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## Medication adherence is protective of decompensation and mortality in HBV-related cirrhosis patients

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Original Paper

## Medication adherence is protective of decompensation and mortality in HBV-related cirrhosis patients

Running title: Drug adherence in hepatitis B related cirrhosis

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## Abstract

### Objectives

To evaluate the medication adherence among CHB-related cirrhosis patients with decompensation and mortality, and to analyze the effect of medication adherence on the patients' prognosis.

### Participants

Two prognosis end points (decompensation and mortality) were used respectively to classify study subjects into two different case-control sets in this retrospective case-control study.

### Outcome measures

Decompensation and mortality were defined from 2007 to 2016 using a population-based medical claims database. Medication possession ratio (MPR) was used as a measure of treatment adherence.

### Results and conclusion

Between decompensated and compensated patients, longer term treatment adherence is seen higher in the compensated group versus the decompensated group: 1-year MPR ( $0.65 \pm 0.43$  vs.  $0.57 \pm 0.53$ ), and 6-month MPR ( $0.79 \pm 0.52$  vs.  $0.76 \pm 0.79$ ). On the contrary, 3-month adherence is higher in the decompensated group ( $1.00 \pm 1.15$  vs.  $0.96 \pm 0.79$ ). For patients with and without mortality, drug adherence is ubiquitously higher in the alive group regardless of follow-up length: 1-year MPR ( $0.62 \pm 0.44$  vs.  $0.50 \pm 0.51$ ), 6-month MPR ( $0.78 \pm 0.62$  vs.  $0.69 \pm 0.72$ ) and 3-month MPR ( $0.97 \pm 0.91$  vs.  $0.96 \pm 1.12$ ). After accounting for confounding variables, we find that the likelihood of complicated cirrhosis is significantly lower in more adherent patient and the benefit increases with more persistent adherence (log 1-year MPR OR: 0.75, 95% CI: 0.73-0.77). Similar results are observed for the adjusted likelihood of mortality.

Adherence to antiviral therapy lowers risk for decompensation compared with those

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4 with nonadherence, and also reduces overall risk for death in cirrhotic patients.  
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8 Keywords: cirrhosis; hepatitis B; medication adherence; prognosis  
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15 Strengths and Limitations of this study:  
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- 17 • No study has yet provided empirical evidence on the adherence rate of  
18 cirrhosis patients on antiviral therapy and quantified the level of adherence  
19 required to avert adverse outcomes.  
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- 22 • Medication possession ratio (MPR) can be a robust estimate of treatment  
23 adherence over time as it takes into account the period when patient stops and  
24 resumes medication.  
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- 27 • This is a population-based case-control study using nationwide claims data,  
28 and the distribution of general characteristics of our study subjects appears to  
29 be similar to the general demographics of HBV-related cirrhosis patients.  
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- 32 • We had no imaging data or laboratory test results to confirm the severity or the  
33 diagnosis of cirrhosis in each of our subjects.  
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- 36 • Adherent level estimated using MPR may not represent the actual medication  
37 adherence exhibited by the study subjects as it was calculated based on  
38 prescription history and not the actual uptake of medication by the patients.  
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## Introduction

With the growing prevalence of chronic diseases globally, medication adherence to therapy has become one of the highly concerned issues in recent years. Patient adherence refers to the extent to which a patient complies with the doctor's orders or recommendations given by a healthcare provider. Unfortunately, patient adherence is generally not high. Statistics indicate that only about 50% of chronic disease patients worldwide taking medications in accordance with doctors' orders. This result not only leads to poor clinical treatment results, but also increases mortality and generates substantial economic burden (Celio et al, 2018).

Cirrhosis is an irreversible liver disease characterized by poor liver function due to long-term damage like alcohol use or viral infections such as chronic hepatitis B (CHB) and chronic hepatitis C (CHC). In southeast Asian nations, CHB- and CHC-related cirrhosis is particularly prevalent (GBD 2017 Cirrhosis Collaborators, 2020). According to its natural clinical course and symptoms, cirrhosis can be divided into two stages of compensated (CC) and decompensated cirrhosis (DC). The former stage is characterized by an absence of complications, whereas the latter is an advanced condition with life-threatening conditions develop from elevated portal hypertension. DC is associated with complications such as varicose bleeding, ascites, spontaneous bacterial peritonitis, hepatorenal syndrome, and hepatic encephalopathy (EASL, 2018). Previous findings suggest that the median survival time for patients with CC is 12 years and the treatment rate is about 70-80%, while the median survival time for patients with DC is less than two years and the treatment rate is about 50-60% (D'Amico et al, 2006; Shah and Amarapurkar, 2018). Other related literature also showed that the death rate of patients with DC is 4 times that of healthy individuals, 30% of patients die within one month, and 63% of patients die within one year (Arvaniti et al., 2010).

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4 For the treatment of cirrhosis, antiviral therapy has shown positive effect on the  
5 improvement of cirrhosis and its complications (Peng et al, 2012; Piotrowski and  
6 Boron-Kaczmarek, 2017). However, treatment adherence in chronic patients is  
7 usually not high, as they may have low perception of the effectiveness or necessity of  
8 prescribed medications (Horne et al, 2013; Hayward et al, 2017). There is a scarcity  
9 of studies illustrating the benefits of maintaining adherence with viral hepatitis-related  
10 cirrhosis patients. Moreover, as many studies have discussed the advantages of good  
11 treatment adherence, no study has yet provided empirical evidence on the pragmatic  
12 adherence rate of cirrhosis patients on antiviral therapy and quantified the level of  
13 adherence required to avert adverse outcomes, e.g., how adherent are cirrhotic  
14 patients to their treatment regimens and how adherent is sufficient to prevent disease  
15 progression reduce complication risks among these patients.  
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30 The objective of present study was to first compare the medication adherence  
31 between CHB-related cirrhosis patients with and without decompensation, and to  
32 subsequently analyze the effect of medication adherence on the patients' prognosis  
33 (decompensation and mortality). To ensure that both short- and long-term adherence  
34 is considered, medication possession ratio was calculated for three-month, six-month  
35 and one-year periods.  
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## 49 **Materials and Methods**

### 50 *Study design and subject selection*

51 This is a retrospective case-control study using secondary data from  
52 administrative claims-based database released by the Health and Welfare Data  
53 Science Center, Ministry of Health and Welfare (HWDC, MOHW), Taiwan. Patients  
54 who were aged over 20 years old and diagnosed with chronic hepatitis B (ICD-9-CM:  
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070.32, 070.33, V02.61) and cirrhosis (571.4, 571.5, 571.8) were first identified from the database. To ensure that the cirrhosis of our study sample is derived from hepatitis B, patients diagnosed with the following conditions were excluded: alcoholic fatty liver cirrhosis (571.0), alcoholic cirrhosis (57.11, 571.2, 571.3), biliary cirrhosis (571.6), congenital cirrhosis (777.8), hemochromatosis (275.0), or the syphilitic cirrhosis (095.3). Observation period starts from 2007 and ends in 2016 for a total of 10 years.

Two prognosis end points were used respectively to classify study subjects into two different case-control sets: 1) presence of complications (case: decompensated cirrhosis, control: compensated cirrhosis), 2) mortality (case: dead, control: alive). Decompensation was defined when the subject had been diagnosed with at least one of the following conditions: esophageal variceal bleeding (456.0), ascites (789.5), spontaneous bacterial peritonitis (567.23), hepatorenal syndrome (572.4), and hepatic encephalopathy (572.2), while compensation was considered in the absence of any of the above complications. Mortality was ascertained if death was recorded after the date of diagnosis for cirrhosis. Each case-control set was matched 1:1 using propensity score matching according to gender, age, and post-onset medication interval. Post-onset medication interval was to ensure that the case and control patients were not too far apart in terms of their medical history (i.e., how long since they have been diagnosed with hepatitis B).

#### *Medication and adherence measurements*

Five antiviral drugs have been approved for the treatment of HBV infection in patients with relevant indications. In this study, HBV patients treated with nucleos(t)ide analogues (NUCs) were identified: lamivudine (LAM), adefovir dipivoxil (ADV), telbivudine (LdT), entecavir (ETV) and tenofovir disoproxil

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4 fumarate (TDF). Medication possession ratio (MPR) was calculated for 3, 6, and 12  
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6 months prior to the date of prognosis in patients that were being prescribed with the  
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8 antiviral medication(s). For controls, MPR was estimated for 3, 6, and 12 months  
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10 prior to the end of the observation period. MPR is a commonly-used indirect measure  
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12 of drug adherence and refers to the proportion of days a patient has a supply of drugs  
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14 during the observation period. When there are multiple medications, the amount of  
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16 prescription drugs is taken into account in the denominator. Good adherence is when  
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18 the MPR is greater than 0.75 or 0.80. However, if the MPR is higher than 1, there is  
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20 the possibility of overdose and overlap-use of the patient. Most studies use 0.80 as a  
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22 cut-off point for determining good or poor adherence.  
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### 28 *Statistical analyses*

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30 SAS version 9.4 statistical software package was used to perform statistical  
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32 analyses in this study. Patient characteristics and treatment methods were  
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34 descriptively analyzed, and the results were presented in the form of means, standard  
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36 deviations, frequencies, percentage, etc. For the distribution statistics and continuous  
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38 data comparison between the groups, Wilcoxon's rank-sum test was used. For  
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40 categorical variables such as patient traits and treatment modalities, Chi-square test or  
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42 Fisher's exact test was used to test the differences between study groups. Collinearity  
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44 test was conducted to exclude any variables with collinearity problems. Natural  
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46 logarithm (log) of MPR was also taken to standardize its distribution. Finally, for  
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48 inferential statistics, conditional logistic regression models were used to estimate the  
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50 odds of prognosis (decompensation or mortality) after accounting for MPR and other  
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52 covariates. Odds ratio (OR) and 95% confidence interval for poor prognosis are  
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54 presented as results in the included tables.  
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### *Ethics approval*

This study was approved by China Medical University and Hospital ethics committee (CMUH107-REC2-105) and was supported by the following grants: MOST 107-2314-B-039 -065 -MY3 and CMU107-Z-04.

### **Results**

From the population-based database, a total of 10,180 decompensated cirrhosis and 10,180 compensated cirrhosis patients are matched. Similarly, 9,724 patients who died from cirrhosis and 9,724 cirrhosis patients who are alive during the study period are identified and matched. In general, regardless of the prognosis (decompensation or death), medication adherence increases as time approaches closer to the time of adverse outcome (Table 1). For example, 1-year, 6-month, and 3-month MPRs in decompensated and compensated cirrhotic subjects are  $0.61\pm 0.48$ ,  $0.77\pm 0.67$ , and  $0.98\pm 0.99$ , respectively. A slightly lower MPR but similar pattern is found when we examined MPR in cirrhosis patients with and without mortality: 1-year, 6-month, and 3-month MPRs are  $0.56\pm 0.48$ ,  $0.74\pm 0.67$ , and  $0.97\pm 1.02$ . It is worthy to note that long term (>3-month) MPRs are all shown to be under 0.80.

Table 2 shows the post-matching characteristics of study subject groups divided by prognosis. In decompensated group, 34.8% (n=3,542) of patients experienced 2 or more complications, 33.6% (n=3,424) had ascites, while the compensated control group had no complications as expected. The pattern of NUC uptake differs significantly between the two groups: the top three most commonly used NUCs in decompensated patients are ETV (61.1%), LAM (14.2%), and TDF (10.4%), and ETV (59.6%), TDF (19.8%), LAM (9.58%) in compensated patients. The proportion of patients undergoing more than two NUC treatments is relatively higher in

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4 complicated cirrhosis patients (7.11% vs. 4.93%;  $p<0.001$ ). Longer term treatment  
5 adherence seems higher on average in the compensated group versus decompensated  
6 group: 1-year MPR ( $0.65\pm 0.43$  vs.  $0.57\pm 0.53$ ), and 6-month MPR ( $0.79\pm 0.52$  vs.  
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0.76 $\pm$ 0.79). On the contrary, 3-month adherence is higher in the decompensated group  
( $1.00\pm 1.15$  vs.  $0.96\pm 0.79$ ).

In the set of analysis examining mortality as outcome, the occurrence of every complication is significantly higher in cirrhosis patients who died (cases) than in those who are still alive (controls) (Table 2). Most pronounced difference is seen in two or more concurrent complications (23.5% vs. 2.93%) and ascites (15.4% vs. 4.65%). Most of the control patients do not experience any complication (88.6%) unlike the case patients with just 45.5%. Drug adherence is ubiquitously higher in the alive group regardless of length of follow-up ( $p<0.001$ ): 1-year MPR ( $0.62\pm 0.44$  vs.  $0.50\pm 0.51$ ), 6-month MPR ( $0.78\pm 0.62$  vs.  $0.69\pm 0.72$ ) and 3-month MPR ( $0.97\pm 0.91$  vs.  $0.96\pm 1.12$ ).

After accounting for confounding variables, we find that the likelihood of complicated cirrhosis is significantly lower in more adherent patients. In the results from multivariate analysis presented in Table 3, longer persistent adherence (log 1-year MPR) is associated with most apparent decreased odds of decompensation (OR: 0.75, 95% CI: 0.73-0.77,  $p<0.001$ ). Likelihood for the poor prognosis slightly elevates when adherence continues for shorter span: log 6-month MPR (OR: 0.79, 95% CI: 0.76-0.81,  $p<0.001$ ), and log 3-month MPR (OR: 0.85, 95% CI: 0.83-0.88,  $p<0.001$ ). Older age is also found to be related to slightly increased odds of decompensation ( $p<0.001$ ).

Similar results are observed for the adjusted likelihood of mortality: better adherence is also associated with lower chance of mortality particularly with longer adherence: log 1-year MPR (OR: 0.70, 95% CI: 0.68-0.72,  $p<0.001$ ), log 6-month

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4 MPR (OR: 0.72, 95% CI: 0.69-0.75,  $p < 0.001$ ), and log 3-month MPR (OR: 0.78, 95%  
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6 CI: 0.75-0.81,  $p < 0.001$ ) (Table 4). In addition, having two or more complications  
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8 considerably increases the odds of mortality by 16-fold which is much higher than the  
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10 other complication categories. Contrary to the increased risk of mortality imposed by  
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12 older age, the use of NUCs generally averts patients from the adverse event.  
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## 20 Discussion

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22 The present study provides information from a nationwide retrospective study of  
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24 cirrhosis patients 20 years and older induced by viral hepatitis B. Unfortunately,  
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26 despite Taiwan's readily accessible universal coverage health care system, patient  
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28 adherence to therapy of cirrhosis remains inadequate, particularly in the long run (i.e.,  
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30 1-year MPR: 0.56-0.61). This finding is consistent with previous studies examining  
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32 adherent behavior in CHB patients from other countries (Allard et al, 2017; Xu et al,  
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34 2018). More importantly, we demonstrated that adherent behavior generally reduces  
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36 the likelihood of poor prognosis, and the benefits of long-term adherence is evidently  
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38 more pronounced than that of the short-term. The fact that patient adherence greatly  
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40 escalates during the short time leading up to adverse outcomes indicates that patients'  
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42 healthcare seeking behaviors are still very reactive rather than proactive (i.e.,  
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44 proactive behavior should indicate a consistent pattern of medication use since the  
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46 point of diagnosis, and not particularly prior to the occurrence of complications).  
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48 Furthermore, the occurrence of complications, such as HRS and HE, exacerbates the  
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50 likelihood of mortality, especially if two or more are collectively observed.  
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55 Treatment adherence has been widely proven to be associated with better  
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57 virology response and patient-reported outcomes in patients with CHB infection prior  
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59 to the development of cirrhosis (Allard et al, 2020; Grossi et al, 2017; Lieveld et al,  
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4 2013; Younossi et al, 2019). Results of this study, however, showed that adherence to  
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6 antiviral therapy effectively prevents the development of subsequent complications  
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8 and death among HBV-related cirrhosis patients. After accounting for other  
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10 confounding characteristics such as gender, age, type of complications, and treatment  
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12 methods, this association still remained statistically significant. Therefore, we confirm  
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14 that the better the patient's medication adherence, the lower their risk of subsequent  
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16 poor prognosis. This is similar to a Taiwanese cohort study of 1315 treatment-naïve  
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18 CHB-related cirrhosis patients; it is found that 4-year ETV therapy significantly  
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20 decreases patients' risk of cirrhotic complications and all-cause mortality (Su et al,  
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22 2016). Another study conducted in Korean CHB patients revealed that poor adherence  
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24 to ETV therapy was associated with increased risk of cirrhotic complications and all-  
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26 cause mortality (Shin et al, 2018). As Taiwan offers universal coverage to healthcare  
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28 and financial support to low-income households, affordability of medication should  
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30 not be a chief barrier to nonadherence as past studies have suggested (Hayward et al,  
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32 2017; Xu et al, 2018). It is possible that, under our context of study, poor adherence  
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34 may be due to patients' low perception of treatment benefit or their reluctance to  
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36 comply without experiencing obvious symptoms (Hayward et al, 2017). Nevertheless,  
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38 there have also been studies that reported very good medication adherence to NUCs  
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40 among patients with CHB. A US study using self-reported survey found an adherence  
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42 rate of 100% in 74.1% of its subjects (Chotiyaputta et al, 2012). Another study from  
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44 the Netherlands reported 70% of CHB patients presented an adherence rate of over  
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46 80% towards entecavir (van Vlerken et al, 2015). It is possible that the factors and the  
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48 barriers associated with adherence to antiviral treatment among CHB patients are  
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50 context- and/or culture-specific (Kidd and Altman, 2000).

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58 The distribution of general characteristics of our study subjects appears to be  
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60 similar to the general demographics of HBV-related cirrhosis patients; here we find

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4 that these patients are mostly male with a mean age of approximately 60 years, which  
5 is similar to the demographics found in past studies (Hung et al., 2019; Rubin et al.,  
6 2020). Among the used NUCs, patients have been predominantly prescribed entecavir  
7 as it is still considered a first-line treatment for patients with CHB (Chien et al.,  
8 2019). The use of MPR as a measure of drug adherence has also been adopted in  
9 many previous studies (Friedman et al, 2007; Allard et al, 2020; Zhang et al, 2014). It  
10 is believed that MPR can be a robust estimate of treatment adherence over time as it  
11 takes into account the period when patient stops and resumes medication (Friedman et  
12 al, 2007).

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24 Nevertheless, our study is not without limitations. First, the primary source of  
25 data for this study was a medical claims-based database, hence, MPR was calculated  
26 based on prescription history and not the actual uptake of medication by the patients.  
27 Hence, the adherent level estimated using MPR may not represent the actual  
28 medication adherence exhibited by the study subjects. Second, although population-  
29 based claims database provided us with an opportunity to study patient adherence in a  
30 large scale, we had no imaging data or laboratory test results to confirm the severity  
31 or the diagnosis of cirrhosis in each of our subjects. Therefore, the variability in  
32 patients' conditions and their consequent probability of disease progression could not  
33 be considered. Other important factors leading up to adverse prognosis may not be  
34 explored, including time since diagnosis and lifestyle factors. Nonetheless, with the  
35 high prevalence of HBV in the context under study, we had obtained a sufficient  
36 sample size for analyses and estimates for robust results.

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53 In conclusion, findings of this study demonstrate that prompt follow-up and strict  
54 adherence to prescribed antiviral therapy should be highly endorsed in patients with  
55 HBV-related cirrhosis particularly by doctors, while a lack of adherence or non-  
56 adherence would lead to pervasive threat to patients' health, including transition to  
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4 decompensation state and possible death. We hope that findings of this study would  
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6 shed some light for future studies which may aim to investigate the rolling out of  
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8 policies targeting the context-specific factors associated with poor adherence in  
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10 cirrhosis patients, possibly including enhanced adherence counselling in clinical  
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## Summary of Study

The objective of present study was to first compare the medication adherence between CHB-related cirrhosis patients with and without decompensation, and subsequently to analyze the effect of medication adherence on the patients' prognosis (decompensation and mortality). Using medication-possession ratio as a measure for adherence, we find that patient adherence to therapy of cirrhosis remains inadequate compared with other countries. The lack of adherent behavior, particularly in the long run, may significantly diminish the potential benefits of treatment intervention.

## Acknowledgments

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## Conflicts of interests

The authors disclose no potential financial or nonfinancial conflict of interests.

## Author Statement

VCR Hsieh is guarantor of the article.

KY Fu, JA Chen, and VCR Hsieh were involved in design, data collection, interpretation and preparation of the initial manuscript.

ML Hsieh and VCR Hsieh were involved in critically appraising and revising the manuscript prior to approving the final version.

All authors approved the final version of the manuscript and the authorship list.

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**Table 1. Descriptive statistics of medication adherence and prognosis among chronic hepatitis B virus-related cirrhosis patients.**

<b>Dependent variable</b>	<b>Medication Adherence (MPR)</b>	<b>Mean</b>	<b>Standard deviation</b>	<b>Median</b>
Decompensation	3-month	<b>0.98</b>	0.99	0.93
	6-month	<b>0.77</b>	0.67	0.74
	1-year	<b>0.61</b>	0.48	0.54
Death	3-month	<b>0.97</b>	1.02	0.92
	6-month	<b>0.74</b>	0.67	0.67
	1-year	<b>0.56</b>	0.48	0.46

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**Table 2. Post-matching characteristic profile of cirrhosis subjects by prognosis (decompensation/compensation; and death/alive).**

Variable	Decompensated		Compensated		P-value	Death		Alive		P-value
	n	%	n	%		n	%	n	%	
<b>Total</b>	10,180	50.0	10,180	50.0		9,724	50.0	9,724	50.0	
<b>Sex</b>					1.00					1.00
Male	7,453	73.2	7,453	73.2		7,780	80.0	7,780	80.0	
Female	2,727	26.8	2,727	26.8		1,944	20.0	1,944	20.0	
<b>Age (mean, SD)</b>	60.1	12.0	59.7	12.0	0.06	60.4	12.0	60.0	12.0	0.06
<b>Complications</b>					<0.001					<0.001
Varicose vein bleeding	526	5.2	0	0		156	1.60	46	0.47	
Ascites	3,424	33.6	0	0		1,498	15.4	452	4.65	
SBP	414	4.07	0	0		187	1.92	57	0.59	
HRS	153	1.50	0	0		101	1.04	18	0.19	
HE	2,121	20.8	0	0		1,078	11.1	247	2.54	
Two or more	3,542	34.8	0	0		2,281	23.5	285	2.93	
None	0	0	10,180	100.0		4,423	45.5	8,619	88.6	
<b>NUCs</b>					<0.001					<0.001
LAM	1,448	14.2	975	9.58		1,352	13.9	1,117	11.5	
ADV	74	0.73	85	0.83		77	0.79	83	0.85	
LdT	656	6.44	533	5.24		759	7.81	533	5.48	
ETV	6,216	61.1	6,065	59.6		5,912	60.8	5,693	58.6	
TDF	1,062	10.4	2,020	19.8		917	9.43	1,881	19.3	
Two or more combined treatments	724	7.11	502	4.93		707	7.27	417	4.29	
<b>MPR (mean, SD)</b>										
3-month	1.00	1.15	0.96	0.79	<0.001	0.96	1.12	0.97	0.91	<0.001
6-month	0.76	0.79	0.79	0.52	<0.001	0.69	0.72	0.78	0.62	<0.001
1-year	0.57	0.53	0.65	0.43	<0.001	0.50	0.51	0.62	0.44	<0.001

Abbreviations: SBP, spontaneous bacterial peritonitis; HRS, hepatorenal syndrome; HE, hepatic encephalopathy. NUCs, nucleos(t)ide analogues; LAM, lamivudine only; ADV, adefovir dipivoxil only; LdT, telbivudine only; ETV, entecavir only; TDF, tenofovir disoproxil fumarate only; MPR, medication possession ratio.

**Table 3. Conditional logistic regression analysis of decompensation in cirrhosis patients.**

Variable	Crude			After adjusting -log Three-months MPR			After adjusting -log Six-months MPR			After adjusting -log One-year MPR						
	OR	95% CI	P-value	OR	95% CI	P-value	OR	95% CI	P-value	OR	95% CI	P-value				
<b>Medication adherence</b>																
log 3-month MPR	<b>0.85</b>	0.82	0.88	<0.001	<b>0.85</b>	0.83	0.88	<0.001								
log 6-month MPR	<b>0.78</b>	0.76	0.81	<0.001					<b>0.79</b>	0.76	0.81	<0.001				
log 1-yr MPR	<b>0.75</b>	0.73	0.77	<0.001									<b>0.75</b>	0.73	0.77	<0.001
<b>Age</b>	<b>1.04</b>	1.03	1.04	<0.001	<b>1.04</b>	1.03	1.04	<0.001	<b>1.03</b>	1.03	1.04	<0.001	<b>1.03</b>	1.03	1.04	<0.001
<b>NUCs</b>																
LAM	<b>1.08</b>	0.94	1.25	0.27	<b>1.09</b>	0.95	1.27	0.22	<b>1.12</b>	0.97	1.29	0.14	<b>1.16</b>	1.00	1.35	0.04
ADV	<b>0.63</b>	0.45	0.88	0.007	<b>0.63</b>	0.45	0.88	0.007	<b>0.64</b>	0.45	0.90	0.001	<b>0.68</b>	0.48	0.96	0.03
LdT	<b>0.88</b>	0.75	1.04	0.14	<b>0.89</b>	0.76	1.05	0.18	<b>0.92</b>	0.78	1.08	0.30	<b>0.95</b>	0.81	1.13	0.58
ETV	<b>0.73</b>	0.65	0.83	<0.001	<b>0.73</b>	0.65	0.83	<0.001	<b>0.75</b>	0.67	0.85	<0.001	<b>0.79</b>	0.70	0.89	<0.001
TDF	<b>0.38</b>	0.33	0.43	<0.001	<b>0.38</b>	0.33	0.44	<0.001	<b>0.39</b>	0.34	0.45	<0.001	<b>0.41</b>	0.36	0.48	<0.001
Two or more combined treatments	<b>1</b>				<b>1</b>				<b>1</b>				<b>1</b>			

NUCs, nucleos(t)ide analogues; LAM, lamivudine only; ADV, adefovir dipivoxil only; LdT, telbivudine only; ETV, entecavir only; TDF, tenofovir disoproxil fumarate only; MPR, medication possession ratio.

Table 4. Conditional Logistic regression analysis of mortality in cirrhosis patients.

Variable	Crude			After adjusting -log Three-months MPR			After adjusting -log Six months MPR			After adjusting -log One- year MPR		
	OR	95% CI	P- value	OR	95% CI	P- value	OR	95% CI	P- value	OR	95% CI	P- value
<b>Medication adherence</b>												
log 3-month MPR	<b>0.80</b>	0.78	0.83	<0.001	<b>0.78</b>	0.75	0.81	<0.001				
log 6-month MPR	<b>0.72</b>	0.70	0.74	<0.001					<b>0.72</b>	0.69	0.75	<0.001
log 1-yr MPR	<b>0.69</b>	0.67	0.71	<0.001								
<b>Age</b>	<b>1.04</b>	1.03	1.05	<0.001	<b>1.03</b>	1.02	1.04	<0.001	<b>1.03</b>	1.02	1.04	<0.001
<b>Complications</b>												
Varicose vein bleeding	<b>6.0</b>	4.20	8.83	<0.001	<b>6.57</b>	4.53	9.52	<0.001	<b>6.71</b>	4.62	9.76	<0.001
Ascites	<b>6.73</b>	5.90	7.67	<0.001	<b>6.58</b>	5.76	7.50	<0.001	<b>6.55</b>	5.74	7.48	<0.001
SBP	<b>7.41</b>	5.28	10.4	<0.001	<b>7.22</b>	5.12	10.2	<0.001	<b>6.93</b>	4.89	9.81	<0.001
HRS	<b>12.5</b>	7.17	21.9	<0.001	<b>12.7</b>	7.18	22.5	<0.001	<b>12.0</b>	6.71	21.4	<0.001
HE	<b>9.18</b>	7.76	10.9	<0.001	<b>8.98</b>	7.58	10.6	<0.001	<b>8.71</b>	7.34	10.3	<0.001
Two or more	<b>15.7</b>	13.5	18.2	<0.001	<b>16.1</b>	13.8	18.7	<0.001	<b>16.2</b>	13.9	18.8	<0.001
None	<b>1</b>				<b>1</b>				<b>1</b>			
<b>NUCs</b>												
LAM	<b>0.71</b>	0.61	0.82	<0.001	<b>0.71</b>	0.59	0.85	<0.001	<b>0.71</b>	0.59	0.86	<0.001
ADV	<b>0.55</b>	0.39	0.77	<0.001	<b>0.65</b>	0.43	0.97	0.04	<b>0.68</b>	0.45	1.03	0.001
LdT	<b>0.84</b>	0.71	1.00	0.04	<b>1.00</b>	0.82	1.23	0.99	<b>1.03</b>	0.84	1.27	0.74
ETV	<b>0.62</b>	0.54	0.70	<0.001	<b>0.72</b>	0.62	0.84	<0.001	<b>0.74</b>	0.63	0.86	<0.001
TDF	<b>0.29</b>	0.25	0.34	<0.001	<b>0.41</b>	0.34	0.49	<0.001	<b>0.43</b>	0.36	0.51	<0.001
Two or more combined treatments	<b>1</b>				<b>1</b>				<b>1</b>			

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5 SBP, spontaneous bacterial peritonitis; HRS, hepatorenal syndrome; HE, hepatic encephalopathy. NUCs, nucleos(t)ide analogues; LAM,  
6 lamivudine only; ADV, adefovir dipivoxil only; LdT, telbivudine only; ETV, entecavir only; TDF, tenofovir disoproxil fumarate only; MPR,  
7 medication possession ratio.  
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# Reporting checklist for case-control study.

Based on the STROBE case-control guidelines.

## Instructions to authors

Complete this checklist by entering the page numbers from your manuscript where readers will find each of the items listed below.

Your article may not currently address all the items on the checklist. Please modify your text to include the missing information. If you are certain that an item does not apply, please write "n/a" and provide a short explanation.

Upload your completed checklist as an extra file when you submit to a journal.

In your methods section, say that you used the STROBE case-control reporting guidelines, and cite them as:

von Elm E, Altman DG, Egger M, Pocock SJ, Gøtzsche PC, Vandenbroucke JP. The Strengthening the Reporting of Observational Studies in Epidemiology (STROBE) Statement: guidelines for reporting observational studies.

	Reporting Item	Page Number
<b>Title and abstract</b>		
Title	<a href="#">#1a</a> Indicate the study's design with a commonly used term in the title or the abstract	p.1
Abstract	<a href="#">#1b</a> Provide in the abstract an informative and balanced summary	p.2

of what was done and what was found

## Introduction

Background / [#2](#) Explain the scientific background and rationale for the p.4  
 rationale investigation being reported

Objectives [#3](#) State specific objectives, including any prespecified p.5  
 hypotheses

## Methods

Study design [#4](#) Present key elements of study design early in the paper p.5-6

Setting [#5](#) Describe the setting, locations, and relevant dates, including p.5-6  
 periods of recruitment, exposure, follow-up, and data collection

Eligibility criteria [#6a](#) Give the eligibility criteria, and the sources and methods of p.5-6  
 case ascertainment and control selection. Give the rationale  
 for the choice of cases and controls. For matched studies, give  
 matching criteria and the number of controls per case

Eligibility criteria [#6b](#) For matched studies, give matching criteria and the number of p.6  
 controls per case

[#7](#) Clearly define all outcomes, exposures, predictors, potential p.6-7  
 confounders, and effect modifiers. Give diagnostic criteria, if  
 applicable

Data sources / [#8](#) For each variable of interest give sources of data and details of p.5-6  
 measurement methods of assessment (measurement). Describe  
 comparability of assessment methods if there is more than one

group. Give information separately for cases and controls.

1			
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3			
4	Bias	<a href="#">#9</a>	Describe any efforts to address potential sources of bias NA
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7	Study size	<a href="#">#10</a>	Explain how the study size was arrived at p.5-6
8			
9			
10	Quantitative	<a href="#">#11</a>	Explain how quantitative variables were handled in the p.12
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12	variables		analyses. If applicable, describe which groupings were
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14			chosen, and why
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17	Statistical	<a href="#">#12a</a>	Describe all statistical methods, including those used to control p.7
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19	methods		for confounding
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23	Statistical	<a href="#">#12b</a>	Describe any methods used to examine subgroups and NA
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25	methods		interactions
26			
27			
28	Statistical	<a href="#">#12c</a>	Explain how missing data were addressed NA
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30	methods		
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33	Statistical	<a href="#">#12d</a>	If applicable, explain how matching of cases and controls was p.6
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35	methods		addressed
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39	Statistical	<a href="#">#12e</a>	Describe any sensitivity analyses NA
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41	methods		
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44	<b>Results</b>		
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47	Participants	<a href="#">#13a</a>	Report numbers of individuals at each stage of study—eg p.8
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49			numbers potentially eligible, examined for eligibility, confirmed
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51			eligible, included in the study, completing follow-up, and
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53			analysed. Give information separately for cases and controls.
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57	Participants	<a href="#">#13b</a>	Give reasons for non-participation at each stage NA
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1	Participants	<a href="#">#13c</a>	Consider use of a flow diagram	NA
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4	Descriptive data	<a href="#">#14a</a>	Give characteristics of study participants (eg demographic,	p.8
5			clinical, social) and information on exposures and potential	
6			confounders. Give information separately for cases and	
7			controls	
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14	Descriptive data	<a href="#">#14b</a>	Indicate number of participants with missing data for each	NA
15			variable of interest	
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19	Outcome data	<a href="#">#15</a>	Report numbers in each exposure category, or summary	p.8
20			measures of exposure. Give information separately for cases	
21			and controls	
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27	Main results	<a href="#">#16a</a>	Give unadjusted estimates and, if applicable, confounder-	p.8-9
28			adjusted estimates and their precision (eg, 95% confidence	
29			interval). Make clear which confounders were adjusted for and	
30			why they were included	
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37	Main results	<a href="#">#16b</a>	Report category boundaries when continuous variables were	p.8-9
38			categorized	
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42	Main results	<a href="#">#16c</a>	If relevant, consider translating estimates of relative risk into	NA
43			absolute risk for a meaningful time period	
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48	Other analyses	<a href="#">#17</a>	Report other analyses done—e.g., analyses of subgroups and	NA
49			interactions, and sensitivity analyses	
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53	<b>Discussion</b>			
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56	Key results	<a href="#">#18</a>	Summarise key results with reference to study objectives	p.10-11
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1	Limitations	<a href="#">#19</a>	Discuss limitations of the study, taking into account sources of	p.12
2			potential bias or imprecision. Discuss both direction and	
3			magnitude of any potential bias.	
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9	Interpretation	<a href="#">#20</a>	Give a cautious overall interpretation considering objectives,	p.12-13
10			limitations, multiplicity of analyses, results from similar studies,	
11			and other relevant evidence.	
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16	Generalisability	<a href="#">#21</a>	Discuss the generalisability (external validity) of the study	p.12-13
17			results	
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22	<b>Other Information</b>			
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25	Funding	<a href="#">#22</a>	Give the source of funding and the role of the funders for the	p.14
26			present study and, if applicable, for the original study on which	
27			the present article is based	
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32 None The STROBE checklist is distributed under the terms of the Creative Commons Attribution  
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34 made by the [EQUATOR Network](#) in collaboration with [Penelope.ai](#)

# BMJ Open

## Association between medication adherence and disease outcomes in hepatitis B-related cirrhosis patients: a population-based case-control study

Journal:	<i>BMJ Open</i>
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<b>Primary Subject Heading</b>:	Gastroenterology and hepatology
Secondary Subject Heading:	Epidemiology, Public health
Keywords:	EPIDEMIOLOGY, Hepatology < INTERNAL MEDICINE, PUBLIC HEALTH

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Original Paper

**Association between medication adherence and disease outcomes in hepatitis B-related cirrhosis patients: a population-based case-control study**

Running title: Drug adherence in hepatitis B related cirrhosis

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## Abstract

### Objective

To evaluate medication adherence among hepatitis B-related cirrhosis patients who developed decompensation and mortality and to examine the association between medication adherence and patients' disease outcomes.

### Design

In this retrospective case-control study, patients aged over 20 years old and diagnosed with both chronic hepatitis B and cirrhosis from 2007 to 2016 are identified using a population-based medical claims database. Two prognosis end points (decompensation and mortality) are used respectively to classify subjects into two different case-control sets. Study groups are propensity-score matched. Medication possession ratio (MPR) is used as a measure of treatment adherence for oral antiviral drugs, and conditional logistic regression models are used to estimate the odds of decompensation and mortality after accounting for MPR and other covariates.

### Results

Between decompensated and compensated patients, longer term treatment adherence is seen higher in the compensated group versus the decompensated group: 1-year MPR ( $0.65 \pm 0.43$  vs.  $0.57 \pm 0.53$ ), and 6-month MPR ( $0.79 \pm 0.52$  vs.  $0.76 \pm 0.79$ ). On the contrary, 3-month adherence is higher in the decompensated group ( $1.00 \pm 1.15$  vs.  $0.96 \pm 0.79$ ). For patients with and without mortality, drug adherence is ubiquitously higher in the alive group regardless of follow-up length: 1-year MPR ( $0.62 \pm 0.44$  vs.  $0.50 \pm 0.51$ ), 6-month MPR ( $0.78 \pm 0.62$  vs.  $0.69 \pm 0.72$ ) and 3-month MPR ( $0.97 \pm 0.91$  vs.  $0.96 \pm 1.12$ ). After accounting for confounding variables, we find that the likelihood of complicated cirrhosis is significantly lower in more adherent patients and the benefit increases with more persistent adherence (log 1-year MPR OR: 0.75, 95% CI: 0.73-0.77). Similar results are observed for the adjusted likelihood of

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4 mortality (log 1-year MPR OR: 0.70, 95% CI: 0.68-0.72).  
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## 6 Conclusions

7  
8 Long term patient adherence to antiviral therapy remains inadequate in HBV-related  
9  
10 cirrhosis patients. Their adherence to oral antiviral therapy appears to be inversely  
11  
12 associated with decompensation and mortality.  
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14

15  
16  
17 Keywords: cirrhosis; hepatitis B; medication adherence; prognosis; decompensation;  
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19 mortality  
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21

## 22 Strengths and Limitations of this study:

- 23  
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25  
26 • No study has yet provided empirical evidence on the adherence rate of HBV-  
27  
28 related cirrhosis patients on oral antiviral therapy and quantified the level of  
29  
30 adherence required to avert adverse outcomes.  
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- 33  
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35 • Medication possession ratio (MPR) can be a robust estimate of treatment  
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37 adherence over time as it takes into account the period when patient stops and  
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39 resumes medication.  
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- 42  
43  
44 • This is a population-based case-control study using nationwide medical claims  
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46 data, and the distribution of general characteristics of our study subjects  
47  
48 appears to be similar to the general demographics of HBV-related cirrhosis  
49  
50 patients.  
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- 52  
53  
54 • We have no imaging data or laboratory test results to confirm the severity or  
55  
56 the diagnosis of cirrhosis in each of our subjects.  
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59  
60 • Adherent level estimated using MPR may not represent the actual medication  
adherence exhibited by the study subjects as it is calculated based on  
prescription history and not the actual uptake of medication by the patients.

## Introduction

With the growing prevalence of chronic diseases globally, medication adherence to therapy has become one of the highly concerned issues in recent years. Patient adherence refers to the extent to which a patient complies with the doctor's orders or recommendations given by a healthcare provider. Unfortunately, patient adherence is generally not high. Statistics indicate that only about 50% of chronic disease patients worldwide taking medications in accordance with doctors' orders. This result not only leads to poor clinical treatment results, but also increases mortality and generates substantial economic burden.<sup>1</sup>

Cirrhosis is an irreversible liver disease characterised by poor liver function due to long-term damage like alcohol use or viral infections such as chronic hepatitis B (CHB) and chronic hepatitis C (CHC). In southeast Asian nations, CHB- and CHC-related cirrhosis is particularly prevalent.<sup>2</sup> According to its natural clinical course and symptoms, cirrhosis can be divided into two stages of compensated (CC) and decompensated cirrhosis (DC). The former stage is characterised by an absence of complications, whereas the latter is an advanced condition with life-threatening conditions developed from elevated portal hypertension. DC is associated with complications such as varicose bleeding, ascites, spontaneous bacterial peritonitis, hepatorenal syndrome, and hepatic encephalopathy.<sup>3</sup> Previous findings suggest that the median survival time for patients with CC is 12 years and the treatment rate is about 70-80%, while the median survival time for patients with DC is less than two years and the treatment rate is about 50-60%.<sup>4,5</sup> Other related literature also showed that the death rate of patients with DC is 4 times that of healthy individuals, 30% of patients die within one month, and 63% of patients die within one year.<sup>6</sup>

For the treatment of cirrhosis, antiviral therapy has shown positive effect on the

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4 improvement of cirrhosis and its complications.<sup>7 8</sup> However, treatment adherence in  
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6 chronic patients is usually not high, as they may have low perception of the  
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8 effectiveness or necessity of prescribed medications.<sup>9 10</sup> Under Taiwan's single payer  
9  
10 system, the National Health Insurance (NHI), hepatitis B virus (HBV) treatment is  
11  
12 placed under a pay-for-performance scheme. Oral antiviral drugs that have been  
13  
14 approved for the treatment of HBV infection are entecavir, tenofovir disoproxil  
15  
16 fumarate, tenofovir alafenamide, lamivudine, telbivudine, and adefovir dipivoxil.  
17  
18 Tenofovir alafenamide, however, was not reimbursed until 2017. These antiviral  
19  
20 drugs can be prescribed primarily based on clinician's evaluation of patient's HBsAg,  
21  
22 HBeAg, alanine aminotransferase (ALT), and HBV DNA status under the NHI Drug  
23  
24 Dispensing Items and Fee Schedule guidelines. There is a scarcity of studies  
25  
26 illustrating the benefits of maintaining adherence with viral hepatitis-related cirrhosis  
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28 patients. Moreover, as many studies have discussed the advantages of good treatment  
29  
30 adherence, no study has yet provided empirical evidence on the pragmatic adherence  
31  
32 rate of cirrhosis patients on antiviral therapy and quantified the level of adherence  
33  
34 required to avert adverse outcomes, e.g., how adherent are cirrhotic patients to their  
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36 treatment regimens and how adherent is sufficient to prevent disease progression  
37  
38 reduce complication risks among these patients.  
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44 The objective of the present study is to first compare the medication adherence  
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46 between HBV-related cirrhosis patients with and without decompensation, and to  
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48 subsequently analyse the association between medication adherence and the patients'  
49  
50 prognosis (decompensation and mortality). To ensure that both short- and long-term  
51  
52 adherence is considered, medication possession ratio was calculated for three-month,  
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54 six-month and one-year periods.  
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## Materials and Methods

### *Study design and subject selection*

This is a retrospective case-control study using secondary data from an administrative claims-based database released by the Health and Welfare Data Science Center, Ministry of Health and Welfare (HWDC, MOHW), Taiwan. Patients who were aged over 20 years old and diagnosed with chronic hepatitis B (ICD-9-CM: 070.32, 070.33, V02.61) and cirrhosis (571.4, 571.5, 571.8) between 2007 and 2016 were first identified from the database. To ensure that the cirrhosis of our study subjects is derived from hepatitis B, patients diagnosed with the following conditions were excluded: alcoholic fatty liver cirrhosis (571.0), alcoholic cirrhosis (571.1, 571.2, 571.3), biliary cirrhosis (571.6), congenital cirrhosis (777.8), hemochromatosis (275.0), or the syphilitic cirrhosis (095.3).

Two prognosis endpoints were used respectively to classify study subjects into two different case-control sets: 1) presence of complications (case: decompensated cirrhosis, control: compensated cirrhosis), 2) mortality (case: dead, control: alive). These endpoints must occur following the diagnoses of chronic hepatitis B and cirrhosis. Decompensation was defined when the subject had been diagnosed with at least one of the following conditions: esophageal variceal bleeding (456.0), ascites (789.5), spontaneous bacterial peritonitis (567.23), hepatorenal syndrome (572.4), and hepatic encephalopathy (572.2), while compensation was considered in the absence of any of the above complications. Mortality was ascertained if death was recorded after the date of diagnosis for cirrhosis. Each case-control set was matched 1:1 using propensity score matching according to gender, age, and post-onset medication interval. Post-onset medication interval was to ensure that the case and control patients were not too far apart in terms of their medical history (i.e., how long since they have been diagnosed with hepatitis B).

### *Medication and adherence measurements*

Five oral antiviral drugs have been approved for the treatment of HBV infection in patients with relevant indications. In this study, HBV patients treated with nucleos(t)ide analogues (NUCs) were identified: lamivudine (LAM), adefovir dipivoxil (ADV), telbivudine (LdT), entecavir (ETV) and tenofovir disoproxil fumarate (TDF). Medication possession ratio (MPR) was calculated for 3, 6, and 12 months prior to the date of decompensation and mortality in subjects that were being prescribed with the antiviral medication(s). Thus, there should be no concern that MPR for cirrhotic patients included in this study would be lower due to complications. For controls, MPR was estimated for 3, 6, and 12 months prior to the end of the observation period. MPR is a commonly-used indirect measure of drug adherence and refers to the proportion of days a patient has a supply of drugs during the observation period. For the objective of this study, we solely consider medications associated with HBV-related cirrhosis, and not others. Under Taiwan's national health insurance pay-for-performance payment scheme for chronic HBV therapy, antiviral medications should not overlap, as no multiple antiviral drugs should be prescribed concomitantly. Under this circumstance, patients would not be given more drugs prior to the date of next prescription. For this reason, we used MPR to estimate patient adherence, believing that the chance for multiple and overlapping drugs is unlikely (proportion-of-days-covered would be a better adherence measure if the chance of overlapping medication is high). Good adherence is when the MPR is greater than 0.75 or 0.80. Most studies use 0.80 as a cut-off point for determining good or poor adherence.

### *Statistical analyses*

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4 SAS version 9.4 statistical software package was used to perform statistical  
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6 analyses in this study. Patient characteristics and treatment methods were  
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8 descriptively analysed, and the results were presented in the form of means, standard  
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10 deviations, frequencies, percentage, etc. For the distribution statistics and continuous  
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12 data comparison between the groups, Wilcoxon's rank-sum test was used. For  
13  
14 categorical variables such as patient traits and treatment modalities, Chi-square test or  
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16 Fisher's exact test was used to test the differences between study groups. Collinearity  
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18 test was conducted to exclude any variables with collinearity problems. Natural  
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20 logarithm (log) of MPR was also taken to standardise its distribution. Finally, for  
21  
22 inferential statistics, conditional logistic regression models were used to estimate the  
23  
24 odds of prognosis (decompensation or mortality) after accounting for MPR and other  
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26 covariates. Odds ratio (OR) and 95% confidence interval for poor prognosis are  
27  
28 presented as results in the included tables.  
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### 35 *Ethics approval*

36  
37 This study was approved by China Medical University and Hospital ethics  
38  
39 committee (CMUH107-REC2-105) and was supported by the following grants:  
40  
41 MOST 107-2314-B-039-065-MY3 and CMU107-Z-04.  
42  
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47

### 48 **Results**

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50 From the population-based database, a total of 10,180 decompensated cirrhosis  
51  
52 and 10,180 compensated cirrhosis patients are matched. Similarly, 9,724 patients who  
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54 died from cirrhosis and 9,724 cirrhosis patients who were alive during the study  
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56 period are identified and matched. In general, regardless of the prognosis  
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58 (decompensation or death), medication adherence increases as time approaches closer  
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4 to the time of adverse outcome (Table 1). For example, 1-year, 6-month, and 3-month  
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6 MPRs in decompensated and compensated cirrhotic subjects are  $0.61\pm 0.48$ ,  
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8  $0.77\pm 0.67$ , and  $0.98\pm 0.99$ , respectively. A slightly lower MPR but similar pattern is  
9  
10 found when we examine MPR in cirrhosis patients with and without mortality: 1-year,  
11  
12 6-month, and 3-month MPRs are  $0.56\pm 0.48$ ,  $0.74\pm 0.67$ , and  $0.97\pm 1.02$ . It is worthy to  
13  
14 note that long term (>3-month) MPRs are all shown to be under 0.80.  
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17 Table 2 shows the post-matching characteristics of study subject groups divided  
18  
19 by prognosis. In the decompensated group, 34.8% (n=3,542) of patients experienced 2  
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21 or more complications, 33.6% (n=3,424) had ascites, while the compensated control  
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23 group had no complications as expected. The pattern of NUC uptake differs  
24  
25 significantly between the two groups: the top three most commonly used NUCs in  
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27 decompensated patients are ETV (61.1%), LAM (14.2%), and TDF (10.4%), and  
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29 ETV (59.6%), TDF (19.8%), LAM (9.58%) in compensated patients. The proportion  
30  
31 of patients undergoing more than two NUC treatments is relatively higher in  
32  
33 complicated cirrhosis patients (7.11% vs. 4.93%;  $p<0.001$ ). Longer term treatment  
34  
35 adherence seems higher on average in the compensated group versus decompensated  
36  
37 group: 1-year MPR ( $0.65\pm 0.43$  vs.  $0.57\pm 0.53$ ), and 6-month MPR ( $0.79\pm 0.52$  vs.  
38  
39  $0.76\pm 0.79$ ). On the contrary, 3-month adherence is higher in the decompensated group  
40  
41 ( $1.00\pm 1.15$  vs.  $0.96\pm 0.79$ ).  
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46 In the set of analysis examining mortality as outcome, the occurrence of every  
47  
48 complication is significantly higher in cirrhosis patients who died (cases) than in those  
49  
50 who are still alive (controls) (Table 2). Most pronounced difference is seen in two or  
51  
52 more concurrent complications (23.5% vs. 2.93%) and ascites (15.4% vs. 4.65%).  
53  
54 Most of the control patients do not experience any complication (88.6%) unlike the  
55  
56 case patients with just 45.5%. Drug adherence is ubiquitously higher in the alive  
57  
58 group regardless of length of follow-up ( $p<0.001$ ): 1-year MPR ( $0.62\pm 0.44$  vs.  
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0.50±0.51), 6-month MPR (0.78±0.62 vs. 0.69±0.72) and 3-month MPR (0.97±0.91 vs. 0.96±1.12).

After accounting for confounding variables, we find that the likelihood of complicated cirrhosis is significantly lower in more adherent patients. In the results from multivariate analysis presented in Table 3, longer persistent adherence (log 1-year MPR) is associated with most apparent decreased odds of decompensation (OR: 0.75, 95% CI: 0.73-0.77,  $p<0.001$ ). Likelihood for the poor prognosis is slightly elevated when adherence continues for shorter span: log 6-month MPR (OR: 0.79, 95% CI: 0.76-0.81,  $p<0.001$ ), and log 3-month MPR (OR: 0.85, 95% CI: 0.83-0.88,  $p<0.001$ ). Older age is also found to be related to slightly increased odds of decompensation ( $p<0.001$ ).

Similar results are observed for the adjusted likelihood of mortality: better adherence is also associated with lower chance of mortality particularly with longer adherence: log 1-year MPR (OR: 0.70, 95% CI: 0.68-0.72,  $p<0.001$ ), log 6-month MPR (OR: 0.72, 95% CI: 0.69-0.75,  $p<0.001$ ), and log 3-month MPR (OR: 0.78, 95% CI: 0.75-0.81,  $p<0.001$ ) (Table 4). In addition, having two or more complications considerably increases the odds of mortality by 16-fold which is much higher than the other complication categories. Contrary to the increased risk of mortality imposed by older age, the use of NUCs generally averts patients from the adverse event.

## Discussion

The present study provides useful information from a nationwide retrospective study of cirrhosis patients induced by viral hepatitis B. As we have observed, despite Taiwan's readily accessible universal coverage health care system, patient adherence to antiviral therapy remains inadequate, particularly in the long run (i.e., 1-year MPR:

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4 0.56-0.61). This finding is consistent with previous studies from other countries  
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6 examining adherent behaviour in CHB patients.<sup>11 12</sup> More importantly, we  
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8 demonstrate that the likelihood of poor prognosis is negatively associated with  
9  
10 adherent behaviour, and the benefits of long-term adherence are evidently more  
11  
12 pronounced than that of the short-term. The fact that patient adherence greatly  
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14 escalates during the short time leading up to adverse outcomes indicates that patients'  
15  
16 healthcare seeking behaviours are still very reactive rather than proactive (i.e.,  
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18 proactive behaviour should indicate a consistent pattern of medication use since the  
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20 point of diagnosis, and not particularly prior to the occurrence of complications).  
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22 Furthermore, the occurrence of complications, such as HRS and HE, exacerbates the  
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24 likelihood of mortality, especially if two or more are collectively observed.  
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29 Treatment adherence has been widely proven to be associated with better  
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31 virology response and patient-reported outcomes in patients with CHB infection  
32  
33 before progression to cirrhosis.<sup>13-16</sup> Results of this study, however, show that  
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35 adherence to antiviral therapy is inversely associated with the development of  
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37 subsequent complications and death among HBV-related cirrhosis patients. After  
38  
39 accounting for other confounding characteristics such as gender, age, type of  
40  
41 complications, and treatment methods, this association still remains statistically  
42  
43 significant. Therefore, we confirm that odds of subsequent poor prognosis are  
44  
45 inversely linked to patients' medication adherence. This is similar to a Taiwanese  
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47 cohort study of 1315 treatment-naïve CHB-related cirrhosis patients; it is found that  
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49 4-year ETV therapy significantly decreases patients' risk of cirrhotic complications  
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51 and all-cause mortality.<sup>17</sup> Another study conducted in Korean CHB patients reveal  
52  
53 that poor adherence to ETV therapy is associated with increased risk of cirrhotic  
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55 complications and all-cause mortality.<sup>18</sup> As Taiwan offers universal coverage to  
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57 healthcare and financial support to low-income households, affordability of  
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4 medication should not be a chief barrier to nonadherence, just as past studies have  
5 suggested.<sup>10 12</sup> It is possible that, under our context of study, poor adherence may be  
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7 due to patients' low perception of treatment benefit or their reluctance to comply  
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9 without experiencing obvious symptoms.<sup>10</sup> Nevertheless, there also have been studies  
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11 that report very good medication adherence to NUCs among patients with CHB. A US  
12  
13 study using self-reported survey found an adherence rate of 100% in 74.1% of its  
14  
15 subjects.<sup>19</sup> Another study from the Netherlands reported 70% of CHB patients  
16  
17 presented an adherence rate of over 80% towards entecavir.<sup>20</sup> It is possible that the  
18  
19 factors and the barriers associated with adherence to antiviral treatment among CHB  
20  
21 patients are context- and/or culture-specific.<sup>21</sup>  
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26 The distribution of general characteristics of our study subjects appears to be  
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28 similar to the general demographics of HBV-related cirrhosis patients; here we find  
29  
30 that these patients are mostly male with a mean age of approximately 60 years, which  
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32 is similar to the demographics found in past studies.<sup>22 23</sup> Among the used NUCs,  
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34 patients have been predominantly prescribed entecavir, since it is still considered the  
35  
36 first-line treatment for patients with CHB.<sup>24</sup> The use of MPR as a measure of drug  
37  
38 adherence has also been adopted in many previous studies.<sup>13 25 26</sup> It is believed that  
39  
40 MPR can be a robust estimate of treatment adherence over time as it takes into  
41  
42 account the period when patient stops and resumes medication.<sup>25</sup>  
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46 Nevertheless, our study is not without limitations. First, the primary source of  
47  
48 data for this study is a medical claims-based database, hence, MPR is calculated based  
49  
50 on prescription history and not the actual uptake of medication by the patients. Hence,  
51  
52 the adherent level estimated using MPR may not represent the actual medication  
53  
54 adherence exhibited by the study subjects. Second, although the population-based  
55  
56 claims database provided us with an opportunity to study patient adherence on a large  
57  
58 scale, we have no imaging data or laboratory test results to confirm the severity or the  
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4 diagnosis of cirrhosis in each of our subjects. Therefore, the variability in patients'  
5  
6 conditions and their consequent probability of disease progression cannot be  
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8 considered. Since hepatocellular carcinoma (HCC) may also cause decompensation  
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10 and mortality independent of cirrhosis, we cannot account for HCC developed before  
11  
12 the study period without available data. Thus, it is possible that we may have under-  
13  
14 estimated the effect of medication adherence (i.e., even lower odds of decompensation  
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16 and death for adherent behaviour after removing HCC-induced decompensation and  
17  
18 mortality). Other important factors leading up to adverse prognosis may not be  
19  
20 explored, including time since diagnosis and lifestyle factors. Nonetheless, with the  
21  
22 high prevalence of HBV in the context under study, we have obtained a sufficient  
23  
24 sample size for analyses and estimates for robust results.  
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28  
29 In conclusion, findings of this study demonstrate that prompt follow-up and strict  
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31 adherence to prescribed antiviral therapy should be highly endorsed in patients with  
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33 HBV-related cirrhosis particularly by doctors, while a lack of adherence or non-  
34  
35 adherence can be associated with pervasive threat to patients' health, including  
36  
37 transition to decompensation state and possible death. We hope that findings of this  
38  
39 study would shed some light for future studies which may aim to investigate the  
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41 rolling out of policies targeting the context-specific factors associated with poor  
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43 adherence in cirrhosis patients, possibly including enhanced adherence counselling in  
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45 clinical settings.  
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### 53 **Summary of Study**

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55 The objective of the present study is to first compare the medication adherence  
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57 between HBV-related cirrhosis patients with and without decompensation, and  
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59 subsequently to analyse the association between medication adherence and the  
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4 patients' prognosis (decompensation and mortality). Using medication-possession  
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6 ratio as a measure for adherence, we find that patient adherence to therapy of cirrhosis  
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8 remains inadequate compared with other countries. Patients lacking adherent  
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10 behaviour, particularly in the long run, appear to have lower potential benefits of  
11  
12 treatment intervention.  
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16

### 17 **Acknowledgments**

18  
19 We would like to thank Dr. Cheng-Yuan Peng for his valuable input and contribution  
20  
21 to this study.  
22  
23  
24  
25

### 26 **Contributors**

27  
28 KY Fu, JA Chen, and VCR Hsieh were involved in design, data collection, data  
29  
30 analysis, interpretation of results, and preparation of the initial manuscript.  
31  
32

33 ML Hsieh and VCR Hsieh were involved in critically appraising and revising the  
34  
35 manuscript prior to approving the final version.  
36

37 All authors approved the final version of the manuscript and the authorship list.

38  
39 VCR Hsieh is guarantor of the article.  
40  
41  
42  
43

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52

### 53 **Competing interests**

54  
55 None declared.  
56  
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### 60 **Patient and Public Involvement**

1  
2  
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4 Patients or the public WERE NOT involved in the design, or conduct, or reporting, or  
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6 dissemination plans of our research.  
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10 **Data availability statement**

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12 No additional data available.  
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**Table 1. Descriptive statistics of medication adherence and prognosis among chronic hepatitis B virus-related cirrhosis patients.**

<b>Dependent variable</b>	<b>Medication Adherence (MPR)</b>	<b>Mean</b>	<b>Standard deviation</b>	<b>Median</b>
Decompensation	3-month	<b>0.98</b>	0.99	0.93
	6-month	<b>0.77</b>	0.67	0.74
	1-year	<b>0.61</b>	0.48	0.54
Death	3-month	<b>0.97</b>	1.02	0.92
	6-month	<b>0.74</b>	0.67	0.67
	1-year	<b>0.56</b>	0.48	0.46

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**Table 2. Post-matching characteristic profile of cirrhosis subjects by prognosis (decompensation/compensation; and death/alive).**

Variable	Decompensated		Compensated		P-value	Death		Alive		P-value
	n	%	n	%		n	%	n	%	
<b>Total</b>	10,180	50.0	10,180	50.0		9,724	50.0	9,724	50.0	
<b>Sex</b>					1.00					1.00
Male	7,453	73.2	7,453	73.2		7,780	80.0	7,780	80.0	
Female	2,727	26.8	2,727	26.8		1,944	20.0	1,944	20.0	
<b>Age (mean, SD)</b>	60.1	12.0	59.7	12.0	0.06	60.4	12.0	60.0	12.0	0.06
<b>Complications</b>					<0.001					<0.001
Varicose vein bleeding	526	5.2	0	0		156	1.60	46	0.47	
Ascites	3,424	33.6	0	0		1,498	15.4	452	4.65	
SBP	414	4.07	0	0		187	1.92	57	0.59	
HRS	153	1.50	0	0		101	1.04	18	0.19	
HE	2,121	20.8	0	0		1,078	11.1	247	2.54	
Two or more	3,542	34.8	0	0		2,281	23.5	285	2.93	
None	0	0	10,180	100.0		4,423	45.5	8,619	88.6	
<b>NUCs</b>					<0.001					<0.001
LAM	1,448	14.2	975	9.58		1,352	13.9	1,117	11.5	
ADV	74	0.73	85	0.83		77	0.79	83	0.85	
LdT	656	6.44	533	5.24		759	7.81	533	5.48	
ETV	6,216	61.1	6,065	59.6		5,912	60.8	5,693	58.6	
TDF	1,062	10.4	2,020	19.8		917	9.43	1,881	19.3	
Two or more combined treatments	724	7.11	502	4.93		707	7.27	417	4.29	
<b>MPR (mean, SD)</b>										
3-month	1.00	1.15	0.96	0.79	<0.001	0.96	1.12	0.97	0.91	<0.001
6-month	0.76	0.79	0.79	0.52	<0.001	0.69	0.72	0.78	0.62	<0.001
1-year	0.57	0.53	0.65	0.43	<0.001	0.50	0.51	0.62	0.44	<0.001

Abbreviations: SBP, spontaneous bacterial peritonitis; HRS, hepatorenal syndrome; HE, hepatic encephalopathy. NUCs, nucleos(t)ide analogues; LAM, lamivudine only; ADV, adefovir dipivoxil only; LdT, telbivudine only; ETV, entecavir only; TDF, tenofovir disoproxil fumarate only; MPR, medication possession ratio.

**Table 3. Conditional logistic regression analysis of decompensation in cirrhosis patients.**

Variable	Crude			Adjusted log 3-month MPR			Adjusted log 6-month MPR			Adjusted log 1-year MPR		
	OR	95% CI	P-value	OR	95% CI	P-value	OR	95% CI	P-value	OR	95% CI	P-value
<b>Medication adherence</b>												
log 3-month MPR	<b>0.85</b>	0.82 0.88	<0.001	<b>0.85</b>	0.83 0.88	<0.001						
log 6-month MPR	<b>0.78</b>	0.76 0.81	<0.001				<b>0.79</b>	0.76 0.81	<0.001			
log 1-yr MPR	<b>0.75</b>	0.73 0.77	<0.001							<b>0.75</b>	0.73 0.77	<0.001
<b>Age</b>	<b>1.04</b>	1.03 1.04	<0.001	<b>1.04</b>	1.03 1.04	<0.001	<b>1.03</b>	1.03 1.04	<0.001	<b>1.03</b>	1.03 1.04	<0.001
<b>NUCs</b>												
LAM	<b>1.08</b>	0.94 1.25	0.27	<b>1.09</b>	0.95 1.27	0.22	<b>1.12</b>	0.97 1.29	0.14	<b>1.16</b>	1.00 1.35	0.04
ADV	<b>0.63</b>	0.45 0.88	0.007	<b>0.63</b>	0.45 0.88	0.007	<b>0.64</b>	0.45 0.90	0.01	<b>0.68</b>	0.48 0.96	0.03
LdT	<b>0.88</b>	0.75 1.04	0.14	<b>0.89</b>	0.76 1.05	0.18	<b>0.92</b>	0.78 1.08	0.30	<b>0.95</b>	0.81 1.13	0.58
ETV	<b>0.73</b>	0.65 0.83	<0.001	<b>0.73</b>	0.65 0.83	<0.001	<b>0.75</b>	0.67 0.85	<0.001	<b>0.79</b>	0.70 0.89	<0.001
TDF	<b>0.38</b>	0.33 0.43	<0.001	<b>0.38</b>	0.33 0.44	<0.001	<b>0.39</b>	0.34 0.45	<0.001	<b>0.41</b>	0.36 0.48	<0.001
Two or more combined treatments	<b>1</b>			<b>1</b>			<b>1</b>			<b>1</b>		

NUCs, nucleos(t)ide analogues; LAM, lamivudine only; ADV, adefovir dipivoxil only; LdT, telbivudine only; ETV, entecavir only; TDF, tenofovir disoproxil fumarate only; MPR, medication possession ratio.

Table 4. Conditional Logistic regression analysis of mortality in cirrhosis patients.

Variable	Crude			Adjusted log 3-month MPR			Adjusted log 6-month MPR			Adjusted log 1-year MPR		
	OR	95% CI	P-value	OR	95% CI	P-value	OR	95% CI	P-value	OR	95% CI	P-value
<b>Medication adherence</b>												
log 3-month MPR	<b>0.80</b>	0.78 0.83	<0.001	<b>0.78</b>	0.75 0.81	<0.001						
log 6-month MPR	<b>0.72</b>	0.70 0.74	<0.001				<b>0.72</b>	0.69 0.75	<0.001			
log 1-yr MPR	<b>0.69</b>	0.67 0.71	<0.001							<b>0.70</b>	0.68 0.72	<0.001
<b>Age</b>	<b>1.04</b>	1.03 1.05	<0.001	<b>1.03</b>	1.02 1.04	<0.001	<b>1.03</b>	1.02 1.04	<0.001	<b>1.03</b>	1.02 1.04	<0.001
<b>Complications</b>												
Varicose vein bleeding	<b>6.00</b>	4.20 8.83	<0.001	<b>6.57</b>	4.53 9.52	<0.001	<b>6.71</b>	4.62 9.76	<0.001	<b>6.65</b>	4.57 9.68	<0.001
Ascites	<b>6.73</b>	5.90 7.67	<0.001	<b>6.58</b>	5.76 7.50	<0.001	<b>6.55</b>	5.74 7.48	<0.001	<b>6.47</b>	5.66 7.40	<0.001
SBP	<b>7.41</b>	5.28 10.4	<0.001	<b>7.22</b>	5.12 10.2	<0.001	<b>6.93</b>	4.89 9.81	<0.001	<b>6.71</b>	4.73 9.54	<0.001
HRS	<b>12.5</b>	7.17 21.9	<0.001	<b>12.7</b>	7.18 22.5	<0.001	<b>12.0</b>	6.71 21.4	<0.001	<b>11.3</b>	6.30 20.4	<0.001
HE	<b>9.18</b>	7.76 10.9	<0.001	<b>8.98</b>	7.58 10.6	<0.001	<b>8.71</b>	7.34 10.3	<0.001	<b>8.45</b>	7.11 10.0	<0.001
Two or more	<b>15.7</b>	13.5 18.2	<0.001	<b>16.1</b>	13.8 18.7	<0.001	<b>16.2</b>	13.9 18.8	<0.001	<b>16.0</b>	13.7 18.6	<0.001
None	<b>1</b>			<b>1</b>			<b>1</b>			<b>1</b>		
<b>NUCs</b>												
LAM	<b>0.71</b>	0.61 0.82	<0.001	<b>0.71</b>	0.59 0.85	<0.001	<b>0.71</b>	0.59 0.86	<0.001	<b>0.74</b>	0.61 0.89	<0.001
ADV	<b>0.55</b>	0.39 0.77	<0.001	<b>0.65</b>	0.43 0.97	0.04	<b>0.68</b>	0.45 1.03	0.09	<b>0.75</b>	0.49 1.15	0.18
LdT	<b>0.84</b>	0.71 1.00	0.04	<b>1.00</b>	0.82 1.23	0.99	<b>1.03</b>	0.84 1.27	0.74	<b>1.08</b>	0.88 1.33	0.46
ETV	<b>0.62</b>	0.54 0.70	<0.001	<b>0.72</b>	0.62 0.84	<0.001	<b>0.74</b>	0.63 0.86	<0.001	<b>0.77</b>	0.66 0.91	<0.001
TDF	<b>0.29</b>	0.25 0.34	<0.001	<b>0.41</b>	0.34 0.49	<0.001	<b>0.43</b>	0.36 0.51	<0.001	<b>0.45</b>	0.37 0.54	<0.001
Two or more combined treatments	<b>1</b>			<b>1</b>			<b>1</b>			<b>1</b>		

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5 SBP, spontaneous bacterial peritonitis; HRS, hepatorenal syndrome; HE, hepatic encephalopathy. NUCs, nucleos(t)ide analogues; LAM,  
6 lamivudine only; ADV, adefovir dipivoxil only; LdT, telbivudine only; ETV, entecavir only; TDF, tenofovir disoproxil fumarate only; MPR,  
7 medication possession ratio.  
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# Reporting checklist for case-control study.

Based on the STROBE case-control guidelines.

## Instructions to authors

Complete this checklist by entering the page numbers from your manuscript where readers will find each of the items listed below.

Your article may not currently address all the items on the checklist. Please modify your text to include the missing information. If you are certain that an item does not apply, please write "n/a" and provide a short explanation.

Upload your completed checklist as an extra file when you submit to a journal.

In your methods section, say that you used the STROBE case-control reporting guidelines, and cite them as:

von Elm E, Altman DG, Egger M, Pocock SJ, Gøtzsche PC, Vandenbroucke JP. The Strengthening of Reporting of Observational Studies in Epidemiology (STROBE) Statement: guidelines for reporting observational studies.

			Page Number
<b>Title and abstract</b>			
Title	<a href="#">#1a</a>	Indicate the study's design with a commonly used term in the title or the abstract	p.1
Abstract	<a href="#">#1b</a>	Provide in the abstract an informative and balanced summary	p.2

of what was done and what was found

## Introduction

Background / [#2](#) Explain the scientific background and rationale for the p.4  
 rationale investigation being reported

Objectives [#3](#) State specific objectives, including any prespecified p.5  
 hypotheses

## Methods

Study design [#4](#) Present key elements of study design early in the paper p.5-6

Setting [#5](#) Describe the setting, locations, and relevant dates, including p.5-6  
 periods of recruitment, exposure, follow-up, and data collection

Eligibility criteria [#6a](#) Give the eligibility criteria, and the sources and methods of p.5-6  
 case ascertainment and control selection. Give the rationale  
 for the choice of cases and controls. For matched studies, give  
 matching criteria and the number of controls per case

Eligibility criteria [#6b](#) For matched studies, give matching criteria and the number of p.6  
 controls per case

[#7](#) Clearly define all outcomes, exposures, predictors, potential p.6-7  
 confounders, and effect modifiers. Give diagnostic criteria, if  
 applicable

Data sources / [#8](#) For each variable of interest give sources of data and details of p.5-6  
 measurement methods of assessment (measurement). Describe  
 comparability of assessment methods if there is more than one

group. Give information separately for cases and controls.

1			group. Give information separately for cases and controls.	
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4	Bias	<a href="#">#9</a>	Describe any efforts to address potential sources of bias	NA
5				
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7	Study size	<a href="#">#10</a>	Explain how the study size was arrived at	p.5-6
8				
9				
10	Quantitative	<a href="#">#11</a>	Explain how quantitative variables were handled in the	p.12
11	variables		analyses. If applicable, describe which groupings were	
12			chosen, and why	
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17	Statistical	<a href="#">#12a</a>	Describe all statistical methods, including those used to control	p.7
18	methods		for confounding	
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23	Statistical	<a href="#">#12b</a>	Describe any methods used to examine subgroups and	NA
24	methods		interactions	
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28	Statistical	<a href="#">#12c</a>	Explain how missing data were addressed	NA
29	methods			
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33	Statistical	<a href="#">#12d</a>	If applicable, explain how matching of cases and controls was	p.6
34	methods		addressed	
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39	Statistical	<a href="#">#12e</a>	Describe any sensitivity analyses	NA
40	methods			
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44	<b>Results</b>			
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47	Participants	<a href="#">#13a</a>	Report numbers of individuals at each stage of study—eg	p.8
48			numbers potentially eligible, examined for eligibility, confirmed	
49			eligible, included in the study, completing follow-up, and	
50			analysed. Give information separately for cases and controls.	
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57	Participants	<a href="#">#13b</a>	Give reasons for non-participation at each stage	NA
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1	Participants	<a href="#">#13c</a>	Consider use of a flow diagram	NA
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4	Descriptive data	<a href="#">#14a</a>	Give characteristics of study participants (eg demographic,	p.8
5			clinical, social) and information on exposures and potential	
6			confounders. Give information separately for cases and	
7			controls	
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14	Descriptive data	<a href="#">#14b</a>	Indicate number of participants with missing data for each	NA
15			variable of interest	
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19	Outcome data	<a href="#">#15</a>	Report numbers in each exposure category, or summary	p.8
20			measures of exposure. Give information separately for cases	
21			and controls	
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27	Main results	<a href="#">#16a</a>	Give unadjusted estimates and, if applicable, confounder-	p.8-9
28			adjusted estimates and their precision (eg, 95% confidence	
29			interval). Make clear which confounders were adjusted for and	
30			why they were included	
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37	Main results	<a href="#">#16b</a>	Report category boundaries when continuous variables were	p.8-9
38			categorized	
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42	Main results	<a href="#">#16c</a>	If relevant, consider translating estimates of relative risk into	NA
43			absolute risk for a meaningful time period	
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48	Other analyses	<a href="#">#17</a>	Report other analyses done—e.g., analyses of subgroups and	NA
49			interactions, and sensitivity analyses	
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53	<b>Discussion</b>			
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56	Key results	<a href="#">#18</a>	Summarise key results with reference to study objectives	p.10-11
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1	Limitations	<a href="#">#19</a>	Discuss limitations of the study, taking into account sources of	p.12
2			potential bias or imprecision. Discuss both direction and	
3			magnitude of any potential bias.	
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9	Interpretation	<a href="#">#20</a>	Give a cautious overall interpretation considering objectives,	p.12-13
10			limitations, multiplicity of analyses, results from similar studies,	
11			and other relevant evidence.	
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16	Generalisability	<a href="#">#21</a>	Discuss the generalisability (external validity) of the study	p.12-13
17			results	
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22	<b>Other Information</b>			
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25	Funding	<a href="#">#22</a>	Give the source of funding and the role of the funders for the	p.14
26			present study and, if applicable, for the original study on which	
27			the present article is based	
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33 None The STROBE checklist is distributed under the terms of the Creative Commons Attribution  
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