

### Outcomes

The primary outcome was 30-day mortality from time of index COVID-19 hospital admission. Secondary endpoints were 90-day mortality, ICU admission, ICU length of stay, duration of organ support on ICU, need for mechanical ventilation, hospital length of stay and discharge destination if discharged alive from hospital.

### Statistical analyses

A prospective statistical analysis plan was developed.<sup>21</sup> Baseline characteristics are presented as mean and SD, median and IQR, or number and percentage, as appropriate. We compared proportions using Pearson's  $\chi^2$  test or Fisher's exact test and continuous variables using two-sample t-test or Wilcoxon rank-sum test, as appropriate. Time-to-event analysis was undertaken with follow-up censored at 30 days, survivors with less than 30 days follow-up were censored at time of maximal follow-up. A Cox proportional hazards model was used to assess survival adjusted for age and sex. Age was the only continuous variable. A further multivariable Cox model was developed to assess the effect of predefined risk factors described as associated with adverse outcomes in COVID-19: IMD quintile, smoking status, BMI, diabetes, hypertension and chronic kidney disease (CKD). The proportional hazard assumption was assessed by inspection of scaled Schoenfeld residual plots and investigated by stratification.<sup>22</sup> Logistic regression modelling of ethnicity on ICU treatment using mechanical ventilation was carried out. Effect measures are presented as HR or OR with 95%

CI. All analyses were performed using R V.3.6.3 (R Core Team 2020).

### Sensitivity analyses

To assess the effect of including patients with incomplete clinical data, missing data for baseline risk variables included in the multivariable Cox model was imputed using multivariate imputation by chained equations.<sup>23</sup> Additional multivariable models were also carried out using aggregate Charlson Comorbidity Index (CCI) as a measure of total comorbid disease burden, and HFRS or RFS collected at hospital admission and ABO blood group. Longer-term survival to 90 days was assessed using Cox proportional hazards modelling adjusted for age and sex censored at time of maximal follow-up if survivors had less than 90 days follow-up.

## RESULTS

A total of 1996 patients, aged 16 years and older, with a confirmed SARS-CoV-2 test result with an acute Barts Health admission on or before 13 May 2020 were included in this study (online supplemental figure S1). The recruitment window encompassed the peak time period of COVID-19 diagnoses (online supplemental figure S2). The majority of patients were classified as being in the two most deprived socioeconomic quintiles in England. The ethnic distribution was white (n=703, 35.2%), Asian or Asian British (n=538, 27.0%), black or Black British (n=340, 17.0%), mixed and other (n=156, 7.8%) and unknown or undisclosed (n=259, 13.0%). Supporting results are detailed in online supplemental file sections S1–S9, online supplemental tables S1–S10, figures S1–S17.

### Population characteristics

Baseline characteristics, interventions and outcomes across ethnic groups are shown in table 1. Black and Asian ethnicity patients were significantly younger with a median age of 59 years (Asian) and 64 years (black), compared with 73 years in the white group ( $p<0.001$ ). Comorbidity data were available in 1700 (85.2%) of patients.

Burden of comorbid disease varied between ethnic groups in prevalence, type and age distribution. Overall distribution of COVID-19 risk factors varied with age and ethnicity with diabetes and CKD more prevalent at an earlier age in Asian and black patients and frailty and dementia more prevalent in older white patients (figure 1).

Around one in four patients developed early acute kidney injury (AKI) within 7 days of hospital admission, rates of AKI were highest in the black group (34.7%). Patients in the black group had higher levels of inflammation C reactive protein (CRP) (median CRP 181.5 mg/L) and fibrinolysis (median D-dimer 2.5 mg/L) compared with other ethnicities. As a measure of extent of early physiological derangement NEWS was available in 1443

patients, in comparison to white patients first NEWS was modestly higher in Asian patients (mean 4.2 vs 3.6),  $p=0.001$ , but not in black patients (mean 3.7 vs 3.6).

### Age-adjusted and sex-adjusted 30-day mortality

We included 1737 Asian, black and white patients in the primary outcome analysis. Total mortality to 20 May 2020 was 28.7% (n=573). Based on the raw data, a greater proportion of white patients died (32.7%) compared with Asian (21.1%) and black (29.7%) patients. The majority of deaths (93.7%) occurred within 30 days of hospital admission. However, after adjustment for the between-group differences in age and sex, patients from Asian and black ethnic groups were at significantly higher risk of death within 30 days compared with white patients (Asian ethnicity (HR 1.49, 95% CI 1.19 to 1.86,  $p<0.001$ ); black patients (HR 1.30, 95% CI 1.02 to 1.63,  $p=0.036$ ). No association was observed in the smaller mixed and other ethnicity group (HR 1.08, 95% CI 0.75 to 1.57,  $p=0.682$ ) (table 2, figures 2 and 3). There was some evidence of non-proportionality for the association between ethnicity and risk of death over time (online supplemental figure S16), consequently these HRs should be interpreted as a weighted average over the 30-day follow-up period. To investigate change in risk over time, we developed an ethnicity-stratified Cox model, this supported the findings of the unstratified model, but suggested that black ethnicity might be associated with a higher early rate of death (online supplemental figure S17).

### Multivariable survival modelling

After inclusion of IMD quintile, smoking history, BMI  $\geq 30$  kg/m<sup>2</sup>, diabetes, hypertension and CKD in a multivariable survival analysis, the association with increased rate of death persisted in Asian patients (HR 1.48, 95% CI 1.09 to 2.01,  $p=0.011$ ; n=1006). In black patients, the magnitude of the mortality trend was unchanged, however, was outside the limits of standard statistical significance (HR 1.32, 95% CI 0.96 to 1.84,  $p=0.090$ ; n=1006), potentially due to the smaller sample size. In this model older age, male sex, smoking, BMI  $\geq 30$  kg/m<sup>2</sup> and CKD were statistically associated with risk of death (table 3, figures 4 and 5) and there was no statistical evidence that ethnicity violated the proportional hazards assumption. The associations were broadly unchanged when the model was re-fitted after multiple imputation of missing values (online supplemental table S4).

Sensitivity analyses for further multivariable survival models were developed to examine the influence of total comorbidity burden, as assessed by CCI (online supplemental table S5), and measures of frailty, the RFS or HFRS (online supplemental table S6, S7) as well as ABO blood group (online supplemental table S8). In all these analyses, the association between black and Asian ethnicity and 30-day mortality remained significant. Adjusting for RFS raised the odds of 30-day mortality to an HR of 1.98 (95% CI 1.37 to 2.86;  $p<0.001$ ) in Asian groups and to a HR of 1.67 (95% CI 1.14 to 2.45;  $p=0.009$ ) in

**Table 1** Study population baseline characteristics stratified by ethnic group, n (%) unless otherwise stated

n	Stratified by ethnic group					P value
	Asian or Asian British	Black or Black British	Mixed and other ethnic groups	White	Unknown and undisclosed	
	538	340	156	703	259	
Age (years), mean (SD)	57.8 (18.5)	64.2 (16.9)	59.5 (17.2)	69.4 (17.7)	59.8 (16.5)	<0.001
Age (years), median (IQR)	59.0 (44.0–71.0)	64.0 (53.0–79.0)	59.0 (47.8–72.3)	73.0 (58.0–84.0)	61.0 (50.0–71.5)	<0.001
Male	332 (61.7)	193 (56.8)	103 (66.0)	404 (57.5)	178 (68.7)	0.01
IMD quintile (n=1980)						<0.001
1 (most deprived)	139 (26.0)	124 (36.7)	50 (32.9)	183 (26.2)	66 (25.7)	
2	291 (54.5)	165 (48.8)	72 (47.4)	269 (38.5)	124 (48.2)	
3	49 (9.2)	34 (10.1)	20 (13.2)	99 (14.2)	44 (17.1)	
4	35 (6.6)	9 (2.7)	7 (4.6)	86 (12.3)	18 (7.0)	
5 (least deprived)	20 (3.7)	6 (1.8)	3 (2.0)	62 (8.9)	5 (1.9)	
Smoking (n=1700)	30 (6.6)	21 (7.1)	10 (8.3)	91 (14.8)	21 (9.8)	<0.001
BMI (n=1248)						
Median (IQR)	26.9 (24.1–31.1)	28.2 (24.6–31.8)	25.9 (23.1–29.0)	26.3 (22.5–31.6)	26.3 (22.5–30.8)	0.04
By category						0.04
<18.5 kg/m <sup>2</sup>	9 (2.8)	8 (3.6)	1 (1.3)	34 (6.9)	11 (8.5)	
18.5 to <25 kg/m <sup>2</sup>	101 (31.2)	57 (25.3)	31 (40.3)	160 (32.5)	43 (33.1)	
25–<30 kg/m <sup>2</sup>	114 (35.2)	83 (36.9)	27 (35.1)	145 (29.5)	40 (30.8)	
30 to <40 kg/m <sup>2</sup>	87 (26.9)	65 (28.9)	17 (22.1)	126 (25.6)	28 (21.5)	
≥40 kg/m <sup>2</sup>	13 (4.0)	12 (5.3)	1 (1.3)	27 (5.5)	8 (6.2)	
<b>Comorbidity (n=1700)</b>						
Obesity	108 (23.6)	82 (27.9)	18 (14.9)	161 (26.2)	40 (18.7)	0.01
Ischaemic heart disease	102 (22.3)	62 (21.1)	12 (9.9)	149 (24.3)	21 (9.8)	<0.001
Myocardial infarction	55 (12.0)	23 (7.8)	6 (5.0)	83 (13.5)	14 (6.5)	0.002
Congestive heart failure	67 (14.7)	54 (18.4)	8 (6.6)	114 (18.6)	17 (7.9)	<0.001
Peripheral vascular disease	33 (7.2)	35 (11.9)	7 (5.8)	67 (10.9)	16 (7.5)	0.06
Cerebral vascular accident or TIA	54 (11.8)	54 (18.4)	11 (9.1)	157 (25.6)	16 (7.5)	<0.001
Dementia	25 (5.5)	27 (9.2)	5 (4.1)	103 (16.8)	7 (3.3)	<0.001
Chronic obstructive pulmonary disease	119 (26.0)	45 (15.3)	18 (14.9)	181 (29.5)	34 (15.9)	<0.001
Diabetes	220 (48.1)	157 (53.4)	49 (40.5)	179 (29.2)	59 (27.6)	<0.001
HTN	261 (57.1)	212 (72.1)	64 (52.9)	376 (61.2)	96 (44.9)	<0.001
Moderate to severe CKD	92 (20.1)	93 (31.6)	16 (13.2)	145 (23.6)	17 (7.9)	<0.001
End-stage renal disease	39 (8.5)	36 (12.2)	7 (5.8)	27 (4.4)	4 (1.9)	<0.001
Liver disease	49 (9.1)	24 (7.1)	12 (7.7)	58 (8.3)	12 (4.6)	0.25
Cancer	30 (6.6)	26 (8.8)	8 (6.6)	68 (11.1)	12 (5.6)	0.04
Cancer with metastases	8 (1.8)	5 (1.7)	1 (0.8)	22 (3.6)	6 (2.8)	0.18
AIDS	0 (0.0)	5 (1.7)	0 (0.0)	1 (0.2)	0 (0.0)	0.001
Charlson Comorbidity Index (n=1700)						<0.001
0	131 (28.7)	66 (22.4)	42 (34.7)	143 (23.3)	91 (42.5)	
1–2	178 (38.9)	100 (34.0)	50 (41.3)	203 (33.1)	88 (41.1)	
3–4	70 (15.3)	52 (17.7)	16 (13.2)	146 (23.8)	20 (9.3)	
≥5	78 (17.1)	76 (25.9)	13 (10.7)	122 (19.9)	15 (7.0)	
Rockwood Frailty Score (n=831)						<0.001
1–2 (very fit, well)	31 (15.9)	6 (4.3)	7 (14.9)	36 (9.7)	15 (18.8)	

Continued



Table 1 Continued

n	Stratified by ethnic group					P value
	Asian or Asian British	Black or Black British	Mixed and other ethnic groups	White	Unknown and undisclosed	
	538	340	156	703	259	
3–4 (managing well, vulnerable)	87 (44.6)	51 (36.7)	17 (36.2)	118 (31.9)	32 (40.0)	
5–6 (mildly to severely frail)	65 (33.3)	73 (52.5)	18 (38.3)	174 (47.0)	29 (36.2)	
8–9 (very severely frail, terminally ill)	12 (6.2)	9 (6.5)	5 (10.6)	42 (11.4)	4 (5.0)	
Hospital Frailty Risk Score (n=1700)						<0.001
<5 (low risk)	240 (52.5)	123 (41.8)	66 (54.5)	197 (32.1)	117 (54.7)	
5–15 (intermediate risk)	132 (28.9)	87 (29.6)	38 (31.4)	150 (24.4)	73 (34.1)	
≥15 (high risk)	85 (18.6)	84 (28.6)	17 (14.0)	267 (43.5)	24 (11.2)	
Baseline eGFR mL/min/1.72 m <sup>2</sup> (n=1525)						
Median (IQR)	72.8 (53.3–92.7)	56.4 (36.2–80.2)	75.6 (54.2–91.4)	64.1 (46.2–82.0)	78.2 (61.5–88.7)	<0.001
eGFR <60	130 (29.6)	135 (48.6)	26 (26.0)	239 (40.5)	29 (24.6)	<0.001
Acute kidney injury first 7 days (n=1673)	98 (22.2)	101 (34.7)	32 (24.6)	151 (24.4)	48 (25.0)	0.003
<b>Blood results during admission</b>						
Highest creatinine µmol/L (n=1691)						<0.001
Median (IQR)	91.0 (72.0–157.0)	119.0 (80.0–260.0)	88.0 (71.8–120.3)	98.0 (76.0–147.0)	94.0 (75.0–132.0)	
Highest CRP (n=1761)						<0.001
Median (IQR)	146.0 (72.0–287.8)	181.5 (99.3–289.8)	132.0 (66.0–226.0)	136.0 (68.0–237.0)	156.0 (75.5–272.5)	
Highest D-dimer mg/L (n=968)						<0.001
Median (IQR)	1.0 (0.5–3.5)	2.5 (0.9–10.3)	1.1 (0.5–2.7)	1.4 (0.6–3.4)	1.5 (0.7–6.3)	
Highest sHLH score (n=1881) Mean (SD)	31.1 (27.1)	30.0 (27.9)	27.6 (28.3)	26.4 (24.8)	32.1 (26.7)	0.01
Blood group (n=875)						<0.001
A	67 (28.4)	37 (23.3)	15 (35.7)	150 (42.1)	36 (43.9)	
AB	14 (5.9)	11 (6.9)	0 (0.0)	12 (3.4)	6 (7.3)	
B	78 (33.1)	37 (23.3)	13 (31.0)	32 (9.0)	8 (9.8)	
O	77 (32.6)	74 (46.5)	14 (33.3)	162 (45.5)	32 (39.0)	
NEWS (first available) (n=1443) Mean (SD)	4.2 (2.6)	3.7 (2.2)	4.0 (2.3)	3.6 (2.5)	3.8 (2.6)	0.001
<b>Intensive care unit (ICU)</b>						
ICU admission	108 (20.1)	63 (18.5)	28 (17.9)	77 (11.0)	85 (32.8)	<0.001
Days in hospital before ICU Mean (SD)	2.3 (5.2)	2.9 (5.1)	1.1 (1.8)	2.3 (11.4)	1.8 (4.2)	0.75
ICU length of stay median (IQR)	8.0 (3.0–15.2)	8.1 (3.5–14.1)	8.5 (5.0–13.1)	8.0 (3.9–12.0)	10.0 (6.0–16.0)	0.30
Mechanical ventilation within ICU admission	78 (72.2)	50 (79.4)	23 (82.1)	59 (76.6)	71 (83.5)	0.40
RRT within ICU admission	28 (25.9)	26 (41.3)	7 (25.0)	20 (26.0)	18 (21.2)	0.09
Days on organ support						
Advanced respiratory mean (SD)	11.0 (10.8)	9.4 (8.8)	8.2 (7.1)	7.8 (7.8)	10.3 (8.0)	0.14
Total respiratory mean (SD)	13.1 (10.4)	11.9 (8.9)	9.8 (7.0)	9.6 (7.7)	11.9 (7.6)	0.08
Cardiovascular system mean (SD)	13.4 (10.9)	11.5 (8.6)	9.9 (7.2)	9.8 (8.3)	11.8 (7.5)	0.07

Continued

**Table 1** Continued

n	Stratified by ethnic group					P value
	Asian or Asian British	Black or Black British	Mixed and other ethnic groups	White	Unknown and undisclosed	
	538	340	156	703	259	
Renal mean (SD)	2.4 (5.5)	4.4 (6.6)	2.1 (4.7)	2.7 (5.7)	1.5 (3.8)	0.03
Total no of organ systems						0.15
0	1 (0.9)	0 (0.0)	0 (0.0)	1 (1.3)	1 (1.2)	
1	3 (2.8)	4 (6.3)	1 (3.6)	5 (6.5)	0 (0.0)	
2	76 (70.4)	33 (52.4)	20 (71.4)	52 (67.5)	66 (77.6)	
3	28 (25.9)	26 (41.3)	7 (25.0)	19 (24.7)	18 (21.2)	
<b>Outcomes</b>						
Died	146 (27.1)	101 (29.7)	34 (21.8)	230 (32.7)	62 (23.9)	0.01
Days to death mean (SD)	9.7 (10.0)	9.1 (11.0)	11.0 (9.8)	12.9 (13.6)	12.7 (10.0)	0.02
Days to death median (IQR)	6.0 (3.0–12.0)	5.0 (3.0–11.0)	10.5 (4.3–14.0)	9.0 (4.0–16.0)	10 (6.0–17.0)	<0.001
Died within 30 days	138 (25.7)	97 (28.5)	33 (21.2)	210 (29.9)	58 (22.4)	0.05
Died within 90 days	146 (27.1)	101 (29.7)	34 (21.8)	229 (32.6)	62 (23.9)	0.01
Still in hospital	7 (1.3)	6 (1.8)	3 (1.9)	6 (0.9)	5 (1.9)	0.60
Hospital length of stay Median (IQR)	5.0 (3.0–10.0)	7.0 (4.0–12.0)	5.0 (3.0–11.0)	8.0 (4.0–15.0)	8.0 (4.0–15.0)	<0.001
Discharged Hospital alive	402 (74.7)	241 (70.9)	122 (78.2)	487 (69.3)	200 (77.2)	0.03
Discharge destination (n=1429)						<0.001
Care home or equivalent	7 (1.8)	5 (2.1)	0 (0.0)	40 (8.3)	8 (4.0)	
Health-related institution	7 (1.8)	10 (4.3)	8 (6.7)	23 (4.8)	37 (18.7)	
Usual place of residence	373 (94.4)	216 (91.9)	110 (91.7)	403 (83.8)	152 (76.8)	
Hospice or equivalent	1 (0.3)	0 (0.0)	0 (0.0)	2 (0.4)	1 (0.5)	
Temporary place of residence	7 (1.8)	4 (1.7)	2 (1.7)	13 (2.7)	0 (0.0)	

Total n=1996 unless otherwise stated.  
P values based on  $\chi^2$  (for categorical) or Kruskal-Wallis test (for continuous).  
BMI, body mass index; CKD, chronic kidney disease; CRP, C reactive protein; HTN, hypertension; ICU, intensive care unit; IMD, Index of Multiple Deprivation; NEWS, National Early Warning Score; RRT, renal replacement therapy; sHLH, secondary haemophagocytic lymphohistiocytosis (without known underlying immunosuppression and bone marrow aspirate data); TIA, transient ischaemic accident.

black groups, with similar effect size in analysis adjusted for the HFRS. After inclusion of ABO blood grouping in and age-adjusted and sex-adjusted multivariable model risks of death in Asian, black and mixed and other ethnic groups was increased. Asian ethnicity also continued to be associated with greater risks of death through to 90 days follow-up (HR 1.46, 95% CI 1.18 to 1.81,  $p<0.001$ ;  $n=1737$ ) (online supplemental table S9).

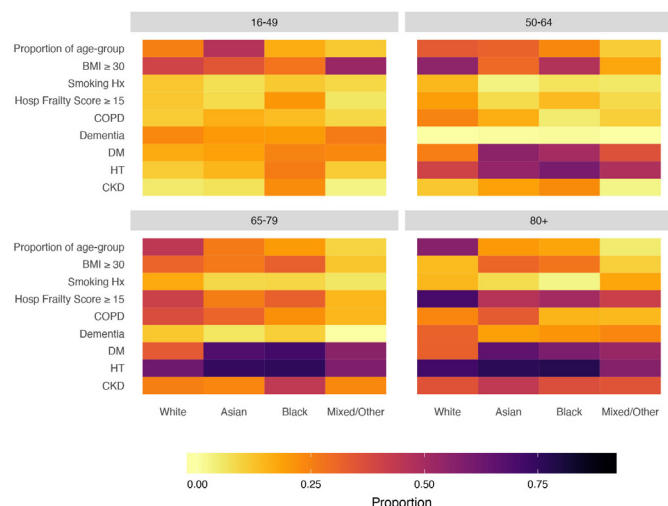
### Critical care-related outcomes

In the white group, 11.0% of patients were admitted to ICU compared with 20.1% of the Asian group and 18.5% of the black group ( $p<0.001$ ). In those admitted to ICU, rates of mechanical ventilation requiring intubation did not differ significantly by ethnicity at 76.6% in the white group, 72.2% in the Asian group and 79.4% in the black group. Similarly, while rates of ICU admission differed significantly between ethnic groups, time from hospital to ICU admission and length of ICU stay did not. Across the entire hospitalised cohort Asian (OR 1.54, 95% CI 1.06 to

2.23,  $p=0.023$ ;  $n=1737$ ) and black (OR 1.80, 95% CI 1.20 to 2.71,  $p=0.005$ ;  $n=1737$ ) ethnicities were associated with increased age-adjusted and sex-adjusted risk of receiving invasive mechanical ventilation in ICU (online supplemental table S10). There was a trend towards increased renal replacement therapy use in black patients (41.3%) admitted to ICU compared with 20%–25% across other ethnic groups ( $p=0.09$ ).

### DISCUSSION

We report on treatment and outcomes in COVID-19 patients hospitalised in East London throughout the peak of the UK pandemic, a population with the UK's highest COVID-19 mortality. To our knowledge, this is one of the largest UK hospital COVID-19 cohorts reported, and certainly the most diverse, with only 35.2% of 1996 patients identified as White ethnicity. We found those of Asian ethnicity to be at the highest risk of death within 30

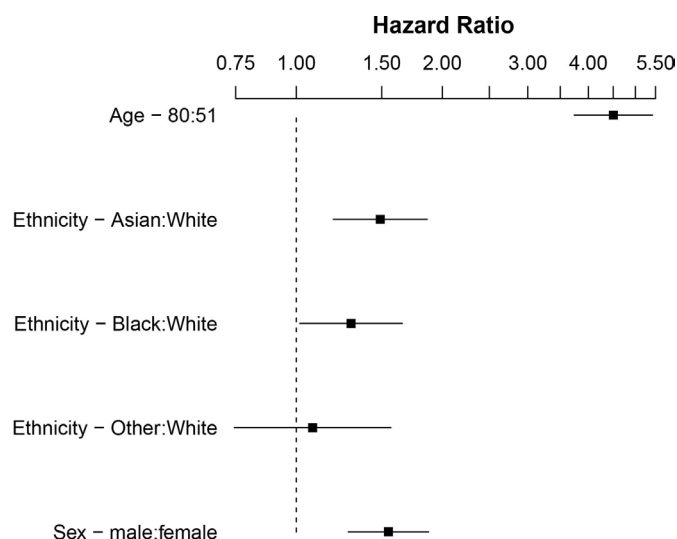


**Figure 1** Heat map of prognostic factors in COVID-19 hospital admissions by age and ethnic background showing proportions within each ethnic group for each age group. Asian and black patients differed from those of white background in the presence of risk factors and their age distribution, however, differences were also apparent between different black and minority ethnic groups at different ages. Proportions are of those with data (see table 1). BMI, body mass index; CKD, chronic kidney disease; COPD, chronic obstructive pulmonary disease; DM, diabetes mellitus; HT, hypertension.

days (HR 1.49, 95% CI 1.19 to 1.86,  $p < 0.001$ ), a finding that persisted at 90 days. Risk of death in black patients was also greater than those of White ethnicity (HR 1.30, 95% CI 1.02 to 1.63,  $p = 0.036$ ). This disparity extended to need for ICU care with Asian and black patients experiencing a 50%–80% increased risk of receiving mechanical ventilation in ICU compared with white patients of a similar age.

**Table 2** Association of ethnic group with mortality to 30 days using Cox proportional hazards modelling, age and sex corrected

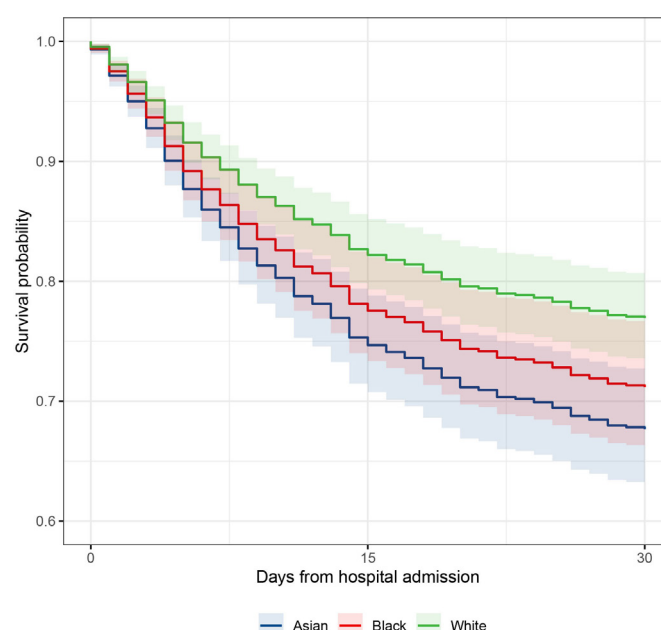
	n		Unadjusted		P value
	Total	Events	HR (95% CI)		
Age (25th vs 75th centile)	–	–	4.50 (3.74 to 5.42)		<0.0001
Sex (male)	–	–	1.55 (1.28 to 1.87)		<0.0001
Ethnic group					
Asian or Asian British	521	134	1.49 (1.19 to 1.86)		<0.001
Black or black British	331	94	1.30 (1.02 to 1.65)		0.036
Mixed and other ethnic groups	150	34	1.08 (0.75 to 1.57)		0.682
White	674	206	Reference		–
Censored to 30 days follow-up, observations 1737, events 478.					



**Figure 2** Forest plot showing HRs of mortality to 30 days comparing ethnic groups, age and sex corrected, on log scale.

### Strengths and limitations

We believe this study is both one of the largest and most detailed of studies exploring COVID-19 outcomes in BAME populations so far reported. In contrast to many previous studies examining ethnicity and COVID-19 outcomes, we were able to address the contributions of socioeconomic deprivation, comorbid disease, premorbid function, life-style and demographic factors to ethnic disparities in COVID-19 outcomes, including ICU interventions. Our analysis was strengthened by the inclusion of measures of frailty which is a critical determinant of outcomes in acute

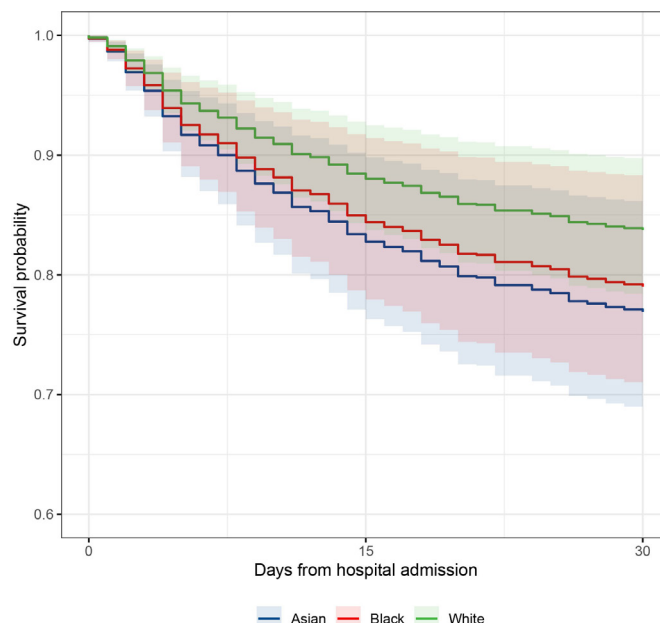


**Figure 3** Survival curve to 30 days comparing predicted survival of Asian, black and white ethnic groups (mixed and other group omitted for clarity), in an age and sex adjusted Cox hazard analysis. Survival curves adjusted to median age 65 years and male sex.

**Table 3** Multivariable analysis of mortality to 30 days using Cox proportional hazards modelling, age and sex corrected

	Adjusted HR (95% CI)	P value
Age (25th vs 75th centile)	3.24 (2.46 to 4.26)	<0.0001
Sex (male)	1.47 (1.15 to 1.88)	0.002
Ethnic group		
Asian or Asian British	1.48 (1.09 to 2.01)	0.011
Black or black British	1.32 (0.96 to 1.84)	0.090
Mixed and other ethnic groups	0.90 (0.49 to 1.65)	0.733
White	Reference	–
IMD quintile		
1 (most deprived)	0.79 (0.55 to 1.14)	0.213
2	0.79 (0.54 to 1.15)	0.218
3	0.88 (0.61 to 1.27)	0.503
4	0.77 (0.53 to 1.12)	0.176
5 (least deprived)	Reference	–
Smoking	1.56 (1.13 to 2.17)	0.008
BMI $\geq 30$ kg/m <sup>2</sup>	1.42 (1.09 to 1.85)	0.009
Diabetes	1.29 (1.00 to 1.67)	0.055
HTN	1.32 (0.92 to 1.89)	0.131
CKD	1.34 (1.04 to 1.73)	0.023

Variables included IMD quintile, smoking, BMI  $\geq 30$  kg/m<sup>2</sup>, diabetes. Censored to 30 days follow up, observations 1006, events 281. BMI, body mass index; CKD, chronic kidney disease; HTN, hypertension; IMD, Index of Multiple Deprivation.

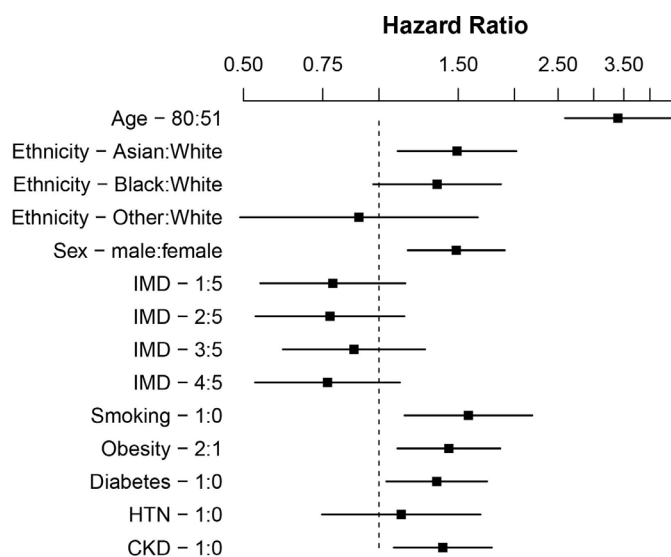
**Figure 5** Survival curve to 30 days from multivariable analysis comparing Asian, black and white ethnic groups. Survival modelled for median age 65 years and male sex, Index of Multiple Deprivation (IMD) least deprived quintile, no history of baseline risk factors defined as non-smoking, BMI  $<30$  kg/m<sup>2</sup> and no diabetes, hypertension or chronic kidney disease. Statistically significant difference in survival between Asian group and white group persists after adjustment for age, sex, social deprivation and major COVID-19 risk factors. BMI, body mass index.

disease as well as a potential driver of clinician decision making. It should be acknowledged, however, that frailty has social and biological dimensions and measures have not been extensively validated in BAME groups.

Importantly, this study was conducted in a single region where COVID-19 has had significant impact, and thus, is not confounded by differences in incidence of COVID-19 disease across the UK, regional concentration of minority ethnic groups and regional differences in the time course of the epidemic. In addition, we employed a prespecified statistical analysis plan and performed multiple sensitivity analyses to test the robustness of our findings.

Limitations in our analyses must also be considered. Importantly, SARS-CoV-2 testing has an appreciable false negative rate and suspected, but not proven, cases are an important group. Nevertheless, given that clinical suspicion varied both between cases and across the time course of the epidemic with coding of suspected cases being inconsistent, in line with the vast majority of published COVID-19 analyses, we only included proven COVID-19 cases. Testing was available for all hospitalised patients with suspected COVID-19 disease, so availability of testing was not a bias. However, suspected diagnoses should be considered in future studies, particularly those occurring outside of hospitals, where not all clinical diagnoses may have been tested.

Similar to many hospital datasets there were missing data for a proportion of covariates,<sup>8 9</sup> however, 85% of

**Figure 4** Forest plot showing HRs of mortality to 30 days comparing ethnic groups, age and sex corrected, on log scale. Additional variables included Index of Multiple Deprivation (IMD) quintile (five least deprived), smoking, body mass index  $\geq 30$  kg/m<sup>2</sup>, diabetes. CKD, chronic kidney disease; HTN, hypertension.



patients had coding data for assessment of comorbidity and 63% measured height and weight data, providing a large sample with detailed data for analysis. We also imputed missing data and performed sensitivity analyses on our multivariable comorbidity models. This reinforced the observed ethnic differences, providing further confidence that our findings were not affected by missing data.

Like many datasets, our ethnic categorisations were aggregated and did not reflect the vast heterogeneity within ethnic categories (such as Bangladeshi, Pakistani, black African or black Caribbean). Indeed, the descriptive term 'BAME' itself is particularly crude and we recognise its limitation. Despite its size, our study lacked the power to assess a more detailed ethnicity breakdown. In addition, our observations in those of Asian ethnicity are likely skewed by our large Bangladeshi community, which has specific socioeconomic and healthcare inequalities. It is, therefore, important that, suitably powered, analyses are conducted to expose differences between subethnic categories. Similarly, while we have explored socioeconomic factors, our analysis does not allow us to contextualise a number of potential sociospatial factors including household composition, environmental factors and occupation. These should be considered in future research.

### Comparison with other studies

Our findings differ from predominant reports in the UK and USA in which black ethnicity has been consistently associated with greater COVID-19-related mortality.<sup>6,24</sup> Preliminary analyses of the UK ICNARC report on COVID-19 in critical care highlighted black ethnicity with the highest likelihood of being admitted to intensive care compared with a matched population (10.7% vs 6.5%).<sup>25</sup> Similarly, in a large UK primary care linked cohort, black patients were also found to be at highest risk of COVID-19-related death.<sup>9</sup> In a US study, the composite relative risk of COVID-related death compared with white ethnicity was 3.57 in black populations, and 1.88 for Latinos.<sup>24</sup> Our findings suggest specific South Asian communities may have at least the same or higher risk in COVID-19 as those of black background. This may reflect characteristics of the large South Asian, and specifically Bangladeshi, community in East London, poorly represented in other studies. Recently the ISARIC CCP-UK investigators have described association of ethnicity and outcome in a very large cohort of UK patients, finding Asian, but not Black background was associated with increased risk of death in confirmed or suspected COVID-19.<sup>26</sup> While this study documented up to 40% of UK COVID-19 cases, it represented a selection from the total COVID-19 population from across the UK, and, at least in terms of ICU cases, ethnic minorities were significantly under-represented compared with the English ICU COVID-19 population. In contrast while smaller, this study focused on an unbiased population comprising all hospitalised patients in a single geographical area with a much higher level of ethnic diversity. Consequently, we feel our analysis complements

ISARIC CCP-UK and provides greater clinical detail in a regionally homogenous population.

### Potential confounding associations with risk of death in COVID-19

Older age has been significantly associated with increased COVID-19 mortality across a range of studies.<sup>2-4</sup> In our cohort, patients from Asian and black backgrounds were strikingly younger than White patients. However, despite the expected protective factor of younger age, when this was accounted for, those from black and Asian backgrounds were more likely to die. The prevalence of comorbid disease has been well described as a risk factor for COVID-19 disease and death.<sup>3,4</sup> We found different ethnic groups had differing age distribution of baseline comorbidities such as hypertension, diabetes, chronic obstructive pulmonary disease and dementia. Despite accounting for these and other described predictors of poor outcomes, increased risk of death in Asian and black populations was not attenuated, suggesting comorbidities are not the sole drivers of ethnicity-associated risk.

ABO blood group has recently been suggested to affect the risk of symptomatic COVID-19 and need for respiratory support with supplemental oxygen.<sup>12,27</sup> In these analyses, blood group O was associated with less disease acquisition than group A. As there are well-described differences in blood group distribution with ethnicity (in particular, prevalence of blood group B in Asian and to a lesser extent black populations), in a post hoc analysis, we assessed the association between ABO group and risk of death in 875 patients with blood group data. In contrast to studies focused on risk of COVID-19 acquisition in our cohort of hospitalised COVID-19 diagnoses, blood group O was associated with higher risk of death and blood group B the lowest. Accordingly, when we included ABO blood group in a multivariable survival analysis with age, sex the association between black and Asian background and increased risk of death was not attenuated but magnified. This suggests ethnic imbalances in blood group distribution did not explain the mortality associations observed in our population.

Patients identified as frail have been predicted to have worse COVID-19-related health outcomes,<sup>28</sup> and lower likelihood of benefiting from complex acute interventions, including critical care. In this study, white patients, in addition to being notably older than other ethnicities, had higher degrees of frailty. Accounting for measures of frailty magnified the association seen between Asian and black ethnicity and death. This suggests that while in white patients COVID-19-related death may have occurred in already frail and functionally vulnerable patients, in both Asian and black patients, COVID-19-related deaths are likely to be occurring prematurely, in younger, fitter individuals with less functional vulnerability.

In our cohort, all ethnic groups experienced high levels of deprivation, however, worse deprivation was not associated with higher likelihood of mortality, suggesting

ethnicity may affect outcomes independent of purely geographical and socioeconomic factors.<sup>29</sup>

We found evidence for worse disease severity in black and Asian groups as evidenced by higher rates of ICU admission and higher rates of AKI, and high levels of D-dimers and CRP in black patients. High CRP and D-dimer levels have been identified as important inflammatory markers which strongly correlate with COVID-19 disease severity and prognosis.<sup>30</sup> Our data suggest potential biological differences in host response to COVID-19 may occur between ethnicities, however, causative associations in determining COVID-19-related mortality have not been demonstrated.

Finally, although COVID-19 has cast the effects of ethnic inequalities on health outcomes into sharp focus, these inequalities are not new. Health inequalities within and between ethnic minority groups are widely documented and the effects of structural racism are transmitted across generations.<sup>31</sup> The risk factors already discussed such as comorbidity and obesity are speculated to intersect and be inextricably linked with wider social determinants such as poor living conditions, key worker roles and language barriers which impede the adoption of preventative measures.<sup>29 32 33</sup> Some researchers have postulated that ethnic inequalities may be associated with decreased symptom recognition and poor engagement with health services.<sup>34</sup> However, while frequency of ICU admission, AKI and need for mechanical ventilation suggests more severe peak disease in minority ethnic groups, time to ICU admission did not differ and differences in first total NEWS were at most modest, suggesting against a large effect from delayed presentation.

## CONCLUSION

In this analysis of a large, ethnically diverse and socio-economically challenged cohort, hospitalised patients of Asian and black background with COVID-19 were at increased risk of premature death, independent of frailty, comorbidities and social deprivation. Failure to robustly respond to the ethnic disparities so conspicuously unmasked during the COVID-19 pandemic can only further entrench and inflict them on future generations.

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**Contributors** VJA developed the study concept, designed the study, wrote the study protocol, submitted the ethics application, provided critical review of the findings and wrote the manuscript. YIW wrote the statistical analysis plan, performed data extraction, performed statistical analysis, provided critical review of the findings and wrote the manuscript. RD developed the study concept, designed the study, provided critical review of the findings and wrote the manuscript. ZAP provided critical review of the findings and wrote the manuscript. RMP developed

the study concept, designed the study, provided critical review of the findings and wrote the manuscript. CMO developed the study concept, designed the study, provided critical review of the findings and wrote the manuscript. JRP developed the study concept, designed the study, wrote the study protocol, submitted the ethics application, performed data extraction, performed statistical analysis, provided critical review of the findings and wrote the manuscript. All authors approved the final version of the manuscript. The data were collated and analysed on behalf of all clinicians at Barts Health.

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**Competing interests** All authors have completed the ICMJE uniform disclosure form at [www.icmje.org/doi\\_disclosure.pdf](http://www.icmje.org/doi_disclosure.pdf) and declare: no support from any organisation for the submitted work; no financial relationships with any organisations that might have an interest in the submitted work in the previous 3 years; no other relationships or activities that could appear to have influenced the submitted work.

**Patient consent for publication** Not required.

**Ethics approval** This study was approved by HRA and Yorkshire & The Humber - Bradford Leeds Research Ethics Committee (Ethics reference 20/YH/0159). The study was sponsored by Barts Health NHS Trust.

**Provenance and peer review** Not commissioned; externally peer reviewed.

**Data availability statement** No data are available. The statistical analysis plan can be accessed online. The authors will be happy to consider additional analyses of the anonymised dataset on request. The need for stringent measures to prevent reidentification of individuals within a discrete geographical location and limited time period, however, preclude sharing of patient level dataset in a GDPR compliant form.

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## Supplementary material

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## 1. Supplemental methods

### a. Approvals

The study was reviewed by the Yorkshire & The Humber - Bradford Leeds Research Ethics Committee and approved as anonymised analysis of routinely collected patient data without need for consent by NHS England Health Research Authority (IRAS Project ID 283512).

### b. COVID-19 testing

COVID-19 testing was performed by RdRp gene assay test on upper respiratory swab samples (nasopharyngeal, oral or endotracheal aspirate) sent to Barts Health NHS Trust Diagnostic Virology Laboratories and analysed either on-site or at Public Health England (PHE) Colindale facility.

### c. Definition of key variables

#### *Ethnicity*

We defined ethnic groups using the 16+1 categories defined in the 2001 census which form the UK national mandatory standard for the collection and analysis of ethnicity in the NHS data dictionary. Importantly, in the UK 'Asian' ethnic category refers predominantly to those of a South Asian background (including Indian, Pakistani and Bangladeshi), while patients of a Chinese background are placed in the 'Other Ethnic Groups' category.

White	A British B Irish C Any other White background
Mixed	D White and Black Caribbean E White and Black African F White and Asian G Any other mixed background
Asian or Asian British	H Indian J Pakistani K Bangladeshi L Any other Asian background
Black or Black British	M Caribbean N African P Any other Black background
Other Ethnic Groups	R Chinese S Any other ethnic group
+1 category	Z Not stated (Reserved for cases where patients declined to provide information)

In order to preserve statistical power to detect differences between groups, pre-specified analysis was carried out between ethnicity defined by the 5-high level groups White, Mixed, Asian or Asian British, Black or Black British and Other with merging of the "Mixed" and "Other" categories. Category Z was excluded from our primary analysis as were cases where no ethnicity data was recorded (Unknown).

#### *Index of Multiple Deprivation*

Index of Multiple Deprivation (IMD) was defined from patient home address postcode using UK government statistics (<https://www.gov.uk/government/statistics/english-indices-of-deprivation-2019>). Matching of Lower-layer Super Output Areas (LSOAs) was undertaken against the Office of National Statistics Postcode Directory (ONSPD) February 2020 datafile (<https://geoportal.statistics.gov.uk/datasets/ons-postcode-directory-february-2020>; accessed on 1st May 2020). IMD was presented as quintiles within England using raw scores for descriptive results and quintiles within the study cohort in multivariable analysis.

### Smoking

History of tobacco use was defined by presence of the WHO ICD-10 codes F17·1-F17·2, Z72·0, Z87·8, Z71·6 and T65·2.

### Ischaemic heart disease

Ischaemic heart disease (IHD) was defined by the presence of the ICD-10 codes I23·4-I23·5, I24, I24·8-I24·9, I25, I25·3-I25·6, I25·8-I25·9, I34·1, I46·1, I51·8-I51·9, and I52.

Wu et al Mapping ICD-10 and ICD-10-CM Codes to Phecodes: Workflow Development and Initial Evaluation *JMIR Med Inform* 2019;7(4):e14325

### End stage Renal disease

End stage Renal disease (ESRD) was defined by the presence of the ICD10 codes I77·0, N16·5, N18·5, T82·4, T86·1, Y60·2, Y61·2, and Y62·2, Y84·1, Z49·0-Z49·2, Z94·0, Z99·2.

Crellin E, et al. *Clinical Code List - ICD-10 - End-Stage Renal Disease. [Data Collection]. London School of Hygiene & Tropical Medicine. 2017: <https://doi.org/10.17037/DATA.241>.*

### Comorbidity

Diagnosis of co-morbidities and assignment of Charlson Comorbidity Index was based on mapping from ICD-10 coding from previous admissions using the mapping of Quan H, et al.

Quan H, et al. *Coding algorithms for defining comorbidities in ICD-9-CM and ICD-10 administrative data. Med Care* 2005;43(11):1130-9.

Diagnosis of Hypertension was based on mapping ICD-10 codes to the Elixhauser comorbidity index.

Elixhauser A, et al. *Comorbidity measures for use with administrative data. Med Care* 1998;36:8-27.

### Hospital frailty risk score

Hospital frailty risk score was calculated from mapping ICD-10 coding of hospital attendances.

Gilbert T, et al. *Development and validation of a Hospital Frailty Risk Score focusing on older people in acute care settings using electronic hospital records: an observational study. Lancet* 2018;391(10132):1775-1782.

### Acute Kidney injury

Acute kidney injury (AKI) within first 7 days of admission was defined using the KDIGO 2012 creatinine criteria either a 1·5-fold rise over baseline within 7 days or 26  $\mu\text{mol}$  rise within 48 hours. Baseline creatinine will be the median value in the 7 to 365 days before hospitalisation. Absent baseline creatinine was determined based on an eGFR of 75 ml/min/1·72m<sup>2</sup> using the CKDepe formula or the admission value whichever was lower.

### Chronic kidney disease

History of chronic kidney disease (CKD) using baseline eGFR was calculated using last creatinine value available from results earlier than 7 days before hospitalisation. CKD was defined as baseline eGFR below 60 ml/min/1·72m<sup>2</sup>.

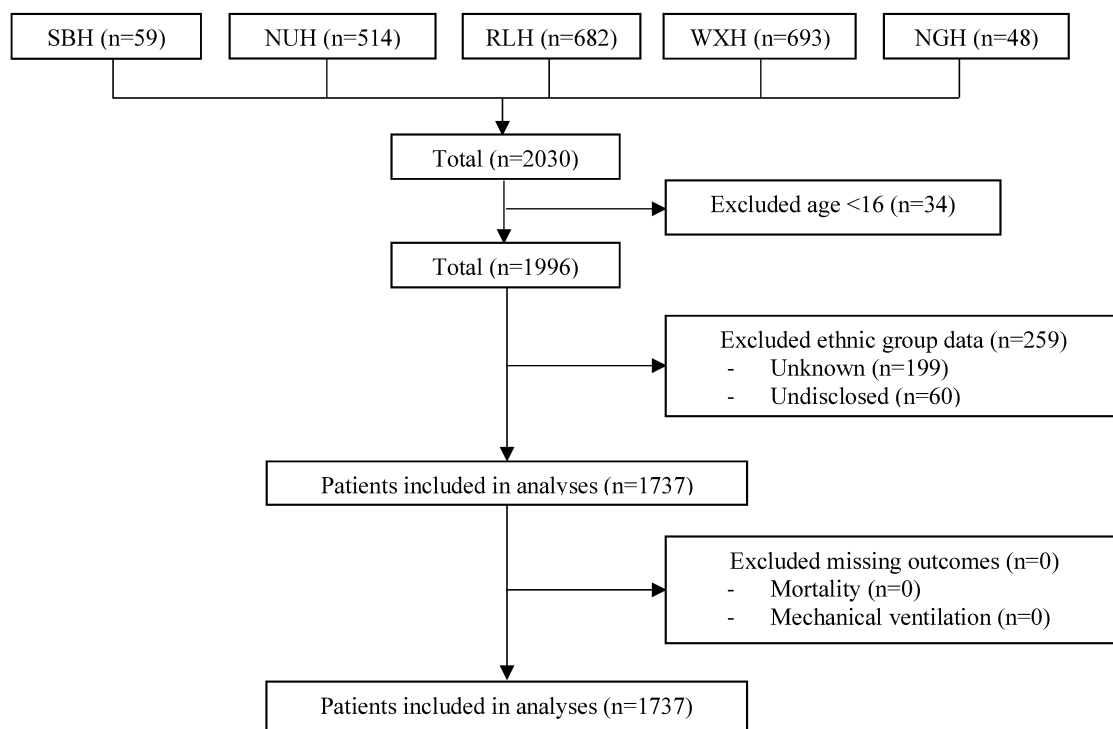
### Secondary haemophagocytic lymphohistiocytosis

Secondary haemophagocytic lymphohistiocytosis (SHLH) risk scores were calculated using highest values during admission of temperature, haemoglobin, white cell count, platelet count, triglycerides, fibrinogen, ferritin, and aspartate aminotransferase (AST). Total scores did not include haemophagocytosis on bone marrow aspirate or known immunosuppression due to lack of available data leaving a maximum score of 284.

Mehta P, et al. *COVID-19: consider cytokine storm syndromes and immunosuppression. Lancet* 2020;395(10229):1033-1034.

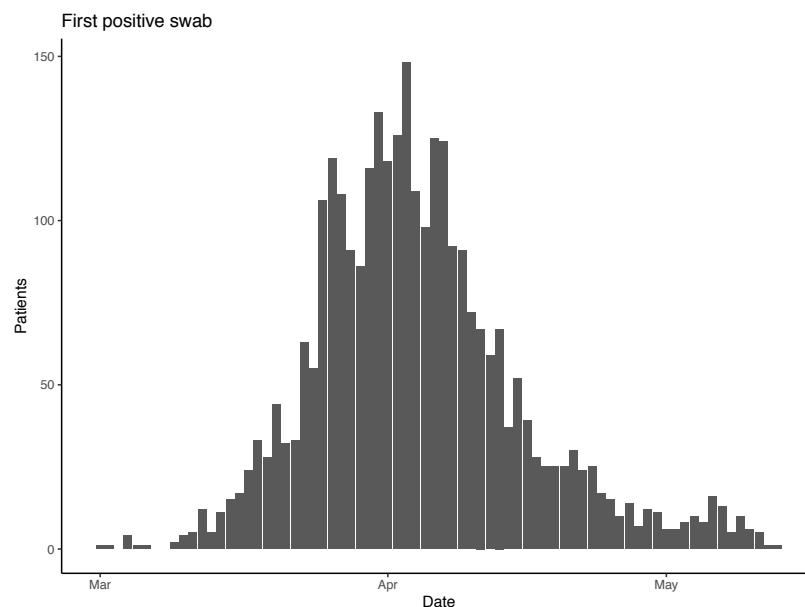
## 2. STROBE diagram

**Figure S1.** STROBE flow diagram of study populations. Hospital indicates first admission site and patients admitted to Nightingale hospital who had not been previously admitted to Barts Health hospital: St. Barts Hospital (SBH), Newham University Hospital (NUH), Royal London Hospital (RLH), Whipps Cross Hospital (WXH), Nightingale Hospital (NGH).



### 3. Inclusion time period by SARS-CoV-2 cases

**Figure S2.** Timeline of patients with positive SARS-CoV-2 swab tests at Barts Health.



### 4. Distribution of ethnicity categories within study cohort

**Table S1.** Distribution of study cohort by 16+1 ethnic data categories.

High-level group	Ethnic data category	n
White	A British	526
	B Irish	11
	C Any other White background	166
Mixed	D White and Black Caribbean	3
	E White and Black African	4
	F White and Asian	1
	G Any other mixed background	8
Asian or Asian British	H Indian	104
	J Pakistani	116
	K Bangladeshi	191
	L Any other Asian background	127
Black or Black British	M Caribbean	118
	N African	168
	P Any other Black background	54
Other Ethnic Groups	R Chinese	23
	S Any other ethnic group	117
	Z Not stated	60
No ethnicity data recorded		199



## 5. Baseline characteristics comparing died or survived at 30 days

**Table S2.** Study population baseline characteristics stratified by died or survived at 30 days, n (%) unless otherwise stated. Total n=1996 unless otherwise stated. P values based on Chi-square (for categorical) or Kruskal-Wallis test (for continuous). SD: standard deviation, IQR: interquartile range, IMD: index of multiple deprivation, BMI: body mass index, TIA: transient ischaemic accident, HTN: hypertension, CKD: chronic kidney disease, sHLH: secondary haemophagocytic lymphohistiocytosis (without known underlying immunosuppression and bone marrow aspirate data), CRP: C-reactive protein, NEWS: national early warning score, ICU: intensive care unit, RRT: renal replacement therapy.

	Stratified by survival at 30 days		p value
	Died	Survived	
n	536	1460	
<b>Ethnicity</b>			0.05
Asian or Asian British	138 (25.7)	400 (27.4)	
Black or Black British	97 (18.1)	243 (16.6)	
Mixed and Other Ethnic Groups	33 (6.2)	123 (8.4)	
White	210 (39.2)	493 (33.8)	
Unknown and Undisclosed	58 (10.8)	201 (13.8)	
<b>Age (years)</b>			
Mean (SD)	74.8 (12.6)	59.2 (18.2)	<0.001
Median (IQR)	77.0 (66.0-84.0)	59.0 (46.0-73.0)	<0.001
<b>Male</b>	351 (65.5)	859 (58.8)	0.01
<b>IMD quintile [n=1980]</b>			0.003
1 (most deprived)	155 (29.1)	407 (28.1)	
2	223 (41.9)	698 (48.2)	
3	62 (11.7)	184 (12.7)	
4	56 (10.5)	99 (6.8)	
5 (least deprived)	36 (6.8)	60 (4.1)	
<b>Smoking [n=1700]</b>	57 (11.8)	116 (9.5)	0.19
<b>BMI [n=1248]</b>			
Median (IQR)	26.5 (22.7-31.6)	26.9 (23.6-31.2)	0.43
By category			0.80
<18.5 kg/m <sup>2</sup>	20 (6.4)	43 (4.6)	
18.5 - <25 kg/m <sup>2</sup>	97 (31.0)	295 (31.6)	
25 - <30 kg/m <sup>2</sup>	100 (31.9)	309 (33.0)	
30 - <40 kg/m <sup>2</sup>	80 (25.6)	243 (26.0)	
≥40 kg/m <sup>2</sup>	16 (5.1)	45 (4.8)	
<b>Co-morbidity using ICD-10 [n=1700]</b>			
<b>Obesity</b>	123 (25.5)	286 (23.5)	0.411
<b>Ischaemic heart disease</b>	149 (30.9)	197 (16.2)	<0.001
<b>Myocardial infarction</b>	73 (15.1)	108 (8.9)	<0.001
<b>Congestive heart failure</b>	120 (24.9)	140 (11.5)	<0.001
<b>Peripheral vascular disease</b>	74 (15.4)	84 (6.9)	<0.001
<b>Cerebral vascular accident or TIA</b>	133 (27.6)	159 (13.1)	<0.001
<b>Dementia</b>	89 (18.5)	78 (6.4)	<0.001
<b>Chronic obstructive pulmonary disease</b>	145 (30.1)	252 (20.7)	<0.001
<b>Diabetes</b>	242 (50.2)	422 (32.6)	<0.001
<b>HTN</b>	372 (77.2)	637 (52.3)	<0.001
<b>Moderate to severe CKD</b>	159 (33.0)	204 (16.7)	<0.001
<b>End-stage renal disease</b>	39 (8.1)	74 (6.1)	0.163

<b>Liver disease</b>	45 (8·4)	110 (7·5)	0·587
<b>Cancer</b>	62 (12·9)	82 (6·7)	<0·001
<b>Cancer with metastases</b>	18 (3·7)	24 (2·0)	0·053
<b>Acquired immunodeficiency syndrome</b>	1 (0·2)	5 (0·4)	0·855
<b>Charlson comorbidity index [n=1700]</b>			<0·001
0	45 (9·3)	428 (35·1)	
1-2	170 (35·3)	449 (36·9)	
3-4	130 (27·0)	174 (14·3)	
≥5	137 (28·4)	167 (13·7)	
<b>Rockwood frailty score [n=831]</b>			<0·001
1-2 (very fit, well)	20 (6·3)	75 (14·5)	
3-4 (managing well, vulnerable)	106 (33·7)	199 (38·6)	
5-6 (mildly to severely frail)	144 (45·7)	215 (41·7)	
8-9 (very severely frail, terminally ill)	45 (14·3)	27 (5·2)	
<b>Hospital frailty risk score [n=1700]</b>			<0·001
<5 (low risk)	88 (18·3)	655 (53·8)	
5-15 (intermediate risk)	187 (38·8)	293 (24·1)	
≥15 (high risk)	207 (42·9)	270 (22·2)	
<b>Baseline eGFR ml/min/1·72m<sup>2</sup> [n=1525]</b>			
Median (IQR)	57·3 (38·7-76·2)	72·4 (51·2-90·8)	<0·001
eGFR <60	236 (52·2)	323 (30·1)	<0·001
<b>Acute kidney injury first 7 days [n=1673]</b>	204 (47·0)	226 (18·2)	<0·001
<i>Blood results during admission</i>			
<b>Highest creatinine µmol/L [n=1691]</b>			<0·001
Median (IQR)	168·0 (102·0-326·0)	87·0 (71·0-120·0)	
<b>Highest CRP [n=1761]</b>			<0·001
Median (IQR)	241·5 (149·8-344·0)	120·0 (59·0-218·0)	
<b>Highest D-dimer mg/L [n=968]</b>			<0·001
Median (IQR)	3·1 (1·2-17·7)	1·1 (0·6-3·3)	
<b>Highest sHLH score [n=1881]</b>			
Mean (SD)	34·6 (27·9)	26·9 (25·7)	<0·001
<b>Blood Group [n=875]</b>			0·004
A	109 (36·0)	196 (34·3)	
AB	11 (3·6)	32 (5·6)	
B	49 (16·2)	119 (20·8)	
O	134 (44·2)	225 (39·3)	
NEWS on admission [n=1443]	4·7 (2·9)	3·5 (2·2)	<0·001
<i>Intensive care unit (ICU)</i>			
<b>ICU admission</b>	151 (28·2)	210 (14·4)	<0·001
<b>ICU length of stay</b>			
Median (IQR)	9·0 (5·9-15·0)	8·0 (3·0-15·0)	0·06
<b>Mechanical ventilation within ICU admissions</b>	135 (89·4)	146 (69·5)	<0·001
<i>Days on organ support</i>			
<b>Advanced respiratory Mean (SD)</b>	9·3 (6·2)	9·9 (10·6)	0·49
<b>Total respiratory Mean (SD)</b>	10·4 (6·2)	12·5 (10·2)	0·03
<b>Cardiovascular system Mean (SD)</b>	10·3 (6·3)	12·6 (10·5)	0·02
<b>Renal Mean (SD)</b>	2·5 (4·1)	2·7 (6·2)	0·76
<b>Total number of organ systems</b>			<0·001
0	0 (0·0)	3 (1·4)	

1	1 (0·7)	12 (5·7)	
2	93 (61·6)	154 (73·3)	
3	57 (37·7)	41 (19·5)	
<b>Hospital length of stay</b>			
Median (IQR)	7·0 (4·0-13·0)	7·0 (3·0-12·0)	0·98

## 6. Completeness of follow-up

**Table S3.** Numbers at risk and number of deaths (in parenthesis) over five day intervals up to 30 days by ethnic group in primary survival analysis.

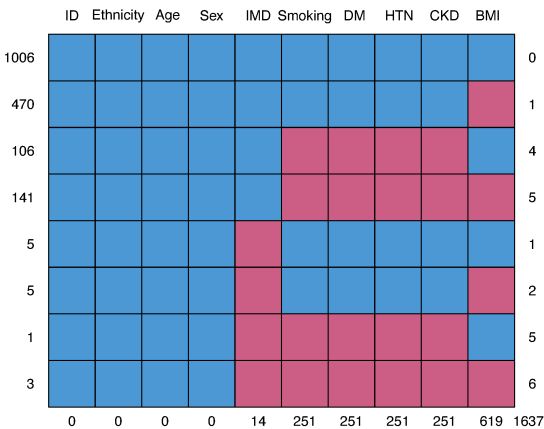
Ethnic group	Days from hospital admission						
	0	5	10	15	20	25	30
Asian or Asian British	538 (3)	488 (60)	446 (96)	421 (115)	402 (124)	389 (131)	365 (138)
Black or Black British	340 (4)	301 (50)	273 (70)	258 (80)	248 (88)	240 (94)	229 (97)
Mixed and Other ethnic groups	156 (1)	147 (12)	140 (17)	127 (26)	122 (32)	117 (33)	113 (33)
White	703 (3)	644 (71)	583 (120)	534 (162)	502 (188)	472 (197)	436 (210)

7. Sensitivity analyses

a. Multivariable imputation

Missing data for baseline risk variables included in the multivariable Cox model was imputed using Multivariate Imputation by Chained Equations based on age, sex, and comorbidity. Five separate imputed datasets were simulated, and a pooled result of multivariable Cox models presented.  
*Van Buuren S, Groothuis-Oudshoorn K. mice: Multivariate Imputation by Chained Equations in R. J Stat Softw 2011;45(3): <https://www.jstatsoft.org/v045/i03>.*

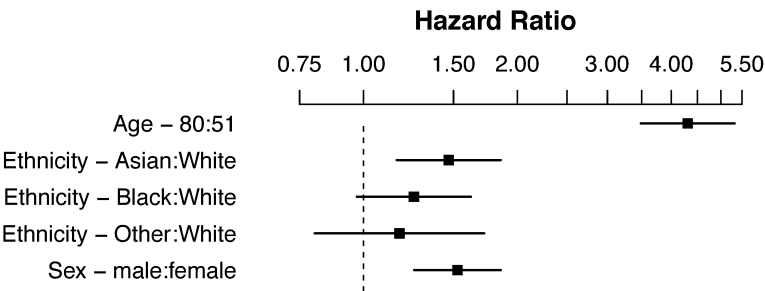
**Figure S3.** Patterns of missingness in baseline risk variables. ID: patient identifier, IMD: index of multiple deprivation, DM: diabetes mellitus, HTN: hypertension, CKD: chronic kidney disease, BMI: body mass index. Blue indicate complete and pink indicate missing data. Numbers on the left side of the grid represent n records with this pattern, numbers on the right side represent n missing variables, numbers on the bottom represent n records missing this variable. For example, n=1006 records were complete, n=470 were missing 1 variable (BMI), n=14 records were missing IMD data.



**Table S4.** Multivariable analysis using imputed dataset of mortality to 30 days using Cox proportional hazards modelling. Missing data imputed for smoking, BMI  $\geq 30$  kg/m<sup>2</sup>, diabetes, HTN, CKD. Censored to 30 days follow up, observation 1737, events 478.

	n		Unadjusted	
	Total	Events	Hazard ratio (95% CI)	P value
Age (25 <sup>th</sup> vs 75 <sup>th</sup> centile)	-	-	4.31 (3.49-5.32)	<0.0001
Sex (Male)	-	-	1.53 (1.26-1.86)	<0.0001
Ethnic group				
Asian or Asian British	521	134	1.47 (1.16-1.85)	0.001
Black or Black British	331	94	1.25 (0.97-1.62)	0.083
Mixed and Other ethnic groups	150	34	1.18 (0.80-1.72)	0.406
White	674	206	Reference	-

**Figure S4.** Forest plot showing hazards ratios of mortality to 30 days using the imputed dataset, on log scale.



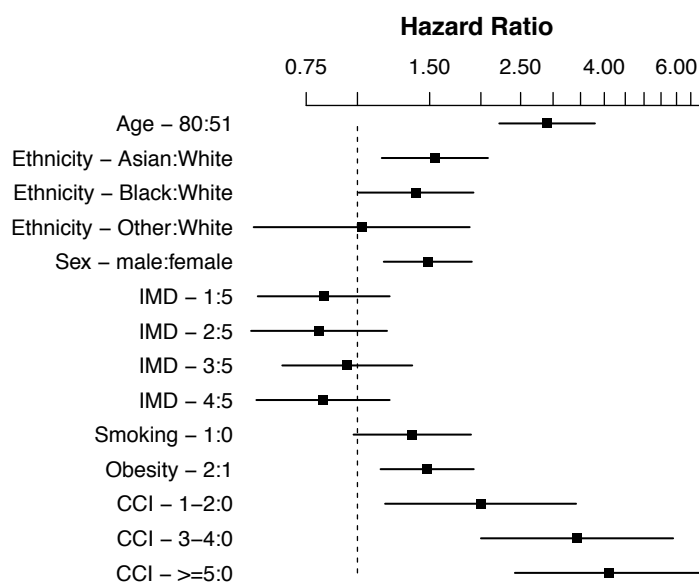


### b. Charlson comorbidity index

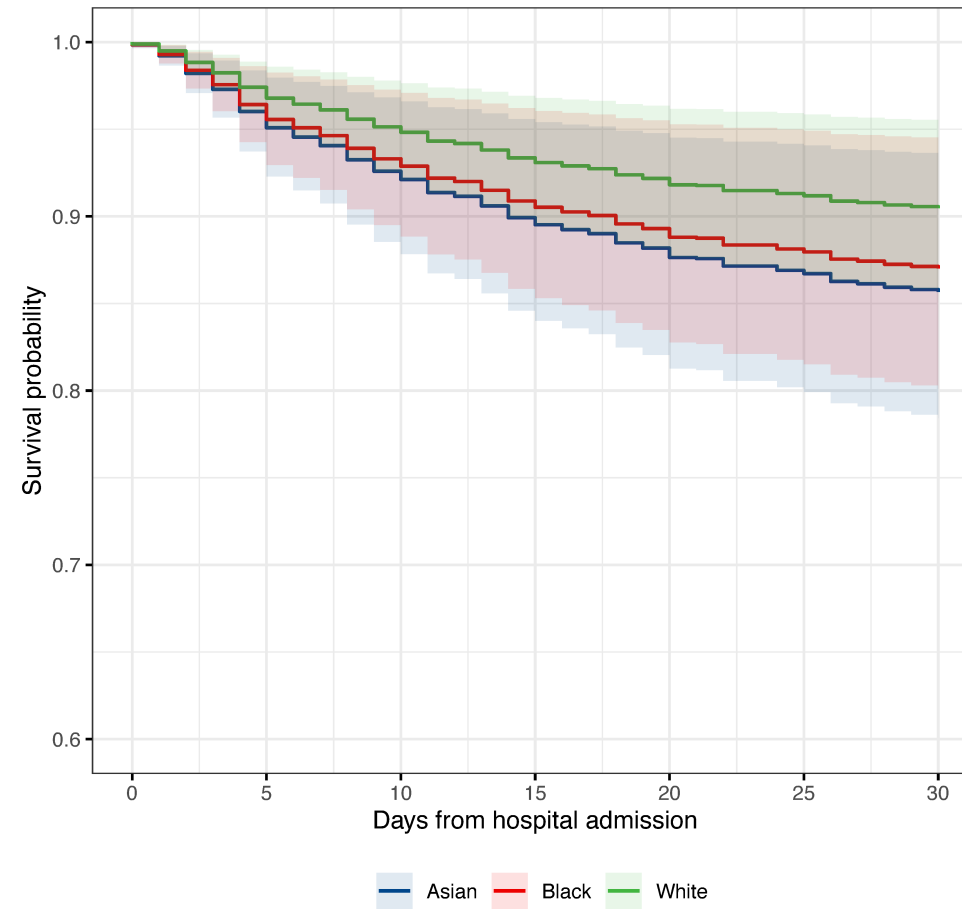
**Table S5.** Multivariable analysis of mortality to 30 days using cox proportional hazards modelling. Variables included age, sex, IMD quintile, smoking, BMI  $\geq 30$  kg/m<sup>2</sup>, and Charlson comorbidity index. Censored to 30 days follow up, observations 1006, events 281.

	Adjusted	
	Hazard ratio (95% CI)	P value
Age (25 <sup>th</sup> vs 75 <sup>th</sup> centile)	2.90 (2.22-3.79)	<0.0001
Sex (Male)	1.48 (1.16-1.90)	0.002
<b>Ethnic group</b>		
Asian or Asian British	1.54 (1.15-2.08)	0.004
Black or Black British	1.39 (1.01-1.92)	0.044
Mixed and Other ethnic groups	1.02 (0.56-1.88)	0.939
White	Reference	-
<b>IMD quintile</b>		
1 (most deprived)	0.83 (0.57-1.20)	0.316
2	0.81 (0.55-1.18)	0.268
3	0.94 (0.66-1.36)	0.759
4	0.82 (0.57-1.20)	0.311
5 (least deprived)	Reference	-
<b>Smoking</b>	1.36 (0.98-1.89)	0.067
<b>BMI <math>\geq 30</math> kg/m<sup>2</sup></b>	1.48 (1.14-1.92)	0.003
<b>Charlson comorbidity index</b>		
0	Reference	-
1-2	2.00 (1.17-3.41)	0.012
3-4	3.43 (2.00-5.89)	<0.0001
$\geq 5$	4.10 (2.42-6.94)	<0.0001

**Figure S5.** Forest plot showing hazards ratios of mortality to 30 days comparing multiple variables including CCI: Charlson comorbidity index. IMD: index of multiple deprivation, Obesity defined as BMI  $\geq 30$  kg/m<sup>2</sup>, on log scale.



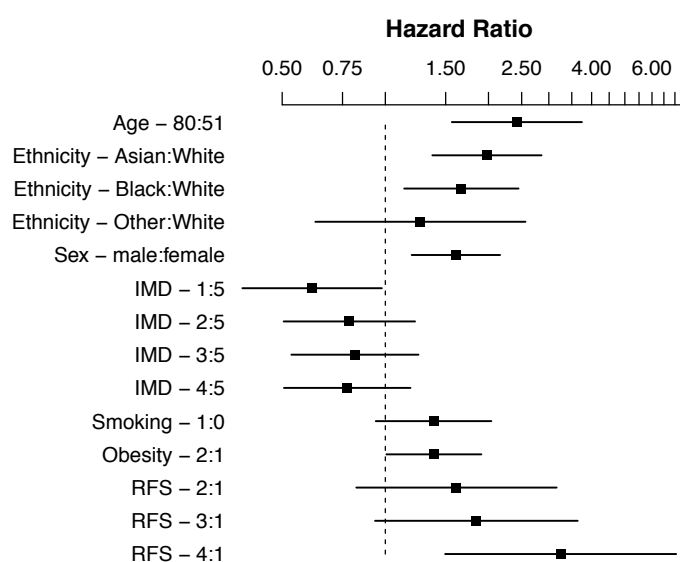
**Figure S6.** Survival curve to 30 days from multivariable analysis comparing Asian, Black, and White ethnic groups. Survival modelled for median age 65 years and male sex, index of multiple deprivation (IMD) least deprived quintile, no baseline risk factors defined as non-smoking, BMI <30 kg/m<sup>2</sup> and Charlson comorbidity index 0.



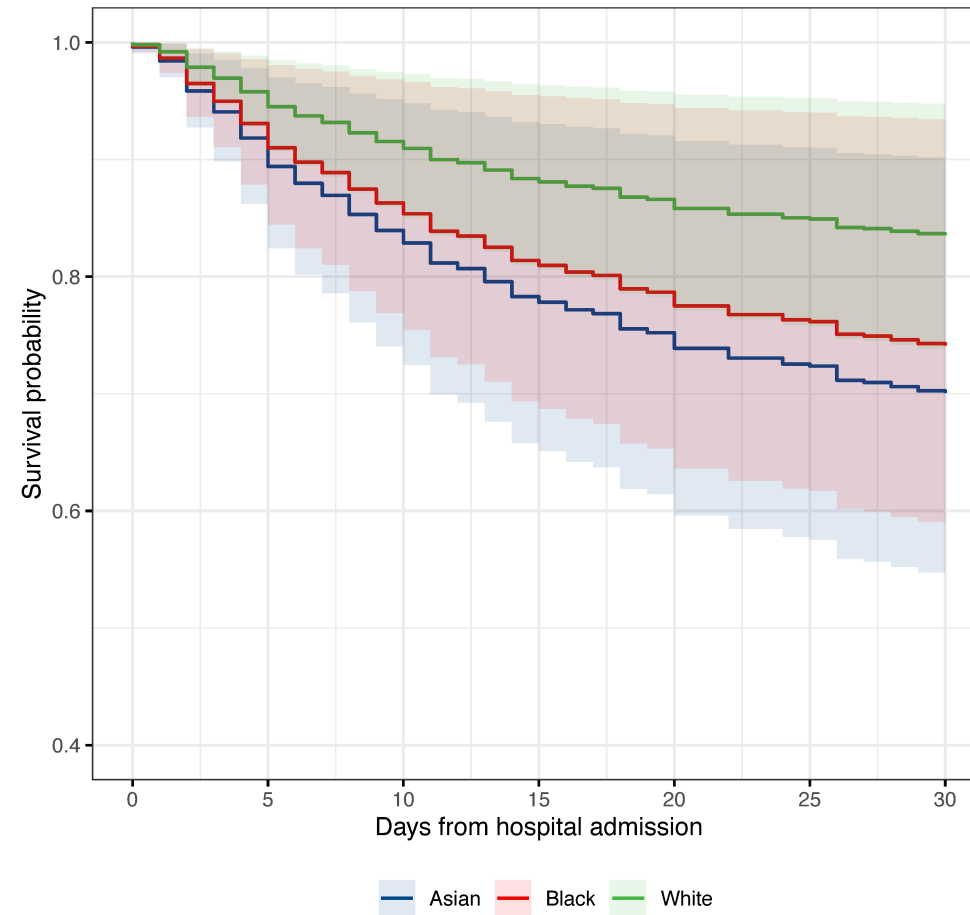
## c. Rockwood frailty score

**Table S6.** Multivariable analysis of mortality to 30 days using cox proportional hazards modelling. Variables included age, sex, index of multiple deprivation (IMD) quintile, smoking, BMI  $\geq 30$  kg/m<sup>2</sup>, and Rockwood frailty score (RFS). Censored to 30 days follow up, observations observations 552, events 199.

	Adjusted	
	Hazard ratio (95% CI)	P value
<b>Age</b> (25 <sup>th</sup> vs 75 <sup>th</sup> centile)	2.42 (1.56-3.75)	<0.0001
<b>Sex</b> (Male)	1.61 (1.19-2.16)	0.002
<b>Ethnic group</b>		
Asian or Asian British	1.98 (1.37-2.86)	<0.001
Black or Black British	1.67 (1.14-2.45)	0.009
Mixed and Other ethnic groups	1.27 (0.62-2.56)	0.513
White	Reference	-
<b>IMD quintile</b>		
1 (most deprived)	0.61 (0.38-0.98)	0.040
2	0.79 (0.50-1.22)	0.283
3	0.82 (0.53-1.25)	0.348
4	0.77 (0.51-1.18)	0.234
5 (least deprived)	Reference	-
<b>Smoking</b>	1.38 (0.94-2.03)	0.102
<b>BMI <math>\geq 30</math> kg/m<sup>2</sup></b>	1.39 (1.01-1.91)	0.045
<b>Rockwood frailty score</b>		
1-2 (very fit, well)	Reference	-
3-4 (managing well, vulnerable)	1.61 (0.82-3.16)	0.164
5-6 (mildly to severely frail)	1.84 (0.93-3.64)	0.078
8-9 (very severely frail, terminally ill)	3.25 (1.49-7.06)	0.003

**Figure S7.** Forest plot showing hazards ratios of mortality to 30 days comparing multiple variables including RFS: Rockwood frailty score. IMD: index of multiple deprivation, Obesity defined as BMI  $\geq 30$  kg/m<sup>2</sup>, on log scale.

**Figure S8.** Survival curve to 30 days from multivariable analysis comparing Asian, Black, and White ethnic groups. Survival modelled for median age 65 years and male sex, index of multiple deprivation (IMD) least deprived quintile, no baseline risk factors defined as non-smoking, BMI <30 kg/m<sup>2</sup> and Rockwood frailty score lowest risk group.



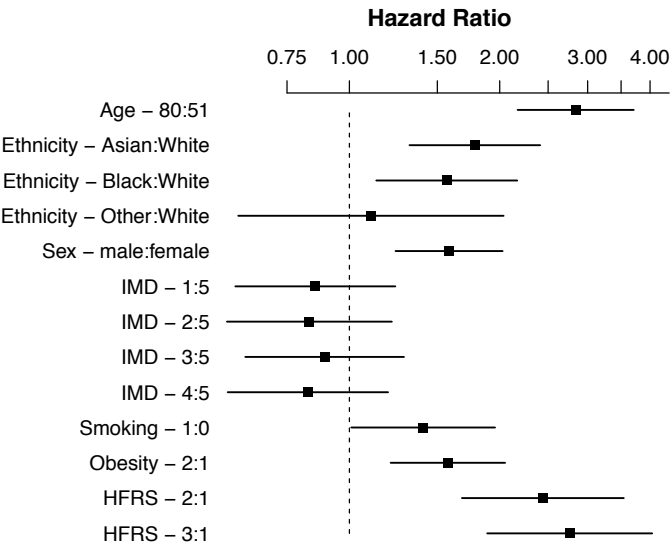


d. Hospital frailty risk score

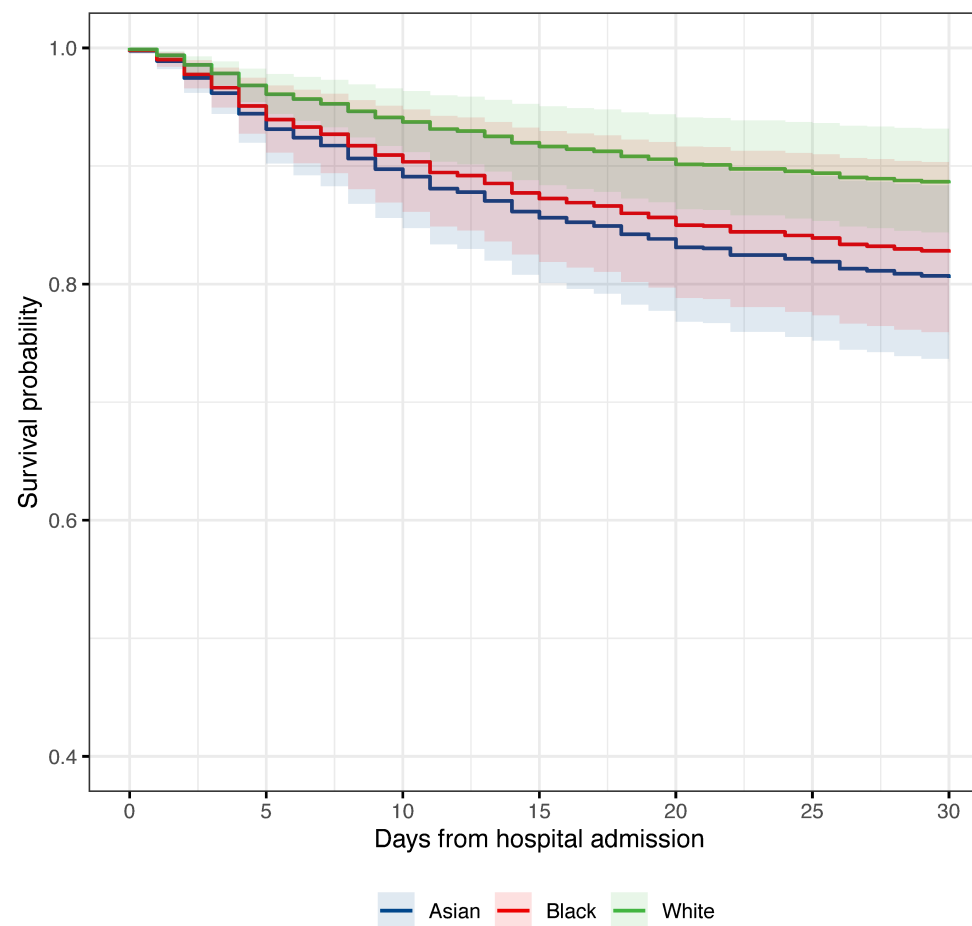
**Table S7.** Multivariable analysis of mortality to 30 days using cox proportional hazards modelling. Variables included age, sex, index of multiple deprivation (IMD) quintile, smoking, BMI ≥30 kg/m<sup>2</sup>, and Hospital frailty risk score (HFRS). Censored to 30 days follow up, observations 1006, events 281.

	Adjusted	
	Hazard ratio (95% CI)	P value
Age (25 <sup>th</sup> vs 75 <sup>th</sup> centile)	2.84 (2.17-3.71)	<0.0001
Sex (Male)	1.58 (1.24-2.03)	<0.001
Ethnic group		
Asian or Asian British	1.78 (1.32-2.41)	<0.001
Black or Black British	1.57 (1.13-2.17)	0.007
Mixed and Other ethnic groups	1.10 (0.60-2.04)	0.751
White	Reference	-
IMD quintile		
1 (most deprived)	0.85 (0.59-1.24)	0.404
2	0.83 (0.57-1.22)	0.341
3	0.89 (0.62-1.29)	0.541
4	0.83 (0.57-1.20)	0.310
5 (least deprived)	Reference	-
Smoking	1.42 (1.01-1.96)	0.044
BMI ≥30 kg/m <sup>2</sup>	1.57 (1.21-2.05)	<0.001
Hospital frailty risk score		
<5 (low risk)	Reference	-
5-15 (intermediate risk)	2.44 (1.68-3.54)	<0.0001
≥15 (high risk)	2.76 (1.89-4.04)	<0.0001

**Figure S9.** Forest plot showing hazards ratios of mortality to 30 days comparing multiple variables including HFRS: Hospital frailty risk score. IMD: index of multiple deprivation, Obesity defined as BMI ≥30 kg/m<sup>2</sup>, on log scale.



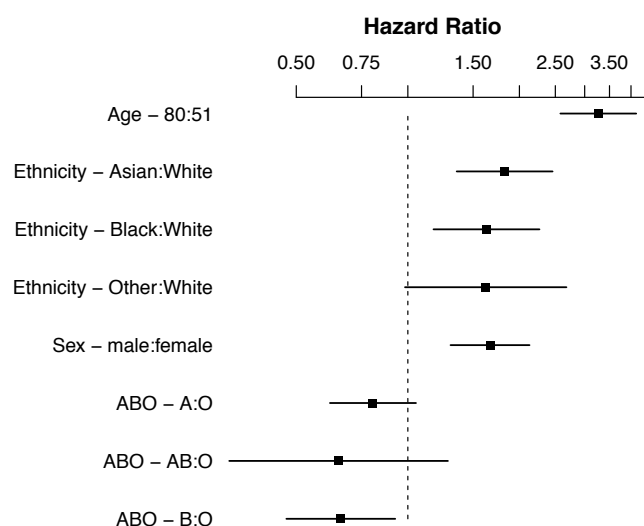
**Figure S10.** Survival curve to 30 days from multivariable analysis comparing Asian, Black, and White ethnic groups, age and sex corrected. Survival modelled for median age 65 years and male sex, index of multiple deprivation (IMD) least deprived quintile, no history of baseline risk factors defined as smoking, BMI  $\geq 30$  kg/m<sup>2</sup>, Hospital frailty risk score lowest risk group.



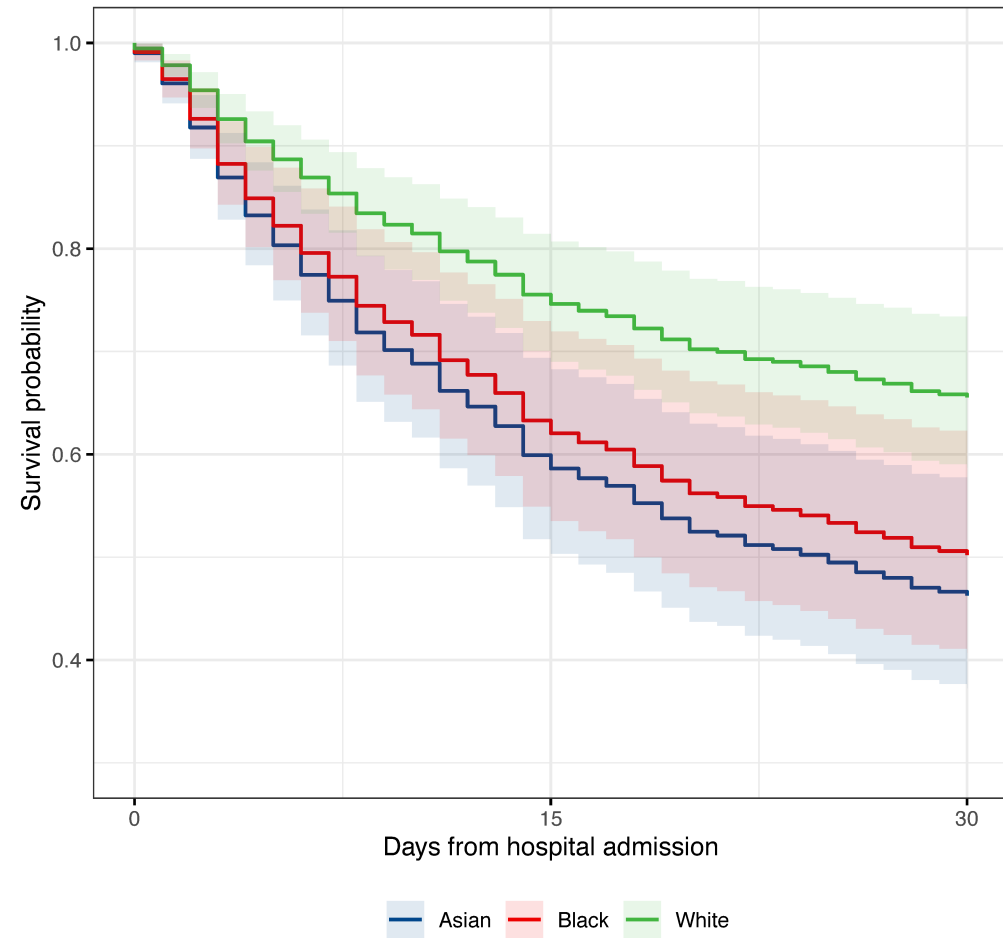
## e. ABO blood group

**Table S8.** Multivariable analysis of mortality to 30 days using cox proportional hazards modelling. Variables included age, sex, and ABO blood group. Censored to 30 days follow up, observations 793, events 281.

	Adjusted	
	Hazard ratio (95% CI)	P value
Age (25 <sup>th</sup> vs 75 <sup>th</sup> centile)	3.26 (2.58-4.13)	<0.0001
Sex (Male)	1.67 (1.30-2.13)	<0.0001
<b>Ethnic group</b>		
Asian or Asian British	1.82 (1.35-2.46)	<0.0001
Black or Black British	1.63 (1.17-2.27)	0.004
Mixed and Other ethnic groups	1.62 (0.98-2.68)	0.059
White	Reference	-
<b>ABO blood group</b>		
A	0.81 (0.62-1.05)	0.112
AB	0.65 (0.33-1.28)	0.214
B	0.66 (0.47-0.92)	0.016
O	Reference	-

**Figure S11.** Forest plot showing hazards ratios of mortality to 30 days comparing multiple variables including ABO blood group, on log scale.

**Figure S12.** Survival curve to 30 days from multivariable analysis comparing Asian, Black, and White ethnic groups. Survival modelled for median age 65 years and male sex, ABO blood group O.



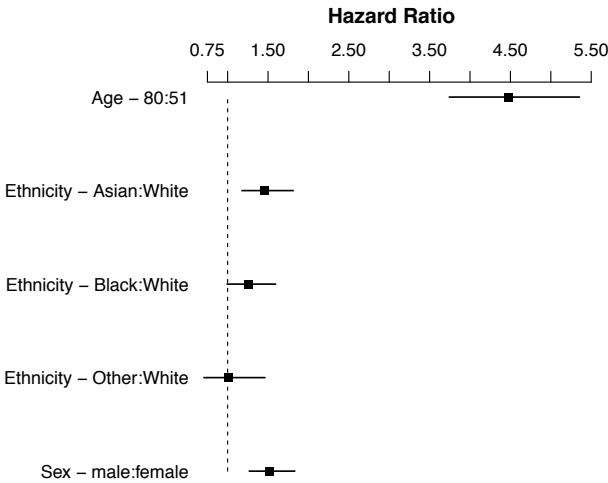


f. 90 day mortality

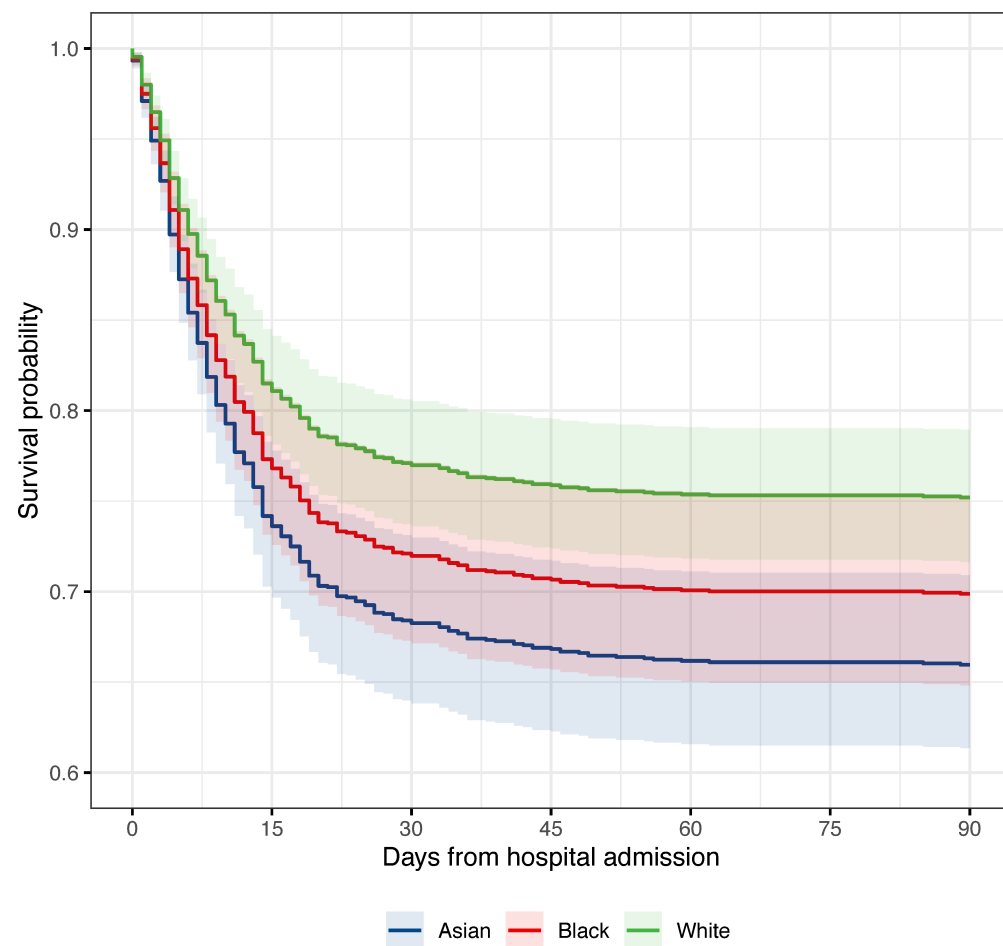
**Table S9.** Association of ethnic group with mortality to 90 days using cox proportional hazards modelling, age and sex corrected. Censored to 90 days follow up, observations 1737, events 510.

	n		Unadjusted	
	Total	Events	Hazard ratio (95% CI)	P value
Age (25 <sup>th</sup> vs 75 <sup>th</sup> centile)	-	-	4.48 (3.74-5.35)	<0.0001
Sex (Male)	-	-	1.52 (1.27-1.83)	<0.0001
Ethnic group				
Asian or Asian British	497	106	1.46 (1.18-1.81)	<0.001
Black or Black British	342	83	1.26 (0.99-1.59)	0.058
Mixed and Other ethnic groups	142	30	1.02 (0.71-1.46)	0.934
White	651	182	Reference	-

**Figure S13.** Forest plot showing hazards ratios of mortality to 90 days comparing ethnic groups, age and sex, on log scale.



**Figure S14.** Survival curve to 90 days from univariate analysis comparing Asian, Black, and White ethnic groups, age and sex. Survival modelled for median age 65 years and male sex.

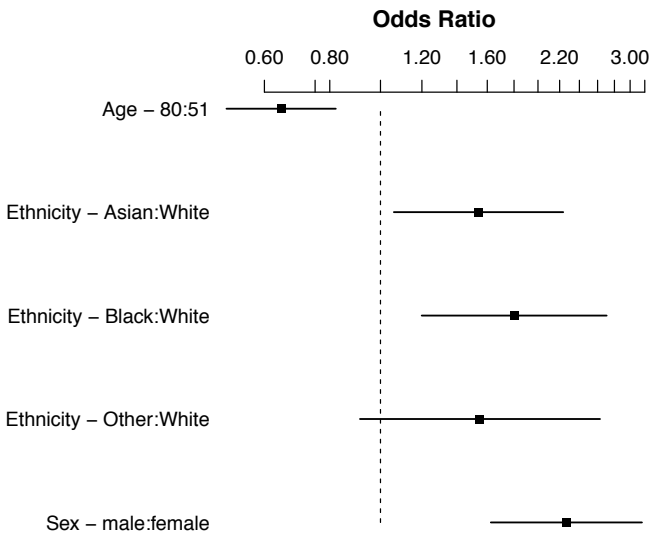


8. Secondary outcome mechanical ventilation

**Table S10.** Association of ethnic group with mechanical ventilation using logistic regression modelling, age and sex corrected. Observations 1737, events 210.

	Unadjusted	
	Odds ratio (95% CI)	p value
Age (25 <sup>th</sup> vs 75 <sup>th</sup> centile)	0.65 (0.51-0.82)	<0.001
Sex (Male)	2.27 (1.63-3.16)	<0.0001
Ethnic group		
Asian or Asian British	1.54 (1.06-2.23)	0.023
Black or Black British	1.80 (1.20-2.71)	0.005
Mixed and Other ethnic groups	1.55 (0.91-2.63)	0.104
White	Reference	-

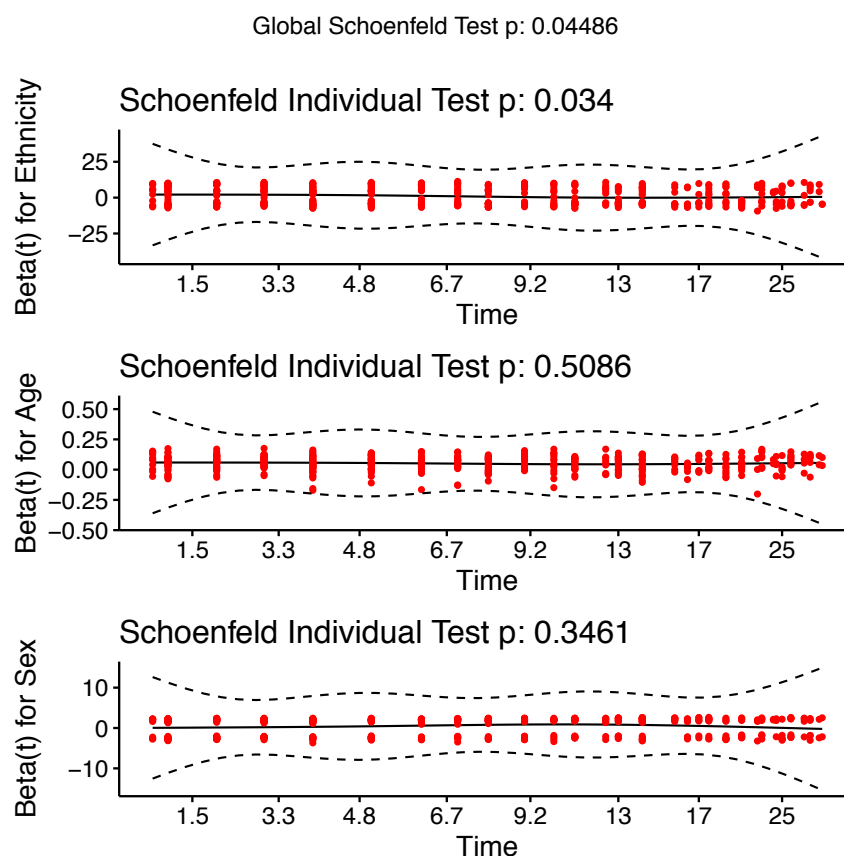
**Figure S15.** Forest plot showing odds ratios of mechanical ventilation comparing ethnic groups, age and sex corrected, on log scale.



## 9. Cox proportional hazards testing

We assessed proportional-hazards assumption for ethnicity and adjusted variables by inspection of scaled Schoenfeld residual plots. There was some evidence of non-proportionality for Black ethnicity at later time points in the primary age and sex adjusted analysis. However, the unstratified and ethnicity-stratified survival curves for the age and sex adjusted 30-day survival were similar suggesting minimal impact of non-proportionality.

**Figure S16.** Scaled Schoenfeld residual plots for ethnicity, age, and sex.



**Figure S17.** Ethnicity-stratified Cox survival model to 30 days based on age and sex. Survival modelled for median age 65 years and male sex. Survival over 30 days is comparable the unstratified model [Figure 3], however early mortality was greater in patients with Black ethnicity.

