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Age-related differences in self-reported sleep quality predict healthy ageing across multiple domains: a multi-modal cohort of 2406 adults

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Age-related differences in self-reported sleep quality

predict healthy ageing across multiple domains: a

multi-modal cohort of 2406 adults

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13 Abstract

14 **Objectives** To examine lifespan changes in self-reported sleep quality and their associations
15 with health outcomes across four domains: Physical Health, Cognitive Health, Mental Health and
16 Neural Health.

17 **Setting** Cam-CAN is a cohort study in East Anglia/England, which collected self-reported
18 health and lifestyle questions as well as a range of objective measures from healthy adults.

19 **Participants** 2406 healthy adults (age 18-98) answered questions about their sleep quality
20 (Pittsburgh Sleep Quality Index) and measures of Physical, Cognitive, Mental, and Neural Health. A
21 subset of 641 individuals provided measures of brain structure.

22 **Main outcome measures** Pittsburgh Sleep Quality Index scores (PSQI) of sleep, and scores
23 across tests within the four domains of health. Latent Class Analysis (LCA) is used to identify sleep
24 types across the lifespan. Bayesian regressions quantify the presence, and absence, of relationships
25 between sleep quality and health measures.

26 **Results** LCA identified four sleep types: 'Good sleepers' (68.1%, most frequent in middle
27 age), 'inefficient sleepers' (14.01%, most frequent in old age), 'Delayed sleepers' (9.28%, most
28 frequent in young adults) and 'poor sleepers' (8.5%, most frequent in old age). Better sleep is
29 generally associated with better health outcomes, strongly so for mental health, moderately for
30 cognitive and physical health, but not for sleep quality and neural health. There is little evidence for
31 interactions between sleep quality and age on health outcomes.

32 **Conclusions** Lifespan changes in sleep quality are multifaceted and not captured well by
33 summary measures, but instead as partially independent symptoms that vary in prevalence across
34 the lifespan. Better self-reported sleep is associated with better health outcomes, and the strength
35 of these associations differs across health domains. Notably, observed absence of associations
36 between sleep quality and white matter suggests that previous associations may depend on clinical
37 samples with pathological sleep deficiencies and may not generalise to healthy cohorts.

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41

42 **Keywords**

43 Ageing, sleep quality, healthy ageing, cognition, mental health, cognition, white matter, physical
44 health

45

46 **Strengths and limitations of this study**

- 47 • Broad phenotypic assessment of healthy ageing across multiple health domains
- 48 • Advanced analytic techniques (i.e. Latent Class Analysis regression) allows new insights
- 49 • Uniquely large neuroimaging sample combined with Bayesian inference allows for
50 quantification of evidence for the null hypothesis
- 51 • Subjective sleep measures may have drawbacks in older samples
- 52 • Cross-sectional data precludes modelling of within subject changes

53

54 BACKGROUND

55 Sleep is a fundamental human behaviour, with humans spending almost a third of their lives asleep.
56 Regular and sufficient sleep has been shown to benefit human physiology through a number of
57 different routes, ranging from consolidation of memories (1) to removal of free radicals (2) and
58 neurotoxic waste (3). Sleep patterns are known to change across the lifespan in various ways,
59 including decreases in quantity and quality of sleep (4), changes in the alignment of homeostatic and
60 circadian rhythms (5), decreases in sleep efficiency (6) the amount of slow-wave sleep, and an
61 increase in daytime napping(7). Importantly, interruption and loss of sleep has been shown to have
62 wide ranging adverse effects on health (8), leaving open the possibility that age-related changes in
63 sleep patterns and quality may contribute to well-documented age-related declines in various health
64 domains.

65 In the current study, we examine self-reported sleep habits in a large, population-based
66 cohort Cambridge Centre for Ageing and Neuroscience (Cam-CAN, (9)). We relate sleep measures to
67 measures of health across four health domains: cognitive, brain health, physical and mental health.
68 Our goal is to quantify and compare the associations between typical age-related changes in sleep
69 quality and a range of measures of health measures that commonly decline in later life. We assess
70 sleep using a self-reported measure of sleep quality, the Pittsburgh Sleep Quality Index (PSQI) (10).
71 The PSQI has good psychometric properties (11) and has been shown to correlate reliably with
72 diseases of aging and mortality (12–14). Although actigraphy (measuring sleep quality in the lab) is
73 commonly considered the gold standard of sleep quality measurement, it is often prohibitively
74 challenging to employ in large samples. A recent direct comparison of sleep measures (15) suggests
75 that although subjective sleep measures (such as PSQI) may have certain drawbacks in older
76 samples, they also capture complementary aspects of sleep quality not fully captured by actigraphy.
77 Moreover, collecting self-report sleep quality data in a large, deeply phenotyped cohort offers
78 several additional benefits.

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79 First, previous work on the effects of sleep has tended to focus on the pathological extremes
80 of sleep problems (16), leaving open the question whether these findings generalise to how non-
81 pathological differences in sleep quality affect health outcomes in non-clinical samples. Second,
82 smaller studies often focus on specific health outcomes such as metabolism (17) or cognition (18). By
83 instead studying a range of health outcomes in the same population, we can compare and contrast
84 the associations between sleep quality and health domains in multiple domains.

85 We will focus on three questions within each health domain: First, is there a relationship
86 between sleep quality and health? Second, does the strength and nature of this relationship change
87 when age is included as a covariate? Third, does the strength and nature of the relationship change
88 across the lifespan? We will examine these questions across each of the four health domains.

89
90 **METHODS**

91 **Sample**

92 Participants were recruited as part of the population-based Cambridge Centre for Ageing and
93 Neuroscience (Cam-CAN) cohort (www.cam-can.com). For details of the project protocol see (19)
94 and (20), and for further details of the Cam-CAN dataset visit [http://www.mrc-](http://www.mrc-cbu.cam.ac.uk/datasets/camcan/)
95 [cbu.cam.ac.uk/datasets/camcan/](http://www.mrc-cbu.cam.ac.uk/datasets/camcan/). A further subset participated in a neuroimaging session (20).
96 Participants included were native English speakers, had normal or corrected to normal vision and
97 hearing, and scored 25 or higher on the mini mental state exam (MMSE; Folstein, Folstein, &
98 McHugh, 1975). Ethical approval for the study was obtained from the Cambridgeshire 2 (now East of
99 England- Cambridge Central) Research Ethics Committee (reference: 10/H0308/50). Participants
100 gave written informed consent. The raw data and analysis code are available upon signing a data
101 sharing request form (see <http://www.mrc-cbu.cam.ac.uk/datasets/camcan/> for more detail).

105 Variables

106 Sleep Measures

107 Sleep quality was assessed using the Pittsburgh Sleep Quality Index (PSQI), a well-validated
108 self-report questionnaire (10,15) designed to assist in the diagnosis of sleep disorders. The questions
109 concern sleep patterns, habits, and lifestyle questions, grouped into seven components, each
110 yielding a score ranging from 0 (good sleep/no problems) to 3 (poor sleep/severe problems), that
111 are commonly summed to a PSQI Total score ranging between 0 and 21, with higher scores
112 reflecting poorer sleep quality.

113 Health Measures

114 *Cognitive health.* A number of studies have found associations between poor sleep and
115 cognitive decline, including in elderly populations. Poor sleep affects cognitive abilities such as
116 executive functions (e.g. 22) and learning and memory processes (23), whereas short term
117 pharmaceutical interventions such as administration of melatonin improve both sleep quality and
118 cognitive performance. Scullin & Bliwise (2015, p. 97) conclude that “maintaining good sleep quality,
119 at least in young adulthood and middle age, promotes better cognitive functioning and serves to
120 protect against age-related cognitive declines”. As sleep may affect various aspects of cognition
121 differently (18), we include measures that cover a range of cognitive domains including memory,
122 reasoning, response speed, and verbal fluency, as well as including a measure of general cognition
123 (See Table 1 and (19) for more details).

124 *Neural health.* Previous research suggests that individuals with a severe disruption of sleep
125 are significantly more likely to exhibit signs of poor neural health (25,26). Specifically, previous
126 studies have observed decreased white matter health in clinical populations suffering from
127 conditions such as chronic insomnia (16), obstructive sleep apnoea (27,28), excessively long sleep in
128 patients with diabetes (29), and REM Sleep Behaviour Disorder (30). Many of these studies focus on
129 white matter hyperintensities (WMH), a measure of the total volume or number of (regions)
130 showing low-level neural pathology (although some study grey matter, e.g. Altena et al., 2010;

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131 Macey et al., 2002). White matter hyperintensities are often used as a clinical marker, as longitudinal
132 increases in WMHs are associated with increased risk of stroke, dementia and death (32) and are
133 more prevalent in patients with pathological sleep problems (28,29). However, use of this metric in
134 clinical cohorts largely leaves open the question of the impact of sleep quality on neural (white
135 matter) health in non-clinical, healthy populations. To address this question, we use a more general
136 indicator of white matter neural health; *Fractional Anisotropy* (FA). FA is associated with white
137 matter integrity and myelination (see Mädlar, Drabycz, Kolind, Whittall, & MacKay, 2008, for more
138 discussion on the interpretation of FA). We use FA as recent evidence (34) suggests that WMHs
139 represent the extremes (foci) of white matter damage, and that FA is able to capture the full
140 continuum of white matter integrity. For more information regarding the precise white matter
141 pipeline, see (35)

142 *Physical health.* Sleep quality is also an important marker for physical health, with poorer
143 sleep being associated with conditions such as obesity, diabetes mellitus (17), overall health (8,36)
144 and increased all-cause mortality (37,38). We focus on a set of variables that capture three types of
145 health domains commonly associated with poor sleep: Cardiovascular health measured by pulse,
146 systolic and diastolic blood pressure (39), self-reported health, both in general and for the past 12
147 months, (e.g. Strine & Chapman, 2005) and body-mass index (e.g. Taheri, Lin, Austin, Young, &
148 Mignot, 2004).

149 *Mental health.* Previous work has found that disruptions of sleep quality are a central
150 symptom of forms of psychopathology such as Major Depressive Disorder, including both
151 hypersomnia and insomnia (36,42), and episodes of insomnia earlier greatly increased the risk of
152 later episodes of major depression (43). Kaneita et al., (2006) found a U-shaped association between
153 sleep and depression, such that individuals regularly sleeping less than 6, or more than 8, hours were
154 more likely to be depressed. Both depression (e.g. Fried & Nesse, 2015) and anxiety (46,47) are
155 commonly associated with sleep problems. To capture these dimensions we used both scales of the

156 Hospital Anxiety and Depression Scale (HADS) (48), a widely used and standardized questionnaire
157 that captures self-reported frequency and intensity of anxiety and depression symptoms.

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Table 1. Description of health variables across each of four domains (cognitive, neural, physical, mental). For each variable details are given including a description of the task it is derived from, relevant citations, a brief definition and descriptive statistics.

Health domain	Task and Description	Variable	Descriptives	Citation
Cognitive	Story Recall Immediate: Participants hear a short story and are asked to recall as accurately as possible.	Recall manually scored for similarity and precision (min=0, max=24)	M=13.14, SD=4.66, Range=(0-24)	(49)
Cognitive	Story Recall Delayed: Same as above but recall after 30 minute delay	Recall manually scored for similarity and precision (min=0, max=24)	M=11.47, SD=4.92, Range=(0-24)	(49)
Cognitive	Letter Fluency (phonemic fluency): Participants have one minute to generate as many words as possible beginning with the letter 'p'.	Total words generated (min=0,max=30)	M=25.38, SD=3.96, Range=(0-30)	(49)
Cognitive	Animal Fluency (semantic fluency): Participants have one minute to generate as many words as possible in the category 'animals'.	Total words generated (min=0,max=30)	M=25.85, SD=4.47, Range=(0-30)	(49)
Cognitive	Cattell Culture Fair: Test of fluid reasoning using four subtests (series completions, odd-one-out, matrices and topology)	Total correct summed across four subtests. Min=0, max=46	M=31.8, SD=6.79, Range=(11-44)	(50)
Cognitive	Simple reaction time: Speed in a simple reaction time task	1/response time in seconds	M=0.37, SD=0.08, Range=(0.24-0.93)	(9)
Cognitive	Addenbrookes Cognitive Examination, Revised: Screening test for dementia using seven subtests (orientation, attention and concentration, memory, fluency, language, visuospatial abilities, perceptual abilities)	Performance on multiple tests converted to min=0, max=100 range	M=89.25, SD=13.4, Range=(0-100)	(51)
Neural	White matter health: Measure of tract integrity using fractional anisotropy	Fractional Anisotropy (min=0, max=1, averaged across 10 tracts)	M=0.5, SD=0.03, Range=(0.3-0.56)	(52)
Physical	Self-reported Health, in general: Participants use a 4-point scale to respond to the prompt "Would you say for someone of your age, your own health in	Score from 1 = Excellent to 4= Poor	M=2.02, SD=0.79, Range=(1-3)	(53)

	general is..."			
Physical	Self-reported Health, last 12 months: Participants use a 3-point scale to respond to the prompt "Over the last twelve months would you say your health has on the whole been..."	Score from 1 = Good to 3= Poor	M=1.46, SD=0.71, Range=(1-3)	(53)
Physical	Systolic blood pressure	Mean systolic blood pressure in mmHg, averaged across three consecutive measurements	M=120.11, SD=17, Range=(78.5-186)	
Physical	Diastolic blood pressure	Mean diastolic blood pressure in mmHg, averaged across three consecutive measurements	M=73.14, SD=10.48, Range=(49-115.5)	
Physical	Resting pulse	Mean pulse in beats per minute, averaged across three consecutive measurements	M=65.69, SD=10.5, Range=(40-110.5)	
Physical	Body Mass Index (BMI)	(weight in kg) / (height in m) ²	M=25.77, SD=4.59, Range=(16.75-48.32)	(54)
Mental health	Anxiety Subscale (Hospital Anxiety and Depression Scale (HADS)): Participants response to seven questions about anxiety-related behaviours	Seven questions rated on 0 to 3 scale ('Often' to 'Very seldom'). Min=0, Max=21	M=5.17, SD=3.4, Range=(0-19)	(48)
Mental health	Depression Subscale (Hospital Anxiety and Depression Scale (HADS)): Participants response to seven questions about depression-related behaviours	Seven questions rated on 0 to 3 scale ('Often' to 'Very seldom'). Min=0, Max=21	M=3.32, SD=2.91, Range=(0-14)	

STATISTICAL ANALYSES

We examine whether self-reported sleep patterns change across the lifespan, both for the PSQI sum score and for each of the seven PSQI components. We then examine the relationships between the sleep quality and the four health domains in three ways: First, simple regression of the health outcome on sleep variables, to determine evidence for association between poor sleep quality and poor health outcomes. Second, we include age as a covariate. Finally, we include a (standard normal rescaled) continuous interaction term to examine whether there is evidence for a changing relationship between sleep and outcomes across the lifespan.

For all regressions we will use a default Bayesian approach advocated by Liang, Paulo, Molina, Clyde, & Berger, (2008); Rouder & Morey, (2012); Wagenmakers, (2007); Wei et al., (2012); Wetzels et al., (2011), which avoids several well-documented issues with p-values (57), allows for quantification of null effects, and decreases the risk of multiple comparison problems (e.g. Gelman, Hill, & Yajima, 2012). Bayesian regressions allows us to symmetrically quantify evidence in favour of, or against, some substantive model as compared to a baseline (e.g. null) model. This evidentiary strength is expressed as a Bayes Factor (see Jeffreys (61), which can be interpreted as the relative likelihood of one model versus another given the data and a certain prior expectation. A Bayes Factor of, e.g., 7, in favour of a regression model suggests that the data are seven times *more likely* under that model than an intercept only model (for an empirical comparison of p-values and Bayes factors, see Wetzels et al., 2011). A heuristic summary of evidentiary interpretation can be seen in Figure 1.

[insert Figure 1 here]

We report log Bayes Factors for large effects and regular Bayes Factors for smaller effects. To compute Bayes Factors we will use Default Bayes Factor approach for model selection (55,56) in the package BayesFactor (62) using the open source software package R (63). As previous papers report associations between sleep and outcomes ranging from absent to considerable in size we utilize the default, symmetric Cauchy prior with width $\frac{\sqrt{2}}{2}$ which translates to a 50% confidence that

the true effect will lie between $-.707$ and $.707$. Prior to further analysis, scores on all outcomes were transformed to a standard normal distribution, and any scores exceeding a z-score of 4 or -4 were recoded as missing (aggregate percentage outliers across the four health domains: Cognitive, 0.41%, Mental, 0.16%, Neural, 0.37% Physical, 0.031%).

To better elucidate individual differences in sleep quality we next use *Latent Class Analysis* (64). This technique will allow us examine individual differences in sleep quality across the lifespan in more detail than afforded by simple linear regressions: Rather than examining continuous variation in sleep components, LCA classifies individuals into different *sleep types*, each associated with a distinct profile of 'sleep symptoms'. If there are specific constellations of sleep problems across individuals, we can quantify and visualize such sleep types. Moreover, by using Latent Class Regression, we can examine whether the likelihood of belonging to any sleep 'type' changes as a function of age. To analyse the data in this manner, we binarized the responses on each component into 'good' (0 or 1) or 'poor' (2 or 3).

RESULTS

Age-related differences in sleep quality

First, we examined sleep changes across the lifespan by examining age-related differences in the PSQI sum score (N= 2178, M=5.16, SD=3.35, Range=0-19). Regressing the PSQI global score on age, (see Supplementary Figure 1) showed evidence for a positive relationship across the lifespan ($\log BF_{10} = 10.45$). This suggests that on the whole, sleep quality decreases across the lifespan (note that *higher* PSQI scores correspond to worse sleep). Although we observe strong statistical evidence for an age-related difference ('Extreme' according to Jeffreys, (1961)), age explained only 1.23 % of the variance in the PSQI Total score. Next, we examined each of the seven components on age in the same manner. In Supplementary Figure 2 we see that that age has varying and specific effects on different aspects of sleep quality, and did not worsen uniformly across the lifespan. For example, we observed moderate evidence that sleep latency did not change across the lifespan (Sleep Latency,

BF₀₁= 9.25, in favour of the null), Sleep Quality showed no evidence for either change or stasis (BF₁₀= 1.63) and one sleep component, Daytime Dysfunction, improved slightly across the lifespan (BF₁₀= 7.03). Medication). The strongest age-related decline is that of Efficiency, showing an R-squared of 6.6%.

Finally, we entered all seven components into a Bayesian multiple regression simultaneously, to examine to what extent they could, together, predict age. The best model included every component except Sleep Latency (logBF₁₀= 142.71). Interestingly, this model explained 13.41% of the variance in age, compared to 1.23% for the PSQI Total score, and 6.4% for the strongest single component. This shows that lifespan changes in self-reported sleep are heterogeneous and partially independent, and that specific patterns and components need to be taken into account simultaneously to fully understand age-related differences in sleep quality. These finding shows that neither the PSQI sum score nor the sleep components in isolation fully capture differences in sleep quality across the lifespan.

Next we examined evidence for distinct sleep types using Latent Class Analysis (64). We fit a set of possible models (varying from 2 to 6 sleep types) We found that the four class solution gives the best solution, according to the Bayesian Information Criterion (65) (BIC for 4 Classes = 11825.65, lowest BIC for other solutions= 11884.92 (5 classes) (with 50 repetitions per class, at 5000 maximum iterations). Next we inspected the nature of the sleep types, the prevalence of each 'sleep type' in the population, and whether the likelihood of belonging to a certain sleep type changes across the lifespan. See Figure 2 for the component profiles of the four sleep types identified.

[insert Figure 2 here]

Class 1, 'Good sleepers', make up 68.1% of participants. Their sleep profile is shown in Figure 2A, top left, and is characterised by a low probability of responding 'poor' to any of the sleep components. Class 2, 'inefficient sleepers', make up 14.01% of the participants, and are characterized by poor sleep Efficiency: Members of this group uniformly (100%) report poor sleep Efficiency, despite relatively low prevalence of other sleep problems, as seen in Figure 2A, top right.

Class 3, 'Delayed Sleepers' seen in the bottom left of Figure 2a, makes up 9.28% of the participants: characterized by modestly poor sleep across the board, but a relatively high probability of poor scores on Sleep Latency (59%), Sleep Quality (51%) and sleep Disturbance (31%). Finally, Class 4, 'Poor sleepers', make up 8.5% of the participants, shown bottom right in Figure 2A. Their responses to any of the seven sleep components are likely to be 'poor' or 'very poor', almost universally so for 'sleep quality' (94%) and 'Sleep Efficiency' (97.7%).

Next, we including age as a covariate (simultaneously including a covariate is known as *latent class regression* or concomitant-variable latent class models (66). This analysis, visualised in Figure 2b, shows that the probability of membership of each classes compared to the reference class (good sleepers) changes significantly across the lifespan for each of the classes (Class 2 versus class 1: $\beta/SE = 0.05/0.00681$, $t=7.611$, Class 3 versus class 1: $\beta/SE = -0.01948/0.0055$, $t=-3.54$), Class 4 versus class 1: $\beta/SE = 0.01269/0.00478$, $t=2.655$, for more details on generalized logit coefficients, see Linzer & Lewis, 2011, p. 21). The frequency of Class 1 (Good sleepers) peaks in middle to late adulthood, dropping increasingly quickly after age 50. Class 2 (Inefficient sleepers) are relatively rare in younger individuals, but the prevalence increases rapidly in individuals over age 50. On the other hand, Class 3 (Delayed sleepers) shows a steady decrease in the probability of an individual showing this profile across the lifespan, suggesting that this specific pattern of poor sleep is more commonly associated with younger adults. Finally, the proportion of Class 4 (poor sleepers) members increases only slightly across the lifespan. Together, the latent class analysis provides additional evidence that the PSQI sum score as an indicator of sleep quality does not fully capture the subtleties of age-related differences. Age-related changes in sleep patterns are characterized by specific, clustered patterns of sleep problems that cannot be adequately characterized by summation of the component scores. The above analyses show how both a summary measure and individual measures of sleep quality change across the lifespan. Next, we examined the relationships between sleep quality measures (seven components and the global PSQI score) and health variables (specific variables across four domains, as shown in Table 1).

Sleep, health domains and age

Cognitive health

First, we examined the relationships between sleep quality and seven measures of cognitive health (see Table 1 for details). As can be seen in Figure 3, several relationships exist between measures of cognitive health and measures of sleep quality. We visualise these results using a tile plot (68), as shown in Figure 3.

[Insert Figure 3 here]

Each cell shows the numeric effect size (R-squared, 0-100) of the bivariate association between a sleep component and a health outcome, colour coded by the statistical evidence for a relationship using the Bayes Factor. If the parameter estimate is positive, the r-squared value has the symbol '+' added (note the interpretation depends on the nature of the variable, cf. Table 1). The strongest associations were found for poorer Total Sleep, poorer sleep Efficiency and use of Sleep Medication, all associated with poorer performance on cognitive tests. The cognitive abilities most strongly associated with poor sleep are immediate and delayed memory, fluid reasoning and a measure of general cognitive health, ACE-R. Two patterns emerged: First, the strongest predictor across the simple and multiple regressions was for the PSQI Total score. Tentatively this suggests that a cumulative index of sleep problems, rather than any specific pattern of poor sleep, is the biggest risk factor for poorer cognitive performance. Secondly, after controlling for age, the most strongly affected cognitive measure is phonemic fluency, the ability to generate name as many different words as possible starting with a given letter within a minute. Verbal fluency is commonly used as a neuropsychological test (e.g. Miller, 1984). Previous work suggests it depends on both the ability to cluster (generating words within a semantic cluster) and to switch (switching between categories), and is especially vulnerable to frontal lobe damage Although modest in size, our findings suggests this task, dependent on multiple executive processes, is particularly affected by poor sleep quality (70). The second strongest association was with the ACE-R, a general cognitive test battery

similar in style and content to the MMSE. The associations with cognition were slightly attenuated when age was included as a covariate (Supplementary Figure 3) but the basic effects remained.

When an interaction term with age was included, no evidence for interactions with age were observed (mean $\log BF_{10} = -2.08$, see Supplementary Figure 4), suggesting that the negative associations between sleep and cognitive performance are a constant feature across the lifespan, rather than specifically in elderly individuals. Together this suggests that poor sleep quality is modestly and consistently associated with poorer general cognitive performance across the lifespan, most strongly with semantic fluency.

Neural Health

Using Diffusion Tensor Imaging, we estimated a general index of white matter integrity in 10 tracts (52) (shown in Supplementary Figure 5), by taking the average Fractional Anisotropy in each white matter ROI (see (71) for more information). We use the data from a subsample of 641 individuals (age $M = 54.87$, range 18.48-88.96) who were scanned in a 3T MRI scanner (for more details regarding the pipeline, sequence and processing steps, see (71)). Regressing neural WM ROI's on sleep quality, we find several small effects, with the strongest associations between sleep efficiency and neural health (see Supplementary Figure 6). All effects are such that poorer sleep is associated with poorer neural health, apart from a small effect in the opposite direction for Uncinate and Daytime Dysfunction ($BF_{10} = 6.20$). However, when age is included as a covariate, the negative associations between sleep quality and white matter health are attenuated virtually to zero (Figure 4, mean/median $BF_{10} = 0.18/.10$), with Bayes Factors providing strong evidence for the lack of associations between sleep quality and white matter integrity. One exception was observed: The use of Sleep Medication is associated with *better* neural health in the corticospinal tract, a region previously found to be affected by pathological sleep problems such as sleep apnoea (28). However, this effect is very small ($BF_{10} = 3.24$) given the magnitude of the sample and the range of comparisons, so should be interpreted with caution.

[Insert Figure 4 here]

Finally, we tested for any interactions by including a mean-scaled interaction term (sleep*age, Supplementary Figure 7). This analysis found evidence for a significant interaction, between the Superior Longitudinal Fasciculus (SLF) and Sleep Medication ($BF_{10}=13.77$), such that better neural health in the SLF was associated with the use of Sleep Medication more strongly in older adults. Together, these findings suggest that in general, once age is taken into account, self-reported sleep problems in a non-clinical sample are *not* associated with poorer neural health, although there is some evidence for a modest associations between better neural health in specific tracts and the use of sleep medication in the elderly.

Physical health

Next we examined whether sleep quality is associated with physical health. Figure 5 shows the simple regressions between sleep quality and physical health. Strong associations were found between poor overall sleep (PSQI sum score) and poor self-reported health, both in general ($\log BF_{10}=77.51$) and even more strongly for health in the past 12 months ($\log BF_{10}=91.25$). This may be because poorer sleep, across all components, directly affects general physical health (Briones et al., 1996; Spiegel et al., 2009) or because people subjectively experience sleep quality as a fundamental part of overall general health. A second association was between BMI and poor sleep quality, most strongly poor Duration ($\log BF_{10}=4.69$).

[Insert Figure 5 here]

This not only replicates previous findings but is in line with an increasing body of evidence that suggests that shorted sleep duration causes metabolic changes, which in turn increases the risk of both diabetes mellitus and obesity (17,73,74). Next, we examined whether these effects were attenuated once age was included. We show that although the relationships are slightly weaker, the overall pattern remains (Supplementary Figure 8), suggesting these associations are not merely co-

occurrences across the lifespan. Our findings suggest self-reported sleep quality, especially sleep Duration, is related to differences in physical health outcomes in a healthy sample.

Finally, there was evidence of a single interaction with age (Supplementary Figure 9): Although poor sleep Duration was associated with *higher* diastolic blood pressure in younger adults, it was associated with *lower* diastolic blood pressure in older individuals ($BF_{10} = 8.53$). This may reflect the fact that diastolic blood pressure is related to cardiovascular health in a different way across the lifespan, although given the small effect size it should be interpreted with caution.

Mental health

Finally, we examined the relationship between sleep quality and mental health, as measured by the Hospital Anxiety and Depression Scale (48). One benefit of the HADS in this context is that, unlike some other definitions (e.g. the DSM-V), sleep quality is not an integral (scored) symptom of these dimensions. As shown in Supplementary Figure 10, there are very strong relationships between all aspects of sleep quality and measures of both anxiety and depression. The strongest predictors of Depression are Daytime Dysfunction ($\log BF_{10} = 245.9$, $R^2 = 20.9\%$), followed by the overall sleep score ($\log BF_{10} = 170.5$, $R^2 = 14.6\%$) and sleep quality ($\log BF_{10} = 106.8$, $R^2 = 9.7\%$). The effects size for Anxiety was comparable but slightly smaller in magnitude. When age is included as a covariate the relationships remained virtually unchanged (Supplementary Figure 11), suggesting these relationships are present throughout across the lifespan. These findings replicate and extend previous work, suggesting that sleep quality is strongly associated with both anxiety and depression across the lifespan.

Finally we examined a model with an interaction term (Supplementary Figure 12). Most prominently we found interactions with age in the relationship between HADS depression and the PSQI Total, and in the relationship between HADS depression and Sleep Duration, such that for the relationship between anxiety and overall sleep quality is stronger in younger adults ($BF_{10} = 9.91$, see

Figure 6). Together our findings show that poor sleep quality is consistently, strongly and stably associated with poorer mental health across the adult lifespan.

[Insert Figure 6 here]

DISCUSSION

In this study, we report on the associations between age-related differences in sleep quality and health outcomes in a large, age-heterogeneous sample of community dwelling adults of the Cambridge Neuroscience and Aging (Cam-CAN) cohort. We find that sleep quality generally decreases across the lifespan, most strongly for sleep Efficiency. However age-related changes in sleep patterns are complex and multifaceted, so we used Latent Class Analysis to identify ‘sleep types’ associated with specific sleep quality profiles. We found that Younger adults are more likely than older adults to display a pattern of sleep problems characterised by poor sleep quality and longer sleep latency, whereas older adults are more likely to display inefficient sleeping, characterised by long periods spent in bed whilst not asleep. Moreover, the probability of being a ‘good’ sleeper, unaffected by any adverse sleep symptoms, decreases considerably after age fifty.

Our broad phenotypic assessment allows for direct comparison of the different measures of sleep quality and four key health domains We find strongest associations between sleep quality and mental health, moderate relations between sleep quality and physical health and cognitive health and sleep, virtually all such that poorer sleep is associated with poorer health outcomes. We did not find evidence for associations between self-reported sleep and neural health. Notably, the relationships we observe are mostly stable across the lifespan, affecting younger and older individuals alike. A notable exception to these effects is the absence of any strong relation (after controlling for age) between sleep quality and neural health as indexed by tract-based average fractional anisotropy. Using a Bayesian framework we observed evidence in favour of the null hypothesis, suggesting that the adverse effects of poor sleep on brain structure found in more extreme clinical samples (e.g. insomnia, sleep apnoea) do not necessarily generalize to a non-clinical

population for self-reported sleep. Notably, as we found strong relationships in the same sample between sleep and other outcomes (e.g. mental health, Figure 10) and there is previous evidence from this cohort linking white matter health and cognition, the absence of the relationship between poor sleep and neural health cannot be (fully) explained away by the possible noisiness of self-report measures or white matter measures. For this reason, our study provides a potentially reassuring message that for typically-ageing, healthy individuals, poorer self-reported sleep quality is not associated with poorer brain health.

While there are limitations of self-report measures including in older cohorts (15), including the fact that they likely reflect different aspects of sleep health than actigraphy (sleep in the lab), our results suggest there are considerable advantages in using self-reported sleep measures: first, obtaining sleep quality data in a large and broadly phenotyped sample is feasible; and second, our results demonstrated clear and consistent associations across multiple domains for both subjective (e.g. self-reported health) and objective measures (e.g. memory tests, BMI), which both replicate and extend previous lab-based sleep findings. Future work should ideally simultaneously measure actigraphy and self-report in large scale cohorts to fully capture the range of overlapping and complementary relations between different aspects of sleep quality and health outcomes (15).

For both self-report and objective measures of sleep quality an open question is that of causality: Does poor sleep affect health outcomes, do health problems affect sleep, are they both markers of some third problem, or do causal influences go both ways? Most likely, all these patterns occur to varying degrees. Previous studies have shown that sleep quality causally affects health outcomes such as diabetes (17) and memory consolidation (1) while other evidence suggests that depression directly affect sleep quality (Lustberg & Reynolds, 2000; Sbarra & Allen, 2009) and that damage to neural structures may affect sleep regulation (77). Although our findings are in keeping with previous findings, our cross-sectional sample cannot tease apart the causal direction of the observed associations, more work remains to be done to disentangle these complex causal pathways.

In our paper we focus on a healthy, age-heterogeneous community dwelling sample. This allows us to study the associations between healthy aging and self-reported sleep quality, but comes with two key limitations of the interpretations of our findings. First and foremost, our findings are cross-sectional, not longitudinal. This means we can make inferences about age-related *differences*, but not necessarily age-related *changes* (Raz & Lindenberger, 2011; Schaie, 1994). One reason why cross-sectional and longitudinal estimates may diverge is that older adults can be thought of as cohorts that differ from the younger adults in more ways than age alone. For example, our age range includes individuals born in the twenties and thirties of the 20th century. Compared to someone born in the 21st century, these individuals will likely have experience various differences during early life development (e.g. less broadly accessible education, lower quality of healthcare, poorer nutrition and similar patterns). For some of our measures, these are inherent limitations –*truly* longitudinal study of neural aging is inherently impossible as scanner technology has not been around sufficiently long. This means our findings likely reflect a combination of effects attributable to age-related changes as well as baseline differences between subpopulations that may affect both mean differences as well as developmental trajectories.

Second, our sample reflects an atypical population in the sense that they are willing and able to visit the laboratory on multiple occasions for testing sessions. This subsample is likely a more healthy subset of the full population, which will mean the range of (poor) sleep quality as well as (poorer) health outcomes will likely be less extreme than in the full population. However, this challenge is not specific to our sample. In fact, as the Cam-CAN cohort was developed using stratified sampling based on primary healthcare providers, our sample is likely as population-representative as is feasible for a cohort of this magnitude and phenotypic breadth (see Shafto et al., 2014b) for further details). Nonetheless, a healthier subsample may lead to restriction of range (80), i.e. an attenuation of the strength of the associations observed between sleep quality and health outcomes. Practically, this means that our results likely generalise to comparable, healthy

community dwelling adults, but not necessarily to populations that include those affected by either clinical sleep deprivation or other serious health conditions.

Conclusions

Taken together, our study allows several conclusions. First, although we replicate the age-related deterioration in some aspects of sleep quality, other aspects remain stable or even improve. Second, we show that the profile of sleep quality changes across the lifespan. This is important methodologically, as it suggests that PSQI sum scores do not capture the full picture, especially in age-heterogeneous samples. Moreover, it is important from a psychological standpoint: We show that 'sleep quality' is a multidimensional construct and should be treated as such if we wish to understand the complex effects and consequences of sleep quality across the lifespan. Third, moderate to strong relations exist between sleep quality and cognitive, physical and mental health, and these relations largely remain stable across the lifespan. In contrast, we show evidence that in non-clinical populations, poorer self-reported sleep is not reliably associated with poorer neural health. Together with previous experimental and longitudinal evidence, our findings suggest that at least some age-related decreases in health outcomes may be due to poorer sleep quality. We show that self-reported sleep quality can be an important indicator of other aspects of healthy functioning throughout the lifespan, especially for mental and general physical health. Our findings suggest accurate understanding of sleep quality is essential in understanding and supporting healthy aging across the lifespan.

Author contributions

AG, MS and MS designed the study. AG and RAK performed the analyses. CC organized and conducted the data collection. AG, MS and RAK wrote the manuscript. YL provided considerable expertise on sleep and poor sleep outcomes. All authors approved the final manuscript.

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Legends

Figure 1. Descriptive interpretation of Bayes Factors

Figure 2. Latent Class Analysis. Panel A shows the sleep quality profiles for each of the four classes. Panel B shows the conditional probability of belonging to each class across the lifespan.

Figure 3. Simple regressions between sleep components and Cognitive Health. The strength of the effect is colour-coded by Bayes Factor, and the effect size is shown as r-squared (as a percentage out of 100). Sample varies across components and measures due to varying missingness. Cattell and Reaction Time were measured only in the imaging cohort: mean N = 648, N=11.11. Sample sizes for 5 other domains are similar: mean N= 2300.25, SD= 65.57)

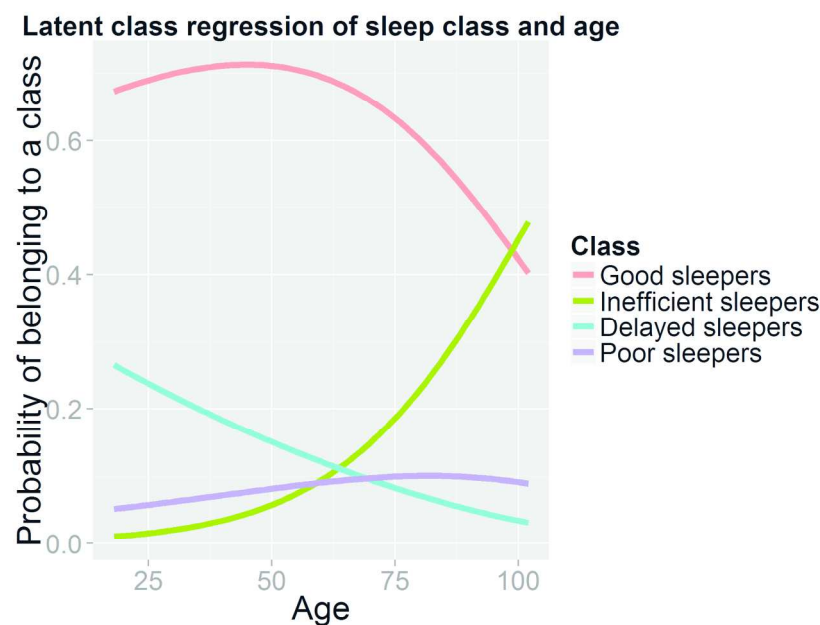
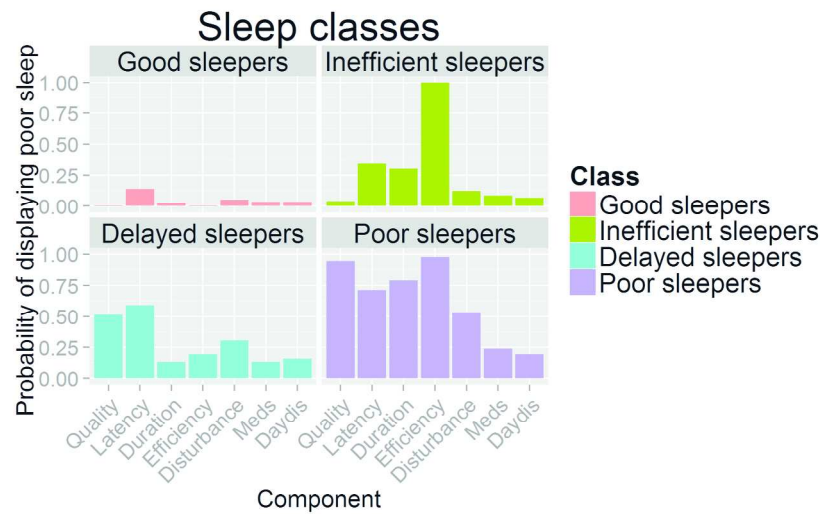
Figure 4. Multiple regressions between sleep components and Neural Health. Each cell represents the relationship between a sleep component and the mean neural health in a given tract as index by Fractional Anisotropy. Numbers represent R-squared, the sample size is show in the last column. Strong associations are observed between measures of Sleep Efficiency and multiple tracts, along with sporadic associations between other components and tracts. White matter tracts abbreviations: Uncinate fasciculus (UNC), superior longitudinal fasciculus (SLF), inferior longitudinal fasciculus (ILF), inferior Fronto-occipital fasciculus (IFOF), forceps minor (FMin), forceps major (FMaj), cerebrospinal tract (CST), the ventral cingulate gyrus (CINGHipp), the dorsal cingulate gyrus (CING), and the anterior thalamic radiations (ATR). N varies slightly across components due to varying missingness (N mean = 631.325, SD = 10.32).

Figure 5 Physical health and sleep quality. Numbers represent Rsquared, the sample size is show in the last column. Strong associations between general indices of health and sleep quality are found, and several more modest relationships with BMI and sleep quality. Self-reported health (12 month and General) were measured in the full cohort (Mean = 2315.37, SD=66.29), the other indicators were measured in the imaging cohort only (Mean = 569.87, SD= 11.16).

Figure 6. Interaction between sleep quality and anxiety. (N=724, age 18.48 to 46.2) compared to the oldest third of participants (N=725, age 71.79 to 98.88).

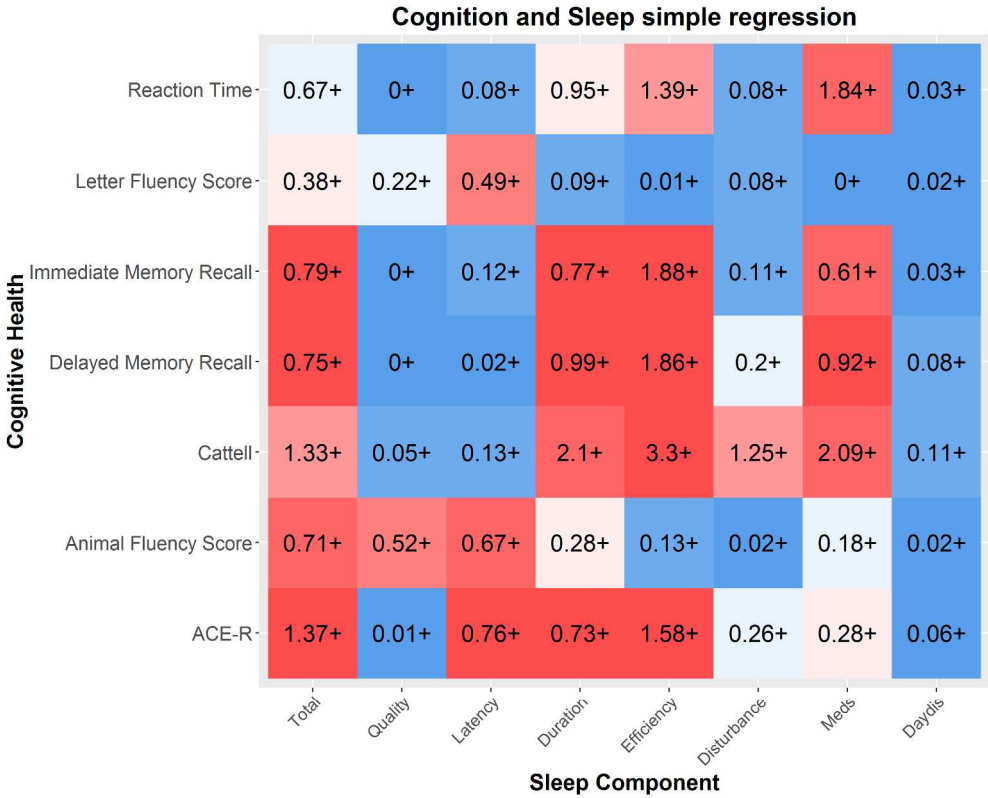
Bayes Factor BF ₁₀	Log BF ₁₀	Tileplot colour	Description (Jeffreys, 1961)
>100	>4.6		Extreme evidence for H1
30 – 100	3.4 – 4.6		Very strong evidence for H1
10 – 30	2.3 – 3.4		Strong evidence for H1
3 – 10	1.098 – 2.3		Substantial evidence for H1
1 – 3	1 – 1.098		Anecdotal evidence for H1
1	0		No evidence either way
1/3 – 1	-1.098 – -1		Anecdotal evidence for H0
1/3 - 1/10	-2.3 – -1.098		Substantial evidence for H0
1/10 - 1/30	-3.4 – -2.3		Strong evidence for H0
1/30 - 1/100	-4.6 – -3.4		Very strong evidence for H0
<1/100	< -4.6		Extreme evidence for H0

Descriptive interpretation of Bayes Factors
insert Figure 1 here
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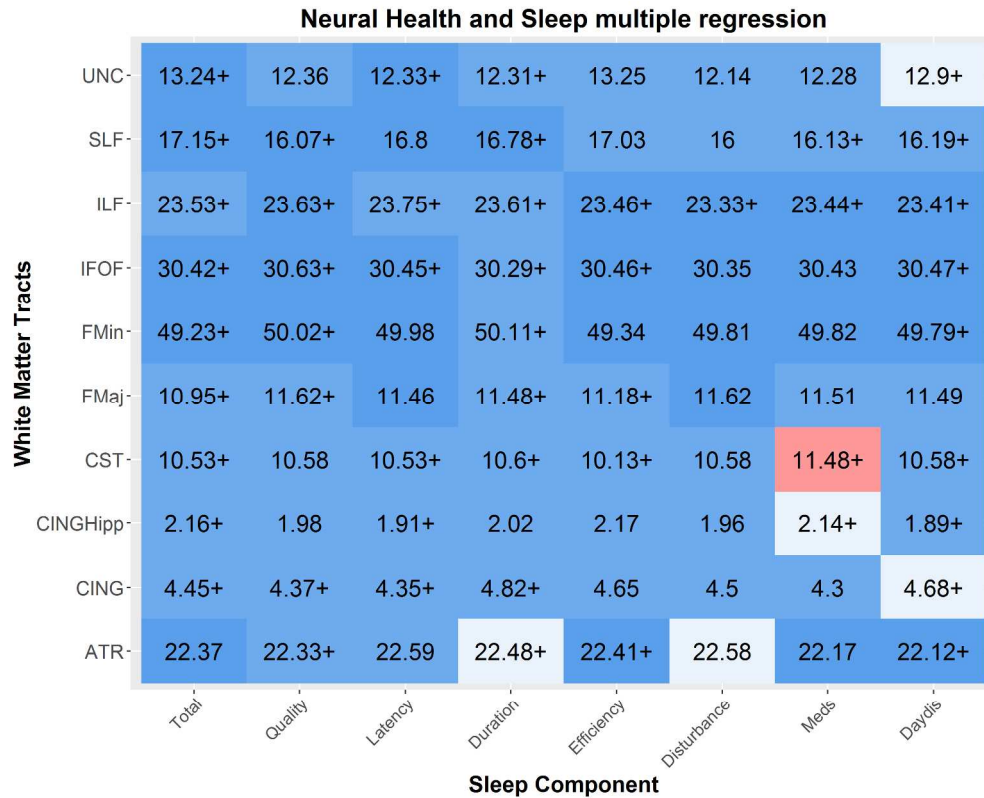
Latent Class Analysis. Panel A shows the sleep quality profiles for each of the four classes. Panel B shows the conditional probability of belonging to each class across the lifespan.

insert Figure 2 here
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Simple regressions between sleep components and Cognitive Health. The strength of the effect is colour-coded by Bayes Factor, and the effect size is shown as r-squared (as a percentage out of 100). Sample varies across components and measures due to varying missingness. Cattell and Reaction Time were measured only in the imaging cohort: mean N = 648, N=11.11. Sample sizes for 5 other domains are similar: mean N= 2300.25, SD= 65.57)

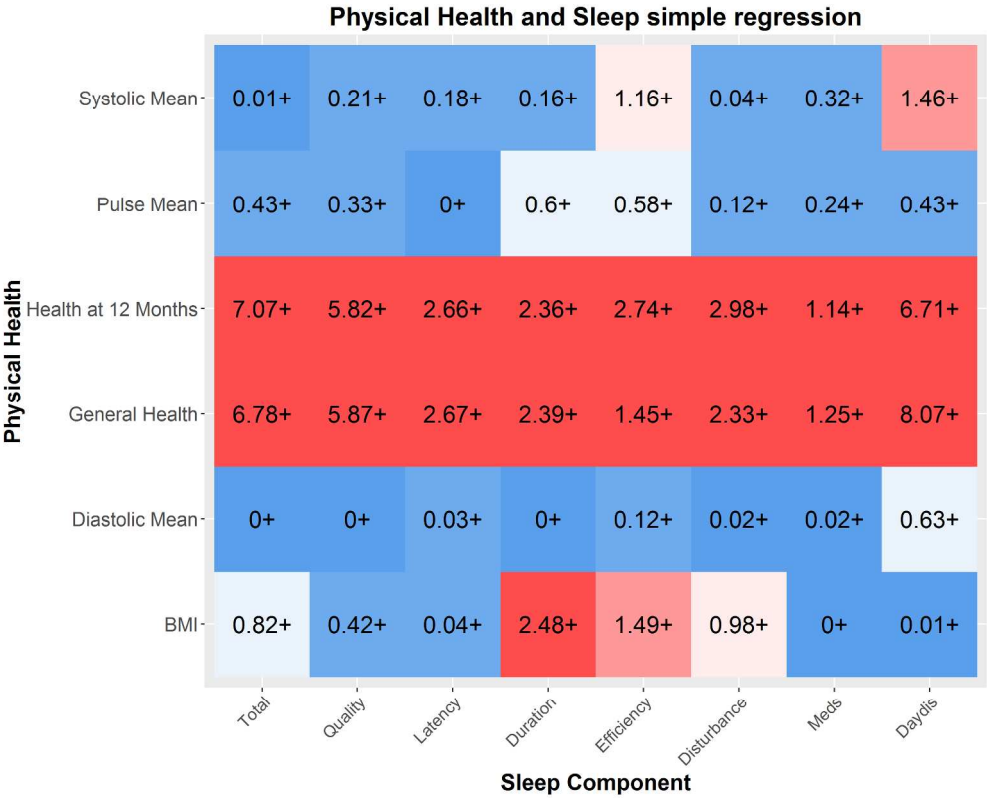
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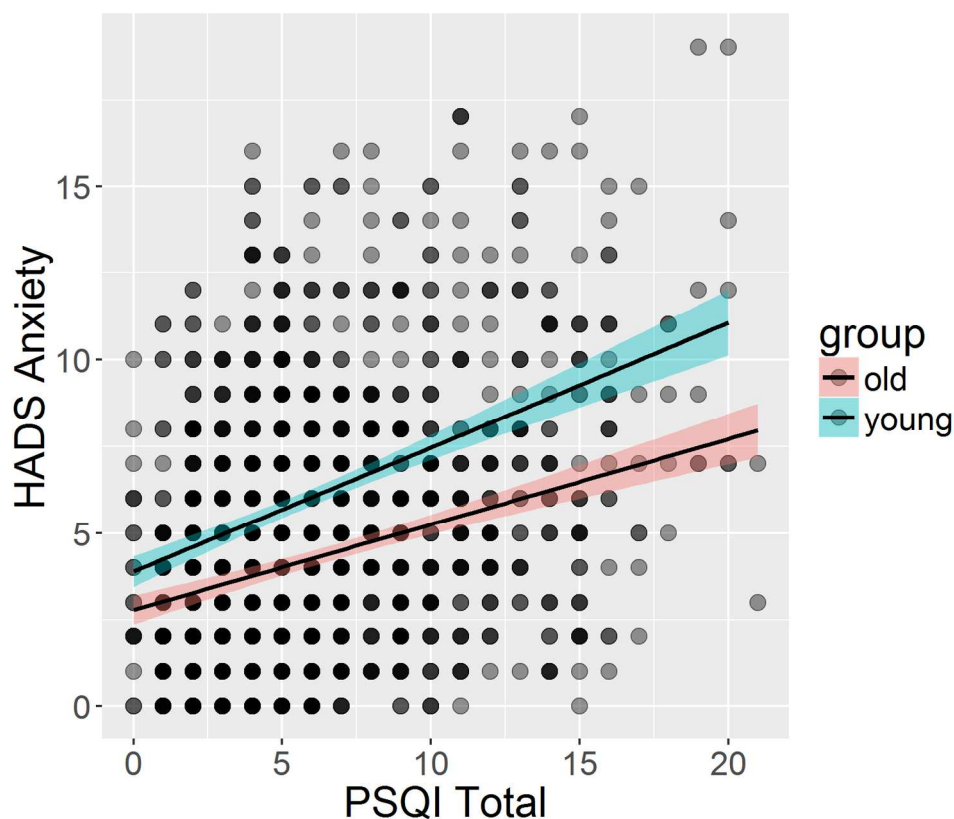
Multiple regressions between sleep components and Neural Health. Each cell represents the relationship between a sleep component and the mean neural health in a given tract as index by Fractional Anisotropy. Numbers represent R-squared, the sample size is show in the last column. Strong associations are observed between measures of Sleep Efficiency and multiple tracts, along with sporadic associations between other components and tracts. White matter tracts abbreviations: Uncinate fasciculus (UNC), superior longitudinal fasciculus (SLF), inferior longitudinal fasciculus (ILF), inferior Fronto-occipital fasciculus (IFOF), forceps minor (FMin), forceps major (FMaj), cerebrospinal tract (CST), the ventral cingulate gyrus (CINGHipp), the dorsal cingulate gyrus (CING), and the anterior thalamic radiations (ATR). N varies slightly across components due to varying missingness (N mean = 631.325, SD = 10.32).

insert Figure 4 here
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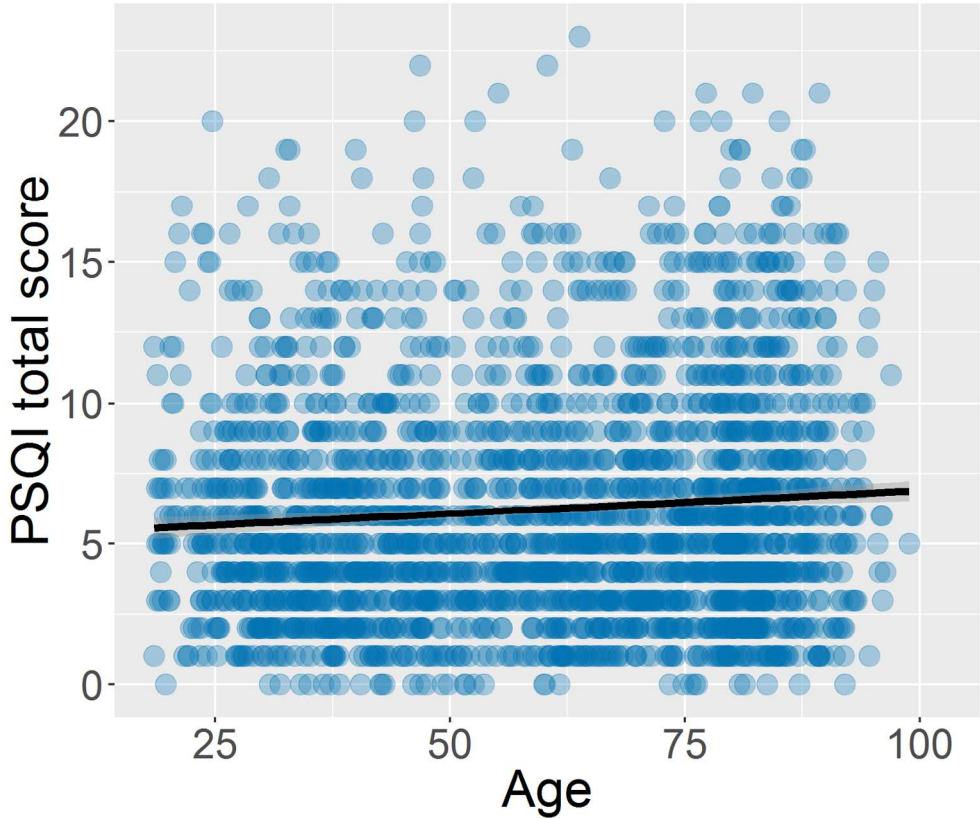




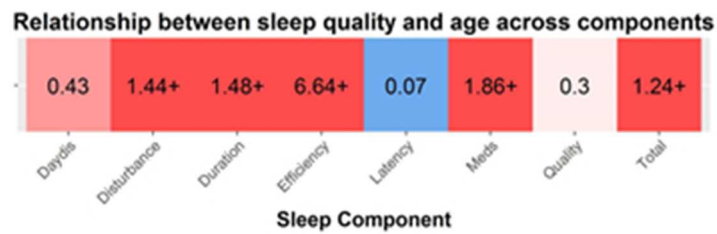
Physical health and sleep quality. Numbers represent Rsquared, the sample size is show in the last column. Strong associations between general indices of health and sleep quality are found, and several more modest relationships with BMI and sleep quality. Self-reported health (12 month and General) were measured in the full cohort (Mean = 2315.37, SD=66.29), the other indicators were measured in the imaging cohort only (Mean = 569.87, SD= 11.16).
insert Figure 5
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Interaction between sleep quality and anxiety. (N=724, age 18.48 to 46.2) compared to the oldest third of participants (N=725, age 71.79 to 90.2) (Figure 6)

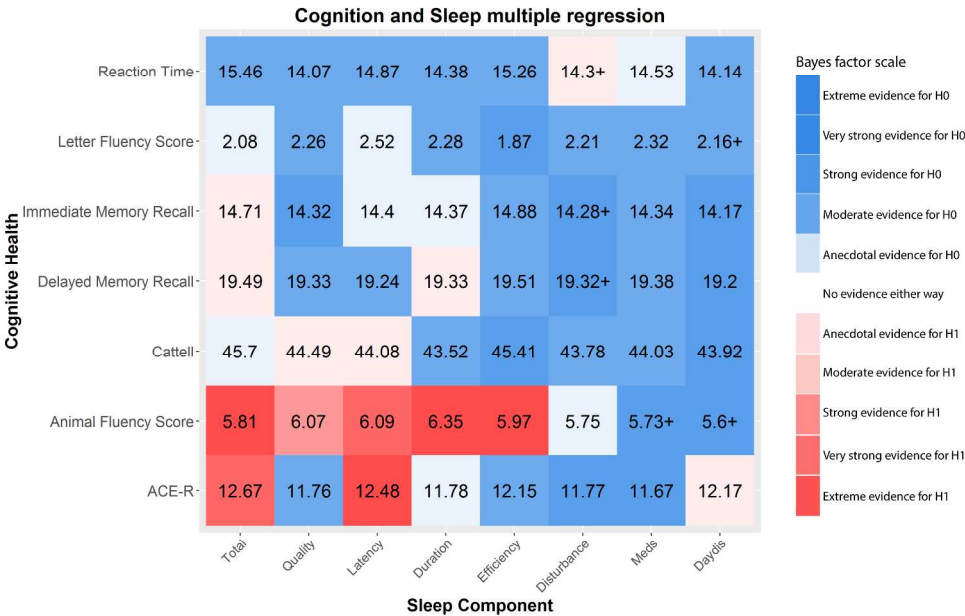


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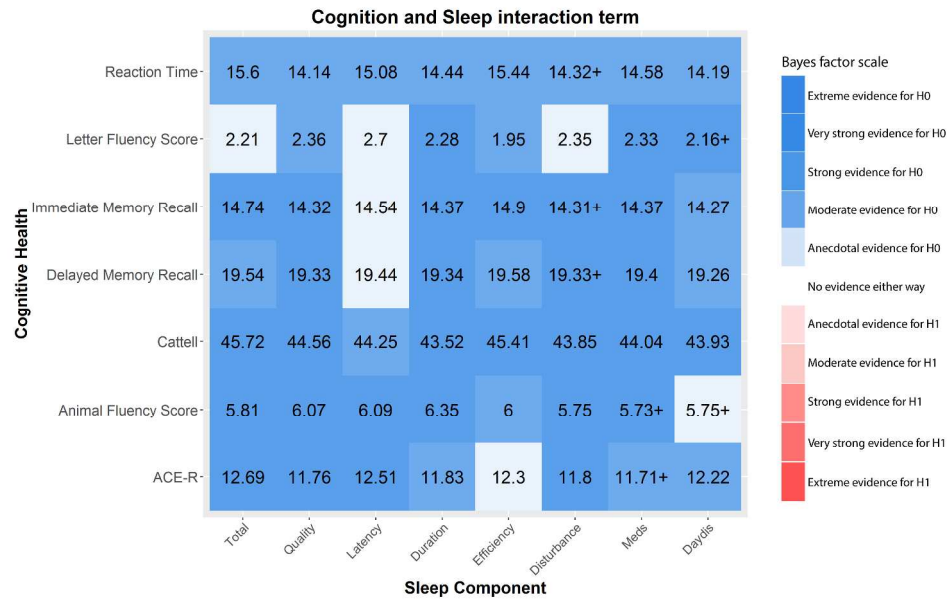


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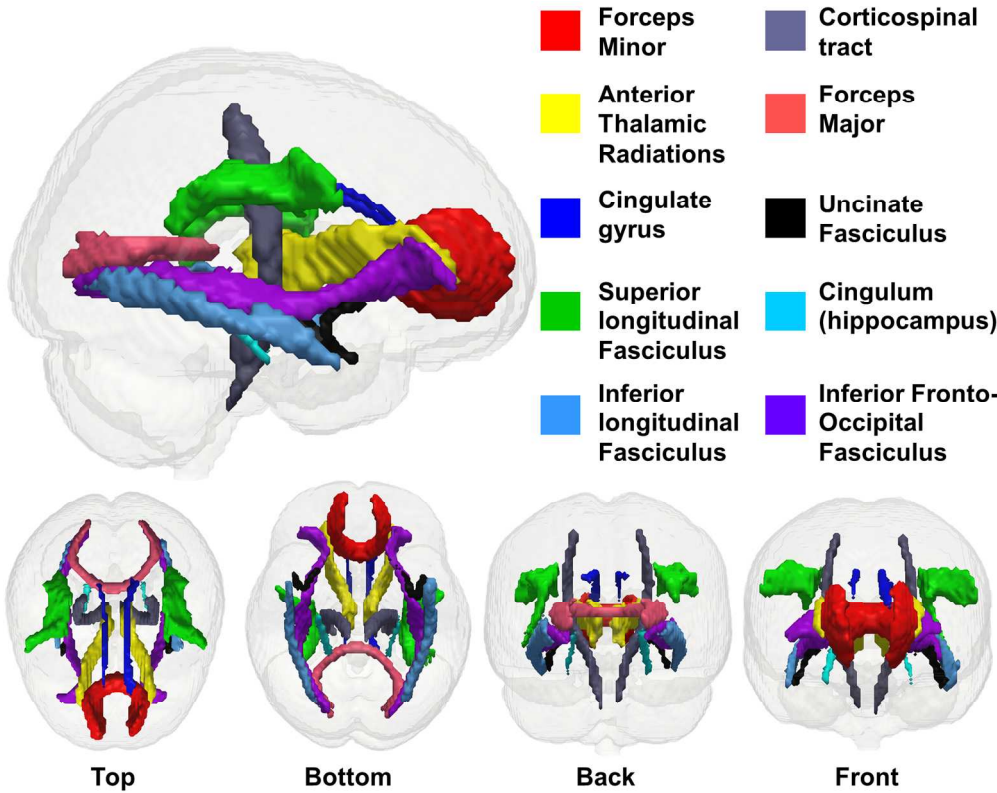
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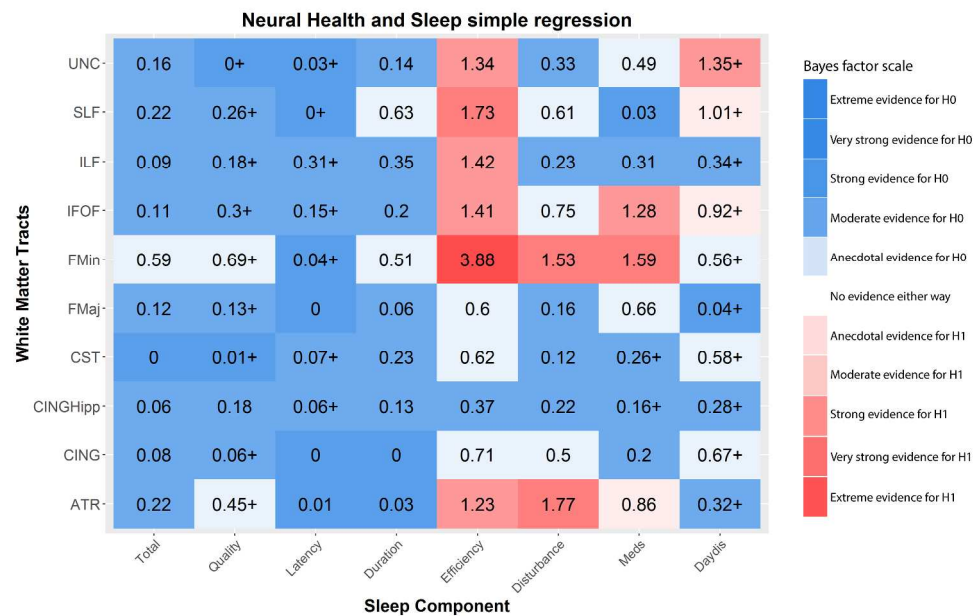
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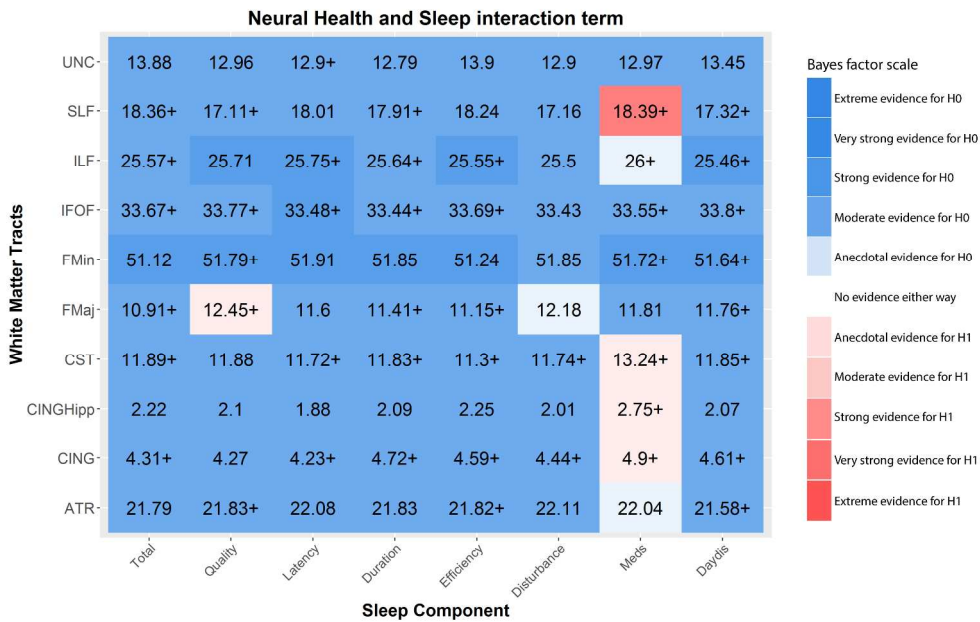
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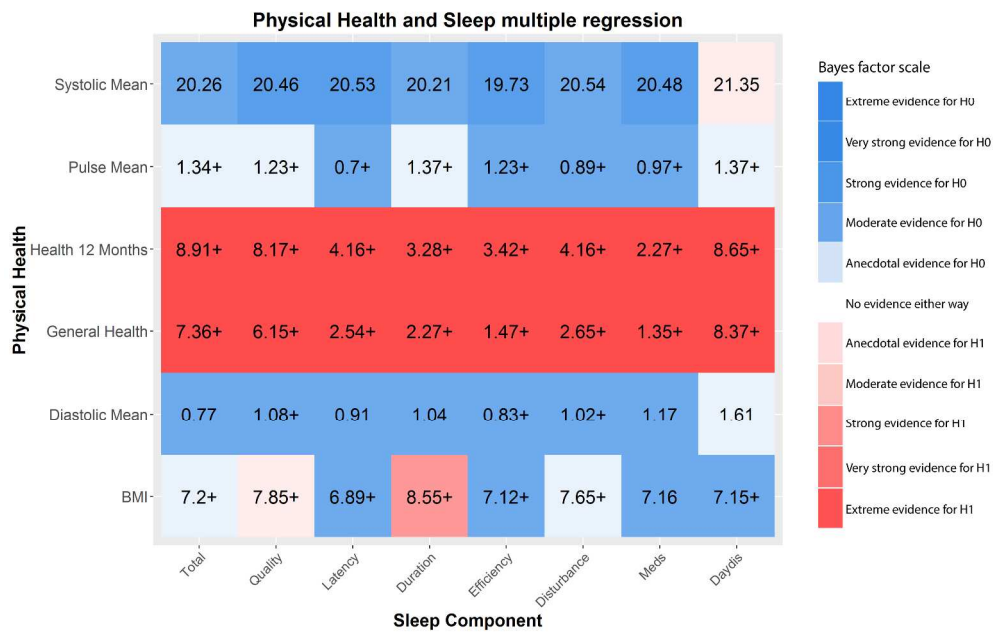
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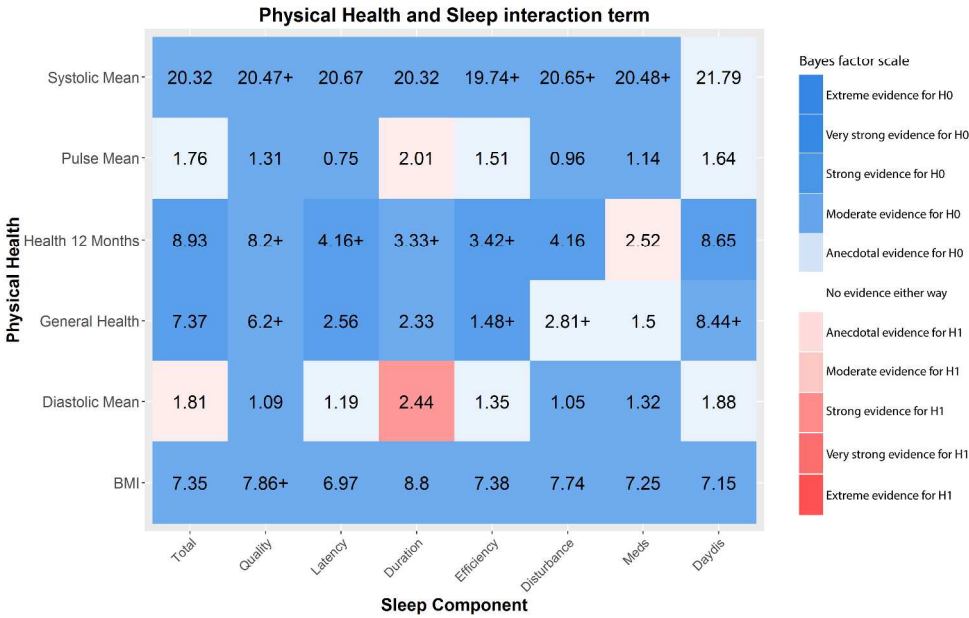
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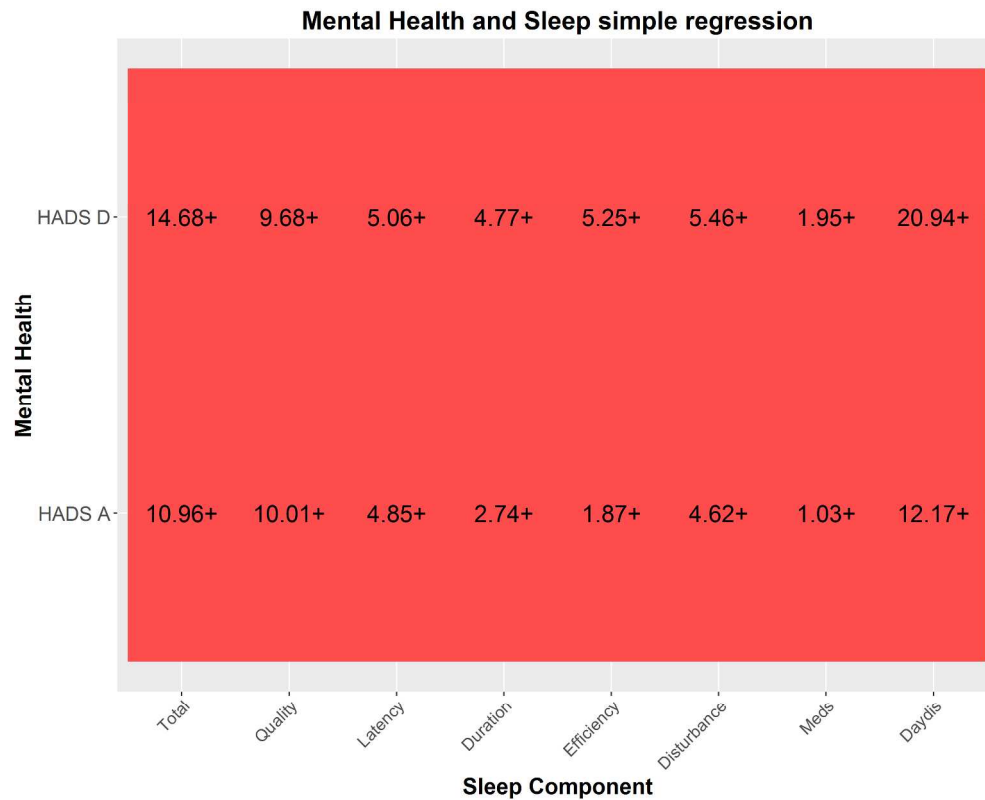
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329x214mm (300 x 300 DPI)



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STROBE 2007 (v4) Statement—Checklist of items that should be included in reports of *cohort studies*

Section/Topic	Item #	Recommendation	Reported on page #
Title and abstract	1	(a) Indicate the study’s design with a commonly used term in the title or the abstract	1
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	2
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	4
Objectives	3	State specific objectives, including any prespecified hypotheses	5 (lines 87-91)
Methods			
Study design	4	Present key elements of study design early in the paper	5
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	5 (project protocol)
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up	5 (project protocol)
		(b) For matched studies, give matching criteria and number of exposed and unexposed	NA
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	5-9
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	6-11
Bias	9	Describe any efforts to address potential sources of bias	N/A
Study size	10	Explain how the study size was arrived at	(project protocol)
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	10
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	10-13
		(b) Describe any methods used to examine subgroups and interactions	10
		(c) Explain how missing data were addressed	11
		(d) If applicable, explain how loss to follow-up was addressed	N/A
		(e) Describe any sensitivity analyses	N/A

Results				
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed		14-22
		(b) Give reasons for non-participation at each stage		(Project Proposal)
		(c) Consider use of a flow diagram		NA
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders		(Project Protocol)
		(b) Indicate number of participants with missing data for each variable of interest		
		(c) Summarise follow-up time (eg, average and total amount)		(Project Protocol)
Outcome data	15*	Report numbers of outcome events or summary measures over time		NA
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included		14-22
		(b) Report category boundaries when continuous variables were categorized		NA
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period		NA
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses		14-22
Discussion				
Key results	18	Summarise key results with reference to study objectives		22-26
Limitations				
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence		25-26
Generalisability	21	Discuss the generalisability (external validity) of the study results		25-26
Other information				
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based		3

*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at www.strobe-statement.org.

BMJ Open

How are age-related differences in sleep quality associated with health outcomes? An epidemiological investigation in a UK cohort of 2406 adults

Journal:	<i>BMJ Open</i>
Manuscript ID	bmjopen-2016-014920.R1
Article Type:	Research
Date Submitted by the Author:	15-Feb-2017
Complete List of Authors:	Gadie, Andrew; MRC Cognition and Brain Sciences Unit Shafto, Meredith; University of Cambridge, Center for Speech, Language and the Brain Leng, Yue; University of Cambridge; University of California San Francisco, School of Medicine Cam-CAN, _; University of Cambridge, Center for Sleep, language and the brain Kievit, Rogier; MRC CBSU
Primary Subject Heading:	Epidemiology
Secondary Subject Heading:	Neurology, Mental health, Public health, Geriatric medicine
Keywords:	Ageing, SLEEP MEDICINE, cognition, MENTAL HEALTH, Neurobiology < BASIC SCIENCES

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2 How are age-related difference in sleep quality associated with health outcomes? An
3 epidemiological investigation in a UK cohort of 2406 adults

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6 Meredith Shafto²

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4 Cambridge Centre for Ageing and Neuroscience (Cam-CAN), University of Cambridge and MRC Cognition and Brain Sciences Unit, Cambridge, UK, www.cam-can.com

12 Abstract

13 **Objectives** To examine age related differences in self-reported sleep quality and their
14 associations with health outcomes across four domains: Physical Health, Cognitive Health, Mental
15 Health and Neural Health.

16 **Setting** Cam-CAN is a cohort study in East Anglia/England, which collected self-reported
17 health and lifestyle questions as well as a range of objective measures from healthy adults.

18 **Participants** 2406 healthy adults (age 18-98) answered questions about their sleep quality
19 (Pittsburgh Sleep Quality Index) and measures of Physical, Cognitive, Mental, and Neural Health. A
20 subset of 641 individuals provided measures of brain structure.

21 **Main outcome measures** Pittsburgh Sleep Quality Index scores (PSQI) of sleep, and scores
22 across tests within the four domains of health. Latent Class Analysis (LCA) is used to identify sleep
23 types across the lifespan. Bayesian regressions quantify the presence, and absence, of relationships
24 between sleep quality and health measures.

25 **Results** Better sleep is generally associated with better health outcomes, strongly so for
26 mental health, moderately for cognitive and physical health, but not for sleep quality and neural
27 health. Latent Class Analysis identified four sleep types: 'Good sleepers' (68.1%, most frequent in
28 middle age), 'inefficient sleepers' (14.01%, most frequent in old age), 'Delayed sleepers' (9.28%,
29 most frequent in young adults) and 'poor sleepers' (8.5%, most frequent in old age). There is little
30 evidence for interactions between sleep quality and age on health outcomes. Finally, we observe u-
31 shaped associations between sleep duration and mental health (depression and anxiety) as well as
32 self-reported general health, such that both short and long sleep were associated with poorer
33 outcomes.

34 **Conclusions** Lifespan changes in sleep quality are multifaceted and not captured well by
35 summary measures, but instead as partially independent symptoms that vary in prevalence across
36 the lifespan. Better self-reported sleep is associated with better health outcomes, and the strength

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37 of these associations differs across health domains. Notably, we do observed associations between
38 self-reported sleep quality and white matter.

39 **Funding** Biotechnology and Biological Sciences Research Council (grant number
40 BB/H008217/1). RAK is supported by the Wellcome Trust (grant number 107392/Z/15/Z and the UK
41 Medical Research Council (MC-A060-5PR61).

42
43 **Keywords**

44 Ageing, sleep quality, healthy ageing, cognition, mental health, cognition, white matter, physical
45 health

46
47 **Strengths and limitations of this study**

- 48 • Broad phenotypic assessment of healthy ageing across multiple health domains
- 49 • Advanced analytic techniques (i.e. Latent Class Analysis regression) allows new insights
- 50 • A uniquely large neuroimaging sample combined with Bayesian inference allows for
- 51 quantification of evidence for the null hypothesis
- 52 • Subjective sleep measures may have drawbacks in older samples
- 53 • Cross-sectional data precludes modelling of within subject changes

54

55 BACKGROUND

56 Sleep is a fundamental human behaviour, with humans spending almost a third of their lives asleep.
57 Regular and sufficient sleep has been shown to benefit human physiology through a number of
58 different routes, ranging from consolidation of memories (1) to removal of free radicals (2) and
59 neurotoxic waste (3). Sleep patterns are known to change across the lifespan in various ways.
60 including decreases in quantity and quality of sleep (4), with up to 50% of older adults report
61 difficulties initiating and/or maintaining sleep (5). A meta-analysis of over 65 studies reflecting 3577
62 subjects across the lifespan reported a complex pattern of changes, including an increase of stage 1
63 but a decrease of stage 2 sleep in old age, as well as a decrease in REM sleep (6). An epidemiological
64 investigation of self-reported sleep in older adults observed marker sex differences in age-related
65 sleep changes, with females more likely to report disturbed sleep onset but men reporting night-
66 time awakenings (7). Other findings age-related physiological changes in the alignment of
67 homeostatic and circadian rhythms (8), decreases in sleep efficiency (9) the amount of slow-wave
68 sleep, and an increase in daytime napping (10). Importantly, interruption and loss of sleep has been
69 shown to have wide ranging adverse effects on health (11), leaving open the possibility that age-
70 related changes in sleep patterns and quality may contribute to well-documented age-related
71 declines in various health domains.

72 In the current study, we examine self-reported sleep habits in a large, population-based
73 cohort Cambridge Centre for Ageing and Neuroscience (Cam-CAN (12)). We relate sleep measures to
74 measures of health across four health domains: cognitive, brain health, physical and mental health.
75 Our goal is to quantify and compare the associations between typical age-related changes in sleep
76 quality and a range of measures of health measures that commonly decline in later life. We assess
77 sleep using a self-reported measure of sleep quality, the Pittsburgh Sleep Quality Index (PSQI) (13).
78 The PSQI has good psychometric properties (14) and has been shown to correlate reliably with
79 diseases of aging and mortality (15–17). Although polysomnography (18) is commonly considered
80 the gold standard of sleep quality measurement, it is often prohibitively challenging to employ in

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81 large samples. A recent direct comparison of sleep measures (19) suggests that although subjective
82 sleep measures (such as PSQI) may have certain drawbacks in older samples, they also capture
83 complementary aspects of sleep quality not fully captured by polysomnography. Moreover,
84 collecting self-report sleep quality data in a large, deeply phenotyped cohort offers several
85 additional benefits.

86 By utilising a population cohort of healthy adults, and studying a range of health outcomes in
87 the same population, we can circumvent challenges associated with studying clinical populations
88 and provide new insights. First and foremost, by investigating associations between sleep and
89 outcomes across multiple health domains in the same sample, we can make direct comparisons of
90 the relative magnitude of these effects. Second, larger samples allow us to can generate precise
91 effect size estimates, as well as adduce in favour of the null hypothesis. Third, we investigate the
92 associations between sleep quality and neural health in a uniquely large healthy population.
93 Previous investigations of the consequences of poor sleep on especially neural health have generally
94 focuses on clinical populations such as those suffering from insomnia (20,21). Although such studies
95 are crucial for understanding pathology, the demographic idiosyncrasies and often modest sample
96 sizes of these approaches make it hard to generalize to healthy, community dwelling lifespan
97 populations. Moreover, most studies that study age-related changes or differences focus on (very)
98 old age, while far less is known about young and middle aged adults (6). For these reasons, our focus
99 on a healthy, multimodal lifespan cohort is likely to yield novel insights into the subtle changes in
100 sleep quality across the lifespan.

101 We will focus on three questions within each health domain: First, is there a relationship
102 between sleep quality and health? Second, does the strength and nature of this relationship change
103 when age is included as a covariate? Third, does the strength and nature of the relationship change
104 across the lifespan? We will examine these questions across each of the four health domains.

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METHODS

Sample

A cohort of 2544 (12) was recruited as part of the population-based Cambridge Centre for Ageing and Neuroscience (Cam-CAN) cohort (www.cam-can.com), drawn from the general population via Primary Care Trust (PCT)'s lists within the Cambridge City (UK) area 10,520 invitation letters were sent between 2010 and 2012, and willing participants were invited to have an interview conducted in their home, with questions on health, lifestyle demographics and core cognitive assessments. Sample size was chosen to allow for 100 participants per decile in further acquisition stages, giving sufficient power to separate age-related change from other sources of individual variation. For additional details of the project protocol see (12,22) and for further details of the Cam-CAN dataset visit <http://www.mrc-cbu.cam.ac.uk/datasets/camcan/>. A further subset of participants who were MRI compatible with no serious cognitive impairment participated in a neuroimaging session (22) between the 2011 and 2013. Participants included were native English speakers, had normal or corrected to normal vision and hearing, scored 25 or higher on the mini mental state (23). Note that other, more stringent cut-offs are sometimes employed to screen for premorbid dementia, such as a score of 88 or higher in the Addenbrookes Cognitive Examination – Revised (24). For the sake of comprehensiveness we repeated our analyses using this more stringent cut off (ACE-R>88), but observed no noteworthy differences in our findings, so we only report the findings based on the MMSE. Ethical approval for the study was obtained from the Cambridgeshire 2 (now East of England-Cambridge Central) Research Ethics Committee (reference: 10/H0308/50). Participants gave written informed consent. The raw data and analysis code are available upon signing a data sharing request form (see <http://www.mrc-cbu.cam.ac.uk/datasets/camcan/> for more detail).

Variables

Sleep Measures

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132 Sleep quality was assessed using the Pittsburgh Sleep Quality Index (PSQI), a well-validated
133 self-report questionnaire (13,19) designed to assist in the diagnosis of sleep disorders. The questions
134 concern sleep patterns, habits, and lifestyle questions, grouped into seven components, each
135 yielding a score ranging from 0 (good sleep/no problems) to 3 (poor sleep/severe problems), that
136 are commonly summed to a PSQI Total score ranging between 0 and 21, with higher scores
137 reflecting poorer sleep quality.

138 **Health Measures**

139 *Cognitive health.* A number of studies have found associations between poor sleep and
140 cognitive decline, including in elderly populations. Poor sleep affects cognitive abilities such as
141 executive functions (25) and learning and memory processes (26), whereas short term
142 pharmaceutical interventions such as administration of melatonin improve both sleep quality and
143 cognitive performance (27,28). Recent work (29) concluded that “maintaining good sleep quality, at
144 least in young adulthood and middle age, promotes better cognitive functioning and serves to
145 protect against age-related cognitive declines”. As sleep may affect various aspects of cognition
146 differently (30), we include measures that cover a range of cognitive domains including memory,
147 reasoning, response speed, and verbal fluency, as well as including a measure of general cognition
148 (See Table 1 and (12) for more details).

149 *Neural health.* Previous research suggests that individuals with a severe disruption of sleep
150 are significantly more likely to exhibit signs of poor neural health (20,31). Specifically, previous
151 studies have observed decreased white matter health in clinical populations suffering from
152 conditions such as chronic insomnia (21), obstructive sleep apnoea (32,33), excessively long sleep in
153 patients with diabetes (34), and REM Sleep Behaviour Disorder (35). Many of these studies focus on
154 white matter hyperintensities (WMH), a measure of the total volume or number of (regions)
155 showing low-level neural pathology (although some study grey matter, e.g. (36,37). White matter
156 hyperintensities are often used as a clinical marker, as longitudinal increases in WMHs are
157 associated with increased risk of stroke, dementia and death (38) and are more prevalent in patients

with pathological sleep problems (33,34). However, use of this metric in clinical cohorts largely leaves open the question of the impact of sleep quality on neural (white matter) health in non-clinical, healthy populations. To address this question, we use a more general indicator of white matter neural health; *Fractional Anisotropy* (FA). FA is associated with white matter integrity and myelination (39,40). We use FA as recent evidence suggests that WMHs represent the extremes (foci) of white matter damage, and that FA is able to capture the full continuum of white matter integrity (41). For more information regarding the precise white matter pipeline, see (12,22,42).

Physical health. Sleep quality is also an important marker for physical health, with poorer sleep being associated with conditions such as obesity, diabetes mellitus (43), overall health (11,44) and increased all-cause mortality (45,46). We focus on a set of variables that capture three types of health domains commonly associated with poor sleep: Cardiovascular health measured by pulse, systolic and diastolic blood pressure (47), self-reported health, both in general and for the past 12 months (48) and body-mass index (49).

Mental health. Previous work has found that disruptions of sleep quality are a central symptom of forms of psychopathology such as Major Depressive Disorder, including both hypersomnia and insomnia (44,50), and episodes of insomnia earlier greatly increased the risk of later episodes of major depression (51). Kaneita et al. (52) found a U-shaped association between sleep and depression, such that individuals regularly sleeping less than 6, or more than 8, hours were more likely to be depressed. Both depression (53) and anxiety (54,55) are commonly associated with sleep problems. To capture these dimensions we used both scales of the Hospital Anxiety and Depression Scale (HADS) (56), a widely used and standardized questionnaire that captures self-reported frequency and intensity of anxiety and depression symptoms.

Health	Task and Description	Variable	Descriptives	Citati
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domain				on
Cognitive	Story Recall Immediate: Participants hear a short story and are asked to recall as accurately as possible.	Recall manually scored for similarity and precision (min=0, max=24)	N = 2379, M=13.14, SD=4.66, Range=(0-24)	(57)
Cognitive	Story Recall Delayed: Same as above but recall after 30 minute delay	Recall manually scored for similarity and precision (min=0, max=24)	N = 2366, M=11.47, SD=4.92, Range=(0-24)	(57)
Cognitive	Letter Fluency (phonemic fluency): Participants have one minute to generate as many words as possible beginning with the letter 'p'.	Total words generated (min=0,max=30)	N = 2360, M=25.38, SD=3.96, Range=(0-30)	(57)
Cognitive	Animal Fluency (semantic fluency): Participants have one minute to generate as many words as possible in the category 'animals'.	Total words generated (min=0,max=30)	N = 2346, M=25.85, SD=4.47, Range=(0-30)	(57)
Cognitive	Cattell Culture Fair: Test of fluid reasoning using four subtests (series completions, odd-one-out, matrices and topology)	Total correct summed across four subtests. Min=0, max=46	N = 658, M=31.8, SD=6.79, Range=(11-44)	(58)
Cognitive	Simple reaction time: Speed in a simple reaction time task	1/response time in seconds	N = 657, M=0.37, SD=0.08, Range=(0.24-0.93)	(12)
Cognitive	Addenbrookes Cognitive Examination, Revised: Screening test for dementia using seven subtests (orientation, attention and concentration, memory, fluency, language, visuospatial abilities, perceptual abilities)	Performance on multiple tests converted to min=0, max=100 range	N = 2406, M=89.25, SD=13.4, Range=(0-100)	(24)
Neural	White matter health: Measure of tract integrity using fractional anisotropy	Fractional Anisotropy (min=0, max=1, averaged across 10 tracts)	N = 641, M=0.5, SD=0.03, Range=(0.3-0.56)	(59)
Physical	Self-reported Health, in general: Participants use a 4-point scale to respond to the prompt "Would you say for someone of your age, your own health in general is..."	Score from 1 = Excellent to 4= Poor	N = 2404, M=2.02, SD=0.79, Range=(1-3)	(60)
Physical	Self-reported Health, last 12 months: Participants use a 3-point scale to respond to the prompt "Over the last twelve months would you say your health has on the whole been..."	Score from 1 = Good to 3= Poor	N = 2398, M=1.46, SD=0.71, Range=(1-3)	(60)

Physical	Systolic blood pressure	Mean systolic blood pressure in mmHg, averaged across three consecutive measurements	N = 577, M=120.11, SD=17, Range=(78.5-186)	
Physical	Diastolic blood pressure	Mean diastolic blood pressure in mmHg, averaged across three consecutive measurements	N = 577, M=73.14, SD=10.48, Range=(49-115.5)	
Physical	Resting pulse	Mean pulse in beats per minute, averaged across three consecutive measurements	N = 578, M=65.69, SD=10.5, Range=(40-110.5)	
Physical	Body Mass Index (BMI)	(weight in kg) / (height in m) ²	N = 584, M=25.77, SD=4.59, Range=(16.75-48.32)	(61)
Mental health	Anxiety Subscale (Hospital Anxiety and Depression Scale (HADS)): Participants response to seven questions about anxiety-related behaviours	Seven questions rated on 0 to 3 scale ('Often' to 'Very seldom'). Min=0, Max=21	N = 2393, M=5.17, SD=3.4, Range=(0-19)	(56)
Mental health	Depression Subscale (Hospital Anxiety and Depression Scale (HADS)): Participants response to seven questions about depression-related behaviours	Seven questions rated on 0 to 3 scale ('Often' to 'Very seldom'). Min=0, Max=21	N = 2373, M=3.32, SD=2.91, Range=(0-14)	

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Table 1. Description of health variables across each of four domains (cognitive, neural, physical, mental). For each variable details are given including a description of the task it is derived from, relevant citations, a brief definition and descriptive statistics.

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184 **STATISTICAL ANALYSES**

185 We examine whether self-reported sleep patterns change across the lifespan, both for the PSQI sum
186 score and for each of the seven PSQI components. We then examine the relationships between the
187 sleep quality and the four health domains in three ways: First, simple regression of the health
188 outcome on sleep variables, to determine evidence for association between poor sleep quality and
189 poor health outcomes. Second, we include age as a covariate. Finally, we include a (standard normal
190 rescaled) continuous interaction term to examine whether there is evidence for a changing
191 relationship between sleep and outcomes across the lifespan.

192 For all regressions we will use a default Bayesian approach advocated by (62–65) which
193 avoids several well-documented issues with p-values (64), allows for quantification of null effects,
194 and decreases the risk of multiple comparison problems (66). Bayesian regressions allows us to
195 symmetrically quantify evidence in favour of, or against, some substantive model as compared to a
196 baseline (e.g. null) model. This evidentiary strength is expressed as a Bayes Factor (67), which can be
197 interpreted as the relative likelihood of one model versus another given the data and a certain prior
198 expectation. A Bayes Factor of, e.g., 7, in favour of a regression model suggests that the data are
199 seven times *more likely* under that model than an intercept only model for a given prior (for an
200 empirical comparison of p-values and Bayes factors, see (65)). A heuristic summary of evidentiary
201 interpretation can be seen in Figure 1.

202 [insert Figure 1 here]

203 We report log Bayes Factors for (very) large effects and regular Bayes Factors for smaller
204 effects. To compute Bayes Factors we will use Default Bayes Factor approach for model selection
205 (62,63) in the package BayesFactor (68) using the open source software package R (69). As previous
206 papers report associations between sleep and outcomes ranging from absent to considerable in size
207 we utilize the default, symmetric Cauchy prior with width $\frac{\sqrt{2}}{2}$ which translates to a 50% confidence
208 that the true effect will lie between -.707 and .707. Prior to further analysis, scores on all outcomes
209 were transformed to a standard normal distribution, and any scores exceeding a z-score of 4 or -4

were recoded as missing (aggregate percentage outliers across the four health domains: Cognitive, 0.41%, Mental, 0.16%, Neural, 0.37% Physical, 0.031%).

RESULTS

Age-related differences in sleep quality

First, we examined sleep changes across the lifespan by examining age-related differences in the PSQI sum score (N= 2178, M=5.16, SD=3.35, Range=0-19). Regressing the PSQI global score on age, (see Supplementary Figure 1) showed evidence for a positive relationship across the lifespan ($\log BF_{10} = 10.45$). This suggests that on the whole, sleep quality decreases across the lifespan (note that *higher* PSQI scores correspond to worse sleep). Although we observe strong statistical evidence for an age-related difference ('Extreme' according to (70)) age explained only 1.23 % of the variance in the PSQI Total score. Next, we examined each of the seven components on age in the same manner. In Supplementary Figure 2 we see that that age has varying and specific effects on different aspects of sleep quality, and did not worsen uniformly across the lifespan. For example, we observed moderate evidence that sleep latency did not change across the lifespan (Sleep Latency, $BF_{01} = 9.25$, in favour of the null), Sleep Quality showed no evidence for either change or stasis ($BF_{10} = 1.63$) and one sleep component, Daytime Dysfunction, improved slightly across the lifespan ($BF_{10} = 7.03$). Medication). The strongest age-related decline is that of Efficiency, showing an R-squared of 6.6%.

Finally, we entered all seven components into a Bayesian multiple regression simultaneously, to examine to what extent they could, together, predict age. The best model included every component except Sleep Latency ($\log BF_{10} = 142.71$). Interestingly, this model explained 13.66% of the variance in age, compared to 1.23% for the PSQI Total score, and 6.6% for the strongest single component (efficiency). This shows that lifespan changes in self-reported sleep are heterogeneous and partially independent, and that specific patterns and components need to be taken into account simultaneously to fully understand age-related differences in sleep quality. These

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235 finding shows that neither the PSQI sum score nor the sleep components in isolation fully capture
236 differences in sleep quality across the lifespan.

237 The analysis above suggests that conceptualizing ‘poor sleep’ as a single dimension does not
238 reflect the subtleties in lifespan changes – An often computed sumscore changes little across the
239 lifespan, whereas the totality of sleep symptoms shows far stronger, and more subtle, patterns. To
240 better elucidate individual differences in sleep quality we next use *Latent Class Analysis* (71). This
241 technique will allow us examine individual differences in sleep quality across the lifespan in more
242 detail than afforded by simple linear regressions: Rather than examining continuous variation in
243 sleep components, LCA classifies individuals into different *sleep types*, each associated with a distinct
244 profile of ‘sleep symptoms’. If there are specific constellations of sleep problems across individuals,
245 we can quantify and visualize such sleep types.

246 To analyse the data in this manner, we binarized the responses on each component into
247 ‘good’ (0 or 1) or ‘poor’ (2 or 3). Our measures of PSQI symptoms straddle the border between
248 continuous and categorical – Although some are fully continuous (e.g. sleep latency) others are less
249 so. For instance, although scored on a range of four several of the scales (such as Subjective Sleep
250 quality) have implicitly binary response options of ‘Very good’ and ‘fairly good’ on the one hand and
251 ‘fairly bad’ and ‘very bad’ on the other. As analytical work in psychometrics (72) suggests that likert-
252 like graded scales can be treated as continuous only from five ordinal categories upwards, by fitting
253 an LCA we are erring on the side of caution (although a latent profile analysis would likely give
254 similar results). Note that although our analysis divides individuals into discrete classes with specific
255 profiles, it is still possible to examine the conditional response likelihood of responding ‘yes’ to each
256 symptom as a continuous metric (between 0 and 1) that reflects the nature of the association
257 between the class and the outcome. By modelling sleep ‘types’ we hope to illustrate the complex
258 patterns in a more intelligible manner – notably, doing so allows us to examine whether the
259 likelihood of belonging to any sleep ‘type’ changes as a function of age.

Next we examined evidence for distinct sleep types using We fit a set of possible models (varying from 2 to 6 sleep types) We found that the four class solution gives the best solution, according to the Bayesian Information Criterion (73) (BIC for 4 Classes = 11825.65, lowest BIC for other solutions= 11884.92 (5 classes) (with 50 repetitions per class, at 5000 maximum iterations).

Next we inspected the nature of the sleep types, the prevalence of each 'sleep type' in the population, and whether the likelihood of belonging to a certain sleep type changes across the lifespan. See Figure 2 for the component profiles of the four sleep types identified.

[insert Figure 2 here]

Class 1, 'Good sleepers', make up 68.1% of participants. Their sleep profile is shown in Figure 2A, top left, and is characterised by a low probability of responding 'poor' to any of the sleep components. Class 2, 'inefficient sleepers', make up 14.01% of the participants, and are characterized by poor sleep Efficiency: Members of this group uniformly (100%) report poor sleep Efficiency, despite relatively low prevalence of other sleep problems, as seen in Figure 2A, top right. Class 3, 'Delayed Sleepers' seen in the bottom left of Figure 2a, makes up 9.28% of the participants: characterized by modestly poor sleep across the board, but a relatively high probability of poor scores on Sleep Latency (59%), Sleep Quality (51%) and sleep Disturbance (31%). Finally, Class 4, 'Poor sleepers', make up 8.5% of the participants, shown bottom right in Figure 2A. Their responses to any of the seven sleep components are likely to be 'poor' or 'very poor', almost universally so for 'sleep quality' (94%) and 'Sleep Efficiency' (97.7%).

Next, we including age as a covariate (simultaneously including a covariate is known as *latent class regression* or concomitant-variable latent class models (74). This analysis, visualised in Figure 2b, shows that the probability of membership of each classes compared to the reference class (good sleepers) changes significantly across the lifespan for each of the classes (Class 2 versus class 1: beta/SE= 0.05/0.00681, t=7.611, Class 3 versus class 1: beta/SE= -0.01948/0.0055, t=-3.54), Class 4 versus class 1: beta/SE 0.01269/0.00478, t=2.655, for more details on generalized logit coefficients , see (71). The frequency of Class 1 (Good sleepers) peaks in middle to late adulthood, dropping

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286 increasingly quickly after age 50. Class 2 (Inefficient sleepers) are relatively rare in younger
287 individuals, but the prevalence increases rapidly in individuals over age 50. On the other hand, Class
288 3 (Delayed sleepers) shows a steady decrease in the probability of an individual showing this profile
289 across the lifespan, suggesting that this specific pattern of poor sleep is more commonly associated
290 with younger adults. Finally, the proportion of Class 4 (poor sleepers) members increases only
291 slightly across the lifespan. Together, the latent class analysis provides additional evidence that the
292 PSQI sum score as an indicator of sleep quality does not fully capture the subtleties of age-related
293 differences. Age-related changes in sleep patterns are characterized by specific, clustered patterns
294 of sleep problems that cannot be adequately characterized by summation of the component scores.
295 The above analyses show how both a summary measure and individual measures of sleep quality
296 change across the lifespan. Next, we examined the relationships between sleep quality measures
297 (seven components and the global PSQI score) and health variables (specific variables across four
298 domains, as shown in Table 1).

300 **Sleep, health domains and age**

301 *Cognitive health*

302 First, we examined the relationships between sleep quality and seven measures of cognitive health
303 (see Table 1 for details). We visualize our findings using tileplots (75). Each cell shows the numeric
304 effect size (R-squared, 0-100) of the bivariate association between a sleep component and a health
305 outcome, colour coded by the statistical evidence for a relationship using the Bayes Factor. If the
306 parameter estimate is positive, the r-squared value has the symbol '+' added (note the
307 interpretation depends on the nature of the variable, cf. Table 1).
308 As can be seen in Supplementary Figure 3, several relationships exist between measures of cognitive
309 health and measures of sleep quality. However, these results attenuate in a multiple regression
310 model including age as shown in Figure 3.

311 [Insert Figure 3 here]

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3 312 The cognitive abilities most strongly associated with poor sleep are a measure of general cognitive
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5 313 health, ACE-R, and a test of verbal phonemic fluency. Two patterns emerged: First, the strongest
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7 314 predictor across the simple and multiple regressions was for the PSQI Total score. Tentatively this
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9 315 suggests that a cumulative index of sleep problems, rather than any specific pattern of poor sleep, is
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11 316 the biggest risk factor for poorer cognitive performance. Secondly, after controlling for age, the most
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13 317 strongly affected cognitive measure is phonemic fluency, the ability to generate name as many
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15 318 different words as possible starting with a given letter within a minute. Verbal fluency is commonly
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17 319 used as a neuropsychological test (76). Previous work suggests it depends on both the ability to
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19 320 cluster (generating words within a semantic cluster) and to switch (switching between categories),
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21 321 and is especially vulnerable to frontal and temporal lobe damage (with specific regions dependant
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23 322 on either a semantic or phonemic task (77)). Although modest in size, our findings suggests this task,
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25 323 dependent on multiple executive processes, is particularly affected by poor sleep quality (78). The
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27 324 second strongest association was with the ACE-R, a general cognitive test battery similar in style and
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29 325 content to the MMSE. When an interaction term with age was included, little evidence for
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31 326 interactions with age (mean $\log BF_{10} = -2.08$, see Supplementary Figure 4), suggesting that the
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33 327 negative associations between sleep and cognitive performance are a constant feature across the
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35 328 lifespan, rather than specifically in elderly individuals. Together this suggests that poor sleep quality
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37 329 is modestly but consistently associated with poorer general cognitive performance across the
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39 330 lifespan, most strongly with semantic fluency.
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46 332 *Neural Health*

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48 333 Using Diffusion Tensor Imaging, we estimated a general index of white matter integrity in 10 tracts
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50 334 (59) (shown in Supplementary Figure 5), by taking the average Fractional Anisotropy in each white
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52 335 matter ROI (see (79) for more information). We use the data from a subsample of 641 individuals
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54 336 (age $M=54.87$, range 18.48-88.96) who were scanned in a 3T MRI scanner (for more details regarding
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56 337 the pipeline, sequence and processing steps, see (22,79). Regressing neural WM ROI's on sleep
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338 quality, we find several small effects, with the strongest associations between sleep efficiency and
339 neural health (see Supplementary Figure 6). All effects are such that poorer sleep is associated with
340 poorer neural health, apart from a small effect in the opposite direction for Uncinate and Daytime
341 Dysfunction ($BF_{10}= 6.20$). However, when age is included as a covariate, the negative associations
342 between sleep quality and white matter health are attenuated virtually to zero (Figure 4,
343 mean/median $BF_{10}= 0.18/.10$), with Bayes Factors providing strong evidence for the lack of
344 associations between sleep quality and white matter integrity. One exception was observed: The use
345 of Sleep Medication is associated with *better* neural health in the corticospinal tract, a region
346 previously found to be affected by pathological sleep problems such as sleep apnoea (33). However,
347 this effect is very small ($BF_{10}=3.24$) given the magnitude of the sample and the range of comparisons,
348 so should be interpreted with caution.

[Insert Figure 4 here]

350 Finally, we tested for any interactions by including a mean-scaled interaction term (sleep*age,
351 Supplementary Figure 7). This analysis found evidence for a significant interaction, between the
352 Superior Longitudinal Fasciculus (SLF) and Sleep Medication ($BF_{10}= 13.77$), such that better neural
353 health in the SLF was associated with the use of Sleep Medication more strongly in older adults.
354 Together, these findings suggest that in general, once age is taken into account, self-reported sleep
355 problems in a non-clinical sample are *not* associated with poorer neural health, although there is
356 some evidence for a modest associations between better neural health in specific tracts and the use
357 of sleep medication in the elderly.

358
359 *Physical health*

360 Next we examined whether sleep quality is associated with physical health. Figure 5 shows
361 the simple regressions between sleep quality and physical health. Strong associations were found
362 between poor overall sleep (PSQI sum score) and poor self-reported health, both in general
363 ($\log BF_{10}=77.51$) and even more strongly for health in the past 12 months ($\log BF_{10}=91.25$). This may

be because poorer sleep, across all components, directly affects general physical health (43,80) or because people subjectively experience sleep quality as a fundamental part of overall general health. A second association was between BMI and poor sleep quality, most strongly poor Duration ($\log BF_{10}=4.69$).

[Insert Figure 5 here]

This not only replicates previous findings but is in line with an increasing body of evidence that suggests that shorted sleep duration causes metabolic changes, which in turn increases the risk of both diabetes mellitus and obesity (43,81,82). Next, we examined whether these effects were attenuated once age was included. We show that although the relationships are slightly weaker, the overall pattern remains (Supplementary Figure 8), suggesting these associations are not merely co-occurrences across the lifespan. Our findings suggest self-reported sleep quality, especially sleep Duration, is related to differences in physical health outcomes in a healthy sample.

Finally, there was evidence of a single interaction with age (Supplementary Figure 9): Although poor sleep Duration was associated with *higher* diastolic blood pressure in younger adults, it was associated with *lower* diastolic blood pressure in older individuals ($BF_{10}=8.53$). This may reflect the fact that diastolic blood pressure is related to cardiovascular health in a different way across the lifespan, although given the small effect size it should be interpreted with caution.

Mental health

Finally, we examined the relationship between sleep quality and mental health, as measured by the Hospital Anxiety and Depression Scale (56). One benefit of the HADS in this context is that, unlike some other definitions (e.g. the DSM-V), sleep quality is not an integral (scored) symptom of these dimensions. As shown in Supplementary Figure 10, there are very strong relationships between all aspects of sleep quality and measures of both anxiety and depression. The strongest predictors of Depression are Daytime Dysfunction ($\log BF_{10}=245.9$, $R^2=20.9\%$), followed by the overall sleep score ($\log BF_{10}=170.5$, $R^2=14.6\%$) and sleep quality ($\log BF_{10}=106.8$, $R^2=9.7\%$). The effects size for

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Anxiety was comparable but slightly smaller in magnitude. When age is included as a covariate the relationships remained virtually unchanged (Supplementary Figure 11), suggesting these relationships are present throughout across the lifespan. These findings replicate and extend previous work, suggesting that sleep quality is strongly associated with both anxiety and depression across the lifespan.

Finally we examined a model with an interaction term (Supplementary Figure 12). Most prominently we found interactions with age in the relationship between HADS depression and the PSQI Total, and in the relationship between HADS depression and Sleep Duration, such that for the relationship between anxiety and overall sleep quality is stronger in younger adults ($BF_{10} = 9.91$, see Figure 6). Together our findings show that poor sleep quality is consistently, strongly and stably associated with poorer mental health across the adult lifespan.

[Insert Figure 6 here]

Non-linear associations between sleep and health outcomes

In the above analyses, we focused on linear associations between symptoms and health outcomes. However, for one aspect of sleep, namely sleep duration (in hours), evidence exists that these associations are likely to be non-linear, such that both shorter and longer than average sleep are associated with poorer health outcomes (e.g. (83–85). This is echoed in clinical criteria for depression, which commonly include that include both hyper- and hypo-somnia as ‘sleep disruption’ symptoms – In other words, both too much or too little sleep are suboptimal. To examine whether we observe evidence for non-linearities we examined the relationship between raw scores on sleep duration (in hours, not transformed to PSQI norms) and health outcomes across the four domains. If the association between sleep and outcomes is indeed u-shaped (or inverted U, depending on the scale) then a Bayesian regression would prefer the less parsimonious model that includes the quadratic term. We observed no non-linear associations between any neural or cognitive health variables. We find strong evidence for a quadratic (subscript q) over a linear (subscript l) associations

between sleep duration and HADS anxiety ($\log BF_{qi} = 19.98$), even more strongly so with HADS Depression ($\log BF_{qi} = 25.83$, see Figure 7A shows the strongest curvilinear association, namely with depression). We find a similar u-shaped curve with general health ($BF_{qi} = 277.81$) and self-reported health over the last 12 months ($BF_{qi} = 887.59$), the latter shown in Figure 7b. Together, these analyses support previous conclusions that some (although not all) poorer health outcomes can be associated with both too much and too little sleep.

[Insert Figure 7 here]

DISCUSSION

In this study, we report on the associations between age-related differences in sleep quality and health outcomes in a large, age-heterogeneous sample of community dwelling adults of the Cambridge Neuroscience and Aging (Cam-CAN) cohort. We find that sleep quality generally decreases across the lifespan, most strongly for sleep Efficiency. However age-related changes in sleep patterns are complex and multifaceted, so we used Latent Class Analysis to identify 'sleep types' associated with specific sleep quality profiles. We found that Younger adults are more likely than older adults to display a pattern of sleep problems characterised by poor sleep quality and longer sleep latency, whereas older adults are more likely to display inefficient sleeping, characterised by long periods spent in bed whilst not asleep. Moreover, the probability of being a 'good' sleeper, unaffected by any adverse sleep symptoms, decreases considerably after age fifty.

Notably, closer investigation of the sleep classes reveals likely further complexities of age-related differences. The category 'poor sleepers', most prevalent in older adults, shows high conditional likelihood of 'poor sleep' across all symptoms except 'daytime dysfunction'. One possible explanation is that almost all individuals in this group are beyond retirement age. For this reason, they likely have greater flexibility in tailoring their day to day activities to their energy levels (as opposed to individuals working fulltime), and are therefore less likely to consider themselves 'disrupted' even in the presence of suboptimal sleep. Although more detailed, interview-based

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investigations would be necessary to examine the precise nature of these findings, it stands to reason that certain symptoms change not just in prevalence but also in meaning across the lifespan.

One key strength of our broad phenotypic assessment allows for direct comparison of the different measures of sleep quality and four key health domains. We find strongest associations between sleep quality and mental health, moderate relations between sleep quality and physical health and cognitive health and sleep, virtually all such that poorer sleep is associated with poorer health outcomes. We did not find evidence for associations between self-reported sleep and neural health. Notably, the relationships we observe are mostly stable across the lifespan, affecting younger and older individuals alike. A notable exception to these effects is the absence of any strong relation (after controlling for age) between sleep quality and neural health as indexed by tract-based average fractional anisotropy. Perhaps surprisingly, given we found strong relationships in the same sample between sleep and other outcomes (e.g. mental health, Figure 10) we find that self-reported sleep problems in a non-clinical sample are not associated with fractional anisotropy above and beyond old age. This is despite the fact that previous work within the same cohort observed moderate to strong associations between white matter and various cognitive outcomes (42,86,87). However, although notable, our finding does not rule out that such associations do exist with other white matter metrics, that they would be observed with objective measures of sleep such as polysomnography, or that the co-occurrence of age-related declines in sleep quality and white matter share an underlying causal association that cannot be teased apart in a cross-sectional sample.

One strength of our study is the assessment of neuroimaging metrics, namely fractional anisotropy, in a large, community-dwelling healthy population. Fractional anisotropy is often used in studies of aging (e.g. Madden, is relatively reliable (88)) and is sensitive to clinical anomalies such as white matter hyperintensities. However, the relationship between FA and white-matter health is indirect (40,89) and drawbacks include its inability to distinguish crossing fibers (e.g. (40,89) and vulnerability to movement and the fact that it likely reflects a combination of underlying

physiological properties. Various alternative white matter metrics exist, including summary measures of diffusivity (e.g. axial/radial/mean diffusivity), volumetric measures of white matter hyperintensity (e.g.) and various innovative measures currently in development, but their physiological validity is ongoing (89,90).

While there are limitations of self-report measures including in older cohorts (19), including the fact that they likely reflect different aspects of sleep health than polysomnography (sleep in the lab), our results suggest there are considerable advantages in using self-reported sleep measures: first, obtaining sleep quality data in a large and broadly phenotyped sample is feasible; and second, our results demonstrated clear and consistent associations across multiple domains for both subjective (e.g. self-reported health) and objective measures (e.g. memory tests, BMI), which both replicate and extend previous lab-based sleep findings. Future work should ideally simultaneously measure polysomnography and self-report in longitudinal, large scale cohorts to fully capture the range of overlapping and complementary relations between different aspects of sleep quality and health outcomes (19).

For both self-report and objective measures of sleep quality an open question is that of causality: Does poor sleep affect health outcomes, do health problems affect sleep, are they both markers of some third problem, or do causal influences go both ways? Most likely, all these patterns occur to varying degrees. Previous studies have shown that sleep quality causally affects health outcomes such as diabetes (43) and memory consolidation (1) while other evidence suggests that depression directly affect sleep quality (91,92) and that damage to neural structures may affect sleep regulation (93). Although our findings are in keeping with previous findings, our cross-sectional sample cannot tease apart the causal direction of the observed associations, more work remains to be done to disentangle these complex causal pathways.

In our paper we focus on a healthy, age-heterogeneous community dwelling sample. This allows us to study the associations between healthy aging and self-reported sleep quality, but comes with two key limitations of the interpretations of our findings. First and foremost, our findings are

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cross-sectional, not longitudinal. This means we can make inferences about age-related *differences*, but not necessarily age-related *changes* (94,95). One reason why cross-sectional and longitudinal estimates may diverge is that older adults can be thought of as cohorts that differ from the younger adults in more ways than age alone. For example, our age range includes individuals born in the twenties and thirties of the 20th century. Compared to someone born in the 21st century, these individuals will likely have experience various differences during early life development (e.g. less broadly accessible education, lower quality of healthcare, poorer nutrition and similar patterns). For some of our measures, these are inherent limitations –*truly* longitudinal study of neural aging is inherently impossible as scanner technology has not been around sufficiently long. This means our findings likely reflect a combination of effects attributable to age-related changes as well as baseline differences between subpopulations that may affect both mean differences as well as developmental trajectories.

Second, our sample reflects an atypical population in the sense that they are willing and able to visit the laboratory on multiple occasions for testing sessions. This subsample is likely a more healthy subset of the full population, which will mean the range of (poor) sleep quality as well as (poorer) health outcomes will likely be less extreme than in the full population. However, this challenge is not specific to our sample. In fact, as the Cam-CAN cohort was developed using stratified sampling based on primary healthcare providers, our sample is likely as population-representative as is feasible for a cohort of this magnitude and phenotypic breadth (see (12) for further details). Nonetheless, a healthier subsample may lead to restriction of range (96), i.e. an attenuation of the strength of the associations observed between sleep quality and health outcomes. Practically, this means that our results likely generalise to comparable, healthy community dwelling adults, but not necessarily to populations that include those affected by either clinical sleep deprivation or other serious health conditions.

Conclusions

Taken together, our study allows several conclusions. First, although we replicate the age-related deterioration in some aspects of sleep quality, other aspects remain stable or even improve. Second, we show that the profile of sleep quality changes across the lifespan. This is important methodologically, as it suggests that PSQI sum scores do not capture the full picture, especially in age-heterogeneous samples. Moreover, it is important from a psychological standpoint: We show that 'sleep quality' is a multidimensional construct and should be treated as such if we wish to understand the complex effects and consequences of sleep quality across the lifespan. Third, moderate to strong relations exist between sleep quality and cognitive, physical and mental health, and these relations largely remain stable across the lifespan. In contrast, we show evidence that in non-clinical populations, poorer self-reported sleep is not reliably associated with poorer neural health. Finally, we find that for absolute sleep duration, we replicate previous findings that both longer and shorter than average amounts of sleep are associated with poorer self-reported general health and higher levels of depression and anxiety.

Together with previous experimental and longitudinal evidence, our findings suggest that at least some age-related decreases in health outcomes may be due to poorer sleep quality. We show that self-reported sleep quality can be an important indicator of other aspects of healthy functioning throughout the lifespan, especially for mental and general physical health. Our findings suggest accurate understanding of sleep quality is essential in understanding and supporting healthy aging across the lifespan.

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Author contributions

AG, MS and MS designed the study. AG and RAK performed the analyses. CC organized and conducted the data collection. AG, MS and RAK wrote the manuscript. YL provided considerable expertise on sleep and poor sleep outcomes. All authors approved the final manuscript.

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Legends

Figure 1. Descriptive interpretation of Bayes Factors

Figure 2. Latent Class Analysis. Panel A shows the sleep quality profiles for each of the four classes. Panel B shows the conditional probability of belonging to each class across the lifespan.

Figure 3. Simple regressions between sleep components and Cognitive Health. The strength of the effect is colour-coded by Bayes Factor, and the effect size is shown as r-squared (as a percentage out of 100). Sample varies across components and measures due to varying missingness. Cattell and Reaction Time were measured only in the imaging cohort: mean N = 648, N=11.11. Sample sizes for 5 other domains are similar: mean N= 2300.25, SD= 65.57)

Figure 4. Multiple regressions between sleep components and Neural Health. Each cell represents the relationship between a sleep component and the mean neural health in a given tract as index by Fractional Anisotropy. Numbers represent R-squared, the sample size is show in the last column. Strong associations are observed between measures of Sleep Efficiency and multiple tracts, along with sporadic associations between other components and tracts. White matter tracts abbreviations: Uncinate fasciculus (UNC), superior longitudinal fasciculus (SLF), inferior longitudinal fasciculus (ILF), inferior Fronto-occipital fasciculus (IFOF), forceps minor (FMin), forceps major (FMaj), cerebrospinal tract (CST), the ventral cingulate gyrus (CINGHipp), the dorsal cingulate gyrus (CING), and the anterior thalamic radiations (ATR). N varies slightly across components due to varying missingness (N mean = 631.325, SD = 10.32).

Figure 5 Physical health and sleep quality. Numbers represent Rsquared, the sample size is show in the last column. Strong associations between general indices of health and sleep quality are found, and several more modest relationships with BMI and sleep quality. Self-reported health (12 month and General) were measured in the full cohort (Mean = 2315.37, SD=66.29), the other indicators were measured in the imaging cohort only (Mean = 569.87, SD= 11.16).

Figure 6. Interaction between sleep quality and anxiety. (N=724, age 18.48 to 46.2) compared to the oldest third of participants (N=725, age 71.79 to 98.88).

Figure 7. Curvilinear associations between sleep duration in hours and A) HADS depression and B) general health (self-reported). For visual clarity a small amount of random jitter was added to the data points.

Bayes Factor BF ₁₀	Log BF ₁₀	Tileplot colour	Description (Jeffreys, 1961)
>100	>4.6		Extreme evidence for H1
30 – 100	3.4 – 4.6		Very strong evidence for H1
10 – 30	2.3 – 3.4		Strong evidence for H1
3 – 10	1.098 – 2.3		Substantial evidence for H1
1 – 3	1 – 1.098		Anecdotal evidence for H1
1	0		No evidence either way
1/3 – 1	-1.098 – -1		Anecdotal evidence for H0
1/3 – 1/10	-2.3 – -1.098		Substantial evidence for H0
1/10 – 1/30	-3.4 – -2.3		Strong evidence for H0
1/30 – 1/100	-4.6 – -3.4		Very strong evidence for H0
<1/100	< -4.6		Extreme evidence for H0

Figure 1. Descriptive interpretation of Bayes Factors

Insert Figure 1 here
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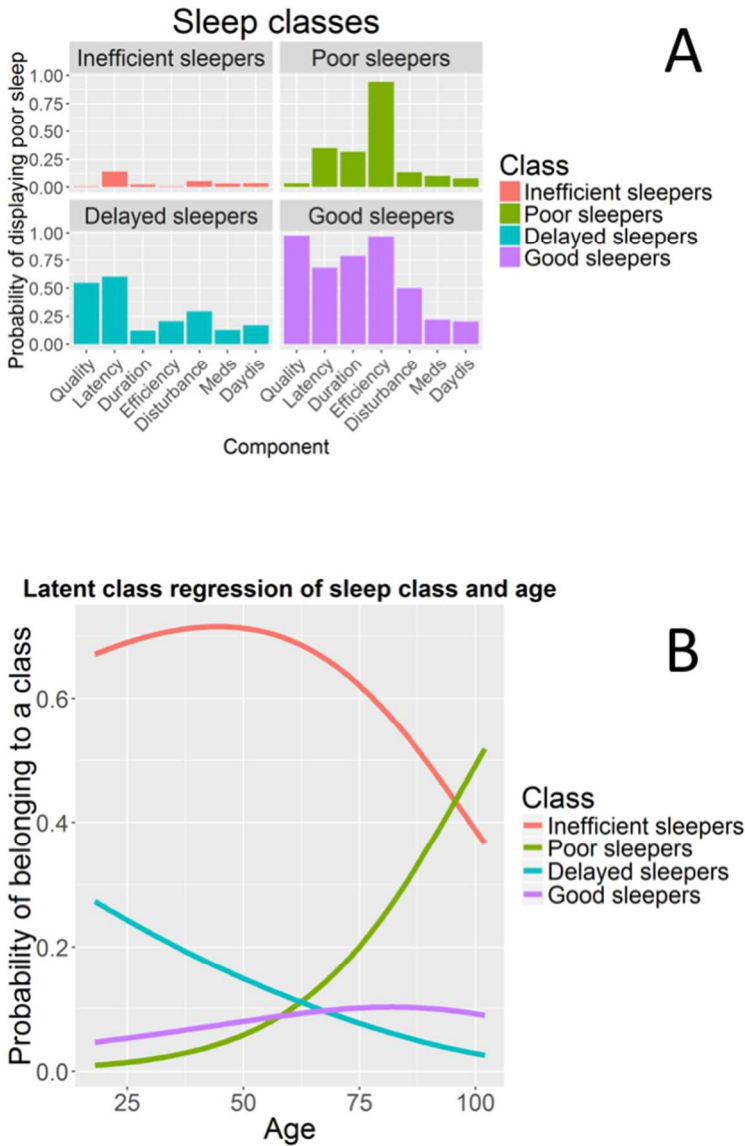


Figure 2. Latent Class Analysis. Panel A shows the sleep quality profiles for each of the four classes. Panel B shows the conditional probability of belonging to each class across the lifespan.

Insert Figure 2 here
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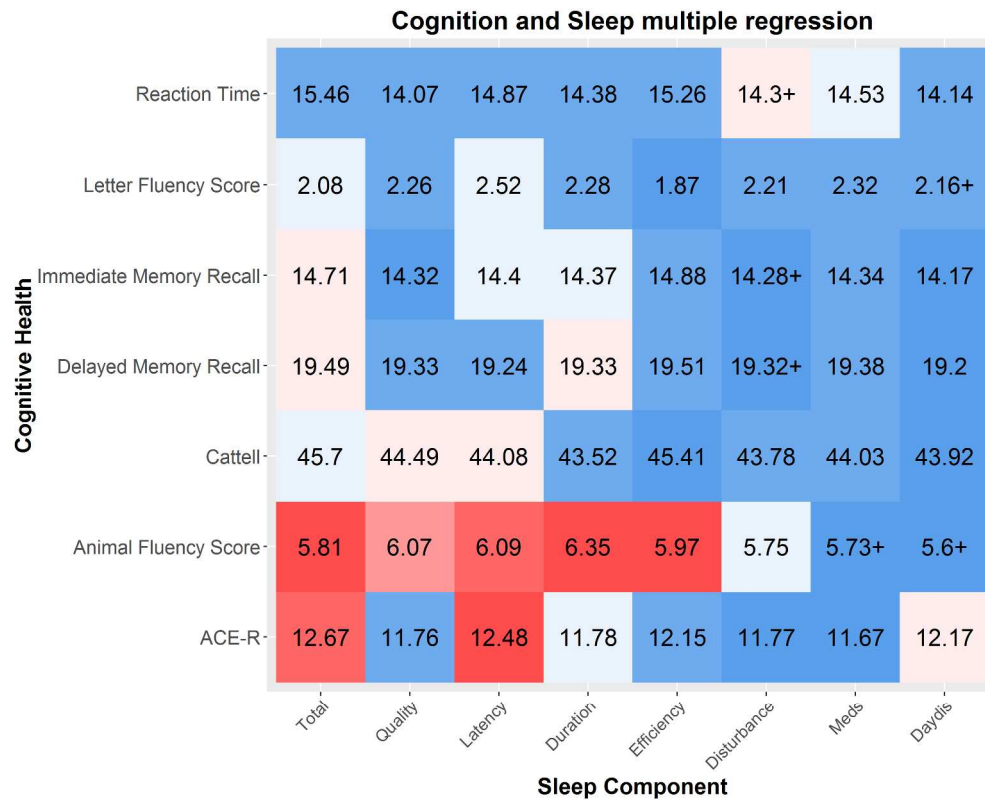


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Insert Figure 3 here
254x203mm (300 x 300 DPI)

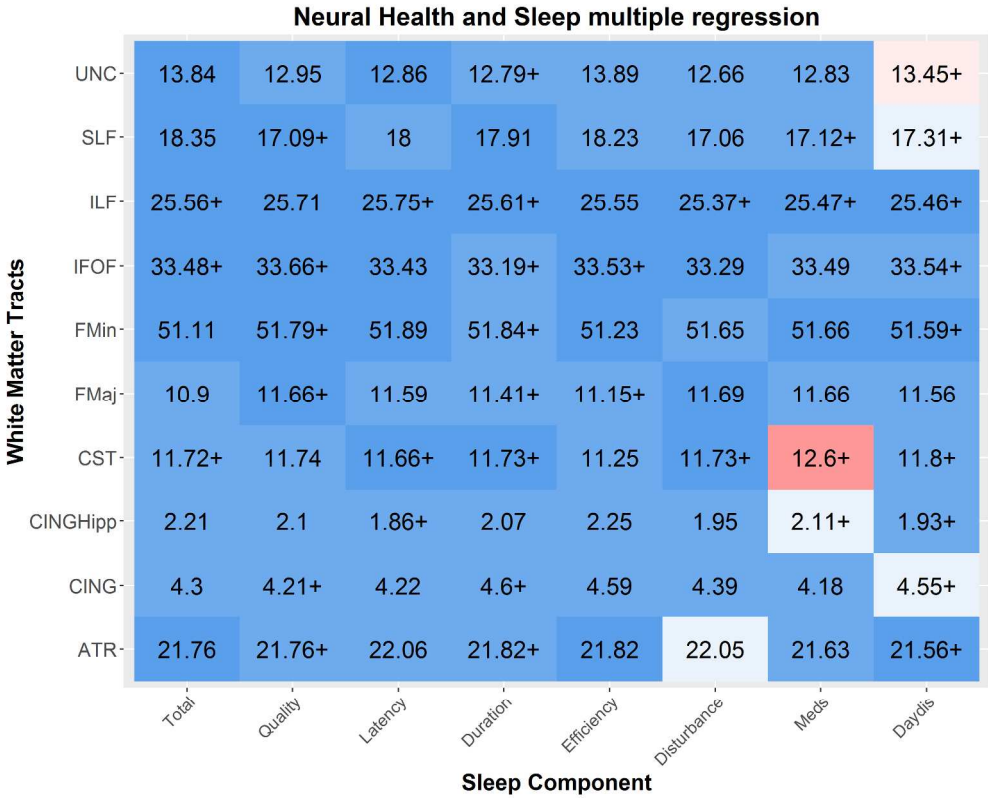


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Insert Figure 4 here
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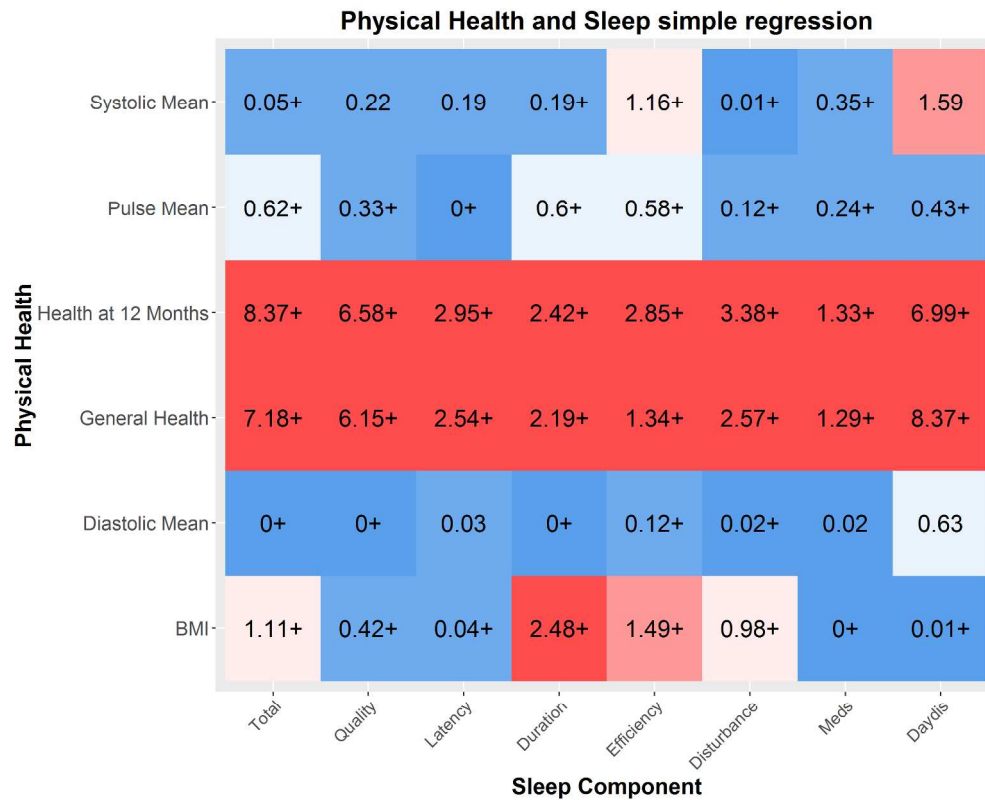


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Insert Figure 5 here
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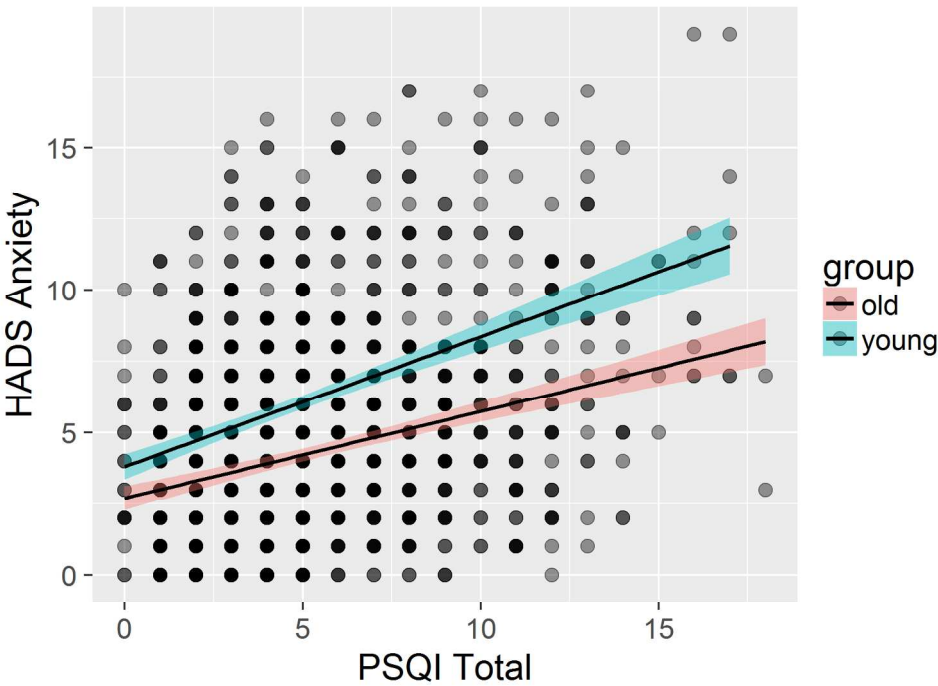


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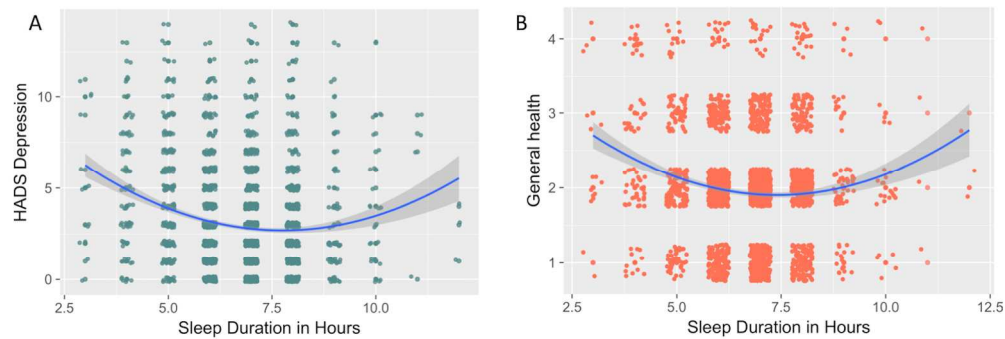
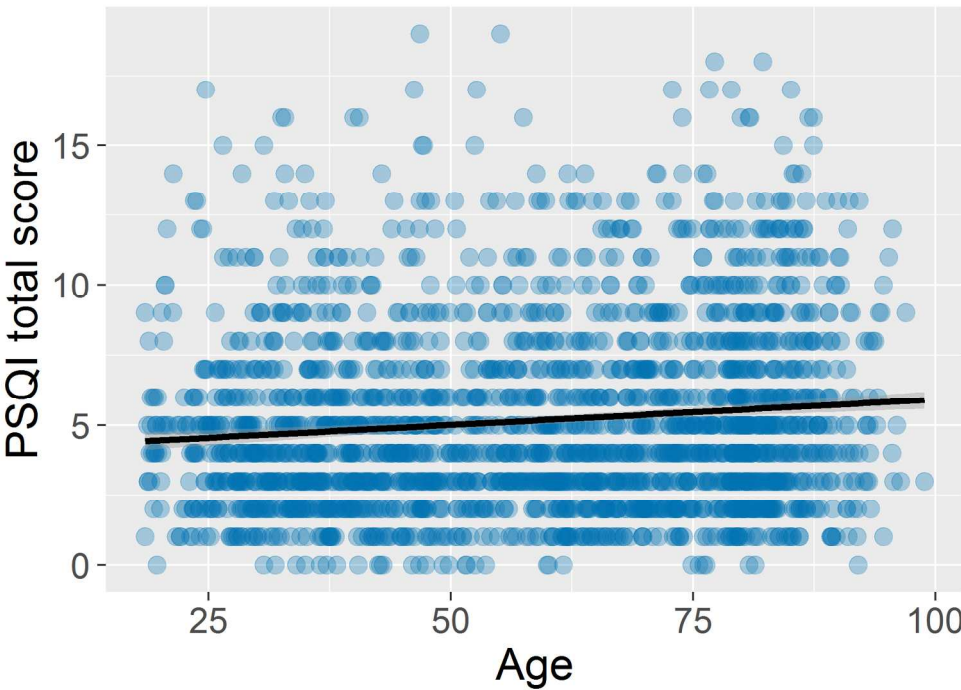
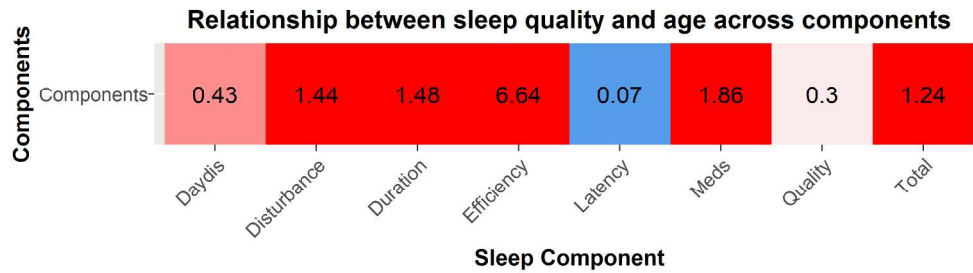


Figure 7. Curvilinear associations between sleep duration in hours and A) HADS depression and B) general health (self-reported). For visual clarity a small amount of random jitter was added to the data points.

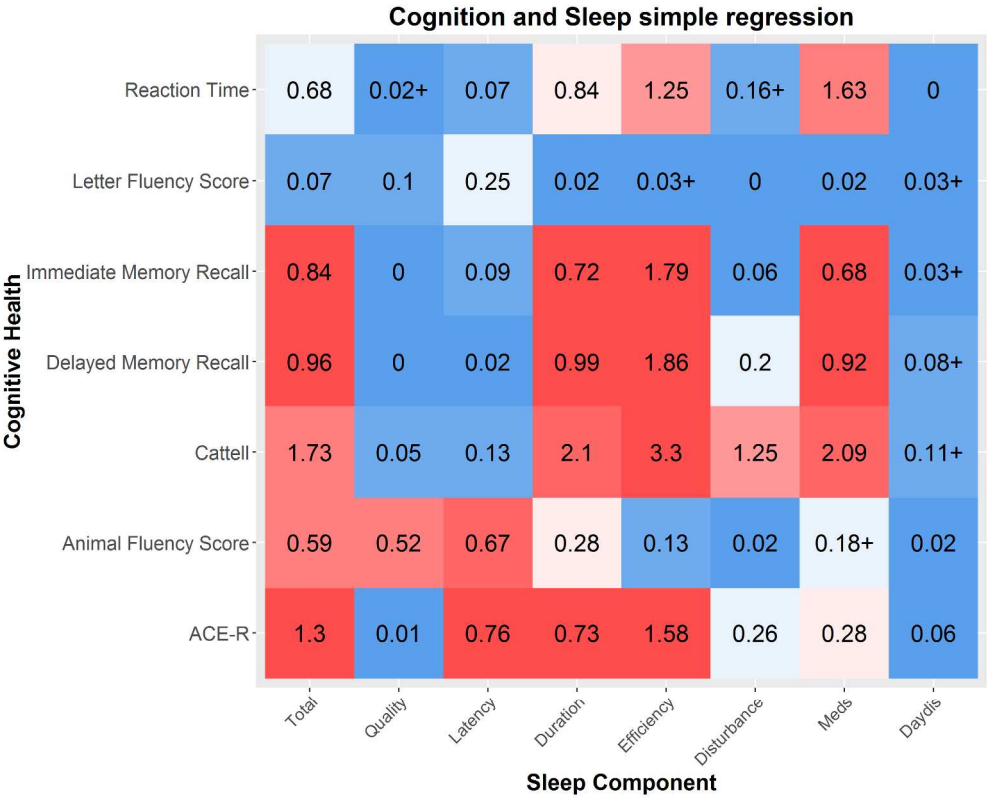
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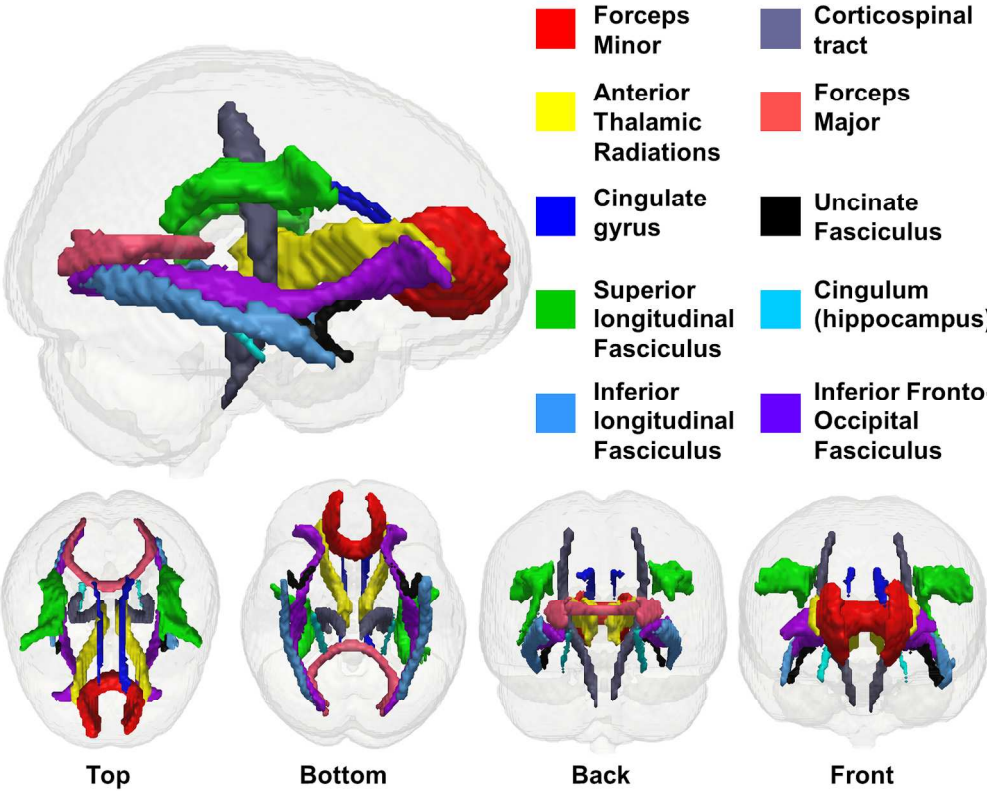


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Cognition and Sleep interaction term

Cognitive Health	Reaction Time	15.6	14.14	15.08	14.44	15.44	14.32+	14.58+	14.19
	Letter Fluency Score	2.21	2.36	2.7	2.28	1.95	2.35	2.33	2.16
	Immediate Memory Recall	14.74+	14.32	14.54+	14.37+	14.9+	14.31	14.37+	14.27+
	Delayed Memory Recall	19.54+	19.33+	19.44+	19.34+	19.58+	19.33	19.4+	19.26+
	Cattell	45.72	44.56	44.25	43.52	45.41+	43.85	44.04	43.93
	Animal Fluency Score	5.81+	6.07+	6.09	6.35	6	5.75+	5.73+	5.75+
	ACE-R	12.69	11.76+	12.51	11.83	12.3	11.8+	11.71	12.22+
		Total	Quality	Latency	Duration	Efficiency	Disturbance	Meds	Daydis
		Sleep Component							

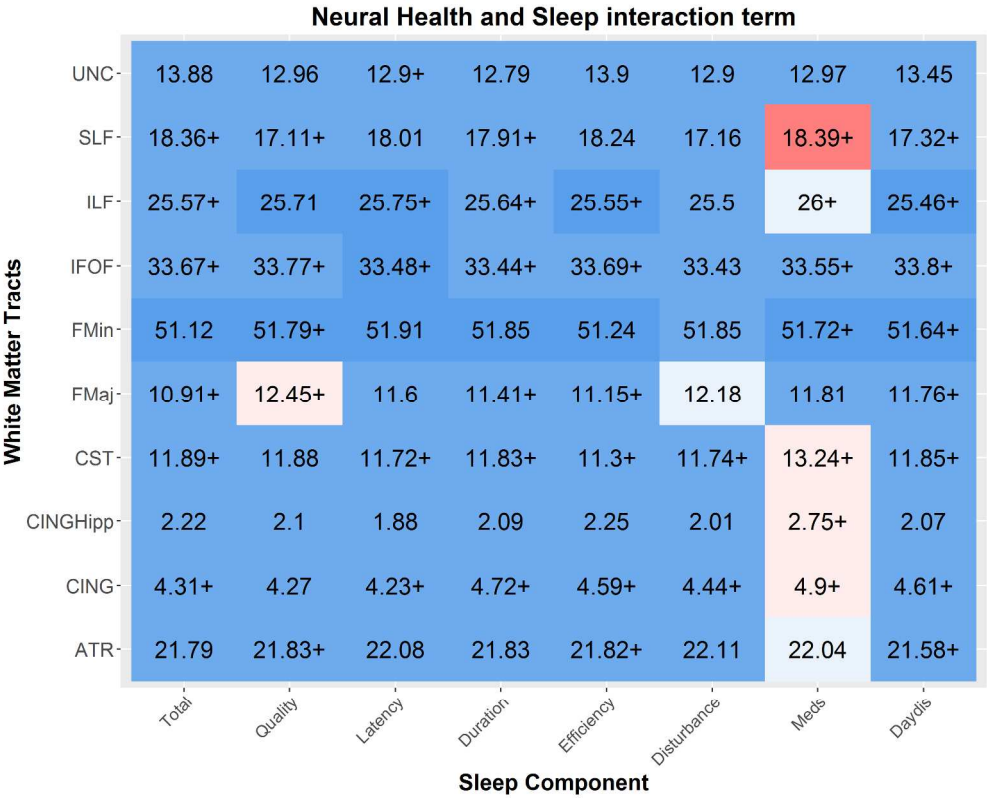
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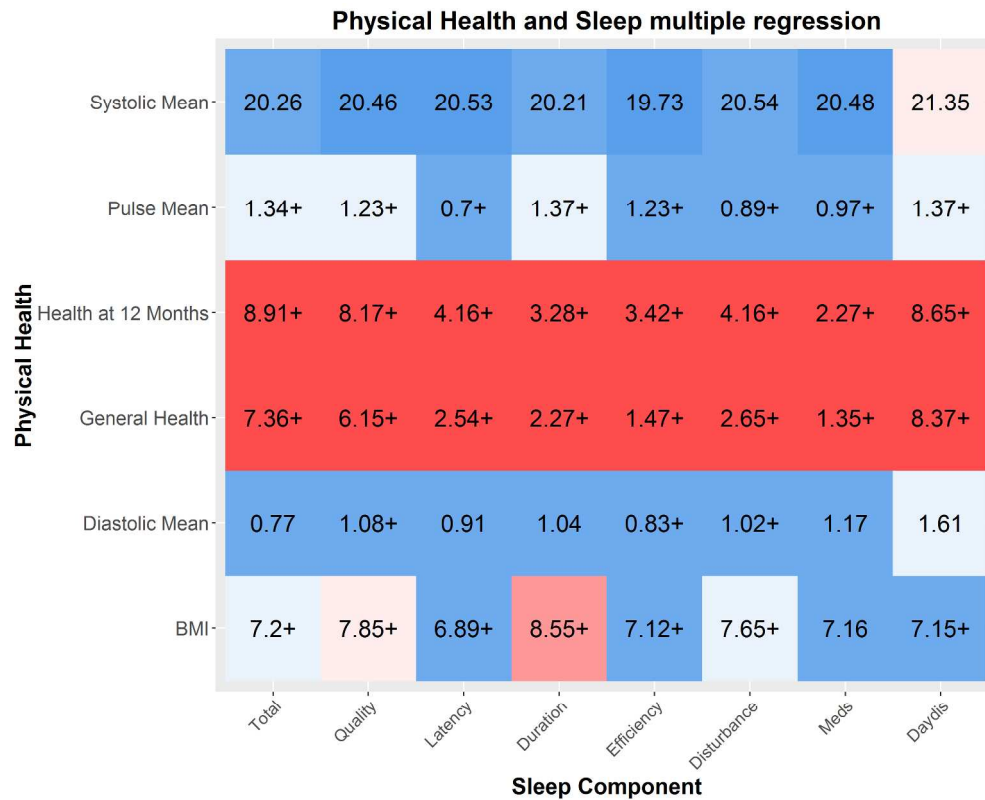
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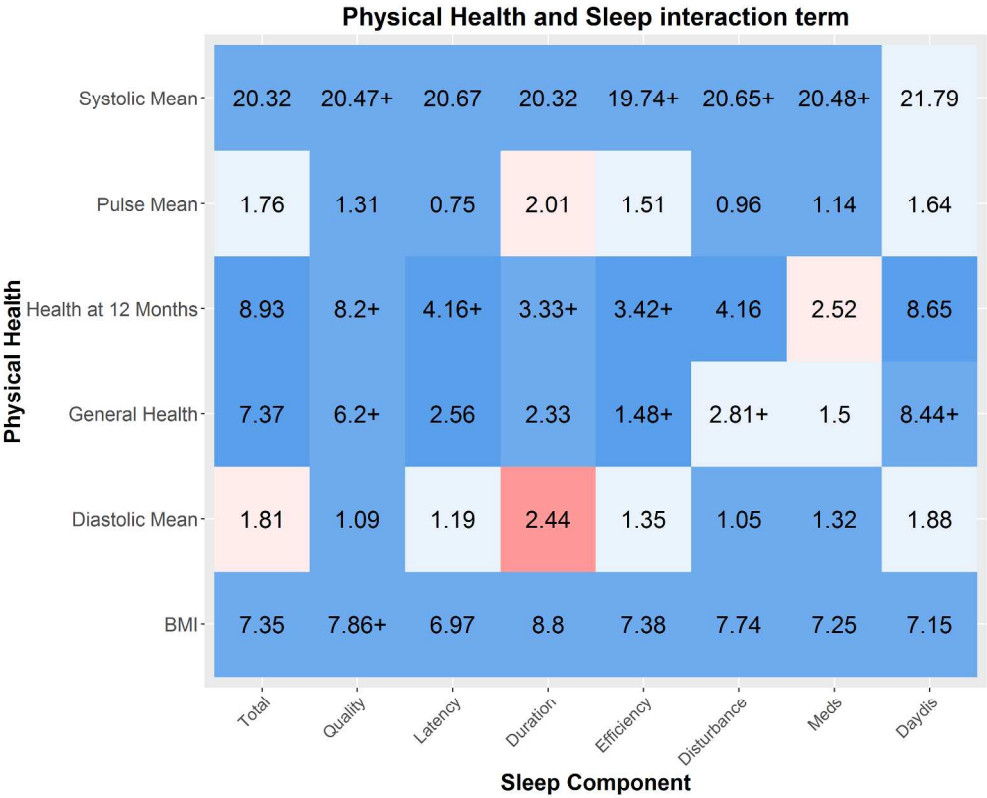
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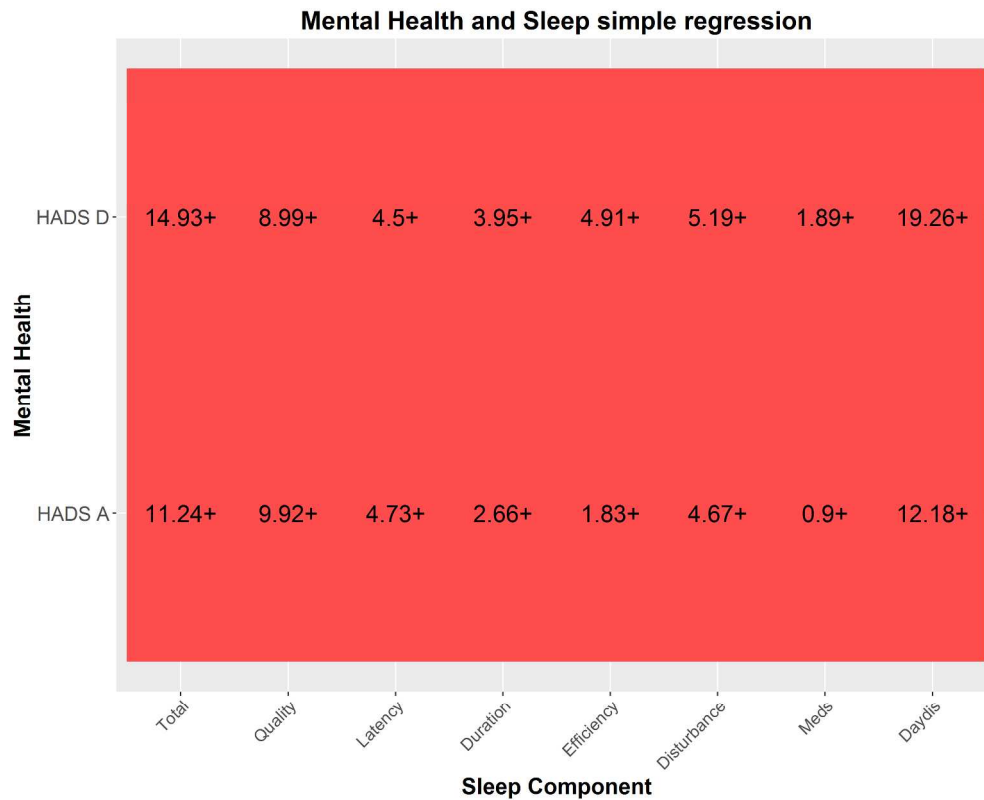
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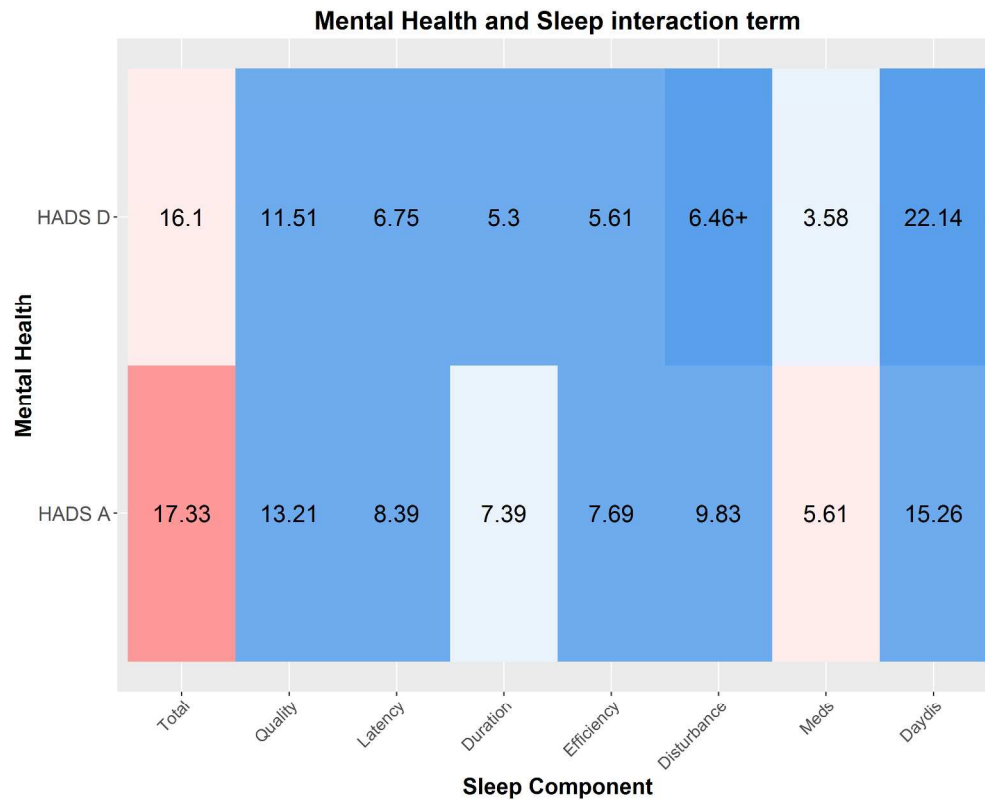
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STROBE 2007 (v4) Statement—Checklist of items that should be included in reports of *cohort studies*

Section/Topic	Item #	Recommendation	Reported on page #
Title and abstract	1	(a) Indicate the study’s design with a commonly used term in the title or the abstract	1
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	2
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	4
Objectives	3	State specific objectives, including any prespecified hypotheses	5
Methods			
Study design	4	Present key elements of study design early in the paper	5
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	6
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up	6
		(b) For matched studies, give matching criteria and number of exposed and unexposed	NA
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	5-9
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	6-11
Bias	9	Describe any efforts to address potential sources of bias	N/A
Study size	10	Explain how the study size was arrived at	6
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	10
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	10-13
		(b) Describe any methods used to examine subgroups and interactions	10
		(c) Explain how missing data were addressed	11
		(d) If applicable, explain how loss to follow-up was addressed	N/A
		(e) Describe any sensitivity analyses	N/A

Results				
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed		14-22
		(b) Give reasons for non-participation at each stage		6
		(c) Consider use of a flow diagram		NA
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders		6
		(b) Indicate number of participants with missing data for each variable of interest		9,10
		(c) Summarise follow-up time (eg, average and total amount)		6
Outcome data	15*	Report numbers of outcome events or summary measures over time		NA
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included		14-22
		(b) Report category boundaries when continuous variables were categorized		NA
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period		NA
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses		14-22
Discussion				
Key results	18	Summarise key results with reference to study objectives		22-26
Limitations				
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence		25-26
Generalisability	21	Discuss the generalisability (external validity) of the study results		25-26
Other information				
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based		3

*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at www.strobe-statement.org.

BMJ Open

How are age-related differences in sleep quality associated with health outcomes? An epidemiological investigation in a UK cohort of 2406 adults

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Primary Subject Heading:	Epidemiology
Secondary Subject Heading:	Neurology, Mental health, Public health, Geriatric medicine
Keywords:	Ageing, SLEEP MEDICINE, cognition, MENTAL HEALTH, Neurobiology < BASIC SCIENCES

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2 How are age-related difference in sleep quality associated with health outcomes? An
3 epidemiological investigation in a UK cohort of 2406 adults

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6 Meredith Shafto²

7 Yue Leng³

8 Cam-CAN⁴

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For peer review only

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12 Abstract

13 **Objectives** To examine age related differences in self-reported sleep quality and their
14 associations with health outcomes across four domains: Physical Health, Cognitive Health, Mental
15 Health and Neural Health.

16 **Setting** Cam-CAN is a cohort study in East Anglia/England, which collected self-reported
17 health and lifestyle questions as well as a range of objective measures from healthy adults.

18 **Participants** 2406 healthy adults (age 18-98) answered questions about their sleep quality
19 (Pittsburgh Sleep Quality Index) and measures of Physical, Cognitive, Mental, and Neural Health. A
20 subset of 641 individuals provided measures of brain structure.

21 **Main outcome measures** Pittsburgh Sleep Quality Index scores (PSQI) of sleep, and scores
22 across tests within the four domains of health. Latent Class Analysis (LCA) is used to identify sleep
23 types across the lifespan. Bayesian regressions quantify the presence, and absence, of relationships
24 between sleep quality and health measures.

25 **Results** Better sleep is generally associated with better health outcomes, strongly so for
26 mental health, moderately for cognitive and physical health, but not for sleep quality and neural
27 health. Latent Class Analysis identified four sleep types: 'Good sleepers' (68.6%, most frequent in
28 middle age), 'inefficient sleepers' (13.05%, most frequent in old age), 'Delayed sleepers' (9.76%,
29 most frequent in young adults) and 'poor sleepers' (8.6%, most frequent in old age). There is little
30 evidence for interactions between sleep quality and age on health outcomes. Finally, we observe u-
31 shaped associations between sleep duration and mental health (depression and anxiety) as well as
32 self-reported general health, such that both short and long sleep were associated with poorer
33 outcomes.

34 **Conclusions** Lifespan changes in sleep quality are multifaceted and not captured well by
35 summary measures, but instead as partially independent symptoms that vary in prevalence across
36 the lifespan. Better self-reported sleep is associated with better health outcomes, and the strength

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37 of these associations differs across health domains. Notably, we do observed associations between
38 self-reported sleep quality and white matter.

39 **Funding** Biotechnology and Biological Sciences Research Council (grant number
40 BB/H008217/1). RAK is supported by the Wellcome Trust (grant number 107392/Z/15/Z and the UK
41 Medical Research Council (MC-A060-5PR61).

42
43 **Keywords**

44 Ageing, sleep quality, healthy ageing, cognition, mental health, cognition, white matter, physical
45 health

46
47 **Strengths and limitations of this study**

- 48 • Broad phenotypic assessment of healthy ageing across multiple health domains
- 49 • Advanced analytic techniques (i.e. Latent Class Analysis regression) allows new insights
- 50 • A uniquely large neuroimaging sample combined with Bayesian inference allows for
- 51 quantification of evidence for the null hypothesis
- 52 • Subjective sleep measures may have drawbacks in older samples
- 53 • Cross-sectional data precludes modelling of within subject changes

54

55 BACKGROUND

56 Sleep is a fundamental human behaviour, with humans spending almost a third of their lives asleep.
57 Regular and sufficient sleep has been shown to benefit human physiology through a number of
58 different routes, ranging from consolidation of memories (1) to removal of free radicals (2) and
59 neurotoxic waste (3). Sleep patterns are known to change across the lifespan in various ways.
60 including decreases in quantity and quality of sleep (4), with up to 50% of older adults report
61 difficulties initiating and/or maintaining sleep (5). A meta-analysis of over 65 studies reflecting 3577
62 subjects across the lifespan reported a complex pattern of changes, including an increase of stage 1
63 but a decrease of stage 2 sleep in old age, as well as a decrease in REM sleep (6). An epidemiological
64 investigation of self-reported sleep in older adults observed marker sex differences in age-related
65 sleep changes, with females more likely to report disturbed sleep onset but men reporting night-
66 time awakenings (7). Other findings age-related physiological changes in the alignment of
67 homeostatic and circadian rhythms (8), decreases in sleep efficiency (9) the amount of slow-wave
68 sleep, and an increase in daytime napping (10). Importantly, interruption and loss of sleep has been
69 shown to have wide ranging adverse effects on health (11), leaving open the possibility that age-
70 related changes in sleep patterns and quality may contribute to well-documented age-related
71 declines in various health domains.

72 In the current study, we examine self-reported sleep habits in a large, population-based
73 cohort Cambridge Centre for Ageing and Neuroscience (Cam-CAN (12)). We relate sleep measures to
74 measures of health across four health domains: cognitive, brain health, physical and mental health.
75 Our goal is to quantify and compare the associations between typical age-related changes in sleep
76 quality and a range of measures of health measures that commonly decline in later life. We assess
77 sleep using a self-reported measure of sleep quality, the Pittsburgh Sleep Quality Index (PSQI) (13).
78 The PSQI has good psychometric properties (14) and has been shown to correlate reliably with
79 diseases of aging and mortality (15–17). Although polysomnography (18) is commonly considered
80 the gold standard of sleep quality measurement, it is often prohibitively challenging to employ in

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81 large samples. A recent direct comparison of sleep measures (19) suggests that although subjective
82 sleep measures (such as PSQI) may have certain drawbacks in older samples, they also capture
83 complementary aspects of sleep quality not fully captured by polysomnography. Moreover,
84 collecting self-report sleep quality data in a large, deeply phenotyped cohort offers several
85 additional benefits.

86 By utilising a population cohort of healthy adults, and studying a range of health outcomes in
87 the same population, we can circumvent challenges associated with studying clinical populations
88 and provide new insights. First and foremost, by investigating associations between sleep and
89 outcomes across multiple health domains in the same sample, we can make direct comparisons of
90 the relative magnitude of these effects. Second, larger samples allow us to can generate precise
91 effect size estimates, as well as adduce in favour of the null hypothesis. Third, we investigate the
92 associations between sleep quality and neural health in a uniquely large healthy population.
93 Previous investigations of the consequences of poor sleep on especially neural health have generally
94 focuses on clinical populations such as those suffering from insomnia (20,21). Although such studies
95 are crucial for understanding pathology, the demographic idiosyncrasies and often modest sample
96 sizes of these approaches make it hard to generalize to healthy, community dwelling lifespan
97 populations. Moreover, most studies that study age-related changes or differences focus on (very)
98 old age, while far less is known about young and middle aged adults (6). For these reasons, our focus
99 on a healthy, multimodal lifespan cohort is likely to yield novel insights into the subtle changes in
100 sleep quality across the lifespan.

101 We will focus on three questions within each health domain: First, is there a relationship
102 between sleep quality and health? Second, does the strength and nature of this relationship change
103 when age is included as a covariate? Third, does the strength and nature of the relationship change
104 across the lifespan? We will examine these questions across each of the four health domains.

105
106

METHODS

Sample

A cohort of 2544 (12) was recruited as part of the population-based Cambridge Centre for Ageing and Neuroscience (Cam-CAN) cohort (www.cam-can.com), drawn from the general population via Primary Care Trust (PCT)'s lists within the Cambridge City (UK) area 10,520 invitation letters were sent between 2010 and 2012, and willing participants were invited to have an interview conducted in their home, with questions on health, lifestyle demographics and core cognitive assessments. Sample size was chosen to allow for 100 participants per decile in further acquisition stages, giving sufficient power to separate age-related change from other sources of individual variation. For additional details of the project protocol see (12,22) and for further details of the Cam-CAN dataset visit <http://www.mrc-cbu.cam.ac.uk/datasets/camcan/>. A further subset of participants who were MRI compatible with no serious cognitive impairment participated in a neuroimaging session (22) between the 2011 and 2013. Participants included were native English speakers, had normal or corrected to normal vision and hearing, scored 25 or higher on the mini mental state (23). Note that other, more stringent cut-offs are sometimes employed to screen for premorbid dementia, such as a score of 88 or higher in the Addenbrookes Cognitive Examination – Revised (24). For the sake of comprehensiveness we repeated our analyses using this more stringent cut off (ACE-R>88), but observed no noteworthy differences in our findings, so we only report the findings based on the MMSE. Ethical approval for the study was obtained from the Cambridgeshire 2 (now East of England-Cambridge Central) Research Ethics Committee (reference: 10/H0308/50). Participants gave written informed consent. The raw data and analysis code are available upon signing a data sharing request form (see <http://www.mrc-cbu.cam.ac.uk/datasets/camcan/> for more detail).

Variables

Sleep Measures

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132 Sleep quality was assessed using the Pittsburgh Sleep Quality Index (PSQI), a well-validated
133 self-report questionnaire (13,19) designed to assist in the diagnosis of sleep disorders. The questions
134 concern sleep patterns, habits, and lifestyle questions, grouped into seven components, each
135 yielding a score ranging from 0 (good sleep/no problems) to 3 (poor sleep/severe problems), that
136 are commonly summed to a PSQI Total score ranging between 0 and 21, with higher scores
137 reflecting poorer sleep quality.

138 **Health Measures**

139 *Cognitive health.* A number of studies have found associations between poor sleep and
140 cognitive decline, including in elderly populations. Poor sleep affects cognitive abilities such as
141 executive functions (25) and learning and memory processes (26), whereas short term
142 pharmaceutical interventions such as administration of melatonin improve both sleep quality and
143 cognitive performance (27,28). Recent work (29) concluded that “maintaining good sleep quality, at
144 least in young adulthood and middle age, promotes better cognitive functioning and serves to
145 protect against age-related cognitive declines”. As sleep may affect various aspects of cognition
146 differently (30), we include measures that cover a range of cognitive domains including memory,
147 reasoning, response speed, and verbal fluency, as well as including a measure of general cognition
148 (See Table 1 and (12) for more details).

149 *Neural health.* Previous research suggests that individuals with a severe disruption of sleep
150 are significantly more likely to exhibit signs of poor neural health (20,31). Specifically, previous
151 studies have observed decreased white matter health in clinical populations suffering from
152 conditions such as chronic insomnia (21), obstructive sleep apnoea (32,33), excessively long sleep in
153 patients with diabetes (34), and REM Sleep Behaviour Disorder (35). Many of these studies focus on
154 white matter hyperintensities (WMH), a measure of the total volume or number of (regions)
155 showing low-level neural pathology (although some study grey matter, e.g. (36,37). White matter
156 hyperintensities are often used as a clinical marker, as longitudinal increases in WMHs are
157 associated with increased risk of stroke, dementia and death (38) and are more prevalent in patients

with pathological sleep problems (33,34). However, use of this metric in clinical cohorts largely leaves open the question of the impact of sleep quality on neural (white matter) health in non-clinical, healthy populations. To address this question, we use a more general indicator of white matter neural health; *Fractional Anisotropy* (FA). FA is associated with white matter integrity and myelination (39,40). We use FA as recent evidence suggests that WMHs represent the extremes (foci) of white matter damage, and that FA is able to capture the full continuum of white matter integrity (41). For more information regarding the precise white matter pipeline, see (12,22,42).

Physical health. Sleep quality is also an important marker for physical health, with poorer sleep being associated with conditions such as obesity, diabetes mellitus (43), overall health (11,44) and increased all-cause mortality (45,46). We focus on a set of variables that capture three types of health domains commonly associated with poor sleep: Cardiovascular health measured by pulse, systolic and diastolic blood pressure (47), self-reported health, both in general and for the past 12 months (48) and body-mass index (49).

Mental health. Previous work has found that disruptions of sleep quality are a central symptom of forms of psychopathology such as Major Depressive Disorder, including both hypersomnia and insomnia (44,50), and episodes of insomnia earlier greatly increased the risk of later episodes of major depression (51). Kaneita et al. (52) found a U-shaped association between sleep and depression, such that individuals regularly sleeping less than 6, or more than 8, hours were more likely to be depressed. Both depression (53) and anxiety (54,55) are commonly associated with sleep problems. To capture these dimensions we used both scales of the Hospital Anxiety and Depression Scale (HADS) (56), a widely used and standardized questionnaire that captures self-reported frequency and intensity of anxiety and depression symptoms.

Health	Task and Description	Variable	Descriptives	Citati
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domain				on
Cognitive	Story Recall Immediate: Participants hear a short story and are asked to recall as accurately as possible.	Recall manually scored for similarity and precision (min=0, max=24)	N = 2379, M=13.14, SD=4.66, Range=(0-24)	(57)
Cognitive	Story Recall Delayed: Same as above but recall after 30 minute delay	Recall manually scored for similarity and precision (min=0, max=24)	N = 2366, M=11.47, SD=4.92, Range=(0-24)	(57)
Cognitive	Letter Fluency (phonemic fluency): Participants have one minute to generate as many words as possible beginning with the letter 'p'.	Total words generated (min=0,max=30)	N = 2360, M=25.38, SD=3.96, Range=(0-30)	(57)
Cognitive	Animal Fluency (semantic fluency): Participants have one minute to generate as many words as possible in the category 'animals'.	Total words generated (min=0,max=30)	N = 2346, M=25.85, SD=4.47, Range=(0-30)	(57)
Cognitive	Cattell Culture Fair: Test of fluid reasoning using four subtests (series completions, odd-one-out, matrices and topology)	Total correct summed across four subtests. Min=0, max=46	N = 658, M=31.8, SD=6.79, Range=(11-44)	(58)
Cognitive	Simple reaction time: Speed in a simple reaction time task	1/response time in seconds	N = 657, M=0.37, SD=0.08, Range=(0.24-0.93)	(12)
Cognitive	Addenbrookes Cognitive Examination, Revised: Screening test for dementia using seven subtests (orientation, attention and concentration, memory, fluency, language, visuospatial abilities, perceptual abilities)	Performance on multiple tests converted to min=0, max=100 range	N = 2406, M=89.25, SD=13.4, Range=(0-100)	(24)
Neural	White matter health: Measure of tract integrity using fractional anisotropy	Fractional Anisotropy (min=0, max=1, averaged across 10 tracts)	N = 641, M=0.5, SD=0.03, Range=(0.3-0.56)	(59)
Physical	Self-reported Health, in general: Participants use a 4-point scale to respond to the prompt "Would you say for someone of your age, your own health in general is..."	Score from 1 = Excellent to 4= Poor	N = 2404, M=2.02, SD=0.79, Range=(1-3)	(60)
Physical	Self-reported Health, last 12 months: Participants use a 3-point scale to respond to the prompt "Over the last twelve months would you say your health has on the whole been..."	Score from 1 = Good to 3= Poor	N = 2398, M=1.46, SD=0.71, Range=(1-3)	(60)

Physical	Systolic blood pressure	Mean systolic blood pressure in mmHg, averaged across three consecutive measurements	N = 577, M=120.11, SD=17, Range=(78.5-186)	
Physical	Diastolic blood pressure	Mean diastolic blood pressure in mmHg, averaged across three consecutive measurements	N = 577, M=73.14, SD=10.48, Range=(49-115.5)	
Physical	Resting pulse	Mean pulse in beats per minute, averaged across three consecutive measurements	N = 578, M=65.69, SD=10.5, Range=(40-110.5)	
Physical	Body Mass Index (BMI)	(weight in kg) / (height in m) ²	N = 584, M=25.77, SD=4.59, Range=(16.75-48.32)	(61)
Mental health	Anxiety Subscale (Hospital Anxiety and Depression Scale (HADS)): Participants response to seven questions about anxiety-related behaviours	Seven questions rated on 0 to 3 scale ('Often' to 'Very seldom'). Min=0, Max=21	N = 2393, M=5.17, SD=3.4, Range=(0-19)	(56)
Mental health	Depression Subscale (Hospital Anxiety and Depression Scale (HADS)): Participants response to seven questions about depression-related behaviours	Seven questions rated on 0 to 3 scale ('Often' to 'Very seldom'). Min=0, Max=21	N = 2373, M=3.32, SD=2.91, Range=(0-14)	

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Table 1. Description of health variables across each of four domains (cognitive, neural, physical, mental). For each variable details are given including a description of the task it is derived from, relevant citations, a brief definition and descriptive statistics.

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184 **STATISTICAL ANALYSES**

185 We examine whether self-reported sleep patterns change across the lifespan, both for the PSQI sum
186 score and for each of the seven PSQI components. We then examine the relationships between the
187 sleep quality and the four health domains in three ways: First, simple regression of the health
188 outcome on sleep variables, to determine evidence for association between poor sleep quality and
189 poor health outcomes. Second, we include age as a covariate. Finally, we include a (standard normal
190 rescaled) continuous interaction term to examine whether there is evidence for a changing
191 relationship between sleep and outcomes across the lifespan.

192 For all regressions we will use a default Bayesian approach advocated by (62–65) which
193 avoids several well-documented issues with p-values (64), allows for quantification of null effects,
194 and decreases the risk of multiple comparison problems (66). Bayesian regressions allows us to
195 symmetrically quantify evidence in favour of, or against, some substantive model as compared to a
196 baseline (e.g. null) model. This evidentiary strength is expressed as a Bayes Factor (67), which can be
197 interpreted as the relative likelihood of one model versus another given the data and a certain prior
198 expectation. A Bayes Factor of, e.g., 7, in favour of a regression model suggests that the data are
199 seven times *more likely* under that model than an intercept only model for a given prior (for an
200 empirical comparison of p-values and Bayes factors, see (65)). A heuristic summary of evidentiary
201 interpretation can be seen in Figure 1.

202 [insert Figure 1 here]

203 We report log Bayes Factors for (very) large effects and regular Bayes Factors for smaller
204 effects. To compute Bayes Factors we will use Default Bayes Factor approach for model selection
205 (62,63) in the package BayesFactor (68) using the open source software package R (69). As previous
206 papers report associations between sleep and outcomes ranging from absent to considerable in size
207 we utilize the default, symmetric Cauchy prior with width $\frac{\sqrt{2}}{2}$ which translates to a 50% confidence
208 that the true effect will lie between -.707 and .707. Prior to further analysis, scores on all outcomes
209 were transformed to a standard normal distribution, and any scores exceeding a z-score of 4 or -4

were recoded as missing (aggregate percentage outliers across the four health domains: Cognitive, 0.41%, Mental, 0.16%, Neural, 0.37% Physical, 0.031%).

RESULTS

Age-related differences in sleep quality

First, we examined sleep changes across the lifespan by examining age-related differences in the PSQI sum score (N= 2178, M=5.16, SD=3.35, Range=0-19). Regressing the PSQI global score on age, (see Supplementary Figure 1) showed evidence for a positive relationship across the lifespan ($\log BF_{10} = 10.45$). This suggests that on the whole, sleep quality decreases across the lifespan (note that *higher* PSQI scores correspond to worse sleep). Although we observe strong statistical evidence for an age-related difference ('Extreme' according to (70)) age explained only 1.11 % of the variance in the PSQI Total score. Next, we examined each of the seven components on age in the same manner. In Supplementary Figure 2 we see that that age has varying and specific effects on different aspects of sleep quality, and did not worsen uniformly across the lifespan. For example, we observed moderate evidence that sleep latency did not change across the lifespan (Sleep Latency, $BF_{01} = 9.66$, in favour of the null), Sleep Quality showed no evidence for either change or stasis ($BF_{10} = 1.64$) and one sleep component, Daytime Dysfunction, improved slightly across the lifespan ($BF_{10} = 7.04$). Medication). The strongest age-related decline is that of Efficiency, showing an R-squared of 6.6%.

Finally, we entered all seven components into a Bayesian multiple regression simultaneously, to examine to what extent they could, together, predict age. The best model included every component except Sleep Duration ($\log BF_{10} = 142.98$). Interestingly, this model explained 13.66% of the variance in age, compared to 1.12% for the PSQI Total score, and 6.6% for the strongest single component (efficiency). This shows that lifespan changes in self-reported sleep are heterogeneous and partially independent, and that specific patterns and components need to be taken into account simultaneously to fully understand age-related differences in sleep quality. These

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235 finding shows that neither the PSQI sum score nor the sleep components in isolation fully capture
236 differences in sleep quality across the lifespan.

237 The analysis above suggests that conceptualizing ‘poor sleep’ as a single dimension does not
238 reflect the subtleties in lifespan changes – An often computed sumscore changes little across the
239 lifespan, whereas the totality of sleep symptoms shows far stronger, and more subtle, patterns. To
240 better elucidate individual differences in sleep quality we next use *Latent Class Analysis* (71). This
241 technique will allow us examine individual differences in sleep quality across the lifespan in more
242 detail than afforded by simple linear regressions: Rather than examining continuous variation in
243 sleep components, LCA classifies individuals into different *sleep types*, each associated with a distinct
244 profile of ‘sleep symptoms’. If there are specific constellations of sleep problems across individuals,
245 we can quantify and visualize such sleep types.

246 To analyse the data in this manner, we binarized the responses on each component into
247 ‘good’ (0 or 1) or ‘poor’ (2 or 3). Our measures of PSQI symptoms straddle the border between
248 continuous and categorical – Although some are fully continuous (e.g. sleep latency) others are less
249 so. For instance, although scored on a range of four several of the scales (such as Subjective Sleep
250 quality) have implicitly binary response options of ‘Very good’ and ‘fairly good’ on the one hand and
251 ‘fairly bad’ and ‘very bad’ on the other. As analytical work in psychometrics (72) suggests that likert-
252 like graded scales can be treated as continuous only from five ordinal categories upwards, by fitting
253 an LCA we are erring on the side of caution (although a latent profile analysis would likely give
254 similar results). Note that although our analysis divides individuals into discrete classes with specific
255 profiles, it is still possible to examine the conditional response likelihood of responding ‘yes’ to each
256 symptom as a continuous metric (between 0 and 1) that reflects the nature of the association
257 between the class and the outcome. By modelling sleep ‘types’ we hope to illustrate the complex
258 patterns in a more intelligible manner – notably, doing so allows us to examine whether the
259 likelihood of belonging to any sleep ‘type’ changes as a function of age.

Next we examined evidence for distinct sleep types using We fit a set of possible models (varying from 2 to 6 sleep types) We found that the four class solution gives the best solution, according to the Bayesian Information Criterion (73) (BIC for 4 Classes = 11874.67, lowest BIC for other solutions= 11892.17 (5 classes) (with 50 repetitions per class, at 5000 maximum iterations). Next we inspected the nature of the sleep types, the prevalence of each 'sleep type' in the population, and whether the likelihood of belonging to a certain sleep type changes across the lifespan. See Figure 2 for the component profiles of the four sleep types identified.

[insert Figure 2 here]

Class 1, 'Good sleepers', make up 68.62% of participants. Their sleep profile is shown in Figure 2A, top left, and is characterised by a low probability of responding 'poor' to any of the sleep components. Class 2, 'inefficient sleepers', make up 13.05% of the participants, and are characterized by poor sleep Efficiency: Members of this group uniformly (100%) report poor sleep Efficiency, despite relatively low prevalence of other sleep problems, as seen in Figure 2A, top right. Class 3, 'Delayed Sleepers' seen in the bottom left of Figure 2a, makes up 9.76% of the participants: characterized by modestly poor sleep across the board, but a relatively high probability of poor scores on Sleep Latency (60%), Sleep Quality (54%) and sleep Disturbance (29.2%). Finally, Class 4, 'Poor sleepers', make up 8.6% of the participants, shown bottom right in Figure 2A. Their responses to any of the seven sleep components are likely to be 'poor' or 'very poor', almost universally so for 'sleep quality' (97%) and 'Sleep Efficiency' (96.6%).

Next, we including age as a covariate (simultaneously including a covariate is known as *latent class regression* or concomitant-variable latent class models (74). This analysis, visualised in Figure 2b, shows that the probability of membership of each classes compared to the reference class (good sleepers) changes significantly across the lifespan for each of the classes (Class 2 versus class 1: beta/SE= 0.054/0.0069, t=7.9, Class 3 versus class 1: beta/SE= -0.020/0.0057, t=-3.63, Class 4 versus class 1: beta/SE 0.015/0.0049, t=3.05), for more details on generalized logit coefficients , see (71). The frequency of Class 1 (Good sleepers) peaks in middle to late adulthood, dropping

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286 increasingly quickly after age 50. Class 2 (Inefficient sleepers) are relatively rare in younger
287 individuals, but the prevalence increases rapidly in individuals over age 50. On the other hand, Class
288 3 (Delayed sleepers) shows a steady decrease in the probability of an individual showing this profile
289 across the lifespan, suggesting that this specific pattern of poor sleep is more commonly associated
290 with younger adults. Finally, the proportion of Class 4 (poor sleepers) members increases only
291 slightly across the lifespan. Together, the latent class analysis provides additional evidence that the
292 PSQI sum score as an indicator of sleep quality does not fully capture the subtleties of age-related
293 differences. Age-related changes in sleep patterns are characterized by specific, clustered patterns
294 of sleep problems that cannot be adequately characterized by summation of the component scores.
295 The above analyses show how both a summary measure and individual measures of sleep quality
296 change across the lifespan. Next, we examined the relationships between sleep quality measures
297 (seven components and the global PSQI score) and health variables (specific variables across four
298 domains, as shown in Table 1).

300 **Sleep, health domains and age**

301 *Cognitive health*

302 First, we examined the relationships between sleep quality and seven measures of cognitive health
303 (see Table 1 for details). We visualize our findings using tileplots (75). Each cell shows the numeric
304 effect size (R-squared, 0-100) of the bivariate association between a sleep component and a health
305 outcome, colour coded by the statistical evidence for a relationship using the Bayes Factor. If the
306 parameter estimate is positive, the r-squared value has the symbol '+' added (note the
307 interpretation depends on the nature of the variable, cf. Table 1).
308 As can be seen in Supplementary Figure 3, several relationships exist between measures of cognitive
309 health and measures of sleep quality. However, these results attenuate in a multiple regression
310 model including age as shown in Figure 3.

311 [Insert Figure 3 here]

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3 312 The cognitive abilities most strongly associated with poor sleep are a measure of general cognitive
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5 313 health, ACE-R, and a test of verbal phonemic fluency. Two patterns emerged: First, the strongest
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7 314 predictor across the simple and multiple regressions was for the PSQI Total score. Tentatively this
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9 315 suggests that a cumulative index of sleep problems, rather than any specific pattern of poor sleep, is
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11 316 the biggest risk factor for poorer cognitive performance. Secondly, after controlling for age, the most
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13 317 strongly affected cognitive measure is phonemic fluency, the ability to generate name as many
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15 318 different words as possible starting with a given letter within a minute. Verbal fluency is commonly
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17 319 used as a neuropsychological test (76). Previous work suggests it depends on both the ability to
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19 320 cluster (generating words within a semantic cluster) and to switch (switching between categories),
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21 321 and is especially vulnerable to frontal and temporal lobe damage (with specific regions dependant
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23 322 on either a semantic or phonemic task (77)). Although modest in size, our findings suggests this task,
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25 323 dependent on multiple executive processes, is particularly affected by poor sleep quality (78). The
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27 324 second strongest association was with the ACE-R, a general cognitive test battery similar in style and
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29 325 content to the MMSE. When an interaction term with age was included, little evidence for
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31 326 interactions with age (mean $\log BF_{10} = -2.09$, see Supplementary Figure 4), suggesting that the
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33 327 negative associations between sleep and cognitive performance are a constant feature across the
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35 328 lifespan, rather than specifically in elderly individuals. Together this suggests that poor sleep quality
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37 329 is modestly but consistently associated with poorer general cognitive performance across the
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39 330 lifespan, most strongly with semantic fluency.
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46 332 *Neural Health*

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48 333 Using Diffusion Tensor Imaging, we estimated a general index of white matter integrity in 10 tracts
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50 334 (59) (shown in Supplementary Figure 5), by taking the average Fractional Anisotropy in each white
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52 335 matter ROI (see (79) for more information). We use the data from a subsample of 641 individuals
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54 336 (age $M=54.87$, range 18.48-88.96) who were scanned in a 3T MRI scanner (for more details regarding
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56 337 the pipeline, sequence and processing steps, see (22,79). Regressing neural WM ROI's on sleep
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quality, we find several small effects, with the strongest associations between sleep efficiency and neural health (see Supplementary Figure 6). All effects are such that poorer sleep is associated with poorer neural health, apart from a small effect in the opposite direction for Uncinate and Daytime Dysfunction ($BF_{10}= 6.20$). However, when age is included as a covariate, the negative associations between sleep quality and white matter health are attenuated virtually to zero (Figure 4, mean/median $BF_{10}= 0.18/.10$), with Bayes Factors providing strong evidence for the lack of associations between sleep quality and white matter integrity. One exception was observed: The use of Sleep Medication is associated with *better* neural health in the corticospinal tract, a region previously found to be affected by pathological sleep problems such as sleep apnoea (33). However, this effect is very small ($BF_{10}=3.24$) given the magnitude of the sample and the range of comparisons, so should be interpreted with caution.

[Insert Figure 4 here]

Finally, we tested for any interactions by including a mean-scaled interaction term (sleep*age, Supplementary Figure 7). This analysis found evidence for a significant interaction, between the Superior Longitudinal Fasciculus (SLF) and Sleep Medication ($BF_{10}= 13.77$), such that better neural health in the SLF was associated with the use of Sleep Medication more strongly in older adults. Together, these findings suggest that in general, once age is taken into account, self-reported sleep problems in a non-clinical sample are *not* associated with poorer neural health, although there is some evidence for a modest associations between better neural health in specific tracts and the use of sleep medication in the elderly.

Physical health

Next we examined whether sleep quality is associated with physical health. Figure 5 shows the simple regressions between sleep quality and physical health. Strong associations were found between poor overall sleep (PSQI sum score) and poor self-reported health, both in general ($\log BF_{10}=77.51$) and even more strongly for health in the past 12 months ($\log BF_{10}=91.25$). This may

be because poorer sleep, across all components, directly affects general physical health (43,80) or because people subjectively experience sleep quality as a fundamental part of overall general health. A second association was between BMI and poor sleep, most strongly for Duration ($\log BF_{10}=4.69$).

[Insert Figure 5 here]

This not only replicates previous findings but is in line with an increasing body of evidence that suggests that shorted sleep duration causes metabolic changes, which in turn increases the risk of both diabetes mellitus and obesity (43,81,82). Next, we examined whether these effects were attenuated once age was included. We show that although the relationships are slightly weaker, the overall pattern remains (Supplementary Figure 8), suggesting these associations are not merely co-occurrences across the lifespan. Our findings suggest self-reported sleep quality, especially sleep Duration, is related to differences in physical health outcomes in a healthy sample.

Finally, there was evidence of a single interaction with age (Supplementary Figure 9): Although poor sleep Duration was associated with *higher* diastolic blood pressure in younger adults, it was associated with *lower* diastolic blood pressure in older individuals ($BF_{10}=8.43$). This may reflect the fact that diastolic blood pressure is related to cardiovascular health in a different way across the lifespan, although given the small effect size it should be interpreted with caution.

Mental health

Finally, we examined the relationship between sleep quality and mental health, as measured by the Hospital Anxiety and Depression Scale (56). One benefit of the HADS in this context is that, unlike some other definitions (e.g. the DSM-V), sleep quality is not an integral (scored) symptom of these dimensions. As shown in Supplementary Figure 10, there are very strong relationships between all aspects of sleep quality and measures of both anxiety and depression. The strongest predictors of Depression are Daytime Dysfunction ($\log BF_{10}=245.9$, $R^2=19.26\%$), followed by the overall sleep score ($\log BF_{10}=170.5$, $R^2=14.92\%$) and sleep quality ($\log BF_{10}=106.8$, $R^2=8.9\%$). The effects size for Anxiety was comparable but slightly smaller in magnitude. When age is included as a covariate the

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relationships remained virtually unchanged (Supplementary Figure 11), suggesting these relationships are present throughout across the lifespan. These findings replicate and extend previous work, suggesting that sleep quality is strongly associated with both anxiety and depression across the lifespan.

Finally we examined a model with an interaction term (Supplementary Figure 12). Most prominently we found interactions with age in the relationship between HADS depression and the PSQI Total, and in the relationship between HADS depression and Sleep Duration, such that for the relationship between anxiety and overall sleep quality is stronger in younger adults ($BF_{10} = 9.91$, see Figure 6). Together our findings show that poor sleep quality is consistently, strongly and stably associated with poorer mental health across the adult lifespan.

[Insert Figure 6 here]

Non-linear associations between sleep and health outcomes

In the above analyses, we focused on linear associations between symptoms and health outcomes. However, for one aspect of sleep, namely sleep duration (in hours), evidence exists that these associations are likely to be non-linear, such that both shorter and longer than average sleep are associated with poorer health outcomes (e.g. (83–85). This is echoed in clinical criteria for depression, which commonly include that include both hyper- and hypo-somnia as ‘sleep disruption’ symptoms – In other words, both too much or too little sleep are suboptimal. To examine whether we observe evidence for non-linearities we examined the relationship between raw scores on sleep duration (in hours, not transformed to PSQI norms) and health outcomes across the four domains. If the association between sleep and outcomes is indeed u-shaped (or inverted U, depending on the scale) then a Bayesian regression would prefer the less parsimonious model that includes the quadratic term. We observed no non-linear associations between any neural or cognitive health variables. We find strong evidence for a quadratic (subscript q) over a linear (subscript l) associations between sleep duration and HADS anxiety ($\log BF_{q|l} = 19.98$), even more strongly so with HADS

Depression ($\log BF_{qi} = 25.83$, see Figure 7A shows the strongest curvilinear association, namely with depression). We find a similar u-shaped curve with general health ($BF_{qi} = 277.81$) and self-reported health over the last 12 months ($BF_{qi} = 887.59$), the latter shown in Figure 7b. Together, these analyses support previous conclusions that some (although not all) poorer health outcomes can be associated with both too much and too little sleep.

[Insert Figure 7 here]

DISCUSSION

In this study, we report on the associations between age-related differences in sleep quality and health outcomes in a large, age-heterogeneous sample of community dwelling adults of the Cambridge Neuroscience and Aging (Cam-CAN) cohort. We find that sleep quality generally decreases across the lifespan, most strongly for sleep Efficiency. However age-related changes in sleep patterns are complex and multifaceted, so we used Latent Class Analysis to identify 'sleep types' associated with specific sleep quality profiles. We found that Younger adults are more likely than older adults to display a pattern of sleep problems characterised by poor sleep quality and longer sleep latency, whereas older adults are more likely to display inefficient sleeping, characterised by long periods spent in bed whilst not asleep. Moreover, the probability of being a 'good' sleeper, unaffected by any adverse sleep symptoms, decreases considerably after age fifty.

Notably, closer investigation of the sleep classes reveals likely further complexities of age-related differences. The category 'poor sleepers', most prevalent in older adults, shows high conditional likelihood of 'poor sleep' across all symptoms except 'daytime dysfunction'. One possible explanation is that almost all individuals in this group are beyond retirement age. For this reason, they likely have greater flexibility in tailoring their day to day activities to their energy levels (as opposed to individuals working fulltime), and are therefore less likely to consider themselves 'disrupted' even in the presence of suboptimal sleep. Although more detailed, interview-based investigations would be necessary to examine the precise nature of these findings, it stands to reason that certain symptoms change not just in prevalence but also in meaning across the lifespan.

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442 One key strength of our broad phenotypic assessment allows for direct comparison of the
443 different measures of sleep quality and four key health domains. We find strongest associations
444 between sleep quality and mental health, moderate relations between sleep quality and physical
445 health and cognitive health and sleep, virtually all such that poorer sleep is associated with poorer
446 health outcomes. We did not find evidence for associations between self-reported sleep and neural
447 health. Notably, the relationships we observe are mostly stable across the lifespan, affecting
448 younger and older individuals alike. A notable exception to these effects is the absence of any strong
449 relation (after controlling for age) between sleep quality and neural health as indexed by tract-based
450 average fractional anisotropy. Perhaps surprisingly, given we found strong relationships in the same
451 sample between sleep and other outcomes (e.g. mental health, Figure 10) we find that self-reported
452 sleep problems in a non-clinical sample are not associated with fractional anisotropy above and
453 beyond old age. This is despite the fact that previous work within the same cohort observed
454 moderate to strong associations between white matter and various cognitive outcomes (42,86,87).
455 However, although notable, our finding does not rule out that such associations do exist with other
456 white matter metrics, that they would be observed with objective measures of sleep such as
457 polysomnography, or that the co-occurrence of age-related declines in sleep quality and white
458 matter share an underlying causal association that cannot be teased apart in a cross-sectional
459 sample.

460 One strength of our study is the assessment of neuroimaging metrics, namely fractional
461 anisotropy, in a large, community-dwelling healthy population. Fractional anisotropy is often used in
462 studies of aging (e.g. Madden, is relatively reliable (88)) and is sensitive to clinical anomalies such as
463 white matter hyperintensities. However, the relationship between FA and white-matter health is
464 indirect (40,89) and drawbacks include its inability to distinguish crossing fibers (e.g. (40,89) and
465 vulnerability to movement and the fact that it likely reflects a combination of underlying
466 physiological properties. Various alternative white matter metrics exist, including summary
467 measures of diffusivity (e.g. axial/radial/mean diffusivity), volumetric measures of white matter

hyperintensity (e.g.) and various innovative measures currently in development, but their physiological validity is ongoing (89,90).

While there are limitations of self-report measures including in older cohorts (19), including the fact that they likely reflect different aspects of sleep health than polysomnography (sleep in the lab), our results suggest there are considerable advantages in using self-reported sleep measures: first, obtaining sleep quality data in a large and broadly phenotyped sample is feasible; and second, our results demonstrated clear and consistent associations across multiple domains for both subjective (e.g. self-reported health) and objective measures (e.g. memory tests, BMI), which both replicate and extend previous lab-based sleep findings. Future work should ideally simultaneously measure polysomnography and self-report in longitudinal, large scale cohorts to fully capture the range of overlapping and complementary relations between different aspects of sleep quality and health outcomes (19).

For both self-report and objective measures of sleep quality an open question is that of causality: Does poor sleep affect health outcomes, do health problems affect sleep, are they both markers of some third problem, or do causal influences go both ways? Most likely, all these patterns occur to varying degrees. Previous studies have shown that sleep quality causally affects health outcomes such as diabetes (43) and memory consolidation (1) while other evidence suggests that depression directly affect sleep quality (91,92) and that damage to neural structures may affect sleep regulation (93). Although our findings are in keeping with previous findings, our cross-sectional sample cannot tease apart the causal direction of the observed associations, more work remains to be done to disentangle these complex causal pathways.

In our paper we focus on a healthy, age-heterogeneous community dwelling sample. This allows us to study the associations between healthy aging and self-reported sleep quality, but comes with two key limitations of the interpretations of our findings. First and foremost, our findings are cross-sectional, not longitudinal. This means we can make inferences about age-related *differences*, but not necessarily age-related *changes* (94,95). One reason why cross-sectional and longitudinal

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494 estimates may diverge is that older adults can be thought of as cohorts that differ from the younger
495 adults in more ways than age alone. For example, our age range includes individuals born in the
496 twenties and thirties of the 20th century. Compared to someone born in the 21st century, these
497 individuals will likely have experience various differences during early life development (e.g. less
498 broadly accessible education, lower quality of healthcare, poorer nutrition and similar patterns). For
499 some of our measures, these are inherent limitations –*truly* longitudinal study of neural aging is
500 inherently impossible as scanner technology has not been around sufficiently long. This means our
501 findings likely reflect a combination of effects attributable to age-related changes as well as baseline
502 differences between subpopulations that may affect both mean differences as well as
503 developmental trajectories.

504 Second, our sample reflects an atypical population in the sense that they are willing and able
505 to visit the laboratory on multiple occasions for testing sessions. This subsample is likely a more
506 healthy subset of the full population, which will mean the range of (poor) sleep quality as well as
507 (poorer) health outcomes will likely be less extreme that in the full population. However, this
508 challenge is not specific to our sample. In fact, as the Cam-CAN cohort was developed using stratified
509 sampling based on primary healthcare providers, our sample is likely as population-representative as
510 is feasible for a cohort of this magnitude and phenotypic breadth (see (12) for further details).
511 Nonetheless, a healthier subsample may lead to restriction of range (96), i.e. an attenuation of the
512 strength of the associations observed between sleep quality and health outcomes. Practically, this
513 means that our results likely generalise to comparable, healthy community dwelling adults, but not
514 necessarily to populations that include those affected by either clinical sleep deprivation or other
515 serious health conditions.

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Conclusions

Taken together, our study allows several conclusions. First, although we replicate the age-related deterioration in some aspects of sleep quality, other aspects remain stable or even improve. Second, we show that the profile of sleep quality changes across the lifespan. This is important methodologically, as it suggests that PSQI sum scores do not capture the full picture, especially in age-heterogeneous samples. Moreover, it is important from a psychological standpoint: We show that 'sleep quality' is a multidimensional construct and should be treated as such if we wish to understand the complex effects and consequences of sleep quality across the lifespan. Third, moderate to strong relations exist between sleep quality and cognitive, physical and mental health, and these relations largely remain stable across the lifespan. In contrast, we show evidence that in non-clinical populations, poorer self-reported sleep is not reliably associated with poorer neural health. Finally, we find that for absolute sleep duration, we replicate previous findings that both longer and shorter than average amounts of sleep are association with poorer self-reported general health and higher levels of depression and anxiety.

Together with previous experimental and longitudinal evidence, our findings suggest that at least some age-related decreases in health outcomes may be due to poorer sleep quality. We show that self-reported sleep quality can be an important indicator of other aspects of healthy functioning throughout the lifespan, especially for mental and general physical health. Our findings suggest accurate understanding of sleep quality is essential in understanding and supporting healthy aging across the lifespan.

Author contributions

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AG, MS and MS designed the study. AG and RAK performed the analyses. CC organized and conducted the data collection. AG, MS and RAK wrote the manuscript. YL provided considerable expertise on sleep and poor sleep outcomes. All authors approved the final manuscript.

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Legends
Figure 1. Descriptive interpretation of Bayes Factors

Figure 2. Latent Class Analysis. Panel A shows the sleep quality profiles for each of the four classes. Panel B shows the conditional probability of belonging to each class across the lifespan.

Figure 3. Simple regressions between sleep components and Cognitive Health. The strength of the effect is colour-coded by Bayes Factor, and the effect size is shown as r-squared (as a percentage out of 100). Sample varies across components and measures due to varying missingness. Cattell and Reaction Time were measured only in the imaging cohort: mean N = 648, N=11.11. Sample sizes for 5 other domains are similar: mean N= 2300.25, SD= 65.57)

Figure 4. Multiple regressions between sleep components and Neural Health. Each cell represents the relationship between a sleep component and the mean neural health in a given tract as index by Fractional Anisotropy. Numbers represent R-squared, the sample size is show in the last column. Strong associations are observed between measures of Sleep Efficiency and multiple tracts, along with sporadic associations between other components and tracts. White matter tracts abbreviations: Uncinate fasciculus (UNC), superior longitudinal fasciculus (SLF), inferior longitudinal fasciculus (ILF), inferior Fronto-occipital fasciculus (IFOF), forceps minor (FMin), forceps major (FMaj), cerebrospinal tract (CST), the ventral cingulate gyrus (CINGHipp), the dorsal cingulate gyrus (CING), and the anterior thalamic radiations (ATR). N varies slightly across components due to varying missingness (N mean = 631.325, SD = 10.32).

Figure 5 Physical health and sleep quality. Numbers represent Rsquared, the sample size is show in the last column. Strong associations between general indices of health and sleep quality are found, and several more modest relationships with BMI and sleep quality. Self-reported health (12 month and General) were measured in the full cohort (Mean = 2315.37, SD=66.29), the other indicators were measured in the imaging cohort only (Mean = 569.87, SD= 11.16).

Figure 6. Interaction between sleep quality and anxiety. (N=724, age 18.48 to 46.2) compared to the oldest third of participants (N=725, age 71.79 to 98.88).

Figure 7. Curvilinear associations between sleep duration in hours and A) HADS depression and B) general health (self-reported). For visual clarity a small amount of random jitter was added to the data points.

Bayes Factor BF ₁₀	Log BF ₁₀	Tileplot colour	Description (Jeffreys, 1961)
>100	>4.6		Extreme evidence for H1
30 – 100	3.4 – 4.6		Very strong evidence for H1
10 – 30	2.3 – 3.4		Strong evidence for H1
3 – 10	1.098 – 2.3		Substantial evidence for H1
1 – 3	1 – 1.098		Anecdotal evidence for H1
1	0		No evidence either way
1/3 – 1	-1.098 – -1		Anecdotal evidence for H0
1/3 – 1/10	-2.3 – -1.098		Substantial evidence for H0
1/10 – 1/30	-3.4 – -2.3		Strong evidence for H0
1/30 – 1/100	-4.6 – -3.4		Very strong evidence for H0
<1/100	< -4.6		Extreme evidence for H0

Figure 1. Descriptive interpretation of Bayes Factors

Insert Figure 1 here
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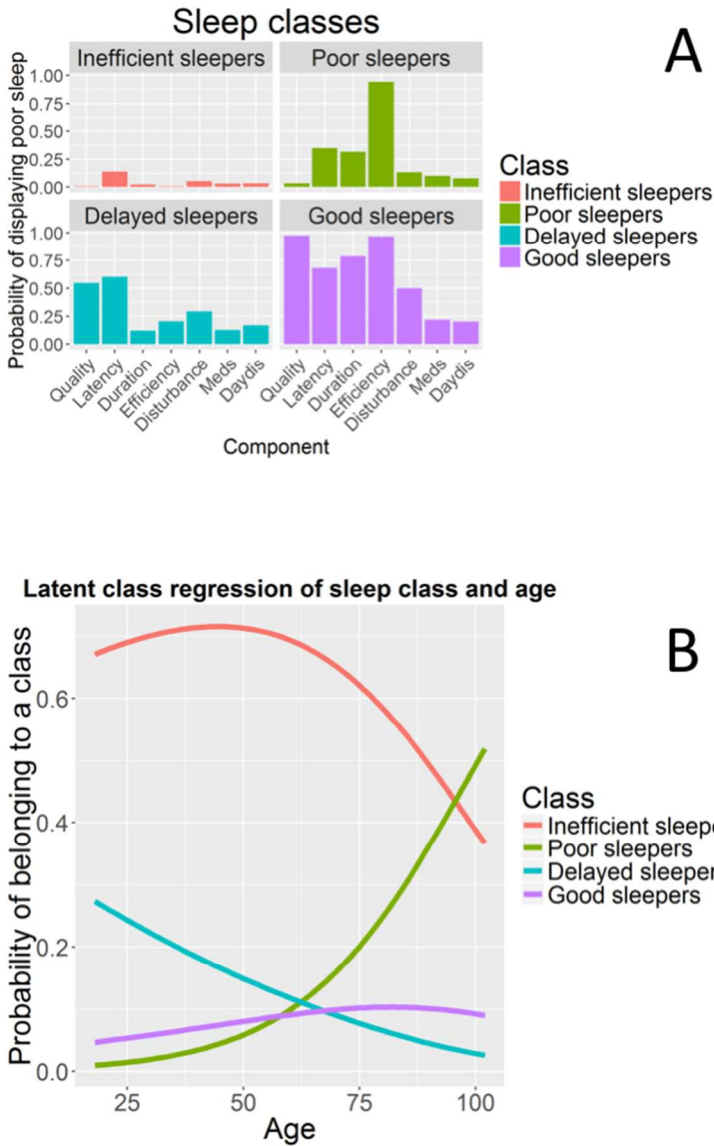


Figure 2. Latent Class Analysis. Panel A shows the sleep quality profiles for each of the four classes. Panel B shows the conditional probability of belonging to each class across the lifespan.

Insert Figure 2 here
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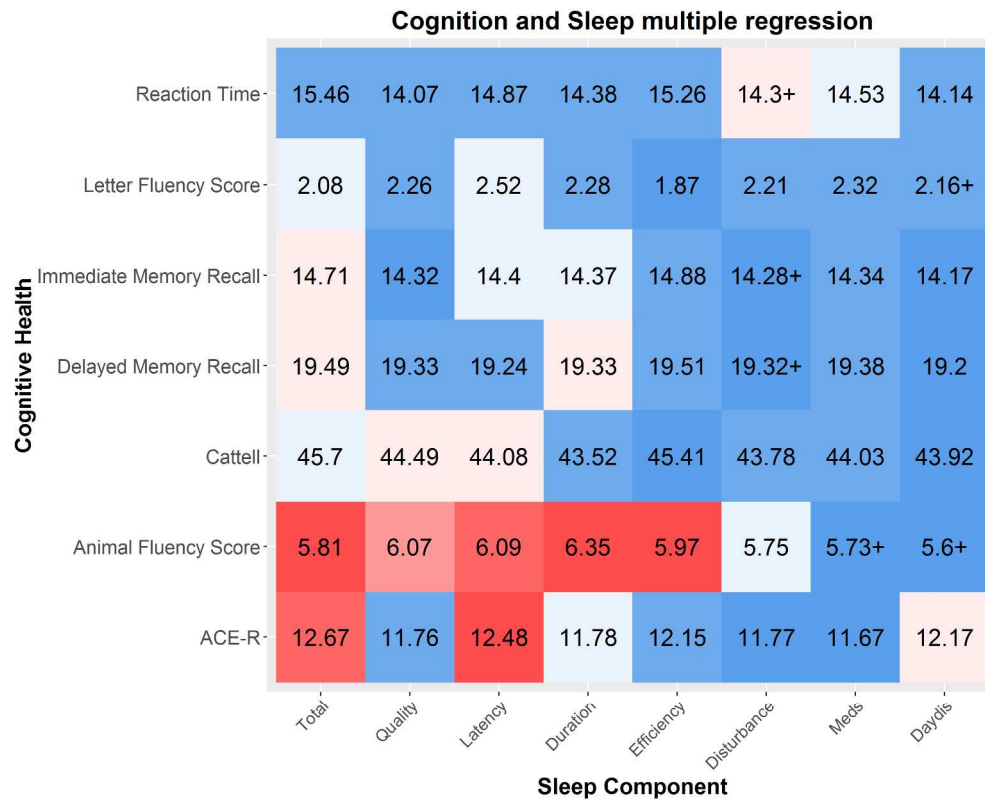


Figure 3. Simple regressions between sleep components and Cognitive Health. The strength of the effect is colour-coded by Bayes Factor, and the effect size is shown as r-squared (as a percentage out of 100). Sample varies across components and measures due to varying missingness. Cattell and Reaction Time were measured only in the imaging cohort: mean N = 648, N=11.11. Sample sizes for 5 other domains are similar: mean N= 2300.25, SD= 65.57)

Insert Figure 3 here
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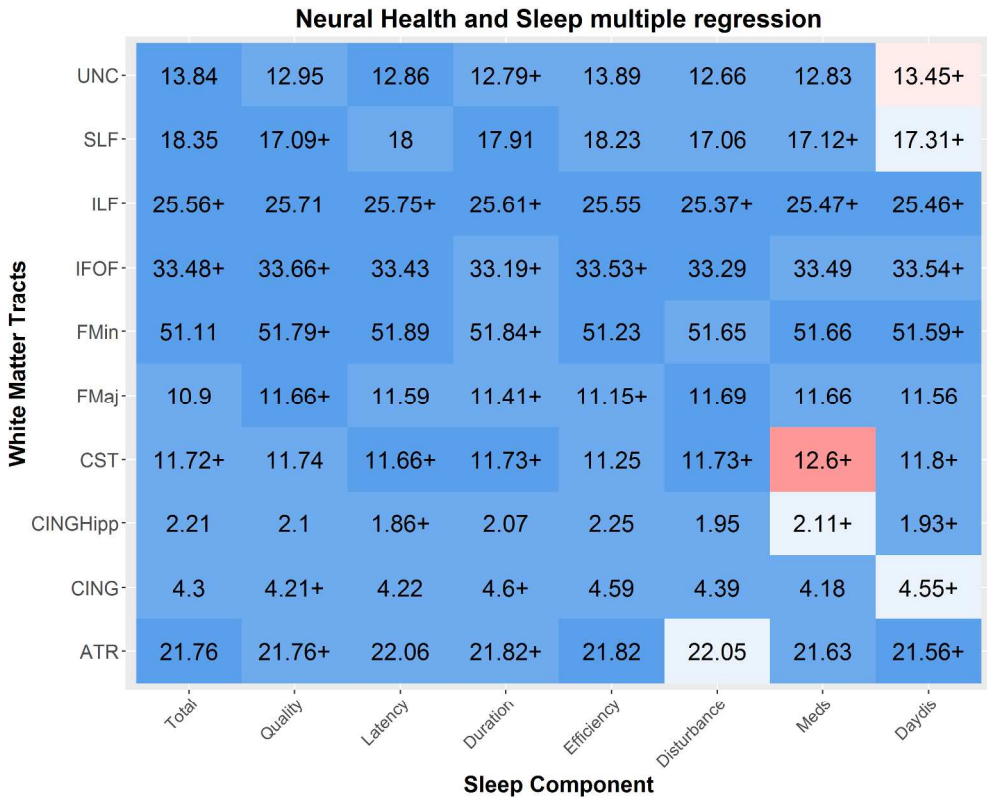


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Insert Figure 4 here
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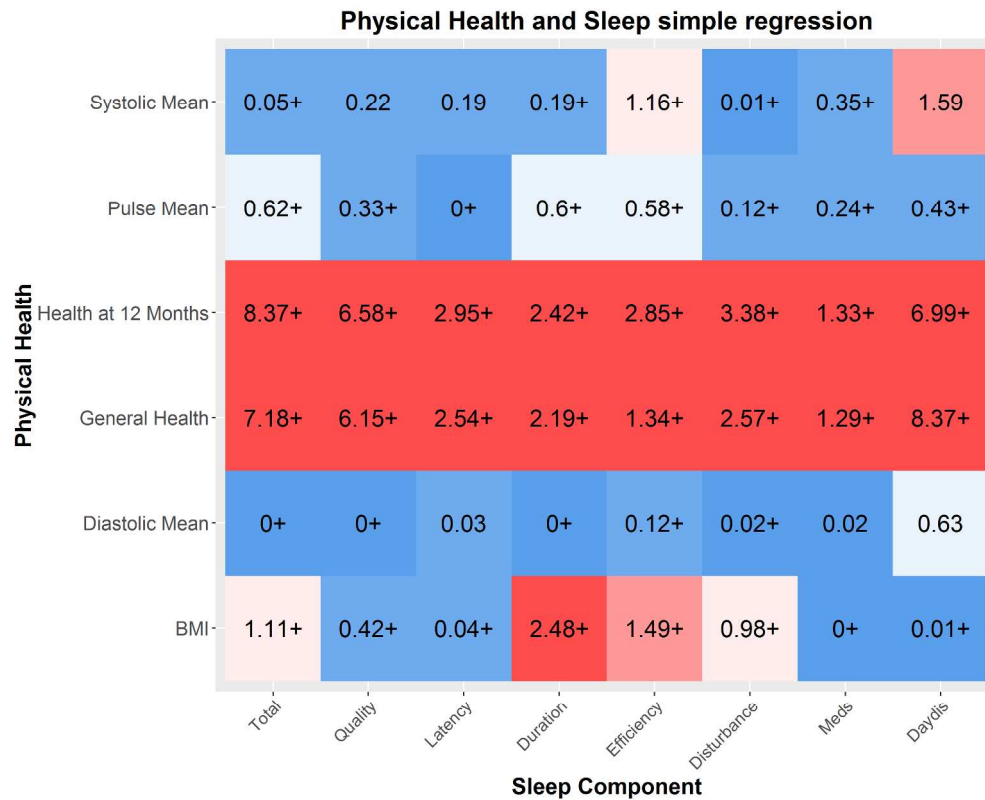


Figure 5 Physical health and sleep quality. Numbers represent Rsquared, the sample size is show in the last column. Strong associations between general indices of health and sleep quality are found, and several more modest relationships with BMI and sleep quality. Self-reported health (12 month and General) were measured in the full cohort (Mean = 2315.37, SD=66.29), the other indicators were measured in the imaging cohort only (Mean = 569.87, SD= 11.16).

Insert Figure 5 here
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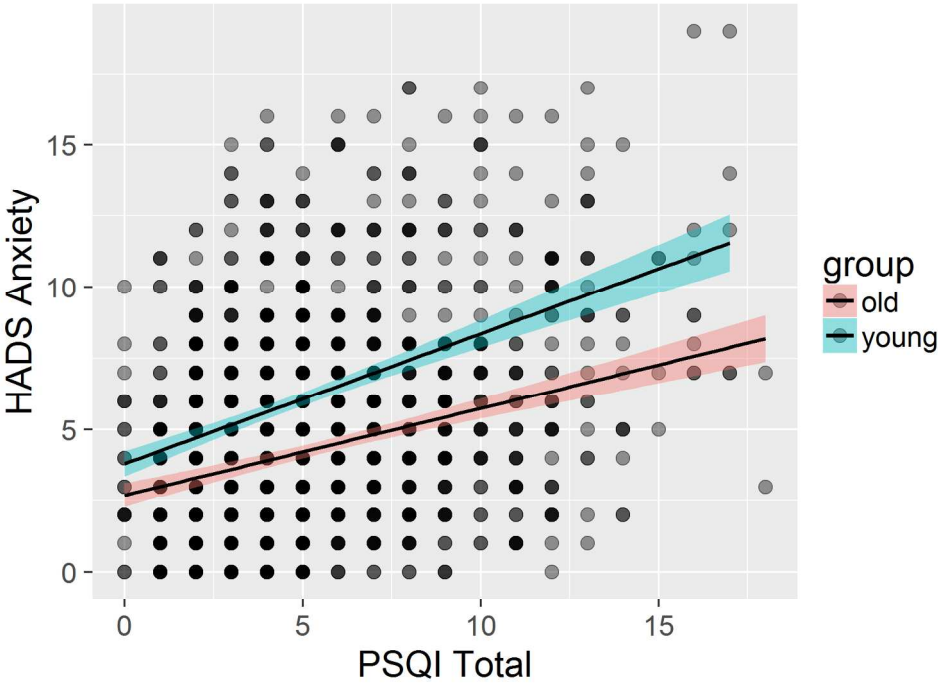


Figure 6. Interaction between sleep quality and anxiety. (N=724, age 18.48 to 46.2) compared to the oldest third of participants (N=725, age 71.79 to 98.88).

Insert Figure 6 here
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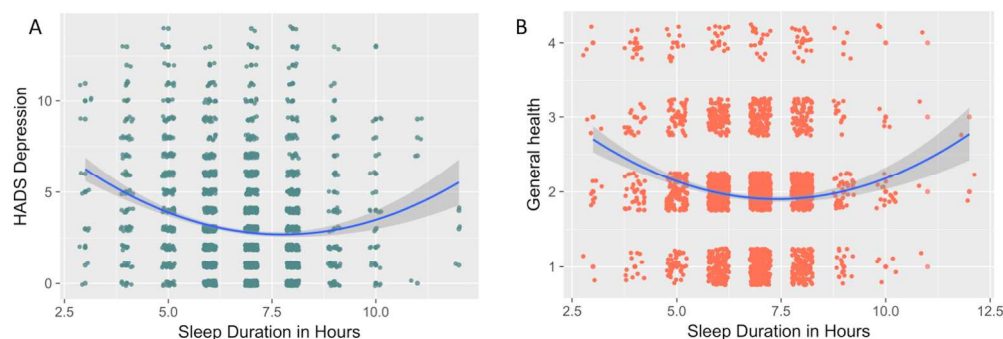
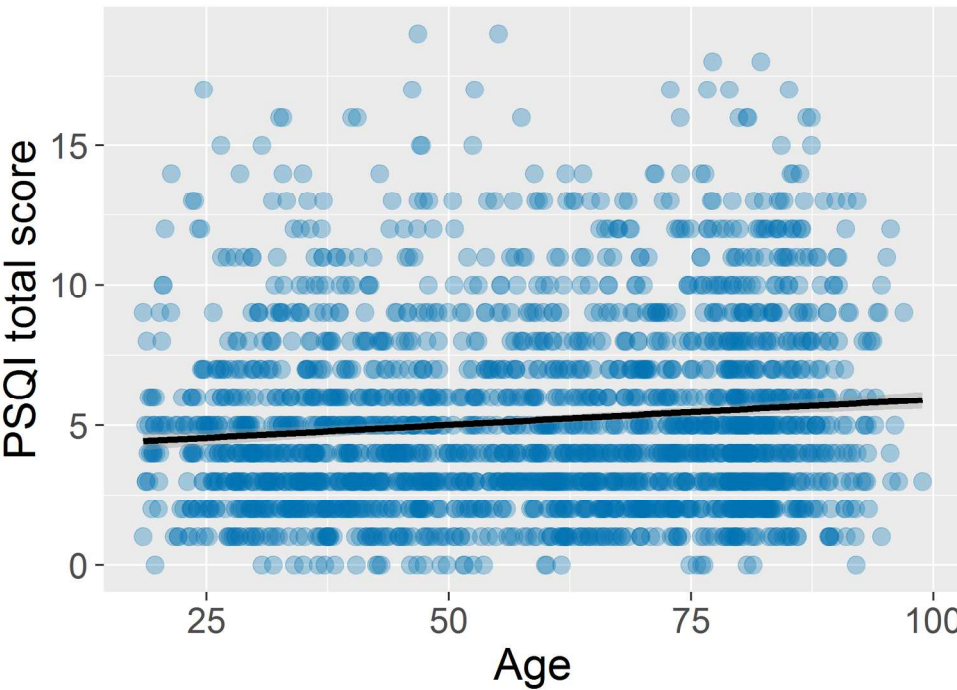
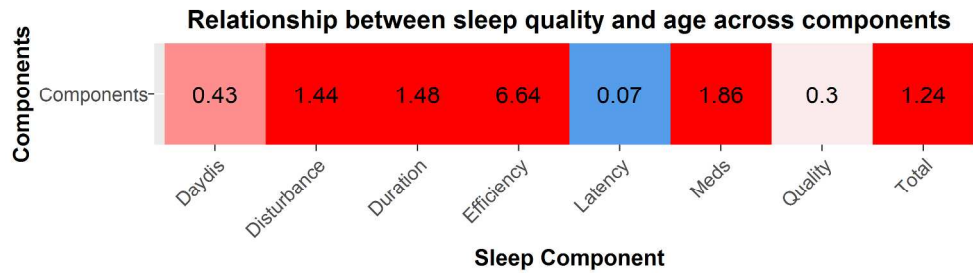


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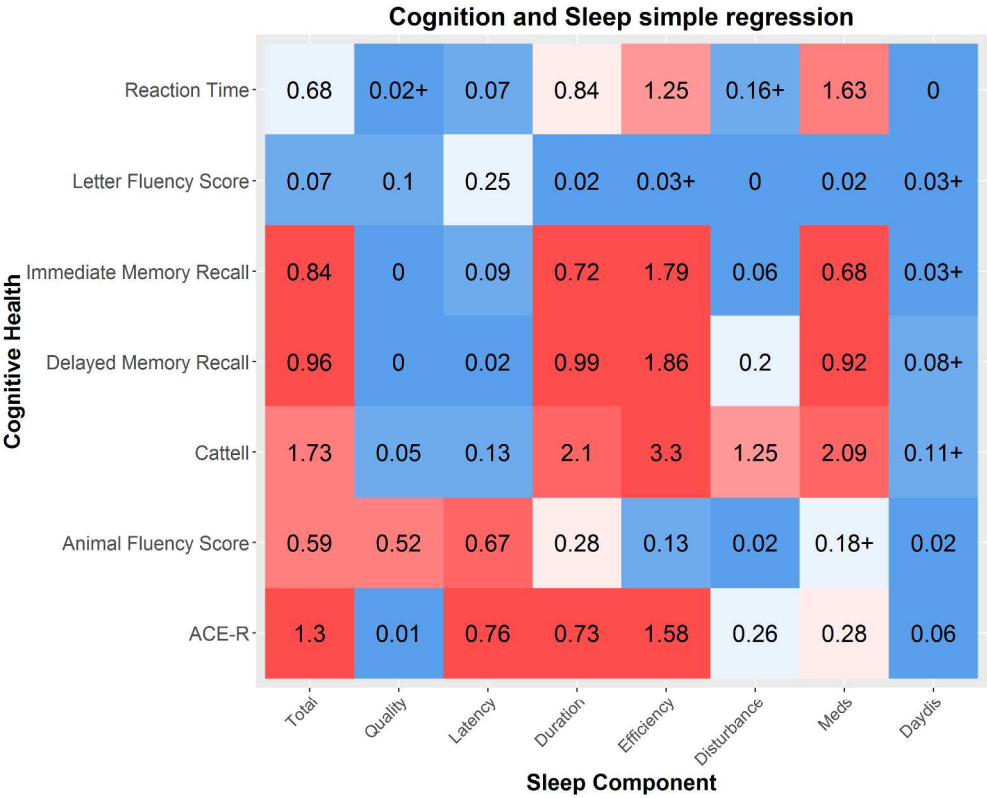
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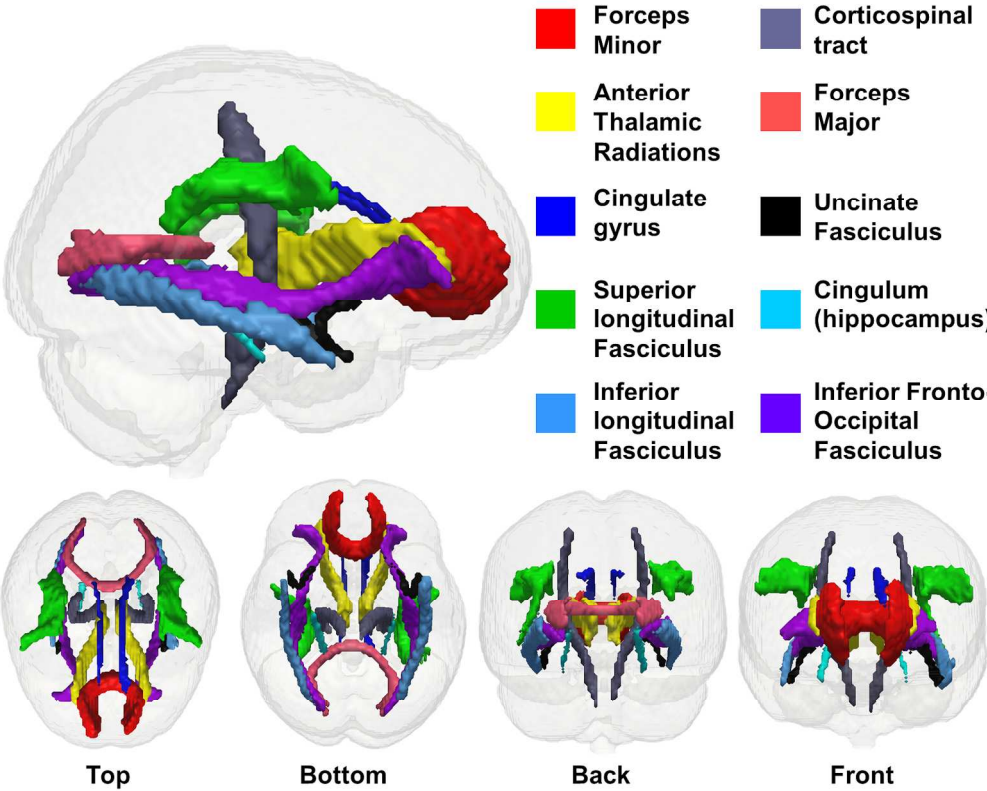


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Cognition and Sleep interaction term

Cognitive Health	Reaction Time	15.6	14.14	15.08	14.44	15.44	14.32+	14.58+	14.19
	Letter Fluency Score	2.21	2.36	2.7	2.28	1.95	2.35	2.33	2.16
	Immediate Memory Recall	14.74+	14.32	14.54+	14.37+	14.9+	14.31	14.37+	14.27+
	Delayed Memory Recall	19.54+	19.33+	19.44+	19.34+	19.58+	19.33	19.4+	19.26+
	Cattell	45.72	44.56	44.25	43.52	45.41+	43.85	44.04	43.93
	Animal Fluency Score	5.81+	6.07+	6.09	6.35	6	5.75+	5.73+	5.75+
	ACE-R	12.69	11.76+	12.51	11.83	12.3	11.8+	11.71	12.22+
		Total	Quality	Latency	Duration	Efficiency	Disturbance	Meds	Daydis
		Sleep Component							

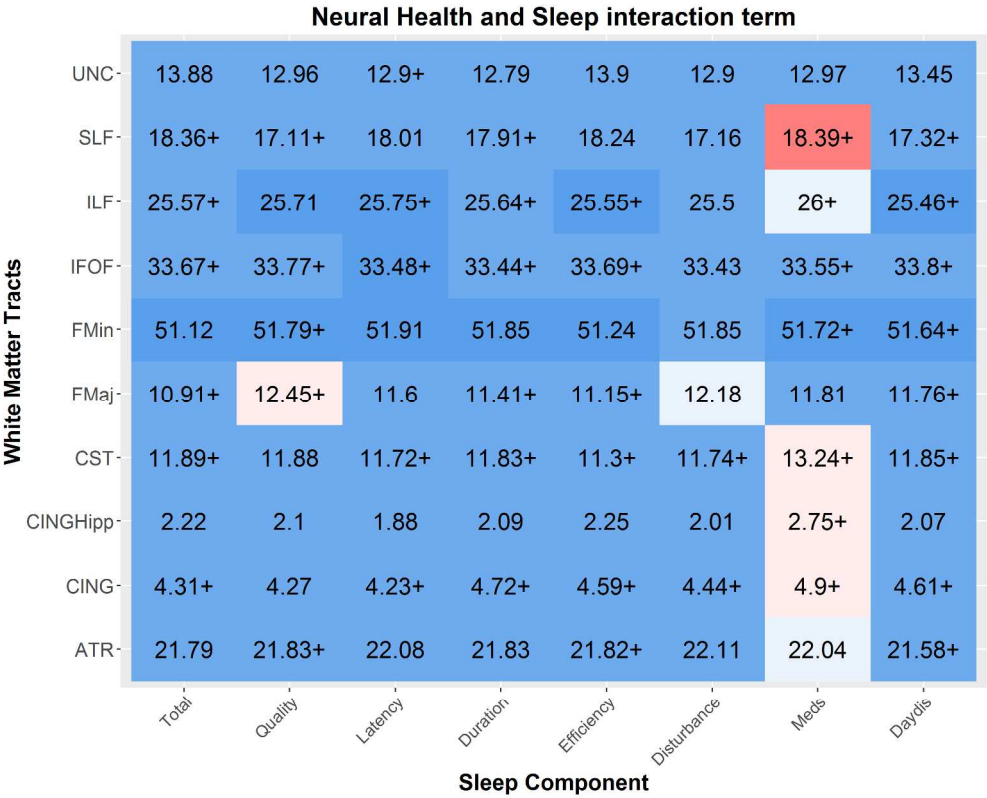
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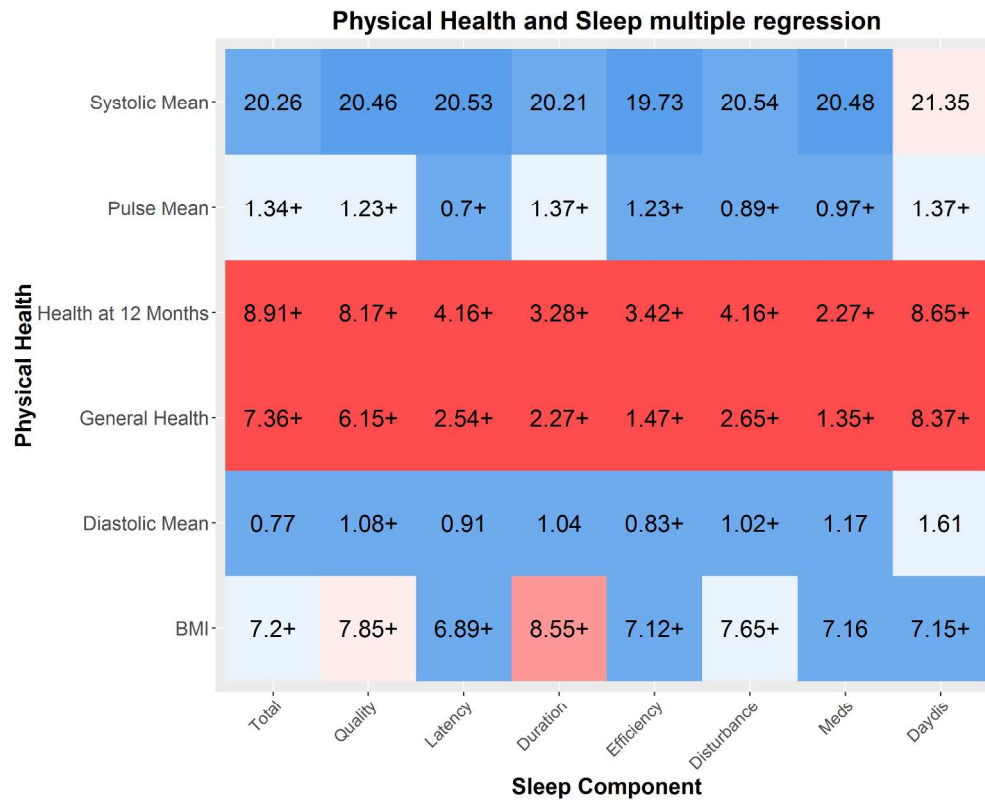
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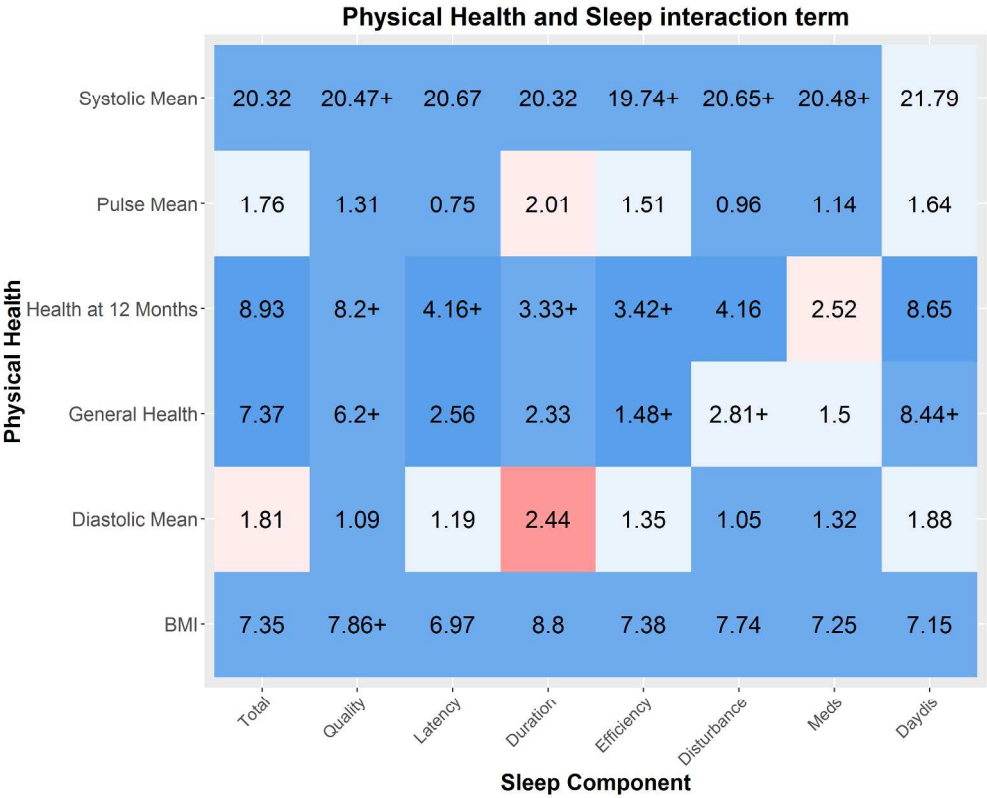
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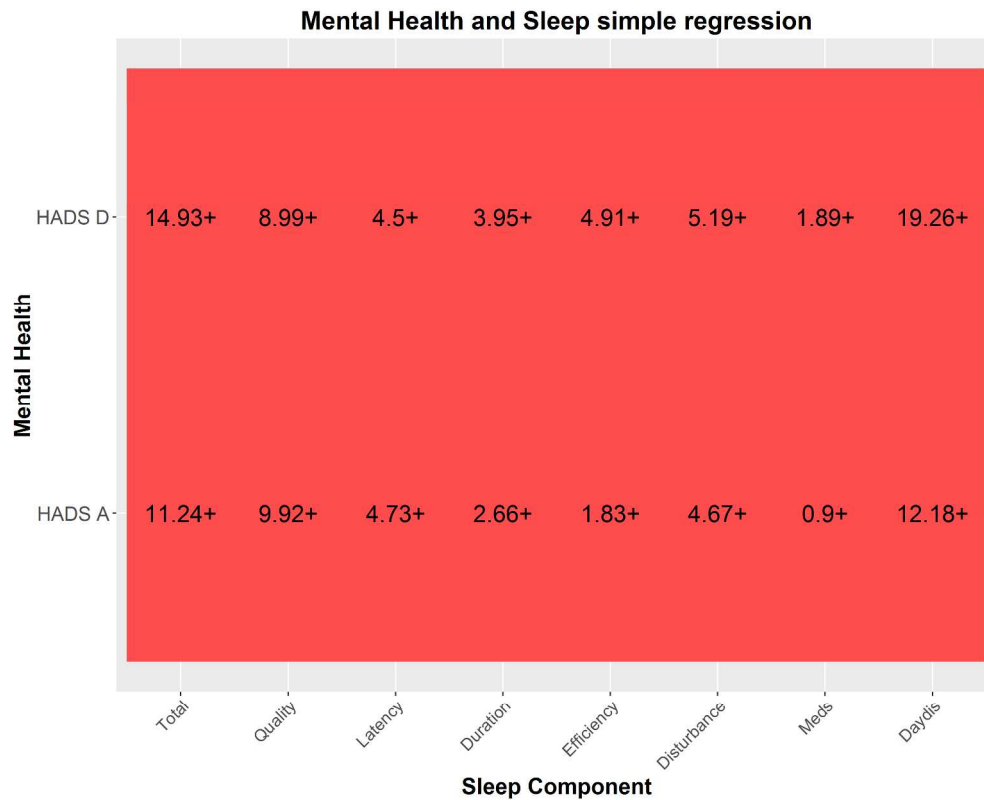
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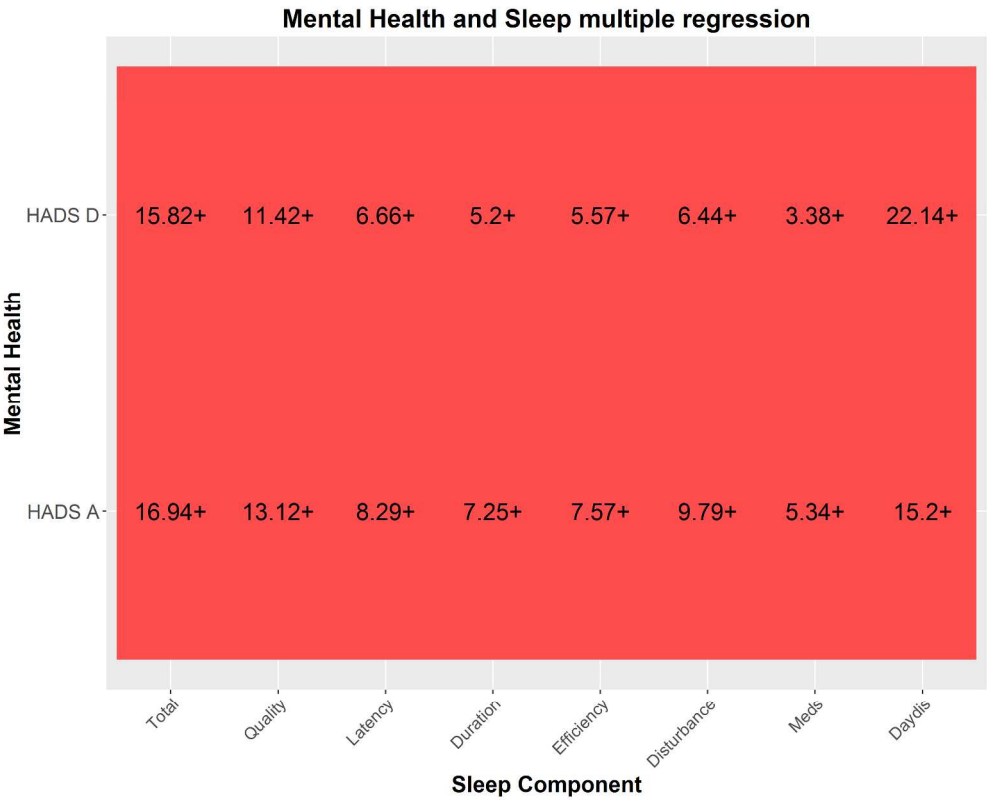
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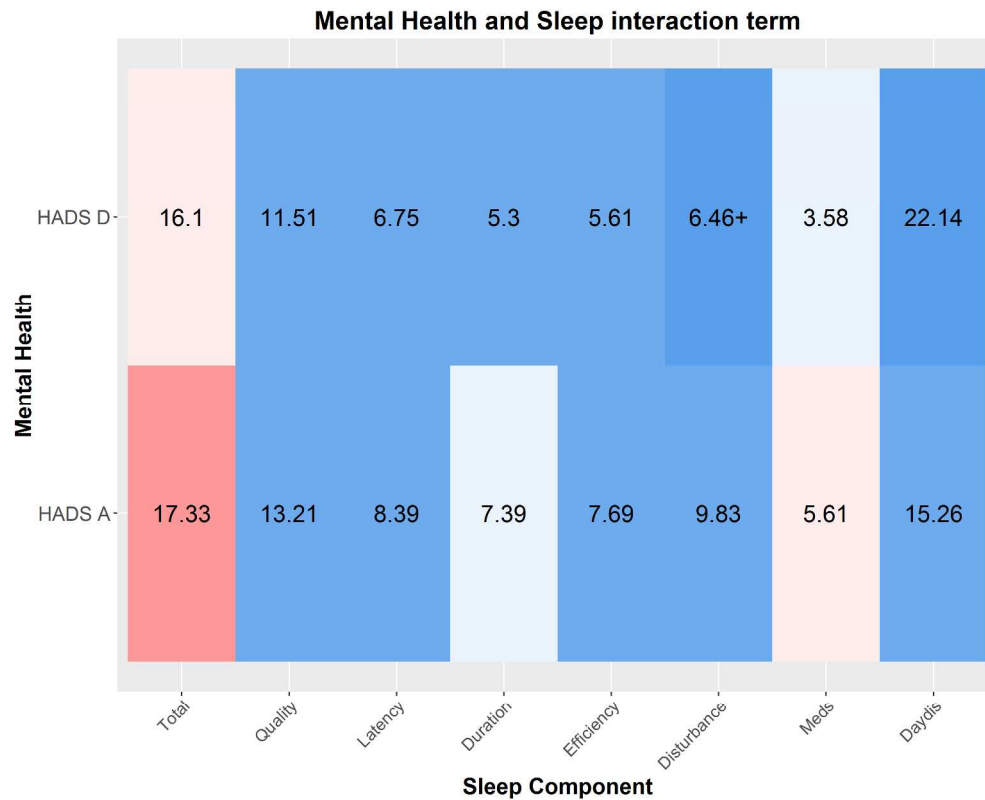
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STROBE 2007 (v4) Statement—Checklist of items that should be included in reports of *cohort studies*

Section/Topic	Item #	Recommendation	Reported on page #
Title and abstract	1	(a) Indicate the study’s design with a commonly used term in the title or the abstract	1
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	2
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	4
Objectives	3	State specific objectives, including any prespecified hypotheses	5
Methods			
Study design	4	Present key elements of study design early in the paper	5
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	6
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up	6
		(b) For matched studies, give matching criteria and number of exposed and unexposed	NA
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	5-9
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	6-11
Bias	9	Describe any efforts to address potential sources of bias	N/A
Study size	10	Explain how the study size was arrived at	6
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	10
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	10-13
		(b) Describe any methods used to examine subgroups and interactions	10
		(c) Explain how missing data were addressed	11
		(d) If applicable, explain how loss to follow-up was addressed	N/A
		(e) Describe any sensitivity analyses	N/A

Results				
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed		14-22
		(b) Give reasons for non-participation at each stage		6
		(c) Consider use of a flow diagram		NA
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders		6
		(b) Indicate number of participants with missing data for each variable of interest		9,10
		(c) Summarise follow-up time (eg, average and total amount)		6
Outcome data	15*	Report numbers of outcome events or summary measures over time		NA
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included		14-22
		(b) Report category boundaries when continuous variables were categorized		NA
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period		NA
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses		14-22
Discussion				
Key results	18	Summarise key results with reference to study objectives		22-26
Limitations				
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence		25-26
Generalisability	21	Discuss the generalisability (external validity) of the study results		25-26
Other information				
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based		3

*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at www.strobe-statement.org.