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A standardized protocol for a prospective cross-sectional multi-centre clinical utility evaluation of two dual point-of-care tests in non-clinical settings for the screening of HIV and syphilis in men who have sex with men

Journal:	<i>BMJ Open</i>
Manuscript ID	bmjopen-2021-055275
Article Type:	Protocol
Date Submitted by the Author:	12-Jul-2021
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Keywords:	Public health < INFECTIOUS DISEASES, HIV & AIDS < INFECTIOUS DISEASES, Infection control < INFECTIOUS DISEASES

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5 **A standardized protocol for a prospective cross-sectional multi-centre clinical utility**
6 **evaluation of two dual point-of-care tests in non-clinical settings for the screening of**
7 **HIV and syphilis in men who have sex with men**
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34 **Key words:** HIV; Syphilis; Public Health; Point-of-care; Men who have Sex with Men; Non-
35 Governmental Organizations; Evaluation
36

37
38 Word count: 4.300 (excluding title page, abstract, article summary, references, figures and
39 tables)
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Abstract

Introduction

Point-of-care dual tests for simultaneously detecting antibodies to HIV and syphilis (dual HIV-syphilis POCTs) have been developed recently and show encouraging performance compared with the reference tests in laboratory-based studies. As community-based voluntary, counselling and testing (CBVCT) services are effective providers of HIV and syphilis testing and counselling with high acceptability among men who have sex with men (MSM), the evaluation of the utility of these dual tests in CBVCT services is a high priority. This prospective cross-sectional study will conduct a clinical utility evaluation of two dual point-of-care tests in non-clinical settings for the screening of HIV and syphilis in MSM. This master protocol outlines the overall research approach that will be used in seven countries.

Methods and analysis

MSM presenting at CBVCT services participating in the study for HIV/STI screening will be enrolled. The (WHO pre-approved) dual POCTs to be evaluated will be SD Bioline HIV/Syphilis Duo (Abbot) and DPP HIV-Syphilis Assay (Chembio). Trained staff will collect a capillary blood sample using finger prick blood to perform both POCTs according the manufacturers' instructions. An analysis of the feasibility of introducing the dual POCT for the screening of HIV and syphilis in MSM at CBVCT services will be performed, by assessing its acceptability and usability at CBVCT service among MSM users and providers.

Ethics and dissemination

This core protocol was independently peer reviewed and approved by the Research Project Review Panel (RP2) of the WHO Department of Sexual and Reproductive Health and Research (SRH) and by the WHO Ethics Review Committee (ERC). The protocol has been adapted to individual countries and approved by RP2, ERC, and institutional review boards at each site. Results will be disseminated through peer-reviewed journals and relevant conferences.

Article summary: strengths and limitations of the study.

- To our knowledge, this is the first independent multi-country clinical-utility evaluation of dual POCTs for the screening of HIV/syphilis among men who have sex with men in non-clinical settings.
- This study will evaluate the feasibility of the introduction of dual HIV/syphilis test in community-based testing services, assessing the acceptability and the usability by users and providers of the services.
- The results of this study will reflect the attitudes of MSM users and providers of the participating CBVCT services and cannot be generalized to other CBVCT services and/or other populations.
- The study will identify opportunities to support the WHO Global Health Sector Strategy on Sexually Transmitted Infections, 2016–21.

INTRODUCTION

HIV continues to be a major global public health issue with 1,700,000 people newly infected with HIV in 2019 and an estimated 38 million people living with HIV at the end of 2019 (1). In 2019, almost one quarter (23%) of global new adult HIV infections were among men who have sex with men (MSM). This population accounted for more than 40% of new infections in Asia, the Pacific and Latin America, and nearly two thirds (64%) of new infections in western and central Europe and North America (2). Also, worldwide syphilis is a highly prevalent infection among MSM. Since 2010 number of cases of syphilis have been increasing in developed countries, with rates rising most rapidly among MSM (3). In Europe, MSM are disproportionately affected by HIV and other STIs like syphilis, accounting for 39% of all new HIV diagnoses in 2019 and more than half (51%) of diagnoses where the route of transmission was known(4), and for more than two-thirds (69%) of syphilis cases (with information on transmission category)(5).

In order to control the transmission of HIV and STIs and reduce their sequelae it is very important to provide screening or significantly enhanced testing of key populations and an accurate diagnoses in order to provide correct and early treatments (6–8). Accurate, rapid and affordable point-of-care-tests (POCTs) could increase access to testing and identification of HIV and STIs in a single patient visit, including innovative delivery options, such as on-site delivery, community-based testing, as well as self-testing at home (9).

A community-based voluntary counselling and testing (CBVCT) service is defined as any programme or service which offers voluntary HIV counselling and testing as one of its main activities, independently of clinical settings, targeted to specific groups of the population and clearly adapted and accessible to the communities to whom it is addressed (10). The CBVCT services strengthen a comprehensive prevention strategy by increasing the number of engaged at-risk individuals who both become aware of their HIV and syphilis serostatus and by providing an entry point for care and treatment (11–14). As described in the WHO consolidated guidelines on HIV testing services, community-based testing approaches may lead to earlier HIV and syphilis detection, as well as reaching people who are not routinely accessing health services, but are willing to test in a community-based HIV testing environment (15).

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3 Recently, dual tests that can be used at point-of-care for simultaneously detecting antibodies
4 to HIV and syphilis (dual HIV-syphilis POCTs) have been developed for use with finger-prick
5 capillary whole blood specimens (16). Some of these dual POCTs are now commercially
6 available. To date, they have shown an encouraging performance compared with the reference
7 tests in laboratory-based studies, but there is limited data on their utility in the field. As CBVCT
8 services are effective providers of HIV and syphilis testing and counselling with high
9 acceptability among MSM, evaluation of the utility of these dual tests in CBVCT services is a
10 high priority.
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14 The evaluation of these POCTs in a community setting is important as MSM at high risk of
15 acquiring and transmitting STIs, including HIV, might face various barriers to accessing care and
16 the CBVCTs are often their first entry point to the healthcare system. The use of POCTs in
17 CBVCTs could therefore enhance the effectiveness of outreach screening in non-clinical
18 settings because POCT results are rapidly available and reduce loss to follow-up and allow for
19 timely counselling, referral, and treatment. Syphilis can often be asymptomatic; undetected
20 syphilis can result in serious long term complications and increased risk of HIV acquisition and
21 transmission. Screening and appropriate treatment for asymptomatic individuals infected with
22 syphilis can reduce the risk of them developing serious long-term complication and interrupt
23 onward transmission to their sexual partners. In the case of HIV early diagnosis of the
24 infection is essential to ensure that patients are referred promptly for evaluation, provided
25 with treatment and linked into counselling and related support services to help them reduce
26 their risk for transmitting HIV to others.
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32 There is a lack of independent evaluation of currently available POCTs (laboratory-based,
33 clinic-based and utility evaluations), particularly in key populations and in low- and middle-
34 income settings (9). Based on this, the SRH Department of the WHO has established the global
35 ProSPeRo study (global Project on STI POCT). The overall objectives are to: i) advise WHO
36 Member States and other public health institutions on the performance characteristics of
37 commercially available STI diagnostic tests that can be used at the point-of-care; ii) assess the
38 feasibility, acceptability of POCTs by both health care providers and clients/patients; and iii)
39 support further implementation and roll-out of STI POCTs within national STI programmes by
40 the provision of technical assistance tools.
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44 ProSPeRo comprises three core components: i) a laboratory-based arm assessing the
45 performance characteristics of STI POCTs that have not yet been evaluated independently in
46 the laboratory; ii) a clinical-based component to evaluate STIs POCT performance in the field
47 compared with that of gold-standard laboratory tests among several STI high-risk and
48 vulnerable populations worldwide and; iii) a clinical-utility component assessing the feasibility
49 and acceptability of STI POCTs among MSM in non-clinical settings in four countries within the
50 WHO European region.
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54 This master protocol refers to the third component of the ProSPeRo study.
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Objectives

The primary objectives of this utility evaluation are: i) to assess the feasibility of introducing the dual POCT for the screening of HIV and syphilis in MSM at CBVCT services, by assessing its acceptability and usability among MSM users and providers of CBVCT services, and; ii) to assess the operational characteristics of the dual POCT for HIV and syphilis screening at the CBVCT services, and to compare them, if possible, with the operational characteristics of the tests that are performed routinely by the CBVCT services.

METHODS AND ANALYSIS

Study setting and design

This clinical utility evaluation is a multi-site cross-sectional study of MSM presenting at CBVCT services for HIV/STI screening. The study will be implemented across multiple countries on the basis of locally adapted protocols. For the purposes of this protocol, the term study site refers to an individual CBVCT service.

This paper is the master protocol and outlines the overall research approach which will be adapted accordingly for each site. Before implementation four CBVCT sites (from four different countries: Latvia, Slovenia, Spain and Ukraine) have been approved by the WHO in consultation with in-country researchers and providers, local authorities and WHO Country Offices (Latvia, Slovenia, Spain and Ukraine). Site selection criteria were based on: CBVCT service targeting MSM; access to a sufficiently large target population; ability to follow linkage to care within the local health services; staff capacity to perform the study in accordance with the study protocol; strong interest in working with new technologies; and offering testing for both HIV and syphilis as part of CBVCT services. A standardised site-assessment is implemented as part of the approval process for sites expressing an interest in participating. Site-specific protocols are developed with the WHO and the in-country principal investigator to agree and delineate the range of parameters and the minor changes needed to adapt the study to the local context whilst complying with this master protocol. The global ProSPeRo study is ongoing with recruitment expected to be completed in all countries by late 2021.

Study conceptual framework

The study conceptual framework has been designed following a model that explored the feasibility of the introduction of new health technology (17) (figure 1).

Regarding the CBVCT providers, the framework divides the concept of feasibility into two inter-related domains, acceptability and usability. Feasibility is defined as the process in which dual HIV/syphilis POCTs will be deployed by CBVCT providers leading to their acceptability and usability. These two domains have been further broken down into six sub-domains: learnability, willingness, suitability, satisfaction, efficacy and effectiveness (18) (table 1). The

operational characteristics that will be assessed and compared are also part of the conceptual framework: the clarity of kit instructions, the ease of use and interpretation of results are part of the learnability domain, while the waiting time for test results, the hands-on time and the training time required are part of the efficacy domain.

Regarding the CBVCT users (figure 1), the framework also divides the concept of feasibility into two inter-related domains, acceptability and usability, but these two domains are only broken down into three sub-domains: willingness, suitability and satisfaction.

These attributes work in an interrelated way to contribute to the feasibility of the introduction of a new technology. Acceptability comprises positive perceptions, beliefs, and attitudes towards dual HIV/syphilis POTCs among users and providers. Usability refers to the actions taken by the providers to apply the tool and its results to achieve specified outcomes, while usability among users refers to the actions they take to have the tests performed on themselves believing that the test is accurate and convenient. In turn, if acceptability and usability are high among both providers and users, then implementation is feasible.

Figure 1. Providers' and users' conceptual framework

Table 1. Acceptability and usability sub-domain definitions

Sub-domains	Definition
Learnability	Ability of the CBVCT providers to understand how to correctly perform the dual HIV/syphilis POTCs and accurately read the test results.
Willingness	CBVCT providers' intention to carry out a finger prick each time it is necessary, wait for the results, and refer the user when necessary. Regarding the CBVCT users, willingness has been defined as the intention to have the test performed on themselves, willingness to wait for test results, and if it is necessary, to follow the referral procedure.
Suitability	CBVCTs providers' beliefs that the test is relevant for their work and could be successfully integrated into existing services. Regarding CBVCT users, suitability has been defined as belief that the test is relevant in determining whether or not they have HIV and/or syphilis.
Satisfaction	CBVCT providers' feeling that the test is convenient to perform and that it is a process they like doing. Regarding the CBVCT users, satisfaction has been described as feeling that a test is convenient and that it is a process they would like to experience again.
Efficacy	CBVCT providers are able to make the effort and take the time to perform a test; read, interpret, and record test results and also to refer the user if required, as part of their daily routine work.
Effectiveness	The enabling organisational and supporting systems, such as training, supervision, study aids, supplies, timers, storage, and disposal are present or carried out and are integrated into existing routine protocols.

Study participants

Inclusion criteria

The target population will be MSM. The term MSM will be used to describe those males who have sex with other males, regardless of whether or not they have sex with women or have a personal or social identity associated with that behavior, such as being 'gay' or 'bisexual'. All participants have to be at least 18 years old to participate and sign a written consent. CBVCT staff participating in the study will also be asked to complete a short questionnaire to evaluate the feasibility and operational characteristics of POCTs.

Exclusion criteria

MSM who will refuse to give consent, are younger than 18 years old, and/or have previously participated in the study.

Description of the POCTs under evaluation

The tests to be evaluated will be SD Bioline HIV/Syphilis Duo (Abbott Diagnostics, United States; hereafter termed Bioline POCT) (figure x) and Chembio Dual Path Platform (DPP) HIV–Syphilis Assay (Chembio, United States; hereafter termed Chembio POCT) (figure x). Both will be single-use qualitative immunochromatographic assays for the simultaneous detection of antibodies against HIV types 1 and 2 (HIV 1/2) and/or *Treponema pallidum* (TP) in human serum, plasma, whole venous or fingerpricked blood. In 2015 the Bioline POCT was accepted for the WHO list of prequalified in vitro diagnostics (19).

Recently, the Chembio Company developed the DPP Micro Reader (MR) to complete the Chembio DPP technology and minimise error due to subjective visual interpretation (Figure 3). The DPP Micro Reader is a portable, battery-powered instrument that uses assay-specific algorithms to analyze the test and control line reflectance to determine the presence or absence of the antibodies to HIV and/or *T. pallidum* in the sample. The device is fitted to the Chembio POCT via a dedicated holder. The reader verifies the presence of the control line and measures colour intensity at each of the test line positions; it interprets the results using an algorithm including assay-specific cut-off values, and reports a positive, negative, or invalid result (20).

Figure 2. Bioline point-of-care test

Figure 3. Chembio point-of-care test kit. DPP, Dual Path Platform.

Figure 4. DPP Microreader, test device holder, DPP microreader with test device holder and test device

Study procedure

Recruitment, enrolment and consent

For each site, clients will be recruited over nine months (maximum) or until the required sample size is reached. Consecutive MSM presenting at the evaluation site or outreach settings will be informed about the study by the CBVCT provider performing the routine care (provider 1, see Figure 5 patient flowchart). If the person is interested in participating (pre-consent), another CBVCT provider (provider 2) will evaluate whether he fitted the inclusion criteria. If the potential participant fits the criteria and agrees to participate in the study, the latter CBVCT provider (provider 2) will take final consent and will perform the additional tests along with completion of the associated case report forms (CRFs). Users will be informed by the CBVCT provider and the consent form.

The participant recruitment part of the master protocol has been adapted to the specific testing procedures in the Slovenian CBVCT service. In this CBVCT service the recruitment will be done when the client comes to the site for the second time to collect the results of laboratory tests. When the client arrives to collect the results, the receptionist will inform him about the study and if he is interested he will go with provider 2, who will explain the study in detail, obtain the informed consent and perform the dual tests. Provider 3 will do the second reading blind, and then both providers will pass the results to provider 1, who is the one who sees the results of laboratory tests, views all results together and informs the client of any reactive test result.

Figura 5. Patient flowchart for a clinic-based evaluation of two dual HIV/syphilis POCTs

Specimen collection and result reading

Provider 1 will undertake a routine performance of standard tests according to local clinical procedures. If clients accept to participate, provider 2 will collect a capillary blood sample using finger prick blood to perform both POCTs according to the manufacturers' instructions; collect the required amount of capillary blood using the equipment provided in both test kits and wait the determined time (measured with a timer for each test) before reading the results. The finger is only pricked once: the first drop of blood will be used for the Bioline test and the second drop for the Chembio test. A double reader method (Reader 1-Reader 2 [R1-R2]) will be adopted for both tests to determine any variability in the interpretation of test results (21). The MR (Chembio) will be read by R2 only (provider 3). R1 (provider 2) and R2 (provider 3) will be blind to each other's results and to the standard test results (read only by provider 1).

Feasibility questionnaires

A user feasibility questionnaire (table 2) will be self-completed before and after the performance of the dual HIV/syphilis POCT and the routine tests and after the consent has been signed, but prior to receiving the tests results. A feasibility questionnaire (table 3) will be

completed by each CBVCT provider who takes part in the study once the study period has finished, or when he/she leaves the study.

Table 2. Feasibility questions for users and related sub-domains

User – feasibility questions
<i>Before the performance of POCT</i>
Willingness sub-domain
1. How long would you be willing to wait for the results of a dual test (<i>up to 20 minutes, up to 30 min, up to 1 hour, up to 2 hours, other, don't know</i>)
2. I would be willing to wait longer for the results of the dual test than for the separate tests (<i>strongly agree, agree, neither agree nor disagree, disagree, strongly disagree, don't know, don't want to answer</i>)
<i>After the performance of POCT</i>
3. Would you prefer two single tests or one dual test (<i>to check/test both infections at the same time</i>)? (<i>single, dual, it, don't know/don't care</i>)
3.1. If you prefer single test, why? (<i>don't want to be tested for HIV, don't want to be tested for syphilis, other</i>)
Suitability sub-domain
4. I trust the results of the dual HIV/syphilis test (<i>strongly agree, agree, neither agree nor disagree, disagree, strongly disagree, don't know, don't want to answer</i>)
5. I believe the results of the dual HIV/syphilis test are more reliable than the tests performed routinely in this centre (two separate rapid tests for HIV and syphilis) (<i>strongly agree, agree, neither agree nor disagree, disagree, strongly disagree, don't know, don't want to answer</i>)
Satisfaction sub-domain
6. I am more satisfied with the performance of the dual HIV/syphilis test than the separate tests for HIV and syphilis (<i>strongly agree, agree, neither agree nor disagree, disagree, strongly disagree, don't know, don't want to answer</i>)
7. In the future, I would prefer to use a dual HIV/syphilis test than two single tests to separately detect HIV and syphilis (<i>strongly agree, agree, neither agree nor disagree, disagree, strongly disagree, don't know, don't want to answer</i>)
8. I would recommend the dual HIV/syphilis test to a friend (<i>strongly agree, agree, neither agree nor disagree, disagree, strongly disagree, don't know, don't want to answer</i>)

Table 3. Feasibility questions for providers, operational characteristics and related sub-domains

Provider– feasibility questions
Learnability sub-domain
1. Overall, performing dual HIV/syphilis test is (<i>Very easy, Quite easy, Neither easy nor difficult, Quite difficult, Very difficult, Don't know, Don't want to answer</i>)
2. Correctly reading and interpreting the dual HIV/syphilis text result is (<i>Very easy, Quite easy, Neither easy nor difficult, Quite difficult, Very difficult, Don't know, Don't want to answer</i>)
3. Interpreting weak positive test result is (<i>Very easy, Quite easy, Neither easy nor difficult, Quite difficult, Very difficult, Don't know, Don't want to answer</i>)
4. The training offered was enough to perform the dual test (<i>strongly agree, agree, neither agree nor disagree, disagree, strongly disagree, don't know, don't want to answer</i>)
Willingness sub-domain
5. I am willing to perform the dual HIV/syphilis test instead of the separate HIV and syphilis tests in my CBVCT (<i>strongly agree, agree, neither agree nor disagree, disagree, strongly disagree, don't know, don't want to answer</i>)
6. Current supporting components of the study, including training, supervision and quality maintenance are sufficient to integrate the dual HIV/syphilis test into the routine activities in my CBVCT (<i>strongly agree, agree, neither agree nor disagree, disagree, strongly disagree, don't know, don't want to answer</i>)
Suitability sub-domain
7. I am confident in the results of the dual HIV/syphilis test (<i>strongly agree, agree, neither agree nor disagree, disagree, strongly disagree, don't know, don't want to answer</i>)
8. Routine dual HIV/syphilis testing should continue in my CBVCT service (<i>strongly agree, agree, neither agree nor disagree, disagree, strongly disagree, don't know, don't want to answer</i>)
9. Rapid dual HIV/syphilis tests could be successfully integrated in my CBVCT (<i>strongly agree, agree, neither agree nor disagree, disagree, strongly disagree, don't know, don't want to answer</i>)

Satisfaction sub-domain	
10. In your opinion, how do new users feel about the dual HIV/syphilis tests? (<i>Very positive, Quite positive, Neither negative nor positive, Quite negative, Very negative, Don't know, Don't want to answer</i>)	
11. Use of dual testing in this CBVCT reduces the workload (<i>strongly agree, agree, neither agree nor disagree, disagree, strongly disagree, don't know, don't want to answer</i>)	
12. Dual testing is more acceptable to users than separate HIV and syphilis tests (<i>strongly agree, agree, neither agree nor disagree, disagree, strongly disagree, don't know, don't want to answer</i>)	
13. Introducing dual HIV/syphilis tests will decrease user waiting time at the CBVCT service (<i>strongly agree, agree, neither agree nor disagree, disagree, strongly disagree, don't know, don't want to answer</i>)	
Effectiveness sub-domain	
14. The current supplier of HIV and syphilis tests will be able to provide the dual HIV/syphilis tests (<i>strongly agree, agree, neither agree nor disagree, disagree, strongly disagree, don't know, don't want to answer</i>)	
15. Dual HIV/syphilis tests can be easily integrated into the national and/or regional HIV testing guidelines (<i>strongly agree, agree, neither agree nor disagree, disagree, strongly disagree, don't know, don't want to answer</i>)	
Operational characteristics	
1. Clarity of kit instructions (<i>difficult to follow, fairly clear, very clear, excellent</i>)	Learnability sub-domain
2. Ease of use (<i>complicated, fairly easy, very easy, excellent</i>)	
3. Ease of interpretation of results (<i>difficult, fairly easy, very easy, unambiguous</i>)	
4. Rapidity of tests results (<i><20 minutes, 20-30 minutes, >30 minutes</i>)	Efficacy sub-domain
5. Hands-on time (<i><5 minutes, 5 minutes, 10 minutes</i>)	
6. Training time required (<i><30 minutes, 30 minutes, 1 hour, > 1 hour</i>)	
7. Number of tests needed to be performed before being able to feel comfortable with POCT	

Follow-up procedures

Follow-up and referral of the patients will be based on the results of the standard tests. Participants with a positive standard routine test result will be referred to the STI clinic or the reference hospital for confirmatory testing and treatment, following local guidelines. However, if the standard test result is negative, but one or both of the service providers' readings of the dual POCT(s) is positive for HIV and/or syphilis, the patient will be also referred for confirmation and treatment. Positive HIV POCT results will be preliminary and therefore must be confirmed with the conventional screening test before the diagnosis of HIV infection is conclusively established. In the case of syphilis, the result will be considered as probable active syphilis; therefore referral will be made to the reference hospital for active infection confirmation.

Outcomes

Primary outcome: Feasibility (assessed by the participant feasibility questionnaire and by the provider feasibility questionnaire). **Secondary endpoints:** Operational characteristics (assessed by the Operational characteristics of POC dual tests questionnaire); POCTs and routine tests results

Sample size

Sample size for tested individuals

The sample size calculation depends on the estimated proportion of people who have accepted to be tested by the dual POCTs for the screening of HIV and syphilis in a CBVCT service. As CBVCT services do not have such an estimate a proportion found in another study, of 81%, has been used (22). Given an 81% population acceptance rate, 300 study subjects will be sufficient to estimate the feasibility of introducing the dual POCT for HIV and syphilis, with a 95% confidence and a precision +/- 5 percent units, and anticipating a replacement rate of 20% for those CBVCT service users who decline to participate.

The sample size of the master protocol will be adapted to the number of people routinely attended at the Baltic HIV Association. In this CBVCT center, the sample size will be reduced to 150 study subjects.

Sample size for providers

It is expected that at least the 75% of the providers from the CBVCT service, who will receive the training and perform the dual POCTs for the screening of HIV and syphilis, will answer the feasibility questionnaire.

Project and data management

To ensure appropriate implementation of this master protocol, the following actions will be conducted: (i) development of site-specific study management plans including details of the roles and responsibilities of the study/evaluation team (the composition and number of study team members will be adapted at each site according to local need); ii) WHO monitoring visits and monitoring procedures to assess the progress and quality of the study at each evaluation site; iii) an internal (serum) and external (dried tube specimens) quality assurance process for ensuring accurate performance of the dual HIV/syphilis POC tests; and iv) a site-sensitive training programme for CBVCT staff in specimen collection and handling including performance and reading of the POCTs, as well as, familiarisation with the study standard operating procedures.

All data generated will be recorded using designed and piloted CRFs, which have been approved by the WHO. Paper versions will be stored securely at each study site as per local standard procedures. At regular intervals, data from these CRFs will be entered by a data manager at each site into a WHO provided secured laptop using an ad hoc online system. Once data entry is completed, local data managers will be requested to check a random allocation of 10% of the data to reduce data entry error. Archiving (including destruction) of paper versions of the CRFs will be determined by the evaluation sites' own procedures. Only the data necessary to complete the project objectives will be included in the project database. Although the data will be stored on an IP secure website and processed by the study researchers, it

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3 belongs to each patient and they will be informed of how to request the deletion of the data at
4 any time. The timeline for keeping data will be according to local and WHO policies.
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8 **Data analysis** 9

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11 The questions in each sub-domain will be likert items, most of them consisting of a discrete
12 number of choices per question among the sequence: "Strongly disagree", "Disagree", "No
13 opinion", "Agree", "Strongly agree". Some questions use other sequences of bipolar adjectives:
14 "Very easy", "Quite easy", "Neither easy nor difficult", "Quite difficult", "Very difficult".
15

16 Following the structure in the conceptual framework, the feasibility analysis will be performed
17 in 3 stages (for individual questions, sub-domains and domains): first calculate the median
18 score for each question (excluding "Don't know/Don't want to answer"), secondly the median
19 score will be calculated for all questions within a sub-domain, and lastly the total median score
20 for all questions within a domain will be reported.
21
22

23
24 In order to calculate the scores, a summated scores method will be used, calculating
25 summated scores for each individual for each sub-domain. Each total score will be divided by
26 the number of items of the sub-domain, obtaining a score ranging from 1 to 5 (from 1: highly
27 in favour to 5: highly disagree). Scores will be calculated when all questions will be answered.
28
29

30 In order to validate the reading of the dual POCTs, the concordance between the two different
31 readers will be estimated by calculating percentage of agreement (concordance) and Kappa (κ
32 for binary variables).
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36 **Contextual survey** 37

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39 A contextual survey has been developed to be sent to the principal investigators of each
40 participating CBVCT service in order to expand local contextual information about the
41 participating CBVCT services. This will facilitate interpretation of data resulting from the study
42 for each centre.
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45 The questionnaire (supplementary material) includes questions about: service characteristics
46 and daily activities; procedures followed by the service regarding HIV and Syphilis testing
47 (including confirmation and referral for those with a positive test result); research capacity;
48 and contextual information on testing, and some country sexual health indicators (laws
49 regulations and/or policies related to age of consent for sexual health counselling and testing,
50 prohibiting some sexual-related practices and sexual violence, supporting non-discrimination,
51 criminalizing or regulating sex work).
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Patient and public involvement

Patients, representatives of MSM communities and CBVCT service staff have been consulted during the development of this master protocol, specifically regarding participant recruitment and approach. Additional consultations have been held during adaptation of the master protocol to individual sites.

Ethics and dissemination

This master protocol has been independently peer reviewed and approved by the Research Project Review Panel (RP2) of the WHO Department of Sexual and Reproductive Health and Research (SRH) and by the WHO Ethics Review Committee (ERC). It has also been adapted to individual countries and approved by RP2, ERC, and institutional review boards at each site. Autonomy of the users to decide to participate in the study will be safeguarded by the division of the roles of taking pre-consent on the one hand and performing the study on the other. The final consent will be taken by the CBVCT provider who performs the test, as he/she will also check if the user fits the inclusion criteria, for confidentiality reasons. Participation involves extracting two additional drops of blood from the fingertip to perform the new HIV and syphilis dual test in addition to the standard routine tests. The records concerning the participation will be used only for the purpose of the research project. Names won't be used on any study form or label on specimens or in any report resulting from the study. At the beginning of the study, a study identification number will be given and this number will be used on the forms and on the specimens.

Discussion

Implementation of dual POCT for HIV and syphilis in community-based services for MSM represents an opportunity to scale up integrated syphilis/HIV testing for this population. Although in several CBVCT services, single POCT for HIV and syphilis are already performed, implementation of dual POCT for both infections could increase syphilis testing for those only prone to test for HIV and vice versa in the case of syphilis.

Although there has been rapid development of new POCTs for STIs in recent years and there are some promising dual POCTs for HIV/syphilis in the pipeline and others already in the market, few of them have been well evaluated in a real-life setting. This has meant that there are still no formal WHO guidance and recommendations available on the implementation of these new tools for the diagnostics of HIV/STIs at the community level.

This paper describes the master protocol of the ProSPeRo study to conduct a clinical-utility evaluation of dual POCTs for the screening of HIV and syphilis in MSM in non-clinical settings.

The results of this clinical utility evaluation, jointly with the results of the global ProSPeRo study will contribute to the advising of WHO member states and other public health institutions on the feasibility of dual POCTs for syphilis and HIV by both users and providers of CBVCT services. It will contribute to the evidence needed to develop the guidance for WHO

1
2
3 member states on STI diagnostic tests that can be used at the point-of-care and to support
4 further implementation and rollout of those POCTs within national STI programmes.
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8 **Acknowledgements**

9 The authors are grateful to members of the WHO Research Project Review Panel (RP2) and the
10 WHO Research Ethics Review Committee (WHO ERC) for their expertise and inputs regarding
11 the master and site- specific protocols for this clinic- based evaluation. Our gratitude is
12 extended to the global ProSPeRo network.
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16 **Author's contributions**

17 The first draft of the manuscript was written by LFL and JRU. IT (chief and principal
18 investigator) and RP conceived the whole ProSPeRo study. IT and JC conceived the clinical
19 utility study and JRU and LFL developed the core study protocol, based on the other core study
20 protocols of the ProSPeRo studies. The ProSPeRo network participated in the design of the
21 study.
22
23

24 IU, MV, MC, SM, WM, AP, AC, XX, MM, RB, KB, JK, SST, JC and IT led/will lead acquisition of
25 data, contributed to adaptation of the master protocol, and commented on previous versions
26 of the manuscript. All authors read and approved the final manuscript prior to submission.
27

28 *ProSPeRo Network (Project on Sexually Transmitted Infection Point-of-care Testing
29 established by the Reproductive Health and Research Department of WHO): Italy: Massimo
30 Mirandola; Latvia: Inga Upmace, Mara Vasselova; Slovenia: Mitja Čosić, Simon Maljevac; Spain:
31 Jordi Casabona, Juliana Reyes-Urueña, Laura Fernández-López, William Mejías, Ander Pazos;
32 Ukraine: Andrii Chernyshev; United Kingdom: Rosanna Peeling; WHO: Ronald Ballard, Karel
33 Blondeel, James Kiarie, Soe Soe Thwin, Igor Toskin.
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37

38 **Funding statement**

39 This work received funding from the UNDP- UNFPA- UNICEF- WHO- World Bank Special
40 Programme of Research, Development and Research Training in Human Reproduction (HRP), a
41 cosponsored programme executed by the WHO. Award/Grant number is not applicable.
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44 **Data availability statement**

45 This study which forms part of a larger programme of research co-ordinated by WHO and for
46 which WHO acts as the repository of the ensemble of the results obtained from the individual
47 projects. In view of this, all rights to the results of the study, including but not limited to
48 copyright and the right to apply for, hold and exercise patent rights in respect of any invention
49 resulting from the study, are the subject of co-ownership and responsibility between the WHO
50 and respective country sites. Dr Igor Toskin is the Chief Investigator and contact for data
51 availability queries (toskini@who.int).
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56 **Competing interests statement**

57 The POCT manufacturers disclose and furnish the WHO with the information and sufficient
58 quantities of the product(s) free of charge in order to enable this evaluation as part of the
59 WHO/RHR STI POC initiative. The WHO is entitled to evaluate and publish the trial results, and
60

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2
3 to exclusively control this evaluation and the content of the aforesaid publication. WHO shall
4 submit any proposed publication to the manufacturers for review, comments received will be
5 considered in good faith, but the decision to publish rests with the WHO.
6

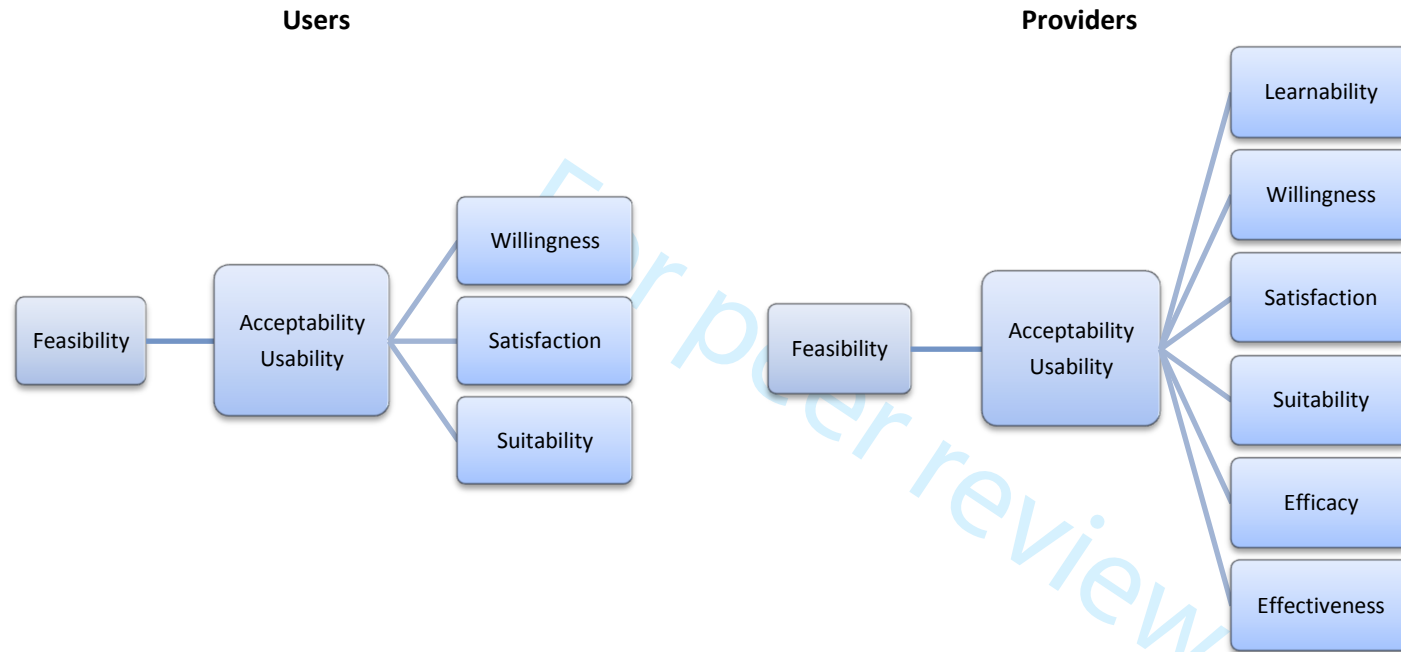
7 **Disclaimer:** Some of the authors are staff members of the World Health Organization. The
8 authors alone are responsible for the views expressed in this publication and they do not
9 necessarily represent the views, decisions or policies of the World Health Organization.
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Figure 2. Bioline point-of-care test

92x35mm (97 x 79 DPI)

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Figure 3. Chembio point-of-care test kit. DPP, Dual Path Platform.

77x39mm (150 x 150 DPI)

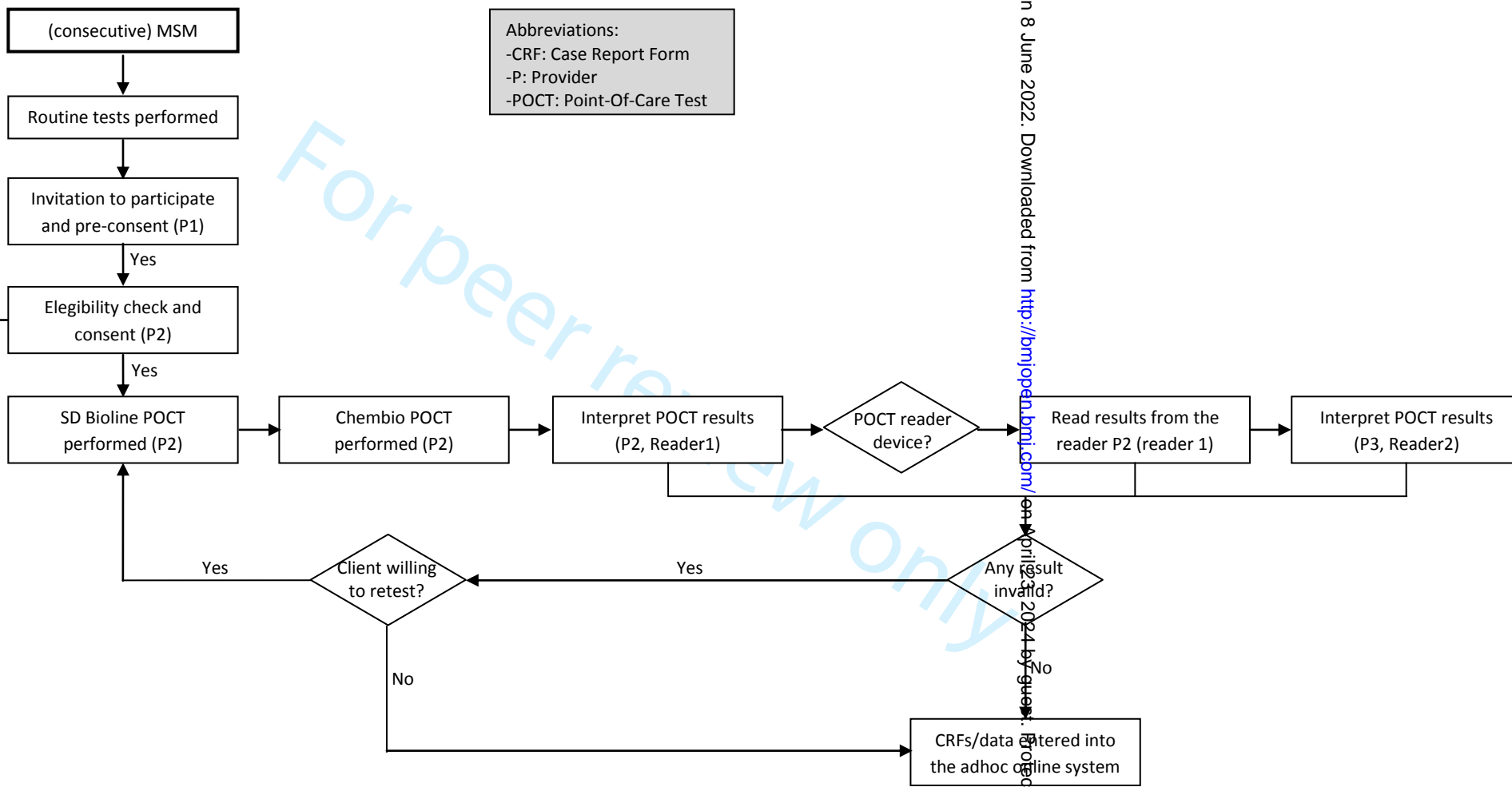
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Figure 4. DPP Microreader, test device holder, DPP microreader with test device holder and test device
192x45mm (72 x 72 DPI)

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Abbreviations:
 -CRF: Case Report Form
 -P: Provider
 -POCT: Point-Of-Care Test

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Research capacity and implementation assessment and contextual information

The following questions will help to get contextual information about your service, and to interpret accordingly the data results from the “Utility evaluation of Point-of-Care Tests in Non-Clinical Settings for the Screening of HIV and Syphilis in Men Who Have Sex with Men”.

These questions also will help the researchers understand the process your service follows in its daily activities. Please, answer each question in detail but trying to be clear and brief, and taking into account the situation of your service during the project implementation.

1. Service characteristics

1.1. How many people in total are working in the CBVCT service (including part-time, full time, temporary staff, volunteers, etc.)?

1.2. From those, how many are volunteers?

1.3. How often does a volunteer change at your service?

1.4. How many people are performing tests in your service?

1.5. From those, how many are volunteers?

1.6. Are people performing tests in your service healthcare professionals?

[1] Yes, all of them

[2] Only some of them

[3] No

[3] Other. Please specify: _____

1.7. Is it possible in your country for a lay provider to perform tests?

[1] Yes

[2] No

[3] Other. Please specify: _____

3 1.8. Who is providing the tests?

- 4 [1] Your organization is paying for the tests
5 [2] The government is providing you with the tests
6 [3] Other organization is providing you with the tests. Which one? _____
7 [4] Other:
8 Please, specify which other:
9

10 _____
11 _____
12 _____

13
14 1.9. In which settings is your CBVCT service programme implemented? (you may tick more
15 than one)

- 16 [1] NGO setting
17 [2] Outdoor setting (e.g. van, street, etc.)
18 [3] Venue setting (e.g. gay venue, sauna, disco, bar)
19 [4] Health care setting (Clinic, Hospital, Health centre, primary care centre, etc.)
20 [5] Other (specify) , i.e. intervention in door to door, care social centre
21

22 _____
23 _____
24 _____

25
26 1.10. In which settings was the project implemented? (you may tick more than one)

- 27 [1] NGO setting
28 [2] Outdoor setting (e.g. van, street, etc.)
29 [3] Venue setting (e.g. gay venue, sauna, disco, bar)
30 [4] Health care setting (Clinic, Hospital, Health centre, primary care centre, etc.)
31 [5] Other (specify), i.e. intervention in door to door, care social centre
32

33 _____
34 _____
35 _____

36
37 1.11. Which group is targeted by your programme? (you may tick more than one)

- 38 [1] MSM
39 [2] Female Sex workers
40 [3] Male Sex workers
41 [4] IDU
42 [5] Male migrants
43 [6] Female migrants
44 [7] Transsexual/transgender
45 [8] Young people
46 [9] Other. Please specify: _____
47

48 Please specify which one is the main group and ages
49

50 _____
51 _____
52 _____

53
54 1.12. How your service guaranty confidentiality of clients?
55

56 _____
57 _____
58 _____
59 _____



3 1.13. Is your service able to storage any personal record from the clients, in order to
4 assess the degree of linkage to care in the case of a HIV positive confirmatory diagnosis
5 or a new syphilis infection?

6 [1] Yes

7 [2] No

8 [3] Other. Please specify: _____
9 _____
10 _____
11 _____
12 _____
13 _____
14 _____

15 **2. Procedures followed by your service**

16 **HIV**

17 2.1. Which type of HIV tests is your service using routinely?

18 [1] Conventional laboratory tests (samples collected at the service are sent to the lab)

19 [2] Rapid blood test

20 [3] Rapid oral test

21 [4] Other. Please specify: _____
22 _____
23 _____
24 _____
25 _____

26 Please specify the name of the test used:
27 _____
28 _____
29 _____

30 2.2. How long does it take a user's visit at your centre, including testing and counselling?

31 [1] Less than 30 minutes

32 [2] 30-45 minutes

33 [3] 45-60 minutes

34 [4] 60-90 minutes

35 [5] More than 90 minutes

36 [6] Other. Please specify: _____
37 _____
38 _____

39 2.3. How long does the counselling take place in your service, including pre and post-test,
40 in the case of **a negative result**?

41 [1] Less than 15 minutes

42 [2] 15-30 minutes

43 [3] 30-45 minutes

44 [4] 45-60 minutes

45 [5] More than 60 minutes

46 [6] Other. Please specify: _____
47 _____
48 _____

49 2.4. How long does the counselling take place in your service, including pre and post-test,
50 in the case of **a positive result**?

51 [1] Less than 15 minutes

52 [2] 15-30 minutes

53 [3] 30-45 minutes

54 [4] 45-60 minutes

55 [5] More than 60 minutes

56 [6] Other. Please specify: _____
57 _____
58 _____
59 _____
60 _____

3 2.5. In the case of a HIV reactive test, where is the confirmatory test performed?

4 [1] In our service

5 [2] We have to refer the client to a laboratory

6 [3] We have to refer the client to the HIV specialist

7 [4] We have to refer the client to the GP

8 [4] Other. Please specify: _____

10 2.6. In the case that you have to refer a client for the confirmatory test, there is in place
11 some referral mechanism?

12 [1] Yes

13 [2] No

14 [3] Other. Please specify: _____

17 2.7. In the case of a HIV positive confirmatory test, there is in place some referral
18 mechanism to refer a client to health care (HIV specialist)?

19 [1] Yes

20 [2] No

21 [3] Other. Please specify: _____

22 2.8.

23 2.9. Does your service retrieve the information related to linkage to care?

24 [1] Yes

25 [2] No

26 [3] Other. Please specify: _____

27 2.10.

28 2.11. Is the client accompanied into the Health care centre for treatment and care?

29 [1] Yes

30 [2] No

31 [3] Other. Please specify: _____

32 **Syphilis**

33 2.12. Which type of Syphilis tests is your service using routinely?

34 [1] Conventional laboratory tests (samples collected at the service are sent to the lab)

35 [2] Rapid test

36 [3] Other. Please specify: _____

37 Please specify the name of the test used:
38 _____

39 2.13. In the case of a Syphilis reactive test, where is the confirmatory test
40 performed?

41 [1] In our service

42 [2] We have to refer the client to a laboratory

43 [3] We have to refer the client to the HIV specialist

44 [4] We have to refer the client to the GP

45 [4] Other. Please specify: _____



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2.14. In the case that you have to refer a client for a syphilis confirmatory test, there is in place some referral mechanism??

- [1] Yes
- [2] No
- [3] Other. Please specify: _____

2.15. In the case of Syphilis, does your service retrieve the information related to linkage to care?

- [1] Yes
- [2] No
- [3] Other. Please specify: _____

2.16.

2.17. Is the client accompanied into the Health care centre for treatment and care in the case of Syphilis?

- [1] Yes
- [2] No
- [3] Other. Please specify: _____

Other tests

2.18. Is your service providing testing for other infections apart from HIV and syphilis?

- [1] Yes
 - [2] No
- If yes, which ones?
- _____
- _____
- _____

3. Research Capacity

3.1. Has your service been involved in some research study previously?

- [1] Yes
- [2] No
- [3] Other. Please specify: _____

3.2. If your answer is yes, please explain the main objective of the project, type and time of engagement and role. If your service has been involved in more than one project, please explain the main objective of the projects were the service has participated in the last five years.

3 3.3. Has your service been involved in a research project previously comparing other
4 testing methods or devices?

5 [1] Yes

6 [2] No

7 [3] Other. Please specify: _____
8
9

10 3.4. If your answer is yes, please explain in detail.
11
12
13
14

15 3.5. Please, explain how your service adapted this project to the services daily
16 activities?
17
18
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21

22 3.6. Please, explain how the providers of your service were organized to participate
23 in the study. Were the three providers always the same? They changed their roles among
24 them?
25
26
27
28
29

30 3.7. Please explain provider number one's profile and background
31
32
33
34

35 3.8. Please explain provider number two's profile and background
36
37
38
39
40

41 3.9. Please explain provider number three's profile and background
42
43
44
45
46
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48 **4. Contextual information**
49

50 **Testing**

51 4.1. How many CBVCT services are in your city?
52
53
54
55
56
57

58 4.2. How many CBVCT services are in your country?
59
60



4.3. How many hours per week are your service offering testing?

4.4. How testing is provided in your service?

- [1] Only by appointment
- [2] By appointment and also without appointment
- [3] Only without appointment
- [4] Other:
Please, specify which other:

Age of consent

4.5. In your country, are there any laws, regulations, policies and/or strategies that address legally minor adolescents' consent to:

4.5.1.HIV counselling and testing (HCT):

- [1] No
- [2] Yes. Please specify the age of consent: _____
- [3] Don't know

4.5.2.STI diagnosis and treatment:

- [1] No
- [2] Yes. Please specify the age of consent: _____
- [3] Don't know

4.5.3.Sexual health counselling:

- [1]No
- [2] Yes. Please specify the age of consent: _____
- [3] Don't know

4.5.4.Sexual violence/ sexual abuse services:

- [1] No
- [2] Yes. Please specify the age of consent: _____
- [3] Don't know

Prohibition of sexual-related practices

4.6. In your country, are there any laws, regulations and/or policies prohibiting specific sexuality-related practices below a certain age and/or for all:

4.6.1.Sexual activity outside marriage

- [1] No
- [2] Yes for all

- 3 [3] Yes only below a certain age. Please, specify the age:
 4 [4] Don't know

6 4.6.2.Cohabitation of nonmarried couples (hetero/homosexual)

- 7 [1] No
 8 [2] Yes for all
 9 [3] Yes only below a certain age. Please, specify the age:
 10 [4] Don't know

13 4.6.3.Sex between men

- 14 [1] No
 15 [2] Yes for all
 16 [3] Yes only below a certain age. Please, specify the age:
 17 [4] Don't know

20 4.6.4.Same sex civil union/marriage

- 21 [1] No
 22 [2] Yes for all
 23 [3] Yes only below a certain age. Please, specify the age:
 24 [4] Don't know

26 **Non-discrimination**

28 4.7. In your country, are there any laws, regulations and/or policies supporting non-discrimination on grounds of: (please indicate all the option that apply)

- 31 [1] Sex
 32 [2] Sexual orientation
 33 [3] Gender identity
 34 [4] Race/ethnicity
 35 [5] Marital status
 36 [6] HIV status
 37 [7] Involvement in sex work
 38 [8] Others. Please specify: _____

42 4.8. Please ascertain the existence, in your country, of laws that foster equal opportunities for marginalized populations such as:(please indicate all the options that apply)

- 45 [1] Adolescents
 46 [2] People living with HIV/AIDS
 47 [3] Men who have sex with men
 48 [4] Transgender people
 49 [5] Intersex people
 50 [6] Migrants
 51 [7] Indigenous populations
 52 [8] Sex workers

58 **Sex work**

4.9. In your country, are there laws, regulations and/or policies concerning sex work that:(please indicate all the options that the answer is yes)

- [1] Criminalize sex workers
- [2] Criminalize consumers of sex work
- [3] Criminalize pimping
- [4] Regulate sex work through zoning
- [5] Regulate sex work through brothels
- [6] Regulate sex work through mandatory health checks
- [7] Protect sex work as labour

Sexual violence

4.10. In your country, are there formal/customary laws, regulations and/or policies prohibiting the following forms of sexual violence:(please indicate all the options that the answer is yes)

- [1] Sexual violence/sexual assault
- [2] Intimate partner violence
- [3] Rape, of males
- [4] Rape of transgender people
- [5] Violence directed at people because of real or perceived sexual practices, behaviour or expression
- [6] Sexual harassment
- [7] Forced sterilization
- [8] Trafficking
- [9] Forced prostitution

Training standards

4.11. In your country, are there available standards/curricula for training in sexuality counselling?

- [1] No
- [2] Yes

4.12. If yes, are those standards/curricula considering the following issues? (please indicate all the options that the answer is yes)

- [1] sex/gender
- [2] age
- [3] sexual orientation

[4] gender identity

Counselling standards

3 4.13. In your country, is there available a strategy to provide sexuality counselling
4 through public services?
5 [1] No
6 [2] Yes
7 [3] Don't know
8
9

10 4.14. If yes, is this strategy considering the following issues? (please indicate all the
11 options that the answer is yes)
12 [1] sex/gender
13 [2] sexual orientation
14 [3] gender identity
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20 Notes:

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For peer review only

BMJ Open

A standardized protocol for a prospective cross-sectional multi-centre clinical utility evaluation of two dual point-of-care tests in non-clinical settings for the screening of HIV and syphilis in men who have sex with men

Journal:	<i>BMJ Open</i>
Manuscript ID	bmjopen-2021-055275.R1
Article Type:	Protocol
Date Submitted by the Author:	03-Dec-2021
Complete List of Authors:	Fernández-López, Laura; Departament de Salut, Centre of Epidemiological Studies of HIV/AIDS and STI of Catalonia (CEEISCAT)
Primary Subject Heading:	Infectious diseases
Secondary Subject Heading:	Sexual health, Public health
Keywords:	Public health < INFECTIOUS DISEASES, HIV & AIDS < INFECTIOUS DISEASES, Infection control < INFECTIOUS DISEASES

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5 **A standardized protocol for a prospective cross-sectional multi-centre clinical utility**
6 **evaluation of two dual point-of-care tests in non-clinical settings for the screening of**
7 **HIV and syphilis in men who have sex with men**
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9

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33
34 **Key words:** HIV; Syphilis; Public Health; Point-of-care; Men who have Sex with Men; Non-
35 Governmental Organizations; Evaluation
36

37
38 Word count: 4.300 (excluding title page, abstract, article summary, references, figures and
39 tables)
40
41

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Abstract

Introduction

Point-of-care dual tests for simultaneously detecting antibodies to HIV and syphilis (dual HIV-syphilis POCTs) have been developed recently and show encouraging performance compared with the reference tests in laboratory-based studies. As community-based voluntary, counselling and testing (CBVCT) services are effective providers of HIV and syphilis testing and counselling with high acceptability among men who have sex with men (MSM), the evaluation of the utility of these dual tests in CBVCT services is a high priority. This prospective cross-sectional study will conduct a clinical utility evaluation of two dual point-of-care tests in non-clinical settings for the screening of HIV and syphilis in MSM. This master protocol outlines the overall research approach that will be used in four countries.

Methods and analysis

MSM presenting at CBVCT services participating in the study for HIV/STI screening will be enrolled. The (WHO pre-approved) dual POCTs to be evaluated will be SD Bioline HIV/Syphilis Duo (Abbot) and DPP HIV-Syphilis Assay (Chembio). Trained staff will collect a capillary blood sample using finger prick blood to perform both POCTs according the manufacturers' instructions. An analysis of the feasibility of introducing the dual POCT for the screening of HIV and syphilis in MSM at CBVCT services will be performed, by assessing its acceptability and usability at CBVCT service among MSM users and providers.

Ethics and dissemination

This core protocol was independently peer reviewed and approved by the Research Project Review Panel (RP2) of the WHO Department of Sexual and Reproductive Health and Research (SRH) and by the WHO Ethics Review Committee (ERC). The protocol has been adapted to individual countries and approved by RP2, ERC, and institutional review boards at each site. Results will be disseminated through peer-reviewed journals and relevant conferences.

Article summary: strengths and limitations of the study.

- To our knowledge, this is the first independent multi-country clinical-utility evaluation of dual POCTs for the screening of HIV/syphilis among men who have sex with men in non-clinical settings.
- This study will evaluate the feasibility of the introduction of dual HIV/syphilis test in community-based testing services, assessing the acceptability and the usability by users and providers of the services.
- The study design uses a conceptual framework that considers different attributes working in an interrelated way to contribute to the feasibility of the introduction of dual HIV/syphilis POCTs in CBVCT services for the MSM screening, allowing a more accurate analysis of the feasibility.
- Despite all the benefits of dual HIV/syphilis POCTs for MSM users of CBVCT services, it should be noted that treponemal antibodies persist after successful syphilis treatment, so additional confirmatory tests may be required to correctly identify active infections. The results of this study will reflect the attitudes of MSM users and providers of the participating CBVCT services and cannot be generalized to other CBVCT services and/or other populations.

INTRODUCTION

HIV continues to be a major global public health issue with 1,700,000 people newly infected with HIV in 2019 and an estimated 38 million people living with HIV at the end of 2019(1). In 2019, almost one quarter (23%) of global new adult HIV infections were among men who have sex with men (MSM). This population accounted for more than 40% of new infections in Asia, the Pacific and Latin America, and nearly two thirds (64%) of new infections in western and central Europe and North America (2). Also, worldwide syphilis is a highly prevalent infection among MSM. Since 2010 number of cases of syphilis have been increasing in developed countries, with rates rising most rapidly among MSM(3). In Europe, MSM are disproportionately affected by HIV and other STIs like syphilis, accounting for 39% of all new HIV diagnoses in 2019 and more than half (51%) of diagnoses where the route of transmission was known(4), and for more than two-thirds (69%) of syphilis cases (with information on transmission category)(5).

In order to control the transmission of HIV and STIs and reduce their sequelae it is very important to provide screening or significantly enhanced testing of key populations and an accurate diagnoses in order to provide correct and early treatments (6–8). Accurate, rapid and affordable point-of-care-tests (POCTs) could increase access to testing and identification of HIV and STIs in a single patient visit, including innovative delivery options, such as on-site delivery, community-based testing, as well as self-testing at home (9).

A community-based voluntary counselling and testing(CBVCT) service is defined as any programme or service which offers voluntary HIV counselling and testing as one of its main activities, independently of clinical settings, targeted to specific groups of the population and clearly adapted and accessible to the communities to whom it is addressed(10). The CBVCT services strengthen a comprehensive prevention strategy by increasing the number of engaged at-risk individuals who both become aware of their HIV and syphilis serostatus and by providing an entry point for care and treatment(11–14). As described in the WHO consolidated

1
2
3 guidelines on HIV testing services, community-based testing approaches may lead to earlier
4 HIV and syphilis detection, as well as reaching people who are not routinely accessing health
5 services, but are willing to test in a community-based HIV testing environment(15).
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8 Recently, dual tests that can be used at point-of-care for simultaneously detecting antibodies
9 to HIV and syphilis (dual HIV-syphilis POCTs) have been developed for use with finger-prick
10 capillary whole blood specimens(16). Some of these dual POCTs are now commercially
11 available. To date, they have shown an encouraging performance compared with the reference
12 tests in laboratory-based studies, but there is limited data on their utility in the field. As CBVCT
13 services are effective providers of HIV and syphilis testing and counselling with high
14 acceptability among MSM, evaluation of the utility of these dual tests in CBVCT services is a
15 high priority.
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19 The evaluation of these POCTs in a community setting is important as MSM at high risk of
20 acquiring and transmitting STIs, including HIV, might face various barriers to accessing care and
21 the CBVCTs are often their first entry point to the healthcare system. The use of POCTs in
22 CBVCTs could therefore enhance the effectiveness of outreach screening in non-clinical
23 settings because POCT results are rapidly available and reduce loss to follow-up and allow for
24 timely counselling, referral, and treatment. Syphilis can often be asymptomatic; undetected
25 syphilis can result in serious long term complications and increased risk of HIV acquisition and
26 transmission. Screening and appropriate treatment for asymptomatic individuals infected with
27 syphilis can reduce the risk of them developing serious long-term complication and interrupt
28 onward transmission to their sexual partners. In the case of HIV early diagnosis of the
29 infection is essential to ensure that patients are referred promptly for evaluation, provided
30 with treatment and linked into counselling and related support services to help them reduce
31 their risk for transmitting HIV to others.
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34
35 There is a lack of independent evaluation of currently available POCTs (laboratory-based,
36 clinic-based and utility evaluations), particularly in key populations and in low- and middle-
37 income settings (9). Based on this, the SRH Department of the WHO has established the global
38 ProSPeRo study (global Project on STI POCT). The overall objectives are to: i) advise WHO
39 Member States and other public health institutions on the performance characteristics of
40 commercially available STI diagnostic tests that can be used at the point-of-care; ii) assess the
41 feasibility, acceptability of POCTs by both health care providers and clients/patients; and iii)
42 support further implementation and roll-out of STI POCTs within national STI programmes by
43 the provision of technical assistance tools.
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45

46
47 ProSPeRo comprises three core components: i) a laboratory-based arm assessing the
48 performance characteristics of STI POCTs that have not yet been evaluated independently in
49 the laboratory; ii) a clinical-based component to evaluate STIs POCT performance in the field
50 compared with that of gold-standard laboratory tests among several STI high-risk and
51 vulnerable populations worldwide and; iii) a clinical-utility component assessing the feasibility
52 and acceptability of STI POCTs among MSM in non-clinical settings in four countries within the
53 WHO European region.
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57 This master protocol refers to the third component of the ProSPeRo study, specifically to
58 assess dual HIV/Syphilis POC technology in terms of its clinical utility.
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3 Those clinical evaluation studies using this master protocol can adapt it to their local needs
4 and can evaluate different dual HIV/syphilis POCTS.
5

6 **Objectives**

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8 The primary objectives of this utility evaluation are: i) to assess the feasibility of introducing
9 the dual POCT for the screening of HIV and syphilis in MSM at CBVCT services, by assessing its
10 acceptability and usability among MSM users and providers of CBVCT services, and; ii) to
11 assess the operational characteristics of the dual POCT for HIV and syphilis screening at the
12 CBVCT services.
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17 **METHODS AND ANALYSIS**

18 **Study setting and design**

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20 This clinical utility evaluation is a multi-site cross-sectional study of MSM presenting at CBVCT
21 services for HIV/STI screening. The study will be implemented across multiple countries on the
22 basis of locally adapted protocols. For the purposes of this protocol, the term study site refers
23 to an individual CBVCT service.
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29 This paper is the master protocol and outlines the overall research approach which will be
30 adapted accordingly for each site. Before implementation four CBVCT sites (from four different
31 countries: Latvia, Slovenia, Spain and Ukraine) have been approved by the WHO in
32 consultation with in-country researchers and providers, local authorities and WHO Country
33 Offices (Latvia, Slovenia, Spain and Ukraine). Site selection criteria were based on: CBVCT
34 service targeting MSM; access to a sufficiently large target population; ability to follow linkage
35 to care within the local health services; staff capacity to perform the study in accordance with
36 the study protocol; strong interest in working with new technologies; and offering testing for
37 both HIV and syphilis as part of CBVCT services. A standardised site-assessment is
38 implemented as part of the approval process for sites expressing an interest in participating.
39 Site-specific protocols are developed with the WHO and the in-country principal investigator to
40 agree and delineate the range of parameters and the minor changes needed to adapt the
41 study to the local context whilst complying with this master protocol. The global ProSPeRo
42 study is ongoing with recruitment expected to be completed in all countries by late 2021.
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51 **Study conceptual framework**

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53 The study conceptual framework has been designed following a model that explored the
54 feasibility of the introduction of new health technology (17) (figure 1).
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57 Regarding the CBVCT providers, the framework divides the concept of feasibility into two inter-
58 related domains, acceptability and usability. Feasibility is defined as the process in which dual
59 HIV/syphilis POCTs will be deployed by CBVCT providers leading to their acceptability and
60

usability. These two domains have been further broken down into six sub-domains: learnability, willingness, suitability, satisfaction, efficacy and effectiveness (18) (table 1). The operational characteristics that will be assessed and compared are also part of the conceptual framework: the clarity of kit instructions, the ease of use and interpretation of results are part of the learnability domain, while the waiting time for test results, the hands-on time and the training time required are part of the efficacy domain.

Regarding the CBVCT users (figure 1), the framework also divides the concept of feasibility into two inter-related domains, acceptability and usability, but these two domains are only broken down into three sub-domains: willingness, suitability and satisfaction.

These attributes work in an interrelated way to contribute to the feasibility of the introduction of a new technology. Acceptability comprises positive perceptions, beliefs, and attitudes towards dual HIV/syphilis POCs among users and providers. Usability refers to the actions taken by the providers to apply the tool and its results to achieve specified outcomes, while usability among users refers to the actions they take to have the tests performed on themselves believing that the test is accurate and convenient. In turn, if acceptability and usability are high among both providers and users, then implementation is feasible.

Figure 1. Providers' and users' conceptual framework

Table 1. Acceptability and usability sub-domain definitions

Sub-domains	Definition
Learnability	Ability of the CBVCT providers to understand how to correctly perform the dual HIV/syphilis POCs and accurately read the test results.
Willingness	CBVCT providers' intention to carry out a finger prick each time it is necessary, wait for the results, and refer the user when necessary. Regarding the CBVCT users, willingness has been defined as the intention to have the test performed on themselves, willingness to wait for test results, and if it is necessary, to follow the referral procedure.
Suitability	CBVCTs providers' beliefs that the test is relevant for their work and could be successfully integrated into existing services. Regarding CBVCT users, suitability has been defined as belief that the test is relevant in determining whether or not they have HIV and/or syphilis.
Satisfaction	CBVCT providers' feeling that the test is convenient to perform and that it is a process they like doing. Regarding the CBVCT users, satisfaction has been described as feeling that a test is convenient and that it is a process they would like to experience again.
Efficacy	CBVCT providers are able to make the effort and take the time to perform a test; read, interpret, and record test results and also to refer the user if required, as part of their daily routine work.
Effectiveness	The enabling organisational and supporting systems, such as training, supervision, study aids, supplies, timers, storage, and disposal are present or carried out and are integrated into existing routine protocols.

Study participants

Inclusion criteria

The target population will be MSM. The term MSM will be used to describe those males who have sex with other males, regardless of whether or not they have sex with women or have a personal or social identity associated with that behavior, such as being 'gay' or 'bisexual'. All participants have to be at least 18 years old to participate and sign a written consent. CBVCT staff participating in the study will also be asked to complete a short questionnaire to evaluate the feasibility and operational characteristics of POCTs.

Exclusion criteria

MSM who will refuse to give consent, are younger than 18 years old, and/or have previously participated in the study.

Description of the POCTs under evaluation

The tests to be evaluated will be SD Bioline HIV/Syphilis Duo (Abbott Diagnostics, United States; hereafter termed Bioline POCT) (figure 2) and Chembio Dual Path Platform (DPP) HIV–Syphilis Assay (Chembio, United States; hereafter termed Chembio POCT) (figure 3). Both will be single-use qualitative immunochromatographic assays for the simultaneous detection of antibodies against HIV types 1 and 2 (HIV 1/2) and/or *Treponema pallidum* (TP) in human serum, plasma, whole venous or finger pricked blood. In 2015 the Bioline POCT was accepted for the WHO list of prequalified in vitro diagnostics (19).

Recently, the Chembio Company developed the DPP Micro Reader (MR) to complete the Chembio DPP technology and minimise error due to subjective visual interpretation (Figure 4). The DPP Micro Reader is a portable, battery-powered instrument that uses assay-specific algorithms to analyze the test and control line reflectance to determine the presence or absence of the antibodies to HIV and/or *T.pallidum* in the sample. The device is fitted to the Chembio POCT via a dedicated holder. The reader verifies the presence of the control line and measures colour intensity at each of the test line positions; it interprets the results using an algorithm including assay-specific cut-off values, and reports a positive, negative, or invalid result (20).

Figure 2. Bioline point-of-care test

Figure 3. Chembio point-of-care test kit. DPP, Dual Path Platform.

Figure 4. DPP Microreader, test device holder, DPP microreader with test device holder and test device

Study procedure

Recruitment, enrolment and consent

For each site, clients will be recruited over nine months (maximum) or until the required sample size is reached. Consecutive MSM presenting at the evaluation site or outreach settings will be informed about the study by the CBVCT provider performing the routine care (provider 1, see Figure 5 patient flowchart). If the person is interested in participating (pre-consent), another CBVCT provider (provider 2) will evaluate whether he fitted the inclusion criteria. If the potential participant fits the criteria and agrees to participate in the study, the latter CBVCT provider (provider 2) will take final consent and will perform the additional tests along with completion of the associated case report forms (CRFs). Users will be informed by the CBVCT provider and the consent form.

The participant recruitment part of the master protocol has been adapted to the specific testing procedures in the Slovenian CBVCT service. In this CBVCT service the recruitment will be done when the client comes to the site for the second time to collect the results of laboratory tests. When the client arrives to collect the results, the receptionist will inform him about the study and if he is interested he will go with provider 2, who will explain the study in detail, obtain the informed consent and perform the dual tests. Provider 3 will do the second reading blind, and then both providers will pass the results to provider 1, who is the one who sees the results of laboratory tests, views all results together and informs the client of any reactive test result.

Figura 5. Patient flowchart for a clinic-based evaluation of two dual HIV/syphilis POCTs

Specimen collection and result reading

Provider 1 will undertake a routine performance of standard tests according to local clinical procedures. If clients accept to participate, provider 2 will collect a capillary blood sample using finger prick blood to perform both POCTs according to the manufacturers' instructions; collect the required amount of capillary blood using the equipment provided in both test kits and wait the determined time (measured with a timer for each test) before reading the results. The finger is only pricked once: the first drop of blood will be used for the Bioline test and the second drop for the Chembio test. A double reader method (Reader 1-Reader 2 [R1-R2]) will be adopted for both tests to determine any variability in the interpretation of test results(21). The MR (Chembio) will be read by R2 only (provider 3). R1 (provider 2) and R2 (provider 3) will be blind to each other's results and to the standard test results (read only by provider 1).

Feasibility questionnaires

A user feasibility questionnaire (table 2) will be self-completed before and after the performance of the dual HIV/syphilis POCT and the routine tests and after the consent has been signed, but prior to receiving the tests results. A feasibility questionnaire (table 3) will be

completed by each CBVCT provider who takes part in the study once the study period has finished, or when he/she leaves the study.

Table 2. Feasibility questions for users and related sub-domains

User – feasibility questions
<i>Before the performance of POCT</i>
Willingness sub-domain
1. How long would you be willing to wait for the results of a dual test (<i>up to 20 minutes, up to 30 min, up to 1 hour, up to 2 hours, other, don't know</i>)
2. I would be willing to wait longer for the results of the dual test than for the separate tests (<i>strongly agree, agree, neither agree nor disagree, disagree, strongly disagree, don't know, don't want to answer</i>)
<i>After the performance of POCT</i>
3. Would you prefer two single tests or one dual test (<i>to check/test both infections at the same time</i>)? (<i>single, dual, it, don't know/don't care</i>)
3.1. If you prefer single test, why? (<i>don't want to be tested for HIV, don't want to be tested for syphilis, other</i>)
Suitability sub-domain
4. I trust the results of the dual HIV/syphilis test (<i>strongly agree, agree, neither agree nor disagree, disagree, strongly disagree, don't know, don't want to answer</i>)
5. I believe the results of the dual HIV/syphilis test are more reliable than the tests performed routinely in this centre (two separate rapid tests for HIV and syphilis) (<i>strongly agree, agree, neither agree nor disagree, disagree, strongly disagree, don't know, don't want to answer</i>)
Satisfaction sub-domain
6. I am more satisfied with the performance of the dual HIV/syphilis test than the separate tests for HIV and syphilis (<i>strongly agree, agree, neither agree nor disagree, disagree, strongly disagree, don't know, don't want to answer</i>)
7. In the future, I would prefer to use a dual HIV/syphilis test than two single tests to separately detect HIV and syphilis (<i>strongly agree, agree, neither agree nor disagree, disagree, strongly disagree, don't know, don't want to answer</i>)
8. I would recommend the dual HIV/syphilis test to a friend (<i>strongly agree, agree, neither agree nor disagree, disagree, strongly disagree, don't know, don't want to answer</i>)

Table 3. Feasibility questions for providers, operational characteristics and related sub-domains

Provider– feasibility questions
Learnability sub-domain
1. Overall, performing dual HIV/syphilis test is (<i>Very easy, Quite easy, Neither easy nor difficult, Quite difficult, Very difficult, Don't know, Don't want to answer</i>)
2. Correctly reading and interpreting the dual HIV/syphilis text result is (<i>Very easy, Quite easy, Neither easy nor difficult, Quite difficult, Very difficult, Don't know, Don't want to answer</i>)
3. Interpreting weak positive test result is (<i>Very easy, Quite easy, Neither easy nor difficult, Quite difficult, Very difficult, Don't know, Don't want to answer</i>)
4. The training offered was enough to perform the dual test (<i>strongly agree, agree, neither agree nor disagree, disagree, strongly disagree, don't know, don't want to answer</i>)
Willingness sub-domain
5. I am willing to perform the dual HIV/syphilis test instead of the separate HIV and syphilis tests in my CBVCT (<i>strongly agree, agree, neither agree nor disagree, disagree, strongly disagree, don't know, don't want to answer</i>)
6. Current supporting components of the study, including training, supervision and quality maintenance are sufficient to integrate the dual HIV/syphilis test into the routine activities in my CBVCT (<i>strongly agree, agree, neither agree nor disagree, disagree, strongly disagree, don't know, don't want to answer</i>)
Suitability sub-domain
7. I am confident in the results of the dual HIV/syphilis test (<i>strongly agree, agree, neither agree nor disagree, disagree, strongly disagree, don't know, don't want to answer</i>)
8. Routine dual HIV/syphilis testing should continue in my CBVCT service (<i>strongly agree, agree, neither agree nor disagree, disagree, strongly disagree, don't know, don't want to answer</i>)
9. Rapid dual HIV/syphilis tests could be successfully integrated in my CBVCT (<i>strongly agree, agree, neither agree nor disagree, disagree, strongly disagree, don't know, don't want to answer</i>)

Satisfaction sub-domain	
10. In your opinion, how do new users feel about the dual HIV/syphilis tests? (<i>Very positive, Quite positive, Neither negative nor positive, Quite negative, Very negative, Don't know, Don't want to answer</i>)	
11. Use of dual testing in this CBVCT reduces the workload (<i>strongly agree, agree, neither agree nor disagree, disagree, strongly disagree, don't know, don't want to answer</i>)	
12. Dual testing is more acceptable to users than separate HIV and syphilis tests (<i>strongly agree, agree, neither agree nor disagree, disagree, strongly disagree, don't know, don't want to answer</i>)	
13. Introducing dual HIV/syphilis tests will decrease user waiting time at the CBVCT service (<i>strongly agree, agree, neither agree nor disagree, disagree, strongly disagree, don't know, don't want to answer</i>)	
Effectiveness sub-domain	
14. The current supplier of HIV and syphilis tests will be able to provide the dual HIV/syphilis tests (<i>strongly agree, agree, neither agree nor disagree, disagree, strongly disagree, don't know, don't want to answer</i>)	
15. Dual HIV/syphilis tests can be easily integrated into the national and/or regional HIV testing guidelines (<i>strongly agree, agree, neither agree nor disagree, disagree, strongly disagree, don't know, don't want to answer</i>)	
Operational characteristics	
1. Clarity of kit instructions (<i>difficult to follow, fairly clear, very clear, excellent</i>)	Learnability sub-domain
2. Ease of use (<i>complicated, fairly easy, very easy, excellent</i>)	
3. Ease of interpretation of results (<i>difficult, fairly easy, very easy, unambiguous</i>)	
4. Rapidity of tests results (<i><20 minutes, 20-30 minutes, >30 minutes</i>)	Efficacy sub-domain
5. Hands-on time (<i><5 minutes, 5 minutes, 10 minutes</i>)	
6. Training time required (<i><30 minutes, 30 minutes, 1 hour, > 1 hour</i>)	
7. Number of tests needed to be performed before being able to feel comfortable with POCT	

Follow-up procedures

Follow-up and referral of the patients will be based on the results of the standard tests. Participants with a positive standard routine test result will be referred to the STI clinic or the reference hospital for confirmatory testing and treatment, following local guidelines. However, if the standard test result is negative, but one or both of the service providers' readings of the dual POCT(s) is positive for HIV and/or syphilis, the patient will be also referred for confirmation and treatment. Positive HIV POCT results will be preliminary and therefore must be confirmed with the conventional screening test before the diagnosis of HIV infection is conclusively established. In the case of syphilis, the result will be considered as probable active syphilis; therefore referral will be made to the reference hospital for active infection confirmation.

Outcomes

Primary outcome: Feasibility (assessed by the participant feasibility questionnaire and by the provider feasibility questionnaire). **Secondary endpoints:** Operational characteristics (assessed by the Operational characteristics of POC dual tests questionnaire); POCTs and routine tests results

Sample size

Sample size for tested individuals

The sample size calculation depends on the estimated proportion of people who have accepted to be tested by the dual POCTs for the screening of HIV and syphilis in a CBVCT service. As CBVCT services do not have such an estimate a proportion found in another study, of 81%, has been used(22). Given an 81% population acceptance rate, 300 study subjects will be sufficient to estimate the feasibility of introducing the dual POCT for HIV and syphilis, with a 95% confidence and a precision +/- 5 percent units, and anticipating a replacement rate of 20% for those CBVCT service users who decline to participate.

The sample size of the master protocol will be adapted to the number of people routinely attended at the Baltic HIV Association. In this CBVCT center, the sample size will be reduced to 150 study subjects.

Sample size for providers

It is expected than at least the 75% of the providers from the CBVTC service, who will receive the training and perform the dual POCTs for the screening of HIV and syphilis, will answer the feasibility questionnaire.

Project and data management

To ensure appropriate implementation of this master protocol, the following actions will be conducted: (i) development of site-specific study management plans including details of the roles and responsibilities of the study/evaluation team (the composition and number of study team members will be adapted at each site according to local need); ii) WHO monitoring visits and monitoring procedures to assess the progress and quality of the study at each evaluation site; iii) an internal (serum) and external (dried tube specimens) quality assurance process for ensuring accurate performance of the dual HIV/syphilis POC tests; and iv) a site-sensitive training programme for CBVCT staff in specimen collection and handling including performance and reading of the POCTs, as well as, familiarisation with the study standard operating procedures.

All data generated will be recorded using designed and piloted CRFs, which have been approved by the WHO. Paper versions will be stored securely at each study site as per local standard procedures. At regular intervals, data from these CRFs will be entered by a data manager at each site into a WHO provided secured laptop using an adhoc online system. Once data entry is completed, local data managers will be requested to check a random allocation of 10% of the data to reduce data entry error. Archiving (including destruction) of paper versions of the CRFs will be determined by the evaluation sites' own procedures. Only the data necessary to complete the project objectives will be included in the project database. Although the data will be stored on an IP secure website and processed by the study researchers, it

1
2
3 belongs to each patient and they will be informed of how to request the deletion of the data at
4 any time. The timeline for keeping data will be according to local and WHO policies.
5
6
7

8 **Data analysis**

9

10
11 Subjects' demographic data, dual POCTs and routine tests results, follow-up of positives, data
12 on knowledge and operational characteristics of dual POCTs will be summarized using
13 descriptive statistics for aggregate and site level data.
14

15
16 For the feasibility analysis (first objective), data from feasibility questionnaires will be analysed
17 in aggregates (taking into account the local practices when interpreting the results) and per
18 centre (for those centres which had reached the expected number of recruited participants).
19

20
21 The questions in each sub-domain will be likert items, most of them consisting of a discrete
22 number of choices per question among the sequence: "Strongly disagree", "Disagree", "No
23 opinion", "Agree", "Strongly agree". Some questions use other sequences of bipolar adjectives:
24 "Very easy", "Quite easy", "Neither easy nor difficult", "Quite difficult", "Very difficult".
25 Following the structure in the conceptual framework, the feasibility analysis will be performed
26 in 3 stages (for individual questions, sub-domains and domains): first calculate the median
27 score for each question (excluding "Don't know/Don't want to answer"), secondly the median
28 score will be calculated for all questions within a sub-domain, and lastly the total median score
29 for all questions within a domain will be reported.
30
31
32

33
34 In order to calculate the scores, a summated scores method will be used, calculating
35 summated scores for each individual for each sub-domain. The same weight will be considered
36 for all the questions in each sub-domain. Each total score will be divided by the number of
37 items of the sub-domain, obtaining a score ranging from 1 to 5 (from 1: highly in favour to 5:
38 highly disagree). Scores will be calculated when all questions will be answered. For the
39 qualitative interpretation of the score results, according the values assigned to the likert-type
40 items, from 1 to 5 (1 being "strongly agree", 2 "agree", 3 "no opinion", 4 "disagree" and 5
41 "strongly disagree"), the obtained domains' median scores, will indicate a high, medium or low
42 acceptability and usability. If acceptability and usability are high among both providers and
43 users, then implementation is feasible.
44
45
46

47
48 For the second objective, data from routine tests, dual POCTs and confirmatory tests of all
49 participant sites will be analysed in aggregates. Data regarding operational tests characteristics
50 from feasibility questionnaires will be also analysed in aggregates.
51

52
53 In order to validate the reading of the dual POCTs, the concordance between the two different
54 readers will be estimated by calculating percentage of agreement (concordance) and Kappa (κ
55 for binary variables).
56
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Contextual survey

A contextual survey has been developed to be sent to the principal investigators of each participating CBVCT service in order to expand local contextual information about the participating CBVCT services. This will facilitate interpretation of data resulting from the study for each centre.

The questionnaire (supplementary material) includes questions about: service characteristics and daily activities; procedures followed by the service regarding HIV and Syphilis testing (including confirmation and referral for those with a positive test result); research capacity; and contextual information on testing, and some country sexual health indicators (laws regulations and/or policies related to age of consent for sexual health counselling and testing, prohibiting some sexual-related practices and sexual violence, supporting non-discrimination, criminalizing or regulating sex work).

Patient and public involvement

Patients, representatives of MSM communities and CBVCT service staff have been consulted during the development of this master protocol, specifically regarding participant recruitment and approach. Additional consultations have been held during adaptation of the master protocol to individual sites.

Ethics and dissemination

This master protocol has been independently peer reviewed and approved by the Research Project Review Panel (RP2) of the WHO Department of Sexual and Reproductive Health and Research (SRH) and by the WHO Ethics Review Committee (ERC). It has also been adapted to individual countries and approved by RP2, ERC, and institutional review boards at each site. Autonomy of the users to decide to participate in the study will be safeguarded by the division of the roles of taking pre-consent on the one hand and performing the study on the other. The final consent will be taken by the CBVCT provider who performs the test, as he/she will also check if the user fits the inclusion criteria, for confidentiality reasons. Participation involves extracting two additional drops of blood from the fingertip to perform the new HIV and syphilis dual test in addition to the standard routine tests. The records concerning the participation will be used only for the purpose of the research project. Names won't be used on any study form or label on specimens or in any report resulting from the study. At the beginning of the study, a study identification number will be given and this number will be used on the forms and on the specimens.

Discussion

Implementation of dual POCT for HIV and syphilis in community-based services for MSM represents an opportunity to scale up integrated syphilis/HIV testing for this population. Although in several CBVCT services, single POCT for HIV and syphilis are already performed,

1
2
3 implementation of dual POCT for both infections could increase syphilis testing for those only
4 prone to test for HIV and vice versa in the case of syphilis.
5

6 Although there has been rapid development of new POCTs for STIs in recent years and there
7 are some promising dual POCTs for HIV/syphilis in the pipeline and others already in the
8 market, few of them have been well evaluated in a real-life setting. This has meant that there
9 are still no formal WHO guidance and recommendations available on the implementation of
10 these new tools for the diagnostics of HIV/STIs at the community level.
11
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13
14 This paper describes the master protocol of the ProSPeRo study to conduct a clinical-utility
15 evaluation of dual POCTs for the screening of HIV and syphilis in MSM in non-clinical settings.
16

17 The results of this clinical utility evaluation, jointly with the results of the global ProSPeRo
18 study will contribute to the advising of WHO member states and other public health
19 institutions on the feasibility of dual POCTs for syphilis and HIV by both users and providers of
20 CBVCT services. It will contribute to the evidence needed to develop the guidance for WHO
21 member states on STI diagnostic tests that can be used at the point-of-care and to support
22 further implementation and rollout of those POCTs within national STI programmes.
23
24
25

26 27 **Acknowledgements**

28 The authors are grateful to members of the WHO Research Project Review Panel (RP2) and the
29 WHO Research Ethics Review Committee (WHO ERC) for their expertise and inputs regarding
30 the master and site- specific protocols for this clinic- based evaluation. Our gratitude is
31 extended to the global ProSPeRo network.
32
33

34 35 **Author's contributions**

36 The first draft of the manuscript was written by LFL and JRU. IT (chief and principal
37 investigator) and RP conceived the whole ProSPeRo study. IT and JC conceived the clinical
38 utility study and JRU and LFL developed the core study protocol, based on the other core study
39 protocols of the ProSPeRo studies. The ProSPeRo network participated in the design of the
40 study. IU, MV, MC, SM, WM, AP, AC, XX, MM, RB, KB, JK, SST, JC and IT led/will lead acquisition
41 of data, contributed to adaptation of the master protocol, and commented on previous
42 versions of the manuscript. All authors read and approved the final manuscript prior to
43 submission. ProSPeRo Network authors (Project on Sexually Transmitted Infection Point-of-
44 care Testing established by the Reproductive Health and Research Department of WHO):
45 Massimo Mirandola (Italy); Inga Upmace, Mara Vasekova (Latvia); Mitja Čosić, Simon Maljevac
46 (Slovenia); Jordi Casabona, Juliana Reyes-Urueña, Laura Fernández-López, William Mejías,
47 Ander Pazos (Spain); Andrii Chernyshev (Ukraine); Rosanna Peeling (United Kingdom); Ronald
48 Ballard, Karel Blondeel, James Kiarie, Soe Soe Thwin, Igor Toskin (WHO).
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Funding statement

This work was funded by the UNDP- UNFPA- UNICEF- WHO- World Bank Special Programme of Research, Development and Research Training in Human Reproduction (HRP), a cosponsored programme executed by the World Health Organization (WHO). Award/Grant number is not applicable.

Data availability statement

This study which forms part of a larger programme of research co-ordinated by WHO and for which WHO acts as the repository of the ensemble of the results obtained from the individual projects. In view of this, all rights to the results of the study, including but not limited to copyright and the right to apply for, hold and exercise patent rights in respect of any invention resulting from the study, are the subject of co-ownership and responsibility between the WHO and respective country sites. Dr Igor Toskin is the Chief Investigator and contact for data availability queries (toskini@who.int).

Competing interests statement

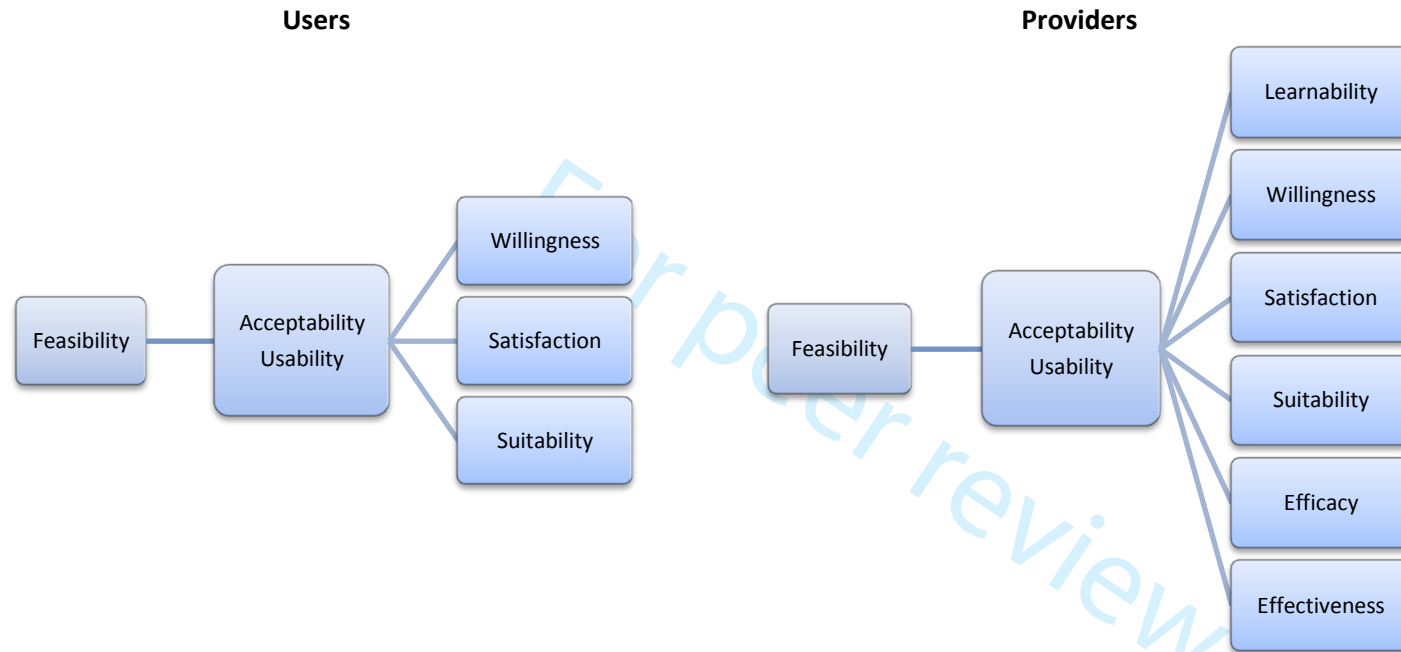
The POCT manufacturers disclose and furnish the WHO with the information and sufficient quantities of the product(s) free of charge in order to enable this evaluation as part of the WHO/RHR STI POC initiative. The WHO is entitled to evaluate and publish the trial results, and to exclusively control this evaluation and the content of the aforesaid publication. WHO shall submit any proposed publication to the manufacturers for review, comments received will be considered in good faith, but the decision to publish rests with the WHO.

Disclaimer: Some of the authors are present or former staff members of the World Health Organization. The authors alone are responsible for the views expressed in this publication and they do not necessarily represent the views, decisions or policies of the institutions with which are affiliated.

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Figure 2. Bioline point-of-care test

92x35mm (97 x 79 DPI)

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Figure 3. Chembio point-of-care test kit. DPP, Dual Path Platform.

77x39mm (150 x 150 DPI)

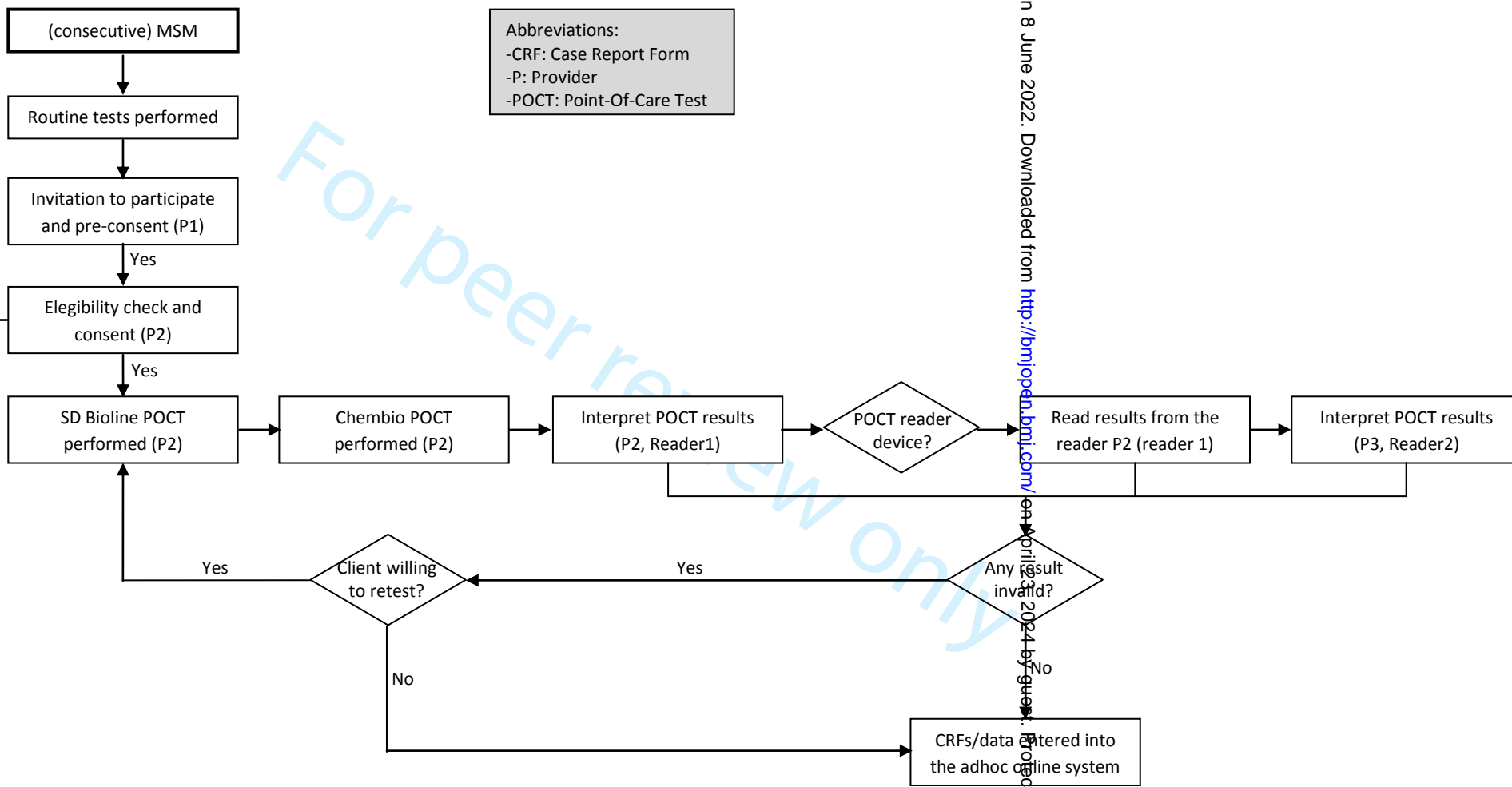
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Figure 4. DPP Microreader, test device holder, DPP microreader with test device holder and test device
192x45mm (72 x 72 DPI)

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Abbreviations:
 -CRF: Case Report Form
 -P: Provider
 -POCT: Point-Of-Care Test

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3
4 **Research capacity and implementation assessment and contextual information**

5
6 The following questions will help to get contextual information about your service, and to
7 interpret accordingly the data results from the “Utility evaluation of Point-of-Care Tests in Non-
8 Clinical Settings for the Screening of HIV and Syphilis in Men Who Have Sex with Men”.

9
10
11 These questions also will help the researchers understand the process your service follows in
12 its daily activities. Please, answer each question in detail but trying to be clear and brief, and
13 taking into account the situation of your service during the project implementation.

14
15
16
17 **1. Service characteristics**

18
19 1.1. How many people in total are working in the CBVCT service (including part-time, full
20 time, temporary staff, volunteers, etc.)?

21 _____
22 _____
23 _____

24
25 1.2. From those, how many are volunteers?

26 _____
27 _____
28 _____

29
30 1.3. How often does a volunteer change at your service?

31 _____
32 _____
33 _____

34
35 1.4. How many people are performing tests in your service?

36 _____
37 _____
38 _____

39
40 1.5. From those, how many are volunteers?

41 _____
42 _____
43 _____

44
45 1.6. Are people performing tests in your service healthcare professionals?

- 46 [1] Yes, all of them
47 [2] Only some of them
48 [3] No
49 [3] Other. Please specify: _____

50
51 1.7. Is it possible in your country for a lay provider to perform tests?

- 52 [1] Yes
53 [2] No
54 [3] Other. Please specify: _____

3 1.8. Who is providing the tests?

- 4 [1] Your organization is paying for the tests
5 [2] The government is providing you with the tests
6 [3] Other organization is providing you with the tests. Which one? _____
7 [4] Other:
8 Please, specify which other:
9

10 _____
11 _____
12 _____

13
14 1.9. In which settings is your CBVCT service programme implemented? (you may tick more
15 than one)

- 16 [1] NGO setting
17 [2] Outdoor setting (e.g. van, street, etc.)
18 [3] Venue setting (e.g. gay venue, sauna, disco, bar)
19 [4] Health care setting (Clinic, Hospital, Health centre, primary care centre, etc.)
20 [5] Other (specify) , i.e. intervention in door to door, care social centre
21

22 _____
23 _____
24 _____

25
26 1.10. In which settings was the project implemented? (you may tick more than one)

- 27 [1] NGO setting
28 [2] Outdoor setting (e.g. van, street, etc.)
29 [3] Venue setting (e.g. gay venue, sauna, disco, bar)
30 [4] Health care setting (Clinic, Hospital, Health centre, primary care centre, etc.)
31 [5] Other (specify), i.e. intervention in door to door, care social centre
32

33 _____
34 _____
35 _____

36
37 1.11. Which group is targeted by your programme? (you may tick more than one)

- 38 [1] MSM
39 [2] Female Sex workers
40 [3] Male Sex workers
41 [4] IDU
42 [5] Male migrants
43 [6] Female migrants
44 [7] Transsexual/transgender
45 [8] Young people
46 [9] Other. Please specify: _____
47

48 Please specify which one is the main group and ages
49

50 _____
51 _____
52 _____

53
54 1.12. How your service guaranty confidentiality of clients?
55

56 _____
57 _____
58 _____
59 _____



1.13. Is your service able to storage any personal record from the clients, in order to assess the degree of linkage to care in the case of a HIV positive confirmatory diagnosis or a new syphilis infection?

[1] Yes

[2] No

[3] Other. Please specify: _____

2. Procedures followed by your service

HIV

2.1. Which type of HIV tests is your service using routinely?

[1] Conventional laboratory tests (samples collected at the service are sent to the lab)

[2] Rapid blood test

[3] Rapid oral test

[4] Other. Please specify: _____

Please specify the name of the test used:

2.2. How long does it take a user's visit at your centre, including testing and counselling?

[1] Less than 30 minutes

[2] 30-45 minutes

[3] 45-60 minutes

[4] 60-90 minutes

[5] More than 90 minutes

[6] Other. Please specify: _____

2.3. How long does the counselling take place in your service, including pre and post-test, in the case of a **negative result**?

[1] Less than 15 minutes

[2] 15-30 minutes

[3] 30-45 minutes

[4] 45-60 minutes

[5] More than 60 minutes

[6] Other. Please specify: _____

2.4. How long does the counselling take place in your service, including pre and post-test, in the case of a **positive result**?

[1] Less than 15 minutes

[2] 15-30 minutes

[3] 30-45 minutes

[4] 45-60 minutes

[5] More than 60 minutes

[6] Other. Please specify: _____

3 2.5. In the case of a HIV reactive test, where is the confirmatory test performed?

4 [1] In our service

5 [2] We have to refer the client to a laboratory

6 [3] We have to refer the client to the HIV specialist

7 [4] We have to refer the client to the GP

8 [4] Other. Please specify: _____

10 2.6. In the case that you have to refer a client for the confirmatory test, there is in place
11 some referral mechanism?

12 [1] Yes

13 [2] No

14 [3] Other. Please specify: _____

17 2.7. In the case of a HIV positive confirmatory test, there is in place some referral
18 mechanism to refer a client to health care (HIV specialist)?

19 [1] Yes

20 [2] No

21 [3] Other. Please specify: _____

22 2.8.

23 2.9. Does your service retrieve the information related to linkage to care?

24 [1] Yes

25 [2] No

26 [3] Other. Please specify: _____

27 2.10.

28 2.11. Is the client accompanied into the Health care centre for treatment and care?

29 [1] Yes

30 [2] No

31 [3] Other. Please specify: _____

32 **Syphilis**

33 2.12. Which type of Syphilis tests is your service using routinely?

34 [1] Conventional laboratory tests (samples collected at the service are sent to the lab)

35 [2] Rapid test

36 [3] Other. Please specify: _____

37 Please specify the name of the test used:
38 _____

39 2.13. In the case of a Syphilis reactive test, where is the confirmatory test
40 performed?

41 [1] In our service

42 [2] We have to refer the client to a laboratory

43 [3] We have to refer the client to the HIV specialist

44 [4] We have to refer the client to the GP

45 [4] Other. Please specify: _____



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2.14. In the case that you have to refer a client for a syphilis confirmatory test, there is in place some referral mechanism??

- [1] Yes
- [2] No
- [3] Other. Please specify: _____

2.15. In the case of Syphilis, does your service retrieve the information related to linkage to care?

- [1] Yes
- [2] No
- [3] Other. Please specify: _____

2.16.

2.17. Is the client accompanied into the Health care centre for treatment and care in the case of Syphilis?

- [1] Yes
- [2] No
- [3] Other. Please specify: _____

Other tests

2.18. Is your service providing testing for other infections apart from HIV and syphilis?

- [1] Yes
 - [2] No
- If yes, which ones?

3. Research Capacity

3.1. Has your service been involved in some research study previously?

- [1] Yes
- [2] No
- [3] Other. Please specify: _____

3.2. If your answer is yes, please explain the main objective of the project, type and time of engagement and role. If your service has been involved in more than one project, please explain the main objective of the projects were the service has participated in the last five years.

3 3.3. Has your service been involved in a research project previously comparing other
4 testing methods or devices?

5 [1] Yes

6 [2] No

7 [3] Other. Please specify: _____
8

9
10 3.4. If your answer is yes, please explain in detail.

11 _____
12 _____
13 _____

14
15 3.5. Please, explain how your service adapted this project to the services daily
16 activities?

17 _____
18 _____
19 _____

20
21
22 3.6. Please, explain how the providers of your service were organized to participate
23 in the study. Were the three providers always the same? They changed their roles among
24 them?

25 _____
26 _____
27 _____
28 _____

29
30 3.7. Please explain provider number one's profile and background

31 _____
32 _____
33 _____

34
35 3.8. Please explain provider number two's profile and background

36 _____
37 _____
38 _____

39
40 3.9. Please explain provider number three's profile and background

41 _____
42 _____
43 _____

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46
47 **4. Contextual information**

48
49
50 **Testing**

51 4.1. How many CBVCT services are in your city?

52 _____
53 _____
54 _____
55 _____
56 _____

57
58
59 4.2. How many CBVCT services are in your country?
60



1 research for impact

2 UNDP·UNFPA·UNICEF·WHO·WORLD BANK

3
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8
9 4.3. How many hours per week are your service offering testing?

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15
16 4.4. How testing is provided in your service?

- 17 [1] Only by appointment
18 [2] By appointment and also without appointment
19 [3] Only without appointment
20 [4] Other:
21 Please, specify which other:
22
23
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28
29 **Age of consent**

30
31 4.5. In your country, are there any laws, regulations, policies and/or strategies that address
32 legally minor adolescents' consent to:

33 4.5.1.HIV counselling and testing (HCT):

- 34 [1] No
35 [2] Yes. Please specify the age of consent: _____
36 [3] Don't know

37 4.5.2.STI diagnosis and treatment:

- 38 [1] No
39 [2] Yes. Please specify the age of consent: _____
40 [3] Don't know

41 4.5.3.Sexual health counselling:

- 42 [1]No
43 [2] Yes. Please specify the age of consent: _____
44 [3] Don't know

45 4.5.4.Sexual violence/ sexual abuse services:

- 46 [1] No
47 [2] Yes. Please specify the age of consent: _____
48 [3] Don't know

49
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51
52
53 **Prohibition of sexual-related practices**

54
55 4.6. In your country, are there any laws, regulations and/or policies prohibiting specific
56 sexuality-related practices below a certain age and/or for all:

57 4.6.1.Sexual activity outside marriage

- 58 [1] No
59 [2] Yes for all
60

- 3 [3] Yes only below a certain age. Please, specify the age:
4 [4] Don't know

6 4.6.2.Cohabitation of nonmarried couples (hetero/homosexual)

- 7 [1] No
8 [2] Yes for all
9 [3] Yes only below a certain age. Please, specify the age:
10 [4] Don't know

13 4.6.3.Sex between men

- 14 [1] No
15 [2] Yes for all
16 [3] Yes only below a certain age. Please, specify the age:
17 [4] Don't know

20 4.6.4.Same sex civil union/marriage

- 21 [1] No
22 [2] Yes for all
23 [3] Yes only below a certain age. Please, specify the age:
24 [4] Don't know

26 **Non-discrimination**

28 4.7. In your country, are there any laws, regulations and/or policies supporting non-
29 discrimination on grounds of: (please indicate all the option that apply)

- 30 [1] Sex
31 [2] Sexual orientation
32 [3] Gender identity
33 [4] Race/ethnicity
34 [5] Marital status
35 [6] HIV status
36 [7] Involvement in sex work
37 [8] Others. Please specify: _____

42 4.8. Please ascertain the existence, in your country, of laws that foster equal opportunities
43 for marginalized populations such as:(please indicate all the options that apply)

- 44 [1] Adolescents
45 [2] People living with HIV/AIDS
46 [3] Men who have sex with men
47 [4] Transgender people
48 [5] Intersex people
49 [6] Migrants
50 [7] Indigenous populations
51 [8] Sex workers

58 **Sex work**

4.9. In your country, are there laws, regulations and/or policies concerning sex work that:(please indicate all the options that the answer is yes)

- [1] Criminalize sex workers
- [2] Criminalize consumers of sex work
- [3] Criminalize pimping
- [4] Regulate sex work through zoning
- [5] Regulate sex work through brothels
- [6] Regulate sex work through mandatory health checks
- [7] Protect sex work as labour

Sexual violence

4.10. In your country, are there formal/customary laws, regulations and/or policies prohibiting the following forms of sexual violence:(please indicate all the options that the answer is yes)

- [1] Sexual violence/sexual assault
- [2] Intimate partner violence
- [3] Rape, of males
- [4] Rape of transgender people
- [5] Violence directed at people because of real or perceived sexual practices, behaviour or expression
- [6] Sexual harassment
- [7] Forced sterilization
- [8] Trafficking
- [9] Forced prostitution

Training standards

4.11. In your country, are there available standards/curricula for training in sexuality counselling?

- [1] No
- [2] Yes

4.12. If yes, are those standards/curricula considering the following issues? (please indicate all the options that the answer is yes)

- [1] sex/gender
- [2] age
- [3] sexual orientation

[4] gender identity

Counselling standards

3 4.13. In your country, is there available a strategy to provide sexuality counselling
4 through public services?
5 [1] No
6 [2] Yes
7 [3] Don't know
8
9

10 4.14. If yes, is this strategy considering the following issues? (please indicate all the
11 options that the answer is yes)
12 [1] sex/gender
13 [2] sexual orientation
14 [3] gender identity
15
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20 Notes:

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For peer review only