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Protocol for the development of Core Outcome Sets for Early intervention trials to Prevent Obesity in Children (COS-EPOCH)

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3 **Protocol for the development of Core Outcome Sets for Early intervention trials to Prevent Obesity**
4 **in Children (COS-EPOCH)**
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Abstract

Introduction

Childhood overweight and obesity is prevalent in the first five years of life, and can result in significant health and economic consequences over the lifetime. The outcomes currently measured and reported in randomised controlled trials of early childhood obesity prevention interventions to reduce this burden of obesity are heterogeneous, and measured in a variety of ways. This variability limits the comparability of findings between studies, and contributes to research waste. This protocol presents the methodology for the development of two core outcome sets (COS) for obesity prevention interventions in children aged from 1 to 5 years from a singular development process: (i) a COS for interventions targeting physical activity and sedentary behaviour; and, (ii) a COS for interventions targeting child feeding and dietary intake. Core outcomes related to physical activity and sedentary behaviour in children ≤ 1 year of age will also be identified to complement an existing COS of infant feeding, and provide a broader set of core outcomes in this age range. This will result in a suite of COS useful for measuring and reporting outcomes in early childhood obesity prevention intervention trials.

Methods and analysis

Development of the COS will follow international best practice guidelines. A scoping review of trial registries will identify commonly reported outcomes and associated measurement instruments. Key stakeholders involved in obesity prevention, including policy-makers/funders, parents, researchers, health practitioners, and community and organisational stakeholders will participate in an e-Delphi study and consensus meeting regarding inclusion of outcomes in the COS. Finally, recommended outcome measure instruments will be identified through literature review and group consensus.

Ethics and dissemination

Deakin University Human Research Ethics Committee was obtained (HEAG-H 231_2020). The COS will be disseminated through peer-reviewed publications and engagement with key stakeholders.

Registration

Core Outcome Measures in Effectiveness Trials Initiative (<http://www.comet-initiative.org/Studies/Details/1679>); Open Science Framework (osf.io/snv5e).

Article summary

Strengths and limitations of this study

- Development of core outcome sets (COS) will assist in determining the outcomes that should be measured, and how they should be measured, following Core Outcome Measures in Effectiveness Trials (COMET) guidelines.
- Engagement with key stakeholders and a steering group comprising experts in the field of early childhood obesity prevention will ensure relevance and facilitate dissemination and uptake of the COS.
- The large number of possible outcomes for inclusion may present a risk for lack of consensus on core outcomes or outcome measurement instruments.
- This risk will be minimised through the development of a suite of COS, through a singular COS development process.

Key words: Core outcome set, pediatric obesity, early childhood, obesity prevention intervention

Protocol for the development of Core Outcome Sets for Early intervention trials to Prevent Obesity in Children (COS-EPOCH)

INTRODUCTION

Overweight and obesity in early childhood is a significant issue, with 41 million children aged from birth to five years now affected globally¹. Addressing childhood overweight and obesity has been identified as critically important¹, particularly given that children with overweight and obesity are five times more likely to be obese in adulthood compared to their healthy weight peers². Evidence suggests that efforts to alter obesity trajectories into adulthood should ideally commence before six years of age³, highlighting the need for effective and cost-effective childhood obesity prevention interventions in the early years of life.

There are a number of risk factors for early childhood overweight and obesity, including poor nutrition, insufficient physical activity or sleep, and excess sedentary behaviours¹. Given this wide range of risk factors, there are currently a large number of outcomes reported from obesity prevention intervention studies in children aged from birth to five years⁴⁻⁶. There are also a wide range of methods currently used for measuring relevant outcomes, which limits consistency and comparability of findings between studies and can lead to research waste⁷. Variation also makes evidence synthesis via retrospective meta-analysis very difficult, if not impossible, due to limitations in combining data that has been collected, measured or reported using different methods⁸.

Core outcome sets (COS) are agreed minimum sets of outcomes recommended for measurement in studies of specific conditions or areas of health or health care⁹. COS aim to improve the consistency of measurement and reporting of outcomes from RCTs, potentially leading to better informed resource allocation and decision-making through improved comparability and transparency of study findings. COS are currently in development for obesity prevention interventions in children delivered in the school setting and for children with obesity aged over five years exposed to physical activity interventions¹⁰. A COS has been developed to identify the minimum outcomes that should be measured and reported in trials of infant-feeding interventions to prevent childhood obesity^{11,12}, recommending 26 outcomes for inclusion in trials of feeding interventions involving infants aged ≤ 1 year of age.

To date, COS that could be applied more broadly to early childhood obesity prevention interventions spanning the wider range of risk factors, and for prevention interventions in children aged from birth to five years, are not available. This is despite the growing number of early childhood obesity

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3 prevention interventions targeting multiple risk factors^{13,14}. Early childhood represents a time of rapid
4 growth and development, particularly in infancy (up to 1 year of age). Early childhood obesity
5 prevention interventions typically take place within a broad range of settings (e.g. community, home,
6 early childhood education and care). Intervention component/s related to lifestyle also commonly
7 target a number of risk factors (e.g. diet, physical activity, sedentary behaviour, sleep,
8 parent/caregiver practices) at the individual (i.e. child/parent) or family level.
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11 To account for this heterogeneity, this paper describes the protocol for the development of a suite of
12 COS for trials of early childhood obesity prevention interventions, developed through a singular COS
13 process. We will build on a published COS for trials of infant-feeding interventions¹² to develop a COS
14 for obesity prevention trials targeting the broader range of risk factors and commencing either
15 prenatally or from birth until children are ≤ 1 year of age. This will result in tailored advice on the
16 outcomes recommended for collection and reporting in interventions targeting multiple risk factors
17 in infancy. We will also develop two COS for obesity prevention trials targeting the broader range of
18 lifestyle-related risk factors and commencing in children aged from >1 to 5 years. The first COS will be
19 useful for trials of physical activity, sleep and sedentary behaviour interventions. The second COS will
20 be useful for trials of feeding and dietary interventions in children aged from >1 to 5 years. When
21 considered holistically, the suite of COS produced will provide valuable information to trialists of
22 interventions targeting multiple risk factors for obesity in the early years of life. Publication of this
23 protocol aims to enhance transparency of this COS development process, and may also help to reduce
24 potential bias⁹.
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41 **Project oversight**

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43 An international Steering Group will be formed to provide expert oversight and guide the development
44 of the COS, chaired by the lead author (VB). The members of the steering group will be selected based
45 on their expertise in early childhood obesity prevention intervention and outcome measurement.
46 Initially members will be identified through the member and affiliate base of the National Health and
47 Medical Research Council (NHMRC) funded Centre for Research Excellence in the Early Prevention of
48 Obesity in Childhood (CRE EPOCH, APP1101675). These steering group members will then
49 recommend international experts and key contacts within the field of early childhood obesity
50 prevention intervention for invitation as Steering Group members.
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METHODS AND ANALYSIS

This study was prospectively registered on the Core Outcome Measures in Effectiveness Trials (COMET) Initiative registry of COS (registration number 1679, <http://www.comet-initiative.org/Studies/Details/1679>). The study will be conducted between June 2020 and December 2021. Development of the COS will follow the Core Outcome Set-STAndards for Development (COS-STAD) recommendations^{9,15}. The reporting of this protocol follows the recommendations of the Core Outcome Set-STANDARDISED Protocol Items (COS-STAP) Statement¹⁶.

COS development generally involves defining 'what' to measure, and then deciding 'how' to measure these outcomes⁹. A first step towards defining 'what' to measure might typically consist of a systematic review of outcomes being reported in relevant studies, or searches of clinical trial registries for reported outcomes from relevant RCTs⁹. Further steps in the development of a COS include achieving consensus agreement using methods such as expert panels, Delphi surveys and consensus meetings^{9,15}. Development of this COS will therefore consist of three stages (Figure 1):

Stage 1 – A scoping review of early childhood obesity prevention intervention RCTs, identifying potential outcomes and outcome measurement instruments;

Stage 2 – A modified Delphi study to determine core outcomes by relevant stakeholder group, followed by a consensus meeting to finalise core outcome recommendations;

Stage 3 – Determination of recommended measurement instruments for core outcomes, through literature review and consensus meeting

Figure 1 – Overview of project stages

Stage 1: identifying potential outcomes

A systematic scoping review of early childhood obesity prevention intervention RCTs will be undertaken, to identify potential outcomes for inclusion in Stage 2 of our study. Scoping reviews are useful for clarifying working definitions and conceptual boundaries of a topic or field¹⁷ and aim to provide an overview or map of the evidence in a particular area¹⁸. The scoping review will follow Joanna Briggs Institute guidelines for conducting a scoping review¹⁷. The scoping review protocol has been published on Open Science framework (<https://osf.io/sn5e>) and reporting of the scoping review will follow the PRISMA extension for scoping reviews (PRISMA-ScR)¹⁹.

We will conduct a search of publicly available clinical trial registries (clinicaltrials.gov and via the World Health Organisation International Clinical Trials Registry Platform (WHO ICTRP)), using a pre-defined search strategy (Table 1) to identify the outcomes of interest in registered early childhood obesity prevention intervention RCTs.

Table 1 – Search strategy

Registry	Search strategy
World Health Organisation International Clinical Trials Registry Platform (WHO ICTRP)	The “Advanced search” option will be selected, and the following fields will be populated: Title: prevent OR prevention Condition: obesity OR overweight Recruitment status: all Limit: search for clinical trials in children Status: all
Clinicaltrials.gov	The “Advanced search” option will be selected, and the following fields will be populated: Condition or disease: Obesity OR obese OR adiposity OR overweight Age: Child (Birth-17 years) Type of studies: interventional studies Other terms: prevent OR prevention

Identified records will be exported into Microsoft Excel and screened for inclusion by two reviewers, with any conflicts resolved by a third reviewer. Registered studies that meet the following inclusion criteria will be included:

- Randomised;
- In any stage of research (e.g. recruiting, active, complete);
- Have the aim of preventing childhood obesity (i.e. stated as a primary or secondary aim; specified within the trial registry as condition/disease: obesity);
- Start interventions in the **first five years of childhood**, or antenatally;
- Continue interventions for at least six months postnatally;
- Undertake implementation of an intervention that includes a component related to lifestyle (e.g. diet, parent/caregiver practices, physical activity, sedentary behaviour, sleep). Lifestyle interventions are defined as interventions that promote change in lifestyle behaviours for the prevention of unhealthy weight gain²⁰;
- Any length of follow up time.

Studies will be excluded if they include a targeted or treatment intervention for overweight or obesity or for those at risk of overweight or obesity (i.e. participant inclusion criteria above healthy weight for

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3 either parent or child; identify as treatment trial type in register; targeted to participants with specific
4 body weight or BMI percentile inclusion criteria that includes above healthy weight) or if they are
5 undertaken in an admitted patient hospital setting or in special groups (e.g. pre-term infants, children
6 with cerebral palsy). Studies will also be excluded if they are undertaken in the primary school or
7 after-school setting with primary school aged children, despite the fact that some children beginning
8 school will be less than 5 years of age. This exclusion criteria was designed to avoid duplication with
9 a COS for obesity prevention interventions delivered in the school setting that is currently under
10 development¹⁰. Studies will also be excluded if the unit of intervention does not include the child (i.e.
11 higher-level outcomes reported, not including child level health outcomes; intervention content only
12 at the environmental level or intervention content delivered only to individuals within organisations
13 (e.g. healthcare professionals, childcare providers), with no parent/caregiver/child-directed content).
14 This does not preclude interventions directed at parents/caregivers only, but with child outcomes.

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17 Study inclusions will also be cross-referenced to the recently published Cochrane review study by
18 Brown et al.²¹ that included obesity prevention intervention RCTs in children aged under five years.
19 The Cochrane review search strategy²¹ will be updated to November 2020 and re-run in Ovid Medline,
20 and potential studies will be screened for inclusion by two reviewers. This will ensure our dataset
21 reflects both studies that have been registered in trial registries, and studies that may not have been
22 registered but reported results.

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25 A data extraction tool will be developed in Microsoft Excel, based on COMET recommendations⁹.
26 Outcome extraction from the source will be verbatim by two independent reviewers, to maintain
27 transparency⁹. Data will include trial registration number, public or scientific study title, study
28 acronym, study start date, study completion date, recruitment status, study aim and/or hypothesis,
29 RCT study type, recruitment country, setting, intervention summary, comparator summary,
30 participant inclusion criteria, sample size, participant age, primary and secondary outcomes reported,
31 outcome measurement instruments, outcome definitions, timepoints of assessment, links to
32 publications, primary study contact and sponsor information. Where links to relevant publications
33 have been provided, we will search these publications for more detailed data. Where links to relevant
34 publications are not supplied, we will search for unlinked publications using keyword searches related
35 to the trial name and lead author in the Scopus and GoogleScholar databases. Any additional data
36 from linked or unlinked publications will also be extracted verbatim, to maintain transparency⁹ and
37 will be extracted by two reviewers.

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3 It is expected that a long and varied list of outcomes and outcome measurement methods will be
4 generated ¹¹. While recently there has been more published research exploring obesity intervention
5 taxonomies ^{22,23}, there has been less focus on taxonomy structures focusing specifically on outcomes
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8 ²⁴. To the best of our knowledge, a comprehensive and validated taxonomy fit for our specific purpose
9 has not been developed. Therefore we will take a data-driven approach, whereby outcomes will be
10 grouped into outcome domains based on relevant risk factor/s for obesity (e.g. physical activity,
11 sedentary behaviour, dietary intake, sleep, parent/caregiver practices) and applicable outcome
12 domains from a taxonomy developed for outcomes in medical research at an individual-participant
13 level (e.g. anthropometry, emotional functioning/wellbeing, cognitive functioning, economic)²⁴.
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Outcomes with similar definitions or themes within each domain will be merged, via a consensus
process with members of the steering group with expertise in each outcome domain ¹¹. Sub-domains
(e.g. child feeding practices, screen time) will be identified based on key literature conceptualising
outcome domains ²⁵⁻²⁸. Categorisation of each verbatim outcome definition to an outcome domain
and sub-domain will be performed initially by one reviewer (VB), with final consensus sought from
members of the steering group. Outcome frequencies will be estimated and presented in outcome
matrices to visually represent the frequency, consistency, and disparity of outcome reporting across
studies ¹¹, stratified by age (i.e. interventions in children aged ≤ 1 year; and, >1 to 5 years) and risk
factor/s targeted (i.e. nutrition, physical activity, sedentary behaviour, multiple risk factors).
Outcome matrices will be based on the Outcome Reporting Bias in Trials project outcome matrix ²⁹, as
recommended by the COMET initiative ⁹ and used in a previous COS study investigating infant feeding
outcomes ¹¹.

The quality of included trials with respect to their measurement properties will not be assessed as
part of Stage 1 of this project, in accordance with some of the most recently published research on
COS development ^{30,31}. While previous studies have conducted quality assessment of the
measurement properties of included studies by adapting six items from the COSMIN ³², these criteria
have not been well-validated for this purpose ³⁰ and there is a lack of transparency in how scores can
be attributed to studies with multiple outcomes that are reported heterogeneously. For instance, one
of the criterion asks *'Is the primary outcome clearly defined so that another researcher would be able
to reproduce its measurement? Where appropriate, this should include clear description of time points,
the person measuring the outcome, how the outcome was measured (for example, tools and methods
used) and where the outcome was measured.'* It is not clear however how studies that report more
than one primary outcome, or that may include a clear description of time points but not the person
measuring the outcome, should be scored. Further, descriptors of reporting quality are not
considered integral components of the review stage for COS development ³⁰.

Stage 2 –determining core outcomes

An electronic Delphi (e-Delphi) study will be undertaken, in accordance with published recommendations on outcome consensus using the Delphi technique and the recommendations of the COMET Initiative³³. The Delphi technique is a widely used methodology in health research³⁴, with the approach taking the findings from the Stage 1 scoping review and aiming to achieve consensus on core outcomes for inclusion in the COS.

Stakeholders, including (i) policy-makers/funders, (ii) parents/caregivers, (iii) researchers, (iv) clinicians and health practitioners, and (v) community and organisational stakeholders to obesity prevention interventions (for instance, representatives from settings where interventions are undertaken such as Maternal Child Health centres, childcare; health promotion organisations), will be invited to participate. This will allow for differences in opinion between different stakeholder groups to be identified, if they exist³³. As there is no consensus on the number of participants or rounds required for a Delphi study^{34,35}, membership to the e-Delphi panels will be balanced across stakeholder groups and capped at 150 participants to maintain feasibility (i.e. a maximum of 30 participants per stakeholder panel). Recent evidence suggests that a smaller sample size of between 8 and 15 participants may be sufficient for relatively homogeneous participant groups, but that larger sample sizes can help to ensure generalizability³⁴.

Recruitment of participants will be undertaken using purposive and snowball sampling. Preliminary lists of potential policy-maker/funder, researcher, clinician/health care practitioner and community/organisational stakeholders will be generated from key contacts of steering group members and the obesity prevention literature. Information on the study and invitations to participate will be sent to publicly available email addresses. Those consenting to participate from these stakeholder groups will be encouraged to circulate study details among their professional networks. Potential parent/caregiver participants will be recruited using social media and recruitment posters at sites that parents/caregivers likely visit (e.g. childcare centres). Inclusion criteria for parents/caregivers will include having at least one child aged from birth to five years; being fluent in English; and, being able to freely give informed consent. All consenting parent/caregiver participants will be asked to circulate study information to friends meeting the inclusion criteria.

Participants will be allocated a unique identifier to anonymise their responses, and will be asked to commit to completion of the 3 rounds of the e-Delphi study. Rounds will be open for a 3-week period, and to maximise response rates an automated reminder email will be sent to participants yet to

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3 complete their survey on days 7 and 14. If required, additional strategies such as extending survey
4 deadline(s) and personalised reminders will be discussed with the Steering Group and may be utilised
5 to boost response. The time between rounds will not exceed four weeks, which will allow for data
6 analysis and set-up but not be so long as to risk increased participant attrition over time.
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10 The steering group will be consulted in the development of the online questionnaires, which will be
11 developed using the COMET DelphiManager software and pilot-tested to ensure feasibility. Outcomes
12 will be presented by COS and domain, with the ordering of domains randomised. Outcomes per
13 domain will be presented in alphabetical order and a plain language definition of each outcome will
14 be provided. Participants will rate the importance of each outcome based on a 9-point Likert scale
15 anchored between 1 and 9, and will be asked to enter comments on their choice of ranking for each
16 outcome. The scale for responses will be based on GRADE, where 1-3 signifies an outcome that is 'not
17 that important', 4-6 'important but not critical' and 7-9 'critically important'³⁶. Participants will also
18 be asked to list up to three additional outcomes they feel should be included in the survey. Responses
19 will be collected and analysed both within and between groups of panel members (group mean,
20 median, strength of agreement using mean absolute deviation from the median (MADM)³⁷). Levels
21 of agreement using the MADM will be defined using values from the literature (low>1.41; moderate
22 1.08-1.41; high <1.08)³⁷. Consensus will be defined as^{38,39}:
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- 25 1. Consensus include as a core outcome: over 75% of participants in each stakeholder group
26 score the outcome domain as 'critically important' for inclusion in the relevant COS AND <15%
27 of participants in each stakeholder group score outcome domain 'of limited importance';
 - 28 2. Consensus do not include as a core outcome: over 75% of participants in each stakeholder
29 group score domain 'of limited importance' for inclusion in the relevant COS AND <15% of
30 participants in each stakeholder group score outcome domain 'critical';
 - 31 3. No consensus: all other combinations
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45 Additional outcomes listed by study participants in round 1 will be reviewed by the steering group for
46 inclusion into round 2. During the second round of the survey, participants will receive a summary of
47 their responses for round 1 and the distribution of scores by stakeholder group. Participants will be
48 invited to review their round 1 ratings, and re-rate outcomes from 1 to 9. Outcome ratings for round
49 2 will be analysed as for round 1. The outcomes that have reached consensus for inclusion, and the
50 outcomes where no consensus has been reached, will be included in round 3. Outcomes that reach
51 consensus to not include as a core outcome will not be brought forward to round 3. In round 3
52 participants will again receive a summary of their responses and the distribution scores by stakeholder
53 group for these outcomes and will be asked to re-rate for the final time. Ratings from round 3 will be
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3 analysed as per the previous two rounds to determine consensus on outcomes for inclusion, outcomes
4 not to include, and outcomes for which there is no consensus on inclusion or exclusion.
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7 Results from the e-Delphi study will be narratively and quantitatively compared between stakeholder
8 panels, and will be presented at a consensus meeting with key stakeholders and the Steering Group.
9 Participants from the wider e-Delphi cohort will be asked at the end of the third round of the e-Delphi
10 survey to express their interest in participating in two half-day consensus meetings over a six month
11 period. If consent to participate is high, up to four members from each stakeholder group will be
12 randomly selected to participate (n=20). At a minimum, one member from each stakeholder group
13 will be recruited to participate. The first consensus meeting will use the modified nominal group
14 technique and will be conducted virtually in accordance with COVID19 travel restrictions and to
15 maximise input from international stakeholder experts.
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18 The aim of this consensus meeting will be to develop the final suite of COS for early childhood obesity
19 prevention interventions. At the start of the meeting, the study background, aims and a lay definition
20 of a COS will be presented. The same process will then be followed to reach consensus on each COS.
21 Participants will be presented with the outcomes for which consensus for inclusion and consensus to
22 not include has been reached through the e-Delphi process, and will be asked to briefly discuss. The
23 outcomes that have not reached consensus through the e-Delphi process will then be presented to
24 participants. Participants will be asked to consider which outcomes they most and least strongly
25 supported for inclusion. Following this discussion, participants will be asked to anonymously vote
26 each outcome as 'yes' or 'no' for inclusion in the final COS. Outcomes $\geq 70\%$ or more of participants
27 rated as 'yes' for inclusion will be briefly discussed a final time, followed by a discussion on all other
28 outcomes. Participants will be invited to discuss the order of importance of outcomes, the similarity
29 of outcomes (both within and between the COS), the relative importance of outcomes and the
30 feasibility of collecting and reporting each outcome. Following this discussion, a final voting process
31 will be undertaken. For inclusion in the final COS, $\geq 70\%$ of participants will be required to vote 'yes'
32 for inclusion of an outcome. Findings of the full COS process will be reported following the COS-STAR
33 (Core Outcome Set-Standards for Reporting) guidelines ⁴⁰.
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50 **Stage 3 - Determining recommended measurement for core outcomes**

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52 It is also important to establish how the outcomes in a COS should be defined and measured ⁹. We
53 will follow the recommendations of the joint initiative between COMET and Consensus-based
54 Standards for the selection of health Measurement Instruments (COSMIN) for selected outcome
55 measurement instruments for outcomes included in a COS ⁴¹. Outcome measurement instruments
56 commonly utilised in early childhood obesity prevention interventions will be identified across the
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3 studies in our scoping review (Stage 1) and frequency of outcome measurement instruments will be
4 reported. A systematic literature review will be conducted in PubMed, MedLine and Embase by two
5 reviewers, aiming to identify and critically appraise, compare and summarise the quality of the
6 measurement properties of the identified outcome measurement instruments for early childhood
7 obesity prevention interventions identified in the scoping review⁴². Validated search filters for finding
8 studies on measurement properties are available from the COSMIN website, and will be utilised^{43,44}.

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14 Studies will be included in the systematic literature review if the outcome measurement instrument
15 measures the construct of interest in children aged from birth to five years, including by either self- or
16 parent/caregiver report. Included studies should aim to summarise the development of the outcome
17 measurement instrument, or to evaluate one or more of its measurement properties or its
18 interpretability⁴². The COSMIN Risk of Bias checklist will be used to assess the methodological quality
19 of the measurement properties of outcome measurement instruments. The quality of evidence and
20 strength of recommendations will align with the COSMIN and Grading of Recommendations
21 Assessment, Development and Evaluation (GRADE) guidelines⁴⁵.

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28 Outcome measurement instruments will be ranked for inclusion in the relevant COS according to the
29 quality of evidence for all measurement properties and presented to key stakeholders and the steering
30 group at the second virtual consensus meeting. Outcome measurement instruments will be presented
31 according to published criteria for good measurement properties⁴¹, alongside the minimum
32 requirements for inclusion of an instrument in a COS (i.e. at least high quality evidence for good
33 content validity and for good internal consistency; and if the outcome measurement instrument is
34 feasible)⁴¹. After group discussion participants will be asked to anonymously vote on outcome
35 measurement instruments for inclusion into the COS. Outcome measurement instruments that $\geq 70\%$
36 or more of participants rated as 'yes' for inclusion will be discussed. Where possible, only one
37 outcome measurement instrument will be selected for each outcome in the relevant COS, following
38 the final round of anonymous voting by meeting participants.

49 **ETHICS AND DISSEMINATION**

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52 Ethics approval has been obtained from the Deakin University Human Research Ethics Committee
53 (DUHREC; HEAG-H 231_2020). A dissemination plan for the COS for Early Prevention of Obesity in
54 Childhood (COS-EPOCH) will be developed by the steering group early in the project. The involvement
55 of experts and key stakeholders in the design of the COS will facilitate uptake⁹. A two-page infographic
56 summary of each COS will also be developed, and will be sent to all study participants and stakeholders
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3 for early childhood obesity prevention intervention. Study findings will be reported in peer-reviewed
4 publications and presented on relevant websites (such as the National Collaborative on Childhood
5 Obesity Research and the World Obesity Federation) and at international conferences.
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9 COS are increasingly recognised as valuable research tools, and have been actively endorsed by
10 trialists, research funding bodies, regulatory authorities, systematic review groups including the
11 Cochrane Collaboration, and journal editors ¹⁰. This study aims to develop a suite of COS for early
12 childhood obesity prevention interventions, through a singular COS development process. Together,
13 these COS will provide trialists with agreed, standardised sets of outcomes spanning the early
14 childhood timeframe that takes into account this unique period of child development and
15 incorporates interventions targeting multiple risk factors. The multiplicity of potential outcomes for
16 inclusion into any COS will be a significant challenge in development. By following rigorous
17 methodological processes and involving a number of key stakeholder groups, we hope to minimise
18 this challenge and provide guidance to the growing number of researchers conducting trials in this
19 important field. The COS will also recommend outcome measurement instruments for data collection,
20 that can contribute to improved evidence synthesis across early childhood obesity prevention
21 intervention studies in the future.
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Author contributions

VB conceived the study, with significant input from all authors. VB, MS, MT, KH, RB, DZ, ALS, KMS will be involved in data acquisition, analysis and interpretation of data and will provide technical and administrative support. RG, RT, KDH, KMS and MM will be involved in data analysis and interpretation, and will provide expert oversight of all aspects of the project. VB drafted the manuscript. All authors critically revised the manuscript and provided expert analysis. All authors have read and approved the manuscript.

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Competing interest statement

The authors declare no competing interests.

Data statement

Data will be available from the authors upon request.

Patient and public involvement

This research will be done with public involvement, as per the study protocol.

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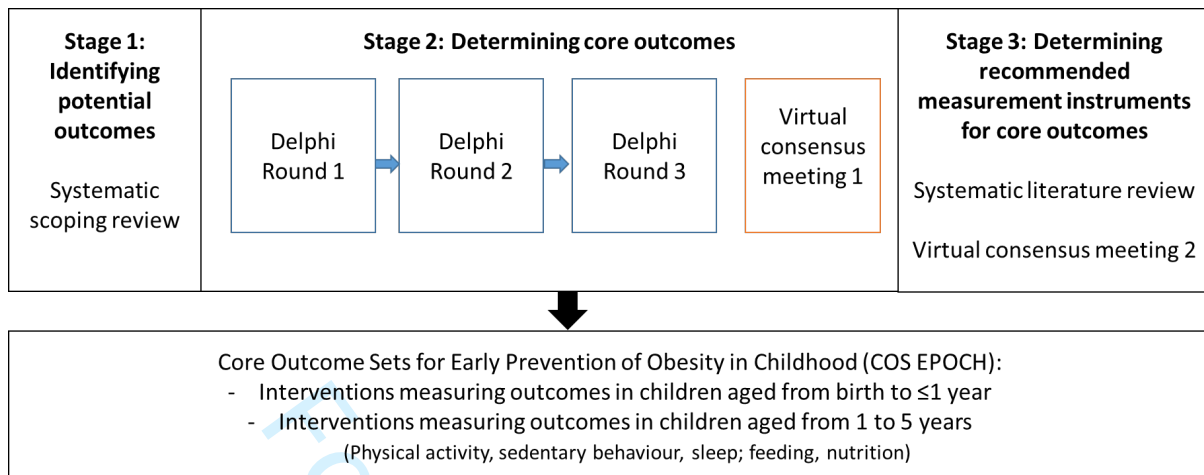
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For peer review only

Figure 1 – Overview of project stages



BMJ Open

Protocol for the development of Core Outcome Sets for Early intervention trials to Prevent Obesity in Children (COS-EPOCH)

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Primary Subject Heading:	Research methods
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Keywords:	PAEDIATRICS, Community child health < PAEDIATRICS, PREVENTIVE MEDICINE, PUBLIC HEALTH

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3 **Protocol for the development of Core Outcome Sets for Early intervention trials to Prevent Obesity**
4 **in Children (COS-EPOCH)**
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Abstract

Introduction

Childhood overweight and obesity is prevalent in the first five years of life, and can result in significant health and economic consequences over the lifetime. The outcomes currently measured and reported in randomised controlled trials of early childhood obesity prevention interventions to reduce this burden of obesity are heterogeneous, and measured in a variety of ways. This variability limits the comparability of findings between studies, and contributes to research waste. This protocol presents the methodology for the development of two core outcome sets (COS) for obesity prevention interventions in children aged from 1 to 5 years from a singular development process: (i) a COS for interventions targeting physical activity and sedentary behaviour; and, (ii) a COS for interventions targeting child feeding and dietary intake. Core outcomes related to physical activity and sedentary behaviour in children aged ≤ 1 year will also be identified to complement an existing COS for early feeding interventions, and provide a broader set of core outcomes in this age range. This will result in a suite of COS useful for measuring and reporting outcomes in early childhood obesity prevention studies, including multicomponent interventions.

Methods and analysis

Development of the COS will follow international best practice guidelines. A scoping review of trial registries will identify commonly reported outcomes and associated measurement instruments. Key stakeholders involved in obesity prevention, including policy-makers/funders, parents, researchers, health practitioners, and community and organisational stakeholders will participate in an e-Delphi study and consensus meeting regarding inclusion of outcomes in the COS. Finally, recommended outcome measure instruments will be identified through literature review and group consensus.

Ethics and dissemination

Deakin University Human Research Ethics Committee (HEAG-H 231_2020). The COS will be disseminated through peer-reviewed publications and engagement with key stakeholders.

Registration

Core Outcome Measures in Effectiveness Trials Initiative (<http://www.comet-initiative.org/Studies/Details/1679>); Open Science Framework (osf.io/snv5e).

Article summary

Strengths and limitations of this study

- Development of core outcome sets (COS) will assist in determining the outcomes that should be measured, and how they should be measured, following Core Outcome Measures in Effectiveness Trials (COMET) guidelines.
- Engagement with key stakeholders and a steering group comprising experts in the field of early childhood obesity prevention will ensure relevance and facilitate dissemination and uptake of the COS.
- The large number of possible outcomes for inclusion may present a risk for lack of consensus on core outcomes or outcome measurement instruments.
- This risk will be minimised through the development of a suite of COS, through a singular COS development process.

Key words: Core outcome set, pediatric obesity, early childhood, obesity prevention intervention

Protocol for the development of Core Outcome Sets for Early intervention trials to Prevent Obesity in Children (COS-EPOCH)

INTRODUCTION

Overweight and obesity in early childhood is a significant issue, with 41 million children aged from birth to five years now affected globally¹. Obesity is a significant risk factor for several chronic conditions generally occurring both in childhood and later in life, and the associated economic burden is high². Addressing childhood overweight and obesity has been identified as critically important¹, particularly given that children with overweight and obesity are five times more likely to be obese in adulthood compared to their healthy weight peers³. Altering or maintaining obesity trajectories into adulthood should ideally commence before six years of age⁴, highlighting the need for effective and cost-effective childhood obesity prevention interventions in the early years of life.

There are a number of risk factors for early childhood overweight and obesity, including poor nutrition, insufficient physical activity or sleep, and excess sedentary behaviours¹. Given this wide range of risk factors, there are currently a large number of outcomes reported from obesity prevention intervention studies in children aged from birth to five years⁵⁻⁷. There are also a wide range of methods currently used for measuring relevant outcomes, which limits consistency and comparability of findings between studies and can lead to research waste⁸. Variation also makes evidence synthesis via retrospective meta-analysis very difficult, if not impossible, due to limitations in combining data that has been collected, measured or reported using different methods⁹.

Core outcome sets (COS) are agreed minimum sets of outcomes recommended for measurement in studies of specific conditions or areas of health or health care¹⁰. COS aim to improve the consistency of measurement and reporting of outcomes from studies, potentially leading to better informed resource allocation and decision-making through improved comparability and transparency of study findings. The development of COS using well-defined guidelines such as those proposed by the Core Outcome Measures in Effective Trials (COMET) initiative minimises the risk of increased burden on researchers¹⁰. In addition, the benefits that standardisation of outcomes bring to the field in terms of identifying effective approaches far out-weighs any potential increase in research burden. While COS are the recommended minimum outcomes for use in studies it is acknowledged that in some instances not all outcomes can be evaluated and in this context a clear explanation for why a COS outcome was not used is sufficient¹⁰. COS are currently in development for obesity prevention interventions in children delivered in the school setting and for children with obesity aged over five years exposed to

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3 physical activity interventions¹¹. A COS has been developed to identify the minimum outcomes that
4 should be measured and reported in trials of early feeding interventions to prevent childhood obesity
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6^{12,13}, recommending 26 outcomes for inclusion in trials of feeding interventions involving children aged
7
8 ≤ 1 year of age.
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10 To date, COS that could be applied more broadly to early childhood obesity prevention interventions
11 spanning the wider range of risk factors, and for prevention interventions in children aged from birth
12 to five years, are not available. This is despite the growing number of early childhood obesity
13 prevention interventions targeting multiple risk factors^{14,15}. Early childhood represents a time of rapid
14 growth and development, particularly in infancy (up to 1 year of age). Early childhood obesity
15 prevention interventions typically take place within a broad range of settings (e.g. community, home,
16 early childhood education and care). Intervention component/s related to lifestyle also commonly
17 target a number of risk factors (e.g. diet, physical activity, sedentary behaviour, sleep,
18 parent/caregiver practices) at the individual (i.e. child/parent) or family level.
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26 To account for this heterogeneity, this paper describes the protocol for the development of a suite of
27 COS for trials of early childhood obesity prevention interventions, developed through a singular COS
28 process. We will build on a published COS for trials of early feeding interventions¹³ to develop a COS
29 for obesity prevention interventions targeting the broader range of risk factors and commencing
30 either prenatally or from birth until children are ≤ 1 year of age. This will result in tailored advice on
31 the outcomes recommended for collection and reporting in interventions targeting multiple risk
32 factors in infancy. We will also develop two COS for obesity prevention interventions targeting the
33 broader range of lifestyle-related risk factors and commencing in children aged from >1 to 5 years.
34 The first COS will be useful for studies of physical activity, sleep and sedentary behaviour
35 interventions. The second COS will be useful for studies of feeding and dietary interventions in
36 children aged from >1 to 5 years. The development of the proposed suite of COS minimises the
37 potential risk of achieving a lack of consensus given the large number of expected outcomes from such
38 heterogeneous interventions. When considered holistically, the suite of COS produced will provide
39 valuable information to trialists of interventions targeting multiple risk factors for obesity in the early
40 years of life. Publication of this protocol aims to enhance transparency of this COS development
41 process, and may also help to reduce potential bias¹⁰.
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Project oversight

An international Steering Group will be formed to provide expert oversight and guide the development of the COS, chaired by the lead author (VB). The members of the steering group will be selected based on their expertise in early childhood obesity prevention intervention and outcome measurement. Initially members will be identified through the member and affiliate base of the National Health and Medical Research Council (NHMRC) funded Centre for Research Excellence in the Early Prevention of Obesity in Childhood (CRE EPOCH, APP1101675). These steering group members will then recommend international experts and key contacts within the field of early childhood obesity prevention intervention for invitation as Steering Group members.

METHODS AND ANALYSIS

This study was prospectively registered on the Core Outcome Measures in Effectiveness Trials (COMET) Initiative registry of COS (registration number 1679, <http://www.comet-initiative.org/Studies/Details/1679>). The study will be conducted between June 2020 and December 2021. Development of the COS will follow the Core Outcome Set-STAndards for Development (COS-STAD) recommendations^{10,16}. The reporting of this protocol follows the recommendations of the Core Outcome Set-STANDARDISED Protocol Items (COS-STAP) Statement¹⁷.

COS development generally involves defining 'what' to measure, and then deciding 'how' to measure these outcomes¹⁰. A first step towards defining 'what' to measure might typically consist of a systematic review of outcomes being reported in relevant studies, or searches of clinical trial registries for reported outcomes from relevant RCTs¹⁰. Further steps in the development of a COS include achieving consensus agreement using methods such as expert panels, Delphi surveys and consensus meetings^{10,16}. Development of this COS will therefore consist of three stages (Figure 1):

Stage 1 – A scoping review of early childhood obesity prevention intervention RCTs, identifying potential outcomes and outcome measurement instruments;

Stage 2 – A modified Delphi study to determine core outcomes by relevant stakeholder group, followed by a consensus meeting to finalise core outcome recommendations;

Stage 3 – Determination of recommended measurement instruments for core outcomes, through literature review and consensus meeting

Figure 1 – Overview of project stages

Stage 1: identifying potential outcomes

A systematic scoping review of early childhood obesity prevention intervention RCTs will be undertaken, to identify potential outcomes for inclusion in Stage 2 of our study. Scoping reviews are useful for clarifying working definitions and conceptual boundaries of a topic or field¹⁸ and aim to provide an overview or map of the evidence in a particular area¹⁹. The scoping review will follow Joanna Briggs Institute guidelines for conducting a scoping review¹⁸. The scoping review protocol has been published on Open Science framework (<https://osf.io/snv5e>) and reporting of the scoping review will follow the PRISMA extension for scoping reviews (PRISMA-ScR)²⁰.

We will conduct a search of publicly available clinical trial registries (clinicaltrials.gov and via the World Health Organisation International Clinical Trials Registry Platform (WHO ICTRP)), using a pre-defined search strategy (Table 1) to identify the outcomes of interest in registered early childhood obesity prevention intervention RCTs.

Table 1 – Search strategy

Registry	Search strategy
World Health Organisation International Clinical Trials Registry Platform (WHO ICTRP)	The “Advanced search” option will be selected, and the following fields will be populated: Title: prevent OR prevention Condition: obesity OR overweight Recruitment status: all Limit: search for clinical trials in children Status: all
Clinicaltrials.gov	The “Advanced search” option will be selected, and the following fields will be populated: Condition or disease: Obesity OR obese OR adiposity OR overweight Age: Child (Birth-17 years) Type of studies: interventional studies Other terms: prevent OR prevention

Identified records will be exported into Microsoft Excel and screened for inclusion by two reviewers, with any conflicts resolved by a third reviewer. Registered studies that meet the following inclusion criteria will be included:

- Randomised;
- In any stage of research (e.g. recruiting, active, complete);
- Have the aim of preventing childhood obesity (i.e. stated as a primary or secondary aim; specified within the trial registry as condition/disease: obesity);
- Start interventions in the **first five years of childhood**, or antenatally;

- If interventions start antenatally, they must continue interventions for at least six months postnatally. This will allow us to include interventions that begin antenatally but with significant intervention content after the birth of the child. Not being prescriptive around duration for interventions that start postnatally allows for the broadest range of outcomes to be included within the scoping review, although the scoping review analysis will include intervention duration and measurement time;
- Undertake implementation of an intervention that includes a component related to lifestyle (e.g. diet, parent/caregiver practices, physical activity, sedentary behaviour, sleep). Lifestyle interventions are defined as interventions that promote change in lifestyle behaviours for the prevention of unhealthy weight gain²¹;
- Any length of follow up time.

Studies will be excluded if they include a targeted or treatment intervention for overweight or obesity or for those at risk of overweight or obesity (i.e. participant inclusion criteria above healthy weight for either parent or child; identify as treatment trial type in register; targeted to participants with specific body weight or BMI percentile inclusion criteria that includes above healthy weight) or if they are undertaken in an admitted patient hospital setting or in special groups (e.g. pre-term children, children with cerebral palsy). Studies will also be excluded if they are undertaken in the primary school or after-school setting with primary school aged children, despite the fact that some children beginning school will be less than 5 years of age. This exclusion criteria was designed to avoid duplication with a COS for obesity prevention interventions delivered in the school setting that is currently under development¹¹. Studies will also be excluded if the unit of intervention does not include the child (i.e. higher-level outcomes reported, not including child level health outcomes; intervention content only at the environmental level or intervention content delivered only to individuals within organisations (e.g. healthcare professionals, childcare providers), with no parent/caregiver/child-directed content). This does not preclude interventions directed at parents/caregivers only, but with child outcomes.

Study inclusions will also be cross-referenced to the recently published Cochrane review study by Brown et al.²² that included obesity prevention intervention RCTs in children aged under five years. The Cochrane review search strategy²² will be updated to November 2020 and re-run in Ovid Medline, and potential studies will be screened for inclusion by two reviewers. This will ensure our dataset reflects both studies that have been registered in trial registries, and studies that may not have been registered but reported results.

A data extraction tool will be developed in Microsoft Excel, based on COMET recommendations¹⁰. Outcome extraction from the source will be verbatim by two independent reviewers, to maintain

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3 transparency ¹⁰. Data will include trial registration number, public or scientific study title, study
4 acronym, study start date, study completion date, recruitment status, study aim and/or hypothesis,
5 RCT study type, recruitment country, setting, intervention summary, comparator summary,
6 participant inclusion criteria, sample size, participant age, primary and secondary outcomes reported,
7 outcome measurement instruments, outcome definitions, timepoints of assessment, links to
8 publications, primary study contact and sponsor information. Where links to relevant publications
9 have been provided, we will search these publications for more detailed data. Where links to relevant
10 publications are not supplied, we will search for unlinked publications using keyword searches related
11 to the trial name and lead author in the Scopus and GoogleScholar databases. Any additional data
12 from linked or unlinked publications will also be extracted verbatim, to maintain transparency ¹⁰ and
13 will be extracted by two reviewers.
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23 It is expected that a long and varied list of outcomes and outcome measurement methods will be
24 generated ¹². While recently there has been more published research exploring obesity intervention
25 taxonomies ^{23,24}, there has been less focus on taxonomy structures focusing specifically on outcomes
26 ²⁵. To the best of our knowledge, a comprehensive and validated taxonomy fit for our specific purpose
27 has not been developed. Therefore we will take a data-driven approach, whereby outcomes will be
28 grouped into outcome domains based on relevant risk factor/s for obesity (e.g. physical activity,
29 sedentary behaviour, dietary intake, sleep, parent/caregiver practices) and applicable outcome
30 domains from a taxonomy developed for outcomes in medical research at an individual-participant
31 level (e.g. anthropometry, emotional functioning/wellbeing, cognitive functioning, economic)²⁵.
32 Outcomes with similar definitions or themes within each domain will be merged, via a consensus
33 process with members of the steering group with expertise in each outcome domain ¹². Sub-domains
34 (e.g. child feeding practices, screen time) will be identified based on key literature conceptualising
35 outcome domains ²⁶⁻²⁹. Categorisation of each verbatim outcome definition to an outcome domain
36 and sub-domain will be performed initially by one reviewer (VB), with final consensus sought from
37 members of the steering group. Outcome frequencies will be estimated and presented in outcome
38 matrices to visually represent the frequency, consistency, and disparity of outcome reporting across
39 studies ¹², stratified by age (i.e. interventions in children aged ≤ 1 year; and, >1 to 5 years) and risk
40 factor/s targeted (i.e. nutrition, physical activity, sedentary behaviour, multiple risk factors).
41 Outcome matrices will be based on the Outcome Reporting Bias in Trials project outcome matrix ³⁰, as
42 recommended by the COMET initiative ¹⁰ and used in a previous COS study investigating early feeding
43 outcomes ¹².
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3 The quality of included trials with respect to their measurement properties will not be assessed as
4 part of Stage 1 of this project, in accordance with some of the most recently published research on
5 COS development ^{31,32}. While previous studies have conducted quality assessment of the
6 measurement properties of included studies by adapting six items from the COSMIN ³³, these criteria
7 have not been well-validated for this purpose ³¹ and there is a lack of transparency in how scores can
8 be attributed to studies with multiple outcomes that are reported heterogeneously. For instance, one
9 of the criterion asks *'Is the primary outcome clearly defined so that another researcher would be able
10 to reproduce its measurement? Where appropriate, this should include clear description of time points,
11 the person measuring the outcome, how the outcome was measured (for example, tools and methods
12 used) and where the outcome was measured.'* It is not clear however how studies that report more
13 than one primary outcome (perhaps even with differences in reporting clarity between multiple
14 primary outcomes), or that may include a clear description of time points but not the person
15 measuring the outcome, should be scored. Further, descriptors of reporting quality are not
16 considered integral components of the review stage for COS development ³¹.

27 **Stage 2 –determining core outcomes**

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29 An electronic Delphi (e-Delphi) study will be undertaken, in accordance with published
30 recommendations on outcome consensus using the Delphi technique and the recommendations of
31 the COMET Initiative ³⁴. The Delphi technique is a widely used methodology in health research ³⁵, with
32 the approach taking the findings from the Stage 1 scoping review and aiming to achieve consensus on
33 core outcomes for inclusion in the COS.
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39 Stakeholders, including (i) policy-makers/funders, (ii) parents/caregivers, (iii) researchers, (iv)
40 clinicians and health practitioners (including representatives from professional organisations such as
41 dietetic and paediatric associations), and (v) community and organisational stakeholders to obesity
42 prevention interventions (for instance, representatives from settings where interventions are
43 undertaken such as Maternal Child Health centres, childcare; health promotion organisations), will be
44 invited to participate. Published guidelines encourage the inclusion of a diverse range of relevant
45 stakeholders in COS development, including health service users, policy-makers, experts and the
46 public¹⁰. A central component of the COMET methodology is the recognition that multiple
47 stakeholders can provide expert insights and input in determining core outcomes¹⁰. Parents in this
48 instance are an excellent example where individuals not typically considered 'experts' can provide
49 some of the most useful information because they are the end users of the interventions, and the
50 ones who engage in feeding and physical activity behaviours. As such their contributions are essential.
51 This is similarly applicable to other stakeholder groups who are either directly involved in research,
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3 policy or practice around childhood obesity, and child dietary and physical activity behaviours. An
4 interesting finding of this component of the work will include whether there are differences in opinion
5 between different stakeholder groups³⁴. As there is no consensus on the number of participants or
6 rounds required for a Delphi study^{35,36}, membership to the e-Delphi panels will be balanced across
7 stakeholder groups and capped at 150 participants to maintain feasibility (i.e. a maximum of 30
8 participants per stakeholder panel). Recent evidence suggests that a smaller sample size of between
9 8 and 15 participants may be sufficient for relatively homogeneous participant groups, but that larger
10 sample sizes can help to ensure generalizability³⁵.

11
12 Recruitment of participants will be undertaken using purposive and snowball sampling³⁷. Preliminary
13 lists of potential policy-maker/funder, researcher, clinician/health care practitioner and
14 community/organisational stakeholders will be generated from key contacts of steering group
15 members and the obesity prevention literature. Information on the study and invitations to
16 participate will be sent to publicly available email addresses. Those consenting to participate from
17 these stakeholder groups will be encouraged to circulate study details among their professional
18 networks. Potential parent/caregiver participants will be recruited using social media and recruitment
19 posters at sites that parents/caregivers likely visit (e.g. childcare centres). Inclusion criteria for
20 parents/caregivers will include having at least one child aged from birth to five years; being fluent in
21 English; and, being able to freely give informed consent. All consenting parent/caregiver participants
22 will be asked to circulate study information to friends meeting the inclusion criteria.

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24 Participants will be allocated a unique identifier to anonymise their responses, and will be asked to
25 commit to completion of the 3 rounds of the e-Delphi study. Rounds will be open for a 3-week period,
26 and to maximise response rates an automated reminder email will be sent to participants yet to
27 complete their survey on days 7 and 14. If required, additional strategies such as extending survey
28 deadline(s) and personalised reminders will be discussed with the Steering Group and may be utilised
29 to boost response. The time between rounds will not exceed four weeks, which will allow for data
30 analysis and set-up but not be so long as to risk increased participant attrition over time.

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32 The steering group will be consulted in the development of the online questionnaires, which will be
33 developed using the COMET DelphiManager software and pilot-tested to ensure feasibility. Outcomes
34 will be presented by COS and domain, with the ordering of domains randomised. Outcomes per
35 domain will be presented in alphabetical order and a plain language definition of each outcome will
36 be provided. Participants will rate the importance of each outcome based on a 9-point Likert scale
37 anchored between 1 and 9, and will be asked to enter comments on their choice of ranking for each
38 outcome. The scale for responses will be based on GRADE, where 1-3 signifies an outcome that is 'not
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3 that important', 4-6 'important but not critical' and 7-9 'critically important'³⁸. Participants will also
4 be asked to list up to three additional outcomes they feel should be included in the survey. Responses
5 will be collected and analysed both within and between groups of panel members (group mean,
6 median, strength of agreement using mean absolute deviation from the median (MADM)³⁹). Levels
7 of agreement using the MADM will be defined using values from the literature (low>1.41; moderate
8 1.08-1.41; high <1.08)³⁹. Consensus will be defined as^{40,41}:

- 14 1. Consensus include as a core outcome: over 75% of participants in each stakeholder group
15 score the outcome domain as 'critically important' for inclusion in the relevant COS AND <15%
16 of participants in each stakeholder group score outcome domain 'of limited importance';
- 17 2. Consensus do not include as a core outcome: over 75% of participants in each stakeholder
18 group score domain 'of limited importance' for inclusion in the relevant COS AND <15% of
19 participants in each stakeholder group score outcome domain 'critical';
- 20 3. No consensus: all other combinations

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26 Additional outcomes listed by study participants in round 1 will be reviewed by the steering group for
27 inclusion into round 2. During the second round of the survey, participants will receive a summary of
28 their responses for round 1 and the distribution of scores by stakeholder group. Participants will be
29 invited to review their round 1 ratings, and re-rate outcomes from 1 to 9. Outcome ratings for round
30 2 will be analysed as for round 1. The outcomes that have reached consensus for inclusion, and the
31 outcomes where no consensus has been reached, will be included in round 3. Outcomes that reach
32 consensus to not include as a core outcome will not be brought forward to round 3. In round 3
33 participants will again receive a summary of their responses and the distribution scores by stakeholder
34 group for these outcomes and will be asked to re-rate for the final time. Ratings from round 3 will be
35 analysed as per the previous two rounds to determine consensus on outcomes for inclusion, outcomes
36 not to include, and outcomes for which there is no consensus on inclusion or exclusion. Potential bias
37 arising from participant attrition between rounds will be assessed by examining the differences in
38 median round 1 scores of individual outcomes amongst those who do and do not complete later
39 rounds¹⁰.

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50 Results from the e-Delphi study will be narratively and quantitatively compared between stakeholder
51 panels, and will be presented at a consensus meeting with key stakeholders and the Steering Group.
52 Participants from the wider e-Delphi cohort will be asked at the end of the third round of the e-Delphi
53 survey to express their interest in participating in two half-day consensus meetings over a six month
54 period. If consent to participate is high, up to four members from each stakeholder group will be
55 randomly selected to participate (n=20). At a minimum, one member from each stakeholder group
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3 will be recruited to participate. The first consensus meeting will use the modified nominal group
4 technique and will be conducted virtually in accordance with COVID19 travel restrictions and to
5 maximise input from international stakeholder experts.
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9 The aim of this consensus meeting will be to develop the final suite of COS for early childhood obesity
10 prevention interventions. At the start of the meeting, the study background, aims and a lay definition
11 of a COS will be presented. The same process will then be followed to reach consensus on each COS.
12 Participants will be presented with the outcomes for which consensus for inclusion and consensus to
13 not include has been reached through the e-Delphi process, and will be asked to briefly discuss. The
14 outcomes that have not reached consensus through the e-Delphi process will then be presented to
15 participants. Participants will be asked to consider which outcomes they most and least strongly
16 supported for inclusion. Following this discussion, participants will be asked to anonymously vote
17 each outcome as 'yes' or 'no' for inclusion in the final COS. Outcomes $\geq 70\%$ or more of participants
18 rated as 'yes' for inclusion will be briefly discussed a final time, followed by a discussion on all other
19 outcomes. Participants will be invited to discuss the order of importance of outcomes, the similarity
20 of outcomes (both within and between the COS), the relative importance of outcomes and the
21 feasibility of collecting and reporting each outcome. Following this discussion, a final voting process
22 will be undertaken. For inclusion in the final COS, $\geq 70\%$ of participants will be required to vote 'yes'
23 for inclusion of an outcome. Findings of the full COS process will be reported following the COS-STAR
24 (Core Outcome Set-Standards for Reporting) guidelines ⁴².
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36 **Stage 3 - Determining recommended measurement for core outcomes**

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38 It is also important to establish how the outcomes in a COS should be defined and measured ¹⁰. We
39 will follow the recommendations of the joint initiative between COMET and Consensus-based
40 Standards for the selection of health Measurement Instruments (COSMIN) for selected outcome
41 measurement instruments for outcomes included in a COS ⁴³. Outcome measurement instruments
42 commonly utilised in early childhood obesity prevention interventions will be identified across the
43 studies in our scoping review (Stage 1) and frequency of outcome measurement instruments will be
44 reported. A systematic literature review will be conducted in PubMed, MedLine and Embase by two
45 reviewers, aiming to identify and critically appraise, compare and summarise the quality of the
46 measurement properties of the identified outcome measurement instruments for early childhood
47 obesity prevention interventions identified in the scoping review ⁴⁴. Validated search filters for finding
48 studies on measurement properties are available from the COSMIN website, and will be utilised ^{45,46}.
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58 Studies will be included in the systematic literature review if the outcome measurement instrument
59 measures the construct of interest in children aged from birth to five years, including by either self- or
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parent/caregiver report. Included studies should aim to summarise the development of the outcome measurement instrument, or to evaluate one or more of its measurement properties or its interpretability⁴⁴. The COSMIN Risk of Bias checklist will be used to assess the methodological quality of the measurement properties of outcome measurement instruments. The quality of evidence and strength of recommendations will align with the COSMIN and Grading of Recommendations Assessment, Development and Evaluation (GRADE) guidelines⁴⁷.

Outcome measurement instruments will be ranked for inclusion in the relevant COS according to the quality of evidence for all measurement properties and presented to key stakeholders and the steering group at the second virtual consensus meeting. Outcome measurement instruments will be presented according to published criteria for good measurement properties⁴³, alongside the minimum requirements for inclusion of an instrument in a COS (i.e. at least high quality evidence for good content validity and for good internal consistency; and if the outcome measurement instrument is feasible)⁴³. After group discussion participants will be asked to anonymously vote on outcome measurement instruments for inclusion into the COS. Outcome measurement instruments that $\geq 70\%$ or more of participants rated as 'yes' for inclusion will be discussed. Where possible, only one outcome measurement instrument will be selected for each outcome in the relevant COS, following the final round of anonymous voting by meeting participants.

ETHICS AND DISSEMINATION

Ethics approval has been obtained from the Deakin University Human Research Ethics Committee (DUHREC; HEAG-H 231_2020). A dissemination plan for the COS for Early Prevention of Obesity in Childhood (COS-EPOCH) will be developed by the steering group early in the project. The involvement of experts and key stakeholders in the design of the COS will facilitate uptake¹⁰. A two-page infographic summary of each COS will also be developed, and will be sent to all study participants and stakeholders for early childhood obesity prevention intervention. Study findings will be reported in peer-reviewed publications and presented on relevant websites (such as the National Collaborative on Childhood Obesity Research and the World Obesity Federation) and at international conferences.

COS are increasingly recognised as valuable research tools, and have been actively endorsed by trialists, research funding bodies, regulatory authorities, systematic review groups including the Cochrane Collaboration, and journal editors¹¹. This study aims to develop a suite of COS for early childhood obesity prevention interventions, through a singular COS development process. Together, these COS will provide trialists with agreed, standardised sets of outcomes spanning the early

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3 childhood timeframe that takes into account this unique period of child development and
4 incorporates interventions targeting multiple risk factors. The multiplicity of potential outcomes for
5 inclusion into any COS will be a significant challenge in development. By following rigorous
6 methodological processes and involving a number of key stakeholder groups, we hope to minimise
7 this challenge and provide guidance to the growing number of researchers conducting trials in this
8 important field. The COS will also recommend outcome measurement instruments for data collection,
9 that can contribute to improved evidence synthesis across early childhood obesity prevention
10 intervention studies in the future.
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For peer review only

Author contributions

VB conceived the study, with significant input from all authors. VB, MS, MT, KH, RB, DZ, ALS, KMS will be involved in data acquisition, analysis and interpretation of data and will provide technical and administrative support. RG, RT, KDH, KMS and MM will be involved in data analysis and interpretation, and will provide expert oversight of all aspects of the project. VB drafted the manuscript. All authors critically revised the manuscript and provided expert analysis. All authors have read and approved the manuscript.

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Competing interest statement

The authors declare no competing interests.

Data statement

Data will be available from the authors upon request.

Patient and public involvement

This research will be done with public involvement, as per the study protocol.

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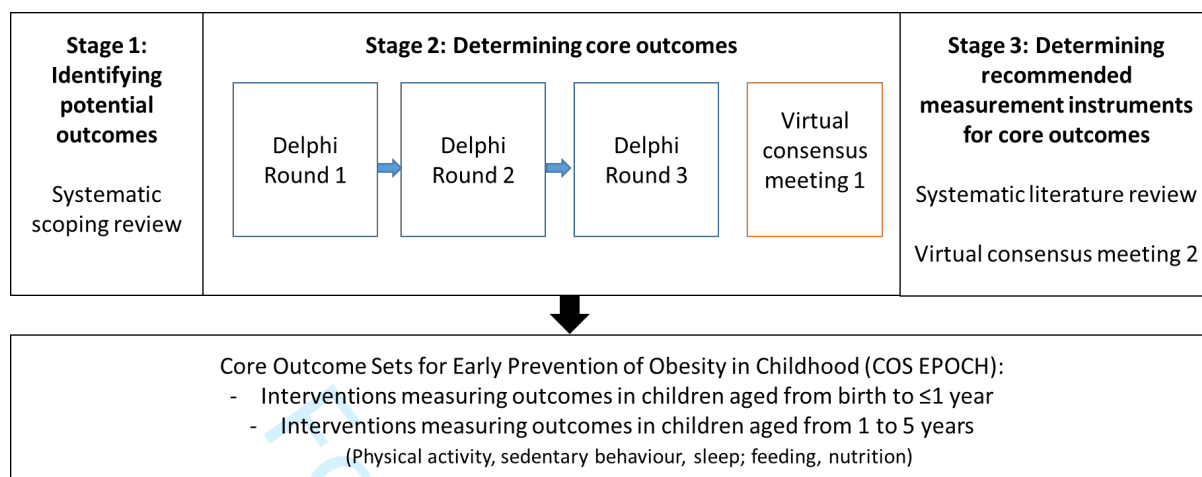
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Figure 1 – Overview of project stages



Core Outcome Set-Standardised Protocol (COS-STAP) Items¹

ITEM			Reported on
Title/Abstract			
Title	1a	Identify in the title that the paper describes the protocol for the planned development of a COS	Title page, page 1
Abstract	1b	Provide a structured abstract	Page 2
Introduction			
Background and objectives	2a	Describe the background and explain the rationale for developing the COS, and identify the reasons why a COS is needed and the potential barriers to its implementation	Introduction, pages 4-5
	2b	Describe the specific objectives with reference to developing a COS	Page 5
Scope	3a	Describe the health condition(s) and population(s) that will be covered by the COS	Page 5
	3b	Describe the intervention(s) that will be covered by the COS	Pages 4-5
	3c	Describe the context of use for which the COS is to be applied	Pages 4-5
Methods			
Stakeholders	4	Describe the stakeholder groups to be involved in the COS development process, the nature of and rationale for their involvement and also how the individuals will be identified; this should cover involvement both as members of the research team and as participants in the study	Page 10
Information sources	5a	Describe the information sources that will be used to identify the list of outcomes. Outline the methods or reference other protocols/papers	Pages 6-9
	5b	Describe how outcomes may be dropped/combined, with reasons	Pages 9, 11
Consensus process	6	Describe the plans for how the consensus process will be undertaken	Pages 10-12
Consensus definition	7a	Describe the consensus definition	Pages 11-12
	7b	Describe the procedure for determining how outcomes will be added/combined/dropped from consideration during the consensus process	Pages 11-12
Analysis			
Outcome scoring/feedback	8	Describe how outcomes will be scored and summarised, describe how participants will receive feedback during the consensus process	Page 11
Missing data	9	Describe how missing data will be handled during the consensus process	Page 12
Ethics and dissemination			
Ethics approval/informed consent	10	Describe any plans for obtaining research ethics committee/institutional review board approval in relation to the consensus process and describe	Pages 13-14

		how informed consent will be obtained (if relevant)	
Dissemination	11	Describe any plans to communicate the results to study participants and COS users, inclusive of methods and timing of dissemination	Pages 13-14
Administrative information			
Funders	12	Describe sources of funding, role of funders	Page 15
Conflicts of interest	13	Describe any potential conflicts of interest within the study team and how they will be managed	Page 15

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