

BMJ Open is committed to open peer review. As part of this commitment we make the peer review history of every article we publish publicly available.

When an article is published we post the peer reviewers' comments and the authors' responses online. We also post the versions of the paper that were used during peer review. These are the versions that the peer review comments apply to.

The versions of the paper that follow are the versions that were submitted during the peer review process. They are not the versions of record or the final published versions. They should not be cited or distributed as the published version of this manuscript.

BMJ Open is an open access journal and the full, final, typeset and author-corrected version of record of the manuscript is available on our site with no access controls, subscription charges or pay-per-view fees (http://bmjopen.bmj.com).

If you have any questions on BMJ Open's open peer review process please email info.bmjopen@bmj.com

BMJ Open

COVID-19 vaccine hesitancy in Addis Ababa, Ethiopia: A mixed-methods study

Journal:	BMJ Open
Manuscript ID	bmjopen-2021-052432
Article Type:	Original research
Date Submitted by the Author:	19-Apr-2021
Complete List of Authors:	Dereje, Nebiyu; MyungSung Medical College, Department of Public Health Tesfaye, Abigel; MyungSung Medical College, Department of Medicine Tamene, Beamlak; MyungSung Medical College, Department of Medicine Alemeshet, Dina; MyungSung Medical College, Department of Medicine Abe, Haymanot; MyungSung Medical College, Department of Medicine Tesfa, Nathnael; MyungSung Medical College, Department of Medicine Gedion, Saron; MyungSung Medical College, Department of Medicine Biruk, Tigist; MyungSung Medical College, Department of Medicine Lakew, Yabets; MyungSung Medical College, Department of Medicine
Keywords:	COVID-19, Public health < INFECTIOUS DISEASES, EPIDEMIOLOGY

SCHOLARONE™ Manuscripts



I, the Submitting Author has the right to grant and does grant on behalf of all authors of the Work (as defined in the below author licence), an exclusive licence and/or a non-exclusive licence for contributions from authors who are: i) UK Crown employees; ii) where BMJ has agreed a CC-BY licence shall apply, and/or iii) in accordance with the terms applicable for US Federal Government officers or employees acting as part of their official duties; on a worldwide, perpetual, irrevocable, royalty-free basis to BMJ Publishing Group Ltd ("BMJ") its licensees and where the relevant Journal is co-owned by BMJ to the co-owners of the Journal, to publish the Work in this journal and any other BMJ products and to exploit all rights, as set out in our licence.

The Submitting Author accepts and understands that any supply made under these terms is made by BMJ to the Submitting Author unless you are acting as an employee on behalf of your employer or a postgraduate student of an affiliated institution which is paying any applicable article publishing charge ("APC") for Open Access articles. Where the Submitting Author wishes to make the Work available on an Open Access basis (and intends to pay the relevant APC), the terms of reuse of such Open Access shall be governed by a Creative Commons licence – details of these licences and which Creative Commons licence will apply to this Work are set out in our licence referred to above.

Other than as permitted in any relevant BMJ Author's Self Archiving Policies, I confirm this Work has not been accepted for publication elsewhere, is not being considered for publication elsewhere and does not duplicate material already published. I confirm all authors consent to publication of this Work and authorise the granting of this licence.

COVID-19 vaccine hesitancy in Addis Ababa, Ethiopia: A mixed-methods study

- Nebiyu Dereje^{1*}, Abigel Tesfaye², Beamlak Tamene², Dina Alemeshet², Haymanot Abe²,
- Nathnael Tesfa², Saron Gedion², Tigist Biruk², Yabets Lakew²
- ¹Department of Public Health, Myungsung Medical College, Addis Ababa, Ethiopia
- ²Department of Medicine, Myungsung Medical College, Addis Ababa, Ethiopia
- *Corresponding author contact information
- Nebiyu Dereje
- Email: neba.jahovy@gmail.com
- P.O.Box 14972
- Addis Ababa, Ethiopia

Abstract

- Objective: Data on COVID-19 vaccine hesitancy is limited in Ethiopia and other parts of
- 14 Africa. Therefore, the aim of this study was to determine the level of COVID-19 vaccine
- 15 hesitancy and its associated factors in Addis Ababa, Ethiopia.
- **Design:** A community-based concurrent mixed-methods study
- **Setting:** In a community setting
- Participants: Adult residents (n = 422) of Akaki Kality sub-city who were recruited by a multi-
- stage sampling technique were included for the quantitative part of the study and 24 adults who
- were included purposively for the qualitative in-depth interview.
- 21 Outcome Measures: Data was collected by face-to-face interview by using a semi-structured
- 22 questionnaire. Factors associated with COVID-19 vaccine hesitancy were identified by
- 23 multivariable binary logistic regression model, as expressed by adjusted odds ratio (aOR).
- **Results:** One out five (19.1%) participants was not willing to get vaccinated. In the
- 25 multivariable analysis, vaccine hesitancy was significantly associated with being female
- 26 (aOR=1.97; 95% CI: 1.10 3.89), negative attitude towards COVID-19 and its preventive
- measures (aOR=1.75; 95% CI: 1.08 3.02), and primary information source being social media
- 28 (internet) (aOR=3.59; 95% CI: 1.75 7.37). Study participants have stated that they did not
- 29 have enough information about the vaccine, feared it would not be effective or have too many
- 30 side effects, and reflected their uncertainty towards the quality of the vaccine.

- Covidence and unwilling to accept. This was mainly due to the misconceptions distributed from the use of social media as source of information. Providing the community
- with health education and consistent efforts to enhance the prevention measures are important,
- particularly using different medias including social-medias.
- **Key Words**: COVID-19, knowledge, attitude, Vaccine, Hesitancy
- 37 Article Summary

38 Strengths and limitations of this study

- This is the first study from Ethiopia to determine the level of COVID-19 vaccine hesitancy in the general population.
- A mixed-methods approach allows for triangulation of findings from different perspectives.
 - The study might be limited due to the recall bias and social desirability bias during the data collection.

Funding statement

- This study was funded by Myungsung Medical College. However, the funder had no role in the
- design, conduct, analysis and interpretation of this study.

48 Conflict of interest

- The authors declare that they have no conflict of interests.
- **Word count** = 2805

Introduction

Corona virus disease 2019 (COVID-19) is caused by severe acute respiratory syndrome corona virus 2 (SARS-CoV-2) also known as Novel coronavirus (nCov) [1]. The first case of COVID-19 was discovered in Wuhan city, Hubei province of China with unexplained pneumonia on December 12, 2019 [2]. The virus is transmitted through large droplets generated during coughing or sneezing of symptomatic and asymptomatic patients [3]. Therefore, frequent handwashing with soap and water, using alcohol based hand rub or sanitizer, avoidance of hand shaking/public gathering and use of face mask are crucial to halt the spread of COVID-19 [4]. COVID-19 was declared a pandemic by the World health organization on March 11, 2020 [5]. Since its emergence, this pandemic has shown its capability to spread rapidly in the world causing the most dramatic global health crisis of our time resulting in devastating social, economic and political crises [6]. Globally, more than 210 countries/territories have been affected by the virus, with more than one hundred twenty six million people being infected and 2.7 million deaths reported as of March 26, 2021. Ethiopia ranks 68th regarding COVID-19 with more than 194, 000 infected and 2,741 dead (March 26, 2021) [7]. Unfortunately, Ethiopia was found to be one of five African countries with the highest case burden of COVID-19 [7]. Although, the government of Ethiopia has been striving to spread information on COVID-19 preventive measures via television, radio or social media outlets and declared a state of emergency, still the public is not consistently adhering to the precautions [8]. Currently, COVID-19 vaccine has been made available but it is highly controversial. More than seven billion doses have been pre-purchased by countries and organizations of the world, of which more than half was sold-out to high income countries [9]. This figure is threatening to

the global health as may be an indication of the disparities on the health delivery globally.

Myths and conspiracy theories on vaccinations have been spreading and can easily be accepted

by the developing world. This may cause people to be reluctant and maleficent towards

vaccination, which has been demonstrated by a study in Nigeria by a low vaccine acceptability

rate [10]. WHO defined vaccine hesitancy as it is a difficulty in accepting or an outright refusal

of vaccines, despite their availability. In 2019, before the COVID-19 pandemic, the World

Health Organization listed vaccine hesitancy as one of the ten global threats to public health

82 [11].

Hence, it is crucial to understand the varying vaccine attitudes among the community to design a strategy to overcome the vaccine hesitancy. Furthermore, unraveling the specific fears and doubts of the community with regards to receiving the vaccine can help government and other concerned officials to adequately address the misconceptions and various conspiracy theories in their campaigns. Therefore, the aim of this study was to assess the level of COVID-19 vaccine

acceptability among the population in Addis Ababa; the capital city of Ethiopia.

Methods and Materials

Study design and participants

A concurrent mixed-methods study (QUAN + qual) was conducted from January 20-31, 2021

among adult population (≥18 years) currently residing in Akaki Kality sub city of Addis Ababa,

Ethiopia. The quantitative part of the study was addressed by a cross-sectional study design and

95 the qualitative part of the study was addressed by a phenomenological study design. The

qualitative part was mainly intended to explain the reasons for COVID-19 vaccine hesitancy, as a supplementary of the quantitative study.

A sample size for the quantitative part of the study (n = 422) was determined by using a single population proportion formula, by taking 95% confidence interval, 5% margin of error, 50% proportion of vaccine hesitancy and adding up 10% non-response rate. For the qualitative part, 24 participants were included into the study based on the information saturation of the researchers.

Multi-stage sampling technique was employed to recruit the participants for the quantitative part of the study. There were 13 districts in the sub-city; of which three of them were selected randomly (lottery method). The total sample was allocated proportionally to the districts. Then, the households from each district were selected by employing a systematic random sampling (sampling interval = every 4th house). From the specific selected households, only one randomly selected eligible individual was interviewed. For the qualitative part of the study, purposive sampling method was used to recruit participants who have reach information.

Patients and public involvement

Neither patients nor the public was involved in the study.

Data collection tools and procedures

Data was collected by using a semi-structured questionnaire which was adapted from reviewed literatures [10, 12, 13]. The questionnaire has 5 components: socio-demographic, knowledge towards COVID-19, attitude towards COVID-19, practice of COVID-19 prevention measures, and COVID-19 vaccine acceptance. The questionnaire was in English and translated into Amharic for the interview. The questionnaire was administered face-to-face by the medical

interns. For the qualitative part of the study, in-depth interviews were made by the investigators by using an in-depth interview guide.

Data management and analysis

Data was coded and entered into SPSS-for windows version 25 for analysis. Frequency and proportions were used to summarize categorical variables, whereas mean and standard deviation were used to summarize continuous variables.

The primary outcome variable of the study was COVID-19 vaccine hesitancy which was assessed by asking a question "Will you get vaccinated if you get COVID-19 vaccine?" then the response was dichotomized as "Yes" or "No". Knowledge of COVID-19 was assessed by 15 yes or no knowledge-based questions. Then, the knowledge score was categorized in two as below or above the mean score. The mean and below knowledge score was considered as poor knowledge while above the mean was considered as good knowledge. Attitude towards COVID-19 and its preventive measures was assessed by 11 questions which was in three Likert scale (agree, neutral, disagree) then mean score was calculated. Then, the attitude score was categorized in two as below or above the mean score. The mean and below attitude score was considered as negative attitude while above the mean was considered as positive attitude [10, 12, 13].

Multivariable binary logistic regression analysis was carried out to identify factors associated with vaccine hesitancy, as expressed by adjusted odds ratio (aOR) along with its respective 95% confidence interval (CI). Variables with <0.25 in bivariate analysis were considered for multivariable analysis. Variables having P value <0.05 were considered statistically significant. Multicollinearity was assessed by the colleniarity diagnostics (Variance Inflation Factor and the tolerance test). Goodness of the model was checked by the Hosmer Lemshow goodness of fit

test. The qualitative data analysis was initiated by transcription and translating of the interviews, then coded and analyzed by thematic analysis. The findings of the qualitative study were used to supplement the findings of quantitative data.

Ethical consideration

Ethical approval of this study was obtained from the Institutional Review Board (IRB) of Myungsung Medical College. The participants of the study were informed about the purpose of the study and provided their written consent. At the end of the interview, the data collectors have provided information with regard to the COVID-19 vaccine.

Results

Socio-demographic characteristics

A total of 409 participants completed the questionnaire, with a response rate of 96.9%. Majority of the participants 294 (71.9%) were females and married (62.3%) (Table 1). The mean age of the participants was 34.1 years (± 12.9), ranging from 18 - 85 years.

Knowledge and attitude towards COVID-19 preventive measures

Almost all of the participants heard about COVID-19 from Mass-media. However, the average knowledge score was 56.7 ± 3.7 , with 46.7% (n=191) exhibited poor level of knowledge. The mean attitude score was found to be 20.3 ± 1.2 , with 51.8% of the participants have negative attitude towards COVID-19 and its preventive measures.

This results were corroborated by the findings on the qualitative part of the study where the majority of the participants stated that they were initially very concerned but now they were less so. Some participants stated that they did not believe the disease exits anymore since they have not personally encountered an infected person.

Participants stated the following to show how they perceive about COVID-19:

"I am not scared because I expected this to happen; we brought this on ourselves and we are paying for our sins. It has been long time coming." [Female, 50 year old]

"I have been through an outbreak before...I got sick and I had to be isolated from my family but I recovered easily and I don't believe this would be any different." [Female, 47 year old]

"I was afraid that everyone in Ethiopia would die because even developed country people could not handle it. I think the only reason we have survived is because Ethiopia is God's country." [Female, 70 year old]

COVID-19 vaccine hesitancy

More than 90% of the participants heard about the COVID-19 vaccine mainly from Massmedia. However, 78 (19.1%) were not willing to get vaccinated when it becomes available (Figure 1). Out of them, 43.6% don't take the vaccine due to fear of side effects and 41.0% of them believe that the vaccine may be biological weapon (Figure 2).

In the qualitative in-depth interview, some stated they did not have enough information about the vaccine and wanted to see other people take it first. Majority of the participants feared it would not be effective or have too many side effects. A few of the participants thought that the vaccine that will be distributed in Africa would be of lower quality. Others thought it would be used as a biological weapon by the developed nations to cause infertility and control the population of poor countries. Moreover, it was also mentioned that the vaccines might be used as a weapon to insert microchips into the body as the "mark of the beast" that would cause them

to forsake their faith. A few others did not think they needed the vaccine because they had God's protection.

"I don't think the vaccine will come to this country and even if it does I don't need it; God will be my vaccine." [Female, 45 year old]

Close to 20% of the participants thought that children should not get vaccinated. Some of the participants did not recommend the vaccine to children even though they would take it themselves. These participants further expressed in the in depth interview that they thought the virus did not affect children or it would be too dangerous for them.

Factors associated with vaccine hesitancy

In the multi-variable analysis (Table 2), COVID-19 vaccine hesitancy was associated with sex, attitude and primary source of information about the vaccine. It was found that the odds of vaccine hesitancy was 1.97 times (aOR=1.97; 95% CI: 1.10 - 3.89) higher among female participants as compared to male participants. The odds of vaccine hesitancy was 1.75 (aOR=1.75; 95% CI:1.08 - 3.02) times higher in those participants who were found to have a negative attitude towards COVID-19 and its preventive measures as compared to those who had a positive attitude. Similarly, the odds of vaccine hesitancy was 3.6 times (aOR=3.59; 95% CI: 1.75 - 7.37) higher among those participants that received their information from social media (internet) as compared to those who received information only from mass-media.

Discussion

For the COVID-19 battle, the population adherence to preventive measures is crucial; however, it is mainly affected by their KAP toward the disease [1]. The findings of this study showed that nearly half of the study participants demonstrated inadequate knowledge of COVID-19,

indicating a great knowledge gap. This finding is higher than studies conducted in other parts of Ethiopia such as Arbaminch (23.5%) and Gedeo (39.5%), and other low income countries such as Ghana (34.9%), and Malaysia (22.7%) [14-17]. The discrepancies might be due to differences in the community awareness creation through mass media and social media. Further, in our study, more than half of the participants had negative attitude towards COVID-19 and its preventive measures, which is higher than the findings of studies conducted in Southern Ethiopia [15, 18] and lower than study done among Dessie and Kombolcha town residents in Ethiopia [19]. The discrepancy in the findings may be due to differences in the study period. The later studies were conducted earlier in the pandemic when the declaration and enforcement of state of emergency and other measures were still in place. Our findings show a significant decrease in the community's attitude towards COVID-19 and its prevention measures which can lead people to become discouraged to consistently adhere to the measures set forth by the government and the World Health Organization. These findings of the study has an implication on the public health and underscore the need for urgent concerted efforts to consistently promote the knowledge of the general public in Ethiopia towards COVID-19 preventive measures. If the current trend evidenced by this study continues in Ethiopia, COVID-19 will pose a devastating outcome on the medical, financial and social aspect of citizens besides the potential for new strains of disease developing. As COVID-19 continues to ravage the world, vaccination offers the most reliable hope for a

permanent solution to controlling the pandemic. However, a vaccine must be accepted and used by a large majority of the population to create herd immunity [20]. The findings of this study showed that about one out of five participants are not willing to receive COVID-19 vaccine when it is available, which is higher than the findings reported from developed countries such as

UK (3%) [9, 21, 22]. The discrepancies might be due to insufficient knowledge about the vaccine and difference in the perception of the seriousness of the pandemic. This implies that if the doubts and fears of the majority regarding the vaccine are not addressed properly, we may not be able to attain herd immunity. Surprisingly, the finding of this study was lower than a study conducted in the US (31%) and Nigeria (80%) [13, 20]. This might be due to difference in access to wide variety of conspiracy theories and doubts via internet.

Consistent to the study conducted in China [23], vaccine hesitancy was more likely among females as compared to males in our study. This could be due to higher exposure of males for different media as compared to females in Ethiopia. In the present study, increased likelihood of vaccine hesitancy was also indicated among those with negative attitude towards COVID-19 and its preventive measures. The qualitative aspects of this study also found that those participants who would not take the vaccine stated one of their reason to be their lack of implicit trust in the government and in health professionals. Thus, this lack of confidence in the government exhibited by 41.8% of our participants may be a potential hurdle we might face during the vaccination programs in Ethiopia.

In our study, those participants who received their information from social media (internet) were more likely to have vaccine hesitancy as compared to those who got their information only from TV/radio. This finding of the study is in line with a study conducted to assess health protective behaviors and conspiracy theories during the pandemic found that there was significant association between holding a conspiracy belief and checking social media for news of COVID-19 [24]. As a result, this finding is justified by our findings on both the quantitative and qualitative aspects of our study which found that the majority of the reasons given for hesitancy towards the vaccine were the belief in the conspiracy theories. Thus, the spared of

these conspiracy theories is a potential issue that can cause problems when vaccine distribution starts in Ethiopia. Particularly, if these conspiracy theories start getting a wider audience thus there may be a need to act in haste and find a solution before this issue worsens.

This study is the first community based study to assess the Ethiopian community's perception towards COVID-19 vaccine and its level of acceptance. We employed a mixed methods design which enables us to make the deep understanding of the issue. However, the study might be limited due to the recall bias and social desirability bias during the data collection. In addition to this, our sample over-represents female population because the majority of the study participants that were found at home during data collection time were housewives. Therefore, generalization of the study results needs to be cautious.

Conclusions

A considerable proportion of the people have concerns of the COVID-19 vaccine and unwilling to accept once it is available. Several conspiracy theories were put forth to justify their stance and this was mainly due to the misconceptions distributed from the use of social media as primary source of information about the vaccine. These findings of the study underscore the need to use social-media as a way to disseminate reliable information with regard to COVID-19 vaccination and the preventive measures, rather than only focusing on the mass-media messages. Overall, providing the community with health education and consistent government efforts in uphold the prevention measures are of paramount importance to tackle this pandemic.

List of Abbreviations

272 aOR: Adjusted Odds Ratio

273	CI:	Confidence	Interval
2/3	CI.	Commutative	IIIItiva

- 274 COR: Crude Odds Ratio
- 275 SARS: Severe Acute Respiratory Syndrome
- 276 UK: United Kingdom
- 277 US: United States
- 278 Declarations
- 279 Consent for publication
- 280 Not applicable
- 281 Availability of data and material
- Data are available upon reasonable request from the corresponding author.
- 283 Competing interests
- The authors declare that they have no competing interests.
- 285 Authors Contributions
- N.D., A.T., B.T., and D.A. conceptualized the study, designed the methodology, analyzed the
- data, interpreted the results and drafted the initial manuscript and approved the final manuscript.
- 288 H.A., N.T., S.G., T.B., and Y.L. conceptualized the study, visualized the data, involved in data
- analysis and interpretation and approved the final manuscript. All authors have read and
- approved the manuscript.

Acknowledgements

The authors would like to thank Myungsung Medical College for providing fund to conduct this study. The authors are grateful to the study participants for their contributions.

Ethics approval statement

Ethical approval of this study was obtained from the Institutional Review Board (IRB) of Myungsung Medical College. The participants of the study were informed about the purpose of the study and provided their written consent. At the end of the interview, the data collectors have provided information with regard to the COVID-19 vaccine.

Reference

- World Health Organization. Coronavirus disease 2019 (COVID-19): situation report, 82.
- 302 2020.
- 303 2. Imai N, Dorigatti I, Cori A, Donnelly C, Riley S, Ferguson N. Report 2: Estimating the
- potential total number of novel Coronavirus cases in Wuhan City, China. 2020.
- 305 3. Rothe C, Schunk M, Sothmann P, Bretzel G, Froeschl G, Wallrauch C, et al.
- 306 Transmission of 2019-nCoV infection from an asymptomatic contact in Germany. New England
- 307 journal of medicine. 2020;382(10):970-1.
- 308 4. Ranney ML, Griffeth V, Jha AK. Critical supply shortages—the need for ventilators and
- 309 personal protective equipment during the Covid-19 pandemic. New England Journal of
- 310 Medicine. 2020;382(18):e41.
- 311 5. Assessment RR. Coronavirus disease 2019 (COVID-19) in the EU/EEA and the UK-
- ninth update. European Centre for Disease Prevention and Control: Stockholm. 2020.
- 6. COVID C. Global Cases by the Center for Systems Science and Engineering (CSSE) at
- Johns Hopkins University (JHU). JHU COVID-19 Resource Center. Johns Hopkins
- 315 Coronavirus Resource Center. 2020.
- 316 7. Worldometer. Coronavirus Update (Live): Cases and Deaths from COVID-19 Virus
- 317 Pandemic 2021 [cited 2021 Feb 24]. Available from:
- 318 https://www.worldometers.info/coronavirus/.
- 319 8. Zikargae MH. COVID-19 in Ethiopia: Assessment of How the Ethiopian Government
- 320 has Executed Administrative Actions and Managed Risk Communications and Community
- Engagement. Risk management and healthcare policy. 2020;13:2803.

- 322 9. Bell S, Clarke R, Mounier-Jack S, Walker JL, Paterson P. Parents' and guardians' views
- on the acceptability of a future COVID-19 vaccine: A multi-methods study in England.
- 324 Vaccine. 2020;38(49):7789-98.
- 325 10. Reuben RC, Danladi MM, Saleh DA, Ejembi PE. Knowledge, attitudes and practices
- towards COVID-19: an epidemiological survey in North-Central Nigeria. Journal of community
- 327 health. 2020:1-14.

- 328 11. Graffigna G, Palamenghi L, Boccia S, Barello S. Relationship between citizens' health
- engagement and intention to take the covid-19 vaccine in italy: A mediation analysis. Vaccines.
- 330 2020;8(4):576.
- 331 12. Ma L, Liu H, Tao Z, Jiang N, Wang S, Jiang X. Knowledge, Beliefs/Attitudes, and
- practices of rural residents in the prevention and control of COVID-19: an online questionnaire
- survey. The American journal of tropical medicine and hygiene. 2020;103(6):2357-67.
- 334 13. Enitan S, Oyekale A, Akele R, Olawuyi K, Olabisi E, Nwankiti A. Assessment of
- Knowledge, Perception and Readiness of Nigerians to participate in the COVID-19 Vaccine
- Trial. International Journal of Vaccines and Immunization. 2020;4:1-13.
- 337 14. Aweke Z, Jemal B, Mola S, Hussen R. Knowledge of COVID-19 and its prevention
- among residents of the Gedeo zone, South Ethiopia. Sources of information as a factor. Current
- 339 Medical Research and Opinion. 2020;36(12):1955-60.
- 340 15. Nigussie TF, Azmach NN. Knowledge, attitude and practice towards covid-19 among
- 341 Arba Minch town, southern Ethiopia. GSJ. 2020;8(6).
- Nkansah C, Serwaa D, Adarkwah LA, Osei-Boakye F, Mensah K, Tetteh P, et al. Novel
- 343 coronavirus disease 2019: knowledge, practice and preparedness: a survey of healthcare
- workers in the Offinso-North District, Ghana. The Pan African Medical Journal. 2020;35(79).

- 345 17. Mohamad EM, Azlan AA, Hamzah MR, Tham JS, Ayub SH. Public knowledge,
- attitudes and practices towards COVID-19: A cross-sectional study in Malaysia. medRxiv.
- 347 2020.
- 348 18. Mola S, Aweke Z, Jemal B, Hussein R, Hailu S, Neme D, et al. Magnitude and
- associated factors for attitude and practice of Southern Ethiopian residents toward COVID-19
- and its preventions: A community based cross sectional study. 2020.
- 351 19. Kassa AM, Mekonen AM, Yesuf KA, Tadesse AW, Bogale GG. Knowledge level and
- 352 factors influencing prevention of COVID-19 pandemic among residents of Dessie and
- 353 Kombolcha City administrations, North-East Ethiopia: a population-based cross-sectional study.
- 354 BMJ open. 2020;10(11):e044202.
- 20. Pogue K, Jensen JL, Stancil CK, Ferguson DG, Hughes SJ, Mello EJ, et al. Influences
- on attitudes regarding potential COVID-19 vaccination in the United States. Vaccines.
- 357 2020;8(4):582.
- 358 21. Harapan H, Wagner AL, Yufika A, Winardi W, Anwar S, Gan AK, et al. Acceptance of
- a COVID-19 vaccine in southeast Asia: A cross-sectional study in Indonesia. Frontiers in public
- 360 health. 2020;8.
- 361 22. Salali GD, Uysal MS. COVID-19 vaccine hesitancy is associated with beliefs on the
- origin of the novel coronavirus in the UK and Turkey. Psychological medicine. 2020:1-3.
- Wang J, Jing R, Lai X, Zhang H, Lyu Y, Knoll MD, et al. Acceptance of COVID-19
- Vaccination during the COVID-19 Pandemic in China. Vaccines. 2020;8(3):482.
- 365 24. Allington D, Duffy B, Wessely S, Dhavan N, Rubin J. Health-protective behaviour,
- social media usage and conspiracy belief during the COVID-19 public health emergency.
- 367 Psychological medicine. 2020:1-7.

3	6	8
•	v	J

Figure captions

370 Figure 1: COVID-19 vaccine acceptanc
--

Figure 2: Reasons of participant for refusing COVID-19 vaccination





373 Table 1: Socio-demographic characteristics of the study participants

		Frequency (N)	Percent (%)
Sex	Male	115	28.1%
	Female	294	71.9%
Age	18-29	174	42.5%
	30-40	147	35.9%
	41-50	40	9.8%
	>50	48	11.7%
Marital status	Not married	123	30.1%
	Married	255	62.3%
	Widowed	20	4.9%
	Divorced	11	2.7%
Religion	Christian	349	85.3%
	Muslim	60	14.7%
Educational	No formal education	39	9.5%
status	Primary school	105	25.7%
	Secondary and above	265	64.8%
Occupation	Unemployed/housewife	190	46.5%
	Employed	219	53.5%
Monthly	≤3200 ETB (≤100 USD)	175	42.8%
income*	>3200 ETB (>100 USD)	228	57.2%

ETB: Ethiopian Birr USD: United States Dollar *6 participants' data missing

376 Table 2: Factors associated with COVID-19 vaccine hesitancy in Addis Ababa, Ethiopia, 2021

Variables	Vaccine hesitancy		cOR (95% CI)	aOR(95% CI)	P		
	Yes (%)	No (%)			value		
Sex							
Male	17 (21.8%)	98 (29.6%)	1.00	1.00			
Female	61 (78.2%)	233 (70.4%)	1.49 (0.83-2.69)	1.97 (1.10-3.89)	0.03		
Age							
18-29	34 (43.6%)	140 (42.3%)	1.00	1.00			
30-40	24 (30.8%)	122 (36.9%)	0.81 (0.46-1.44)	1.03 (0.55-1.92)	0.934		
41-50	12 (15.4%)	29 (8.8%)	1.77 (0.82-3.82)	2.22 (0.94-5.21)	0.067		
>50	8 (10.2%)		40 (12.0%) 0.82 (0.35-1.92)		0.892		
Religion		7					
Christian	8 (10.3%)	52 (15.7%)	1.00	1.00			
Muslim	70 (89.7%)	278 (84.3%)	1.64 (0.74-3.60)	1.23 (0.54-2.83)	0.621		
Educational status							
No formal	9 (11.5%)	30 (9.1%)	1.11 (0.50-2.48)	1.11 (0.39-3.16)	0.840		
education							
Primary education	13 (16.7%)	93 (28.1%)	0.53 (0.27-1.01)	0.81 (0.40-1.63)	0.560		
Secondary and	56 71.8%)	208 (62.8%)	1.00	1.00			
above							
Attitude							
Positive attitude	28 (35.9%)	169 (51.1%)	1.00	1.00			

Negative attitude	50 (64.1%)	162 (48.9%)	1.87 (1.12-3.12)	1.75 (1.08-3.02)	0.04		
Primary source of information							
TV/Radio	38 (48.7%)	255 (77.0%)	1.00	1.00			
Social media	40 (51.3%)	76 (23.0%)	3.53 (1.67-6.98)	3.59 (1.75-7.37)	0.0001		
(internet)							

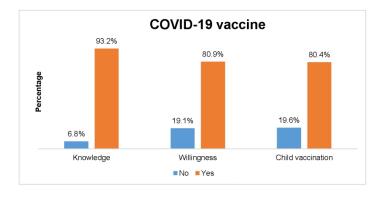


Figure 1: COVID-19 vaccine acceptance $215x279mm (200 \times 200 DPI)$

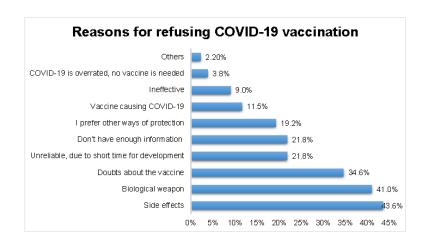


Figure 2: Reasons of participant for refusing COVID-19 vaccination $215x279mm (102 \times 100 DPI)$

STROBE Statement—checklist of items that should be included in reports of observational studies

	Item No.	Recommendation	Page No.
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	1 Ittle page, Page No.1
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	Abstract, Page No.2
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	Introduction, Page No. 4
Objectives	3	State specific objectives, including any prespecified hypotheses	Introduction, Page No. 5
Methods			
Study design	4	Present key elements of study design early in the paper	Methods, Page No. 6
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow- up, and data collection	Methods, Page No. 6
Participants	6	(a) Cohort study—Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up Case-control study—Give the eligibility criteria, and the sources and methods of case ascertainment and control selection. Give the rationale for the choice of cases and controls Cross-sectional study—Give the eligibility criteria, and the sources and methods of selection of participants	
		(b) Cohort study—For matched studies, give matching criteria and number of exposed and unexposed Case-control study—For matched studies, give matching criteria and the number of controls per case	N/A
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	Methods, Data management and analysis, Page No. 7 and 8
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	Methods, Data tools and procedures, Page No. 7
Bias	9	Describe any efforts to address potential sources of bias	Methods, Data tools and procedures,
Study size	10	Explain how the study size was arrived at	
		splain how quantitative variables were handled in the analyses. If applicable, describe which groupings ere chosen and why	Methods, Data management and
variables	W	ere chosen and why	analysis, Page No. 7 and 8

of 27		BMJ Open	
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	Methods, Data management and analysis, Page No. 7 and 8
		(b) Describe any methods used to examine subgroups and interactions	Methods, Data management and analysis, Page No. 7 and 8
		(c) Explain how missing data were addressed	5
			N/A N/A
		Case-control study—If applicable, explain how matching of cases and controls was addressed	N 1971
		Cross-sectional study—If applicable, describe analytical methods taking account of sampling strategy	
			N/A
Results			0 0 0.
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	N/A
		(b) Give reasons for non-participation at each stage	N/A
		(c) Consider use of a flow diagram	N/A
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	
		(b) Indicate number of participants with missing data for each variable of interest	N/A
		(c) Cohort study—Summarise follow-up time (eg, average and total amount)	N/A
Outcome data	15*	Cohort study—Report numbers of outcome events or summary measures over time	N/A
		Case-control study—Report numbers in each exposure category, or summary measures of exposure	
		Cross-sectional study—Report numbers of outcome events or summary measures	Results, Page No. 9 and 10
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	Results, Page No. 9 and 10
		(b) Report category boundaries when continuous variables were categorized	
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	
Continued on next pag	ge	r. Florected by copyright	Protected by copyrigh

	1		$\frac{8}{10}$	
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	3	N/A
Discussion			524	
Key results	18	Summarise key results with reference to study objectives	32 o	Discussion, Page No. 10
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both	n 3(Discussion, Page No. 12
		direction and magnitude of any potential bias	<u>∑</u>	
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses,	1y 2(Conclusions, Page No. 12
		results from similar studies, and other relevant evidence)22	
Generalisability	21	Discuss the generalisability (external validity) of the study results	D	Discussion, Page No. 12
Other informati	on		wnlo	
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original	ade	Source of funding, Page No. 14
		study on which the present article is based	d fro	
			\simeq	

^{*}Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at http://www.plosmedicine.org/, Annals of Internal Medicine at http://www.annals.org/, and Epidemiology at http://www.epidem.com/). Information on the STROBE Initiative is available at www.strope-statement.org.

BMJ Open

COVID-19 vaccine hesitancy in Addis Ababa, Ethiopia: A mixed-methods study

Journal:	BMJ Open
Manuscript ID	bmjopen-2021-052432.R1
Article Type:	Original research
Date Submitted by the Author:	07-Mar-2022
Complete List of Authors:	Dereje, Nebiyu; MyungSung Medical College, Department of Public Health Tesfaye, Abigel; MyungSung Medical College, Department of Medicine Tamene, Beamlak; MyungSung Medical College, Department of Medicine Alemeshet, Dina; MyungSung Medical College, Department of Medicine Abe, Haymanot; MyungSung Medical College, Department of Medicine Tesfa, Nathnael; MyungSung Medical College, Department of Medicine Gedion, Saron; MyungSung Medical College, Department of Medicine Biruk, Tigist; MyungSung Medical College, Department of Medicine Lakew, Yabets; MyungSung Medical College, Department of Medicine
Primary Subject Heading :	Public health
Secondary Subject Heading:	Epidemiology
Keywords:	COVID-19, Public health < INFECTIOUS DISEASES, EPIDEMIOLOGY

SCHOLARONE™ Manuscripts



I, the Submitting Author has the right to grant and does grant on behalf of all authors of the Work (as defined in the below author licence), an exclusive licence and/or a non-exclusive licence for contributions from authors who are: i) UK Crown employees; ii) where BMJ has agreed a CC-BY licence shall apply, and/or iii) in accordance with the terms applicable for US Federal Government officers or employees acting as part of their official duties; on a worldwide, perpetual, irrevocable, royalty-free basis to BMJ Publishing Group Ltd ("BMJ") its licensees and where the relevant Journal is co-owned by BMJ to the co-owners of the Journal, to publish the Work in this journal and any other BMJ products and to exploit all rights, as set out in our licence.

The Submitting Author accepts and understands that any supply made under these terms is made by BMJ to the Submitting Author unless you are acting as an employee on behalf of your employer or a postgraduate student of an affiliated institution which is paying any applicable article publishing charge ("APC") for Open Access articles. Where the Submitting Author wishes to make the Work available on an Open Access basis (and intends to pay the relevant APC), the terms of reuse of such Open Access shall be governed by a Creative Commons licence – details of these licences and which Creative Commons licence will apply to this Work are set out in our licence referred to above.

Other than as permitted in any relevant BMJ Author's Self Archiving Policies, I confirm this Work has not been accepted for publication elsewhere, is not being considered for publication elsewhere and does not duplicate material already published. I confirm all authors consent to publication of this Work and authorise the granting of this licence.

COVID-19 vaccine hesitancy in Addis Ababa, Ethiopia: A mixed-methods study

- Nebiyu Dereje^{1*}, Abigel Tesfaye², Beamlak Tamene², Dina Alemeshet², Haymanot Abe²,
- Nathnael Tesfa², Saron Gedion², Tigist Biruk², Yabets Lakew²
- ¹Department of Public Health, Myungsung Medical College, Addis Ababa, Ethiopia
- ²Department of Medicine, Myungsung Medical College, Addis Ababa, Ethiopia
- *Corresponding author contact information
- Nebiyu Dereje
- Email: neba.jahovy@gmail.com
- P.O.Box 14972
- Addis Ababa, Ethiopia

Abstract

- Objective: Data on COVID-19 vaccine hesitancy is limited in Ethiopia and other parts of
- 14 Africa. Therefore, the aim of this study was to determine the level of COVID-19 vaccine
- 15 hesitancy and its associated factors in Addis Ababa, Ethiopia.
- **Design:** A community-based concurrent mixed-methods study
- **Setting:** In a community setting
- Participants: Adult residents (n = 422) of Akaki Kality sub-city who were recruited by a multi-
- stage sampling technique and 24 adults who were selected purposively were included for the
- 20 quantitative and qualitative part of the study respectively.
- 21 Outcome Measures: Data were collected by face-to-face interview using a semi-structured
- 22 questionnaire. Factors associated with COVID-19 vaccine hesitancy were identified by
- 23 multivariable binary logistic regression model.
- Results: One out five (19.1%, 95% CI: 15.3% 24.6%) participants were not willing to get
- vaccinated. In the multivariable analysis, vaccine hesitancy was significantly associated with
- being female (aOR=1.97; 95% CI: 1.10 3.89), having negative attitude towards COVID-19
- and its preventive measures (aOR=1.75; 95% CI: 1.08 3.02), and primary information source
- being social media (internet) (aOR=3.59; 95% CI: 1.75 7.37). Study participants have
- 29 predominantly stated that they did not have enough information about the vaccine, feared it
- would not be effective or have too many side effects, and reflected their uncertainty towards the
- 31 quality of the vaccine.

- 32 Conclusions: A considerable proportion of the people in Addis Ababa have concerns on
- 33 COVID-19 vaccines and unwilling to accept them. This was due to the misconceptions,
- 34 negative attitudes, and use of social media as their primary source of information. Providing the
- 35 community with health education and consistent efforts to enhance the prevention measures are
- important, particularly using different medias including social media.
- **Key Words**: COVID-19, knowledge, attitude, Vaccine, Hesitancy
- 38 Article Summary

- 39 Strengths and limitations of this study
- We employed a community-based study which could reflect the prevailing COVID 19
 vaccine hesitancy in the general population.
- A mixed-methods approach allows for triangulation of findings from different perspectives.
- Factors associated with the outcome variable (vaccine hesitancy) were adjusted for the known explanatory variables.
- The study might be limited due to the social desirability bias during the data collection.
- 47 Funding statement
- This study was funded by Myungsung Medical College. However, the funder had no role in the
- design, conduct, analysis and interpretation of this study.
- 50 Conflict of interest
- 51 The authors declare that they have no conflict of interests.
- **Word count** = 2868

To be content on

Introduction

Corona virus disease 2019 (COVID-19) is caused by severe acute respiratory syndrome corona virus 2 (SARS-CoV-2) also known as Novel coronavirus (nCov) [1]. Since its emergence, this pandemic has shown its capability to spread rapidly in the world causing the most dramatic global health crisis of our time resulting in devastating social, economic and political crises [2]. Therefore, on top of other preventive measures, it is crucial to receive COVID vaccines to halt the spread of COVID-19[3]. Globally, more than 210 countries/territories have been affected by the virus, and Ethiopia is one of the five African countries with the highest case burden of COVID-19 [4]. Although, the government of Ethiopia has been striving to spread information on COVID-19 preventive measures, still the public is not consistently adhering to the precautions [5]. On the other hand, although the COVID-19 vaccines have been made available, it is highly controversial, as they are highly affected by disparities of access and distributions across the countries, where large proportions of the vaccines have been already sold-out to high-income countries [6]. Moreover, myths and conspiracy theories on vaccinations have been spreading and can easily be accepted by the developing world. This may cause people to be reluctant towards vaccination, which has been demonstrated by a study in Nigeria by a low vaccine acceptability rate [7]. Furthermore, the World Health Organization listed vaccine hesitancy as one of the ten global threats to public health [8]. Some recent studies have also reported the magnitude of vaccine hesitancy varying from 76.4% to 3.0%, indicating variabilities across different countries [9 - 11]. This variability could be partly due to varying perceptions and attitudes towards the efficacy, quality and safety of the

COVID vaccines. Vaccine hesitancy could also be affected by the socio-demographic, psychological and cultural factors of the population. Therefore, it is imperative to understand the varying vaccine attitudes among the community to design strategies to overcome the vaccine hesitancy. Furthermore, unraveling the specific fears and doubts of the community with regards to receiving the vaccine can help government and other concerned officials to adequately address the misconceptions and various conspiracy theories in their campaigns.

Methods and Materials

Study design and participants

A concurrent mixed-methods study (QUAN + qual) was conducted from January 20 − 31, 2021 among adult population (≥18 years) currently residing in Akaki Kality sub city of Addis Ababa,

Ethiopia. The quantitative part of the study was addressed by a cross-sectional study design and

the qualitative part of the study was addressed by a phenomenological study design. The

qualitative part was mainly intended to explain the reasons for COVID-19 vaccine hesitancy, as

a supplementary of the quantitative part.

A sample size for the quantitative part of the study (n = 422) was determined by using a single

population proportion formula, by taking 95% confidence interval, 5% margin of error, 50%

proportion of vaccine hesitancy and adding up 10% non-response rate. For the qualitative part,

24 participants were included into the study based on the information saturation of the

94 researchers.

Two-stage sampling technique was employed to recruit the participants for the quantitative part

of the study. There were 13 districts in the sub-city; of which three of them were selected

97 randomly (lottery method). The total sample was allocated proportionally to the districts. Then,

the households from each district were selected by employing a systematic random sampling (sampling interval = every 4th house). From the specific selected households, only one randomly selected eligible individual was interviewed. For the qualitative part of the study, purposive sampling method was used to recruit participants who have reach information.

Patients and public involvement

Neither patients nor the public was involved in the study.

Data collection tools and procedures

Data was collected by using a semi-structured questionnaire which was adapted from reviewed literatures [7, 12, 13]. The contents of the questionnaire were validated by senior experts in the field. The questionnaire has 4 components: socio-demographic, knowledge towards COVID-19, attitude towards COVID-19, and COVID-19 vaccine acceptance. The questionnaire was first prepared in English and translated into Amharic (local language) for the sake of interview. The questionnaire was administered face-to-face by trained medical interns. For the qualitative part of the study, in-depth interviews were made by the investigators by using an in-depth interview guide (supplementary file 1).

Data management and analysis

Data was checked for completeness and consistency, coded and entered into SPSS-for windows version 25 for analysis. Frequency and proportions were used to summarize categorical variables, whereas mean and standard deviation were used to summarize continuous variables.

The primary outcome variable of the study was COVID-19 vaccine hesitancy which was assessed by asking a question "Will you get vaccinated if you get COVID-19 vaccine?" then the

response was dichotomized as "Yes" or "No". Knowledge of COVID-19 was assessed by 15

yes or no knowledge-based questions. Then, the knowledge score was categorized in two as below or above the mean score. The mean and below knowledge score was considered as poor knowledge while above the mean was considered as good knowledge. Attitude towards COVID-19 and its preventive measures was assessed by 11 questions which was in three Likert scale (agree, neutral, disagree) then mean score was calculated. Then, the attitude score was categorized in two as below or above the mean score. The mean and below attitude score was considered as negative attitude while above the mean was considered as positive attitude [7, 12, 13].

Multivariable binary logistic regression analysis was carried out to identify factors associated with vaccine hesitancy, as expressed by adjusted odds ratio (aOR) along with its respective 95% confidence interval (CI). Variables with <0.25 in bivariate analysis were considered for multivariable analysis. The explanatory variables entered into the multivariable model include sex, age, educational status, religion, attitude and primary source of information. Variables having P value <0.05 were considered statistically significant. Multicollinearity was assessed by the colleniarity diagnostics (Variance Inflation Factor (2.30) and the tolerance test (0.43)). Goodness of the model was checked by the Hosmer Lemshow goodness of fit test, and it was not significant (P value = 0.81). The qualitative data analysis was initiated by transcription and translating of the interviews, then coded and analyzed by thematic analysis. The findings of the qualitative study were used to supplement the findings of quantitative data.

Ethical consideration

Ethical approval of this study was obtained from the Institutional Review Board (IRB) of Myungsung Medical College (MMC/IRB/067/21). The participants of the study were informed

about the purpose of the study and provided their written consent. At the end of the interview, the data collectors have provided information regarding the COVID-19 vaccine.

Results

Socio-demographic characteristics

- A total of 409 participants completed the questionnaire, with a response rate of 96.9%. Majority
- of the participants 294 (71.9%) were females and married (62.3%) (Table 1). The mean (\pm SD)
- age of the participants was 34.1 years (± 12.9), ranging from 18 85 years.

Knowledge and attitude towards COVID-19 preventive measures

- Almost all the participants heard about COVID-19 from Mass-media. However, the average (±
- SD) knowledge score was 56.7 ± 3.7 , with 46.7% (n=191) exhibited poor level of knowledge.
- The mean (\pm SD) attitude score was found to be 20.3 \pm 1.2, with 51.8% of the participants had
- negative attitude towards COVID-19 and its preventive measures.
- These results were corroborated by the findings of the qualitative part of the study where
- participants stated that they were initially very concerned about getting infected with COVID-
- 156 19. Paradoxically, participants also stated that they did not believe on the existence of the
- disease since they have not personally encountered an infected person. On the other hand,
- believing COVID-19 disease as if it was emanated because of the punishment of God was
- predominantly explained by the participants.
- Participants stated the following to show how they perceived about COVID-19:
- "I am not scared because I expected this to happen; we brought this on ourselves, and
- we are paying for our sins. It has been long time coming." [Female, 50-year-old]

163	"I have been through an outbreak beforeI got sick, and I had to be isolated from my
164	family, but I recovered easily, and I don't believe this would be any different." [Female,
165	47-year-old]

"I was afraid that everyone in Ethiopia would die because even developed country people could not handle it. I think the only reason we have survived is because Ethiopia is God's country." [Female, 70-year-old]

COVID-19 vaccine hesitancy and its associated factors

More than 90% of the participants heard about the COVID-19 vaccine mainly from Massmedia. However, 78 (19.1%, 95% CI: 15.3% - 24.6%) were not willing to get vaccinated. Out of them, 43.6% don't take the vaccine due to fear of side effects and 41.0% of them believe that the vaccine may be biological weapon (Figure 1).

In the qualitative in-depth interview, participants stated that they did not have enough information about the vaccine and wanted to see other people take it first. For instance, a young man said that:

"...frankly speaking, I do not have adequate information about the COVID vaccine, and for sure I will not receive it until I see others take it first..." [Male, 32-year-old]

Participants also described their concerns over the effectiveness and quality of the vaccines.

"I fear that the vaccines might not be effective or of a lower quality, particularly those vaccines distributed to Africa. They may also have serious side effects, as they did not take longer time in laboratories or in trials before they are released for use." [Male, 45-year-old]

184	
185	Other predominant thought expressed by the participants was the vaccines would be used by the
186	developed nations to cause infertility and control the population size of poor countries. For
187	example, participants stated that:
188	"I saw some videos circulating on social media stating that the vaccines are made
189	to reduce the population size of the poor countries" [Female, 35-year-old]
190	Moreover, it was also mentioned that the vaccines might be used as a weapon to insert
191	microchips into the body as the "mark of the beast" that would cause them to forsake their faith.
192	"it seems the end of the world is nearas it is stated in the Bible, during the end
193	times the mark of the beast will be labelled on the peopleI fear these vaccines may be
194	associated to this" [Male, 40-year-old]
195	A few others did not think they needed the vaccine because they had God's protection.
196	"I don't think the vaccine will come to this country and even if it does, I don't need
197	it; God will be my vaccine." [Female, 45-year-old]
198	In the multi-variable analysis (Table 2), COVID-19 vaccine hesitancy was associated with
199	being female, having negative attitude towards the vaccine and primary source of information

about the vaccine being social media. The odds of vaccine hesitancy was 2 times (aOR=1.97;

95% CI: 1.10 - 3.89) higher among female participants as compared to male participants,1.8

times (aOR=1.75; 95% CI:1.08 - 3.02) higher among participants who have negative attitudes

towards COVID-19 as compared to those who had positive attitudes, and 4 times (aOR=3.59;

95% CI: 1.75 - 7.37) higher among those participants who got information from social media as a primary source as compared to those who received information only from mass-media.

Discussion

For the COVID-19 battle, the population adherence to preventive measures and receiving COVID vaccines is crucial; however, it is mainly affected by their knowledge and attitude towards the disease and vaccination [1]. The findings of this study showed that nearly half of the study participants demonstrated inadequate knowledge of COVID-19, indicating a great knowledge gap. This finding is higher than studies conducted in other parts of Ethiopia such as Arbaminch (23.5%) and Gedeo (39.5%), and other countries such as Ghana (34.9%), and Malaysia (22.7%) [14-17]. The discrepancies might be due to differences in the community awareness creation through mass media and social media. Further, in our study, more than half of the participants had negative attitude towards COVID-19 and its preventive measures, which is higher than the findings of studies conducted in Southern Ethiopia [15, 18] and lower than study done among Dessie and Kombolcha town residents in Ethiopia [19]. The discrepancy in the findings may be due to differences in the study period. The later studies were conducted earlier in the pandemic when the declaration and enforcement of state of emergency and other measures were still in place. Our findings show a significant decrease in the community's attitude towards COVID-19 and its prevention measures which can lead people to become discouraged to consistently adhere to the measures set forth by the government and the World Health Organization. These findings of the study have an implication on the public health and underscore the need for urgent concerted efforts to consistently promote the knowledge of the public in Ethiopia towards COVID-19 preventive measures, including COVID vaccination. If the current trend evidenced by this study continues in Ethiopia, COVID-19 will pose a

devastating outcome on the medical, financial and social aspect of citizens besides the potential for new strains of disease developing.

As COVID-19 continues to ravage the world, vaccination offers the most reliable hope for a permanent solution to controlling the pandemic. However, a vaccine must be accepted and used by a large majority of the population to create herd immunity [20]. The findings of this study showed that about one out of five participants are not willing to receive COVID-19 vaccine when it is available, which is higher than the findings reported from developed countries such as UK (3%) [6 21, 22]. The discrepancies might be due to insufficient knowledge about the vaccine and difference in the perception of the seriousness of the pandemic. This implies that if the doubts and fears of the majority regarding the vaccine are not addressed properly, we may not be able to attain herd immunity. Surprisingly, the finding of this study was lower than a study conducted in the US (31%) and Nigeria (80%) [13, 20]. This might be due to difference in access to wide variety of conspiracy theories and doubts via internet.

Consistent to the study conducted in China [23], vaccine hesitancy was more likely among females as compared to males in our study. This could be due to higher exposure of males for different media as compared to females in Ethiopia. In the present study, increased likelihood of vaccine hesitancy was also indicated among those with negative attitude towards COVID-19 and its preventive measures. The qualitative aspects of this study also found that those participants who would not take the vaccine stated one of their reasons to be their lack of implicit trust in the government and in health professionals. Thus, this lack of confidence in the government exhibited by 41.8% of our participants may be a potential hurdle we might face during the vaccination programs in Ethiopia.

In our study, those participants who received their information from social media (internet) were more likely to have vaccine hesitancy as compared to those who got their information from mass media (TV/radio). This finding of the study is in line with a study conducted to assess health protective behaviors and conspiracy theories during the pandemic, which has found a significant association between holding conspiracy beliefs and checking social media for news of COVID-19 [24]. This finding of the study is justified by our findings on both the quantitative and qualitative aspects of our study, which revealed the predominant reasons given for vaccine hesitancy were associated with the participant's beliefsin the conspiracy theories. Thus, the spread of these conspiracy theories is a potential issue that needs attention during vaccination campaigns. It is critical to explicitly explain the details of the COVID vaccines including its effectiveness, safety and quality to address the information need of the community. This study is the first community-based study to assess the Ethiopian community's perception towards COVID-19 vaccine and its level of acceptance. We employed a mixed-methods design which enables us to make the deep understanding of the issue. However, the study might be limited due to social desirability bias during the data collection. However, to minimize this bias, the purpose of the study and assurance of the participant's anonymity were described to the participants prior to the administration of the interview. In addition to this, our sample overrepresents female population because the majority of the study participants that were found at home during data collection time were housewives. Furthermore, the study was conducted in only one sub-city. Therefore, generalization of the study results needs to be cautious.

Conclusions

A considerable proportion of the study participants in Addis Ababa have concerns on the COVID-19 vaccines and unwilling to accept them. This was mainly due to the prevailing misconceptions, negative attitudes, and use of social media as their primary source of information. Several conspiracy theories were put forth to justify their stance and this was mainly due to the misconceptions distributed from the use of social media as primary source of information about the vaccines. These findings of the study underscore the need to use socialmedia to disseminate reliable information regarding COVID-19 vaccination and the preventive measures, rather than only focusing on the mass-media messages. Overall, providing the community with health education and consistent government efforts in uphold the prevention measures are of paramount importance to tackle this pandemic.

List of Abbreviations

- aOR: Adjusted Odds Ratio
- CI: Confidence Interval
- COR: Crude Odds Ratio
- SARS: Severe Acute Respiratory Syndrome
- UK: United Kingdom
- **US: United States**

T	
	arations
1750	larations

Consent for publication

Not applicable

Availability of data and material

Data are available upon reasonable request from the corresponding author.

292 Competing interests

The authors declare that they have no competing interests.

Authors Contributions

- N.D., A.T., B.T., and D.A. conceptualized the study, designed the methodology, analyzed the
- 296 data, interpreted the results and drafted the initial manuscript and approved the final manuscript.
- H.A., N.T., S.G., T.B., and Y.L. conceptualized the study, visualized the data, involved in data
- analysis and interpretation and approved the final manuscript. All authors have read and
- approved the manuscript.

Acknowledgements

- The authors would like to thank Myungsung Medical College for providing fund to conduct this
- study. The authors are grateful to the study participants for their contributions.

303 Ethics approval statement

- 304 Ethical approval of this study was obtained from the Institutional Review Board (IRB) of
- Myungsung Medical College (MMC/IRB/067/21). The participants of the study were informed
- about the purpose of the study and provided their written consent. At the end of the interview,
- the data collectors have provided information regarding the COVID-19 vaccines.

Reference

- World Health Organization. Coronavirus disease 2019 (COVID-19): situation report, 82.
- 310 2020.

- 2. COVID C. Global Cases by the Center for Systems Science and Engineering (CSSE) at
- Johns Hopkins University (JHU). JHU COVID-19 Resource Center. Johns Hopkins
- 313 Coronavirus Resource Center. 2020.
- 3. Ranney ML, Griffeth V, Jha AK. Critical supply shortages—the need for ventilators and
- personal protective equipment during the Covid-19 pandemic. New England Journal of
- 316 Medicine. 2020;382(18):e41.
- Worldometer. Coronavirus Update (Live): Cases and Deaths from COVID-19 Virus
- Pandemic 2021 [cited 2021 Feb 24]. Available from:
- 319 https://www.worldometers.info/coronavirus/.
- 320 5. Zikargae MH. COVID-19 in Ethiopia: Assessment of How the Ethiopian Government
- has Executed Administrative Actions and Managed Risk Communications and
- Community Engagement. Risk management and healthcare policy. 2020;13:2803.
- Bell S, Clarke R, Mounier-Jack S, Walker JL, Paterson P. Parents' and guardians' views
- on the acceptability of a future COVID-19 vaccine: A multi-methods study in England.
- 325 Vaccine. 2020;38(49):7789-98.
- Reuben RC, Danladi MM, Saleh DA, Ejembi PE. Knowledge, attitudes and practices
- towards COVID-19: an epidemiological survey in North-Central Nigeria. Journal of
- 328 community health. 2020:1-14.

- 8. Graffigna G, Palamenghi L, Boccia S, Barello S. Relationship between citizens' health
- engagement and intention to take the covid-19 vaccine in italy: A mediation analysis.
- 331 Vaccines. 2020;8(4):576.
- 332 9. Sallam M, Dababseh D, Eid H, Al-Mahzoum K, Al-Haidar A, Taim D, Yaseen A,
- Ababneh NA, Bakri FG, Mahafzah A. High Rates of COVID-19 Vaccine Hesitancy and
- Its Association with Conspiracy Beliefs: A Study in Jordan and Kuwait among Other
- Arab Countries. Vaccines. 2021; 9(1):42. https://doi.org/10.3390/vaccines9010042
- 336 10. Sallam M. COVID-19 Vaccine Hesitancy Worldwide: A Concise Systematic Review of
- Vaccine Acceptance Rates. Vaccines (Basel). 2021;9(2):160. Published 2021 Feb 16.
- doi:10.3390/vaccines9020160
- 339 11. Sarasty O, Carpio CE, Hudson D, Guerrero-Ochoa PA, Borja I. The demand for a
- 340 COVID-19 vaccine in Ecuador. Vaccine. 2020;38(51):8090-8098.
- doi:10.1016/j.vaccine.2020.11.013
- 342 12. Ma L, Liu H, Tao Z, Jiang N, Wang S, Jiang X. Knowledge, Beliefs/Attitudes, and
- practices of rural residents in the prevention and control of COVID-19: an online
- questionnaire survey. The American journal of tropical medicine and hygiene.
- 345 2020;103(6):2357-67.
- 346 13. Enitan S, Oyekale A, Akele R, Olawuyi K, Olabisi E, Nwankiti A. Assessment of
- Knowledge, Perception and Readiness of Nigerians to participate in the COVID-19
- Vaccine Trial. International Journal of Vaccines and Immunization. 2020;4:1-13.
- 349 14. Aweke Z, Jemal B, Mola S, Hussen R. Knowledge of COVID-19 and its prevention
- among residents of the Gedeo zone, South Ethiopia. Sources of information as a factor.
- 351 Current Medical Research and Opinion. 2020;36(12):1955-60.

- Nigussie TF, Azmach NN. Knowledge, attitude and practice towards covid-19 among
 Arba Minch town, southern Ethiopia. GSJ. 2020;8(6).
- 16. Nkansah C, Serwaa D, Adarkwah LA, Osei-Boakye F, Mensah K, Tetteh P, et al. Novel
- coronavirus disease 2019: knowledge, practice and preparedness: a survey of healthcare
- workers in the Offinso-North District, Ghana. The Pan African Medical Journal.
- 357 2020;35(79).

- 358 17. Mohamad EM, Azlan AA, Hamzah MR, Tham JS, Ayub SH. Public knowledge,
- attitudes and practices towards COVID-19: A cross-sectional study in Malaysia.
- 360 medRxiv. 2020.
- 361 18. Mola S, Aweke Z, Jemal B, Hussein R, Hailu S, Neme D, et al. Magnitude and
- associated factors for attitude and practice of Southern Ethiopian residents toward
- 363 COVID-19 and its preventions: A community based cross sectional study. 2020.
- 19. Kassa AM, Mekonen AM, Yesuf KA, Tadesse AW, Bogale GG. Knowledge level and
- factors influencing prevention of COVID-19 pandemic among residents of Dessie and
- Kombolcha City administrations, North-East Ethiopia: a population-based cross-
- sectional study. BMJ open. 2020;10(11):e044202.
- 20. Pogue K, Jensen JL, Stancil CK, Ferguson DG, Hughes SJ, Mello EJ, et al. Influences
- on attitudes regarding potential COVID-19 vaccination in the United States. Vaccines.
- 370 2020;8(4):582.
- 371 21. Harapan H, Wagner AL, Yufika A, Winardi W, Anwar S, Gan AK, et al. Acceptance of
- a COVID-19 vaccine in southeast Asia: A cross-sectional study in Indonesia. Frontiers
- in public health. 2020;8.

- 22. Salali GD, Uysal MS. COVID-19 vaccine hesitancy is associated with beliefs on the origin of the novel coronavirus in the UK and Turkey. Psychological medicine. 2020:1-3.
- 23. Wang J, Jing R, Lai X, Zhang H, Lyu Y, Knoll MD, et al. Acceptance of COVID-19 Vaccination during the COVID-19 Pandemic in China. Vaccines. 2020;8(3):482.
- 24. Allington D, Duffy B, Wessely S, Dhavan N, Rubin J. Health-protective behaviour, social media usage and conspiracy belief during the COVID-19 public health emergency. Psychological medicine. 2020:1-7.

Figure captions

Figure 1: Reasons of participants for refusing COVID-19 vaccination in Addis Ababa, Ethiopia

		Frequency (N)	Percent (%)
Sex	Male	115	28.1%
	Female	294	71.9%
Age	18-29	174	42.5%
	30-40	147	35.9%
	41-50	40	9.8%
	>50	48	11.7%
Marital status	Not married	123	30.1%
	Married	255	62.3%
	Widowed	20	4.9%
	Divorced	11	2.7%
Religion	Christian	349	85.3%
	Muslim	60	14.7%
Educational	No formal education	39	9.5%
status	Primary school	105	25.7%
	Secondary and above	265	64.8%
Occupation	Unemployed/housewife	190	46.5%
	Employed	219	53.5%
Monthly	≤3200 ETB (≤100 USD)	175	42.8%
income*	>3200 ETB (>100 USD)	228	57.2%

389 Table 2: Factors associated with COVID-19 vaccine hesitancy in Addis Ababa, Ethiopia, 2021

Variables	Vaccine l	hesitancy	cOR (95% CI)	aOR(95% CI)	P			
	Yes (%)	No (%)			value			
Sex	Sex							
Male	17 (21.8%)	98 (29.6%)	1.00	1.00				
Female	61 (78.2%)	233 (70.4%)	1.49 (0.83-2.69)	1.97 (1.10-3.89)	0.03			
Age								
18-29	34 (43.6%)	140 (42.3%)	1.00	1.00				
30-40	24 (30.8%)	122 (36.9%)	0.81 (0.46-1.44)	1.03 (0.55-1.92)	0.934			
41-50	12 (15.4%)	29 (8.8%)	1.77 (0.82-3.82)	2.22 (0.94-5.21)	0.067			
>50	8 (10.2%)	40 (12.0%)	0.82 (0.35-1.92)	1.08 (0.39-2.97)	0.892			
Religion		7						
Christian	8 (10.3%)	52 (15.7%)	1.00	1.00				
Muslim	70 (89.7%)	278 (84.3%)	1.64 (0.74-3.60)	1.23 (0.54-2.83)	0.621			
Educational status								
No formal	9 (11.5%)	30 (9.1%)	1.11 (0.50-2.48)	1.11 (0.39-3.16)	0.840			
education								
Primary education	13 (16.7%)	93 (28.1%)	0.53 (0.27-1.01)	0.81 (0.40-1.63)	0.560			
Secondary and	56 71.8%)	208 (62.8%)	1.00	1.00				
above								
Attitude								
Positive attitude	28 (35.9%)	169 (51.1%)	1.00	1.00				

Negative attitude	50 (64.1%)	162 (48.9%)	1.87 (1.12-3.12)	1.75 (1.08-3.02)	0.04			
Primary source of i	Primary source of information							
TV/Radio	38 (48.7%)	255 (77.0%)	1.00	1.00				
Social media	40 (51.3%)	76 (23.0%)	3.53 (1.67-6.98)	3.59 (1.75-7.37)	0.0001			
(internet)								

cOR: Crude Odds Ratio

Ratio aOR: Adjusted Odds Ratio

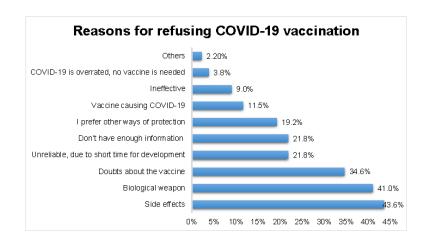


Figure 1: Reasons of participants for refusing COVID-19 vaccination in Addis Ababa, Ethiopia $215 \times 279 \text{mm} \ (102 \times 100 \ \text{DPI})$

BMJ Open: first published as 10.1136/bmjopen-2021-052432 on 30 May 2022. Downloaded from http://bmjopen.bmj.com/ on April 19, 2024 by guest. Protected by copyright.

Questionnaire (English Version)

	Gender:	1. Male
		2. Female
2	Age	in years
3	Marital status	1. Single
		2. Married
		3. Widowed
		4. Divorced
4	Educational status	1. illiterate
т	Educational status	
		2. can read and write
		3. 1-8 th grade
		4. 9-12 th grade
		5. Technique
		6. Higher education
5	Religion	1. Christian
		2. Muslim
		3. Other
	Occupation	1. Merchant
		2. Gov't employee
		3. Private employee
		4. House wife
		5. Daily laborer
		6. Police/ Solidier
		7. Unemployed
		8. janitor
		9. student
		10. Other
6	Family monthly income	1. ≤1650 ETB
O	Tunny monany meome	2. 1651 – 3200 ETB
		3. 3201 – 5800 ETB
		4. 5801 – 7800 ETB
		5. 7801 – 10400 ETB
		6. >10400 ETB
		0. >10100 B1B
	B. Source of information	

	What is the source of your information abou COVID-19	 TV/Radio (New Media) Religious leaders Friends/ Family/ Neighbors Directly from healthcare workers Others
(C. Knowledge (please tick what is/are applicable)
1	Mode of transmission:	 Respiratory droplets Airborne Fecal-Oral route Blood transmission Contact with contaminated surfaces Contaminated food Contact with a COVID-19 positive patient Skin contact Breast milk Vertical transmission
2	Symptoms (that can be expected from a Covid-19 patient)	 Fever Muscle pain Fatigue Diarrhea Sneezing Loss of smell Vomiting Runny nose Shortness of Breath Cough Loss of taste Stuffy nose Conjunctivitis Skin rash No symptom
3	Are asymptomatic patients capable of transmitting the disease?	1. Yes 2. No

BMJ Open: first published as 10.1136/bmjopen-2021-052432 on 30 May 2022. Downloaded from http://bmjopen.bmj.com/ on April 19, 2024 by guest. Protected by copyright.

BMJ Open: first published as 10.1136/bmjopen-2021-052432 on 30 May 2022. Downloaded from http://bmjopen.bmj.com/ on April 19, 2024 by guest. Protected by copyright.

Which group of population has likelihood of developing severe disease? (please tick	2	•
1 1	2.	Pregnant women
what is/are applicable)	3.	Children
	4.	Smoker
	5.	People with co-morbid (DM, HTN, asthma) conditions
	6	
		Obesity I don't know
Provention methods: Are you aware of that		Yes
-		
	۷.	110
-	1.	Hand wash with soap & water
-	2.	Hand wash with water only
		Use of hand sanitizers
Duration of handwashing (minimum	1.	10 seconds
duration):	2.	20 seconds
	3.	30 seconds
4	4.	40 seconds
	5.	I don't know
Do you think use of face masks can prevent	1.	Yes
COVID-19 transmission?	2.	No
Do you think double-mask use is effective	1.	Yes
in prevention?	2.	No
What is the recommended minimum distance	1.	<2 meter
to maintain adequate social distancing?	2.	>2 meter
	3.	I don't know
In order to prevent spread, do you think	1.	Yes
individuals should avoid going to crowded	2.	No
places and taking public transportation?		
Do you think you should stop to maintain	1	Yes
_		No
social distancing if you are wearing a mask:	۷.	
Do you think you should avoid shaking	1.	Yes
hands and hugging while greeting people?	2.	No
	Do you think use of face masks can prevent COVID-19 transmission? Do you think double-mask use is effective in prevention? What is the recommended minimum distance to maintain adequate social distancing? In order to prevent spread, do you think individuals should avoid going to crowded places and taking public transportation? Do you think you should stop to maintain social distancing if you are wearing a mask? Do you think you should avoid shaking	Prevention methods: Are you aware of that hand washing is one of the primary methods of preventing COVID-19 infection? What is/are the preferable methods of preventing COVID-19 transmission? Duration of handwashing (minimum duration): Do you think use of face masks can prevent COVID-19 transmission? Do you think double-mask use is effective in prevention? What is the recommended minimum distance to maintain adequate social distancing? In order to prevent spread, do you think individuals should avoid going to crowded places and taking public transportation? Do you think you should stop to maintain social distancing if you are wearing a mask? Do you think you should avoid shaking 1.

14	Provided that your family member is COVID-19 positive, would you put yourself in self-quarantine?	1. Yes 2. No
15	How long should people in contact with COVID-19 positive put into self-quarantine?	()

D 4			
D. A	ttitude		
1	Do you agree that COVID-19 will be successfully controlled?		Yes
		2.	No
2	I have no concern of being infected with COVID-19	1.	Yes
		2.	No
3	Do you have confidence that Ethiopia will win the battle	1.	Yes
	against COVID-19?	2.	No
4	Is the Ethiopian government handling the COVID-19 health	1.	Yes
	crisis well?	2.	No
5	Do you think that wearing a face mask will effectively prevent	1.	Yes
	COVID-19?	2.	No
6	Do you think that adequate social distancing will effectively	1.	Yes
	prevent COVID-19?	2.	No
7	Do you think washing hands with soup and water helps to	1.	Yes
	prevent COVID-19?	2.	No
8	Would you be willing to tell people if you were having	1.	Yes
	COVID-19 symptoms?	2.	No
9	Would you inform the health authorities if a family member	1.	Yes
	exhibits the symptoms?	2.	No
10	Do you think traditional medicine can prevent or treat COVID-	1.	Yes
	19?	2.	No
11	Do you think COVID-19 doesn't affect youngsters?		Yes
			No

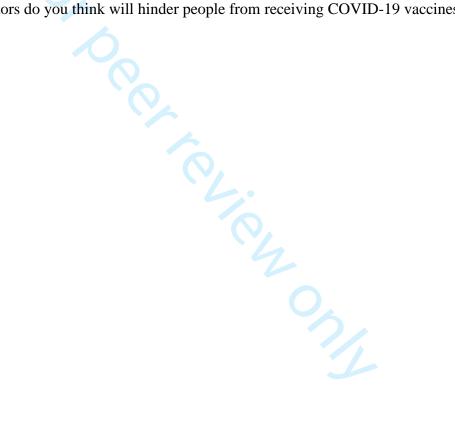
E. P	ractice	
1	In recent days have you worn a mask leaving home?	 Always Sometimes Never
2	Do you wash your hands before putting your mask on?	 Yes No
3	What kind of mask do you use?	 surgical N-95 cloth
4	If cloth, how often do you wash and reuse it?	 Everyday Weekly monthly
5	-If surgical mask, how often do you change?	 Everyday Weekly monthly
6	If you reuse a mask, where/ how do you store it?	 In the pocket plastic bag Holding on hands
7	Do you touch your face while wearing a mask?	 Always Sometimes Never
8	Do you avoid touching your mask?	1. Yes 2. No
9	How do you take off your mask?	 from the front of mask from the string of mask
10	How do you greet your friends?	 hand shake hugging elbow touching waving hand/without contact
11	In recent days have you practiced maintain your distance at 2m?	1. Yes 2. No
12	When do you wash your hands?	 After I touch dirty materials such as Birr, door handles After I touch my nose or ears or skin parts

3. Before putting on a mask and after taking off a mask
4. After coughing and sneezing into hands
5. When entering and leaving a public place

F. V	accine	
1	Have you heard about any prospective COVID-19 vaccine?	1. Yes 2. No
2	If yes, where did you get the information from?	 Internet/social media Mass media (Television, radio) Newspapers Other sources If other sources, specify ()
3	Will you get vaccinated, if possible?	 Yes No Not sure
4	If no, why?	 The vaccine itself might cause the infection I'm worried about the side effects I believe it will be used as a biological weapon to serve those who produce vaccine I don't find it reliable as it took a short time to get developed I don't think the vaccines produced will be effective I don't think I have enough information about the vaccines I believe COVID-19 is exaggerated, it is not a risky disease, so no vaccine is needed I prefer other ways of protection In general, I have doubts about the vaccine Other
5	Should children be vaccinated too?	1. Yes 2. No

Questions for the in-depth interview

- 1. How do you know about COVID-19? (Probe: transmission mechanisms, prevention strategies, vaccines availability, perceptions towards the vaccines)
- 2. What were your initial reactions towards COVID-19 when you first heard about it? How about now?
- 3. What are your thoughts on the COVID-19 vaccine? (Probe: availability, efficacy, perceptions on quality, side effects)
- 4. What factors do you think will hinder people from receiving COVID-19 vaccines?



SRQR Reporting checklist for qualitative study

	Device them	D
	Reporting item	Page number
Title		Hullibel
THE	Concise description of the nature and topic of the	Title
	study identifying the study as qualitative or indicating	Title
	the approach (e.g. ethnography, grounded theory) or	
	data collection methods (e.g. interview, focus group) is	
	recommended	
Abstract		
	Summary of the key elements of the study using the	Abstract
	abstract format of the intended publication; typically	
	includes background, purpose, methods, results and	
	conclusions	
Introduction		
Problem	Description and significance of the problem /	Page # 4
formulation	phenomenon studied: review of relevant theory and	
	empirical work; problem statement	
Purpose or research	Purpose of the study and specific objectives or	Page # 5
question	questions	
Methods		
Qualitative	Qualitative approach (e.g. ethnography, grounded	Page # 5
approach	theory, case study, 1henomenology, narrative	
and research	research) and guiding theory if appropriate; identifying	
paradigm	the research paradigm (e.g.	
	postpositivist, constructivist / interpretivist) is also	
	recommended; rationale. The rationale should briefly	
	discuss the justification for choosing that theory,	
	approach, method or technique rather than other	
	options available; the assumptions and limitations	
	implicit in those choices and how those choices	
	influence study conclusions and transferability. As	
	appropriate the rationale for several items might be	
	discussed together.	
Researcher	Researchers' characteristics that may influence the	Page # 5
characteristics and	research, including personal attributes, qualifications /	
reflexivity	experience, relationship with participants,	
	assumptions and / or presuppositions; potential or	
	actual interaction between	

	researchers' characteristics and the research		
	questions, approach, methods, results and / or		
	transferability		
Context	Setting / site and salient contextual factors; rationale	Page # 5	
Sampling strategy	How and why research participants, documents, or	Page # 6	
, , ,	events were selected; criteria for deciding when no		
	further sampling was necessary (e.g. sampling		
	saturation); rationale		
Ethical issues	Documentation of approval by an appropriate ethics	Page # 7	
pertaining to human	review board and participant consent, or explanation		
subjects	for lack thereof; other confidentiality and data security		
	issues		
Data collection	Types of data collected; details of data collection	Page # 6	
methods	procedures including (as appropriate) start and stop		
	dates of data collection and analysis, iterative process,		
	triangulation of sources / methods, and modification		
	of procedures in response to evolving study findings;		
	rationale		
Data collection	Description of instruments (e.g. interview guides,	Page # 6	
instruments and	questionnaires) and devices (e.g. audio recorders)		
technologies	used for data collection; if / how the instruments(s)		
Unite of study	changed over the course of the study	Dogo # F	
Units of study	Number and relevant characteristics of participants, documents, or events included in the study; level of	Page # 5	
	participation (could be reported in results)		
Data processing	Methods for processing data prior to and during	Page # 7	
Bata processing	analysis, including transcription, data entry, data	l age ii i	
	management and security, verification of data		
	integrity, data coding, and anonymisation /		
	deidentification of excerpts		
Data analysis	Process by which inferences, themes, etc. were	Page # 7	
	identified and developed, including the researchers		
	involved in data analysis; usually references a specific		
	paradigm or approach; rationale		
Techniques to	Techniques to enhance trustworthiness and credibility	Page # 7	
enhance	of data analysis (e.g. member checking, audit trail,		
trustworthiness	triangulation); rationale		
Results/findings			
Syntheses and	Main findings (e.g. interpretations, inferences, and	Page # 8 - 10	
interpretation	themes); might include development of a theory or		
	model, or integration with prior research or theory	B "0 15	
Links to empirical	Evidence (e.g. quotes, field notes, text excerpts,	Page # 8 - 10	
data	photographs)		

	to substantiate analytic findings	
Discussion		
Integration with	Short summary of main findings; explanation of how	Page # 11 -
prior work,	findings and conclusions connect to, support,	13
implications,	elaborate on, or challenge conclusions of earlier	
transferability and	scholarship; discussion of scope of application /	
contribution(s) to	generalizability; identification of unique	
the field	contributions(s) to scholarship in a discipline or field	
Limitations	Trustworthiness and limitations of findings	Page # 13
Other		
Conflicts of interest	Potential sources of influence of perceived influence	Page # 3
	on study conduct and conclusions; how these were	
	managed	
Funding	Sources of funding and other support; role of funders	Page # 3
	in data collection, interpretation and reporting	