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# **BMJ Open**

## Gender-based pay differences in academic medicine reside in discretionary pay

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**TITLE:** Gender-based pay differences in academic medicine reside in discretionary pay 

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	34	Abstract
	35	Objectives: Previous studies demonstrate women faculty within academic medicine are paid less
	36	than their men counterparts. To close the wage gap in academic medicine, it is critical to know
)	37	where this disparity originates. The primary objective was to evaluate differences in pay based
- 5 	38	on gender, rank and research productivity for three academic medical specialties with diverse
5	39	gender distributions. The authors hypothesized that the wage gap would be largest outside of
3	40	base pay across all ranks, even after accounting for rank and research productivity.
)	41	Design: This was a retrospective review of compensation for assistant, associate, and full
<u>)</u> }	42	professors during the year of 2016. Total compensation ("gross pay") was defined as regular pay
 ; :	43	plus "other" pay.
) 7 }	44	Setting: A publicly available database was used to collect information on gross pay, regular pay,
)	45	"other" pay, and rank.
<u>)</u>	46	Participants: Archived online faculty profiles were reviewed to collect information on gender.
5 	47	Elsevier's SCOPUS was used to collect data on h-index, a measure of research productivity.
) 7	48	Participants included 799 total faculty members, including 225 assistant, 200 associate, and 374
}	49	full professors from general surgery, obstetrics and gynecology, and radiology departments at six
)	50	state-run, publicly funded academic medical centers in the western United States.
- 5 -	51	Results: Gross salary was significantly higher for men across all professorial ranks in both
5	52	general surgery and obstetrics and gynecology. This disparity originates from gaps in "other"
3	53	pay, as regular pay was not significantly different between men and women.
)	54	Conclusions: Further investigations should focus more on discrepancies in discretionary or
<u>)</u> }	55	"other" pay which may preferentially benefit men. No significant gender difference in gross
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4	56	salary for radiology was found. Additional studies of radiology departments could identify ways		
5	57	in which it could serve as a model for gender-based salary structures for clinicians.		
6 7	57	in which it could be ve us a model for genaer bused sulary structures for enhibitans.		
8	58			
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10	59	Strengths and limitations of the study		
11 12				
13	60	• This is a large population study assessing distribution of salary among three diverse		
14 15	61	disciplines based on their presumed gender distributions and distribution of the types of		
15 16	01	disciplines based on their presumed gender distributions and distribution of the types of		
17	62	clinical work.		
18	-			
19 20	63	• Linear regression models were estimated to determine the relationship between specialty,		
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22	64	gender, rank, h-index and income.		
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24 25	65	• We focus on only one set of state-based academic institutions from the west coast of the		
26	66	United States and so are unable to be certain whether our findings would generalize to		
27	00	Officed States and so are unable to be certain whether our findings would generalize to		
28 29	67	private practices or to those in other parts of the country.		
30	•			
31	68	• We examined salaries from only three departments and therefore cannot be certain that		
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33 34	69	other clinical specialties would follow similar patterns.		
35		7		
36	70	• Our data was obtained from websites only, we are unable to delve more deeply into the		
37 38	71	components of "other" salary beyond the general description that is offered publicly.		
39	/1	components of other salary beyond the general description that is offered publicly.		
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Introduction

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70	Introduction
79	The more education a woman has, the greater the disparity she will see in her wages. <sup>1</sup> This
80	disparity is especially glaring for physicians and surgeons, with women earning about 72 cents
81	for every dollar men earn. <sup>2</sup> Representation of women in medicine is increasing dramatically,
82	however the gender income gap remains. <sup>3</sup> Women faculty have been shown to have lower
83	salaries, smaller start-up packages, and limited authorship roles. <sup>4,5</sup> Despite the Equal Pay Act of
84	1963, this gap continues to exist across specialties, practice settings, work hours, and other
85	characteristics, <sup>6-10</sup> and persists even after accounting for age, experience, specialty, faculty rank,
86	and measures of research productivity and clinical revenue. <sup>6-12</sup> Commonly cited explanations for
87	this gender gap include differences in negotiating skills, opportunities to join networks of
88	influence within organizations, discrimination, and implicit and explicit bias. <sup>6,7,9,13-15</sup>
89	
90	These issues span far beyond the medical setting. Despite making up almost half the workforce,
91	women continue to earn less in nearly every single occupation for which there is sufficient
92	earnings data to calculate an earnings ratio. <sup>16</sup> In general, the highest paid occupations have the
93	largest wage gaps. According to the Institute for Women's Policy Research, it will take nearly 40
94	years for women to finally reach pay parity if changes continue at the current rate. <sup>17</sup> Persistent
95	pay inequality has far-reaching economic consequences; providing equal pay would cut the
96	poverty rate for all working women in half. <sup>18</sup>
97	
98	There is an increasingly large body of evidence that gender not only impacts pay but also faculty
99	rank and research productivity. <sup>6-12</sup> Gender disparities in faculty rank within academic medicine
100	persist after accounting for age, years since completion of residency, specialty, scientific

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authorship, National Institutes of Health (NIH) research funding, clinical trial participation, and
 clinical revenue.<sup>9</sup> In academic medicine specifically, there is a significant gender difference in
 rate and impact of publications, with women showing lower productivity than men in surgical
 specialties.<sup>12</sup>

In order to close the wage gap in academic medicine, we must be clear where in total compensation packages this disparity originates. Data suggests that gender differences in income can be attributed to the income gaps within specific occupations, not across occupations.<sup>19</sup> As such, we chose to focus this study on academic salary at a single time point, expecting to see differences in pay based on gender, faculty rank and h-index, a metric for evaluating the cumulative impact of an author's scholarly output and performance calculated by comparing number of publications to citations.<sup>20</sup> The primary objective of our study was to identify where in total compensation the wage gap originates by evaluating differences in pay based on gender, rank and research productivity for three diverse academic medical specialties. Our areas of interest – income, academic rank, and research productivity – are typical benchmarks for professional development.<sup>21</sup> Three diverse specialties – general surgery, obstetrics and gynecology, and radiology - were chosen, primarily because of their presumed gender distributions and distribution of the types of clinical work.<sup>22</sup> We hypothesized that women would earn significantly less gross pay across all ranks, even after accounting for rank and research productivity.

9 121

122 Methods

123 Study Design

<u>5</u>

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124	This was a retrospective population study of total faculty compensation for assistant, associate
125	and full professors at six major public academic medical centers using a single time point during
126	2016. We chose the time point of 2016 as it was the most recent year for which data was
127	available at the time. Three diverse disciplines were examined: general surgery, obstetrics &
128	gynecology, and radiology. General surgery is typically a male-dominated specialty, obstetrics
129	and gynecology a female-dominated specialty, while radiology has a more equal gender
130	distribution. There is also a diversity of clinical work throughout these three subspecialities with
131	general surgery being dominated by surgical procedures, radiology not being surgical in nature,
132	and obstetrics and gynecology with a more diverse balance of clinical work. <sup>3,23</sup> Ethics approval
133	and consent to participate was waived by the Institutional Review Board of the Stanford
134	University School of Medicine.
135	Study Population
136	
137	Study Population
138	988 total employees were assessed for eligibility (see Figure 1). 110 (11%) were excluded for
139	having a role other than assistant, associate or professor. A further 79 (8%) were excluded
140	because they were listed on the department website but not in the payroll database. After
141	exclusions, our analysis included 799 faculty members, including 225 (28%) assistant, 200
142	(25%) associate, and 374 (47%) full professors. 312 (39%) were identified as women and 487
143	(61%) as men.
144	
145	Patient and Public Involvement
I.	

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146 How were the development of the research question and outcome measures informed by 147 patients' priorities, experiences, and preferences?

No patients were involved in the study. For this research question, we had a publicly available 148

- 149 data set of wages. Our research questions and outcome measures were developed to determine
- 150 equity in participants wages. Although specifically priorities and preferences were not asked, it is
- 151 an important question to identify any wage discrepancies.
- How did you involve patients in the design of this study? 153
- 154 No patients were involved in the design of study
- 156 Were patients involved in the recruitment to and conduct of the study?
- 157 No patients were involved in the design of study
- 158
- 159 How will the results be disseminated to study participants?
- 160 Data are already available in a publicly shared website. The results of our analysis of this
- 161 publicly available data will be disseminated by a publication of this manuscript.
- 162
  - 163 For randomized controlled trials, was the burden of the intervention assessed by patients
  - themselves? This was not a randomized control trial. 164

#### 166 **Total Compensation, Rank and Research Productivity**

A publicly available database which contains all of the compensation information for faculty and 167 168 employees at a large university system was used to look at total faculty compensation in three different ways: Gross Pay, Regular Pay, and Other Pay.<sup>24</sup> Gross Pay was defined as Regular Pay 169

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plus Other Regular Pay was defined as Base Pay minus reductions due to participation in the volunta ployee Reduction in Time and phased retirement programs. Other Pay red to as "discretionary pay") was defined as negotiated additional pay for (commonly clinical car research which was funded from earned clinical revenue as well as contracts includes: pay for Summer Session or University Extension teaching, pay for and grants. research pe ed during summer months that is funded by extramural contracts and grants, ed incentive compensation and similar payments that recognize achievement of performanc specific per ance goals or exemplary service, pay for shift differentials (e.g., night or holiday call), payou inused vacation leave upon separation, and lump sum payments made as part of union bargaining agreements. Data on academic rank and specialty was the settlem collected fr e same database. The internet archive service Wayback Machine<sup>1</sup> was used to collect data ender from 2016 online faculty profiles at each department's website. US was used to collect data on h-index. Faculty members' h-indexes were Elsevier's S eir full name, last name and first and middle initials, and/or maiden name when obtained us appropriate **Statistical** vsis Our data se sisted of 6 variables: (1) Department – a three-level categorical variable (surgery, ol ics & gynecology, and radiology); (2) Rank - three-level categorical variables (assistant, a ate, and full); (3) Gender; (4) h-index – a measure of publication output; (5) e. salary; and (6) Other pay – e.g. bonuses, pay for extra work. Linear regression Regular Pay models wer mated to determine the relationship between these factors and income. Pay was

<sup>&</sup>lt;sup>1</sup> The Interne ve provides free access to over 20 years of web history accessible via the Wayback Machine: https://archiv eb/

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selected as our primary variable and gender, academic rank and h-index as secondary variables.
Rank, gender, and h-index were treated as independent variables, whereas "regular pay" and
"other pay" were treated as dependent variables. Because rank is a three-level categorical level, it
was split into two dummy variables with "Full" as reference value. Three regression models
were run per department: one to predict gross pay (regular pay plus other pay), one to predict
regular pay, and one to predict other pay. Data was entered into SPSS version 20, with a *p* value
of less than 0.05 considered to be significant.

## **Results**

Overall, women represented 26% of general surgery faculty (n=126), 70% of obstetrics and
gynecology faculty (n=106), and 34% of radiology faculty (n=80). Women also made up 51% of
all assistant professors (n=115), 40% of associate professors (n=79), and 32% of full professors
(n=118) (see Table 1). Distribution of gross pay, regular pay, and other pay stratified by gender
and academic rank is presented in Figure 2 and Table 2.

<sup>6</sup> 206

## 207 General Surgery

208 Distribution of pay for general surgery is presented in Figure 3. Within general surgery, the

- 209 overall regression for gross pay was significant ( $R^2 = .159, F(4, 299) = 14.123, p < .01$ ).
- 210 Gender was significantly different with women earning lower gross salaries than men (
- $\beta = -84,970, p < 0.05$ ). Rank was not significantly different for gross pay; however, higher h-
- index was significantly associated with higher gross pay ( $\beta = 5,023, p < 0.01$ ).

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214	In an attempt to analyze what specifically drove the effect on gross pay, we ran an analysis on
215	"regular pay" and "other pay" separately. In terms of regular pay, the overall regression was
216	significant ( $R^2 = 0.323, F(4, 299) = 35.737, p < 0.01$ ). However, gender was not
217	significantly associated with regular pay with men and women receiving similar regular salaries.
218	Unsurprisingly, rank was associated with regular salary, with assistant professors (
219	$\beta = -51,031, p < .01$ ) and associate professors ( $\beta = -40,680, p < 0.01$ ) earning significantly
220	less regular pay than full professors. Higher h-index was also significantly associated with
221	higher regular pay ( $\beta = 1,606, p < .01$ ).
222	
223	For "other pay", the overall regression was significant ( $R^2 = 0.096, F(4,299)$ )
224	= 7.900, $p < 0.01$ ). Furthermore, gender was significantly associated with "other pay" (
225	$\beta = -79,467, p < 0.05$ ) with women earning significantly less of this salary component than
226	men. There was no difference in rank in "other pay". Higher h-index was significantly associated
227	with "other pay" ( $\beta = 3,418, p < 0.01$ ).
228	
229	Obstetrics and Gynecology
230	Distribution of pay for obstetrics and gynecology is presented in Figure 4. For obstetrics and
231	gynecology, the overall regression for gross pay was significant ( $R^2 = 0.068, F(4, 174)$ )
232	= 3.172, $p < 0.05$ ). There was a significant difference in gender, with women earning less
233	gross pay than men ( $\beta = -84,221, p < 0.02$ ). Rank was not found to be significantly different
234	for gross pay for either assistant or associate professors compared to full professors. H-index was
235	also not significantly associated with gross pay.
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3 4	237	For regular pay, the overall regression was significant ( $R^2 = 0.485, F(4, 174)$ )
5 6	238	= 40.986, $p < 0.01$ ). There was no significant difference between women and men in regular
7 8 9	239	pay. Rank was associated with regular pay, with both assistant ( $\beta = -52,696, p < 0.01$ ) and
10 11	240	associate professors ( $\beta = -36,711, p < 0.01$ ) earning significantly less than full professors. H-
12 13	241	index was also significant ( $\beta = 1,314, p < 0.01$ ), with higher h-index linked to higher regular
14 15 16	242	pay.
17 18	243	
19 20	244	For "other pay", the overall regression was not significant ( $R^2 = .037, F(4, 174) = 1.666, n.s.$ ).
21 22	245	However, there was a significant difference in gender, with women earning less other pay than
23 24 25	246	men ( $\beta = -74,168, p < 0.05$ ). There were no significant differences in "other pay" for the
26 27	247	variables of rank or h-index.
28 29	248	
30 31 32	249	Radiology
33 34	250	Distribution of pay for radiology is presented in Figure 5. Within radiology, the overall
35 36	251	regression for gross pay was not significant ( $R^2 = 0.01$ , $F(4,266) = .591$ , <i>n.s.</i> ). No factors
37 38 39	252	
		showed any significant association with gross pay.
40 41	253	showed any significant association with gross pay. In terms of regular pay, the overall regression was significant ( $R^2$
41 42 43	253 254	
41 42 43 44 45		In terms of regular pay, the overall regression was significant ( $R^2$
41 42 43 44 45 46 47	254	In terms of regular pay, the overall regression was significant ( $R^2$ = 0.395, $F(4,265) = 43.293$ , $p < 0.01$ ). However, gender was not significantly associated
41 42 43 44 45 46 47 48 49 50	254 255	In terms of regular pay, the overall regression was significant ( $R^2$ = 0.395, $F(4,265) = 43.293$ , $p < 0.01$ ). However, gender was not significantly associated with regular pay as men and women earned similar base salaries. Significant differences in
41 42 43 44 45 46 47 48 49 50 51 52	254 255 256	In terms of regular pay, the overall regression was significant ( $R^2$ = 0.395, $F(4,265) = 43.293$ , $p < 0.01$ ). However, gender was not significantly associated with regular pay as men and women earned similar base salaries. Significant differences in regular salary by rank were identified with assistant professors ( $\beta = -52,145$ , $p < 0.01$ ) and
41 42 43 44 45 46 47 48 49 50 51 52 53 54	254 255 256 257	In terms of regular pay, the overall regression was significant ( $R^2$ = 0.395, $F(4,265) = 43.293$ , $p < 0.01$ ). However, gender was not significantly associated with regular pay as men and women earned similar base salaries. Significant differences in regular salary by rank were identified with assistant professors ( $\beta = -52,145$ , $p < 0.01$ ) and associate professors ( $\beta = -43,848$ , $p < 0.01$ ) earning significantly less regular pay than full
41 42 43 44 45 46 47 48 49 50 51 52 53	254 255 256 257 258	In terms of regular pay, the overall regression was significant ( $R^2$ = 0.395, $F(4,265) = 43.293$ , $p < 0.01$ ). However, gender was not significantly associated with regular pay as men and women earned similar base salaries. Significant differences in regular salary by rank were identified with assistant professors ( $\beta = -52,145$ , $p < 0.01$ ) and associate professors ( $\beta = -43,848$ , $p < 0.01$ ) earning significantly less regular pay than full professors. Higher h-index was also significantly associated with higher regular pay (

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For "other pay", the overall regression was significant ( $R^2 = 0.064, F(4, 266)$ )

261 = 4.567, p < 0.01); however, there was no significant association between gender and "other 262 pay". Rank was also not associated with "other pay". H-index was significantly associated with 263 "other pay" ( $\beta = -947$ , p < 0.05), with a higher h-index linked to lower "other pay".

# **Discussion**

Our results show that while there are significant differences in gross pay for women faculty in general surgery and obstetrics and gynecology, it is not "base" or regular pay that accounts for the income gap between women and men. Instead, other components of income, classified in our data as "other pay," appear to contribute to acknowledged differences in salary between female and male faculty members within our target institutions. In our review of publicly available salary data,<sup>24</sup> women faculty within the departments of general surgery and obstetrics and gynecology earned almost \$75,000 less than their men colleagues. This "other pay" is described as coming from additional clinical responsibilities such as call pay as well as support for administrative work or leadership positions.<sup>24</sup> These findings echo the work of the economist Claudia Goldin who has described the gender pay gap in a variety of professions as being related not to differences in "base" pay but rather to differences related to flexibility or amount of work that is taken on by women versus men.<sup>25,26</sup> 

Previous studies offer many theories to explain the gender gap, including women are less likely
to be asked to serve as leaders, experience effective mentoring, receive equitable allocation of
research funding, and seek additional call hours or clinical work in favor of different household
and childrearing obligations.<sup>3,27</sup> Women faculty who work flexible hours may be less likely than

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men to receive leadership positions that result in bonus salary.<sup>27</sup> In fact, faculty who need a more flexible work schedule remain as junior faculty and receive less support.<sup>28</sup> These circumstances help to explain our findings the lower "additional" or "other" pay for women faculty within the two specialties. One solution is to alter promotion policies to better support the diversity of needs.<sup>28</sup> Additional solutions can be found in the NIH's Gender Inequality Task Force Report.<sup>29</sup> Interestingly, the gender gap for "other" pay in our study was true within obstetrics and gynecology, despite the fact that women comprise a majority of faculty members within this specialty. Furthermore, no gender gap was identified for the department of radiology, despite its male predominance. This finding suggests that the gender distribution of the department alone does not necessarily guide pay discrepancies between women and men faculty members. Instead, it seems that other factors, such as the nature of the clinical work itself, may contribute to the gender salary gap.<sup>27</sup> It has been acknowledged in previous studies that surgical subspecialties are highly technical and predominantly occupied by men, and often times men are among the highest paid with roles as researchers, opposed to women within these specialties occupying lower status communal roles as educators.<sup>27</sup> For instance, no gender differences were noted for any portion of salaries within the radiology departments which we examined in this study. Radiology clinical work differs from that of other specialties in that it is predominantly shift-based and less procedural than general surgery and obstetrics and gynecology. Radiology may thereby offer fewer opportunities for gender-based increases to "other pay" which might be earned through

additional clinical work.

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305	Our findings also validate previous studies finding striking gender inequities in the higher
306	academic ranks. <sup>12</sup> Common explanations for these gender differences include the gender-based
307	hiring disparities of previous generations, lack of transparency of salary, promotion, mentoring
308	and female role-models, and time away for childbearing and family obligations. <sup>27,28,30</sup> There is
309	also recent evidence that women physicians might start their career with lower salary
310	expectations than men, which could become a self-fulfilling prophecy for later pay. <sup>27,31</sup>
311	Furthermore, there is some indication that women prioritize salary less than men do and are
312	judged more harshly for initiating negotiations. <sup>6,27</sup>
313	
314	In summary, gender disparities have been well documented in academic medicine, and our study
315	offers a unique perspective of the different components that make up the academic medicine
316	physician salary. Previous studies have offered suggestions to improve this disparity, which will
317	be important for closing this "other" pay gap. Suggestions include transparency of starting
318	salaries to young professionals, initiating negotiation training to improve starting salary
319	packages, mentorship in career advancement for women junior faculty, investigation of research
320	grant award processes, and further adoption of programs to address disparities in grant award
321	processes. <sup>28</sup> Implementation of a university-wide objective compensation planned implemented
322	by the Association of American Medical Colleges regional median salary (AAMC-WRMS) was
323	associated with reduced gender-based differences in salary among surgery faculty within the
324	institution and a statistically significant increase in salary among female faculty. Objective
325	compensation plans may mitigate gender-based implicit bias in salary negotiations and
326	promotions. <sup>32</sup>
327	

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328 Limitations

Our study has several limitations. First, we focus on only one set of state-based academic institutions from the west coast of the United States and so are unable to be certain whether our findings would generalize to private practices or to those in other parts of the country. Furthermore, we examined salaries from only three departments and therefore cannot be certain that other clinical specialties would follow similar patterns. However, the departments were chosen to accurately reflect a set of departments with a diverse set of gender distribution and clinical work. Finally, since our data was obtained from websites only, we are unable to delve more deeply into the components of "other" salary beyond the general description that is offered publicly. 

339 Conclusion

In sum, our study observed the trends of salary within three clinical specialties at state-run, publicly funded academic institutions. For this sample, gender differences were most notable in the fields of general surgery and obstetrics and gynecology. We suggest that further investigations should focus less on gender inequities of base salary, which may not be relevant to clinical faculty in academic settings as they are institutionally set to be independent of gender, and more on discrepancies in discretionary or "other" pay which may preferentially benefit men. With closer analysis, we might be able to achieve a better understanding of whether women are not receiving their full earning potential or, as has been suggested in the economic literature,<sup>25,33</sup> whether they are instead prioritizing flexibility in work hours over compensation. Finally, with our observation that the gender salary gap might not be as prominent within the field of

1 2			
2 3 4	350	radiology, additional studies of this specialty could identify ways in which it could serve as a	
5 6	351	model for gender-based salary structures for clinicians.	
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19 20	357	Figure 1. Participant Exclusions. Participants included 799 faculty members. 988 institution	
21 22 23	358	employees were assessed for eligibility. 189 were excluded for having a non-professorial, full-	
23 24 25	359	time role. A further 79 were excluded for only being listed on the department website, not being	
26 27	360	on 2016 payroll.	
28 29	361		
30 31 32	362	Figure 2. Overall Pay. Distribution of gross pay (A), regular pay (B) and "other pay" (C) of	
33 34	363	women and men in general surgery, obstetrics and gynecology, and radiology departments	
35 36	364	stratified by academic rank.	
37 38 39	365		
40 41	366		
42 43	367	Figure 3. General Surgery Pay. Distribution of gross pay (A), regular pay (B) and "other pay"	
44 45 46	368	(C) of women and men in general surgery stratified by academic rank.	
46 47 48	369		
49 50	370		
51 52	371	Figure 4. Obstetrics and Gynecology Pay. Distribution of gross pay (A), regular pay (B) and	
53 54 55 56 57	372	"other pay" (C) of women and men in obstetrics and gynecology stratified by academic rank.	
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5	Figure 5. Radiology Pay. Distribution of gross pay (A), regular pay (B) and "other pay" (C) of
5	women and men in radiology stratified by academic rank.
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**Table 1.** Demographic data for women and men faculty by institution and department at six

380 academic institutions in 2016.

Institution	Department	Total	Assista	nt	Assoc	iate	Profes	sor
			$\mathbf{W}^1$	$M^2$	W	М	W	Μ
Institution 1		121						
	Surgery	52	6	9	1	12	5	19
	Ob/Gyn	26	10	1	4	2	5	4
	Radiology	43	5	8	3	3	6	18
Institution 2		77						
	Surgery	32	5	3	4	7	0	13
	Ob/Gyn	22	4	1	8	1	4	4
	Radiology	23	0	3	2	7	5	6
Institution 3		175						
	Surgery	70	5	7	4	18	4	32
	Ob/Gyn	40	17	3	4	3	5	8
	Radiology	65	9	16	4	12	9	15
Institution 4 <sup>3</sup>		15						
	Surgery	0	0	0	0	0	0	0
	Ob/Gyn	15	9	1	2	0	2	1
	Radiology	0	0	0	0	0	0	0
Institution 5		168						
	Surgery	72	5	12	7	14	8	26
	Ob/Gyn	27	3	0	4	0	8	12
	Radiology	69	2	9	8	7	9	34
Institution 6		243						
	Surgery	79	5	12	10	13	11	28
	Ob/Gyn	50	15	3	5	3	17	7
	Radiology	114	15	22	9	19	20	29

## 381 <sup>1</sup>Women; <sup>2</sup>Men

- 382 <sup>3</sup>Institution 4 did not have a surgery or radiology department.

**Table 2.** Average gross, base and "other" pay stratified by department, rank and gender at six

392 academic institutions in 2016.

Department	Rank	Gender	Total	Gross Pay (Mean ± SD)	Base Pay (Mean ± SD)	Other Pay (Mean ± SD)
General Surgery	Assistant	$\mathbf{W}^1$	58	$325,154 \pm 167,202$	100,013±21,259	225,141±165,366
		$M^2$	9	$401,248 \pm 211,949$	102,668±10,160	298,580±215,319
	Associate	W	27	$321,560 \pm 137,854$	127,005±28,489	194,556±129,298
		М	9	463,437 ±209,438	118,118±7,564	345,320±211,383
	Professor	W	41	355,631±135,205	160,583±40,006	195,048±114,816
		М	36	431,458±265,881	193,647±70,290	237,811±235,410
Ob/Gyn	Assistant	W	31	272,521±117,227	106,264±27,706	166,257±97,851
		М	58	306,085±108,154	111,412±26,998	194,673±89,110
	Associate	W	26	306,375±117,688	128,085±27,904	178,291±97,833
		М	48	318,068±138,353	128,023±34,574	190,045±114,220
	Professor	W	49	349,216±121,677	189,281±61,148	160,329±90,079
		М	102	330,763±155,221	190,616±65,553	140,147±122,192
Radiology	Assistant	W	26	271,733±101,797	116,400±32,331	155,333±78,648
		М	43	317,801±180,669	116,310±45,245	201,492±149,107
	Associate	W	26	334,010±192,702	129,735±46,725	204,274±167,891
		М	64	439,549±272,289	142,086±53,949	297,463±250,756
	Professor	W	28	432,943±221,201	196,264±65,213	236,680±185,151
		М	118	552,015±365,815	207,686±88,078	344,329±320,399
<sup>1</sup> Women; <sup>2</sup> Men 394	1	171	110	552,0154505,015	201,000±00,078	JT7,527±320,37

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405	Author's contributions: Hayley Miller was a major contributor in the writing of the manuscrip	ot.
406	Elizabeth Seckel analyzed and interpreted the data and contributed to manuscript preparation.	
407	Chrislyn White performed data abstraction and collection. Diana Sanchez performed data	
408	abstraction and collection. Erika Rubesova edited the manuscript. Claudia Mueller designed the	;
409	study, analyzed and interpreted the data and was a major contributor in writing of the	
410	manuscript. Katherine Bianco designed the study, analyzed and interpreted the data and was a	
411	major contributor in writing of the manuscript. All authors read and approved the final	
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7 8	425	Ethic	s approval and consent to participate for this study was waived by the Institutional	
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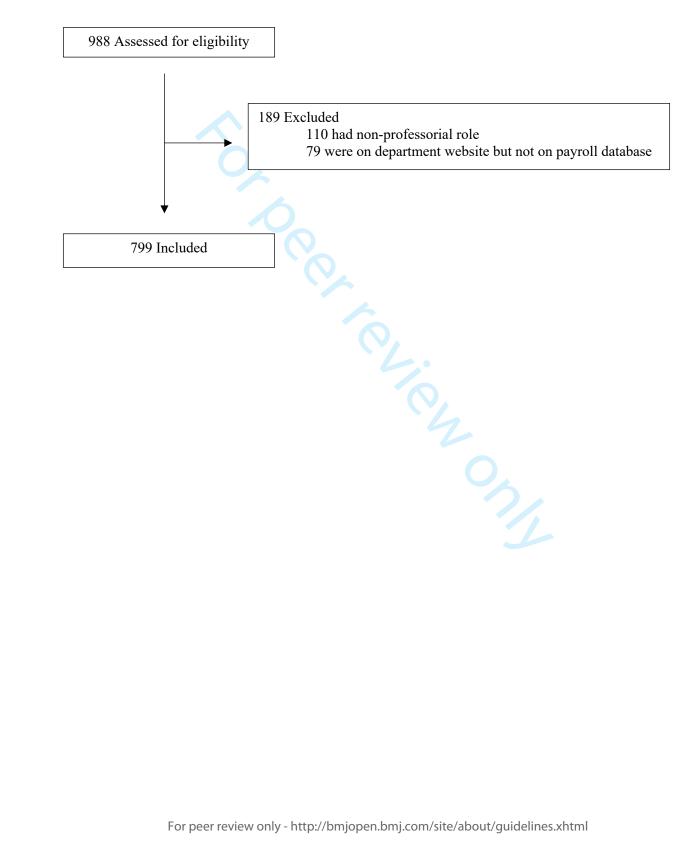
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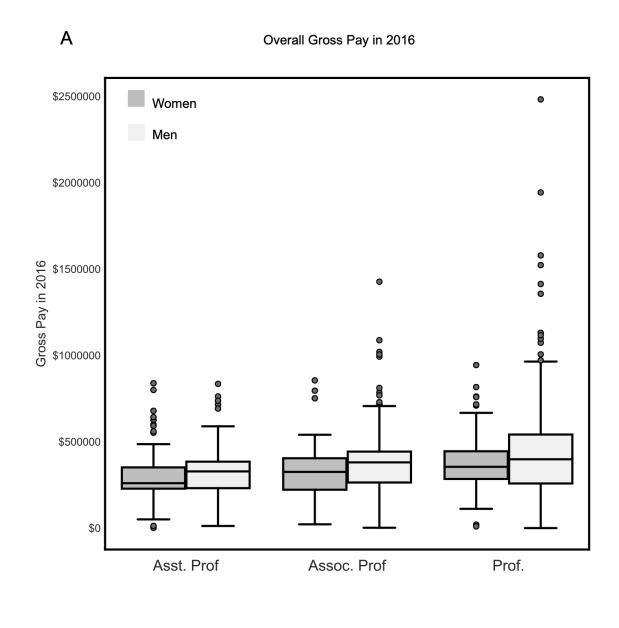
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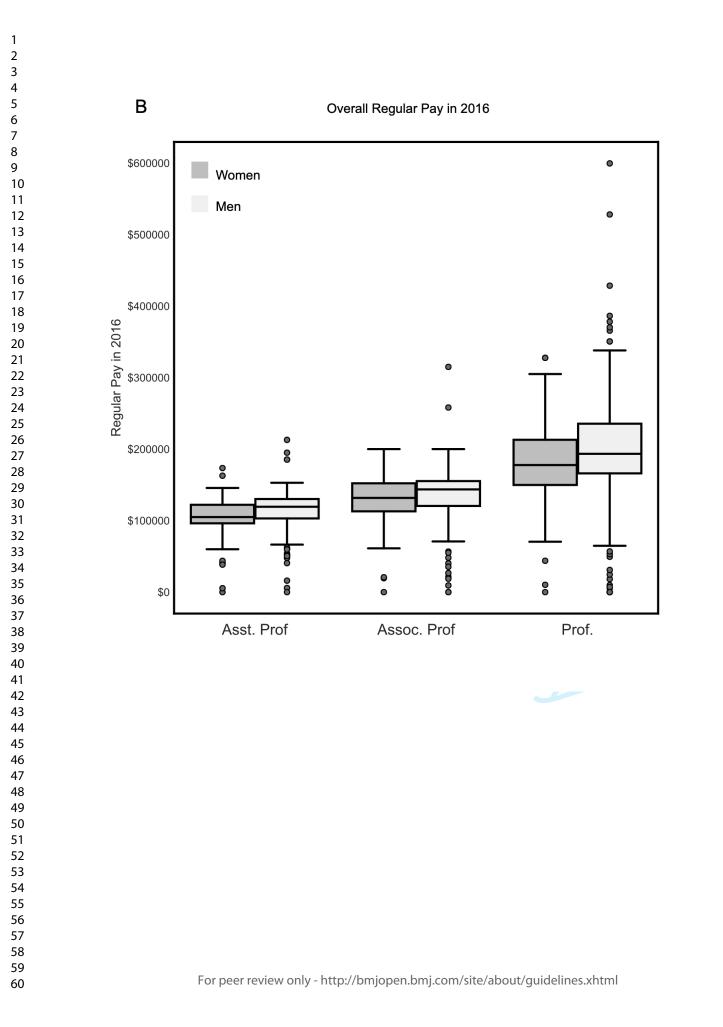
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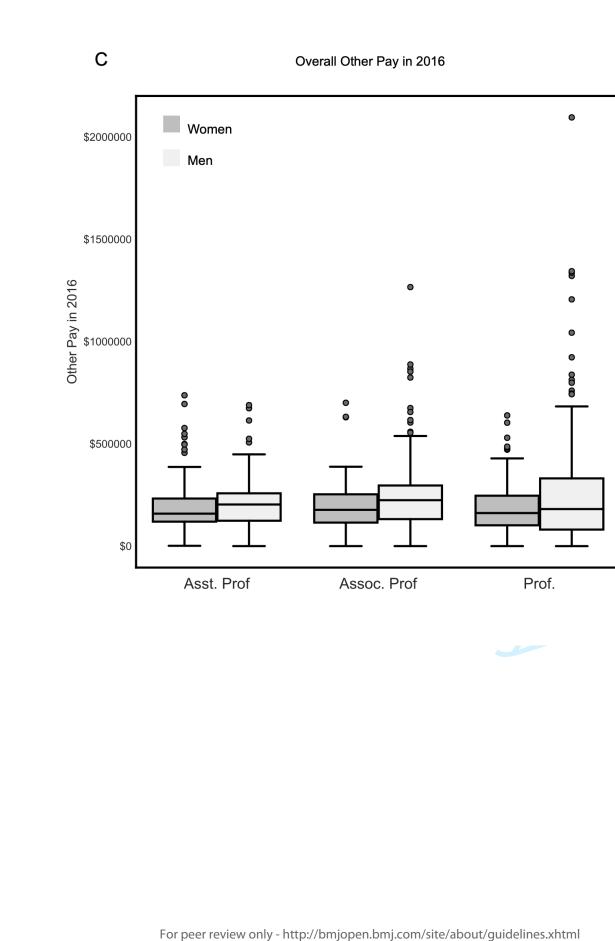
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**Figure 2. Overall Pay.** Distribution of gross pay (A), regular pay (B) and "other pay" (C) of women and men in general surgery, obstetrics and gynecology, and radiology departments stratified by academic rank.



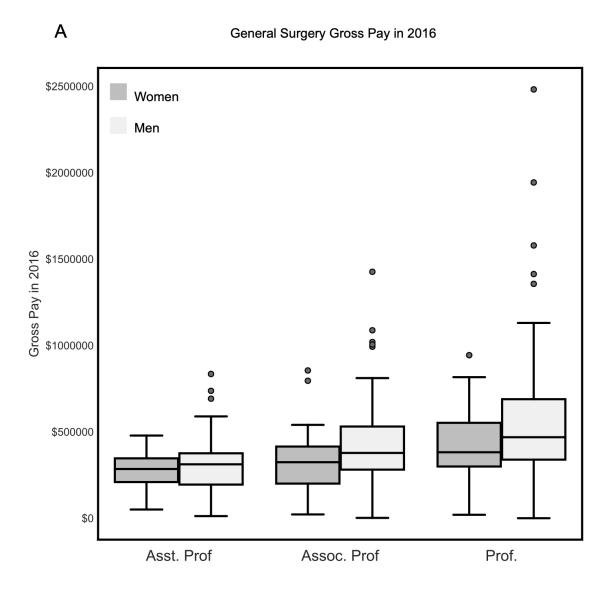




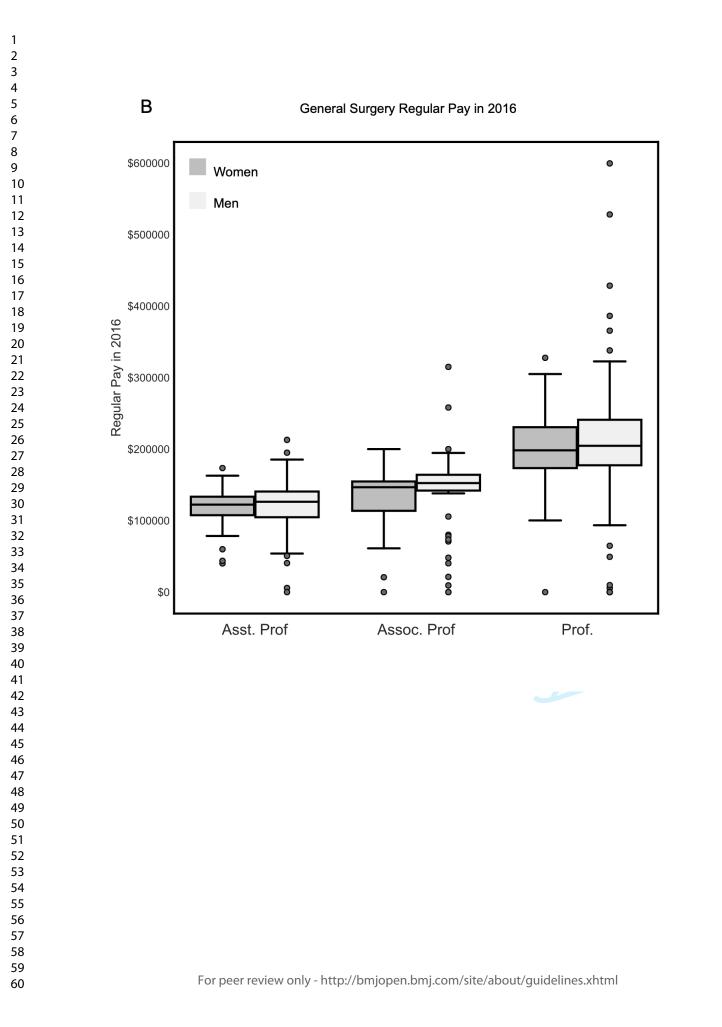
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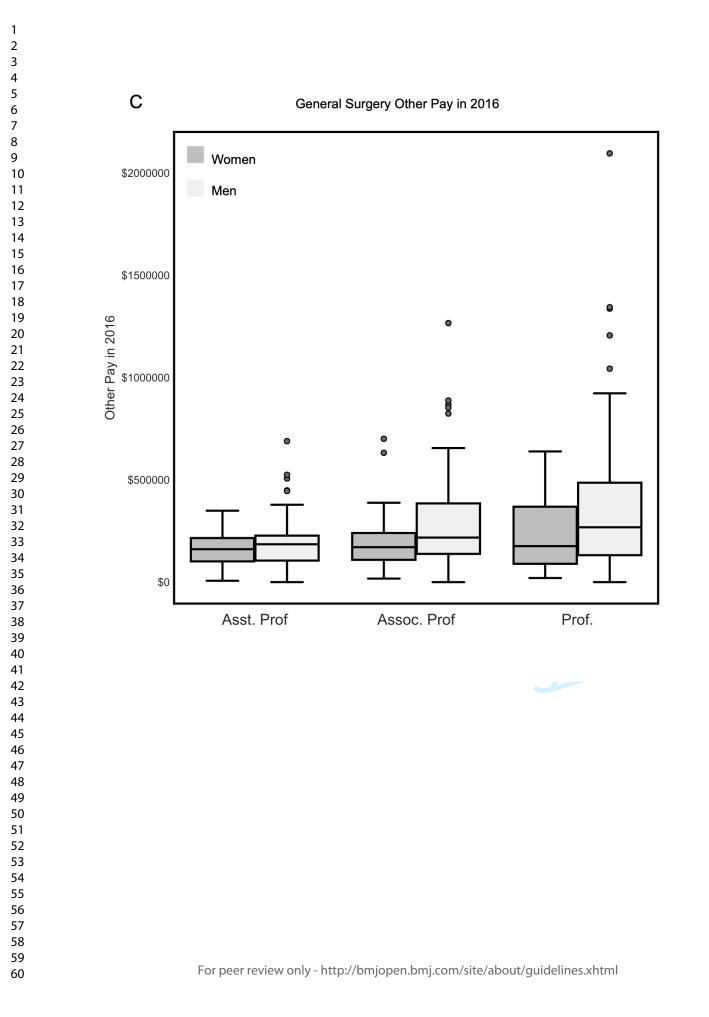
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**Figure 3. General Surgery Pay.** Distribution of gross pay (A), regular pay (B) and "other pay" (C) of women and men in general surgery stratified by academic rank.

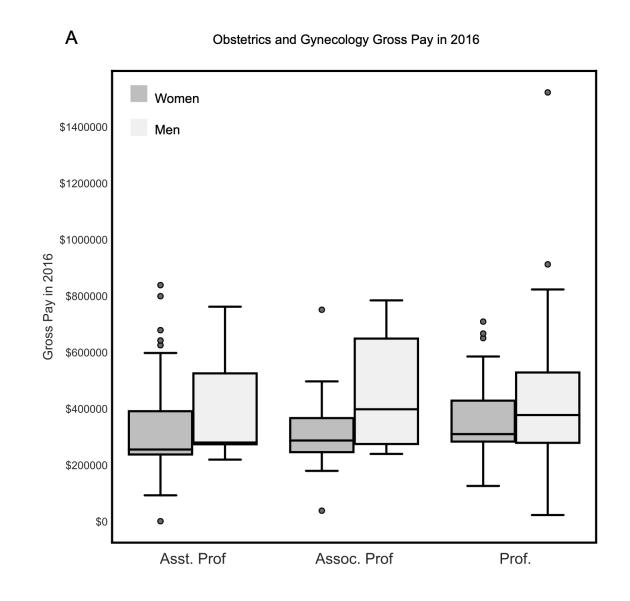


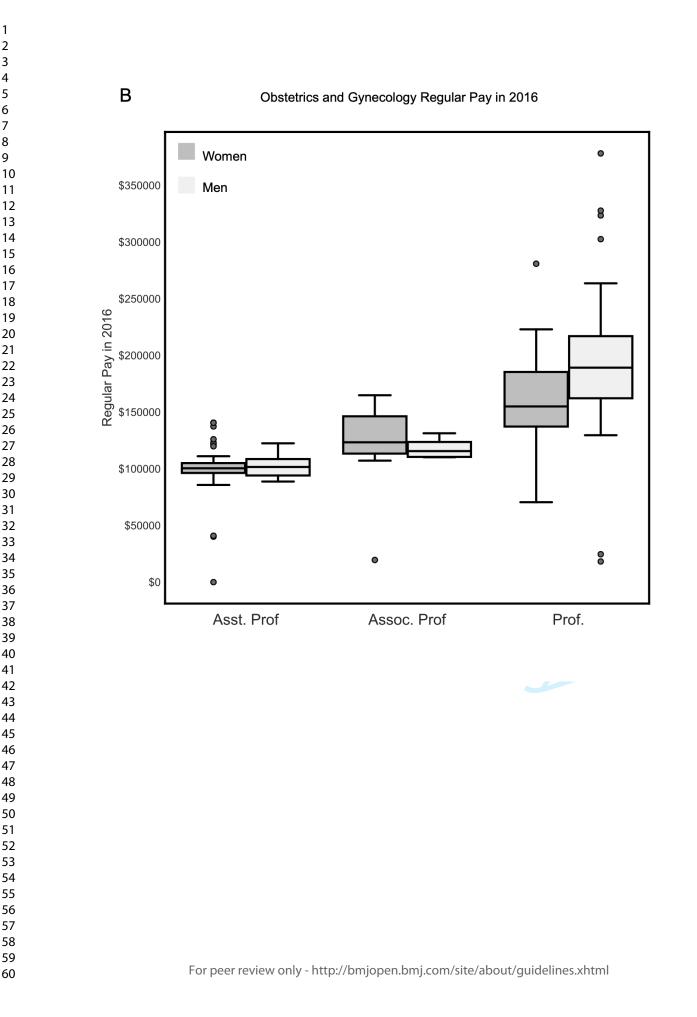
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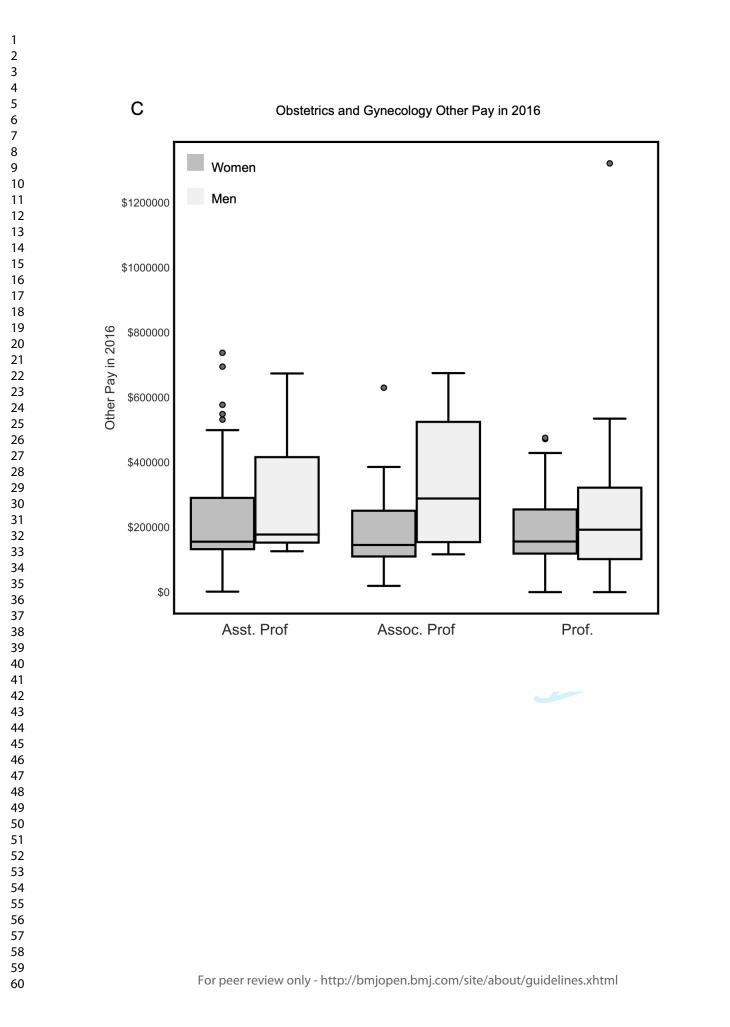




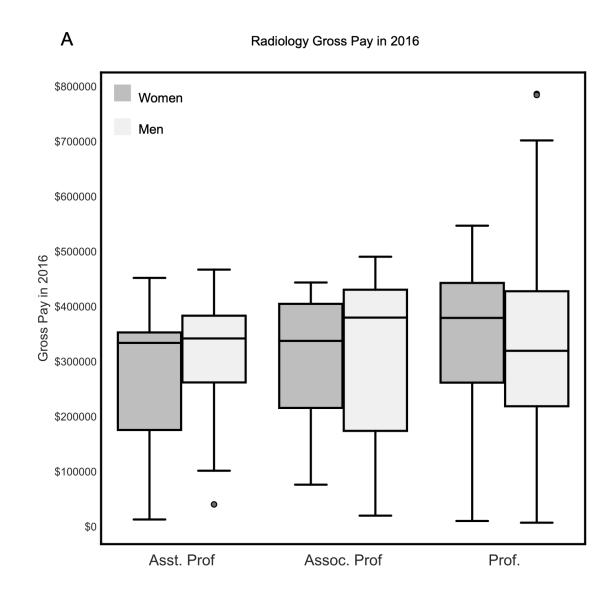
**Figure 4. Obstetrics and Gynecology Pay.** Distribution of gross pay (A), regular pay (B) and "other pay" (C) of women and men in obstetrics and gynecology stratified by academic rank.

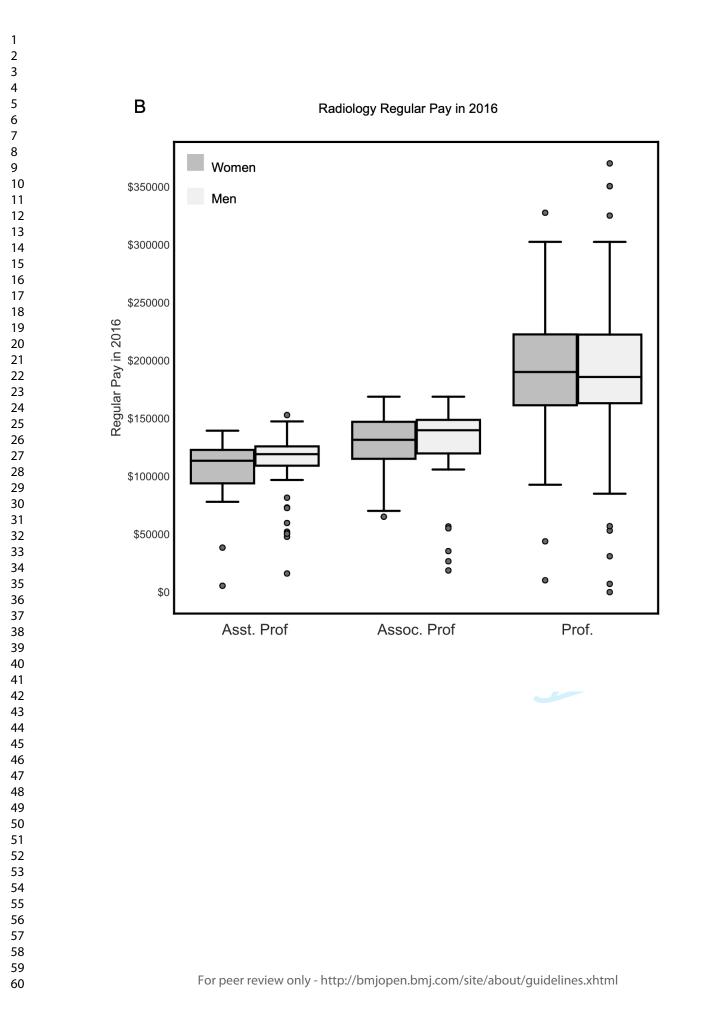




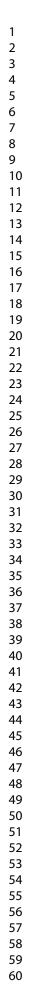


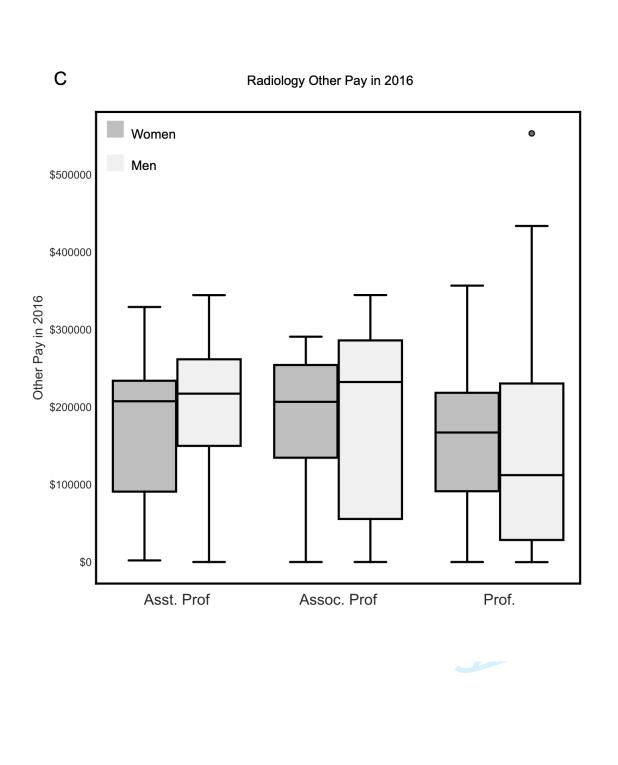
**Figure 5. Radiology Pay.** Distribution of gross pay (A), regular pay (B) and "other pay" (C) of women and men in radiology stratified by academic rank.





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## CHEERS Checklist Items to include when reporting economic evaluations of health interventions

The **ISPOR CHEERS Task Force Report**, *Consolidated Health Economic Evaluation Reporting Standards (CHEERS)—Explanation and Elaboration: A Report of the ISPOR Health Economic Evaluations Publication Guidelines Good Reporting Practices Task Force*, provides examples and further discussion of the 24-item CHEERS Checklist and the CHEERS Statement. It may be accessed via the *Value in Health* or via the ISPOR Health Economic Evaluation Publication Guidelines – CHEERS: Good Reporting Practices webpage: <u>http://www.ispor.org/TaskForces/EconomicPubGuidelines.asp</u>

Section/item	Item No	Recommendation	Reported on page No/ line No
Title and abstract			
Title	1	Identify the study as an economic evaluation or use more specific terms such as "cost-effectiveness analysis", and	1
Abstract	2	describe the interventions compared. Provide a structured summary of objectives, perspective,	<u> </u>
Abstract	Z	setting, methods (including study design and inputs), results	
		(including base case and uncertainty analyses), and conclusions.	2
Introduction			
Background and objectives	3	Provide an explicit statement of the broader context for the study.	
		Present the study question and its relevance for health policy or practice decisions.	4-5
Methods			
Target population and	4	Describe characteristics of the base case population and	_
subgroups		subgroups analysed, including why they were chosen.	6
Setting and location	5	State relevant aspects of the system(s) in which the decision(s) need(s) to be made.	6-8
Study perspective	6	Describe the perspective of the study and relate this to the costs being evaluated.	6-8
Comparators	7	Describe the interventions or strategies being compared and state why they were chosen.	6-8
Time horizon	8	State the time horizon(s) over which costs and consequences are being evaluated and say why appropriate.	6
Discount rate	9	Report the choice of discount rate(s) used for costs and outcomes and say why appropriate.	N/A
Choice of health	10	Describe what outcomes were used as the measure(s) of	
outcomes		benefit in the evaluation and their relevance for the type of analysis performed.	7-8
Measurement of	11a	Single study-based estimates: Describe fully the design	
effectiveness		features of the single effectiveness study and why the single study was a sufficient source of clinical effectiveness data.	6-8

			N/A
	11b	<i>Synthesis-based estimates:</i> Describe fully the methods used for identification of included studies and synthesis of clinical effectiveness data.	6-8
Measurement and valuation of preference based outcomes	12	If applicable, describe the population and methods used to elicit preferences for outcomes.	6-8
Estimating resources and costs	13a	<i>Single study-based economic evaluation:</i> Describe approaches used to estimate resource use associated with the alternative interventions. Describe primary or secondary research methods for valuing each resource item in terms of its unit cost. Describe any adjustments made to approximate to opportunity	
	13b	costs. <i>Model-based economic evaluation:</i> Describe approaches and data sources used to estimate resource use associated with model health states. Describe primary or secondary research methods for valuing each resource item in terms of its unit cost. Describe any adjustments made to approximate to opportunity costs.	N/A N/A
Currency, price date, and conversion	14	Report the dates of the estimated resource quantities and unit costs. Describe methods for adjusting estimated unit costs to the year of reported costs if necessary. Describe methods for converting costs into a common currency base and the exchange rate.	8-9
Choice of model	15	Describe and give reasons for the specific type of decision- analytical model used. Providing a figure to show model structure is strongly recommended.	8-9
Assumptions	16	Describe all structural or other assumptions underpinning the decision-analytical model.	8-9
Analytical methods	17	Describe all analytical methods supporting the evaluation. This could include methods for dealing with skewed, missing, or censored data; extrapolation methods; methods for pooling data; approaches to validate or make adjustments (such as half cycle corrections) to a model; and methods for handling population heterogeneity and uncertainty.	8-9
Results			
Study parameters	18	Report the values, ranges, references, and, if used, probability distributions for all parameters. Report reasons or sources for distributions used to represent uncertainty where appropriate. Providing a table to show the input values is strongly	9-11
	19	recommended. For each intervention, report mean values for the main categories of estimated costs and outcomes of interest, as well	
Incremental costs and outcomes		as mean differences between the comparator groups. If applicable, report incremental cost-effectiveness ratios.	9-11

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		of methodological assumptions (such as discount rate, study perspective).	N/A
	20b	<i>Model-based economic evaluation:</i> Describe the effects on the results of uncertainty for all input parameters, and uncertainty related to the structure of the model and assumptions.	9-1
Characterising heterogeneity	21	If applicable, report differences in costs, outcomes, or cost- effectiveness that can be explained by variations between subgroups of patients with different baseline characteristics or other observed variability in effects that are not reducible by	12-
		more information.	12-
<b>Discussion</b> Study findings, limitations, generalisability, and current knowledge	22	Summarise key study findings and describe how they support the conclusions reached. Discuss limitations and the generalisability of the findings and how the findings fit with current knowledge.	19
Other			
Source of funding	23	Describe how the study was funded and the role of the funder in the identification, design, conduct, and reporting of the analysis. Describe other non-monetary sources of support.	19
Conflicts of interest	24	Describe any potential for conflict of interest of study contributors in accordance with journal policy. In the absence of a journal policy, we recommend authors comply with International Committee of Medical Journal Editors recommendations.	

The ISPOR CHEERS Task Force Report provides examples and further discussion of the 24-item CHEERS Checklist and the CHEERS Statement. It may be accessed via the Value in Health link or via the ISPOR Health Economic Evaluation Publication Guidelines - CHEERS: Good Reporting Practices webpage: http://www.ispor.org/TaskForces/EconomicPubGuidelines.asp

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Husereau D, Drummond M, Petrou S, et al. Consolidated health economic evaluation reporting standards (CHEERS)—Explanation and elaboration: A report of the ISPOR health economic evaluations publication guidelines good reporting practices task force. Value Health 2013;16:231-50.

## A retrospective review of gender-based salary differences in academic medicine among six public medical centers in the western United States

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Secondary Subject Heading:	Health policy, Health economics, Obstetrics and gynaecology, Radiology and imaging, Surgery
Keywords:	MEDICAL EDUCATION & TRAINING, HEALTH ECONOMICS, Health policy < HEALTH SERVICES ADMINISTRATION & MANAGEMENT

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#### **TITLE:** A retrospective review of gender-based salary differences in academic medicine among

- six public medical centers in the western United States
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- Key Words: Academic medicine, gender inequity, income gap, equity
- Abstract word count: 298 words
- Manuscript word count 3143 words

1 2		
3 4	35	Abstract
5 6 7 8 9 10	36	Objectives: We sought to evaluate differences in salary based on gender, rank and research
	37	productivity among faculty at academic medical centers to better understand the origin of
10 11 12	38	disparities in salary.
12 13 14	39	Design: A retrospective review of salary for assistant, associate, and full professors during the
15         16         17         18         19         20         21         22         23         24         25         26         27         28         29         30         31         32         33         34         35         36         37         38         39         40         41	40	year of 2016.
	41	Setting: Faculty from six state-run, publicly funded academic medical centers in the western
	42	United States.
	43	Participants: Participants included 799 total faculty members, including 225 assistant (51%
	44	women), 200 associate (40% women), and 374 full professors (32% women) from General
	45	Surgery (26% women), ObGyn (70% women), and Radiology departments (34% women).
	46	Interventions: Archived online faculty profiles were reviewed to collect information on gender,
	47	total compensation, baseline compensation, supplemental income, and rank. Elsevier's SCOPUS
	48	was used to collect data on h-index, a measure of research productivity.
	49	Primary and secondary outcome measures: The primary objective of our study was to identify
	50	where in total compensation the salary gap originates by evaluating differences in salary based
	51	on gender, rank and research productivity for three academic medical specialties. Linear
42 43 44	52	regression models were estimated to determine the relationship between these factors and salary.
45 46	53	Results: Total compensation was significantly higher for men across all professorial ranks in
47 48	54	both General Surgery and ObGyn. Women faculty within these departments earned almost
49 50 51	55	\$75,000 less than their men colleagues. The disparity in salary originates from gaps in
52 53	56	supplemental income, as baseline compensation was not significantly different between men and
54 55 56 57 58 59	57	women. No significant gender difference in total compensation for Radiology was found. Higher

1

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2		
3 4	58	h-index was associated with higher baseline compensation across all departments as well as with
5 6	59	supplemental income for General Surgery. Higher h-index was related to lower supplemental
7 8 9	60	income for Radiology and was not related to supplemental income for ObGyn.
10 11	61	Conclusions: Further investigations should focus on discrepancies in supplemental income
12 13	62	which may preferentially benefit men.
14 15	63	
16 17 18	64	Strengths and limitations of the study
19 20	65	• This is a large population study assessing distribution of salary among three diverse
21 22	66	disciplines based on their gender distributions and distribution of the types of clinical
23 24 25	67	work.
26 27	68	• Linear regression models were estimated to determine the relationship between specialty,
28 29	69	gender, rank, h-index and salary.
30 31 32	70	• We focus on only one set of state-based academic institutions from the west coast of the
33 34	71	United States and so are unable to be certain whether our findings would generalize to
35 36	72	private practices or to those in other parts of the country.
37 38	73	• We examined salaries from only three departments and therefore cannot be certain that
39 40 41	74	other clinical specialties would follow similar patterns.
42 43	75	• Our data was obtained from websites only, we are unable to delve more deeply into the
44 45	76	components of supplemental income beyond the general description that is offered
46 47 48	77	publicly.
49 50	78	
51 52	79	
53 54 55		
56 57	80	
58 59		3
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Introduction

81	
82	The more education a woman has, the greater the gender disparity in salary is seen. <sup>1</sup> This
83	disparity is especially glaring for physicians and surgeons, with women earning about 74 cents
84	for every dollar men earn. <sup>2</sup> Representation of women in medicine is increasing dramatically,
85	however the gender salary gap remains. <sup>3-4</sup> Women faculty have been shown to have lower
86	salaries, smaller start-up packages, and limited authorship roles. <sup>3-13</sup> Despite the Equal Pay Act of
87	1963, this gap continues to exist across specialties, practice settings, work hours, and other
88	characteristics, <sup>6-10</sup> and persists even after accounting for age, experience, specialty, faculty rank,
89	and measures of research productivity and clinical revenue. <sup>6-13</sup> Commonly cited explanations for
90	this gender disparity in salary include differences in negotiating skills, opportunities to join
91	networks of influence within organizations, discrimination, and implicit and explicit bias. <sup>6,7,10,14-</sup>
92	16
93	
94	There is an increasingly large body of evidence that gender not only impacts salary but also
95	faculty rank and research productivity. <sup>6-13</sup> Gender disparities in faculty rank within academic
96	medicine persist after accounting for age, years since completion of residency, specialty,
97	scientific authorship, National Institutes of Health (NIH) research funding, clinical trial
98	participation, and clinical revenue. <sup>10</sup> In academic medicine specifically, there is a significant
99	gender difference in number and impact of publications, with women showing lower
100	productivity than men in surgical specialties. <sup>13</sup>
101	
102	In order to close the salary gap in academic medicine, we must be clear where in total
103	compensation packages this disparity originates. Previous data as it relates to non-medical

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occupations suggests that gender differences in salary can be attributed to the salary gaps within specific occupations, not across occupations.<sup>17</sup> As such, we chose to focus this study on academic salary at a single time point, expecting to see differences in salary based on gender, faculty rank and h-index, a metric for evaluating the cumulative impact of an author's scholarly output and performance calculated by comparing number of publications to citations.<sup>18</sup> The primary objective of our study was to identify where in total compensation the salary gap originates by evaluating differences in salary based on gender, rank and research productivity for three diverse academic medical specialties. Our areas of interest – salary, academic rank, and research productivity – are typical benchmarks for professional development.<sup>6-11,18,19</sup> We hypothesized that women would earn significantly less total compensation across all ranks, even after accounting for rank and research productivity. el.e. 

#### **Methods**

#### **Study Design**

This was a retrospective population study of total faculty compensation for assistant, associate, and full professors at six major public academic medical centers using a single time point during 2016. We chose the time point of 2016 as it was the most recent year for which data was available at the time. Three diverse disciplines were examined: general surgery, obstetrics & gynecology, and radiology. Three diverse specialties – general surgery, obstetrics and gynecology, and radiology - were chosen, primarily because of their gender distributions and distribution of the types of clinical work.<sup>8,20-25</sup> General surgery and radiology are male-dominated specialties, while obstetrics and gynecology is a female-dominated specialty.<sup>20-25</sup> There is also a diversity of clinical work throughout these three subspecialities with general surgery being 

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dominated by surgical procedures, radiology not being surgical in nature, and obstetrics and gynecology with a more diverse balance of clinical work.<sup>3,8,20-26</sup> Ethics approval and consent to participate was waived by the Institutional Review Board of the Stanford University School of Medicine. **Study Population** Archived online faculty profiles were reviewed to collect information on gender. Trained research staff utilized the internet archive service Wayback Machine<sup>26</sup> to collect data on gender from 2016 online faculty profiles at each department's website. Gender was identified on faculty profile by identifying the pronoun included on faculty profile. In the occasion that the pronoun was not specifically stated, the research staff used faculty photo and name to identify gender. Faculty from six academic institutions were included. We were able to stratify by assistant, associate, or full professor faculty rank. **Patient and Public Involvement** There was no patient or public involvement. **Total Compensation, Rank and Research Productivity** A publicly available database which contains all of the compensation information for faculty and employees at a large university system was used to look at total faculty compensation in three different ways: Total compensation, baseline compensation, and supplemental income.<sup>27</sup> Total compensation was defined as baseline compensation plus supplemental income. Baseline compensation was defined as base salary minus reductions due to participation in the voluntary Employee Reduction in Time and phased retirement programs. Supplemental income (commonly 

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referred to as "discretionary pay") was defined as negotiated additional salary for clinical care and research which was funded from earned clinical revenue as well as contracts and grants. This includes: pay for Summer Session or University Extension teaching, pay for research performed during summer months that is funded by extramural contracts and grants, performance-based incentive compensation and similar payments that recognize achievement of specific performance goals or exemplary service, pay for shift differentials (e.g., night or holiday call), payout of unused vacation leave upon separation, and lump sum payments made as part of the settlement of union bargaining agreements. Data on academic rank and specialty was collected from the same database. Elsevier's SCOPUS was used to collect data on h-index. Faculty members' h-indexes were obtained using their full name, last name and first and middle initials, and/or maiden name when appropriate. (Supplementary Table) **Statistical Analysis** Our data set consisted of 6 variables: (1) Department – a three-level categorical variable 

(surgery, obstetrics & gynecology, and radiology); (2) Rank - three-level categorical variables (assistant, associate, and full); (3) Gender; (4) h-index – a measure of publication output; (5) baseline compensation - i.e. salary; and (6) supplemental income - e.g. bonuses, income for extra work. Linear regression models were estimated to determine the relationship between these factors and salary. Compensation was selected as our primary variable and gender, academic rank and h-index as secondary variables. Rank, gender, and h-index were treated as independent variables, whereas "baseline compensation" and "supplemental income" were treated as dependent variables. Because rank is a three-level categorical level, it was split into two dummy variables with "Full" as reference value. Three regression models were run per department: one

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1 2		
3 4	174	to predict total compensation (baseline compensation plus supplemental income), one to predict
5 6 7	175	baseline compensation, and one to predict supplemental income. Data was entered into SPSS
7 8 9	176	version 20, with a <i>p</i> value of less than 0.05 considered to be significant.
10 11	177	
12 13 14	178	Results
15 16	179	988 total employees were assessed for eligibility (see Figure 1). 110 (11%) were excluded for
17 18 19	180	having a role other than assistant, associate, or full professor. A further 79 (8%) were excluded
20 21	181	because they were listed on the department website but not in the payroll database. After
22 23	182	exclusions, our analysis included 799 faculty members, 312 (39%) were identified as women and
24 25	183	487 (61%) were identified as men and distribution among ranks included 225 (28%) assistant,
26 27 28	184	200 (25%) associate, and 374 (47%) full professors (Table 1).
29 30	185	
31 32	186	Overall, women represented 26% of general surgery faculty (n=126), 70% of obstetrics and
33 34 35	187	gynecology faculty (n=106), and 34% of radiology faculty (n=80). Among ranks, women made
36 37	188	up 51% of all assistant professors (n=115) and men made up 49% (n=110), women made up 40%
38 39	189	of associate professors (n=79) and men made up 60% (n=121), and women made up 32% of full
40 41 42	190	professors (n=118) while men made up 68% (n=256) (Table 1). Distribution of stratified by
42 43 44	191	gender and academic rank is presented in Figure 2 and Table 2.
45 46	192	
47 48	193	General Surgery
49 50 51	194	Distribution of salary for general surgery is presented in Figure 3. Within general surgery, the
52 53	195	overall regression for total compensation was significant ( $R^2 = .159, F(4, 299)$
54 55 56	196	= 14.123, $p < .01$ ). This means that, when they are examined together, the independent
57 58 59		8
60		For peer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml

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variables of gender, rank, and h-index influence the dependent variable of total compensation. Looking specifically at the three independent variables, we found that: Gender was significantly different with women earning lower total compensation than men ( $\beta = -84.970, p < 0.05$ ). Rank was not significantly different for total compensation. Higher h-index was significantly associated with higher total compensation ( $\beta = 5,023, p < 0.01$ ). In an attempt to analyze what specifically drove the effect on total compensation, we ran separate analyses on "baseline compensation" and "supplemental income" separately. In terms of baseline compensation, the overall regression was significant ( $R^2 = 0.323, F(4, 299)$ ) = 35.737, p < 0.01). Again, these analyses took into account the combined effect of all three independent variables of gender, rank, and h-index on baseline compensation. Looking specifically at each variable within the regression: Gender was not significantly associated with baseline compensation with men and women receiving similar baseline compensation. Rank was associated with regular salary, with assistant professors ( $\beta = -51,031, p < .01$ ) and associate professors ( $\beta = -40,680, p < 0.01$ ) earning significantly less baseline compensation than full professors. Higher h-index was also significantly associated with higher baseline compensation (  $\beta = 1,606, p < .01$ ). For "supplemental income", the overall regression was significant, again examining the combined effect of gender, rank, and h-index, was significant ( $R^2 = 0.096$ , F(4,299) = 7.900, p < 0.01). In terms of specific variables: Gender was significantly associated with supplemental income ( $\beta = -79,467, p < 0.05$ ) with women earning significantly less of this 

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1

59

60

1 2		
3 4 5 6	219	salary component than men. There was no difference for rank on supplemental income. Higher
	220	h-index was significantly associated with supplemental income ( $\beta = 3,418, p < 0.01$ ).
7 8 9	221	
10 11	222	Obstetrics and Gynecology
12 13	223	Distribution of salary for obstetrics and gynecology is presented in Figure 4. For obstetrics and
14 15 16	224	gynecology, the overall regression, which included the variables of gender, rank, and h-index, for
17 18 19 20 21 22 23 24 25 26 27 28 29	225	total compensation was significant ( $R^2 = 0.068$ , $F(4, 174) = 3.172$ , $p < 0.05$ ). Looking at
	226	each variable specifically, there was a significant difference in gender, with women earning less
	227	total compensation than men ( $\beta = -84,221, p < 0.02$ ). Rank was not found to be significantly
	228	different for total compensation for either assistant or associate professors compared to full
	229	professors. H-index was also not significantly associated with total compensation.
	230	
30 31 32	231	For baseline compensation, the overall regression of the combined effect of gender, rank, and h-
33 34 35 36 37 38 39 40 41 42 43	232	index was significant ( $R^2 = 0.485$ , $F(4, 174) = 40.986$ , $p < 0.01$ ). For the specific variables:
	233	There was no significant difference between women and men in baseline compensation. Rank
	234	was associated with baseline compensation, with both assistant ( $\beta = -52,696, p < 0.01$ ) and
	235	associate professors ( $\beta = -36,711, p < 0.01$ ) earning significantly less than full professors. H-
	236	index was also significant ( $\beta = 1,314, p < 0.01$ ), with higher h-index linked to higher baseline
44 45	237	compensation.
46 47 48	238	
48 49 50	239	For supplemental income, the overall regression of the combined effect of gender, rank, and h-
51 52	240	index was not significant ( $R^2 = .037$ , $F(4, 174) = 1.666$ , <i>n.s.</i> ). However, when we looked at
53 54 55 56 57 58	241	the three variables specifically within the regression, there was a significant difference in gender,

with women earning less supplemental income than men ( $\beta = -74,168, p < 0.05$ ). There were no significant differences in supplemental income for the variables of rank or h-index. Radiology Distribution of salary for radiology is presented in Figure 5. Within radiology, the overall regression, which again examined the combined effect of gender, rank, and h-index for total compensation was not significant ( $R^2 = 0.01, F(4,266) = .591, n.s.$ ). Furthermore, none of the individual variables of gender, rank, or h-index showed any significant association with total compensation. In terms of baseline compensation, the overall regression, which again included the combined effect of gender, rank, and h-index, was significant ( $R^2 = 0.395$ , F(4.265) = 43.293, p < 0.01). For the specific variables: Gender was not significantly associated with baseline compensation as men and women earned similar base compensation. Significant differences in baseline compensation by rank were identified with assistant professors ( $\beta = -52,145, p < 0.01$ ) and associate professors ( $\beta = -43,848, p < 0.01$ ) earning significantly lower baseline compensation than full professors. Higher h-index was also significantly associated with higher baseline compensation ( $\beta = 979, p < 0.01$ ). For supplemental income, the overall regression of the combined effect of gender, rank, and h-index was significant ( $R^2 = 0.064$ , F(4, 266) = 4.567, p < 0.01). In terms of the specific variables, there was no significant association between gender and supplemental income. Rank also not associated with supplemental income. H-index was significantly associated with supplemental income ( $\beta = -947, p < 0.05$ ). Interestingly, a higher h-index linked to lower supplemental income. 

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3 4	265	
5 6 7	266	Discussion
8 9 10	267	Our results show that while there are significant differences in total compensation for women
10 11 12	268	faculty in general surgery and obstetrics and gynecology, it is not baseline compensation that
13 14	269	accounts for the salary gap between women and men. Instead, other components of salary,
15 16	270	classified in our data as supplemental income, appear to contribute to acknowledged differences
17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44	271	in salary between female and male faculty members within our target institutions. In our review
	272	of publicly available salary data, <sup>26,27</sup> women faculty within the departments of general surgery
	273	and obstetrics and gynecology earned almost \$75,000 less than their men colleagues. This
	274	supplemental income is described as coming from additional clinical responsibilities such as call
	275	income as well as support for administrative work or leadership positions and was not
	276	consistently linked to academic productivity, as defined by h-index, across specialties in our
	277	study. <sup>27</sup> These findings echo the work of the economist Claudia Goldin who has described the
	278	gender pay gap in a variety of professions as being related not to differences in baseline
	279	compensation but rather to differences related to flexibility or amount of work that is taken on by
	280	women versus men. <sup>27,28</sup>
	281	
	282	Previous studies offer many theories to explain the gender gap, including women are less likely
45 46	283	to be asked to serve as leaders, experience effective mentoring, receive equitable allocation of
47 48 49	284	research funding, and seek additional call hours or clinical work in favor of different household
49 50 51	285	and childrearing obligations. <sup>3,29-35</sup> Women faculty who work flexible hours may be less likely
52 53	286	than men to receive leadership positions that result in bonus salary. <sup>29</sup> In fact, faculty who need a
54 55 56 57 58	287	more flexible work schedule remain as junior faculty and receive less support. <sup>30</sup> These

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circumstances help to explain our findings the lower "additional" or supplemental income for
women faculty within the two specialties. One solution is to alter promotion policies to better
support the diversity of needs.<sup>30</sup> Additional solutions can be found in the NIH's Gender
Inequality Task Force Report.<sup>31</sup>

Interestingly, the gender gap for supplemental income in our study was true within obstetrics and gynecology, despite the fact that women comprise a majority of faculty members within this specialty. Furthermore, no gender gap was identified for the department of radiology, despite its male predominance. This finding suggests that the gender distribution of the department alone does not necessarily guide salary discrepancies between women and men faculty members. Instead, it seems that other factors, such as the nature of the clinical work itself, may contribute to the gender salary gap.<sup>31</sup> It has been acknowledged in previous studies that surgical subspecialties are highly technical and predominantly occupied by men, and often times men are among the highest paid with roles as researchers, opposed to women within these specialties occupying lower status communal roles as educators.<sup>34</sup> For instance, no gender differences were noted for any portion of salary within the radiology departments which we examined in this study. Radiology clinical work differs from that of other specialties in that it is predominantly shift-based and less procedural than general surgery and obstetrics and gynecology. Radiology may thereby offer fewer opportunities for gender-based increases to supplemental income which might be earned through additional clinical work.

Our findings also validate previous studies finding striking gender inequities in the higher
 academic ranks.<sup>13</sup> Common explanations for these gender differences include the gender-based

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311 hiring disparities of previous generations, lack of transparency of salary, promotion, mentoring 312 and female role-models, and time away for childbearing and family obligations.<sup>14,15,29,30,33</sup> There 313 is also recent evidence that women physicians might start their career with lower salary 314 expectations than men, which could become a self-fulfilling prophecy for later salary.<sup>30,34</sup> 315 Furthermore, there is some indication that women prioritize salary less than men do and are judged more harshly for initiating negotiations.<sup>6,14,15, 30</sup> 316

In summary, gender disparities have been well documented in academic medicine, and our study 318 319 offers a unique perspective of the different components that make up the academic medicine 320 physician salary. Previous studies have offered suggestions to improve this disparity, which will be important for closing this supplemental income gap. Suggestions include transparency of 321 322 starting salaries to young professionals, initiating negotiation training to improve starting salary packages, mentorship in career advancement for women junior faculty, investigation of research 323 grant award processes, and further adoption of programs to address disparities in grant award 324 325 processes.<sup>31</sup> Implementation of a university-wide objective compensation planned implemented 326 by the Association of American Medical Colleges regional median salary (AAMC-WRMS) was 327 associated with reduced gender-based differences in salary among surgery faculty within the institution and a statistically significant increase in salary among female faculty. Objective 328 329 compensation plans may mitigate gender-based implicit bias in salary negotiations and promotions.35 330

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Limitations 332

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Our study has several limitations. First, we focus on only one set of state-based academic institutions from the west coast of the United States and so are unable to be certain whether our findings would generalize to private practices or to those in other parts of the country. Furthermore, we examined salaries from only three departments and therefore cannot be certain that other clinical specialties would follow similar patterns. However, the departments were chosen to accurately reflect a set of departments with a diverse set of gender distribution and clinical work. Finally, since our data was obtained from websites only, we are unable to delve more deeply into the components of supplemental income salary beyond the general description that is offered publicly. We are therefore not able to completely explain what aspect of compensation supplemental incomes represents or why it is not related to academic productivity in the same way across the three departments. The 2016 data may not reflect more contemporary remuneration, however, based on salary disparity trends, we do not anticipate the salary gap narrowing since the study time period. Additionally, during the study period, gender pronouns were included in the majority of faculty profiles, however, were not available on a limited number of the included study population profiles. For faculty members who did not include gender pronouns, we were limited to faculty name and profile photo and a trained research staff member selected the assumed gender. Additionally, we did not have granular data to distinguish non-binary and gender expansive people. We recognize that diversity and equity is of utmost importance in all underrepresented populations. Further research is warranted on the impact of other variables such as race, ethnicity, and LGBTQ+ people.

# 354 Conclusion

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355	In sum, our study observed the trends of salary within three clinical specialties at state-run,
356	publicly funded academic institutions. For this sample, gender differences were most notable in
357	the fields of general surgery and obstetrics and gynecology. We suggest that further
358	investigations should focus less on gender inequities of base salary, which may not be relevant to
359	clinical faculty in academic settings as they are institutionally set to be independent of gender,
360	and more on discrepancies in discretionary or supplemental income which may preferentially
361	benefit men. With closer analysis, we might be able to achieve a better understanding of whether
362	women are not receiving their full earning potential or, as has been suggested in the economic
363	literature, <sup>28,36</sup> whether they are instead prioritizing flexibility in work hours over compensation.
364	Finally, with our observation that the gender salary gap might not be as prominent within the
365	field of radiology, additional studies of this specialty could identify ways in which it could serve
366	as a model for gender-based salary structures for clinicians.
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379	academic institutions in 2016.								
	Institution	Department	Total	Assistant		Associ		Profes	
	Institution 1		121	$\mathbf{W}^1$	$M^2$	W	М	W	М
	Institution 1	Surgery	52	6	9	1	12	5	19
		Ob/Gyn	26	10		4	2	5	4
		Radiology	43	5	1 8	3	3	6	18
	Institution 2	Radiology	43 77	5	0	5	5	0	10
	Institution 2	Surgery	32	5	3	4	7	0	13
		Ob/Gyn	22	4	1	8	1	4	4
		Radiology	22	4	3	2	7	5	6
	Institution 3	Radiology	175	0	5	2	/	5	0
		Surgery	70	5	7	4	18	4	32
		Ob/Gyn	40	17	3	4	3	5	8
		Radiology	65	9	16	4	12	9	15
	Institution 4 <sup>3</sup>		15						
		Surgery	0	0	0	0	0	0	0
		Ob/Gyn	15	9	1	2	0	2	1
		Radiology	0	0	0	0	0	0	0
	Institution 5		168						
		Surgery	72	5	12	7	14	8	26
		Ob/Gyn	27	3	0	4	0	8	12
		Radiology	69	2	9	8	7	9	34
	Institution 6		243						
		Surgery	79	5	12	10	13	11	28
		Ob/Gyn	50	15	3	5	3	17	7
		Radiology	114	15	22	9	19	20	29
380	<sup>1</sup> Women; <sup>2</sup> Men								
381	<sup>3</sup> Institution 4 did n	ot have a surg	gery or ra	diology de	partme	nt.			
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**Table 2.** Average total compensation, baseline compensation and supplemental income stratified

by department, rank and gender at six academic institutions in 2016.

	Department	Rank	Gender	Total	Total Compensation (Mean ± SD)	Baseline Compensation (Mean ± SD)	Supplemental Income (Mean ± SD)
	General Surgery	Assistant	$\mathbf{W}^1$	58	325,154 ± 167,202	100,013±21,259	225,141±165,366
			$M^2$	9	$401,\!248 \pm 211,\!949$	102,668±10,160	298,580±215,319
		Associate	W	27	$321,560 \pm 137,854$	127,005±28,489	194,556±129,298
			М	9	$463,437 \pm 209,438$	118,118±7,564	345,320±211,383
		Professor	W	41	355,631±135,205	160,583±40,006	195,048±114,816
			М	36	431,458±265,881	193,647±70,290	237,811±235,410
	Ob/Gyn	Assistant	W	31	272,521±117,227	106,264±27,706	166,257±97,851
			М	58	306,085±108,154	111,412±26,998	194,673±89,110
		Associate	W	26	306,375±117,688	128,085±27,904	178,291±97,833
			М	48	318,068±138,353	128,023±34,574	190,045±114,220
		Professor	W	49	349,216±121,677	189,281±61,148	160,329±90,079
			М	102	330,763±155,221	190,616±65,553	140,147±122,192
	Radiology	Assistant	W	26	271,733±101,797	116,400±32,331	155,333±78,648
			М	43	317,801±180,669	116,310±45,245	201,492±149,107
		Associate	W	26	334,010±192,702	129,735±46,725	204,274±167,891
			М	64	439,549±272,289	142,086±53,949	297,463±250,756
		Professor	W	28	432,943±221,201	196,264±65,213	236,680±185,151
			М	118	552,015±365,815	207,686±88,078	344,329±320,399
392 393	<sup>1</sup> Women; <sup>2</sup> Men						

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412	Author's contributions: Hayley Miller was a major contributor in the writing of the manuscript.
413	Elizabeth Seckel analyzed and interpreted the data and contributed to manuscript preparation.
414	Chrislyn White performed data abstraction and collection. Diana Sanchez performed data
415	abstraction and collection. Erika Rubesova edited the manuscript. Claudia Mueller designed the
416	study, analyzed and interpreted the data and was a major contributor in writing of the
417	manuscript. Katherine Bianco designed the study, analyzed and interpreted the data and was a
418	major contributor in writing of the manuscript. All authors read and approved the final
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420	
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426	
427	Funding: This research received no specific grant from any funding agency in the public,
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429	
430	Data sharing statement: All data is publicly available.
431	
432	Ethics approval and consent to participate for this study was waived by the Institutional
433	Review Board of the Stanford University School of Medicine.
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2 3 4	435	Figure 1. Participant Exclusions. Participants included 799 faculty members. 988 institution
- 5 6	436	employees were assessed for eligibility. 189 were excluded for having a non-professorial, full-
7 8 9	437	time role. A further 79 were excluded for only being listed on the department website, not being
9 10 11	438	on 2016 payroll.
12 13	439	
14 15 16	440	Figure 2. Overall Salary. Distribution of total compensation (A), baseline compensation (B)
10 17 18	441	and supplemental income (C) of women and men in general surgery, obstetrics and gynecology,
19 20	442	and radiology departments stratified by academic rank.
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24 25	444	
26 27	445	Figure 3. General Surgery Salary. Distribution of total compensation (A), baseline
28 29 30	446	compensation (B) and supplemental income (C) of women and men in general surgery stratified
31 32	447	by academic rank.
33 34	448	by academic rank.
35 36 37	449	
37 38 39	450	Figure 4. Obstetrics and Gynecology Salary. Distribution of total compensation (A), baseline
40 41	451	compensation (B) and supplemental income (C) of women and men in obstetrics and gynecology
42 43	452	stratified by academic rank.
44 45 46	453	
47 48	454	
49 50	455	Figure 5. Radiology Salary. Distribution of total compensation (A), baseline compensation (B)
51 52 53	456	and supplemental income (C) of women and men in radiology stratified by academic rank.
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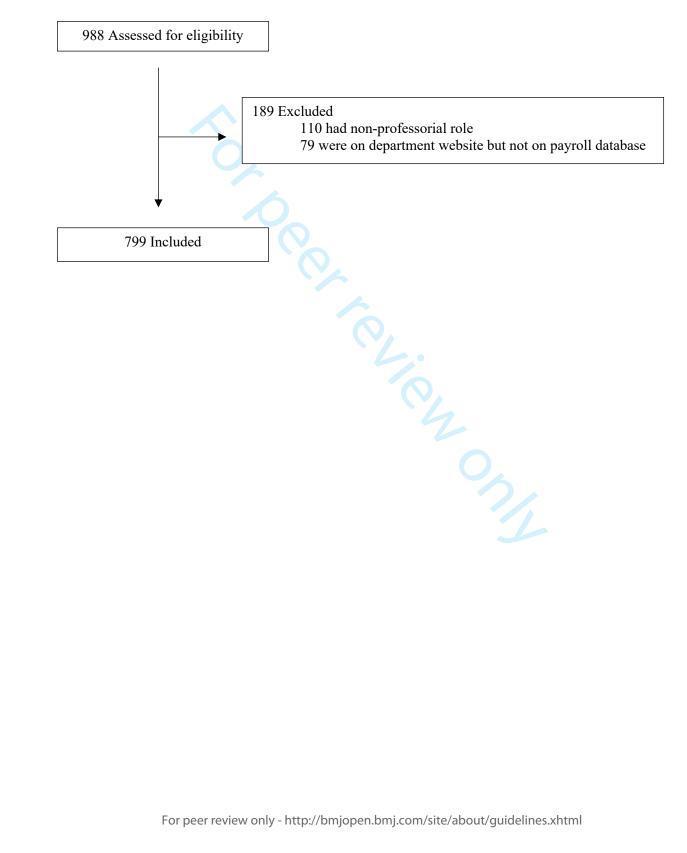
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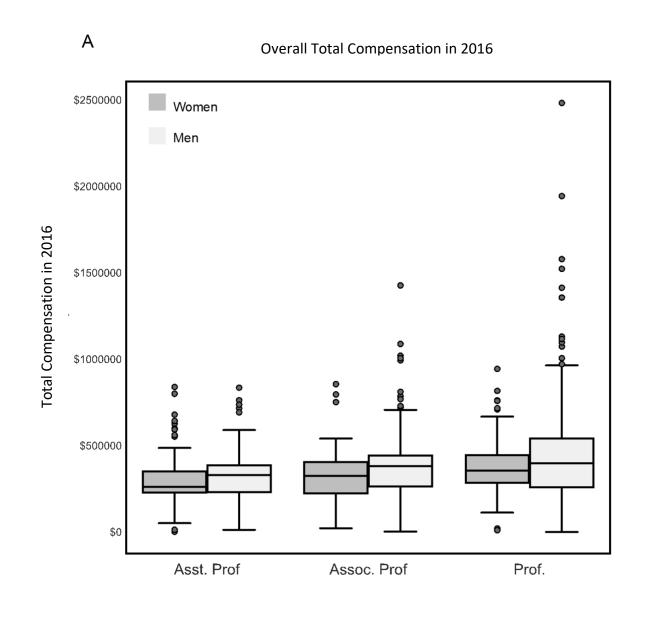
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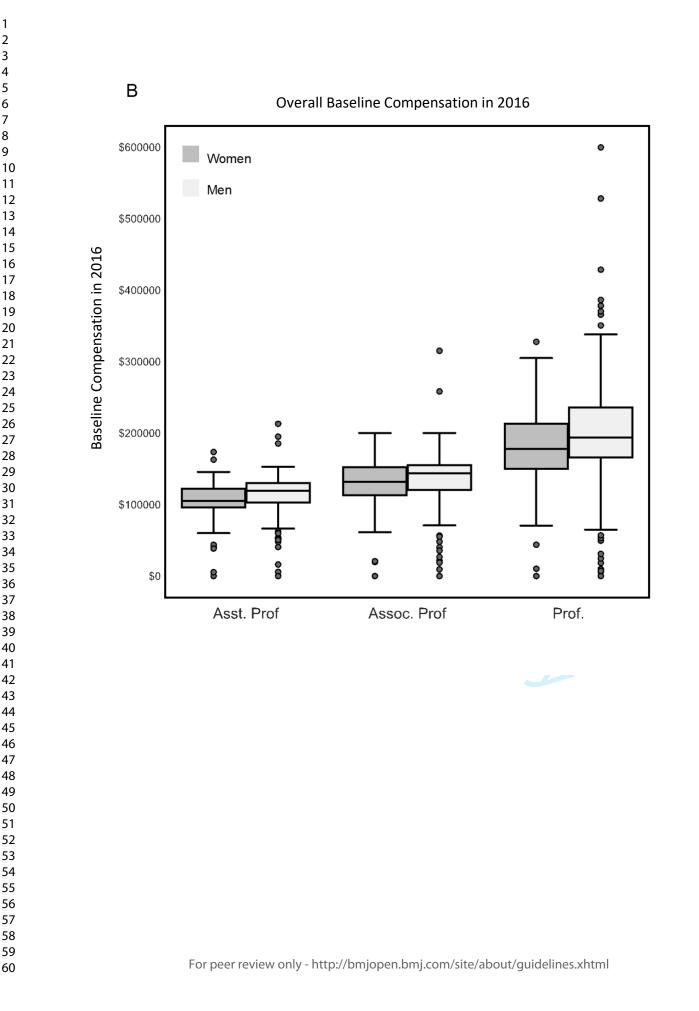
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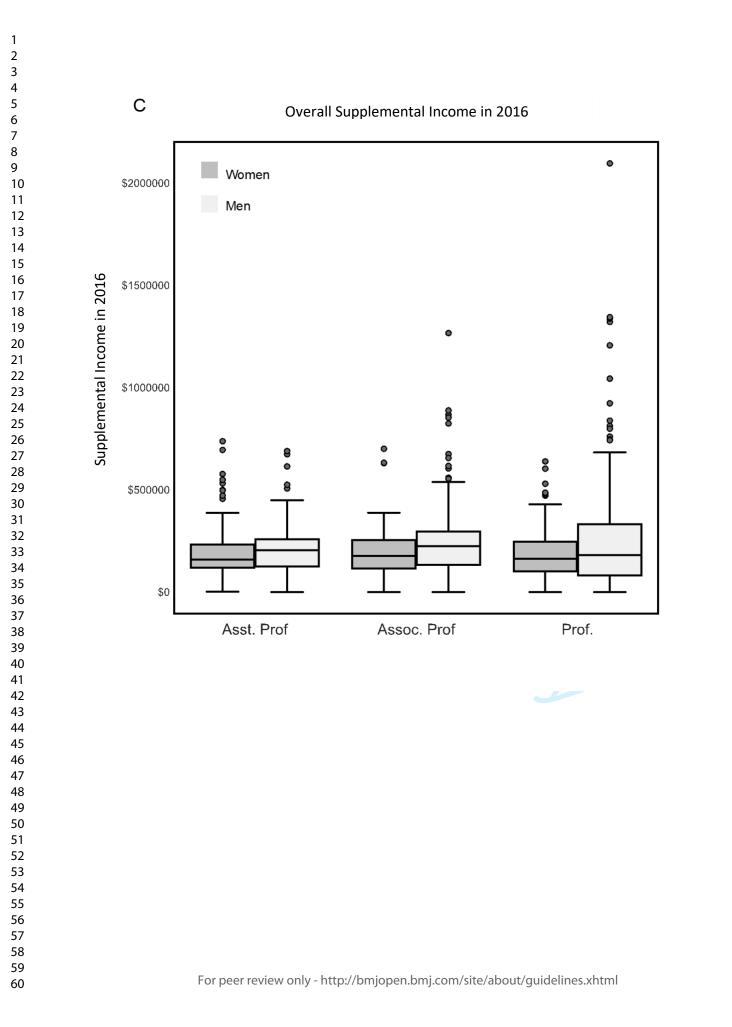
**Figure 1. Participant Exclusions.** Participants included 799 faculty members. 988 institution employees were assessed for eligibility. 189 were excluded for having a non-professorial, full-time role. A further 79 were excluded for only being listed on the department website, not being on 2016 payroll.



**Figure 2. Overall Salary.** Distribution of total compensation (A), baseline compensation (B) and supplemental income (C) of women and men in general surgery, obstetrics and gynecology, and radiology departments stratified by academic rank.

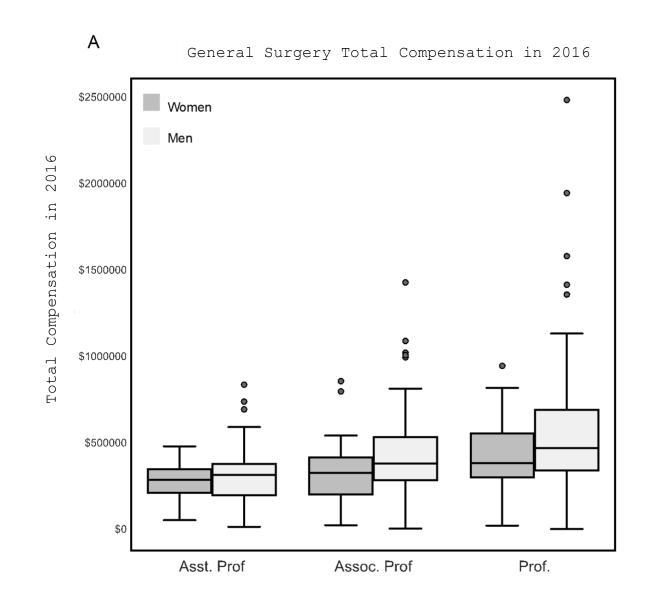




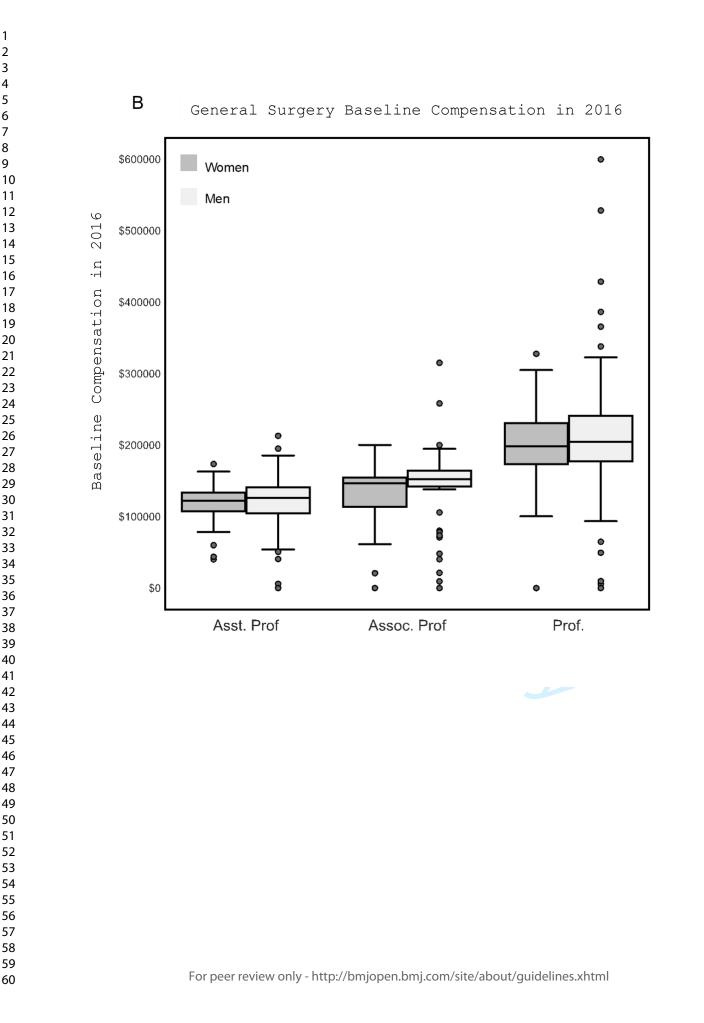


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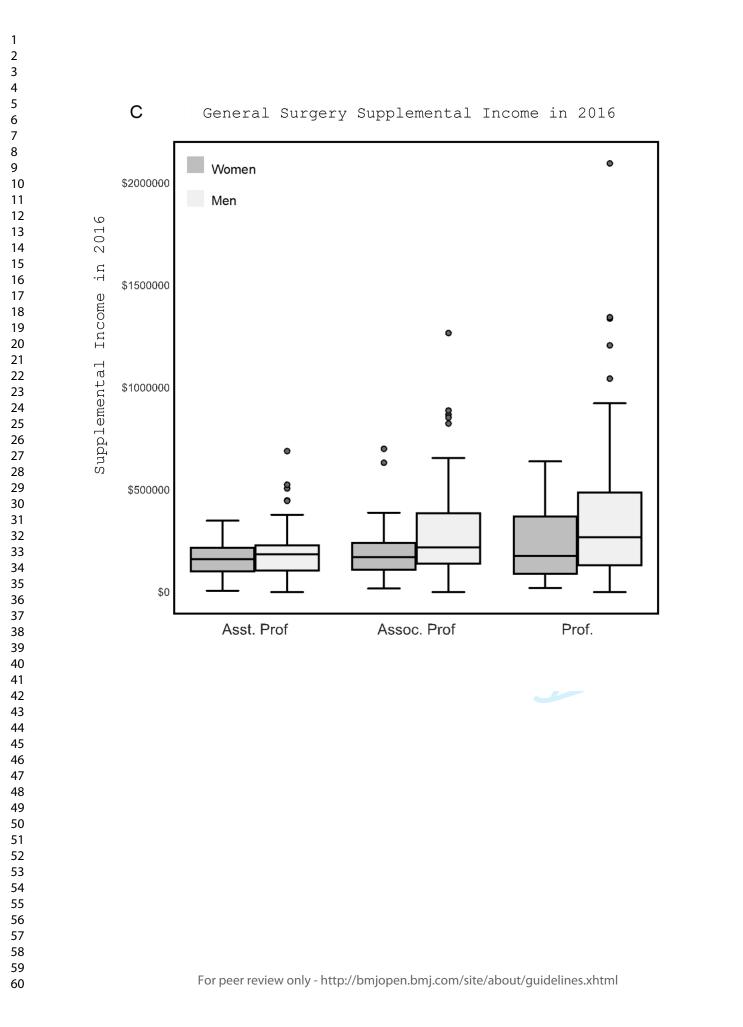
**Figure 3. General Surgery Salary.** Distribution of total compensation (A), baseline compensation (B) and supplemental income (C) of women and men in general surgery stratified by academic rank.



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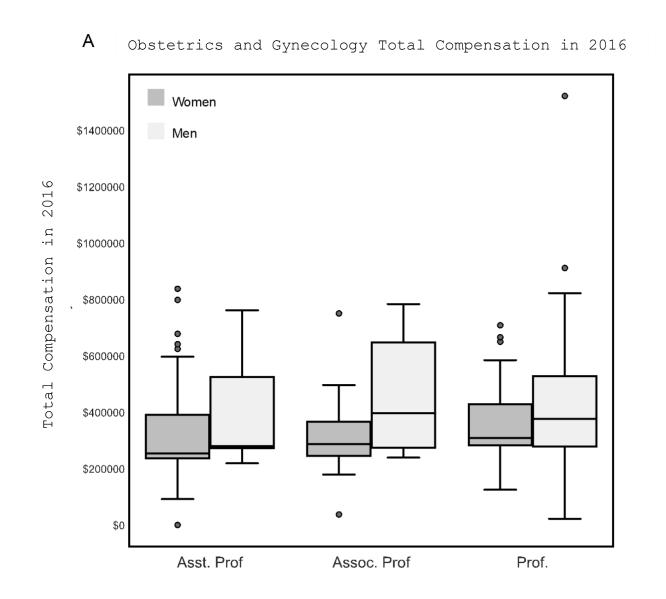


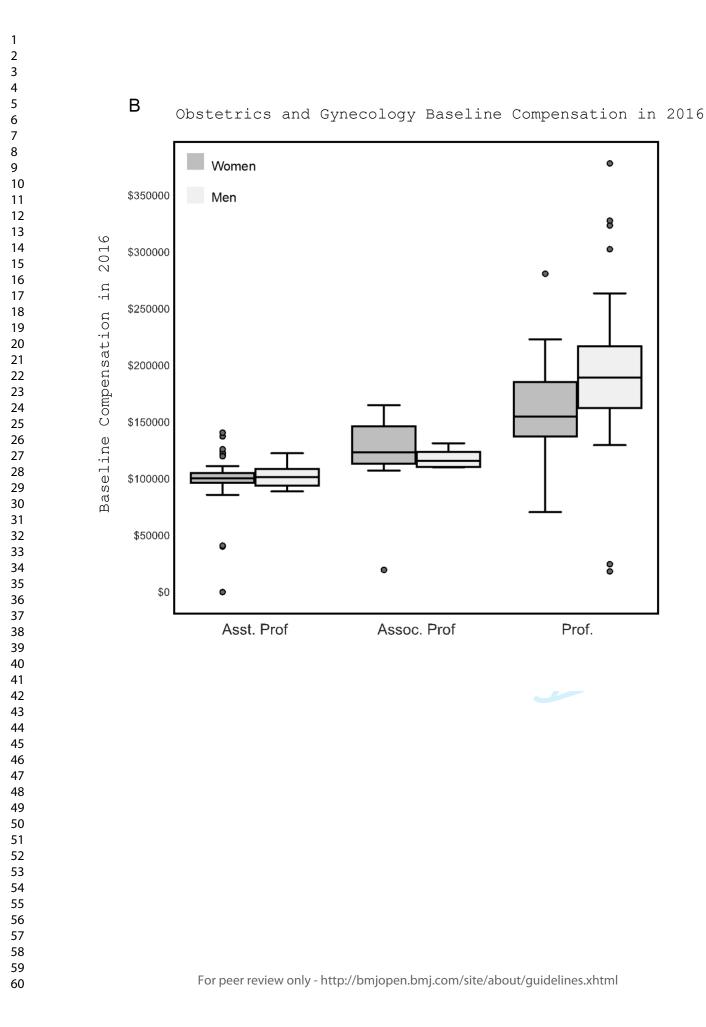
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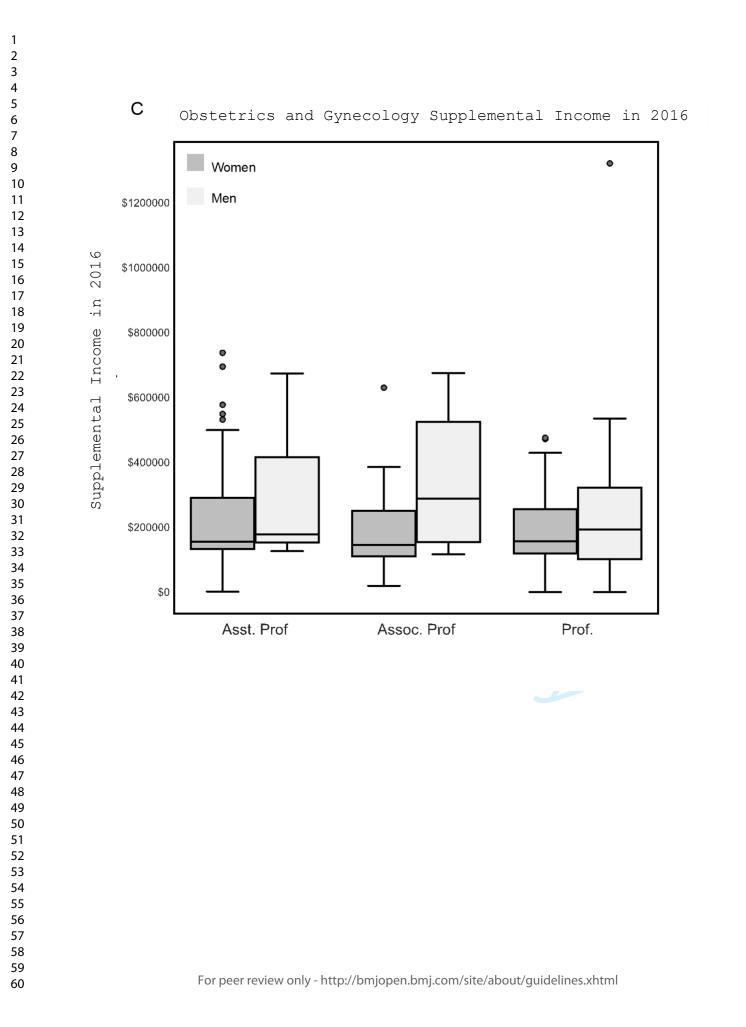


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**Figure 4. Obstetrics and Gynecology Salary.** Distribution of total compensation (A), baseline compensation (B) and supplemental income (C) of women and men in obstetrics and gynecology stratified by academic rank.

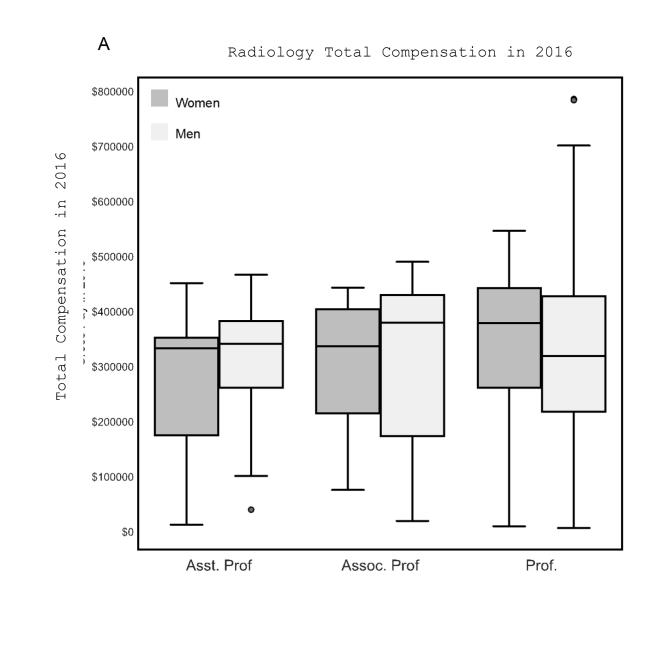




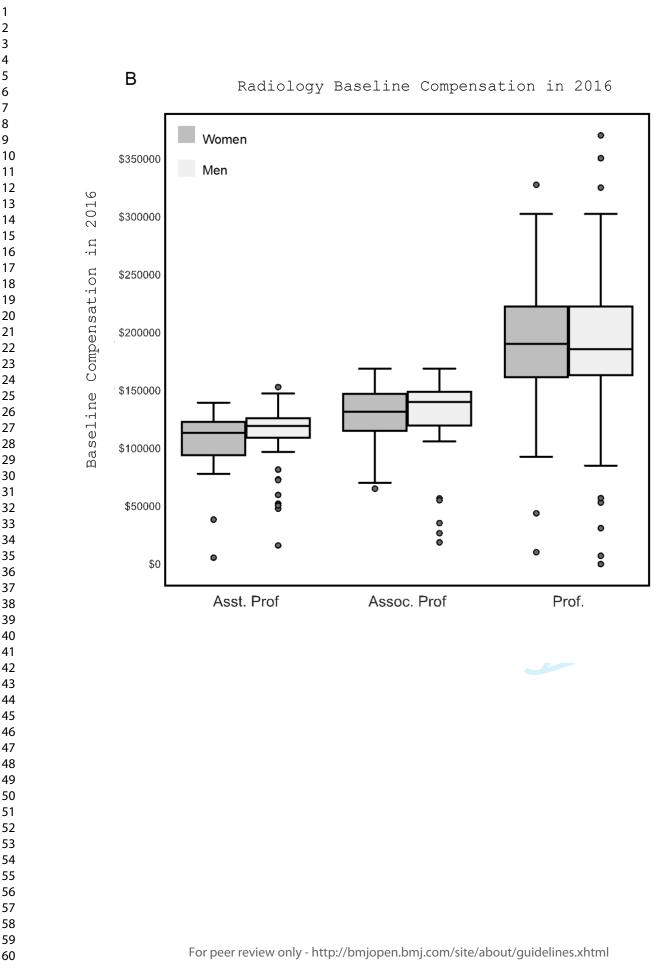


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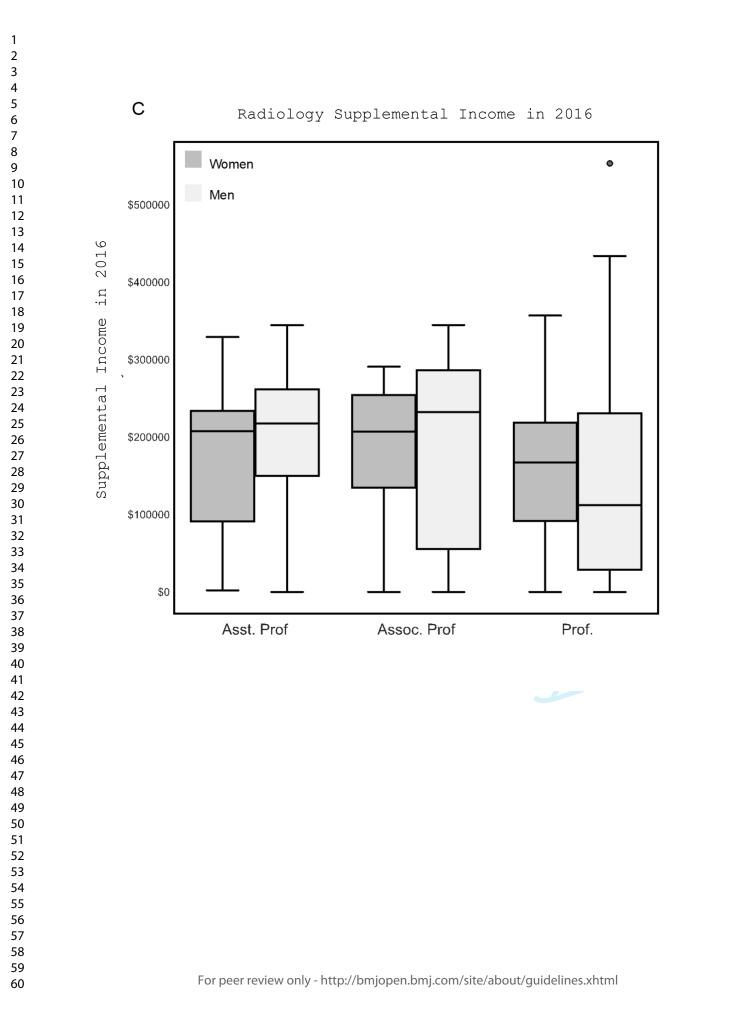
**Figure 5. Radiology Salary.** Distribution of total compensation (A), baseline compensation (B) and supplemental income (C) of women and men in radiology stratified by academic rank.



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# Supplementary Table

Department	Gende	$r (W^1, M^2)$	h-inde
Ob/Gyn	W	Mean	
		Ν	
		Stand. Dev <sup>3</sup>	]
	Μ	Mean	
		N	
		Std. Dev	]
	Total	Mean	
		N	
		Std. Dev	1
Surgery	W	Mean	
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		Std. Dev	]
	М	Mean	
		N	
		Std. Dev	1
	Total	Mean	
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		Std. Dev	1
Radiology	W	Mean	
05		N	
		Std. Dev	]
	М	Mean	
		N	
		Std. Dev	
	Total	Mean	
		N	
		Std. Deviation	
Total	W	Mean	
		N	
		Std. Deviation	1
	М	Mean	
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	Total	Mean	
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<sup>1</sup>Women; <sup>2</sup>Men; <sup>3</sup>Standard Deviation

	Item No	Recommendation	Page No
Title and abstract	1	( <i>a</i> ) Indicate the study's design with a commonly used term in the title or the abstract	Page 1- Lines 1-2 Page 2 line 39
		(b) Provide in the abstract an informative and balanced summary	Page 2-3,
		of what was done and what was found	lines 39-62
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the	Page 4-5,
		investigation being reported	lines 84-114
Objectives	3	State specific objectives, including any prespecified hypotheses	Page 5-6, lines 108-114
Methods			
Study design	4	Present key elements of study design early in the paper	Page 5-6, lines 118-128, lines 133-139
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	Page 5-6, lines 118-128, lines 133-139, lines 145-161
Participants	6	<ul> <li>(a) Cohort study—Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up</li> <li>Case-control study—Give the eligibility criteria, and the sources and methods of case ascertainment and control selection. Give the rationale for the choice of cases and controls</li> <li>Cross-sectional study—Give the eligibility criteria, and the sources and methods of selection of participants</li> </ul>	Page 5-6, Lines 133- 139
		(b) Cohort study—For matched studies, give matching criteria and number of exposed and unexposed <i>Case-control study</i> —For matched studies, give matching criteria and the number of controls per case	N/A
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	Page 6-7 Lines 284- 289, Lines 295-375
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	Page 6-7 Lines 284- 289, Lines 295-375
Bias	9	Describe any efforts to address potential sources of bias	Page 6, Lines 285-289, 373, 375
Study size	10	Explain how the study size was arrived at	Page 6 lines 283-286

Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	Page 7-8, Lines 378 401
Statistical methods	12	( <i>a</i> ) Describe all statistical methods, including those used to control for confounding	Page 7-8, Lines 378 401
		(b) Describe any methods used to examine subgroups and interactions	Page 7-8, Lines 378 401
		(c) Explain how missing data were addressed	N/A
		(d) Cohort study—If applicable, explain how loss to follow-up was addressed Case-control study—If applicable, explain how matching of	N/A
		cases and controls was addressed	
		<i>Cross-sectional study</i> —If applicable, describe analytical methods	
		taking account of sampling strategy	
		( <u>e</u> ) Describe any sensitivity analyses	
Continued on next page			

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Participants	13*	(a) Report numbers of individuals at each stage of study-eg numbers	Page 8
-		potentially eligible, examined for eligibility, confirmed eligible, included in	Lines 404
		the study, completing follow-up, and analysed	409
		(b) Give reasons for non-participation at each stage	N/A
		(c) Consider use of a flow diagram	Figure 1
Descriptive	14*	(a) Give characteristics of study participants (eg demographic, clinical, social)	Page 8
data		and information on exposures and potential confounders	Lines 404 415
		(b) Indicate number of participants with missing data for each variable of interest	N/A
		(c) Cohort study—Summarise follow-up time (eg, average and total amount)	N/A
Outcome data	15*	Cohort study—Report numbers of outcome events or summary measures over time	Pages 8- 11 Lines 419 -566
		<i>Case-control study</i> —Report numbers in each exposure category, or summary measures of exposure	N/A
		<i>Cross-sectional study</i> —Report numbers of outcome events or summary measures	N/A
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted	Pages 8-
		estimates and their precision (eg, 95% confidence interval). Make clear which	11 Lines
		confounders were adjusted for and why they were included	419 -566
		(b) Report category boundaries when continuous variables were categorized	N/A
		( <i>c</i> ) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	N/A
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	N/A
Discussion		4	·
Key results	18	Summarise key results with reference to study objectives	Pages 12
			14, Lines 628-723
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias	Page 15,
		or imprecision. Discuss both direction and magnitude of any potential bias	Lines 732 751
Interpretation 2	20	Give a cautious overall interpretation of results considering objectives,	Page 15,
		limitations, multiplicity of analyses, results from similar studies, and other	Lines 75
		relevant evidence	767
Generalisability	21	Discuss the generalisability (external validity) of the study results	Page 15, Lines 732 734
Other informati	on		
Funding	22	Give the source of funding and the role of the funders for the present study	Page 20
-		and, if applicable, for the original study on which the present article is based	Lines 893 894

\*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

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Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at http://www.plosmedicine.org/, Annals of Internal Medicine at http://www.annals.org/, and Epidemiology at http://www.epidem.com/). Information on the STROBE Initiative is available at www.strobe-statement.org.

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# **BMJ Open**

#### Gender-based salary differences in academic medicine: a retrospective review of data from six public medical centers in the western United States

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# Gender-based salary differences in academic medicine: a retrospective review of data from six public medical centers in the western United States

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#### **BMJ** Open

Key Words: Academic medicine, gender inequity, income gap, equity

Abstract word count: 286 words Manuscript word count 3165 words

### Abstract

**Objectives:** We assessed the effect of gender, rank and research productivity on compensation for faculty at academic medical centers.

Design: A web-based retrospective review of salary for professors in 2016.

Setting: Faculty from six state-run, publicly-funded academic medical centers in the western US. Participants: 799 faculty, 225 assistant (51% women), 200 associate (40% women), and 374 full professors (32% women) from general surgery (26% women), obstetrics and gynecology (70% women), and radiology (34% women).

**Methods:** Archived online faculty profiles were reviewed for gender, rank, and compensation (total, baseline, and supplemental). Total compensation was defined as baseline compensation plus supplemental income. Baseline compensation was defined as base salary minus reductions due to participation in the voluntary Employee Reduction in Time and phased retirement programs. Supplemental income was defined as additional salary for clinical care and research (eg, grants). Elsevier's SCOPUS was used to collect data on h-index, a measure of research productivity. Linear regression models were estimated to determine the relationship between these factors and salary.

**Results:** Total compensation was significantly higher for men across all professorial ranks in both general surgery ( $R^2 = 0.159$ , F(4, 299) = 14.123, p < 0.01) and obstetrics and gynecology ( $R^2 = 0.068$ , F(4, 174) = 3.172, p < 0.05). Women faculty within these departments earned almost \$75,000 less than their men colleagues. The disparity in salary

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originates from gaps in supplemental income, as baseline compensation was not significantly different between men and women. No significant gender difference in total compensation for radiology was found ( $R^2 = 0.01$ , F(4,266) = 0.591, *n.s.*). Higher h-index was associated with higher baseline compensation across all departments as well as with supplemental income for general surgery. Higher h-index was related to lower supplemental income for radiology and was not related to supplemental income for obstetrics and gynecology.

**Conclusions:** Further investigations should focus on discrepancies in supplemental income, which may preferentially benefit men.

#### Strengths and limitations of this study

- This is a large population study assessing distribution of salary among three diverse disciplines based on their gender distributions and distribution of the types of clinical work.
- Linear regression models were estimated to determine the relationship between specialty, gender, rank, h-index and salary.
- We focus on only one set of state-based academic institutions from the west coast of the United States and so are unable to be certain whether our findings would generalize to private practices or to those in other parts of the country.
- We examined salaries from only three departments and therefore cannot be certain that other clinical specialties would follow similar patterns.
- As the data were obtained from websites, we were unable to delve more deeply into the components of supplemental income beyond the general description that was offered publicly.

# Introduction

The more education a woman has, the greater the gender disparity in salary is seen.<sup>1</sup> This disparity is especially glaring for physicians and surgeons, with women earning about 74 cents for every dollar men earn.<sup>2</sup> Representation of women in medicine is increasing dramatically, however the gender salary gap remains.<sup>3-4</sup> Women faculty have been shown to have lower salaries, smaller start-up packages, and limited authorship roles.<sup>3-13</sup> Despite the Equal Pay Act of 1963, this gap continues to exist across specialties, practice settings, work hours, and other characteristics,<sup>6-10</sup> and persists even after accounting for age, experience, specialty, faculty rank, and measures of research productivity and clinical revenue.<sup>6-13</sup> Commonly cited explanations for this gender disparity in salary include differences in negotiating skills, opportunities to join networks of influence within organizations, discrimination, and implicit and explicit bias.<sup>6,7,10,14-16</sup>

There is an increasingly large body of evidence that gender not only impacts salary but also faculty rank and research productivity.<sup>6-13</sup> Gender disparities in faculty rank within academic medicine persist after accounting for age, years since completion of residency, specialty, scientific authorship, National Institutes of Health (NIH) research funding, clinical trial participation, and clinical revenue.<sup>10</sup> In academic medicine specifically, there is a significant gender difference in number and impact of publications, with women showing lower productivity than men in surgical specialties.<sup>13</sup>

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In order to close the salary gap in academic medicine, we must be clear where in total compensation packages this disparity originates. Previous data as it relates to non-medical occupations suggests that gender differences in salary can be attributed to the salary gaps within specific occupations, not across occupations.<sup>17</sup> As such, we chose to focus this study on academic salary at a single time point, expecting to see differences in salary based on gender, faculty rank and h-index, a metric for evaluating the cumulative impact of an author's scholarly output and performance calculated by comparing number of publications to citations.<sup>18</sup> The primary objective of our study was to identify where in total compensation the salary gap originates by evaluating differences in salary based on gender, rank and research productivity for three diverse academic medical specialties. Our areas of interest – salary, academic rank, and research productivity – are typical benchmarks for professional development.<sup>6-11,18,19</sup> We hypothesized that women would earn significantly less total compensation across all ranks, even after accounting for rank and research productivity. ícz o,

#### **Methods**

#### **Study Design**

This was a retrospective population study of total faculty compensation for assistant, associate, and full professors at six major public academic medical centers using a single time point during 2016. We chose the time point of 2016 as it was the most recent year for which data was available at the time. Three diverse specialties – general surgery, obstetrics and gynecology, and radiology - were chosen, primarily because of their gender distributions and distribution of the types of clinical work.<sup>8,20-25</sup> General surgery and radiology are male-dominated specialties, while obstetrics and gynecology is a female-dominated specialty.<sup>20-25</sup> There is also a diversity of

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clinical work throughout these three subspecialities with general surgery being dominated by surgical procedures, radiology not being surgical in nature, and obstetrics and gynecology with a more diverse balance of clinical work.<sup>3,8,20-26</sup> Ethics approval and consent to participate was waived by the Institutional Review Board of the Stanford University School of Medicine.

#### **Study population**

Archived online faculty profiles were reviewed to collect information on gender. Trained research staff utilized the internet archive service Wayback Machine<sup>26</sup> to collect data on gender from 2016 online faculty profiles at each department's website. Gender was identified on faculty profiles by identifying the pronoun included on faculty profiles. In the occasion that the pronoun was not specifically stated, the research staff used faculty photo and name to identify gender. Faculty from six academic institutions were included. We were able to stratify by assistant, associate, or full professor faculty rank. BMJ Open: first published as 10.1136/bmjopen-2021-059216 on 7 April 2022. Downloaded from http://bmjopen.bmj.com/ on April 26, 2024 by guest. Protected by copyright

#### Total compensation, rank and research productivity

A publicly available database that contains all the compensation information for faculty and employees at a large university system was used to look at total faculty compensation in three different ways: Total compensation, baseline compensation, and supplemental income.<sup>27</sup> Total compensation was defined as baseline compensation plus supplemental income. Baseline compensation was defined as base salary minus reductions due to participation in the voluntary Employee Reduction in Time and phased retirement programs. Supplemental income (commonly referred to as "discretionary pay") was defined as negotiated additional salary for clinical care and research that was funded from earned clinical revenue as well as contracts and grants. This

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includes: pay for Summer Session or University Extension teaching, pay for research performed during summer months that is funded by extramural contracts and grants, performance-based incentive compensation and similar payments that recognize achievement of specific performance goals or exemplary service, pay for shift differentials (e.g., night or holiday call), payout of unused vacation leave upon separation, and lump sum payments made as part of the settlement of union bargaining agreements. Data on academic rank and specialty was collected from the same database. Elsevier's SCOPUS was used to collect data on h-index. Faculty members' h-indexes were obtained using their full name, last name and first and middle initials, and/or maiden name when appropriate. (Supplementary Table)

#### Statistical analysis

Our data set consisted of 6 variables: (1) Department – a three-level categorical variable (surgery, obstetrics and gynecology, and radiology); (2) Rank - three-level categorical variables (assistant, associate, and full); (3) Gender; (4) h-index – a measure of publication output; (5) baseline compensation – i.e., salary; and (6) supplemental income – e.g. bonuses, income for extra work. Linear regression models were estimated to determine the relationship between these factors and salary. Compensation was selected as our primary variable and gender, academic rank, and h-index as secondary variables. Rank, gender, and h-index were treated as independent variables, whereas "baseline compensation" and "supplemental income" were treated as dependent variables. Because rank is a three-level categorical level, it was split into two dummy variables with "Full" as reference value. Three regression models were run per department: one to predict total compensation (baseline compensation plus supplemental income), one to predict

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baseline compensation, and one to predict supplemental income. Data was entered into SPSS version 20, with a p value of less than 0.05 considered to be significant.

#### **Patient and Public Involvement**

There was no patient or public involvement.

# Results

988 total employees were assessed for eligibility (see **Figure 1**). 110 (11%) were excluded for having a role other than assistant, associate, or full professor. A further 79 (8%) were excluded because they were listed on the department website but not in the payroll database. After exclusions, our analysis included 799 faculty members, 312 (39%) were identified as women and 487 (61%) were identified as men and distribution among ranks included 225 (28%) assistant, 200 (25%) associate, and 374 (47%) full professors (**Table 1**).

Overall, women represented 26% of general surgery faculty (n=126), 70% of obstetrics and gynecology faculty (n=106), and 34% of radiology faculty (n=80). Among ranks, women made up 51% of all assistant professors (n=115) and men made up 49% (n=110), women made up 40% of associate professors (n=79) and men made up 60% (n=121), and women made up 32% of full professors (n=118) while men made up 68% (n=256) (**Table 1**). Distribution of stratified by gender and academic rank is presented in **Figure 2** and **Table 2**.

#### **General surgery**

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Distribution of salary for general surgery is presented in **Figure 3**. Within general surgery, the overall regression for total compensation was significant ( $R^2 = 0.159$ , F(4, 299)) = 14.123, p < 0.01). This means that, when they are examined together, the independent variables of gender, rank, and h-index influence the dependent variable of total compensation. Looking specifically at the three independent variables, we found that: Gender was significantly different with women earning lower total compensation than men ( $\beta = -84,970$ , p < 0.05). Rank was not significantly different for total compensation. Higher h-index was significantly associated with higher total compensation ( $\beta = 5,023$ , p < 0.01).

In an attempt to analyze what specifically drove the effect on total compensation, we ran separate analyses on "baseline compensation" and "supplemental income." In terms of baseline compensation, the overall regression was significant ( $R^2 = 0.323$ , F(4, 299)

= 35.737, p < 0.01). Again, these analyses took into account the combined effect of all three independent variables of gender, rank, and h-index on baseline compensation. Looking specifically at each variable within the regression: Gender was not significantly associated with baseline compensation with men and women receiving similar baseline compensation. Rank was associated with regular salary, with assistant professors ( $\beta = -51,031, p < 0.01$ ) and associate professors ( $\beta = -40,680, p < 0.01$ ) earning significantly less baseline compensation than full professors. Higher h-index was also significantly associated with higher baseline compensation (  $\beta = 1,606, p < 0.01$ ).

For "supplemental income", the overall regression was significant, again examining the combined effect of gender, rank, and h-index, was significant ( $R^2 = 0.096$ , F(4,299) = 7.900,

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p < 0.01). In terms of specific variables: Gender was significantly associated with supplemental income ( $\beta = -79,467, p < 0.05$ ) with women earning significantly less of this salary component than men. There was no difference for rank on supplemental income. Higher h-index was significantly associated with supplemental income ( $\beta = 3,418, p < 0.01$ ).

#### **Obstetrics and gynecology**

Distribution of salary for obstetrics and gynecology is presented in **Figure 4**. For obstetrics and gynecology, the overall regression, which included the variables of gender, rank, and h-index, for total compensation was significant ( $R^2 = 0.068$ , F(4, 174) = 3.172, p < 0.05). Looking at each variable specifically, there was a significant difference in gender, with women earning less total compensation than men ( $\beta = -84,221$ , p < 0.02). Rank was not found to be significantly different for total compensation for either assistant or associate professors compared to full professors. H-index was also not significantly associated with total compensation.

For baseline compensation, the overall regression of the combined effect of gender, rank, and hindex was significant ( $R^2 = 0.485$ , F(4, 174) = 40.986, p < 0.01). For the specific variables: There was no significant difference between women and men in baseline compensation. Rank was associated with baseline compensation, with both assistant ( $\beta = -52,696$ , p < 0.01) and associate professors ( $\beta = -36,711$ , p < 0.01) earning significantly less than full professors. Hindex was also significant ( $\beta = 1,314$ , p < 0.01), with higher h-index linked to higher baseline compensation.

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For supplemental income, the overall regression of the combined effect of gender, rank, and hindex was not significant ( $R^2 = 0.037$ , F(4, 174) = 1.666, *n.s.*). However, when we looked at the three variables specifically within the regression, there was a significant difference in gender, with women earning less supplemental income than men ( $\beta = -74,168$ , p < 0.05). There were no significant differences in supplemental income for the variables of rank or h-index.

#### Radiology

Distribution of salary for radiology is presented in **Figure 5**. Within radiology, the overall regression, which again examined the combined effect of gender, rank, and h-index for total compensation was not significant ( $R^2 = 0.01$ , F(4,266) = 0.591, *n.s.*). Furthermore, none of the individual variables of gender, rank, or h-index showed any significant association with total compensation.

In terms of baseline compensation, the overall regression, which again included the combined effect of gender, rank, and h-index, was significant ( $R^2 = 0.395$ , F(4,265) = 43.293, p < 0.01). For the specific variables: Gender was not significantly associated with baseline compensation as men and women earned similar base compensation. Significant differences in baseline compensation by rank were identified with assistant professors ( $\beta = -52,145$ , p < 0.01) and associate professors ( $\beta = -43,848$ , p < 0.01) earning significantly lower baseline compensation than full professors. Higher h-index was also significantly associated with higher baseline compensation ( $\beta = 979$ , p < 0.01).

For supplemental income, the overall regression of the combined effect of gender, rank, and hindex was significant ( $R^2 = 0.064$ , F(4, 266) = 4.567, p < 0.01). In terms of the specific variables, there was no significant association between gender and supplemental income. Rank also not associated with supplemental income. H-index was significantly associated with supplemental income ( $\beta = -947$ , p < 0.05). Higher h-index linked to lower supplemental income.

# Discussion

Our results show that while there are significant differences in total compensation for women faculty in general surgery and obstetrics and gynecology, it is not baseline compensation that accounts for the salary gap between women and men. Instead, other components of salary, classified in our data as supplemental income, appear to contribute to acknowledged differences in salary between women and men faculty members within our target institutions. In our review of publicly available salary data,<sup>26,27</sup> women faculty within the departments of general surgery and obstetrics and gynecology earned almost \$75,000 less than their men colleagues. This supplemental income is described as coming from additional clinical responsibilities such as call income as well as support for administrative work or leadership positions and was not consistently linked to academic productivity, as defined by h-index, across specialties in our study.<sup>27</sup> These findings echo the work of the economist Claudia Goldin who has described the gender salary gap in a variety of professions as being related not to differences in baseline compensation but rather to differences related to flexibility or amount of work that is taken on by women versus men.<sup>27,28</sup>

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Previous studies offer many theories to explain the gender gap, including women are less likely to be asked to serve as leaders, experience effective mentoring, receive equitable allocation of research funding, and seek additional call hours or clinical work in favor of different household and childrearing obligations.<sup>3,29-35</sup> Women faculty who work flexible hours may be less likely than men to receive leadership positions that result in bonus salary.<sup>29</sup> In fact, faculty who need a more flexible work schedule remain as junior faculty and receive less support.<sup>30</sup> These circumstances help to explain our findings the lower "additional" or supplemental income for women faculty within the two specialties. One solution is to alter promotion policies to better support the diversity of needs.<sup>30</sup> Additional solutions can be found in the NIH's Gender Inequality Task Force Report.<sup>31</sup>

Interestingly, the gender gap for supplemental income in our study was true within obstetrics and gynecology, despite the fact that women comprise a majority of faculty members within this specialty. Furthermore, no gender gap was identified for the department of radiology, despite its male predominance. This finding suggests that the gender distribution of the department alone does not necessarily guide salary discrepancies between women and men faculty members. Instead, it seems that other factors, such as the nature of the clinical work itself, may contribute to the gender salary gap.<sup>31</sup> It has been acknowledged in previous studies that surgical subspecialties are highly technical and predominantly occupied by men, and often times men are among the highest paid with roles as researchers, opposed to women within these specialties occupying lower status communal roles as educators.<sup>34</sup> For instance, no gender differences were noted for any portion of salary within the radiology departments that we examined in this study. Radiology clinical work differs from that of other specialties in that it is predominantly shift-

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based and less procedural than general surgery and obstetrics and gynecology. Radiology may thereby offer fewer opportunities for gender-based increases to supplemental income that might be earned through additional clinical work.

Our findings also validate previous studies finding striking gender inequities in the higher academic ranks.<sup>13</sup> Common explanations for these gender differences include the gender-based hiring disparities of previous generations, lack of transparency of salary, promotion, mentoring and female role-models, and time away for childbearing and family obligations.<sup>14,15,29,30,33</sup> There is also recent evidence that women physicians might start their career with lower salary expectations than men, which could become a self-fulfilling prophecy for later salary.<sup>30,34</sup> Furthermore, there is some indication that women prioritize salary less than men do and are judged more harshly for initiating negotiations.<sup>6,14,15,30</sup>

In summary, gender disparities have been well documented in academic medicine, and our study offers a unique perspective of the different components that make up the academic medicine physician salary. Previous studies have offered suggestions to improve this disparity, which will be important for closing this supplemental income gap. Suggestions include transparency of starting salaries to young professionals, initiating negotiation training to improve starting salary packages, mentorship in career advancement for women junior faculty, investigation of research grant award processes, and further adoption of programs to address disparities in grant award processes.<sup>31</sup> Implementation of a university-wide objective compensation planned implemented by the Association of American Medical Colleges regional median salary (AAMC-WRMS) was associated with reduced gender-based differences in salary among surgery faculty within the

institution and a statistically significant increase in salary among female faculty. Objective compensation plans may mitigate gender-based implicit bias in salary negotiations and promotions.<sup>35</sup>

#### Limitations

Our study has several limitations. First, we focus on only one set of state-based academic institutions from the west coast of the United States and so are unable to be certain whether our findings would generalize to private practices or to those in other parts of the country. Furthermore, we examined salaries from only three departments and therefore cannot be certain that other clinical specialties would follow similar patterns. However, the departments were chosen to accurately reflect a set of departments with a diverse set of gender distribution and clinical work. Finally, since our data was obtained from websites only, we are unable to delve more deeply into the components of supplemental income salary beyond the general description that is offered publicly. For instance, we cannot determine how much is related to compensation for clinical or administrative work versus research funding. We are also not certain what types of research funding are included in this supplemental income and whether certain grants (eg. external) might be differently influenced by factors such as competitiveness of topic or number of proposals submitted. We are therefore not able to completely explain what aspect of compensation supplemental incomes represents or why it is not related to academic productivity in the same way across the three departments. Another limitation is that the 2016 data may not reflect more contemporary remuneration, however, based on salary disparity trends, we do not anticipate the salary gap narrowing since the study period. Additionally, during the study period, gender pronouns were included in most faculty profiles, however, pronouns were not available

on a limited number of faculty profiles. For faculty who did not include gender pronouns, we were limited to faculty name and profile photo and a trained research staff member selected the assumed gender. Additionally, we did not have granular data to distinguish non-binary and gender expansive people. We recognize that diversity and equity is of utmost importance in all underrepresented populations. Further research is warranted on the impact of other variables such as race, ethnicity, and LGBTQ+ people.

## Conclusion

In sum, our study observed the trends of salary within three clinical specialties at state-run, publicly-funded academic institutions. For this sample, gender differences were most notable in the fields of general surgery and obstetrics and gynecology. We suggest that further investigations should focus less on gender inequities of base salary, which may not be relevant to clinical faculty in academic settings as they are institutionally set to be independent of gender, and more on discrepancies in discretionary or supplemental income, which may preferentially benefit men. With closer analysis, we might be able to achieve a better understanding of whether women are not receiving their full earning potential or, as has been suggested in the economic literature,<sup>28,36</sup> whether they are instead prioritizing flexibility in work hours over compensation. Finally, with our observation that the gender salary gap might not be as prominent within the field of radiology, additional studies of this specialty could identify ways in which it could serve as a model for gender-based salary structures for clinicians.

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# Table 1. Demographic data for women and men faculty by institution and department at six academic institutions in 2016.

Institution	Department	Total	Assistant		Associate		Professor	
			$\mathbf{W}^1$	M <sup>2</sup>	W	М	W	М
Institution 1		121						
	Surgery	52	6	9	1	12	5	19
	Ob/Gyn	26	10	1	4	2	5	4
	Radiology	43	5	8	3	3	6	18
Institution 2		77						
	Surgery	32	5	3	4	7	0	13
	Ob/Gyn	22	4	1	8	1	4	4
	Radiology	23	0	3	2	7	5	6
Institution 3	C,	175						
	Surgery	70	5	7	4	18	4	32
	Ob/Gyn	40	17	3	4	3	5	8
	Radiology	65	9	16	4	12	9	15
Institution 4 <sup>3</sup>	C,	15						
	Surgery	0	0	0	0	0	0	0
	Ob/Gyn	15	9	1	2	0	2	1
	Radiology	0	0	0	0	0	0	0
Institution 5	C,	168						
	Surgery	72	5	12	7	14	8	26
	Ob/Gyn	27	3	0	4	0	8	12
	Radiology	69	2	9	8	7	9	34
Institution 6	C,	243						
	Surgery	79	5	12	10	13	11	28
	Ob/Gyn	50	15	3	5	3	17	7
	Radiology	114	15	22	9	19	20	29

<sup>1</sup>Women; <sup>2</sup>Men <sup>3</sup>Institution 4 did not have a surgery or radiology department.

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Department	Rank	Gender	Total	Total Compensation (Mean ± SD)*	Baseline Compensation (Mean ± SD)*	Supplemental Income (Mean ± SD*)
General Surgery	Assistant	$W^1$	58	325±167	100±21	225 ±165
		$M^2$	9	$401 \pm 212$	103±10	299±215
	Associate	W	27	$322 \pm 138$	127±28	195±129
		М	9	463±209	118±8	345±211
	Professor	W	41	356±135	161±40	195±115
		М	36	431±266	194±70	238±235
Ob/Gyn	Assistant	W	31	273±117	106±28	166 ±98
		М	58	306±108	111±27	195±89
	Associate	W	26	306±118	128±28	178±98
		М	48	318±138	$128 \pm 35$	190±114
	Professor	W	49	349±122	189±61	160 ±90
		М	102	331±155	191±66	$140 \pm 122$
Radiology	Assistant	W	26	271±102	$116 \pm 32$	155±79
		М	43	318±181	116 ±45	201±149
	Associate	W	26	334±193	130±47	204±168
		М	64	440±272	142 ±54	297±251
	Professor	W	28	433±221	196 ±65	237±185
		М	118	552±366	208 ±88	344±320
<sup>1</sup> Women; <sup>2</sup> Men						
*Compensation d	lata present	ed as thou	sands			

## <sup>1</sup>Women; <sup>2</sup>Men

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**Contributors:** Hayley Miller was a major contributor in the writing of the manuscript. Elizabeth Seckel analyzed and interpreted the data and contributed to manuscript preparation. Chrislyn White performed data abstraction and collection. Diana Sanchez performed data abstraction and collection. Erika Rubesova edited the manuscript. Claudia Mueller designed the study, analyzed and interpreted the data and was a major contributor in writing of the manuscript. Katherine Bianco designed the study, analyzed and interpreted the data and was a major contributor in writing of the manuscript. All authors read and approved the final manuscript.

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Data availability statement: All data used are publicly available.

**Ethics approval:** Ethics approval for this study was waived by the Institutional Review Board of the Stanford University School of Medicine. IRB-40645.

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## Figure 1. Participant exclusions

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Participants included 799 faculty members. 988 institution employees were assessed for eligibility. 189 were excluded for having a non-professorial, full-time role. A further 79 were excluded for only being listed on the department website, not being on 2016 payroll.

### Figure 2. Overall salary

Distribution of total compensation (A), baseline compensation (B) and supplemental income (C) of women and men in general surgery, obstetrics and gynecology, and radiology departments stratified by academic rank.

## Figure 3. General surgery salary

Distribution of total compensation (A), baseline compensation (B) and supplemental income (C) of women and men in general surgery stratified by academic rank.

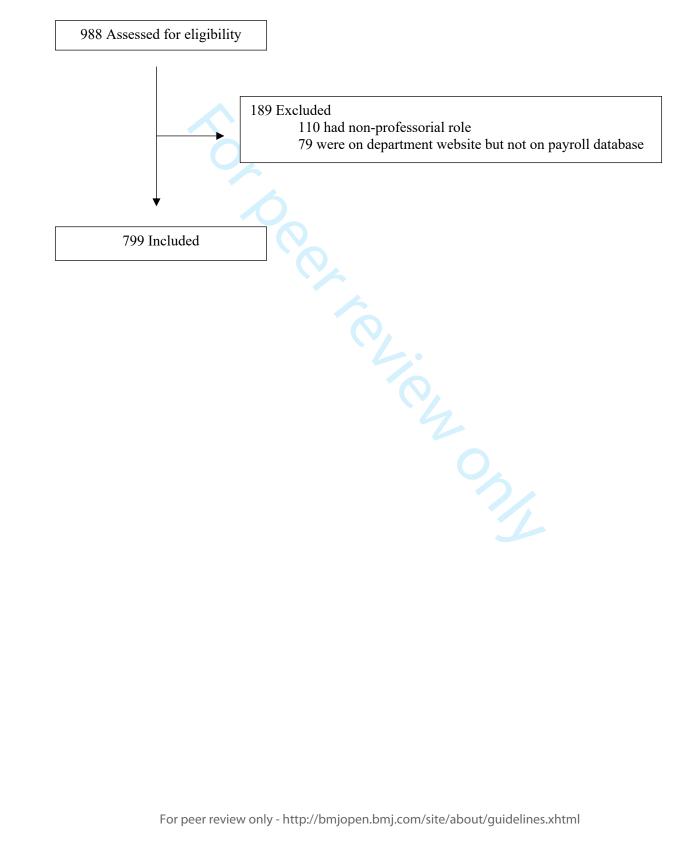
## Figure 4. Obstetrics and gynecology salary

Distribution of total compensation (A), baseline compensation (B) and supplemental income (C) of women and men in obstetrics and gynecology stratified by academic rank.

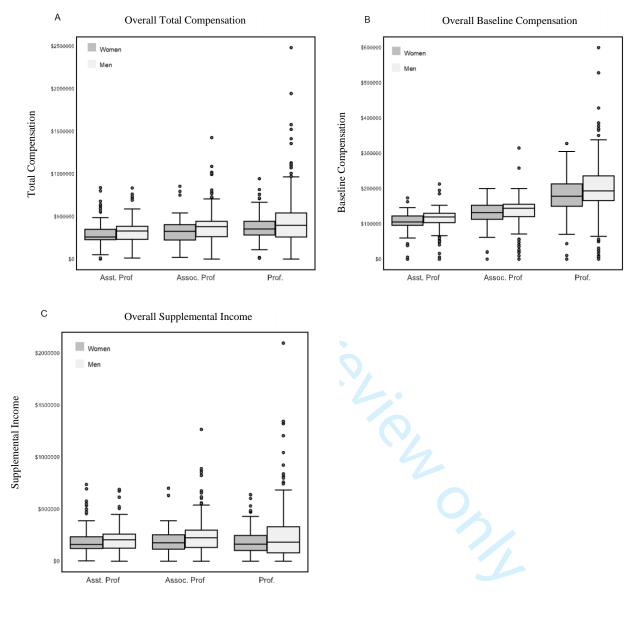
## Figure 5. Radiology salary

Distribution of total compensation (A), baseline compensation (B) and supplemental income (C) of women and men in radiology stratified by academic rank.

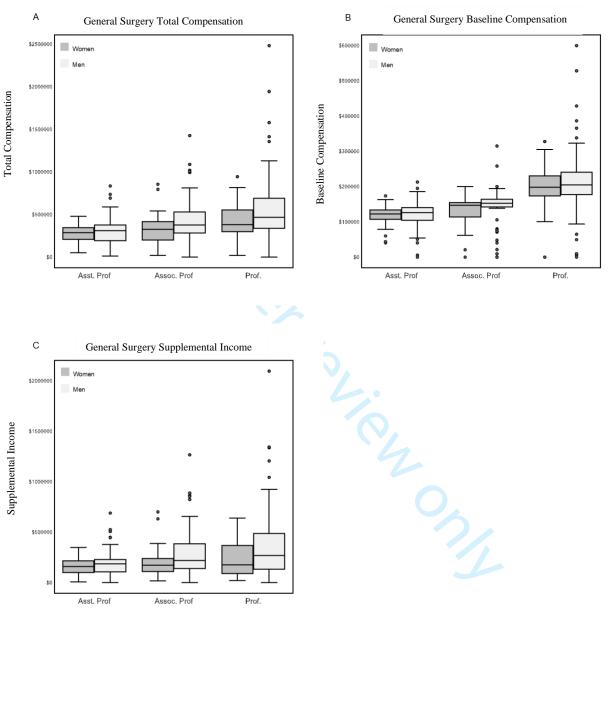
**Figure 1. Participant Exclusions.** Participants included 799 faculty members. 988 institution employees were assessed for eligibility. 189 were excluded for having a non-professorial, full-time role. A further 79 were excluded for only being listed on the department website, not being on 2016 payroll.



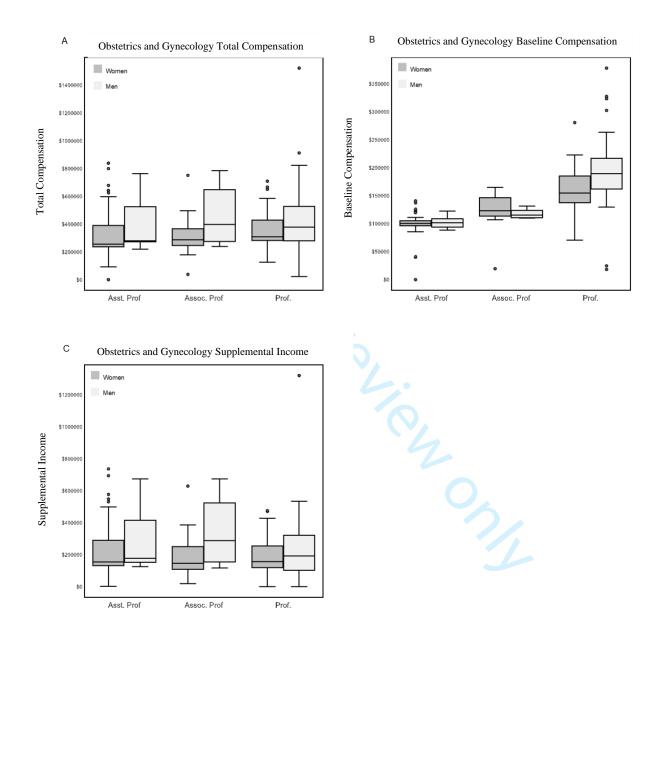
**Figure 2. Overall Salary.** Distribution of total compensation (A), baseline compensation (B), and supplemental income (C) of women and men in general surgery, obstetrics and gynecology, and radiology departments stratified by academic rank in 2016.



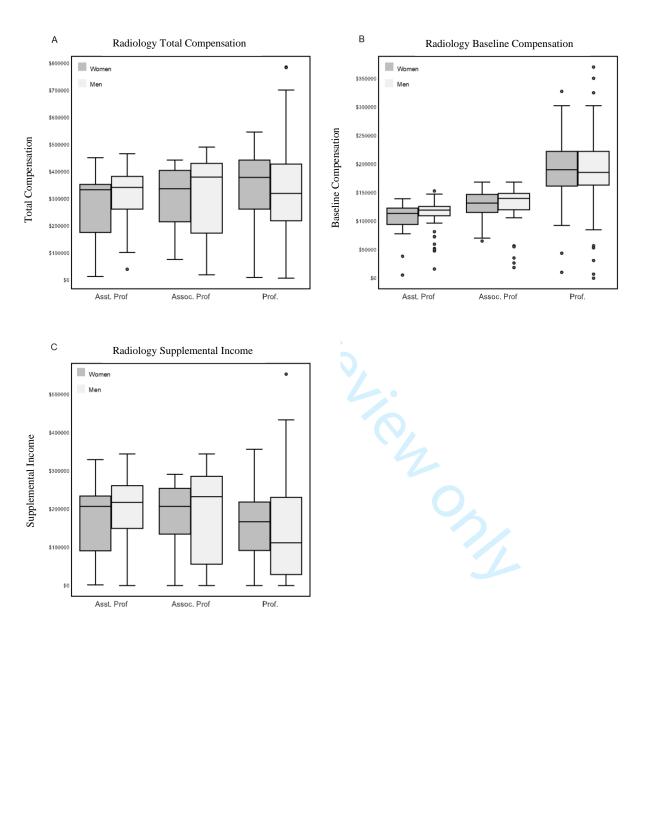
**Figure 3. General Surgery Salary.** Distribution of total compensation (A), baseline compensation (B), and supplemental income (C) of women and men in general surgery stratified by academic rank in 2016.



**Figure 4. Obstetrics and Gynecology Salary.** Distribution of total compensation (A), baseline compensation (B) and supplemental income, (C) of women and men in obstetrics and gynecology stratified by academic rank in 2016.



**Figure 5. Radiology Salary.** Distribution of total compensation (A), baseline compensation (B) and supplemental income (C) of women and men in radiology stratified by academic rank in 2016.



## Supplementary Table

Department	Gende	$r (W^1, M^2)$	h-index	
Ob/Gyn	W	Mean	7.67	
		Ν	152	
		Stand. Dev <sup>3</sup>	10.131	
	Μ	Mean	17.32	
		N	65	
		Std. Dev	14.784	
	Total	Mean	10.56	
		N	217	
		Std. Dev	12.496	
Surgery	W	Mean	15.36	
		N	94	
		Std. Dev	14.366	
	Μ	Mean	21.68	
		N	269	
		Std. Dev	17.358	
	Total	Mean	20.04	
		N	363	
		Std. Dev	16.845	
Radiology	W	Mean	17.86	
		N	100	
		Std. Dev	16.341	
	Μ	Mean	22.55	
		N	217	
		Std. Dev	20.864	
	Total	Mean	21.07	
		N	317	
		Std. Deviation	19.646	
Total	W	Mean	12.71	
		N	346	
		Std. Deviation	14.070	
	М	Mean	21.51	
		Ν	551	
		Std. Deviation	18.593	
	Total	Mean	18.11	
		Ν	897	
		Std. Dev	17	

<sup>1</sup>Women; <sup>2</sup>Men; <sup>3</sup>Standard Deviation

	Item No	Recommendation	Page No
Title and abstract	1	( <i>a</i> ) Indicate the study's design with a commonly used term in the title or the abstract	Page 1- Lines 1-2 Page 2 line 39
		(b) Provide in the abstract an informative and balanced summary	Page 2-3,
		of what was done and what was found	lines 39-62
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the	Page 4-5,
		investigation being reported	lines 84-114
Objectives	3	State specific objectives, including any prespecified hypotheses	Page 5-6, lines 108-114
Methods			
Study design	4	Present key elements of study design early in the paper	Page 5-6, lines 118-128 lines 133-139
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	Page 5-6, lines 118-128 lines 133-139 lines 145-161
Participants	6	<ul> <li>(a) Cohort study—Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up</li> <li>Case-control study—Give the eligibility criteria, and the sources and methods of case ascertainment and control selection. Give the rationale for the choice of cases and controls</li> <li>Cross-sectional study—Give the eligibility criteria, and the sources and methods of selection of participants</li> </ul>	Page 5-6, Lines 133- 139
		(b) Cohort study—For matched studies, give matching criteria and number of exposed and unexposed <i>Case-control study</i> —For matched studies, give matching criteria and the number of controls per case	N/A
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	Page 6-7 Lines 284- 289, Lines 295-375
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	Page 6-7 Lines 284- 289, Lines 295-375
Bias	9	Describe any efforts to address potential sources of bias	Page 6, Lines 285-289, 373, 375
Study size	10	Explain how the study size was arrived at	Page 6 lines 283-286

Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	Page 7-8, Lines 378 401
Statistical methods	12	( <i>a</i> ) Describe all statistical methods, including those used to control for confounding	Page 7-8, Lines 378 401
		(b) Describe any methods used to examine subgroups and interactions	Page 7-8, Lines 378 401
		(c) Explain how missing data were addressed	N/A
		( <i>d</i> ) Cohort study—If applicable, explain how loss to follow-up was addressed	N/A
		<i>Case-control study</i> —If applicable, explain how matching of	
		cases and controls was addressed	
		<i>Cross-sectional study</i> —If applicable, describe analytical methods	
		taking account of sampling strategy	
		$(\underline{e})$ Describe any sensitivity analyses	
Continued on next page			

Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers	Page 8
1		potentially eligible, examined for eligibility, confirmed eligible, included in	Lines 40
		the study, completing follow-up, and analysed	409
		(b) Give reasons for non-participation at each stage	N/A
		(c) Consider use of a flow diagram	Figure 1
Descriptive	14*	(a) Give characteristics of study participants (eg demographic, clinical, social)	Page 8
data		and information on exposures and potential confounders	Lines 40
		1 1	415
		(b) Indicate number of participants with missing data for each variable of	N/A
		interest	
		(c) <i>Cohort study</i> —Summarise follow-up time (eg, average and total amount)	N/A
Outcome data	15*	Cohort study—Report numbers of outcome events or summary measures over	Pages 8-
		time	11 Lines
			419 -566
		Case-control study—Report numbers in each exposure category, or summary	N/A
		measures of exposure	
		Cross-sectional study—Report numbers of outcome events or summary	N/A
		measures	
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted	Pages 8-
		estimates and their precision (eg, 95% confidence interval). Make clear which	11 Lines
		confounders were adjusted for and why they were included	419 -566
		(b) Report category boundaries when continuous variables were categorized	N/A
		(c) If relevant, consider translating estimates of relative risk into absolute risk	N/A
		for a meaningful time period	
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and	N/A
		sensitivity analyses	
Discussion		4	1
Key results	18	Summarise key results with reference to study objectives	Pages 12
			14, Line
			628-723
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias	Page 15,
		or imprecision. Discuss both direction and magnitude of any potential bias	Lines 73
	• •		751
Interpretation	20	Give a cautious overall interpretation of results considering objectives,	Page 15,
		limitations, multiplicity of analyses, results from similar studies, and other	Lines 75
<u> </u>	0.1	relevant evidence	767
Generalisability	21	Discuss the generalisability (external validity) of the study results	Page 15,
			Lines 73
			734
Other informati			
Funding	22	Give the source of funding and the role of the funders for the present study	Page 20
		and, if applicable, for the original study on which the present article is based	Lines 89

\*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at http://www.plosmedicine.org/, Annals of Internal Medicine at http://www.annals.org/, and Epidemiology at http://www.epidem.com/). Information on the STROBE Initiative is available at www.strobe-statement.org.

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