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# BMJ Open

## Cause-specific years of life lost before and after the 2011 disaster in Fukushima

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# Title

Cause-specific years of life lost before and after the 2011 disaster in Fukushima

## Correspondence

Kyoko Ono, PhD.

Research Institute of Science for Safety and Sustainability, National Institute of Advanced Industrial Science and Technology, 16-1 Onogawa, Tsukuba, Ibaraki 305-8569, Japan

Tel: +81-29-861-4854

Fax: +81-29-861-8411

E-mail: [kyoko.ono@aist.go.jp](mailto:kyoko.ono@aist.go.jp)

ORCID iD: 0000-0001-8100-3905

## Authors

Kyoko Ono<sup>1)</sup>, Michio Murakami<sup>2)</sup>, Masaharu Tsubokura<sup>3), 4)</sup>

## Affiliations

1) Research Institute of Science for Safety and Sustainability, National Institute of Advanced Industrial Science and Technology, 16-1 Onogawa, Tsukuba, Ibaraki 305-8569, Japan

2) Department of Health Risk Communication, Fukushima Medical University School of Medicine, Fukushima City, Fukushima 960-1295, Japan

3) Department of Radiation Health Management, Fukushima Medical University School of Medicine, Fukushima City, Fukushima 960-1295, Japan

4) Research Center for Community Health, Minamisoma Municipal General Hospital, Minamisoma City, Fukushima 975-0033, Japan

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**Abstract**

**Objectives**

This study aimed to determine cause-specific years of life lost (YLL) changes between pre- and post-disaster in disaster-affected municipalities, compared with the national average. We estimated the YLL in Soma and Minamisoma cities (the subject area) in Fukushima, Japan, where the tsunami and the nuclear accident hit in 2011.

**Participants**

We used vital registration records from a national survey conducted between January 2006 and December 2015. we analyzed 6369 data points in the pre-disaster period 2006–2010 and 6258 data points in the post-disaster period (2011–2015).

**Methods**

We incorporated vital statistics data as follows: age-, sex-, and ICD-10-based cause-specific deaths and calculated YLLs by age (0, 40, 65, and 75 years) and sex for attributable causes of death for heart diseases, cerebrovascular diseases, pneumonia, all cancers, and specific cancers; breast cancer, colorectal cancer, leukemia, lung cancer, stomach cancer, and uterine cancer for pre-disaster and post-disaster in the subject area.

**Results**

YLL attributed to heart diseases for males showed no decrease and was larger than that of the national average, however, for females at age 0, it decreased in 0.37 (95% uncertainty interval: 0.18–0.57) years after the disaster. YLL decrease in cerebrovascular diseases at age 0 was 0.27 (0.09–0.44) years and 0.18 (0.04–0.32) years for males and females, respectively; however, these were still larger than those for the national average. YLL attributed to cancer did not increase even after the nuclear disaster.

**Conclusions**

We specified the causes of death to be reduced in disaster-affected areas in the future. This study emphasizes the importance of understanding how the health situation changed for the whole society of the area from a comprehensive perspective, rather than focusing only on small mortality increases.

**Strength and Limitations**

- We estimated cause-specific YLL of disaster-affected areas as a difference between the pre- and post-disaster period, compared with the national average.

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4 67 ● The analysis will facilitate prioritization for local health control policy and better resource  
5 68 allocation and can be useful to assess the performance of the medical (or societal) measures  
6 69 that the municipal, prefectural, or national government emphasized before the disaster.  
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8 70 ● Causes of death with a small number were excluded from the analysis due to the lower  
9 71 plausibility of the result.  
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11 72 ● The appropriate population size could not be fully examined for municipal-level analysis  
12 73 due to scarce previous studies to compare validity of the study.  
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**INTRODUCTION**

The Great East Japan Earthquake in March 2011, followed by the tsunami and the nuclear accident, affected people living in the eastern Tohoku area (i.e., Iwate, Miyagi, and Fukushima prefectures). In the disaster-affected area of Fukushima, residents faced various changes in the medical environment and their lifestyles due to mandatory or voluntary evacuation. Mass evacuation strained essential health services and infrastructure and disrupted social capital and networks due to the disaster.[1]

A comprehensive viewpoint is required to examine the aftermath of a disaster. The National Academy of Sciences mentions in the context of resilience science that it is necessary to focus not only on the negative changes but also on the positive changes that occur after a disaster.[2] Irrespective of the adverse situation, life expectancy (LE) in Japan has increased even after a big disaster.[3] Years of life lost (YLL) due to major causes of death decreased in 2010 as compared to 2015 in Japan,[4,5] and Fukushima prefecture is no exception.[6] However, it is not clear whether this YLL decrease is common in disaster-affected municipalities. Furthermore, the reasons for the LE increase and the decrease in the YLLs are unclear in such municipalities.

Here, we used cause-specific death analyses to determine the precise reason(s) for the YLL decreases that changed between pre- and post-disaster at the municipal level. There is no comprehensive analysis on the quantitative magnitude of impact for these health outcomes, although many medical case reports are available that feature disaster-affected areas in Fukushima, and consider populations affected by lifestyle diseases,[7,8] including diabetes mellitus,[9] cardiovascular disease,[10] or reports on cancer patient delay,[11,12] elderly people [13,14] or evacuees due to the disaster.[15]

The aim of this study is to determine YLLs at disaster-affected area, by age and sex and identified the causes of death that could be attributed to it, compared to the Japanese national average. We selected Soma and Minamisoma cities in Fukushima Prefecture for our investigation. These two cities were hit by multiple disasters, that is, tsunamis (followed by physical damage) and nuclear accidents (followed by low-level radiation exposure). In these cities, the entire area was not affected, but a part of it was affected. To the best of our knowledge, there is no report on the burden of disease or YLL calculation at the community level (such as city, town, and village) in Japan, regardless of whether the disaster affected the area.

**MATERIALS AND METHODS**

**Data**

We obtained vital statistics and population data to calculate mortality rates by age in Soma City

and Minamisoma City (hereinafter referred to as the subject area) in Fukushima from 2006 to 2015. The subject area is located around 10-45 km north of the Fukushima Daiichi Nuclear Power Station (Figure S1) and was severely affected by the disaster. More than 1000 residents of these cities died from direct injuries caused by earthquakes and tsunamis.[1] To compare the subject area with the Japanese national average, we obtained vital statistics and population data from the national statistics.

#### Mortality and population in the subject area

We used vital registration records from a national survey conducted between January 2006 and December 2015 (pre-disaster: 2006–2010; post-disaster: 2011–2015). The Ministry of Health, Labor and Welfare (MHLW) approved the secondary use of vital registration records in compliance with the Statistics Act. Data acquisition and use for this study were approved by the Ethics Board of Fukushima Medical University (approval number: 30272). Patients and the public were not involved in any way in this study.

Table 1. Age- and sex-specific counts of direct and other death in the pre- and post-disaster period in the subject area

Age	Males			Females		
	Death other than direct death		Direct death in March 2011	Death other than direct death		Direct death in March 2011
	Pre-disaster period*	Post-disaster period*		Pre-disaster period	Post-disaster period	
<b>0–9</b>	16	5	18	12	4	13
<b>10–19</b>	7	6	20	4	5	28
<b>20–29</b>	19	24	20	11	6	17
<b>30–39</b>	35	17	30	24	10	21
<b>40–49</b>	77	51	38	39	18	33
<b>50–59</b>	239	157	71	111	80	68
<b>60–69</b>	464	517	102	181	197	92
<b>70–79</b>	1016	777	130	555	443	154
<b>80–89</b>	1070	1267	88	1229	1249	115
<b>90–99</b>	389	397	7	791	935	24
<b>100+</b>	12	17	0	68	76	2

\* Pre-disaster period: 2006–2010, Post-disaster period: 2011–2015.

The data were provided together with age, sex, date of death, and cause of death as per the International Classification of Diseases and Health-Related Problems, 10<sup>th</sup> Revision (ICD-10) for the subject area. The total number of data points was 13718 (in the pre-disaster period; n = 6369 and in the post-disaster period; n = 7349). Moreover, we excluded 1091 deaths in 2011 as direct deaths because this study focused on the effects of death other than direct deaths. Direct death was defined according to a previous study.[1] Table 1 shows the counts of deaths other



than direct death and direct death by age and sex. As a result, we analyzed 12627 data points (in the pre-disaster period; n = 6369 and in the post-disaster period; n = 6258. The proportion of women in these periods was 47.4% and 48.3%, respectively). To investigate the indirect health effects of the disaster, we compared the YLL of post-disaster with pre-disaster period after excluding direct deaths. The age classification of the mortality data was based on age. We obtained the annual average mortality and its standard deviation for each age group.

Population data from 2006 to 2015 were obtained from the Basic Resident Registers, the nationwide resident-registry network maintained by the municipality unit (city/town/village). We used population numbers as of 30<sup>th</sup> September or 1<sup>st</sup> October for each year for further analyses. We unified data for Soma City and Minamisoma City as one population and averaged the annual population both in the pre-disaster period (2006–2010) and in the post-disaster period (2011–2015) and obtained the 5-year average and standard deviation for both the populations and crude mortality rates, respectively.

Mortality and population data of the Japanese Age-, sex-, and ICD-10-based cause-specific mortality data were obtained from the Japanese Statistics [16] in 2010 and 2015, respectively. Age- and sex-specific population data for the Japanese population were obtained from Japanese statistics [17,18] in 2010 and 2015, respectively.

**Mortality rate and cause-specific YLL calculation**

For the subject area, mortality rates were calculated as 5-year averages (i.e., 2006–2010 and 2011–2015) based on the data shown in Table 1. The national average was calculated for a single year (2010 and 2015) based on the mortality data for the Japanese population. The rationale and methodological details of YLL calculation are shown in the Supplemental Material.

The method to obtain the mortality rate of ages 1 to 94 years was modified from method described by the MHLW,[19,20] and that of age 0 and more than 95 years was estimated based on method and parameters described by the MHLW.[19,20] LEs were obtained by life table analysis using the age-specific mortality rates for both the subject area and the national average. YLL was obtained at ages 0, 40, 65 and 75 years. We focused on elderly people aged 65 and 75 years because Japan is a super-aging society; hence, it would be important to distinguish diseases occurring both for younger people and for elderly people. [3]

We analyzed the following causes of death: heart diseases (ICD10: I00–59, i.e., stroke and coronary heart diseases), cerebrovascular diseases (I60–69), pneumonia (J10–19), and all

cancers (C00–97). All cancers were specifically analyzed for the following types: breast (C50, females only), colorectal (C18–C20), leukemia (C90–C95), lung (C33–C34), stomach (C16), and uterine (C53–C55, females only).

#### YLL sensitivity analysis in the subject area

For the subject area, we performed sensitivity analysis in addition to the point estimates of the YLLs. The uncertainty interval (UI) was estimated for the sensitivity analysis as follows.

We observed annual variations in both population and crude mortality rate. Therefore, we assumed a normal distribution for these variations. Further, the Monte Carlo simulation was conducted by random number generation based on the 5-year-average and standard deviation for both the populations and crude mortality rates at age 0–94 years. Oracle Crystal Ball ver.11.1. was used for Monte Carlo simulation. We used two-sided truncated normal distributions for crude mortality rates to avoid random selection of crude mortality rates of less than 0. Thus, the distributions were set as symmetrical around the average, with the lower limit being 0 and the upper limit being 2 times the average. The Excel add-in “NTTRUNCNORMINV” function in NtRand Ver 3.3.0 [21] was combined with Monte Carlo simulation. Sampling was performed according to the Latin hypercube method, and the number of trials was set to 10000 times. Random numbers were generated for all causes of death and each specific cause of death separately, and the calculation of YLL was conducted at each trial. At age 0 and at ages over 95 years, we assumed no distribution for the force of mortalities.

We performed an additional Monte Carlo simulation with the condition that the mortality rate  $q$  was less than 0 (no truncated option) for validation. The change in the median was about 3% for the value of YLL, although it was unclear whether the truncated assumption increased or decreased the median. The range of the UI was broadened. It was confirmed that the conditions with and without the truncated option did not significantly affect the result.

## RESULTS

### Cause-specific YLL for the subject area and the national average

Validation of the calculation method at LE at birth ( $LE_0$ )  
LEs at birth ( $LE_0$ s) for the subject area were validated with official values calculated by the MHLW for Soma and Minamisoma cities separately.[22,23]  $LE_0$ s were officially reported by the MHLW for the Japanese national using complete life tables;[12] thus, we used these values to validate our estimates of  $LE_0$ s. As shown in Table 2, our estimates of  $LE_0$  were reasonably comparable for both the national average and the subject area, and small discrepancies were observed with the values obtained from the MHLW. The  $LE_0$  increased after the disaster, which

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213 showed the same trend as that for the national average and the subject area.

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215 Table 2. Life expectancy at birth (LE<sub>0</sub>) based on calculated value and reported value for

216 validation of the calculation method.

	Males		Females		Reference
	2010*	2015*	2010*	2015*	
The subject area, calculated *	78.27	79.67	85.00	86.29	This study [22,23]
The subject area, reported by MHLW #	78.78	80.84	85.97	86.12	
National-calculated	79.57	80.76	86.04	86.70	This study [3]
National-reported by MHLW	79.55	80.75	86.33	86.99	

217 \*: For the subject area, the calculated periods were 2006–2010 and 2011–2015 instead of 2010

218 and 2015, respectively.

219 #: Population-weighted average for Soma and Minamisoma cities.

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221 Attributable YLLs for the subject area and the national average for heart diseases,

222 cerebrovascular diseases, pneumonia, and cancer are shown (Figure 1a-h). Hereinafter, we refer

223 to YLL at age 0 when we discuss YLL difference on the subject area and national average or at

224 pre- and post-disaster. YLL decreased in the following order: cancer > heart disease >

225 cerebrovascular disease > pneumonia, and this order was common for the subject area and the

226 national average.

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228 Similar to that found for heart diseases and cerebrovascular disease, YLLs for the subject area

229 increased than those for the national average for each age category and both sexes. The YLLs

230 of cancer for the subject area were shorter than the national average.

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232 Differences in YLL pre- and post-disaster were calculated (Figure S3a-h). For the national

233 average, a difference was shown as a point-estimate value, and a value of more than 0 indicated

234 post-disaster YLL improvement. For the subject area, a difference was observed with a value

235 with a UI. If the UI did not include 0, there was a significant difference in YLL between pre-

236 and post-disaster. YLLs decreased after the disaster for both the national average and the subject

237 area. This is commonly observed for males and females; however, the tendency of YLL

238 decrease was different between sexes. Few characteristics were observed to be specific to the

239 subject area. In contrast, statistically significant post-disaster YLL increases were not observed

240 for any of the causes of death.

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242 YLL attributed to heart diseases showed no decrease in males after the disaster. In contrast, for

243 females, it decreased after the disaster. The difference was 0.37 (95% UI: 0.18–0.57) years at

244 age 0 (Figure S3e), and the differences at ages 40 and 65 were 0.35 (0.16–0.55) and 0.26 (0.09–

245 0.44) years, respectively (Figures S3f and S3g) . These results showed an apparent improvement

246 for heart diseases in females.

Similar to that found for cerebrovascular disease, YLL at age 0 decreased in 0.27 (0.09–0.44) years for males (Figure S3a) and 0.18 (0.04–0.32) years for females (Figure S3e), respectively, for the subject area after the disaster, and statistically significant YLL decreases were observed at ages 40, 65 and 75 years for both sexes. However, the YLLs for the subject area post-disaster increased than those for the national average.

For pneumonia, the YLL in the subject area was comparable to that of the national average. YLL due to pneumonia in males at age 0 decreased in the post-disaster period (Figure S3a) but did not decrease in females (Figure S3e).

YLL attributed to cancer was the longest among the four causes of death, even at the age of 75 years. The YLL due to all cancers showed little change after the disaster in both males and females, but YLL in the subject area was less than the national average.

Figure 2a-h shows the YLL breakdown for specific cancer types. Similar to stomach cancer (male), leukemia (female), the YLL for the subject area increased than that for the national average found pre-disaster. The YLLs due to lung cancer for both sexes pre-disaster, and females post-disaster, were smaller than that for the national average. Although the difference between pre- and post-disaster was small due to a small number of deaths due to these cancers, significant YLL decreases were observed for stomach cancer (males), breast cancer, and leukemia (females). The YLL differences of those at age 0 were 0.15 (0.02–0.29) years (Figure S3a), and 0.12 (0.00–0.24) and 0.14 (0.07–0.23) years at age 0 (Figure S3e), respectively. The YLL differences between pre- and post-disaster for breast cancer and leukemia (females) were larger than those for the national average while YLL decreases in the national average were hardly observed.

## DISCUSSION

We compared the cause-specific YLLs of a disaster-affected area in pre- and post-disaster periods with that of the national average. To the best of our knowledge, there is no comprehensive analysis of the magnitude of impact among several health outcomes at the municipal level in a disaster-affected area. Studies have discussed YLL in Fukushima prefecture [6] and age-adjusted mortality ratio in the subject area;[1,24] however, our study provided YLL changes by cause of death and sex.

Our YLL estimates were based on the actual number of deaths in the region of interest; thus, the estimates were robust and realistic. Moreover, YLL estimates were more objective than disability-adjusted life year (DALY) estimates because DALY estimates might require

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3 285 controversial processes of setting parameters, such as severity weights or durations of  
4 286 disability.[25] However, our analysis could not consider health outcomes other than death, such  
5 287 as the deterioration of quality of life (QoL). Another advantage of YLL is its versatile  
6 288 applicability for any age category in the region of interest. Thus, this index would provide health  
7 289 planners and policymakers at both the national and specific areas, more refined tools to adapt  
8 290 local public health initiatives to meet the health needs of local populations by age  
9 291 categories.[26]  
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15 293 We focused on four prominent causes of death as follows: heart disease, cerebrovascular disease,  
16 294 pneumonia, and all cancers, and four (for males) and six (for females) specific major cancers.  
17 295 The primary finding of our study is that the LE increased after the disaster for few causes of  
18 296 death. YLL decreased after the disaster for heart diseases (females), cerebrovascular disease  
19 297 (both sexes), pneumonia (males), breast cancer (females), leukemia (female), and stomach  
20 298 cancer (males). The extent of YLL decrease is larger in the subject area than the national  
21 299 average for heart diseases (females at ages 0 and 40 years), pneumonia (males aged 65 and 75  
22 300 years), and breast cancer (females at age 0), and leukemia (females at age 0).  
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29 302 This study emphasizes the importance of understanding how the health situation changed or  
30 303 how YLL has decreased for the whole society in disaster-affected areas, rather than focusing  
31 304 only on small mortality increases caused by radiation exposure, which was at statistically  
32 305 undetectable levels. Importantly, YLL attributed to cancer did not increase even after the  
33 306 nuclear disaster, irrespective of the concern about radiation exposure. The increase in radiation  
34 307 exposure due to nuclear accidents was limited in Fukushima, and cancer incidence related to  
35 308 radiation exposure from the nuclear accident, including thyroid cancer, has not been  
36 309 documented.[27] Furthermore, lifestyle changes due to the disaster did not seem to bring about  
37 310 an apparent increase in death. This might be because various medical countermeasures were  
38 311 implemented in the subject area. In contrast, an increase in the prevalence of lifestyle diseases  
39 312 has been reported in Fukushima.[28] The appearance of outcomes, such as death, derived from  
40 313 radiation exposure or lifestyle diseases, would be delayed after a long time. In this context, YLL  
41 314 estimates helped express how the health situation changed comprehensively. Residents in the  
42 315 disaster-affected area experienced various kinds of damage, such as physical, medical, and  
43 316 mental damage, not only by radiation exposure. Therefore, an evaluation index that includes  
44 317 multiple viewpoints is effective. YLL is suitable at this point, and QoL is also suitable.  
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54 319 Two reasons can explain the decrease in YLL post-disaster. One is the direct effect of  
55 320 earthquakes, tsunamis, and aftermath, which might cause the premature death of people with  
56 321 chronic health problems. However, we observed both an apparent decrease in YLL and little  
57 322 change in YLL in chronic diseases. The extent of YLL changes differed according to the cause  
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of death and by sex. Thus, premature death caused by the earthquake and tsunami for people with chronic health problems would explain only a part of the YLL decrease. For additional analysis, we calculated the YLL post-disaster separately for two periods. One is for 2011, i.e. “disordered period” of just one year after the disaster and 2012–15 i.e. “recovered period” (Tables S1a and S1b). Focusing on the causes of death that had a  $\pm 0.3$  years difference in YLL between 2011 and 2012–2015, we observed a YLL increase due to heart disease in males and a YLL decrease due to pneumonia in males. This means that the extent of YLL changes differed by cause of death and sex.

Elongation of LE (or decrease of YLL) is not explained only by elderly people’s death because LE is calculated only from age-specific mortality rates. The other aspect to be considered is whether medical intervention or medical measures are in effect. The decrease in YLL could be due to both the medical measures taken before the disaster, which takes time to show an effect, and the measures taken after the disaster. The former is, for example, smoking cessation to prevent cancer or controlling salt intake to prevent cerebrovascular diseases. The latter is, for example, improving cancer screening and medical treatment techniques. This might be partly explained by the reduction of mortality in line with the application of new technologies or improved management of diseases such as all cancers.[29]

There are many reasons for the decrease in YLL in the subject area. YLL decrease for heart diseases (females) and cerebrovascular disease (both sexes) could be due to improved medical treatment techniques, or the implementation of countermeasures by the municipal or prefectural government. YLL decrease in cancers [specifically, breast cancer (females), leukemia (females), and stomach cancer (males)] may be due to improvements in the municipal mass-screening system of cancers, or changes in the medical care system in the subject area.

Although these improvements were observed, YLLs for certain causes of death were longer than the national average, such as heart diseases (males) and cerebrovascular disease (both sexes). Residences in the Tohoku area, including Fukushima Prefecture, have a high prevalence of heart disease and cerebrovascular disease. This may be caused due to local eating habits such as a diet with high salt content and a shortage of exercise due to high motorization rates, which are common in the Tohoku area. In addition to these conditions, the disaster might worsen the situation in Fukushima. Thus, medical or societal measures to reduce death should be intensively studied. Possible measures would be to improve habits for preventing lifestyle diseases or close societal relationships to strengthen communication among residents.

In future, YLL estimation can be performed for the seashore area (Hamadori) or the entire Fukushima prefecture, where no evacuation area is included, for comparison purposes. The

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Hamadori includes mandatory evacuation areas, where the whole municipality was relocated to another place due to precautionary protection from high radiation doses. Residences have been experiencing drastic changes in their living status, such as repeated evacuation or living in temporary housing. They might have been facing more challenging conditions than those in the subject area of this study. The high degree of physical inactivity or lack of communication among residents may accelerate this challenging condition. Furthermore, relocation might affect access to hospitals or medical facilities. Our study could not consider these characteristics, and it would be important to compare YLL differences and changes between pre- and post-disaster in these areas.

This study has some methodological limitations. The first is the uncertainty of the death data. Although death records have a universal, robust definition of cause of death (ICD-10), it has the possibility of being misclassified and incomplete, particularly in the aging population.[30] Second, we could not determine whether the populations and numbers of deaths in the data we used were sufficiently large in the subject area. We might discuss the appropriate population size for municipal-level analysis. We excluded causes of death with a small number from the analysis due to the lower plausibility of the result, and this might lead to an arbitrary selection of causes of death. Furthermore, the population data we used included the number of residents who moved their registrations outside the subject area, which might bring uncertainty.

Although some technical limitations remain, this analysis, which clarifies the causes of death that can be reduced and could lead to decreased YLLs and improved public health in that area, and will facilitate prioritization for local health control policy and better resource allocation. The results can be useful to assess the performance of the medical (or societal) measures that the municipal, prefectural, or national government emphasized before the disaster.

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Competing Interest

The authors declare no conflicts of interest associated with this manuscript.

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Contributions

Conceptualization: KO, MM, and MT

399 Data curation: KO, MM, and MT

400 Formal analysis: KO, MM

401 Funding acquisition: MT

402 Investigation: KO, MM, and MT

403 Methodology: KO and MM

404 Visualization: KO

405 Writing (original draft): KO

406 Writing (review and editing): KO, MM, and MT

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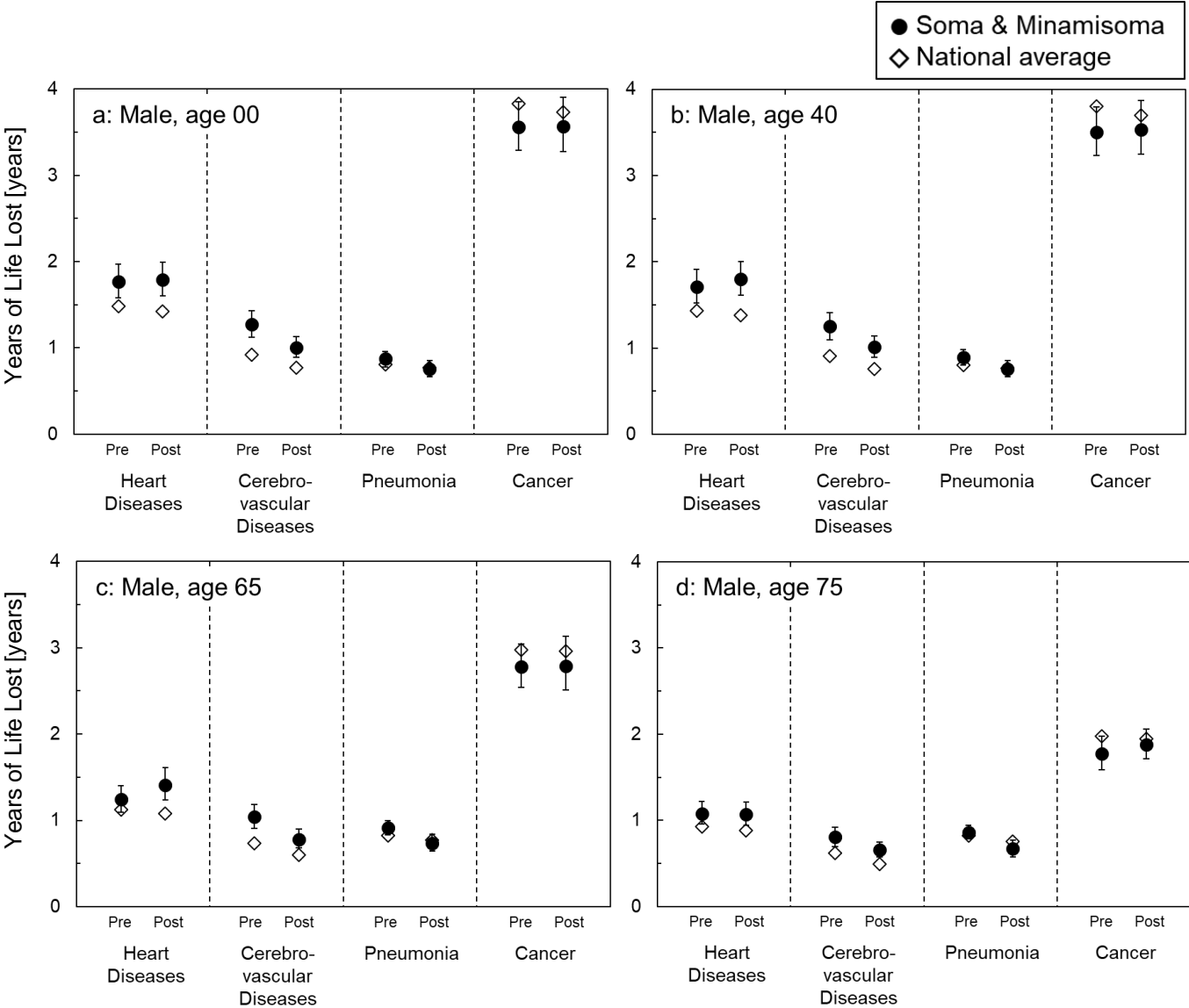
Figure legends

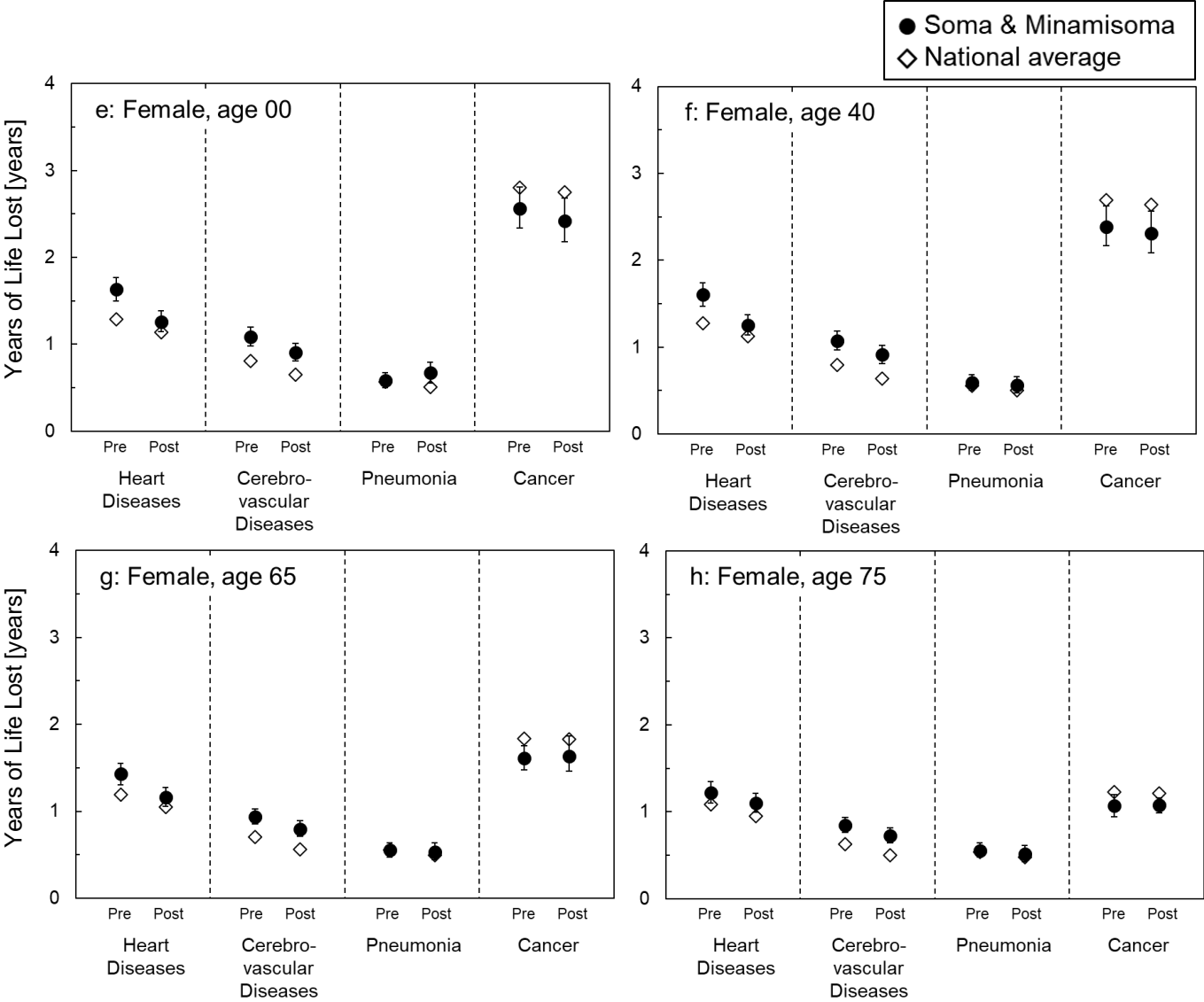
Figure 1a-d. YLLs due to heart disease, cerebrovascular disease, pneumonia, and cancer before and after the disaster (Males). For the subject area (Soma and Minamisoma cities), the error bar indicates the 95% uncertainty interval (95% UI) of the estimate.

Figure 1e-h. YLLs due to heart disease, cerebrovascular disease, pneumonia, and cancer before and after the disaster (Females). For the subject area (Soma and Minamisoma cities), the error bar indicates the 95% UI of the estimate.

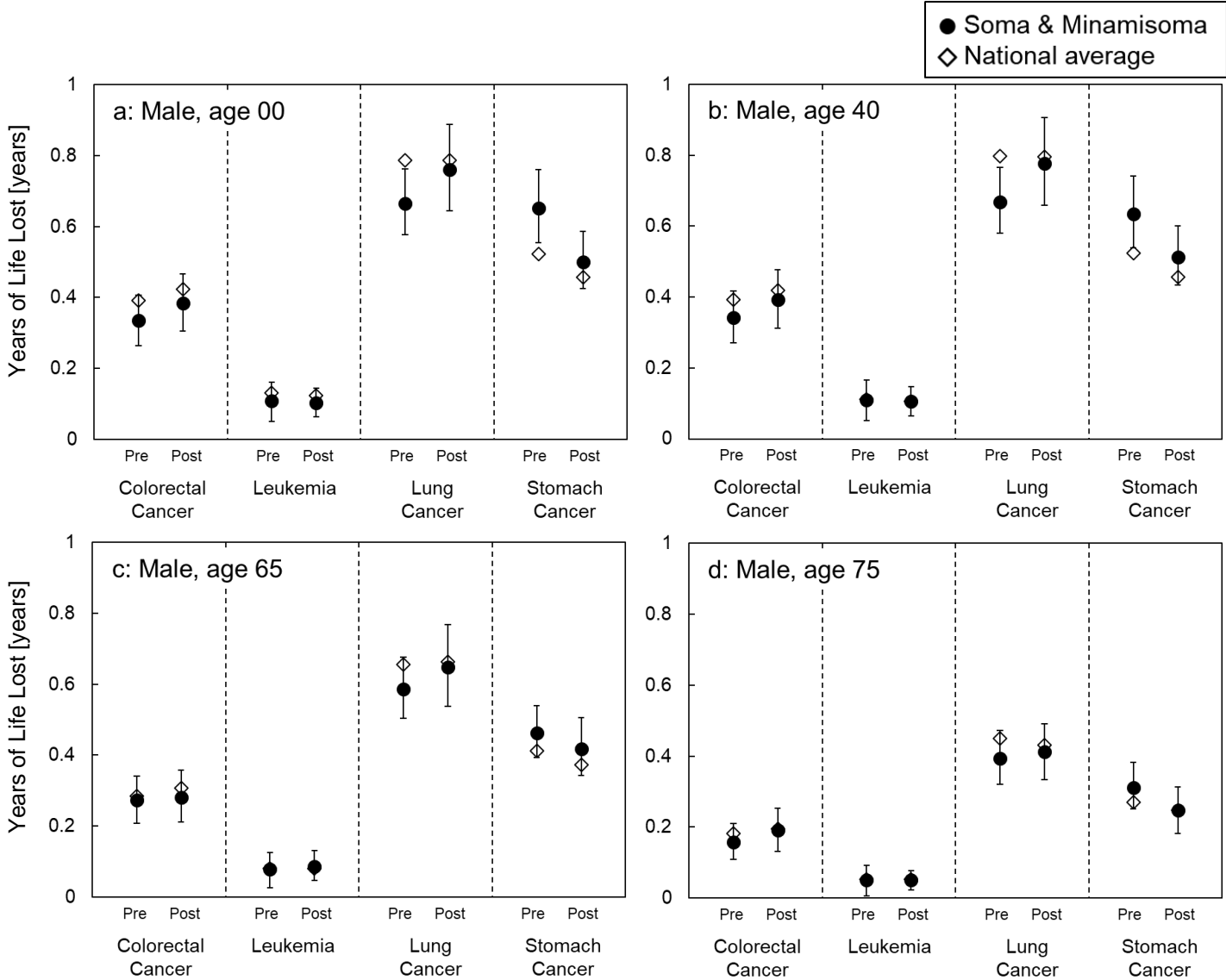
Figure 2a-d. YLLs due to specific cancers (Males: colorectal cancer, leukemia, lung cancer, and stomach cancer). For the subject area (Soma and Minamisoma cities), the error bar indicates the 95% uncertainty interval (95% UI) of the estimate.

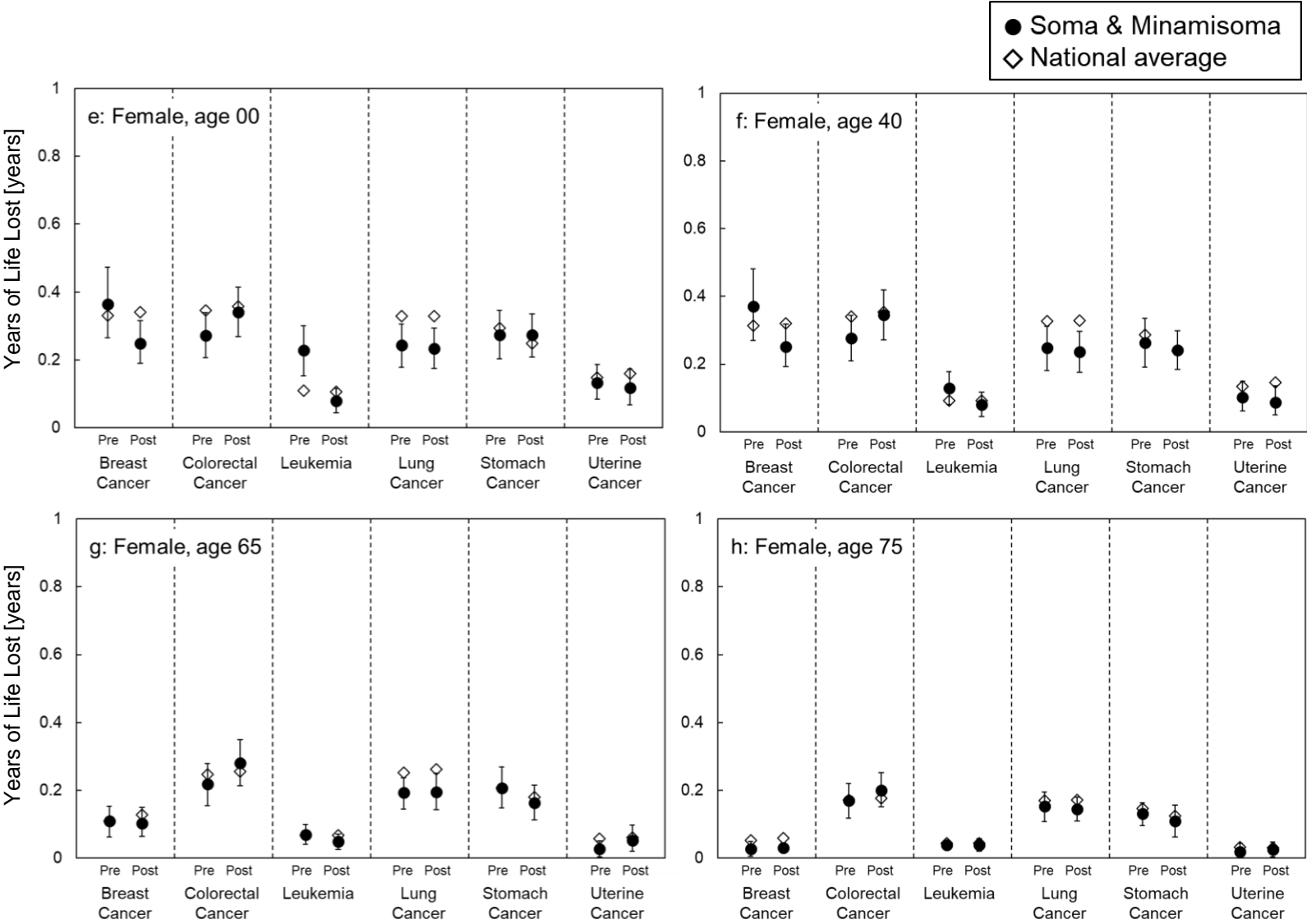
Figure 2e-h. YLLs due to specific cancers (Females: breast cancer, colorectal cancer, leukemia, lung cancer, stomach cancer, and uterine cancer). For the subject area (Soma and Minamisoma cities), the error bar indicates the 95% UI of the estimate.





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## Supplemental Material

### Title

Cause-specific years of life lost before and after the 2011 disaster in Fukushima

### Authors

Kyoko Ono<sup>1)</sup>, Michio Murakami<sup>2)</sup>, Masaharu Tsubokura<sup>3), 4)</sup>

### Affiliations

1) Research Institute of Science for Safety and Sustainability, National Institute of Advanced Industrial Science and Technology, 16-1 Onogawa, Tsukuba, Ibaraki 305-8569, Japan

2) Department of Health Risk Communication, Fukushima Medical University School of Medicine, Fukushima City, Fukushima 960-1295, Japan

3) Department of Radiation Health Management, Fukushima Medical University School of Medicine, Fukushima City, Fukushima 960-1295, Japan

4) Research Center for Community Health, Minamisoma Municipal General Hospital, Minamisoma City, Fukushima 975-0033, Japan

### Correspondence

Kyoko ONO, PhD.

Research Institute of Science for Safety and Sustainability, National Institute of Advanced Industrial Science and Technology, 16-1 Onogawa, Tsukuba, Ibaraki 305-8569, Japan

Tel: +81-29-861-4854

Fax: +81-29-861-8411

E-mail: [kyoko.ono@aist.go.jp](mailto:kyoko.ono@aist.go.jp)

ORCID iD: 0000-0001-8100-3905



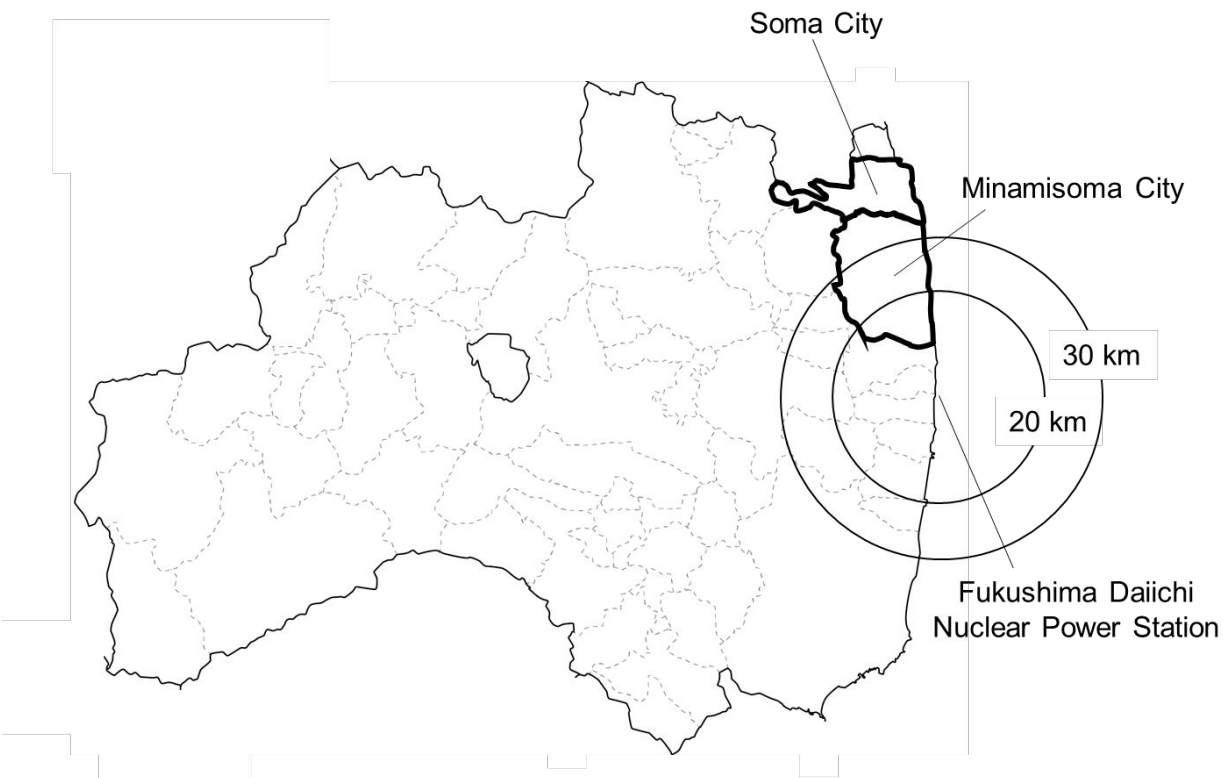


Figure S1. Location of Soma City and Minamisoma City.

## MATERIALS AND METHODS

### Rationale of calculation for life expectancy (LE) and years of life lost (YLL)

Life expectancy (LE) is an index of the health status of a cohort, which is calculated from the age-specific mortality of a specific cohort over a given period using the life table method. This measure emphasizes the impact of deaths occurring in younger age groups compared to the relative risk or hazard of mortality.[1] YLL is the difference in LE between a cohort with a specific cause of death and for the cohort in which the cause of death was eliminated. YLL is a population outcome of social health. For example, the Global Burden of Disease studies [2] adopted the YLL as an index of regional health.

LE can be calculated from the age-specific mortality rates (life table analysis). Using the death data and population data shown above, we conducted a life-table analysis for the subject area and the national average of Japan, respectively. The life table consists of the mortality rate, number of surviving population  $l$ , number of deaths  $d$ , and total survival time of population  $T$ .

A conceptual diagram of the YLL is shown in Figure S2. A detailed explanation of the calculation of LE and YLL has been provided elsewhere.[3] Generally, an LE at age  $x$  is the value of how long a person survives on average in the population after age  $x$ . Survival at age  $x$  is described by the mortality rate at age  $x$ . LE can be obtained by dividing the total survival time of the population.

$$T_x = \int_x^{\infty} l_t dt \quad (\text{eq. 1})$$

Here,  $T_x$  [unit: person-years] is the total survival time of the population after age  $x$  by the population  $l_x$  at age  $x$ . LE at age  $x$ ;  $e_x$  [unit: years] is obtained as

$$e_x = \frac{T_x}{l_x} \quad (\text{eq. 2})$$

$YLL_x$  was defined as the difference of  $e_x$  between a risk event ( $e'_x$ ) and without a risk event ( $e_x$ ) at age  $x$ :

$$YLL_x = e_x - e'_x \quad (\text{eq. 3})$$

YLL can be estimated for any risk event that causes additional mortality. YLL can be estimated for any population if the survival probabilities are available for the population.

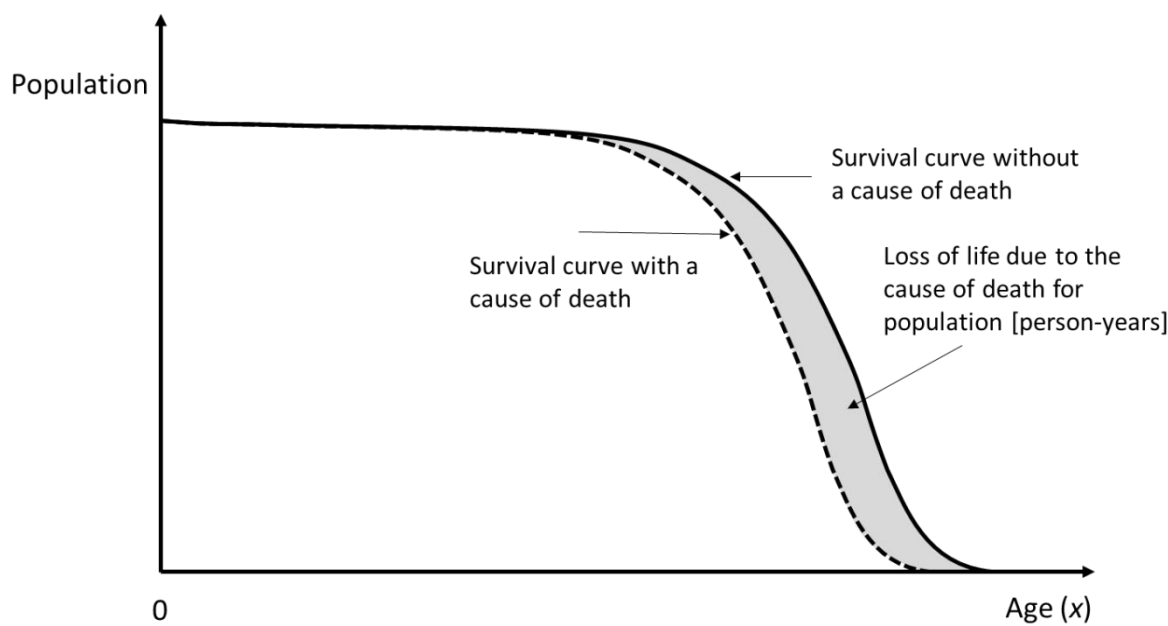


Figure S2. Conceptual diagram of survival curve and loss of life years.

**Mortality rate**

We obtained the mortality rate of patients aged 1–94 years using the following concept. Based on the basics of human demographics that normalized the mortality rate of age, which is the ratio of the number of deaths at the age of  $x$  in an arbitrary year to the number of population (survivals) at the age of  $x$  in the middle of the year. In the formula,

$$q_x = \frac{d_x}{N_x + \frac{d_x}{2}} \quad (\text{eq. 4})$$

where  $q_x$  is the mortality rate at age  $x$ . If death occurs at a constant rate, the number of population at age  $x$  at the beginning of the observation period should be  $N_x + d_x/2$ . For the right side of (eq.4), divide both the numerator and denominator by  $N_x$  and replace  $d_x/N_x$  as  $m_x$ .

$$\frac{d_x}{N_x + \frac{d_x}{2}} = \frac{\frac{d_x}{N_x}}{\frac{N_x}{N_x} + \frac{d_x}{2 \times N_x}} \quad (\text{eq. 5})$$

$$q_x = \frac{m_x}{1 + \frac{m_x}{2}} \quad (\text{eq. 6})$$

where  $q_x$  is the mortality rate at age  $x$ , and  $m_x$  is the crude mortality rate at age  $x$ . Thus, we calculated  $q_x$  using (eq. 6) for further analyses. We calculated mortality rates at age  $x$  with risk events ( $q_x'$ ) in the same way using cause-specific death data.

The mortality rates at age 0 were adopted as national values for 2010 and 2015, respectively. Both were reported by the MHLW.[4,5] The birth data of the subject area did not include details on the month of birth or death for babies at age 0. Generally, the baby cohort has a large change

in mortality over a short period of time. Thus, monthly life table data should be used for these analyses, but we could not do so due to limited data availability at age 0. Therefore, we adopted national data to calculate  $q_0$  for the subject area. Although this assumption for the age 0 might cause a discrepancy in YLL because YLL weighs heavily on younger age, we assumed the discrepancy was negligible by using the national data instead of data of the subject area. At ages over 95 years, we used the force of mortality instead of  $q_x$ . This assumption is commonly used for national averages and subject areas. The force of mortality was based on Gompertz–Makeham coefficients obtained from the MHLW [6,7] because of the large annual variability of  $q$  in this age range because the number of deaths for the population is small. This assumption on mortality rates for the elderly, such as for an age over 95 years, has little effect on the calculated results of LE.

Life expectancies were calculated based on the cause of death (baseline) and without the cause of death on a life table. YLL, that is, the difference in life expectancies, was obtained at ages 0, 40, 65 and 75 years.

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Differences in YLL pre-disaster to YLL post-disaster (Figures S3a-h)

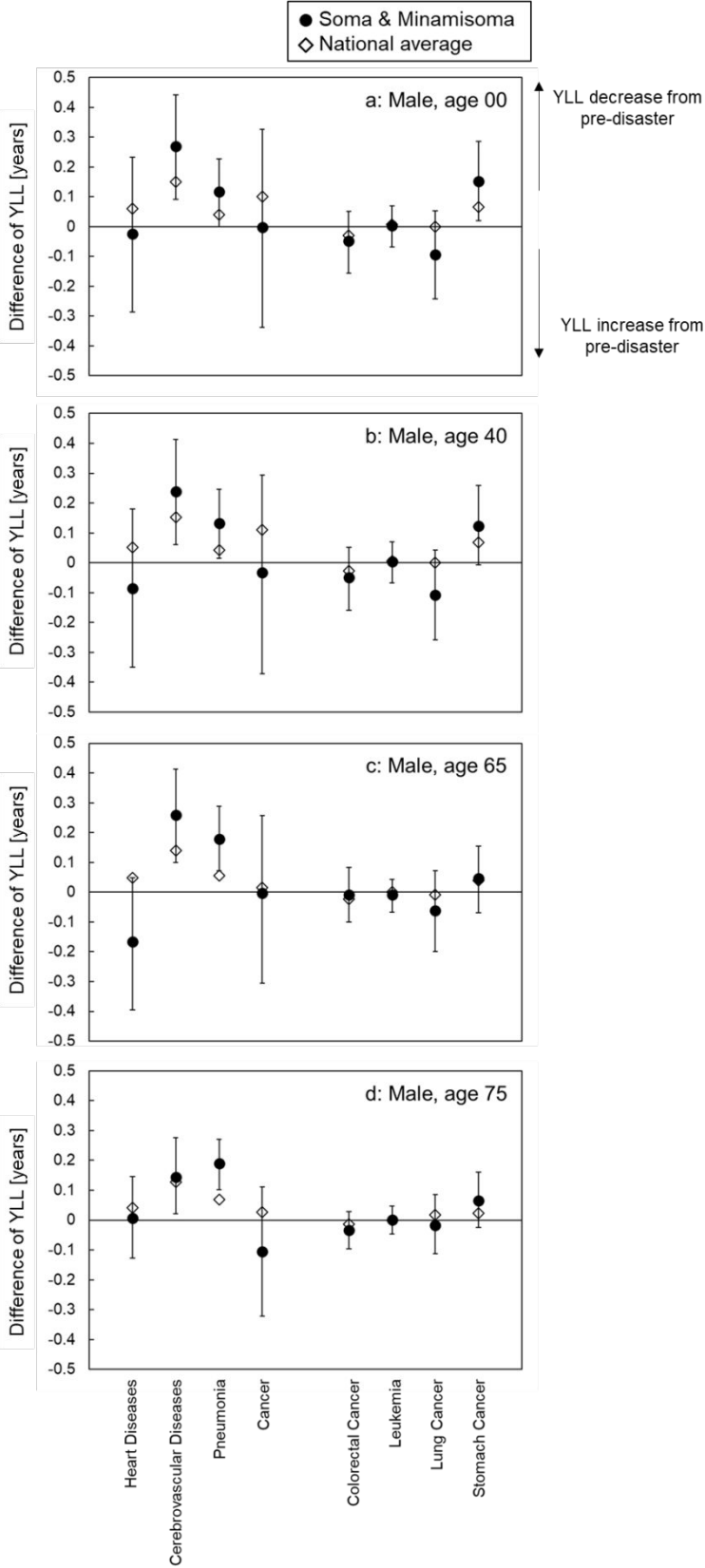


Figure S3a-d. Differences in YLL pre-disaster to YLL post-disaster (males). For the subject area (Soma and Minamisoma cities), the error bar indicates the 95% uncertainty interval of the estimate.

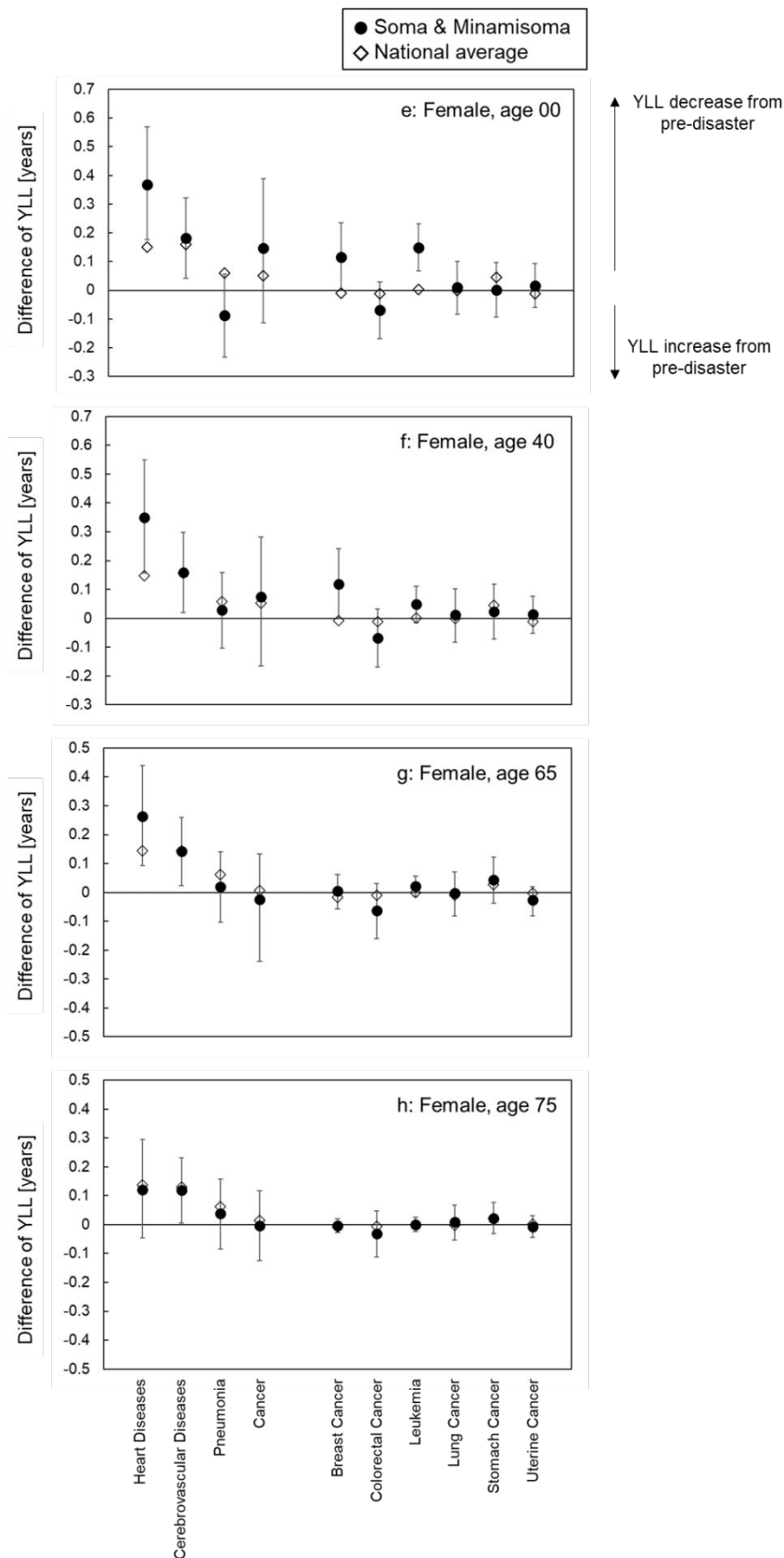


Figure S3e-h. Differences in YLL pre-disaster to YLL post-disaster (males). For the subject area (Soma and Minamisoma cities), the error bar indicates the 95% uncertainty interval of the estimate.

Analysis of YLL difference between the year of the disaster (2011) and after the year of the disaster (2012–2015) in the subject area

We calculated the YLL post-disaster separately for two periods, i.e. 2011 and 2012–2015 (Tables S1a and S1b). For YLL in 2011, we used population data and death records for a single year (2011) and calculated the values. Similar to that for YLL in 2012–2015, we used population data and death records for the four years and calculated the values. The UI of the estimation was not calculated. The mortality rate at age 0 followed the national values in 2015, both reported by the MHLW.[5] For ages over 95 years, we used the force of mortality instead of  $q_x$ . The force of mortality was based on the Gompertz–Makeham coefficients obtained from the MHLW.[7]

Table S1a. YLL at the year of the disaster (2011) and after the year of the disaster (2012–2015) [years]: Males

	Age 0 years		Age 40 years		Age 65 years		Age 75 years	
	2011	2012–2015	2011	2012–2015	2011	2012–2015	2011	2012–2015
Heart diseases	1.53	1.86	1.57	1.86	1.37	1.41	1.00	1.10
Cerebrovascular diseases	1.08	0.98	1.05	1.00	0.84	0.76	0.77	0.64
Pneumonia	1.05	0.69	1.08	0.69	1.02	0.67	0.90	0.61
Cancer	3.24	3.62	3.19	3.60	2.26	2.90	1.65	1.95

Table S1b. YLL at the year of the disaster (2011) and after the year of the disaster (2012–2015) [years]: Females

	Age 0 years		Age 40 years		Age 65 years		Age 75 years	
	2011	2012–2015	2011	2012–2015	2011	2012–2015	2011	2012–2015
Heart diseases	1.33	1.24	1.33	1.22	1.28	1.12	1.22	1.06
Cerebrovascular diseases	0.87	0.91	0.88	0.92	0.68	0.82	0.70	0.73
Pneumonia	0.61	0.68	0.62	0.54	0.60	0.51	0.62	0.48
Cancer	2.26	2.44	2.11	2.34	1.43	1.67	0.86	1.13

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The RECORD statement – checklist of items, extended from the STROBE statement, that should be reported in observational studies using routinely collected health data.

	Item No.	STROBE items	Location in manuscript where items are reported	RECORD items	Location in manuscript where items are reported
Title and abstract					
	1	(a) Indicate the study’s design with a commonly used term in the title or the abstract (b) Provide in the abstract an informative and balanced summary of what was done and what was found	-	RECORD 1.1: The type of data used should be specified in the title or abstract. When possible, the name of the databases used should be included.  RECORD 1.2: If applicable, the geographic region and timeframe within which the study took place should be reported in the title or abstract.  RECORD 1.3: If linkage between databases was conducted for the study, this should be clearly stated in the title or abstract.PO	“Participants” in Abstract  Title “Objectives” in Abstract  NA
Introduction					
Background rationale	2	Explain the scientific background and rationale for the investigation being reported	-		
Objectives	3	State specific objectives, including any prespecified hypotheses	-		
Methods					
Study Design	4	Present key elements of study design early in the paper	-		
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	-		

Participants	6	<p>(a) <i>Cohort study</i> - Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up</p> <p><i>Case-control study</i> - Give the eligibility criteria, and the sources and methods of case ascertainment and control selection. Give the rationale for the choice of cases and controls</p> <p><i>Cross-sectional study</i> - Give the eligibility criteria, and the sources and methods of selection of participants</p> <p>(b) <i>Cohort study</i> - For matched studies, give matching criteria and number of exposed and unexposed</p> <p><i>Case-control study</i> - For matched studies, give matching criteria and the number of controls per case</p>	-	<p>RECORD 6.1: The methods of study population selection (such as codes or algorithms used to identify subjects) should be listed in detail. If this is not possible, an explanation should be provided.</p> <p>RECORD 6.2: Any validation studies of the codes or algorithms used to select the population should be referenced. If validation was conducted for this study and not published elsewhere, detailed methods and results should be provided.</p> <p>RECORD 6.3: If the study involved linkage of databases, consider use of a flow diagram or other graphical display to demonstrate the data linkage process, including the number of individuals with linked data at each stage.</p>	<p>Subsection “Mortality and population in the subject area” L.118-124, L.143-149</p> <p>L.205-</p> <p>NA</p>
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable.	-	RECORD 7.1: A complete list of codes and algorithms used to classify exposures, outcomes, confounders, and effect modifiers should be provided. If these cannot be reported, an explanation should be provided.	L.157- “Mortality rate” in Supplemental Material (L.67-)
Data sources/ measurement	8	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	-		

Bias	9	Describe any efforts to address potential sources of bias	-		
Study size	10	Explain how the study size was arrived at	-		
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen, and why	-		
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding (b) Describe any methods used to examine subgroups and interactions (c) Explain how missing data were addressed (d) <i>Cohort study</i> - If applicable, explain how loss to follow-up was addressed <i>Case-control study</i> - If applicable, explain how matching of cases and controls was addressed <i>Cross-sectional study</i> - If applicable, describe analytical methods taking account of sampling strategy (e) Describe any sensitivity analyses	-		
Data access and cleaning methods		..	-	RECORD 12.1: Authors should describe the extent to which the investigators had access to the database population used to create the study population.	L.118-124, L.143-149

				RECORD 12.2: Authors should provide information on the data cleaning methods used in the study.	L.130-141
Linkage		..		RECORD 12.3: State whether the study included person-level, institutional-level, or other data linkage across two or more databases. The methods of linkage and methods of linkage quality evaluation should be provided.	L.118-124, L.143-149
<b>Results</b>					
Participants	13	(a) Report the numbers of individuals at each stage of the study ( <i>e.g.</i> , numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed) (b) Give reasons for non-participation at each stage. (c) Consider use of a flow diagram	-	RECORD 13.1: Describe in detail the selection of the persons included in the study ( <i>i.e.</i> , study population selection) including filtering based on data quality, data availability and linkage. The selection of included persons can be described in the text and/or by means of the study flow diagram.	(L.118-124, L.143-149)
Descriptive data	14	(a) Give characteristics of study participants ( <i>e.g.</i> , demographic, clinical, social) and information on exposures and potential confounders (b) Indicate the number of participants with missing data for each variable of interest (c) <i>Cohort study</i> - summarise follow-up time ( <i>e.g.</i> , average and total amount)	-		
Outcome data	15	<i>Cohort study</i> - Report numbers of outcome events or summary measures over time <i>Case-control study</i> - Report numbers in each exposure	-		

		category, or summary measures of exposure <i>Cross-sectional study</i> - Report numbers of outcome events or summary measures			
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (e.g., 95% confidence interval). Make clear which confounders were adjusted for and why they were included (b) Report category boundaries when continuous variables were categorized (c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	-		
Other analyses	17	Report other analyses done—e.g., analyses of subgroups and interactions, and sensitivity analyses	-		(The results showed sensitivity analyses as well.)
<b>Discussion</b>					
Key results	18	Summarise key results with reference to study objectives	-		
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	-	RECORD 19.1: Discuss the implications of using data that were not created or collected to answer the specific research question(s). Include discussion of misclassification bias, unmeasured confounding, missing data, and changing eligibility over time, as they pertain to the study being reported.	L370-
Interpretation	20	Give a cautious overall interpretation of results considering objectives,	-		

		limitations, multiplicity of analyses, results from similar studies, and other relevant evidence			
Generalisability	21	Discuss the generalisability (external validity) of the study results	-		
<b>Other Information</b>					
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	-		
Accessibility of protocol, raw data, and programming code		..		RECORD 22.1: Authors should provide information on how to access any supplemental information such as the study protocol, raw data, or programming code.	Supplemental information will be downloaded at a designated site.

\*Reference: Benchimol EI, Smeeth L, Guttman A, Harron K, Moher D, Petersen I, Sørensen HT, von Elm E, Langan SM, the RECORD Working Committee. The REporting of studies Conducted using Observational Routinely-collected health Data (RECORD) Statement. *PLoS Medicine* 2015; in press.

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# BMJ Open

## Was there an improvement in the years of life lost (YLLs) for noncommunicable diseases in the Soma and Minamisoma Cities of Fukushima after the 2011 disaster?: A longitudinal study

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<b>Primary Subject Heading</b>:	Public health
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## 1 Title

2 Was there an improvement in the years of life lost (YLLs) for noncommunicable diseases in  
3 the Soma and Minamisoma Cities of Fukushima after the 2011 disaster?: A longitudinal study

## 4 Correspondence

5 Kyoko Ono, PhD.

6 Research Institute of Science for Safety and Sustainability, National Institute of Advanced  
7 Industrial Science and Technology, 16-1 Onogawa, Tsukuba, Ibaraki 305-8569, Japan

8 Tel: +81-29-861-4854

9 Fax: +81-29-861-8411

10 E-mail: [kyoko.ono@aist.go.jp](mailto:kyoko.ono@aist.go.jp)

11 ORCID iD: 0000-0001-8100-3905

## 12 Authors

13 Kyoko Ono<sup>1)</sup>, Michio Murakami<sup>2)</sup>, #, Masaharu Tsubokura<sup>3)</sup>, <sup>4)</sup>

## 14 Affiliations

15 1) Research Institute of Science for Safety and Sustainability, National Institute of Advanced  
16 Industrial Science and Technology, 16-1 Onogawa, Tsukuba, Ibaraki 305-8569, Japan

17 2) Department of Health Risk Communication, Fukushima Medical University School of  
18 Medicine, Fukushima City, Fukushima 960-1295, Japan

19 3) Department of Radiation Health Management, Fukushima Medical University School of  
20 Medicine, Fukushima City, Fukushima 960-1295, Japan

21 4) Research Center for Community Health, Minamisoma Municipal General Hospital,  
22 Minamisoma City, Fukushima 975-0033, Japan

23 # Center for Infectious Disease Education and Research, Osaka University, Suita City, Osaka,  
24 565-0871, Japan (current address)

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**Abstract**

**Objectives**

This study aimed to determine cause-specific years of life lost (YLL) changes between pre- and post-disaster in disaster-affected municipalities, compared with the national average. We estimated the YLL in Soma and Minamisoma cities (the subject area) in Fukushima, Japan, where the tsunami and the nuclear accident hit in 2011.

**Participants**

We used vital registration records from a national survey conducted between January 2006 and December 2015. We analyzed 6369 death data in the pre-disaster period 2006–2010 and 6258 death data in the post-disaster period (2011–2015).

**Methods**

We incorporated vital statistics data as follows: age-, sex-, and ICD-10-based cause-specific deaths and calculated YLLs by ages 0, 40, 65, and 75 and sex for attributable causes of death for heart diseases, cerebrovascular diseases, pneumonia, all cancers, and specific cancers; breast cancer, colorectal cancer, leukemia, lung cancer, stomach cancer, and uterine cancer for pre-disaster and post-disaster in the subject area.

**Results**

YLL attributed to heart diseases for males showed no decrease and was larger than that of the national average, however, for females at age 0, it decreased in 0.37 (95% uncertainty interval: 0.18–0.57) years after the disaster. YLL decrease in cerebrovascular diseases at age 0 was 0.27 (0.09–0.44) years and 0.18 (0.04–0.32) years for males and females, respectively; however, these were still larger than those for the national average. YLL attributed to cancer did not increase even after the nuclear disaster.

**Conclusions**

We specified the causes of death to be reduced in disaster-affected areas in the future. This study emphasizes the importance of understanding how the health situation changed for the whole society of the area from a comprehensive perspective, rather than focusing only on small mortality increases.

## Strength and Limitations

- We estimated cause-specific YLL of disaster-affected areas as a difference between the pre- and post-disaster period, compared with the national average.
- The analysis will facilitate prioritization for local health control policy and better resource allocation and can be useful to assess the performance of the medical (or societal) measures that the municipal, prefectural, or national government emphasized before the disaster.
- Causes of death with a small number could not be examined due to the lower plausibility of the result.
- The appropriate population size could not be fully examined for municipal-level analysis due to scarce previous studies to compare validity of the study.

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**INTRODUCTION**

The Great East Japan Earthquake in March 2011, followed by the tsunami and the nuclear accident, affected people living in the eastern Tohoku area (i.e., Iwate, Miyagi, and Fukushima prefectures). In the disaster-affected area of Fukushima, residents faced various changes in the medical environment and their lifestyles due to mandatory or voluntary evacuation. Mass evacuation strained essential health services and infrastructure and disrupted social capital and networks due to the disaster.[1]

A comprehensive viewpoint is required to examine the aftermath of a disaster. For example, the National Academy of Sciences mentions in the context of resilience science that it is necessary to focus not only on the negative changes but also on the positive changes that occur after a disaster.[2] This concept is also important in public health. Irrespective of the adverse situation, life expectancy (LE) in Japan has increased even after the big disaster.[3] Years of life lost (YLL), an index of premature mortality, due to major causes of death decreased in 2015 compared to 2010 in Japan,[4,5] and Fukushima prefecture is no exception.[6]

However, it is not clear whether this decrease in YLL occurred in the disaster-affected municipalities in Fukushima. Furthermore, if a YLL decrease did occur, the causes of death which had brought the YLL decrease have not been specified. From a holistic view, our study provides important information to understand change in the health environment, so that local health control policies can be prioritized and resources better allocated in disaster-affected areas. There is no comprehensive analysis on the quantitative magnitude of impact for these health outcomes, although many medical case reports are available that feature disaster-affected areas in Fukushima, and consider populations affected by lifestyle diseases,[7,8] including diabetes mellitus,[9] cardiovascular disease,[10] or reports on cancer patient delay,[11,12] elderly people [13,14] or evacuees due to the disaster.[15]

The aim of this study is to determine YLLs at disaster-affected area, by age and sex, to identify the causes of death that could be attributed to it, and to compare them to the Japanese national average. We selected Soma and Minamisoma cities in Fukushima Prefecture (hereinafter referred to as the subject area) for our investigation. The subject area is located around 10–45 km north of the Fukushima Daiichi Nuclear Power Station (Figure S1) has experienced multiple disasters, such as tsunamis (followed by physical damage) and nuclear accidents (followed by low-level radiation exposure). More than 1000 residents of these cities died from direct injuries caused by the earthquakes and tsunamis.[1] A part of the subject areas, and not the entire subject areas were affected.. To the best of our knowledge, there is no report on the burden of disease or YLL calculation at the community level (such as city, town, and village) in Japan, regardless of whether the disaster affected the area.

## MATERIALS AND METHODS

### Definition and rationale for the calculation of LE and YLL

Life expectancy (LE) is an index of the health status of a cohort. One can calculate LE of a specific cohort over a given period using the life table. The life table consists of the number of the surviving population  $l$ , number of deaths  $d$ , age-specific mortality rate  $q$  and total survival time of population  $T$ . From these parameters, a survival curve of the cohort is obtained. Figure 1 shows a conceptual diagram of a survival curve and loss of life years of a population. LE at age  $x$  can be obtained by dividing the total survival time of the population  $T_x$  (i.e. area under the survival curve after age  $x$ ) by the numbers in the surviving population at age  $x$  ( $l_x$ ). [16]

YLL is defined as the difference of between LE with a risk event and without a risk event. We obtained two survival curves to calculate a YLL; a survival curve without a cause of death, that is depicted from an age-specific number of deaths from the data set which are deaths derived from a specific cause of death (Solid line in Figure 1), and a survival curve with all causes of death, that is derived from an age-specific number of deaths from the data set which includes all causes of death (Dashed line in Figure 1). YLL can be calculated for any cause of death if the survival curve is obtained. Although YLL estimates are based on hypothetical survival curves, the actual number of deaths were used in the survival curves; thus, the estimates were robust and realistic. Detailed explanation on YLL as a public health index and YLL calculating formula are in Supplemental Material.

### Data

Number of deaths and the population in the subject area

To obtain the survival curves, mortality rates by age (age = 0, 1, 2, ..., 100+) were required. Mortality rate at age  $x$  ( $q_x$ ), which is an approximate slope of survival curve at age  $x$ , is obtained by dividing number of deaths at age  $x$  ( $d_x$ ) by surviving population at age  $x$  ( $l_x$ ). Detailed calculation method of mortality rate  $q_x$  is show in Supplemental Material. We obtained the survival curves for males and females separately because it is known that the mortality rates for each age differ between the sexes.

As a source of the number of deaths, we used vital registration records by age for the subject area (i.e., Soma City and Minamisoma City) from January 2006 to December 2015. The data obtained from the vital registration records were aggregated according to the municipalities and these were the original data which were composed of the national vital statistics. The data are usually undisclosed; however, the Ministry of Health, Labor and Welfare (MHLW) approved the secondary use of the records in compliance with the Statistics Act, and provided the data.

Ethics approval statement/Data availability statement

For Data acquisition and use for this study were approved by the Ethics Board of Fukushima Medical University (approval number: 30272). The data were obtained from MHLW and are not publicly available, however, data are available upon reasonable request to MHLW.

Table 1. Age- and sex-specific counts of direct and other death in the pre- and post-disaster period in the subject area

Age at death	Males			Females		
	Death other than direct death		Direct death in March 2011	Death other than direct death		Direct death in March 2011
	Pre-disaster period*	Post-disaster period*		Pre-disaster period	Post-disaster period	
0–9	16	5	18	12	4	13
10–19	7	6	20	4	5	28
20–29	19	24	20	11	6	17
30–39	35	17	30	24	10	21
40–49	77	51	38	39	18	33
50–59	239	157	71	111	80	68
60–69	464	517	102	181	197	92
70–79	1016	777	130	555	443	154
80–89	1070	1267	88	1229	1249	115
90–99	389	397	7	791	935	24
100+	12	17	0	68	76	2
Population of the subject area	53,430 (in 2010)	49,381 (in 2015)		56,293 (in 2010)	50,647 (in 2015)	

\* Pre-disaster period: 2006–2010, Post-disaster period: 2011–2015. The number of deaths is a sum of the deaths over a period of five years

The data were provided together with sex, age of death, and cause of death as per the International Classification of Diseases and Health-Related Problems, 10<sup>th</sup> Revision (ICD-10) for the subject area. We excluded 1091 deaths in 2011 as direct deaths because this study focused on the effects of death other than direct deaths. Direct death was defined according to a previous study.[1] Table 1 shows the counts of deaths other than direct death and direct death by age and sex. As a result, we analyzed 12627 data (in the pre-disaster period: 2006–2010; n = 6369 and in the post-disaster period: 2011–2015; n = 6258. The proportion of women in these periods was 47.4% and 48.3%, respectively). To investigate the indirect health effects of the disaster, we compared the YLL of post-disaster with pre-disaster period after excluding direct deaths. We did not identify the nationalities of the deceased persons from the data. The data we used also included residents who had moved outside the subject area, since registration was based on the residents’ pre-disaster addresses.

Population data from 2006 to 2015 were obtained from the Basic Resident Registers, the nationwide resident-registry network maintained by the municipality unit (city/town/village). This included foreigners and evacuees from outside of the subject area. We used population numbers as of 30<sup>th</sup> September or 1<sup>st</sup> October for each year for further analyses. We unified data for Soma City and Minamisoma City as one population and averaged the annual population both in the pre-disaster period (2006–2010) and in the post-disaster period (2011–2015) and obtained the 5-year average and standard deviation for both the populations and crude mortality rates, respectively.

#### Number of deaths and the Japanese population data

To compare the subject area with the Japanese national average, we obtained vital statistics and population data from the national statistics. Age-, sex-, and ICD-10-based cause-specific death data were obtained from the Japanese Statistics [17] in 2010 and 2015, respectively. Age- and sex-specific population data for the Japanese were obtained from Japanese statistics [18,19] for the years 2010 and 2015, respectively. We chose these years because of the availability of complete data set for the years, i.e., cause-specific death data, (living) population, and the extrapolation parameters that were required for the lifetable analyses.[16,20] We did not identify the nationalities of the deceased from the data.

#### Patient and Public Involvement

Patients and or the public were not involved in this study.

#### Mortality rate and cause-specific YLL calculation

For the subject area, mortality rates were calculated as 5-year averages (i.e., 2006–2010 and 2011–2015) based on the data shown in Table 1. The national average was calculated for a single year (2010 and 2015) based on the death data for the Japanese population. The rationale and methodological details of the calculation of mortality rates are shown in the Supplemental Material.

The method to obtain the mortality rate of ages 1 to 94 was modified from method described by the MHLW,[16,20] and that of ages 0 and more than 95 was estimated based on method and parameters described by the MHLW.[16,20] LEs were obtained by life table analysis using the age-specific mortality rates for both the subject area and the national average. The YLL was obtained at ages 0, 40, 65 and 75. We focused on the older people aged 65 and 75 as Japan is a super-aging society; hence, it would be important to distinguish the diseases that occur in for the younger from the diseases that occur in older people. [3]

We analyzed the following causes of death: heart diseases (ICD10: I00–59), cerebrovascular

diseases (I60–69), pneumonia (J10–19), and all cancers (C00–97). All cancers were specifically analyzed for the following types: breast (C50, females only), colorectal (C18–C20), leukemia (C90–C95), lung (C33–C34), stomach (C16), and uterine (C53–C55, females only).

Validation of the calculation method at LE at birth ( $LE_0$ )

LEs at birth ( $LE_0$ s) for the subject area were validated with official values calculated by the MHLW for Soma and Minamisoma cities separately.[21,22]  $LE_0$ s were officially reported by the MHLW for the Japanese national using complete life tables;[12] thus, we used these values to validate our estimates of  $LE_0$ s. As shown in Table 2, our estimates of  $LE_0$  were reasonably comparable for both the national average and the subject area, and small discrepancies were observed with the values obtained from the MHLW. The  $LE_0$  increased after the disaster, which showed the same trend as that for the national average and the subject area.

Table 2. Life expectancy at birth ( $LE_0$ ) based on calculated value and reported value for validation of the calculation method.

	Males		Females		Reference
	2010*	2015*	2010*	2015*	
The subject area, calculated *	78.27	79.67	85.00	86.29	This study [21,22]
The subject area, reported by MHLW #	78.78	80.84	85.97	86.12	
National-calculated	79.57	80.76	86.04	86.70	This study [3]
National-reported by MHLW	79.55	80.75	86.33	86.99	

\*: For the subject area, the calculated periods were 2006–2010 and 2011–2015 instead of 2010 and 2015, respectively.

#: Population-weighted average for Soma and Minamisoma cities.

YLL sensitivity analysis in the subject area

For the subject area, we performed a sensitivity analysis and estimated the uncertainty interval (UI) in addition to the point estimates of the YLLs. Since we observed annual variations in both population and mortality rates in the subject area, we assumed a normal distribution for these variations. In the subject area, which had a thousandth smaller cohort than the whole country, we considered that the annual variation in the population and the number of deaths were not negligible, and that it was better to indicate the YLL accompanied by uncertainty intervals which were derived from using a 5-year average. The Monte Carlo simulation was conducted using a random number generation based on the 5-year-average (2006–2010 and 2011–2015) and the standard deviations for both the populations and crude mortality rates at age 0–94 years. The details of calculation procedure are shown in Supplemental Material.

RESULTS

Cause-specific YLL for the subject area and the national average



Attributable YLLs for the subject area and the national average for heart diseases, cerebrovascular diseases, pneumonia, and cancer are shown (Figure 2a-d). Hereinafter, we refer to YLL at age 0 when we discuss YLL difference on the subject area and national average or at pre- and post-disaster. Results at ages 40, 65 and 75 are shown in the Supplemental Material (Figure S2). YLL decreased in the following order: cancer > heart disease > cerebrovascular disease > pneumonia, and this order was common for the subject area and the national average.

With respect to heart diseases and cerebrovascular disease, YLLs for the subject area were longer than YLLs for the national average for each age category and both sexes (Figures 2a, c and S2a-f). The YLLs of cancer for the subject area were shorter than the national average.

Differences in YLL pre- and post-disaster were calculated (Figure 2b, d). For the national average, a difference was shown as a point-estimate value, and a value of more than 0 indicated post-disaster YLL improvement. For the subject area, a difference was observed with a value with a UI. If the UI did not include 0, there was a significant difference in YLL between pre- and post-disaster. YLLs decreased after the disaster for both the national average and the subject area. This is commonly observed for males and females; however, the tendency of YLL decrease was different between sexes. Few characteristics were observed to be specific to the subject area. In contrast, statistically significant post-disaster YLL increases were not observed for any of the causes of death.

YLL attributed to heart diseases showed no decrease in males after the disaster (Figure 2a). In contrast, for females, it decreased after the disaster (Figure 2c). The difference was 0.37 (95% UI: 0.18–0.57) years at age 0 (Figure 2d), and the differences at ages 40 and 65 were 0.35 (0.16–0.55) and 0.26 (0.09–0.44) years, respectively (Figure S4d, e). These results showed an apparent improvement for heart diseases in females.

The YLL for cerebrovascular diseases decreased by 0.27 (0.09–0.44) years for males (Figure 2b) and 0.18 (0.04–0.32) years for females (Figure 2d), respectively, for the subject area after the disaster. These statistically significant YLL decreases were observed at ages 40, 65 and 75 for both sexes (Figure S4). However, the YLLs for the subject area post-disaster were still larger than those for the national average.

For pneumonia, the YLL in the subject area was comparable to that of the national average. YLL due to pneumonia in males decreased in the post-disaster period (Figure 2b) but did not decrease in females (Figure 2d).

YLL attributed to cancer was the longest among the four causes of death, even at the age 75.

The YLL due to all cancers showed little change after the disaster in both males and females, but YLL in the subject area was less than the national average.

Figure 3 and Figure S3 show the YLL breakdown for specific cancer types. As for stomach cancer (male) and leukemia (female), the YLL for the subject area increased than that for the national average found pre-disaster (Figures 3a, c). The YLLs due to lung cancer for both sexes pre-disaster, and for females post-disaster, were smaller than that for the national average. Although the difference between pre- and post-disaster was small due to a small number of deaths due to these cancers, significant YLL decreases were observed for stomach cancer (males), breast cancer, and leukemia (females). The YLL differences of those were 0.15 (0.02–0.29) years (Figure 3b), and 0.12 (0.00–0.24) and 0.14 (0.07–0.23) years (Figure 3d), respectively. The YLL differences between pre- and post-disaster for breast cancer and leukemia (females) were larger than those for the national average while YLL decreases in the national average were hardly observed.

**DISCUSSION**

We compared the cause-specific YLLs of a disaster-affected area in pre- and post-disaster periods with that of the national average. Studies have discussed YLL in Fukushima prefecture [6] and age-adjusted mortality rate in the subject area;[1,23] however, our study provided YLL changes by cause of death and sex at the municipal level in a disaster-affected area. The YLL calculation methods used for the subject area and the national average were not identical due to the difference of population size and number of deaths in both cohorts; however, this methodological discrepancy should not have a great effect on the interpretation of the results.

Our YLL estimates were based on the actual number of deaths in the region of interest; thus, the estimates were robust and realistic. Moreover, YLL estimates were more objective than disability-adjusted life year (DALY) estimates because DALY estimates might require controversial processes of setting parameters, such as severity weights or durations of disability.[24] However, our analysis could not consider health outcomes other than death, such as the deterioration of quality of life (QoL). Another advantage of YLL is its versatile applicability for any age category in the region of interest. Thus, this index would provide health planners and policymakers at both the national and specific areas, more refined tools to adapt local public health initiatives to meet the health needs of local populations by age categories.[25]

We focused on our prominent causes of death as follows: heart disease, cerebrovascular disease, pneumonia, and all cancers, and four (for males) and six (for females) specific major cancers. The primary finding of our study is that the YLL decreased in the disaster-affected

municipalities in Fukushima for the prominent causes. Decrease in YLL was observed for heart diseases (females), cerebrovascular diseases (both sexes), pneumonia (males), breast cancer (females), leukemia (female), and stomach cancer (males). This tendency was also reported in a previous study in which another public health index, the relative risk of mortality was used in the analysis.[1] The extent of YLL decrease is larger in the subject area than the national average for heart diseases (females at ages 0 and 40), pneumonia (males aged 65 and 75), and breast cancer (females at age 0), and leukemia (females at age 0).

This study emphasizes the importance of understanding how the health situation changed or how YLL has decreased for the whole society in disaster-affected areas, rather than focusing only on small mortality increases caused by radiation exposure, which was at statistically undetectable levels. Importantly, YLL attributed to cancer did not increase even after the nuclear disaster, irrespective of the concern about radiation exposure. The increase in radiation exposure due to nuclear accidents was limited in Fukushima, and cancer incidence related to radiation exposure from the nuclear accident, including thyroid cancer, has not been documented.[26] Furthermore, lifestyle changes due to the disaster did not seem to bring about an apparent increase in death. This might be because various medical countermeasures were implemented in the subject area. In contrast, an increase in the prevalence of lifestyle diseases has been reported in Fukushima.[27] The appearance of outcomes, such as death, derived from radiation exposure or lifestyle diseases, would be delayed after a long time. In this context, YLL estimates helped express how the health situation changed comprehensively. Residents in the disaster-affected area experienced various kinds of damage, such as physical, medical, and mental damage, not only by radiation exposure. Therefore, an evaluation index that includes multiple viewpoints is effective. YLL is suitable at this point, and QoL is also suitable.

Two reasons can explain the decrease in YLL post-disaster. One is the direct effect of earthquakes, tsunamis, and aftermath, which might cause the premature death of people with chronic health problems. However, we observed both an apparent decrease in YLL and little change in YLL in chronic diseases. The extent of YLL changes differed according to the cause of death and by sex. Thus, premature death caused by the earthquake and tsunami for people with chronic health problems would explain only a part of the YLL decrease. For additional analysis, we calculated the YLL post-disaster separately for two periods. One is for 2011, i.e., “disordered period” of just one year after the disaster and 2012–15 i.e., “recovered period” (Tables S1a and S1b). Focusing on the causes of death that had a  $\pm 0.3$  years difference in YLL between 2011 and 2012–2015, we observed a YLL increase due to heart disease in males and a YLL decrease due to pneumonia in males. This means that the extent of YLL changes differed by cause of death and sex.

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3 368 Elongation of LE (or decrease of YLL) is not explained only by elderly people's death because  
4 369 LE is calculated only from age-specific mortality rates. The other aspect to be considered is  
5 370 whether medical intervention or medical measures are in effect. The decrease in YLL could be  
6 371 due to both the medical measures taken before the disaster, which takes time to show an effect,  
7 372 and the measures taken after the disaster. The former is, for example, smoking cessation to  
8 373 prevent cancer or controlling salt intake to prevent cerebrovascular diseases. The latter is, for  
9 374 example, improving cancer screening and medical treatment techniques. This might be partly  
10 375 explained by the reduction of mortality in line with the application of new technologies or  
11 376 improved management of diseases such as all cancers.[28]  
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19 378 There are many reasons for the decrease in YLL in the subject area. YLL decrease for heart  
20 379 diseases (females) and cerebrovascular disease (both sexes) could be due to improved medical  
21 380 treatment techniques, or the implementation of countermeasures by the municipal or prefectural  
22 381 government. YLL decrease in cancers [specifically, breast cancer (females), leukemia (females),  
23 382 and stomach cancer (males)] may be due to improvements in the municipal mass-screening  
24 383 system of cancers, or changes in the medical care system in the subject area.  
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28 384  
29 385 Although these improvements were observed, YLLs for certain causes of death were longer  
30 386 than the national average, such as heart diseases (males) and cerebrovascular disease (both  
31 387 sexes). Residences in the Tohoku area, including Fukushima Prefecture, have a high prevalence  
32 388 of heart disease and cerebrovascular disease. This may be caused due to local eating habits such  
33 389 as a diet with high salt content and a shortage of exercise due to high motorization rates, which  
34 390 are common in the Tohoku area. In addition to these conditions, the disaster might worsen the  
35 391 situation in Fukushima. Thus, medical or societal measures to reduce death should be  
36 392 intensively studied. Possible measures would be to improve habits for preventing lifestyle  
37 393 diseases or close societal relationships to strengthen communication among residents.  
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44 395 In future, YLL estimation can be performed for the seashore area (Hamadori) or the entire  
45 396 Fukushima prefecture, where no evacuation area is included, for comparison purposes. The  
46 397 Hamadori includes mandatory evacuation areas, where the whole municipality was relocated to  
47 398 another place due to precautionary protection from high radiation doses. Residences have been  
48 399 experiencing drastic changes in their living status, such as repeated evacuation or living in  
49 400 temporary housing. They might have been facing more challenging conditions than those in the  
50 401 subject area of this study. The high degree of physical inactivity or lack of communication  
51 402 among residents may accelerate this challenging condition. Furthermore, relocation might  
52 403 affect access to hospitals or medical facilities. Our study could not consider these characteristics,  
53 404 and it would be important to compare YLL differences and changes between pre- and post-  
54 405 disaster in these areas.  
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This study has some methodological limitations. The first is the uncertainty of the death data. Although death records have a universal, robust definition of the cause of death (ICD-10), they have the possibility of being misclassified and incomplete, particularly in an aging population.[29] Second, we could not determine whether the populations and numbers of deaths in the data we used were sufficiently large in the subject area. We might discuss the appropriate population size for municipal-level analysis. We excluded causes of death with small numbers, such as suicide, from the analysis due to the lower plausibility of the result, and this might lead to an arbitrary selection of causes of death. The population data we used included the number of residents who moved their registrations outside the subject area, which might bring uncertainty. Furthermore, the reason for the decrease in the YLL may be more complicated and should be looked at in greater detail, taking into consideration effects other than medical, such as perception or behavior changes on health pursuit after the disaster.

Although some technical limitations remain, this analysis, which clarifies the causes of death that had reduced YLLs and shows the degree of improvement of public health in that area, and will facilitate prioritization for local health control policy and better resource allocation. The results can be useful to assess the performance of the medical (or societal) measures that the municipal, prefectural, or national government emphasized before the disaster.

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#### Competing Interest

The authors declare no conflicts of interest associated with this manuscript.

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#### Contributions

Conceptualization: KO, MM, and MT

Data curation: KO, MM, and MT

Formal analysis: KO, MM

Funding acquisition: MT

Investigation: KO, MM, and MT

Methodology: KO and MM

Visualization: KO

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Writing (original draft): KO  
Writing (review and editing): KO, MM, and MT

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Figure legends

Figure 1. Conceptual diagram of survival curve and loss of life years.

Figure 2a-d. YLLs for age 0 due to heart disease, cerebrovascular disease, pneumonia, and cancer before and after the disaster. a: Males; b: Difference of YLL ([pre-disaster] - [post-disaster]) of males. c: Females; d: Difference of YLL females. For the subject area (Soma and Minamisoma cities), the error bar indicates the 95% UI of the estimate.

Figure 3a-d. YLLs for age 0 due to specific cancers. a: YLLs of males; b: Difference of YLL ([pre-disaster] - [post-disaster]) of males. a: YLLs of females; b: Difference of YLL females. For the subject area (Soma and Minamisoma cities), the error bar indicates the 95% uncertainty interval (95% UI) of the estimate.

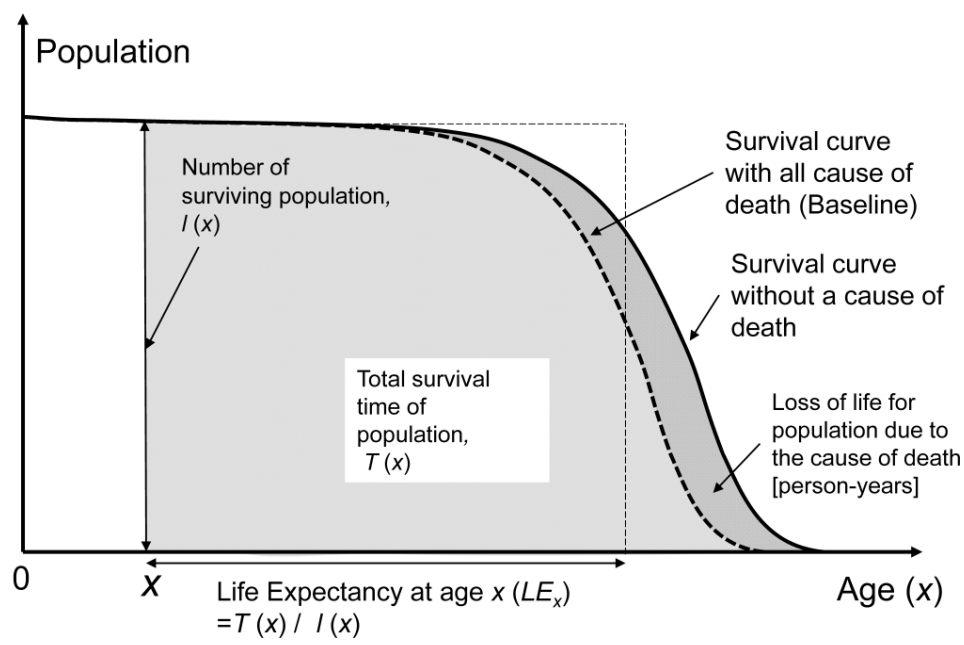


Figure 1. Conceptual diagram of survival curve and loss of life years.

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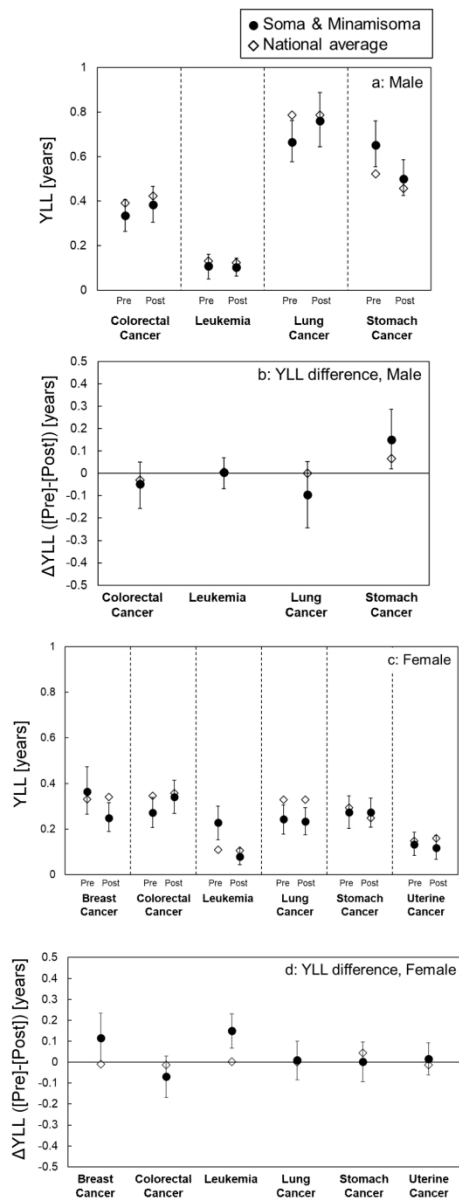


Figure 2a-d. YLLs for age 0 due to heart disease, cerebrovascular disease, pneumonia, and cancer before and after the disaster. a: Males; b: Difference of YLL ([pre-disaster] - [post-disaster]) of males. c: Females; d: Difference of YLL females. For the subject area (Soma and Minamisoma cities), the error bar indicates the 95% UI of the estimate.

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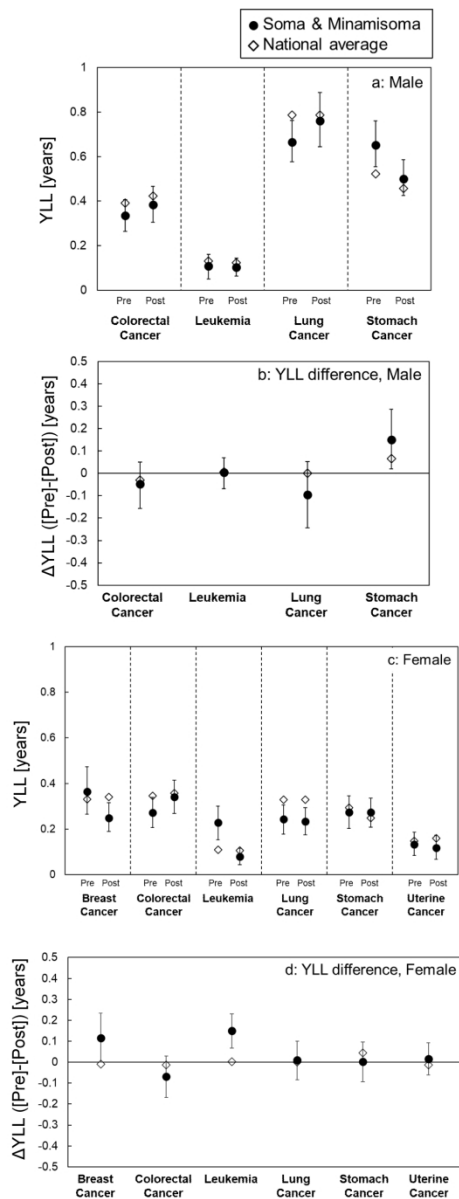


Figure 3a-d. YLLs for age 0 due to specific cancers. a: YLLs of males; b: Difference of YLL ([pre-disaster] - [post-disaster]) of males. a: YLLs of females; b: Difference of YLL females. For the subject area (Soma and Minamisoma cities), the error bar indicates the 95% uncertainty interval (95% UI) of the estimate.

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## Supplemental Material

### Title

Was there an improvement in the years of life lost (YLLs) for noncommunicable diseases in the Soma and Minamisoma Cities of Fukushima after the 2011 disaster?: A longitudinal study

### Authors

Kyoko Ono<sup>1)</sup>, Michio Murakami<sup>2)</sup>, #, Masaharu Tsubokura<sup>3), 4)</sup>

### Affiliations

1) Research Institute of Science for Safety and Sustainability, National Institute of Advanced Industrial Science and Technology, 16-1 Onogawa, Tsukuba, Ibaraki 305-8569, Japan

2) Department of Health Risk Communication, Fukushima Medical University School of Medicine, Fukushima City, Fukushima 960-1295, Japan

3) Department of Radiation Health Management, Fukushima Medical University School of Medicine, Fukushima City, Fukushima 960-1295, Japan

4) Research Center for Community Health, Minamisoma Municipal General Hospital, Minamisoma City, Fukushima 975-0033, Japan

# Center for Infectious Disease Education and Research, Osaka University, Suita City, Osaka, 565-0871, Japan (current address)

### Correspondence

Kyoko ONO, PhD.

Research Institute of Science for Safety and Sustainability, National Institute of Advanced Industrial Science and Technology, 16-1 Onogawa, Tsukuba, Ibaraki 305-8569, Japan

Tel: +81-29-861-4854

Fax: +81-29-861-8411

E-mail: [kyoko.ono@aist.go.jp](mailto:kyoko.ono@aist.go.jp)

ORCID iD: 0000-0001-8100-3905

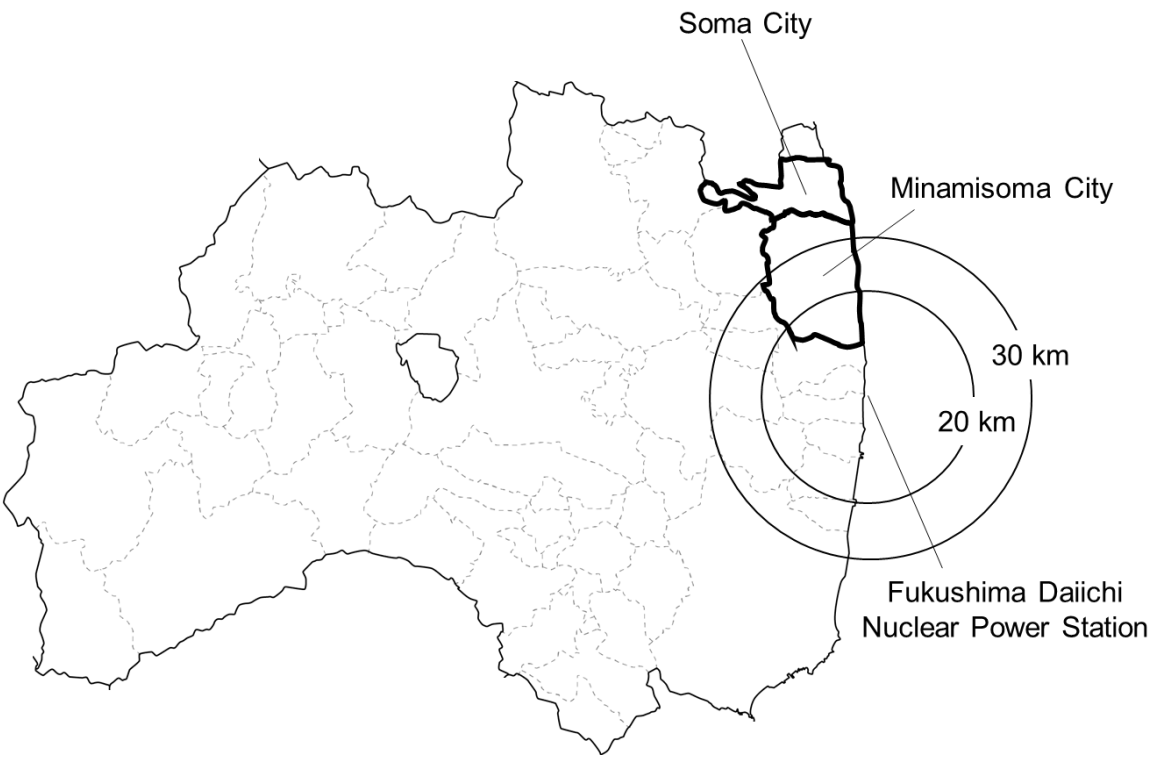


Figure S1. Location of Soma City and Minamisoma City.

## MATERIALS AND METHODS

### Rationale of calculation for life expectancy (LE) and years of life lost (YLL)

Life expectancy (LE) is an index of the health status of a cohort, which is calculated from the age-specific mortality of a specific cohort over a given period using the life table method. This measure emphasizes the impact of deaths occurring in younger age groups compared to the relative risk or hazard of mortality.[1] YLL is the difference in LE between a cohort with a specific cause of death and for the cohort in which the cause of death was eliminated. YLL is a population outcome of social health. For example, the Global Burden of Disease studies [2] adopted the YLL as an index of regional health.

LE can be calculated from the age-specific mortality rates (life table analysis). Using the death data and population data, we conducted a life-table analysis for the subject area and the national average of Japan, respectively. The life table consists of the mortality rate, number of surviving population  $l$ , number of deaths  $d$ , age-specific mortality  $q$ , which is obtained by dividing number of deaths by the number of the surviving population, and total survival time of population  $T$ .

A conceptual diagram of the YLL is shown in Figure 1. A detailed explanation of the calculation of LE and YLL has been provided elsewhere.[3] Generally, an LE at age  $x$  is the value of how long a person survives on average in the population after age  $x$ . Survival at age  $x$  is described by the mortality rate at age  $x$ . LE can be obtained by dividing the total survival time of the population.

$$T_x = \int_x^{\infty} l_t dt \quad (\text{eq. 1})$$

Here,  $T_x$  [unit: person-years] is the total survival time of the population after age  $x$  by the population  $l_x$  at age  $x$ . LE at age  $x$ ;  $e_x$  [unit: years] is obtained as

$$e_x = \frac{T_x}{l_x} \quad (\text{eq. 2})$$

$YLL_x$  was defined as the difference of  $e_x$  between a risk event ( $e'_x$ ) and without a risk event ( $e_x$ ) at age  $x$ :

$$YLL_x = e_x - e'_x \quad (\text{eq. 3})$$

YLL can be estimated for any risk event that causes additional mortality. YLL can be estimated for any population if the survival probabilities are available for the population.

**Mortality rate**

We obtained the mortality rate of patients aged 1–94 years using the following concept. Based on the basics of human demographics that normalized the mortality rate of age, which is the ratio of the number of deaths at the age of  $x$  in an arbitrary year to the number of population (survivals) at the age of  $x$  in the middle of the year. In the formula,

$$q_x = \frac{d_x}{l_x + \frac{d_x}{2}} \quad (\text{eq. 4})$$

where  $q_x$  is the mortality rate at age  $x$ . If death occurs at a constant rate, the number of population at age  $x$  at the beginning of the observation period should be  $l_x + d_x/2$ . For the right side of (eq.4), divide both the numerator and denominator by  $l_x$  and replace  $d_x/l_x$  as  $m_x$ .

$$\frac{d_x}{l_x + \frac{d_x}{2}} = \frac{\frac{d_x}{N_x}}{\frac{l_x + \frac{d_x}{2}}{l_x + \frac{d_x}{2} \times l_x}} \quad (\text{eq. 5})$$

$$q_x = \frac{m_x}{1 + \frac{m_x}{2}} \quad (\text{eq. 6})$$

where  $q_x$  is the mortality rate at age  $x$ , and  $m_x$  is the crude mortality rate at age  $x$ . Thus, we calculated  $q_x$  using (eq. 6) for further analyses. We calculated mortality rates at age  $x$  with risk events ( $q_x'$ ) in the same way using cause-specific death data.

The mortality rates at age 0 were adopted as national values for 2010 and 2015, respectively. Both were reported by the MHLW.[4,5] The birth data of the subject area did not include details on the month of birth or death for babies at age 0. Generally, the baby cohort has a large change in mortality over a short period of time. Thus, monthly life table data should be used for these analyses, but we could not do so due to limited data availability at age 0. Therefore, we adopted national data to calculate  $q_0$  for the subject area. Although this assumption for the age 0 might cause a discrepancy in YLL because YLL weighs heavily on younger age, we assumed the discrepancy was negligible by using the national data instead of data of the subject area. At ages over 95 years, we used the force of mortality instead of  $q_x$ . This assumption is commonly used for national averages and subject areas. The force of mortality was based on Gompertz–Makeham coefficients obtained from the MHLW [6,7] because of the large annual variability of  $q$  in this age range because the number of deaths for the population is small. This assumption on mortality rates for the elderly, such as for an age over 95 years, has little effect on the calculated results of LE.

**Methodological details of sensitivity analysis on YLL in the subject area**

We performed a sensitivity analysis for the subject area. The Monte Carlo simulation was conducted using a random number generation based on the 5-year-average and standard deviation for both the populations and crude mortality rates at age 0–94 years before the

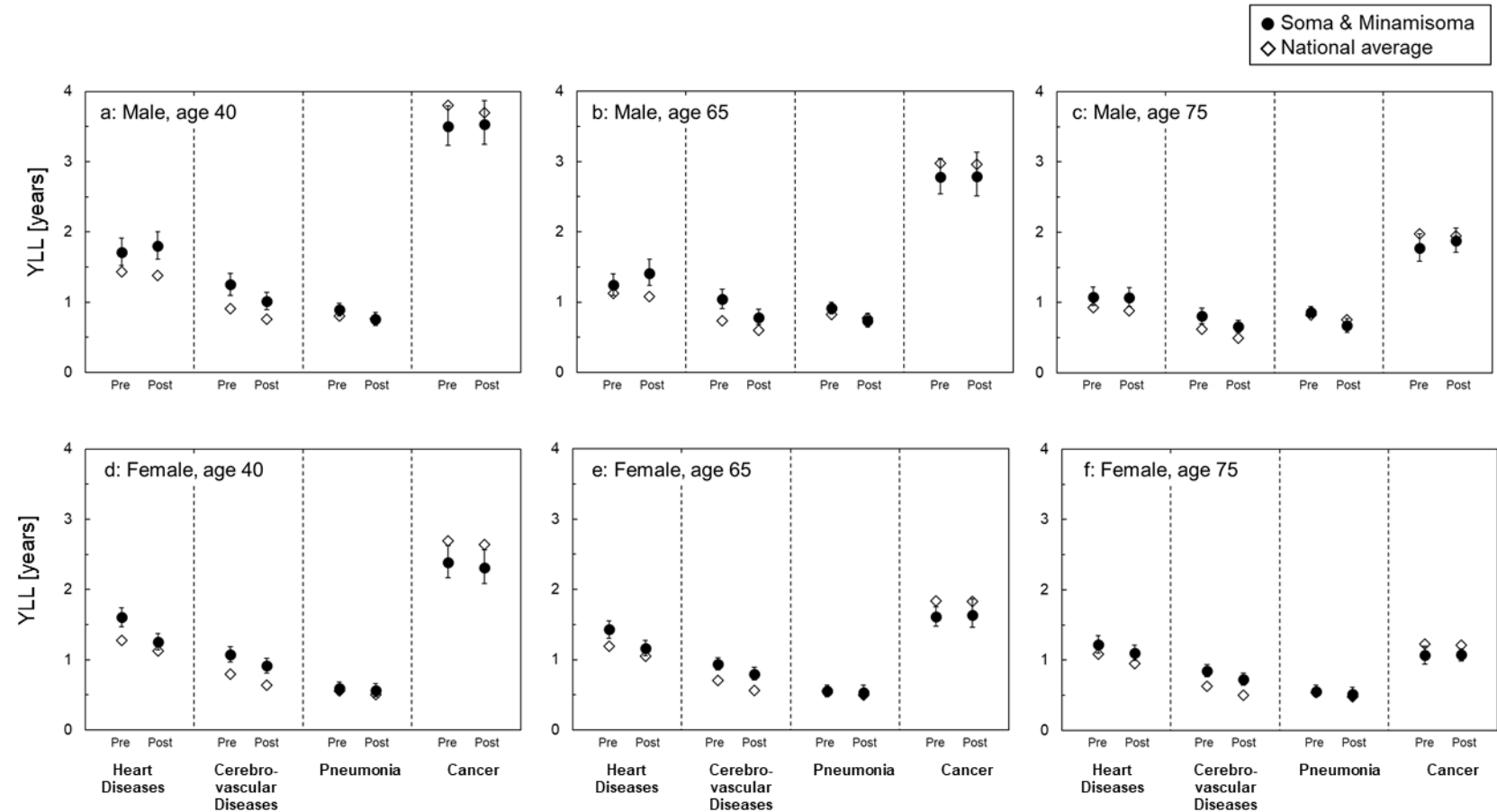


calculation of the mortality rates. The uncertainty interval (UI) was estimated according to the following procedure:

Oracle Crystal Ball ver.11.1 was used for the Monte Carlo simulation. We used two-sided truncated normal distributions for crude mortality rates to avoid a random selection of crude mortality rates of less than 0. Thus, the distributions were set as symmetrical, around the average, with the lower limit being 0 and the upper limit being two times the average. The Excel add-in "NTTRUNCNORMINV" function in NtRand Ver 3.3.0 [8] was combined with the Monte Carlo simulation. Sampling was performed according to the Latin hypercube method, and the number of trials was set to 10000 times. Random numbers were generated for all the causes of death and for each specific cause of death, separately, and the calculation of YLL was conducted at each trial. At age 0 and at ages over 95 years, we assumed no distribution for the force of mortalities.

We performed an additional Monte Carlo simulation with the condition that the mortality rate  $q$  was less than 0 (no truncated option) for validation. We confirmed that the change in the median was approximately 3% for the absolute value of YLL and the truncated assumption rendered the median change into both higher and lower values. Although the range of the UIs was broadened, it was confirmed that the conditions with and without the truncated option did not affect the results significantly.

124 **YLL and its difference at ages 40, 65 and 75**



125  
126 Figure S2a-f. YLLs due to heart diseases, cerebrovascular diseases, pneumonia, and cancer before and after the disaster of ages 40, 65 and 75 (a–  
127 c: Males, d-f: Females). For the subject area (Soma and Minamisoma cities), the error bar indicates the 95% uncertainty interval (95% UI) of the  
128 estimate.

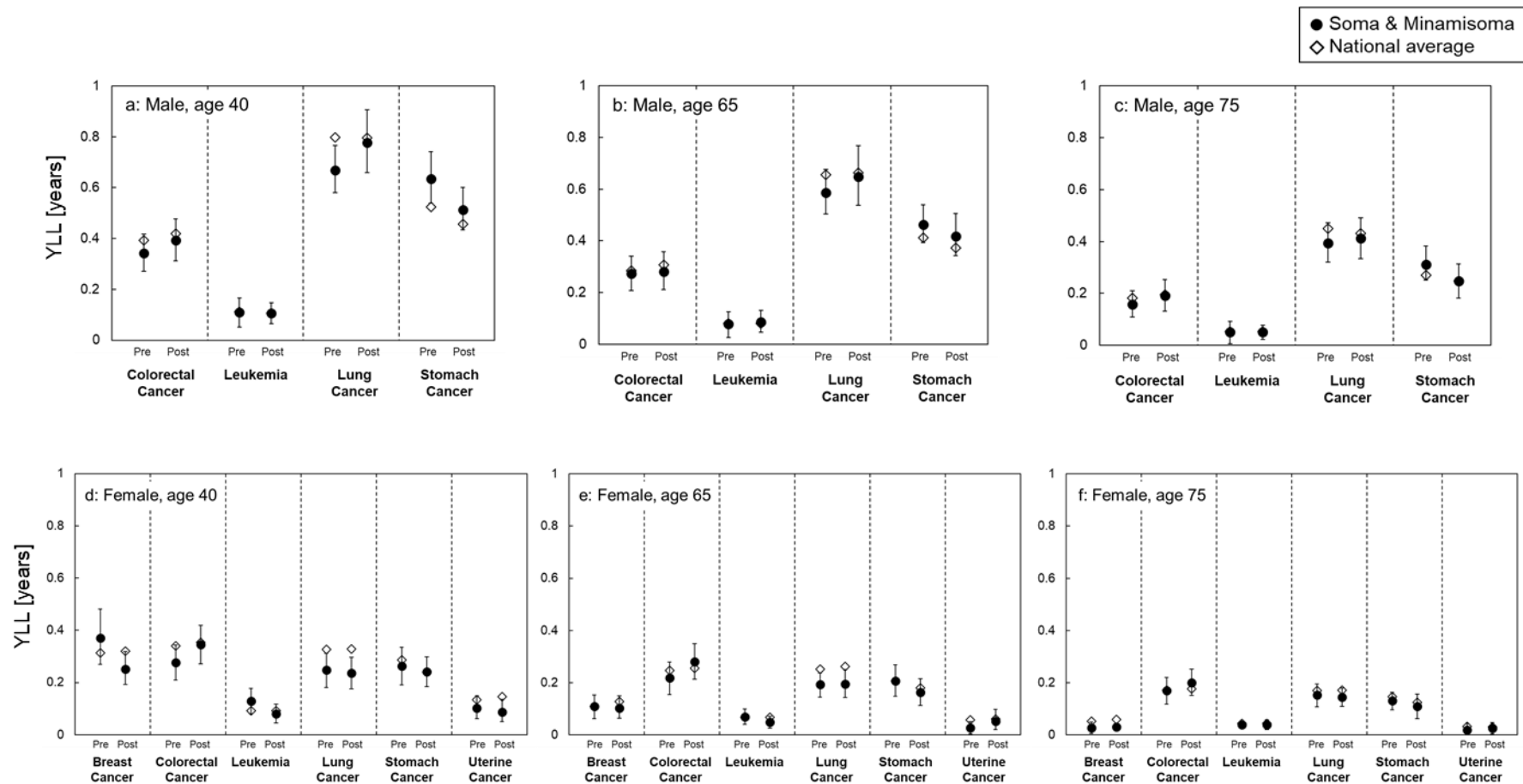


Figure S3a-f. YLLs due to specific cancers before and after the disaster at ages 40, 65 and 75 (a–c: Males; colorectal cancer, leukemia, lung cancer, and stomach cancer. d–f: Females, breast cancer, colorectal cancer, leukemia, lung cancer, stomach cancer and uterine cancer.). For the subject area (Soma and Minamisoma cities), the error bar indicates the 95% uncertainty interval (95% UI) of the estimate.

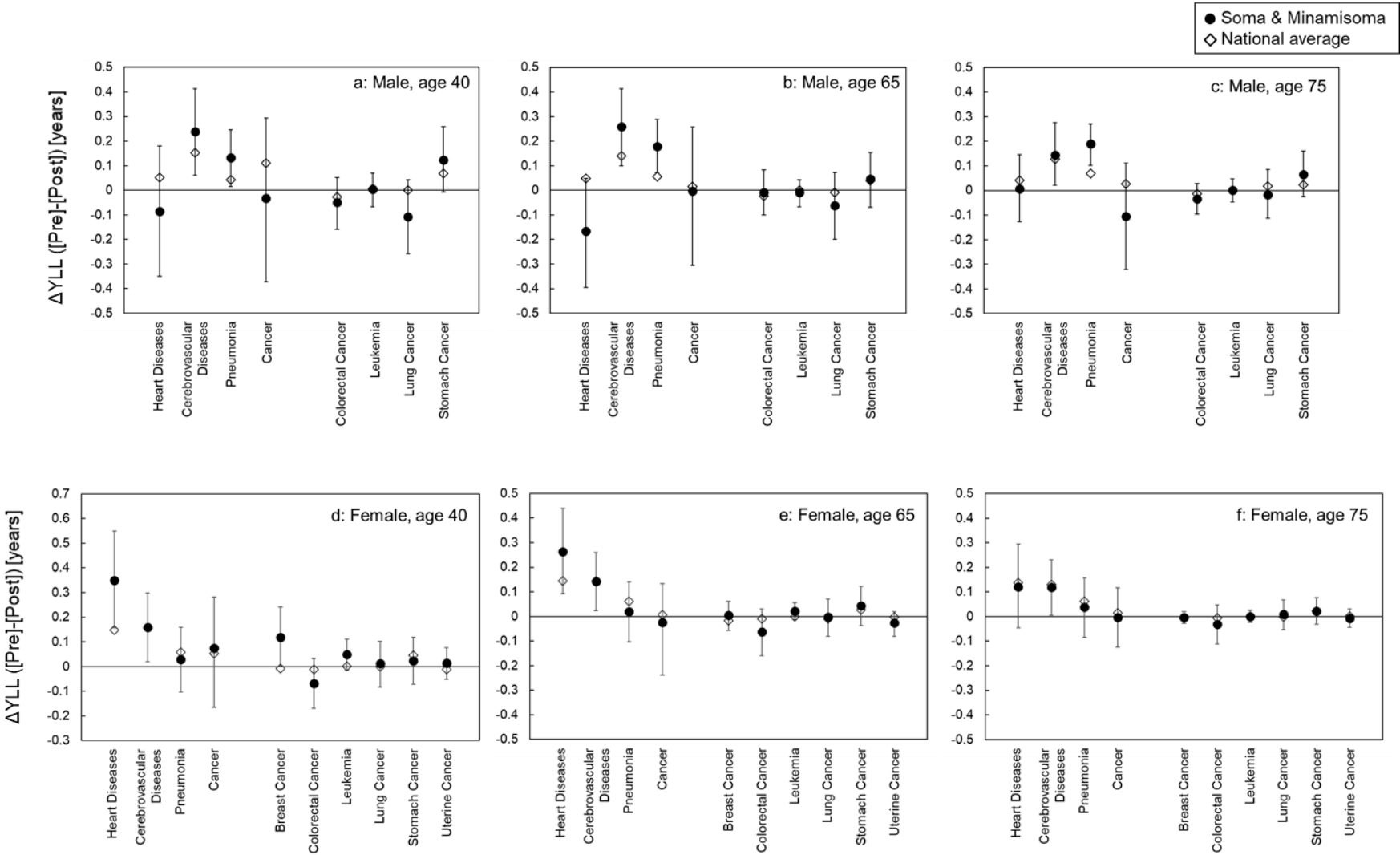


Figure S4a-f. Differences between YLL pre-disaster and YLL post-disaster at ages 40, 65 and 75 (a –c: Males, d–f: Females). For the subject area (Soma and Minamisoma cities), the error bar indicates the 95% UI of the estimate.

### YLL at the year of the disaster (2011) and after the year of the disaster (2012–2015)

We calculated the YLL post-disaster separately for two periods, i.e. 2011 and 2012–2015 (Tables S1a and S1b). For YLL in 2011, we used population data and death records for a single year (2011) and calculated the values. Similar to that for YLL in 2012–2015, we used population data and death records for the four years and calculated the values. The UI of the estimation was not calculated. The mortality rate at age 0 followed the national values in 2015, both reported by the MHLW.[5] For ages over 95 years, we used the force of mortality instead of  $q_x$ . The force of mortality was based on the Gompertz–Makeham coefficients obtained from the MHLW.[7]

Table S1a. YLL at the year of the disaster (2011) and after the year of the disaster (2012–2015) [years]: Males

	Age 0 years		Age 40 years		Age 65 years		Age 75 years	
	2011	2012–2015	2011	2012–2015	2011	2012–2015	2011	2012–2015
Heart diseases	1.53	1.86	1.57	1.86	1.37	1.41	1.00	1.10
Cerebrovascular diseases	1.08	0.98	1.05	1.00	0.84	0.76	0.77	0.64
Pneumonia	1.05	0.69	1.08	0.69	1.02	0.67	0.90	0.61
Cancer	3.24	3.62	3.19	3.60	2.26	2.90	1.65	1.95

Table S1b. YLL at the year of the disaster (2011) and after the year of the disaster (2012–2015) [years]: Females

	Age 0 years		Age 40 years		Age 65 years		Age 75 years	
	2011	2012–2015	2011	2012–2015	2011	2012–2015	2011	2012–2015
Heart diseases	1.33	1.24	1.33	1.22	1.28	1.12	1.22	1.06
Cerebrovascular diseases	0.87	0.91	0.88	0.92	0.68	0.82	0.70	0.73
Pneumonia	0.61	0.68	0.62	0.54	0.60	0.51	0.62	0.48
Cancer	2.26	2.44	2.11	2.34	1.43	1.67	0.86	1.13

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**The RECORD statement – checklist of items, extended from the STROBE statement, that should be reported in observational studies using routinely collected health data.**

	Item No.	STROBE items	Location in manuscript where items are reported	RECORD items	Location in manuscript where items are reported
<b>Title and abstract</b>					
	1	(a) Indicate the study's design with a commonly used term in the title or the abstract (b) Provide in the abstract an informative and balanced summary of what was done and what was found	-	RECORD 1.1: The type of data used should be specified in the title or abstract. When possible, the name of the databases used should be included.  RECORD 1.2: If applicable, the geographic region and timeframe within which the study took place should be reported in the title or abstract.  RECORD 1.3: If linkage between databases was conducted for the study, this should be clearly stated in the title or abstract.PO	"Participants" in Abstract  Title "Objectives" in Abstract  Not Applicable
<b>Introduction</b>					
Background rationale	2	Explain the scientific background and rationale for the investigation being reported	-		Not Applicable
Objectives	3	State specific objectives, including any prespecified hypotheses	-		Not Applicable
<b>Methods</b>					
Study Design	4	Present key elements of study design early in the paper	-		Not Applicable
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	-		Not Applicable

Participants	6	<p>(a) <i>Cohort study</i> - Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up</p> <p><i>Case-control study</i> - Give the eligibility criteria, and the sources and methods of case ascertainment and control selection. Give the rationale for the choice of cases and controls</p> <p><i>Cross-sectional study</i> - Give the eligibility criteria, and the sources and methods of selection of participants</p> <p>(b) <i>Cohort study</i> - For matched studies, give matching criteria and number of exposed and unexposed</p> <p><i>Case-control study</i> - For matched studies, give matching criteria and the number of controls per case</p>	-	<p>RECORD 6.1: The methods of study population selection (such as codes or algorithms used to identify subjects) should be listed in detail. If this is not possible, an explanation should be provided.</p> <p>RECORD 6.2: Any validation studies of the codes or algorithms used to select the population should be referenced. If validation was conducted for this study and not published elsewhere, detailed methods and results should be provided.</p> <p>RECORD 6.3: If the study involved linkage of databases, consider use of a flow diagram or other graphical display to demonstrate the data linkage process, including the number of individuals with linked data at each stage.</p>	<p>Subsection “Mortality and population in the subject area” L.152-159, L.179-186</p> <p>L.221-234</p> <p>Not Applicable</p>
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable.	-	RECORD 7.1: A complete list of codes and algorithms used to classify exposures, outcomes, confounders, and effect modifiers should be provided. If these cannot be reported, an explanation should be provided.	L.157- “Mortality rate” in Supplemental Material (L.69- 97)
Data sources/ measurement	8	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	-		Not Applicable



Bias	9	Describe any efforts to address potential sources of bias	-		Not Applicable
Study size	10	Explain how the study size was arrived at	-		Not Applicable
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen, and why	-		Not Applicable
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding (b) Describe any methods used to examine subgroups and interactions (c) Explain how missing data were addressed (d) <i>Cohort study</i> - If applicable, explain how loss to follow-up was addressed <i>Case-control study</i> - If applicable, explain how matching of cases and controls was addressed <i>Cross-sectional study</i> - If applicable, describe analytical methods taking account of sampling strategy (e) Describe any sensitivity analyses	-		Not Applicable
Data access and cleaning methods		..	-	RECORD 12.1: Authors should describe the extent to which the investigators had access to the database population used to create the study population.	L.152-159, L.179-186

				RECORD 12.2: Authors should provide information on the data cleaning methods used in the study.	L.166-177
Linkage		..		RECORD 12.3: State whether the study included person-level, institutional-level, or other data linkage across two or more databases. The methods of linkage and methods of linkage quality evaluation should be provided.	L.152-159, L.179-186
<b>Results</b>					
Participants	13	(a) Report the numbers of individuals at each stage of the study ( <i>e.g.</i> , numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed) (b) Give reasons for non-participation at each stage. (c) Consider use of a flow diagram	-	RECORD 13.1: Describe in detail the selection of the persons included in the study ( <i>i.e.</i> , study population selection) including filtering based on data quality, data availability and linkage. The selection of included persons can be described in the text and/or by means of the study flow diagram.	(L.152-159, L.179-186)
Descriptive data	14	(a) Give characteristics of study participants ( <i>e.g.</i> , demographic, clinical, social) and information on exposures and potential confounders (b) Indicate the number of participants with missing data for each variable of interest (c) <i>Cohort study</i> - summarise follow-up time ( <i>e.g.</i> , average and total amount)	-		Not Applicable
Outcome data	15	<i>Cohort study</i> - Report numbers of outcome events or summary measures over time <i>Case-control study</i> - Report numbers in each exposure	-		Not Applicable

		category, or summary measures of exposure <i>Cross-sectional study</i> - Report numbers of outcome events or summary measures			
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (e.g., 95% confidence interval). Make clear which confounders were adjusted for and why they were included (b) Report category boundaries when continuous variables were categorized (c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	-		Not Applicable
Other analyses	17	Report other analyses done—e.g., analyses of subgroups and interactions, and sensitivity analyses	-		(The results showed sensitivity analyses as well.)
<b>Discussion</b>					
Key results	18	Summarise key results with reference to study objectives	-		L323-332
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	-	RECORD 19.1: Discuss the implications of using data that were not created or collected to answer the specific research question(s). Include discussion of misclassification bias, unmeasured confounding, missing data, and changing eligibility over time, as they pertain to the study being reported.	L403-414
Interpretation	20	Give a cautious overall interpretation of results considering objectives,	-		Not Applicable

		limitations, multiplicity of analyses, results from similar studies, and other relevant evidence			
Generalisability	21	Discuss the generalisability (external validity) of the study results	-		Not Applicable
Other Information					
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	-		Not Applicable
Accessibility of protocol, raw data, and programming code		..		RECORD 22.1: Authors should provide information on how to access any supplemental information such as the study protocol, raw data, or programming code.	Supplemental information will be downloaded at a designated site.

\*Reference: Benchimol EI, Smeeth L, Guttman A, Harron K, Moher D, Petersen I, Sørensen HT, von Elm E, Langan SM, the RECORD Working Committee. The REporting of studies Conducted using Observational Routinely-collected health Data (RECORD) Statement. *PLoS Medicine* 2015; in press.

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# BMJ Open

## Was there an improvement in the years of life lost (YLLs) for noncommunicable diseases in the Soma and Minamisoma Cities of Fukushima after the 2011 disaster?: A longitudinal study

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<b>Primary Subject Heading</b>:	Public health
Secondary Subject Heading:	Health policy
Keywords:	EPIDEMIOLOGY, Health policy < HEALTH SERVICES ADMINISTRATION & MANAGEMENT, Risk management < HEALTH SERVICES ADMINISTRATION & MANAGEMENT

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## 1 Title

2 Was there an improvement in the years of life lost (YLLs) for noncommunicable diseases in  
3 the Soma and Minamisoma Cities of Fukushima after the 2011 disaster?: A longitudinal study

## 4 Correspondence

5 Kyoko Ono, PhD.

6 Research Institute of Science for Safety and Sustainability, National Institute of Advanced  
7 Industrial Science and Technology, 16-1 Onogawa, Tsukuba, Ibaraki 305-8569, Japan

8 Tel: +81-29-861-4854

9 Fax: +81-29-861-8411

10 E-mail: [kyoko.ono@aist.go.jp](mailto:kyoko.ono@aist.go.jp)

11 ORCID iD: 0000-0001-8100-3905

## 12 Authors

13 Kyoko Ono<sup>1)</sup>, Michio Murakami<sup>2)</sup>, #, Masaharu Tsubokura<sup>3)</sup>, <sup>4)</sup>

## 14 Affiliations

15 1) Research Institute of Science for Safety and Sustainability, National Institute of Advanced  
16 Industrial Science and Technology, 16-1 Onogawa, Tsukuba, Ibaraki 305-8569, Japan

17 2) Department of Health Risk Communication, Fukushima Medical University School of  
18 Medicine, Fukushima City, Fukushima 960-1295, Japan

19 3) Department of Radiation Health Management, Fukushima Medical University School of  
20 Medicine, Fukushima City, Fukushima 960-1295, Japan

21 4) Research Center for Community Health, Minamisoma Municipal General Hospital,  
22 Minamisoma City, Fukushima 975-0033, Japan

23 # Center for Infectious Disease Education and Research, Osaka University, Suita City, Osaka,  
24 565-0871, Japan (current address)

25 Word count: 4025 words

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**Abstract**

**Objectives**

This study aimed to determine cause-specific years of life lost (YLL) changes between pre- and post-disaster in disaster-affected municipalities, compared with the national average. We estimated the YLL in Soma and Minamisoma cities (the subject area) in Fukushima, Japan, where the tsunami and the nuclear accident hit in 2011.

**Participants**

We used vital registration records from a national survey conducted between January 2006 and December 2015. We analyzed 6369 death data in the pre-disaster period 2006–2010 and 6258 death data in the post-disaster period (2011–2015).

**Methods**

We incorporated vital statistics data as follows: age-, sex-, and ICD-10-based cause-specific deaths and calculated YLLs by ages 0, 40, 65, and 75 and sex for attributable causes of death for heart diseases, cerebrovascular diseases, pneumonia, all cancers, and specific cancers; breast cancer, colorectal cancer, leukemia, lung cancer, stomach cancer, and uterine cancer for pre-disaster and post-disaster in the subject area.

**Results**

YLL attributed to heart diseases at age 0 for males showed no decrease and was larger than that of the national average, however, it decreased for females. The difference was 0.37 (95% uncertainty interval: 0.18–0.57) years after the disaster. YLL decrease (i.e. difference) in cerebrovascular diseases at age 0 was 0.27 (0.09–0.44) years and 0.18 (0.04–0.32) years for males and females, respectively; however, these were still larger than those for the national average. YLL attributed to cancer did not increase even after the nuclear disaster.

**Conclusions**

We specified the causes of death to be reduced in disaster-affected areas in the future. This study emphasized the importance of understanding how the health situation changed for the whole society of the area from a comprehensive perspective, rather than focusing only on small mortality increases.



## Strength and Limitations

- We estimated cause-specific YLL of disaster-affected areas as a difference between the pre- and post-disaster period, compared with the national average.
- The analysis will facilitate prioritization for local health control policy and better resource allocation and can be useful to assess the performance of the medical (or societal) measures that the municipal, prefectural, or national government emphasized before the disaster.
- Causes of death with a small number could not be examined due to the lower plausibility of the result.
- The appropriate population size could not be fully examined for municipal-level analysis due to scarce previous studies to compare validity of the study.

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**INTRODUCTION**

The Great East Japan Earthquake in March 2011, followed by the tsunami and the nuclear accident, affected people living in the eastern Tohoku area (i.e., Iwate, Miyagi, and Fukushima prefectures). In the disaster-affected area of Fukushima, residents faced various changes in the medical environment and their lifestyles due to mandatory or voluntary evacuation. Mass evacuation strained essential health services and infrastructure and disrupted social capital and networks due to the disaster.[1]

A comprehensive viewpoint is required to examine the aftermath of a disaster. For example, the National Academy of Sciences mentions in the context of resilience science that it is necessary to focus not only on the negative changes but also on the positive changes that occur after a disaster.[2] This concept is also important in public health. Irrespective of the adverse situation, life expectancy (LE) in Japan has increased even after the big disaster.[3] Years of life lost (YLL), an index of premature mortality, due to major causes of death decreased in 2015 compared to 2010 in Japan,[4,5] and Fukushima prefecture is no exception.[6]

However, it is not clear whether this decrease in YLL occurred in the disaster-affected municipalities in Fukushima. Furthermore, if a YLL decrease did occur, the causes of death which had brought the YLL decrease have not been specified. From a holistic view, our study provides important information to understand change in the health environment, so that local health control policies can be prioritized and resources better allocated in disaster-affected areas. There is no comprehensive analysis on the quantitative magnitude of impact for these health outcomes, although many medical case reports are available that feature disaster-affected areas in Fukushima, and consider populations affected by lifestyle diseases,[7,8] including diabetes mellitus,[9] cardiovascular disease,[10] or reports on cancer patient delay,[11,12] elderly people [13,14] or evacuees due to the disaster.[15]

The aim of this study is to determine YLLs at disaster-affected area, by age and sex, to identify the causes of death that could be attributed to it, and to compare them to the Japanese national average. We selected Soma and Minamisoma cities in Fukushima Prefecture (hereinafter referred to as the subject area) for our investigation. The subject area is located around 10–45 km north of the Fukushima Daiichi Nuclear Power Station (Figure S1) has experienced multiple disasters, such as tsunamis (followed by physical damage) and nuclear accidents (followed by low-level radiation exposure). More than 1000 residents of these cities died from direct injuries caused by the earthquakes and tsunamis.[1] A part of the subject areas, and not the entire subject areas were affected.. To the best of our knowledge, there is no report on the burden of disease or YLL calculation at the community level (such as city, town, and village) in Japan, regardless of whether the disaster affected the area.

## MATERIALS AND METHODS

### Definition and rationale for the calculation of LE and YLL

Life expectancy (LE) is an index of the health status of a cohort. One can calculate LE of a specific cohort over a given period using the life table. The life table consists of the number of the surviving population  $l$ , number of deaths  $d$ , age-specific mortality rate  $q$  and total survival time of population  $T$ . From these parameters, a survival curve of the cohort is obtained. Figure 1 shows a conceptual diagram of a survival curve and loss of life years of a population. LE at age  $x$  can be obtained by dividing the total survival time of the population  $T_x$  (i.e. area under the survival curve after age  $x$ ) by the numbers in the surviving population at age  $x$  ( $l_x$ ). [16]

YLL is defined as the difference of between LE with a risk event and without a risk event. We obtained two survival curves to calculate a YLL; a survival curve without a cause of death, that is depicted from an age-specific number of deaths from the data set which are deaths derived from a specific cause of death (Solid line in Figure 1), and a survival curve with all causes of death, that is derived from an age-specific number of deaths from the data set which includes all causes of death (Dashed line in Figure 1). YLL can be calculated for any cause of death if the survival curve is obtained. Although YLL estimates are based on hypothetical survival curves, the actual number of deaths were used in the survival curves; thus, the estimates were robust and realistic. Detailed explanation on YLL as a public health index and YLL calculating formula are in Supplemental Material.

### Data

Number of deaths and the population in the subject area

To obtain the survival curves, mortality rates by age (age = 0, 1, 2, ..., 100+) were required. Mortality rate at age  $x$  ( $q_x$ ), which is an approximate slope of survival curve at age  $x$ , is obtained by dividing number of deaths at age  $x$  ( $d_x$ ) by surviving population at age  $x$  ( $l_x$ ). Detailed calculation method of mortality rate  $q_x$  is show in Supplemental Material. We obtained the survival curves for males and females separately because it is known that the mortality rates for each age differ between the sexes.

As a source of the number of deaths, we used vital registration records by age for the subject area (i.e., Soma City and Minamisoma City) from January 2006 to December 2015. The data obtained from the vital registration records were aggregated according to the municipalities and these were the original data which were composed of the national vital statistics. The data are usually undisclosed; however, the Ministry of Health, Labor and Welfare (MHLW) approved the secondary use of the records in compliance with the Statistics Act, and provided the data.

Ethics approval statement/Data availability statement

For Data acquisition and use for this study were approved by the Ethics Board of Fukushima Medical University (approval number: 30272). The data were obtained from MHLW and are not publicly available, however, data are available upon reasonable request to MHLW.

Table 1. Age- and sex-specific counts of direct and other death in the pre- and post-disaster period in the subject area

Age at death	Males			Females		
	Death other than direct death		Direct death in March 2011	Death other than direct death		Direct death in March 2011
	Pre-disaster period*	Post-disaster period*		Pre-disaster period	Post-disaster period	
0–9	16	5	18	12	4	13
10–19	7	6	20	4	5	28
20–29	19	24	20	11	6	17
30–39	35	17	30	24	10	21
40–49	77	51	38	39	18	33
50–59	239	157	71	111	80	68
60–69	464	517	102	181	197	92
70–79	1016	777	130	555	443	154
80–89	1070	1267	88	1229	1249	115
90–99	389	397	7	791	935	24
100+	12	17	0	68	76	2
Population of the subject area	53,430 (in 2010)	49,381 (in 2015)		56,293 (in 2010)	50,647 (in 2015)	

\* Pre-disaster period: 2006–2010, Post-disaster period: 2011–2015. The number of deaths is a sum of the deaths over a period of five years

The data were provided together with sex, age of death, and cause of death as per the International Classification of Diseases and Health-Related Problems, 10<sup>th</sup> Revision (ICD-10) for the subject area. We excluded 1091 deaths in 2011 as direct deaths because this study focused on the effects of death other than direct deaths. Direct death was defined according to a previous study.[1] Table 1 shows the counts of deaths other than direct death and direct death by age and sex. As a result, we analyzed 12627 data (in the pre-disaster period: 2006–2010; n = 6369 and in the post-disaster period: 2011–2015; n = 6258. The proportion of women in these periods was 47.4% and 48.3%, respectively). To investigate the indirect health effects of the disaster, we compared the YLL of post-disaster with pre-disaster period after excluding direct deaths. We did not identify the nationalities of the deceased persons from the data. The data we used also included residents who had moved outside the subject area, since registration was based on the residents’ pre-disaster addresses.

Population data from 2006 to 2015 were obtained from the Basic Resident Registers, the nationwide resident-registry network maintained by the municipality unit (city/town/village). This included foreigners and evacuees from outside of the subject area. We used population numbers as of 30<sup>th</sup> September or 1<sup>st</sup> October for each year for further analyses. We unified data for Soma City and Minamisoma City as one population and averaged the annual population both in the pre-disaster period (2006–2010) and in the post-disaster period (2011–2015) and obtained the 5-year average and standard deviation for both the populations and crude mortality rates, respectively.

#### Number of deaths and the Japanese population data

To compare the subject area with the Japanese national average, we obtained vital statistics and population data from the national statistics. Age-, sex-, and ICD-10-based cause-specific death data were obtained from the Japanese Statistics [17] in 2010 and 2015, respectively. Age- and sex-specific population data for the Japanese were obtained from Japanese statistics [18,19] for the years 2010 and 2015, respectively. We chose these years because of the availability of complete data set for the years, i.e., cause-specific death data, (living) population, and the extrapolation parameters that were required for the lifetable analyses.[16,20] We did not identify the nationalities of the deceased from the data.

#### Patient and Public Involvement

Patients and or the public were not involved in this study.

#### **Mortality rate and cause-specific YLL calculation**

For the subject area, mortality rates were calculated as 5-year averages (i.e., 2006–2010 and 2011–2015) based on the data shown in Table 1. The national average was calculated for a single year (2010 and 2015) based on the death data for the Japanese population. The rationale and methodological details of the calculation of mortality rates are shown in the Supplemental Material.

The method to obtain the mortality rate of ages 1 to 94 was modified from method described by the MHLW,[16,20] and that of ages 0 and more than 95 was estimated based on method and parameters described by the MHLW.[16,20] LEs were obtained by life table analysis using the age-specific mortality rates for both the subject area and the national average. The YLL was obtained at ages 0, 40, 65 and 75. We focused on the older people aged 65 and 75 as Japan is a super-aging society; hence, it would be important to distinguish the diseases that occur in for the younger from the diseases that occur in older people. [3]

We analyzed the following causes of death: heart diseases (ICD10: I00–59), cerebrovascular

diseases (I60–69), pneumonia (J10–19), and all cancers (C00–97). All cancers were specifically analyzed for the following types: breast (C50, females only), colorectal (C18–C20), leukemia (C90–C95), lung (C33–C34), stomach (C16), and uterine (C53–C55, females only).

Validation of the calculation method at LE at birth ( $LE_0$ )

LEs at birth ( $LE_0$ s) for the subject area were validated with official values calculated by the MHLW for Soma and Minamisoma cities separately.[21,22]  $LE_0$ s were officially reported by the MHLW for the Japanese national using complete life tables;[12] thus, we used these values to validate our estimates of  $LE_0$ s. As shown in Table 2, our estimates of  $LE_0$  were reasonably comparable for both the national average and the subject area, and small discrepancies were observed with the values obtained from the MHLW. The  $LE_0$  increased after the disaster, which showed the same trend as that for the national average and the subject area.

Table 2. Life expectancy at birth ( $LE_0$ ) based on calculated value and reported value for validation of the calculation method.

	Males		Females		Reference
	2010*	2015*	2010*	2015*	
The subject area, calculated *	78.27	79.67	85.00	86.29	This study [21,22]
The subject area, reported by MHLW #	78.78	80.84	85.97	86.12	
National-calculated	79.57	80.76	86.04	86.70	This study [3]
National-reported by MHLW	79.55	80.75	86.33	86.99	

\*: For the subject area, the calculated periods were 2006–2010 and 2011–2015 instead of 2010 and 2015, respectively.

#: Population-weighted average for Soma and Minamisoma cities.

YLL sensitivity analysis in the subject area

For the subject area, we performed a sensitivity analysis and estimated the uncertainty interval (UI) in addition to the point estimates of the YLLs. Since we observed annual variations in both population and mortality rates in the subject area, we assumed a normal distribution for these variations. In the subject area, which had a thousandth smaller cohort than the whole country, we considered that the annual variation in the population and the number of deaths were not negligible, and that it was better to indicate the YLL accompanied by uncertainty intervals which were derived from using a 5-year average. The Monte Carlo simulation was conducted using a random number generation based on the 5-year-average (2006–2010 and 2011–2015) and the standard deviations for both the populations and crude mortality rates at age 0–94 years. The details of calculation procedure are shown in Supplemental Material.

RESULTS

Cause-specific YLL for the subject area and the national average

Attributable YLLs for the subject area and the national average for heart diseases, cerebrovascular diseases, pneumonia, and cancer are shown (Figure 2a-d). Hereinafter, we refer to YLL at age 0 when we discuss YLL difference on the subject area and national average or at pre- and post-disaster. Results at ages 40, 65 and 75 are shown in the Supplemental Material (Figure S2). YLL decreased in the following order: cancer > heart disease > cerebrovascular disease > pneumonia, and this order was common for the subject area and the national average.

With respect to heart diseases and cerebrovascular disease, YLLs for the subject area were longer than YLLs for the national average for each age category and both sexes (Figures 2a, c and S2a-f). The YLLs of cancer for the subject area were shorter than the national average.

Differences in YLL pre- and post-disaster were calculated (Figure 2b, d). For the national average, a difference was shown as a point-estimate value, and a value of more than 0 indicated post-disaster YLL improvement. For the subject area, a difference was observed with a value with a UI. If the UI did not include 0, there was a significant difference in YLL between pre- and post-disaster. YLLs decreased after the disaster for both the national average and the subject area. This is commonly observed for males and females; however, the tendency of YLL decrease was different between sexes. Few characteristics were observed to be specific to the subject area. In contrast, statistically significant post-disaster YLL increases were not observed for any of the causes of death.

YLL attributed to heart diseases showed no decrease in males after the disaster (Figure 2a). In contrast, for females, it decreased after the disaster (Figure 2c). The difference was 0.37 (95% UI: 0.18–0.57) years at age 0 (Figure 2d), and the differences at ages 40 and 65 were 0.35 (0.16–0.55) and 0.26 (0.09–0.44) years, respectively (Figure S4d, e). These results showed an apparent improvement for heart diseases in females.

The YLL for cerebrovascular diseases decreased by 0.27 (0.09–0.44) years for males (Figure 2b) and 0.18 (0.04–0.32) years for females (Figure 2d), respectively, for the subject area after the disaster. These statistically significant YLL decreases were observed at ages 40, 65 and 75 for both sexes (Figure S4). However, the YLLs for the subject area post-disaster were still larger than those for the national average.

For pneumonia, the YLL in the subject area was comparable to that of the national average. YLL due to pneumonia in males decreased in the post-disaster period (Figure 2b) but did not decrease in females (Figure 2d).

YLL attributed to cancer was the longest among the four causes of death, even at the age 75.

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3 292 The YLL due to all cancers showed little change after the disaster in both males and females,  
4 293 but YLL in the subject area was less than the national average.  
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8 295 Figure 3 and Figure S3 show the YLL breakdown for specific cancer types. As for stomach  
9 296 cancer (male) and leukemia (female), the YLL for the subject area increased than that for the  
10 297 national average found pre-disaster (Figures 3a, c). The YLLs due to lung cancer for both sexes  
11 298 pre-disaster, and for females post-disaster, were smaller than that for the national average.  
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13 299 Although the difference between pre- and post-disaster was small due to a small number of  
14 300 deaths due to these cancers, significant YLL decreases were observed for stomach cancer  
15 301 (males), breast cancer, and leukemia (females). The YLL differences of those were 0.15 (0.02–  
16 302 0.29) years (Figure 3b), and 0.12 (0.00–0.24) and 0.14 (0.07–0.23) years (Figure 3d),  
17 303 respectively. The YLL differences between pre- and post-disaster for breast cancer and  
18 304 leukemia (females) were larger than those for the national average while YLL decreases in the  
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20 305 national average were hardly observed.  
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26 307 **DISCUSSION**

27 308 We compared the cause-specific YLLs of a disaster-affected area in pre- and post-disaster  
28 309 periods with that of the national average. Studies have discussed YLL in Fukushima prefecture  
29 310 [6] and age-adjusted mortality rate in the subject area;[1,23] however, our study provided YLL  
30 311 changes by cause of death and sex at the municipal level in a disaster-affected area. The YLL  
31 312 calculation methods used for the subject area and the national average were not identical due to  
32 313 the difference of population size and number of deaths in both cohorts; however, this  
33 314 methodological discrepancy should not have a great effect on the interpretation of the results.  
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39 316 Our YLL estimates were based on the actual number of deaths in the subject area; thus, the  
40 317 estimates were robust and realistic. Moreover, YLL estimates were more objective than  
41 318 disability-adjusted life year (DALY) estimates because DALY estimates might require  
42 319 controversial processes of setting parameters, such as severity weights or durations of  
43 320 disability.[24] However, our analysis could not consider health outcomes other than death, such  
44 321 as the deterioration of quality of life (QoL). Another advantage of YLL is its versatile  
45 322 applicability for any age category in the region of interest. Thus, this index would provide health  
46 323 planners and policymakers at both the national and specific areas, more refined tools to adapt  
47 324 local public health initiatives to meet the health needs of local populations by age  
48 325 categories.[25]  
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56 327 We focused on our prominent causes of death as follows: heart disease, cerebrovascular disease,  
57 328 pneumonia, and all cancers, and four (for males) and six (for females) specific major cancers.  
58 329 The primary finding of our study is that the YLL decreased in the disaster-affected  
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municipalities in Fukushima for the prominent causes. Decrease in YLL was observed for heart diseases (females), cerebrovascular diseases (both sexes), pneumonia (males), breast cancer (females), leukemia (female), and stomach cancer (males). This tendency was also reported in a previous study in which another public health index, the relative risk of mortality was used in the analysis.[1] The extent of YLL decrease is larger in the subject area than the national average for heart diseases (females at ages 0 and 40), pneumonia (males aged 65 and 75), and breast cancer (females at age 0), and leukemia (females at age 0).

This study emphasized the importance of understanding how the health situation changed or how YLL has decreased for the whole society in disaster-affected areas, rather than focusing only on small mortality increases caused by radiation exposure, which was at statistically undetectable levels. Importantly, YLL attributed to cancer did not increase even after the nuclear disaster, irrespective of the concern about radiation exposure. The increase in radiation exposure due to nuclear accidents was limited in Fukushima, and cancer incidence related to radiation exposure from the nuclear accident, including thyroid cancer, has not been documented.[26] Furthermore, lifestyle changes due to the disaster did not seem to bring about an apparent increase in death within 5 years since the disaster. This might be because various medical countermeasures were implemented in the subject area. In contrast, an increase in the prevalence of lifestyle diseases has been reported in Fukushima.[27] The appearance of outcomes, such as death, derived from radiation exposure or lifestyle diseases, would be delayed after a long time. In this context, YLL estimates helped express how the health situation changed comprehensively. Residents in the disaster-affected area experienced various kinds of damage, such as physical, medical, and mental damage, not only by radiation exposure. Therefore, an evaluation index that includes multiple viewpoints is effective. YLL is suitable at this point, and QoL may be also suitable.

Two reasons can explain the decrease in YLL post-disaster. One is the direct effect of earthquakes, tsunamis, and aftermath, which might cause the premature death of people with chronic health problems. However, we observed both an apparent decrease in YLL and little change in YLL in chronic diseases. The extent of YLL changes differed according to the cause of death and by sex. Thus, premature death caused by the earthquake and tsunami for people with chronic health problems would explain only a part of the YLL decrease. For additional analysis, we calculated the YLL post-disaster separately for two periods. One is for 2011, i.e., “disordered period” of just one year after the disaster and 2012–15 i.e., “recovered period” (Tables S1a and S1b). Focusing on the causes of death that had a  $\pm 0.3$  years difference in YLL between 2011 and 2012–2015, we observed a YLL increase due to heart disease in males and a YLL decrease due to pneumonia in males. This means that the extent of YLL changes differed by cause of death and sex.

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5 369 Elongation of LE (or decrease of YLL) is not explained only by elderly people's death because  
6 370 LE is calculated only from age-specific mortality rates. The other aspect to be considered is  
7 371 whether medical intervention or medical measures are in effect. The decrease in YLL could be  
8 372 due to both the medical measures taken before the disaster, which takes time to show an effect,  
9 373 and the measures taken after the disaster. The former is, for example, smoking cessation to  
10 374 prevent cancer or controlling salt intake to prevent cerebrovascular diseases. The latter is, for  
11 375 example, improving cancer screening and medical treatment techniques. This might be partly  
12 376 explained by the reduction of mortality in line with the application of new technologies or  
13 377 improved management of diseases such as all cancers.[28]  
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17 379 There might be many reasons for the decrease in YLL in the subject area. YLL decrease for  
18 380 heart diseases (females) and cerebrovascular disease (both sexes) could be due to improved  
19 381 medical treatment techniques, or the implementation of countermeasures by the municipal or  
20 382 prefectural government. YLL decrease in the cancers [breast cancer (females), leukemia  
21 383 (females), and stomach cancer (males)] may be partly due to improvements in the municipal  
22 384 mass-screening system of cancers, or changes in the medical care system in the subject area.  
23 385  
24 386 Although these improvements were observed, YLLs for certain causes of death were longer  
25 387 than the national average, such as heart diseases (males) and cerebrovascular disease (both  
26 388 sexes). Residences in the Tohoku area, including Fukushima Prefecture, have a high prevalence  
27 389 of heart disease and cerebrovascular disease. This may be caused due to local eating habits such  
28 390 as a diet with high salt content and a shortage of exercise due to high motorization rates, which  
29 391 are common in the Tohoku area. In addition to these conditions, the disaster might worsen the  
30 392 situation in Fukushima. Thus, medical or societal measures to reduce death should be  
31 393 intensively studied. Possible measures would be to improve habits for preventing lifestyle  
32 394 diseases or close societal relationships to strengthen communication among residents.  
33 395  
34 396 In future, YLL estimation can be performed for the seashore area (Hamadori) or the entire  
35 397 Fukushima prefecture, where no evacuation area is included, for comparison purposes. The  
36 398 Hamadori includes mandatory evacuation areas, where the whole municipality was relocated to  
37 399 another place due to precautionary protection from high radiation doses. Residences have been  
38 400 experiencing drastic changes in their living status, such as repeated evacuation or living in  
39 401 temporary housing. They might have been facing more challenging conditions than those in the  
40 402 subject area of this study. The high degree of physical inactivity or lack of communication  
41 403 among residents may accelerate this challenging condition. Furthermore, relocation might  
42 404 affect access to hospitals or medical facilities. Our study could not consider these characteristics,  
43 405 and it would be important to compare YLL differences and changes between pre- and post-

disaster in these areas.

This study has some methodological limitations. The first is the uncertainty of the death data. Although death records have a universal, robust definition of the cause of death (ICD-10), they have the possibility of being misclassified and incomplete, particularly in an aging population.[29] Second, we could not determine whether the populations and numbers of deaths in the data we used were sufficiently large in the subject area. We might discuss the appropriate population size for municipal-level analysis. We excluded causes of death with small numbers, such as suicide, from the analysis due to the lower plausibility of the result, and this might lead to an arbitrary selection of causes of death. The population data we used included the number of residents who moved their registrations outside the subject area, which might bring uncertainty. Furthermore, the reason for the decrease in the YLL may be more complicated and should be looked at in greater detail, taking into consideration effects other than medical, such as perception or behavior changes on health pursuit after the disaster.

Although some technical limitations remain, this analysis, which clarifies the causes of death that had reduced YLLs and shows the degree of potential improvement of public health in that area, and will facilitate prioritization for local health control policy and better resource allocation. The results can be useful to assess the performance of the medical (or societal) measures that the municipal, prefectural, or national government emphasized before the disaster.

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#### Competing Interest

The authors declare no conflicts of interest associated with this manuscript.

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#### Contributions

Conceptualization: KO, MM, and MT

Data curation: KO, MM, and MT

Formal analysis: KO, MM

Funding acquisition: MT and MM

Investigation: KO, MM, and MT

Methodology: KO and MM

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Visualization: KO  
Writing (original draft): KO  
Writing (review and editing): KO, MM, and MT

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Figure legends

Figure 1. Conceptual diagram of survival curve and loss of life years.

Figure 2a-d. YLLs for age 0 due to heart disease, cerebrovascular disease, pneumonia, and cancer before and after the disaster. a: Males; b: Difference of YLL ([pre-disaster] - [post-disaster]) of males. c: Females; d: Difference of YLL females. For the subject area (Soma and Minamisoma cities), the error bar indicates the 95% UI of the estimate.

Figure 3a-d. YLLs for age 0 due to specific cancers. a: YLLs of males; b: Difference of YLL ([pre-disaster] - [post-disaster]) of males. a: YLLs of females; b: Difference of YLL females. For the subject area (Soma and Minamisoma cities), the error bar indicates the 95% uncertainty interval (95% UI) of the estimate.

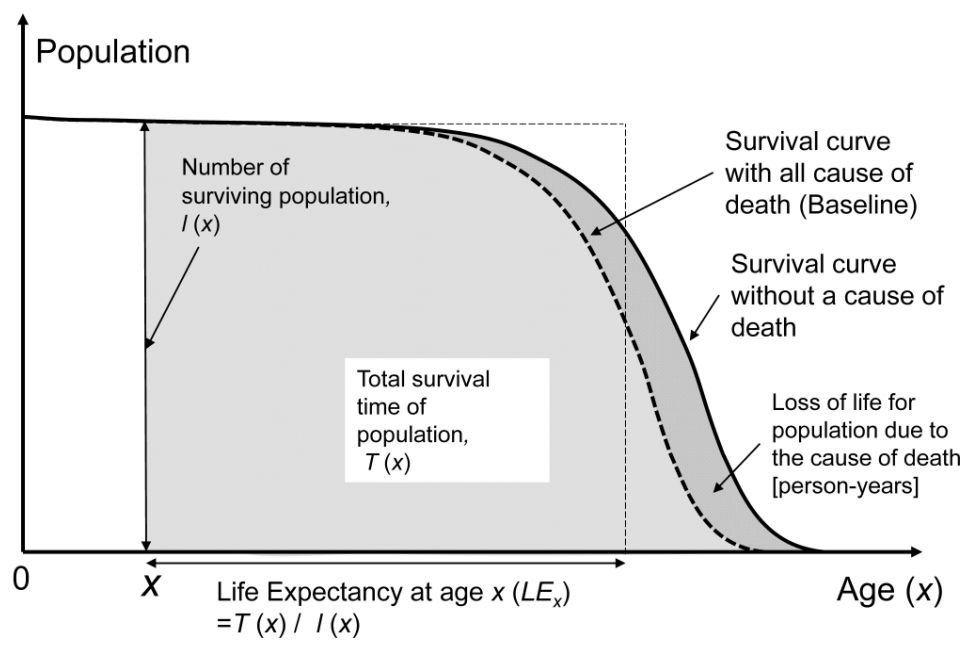


Figure 1. Conceptual diagram of survival curve and loss of life years.

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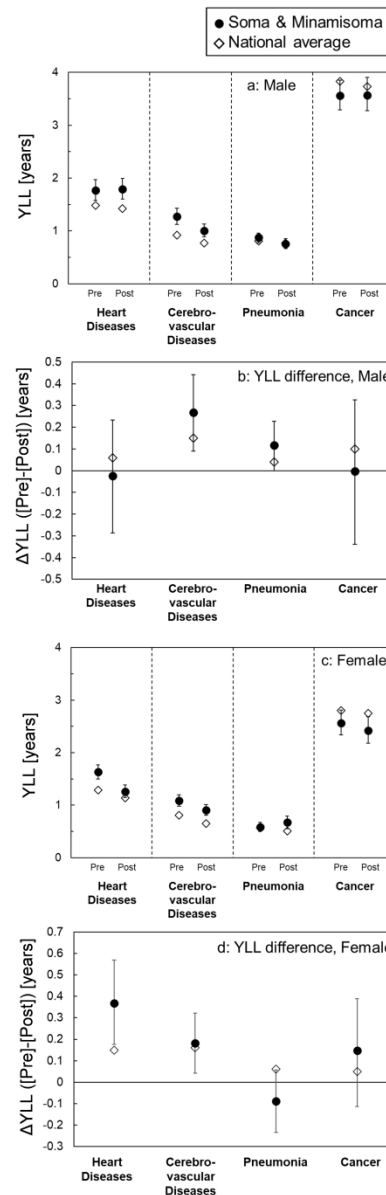


Figure 2a-d. YLLs for age 0 due to heart disease, cerebrovascular disease, pneumonia, and cancer before and after the disaster. a: Males; b: Difference of YLL ([pre-disaster] - [post-disaster]) of males. c: Females; d: Difference of YLL females. For the subject area (Soma and Minamisoma cities), the error bar indicates the 95% UI of the estimate.

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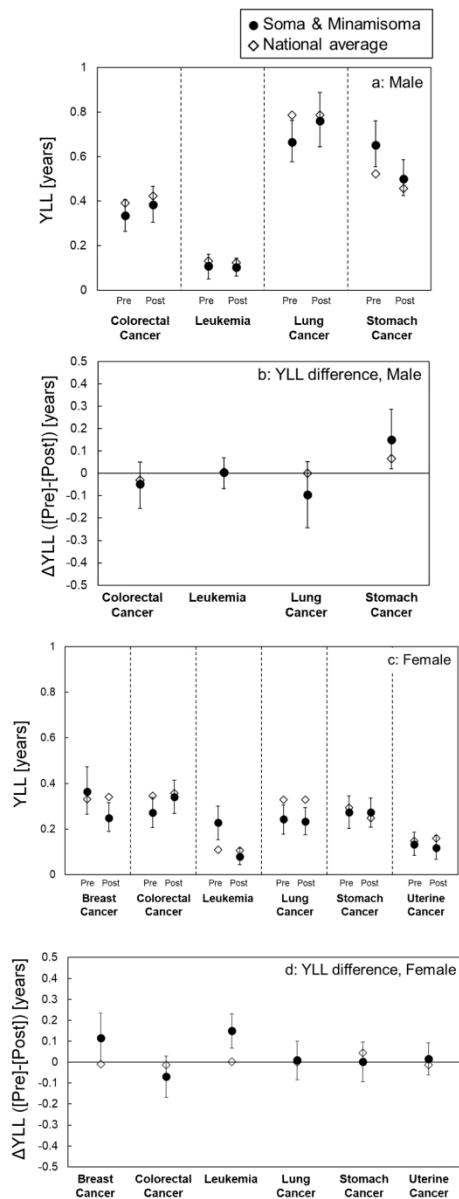


Figure 3a-d. YLLs for age 0 due to specific cancers. a: YLLs of males; b: Difference of YLL ([pre-disaster] - [post-disaster]) of males. a: YLLs of females; b: Difference of YLL females. For the subject area (Soma and Minamisoma cities), the error bar indicates the 95% uncertainty interval (95% UI) of the estimate.

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## Supplemental Material

### Title

Was there an improvement in the years of life lost (YLLs) for noncommunicable diseases in the Soma and Minamisoma Cities of Fukushima after the 2011 disaster?: A longitudinal study

### Authors

Kyoko Ono<sup>1)</sup>, Michio Murakami<sup>2)</sup>, #, Masaharu Tsubokura<sup>3), 4)</sup>

### Affiliations

1) Research Institute of Science for Safety and Sustainability, National Institute of Advanced Industrial Science and Technology, 16-1 Onogawa, Tsukuba, Ibaraki 305-8569, Japan

2) Department of Health Risk Communication, Fukushima Medical University School of Medicine, Fukushima City, Fukushima 960-1295, Japan

3) Department of Radiation Health Management, Fukushima Medical University School of Medicine, Fukushima City, Fukushima 960-1295, Japan

4) Research Center for Community Health, Minamisoma Municipal General Hospital, Minamisoma City, Fukushima 975-0033, Japan

# Center for Infectious Disease Education and Research, Osaka University, Suita City, Osaka, 565-0871, Japan (current address)

### Correspondence

Kyoko ONO, PhD.

Research Institute of Science for Safety and Sustainability, National Institute of Advanced Industrial Science and Technology, 16-1 Onogawa, Tsukuba, Ibaraki 305-8569, Japan

Tel: +81-29-861-4854

Fax: +81-29-861-8411

E-mail: [kyoko.ono@aist.go.jp](mailto:kyoko.ono@aist.go.jp)

ORCID iD: 0000-0001-8100-3905

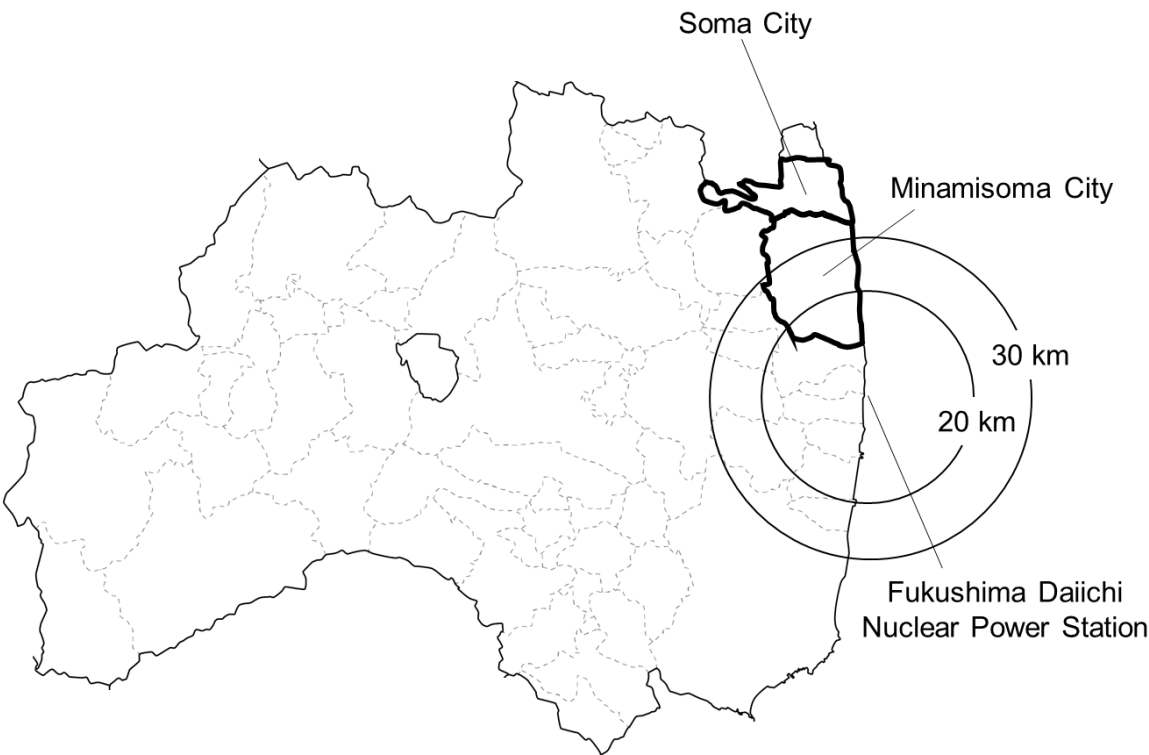


Figure S1. Location of Soma City and Minamisoma City.

## MATERIALS AND METHODS

### Rationale of calculation for life expectancy (LE) and years of life lost (YLL)

Life expectancy (LE) is an index of the health status of a cohort, which is calculated from the age-specific mortality of a specific cohort over a given period using the life table method. This measure emphasizes the impact of deaths occurring in younger age groups compared to the relative risk or hazard of mortality.[1] YLL is the difference in LE between a cohort with a specific cause of death and for the cohort in which the cause of death was eliminated. YLL is a population outcome of social health. For example, the Global Burden of Disease studies [2] adopted the YLL as an index of regional health.

LE can be calculated from the age-specific mortality rates (life table analysis). Using the death data and population data, we conducted a life-table analysis for the subject area and the national average of Japan, respectively. The life table consists of the mortality rate, number of surviving population  $l$ , number of deaths  $d$ , age-specific mortality  $q$ , which is obtained by dividing number of deaths by the number of the surviving population, and total survival time of population  $T$ .

A conceptual diagram of the YLL is shown in Figure 1. A detailed explanation of the calculation of LE and YLL has been provided elsewhere.[3] Generally, an LE at age  $x$  is the value of how long a person survives on average in the population after age  $x$ . Survival at age  $x$  is described by the mortality rate at age  $x$ . LE can be obtained by dividing the total survival time of the population.

$$T_x = \int_x^{\infty} l_t dt \quad (\text{eq. 1})$$

Here,  $T_x$  [unit: person-years] is the total survival time of the population after age  $x$  by the population  $l_x$  at age  $x$ . LE at age  $x$ ;  $e_x$  [unit: years] is obtained as

$$e_x = \frac{T_x}{l_x} \quad (\text{eq. 2})$$

$YLL_x$  was defined as the difference of  $e_x$  between a risk event ( $e'_x$ ) and without a risk event ( $e_x$ ) at age  $x$ :

$$YLL_x = e_x - e'_x \quad (\text{eq. 3})$$

YLL can be estimated for any risk event that causes additional mortality. YLL can be estimated for any population if the survival probabilities are available for the population.

**Mortality rate**

We obtained the mortality rate of patients aged 1–94 years using the following concept. Based on the basics of human demographics that normalized the mortality rate of age, which is the ratio of the number of deaths at the age of  $x$  in an arbitrary year to the number of population (survivals) at the age of  $x$  in the middle of the year. In the formula,

$$q_x = \frac{d_x}{l_x + \frac{d_x}{2}} \quad (\text{eq. 4})$$

where  $q_x$  is the mortality rate at age  $x$ . If death occurs at a constant rate, the number of population at age  $x$  at the beginning of the observation period should be  $l_x + d_x/2$ . For the right side of (eq.4), divide both the numerator and denominator by  $l_x$  and replace  $d_x/l_x$  as  $m_x$ .

$$\frac{d_x}{l_x + \frac{d_x}{2}} = \frac{\frac{d_x}{N_x}}{\frac{l_x + \frac{d_x}{2}}{l_x + \frac{d_x}{2} \times l_x}} \quad (\text{eq. 5})$$

$$q_x = \frac{m_x}{1 + \frac{m_x}{2}} \quad (\text{eq. 6})$$

where  $q_x$  is the mortality rate at age  $x$ , and  $m_x$  is the crude mortality rate at age  $x$ . Thus, we calculated  $q_x$  using (eq. 6) for further analyses. We calculated mortality rates at age  $x$  with risk events ( $q_x'$ ) in the same way using cause-specific death data.

The mortality rates at age 0 were adopted as national values for 2010 and 2015, respectively. Both were reported by the MHLW.[4,5] The birth data of the subject area did not include details on the month of birth or death for babies at age 0. Generally, the baby cohort has a large change in mortality over a short period of time. Thus, monthly life table data should be used for these analyses, but we could not do so due to limited data availability at age 0. Therefore, we adopted national data to calculate  $q_0$  for the subject area. Although this assumption for the age 0 might cause a discrepancy in YLL because YLL weighs heavily on younger age, we assumed the discrepancy was negligible by using the national data instead of data of the subject area. At ages over 95 years, we used the force of mortality instead of  $q_x$ . This assumption is commonly used for national averages and subject areas. The force of mortality was based on Gompertz–Makeham coefficients obtained from the MHLW [6,7] because of the large annual variability of  $q$  in this age range because the number of deaths for the population is small. This assumption on mortality rates for the elderly, such as for an age over 95 years, has little effect on the calculated results of LE.

**Methodological details of sensitivity analysis on YLL in the subject area**

We performed a sensitivity analysis for the subject area. The Monte Carlo simulation was conducted using a random number generation based on the 5-year-average and standard deviation for both the populations and crude mortality rates at age 0–94 years before the

calculation of the mortality rates. The uncertainty interval (UI) was estimated according to the following procedure:

Oracle Crystal Ball ver.11.1 was used for the Monte Carlo simulation. We used two-sided truncated normal distributions for crude mortality rates to avoid a random selection of crude mortality rates of less than 0. Thus, the distributions were set as symmetrical, around the average, with the lower limit being 0 and the upper limit being two times the average. The Excel add-in "NTTRUNCNORMINV" function in NtRand Ver 3.3.0 [8] was combined with the Monte Carlo simulation. Sampling was performed according to the Latin hypercube method, and the number of trials was set to 10000 times. Random numbers were generated for all the causes of death and for each specific cause of death, separately, and the calculation of YLL was conducted at each trial. At age 0 and at ages over 95 years, we assumed no distribution for the force of mortalities.

We performed an additional Monte Carlo simulation with the condition that the mortality rate  $q$  was less than 0 (no truncated option) for validation. We confirmed that the change in the median was approximately 3% for the absolute value of YLL and the truncated assumption rendered the median change into both higher and lower values. Although the range of the UIs was broadened, it was confirmed that the conditions with and without the truncated option did not affect the results significantly.

124 YLL and its difference at ages 40, 65 and 75

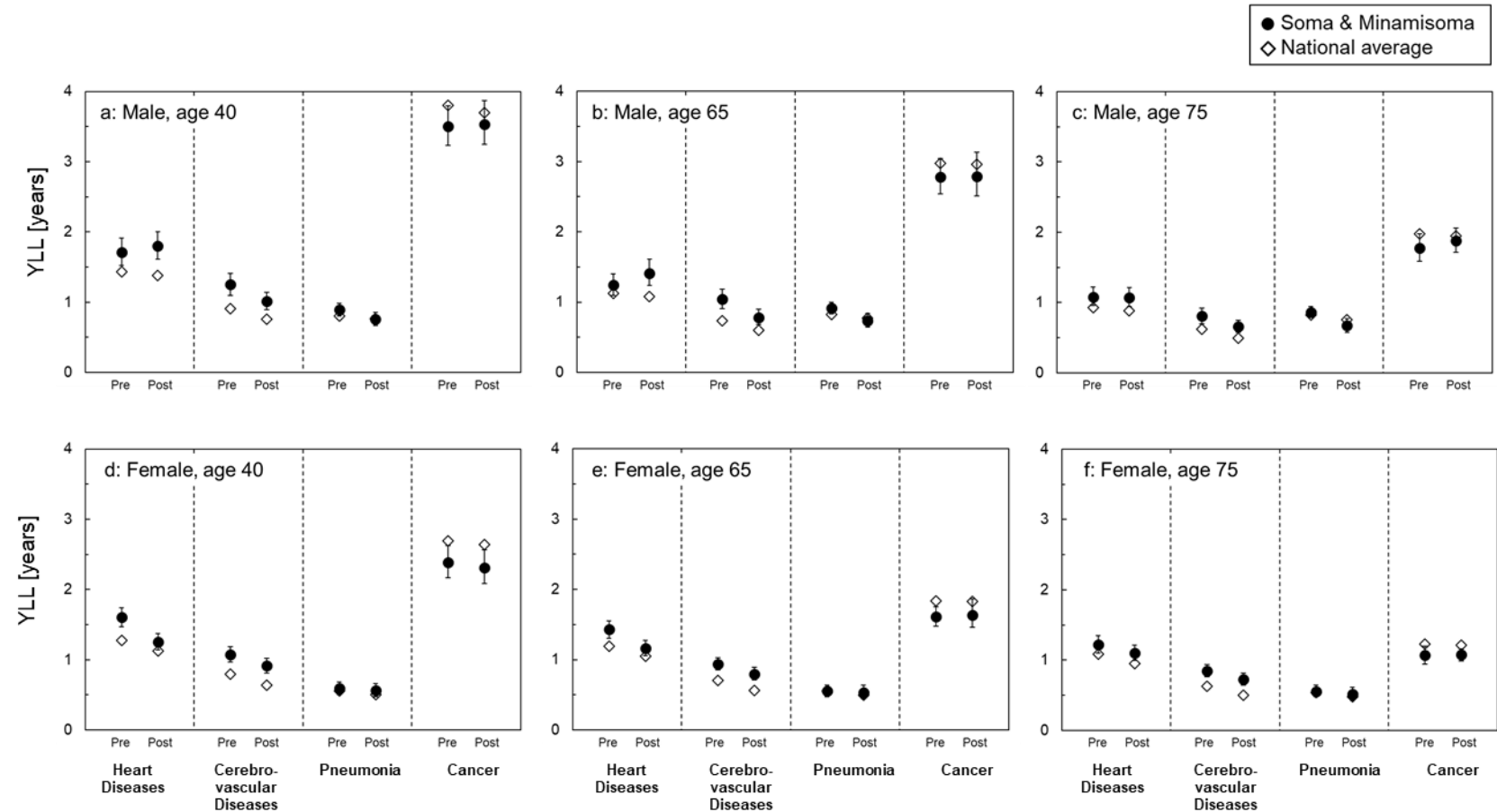


Figure S2a-f. YLLs due to heart diseases, cerebrovascular diseases, pneumonia, and cancer before and after the disaster of ages 40, 65 and 75 (a–c: Males, d–f: Females). For the subject area (Soma and Minamisoma cities), the error bar indicates the 95% uncertainty interval (95% UI) of the estimate.



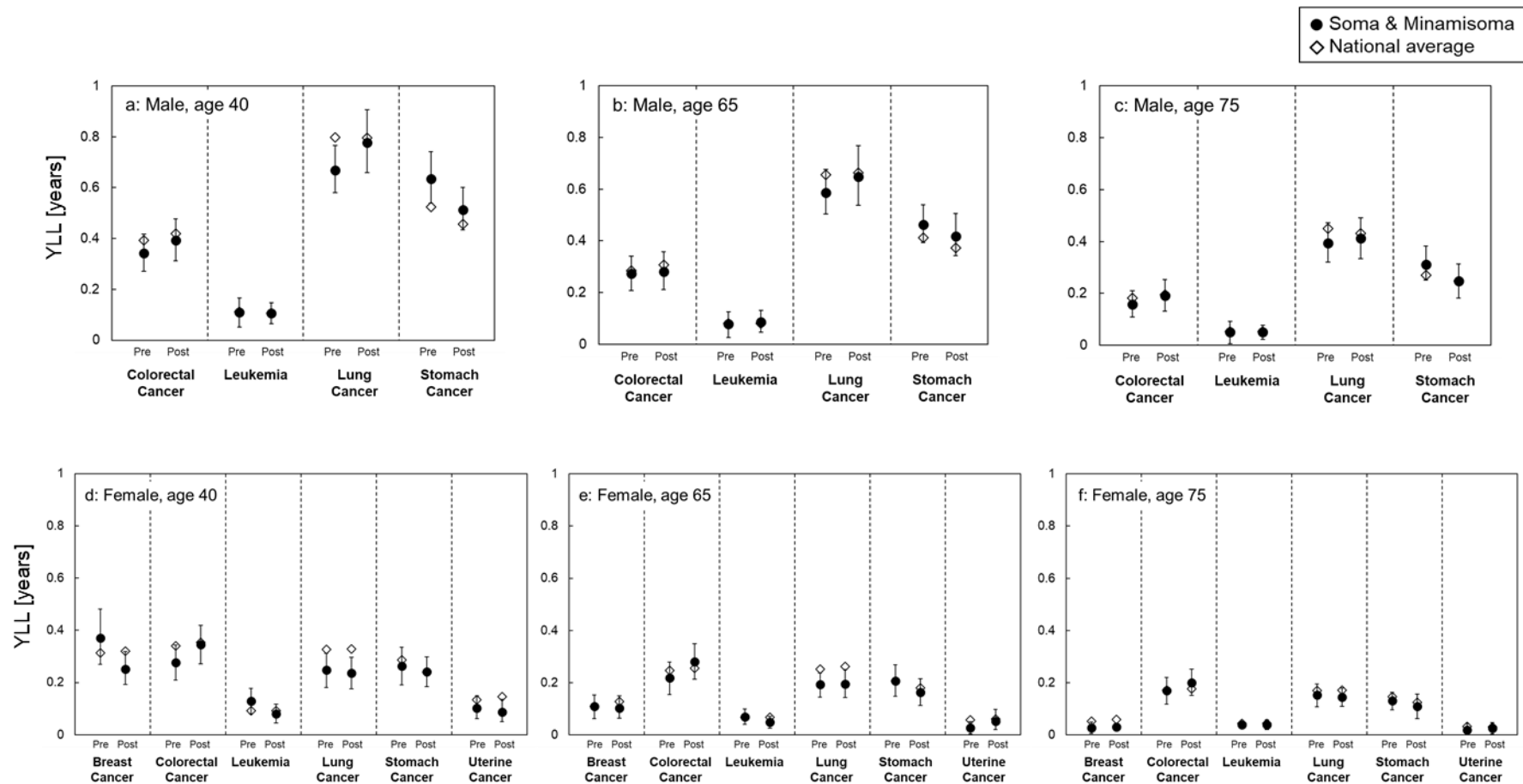


Figure S3a-f. YLLs due to specific cancers before and after the disaster at ages 40, 65 and 75 (a–c: Males; colorectal cancer, leukemia, lung cancer, and stomach cancer. d–f: Females, breast cancer, colorectal cancer, leukemia, lung cancer, stomach cancer and uterine cancer.). For the subject area (Soma and Minamisoma cities), the error bar indicates the 95% uncertainty interval (95% UI) of the estimate.

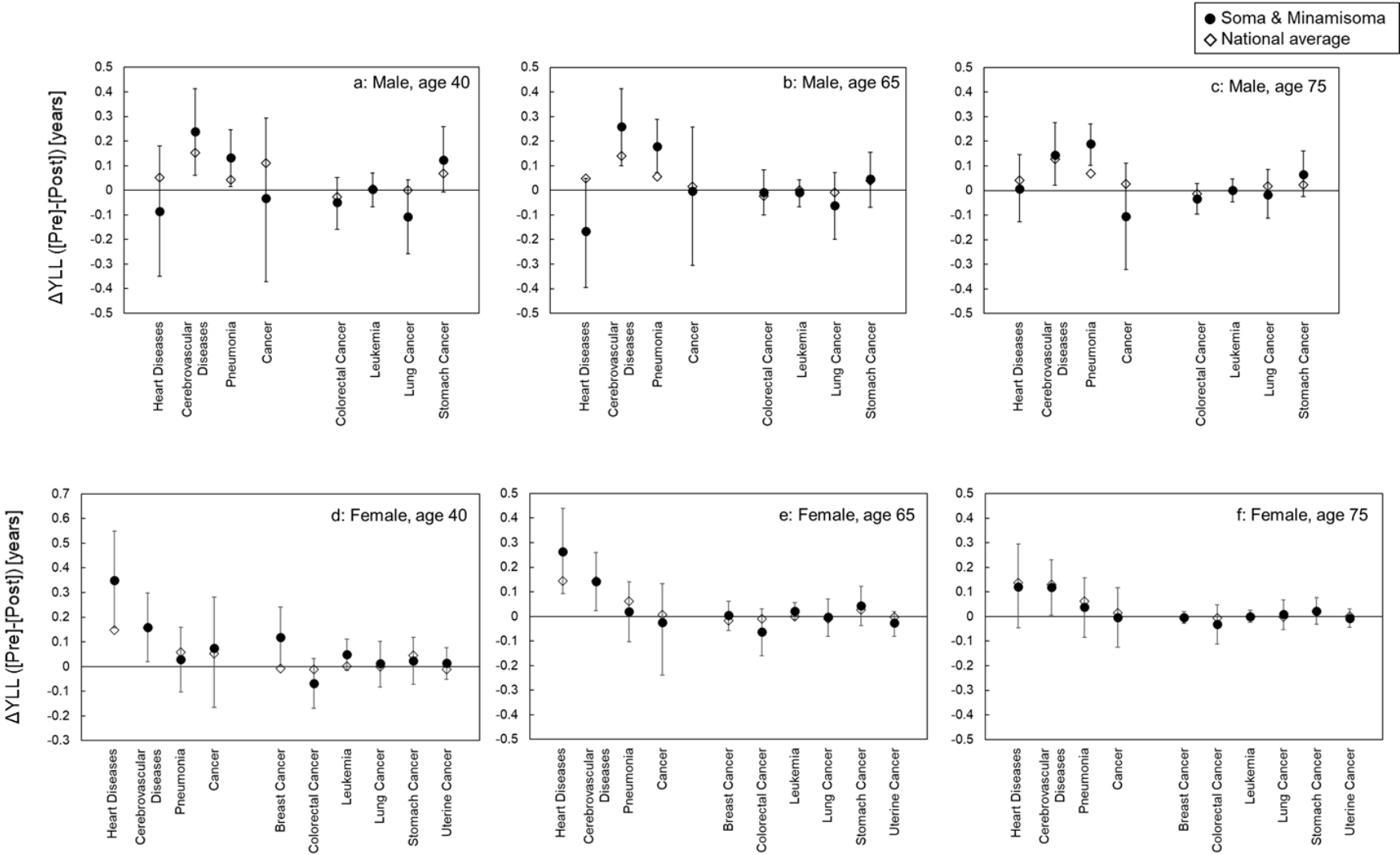


Figure S4a-f. Differences between YLL pre-disaster and YLL post-disaster at ages 40, 65 and 75 (a –c: Males, d–f: Females). For the subject area (Soma and Minamisoma cities), the error bar indicates the 95% UI of the estimate.

### YLL at the year of the disaster (2011) and after the year of the disaster (2012–2015)

We calculated the YLL post-disaster separately for two periods, i.e. 2011 and 2012–2015 (Tables S1a and S1b). For YLL in 2011, we used population data and death records for a single year (2011) and calculated the values. Similar to that for YLL in 2012–2015, we used population data and death records for the four years and calculated the values. The UI of the estimation was not calculated. The mortality rate at age 0 followed the national values in 2015, both reported by the MHLW.[5] For ages over 95 years, we used the force of mortality instead of  $q_x$ . The force of mortality was based on the Gompertz–Makeham coefficients obtained from the MHLW.[7]

Table S1a. YLL at the year of the disaster (2011) and after the year of the disaster (2012–2015) [years]: Males

	Age 0 years		Age 40 years		Age 65 years		Age 75 years	
	2011	2012–2015	2011	2012–2015	2011	2012–2015	2011	2012–2015
Heart diseases	1.53	1.86	1.57	1.86	1.37	1.41	1.00	1.10
Cerebrovascular diseases	1.08	0.98	1.05	1.00	0.84	0.76	0.77	0.64
Pneumonia	1.05	0.69	1.08	0.69	1.02	0.67	0.90	0.61
Cancer	3.24	3.62	3.19	3.60	2.26	2.90	1.65	1.95

Table S1b. YLL at the year of the disaster (2011) and after the year of the disaster (2012–2015) [years]: Females

	Age 0 years		Age 40 years		Age 65 years		Age 75 years	
	2011	2012–2015	2011	2012–2015	2011	2012–2015	2011	2012–2015
Heart diseases	1.33	1.24	1.33	1.22	1.28	1.12	1.22	1.06
Cerebrovascular diseases	0.87	0.91	0.88	0.92	0.68	0.82	0.70	0.73
Pneumonia	0.61	0.68	0.62	0.54	0.60	0.51	0.62	0.48
Cancer	2.26	2.44	2.11	2.34	1.43	1.67	0.86	1.13

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[5] MHLW (Japanese Ministry of Health Labour and Welfare). Table A. The 22nd Life Tables, 2015. 2015.

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**The RECORD statement – checklist of items, extended from the STROBE statement, that should be reported in observational studies using routinely collected health data.**

	Item No.	STROBE items	Location in manuscript where items are reported	RECORD items	Location in manuscript where items are reported
<b>Title and abstract</b>					
	1	(a) Indicate the study's design with a commonly used term in the title or the abstract (b) Provide in the abstract an informative and balanced summary of what was done and what was found	-	RECORD 1.1: The type of data used should be specified in the title or abstract. When possible, the name of the databases used should be included.  RECORD 1.2: If applicable, the geographic region and timeframe within which the study took place should be reported in the title or abstract.  RECORD 1.3: If linkage between databases was conducted for the study, this should be clearly stated in the title or abstract.P0	"Participants" in Abstract  Title "Objectives" in Abstract  Not Applicable
<b>Introduction</b>					
Background rationale	2	Explain the scientific background and rationale for the investigation being reported	-		Not Applicable
Objectives	3	State specific objectives, including any prespecified hypotheses	-		Not Applicable
<b>Methods</b>					
Study Design	4	Present key elements of study design early in the paper	-		Not Applicable
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	-		Not Applicable

Participants	6	<p>(a) <i>Cohort study</i> - Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up</p> <p><i>Case-control study</i> - Give the eligibility criteria, and the sources and methods of case ascertainment and control selection. Give the rationale for the choice of cases and controls</p> <p><i>Cross-sectional study</i> - Give the eligibility criteria, and the sources and methods of selection of participants</p> <p>(b) <i>Cohort study</i> - For matched studies, give matching criteria and number of exposed and unexposed</p> <p><i>Case-control study</i> - For matched studies, give matching criteria and the number of controls per case</p>	-	<p>RECORD 6.1: The methods of study population selection (such as codes or algorithms used to identify subjects) should be listed in detail. If this is not possible, an explanation should be provided.</p> <p>RECORD 6.2: Any validation studies of the codes or algorithms used to select the population should be referenced. If validation was conducted for this study and not published elsewhere, detailed methods and results should be provided.</p> <p>RECORD 6.3: If the study involved linkage of databases, consider use of a flow diagram or other graphical display to demonstrate the data linkage process, including the number of individuals with linked data at each stage.</p>	<p>Subsection “Mortality and population in the subject area” L.152-159, L.179-186</p> <p>L.221-234</p> <p>Not Applicable</p>
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable.	-	RECORD 7.1: A complete list of codes and algorithms used to classify exposures, outcomes, confounders, and effect modifiers should be provided. If these cannot be reported, an explanation should be provided.	L.157- “Mortality rate” in Supplemental Material (L.69- 97)
Data sources/ measurement	8	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	-		Not Applicable

Bias	9	Describe any efforts to address potential sources of bias	-		Not Applicable
Study size	10	Explain how the study size was arrived at	-		Not Applicable
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen, and why	-		Not Applicable
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding (b) Describe any methods used to examine subgroups and interactions (c) Explain how missing data were addressed (d) <i>Cohort study</i> - If applicable, explain how loss to follow-up was addressed <i>Case-control study</i> - If applicable, explain how matching of cases and controls was addressed <i>Cross-sectional study</i> - If applicable, describe analytical methods taking account of sampling strategy (e) Describe any sensitivity analyses	-		Not Applicable
Data access and cleaning methods		..	-	RECORD 12.1: Authors should describe the extent to which the investigators had access to the database population used to create the study population.	L.152-159, L.179-186

				RECORD 12.2: Authors should provide information on the data cleaning methods used in the study.	L.166-177
Linkage		..		RECORD 12.3: State whether the study included person-level, institutional-level, or other data linkage across two or more databases. The methods of linkage and methods of linkage quality evaluation should be provided.	L.152-159, L.179-186
<b>Results</b>					
Participants	13	(a) Report the numbers of individuals at each stage of the study ( <i>e.g.</i> , numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed) (b) Give reasons for non-participation at each stage. (c) Consider use of a flow diagram	-	RECORD 13.1: Describe in detail the selection of the persons included in the study ( <i>i.e.</i> , study population selection) including filtering based on data quality, data availability and linkage. The selection of included persons can be described in the text and/or by means of the study flow diagram.	(L.152-159, L.179-186)
Descriptive data	14	(a) Give characteristics of study participants ( <i>e.g.</i> , demographic, clinical, social) and information on exposures and potential confounders (b) Indicate the number of participants with missing data for each variable of interest (c) <i>Cohort study</i> - summarise follow-up time ( <i>e.g.</i> , average and total amount)	-		Not Applicable
Outcome data	15	<i>Cohort study</i> - Report numbers of outcome events or summary measures over time <i>Case-control study</i> - Report numbers in each exposure	-		Not Applicable



		category, or summary measures of exposure <i>Cross-sectional study</i> - Report numbers of outcome events or summary measures			
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (e.g., 95% confidence interval). Make clear which confounders were adjusted for and why they were included (b) Report category boundaries when continuous variables were categorized (c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	-		Not Applicable
Other analyses	17	Report other analyses done—e.g., analyses of subgroups and interactions, and sensitivity analyses	-		(The results showed sensitivity analyses as well.)
<b>Discussion</b>					
Key results	18	Summarise key results with reference to study objectives	-		L323-332
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	-	RECORD 19.1: Discuss the implications of using data that were not created or collected to answer the specific research question(s). Include discussion of misclassification bias, unmeasured confounding, missing data, and changing eligibility over time, as they pertain to the study being reported.	L403-414
Interpretation	20	Give a cautious overall interpretation of results considering objectives,	-		Not Applicable

		limitations, multiplicity of analyses, results from similar studies, and other relevant evidence			
Generalisability	21	Discuss the generalisability (external validity) of the study results	-		Not Applicable
Other Information					
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	-		Not Applicable
Accessibility of protocol, raw data, and programming code		..		RECORD 22.1: Authors should provide information on how to access any supplemental information such as the study protocol, raw data, or programming code.	Supplemental information will be downloaded at a designated site.

\*Reference: Benchimol EI, Smeeth L, Guttman A, Harron K, Moher D, Petersen I, Sørensen HT, von Elm E, Langan SM, the RECORD Working Committee. The REporting of studies Conducted using Observational Routinely-collected health Data (RECORD) Statement. *PLoS Medicine* 2015; in press.

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# BMJ Open

## Was there an improvement in the years of life lost (YLLs) for noncommunicable diseases in the Soma and Minamisoma Cities of Fukushima after the 2011 disaster?: A longitudinal study

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## 1 Title

2 Was there an improvement in the years of life lost (YLLs) for noncommunicable diseases in  
3 the Soma and Minamisoma Cities of Fukushima after the 2011 disaster?: A longitudinal study

## 4 Correspondence

5 Kyoko Ono, PhD.

6 Research Institute of Science for Safety and Sustainability, National Institute of Advanced  
7 Industrial Science and Technology, 16-1 Onogawa, Tsukuba, Ibaraki 305-8569, Japan

8 Tel: +81-29-861-4854

9 Fax: +81-29-861-8411

10 E-mail: [kyoko.ono@aist.go.jp](mailto:kyoko.ono@aist.go.jp)

11 ORCID iD: 0000-0001-8100-3905

## 12 Authors

13 Kyoko Ono<sup>1)</sup>, Michio Murakami<sup>2)</sup>, #, Masaharu Tsubokura<sup>3)</sup>, <sup>4)</sup>

## 14 Affiliations

15 1) Research Institute of Science for Safety and Sustainability, National Institute of Advanced  
16 Industrial Science and Technology, 16-1 Onogawa, Tsukuba, Ibaraki 305-8569, Japan

17 2) Department of Health Risk Communication, Fukushima Medical University School of  
18 Medicine, Fukushima City, Fukushima 960-1295, Japan

19 3) Department of Radiation Health Management, Fukushima Medical University School of  
20 Medicine, Fukushima City, Fukushima 960-1295, Japan

21 4) Research Center for Community Health, Minamisoma Municipal General Hospital,  
22 Minamisoma City, Fukushima 975-0033, Japan

23 # Center for Infectious Disease Education and Research, Osaka University, Suita City, Osaka,  
24 565-0871, Japan (current address)

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**Abstract**

**Objectives**

This study aimed to determine cause-specific years of life lost (YLL) changes between pre- and post-disaster in disaster-affected municipalities, compared with the national average. We estimated the YLL in Soma and Minamisoma cities (the subject area) in Fukushima, Japan, where the tsunami and the nuclear accident hit in 2011.

**Participants**

We used vital registration records from a national survey conducted between January 2006 and December 2015. We analyzed 6369 death data in the pre-disaster period (2006–2010) and 6258 death data in the post-disaster period (2011–2015).

**Methods**

We incorporated vital statistics data as follows: age-, sex-, and ICD-10-based cause-specific deaths and calculated YLLs by ages 0, 40, 65, and 75 and sex for attributable causes of death for heart diseases, cerebrovascular diseases, pneumonia, all cancers, and specific cancers; breast cancer, colorectal cancer, leukemia, lung cancer, stomach cancer, and uterine cancer for pre-disaster and post-disaster in the subject area.

**Results**

YLL attributed to heart diseases for males showed no decrease and was 0.37 years larger than that of the national average at age 0. The difference was -0.17 (95% uncertainty interval: -0.40–0.05) years at age 65. It decreased for females; the difference was 0.37 (0.18–0.57) years after the disaster. YLL decrease (i.e. difference) in cerebrovascular diseases at age 0 was 0.27 (0.09–0.44) years and 0.18 (0.04–0.32) years; however, the YLLs were still 0.24 and 0.25 years larger than those for the national average for males and females, respectively. YLL attributed to cancer did not increase even after the nuclear disaster.

**Conclusions**

We specified the causes of death to be reduced in disaster-affected areas in the future. This study emphasized the importance of understanding how the health situation changed for the whole society of the area from a comprehensive perspective, rather than focusing only on small mortality increases.

## Strength and Limitations

- We estimated cause-specific YLL of disaster-affected areas as a difference between the pre- and post-disaster period, compared with the national average.
- The analysis will facilitate prioritization for local health control policy and better resource allocation and can be useful to assess the performance of the medical (or societal) measures that the municipal, prefectural, or national government emphasized before the disaster.
- Causes of death with a small number could not be examined due to the lower plausibility of the result.
- The appropriate population size could not be fully examined for municipal-level analysis due to scarce previous studies to compare validity of the study.

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**INTRODUCTION**

The Great East Japan Earthquake in March 2011, followed by the tsunami and the nuclear accident, affected people living in the eastern Tohoku area (i.e., Iwate, Miyagi, and Fukushima prefectures). In the disaster-affected area of Fukushima, residents faced various changes in the medical environment and their lifestyles due to mandatory or voluntary evacuation. Mass evacuation strained essential health services and infrastructure and disrupted social capital and networks due to the disaster.[1]

A comprehensive viewpoint is required to examine the aftermath of a disaster. For example, the National Academy of Sciences mentions in the context of resilience science that it is necessary to focus not only on the negative changes but also on the positive changes that occur after a disaster.[2] This concept is also important in public health. Irrespective of the adverse situation, life expectancy (LE) in Japan has increased even after the big disaster.[3] Years of life lost (YLL), an index of premature mortality, due to major causes of death decreased in 2015 compared to 2010 in Japan,[4,5] and Fukushima prefecture is no exception.[6]

However, it is not clear whether this decrease in YLL occurred in the disaster-affected municipalities in Fukushima. Furthermore, if a YLL decrease did occur, the causes of death which had brought the YLL decrease have not been specified. From a holistic view, our study provides important information to understand change in the health environment, so that local health control policies can be prioritized and resources better allocated in disaster-affected areas. There is no comprehensive analysis on the quantitative magnitude of impact for these health outcomes, although many medical case reports are available that feature disaster-affected areas in Fukushima, and consider populations affected by lifestyle diseases,[7,8] including diabetes mellitus,[9] cardiovascular disease,[10] or reports on cancer patient delay,[11,12] elderly people [13,14] or evacuees due to the disaster.[15]

The aim of this study is to determine YLLs at disaster-affected area, by age and sex, to identify the causes of death that could be attributed to it, and to compare them to the Japanese national average. We selected Soma and Minamisoma cities in Fukushima Prefecture (hereinafter referred to as the subject area) for our investigation. The subject area is located around 10–45 km north of the Fukushima Daiichi Nuclear Power Station (Figure S1) has experienced multiple disasters, such as tsunamis (followed by physical damage) and nuclear accidents (followed by low-level radiation exposure). More than 1000 residents of these cities died from direct injuries caused by the earthquakes and tsunamis.[1] A part of the subject areas, and not the entire subject areas were affected.. To the best of our knowledge, there is no report on the burden of disease or YLL calculation at the community level (such as city, town, and village) in Japan, regardless of whether the disaster affected the area.



## MATERIALS AND METHODS

### Definition and rationale for the calculation of LE and YLL

Life expectancy (LE) is an index of the health status of a cohort. One can calculate LE of a specific cohort over a given period using the life table. The life table consists of the number of the surviving population  $l$ , number of deaths  $d$ , age-specific mortality rate  $q$  and total survival time of population  $T$ . From these parameters, a survival curve of the cohort is obtained. Figure 1 shows a conceptual diagram of a survival curve and loss of life years of a population. LE at age  $x$  can be obtained by dividing the total survival time of the population  $T_x$  (i.e. area under the survival curve after age  $x$ ) by the numbers in the surviving population at age  $x$  ( $l_x$ ). [16]

YLL is defined as the difference of between LE with a risk event and without a risk event. We obtained two survival curves to calculate a YLL; a survival curve without a cause of death, that is depicted from an age-specific number of deaths from the data set which are deaths derived from a specific cause of death (Solid line in Figure 1), and a survival curve with all causes of death, that is derived from an age-specific number of deaths from the data set which includes all causes of death (Dashed line in Figure 1). YLL can be calculated for any cause of death if the survival curve is obtained. Although YLL estimates are based on hypothetical survival curves, the actual number of deaths were used in the survival curves; thus, the estimates were robust and realistic. Detailed explanation on YLL as a public health index and YLL calculating formula are in Supplemental Material.

### Data

Number of deaths and the population in the subject area

To obtain the survival curves, mortality rates by age (age = 0, 1, 2, ..., 100+) were required. Mortality rate at age  $x$  ( $q_x$ ), which is an approximate slope of survival curve at age  $x$ , is obtained by dividing number of deaths at age  $x$  ( $d_x$ ) by surviving population at age  $x$  ( $l_x$ ). Detailed calculation method of mortality rate  $q_x$  is show in Supplemental Material. We obtained the survival curves for males and females separately because it is known that the mortality rates for each age differ between the sexes.

As a source of the number of deaths, we used vital registration records by age for the subject area (i.e., Soma City and Minamisoma City) from January 2006 to December 2015. The data obtained from the vital registration records were aggregated according to the municipalities and these were the original data which were composed of the national vital statistics. The data are usually undisclosed; however, the Ministry of Health, Labor and Welfare (MHLW) approved the secondary use of the records in compliance with the Statistics Act, and provided the data.

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5 156 Ethics approval statement  
6 157 Data acquisition and use for this study were approved by the Ethics Board of Fukushima  
7 158 Medical University (approval number: 30272).  
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11 160 Data availability statement  
12 161 The data were obtained from MHLW and are not publicly available, however, data are available  
13 162 upon reasonable request to MHLW.  
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16 164 Table 1. Age- and sex-specific counts of direct and other death in the pre- and post-disaster  
17 165 period in the subject area

Age at death	Males		Direct death in March 2011	Females		Direct death in March 2011
	Death other than direct death			Death other than direct death		
	Pre-disaster period*	Post-disaster period*		Pre-disaster period	Post-disaster period	
0–9	16	5	18	12	4	13
10–19	7	6	20	4	5	28
20–29	19	24	20	11	6	17
30–39	35	17	30	24	10	21
40–49	77	51	38	39	18	33
50–59	239	157	71	111	80	68
60–69	464	517	102	181	197	92
70–79	1016	777	130	555	443	154
80–89	1070	1267	88	1229	1249	115
90–99	389	397	7	791	935	24
100+	12	17	0	68	76	2
Population of the subject area	53,430 (in 2010)	49,381 (in 2015)		56,293 (in 2010)	50,647 (in 2015)	

41 166 \* Pre-disaster period: 2006–2010, Post-disaster period: 2011–2015. The number of deaths is a  
42 167 sum of the deaths over a period of five years.  
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45 169 The data were provided together with sex, age of death, and cause of death as per the  
46 170 International Classification of Diseases and Health-Related Problems, 10<sup>th</sup> Revision (ICD-10)  
47 171 for the subject area. We excluded 1091 deaths in 2011 as direct deaths because this study  
48 172 focused on the effects of death other than direct deaths. Direct death was defined according to  
49 173 a previous study.[1] Table 1 shows the counts of deaths other than direct death and direct death  
50 174 by age and sex. As a result, we analyzed 12627 data (in the pre-disaster period: 2006–2010; n  
51 175 = 6369 and in the post-disaster period: 2011–2015; n = 6258. The proportion of women in these  
52 176 periods was 47.4% and 48.3%, respectively). To investigate the indirect health effects of the  
53 177 disaster, we compared the YLL of post-disaster with pre-disaster period after excluding direct  
54 178 deaths. We did not identify the nationalities of the deceased persons from the data. The data we

used also included residents who had moved outside the subject area, since registration was based on the residents' pre-disaster addresses.

Population data from 2006 to 2015 were obtained from the Basic Resident Registers, the nationwide resident-registry network maintained by the municipality unit (city/town/village). This included foreigners and evacuees from outside of the subject area. We used population numbers as of 30<sup>th</sup> September or 1<sup>st</sup> October for each year for further analyses. We unified data for Soma City and Minamisoma City as one population and averaged the annual population both in the pre-disaster period (2006–2010) and in the post-disaster period (2011–2015) and obtained the 5-year average and standard deviation for both the populations and crude mortality rates, respectively.

#### Number of deaths and the Japanese population data

To compare the subject area with the Japanese national average, we obtained vital statistics and population data from the national statistics. Age-, sex-, and ICD-10-based cause-specific death data were obtained from the Japanese Statistics [17] in 2010 and 2015, respectively. Age- and sex-specific population data for the Japanese were obtained from Japanese statistics [18,19] for the years 2010 and 2015, respectively. We chose these years because of the availability of complete data set for the years, i.e., cause-specific death data, (living) population, and the extrapolation parameters that were required for the lifetable analyses.[16,20] We did not identify the nationalities of the deceased from the data.

#### Patient and Public Involvement

Patients and or the public were not involved in this study.

#### Mortality rate and cause-specific YLL calculation

For the subject area, mortality rates were calculated as 5-year averages (i.e., 2006–2010 and 2011–2015) based on the data shown in Table 1. The national average was calculated for a single year (2010 and 2015) based on the death data for the Japanese population. The rationale and methodological details of the calculation of mortality rates are shown in the Supplemental Material.

The method to obtain the mortality rate of ages 1 to 94 was modified from method described by the MHLW,[16,20] and that of ages 0 and more than 95 was estimated based on method and parameters described by the MHLW.[16,20] LEs were obtained by life table analysis using the age-specific mortality rates for both the subject area and the national average. The YLL was obtained at ages 0, 40, 65 and 75. We focused on the older people aged 65 and 75 as Japan is a super-aging society; hence, it would be important to distinguish the diseases that occur in for

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3 217 the younger from the diseases that occur in older people. [3]  
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6 219 We analyzed the following causes of death: heart diseases (ICD10: I00–59), cerebrovascular  
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8 220 diseases (I60–69), pneumonia (J10–19), and all cancers (C00–97). All cancers were specifically  
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10 221 analyzed for the following types: breast (C50, females only), colorectal (C18–C20), leukemia  
11 222 (C90–C95), lung (C33–C34), stomach (C16), and uterine (C53–C55, females only).  
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14 224 Validation of the calculation method at LE at birth (LE<sub>0</sub>)  
15 225 LEs at birth (LE<sub>0</sub>s) for the subject area were validated with official values calculated by the  
16 226 MHLW for Soma and Minamisoma cities separately.[21,22] LE<sub>0</sub>s were officially reported by  
17 227 the MHLW for the Japanese national using complete life tables;[12] thus, we used these values  
18 228 to validate our estimates of LE<sub>0</sub>s. As shown in Table 2, our estimates of LE<sub>0</sub> were reasonably  
19 229 comparable for both the national average and the subject area, and small discrepancies were  
20 230 observed with the values obtained from the MHLW. The LE<sub>0</sub> increased after the disaster, which  
21 231 showed the same trend as that for the national average and the subject area.  
22 232  
23 233 Table 2. Life expectancy at birth (LE<sub>0</sub>) based on calculated value and reported value for  
24 234 validation of the calculation method.  
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	Males		Females		Reference
	2010*	2015*	2010*	2015*	
The subject area, calculated *	78.27	79.67	85.00	86.29	This study [21,22]
The subject area, reported by MHLW #	78.78	80.84	85.97	86.12	
National-calculated	79.57	80.76	86.04	86.70	This study [3]
National-reported by MHLW	79.55	80.75	86.33	86.99	

\*: For the subject area, the calculated periods were 2006–2010 and 2011–2015 instead of 2010 and 2015, respectively.  
#: Population-weighted average for Soma and Minamisoma cities.

YLL sensitivity analysis in the subject area  
For the subject area, we performed a sensitivity analysis and estimated the uncertainty interval (UI) in addition to the point estimates of the YLLs. Since we observed annual variations in both population and mortality rates in the subject area, we assumed a normal distribution for these variations. In the subject area, which had a thousandth smaller cohort than the whole country, we considered that the annual variation in the population and the number of deaths were not negligible, and that it was better to indicate the YLL accompanied by uncertainty intervals which were derived from using a 5-year average. The Monte Carlo simulation was conducted using a random number generation based on the 5-year-average (2006–2010 and 2011–2015) and the standard deviations for both the populations and crude mortality rates at age 0–94 years. The details of calculation procedure are shown in Supplemental Material.

## RESULTS

### Cause-specific YLL for the subject area and the national average

Attributable YLLs for the subject area and the national average for heart diseases, cerebrovascular diseases, pneumonia, and cancer are shown (Figure 2a-d). Hereinafter, we refer to YLL at age 0 when we discuss YLL difference on the subject area and national average or at pre- and post-disaster. Results at ages 40, 65 and 75 are shown in the Supplemental Material (Figure S2). YLL decreased in the following order: cancer > heart disease > cerebrovascular disease > pneumonia, and this order was common for the subject area and the national average.

With respect to heart diseases and cerebrovascular disease, YLLs for the subject area were longer than YLLs for the national average for each age category and both sexes (Figures 2a, c and S2a-f). The YLLs of cancer for the subject area were shorter than the national average.

Differences in YLL pre- and post-disaster were calculated (Figure 2b, d and S3a-f). For the national average, a difference was shown as a point-estimate value, and a value of more than 0 indicated post-disaster YLL improvement. For the subject area, a difference was observed with a value with a UI. If the UI did not include 0, there was a significant difference in YLL between pre- and post-disaster. YLLs decreased after the disaster for both the national average and the subject area. This is commonly observed for males and females; however, the tendency of YLL decrease was different between sexes. Few characteristics were observed to be specific to the subject area. In contrast, statistically significant post-disaster YLL increases were not observed for any of the causes of death.

YLL attributed to heart diseases showed no decrease in males after the disaster (Figure 2a) and was 0.37 years larger than that of the national average at age 0. The differences were -0.03 (95% UI: -0.28–0.23) and -0.17 (-0.40–0.05) years at ages 0 and 65, respectively (Figure 2b and Figure S3b). In contrast, for females, it decreased after the disaster (Figure 2c). The difference was 0.37 (95% UI: 0.18–0.57) years at age 0 (Figure 2d), and the differences at ages 40 and 65 were 0.35 (0.16–0.55) and 0.26 (0.09–0.44) years, respectively (Figure S3d, e). These results showed an apparent improvement for heart diseases in females.

The YLL for cerebrovascular diseases decreased by 0.27 (0.09–0.44) years for males (Figure 2b) and 0.18 (0.04–0.32) years for females (Figure 2d), respectively, for the subject area after the disaster. These statistically significant YLL decreases were observed at ages 40, 65 and 75 for both sexes (Figure S3). However, the YLLs for the subject area post-disaster were still 0.24 and 0.25 years larger than those for the national average for male and female, respectively.

For pneumonia, the YLL in the subject area was comparable to that of the national average. YLL due to pneumonia in males decreased in the post-disaster period (Figure 2b) but did not decrease in females (Figure 2d).

YLL attributed to cancer was the longest among the four causes of death, even at the age 75. The YLL due to all cancers showed little change after the disaster in both males and females, but YLL in the subject area was less than the national average.

Figures 3 and S4 show the YLL breakdown for specific cancer types. As for stomach cancer (male) and leukemia (female), the YLL for the subject area increased than that for the national average found pre-disaster (Figures 3a, c). The YLLs due to lung cancer for both sexes pre-disaster, and for females post-disaster, were smaller than that for the national average. Although the difference between pre- and post-disaster was small due to a small number of deaths due to these cancers, significant YLL decreases were observed for stomach cancer (males), breast cancer, and leukemia (females). The YLL differences of those were 0.15 (0.02–0.29) years (Figure 3b), and 0.12 (0.00–0.24) and 0.14 (0.07–0.23) years (Figure 3d), respectively. The YLL differences between pre- and post-disaster for breast cancer and leukemia (females) were larger than those for the national average while YLL decreases in the national average were hardly observed (Figures 3d and S5d–f).

**DISCUSSION**

We compared the cause-specific YLLs of a disaster-affected area in pre- and post-disaster periods with that of the national average. Studies have discussed YLL in Fukushima prefecture [6] and age-adjusted mortality rate in the subject area;[1,23] however, our study provided YLL changes by cause of death and sex at the municipal level in a disaster-affected area. The YLL calculation methods used for the subject area and the national average were not identical due to the difference of population size and number of deaths in both cohorts; however, this methodological discrepancy should not have a great effect on the interpretation of the results.

Our YLL estimates were based on the actual number of deaths in the subject area; thus, the estimates were robust and realistic. Moreover, YLL estimates were more objective than disability-adjusted life year (DALY) estimates because DALY estimates might require controversial processes of setting parameters, such as severity weights or durations of disability.[24] However, our analysis could not consider health outcomes other than death, such as the deterioration of quality of life (QoL). Another advantage of YLL is its versatile applicability for any age category in the region of interest. Thus, this index would provide health planners and policymakers at both the national and specific areas, more refined tools to adapt local public health initiatives to meet the health needs of local populations by age

categories.[25]

We focused on four prominent causes of death as follows: heart disease, cerebrovascular disease, pneumonia, and all cancers, and four (for males) and six (for females) specific major cancers. The primary finding of our study is that the YLL decreased in the disaster-affected municipalities in Fukushima for the prominent causes. Decrease in YLL was observed for heart diseases (females), cerebrovascular diseases (both sexes), pneumonia (males), breast cancer (females), leukemia (female), and stomach cancer (males). This tendency was also reported in a previous study in which another public health index, the relative risk of mortality was used in the analysis.[1] The extent of YLL decrease is larger in the subject area than the national average for heart diseases (females at ages 0 and 40), pneumonia (males aged 65 and 75), and breast cancer (females at age 0), and leukemia (females at age 0).

This study emphasized the importance of understanding how the health situation changed or how YLL has decreased for the whole society in disaster-affected areas, rather than focusing only on small mortality increases caused by radiation exposure, which was at statistically undetectable levels. Importantly, YLL attributed to cancer did not increase even after the nuclear disaster, irrespective of the concern about radiation exposure. The increase in radiation exposure due to nuclear accidents was limited in Fukushima, and cancer incidence related to radiation exposure from the nuclear accident, including thyroid cancer, has not been documented.[26] Furthermore, lifestyle changes due to the disaster did not seem to bring about an apparent increase in death within 5 years since the disaster. This might be because various medical countermeasures were implemented in the subject area. In contrast, an increase in the prevalence of lifestyle diseases has been reported in Fukushima.[27] The appearance of outcomes, such as death, derived from radiation exposure or lifestyle diseases, would be delayed after a long time. In this context, YLL estimates helped express how the health situation changed comprehensively. Residents in the disaster-affected area experienced various kinds of damage, such as physical, medical, and mental damage, not only by radiation exposure. Therefore, an evaluation index that includes multiple viewpoints is effective. YLL is suitable at this point, and QoL may be also suitable.

Two reasons can explain the decrease in YLL post-disaster. One is the direct effect of earthquakes, tsunamis, and aftermath, which might cause the premature death of people with chronic health problems. However, we observed both an apparent decrease in YLL and little change in YLL in chronic diseases. The extent of YLL changes differed according to the cause of death and by sex. Thus, premature death caused by the earthquake and tsunami for people with chronic health problems would explain only a part of the YLL decrease. For additional analysis, we calculated the YLL post-disaster separately for two periods. One is for 2011, i.e.,

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3 365 “disordered period” of just one year after the disaster and 2012–15 i.e., “recovered period”  
4 366 (Tables S1a and S1b). Focusing on the causes of death that had a  $\pm 0.3$  years difference in YLL  
5 367 between 2011 and 2012–2015, we observed a YLL increase due to heart disease in males and  
6 368 a YLL decrease due to pneumonia in males. This means that the extent of YLL changes differed  
7 369 by cause of death and sex.  
8  
9 370  
10  
11 371 Elongation of LE (or decrease of YLL) is not explained only by elderly people’s death because  
12 372 LE is calculated only from age-specific mortality rates. The other aspect to be considered is  
13 373 whether medical intervention or medical measures are in effect. The decrease in YLL could be  
14 374 due to both the medical measures taken before the disaster, which takes time to show an effect,  
15 375 and the measures taken after the disaster. The former is, for example, smoking cessation to  
16 376 prevent cancer or controlling salt intake to prevent cerebrovascular diseases. The latter is, for  
17 377 example, improving cancer screening and medical treatment techniques. This might be partly  
18 378 explained by the reduction of mortality in line with the application of new technologies or  
19 379 improved management of diseases such as all cancers.[28]  
20  
21 380  
22  
23 381 There might be many reasons for the decrease in YLL in the subject area. YLL decrease for  
24 382 heart diseases (females) and cerebrovascular disease (both sexes) could be due to improved  
25 383 medical treatment techniques, or the implementation of countermeasures by the municipal or  
26 384 prefectural government. YLL decrease in the cancers [breast cancer (females), leukemia  
27 385 (females), and stomach cancer (males)] may be partly due to improvements in the municipal  
28 386 mass-screening system of cancers, or changes in the medical care system in the subject area.  
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30 387  
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32 388 Although these improvements were observed, YLLs for certain causes of death were longer  
33 389 than the national average, such as heart diseases (males) and cerebrovascular disease (both  
34 390 sexes). As for heart diseases in males at age 65, YLL showed a deterioration tendency after the  
35 391 disaster. Residences in the Tohoku area, including Fukushima Prefecture, have a high  
36 392 prevalence of heart disease and cerebrovascular disease. This may be caused due to local eating  
37 393 habits such as a diet with high salt content and a shortage of exercise due to high motorization  
38 394 rates, which are common in the Tohoku area. In addition to these conditions, the disaster might  
39 395 worsen the situation in Fukushima. Thus, medical or societal measures to reduce death should  
40 396 be intensively studied. Possible measures would be to improve habits for preventing lifestyle  
41 397 diseases or close societal relationships to strengthen communication among residents.  
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43 398  
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45 399 In future, YLL estimation can be performed for the seashore area (Hamadori) or the entire  
46 400 Fukushima prefecture, where no evacuation area is included, for comparison purposes. The  
47 401 Hamadori includes mandatory evacuation areas, where the whole municipality was relocated to  
48 402 another place due to precautionary protection from high radiation doses. Residences have been



experiencing drastic changes in their living status, such as repeated evacuation or living in temporary housing. They might have been facing more challenging conditions than those in the subject area of this study. The high degree of physical inactivity or lack of communication among residents may accelerate this challenging condition. Furthermore, relocation might affect access to hospitals or medical facilities. Our study could not consider these characteristics, and it would be important to compare YLL differences and changes between pre- and post-disaster in these areas.

This study has some methodological limitations. The first is the uncertainty of the death data. Although death records have a universal, robust definition of the cause of death (ICD-10), they have the possibility of being misclassified and incomplete, particularly in an aging population.[29] Second, we could not determine whether the populations and numbers of deaths in the data we used were sufficiently large in the subject area. We might discuss the appropriate population size for municipal-level analysis. We excluded causes of death with small numbers, such as suicide, from the analysis due to the lower plausibility of the result, and this might lead to an arbitrary selection of causes of death. The population data we used included the number of residents who moved their registrations outside the subject area, which might bring uncertainty. Furthermore, the reason for the decrease in the YLL may be more complicated and should be looked at in greater detail, taking into consideration effects other than medical, such as perception or behavior changes on health pursuit after the disaster.

Although some technical limitations remain, this analysis, which clarifies the causes of death that had reduced YLLs and shows the degree of potential improvement of public health in that area, and will facilitate prioritization for local health control policy and better resource allocation. The results can be useful to assess the performance of the medical (or societal) measures that the municipal, prefectural, or national government emphasized before the disaster.

## Acknowledgments

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## Competing Interest

The authors declare no conflicts of interest associated with this manuscript.

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## Contributions

1  
2  
3 441 Conceptualization: KO, MM, and MT  
4 442 Data curation: KO, MM, and MT  
5 443 Formal analysis: KO, MM  
6 444 Funding acquisition: MT and MM  
7 445 Investigation: KO, MM, and MT  
8 446 Methodology: KO and MM  
9 447 Visualization: KO  
10 448 Writing (original draft): KO  
11 449 Writing (review and editing): KO, MM, and MT  
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Figure legends

Figure 1. Conceptual diagram of survival curve and loss of life years.

Figure 2a-d. YLLs for age 0 due to heart disease, cerebrovascular disease, pneumonia, and cancer before and after the disaster. a: Males; b: Difference of YLL ([pre-disaster] - [post-disaster]) of males. c: Females; d: Difference of YLL females. For the subject area (Soma and Minamisoma cities), the error bar indicates the 95% UI of the estimate.

Figure 3a-d. YLLs for age 0 due to specific cancers. a: YLLs of males; b: Difference of YLL ([pre-disaster] - [post-disaster]) of males. a: YLLs of females; b: Difference of YLL females. For the subject area (Soma and Minamisoma cities), the error bar indicates the 95% uncertainty interval (95% UI) of the estimate.

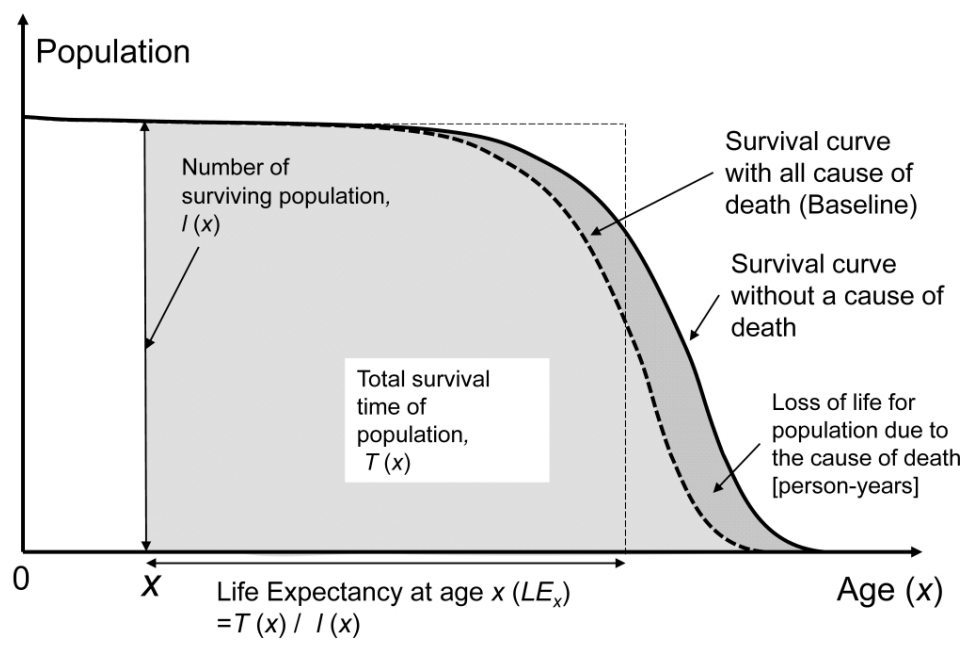


Figure 1. Conceptual diagram of survival curve and loss of life years.

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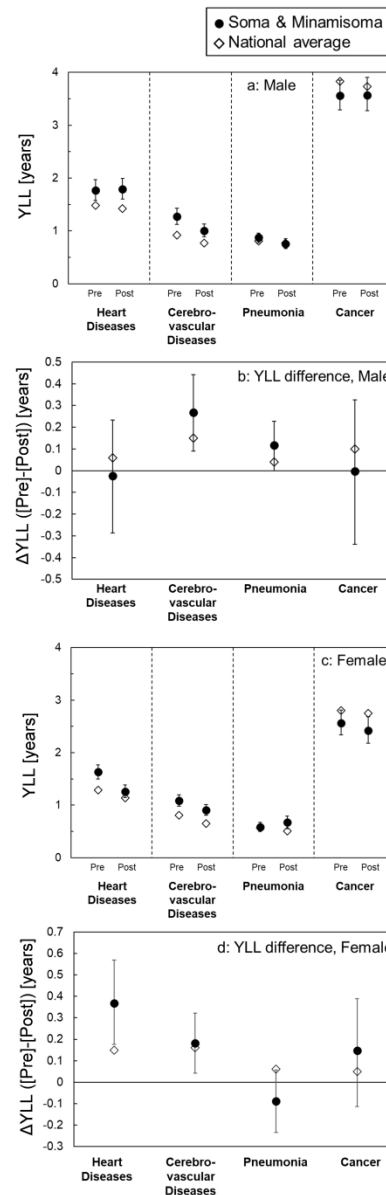


Figure 2a-d. YLLs for age 0 due to heart disease, cerebrovascular disease, pneumonia, and cancer before and after the disaster. a: Males; b: Difference of YLL ([pre-disaster] - [post-disaster]) of males. c: Females; d: Difference of YLL females. For the subject area (Soma and Minamisoma cities), the error bar indicates the 95% UI of the estimate.

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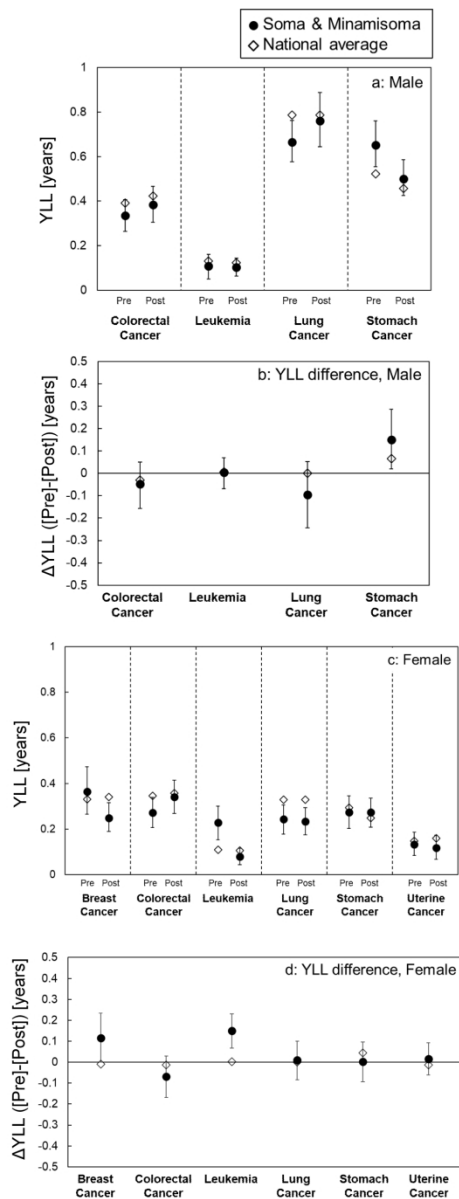


Figure 3a-d. YLLs for age 0 due to specific cancers. a: YLLs of males; b: Difference of YLL ([pre-disaster] - [post-disaster]) of males. a: YLLs of females; b: Difference of YLL females. For the subject area (Soma and Minamisoma cities), the error bar indicates the 95% uncertainty interval (95% UI) of the estimate.

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## Supplemental Material

### Title

Was there an improvement in the years of life lost (YLLs) for noncommunicable diseases in the Soma and Minamisoma Cities of Fukushima after the 2011 disaster?: A longitudinal study

### Authors

Kyoko Ono<sup>1)</sup>, Michio Murakami<sup>2)</sup>, #, Masaharu Tsubokura<sup>3), 4)</sup>

### Affiliations

1) Research Institute of Science for Safety and Sustainability, National Institute of Advanced Industrial Science and Technology, 16-1 Onogawa, Tsukuba, Ibaraki 305-8569, Japan

2) Department of Health Risk Communication, Fukushima Medical University School of Medicine, Fukushima City, Fukushima 960-1295, Japan

3) Department of Radiation Health Management, Fukushima Medical University School of Medicine, Fukushima City, Fukushima 960-1295, Japan

4) Research Center for Community Health, Minamisoma Municipal General Hospital, Minamisoma City, Fukushima 975-0033, Japan

# Center for Infectious Disease Education and Research, Osaka University, Suita City, Osaka, 565-0871, Japan (current address)

### Correspondence

Kyoko ONO, PhD.

Research Institute of Science for Safety and Sustainability, National Institute of Advanced Industrial Science and Technology, 16-1 Onogawa, Tsukuba, Ibaraki 305-8569, Japan

Tel: +81-29-861-4854

Fax: +81-29-861-8411

E-mail: [kyoko.ono@aist.go.jp](mailto:kyoko.ono@aist.go.jp)

ORCID iD: 0000-0001-8100-3905

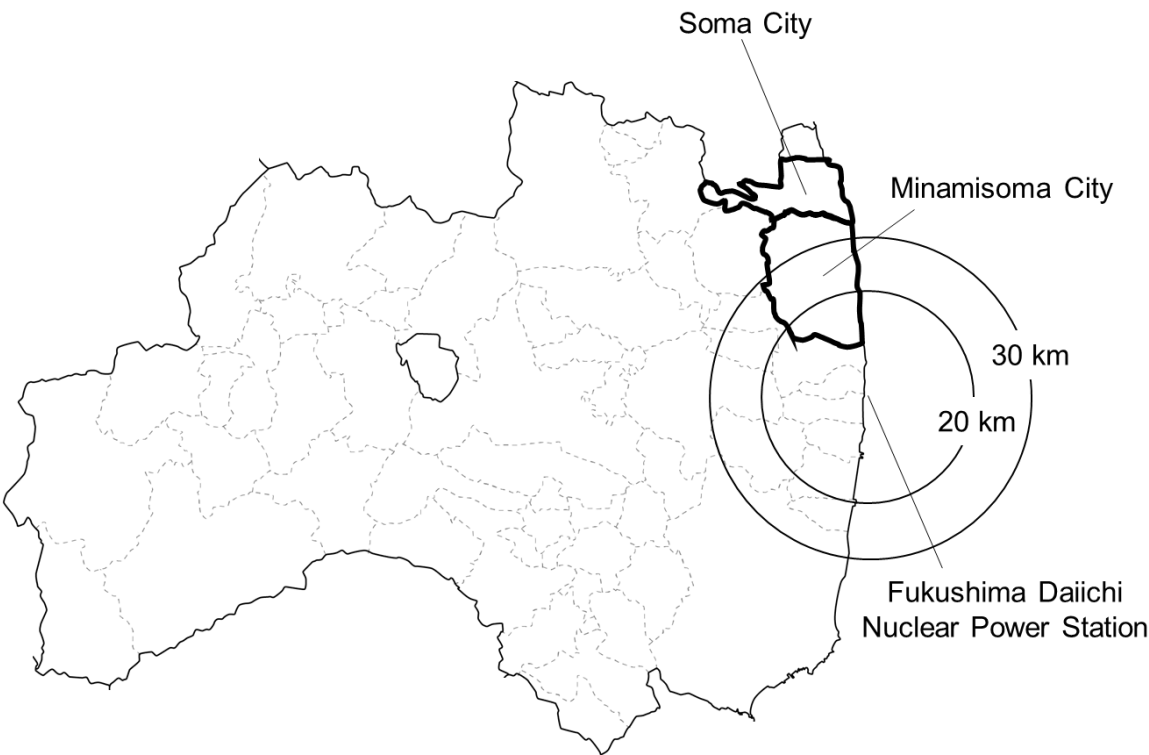


Figure S1. Location of Soma City and Minamisoma City.

## MATERIALS AND METHODS

### Rationale of calculation for life expectancy (LE) and years of life lost (YLL)

Life expectancy (LE) is an index of the health status of a cohort, which is calculated from the age-specific mortality of a specific cohort over a given period using the life table method. This measure emphasizes the impact of deaths occurring in younger age groups compared to the relative risk or hazard of mortality.[1] YLL is the difference in LE between a cohort with a specific cause of death and for the cohort in which the cause of death was eliminated. YLL is a population outcome of social health. For example, the Global Burden of Disease studies [2] adopted the YLL as an index of regional health.

LE can be calculated from the age-specific mortality rates (life table analysis). Using the death data and population data, we conducted a life-table analysis for the subject area and the national average of Japan, respectively. The life table consists of the mortality rate, number of surviving population  $l$ , number of deaths  $d$ , age-specific mortality  $q$ , which is obtained by dividing number of deaths by the number of the surviving population, and total survival time of population  $T$ .

A conceptual diagram of the YLL is shown in Figure 1. A detailed explanation of the calculation of LE and YLL has been provided elsewhere.[3] Generally, an LE at age  $x$  is the value of how long a person survives on average in the population after age  $x$ . Survival at age  $x$  is described by the mortality rate at age  $x$ . LE can be obtained by dividing the total survival time of the population.

$$T_x = \int_x^{\infty} l_t dt \quad (\text{eq. 1})$$

Here,  $T_x$  [unit: person-years] is the total survival time of the population after age  $x$  by the population  $l_x$  at age  $x$ . LE at age  $x$ ;  $e_x$  [unit: years] is obtained as

$$e_x = \frac{T_x}{l_x} \quad (\text{eq. 2})$$

$YLL_x$  was defined as the difference of  $e_x$  between a risk event ( $e'_x$ ) and without a risk event ( $e_x$ ) at age  $x$ :

$$YLL_x = e_x - e'_x \quad (\text{eq. 3})$$

YLL can be estimated for any risk event that causes additional mortality. YLL can be estimated for any population if the survival probabilities are available for the population.

**Mortality rate**

We obtained the mortality rate of patients aged 1–94 years using the following concept. Based on the basics of human demographics that normalized the mortality rate of age, which is the ratio of the number of deaths at the age of  $x$  in an arbitrary year to the number of population (survivals) at the age of  $x$  in the middle of the year. In the formula,

$$q_x = \frac{d_x}{l_x + \frac{d_x}{2}} \quad (\text{eq. 4})$$

where  $q_x$  is the mortality rate at age  $x$ . If death occurs at a constant rate, the number of population at age  $x$  at the beginning of the observation period should be  $l_x + d_x/2$ . For the right side of (eq.4), divide both the numerator and denominator by  $l_x$  and replace  $d_x/l_x$  as  $m_x$ .

$$\frac{d_x}{l_x + \frac{d_x}{2}} = \frac{\frac{d_x}{N_x}}{\frac{l_x + \frac{d_x}{2}}{l_x + \frac{d_x}{2} \times l_x}} \quad (\text{eq. 5})$$

$$q_x = \frac{m_x}{1 + \frac{m_x}{2}} \quad (\text{eq. 6})$$

where  $q_x$  is the mortality rate at age  $x$ , and  $m_x$  is the crude mortality rate at age  $x$ . Thus, we calculated  $q_x$  using (eq. 6) for further analyses. We calculated mortality rates at age  $x$  with risk events ( $q_x'$ ) in the same way using cause-specific death data.

The mortality rates at age 0 were adopted as national values for 2010 and 2015, respectively. Both were reported by the MHLW.[4,5] The birth data of the subject area did not include details on the month of birth or death for babies at age 0. Generally, the baby cohort has a large change in mortality over a short period of time. Thus, monthly life table data should be used for these analyses, but we could not do so due to limited data availability at age 0. Therefore, we adopted national data to calculate  $q_0$  for the subject area. Although this assumption for the age 0 might cause a discrepancy in YLL because YLL weighs heavily on younger age, we assumed the discrepancy was negligible by using the national data instead of data of the subject area. At ages over 95 years, we used the force of mortality instead of  $q_x$ . This assumption is commonly used for national averages and subject areas. The force of mortality was based on Gompertz–Makeham coefficients obtained from the MHLW [6,7] because of the large annual variability of  $q$  in this age range because the number of deaths for the population is small. This assumption on mortality rates for the elderly, such as for an age over 95 years, has little effect on the calculated results of LE.

**Methodological details of sensitivity analysis on YLL in the subject area**

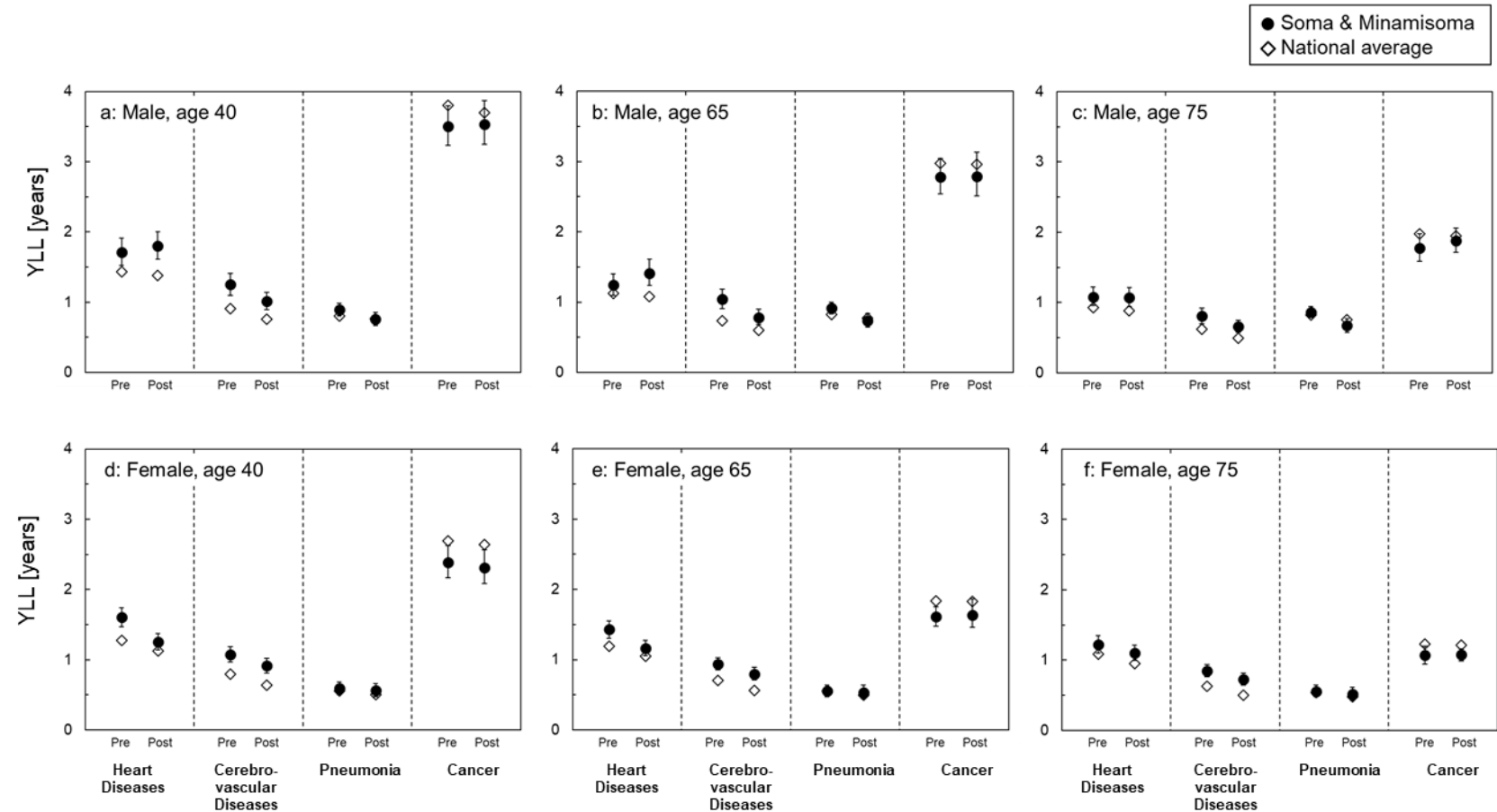
We performed a sensitivity analysis for the subject area. The Monte Carlo simulation was conducted using a random number generation based on the 5-year-average and standard deviation for both the populations and crude mortality rates at age 0–94 years before the

calculation of the mortality rates. The uncertainty interval (UI) was estimated according to the following procedure:

Oracle Crystal Ball ver.11.1 was used for the Monte Carlo simulation. We used two-sided truncated normal distributions for crude mortality rates to avoid a random selection of crude mortality rates of less than 0. Thus, the distributions were set as symmetrical, around the average, with the lower limit being 0 and the upper limit being two times the average. The Excel add-in “NTTRUNCNORMINV” function in NtRand Ver 3.3.0 [8] was combined with the Monte Carlo simulation. Sampling was performed according to the Latin hypercube method, and the number of trials was set to 10000 times. Random numbers were generated for all the causes of death and for each specific cause of death, separately, and the calculation of YLL was conducted at each trial. At age 0 and at ages over 95 years, we assumed no distribution for the force of mortalities.

We performed an additional Monte Carlo simulation with the condition that the mortality rate  $q$  was less than 0 (no truncated option) for validation. We confirmed that the change in the median was approximately 3% for the absolute value of YLL and the truncated assumption rendered the median change into both higher and lower values. Although the range of the UIs was broadened, it was confirmed that the conditions with and without the truncated option did not affect the results significantly.

124 **YLL and its difference at ages 40, 65 and 75**



125 Figure S2a-f. YLLs due to heart diseases, cerebrovascular diseases, pneumonia, and cancer before and after the disaster of ages 40, 65 and 75 (a–  
126 c: Males, d-f: Females). For the subject area (Soma and Minamisoma cities), the error bar indicates the 95% uncertainty interval (95% UI) of the  
127 estimate.  
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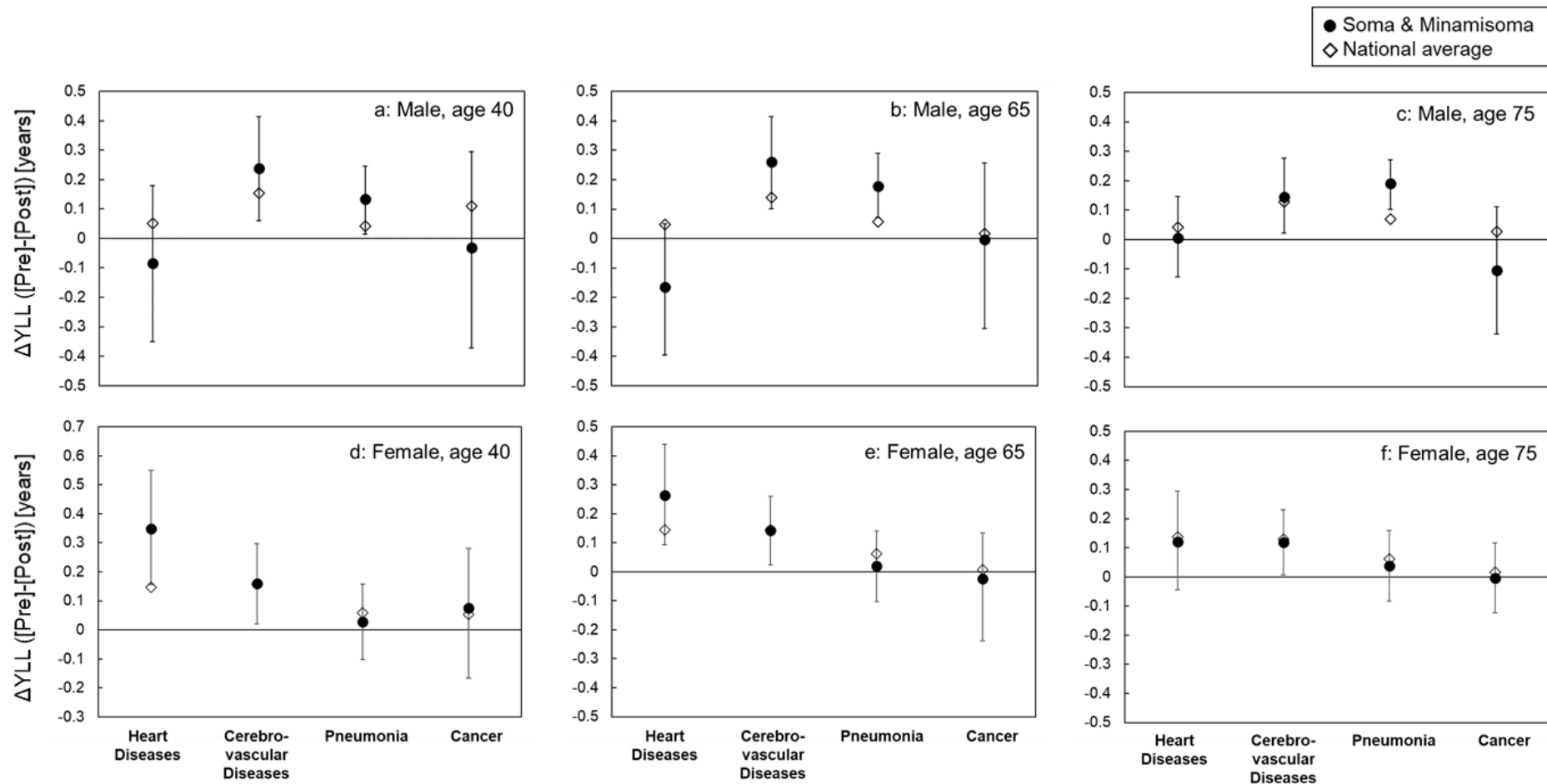


Figure S3a-f. Differences between YLL pre-disaster and YLL post-disaster due to heart diseases, cerebrovascular diseases, pneumonia, and cancer at ages 40, 65 and 75 (a –c: Males, d–f: Females). For the subject area (Soma and Minamisoma cities), the error bar indicates the 95% UI of the estimate.

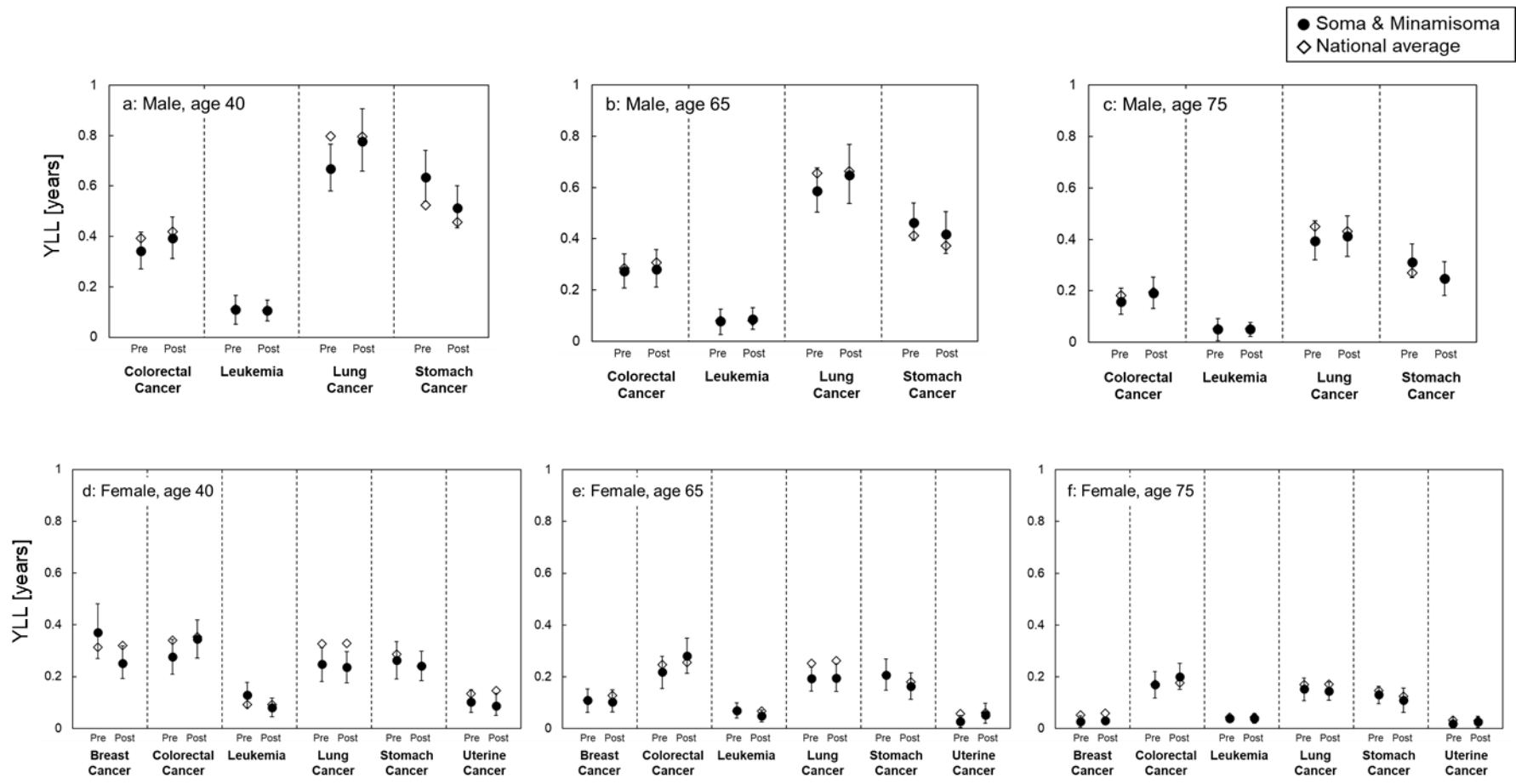


Figure S4a-f. YLLs due to specific cancers before and after the disaster at ages 40, 65 and 75 (a–c: Males; colorectal cancer, leukemia, lung cancer, and stomach cancer. d–f: Females, breast cancer, colorectal cancer, leukemia, lung cancer, stomach cancer and uterine cancer.). For the subject area (Soma and Minamisoma cities), the error bar indicates the 95% uncertainty interval (95% UI) of the estimate.



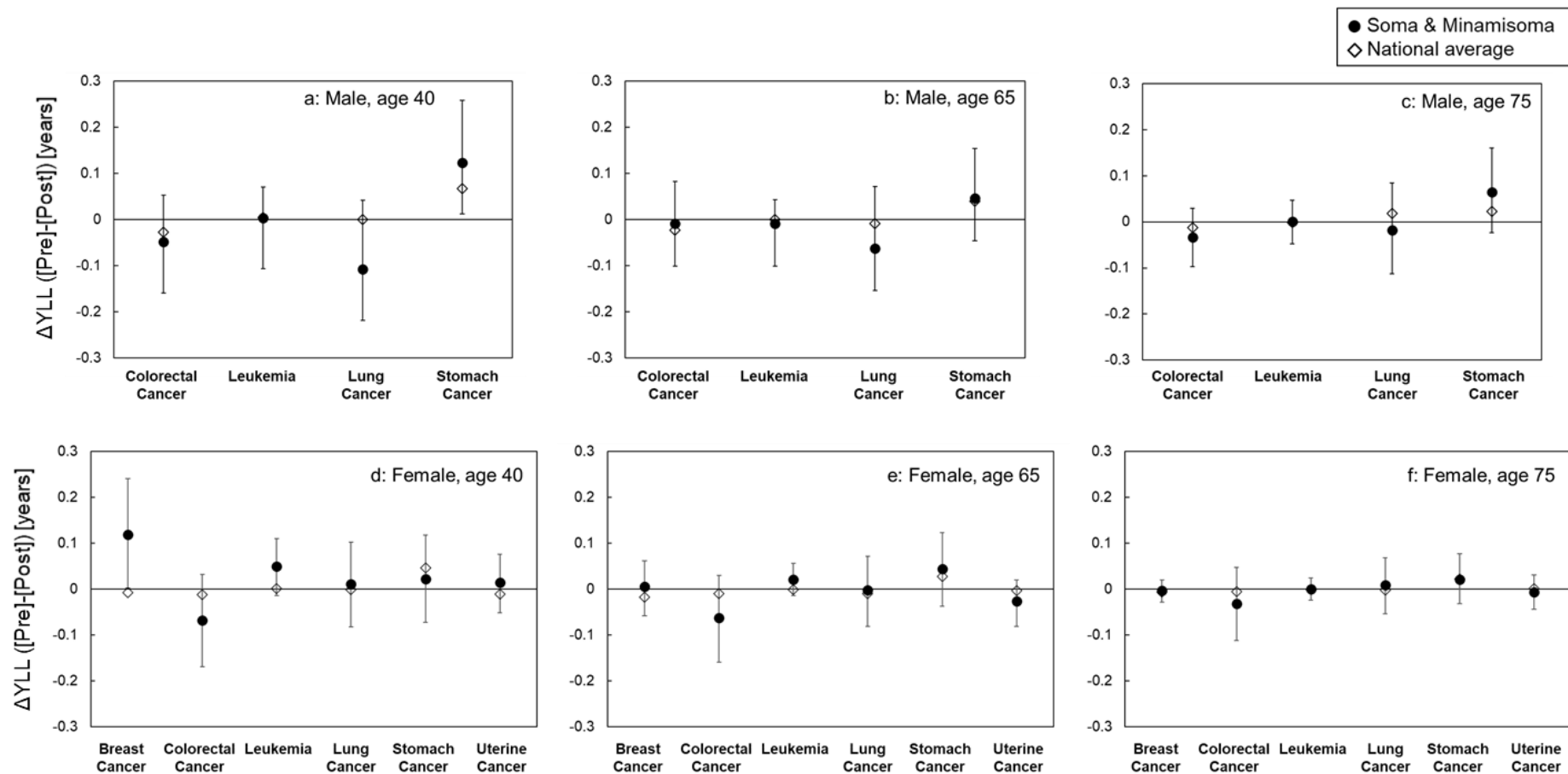


Figure S5a-f. Differences between YLL pre-disaster and YLL post-disaster due to specific cancers at ages 40, 65 and 75 (a –c: Males, d–f: Females). For the subject area (Soma and Minamisoma cities), the error bar indicates the 95% UI of the estimate.

**YLL at the year of the disaster (2011) and after the year of the disaster (2012–2015)**

We calculated the YLL post-disaster separately for two periods, i.e. 2011 and 2012–2015 (Tables S1a and S1b). For YLL in 2011, we used population data and death records for a single year (2011) and calculated the values. Similar to that for YLL in 2012–2015, we used population data and death records for the four years and calculated the values. The UI of the estimation was not calculated. The mortality rate at age 0 followed the national values in 2015, both reported by the MHLW.[5] For ages over 95 years, we used the force of mortality instead of  $q_x$ . The force of mortality was based on the Gompertz–Makeham coefficients obtained from the MHLW.[7]

Table S1a. YLL at the year of the disaster (2011) and after the year of the disaster (2012–2015) [years]: Males

	Age 0 years		Age 40 years		Age 65 years		Age 75 years	
	2011	2012–2015	2011	2012–2015	2011	2012–2015	2011	2012–2015
Heart diseases	1.53	1.86	1.57	1.86	1.37	1.41	1.00	1.10
Cerebrovascular diseases	1.08	0.98	1.05	1.00	0.84	0.76	0.77	0.64
Pneumonia	1.05	0.69	1.08	0.69	1.02	0.67	0.90	0.61
Cancer	3.24	3.62	3.19	3.60	2.26	2.90	1.65	1.95

Table S1b. YLL at the year of the disaster (2011) and after the year of the disaster (2012–2015) [years]: Females

	Age 0 years		Age 40 years		Age 65 years		Age 75 years	
	2011	2012–2015	2011	2012–2015	2011	2012–2015	2011	2012–2015
Heart diseases	1.33	1.24	1.33	1.22	1.28	1.12	1.22	1.06
Cerebrovascular diseases	0.87	0.91	0.88	0.92	0.68	0.82	0.70	0.73
Pneumonia	0.61	0.68	0.62	0.54	0.60	0.51	0.62	0.48
Cancer	2.26	2.44	2.11	2.34	1.43	1.67	0.86	1.13

## References

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- [3] Cohen BL, Lee IS. A catalog of risks. *Health Phys* 1979;36:707–22. <https://doi.org/10.1097/00004032-197906000-00007>.
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- [5] MHLW (Japanese Ministry of Health Labour and Welfare). Table A. The 22nd Life Tables, 2015. 2015.
- [6] MHLW (Japanese Ministry of Health Labour and Welfare). Method for constructing the 21st life tables 2012. <https://www.e-stat.go.jp/stat-search/files?page=1&layout=datalist&toukei=00450012&tstat=000001031336&cycle=7&year=20100&month=0&tclass1=000001060864&tclass2=000001060927>.
- [7] MHLW (Japanese Ministry of Health Labour and Welfare). Method for constructing the 22nd life tables 2015. <https://www.e-stat.go.jp/stat-search/file-download?statInfId=000031543052&fileKind=2>.
- [8] NtRand. Excel add-in NtRand Ver 3.3.0 n.d.

The RECORD statement – checklist of items, extended from the STROBE statement, that should be reported in observational studies using routinely collected health data.

	Item No.	STROBE items	Location in manuscript where items are reported	RECORD items	Location in manuscript where items are reported
Title and abstract					
	1	(a) Indicate the study’s design with a commonly used term in the title or the abstract (b) Provide in the abstract an informative and balanced summary of what was done and what was found	-	RECORD 1.1: The type of data used should be specified in the title or abstract. When possible, the name of the databases used should be included.  RECORD 1.2: If applicable, the geographic region and timeframe within which the study took place should be reported in the title or abstract.  RECORD 1.3: If linkage between databases was conducted for the study, this should be clearly stated in the title or abstract.PO	“Participants” in Abstract  Title “Objectives” in Abstract  Not Applicable
Introduction					
Background rationale	2	Explain the scientific background and rationale for the investigation being reported	-		Not Applicable
Objectives	3	State specific objectives, including any prespecified hypotheses	-		Not Applicable
Methods					
Study Design	4	Present key elements of study design early in the paper	-		Not Applicable
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	-		Not Applicable

Participants	6	<p>(a) <i>Cohort study</i> - Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up</p> <p><i>Case-control study</i> - Give the eligibility criteria, and the sources and methods of case ascertainment and control selection. Give the rationale for the choice of cases and controls</p> <p><i>Cross-sectional study</i> - Give the eligibility criteria, and the sources and methods of selection of participants</p> <p>(b) <i>Cohort study</i> - For matched studies, give matching criteria and number of exposed and unexposed</p> <p><i>Case-control study</i> - For matched studies, give matching criteria and the number of controls per case</p>	-	<p>RECORD 6.1: The methods of study population selection (such as codes or algorithms used to identify subjects) should be listed in detail. If this is not possible, an explanation should be provided.</p> <p>RECORD 6.2: Any validation studies of the codes or algorithms used to select the population should be referenced. If validation was conducted for this study and not published elsewhere, detailed methods and results should be provided.</p> <p>RECORD 6.3: If the study involved linkage of databases, consider use of a flow diagram or other graphical display to demonstrate the data linkage process, including the number of individuals with linked data at each stage.</p>	<p>Subsection “Number of deaths and population in the subject area” L.152-157, L.182-189 L.224-231</p> <p>Not Applicable</p>
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable.	-	RECORD 7.1: A complete list of codes and algorithms used to classify exposures, outcomes, confounders, and effect modifiers should be provided. If these cannot be reported, an explanation should be provided.	L.152- “Mortality rate” in Supplemental Material (L.69- 97)
Data sources/ measurement	8	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	-		Not Applicable

Bias	9	Describe any efforts to address potential sources of bias	-		Not Applicable
Study size	10	Explain how the study size was arrived at	-		Not Applicable
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen, and why	-		Not Applicable
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding (b) Describe any methods used to examine subgroups and interactions (c) Explain how missing data were addressed (d) <i>Cohort study</i> - If applicable, explain how loss to follow-up was addressed <i>Case-control study</i> - If applicable, explain how matching of cases and controls was addressed <i>Cross-sectional study</i> - If applicable, describe analytical methods taking account of sampling strategy (e) Describe any sensitivity analyses	-		Not Applicable
Data access and cleaning methods		..	-	RECORD 12.1: Authors should describe the extent to which the investigators had access to the database population used to create the study population.	L.152-157, L.182-189

				RECORD 12.2: Authors should provide information on the data cleaning methods used in the study.	L.169-180
Linkage		..		RECORD 12.3: State whether the study included person-level, institutional-level, or other data linkage across two or more databases. The methods of linkage and methods of linkage quality evaluation should be provided.	L.152-157, L.182-189
<b>Results</b>					
Participants	13	(a) Report the numbers of individuals at each stage of the study ( <i>e.g.</i> , numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed) (b) Give reasons for non-participation at each stage. (c) Consider use of a flow diagram	-	RECORD 13.1: Describe in detail the selection of the persons included in the study ( <i>i.e.</i> , study population selection) including filtering based on data quality, data availability and linkage. The selection of included persons can be described in the text and/or by means of the study flow diagram.	(L.152-157, L.182-189)
Descriptive data	14	(a) Give characteristics of study participants ( <i>e.g.</i> , demographic, clinical, social) and information on exposures and potential confounders (b) Indicate the number of participants with missing data for each variable of interest (c) <i>Cohort study</i> - summarise follow-up time ( <i>e.g.</i> , average and total amount)	-		Not Applicable
Outcome data	15	<i>Cohort study</i> - Report numbers of outcome events or summary measures over time <i>Case-control study</i> - Report numbers in each exposure	-		Not Applicable

		category, or summary measures of exposure <i>Cross-sectional study</i> - Report numbers of outcome events or summary measures			
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (e.g., 95% confidence interval). Make clear which confounders were adjusted for and why they were included (b) Report category boundaries when continuous variables were categorized (c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	-		Not Applicable
Other analyses	17	Report other analyses done—e.g., analyses of subgroups and interactions, and sensitivity analyses	-		(The results showed sensitivity analyses as well.)
<b>Discussion</b>					
Key results	18	Summarise key results with reference to study objectives	-		L329-338
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	-	RECORD 19.1: Discuss the implications of using data that were not created or collected to answer the specific research question(s). Include discussion of misclassification bias, unmeasured confounding, missing data, and changing eligibility over time, as they pertain to the study being reported.	L411-420
Interpretation	20	Give a cautious overall interpretation of results considering objectives,	-		Not Applicable



		limitations, multiplicity of analyses, results from similar studies, and other relevant evidence			
Generalisability	21	Discuss the generalisability (external validity) of the study results	-		Not Applicable
<b>Other Information</b>					
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	-		Not Applicable
Accessibility of protocol, raw data, and programming code		..		RECORD 22.1: Authors should provide information on how to access any supplemental information such as the study protocol, raw data, or programming code.	Supplemental information will be downloaded at a designated site.

\*Reference: Benchimol EI, Smeeth L, Guttman A, Harron K, Moher D, Petersen I, Sørensen HT, von Elm E, Langan SM, the RECORD Working Committee. The REporting of studies Conducted using Observational Routinely-collected health Data (RECORD) Statement. *PLoS Medicine* 2015; in press.

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