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# BMJ Open

## Study protocol: A Randomized Parallel-controlled Study on The Effectiveness and Cost-Effectiveness in Screening Gait Disorder of Silent Cerebrovascular Disease Assisted by Artificial Intelligent System versus Clinical Doctors (ACCURATE-1)

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Manuscripts

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4 **Study protocol: A Randomized Parallel-controlled Study**  
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8 **on The Effectiveness and Cost-Effectiveness in Screening**  
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11 **Gait Disorder of Silent Cerebrovascular Disease Assisted**  
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14 **by Artificial Intelligent System versus Clinical Doctors**  
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18 **(ACCURATE-1)**  
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## ABSTRACT

### Introduction:

Silent cerebrovascular disease (SCD), which is a common disease in the elderly, leads to cognitive decline, gait disorders, depression, and urination dysfunction, and increases the risk of cerebrovascular events. Our study aims to compare the accuracy of the diagnosis of SCD-related gait disorders between the intelligent system and the clinician; thus, our team developed an intelligent evaluation system for gait. This study proves whether the intelligent system can help doctors make clinical decisions and predictions, which aids the early prevention and treatment of asymptomatic cerebrovascular diseases.

### Methods and analysis:

This study is multi-centered, prospective, randomized and controlled.

SCD subjects aged 60–85 years in Shanghai and Guizhou will be recruited continuously. All subjects were randomly divided into a doctor with intelligence assistance group or a doctor group, at a 1:1 ratio. The doctor and intelligent assistant group will accept the intelligent system evaluation, while the doctor group will accept the clinicians' routine treatment procedures. Meanwhile, all subjects will accept the panel's gait assessment and recognition rating scale as the gold standard.

### Ethics and dissemination:

Approval was granted by the Ethics Committee of Zhongshan Hospital affiliated with Fudan University on November 26, 2019. The approval number is B2019-027(2) R. All subjects signed an informed consent form before enrollment. Serious adverse events will be reported to the main researchers and ethics committees.

**Trial Registration Number:** NCT04457908

### Key words:

Adult neurology, Stroke, Health economics

## Article Summary

### Strengths and limitations of this study

Our study aims to compare the accuracy of diagnosing SCD-related gait disorders between the intelligent system and clinicians.

This study evaluates the effectiveness and equity of intelligent systems to diagnose SCD-related gait disorders compared to clinicians.

Follow-up was not involved in this study.

## INTRODUCTION

Silent cerebrovascular disease (SCD) is very common in the elderly, and often incidentally found by cranial imaging<sup>1</sup>. It presents as a lacunar infarct, white matter hyperintensities (WMH), and microhemorrhages on imaging; however, patients do not have acute symptoms. Reports on the prevalence rate of SCD varies, mainly due to the selection of different sample populations. Furthermore, there is a lack of relevant studies for people under 45 years of age. Approximately 25% of those over 80 years of age have SCD<sup>2</sup>. Leary<sup>3</sup> et al. found that more than 11 million people in the United States were newly diagnosed with cerebral infarction or hemorrhage on imaging, but only 770,000 of them had clinical symptoms. SCD is mostly related to age and vascular risk factors (hypertension, diabetes, smoking, obstructive sleep apnea-hypopnea syndrome, migraine, etc.). This indicates that vascular lesions play an important role in SCD, but the specific pathophysiological pathways need to be further explored. SCD lacks the symptoms of an acute neurological impairment; thus, it is often overlooked by patients and doctors. Nonetheless, it is also associated with chronic neurological impairments. Multiple studies have shown that SCD can lead to cognitive decline, gait disorders, depression, and urination dysfunction, and may increase the risk of future cerebrovascular events<sup>4-6</sup>. Debette<sup>7</sup> et al. assessed the incidence of stroke, dementia, and death in 2229 community patients (mean age 62±9 years), and found that SCD patients had increased risk of stroke (heart rate [HR]: 2.84, 95%CI :1.32 to 6.10), and dementia (HR: 6.12, 95%CI :1.82-20.54), which were independent risk factors. Stroke results in high medical costs. Shelby<sup>8</sup> et al. analyzed the hospitalization cost for patients with cerebrovascular events in 137 community hospitals. Patients with SAH had the highest cost (\$23,777, n=1,124), followed by patients with intracerebral hemorrhage (\$10,241, n=3,139), ischemic cerebral infarction (\$5,837, n=18,740), and transient ischemic attack (\$3,350, n=7,861). The length of stay was 11.5 days for intracerebral hemorrhage, 7.5 days for intracerebral hemorrhage, 5.9 days for ischemic cerebral infarction, and 3.4 days for transient ischemic attacks.

Early detection of subtle neurological impairment in SCD and standardized intervention can help improve patient prognosis and reduce costs. At present, the diagnosis of SCD mainly relies on the imaging and clinical expertise of doctors, which may be subjective and leads to misdiagnosis.

Therefore, the use of an intelligent system for early quantitative evaluation of

neurological damage in SCD can reduce the evaluation time and differences between individuals. Moreover, it may allow doctors to make sound clinical decisions and outcomes using this algorithm. It also consequently helps in the early prevention of SCD and guides diagnosis and treatment while reducing medical costs.

## METHODS AND ANALYSIS

### Study Design

ACCURATE-1 is a multicenter, prospective, randomized controlled study. (Figure 1) Subjects are randomly divided into a doctor and an intelligent assistant group, and a doctor group at a 1:1 ratio. The doctor and intelligent assistant group accepts the intelligent system evaluation, while the doctor group accepts the clinician's (neurology attending/resident, and/or accepted neurology standardization training of medical attending/resident) routine treatment procedures. Meanwhile, all subjects accept the panel's gait assessment and recognition rating scale as the gold standard. Data on patient demographic characteristics, socioeconomic status, medical history, neurological function assessment, laboratory examination, imaging examination, quality of life, health services utilization and their unit costs, and other social costs will also be collected.

### Setting

The trial was conducted in 14 hospitals in Shanghai and Guizhou, including secondary and tertiary hospitals. All staff members of the trial were trained.

### Participants

In this study, subjects with SCD aged 60 to 85 years in Shanghai and Guizhou will be recruited continuously. All subjects will sign an informed consent form. After recruitment, eligible subjects will be selected for the study according to the inclusion and exclusion criteria.

The inclusion criteria are as follows:

- Aged 60 years to 85 years.

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4 ● Diagnosed with SCD/silent stroke, according to the 2016 statement issued by the  
5 American Heart Association (AHA) and American Stroke Association (ASA):  
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8 ■ No clear previous history of stroke or clinical symptoms, which failed to  
9 attract clinical attention.  
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12 ■ A lacunar infarct of vascular origin was defined as a subcortical round or  
13 ovoid fluid-rich lacunar lesion with a diameter of 3–15 mm, showing low  
14 central signal and irregular marginal high signal on T2-flair. The central  
15 signal is similar to that of the cerebrospinal fluid, while the distribution is  
16 consistent with the blood supply area of the perforating artery. Fazekas  
17 scores should be  $\geq 2$  points.  
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20 ■ WMH of vascular origin defined as a high signal on T2-flair in the white  
21 matter area (periventricular or subcortical). Fazekas scores should be  $\geq 2$   
22 points.  
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25 ■ Cerebral microbleeds defined as a small, round, empty focus of signal flow  
26 on an SWI or T2-weighted image, 2–10 mm in diameter. The number of  
27 microbleed lesions should be  $\geq 5$ .  
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30 ■ Cranial magnetic resonance imaging (MRI) shows at least one of the  
31 following within one year and should provide Digital Imaging and  
32 Communications in Medicine (DICOM) data.  
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43 ● Conscious and able to complete cognitive assessment.  
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46 ● Able to stand and walk independently and complete gait assessment without  
47 assistance.  
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51 ● Sign the informed consent.  
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54 The exclusion criteria are as follows:  
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- Intracranial lesions have been clearly diagnosed as a demyelination disease, leukodystrophy, intracranial space-occupying lesions, autoimmune encephalitis, etc.
- Gait disorders have been diagnosed with Parkinson's disease, normal cranial hydrocephalus, otogenic diseases, subacute combined degeneration, peripheral neuropathy, osteoarthritis, and lumbar diseases.
- Cognitive disorders have been diagnosed as Alzheimer's disease, frontotemporal dementia, Lewy body dementia, etc.
- Severe neurological diseases such as previous cerebral trauma, epilepsy and myelopathy, etc.
- Severe cardiovascular complications which cannot tolerate the assessment.
- Severe visual or hearing impairment, aphasia, cognitive disorder, gait disorder, etc., which cause uncooperative cognitive and gait assessment.
- Refusal to participate in the study.
- Other anomalies that could not be included in the exclusion criteria, but we considered inappropriate to be included in our study.

### Study procedure

Appropriate subjects will be selected based on the inclusion and exclusion criteria. Clinical data collection by doctors will be collected based on their demographics, medical history, neurological function assessment, laboratory examinations, imaging tests, quality of life, health service utilization, socioeconomic status, and medical and other social costs. The entire data collection process will be recorded only for data verification and monitoring.

Whether the subject's cranial MRI meets the inclusion criteria will first be determined by trained doctors according to the STRIVE standard. The committee of experts,

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4 including clinical radiology experts and image post-processing technology personnel,  
5 will review the DICOM data. Subjects who do not pass the review will be excluded  
6 accordingly.  
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10 Subjects in the doctor and intelligent assistant group will be evaluated for  
11 neurological function by using the following intelligent system test: the time up and  
12 go test (TUG). It is used to evaluate the subjects' gait function, which requires them to  
13 stand up from their seat and walk straight forward for 3 m, turn back and walk straight  
14 back to the chair, and then sit down again. Using simple cognitive evaluation (mini-  
15 cognitive assessment) screening of the subjects' memory and executive function, the  
16 participants will first be asked to remember three unrelated words and immediately  
17 repeat these three words. Afterwards, they are asked to draw a clock with 12 numbers  
18 and a pointer to 3:40, then asked to recall the three words. The verbal function of the  
19 subjects will be assessed using verbal retelling items in the Mini-Mental State  
20 Examination (MMSE) and the Montreal Cognitive Assessment (MoCA). Subjects  
21 will be asked to repeat "44 stone lions," "I only know Zhang Liang came to help  
22 today," and "the cat always hid under the sofa when the dog was in the room" in  
23 Chinese. The intelligent system will access the subjects' gait characteristics (get up,  
24 turnaround time, stride length, step velocity, stride length, step width, etc.), language  
25 features (pronunciation, intonation, word order, wrong language, language fluency,  
26 etc.), and clock features (circle, number, pointer).  
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41 Subjects in the doctor group will undergo routine medical procedures. The doctor  
42 group is required to comprise of attending or resident physicians in neurology and/or  
43 attending/resident physicians receiving standardized training in neurology. The  
44 physician will register his/her professional qualifications, relevant knowledge training  
45 experience, educational background, and working years. The physician will determine  
46 whether the subjects have gait disorders through routine medical procedures such as  
47 their present and previous medical history and physical examination data.  
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54 The video of the TUG test of all subjects (including the doctor group and the doctor  
55 and intelligent assistant group) will be evaluated by two specialists in movement  
56 disorders as the gold standard. Specialists will be blinded to the grouping. The expert  
57 physician will judge the subjects' gait based on their clinical experiences. The results  
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4 will be divided into normal and abnormal gaits. If the results are different, the opinion  
5 of a third expert will be included.  
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8 All subjects will be evaluated based on the following scales under the guidance of a  
9 trained doctor: 1) MMSE: evaluates time and place orientation, immediate and  
10 delayed memory, attention and computation, naming, retelling, listening  
11 comprehension, reading and expression, and visual-spatial ability, with scores ranging  
12 from 0 to 30; 2) MoCA: evaluates visual space, executive function, naming, memory,  
13 attention, language, abstraction, and orientation, scores ranging from 0 to 30; 3) Color  
14 word test (CWT): evaluates semantic activation, dominant response inhibition,  
15 attention, working memory, information processing speed, etc.; 4) Digit span test  
16 (DST): evaluates immediate memory and attention; 5) Verbal fluency test (VFT):  
17 evaluates language capabilities; 6) TUG test: evaluates the total time subjects will  
18 take to complete it, with the average value obtained after three repetitions; 7) 10 m  
19 walking test (10 MWT): The subjects will walk 10 m in a straight line at normal  
20 walking speed, while the time and number of steps required for the subject to  
21 complete the 10 MWT will be recorded, with the average value obtained after three  
22 repetitions, and 8) Tinetti performance-oriented mobility assessment (TinettiPOMA):  
23 This includes balance and gait tests, with a maximum score of 28. A score between 19  
24 and 24 indicates a risk of falling, while a score below 19 indicates a high risk of  
25 falling.  
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41 All subjects will be evaluated using the EQ-5D, which describes the quality of life of  
42 the subjects.  
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45 To evaluate the cost-effectiveness from the healthcare system and the societal  
46 perspectives, we will collect the data of unit costs and utilizations of the equipment,  
47 medications, and labor hours taken to deliver each individual diagnosis, as well as  
48 cost of patients' accommodations, transportation, and productivity losses due to their  
49 disease. The labor hours taken will be collected through a questionnaire for staffs,  
50 while the equipment cost (intelligent system) will be amortized over its estimated  
51 lifespan. The medication and other patient costs will be collected using a patient  
52 questionnaire. (Table 1)  
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**Table 1.** Assessment of two groups.

Assessment	Doctor & intelligent assistant	Doctor
Intelligent TUG test	×	
Intelligent Mini-cog test	×	
Intelligent sentence repetition test	×	
Routine treatment procedure		×
Panel's gait assessment	×	×
TUG	×	×
10MWT	×	×
TinettiPOMA	×	×
MMSE	×	×
MoCA	×	×
CWT	×	×
DST	×	×
VFT	×	×
EQ-5D	×	×
Fall condition	×	×
Utilization and unit cost	×	×

× indicates that the assessment took place. TUG, time up and go test; Mini-Cog, Mini-Cognitive Assessment ;10 MWT, 10m walking test; TinettiPOMA, Tinetti performance-oriented mobility assessment; MMSE, Mini-Mental State Examination; MoCA, Montreal cognitive assessment; CWT, Color word test; DST, Digit span test; VFT, verbal fluency test.

#### Assessments

#### Outcome measures

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4 The primary outcome is the sensitivity of the intelligent system and clinicians to  
5 screen for gait disorders.  
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8 The secondary outcomes are as follows: 1) the specificity, coincidence, and the  
9 Yoden index of the intelligent system and clinicians to screen for gait disorders; 2) the  
10 positive and negative predictive values of the intelligent system and clinicians at  
11 different levels to screen for gait disorders, and 3) healthcare costs of intelligent  
12 systems and clinicians to screen for gait disorders, and the incremental cost  
13 effectiveness ratio (ICER) will be estimated by cost per additional true case detected  
14 using an intelligent system versus clinicians.  
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### 21 **Sample size**

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24 This study is a 1:1 superiority trial. Referring to the preliminary study of gait disorder  
25 in SCD and our group, we expect that the sensitivity of doctors and intelligent assistants  
26 will be 85%, while the sensitivity of the doctor group will be 68%. The power is  $1-\beta$   
27 =80%, with a significance level of  $\alpha=0.05$ . According to our calculations, there should  
28 be 94 positive cases evaluated by the gold standard in each group. The expected  
29 shedding rate is 6%; therefore, each group required 100 positive cases. Considering that  
30 the positive rate of gait disorder in the population is approximately 20%, a total of 1000  
31 subjects should be included.  
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38 There are 14 sub-centers for the two regions in our study, including three secondary  
39 and three tertiary hospitals in Shanghai, and four secondary and four tertiary hospitals  
40 in Guizhou. The expected ratio of patients in secondary and tertiary hospitals is 1:2; in  
41 principle, no less than 30 subjects should be enrolled in each center, and 400 subjects  
42 for each region.  
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### 47 **Randomization**

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50 Stratified blocked randomization will be used in this study. Stratification factors  
51 included regions (Shanghai and Guizhou), and hospital levels (secondary and tertiary  
52 hospitals). All subjects meeting the inclusion criteria are randomly divided into a  
53 doctor and intelligent assistant group and a doctor group at a 1:1 ratio through the  
54 central randomization system.  
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### 60 **Data analysis**

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4 The normality was tested with the Shapiro–Wilk test. Continuous data with a normal  
5 distribution are expressed as the mean  $\pm$  standard deviation. Data with non-normal  
6 distribution are presented as medians with interquartile ranges. A t-test or non-  
7 parametric test will be used to compare continuous data. Count data are expressed as  
8 frequency (%). For comparison of categorical variables, the chi-square test, Fisher’s  
9 exact probability test, or CMH chi-square test will be used. Subgroup analyses will  
10 include region and hospital levels. A significant difference was considered to be  
11 statistically significant at  $p < 0.05$ . Statistical analyses were performed using the SAS  
12 9.4.  
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21 A cost-effectiveness analysis will be conducted from a healthcare system and a  
22 societal perspective; all the costs and diagnostic outcomes will be listed separately,  
23 then the incremental cost will be calculated per true case additionally detected by  
24 using the intelligent system versus the clinicians. We will explore the possibilities of  
25 conducting a long-term cost-effectiveness analysis using economic decision modeling  
26 based on future cost savings and health gains by using the intelligent system versus  
27 clinicians to screen for gain disorder.  
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### 34 **Patient and public involvement**

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37 Each patient voluntarily participated in the study and signed the informed consent.  
38 Each subcenter recruited patients according to the inclusion criteria and competed for  
39 enrollment. Patients didn’t involve in the design of this study. Patients don’t need to  
40 assess the burden of the intervention. The result of this study will be disseminated via  
41 peer-reviewed journals.  
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## 51 **DISCUSSION**

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54 Our study aims to compare the accuracy of the diagnosis of SCD-related gait  
55 disorders between the intelligent system and the clinician. Furthermore, we aim to  
56 evaluate the effectiveness and equity of intelligent systems to diagnose SCD-related  
57 gait disorders compared to clinicians.  
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4 Early identification of the characteristic gait of SCD is helpful for clinical diagnosis  
5 and treatment. Studies have found that the deterioration of neural gait disorder is often  
6 associated with impaired cognitive function, which can serve as a warning sign of  
7 dementia. Rosso<sup>9</sup> et al. reported that after a 14-year-follow-up, gait slowing was  
8 associated with cognitive impairment in the elderly population (OR per 0.1 s/y  
9 slowing 1.47; 95% CI, 1.04–2.07). After nine years of follow-up, Dumurgier<sup>10</sup> et al.  
10 found that 296 of the 3,663 subjects developed dementia, in which a decreased pace  
11 was associated with an increased risk of dementia, with a HR value reaching 3.39 for  
12 every 0.007 m/s decrease in pace [95% CI 1.37-8.43]. Therefore, early quantitative  
13 gait analysis will help in the early detection of cognitive impairment. Appropriate  
14 interventions are needed to improve patient outcomes and prognoses.

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16 However, the assessment of gait and cognitive function mostly depends on the visual  
17 or scale method used by doctors. Due to the lack of a unified evaluation process, the  
18 results are relatively random and inconsistent. To the best of our knowledge, this is  
19 the first study to analyze gait features in SCD based on an intelligent system. Using  
20 an intelligent system allows a reduction in the evaluation time and differences  
21 between individuals, thereby increasing early diagnosis and prevention of SCD.

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Currently, no studies have explored the effectiveness of SCD screening in reducing  
adverse health events or cost-effectiveness<sup>1</sup>. Although SCD may cause dementia and  
increase the incidence of stroke, the absolute risk is not high. Therefore, screening  
requires a low-cost and highly efficient test method. Artificial intelligence (AI) is a  
good choice. We will investigate the human, material, and financial costs of  
physicians and artificial intelligence in different regions when assessing a patient's  
neurological function. We hope that our intelligent system can reduce the cost of SCD  
screening and improve diagnosis in remote areas.

### **Ethics and dissemination**

The subjects' rights will be protected according to the regulations of the China Food  
and Drug Administration, the Declaration of Helsinki, and the International  
Conference on Harmonization - Good Clinical Practice (ICH-GCP). The subjects'

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4 data will be kept strictly confidential. The results will be disseminated in peer-  
5 reviewed journals.  
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### 25 26 27 **Authors' contributions**

28  
29  
30 Xin Wang, Jing Ding contributed to the conception and design of the study. Min Hu  
31 and Jin Zhao contributed to the design of the health economics part. Beini Fei,  
32 Yanmin Tang, and Xin Li contributed to the design of the clinical parts. Guoyou Qin  
33 and Wei Zhang helped with data analysis. Beini Fei wrote the manuscript.  
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### 48 49 **Competing interests statement**

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52 The authors declare that they have no competing interests.  
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### 55 56 **Patient consent for publication**

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58 Not required.  
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## Ethics approval

The study was approved by the Zhongshan Hospital Ethics Committee. (Approval No. B2019-274(2) R)

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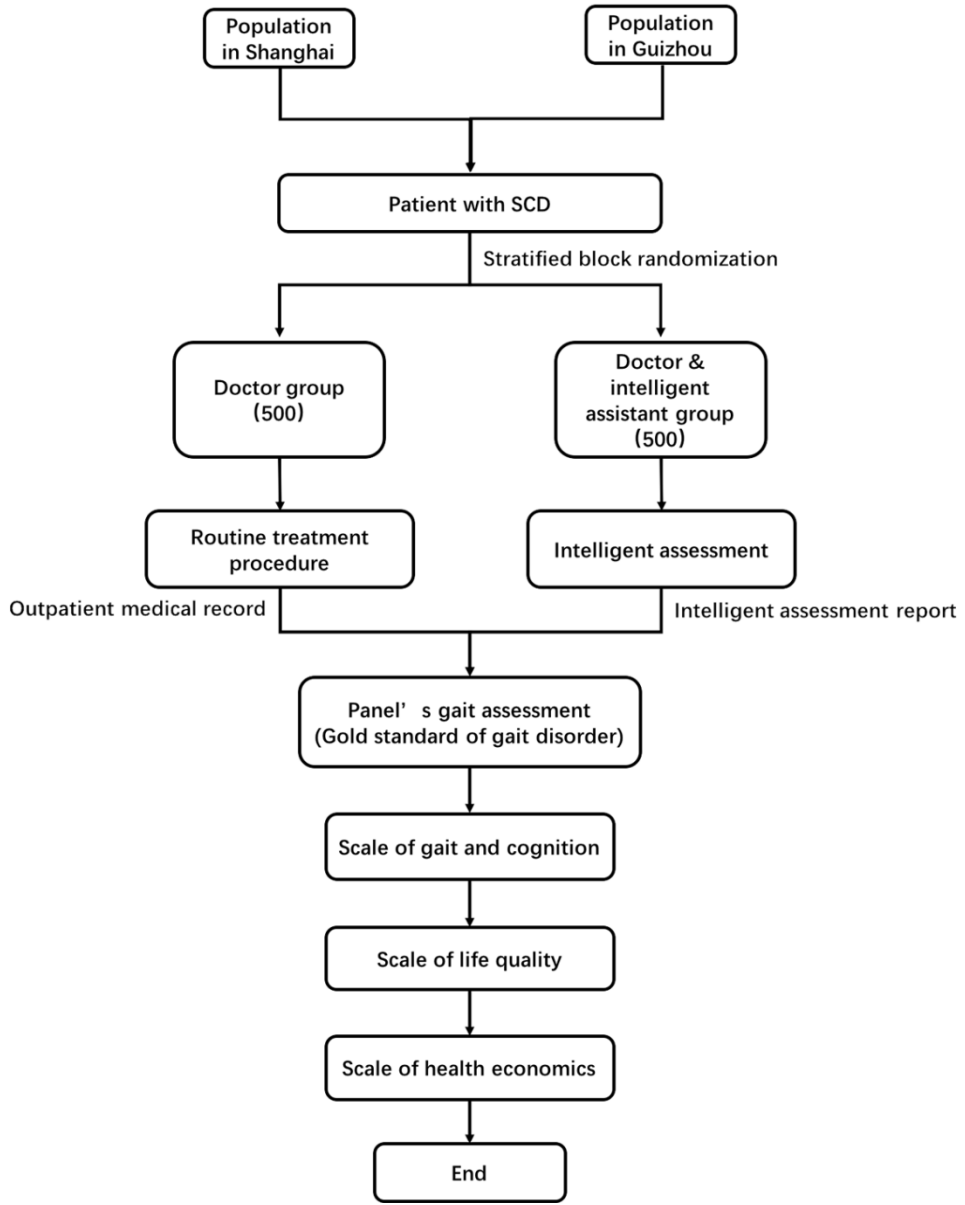
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18 **Figure legend**

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21 **Figure 1** Flow diagram of ACCURATE-1.  
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Flow diagram of ACCURATE-1.  
99x0mm (300 x 3001181 DPI)

# BMJ Open

## Study protocol: A Randomized Parallel Trial on The Effectiveness and Cost-Effectiveness in Screening Gait Disorder of Silent Cerebrovascular Disease Assisted by Artificial Intelligent System versus Clinical Doctors (ACCURATE-1)

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4 1 **Study protocol: A Randomized Parallel Trial on The**  
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8 2 **Effectiveness and Cost-Effectiveness in Screening Gait**  
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11 3 **Disorder of Silent Cerebrovascular Disease Assisted by**  
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14 4 **Artificial Intelligent System versus Clinical Doctors**  
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18 5 **(ACCURATE-1)**  
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## 1 **ABSTRACT**

### 2 **Introduction:**

3 Silent cerebrovascular disease (SCD), which is a common disease in the elderly, leads  
4 to cognitive decline, gait disorders, depression, and urination dysfunction, and  
5 increases the risk of cerebrovascular events. Our study aims to compare the accuracy  
6 of the diagnosis of SCD-related gait disorders between the intelligent system and the  
7 clinician. Our team have developed an intelligent evaluation system for gait. This  
8 study will evaluate whether the intelligent system can help doctors make clinical  
9 decisions and predictions, which aids the early prevention and treatment of silent  
10 cerebrovascular diseases.

### 11 **Methods and analysis:**

12 This study is a multi-centered, prospective, randomized and controlled trial.

13 SCD subjects aged 60–85 years in Shanghai and Guizhou will be recruited  
14 continuously. All subjects will randomly be divided into a doctor with intelligence  
15 assistance group or a doctor group, at a 1:1 ratio. The doctor and intelligent assistant  
16 group will accept the intelligent system evaluation. The intelligent system obtains gait  
17 parameters by an RGB-depth camera and computer vision algorithm. The doctor  
18 group will accept the clinicians' routine treatment procedures. Meanwhile, all subjects  
19 will accept the panel's gait assessment and recognition rating scale as the gold  
20 standard. The primary outcome is the sensitivity of the intelligent system and  
21 clinicians to screen for gait disorders. The secondary outcomes include the healthcare  
22 costs and the incremental cost effectiveness ratio (ICER) of intelligent systems and  
23 clinicians to screen for gait disorders.

### 24 **Ethics and dissemination:**

25 Approval was granted by the Ethics Committee of Zhongshan Hospital affiliated with  
26 Fudan University on November 26, 2019. The approval number is B2019-027(2) R.  
27 All subjects will sign an informed consent form before enrollment. Serious adverse  
28 events will be reported to the main researchers and ethics committees. The subjects'

1 data will be kept strictly confidential. The results will be disseminated in peer-  
2 reviewed journals.

3

4 **Trial Registration Number:** NCT04457908

5 **Key words:**

6 Adult neurology, Stroke, Health economics

7 **Article Summary**

8 **Strengths and limitations of this study**

9 Independent research and development of the intelligent gait evaluation system.

10 Compare the accuracy of diagnosing SCD-related gait disorders between the  
11 intelligent system and clinicians.

12 Evaluates the effectiveness and cost-effectiveness of the intelligent systems.

13 Enroll subjects both in economically developed areas and underdeveloped areas.

14 Follow-up will not be involved.

15

## 1 INTRODUCTION

2 Silent cerebrovascular disease (SCD) is very common in the elderly, and often  
3 incidentally found by cranial imaging<sup>1</sup>. It presents as a lacunar infarct, white matter  
4 hyperintensities (WMH), and microhemorrhages on imaging. However, patients do not  
5 have acute symptoms. Reports on the prevalence rate of SCD varies, mainly due to the  
6 selection of different sample populations. Furthermore, there is a lack of relevant  
7 studies for people under 45 years of age. Approximately 25% of those over 80 years of  
8 age have SCD<sup>2</sup>. Leary<sup>3</sup> et al. found that more than 11 million people in the United States  
9 were newly diagnosed with cerebral infarction or hemorrhage on imaging, but only  
10 770,000 of them had clinical symptoms. SCD lacks the symptoms of an acute  
11 neurological impairment. Thus, it is often overlooked by patients and doctors.  
12 Nonetheless, it is also associated with chronic neurological impairments. Multiple  
13 studies showed that SCD can lead to cognitive decline, gait disorders, depression, and  
14 urination dysfunction, and increase the risk of future cerebrovascular events<sup>4-6</sup>.  
15 Debette<sup>7</sup> et al. assessed the incidence of stroke, dementia, and death in 2229 community  
16 patients (mean age 62±9 years), and found that SCD patients had increased risk of  
17 stroke (hazard rate [HR]: 2.84, 95% CI :1.32 to 6.10), and dementia (HR: 6.12, 95% CI  
18 :1.82-20.54), which were independent risk factors.

19 Stroke results in high medical costs. Shelby<sup>8</sup> et al. analyzed the hospitalization cost for  
20 patients with cerebrovascular events in 137 community hospitals. Patients with SAH  
21 had the highest cost (\$23,777, n=1,124), followed by patients with intracerebral  
22 hemorrhage (\$10,241, n=3,139), ischemic cerebral infarction (\$5,837, n=18,740), and  
23 transient ischemic attack (\$3,350, n=7,861). The length of stay was 11.5 days for  
24 intracerebral hemorrhage, 7.5 days for intracerebral hemorrhage, 5.9 days for ischemic  
25 cerebral infarction, and 3.4 days for transient ischemic attacks.

26 Early detection of subtle neurological impairment in SCD and standardized  
27 intervention can help improve patient prognosis and reduce costs. At present, the  
28 diagnosis of SCD mainly relies on the imaging and clinical expertise of doctors, which  
29 may be subjective and leads to misdiagnosis. Therefore, the use of an intelligent system  
30 for early quantitative evaluation of neurological damage in SCD can reduce the  
31 evaluation time and differences between individuals. It also consequently helps in the  
32 early prevention of SCD and guides diagnosis and treatment while reducing medical  
33 costs.



1

## 2 **METHODS AND ANALYSIS**

### 3 **Study Design**

4 ACCURATE-1 is a multicenter, prospective, superiority, randomized parallel trial.  
5 (Figure 1)

6 Subjects will be randomly divided into a doctor and intelligent assistant group, and a  
7 doctor group at a 1:1 ratio. The doctor and intelligent assistant group will accept the  
8 intelligent system evaluation, while the doctor group will accept the clinician's routine  
9 treatment procedures. Meanwhile, all subjects will accept the panel's gait assessment  
10 and cognitive scales as the gold standard.

### 11 **Setting and timeline**

12 The trial will be conducted in 14 hospitals in Shanghai and Guizhou, including  
13 secondary and tertiary hospitals. All staff members of the trial have been trained before  
14 the trial started. Recruitment of patients started at 25 September 2019. The trial was  
15 halted for more than a year due to the COVID-19 pandemic. Recruitment is ongoing  
16 now. The trial is scheduled to end in February 2022.

### 17 **Participants**

18 In this study, subjects with SCD aged 60 to 85 years in Shanghai and Guizhou will be  
19 recruited continuously. Subjects can refuse to participate or withdraw from the trial at  
20 any stage without discrimination or unfair treatment, and their treatment and rights  
21 will not be affected. All subjects that agree to attend our trial will sign an informed  
22 consent form. After recruitment, eligible subjects will be selected for the study  
23 according to the inclusion and exclusion criteria.

24 The inclusion criteria are as follows:

- 25 ● Aged 60 years to 85 years.
- 26 ● Diagnosed with SCD, according to the 2016 statement issued by the American  
27 Heart Association (AHA) and American Stroke Association (ASA):
  - 28 ■ No clear previous history of stroke.

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4 1 ■ Cranial magnetic resonance imaging (MRI) shows at least one of the  
5 2 following finding within one year and Digital Imaging and Communications  
6 3 in Medicine (DICOM) data should be provided. 1) A lacunar infarct of  
7 4 vascular origin: subcortical round or ovoid fluid-rich lacunar lesion with a  
8 5 diameter of 3–15 mm, showing low central signal and irregular marginal  
9 6 high signal on T2-flair. The central signal is similar to the cerebrospinal  
10 7 fluid. Fazekas scores should be  $\geq 2$  points. 2) WMH of vascular origin: high  
11 8 signal on T2-flair in the white matter area (periventricular or subcortical).  
12 9 Fazekas scores should be  $\geq 2$  points. 3) Cerebral microbleeds: small, round,  
13 10 empty focus lesion on SWI or T2-weighted image, 2–10 mm in diameter.  
14 11 The number of microbleed lesions should be  $\geq 5$ .

12 ● Conscious and able to complete cognitive assessment.

13 ● Able to stand and walk independently and complete gait assessment without  
14 assistance.

15 ● Sign the informed consent.

16 The exclusion criteria are as follows:

17 ● Intracranial lesions have been clearly diagnosed as a demyelination disease,  
18 leukodystrophy, intracranial space-occupying lesions, autoimmune encephalitis,  
19 etc.

20 ● Previously be diagnosed as Parkinson's disease, normal pressure hydrocephalus,  
21 peripheral neuropathy, osteoarthritis.

22 ● Previously be diagnosed as Alzheimer's disease, frontotemporal dementia, Lewy  
23 body dementia, etc.

24 ● Severe neurological diseases such as previous cerebral trauma, epilepsy and  
25 myelopathy, etc.

26 ● Cannot accomplish the cognitive assessment, such as severe visual or hearing  
27 impairment.

- 1 ● Cannot finish the gait assessment, such as severe cardiovascular disorder.

2

### 3 **Study procedure**

4 Appropriate subjects will be selected based on the inclusion and exclusion criteria.  
5 Clinical data will be collected by doctors based on patients' demographics, medical  
6 history, neurological function assessment, laboratory examinations, imaging tests,  
7 quality of life, health service utilization, socioeconomic status, and medical and other  
8 social costs. The entire data collection process will be recorded only for data  
9 verification and monitoring.

10 Whether the subject's cranial MRI meets the inclusion criteria will first be determined  
11 by trained doctors according to the STRIVE standard. The committee of experts,  
12 including clinical radiology experts and image post-processing technology personnel,  
13 will review the DICOM data. Subjects who do not pass the review will be excluded  
14 accordingly.

15 Subjects in the doctor and intelligent assistant group will be tested for the Time Up  
16 and Go Test<sup>9</sup> (TUG) evaluated by the intelligent system. The accuracy of this system  
17 in screening abnormal gait performance is 90.14%<sup>10</sup>. The intelligent system contains  
18 an RGB-depth camera, using to record the TUG tests that include walking video, two-  
19 dimensional color images and scene depth images. The gait parameters in TUG test  
20 are obtained by computer vision algorithm and the data queue is established. The  
21 algorithm can track human motion in the video and identify the main joints in each  
22 frame to achieve pose estimation. Then, the previously extracted parameters are taken  
23 as input, and a machine learning-based classifier is used to filter abnormal gait.

24 Mini-Cog test<sup>11</sup> will be used to screen subjects' memory and executive function.  
25 Subjects will be asked to remember three unrelated words and immediately repeat  
26 these three words. Afterwards, they will be asked to draw a clock with 12 numbers  
27 and a pointer to 3:40, then recall the three words. Subjects will retell the sentences of  
28 the Mini-Mental State Examination<sup>12</sup> (MMSE) and the Montreal Cognitive  
29 Assessment<sup>13</sup> (MoCA). Subjects will be asked to repeat "44 stone lions," "I only

1 know Zhang Liang came to help today," and "The cat always hid under the sofa when  
2 the dog was in the room" in Chinese. The intelligent system will access the subjects'  
3 gait characteristics (get up, turnaround time, stride length, step velocity, stride length,  
4 step width, etc.), language features (pronunciation, intonation, word order, wrong  
5 language, language fluency, etc.), and clock features (circle, number, pointer).

6 Subjects in the doctor group will undergo routine medical procedures. There is only  
7 one doctor in the doctor group of each center. The doctor group is required to  
8 comprise of attending or resident physicians in neurology and/or attending/resident  
9 physicians receiving standardized training in neurology. The physician will register  
10 his/her professional qualifications, relevant knowledge training experience,  
11 educational background, and working years. The physician will determine whether  
12 the subjects have gait disorders through routine medical procedures such as their  
13 present and previous medical history and physical examination data.

14 The video of the TUG test of all subjects (including the doctor group and the doctor  
15 and intelligent assistant group) will be evaluated by two specialists in movement  
16 disorders as the gold standard. Specialists will be blinded to the group allocation.  
17 They will classify the subjects' gait as normal or abnormal. If the results are different,  
18 the opinion of the third expert will be included.

19 All subjects will be evaluated based on the following scales under the guidance of a  
20 trained doctor: 1) MMSE<sup>12</sup>: evaluates time and place orientation, immediate and  
21 delayed memory, attention and computation, naming, retelling, listening  
22 comprehension, reading and expression, and visual-spatial ability, with scores ranging  
23 from 0 to 30; 2) MoCA<sup>13</sup>: evaluates visual space, executive function, naming,  
24 memory, attention, language, abstraction, and orientation, scores ranging from 0 to  
25 30; 3) Color Word Test<sup>14</sup> (CWT): evaluates semantic activation, dominant response  
26 inhibition, attention, working memory, information processing speed, etc.; 4) Digit  
27 Span Test<sup>15</sup> (DST): evaluates immediate memory and attention; 5) Verbal Fluency  
28 Test<sup>16</sup> (VFT): evaluates language capabilities; 6) TUG<sup>9</sup>: evaluates the total time  
29 subjects will take to complete it, with the average value obtained after three  
30 repetitions; 7) 10- Meter Walk Test<sup>17</sup> (10 MWT): The subjects will walk 10 m in a  
31 straight line at normal walking speed, while the time and number of steps required for

1 the subject to complete the 10 MWT will be recorded, with the average value  
 2 obtained after three repetitions; 8) Tinetti Performance-Oriented Mobility  
 3 Assessment<sup>18</sup> (TinettiPOMA): This includes balance and gait tests, with a maximum  
 4 score of 28. A score between 19 and 24 indicates a risk of falling, while a score below  
 5 19 indicates a high risk of falling<sup>19</sup>.

6 All subjects will be evaluated using the 5-level version of EuroQol Five Dimensions  
 7 Questionnaire <sup>20</sup>(EQ-5D-5L), which describes the health-related quality of life of the  
 8 subjects.

9 Resources for health services are limited, especially in remote areas. It is important to  
 10 evaluate the economics of our smart systems. Cost-effectiveness<sup>21</sup> is used to assess  
 11 how much it costs that intelligent systems and doctors to diagnose each gait disorder.  
 12 To evaluate the cost-effectiveness from the healthcare system and the societal  
 13 perspectives, we will collect the data of unit costs and utilizations of the equipment,  
 14 medications, and labor hours taken to deliver each individual diagnosis, as well as the  
 15 additional cost of patients' accommodations, transportation, and productivity losses  
 16 due to their disease. The labor hours taken will be collected through a questionnaire  
 17 for staffs, while the equipment cost (intelligent system) will be amortized over its  
 18 estimated lifespan. The medication and other patient costs will be collected using a  
 19 patient questionnaire. (Table 1)

20

21 **Table 1.** Assessment of two groups.

Assessment	Doctor & intelligent assistant	Doctor
Intelligent TUG test	×	
Intelligent Mini-cog test	×	
Intelligent sentence repetition test	×	
Routine treatment procedure		×
Panel's gait assessment	×	×

TUG	×	×
10MWT	×	×
TinettiPOMA	×	×
MMSE	×	×
MoCA	×	×
CWT	×	×
DST	×	×
VFT	×	×
EQ-5D	×	×
Number of falls	×	×
Utilization and unit cost	×	×

× indicates that the assessment took place. TUG, time up and go test; Mini-Cog, Mini-Cognitive Assessment ;10 MWT, 10m walking test; TinettiPOMA, Tinetti performance-oriented mobility assessment; MMSE, Mini-Mental State Examination; MoCA, Montreal cognitive assessment; CWT, Color word test; DST, Digit span test; VFT, verbal fluency test.

## Assessments

## Outcome measures

The primary outcome is the sensitivity of the intelligent system and clinicians to screen for gait disorders.

The secondary outcomes are as follows: 1) the specificity and the Youden index<sup>22</sup>(calculate as sensitivity plus specificity minus one) of the intelligent system and clinicians to screen for gait disorders; 2) the positive and negative predictive values of the intelligent system and clinicians at different levels to screen for gait disorders, and 3) healthcare costs of intelligent systems and clinicians to screen for gait disorders, and the incremental cost effectiveness ratio (ICER)<sup>21</sup> will be estimated by cost per additional true case detected using an intelligent system versus clinicians.

## Sample size

1 This study is a 1:1 superiority trial. Referring to the preliminary study of gait disorder  
2 in SCD and our group, we expect that the sensitivity of doctors and intelligent assistants  
3 will be 85%, while the sensitivity of the doctor group will be 68%. The power is  $1-\beta$   
4 =80%, with a significance level of  $\alpha=0.05$ . According to our calculations, there should  
5 be 94 positive cases evaluated by the gold standard in each group. The expected  
6 shedding rate is 6%; therefore, each group required 100 positive cases. Considering that  
7 the positive rate of gait disorder in the population is approximately 20%, a total of 1000  
8 subjects should be included. NCSS Statistical Software 2021 was used to calculate  
9 sample size. (<https://www.ncss.com/>)

10 There are 14 sub-centers for the two regions in our study, including three secondary  
11 and three tertiary hospitals in Shanghai, and four secondary and four tertiary hospitals  
12 in Guizhou. The expected ratio of patients in secondary and tertiary hospitals is 1:2; in  
13 principle, no less than 30 subjects should be enrolled in each center, and 400 subjects  
14 for each region.

### 15 **Randomization**

16 Stratified blocked randomization will be used in this study. Stratification factors  
17 included regions (Shanghai and Guizhou), and hospital levels (secondary and tertiary  
18 hospitals). All subjects meeting the inclusion criteria are randomly divided into a  
19 doctor and intelligent assistant group and a doctor group at a 1:1 ratio through the  
20 central randomization system.

### 21 **Data analysis**

22 The normality was tested with the Shapiro–Wilk test. Continuous data with a normal  
23 distribution are expressed as the mean  $\pm$  standard deviation. Data with non-normal  
24 distribution are presented as medians with interquartile ranges. A t-test or non-  
25 parametric test will be used to compare continuous data. Count data are expressed as  
26 frequency (%). For comparison of categorical variables, the chi-square test, Fisher’s  
27 exact probability test, or Cochran–Mantel–Haenszel test will be used. Subgroup  
28 analyses will include region and hospital levels. An intention-to-treat analysis will be  
29 applied. Subjects who are randomly assigned to either the intelligent group or the doctor  
30 group will be analyzed as such, regardless of whether they received intelligent  
31 assessment or not. A significant difference was considered to be statistically significant

1 at  $p < 0.05$ . Statistical analyses were performed using the SAS 9.4.

2 A cost-effectiveness analysis will be conducted from a healthcare system and a  
3 societal perspective; all the costs and diagnostic outcomes will be listed separately,  
4 then the incremental cost will be calculated per true case additionally detected by  
5 using the intelligent system versus the clinicians. We will explore the possibilities of  
6 conducting a long-term cost-effectiveness analysis using economic decision modeling  
7 based on future cost savings and health gains by using the intelligent system versus  
8 clinicians to screen for gait disorder.

### 9 **Patient and public involvement**

10 Each patient will voluntarily participate in the study and sign the informed consent.  
11 Each subcenter will recruit patients according to the inclusion criteria and competed  
12 for enrollment. Patients will not involve in the design of this study. Patients don't  
13 need to assess the burden of the intervention. The result of this study will be  
14 disseminated via peer-reviewed journals.

15

### 16 **DISCUSSION**

17 Our study aims to compare the accuracy of the diagnosis of SCD-related gait  
18 disorders between the intelligent system and the clinician. Furthermore, we aim to  
19 evaluate the effectiveness and equity of intelligent systems to diagnose SCD-related  
20 gait disorders compared to clinicians.

21 Early identification of the characteristic gait of SCD is helpful for clinical diagnosis  
22 and treatment. Studies have found that the deterioration of neural gait disorder is often  
23 associated with impaired cognitive function, which can serve as a warning sign of  
24 dementia. Rosso<sup>23</sup> et al. reported that after a 14-year-follow-up, gait slowing was  
25 associated with cognitive impairment in the elderly population (OR per 0.1 s/y  
26 slowing 1.47; 95% CI, 1.04–2.07). After nine years of follow-up, Dumurgier<sup>24</sup> et al.  
27 found that 296 of the 3,663 subjects developed dementia, in which a decreased pace  
28 was associated with an increased risk of dementia, with a HR value reaching 3.39 for



1 every 0.007 m/s decrease in pace [95% CI 1.37-8.43]. Therefore, early quantitative  
2 gait analysis will help in the early detection of cognitive impairment. Appropriate  
3 interventions are needed to improve patient outcomes and prognoses.

4 However, the assessment of gait and cognitive function mostly depends on the visual  
5 or scale method used by doctors. Due to the lack of a unified evaluation process, the  
6 results are relatively random and inconsistent. Therefore, using artificial intelligence  
7 to detect gait disorder not only reduces time and labor costs, but also avoids  
8 individual evaluation differences. There were some researches based on intelligent  
9 gait analysis with wearable devices. Ahad et al<sup>25</sup> collected gait data using three  
10 sensors placed in a belt and backpack. They analyzed 67 solution and found that the  
11 best result achieved 24.23% prediction error for gender estimation, and 5.39 mean  
12 absolute error for age. Qiu et al<sup>26</sup> used inertial sensors to monitor the function of the  
13 body's lower limbs and capture their movements to reconstruct a three-dimensional  
14 model. Our intelligent system is easy to operate and has low requirements on  
15 hardware and site. Meanwhile, to the best of our knowledge, this is the first study to  
16 analyze gait features in SCD based on an intelligent system.

17 Currently, no studies have explored the effectiveness of SCD screening in reducing  
18 adverse health events or cost-effectiveness<sup>1</sup>. Although SCD may cause dementia and  
19 increase the incidence of stroke, the absolute risk is not high. Therefore, screening  
20 requires a low-cost and highly efficient test method. Artificial intelligence is a good  
21 choice. We will investigate the human, material, and financial costs of physicians and  
22 artificial intelligence in different regions when assessing a patient's neurological  
23 function. We hope that our intelligent system can reduce the cost of SCD screening  
24 and improve diagnosis in remote areas.

## 25 **Ethics and dissemination**

26 The subjects' rights will be protected according to the regulations of the China Food  
27 and Drug Administration, the Declaration of Helsinki, and the International  
28 Conference on Harmonization - Good Clinical Practice (ICH-GCP). The study was  
29 approved by the Zhongshan Hospital Ethics Committee. (Approval No. B2019-027(2))

1 R). The subjects' data will be kept strictly confidential. The results will be  
2 disseminated in peer-reviewed journals.

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### 10 **Authors' contributions**

11 Xin Wang, Jing Ding contributed to the conception and design of the study. Min Hu  
12 and Jin Zhao contributed to the design of the health economics part. Beini Fei,  
13 Yanmin Tang, and Xin Li contributed to the design of the clinical parts. Guoyou Qin  
14 and Wei Zhang helped with data analysis. Beini Fei wrote the manuscript.

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17 China. (No. 2018YFC1312900)

### 18 **Competing interests statement**

19 The authors declare that they have no competing interests.

### 20 **Patient consent for publication**

21 Not required.

## 1 Ethics approval

2 The study was approved by the Zhongshan Hospital Ethics Committee. (Approval No.  
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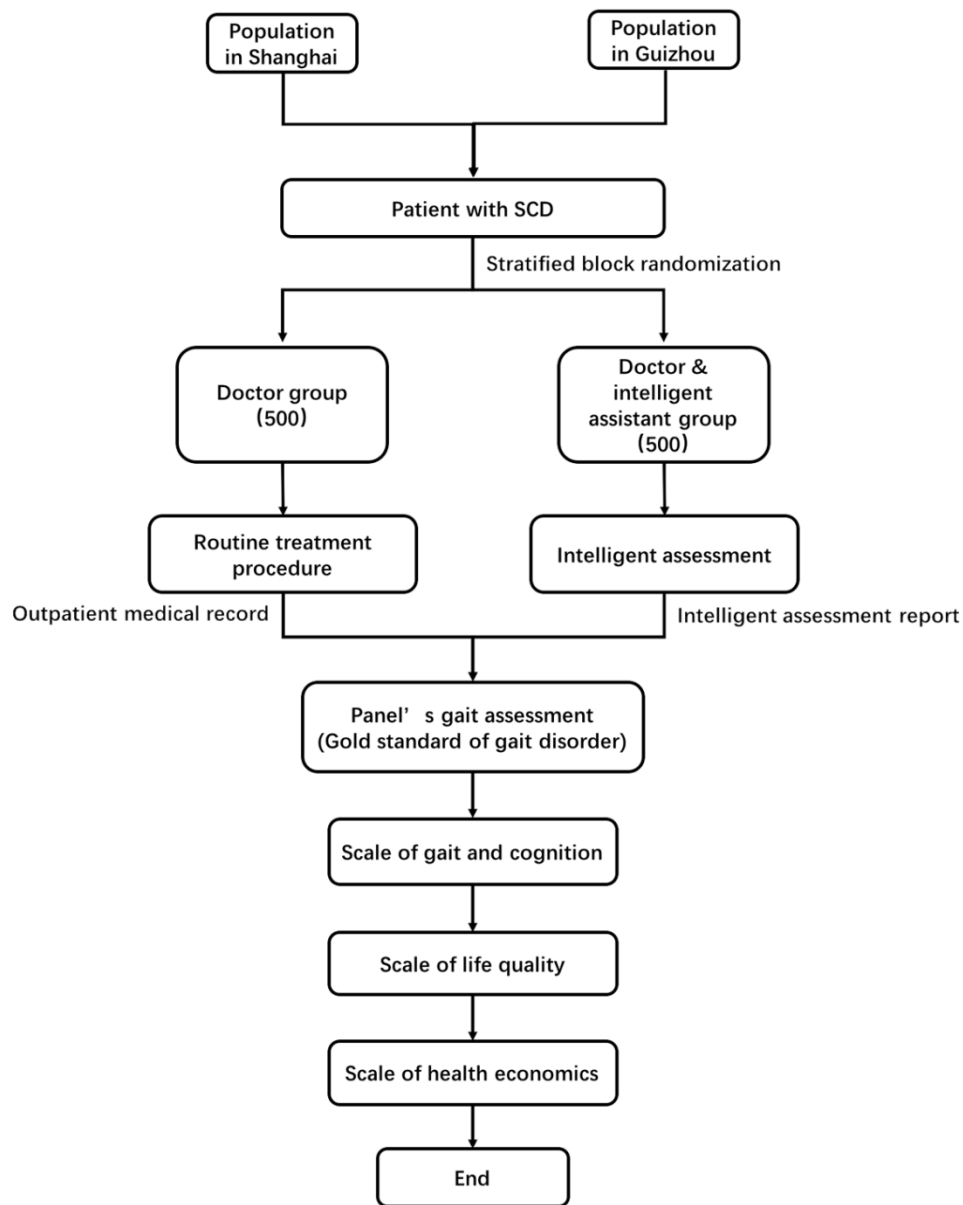
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19 **Figure legend**

20 **Figure 1** Flow diagram of ACCURATE-1.

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Flow diagram of ACCURATE-1.

99x0mm (300 x 3001181 DPI)



SPIRIT 2013 Checklist: Recommended items to address in a clinical trial protocol and related documents\*

Section/item	ItemNo	Description	Line/Page
<b>Administrative information</b>			
Title	1	Descriptive title identifying the study design, population, interventions, and, if applicable, trial acronym	1/1
Trial registration	2a	Trial identifier and registry name. If not yet registered, name of intended registry	4/3
	2b	All items from the World Health Organization Trial Registration Data Set	26/2
Protocol version	3	Date and version identifier	-
Funding	4	Sources and types of financial, material, and other support	13/14
Roles and responsibilities	5a	Names, affiliations, and roles of protocol contributors	20/1
	5b	Name and contact information for the trial sponsor	20/1
	5c	Role of study sponsor and funders, if any, in study design; collection, management, analysis, and interpretation of data; writing of the report; and the decision to submit the report for publication, including whether they will have ultimate authority over any of these activities	20/1
	5d	Composition, roles, and responsibilities of the coordinating centre, steering committee, endpoint adjudication committee, data management team, and other individuals or groups overseeing the trial, if applicable (see Item 21a for data monitoring committee)	10/11
<b>Introduction</b>			
Background and rationale	6a	Description of research question and justification for undertaking the trial, including summary of relevant studies (published and unpublished) examining benefits and harms for each intervention	2/4
	6b	Explanation for choice of comparators	19/4
Objectives	7	Specific objectives or hypotheses	26/4

1				
2	Trial design	8	Description of trial design including type of trial (eg, parallel group, crossover, factorial, single group), allocation ratio, and framework (eg, superiority, equivalence, noninferiority, exploratory)	4/5
3				
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8	<b>Methods: Participants, interventions, and outcomes</b>			
9				
10	Study setting	9	Description of study settings (eg, community clinic, academic hospital) and list of countries where data will be collected. Reference to where list of study sites can be obtained	11/5
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14	Eligibility criteria	10	Inclusion and exclusion criteria for participants. If applicable, eligibility criteria for study centres and individuals who will perform the interventions (eg, surgeons, psychotherapists)	24/5
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19	Interventions	11a	Interventions for each group with sufficient detail to allow replication, including how and when they will be administered	4/7
20				
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22		11b	Criteria for discontinuing or modifying allocated interventions for a given trial participant (eg, drug dose change in response to harms, participant request, or improving/worsening disease)	15/7
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26		11c	Strategies to improve adherence to intervention protocols, and any procedures for monitoring adherence (eg, drug tablet return, laboratory tests)	Not Applicable
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31		11d	Relevant concomitant care and interventions that are permitted or prohibited during the trial	Not Applicable
32				
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34	Outcomes	12	Primary, secondary, and other outcomes, including the specific measurement variable (eg, systolic blood pressure), analysis metric (eg, change from baseline, final value, time to event), method of aggregation (eg, median, proportion), and time point for each outcome. Explanation of the clinical relevance of chosen efficacy and harm outcomes is strongly recommended	9/10
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42	Participant timeline	13	Time schedule of enrolment, interventions (including any run-ins and washouts), assessments, and visits for participants. A schematic diagram is highly recommended (see Figure)	11/5
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47	Sample size	14	Estimated number of participants needed to achieve study objectives and how it was determined, including clinical and statistical assumptions supporting any sample size calculations	18/10
48				
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51	Recruitment	15	Strategies for achieving adequate participant enrolment to reach target sample size	10/11
52				
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#### Methods: Assignment of interventions (for controlled trials)

Allocation:



1				
2	Sequence	16a	Method of generating the allocation sequence (eg, computer-	
3	generation		generated random numbers), and list of any factors for	
4			stratification. To reduce predictability of a random sequence,	
5			details of any planned restriction (eg, blocking) should be	15/11
6			provided in a separate document that is unavailable to those	
7			who enrol participants or assign interventions	
8				
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10	Allocation	16b	Mechanism of implementing the allocation sequence (eg,	
11	concealment		central telephone; sequentially numbered, opaque, sealed	
12	mechanism		envelopes), describing any steps to conceal the sequence until	19/11
13			interventions are assigned	
14				
15	Implementation	16c	Who will generate the allocation sequence, who will enrol	
16			participants, and who will assign participants to interventions	16/11
17				
18	Blinding	17a	Who will be blinded after assignment to interventions (eg, trial	
19	(masking)		participants, care providers, outcome assessors, data	16/8
20			analysts), and how	
21				
22				
23		17b	If blinded, circumstances under which unblinding is	
24			permissible, and procedure for revealing a participant's	Not
25			allocated intervention during the trial	Applicable
26				
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28	<b>Methods: Data collection, management, and analysis</b>			
29				
30	Data collection	18a	Plans for assessment and collection of outcome, baseline, and	
31	methods		other trial data, including any related processes to promote	
32			data quality (eg, duplicate measurements, training of	
33			assessors) and a description of study instruments (eg,	15/7
34			questionnaires, laboratory tests) along with their reliability and	
35			validity, if known. Reference to where data collection forms can	
36			be found, if not in the protocol	
37				
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39		18b	Plans to promote participant retention and complete follow-up,	
40			including list of any outcome data to be collected for	
41			participants who discontinue or deviate from intervention	Not
42			protocols	Applicable
43				
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45	Data	19	Plans for data entry, coding, security, and storage, including	
46	management		any related processes to promote data quality (eg, double data	
47			entry; range checks for data values). Reference to where	29/13
48			details of data management procedures can be found, if not in	
49			the protocol	
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52	Statistical	20a	Statistical methods for analysing primary and secondary	
53	methods		outcomes. Reference to where other details of the statistical	22/11
54			analysis plan can be found, if not in the protocol	
55				
56		20b	Methods for any additional analyses (eg, subgroup and	
57			adjusted analyses)	2/12
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1		20c	Definition of analysis population relating to protocol non-adherence (eg, as randomised analysis), and any statistical methods to handle missing data (eg, multiple imputation)	28/11
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2	Ancillary and	30	Provisions, if any, for ancillary and post-trial care, and for	Attachment (informed consent)
3	post-trial care		compensation to those who suffer harm from trial participation	
4				
5				
6	Dissemination	31a	Plans for investigators and sponsor to communicate trial results	29/13
7	policy		to participants, healthcare professionals, the public, and other	
8			relevant groups (eg, via publication, reporting in results	
9			databases, or other data sharing arrangements), including any	
10			publication restrictions	
11				
12				
13		31b	Authorship eligibility guidelines and any intended use of	Not Applicable
14			professional writers	
15				
16		31c	Plans, if any, for granting public access to the full protocol,	Not Applicable
17			participant-level dataset, and statistical code	
18				
19				
20	<b>Appendices</b>			
21				
22	Informed consent	32	Model consent form and other related documentation given to	Attachment
23	materials		participants and authorised surrogates	
24				
25	Biological	33	Plans for collection, laboratory evaluation, and storage of	Not Applicable
26	specimens		biological specimens for genetic or molecular analysis in the	
27			current trial and for future use in ancillary studies, if applicable	
28				

29 \*It is strongly recommended that this checklist be read in conjunction with the SPIRIT 2013  
 30 Explanation & Elaboration for important clarification on the items. Amendments to the  
 31 protocol should be tracked and dated. The SPIRIT checklist is copyrighted by the SPIRIT  
 32 Group under the Creative Commons "[Attribution-NonCommercial-NoDerivs 3.0 Unported](https://creativecommons.org/licenses/by-nc-nd/3.0/)"  
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