Screening test accuracy to improve detection of precancerous lesions of the cervix in women living with HIV: a study protocol

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ABSTRACT

Introduction The simplest and cheapest method for cervical cancer screening is visual inspection after application of acetic acid (VIA). However, this method has limitations for correctly identifying precancerous cervical lesions (sensitivity) and women free from these lesions (specificity). We will assess alternative screening methods that could improve sensitivity and specificity in women living with human immunodeficiency virus (WLHIV) in Southern Africa.

Methods and analysis We will conduct a paired, prospective, screening test accuracy study among consecutive, eligible women aged 16–65 years receiving treatment for HIV/AIDS at Kanyama Hospital, Lusaka, Zambia. We will assess a portable magnification device (Gynocular, Gynius Plus AB, Sweden) based on the Swede score assessment of the cervix, test for high-risk subtypes of human papillomavirus (HR-HPV, Genexpert, Cepheid, USA) and VIA. All study participants will receive all three tests and the reference standard at baseline and in six-month follow-up. The reference standard is histological assessment of two to four biopsies of the transformation zone. The primary histological endpoint is cervical intraepithelial neoplasia grade two and above (CIN2+). Women who are VIA-positive or have histologically confirmed CIN2+ lesions will be treated as per national guidelines. We plan to enrol 450 women. Primary outcome measures for test accuracy include sensitivity and specificity of each stand-alone test. In the secondary analyses, we will evaluate the combination of tests. Pre-planned additional studies include use of cervigrams to test an automated visual assessment tool using image pattern recognition, cost-analysis and associations with trichomoniasis.

Ethics and dissemination Ethical approval was obtained from the University of Zambia Biomedical Research Ethics Committee, Zambian National Health Regulatory Authority, Zambia Medicines Regulatory Authority, Swissethics and the International Agency for Research on Cancer Ethics Committee. Results of the study will be submitted for publication in a peer-reviewed journal.

Trial registration number NCT03931083; Pre-results.

Strengths and limitations of this study

- The study design allows evaluation of screening test accuracy of stand-alone and combinations of tests with adequate precision.
- This is the first study to assess the test accuracy of the Gynocular and validation of the Swede score assessment of the cervix in women living with human immunodeficiency virus (WLHIV).
- All screened women will receive the reference standard of histological assessment at both baseline and follow-up, thereby reducing verification and misclassification bias.
- We will test an automated visual assessment tool, using a deep-learning algorithm in WLHIV.
- We limited the number of screening tests to be evaluated and excluded Papanicolaou testing, testing for DNA methylation, and human papillomavirus oncoproteins E6 and E7, as these were less suitable to implement in our study setting.

INTRODUCTION

The risk of invasive cervical cancer is higher for women living with humanimmunodeficiency virus (WLHIV) than for HIV-uninfected women.1–4 Cervical cancer remains the leading cause of death from cancer among women in Zambia, despite the introduction of an integrated service for HIV care and cervical screening in 2006.1 In 2020, human papillomavirus (HPV) vaccination was rolled out for adolescent girls in Zambia, but the effects on reducing the burden of cervical cancer will not be seen for at least 10 years.5 Until then, secondary screening strategies are essential. Existing screening strategies in Southern Africa, so far, have not reduced the high burden of disease.1 5 The simplest and cheapest method for cervical cancer screening is visual inspection of the uterine
cerclix after application of 3%–5% acetic acid (VIA), and this method is employed widely in low- and middle-income countries (LMICs). When used as a stand-alone test, VIA has variable accuracy and high subjectivity. Studies in sub-Saharan Africa have reported large variations in sensitivity of VIA when compared with histological assessment: 25% (95% CI 7% to 59%) in Cameroon, 48% (95% CI 30% to 67%) in Zambia, and 86.6% (95% CI 81.1% to 91.6%) in Kenya. The test accuracy of VIA is low when compared with the tests in screening pathways of high-income countries, which include Papanicolaou smear, testing for high-risk HPV (HR-HPV) testing) and colposcopy, especially among WLHIV. Papanicolaou testing is hard to implement and scale-up in many countries, including Zambia, owing to shortages of pathologists and high loss to follow-up.

The World Health Organization (WHO) advocates for urgent investigation into the best methods of cervical cancer screening for WLHIV in LMICs, but a comprehensive evaluation of alternatives has not yet been performed. In sub-Saharan Africa, screening for precancerous lesions of the cervix using HR-HPV testing has a sensitivity of 88.3% (95% CI 73.1% to 95.5%) and specificity of 73.9% (95% CI 50.7% to 88.7%). The WHO call for the elimination of cervical cancer has led to huge efforts to improve access to point-of-care HR-HPV testing in countries where this was previously too expensive to implement. It may soon be possible to roll out widespread HR-HPV testing in many LMICs. However, the high prevalence of HR-HPV infection in WLHIV means that a screening strategy using HR-HPV alone may leave many women in need of treatment. This study provides an opportunity to assess the test accuracy of HR-HPV as a stand-alone test, and in combination with other tests, which might aid in treatment decisions.

We will investigate screening methods that could improve sensitivity and specificity for the detection of precancerous cervical lesions in WLHIV and could be scaled up in Zambia. We consider three strategies: Gynocular (Gynocular, Gynius Plus AB, Sweden), HR-HPV (GeneXpert, Cepheid, USA) and VIA, that adhere to the ‘screen and treat’ principle. This is essential to ensure that screening is adequately linked to treatment and to minimise loss to follow-up. In addition to VIA and HR-HPV testing, we will assess the Gynocular mobile magnification device and Swede score of severity of cervical lesions. The Gynocular (figure 1) is battery-operated, allows inspection with a green light, has two brightness settings for the white light and has three magnification settings (5×, 8×, 12×), which allows magnified examination of the cervix, exceeding what is possible from low-magnification devices. Five published studies have examined the potential applications of the Gynocular in a general population. In clinical settings, the Gynocular is used with a validated scoring system for assessment of the cervix, the Swede score (the Swedish score), a tool to make colposcopic diagnosis less subjective. This study includes the first assessment of the Gynocular in WLHIV.

The methods of the present study also allow the incorporation of substudies, including: (1) investigation of the accuracy of an automated visual assessment tool, using a deep-learning method for image recognition, outside of the clinical setting, which has shown potential in a study published in 2019; (2) a cost-analysis; and (3) a study of associations between Trichomonas vaginalis and menstrual hygiene practices. The methods and statistical analysis plans for these studies will be described separately.

In this paper, we present the protocol for a clinical study to estimate test accuracy of Gynocular, HR-HPV and VIA in a stand-alone capacity, and in combination, in WLHIV in Lusaka, Zambia.

The primary objective is to:
1. Estimate the sensitivity and specificity of the Gynocular, HR-HPV and VIA when used as stand-alone tests to detect cervical intraepithelial neoplasia, grade two and above (CIN2+) among WLHIV.

The secondary objectives are to:
1. Determine other measures of test accuracy of the Gynocular, HR-HPV testing and VIA.
2. Determine test accuracy for combinations of screening tests, that is, HR-HPV followed by Gynocular or VIA.
3. Investigate effects of patient characteristics on test accuracy.
4. Determine optimal cut-offs for Swede score assessment of cervical lesions to identify CIN2+ lesions among WLHIV.

METHODS

Study design

This study received ethical approval and is reported in accordance with the 2015 ‘Standards for Reporting Diagnostic accuracy studies’ (STARD) guidelines. We present a single site, paired, prospective, screening test accuracy study in WLHIV in Lusaka, Zambia. All data collection is performed prospectively. Study data are recorded using paper and electronic Case Report Forms.

Figure 1 The Gynocular (Gynocular, Gynius Plus AB, Sweden). This photograph was taken at the Centre for Infectious Disease Research in Zambia headquarters on the 27th of April 2020 for the purposes of this manuscript.
(CRFs). A trained data associate enters data from paper CRFs into a password-protected electronic database (Research Electronic Data Capture, Vanderbilt University, Nashville, USA) once a week. This secure web application managing online databases is monitored with the support of the Clinical Trials Unit at the University of Bern. All paper form entries and electronic form entries are verified.

Participants
The study population includes women enrolled in the antiretroviral therapy (ART) programme at Kanyama hospital in Lusaka, Zambia. In addition to ART services, the hospital has provided cervical screening since October 2006. The clinic has a well-established, VIA-based ‘screen and treat’ approach to testing for precancerous cervical lesions. The main referral site for the study is the University Teaching Hospital, the tertiary level hospital in Lusaka, Zambia.

Patient enrolment occurs during the working hours of the ART clinic. A study research assistant gives a prescreening sensitisation talk to groups of women during their HIV clinic visits. The talk includes information about the study requirements, as well as the benefits and risks of being involved. Women who are interested in participating then present themselves to the cervical screening clinic check-in desk, which is in a neighbouring building on the same hospital site. A study assistant determines eligibility. The study assistant explains the study in more detail and obtains written consent from eligible women in a private room. The consent form is available in two local languages (Nyanja and Bemba) and has been back translated into English to ensure accuracy. For illiterate study participants, a literate impartial witness is present during the entire consent process to ensure that all the relevant information has been provided and that the participant gives consent for participation voluntarily. These participants indicate their consent by placing their thumbprint on the consent form. Patients who do not wish to participate in this study continue to receive cervical screening care and treatment according to the local standard of care. A maximum of four consecutive participants per day can be enrolled during clinic hours.

The inclusion criteria are:
1. Women attending the ART programme, with HIV infection status confirmed from medical records.
2. Living within Kanyama district and intending to stay in the area for the next six months.
3. Age between 18 and 65 years.
4. Capacity and willingness to give consent.
5. Willingness to undergo a pelvic examination and cervical cancer screening.
6. Ever having had sexual intercourse.
7. Agreement to return for a follow-up appointment in 6 months.

The exclusion criteria are:
1. A history of cervical cancer or hysterectomy where the cervix was also removed (previous treatment for precancerous lesions is permitted).
2. Pregnant or plans to become pregnant within six months of enrolment.
3. Having received vaccination against HPV.

Test methods and study procedures
All consenting study participants complete a nurse-administered questionnaire by pen and paper, and undergo baseline testing for HIV RNA viral load, CD4 cell count and T. vaginalis. They also undergo all screening tests: HR-HPV testing, VIA screening and Gynocular assessment, in that sequence, during their first visit (figure 2). Two nurses and one research assistant are involved in data and specimen collection, and they perform their procedures in separate rooms. They record their results on separate report forms and are instructed not to communicate results together. The participants are also instructed not to communicate the findings of one nurse to another.

A clinic nurse first reviews the participants. She performs the first gynaecological examination and takes the specimen for HR-HPV testing, followed by the specimen for T. vaginalis testing, and then performs a VIA examination. The HR-HPV testing is done using a single-use cervical cytobrush provided by GeneXpert. The cytobrush specimen is placed into ThinPrep PreservCyt (Cepheid, Sunnyvale, California, USA) immediately after collection. The T. vaginalis test is taken using a cotton-tipped swab provided by GeneXpert. These samples are passed on to a research assistant, before commencement of the VIA examination. The specimens are processed at the same time by the GeneXpert machine (Cepheid, Sunnyvale, California, USA), according to the manufacturer’s instructions. The machine is located in the cervical cancer screening clinic. HPV testing output is then categorised into negative, positive HPV 16, positive HPV 18 or 45, or positive for other HR-HPV, including the following HPV subtypes: 11, 31, 33, 35, 39, 51, 52, 56, 58, 59, 66 and 68.

VIA is carried out using the methodology described by the International Agency for Research on Cancer (IARC). This is summarised as follows: insertion of a speculum, followed by visualisation of the vagina, vulva and cervix; assessment with the naked eye after application of normal saline and further assessment after application of 5% acetic acid for one minute. The nurse records the findings as normal, abnormal or suspicious of cancer. Local guidelines instruct VIA nurses to categorise indeterminate findings as abnormal.

A different nurse performs the Gynocular examination, after VIA, in a different examination room, following the steps for colposcopy as described in the IARC colposcopy manual. These steps include insertion of a speculum followed by visualisation of the vagina, vulva and cervix, assessment of the cervix at low and high magnification (>6×) after application of normal saline, examination of cervical vessel patterns using the red-free mode (or green filter), application of 5% acetic acid for one minute, and finally assessment following application with Lugol’s iodine. The findings of the examination are documented using the Swede score to standardise the assessment of cervical lesions. The Swede score uses five domains (vessels, margins or surface, acetic acid uptake, iodine staining and lesion size). Each domain is scored between zero and two, based on the severity of the findings, and summed to a total score between zero (best) and ten (worst). The test positivity cut-offs

Figure 2  Flow of participants through the study*. VIA-positive women and those who have CIN2+ on histopathology will be treated as per national guidelines. Numbers of participants at each step will be reported. Loss to follow-up and missing data will also be reported. *This flow diagram is in accordance with the 2015 ‘Standards for Reporting Diagnostic accuracy studies’.

ART, antiretroviral therapy; CD4, cluster of differentiation 4; CIN2+, cervical intraepithelial neoplasia grade two and above; HR-HPV, high-risk human papillomavirus; TZ, transformation zone of the cervix; VIA, visual inspection of the uterine cervix after application of 3%–5% acetic.

Potentially eligible participants
(estimated from ART clinic visits over study period)

Eligible participants
(recorded at cervical cancer screening clinic)

Enrolled participants
Consent, study forms, and baseline blood tests
CD4 cell count, HIV RNA viral load

Gynaecological tests in sequence (index tests in bold)
1) HR-HPV testing
2) Trichomonas vaginalis testing
3) VIA
4) Gynocular™

Reference standard
All participants receive between two and four biopsies
Two or more biopsies when acetowhite lesions are seen by Gynocular™
One biopsy of each quadrant, within the TZ, if no lesion seen

Follow-up in six months
Repeat all assessments from the box “Gynaecological tests”
Purpose: to identify missed disease at baseline
are not predefined in WLHIV and will be determined by our results. We did not train nurses to use endocervical forceps or perform endocervical curettage in the presence of a type 3 transformation zone, since the guidelines of the Zambian Ministry of Health do not allow nurses to perform these procedures. Women who are considered ineligible for treatment due to type 2 or type 3 transformation zone will be referred to a local gynaecologist for management.

The second study nurse takes cervical images using the smartphone attached to Gynocular at each stage of the examination. The images are stored electronically on a hard-drive and a secure server at Centre for Infectious Disease Research in Zambia (CIDRZ) headquarters. In a separate study, we will use coded images linked to anonymised clinical data obtained in the study, to test an automated visual assessment tool using deep-learning methods for image pattern recognition for the detection of CIN2+ lesions of the cervix.

The reference standard is the histological assessment of cervical tissue biopsies. All participants receive between two and four biopsies. At least two biopsies are obtained from women who have visible lesions. If no lesion is seen, four biopsies are obtained from clock-face positions of 12, 3, 6 and 9 o’clock within the transformation zone. All biopsies are taken perpendicular to the epithelium, are deep enough to sample the entire epithelium along with a small amount of stroma and are placed in formalin. To ensure standardisation, a study nurse received training in colposcopy and biopsy taking from a gynaecologist at IARC and a senior local gynaecologist.

All biopsies are sent for processing and examination at a laboratory in South Africa. An expert gynaecological pathologist, blinded to the visual assessment, will examine the biopsies. Slides are classified using the cervical intraepithelial neoplasia (CIN) classification system. CIN1 affects only the lower third of the epithelium (mild dysplasia), CIN2 involves two-thirds of the epithelium and CIN3 involves the full thickness (severe dysplasia and carcinoma in situ). These findings are then dichotomised into low-grade and high-grade squamous intraepithelial lesions (HSIL), by the Lower Anogenital Squamous Terminology definitions. All histology with CIN2 that stained diffusely positive for p16 is considered as HSIL, and all patients with CIN3 are considered as HSIL. Management decisions during the study will be based on the histological assessments done at this laboratory. At the conclusion of the study, an independent pathologist will verify all histopathology results, and we will use a consensus assessment for academic purposes in our analysis of outcomes.

The primary histological endpoint for our study is CIN2+. However, we will also determine the histological endpoint of HSIL based on p16. To ascertain the presence of true high-grade cervical disease, we will rely on biopsy findings at baseline and 6-month follow-up. Disease detected at 6 months will be considered a missed case rather than a new case, because CIN progression is slow it is unlikely that a woman developed a new case in so short a time.

Women with VIA-positive findings are eligible for ablative treatment at their baseline visit, as per national guidelines. Treatment by cryotherapy or thermoablation is administered if the lesion fulfils the following criteria: boundaries are fully visible, covers less than 75% of the ectocervix, does not extend into the endocervical canal or the vagina, and is fully covered using the cryotherapy tip being used. VIA-positive women who are not eligible for immediate ablative treatment, and women who are assessed to have lesions suspicious of cancer, are referred immediately to the University Teaching Hospital or another nearby facility which offers the required treatment. All women with CIN2+ on histopathology and missed treatment at baseline (VIA-negative findings) will be offered treatment as per national guidelines.

The clinical pathway allows blinding of index tests and the reference standard for the clinical team performing tests. The clinic nurse performs VIA assessment and baseline tests first in a designated examination room. The participant then presents to a different examination room, where the second study nurse repeats the speculum examination and performs the Gynocular examination. To preserve blinding of the study nurse to VIA findings, the clinic nurse treats women with VIA-positive findings aminable to cryotherapy after completion of all other study procedures. She instructs the participant not to communicate this plan to the study nurse performing the Gynocular examination. Women with positive biopsy results receive treatment at a planned follow-up visit (‘visit 2’). All women are followed up at 6 months (‘visit 3’), during which the study participants undergo the same tests (HR-HPV, VIA, Gynocular and a biopsy).

Different assessors independently perform VIA (clinic nurse), HR-HPV testing (first study nurse) and Gynocular (second study nurse) examinations, and record results on separate data collection forms. GeneXpert HR-HPV testing follows the physical examinations; the result cannot influence the VIA nurse or Gynocular nurse assessments as it is only available after all visual examinations are complete. Biopsy results are known only after the completion of VIA, HR-HPV and Gynocular tests.

Sample size calculation and analysis
This screening test accuracy study requires 350 participants to estimate the sensitivity and specificity of Gynocular, HR-HPV and VIA for CIN2+ lesions with the precision detailed in table 1. Screening accuracy measures will be estimated with 95% Wilson confidence intervals with no formal hypothesis testing between modalities.

We will enrol 450 women to obtain data from at least 350 patients for statistical analyses. We expect the prevalence of CIN2+ in WLHIV in Zambia to be 16%–20%.8 27 28 We expect disease prevalence to be lower than in previous years. Increases in the number of women receiving ART, and commencing treatment at higher CD4 cell counts,59 may lead to a decline in HPV prevalence.60 Higher rates


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Table 1  Sensitivity and specificity table, n = 350. The expected 95% Wilson confidence interval for sensitivity and specificity with varying prevalence

<table>
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<th>Expected prevalence (%)</th>
<th>Expected 95% CIs for sensitivity (%)</th>
<th>Expected 95% CIs for specificity (%)</th>
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<tr>
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<td>50</td>
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<td>14</td>
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<td>44.3 to 65.1</td>
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%, percentage; CI, Confidence interval; n, number of women.
Indeterminate results from the index test or reference standard will be reported, and sensitivity analysis will be performed to quantify the possible range of accuracy if participants with an indeterminate test or reference standard data are classified as positive or negative. The distribution of baseline characteristics between the participants with missing data and those without will be compared and managed similarly, with a sensitivity analysis to quantify the possible range of accuracy if participants with missing data are classified as diseased or non-diseased. If the missing data can be categorised as missing at random, such as lost samples, technical failures or accidental deviations from the protocol, multiple imputation may be used to reconstruct the data.

**Safety monitoring**

An independent Data Safety and Monitoring Board has been selected to safeguard the interests of study participants and advise principal investigators (PIs) on study validity and integrity.

**DISCUSSION**

The limitations of VIA for screening WLHIV have been widely noted, and the WHO acknowledges the need for improved screening strategies. HR-HPV testing is being promoted; however, in settings where it is not available, VIA is preferred over no screening. In order to minimise loss to follow-up, same day screening and treatment is also recommended in many countries. Some HPV testing platforms have the capacity to process samples quickly and provide results after 60 min, making a ‘screen and treat’ approach possible. We use an HPV testing platform that has been shortlisted by the WHO to run in this capacity. Our study fills an important evidence gap by exploring new strategies for the detection of precancerous cervical lesions in WLHIV in LMICs. Deriving estimates of test accuracy for all three tests in the same study population reduces bias and strengthens the internal validity of the study. Although studies show that HPV is more sensitive than VIA, specificity is relatively low, especially in WLHIV. Therefore, our study design allows the assessment of tests in both a stand-alone and add-on capacity. We also take measures to minimise two important forms of bias that compromise similar studies, including verification bias and misclassification bias.

Identifying true disease depends on the accurate placement of biopsies. A true gold standard would be to obtain a large loop excision of the transformation zone of every enrolled woman, but this approach would be unethical due to the complications of this procedure for women of childbearing age. In order to reduce misclassification, we use histopathology results of multiple punch biopsies obtained from the cervix, as the ‘reference standard’. Several studies show that obtaining multiple biopsies improves detection of CIN2+ lesions compared with the practice of one biopsy from the most severe cervical lesion. Wentzensen et al found that sensitivities for detecting CIN2+ increased from 61% (95% CI 55% to 67%) in a single biopsy, to 86% (95% CI 80% to 90%) with two biopsies, and 96% (95% CI 91% to 99%) with three biopsies. This was verified by the same research group and supported by other research groups in Asia and Europe. In addition, taking biopsies in the absence of abnormal colposcopic findings increases detection of high-grade lesions. Many studies on colposcopic techniques include the use of multiple biopsies, even when lesions are not seen.

In our study, all acetowhite lesions are biopsied. When no lesion is seen, one biopsy is taken from each quadrant within the transformation zone. Furthermore, true disease is defined as the sum of all positive lesions identified at two close time points (baseline and 6 months), to ensure any lesions missed at baseline can be included. We chose a short follow-up period so we could identify CIN2+ cases that were missed at baseline. We expect this follow-up will pick up missed cases from baseline instead of progression or new cases. This may allow us to estimate true disease more accurately, but such a short follow-up cannot reliably be used to identify progression or new disease. However, this is not the objective of our study. We will include women who may have sexually transmitted infections (STIs), as this situation occurs in real life. Trichomoniasis is the most common STI in this setting. Because the inflammation caused by *T. vaginalis* may affect visual assessment of the cervix, we will assess test accuracy in women with and without coinfection, using GeneXpert for sensitive and specific detection of *T. vaginalis*. A limitation of this work is the small number of screening tests being evaluated. Other screening tests, including Papanicolaou testing, testing for DNA methylation and HPV oncoproteins E6 and E7, were considered, but these are more difficult to implement in our study setting.

To meet the 2030 WHO targets for the elimination of cervical cancer, new strategies are required to address pervasive problems in LMICs, such as transport for patients to hospitals and clinics, a lack of gynaecologists and other trained healthcare workers, and access to laboratory equipment. Urgent investigation into the best methods of screening for WLHIV are required, and we present a study investigating relevant tests that could be employed in screen and treat settings using methods to minimise bias. The results from this work may be useful in informing future screening guidelines for WLHIV in sub-Saharan Africa.

**Patient and public involvement**

Patients and members of the public were not involved in the design of this study. We invite verbal or written feedback from participants throughout the running of the study by facilitating direct communication study nurses or the site-principal investigator as required.

**Dissemination**

Results will be shared with patients and stakeholders. We will disseminate the findings of this study using a...
range of methods, including the use of academic media (peer-reviewed journal articles, national and international conference presentations), electronic and postal mail (posting of study findings to participants), community and stakeholder engagement activities (including provision of findings to the Cervical Cancer Prevention Programme and Ministry of Health in Zambia) and social media.

Ethics

This study received ethical approval from: National Health Research Authority and the University of Zambia Biomedical Research Ethics Committee (ref: 014-09-18), the Zambia Medicines Regulatory Authority (ref: DMS/7/9/22/CT/084), the International Agency for Research on Cancer (IEC project number 18-15) and Swissethics (ref: 2018-01399). The protocol follows the 2015 STARD guidelines, as will the subsequent report of findings.

Protocol and data availability

The protocol, as approved by the respective ethical committees, is available on request to the corresponding author of this manuscript. Anonymised study data will be stored for ten years. We plan to publish results in open access journals with data available in accordance with ‘FAIR principles’.

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Supplemental material

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