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The relationship between self-reported listening and communication difficulties and executive function: A protocol for a systematic review and meta-analysis

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The relationship between self-reported listening and communication difficulties and executive function: A protocol for a systematic review and meta-analysis

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Keywords: hearing loss, listening and communication difficulties, self-report, cognition, executive function, memory, attention

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Abstract

Introduction.

Listening and communication difficulties can limit people's participation in activity and adversely affect their quality of life. Hearing, as well as listening and communication difficulties can be measured either by using behavioural tests or self-report, and the outcomes are not always closely linked. The association between behaviourally measured and self-reported hearing is strong, whereas, the association between behavioural and self-reported measures of listening and communication difficulties is much weaker, suggesting they assess slightly different aspects of listening. While behavioural measures of listening and communication difficulties have been associated with poorer cognitive performance including executive functions, the same association has not always been shown for self-report measures. The objective of this systematic review and meta-analysis is to understand the relationship between executive function and self-reported listening and communication difficulties in adults with hearing loss, and where possible, potential covariates of age and pure-tone audiometric thresholds.

Methods and Analysis. Studies will be eligible for inclusion if they report data from both a self-report measure of listening difficulties and a behavioural measure of executive function. Eight databases are to be searched: MEDLINE (via Ovid SP), EMBASE (via Ovid SP), PsycINFO (via Ovid SP), ASSIA (via ProQuest), Cumulative Index to Nursing and Allied Health Literature or CINAHL (via EBSCO Host), Scopus, PubMed, and Web of Science (Science and Social Science Citation Index). The Weight of Evidence (WoE) framework will be used to assess risk of bias for included studies. Results will be synthesised primarily using

1 a meta-analysis, and where sufficient quantitative data are not available, a narrative synthesis
 2 will be carried out to describe key results. **Ethics and dissemination.** No ethical issues are
 3 foreseen. Data will be disseminated via academic publication and conference presentations.
 4 Findings may also be published in scientific newsletters and magazines. PROSPERO
 5 registration number CRD42022293546.
 6

7 Article Summary

8 Strengths and limitations of this study

- 9 • This systematic review is the first to investigate the relationship between self-reported
 10 listening and communication difficulties and executive function using meta-analysis
 11 to synthesise the available evidence.
- 12 • It uses an established framework (ICF) and taxonomy (CHC-M) to define target
 13 domains and measures of listening and communication difficulties and executive
 14 functions respectively.
- 15 • Grey literature (including unpublished study results) will be included.
- 16 • This Protocol has been reported in accordance with the PRISMA-P statement
- 17 • Only studies available in English are eligible for inclusion

19 Introduction

21 Listening and communication are crucial for a healthy life and difficulties in communication
 22 can limit people's participation and adversely affect their quality of life. Hearing loss plays a
 23 fundamental role in determining a person's ability to listen and communicate, although
 24 research over the years has shown that there are other factors, beyond hearing loss, that are
 25 also important. Both hearing, and listening and communication, can be measured using
 26 behavioural measures such as pure-tone audiograms and speech-in-noise tests, or via self-
 27 report questionnaires. Behavioural and self-report measures of hearing are generally well
 28 correlated, and behavioural measures of hearing are often well correlated with both
 29 behavioural and self-report measures of listening and communication, i.e. questionnaires.
 30 When a listener has a behaviourally measured hearing loss, it is likely that they will also
 31 experience and report difficulties with listening and communicating.
 32

33 Effective communication, which relies on good hearing, is instrumental for a high level of
 34 functioning and good quality of life. [1] Kiessling et al. proposed a cascade linking hearing to
 35 effective communication, which in turn can be mapped to the ICF Framework (core set for
 36 hearing loss). [2] Both frameworks are displayed in Table 1:
 37

38 **Table 1 Frameworks describing hearing and effective communication**

Kiessling et al. [2]	ICF Framework	
	BODY FUNCTIONS = physiological functions of body systems	
Hearing: a passive function that provides access to the auditory world via the perception of sound	b230	Hearing functions:
	ACTIVITIES AND PARTICIPATION	

	= execution of a task or action by an individual and involvement in a life situation	
Listening: the process of hearing with intention and attention	d115	Listening:
Comprehending: the reception of information, meaning or intent	d310	Communicating with – receiving – spoken messages
Communication: the bi-directional transfer of information, meaning or intent between two or more people	d350	Conversation:

On the other hand, considering the activities and participation domain of listening and communication, behavioural and self-report measures are less robustly correlated. This is highlighted by the fact that two individuals who experience the same pure-tone average audiometric thresholds can experience and report substantially different degrees of listening and communication difficulties [3]. One interpretation of this result could be that they assess slightly different concepts and or highlight different contributing factors. One of those contributing factors whose role still remains to be fully understood is cognition [4].

Cognition has a complex relationship with auditory function depending on whether it is considered on the function (hearing) or activities/participation (listening/communication) level. Specifically, hearing loss, both behaviourally measured and self-reported, has been shown to be associated with poorer cognitive performance across a range of cognitive domains including; global cognition, episodic memory, processing speed, semantic memory, visuospatial ability, executive functions, as well as cognitive impairment and dementia [5]. Indeed, Marrone and colleagues [6] reported that adults reporting any trouble hearing were at nearly four times higher odds of reporting increased confusion and memory loss and half as likely to report good general health compared to adults reporting no hearing difficulty. These results are important to acknowledge because hearing loss has been identified as the leading potentially modifiable risk factor for dementia in midlife [7].

For listening and communication, on the other hand, the type of assessment appears to play a role. For behavioural measures, the role of cognition for the ability to perceive speech (and in particular, speech in noise) has been reliably demonstrated for individuals with hearing loss, and this relationship is robust even when taking into consideration individuals' age and objective hearing levels (pure-tone average audiometric thresholds) [8]. Note that the cognitive ability most commonly assessed in studies of speech perception in noise is working memory. Other abilities such as attention and executive function are less regularly assessed and less robustly found to link to speech perception in noise. One reason for the less robust link might be that the speech in noise perception task needs to be a particular type or of more complexity in order to necessitate attentional and executive functions.

For self-report measures of listening or communication difficulties in quiet and in noise on the other hand the role of cognition is much less clear and a clear link with cognition is not always shown [8]. It is unclear why this link is so variable. Again, the cognitive ability most likely to be assessed is working memory. Maybe the listening situations most commonly assessed with self-report measures of communication are not of the type that require working memory or are more complex listening situations that would necessitate the involvement of executive functions. This idea would make sense given that listening and communicating in complex and noisy environments draws upon the ability to shut out distractions and maintain

1 focus. And thus it is conceivable that differences in *executive functions*, may play a key role
2 in the variation of individual experiences of listening and communication difficulties,
3 regardless of absolute hearing levels.

4
5 Executive functions refer to “higher order cognitive processes that control lower level
6 cognitive processes in the service of goal-directed behaviour” (p.186). [9] They enable the
7 ability to think before acting, plan, meet novel and unanticipated challenges, resist
8 temptations and maintain focus. [10] According to Miyake and Friedman [11], there are three
9 core executive functions: mental-set shifting (*shifting*), information updating and monitoring
10 (*updating*), and inhibition of prepotent responses (*inhibition*). Indeed, there is emerging
11 evidence from largescale Cohort studies that individuals with self-reported hearing loss
12 exhibit significantly poorer performance on tests of flexibility, psychomotor speed and
13 executive function. [12] Similarly, a systematic review of tinnitus research found individuals
14 who reported tinnitus had poorer performance on measure of executive function compared
15 with individuals who did not. [13] Subsequent empirical research showed that for a
16 population of adults with tinnitus, those reporting that their tinnitus was bothersome showed
17 poorer performance on measures of executive function compared with those reporting non-
18 bothersome tinnitus. [14]

19
20 In this review, we aim to synthesise the evidence assessing the relationship between self-
21 reported listening and communication difficulties and objective measures of executive
22 function, whilst controlling (where possible) for the potentially confounding factors of age
23 and pure-tone audiometric hearing thresholds. To our knowledge, this independent
24 relationship has yet to be extensively examined, despite data pertaining to both executive
25 functions and self-reported listening and communication difficulties often being reported as
26 part of wider research study methods.

27
28 When considering measures of self-reported listening and communication difficulties, it is
29 important to clearly define what we mean, as there are well over a hundred self-report
30 measures pertaining to listening [15], and only a subset will be relevant to our current
31 research question. For this reason, we adopt definitions of listening difficulties provided by
32 the International Classification of Functioning and Disease (ICF) as *activity limitations* and
33 *participation restrictions* arising from hearing loss, and narrow our focus to self-report
34 measures that align with ICF core set for hearing loss domains of *listening* (d115),
35 *communicating with – receiving – spoken messages* (d310) and *conversation* (d350).
36 Similarly, to definitively identify executive function domains and classify behavioural
37 measures of executive function as either shifting, updating or inhibition, we will use the
38 Cattell-Horn-Carroll-Miyake (CHC-M) taxonomy. [16]

39 40 **Review questions**

41
42 Primary research question

43 Is there an association between self-reported listening and communication difficulties and
44 performance on behavioural measures of executive function in adults with hearing loss?

45
46 Secondary research question

47 Is any association moderated by age and/or hearing loss (as measured using average pure-
48 tone audiometric thresholds)?

Objectives

1. To review and synthesise evidence for the association between self-reported listening difficulties and performance on behavioural measures of executive function, in adults with hearing loss.

Methods and Analysis

Eligibility criteria

Participants

Adults with hearing loss (with or without hearing devices), aged 18 years and over with no reported cognitive decline. We will accept a qualitative definition of hearing loss as “mild”, ‘moderate’, ‘severe’ or ‘profound’, or a quantitative definition where the group average pure-tone audiometric threshold is classed as mild hearing loss or greater using the World Health Organisation (WHO) definition of mild (26-40 dB HL inclusive); moderate (41-60 dB HL inclusive); severe (61-80 dB HL inclusive), and profound (81+ dB HL). [17] Studies that report on mixed populations (e.g. children & adults or normal hearing participants and participants with hearing loss) will be included only if the data for the populations of interest are reported separately.

Intervention/interest

A correlation coefficient between self-reported listening or communication difficulties and executive function, either reported or calculated from other reported data.

Outcomes

Self-reported listening and communication difficulties can be measured by a single item or a questionnaire assessing the following International Classification of Functioning and Disease (ICF) core set for hearing loss domains of listening (d115), communicating with – receiving – spoken messages (d310) and conversation (d350).

At least one behavioural measure of executive function must be included, defined according to the CHC-M taxonomy as tasks that measure: updating (e.g. verbal N-back), shifting (e.g. Trail making part B), and inhibition (e.g. Stroop). [16]

Where available, demographic information about the population (age, hearing device, group description) and objectively measured hearing loss (average pure-tone audiometric thresholds) will also be examined as subgroup descriptors and/or potential moderator(s).

Study design

Cross-sectional, longitudinal, experimental, quasi-experimental, and observational studies will be included.

Information sources

Articles must be available in English. No restrictions on publication dates will be applied.

Databases to be searched (see Table 2 for search terms): MEDLINE (via Ovid SP), EMBASE (via Ovid SP), PsycINFO (via Ovid SP), ASSIA (via ProQuest), Cumulative Index to Nursing and Allied Health Literature or CINAHL (via EBSCO Host), Scopus, PubMed, and Web of Science (Science and Social Science Citation Index). Gray literature including PhD theses, unpublished datasets and conference proceedings are eligible for inclusion.

1 Unpublished data will be accessed by contacting the corresponding authors of identified
2 records. Literature searches were carried out on 11.05.2022.

3 4 **Article selection process**

5 Two reviewers will independently screen titles and abstracts, and full texts of retrieved
6 records, against the inclusion and exclusion criteria. If insufficient information is provided in
7 the titles and abstracts to know if it should be included or if there is disagreement between the
8 two reviewers, the article will be included in the full-text screening. Disagreement at the full-
9 text screening will be resolved by a third reviewer.

10 11 **Data extraction process**

12 A data extraction form will be created and improved by pilot testing before data extraction
13 starts. The data from each study will be extracted separately by two reviewers and then
14 compared. A third reviewer will be involved if there is any disagreement. Article selection
15 and data extraction will be carried out using Covidence review management software
16 (<https://www.covidence.org/>).

17 18 **Data items**

19 The data to be extracted are: the aim, study design, setting, conflicts of interest, demographic
20 information about the population (age, hearing device, group description), sample size,
21 bibliographic information (publication year, authors, journal), correlation coefficients
22 between: self-reported listening difficulties and executive function (and [if reported] between
23 pure-tone audiometric thresholds and self-reported listening difficulties/executive function),
24 type of executive function measure, type of self-reported listening difficulty measure, and
25 (where relevant) procedure of pure-tone audiometric assessment, as well as documenting any
26 missing outcome data. The authors will be contacted via email if sufficient detail is not
27 reported. If data are only reported via figures, then WebPlotDigitizer ([http://arohatgi.info/
28 WebPlotDigitizer/ app/](http://arohatgi.info/WebPlotDigitizer/app/)) will be used to extract data. A third reviewer will be involved if there
29 is any disagreement between data extracted.

30 31 **Study risk of bias assessment**

32 Two reviewers will assess risk of bias for each study identified by using the Weight of
33 Evidence (WoE) framework. If disagreements arise a third reviewer will be involved. The
34 WoE framework includes assessment of methodological quality, methodological relevance,
35 and topic relevance. For each category studies will be rated low, medium or high.

36 37 **Data synthesis**

38 Key study characteristics will be described, including; study design, sample size, type of
39 executive function measures used. The effect to be synthesised is the relationship between
40 self-reported listening difficulties and behavioural measures of executive function defined by
41 correlation coefficients. If correlation coefficients cannot be calculated or extracted for meta-
42 analysis, study authors will be contacted to request the required information. Subgroup
43 analyses will examine (where reported) key factors of, age, category/measure of self-reported
44 listening difficulty, type of executive function measure, and type of hearing device, and pure-
45 tone audiometric thresholds. Meta-analyses will be conducted for subgroups where data for a
46 minimum of n=5 effects are available.

47
48 The meta-analysis will be carried out using the correlation coefficient as the outcome
49 measure. A random-effects model will be fitted to the data. We will calculate at maximum
50 one correlation coefficient per type of executive function by type of listening difficulty. If a

1 study reports multiple different correlations, there is likely to be some level of dependency in
 2 the data that needs to be dealt with. To handle any dependency between effect sizes in the
 3 analyses, a multilevel random-effects meta-analysis approach, as recommended by Assink
 4 and Wibbelink, will be applied. [18] This approach includes one random effect for each study
 5 as an addition to the random effect for each effect size. Likelihood ratio tests will compare
 6 the fit of the multilevel model to the fit of the reduced models. If the multilevel random-
 7 effects analysis has better fit, it will be used in all analyses, otherwise the random effects
 8 model will be used.

9
 10 The amount of heterogeneity (i.e., τ^2) will be estimated using the restricted maximum-
 11 likelihood estimator. [19] In addition to the estimate of τ^2 , the Q-test for heterogeneity and
 12 the I^2 statistic will be reported. [20, 21] In case any amount of heterogeneity is detected (i.e.,
 13 $\tau^2 > 0$, regardless of the results of the Q-test), a prediction interval for the true outcomes will
 14 also be provided [22]. Studentized residuals and Cook's distances will be used to examine
 15 whether studies can be defined as outliers and potentially influential in the context of the
 16 model. [23] Studies with a studentized residual larger than the $100 \times (1 - 0.05/(2 \times k))$ th
 17 percentile of a standard normal distribution will be considered potential outliers (i.e., using a
 18 Bonferroni correction with two-sided $\alpha = 0.05$ for k studies included in the meta-analysis).
 19 Studies with a Cook's distance larger than the median plus six times the interquartile range of
 20 the Cook's distances will be considered influential. The analysis will be carried out using R
 21 and the metafor package. [24, 25]

22
 23 The analysis for the secondary research question will be carried out in the same way as
 24 above, with the difference that meta-regressions will be used to investigate potential
 25 moderator effects. The moderators will be evaluated one by one, and if both get significant
 26 effects, they will be evaluated together. The meta regression procedure will follow the
 27 tutorial for meta regression on the metafor package home page. [26]

28 29 **Reporting bias assessment**

30 Funnel plots will be used to assess reporting bias. In addition, the funnel plot asymmetry will
 31 be evaluated with the rank correlation test and the regression test, using the standard error of
 32 the observed outcomes as predictor. [27, 28]

33 34 **Ethics and Dissemination**

35 This review does not raise any ethical issues. Results will be disseminated via scientific peer-
 36 reviewed journal articles, scientific magazines, and conference presentations.

37 38 **Patient and Public Involvement**

39 Patients or the public were not involved in the creation of this review protocol.

40 41 **Study Design**

42 MeSH terms will be used in relevant databases.

43 44 **Table 2: Search terms for databases**

MEDLINE (OVID) exp = explode the search term to include narrower more specific terms, .af. = search all fields in the document	
1.	exp Hearing Loss/
2.	exp Hearing/
3.	exp Self Report/
4.	(self report* or self-report* or questionnaire).af.

5. exp Cognition/
6. (cogniti* or executive or attention* or memory).af.
7. (inhibit* or updat* or shift*) .af.
8. 1 or 2
9. 3 or 4
10. 5 or 6 or 7
11. 8 and 9 and 10

Author Contributions

HH, AH and HD developed the study. HH and AH created the search terms. JS, AH and HH wrote the review protocol and HD wrote the meta-analysis methods. AH, HD, EI, EH and LB provided critical feedback on drafts of the protocol.

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Data statement

No datasets were generated or analysed for this protocol.

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Competing interests

None declared

Acknowledgements: none.

Patient and Public involvement

Patients or the public were not involved in the creation of this protocol as it relates to secondary data.

Word count: 2491 words

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PRISMA-P (Preferred Reporting Items for Systematic review and Meta-Analysis Protocols) 2015 checklist: recommended items to address in a systematic review protocol*

Section and topic	Item No	Checklist item
ADMINISTRATIVE INFORMATION		
Title:		
Identification	1a	Identify the report as a protocol of a systematic review see title
Update	1b	If the protocol is for an update of a previous systematic review, identify as such see 1a
Registration	2	If registered, provide the name of the registry (such as PROSPERO) and registration number see final line of abstract
Authors:		
Contact	3a	Provide name, institutional affiliation, e-mail address of all protocol authors; provide physical mailing address of corresponding author see both author affiliations and 'contact information' provided on page 8
Contributions	3b	Describe contributions of protocol authors and identify the guarantor of the review see 'author contributions' page 8 lines 4-7
Amendments	4	If the protocol represents an amendment of a previously completed or published protocol, identify as such and list changes; otherwise, state plan for documenting important protocol amendments N/A
Support:		
Sources	5a	Indicate sources of financial or other support for the review see 'funding' page 8 lines 37-43
Sponsor	5b	Provide name for the review funder and/or sponsor see 'funding' page 8 lines 37-43
Role of sponsor or funder	5c	Describe roles of funder(s), sponsor(s), and/or institution(s), if any, in developing the protocol page 8 lines 37-43
INTRODUCTION		
Rationale	6	Describe the rationale for the review in the context of what is already known page 2 line 21 onwards
Objectives	7	Provide an explicit statement of the question(s) the review will address with reference to participants, interventions, comparators, and outcomes (PICO) see page 4 'review questions' line 41 onwards AND the PICO is on page 5 lines 9-35
METHODS		
Eligibility criteria	8	Specify the study characteristics (such as PICO, study design, setting, time frame) and report characteristics (such as years considered, language, publication status) to be used as criteria for eligibility for the review see page 5 'eligibility criteria'
Information sources	9	Describe all intended information sources (such as electronic databases, contact with study authors, trial registers or other grey literature sources) with planned dates of coverage see 'information sources' page 5-6 line 43 onwards
Search strategy	10	Present draft of search strategy to be used for at least one electronic database, including planned limits, such that it could be repeated see table 1 on page 8-9
Study records:		

Data management	11a	Describe the mechanism(s) that will be used to manage records and data throughout the review page 6 lines 14-15
Selection process	11b	State the process that will be used for selecting studies (such as two independent reviewers) through each phase of the review (that is, screening, eligibility and inclusion in meta-analysis) see page 6 see "article selection process"
Data collection process	11c	Describe planned method of extracting data from reports (such as piloting forms done independently, in duplicate), any processes for obtaining and confirming data from investigators see page 6 see "data extraction process"
Data items	12	List and define all variables for which data will be sought (such as PICO items, funding sources), any pre-planned data assumptions and simplifications page 6 see "data items"
Outcomes and prioritization	13	List and define all outcomes for which data will be sought, including prioritization of main and additional outcomes, with rationale page 5 23-27
Risk of bias in individual studies	14	Describe anticipated methods for assessing risk of bias of individual studies, including whether this will be done at the outcome or study level, or both; state how this information will be used in data synthesis see page 6 lines 32-35
Data synthesis	15a	Describe criteria under which study data will be quantitatively synthesised see data synthesis page 6 lines 38- page 7 line 1 onward
	15b	If data are appropriate for quantitative synthesis, describe planned summary measures, methods of handling data and methods of combining data from studies, including any planned exploration of consistency (such as I ² , Kendall's τ) page 7 lines 10-27
	15c	Describe any proposed additional analyses (such as sensitivity or subgroup analyses, meta-regression) page 6 lines 45-46
	15d	If quantitative synthesis is not appropriate, describe the type of summary planned page 2 line 1
Meta-bias(es)	16	Specify any planned assessment of meta-bias(es) (such as publication bias across studies, selective reporting within studies) see page 7 lines 30-32
Confidence in cumulative evidence	17	Describe how the strength of the body of evidence will be assessed (such as GRADE) see page 6 lines 32-35

*** It is strongly recommended that this checklist be read in conjunction with the PRISMA-P Explanation and Elaboration (cite when available) for important clarification on the items. Amendments to a review protocol should be tracked and dated. The copyright for PRISMA-P (including checklist) is held by the PRISMA-P Group and is distributed under a Creative Commons Attribution Licence 4.0.**

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The relationship between self-reported listening and communication difficulties and executive function: A protocol for a systematic review and meta-analysis

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The relationship between self-reported listening and communication difficulties and executive function: A protocol for a systematic review and meta-analysis

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Keywords: hearing loss, listening and communication difficulties, self-report, cognition, executive function, memory, attention

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Abstract

Introduction.

Listening and communication difficulties can limit people's participation in activity and adversely affect their quality of life. Hearing, as well as listening and communication difficulties can be measured either by using behavioural tests or self-report, and the outcomes are not always closely linked. The association between behaviourally measured and self-reported hearing is strong, whereas, the association between behavioural and self-reported measures of listening and communication difficulties is much weaker, suggesting they assess slightly different aspects of listening. While behavioural measures of listening and communication difficulties have been associated with poorer cognitive performance including executive functions, the same association has not always been shown for self-report measures. The objective of this systematic review and meta-analysis is to understand the relationship between executive function and self-reported listening and communication difficulties in adults with hearing loss, and where possible, potential covariates of age and pure-tone audiometric thresholds.

Methods and Analysis. Studies will be eligible for inclusion if they report data from both a self-report measure of listening difficulties and a behavioural measure of executive function. Eight databases are to be searched: MEDLINE (via Ovid SP), EMBASE (via Ovid SP), PsycINFO (via Ovid SP), ASSIA (via ProQuest), Cumulative Index to Nursing and Allied Health Literature or CINAHL (via EBSCO Host), Scopus, PubMed, and Web of Science (Science and Social Science Citation Index). The JBI critical appraisal tool will be used to assess risk of bias for included studies. Results will be synthesised primarily using a meta-

analysis, and where sufficient quantitative data are not available, a narrative synthesis will be carried out to describe key results. **Ethics and dissemination.** No ethical issues are foreseen. Data will be disseminated via academic publication and conference presentations. Findings may also be published in scientific newsletters and magazines. PROSPERO registration number CRD42022293546.

Article Summary

Strengths and limitations of this study

- This systematic review is the first to investigate the relationship between self-reported listening and communication difficulties and executive function using meta-analysis to synthesise the available evidence.
- It uses an established framework (ICF) and taxonomy (CHC-M) to define target domains and measures of listening and communication difficulties and executive functions respectively.
- Grey literature (including unpublished study results) will be included.
- This Protocol has been reported in accordance with the PRISMA-P statement
- Only studies available in English are eligible for inclusion

Introduction

Listening and communication are crucial for a healthy life and difficulties in communication can limit people's participation and adversely affect their quality of life. Hearing loss plays a fundamental role in determining a person's ability to listen and communicate, although research over the years has shown that there are other factors, beyond hearing loss, that are also important. Both hearing, and listening and communication, can be measured using behavioural measures such as pure-tone audiograms and speech-in-noise tests, or via self-report questionnaires. Behavioural and self-report measures of hearing are generally well correlated, and behavioural measures of hearing are often well correlated with both behavioural and self-report measures of listening and communication, i.e. questionnaires. When a listener has a behaviourally measured hearing loss, it is likely that they will also experience and report difficulties with listening and communicating.

Effective communication, which relies on good hearing, is instrumental for a high level of functioning and good quality of life. [1] Kiessling et al. proposed a cascade linking hearing to effective communication, which in turn can be mapped to the ICF Framework (core set for hearing loss). [2] Both frameworks are displayed in Table 1:

Table 1 Frameworks describing hearing and effective communication

Kiessling et al. [2]	ICF Framework	
	BODY FUNCTIONS = physiological functions of body systems	
Hearing: a passive function that provides access to the auditory world via the perception of sound	b230	Hearing functions:
	ACTIVITIES AND PARTICIPATION	

	= execution of a task or action by an individual and involvement in a life situation	
Listening: the process of hearing with intention and attention	d115	Listening:
Comprehending: the reception of information, meaning or intent	d310	Communicating with – receiving – spoken messages
Communication: the bi-directional transfer of information, meaning or intent between two or more people	d350	Conversation:

On the other hand, considering the activities and participation domain of listening and communication, behavioural and self-report measures are less robustly correlated. This is highlighted by the fact that two individuals who experience the same pure-tone average audiometric thresholds can experience and report substantially different degrees of listening and communication difficulties [3]. One interpretation of this result could be that they assess slightly different concepts and or highlight different contributing factors. One of those contributing factors whose role still remains to be fully understood is cognition [4].

Cognition has a complex relationship with auditory function depending on whether it is considered on the function (hearing) or activities/participation (listening/communication) level. Specifically, hearing loss, both behaviourally measured and self-reported, has been shown to be associated with poorer cognitive performance across a range of cognitive domains including; global cognition, episodic memory, processing speed, semantic memory, visuospatial ability, executive functions, as well as cognitive impairment and dementia [5]. Indeed, Marrone and colleagues [6] reported that adults reporting any trouble hearing were at nearly four times higher odds of reporting increased confusion and memory loss and half as likely to report good general health compared to adults reporting no hearing difficulty. These results are important to acknowledge because hearing loss has been identified as the leading potentially modifiable risk factor for dementia in midlife [7].

For listening and communication, on the other hand, the type of assessment appears to play a role. For behavioural measures, the role of cognition for the ability to perceive speech (and in particular, speech in noise) has been reliably demonstrated for individuals with hearing loss, and this relationship is robust even when taking into consideration individuals' age and objective hearing levels (pure-tone average audiometric thresholds) [8]. Note that the cognitive ability most commonly assessed in studies of speech perception in noise is working memory. Other abilities such as attention and executive function are less regularly assessed and less robustly found to link to speech perception in noise. One reason for the less robust link might be that the speech in noise perception task needs to be a particular type or of more complexity in order to necessitate attentional and executive functions.

For self-report measures of listening or communication difficulties in quiet and in noise on the other hand the role of cognition is much less clear and a clear link with cognition is not always shown [8]. It is unclear why this link is so variable. Again, the cognitive ability most likely to be assessed is working memory. Maybe the listening situations most commonly assessed with self-report measures of communication are not of the type that require working memory or are more complex listening situations that would necessitate the involvement of executive functions. This idea would make sense given that listening and communicating in complex and noisy environments draws upon the ability to shut out distractions and maintain

1 focus. And thus it is conceivable that differences in *executive functions*, may play a key role
2 in the variation of individual experiences of listening and communication difficulties,
3 regardless of absolute hearing levels.

4
5 Executive functions refer to “higher order cognitive processes that control lower level
6 cognitive processes in the service of goal-directed behaviour” (p.186). [9] They enable the
7 ability to think before acting, plan, meet novel and unanticipated challenges, resist
8 temptations and maintain focus. [10] According to Miyake and Friedman [11], there are three
9 core executive functions: mental-set shifting (*shifting*), information updating and monitoring
10 (*updating*), and inhibition of prepotent responses (*inhibition*). Indeed, there is emerging
11 evidence from largescale Cohort studies that individuals with self-reported hearing loss
12 exhibit significantly poorer performance on tests of flexibility, psychomotor speed and
13 executive function. [12] Similarly, a systematic review of tinnitus research found individuals
14 who reported tinnitus had poorer performance on measure of executive function compared
15 with individuals who did not. [13] Subsequent empirical research showed that for a
16 population of adults with tinnitus, those reporting that their tinnitus was bothersome showed
17 poorer performance on measures of executive function compared with those reporting non-
18 bothersome tinnitus. [14]

19
20 In this review, we aim to synthesise the evidence assessing the relationship between self-
21 reported listening and communication difficulties and objective measures of executive
22 function, whilst controlling (where possible) for the potentially confounding factors of age
23 and pure-tone audiometric hearing thresholds. To our knowledge, this independent
24 relationship has yet to be extensively examined, despite data pertaining to both executive
25 functions and self-reported listening and communication difficulties often being reported as
26 part of wider research study methods.

27
28 When considering measures of self-reported listening and communication difficulties, it is
29 important to clearly define what we mean, as there are well over a hundred self-report
30 measures pertaining to listening [15], and only a subset will be relevant to our current
31 research question. For this reason, we adopt definitions of listening difficulties provided by
32 the International Classification of Functioning and Disease (ICF) as *activity limitations* and
33 *participation restrictions* arising from hearing loss, and narrow our focus to self-report
34 measures that align with ICF core set for hearing loss domains of *listening* (d115),
35 *communicating with – receiving – spoken messages* (d310) and *conversation* (d350).
36 Similarly, to definitively identify executive function domains and classify behavioural
37 measures of executive function as either shifting, updating or inhibition, we will use the
38 Cattell-Horn-Carroll-Miyake (CHC-M) taxonomy. [16]

39 40 **Review questions**

41 Primary research question

42 Is there an association between self-reported listening and communication difficulties and
43 performance on behavioural measures of executive function in adults with hearing loss?

44
45 Secondary research question

46 Is any association moderated by age and/or hearing loss (as measured using average pure-
47 tone audiometric thresholds)?
48

Objectives

1. To review and synthesise evidence for the association between self-reported listening difficulties and performance on behavioural measures of executive function, in adults with hearing loss.

Methods and Analysis

Eligibility criteria

Participants

Adults with hearing loss (with or without hearing devices), aged 18 years and over with no reported cognitive decline. We will accept a qualitative definition of hearing loss as “mild”, ‘moderate’, ‘severe’ or ‘profound’, or a quantitative definition where the group average pure-tone audiometric threshold is classed as mild hearing loss or greater using the World Health Organisation (WHO) definition of mild (26-40 dB HL inclusive); moderate (41-60 dB HL inclusive); severe (61-80 dB HL inclusive), and profound (81+ dB HL). [17] Studies that report on mixed populations (e.g. children & adults or normal hearing participants and participants with hearing loss) will be included only if the data for the populations of interest are reported separately.

Intervention/interest

A correlation coefficient between self-reported listening or communication difficulties and executive function, either reported or calculated from other reported data.

Outcomes

Self-reported listening and communication difficulties can be measured by a single item or a questionnaire assessing the following International Classification of Functioning and Disease (ICF) core set for hearing loss domains of listening (d115), communicating with – receiving – spoken messages (d310) and conversation (d350).

At least one behavioural measure of executive function must be included, defined according to the CHC-M taxonomy as tasks that measure: updating (e.g. verbal N-back), shifting (e.g. Trail making part B), and inhibition (e.g. Stroop). [16]

Where available, demographic information about the population (age, hearing device, group description) and objectively measured hearing loss (average pure-tone audiometric thresholds) will also be examined as subgroup descriptors and/or potential moderator(s).

Study design

Cross-sectional, longitudinal, experimental, quasi-experimental, and observational studies will be included.

Information sources

Articles must be available in English. No restrictions on publication dates will be applied.

Databases to be searched (see Table 2 for search terms): MEDLINE (via Ovid SP), EMBASE (via Ovid SP), PsycINFO (via Ovid SP), ASSIA (via ProQuest), Cumulative Index to Nursing and Allied Health Literature or CINAHL (via EBSCO Host), Scopus, PubMed, and Web of Science (Science and Social Science Citation Index). Gray literature including PhD theses, unpublished datasets and conference proceedings are eligible for inclusion.

1 Unpublished data will be accessed by contacting the corresponding authors of identified
2 records. Literature searches were carried out on 11.05.2022.

3 4 **Article selection process**

5 Two reviewers will independently screen titles and abstracts, and full texts of retrieved
6 records, against the inclusion and exclusion criteria. If insufficient information is provided in
7 the titles and abstracts to know if it should be included or if there is disagreement between the
8 two reviewers, the article will be included in the full-text screening. Disagreement at the full-
9 text screening will be resolved by a third reviewer.

10 11 **Data extraction process**

12 A data extraction form will be created and improved by pilot testing before data extraction
13 starts. The data from each study will be extracted separately by two reviewers and then
14 compared. A third reviewer will be involved if there is any disagreement. Article selection
15 and data extraction will be carried out using Covidence review management software
16 (<https://www.covidence.org/>).

17 18 **Data items**

19 The data to be extracted are: the aim, study design, setting, conflicts of interest, demographic
20 information about the population (age, hearing device, group description), sample size,
21 bibliographic information (publication year, authors, journal), correlation coefficients
22 between: self-reported listening difficulties and executive function (and [if reported] between
23 pure-tone audiometric thresholds and self-reported listening difficulties/executive function),
24 type of executive function measure, type of self-reported listening difficulty measure, and
25 (where relevant) procedure of pure-tone audiometric assessment, as well as documenting any
26 missing outcome data. We will note if both self-report and behavioural measures have been
27 completed whilst wearing a hearing device. The authors will be contacted via email if
28 sufficient detail is not reported. If data are only reported via figures, then WebPlotDigitizer
29 (<http://arohatgi.info/WebPlotDigitizer/app/>) will be used to extract data. A third reviewer
30 will be involved if there is any disagreement between data extracted.

31 32 **Study risk of bias assessment**

33 Two reviewers will assess risk of bias for each study identified by for each study using the
34 appropriate JBI critical appraisal tool. If disagreements arise a third reviewer will be
35 involved. The JBI critical appraisal tools include assessment of methodological quality, and
36 different checklists are used depending on the design of the study (e.g., cross sectional,
37 longitudinal, randomized controlled trial). For each criteria, studies will be assessed for
38 fulfilment (Yes, No, Unclear, or Not applicable).

39 40 **Data synthesis**

41 Key study characteristics will be described, including; study design, sample size, type of
42 executive function measures used. The effect to be synthesised is the relationship between
43 self-reported listening difficulties and behavioural measures of executive function defined by
44 correlation coefficients. If correlation coefficients cannot be calculated or extracted for meta-
45 analysis, study authors will be contacted to request the required information. Subgroup
46 analyses will examine (where reported) key factors of, age, category/measure of self-reported
47 listening difficulty, type of executive function measure, and type of hearing device, and pure-
48 tone audiometric thresholds. Meta-analyses will be conducted for subgroups where data for a
49 minimum of n=5 effects are available.

1 The meta-analysis will be carried out using the correlation coefficient as the outcome
 2 measure. A random-effects model will be fitted to the data. We will calculate at maximum
 3 one correlation coefficient per type of executive function by type of listening difficulty. If a
 4 study reports multiple different correlations, there is likely to be some level of dependency in
 5 the data that needs to be dealt with. To handle any dependency between effect sizes in the
 6 analyses, a multilevel random-effects meta-analysis approach, as recommended by Assink
 7 and Wibbelink, will be applied. [18] This approach includes one random effect for each study
 8 as an addition to the random effect for each effect size. Likelihood ratio tests will compare
 9 the fit of the multilevel model to the fit of the reduced models. If the multilevel random-
 10 effects analysis has better fit, it will be used in all analyses, otherwise the random effects
 11 model will be used.

12
 13 The amount of heterogeneity (i.e., τ^2) will be estimated using the restricted maximum-
 14 likelihood estimator. [19] In addition to the estimate of τ^2 , the Q-test for heterogeneity and
 15 the I^2 statistic will be reported. [20, 21] In case any amount of heterogeneity is detected (i.e.,
 16 $\tau^2 > 0$, regardless of the results of the Q-test), a prediction interval for the true outcomes will
 17 also be provided [22]. Studentized residuals and Cook's distances will be used to examine
 18 whether studies can be defined as outliers and potentially influential in the context of the
 19 model. [23] Studies with a studentized residual larger than the $100 \times (1 - 0.05/(2 \times k))$ th
 20 percentile of a standard normal distribution will be considered potential outliers (i.e., using a
 21 Bonferroni correction with two-sided $\alpha = 0.05$ for k studies included in the meta-analysis).
 22 Studies with a Cook's distance larger than the median plus six times the interquartile range of
 23 the Cook's distances will be considered influential. The analysis will be carried out using R
 24 and the metafor package. [24, 25]

25
 26 The analysis for the secondary research question will be carried out in the same way as
 27 above, with the difference that meta-regressions will be used to investigate potential
 28 moderator effects. The moderators will be evaluated one by one, and if both get significant
 29 effects, they will be evaluated together. The meta regression procedure will follow the
 30 tutorial for meta regression on the metafor package home page. [26]

31 **Reporting bias assessment**

32 Funnel plots will be used to assess reporting bias. In addition, the funnel plot asymmetry will
 33 be evaluated with the rank correlation test and the regression test, using the standard error of
 34 the observed outcomes as predictor. [27, 28]

35 **Ethics and Dissemination**

36 This review does not raise any ethical issues. Results will be disseminated via scientific peer-
 37 reviewed journal articles, scientific magazines, and conference presentations.

38 **Patient and Public Involvement**

39 Patients or the public were not involved in the creation of this review protocol.

40 **Study Design**

41 MeSH terms will be used in relevant databases.

42 **Table 2: Search terms for databases**

43	MEDLINE (OVID) exp = explode the search term to include narrower more specific terms, .af. = search
44	all fields in the document
45	1. exp Hearing Loss/

2. exp Hearing/
3. exp Self Report/
4. (self report* or self-report* or questionnaire).af.
5. exp Cognition/
6. (cogniti* or executive or attention* or memory).af.
7. (inhibit* or updat* or shift*) .af.
8. 1 or 2
9. 3 or 4
10. 5 or 6 or 7
11. 8 and 9 and 10

Author Contributions

HH, AH and HD developed the study. HH and AH created the search terms. JS, AH and HH wrote the review protocol and HD wrote the meta-analysis methods. AH, HD, EI, EH and LB provided critical feedback on drafts of the protocol.

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Data statement

No datasets were generated or analysed for this protocol.

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Competing interests

None declared

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Patient and Public involvement

Patients or the public were not involved in the creation of this protocol.

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For peer review only

PRISMA-P (Preferred Reporting Items for Systematic review and Meta-Analysis Protocols) 2015 checklist: recommended items to address in a systematic review protocol*

Section and topic	Item No	Checklist item
ADMINISTRATIVE INFORMATION		
Title:		
Identification	1a	Identify the report as a protocol of a systematic review see title
Update	1b	If the protocol is for an update of a previous systematic review, identify as such see title
Registration	2	If registered, provide the name of the registry (such as PROSPERO) and registration number see final line of abstract
Authors:		
Contact	3a	Provide name, institutional affiliation, e-mail address of all protocol authors; provide physical mailing address of corresponding author see both author affiliations and 'contact information' provided on page 8
Contributions	3b	Describe contributions of protocol authors and identify the guarantor of the review see 'author contributions' page 8 lines 4-7
Amendments	4	If the protocol represents an amendment of a previously completed or published protocol, identify as such and list changes; otherwise, state plan for documenting important protocol amendments N/A
Support:		
Sources	5a	Indicate sources of financial or other support for the review see 'funding' page 8 lines 37-43
Sponsor	5b	Provide name for the review funder and/or sponsor see 'funding' page 8 lines 37-43
Role of sponsor or funder	5c	Describe roles of funder(s), sponsor(s), and/or institution(s), if any, in developing the protocol page 8 lines 37-43
INTRODUCTION		
Rationale	6	Describe the rationale for the review in the context of what is already known page 2 line 21 onwards
Objectives	7	Provide an explicit statement of the question(s) the review will address with reference to participants, interventions, comparators, and outcomes (PICO) see page 4 'review questions' line 41 onwards AND the PICO is on page 5 lines 9-35
METHODS		
Eligibility criteria	8	Specify the study characteristics (such as PICO, study design, setting, time frame) and report characteristics (such as years considered, language, publication status) to be used as criteria for eligibility for the review see page 5 'eligibility criteria'
Information sources	9	Describe all intended information sources (such as electronic databases, contact with study authors, trial registers or other grey literature sources) with planned dates of coverage see 'information sources' page 5-6 line 43 onwards
Search strategy	10	Present draft of search strategy to be used for at least one electronic database, including planned limits, such that it could be repeated see table 1 on page 8-9
Study records:		

Data management	11a	Describe the mechanism(s) that will be used to manage records and data throughout the review page 6 lines 14-15
Selection process	11b	State the process that will be used for selecting studies (such as two independent reviewers) through each phase of the review (that is, screening, eligibility and inclusion in meta-analysis) see page 6 see "article selection process"
Data collection process	11c	Describe planned method of extracting data from reports (such as piloting forms done independently, in duplicate), any processes for obtaining and confirming data from investigators see page 6 see "data extraction process"
Data items	12	List and define all variables for which data will be sought (such as PICO items, funding sources), any pre-planned data assumptions and simplifications page 6 see "data items"
Outcomes and prioritization	13	List and define all outcomes for which data will be sought, including prioritization of main and additional outcomes, with rationale page 5 23-27
Risk of bias in individual studies	14	Describe anticipated methods for assessing risk of bias of individual studies, including whether this will be done at the outcome or study level, or both; state how this information will be used in data synthesis see page 6 lines 32-35
Data synthesis	15a	Describe criteria under which study data will be quantitatively synthesised see data synthesis page 6 lines 38- page 7 line 1 onward
	15b	If data are appropriate for quantitative synthesis, describe planned summary measures, methods of handling data and methods of combining data from studies, including any planned exploration of consistency (such as I^2 , Kendall's τ) page 7 lines 10-27
	15c	Describe any proposed additional analyses (such as sensitivity or subgroup analyses, meta-regression) page 6 lines 45-46
	15d	If quantitative synthesis is not appropriate, describe the type of summary planned page 2 line 1
Meta-bias(es)	16	Specify any planned assessment of meta-bias(es) (such as publication bias across studies, selective reporting within studies) see page 7 lines 30-32
Confidence in cumulative evidence	17	Describe how the strength of the body of evidence will be assessed (such as GRADE) see page 6 lines 32-35

*** It is strongly recommended that this checklist be read in conjunction with the PRISMA-P Explanation and Elaboration (cite when available) for important clarification on the items. Amendments to a review protocol should be tracked and dated. The copyright for PRISMA-P (including checklist) is held by the PRISMA-P Group and is distributed under a Creative Commons Attribution Licence 4.0.**

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BMJ Open

The relationship between self-reported listening and communication difficulties and executive function: A protocol for a systematic review and meta-analysis

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Primary Subject Heading:	Ear, nose and throat/otolaryngology
Secondary Subject Heading:	Geriatric medicine, Ear, nose and throat/otolaryngology, Communication
Keywords:	Audiology < OTOLARYNGOLOGY, GENERAL MEDICINE (see Internal Medicine), Aged

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Manuscripts

The relationship between self-reported listening and communication difficulties and executive function: A protocol for a systematic review and meta-analysis

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Keywords: hearing loss, listening and communication difficulties, self-report, cognition, executive function, memory, attention

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Abstract

Introduction.

Listening and communication difficulties can limit people's participation in activity and adversely affect their quality of life. Hearing, as well as listening and communication difficulties can be measured either by using behavioural tests or self-report, and the outcomes are not always closely linked. The association between behaviourally measured and self-reported hearing is strong, whereas, the association between behavioural and self-reported measures of listening and communication difficulties is much weaker, suggesting they assess slightly different aspects of listening. While behavioural measures of listening and communication difficulties have been associated with poorer cognitive performance including executive functions, the same association has not always been shown for self-report measures. The objective of this systematic review and meta-analysis is to understand the relationship between executive function and self-reported listening and communication difficulties in adults with hearing loss, and where possible, potential covariates of age and pure-tone audiometric thresholds.

Methods and Analysis. Studies will be eligible for inclusion if they report data from both a self-report measure of listening difficulties and a behavioural measure of executive function. Eight databases are to be searched: MEDLINE (via Ovid SP), EMBASE (via Ovid SP), PsycINFO (via Ovid SP), ASSIA (via ProQuest), Cumulative Index to Nursing and Allied Health Literature or CINAHL (via EBSCO Host), Scopus, PubMed, and Web of Science (Science and Social Science Citation Index). The JBI critical appraisal tool will be used to assess risk of bias for included studies. Results will be synthesised primarily using a meta-

analysis, and where sufficient quantitative data are not available, a narrative synthesis will be carried out to describe key results. **Ethics and dissemination.** No ethical issues are foreseen. Data will be disseminated via academic publication and conference presentations. Findings may also be published in scientific newsletters and magazines. PROSPERO registration number CRD42022293546.

Article Summary

Strengths and limitations of this study

- This systematic review is the first to investigate the relationship between self-reported listening and communication difficulties and executive function using meta-analysis to synthesise the available evidence.
- It uses an established framework (ICF) and taxonomy (CHC-M) to define target domains and measures of listening and communication difficulties and executive functions respectively.
- Grey literature (including unpublished study results) will be included.
- This Protocol has been reported in accordance with the PRISMA-P statement
- Only studies available in English are eligible for inclusion

Introduction

Listening and communication are crucial for a healthy life and difficulties in communication can limit people's participation and adversely affect their quality of life. Hearing loss plays a fundamental role in determining a person's ability to listen and communicate, although research over the years has shown that there are other factors, beyond hearing loss, that are also important. Both hearing, and listening and communication, can be measured using behavioural measures such as pure-tone audiograms and speech-in-noise tests, or via self-report questionnaires. Behavioural and self-report measures of hearing are generally well correlated, and behavioural measures of hearing are often well correlated with both behavioural and self-report measures of listening and communication, i.e. questionnaires. When a listener has a behaviourally measured hearing loss, it is likely that they will also experience and report difficulties with listening and communicating.

Effective communication, which relies on good hearing, is instrumental for a high level of functioning and good quality of life. [1] Kiessling et al. proposed a cascade linking hearing to effective communication, which in turn can be mapped to the ICF Framework (core set for hearing loss). [2] Both frameworks are displayed in Table 1:

Table 1 Frameworks describing hearing and effective communication

Kiessling et al. [2]	ICF Framework	
	BODY FUNCTIONS = physiological functions of body systems	
Hearing: a passive function that provides access to the auditory world via the perception of sound	b230	Hearing functions:
	ACTIVITIES AND PARTICIPATION	

	= execution of a task or action by an individual and involvement in a life situation	
Listening: the process of hearing with intention and attention	d115	Listening:
Comprehending: the reception of information, meaning or intent	d310	Communicating with – receiving – spoken messages
Communication: the bi-directional transfer of information, meaning or intent between two or more people	d350	Conversation:

On the other hand, considering the activities and participation domain of listening and communication, behavioural and self-report measures are less robustly correlated. This is highlighted by the fact that two individuals who experience the same pure-tone average audiometric thresholds can experience and report substantially different degrees of listening and communication difficulties [3]. One interpretation of this result could be that they assess slightly different concepts and or highlight different contributing factors. One of those contributing factors whose role still remains to be fully understood is cognition [4].

Cognition has a complex relationship with auditory function depending on whether it is considered on the function (hearing) or activities/participation (listening/communication) level. Specifically, hearing loss, both behaviourally measured and self-reported, has been shown to be associated with poorer cognitive performance across a range of cognitive domains including; global cognition, episodic memory, processing speed, semantic memory, visuospatial ability, executive functions, as well as cognitive impairment and dementia [5]. Indeed, Marrone and colleagues [6] reported that adults reporting any trouble hearing were at nearly four times higher odds of reporting increased confusion and memory loss and half as likely to report good general health compared to adults reporting no hearing difficulty. These results are important to acknowledge because hearing loss has been identified as the leading potentially modifiable risk factor for dementia in midlife [7].

For listening and communication, on the other hand, the type of assessment appears to play a role. For behavioural measures, the role of cognition for the ability to perceive speech (and in particular, speech in noise) has been reliably demonstrated for individuals with hearing loss, and this relationship is robust even when taking into consideration individuals' age and objective hearing levels (pure-tone average audiometric thresholds) [8]. Note that the cognitive ability most commonly assessed in studies of speech perception in noise is working memory. Other abilities such as attention and executive function are less regularly assessed and less robustly found to link to speech perception in noise. One reason for the less robust link might be that the speech in noise perception task needs to be a particular type or of more complexity in order to necessitate attentional and executive functions.

For self-report measures of listening or communication difficulties in quiet and in noise on the other hand the role of cognition is much less clear and a clear link with cognition is not always shown [8]. It is unclear why this link is so variable. Again, the cognitive ability most likely to be assessed is working memory. Maybe the listening situations most commonly assessed with self-report measures of communication are not of the type that require working memory or are more complex listening situations that would necessitate the involvement of executive functions. This idea would make sense given that listening and communicating in complex and noisy environments draws upon the ability to shut out distractions and maintain

1 focus. And thus it is conceivable that differences in *executive functions*, may play a key role
2 in the variation of individual experiences of listening and communication difficulties,
3 regardless of absolute hearing levels.

4
5 Executive functions refer to “higher order cognitive processes that control lower level
6 cognitive processes in the service of goal-directed behaviour” (p.186). [9] They enable the
7 ability to think before acting, plan, meet novel and unanticipated challenges, resist
8 temptations and maintain focus. [10] According to Miyake and Friedman [11], there are three
9 core executive functions: mental-set shifting (*shifting*), information updating and monitoring
10 (*updating*), and inhibition of prepotent responses (*inhibition*). Indeed, there is emerging
11 evidence from largescale Cohort studies that individuals with self-reported hearing loss
12 exhibit significantly poorer performance on tests of flexibility, psychomotor speed and
13 executive function. [12] Similarly, a systematic review of tinnitus research found individuals
14 who reported tinnitus had poorer performance on measure of executive function compared
15 with individuals who did not. [13] Subsequent empirical research showed that for a
16 population of adults with tinnitus, those reporting that their tinnitus was bothersome showed
17 poorer performance on measures of executive function compared with those reporting non-
18 bothersome tinnitus. [14]

19
20 In this review, we aim to synthesise the evidence assessing the relationship between self-
21 reported listening and communication difficulties and objective measures of executive
22 function, whilst controlling (where possible) for the potentially confounding factors of age
23 and pure-tone audiometric hearing thresholds. To our knowledge, this independent
24 relationship has yet to be extensively examined, despite data pertaining to both executive
25 functions and self-reported listening and communication difficulties often being reported as
26 part of wider research study methods.

27
28 When considering measures of self-reported listening and communication difficulties, it is
29 important to clearly define what we mean, as there are well over a hundred self-report
30 measures pertaining to listening [15], and only a subset will be relevant to our current
31 research question. For this reason, we adopt definitions of listening difficulties provided by
32 the International Classification of Functioning and Disease (ICF) as *activity limitations* and
33 *participation restrictions* arising from hearing loss, and narrow our focus to self-report
34 measures that align with ICF core set for hearing loss domains of *listening* (d115),
35 *communicating with – receiving – spoken messages* (d310) and *conversation* (d350).
36 Similarly, to definitively identify executive function domains and classify behavioural
37 measures of executive function as either shifting, updating or inhibition, we will use the
38 Cattell-Horn-Carroll-Miyake (CHC-M) taxonomy. [16]

39 40 **Review questions**

41 Primary research question

42 Is there an association between self-reported listening and communication difficulties and
43 performance on behavioural measures of executive function in adults with hearing loss?

44
45 Secondary research question

46 Is any association moderated by age and/or hearing loss (as measured using average pure-
47 tone audiometric thresholds)?
48

Objectives

1. To review and synthesise evidence for the association between self-reported listening difficulties and performance on behavioural measures of executive function, in adults with hearing loss.

Methods and Analysis

Eligibility criteria

Participants

Adults with hearing loss (with or without hearing devices), aged 18 years and over with no reported cognitive decline. We will accept a qualitative definition of hearing loss as “mild”, ‘moderate’, ‘severe’ or ‘profound’, or a quantitative definition where the group average pure-tone audiometric threshold is classed as mild hearing loss or greater using the World Health Organisation (WHO) definition of mild (26-40 dB HL inclusive); moderate (41-60 dB HL inclusive); severe (61-80 dB HL inclusive), and profound (81+ dB HL). [17] Studies that report on mixed populations (e.g. children & adults or normal hearing participants and participants with hearing loss) will be included only if the data for the populations of interest are reported separately.

Intervention/interest

A correlation coefficient between self-reported listening or communication difficulties and executive function, either reported or calculated from other reported data.

Outcomes

Self-reported listening and communication difficulties can be measured by a single item or a questionnaire assessing the following International Classification of Functioning and Disease (ICF) core set for hearing loss domains of listening (d115), communicating with – receiving – spoken messages (d310) and conversation (d350).

At least one behavioural measure of executive function must be included, defined according to the CHC-M taxonomy as tasks that measure: updating (e.g. verbal N-back), shifting (e.g. Trail making part B), and inhibition (e.g. Stroop). [16]

Where available, demographic information about the population (age, hearing device, group description) and objectively measured hearing loss (average pure-tone audiometric thresholds) will also be examined as subgroup descriptors and/or potential moderator(s).

Study design

Cross-sectional, longitudinal, experimental, quasi-experimental, and observational studies will be included.

Information sources

Articles must be available in English. No restrictions on publication dates will be applied.

Databases to be searched (see Table 2 for search terms): MEDLINE (via Ovid SP), EMBASE (via Ovid SP), PsycINFO (via Ovid SP), ASSIA (via ProQuest), Cumulative Index to Nursing and Allied Health Literature or CINAHL (via EBSCO Host), Scopus, PubMed, and Web of Science (Science and Social Science Citation Index). Gray literature including PhD theses, unpublished datasets and conference proceedings are eligible for inclusion.

1 Unpublished data will be accessed by contacting the corresponding authors of identified
2 records. Literature searches were carried out on 11.05.2022.

3 4 **Article selection process**

5 Two reviewers will independently screen titles and abstracts, and full texts of retrieved
6 records, against the inclusion and exclusion criteria. If insufficient information is provided in
7 the titles and abstracts to know if it should be included or if there is disagreement between the
8 two reviewers, the article will be included in the full-text screening. Disagreement at the full-
9 text screening will be resolved by a third reviewer.

10 11 **Data extraction process**

12 A data extraction form will be created and improved by pilot testing before data extraction
13 starts. The data from each study will be extracted separately by two reviewers and then
14 compared. A third reviewer will be involved if there is any disagreement. Article selection
15 and data extraction will be carried out using Covidence review management software
16 (<https://www.covidence.org/>).

17 18 **Data items**

19 The data to be extracted are: the aim, study design, setting, conflicts of interest, demographic
20 information about the population (age, hearing device, group description), sample size,
21 bibliographic information (publication year, authors, journal), correlation coefficients
22 between: self-reported listening difficulties and executive function (and [if reported] between
23 pure-tone audiometric thresholds and self-reported listening difficulties/executive function),
24 type of executive function measure, type of self-reported listening difficulty measure, and
25 (where relevant) procedure of pure-tone audiometric assessment, as well as documenting any
26 missing outcome data. We will note if both self-report and behavioural measures have been
27 completed whilst wearing a hearing device. The authors will be contacted via email if
28 sufficient detail is not reported. If data are only reported via figures, then WebPlotDigitizer
29 (<http://arohatgi.info/WebPlotDigitizer/app/>) will be used to extract data. A third reviewer
30 will be involved if there is any disagreement between data extracted.

31 32 **Study risk of bias assessment**

33 Two reviewers will assess risk of bias for each study identified by for each study using the
34 appropriate JBI critical appraisal tool. If disagreements arise a third reviewer will be
35 involved. The JBI critical appraisal tools include assessment of methodological quality, and
36 different checklists are used depending on the design of the study (e.g., cross sectional,
37 longitudinal, randomized controlled trial). For each criteria, studies will be assessed for
38 fulfilment (Yes, No, Unclear, or Not applicable).

39 40 **Data synthesis**

41 Key study characteristics will be described, including; study design, sample size, type of
42 executive function measures used. The effect to be synthesised is the relationship between
43 self-reported listening difficulties and behavioural measures of executive function defined by
44 correlation coefficients. If correlation coefficients cannot be calculated or extracted for meta-
45 analysis, study authors will be contacted to request the required information. Subgroup
46 analyses will examine (where reported) key factors of, age, category/measure of self-reported
47 listening difficulty, type of executive function measure, and type of hearing device, and pure-
48 tone audiometric thresholds. Meta-analyses will be conducted for subgroups where data for a
49 minimum of n=5 effects are available.

1 The meta-analysis will be carried out using the correlation coefficient as the outcome
2 measure. A random-effects model will be fitted to the data. We will calculate at maximum
3 one correlation coefficient per type of executive function by type of listening difficulty. If a
4 study reports multiple different correlations, there is likely to be some level of dependency in
5 the data that needs to be dealt with. To handle any dependency between effect sizes in the
6 analyses, a multilevel random-effects meta-analysis approach, as recommended by Assink
7 and Wibbelink, will be applied. [18] This approach includes one random effect for each study
8 as an addition to the random effect for each effect size. Likelihood ratio tests will compare
9 the fit of the multilevel model to the fit of the reduced models. If the multilevel random-
10 effects analysis has better fit, it will be used in all analyses, otherwise the random effects
11 model will be used.

12
13 The amount of heterogeneity (i.e., τ^2) will be estimated using the restricted maximum-
14 likelihood estimator. [19] In addition to the estimate of τ^2 , the Q-test for heterogeneity and
15 the I^2 statistic will be reported. [20, 21] In case any amount of heterogeneity is detected (i.e.,
16 $\tau^2 > 0$, regardless of the results of the Q-test), a prediction interval for the true outcomes will
17 also be provided [22]. Studentized residuals and Cook's distances will be used to examine
18 whether studies can be defined as outliers and potentially influential in the context of the
19 model. [23] Studies with a studentized residual larger than the $100 \times (1 - 0.05/(2 \times k))$ th
20 percentile of a standard normal distribution will be considered potential outliers (i.e., using a
21 Bonferroni correction with two-sided $\alpha = 0.05$ for k studies included in the meta-analysis).
22 Studies with a Cook's distance larger than the median plus six times the interquartile range of
23 the Cook's distances will be considered influential. The analysis will be carried out using R
24 and the metafor package. [24, 25]

25
26 The analysis for the secondary research question will be carried out in the same way as
27 above, with the difference that meta-regressions will be used to investigate potential
28 moderator effects. The moderators will be evaluated one by one, and if both get significant
29 effects, they will be evaluated together. The meta regression procedure will follow the
30 tutorial for meta regression on the metafor package home page. [26]

31 32 **Reporting bias assessment**

33 Funnel plots will be used to assess reporting bias. In addition, the funnel plot asymmetry will
34 be evaluated with the rank correlation test and the regression test, using the standard error of
35 the observed outcomes as predictor. [27, 28]

36 37 **Ethics and Dissemination**

38 This review does not raise any ethical issues. Results will be disseminated via scientific peer-
39 reviewed journal articles, scientific magazines, and conference presentations.

40 41 **Patient and Public Involvement**

42 Patients or the public were not involved in the creation of this review protocol.

43 44 **Study Design**

45 MeSH terms will be used in relevant databases.

46 47 **Table 2: Search terms for databases**

48 A full search strategy is provided in the supplementary file

49 MEDLINE (OVID) exp = explode the search term to include narrower more specific terms, .af. = search 50 all fields in the document
--

1. exp Hearing Loss/
2. exp Hearing/
3. exp Self Report/
4. (self report* or self-report* or questionnaire).af.
5. exp Cognition/
6. (cogniti* or executive or attention* or memory).af.
7. (inhibit* or updat* or shift*) .af.
8. 1 or 2
9. 3 or 4
10. 5 or 6 or 7
11. 8 and 9 and 10

Author Contributions

HH, AH and HD developed the study. HH and AH created the search terms. JS, AH and HH wrote the review protocol and HD wrote the meta-analysis methods. AH, HD, EI, EH and LB provided critical feedback on drafts of the protocol.

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Data statement

No datasets were generated or analysed for this protocol.

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1
2
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6 4 Social Care.
7 4
8 5

9 6 **Competing interests**

10 7 None declared
11 8

12 9 **Acknowledgements:** none.
13 10

14 11 **Patient and Public involvement**

15 12
16 13 Patients or the public were not involved in the creation of this protocol.
17 14

18 15 **Word count:** 2491 words
19 15

20 16 **References**

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40 31 with cognitive function, cognitive impairment, and dementia: A systematic review
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For peer review only

Search strategies

MEDLINE & PsycINFO (OVID) exp = explode the search term to include narrower more specific terms, .af. = search all fields in the document

1. exp Hearing Loss/
2. exp Hearing/
3. exp Self Report/
4. (self report* or self-report* or questionnaire).af.
5. exp Cognition/
6. cogniti* or executive or attention* or memory).af.
7. (inhibit* or updat* or shift*) .af.
8. 1 or 2
9. 3 or 4
10. 5 or 6 or 7
11. 8 and 9 and 10

EMBASE (OVID)

1. exp Hearing Disorders/
2. hearing.mp.
3. exp Self-Report/
4. exp Cognition/
5. 1 or 2
6. 3 and 4 and 5

PubMed & Scopus

1. hearing loss[MeSH Major Topic]
2. hearing[MeSH Major Topic]
3. self report[MeSH Major Topic]
4. self report*[Title/Abstract]
5. questionnaire[Title/Abstract]
6. cognition[MeSH Major Topic]
7. cogniti*[Title/Abstract]
8. executive[Title/Abstract]
9. attention*[Title/Abstract]
10. memory[Title/Abstract]
11. inhibi*[Title/Abstract]
12. updat*[Title/Abstract]
13. switch*[Title/Abstract]
14. 1 or 2
15. 3 or 4 or 5
16. 6 or 7 or 8 or 9 or 10 or 11 or 12 or 13
17. 14 and 15 and 16

ASSIA (via ProQuest)

1. su(Hearing Loss)
2. su(Hearing)
3. su(self-Report)
4. noft(self-report*)
5. noft(questionnaire)
6. su(cognition)
7. noft(cogniti*)
8. noft(executive)
9. noft(attention*)
10. noft(memory)
11. noft(inhibit*)

12. noft(update*)
13. noft(shift*)
14. 1 or 2
15. 3 or 4 or 5
16. 6 or 7 or 8 or 9 or 10 or 11 or 12 or 13
14. 14 and 15 and 16

Web of Science

1. (Hearing loss)
2. (Hearing)
3. (Self report)
4. KP=(Self report*)
5. KP=(questionnaire)
6. KP=(cogniti*)
7. KP=(attention*)
8. KP=(memory)
9. TS=(cognition)
10. 1 or 2
11. 3 or 4 or 5
12. 6 or 7 or 8 or 9
13. 10 and 11 and 12

CINAHL (via EBSCO)

1. MH hearing loss
2. deafness
3. hearing impairment
4. deaf
5. hard of hearing
6. MH self-report measures
7. self-report questionnaire
8. MH cognition
9. cognitive function
10. TX cogniti*
11. TX executive
12. TX attention*
13. TX memory
14. 1 or 2 or 3 or 4 or 5
15. 6 or 7
16. 8 or 9 or 10 or 11 or 12 or 13
17. 14 and 15 and 16

PRISMA-P (Preferred Reporting Items for Systematic review and Meta-Analysis Protocols) 2015 checklist: recommended items to address in a systematic review protocol*

Section and topic	Item No	Checklist item
ADMINISTRATIVE INFORMATION		
Title:		
Identification	1a	Identify the report as a protocol of a systematic review see title
Update	1b	If the protocol is for an update of a previous systematic review, identify as such see 1a
Registration	2	If registered, provide the name of the registry (such as PROSPERO) and registration number see final line of abstract
Authors:		
Contact	3a	Provide name, institutional affiliation, e-mail address of all protocol authors; provide physical mailing address of corresponding author see both author affiliations and 'contact information' provided on page 8
Contributions	3b	Describe contributions of protocol authors and identify the guarantor of the review see 'author contributions' page 8 lines 4-7
Amendments	4	If the protocol represents an amendment of a previously completed or published protocol, identify as such and list changes; otherwise, state plan for documenting important protocol amendments N/A
Support:		
Sources	5a	Indicate sources of financial or other support for the review see 'funding' page 8 lines 37-43
Sponsor	5b	Provide name for the review funder and/or sponsor see 'funding' page 8 lines 37-43
Role of sponsor or funder	5c	Describe roles of funder(s), sponsor(s), and/or institution(s), if any, in developing the protocol page 8 lines 37-43
INTRODUCTION		
Rationale	6	Describe the rationale for the review in the context of what is already known page 2 line 21 onwards
Objectives	7	Provide an explicit statement of the question(s) the review will address with reference to participants, interventions, comparators, and outcomes (PICO) see page 4 'review questions' line 41 onwards AND the PICO is on page 5 lines 9-35
METHODS		
Eligibility criteria	8	Specify the study characteristics (such as PICO, study design, setting, time frame) and report characteristics (such as years considered, language, publication status) to be used as criteria for eligibility for the review see page 5 'eligibility criteria'
Information sources	9	Describe all intended information sources (such as electronic databases, contact with study authors, trial registers or other grey literature sources) with planned dates of coverage see 'information sources' page 5-6 line 43 onwards
Search strategy	10	Present draft of search strategy to be used for at least one electronic database, including planned limits, such that it could be repeated see table 1 on page 8-9
Study records:		

Data management	11a	Describe the mechanism(s) that will be used to manage records and data throughout the review page 6 lines 14-15
Selection process	11b	State the process that will be used for selecting studies (such as two independent reviewers) through each phase of the review (that is, screening, eligibility and inclusion in meta-analysis) see page 6 see "article selection process"
Data collection process	11c	Describe planned method of extracting data from reports (such as piloting forms done independently, in duplicate), any processes for obtaining and confirming data from investigators see page 6 see "data extraction process"
Data items	12	List and define all variables for which data will be sought (such as PICO items, funding sources), any pre-planned data assumptions and simplifications page 6 see "data items"
Outcomes and prioritization	13	List and define all outcomes for which data will be sought, including prioritization of main and additional outcomes, with rationale page 5 23-27
Risk of bias in individual studies	14	Describe anticipated methods for assessing risk of bias of individual studies, including whether this will be done at the outcome or study level, or both; state how this information will be used in data synthesis see page 6 lines 32-35
Data synthesis	15a	Describe criteria under which study data will be quantitatively synthesised see data synthesis page 6 lines 38- page 7 line 1 onward
	15b	If data are appropriate for quantitative synthesis, describe planned summary measures, methods of handling data and methods of combining data from studies, including any planned exploration of consistency (such as I^2 , Kendall's τ) page 7 lines 10-27
	15c	Describe any proposed additional analyses (such as sensitivity or subgroup analyses, meta-regression) page 6 lines 45-46
	15d	If quantitative synthesis is not appropriate, describe the type of summary planned page 2 line 1
Meta-bias(es)	16	Specify any planned assessment of meta-bias(es) (such as publication bias across studies, selective reporting within studies) see page 7 lines 30-32
Confidence in cumulative evidence	17	Describe how the strength of the body of evidence will be assessed (such as GRADE) see page 6 lines 32-35

*** It is strongly recommended that this checklist be read in conjunction with the PRISMA-P Explanation and Elaboration (cite when available) for important clarification on the items. Amendments to a review protocol should be tracked and dated. The copyright for PRISMA-P (including checklist) is held by the PRISMA-P Group and is distributed under a Creative Commons Attribution Licence 4.0.**

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