Measuring the Outcomes of Maternal COVID-19-related Prenatal Exposure (MOM-COPE): study protocol for a multicentric longitudinal project

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ABSTRACT

Introduction COVID-19 is a highly infectious respiratory disease that rapidly emerged as an unprecedented epidemic in Europe, with a primary hotspot in Northern Italy during the first months of 2020. Its high infection rate and rapid spread contributed to set the risk for relevant psychological stress in citizens. In this context, mother–infant health is at risk not only because of potential direct exposure to the virus but also due to high levels of stress experienced by mothers from conception to delivery. Prenatal stress exposure associates with less-than-optimal child developmental outcomes, and specific epigenetic mechanisms (eg, DNA methylation) may play a critical role in mediating this programming association.

Methods and analysis We present the methodological protocol for a longitudinal, multicentric study on the behavioural and epigenetic effects of COVID-19-related prenatal stress in a cohort of mother–infant dyads in Northern Italy. The dyads will be enrolled at 10 facilities in Northern Italy. Saliva samples will be collected at birth and at 12 months, mothers will provide data on child behavioural and socioemotional outcomes, their own psychological status, stress-related mental health, and infant sensitive developmental windows characterised by enhanced neuroplasticity and susceptibility to environmental exposures, such as pregnancy and neonatal life.

Ethics and dissemination This study was approved by the Ethics Committee (Pavia). Results will be published in peer-reviewed journals and presented at national and international scientific conferences.

Trial registration number NCT04540029; Pre-results.

INTRODUCTION

COVID-19 is a highly infectious respiratory disease that during the first months of 2020 quickly spread worldwide and reached the status of an unprecedented pandemic. The high risk of COVID-19 infection—together with the lack of clear scientific knowledge of this virus—represents a direct and indirect risk factor for citizens’ psychological health. More specifically, the COVID-19-related psychological stress should not be underestimated especially during specific sensitive developmental windows characterised by enhanced neuroplasticity and susceptibility to environmental exposures, such as pregnancy and neonatal life.

In this scenario, the mother–infant health is at risk not only because of potential direct exposure to the virus but also due to high levels of stress continuously experienced by mothers from conception to delivery. The exposure to prenatal maternal stress predicts a wide variety of behavioural, emotional, cognitive and physical outcomes in the offspring. A recent literature review
findings were reported by Cecil and collaborators for greater methylation of the \textit{NR3C1} gene in infants. Similar maternal stress during pregnancy was associated with the risk of preterm birth.14 Notably, not only the stress experienced during pregnancy, but also maternal regulatory processes, such as coping strategies, may play a crucial role in protecting children’s developmental trajectories in the face of adverse environmental conditions.15

Epigenetic processes regulate the expression of genes and are especially sensitive to adverse environmental exposures.15 16 DNA methylation is a specific epigenetic mechanism that consists in the binding of a methyl group to dinucleotides of cytosine and guanine (CpG sites) and contributes to reduce gene expression (ie, gene silencing).17 Previous research documented that the early exposure of the fetus and the infant to sources of maternal stress may affect the methylation status of genes involved in stress regulation. This is especially true for prenatal stress exposure. Previous research has highlighted that maternal stress during pregnancy may associate with altered DNA methylation of genes involved in stress regulation, behaviour and emotional development.18 Target genome loci include CpG islands of the glucocorticoid receptor gene (\textit{NR3C1}),19 the serotonin transporter gene (\textit{SLC6A4}),20 the oxytocin receptor gene (\textit{OXTR}),21 the brain-derived neurotrophic factor (\textit{BDNF})22 and the inflammatory-related \textit{FKBP5} gene.23 Monk and colleagues24 reported that higher perceived maternal stress during pregnancy was associated with greater methylation of the \textit{NR3C1} gene in infants. Similar findings were reported by Cecil and collaborators for the \textit{OXTR} gene. Altered methylation of the \textit{SLC6A4}20 was also observed in mothers and infants after exposure to depressed mood in pregnancy. Notably, altered methylation of these genes may ultimately associate with less than optimal behavioural and neuroendocrine development in the offspring.25–29 This rapidly accumulating evidence suggests that the study of epigenetic mechanisms—and especially the status of stress-related genes—during pregnancy may provide critical insights on the potential role of prenatal stress on maternal and infant well-being.

Additionally, the literature to date also features examples of the epigenetic effects of large-scale disasters. Behavioural epigenetic research conducted on the survivors of the World Trade Center terrorist attack in 2001 or healthcare emergencies such as the Canadian Ice Storm in 1998 highlighted the role played by stress-related genes’ epigenetic regulation in mediating the exposure to maternal stress on children outcomes later in life.30–32 As pregnancy is a sensitive period for developmental programming, investigating the epigenetic and behavioural consequences of COVID-19-related stress in infants of pregnant women who lived in the geographical area of Northern Italy—characterised by the most rapid virus spread in Europe—is a unique quasi-experimental condition that has relevant implications. Moreover, as previous studies were for the most part retrospective,33 the study of the epigenetic and behavioural consequences of COVID-19-related stress in pregnant women may provide innovative evidence from a prospective and longitudinal study design.

**METHODS AND ANALYSIS**

**Aims**

**Main aims**

Consistently, in the first months of 2020, we have launched a multicentre, longitudinal and prospective study, namely, the Measuring the Outcomes of Maternal COVID-19-related Prenatal Exposure (MOM-COPE) research project. The main goal of the MOM-COPE study is to assess the immediate effects of COVID-19-related stress exposure during pregnancy on the developmental outcomes of infants at birth and collect follow-up data throughout the first year of life, exploring the role of epigenetic regulation in mediating these effects.

**Specific aims and hypotheses**

The first specific aim is to investigate the association between prenatal maternal stress related to the COVID-19 epidemic and infants’ behavioural and socioemotional outcomes from birth to 12 months of age (figure 1A). Previous studies have largely suggested that maternal prenatal stress may have long-lasting effects on infants’ development during the first months of life. Consistently, we hypothesise that infants of mothers reporting higher...
levels of COVID-19-related stress during pregnancy will show evidence of less than optimal behavioural and socio-emotional development.

The second specific aim is to investigate infant epigenetic signatures of COVID-19-related stress exposure by assessing the association between the levels of stress experienced by the mother during pregnancy and the DNA methylation level of target genes involved in stress regulation and socioemotional development: SLC6A4, NR3C1, BDNF, OXTR and FKBP5 (figure 1B). The regions of interest of the target genes have been previously shown to be susceptible to regulation (ie, hypermethylation profiles) in response to environmental exposures both in adults and infants. As such, we hypothesise that mothers who reported higher levels of COVID-19-related prenatal stress and their infants would exhibit higher levels of methylation at the specific target genes assessed in the study.

Finally, the third specific aim is to explore the role of target-gene methylation level in mediating the association between maternal prenatal stress related to the COVID-19 emergency and infant developmental outcomes from birth to the 12-month follow-up (figure 1C). Previous research had limitations in testing prospective mediation models of target genes' methylation on the association between early adversities and later developmental outcomes in human infants and children. However, the longitudinal and prospective nature of this study supports the test of the hypothesis of a mediation role played by target genes’ methylation levels on the link between maternal COVID-19-related prenatal stress and infants’ behavioural and socioemotional outcomes.

**Study design**

This multicentre, longitudinal and prospective study will enrol mother–infant dyads from 10 hospital facilities located in Northern Italy (figure 2). These facilities are geographically situated in the area that was first affected by the COVID-19 virus spread (eg, Pavia, Cremona, Lodi, Piacenza, Milano). The study includes two enrolment waves. First, the ‘COVID-exposure pregnancy, CEP’ wave will be enrolled from May to November 2020 in order to consecutively include mothers who were exposed to the COVID-19 emergency during the first 5–11 months of 2020. The second wave (ie, ‘non-exposure pregnancy, NEP’) will be recruited from May to November 2021 from the same hospital facilities in order to include mothers who have not experienced the same COVID-19 stress exposure.

**Enrolment**

Properly trained professionals will enrol mother–child dyads within 48 hours from birth at the participating neonatal units. Consecutive enrolment will be adopted, according to the inclusion and exclusion criteria reported in the Population section. Both mothers and fathers will provide written informed consent when possible. Nonetheless, due to the added time constraint, we will also explore (1) the association between maternal COVID-19-related prenatal stress and the methylation status of specific target genes in mothers, (2) the concordance of infant and maternal methylation status of the same target genes and (3) the effect of prenatal COVID-19-related stress on maternal psychological health (ie, parenting stress, depression, anxiety) from delivery to the 12-month follow-up.

**Additional exploratory aims**

As the exposure to stress during pregnancy may also affect maternal epigenetic regulation, we will also explore (1) the association between maternal COVID-19-related prenatal stress and the methylation status of specific target genes in mothers; (2) the concordance of infant and maternal methylation status of the same target genes and (3) the effect of prenatal COVID-19-related stress on maternal psychological health (ie, parenting stress, depression, anxiety) from delivery to the 12-month follow-up.
restrictions adopted to contain and mitigate the COVID-19 virus spread, both parents will be allowed to provisionally provide online informed consent and they will provide proper signed informed consent when possible.

Population

Inclusion criteria are maternal Italian nationality or full mastery of Italian language in order to access the online questionnaires autonomously, no prenatal or perinatal risks, maternal age higher than 18 years and newborns’ gestational age ≥37 weeks. Mother–infant dyads will not be considered eligible to the study in the presence of the following exclusion criteria conditions: single-parent mothers, medically assisted conception, infants’ genetic or metabolic comorbidities, perinatal injuries or sensory deficits.

Procedures

The methodology adopted in the MOM-COPE study aims to obtain reliable and valid measures to respond to the specific aims, while maximising the adherence to and respect of the Italian strategies for COVID-19 mitigation and containment. An overview of the study protocol and procedures is reported in figure 3. After obtaining informed consent, saliva samples will be taken from mothers and infants between 12 and 48 hours after delivery. This timing is meant to minimise the contamination of maternal fluids on the newborns’ mouth and still maintain a consistent time window for biological assay among the different neonatal units involved. Neonatal data will be obtained from medical records.

At the same time, mothers will receive a web-link by email with the request to fill out the first set of questionnaires to collect sociodemographic information, maternal COVID-19-related prenatal stress exposure, depressive and anxious symptoms, coping style and perceived social support. This set of questionnaires will be replicated at 3, 6 and 12 months of age of the infant, with the addition of a brief parenting stress questionnaire and maternal report of infants’ behavioural and socioemotional development. Finally, at 12 months of age, a videotaping of a semistructured mother–infant interaction will be obtained through video conferencing. The 8-minute mother–infant interaction will include normal face-to-face play with no toys (2 min), response to physical (train sound) and social (calling the infant name) auditory stimuli played remotely by the experimenter (2 min), a still-face episode (2 min) and finally a normal face-to-face play with no toys (2 min). The use of the pacifier will not be allowed during this procedure. The mother will be instructed at the start of the video conference to prepare a setting in which she can sit in front of her infant placed in the infant seat, easily maintaining eye contact and close enough to facilitate hand contact.

Core variables and measures for the specific aims

Maternal COVID-19-related Prenatal stress exposure

The Maternal COVID-19-related Prenatal Stress (MCPS) is a brief ad hoc self-report questionnaire that includes 28 items (a copy of the MCPS tool is included in online supplemental file S1). The items have been theoretically developed based on previous research on maternal stress response and coping in response to adverse experiences. They capture four different dimensions of MCPS: physical exposure, emotional elaboration, cognitive elaboration and lifestyle. The dimensions were identified on the basis of the literature about stress response and coping strategies, which highlighted the relevance of the stressors’ level of exposure together with the subject’s response on the emotional, cognitive and behavioural levels. The items of each dimension were developed and selected on the basis of authors’ clinical experience. Physical exposure (MCPSPE) includes seven dichotomous (0, no; 1, yes) items capturing the maternal exposure to the risk or actual contagion for her and her close significant others. The MCPSPE score will be obtained by summing the ratings of each item (raw score range: 0–7). Emotional elaboration (MCPSLE) includes six 5-point Likert scale items capturing the emotional and psychological stress response related to the COVID-19 emergency. The MCPSLE score will be obtained by computing a mean of the ratings obtained for each item (raw score range: 1–5). Cognitive elaboration (MCPSCE) includes nine 5-point Likert scale items related to coping strategies adopted to deal with COVID-19 stress and emotional burden. The MCPSCE score will be obtained by computing a mean of the ratings obtained for each item (raw score range: 1–5). Lifestyle (MCPSLS) includes six 5-point Likert scale items targeting changes to habits and daily life behaviours to deal with the pandemic. The MCPSLS score will be obtained by computing a mean of the ratings obtained for each item (raw score range: 1–5). The obtained raw scores will be standardised using z-score correction.

Infant developmental outcomes

Infants’ behavioural and socioemotional development will be assessed through the 91-item maternal-reported Infant Behaviour Questionnaire—Revised (IBQ-R) short form which has proved satisfactory reliability and validity in the Italian context. The IBQ-R items are rated on a 7-point Likert scale and they are summarised into 14 scales, further...
Target-gene methylation assessment

The saliva will be collected from both mothers and infants using the OraCollect for Pediatrics kit OC-175 (DNA Genotek, Ottawa, Canada). Samples will be stored at room temperature by each neonatal unit and then shipped to the laboratory of the Scientific Institute IRCCS E. Medea (Bosisio Parini, Lecco, Italy) every 2 months. According to procedures adopted in previous research from the principal investigator, genomic DNA will be extracted following manufacturer’s protocols and its quality will be assessed using a Qubit fluorometer (Invitrogen, Thermo Fisher Scientific, Waltham, Massachusetts, USA). The methylation status of specific portions of the BDNF, FKBP5, NR3C1, OXTR and SLC6A4 genes will be assessed by PCR amplification of bisulfite-treated DNA followed by Next Generation Sequencing (NGS) on a NEXTRSeq-500 (Illumina, San Diego, California, USA). We will analyse one region of SLC6A4 (chr17:28502750–28502958, 20 CpGs); one region of NR3C1 (chr14:14276399-14276425, 44 CpGs); one region of BDNF (chr11:27723017-27723244, 14 CpGs); two regions of OXTR (promoter, chr3:8811489-8811838, 9 CpGs; intron 1, chr3:8810654-8810919, 13 CpGs), FKBP5 intron 5 (chr6: 35558405-35558550, 3 CpGs). A schematic summary of the CpG sites included for each gene is reported in figure 4.

Other measures for the additional exploratory aims

Neonatal data

The following data will be collected from medical records: sex (0, female; 1, male), gestational age (weeks), birth weight (grams), Apgar at minute 1 and 5 (range: 1–10), head circumference (cm), length (cm), type of delivery (0, spontaneous vaginal; 1, operative vaginal; 2, elective caesarean; 3, emergency caesarean), small for gestational age (percentile), intrauterine growth restriction (0, no; 1, yes), presence of morbidities (0, no; 1, yes), description of morbidities (text) and cord blood gas values (ie, pH, pO2, pcO2, lactate, bicarbonate and base excess).

Figure 4  Schematic summary of the target genes’ regions of interest for the present study.
Sociodemographic data

Mothers will be asked to provide information about age (date of birth), educational level (highest educational degree) and occupational status.

Maternal depressive symptoms

The Beck Depression Inventory (BDI-II) is a 21-item scale questionnaire widely used to assess depressive symptomatology. Each item is rated on a 4-point Likert scale. The BDI-II is commonly used in research on non-clinically depressed samples.

Maternal anxious symptoms

The 40-item State-Trait Anxiety Inventory (STAI-Y) includes a measure of transient anxiety symptoms (state anxiety: items 1–20) as well as a measure of anxiety traits (trait anxiety: items 21–40). Each STAI-Y item is rated on a 4-point intensity scale.

Maternal parenting stress

Parenting stress will be assessed through the Parenting Stress Index Short Form (PSI-SF). It is a 36-item self-report questionnaire. It includes three subscales (Parental Distress, Parent–Child dysfunctional interaction and Difficult child) that are merged into a global parental stress score.

Maternal perceived social support

Social support will be measured through the Multidimensional Scale of Perceived Social Support (MSPSS) which measures perceptions of support from three sources: family, friends and significant other. The scale consists of 12 items, with 4 items for each subscale.

Maternal coping style

Coping strategies will be measured through the Coping Orientation to Problems Experienced—New Italian Version (COPE-NVI). It is a 25-item self-report questionnaire that assesses preferential strategies used to cope with situations perceived as problematic. It includes five dimensions: Social support, Avoidance strategies, Positive attitude, Problem solving and Turning to religion.

Maternal sensitivity

Maternal sensitivity will be assessed from the videotaped mother–infant interactions obtained at 12-month infants’ age using Global Rating Scales, II Edition (GRS) by Murray and colleagues (unpublished manual). This system has documented discriminant validity with populations of infants at low and high risk, as well as cross-cultural validation. Maternal sensitivity is coded on a global rating scale ranging from 1 (the mother does not try to interpret the majority of infant’s signals, she does not respect his/her attempts to communicate and respond appropriately to his/her intentions; moreover, she may mock and laugh at him, with little or no sympathy at all) to 5 (the mother is highly sensitive for all the duration of the face-to-face interaction, producing frequent exaggeration, mirroring and affirming responses to the infant’s signals).

Statistical power and sample size estimation

A minimum sample size of 105 subjects per group (alpha=0.05, beta=0.05, power=0.95, effect size, d=0.50) was estimated using G*Power software on the basis of previous research on the effect of maternal prenatal stress on infant development. Moreover, due to the longitudinal nature of the study, we estimate a 15% attrition rate at each of the three follow-up assessments, resulting in an initial oversampling of 160 mother–infant dyads per group (total sample size=320). Each neonatal unit will enrol at least 15–20 subjects for each group.

Plan of statistical analyses

R and IBM SPSS 26 packages will be used for the statistical analysis. Participants with more than 20% of missing data will be excluded from the analyses.

Specific aims

CEP and NEP groups will be preliminarily compared for sociodemographic and neonatal characteristics. In order to respond to the first specific aim of the MOM-COPE study, separated hierarchical regression models will be used to test the independent and joint predictive role of group and MCPS scales on infants’ IBQ-R domain scores. The main additional sources of maternal stress have been removed through inclusion and exclusion criteria set out; however, potential confounders (eg, low socioeconomic conditions or traumatic events unrelated to the pandemic detected through self-report questionnaires) could not be completely excluded. Their intervening role will be preliminarily checked with Pearson’s bivariate correlations and they will be included in the model if significantly associated with the outcome measures. In order to respond to the second specific aim, bivariate Pearson’s correlations will be used to assess the association between MCPS scales and target genes’ CpG methylation in the CEP and NEP groups. A cluster analysis will also be considered to explore the presence of multigene methylation patterns significantly linked with prenatal maternal stress related to the COVID-19 emergency. Finally, to respond to the third specific aim, general linear models will be used to assess the role of target genes’ methylation at CpGs significantly linked with MCPS scales in mediating the association between maternal prenatal stress and infants’ IBQ-R domains in both groups.

Exploratory aims

Pearson’s bivariate correlations will be used separately in both groups (ie, CEP and NEP) to assess (1) the association between maternal COVID-19-related prenatal stress and the methylation status of specific target genes in mothers, (2) the concordance of infant and maternal methylation status of the same target genes and (3) the link between prenatal COVID-19-related stress and maternal psychological health (ie, parenting stress, depression, anxiety) at 3, 6 and 12 months’ follow-up.
DISCUSSION

Limitations
First, the quasi-experimental method of the study does not allow to have a pre-pandemic measure of maternal mental health. Second, the self-report nature of maternal stress measures may be partially biased by the post hoc recall. Third, the planned enrolment of the NEP control group may be hampered by the enduring of COVID-19 emergency in the year 2021. Nonetheless, the recruitment of the NEP group will still occur after 1 year of emergency exposure and it will still be interesting to evaluate how maternal psychological response to the pandemic may vary between those exposed during the outbreak and those exposed after 12 months of being used to containment measures in daily life. Fourth, the inclusion criteria allow to control additional sources of maternal stress; however, they tend to define a medium-high socioeconomical portion of the eligible population.

Expected results and implications
This study can provide unprecedented relevant insights on the biochemical mechanisms underlying the impact of prenatal maternal stress on the infants, highlighting pathways of risk during a heightened period of neurodevelopmental plasticity and environmental sensitivity. First, as pregnancy is a specific sensitive window, mother and the newborn may be more susceptible to adverse environmental exposure as the COVID-19 emergency and this study will provide both behavioural and up-to-date epigenetic evidence of these effects. Second, this research project is warranted to contribute to the rapidly expanding and established field of behavioural epigenetics, providing novel prospective evidence about the intergenerational transmission of adverse environmental exposures. Third, by highlighting the presence of risk pathways for less-than-optimal behavioural and socioemotional development in infants of mothers exposed to the COVID-19-related stress during pregnancy, this research may also provide insights about the potential areas of preventive interventions in this population and in future similar events. Finally, this study will indirectly result in a large-scale repository of data about the early well-being and emotional development of infants in a region of Northern Italy that has been especially affected by the COVID-19 epidemic. As such, this study offers opportunity for longitudinal and multiple follow-up projects that may deepen the study of short-term and long-term impact of the COVID-19 epidemic on maternal and children health and well-being. Indeed, COVID-19 represents a case of social–economic–political–emotional stressor that may determine biological consequences on newborns through both direct and indirect sequelae which affect the living conditions of mothers during pregnancy. For example, available evidence suggests that mothers are altering some aspect of their birth plan and they may be exposed to increased risk of depressed mood during the COVID-19 emergency.

Patient and public involvement
Active engagement of families will be pursued through public dedicated website communications, digital content and leaflet reporting on the implications of the study and webinars. The involvement of relevant professional categories (eg, psychologists, neonatologists, paediatricians, obstetrics and gynaecologists) will be achieved by means of online webinars and meetings with the colleagues of the participating hospitals.

ETHICS AND DISSEMINATION
The study has received the approval of the Ethics Committee Pavia on 16 April 2020, Protocol number 20200037366. All the procedures are consistent with the Declaration of Helsinki ethical principles for research involving human subjects. The procedures do not imply any harm to the participating subjects nor any change to neonatal and mother–infant care programmes in place at the participating hospitals. The study protocol has also been registered on NIH Clinical Trials. The dissemination plan includes the presentation of findings at national and international scientific meetings, as well as the publication in scientific journals in the field of developmental psychology, neuroendocrinology, psychobiology, behavioural genetics and mother–infant health. The findings will also be disseminated to the public through reach-out activities involving families and healthcare specialists in order to promote a culture of mother–infant care that benefits from evidence-based research and further contribute to the long-term promotion of family-centred health and well-being.

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Contributors
LP and RBor conceived the study protocol and the rationale and methods for the project; LP, SG and RBor conceived the paper and wrote a substantial part of it; RGio contributed to study design for the biological methods; GB, RIno, AC, LD, BD, RF, EF, BG, RN, CP, FS, MINS, PV and SG contributed to define procedural decisions, study design and methodology, and definition of patients’ inclusion criteria. All authors read and approved the final manuscript.

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