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# Health Burden of Ageing, Sex and Regional Disparities, and Health Resources Allocation: A Longitudinal Analysis of 31 Provinces in Mainland China

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# Health Burden of Ageing, Sex and Regional Disparities, and Health Resources Allocation: A Longitudinal Analysis of 31 Provinces in Mainland China

### Title page

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#### Abstract

#### Objectives

To measure the health burden of ageing based on age-related diseases (ARD), the sex and regional disparities, and the impact of health resources allocation on the burden in China.

#### Methods

We used the estimates from the Global Burden of Diseases, Injuries, and Risk Factors Study (GBD) and China's routine official statistics for analysis. We first identified the ARDs and calculated the national burden in 2016. We assessed the ARD burden disparities by province and sex, and calculated the provincial ARD burden-adjusted age. We assessed historical changes between 1990 and 2016. Fixed-effects regression models were adopted to assess the impact of health expenditures and human resources indicators on the ARD burden in 2010-2016.

#### Results

In 2016, China's total burden of ARDs was 15703.7 DALYs (95% UI: 12628.5, 18406.2) per 100,000 population. Non-communicable diseases (NCDs) accounted for 91.9% of the burden. There were significant regional disparities. The leading five youngest provinces were Beijing, Guangdong, Shanghai, Zhejiang and Fujian, located in east coast of China with an ARD burden-adjusted age below 40. After standardising the age structure, western provinces including Tibet, Qinghai, Guizhou, and Xinjiang had the highest burden of ARDs. Males were disproportionately affected by ARDs. China's overall age-standardised ARD burden had decreased since 1990, females and eastern provinces experienced the largest decline. We did not observe burden decline in sense organ diseases and neurological disorders. Regression results showed the urban-rural gap in health workforce density was positively associated with the ARD burdens.

#### Conclusion

Chronological age alone does not provide a strong enough basis for appropriate ageing resource planning or policymaking. In China, concerted efforts should be made to reduce the ARDs burden and its disparities. Health resources should be deliberately allocated to western provinces which face the greatest health threat due to future ageing.

#### Introduction

The world is rapidly ageing due to increased life expectancy and decreased fertility rates.¹ Health is a key factor in determining whether population ageing means more opportunities or challenges to society.² Although the global population is living longer, it is unclear whether these extended years of life are spent in good or bad health.²-9 It is therefore essential to measure the interactions between ageing and health for a clearer understanding of the health burden of ageing. Numerous metrics have been developed to measure population ageing and health for resource planning. Traditionally, the change of chronological age and age structure have been used to assess ageing based on demographic metrics such as life expectancy or the percentage of the population over a certain age threshold.¹0 Another set of metrics assesses functional status through indicators such as frailty,¹¹¹¹²² disability,¹³¹-¹⁵ cognitive function,¹¹⁶ and intrinsic capacity.¹¹¹,¹¹² Health status is typically assessed based on biomarkers, self-reported health status¹¹¹²,²0 and epidemiological indicators of different diseases such as incidence, prevalence, mortality, and disability-adjusted life years (DALYs). Some metrics measure ageing while also taking health status into account. These metrics include healthy life years (HLYs), healthy life expectancy (HALE), and biological age. However, these metrics either measure ageing and health separately or cannot provide disease-specific burden information to guide resource planning.

To complement the existing metrics and measure the population-level health burden of ageing, Chang et al. developed a novel metric called the age-related disease (ARD) burden.<sup>21</sup> They defined ARDs as diseases with incidence rates that increased quadratically with age. The researchers used estimates from the Global Burden of Diseases, Injuries, and Risk Factors Study (GBD) 2017 to measure and compare ARD burdens of 195 countries from 1990 to 2017. They found non-communicable diseases (NCDs) were the primary contributor to ARDs, and there were large variations in ARD burdens across countries. Of the 195 countries, Switzerland had the lowest ARD burden, while China ranked 75th and Vanuatu had the highest. The researchers found that the age-standardised ARD burden dropped globally between 1990 and 2017 due to lower disease severity and case fatality rates. Hu et al. assessed China's ARD burden based on the GBD 2017 study estimates at national level. The researchers consistently found that NCDs are the primary contributor to ARDs in China. The age-standardised ARD burdens also decreased between 1990 and 2017, and the magnitude of ARD decrease was larger among women than men.<sup>22</sup> These findings emphasise the inadequacy of using chronological age alone to inform resource planning and policy design. They confirm the importance of considering health status and disease severity within the context of ageing and the need for concerted efforts to address regional disparities, especially for regions or countries with large development inequalities.<sup>21,22</sup>

Many previous studies have assessed whether and how health resource allocation can impact health outcomes. <sup>23–29</sup> Health workforce density and total health expenditures per capita have been widely used as key proxies for health resources. A large body of high-quality evidence supports the view that a higher density of health workforce can help improve health outcomes such as life expectancy, infant mortality rate, under-five mortality rate, and maternal mortality rate. <sup>23,24</sup> Evidence further shows heterogeneity among different types of health workforce in terms of how they impact health outcomes. <sup>23,24</sup> The impact of health expenditures on health outcomes is mixed. <sup>26–29</sup> For example, evidence shows that an increase in health expenditures per capita can positively impact health outcomes, including life expectancy, underfive mortality, and maternal mortality in Sub-Saharan African countries, <sup>29</sup> though a similar study did not find supporting evidence in Organisation for Economic Co-operation and Development (OECD) countries. <sup>26</sup> Another study found a significant association between higher health expenditures per capita and lower infant mortality in 15 European Union (EU) countries, but only marginal increases in life expectancy. <sup>28</sup>

China is a large, rapidly ageing country with uneven economic and health development across provinces. In 2020, 264 million of China's inhabitants (18.7%) were over 60 years old, ranking first globally by this metric, and this number is projected to double by 2050.<sup>1,30</sup> Generally, in China, the economy is most developed in eastern provinces followed by the central and western provinces. For example, in 2020, the gross domestic product (GDP) per capita in Shanghai, a municipality located on China's east coast, was 4.3 times higher than that of Gansu, a province in western China. 30 Regional disparities in health development are similar in scale; provinces in the eastern region generally perform best, followed by the central and western provinces.31 For example, among males in 2015, the average healthy life expectancy was estimated to be around 78 years in Beijing, Tianjin, and Shanghai, compared to 69 years in Qinghai, Tibet, and Yunnan located in western China.<sup>32</sup> China is estimated to attain 12 of the 28 health-related Sustainable Development Goals (SDGs) indicators by 2030, and the country's eastern provinces are estimated to achieve a higher number.<sup>33</sup> Therefore, understanding the disparities in health burden of ageing across provinces and the impact of health resource allocation on the burden is crucial for China's central and provincial governments to plan for an ageing society. Nevertheless, no study has yet been conducted for this purpose. To fill the evidence gap, this study aims to: 1) measure the burden of ARDs in China at the subnational level, examining disparities across provinces and by sex, 2) assess changes in ARD burden from 1990-2016, and 3) explore how health resource allocation impacts the ARD burden. We also examined the difference by disease group whenever possible.

#### **Methods**

#### Overview

This study used estimates from the GBD study to calculate and analyse the ARD burden in China. The GBD team have updated their estimates annually since 2015 (except for 2018), with improvements on disease causes, risk factors, data input sources and modelling strategies to obtain updated and robust estimates. The most recent estimates released publicly were GBD 2019. We used GBD 2019 study estimates to select ARDs and calculate the total national burden considering its timeliness. We conducted analysis of the ARD burden at subnational level based on estimates of the GBD 2016 study, as they were the most recent subnational estimates of China our team could access. However, we did not find significant changes on the causes and estimates that could impact the robustness of the study findings after careful comparison. The detailed methodology of the GBD 2016 and 2019 studies has been published elsewhere. The GBD study classified the causes hierarchies into four levels, each with increased specificity. He CBD study classified the causes: communicable diseases, NCDs, and injuries. Levels Two, Three, and Four further divide these causes into subgroups. The data related to provincial health expenditures, human resources for health, and economic and demographic indicators were obtained from China Statistical and Health Statistical Yearbooks, providing data from 2010 to 2016 for regression analysis.

The geographical units of analysis are provincial-level jurisdictions in mainland China, including 22 provinces, five autonomous regions, and four municipalities (hereafter referred to as 31 provinces).<sup>30</sup> Our study complies with the guidelines for Accurate and Transparent Health Estimates Reporting (known as GATHER) statement and we provided the GATHER checklist in the appendix.

#### ARD selection and burden measurement

Following the definition developed by Chang et al., ARDs were defined as diseases with incidence rates that increased quadratically with age among the adult population.<sup>21</sup> Our selection focused on GBD Level Three causes, and the detailed selection methods have been published elsewhere.<sup>21</sup> To include a comprehensive list of diseases, we defined the adult population as people aged 15 years and older.

The ARD burden was measured by calculating the sum of the DALYs of the identified ARDs in the entire population. DALYs are defined as the sum of the years of life lost due to premature mortality and the years lived with a disability due to a disease or health condition. We calculated the provincial ARD burden of non-communicable diseases (NCDs) to approximate the total ARD burden to analyse regional disparities, as previous studies showed NCDs contributed to over 80% of the ARD burden,<sup>21,22</sup> and we could only access the subnational estimates of NCDs. We reported the ARD burden rate, measured as DALYs per 100,000 population, to facilitate comparisons. To explore the burden composition by disease category, the ARD burden was further stratified and analysed at the second level of GBD causes within NCDs and by sex when appropriate. We also calculated the percentage decrease in the ARD burden to assess the historical change and clustered the results by disease category and region for comparative analysis (please see appendix Table S1 for the administrative divisions).

We estimated the ARD burden-adjusted age of each province to facilitate an intuitive assessment and comparison across provinces. We first identified the national ARD burden-adjusted age based on its age-specific burden rate. We selected the two five-year age groups with closest burden rate as the national average, and calculated the national average age by assuming a linear increase of the ARD burden within each five-year age group. Afterwards, the provincial ARD burden-adjusted age was calculated, assuming each province shared the same burden rate per age as the national average (appendix for calculation details). A younger ARD burden-adjusted age, therefore, implied a lower ARD burden.

To compare historical change in the ARD burden, we applied age standardisation to the calculation using the Institute for Health Metrics and Evaluation (IHME) standard population age structure when necessary (appendix Table S2 for the standard population structure). The 95% uncertainty intervals (UI), generated by the GBD study team as the 25<sup>th</sup> and 975<sup>th</sup> ordered 1000 random draw values of the posterior distribution, <sup>36,38</sup> were also presented for the ARD burden estimates.

#### Analysing the impact of health resource allocation on the ARD burden

We explored the impact of health resource allocation on the ARD burden using a panel data analysis approach. All equations were estimated with a log-linear functional form to enable unit-free comparisons of coefficients. To understand the underlying reasons for burden shifts over time, we used the age-standardised ARD burden rate as the dependent variable. Health expenditures and health workforce density were adopted as proxy measures for health resources. Total health expenditure per capita was the key independent variable used to measure health expenditures. The key independent variables used to measure health workforce density were three separate sets of indicators: total health professional density, licensed doctor density, and licensed nurse density, all per 1,000 population. Health professionals included licensed doctors (clinical, dental, public health, and traditional Chinese medicine), licensed nurses, pharmacists, clinical laboratory technicians, and radiologists. We chose three sets of indicators to measure human resources for health because 1) together, they accurately represent the distribution of China's health personnel resources; 2) they are widely used in published literature and heterogeneity exists in terms of their impact on health outcomes; 23,24 3) data stratified by province and by urban and rural areas are available for all three indicators. We ran three separate regression models to include the three health workforce density indicators respectively.

We included GDP per capita, sex, and education as covariates in the regression models to account for the major socio-economic determinants of the population health burden. To account for the large gaps in urban-rural development and health across China, we included the percentage of people residing in urban areas (to measure the process of urbanization) and the ratio of urban-rural health workforce density in

the model as covariates. The model also included time dummies (to control period effects), province fixed effects and an error term (see appendix for model details). Standard errors were clustered at the provincial level. Province was the unit of analysis, and a fixed-effects estimator was used to remove the potential endogeneity from time-invariant omitted variables.

Since provincial-level data on health expenditures per capita and health workforce density were only largely available from 2010 onwards, our panel dataset included data from 2010 to 2016. We performed log-linear interpolation to obtain annual estimates of the ARD rate from 2010 to 2016 as the GBD study only provides estimates in five-year intervals.<sup>38</sup> The health expenditures per capita in 2010 are missing for seven provinces: Shanghai, Hainan, Sichuan, Tibet, Shaanxi, Qinghai, and Ningxia. Only data of Tibet is missing in 2011. Therefore, after testing the robustness of the linear increase assumption, we imputed the missing data, assuming a linear increase in per capita health expenditures between 2010 and 2016. All analyses were performed in Stata 16·0 (Stata Corp LLP, College Station, TX, USA).

### Patient and public involvement statement

Patients or the public were not involved in the design, or conduct, or reporting, or dissemination plans of our research.

#### Results

A total of 58 causes out of 169 causes (34·3%) at level three were selected as ARDs in China (Table 1). Nine of the 58 causes were infectious diseases (15·5%), 47 were NCDs (81·0%), and two were injuries (3·5%). In 2016, the total burden of ARDs in China was 15703.7 DALYs (95% UI: 12628.5, 18406.2) per 100,000 population. NCDs accounted for 91·9% of the total burden. Among the NCDs, cardiovascular diseases, neoplasms, chronic respiratory diseases, sense organ diseases and neurological disorders were the five leading contributors to the ARD burden.

Table 1. Summary of age-related diseases in China by category

GBD Causes at Level 1	GBD Causes at Level 2	GBD Causes at Level 3
Infectious diseases	Respiratory infections and Tuberculosis	Tuberculosis, diarrheal diseases, lower respiratory diseases
	Other infectious diseases	Meningitis, encephalitis, tetanus, varicella, and herpes zoster
	Neglected tropical diseases and malaria	Cysticercosis, trachoma
NCDs	Neoplasms	Oesophageal cancer, stomach cancer, liver cancer, tracheal, bronchus, and lung cancer, prostate cancer, colon and rectum cancer, lip and oral cavity cancer, other pharynx cancer, gallbladder and biliary tract cancer, pancreatic cancer, malignant skin melanoma, non-melanoma skin cancer, bladder cancer, brain and central nervous system cancer, mesothelioma, Hodgkin lymphoma, non-Hodgkin lymphoma, multiple myeloma, leukaemia, other malignant neoplasms
	Cardiovascular Diseases	Ischemic heart disease, stroke, hypertensive heart disease, cardiomyopathy, myocarditis, atrial fibrillation and flutter, peripheral artery disease, endocarditis

	Chronic respiratory diseases	Chronic obstructive pulmonary disease, asthma, and interstitial lung disease					
	Digestive Diseases	Paralytic ileus and intestinal obstruct, vascular intestinal disorders, pancreatitis					
	Neurological disorders	Alzheimer's disease and other dementias, Parkinson's disease, idiopathic epilepsy, other neurological disorders					
	Diabetes and kidney diseases	Acute glomerulonephritis, chronic kidney disease					
	Skin and subcutaneous diseases	Fungal skin diseases, pruritus, decubitus ulcer, and other skin and subcutaneous diseases					
	Sense organ diseases	Age-related and other hearing loss, other sense organ diseases, blindness, and vision loss					
	Musculoskeletal disorders	Low back pain					
Injuries	Unintentional injuries	Falls, drowning					

Data source: GBD 2019

Significant regional and sex disparities in ARD burdens existed in China. Generally, the eastern coast provinces had the lowest crude burden rates, while north-eastern and several western provinces bore the highest ones (Figure 1). After standardizing the age structure, we noted tiered gaps in the age-standardised ARD burden among the eastern, central, and western provinces. Shanghai, Beijing, Zhejiang, and Jiangsu had the lowest burdens, while the burden of Tibet, Qinghai, Guizhou, and Xinjiang were the highest. There were salient disparities between the sexes, with age-standardised ARD burden rates being 61.2% higher among males than females on average. This disparity was most apparent in neoplasms, where the burden rate was 1.5 times higher among males. We observed an inversion of this trend only among neurological disorders, where the burden rate was 10.9% higher among females.

In 2016, China's crude ARD burden-adjusted age was 50·38 years (95% UI: 49.91. 50.91), following the same regional disparity pattern as measured by DALYs (Figure 2). Beijing, Guangdong, Shanghai, Zhejiang and Fujian, all located in eastern coast of China, were youngest with a crude ARD burden-adjusted age below 40. In comparison, Sichuan, Heilongjiang, Chongqing, Jilin and Liaoning were oldest, with the crude age close to or above 60. However, after standardising the age structure across provinces, there were notable changes in the ARD burden-adjusted ages among several western provinces, especially for Tibet, Qinghai, Guizhou and Xinjiang. These four provinces became the oldest provinces upon age structure standardisation, at 72.70 (95% UI:60.53, 85.55), 66.82 (95% UI:59.36, 74.03), 60.53 (95% UI: 53.76, 63.56) and 60.06 (95% UI: 53.00, 66.88) respectively. Shanghai, Beijing, and Zhejiang still had the youngest agestandardised ARD burden-adjusted ages, all of which were below 40.

Between 1990 and 2016, China's age-standardised ARD burden had decreased despite the increasing life expectancy, with a national average decline of 34·6% compared to the 1990 burden. We found regional disparities in the magnitude of this decline. The largest decline was seen in the eastern provinces, followed by the central and western provinces, with the average ARD burdens declining by 35·2%, 33·9%, and 32·7%, respectively (Figure 3). Breaking this down by province, Fujian experienced the largest decline in the age-standardised ARD burden (42·2%), followed by Zhejiang (42·0%), and Beijing (40·7%). Guangxi, Qinghai, and Guizhou experienced the smallest ARD burden decline in the same period, at 27·8%, 27·6%, 27·5%, respectively. The ARD burden declined more sharply in females (41·4%) than males (29·8%). Between 1990 and 2016, the ARD burden had decreased for CVDs, neoplasms, and CRDs, stayed almost

unchanged for sense organ diseases and increased for neurological disorders (Figure 4). CRDs experienced the sharpest decline (67.9%), followed by neoplasms (30.5%) and CVDs (26.8%). However, the burden of neurological disorders increased by 5% during the same period.

China's health resources grew between 2010 and 2016. The country's total health expenditures per capita increased from 233·9 USD (exchange rate, 1 USD $\approx$ 6·37 CNY) in 2010 to 526·2 USD in 2016. The total health professional density (number of total health professionals per 1,000 population) increased by 38·6% from 4·4 in 2010 to 6·1 in 2016. In the same period, the licensed doctor density increased by 27·8%, while the licensed nurse density increased by 66·7% (Appendix for Table S3). We report the regression results in Table 2. All coefficients of the health resource variables had the expected sign. The model results showed neither higher health expenditures per capita nor higher health workforce density could significantly lead to lower ARD burden, ceteris paribus. However, we found that the existing urban-rural gap in health workforce density was positively associated with the ARD burden, significant at the 10% level for all the three indicators. A 100% increase in the urban-rural ratio in total health professional density, licensed doctor density, and licensed nurse density led to 2·37% (95% CI: -0·41, 5·15), 2·10% (95% CI: -0·27, 4·46), and 2.02% (95% CI: -0·34, 4·39) increases in the ARD burden respectively, ceteris paribus.

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Table 2. Regression model results: Assessing the impact of health resources on age-standardised age-related diseases burden in mainland China, 2010-2016

Independent	Model 1		Model 2		Model 3		
Variables	(Total health pr	ofessional density)	(Licensed doct	tor density)	(Licensed nurse density)		
	Coefficient (β)	95% CI	Coefficient (β)	95% CI	Coeffi <mark>⊋</mark> ient (β)	95% CI	
Total health expenditures per capita	-2.29	(-6.46, 1.88)	-2.02	(-6.18, 2.14)	-2.32 <u>B</u>	(-6.56, 1.91)	
Health professional density	-1.34	(-3.48, 0.79)			ĕr		
Health professional density: urban-rural ratio	2.37*	(-0.41, 5.15)			202;		
Licensed doctor density			-0.93	(-3.30, 1.44)	!\\\		
Licensed doctor density: urban-rural ratio			2.10*	(-0.27, 4.46)	OW		
Licensed nurse density					-1.33	(-3.61, 0.94)	
Licensed nurse density: urban-rural ratio					2.02*ਰ	(-0.34, 4.39)	
GDP per capita	-0.16	(-5.09, 4.77)	-1.00	(-6.34, 4.33)	-0.34 <del>ਨ</del>	(-5.57, 4.89)	
Population living in urban areas (%)	1.82	(-15.60, 19.24)	1.83	(-15.13, 18.80)	3.03 ₹	(-14.95, 21.01)	
Female (%)	-0.60	(-2.50, 1.30)	-0.87	(-2.66, 0.92)	-0.83	(-2.80, 1.14)	
≥ junior middle school education (%)	3.25	(-1.66, 8.16)	2.30	(-2.25, 6.84)	3.03	(-1.72, 7.79)	
adj. R²	0.93		0.93		0.93 💆		

Data sources: GBD 2016, Statistical Yearbook of China (2011–2017), and the Health Statistical Yearbook of China (2011–2017)

#### Notes:

All dependent and independent variables were transformed into natural logarithms for regressions except for the time dummies. The independent variables were rescaled by divided by 100. The coefficients can be interpreted as follows: every 100% increase in X can lead to a \( \beta \)% increase on Y. Model 1 used total health professional density (per 1,000 population) as a proxy for human resources for health.

Model 2 used licensed doctor density as a proxy (per 1,000 population) for human resources for health.

Model 3 used licensed nurse density as a proxy (per 1,000 population) for human resources for health.

Significance level: \*(p<0·10); \*\*\*(p<0·05); \*\*\*\*(p<0·05); \*\*\*\*(p<0·01)

Professional density (per 1,000 population) for human resources for health.

Significance level: \*(p<0·10); \*\*\*(p<0·05); \*\*\*\*(p<0·05); \*\*\*\*(p<0·01)

Professional density as a proxy (per 1,000 population) for human resources for health.

Significance level: \*(p<0·10); \*\*\*(p<0·05); \*\*\*\*(p<0·05); \*\*\*(p<0·05); \*\*\*\*(p<0·05); \*\*\*\*(p<0·05); \*\*\*(p<0·05); \*\*\*\*(p<0·05); \*\*\*(p<0·05); \*\*\*(p< All dependent and independent variables were transformed into natural logarithms for regressions except for the time dummies. The independent variables of interest and

#### Discussion

Healthy ageing has become a focal discussion topic in today's fast-ageing world, as good health in advanced age can provide continued opportunities for social and personal development.<sup>2</sup> The World Health Organisation officially proposed the concept of healthy ageing in 2015 and published the Global Strategy and Action Plan on Ageing and Health (2016–2020) in 2016. <sup>2,41</sup> The Decade of Healthy Ageing: Plan of Action (2020–2030) was issued in 2020 and asked for a whole-of-government and whole-of-society response to healthy ageing. <sup>42</sup> In response to this international call and the needs of the world's largest ageing population, China has actively worked to address the shifts catalysed by an ageing society and promote healthy ageing. <sup>43–45</sup> We conducted this study to generate high-quality evidence to help China and similar countries be better equipped to face health challenges in ageing societies. To our knowledge, this is the first study that assesses the health burden of ageing and its longitudinal changes in 31 provinces of mainland China from 1990 to 2016. The analysis explored disparities in ARD burdens across regions, sexes, and disease categories and used panel regression models to examine the impact of health resource indicators on the ARD burden.

Our findings underscore several key messages on the health burden of ageing in China. First, NCDs account for over 90% of the country's total ARD burden. CVDs, neoplasms, CRDs, sense organ diseases and neurological disorders were the top five contributors to the ARD burden. Second, there are significant regional disparities in ARD burdens. The results show tiered differences in the ARD burden, with the lowest rates in the eastern coast provinces, followed by the central provinces, and the highest rates in the north-eastern and western provinces. Notably, the crude ARD burden rate in Sichuan was 2·1 times that of Beijing in 2016, equal to a 34·14-year age gap measured by the ARD burden-adjusted age defined in this study. Third, several western provinces will face daunting ARD-induced challenges as their populations begin to age. After age structure standardisation, Tibet, Qinghai, Guizhou, and Xinjiang had the four highest ARD burdens. However, in 2016, less than 10% of each of these provinces' populations were aged 65 and older, compared to a national average of 10.8%. 30 Fourth, males are disproportionally affected by ARDs except for neurological disorders. Fifth, the overall age-standardised ARD burden has been decreasing since 1990, though the largest decline was observed in the eastern provinces, followed by the central and western provinces. By disease category, CRDs experienced the largest decline in agestandardised burden, followed by neoplasms and CVDs. The burden rate of sense organ diseases had stayed almost unchanged since 1990. The burden of neurological disorders has increased since 1990, albeit by a small magnitude. Lastly, our results suggest that greater investment in health to reduce the urban-rural gap in human resources for health could help lower China's ARD burden.

Our study findings are consistent with previous studies that assessed the ARD burden globally and in China. <sup>21,22</sup> There is overall support for the idea that NCDs are the chief contributor to ARDs. As has been the case across the globe, the ARD burden has continuously decreased since 1990, declining most sharply in developed countries and regions. Disparities by sex are also similar; males are more negatively affected by the ARD burden and have experienced a lower historical decline. The magnitude of the burden measured in this study is slightly different than those in other studies due to differences across GBD datasets and the populations and diseases included. Our findings are novel in that we were able to measure the ARD burden and disparities across provinces in China, and examine the impact of health resource indicators on the burden. Nevertheless, the regional disparities of age-standardised ARD burdens are in line with their health development status and similar to the disparities in estimated healthy life expectancy. <sup>46–33</sup> The rank of NCD burdens among the ARDs is consistent with that of the disease burden among older people in China. <sup>34</sup> Interestingly, we found that increasing health expenditures or workforce density does not significantly decrease the ARD burden; rather, the key is to reduce the urban-rural gap in the health workforce density. Previous evidence has indicated that increasing health workforce density

can improve health outcomes.<sup>23,24</sup> However, these studies focus on measuring health outcomes such as maternal mortality, infant, and under-five mortality as opposed to ageing-related outcomes. In addition, these studies did not control for geographic resource differences between rural and urban areas and were conducted in countries where resources were scarce. Thus, the context was quite different from that of the present study.

The Chinese State Council published The Opinions on Strengthening Aged Care Work in the New Era in November 2021, outlining eight important action domains for addressing ageing. This document serves as a comprehensive, strategic plan to achieve healthy and active ageing in China. 45 The findings from the current study provide timely input into policy development for the governments of China to promote healthy ageing, by reducing the health burden of ageing. First, to reiterate the findings from previous studies, chronological age alone does not provide a strong enough basis for appropriate ageing resource planning or policymaking.<sup>21,22</sup> In China, provinces or municipalities with older populations, such as Shanghai, Beijing, and Zhejiang, have much lower ARD burdens than some younger provinces such as Tibet and Xinjiang. This phenomenon calls for more careful consideration of underlying health burdens and potential threats to resource planning and policy design to address future ageing. Second, it is crucial to continue and strengthen NCD prevention and control efforts, especially for CVDs, neoplasms, CRDs, sense organ diseases and neurological disorders. To prevent the continued increase in the ARD burden from neurological disorders, it is imperative to allocate additional health resources for the prevention, treatment, and management of conditions such as Alzheimer's disease, dementia, Parkinson's disease, and idiopathic epilepsy. Sense organ diseases cannot be ignored, especially those leading to blindness and vision loss that can significantly damage the quality of life for the elderly. Third, the central government should continue to provide all-around support to the regions that face the greatest threat of high ARD burdens due to future ageing, especially the western provinces of Tibet, Qinghai, Guizhou, and Xinjiang to reduce regional disparities. Notably, that support should entail the establishment of a strong health workforce that can serve the local people. Fourth, aligned with the Rural Revitalization Strategic Plan issued by the State Council (2018–2022) in 2018,47 both central and local governments should work collaboratively to strengthen the health system in rural areas, particularly increasing the health workforce density to reduce the urban-rural gap.

Our team have carefully compared the difference between estimates from GBD 2016 and 2019 study to ensure the robustness of the findings from the current study. Methodologically, the GBD 2019 study generally takes a similar approach as used in the GBD 2017 study, which has enriched data input sources, modelling parameters, diseases categories and upgraded calibration methods to get more accurate results as compared to the GBD 2016 study. 35,36 Our comparison results show that the crude ARD burden rate in 2016 based on estimates from GBD 2019 is slightly higher than estimates from GBD 2016, though the difference is within 8% and as low as 2% for neoplasms. Regarding the diseases included, we did not find significant changes at level three that would impact the results of the ARD burden. The findings are consistent with the comparison conducted by a previous study on the estimates generated by the GBD 2016 and 2017 study for subnational level of China. Therefore, subnational estimates of GBD 2016 can well represent the regional disparities of ARD burden in China.

Our study has several limitations. First, the subnational analysis was based on the GBD 2016 study estimates, which was not updated to 2019, as we do not have access to the GBD 2019 estimates at subnational level of China. However, this was the best data source we could access and as stated above, the results from the GBD 2016 study are robust to show regional disparities after careful comparison. Second, our provincial analysis of the ARD burden focused on NCDs due to data availability. However, as NCDs accounted for over 90% of the ARD burden in China, these results can accurately represent existing

regional disparities. Third, the study findings tend to underestimate the ARD burden, as GBD study estimates fail to model the interactions between diseases, ignoring the burden caused by multimorbidity. Fourth, we had to rely on interpolation to obtain annual estimates of burden rates from 2010 to 2016 for panel data analysis, though the GBD research team used the same methods in their analysis.<sup>38</sup> In addition, the indicators to measure health resources limit to total health expenditures and health workforce density, largely due to data availability. Other important indicators, such as efficiency of health funds utilization, quality of human resources for health, could be explored in future research when data become available.

#### Conclusion

In conclusion, our study provides valuable and original insights into the health burden of ageing in China and the existing regional disparities. Our results consistently show that an older demographic structure does not necessarily mean a heavier health burden, and therefore chronological age alone does not provide a strong enough basis for appropriate ageing resource planning or policymaking. Continuing to invest in population health through reducing the urban-rural gap in health workforce density would be helpful to decrease the health burden of ageing in China. The governments of China, or other countries with similar demographic and disease profiles and development contexts, need to take urgent action to decrease the health burden of ageing to create healthy ageing societies.

#### **Contributors**

SC designed the study under the supervision of KH, BL, and HB. SC extracted the data, conducted the statistical analysis in Stata, developed the tables and figures, and drafted the manuscript. SC and YS verified the data in the study. ST obtained the GBD data. All authors contributed to commenting, editing, and approval of the final manuscript. All accept responsibility to submit for publication.

#### **Declaration of interests**

All co-authors declare no conflicts of interest for this study.

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#### Data availability statement

China's subnational-level data from GBD 2016 were obtained from the IHME under a private agreement. Researchers can apply for data access at <a href="https://www.healthdata.org/about/contact-us">https://www.healthdata.org/about/contact-us</a> if interested in using the data. Other inputs data were obtained from open resources, including China Statistical Yearbook (<a href="http://www.stats.gov.cn/tjsj/ndsj/">http://www.stats.gov.cn/tjsj/ndsj/</a>) and China Health Statistical Yearbook (<a href="http://www.nhc.gov.cn/wjw/tjnj/list.shtml">http://www.nhc.gov.cn/wjw/tjnj/list.shtml</a>). Researchers can access and download the data from these websites.

### **Ethics statement**

### **Ethics approval**

This study received ethical approval from the University of South Wales (UNSW) Ethics Committee (HC210794).

### Patient consent for publication

Noa applicable. The study does not collect data at individual level.



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#### Figure legend and footnote

# Figure 1. The crude and age-standardised age-related diseases burden in 31 provinces of mainland China, 2016

Data source: GBD 2016

Note: The age-related diseases burden was standardized using the IHME standard population age structure (appendix)

# Figure 2. The crude and age-standardised ARD burden-adjusted ages of 31 provinces in mainland China, 2016

Data source: GBD 2016

Note: The provinces were grouped into eastern, central and western provinces from top down.

The age-related diseases burden was standardized using the IHME standard population age structure (appendix)

# Figure 3. Change of the age-standardised age-related diseases burden rates by administrative region in mainland China, 1990-2016

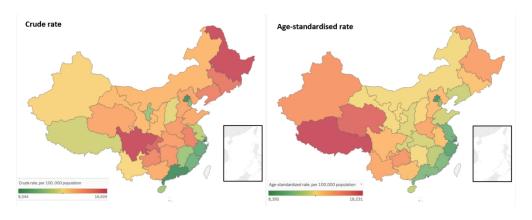
Data source: GBD 2016

Note: The age-related diseases burden was standardized using the IHME standard population age structure (appendix)

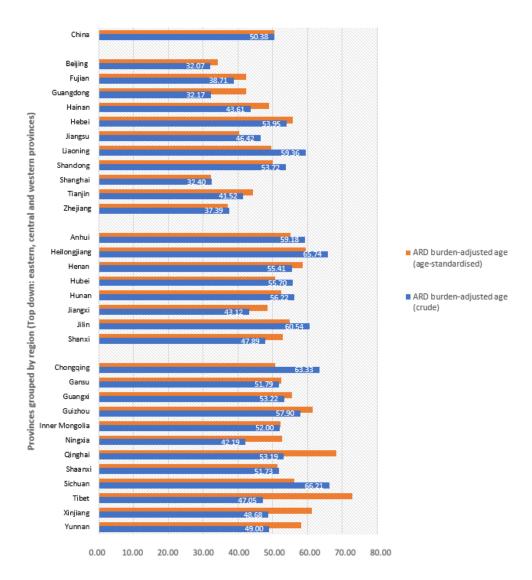
# Figure 4. Change of the age-standardised age-related diseases burden rates of the five leading ARD contributors in China, 1990-2016

Data source: GBD 2016

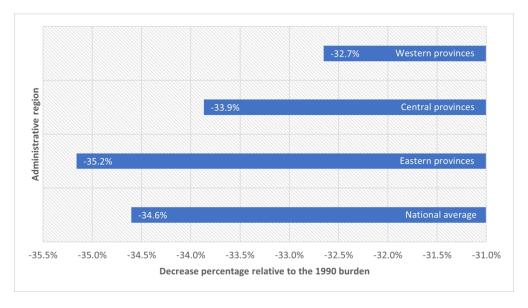
Note: The age-related diseases burden was standardized using the IHME standard population age structure (appendix)



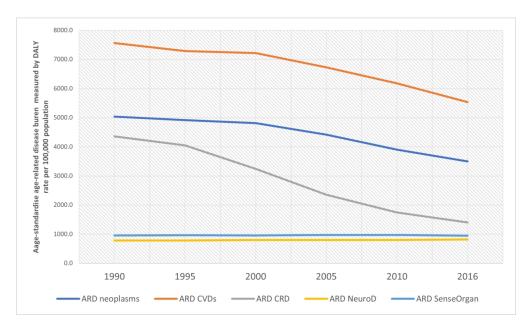
The crude and age-standardised age-related diseases burden in 31 provinces of mainland China, 2016 832x322mm (38 x 38 DPI)



The crude and age-standardised ARD burden-adjusted ages of 31 provinces in mainland China, 2016 437x482mm (38 x 38 DPI)



389x216mm (130 x 130 DPI)



Change of the age-standardised age-related diseases burden rates of the five leading ARD contributors in China, 1990-2016

391x232mm (130 x 130 DPI)

### **Appendix**

Table S1. Administrative division of provinces in mainland China

Administrative division (No.)	Province
Eastern provinces (11)	Beijing, Fujian, Guangdong, Hainan, Hebei, Jiangsu, Liaoning, Shandong Shanghai, Tianjin, Zhejiang
Central provinces (8)	Anhui, Heilongjiang, Henan, Hubei, Hunan, Jiangxi, Jilin, Shanxi
Western provinces (12)	Inner Mongolia, Guangxi, Chongqing, Gansu, Guizhou, Ningxia, Qinghai, Shaanxi, Sichuan, Tibet, Xinjiang, Yunnan

Table S2. IHME standard age weight

Age group	Population percentage	
<5	7.2%	
5–9	8.7%	
10–14	8.4%	
15–19	8.1%	
20–24	7.8%	
25–29	7.6%	
30–34	7.2%	
35–39	6.9%	
40–44	6.4%	
45–49	5.8%	
50-54	5.3%	
55–59	4.7%	
60–64	4.1%	
65–69	3.4%	
70 +	8.6%	

### ARD burden-adjusted age calculation

First, we identified the ARD burden-adjusted age of China by comparing the national average burden rate with its burden rates by age group. We selected the two five-year age groups with closest burden rate as the national average, and calculated the national average age by assuming linear increase of the ARD burden within each five-year age group. For example, the age-related disease burden of China was 12637.2 DALYs per 100,000 population in 2016, closest to burden rates for age group of 45–49 (9316.3) and 50–54 (14163.7). Hence, the national age was calculated as: 45+12637.2/((9316.3+14163.7)/10)=50.38.

Second, we calculated the ARD burden-adjusted age of each province by dividing the provincial agerelated disease burden rate by the unit share of the national average burden rate per age. The unit share of the national average burden rate per age was calculated by dividing the national average burden rate by the national equivalent age. For example, the age-related disease burden of Shanghai was 8,127-4 DALYs per 100,000 population. Hence, Shanghai's ARD burden-adjusted age was calculated as: 8,127-4/(12637-2/50.38)=32-40.

### **Regression model**

$$Y_{it} = \alpha + \beta_0 healthexp_{it} + \beta_1 healthwf_{it} + \beta_2 X_{it} + \beta_3 D_t + \eta_i + \varepsilon_{it}$$

where  $Y_{it}$  is the age-standardised age-related disease burden of Province i in Year t,  $\beta_0$  is the coefficient of interest,  $healthexp_{it}$  is the total health expenditures per capita, and  $healthwf_{it}$  is the total health professional density, licensed doctor density, or licensed nurse density (per 1,000 population) of the Province i in Year t (three separate models).  $X_{it}$  is the set of covariates controlled in the model, including GDP per capita, education, the proportion of females, the proportion of people living in urban areas, and the urban-rural health workforce density ratio.  $D_t$  represents the time dummies,  $\eta_i$  the province fixed effects, and  $\varepsilon_{it}$  is the error term. All variables are in log form except for the time dummies.

Table S3. Selected statistics of the variables in the regression model, 2010 and 2016

							ВМЈ	Open				36/bmjopen-2022-064641		
able S3. Sel	ected sta	tistics of t	he vari	ables in	the reg	ression ı	model, 20	10 and 20	016			1-2022-064		
Variables	Health expendit capita (CNY)*	tures per		ssionals y (per	ratio healtl	ssionals	GDP per (CNY)*	capita	Living in (%)	urban areas	Female	e (%) 41 on 16 November	Received middle s education	
Province	2010	2016	2010	2016	2010	2016	2010	2016	2010	2016	2010	2016 ber	2010	2016
Anhui	1210·5	2652·17	3·1	4.7	2.4	2.3	20888:0	39561.0	44.8%	52.0%	49·2%	48.7%	62.8%	65.5%
Beijing	4147·2	9429·73	13.6	10.8	1.9	••	73856·0	118198.0	86.2%	86.5%	48·4%	48·6% wnlo	87.6%	88.6%
Chongqing	1501.0	3492·19	3·4	5.9	1.4	2.0	27596·0	58502·0	55.0%	62.6%	49·4%	49·2 <b>%</b>	61.0%	64·1%
ujian	1280·1	3226-83	4·1	5.7	2.8	2.5	40025.0	74707:0	58.1%	63.6%	48.6%	49·1 <b>%</b>	63.0%	61.7%
Gansu	1153.9	2889·18	3.7	5·2	2.0	2.2	16113.0	27643·0	37.2%	44.7%	48.9%	49·3 <b>%</b>	56·5%	57.9%
Guangdong	1445·9	3812-46	5.3	6.0	3.2	3.2	44736.0	74016.0	66.5%	69.2%	47.8%	46:95pen	73·2%	74·3%
Guangxi	1116.9	2557.03	3.6	6.0	2.2	2.2	20219:0	38027-0	41.8%	48.1%	48.0%	48·0% mj.c 48·4%	62·5%	67·4%
Guizhou	946·6	2472·37	2.5	5.8	4.2	3.9	13119:0	33246·0	35.0%	44.2%	48·3%	48.4%	48.8%	54.6%
Hainan	1193.0	3306·78	4·4	6.3	2.3	3.3	23831.0	44347:0	50.5%	56.8%	47·4%	on 47·3% pril	72·1%	73.0%
Hebei	1253.8	2710·58	4.0	5·3	3.2	2.7	28668:0	43062.0	45.6%	53.3%	49·3%	48·9 <b>%</b>	69.9%	69.7%
Heilongjiang	1580·2	3133-43	5.0	5.8	2.3	2:3	27076·0	40432.0	56.5%	59.2%	49·2%	202 <del>%</del> by	73:0%	73·3%
lenan	1134.0	2594.0	3.5	5.7	3.0	3.2	24446·0	42575.0	40.6%	48.5%	49.5%	49·0 <b>%</b> St.	68·2%	69.8%
lubei	1191·1	3270·56	4.2	6.5	2·1	2.2	27906·0	55665.0	51.8%	58.1%	48.6%	48·7% 6 6	69·8%	70.5%
Hunan	1042·1	2820-97	3.8	5.8	2.9	2.9	24719·0	46382.0	45.1%	52.8%	48.6%	48·9 <b>%</b> d by	67·1%	71.2%

Inner Mongolia	1767:5	3599·7	5·1	6.8	2.7	2.6	47347:0	72064-0	56.6%	61.2%	48·1%	2-06464 49·56464 49·6%	69.9%	73·3%
Jiangsu	1566.0	4200-21	4·4	6.5	2.0	2·1	52840.0	96887:0	61.9%	67.7%	49.6%	49·6% 00n	70.9%	71.5%
Jiangxi	992.0	2374·79	5·1	6·1	1.8	2·1	21253.0	40400.0	45.7%	53.1%	48·2%	48·0% Z	65.6%	64·1%
Jilin	1653.9	3501·19	5.5	6.3	2.5	2.9	31599·0	53868:0	53.4%	56.0%	49·3%	48·0% November	69.8%	73.6%
Liaoning	1765·9	3390-9	4.7	6.6	3.0	2.6	42355:0	50791.0	64.0%	67.4%	49·4%	49·5%) 022 22	75.5%	78:3%
Ningxia	1190·1	3730·50	4.5	6.2	4.4	5·4	26860.0	47194.0	49.8%	56.3%	48.8%	48·4 <b>%</b>	61·3%	66:5%
Qinghai	1472.0	4043.05	4.7	7.6	2.0	2.0	24115.0	43531.0	46.3%	51.6%	48·2%	/n  48⋅6%ad	49.8%	51.8%
Shaanxi	2040·7	3535-66	4.7	6.5	1.7	2.2	27133:0	51015.0	47.3%	55.3%	48·3%	48-490wnlgaded from	69.8%	71.5%
Shandong	1403·1	3372·70	9.7	7.4	1.3	1.6	41106.0	68733:0	50.9%	59.0%	49·4%	49.0%	67.5%	69.0%
Shanghai	2828·1	7596.0	5.6	6.1	2.6	3.2	76074-0	116562.0	89.3%	87.9%	48.5%	48·6% 48·5%	83.6%	83.5%
Shanxi	1297.5	2650·33	3.4	4.8	2.7	3.0	26283:0	35532.0	49.7%	56.2%	48.6%	48·5% .bm	74·1%	77.5%
Sichuan	1019·1	3238-64	3.6	6.0	2.0	2.0	21182.0	40003.0	41.8%	49.2%	49·2%	50·1%	57·2%	58.9%
Tianjin	2737·3	5294·21	7:1	6.1	1.4	1:1	72994:0	115053:0	80.5%	82.9%	46.6%	46.6%	80.5%	82.0%
Tibet	1472.0	3780.9	3.4	4.5	4.9	4·1	17027:0	35184·0	22.8%	29.6%	48.6%	Apr; 49·5%9,	26·4%	29.6%
Xinjiang	1676.8	4012.9	5.7	7:1	3.2	2.4	25034.0	40564.0	43.5%	48.3%	48.7%	48·9%	66·1%	65.5%
Yunnan	1107·2	2754·1	3.2	5.2	3.2	3.3	15752:0	31093.0	36.8%	45.0%	48·1%	by 49⋅5 <b>%</b>	48·3%	52.5%
Zhejiang	2099:0	4603·84	6·1	7.7	1.8	1.8	51711:0	84916:0	62.3%	67.0%	48.6%	49·5% uest. 47·9% rote	64.7%	66.0%

<sup>\*</sup>CNY=Chinese Yuan, exchange rate: 1USD≈6.37CNY (Dec 3, 2020)

Data source: National Statistical Yearbook of China (2011, 2017) and National Health Statistical Yearbook of China (2011, 2017)



# Checklist of information that should be included in new reports of global health estimates

Item	Checklist item	Reported
#		on page #
	ives and funding	ı
1	Define the indicator(s), populations (including age, sex, and geographic entities), and	4
_	time period(s) for which estimates were made.	
2	List the funding sources for the work.	6
Data In		
	l data inputs from multiple sources that are synthesized as part of the study:	
3	Describe how the data were identified and how the data were accessed.	4 & 9
4	Specify the inclusion and exclusion criteria. Identify all ad-hoc exclusions.	4
5	Provide information on all included data sources and their main characteristics. For each	4 & 5
	data source used, report reference information or contact name/institution, population	
	represented, data collection method, year(s) of data collection, sex and age range,	
	diagnostic criteria or measurement method, and sample size, as relevant.	
6	Identify and describe any categories of input data that have potentially important biases	4 & 5 & 9
	(e.g., based on characteristics listed in item 5).	
	ata inputs that contribute to the analysis but were not synthesized as part of the study:	
7	Describe and give sources for any other data inputs.	4 & 5 & 9
	l data inputs:	
8	Provide all data inputs in a file format from which data can be efficiently extracted (e.g., a	9 and
	spreadsheet rather than a PDF), including all relevant meta-data listed in item 5. For any	appendix
	data inputs that cannot be shared because of ethical or legal reasons, such as third-party	
	ownership, provide a contact name or the name of the institution that retains the right to	
	the data.	
Data a		
9	Provide a conceptual overview of the data analysis method. A diagram may be helpful.	4 & 5
10	Provide a detailed description of all steps of the analysis, including mathematical	4 & 5
	formulae. This description should cover, as relevant, data cleaning, data pre-processing,	
	data adjustments and weighting of data sources, and mathematical or statistical	
	model(s).	_
11	Describe how candidate models were evaluated and how the final model(s) were	4 & 5
	selected.	
12	Provide the results of an evaluation of model performance, if done, as well as the results	7 & 15 & 22
	of any relevant sensitivity analysis.	& 23
13	Describe methods for calculating uncertainty of the estimates. State which sources of	5
	uncertainty were, and were not, accounted for in the uncertainty analysis.	
14	State how analytic or statistical source code used to generate estimates can be accessed.	5 & 6
	s and Discussion	
15	Provide published estimates in a file format from which data can be efficiently extracted.	12-15
16	Report a quantitative measure of the uncertainty of the estimates (e.g. uncertainty intervals).	6,7,15
17	Interpret results in light of existing evidence. If updating a previous set of estimates, describe the reasons for changes in estimates.	6-9
18	Discuss limitations of the estimates. Include a discussion of any modelling assumptions or data limitations that affect interpretation of the estimates.	8-9

This checklist should be used in conjunction with the GATHER statement and Explanation and Elaboration document, found on gather-statement.org

# **BMJ Open**

# Disease Burden of Ageing, Sex and Regional Disparities, and Health Resources Allocation: A Longitudinal Analysis of 31 Provinces in Mainland China

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# Disease Burden of Ageing, Sex and Regional Disparities, and Health Resources Allocation: A Longitudinal Analysis of 31 Provinces in Mainland China

## Title page

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#### Abstract

# Objectives

To measure the disease burden of ageing based on age-related diseases (ARD), the sex and regional disparities, and the impact of health resources allocation on the burden in China.

Design

A national comparative study based on Global Burden of Diseases (GBD) study estimates and China's routine official statistics.

## Setting and participants

Thirty-one provinces of mainland China were included for analysis in the study. No individuals were involved.

#### Methods

We first identified the ARDs and calculated the disability-adjusted life-years (DALYs) of ARDs in 2016. We assessed the ARD burden disparities by province and sex, and calculated the provincial ARD burden-adjusted age. We assessed historical changes between 1990 and 2016. Fixed-effects regression models were adopted to assess the impact of health expenditures and health workforce indicators on the ARD burden in 2010-2016.

#### Results

In 2016, China's total burden of ARDs was 15703.7 DALYs (95% UI: 12628.5, 18406.2) per 100,000 population. Non-communicable diseases (NCDs) accounted for 91.9% of the burden. There were significant regional disparities. The leading five youngest provinces were Beijing, Guangdong, Shanghai, Zhejiang and Fujian, located in east coast of China with an ARD burden-adjusted age below 40. After standardising the age structure, western provinces including Tibet, Qinghai, Guizhou, and Xinjiang had the highest burden of ARDs. Males were disproportionately affected by ARDs. China's overall age-standardised ARD burden had decreased since 1990, females and eastern provinces experienced the largest decline. Regression results showed the urban-rural gap in health workforce density was positively associated with the ARD burdens.

#### Conclusion

Chronological age alone does not provide a strong enough basis for appropriate ageing resource planning or policymaking. In China, concerted efforts should be made to reduce the ARDs burden and its disparities. Health resources should be deliberately allocated to western provinces which face the greatest health challenges due to future ageing.

### Strengthens and limitations of the study

- This is the first study that assesses the age-related diseases burden and its longitudinal changes in 31 provinces of mainland China from 1990 to 2016.
- The study generates high-quality evidence on disparities in ARD burdens across provinces, sexes, and disease categories in China.
- The study adopts robust fixed-effects regression models to assess the impact of health expenditures and human resources for health indicators on the ARD burden.
- Although the study has used the best data sources available for the analysis, future research could generate more up-to-date results once more recent data become available.

#### Introduction

The world is rapidly ageing due to increased life expectancy and decreased fertility rates.¹ Health is a key factor in determining whether population ageing means more opportunities or challenges to society.² Although lifespans have increased substantially worldwide, it is unclear whether healthspans, i.e. healthy and morbidity-free lifespans, have improved similarly.²-9 It is therefore essential to measure the interactions between ageing and health for a clearer understanding of the disease burden of ageing. Numerous metrics have been developed to measure population ageing and health for resource planning. Traditionally, the change of chronological age and age structure have been used to assess ageing based on demographic metrics such as life expectancy or the percentage of the population over a certain age threshold.¹0 Another set of metrics assesses functional status through indicators such as frailty, ¹¹¹.¹² disability, ¹³-¹⁵ cognitive function, ¹⁶ and intrinsic capacity.¹¹, ¹¹ Health status is typically assessed based on biomarkers, self-reported health status ¹9,²0 and epidemiological indicators of different diseases such as incidence, prevalence, mortality, and disability-adjusted life years (DALYs). Some metrics measure ageing while also taking health status into account. These metrics include healthy life years (HLYs), healthy life expectancy (HALE), and biological age. However, these metrics either measure ageing and health separately or cannot provide disease-specific burden information to guide resource planning.

To complement the existing metrics and measure the population-level disease burden of ageing, Chang et al. developed a novel metric called the age-related disease (ARD) burden.<sup>21</sup> They defined ARDs as diseases with incidence rates that increased quadratically with age. The researchers used estimates from the Global Burden of Diseases, Injuries, and Risk Factors Study (GBD) 2017 to measure and compare ARD burdens of 195 countries from 1990 to 2017. They found that non-communicable diseases (NCDs) were the primary contributor to ARDs, and there were large variations in ARD burdens across countries. Of the 195 countries, Switzerland had the lowest ARD burden, while China ranked 75th and Vanuatu had the highest. The researchers found that the age-standardised ARD burden dropped globally between 1990 and 2017 due to lower disease severity and case fatality rates. Hu et al. assessed China's ARD burden based on the GBD 2017 study estimates at the national level. The researchers consistently found that NCDs are the primary contributor to ARDs in China. The age-standardised ARD burdens also decreased between 1990 and 2017, and the magnitude of ARD decrease was larger among women than men.<sup>22</sup> These findings emphasise the inadequacy of using chronological age alone to inform resource planning and policy design. They confirm the importance of considering health status and disease severity within the context of ageing and the need for concerted efforts to address regional disparities, especially for regions or countries with large development inequalities. 21,22

Many previous studies have assessed whether and how health resource allocation can impact health outcomes. <sup>23–29</sup> Health workforce density and total health expenditures per capita have been widely used as key proxies for health resources. A large body of high-quality evidence supports the view that a higher density of health workforce can help improve health outcomes such as life expectancy, infant mortality rate, under-five mortality rate, and maternal mortality rate. <sup>23,24</sup> Evidence further shows heterogeneity among different types of health workforce in terms of how they impact health outcomes. <sup>23,24</sup> The impact of health expenditures on health outcomes is mixed. <sup>26–29</sup> For example, evidence shows that an increase in health expenditures per capita can positively impact health outcomes, including life expectancy, underfive mortality, and maternal mortality in Sub-Saharan African countries, <sup>29</sup> though a similar study did not find supporting evidence in Organisation for Economic Co-operation and Development (OECD) countries. <sup>26</sup> Another study found a significant association between higher health expenditures per capita and lower infant mortality in 15 European Union (EU) countries, but only marginal increases in life expectancy. <sup>28</sup>

China is a large, rapidly ageing country with uneven economic and health development across provinces. In 2020, 264 million of China's inhabitants (18.7%) were over 60 years old, ranking first globally by this metric, and this number is projected to double by 2050.<sup>1,30</sup> Generally, in China, the economy is most developed in eastern provinces, followed by the central and western provinces. For example, in 2020, the gross domestic product (GDP) per capita in Shanghai, a municipality located on China's east coast, was 4.3 times higher than that of Gansu, a province in western China. 30 Regional disparities in health development are similar in scale; provinces in the eastern region generally perform best, followed by the central and western provinces.31 For example, among males in 2015, the average healthy life expectancy was estimated to be around 78 years in Beijing, Tianjin, and Shanghai, compared to 69 years in Qinghai, Tibet, and Yunnan, located in western China.32 China is estimated to attain 12 of the 28 health-related Sustainable Development Goals (SDGs) indicators by 2030, and the country's eastern provinces are estimated to achieve a higher number.<sup>33</sup> Therefore, understanding the disparities in disease burden of ageing across provinces and the impact of health resource allocation on the burden is crucial for China's central and provincial governments to plan for an ageing society. Nevertheless, no study has yet been conducted for this purpose. To fill the evidence gap, this study aims to: 1) measure the burden of ARDs in China at the subnational level, examining disparities across provinces and by sex, 2) assess changes in ARD burden from 1990-2016, and 3) explore how health resource allocation impacts the ARD burden. We also examined the difference by disease group whenever possible.

#### **Methods**

#### Overview

This study used estimates from the GBD study to calculate and analyse the ARD burden in China. The GBD team have updated their estimates annually since 2015 (except for 2018), with improvements on disease causes, risk factors, data input sources and modelling strategies to obtain updated and robust estimates. The most recent publicly released estimates were GBD 2019. We used GBD 2019 study estimates to select ARDs and calculate the total national burden considering its timeliness. We conducted an analysis of the ARD burden at the subnational level based on estimates of the GBD 2016 study, as they were the most recent subnational estimates of China our team could access. However, we did not find significant changes in the causes and estimates that could impact the robustness of the study findings after careful comparison. The detailed methodology of the GBD 2016 and 2019 studies has been published elsewhere. The GBD study classified the causes hierarchies into four levels, each with increased specificity. Level One consists of three general causes: communicable diseases, NCDs, and injuries. Levels Two, Three, and Four further divide these causes into subgroups. The data related to provincial health expenditures, human resources for health, and economic and demographic indicators were obtained from China Statistical and Health Statistical Yearbooks, providing data from 2010 to 2016 for regression analysis.

The geographical units of analysis are provincial-level jurisdictions in mainland China, including 22 provinces, five autonomous regions, and four municipalities (hereafter referred to as 31 provinces).<sup>30</sup> Our study complies with the guidelines for Accurate and Transparent Health Estimates Reporting (known as GATHER) statement and we provided the GATHER checklist in the appendix.

#### ARD selection and burden measurement

Considering data availability, we followed the definition developed by Chang et al. to measure ARDs as diseases with incidence rates that increased quadratically with age among the adult population.<sup>21</sup> Our selection of diseases focused on GBD Level Three causes, and the detailed selection methods have been

published elsewhere.<sup>21</sup> To include a comprehensive list of diseases, we focused on the population aged 15 years and older.

The ARD burden was measured by calculating the sum of the DALYs of the identified ARDs in the entire population. DALYs are defined as the sum of the years of life lost due to premature mortality and the years lived with a disability due to a disease or health condition. We calculated the provincial ARD burden of non-communicable diseases (NCDs) to approximate the total ARD burden to analyse regional disparities, as previous studies showed NCDs contributed to over 80% of the ARD burden,<sup>21,22</sup> and we could only access the subnational estimates of NCDs. We reported the ARD burden rate, measured as DALYs per 100,000 population, to facilitate comparisons. To explore the burden composition by disease category, the ARD burden was further stratified and analysed at the second level of GBD causes within NCDs and by sex when appropriate. We also calculated the percentage decrease in the ARD burden to assess the historical change and clustered the results by disease category and region for comparative analysis (please see appendix Table S1 for the administrative divisions).

The ARD burden-adjusted age was defined as the equivalent age measured by the ARD burden. We estimated the ARD burden-adjusted age of each province to facilitate an intuitive assessment and comparison across provinces. We first identified the national ARD burden-adjusted age based on its age-specific burden rate. We selected the two five-year age groups with closest burden rate as the national average, and calculated the national average age by assuming a linear increase of the ARD burden within each five-year age group. Afterwards, the provincial ARD burden-adjusted age was calculated, assuming each province shared the same burden rate per age as the national average (appendix for calculation details). A younger ARD burden-adjusted age, therefore, implied a lower ARD burden.

To compare the historical change in the ARD burden, we applied age standardisation to the calculation using the Institute for Health Metrics and Evaluation (IHME) standard population age structure when necessary (appendix Table S2 for the standard population structure). The 95% uncertainty intervals (UI), generated by the GBD study team as the 25<sup>th</sup> and 975<sup>th</sup> ordered 1000 random draw values of the posterior distribution, <sup>36,38</sup> were also presented for the ARD burden estimates.

### Analysing the impact of health resource allocation on the ARD burden

We explored the impact of health resource allocation on the ARD burden using a panel data analysis approach. All equations were estimated with a log-linear functional form to enable unit-free comparisons of coefficients. To understand the underlying reasons for burden shifts over time, we used the age-standardised ARD burden rate as the dependent variable. Health expenditures and health workforce density were adopted as proxy measures for health resources. Total health expenditure per capita was the key independent variable used to measure health expenditures. The key independent variables used to measure health workforce density were three separate sets of indicators: total health professional density, licensed doctor density, and licensed nurse density, all per 1,000 population. Health professionals included licensed doctors (clinical, dental, public health, and traditional Chinese medicine), licensed nurses, pharmacists, clinical laboratory technicians, and radiologists. We chose three sets of indicators to measure health workforce density because 1) together, they accurately represent the distribution of China's health personnel resources; 2) they are widely used in published literature and heterogeneity exists in terms of their impact on health outcomes; 23,24 3) data stratified by province and by urban and rural areas are available for all three indicators. We ran three separate regression models to include the three health workforce density indicators respectively.

We included GDP per capita, sex, and education as covariates in the regression models to account for the major socio-economic determinants of the population health burden. China has made remarkable achievements over the past decade in reducing the health disparities between urban and rural residents, especially through improving maternal and child health and extending health insurance coverage, among others, for its rural residents. However, there is still a noticeable urban-rural gap in health development, including access to quality health services, health workforce quantity and quality, and health outcomes. Therefore, to account for the large gaps in urban-rural development and health across China, we included the percentage of people residing in urban areas (to measure the process of urbanization) and the ratio of urban-rural health workforce density in the model as covariates. The model also included time dummies (to control period effects), province fixed effects and an error term (see appendix for model details). We further explored the correlation of key variables to assess whether there was multicollinearity that could undermine the robustness of the estimates for specific variables in the regression model (appendix, Table S3 and S4). Standard errors were clustered at the provincial level. Province was the unit of analysis, and a fixed-effects estimator was used to remove the potential endogeneity from time-invariant omitted variables.

Since provincial-level data on health expenditures per capita and health workforce density were only largely available from 2010 onwards, our panel dataset included data from 2010 to 2016. We performed log-linear interpolation to obtain annual estimates of the ARD rate from 2010 to 2016 as the GBD study only provides estimates in five-year intervals.<sup>38</sup> The health expenditures per capita in 2010 are missing for seven provinces: Shanghai, Hainan, Sichuan, Tibet, Shaanxi, Qinghai, and Ningxia. Only data of Tibet is missing in 2011. Therefore, after testing the robustness of the linear increase assumption, we imputed the missing data, assuming a linear increase in per capita health expenditures between 2010 and 2016. All analyses were performed in Stata 16·0 (Stata Corp LLP, College Station, TX, USA).

### Patient and public involvement statement

Patients or the public were not involved in the design, conduct, reporting, or dissemination of this research.

### Results

A total of 58 causes out of 169 causes (34.3%) at level three were selected as ARDs in China (Table 1). Nine of the 58 causes were infectious diseases (15.5%), 47 were NCDs (81.0%), and two were injuries (3.5%). In 2016, the total burden of ARDs in China was 15703.7 DALYs (95% UI: 12628.5, 18406.2) per 100,000 population. NCDs accounted for 91.9% of the total burden. Among the NCDs, cardiovascular diseases (CVDs), neoplasms, chronic respiratory diseases (CRDs), sense organ diseases and neurological disorders were the five leading contributors to the ARD burden.

Table 1. Summary of age-related diseases in China by category

GBD Causes at Level 1	GBD Causes at Level 2	GBD Causes at Level 3
Infectious diseases	Respiratory infections and Tuberculosis	Tuberculosis, diarrheal diseases, lower respiratory diseases
	Other infectious diseases	Meningitis, encephalitis, tetanus, varicella, and herpes zoster
	Neglected tropical diseases and malaria	Cysticercosis, trachoma

NCD-	Negalegae	Occambancal concer stemant concer there
NCDs	Neoplasms	Oesophageal cancer, stomach cancer, liver
		cancer, tracheal, bronchus, and lung cancer,
		prostate cancer, colon and rectum cancer, lip and
		oral cavity cancer, other pharynx cancer,
		gallbladder and biliary tract cancer, pancreatic
		cancer, malignant skin melanoma, non-melanoma
		skin cancer, bladder cancer, brain and central
		nervous system cancer, mesothelioma, Hodgkin
		lymphoma, non-Hodgkin lymphoma, multiple
		myeloma, leukaemia, other malignant neoplasms
	Cardiovascular Diseases	Ischemic heart disease, stroke, hypertensive heart
		disease, cardiomyopathy, myocarditis, atrial
		fibrillation and flutter, peripheral artery disease,
		endocarditis
	Chronic respiratory diseases	Chronic obstructive pulmonary disease, asthma,
		and interstitial lung disease
	Digestive Diseases	Paralytic ileus and intestinal obstruct, vascular
		intestinal disorders, pancreatitis
	Neurological disorders	Alzheimer's disease and other dementias,
		Parkinson's disease, idiopathic epilepsy, other
		neurological disorders
	Diabetes and kidney diseases	Acute glomerulonephritis, chronic kidney disease
	Skin and subcutaneous diseases	Fungal skin diseases, pruritus, decubitus ulcer,
		and other skin and subcutaneous diseases
	Sense organ diseases	Age-related and other hearing loss, other sense
		organ diseases, blindness, and vision loss
	Musculoskeletal disorders	Low back pain
Injuries	Unintentional injuries	Falls, drowning

Data source: GBD 2019

Significant regional and sex disparities in ARD burdens existed in China. Generally, the eastern provinces had the lowest crude burden rates, while north-eastern and several western provinces bore the highest ones (Figure 1). After standardizing the age structure, we noted tiered gaps in the age-standardised ARD burden among the eastern, central, and western provinces. Shanghai, Beijing, Zhejiang, and Jiangsu had the lowest burdens, while the burden of Tibet, Qinghai, Guizhou, and Xinjiang were the highest. There were salient disparities between the sexes, with age-standardised ARD burden rates being 61.2% higher among males than females on average. This disparity was most apparent in neoplasms, where the burden rate was 1·5 times higher among males. We observed an inversion of this trend only among neurological disorders, where the burden rate was 10.9% higher among females.

In 2016, China's crude ARD burden-adjusted age was 50·38 years (95% UI: 49.91. 50.91), following the same regional disparity pattern as measured by DALYs (Figure 2). Beijing, Guangdong, Shanghai, Zhejiang and Fujian, all located in eastern China, were the youngest, with a crude ARD burden-adjusted age below 40 years. In comparison, Sichuan, Heilongjiang, Chongqing, Jilin and Liaoning were the oldest, with the crude age close to or above 60 years. However, after the age structure across provinces was standarised, there were notable changes in the ARD burden-adjusted ages among several western provinces, especially for Tibet, Qinghai, Guizhou and Xinjiang. These four regions were the oldest by the standardised age, at

72.70 (95% UI:60.53, 85.55), 66.82 (95% UI:59.36, 74.03), 60.53 (95% UI: 53.76, 63.56) and 60.06 (95% UI: 53.00, 66.88) years respectively. Shanghai, Beijing, and Zhejiang still had the youngest age-standardised ARD burden-adjusted ages, all of which were below 40 years.

Between 1990 and 2016, China's age-standardised ARD burden had decreased despite the increasing life expectancy, with a national average decline of 34.6% compared to the 1990 burden. We found regional disparities in the magnitude of this decline. The largest decline was seen in the eastern provinces, followed by the central and western provinces, with the average ARD burdens declining by 35·2%, 33·9%, and 32.7%, respectively (Figure 3). Breaking this down by province, Fujian experienced the largest decline in the age-standardised ARD burden (42·2%), followed by Zhejiang (42·0%), and Beijing (40·7%). Guangxi, Qinghai, and Guizhou experienced the smallest ARD burden decline in the same period, at 27.8%, 27.6%, 27.5%, respectively. The ARD burden declined more sharply in females (41.4%) than males (29.8%). Between 1990 and 2016, the ARD burden had decreased for CVDs, neoplasms, and CRDs, stayed almost unchanged for sense organ diseases and increased for neurological disorders (Figure 4). CRDs experienced the sharpest decline (67.9%), followed by neoplasms (30.5%) and CVDs (26.8%). However, the burden of neurological disorders increased by 5% during the same period.

China's health investment grew between 2010 and 2016. The country's total health expenditures per capita increased from 233·9 USD (exchange rate, 1 USD≈6·37 CNY) in 2010 to 526·2 USD in 2016. The total health professional density (the number of total health professionals per 1,000 people) increased by 38.6% from 4·4 in 2010 to 6·1 in 2016. In the same period, the licensed doctor density increased by 27·8%, while the licensed nurse density increased by 66.7% (Appendix for Table S5).<sup>39,44</sup> We report the regression results in Table 2. All coefficients of the health resource variables had the expected sign. The model results showed neither higher health expenditures per capita nor higher health workforce density could significantly lead to lower ARD burden, ceteris paribus. However, we found that the existing urban-rural gap in health workforce density was positively associated with the ARD burden, significant at the 10% level for all three indicators. A 100% increase in the urban-rural ratio in total health professional density, licensed doctor density, and licensed nurse density led to 2·37% (95% CI: -0·41, 5·15), 2·10% (95% CI: -0.27, 4.46), and 2.02% (95% CI: -0.34, 4.39) increases in the ARD burden respectively, ceteris paribus.

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Table 2. Regression model results: Assessing the impact of health resources on age-standardised age-related diseases burden in mainland China, 2010-2016

Independent	Model 1		Model 2		Model 3 (Licensed nurse density)		
Variables	(Total health pr	ofessional density)	(Licensed doct	tor density)			
	Coefficient (β)	95% CI	Coefficient (β)	95% CI	Coeffi <mark>c</mark> ient (β)	95% CI	
Total health expenditures per capita	-2.29	(-6.46, 1.88)	-2.02	(-6.18, 2.14)	-2.32 <u>B</u>	(-6.56, 1.91)	
Health professional density	-1.34	(-3.48, 0.79)			ĕr		
Health professional density: urban-rural ratio	2.37*	(-0.41, 5.15)			2022		
Licensed doctor density			-0.93	(-3.30, 1.44)	P		
Licensed doctor density: urban-rural ratio			2.10*	(-0.27, 4.46)	OW		
Licensed nurse density					-1.33	(-3.61, 0.94)	
Licensed nurse density: urban-rural ratio					2.02* $\frac{\overline{Q}}{Q}$	(-0.34, 4.39)	
GDP per capita	-0.16	(-5.09, 4.77)	-1.00	(-6.34, 4.33)	-0.34 <del>=</del>	(-5.57, 4.89)	
Population living in urban areas (%)	1.82	(-15.60, 19.24)	1.83	(-15.13, 18.80)	3.03 ₹	(-14.95, 21.01)	
Female (%)	-0.60	(-2.50, 1.30)	-0.87	(-2.66, 0.92)	-0.83 <del></del>	(-2.80, 1.14)	
≥ junior middle school education (%)	3.25	(-1.66, 8.16)	2.30	(-2.25, 6.84)	3.03 g	(-1.72, 7.79)	
adj. <i>R</i> ²	0.93		0.93		0.93 💆		

Data sources: GBD 2016, Statistical Yearbook of China (2011–2017), and the Health Statistical Yearbook of China (2011–2017)

### Notes:

All dependent and independent variables were transformed into natural logarithms for regressions except for the time dummies. The independent variables were rescaled by divided by 100. The coefficients can be interpreted as follows: every 100% increase in X can lead to a β% increase og Y. Model 1 used total health professional density (per 1,000 population) as a proxy for human resources for health.

Model 2 used licensed doctor density as a proxy (per 1,000 population) for human resources for health.

Model 3 used licensed nurse density as a proxy (per 1,000 population) for human resources for health.

Significance level: \*(p<0·10); \*\*\*(p<0·05); \*\*\*\*(p<0·01)

Professional density (per 1,000 population) for human resources for health.

Significance level: \*(p<0·10); \*\*\*(p<0·05); \*\*\*\*(p<0·05); \*\*\*\*(p<0·01)

Professional density (per 1,000 population) for human resources for health.

Significance level: \*(p<0·10); \*\*\*(p<0·05); \*\*\*\*(p<0·05); \*\*\*(p<0·05); \*\*\*\*(p<0·05); \*\*\*(p<0·05); All dependent and independent variables were transformed into natural logarithms for regressions except for the time dummies. The independent variables of interest and

### Discussion

Healthy ageing has become a focal discussion topic in today's fast-ageing world, as good health in advanced age can provide continued opportunities for social and personal development.<sup>2</sup> The World Health Organisation officially proposed the concept of healthy ageing in 2015 and published the Global Strategy and Action Plan on Ageing and Health (2016–2020) in 2016. <sup>2,45</sup> The Decade of Healthy Ageing: Plan of Action (2020–2030) was issued in 2020 and asked for a whole-of-government and whole-of-society response to healthy ageing. <sup>46</sup> In response to this international call and the needs of the world's largest ageing population, China has actively worked to address the shifts catalysed by an ageing society and promote healthy ageing. <sup>47–49</sup> We conducted this study to generate high-quality evidence to help China and similar countries be better equipped to face health challenges in ageing societies. To our knowledge, this is the first study that assesses the disease burden of ageing and its longitudinal changes in 31 provinces of mainland China from 1990 to 2016. The analysis explored disparities in ARD burdens across regions, sexes, and disease categories and used panel regression models to examine the impact of health resource indicators on the ARD burden.

Our findings underscore several key messages on the disease burden of ageing in China. First, NCDs account for over 90% of the country's total ARD burden. CVDs, neoplasms, CRDs, sense organ diseases and neurological disorders were the top five contributors to the ARD burden. Second, there are significant regional disparities in ARD burdens. The results show tiered differences in the ARD burden, with the lowest rates in the eastern provinces, followed by the central provinces, and the highest rates in the northeastern and western provinces. Notably, the crude ARD burden rate in Sichuan was 2.1 times that of Beijing in 2016, equal to a 34·14-year age gap measured by the ARD burden-adjusted age defined in this study. Third, several western provinces will face daunting ARD-induced challenges as their populations begin to age. After age structure standardisation, Tibet, Qinghai, Guizhou, and Xinjiang had the four highest ARD burdens. However, in 2016, less than 10% of each of these provinces' populations were aged 65 years and older, compared to a national average of 10.8%.<sup>30</sup> Fourth, males are disproportionally affected by ARDs except for neurological disorders. Fifth, the overall age-standardised ARD burden has been decreasing since 1990, though the largest decline was observed in the eastern provinces, followed by the central and western provinces. CRDs experienced the largest decline in age-standardised burden by disease category, followed by neoplasms and CVDs. The burden rate of sense organ diseases had stayed almost unchanged since 1990. The burden of neurological disorders had increased since 1990, albeit by a small magnitude. Lastly, our results suggest that greater investment in health to reduce the urban-rural gap in health workforce could help lower China's ARD burden.

Our study findings are consistent with previous studies that assessed the ARD burden globally and in China. <sup>21,22</sup> There is overall support for the idea that NCDs are the chief contributor to ARDs. As has been the case across the globe, the ARD burden has continuously decreased since 1990, declining most sharply in developed countries and regions. Disparities by sex are also similar; males are more negatively affected by the ARD burden and have experienced a lower historical decline. The magnitude of the burden measured in this study is slightly different from those in other studies due to differences across GBD datasets and the populations and diseases included. Our findings are novel in that we were able to measure the ARD burden and disparities across provinces in China, and examine the impact of health resource indicators on the burden. Nevertheless, the regional disparities of age-standardised ARD burdens are in line with their health development status and similar to the disparities in estimated healthy life expectancy. <sup>50–33</sup> The rank of NCD burdens among the ARDs is consistent with that of the disease burden among older people in China. <sup>34</sup> Interestingly, we found that increasing health expenditures or workforce density does not significantly decrease the ARD burden; rather, the key is to reduce the urban-rural gap in the health workforce density. Previous evidence has indicated that increasing health workforce density

can improve health outcomes.<sup>23,24</sup> However, these studies focus on measuring health outcomes such as maternal mortality, infant, and under-five mortality as opposed to ageing-related outcomes. In addition, these studies did not control for geographic resource differences between rural and urban areas and were conducted in countries where resources were scarce. Thus, the context was quite different from that of the present study.

The Chinese State Council published The Opinions on Strengthening Aged Care Work in the New Era in November 2021, outlining eight important action domains for addressing ageing. This document serves as a comprehensive, strategic plan to achieve healthy and active ageing in China.<sup>49</sup> The findings from the current study provide timely input into policy development for the governments of China to promote healthy ageing by reducing the disease burden of ageing. First, to reiterate the findings from previous studies, chronological age alone does not provide a strong enough basis for appropriate ageing resource planning or policymaking.<sup>21,22</sup> In China, provinces or municipalities with older populations, such as Shanghai, Beijing, and Zhejiang, have much lower ARD burdens than some younger provinces such as Tibet and Xinjiang. This phenomenon calls for more careful consideration of underlying health burdens and potential threats to resource planning and policy design to address future ageing. Second, it is crucial to continue and strengthen NCD prevention and control efforts, especially for CVDs, neoplasms, CRDs, sense organ diseases and neurological disorders. To prevent the continued increase in the ARD burden from neurological disorders, it is imperative to allocate additional health resources for the prevention, treatment, and management of conditions such as Alzheimer's disease, dementia, Parkinson's disease, and idiopathic epilepsy. Sense organ diseases cannot be ignored, especially those leading to blindness and vision loss that can significantly damage the quality of life for the elderly. Third, the central government should continue to provide all-around support to the regions that face the greatest threat of high ARD burdens due to future ageing, especially the western provinces of Tibet, Qinghai, Guizhou, and Xinjiang to reduce regional disparities. Notably, that support should entail the establishment of a strong health workforce that can serve the local people. Fourth, aligned with the Rural Revitalization Strategic Plan issued by the State Council (2018–2022) in 2018,51 the central and local governments should work collaboratively to strengthen the rural health system, in particular, by increasing the health workforce density to reduce the urban-rural gap.

Our team have carefully compared the difference between estimates from GBD 2016 and 2019 study to ensure the robustness of the findings from the current study. Methodologically, the GBD 2019 study generally takes a similar approach as used in the GBD 2017 study, which has enriched data input sources, modelling parameters, disease categories and upgraded calibration methods to get more accurate results as compared to the GBD 2016 study. S5,36 Our comparison results show that the crude ARD burden rate in 2016 based on estimates from GBD 2019 is slightly higher than estimates from GBD 2016, though the difference is within 8% and as low as 2% for neoplasms. Regarding the diseases included, we did not find significant changes at level three that would impact the results of the ARD burden. The findings are consistent with the comparison conducted by a previous study on the estimates generated by the GBD 2016 and 2017 study for the subnational level of China. Therefore, subnational estimates of GBD 2016 can well represent the regional disparities of ARD burden in China.

Our study has several limitations. First, the subnational analysis was based on the GBD 2016 study estimates, which was not updated to 2019, as we do not have access to the GBD 2019 estimates at subnational level of China. However, this was the best data source we could access and as stated above, the results from the GBD 2016 study are robust to show regional disparities after careful comparison. Second, our provincial analysis of the ARD burden focused on NCDs due to data availability, and we did not explore the burden of specific diseases. However, as NCDs accounted for over 90% of the ARD burden

in China, these results can accurately represent existing regional disparities. Third, the study findings tend to underestimate the ARD burden, as GBD study estimates fail to model the interactions between diseases, ignoring the burden caused by multimorbidity. Forth, though the definition used in the current study to measure the burden of age-related diseases is novel and easy to operationalize, it is only one of the many ways to measure it.<sup>21,52–54</sup> Future studies can gauge the pros and cons of different measurements and choose the most appropriate one for analysis. Fifth, we had to rely on interpolation to obtain annual estimates of burden rates from 2010 to 2016 for panel data analysis, though the GBD research team used the same methods in their analysis.<sup>38</sup> In addition, the indicators for health resources are limited to total health expenditures and health workforce density, largely due to data availability. Other important indicators, such as the efficiency of health funds utilization, the quality of health workforce, could be explored in future research when data become available.

### Conclusion

In conclusion, our study provides valuable and original insights into the disease burden of ageing in China and the existing regional disparities. Our results consistently show that an older demographic structure does not necessarily mean a heavier disease burden, and therefore chronological age alone does not provide a strong enough basis for appropriate ageing resource planning or policymaking. Continuing to invest in population health through reducing the urban-rural gap in health workforce density would be helpful in reducing the disease burden of ageing in China. In so doing, the Government of China needs to develop an effective policy and mechanism that can attract more health professionals to work in the rural and less developed areas. The governments of China, or other countries with similar demographic and disease profiles and development contexts, need to take urgent action to decrease the disease burden of ageing to create healthy ageing societies.

### **Contributors**

SC designed the study under the supervision of KH, BL, and HB. SC extracted the data, conducted the statistical analysis in Stata, developed the tables and figures, and drafted the manuscript. SC and YS verified the data in the study. All co-authors (SC, YS, KH, BL, HB, XD, CW and ST) contributed to commenting, editing, and approval of the final manuscript. All accept responsibility for submission to the journal for publication.

### **Declaration of interests**

All co-authors declare no conflicts of interest for this study.

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### Data availability statement

China's subnational-level data from GBD 2016 were obtained from the IHME under a private agreement. Researchers can apply for data access at <a href="https://www.healthdata.org/about/contact-us">https://www.healthdata.org/about/contact-us</a> if interested in using the data. Other inputs data were obtained from open resources, including China Statistical Yearbook (<a href="http://www.stats.gov.cn/tjsj/ndsj/">http://www.stats.gov.cn/tjsj/ndsj/</a>) and China Health Statistical Yearbook (<a href="http://www.nhc.gov.cn/wjw/tjnj/list.shtml">http://www.nhc.gov.cn/wjw/tjnj/list.shtml</a>). Researchers can access and download the data from these websites.

Ethics statement Ethics approval Not applicable.

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### Figure legend and footnote

## Figure 1. The crude and age-standardised age-related diseases burden in 31 provinces of mainland China, 2016

Data source: GBD 2016

Note: The age-related diseases burden was standardized using the IHME standard population age structure (appendix)

## Figure 2. The crude and age-standardised ARD burden-adjusted ages of 31 provinces in mainland China, 2016

Data source: GBD 2016

Note: The provinces were grouped into eastern, central and western provinces from top down.

The age-related diseases burden was standardized using the IHME standard population age structure (appendix)

## Figure 3. Change of the age-standardised age-related diseases burden rates by administrative region in mainland China, 1990-2016

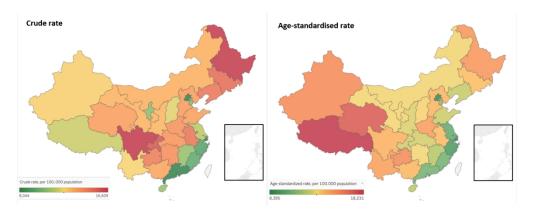
Data source: GBD 2016

Note: The age-related diseases burden was standardized using the IHME standard population age structure (appendix)

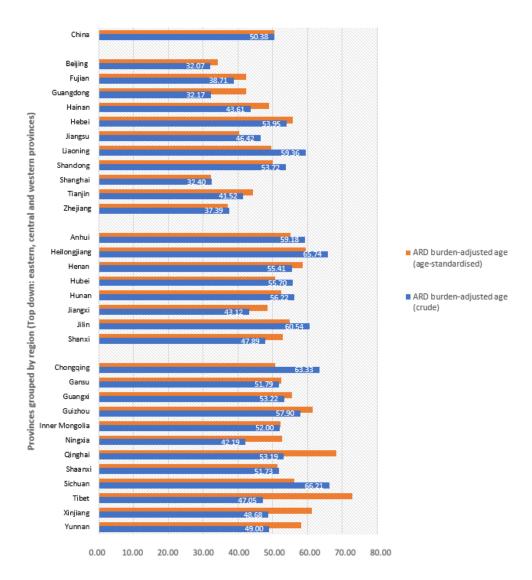
## Figure 4. Change of the age-standardised age-related diseases burden rates of the five leading ARD contributors in China, 1990-2016

Data source: GBD 2016

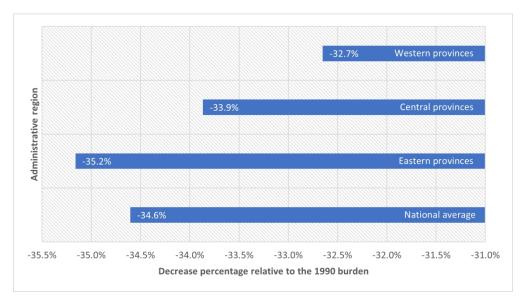
Note: The age-related diseases burden was standardized using the IHME standard population age structure (appendix)



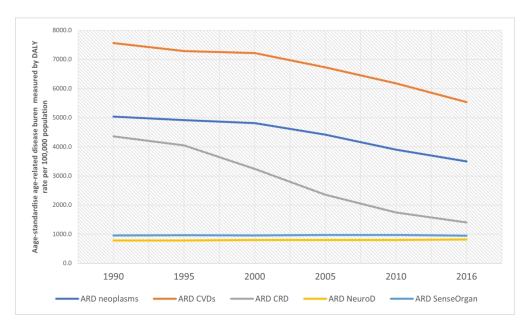
The crude and age-standardised age-related diseases burden in 31 provinces of mainland China, 2016 832x322mm (38 x 38 DPI)



The crude and age-standardised ARD burden-adjusted ages of 31 provinces in mainland China, 2016 437x482mm (38 x 38 DPI)



389x216mm (130 x 130 DPI)



Change of the age-standardised age-related diseases burden rates of the five leading ARD contributors in China, 1990-2016

391x232mm (130 x 130 DPI)

### **Appendix**

Table S1. Administrative division of provinces in mainland China

Administrative division	Province
(No.)	
Eastern provinces (11)	Beijing, Fujian, Guangdong, Hainan, Hebei, Jiangsu, Liaoning, Shandong Shanghai, Tianjin, Zhejiang
Central provinces (8)	Anhui, Heilongjiang, Henan, Hubei, Hunan, Jiangxi, Jilin, Shanxi
Western provinces (12)	Inner Mongolia, Guangxi, Chongqing, Gansu, Guizhou, Ningxia, Qinghai, Shaanxi, Sichuan, Tibet, Xinjiang, Yunnan

Table S2. IHME standard age weight

Age group	Population percentage	
<5	7.2%	
5–9	8.7%	
10–14	8.4%	
15–19	8.1%	
20–24	7.8%	
25–29	7.6%	
30–34	7.2%	
35–39	6.9%	
40–44	6.4%	
45–49	5.8%	
50–54	5.3%	
55–59	4.7%	
60–64	4.1%	
65–69	3.4%	
70 +	8.6%	

### ARD burden-adjusted age calculation

First, we identified the ARD burden-adjusted age of China by comparing the national average burden rate with its burden rates by age group. We selected the two five-year age groups with closest burden rate as the national average, and calculated the national average age by assuming linear increase of the ARD burden within each five-year age group. For example, the age-related disease burden of China was 12637.2 DALYs per 100,000 population in 2016, closest to burden rates for age group of 45–49 (9316.3) and 50–54 (14163.7). Hence, the national age was calculated as: 45+12637.2/((9316.3+14163.7)/10)=50.38.

Second, we calculated the ARD burden-adjusted age of each province by dividing the provincial agerelated disease burden rate by the unit share of the national average burden rate per age. The unit share of the national average burden rate per age was calculated by dividing the national average burden rate by the national equivalent age. For example, the age-related disease burden of Shanghai was 8,127-4 DALYs per 100,000 population. Hence, Shanghai's ARD burden-adjusted age was calculated as: 8,127-4/(12637-2/50.38)=32-40.

### **Regression model**

$$Y_{it} = \alpha + \beta_0 healthexp_{it} + \beta_1 healthw f_{it} + \beta_2 X_{it} + \beta_3 D_t + \eta_i + \varepsilon_{it},$$

where  $Y_{it}$  is the age-standardised age-related disease burden of Province i in Year t,  $\beta_0$  is the coefficient of interest,  $healthexp_{it}$  is the total health expenditures per capita, and  $healthwf_{it}$  is the total health professional density, licensed doctor density, or licensed nurse density (per 1,000 population) of the Province i in Year t (three separate models).  $X_{it}$  is the set of covariates controlled in the model, including GDP per capita, education, the proportion of females, the proportion of people living in urban areas, and the urban-rural health workforce density ratio.  $D_t$  represents the time dummies,  $\eta_i$  the province fixed effects, and  $\varepsilon_{it}$  is the error term. All variables are in log form except for the time dummies.

We also assessed the correlation between 1) the total health expenditures per capita and health workforce density and 2) the rural/urban ratio in health workforce density and the proportion of rural/urban population to check multicollinearity, before and after controlling for covariates including GDP per capita, sex and education. The results are presented below in Table S3 and Table S4.

Table S3. Regression model results: assessing	g correlation between health workforce densit	v and total health expenditures per capita

Independent Variables	Mod	el 1	Mod	el 2	Mod	el 3	Mod	el 4	Model 5	Mod	del 6
Valiables	Coef (β)	P value	Coef (β) $\stackrel{\rightharpoonup}{\circ}$ P val	ue Coef (β)	P value						
Health prof density	0.09	0.10	-0.04	0.34					6		
Licensed doc density					0.11	0.06	0.01	0.89	e		
Licensed nurse density									0.08 0.09	-0.02	0.51
GDP per capita			0.37	0.00			0.33	0.00	. 20	0.36	0.00
Female (%)			0.08	0.32			0.08	0.35	. 22	0.08	0.33
≥ junior mid sch educ (%)	••	••	0.08	0.50			0.06	0.58	0	0.08	0.50
adj. R <sup>2</sup>	0.96		0.94		0.96		0.94		0.96 ⋚	0.94	

Note:

Model 1: Total health professional density as independent variable, not controlling for covariates except for time dummies

Model 2: Total health professional density as independent variable, controlling for covariates except for time dummies

Model 3: Licensed doctor density as independent variable, not controlling for covariates except for time dummies

Model 4: Licensed doctor density as independent variable, controlling for covariates except for time dummies

Model 5: Licensed nurse density as independent variable, not controlling for covariates except for time dummies

Model 6: Licensed nurse density as independent variable, controlling for covariates except for time dummies

Table S4. Regression model results: assessing correlation between urban residency and urban-rural ratio in health workforce density

Independent Variables	Model 1		M	odel 2
	Coef (β)	P value	Coef (β)	P value
Population living in urban areas (%)	-0.44	0.23	-0.06	0.90
GDP per capita		••	-0.01	0.95
Female (%)			-0.19	0.02
≥ junior mid sch educ (%)		••	-0.28	0.11
adj. R <sup>2</sup>	0.84		0.94	

Note:

Model 1: Population living in urban areas as independent variable, not controlling for covariates except for time dummies

Model 2: Population living in urban areas as independent variable, controlling for covariates except for time dummies

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Table S5. Selected statistics of the variables in the regression model, 2010 and 2016

able S5. Sele	cted stat	istics of the	variabl	es in the	e regress	ion mo	BMJ Ope					36/bmjopen-2022-064641		
Variables	pe	expenditures r capita CNY)*	profe dens 1	health ssionals ity (per .000 ılation)	ratio i hea	alth sionals		er capita NY)*		ırban areas %)	Fe (	.641 on 16 Noven	mid	ved at least dle school cation (%)
Province	2010	2016	2010	2016	2010	2016	2010	2016	2010	2016	2010	200 6	2010	2016
Anhui	1210-5	2652-2	3.1	4.7	2.4	2.3	20888:0	39561.0	44.8%	52.0%	49·2%	4837%	62.8%	65.5%
Beijing	4147-2	9429-7	13.6	10.8	1.9		73856.0	118198.0	86.2%	86.5%	48.4%	48% nlog	87.6%	88.6%
Chongqing	1501.0	3492-2	3.4	5.9	1.4	2.0	27596·0	58502.0	55.0%	62.6%	49.4%	49 <u>52</u> %	61.0%	64·1%
Fujian	1280-1	3226.8	4·1	5.7	2.8	2.5	40025.0	74707.0	58.1%	63.6%	48.6%	4921% 1737	63.0%	61.7%
Gansu	1153.9	2889-2	3.7	5.2	2.0	2.2	16113.0	27643.0	37.2%	44.7%	48.9%	49 <sup>7</sup> //br	56.5%	57.9%
Guangdong	1445.9	3812.5	5.3	6.0	3.2	3.2	44736.0	74016.0	66.5%	69.2%	47.8%	n∰per	73.2%	74·3%
Guangxi	1116-9	2557.0	3.6	6.0	2.2	2.2	20219-0	38027.0	41.8%	48.1%	48.0%	489%	62·5%	67:4%
Guizhou	946-6	2472·4	2.5	5.8	4.2	3.9	13119.0	33246.0	35.0%	44.2%	48·3%	484%	48.8%	54.6%
Hainan	1193.0	3306-8	4.4	6.3	2.3	3.3	23831.0	44347.0	50.5%	56.8%	47.4%	473% pril	72·1%	73.0%
Hebei	1253.8	2710-6	4.0	5.3	3.2	2.7	28668-0	43062.0	45.6%	53.3%	49·3%	48 <sup>9</sup> 9% 20 202495%	69.9%	69.7%
Heilongjiang	1580-2	3133-4	5.0	5.8	2.3	2.3	27076.0	40432.0	56.5%	59.2%	49·2%	<u> </u>	73.0%	73·3%
Henan	1134.0	2594.0	3.5	5.7	3.0	3.2	24446.0	42575.0	40.6%	48.5%	49.5%	/ gg/st. I	68·2%	69.8%
Hubei	1191·1	3270.6	4.2	6⋅5	2·1	2.2	27906.0	55665.0	51.8%	58.1%	48.6%	48 <del>7</del> %	69.8%	70.5%
Hunan	1042-1	2821.0	3.8	5.8	2.9	2.9	24719.0	46382.0	45.1%	52.8%	48.6%	480 by	67·1%	71.2%

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Inner Mongolia	1767.5	3599.7	5·1	6.8	2.7	2.6	47347.0	72064-0	56.6%	61.2%	48·1%	495%	69.9%	73·3%
Jiangsu	1566.0	4200-2	4.4	6.5	2.0	2·1	52840·0	96887.0	61.9%	67.7%	49.6%	49 <del>-6</del> % On	70.9%	71.5%
Jiangxi	992.0	2374-8	5·1	6.1	1.8	2·1	21253.0	40400-0	45.7%	53.1%	48·2%	4870% Z	65.6%	64·1%
Jilin	1653-9	3501·19	5.5	6.3	2.5	2.9	31599.0	53868.0	53.4%	56.0%	49.3%	490% mbe	69.8%	73.6%
Liaoning	1765-9	3390-9	4.7	6.6	3.0	2.6	42355.0	50791.0	64.0%	67.4%	49.4%	49 <b>.5</b> %	75.5%	78·3%
Ningxia	1190·1	3730-5	4.5	6-2	4-4	5.4	26860-0	47194.0	49.8%	56.3%	48.8%	48:4%	61.3%	66.5%
Qinghai	1472.0	4043-1	4.7	7.6	2.0	2.0	24115.0	43531.0	46.3%	51.6%	48·2%	486% ad	49.8%	51.8%
Shaanxi	2040-7	3535.7	4.7	6.5	1.7	2.2	27133.0	51015.0	47.3%	55.3%	48.3%	495% Fo	69.8%	71.5%
Shandong	1403·1	3372.7	9.7	7.4	1.3	1.6	41106.0	68733.0	50.9%	59.0%	49.4%	499%	67.5%	69.0%
Shanghai	2828·1	7596.0	5.6	6.1	2.6	3.2	76074-0	116562.0	89.3%	87.9%	48.5%	485%	83.6%	83.5%
Shanxi	1297-5	2650-3	3.4	4.8	2.7	3.0	26283.0	35532.0	49.7%	56.2%	48.6%	485%	74·1%	77.5%
Sichuan	1019-1	3238-6	3.6	6.0	2.0	2.0	21182-0	40003.0	41.8%	49.2%	49·2%	50-1%	57·2%	58.9%
Tianjin	2737:3	5294-2	7.1	6.1	1.4	1.1	72994.0	115053.0	80.5%	82.9%	46.6%	466%	80.5%	82.0%
Tibet	1472.0	3780-9	3.4	4.5	4.9	4.1	17027-0	35184·0	22.8%	29.6%	48.6%	A 495% 9,	26.4%	29.6%
Xinjiang	1676.8	4012-9	5.7	7.1	3.2	2.4	25034·0	40564.0	43.5%	48.3%	48.7%	48 <del>0</del> %	66·1%	65.5%
Yunnan	1107-2	2754·1	3.2	5.2	3.2	3.3	15752.0	31093.0	36.8%	45.0%	48·1%	495% 4959ue	48.3%	52.5%
Zhejiang	2099-0	4603.8	6.1	7.7	1.8	1.8	51711.0	84916.0	62.3%	67.0%	48.6%	47.9% P	64.7%	66.0%

<sup>\*</sup>CNY=Chinese Yuan, exchange rate: 1USD≈6.37CNY (Dec 3, 2020)

Data source: National Statistical Yearbook of China (2011, 2017) and National Health Statistical Yearbook of China (2011, 2017)

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## Checklist of information that should be included in new reports of global health estimates

Item	Checklist item	Reported
#		on page #
	ives and funding	
1	Define the indicator(s), populations (including age, sex, and geographic entities), and	4
	time period(s) for which estimates were made.	
2	List the funding sources for the work.	6
Data Ir		
	ll data inputs from multiple sources that are synthesized as part of the study:	
3	Describe how the data were identified and how the data were accessed.	4 & 9
4	Specify the inclusion and exclusion criteria. Identify all ad-hoc exclusions.	4
5	Provide information on all included data sources and their main characteristics. For each	4 & 5
	data source used, report reference information or contact name/institution, population	
	represented, data collection method, year(s) of data collection, sex and age range,	
	diagnostic criteria or measurement method, and sample size, as relevant.	
6	Identify and describe any categories of input data that have potentially important biases	4 & 5 & 9
	(e.g., based on characteristics listed in item 5).	
For de	ata inputs that contribute to the analysis but were not synthesized as part of the study:	
7	Describe and give sources for any other data inputs.	4 & 5 & 9
For a	ll data inputs:	
8	Provide all data inputs in a file format from which data can be efficiently extracted (e.g., a	9 and
	spreadsheet rather than a PDF), including all relevant meta-data listed in item 5. For any	appendix
	data inputs that cannot be shared because of ethical or legal reasons, such as third-party	
	ownership, provide a contact name or the name of the institution that retains the right to	
	the data.	
Data a	nalysis	
9	Provide a conceptual overview of the data analysis method. A diagram may be helpful.	4 & 5
10	Provide a detailed description of all steps of the analysis, including mathematical	4 & 5
	formulae. This description should cover, as relevant, data cleaning, data pre-processing,	
	data adjustments and weighting of data sources, and mathematical or statistical	
	model(s).	
11	Describe how candidate models were evaluated and how the final model(s) were	4 & 5
	selected.	
12	Provide the results of an evaluation of model performance, if done, as well as the results	7 & 15 & 22
	of any relevant sensitivity analysis.	& 23
13	Describe methods for calculating uncertainty of the estimates. State which sources of	5
	uncertainty were, and were not, accounted for in the uncertainty analysis.	
14	State how analytic or statistical source code used to generate estimates can be accessed.	5 & 6
Result	s and Discussion	
15	Provide published estimates in a file format from which data can be efficiently extracted.	12-15
16	Report a quantitative measure of the uncertainty of the estimates (e.g. uncertainty	6,7,15
	intervals).	, ,
17	Interpret results in light of existing evidence. If updating a previous set of estimates,	6-9
	describe the reasons for changes in estimates.	- <del>-</del>
40	Discuss limitations of the estimates. Include a discussion of any modelling assumptions or	8-9
18		

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# **BMJ Open**

### Disease Burden of Ageing, Sex and Regional Disparities, and Health Resources Allocation: A Longitudinal Analysis of 31 Provinces in Mainland China

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## Disease Burden of Ageing, Sex and Regional Disparities, and Health Resources Allocation: A Longitudinal Analysis of 31 Provinces in Mainland China

### Title page

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### Abstract

### Objectives

To measure the disease burden of ageing based on age-related diseases (ARD), the sex and regional disparities, and the impact of health resources allocation on the burden in China.

### Design

A national comparative study based on Global Burden of Diseases (GBD) study estimates and China's routine official statistics.

### 

### Setting and participants

Thirty-one provinces of mainland China were included for analysis in the study. No individuals were involved.

### 

### Methods

We first identified the ARDs and calculated the disability-adjusted life-years (DALYs) of ARDs in 2016. We assessed the ARD burden disparities by province and sex and calculated the provincial ARD burden-adjusted age. We assessed historical changes between 1990 and 2016. Fixed-effects regression models were adopted to evaluate the impact of health expenditures and health workforce indicators on the ARD burden in 2010-2016.

### Results

In 2016, China's total burden of ARDs was 15703.7 DALYs (95% UI: 12628.5, 18406.2) per 100,000 population. Non-communicable diseases (NCDs) accounted for 91.9% of the burden. There were significant regional disparities. The leading five youngest provinces were Beijing, Guangdong, Shanghai, Zhejiang, and Fujian, located on the east coast of China with an ARD burden-adjusted age below 40. After standardising the age structure, western provinces, including Tibet, Qinghai, Guizhou, and Xinjiang, had the highest burden of ARDs. Males were disproportionately affected by ARDs. China's overall age-standardised ARD burden has decreased since 1990, and females and eastern provinces experienced the largest decline. Regression results showed that the urban-rural gap in health workforce density was positively associated with the ARD burdens.

### Conclusion

Chronological age alone does not provide a strong enough basis for appropriate ageing resource planning or policymaking. In China, concerted efforts should be made to reduce the ARDs burden and its disparities. Health resources should be deliberately allocated to western provinces facing the greatest health challenges due to future ageing.

### Strengthens and limitations of the study

- This study uses longitudinal data from 1990 to 2016 in 31 provinces of mainland China to assess the ARD burdens.
- The study generates high-quality evidence on disparities in ARD burdens across provinces, sexes, and disease categories in China.
- The study adopts robust fixed-effects regression models to assess the impact of health expenditures and human resources for health indicators on the ARD burden.
- Although the study has used the best data sources available for the analysis, future research could generate more up-to-date results once more recent data become available.

### Introduction

The world is rapidly ageing due to increased life expectancy and decreased fertility rates.¹ Health is a critical factor in determining whether population ageing means more opportunities or challenges to society.² Although lifespans have increased substantially worldwide, it is unclear whether healthspans, i.e. healthy and morbidity-free lifespans, have improved similarly.²-9 It is, therefore, essential to measure the interactions between ageing and health for a clearer understanding of the disease burden of ageing. Numerous metrics have been developed to measure population ageing and health for resource planning. Traditionally, the change of chronological age and age structure have been used to assess ageing based on demographic metrics such as life expectancy or the percentage of the population over a certain age threshold.¹0 Another set of metrics assesses functional status through indicators such as frailty, ¹1,¹1² disability, ¹1³-15 cognitive function, ¹6 and intrinsic capacity.¹7¹,¹18 Health status is typically estimated based on biomarkers, self-reported health status¹9,²0, and epidemiological indicators of different diseases such as incidence, prevalence, mortality, and disability-adjusted life years (DALYs). Some metrics measure ageing while also taking health status into account. These metrics include healthy life years (HLYs), healthy life expectancy (HALE), and biological age. However, these metrics either measure ageing and health separately or cannot provide disease-specific burden information to guide resource planning.

To complement the existing metrics and measure the population-level disease burden of ageing, Chang et al. developed a novel metric called the age-related disease (ARD) burden.<sup>21</sup> They defined ARDs as diseases with incidence rates that increased quadratically with age. The researchers used estimates from the Global Burden of Diseases, Injuries, and Risk Factors Study (GBD) 2017 to measure and compare ARD burdens of 195 countries from 1990 to 2017. They found that non-communicable diseases (NCDs) were the primary contributor to ARDs, and there were significant variations in ARD burdens across countries. Of the 195 countries, Switzerland had the lowest ARD burden, while China ranked 75th and Vanuatu had the highest. The researchers found that the age-standardised ARD burden dropped globally between 1990 and 2017 due to lower disease severity and case fatality rates. Hu et al. assessed China's ARD burden based on the GBD 2017 study estimates at the national level. The researchers consistently found that NCDs are the primary contributor to ARDs in China. The age-standardised ARD burdens also decreased between 1990 and 2017, and the magnitude of ARD decrease was larger among women than men.<sup>22</sup> These findings emphasise the inadequacy of using chronological age alone to inform resource planning and policy design. They confirm the importance of considering health status and disease severity within the context of ageing and the need for concerted efforts to address regional disparities, especially for regions or countries with significant development inequalities. 21,22

Many previous studies have assessed whether and how health resource allocation can impact health outcomes.<sup>23–29</sup> Health workforce density and total health expenditures per capita have been widely used as key proxies for health resources. A large body of high-quality evidence supports the view that a higher health workforce density can help improve health outcomes such as life expectancy, infant mortality rate, under-five mortality rate, and maternal mortality rate.<sup>23,24</sup> Evidence further shows heterogeneity among different types of the health workforce in terms of how they impact health outcomes.<sup>23,24</sup> The impact of health expenditures on health outcomes is mixed.<sup>26–29</sup> For example, evidence shows that an increase in health expenditures per capita can positively impact health outcomes, including life expectancy, underfive mortality, and maternal mortality in Sub-Saharan African countries.<sup>29</sup> However, a similar study did not find supporting evidence in Organisation for Economic Co-operation and Development (OECD) countries.<sup>26</sup> Another study found a significant association between higher health expenditures per capita and lower infant mortality in 15 European Union (EU) countries, but only marginal increases in life expectancy.<sup>28</sup>

China is a large, rapidly ageing country with uneven economic and health development across provinces. In 2020, 264 million of China's inhabitants (18.7%) were over 60 years old, ranking first globally by this metric, and this number is projected to double by 2050.<sup>1,30</sup> Generally, in China, the economy is most developed in eastern provinces, followed by the central and western provinces. For example, in 2020, the gross domestic product (GDP) per capita in Shanghai, a municipality located on China's east coast, was 4.3 times higher than that of Gansu, a province in western China. 30 Regional disparities in health development are similar in scale; provinces in the eastern region generally perform best, followed by the central and western provinces.31 For example, among males in 2015, the average healthy life expectancy was estimated to be around 78 years in Beijing, Tianjin, and Shanghai, compared to 69 years in Qinghai, Tibet, and Yunnan, located in western China.32 China is estimated to attain 12 of the 28 health-related Sustainable Development Goals (SDGs) indicators by 2030, and the country's eastern provinces are estimated to achieve a higher number.<sup>33</sup> Therefore, understanding the disparities in the disease burden of ageing across provinces and the impact of health resource allocation on the burden is crucial for China's central and provincial governments to plan for an ageing society. Nevertheless, no study has yet been conducted for this purpose. To fill the evidence gap, this study aims to: 1) measure the burden of ARDs in China at the subnational level, examining disparities across provinces and by sex, 2) assess changes in ARD burden from 1990-2016, and 3) explore how health resource allocation impacts the ARD burden. We also examined the difference by disease group whenever possible. **Methods** 

### Overview

This study used estimates from the GBD study to calculate and analyse the ARD burden in China. The GBD team have updated their estimates annually since 2015 (except for 2018), with improvements on disease causes, risk factors, data input sources, and modelling strategies to obtain updated and robust estimates. The most recent publicly released estimates were GBD 2019.34,35 We used GBD 2019 study estimates to select ARDs and calculate the total national burden considering its timeliness. We analysed the ARD burden at the subnational level based on estimates of the GBD 2016 study, as they were the most recent subnational estimates of China our team could access. However, we did not find significant changes in the causes and estimates that could impact the robustness of the study findings after careful comparison. The detailed methodology of the GBD 2016 and 2019 studies has been published elsewhere. 35,36 The GBD study classified the causes hierarchies into four levels, each with increased specificity.<sup>37</sup> Level One consists of three general causes: communicable diseases, NCDs, and injuries. Levels Two, Three, and Four further divide these causes into subgroups.<sup>37</sup> The data related to provincial health expenditures, human resources for health, and economic and demographic indicators were obtained from China Statistical and Health Statistical Yearbooks, providing data from 2010 to 2016 for regression analysis.

The geographical units of analysis are provincial-level jurisdictions in mainland China, including 22 provinces, five autonomous regions, and four municipalities (hereafter referred to as 31 provinces).<sup>30</sup> Our study complies with the guidelines for Accurate and Transparent Health Estimates Reporting (known as GATHER) statement, and we provided the GATHER checklist in the appendix.

### ARD selection and burden measurement

Considering data availability, we followed the definition developed by Chang et al. to measure ARDs as diseases with incidence rates that increased quadratically with age among the adult population.<sup>21</sup> Our selection of diseases focused on GBD Level Three causes, and the detailed selection methods have been

published elsewhere.<sup>21</sup> To include a comprehensive list of diseases, we focused on the population aged 15 years and older.

The ARD burden was measured by calculating the sum of the DALYs of the identified ARDs in the entire population. DALYs are defined as the sum of the years of life lost due to premature mortality and the years lived with a disability due to a disease or health condition. We calculated the provincial ARD burden of non-communicable diseases (NCDs) to approximate the total ARD burden to analyse regional disparities, as previous studies showed NCDs contributed to over 80% of the ARD burden,<sup>21,22</sup> and we could only access the subnational estimates of NCDs. We reported the ARD burden rate, measured as DALYs per 100,000 population, to facilitate comparisons. To explore the burden composition by disease category, the ARD burden was further stratified and analysed at the second level of GBD causes within NCDs and by sex when appropriate. We also calculated the percentage decrease in the ARD burden to assess the historical change and clustered the results by disease category and region for comparative analysis (please see appendix Table S1 for the administrative divisions).

The ARD burden-adjusted age was defined as the equivalent age measured by the ARD burden. We estimated the ARD burden-adjusted age of each province to facilitate an intuitive assessment and comparison across provinces. We first identified the national ARD burden-adjusted age based on its age-specific burden rate. We selected the two five-year age groups with the closest burden rate as the national average and calculated the national average age by assuming a linear increase in the ARD burden within each five-year age group. Afterwards, the provincial ARD burden-adjusted age was calculated, assuming each province shared the same burden rate per age as the national average (appendix for calculation details). A younger ARD burden-adjusted age, therefore, implied a lower ARD burden.

To compare the historical change in the ARD burden, we applied age standardisation to the calculation using the Institute for Health Metrics and Evaluation (IHME) standard population age structure when necessary (Appendix Table S2 for the standard population structure). The 95% uncertainty intervals (UI), generated by the GBD study team as the 25<sup>th</sup> and 975<sup>th</sup> ordered 1000 random draw values of the posterior distribution, <sup>36,38</sup> were also presented for the ARD burden estimates.

### Analysing the impact of health resource allocation on the ARD burden

We explored the impact of health resource allocation on the ARD burden using a panel data analysis approach. All equations were estimated with a log-linear functional form to enable unit-free comparisons of coefficients. To understand the underlying reasons for burden shifts over time, we used the age-standardised ARD burden rate as the dependent variable. Health expenditures and workforce density were adopted as proxy measures for health resources. Total health expenditure per capita was the key independent variable used to measure health expenditures. The key independent variables used to measure health workforce density were three separate sets of indicators: total health professional density, licensed doctor density, and licensed nurse density, all per 1,000 population. Health professionals included licensed doctors (clinical, dental, public health, and traditional Chinese medicine), licensed nurses, pharmacists, clinical laboratory technicians, and radiologists. We chose three sets of indicators to measure health workforce density because 1) together, they accurately represent the distribution of China's health personnel resources; 2) they are widely used in published literature, and heterogeneity exists in terms of their impact on health outcomes; 23,24 3) data stratified by province and by urban and rural areas are available for all three indicators. We ran three separate regression models to include the three health workforce density indicators.

We included GDP per capita, sex, and education as covariates in the regression models to account for the major socio-economic determinants of the population health burden. China has made remarkable achievements over the past decade in reducing the health disparities between urban and rural residents, primarily through improving maternal and child health and extending health insurance coverage, among others, for its rural residents. However, there is still a noticeable urban-rural gap in health development, including access to quality health services, health workforce quantity and quality, and health outcomes. Therefore, to account for the significant gaps in urban-rural development and health across China, we included the percentage of people residing in urban areas (to measure the process of urbanisation) and the ratio of urban-rural health workforce density in the model as covariates. The model also included time dummies (to control period effects), province fixed effects, and an error term (see appendix for model details). We further explored the correlation of key variables to assess whether multicollinearity could undermine the robustness of the estimates for specific variables in the regression model (appendix, Table S3, and S4). Standard errors were clustered at the provincial level. Province was the unit of analysis, and a fixed-effects estimator was used to remove the potential endogeneity from time-invariant omitted variables.

Since provincial-level data on health expenditures per capita and health workforce density were only broadly available from 2010 onwards, our panel dataset included data from 2010 to 2016. We performed log-linear interpolation to obtain annual estimates of the ARD rate from 2010 to 2016, as the GBD study only provides estimates in five-year intervals.<sup>38</sup> The health expenditures per capita in 2010 are missing for seven provinces: Shanghai, Hainan, Sichuan, Tibet, Shaanxi, Qinghai, and Ningxia. Only data on Tibet is missing in 2011. Therefore, after testing the robustness of the linear increase assumption, we imputed the missing data, assuming a linear increase in per capita health expenditures between 2010 and 2016. All analyses were performed in Stata 16·0 (Stata Corp LLP, College Station, TX, USA).

### Patient and public involvement statement

Patients or the public were not involved in the design, conduct, reporting, or dissemination of this research.

### Results

A total of 58 causes out of 169 causes (34·3%) at level three were selected as ARDs in China (Table 1). Nine of the 58 causes were infectious diseases (15·5%), 47 were NCDs (81·0%), and two were injuries (3·5%). In 2016, the total burden of ARDs in China was 15703.7 DALYs (95% UI: 12628.5, 18406.2) per 100,000 population. NCDs accounted for 91·9% of the total burden. Among the NCDs, cardiovascular diseases (CVDs), neoplasms, chronic respiratory diseases (CRDs), sense organ diseases, and neurological disorders were the five leading contributors to the ARD burden.

Table 1. Summary of age-related diseases in China by category

GBD Causes at Level 1	GBD Causes at Level 2	GBD Causes at Level 3		
Infectious diseases	Respiratory infections and Tuberculosis	Tuberculosis, diarrheal diseases, lower respiratory diseases		
	Other infectious diseases	Meningitis, encephalitis, tetanus, varicella, and herpes zoster		
	Neglected tropical diseases and malaria	Cysticercosis, trachoma		

**Injuries** 

NCDs	Neoplasms Oesophageal cancer, stomach can				
		cancer, tracheal, bronchus, and lung cancer,			
		prostate cancer, colon and rectum cancer, lip and			
		oral cavity cancer, other pharynx cancer,			
		gallbladder, and biliary tract cancer, pancreatic cancer, malignant skin melanoma, non-melanoma			
		skin cancer, bladder cancer, brain and central			
		nervous system cancer, mesothelioma, Hodgkin			
		lymphoma, non-Hodgkin lymphoma, multiple			
		myeloma, leukaemia, other malignant neoplasms			
	Cardiovascular Diseases	Ischemic heart disease, stroke, hypertensive heart			
		disease, cardiomyopathy, myocarditis, atrial			
		fibrillation and flutter, peripheral artery disease,			
		endocarditis			
	Chronic respiratory diseases	Chronic obstructive pulmonary disease, asthma,			
		and interstitial lung disease			
	Digestive Diseases	Paralytic ileus and intestinal obstruct, vascular			
		intestinal disorders, pancreatitis			
	Neurological disorders	Alzheimer's disease and other dementias,			
		Parkinson's disease, idiopathic epilepsy, other			
		neurological disorders			
	Diabetes and kidney diseases	Acute glomerulonephritis, chronic kidney disease			
	Skin and subcutaneous diseases	Fungal skin diseases, pruritus, decubitus ulcer,			
		and other skin and subcutaneous diseases			
	Sense organ diseases	Age-related and other hearing loss, other sense			
Data source: GBD 201	9				

Significant regional and sex disparities in ARD burdens existed in China. Generally, the eastern provinces had the lowest crude burden rates, while the northeastern and several western provinces bore the highest ones (Figure 1). After standardising the age structure, we noted tiered gaps in the age-standardised ARD burden among the eastern, central, and western provinces. Shanghai, Beijing, Zhejiang, and Jiangsu had the lowest burdens, while the burden of Tibet, Qinghai, Guizhou, and Xinjiang were the highest. There were salient disparities between the sexes, with age-standardised ARD burden rates being 61.2% higher among males than females on average. This disparity was most apparent in neoplasms, where the burden rate was 1·5 times higher among males. We observed an inversion of this trend only among neurological disorders, where the burden rate was 10.9% higher among females.

Falls, drowning

Unintentional injuries

In 2016, China's crude ARD burden-adjusted age was 50·38 years (95% UI: 49.91. 50.91), following the same regional disparity pattern as measured by DALYs (Figure 2). Beijing, Guangdong, Shanghai, Zhejiang, and Fujian, all located in eastern China, were the youngest, with a crude ARD burden-adjusted age below 40 years. In comparison, Sichuan, Heilongjiang, Chongqing, Jilin, and Liaoning were the oldest, with a crude age close to or above 60 years. However, after the age structure across provinces was standardised, there were notable changes in the ARD burden-adjusted ages among several western provinces, especially for Tibet, Qinghai, Guizhou, and Xinjiang. These four regions were the oldest by the standardised age, at

72.70 (95% UI:60.53, 85.55), 66.82 (95% UI:59.36, 74.03), 60.53 (95% UI: 53.76, 63.56) and 60.06 (95% UI: 53.00, 66.88) years respectively. Shanghai, Beijing, and Zhejiang still had the youngest age-standardised ARD burden-adjusted ages, all below 40 years.

Between 1990 and 2016, China's age-standardised ARD burden decreased despite the increasing life expectancy, with a national average decline of 34·6% compared to the 1990 burden. We found regional disparities in the magnitude of this decline. The largest reduction was seen in the eastern provinces, followed by the central and western provinces, with the average ARD burdens declining by 35·2%, 33·9%, and 32·7%, respectively (Figure 3). Breaking this down by province, Fujian experienced the most significant decline in the age-standardised ARD burden (42·2%), followed by Zhejiang (42·0%) and Beijing (40·7%). Guangxi, Qinghai, and Guizhou experienced the smallest ARD burden decline in the same period, at 27·8%, 27·6%, and 27·5%, respectively. The ARD burden declined more sharply in females (41·4%) than in males (29·8%). Between 1990 and 2016, the ARD burden had decreased for CVDs, neoplasms, and CRDs, stayed almost unchanged for sense organ diseases and increased for neurological disorders (Figure 4). CRDs experienced the sharpest decline (67.9%), followed by neoplasms (30.5%) and CVDs (26·8%). However, the burden of neurological disorders increased by 5% during the same period.

China's health investment grew between 2010 and 2016. The country's total health expenditures per capita increased from 233·9 USD (exchange rate, 1 USD≈6·37 CNY) in 2010 to 526·2 USD in 2016. The total health professional density (the number of total health professionals per 1,000 people) increased by 38·6% from 4·4 in 2010 to 6·1 in 2016. In the same period, the licensed doctor density increased by 27·8%, while the licensed nurse density increased by 66·7% (Appendix for Table S5).<sup>39,44</sup> We report the regression results in Table 2. All coefficients of the health resource variables had the expected sign. The model results showed that neither higher health expenditures per capita nor higher health workforce density could significantly lead to a lower ARD burden, ceteris paribus. However, we found that the existing urban-rural gap in health workforce density was positively associated with the ARD burden, significant at the 10% level for all three indicators. A 100% increase in the urban-rural ratio in total health professional density, licensed doctor density, and licensed nurse density led to 2·37% (95% CI: -0·41, 5·15), 2·10% (95% CI: -0·27, 4·46), and 2.02% (95% CI: -0·34, 4·39) increases in the ARD burden respectively, ceteris paribus.

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Table 2. Regression model results: Assessing the impact of health resources on age-standardised age-related diseases burden in mainland China, 2010-2016

Independent	Model 1		Model 2		Model 3	
Variables	(Total health professional density)		(Licensed doctor density)		(Licensed nurse density)	
	Coefficient (β)	95% CI	Coefficient (β)	95% CI	Coefficient (β)	95% CI
Total health expenditures per capita	-2.29	(-6.46, 1.88)	-2.02	(-6.18, 2.14)	-2.32 <u>×</u>	(-6.56, 1.91)
Health professional density	-1.34	(-3.48, 0.79)			ĕr	
Health professional density: urban-rural ratio	2.37*	(-0.41, 5.15)			2022	
Licensed doctor density			-0.93	(-3.30, 1.44)		
Licensed doctor density: urban-rural ratio			2.10*	(-0.27, 4.46)	WI	
Licensed nurse density					-1.33 <u>o</u>	(-3.61, 0.94)
Licensed nurse density: urban-rural ratio					2.02* <del>රි</del>	(-0.34, 4.39)
GDP per capita	-0.16	(-5.09, 4.77)	-1.00	(-6.34, 4.33)	-0.34 <del>=</del>	(-5.57, 4.89)
Population living in urban areas (%)	1.82	(-15.60, 19.24)	1.83	(-15.13, 18.80)	3.03 ₹	(-14.95, 21.01)
Female (%)	-0.60	(-2.50, 1.30)	-0.87	(-2.66, 0.92)	-0.83	(-2.80, 1.14)
≥ junior middle school education (%)	3.25	(-1.66, 8.16)	2.30	(-2.25, 6.84)	3.03	(-1.72, 7.79)
adj. <i>R</i> ²	0.93		0.93		0.93 💆	

Data sources: GBD 2016, Statistical Yearbook of China (2011–2017), and the Health Statistical Yearbook of China (2011–2017)

### Notes:

All dependent and independent variables were transformed into natural logarithms for regressions except for the time dummies. The independent variables of interest and covariates were rescaled by dividing by 100. The coefficients can be interpreted as follows: every 100% increase in X can lead to a  $\beta$ % increase in Y. n April 9, 2024 by guest. Protected by copyright.

Model 1 used total health professional density (per 1,000 population) as a proxy for human resources for health.

Model 2 used licensed doctor density as a proxy (per 1,000 population) for human resources for health.

Model 3 used licensed nurse density as a proxy (per 1,000 population) for human resources for health.

Significance level: \*(p<0.10); \*\*(p<0.05); \*\*\*(p<0.01)

#### Discussion

Healthy ageing has become a focal discussion topic in today's fast-ageing world, as good health in advanced age can provide continued opportunities for social and personal development.<sup>2</sup> The World Health Organisation officially proposed the concept of healthy ageing in 2015 and published the Global Strategy and Action Plan on Ageing and Health (2016–2020) in 2016. <sup>2,45</sup> The Decade of Healthy Ageing: Plan of Action (2020–2030) was issued in 2020 and asked for a whole-of-government and whole-of-society response to healthy ageing. <sup>46</sup> In response to this international call and the needs of the world's largest ageing population, China has actively worked to address the shifts catalysed by an ageing society and promote healthy ageing. <sup>47–49</sup> We conducted this study to generate high-quality evidence to help China and similar countries be better equipped to face health challenges in ageing societies. To our knowledge, this is the first study that assesses the disease burden of ageing and its longitudinal changes in 31 provinces of mainland China from 1990 to 2016. The analysis explored disparities in ARD burdens across regions, sexes, and disease categories and used panel regression models to examine the impact of health resource indicators on the ARD burden.

Our findings underscore several key messages on the disease burden of ageing in China. First, NCDs account for over 90% of the country's total ARD burden. CVDs, neoplasms, CRDs, sense organ diseases, and neurological disorders were the top five contributors to the ARD burden. Second, there are significant regional disparities in ARD burdens. The results show tiered differences in the ARD burden, with the lowest rates in the eastern provinces, followed by the central provinces, and the highest rates in the northeastern and western provinces. Notably, the crude ARD burden rate in Sichuan was 2.1 times that of Beijing in 2016, equal to a 34·14-year age gap measured by the ARD burden-adjusted age defined in this study. Third, several western provinces will face daunting ARD-induced challenges as their populations begin to age. After age structure standardisation, Tibet, Qinghai, Guizhou, and Xinjiang had the four highest ARD burdens. However, in 2016, less than 10% of these provinces' populations were aged 65 years and older, compared to a national average of 10.8%.<sup>30</sup> Fourth, males are disproportionally affected by ARDs except for neurological disorders. Fifth, the overall age-standardised ARD burden has decreased since 1990, though the most significant decline was observed in the eastern provinces, followed by the central and western provinces. CRDs experienced the most significant decline in agestandardised burden by disease category, followed by neoplasms and CVDs. The burden rate of sense organ diseases has stayed almost unchanged since 1990. The burden of neurological disorders has increased since 1990, albeit by a small magnitude. Lastly, our results suggest that greater investment in health to reduce the urban-rural gap in the health workforce could help lower China's ARD burden.

Our study findings are consistent with previous studies that assessed the ARD burden globally and in China. <sup>21,22</sup> There is overall support for the idea that NCDs are the chief contributor to ARDs. As has been the case across the globe, the ARD burden has continuously decreased since 1990, declining most sharply in developed countries and regions. Disparities by sex are also similar; males are more negatively affected by the ARD burden and have experienced a lower historical decline. The magnitude of the burden measured in this study is slightly different from those in other studies due to differences across GBD datasets and the populations and diseases included. Our findings are novel in that we were able to measure the ARD burden and disparities across provinces in China and examine the impact of health resource indicators on the burden. Nevertheless, the regional disparities of age-standardised ARD burdens align with their health development status and are similar to the disparities in estimated healthy life expectancy. <sup>50–33</sup> The rank of NCD burdens among the ARDs is consistent with that of the disease burden among older people in China. <sup>34</sup> Interestingly, we found that increasing health expenditures or workforce density does not significantly decrease the ARD burden; instead, the key is to reduce the urban-rural gap in the health workforce density. Previous evidence has indicated that increasing health workforce density

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can improve health outcomes.<sup>23,24</sup> However, these studies focus on measuring health outcomes such as maternal mortality, infant and under-five mortality as opposed to ageing-related outcomes. In addition, these studies did not control for geographic resource differences between rural and urban areas and were conducted in countries where resources were scarce. Thus, the context was quite different from that of the present study.

The Chinese State Council published The Opinions on Strengthening Aged Care Work in the New Era in November 2021, outlining eight important action domains for addressing ageing. This document serves as a comprehensive, strategic plan to achieve healthy and active ageing in China.<sup>49</sup> The findings from the current study provide timely input into policy development for the governments of China to promote healthy ageing by reducing the disease burden of ageing. First, to reiterate the findings from previous studies, chronological age alone does not provide a strong enough basis for appropriate ageing resource planning or policymaking.<sup>21,22</sup> In China, provinces or municipalities with older populations, such as Shanghai, Beijing, and Zhejiang, have much lower ARD burdens than some younger provinces, such as Tibet and Xinjiang. This phenomenon calls for careful consideration of underlying health burdens and potential threats to resource planning and policy design to address future ageing. Second, it is crucial to continue and strengthen NCD prevention and control efforts, especially for CVDs, neoplasms, CRDs, sense organ diseases, and neurological disorders. To prevent the continued increase in the ARD burden from neurological disorders, it is imperative to allocate additional health resources for the prevention, treatment, and management of conditions such as Alzheimer's, dementia, Parkinson's, and idiopathic epilepsy. Sense organ diseases cannot be ignored, especially those leading to blindness and vision loss, which can significantly damage the quality of life for the elderly. Third, the central government should continue to provide all-around support to the regions that face the greatest threat of high ARD burdens due to future ageing, especially the western provinces of Tibet, Qinghai, Guizhou, and Xinjiang, to reduce regional disparities. Notably, that support should entail the establishment of a strong health workforce that can serve the local people. Fourth, aligned with the Rural Revitalization Strategic Plan issued by the State Council (2018–2022) in 2018,51 the central and local governments should work collaboratively to strengthen the rural health system, particularly by increasing the health workforce density to reduce the urban-rural gap.

Our team have carefully compared the difference between estimates from the GBD 2016 and 2019 study to ensure the robustness of the findings from the current study. Methodologically, the GBD 2019 study generally takes a similar approach as used in the GBD 2017 study, which has enriched data input sources, modelling parameters, disease categories, and upgraded calibration methods to get more accurate results compared to the GBD 2016 study. 35,36 Our comparison results show that the crude ARD burden rate in 2016 based on estimates from GBD 2019 is slightly higher than estimates from GBD 2016, though the difference is within 8% and as low as 2% for neoplasms. Regarding the diseases included, we did not find significant changes at level three that would impact the results of the ARD burden. The findings are consistent with the comparison conducted by a previous study on the estimates generated by the GBD 2016 and 2017 study for the subnational level of China. Therefore, subnational estimates of GBD 2016 can well represent the regional disparities of the ARD burden in China.

Our study has several limitations. First, the subnational analysis was based on the GBD 2016 study estimates, which were not updated to 2019, as we do not have access to the GBD 2019 estimates at the subnational level of China. However, this was the best data source we could access, and as stated above, the results from the GBD 2016 study are robust to show regional disparities after careful comparison. Second, our provincial analysis of the ARD burden focused on NCDs due to data availability, and we did not explore the burden of specific diseases. However, as NCDs accounted for over 90% of the ARD burden

in China, these results can accurately represent existing regional disparities. Third, the study findings tend to underestimate the ARD burden, as GBD study estimates fail to model the interactions between diseases, ignoring the burden caused by multimorbidity. Forth, though the definition used in the current study to measure the burden of age-related diseases is novel and easy to operationalise, it is only one of the many ways to measure it.<sup>21,52–54</sup> Future studies can gauge the pros and cons of different measurements and choose the most appropriate one for analysis. Fifth, we had to rely on interpolation to obtain annual estimates of burden rates from 2010 to 2016 for panel data analysis. However, the GBD research team used the same methods in their analysis.<sup>38</sup> In addition, the indicators for health resources are limited to total health expenditures and health workforce density, mainly due to data availability. Other important indicators, such as the efficiency of health funds utilisation and the quality of the health workforce, could be explored in future research when data become available.

### **Conclusion**

In conclusion, our study provides valuable and original insights into the disease burden of ageing in China and the existing regional disparities. Our results consistently show that an older demographic structure does not necessarily mean a heavier disease burden. Therefore, chronological age alone does not provide a strong enough basis for appropriate ageing resource planning or policymaking. Continuing to invest in population health by reducing the urban-rural gap in health workforce density would help reduce the disease burden of ageing in China. In so doing, the Government of China needs to develop an effective policy and mechanism to attract more health professionals to work in rural and less developed areas. The governments of China, or other countries with similar demographic and disease profiles and development contexts, need to take urgent action to decrease the disease burden of ageing to create healthy ageing societies.

## **Contributors**

SC designed the study under the supervision of KH, BL, and HB. SC extracted the data, conducted the statistical analysis in Stata, developed the tables and figures, and drafted the manuscript. SC and YS verified the data in the study. All co-authors (SC, YS, KH, BL, HB, XD, CW, and ST) contributed to commenting, editing, and approval of the final manuscript. All accept responsibility for submission to the journal for publication.

#### **Declaration of interests**

All co-authors declare no conflicts of interest for this study.

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### Data availability statement

China's subnational-level data from GBD 2016 were obtained from the IHME under a private agreement. Researchers can apply for data access at <a href="https://www.healthdata.org/about/contact-us">https://www.healthdata.org/about/contact-us</a> if interested in using the data. Other inputs data were obtained from open resources, including China Statistical Yearbook (<a href="http://www.stats.gov.cn/tjsj/ndsj/">http://www.stats.gov.cn/tjsj/ndsj/</a>) and China Health Statistical Yearbook (<a href="http://www.nhc.gov.cn/wjw/tjnj/list.shtml">http://www.nhc.gov.cn/wjw/tjnj/list.shtml</a>). Researchers can access and download the data from these websites.

Ethics statement Ethics approval Not applicable.

ication Patient consent for publication Not applicable.

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### Figure legend and footnote

## Figure 1. The crude and age-standardised age-related diseases burden in 31 provinces of mainland China, 2016

Data source: GBD 2016

Note: The age-related diseases burden was standardized using the IHME standard population age structure (appendix)

# Figure 2. The crude and age-standardised ARD burden-adjusted ages of 31 provinces in mainland China, 2016

Data source: GBD 2016

Note: The provinces were grouped into eastern, central and western provinces from top down.

The age-related diseases burden was standardized using the IHME standard population age structure (appendix)

# Figure 3. Change of the age-standardised age-related diseases burden rates by administrative region in mainland China, 1990-2016

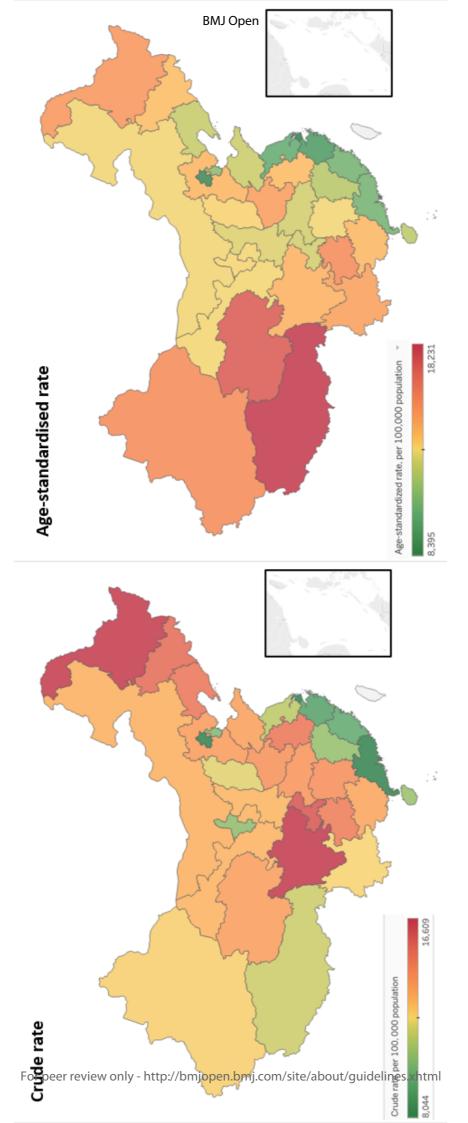
Data source: GBD 2016

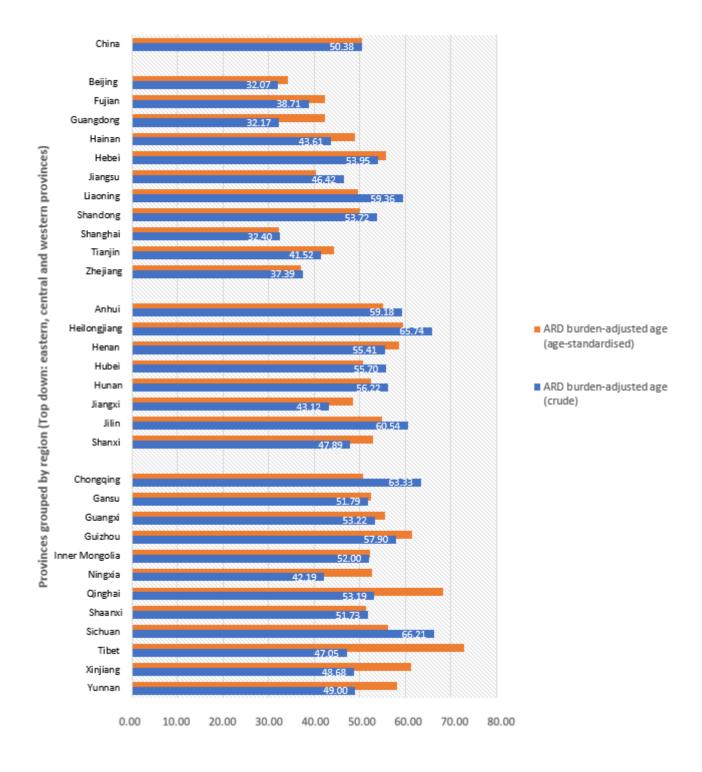
Note: The age-related diseases burden was standardized using the IHME standard population age structure (appendix)

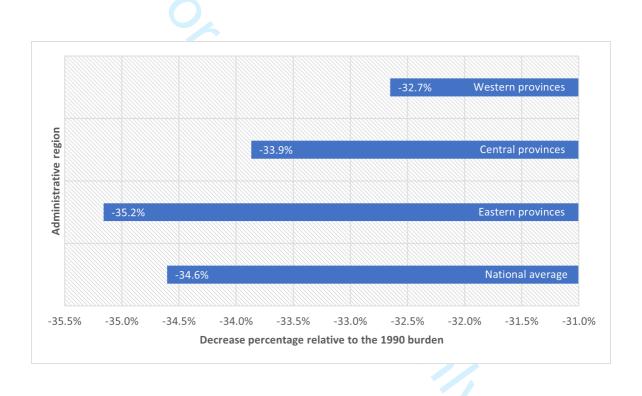
# Figure 4. Change of the age-standardised age-related diseases burden rates of the five leading ARD contributors in mainland China, 1990-2016

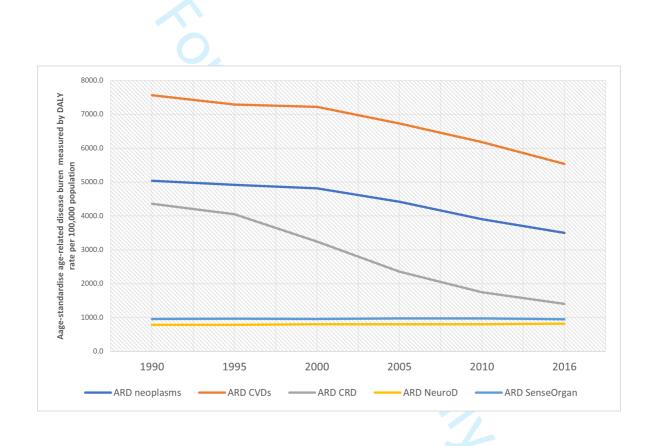
Data source: GBD 2016

Note: The age-related diseases burden was standardized using the IHME standard population age structure (appendix)









## **Appendix**

Table S1. Administrative division of provinces in mainland China

Administrative division (No.)	Province
Eastern provinces (11)	Beijing, Fujian, Guangdong, Hainan, Hebei, Jiangsu, Liaoning, Shandong, Shanghai, Tianjin, Zhejiang
Central provinces (8)	Anhui, Heilongjiang, Henan, Hubei, Hunan, Jiangxi, Jilin, Shanxi
Western provinces (12)	Inner Mongolia, Guangxi, Chongqing, Gansu, Guizhou, Ningxia, Qinghai, Shaanxi, Sichuan, Tibet, Xinjiang, Yunnan

Table S2. IHME standard age weight

Age group	Population percentage	
<5	7.2%	
5–9	8.7%	
10–14	8.4%	
15–19	8.1%	
20–24	7.8%	-
25–29	7.6%	
30–34	7.2%	• //
35–39	6.9%	
40–44	6.4%	<b>10</b> .
45–49	5.8%	4
50–54	5.3%	
55–59	4.7%	
60–64	4.1%	
65–69	3.4%	
70 +	8.6%	

### ARD burden-adjusted age calculation

First, we identified the ARD burden-adjusted age of China by comparing the national average burden rate with its burden rates by age group. We selected the two five-year age groups with the closest burden rate as the national average and calculated the national average age by assuming a linear increase in the ARD burden within each five-year age group. For example, the age-related disease burden of China was 12637.2 DALYs per 100,000 population in 2016, closest to burden rates for the age group of 45–49 (9316.3) and 50–54 (14163.7). Hence, the national age was calculated as: 45+12637.2/((9316.3+14163.7)/10)=50.38.

Second, we calculated the ARD burden-adjusted age of each province by dividing the provincial agerelated disease burden rate by the unit share of the national average burden rate per age. The unit share of the national average burden rate per age was calculated by dividing the national average burden rate by the national equivalent age. For example, the age-related disease burden of Shanghai was 8,127-4 DALYs per 100,000 population. Hence, Shanghai's ARD burden-adjusted age was calculated as: 8,127-4/(12637-2/50.38)=32-40.

### **Regression model**

$$Y_{it} = \alpha + \beta_0 healthexp_{it} + \beta_1 healthw f_{it} + \beta_2 X_{it} + \beta_3 D_t + \eta_i + \varepsilon_{it}$$

where  $Y_{it}$  is the age-standardised age-related disease burden of Province i in Year t,  $\beta_0$  is the coefficient of interest,  $healthexp_{it}$  is the total health expenditures per capita, and  $healthwf_{it}$  is the total health professional density, licensed doctor density, or licensed nurse density (per 1,000 population) of the Province i in Year t (three separate models).  $X_{it}$  is the set of covariates controlled in the model, including GDP per capita, education, the proportion of females, the proportion of people living in urban areas, and the urban-rural health workforce density ratio.  $D_t$  represents the time dummies,  $\eta_i$  the province fixed effects, and  $\varepsilon_{it}$  is the error term. All variables are in log form except for the time dummies.

We also assessed the correlation between 1) the total health expenditures per capita and health workforce density and 2) the rural/urban ratio in health workforce density and the proportion of rural/urban population to check multicollinearity before and after controlling for covariates, including GDP per capita, sex and education. The results are presented below in Table S3 and Table S4.

			BMJ Open		36/bm	
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Table S3. Regression mod				•	h expenaditures pe	<u>-</u>
Independent	Model 1	Model 2	Model 3	Model 4	Model 5	Mode

Independent Variables	Mod	el 1	Model 2		Model 3		Model 4		Model 5	Model 6	
	Coef (β)	P value	Coef (β) P value	Coef (β)	P value						
Health prof density	0.09	0.10	-0.04	0.34			••		💆		
Licensed doc density	••	••	••		0.11	0.06	0.01	0.89	: em ::		
Licensed nurse density	••	••	••	••	••	••	••	••	0.08 💆 0.09	-0.02	0.51
GDP per capita	••	••	0.37	< 0.01			0.33	<0.01	. 20	0.36	<0.01
Female (%)	**	••	0.08	0.32			0.08	0.35	: 22. :	0.08	0.33
≥ junior mid sch educ (%)	••		0.08	0.50	••	••	0.06	0.58	D	0.08	0.50
adj. R <sup>2</sup>	0.96		0.94		0.96		0.94		0.96 ⋚	0.94	

Note:

Model 1: Total health professional density as independent variable, not controlling for covariates except for time dummies

Model 2: Total health professional density as independent variable, controlling for covariates except for time dummies

Model 3: Licensed doctor density as independent variable, not controlling for covariates except for time dummies

Model 4: Licensed doctor density as independent variable, controlling for covariates except for time dummies

Model 5: Licensed nurse density as independent variable, not controlling for covariates except for time dummies

Model 6: Licensed nurse density as independent variable, controlling for covariates except for time dummies

Table S4. Regression model results: assessing correlation between urban residency and urban-rural ratio in health workforce density

Independent	Model 1		M	odel 2
Variables	Coef (β)	P value	Coef (β)	P value
Population living in urban areas (%)	-0.44	0.23	-0.06	0.90
GDP per capita		••	-0.01	0.95
Female (%)			-0.19	0.02
≥ junior mid sch educ (%)			-0.28	0.11
adj. R <sup>2</sup>	0.84		0.94	

Note:

Model 1: Population living in urban areas as independent variable, not controlling for covariates except for time dummies

Model 2: Population living in urban areas as independent variable, controlling for covariates except for time dummies

Table S5. Selected statistics of the variables in the regression model, 2010 and 2016

able S5. Sele	cted stat	istics of the	variabl	es in the	e regress	ion mo	BMJ Ope					36/bmjopen-2022-064641 (		
Variables	Variables Health ( pe (		Total health professionals density (per 1,000 population)		Urban-rural ratio in total health professionals density			Living in urban areas GDP per capita (%) (CNY)*			Fe (	641 on 16 Novem 2000	mid	ved at least dle school cation (%)
Province	2010	2016	2010	2016	2010	2016	2010	2016	2010	2016	2010		2010	2016
Anhui	1210-5	2652-2	3.1	4.7	2.4	2.3	20888:0	39561.0	44.8%	52.0%	49·2%	4837%	62·8%	65·5%
Beijing	4147-2	9429-7	13.6	10.8	1.9		73856-0	118198-0	86.2%	86.5%	48-4%	486% nloa 492%	87.6%	88.6%
Chongqing	1501.0	3492-2	3.4	5.9	1.4	2.0	27596·0	58502.0	55.0%	62.6%	49·4%	4922% ed f	61.0%	64·1%
Fujian	1280-1	3226-8	4.1	5.7	2.8	2.5	40025.0	74707-0	58.1%	63.6%	48.6%	4921%	63.0%	61.7%
Gansu	1153.9	2889-2	3.7	5·2	2.0	2.2	16113.0	27643.0	37.2%	44.7%	48.9%	49 <sup>7</sup> //bn	56.5%	57.9%
Guangdong	1445-9	3812-5	5.3	6.0	3.2	3.2	44736.0	74016-0	66.5%	69.2%	47.8%	469% 469%	73·2%	74·3%
Guangxi	1116-9	2557:0	3.6	6.0	2.2	2.2	20219-0	38027-0	41.8%	48.1%	48.0%	489%	62·5%	67·4%
Guizhou	946-6	2472·4	2.5	5.8	4.2	3.9	13119.0	33246-0	35.0%	44.2%	48.3%	484%	48.8%	54·6%
Hainan	1193.0	3306·8	4.4	6.3	2.3	3.3	23831.0	44347.0	50.5%	56.8%	47.4%	473% Pril	72·1%	73.0%
Hebei	1253.8	2710-6	4.0	5.3	3.2	2.7	28668-0	43062.0	45.6%	53.3%	49.3%	489% 2029 495%	69.9%	69·7%
Heilongjiang	1580-2	3133-4	5.0	5.8	2.3	2·3	27076-0	40432.0	56.5%	59.2%	49-2%		73.0%	73·3%
Henan	1134.0	2594-0	3.5	5.7	3.0	3.2	24446.0	42575.0	40.6%	48.5%	49.5%	√ <b>9</b> 0% 4∰St.	68·2%	69.8%
Hubei	1191.1	3270-6	4.2	6.5	2·1	2.2	27906·0	55665.0	51.8%	58.1%	48.6%	48 <del>7</del> 7%	69.8%	70.5%
Hunan	1042·1	2821.0	3.8	5.8	2.9	2.9	24719.0	46382.0	45.1%	52.8%	48.6%	489% by	67·1%	71.2%

	BMJ Open									36/bmjopen-2022					
Inner Mongolia	1767.5	3599-7	5·1	6.8	2.7	2.6	47347.0	72064-0	56.6%	61.2%	48·1%	495%	69-9%	73·3%	
Jiangsu	1566.0	4200-2	4.4	6.5	2.0	2·1	52840-0	96887.0	61.9%	67.7%	49.6%	49 <del>6</del> % 49 <del>6</del> % 49 <del>6</del> %	70.9%	71.5%	
Jiangxi	992.0	2374-8	5·1	6.1	1.8	2·1	21253.0	40400.0	45.7%	53.1%	48·2%	4870% N	65.6%	64·1%	
Jilin	1653-9	3501·19	5.5	6-3	2.5	2.9	31599-0	53868.0	53.4%	56.0%	49.3%	49mbe	69.8%	73.6%	
Liaoning	1765-9	3390-9	4.7	6.6	3.0	2.6	42355.0	50791.0	64.0%	67.4%	49·4%	49 <b>.5</b> %	75.5%	78.3%	
Ningxia	1190·1	3730-5	4.5	6-2	4-4	5.4	26860-0	47194-0	49.8%	56.3%	48.8%	48:4%	61.3%	66.5%	
Qinghai	1472.0	4043-1	4.7	7.6	2.0	2.0	24115.0	43531.0	46.3%	51.6%	48·2%	485% ad	49.8%	51.8%	
Shaanxi	2040-7	3535.7	4.7	6.5	1.7	2.2	27133-0	51015.0	47.3%	55.3%	48.3%	495%	69.8%	71.5%	
Shandong	1403·1	3372-7	9.7	7-4	1.3	1.6	41106-0	68733:0	50.9%	59.0%	49-4%	499%	67·5%	69-0%	
Shanghai	2828·1	7596-0	5.6	6.1	2.6	3.2	76074-0	116562-0	89.3%	87.9%	48.5%	485% njo	83.6%	83.5%	
Shanxi	1297-5	2650-3	3.4	4.8	2.7	3.0	26283-0	35532.0	49.7%	56.2%	48.6%	485%	74·1%	77.5%	
Sichuan	1019-1	3238-6	3.6	6.0	2.0	2.0	21182-0	40003.0	41.8%	49.2%	49-2%	50-1%	57·2%	58-9%	
Tianjin	2737·3	5294-2	7.1	6.1	1.4	1.1	72994-0	115053.0	80.5%	82.9%	46.6%	466%	80.5%	82.0%	
Tibet	1472.0	3780-9	3.4	4.5	4.9	4.1	17027:0	35184-0	22.8%	29.6%	48.6%	A 495% 9,	26.4%	29.6%	
Xinjiang	1676-8	4012-9	5.7	7.1	3.2	2.4	25034.0	40564-0	43.5%	48.3%	48.7%	48 <del>2</del> 2%	66·1%	65.5%	
Yunnan	1107-2	2754·1	3.2	5-2	3.2	3.3	15752.0	31093-0	36.8%	45.0%	48·1%	495% 4959ue	48·3%	52.5%	
Zhejiang	2099-0	4603.8	6.1	7.7	1.8	1.8	51711.0	84916-0	62.3%	67.0%	48.6%	47.9% Pro	64.7%	66.0%	

<sup>\*</sup>CNY=Chinese Yuan, exchange rate: 1USD≈6.37CNY (Dec 3, 2020)

Data source: National Statistical Yearbook of China (2011, 2017) and National Health Statistical Yearbook of China (2011, 2017)

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# Checklist of information that should be included in new reports of global health estimates

Item	Checklist item	Reported
#		on page #
	ives and funding	
1	Define the indicator(s), populations (including age, sex, and geographic entities), and	4
	time period(s) for which estimates were made.	
2	List the funding sources for the work.	6
Data Ir		
	ll data inputs from multiple sources that are synthesized as part of the study:	
3	Describe how the data were identified and how the data were accessed.	4 & 9
4	Specify the inclusion and exclusion criteria. Identify all ad-hoc exclusions.	4
5	Provide information on all included data sources and their main characteristics. For each	4 & 5
	data source used, report reference information or contact name/institution, population	
	represented, data collection method, year(s) of data collection, sex and age range,	
	diagnostic criteria or measurement method, and sample size, as relevant.	
6	Identify and describe any categories of input data that have potentially important biases	4 & 5 & 9
	(e.g., based on characteristics listed in item 5).	
For de	ata inputs that contribute to the analysis but were not synthesized as part of the study:	
7	Describe and give sources for any other data inputs.	4 & 5 & 9
For a	ll data inputs:	
8	Provide all data inputs in a file format from which data can be efficiently extracted (e.g., a	9 and
	spreadsheet rather than a PDF), including all relevant meta-data listed in item 5. For any	appendix
	data inputs that cannot be shared because of ethical or legal reasons, such as third-party	
	ownership, provide a contact name or the name of the institution that retains the right to	
	the data.	
Data a	nalysis	
9	Provide a conceptual overview of the data analysis method. A diagram may be helpful.	4 & 5
10	Provide a detailed description of all steps of the analysis, including mathematical	4 & 5
	formulae. This description should cover, as relevant, data cleaning, data pre-processing,	
	data adjustments and weighting of data sources, and mathematical or statistical	
	model(s).	
11	Describe how candidate models were evaluated and how the final model(s) were	4 & 5
	selected.	
12	Provide the results of an evaluation of model performance, if done, as well as the results	7 & 15 & 22
	of any relevant sensitivity analysis.	& 23
13	Describe methods for calculating uncertainty of the estimates. State which sources of	5
	uncertainty were, and were not, accounted for in the uncertainty analysis.	
14	State how analytic or statistical source code used to generate estimates can be accessed.	5 & 6
Result	s and Discussion	
15	Provide published estimates in a file format from which data can be efficiently extracted.	12-15
16	Report a quantitative measure of the uncertainty of the estimates (e.g. uncertainty	6,7,15
	intervals).	, ,
17	Interpret results in light of existing evidence. If updating a previous set of estimates,	6-9
	describe the reasons for changes in estimates.	- <del>-</del>
40	Discuss limitations of the estimates. Include a discussion of any modelling assumptions or	8-9
18		

This checklist should be used in conjunction with the GATHER statement and Explanation and Elaboration document, found on gather-statement.org

Totoest exist only