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Efficacy of systemic lidocaine on postoperative delirium in patients undergoing laparoscopic colorectal surgery: study protocol for a multicentre, prospective, double-blind, randomised, parallel-group, equivalence, placebo-controlled trial

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Manuscripts

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3 1 Anaesthesia
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5 2 Protocol
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8 3 **Efficacy of systemic lidocaine on postoperative delirium in patients**
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10 4 **undergoing laparoscopic colorectal surgery: study protocol for a**
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12 5 **multicentre, prospective, double-blind, randomised, parallel-group,**
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14 6 **equivalence, placebo-controlled trial**
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1 ABSTRACT

2 **Introduction:** Systemic lidocaine may reduce pain intensity and accelerate postoperative
3 recovery. But the efficacy of systemic lidocaine on cognitive function has not been established.
4 This study protocol is designed to clarify the effectiveness of lidocaine on postoperative
5 delirium (POD) in elderly patients scheduled for elective laparoscopic colorectal surgery.

6 **Methods and analysis:** This is a prospective, multicentre, randomised, double-blind,
7 parallel-group, placebo-controlled trial. One thousand and twenty elderly patients will be
8 randomly allocated in a ratio of 1:1 to receive either systemic lidocaine (a bolus of 1.5 mg kg⁻¹,
9 followed by an infusion of 1.5 mg kg⁻¹ h⁻¹ until the end of the surgery) or identical volumes and
10 rates of 0.9% saline. The primary outcome measure is the prevalence of POD during the first
11 five postoperative days. Secondary outcomes include emergence agitation, the area under the
12 curve of the Numerical Rating Scale pain scores over 48 h, postoperative 48-h cumulative
13 opioid consumption, postoperative nausea and vomiting, recovery of bowel function, quality of
14 recovery, and patient satisfaction with postoperative analgesia.

15 **Ethics and dissemination:** The Ethical Committees of Fujian Provincial Hospital has
16 approved the study protocol (Ref: K2021-06-018). We will obtain written informed consent
17 from each patient before they are randomised. The result of this study will be presented at
18 scientific conferences and submitted to international journals.

19 **Trial registration details:** This study has been registered on the Chinese Clinical Trial
20 Registry (<http://www.chictr.org.cn>, identifier number: ChiCTR2100050314).

21 **Keywords:** postoperative delirium; lidocaine; elderly patients; laparoscopic colorectal surgery

1 Article Summary

2 Strengths and limitations of this study

- 3 ● The study design will be multicentre, prospective, randomised, double-blinded,
4 equivalent and placebo-controlled.
- 5 ● This is the first study to evaluate the efficacy of systemic lidocaine on postoperative
6 delirium (POD) in geriatric patients undergoing laparoscopic colorectal surgery.
- 7 ● Only elderly patients following laparoscopic colorectal surgery are included, which
8 will limit the generalisability of the results.
- 9 ● The anaesthetic-sparing effects of lidocaine might weaken the efficiency of
10 blindness to the treating anesthesiologist.
- 11 ● Several confounding factors such as anxiety, distress probably influence the
12 prevalence of POD.

14 INTRODUCTION

15 *Background*

16 Postoperative delirium (POD) is a debilitating postoperative neurological complication, which
17 often starts in the post-anaesthesia care unit and appears up to 5 days after surgery.
18 Diagnostic and Statistical Manual of Mental Disorders, Fifth Edition (DSM-5) has defined POD
19 as an acute and fluctuating alteration of the mental state of reduced awareness and
20 disturbance of attention.^[2] Advanced age is one of the significant predisposing factors for POD.
21 The prevalence of delirium varies from 20% to 45% in geriatric surgical patients.^[3] POD is
22 associated with several adverse clinical consequences, including prolonged hospitalisation,
23 additional healthcare costs, increased morbidity and mortality risks. Furthermore, POD
24 development may induce cognitive impairment after surgery, resulting in significant loss of
25 functional independence and long-term cognitive decline.^[4]

26 Systemic lidocaine is widely used for its beneficial effects on postoperative analgesia and
27 recovery, including alleviating visceral pain, accelerating gastrointestinal recovery, and

1 reducing hospital stay length.^[5] In addition, previous clinical research has reported a beneficial
2 effect of lidocaine on neurologic injury after major surgeries.^[6-8] Because lidocaine's
3 therapeutic index is relatively low, central nervous system toxicity may start when plasma
4 levels are only slightly higher than therapeutic levels. Consequently, Lidocaine might be fatal
5 to elderly patients when misused.^[9] To date, the efficacy of systemic lidocaine on POD in older
6 patients undergoing major surgery remains elusive. We hypothesise that the administration of
7 systemic lidocaine intraoperatively will reduce the prevalence of POD in elderly patients. The
8 proposed clinical trial aims to establish evidence for the efficacy of lidocaine on the prevalence
9 of POD in elderly patients undergoing laparoscopic colorectal surgery.

10 **Objectives**

11 Our goal is to evaluate the efficacy of systemic lidocaine on POD in elderly patients
12 undergoing laparoscopic colorectal surgery. Therefore, we test the primary hypothesis that
13 patients receiving systemic lidocaine are associated with a lower prevalence of POD within the
14 first five postoperative days. Secondly, we test the hypotheses that systemic lidocaine
15 alleviates postoperative pain, reduces postoperative opioid consumption, enhances the quality
16 of recovery, and further improves patients' satisfaction.

17 **Trial design**

18 This study is a prospective, multicentre, randomised, double-blind, parallel-group,
19 placebo-controlled trial. The Ethical Committees of Fujian Provincial Hospital has approved
20 the protocol. A total of 1020 participants will be randomly assigned to the lidocaine group or
21 saline group in a ratio of 1:1. The study schema is presented in **Figure 1**.

22 **METHODS**

23 **Recruitment and study setting**

24 Participants scheduled for elective laparoscopic colorectal surgery under general anaesthesia
25 will be enrolled by a researcher (Dr Bingbing Fu). Patients participating in the study will be
26 screened according to inclusion and exclusion criteria. Dr Bingbing Fu will visit patients
27 scheduled for laparoscopic colorectal surgery who meet the eligibility criteria and express

1 interest in participating in this trial. Dr Bingbing Fu will provide a verbal explanation of written
2 consent and answer any questions about the study in detail (i.e., the study purpose,
3 procedures, time commitment, the potential risks and benefits associated with participation in
4 the survey.) There will be enough time for each participant to consider whether participating in
5 this trial. The participants are recruited in this study after signing separate informed consents.
6 The enrollment period will extend over twelve months.

7 ***Inclusion criteria***

8 Participants need to fulfil the following criteria:

- 9 ● Aged 65 years or older.
- 10 ● Undergoing laparoscopic colorectal surgery.
- 11 ● American Society of Anesthesiologists (ASA) physical status I to III.

12 ***Exclusion criteria***

13 Patients with the following conditions are excluded:

- 14 ● History of mental illness or scored less than 27 on the baseline Mini-Mental State
15 examination before operation.
- 16 ● Patients weighing < 40 kg.
- 17 ● Severe cardiac arrhythmias.
- 18 ● Symptomatic cerebrovascular disease (e.g., prior stroke).
- 19 ● Severe renal dysfunction (serum creatinine more than 2 mg dL⁻¹).
- 20 ● Severe hepatic dysfunction (liver function tests more than 1.5 times the upper limit of
21 normal).
- 22 ● Unable to communicate or other situations that are not appropriate for this study.

23 ***Study locations***

24 We will conduct this trial in four hospitals, including Fujian Provincial Hospital, People's
25 Hospital Affiliated with Fujian University of Traditional Chinese Medicine, the 900th Hospital of
26 Joint Service Support Force of People's Liberation Army of China, and Sanming First Hospital.

27 ***Randomisation, allocation concealment, and blinding***

1 Allocation sequence has been generated using a computer-generated randomisation list
2 followed by obtaining written informed consent. And participants will be randomised to receive
3 a continuous intravenous infusion of lidocaine or 0.9% saline in a ratio of 1:1. This study is a
4 simple allocation with no stratifications and blocks. Group assignments will be concealed in
5 sequentially numbered opaque envelopes opened on the day of surgery. The study
6 medications will be prepared by an independent research nurse who is not involved in the
7 patient's care. All participants, surgeons, anaesthesiologists, and research personnel will not be
8 informed of the group assignments during the study period.

9 ***Intervention***

10 After patients in the lidocaine group received a bolus of 1.5 mg kg⁻¹ intravenous lidocaine over
11 10 min before induction of anaesthesia, and then a continuous infusion of 1.5 mg kg⁻¹ h⁻¹
12 systemic lidocaine is administered until the end of the surgery. Ideal body weight will be used
13 for lidocaine dose calculation. Patients in the control group will be administered equal volumes
14 of 0.9% saline using the identical application scheme. The study medications will be prepared
15 in two syringes: a 20 mL syringe for the bolus injection and a 50 mL syringe for the continuous
16 intravenous infusion. This approach will result in both groups receiving an equal volume per
17 unit of time.

18 ***General anaesthesia and postoperative analgesia protocol***

19 Upon arrival at the operating room, all patients will be monitored with pulse oximetry, invasive
20 blood pressure and electrocardiogram. We will induce general anaesthesia using propofol 2
21 mg kg⁻¹ and sufentanil 0.6 µg kg⁻¹. A bolus injection of rocuronium 0.6 mg kg⁻¹ will be
22 administered to facilitate laryngeal mask airway (LMA) insertion. We will maintain the end-tidal
23 carbon dioxide partial pressure (PaCO₂) of 35 to 45 mmHg using pressure-controlled
24 mechanical ventilation. Anaesthesia will be maintained by inhalation of sevoflurane, aiming for
25 a bispectral index of 40–60. Intravenous remifentanyl infusion will be adjusted to maintain the
26 hemodynamic parameters (mean arterial pressure and heart rate) fluctuation within 20% of
27 baseline. The neuromuscular blockade will be achieved by intermittent injections of
28 cisatracurium 5 mg as needed. After the surgical procedure, the surgeon will infiltrate the
29 wounds with 10 mL of 0.25% ropivacaine. For postoperative analgesia, all patients will receive

1 patient-controlled intravenous analgesia (PCIA) with sufentanil. The PCIA pump (REHN II;
2 Renxian Medical Corporation, Jiangsu, China) will be set to deliver $1 \mu\text{g h}^{-1}$ sufentanil. If the
3 numeric rating scale (NRS) for pain exceeded three or the patient required, a bolus injection of
4 sufentanil $2 \mu\text{g}$ would be administrated as a rescue analgesic, with a 10-min lockout interval
5 via the PCIA pump, and the maximum dose of sufentanil will be set at $10 \mu\text{g h}^{-1}$. The PCIA will
6 be discontinued 48 h after surgery.

7 **Patient and public involvement**

8 Patients and the public are not involved in the study design and conduct. The study results will
9 not be disseminated to study participants.

10 **OUTCOMES**

11 ***Primary outcome***

12 The primary outcome is the occurrence of POD during the first five postoperative days. The
13 Confusion Assessment Method (CAM) is a screening instrument for non-psychiatrically trained
14 clinicians to evaluate POD according to the Diagnostic and Statistical Manual of Mental
15 Disorders (Fifth Edition) (DSM-5) criteria. Delirium can be diagnosed via interview using the
16 CAM algorithm following four criteria: (1) acute onset or fluctuating course; (2) inattention; (3)
17 disorganised thinking; and (4) altered level of consciousness. The research member will
18 assess POD twice daily (between 8 and 10 am and between 6 and 8 pm). If criteria 1 and 2
19 and either of 3 or 4 are present, delirium is diagnosed.^[10] Meanwhile, POD severity will be
20 rated daily using CAM-Severity (CAM-S).

21 ***Secondary outcomes***

22 Secondary outcomes are as follows:

- 23 1. The AUCs of the NRS pain scores over time: The postoperative pain at rest and on
24 movement will be assessed using self-reported NRS score (no pain=0; maximum pain=10)
25 at 0.5, 1, 2, 4, 8, 24 and 48h postoperatively.
- 26 2. The emergence agitation will be assessed within stay in the PACU using the Riker
27 Sedation-Agitation Scale.^[11] A score > of 4 is defined as emergence agitation.

- 1 3. Postoperative cumulative opioid consumption over 48 h postoperatively will be recorded.
- 2 4. Postoperative nausea and vomiting (PONV) will be assessed within 48h postoperatively.
- 3 PONV score are assessed using a 4-point scale (1 = absent; 2 = mild nausea; 3 = severe
- 4 nausea; and 4 = vomiting).^[12]
- 5 5. The occurrence of dizziness will be assessed within 48 h after surgery.
- 6 6. Quality of recovery will be assessed 24 h and 48 h postoperatively using the Chinese
- 7 version of the global 15-item QoR questionnaire (QoR-15).^[13]
- 8 7. Patient satisfaction with pain management will be assessed 48 h after surgery using an
- 9 11-point Likert scale (0 = entirely unsatisfied; 10 = fully satisfied).
- 10 8. Time to first bowel movement and time to first passage of flatus will be recorded to assess
- 11 bowel function. Recovery of bowel function between two groups will be compared.

12 ***Participant timeline***

13 The participant timeline is demonstrated in **Table 1**

1 Table 1 **Participant timeline**

STUDY PERIOD													
	Enrolment	Allocation	Post-allocation										
TIMEPOINT**	<i>preoperative</i>	0 day	<i>surgery</i>	0.5h	1h	2h	4h	8h	12h	24h	2d	3d	4d
ENROLMENT:	X												
Eligibility screen	X												
Informed consent	X												
Random allocation		X											
INTERVENTIONS:													
Baseline data	X	X											
Systemic lidocaine		X											
Intraoperative data			X										
ASSESSMENTS:													
CAM										X	X	X	X
NRS score				X	X	X	X	X		X	X		
Emergence Agitation				X	X								
<i>Postoperative opioid consumption</i>											X		
QoR-15	X									X	X		
patient satisfaction											X		
PONV				◆—————◆									
Dizziness				◆—————◆									
Time to first bowel movement				◆—————◆									
Time to first passage of flatus				◆—————◆									
Time of first analgesia demand				◆—————◆									

1 **Study monitoring**

2 ***Data monitoring and quality assurance***

3 All assessments will be carried out by study team members blinded to the treatment allocation.

4 All investigators will receive standardised training for neurocognitive and delirium assessments
5 before study initiation. The completed CRFs will be checked by a qualified study coordinator.

6 Severe adverse events will be monitored so that patients could be discontinued from the study.

7 The Ethical Committees of Fujian Provincial Hospital have complete responsibility for monitoring
8 the conduct of the research and the quality of data. Data analyses performed by the primary
9 investigator (Dr Xincheng Liao) will be supervised by an independent statistician (not involved in
10 the surgery) from the Ethical Committees of Fujian Provincial Hospital. Missing intraoperative
11 data, if any, will be obtained from the electronic medical record. The postoperative data will be
12 received during the first five postoperative days via interview.

14 ***Harm***

15 We do not expect that the study would expose participants to any severe hazards.
16 Lidocaine-related adverse events, including central nervous system toxicity, such as central
17 nervous system depression, dizziness and convulsions during the trial, will be recorded and
18 assessed by Dr Yusheng Yao. Considering lidocaine's toxicity, we will avoid plasma lidocaine
19 concentrations reaching 5 µg mL⁻¹. Lipid emulsion 20% will be readily prepared in case of local
20 anaesthetic toxicity.⁹ The study will be stopped if there is a clinical suspicion of harm of the
21 intervention. All serious and unexpected adverse events during the study will be recorded,
22 closely monitored, and reported to the Ethical Committees of Fujian Provincial Hospital as soon
23 as possible, with the intentions of a resolution or even termination of the study if necessary.

24 ***Follow-up and withdrawal***

25 All the participants will complete a five days' follow-up. Any participants who do not meet the
26 entire five-day follow-up process due to deviation from intervention, discontinuation for personal

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4 1 reasons, or contact failure will not be replaced by other patients. All patients can decide to
5
6 2 withdraw at any time.

8 3 ***Patient and public involvement***

9
10 4 None.

13 5 **Statistics**

16 6 ***Loss to follow-up***

17
18 7 Although we expect a negligible loss of patients to follow-up, we have accounted for up to 10%
19
20 8 loss to follow-up in our sample size calculation. If a patient withdraws from the study prematurely,
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22 9 data will be collected under the informed consent up to a consent withdrawal point. Analyses of
23
24 10 all outcomes will be performed according to the intention-to-treat principle, and once enrolled, all
25
26 11 participants will be analysed.

29 12 ***Sample size***

30
31 13 Our sample size calculation for testing the efficacy of systemic lidocaine is based on the
32
33 14 prevalence of POD in elderly patients undergoing laparoscopic colorectal surgery. Based on our
34
35 15 institution's retrospective medical record, the prevalence of POD in elderly patients undergoing
36
37 16 laparoscopic colorectal surgery is approximate 21%. According to power analysis (a type I error
38
39 17 rate of 5% and a power of 0.8), we need 458 participants per group to detect a reduction in the
40
41 18 prevalence of POD by one-third. Allowing for 10% of withdrawal or drop out, we will enroll 1020
42
43 19 participants in this study.

44 20 ***Data analysis***

45
46
47 21 All statistical analyses will be conducted using the IBM SPSS Statistics version 25.0 (IBM
48
49 22 Corporation, Armonk, NY, USA). We will use Shapiro-Wilk test and the Q-Q plot to assess the
50
51 23 normality distribution of the continuous data. Normal distribution and Skewed distribution
52
53 24 variables will be presented as mean (standard deviation, SD) or median (interquartile range,
54
55 25 IQR). Categorical variables will be expressed as numbers (proportion). Independent t-tests or
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57 26 Mann-Whitney U-tests will be used to analyse the global QoR-15 score, postoperative
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59 27 cumulative opioid consumption, bowel function and patient satisfaction between the groups. For
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4 1 each statistical comparison, the 95% confidence interval (CI) of the difference is given.
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6 2 Chi-Square test or Fisher's exact test will be used to compare the prevalence of postoperative
7
8 3 delirium, the incidence of dizziness and PONV between the lidocaine group and the control
9
10 4 group. Additionally, the area under the curve (AUC) of NRS pain scores over 48 h will be
11
12 5 calculated using GraphPad Prism 8 (GraphPad Software, San Diego, CA, USA). A two-tailed *P*
13
14 6 value of less than 0.05 is considered statistically significant.
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16 7

18 **Data management**

19
20 9 Before initiating the study, an electronic case report form (eCRF) is established and available
21
22 10 online at a dedicated website with password-protected access for each participating centre.
23
24 11 Each enrolled patient will be assigned an identification number. Participants' identification data
25
26 12 will be kept confidential until the results of the study are published. All the research data pertinent
27
28 13 to the clinical investigation will be recorded into the e-CRF. Data are entered and
29
30 14 double-checked for accuracy. The final dataset is encrypted and stored on the secure Research
31
32 15 Electronic Data Capture to protect confidentiality before, during, and after the trial.
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36 **DISCUSSION**

37
38 17 Perioperative lidocaine infusion is widely used in pain control and offers beneficial clinical
39
40 18 efficacy, especially in colorectal surgery. The 2018 edition of a Cochrane review concluded that
41
42 19 systemic lidocaine could improve postoperative outcomes, including gastrointestinal recovery,
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44 20 hospital stay length, opioid requirements and suggested that perioperative systemic lidocaine
45
46 21 could benefit colorectal surgery patients^[14] Moreover, preclinical trials suggest that systemic
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48 22 lidocaine might have neuroprotective effects in elderly patients undergoing noncardiac surgery
49
50 23 such as spine and orthopaedic surgery.^[7] With the increasing number of elderly patients
51
52 24 undergoing colorectal surgery and the growing incidence of postoperative delirium, the
53
54 25 management of geriatric patients has become the forefront of colorectal surgery. We
55
56 26 hypothesise that administering systemic lidocaine perioperatively would reduce the prevalence
57
58 27 of postoperative delirium in elderly patients undergoing laparoscopic colorectal surgery.
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4 1 Elderly patients are exposed to a higher risk of POD because of predisposing risk factors such
5
6 2 as impaired functional status, comorbidity, malnutrition, cognitive impairment and feeble brain.^[1]
7
8 3 Today the common explanation for the development of POD is increased neuroinflammatory
9
10 4 activity and immuno-hormonal response due to perioperative stress.^[15] Proof of the role of
11
12 5 neuroinflammation in this process is the excessive production of generally proinflammatory
13
14 6 chemokine such as TNF, interleukin (IL)-6 and IL-8, which is found in elderly patients with
15
16 7 delirium.^[16] To date, the anti-inflammatory properties of lidocaine have been well
17
18 8 characterised.^[17,18] Several clinical studies show that perioperative administration of lidocaine is
19
20 9 significantly associated with attenuation of the surgery-induced release of proinflammatory
21
22 10 cytokines, e.g. IL-6 and IL-8, and/or decreased C-reactive protein levels.^[19-25] Thus, lidocaine
23
24 11 may be a candidate for preventing POD in elderly patients.

25
26 12 This study is subject to several limitations. First, we do not assess plasma lidocaine levels. After
27
28 13 a loading dose of 1.5 mg kg⁻¹ is given as an injection over 10 min, an infusion of 1.5 mg kg⁻¹ h⁻¹
29
30 14 until the end of the surgery is administered. Which is recommended by the international
31
32 15 consensus statement of the use of intravenous lidocaine for postoperative pain and recovery.^[9]
33
34 16 This dosage results typically in plasma concentrations < 5 µg mL⁻¹ (toxic level). But the
35
36 17 accumulation of lidocaine is still a concern for neurotoxicity with continuous infusion, especially in
37
38 18 elderly patients. We will prepare Lipid emulsion 20% wherever systemic lidocaine is used in case
39
40 19 of an adverse incident when elderly patients receive an intravenous lidocaine infusion. Secondly,
41
42 20 only elderly surgical patients undergoing laparoscopic colorectal surgery are enrolled in our trials,
43
44 21 which may limit the generalisability of this study. Thirdly, it is possible that during daily delirium
45
46 22 assessments, some periods of acute-onset inattention, disorganised thinking, or altered level of
47
48 23 consciousness may be missed, potentially leading to misclassification of these outcomes.
49
50 24 Despite this, routine testing once per day is an accepted method of diagnosing delirium.^[26]
51
52 25 In the present project, we expect to definitively clarify the efficacy of systemic lidocaine on
53
54 26 postoperative delirium in elderly patients scheduled for laparoscopic colorectal surgery. This
55
56 27 study results may support systemic lidocaine reducing POD prevalence in elderly patients.

28 **Ethical approval and dissemination**

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4 1 The Ethical Committees of Fujian Provincial Hospital approved the study protocol version 2.0 on
5
6 2 June 24th, 2021 (Ref: K2021-06-018). The study period is designed to be from October 2021 to
7
8 3 October 2022, and the clinical trial will be completed within 12 months. This trial was registered
9
10 4 at the Chinese Clinical Trials Registry (www.chictr.org.cn, trial identifier: ChiCTR2100050314)
11
12 5 on August 26th, 2021. The result of this study will be disseminated via manuscript publication
13
14 6 and peer-reviewed journals.

7 **Protocol amendments**

8 Any modifications of the protocol which may impact the implementation of the study, probably
9 benefit the patients, or affect patients' safety, including changes in study design, sample size,
10 and study procedures, will be submitted to the Ethical Committees of Fujian Provincial Hospital.
11 And the Ethical Committees will communicate for the amendment approval.

12 **Trial status**

13 This study has not been started.

14 **Author contributions**

15 LC, FB and YY designed the study. LC and FB drafted the manuscript of the protocol. YY
16 critically revised the manuscript. LC, FB, YJ, LH, and QB participated in the conduct of the study.
17 All authors have read and given consent to the final manuscript.

18 **Data sharing statement**

19 The individual participant data are available upon reasonable request from the principal
20 investigator (Yusheng Yao, ORCID: 0000-0001-8488-8876) after publication. The study protocol,
21 statistical analysis plan, and clinical study report will also be available.

22 **Patient consent for publication**

23 Obtained.

24 **Competing interests**

25 The authors have no conflicts of interest.

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4 Provenance and peer review

5 Not commissioned; externally peer-reviewed.

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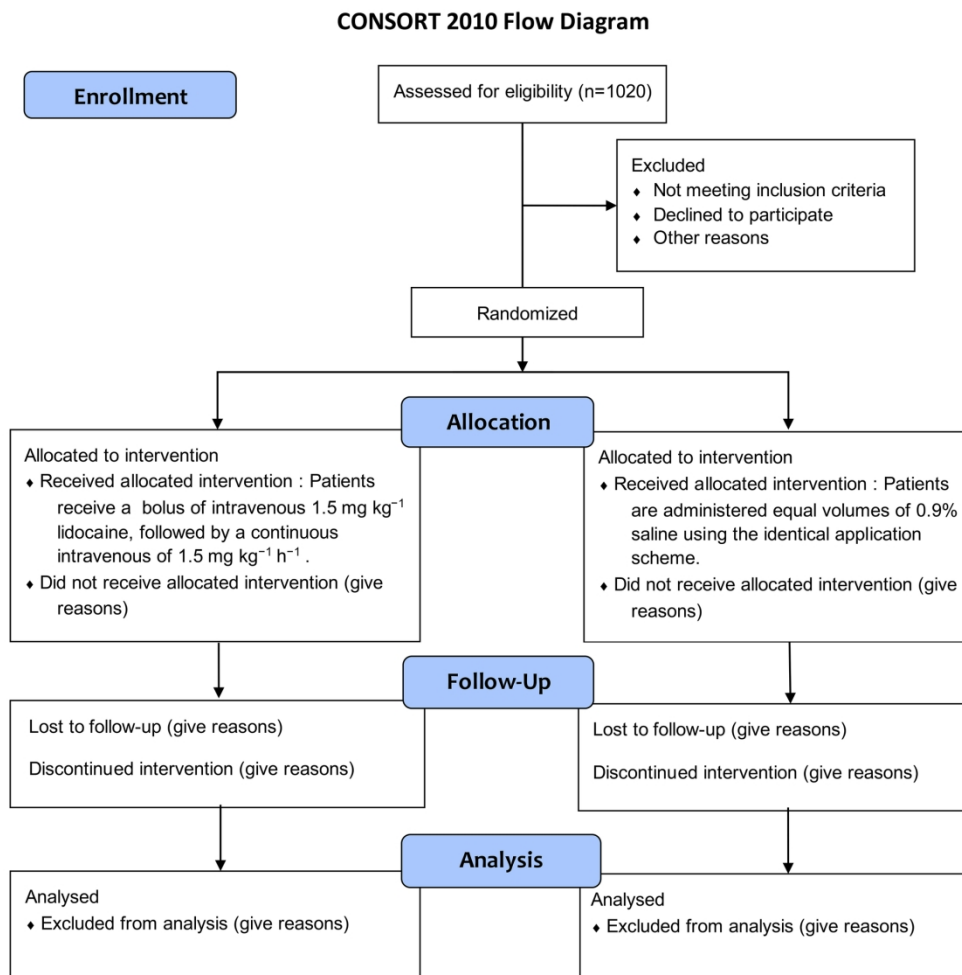
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18 **Figure legends**

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21 9 **Figure 1** Consolidated Standards of Reporting Trials (CONSORT) flow diagram describing
22
23 10 patient progress through the study.

24
25 11 Abbreviations: POD, postoperative delirium.
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Consolidated Standards of Reporting Trials (CONSORT) flow diagram describing patient progress through the study.

90x90mm (600 x 600 DPI)



SPIRIT 2013 Checklist: Recommended items to address in a clinical trial protocol and related documents*

Section/item	Item No	Description	Addressed on page number
Administrative information			
Title	1	Descriptive title identifying the study design, population, interventions, and, if applicable, trial acronym	Page 1 line 3
Trial registration	2a	Trial identifier and registry name. If not yet registered, name of intended registry	Page 2 line 19
	2b	All items from the World Health Organization Trial Registration Data Set	n/a
Protocol version	3	Date and version identifier	n/a
Funding	4	Sources and types of financial, material, and other support	Page 15 line 2
Roles and responsibilities	5a	Names, affiliations, and roles of protocol contributors	Page 14 line 8
	5b	Name and contact information for the trial sponsor	n/a
	5c	Role of study sponsor and funders, if any, in study design; collection, management, analysis, and interpretation of data; writing of the report; and the decision to submit the report for publication, including whether they will have ultimate authority over any of these activities	n/a
	5d	Composition, roles, and responsibilities of the coordinating centre, steering committee, endpoint adjudication committee, data management team, and other individuals or groups overseeing the trial, if applicable (see Item 21a for data monitoring committee)	n/a
Introduction			

1	Background and rationale	6a	Description of research question and justification for undertaking the trial, including summary of relevant studies (published and unpublished) examining benefits and harms for each intervention	Page 3 line 16
2				
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6		6b	Explanation for choice of comparators	Page 4 line 3
7				
8				
9	Objectives	7	Specific objectives or hypotheses	Page 4 line 11
10				
11	Trial design	8	Description of trial design including type of trial (eg, parallel group, crossover, factorial, single group), allocation ratio, and framework (eg, superiority, equivalence, noninferiority, exploratory)	Page 4 line 18
12				
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14				
15	Methods: Participants, interventions, and outcomes			
16				
17	Study setting	9	Description of study settings (eg, community clinic, academic hospital) and list of countries where data will be collected. Reference to where list of study sites can be obtained	Page 5 line 24
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22	Eligibility criteria	10	Inclusion and exclusion criteria for participants. If applicable, eligibility criteria for study centres and individuals who will perform the interventions (eg, surgeons, psychotherapists)	Page 5 line 8
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27	Interventions	11a	Interventions for each group with sufficient detail to allow replication, including how and when they will be administered	Page 6 line 10
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32		11b	Criteria for discontinuing or modifying allocated interventions for a given trial participant (eg, drug dose change in response to harms, participant request, or improving/worsening disease)	n/a
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37		11c	Strategies to improve adherence to intervention protocols, and any procedures for monitoring adherence (eg, drug tablet return, laboratory tests)	n/a
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1		11d	Relevant concomitant care and interventions that are permitted or prohibited during the trial	n/a
2	Outcomes	12	Primary, secondary, and other outcomes, including the specific measurement variable (eg, systolic blood pressure), analysis metric (eg, change from baseline, final value, time to event), method of aggregation (eg, median, proportion), and time point for each outcome. Explanation of the clinical relevance of chosen efficacy and harm outcomes is strongly recommended	Page 7 line 12
3				
4				
5	Participant timeline	13	Time schedule of enrolment, interventions (including any run-ins and washouts), assessments, and visits for participants. A schematic diagram is highly recommended (see Figure)	Page 8 line 12
6				
7				
8	Sample size	14	Estimated number of participants needed to achieve study objectives and how it was determined, including clinical and statistical assumptions supporting any sample size calculations	Page 11 line 13
9				
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11	Recruitment	15	Strategies for achieving adequate participant enrolment to reach target sample size	n/a
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Methods: Assignment of interventions (for controlled trials)

Allocation:

14	Sequence generation	16a	Method of generating the allocation sequence (eg, computer-generated random numbers), and list of any factors for stratification. To reduce predictability of a random sequence, details of any planned restriction (eg, blocking) should be provided in a separate document that is unavailable to those who enrol participants or assign interventions	Page 6 line 1
15				
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17	Allocation concealment mechanism	16b	Mechanism of implementing the allocation sequence (eg, central telephone; sequentially numbered, opaque, sealed envelopes), describing any steps to conceal the sequence until interventions are assigned	Page 6 line 5
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20	Implementation	16c	Who will generate the allocation sequence, who will enrol participants, and who will assign participants to interventions	Page 4 line 25
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1				
2	Blinding (masking)	17a	Who will be blinded after assignment to interventions (eg, trial participants, care providers, outcome assessors, data analysts), and how	Page 6 line 7
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7		17b	If blinded, circumstances under which unblinding is permissible, and procedure for revealing a participant's allocated intervention during the trial	n/a
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11	Methods: Data collection, management, and analysis			
12				
13	Data collection methods	18a	Plans for assessment and collection of outcome, baseline, and other trial data, including any related processes to promote data quality (eg, duplicate measurements, training of assessors) and a description of study instruments (eg, questionnaires, laboratory tests) along with their reliability and validity, if known. Reference to where data collection forms can be found, if not in the protocol	Page 10 line 4
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20		18b	Plans to promote participant retention and complete follow-up, including list of any outcome data to be collected for participants who discontinue or deviate from intervention protocols	Page 10 line 25
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23	Data management	19	Plans for data entry, coding, security, and storage, including any related processes to promote data quality (eg, double data entry; range checks for data values). Reference to where details of data management procedures can be found, if not in the protocol	Page 12 line 9
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28	Statistical methods	20a	Statistical methods for analysing primary and secondary outcomes. Reference to where other details of the statistical analysis plan can be found, if not in the protocol	Page 11 line 21
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32		20b	Methods for any additional analyses (eg, subgroup and adjusted analyses)	n/a
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34		20c	Definition of analysis population relating to protocol non-adherence (eg, as randomised analysis), and any statistical methods to handle missing data (eg, multiple imputation)	n/a
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38	Methods: Monitoring			
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1	Data monitoring	21a	Composition of data monitoring committee (DMC); summary of its role and reporting structure; statement of whether it is independent from the sponsor and competing interests; and reference to where further details about its charter can be found, if not in the protocol. Alternatively, an explanation of why a DMC is not needed	n/a
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8		21b	Description of any interim analyses and stopping guidelines, including who will have access to these interim results and make the final decision to terminate the trial	n/a
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11	Harms	22	Plans for collecting, assessing, reporting, and managing solicited and spontaneously reported adverse events and other unintended effects of trial interventions or trial conduct	Page 10 line 15
12				
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14	Auditing	23	Frequency and procedures for auditing trial conduct, if any, and whether the process will be independent from investigators and the sponsor	n/a
15				
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18	Ethics and dissemination			
19				
20	Research ethics approval	24	Plans for seeking research ethics committee/institutional review board (REC/IRB) approval	Page 14 line 1
21				
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23	Protocol amendments	25	Plans for communicating important protocol modifications (eg, changes to eligibility criteria, outcomes, analyses) to relevant parties (eg, investigators, REC/IRBs, trial participants, trial registries, journals, regulators)	Page 14 line 8
24				
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28	Consent or assent	26a	Who will obtain informed consent or assent from potential trial participants or authorised surrogates, and how (see Item 32)	Page 5 line 1
29				
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31		26b	Additional consent provisions for collection and use of participant data and biological specimens in ancillary studies, if applicable	n/a
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34	Confidentiality	27	How personal information about potential and enrolled participants will be collected, shared, and maintained in order to protect confidentiality before, during, and after the trial	Page 12 line 12
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37	Declaration of interests	28	Financial and other competing interests for principal investigators for the overall trial and each study site	Page 14 line 25
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1	Access to data	29	Statement of who will have access to the final trial dataset, and disclosure of contractual agreements that limit such access for investigators	n/a
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4	Ancillary and post-trial care	30	Provisions, if any, for ancillary and post-trial care, and for compensation to those who suffer harm from trial participation	n/a
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9	Dissemination policy	31a	Plans for investigators and sponsor to communicate trial results to participants, health care professionals, the public, and other relevant groups (eg, via publication, reporting in results databases, or other data sharing arrangements), including any publication restrictions	Page 7 line 8
10				
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13		31b	Authorship eligibility guidelines and any intended use of professional writers	n/a
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15		31c	Plans, if any, for granting public access to the full protocol, participant-level dataset, and statistical code	n/a
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18	Appendices			
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20	Informed consent materials	32	Model consent form and other related documentation given to participants and authorised surrogates	n/a
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23	Biological specimens	33	Plans for collection, laboratory evaluation, and storage of biological specimens for genetic or molecular analysis in the current trial and for future use in ancillary studies, if applicable	n/a
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*It is strongly recommended that this checklist be read in conjunction with the SPIRIT 2013 Explanation & Elaboration for important clarification on the items. Amendments to the protocol should be tracked and dated. The SPIRIT checklist is copyrighted by the SPIRIT Group under the Creative Commons "[Attribution-NonCommercial-NoDerivs 3.0 Unported](https://creativecommons.org/licenses/by-nc-nd/3.0/)" license.

BMJ Open

Efficacy of systemic lidocaine on postoperative delirium in elderly patients undergoing laparoscopic colorectal surgery: study protocol for a multicentre, prospective, double-blind, randomised, parallel-group, equivalence, placebo-controlled trial

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Primary Subject Heading:	Anaesthesia
Secondary Subject Heading:	Anaesthesia
Keywords:	Pain management < ANAESTHETICS, PREVENTIVE MEDICINE, Adverse events < THERAPEUTICS, Colorectal surgery < SURGERY, Delirium & cognitive disorders < PSYCHIATRY

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Manuscripts

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3 Anaesthesia

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8 **Efficacy of systemic lidocaine on postoperative delirium in elderly**
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10 **patients undergoing laparoscopic colorectal surgery: study protocol for**
11 **a multicentre, prospective, double-blind, randomised, parallel-group,**
12 **equivalence, placebo-controlled trial**
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50 **Word Count:** 3763 words
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ABSTRACT

Introduction: Systemic lidocaine may reduce pain intensity and accelerate postoperative recovery. However, the efficacy of systemic lidocaine on cognitive function has not been established. This study protocol is designed to clarify the effectiveness of lidocaine on postoperative delirium (POD) in elderly patients scheduled for elective laparoscopic colorectal surgery.

Methods and analysis: This is a prospective, multicentre, randomised, double-blind, parallel-group, placebo-controlled trial. One thousand and twenty elderly patients will be randomly allocated in a ratio of 1:1 to receive either systemic lidocaine (a bolus of 1.5 mg kg⁻¹, followed by an infusion of 1.5 mg kg⁻¹ h⁻¹ until the end of the surgery) or identical volumes and rates of 0.9% saline. The primary outcome measure is the prevalence of POD during the first five postoperative days. Secondary outcomes include emergence agitation, the area under the curve of the Numerical Rating Scale pain scores over 48 h, postoperative 48-h cumulative opioid consumption, postoperative nausea and vomiting, recovery of bowel function, quality of recovery, and patient satisfaction with postoperative analgesia.

Ethics and dissemination: The Ethical Committees of Fujian Provincial Hospital approved the study protocol (Ref: K2021-06-018). Other participating subcentres must also obtain ethics committee approval before the start of the study. We will obtain written informed consent from each patient before they are randomised. This study will be presented at scientific conferences and submitted to international journals.

Trial registration details: This study has been registered on the Chinese Clinical Trial Registry (<http://www.chictr.org.cn>, identifier number: ChiCTR2100050314).

Keywords: postoperative delirium; lidocaine; elderly patients; laparoscopic colorectal surgery

Article Summary

Strengths and limitations of this study

- The study design will be multicentre, prospective, randomised, double-blinded, equivalent and placebo-controlled.
- This is the first study to evaluate the efficacy of systemic lidocaine on postoperative delirium (POD) in geriatric patients undergoing laparoscopic colorectal surgery.
- Only elderly patients following laparoscopic colorectal surgery will be included, limiting the generalisability of the results.
- The anaesthetic-sparing effects of lidocaine might weaken the efficiency of blindness to the treating anaesthesiologist.
- Lidocaine will be administered only until the end of the surgery, not postoperatively, which may have affected the results.

INTRODUCTION

Background

Postoperative delirium (POD) is a debilitating postoperative neurological complication that often starts in the postanesthesia care unit and appears up to 5 days after surgery. ^[1] The Diagnostic and Statistical Manual of Mental Disorders, Fifth Edition (DSM-5) has defined POD as an acute and fluctuating alteration of the mental state of reduced awareness and disturbance of attention. ^[2] Advanced age is one of the significant predisposing factors for POD. The prevalence of delirium varies from 20% to 45% in geriatric surgical patients. ^[3] POD is associated with several adverse clinical consequences, including prolonged hospitalisation, additional healthcare costs, and increased morbidity and mortality risks. Furthermore, POD development may induce cognitive impairment after surgery, resulting in significant loss of functional independence and long-term cognitive decline. ^[4]

Systemic lidocaine is widely used for its beneficial effects on postoperative analgesia and recovery, including alleviating visceral pain, accelerating gastrointestinal recovery, and

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2
3 reducing hospital stay length. [5] In addition, previous clinical research has reported a beneficial
4 effect of lidocaine on neurologic injury after major surgeries. [6-8] Because lidocaine's
5 therapeutic index is relatively low, central nervous system toxicity may start when plasma
6 levels are only slightly higher than therapeutic levels. Consequently, Lidocaine might be fatal
7 to elderly patients when misused. [9] To date, the efficacy of systemic lidocaine on POD in older
8 patients undergoing major surgery remains elusive. We hypothesise that administering
9 systemic lidocaine intraoperatively will reduce the prevalence of POD in elderly patients. The
10 proposed clinical trial aims to establish evidence for the efficacy of lidocaine on the prevalence
11 of POD in elderly patients undergoing laparoscopic colorectal surgery.
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20 21 **Objectives**

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24 Our goal is to evaluate the efficacy of systemic lidocaine on POD in elderly patients
25 undergoing laparoscopic colorectal surgery. Therefore, we will test the primary hypothesis that
26 patients receiving systemic lidocaine have a lower prevalence of POD within the first five
27 postoperative days. Second, we will test the hypotheses that systemic lidocaine alleviates
28 postoperative pain, reduces postoperative opioid consumption, enhances the quality of
29 recovery, and further improves patient satisfaction.
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36 37 **Trial design**

38 This study is a prospective, multicentre, randomised, double-blind, parallel-group,
39 placebo-controlled trial. A total of 1020 participants will be randomly assigned to the lidocaine
40 group or saline group at a ratio of 1:1. The study schema is presented in **Figure 1**.
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47 48 **METHODS**

49 50 **Recruitment and study setting**

51 Participants scheduled for elective laparoscopic colorectal surgery under general anaesthesia
52 will be enrolled by a member of the research team. Patients participating in the study will be
53 screened according to inclusion and exclusion criteria. A study investigator will visit patients
54 scheduled for laparoscopic colorectal surgery who meet the eligibility criteria and express
55 interest in participating in this trial. A research team member will verbalise written consent and
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3 answer any questions about the study in detail (i.e., the study purpose, procedures, time
4 commitment, the potential risks and benefits associated with participation in the survey). Each
5 participant will have enough time to consider participating in this trial. The participants will be
6 recruited for this study after signing informed consent. The enrollment period will extend to
7 over twenty-four months.
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12 ***Inclusion criteria***

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15 Participants need to fulfil the following criteria:

- 16 ● Aged 65 years or older.
- 17 ● Undergoing laparoscopic colorectal surgery.
- 18 ● American Society of Anesthesiologists (ASA) physical status I to III.

19 ***Exclusion criteria***

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22 Patients with the following conditions will be excluded:

- 23 ● History of mental illness or scored less than 27 on the baseline Mini-Mental State
24 examination before operation.
- 25 ● Patients weighing < 40 kg.
- 26 ● Severe cardiac arrhythmias include Adams-Stokes syndrome, sick sinus syndrome,
27 second- and third-degree atrioventricular block, double-bundle branch block, and severe
28 bradycardia.
- 29 ● Symptomatic cerebrovascular disease (e.g., prior stroke).
- 30 ● Severe renal dysfunction (serum creatinine more than 2 mg dL⁻¹).
- 31 ● Severe hepatic dysfunction (liver function tests more than 1.5 times the upper limit of
32 normal).
- 33 ● Being scheduled to be admitted to the intensive care unit.
- 34 ● Being unable to communicate or other situations that are not appropriate for this study.

35 ***Study locations***

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38 We will conduct this trial in four hospitals, including Fujian Provincial Hospital (primary centre),
39 People's Hospital Affiliated with Fujian University of Traditional Chinese Medicine (subcentre),
40 the 900th Hospital of Joint Service Support Force of People's Liberation Army of China
41 (subcentre), and Sanming First Hospital (subcentre).
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Randomisation, allocation concealment, and blinding

After obtaining written informed consent, an allocation sequence will be generated using a computer-generated randomisation list. Participants will be randomised to receive a continuous intravenous infusion of lidocaine or 0.9% saline at a ratio of 1:1. This study is a simple allocation with no stratifications and blocks. Group assignments will be concealed in sequentially numbered opaque envelopes opened on the day of surgery. The study medications will be prepared by an independent research nurse who is not involved in the patient's care. All participants, surgeons, anesthesiologists, and research personnel will not be informed of the group assignments during the study period.

Intervention

Patients in the lidocaine group will receive a bolus of 1.5 mg kg⁻¹ intravenous lidocaine over 10 min before anaesthesia induction. A continuous infusion of 1.5 mg kg⁻¹ h⁻¹ systemic lidocaine will be administered until the end of the surgery. Ideal body weight will be used for lidocaine dose calculation. Patients in the saline group will be administered equal volumes of 0.9% saline using the identical application scheme. The study medications will be prepared in two syringes: a 20 mL syringe for the bolus injection and a 50 mL syringe for the continuous intravenous infusion. This approach will result in both groups receiving an equal volume per unit of time. Blood samples from both groups will be collected to determine plasma drug concentrations at the end of surgery.

General anaesthesia and postoperative analgesia protocol

Upon arrival at the operating room, all patients will be monitored with pulse oximetry, invasive blood pressure and electrocardiogram. We will induce general anaesthesia using 2 mg kg⁻¹ propofol and 0.6 µg kg⁻¹ sufentanil. A bolus injection of rocuronium 0.6 mg kg⁻¹ will be administered to facilitate cuffed endotracheal tube intubation. We will maintain an end-tidal carbon dioxide partial pressure (PaCO₂) of 35 to 45 mmHg using pressure-controlled mechanical ventilation. Anaesthesia will be held by inhalation of sevoflurane, aiming for a bispectral index of 40–60. Intravenous remifentanil infusion will be adjusted to maintain the hemodynamic parameter (mean arterial pressure and heart rate) fluctuation within 20% of baseline. The neuromuscular blockade will be achieved by intermittent injections of

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3 cisatracurium 5 mg as needed. All patients will receive patient-controlled intravenous
4 analgesia (PCIA) with sufentanil for postoperative analgesia. The PCIA pump (REHN II;
5 Renxian Medical Corporation, Jiangsu, China) will be set to deliver 1 $\mu\text{g h}^{-1}$ sufentanil. If the
6 numeric rating scale (NRS) for pain exceeds three or the patients require, a bolus injection of
7 sufentanil 2 μg will be administered as a rescue analgesic, with a 10-min lockout interval via
8 the PCIA pump, and the maximum dose of sufentanil will be set at 10 $\mu\text{g h}^{-1}$.
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17 **OUTCOMES**

18 ***Primary outcome***

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23 The primary outcome is the occurrence of POD during the first five postoperative days. The
24 Confusion Assessment Method (CAM) is a screening instrument for nonpsychiatrically trained
25 clinicians to evaluate POD according to the Diagnostic and Statistical Manual of Mental
26 Disorders (Fifth Edition) (DSM-5) criteria. Delirium can be diagnosed via interview using the
27 CAM algorithm following four criteria: (1) acute onset or fluctuating course; (2) inattention; (3)
28 disorganised thinking; and (4) altered level of consciousness. The research members will
29 assess POD twice daily (between 8 and 10 am and between 6 and 8 pm). If criteria 1 and 2
30 and either of 3 or 4 are present, delirium is diagnosed.^[10]
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39 ***Secondary outcomes***

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41 Secondary outcomes are as follows:

- 42
43 1. The AUCs of the NRS pain scores over time: The postoperative pain at rest and on
44 movement will be assessed using a self-reported NRS score (no pain=0; maximum
45 pain=10) at 0.5, 1, 2, 4, 8, 24 and 48 h postoperatively.
- 46
47 2. The emergence agitation will be assessed within stay in the PACU using the Riker
48 Sedation-Agitation Scale.^[11] A score >of 4 is defined as emergence agitation.
- 49
50 3. The severity of POD will be evaluated using CAM-Severity (CAM-S).^[12] CAM-S scores
51 range from 0 to 7 (7 indicating the most severe). Inattention, disorganised thinking, and
52 altered level of consciousness will be rated as absent (0), mild (1) or marked (2). Acute
53 onset or fluctuation will be absent (0) or present (1).
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- 3 4. Postoperative cumulative opioid consumption over 48 h postoperatively will be recorded.
- 4
- 5 5. Postoperative nausea and vomiting (PONV) will be assessed within 48 h postoperatively.
- 6
- 7 PONV scores are assessed using a 4-point scale (1 = absent; 2 = mild nausea; 3 = severe
- 8 nausea; and 4 = vomiting). ^[13]
- 9
- 10
- 11 6. The occurrence of dizziness will be assessed within 48 h after surgery.
- 12
- 13 7. Quality of recovery will be assessed 24 h and 48 h postoperatively using the Chinese
- 14 version of the global 15-item QoR questionnaire (QoR-15). ^[14]
- 15
- 16 8. Patient satisfaction with pain management will be assessed 48 h after surgery using an
- 17 11-point Likert scale (0 = entirely unsatisfied; 10 = fully satisfied).
- 18
- 19
- 20 9. Time to first bowel movement and time to first passage of flatus will be recorded to assess
- 21 bowel function. The recovery of bowel function between the two groups will be compared.
- 22
- 23
- 24 10. The plasma concentration of lidocaine will be determined by high-performance liquid
- 25 chromatography (HPLC) at the end of surgery.
- 26
- 27
- 28 11. The local anaesthetic toxicity will be recorded by CRF forms, such as metallic taste,
- 29 tinnitus, and abnormal vision.
- 30
- 31

Participant timeline

The participant timeline is demonstrated in **Table 1**

1 Table 1 Participant timeline

STUDY PERIOD														
	Enrolment	Allocation	Post-allocation											
TIMEPOINT**	<i>preoperative</i>	0 day	<i>surgery</i>	0.5 h	1 h	2 h	4 h	8 h	12 h	24 h	2 d	3 d	4 d	5 d
ENROLMENT:	X													
Eligibility screen	X													
Informed consent	X													
Random allocation		X												
INTERVENTIONS:														
Baseline data	X	X												
Systemic lidocaine		X												
Intraoperative data			X											
ASSESSMENTS:														
POD and severity									X	X	X	X		X
NRS pain score				X	X	X	X	X	X	X	X			
Emergence agitation				X	X									
<i>Postoperative opioid consumption</i>											X			
QoR-15	X									X	X			
Patient satisfaction											X			
PONV				◆—————◆										
Dizziness				◆—————◆										
Time to first bowel movement				◆—————◆										
Time to first passage of flatus				◆—————◆										
Time of first analgesia demand				◆—————◆										

Study monitoring

Data monitoring and quality assurance

All assessments will be carried out by study team members blinded to the treatment allocation. All investigators will receive standardised neurocognitive and delirium assessment training before study initiation. A qualified study coordinator will check the completed CRFs. Severe adverse events will be monitored so that patients can be discontinued from the study. The Ethical Committees of Fujian Provincial Hospital will complete responsibility for monitoring the conduct of the research and the quality of the data. Data analyses performed by a study investigator will be supervised by an independent statistician (not involved in the surgery) from the Ethical Committees of Fujian Provincial Hospital. Missing intraoperative data, if any, will be obtained from the electronic medical record. The postoperative data will be received via interview during the first five postoperative days.

Harm

We do not expect the study to expose participants to any severe hazards. Lidocaine-related adverse events, including central nervous system toxicity, such as central nervous system depression, dizziness and convulsions during the trial, will be recorded and assessed by Dr Yusheng Yao. Considering lidocaine's toxicity, we will avoid plasma lidocaine concentrations reaching $5 \mu\text{g mL}^{-1}$. A 20% lipid emulsion will be readily prepared in case of local anaesthetic toxicity.⁹ The study will be stopped if there is a clinical suspicion of harm from the intervention. All serious and unexpected adverse events during the study will be recorded, closely monitored, and reported to the Ethical Committees of Fujian Provincial Hospital as soon as possible, with the intentions of a resolution or even termination of the study if necessary.

Follow-up and withdrawal

All the participants will complete a five-day follow-up. Any participants who do not meet the entire five-day follow-up process due to deviation from intervention, discontinuation for personal reasons, admission to the ICU involuntarily due to bleeding or shock, or contact failure will not be replaced by other patients. All patients can decide to withdraw at any time.

Statistics

Loss to follow-up

Although we expect a negligible loss of patients to follow-up, we account for up to 10% loss to follow-up in our sample size calculation. If a patient withdraws from the study prematurely, data will be collected under the informed consent up to a consent withdrawal point. Analyses of all outcomes will be performed according to the intention-to-treat principle, and once enrolled, all participants will be analysed.

Sample size

Our sample size calculation for testing the efficacy of systemic lidocaine is based on the prevalence of POD in elderly patients undergoing laparoscopic colorectal surgery. Based on our institution's retrospective medical record, the prevalence of POD in elderly patients undergoing laparoscopic colorectal surgery is approximately 21%. According to power analysis (a type I error rate of 5% and a power of 0.8), we will need 458 participants per group to detect a reduction in the prevalence of POD by one-third. Allowing for 10% withdrawal or dropout, we will enroll 1020 participants in this study.

Data analysis

All statistical analyses will be conducted using IBM SPSS Statistics version 25.0 (IBM Corporation, Armonk, NY, USA). We will use the Shapiro–Wilk test and the Q-Q plot to assess the normality distribution of the continuous data. Normally, skewed distribution variables will be presented as mean (standard deviation, SD) or median (interquartile range, IQR). Categorical variables will be expressed as numbers (proportions). Independent t tests or Mann–Whitney U-tests will be used to analyse the global QoR-15 score, severity of POD, postoperative cumulative opioid consumption, bowel function and patient satisfaction between the groups. The difference's 95% confidence interval (CI) is given for each statistical comparison. The chi-square test or Fisher's exact test will be used to compare the prevalence of POD and the incidence of dizziness and PONV between the lidocaine group and the saline group. Postoperative NRS pain scores will be assessed using a two-way repeated-measures analysis of variance. Bonferroni correction

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5 will be applied for comparing groups at each time point, with p values adjusted by multiplying the
6 nominal p value by the number of tests. Additionally, the area under the curve (AUC) of NRS
7 pain scores over 48 h will be calculated using GraphPad Prism 8 (GraphPad Software, San
8 Diego, CA, USA). A two-tailed p value of less than 0.05 is considered statistically significant.
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12 13 ***Interim analysis*** 14

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16 An interim analysis will initiate the primary outcome when the collected cases reach 50% of the
17 target sample size. The primary purpose of the medium-term plan is to assess the safety and
18 effectiveness of interventions and to reestimate sample sizes. We will calculate alpha
19 expenditure by the O'Brien–Fleming method, and the final p value will be regarded as 0.048.
20
21 There will be no plans to terminate the trial for futility.
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26 27 ***Patient and public involvement*** 28

29 Clinicians and a medical statistician took part in the design of the study. Patients nor public
30 parties were involved in the design or conducting of the study.
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33 34 ***Data management*** 35

36 Before initiating the study, an electronic case report form (eCRF) will be established and
37 available online at a dedicated website with password-protected access for each participating
38 centre. Each enrolled patient will be assigned an identification number. Participants' identification
39 data will be kept confidential until the study results are published. All the research data pertinent
40 to the clinical investigation will be recorded in the e-CRF. Data will be entered and
41 double-checked for accuracy. The final dataset will be encrypted and stored on the secure
42 Research Electronic Data Capture to protect confidentiality before, during, and after the trial.
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51 **DISCUSSION** 52

53 Perioperative lidocaine infusion is widely used in pain control and offers beneficial clinical
54 efficacy, especially in colorectal surgery. The 2018 edition of a Cochrane review concluded that
55 systemic lidocaine could improve postoperative outcomes, including gastrointestinal recovery,
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4 hospital stay length, and opioid requirements, and suggested that perioperative systemic
5 lidocaine could benefit colorectal surgery patients. ^[15] Moreover, preclinical trials suggest that
6 systemic lidocaine might have neuroprotective effects in elderly patients undergoing noncardiac
7 surgery such as spine and orthopaedic surgery. ^[7] With the increasing number of elderly patients
8 undergoing colorectal surgery and the growing incidence of POD, the management of geriatric
9 patients has become the forefront of colorectal surgery. We hypothesise that administering
10 systemic lidocaine perioperatively would reduce the prevalence of POD in elderly patients
11 undergoing laparoscopic colorectal surgery.
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19 Elderly patients are exposed to a higher risk of POD because of predisposing risk factors such
20 as impaired functional status, comorbidity, malnutrition and cognitive impairment. ^[1] Currently,
21 the standard explanation for the development of POD is increased neuroinflammatory activity
22 and immuno-hormonal response due to perioperative stress. ^[16] Proof of the role of
23 neuroinflammation in this process is the excessive production of generally proinflammatory
24 chemokines such as TNF, interleukin (IL)-6 and IL-8, which is found in elderly patients with
25 delirium. ^[17] To date, the anti-inflammatory properties of lidocaine have been well characterised.
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33 ^[18,19] Several clinical studies have shown that perioperative administration of lidocaine is
34 significantly associated with attenuation of the surgery-induced release of proinflammatory
35 cytokines, e.g., IL-6 and IL-8, and decreased C-reactive protein levels. ^[20-26] Thus, lidocaine may
36 be a candidate for preventing POD in elderly patients.
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40 This study is subject to several limitations. First, lidocaine will only be infused for safety reasons
41 until the end of surgery rather than postoperatively. Intravenous lidocaine outside the operating
42 room requires close monitoring on a level 2 or higher ward, ^[27] which is not available in all
43 participating centres. The accumulation of lidocaine is still a concern for neurotoxicity with
44 continuous infusion, especially in elderly patients. We will prepare a 20% lipid emulsion wherever
45 systemic lidocaine is used in case of an adverse incident when elderly patients receive an
46 intravenous lidocaine infusion. Second, only elderly surgical patients undergoing laparoscopic
47 colorectal surgery will be enrolled in our trials, which may limit the generalisability of this study.
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56 Third, it is possible that during daily delirium assessments, some periods of acute-onset
57 inattention, disorganised thinking, or altered level of consciousness may be missed, potentially
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4 leading to misclassification of these outcomes. Despite this, routine testing twice per day is an
5
6 accepted method of diagnosing delirium. [28]

7
8 In the present project, we expect to definitively clarify the efficacy of systemic lidocaine on
9
10 postoperative delirium in elderly patients scheduled for laparoscopic colorectal surgery. These
11
12 study results may support the idea that systemic lidocaine reduces POD prevalence in elderly
13
14 patients.

15 16 **Ethics and dissemination**

17
18 The Ethical Committees of Fujian Provincial Hospital approved the study protocol version 2.0 on
19
20 June 24th, 2021 (Ref: K2021-06-018). Other participating subcentres must also obtain ethics
21
22 committee approval documents prior to the start of clinical trials. The study period is designed to
23
24 be from March 2022 to March 2024, and the clinical trial will be completed within 24 months. This
25
26 trial was registered at the Chinese Clinical Trials Registry (www.chictr.org.cn, trial identifier:
27
28 ChiCTR2100050314) on August 26th, 2021. The results of this study will be disseminated via
29
30 manuscript publication and peer-reviewed journals.

31 32 **Protocol amendments**

33
34 Any modifications of the protocol that may impact the study's implementation, probably benefit
35
36 the patient's, or affect patients' safety, including changes in study design, sample size, and study
37
38 procedures, will be submitted to the Ethical Committees of Fujian Provincial Hospital. The Ethical
39
40 Committees will communicate for the amendment approval.

41 42 **Trial status**

43
44 This study has not been started.

45 46 **Author contributions**

47
48 Xincheng Liao: conceptualisation, design, investigation, and writing-original draft. Bingbing Fu:
49
50 conceptualisation, design, data curation, formal analysis, and writing-original draft. Jia Yun:
51
52 conceptualisation, design, investigation, and resources. Huifen Lin: conceptualisation, design,
53
54 investigation, and resources. Bin Qian: conceptualisation, design, investigation, and resources.

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2
3
4 Yusheng Yao: conceptualisation, design, resources, supervision, validation, funding acquisition,
5 and writing-review & editing. All authors have critically reviewed the article and approved the final
6 submitted version of the manuscript.
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9

10 **Data sharing statement**

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14 After publication, the individual participant data are available upon reasonable request from the
15 principal investigator (Yusheng Yao, ORCID: 0000-0001-8488-8876). The study protocol,
16 statistical analysis plan, and clinical study report will also be available.
17
18
19

20 **Patient consent for publication**

21
22
23 Not applicable.
24
25

26 **Competing interests**

27
28 None declared.
29
30

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32
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34 No. 2020HSJJ01), Fujian Medical Innovation Project (Grant No. 2019-CXB-6), and Natural
35 Science Foundation of Fujian Province (Grant No. 2021J01378).
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40 **Provenance and peer review**

41
42 Not commissioned; externally peer-reviewed.
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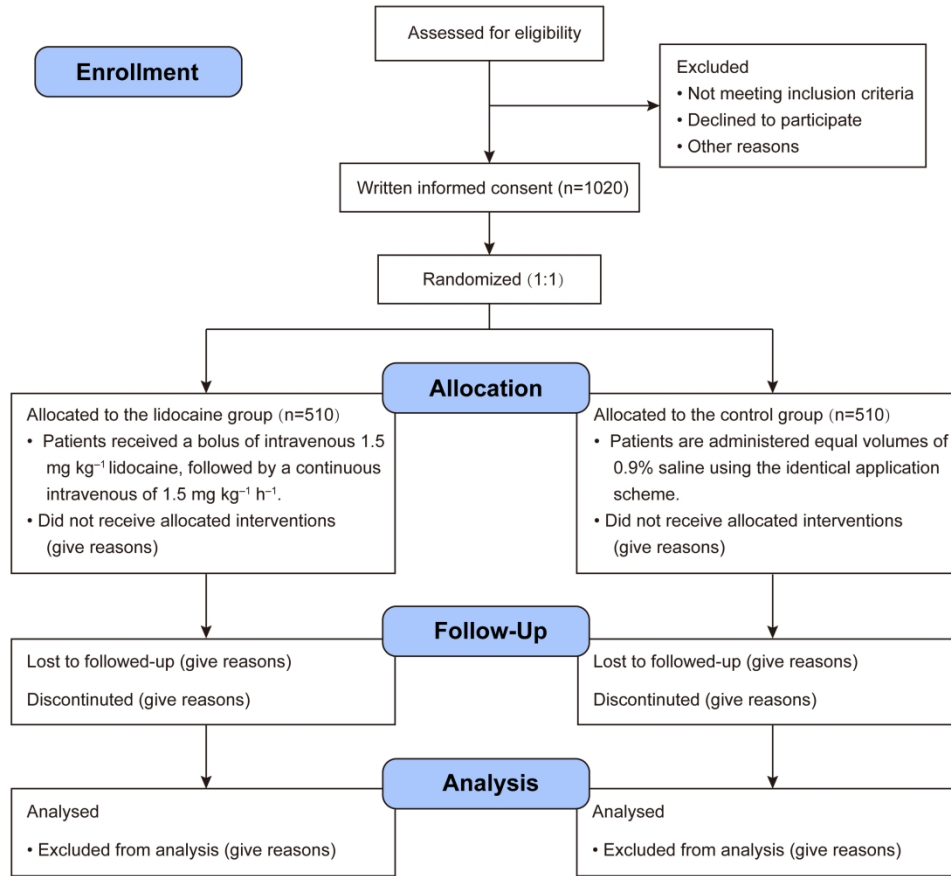
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4 **Figure legends**
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6
7 **Figure 1** Consolidated Standards of Reporting Trials (CONSORT) flow diagram describing
8 patient progress throughout the study.
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10 Abbreviations: POD, postoperative delirium.
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For peer review only

CONSORT 2010 Flow Diagram



Consolidated Standards of Reporting Trials (CONSORT) flow diagram describing patient progress throughout the study.

120x120mm (600 x 600 DPI)



STANDARD PROTOCOL ITEMS: RECOMMENDATIONS FOR INTERVENTIONAL TRIALS

SPIRIT 2013 Checklist: Recommended items to address in a clinical trial protocol and related documents*

Section/item	Item No	Description	Addressed on page number
Administrative information			
Title	1	Descriptive title identifying the study design, population, interventions, and, if applicable, trial acronym	Page 1 line 3
Trial registration	2a	Trial identifier and registry name. If not yet registered, name of intended registry	Page 2 line 19
	2b	All items from the World Health Organization Trial Registration Data Set	n/a
Protocol version	3	Date and version identifier	n/a
Funding	4	Sources and types of financial, material, and other support	Page 15 line 2
Roles and responsibilities	5a	Names, affiliations, and roles of protocol contributors	Page 14 line 8
	5b	Name and contact information for the trial sponsor	n/a
	5c	Role of study sponsor and funders, if any, in study design; collection, management, analysis, and interpretation of data; writing of the report; and the decision to submit the report for publication, including whether they will have ultimate authority over any of these activities	n/a
	5d	Composition, roles, and responsibilities of the coordinating centre, steering committee, endpoint adjudication committee, data management team, and other individuals or groups overseeing the trial, if applicable (see Item 21a for data monitoring committee)	n/a

Introduction

1	Background and rationale	6a	Description of research question and justification for undertaking the trial, including summary of relevant studies (published and unpublished) examining benefits and harms for each intervention	Page 3 line 16
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6		6b	Explanation for choice of comparators	Page 4 line 3
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9	Objectives	7	Specific objectives or hypotheses	Page 4 line 11
10				
11	Trial design	8	Description of trial design including type of trial (eg, parallel group, crossover, factorial, single group), allocation ratio, and framework (eg, superiority, equivalence, noninferiority, exploratory)	Page 4 line 18
12				
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14				
15	Methods: Participants, interventions, and outcomes			
16	Study setting	9	Description of study settings (eg, community clinic, academic hospital) and list of countries where data will be collected. Reference to where list of study sites can be obtained	Page 5 line 24
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21	Eligibility criteria	10	Inclusion and exclusion criteria for participants. If applicable, eligibility criteria for study centres and individuals who will perform the interventions (eg, surgeons, psychotherapists)	Page 5 line 8
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27	Interventions	11a	Interventions for each group with sufficient detail to allow replication, including how and when they will be administered	Page 6 line 10
28				
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32		11b	Criteria for discontinuing or modifying allocated interventions for a given trial participant (eg, drug dose change in response to harms, participant request, or improving/worsening disease)	n/a
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37		11c	Strategies to improve adherence to intervention protocols, and any procedures for monitoring adherence (eg, drug tablet return, laboratory tests)	n/a
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1		11d	Relevant concomitant care and interventions that are permitted or prohibited during the trial	n/a
2	Outcomes	12	Primary, secondary, and other outcomes, including the specific measurement variable (eg, systolic blood pressure), analysis metric (eg, change from baseline, final value, time to event), method of aggregation (eg, median, proportion), and time point for each outcome. Explanation of the clinical relevance of chosen efficacy and harm outcomes is strongly recommended	Page 7 line 12
3				
4				
5	Participant timeline	13	Time schedule of enrolment, interventions (including any run-ins and washouts), assessments, and visits for participants. A schematic diagram is highly recommended (see Figure)	Page 8 line 12
6				
7	Sample size	14	Estimated number of participants needed to achieve study objectives and how it was determined, including clinical and statistical assumptions supporting any sample size calculations	Page 11 line 13
8				
9	Recruitment	15	Strategies for achieving adequate participant enrolment to reach target sample size	n/a
10				
11	Methods: Assignment of interventions (for controlled trials)			
12	Allocation:			
13	Sequence generation	16a	Method of generating the allocation sequence (eg, computer-generated random numbers), and list of any factors for stratification. To reduce predictability of a random sequence, details of any planned restriction (eg, blocking) should be provided in a separate document that is unavailable to those who enrol participants or assign interventions	Page 6 line 1
14				
15	Allocation concealment mechanism	16b	Mechanism of implementing the allocation sequence (eg, central telephone; sequentially numbered, opaque, sealed envelopes), describing any steps to conceal the sequence until interventions are assigned	Page 6 line 5
16				
17	Implementation	16c	Who will generate the allocation sequence, who will enrol participants, and who will assign participants to interventions	Page 4 line 25
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2	Blinding (masking)	17a	Who will be blinded after assignment to interventions (eg, trial participants, care providers, outcome assessors, data analysts), and how	Page 6 line 7
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7		17b	If blinded, circumstances under which unblinding is permissible, and procedure for revealing a participant's allocated intervention during the trial	n/a
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11	Methods: Data collection, management, and analysis			
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13	Data collection methods	18a	Plans for assessment and collection of outcome, baseline, and other trial data, including any related processes to promote data quality (eg, duplicate measurements, training of assessors) and a description of study instruments (eg, questionnaires, laboratory tests) along with their reliability and validity, if known. Reference to where data collection forms can be found, if not in the protocol	Page 10 line 4
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20		18b	Plans to promote participant retention and complete follow-up, including list of any outcome data to be collected for participants who discontinue or deviate from intervention protocols	Page 10 line 25
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23	Data management	19	Plans for data entry, coding, security, and storage, including any related processes to promote data quality (eg, double data entry; range checks for data values). Reference to where details of data management procedures can be found, if not in the protocol	Page 12 line 9
24				
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28	Statistical methods	20a	Statistical methods for analysing primary and secondary outcomes. Reference to where other details of the statistical analysis plan can be found, if not in the protocol	Page 11 line 21
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32		20b	Methods for any additional analyses (eg, subgroup and adjusted analyses)	n/a
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34		20c	Definition of analysis population relating to protocol non-adherence (eg, as randomised analysis), and any statistical methods to handle missing data (eg, multiple imputation)	n/a
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38	Methods: Monitoring			
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1	Data monitoring	21a	Composition of data monitoring committee (DMC); summary of its role and reporting structure; statement of whether it is independent from the sponsor and competing interests; and reference to where further details about its charter can be found, if not in the protocol. Alternatively, an explanation of why a DMC is not needed	n/a
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8		21b	Description of any interim analyses and stopping guidelines, including who will have access to these interim results and make the final decision to terminate the trial	n/a
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11	Harms	22	Plans for collecting, assessing, reporting, and managing solicited and spontaneously reported adverse events and other unintended effects of trial interventions or trial conduct	Page 10 line 15
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14	Auditing	23	Frequency and procedures for auditing trial conduct, if any, and whether the process will be independent from investigators and the sponsor	n/a
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18	Ethics and dissemination			
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20	Research ethics approval	24	Plans for seeking research ethics committee/institutional review board (REC/IRB) approval	Page 14 line 1
21				
22				
23	Protocol amendments	25	Plans for communicating important protocol modifications (eg, changes to eligibility criteria, outcomes, analyses) to relevant parties (eg, investigators, REC/IRBs, trial participants, trial registries, journals, regulators)	Page 14 line 8
24				
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28	Consent or assent	26a	Who will obtain informed consent or assent from potential trial participants or authorised surrogates, and how (see Item 32)	Page 5 line 1
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31		26b	Additional consent provisions for collection and use of participant data and biological specimens in ancillary studies, if applicable	n/a
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34	Confidentiality	27	How personal information about potential and enrolled participants will be collected, shared, and maintained in order to protect confidentiality before, during, and after the trial	Page 12 line 12
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37	Declaration of interests	28	Financial and other competing interests for principal investigators for the overall trial and each study site	Page 14 line 25
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1	Access to data	29	Statement of who will have access to the final trial dataset, and disclosure of contractual agreements that limit such access for investigators	n/a
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4	Ancillary and post-trial care	30	Provisions, if any, for ancillary and post-trial care, and for compensation to those who suffer harm from trial participation	n/a
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9	Dissemination policy	31a	Plans for investigators and sponsor to communicate trial results to participants, health care professionals, the public, and other relevant groups (eg, via publication, reporting in results databases, or other data sharing arrangements), including any publication restrictions	Page 7 line 8
10				
11		31b	Authorship eligibility guidelines and any intended use of professional writers	n/a
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13		31c	Plans, if any, for granting public access to the full protocol, participant-level dataset, and statistical code	n/a
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18	Appendices			
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20	Informed consent materials	32	Model consent form and other related documentation given to participants and authorised surrogates	n/a
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23	Biological specimens	33	Plans for collection, laboratory evaluation, and storage of biological specimens for genetic or molecular analysis in the current trial and for future use in ancillary studies, if applicable	n/a
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*It is strongly recommended that this checklist be read in conjunction with the SPIRIT 2013 Explanation & Elaboration for important clarification on the items. Amendments to the protocol should be tracked and dated. The SPIRIT checklist is copyrighted by the SPIRIT Group under the Creative Commons “Attribution-NonCommercial-NoDerivs 3.0 Unported” license.

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