

BMJ Open is committed to open peer review. As part of this commitment we make the peer review history of every article we publish publicly available.

When an article is published we post the peer reviewers' comments and the authors' responses online. We also post the versions of the paper that were used during peer review. These are the versions that the peer review comments apply to.

The versions of the paper that follow are the versions that were submitted during the peer review process. They are not the versions of record or the final published versions. They should not be cited or distributed as the published version of this manuscript.

BMJ Open is an open access journal and the full, final, typeset and author-corrected version of record of the manuscript is available on our site with no access controls, subscription charges or pay-per-view fees (<u>http://bmjopen.bmj.com</u>).

If you have any questions on BMJ Open's open peer review process please email <u>info.bmjopen@bmj.com</u>

BMJ Open

Cochlear implant aesthetics and its impact on stigma, social interaction, and quality of life: a mixed methods study protocol

Journal:	BMJ Open
Manuscript ID	bmjopen-2021-058406
Article Type:	Protocol
Date Submitted by the Author:	15-Oct-2021
Complete List of Authors:	Rapport, Frances ; Macquarie University, Australian Institute of Health Innovation Lo, Chi Yhun; Macquarie University, Australian Institute of Health Innovation; Macquarie University, Department of Linguistics Elks, Beth; Cochlear Ltd Warren, Chris ; Cochlear Ltd Clay-Williams, Robyn; Macquarie University, Australian Institute of Health Innovation
Keywords:	Audiology < OTOLARYNGOLOGY, Adult otolaryngology < OTOLARYNGOLOGY, MENTAL HEALTH, Protocols & guidelines < HEALTH SERVICES ADMINISTRATION & MANAGEMENT
	·



2		
3 4	1	Cochlear implant aesthetics and its impact on stigma, social interaction, and quality of life: a mixed
5 6 7	2	methods study protocol
8 9 10	3	
11 12 13	4	Frances Rapport ¹
14 15 16	5	Chi Yhun Lo ^{1, 2, *}
17 18 19	6	Beth Elks ³
20 21 22	7	Chris Warren ³
23 24 25	8	Robyn Clay-Williams ¹
26 27 28	9	
29 30	10	¹ Faculty of Medicine, Health and Human Sciences, Australian Institute of Health Innovation,
31 32 33	11	Macquarie University, Sydney, Australia
34 35	12	² Faculty of Medicine, Health and Human Sciences, Department of Linguistics, Macquarie University,
36 37 38	13	Sydney, Australia
39 40 41	14	³ Cochlear Limited, Macquarie University, Sydney, Australia
42 43 44	15	
45 46 47	16	*Correspondence to Dr Chi Yhun Lo, chi.lo@mq.edu.au
48 49 50	17	
51 52	18	KEYWORDS: cochlear implants, aesthetics, cosmetics, stigma, social interaction, quality of life
53 54 55	19	WORD COUNT: 3501/4000
56 57 58 59 60	20	

Abstract

Introduction

Awareness of the benefits of cochlear implants is low, and barriers such as fear of surgery and ongoing rehabilitation have been noted. Perceived stigma associated with hearing loss also plays a key role, with many adults not wanting to appear old or be identified as a person with a disability. In effect, a cochlear implant makes deafness visible. New technologies have led to a smaller external profile for some types of cochlear implants, but qualitative assessments of benefit have not been explored. This study will examine cochlear implant aesthetics and cosmetics, and its impact on perceived stigma, social interactions, communication, and quality of life. A particular focus will be the examination of totally implantable device concepts. A secondary aim is to understand what research techniques are best suited and most appealing for cochlear implant recipients, to assist in future study design and data collection methods. elle

Methods and analysis

This study utilises a mixed methods design. Three datasets will be collected from each participant with an expected sample size of ten to 15 participants to allow for data saturation of themes elicited. Each participant will complete a demographic questionnaire, a quickfire survey (a short concise questionnaire on a topic of research familiarity and preference), and a semi-structured interview. Questionnaire and quickfire survey data will be analysed using descriptive statistics. Interviews will be transcribed and analysed thematically. All participants will be adults with more than one-year of experience using cochlear implants.

1		
2 3 4 5	44	Ethics and dissemination
6 7	45	This study has been granted ethical approval from Macquarie University (HREC: 520211056232432)
8 9	46	and meets the requirements set out in the National Statement on Ethical Conduct in Human Research.
10 11 12	47	Study findings will be disseminated widely through international peer-reviewed journal articles, public
13 14	48	and academic presentations, plain language summaries for participants, and an executive summary
15 16	49	for the project funder.
17 18 19	50	
20 21 22	51	Article Summary
23 24 25	52	Strengths and Limitations of this study
26 27	53	• First study examining attitudes towards cochlear implant aesthetics including totally
28 29 30	54	implantable cochlear implants, and its impact on broad quality of life outcomes such as social
31 32	55	interactions and communication.
33 34 35	56	• This study provides a greater understanding of the role of cochlear implant aesthetics and
36 37	57	social impact, particularly in the context of barriers and facilitators, and motivation or
38 39	58	demotivation to device uptake.
40 41 42	59	• The exploration of familiarity and preferences around research participation should improve
43 44	60	recruitment strategies and improve engagement for future studies.
45 46	61	• The qualitative approach will provide rich, nuanced datasets in an area that has received
47 48	62	limited attention.
49 50 51 52 53 54 55 56 57 58 59 60	63	• The nature of a qualitative sample may limit generalisability of findings.

64 INTRODUCTION

The prevalence of hearing loss is common and increasing. In 2019, the incidence of some degree of hearing loss was estimated at 1.57 billion people worldwide [1] and 3.6 million people in Australia (representing 20% and 14% of their respective population) [2]. By 2050, an ageing population will result in large demographic shifts with hearing loss projected to increase to 2.45 billion people worldwide [1] and 8.7 million people in Australia (25% and 22% respectively) [2]. According to the Global Burden of Diseases Study, hearing loss is the third leading cause of years lived with a disability [1].

The impact of hearing loss for adults is highly variable, significant, and associated with a broad range of outcomes. At the individual level, hearing loss is associated with communication challenges, listening effort and fatigue, poorer physical health, social isolation, mental health problems, cognitive decline, dementia, and overall diminished quality of life [3-6]. Communication partners also face significant emotional and social burdens when adapting to a hearing loss in the family [7]. Economically, unaddressed or inadequately addressed hearing loss contributes to additional costs related to healthcare, education, loss of productivity (unemployment, underemployment, and premature retirement), and societal costs attributed to the impact of avoidance and stigma [8]. These economic costs are estimated to be \$980 billion worldwide [8].

The severity of hearing loss is defined according to a wide spectrum of recently-revised categories: mild, moderate, moderately-severe, severe, profound, and complete [8,9]; but regardless of the level of hearing loss, outcomes and quality of life can be improved with appropriate rehabilitation [10]. Optimal approaches for effective rehabilitation of adults are person-centred, holistic, and sensitive to cultural and contextual settings, but typically include efficient access to clinical and health services, and the use of a range of personalised hearing technologies [10–12]. While this is the optimal approach, the literature indicates that effective rehabilitation, including access to services and appropriate use of technologies is the exception rather than the rule [10,13–15].

Page 5 of 29

BMJ Open

Cochlear implants (CIs) are one technology that is being provided to support hearing loss across a range of individual needs. Cls are an implantable hearing device that provides the sensation of sound by directly stimulating the auditory nerve with electrical pulses [16]. Cls have been commercially available for almost 40 years and are designed primarily for functional hearing and speech perception [16,17]. A typical modern CI consists of external and internal components. Externally, the microphone, processor and battery are housed together and sit behind-the-ear (which collect, process, and digitise sound signals); and a headpiece is affixed by a magnet above and behind the ear on the skull (which transmits the signals to the internal receiver). Internally, the receiver will then convert the signals into pulses through the electrode array, which are interpreted as sound by the brain [16]. Newer, commercially available systems such as the Nucleus Kanso[™] 2 and RONDO[™] 3 have a smaller external profile, incorporating the behind-the-ear and headpiece components together [18,19]. Totally implantable CIs are another experimental device under development that incorporate all components internally with no external hardware [20,21].

While outcomes are variable, CIs typically provide significant benefits for hearing-related outcomes (such as communication) and quality of life [22,23], are cost-effective [24,25], and are widely acknowledged as the most successful of all neural prosthetic devices available [16,26]. Although candidacy for implantation is constantly being revised and differs widely across jurisdiction and CI manufacturers [27,28], the recent "60/60" guideline is being widely adopted in Australia (where the present study will be situated). This guideline recommends adults be referred for a CI if they have a sensorineural hearing loss of more than 60 dB (i.e. moderately-severe or worse under the current hearing loss categories) and score less than 60% correct for an unaided monosyllabic word test [29].

110Despite the noted effectiveness and benefits of CI use, adoption rates remain low and adult111utilisation is conservatively estimated at less than 10% globally [30], and 8.5% in Australia (noting this112data also includes children) [31]. Given both the incidence of hearing loss is increasing, and the criteria113for CI candidacy has also trended towards expansion over time [28], we can infer that the utilisation

rate is likely to increase. Our understanding of the potential barriers and facilitators that influence Cl
uptake are limited, but some of the main barriers Cl candidates face include fear of surgery,
complications, and side effects; not being prepared or ready for a Cl; and concerns around postsurgical care and ongoing rehabilitation [32].

Physical and cosmetic characteristics have been flagged as a significant barrier for the uptake of hearing aids (HAs) and other assistive listening devices [15,33,34]. Although the literature is limited, perceived stigma and its relation to physical and cosmetic concerns have been investigated in greater detail for HAs than CIs. Given there are overlapping features between HAs and CIs, and as the majority of adult CI recipients are former HA users [35], there is relevance in examining HA-related stigma. Nonetheless, they should not be considered a homogenous experience given they address different hearing needs and have distinct healthcare pathways.

While there is no well-defined theoretical framework around stigma and hearing loss; some of the dimensions that have been reported include interrelated concepts such as self-perception (being perceived or labelled as disabled, impaired, incomplete, and diminished), ageism (not wanting to appear old and be associated with the elderly), and vanity (not wanting to appear unattractive) [33]. Consequently, these concepts tend to manifest themselves as counterproductive strategies and barriers to addressing hearing loss. These can lead to denial and concealment of hearing loss, postponing seeking assistance, and social avoidance and isolation [33,34].

A recent cross-cultural study investigating the social representation of HA use in India, the Republic of Korea, United Kingdom, and United States of America found that 'appearance and design' was the second most reported concern of using a HA. Appearance and design also featured the highest number of negative appraisals (51% in the negative) [36]. However, analysis of questionnaire data from the study also indicated that appearance and design was a peripheral concern rather than a centralised one, with users prioritising the importance of benefit, and the impact of cost and time [36]. Page 7 of 29

BMJ Open

Generally, CI candidates have indicated that while cosmetic issues are a concern, they are less of a priority in comparison to surgical and rehabilitation considerations, and the desire to improve communication [32]. Issues of CI visibility have often been perceived as something CI recipients must accept, or utilise concealment strategies such as hiding external CI components behind hair [37]. Recently developed CIs such as the Nucleus Kanso™ 2 are worn entirely off the ear. As all the components are integrated into a single unit, there is no coil cable and the form factor can be more easily hidden compared to typical Cls. While they have received positive appraisals for comfort and cosmetics from user surveys [38], the resulting attitudes around stigma, social experiences, or quality of life have not been explored.

Alternatively, instead of utilising strategies of discretion, some users modify and customise their HAs and Cls with stickers and jewellery to draw attention. This act of self-expression may counteract perceived stigma by promoting feelings of agency, empowerment, confidence, and pride [39]. One noted practical benefit of less discrete devices has been seen to be communication signalling, in which bystanders may more easily identify the user's status as deaf or hard-of-hearing, potentially improving communication [39]. There are likely significant age and gender effects to these attitudes, as this study had little representation from younger children, older adults, and men, with participants aged between 17 and 62 years (M = 40, SD = 14.8, 9 females and 1 male). Thus, the extent to which this is indicative or can be applied to the broader CI community is relatively unknown.

Research into the aesthetic and cosmetic concerns around Cls and its association with perceived stigma and quality of life is extremely limited. While the exterior design of Cls that sit on the ear and scalp have remained consistent, the industry has moved toward the miniaturisation of components. The Nucleus Kanso[™] 2 and totally implantable Cls are tangible and conceptual examples, respectively. Given the widespread underutilisation of Cls [30,31], an exploration of the relative importance of cosmetic concerns with respect to these new technologies is warranted. As social interactions have been identified as significant facilitators for Cl uptake [32], and the International

163	Classification of Functioning, Disability, and Health (ICF) has identified activities and participation as
164	issues of concern [40]; the present study will also focus on social dimensions and dynamics.
165	
166	Study Objectives
167	To examine the importance of cosmetic and physical characteristics of CIs, and how this may impact
168	CI recipients' quality of life and attitudes towards CIs. A particular topic of focus is around the
169	conceptualisation of TICIs. A secondary objective is to examine participant preferences for research
170	participation, to guide future study designs and improve participant recruitment and retention.
171	Aims
172	Aims
173	1. To establish the importance of CI aesthetics and its relationship with communication, social
174	experiences, psychosocial wellbeing, and quality of life.
175	2. To explore the impact that CI aesthetics may have as a barrier or facilitator to CI uptake and
176	use.
177	3. To understand what research techniques are best suited and most appealing for CI recipients.
178	METHODS AND ANALYSIS
179	METHODS AND ANALYSIS
180	Study design
181	This is a mixed methods study. Participants will complete (1) a demographic questionnaire, (2) a
182	quickfire survey (i.e. a short and concise questionnaire) on research participation preferences, and (3)
183	individual semi-structured interviews. This study will take place in Australia over a half-year period
184	between 2021 and 2022.

BMJ Open

185 Sample and recruitment

Our participant sample size will depend on reaching data saturation, but is estimated to be between 10 and 15 participants. While smaller samples are common in gualitative health services research studies [41], our choice of sample size was the result of the area of enquiry being entirely new, and our understanding that to incorporate social dimensions of CI use alongside aesthetic considerations was better suited to in-depth data capture from a purposive sample of adults [42]. We are interested in taking a deep dive into understanding and experience. This study will help direct our approach for a larger, longitudinal study with a mixed-demographic population. Taking an iterative approach to data capture and knowledge-acquisition is common in qualitative health research. While data saturation of concepts tends to occur after the first 10 interviews [41], the CI population is heterogeneous, and consequently our purposive sampling method has been designed to capture the views of a diverse cohort. We have built in flexibility to recruit additional participants beyond the initial 10 through secondary snowball sampling (initial cohort may recommend others to participate) to ensure we can target what we have found through our previous research to be a hard to reach community as well as representation across age, gender, people with different healthcare needs (comorbidities), and from different economic and educational backgrounds, etc [32,43].

Participants will be recruited Australia-wide through flyers distributed to Cochlear Limited (a global leader and manufacturer of implantable hearing solutions) and Australian community organisations such as Deafness Forum of Australia (Australia's peak body representing Australians with deafness, and the peak representative for Australian consumers in the World Hearing Forum), Hear For You (a charity organisation that supports and mentors young deaf and hard-of-hearing adults), Hearing Matters Australia (an advocacy organisation dedicated to helping Australians with hearing loss), and CICADA Australia (a volunteer support group for cochlear implant recipients and potential candidates). The flyers will be disseminated via their social media platforms and/or online newsletters.

210 Participant inclusion criteria

Participants will be included if they are: (1) an adult aged 18 years and older, (2) a CI recipient with more than one year of experience using their device, (3) proficient in English, with the cognitive capacity to complete a demographic questionnaire and quickfire survey, and engage effectively in a semi-structured interview.

216 Data collection

Both the demographic questionnaire and quickfire survey will be completed prior to the semistructured interview for a number of reasons. The surveys will familiarise participants with the study topic, they will enable the study team to gather data to inform the direction of questioning at interview stage and they will embellish understanding to allow for the most effective data to be collected during interviews. The team have extensive experience of staged data collection from previous studies in the health services field [44,45]. See box 1 for the demographic questionnaire and quickfire survey topic guide.

225 Demographic questionnaire

Participants will complete a demographic questionnaire that consists of closed-ended questions on
 personal characteristics such as: age, gender, socioeconomic status (relationship status, income,
 education, and employment), language, comorbidities, hearing loss characteristics, and device use.

- 50

 51
 229

 52

 53

 54
 230

 55

 56

 57
 231

 58
 - and familiarity with research participation and (2) participants' preferences for how their participation

1 2		
3 4	233	in research studies should be conducted. Participants may reflect on previous studies they have been
5 6	234	involved in or perceptions of the most effective, impactful and acceptable approaches to data
7 8 9	235	collection with no prior experience. Plain English descriptions and visual examples will be used to
10 11	236	ensure full understanding of research methods and to provide relevant context.
12 13 14	237	Research familiarity will be recorded using simple yes/no responses. For example, "have you
15 16	238	been involved in research or clinical studies using: interviews (one-to-one interviews, where a
17 18	239	researcher asks you questions?), focus groups (group-based workshops, where a researchers ask
19 20 21	240	questions and facilitates group discussion), or diaries and journals (keeping a regular log of
21 22 23	241	information such as your listening experience)", etc.
24 25	242	Research preferences will be determined by ranked responses. Using the above exemplar,
26 27 28	243	participants would place 'interviews', 'focus groups', and 'diaries or journals' in rank order from most
29 30	244	preferred to least preferred. The quickfire survey is available in supplementary materials.
31 32 33	245	Box 1 Demographic questionnaire topics
34 35 36	246	Box 1
37 38	247	Demographic questionnaire topics
39 40		
41 42	248	• Age
43 44	249	• Gender
45 46	250	• Socioeconomic status (relationship status, income, education, and employment)
47 48	251	Language use
49 50 51	252	Comorbidities
52 53	253	Hearing loss characteristics and device use.
54 55		
56 57		
58 59		
60		

255 Quickfire survey topics

- Familiarity and ranked preference of research methodologies: interviews, focus group, visual
 method, questionnaire, diary or journal, and observation techniques.
 - Ranked preference of research participation mode: face-to-face or online.
 - Ranked preference of research medium: paper, digital (personal computer), or digital (smart device).
 - Familiarity and ranked preference of research scales: numerical rating, visual analogue, verbal
 rating, Likert, binary choice.

264 Semi-structured interview

One week prior to the interview, participants will receive a Pre-Interview Information Sheet. This onepage document will summarise and clarify key terms such as 'discretion' (defined in this study as how unobtrusive or subtle a cochlear implant appears), provide close-up photographic examples of a Nucleus Kanso[™] being used by a man and a woman, and a conceptual schematic of a totally implantable Cl. This information will provide participants with a frame of reference in respect to discreet Cl aesthetics, use and value, prior to the interview.

The semi-structured interviews will be conducted online via the videoconference application Zoom with on-screen captioning enabled by default, or by telephone, depending on the participant's preference. The interviews will provide rich and detailed information addressing the primary objective of the study—to examine the importance of cosmetic and physical characteristics of CIs, and how this may impact the quality of life for CI recipients. The interviewer (CYL) is a trained researcher that has qualitative and quantitative experience working within the deaf and hard-of-hearing community but will have no previous relationship with the participants. He will take fieldnotes during the interview noting participant interactions, body language, and emotional states. Interviews will be audio

1 2		
3 4	279	recorded, de-identified, and transcribed verbatim by an external transcription service. The interviews
5 6	280	are expected to take approximately one hour to complete, and participants will receive a gift card as
7 8 9	281	a token of appreciation for their time and effort. See Box 2 for the semi-structure interview topic guide
10 11	282	used in this study.
12 13 14	283	The flexibility of semi-structured interviews allows opportunities for participants to expand
15 16	284	and elaborate on topics of interest and for researchers to add prompts if desired to focus on certain
17 18	285	areas of enquiry (for example, researchers may wish to examine social and emotional as well as
19 20	286	physical impact of hearing loss and could prompt for responses to this). Many unanticipated responses
21 22 23	287	are welcomed and contribute to the rich dataset, but the research team has carefully considered that
23 24 25	288	some CI recipients may enquire about the availability of TICI devices and/or their suitability as a
26 27	289	candidate. At present, these devices are not commercially available, and we present them to
28 29	290	participants as conceptual ideas. Prepared responses have also been developed to respond to this
30 31	291	potential situation with care and consideration. The semi-structured interview schedule is available in
32 33 34	292	supplementary materials.
35 36	293	supplementary materials.
37 38		
39 40	294	Box 2
41 42	295	Benefits and challenges associated with their current CI use
43 44 45	296	• Impact of discreet CI devices on communication, motivation, social interactions, and quality
46 47	297	of life
48 49	298	Hearing healthcare pathways
50 51	299	• Trust, influence, and relationship with healthcare providers and stakeholders
52 53 54	300	Learning about CIs and information access
55 56	301	
57 58		
59 60	302	

Data analysis

Descriptive statistics will be produced from participants' demographic characteristics, and research familiarity and preferences (from the quickfire survey). This data will be analysed using IBM SPSS Statistics for Windows, Version 27.0 [46], and presented as tabulated data and/or graphical figures.

Transcripts and fieldnotes from the semi-structured interviews will be analysed using a six-phase approach to thematic analysis: (1) familiarisation with the data, (2) generation of initial codes, (3) searching for themes, (4) reviewing themes, (5) defining and naming themes, and (6) producing the report [47]. This will be conducted by three qualitative expert analysts (FR, RCW, CYL) working together. This collaborative approach will ensure the process is robust and rigorous. Coding and analysis of the demographic data, fieldnotes, and transcripts will be completed using NVivo (released in March 2020) [48].

Quantitative and qualitative data will be analysed initially as discrete datasets, but methodological and investigator triangulation approaches will also be utilised to confirm and enhance our understanding of the findings [49].

Patient and Public Involvement Statement

Patients or the public will not be involved in the design, or conduct, or reporting, or dissemination plans of our research.

3	
4	
5	
6 7	
8	
9 10	
11	
12	
13	
14	
15	
16 17	
17	
18 19	
20	
21	
22	
23	
24	
25	
26	
27	
28 29	
30	
31	
32	
33	
34	
35	
36	
37	
38 39	
39 40	
41	
42	
43	
44	
45	
46	
47 48	
48 49	
49 50	
51	
52	
53	
54	
55	
56	
57 58	
58 59	
60	

J

322 Ethics statement

This study has been granted ethical approval from the Macquarie University Human Research Ethics Committee, Humanities & Social Sciences Committee, reference number: 520211056232432 and meets the requirements set out in the *National Statement on Ethical Conduct in Human Research* [50].

Participant comfort and wellbeing is paramount. While it is not envisaged that participants will experience distress, if any aspects of the interview, demographic survey or quickfire questionnaire cause concern or distress, data collection will be paused immediately, and the necessary support provided. Participants will be reminded that their participation is completely voluntary, and that they have the right to withdraw from the study at any time, without giving a reason for doing so.

331

332 Data storage and retention

All data will be stored on an encrypted disk on a password protected computer belonging to Macquarie University for the purposes of data retention and analysis. Only FR, FCW, CYL, and a research assistant (LvB) will have access to this. All data will be retained and archived for a five-year period, which will be stored on an on-premise bespoke network drive that has been configured for the research team.

The audio recordings from the interviews will be uploaded to an external transcription service. This audio and their subsequent transcription will be permanently deleted from their server after 30 days.

- 341
- 6 342

Dissemination

Study findings will be disseminated widely through international peer-reviewed journal articles, public
and academic presentations, plain language summaries for participants, and an executive summary
for the project funder. All quotes attributed to individual participants will be de-identified, and names
will be replaced with pseudonyms in any publicly accessible form of presentation.

RESEARCH SIGNIFICANCE AND IMPACT

The underutilisation of CIs is apparent in Australian and global contexts [30,31]. Given the incidence of hearing loss is expected to increase with a globally ageing population [1], identifying ways to improve access to services and hearing technologies is imperative. While our understanding of the barriers and facilitators that affect uptake are limited, there is evidence that the physical and cosmetic appearance of hearing solutions and its relationship to perceived stigma is one factor of concern [15,32–34,37].

This study will examine the relationship of CI aesthetics with perceived stigma, social interactions, communication, and quality of life using qualitative perspectives from adults with CIs. This is significant, as we do not have a comprehensive understanding around the benefits of smaller CI devices, or potential developments such as TICIs. Understanding their potential role as facilitators to CI uptake will be significant in the context of individual and global hearing health that may improve uptake, quality of life, and reduce the burden on health care and economic systems.

50362A secondary contribution is the exploration of CI recipients' familiarity and preferences5152363around research participation. These findings should improve recruitment strategies and improve5354364engagement with research participation. This is particularly relevant for a specialised cohort such as56365CI recipients.

2 3 4	366	This study utilises and expands upon the research team's expertise exploring hearing health
5 6	367	systems [42,51–53]. Our findings will support a future clinical trial by providing a framework of themes
7 8	368	and topics of interest, and inform the feasibility of collecting data on a larger, longitudinal study across
9 10 11	369	a broad demographic population.
12 13 14	370	
15 16 17	371	AUTHOR CONTRIBUTIONS
18 19 20	372	FR and RCW led the overall conceptualisation and design of the study, and provided feedback on the
20 21 22	373	manuscript drafts. CYL contributed to the design of the study and led the first manuscript draft. BE
23 24	374	and CW contributed to conceptualisation of the study and provided feedback on the manuscript
25 26	375	drafts. All authors provided final approval of the version submitted and accepted its accuracy and
27 28 29	376	integrity.
 30 31 32 33 34 35 36 37 38 39 40 41 42 43 	377	
	378	DATA AVAILABILITY STATEMENT
	379	DATA AVAILABILITY STATEMENT No data available.
	380	
	381	FUNDING STATEMENT
44 45 46	382	This work was supported by Cochlear Limited (Cochlear Ltd.). The funder will have no role in
47 48	383	conducting or reporting on the study.
49 50 51	384	
52 53 54	385	COMPETING INTERESTS STATEMENT
55 56 57	386	BE and CW are employees of Cochlear Ltd. and will not be involved in data collection, analysis and
58 59 60	387	reporting of the study findings. CYL has provided consulting expertise for Cochlear Ltd. on unrelated

projects in the past. As experienced qualitative researchers, FR and RCW ensured that the design of this qualitative study was not driven by an industry agenda in any way. For example, the drafting of the interview questions, demographic questionnaire and quickfire survey was completed by FR, RCW, and CYL to ensure they were not leading or contained assumptions specific to Cochlear Ltd. or the broader Cl industry. Also, during the interviews, CYL will ensure all participants are aware he is not an employee of Cochlear Ltd. and is only concerned with understanding participants' honest responses. This study aims to learn from participants' lived-experience with hearing loss, hearing services, and their thoughts and attitudes towards discreet CI concepts. This is also reiterated in the Information and Consent Form. Any attempts to influence participants towards a favourable perspective towards Cochlear Ltd. or CIs is antithetical to the purpose of this study.

REFERENCES

Haile LM, Kamenov K, Briant PS, *et al.* Hearing loss prevalence and years lived with disability,
1990-2019: Findings from the Global Burden of Disease Study 2019. *Lancet* 2021;**397**:996–
1009. doi:10.1016/S0140-6736(21)00516-X

403 2 Hearing Care Industry Association. The Social and Economic Cost of Hearing Loss in Australia.

- 45 405 3 Li-Korotky HS. Age-related hearing loss: Quality of care for quality of life. *Gerontologist*
 - 406 2012;**52**:265–71. doi:10.1093/geront/gnr159
- Arlinger S. Negative consequences of uncorrected hearing loss—a review. *Int J Audiol*
 - 408 2003;**42**:17–20. doi:10.3109/14992020309074639
- 5 409 5 Dalton DS, Cruickshanks KJ, Klein BEK, *et al.* The Impact of Hearing Loss on Quality of Life in

410 Older Adults. *The Gerontoligist* 2003;**43**:661–8. doi:10.2298/sarh1106286t

U 411 6 Livingston G, Huntley J, Sommerlad A, *et al.* Dementia prevention, intervention, and care:

Page 19 of 29

1 2			
2 3 4	412		2020 report of the Lancet Commission. <i>Lancet</i> 2020; 396 :413–46. doi:10.1016/S0140-
5 6 7	413		6736(20)30367-6
8 9	414	7	Vas V, Akeroyd MA, Hall DA. A Data-Driven Synthesis of Research Evidence for Domains of
10 11	415		Hearing Loss, as Reported by Adults With Hearing Loss and Their Communication Partners.
12 13 14	416		<i>Trends Hear</i> 2017; 21 :1–25. doi:10.1177/2331216517734088
15 16 17	417	8	World Health Organization. World report on hearing. Geneva: 2021.
18 19	418	9	Olusanya BO, Davis AC, Hoffman HJ. Hearing loss grades and the international classification of
20 21 22	419		functioning, disability and health. Bull World Health Organ 2019;97:725–8.
23 24	420		doi:10.2471/BLT.19.230367
25 26 27	421	10	Nieman CL, Lin FR. Increasing access to hearing rehabilitation for older adults. <i>Curr Opin</i>
28 29	422		<i>Otolaryngol Head Neck Surg</i> 2017; 25 :342–6. doi:10.1097/MOO.000000000000386
30 31 32	423	11	Mulrow CD, Aguilar C, Endicott JE, et al. Quality-of-life changes and hearing impairment A
33 34	424		Randomized trial Quality-of-life changes and hearing impairment. A randomized trial. Ann
35 36 37	425		Intern Med 1990; 113 :188–94. doi:10.7326/0003-4819-113-3-188
38 39	426	12	Granberg S, Pronk M, De Swanepoel W, et al. The ICF core sets for hearing loss project:
40 41 42	427		Functioning and disability from the patient perspective. Int J Audiol 2014;53:777–86.
42 43 44	428		doi:10.3109/14992027.2014.938370
45 46 47	429	13	Yong M, Willink A, McMahon C, et al. Access to adults' hearing aids: Policies and technologies
48 49	430		used in eight countries. Bull World Health Organ 2019;97:699–710.
50 51	431		doi:10.2471/BLT.18.228676
52 53 54	432	14	Orji A, Kamenov K, Dirac M, et al. Global and regional needs, unmet needs and access to
55 56	433		hearing aids. Int J Audiol 2020; 59 :166–72. doi:10.1080/14992027.2020.1721577
57 58 59 60	434	15	Rolfe C, Gardner B. Experiences of hearing loss and views towards interventions to promote

1 2			
3 4	435		uptake of rehabilitation support among UK adults. Int J Audiol 2016; 55 :666–73.
5 6 7	436		doi:10.1080/14992027.2016.1200146
7 8 9	437	16	Zeng F-G, Rebscher S, Harrison W, et al. Cochlear Implants: System Design, Integration, and
10 11 12	438		Evaluation. IEEE Rev Biomed Eng 2008;1:115–42. doi:10.1109/RBME.2008.2008250
13 14	439	17	Prochazka A, Mushahwar VK, McCreery DB. Neural prostheses. J Physiol 2001;533:99–109.
15 16 17	440		doi:10.1111/j.1469-7793.2001.0099b.x
18 19 20	441	18	Philips B, Plasmans A, Ingeborg P. Comfort and Listening Benefits of the Kanso Off-the-Ear
20 21 22	442		Sound Processor in Children. Cochlear White Pap 2016.
23 24 25	443	19	Manufacturers News. <i>Hear J</i> 2013; 66 :30. doi:10.1097/01.HJ.0000427119.41055.a4
26 27	444	20	Cohen N. The totally implantable cochlear implant. <i>Ear Hear</i> 2007; 28 :100–1.
28 29 30	445		doi:10.1097/AUD.0b013e31803150f4
31 32	446	21	Briggs RJS, Eder HC, Seligman PM, et al. Initial clinical experience with a totally implantable
33 34 35	447		cochlear implant research device. Otol. Neurotol. 2008; 29 :114–9.
36 37	448		doi:10.1097/MAO.0b013e31814b242f
38 39 40	449	22	Boisvert I, Reis M, Au A, et al. Cochlear implantation outcomes in adults: A scoping review.
41 42 43	450		<i>PLoS One</i> 2020; 15 :1–26. doi:10.1371/journal.pone.0232421
44 45	451	23	Gaylor JM, Raman G, Chung M, et al. Cochlear implantation in adults: A systematic review
46 47	452		and meta-analysis. JAMA Otolaryngol - Head Neck Surg 2013; 139 :265–72.
48 49 50	453		doi:10.1001/jamaoto.2013.1744
51 52 53	454	24	Crowson MG, Semenov YR, Tucci DL, et al. Quality of Life and Cost-Effectiveness of Cochlear
			Implants: A Narrative Review. Audiol Neurotol 2018; 22 :236–58. doi:10.1159/000481767
54 55	455		
	455 456	25	Neve OM, Boerman JA, van den Hout WB, <i>et al.</i> Cost-benefit Analysis of Cochlear Implants.

Page 21 of 29

1 2			
2 3 4	458	26	Carlyon RP, Goehring T. Cochlear Implant Research and Development in the Twenty-first
5 6	459		Century: A Critical Update. J Assoc Res Otolaryngol Published Online First: 2021.
7 8 9	460		doi:10.1007/s10162-021-00811-5
10 11	461	27	Vickers D, De Raeve L, Graham J. International survey of cochlear implant candidacy. Cochlear
12 13 14	462		Implants Int 2016;17:36–41. doi:10.1080/14670100.2016.1155809
15 16	463	28	Moses LE, Friedmann DR. Cochlear implant indications: a review of third-party payers'
17 18 19	464		policies for standard and expanded indications. Cochlear Implants Int 2021;22:237–44.
20 21	465		doi:10.1080/14670100.2021.1877865
22 23 24	466	29	Zwolan TA, Schvartz-Leyzac KC, Pleasant T. Development of a 60/60 Guideline for Referring
25 26	467		Adults for a Traditional Cochlear Implant Candidacy Evaluation. Otol Neurotol 2020;41:895–
27 28	468		900. doi:10.1097/MAO.000000000002664
29 30 31	469	30	Sorkin DL, Buchman CA. Cochlear implant access in six developed countries. Otol Neurotol
32 33 34	470		2016; 37 :e161–4. doi:10.1097/MAO.00000000000946
35 36	471	31	Looi V, Bluett C, Boisvert I. Referral rates of postlingually deafened adult hearing aid users for
37 38	472		a cochlear implant candidacy assessment. Int J Audiol 2017;56:919–25.
39 40 41	473		doi:10.1080/14992027.2017.1344361
42 43	474	32	Bierbaum M, McMahon CM, Hughes S, et al. Barriers and Facilitators to Cochlear Implant
44 45 46	475		Uptake in Australia and the United Kingdom. <i>Ear Hear</i> 2019; 41 :374–85.
47 48	476		doi:10.1097/AUD.000000000000762
49 50 51	477	33	Wallhagen MI. The stigma of hearing loss. <i>Gerontologist</i> 2010; 50 :66–75.
52 53	478		doi:10.1093/geront/gnp107
54 55 56	479	34	David D, Werner P. Stigma regarding hearing loss and hearing aids: A scoping review. Stigma
57 58	480		Heal 2016; 1 :59–71. doi:10.1037/sah0000022
59 60			

Page 22 of 29

BMJ Open

1 2

3 4	481	35	Tolisano AM, Fang LB, Kutz JW, et al. Better defining best-aided condition: The role of hearing
5 6	482		aids on cochlear implantation qualification rates. Am J Otolaryngol - Head Neck Med Surg
7 8 9	483		2020; 41 . doi:10.1016/j.amjoto.2020.102431
10 11	484	36	Chundu S, Allen PM, Han W, et al. Social representation of hearing aids among people with
12 13	485		hearing loss: an exploratory study. Int J Audiol 2021; 0 :1–15.
14 15 16	486		doi:10.1080/14992027.2021.1886349
17 18 19	487	37	Dillon B, Pryce H. What makes someone choose cochlear implantation? An exploration of
20 21	488		factors that inform patient decision making. Int J Audiol 2020;59:24–32.
22 23 24	489		doi:10.1080/14992027.2019.1660917
24 25 26	490	38	Mauger SJ, Jones M, Nel E, <i>et al</i> . Clinical outcomes with the Kanso [™] off-the-ear cochlear
27 28 29	491		implant sound processor. Int J Audiol 2017; 56 :267–76. doi:10.1080/14992027.2016.1265156
30 31	492	39	Profita HP, Stangl A, Matuszewska L, et al. 'wear It Loud': How and why hearing aid and
32 33	493		cochlear implant users customize their devices. ACM Trans Access Comput 2018;11.
34 35 36	494		doi:10.1145/3214382
37 38 39	495	40	World Health Organization. International classification of functioning, disability and health.
40 41	496		
42			2001.
43	497	41	2001. Malterud K, Siersma VD, Guassora AD. Sample Size in Qualitative Interview Studies: Guided
43 44 45 46		41	
44 45 46 47 48	497	41 42	Malterud K, Siersma VD, Guassora AD. Sample Size in Qualitative Interview Studies: Guided
44 45 46 47	497 498		Malterud K, Siersma VD, Guassora AD. Sample Size in Qualitative Interview Studies: Guided by Information Power. <i>Qual Health Res</i> 2016; 26 :1753–60. doi:10.1177/1049732315617444
44 45 46 47 48 49 50 51 52 53	497 498 499		Malterud K, Siersma VD, Guassora AD. Sample Size in Qualitative Interview Studies: Guided by Information Power. <i>Qual Health Res</i> 2016; 26 :1753–60. doi:10.1177/1049732315617444 Rapport F, Auton E, Warren C, <i>et al.</i> Addressing clinical equipoise for hearing devices: The
44 45 46 47 48 49 50 51 52 53 54 55	497 498 499 500		Malterud K, Siersma VD, Guassora AD. Sample Size in Qualitative Interview Studies: Guided by Information Power. <i>Qual Health Res</i> 2016; 26 :1753–60. doi:10.1177/1049732315617444 Rapport F, Auton E, Warren C, <i>et al.</i> Addressing clinical equipoise for hearing devices: The qualitative COACH (q-COACH) study protocol for Australian stakeholder involvement in the
44 45 46 47 48 49 50 51 52 53 54 55 56 57 58	497 498 499 500 501		Malterud K, Siersma VD, Guassora AD. Sample Size in Qualitative Interview Studies: Guided by Information Power. <i>Qual Health Res</i> 2016; 26 :1753–60. doi:10.1177/1049732315617444 Rapport F, Auton E, Warren C, <i>et al.</i> Addressing clinical equipoise for hearing devices: The qualitative COACH (q-COACH) study protocol for Australian stakeholder involvement in the design of a randomised controlled trial. <i>BMJ Open</i> 2019; 9 :1–8. doi:10.1136/bmjopen-2019-
44 45 46 47 48 49 50 51 52 53 54 55 56 57	497 498 499 500 501 502	42	Malterud K, Siersma VD, Guassora AD. Sample Size in Qualitative Interview Studies: Guided by Information Power. <i>Qual Health Res</i> 2016; 26 :1753–60. doi:10.1177/1049732315617444 Rapport F, Auton E, Warren C, <i>et al.</i> Addressing clinical equipoise for hearing devices: The qualitative COACH (q-COACH) study protocol for Australian stakeholder involvement in the design of a randomised controlled trial. <i>BMJ Open</i> 2019; 9 :1–8. doi:10.1136/bmjopen-2019- 030100

For peer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml

Page 23 of 29

1

BMJ Open

2 3 4	505		doi:10.1186/s12874-016-0242-z
5 6 7	506	44	Rapport F, Bierbaum M, McMahon C, et al. Qualitative, multimethod study of behavioural
8 9	507		and attitudinal responses to cochlear implantation from the patient and healthcare
10 11	508		professional perspective in Australia and the UK: study protocol. BMJ Open 2018;8:e019623.
12 13 14	509		doi:10.1136/bmjopen-2017-019623
15 16 17	510	45	Rapport F, Clement C, Doel MA, et al. Qualitative research and its methods in epilepsy:
17 18 19	511		Contributing to an understanding of patients' lived experiences of the disease. Epilepsy Behav
20 21	512		2015; 45 :94–100. doi:10.1016/j.yebeh.2015.01.040
22 23 24	513	46	IBM Corp. IBM SPSS Statistics for Windows, Version 27.0. 2020.
25 26 27	514	47	Braun V, Clarke V. Qualitative Research in Psychology Using thematic analysis in psychology
28 29	515		Using thematic analysis in psychology. <i>Qual Res Psychol</i> 2006; 3 :77–101.
30 31 32	516	48	QSR International Pty Ltd. NVivo (released in March 2020). 2020.
33 34 35	517	49	Rapport F, Hogden A, Faris M, et al. Qualitative Research in Healthcare Modern Methods,
36 37	518		Clear Translation: a White Paper. 2018.
38 39 40	519	50	National Health and Medical Research Council. National statement on ethical conduct in
41 42	520		human research, 2007 (Updated 2018). 2018. https://www.nhmrc.gov.au/about-
43 44 45	521		us/publications/national-statement-ethical-conduct-human-research-2007-updated-2018
46 47	522	51	Hughes SE, Watkins A, Rapport F, et al. Rasch Analysis of the Listening Effort Questionnaire—
48 49 50	523		Cochlear Implant. <i>Ear Hear</i> 2021; Publish Ah :1–13. doi:10.1097/aud.0000000000001059
51 52 53	524	52	Bierbaum M, Braithwaite J, Arnolda G, et al. Clinicians' attitudes to oncology clinical practice
54 55	525		guidelines and the barriers and facilitators to adherence: a mixed methods study protocol.
56 57	526		<i>BMJ Open</i> 2020; 10 :e035448. doi:10.1136/bmjopen-2019-035448
58 59 60	527	53	Rapport F, Hughes SE, Boisvert I, et al. Adults' cochlear implant journeys through care: A

23

For peer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml

1 2	
3 528 4	qualitative study. BMC Health Serv Res 2020; 20 :1–9. doi:10.1186/s12913-020-05334-y
-	gualitative study. BMC Health Serv Res 2020;20:1–9. doi:10.1186/s12913-020-05334-y
59 60	
	24

Please rank how you would like diaries or journals to be completed (1=most preferred, 3=least preferred) On paper Digitally, on a computer Digitally, on a smart phone or tablet	
Facue groups Focue groups (group based workshops, where a researcher asks questions and facilitates group-discussion) Visual methods (the use of visual documents such as drawings, painting, photographs to help express your thoughts and feelings) Constructions (group based workshops, where a researcher asks questions) Diaris or journals Constructions (group based workshops, where a researcher asks questions) Diaris or journals Constructions (where a researcher observes your behaviours) Please rank these techniques (1=most preferred; 5=least preferred) Focue groups Please rank these techniques (1=most preferred; 5=least preferred) Focue groups Constructions Usaid methods Conservations Conservations Conservations Please rank how you would like interviews, focus groups, and visual methods to be completed (1=most preferred, 2=least preferred) Face-to-face, in-person Visual methods Visual methods Conservations Conservations Conservations Conservations Please rank how you would like interviews, focus groups, and visual methods to be completed (1=most preferred) Conservations Conservations Visual methods Conservations Conservations Conservations Conservations Please rank how you would like questionnalines	
(group-based workshops, where a researcher asks questions and facilitates group-discussion) Image: Comparis and Comparis and Comparison and	
Visual methods Visual matthons or tablet Visual analogue scale Vi	
the use of visual documents such as drawings, painting, photographs to help express your thoughts and feelings)	
Questionnaires di questions) de sortes of questions (la document with a sortes of questions) (la reguar logging of information such as your listening experience) (Debervations (la document with a sortes of questions) (la document with a sortes of question sortes) (la document with a sortes) (la docu	
(a document with a series of questions) Diaries or journals Diaries or journals Deservations Where a researcher observes your behaviours) Please rank these techniques (1=most preferred; 6=least preferred) interviews Focus groups Visual methods Questionnaires Diaries or journals Deservations Please rank how you would like interviews, focus groups, and visual methods to be completed (1=most preferred, 2=least preferred) Face-to-face, in-person Visuel tike there searcher present when you completed (1=most preferred, 3=least preferred) On paper Digitally, on a smart phone or tablet Please rank how you would like diaries or journals to be completed (1=most preferred, 3=least preferred) On paper Digitally, on a smart phone or tablet Please tank how you would like diaries or journals to be completed (1=most preferred, 3=least preferred) On paper Digitally, on a smart phone or tablet Please tank how you would like diaries or journals to be completed (1=most preferred, 3=least preferred) On paper Please tank how you would like diaries or journals to be completed (1=most preferred, 3=least preferred) On paper Please tank how you would like diaries or journals to be completed (1=most preferred, 3=least preferred) On paper Please tank how you would like diaries or journals to be completed (1=most preferred, 3=least preferred) On paper Please tank how you would like diaries or journals to be completed (1=most preferred, 3=least preferred) On paper Please tank how you would like diaries or journals to be completed (1=most preferred, 3=least preferred) On paper Please tank how you would like diaries or journals to be completed preferred, 3=least preferred) On paper Please tank how you would like diaries or journals to be completed preferred, 3=least preferred) On paper Please tank how you would like diaries or journals to be completed preferred, 3=least preferred) On paper Please tank how you would like diaries or journals to be completed pre	
Diarles or journals (regular logging of information such as your listening experience) (Diservations (where a researcher observes your behaviours) Please rank these techniques (1=most preferred; 6=least preferred) Interviews focus groups Visual methods Questionnaires Diarles or journals or tablet Digitally, on a smart phone or tablet Have you completed questionnaires that use: Visual analogue scale Verbal rating scale Verbal rating scale Visual analogue scale Verbal rating scale Visual analogue scale Visual	
Irregular logging of information such as your listening experience) Observations Observations Where a researcher observes your behaviours) Please rank these techniques (1=most preferred; 6=least preferred) Interviews Focus groups Visual methods Questionnaires Datries or journals Datries or journals Distries or journals Detextorisms Please rank how you would like interviews, focus groups, and visual methods to be completed (1=most preferred, 2=least preferred) Face-to-face, in-person Face-to-face, in-person Videotele/phone (e.g. wia Zoom, Skype, or Teams) Please rank how you would like questionnaires are completed (1=most preferred, 3=least preferred) Please rank how you would like diaries or journals to be completed (1=most preferred, 3=least preferred) On paper Digitally, on a computer Digitally, on a smart phone or tablet V Would you like the researcher present when you complete a questionnaire? Y Please rank how you would like diaries or journals to be completed (1=most preferred, 3=least preferred) V On paper Digitally, on a smart phone or tablet V Would you like the researcher present when you complete a questionnaire? Y Numerical rating scale V V Visual analogue	
Observations where a researcher observes your behaviours) Please rank these techniques (1=most preferred; 6=least preferred) interviews Presser rank these techniques (1=most preferred; 6=least preferred) interviews Servations interviews Usual methods interviews Questionnaires interviews Diaries or journals interviews Diservations interviews Please rank how you would like interviews, focus groups, and visual methods to be completed (1=most preferred, 2=least preferred) Prease rank how you would like questionnaires are completed (1=most preferred, 3=least preferred) On paper interviews Digitally, on a computer interviews Digitally, on a computer interviews Digitally, on a computer interviews Digitally, on a smart phone or tablet interviews Have you completed questionnaires that use: Y Yumericial rating scale interviews Visual analogue scale interviews Verbal rating scale interviews Visual analogue scale interviews Visual analogue scale interviews Visual analogue scale interviews Visual analogue scale interviews	
where a researcher observes your behaviours) Please rank these techniques (1=most preferred; 6=least preferred) Interviews Focus groups Visual methods Distries or journals Daries or journals Distries or journals Distres or journals Distres or journals Please rank how you would like interviews, focus groups, and visual methods to be completed (1=most preferred, 2=least preferred) Face-to-face, in-person Videotelephone (e.g. via Zoom, Skype, or Teams) Please rank how you would like questionnaires are completed (1=most preferred, 3=least preferred) Distrest or journals Digitally, on a computer Digitally, on a smart phone or tablet Please rank how you would like diaries or journals to be completed (1=most preferred, 3=least preferred) Digitally, on a computer Digitally, on a computer Digitally, on a computer Digitally, on a computer Digitally, on a computer Digitally, on a smart phone or tablet Please rank how you would like diaries or journals to be completed (1=most preferred, 3=least preferred) Digitally, on a smart phone or tablet Wisual analogue scale V V V Wisual analogue scale V V V Visual analogue scale V V V <td< td=""><td></td></td<>	
Interviews Forcus groups Forcu	
Interviews Forcus groups Forcu	
Focus groups Visual methods to be completed (1=most preferred, 2=least preferred) Visual manalogue scale Verbal rating Visual analogue scale	Ran
Visual methods Questionnaires Questi	
Questionnaires Darkes or journals Observations Please rank how you would like interviews, focus groups, and visual methods to be completed (1=most preferred, 2=least preferred) Face-to-face, in-person videotelephone (e.g. via Zoom, Skype, or Teams) Please rank how you would like questionnaires are completed (1=most preferred, 3=least preferred) Dome (e.g. via Zoom, Skype, or Teams) Please rank how you would like questionnaires are completed (1=most preferred, 3=least preferred) Dome (e.g. via Zoom, Skype, or Teams) Please rank how you would like duestionnaires are completed (1=most preferred, 3=least preferred) Dome (e.g. via Zoom, Skype, or Teams) Please rank how you would like duries or journals to be completed (1=most preferred, 3=least preferred) Dome precent present when you complete a questionnaire? V Please rank how you would like diaries or journals to be completed (1=most preferred, 3=least preferred) Dome precent present when you complete a questionnaire? V Please rank how you would like diaries or journals to be completed (1=most preferred, 3=least preferred) Dome precent present would precent	
Diaries or journals Deservations Please rank how you would like interviews, focus groups, and visual methods to be completed (1=most preferred, 2=least preferred) Face-to-face, in-person Videotelephone (e.g. via Zoom, Skype, or Teams) Please rank how you would like questionnaires are completed (1=most preferred, 3=least preferred) On paper Digitally, on a computer Digitally, on a computer Digitally, on a computer Digitally, on a smart phone or tablet Have you completed questionnaires that use: Visual analogue scale Visual analogue scale Uisual analogue scale Uisual analogue scale Verbal rating scale Uikert scale Choice scale	
Observations Please rank how you would like interviews, focus groups, and visual methods to be completed (1=most preferred, 2=least preferred) Face-to-face, in-person Videotelephone (e.g. via Zoom, Skype, or Teams) Please rank how you would like questionnaires are completed (1=most preferred, 3=least preferred) On paper Digitally, on a computer Digitally, on a smart phone or tablet Would you like the researcher present when you complete a questionnaire? Y Please rank how you would like diaries or journals to be completed (1=most preferred, 3=least preferred) On paper Digitally, on a smart phone or tablet Hease rank how you would like diaries or journals to be completed (1=most preferred, 3=least preferred) On paper Digitally, on a computer Digitally, on a smart phone or tablet Have you completed questionnaires that use: Y Numerical rating scale Y Visual analogue scale Y <td></td>	
Please rank how you would like interviews, focus groups, and visual methods to be completed (1=most preferred, 2=least preferred) Face-to-face, in-person Videotelephone (e.g. via Zoom, Skype, or Teams) Please rank how you would like questionnaires are completed (1=most preferred, 3=least preferred) On paper Digitally, on a computer Digitally, on a smart phone or tablet Verbal rating scale	
Face-to-face, in-person Videotelephone (e.g. via Zoom, Skype, or Teams) Please rank how you would like questionnaires are completed (1=most preferred, 3=least preferred) Digitally, on a computer Digitally, on a computer Videotelephone or tablet Vould you like the researcher present when you complete a questionnaire? V Please rank how you would like diaries or journals to be completed (1=most preferred, 3=least preferred) Please rank how you would like diaries or journals to be completed (1=most preferred, 3=least preferred) Please rank how you would like diaries or journals to be completed (1=most preferred, 3=least preferred) Please rank how you would like diaries or journals to be completed (1=most preferred, 3=least preferred) Please rank how you would like diaries or journals to be completed (1=most preferred, 3=least preferred) Please rank how you would like diaries or journals to be completed (1=most preferred, 3=least preferred) V y unage completed questionnaires that use: V y unage completed questionnaires that use: Verbal rating scale Verbal rating scale Verbal ratin	
Face-to-face, in-person Videotelephone (e.g. via Zoom, Skype, or Teams) Please rank how you would like questionnaires are completed (1=most preferred, 3=least preferred) Dr paper Digitally, on a computer Digitally, on a smart phone or tablet Vould you like the researcher present when you complete a questionnaire? Velease rank how you would like diaries or journals to be completed (1=most preferred, 3=least preferred) Please rank how you would like diaries or journals to be completed (1=most preferred, 3=least preferred) Please rank how you would like diaries or journals to be completed (1=most preferred, 3=least preferred) Please rank how you would like diaries or journals to be completed (1=most preferred, 3=least preferred) Please rank how you would like diaries or journals to be completed (1=most preferred, 3=least preferred) Please rank how you would like diaries or journals to be completed (1=most preferred, 3=least preferred) Vumerical rating scale Verbal rating scale	Ran
Please rank how you would like questionnaires are completed (1=most preferred, 3=least preferred) O On paper O Digitally, on a computer O Digitally, on a smart phone or tablet V Would you like the researcher present when you complete a questionnaire? Y Please rank how you would like diaries or journals to be completed (1=most preferred, 3=least preferred) O On paper O Digitally, on a computer O Digitally, on a smart phone or tablet O Wumerical rating scale V Visual analogue scale O Choice scale O Diage scale O Output O Visual analogue scale O V	
On paper Digitally, on a computer Digitally, on a smart phone or tablet Would you like the researcher present when you complete a questionnaire? Yelease rank how you would like diaries or journals to be completed (1=most preferred, 3=least preferred) On paper Digitally, on a smart phone or tablet Digitally, on a smart phone or tablet Digitally, on a smart phone or tablet Have you completed questionnaires that use: Yumerical rating scale Likert scale Choice scale Yelease rank these types of questions (1=most preferred, 5=least preferred) Numerical rating Visual analogue scale Verbal rating scale Likert scale Choice scale	
On paper Digitally, on a computer Digitally, on a smart phone or tablet Would you like the researcher present when you complete a questionnaire? Y Please rank how you would like diaries or journals to be completed (1=most preferred, 3=least preferred) Dn paper Digitally, on a smart phone or tablet Digitally, on a smart phone or tablet Have you completed questionnaires that use: Y Numerical rating scale Uikert scale Choice scale Please rank these types of questions (1=most preferred, 5=least preferred) Numerical rating Visual analogue scale Verbal rating scale Uikert scale Choice scale	
Digitally, on a computer Digitally, on a smart phone or tablet Would you like the researcher present when you complete a questionnaire? Yelease rank how you would like diaries or journals to be completed (1=most preferred, 3=least preferred) Please rank how you would like diaries or journals to be completed (1=most preferred, 3=least preferred) Digitally, on a computer Digitally, on a smart phone or tablet Have you completed questionnaires that use: Yumerical rating scale Visual analogue Scale V	Ran
Digitally, on a smart phone or tablet Would you like the researcher present when you complete a questionnaire? Velease rank how you would like diaries or journals to be completed (1=most preferred, 3=least preferred) Do paper Digitally, on a computer Digitally, on a smart phone or tablet Have you completed questionnaires that use: Vumerical rating scale Visual analogue scale Verbal rating scale Visual analogue scale Visual Analogue scale Visual Analogue scale Visual Analogue scale Visual Analogue scale Visual Analogue scale Visual Analogue scale Visual Analogue scale Visual Analogue scale Visual Analogue scale Visual Analogue scale Visual Analogu	
Would you like the researcher present when you complete a questionnaire? Y Please rank how you would like diaries or journals to be completed (1=most preferred, 3=least preferred) Please rank how you would like diaries or journals to be completed (1=most preferred, 3=least preferred) On paper Digitally, on a computer Please rank how you completed questionnaires that use: Y Numerical rating scale Y Visual analogue scale Y Please rank these types of questions (1=most preferred, 5=least preferred) Y Numerical rating Y Visual analogue scale Y Usual analogue scale Y Visual analogue scale	
Please rank how you would like diaries or journals to be completed (1=most preferred, 3=least preferred) On paper Digitally, on a computer Digitally, on a smart phone or tablet Have you completed questionnaires that use: Numerical rating scale Visual analogue scale Verbal rating scale Choice scale Please rank these types of questions (1=most preferred, 5=least preferred) Numerical rating Visual analogue scale Verbal rating scale Likert scale Choice scale Verbal rating scale Likert scale Choice scale Visual analogue scale Visual analogue scale Likert scale Choice scale On paper scale Visual analogue scale Visual analogue scale Visual analogue scale Visual analogue scale Verbal rating scale Likert scale Choice scale	
Please rank how you would like diaries or journals to be completed (1=most preferred, 3=least preferred) On paper Digitally, on a computer Digitally, on a smart phone or tablet Have you completed questionnaires that use: Numerical rating scale Visual analogue scale Utert scale Choice scale Please rank these types of questions (1=most preferred, 5=least preferred) Numerical rating Visual analogue scale Visual analogue scale Likert scale Choice scale Likert scale Output Diversion of questions (1=most preferred, 5=least preferred) Numerical rating Visual analogue scale Verbal rating scale Likert scale Choice scale	ſes
On paper Digitally, on a computer Digitally, on a smart phone or tablet Have you completed questionnaires that use: Verbal rating scale Verbal rating scale Verbal rating scale Choice scale Please rank these types of questions (1=most preferred, 5=least preferred) Numerical rating Visual analogue scale Verbal rating scale Likert scale Choice scale	
Digitally, on a computer Digitally, on a smart phone or tablet Have you completed questionnaires that use: Numerical rating scale Visual analogue scale Verbal rating scale Likert scale Choice scale Please rank these types of questions (1=most preferred, 5=least preferred) Numerical rating Visual analogue scale Verbal rating scale Likert scale Choice scale	Ran
Digitally, on a smart phone or tablet Have you completed questionnaires that use: Y Humerical rating scale Y Visual analogue scale Y Verbal rating scale Y Likert scale Y Choice scale Y Please rank these types of questions (1=most preferred, 5=least preferred) Y Numerical rating Y Visual analogue scale Y Likert scale Y Choice scale Y Disual analogue scale Y Visual analogue scale Y Visual analogue scale Y Visual analogue scale Y Visual analogue scale Y Verbal rating scale Y Likert scale Y Choice scale Y	
Have you completed questionnaires that use: Yumerical rating scale Visual analogue scale Verbal rating scale Likert scale Choice scale Please rank these types of questions (1=most preferred, 5=least preferred) Numerical rating Visual analogue scale Verbal rating scale Likert scale Choice scale	
Numerical rating scale Image: Scale scale Visual analogue scale Image: Scale scale scale scale scale Likert scale Image: Scale	
Numerical rating scale Image: Scale scale Visual analogue scale Image: Scale scale scale scale scale Likert scale Image: Scale	ſes
Visual analogue scale Verbal rating scale Likert scale Choice scale Please rank these types of questions (1=most preferred, 5=least preferred) Numerical rating Visual analogue scale Verbal rating scale Verbal rating scale Choice scale Choice scale Choice scale	. 63
Verbal rating scale Likert scale Choice scale Please rank these types of questions (1=most preferred, 5=least preferred) Vumerical rating Visual analogue scale Verbal rating scale Zerbal rating scale	
Likert scale Choice scale Please rank these types of questions (1=most preferred, 5=least preferred) Vumerical rating //isual analogue scale //erbal rating scale //erbal rating scale	
Please rank these types of questions (1=most preferred, 5=least preferred) Numerical rating Visual analogue scale Verbal rating scale Likert scale Choice scale	
Numerical rating Visual analogue scale Verbal rating scale Likert scale Choice scale	
Numerical rating Visual analogue scale Verbal rating scale Likert scale Choice scale	
Visual analogue scale Verbal rating scale Likert scale	Ran
Verbal rating scale	
likert scale	
Choice scale	

Semi-structured Interview Schedule

[Introduction] We are gathering insights from cochlear implants (CI) recipients to explore the impact that having an implant has on their lives. I am a researcher at the Australian Institute of Health Innovation, and welcome your views, feelings, and experiences. We are very interested in your honest opinions, and there are no right or wrong responses. This interview should take around 1-hour but as there are a range of topics that I would like to cover, I may need to move the interview along at different points along the way. Your comfort is of utmost importance. As a reminder, you are free to pause and stop this interview at any time, without consequence. Do you have any questions so far? Can I confirm you have read the Pre-interview Information Sheet? [if not, the interviewer will go through this sheet now].

- How has your CI made a difference to your hearing? (*PROMPT: Examples, if required. What is the most significant difference?*)
- 2) How does your CI impact your quality of life? (PROMPT: How does that compare to your quality of life before the implant?)
- 3) What do you think are the greatest benefits with your CI? (*PROMPT: How do these benefits make you feel?*)
- 4) Do you think a more discreet CI, but which works in a similar fashion to a CI, may make any of the benefits you described even more impactful? *(PROMPT: Why/why not?)*
- 5) What do you think are the greatest challenges or disbenefits of your CI? (*PROMPT: How do these challenges/disbenefits make you feel? Have you ever felt stigmatised because of your CI?*)
- 6) Do you think a more discreet CI, similar to the CI you currently use, may help overcome any of those challenges? *(PROMPT: Why/why not?)*
- 7) Could you imagine any downsides to a more discreet device? (*PROMPT: Why/why not?*)
- 8) How does your CI impact your social life, work life, and relationships? (*PROMPT: Is the impact particularly noticeable in certain contexts? e.g., with family, friends, acquaintances, work colleagues? In groups or with individuals? In formal or informal settings? In groups or with individuals? In quiet or noisy settings? When you are nearer or further away from people? When you are listening to someone's voice or a broadcast/TV/etc.*)
- 9) Do you think that a discreet CI device would make a difference to your social life, work life, and/or relationships?
 (PROMPT: If so, how? Why do you think that? Under what circumstances might it make the most difference?)
 - 9a) [If so] Would that influence your decision when choosing such a CI?

- 10) Before you received your CI, how did you learn about CI devices? (PROMPT: Was it difficult to find that information? The right information? Enough information?)
- 11) What has your hearing health care pathway been like?
 (PROMPT: Quick, fragmented, clear, supportive, shared between healthcare professionals? Was information or support provided to other family members?).
 (PROMPT: How were you diagnosed with a deafness, and what was the process of implantation?)
- 12) What is your relationship with hearing health professionals and organisations? (*PROMPT: How did they influence you? What helped you the most, e.g., meeting facilitators, or reading testimonials of CI recipients, speaking to a supportive healthcare professional, speaking to a knowledgeable healthcare professional, being referred to the right person for your individual needs?*)
 - 13) How do you normally find or learn about new information regarding cochlear implants, implant developments, or implant updates?
 - 14) [Wrap-up] Do you have any final thoughts or comments, particularly regarding a discreet CI device?

COREQ (COnsolidated criteria for REporting Qualitative research) Checklist

A checklist of items that should be included in reports of qualitative research. You must report the page number in your manuscript where you consider each of the items listed in this checklist. If you have not included this information, either revise your manuscript accordingly before submitting or note N/A.

1

2

3

Торіс	Item No.	Guide Questions/Description	Reported or Page No.
Domain 1: Research team			
and reflexivity			
Personal characteristics			
Interviewer/facilitator	1	Which author/s conducted the interview or focus group?	
Credentials	2	What were the researcher's credentials? E.g. PhD, MD	
Occupation	3	What was their occupation at the time of the study?	
Gender	4	Was the researcher male or female?	
Experience and training	5	What experience or training did the researcher have?	
Relationship with	5		
participants			
Relationship established	6	Was a relationship established prior to study commencement?	
	7	What did the participants know about the researcher? e.g. personal	
Participant knowledge of	/		
the interviewer	0	goals, reasons for doing the research	
Interviewer characteristics	8	What characteristics were reported about the inter viewer/facilitator?	
		e.g. Bias, assumptions, reasons and interests in the research topic	
Domain 2: Study design			
Theoretical framework			
Methodological orientation	9	What methodological orientation was stated to underpin the study? e.g.	
and Theory		grounded theory, discourse analysis, ethnography, phenomenology,	
		content analysis	
Participant selection	Г		
Sampling	10	How were participants selected? e.g. purposive, convenience,	
		consecutive, snowball	
Method of approach	11	How were participants approached? e.g. face-to-face, telephone, mail,	
Comula size	10	email	
Sample size	12	How many participants were in the study?	
Non-participation	13	How many people refused to participate or dropped out? Reasons?	
Setting			
Setting of data collection	14	Where was the data collected? e.g. home, clinic, workplace	
Presence of non-	15	Was anyone else present besides the participants and researchers?	
participants			
Description of sample	16	What are the important characteristics of the sample? e.g. demographic	
		data, date	
Data collection	1	-	1
Interview guide	17	Were questions, prompts, guides provided by the authors? Was it pilot	
		tested?	
Repeat interviews	18	Were repeat inter views carried out? If yes, how many?	
Audio/visual recording	19	Did the research use audio or visual recording to collect the data?	
Field notes	20	Were field notes made during and/or after the inter view or focus group?	
Duration	21	What was the duration of the inter views or focus group?	
Data saturation	22	Was data saturation discussed?	
Transcripts returned	23	Were transcripts returned to participants for comment and/or	

Торіс	ltem No.	Guide Questions/Description	Reported on Page No.	
		correction?	-	
Domain 3: analysis and				
findings				
Data analysis				
Number of data coders	24	How many data coders coded the data?		
Description of the coding	25	Did authors provide a description of the coding tree?		
tree				
Derivation of themes	26	Were themes identified in advance or derived from the data?		
Software	27	What software, if applicable, was used to manage the data?		
Participant checking	28	Did participants provide feedback on the findings?		
Reporting				
Quotations presented	29	Were participant quotations presented to illustrate the themes/findings?		
		Was each quotation identified? e.g. participant number		
Data and findings consistent	30	Was there consistency between the data presented and the findings?		
Clarity of major themes	31	Were major themes clearly presented in the findings?		
Clarity of minor themes	32	Is there a description of diverse cases or discussion of minor themes?		
			•	

Developed from: Tong A, Sainsbury P, Craig J. Consolidated criteria for reporting qualitative research (COREQ): a 32-item checklist for interviews and focus groups. International Journal for Quality in Health Care. 2007. Volume 19, Number 6: pp. 349 – 357

Once you have completed this checklist, please save a copy and upload it as part of your submission. DO NOT include this checklist as part of the main manuscript document. It must be uploaded as a separate file.

BMJ Open: first published as 10.1136/bmjopen-2021-058406 on 23 March 2022. Downloaded from http://bmjopen.bmj.com/ on April 17, 2024 by guest. Protected by copyright

BMJ Open

Cochlear implant aesthetics and its impact on stigma, social interaction, and quality of life: a mixed methods study protocol

Journal:	RM1 Open
Journal:	BMJ Open
Manuscript ID	bmjopen-2021-058406.R1
Article Type:	Protocol
Date Submitted by the Author:	09-Feb-2022
Complete List of Authors:	Rapport, Frances ; Macquarie University, Australian Institute of Health Innovation Lo, Chi Yhun; Macquarie University, Australian Institute of Health Innovation; Macquarie University, Department of Linguistics Elks, Beth; Cochlear Ltd Warren, Chris ; Cochlear Ltd Clay-Williams, Robyn; Macquarie University, Australian Institute of Health Innovation
Primary Subject Heading :	Ear, nose and throat/otolaryngology
Secondary Subject Heading:	Ear, nose and throat/otolaryngology, Mental health, Qualitative research, Research methods
Keywords:	Audiology < OTOLARYNGOLOGY, Adult otolaryngology < OTOLARYNGOLOGY, MENTAL HEALTH, Protocols & guidelines < HEALTH SERVICES ADMINISTRATION & MANAGEMENT

SCHOLARONE[™] Manuscripts

2		
3 4	1	Cochlear implant aesthetics and its impact on stigma, social interaction, and quality of life: a mixed
5 6 7	2	methods study protocol
8 9 10	3	
11 12 13	4	Frances Rapport ¹
14 15 16	5	Chi Yhun Lo ^{1, 2, *}
17 18 19	6	Beth Elks ³
20 21 22	7	Chris Warren ³
23 24 25	8	Robyn Clay-Williams ¹
26 27 28	9	
29 30	10	¹ Faculty of Medicine, Health and Human Sciences, Australian Institute of Health Innovation,
31 32 33	11	Macquarie University, Sydney, Australia
34 35	12	² Faculty of Medicine, Health and Human Sciences, Department of Linguistics, Macquarie University,
36 37 38	13	Sydney, Australia
39 40 41	14	³ Cochlear Limited, Macquarie University, Sydney, Australia
42 43 44	15	
45 46 47	16	*Correspondence to Dr Chi Yhun Lo, chi.lo@mq.edu.au
48 49 50	17	
51 52	18	KEYWORDS: cochlear implants, aesthetics, cosmetics, stigma, social interaction, quality of life
53 54 55	19	WORD COUNT: 3501/4000
56 57 58 59 60	20	

Abstract

Introduction

Awareness of the benefits of cochlear implants is low, and barriers such as fear of surgery and ongoing rehabilitation have been noted. Perceived stigma associated with hearing loss also plays a key role, with many adults not wanting to appear old or be identified as a person with a disability. In effect, a cochlear implant makes deafness visible. New technologies have led to a smaller external profile for some types of cochlear implants, but qualitative assessments of benefit have not been explored. This study will examine cochlear implant aesthetics and cosmetics, and its impact on perceived stigma, social interactions, communication, and quality of life. A particular focus will be the examination of totally implantable device concepts. A secondary aim is to understand what research techniques are best suited and most appealing for cochlear implant recipients, to assist in future study design and data collection methods. elle

Methods and analysis

This study utilises a mixed methods design. Three datasets will be collected from each participant with an expected sample size of ten to 15 participants to allow for data saturation of themes elicited. Each participant will complete a demographic questionnaire, a quickfire survey (a short concise questionnaire on a topic of research familiarity and preference), and a semi-structured interview. Questionnaire and quickfire survey data will be analysed using descriptive statistics. Interviews will be transcribed and analysed thematically. All participants will be adults with more than one-year of experience using cochlear implants.

BMJ Open

3	
4	
5	
6	
7	
8	
9	
10	
11	
12	
13	
14	
15	
16	
17	
18	
19	
20	
21	
22	
$5 \\ 6 \\ 7 \\ 8 \\ 9 \\ 10 \\ 11 \\ 12 \\ 13 \\ 14 \\ 15 \\ 16 \\ 17 \\ 18 \\ 19 \\ 20 \\ 22 \\ 23 \\ 22 \\ 23 \\ 22 \\ 23 \\ 23$	
24	
25	
26	
27	
28	
29	
30	
31	
32	
33	
34	
35	
36	
37	
38	
39	
40	
41	
42	
43	
44	
45	
46	
47	
48	
49	
50	
51	
52	
53	
54	
55	
56	
57	
58	
59	
60	
-	

44 Ethics and dissemination This study has been granted ethical approval from Macquarie University (HREC: 520211056232432) 45 46 and meets the requirements set out in the National Statement on Ethical Conduct in Human Research. 47 Study findings will be disseminated widely through international peer-reviewed journal articles, public 48 and academic presentations, plain language summaries for participants, and an executive summary 49 for the project funder. This work was supported by Cochlear Limited (Cochlear Ltd.). The funder will 50 have no role in conducting or reporting on the study. 51 52 **Article Summary** 53 Strengths and Limitations of this study 54 Mixed-methods study assessing attitudes towards cochlear implant aesthetics, and its impact on broad quality of life outcomes. 55 Thematic analyses of interview transcripts provides rich, nuanced datasets in an area that has 56 57 received limited attention. 58 Familiarity, preferences, and motivations for participation examined using a quickfire survey. 59 Participant recruitment and sampling designed to capture a broad cross-section of cochlear 60 implant recipients. The nature of a qualitative sample may limit generalisability of findings. 61

63 INTRODUCTION

62

The prevalence of hearing loss is common and increasing. In 2019, the incidence of some degree of hearing loss was estimated at 1.57 billion people worldwide [1] and 3.6 million people in Australia (representing 20% and 14% of their respective population) [2]. By 2050, an ageing population will

> 67 result in large demographic shifts with hearing loss projected to increase to 2.45 billion people 68 worldwide [1] and 8.7 million people in Australia (25% and 22% respectively) [2]. According to the 69 Global Burden of Diseases Study, hearing loss is the third leading cause of years lived with a disability 70 [1].

> The impact of hearing loss for adults is highly variable, significant, and associated with a broad range of outcomes. At the individual level, hearing loss is associated with communication challenges, listening effort and fatigue, poorer physical health, social isolation, mental health problems, cognitive decline, dementia, and overall diminished quality of life [3-6]. Communication partners also face significant emotional and social burdens when adapting to a hearing loss in the family [7]. Economically, unaddressed or inadequately addressed hearing loss contributes to additional costs related to healthcare, education, loss of productivity (unemployment, underemployment, and premature retirement), and societal costs attributed to the impact of avoidance and stigma [8]. These economic costs are estimated to be \$980 billion worldwide [8].

The severity of hearing loss is defined according to a wide spectrum of recently-revised categories: mild, moderate, moderately-severe, severe, profound, and complete [8,9]; but regardless of the *level* of hearing loss, outcomes and quality of life can be improved with appropriate rehabilitation [10]. Optimal approaches for effective rehabilitation of adults are person-centred, holistic, and sensitive to cultural and contextual settings, but typically include efficient access to clinical and health services, and the use of a range of personalised hearing technologies [10–12]. While this is the optimal approach, the literature indicates that effective rehabilitation, including access to services and appropriate use of technologies is the exception rather than the rule [10,13–15].

Cochlear implants (CIs) are one technology that is being provided to support hearing loss across a range of individual needs. CIs are an implantable hearing device that provides the sensation of sound by directly stimulating the auditory nerve with electrical pulses [16]. CIs have been commercially available for almost 40 years and are designed primarily for functional hearing and Page 5 of 29

BMJ Open

speech perception [16,17]. A typical modern CI consists of external and internal components. Externally, the microphone, processor and battery are housed together and sit behind-the-ear (which collect, process, and digitise sound signals); and a headpiece is affixed by a magnet above and behind the ear on the skull (which transmits the signals to the internal receiver). Internally, the receiver will then convert the signals into pulses through the electrode array, which are interpreted as sound by the brain [16]. There are newer, commercially available systems that are often marketed as "all-inone" which have a smaller external profile, incorporating the behind-the-ear and headpiece components together [18,19]. Totally implantable CIs are another experimental device under development that incorporate all components internally with no external hardware [20,21].

While outcomes are variable, CIs typically provide significant benefits for hearing-related outcomes (such as communication) and quality of life [22,23], are cost-effective [24,25], and are widely acknowledged as the most successful of all neural prosthetic devices available [16,26]. Although candidacy for implantation is constantly being revised and differs widely across jurisdiction and CI manufacturers [27,28], the recent "60/60" guideline is being widely adopted in Australia (where the present study will be situated). This guideline recommends adults be referred for a CI if they have a sensorineural hearing loss of more than 60 dB (i.e. moderately-severe or worse under the current hearing loss categories) and score less than 60% correct for an unaided monosyllabic word test [29].

Despite the noted effectiveness and benefits of CI use, adoption rates remain low and adult utilisation is conservatively estimated at less than 10% globally [30], and 8.5% in Australia (noting this data also includes children) [31]. Given both the incidence of hearing loss is increasing, and the criteria for CI candidacy has also trended towards expansion over time [28], we can infer that the utilisation rate is likely to increase. Our understanding of the potential barriers and facilitators that influence CI uptake are limited, but some of the main barriers CI candidates face include fear of surgery, complications, and side effects; not being prepared or ready for a CI; and concerns around post-surgical care and ongoing rehabilitation [32].

Physical and cosmetic characteristics have been flagged as a significant barrier for the uptake of hearing aids (HAs) and other assistive listening devices [15,33,34]. Although the literature is limited, perceived stigma and its relation to physical and cosmetic concerns have been investigated in greater detail for HAs than CIs. Given there are overlapping features between HAs and CIs, and as the majority of adult CI recipients are former HA users [35], there is relevance in examining HA-related stigma. Nonetheless, they should not be considered a homogenous experience given they address different hearing needs and have distinct healthcare pathways.

While there is no well-defined theoretical framework around stigma and hearing loss; some of the dimensions that have been reported include interrelated concepts such as self-perception (being perceived or labelled as disabled, impaired, incomplete, and diminished), ageism (not wanting to appear old and be associated with the elderly), and vanity (not wanting to appear unattractive) [33]. Consequently, these concepts tend to manifest themselves as counterproductive strategies and barriers to addressing hearing loss. These can lead to denial and concealment of hearing loss, postponing seeking assistance, and social avoidance and isolation [33,34].

A recent cross-cultural study investigating the social representation of HA use in India, the Republic of Korea, United Kingdom, and United States of America found that 'appearance and design' was the second most reported concern of using a HA. Appearance and design also featured the highest number of negative appraisals (51% in the negative) [36]. However, analysis of questionnaire data from the study also indicated that appearance and design was a peripheral concern rather than a centralised one, with users prioritising the importance of benefit, and the impact of cost and time [36].

Generally, CI candidates have indicated that while cosmetic issues are a concern, they are less of a priority in comparison to surgical and rehabilitation considerations, and the desire to improve communication [32]. Issues of CI visibility have often been perceived as something CI recipients must accept, or utilise concealment strategies such as hiding external CI components behind hair [37]. Recently developed all-in-one sound processors are worn entirely off the ear. As all the components

BMJ Open

are integrated into a single unit, there is no coil cable and the form factor can be more easily hidden
compared to typical CIs. While they have received positive appraisals for comfort and cosmetics from
user surveys [38], the resulting attitudes around stigma, social experiences, or quality of life have not
been explored.

Alternatively, instead of utilising strategies of discretion, some users modify and customise their HAs and CIs with stickers and jewellery to draw attention. This act of self-expression may counteract perceived stigma by promoting feelings of agency, empowerment, confidence, and pride [39]. One noted practical benefit of less discrete devices has been seen to be communication signalling, in which bystanders may more easily identify the user's status as deaf or hard-of-hearing, potentially improving communication [39]. There are likely significant age and gender effects to these attitudes, as this study had little representation from younger children, older adults, and men, with participants aged between 17 and 62 years (M = 40, SD = 14.8, 9 females and 1 male). Thus, the extent to which this is indicative or can be applied to the broader CI community is relatively unknown.

Research into the aesthetic and cosmetic concerns around CIs and its association with perceived stigma and quality of life is extremely limited. While the exterior design of CIs that sit on the ear and scalp have remained consistent, the industry has moved toward the miniaturisation of components. All-in-one sound processors and totally implantable CIs are tangible and conceptual examples, respectively. Given the widespread underutilisation of CIs [30,31], an exploration of the relative importance of cosmetic concerns with respect to these new technologies is warranted. As social interactions have been identified as significant facilitators for CI uptake [32], and the International Classification of Functioning, Disability, and Health (ICF) has identified activities and participation as issues of concern [40]; the present study will also focus on social dimensions and dynamics.

- 7 165
- n 166

1						
2 3 4 5	167	Study Objectives				
6 7	168	To examine the importance of cosmetic and physical characteristics of CIs, and how this may impact				
8 9	169	CI recipients' quality of life and attitudes towards CIs. A particular topic of focus is around the				
10 11	170	conceptualisation of TICIs. A secondary objective is to examine participant preferences for research				
12 13 14	171	participation, to guide future study designs and improve participant recruitment and retention.				
15 16	172					
17 18 19 20	173	Aims				
21 22 23	174	1. To establish the importance of CI aesthetics and its relationship with communication, social				
23 24 25	175	experiences, psychosocial wellbeing, and quality of life.				
26 27	176	2. To explore the impact that CI aesthetics may have as a barrier or facilitator to CI uptake and				
28 29	177	use.				
30 31 32	178	3. To understand what research techniques are best suited and most appealing for CI recipients.				
33 34	179					
35 36 37	180	METHODS AND ANALYSIS				
38 39 40	181	Study design				
41 42 43	182	This is a mixed methods study. Participants will complete (1) a demographic questionnaire, (2) a				
44 45	183	quickfire survey (i.e. a short and concise questionnaire) on research participation preferences, and (3)				
46 47	184	individual semi-structured interviews. This study will take place in Australia over a half-year period				
48 49 50	185	between 2021 and 2022.				
51 52 53	186					
54 55 56	187	Sample and recruitment				
57 58	188	Our participant sample size will depend on reaching data saturation, but is estimated to be between				
59 60	189	10 and 15 participants. While smaller samples are common in qualitative health services research				

BMJ Open

studies [41], our choice of sample size was the result of the area of enquiry being entirely new, and our understanding that to incorporate social dimensions of CI use alongside aesthetic considerations was better suited to in-depth data capture from a purposive sample of adults [42]. We are interested in taking a deep dive into understanding and experience. This study will help direct our approach for a larger, longitudinal study with a mixed-demographic population. Taking an iterative approach to data capture and knowledge-acquisition is common in qualitative health research. While data saturation of concepts tends to occur after the first ten interviews [41], the CI population is heterogeneous, and consequently our purposive sampling method has been designed to capture the views of a diverse cohort. We have built in flexibility to recruit additional participants beyond the initial ten if necessary, through secondary snowball sampling (initial cohort may recommend others to participate), to ensure we can target what we have found through our previous research to be a hard-to-reach community. In addition, this will ensure wide representation across age, gender, people with different healthcare needs (comorbidities), and from different economic and educational backgrounds, etc [32,43].

Participants will be recruited Australia-wide through flyers distributed to Cochlear Limited (a global leader and manufacturer of implantable hearing solutions) and Australian community organisations such as Deafness Forum of Australia (Australia's peak body representing Australians with deafness, and the peak representative for Australian consumers in the World Hearing Forum), Hear For You (a charity organisation that supports and mentors young deaf and hard-of-hearing adults), Hearing Matters Australia (an advocacy organisation dedicated to helping Australians with hearing loss), and CICADA Australia (a volunteer support group for cochlear implant recipients and potential candidates). The flyers will be disseminated via their social media platforms and/or online newsletters.

214 Participant inclusion criteria

Participants will be included if they are: (1) an adult aged 18 years and older, (2) a CI recipient with more than one year of experience using their device, (3) proficient in English, with the cognitive capacity to complete a demographic questionnaire and quickfire survey, and engage effectively in a semi-structured interview.

220 Data collection

Both the demographic questionnaire and quickfire survey will be completed prior to the semistructured interview for a number of reasons. The surveys will familiarise participants with the study topic, they will enable the study team to gather data to inform the direction of questioning at interview stage and they will embellish understanding to allow for the most effective data to be collected during interviews. The team have extensive experience of staged data collection from previous studies in the health services field [44,45]. See box 1 for the demographic questionnaire and quickfire survey topic guide.

229 Demographic questionnaire

Participants will complete a demographic questionnaire that consists of closed-ended questions on
 personal characteristics such as: age, gender, socioeconomic status (relationship status, income,
 education, and employment), language, comorbidities, hearing loss characteristics, and device use.

54 234 Quickfire survey

The quickfire survey is a short and concise questionnaire that will (1) capture participants' experience
 and familiarity with research participation and (2) participants' preferences for how their participation

1 2		
3 4	237	in research studies should be conducted. Participants may reflect on previous studies they have been
5 6	238	involved in or perceptions of the most effective, impactful and acceptable approaches to data
7 8	239	collection with no prior experience. Plain English descriptions and visual examples will be used to
9 10 11	240	ensure full understanding of research methods and to provide relevant context.
12 13 14	241	Research familiarity will be recorded using simple yes/no responses. For example, "have you
15 16	242	been involved in research or clinical studies using: interviews (one-to-one interviews, where a
17 18	243	researcher asks you questions?), focus groups (group-based workshops, where a researchers ask
19 20	244	questions and facilitates group discussion), or diaries and journals (keeping a regular log of
21 22 23	245	information such as your listening experience)", etc.
24 25 26	246	Research preferences will be determined by ranked responses. Using the above exemplar,
20 27 28	247	participants would place 'interviews', 'focus groups', and 'diaries or journals' in rank order from most
29 30	248	preferred to least preferred. The quickfire survey is available in supplementary material file 1.
31 32	249	
33 34 35	250	Box 1 Demographic questionnaire topics
35 36 37	251	Demographic questionnaire topics
38 39	231	
40 41	252	• Age
42 43	253	• Gender
44 45	254	 Socioeconomic status (relationship status, income, education, and employment)
46 47 48	255	Language use
49 50	256	Comorbidities
51 52	257	Hearing loss characteristics and device use.
53 54 55	258	
56 57	259	
58 59		
60		

> Quickfire survey topics

- Familiarity and ranked preference of research methodologies: interviews, focus group, visual method, questionnaire, diary or journal, and observation techniques.
 - Ranked preference of research participation mode: face-to-face or online.
 - Ranked preference of research medium: paper, digital (personal computer), or digital (smart device).
 - Familiarity and ranked preference of research scales: numerical rating, visual analogue, verbal rating, Likert, binary choice.

Semi-structured interview

One week prior to the interview, participants will receive a Pre-Interview Information Sheet. This one-page document will summarise and clarify key terms such as 'discretion' (defined in this study as how unobtrusive or subtle a cochlear implant appears), provide close-up photographic examples of an allin-one sound processor being used by a man and a woman, and a conceptual schematic of a totally implantable CI. This information will provide participants with a frame of reference in respect to discreet CI aesthetics, use and value, prior to the interview.

The semi-structured interviews will be conducted online via the videoconference application Zoom with on-screen captioning enabled by default, or by telephone, depending on the participant's preference. The interviews will provide rich and detailed information addressing the primary objective of the study—to examine the importance of cosmetic and physical characteristics of CIs, and how this may impact the quality of life for CI recipients. The interviewer (CYL) is a trained researcher that has qualitative and quantitative experience working within the deaf and hard-of-hearing community but will have no previous relationship with the participants. He will take fieldnotes during the interview noting participant interactions, body language, and emotional states. Interviews will be audio

1 2						
3 4	284	recorded, de-identified, and transcribed verbatim by an external transcription service. The interviews				
5 6	285	are expected to take approximately one hour to complete, and participants will receive a gift card as				
7 8 9	286	a token of appreciation for their time and effort. See Box 2 for the semi-structure interview topic guide				
10 11	287	used in this study.				
12 13 14	288	The flexibility of semi-structured interviews allows opportunities for participants to expand				
15 16	289	and elaborate on topics of interest and for researchers to add prompts if desired to focus on certain				
17 18	290	areas of enquiry (for example, researchers may wish to examine social and emotional as well as				
19 20 21	291	physical impact of hearing loss and could prompt for responses to this). Many unanticipated responses				
21 22 23	292	are welcomed and contribute to the rich dataset, but the research team has carefully considered that				
24 25	293	some CI recipients may enquire about the availability of TICI devices and/or their suitability as a				
26 27	294	candidate. At present, these devices are not commercially available, and we present them to				
28 29	295	participants as conceptual ideas. Prepared responses have also been developed to respond to this				
30 31 32	296	potential situation with care and consideration. The semi-structured interview schedule is available in				
33 34	297	supplementary material file 2.				
35 36	298					
30 37	290					
38 39	299	Box 2				
40 41						
42 43	300	Benefits and challenges associated with their current Cl use				
44 45	301	• Impact of discreet CI devices on communication, motivation, social interactions, and quality				
46 47	302	of life				
48 49	303	Hearing healthcare pathways				
50 51	304	• Trust, influence, and relationship with healthcare providers and stakeholders				
52 53 54	305	Learning about CIs and information access				
55 56	306					
57 58	307					
59 60						

Data analysis

Descriptive statistics will be produced from participants' demographic characteristics, and research familiarity and preferences (from the quickfire survey). This data will be analysed using IBM SPSS Statistics for Windows, Version 27.0 [46], and presented as tabulated data and/or graphical figures.

Transcripts and fieldnotes from the semi-structured interviews will be analysed using a six-phase approach to thematic analysis: (1) familiarisation with the data, (2) generation of initial codes, (3) searching for themes, (4) reviewing themes, (5) defining and naming themes, and (6) producing the report [47]. This will be conducted by three qualitative expert analysts (FR, RCW, CYL) working together. This collaborative approach will ensure the process is robust and rigorous. Coding and analysis of the demographic data, fieldnotes, and transcripts will be completed using NVivo (released in March 2020) [48].

Quantitative and qualitative data will be analysed initially as discrete datasets, but methodological and investigator triangulation approaches will also be used to confirm and enhance our understanding of the findings [49].

Patient and Public Involvement Statement

Patients or the public will not be involved in the design, or conduct, or reporting, or dissemination plans of our research.

3
4
5
6
7
/ 0
8
9
10
11
12
13
14
15
16
17
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
57
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
55 56
57
58
59
60

329 **Ethics statement**

330 This study has been granted ethical approval from the Macquarie University Human Research Ethics 331 Committee, Humanities & Social Sciences Committee, reference number: 520211056232432 and 332 meets the requirements set out in the National Statement on Ethical Conduct in Human Research [50].

333 Participant comfort and wellbeing is paramount. While it is not envisaged that participants will experience distress, if any aspects of the interview, demographic survey or quickfire questionnaire 334 335 cause concern or distress, data collection will be paused immediately, and the necessary support 336 provided. Participants will be reminded that their participation is completely voluntary, and that they 337 have the right to withdraw from the study at any time, without giving a reason for doing so.

338

339 Data storage and retention

340 All data will be stored on an encrypted disk on a password protected computer belonging to 341 Macquarie University for the purposes of data retention and analysis. Only FR, FCW, CYL, and a 342 research assistant (LvB) will have access to this. All data will be retained and archived for a five-year period, which will be stored on an on-premise bespoke network drive that has been configured for 343 344 the research team.

345 The audio recordings from the interviews will be uploaded to an external transcription service. 346 This audio and their subsequent transcription will be permanently deleted from their server after 30 347 days.

- 348

Dissemination

351 Study findings will be disseminated widely through international peer-reviewed journal articles, public 352 and academic presentations, plain language summaries for participants, and an executive summary 353 for the project funder. All quotes attributed to individual participants will be de-identified, and names 354 will be replaced with pseudonyms in any publicly accessible form of presentation.

RESEARCH SIGNIFICANCE AND IMPACT

The underutilisation of CIs is apparent in Australian and global contexts [30,31]. Given the incidence of hearing loss is expected to increase with a globally ageing population [1], identifying ways to improve access to services and hearing technologies is imperative. While our understanding of the barriers and facilitators that affect uptake are limited, there is evidence that the physical and cosmetic appearance of hearing solutions and its relationship to perceived stigma is one factor of concern [15,32–34,37].

This study will examine the relationship of CI aesthetics with perceived stigma, social interactions, communication, and quality of life using qualitative perspectives from adults with CIs. This is significant, as we do not have a comprehensive understanding around the benefits of smaller CI devices, or potential developments such as TICIs. Understanding their potential role as facilitators to CI uptake will be significant in the context of individual and global hearing health that may improve uptake, quality of life, and reduce the burden on health care and economic systems.

A secondary contribution is the exploration of CI recipients' familiarity and preferences around research participation. These findings should improve recruitment strategies and improve engagement with research participation. This is particularly relevant for a specialised cohort such as CI recipients.

2 3	272	
4	373	This study utilises and expands upon the research team's expertise exploring hearing health
5 6 7	374	systems [42,51–53]. Our findings will support a future clinical trial by providing a framework of themes
7 8 9	375	and topics of interest, and inform the feasibility of collecting data on a larger, longitudinal study across
9 10 11	376	a broad demographic population.
12 13 14 15	377	
16 17	378	AUTHOR CONTRIBUTIONS
18 19 20	379	FR and RCW led the overall conceptualisation and design of the study, and provided feedback on the
21 22	380	manuscript drafts. CYL contributed to the design of the study and led the first manuscript draft. BE
23 24	381	and CW contributed to conceptualisation of the study and provided feedback on the manuscript
25 26	382	drafts. All authors provided final approval of the version submitted and accepted its accuracy and
27 28 29	383	integrity.
30 31 32	384	
33 34 35	385	DATA AVAILABILITY STATEMENT
36 37 38	386	DATA AVAILABILITY STATEMENT No data available.
39 40 41	387	
42 43 44	388	FUNDING STATEMENT
45 46	389	This work was supported by Cochlear Ltd (ID167333389). The funder will have no role in conducting
47 48 49	390	or reporting on the study.
50 51	391	
52 53 54	392	COMPETING INTERESTS STATEMENT
55 56 57	393	BE and CW are employees of Cochlear Ltd. and will not be involved in data collection, analysis and
58 59 60	394	reporting of the study findings. CYL has provided consulting expertise for Cochlear Ltd. on unrelated

projects in the past. As experienced qualitative researchers, FR and RCW ensured that the design of this qualitative study was not driven by an industry agenda in any way. For example, the drafting of the interview questions, demographic questionnaire and quickfire survey was completed by FR, RCW, and CYL to ensure they were not leading or contained assumptions specific to Cochlear Ltd. or the broader Cl industry. Also, during the interviews, CYL will ensure all participants are aware he is not an employee of Cochlear Ltd. and is only concerned with understanding participants' honest responses. This study aims to learn from participants' lived-experience with hearing loss, hearing services, and their thoughts and attitudes towards discreet CI concepts. This is also reiterated in the Information and Consent Form. Any attempts to influence participants towards a favourable perspective towards Cochlear Ltd. or CIs is antithetical to the purpose of this study.

certerier only

1 2			
3 4	407	REFE	RENCES
5 6 7	408	1	Haile LM, Kamenov K, Briant PS, et al. Hearing loss prevalence and years lived with disability,
8 9	409		1990-2019: Findings from the Global Burden of Disease Study 2019. Lancet 2021; 397 :996–
10 11 12	410		1009. doi:10.1016/S0140-6736(21)00516-X
13 14	411	2	Hearing Care Industry Association. The Social and Economic Cost of Hearing Loss in Australia.
15 16 17	412		2017.
18 19	413	3	Li-Korotky HS. Age-related hearing loss: Quality of care for quality of life. Gerontologist
20 21 22	414		2012; 52 :265–71. doi:10.1093/geront/gnr159
23 24 25	415	4	Arlinger S. Negative consequences of uncorrected hearing loss—a review. Int J Audiol
26 27	416		2003; 42 :17–20. doi:10.3109/14992020309074639
28 29 30	417	5	Dalton DS, Cruickshanks KJ, Klein BEK, et al. The Impact of Hearing Loss on Quality of Life in
31 32	418		Older Adults. The Gerontoligist 2003;43:661–8. doi:10.2298/sarh1106286t
33 34 35	419	6	Livingston G, Huntley J, Sommerlad A, et al. Dementia prevention, intervention, and care:
36 37	420		2020 report of the Lancet Commission. <i>Lancet</i> 2020; 396 :413–46. doi:10.1016/S0140-
38 39 40	421		6736(20)30367-6
41 42	422	7	Vas V, Akeroyd MA, Hall DA. A Data-Driven Synthesis of Research Evidence for Domains of
43 44 45	423		Hearing Loss, as Reported by Adults With Hearing Loss and Their Communication Partners.
46 47	424		Trends Hear 2017; 21 :1–25. doi:10.1177/2331216517734088
48 49 50	425	8	World Health Organization. World report on hearing. Geneva: 2021.
51 52	426	9	Olusanya BO, Davis AC, Hoffman HJ. Hearing loss grades and the international classification of
53 54 55	427		functioning, disability and health. Bull World Health Organ 2019;97:725–8.
56 57	428		doi:10.2471/BLT.19.230367
58 59 60	429	10	Nieman CL, Lin FR. Increasing access to hearing rehabilitation for older adults. Curr Opin

1

1 2			
2 3 4 5	430		<i>Otolaryngol Head Neck Surg</i> 2017; 25 :342–6. doi:10.1097/MOO.0000000000000386
6 7	431	11	Mulrow CD, Aguilar C, Endicott JE, et al. Quality-of-life changes and hearing impairment A
8 9	432		Randomized trial Quality-of-life changes and hearing impairment. A randomized trial. Ann
10 11 12	433		Intern Med 1990; 113 :188–94. doi:10.7326/0003-4819-113-3-188
13 14	434	12	Granberg S, Pronk M, De Swanepoel W, et al. The ICF core sets for hearing loss project:
15 16 17	435		Functioning and disability from the patient perspective. Int J Audiol 2014; 53 :777–86.
18 19	436		doi:10.3109/14992027.2014.938370
20 21 22	437	13	Yong M, Willink A, McMahon C, et al. Access to adults' hearing aids: Policies and technologies
23 24	438		used in eight countries. <i>Bull World Health Organ</i> 2019; 97 :699–710.
25 26 27	439		doi:10.2471/BLT.18.228676
28 29	440	14	Orji A, Kamenov K, Dirac M, et al. Global and regional needs, unmet needs and access to
30 31 32	441		hearing aids. Int J Audiol 2020; 59 :166–72. doi:10.1080/14992027.2020.1721577
33 34	442	15	Rolfe C, Gardner B. Experiences of hearing loss and views towards interventions to promote
35 36 27	443		uptake of rehabilitation support among UK adults. Int J Audiol 2016; 55 :666–73.
37 38 39	444		doi:10.1080/14992027.2016.1200146
40 41	445	16	Zeng F-G, Rebscher S, Harrison W, et al. Cochlear Implants: System Design, Integration, and
42 43 44	446		Evaluation. IEEE Rev Biomed Eng 2008;1:115-42. doi:10.1109/RBME.2008.2008250
45 46 47	447	17	Prochazka A, Mushahwar VK, McCreery DB. Neural prostheses. J Physiol 2001; 533 :99–109.
47 48 49	448		doi:10.1111/j.1469-7793.2001.0099b.x
50 51 52	449	18	Philips B, Plasmans A, Ingeborg P. Comfort and Listening Benefits of the Kanso Off-the-Ear
53 54	450		Sound Processor in Children. Cochlear White Pap 2016.
55 56 57	451	19	Manufacturers News. <i>Hear J</i> 2013; 66 :30. doi:10.1097/01.HJ.0000427119.41055.a4
58 59 60	452	20	Cohen N. The totally implantable cochlear implant. <i>Ear Hear</i> 2007; 28 :100–1.

For peer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml

Page 21 of 29

BMJ Open

1			
2 3 4	453		doi:10.1097/AUD.0b013e31803150f4
5 6 7	454	21	Briggs RJS, Eder HC, Seligman PM, et al. Initial clinical experience with a totally implantable
8 9	455		cochlear implant research device. Otol. Neurotol. 2008; 29 :114–9.
10 11 12	456		doi:10.1097/MAO.0b013e31814b242f
13 14	457	22	Boisvert I, Reis M, Au A, et al. Cochlear implantation outcomes in adults: A scoping review.
15 16 17	458		<i>PLoS One</i> 2020; 15 :1–26. doi:10.1371/journal.pone.0232421
18 19	459	23	Gaylor JM, Raman G, Chung M, et al. Cochlear implantation in adults: A systematic review
20 21 22	460		and meta-analysis. JAMA Otolaryngol - Head Neck Surg 2013; 139 :265–72.
22 23 24	461		doi:10.1001/jamaoto.2013.1744
25 26	462	24	Crowson MG, Semenov YR, Tucci DL, et al. Quality of Life and Cost-Effectiveness of Cochlear
27 28	463		Implants: A Narrative Review. <i>Audiol Neurotol</i> 2018; 22 :236–58. doi:10.1159/000481767
29 30			
31 32	464	25	Neve OM, Boerman JA, van den Hout WB, <i>et al.</i> Cost-benefit Analysis of Cochlear Implants.
33 34	465		<i>Ear Hear</i> 2021; Publish Ah . doi:10.1097/AUD.0000000000001021
35 36 37	466	26	Carlyon RP, Goehring T. Cochlear Implant Research and Development in the Twenty-first
38 39	467		Century: A Critical Update. J Assoc Res Otolaryngol Published Online First: 2021.
40 41 42	468		doi:10.1007/s10162-021-00811-5
43 44	469	27	Vickers D, De Raeve L, Graham J. International survey of cochlear implant candidacy. Cochlear
45 46 47	470		Implants Int 2016; 17 :36–41. doi:10.1080/14670100.2016.1155809
48 49 50	471	28	Moses LE, Friedmann DR. Cochlear implant indications: a review of third-party payers'
50 51 52	472		policies for standard and expanded indications. Cochlear Implants Int 2021;22:237–44.
53 54	473		doi:10.1080/14670100.2021.1877865
55 56 57	474	29	Zwolan TA, Schvartz-Leyzac KC, Pleasant T. Development of a 60/60 Guideline for Referring
58 59	475		Adults for a Traditional Cochlear Implant Candidacy Evaluation. Otol Neurotol 2020;41:895-
60			

For peer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml

Page 22 of 29

BMJ Open

2			
3 4 5	476		900. doi:10.1097/MAO.000000000002664
6 7	477	30	Sorkin DL, Buchman CA. Cochlear implant access in six developed countries. Otol Neurotol
8 9	478		2016; 37 :e161–4. doi:10.1097/MAO.00000000000946
10 11 12	479	31	Looi V, Bluett C, Boisvert I. Referral rates of postlingually deafened adult hearing aid users for
13 14	480		a cochlear implant candidacy assessment. Int J Audiol 2017;56:919–25.
15 16 17	481		doi:10.1080/14992027.2017.1344361
18 19	482	32	Bierbaum M, McMahon CM, Hughes S, et al. Barriers and Facilitators to Cochlear Implant
20 21 22	483		Uptake in Australia and the United Kingdom. <i>Ear Hear</i> 2019; 41 :374–85.
22 23 24	484		doi:10.1097/AUD.000000000000762
25 26	485	33	Wallhagen MI. The stigma of hearing loss. <i>Gerontologist</i> 2010; 50 :66–75.
27 28 29	486		doi:10.1093/geront/gnp107
30 31	487	34	David D, Werner P. Stigma regarding hearing loss and hearing aids: A scoping review. Stigma
32 33 34	488		<i>Heal</i> 2016; 1 :59–71. doi:10.1037/sah0000022
35 36	489	35	Tolisano AM, Fang LB, Kutz JW, <i>et al.</i> Better defining best-aided condition: The role of hearing
37 38		22	
39 40	490		aids on cochlear implantation qualification rates. Am J Otolaryngol - Head Neck Med Surg
40 41 42	491		2020; 41 . doi:10.1016/j.amjoto.2020.102431
43 44	492	36	Chundu S, Allen PM, Han W, et al. Social representation of hearing aids among people with
45 46 47	493		hearing loss: an exploratory study. Int J Audiol 2021;0:1–15.
48 49	494		doi:10.1080/14992027.2021.1886349
50 51 52	495	37	Dillon B, Pryce H. What makes someone choose cochlear implantation? An exploration of
53 54	496		factors that inform patient decision making. Int J Audiol 2020;59:24–32.
55 56	497		doi:10.1080/14992027.2019.1660917
57 58 59 60	498	38	Mauger SJ, Jones M, Nel E, <i>et al.</i> Clinical outcomes with the Kanso [™] off-the-ear cochlear

Page 23 of 29

1 2			
2 3 4 5	499		implant sound processor. Int J Audiol 2017; 56 :267–76. doi:10.1080/14992027.2016.1265156
6 7	500	39	Profita HP, Stangl A, Matuszewska L, et al. 'wear It Loud': How and why hearing aid and
8 9	501		cochlear implant users customize their devices. ACM Trans Access Comput 2018;11.
10 11	502		doi:10.1145/3214382
12 13 14	503	40	World Health Organization. International classification of functioning, disability and health.
15 16	504		2001.
17			
18 19 20	505	41	Malterud K, Siersma VD, Guassora AD. Sample Size in Qualitative Interview Studies: Guided
21 22	506		by Information Power. <i>Qual Health Res</i> 2016; 26 :1753–60. doi:10.1177/1049732315617444
23 24 25	507	42	Rapport F, Auton E, Warren C, et al. Addressing clinical equipoise for hearing devices: The
25 26 27	508		qualitative COACH (q-COACH) study protocol for Australian stakeholder involvement in the
28 29	509		design of a randomised controlled trial. BMJ Open 2019;9:1–8. doi:10.1136/bmjopen-2019-
30 31 32	510		030100
33 34	511	43	Valerio MA, Rodriguez N, Winkler P, et al. Comparing two sampling methods to engage hard-
35 36 37	512		to-reach communities in research priority setting. <i>BMC Med Res Methodol</i> 2016; 16 :1–11.
37 38 39	513		doi:10.1186/s12874-016-0242-z
40 41	514	44	Rapport F, Bierbaum M, McMahon C, et al. Qualitative, multimethod study of behavioural
42 43 44	515		and attitudinal responses to cochlear implantation from the patient and healthcare
44 45 46	516		professional perspective in Australia and the UK: study protocol. BMJ Open 2018;8:e019623.
47 48	517		doi:10.1136/bmjopen-2017-019623
49 50 51	518	45	Rapport F, Clement C, Doel MA, et al. Qualitative research and its methods in epilepsy:
52 53	519		Contributing to an understanding of patients' lived experiences of the disease. Epilepsy Behav
54 55 56	520		2015; 45 :94–100. doi:10.1016/j.yebeh.2015.01.040
50 57 58 59 60	521	46	IBM Corp. IBM SPSS Statistics for Windows, Version 27.0. 2020.
1			

1 2			
3 4	522	47	Braun V, Clarke V. Qualitative Research in Psychology Using thematic analysis in psychology
5 6 7	523		Using thematic analysis in psychology. <i>Qual Res Psychol</i> 2006; 3 :77–101.
8 9	524	48	QSR International Pty Ltd. NVivo (released in March 2020). 2020.
10 11 12	525	49	Rapport F, Hogden A, Faris M, et al. Qualitative Research in Healthcare Modern Methods,
13 14 15	526		Clear Translation: a White Paper. 2018.
16 17	527	50	National Health and Medical Research Council. National statement on ethical conduct in
18 19 20	528		human research, 2007 (Updated 2018). 2018. https://www.nhmrc.gov.au/about-
21 22	529		us/publications/national-statement-ethical-conduct-human-research-2007-updated-2018
23 24 25	530	51	Hughes SE, Watkins A, Rapport F, et al. Rasch Analysis of the Listening Effort Questionnaire—
26 27 28	531		Cochlear Implant. <i>Ear Hear</i> 2021; Publish Ah :1–13. doi:10.1097/aud.0000000000001059
28 29 30	532	52	Bierbaum M, Braithwaite J, Arnolda G, et al. Clinicians' attitudes to oncology clinical practice
31 32 33	533		guidelines and the barriers and facilitators to adherence: a mixed methods study protocol.
34 35	534		<i>BMJ Open</i> 2020; 10 :e035448. doi:10.1136/bmjopen-2019-035448
36 37 38	535	53	Rapport F, Hughes SE, Boisvert I, et al. Adults' cochlear implant journeys through care: A
39 40	536		qualitative study. <i>BMC Health Serv Res</i> 2020; 20 :1–9. doi:10.1186/s12913-020-05334-y
41 42 43	537		
44 45			
46 47 48			
49 50			
51 52 53			
54 55			
56 57 58			
59 60			

Have you been involved in research or clinical studies usin	g: Ye
Interviews	
(one-to-one interviews, where a researcher asks you question	ins)
Focus groups	
group-based workshops, where a researcher asks questions	and facilitates group-discussion)
Visual methods	
(the use of visual documents such as drawings, painting, pho	tographs to help express your thoughts and feelings)
Questionnaires (a document with a series of questions)	
Diaries or journals	
(regular logging of information such as your listening experie	ance)
Observations	
(where a researcher observes your behaviours)	
Please rank these techniques (1=most preferred; 6=least pr	eferred)
nterviews	
Focus groups	
Visual methods	
Questionnaires	
Diaries or journals	
Observations	
Please rank how you would like interviews, focus groups, a	ind visual methods to be completed (1=most preferred, 2=least preferred)
Face-to-face, in-person	
Videotelephone (e.g. via Zoom, Skype, or Teams)	
	6
Please rank how you would like questionnaires are comple	ted (1=most preferred, 3=least preferred)
On paper	
Digitally, on a computer Digitally, on a smart phone or tablet	
Brany, on a smart phone of tablet	
Would you like the researcher present when you complete	e a questionnaire? Ye
Please rank how you would like diaries or journals to be co	mpleted (1=most preferred, 3=least preferred)
On paper	
Digitally, on a computer	
Digitally, on a smart phone or tablet	
	Ye
Have you completed questionnaires that use:	
Numerical rating scale	
Numerical rating scale Visual analogue scale	
Numerical rating scale Visual analogue scale Verbal rating scale Likert scale	
Numerical rating scale Visual analogue scale Verbal rating scale .ikert scale	
Numerical rating scale Visual analogue scale Verbal rating scale Likert scale Choice scale	east preferred)
Numerical rating scale Visual analogue scale Verbal rating scale Likert scale Choice scale Please rank these types of questions (1=most preferred, 5=	least preferred)
Numerical rating scale Visual analogue scale Verbal rating scale Likert scale Choice scale Please rank these types of questions (1=most preferred, 5= Numerical rating	least preferred)
Numerical rating scale Visual analogue scale Verbal rating scale Likert scale Choice scale Please rank these types of questions (1=most preferred, 5= Numerical rating Visual analogue scale	least preferred)
Numerical rating scale Visual analogue scale Verbal rating scale Likert scale Choice scale Please rank these types of questions (1=most preferred, 5= Numerical rating Visual analogue scale Verbal rating scale	least preferred)
Have you completed questionnaires that use: Numerical rating scale Visual analogue scale Verbal rating scale Likert scale Choice scale Please rank these types of questions (1=most preferred, 5= Numerical rating Visual analogue scale Verbal rating scale Likert scale Choice scale	
merical rating scale ual analogue scale 'bal rating scale ert scale oice scale ase rank these types of questions (1=most preferred, 5= merical rating ual analogue scale 'bal rating scale ert scale	least preferred)

Semi-structured Interview Schedule

[Introduction] We are gathering insights from cochlear implants (CI) recipients to explore the impact that having an implant has on their lives. I am a researcher at the Australian Institute of Health Innovation, and welcome your views, feelings, and experiences. We are very interested in your honest opinions, and there are no right or wrong responses. This interview should take around 1-hour but as there are a range of topics that I would like to cover, I may need to move the interview along at different points along the way. Your comfort is of utmost importance. As a reminder, you are free to pause and stop this interview at any time, without consequence. Do you have any questions so far? Can I confirm you have read the Pre-interview Information Sheet? [if not, the interviewer will go through this sheet now].

- 1) How has your CI made a difference to your hearing? (*PROMPT: Examples, if required. What is the most significant difference?*)
- 2) How does your CI impact your quality of life? (PROMPT: How does that compare to your quality of life before the implant?)
- 3) What do you think are the greatest benefits with your CI? (*PROMPT: How do these benefits make you feel?*)
- 4) Do you think a more discreet CI, but which works in a similar fashion to a CI, may make any of the benefits you described even more impactful? *(PROMPT: Why/why not?)*
- 5) What do you think are the greatest challenges or disbenefits of your CI? (*PROMPT: How do these challenges/disbenefits make you feel? Have you ever felt stigmatised because of your CI?*)
- 6) Do you think a more discreet CI, similar to the CI you currently use, may help overcome any of those challenges? *(PROMPT: Why/why not?)*
- 7) Could you imagine any downsides to a more discreet device? (*PROMPT: Why/why not?*)
- 8) How does your CI impact your social life, work life, and relationships? (*PROMPT: Is the impact particularly noticeable in certain contexts? e.g., with family, friends, acquaintances, work colleagues? In groups or with individuals? In formal or informal settings? In groups or with individuals? In quiet or noisy settings? When you are nearer or further away from people? When you are listening to someone's voice or a broadcast/TV/etc.*)
- 9) Do you think that a discreet CI device would make a difference to your social life, work life, and/or relationships?
 (PROMPT: If so, how? Why do you think that? Under what circumstances might it make the most difference?)
 - 9a) [If so] Would that influence your decision when choosing such a CI?

- 10) Before you received your CI, how did you learn about CI devices? (PROMPT: Was it difficult to find that information? The right information? Enough information?)
- 11) What has your hearing health care pathway been like?
 (PROMPT: Quick, fragmented, clear, supportive, shared between healthcare professionals? Was information or support provided to other family members?).
 (PROMPT: How were you diagnosed with a deafness, and what was the process of implantation?)
- 12) What is your relationship with hearing health professionals and organisations? (*PROMPT: How did they influence you? What helped you the most, e.g., meeting facilitators, or reading testimonials of CI recipients, speaking to a supportive healthcare professional, speaking to a knowledgeable healthcare professional, being referred to the right person for your individual needs?*)
 - 13) How do you normally find or learn about new information regarding cochlear implants, implant developments, or implant updates?
 - 14) [Wrap-up] Do you have any final thoughts or comments, particularly regarding a discreet CI device?

COREQ (COnsolidated criteria for REporting Qualitative research) Checklist

A checklist of items that should be included in reports of qualitative research. You must report the page number in your manuscript where you consider each of the items listed in this checklist. If you have not included this information, either revise your manuscript accordingly before submitting or note N/A.

1

2

3

Торіс	Item No.	Guide Questions/Description	Reported or Page No.
Domain 1: Research team			
and reflexivity			
Personal characteristics			
Interviewer/facilitator	1	Which author/s conducted the interview or focus group?	
Credentials	2	What were the researcher's credentials? E.g. PhD, MD	
Occupation	3	What was their occupation at the time of the study?	
Gender	4	Was the researcher male or female?	
Experience and training	5	What experience or training did the researcher have?	
Relationship with	5		
participants			
Relationship established	6	Was a relationship established prior to study commencement?	
	7	What did the participants know about the researcher? e.g. personal	
Participant knowledge of	/		
the interviewer	0	goals, reasons for doing the research	
Interviewer characteristics	8	What characteristics were reported about the inter viewer/facilitator?	
		e.g. Bias, assumptions, reasons and interests in the research topic	
Domain 2: Study design			
Theoretical framework			
Methodological orientation	9	What methodological orientation was stated to underpin the study? e.g.	
and Theory		grounded theory, discourse analysis, ethnography, phenomenology,	
		content analysis	
Participant selection	1		1
Sampling	10	How were participants selected? e.g. purposive, convenience,	
		consecutive, snowball	
Method of approach	11	How were participants approached? e.g. face-to-face, telephone, mail,	
		email	
Sample size	12	How many participants were in the study?	
Non-participation	13	How many people refused to participate or dropped out? Reasons?	
Setting			-
Setting of data collection	14	Where was the data collected? e.g. home, clinic, workplace	
Presence of non-	15	Was anyone else present besides the participants and researchers?	
participants			
Description of sample	16	What are the important characteristics of the sample? e.g. demographic	
		data, date	
Data collection			
Interview guide	17	Were questions, prompts, guides provided by the authors? Was it pilot	
		tested?	
Repeat interviews	18	Were repeat inter views carried out? If yes, how many?	
Audio/visual recording	19	Did the research use audio or visual recording to collect the data?	
Field notes	20	Were field notes made during and/or after the inter view or focus group?	
Duration	21	What was the duration of the inter views or focus group?	
Data saturation	22	Was data saturation discussed?	
Transcripts returned	23	Were transcripts returned to participants for comment and/or	

Торіс	ltem No.	Guide Questions/Description	Reported on Page No.
		correction?	-
Domain 3: analysis and			•
findings			
Data analysis			
Number of data coders	24	How many data coders coded the data?	
Description of the coding	25	Did authors provide a description of the coding tree?	
tree			
Derivation of themes	26	Were themes identified in advance or derived from the data?	
Software	27	What software, if applicable, was used to manage the data?	
Participant checking	28	Did participants provide feedback on the findings?	
Reporting			•
Quotations presented	29	Were participant quotations presented to illustrate the themes/findings?	
		Was each quotation identified? e.g. participant number	
Data and findings consistent	30	Was there consistency between the data presented and the findings?	
Clarity of major themes	31	Were major themes clearly presented in the findings?	
Clarity of minor themes	32	Is there a description of diverse cases or discussion of minor themes?	
			•

Developed from: Tong A, Sainsbury P, Craig J. Consolidated criteria for reporting qualitative research (COREQ): a 32-item checklist for interviews and focus groups. International Journal for Quality in Health Care. 2007. Volume 19, Number 6: pp. 349 – 357

Once you have completed this checklist, please save a copy and upload it as part of your submission. DO NOT include this checklist as part of the main manuscript document. It must be uploaded as a separate file.

BMJ Open: first published as 10.1136/bmjopen-2021-058406 on 23 March 2022. Downloaded from http://bmjopen.bmj.com/ on April 17, 2024 by guest. Protected by copyright