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## A systematic approach to school based assessments for Autism Spectrum Disorders to reduce inequalities? A feasibility study in ten primary schools.

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V6 18. 06. 2020

**A systematic approach to school based assessments for Autism Spectrum Disorders to reduce inequalities? A feasibility study in ten primary schools.**

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**Abstract:**

**Objectives:** This was a pilot study to explore whether the Early Years Foundation Stage Profile (EYFSP) carried out by teachers at the end of Reception year, followed by the Social Communication Questionnaire (SCQ) can lead to an earlier identification of children with Autism Spectrum Disorders (ASD), earlier access to intervention and reduce inequity in access to assessment and intervention.

**Design:** Pragmatic prospective cohort

**Setting:** 10 primary schools from the SHINE project in Bradford

**Participants:** 587 from 10 schools who transitioned from Reception to Year 1 in July 2017 and had the EYFSP completed were finally included in the study

V6 18. 06. 2020

**Interventions:** The assessment involved a team of three multidisciplinary staff who completed the Autism Diagnostic Interview Revised (ADI-R), the Autism Diagnostic Observation Schedule Version2 (ADOS-2), classroom observations with an ASD checklist, a teacher based ASD questionnaire and a final consensus meeting.

Primary outcome measure: NICE guideline compliant clinical diagnosis of ASD.

Secondary outcome measures: age of diagnosis, demographic data and feasibility parameters.

**Results:** Children who scored low on the EYFS were more likely to score above the SCQ threshold of 12(indicating potential autism), 50% compared to 19% of children not scoring low on the EYFS ( $p < 0.001$ ). All children scoring above the SCQ received a full autism assessment; children who scored low on the EYFS were more likely to be diagnosed with autism (and other developmental issues) compared to those who did not score low on the EYFS.

**Conclusions:** We identified 9 new children with a diagnosis of ASD, all from ethnic minorities suggesting that this process may be addressing inequalities in early diagnosis found in previous studies. All children who scored above the threshold in the SCQ, required support and this was because the EYFSP questionnaire preceded it thereby including at risk children.

**Strengths and limitations of the study:**

- Consent was sought from all parents regardless of language by flexible use of interpreters.
- Education and Health data was shared yielding significant benefits
- We applied the SCQ (cut off of 12) to the children who scored 9 and below in the EYFSP and a 15% random sub-sample of children from the high EYFSP group (above 10)
- All children with a score of 12 or above on the SCQ received a detailed comprehensive ASD assessment and the rest had a teachers' screening questionnaire
- Any child who had already had a diagnosis on the Autism Spectrum from the local diagnostic services was also noted

**Introduction**

*What is autism*

Autism Spectrum Disorders (ASD) occur in approximately 1.6% of the UK population (1).

ASD is a neurodevelopmental condition that often includes a range of repetitive behaviours,

V6 18. 06. 2020

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3 preoccupations and interests (2), and large differences in social communication  
4 development from neuro-typically developing individuals (3). This leads to a need for  
5 different approaches to education (4) and parenting (5); (6) which can be costly for local  
6 authorities (7) and stressful for parents and family (8); (9).  
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### 10 11 12 13 14 15 16 17 *Early identification*

18  
19 Early identification and Early intervention has shown initial promise in improving outcomes  
20 (10); (5). Whilst screening young children in early education settings has been attempted it  
21 identifies large numbers of children (14%) with relatively low numbers identified with ASD  
22 (11) making cost effective whole population screening problematic (12), More nuanced  
23 approaches need to be developed. One promising approach would be to identify at risk  
24 populations and use screening and assessment processes within those groups (12). How to  
25 identify risk populations requires further research.  
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### 33 *Early Years Foundation Stage Profile (EYFSP)*

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35 A large survey of parents in the UK describes late diagnosis in primary school despite  
36 symptoms being present from infancy (13) and the Care Quality Commission found children  
37 with ASD having long waits for diagnosis and interventions (14). Recent studies suggest that  
38 using the Early Years Foundation Stage Profile (15) may identify children with higher risk of  
39 having an ASD(16). The EYFSP is completed by teachers in England at the end of the  
40 reception year and scores 17 different domains of development in terms of whether a child  
41 is at an expected level, ahead or behind that level (15). It is used as a mechanism for  
42 flagging children who may need additional help in school and to benchmark UK school  
43 profiles.  
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### 53 *Equality of Access*

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55 Recent work by the same group has also shown that the diagnosis of autism is less likely to  
56 be made early in families from poor backgrounds or from families from ethnic minority  
57 groups (17) showing inequalities reported elsewhere (18). This problem with equity of  
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V6 18. 06. 2020

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3 access would be well served by having a more widely available process for identifying  
4 children for neurodevelopmental disorder assessment as early as is practicable. One  
5 mechanism for improving equity of access is school based assessment (19).  
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### 9 *Reasons for feasibility work*

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12 To plan a larger study it is necessary to gather feasibility information for improved  
13 assessment processes. We report a feasibility study of a two stage screening process  
14 involving the EYFSP followed by an established well validated ASD screening questionnaire,  
15 the Social Communication Questionnaire (SCQ) (20). We sought to test the feasibility of a  
16 process where children went through this screening process and were then assessed more  
17 comprehensively for ASD in schools with education and health professionals working  
18 together over one day.  
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## 26 **Methodology**

### 27 *Background*

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31 This research was set within the larger Born in Bradford cohort research (21). We obtained  
32 consent from 10 primary schools in an existing project, the SHINE project. The SHINE group  
33 is a consortium of ten primary schools that act as a testbed for new approaches to improve  
34 services, reduce inequalities and test innovation (22). We obtained ethical approval from  
35 University of Leeds and Bradford Teaching Hospitals NHS Foundation Trust (IRAS Number:  
36 233328).  
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### 43 *Consent*

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46 All parents were approached with a family information leaflet and a consent form. A  
47 researcher was available by phone, email or face to face for those wishing to discuss this  
48 further. Interpreters were available because many of the population had a first language  
49 that was not English.  
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### 56 *Design*

V6 18. 06. 2020

596 children in year 5 were available in 10 primary schools and we approached all of those who had received an Early Years Foundation Stage Profile scored by their teachers at the end of reception year in the summer of 2017.

The study was not powered to look for differences but designed to test feasibility for a larger study.

### *Measures*

A screening measure to identify children at risk was derived from five items of the EYFSP carried out by teachers at the end of reception year from the four main symptom areas defined in the research diagnostic criteria for ASD namely social reciprocity, language and communication, imagination delays and repetitive and stereotyped patterns of behaviour. This is described in more detail in a previous study (16). We chose a score cut off of 9 which a previous study found to be statistically significantly associated with over 50 times the risk of autism, compared to children not scoring low on the EYFSP sub-item score: 52.7 (95% CI: 25.2 - 110.5). (16). Children were dichotomously grouped into 'low' (9 or below) and 'high' (10 or above).

The teachers of children with low EYFSP scores and a 15% randomised sub-group of those with high scores (10 or more) completed a Social Communication Questionnaire (SCQ) (23), which is a well-established validated autism screening questionnaire with good sensitivity and specificity scores. In previous studies the SCQ has been found to be helpful with young children in identifying ASD (24). A threshold score of 12 or above on the SCQ was chosen, based on previous research (25); (26) suggesting this is the best cut off for the optimum sensitivity to discriminate between children with and without ASD.

### *Methods*

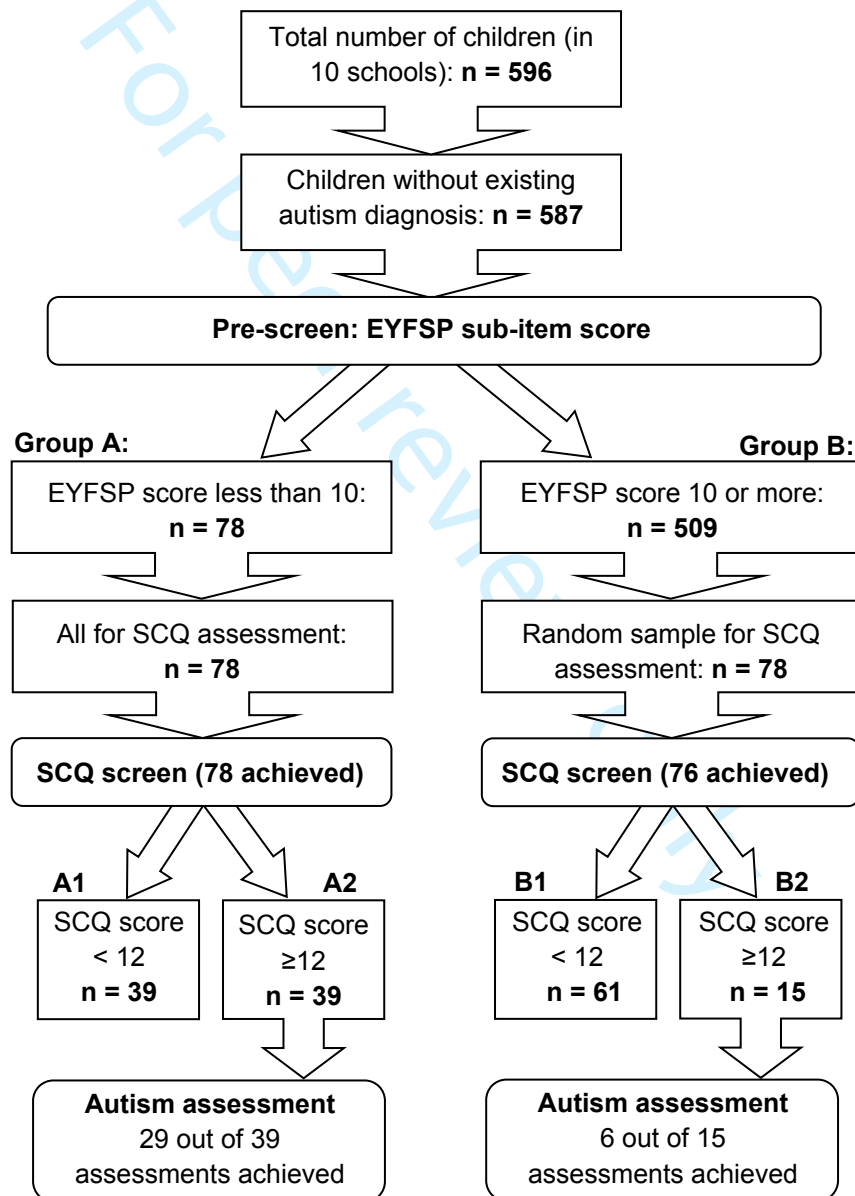
Data linkage allowed us to combine school and health data (26).



V6 18. 06. 2020

All those children and families with low EYFSP scores and above threshold SCQ (>12) were offered a NICE guideline compliant ASD Assessment, with additional clinical screening assessment for other developmental problems. A 15% randomised sub-group of those scoring high (10 or more) in EYFSP had the SCQ completed and those who scored high (10 or more) in EYFSP and 12 or above on the SCQ were then also assessed comprehensively in the same way (see figure 1).

**Figure 1: Study design**



V6 18. 06. 2020

In order to check for false negatives we added an additional screening check where those children in the above groups given the SCQ scored below the threshold of 12 where their teacher filled in a narrative behaviour questionnaire mapping to the WHO research diagnostic criteria for ASD. (28) This yields a score of 0-12 to identify areas of concern in any of the 12 symptom groups for ASD (28). Any child who had already had a diagnosis on the Autism Spectrum from the local diagnostic services was also noted.

Finally, sensitivity analysis was carried out using a cut of 15 or the SCQ instead of 12 as this has been used in some studies (27)

### *The Autism Assessment*

The assessments took place in those 10 schools in Bradford between September 2018 and July 2019. The assessment involved a team of three multidisciplinary staff drawn from a bank of child and adolescent mental health service (CAMHS) clinicians and educational psychologists. The assessment was completed in school in one day. One experienced clinician who was trained in the ADIR (28) carried out this parent based semi-structured interview with a parent or primary care giver. Two other professionals (usually an educational psychologist and a clinical psychologist or child psychiatrist) trained in the ADOS-2 (29) carried out this play/interaction based assessment with the child, using the most appropriate module depending on their developmental ability and language development. This was carried out by one person and observed by a second person and information shared during coding. One of the clinicians also observed the child in class with a bespoke ASD checklist. The clinicians went through a teacher based questionnaire related to the teacher's experiences of the child's skills and behaviour including the main symptoms of ASD using the World Health Organisation International Classification of Diseases Version 10 Research Diagnostic Criteria (30). Finally there was a consensus meeting with the three external assessors and the teacher identifying an overall consensus for the presence or absence of definite, possible or no difficulties in the 12 main research diagnostic criteria areas for Autism Spectrum Disorder diagnosis (28). In the afternoon each of the clinicians contributed to one single report using a range of sub-headings and organising material according to those sub-headings. This included a final consensus formulation, a description of strengths and difficulties and a range of recommendations. As agreed in ethical

V6 18. 06. 2020

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3 approvals the report fell short of making an NHS diagnosis (since this was a research  
4 project). Where appropriate it was suggested that referral was made through appropriate  
5 local assessment pathways with the report. A range of other recommendations were made  
6 including referral elsewhere such as speech and language therapy assessment, physical  
7 health checks or a proposed assessment for an Education Health Care Plan, educational  
8 psychology assessment or a range. Given the breadth of experience of the assessing  
9 professionals and the teacher, a number of possible recommendations for assessment were  
10 possible.  
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### 19 *Feasibility Outcomes*

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21 Feasibility outcomes were collected such as numbers consenting, attrition rates after  
22 consent, acceptability of assessment elements, recording of any language or interpreting  
23 issues and the acceptability and completion of questionnaires.  
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28 We carried qualitative interviews to obtain in-depth information from parents, teachers and  
29 clinicians about the acceptability, usefulness and real world provision of the assessment  
30 process.  
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### 34 **Results**

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36 There were 596 children in the 10 schools, 587 were included in the study as 9 children  
37 from this cohort had a pre-existing autism diagnosis (Figure 1). 14 families decided that  
38 they did not want to be part of the study and did not consent. Two families moved to a  
39 different school.  
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V6 18. 06. 2020

510 children scored 10 or above on the Early Years Foundation Stage Profile and 86 children scored 9 or below (at risk children). Of the 86 children scoring 9 or below, 8 (9%) of these children already had a diagnosis on the autism spectrum and the remainder were given the Social Communication Questionnaire (SCQ) with threshold results for 12 and 15 reported below. (31).

**Table 1 – Percentage of children who meet the threshold for ASD with threshold results for 12 and 15 in the SCQ**

SCQ Scores (those score 12 or above)			
Autism Spectrum Disorder	Low EYFSP	Not Low EYFSP	
Yes	9	0	
No	20	6	
Total	29	6	
31% of those with Low EYSFP had diagnosis of ASD			
SCQ Scores (those score 15 or above)			
Autism Spectrum Disorder	Low EYFSP	Not Low EYFSP	
Yes	8	0	
No	13	3	
Total	21	3	
38% of those with Low EYSFP had diagnosis of ASD			

All but one of the children who were met the criteria for a diagnosis of ASD had a SCQ of 15 or above.

Of the 510 children screened 10 or above (i.e. a low risk score) on the Early Years Foundation Stage Profile 1 child had a diagnosis on the Autism Spectrum already. We randomised 15% of these children to carry out the SCQ and so 78 families completed this with 15 of them scoring 12 or above on the SCQ with 61 scoring under 12 and 2 lost follow ups. The comprehensive Autism assessments described were offered to 54 children scoring greater than or equal to 12 on the SCQ from the children scoring 9 or below on the EYFSP

V6 18. 06. 2020

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3 with 39 carried out and with the random sub-group of those scoring 10 or above (n=15).  
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5 Teachers to complete a comprehensive questionnaire based on the WHO research  
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7 diagnostic criteria for ASD for 20 out of 39 children who scored 9 and below in EYFSP and  
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9 less than 12 in SCQ as well as 33 out of 61 children who scored 10 or more in EYFSP and less  
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11 than 12 in SCQ. We received a total of 53 questionnaires and none of them scored more  
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13 than 2 out of 12 on the research diagnostic criteria risk checklist, all below the level where a  
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15 diagnosis of ASD would be likely. The large majority (88.68%) had 0 symptoms.  
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Those in group A (who score low on the EYFSP sub-score pre-screen) are more likely to be identified as potentially at risk of having ASD on the SCQ screening test compared to those in group B (those who do not score low on the EYFSP sub-score pre-screen); 50% of those in group A scored 12 or above on the SCQ, compared to 19% in group B (see table 2).

**Table 2: Comparison between groups with low and high scores in EYFSP**

EYFSP sub-score pre-screen	SCQ Screen		Total
	High SCQ	Low SCQ	
Group A	50%	50%	78
Group B	19%	81%	78
Total	35%	65%	156

Pearson  $\chi^2(1) = 16.3137$   $p < 0.001$

Group A are those scoring low on the EYFSP sub-score pre-screen score

Group B are those not scoring low on the EYFSP sub-score pre-screen score

High SCQ are those that score at least 12 on SCQ (potential autism)

Low SCQ are those that score less than 12 on SCQ (not potential autism)

Families of children who scored 12 or more on the SCQ screening tool who were then offered a full autism assessment, are described in table 2. Those who score low on the EYFSP sub-score pre-screen and then who go onto score high on the SCQ score (indicating potential autism) are much more likely to be diagnosed with ASD after the full assessment, compared to those in group B (those who did not score low on the EYFSP sub-score pre-screen and then who go onto score high on the SCQ score).

V6 18. 06. 2020

- 31% of those in group A with a SCQ of 12 or more met the research diagnostic criteria for ASD diagnosis.
- None of those in group B with a SCQ of 12 or more met the research diagnostic criteria for ASD diagnosis.

Table 3 and 4 indicate the suggested referrals to other services that arose from the assessment, suggesting that this process may be useful in identifying children with a range of developmental problems and not simply those with ASD.

**Table 3 Outcomes of assessments for those children with a SCQ score of 12 or above:**

	<b>Group A2:</b>	<b>Group B2</b>	<b>Groups A2 &amp; B2</b>
	Pre-screen: Low EYFSP sub-score (n = 29)	Pre-screen: Not low EYFSP sub-score (n=6)	Total with autism assessment (n = 35)
Referral to service			
Autism Spectrum Disorder	9 (31.0%)	0 (0%)	9 (25.7%)
Assessed Need for External (outside school system) support	22 (75.9%)	3 (50.0%)	25 (71.4%)
Assessed Need for Internal (within school system) support	29 (100%)	5 (83.3%)	34 (97.1%)
Assessed need for Internal or External Support	29 (100%)	6 (100%)	35 (100%)

V6 18. 06. 2020

**Table 4: Recommendations from assessing clinicians about additional support needed for 35 assessed children**

	<b>Group A:</b>	<b>Group B</b>	<b>Group A &amp; B</b>
	Pre-screen: Low EYFSP sub-score (n = 29)	Pre-screen: Not low EYFSP sub- score (n=6)	Total with autism assessment (n = 35)
Enacted Onward Referral to service			
Autism Spectrum Disorder	9 (31.0%)	0 (0%)	9 (25.7%)
Speech and Language Therapy Assessment	16 (55.2%)	3 (50.0%)	19 (54.3%)
Nurture Group/Encouragement of social interaction/monitoring	12 (41.4%)	4 (66.7%)	16 (45.7%)
Learning Needs Assessment	4 (13.8%)	2 (33.3%)	6 (17.1%)
In school Lego Based Therapy	3 (10.3%)	0 (0%)	3 (8.6%)
Parent Support	3 (10.3%)	0 (0%)	3 (8.6%)
Dyslexia Assessment	3 (10.3%)	0 (0%)	3 (8.6%)
Dyscalculia Assessment/Maths Skills Support	1 (3.4%)	0 (0%)	1 (2.9%)
Ed Psych/Cognitive Assessment	9 (31.0%)	0 (0%)	9 (25.7%)
Formal EHCP triggered	5 (17.2%)	0 (0%)	5 (14.3%)
Visual Aids and/or vision assessment	5 (17.2%)	0 (0%)	5 (14.3%)
In school Creative Activities groups	3 (10.3%)	0 (0%)	3 (8.6%)
Gross Motor Skills Support	3 (10.3%)	1 (16.7%)	4 (11.4%)
Physical Health Check	2 (6.9%)	0 (0%)	2 (5.7%)
In school Social Story intervention	2 (6.9%)	0 (0%)	2 (5.7%)
New Adaptations in Classrooms	6 (20.7%)	0 (0%)	6 (17.1%)
Occupational Therapy assessment	1 (3.4%)	0 (0%)	1 (2.9%)
Other group support	1 (3.4%)	0 (0%)	1 (2.9%)
Attention Concentration Support	6 (20.7%)	1 (16.7%)	7 (20.0%)



V6 18. 06. 2020

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3 We checked the GP records of those 35 children identified as having low (29 children) and  
4 not low (6 children) EYFSP scores and 12 or above on the SCQ. Only 4 of these children had  
5 previously had any READ codes recorded for intellectual disability, language delay or  
6 disorder, ADHD or ASD, all four being recorded as having speech delay or disorder of speech  
7 and language. Two of these four children were assessed in our study as meeting the criteria  
8 for ASD. The remaining 31 children with low and not low EYFSP and SCQ > 12 had no GP  
9 recorded Read codes but all 31 had additional needs newly identified in our assessments  
10 (see table 4). This shows that of the 35 children 31 would gain new interventions as a result  
11 of our assessment processes that they were not currently accessing. All 9 of the children  
12 who were newly diagnosed with ASD by this research were from an ethnic minority  
13 background.  
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#### 24 *Feasibility outcomes*

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26 All 10 schools approached participated in the study. From these 51 children identified as  
27 requiring an assessment and 32 children were finally assessed. 19 children were not  
28 assessed; 16 withdrew early on in the study and 2 left the school. We received back all  
29 initially requested from schools, both EYFSP and SCQs. Of the teacher questionnaires for  
30 children that were not identified as requiring an assessment 53 questionnaires of 55 were  
31 completed.  
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#### 39 *Qualitative findings*

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41 Associated qualitative research will be published separately. Feedback was requested  
42 from clinicians, school staff, assessed children's parents and parents of children with a  
43 neurodevelopmental disorder from a patients' panel.  
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48 Both parents and clinicians were positive about school based assessment occurring largely  
49 in one day. This included the benefits of the child being in their normal routine and  
50 experiencing less anxiety than clinic visits. Parents were positive about not having to chase  
51 appointments and teachers positive about involvement in all assessments.  
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56 Clinicians valued multidisciplinary working and the positives of access to rich school based  
57 data. A SENCO from one of the school mentioned that *'I liked that everybody can come  
58 together because you are in one place, everybody that knows the child is there and then it is  
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V6 18. 06. 2020

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3 *kind of written as a team around the child...'*. Parents commented that including school in  
4 the assessment process had helped teaching staff to adapt teaching and support for the  
5 child promptly. Challenges identified included difficulties coordinating different  
6 professionals, children and parents together and last minute cancellations *'this process was*  
7 *highly dependent on administration both from the project and from school...'*. Other themes  
8 highlighted related to the diagnosis and a range of responses relating to concern that their  
9 child's problems may be minimised or that they might be stigmatised.  
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## 20 Discussion

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22 This study has shown that it is feasible to carry out a larger study of a new assessment care  
23 pathway for neurodevelopmental problems across a district. The acceptability to families is  
24 relatively good, although some families had some concerns about the consequences of  
25 diagnosis or not. This suggests that care needs to be taken when considering the emotional  
26 consequences for the family. It is good practice to provide parenting support to families of  
27 children newly diagnosed with ASD and this should be a key part of new assessment  
28 pathways or future research.  
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36 In our trial the EYFSP pre-screen identified 13% of the pupil population (78 pupils scoring  
37 less than 10 on the EYFSP out of 587 pupils). Of this 13% of pupils half then go on to score  
38 high on the SCQ; so that approximately 6.5% of the pupil population would receive an  
39 autism assessment with the addition of the EYFSP pre-screen. This compares with 14% (11)  
40 in similar early life screening studies without a pre-screen stage. This has potential cost-  
41 effectiveness benefits that we were unable to test but should be key parts of future  
42 research.  
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50 A recent paper (32) suggests that, based on the cut off at 12, the sensitivity of the SCQ is  
51 42% and the specificity 89%. Whilst we cannot accurately assess sensitivity in our study as  
52 we have not assessed all the children in the sample, we used teacher based questionnaires  
53 (with ASD research diagnostic criteria) in 33 children with normal EYFSP scores and low SCQ  
54 scores and none had more than 2 flagged areas of concern on the research diagnostic  
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V6 18. 06. 2020

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3 criteria symptom list for ASD (5-6 is the threshold for diagnosis). This suggests that further  
4 research may reveal an improved sensitivity when EYFSP is used as a pre-screen before SCQ.  
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10 This study has shown that there may be promising alternatives to existing assessment  
11 pathways for ASD (i.e. the use of EYFSP sub-score as a pre-screen tool, prior to SCQ  
12 screening). Advantages to the clinical process include the fact that information can be  
13 gathered from the school with those who know the child best (parents/carers and teacher)  
14 in one day in an environment known to the child, which may give a more accurate  
15 assessment. Previous studies using screening instruments with similar sample sizes have  
16 found a third of the sample are lost to follow up (11). Our study has vastly lower attrition  
17 because of the close link with the clinical teams into schools where parents are in regular  
18 contact. The early identification of ASD means that children can access the best educational  
19 placement early and allows the local authority to plan its services and resources. It may  
20 resolve inequalities seen in previous studies where sections of the population do not come  
21 forward for assessment (17, 18).  
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32 This study identified a number of new children (n=9) with a diagnosis of ASD. This has  
33 enabled support to be established early. All of these children were from ethnic minorities  
34 suggesting that this process may be addressing inequalities in early diagnosis found in  
35 previous studies (17), although this would need further larger research to confirm. In other  
36 studies using the Social Communication Questionnaire, when children score above the  
37 threshold but do not have ASD, approximately 90% have a neurodevelopmental disorder or  
38 developmental problem of some sort requiring identification and support (33). In our study  
39 using the EYFSP this was 100% since all children had identified support needs.  
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48 The study was limited by its size suggesting further larger district level research with cost-  
49 effectiveness analysis needs to take place.  
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60

V6 18. 06. 2020

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#### **Contributorship statement:**

Professor Barry Wright: Conceived of the presented idea, contributed to the design and delivery of the project and the writing up of the manuscript

Dr Konstantopoulou Kalliopi: Contributed to the design, delivery, data collection and the writing up of the manuscript

Kuldeep Sohal: Contributed to the design of the project and agreed with the manuscript's results and conclusions

Dr Brian Kelly: Contributed to the design of the project, completed the statistical analysis of the project and contributed to the writing of the manuscript

Dr Geoff Morgan: Contributed to the design, delivery of the project and agreed with the manuscript's results and conclusions

Cathy Hulin: Contributed to the design, overall organisation, data collection and writing up of the manuscript

Dr Sara Mansoor: Contributed to the design and delivery of the project

Professor Mark Mon-Williams: Contributed to the design of the project, agreed with the manuscript's results and conclusions

#### **Competing interests:**

There are no competing interests for any author

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#### **Data sharing statement:**

Data are available upon reasonable request.

V6 18. 06. 2020

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For peer review only

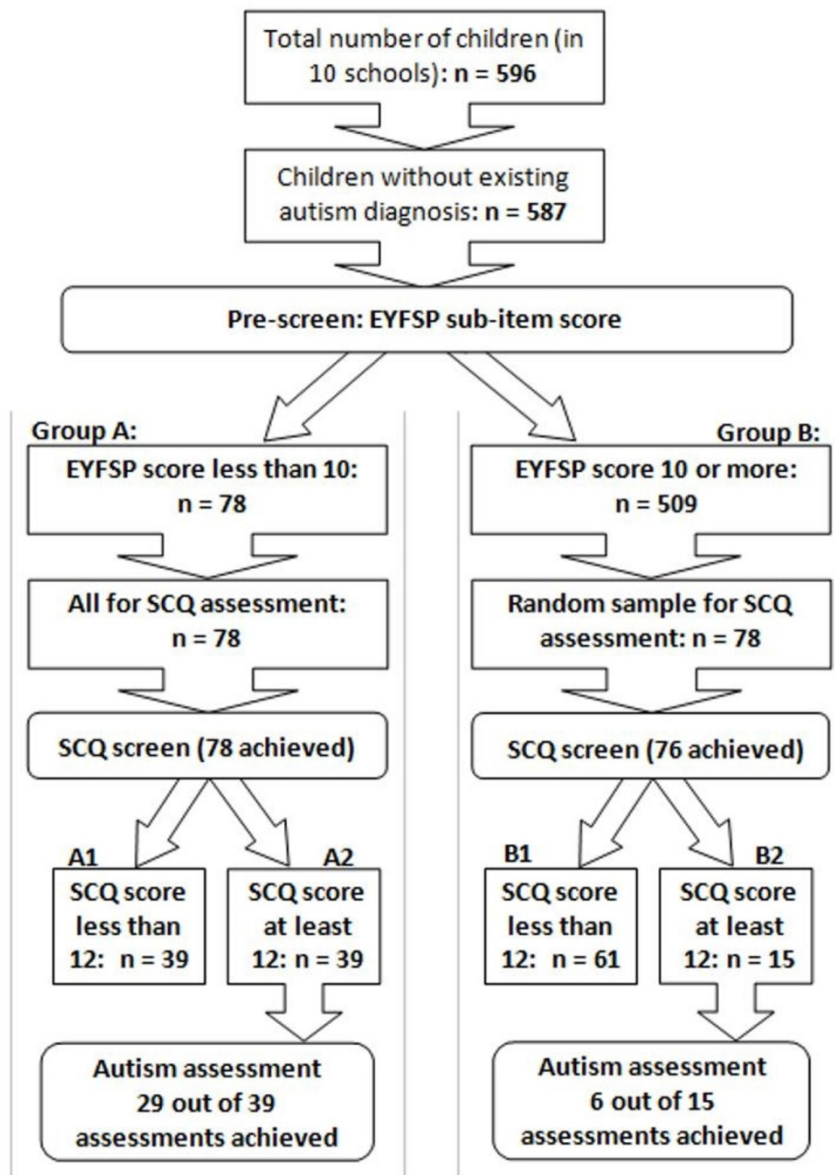
V6 18. 06. 2020

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V6 18. 06. 2020

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Study design

117x155mm (300 x 300 DPI)



**Table 1 – Percentage of children who meet the threshold for ASD with threshold results for 12 and 15 in the SCQ**

SCQ Scores (those score 12 or above)			
Autism Spectrum Disorder	Low EYFSP	Not Low EYFSP	
Yes	9	0	
No	20	6	
Total	29	6	

31% of those with Low EYSFP had diagnosis of ASD

SCQ Scores (those score 15 or above)			
Autism Spectrum Disorder	Low EYFSP	Not Low EYFSP	
Yes	8	0	
No	13	3	
Total	21	3	

38% of those with Low EYSFP had diagnosis of ASD

**Table 2: Comparison between groups with low and high scores in EYFSP**

EYFSP sub-score pre-screen	SCQ Screen		Total
	High SCQ	Low SCQ	
Group A	50%	50%	78
Group B	19%	81%	78
Total	35%	65%	156

Pearson  $\chi^2(1) = 16.3137$   $p < 0.001$

Group A are those scoring low on the EYFSP sub-score pre-screen score

Group B are those not scoring low on the EYFSP sub-score pre-screen score

High SCQ are those that score at least 12 on SCQ (potential autism)

Low SCQ are those that score less than 12 on SCQ (not potential autism)

**Table 3 Outcomes of assessments for those children with a SCQ score of 12 or above:**

	Group A2:	Group B2	Groups A2 & B2
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Referral to service	Pre-screen: Low EYFSP sub-score (n = 29)	Pre-screen: Not low EYFSP sub-score (n=6)	Total with autism assessment (n = 35)
Autism Spectrum Disorder	9 (31.0%)	0 (0%)	9 (25.7%)
Assessed Need for External (outside school system) support	22 (75.9%)	3 (50.0%)	25 (71.4%)
Assessed Need for Internal (within school system) support	29 (100%)	5 (83.3%)	34 (97.1%)
Assessed need for Internal or External Support	29 (100%)	6 (100%)	35 (100%)

**Table 4: Recommendations from assessing clinicians about additional support needed for 35 assessed children**

	<b>Group A:</b>	<b>Group B</b>	<b>Group A &amp; B</b>
	Pre-screen: Low EYFSP sub-score  (n = 29)	Pre-screen: Not low EYFSP sub- score (n=6)	Total with autism assessment (n = 35)
Enacted Onward Referral to service			
Autism Spectrum Disorder	9 (31.0%)	0 (0%)	9 (25.7%)
Speech and Language Therapy Assessment	16 (55.2%)	3 (50.0%)	19 (54.3%)
Nurture Group/Encouragement of social interaction/monitoring	12 (41.4%)	4 (66.7%)	16 (45.7%)
Learning Needs Assessment	4 (13.8%)	2 (33.3%)	6 (17.1%)
In school Lego Based Therapy	3 (10.3%)	0 (0%)	3 (8.6%)
Parent Support	3 (10.3%)	0 (0%)	3 (8.6%)
Dyslexia Assessment	3 (10.3%)	0 (0%)	3 (8.6%)
Dyscalculia Assessment/Maths Skills Support	1 (3.4%)	0 (0%)	1 (2.9%)
Ed Psych/Cognitive Assessment	9 (31.0%)	0 (0%)	9 (25.7%)
Formal EHCP triggered	5 (17.2%)	0 (0%)	5 (14.3%)
Visual Aids and/or vision assessment	5 (17.2%)	0 (0%)	5 (14.3%)
In school Creative Activities groups	3 (10.3%)	0 (0%)	3 (8.6%)
Gross Motor Skills Support	3 (10.3%)	1 (16.7%)	4 (11.4%)
Physical Health Check	2 (6.9%)	0 (0%)	2 (5.7%)
In school Social Story intervention	2 (6.9%)	0 (0%)	2 (5.7%)
New Adaptations in Classrooms	6 (20.7%)	0 (0%)	6 (17.1%)
Occupational Therapy assessment	1 (3.4%)	0 (0%)	1 (2.9%)
Other group support	1 (3.4%)	0 (0%)	1 (2.9%)
Attention Concentration Support	6 (20.7%)	1 (16.7%)	7 (20.0%)

STROBE Statement—Checklist of items that should be included in reports of *cohort studies*

Item No	Item No	Recommendation
Title and abstract	1	<p>A systematic approach to school based assessments for Autism Spectrum Disorders to reduce inequalities? A feasibility study in ten primary schools.</p> <p>Objectives: This was a pilot study to explore whether the Early Years Foundation Stage Profile (EYFSP) carried out by teachers at the end of Reception year, followed by the Social Communication Questionnaire (SCQ) can lead to an earlier identification of children with Autism Spectrum Disorders (ASD), earlier access to intervention and reduce inequity in access to assessment and intervention.</p> <p>Design: Pragmatic prospective cohort</p> <p>Setting: 10 primary schools from the SHINE project in Bradford</p> <p>Participants: 587 from 10 schools who transitioned from Reception to Year 1 in July 2017 and had the EYFSP completed were finally included in the study</p> <p>Interventions: The assessment involved a team of three multidisciplinary staff who completed the Autism Diagnostic Interview Revised (ADI-R), the Autism Diagnostic Observation Schedule Version2 (ADOS-2), classroom observations with an ASD checklist, a teacher based ASD questionnaire and a final consensus meeting.</p> <p>Primary outcome measure: NICE guideline compliant clinical diagnosis of ASD.</p> <p>Secondary outcome measures: age of diagnosis, demographic data and feasibility parameters.</p> <p>Results: Children who scored low on the EYFS were more likely to score above the SCQ threshold of 12(indicating potential autism), 50% compared to 19% of children not scoring low on the EYFS (<math>p &lt; 0.001</math>). All children scoring above the SCQ received a full autism assessment; children who scored low on the EYFS were more likely to be diagnosed with autism (and other developmental issues) compared to those who did not score low on the EYFS.</p> <p>Conclusions: We identified 9 new children with a diagnosis of ASD, all from ethnic minorities suggesting that this process may be addressing inequalities in early diagnosis found in previous studies. All children who scored above the threshold in the SCQ, required support and this was because the EYFSP questionnaire preceded it thereby including at risk children.</p>
<b>Introduction</b>		
Background/rationale	2	<p>Autism Spectrum Disorders (ASD) occur in approximately 1.6% of the UK population. Early identification and Early intervention has shown initial promise in improving outcomes. Whilst screening young children in early education settings has been attempted it identifies large numbers of children (14%) with relatively low numbers identified with ASD making cost effective whole population screening problematic. More nuanced approaches need to be developed. One promising approach would be to identify at risk populations and use screening and assessment processes within those groups. How to identify risk populations requires further research</p>
Objectives	3	<p><i>Early Years Foundation Stage Profile (EYFSP)</i></p> <p>A large survey of parents in the UK describes late diagnosis in primary school despite symptoms being present from infancy and the Care Quality Commission found children with ASD having long waits for diagnosis and interventions. Recent studies suggest that using the Early Years Foundation Stage Profile may identify children with higher risk of having an ASD. The EYFSP is completed by teachers in England at the end of the reception year and scores 17 different domains of development in terms of whether a child is at an expected level, ahead or behind that level. It is used as a mechanism for flagging children who may need additional help in school and to benchmark UK school profiles.</p> <p><i>Equality of Access</i></p> <p>Recent work by the same group has also shown that the diagnosis of autism is less likely to be</p>

made early in families from poor backgrounds or from families from ethnic minority groups showing inequalities reported elsewhere. This problem with equity of access would be well served by having a more widely available process for identifying children for neurodevelopmental disorder assessment as early as is practicable. One mechanism for improving equity of access is school based assessment.

#### *Reasons for feasibility work*

To plan a larger study it is necessary to gather feasibility information for improved assessment processes. We report a feasibility study of a two stage screening process involving the EYFSP followed by an established well validated ASD screening questionnaire, the Social Communication Questionnaire (SCQ). We sought to test the feasibility of a process where children went through this screening process and were then assessed more comprehensively for ASD in schools with education and health professionals working together over one day.

<b>Methods</b>		
Study design	4	This research was set within the larger Born in Bradford cohort research. We obtained consent from 10 primary schools in an existing project, the SHINE project.
Setting	5	The assessments took place in those 10 schools in Bradford between September 2018 and July 2019. The assessment involved a team of three multidisciplinary staff drawn from a bank of child and adolescent mental health service (CAMHS) clinicians and educational psychologists. The assessment was completed in school in one day. One experienced clinician who was trained in the ADIR carried out this parent based semi-structured interview with a parent or primary care giver. Two other professionals (usually an educational psychologist and a clinical psychologist or child psychiatrist) trained in the ADOS-2 carried out this play/interaction based assessment with the child, using the most appropriate module depending on their developmental ability and language development. This was carried out by one person and observed by a second person and information shared during coding. One of the clinicians also observed the child in class with a bespoke ASD checklist. The clinicians went through a teacher based questionnaire related to the teacher's experiences of the child's skills and behaviour including the main symptoms of ASD using the World Health Organisation International Classification of Diseases Version 10 Research Diagnostic Criteria. Finally there was a consensus meeting with the three external assessors and the teacher identifying an overall consensus for the presence or absence of definite, possible or no difficulties in the 12 main research diagnostic criteria areas for Autism Spectrum Disorder diagnosis. In the afternoon each of the clinicians contributed to one single report using a range of sub-headings and organising material according to those sub-headings. This included a final consensus formulation, a description of strengths and difficulties and a range of recommendations.
Participants	6	596 children in year 5 were available in 10 primary schools and we approached all of those who had received an Early Years Foundation Stage Profile scored by their teachers at the end of reception year in the summer of 2017.
Variables	7	As agreed in ethical approvals the report fell short of making an NHS diagnosis (since this was a research project). Where appropriate it was suggested that referral was made through appropriate local assessment pathways with the report. A range of other recommendations were made including referral elsewhere such as speech and language therapy assessment, physical health checks or a proposed assessment for an Education Health Care Plan, educational psychology assessment or a range. Given the breadth of experience of the assessing professionals and the teacher, a number of possible recommendations for assessment were possible.

#### *Feasibility Outcomes*

Feasibility outcomes were collected such as numbers consenting, attrition rates after consent, acceptability of assessment elements, recording of any language or interpreting issues and the acceptability and completion of questionnaires.

Data sources/ measurement	8*	As described above
Bias	9	The assessments were performed by three independent clinicians using standardised tools according to the NICE guidelines criteria for an ASD assessment.
Study size	10	The teachers of children with low EYFSP scores and a 15% randomised sub-group of those with high scores (10 or more) completed a Social Communication Questionnaire (SCQ), which is a well-established validated autism screening questionnaire with good sensitivity and specificity scores. A threshold score of 12 or above on the SCQ was chosen, based on previous research; suggesting this is the best cut off for the optimum sensitivity to discriminate between children with and without ASD.
Quantitative variables	11	We carried qualitative interviews to obtain in-depth information from parents, teachers and clinicians about the acceptability, usefulness and real world provision of the assessment process.
Statistical methods	12	Data linkage allowed us to combine school and health data. All those children and families with low EYFSP scores and above threshold SCQ (>12) were offered a NICE guideline compliant ASD Assessment, with additional clinical screening assessment for other developmental problems. A 15% randomised sub-group of those scoring high (10 or more) in EYFSP had the SCQ completed and those who scored high (10 or more) in EYFSP and 12 or above on the SCQ were then also assessed comprehensively in the same way. In order to check for false negatives we added an additional screening check where those children in the above groups given the SCQ scored below the threshold of 12 where their teacher filled in a narrative behaviour questionnaire mapping to the WHO research diagnostic criteria for ASD. This yields a score of 0-12 to identify areas of concern in any of the 12 symptom groups for ASD. Any child who had already had a diagnosis on the Autism Spectrum from the local diagnostic services was also noted. Finally, sensitivity analysis was carried out using a cut of 15 or the SCQ instead of 12 as this has been used in some studies.
<b>Results</b>		
Participants	13*	There were 596 children in the 10 schools, 587 were included in the study as 9 children from this cohort had a pre-existing autism diagnosis. 14 families decided that they did not want to be part of the study and did not consent. Two families moved to a different school.  Figures to be separately attached
Descriptive data	14*	There were 596 children in the 10 schools, 587 were included in the study as 9 children from this cohort had a pre-existing autism diagnosis (Figure 1). 14 families decided that they did not want to be part of the study and did not consent. Two families moved to a different school. 510 children scored 10 or above on the Early Years Foundation Stage Profile and 86 children scored 9 or below (at risk children). Of the 86 children scoring 9 or below, 8 (9%) of these children already had a diagnosis on the autism spectrum and the remainder were given the Social Communication Questionnaire (SCQ) with threshold results for 12 and 15 reported below.

## SCQ Scores (those score 12 or above)

Autism Spectrum Disorder	Low EYFSP	Not Low EYFSP
Yes	9	0
No	20	6
Total	29	6

31% of those with Low EYSFP had diagnosis of ASD

## SCQ Scores (those score 15 or above)

Autism Spectrum Disorder	Low EYFSP	Not Low EYFSP
Yes	8	0
No	13	3
Total	21	3

38% of those with Low EYSFP had diagnosis of ASD

All but one of the children who were met the criteria for a diagnosis of ASD had a SCQ of 15 or above.

Of the 510 children screened 10 or above (i.e. a low risk score) on the Early Years Foundation Stage Profile 1 child had a diagnosis on the Autism Spectrum already. We randomised 15% of these children to carry out the SCQ and so 78 families completed this with 15 of them scoring 12 or above on the SCQ with 61 scoring under 12 and 2 lost follow ups. The comprehensive Autism assessments described were offered to 54 children scoring greater than or equal to 12 on the SCQ from the children scoring 9 or below on the EYFSP with 39 carried out and with the random sub-group of those scoring 10 or above (n=15). Teachers to complete a comprehensive questionnaire based on the WHO research diagnostic criteria for ASD for 20 out of 39 children who scored 9 and below in EYFSP and less than 12 in SCQ as well as 33 out of 61 children who scored 10 or more in EYFSP and less than 12 in SCQ. We received a total of 53 questionnaires and none of them scored more than 2 out of 12 on the research diagnostic criteria risk checklist, all below the level where a diagnosis of ASD would be likely. The large majority (88.68%) had 0 symptoms.

Outcome data	15*	See below
Main results	16	Those in group A (who score low on the EYFSP sub-score pre-screen) are more likely to be identified as potentially at risk of having ASD on the SCQ screening test compared to those in group B (those who do not score low on the EYFSP sub-score pre-screen); 50% of those in group A scored 12 or above on the SCQ, compared to 19% in group B (see table 1).

**Table 1:**

EYFSP sub-score pre-screen	SCQ Screen		Total
	High SCQ	Low SCQ	
Group A	50%	50%	78
Group B	19%	81%	78
Total	35%	65%	156

Pearson chi2(1) = 16.3137 p < 0.001

Group A are those scoring low on the EYFSP sub-score pre-screen score  
 Group B are those not scoring low on the EYFSP sub-score pre-screen score  
 High SCQ are those that score at least 12 on SCQ (potential autism)  
 Low SCQ are those that score less than 12 on SCQ (not potential autism)

Families of children who scored 12 or more on the SCQ screening tool who were then offered a full autism assessment, are described in table 2. Those who score low on the EYFSP sub-score pre-screen and then who go onto score high on the SCQ score (indicating potential autism) are much more likely to be diagnosed with ASD after the full assessment, compared to those in group B (those who did not score low on the EYFSP sub-score pre-screen and then who go onto score high on the SCQ score).

31% of those in group A with a SCQ of 12 or more met the research diagnostic criteria for ASD diagnosis.

None of those in group B with a SCQ of 12 or more met the research diagnostic criteria for ASD diagnosis.

Other analyses 17 Table 3 and 4 indicate the suggested referrals to other services that arose from the assessment, suggesting that this process may be useful in identifying children with a range of developmental problems and not simply those with ASD.

Table 3 Outcomes of assessments for those children with a SCQ score of 12 or above:

	Group A2:	Group B2	Groups
A2 & B2			
Referral to service	Pre-screen: Low EYFSP sub-		
score	Pre-screen: Not low EYFSP		
(n = 29)	Total with autism assessment		
sub-score (n=6)			
(n = 35)			
Autism Spectrum Disorder	9 (31.0%)	0 (0%)	9
(25.7%)			
Assessed Need for External (outside school system) support	22 (75.9%)	3 (50.0%)	
	25 (71.4%)		
Assessed Need for Internal (within school system) support	29 (100%)	5 (83.3%)	
	34 (97.1%)		
Assessed need for Internal or External Support	29 (100%)	6 (100%)	
	35 (100%)		

Table 4: Recommendations from assessing clinicians about additional support needed for 35 assessed children

	Group A:	Group B	Group
A & B			



1	Enacted Onward Referral to service	Pre-screen: Low EYFSP sub-
2	score	
3	(n = 29)	Pre-screen: Not low EYFSP
4	sub-score (n=6)	Total with autism assessment
5	(n = 35)	
6	Autism Spectrum Disorder	9 (31.0%) 0 (0%) 9
7	(25.7%)	
8	Speech and Language Therapy Assessment	16 (55.2%) 3 (50.0%)
9		19 (54.3%)
10	Nurture Group/Encouragement of social interaction/monitoring	12 (41.4%) 4 (66.7%)
11		16 (45.7%)
12	Learning Needs Assessment	4 (13.8%) 2 (33.3%)
13		6 (17.1%)
14	In school Lego Based Therapy	3 (10.3%) 0 (0%) 3
15	(8.6%)	
16	Parent Support	3 (10.3%) 0 (0%) 3
17	(8.6%)	
18	Dyslexia Assessment	3 (10.3%) 0 (0%) 3
19	(8.6%)	
20	Dyscalculia Assessment/Maths Skills Support	1 (3.4%) 0 (0%) 1
21	(2.9%)	
22	Ed Psych/Cognitive Assessment	9 (31.0%) 0 (0%) 9
23	(25.7%)	
24	Formal EHCP triggered	5 (17.2%) 0 (0%) 5
25	(14.3%)	
26	Visual Aids and/or vision assessment	5 (17.2%) 0 (0%) 5
27	(14.3%)	
28	In school Creative Activities groups	3 (10.3%) 0 (0%) 3
29	(8.6%)	
30	Gross Motor Skills Support	3 (10.3%) 1 (16.7%)
31		4 (11.4%)
32	Physical Health Check	2 (6.9%) 0 (0%) 2
33	(5.7%)	
34	In school Social Story intervention	2 (6.9%) 0 (0%) 2
35	(5.7%)	
36	New Adaptations in Classrooms	6 (20.7%) 0 (0%) 6
37	(17.1%)	
38	Occupational Therapy assessment	1 (3.4%) 0 (0%) 1
39	(2.9%)	
40	Other group support	1 (3.4%) 0 (0%) 1
41	(2.9%)	
42	Attention Concentration Support	6 (20.7%) 1 (16.7%)
43		7 (20.0%)
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We checked the GP records of those 35 children identified as having low (29 children) and not low (6 children) EYFSP scores and 12 or above on the SCQ. Only 4 of these children had previously had any READ codes recorded for intellectual disability, language delay or disorder,

ADHD or ASD, all four being recorded as having speech delay or disorder of speech and language. Two of these four children were assessed in our study as meeting the criteria for ASD. The remaining 31 children with low and not low EYFSP and SCQ > 12 had no GP recorded Read codes but all 31 had additional needs newly identified in our assessments (see table 4). This shows that of the 35 children 31 would gain new interventions as a result of our assessment processes that they were not currently accessing. All 9 of the children who were newly diagnosed with ASD by this research were from an ethnic minority background.

## Discussion

Key results	18	<p>This study has shown that it is feasible to carry out a larger study of a new assessment care pathway for neurodevelopmental problems across a district.</p> <p>In our trial the EYFSP pre-screen identified 13% of the pupil population (78 pupils scoring less than 10 on the EYFSP out of 587 pupils). Of this 13% of pupils half then go on to score high on the SCQ; so that approximately 6.5% of the pupil population would receive an autism assessment with the addition of the EYFSP pre-screen. This compares with 14% in similar early life screening studies without a pre-screen stage. This has potential cost-effectiveness benefits that we were unable to test but should be key parts of future research.</p> <p>A recent paper suggests that, based on the cut off at 12, the sensitivity of the SCQ is 42% and the specificity 89%. Whilst we cannot accurately assess sensitivity in our study as we have not assessed all the children in the sample, we used teacher based questionnaires (with ASD research diagnostic criteria) in 33 children with normal EYFSP scores and low SCQ scores and none had more than 2 flagged areas of concern on the research diagnostic criteria symptom list for ASD (5-6 is the threshold for diagnosis). This suggests that further research may reveal an improved sensitivity when EYFSP is used as a pre-screen before SCQ.</p> <p>This study has shown that there may be promising alternatives to existing assessment pathways for ASD (i.e. the use of EYFSP sub-score as a pre-screen tool, prior to SCQ screening). Advantages to the clinical process include the fact that information can be gathered from the school with those who know the child best (parents/carers and teacher) in one day in an environment known to the child, which may give a more accurate assessment. Previous studies using screening instruments with similar sample sizes have found a third of the sample are lost to follow up. Our study has vastly lower attrition because of the close link with the clinical teams into schools where parents are in regular contact. The early identification of ASD means that children can access the best educational placement early and allows the local authority to plan its services and resources. It may resolve inequalities seen in previous studies where sections of the population do not come forward for assessment.</p>
Limitations	19	<p>The study was limited by its size suggesting further larger district level research with cost-effectiveness analysis needs to take place.</p>
Interpretation	20	<p>This study identified a number of new children (n=9) with a diagnosis of ASD. This has enabled support to be established early. All of these children were from ethnic minorities suggesting that this process may be addressing inequalities in early diagnosis found in previous studies, although this would need further larger research to confirm. In other studies using the Social Communication Questionnaire, when children score above the threshold but do not have ASD, approximately 90% have a neurodevelopmental disorder or developmental problem of some sort requiring identification and support. In our study using the EYFSP this was 100% since all children had identified support needs.</p>
Generalisability	21	<p>The study gave promising results for a bigger study which could potentially include a larger</p>

1 number of participants

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2 **Other information**

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3  
4 Funding 22 The work was conducted within infrastructure provided by the Centre for Applied Education  
5 Research ([www.caer.org.uk](http://www.caer.org.uk)), and funded by the Department for Education through the Bradford  
6 Opportunity Area. The views expressed are those of the author(s), and not necessarily those of  
7 the NHS, the Bradford Local Authority or the Department for Education.  
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11 \*Give information separately for exposed and unexposed groups.

12  
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14 **Note:** An Explanation and Elaboration article discusses each checklist item and gives methodological background and  
15 published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely  
16 available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at  
17 <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is  
18 available at <http://www.strobe-statement.org>.  
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# BMJ Open

## A systematic approach to school based assessments for Autism Spectrum Disorders to reduce inequalities: A feasibility study in ten primary schools.

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V6 18. 06. 2020

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# **A systematic approach to school based assessments for Autism Spectrum Disorders to reduce inequalities: A feasibility study in ten primary schools.**

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V6 18. 06. 2020

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3 **Abstract:**  
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6 **Objectives:** This was a pilot study to explore whether the Early Years Foundation Stage  
7 Profile (EYFSP) carried out by UK teachers within 'Reception' year, combined with the Social  
8 Communication Questionnaire (SCQ) can lead to an earlier identification of children with  
9 Autism Spectrum Disorders (ASD), earlier access to intervention, and reduce inequity in  
10 access to assessment and intervention.  
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14 **Design:** Pragmatic prospective cohort.  
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16 **Setting:** Ten primary schools from the SHINE project in Bradford.  
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18 **Participants:** Five hundred eighty seven (587) pupils from ten schools who transitioned from  
19 Reception to Year 1 in July 2017 and had the EYFSP completed were included in the final  
20 study.  
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23 **Interventions:** The assessment involved: a multidisciplinary team of three staff who  
24 completed the Autism Diagnostic Interview Revised (ADI-R), the Autism Diagnostic  
25 Observation Schedule Version2 (ADOS-2), classroom observations with an ASD checklist, a  
26 teacher based ASD questionnaire, and a final consensus meeting.  
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29 Primary outcome measure: NICE guideline compliant clinical diagnosis of ASD.  
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31 Secondary outcome measures: age of diagnosis, demographic data and feasibility  
32 parameters.  
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35 **Results:** Children with low scores on the EYFS were more likely to score above the SCQ  
36 threshold of 12 indicating potential autism (50% compared to 19% of children with high  
37 scores on the EYFS ( $p < 0.001$ )). All children scoring above SCQ threshold received a full  
38 autism assessment; children who scored low on the EYFS were more likely to be diagnosed  
39 with autism (and other developmental issues) compared to those who did not.  
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43 **Conclusions:** We identified nine new children with a diagnosis of ASD, all from ethnic  
44 minorities suggesting that this process may be addressing the inequalities in early diagnosis  
45 found in previous studies. All children who scored above the SCQ threshold required  
46 support (i.e. had a neurodevelopmental disorder), indicating the EYFSP questionnaire  
47 captured 'at risk' children.  
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V6 18. 06. 2020

### Strengths and limitations of the study:

- Consent was sought from all parents regardless of language by flexible use of interpreters.
- Education and Health data was shared yielding significant benefits
- We conducted the SCQ (threshold of 12) with children who scored  $\leq 9$  in the EYFSP and a random sub-sample from the high EYFSP group (15% of children  $\geq 10$ )
- All children with a score of  $\geq 12$  on the SCQ received a detailed comprehensive ASD assessment and the rest had a teachers' screening questionnaire
- Any child who had already had a diagnosis on the Autism Spectrum from the local diagnostic services was also noted



V6 18. 06. 2020

## Introduction

### *What is Autism*

Autism Spectrum Disorders (ASD) occur in approximately 1.6% of the UK population (1).

ASD is a neurodevelopmental condition that often includes a range of repetitive behaviours, preoccupations and interests (2), and large developmental differences in social communication relative to neuro-typically developing individuals (3). ASD leads to a need for different approaches to education (4) and parenting (5), (6), which can be costly for local authorities (7) and stressful for parents and family (8); (9).

### *Early identification*

Early identification and early intervention has shown promise in improving outcomes (10), (5). Screening young children in early education settings has been attempted, but captures relatively low numbers of children with ASD (11) despite large numbers (14%) being identified at risk. This has made cost effective whole population screening problematic (12), and there is a need for more nuanced approaches. The ability to use routine data to identify 'at risk' populations remains the holy grail of autism assessment (12). The need for such approaches was shown within a large survey of parents in the UK who reported receiving a diagnosis late in primary school despite symptoms being present from infancy (13). This was confirmed by the Care Quality Commission who reported that children with ASD experience long waits for diagnosis and interventions (14).

### *Early Years Foundation Stage Profile (EYFSP)*

Recent studies suggest that using the Early Years Foundation Stage Profile (15) may identify children with higher risk of having an ASD (16). The EYFSP is completed by teachers in England at the end of the reception year and scores 17 different domains of development in terms of whether a child is at an expected level, ahead, or behind that level. It is used as a mechanism for flagging children who may need additional help in school and to benchmark UK school profiles (15).

V6 18. 06. 2020

### *Equality of Access*

Recent work has shown that the diagnosis of autism is less likely to be made early in families from poor backgrounds or from families from ethnic minority groups (17) - reflecting inequalities reported elsewhere (18). This problem with equity of access could be addressed by having a more widely available process for identifying children with neurodevelopmental disorder as early as possible. One mechanism for improving equity of access is school based assessments (19).

### *Reasons for feasibility work*

In order to plan a larger study, it is necessary to gather feasibility information for improved assessment processes. We report a feasibility study of a two stage screening process involving the EYFSP followed by an established well validated ASD screening questionnaire = the Social Communication Questionnaire (20). We sought to test the feasibility of a process where children went through this screening process and were then assessed more comprehensively for ASD *in schools* with education and health professionals working together over the course of one day.

## **Methodology**

### *Background*

The research was set within the larger Born in Bradford cohort study (21). We obtained consent from 10 primary schools in an existing consortium, the SHINE partnership. The SHINE group is a group of ten primary schools that act as a testbed for new approaches to improve services, reduce inequalities, and test innovations (22). We obtained ethical approval from University of Leeds and Bradford Teaching Hospitals NHS Foundation Trust (IRAS Number: 233328).

### *Consent*

All parents were approached with a family information leaflet and a consent form. A researcher was available by phone, email, or face-to-face for those wishing to discuss the project further. Interpreters were available because many of the population had a first language that was not English.

V6 18. 06. 2020

### *Design*

Five hundred and ninety six (596) children in Year 5 were available in 10 primary schools and we approached all of those who had received an Early Years Foundation Stage Profile scored by their teachers at the end of reception year in the summer of 2017.

The study was designed to test feasibility for a larger study.

### *Measures*

A screening measure to identify children at risk was derived from five items of the EYFSP carried out by teachers at the end of reception year. The measure was taken from the four main symptom areas defined in the research diagnostic criteria for ASD – namely, social reciprocity, language and communication, imagination delays, and repetitive and stereotyped patterns of behaviour. This is described in more detail in a previous study (16). EYFSP assessment scores are recorded for children in Reception who are aged from 4 to 5 years. The assessments conducted by the clinicians occurred in Year 1 when children are typically aged 5 to 6 years of age. We chose a score threshold of 9 which a previous study found to be significantly (statistically) associated with over 50 times the risk of autism: 52.7 (95% CI: 25.2 - 110.5). (16). Children were dichotomously grouped into 'low' ( $\leq 9$ ) and 'high' ( $\geq 10$ ) scorers.

The teachers of children with low EYFSP scores and a 15% randomised sub-group of those with high scores ( $\geq 10$ ) completed a Social Communication Questionnaire (SCQ) (23), which is a well-established validated autism screening questionnaire with good sensitivity and specificity scores. In previous studies the SCQ has been found to be helpful in identifying young children with ASD (24). A threshold score of  $\geq 12$  on the SCQ was chosen based on previous research (25), with claims that this is the best threshold with the optimum sensitivity to discriminate between children with and without ASD (26). A sensitivity analysis was prospectively agreed for the threshold of  $\geq 15$ .

### *Methods*

Data linkage allowed us to combine school and health data (26).

V6 18. 06. 2020

All those children and families with low EYFSP scores and above threshold SCQ (>12) were offered a NICE guideline compliant ASD Assessment, with additional clinical screening assessment for other neurodevelopmental problems including speech and language difficulties, learning difficulties, physical health problems, anxiety, and low self-esteem. A 15% randomised sub-group of those scoring high ( $\geq 10$ ) in EYFSP had the SCQ completed and those who scored  $\geq 10$  in EYFSP and  $\geq 12$  on the SCQ were then also assessed comprehensively in the same way. There were 596 children in the 10 schools, 587 were included in the study as nine children from this cohort had a pre-existing autism diagnosis. Fourteen (14) families decided that they did not want to be part of the study and did not consent. Two families moved to a different school (Figure 1).

### **Insert Figure 1 here**

In order to check for false negatives, we added an additional screening check for the children in the above groups. In cases where the SCQ was scored below the threshold of 12, teachers filled in a narrative behaviour questionnaire mapping to the WHO research diagnostic criteria for ASD (28). This yields a score of 0-12 to identify areas of concern in any of the twelve symptom groups for ASD (28). Any child who had already had a diagnosis on the Autism Spectrum from the local diagnostic services was also noted.

Finally, sensitivity analysis was carried out using a cut off 15 of the SCQ instead of 12 as this has been used in some studies (27).

### *Patient and Public Involvement*

There has been strong involvement and co-design of this research through the Born in Bradford governors' group, the Connected Yorkshire Patient and Public Involvement panel, SHINE schools, parents, young people, and other stakeholders. They have been supportive in the preparatory workshops, feasibility phases and information design of the study. We consulted with the Connected Yorkshire Patient and Public Involvement panel throughout the life cycle of this study who acknowledged the importance to improve the pathway to earlier diagnosis of Child ASD to improve children's health and wellbeing outcomes. The panel consists of parents that have children diagnosed with Child ASD or have children that are on the neurodevelopmental disorder care pathways. Some of the discussions focussed

V6 18. 06. 2020

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3 on the stigma within certain communities in Bradford with certain mental health issues  
4 which result in parents not acknowledging the child's health issues and seeking diagnosis  
5 earlier or seeking the appropriate support across health or the education sectors.  
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12 We have also extensively engaged with the Headteachers at the Bradford SHINE primary  
13 schools and other school staff who helped to inform parents of the study and in the  
14 recruitment phase. The Bradford SHINE schools were actively involved in the design and  
15 implementation phase and wish to acknowledge our gratitude in the supporting, co-  
16 designing, and active involvement in this study.  
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22 We disseminated information on the study via the local radio stations including Bradford  
23 Ramadan, BBC Radio 4 and via a following website to inform individuals of the research that  
24 is being undertaken in the region.  
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28 Website: <https://caer.org.uk/autism-spectrum-conditions/>  
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31 We have also disseminated the results of the study via dedicated workshops at the Born in  
32 Bradford event in September 2019 and a further workshop in January 2020. These  
33 workshops consisted of a broad range of professional stakeholders from health and  
34 education across the region that are involved in the care pathway as well as public  
35 representation. The discussions have evolved to how the research study could be scaled  
36 across the region.  
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#### 46 *The Autism Assessment*

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48 The assessments took place in the 10 schools in Bradford between September 2018 and July  
49 2019. The assessment involved a team of three multidisciplinary staff drawn from a bank of  
50 child and adolescent mental health service (CAMHS) clinicians, and educational  
51 psychologists. The assessment was completed in school in one day. One experienced  
52 clinician who was trained in the ADI-R (28) carried out the parent based semi-structured  
53 interview with a parent or primary care giver. Two other professionals (usually an  
54 educational psychologist and a clinical psychologist or child psychiatrist) trained in the  
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V6 18. 06. 2020

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3 ADOS-2 (29) carried out the play/interaction based assessment with the child, using the  
4 most appropriate module depending on the child's developmental ability and language  
5 development. The assessment was carried out by one person and observed by a second  
6 with information shared during coding. One of the clinicians also observed the child in class  
7 with a bespoke ASD checklist. The clinicians went through a teacher based questionnaire  
8 related to the teacher's experiences of the child's skills and behaviour, including the main  
9 symptoms of ASD, using the World Health Organisation International Classification of  
10 Diseases Version 10 Research Diagnostic Criteria (30). Finally there was a consensus  
11 meeting with the three external assessors and the teacher, identifying an overall consensus  
12 for the presence or absence of definite, possible or no difficulties in the twelve main  
13 research diagnostic criteria areas for Autism Spectrum Disorder diagnosis (28). In the  
14 afternoon, each of the clinicians contributed to one single report using a range of sub-  
15 headings, and organised material according to those sub-headings. This included a final  
16 consensus formulation, a description of strengths and difficulties and a range of  
17 recommendations. As agreed in ethical approvals the report fell short of making an NHS  
18 diagnosis (since this was a research project). It was suggested where appropriate that  
19 referral was made through appropriate local assessment pathways with the report. A range  
20 of other recommendations were made including referral elsewhere (e.g. speech and  
21 language therapy assessment), physical health checks or a proposed assessment for an  
22 Education Health Care Plan, educational psychology assessment or a range of actions. Given  
23 the breadth of experience of the assessing professionals and the teacher, a number of  
24 possible recommendations for assessment were possible.

### 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 *Feasibility Outcomes*

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49 Feasibility outcomes were collected. These included numbers consenting, attrition rates after  
50 consent, acceptability of assessment elements, recording of any language or interpreting  
51 issues and the acceptability and completion of questionnaires.

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56 We conducted qualitative interviews to obtain in-depth information from parents, teachers  
57 and clinicians about the acceptability, usefulness and real-world provision of the assessment  
58 process.  
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V6 18. 06. 2020

## Results

Five hundred and ten (510) children scored  $\geq 10$  on the Early Years Foundation Stage Profile and 86 children scored  $\leq 9$  (at risk children). Of the 86 children scoring  $\leq 9$ , eight (9%) already had a diagnosis on the autism spectrum and the remainder were given the Social Communication Questionnaire (SCQ) with threshold results for  $\geq 12$  and 15 reported below (31).

**Table 1 – Percentage of children who met the threshold for ASD with threshold results  $\geq 12$  and 15 in the SCQ**

SCQ Scores (those score 12 or above)		
Autism Spectrum Disorder	Low EYFSP	Not Low EYFSP
Yes	9	0
No	20	6
<b>Total</b>	<b>29</b>	<b>6</b>
31% of those with Low EYSFP had diagnosis of ASD		
SCQ Scores (those score 15 or above)		
Autism Spectrum Disorder	Low EYFSP	Not Low EYFSP
Yes	8	0
No	13	3
<b>Total</b>	<b>21</b>	<b>3</b>
38% of those with Low EYSFP had diagnosis of ASD		

All but one of the children who were met the criteria for a diagnosis of ASD had a SCQ of 15 or above meaning that 11 assessments were needed to identify one extra child with ASD.

Of the 510 children with  $\geq 10$  on the Early Years Foundation Stage Profile (i.e. a low risk score), one child already had a diagnosis on the Autism Spectrum. We conducted the SCQ on a randomised sample (15%) of these children. Seventy eight families completed the SCQ with fifteen scoring  $\geq 12$  on the SCQ, 61 scoring  $\leq 11$ , and two lost during follow up. The comprehensive Autism assessments described were offered to 54 children scoring  $\geq 12$  on the SCQ from the children scoring 9 or below on the EYFSP with 39 carried out and with the random sub-group of those scoring 10 or above (n=15). Teachers completed a

V6 18. 06. 2020

comprehensive questionnaire based on the WHO research diagnostic criteria for ASD for 20 out of 39 children who scored  $\leq 9$  in EYFSP and  $\leq 11$  in SCQ, as well as 33 out of 61 children who scored  $\geq 10$  in EYFSP and  $\leq 11$  in SCQ. We received a total of 53 questionnaires and none of them scored more than 2 out of 12 on the research diagnostic criteria risk checklist, all below the level where a diagnosis of ASD would be likely. The large majority (88.68%) had zero indicators.

Those in group A (who scored low on the EYFSP sub-score pre-screen) were more likely to be identified as potentially at risk of having ASD on the SCQ screening test compared to those in group B (those who did not score low on the EYFSP sub-score pre-screen); 50% of those in group A scored  $\geq 12$  on the SCQ, compared to 19% in group B (see table 2).

**Table 2: Comparison between EYFSP and SCQ groups**

<i>EYFSP sub-score pre-screen</i>	<i>SCQ Screen</i>		Total
	High SCQ	Low SCQ	
Group A	50%	50%	78
Group B	19%	81%	78
<b>Total</b>	<b>35%</b>	<b>65%</b>	<b>156</b>

Pearson  $\chi^2(1) = 16.3137$   $p < 0.001$

Group A are those scoring low on the EYFSP sub-score pre-screen score

Group B are those not scoring low on the EYFSP sub-score pre-screen score

High SCQ are those that score at least 12 on SCQ (potential autism)

Low SCQ are those that score less than 12 on SCQ (not potential autism)

Families of children who scored  $\geq 12$  on the SCQ screening tool who were then offered a full autism assessment, are described in Table 2. Those who scored low on the EYFSP sub-score pre-screen and then scored high on the SCQ score (indicating potential autism spectrum



V6 18. 06. 2020

disorder) were much more likely to be diagnosed with ASD after the full assessment, compared to those in group B (those who did not score low on the EYFSP sub-score pre-screen and then scored high on the SCQ score). Thirty one percent of those in group A with a SCQ of  $\geq 12$  met the research diagnostic criteria for ASD diagnosis. None of those in group B with a SCQ of  $\geq 12$  met the research diagnostic criteria for ASD diagnosis.

Table 3 and 4 indicate the suggested referrals to other services that arose from the assessment, indicating that this process may be useful in identifying children with a range of neurodevelopmental problems and not simply those with ASD.

**Table 3 Assessment outcomes according to risk groups for children scoring at least 12 on the SCQ (potential autism):**

<i>Referral to service</i>	Group A2	Group B2	Groups A2 & B2
	<i>Pre-screen: Low EYFSP sub-score (n = 29)</i>	<i>Pre-screen: Not low EYFSP sub-score (n=6)</i>	<i>Total with autism assessment (n = 35)</i>
<b>Autism Spectrum Disorder</b>	<b>9 (31.0%)</b>	<b>0 (0%)</b>	<b>9 (25.7%)</b>
<b>Assessed Need for External (outside school system) support</b>	<b>22 (75.9%)</b>	<b>3 (50.0%)</b>	<b>25 (71.4%)</b>
<b>Assessed Need for Internal (within school system) support</b>	<b>29 (100%)</b>	<b>5 (83.3%)</b>	<b>34 (97.1%)</b>
<b>Assessed need for Internal or External Support</b>	<b>29 (100%)</b>	<b>6 (100%)</b>	<b>35 (100%)</b>

Group A2 are those scoring low on the EYFSP sub-score pre-screen score and scoring at least 12 on SCQ (potential autism)

Group B2 are those not scoring low on the EYFSP sub-score pre-screen score and scoring at least 12 on SCQ (potential autism)

V6 18. 06. 2020

**Table 4: Recommendations from assessing clinicians about additional support needed for 35 assessed children**

	<b>Group A2</b>	<b>Group B2</b>	<b>Group A2 &amp; B2</b>
<b>Enacted Onward Referral to service</b>	Pre-screen: Low EYFSP sub-score (n = 29)	Pre-screen: Not low EYFSP sub-score (n=6)	Total with autism assessment (n = 35)
<b>Autism Spectrum Disorder</b>	9 (31.0%)	0 (0%)	9 (25.7%)
<b>Speech and Language Therapy Assessment</b>	16 (55.2%)	3 (50.0%)	19 (54.3%)
<b>Nurture Group/Encouragement of social interaction/monitoring</b>	12 (41.4%)	4 (66.7%)	16 (45.7%)
<b>Learning Needs Assessment</b>	4 (13.8%)	2 (33.3%)	6 (17.1%)
<b>In school Lego Based Therapy</b>	3 (10.3%)	0 (0%)	3 (8.6%)
<b>Parent Support</b>	3 (10.3%)	0 (0%)	3 (8.6%)
<b>Dyslexia Assessment</b>	3 (10.3%)	0 (0%)	3 (8.6%)
<b>Dyscalculia Assessment/Maths Skills Support</b>	1 (3.4%)	0 (0%)	1 (2.9%)
<b>Ed Psych/Cognitive Assessment</b>	9 (31.0%)	0 (0%)	9 (25.7%)
<b>Formal EHCP triggered</b>	5 (17.2%)	0 (0%)	5 (14.3%)
<b>Visual Aids and/or vision assessment</b>	5 (17.2%)	0 (0%)	5 (14.3%)
<b>In school Creative Activities groups</b>	3 (10.3%)	0 (0%)	3 (8.6%)
<b>Gross Motor Skills Support</b>	3 (10.3%)	1 (16.7%)	4 (11.4%)
<b>Physical Health Check</b>	2 (6.9%)	0 (0%)	2 (5.7%)
<b>In school Social Story intervention</b>	2 (6.9%)	0 (0%)	2 (5.7%)
<b>New Adaptations in Classrooms</b>	6 (20.7%)	0 (0%)	6 (17.1%)
<b>Occupational Therapy assessment</b>	1 (3.4%)	0 (0%)	1 (2.9%)
<b>Other group support</b>	1 (3.4%)	0 (0%)	1 (2.9%)
<b>Attention Concentration Support</b>	6 (20.7%)	1 (16.7%)	7 (20.0%)

Group A2 are those scoring low on the EYFSP sub-score pre-screen score, and scoring at least 12 on SCQ (potential autism)

Group B2 are those not scoring low on the EYFSP sub-score pre-screen score, and scoring at least 12 on SCQ (potential autism)

V6 18. 06. 2020

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3 We checked the GP records of those 35 children identified as having low (29 children) and  
4 not low (6 children) EYFSP scores and  $\geq 12$  on the SCQ. Only four of these children had  
5 previously had any READ codes recorded for intellectual disability, language delay or  
6 disorder, ADHD or ASD, all four being recorded as having speech delay or disorder of speech  
7 and language. Two of these four children were assessed in our study as meeting the criteria  
8 for ASD. The remaining 31 children with low and not low EYFSP and SCQ  $> 12$  had no GP  
9 recorded Read codes but all 31 had additional needs that were newly identified in our  
10 assessments (see table 4). This shows that of the thirty five children, 31 would gain new  
11 interventions as a result of our assessment processes that they were not currently  
12 accessing. All nine of the children who were newly diagnosed with ASD by this research  
13 were from an ethnic minority background. There were six boys and three girls that were  
14 diagnosed with ASD. From the six boys, there were three of Pakistani origin, two of  
15 Bangladeshi origin and one gypsy/traveller origin. From the three girls that were diagnosed  
16 with ASD, two are of Pakistani origin and one is of Bangladeshi heritage.

### 32 *Qualitative findings*

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35 Associated qualitative research will be published separately. Feedback was requested from  
36 clinicians, school staff, assessed children's parents, and parents of children with a  
37 neurodevelopmental disorder from a patients' panel.

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41 Both parents and clinicians were positive about school based assessment occurring (largely)  
42 in one day. This included the benefits of the child being in their normal routine and  
43 experiencing less anxiety than clinic visits. Parents were positive about not having to chase  
44 appointments and teachers were positive about involvement in all assessments.

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49 Clinicians valued multidisciplinary working and the positives of access to rich school based  
50 data. A SENCO from one of the school mentioned that *"I liked that everybody can come  
51 together because you are in one place, everybody that knows the child is there and then it is  
52 kind of written as a team around the child..."*. Parents commented that including school in  
53 the assessment process had helped teaching staff to adapt teaching and support for the  
54 child promptly. Challenges identified included difficulties coordinating different  
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V6 18. 06. 2020

professionals, children and parents together and last minute cancellations “*this process was highly dependent on administration both from the project and from school...*”. Other themes highlighted related to the diagnosis and a range of responses relating to concern from a parent that their child’s problems may be minimised or that they might be stigmatised.

## Discussion

This study has shown that it is feasible to carry out a larger study of a new assessment care pathway for neurodevelopmental problems across a district. We found that schools were very willing to take part in the study, and showed great interest in early identification of children with autism, and other support needs. All schools we approached in Bradford agreed to take part and facilitate the study. Teachers were supportive, completing 53 of 55 questionnaires about the children who did not receive the full autism assessment. The acceptability to families is relatively good, although some families withdrew from the study and some had concerns about the consequences of their child receiving a diagnosis of ASD. This suggests that care needs to be taken when considering the emotional consequences for the family. It is good practice to provide parenting support to families of children newly diagnosed with ASD and this should be a key part of new assessment pathways or future research.

In our trial, the EYFSP pre-screen identified 13% of the pupil population (78 pupils scoring less than 10 on the EYFSP out of 587 pupils). From this population, half scored highly on the SCQ such that approximately 6.5% of the population received an autism identification with the addition of the EYFSP pre-screen. This compares with 14% (11) in similar early life screening studies without a pre-screen stage. This has potential cost-effective benefits that we were unable to test but should be key parts of future research.

A recent paper (32) suggests an SCQ threshold of 12, with a sensitivity of 42% and specificity 89%. Other authors have used 15 (31). Our analysis shows 35 assessments identify 9 children with ASD and 23 assessments identify 8 children suggesting cost effectiveness analysis would be helpful in a larger study. Whilst we cannot accurately assess sensitivity in our study (as we have not assessed all the children in the sample for ASD), we used teacher based questionnaires (with ASD research diagnostic criteria) in 33 children with normal

V6 18. 06. 2020

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3 EYFSP scores and low SCQ scores and none had more than two flagged areas of concern on  
4 the research diagnostic criteria symptom list for ASD (5-6 is the threshold for diagnosis). This  
5 suggests that further research may reveal an improved sensitivity when EYFSP is used as a  
6 pre-screen before SCQ.  
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13 This study has shown that there may be promising alternatives to existing assessment  
14 pathways for ASD (i.e. the use of EYFSP sub-score as a pre-screen tool, prior to SCQ  
15 screening). Advantages to the clinical process include the fact that information can be  
16 gathered from the school with those who know the child best (parents/carers and teacher)  
17 in one day in an environment known to the child, which may give a more accurate  
18 assessment. Previous studies using screening instruments with similar sample sizes have  
19 found a third of the sample are lost to follow up (11). Our study has vastly lower attrition  
20 because of the close link with the clinical teams into schools where parents are in regular  
21 contact. The early identification of ASD means that children can access the best educational  
22 placement early, and allows the local authority to plan its services and resources. It may  
23 resolve inequalities seen in previous studies where sections of the population do not come  
24 forward for assessment (17, 18).  
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36 This study identified a number of new children (n=9) with a diagnosis of ASD. This has  
37 enabled support to be established early. All of these children were from ethnic minorities  
38 suggesting that this process may be addressing inequalities in early diagnosis found in  
39 previous studies (17), although this would need further large scale research to confirm. In  
40 other studies using the Social Communication Questionnaire, when children score above the  
41 threshold but do not have ASD, approximately 90% have a neurodevelopmental disorder or  
42 developmental problem of some sort requiring identification and support (33). In our study  
43 (using the EYFSP) this was 100% with all children having identified support needs.  
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51 The study was limited by its size suggesting further larger district level research with cost-  
52 effectiveness analysis needs to take place.  
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V6 18. 06. 2020

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### Contributor-ship statement:

Professor Barry Wright: Conceived of the presented idea, contributed to the design and delivery of the project and the writing up of the manuscript

Dr Konstantopoulou Kalliopi: Contributed to the design, delivery, data collection and the writing up of the manuscript

Kuldeep Sohal: Contributed to the design of the project and agreed with the manuscript's results and conclusions

Dr Brian Kelly: Contributed to the design of the project, completed the statistical analysis of the project and contributed to the writing of the manuscript

Dr Geoff Morgan: Contributed to the design, delivery of the project and agreed with the manuscript's results and conclusions

Cathy Hulin: Contributed to the design, overall organisation, data collection and writing up of the manuscript

Dr Sara Mansoor: Contributed to the design and delivery of the project

Professor Mark Mon-Williams: Contributed to the design of the project, agreed with the manuscript's results and conclusions

V6 18. 06. 2020

**Competing interests:** There are no competing interests for any author

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#### **Data sharing statement:**

Data are available upon reasonable request.

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V6 18. 06. 2020

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V6 18. 06. 2020

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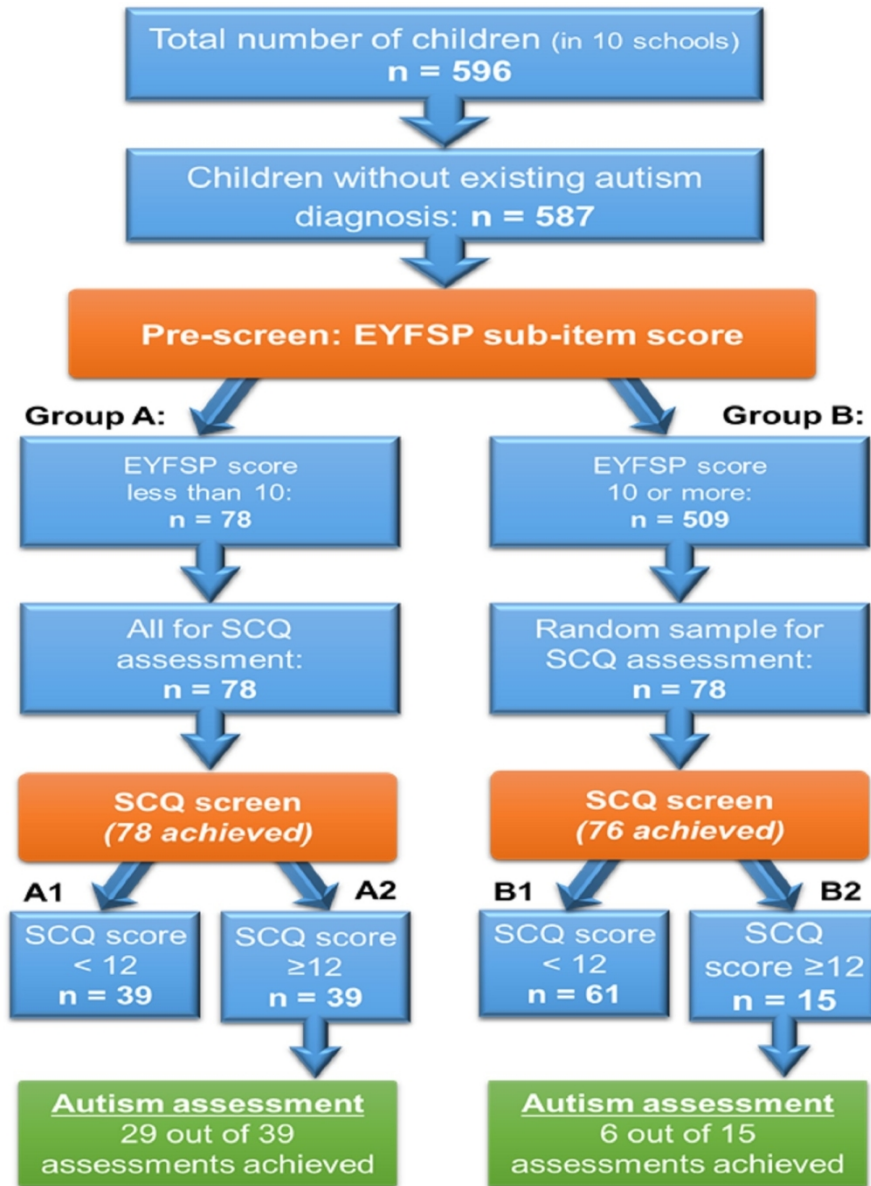


Figure 1

234x310mm (150 x 150 DPI)

STROBE Statement—Checklist of items that should be included in reports of *cohort studies*

Item No	Item No	Recommendation
Title and abstract	1	<p>A systematic approach to school based assessments for Autism Spectrum Disorders to reduce inequalities? A feasibility study in ten primary schools.</p> <p>Objectives: This was a pilot study to explore whether the Early Years Foundation Stage Profile (EYFSP) carried out by teachers at the end of Reception year, followed by the Social Communication Questionnaire (SCQ) can lead to an earlier identification of children with Autism Spectrum Disorders (ASD), earlier access to intervention and reduce inequity in access to assessment and intervention.</p> <p>Design: Pragmatic prospective cohort</p> <p>Setting: 10 primary schools from the SHINE project in Bradford</p> <p>Participants: 587 from 10 schools who transitioned from Reception to Year 1 in July 2017 and had the EYFSP completed were finally included in the study</p> <p>Interventions: The assessment involved a team of three multidisciplinary staff who completed the Autism Diagnostic Interview Revised (ADI-R), the Autism Diagnostic Observation Schedule Version2 (ADOS-2), classroom observations with an ASD checklist, a teacher based ASD questionnaire and a final consensus meeting.</p> <p>Primary outcome measure: NICE guideline compliant clinical diagnosis of ASD.</p> <p>Secondary outcome measures: age of diagnosis, demographic data and feasibility parameters.</p> <p>Results: Children who scored low on the EYFS were more likely to score above the SCQ threshold of 12(indicating potential autism), 50% compared to 19% of children not scoring low on the EYFS (<math>p &lt; 0.001</math>). All children scoring above the SCQ received a full autism assessment; children who scored low on the EYFS were more likely to be diagnosed with autism (and other developmental issues) compared to those who did not score low on the EYFS.</p> <p>Conclusions: We identified 9 new children with a diagnosis of ASD, all from ethnic minorities suggesting that this process may be addressing inequalities in early diagnosis found in previous studies. All children who scored above the threshold in the SCQ, required support and this was because the EYFSP questionnaire preceded it thereby including at risk children.</p>
<b>Introduction</b>		
Background/rationale	2	<p>Autism Spectrum Disorders (ASD) occur in approximately 1.6% of the UK population. Early identification and Early intervention has shown initial promise in improving outcomes. Whilst screening young children in early education settings has been attempted it identifies large numbers of children (14%) with relatively low numbers identified with ASD making cost effective whole population screening problematic. More nuanced approaches need to be developed. One promising approach would be to identify at risk populations and use screening and assessment processes within those groups. How to identify risk populations requires further research</p>
Objectives	3	<p><i>Early Years Foundation Stage Profile (EYFSP)</i></p> <p>A large survey of parents in the UK describes late diagnosis in primary school despite symptoms being present from infancy and the Care Quality Commission found children with ASD having long waits for diagnosis and interventions. Recent studies suggest that using the Early Years Foundation Stage Profile may identify children with higher risk of having an ASD. The EYFSP is completed by teachers in England at the end of the reception year and scores 17 different domains of development in terms of whether a child is at an expected level, ahead or behind that level. It is used as a mechanism for flagging children who may need additional help in school and to benchmark UK school profiles.</p> <p><i>Equality of Access</i></p> <p>Recent work by the same group has also shown that the diagnosis of autism is less likely to be</p>

made early in families from poor backgrounds or from families from ethnic minority groups showing inequalities reported elsewhere. This problem with equity of access would be well served by having a more widely available process for identifying children for neurodevelopmental disorder assessment as early as is practicable. One mechanism for improving equity of access is school based assessment.

#### *Reasons for feasibility work*

To plan a larger study it is necessary to gather feasibility information for improved assessment processes. We report a feasibility study of a two stage screening process involving the EYFSP followed by an established well validated ASD screening questionnaire, the Social Communication Questionnaire (SCQ). We sought to test the feasibility of a process where children went through this screening process and were then assessed more comprehensively for ASD in schools with education and health professionals working together over one day.

<b>Methods</b>		
Study design	4	This research was set within the larger Born in Bradford cohort research. We obtained consent from 10 primary schools in an existing project, the SHINE project.
Setting	5	The assessments took place in those 10 schools in Bradford between September 2018 and July 2019. The assessment involved a team of three multidisciplinary staff drawn from a bank of child and adolescent mental health service (CAMHS) clinicians and educational psychologists. The assessment was completed in school in one day. One experienced clinician who was trained in the ADIR carried out this parent based semi-structured interview with a parent or primary care giver. Two other professionals (usually an educational psychologist and a clinical psychologist or child psychiatrist) trained in the ADOS-2 carried out this play/interaction based assessment with the child, using the most appropriate module depending on their developmental ability and language development. This was carried out by one person and observed by a second person and information shared during coding. One of the clinicians also observed the child in class with a bespoke ASD checklist. The clinicians went through a teacher based questionnaire related to the teacher's experiences of the child's skills and behaviour including the main symptoms of ASD using the World Health Organisation International Classification of Diseases Version 10 Research Diagnostic Criteria. Finally there was a consensus meeting with the three external assessors and the teacher identifying an overall consensus for the presence or absence of definite, possible or no difficulties in the 12 main research diagnostic criteria areas for Autism Spectrum Disorder diagnosis. In the afternoon each of the clinicians contributed to one single report using a range of sub-headings and organising material according to those sub-headings. This included a final consensus formulation, a description of strengths and difficulties and a range of recommendations.
Participants	6	596 children in year 5 were available in 10 primary schools and we approached all of those who had received an Early Years Foundation Stage Profile scored by their teachers at the end of reception year in the summer of 2017.
Variables	7	As agreed in ethical approvals the report fell short of making an NHS diagnosis (since this was a research project). Where appropriate it was suggested that referral was made through appropriate local assessment pathways with the report. A range of other recommendations were made including referral elsewhere such as speech and language therapy assessment, physical health checks or a proposed assessment for an Education Health Care Plan, educational psychology assessment or a range. Given the breadth of experience of the assessing professionals and the teacher, a number of possible recommendations for assessment were possible.

#### *Feasibility Outcomes*

Feasibility outcomes were collected such as numbers consenting, attrition rates after consent, acceptability of assessment elements, recording of any language or interpreting issues and the acceptability and completion of questionnaires.

Data sources/ measurement	8*	As described above
Bias	9	The assessments were performed by three independent clinicians using standardised tools according to the NICE guidelines criteria for an ASD assessment.
Study size	10	The teachers of children with low EYFSP scores and a 15% randomised sub-group of those with high scores (10 or more) completed a Social Communication Questionnaire (SCQ), which is a well-established validated autism screening questionnaire with good sensitivity and specificity scores. A threshold score of 12 or above on the SCQ was chosen, based on previous research; suggesting this is the best cut off for the optimum sensitivity to discriminate between children with and without ASD.
Quantitative variables	11	We carried qualitative interviews to obtain in-depth information from parents, teachers and clinicians about the acceptability, usefulness and real world provision of the assessment process.
Statistical methods	12	Data linkage allowed us to combine school and health data. All those children and families with low EYFSP scores and above threshold SCQ (>12) were offered a NICE guideline compliant ASD Assessment, with additional clinical screening assessment for other developmental problems. A 15% randomised sub-group of those scoring high (10 or more) in EYFSP had the SCQ completed and those who scored high (10 or more) in EYFSP and 12 or above on the SCQ were then also assessed comprehensively in the same way. In order to check for false negatives we added an additional screening check where those children in the above groups given the SCQ scored below the threshold of 12 where their teacher filled in a narrative behaviour questionnaire mapping to the WHO research diagnostic criteria for ASD. This yields a score of 0-12 to identify areas of concern in any of the 12 symptom groups for ASD. Any child who had already had a diagnosis on the Autism Spectrum from the local diagnostic services was also noted. Finally, sensitivity analysis was carried out using a cut of 15 or the SCQ instead of 12 as this has been used in some studies.
<b>Results</b>		
Participants	13*	There were 596 children in the 10 schools, 587 were included in the study as 9 children from this cohort had a pre-existing autism diagnosis. 14 families decided that they did not want to be part of the study and did not consent. Two families moved to a different school.  Figures to be separately attached
Descriptive data	14*	There were 596 children in the 10 schools, 587 were included in the study as 9 children from this cohort had a pre-existing autism diagnosis (Figure 1). 14 families decided that they did not want to be part of the study and did not consent. Two families moved to a different school. 510 children scored 10 or above on the Early Years Foundation Stage Profile and 86 children scored 9 or below (at risk children). Of the 86 children scoring 9 or below, 8 (9%) of these children already had a diagnosis on the autism spectrum and the remainder were given the Social Communication Questionnaire (SCQ) with threshold results for 12 and 15 reported below.

SCQ Scores (those score 12 or above)

Autism Spectrum Disorder	Low EYFSP	Not Low EYFSP
Yes	9	0
No	20	6
Total	29	6

31% of those with Low EYSFP had diagnosis of ASD

SCQ Scores (those score 15 or above)

Autism Spectrum Disorder	Low EYFSP	Not Low EYFSP
Yes	8	0
No	13	3
Total	21	3

38% of those with Low EYSFP had diagnosis of ASD

All but one of the children who were met the criteria for a diagnosis of ASD had a SCQ of 15 or above.

Of the 510 children screened 10 or above (i.e. a low risk score) on the Early Years Foundation Stage Profile 1 child had a diagnosis on the Autism Spectrum already. We randomised 15% of these children to carry out the SCQ and so 78 families completed this with 15 of them scoring 12 or above on the SCQ with 61 scoring under 12 and 2 lost follow ups. The comprehensive Autism assessments described were offered to 54 children scoring greater than or equal to 12 on the SCQ from the children scoring 9 or below on the EYFSP with 39 carried out and with the random sub-group of those scoring 10 or above (n=15). Teachers to complete a comprehensive questionnaire based on the WHO research diagnostic criteria for ASD for 20 out of 39 children who scored 9 and below in EYFSP and less than 12 in SCQ as well as 33 out of 61 children who scored 10 or more in EYFSP and less than 12 in SCQ. We received a total of 53 questionnaires and none of them scored more than 2 out of 12 on the research diagnostic criteria risk checklist, all below the level where a diagnosis of ASD would be likely. The large majority (88.68%) had 0 symptoms.

Outcome data	15*	See below
Main results	16	Those in group A (who score low on the EYFSP sub-score pre-screen) are more likely to be identified as potentially at risk of having ASD on the SCQ screening test compared to those in group B (those who do not score low on the EYFSP sub-score pre-screen); 50% of those in group A scored 12 or above on the SCQ, compared to 19% in group B (see table 1).

**Table 1:**

EYFSP sub-score pre-screen	SCQ Screen		Total
	High SCQ	Low SCQ	
Group A	50%	50%	78
Group B	19%	81%	78
Total	35%	65%	156

Pearson chi2(1) = 16.3137 p < 0.001

Group A are those scoring low on the EYFSP sub-score pre-screen score  
 Group B are those not scoring low on the EYFSP sub-score pre-screen score  
 High SCQ are those that score at least 12 on SCQ (potential autism)  
 Low SCQ are those that score less than 12 on SCQ (not potential autism)

Families of children who scored 12 or more on the SCQ screening tool who were then offered a full autism assessment, are described in table 2. Those who score low on the EYFSP sub-score pre-screen and then who go onto score high on the SCQ score (indicating potential autism) are much more likely to be diagnosed with ASD after the full assessment, compared to those in group B (those who did not score low on the EYFSP sub-score pre-screen and then who go onto score high on the SCQ score).

31% of those in group A with a SCQ of 12 or more met the research diagnostic criteria for ASD diagnosis.

None of those in group B with a SCQ of 12 or more met the research diagnostic criteria for ASD diagnosis.

Other analyses 17 Table 3 and 4 indicate the suggested referrals to other services that arose from the assessment, suggesting that this process may be useful in identifying children with a range of developmental problems and not simply those with ASD.

Table 3 Outcomes of assessments for those children with a SCQ score of 12 or above:

	Group A2:	Group B2	Groups
A2 & B2			
Referral to service	Pre-screen: Low EYFSP sub-		
score	Pre-screen: Not low EYFSP		
(n = 29)	Total with autism assessment		
sub-score (n=6)			
(n = 35)			
Autism Spectrum Disorder	9 (31.0%)	0 (0%)	9
(25.7%)			
Assessed Need for External (outside school system) support	22 (75.9%)	3 (50.0%)	
	25 (71.4%)		
Assessed Need for Internal (within school system) support	29 (100%)	5 (83.3%)	
	34 (97.1%)		
Assessed need for Internal or External Support	29 (100%)	6 (100%)	
	35 (100%)		

Table 4: Recommendations from assessing clinicians about additional support needed for 35 assessed children

	Group A:	Group B	Group
A & B			

1	Enacted Onward Referral to service	Pre-screen: Low EYFSP sub-
2	score	
3	(n = 29)	Pre-screen: Not low EYFSP
4	sub-score (n=6)	Total with autism assessment
5	(n = 35)	
6	Autism Spectrum Disorder	9 (31.0%) 0 (0%) 9
7	(25.7%)	
8	Speech and Language Therapy Assessment	16 (55.2%) 3 (50.0%)
9		19 (54.3%)
10	Nurture Group/Encouragement of social interaction/monitoring	12 (41.4%) 4 (66.7%)
11		16 (45.7%)
12	Learning Needs Assessment	4 (13.8%) 2 (33.3%)
13		6 (17.1%)
14	In school Lego Based Therapy	3 (10.3%) 0 (0%) 3
15	(8.6%)	
16	Parent Support	3 (10.3%) 0 (0%) 3
17	(8.6%)	
18	Dyslexia Assessment	3 (10.3%) 0 (0%) 3
19	(8.6%)	
20	Dyscalculia Assessment/Maths Skills Support	1 (3.4%) 0 (0%) 1
21	(2.9%)	
22	Ed Psych/Cognitive Assessment	9 (31.0%) 0 (0%) 9
23	(25.7%)	
24	Formal EHCP triggered	5 (17.2%) 0 (0%) 5
25	(14.3%)	
26	Visual Aids and/or vision assessment	5 (17.2%) 0 (0%) 5
27	(14.3%)	
28	In school Creative Activities groups	3 (10.3%) 0 (0%) 3
29	(8.6%)	
30	Gross Motor Skills Support	3 (10.3%) 1 (16.7%)
31		4 (11.4%)
32	Physical Health Check	2 (6.9%) 0 (0%) 2
33	(5.7%)	
34	In school Social Story intervention	2 (6.9%) 0 (0%) 2
35	(5.7%)	
36	New Adaptations in Classrooms	6 (20.7%) 0 (0%) 6
37	(17.1%)	
38	Occupational Therapy assessment	1 (3.4%) 0 (0%) 1
39	(2.9%)	
40	Other group support	1 (3.4%) 0 (0%) 1
41	(2.9%)	
42	Attention Concentration Support	6 (20.7%) 1 (16.7%)
43		7 (20.0%)
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We checked the GP records of those 35 children identified as having low (29 children) and not low (6 children) EYFSP scores and 12 or above on the SCQ. Only 4 of these children had previously had any READ codes recorded for intellectual disability, language delay or disorder,



ADHD or ASD, all four being recorded as having speech delay or disorder of speech and language. Two of these four children were assessed in our study as meeting the criteria for ASD. The remaining 31 children with low and not low EYFSP and SCQ > 12 had no GP recorded Read codes but all 31 had additional needs newly identified in our assessments (see table 4). This shows that of the 35 children 31 would gain new interventions as a result of our assessment processes that they were not currently accessing. All 9 of the children who were newly diagnosed with ASD by this research were from an ethnic minority background.

## Discussion

Key results	18	<p>This study has shown that it is feasible to carry out a larger study of a new assessment care pathway for neurodevelopmental problems across a district.</p> <p>In our trial the EYFSP pre-screen identified 13% of the pupil population (78 pupils scoring less than 10 on the EYFSP out of 587 pupils). Of this 13% of pupils half then go on to score high on the SCQ; so that approximately 6.5% of the pupil population would receive an autism assessment with the addition of the EYFSP pre-screen. This compares with 14% in similar early life screening studies without a pre-screen stage. This has potential cost-effectiveness benefits that we were unable to test but should be key parts of future research.</p> <p>A recent paper suggests that, based on the cut off at 12, the sensitivity of the SCQ is 42% and the specificity 89%. Whilst we cannot accurately assess sensitivity in our study as we have not assessed all the children in the sample, we used teacher based questionnaires (with ASD research diagnostic criteria) in 33 children with normal EYFSP scores and low SCQ scores and none had more than 2 flagged areas of concern on the research diagnostic criteria symptom list for ASD (5-6 is the threshold for diagnosis). This suggests that further research may reveal an improved sensitivity when EYFSP is used as a pre-screen before SCQ.</p> <p>This study has shown that there may be promising alternatives to existing assessment pathways for ASD (i.e. the use of EYFSP sub-score as a pre-screen tool, prior to SCQ screening). Advantages to the clinical process include the fact that information can be gathered from the school with those who know the child best (parents/carers and teacher) in one day in an environment known to the child, which may give a more accurate assessment. Previous studies using screening instruments with similar sample sizes have found a third of the sample are lost to follow up. Our study has vastly lower attrition because of the close link with the clinical teams into schools where parents are in regular contact. The early identification of ASD means that children can access the best educational placement early and allows the local authority to plan its services and resources. It may resolve inequalities seen in previous studies where sections of the population do not come forward for assessment.</p>
Limitations	19	<p>The study was limited by its size suggesting further larger district level research with cost-effectiveness analysis needs to take place.</p>
Interpretation	20	<p>This study identified a number of new children (n=9) with a diagnosis of ASD. This has enabled support to be established early. All of these children were from ethnic minorities suggesting that this process may be addressing inequalities in early diagnosis found in previous studies, although this would need further larger research to confirm. In other studies using the Social Communication Questionnaire, when children score above the threshold but do not have ASD, approximately 90% have a neurodevelopmental disorder or developmental problem of some sort requiring identification and support. In our study using the EYFSP this was 100% since all children had identified support needs.</p>
Generalisability	21	<p>The study gave promising results for a bigger study which could potentially include a larger</p>

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number of participants

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### Other information

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\*Give information separately for exposed and unexposed groups.

**Note:** An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at <http://www.strobe-statement.org>.

# BMJ Open

## A systematic approach to school based assessments for Autism Spectrum Disorders to reduce inequalities: A feasibility study in ten primary schools.

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V6 18. 06. 2020

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# **A systematic approach to school based assessments for Autism Spectrum Disorders to reduce inequalities: A feasibility study in ten primary schools.**

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V6 18. 06. 2020

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3 **Abstract:**  
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6 **Objectives:** This was a pilot study to explore whether the Early Years Foundation Stage  
7 Profile (EYFSP) carried out by UK teachers within 'Reception' year, combined with the Social  
8 Communication Questionnaire (SCQ) can lead to an earlier identification of children with  
9 Autism Spectrum Disorders (ASD), earlier access to intervention, and reduce inequity in  
10 access to assessment and intervention.  
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14 **Design:** Pragmatic prospective cohort.  
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16 **Setting:** Ten primary schools from the SHINE project in Bradford.  
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18 **Participants:** Five hundred eighty seven (587) pupils from ten schools who transitioned from  
19 Reception to Year 1 in July 2017 and had the EYFSP completed were included in the final  
20 study.  
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23 **Interventions:** The assessment involved: a multidisciplinary team of three staff who  
24 completed the Autism Diagnostic Interview Revised (ADI-R), the Autism Diagnostic  
25 Observation Schedule Version2 (ADOS-2), classroom observations with an ASD checklist, a  
26 teacher based ASD questionnaire, and a final consensus meeting.  
27  
28

29 Primary outcome measure: NICE guideline compliant clinical diagnosis of ASD.  
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31 Secondary outcome measures: age of diagnosis, demographic data and feasibility  
32 parameters.  
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35 **Results:** Children with low scores on the EYFS were more likely to score above the SCQ  
36 threshold of 12 indicating potential autism (50% compared to 19% of children with high  
37 scores on the EYFS ( $p < 0.001$ )). All children scoring above SCQ threshold received a full  
38 autism assessment; children who scored low on the EYFS were more likely to be diagnosed  
39 with autism (and other developmental issues) compared to those who did not.  
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43 **Conclusions:** We identified nine new children with a diagnosis of ASD, all from ethnic  
44 minorities suggesting that this process may be addressing the inequalities in early diagnosis  
45 found in previous studies. All children who scored above the SCQ threshold required  
46 support (i.e. had a neurodevelopmental disorder), indicating the EYFSP questionnaire  
47 captured 'at risk' children.  
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V6 18. 06. 2020

### Strengths and limitations of the study:

- Consent was sought from all parents regardless of language by flexible use of interpreters.
- Education and Health data was shared yielding significant benefits
- We conducted the SCQ (threshold of 12) with children who scored  $\leq 9$  in the EYFSP and a random sub-sample from the high EYFSP group (15% of children  $\geq 10$ )
- All children with a score of  $\geq 12$  on the SCQ received a detailed comprehensive ASD assessment and the rest had a teachers' screening questionnaire
- Any child who had already had a diagnosis on the Autism Spectrum from the local diagnostic services was also noted

V6 18. 06. 2020

## Introduction

### *What is Autism*

Autism Spectrum Disorders (ASD) occur in approximately 1.6% of the UK population (1). ASD is a neurodevelopmental condition that often includes a range of repetitive behaviours, preoccupations and interests (2), and large developmental differences in social communication relative to neuro-typically developing individuals (3). ASD leads to a need for different approaches to education (4) and parenting (5), (6), which can be costly for local authorities (7) and stressful for parents and family (8); (9).

### *Early identification*

Early identification and early intervention has shown promise in improving outcomes (10), (5). Screening young children in early education settings has been attempted, but captures relatively low numbers of children with ASD (11) despite large numbers (14%) being identified at risk. This has made cost effective whole population screening problematic (12), and there is a need for more nuanced approaches. The ability to use routine data to identify 'at risk' populations remains the holy grail of autism assessment (12). The need for such approaches was shown within a large survey of parents in the UK who reported receiving a diagnosis late in primary school despite symptoms being present from infancy (13). This was confirmed by the Care Quality Commission who reported that children with ASD experience long waits for diagnosis and interventions (14).

### *Early Years Foundation Stage Profile (EYFSP)*

Recent studies suggest that using the Early Years Foundation Stage Profile (15) may identify children with higher risk of having an ASD (16). The EYFSP is completed by teachers in England at the end of the reception year and scores 17 different domains of development in terms of whether a child is at an expected level, ahead, or behind that level. It is used as a mechanism for flagging children who may need additional help in school and to benchmark UK school profiles (15).



V6 18. 06. 2020

### *Equality of Access*

Recent work has shown that the diagnosis of autism is less likely to be made early in families from poor backgrounds or from families from ethnic minority groups (17) - reflecting inequalities reported elsewhere (18). This problem with equity of access could be addressed by having a more widely available process for identifying children with neurodevelopmental disorder as early as possible. One mechanism for improving equity of access is school based assessments (19).

### *Reasons for feasibility work*

In order to plan a larger study, it is necessary to gather feasibility information for improved assessment processes. We report a feasibility study of a two stage screening process involving the EYFSP followed by an established well validated ASD screening questionnaire = the Social Communication Questionnaire (20). We sought to test the feasibility of a process where children went through this screening process and were then assessed more comprehensively for ASD *in schools* with education and health professionals working together over the course of one day.

## **Methodology**

### *Background*

The research was set within the larger Born in Bradford cohort study (21). We obtained consent from 10 primary schools in an existing consortium, the SHINE partnership. The SHINE group is a group of ten primary schools that act as a testbed for new approaches to improve services, reduce inequalities, and test innovations (22). We obtained ethical approval from University of Leeds and Bradford Teaching Hospitals NHS Foundation Trust (IRAS Number: 233328).

### *Consent*

All parents were approached with a family information leaflet and a consent form. A researcher was available by phone, email, or face-to-face for those wishing to discuss the project further. Interpreters were available because many of the population had a first language that was not English.

V6 18. 06. 2020

### *Design*

Five hundred and ninety six (596) children in Year 5 were available in 10 primary schools and we approached all of those who had received an Early Years Foundation Stage Profile scored by their teachers at the end of reception year in the summer of 2017.

The study was designed to test feasibility for a larger study.

### *Measures*

A screening measure to identify children at risk was derived from five items of the EYFSP carried out by teachers at the end of reception year. The measure was taken from the four main symptom areas defined in the research diagnostic criteria for ASD – namely, social reciprocity, language and communication, imagination delays, and repetitive and stereotyped patterns of behaviour. This is described in more detail in a previous study (16). EYFSP assessment scores are recorded for children in Reception who are aged from 4 to 5 years. The assessments conducted by the clinicians occurred in Year 1 when children are typically aged 5 to 6 years of age. We chose a score threshold of 9 which a previous study found to be significantly (statistically) associated with over 50 times the risk of autism: 52.7 (95% CI: 25.2 - 110.5). (16). Children were dichotomously grouped into 'low' ( $\leq 9$ ) and 'high' ( $\geq 10$ ) scorers.

The teachers of children with low EYFSP scores and a 15% randomised sub-group of those with high scores ( $\geq 10$ ) completed a Social Communication Questionnaire (SCQ) (23), which is a well-established validated autism screening questionnaire with good sensitivity and specificity scores. In previous studies the SCQ has been found to be helpful in identifying young children with ASD (24). A threshold score of  $\geq 12$  on the SCQ was chosen based on previous research (25), with claims that this is the best threshold with the optimum sensitivity to discriminate between children with and without ASD (26). A sensitivity analysis was prospectively agreed for the threshold of  $\geq 15$ .

### *Methods*

Data linkage allowed us to combine school and health data (26).

V6 18. 06. 2020

All those children and families with low EYFSP scores and above threshold SCQ (>12) were offered a NICE guideline compliant ASD Assessment, with additional clinical screening assessment for other neurodevelopmental problems including speech and language difficulties, learning difficulties, physical health problems, anxiety, and low self-esteem. A 15% randomised sub-group of those scoring high ( $\geq 10$ ) in EYFSP had the SCQ completed and those who scored  $\geq 10$  in EYFSP and  $\geq 12$  on the SCQ were then also assessed comprehensively in the same way. There were 596 children in the 10 schools, 587 were included in the study as nine children from this cohort had a pre-existing autism diagnosis. Fourteen (14) families decided that they did not want to be part of the study and did not consent. Two families moved to a different school (Figure 1).

### **Insert Figure 1 here**

In order to check for false negatives, we added an additional screening check for the children in the above groups. In cases where the SCQ was scored below the threshold of 12, teachers filled in a narrative behaviour questionnaire mapping to the WHO research diagnostic criteria for ASD (27). This yields a score of 0-12 to identify areas of concern in any of the twelve symptom groups for ASD (27). Any child who had already had a diagnosis on the Autism Spectrum from the local diagnostic services was also noted.

Finally, sensitivity analysis was carried out using a cut off 15 of the SCQ instead of 12 as this has been used in some studies (28).

### *Patient and Public Involvement*

There has been strong involvement and co-design of this research through the Born in Bradford governors' group, the Connected Yorkshire Patient and Public Involvement panel, SHINE schools, parents, young people, and other stakeholders. They have been supportive in the preparatory workshops, feasibility phases and information design of the study. We consulted with the Connected Yorkshire Patient and Public Involvement panel throughout the life cycle of this study who acknowledged the importance to improve the pathway to earlier diagnosis of Child ASD to improve children's health and wellbeing outcomes. The panel consists of parents that have children diagnosed with Child ASD or have children that are on the neurodevelopmental disorder care pathways. Some of the discussions focussed

V6 18. 06. 2020

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3 on the stigma within certain communities in Bradford with certain mental health issues  
4 which result in parents not acknowledging the child's health issues and seeking diagnosis  
5 earlier or seeking the appropriate support across health or the education sectors.  
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12 We have also extensively engaged with the Headteachers at the Bradford SHINE primary  
13 schools and other school staff who helped to inform parents of the study and in the  
14 recruitment phase. The Bradford SHINE schools were actively involved in the design and  
15 implementation phase and wish to acknowledge our gratitude in the supporting, co-  
16 designing, and active involvement in this study.  
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22 We disseminated information on the study via the local radio stations including Bradford  
23 Ramadan, BBC Radio 4 and via a following website to inform individuals of the research that  
24 is being undertaken in the region.  
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28 Website: <https://caer.org.uk/autism-spectrum-conditions/>  
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31 We have also disseminated the results of the study via dedicated workshops at the Born in  
32 Bradford event in September 2019 and a further workshop in January 2020. These  
33 workshops consisted of a broad range of professional stakeholders from health and  
34 education across the region that are involved in the care pathway as well as public  
35 representation. The discussions have evolved to how the research study could be scaled  
36 across the region.  
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#### 46 *The Autism Assessment*

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48 The assessments took place in the 10 schools in Bradford between September 2018 and July  
49 2019. The assessment involved a team of three multidisciplinary staff drawn from a bank of  
50 child and adolescent mental health service (CAMHS) clinicians, and educational  
51 psychologists. The assessment was completed in school in one day. One experienced  
52 clinician who was trained in the ADI-R (27) carried out the parent based semi-structured  
53 interview with a parent or primary care giver. Two other professionals (usually an  
54 educational psychologist and a clinical psychologist or child psychiatrist) trained in the  
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V6 18. 06. 2020

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3 ADOS-2 (29) carried out the play/interaction based assessment with the child, using the  
4 most appropriate module depending on the child's developmental ability and language  
5 development. The assessment was carried out by one person and observed by a second  
6 with information shared during coding. One of the clinicians also observed the child in class  
7 with a bespoke ASD checklist. The clinicians went through a teacher based questionnaire  
8 related to the teacher's experiences of the child's skills and behaviour, including the main  
9 symptoms of ASD, using the World Health Organisation International Classification of  
10 Diseases Version 10 Research Diagnostic Criteria (30). Finally there was a consensus  
11 meeting with the three external assessors and the teacher, identifying an overall consensus  
12 for the presence or absence of definite, possible or no difficulties in the twelve main  
13 research diagnostic criteria areas for Autism Spectrum Disorder diagnosis (28). In the  
14 afternoon, each of the clinicians contributed to one single report using a range of sub-  
15 headings, and organised material according to those sub-headings. This included a final  
16 consensus formulation, a description of strengths and difficulties and a range of  
17 recommendations. As agreed in ethical approvals the report fell short of making an NHS  
18 diagnosis (since this was a research project). It was suggested where appropriate that  
19 referral was made through appropriate local assessment pathways with the report. A range  
20 of other recommendations were made including referral elsewhere (e.g. speech and  
21 language therapy assessment), physical health checks or a proposed assessment for an  
22 Education Health Care Plan, educational psychology assessment or a range of actions. Given  
23 the breadth of experience of the assessing professionals and the teacher, a number of  
24 possible recommendations for assessment were possible.

### 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 *Feasibility Outcomes*

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49 Feasibility outcomes were collected. These included numbers consenting, attrition rates after  
50 consent, acceptability of assessment elements, recording of any language or interpreting  
51 issues and the acceptability and completion of questionnaires.

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56 We conducted qualitative interviews to obtain in-depth information from parents, teachers  
57 and clinicians about the acceptability, usefulness and real-world provision of the assessment  
58 process.  
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V6 18. 06. 2020

## Results

Five hundred and ten (510) children scored  $\geq 10$  on the Early Years Foundation Stage Profile and 86 children scored  $\leq 9$  (at risk children). Of the 86 children scoring  $\leq 9$ , eight (9%) already had a diagnosis on the autism spectrum and the remainder were given the Social Communication Questionnaire (SCQ) with threshold results for  $\geq 12$  and 15 reported below (31) (see table 1).

**Table 1 – Percentage of children who met the threshold for ASD with threshold results  $\geq 12$  and 15 in the SCQ**

SCQ Scores (those score 12 or above)		
Autism Spectrum Disorder	Low EYFSP	Not Low EYFSP
Yes	9	0
No	20	6
<b>Total</b>	<b>29</b>	<b>6</b>
31% of those with Low EYSFP had diagnosis of ASD		
SCQ Scores (those score 15 or above)		
Autism Spectrum Disorder	Low EYFSP	Not Low EYFSP
Yes	8	0
No	13	3
<b>Total</b>	<b>21</b>	<b>3</b>
38% of those with Low EYSFP had diagnosis of ASD		

All but one of the children who were met the criteria for a diagnosis of ASD had a SCQ of 15 or above meaning that 11 assessments were needed to identify one extra child with ASD.

Of the 510 children with  $\geq 10$  on the Early Years Foundation Stage Profile (i.e. a low risk score), one child already had a diagnosis on the Autism Spectrum. We conducted the SCQ on a randomised sample (15%) of these children. Seventy eight families completed the SCQ with fifteen scoring  $\geq 12$  on the SCQ, 61 scoring  $\leq 11$ , and two lost during follow up. The comprehensive Autism assessments described were offered to 54 children scoring  $\geq 12$  on the SCQ from the children scoring 9 or below on the EYFSP with 39 carried out and with the random sub-group of those scoring 10 or above (n=15). Teachers completed a

V6 18. 06. 2020

comprehensive questionnaire based on the WHO research diagnostic criteria for ASD for 20 out of 39 children who scored  $\leq 9$  in EYFSP and  $\leq 11$  in SCQ, as well as 33 out of 61 children who scored  $\geq 10$  in EYFSP and  $\leq 11$  in SCQ. We received a total of 53 questionnaires and none of them scored more than 2 out of 12 on the research diagnostic criteria risk checklist, all below the level where a diagnosis of ASD would be likely. The large majority (88.68%) had zero indicators.

Those in group A (who scored low on the EYFSP sub-score pre-screen) were more likely to be identified as potentially at risk of having ASD on the SCQ screening test compared to those in group B (those who did not score low on the EYFSP sub-score pre-screen); 50% of those in group A scored  $\geq 12$  on the SCQ, compared to 19% in group B (see table 2).

**Table 2: Comparison between EYFSP and SCQ groups**

<i>EYFSP sub-score pre-screen</i>	<i>SCQ Screen</i>		Total
	High SCQ	Low SCQ	
Group A	50%	50%	78
Group B	19%	81%	78
<b>Total</b>	<b>35%</b>	<b>65%</b>	<b>156</b>

Pearson  $\chi^2(1) = 16.3137$   $p < 0.001$

Group A are those scoring low on the EYFSP sub-score pre-screen score

Group B are those not scoring low on the EYFSP sub-score pre-screen score

High SCQ are those that score at least 12 on SCQ (potential autism)

Low SCQ are those that score less than 12 on SCQ (not potential autism)

Families of children who scored  $\geq 12$  on the SCQ screening tool who were then offered a full autism assessment, are described in Table 2. Those who scored low on the EYFSP sub-score pre-screen and then scored high on the SCQ score (indicating potential autism spectrum

V6 18. 06. 2020

disorder) were much more likely to be diagnosed with ASD after the full assessment, compared to those in group B (those who did not score low on the EYFSP sub-score pre-screen and then scored high on the SCQ score). Thirty one percent of those in group A with a SCQ of  $\geq 12$  met the research diagnostic criteria for ASD diagnosis. None of those in group B with a SCQ of  $\geq 12$  met the research diagnostic criteria for ASD diagnosis.

Table 3 and 4 indicate the suggested referrals to other services that arose from the assessment, indicating that this process may be useful in identifying children with a range of neurodevelopmental problems and not simply those with ASD.

**Table 3 Assessment outcomes according to risk groups for children scoring at least 12 on the SCQ (potential autism):**

<i>Referral to service</i>	Group A2	Group B2	Groups A2 & B2
	<i>Pre-screen: Low EYFSP sub-score (n = 29)</i>	<i>Pre-screen: Not low EYFSP sub-score (n=6)</i>	<i>Total with autism assessment (n = 35)</i>
<b>Autism Spectrum Disorder</b>	<b>9 (31.0%)</b>	<b>0 (0%)</b>	<b>9 (25.7%)</b>
<b>Assessed Need for External (outside school system) support</b>	<b>22 (75.9%)</b>	<b>3 (50.0%)</b>	<b>25 (71.4%)</b>
<b>Assessed Need for Internal (within school system) support</b>	<b>29 (100%)</b>	<b>5 (83.3%)</b>	<b>34 (97.1%)</b>
<b>Assessed need for Internal or External Support</b>	<b>29 (100%)</b>	<b>6 (100%)</b>	<b>35 (100%)</b>

Group A2 are those scoring low on the EYFSP sub-score pre-screen score and scoring at least 12 on SCQ (potential autism)

Group B2 are those not scoring low on the EYFSP sub-score pre-screen score and scoring at least 12 on SCQ (potential autism)



V6 18. 06. 2020

**Table 4: Recommendations from assessing clinicians about additional support needed for 35 assessed children**

	<b>Group A2</b>	<b>Group B2</b>	<b>Group A2 &amp; B2</b>
<b>Enacted Onward Referral to service</b>	Pre-screen: Low EYFSP sub-score (n = 29)	Pre-screen: Not low EYFSP sub-score (n=6)	Total with autism assessment (n = 35)
<b>Autism Spectrum Disorder</b>	9 (31.0%)	0 (0%)	9 (25.7%)
<b>Speech and Language Therapy Assessment</b>	16 (55.2%)	3 (50.0%)	19 (54.3%)
<b>Nurture Group/Encouragement of social interaction/monitoring</b>	12 (41.4%)	4 (66.7%)	16 (45.7%)
<b>Learning Needs Assessment</b>	4 (13.8%)	2 (33.3%)	6 (17.1%)
<b>In school Lego Based Therapy</b>	3 (10.3%)	0 (0%)	3 (8.6%)
<b>Parent Support</b>	3 (10.3%)	0 (0%)	3 (8.6%)
<b>Dyslexia Assessment</b>	3 (10.3%)	0 (0%)	3 (8.6%)
<b>Dyscalculia Assessment/Maths Skills Support</b>	1 (3.4%)	0 (0%)	1 (2.9%)
<b>Ed Psych/Cognitive Assessment</b>	9 (31.0%)	0 (0%)	9 (25.7%)
<b>Formal EHCP triggered</b>	5 (17.2%)	0 (0%)	5 (14.3%)
<b>Visual Aids and/or vision assessment</b>	5 (17.2%)	0 (0%)	5 (14.3%)
<b>In school Creative Activities groups</b>	3 (10.3%)	0 (0%)	3 (8.6%)
<b>Gross Motor Skills Support</b>	3 (10.3%)	1 (16.7%)	4 (11.4%)
<b>Physical Health Check</b>	2 (6.9%)	0 (0%)	2 (5.7%)
<b>In school Social Story intervention</b>	2 (6.9%)	0 (0%)	2 (5.7%)
<b>New Adaptations in Classrooms</b>	6 (20.7%)	0 (0%)	6 (17.1%)
<b>Occupational Therapy assessment</b>	1 (3.4%)	0 (0%)	1 (2.9%)
<b>Other group support</b>	1 (3.4%)	0 (0%)	1 (2.9%)
<b>Attention Concentration Support</b>	6 (20.7%)	1 (16.7%)	7 (20.0%)

Group A2 are those scoring low on the EYFSP sub-score pre-screen score, and scoring at least 12 on SCQ (potential autism)

Group B2 are those not scoring low on the EYFSP sub-score pre-screen score, and scoring at least 12 on SCQ (potential autism)

V6 18. 06. 2020

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3 We checked the GP records of those 35 children identified as having low (29 children) and  
4 not low (6 children) EYFSP scores and  $\geq 12$  on the SCQ. Only four of these children had  
5 previously had any READ codes recorded for intellectual disability, language delay or  
6 disorder, ADHD or ASD, all four being recorded as having speech delay or disorder of speech  
7 and language. Two of these four children were assessed in our study as meeting the criteria  
8 for ASD. The remaining 31 children with low and not low EYFSP and SCQ  $> 12$  had no GP  
9 recorded Read codes but all 31 had additional needs that were newly identified in our  
10 assessments (see table 4). This shows that of the thirty five children, 31 would gain new  
11 interventions as a result of our assessment processes that they were not currently  
12 accessing. All nine of the children who were newly diagnosed with ASD by this research  
13 were from an ethnic minority background. There were six boys and three girls that were  
14 diagnosed with ASD. From the six boys, there were three of Pakistani origin, two of  
15 Bangladeshi origin and one gypsy/traveller origin. From the three girls that were diagnosed  
16 with ASD, two are of Pakistani origin and one is of Bangladeshi heritage.

### 32 *Qualitative findings*

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35 Associated qualitative research will be published separately. Feedback was requested from  
36 clinicians, school staff, assessed children's parents, and parents of children with a  
37 neurodevelopmental disorder from a patients' panel.

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41 Both parents and clinicians were positive about school based assessment occurring (largely)  
42 in one day. This included the benefits of the child being in their normal routine and  
43 experiencing less anxiety than clinic visits. Parents were positive about not having to chase  
44 appointments and teachers were positive about involvement in all assessments.

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49 Clinicians valued multidisciplinary working and the positives of access to rich school based  
50 data. A SENCO from one of the school mentioned that *"I liked that everybody can come  
51 together because you are in one place, everybody that knows the child is there and then it is  
52 kind of written as a team around the child..."*. Parents commented that including school in  
53 the assessment process had helped teaching staff to adapt teaching and support for the  
54 child promptly. Challenges identified included difficulties coordinating different  
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V6 18. 06. 2020

professionals, children and parents together and last minute cancellations “*this process was highly dependent on administration both from the project and from school...*”. Other themes highlighted related to the diagnosis and a range of responses relating to concern from a parent that their child’s problems may be minimised or that they might be stigmatised.

## Discussion

This study has shown that it is feasible to carry out a larger study of a new assessment care pathway for neurodevelopmental problems across a district. We found that schools were very willing to take part in the study, and showed great interest in early identification of children with autism, and other support needs. All schools we approached in Bradford agreed to take part and facilitate the study. Teachers were supportive, completing 53 of 55 questionnaires about the children who did not receive the full autism assessment. The acceptability to families is relatively good, although some families withdrew from the study and some had concerns about the consequences of their child receiving a diagnosis of ASD. This suggests that care needs to be taken when considering the emotional consequences for the family. It is good practice to provide parenting support to families of children newly diagnosed with ASD and this should be a key part of new assessment pathways or future research.

In our trial, the EYFSP pre-screen identified 13% of the pupil population (78 pupils scoring less than 10 on the EYFSP out of 587 pupils). From this population, half scored highly on the SCQ such that approximately 6.5% of the population received an autism identification with the addition of the EYFSP pre-screen. This compares with 14% (11) in similar early life screening studies without a pre-screen stage. This has potential cost-effective benefits that we were unable to test but should be key parts of future research.

A recent paper (32) suggests an SCQ threshold of 12, with a sensitivity of 42% and specificity 89%. Other authors have used 15 (31). Our analysis shows 35 assessments identify 9 children with ASD and 23 assessments identify 8 children suggesting cost effectiveness analysis would be helpful in a larger study. Whilst we cannot accurately assess sensitivity in our study (as we have not assessed all the children in the sample for ASD), we used teacher based questionnaires (with ASD research diagnostic criteria) in 33 children with normal

V6 18. 06. 2020

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3 EYFSP scores and low SCQ scores and none had more than two flagged areas of concern on  
4 the research diagnostic criteria symptom list for ASD (5-6 is the threshold for diagnosis). This  
5 suggests that further research may reveal an improved sensitivity when EYFSP is used as a  
6 pre-screen before SCQ.  
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13 This study has shown that there may be promising alternatives to existing assessment  
14 pathways for ASD (i.e. the use of EYFSP sub-score as a pre-screen tool, prior to SCQ  
15 screening). Advantages to the clinical process include the fact that information can be  
16 gathered from the school with those who know the child best (parents/carers and teacher)  
17 in one day in an environment known to the child, which may give a more accurate  
18 assessment. Previous studies using screening instruments with similar sample sizes have  
19 found a third of the sample are lost to follow up (11). Our study has vastly lower attrition  
20 because of the close link with the clinical teams into schools where parents are in regular  
21 contact. The early identification of ASD means that children can access the best educational  
22 placement early, and allows the local authority to plan its services and resources. It may  
23 resolve inequalities seen in previous studies where sections of the population do not come  
24 forward for assessment (17, 18).  
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36 This study identified a number of new children (n=9) with a diagnosis of ASD. This has  
37 enabled support to be established early. All of these children were from ethnic minorities  
38 suggesting that this process may be addressing inequalities in early diagnosis found in  
39 previous studies (17), although this would need further large scale research to confirm. In  
40 other studies using the Social Communication Questionnaire, when children score above the  
41 threshold but do not have ASD, approximately 90% have a neurodevelopmental disorder or  
42 developmental problem of some sort requiring identification and support (33). In our study  
43 (using the EYFSP) this was 100% with all children having identified support needs.  
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51 The study was limited by its size suggesting further larger district level research with cost-  
52 effectiveness analysis needs to take place.  
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V6 18. 06. 2020

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### Contributor-ship statement:

Professor Barry Wright: Conceived of the presented idea, contributed to the design and delivery of the project and the writing up of the manuscript

Dr Konstantopoulou Kalliopi: Contributed to the design, delivery, data collection and the writing up of the manuscript

Kuldeep Sohal: Contributed to the design of the project and agreed with the manuscript's results and conclusions

Dr Brian Kelly: Contributed to the design of the project, completed the statistical analysis of the project and contributed to the writing of the manuscript

Dr Geoff Morgan: Contributed to the design, delivery of the project and agreed with the manuscript's results and conclusions

Cathy Hulin: Contributed to the design, overall organisation, data collection and writing up of the manuscript

Dr Sara Mansoor: Contributed to the design and delivery of the project

Professor Mark Mon-Williams: Contributed to the design of the project, agreed with the manuscript's results and conclusions

V6 18. 06. 2020

**Competing interests:** There are no competing interests for any author

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#### **Data sharing statement:**

Data are available upon reasonable request.

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V6 18. 06. 2020

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V6 18. 06. 2020

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23 **Figure 1. Number of children who had an autism assessment according to the EYFSP and**  
24 **SCQ scores**  
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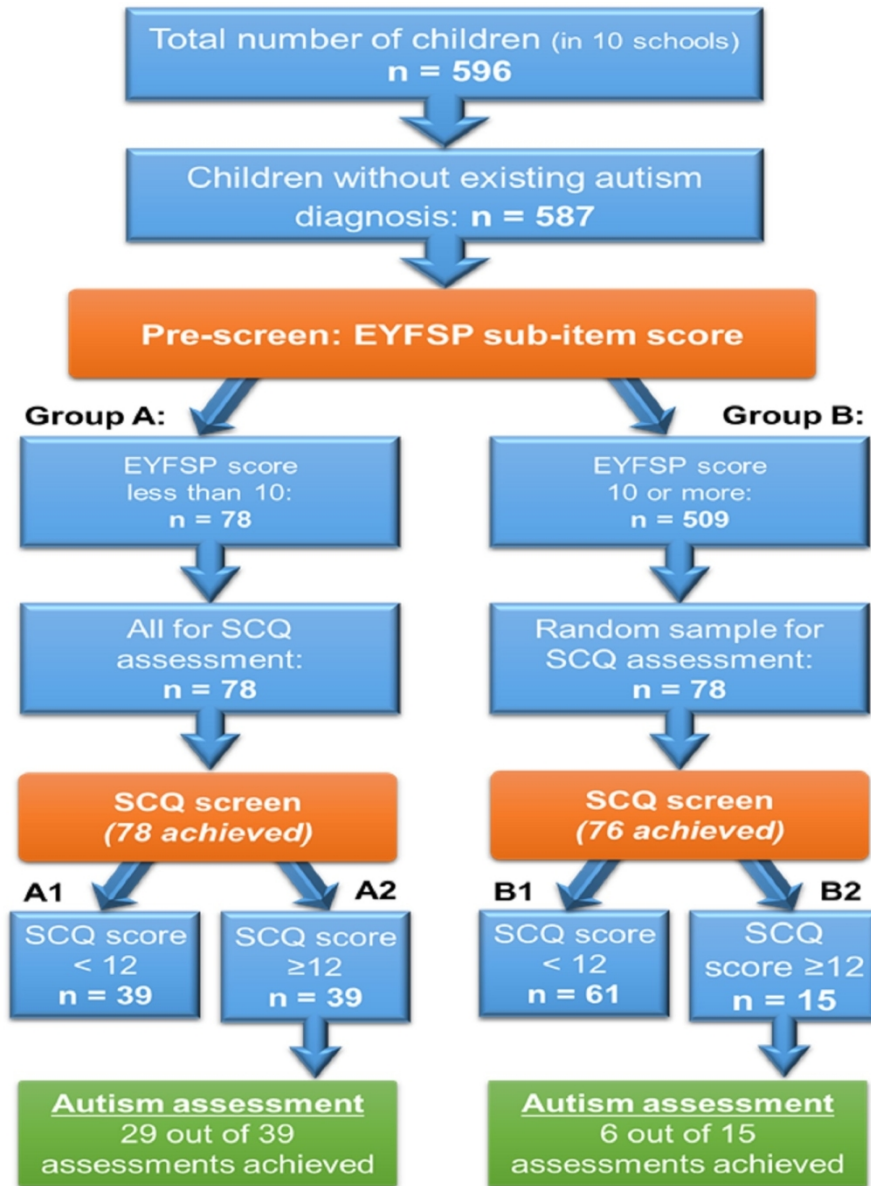


Figure 1

234x310mm (150 x 150 DPI)

1 STROBE Statement—Checklist of items that should be included in reports of *cohort studies*

	Item No	Recommendation
<b>Title and abstract</b>	1	Page 1
		Page 2
<b>Introduction</b>		
Background/rationale	2	Page 4
Objectives	3	Page 4 - 5
<b>Methods</b>		
Study design	4	Page 5
Setting	5	Page 8 – 9
Participants	6	Page 6
Variables	7	Page 9
Data sources/ measurement	8*	Page 9
Bias	9	Page 7
Study size	10	Page 7
Quantitative variables	11	Page 7
Statistical methods	12	Page 6
<b>Results</b>		
Participants	13*	Page 10
		Figures to be separately attached
Descriptive data	14*	Page 10 - 11

Outcome data	15*	Page 11
Main results	16	Page 11 – 12
Other analyses	17	Page 13 - 14
<b>Discussion</b>		
Key results	18	Page 15
Limitations	19	Page 16.
Interpretation	20	Page 16
Generalisability	21	Page 16
<b>Other information</b>		
Funding	22	Page 18

\*Give information separately for exposed and unexposed groups.

**Note:** An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at <http://www.strobe-statement.org>.