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## Prevalence of Concurrent Vision and Hearing Impairment and Cognitive Dysfunction in an Old Population. The Ural Very Old Study

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Complete List of Authors:	Bikbov, Mukharram; Ufa Eye Research Institute Kazakbaeva, Gyulli; Ufa Eye Research Institute Rakhimova, Ellina; Ufa Eye Research Institute Rusakova, Iuliia ; Ufa Eye Research Institute Fakhretdinova, Albina ; Ufa Eye Research Institute Tuliakova, Azaliia ; Ufa Eye Research Institute Panda-Jonas, Songhomitra; Privatpraxis Prof Jonas und Dr Panda-Jonas Bolshakova, Natalia ; Ufa Eye Research Institute Safiullina, Kamilia ; Ufa Eye Research Institute Gizatov, Ainur ; Ufa Eye Research Institute Ponomarev, Ildar ; Ufa Eye Research Institute Yakupova, Dilya; Ufa Eye Research Institute Baymukhametov, Nail ; Ufa Eye Research Institute Nikitin, Nikolay ; Ufa Eye Research Institute Jonas, Jost; Heidelberg University, Department of Ophthalmology
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7 4 **Prevalence of Concurrent Vision and Hearing Impairment and Cognitive Dysfunction in an Old**  
8 **Population. The Ural Very Old Study.**  
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19 12 Mukharram M. Bikbov, MD PhD(1), Gyulli M. Kazakbaeva, MD(1), Ellina M. Rakhimova, MD(1), Iuliia  
20 13 A. Rusakova, MD(1), Albina A. Fakhretdinova, MD(1), Azaliia M. Tuliakova, MD(1), Songhomitra  
21 14 Panda-Jonas, MD(2), Natalia I. Bolshakova, MD(1), Kamilia R. Safiullina , MD(1), Ainur V. Gizzatov,  
22 15 MD(1), Ildar P. Ponomarev, MD(1), Dilya F. Yakupova, MD(1), Nail E. Baymukhametov, MD(1),  
23 16 Nikolay A. Nikitin, MD(1), Jost B. Jonas, MD(2,3,4)  
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1) Ufa Eye Research Institute, Ufa, Bashkortostan, Russia

(2) Privatpraxis Prof Jonas und Dr Panda-Jonas, Heidelberg, Germany

(3) Department of Ophthalmology, Medical Faculty Mannheim, Heidelberg University, Mannheim,  
Germany

(4) Institute of Molecular and Clinical Ophthalmology Basel, Switzerland

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Corresponding author: Prof. Mukharram Bikbov, Ufa Eye Research Institute, 90 Pushkin Street, Ufa  
450008, Bashkortostan, Russia; Tel.: +7(347)272-37-75; email: Bikbov.m@gmail.com; Prof. Jost  
Jonas, Department of Ophthalmology, Medical Faculty Mannheim, Theodor-Kutzerufer 1, 68167  
Mannheim, Germany; Tel.: +49-6221-3929320; email: Jost.Jonas@medma.uni-heidelberg.de.

## 2 Vision and Hearing Impairment and Dementia in a Very Old Population

**Abstract**

**Objective:** To assess the prevalence of vision impairment, hearing impairment and dual sensory impairment as combination of vision and hearing impairment, in association with cognitive dysfunction in a population aged 85+ years.

**Methods:** The population-based Ural-Very-Old-Study was conducted in rural and urban Bashkortostan/Russia and included a detailed ocular and systemic examination including assessment of moderate to severe vision impairment/blindness (MSVI) (best corrected visual acuity  $<6/18$ ), moderate to severe hearing loss (MSHL), and cognitive function.

**Setting:** A rural and urban area in Bashkortostan/Russia.

**Participants:** Out of 1882 eligible individuals aged 85+ years, 1526 (81.1%) individuals participated.

**Primary and secondary outcome measures:** Prevalence of vision, hearing and dual sensory impairment and cognitive dysfunction.

**Results:** The study included 731 (47.9%) individuals (mean age:  $88.1 \pm 2.7$  years (median: 87 years; range: 85-98 years)) with measurements of MSVI/blindness, MSHL and cognitive function. The prevalence of MSVI/blindness, MSHL, DSI and dementia were 51.8% (95% confidence interval (CI): 48.2, 55.5), 33.1% (95% CI: 29.7, 36.5), 20.5% (95% CI: 17.8, 23.5), and 48.2% (95% CI: 44.5, 51.8), respectively. Lower cognitive function score was associated with lower visual acuity ( $P < 0.001$ ) and higher hearing loss score ( $P = 0.03$ ), after adjusting for older age ( $P = 0.001$ ), rural region of habitation ( $P = 0.003$ ), lower educational level ( $P < 0.001$ ), and higher depression score ( $P < 0.001$ ). Higher dementia prevalence was associated with higher MSHL prevalence (OR: 2.18; 95% CI: 1.59, 2.98;  $P < 0.001$ ), higher MSVI/blindness prevalence (OR: 2.09; 95% CI: 1.55, 2.81;  $P < 0.001$ ), and higher DSI prevalence (OR: 2.80; 95% CI: 1.92, 4.07;  $P < 0.001$ ).

**Conclusions:** In this very old, multi-ethnic population from Russia, dual sensory impairment (prevalence: 20.5%), as compared to hearing impairment (OR: 2.18) and vision impairment alone (OR: 2.09), had a stronger association (OR: 2.80) with dementia. The findings show the importance of hearing and vision impairment, in particular their combined occurrence, for dementia prevalence in an old population.

**Strengths and limitations of this study**

- In a very old, multi-ethnic population from Russia, dual sensory impairment (prevalence: 20.5%), as compared to hearing impairment (OR: 2.18) and vision impairment alone (OR: 2.09), had a stronger association (OR: 2.80) with dementia.
- The findings reveal a relatively high prevalence of sensory impairment in the very old population and show the importance of hearing and vision impairment, in particular their combined occurrence, for dementia prevalence in an old population.
- Future studies may explore the effect of vision improvement strategies such as providing adequate glasses for distance and near and cataract surgery, and the effect of hearing improvement measures such as providing hearing aids, on the prevention of the development or progression of dementia.

## 3 Vision and Hearing Impairment and Dementia in a Very Old Population

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- Limitations are that the participation rate was 47.9%, however, the relatively high age of 85+ years as inclusion criterion should be taken into account; and that hearing impairment was not phonometrically measured.

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## 4 Vision and Hearing Impairment and Dementia in a Very Old Population

**86 Introduction**

87 Due to growth and ageing of the population, the role of dementia as major cause of the global burden  
88 of disease has further gained importance during the past decades.<sup>1,2</sup> In view of estimations of further  
89 rising trends in the global prevalence of dementia, and taking into account the absence of any  
90 evidence-based therapy with a major impact on the course of the disease, detection of risk factors of  
91 dementia and the reduction of their influence is of thus major importance.<sup>3,4</sup> In the 2020 update to  
92 the Lancet Commission report on dementia, about 40% of dementia was attributed to 12 major  
93 modifiable risk factors.<sup>3</sup> These included hearing impairment among other factors such as lower level  
94 of education, arterial hypertension, obesity, smoking, depression, physical inactivity, social isolation,  
95 diabetes mellitus, alcohol consumption, head injury, and air pollution. Although vision impairment was  
96 associated with a higher risk of dementia in some investigations which showed an up to 8 times higher  
97 risk of dementia for visually impaired individuals, the association between vision impairment and  
98 dementia has remained unclear so far.<sup>5-8</sup> In particular, the effect of a combined occurrence of vision  
99 impairment with hearing impairment as dual sensory impairment has not fully been explored and  
100 recognized as risk factor for cognitive dysfunction. Using data from the US National Health and Aging  
101 Trends Study, a recent nationally representative cohort study of community-dwelling Medicare  
102 beneficiaries aged 65+ years revealed that self-reported functional vision impairment, self-reported  
103 functional hearing impairment and combined self-reported vision and hearing impairment had adjusted  
104 cross-sectional hazard ratios of dementia of 1.89, 1.14, and 2.00, respectively.<sup>9</sup> Similar results were  
105 obtained during a follow-up of 7 years for the incidence of dementia. These previous studies had  
106 limitations such as being based on self-reported impairment in vision and hearing and including  
107 Medicare beneficiaries as a subgroup of the total population in the case of Kuo's study, and such as  
108 not being focused on the very old population, we conducted the present population-based study on  
109 individuals aged 85+ years and who underwent measurements of visual acuity and cognitive function.  
110 In addition, it was performed in Russia, i.e. in a world region for which only a scarcity of population-  
111 based data on dual sensory impairment and cognitive dysfunction have been available so far.

**114 Methods**

115 The Ural Very Old Study (UVOS) is a population-based study performed in the rural region in the  
116 Karmaskalinsky District in a distance of 65 km from the capital Ufa, and in the urban region of Kirovskii  
117 in Ufa in the Republic of Bashkortostan / Russia. The study was approved by the Ethics Committee of  
118 the Academic Council of the Ufa Eye Research Institute and informed written consent was obtained  
119 from all participants. Inclusion criteria were an age of 85+ years and living in the study regions. The  
120 Republic of Bashkortostan has a population of about 4.07 million people and it is geographically  
121 located in the west of the southern Ural Mountains about 1300 km east of Moscow. Its capital Ufa is  
122 an economic, scientific and cultural center and has a population of 1.1 million inhabitants including  
123 Russians, Bashkirs, Tatars, Ukrainians and other ethnicities.

124 Out of 1882 eligible inhabitants aged 85+ years and living in the study regions, the study  
125 consisted of 1526 (81.1%) participants including inhabitants of retirement homes. The urban group  
126 (1238 (81.3%) out of 1523 individuals) and the rural group (288 (80.2%) out of 359 individuals) did not

## 5 Vision and Hearing Impairment and Dementia in a Very Old Population

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3 127 differ significantly in the participation rate. Based on the census performed in Russia in 2010, age  
4 128 and gender distribution in the study population did not vary markedly from the Russian population age  
5 129 85+ years, with a marked preponderance of females.<sup>12</sup>

7 130 The series of examinations the study participants underwent included a standardized interview  
8 131 by trained social workers with almost 300 questions on the socioeconomic background, diet, smoking,  
9 132 alcohol consumption, physical activity, quality of life and quality of vision, history of any type of injuries  
10 133 and inter-personal violence, and health assessment questions.<sup>10</sup> All questions were taken from  
11 134 standardized interviews published in the literature, such as the “Center for Epidemiologic Studies  
12 135 Depression Scale (CES-D) Scoresheet” and the Folstein test.<sup>12-17</sup> The physical examinations  
13 136 consisted of the measurement of the anthropomorphic parameters, arterial blood pressure and pulse  
14 137 rate. Using blood samples taken under fasting conditions, we measured the serum concentrations of  
15 138 transaminases, bilirubin, blood lipids, glucose, creatinine, hemoglobin, and others and performed a  
16 139 blood cell count. We applied the Guidelines for Accurate and Transparent Health Estimates Reporting  
17 140 (GATHER statement guidelines).<sup>18</sup> The Ural Very Old Study design was similar to the design of the  
18 141 Ural Eye and Medical Study (UEMS) which has been described in detail previously.<sup>10</sup>

20 142 Besides other ocular examinations, we measured best corrected visual acuity (BCVA),  
21 143 expressed in LogMAR (logarithm of the minimal angle of resolution) and determined the ocular axial  
22 144 length by sonography. Using the World Health Organization (WHO) criteria, we defined moderate to  
23 145 severe vision impairment (MSVI) as BCVA of <6/18 but  $\geq$ 3/60 in the better eye or binocularly, and  
24 146 blindness as BCVA of <3/60 in the better eye or binocularly.

26 147 Hearing loss was assessed by a series of 11 standardized questions, ten of which were  
27 148 derived from the “Hearing Handicap Inventory for the Elderly Screening Version (HHIE-S)”.<sup>19-22</sup> The  
28 149 prevalence of self-reported hearing loss as a binary variable was assessed by the single question “Do  
29 150 you experience a hearing loss?”. The questions could be answered by “no” (0 points), “sometimes” (2  
30 151 points) and “yes (4 points). The total hearing loss score was the sum of all points and could range  
31 152 between 0 points and 44 points. The amount of hearing loss was assessed by the hearing loss score.  
32 153 The HHIE-S had been applied in previous investigations.<sup>19-21</sup> The diagnostic performance of the  
33 154 HHIE-S against five definitions of hearing loss as assessed by pure-tone audiometry had been  
34 155 explored in a previous study revealing sensitivities ranging between 53% and 72% and specificities  
35 156 from 70 to 84% with the different definitions.<sup>23</sup> Based on the WHO hearing impairment grading  
36 157 system, we defined mild hearing impairment (“No problems in quiet but may have real difficulty  
37 158 following conversation in noise”) by a hearing loss score of 11 to 17; moderate hearing impairment  
38 159 (“May have difficulty in quiet hearing a normal voice and has difficulty with conversation in noise”) by a  
39 160 hearing loss score of 18 to 24; moderately severe hearing impairment (“Needs loud speech to hear in  
40 161 quiet and has great difficulty in noise) by a hearing loss score of 25 to 31; severe hearing impairment  
41 162 (“In quiet, can hear loud speech directly in one’s ear, and, in noise, has very great difficulty.”) by a  
42 163 hearing loss score of 32-38; and profound hearing impairment (“Unable to hear and understand even  
43 164 a shouted voice whether in quiet or noise”) by a hearing score of 39 to 44.<sup>24</sup> We defined dual sensory  
44 165 impairment as MSVI/blindness combined with moderately severe or more severe hearing impairment  
45 166 (grade 3+). Cognitive function was assessed using the Mini-Mental Status Examination scale.<sup>14</sup>



## 6 Vision and Hearing Impairment and Dementia in a Very Old Population

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3 167 Using a statistical software package (SPSS for Windows, version 25.0, SPSS, Chicago, IL),  
4 168 we determined the demographic characteristics of the study population (presented as mean  $\pm$   
5 169 standard deviation) and assessed the prevalence of MSVI/blindness, hearing impairment and dual  
6 170 sensory impairment (presented as mean and 95% confidence intervals (CIs)). We performed a  
7 171 regression analysis as univariate analysis with the cognitive function score as dependent variable,  
8 172 followed by a multivariable analysis that included as independent variables all those parameters which  
9 173 were significantly associated with the cognitive function score in the univariate analysis. Finally, we  
10 174 conducted a binary regression analysis of the relationships between the prevalences of cognitive  
11 175 dysfunction, vision impairment, hearing impairment and dual sensory impairment. We calculated the  
12 176 standardized regression coefficient beta, the non-standardized regression coefficient B, odds ratios  
13 177 (ORs) and the 95% CIs. All P-values were two-sided and considered statistically significant when the  
14 178 values were less than 0.05.

15 179

## 16 180 Patient and Public Involvement

17 181 Patients or the public were not involved in the design, or conduct, or reporting, or dissemination plans  
18 182 of our research.

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20 184

21 185 **Results**

22 186 Out of 1526 individuals primarily participating in the Ural Very Old Study, the present investigation  
23 187 included 731 (47.9%) individuals (530 (72.5%) women; 201 (27.5%) men) for whom measurements  
24 188 and data of BCVA, hearing loss and cognitive function were available. The study population was  
25 189 composed of 251 (34.3%) individuals of Russian ethnicity, 334 (45.7%) Tatars, 83 (11.4%) Bashkirs,  
26 190 25 (3.4%) Chuvash, 5 (0.7%) Mari, and 33 (4.5%) others. The mean age was  $88.1 \pm 2.7$  years  
27 191 (median: 87 years; range: 85 – 98 years). The individuals with assessment of vision loss, hearing loss  
28 192 and cognitive function as compared with the individuals without these examinations did not vary  
29 193 significantly in age ( $88.1 \pm 2.7$  years versus  $88.5 \pm 3.0$  years;  $P=0.10$ ), level of education ( $4.6 \pm 2.1$   
30 194 versus  $4.4 \pm 2.1$ ;  $P=0.08$ ) and sex ( $P=0.10$ ).

31 195 Among the 731 participants, 23 (3.1%) individuals were illiterate, 133 (18.2%) had passed the  
32 196 5<sup>th</sup> class, 159 (21.8%) had passed the 8<sup>th</sup> class, 29 (4.0%) had passed the 10<sup>th</sup> class, 15 (2.1%) had  
33 197 passed the 11<sup>th</sup> class, 172 (23.5%) had a specialized secondary education, 194 (26.5%) were  
34 198 graduates, and 4 (0.5%) were postgraduates. There were 124 individuals (17.0%) who were living in  
35 199 a joint family, 77 (10.5%) in a nuclear family, 266 (36.4%) were living alone, and 261 (35.7%)  
36 200 cohabited with another family member; 170 (23.3%) participants were married, 16 (2.2%) were  
37 201 unmarried, 13 (1.8%) were divorced, and 531 (72.6%) were widowed. Almost all study participants  
38 202 (718 (98.2%)) owned a house or apartment, while 13 (1.8%) had rented a house or flat; 18 (2.5%)  
39 203 individuals had a car, 49 (6.7%) had a laptop or computer, and 100 (13.7%) a mobile phone. Almost  
40 204 all study participants (1715, 97.8%) owned a television and a telephone (682, 93.3%). Mean body  
41 205 height was  $158 \pm 9$  cm (median: 158 cm; range: 105-180 cm), mean body weight was  $65.9 \pm 11.3$  kg  
42 206 (median: 66.0 kg; range: 31.8-103 kg), and mean body mass index was  $26.5 \pm 4.5$  kg/m<sup>2</sup> (median: 25.8  
43 207 kg/m<sup>2</sup>; range: 14.7-59.0 kg/m<sup>2</sup>). Mean systolic and diastolic blood pressure were  $156.9 \pm 26.4$  mmHg

## 7 Vision and Hearing Impairment and Dementia in a Very Old Population

208 and 79.6±13.9 mm Hg, respectively, the prevalence of arterial hypertension (stage 1+) was 87.0%  
209 (95%CI:84.5,89.4), and the prevalence of diabetes was 13.8% (95% CI: 11.3, 16.4).

210 Out of the 731 study participants, 342 (46.8%;95%CI:43.2,50.4) individuals fulfilled the  
211 definition of MSVI, and 37 individuals (5.1%;95%CI:3.5,6.7) fulfilled the definition of blindness in the  
212 better eye or under binocular conditions. The combined prevalence of MSVI and blindness was  
213 51.8% (95%CI:48.2,55.5). The mean hearing loss score was 19.5±15.4 (median:22;range:0-44). Out  
214 of the 731 study participants, 291 (39.8%) had a normal hearing score, 55 (7.5%) had mild hearing  
215 impairment (grade 1), 143 (19.6%) individuals had moderate hearing impairment (grade 2), 66 (9.0%)  
216 persons had moderately severe hearing impairment (grade 3), 58 individuals (7.9%) had severe  
217 hearing impairment (grade 4), and 118 (16.1%) had profound hearing impairment (grade 5). Dual  
218 sensory impairment, defined as MSVI/blindness combined with moderately severe hearing impairment  
219 grade 3+ was present in 150 (20.5%;95%CI:17.8,23.5) individuals.

220 The mean cognitive function score obtained in the Mini Mental test was 22.2±6.4  
221 (median:24;range:0-30). In univariate analysis, a higher cognitive score was associated with younger  
222 age ( $P<0.001$ ), urban region of habitation ( $P<0.001$ ), higher level of education ( $P<0.001$ ), lower  
223 hearing loss score ( $P<0.001$ ), higher body mass index ( $P=0.002$ ), longer waist ( $P<0.001$ ) and hip  
224 ( $P=0.003$ ) circumference, higher prevalence of alcohol consumption ( $P=0.02$ ), higher number of meals  
225 taken daily ( $P<0.001$ ), higher number of days per week with fruit intake ( $P<0.001$ ), higher serum  
226 concentration of triglycerides ( $P=0.02$ ), urea ( $P=0.03$ ), higher leucocytes blood cell count ( $P=0.02$ ),  
227 lower diastolic blood pressure ( $P=0.005$ ), lower depression score ( $P<0.001$ ), and a lower State Trait  
228 anxiety score ( $P<0.001$ ), and with the ocular parameters of better BCVA ( $P<0.001$ ), longer ocular axial  
229 length ( $P=0.04$ ) and lower prevalence of dry eye ( $P=0.02$ ). It was not significantly associated with sex  
230 ( $P=0.15$ ), Russian versus non-Russian ethnicity ( $P=0.20$ ), body height ( $P=0.07$ ), body weight  
231 ( $P=0.09$ ), waist-hip circumference ratio ( $P=0.09$ ), current smoking ( $P=0.56$ ), systolic ( $P=0.75$ ) and  
232 mean ( $P=0.15$ ) blood pressure, prevalence of arterial hypertension ( $P=0.11$ ), serum concentration of  
233 glucose ( $P=0.78$ ), creatinine ( $P=0.48$ ), hemoglobin ( $P=0.19$ ), and erythrocyte count ( $P=0.22$ ), and with  
234 the ocular parameter of refractive error ( $P=0.80$ ).

235 In multivariable analysis, we first dropped due to collinearity the parameter of the anxiety score  
236 (variance inflation factor: 4.9). Due to lack of statistical significance, we then dropped the parameters  
237 of prevalence of alcohol consumption ( $P=0.96$ ), number of days with fruit intake ( $P=0.77$ ), dry eye  
238 prevalence ( $P=0.82$ ), leucocytes blood cell count ( $P=0.78$ ), waist circumference ( $P=0.80$ ), diastolic  
239 blood pressure ( $P=0.65$ ), ocular axial length ( $P=0.53$ ), number of meals taken daily ( $P=0.15$ ), hip  
240 circumference ( $P=0.42$ ), serum concentration of triglycerides ( $P=0.05$ ), and body mass index ( $P=0.05$ ).  
241 In the final model, higher cognitive function score was associated with younger age ( $P=0.001$ ), urban  
242 region of habitation ( $P=0.003$ ), higher level of education ( $P<0.001$ ), lower BCVA ( $P<0.001$ ), higher  
243 hearing loss score ( $P=0.03$ ), and higher depression score ( $P<0.001$ ) (Table 1). If the BCVA and  
244 hearing loss score were replaced by the prevalence of dual sensory impairment, a lower prevalence of  
245 the latter was associated with a higher cognitive function score (beta: -0.11; B: -1.70; 95%CI: -2.66, -  
246 0.74;  $P=0.001$ ).

247 In a reverse manner, a higher prevalence of MSVI was associated with a lower cognitive  
248 function score (OR: 0.92; 95%CI: 0.89, 0.94;  $P<0.001$ ), as was a higher prevalence of moderately

## 8 Vision and Hearing Impairment and Dementia in a Very Old Population

249 severe hearing loss (OR: 0.93; 95%CI: 0.90, 0.95;  $P<0.001$ ) and a higher prevalence of dual sensory  
250 impairment (OR: 0.90; 95%CI: 0.88, 0.93;  $P<0.001$ ). In multivariable analysis, a higher prevalence of  
251 dual sensory impairment was associated with a lower cognitive function score  
252 (OR:0.94;95%CI:0.91,0.98; $P=0.001$ ), after adjusting for older age  
253 (OR:1.16;95%CI:1.08,1.24; $P<0.001$ ), rural region of habitation (OR:2.32;95%CI:1.51,3.56,  $P<0.001$ ),  
254 and higher depression score (OR:1.03;95%CI:1.01,1.06; $P<0.002$ ). In that model, the prevalence of  
255 dual sensory impairment was not significantly associated with sex ( $P=0.08$ ). If the depression score  
256 was dropped, the association with a higher anxiety score became significant (OR: 1.03; 95CI: 1.01,  
257 1.05;  $P=0.001$ ).

258 If cognitive dysfunction was defined by Mini Mental test score of  $<24$ , 332  
259 (45.4%;95%CI:41.8,49.0) study participants fulfilled the definition. A higher prevalence of cognitive  
260 dysfunction was associated (univariate analysis) with a higher hearing loss grade  
261 (OR:1.13;95%CI:1.08,1.27; $P<0.001$ ), with a higher prevalence of hearing loss grade 3+  
262 (OR:2.18;95%CI:1.59,2.98), with a higher prevalence of MSVI / blindness  
263 (OR:2.09;95%CI:1.55,2.81; $P<0.001$ ), and with a higher prevalence of a dual sensory impairment  
264 (OR:2.80;95%CI:1.92,4.07; $P<0.001$ ).

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266

**267 Discussion**

268 In our ethnically mixed study population with an age of 85+ years from Bashkortostan/Russia, the  
269 prevalences of MSVI/blindness, moderately severe hearing loss and dual sensory impairment were  
270 51.8%, 33.1% and 20.5%, respectively. In multivariable analysis, a higher prevalence of all three  
271 variables was associated with a lower cognitive function score and higher cognitive dysfunction  
272 prevalence. After adjusting for age, region of habitation, educational level and depression score, a  
273 lower cognitive function score was associated with worse BCVA and a higher hearing loss score. As a  
274 corollary, the risk for cognitive dysfunction increased by 2.18 for the presence of moderately severe or  
275 more advanced hearing loss, by 2.09 for the presence of MSVI/blindness, and by 2.80 for the  
276 presence of dual sensory impairment.

277 The findings made in our study on a population aged 85+ years cannot directly be compared  
278 with the observations made in many previous studies, since previous investigations usually did not  
279 include a sufficient number of participants in that age category, and since hearing impairment, vision  
280 impairment and cognitive dysfunction have rarely been assessed together. In their study on the  
281 prevalence of dual sensory impairment and its relationship with dementia in community-dwelling  
282 Medicare beneficiaries, Kuo and colleagues found an 1.9-fold, 1.1-fold, and 2.0-fold increase in the  
283 cross-sectional hazard of dementia for self-reported functional vision impairment, hearing impairment,  
284 and dual sensory impairment, respectively.<sup>9</sup> Despite differences in the assessment of sensory  
285 impairment (self-reported versus measurements), study design (nationally representative sample of  
286 Medicare beneficiaries aged 65+ years versus population-based recruitment of 85+ years olds) and  
287 study region (US versus urban and rural Russia), the figures reported by Kuo and associates are  
288 similar to those found in our study, with a higher cross-sectional risk of dementia for the presence of  
289 dual sensory impairment as compared to the presence of vision impairment or hearing impairment

## 9 Vision and Hearing Impairment and Dementia in a Very Old Population

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3 290 taken separately. Kuo and colleagues additionally observed that sensory impairment was associated  
4 291 with an increased incidence of dementia during over 7-years follow-up. The results of our study also  
5 292 agree with other investigations, such as a longitudinal study of older US adults from the Health and  
6 293 Retirement Study which reported higher hazards of incident dementia for individuals with self-reported  
7 294 visual impairment, hearing impairment and dual sensory impairment as compared to individuals  
8 295 without such impairments.<sup>25-28</sup> In the study conducted by Hwang and colleagues, functional dual  
9 296 sensory impairment as compared to vision impairment or hearing impairment alone was stronger  
10 297 associated with all-cause dementia during a follow-up of 8 years in a group of highly educated and  
11 298 mostly White elderly adults.<sup>26</sup> In the English Longitudinal Study of Aging, individuals with poor and  
12 299 moderate self-reported hearing were had a 57% and 39% higher hazard of incident dementia during a  
13 300 follow-up of 9 years, respectively.<sup>27</sup> The finding of a concurrence of vision impairment and  
14 301 cognitive impairment concurs also with the results of present meta-analyses.<sup>29,30</sup>

15 302  
16 303 A reason for the association between impairment in vision and hearing and cognitive dysfunction may  
17 304 be a sensory impairment-related reduction in external stimuli for cognitive activities, in addition to an  
18 305 increased risk of social isolation, depression, and reduced physical activity.<sup>31-33</sup> All these factors have  
19 306 been known to increase the risk for cognitive dysfunction and dementia.<sup>3</sup> Another reason may be an  
20 307 increase in cognitive load in individuals with sensory impairments since more cognitive resources may  
21 308 be needed for the support of the visual and hearing function. It may lead to a lack of remaining  
22 309 resources for cognitive tasks.<sup>33-35</sup> One of the reasons for a higher risk of cognitive dysfunction for dual  
23 310 sensory impairment as compared to vision impairment or hearing impairment alone could be that  
24 311 individuals with hearing impairment tend to perform lip reading what depends on sufficient vision. In  
25 312 addition, individuals with dual sensory impairment have a limited ability to compensate for a single  
26 313 sensory impairment by employing functioning of an unimpaired sensory system. Besides these causal  
27 314 relationships, other factors leading to the co-occurrence of sensory impairment and cognitive  
28 315 dysfunction could be a common mechanism, such as microvascular changes, leading to sensory  
29 316 impairment and cognitive dysfunction, and the possibility of a sensory impairment as a sequel of  
30 317 cognitive dysfunction, such as in the situation of patients with cognitive dysfunction and cataract, who  
31 318 may not have the means, support or willingness for cataract surgery to be performed.

32 319 Assuming an at least partially causative relationship between sensory impairment and  
33 320 cognitive dysfunction, any improvement in vision or hearing impairment by providing correcting  
34 321 glasses and hearing aids and performing cataract surgery could be meaningful.<sup>36-38</sup> To cite an  
35 322 example, the pilot study of the Aging and Cognitive Health Evaluation in Elders trial suggested a  
36 323 slowing of memory decline by treatment of hearing impairment.<sup>37</sup> Another example may be providing  
37 324 simple reading glasses. In the population-based Beijing Eye Study, higher cognitive function was  
38 325 associated with a lower amount of undercorrection of refractive error after adjusting for younger age,  
39 326 rural region of habitation, educational level, occupation, depression score, BCVA and history of  
40 327 cardiovascular disorder.<sup>40</sup> Correspondingly, individuals wearing glasses for correction of their  
41 328 refractive error as compared to subjects without glasses showed a significantly higher cognitive score.  
42 329 These results also fit with observations made in a study by Rogers and Langa, who reported that in an  
43 330 8.5 years follow-up study poor vision at baseline was associated with incident dementia.<sup>41</sup> Simple,

## 10 Vision and Hearing Impairment and Dementia in a Very Old Population

331 cheap treatment of refractive errors by providing adequate eyeglasses may not only increase the  
332 quality of life, but may potentially also provide cost-effective prophylaxis of cognitive dysfunction and  
333 dementia

334 The limitations of our study have to be considered. First, we did not measure presenting  
335 visual acuity, so that we could not assess the prevalence of undercorrection of refractive error.  
336 Second, the participation rate in our study was 47.9%, a figure considerably lower than those for other  
337 population-based studies. In view of the relatively high age of 85+ years as inclusion criterion, the  
338 study may give, however, some information about the prevalence of vision and hearing impairment  
339 and their combined occurrence in that age group. In addition, the main goal of our study was not to  
340 examine the prevalences of vision and hearing impairment but their relationship with cognitive  
341 function. Third, we did not phonometrically measure hearing impairment, but the study participants  
342 underwent an interview with standardized questions about their subjective hearing capacity. The  
343 validity of these questions of the Hearing Handicap Inventory for the Elderly Screening Version (HHIE-  
344 S) had been assessed in previous investigations.<sup>19-21</sup> Fourth, our study had a cross-sectional design  
345 so that cross-sectional associations could, however, longitudinal cause-effect relationships could not  
346 be explored. Strengths of our study were that it was the first population-based study on the  
347 prevalence of dual sensory impairment as well as their relationship with cognitive function in the age  
348 group of 85+ years with a relatively large study sample size, and the inclusion of a multitude of  
349 systemic parameters.

350 In conclusion, in this very old multi-ethnic population from Bashkortostan/Russia, vision  
351 impairment, hearing impairment and dual sensory impairment as combination of both were relatively  
352 common and were associated with cognitive dysfunction. Assuming a causal relationship, providing  
353 hearing aids, and providing glasses for distant and reading vision and cataract surgery, may  
354 potentially be measures to reduce the impact of cognitive dysfunction by reducing some of its risk  
355 factors.

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357  
358 a. Contributorship statement: Design of the study: MMK, GMK, JBJ; Examination of participants:  
359 MMB, GMK, EMR, IAR, AAF, AMT, NIB, KRS, AVG, IPP, DFY, NEB, NAN; Examination of clinical  
360 images and data collection: MMB, GMK, EMR, IAR, AAF, AMT, SPJ, NIB, KRS, AVG, IPP, DFY, NEB,  
361 NAN, JBJ; Statistical analysis: SPJ, JBJ; Funding: MMK, JBJ; Writing the first draft: SPJ, JBJ,  
362 Approval of final draft: MMB, GMK, EMR, IAR, AAF, AMT, SPJ, NIB, KRS, AVG, IPP, DFY, NEB,  
363 NAN, JBJ.

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## 14 Vision and Hearing Impairment and Dementia in a Very Old Population

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3 465 Table 1  
4 466 Associations (multivariable analysis) between the cognitive function score assessed in the mini-mental  
5 467 test and other parameters  
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7 468

	Standardized regression coefficient	Non-standardized regression coefficient B	95% Confidence Interval of B	P-Value	Variation inflation factor
Age (years)	-0.11	-0.25	-0.39, -0.11	0.001	1.13
Region of habitation (rural / urban)	0.10	1.42	0.47, 2.37	0.003	1.28
Level of education (0-5)	0.24	0.71	0.51, 0.90	<0.001	1.25
Depression score	-0.38	-0.22	-0.26, -0.19	<0.001	1.05
Best corrected visual acuity (LogMAR)	-0.15	-1.55	-2.22, -0.88	<0.001	1.17
Hearing loss score	-0.07	-0.03	-0.05, -0.002	0.03	1.10

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# BMJ Open

## Concurrent Vision and Hearing Impairment Associated with Cognitive Dysfunction in a Population Aged 85+ Years: The Ural Very Old Study

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8 **Concurrent Vision and Hearing Impairment Associated with Cognitive Dysfunction in a**  
9 **Population Aged 85+ Years: The Ural Very Old Study**  
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19 12 Mukharram M. Bikbov, MD PhD(1), Gyulli M. Kazakbaeva, MD(1), Ellina M. Rakhimova, MD(1), Iuliia  
20 13 A. Rusakova, MD(1), Albina A. Fakhretdinova, MD(1), Azaliia M. Tuliakova, MD(1), Songhomitra  
21 14 Panda-Jonas, MD(2), Natalia I. Bolshakova, MD(1), Kamilia R. Safiullina , MD(1), Ainur V. Gizzatov,  
22 15 MD(1), Ildar P. Ponomarev, MD(1), Dilya F. Yakupova, MD(1), Nail E. Baymukhametov, MD(1),  
23 16 Nikolay A. Nikitin, MD(1), Jost B. Jonas, MD(2,3,4)  
24  
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1) Ufa Eye Research Institute, Ufa, Bashkortostan, Russia

(2) Privatpraxis Prof Jonas und Dr Panda-Jonas, Heidelberg, Germany

(3) Department of Ophthalmology, Medical Faculty Mannheim, Heidelberg University, Mannheim,  
Germany

(4) Institute of Molecular and Clinical Ophthalmology Basel, Switzerland

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Corresponding author: Prof. Mukharram Bikbov, Ufa Eye Research Institute, 90 Pushkin Street, Ufa  
450008, Bashkortostan, Russia; Tel.: +7(347)272-37-75; email: Bikbov.m@gmail.com; Prof. Jost  
Jonas, Department of Ophthalmology, Medical Faculty Mannheim, Theodor-Kutzerufer 1, 68167  
Mannheim, Germany; Tel.: +49-6221-3929320; email: Jost.Jonas@medma.uni-heidelberg.de.

## 2 Vision and Hearing Impairment and Dementia in a Very Old Population

**Abstract**

**Objective:** To assess the prevalence of vision impairment, hearing impairment and dual sensory impairment (DSI) as combination of vision and hearing impairment, in association with cognitive dysfunction in a population aged 85+ years.

**Methods:** The cross-sectional population-based Ural-Very-Old-Study, conducted in rural and urban Bashkortostan/Russia between 2017 and 2020, included a detailed ocular and systemic examination with assessment of moderate to severe vision impairment/blindness (MSVI) (best corrected visual acuity <6/18), moderate to severe hearing loss (MSHL), and cognitive function.

**Setting:** A rural and urban area in Bashkortostan/Russia.

**Participants:** Out of 1882 eligible individuals aged 85+ years, 1526 (81.1%) individuals participated.

**Primary and secondary outcome measures:** Prevalence of vision, hearing and dual sensory impairment and cognitive dysfunction.

**Results:** The study included 731 (47.9%) individuals (mean age:88.1±2.7 years (median:87 years;range:85-98years)) with measurements of MSVI/blindness, MSHL and cognitive function. The prevalence of MSVI/blindness, MSHL, DSI and dementia were 51.8% (95% confidence interval (CI):48.2,55.5), 33.1% (95%CI:29.7,36.5), 20.5% (95%CI:17.8,23.5), and 48.2% (95%CI:44.5,51.8), respectively. Lower cognitive function score was associated with lower visual acuity ( $P<0.001$ ) and higher hearing loss score ( $P=0.03$ ), after adjusting for older age ( $P=0.001$ ), rural region of habitation ( $P=0.003$ ), lower educational level ( $P<0.001$ ), and higher depression score ( $P<0.001$ ). Higher dementia prevalence was associated with higher MSHL prevalence (OR:2.18;95%CI:1.59,2.98; $P<0.001$ ), higher MSVI/blindness prevalence (OR:2.09;95%CI:1.55,2.81; $P<0.001$ ), and higher DSI prevalence (OR:2.80;95%CI:1.92,4.07; $P<0.001$ ).

**Conclusions:** In this very old, multi-ethnic population from Russia, dual sensory impairment (prevalence:20.5%), as compared to hearing impairment (OR:2.18) and vision impairment alone (OR:2.09), had a stronger association (OR: 2.80) with dementia. The findings show the importance of hearing and vision impairment, in particular their combined occurrence, for dementia prevalence in an old population.

**Strengths and limitations of this study**

- A population-based recruited multi-ethnic study sample of individuals aged 85+ years and living in rural and urban region in Bashkortostan / Russia was ophthalmologically and systemically examined.
- Vision was tested as best corrected visual acuity, hearing loss was assessed with the help of a standardized questionnaire, and cognitive function was examined applying the Mini Mental test.
- Limitations of the study include a relatively low participation rate of 47.9%, and that hearing impairment was not phonometrically measured.
- Strengths of the study are the high age of the study participants, the combination of a multitude of examinations in the area of ophthalmology, hearing loss and internal medicine,

## 3 Vision and Hearing Impairment and Dementia in a Very Old Population

82 and performance in Russia for which public health information has been relatively scarce so  
83 far.

For peer review only

## 4 Vision and Hearing Impairment and Dementia in a Very Old Population

**85 Introduction**

86 Due to growth and ageing of the population, the role of dementia as major cause of the global burden  
87 of disease has further gained importance during the past decades.<sup>1,2</sup> In view of estimations of further  
88 rising trends in the global prevalence of dementia, and taking into account the absence of any  
89 evidence-based therapy with a major impact on the course of the disease, detection of risk factors of  
90 dementia and the reduction of their influence is of thus major importance.<sup>3,4</sup> In the 2020 update to  
91 the Lancet Commission report on dementia, about 40% of dementia was attributed to 12 major  
92 modifiable risk factors.<sup>3</sup> These included hearing impairment among other factors such as lower level  
93 of education, arterial hypertension, obesity, smoking, depression, physical inactivity, social isolation,  
94 diabetes mellitus, alcohol consumption, head injury, and air pollution. Although vision impairment was  
95 associated with a higher risk of dementia in some investigations which showed an up to 8 times higher  
96 risk of dementia for visually impaired individuals, the association between vision impairment and  
97 dementia has remained unclear so far.<sup>5-8</sup> In particular, the effect of a combined occurrence of vision  
98 impairment with hearing impairment as dual sensory impairment has not fully been explored and  
99 recognized as risk factor for cognitive dysfunction yet. Using data from the US National Health and  
100 Aging Trends Study, a recent nationally representative cohort study of community-dwelling Medicare  
101 beneficiaries aged 65+ years revealed that self-reported functional vision impairment, self-reported  
102 functional hearing impairment and combined self-reported vision and hearing impairment had adjusted  
103 cross-sectional hazard ratios of dementia of 1.89, 1.14, and 2.00, respectively.<sup>9</sup> Similar results were  
104 obtained during a follow-up of 7 years for the incidence of dementia.

105 The strengths of some of previous studies were that they addressed sensory impairment and  
106 cognitive function and their association by using objective measures of sensory functions, and that  
107 they analyzed nationally representative and longitudinal data with a relatively long follow-up.<sup>9-11</sup> Some  
108 of the previous investigations however had limitations such as being based on self-reported  
109 impairment in vision and hearing, including Medicare beneficiaries as a subgroup of the total  
110 population in the case of Kuo's study, and not being focused on the very old population.<sup>12</sup> We  
111 therefore conducted the present population-based study on individuals aged 85+ years and who  
112 underwent measurements of visual acuity and cognitive function and assessment of hearing loss. In  
113 addition, we performed the study in Russia, a world region for which population-based data on dual  
114 sensory impairment and cognitive dysfunction have only scarcely been available so far, and which is  
115 one of the world regions with a relatively fast ageing of the population.<sup>13,14</sup>

**118 Methods**

119 The Ural Very Old Study (UVOS) is a population-based study performed in the rural region in the  
120 Karmaskalinsky District in a distance of 65 km from the capital Ufa, and in the urban region of Kirovskii  
121 in Ufa in the Republic of Bashkortostan / Russia.<sup>15,16</sup> The study, conducted between November 2017  
122 and December 2020, was approved by the Ethics Committee of the Academic Council of the Ufa Eye  
123 Research Institute and informed written consent was obtained from all participants. In the situation of  
124 individuals who were not able to understand the meaning of the consent, the closest relative was  
125 informed and consented. Inclusion criteria were an age of 85+ years and living in the study regions.

## 5 Vision and Hearing Impairment and Dementia in a Very Old Population

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3 126 The Republic of Bashkortostan has a population of about 4.07 million people and it is geographically  
4 127 located in the west of the southern Ural Mountains about 1300 km east of Moscow. Its capital Ufa is  
5 128 an economic, scientific and cultural center and has a population of 1.1 million inhabitants including  
6 129 Russians, Bashkirs, Tatars, Ukrainians and other ethnicities.

7  
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9 130 Out of 1882 eligible inhabitants aged 85+ years and living in the study regions, the study  
10 131 consisted of 1526 (81.1%) participants including inhabitants of retirement or nursing homes. The  
11 132 urban group (1238 (81.3%) out of 1523 individuals) and the rural group (288 (80.2%) out of 359  
12 133 individuals) did not differ significantly in the participation rate. Based on the census performed in  
13 134 Russia in 2010, age and gender distribution in the study population did not vary markedly from the  
14 135 Russian population age 85+ years, with a marked preponderance of females.<sup>17</sup>

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16  
17 136 Using a bus, the study participants were brought from their homes to the Ufa Eye Institute  
18 137 where a team of about 20 trained technicians and ophthalmologists performed all examinations.  
19 138 Those individuals which were unable to come to the hospital, underwent the interview and all  
20 139 examinations, which could be performed outside of the hospital, in their homes. The series of  
21 140 examinations included a standardized interview by trained social workers with almost 300 questions  
22 141 on the socioeconomic background, diet, smoking, alcohol consumption, physical activity, quality of life  
23 142 and quality of vision, history of any type of injuries and inter-personal violence, and health assessment  
24 143 questions.<sup>15</sup> All questions were taken from standardized interviews published in the literature, such as  
25 144 the “Center for Epidemiologic Studies Depression Scale (CES-D) Scoresheet” and the Folstein test.<sup>17-  
26 145 22</sup> The physical examinations consisted of the measurement of the anthropomorphic parameters,  
27 146 arterial blood pressure and pulse rate. Using blood samples taken under fasting conditions, we  
28 147 measured the serum concentrations of transaminases, bilirubin, blood lipids, glucose, creatinine,  
29 148 hemoglobin, and others and performed a blood cell count. We applied the Guidelines for Accurate  
30 149 and Transparent Health Estimates Reporting (GATHER statement guidelines).<sup>23</sup> The Ural Very Old  
31 150 Study design was similar to the design of the Ural Eye and Medical Study (UEMS) which has been  
32 151 described in detail previously.<sup>15</sup>

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35 152 Besides other ocular examinations, we measured best corrected visual acuity (BCVA),  
36 153 expressed in LogMAR (logarithm of the minimal angle of resolution) and determined the ocular axial  
37 154 length by sonography. Using the World Health Organization (WHO) criteria, we defined moderate to  
38 155 severe vision impairment (MSVI) as BCVA of  $<6/18$  but  $\geq 3/60$  in the better eye or binocularly, and  
39 156 blindness as BCVA of  $<3/60$  in the better eye or binocularly.

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42 157 Hearing loss was assessed by a series of 11 standardized questions, ten of which were  
43 158 derived from the “Hearing Handicap Inventory for the Elderly Screening Version (HHIE-S)”.<sup>24-27</sup> The  
44 159 prevalence of self-reported hearing loss as a binary variable was assessed by the single question “Do  
45 160 you experience a hearing loss?”. The questions could be answered by “no” (0 points), “sometimes” (2  
46 161 points) and “yes (4 points). The total hearing loss score was the sum of the points of all questions of  
47 162 the questionnaire and could range between 0 points and 44 points. The amount of hearing loss was  
48 163 assessed by the hearing loss score. The HHIE-S had been applied in previous investigations.<sup>24-26</sup>  
49 164 The diagnostic performance of the HHIE-S against five definitions of hearing loss as assessed by  
50 165 pure-tone audiometry had been explored in a previous study revealing sensitivities ranging between  
51 166 53% and 72% and specificities from 70 to 84%, depending on the definition of hearing loss.<sup>28</sup> Based



## 6 Vision and Hearing Impairment and Dementia in a Very Old Population

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3 167 on the WHO hearing impairment grading system, we defined mild hearing impairment (“No problems  
4 168 in quiet but may have real difficulty following conversation in noise”) by a hearing loss score of 11 to  
5 169 17; moderate hearing impairment (“May have difficulty in quiet hearing a normal voice and has  
6 170 difficulty with conversation in noise”) by a hearing loss score of 18 to 24; moderately severe hearing  
7 171 impairment (“Needs loud speech to hear in quiet and has great difficulty in noise) by a hearing loss  
8 172 score of 25 to 31; severe hearing impairment (“In quiet, can hear loud speech directly in one’s ear,  
9 173 and, in noise, has very great difficulty.”) by a hearing loss score of 32-38; and profound hearing  
10 174 impairment (“Unable to hear and understand even a shouted voice whether in quiet or noise”) by a  
11 175 hearing score of 39 to 44.<sup>28,29</sup> We defined dual sensory impairment as MSVI/blindness combined with  
12 176 moderately severe or more severe hearing impairment (grade 3+). Cognitive function was assessed  
13 177 using the Mini-Mental Status Examination scale.<sup>19</sup>

14 178 Using a statistical software package (SPSS for Windows, version 25.0, SPSS, Chicago, IL),  
15 179 we determined the demographic characteristics of the study population (presented as mean  $\pm$   
16 180 standard deviation) and assessed the prevalence of MSVI/blindness, hearing impairment and dual  
17 181 sensory impairment (presented as mean and 95% confidence intervals (CIs)). We performed a  
18 182 regression analysis as univariate analysis with the cognitive function score as dependent variable,  
19 183 followed by a multivariable analysis that included as independent variables all those parameters which  
20 184 were significantly associated with the cognitive function score in the univariate analysis. Finally, we  
21 185 conducted a binary regression analysis of the relationships between the prevalence of cognitive  
22 186 dysfunction, vision impairment, hearing impairment and dual sensory impairment. We calculated the  
23 187 standardized regression coefficient beta, the non-standardized regression coefficient B, odds ratios  
24 188 (ORs) and the 95% CIs. All P-values were two-sided and considered statistically significant when the  
25 189 values were less than 0.05.

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## 191 Patient and Public Involvement

192 Patients or the public were not involved in the design, or conduct, or reporting, or dissemination plans  
193 of our research.

194

195

196 **Results**

197 Out of 1526 individuals primarily participating in the Ural Very Old Study, the present investigation  
198 included 731 (47.9%) individuals (530 (72.5%) women; 201 (27.5%) men) for whom measurements  
199 and data of BCVA, hearing loss and cognitive function were available (Table 1, 2). The individuals  
200 with assessment of vision loss, hearing loss and cognitive function as compared with the individuals  
201 without these examinations did not vary significantly in age ( $88.1 \pm 2.7$  years versus  $88.5 \pm 3.0$  years;  
202  $P=0.10$ ), level of education ( $4.6 \pm 2.1$  versus  $4.4 \pm 2.1$ ;  $P=0.08$ ), sex ( $P=0.10$ ) and ethnic background  
203 (Russian versus non-Russian) ( $P=0.06$ ).

204 Out of the 731 study participants, 342 (46.8%;95%CI:43.2,50.4) individuals fulfilled the  
205 definition of MSVI, and 37 individuals (5.1%;95%CI:3.5,6.7) fulfilled the definition of blindness in the  
206 better eye or under binocular conditions. The combined prevalence of MSVI and blindness was  
207 51.8% (95%CI:48.2,55.5). The mean hearing loss score was  $19.5 \pm 15.4$  (median:22;range:0-44). Out

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of the 731 study participants, 291 (39.8%) had a normal hearing score, 55 (7.5%) had mild hearing impairment (grade 1), 143 (19.6%) individuals had moderate hearing impairment (grade 2), 66 (9.0%) persons had moderately severe hearing impairment (grade 3), 58 individuals (7.9%) had severe hearing impairment (grade 4), and 118 (16.1%) had profound hearing impairment (grade 5). Dual sensory impairment, defined as MSVI/blindness combined with moderately severe hearing impairment grade 3+ was present in 150 (20.5%;95%CI:17.8,23.5) individuals.

The mean cognitive function score obtained in the Mini Mental test was  $22.2 \pm 6.4$  (median: 24;range: 0-30). Stratified by the category of cognitive dysfunction, 399 individuals had a cognitive ranging between 24 to 30, 162 participants had a score ranging between 19 and 23, for 137 individuals the score ranged between 10, and 18 and 33 participants had a score of less than 10 (Table 3). In univariate analysis, a higher cognitive score was associated with younger age ( $P < 0.001$ ), urban region of habitation ( $P < 0.001$ ), higher level of education ( $P < 0.001$ ), lower hearing loss score ( $P < 0.001$ ), higher body mass index ( $P = 0.002$ ), longer waist ( $P < 0.001$ ) and hip ( $P = 0.003$ ) circumference, higher prevalence of alcohol consumption ( $P = 0.02$ ), higher number of meals taken daily ( $P < 0.001$ ), higher number of days per week with fruit intake ( $P < 0.001$ ), higher serum concentration of triglycerides ( $P = 0.02$ ), urea ( $P = 0.03$ ), higher leucocytes blood cell count ( $P = 0.02$ ), lower diastolic blood pressure ( $P = 0.005$ ), lower depression score ( $P < 0.001$ ), and a lower State Trait anxiety score ( $P < 0.001$ ), and with the ocular parameters of better BCVA ( $P < 0.001$ ), longer ocular axial length ( $P = 0.04$ ) and lower prevalence of dry eye ( $P = 0.02$ ). It was not significantly associated with sex ( $P = 0.15$ ), Russian versus non-Russian ethnicity ( $P = 0.20$ ), body height ( $P = 0.07$ ), body weight ( $P = 0.09$ ), waist-hip circumference ratio ( $P = 0.09$ ), current smoking ( $P = 0.56$ ), systolic ( $P = 0.75$ ) and mean ( $P = 0.15$ ) blood pressure, prevalence of arterial hypertension ( $P = 0.11$ ), serum concentration of glucose ( $P = 0.78$ ), creatinine ( $P = 0.48$ ), hemoglobin ( $P = 0.19$ ), and erythrocyte count ( $P = 0.22$ ), and with the ocular parameter of refractive error ( $P = 0.80$ ).

In multivariable analysis, we first dropped due to collinearity the parameter of the anxiety score (variance inflation factor: 4.9). Due to lack of statistical significance, we then dropped the parameters of prevalence of alcohol consumption ( $P = 0.96$ ), number of days with fruit intake ( $P = 0.77$ ), dry eye prevalence ( $P = 0.82$ ), leucocytes blood cell count ( $P = 0.78$ ), waist circumference ( $P = 0.80$ ), diastolic blood pressure ( $P = 0.65$ ), ocular axial length ( $P = 0.53$ ), number of meals taken daily ( $P = 0.15$ ), hip circumference ( $P = 0.42$ ), serum concentration of triglycerides ( $P = 0.05$ ), and body mass index ( $P = 0.05$ ). In the final model, higher cognitive function score was associated with younger age ( $P = 0.001$ ), urban region of habitation ( $P = 0.003$ ), higher level of education ( $P < 0.001$ ), lower BCVA ( $P < 0.001$ ), higher hearing loss score ( $P = 0.03$ ), and higher depression score ( $P < 0.001$ ) (Table 4). If the BCVA and hearing loss score were replaced by the prevalence of dual sensory impairment, a lower prevalence of the latter was associated with a higher cognitive function score (beta: -0.11; B: -1.70; 95%CI: -2.66, -0.74;  $P = 0.001$ ).

In a reverse manner, a higher prevalence of MSVI was associated with a lower cognitive function score (OR: 0.93; 95%CI: 0.90, 0.97;  $P = 0.001$ ), after adjusting for older age (OR: 1.20; 95%CI: 1.10, 1.30;  $P < 0.001$ ), higher mean arterial blood pressure (OR: 1.02; 95%CI: 1.001, 1.03;  $P = 0.04$ ), longer axial length (OR: 1.27; 95%CI: 1.04, 1.55;  $P = 0.02$ ), and lower prevalence of previous cataract surgery (OR: 0.46; 95%CI: 0.30, 0.70;  $P < 0.001$ ). A higher prevalence of hearing loss (grade 3+)

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249 correlated with lower cognitive function score (OR: 0.95; 95%CI: 0.92, 0.97;  $P<0.001$ ) after adjusting  
250 for older age (OR: 1.08; 95%CI: 1.01, 1.14;  $P=0.02$ ) and higher depression score (OR: 1.02; 95%CI:  
251 1.01, 1.04;  $P=0.01$ ). In multivariable analysis, a higher prevalence of dual sensory impairment was  
252 associated with a lower cognitive function score (OR:0.94;95%CI:0.91,0.98; $P=0.001$ ), after adjusting  
253 for older age (OR:1.16;95%CI:1.08,1.24; $P<0.001$ ), rural region of habitation  
254 (OR:2.32;95%CI:1.51,3.56,  $P<0.001$ ), and higher depression score  
255 (OR:1.03;95%CI:1.01,1.06; $P<0.002$ ). In that model, the prevalence of dual sensory impairment was  
256 not significantly associated with sex ( $P=0.08$ ). If the depression score was dropped, the association  
257 with a higher anxiety score became significant (OR: 1.03; 95CI: 1.01, 1.05;  $P=0.001$ ).

258 If cognitive dysfunction was defined by Mini Mental test score of  $<24$ , 332  
259 (45.4%;95%CI:41.8,49.0) study participants fulfilled the definition. A higher prevalence of cognitive  
260 dysfunction was associated (univariate analysis) with a higher hearing loss grade  
261 (OR:1.13;95%CI:1.08,1.27; $P<0.001$ ), with a higher prevalence of hearing loss grade 3+  
262 (OR:2.18;95%CI:1.59,2.98), with a higher prevalence of MSVI / blindness  
263 (OR:2.09;95%CI:1.55,2.81; $P<0.001$ ), and with a higher prevalence of a dual sensory impairment  
264 (OR:2.80;95%CI:1.92,4.07; $P<0.001$ ).

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266

## 267 Discussion

268 In our ethnically mixed study population with an age of 85+ years from Bashkortostan/Russia, the  
269 prevalence of MSVI/blindness, moderately severe hearing loss and dual sensory impairment were  
270 51.8%, 33.1% and 20.5%, respectively. In multivariable analysis, a higher prevalence of all three  
271 variables was associated with a lower cognitive function score and higher cognitive dysfunction  
272 prevalence. After adjusting for age, region of habitation, educational level and depression score, a  
273 lower cognitive function score was associated with worse BCVA and a higher hearing loss score. As a  
274 corollary, the risk for cognitive dysfunction increased by 2.18 for the presence of moderately severe or  
275 more advanced hearing loss, by 2.09 for the presence of MSVI/blindness, and by 2.80 for the  
276 presence of dual sensory impairment.

277 The findings made in our study on a population aged 85+ years cannot directly be compared  
278 with the observations made in many previous studies, since previous investigations usually did not  
279 include a sufficient number of participants in that age category, and since hearing impairment, vision  
280 impairment and cognitive dysfunction have rarely been assessed together. In their study on the  
281 prevalence of dual sensory impairment and its relationship with dementia in community-dwelling  
282 Medicare beneficiaries, Kuo and colleagues found an 1.9-fold, 1.1-fold, and 2.0-fold increase in the  
283 cross-sectional hazard of dementia for self-reported functional vision impairment, hearing impairment,  
284 and dual sensory impairment, respectively.<sup>12</sup> Despite differences in the assessment of sensory  
285 impairment (self-reported versus measurements), study design (nationally representative sample of  
286 Medicare beneficiaries aged 65+ years versus population-based recruitment of 85+ years old) and  
287 study region (US versus urban and rural Russia), the figures reported by Kuo and associates are  
288 similar to those found in our study, with a higher cross-sectional risk of dementia for the presence of  
289 dual sensory impairment as compared to the presence of vision impairment or hearing impairment

## 9 Vision and Hearing Impairment and Dementia in a Very Old Population

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3 290 taken separately. Kuo and colleagues additionally observed that sensory impairment was associated  
4 291 with an increased incidence of dementia during over 7-years follow-up. The results of our study also  
5 292 agree with other investigations, such as a longitudinal study of older US adults from the Health and  
6 293 Retirement Study which reported higher hazards of incident dementia for individuals with self-reported  
7 294 visual impairment, hearing impairment and dual sensory impairment as compared to individuals  
8 295 without such impairments.<sup>30-33</sup> In the study conducted by Hwang and colleagues, functional dual  
9 296 sensory impairment as compared to vision impairment or hearing impairment alone was stronger  
10 297 associated with all-cause dementia during a follow-up of 8 years in a group of highly educated and  
11 298 mostly White elderly adults.<sup>31</sup> In the English Longitudinal Study of Aging, individuals with poor and  
12 299 moderate self-reported hearing were had a 57% and 39% higher hazard of incident dementia during a  
13 300 follow-up of 9 years, respectively.<sup>32</sup> The finding of a concurrence of vision impairment and cognitive  
14 301 impairment concurs also with the results of precent meta-analyses.<sup>34,35</sup>

15 302 A reason for the association between impairment in vision and hearing and cognitive  
16 303 dysfunction may be a sensory impairment-related reduction in external stimuli for cognitive activities,  
17 304 in addition to an increased risk of social isolation, depression, and reduced physical activity.<sup>36-38</sup> All  
18 305 these factors have been known to increase the risk for cognitive dysfunction and dementia.<sup>3</sup> Another  
19 306 reason may be an increase in cognitive load in individuals with sensory impairments since more  
20 307 cognitive resources may be needed for the support of the visual and hearing function. It may lead to a  
21 308 lack of remaining resources for cognitive tasks.<sup>38-40</sup> One of the reasons for a higher risk of cognitive  
22 309 dysfunction for dual sensory impairment as compared to vision impairment or hearing impairment  
23 310 alone could be that individuals with hearing impairment tend to perform lip reading what depends on  
24 311 sufficient vision. In addition, individuals with dual sensory impairment have a limited ability to  
25 312 compensate for a single sensory impairment by employing functioning of an unimpaired sensory  
26 313 system. Besides these causal relationships, other factors leading to the co-occurrence of sensory  
27 314 impairment and cognitive dysfunction could be a common mechanism, such as microvascular  
28 315 changes, leading to sensory impairment and cognitive dysfunction, and the possibility of a sensory  
29 316 impairment as a sequel of cognitive dysfunction, such as in the situation of patients with cognitive  
30 317 dysfunction and cataract, who may not have the means, support or willingness for cataract surgery to  
31 318 be performed.

32 319 Assuming an at least partially causative relationship between sensory impairment and  
33 320 cognitive dysfunction, any improvement in vision or hearing impairment by providing correcting  
34 321 glasses and hearing aids and performing cataract surgery could be meaningful.<sup>41-44</sup> To cite an  
35 322 example, the pilot study of the Aging and Cognitive Health Evaluation in Elders trial suggested a  
36 323 slowing of memory decline by treatment of hearing impairment.<sup>42</sup> Another example may be providing  
37 324 simple reading glasses. In the population-based Beijing Eye Study, higher cognitive function was  
38 325 associated with a lower amount of undercorrection of refractive error after adjusting for younger age,  
39 326 rural region of habitation, educational level, occupation, depression score, BCVA and history of  
40 327 cardiovascular disorder.<sup>45</sup> Correspondingly, individuals wearing glasses for correction of their  
41 328 refractive error as compared to subjects without glasses showed a significantly higher cognitive score.  
42 329 These results also fit with observations made in a study by Rogers and Langa, who reported that in an  
43 330 8.5 years follow-up study poor vision at baseline was associated with incident dementia.<sup>46</sup> Simple,

## 10 Vision and Hearing Impairment and Dementia in a Very Old Population

331 cheap treatment of refractive errors by providing adequate eyeglasses may not only increase the  
332 quality of life, but may potentially also provide cost-effective prophylaxis of cognitive dysfunction and  
333 dementia

334 The reason for the association between a higher cognitive function score and urban region of  
335 habitation may be the higher level of education in the cities and other lifestyle-associated parameters.  
336 Policy implications of our findings may be, among others, to further increase the frequency of cataract  
337 surgeries in Russia, to provide best correcting glasses to correct refractive errors including presbyopic  
338 refractive error, to provide hearing aids to address hearing loss, and to prevent hearing loss by  
339 adequate protective measures at the working place and in daily life.

340 The limitations of our study have to be considered. First, we did not measure presenting  
341 visual acuity, so that we could not assess the prevalence of undercorrection of refractive error.  
342 Second, the participation rate in our study was 47.9%, a figure considerably lower than those for other  
343 population-based studies. It may have introduced a selection bias, in particular since individuals with  
344 marked dementia could not participate in the study. In view of the relatively high age of 85+ years as  
345 inclusion criterion, the study may give, however, some information about the prevalence of vision and  
346 hearing impairment and their combined occurrence in that age group. In addition, the main goal of our  
347 study was not to examine the prevalence of vision and hearing impairment but their relationship with  
348 cognitive function. Third, we did not phonometrically measure hearing impairment, but the study  
349 participants underwent an interview with standardized questions about their subjective hearing  
350 capacity. The validity of these questions of the Hearing Handicap Inventory for the Elderly Screening  
351 Version (HHIE-S) had been assessed in previous investigations.<sup>19-21</sup> Fourth, our study had a cross-  
352 sectional design so that cross-sectional associations could, however, longitudinal cause-effect  
353 relationships could not be explored. Fifth, the study could not include those individuals with an  
354 advanced stage of dementia which did not allow taking part in the interview and in the examinations.  
355 Strengths of our study were that it was the first population-based study on the prevalence of dual  
356 sensory impairment as well as their relationship with cognitive function in the age group of 85+ years  
357 with a relatively large study sample size, and the inclusion of a multitude of systemic parameters.

358 In conclusion, in this very old multi-ethnic population from Bashkortostan/Russia, vision  
359 impairment, hearing impairment and dual sensory impairment as combination of both were relatively  
360 common and were associated with cognitive dysfunction. Assuming a causal relationship, providing  
361 hearing aids, and providing glasses for distant and reading vision and cataract surgery, may  
362 potentially be measures to reduce the impact of cognitive dysfunction by reducing some of its risk  
363 factors.

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365  
366 a. Contributorship statement: Design of the study: MMK, GMK, JBJ; Examination of participants:  
367 MMB, GMK, EMR, IAR, AAF, AMT, NIB, KRS, AVG, IPP, DFY, NEB, NAN; Examination of clinical  
368 images and data collection: MMB, GMK, EMR, IAR, AAF, AMT, SPJ, NIB, KRS, AVG, IPP, DFY, NEB,  
369 NAN, JBJ; Statistical analysis: SPJ, JBJ; Funding: MMK, JBJ; Writing the first draft: SPJ, JBJ,  
370 Approval of final draft: MMB, GMK, EMR, IAR, AAF, AMT, SPJ, NIB, KRS, AVG, IPP, DFY, NEB,  
371 NAN, JBJ.

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2  
3 372 b. Competing interests: None  
4 373 c. Funding: None  
5  
6 374 d. Data sharing statement: The data will be shared upon reasonable request.  
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## 13 Vision and Hearing Impairment and Dementia in a Very Old Population

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## 14 Vision and Hearing Impairment and Dementia in a Very Old Population

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## 15 Vision and Hearing Impairment and Dementia in a Very Old Population

487 Table 1

488 Characteristics of the participants of the Ural Very Old Study

	Mean ± standard deviation
Age (years)	88.1 ± 2.7 (median: 87; range: 85-98)
Men / women	201 (27.5%) / 530 (72.5%)
Ethnicity	
Russian	251 (34.3%)
Tartars	334 (45.7%)
Bashkirs	83 (11.4%)
Chuvash,	25 (3.4%)
Mari	5 (0.7%)
Others	33 (4.5%)
Level of education	
Illiterate	23 (3.1%)
Passed the 5 <sup>th</sup> class	133 (18.2%)
Passed the 8 <sup>th</sup> class	159 (21.8%)
Passed the 10 <sup>th</sup> class	29 (4.0%)
Passed the 11 <sup>th</sup> class	15 (2.1%)
Specialized secondary education	172 (23.5%)
Graduates	194 (26.5%)
Postgraduates	4 (0.5%)
Family type	
Living in a joint family	124 (17.0%)
Living in a nuclear family	77 (10.5%)
Living alone	266 (36.4%)
Living together with another family member	261 (35.7%)
Family status	
Married	170 (23.3%)
Unmarried	16 (2.2%)
Divorced	13 (1.8%)
Widowed	531 (72.6%)

## 16 Vision and Hearing Impairment and Dementia in a Very Old Population

491 Table 2

492 Anthropometric data (mean  $\pm$  standard deviation; median, range; 95% confidence intervals (CI)) of the  
 493 participants of the Ural Very Old Study

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	Total study population	Men	Women
n	731	201	530
Body height (cm)	158 $\pm$ 9 (158; 105-180)	166 $\pm$ 7 (167; 140-180)	154 $\pm$ 8 (154; 105, 177)
Body weight (kg)	65.9 $\pm$ 11.3; 66.0: 31.8-103	70.6 $\pm$ 9.2 (70.4; 43.8-92.7)	64.0 $\pm$ 11.6 (63.4; 31.8-103.0)
Body mass index (kg/m <sup>2</sup> )	26.5 $\pm$ 4.5; 25.8; 14.7-59.0	25.6 $\pm$ 2.9 (25.6; 17.1-35.0)	26.9 $\pm$ 5.0 (26.0; 14.7-59.0)
Systolic blood pressure	156.9 $\pm$ 26.4 (155; 91-237)	149.6 $\pm$ 23.9 (150; 04, 213)	159.6 $\pm$ 26.8 (159; 921, 237)
Diastolic blood pressure	79.6 $\pm$ 13.9 (79; 25-177)	76.0 $\pm$ 12.6 (76; 44-119)	80.9 $\pm$ 14.2 (80; 25-177)
Arterial hypertension (stage 1+), prevalence	87.0%; 95%CI:84.5,89.4	79.4% (95%CI: 73.7, 85.1)	89.8% (95%CI: 87.3, 92.5)
Diabetes mellitus, prevalence	13.8%; 95% CI: 11.3, 16.4	12.5% (95%CI: 7.8, 17.2)	14.3% (95%CI: 11.3, 17.4)

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## 17 Vision and Hearing Impairment and Dementia in a Very Old Population

499 Table 3

500 Demographic data of the study population stratified by the category of cognitive dysfunction

501

Cognitive function score	n	Age (years)	Men / women	Urban / rural region of habitation	Level of education
24-30	399	87.7 ± 2.6	120/379	335 / 64	5.3 ± 1.9
19-23	162	87.9 ± 2.3	40 / 122	119 / 43	4.2 ± 2.0
10-18	137	89.1 ± 3.1	34 / 103	84 / 53	3.6 ± 1.9
<10	33	89.8 ± 3.1	7 / 26	17 / 16	3.4 ± 1.8

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## 18 Vision and Hearing Impairment and Dementia in a Very Old Population

505 Table 4  
 506 Associations (multivariable analysis) between the cognitive function score assessed in the mini-mental  
 507 test and other parameters  
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	Standardized regression coefficient	Non-standardized regression coefficient B	95% Confidence Interval of B	P-Value	Variation inflation factor
Age (years)	-0.11	-0.25	-0.39, -0.11	0.001	1.13
Region of habitation (rural / urban) (reference: rural region)	0.10	1.42	0.47, 2.37	0.003	1.28
Level of education (0-5)	0.24	0.71	0.51, 0.90	<0.001	1.25
Depression score	-0.38	-0.22	-0.26, -0.19	<0.001	1.05
Best corrected visual acuity (LogMAR)	-0.15	-1.55	-2.22, -0.88	<0.001	1.17
Hearing loss score	-0.07	-0.03	-0.05, -0.002	0.03	1.10

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## STROBE Statement—checklist of items that should be included in reports of observational studies

	Item No	Recommendation	Page No
<b>Title and abstract</b>	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	1, 3
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	3
<b>Introduction</b>			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	4
Objectives	3	State specific objectives, including any prespecified hypotheses	4
<b>Methods</b>			
Study design	4	Present key elements of study design early in the paper	4,5
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	4, 5
Participants	6	(a) <i>Cohort study</i> —Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up <i>Case-control study</i> —Give the eligibility criteria, and the sources and methods of case ascertainment and control selection. Give the rationale for the choice of cases and controls <i>Cross-sectional study</i> —Give the eligibility criteria, and the sources and methods of selection of participants	4,5
		(b) <i>Cohort study</i> —For matched studies, give matching criteria and number of exposed and unexposed <i>Case-control study</i> —For matched studies, give matching criteria and the number of controls per case	
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	4,5
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	4,5
Bias	9	Describe any efforts to address potential sources of bias	4,5
Study size	10	Explain how the study size was arrived at	
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	5
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	5
		(b) Describe any methods used to examine subgroups and interactions	5, 6
		(c) Explain how missing data were addressed	
		(d) <i>Cohort study</i> —If applicable, explain how loss to follow-up was addressed <i>Case-control study</i> —If applicable, explain how matching of cases and controls was addressed <i>Cross-sectional study</i> —If applicable, describe analytical methods taking account of sampling strategy	
		(e) Describe any sensitivity analyses	

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60**Results**

Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	6
		(b) Give reasons for non-participation at each stage	6
		(c) Consider use of a flow diagram	
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	6
		(b) Indicate number of participants with missing data for each variable of interest	
		(c) <i>Cohort study</i> —Summarise follow-up time (eg, average and total amount)	--
Outcome data	15*	<i>Cohort study</i> —Report numbers of outcome events or summary measures over time	6
		<i>Case-control study</i> —Report numbers in each exposure category, or summary measures of exposure	6
		<i>Cross-sectional study</i> —Report numbers of outcome events or summary measures	6
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	6
		(b) Report category boundaries when continuous variables were categorized	
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	6, 7

**Discussion**

Key results	18	Summarise key results with reference to study objectives	9
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	9, 10
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	9
Generalisability	21	Discuss the generalisability (external validity) of the study results	9

**Other information**

Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	1
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\*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

**Note:** An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at [www.strobe-statement.org](http://www.strobe-statement.org).