State-level variation in opioid prescribing after knee arthroscopy among the opioid-naïve in the USA: 2015–2019

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ABSTRACT

Objective It has been established that most patients prescribed opioids after minor surgery have tablets left over, better understanding the variation in opioid prescribing and variation in dosage of the prescription could guide efforts to reduce prescribing. This study describes the state-level variation in opioid prescribing after a knee arthroscopy among opioid-naïve patients.

Design Retrospective cohort study.

Setting Commercial insurance claims data.

Participants 98,623 individual across the USA with commercial insurance who were opioid-naïve and had a knee arthroscopy between 2015 and 2019.

Outcome measures Opioid prescriptions were measured as a pharmacy claim for filling an opioid within 3 days of a knee arthroscopy. We measured the patient and state-level opioid prescribing rate, tablet count, morphine milligram equivalent dose per prescription and risk-adjusted predicted opioid quantity.

Results Overall, 72% of patients filled an opioid prescription with a median tablet count of 40 and median morphine milligram equivalent of 250. Patients with an invasive procedure (27.9% vs 22.4%; p<0.001), higher education level (p<0.001) and fewer comorbidities (0.9 vs 1.2, p<0.001) had higher rates of opioid prescribing. The prescribing rate in the highest state, Nebraska (85%), was double the prescribing rate in the lowest state, South Dakota (40%). Comparing the casemix adjusted expected prescribing rate to the observed prescribing rate displayed that 18 states had observed prescribing rates that were higher than their expected prescribing rates.

Conclusion Wide variation in the likelihood of receiving a prescription, depending on state of residence, was observed. The dosages prescribed were high and have been associated with transition to long-term use. These findings suggest that there is substantial opportunity for the development of guidelines to reduce variability in opioid prescribing for this common ambulatory procedure.

INTRODUCTION

Between 1999 and 2016, opioid prescribing quadrupled to over 259 million prescriptions per year. Opioid-related deaths are the leading cause of unintentional death in the USA responsible for at least 47,000 fatalities in 2018.1 For many procedures, opioid analgesics have become the default standard of care for postoperative pain management and are the leading exposure of patients to opioid prescriptions, particularly among the opioid-naïve, even after low-risk surgical procedures.2–5 This can be problematic because a single prescription and higher dosage prescriptions have been associated with prolonged opioid use.6–14 Furthermore, 50%–70% of opioid tablets prescribed are never taken posing the risk of misuse and diversion.15

Surgical societies have called for more judicious opioid prescribing and have promoted the concept of ‘opioid stewardship’ in postoperative pain.16–18 As with long-standing efforts to promote antibiotic stewardship, the first step in establishing postoperative opioid stewardship initiatives is to establish baseline use, duration and variation by procedure and indication.19 Even though the national levels of prescribing are well documented, limited attention has been given to
the regional variation in opioid prescribing for opioid-naïve patients after common outpatient surgeries.\textsuperscript{4} 20–22 Orthopaedic arthroscopic procedures account for two of the top three most common outpatient surgical procedures performed in the USA, yet there is a dearth of literature benchmarking opioid prescribing rates and dosages for these procedures.\textsuperscript{23–25} Knee arthroscopy is the most common outpatient orthopaedic procedure in the USA, with approximately 1 million procedures per year.\textsuperscript{26} 27 A new report from National Academies of Sciences, Engineering and Medicine highlight knee arthroscopy as a priority indication for establishing evidence-based opioid prescribing guidelines.\textsuperscript{28} Establishing the baseline variation in opioid prescribing after knee arthroscopy is a critical knowledge gap to fill to establish quality improvement targets. Prescribing targets are essential in reducing prescribing with a large potential public health impact given the volume of this procedure and current lack of consensus for this procedure on postoperative opioid use.

The goal of our study was to describe the patient and state-level variation in postoperative opioid prescribing rates and dosages for opioid-naïve patients after a knee arthroscopy in the USA. Our investigation focused on the prescribing practices among the commercially insured, a relatively unexplored group of individuals in terms of opioid prescribing, but the one with the highest risk of opioid use and abuse.\textsuperscript{7} We hypothesised that there would be substantial variation in the state and patient-level prescribing rates and dosages, even after accounting for patient characteristics.

**METHODS**

We used the Clinformatics Data Mart Database (OptumInsight) from January 2015 to June 2019, which comprises commercial insurance claims from a large national US private health insurer covering 7.5 million lives annually represented in every state. We defined an index knee arthroscopy encounter as the earliest visit in which a beneficiary had a knee arthroscopy provider medical claim.\textsuperscript{29} We focused our analysis on opioid-naïve patients and excluded any patients who filled an opioid prescription within the 6 months preceding the index surgery date. We also excluded patients who did not receive the knee arthroscopy in the outpatient hospital or ambulatory surgical centre setting to retain a more homogeneous sample. Patients who did not have medical claims for the surgery and the operational facility charge on the same day or the day after were also excluded to mitigate concerns regarding the day of the actual surgery. Lastly, we excluded patients who had multiple knee arthroscopy surgeries to reduce the confounding effect of reoperation on the probability that opioid prescriptions were associated with additional surgeries.

We collected patient demographic information on age, gender, education, household income, ethnicity and the state where the surgery was performed. We identified the patients’ Elixhauser comorbidities, as well as diagnosis codes for drug abuse, alcohol abuse, depression and psychoses from any medical claims filed in the previous 6 months. We also used Current Procedural Terminology codes to classify knee arthroscopy procedures based on involvement of bone (invasive, such as anterior cruciate ligament repair) versus soft tissue only (non-invasive, such as simple knee arthroscopy) (See online supplementary appendix for a list of included Current Procedural Terminology codes).

**Patient and public involvement**

This research was done without patient involvement. Patients were not invited to comment on the study design and were not consulted to develop patient-relevant outcomes or interpret the results. Patients were not invited to contribute to the writing or editing of this document for readability or accuracy.
Definition of opioid prescription

We identified prescription claims based on the pharmacy claims and identified opioids according to National Drug Codes (excluding methadone and non-tablet formulations) filled within 3 days of the index visit. See online supplementary appendix for a description of included opioids. We excluded opioids primarily used for treatment of opioid use disorder. We attributed a filled prescription within 3 days of the surgery to the physician by extracting the encrypted National Provider Identifier.
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Figure 2 Details on the prescriptions filled within 3 days of the index date. It displays the distribution of the opioid fill for members who filled an opioid within 3 days of the index date for the quantity, MME and days supply. MME, morphine milligram equivalent.

Outcomes

The goal of the study was to describe the prescription rate, defined as the per cent of opioid-naïve patients who filled an opioid prescription within 3 days of the knee arthroscopy, and the regional variation of the prescription rate across the US states. Secondary outcomes of interest were the average quantity (in tablets) per prescription, and the total MME of the prescription. To assess the geographic variation, we aggregated all opioid outcomes to the state level, resulting in average outcomes for each state. We also analysed the primary and secondary outcomes by procedure type (invasive vs non-invasive). Lastly, we used age, race, ethnicity, level of education, comorbidities, procedure and state information to predict the probability of receiving an opioid prescription within 3 days using a logistic regression model to understand how observed versus predicted prescribing patterns vary after adjusting for patient characteristics. We then follow previously established methods by Delgado et al and estimated observed-to-expected state-level prescribing ratios with 95% CIs, with values over 1 indicating patients in that state that were more likely to fill opioids than expected, and less than 1 indicating patients in states that were less likely to fill opioids than expected.14

RESULTS

During the study period, 225,277 patients underwent knee arthroscopy. After exclusions, 98,623 opioid-naïve patients were available for the final analysis (figure 1) with 26,011 patients undergoing an invasive arthroscopic procedure involving drilling or cutting of bone and 72,612 patients who had a non-invasive arthroscopic procedure in which only soft tissue work was performed. Figure 1 displays that 72%
of opioid-naïve patients filled a prescription. The prescription rate was only slightly higher for invasive vs non-invasive procedures (76% vs 71%). Compared with patients who did not fill an opioid prescription in table 1, patients with an initial opioid prescription were more likely to be younger (46.7 years of age vs 52.3 years of age, p<0.001) and more predominately female (54.4% vs 53.0%, p<0.001). Those who filled an opioid prescription were more likely to be higher educated (have a bachelor’s degree or more 23.4% vs 21.6%, p<0.001), were more likely to have household incomes above US$100 000 (41.3% vs 38.4%, p<0.001), were slightly more likely to be white (73.2% vs 71.9%, p<0.001) and were more likely to have an invasive procedure relative to a non-invasive procedure (27.9% vs 22.4%, p<0.001). In terms of comorbidities, those who received an opioid prescription were more likely to have fewer comorbidities than those who did not receive an opioid (0.9 vs 1.2 Elixhauser Index score, p<0.001).

**Patient-level variation in dosages of opioid prescriptions**

We observed wide variation in opioid prescribing in terms of the number of tablets, the day’s supply and total MME for the 72% of patients who filled a prescription within 3 days of the index surgery (figure 2). The median prescription was for 40 tablets (IQR 30–50), 250 MME (150–375), with a median duration of 5 days (IQR 4–7) (online supplementary appendix table 1). At the 90th percentile, patients who filled a prescription with more than 60 tablets experienced a prescription duration of at least 13 days and an MME of more than 733 MME.

Translating the dosage to MME per day suggests that the median patient received an average daily dosage of 50 MME, which is the same as the 50 MME level identified as increasing the risks for overdose death by the Center for Disease Control (CDC).30 In terms of differences in prescribing by procedure type, invasive procedures resulted in a slightly higher average quantity, MME and day’s supply than non-invasive procedures, however, these findings are not-statistically different from each other (online supplementary appendix table 1 and figure 1).

**State-level variation in opioid prescribing rates and dosages**

We also observed wide variation in the state level in the proportion of patients who filled an opioid prescription within 3 days of the index date was also observed (figure 3). The observed prescription fill rate ranged from 40% in South Dakota to 85% in Nebraska (see also online supplementary appendix table 2). Figure 3 also highlights states that had statistically different observed prescribing rates either above (shown in red) or below the expected prescribing rate (blue) adjusted for casemix and covariates. Several states had observed prescribing rates well below the expected rate. North Dakota, South Dakota, Nevada, Kentucky and West Virginia had prescribing rates that were between 20% and 40% lower than expected based on patient characteristics. In contrast, Alabama, Rhode Island, Utah and Nebraska exhibited prescribing rates that were 10% higher than the expected rates. Overall, 18 states had prescribing rates that were higher than expected based on patient casemix (online supplementary appendix figures 2-4). These results highlight significant variation in terms of prescribing even after adjusting for patient characteristics.

While we found variation in observed to expected opioid prescription dosages at the state level, it was less dramatic than the variation in the prescription rate. The median tablet count for all states was 40 (IQR=36–42 tablets). Tablet count per prescription varied from 24.1 in Vermont to 44.9 in Oklahoma. The median state level MME was 277 MME (IQR=245–300 MME) per
Many patients. Over 5 risks when alternative strategies may be equally effective for these prescribing levels may pose adverse health. Our results mirror prior studies suggesting that postoperative pain management relies heavily on opioids, while more conservative treatments may be sufficient, especially for less severe cases, though few guidelines exist. The National Academies of the Sciences, Engineering and Medicine highlighted knee arthroscopy as a high priority procedure that would benefit from evidence-based guidelines for postoperative opioid prescribing. Orthopaedic-specific opioid prescribing guidelines could have a significant impact on reducing excessive variation in prescribing and reducing risks of long-term use and misuse. Health systems could implement lower electronic default opioid dosage based on these guidelines. These strategy has been shown to reduce opioid prescribing while still preserving clinician autonomy.

Future research should aim at understanding how many opioid tablets are actually needed to control pain after knee arthroscopy and to optimise and guide prescribing levels that minimise the opportunity for left over opioids and subsequent opioid diversion. Studies are also needed to identify whether there is a dosage threshold level that is associated with prolonged use and other long-term unintended health outcomes and consequences on overall patient care needs.

From a policy research perspective, it is critical to understand how differences in state opioid prescribing limits, policies on mandated Prescription Drug Monitoring Programme use, guidelines and culture contribute to the state-level variation in prescribing rates and dosages and associated downstream and local health outcomes. Insights gleaned from lower prescribing states could be applied to help reduce variation in higher prescribing states with the potential to safely reduce excessive prescribing.

**Limitations**

This study has several limitations. First, we were only able to measure filled prescriptions (not prescribed prescriptions) obtained within the population that generated an insurance bill, and cannot speak to the number of consumed tablets, or measure opioid prescriptions obtained through other channels. We potentially underestimated the prescribing rate, as unfilled prescriptions and filled prescription paid out of pocket were not captured. Second, unmeasured differences between patients, such as access to different provider networks, copayments and coinsurance may have contributed to the observable variation in opioid prescribing. Third, limitations in data do not allow us to decisively attribute patients to physicians. Excluding patients without a knee arthroscopy and an opioid prescription within a 3-day window should improve patient–physician match. Fourth, we cannot make any statements regarding how state policies may have already reduced prescribing, such as prescribing guidelines or of type of procedure and expected pain intensity and duration. This is an opportunity for improvement given that excessive opioid prescribing among the opioid-naïve is associated with the risk of long-term opioid use and left over tablets can be diverted and misused.

Our findings demonstrate that postoperative knee arthroscopy pain management relies heavily on opioids, while more conservative treatments may be sufficient, especially for less severe cases, though few guidelines exist. The National Academies of the Sciences, Engineering and Medicine highlighted knee arthroscopy as a high priority procedure that would benefit from evidence-based guidelines for postoperative opioid prescribing. Orthopaedic-specific opioid prescribing guidelines could have a significant impact on reducing excessive variation in prescribing and reducing risks of long-term use and misuse. Health systems could implement lower electronic default opioid dosage based on these guidelines. These strategy has been shown to reduce opioid prescribing while still preserving clinician autonomy.

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how effective policies may be.\textsuperscript{40–42} Lastly, our results are only generalisable to the general commercially insured opioid-naïve population who received a knee arthroscopy.

CONCLUSIONS

Our findings using US data from 2015 to 2019 suggest there is still wide patient and state-level variation in postoperative opioid prescribing for opioid-naïve patients undergoing knee arthroscopy. This suggests substantial opportunities to reduce practice variation with the development and implementation of knee arthroscopy-specific opioid prescribing guidelines. Development of such guidelines is urgently needed because of the potential health consequences associated with the current dosages being prescribed.

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