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Prescribing patterns for medical treatment of suspected prostatic obstruction: A spatiotemporal statistical analysis of Scottish open access data

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1 Prescribing patterns for medical treatment of suspected prostatic obstruction: A
2 spatiotemporal statistical analysis of Scottish open access data

3 **Authors**

4 Federico Andreis, PhD [Faculty of Health Sciences and Sport, University of Stirling]

5 Richard Bryant, BMedSci MBChB FRCSEd(Urol) PHD [Nuffield Department of
6 Surgical Sciences, University of Oxford, Oxford Cancer Research Centre, Oxford] &
7 [Department of Urology, Churchill Hospital , Oxford University Hospitals NHS
8 Foundation Trust]

9 Emanuele Giorgi, PhD [Lancaster Medical School, University of Lancaster]

10 Andrea Williamson, MBChB MPH PhD FRCGP FHEA DTM&H [General Practice and
11 Primary Care, School of Medicine, Dentistry and Nursing, MVLS, University of
12 Glasgow] & [General Practitioner Homelessness Health, Medical Officer Addictions,
13 NHS Glasgow and Clyde, Homeless Health and Resource Services]

14 Ashleigh Ward, PhD [Faculty of Health Sciences and Sport, University of Stirling]

15 **Corresponding author**

16 Federico Andreis, PhD

17 Faculty of Health Sciences and Sport, Pathfoot Building, University of Stirling, FK9
18 4LA, Stirling

19 email: federico.andreis@stir.ac.uk

20 tel: +44(0)1786466252

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Abstract

Background Healthcare services treating men with prostate conditions are increasingly burdened worldwide. One of the competing factors in this demand is increasing diagnosis and treatment of lower urinary tract symptoms in men, much of which is suspected bladder outflow obstruction secondary to benign prostate hyperplasia/enlargement. However, the impact of increases on services is largely hidden, and there is limited knowledge of potential differences in management based on geography.

Objective To investigate potential variation in the prescribing of drugs for suspected bladder outflow obstruction in Scotland based on analysis of publicly available data, and identify trends that may help to inform future prescribing behaviour.

Design, setting, and participants We linked the relevant publicly available prescribing and patient data to all general practices in Scotland between October 2015 and November 2019.

Outcome measurements and statistical analysis We analysed the numbers of daily doses of drugs for suspected bladder outflow obstruction prescribed per month using a Bayesian Poisson regression analysis, incorporating random effects to account for spatial and temporal elements in prescribing.

Results: Prescriptions for drugs to treat suspected bladder outflow obstruction increased during the observation period in Scotland, consistent with an ageing population and increased diagnosis. Whilst some determinants of health inequality regarding prescribing practices across health boards are consistent with those known from the literature, other inequalities remain unexplained after accounting for practice- and patient-specific characteristics such as deprivation and rurality.

Conclusions Variations in spatiotemporal prescribing for suspected bladder outflow obstruction exist in Scotland, some of which are unexplained and require further investigation.

Keywords

Suspected bladder outflow obstruction, benign prostate hyperplasia, benign prostate enlargement, lower urinary tract symptoms, drug prescription, open access data, spatiotemporal modelling, medical practice

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Summary section

Strengths and limitations of this study

- This is the first study to investigate spatial and temporal variations in drug-prescribing patterns for bladder outflow obstruction using geostatistical models
- The statistical framework described in this paper is very general, and can be readily extended to other settings and conditions
- Data on drug-prescribing in Scotland only exists in the public domain at an aggregate (i.e. individual GP practice or patient list) level, which limits the granularity of the analysis
- The use of summary data regarding levels of socio-economic deprivation and rurality of patients reduces the accuracy with which one can estimate the shape of their association with the outcome, with these factors likely to be important in terms of access to healthcare and prescribing.

Contributors

FA and AW2 initiated the study. FA and EG developed the statistical model. FA had full access to all the data in the study, carried out the statistical analysis and took responsibility its accuracy and for the integrity of the data. FA drafted the manuscript, and all authors (FA, RB, EG, AW1, AW2) contributed to the critical revision for important intellectual content, and to the interpretation of the data analysis results. RB and AW1 provided expert advice on the more clinical aspects.

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82 **Competing interests**

83 None declared. None of the contributing authors have any conflict of interest,
84 including specific financial interests and relationships and affiliations relevant to the
85 subject matter or materials discussed in the manuscript.

86 **Patient and public involvement**

87 No patients or public was involved for this study.

88 **Patient consent for publication**

89 Not required.

90 **Ethics approval**

91 Not required. This study is an analysis of publicly available electronic health records.

92 **Data availability statement**

93 A technical supplement, the statistical code (in R), and the final processed dataset
94 used for the study available from medRxiv:

95 <https://www.medrxiv.org/content/10.1101/2020.06.19.20135459v1>. The original,
96 unprocessed data is publicly available online from the sources indicated in the
97 manuscript in the Material and Methods section.

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119 Introduction

120 The incidence of bothersome lower urinary tract symptoms (LUTS) in men, which are
121 predominantly attributable to benign prostate hyperplasia (BPH) and/or benign
122 prostatic enlargement (BPE) causing proven or suspected bladder outflow
123 obstruction (BOO), is increasing globally [1] resulting in a reduced quality of life and
124 increased healthcare expenditures [1, 2]. In Scotland as elsewhere in the United
125 Kingdom and globally, some men may be managed conservatively or medically
126 using drugs in the primary care setting for suspected or proven BOO, whilst other
127 men may have received investigation in a tertiary care setting, before being
128 recommended to receive medication in primary care. In Scotland healthcare services
129 treating men with prostate conditions face an increasing burden from combinations
130 of an ageing population, increased prostate cancer investigation and incidence [3, 4],
131 and increased awareness of male health issues. As a result of these combined
132 factors, the impact of bothersome LUTS arising from BOO on service demand is
133 largely hidden, and studies reporting their incidence and prevalence are
134 predominantly cross-sectional in nature, with widely varying results [5].

135 Data regarding the prescription of drugs for the medical management of BOO as a
136 cause for male bothersome LUTS has been used internationally in recent years to
137 increase the understanding of patterns of prescribing within specific populations.
138 This approach can be useful in order to identify potential demographic inequalities in
139 patients access to this aspect of healthcare, and to understand the patient
140 experience, predominantly using longitudinal datasets [6, 7, 8]. However, to date
141 there is limited understanding of how the prescribing patterns for drugs used to
142 medically treat BOO may differ both spatially and temporally. The increasing
143 availability of high quality open access healthcare data in Scotland has created the

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144 opportunity for a more refined and broader-based analysis of prescribing patterns for
145 BOO. Moreover, Scotland’s diverse geography provides an ideal landscape to
146 understand how factors that may impact on prescribing patterns differ spatially in
147 terms of geography, as well as longitudinally [9]. We describe a statistical framework
148 that allows the study of variations in the patterns of drug prescribing in both space
149 and time, while accounting for numerous relevant socioeconomic and health-related
150 factors. We have used this approach in order to statistically analyse publicly
151 available data regarding the prescription of the two main classes of medications
152 used to treat bothersome LUTS secondary to BOO in Scotland. Using this approach
153 we describe spatiotemporal trends that may help inform future urological practice for
154 this common and ubiquitous condition.

Material and Methods

Data sources

Data was acquired and linked from numerous independent and publicly accessible sources. The dataset comprised information at the level of individual General Practices (GPs) and was been created from the following four sources:

1. NHS Scotland OpenData: drug prescriptions monthly data
(<https://www.opendata.nhs.scot/dataset/prescriptions-in-the-community/>)
2. Information Services Division Scotland (ISD): practice details, deprivation, rurality (<https://www.isdscotland.org/Health-Topics/General-Practice/>)
3. National Institute for Health and Care Excellence (NICE): daily dosages
(<https://www.nice.org.uk>)
4. National Records of Scotland (NRS): postcodes, health boards
(<https://www.nrscotland.gov.uk/statistics-and-data/geography/our-products/scottish-postcode-directory/2018-2>)

The keys used to link the sources were the unique GP code and date. The ISD and NRS portals provided information regarding both the medical practice (i.e. GP) and their patient population. Table 1 contains a list of the variables extracted from these sources. We used both deprivation and rurality to account for socio-demographic status. In particular, we used the 2016 Scottish Index of Multiple Deprivation (SIMD) [10] and a recoded version of the 2018 Scottish Government Urban Rural Classification [11]. Additionally, the ISD and NRS sources provided GP-level information regarding the size of the individual practice patient list, the gender and age distribution, and the location of the practice (based on postcode and name of relevant Health Board). All GP practices open for the whole study period (October

2015 to November 2019) were included in the dataset (903 of 944, 95.7%, practices in Scotland open as of 1st January 2019). The Scottish Open Data portal provided the prescribed amounts of the drugs of interest per month.

Table 1. Variables extracted from the four data sources and used as covariates in the statistical model.

| Variable | Type | Short description |
|------------------------|---------------------------|---|
| Drug group | Categorical | α-1 blocker or 5-α reductase inhibitor |
| GP patient list size | Numerical, time-varying | Number of registered patients per GP practice |
| GP practice run | Categorical, baseline | Is the practice run by GPs (contract type 17J and 17C), rather than by the NHS (contract type 2C)? Yes/No |
| Dispensing GP practice | Categorical, baseline | Does the GP practice have a license to dispense medicines? Yes/No |
| Males 45p | Numerical, time-varying | Proportion of patients that are males aged ≥45 years |
| Deprived 15 | Numerical, time-varying | Proportion of patients under the 15 th national percentile of deprivation (SIMD) |
| Remote | Categorical, time-varying | Modal rurality index among the patients (Scottish Government 8-fold Urban Rural Classification) recoded as remote (4, 5, 7, 8) or non-remote (1, 2, 3, 6). Yes/No |
| Postcode | Categorical, baseline | GP practice postcode as of 2019 |
| Health board | Categorical, baseline | Name of Scottish Health Board where the GP practice is situated. |

BOO medications analysed

We specifically focussed on the prescribing of α-1 blocking drugs and 5-α reductase inhibitors as these are the only specific medications used for BOO. α-1 blockers are the first-line and most commonly used drugs prescribed for LUTS secondary to BOO [1, 12], whilst 5-α reductase inhibitors are generally recommended where men are considered at high risk of BPH progression due to a significantly enlarged prostate, either as monotherapy or in combination with α-1 blockers [1, 12, 13]. The α-1 blockers alfuzosin and tamsulosin hydrochloride were included in the study, however doxazosin and terazosin were not included as the amounts of these drugs prescribed were negligible, whilst doxazosin may be prescribed for hypertension. The 5-α reductase inhibitors dutasteride and finasteride were both included in this study. When combined with the suggested daily dosages from the NICE website (see Table

2), a proxy for the number of daily doses was constructed by rounding to the nearest integer the following expression:

$$n_{diti} = \frac{\text{\#tablets of drug } d \text{ prescribed during month } t \text{ at practice } i}{\text{daily suggested dosage for drug } j} \#(1)$$

where i , d , and t represent the GP practice, drug, and month respectively. In our dataset, we have observations for $i = 1, \dots, 903$ GP practices over $t = 1, \dots, 50$ months; d indexes the drugs reported in Table 2. The number of daily doses for each of the drug groups was then constructed by summation of the respective drugs' monthly amounts of daily doses. We denote with n_{jti} the number of daily doses of drugs belonging to group $j \in \{\alpha 1 - \text{blocker}, 5 - \alpha \text{ reductase inhibitor}\}$, prescribed during month t , at each GP practice i .

Table 2. Suggested daily dosages of the study α -1 blockers and 5- α reductase inhibitors according to NICE guidelines.

| Drug | Drug group | Suggested daily dosage (NICE) |
|--------------------|---------------------------------|-------------------------------|
| Alfuzosin | α -1 blocker | 2.5 mg 3 times a day |
| Tamsulosin cap/tab | α -1 blocker | 10 mg once daily |
| Dutasteride | 5- α reductase inhibitor | 400mcg once daily |
| Finasteride | 5- α reductase inhibitor | 500mcg once daily |
| | | 5mg once daily |

We then used a Poisson model in order to describe the average number of daily doses of BOO medication prescribed each month, accounting for each of the covariates presented in Table 1, with additional spatial and temporal random effects. Possible interactions between individual GP prescribing pattern, or GP geographical location, with the prescribed drug type were also investigated. Equation 1 describes the model's functional form, while Table 3 summarises our choices in terms of spatial and temporal structure. Further details of the statistical analysis are provided as supplementary material.

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$$n_{jti} \sim \text{Poisson}(\lambda_{jti})$$
$$\log(\lambda_{jti}) = \alpha + \alpha_{[i]} + \alpha_{[ji]} + \alpha_{[tji]} + \beta_1 \log(\text{list size}_{ti}) + \beta_2 \text{drug group} + \beta_3 \text{contract}_i + \beta_4 \text{dispensing}_i + \beta_5 \text{males 45p}_{ti} + \beta_6 \text{deprived 15}_{ti} + \beta_7 \text{remote}_{ti} \#(2)$$
where j (drug group), t (month), and i (each GP practice) are as described, and $\alpha_{[i]}$, $\alpha_{[ji]}$, $\alpha_{[tji]}$ denote the random effects, summarised in Table 3. A detailed discussion of the choice of the random components of the model is available in the technical supplement.

Table 3. Spatial and temporal random effects.

| Parameter | Type | Short description |
|------------------|--------------|--|
| $\alpha_{[i]}$ | Spatial | intrinsic Conditional Auto Regressive (iCAR) |
| $\alpha_{[ji]}$ | Unstructured | Unstructured interaction between GP and drug type |
| $\alpha_{[tji]}$ | Temporal | First-order Auto Regressive (AR1), grouped by health board and drug type |

Computational analysis

All computational analyses were carried out in R 3.6.1 [14]. We estimated the model parameters within the Integrated Nested Laplace Approximation framework using the inla package [15].

Results:

We investigated the effects of each the available covariates (including GP patient list size; BOO drug group; whether the practice was GP run; whether the GP practice dispensed medication; proportion of males aged 45 years; higher proportion of socio-economic deprivation; and remote/rural location) on the study outcome. Table 4 summarises the output of the Poisson regression estimates of each of the associated parameters. In a further analysis, we interpreted each of these parameters in terms of relative differences from the model average (with the exception of GP practice list size).

Table 4. Summary of estimated posterior distributions for fixed effects. This summarises the model estimates of the fixed effect, and the uncertainty surrounding the estimates.

| | Mean | SD | 2.5% | 50% | 97.5% | Mode |
|---|--------|--------|--------|--------|--------|--------|
| α – Intercept | -1.570 | 0.040 | -1.648 | -1.570 | -1.492 | -1.570 |
| β_1 – log(GP patient list size) | 0.915 | 0.002 | 0.911 | 0.915 | 0.919 | 0.915 |
| β_2 – Drug group (5- α reductase inhibitors) | -0.625 | 0.017 | -0.658 | -0.625 | -0.591 | -0.625 |
| β_3 – GP run practices | 0.238 | 0.033 | 0.174 | 0.238 | 0.302 | 0.238 |
| β_4 – Dispensing GP practice | -0.086 | 0.026 | -0.138 | -0.086 | -0.035 | -0.086 |
| β_5 – Males aged ≥ 45 years | 4.816 | 0.032 | 4.754 | 4.816 | 4.879 | 4.816 |
| β_6 – Socially deprived area | 0.017 | 0.007 | 0.003 | 0.017 | 0.030 | 0.017 |
| β_7 – Remote/rural GP practice | 0.002 | >0.001 | 0.002 | 0.002 | 0.003 | 0.002 |

The GP practice patient list size was observed to have an effect consistent with an estimated increase of slightly less than one daily dose per month per additional patient (point estimate 0.915). As expected, the prescribed doses of the 5 – α reductase inhibitor drug group were observed to be on average less than the

prescribed doses of the $\alpha - 1$ blocker drug group. The estimated relative difference was calculated to be on average 45-48% fewer 5 - α reductase inhibitor drug prescriptions than $\alpha - 1$ blocker drug prescriptions per month (point estimate 46%).

We observed that the nature of how the GP practices are individually run are associated with BOO drug prescribing practice, with GP-run practices (rather than by direct NHS-run practices) having larger volumes of these prescriptions. The model results are consistent with a 19-35% (point estimate 27%) increased number of monthly prescriptions for GP-run practices compared with NHS-run practices, all else being equal. This is an interesting result, and to the best of our knowledge no rigorous research has been undertaken to investigate the role that how a GP practice is managed plays on the stability of GP provision of care and, in turn, on help seeking behaviours and clinical outcomes. Conversely, we observed that GP-dispensing practices are associated with 3-13% (point estimate 8%) lower volumes of BOO drug prescriptions, compared to GP practices without a pharmacy, keeping all other variables constant. Practices that dispense usually tend to do so because there is no local community pharmacy, and they need one to fit a need; one way of thinking about this is that having a dispensing licence may reflect an aspect of remoteness that is not captured by the rurality measure we employ. We found the proportion of males aged ≥ 45 years in the GP practice patient list to be positively associated with the volume of BOO prescriptions, with an estimated average increase of 4.9% monthly prescriptions per percentage point. In contrast, the levels of deprivation, and a remote/rural geographical location of each GP practice, were found to have a negligible association with prescribing practice.

Next, we investigated the statistical model's interpolations according to Health Board and drug group (α -1 blocking drugs and 5- α reductase inhibitors) (**Figure 1**). This

analysis highlighted a general increase in the volumes of prescriptions for these medications over the 4-year study period. Trends in prescribing were observed to be broadly similar across most Health Boards, with a less prominent increase in less populated areas, which may potentially be attributable to a more stable population structure over the 4-year period of observation, as well as unaccounted for differences in help seeking behaviours. Within most Health Boards the growth in prescriptions for each drug group were almost parallel during the four-year observation period, suggesting consistency in terms of increased BOO drug prescribing. Additional observations included a marked shift in volumes of α -1 blocking drug prescriptions in Lanarkshire between November 2016 and February 2017, however no further specific information regarding this phenomenon was available within the publicly available dataset. In the absence of a reasonable explanation for this singular observation in this one Health Board, this has been treated as an artefact due to a change in data-recording criteria.

Next, we investigated how the statistical model residuals may provide insight into how BOO drug prescribing behaviour differed across Scotland during the 4-year study period. **Figure 2** illustrates histograms of exponentiated residuals for each individual Health Board across Scotland, where a reference value of $1 = e^0$ represents a null residual (i.e. there is no deviation from the model's predicted average). Each residual is specific to one individual anonymous GP practice. On an exponential scale, a value of 1 indicates a prescribing behaviour in line with the average as described by the statistical model across Scotland during the study period. A value below 1 indicates prescribing volumes higher than expected based on the model, whilst a value larger than 1 indicates prescribing volumes lower than expected. Asymmetry around the reference value of 1, and/or multiple modes of

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distribution, suggests a different behaviour of individual GP practices within a Health Board compared against the national average across Scotland.

Using this statistical approach, we generally observed consistency in the prescription of drugs for BOO across Health Boards in Scotland, with most distributions appearing to be approximately unimodal and symmetric around the null residual (i.e. the reference value of $1 = e^0$). However, some interesting patterns of prescribing can be observed, such as the reduced level of BOO drug prescribing in some individual Health Boards (most notably Borders, Forth Valley, Tayside, Orkney and Shetland) compared to the average prescribing rate across Scotland. The reasons for these differences in prescribing pattern in these individual Health Boards are unclear and require further investigation.

Next, we examined the BOO drug prescribing patterns of GP practices with the aim of assessing and visualising possible spatial patterns. Once again using the model residuals, it was possible to identify individual anonymous practices at the extremes of the BOO prescribing distribution, and show their Health Board location on a map. This approach can be useful in order to detect geographic clusters of similar prescribing patterns, while accounting for temporal trends and other potential confounding factors that are already included in the model. For example, the policymaker might be interested in identifying clusters of GP practices where the rates of prescribing are either very low or very high with respect to the national average. We present the results of such analysis in **Figure 3** where, to preserve anonymity of the individual practices, we have aggregated the information at the Health Board level. We denote those GP practices with a model residual below the 2.5% percentile of the overall distribution of residuals as “high-volumes prescribers”, and those above the 97.5% percentile as “low-volumes prescribers”. We stress that

320 high- and low- are with respect to the national average as described by the model.

321 Moreover, the quantile thresholds are arbitrary and can be adjusted according to the
322 analytical need.

323 We observed that Highland is the Health Board with the largest percentage (~9.5%)
324 of GP practices that were identified as being in the upper tail of prescribing volumes
325 (identified by model residuals <2.5% percentile), followed by Lothian (~5.3%),
326 Dumfries and Galloway (~3.2%), Grampian (~2.9%), Forth Valley (~2%) and Greater
327 Glasgow and Clyde (~1.7%). No over-prescribing GP practices were found within the
328 remaining Health Boards. On the other hand, Tayside was identified as the Health
329 Board with the largest percentage (~19%) of under-prescribers (identified by model
330 residuals >97.5% percentile). Shetland (10%), Borders (~8.7%), Grampian (~5.7%),
331 Highland (~1.1%), Lothian (~1%), and Greater Glasgow and Clyde (~0.9%) also
332 contained GP practices that prescribed far below the national average. The
333 remaining Health Boards did not contain any under-prescribing practices. The
334 underlying reasons for these observations are currently unclear and require further
335 investigation.

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Discussion

This study has investigated patterns of medical prescribing for the two most common classes of drug (i.e. $\alpha - 1$ blockers and 5 - α reductase inhibitors) used to treat BOO in Scotland over a recent 4-year period (October 2015 - November 2019) using publicly available data from individual GP practices across all Health Boards. Using this approach, we generated a study dataset by linking prescribing data to GP practice-specific information (such as their type of contract with NHS, and their licence to dispense) and summary characteristics of their patient populations (such as patient age, and GP practice-associated levels of deprivation and rurality). This has enabled us to develop an improved understanding of patterns of prescribing of these medications across Scotland, and identify where prescription volumes may vary, having accounted for these variables.

A trend of increased BOO drug prescribing practice was observed throughout the 4-year observation window consistent with an ageing Scottish population, and perhaps also due to an increased awareness of male health issues amongst both patients and general practice teams, and increased referral of men to secondary care due to a raised PSA, which may indicate BPH as well as possible prostate cancer [16, 17]. However, it would be interesting to understand more fully the reasons behind the observed increase in prescribing across all Scottish Health Boards and the role that increased awareness and help seeking behaviour may have. It may also be interesting to identify the relative contribution (or otherwise) made by increased referral to secondary care with suspected prostate cancer (as identified by a raised PSA), which might result in increased BOO/BPH prescribing as a secondary consequence of a raised PSA referral. A further possibility may be that an increased focus on cancer management, rather than BPH surgery, may lead to an increase in

361 medical drug use for BOO, rather than definitive intervention – this is speculative, but
362 would be very interesting to investigate in future studies, particularly in order to
363 investigate how this may vary geographically. Although it has been acknowledged
364 that aspects regarding individual GP medical practices may potentially contribute to
365 prostate health inequalities [18, 19], to our knowledge this is the first time that the
366 particular characteristics of a GP practice (such as patient ages, GP-run practices,
367 dispensing ability, deprivation levels, and rurality) have been identified as being
368 potential factors influencing BOO drug prescribing patterns. It was interesting to
369 observe that whether a practice was NHS or GP-run, and whether a dispensing
370 pharmacy was present within the GP practice, was associated with higher and lower
371 prescribing volumes respectively. While the individual characteristics of GP medical
372 practices are known to differ by region [20], research accounting for these factors is
373 relatively sparse; hence it is unclear why this difference exists.

374 This study has several limitations. Firstly, the available data only exists in the public
375 domain at an aggregate (i.e. individual GP practice or patient list) level, and further
376 information would be helpful in order to identify the reasons why prescribing
377 practices vary at a more granular level (perhaps by associating the prescribing
378 practice with the presence or absence of a GP with a particular interest in men's
379 health issues, as an example). Secondly, the use of summary data regarding levels
380 of socio-economic deprivation and rurality of patients reduces the accuracy with
381 which one can estimate the shape of their association with the outcome, with these
382 factors likely to be important in terms of access to healthcare and prescribing.
383 Similarly, not having information about specific GP practice catchment areas made it
384 necessary to use an adjacency matrix based on postcodes, rather than actual
385 distances, resulting in a sub-optimal method of accounting for underlying spatial

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processes. Finally, it would be useful to analyse potential relationships between BOO drug prescribing patterns and longer-term outcomes from BOO in terms of clinical progression of this condition, such as need for future surgical intervention or treatment for BOO complications such as acute urinary retention. Such an analysis requires additional hospital data, which at present is unavailable and will require additional work in due course, which could be most insightful.

Nevertheless, despite these caveats and limitations, the analyses presented herein have identified interesting BOO medical prescribing trends across Scotland that require further investigation in future studies. For example, it would be very interesting to understand how the prescribing patterns relate to access to surgical intervention for BPH and BOO, and how they may relate to investigation for suspected prostate cancer based on PSA testing. It would also be interesting to understand the relative contribution of patient ageing to the rate of rise in BOO drugs prescription, and the degree to which other factors such as help seeking behaviour and clinician awareness might be contributing to this rate of rise in prescription volumes. These possibilities require further investigation.

In addition to identifying influences from spatial, temporal, and socioeconomic factors in regards to volumes of prescription of BOO medications, our analysis suggests that other sources of variability might account for inequalities in prescribing practice. In recent decades a considerable amount of research has been undertaken in order to better understand the so-called ‘Glasgow effect’ which describes health behaviours that transcend sociodemographic factors, and can best be described by a unique regional culture or a strong ‘social patterning’ [21, 22]. Although at this stage it is unclear what the remaining hidden determinants of the variations in BOO drug prescribing patterns may be, Scotland’s cultural diversity as described in the wider

literature may constitute a potential explanation, and it is unlikely that such effects are unique to Glasgow [9]. This warrants further investigation in future research.

It would be interesting for future research to investigate trends and potential inequalities regarding all prostate-related conditions (both benign and malignant) over space and time in other settings and geographical locations. In particular, it would be interesting to investigate whether the trends observed in Scotland may similarly exist in other regions of Great Britain and Ireland, and if so, are variations attributable to common factors such as remoteness/rurality and socioeconomic status. Further research to investigate medical practice phenomena as complex as prescribing patterns using publicly available data may identify key limitations in practice, and may inform data collection and data sharing in the future. In addition, the general nature of the statistical modelling framework we have proposed in this study may be extended to investigate other kinds of models, covariates, and medical conditions in a range of future studies.

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Conclusions

The volume of prescriptions of drugs for LUTS secondary to BOO has steadily increased across Scotland during a recent 4-year observation period, which may, at least in part, be attributable to an ageing population and increased diagnosis. Prescription volume for these medications is associated with various characteristics of the GP practice (such as type of contract and presence of a licence to dispense). However, some inequalities in prescribing across Health Boards in Scotland remain unexplained after accounting for GP practice- and patient-specific characteristics such as socioeconomic deprivation and rurality, suggesting there may be other hitherto unknown determinants of BOO drug prescribing practice. Further research is warranted to understand these differences more fully.

Figure Legends

Figure 1:

Statistical model average number of daily doses of each BOO drug type prescribed per month, by individual Scottish Health Board and adjusted for GP practice patient roll size.

Figure 2:

Frequency histograms of the statistical model's exponentiated residuals for each Scottish Health Board. The vertical dashed line represents the null residual (value=1 on the exponentiated scale), and each small black square represents the average number of daily prescriptions of BOO drugs (combined $\alpha - 1$ blockers and $5 - \alpha$ reductase inhibitors) per month per individual anonymous GP practice within each Health Board. The boxplot below each histogram illustrates the same data distribution (the white point marks the median, the thick line represents the usual box, and the thin line represents the whiskers). Ideally the exponentiated residuals for each GP practice would lie around the null residual (i.e. value=1), however the heterogeneity in prescribing practice is illustrated by increased spread to the left and right of the null residual. A shift of GP practice distributions to the right of the null residual, as seen in Health Boards such as Borders, Fife, Orkney, Shetland and Tayside, illustrates less prescribing of these medications than would be expected.

Figure 3:

Maps of Scotland highlighting the Health Board locations of anonymous GP practices at the extremes of the distribution of BOO drug prescribing. The left panel shows the percentage of GP practices within each Health Board that have been identified (via model residuals) as being high in prescribing volumes with respect to

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460 the national average (i.e. model residuals <2.5% percentile). Similarly, the right panel
461 shows the percentage of GP practices low in prescribing volumes with respect to the
462 national average (i.e. model residuals >97.5% percentile).

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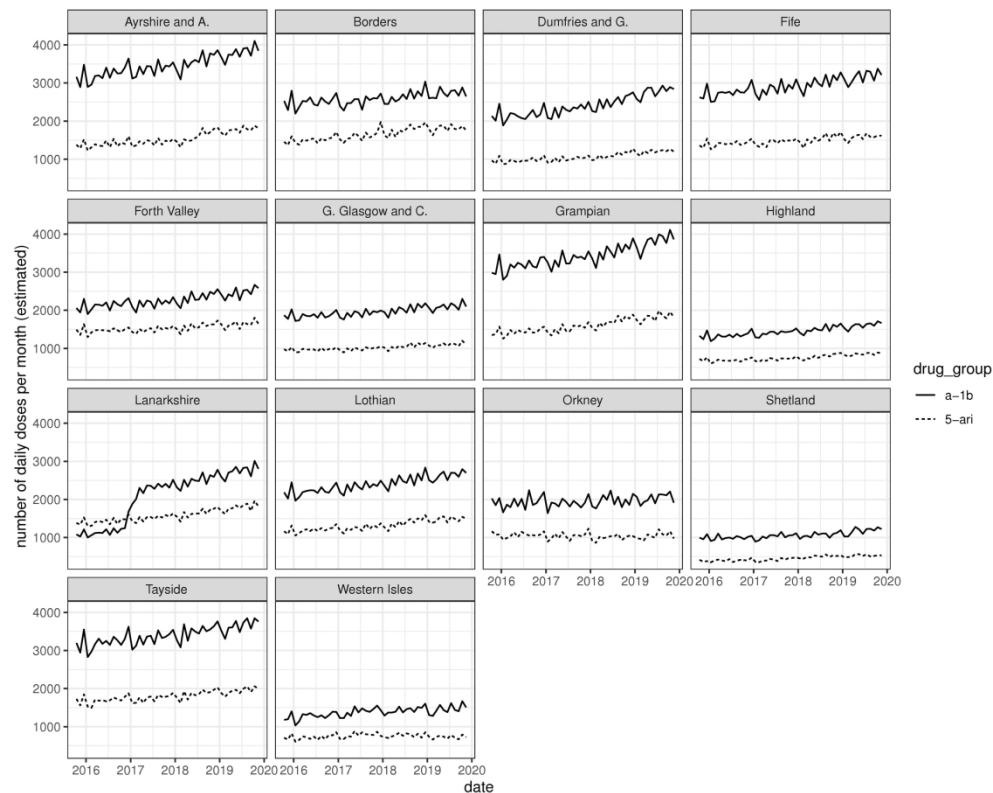
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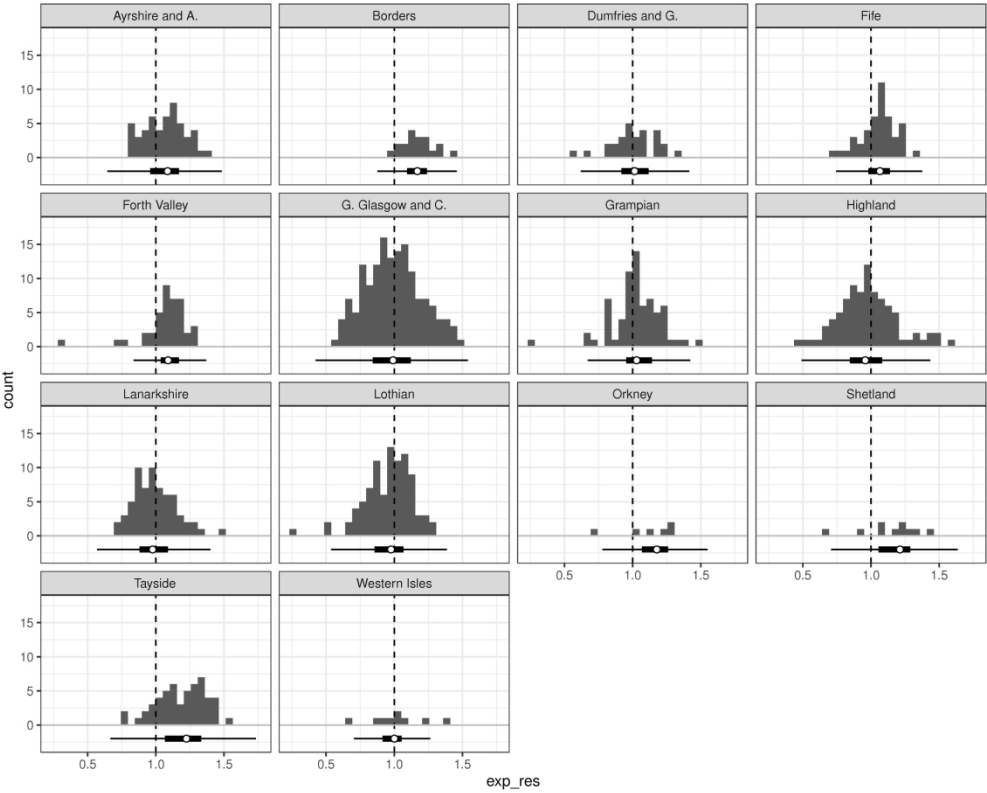
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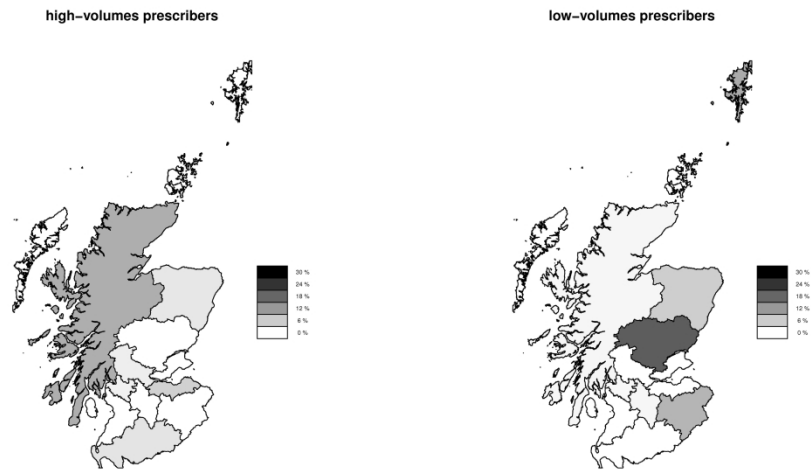
Statistical model average number of daily doses of each BOO drug type prescribed per month, by individual Scottish Health Board and adjusted for GP practice patient roll size.

254x203mm (300 x 300 DPI)



Frequency histograms of the statistical model’s exponentiated residuals for each Scottish Health Board. The vertical dashed line represents the null residual (value=1 on the exponentiated scale), and each small black square represents the average number of daily prescriptions of BOO drugs (combined α -1 blockers and 5- α reductase inhibitors) per month per individual anonymous GP practice within each Health Board. The boxplot below each histogram illustrates the same data distribution (the white point marks the median, the thick line represents the usual box, and the thin line represents the whiskers). Ideally the exponentiated residuals for each GP practice would lie around the null residual (i.e. value=1), however the heterogeneity in prescribing practice is illustrated by increased spread to the left and right of the null residual. A shift of GP practice distributions to the right of the null residual, as seen in Health Boards such as Borders, Fife, Orkney, Shetland and Tayside, illustrates less prescribing of these medications than would be expected.

254x203mm (300 x 300 DPI)



Maps of Scotland highlighting the Health Board locations of anonymous GP practices at the extremes of the distribution of BOO drug prescribing. The left panel shows the percentage of GP practices within each Health Board that have been identified (via model residuals) as being high in prescribing volumes with respect to the national average (i.e. model residuals <2.5% percentile). Similarly, the right panel shows the percentage of GP practices low in prescribing volumes with respect to the national average (i.e. model residuals >97.5% percentile).

355x203mm (300 x 300 DPI)

Technical supplement for the paper “Prescribing patterns for medical treatment of suspected prostatic obstruction: A spatiotemporal statistical analysis of Scottish open access data”

Authors: Federico Andreis, Richard Bryant, Emanuele Giorgi, Andrea Williamson, Ashleigh Ward

We employ a Bayesian hierarchical Poisson model that estimates spatial and temporal structured patterns. The outcome of interest is the number of daily doses prescribed in a month at a general practice in Scotland, between October 2015 and November 2019. Let n_{jti} denote the number of prescriptions of drugs belonging to group $j \in \{\alpha - 1b, 5 - \alpha ri\}$, for practice $i = 1, \dots, 903$, in month $t = 1, \dots, 50$.

Conditionally on a set of random effects $\alpha_{[i]}$, $\alpha_{[ji]}$ and $\alpha_{[t]}$, let n_{jti} follow a Poisson distribution with parameter λ_{jti} , modelled with an additive linear predictor on a natural logarithm scale:

$$n_{jti} \sim \text{Poisson}(\lambda_{jti})$$

$$\log(\lambda_{jti}) = \alpha + \alpha_{[i]} + \alpha_{[ji]} + \alpha_{[tji]} + \beta_1 \log(\text{list size}_{ti}) + \beta_2 \text{drug group} + \beta_3 \text{contract}_i + \beta_4 \text{dispensing}_i + \beta_5 \text{males 45p}_{ti} + \beta_6 \text{deprived 15}_{ti} + \beta_7 \text{remote}_{ti}$$

The random effects, as described in Table 3 in the paper, relate to:

- A structured component accounting for spatial correlation ($\alpha_{[i]}$)
- An unstructured component accounting for potential interactions between individual practice and type of drug ($\alpha_{[ji]}$)
- A component accounting for temporal correlation, grouped by health board and type of drug ($\alpha_{[t]}$).

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To model the spatial component $\alpha_{[i]}$, we employ an intrinsic Conditional Auto Regressive (iCAR [1,2,3]) structure that exploits an adjacency matrix based on location of the practices. The postcodes are linked to Easting/Northing coordinates and Voronoi tessellation is used to find nearest neighbours and their distances (this was done using the *caramellar* package, available from github via `devtools::install_github("barryrowlingson/caramellar")`). In this way, the model allows the borrowing of strength of information across neighbouring regions.

The component accounting for potential interactions between the individual medical practice and the type of drug prescribed $\alpha_{[ji]}$ is modelled in an unstructured way, using *iid* gaussian terms with common precision parameter [3].

The temporal component $\alpha_{[t]}$ is modelled using a first-order autoregressive process [1], allowing grouping by health board and drug type. This approach allows the estimation of a common temporal autocorrelation structure for practices in the same health board and with respect to the same drug group type.

An Integrated Nested Laplace Approximation [4] approach is used to approximate the posterior distribution and obtain the estimates, using the *inla* package in R; default prior distributions are used for all parameters. To make estimation computationally easier, a cheap Gaussian approximation is first used and the resulting estimates employed as reasonable starting values for the actual model fitting procedure (details in the R code in Supplement 2). Kullback-Leibler divergence statistics [3] indicate a satisfactory approximation to all marginal posterior densities.

Figure 1 contains the summary of the model fit, while Table 1 contains a summary of estimated posterior distributions for the random effects in terms of standard deviations, rather than precision parameters (as in Figure 1). Estimation took

approximately 7 hours on an AMD Ryzen 7 2700x processor with 32GB DDR4 RAM, under Windows 10.

Figure 1. Summary of model fit

```
call:
  c("inla(formula = formula_mod_IV, family = \"poisson\", data = df_joint, \"\", \" verbose = TRUE,
  control.compute = control$compute, control.predictor = control$predictor, \"\", \" control.inla =
  list(diagonal = 10), control.results = control$results, \"\", \" control.fixed = list(prec.intercept =
  0.1), control.mode = list(result = mod_inla_approx_IV, \"\", \" restart = TRUE))")

Time used:
  Pre = 0.612, Running = 24085, Post = 4.97, Total = 24091

Fixed effects:
      mean      sd 0.025quant 0.5quant 0.975quant   mode kld
(Intercept)  -1.570 0.040    -1.648   -1.570    -1.492 -1.570  0
drug_group5-ari -0.625 0.017    -0.658   -0.625    -0.591 -0.625  0
gp_runY       0.238 0.033     0.174    0.238     0.302 0.238  0
dispensingY   -0.086 0.026    -0.138   -0.086    -0.035 -0.086  0
log(list_size) 0.915 0.002     0.911    0.915     0.919 0.915  0
prop_m_45p     4.816 0.032     4.754    4.816     4.879 4.816  0
p_15           0.017 0.007     0.003    0.017     0.030 0.017  0
ur_codeRemote  0.002 0.000     0.002    0.002     0.003 0.002  0

Random effects:
  Name      Model
  postcode_n Besags ICAR model
  time_n     AR1 model
  gp_drug    IID model

Model hyperparameters:
      mean      sd 0.025quant 0.5quant 0.975quant   mode
Precision for postcode_n 4.353 0.328     3.753    4.337     5.039 4.302
Precision for time_n     29.235 5.693    18.647   29.144    40.766 29.217
Rho for time_n           0.899 0.013     0.871    0.900     0.923 0.901
GroupRho for time_n      0.685 0.043     0.604    0.683     0.771 0.676
Precision for gp_drug    20.286 0.802    18.736   20.277    21.895 20.269

Expected number of effective parameters(stddev): 4095.95(1.50)
Number of equivalent replicates : 22.01

Deviance Information Criterion (DIC) .....: 4371157.00
Deviance Information Criterion (DIC, saturated) .....: 3605454.27
Effective number of parameters .....: 4097.92

Watanabe-Akaike information criterion (WAIC) ...: 4488316.21
Effective number of parameters .....: 159926.14

Marginal log-Likelihood: -2217597.61
CPO and PIT are computed

Posterior marginals for the linear predictor and
the fitted values are computed
```

Table 1. Summary of estimated posterior distributions for random effects. SD denotes the standard deviation.

| | mean | Sd | 2.5% | 50% | 97.5% | mode |
|--|-------|-------|-------|-------|-------|-------|
| SD of $\alpha_{[i]}$ (spatial) | 0.480 | 0.018 | 0.446 | 0.480 | 0.516 | 0.480 |
| SD of $\alpha_{[ji]}$ (unstructured) | 0.222 | 0.004 | 0.214 | 0.222 | 0.231 | 0.222 |
| SD of $\alpha_{[tji]}$ (temporal) | 0.188 | 0.019 | 0.157 | 0.185 | 0.231 | 0.178 |
| ρ_1 of $\alpha_{[tji]}$ (autocorrelation) | 0.899 | 0.013 | 0.871 | 0.900 | 0.923 | 0.901 |
| ρ_2 of $\alpha_{[tji]}$ (group correlation) | 0.685 | 0.043 | 0.604 | 0.683 | 0.771 | 0.676 |

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Prescribing patterns for medical treatment of suspected prostatic obstruction: a longitudinal register-based study the Scottish Health and Social Care Open Data

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1 Prescribing patterns for medical treatment of suspected prostatic obstruction: a
2 longitudinal register-based study the Scottish Health and Social Care Open Data

3 **Authors**

4 Federico Andreis, PhD [Faculty of Health Sciences and Sport, University of Stirling]

5 Richard J Bryant, BMedSci MBChB FRCSEd(Urol) PhD [Nuffield Department of
6 Surgical Sciences, University of Oxford, Oxford Cancer Research Centre, Oxford] &
7 [Department of Urology, Churchill Hospital , Oxford University Hospitals NHS
8 Foundation Trust]

9 Emanuele Giorgi, PhD [Lancaster Medical School, University of Lancaster]

10 Andrea Williamson, MBChB MPH PhD FRCGP FHEA DTM&H [General Practice and
11 Primary Care, School of Medicine, Dentistry and Nursing, MVLS, University of
12 Glasgow] & [General Practitioner Homelessness Health, Medical Officer Addictions,
13 NHS Glasgow and Clyde, Homeless Health and Resource Services]

14 Ashleigh Ward, PhD RN FHEA MRSB [School of Health Sciences, University of
15 Dundee]

16 **Corresponding author**

17 Federico Andreis, PhD

18 Faculty of Health Sciences and Sport, Pathfoot Building, University of Stirling, FK9
19 4LA, Stirling

20 email: federico.andreis@stir.ac.uk

21 tel: +44(0)1786466252

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Abstract

Background The diagnosis of lower urinary tract symptoms related to suspected bladder outflow obstruction from benign prostate hyperplasia/enlargement in men is increasing. This is leading to high demand on healthcare services. However, there is limited knowledge of differences in pharmacotherapy prescribing for this condition based on geography.

Objective To investigate potential variation in drug prescribing for suspected bladder outflow obstruction in Scotland, based on analysis of publicly available data, to identify trends and inform future prescribing.

Study design A longitudinal register-based data study of prescribing and patient data publicly available from Scottish registries. All information is available as monthly aggregates at the level of single general practices.

Setting and participants 903 (97%) general practices in Scotland, over a 50-month period (October 2015 to November 2019).

Outcome measurements and statistical analysis We analysed numbers of daily doses of drugs for suspected bladder outflow obstruction prescribed per month using a Bayesian Poisson regression analysis, incorporating random effects to account for spatial and temporal elements.

Results: Prescriptions for suspected bladder outflow obstruction medications increased during the observation period (overall average rate of change 1.24 ± 0.28 , ranging from 0.893 in Orkney to 1.95 in Lanarkshire). Whilst some determinants of

health inequality regarding prescribing practices across health boards are consistent with those known from the literature, other inequalities remain unexplained after accounting for practice- and patient-specific characteristics such as deprivation and rurality.

Conclusions Variations in spatiotemporal prescribing for suspected bladder outflow obstruction medications exist in Scotland, some of which remain unexplained.

Keywords

Suspected bladder outflow obstruction, benign prostate hyperplasia, benign prostate enlargement, lower urinary tract symptoms, drug prescription, open access data, spatiotemporal modelling, medical practice

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Summary section

Strengths and limitations of this study

- This is the first study to investigate spatial and temporal variations in drug-prescribing patterns for bladder outflow obstruction using geostatistical models
- As such, this data provides a new perspective to inform clinical practice
- The statistical framework described in this paper is very general, and can be readily extended to other settings and conditions
- Data on drug-prescribing in Scotland only exists in the public domain at an aggregate (i.e. individual GP practice or patient list) level, which limits the granularity of the analysis
- The use of summary data regarding levels of socio-economic deprivation and rurality of patients reduces the accuracy with which one can estimate the shape of their association with the outcome. These factors are likely to be important in terms of access to healthcare and prescribing.

Contributors

FA and AW2 initiated the study. FA and EG developed the statistical model. FA had full access to all the data in the study, carried out the statistical analysis and took responsibility its accuracy and for the integrity of the data. FA drafted the manuscript, and all authors (FA, RB, EG, AW1, AW2) contributed to the critical revision for important intellectual content, and to the interpretation of the data analysis results. RB and AW1 provided advice on clinical aspects of the results.

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78 encouragement received by Prof Alan McNeill (NHS Scotland) and Prostate
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82 commercial or not-for-profit sectors.

83 **Competing interests**

84 None declared. None of the contributing authors have any conflict of interest,
85 including specific financial interests and relationships and affiliations relevant to the
86 subject matter or materials discussed in the manuscript.

87 **Patient and public involvement**

88 No patients or public was involved for this study.

89 **Patient consent for publication**

90 Not required.

91 **Ethics approval**

92 Not required. This study is an analysis of publicly available electronic health records.

93 **Data availability statement**

94 A technical supplement, the statistical code (in R), and the final processed dataset
95 used for the study available from medRxiv:

96 <https://www.medrxiv.org/content/10.1101/2020.06.19.20135459v1>. The original,
97 unprocessed data is publicly available online from the sources indicated in the
98 manuscript in the Material and Methods section.

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120 Introduction

121 The incidence of bothersome lower urinary tract symptoms (LUTS) in men, which are
122 predominantly attributable to benign prostate hyperplasia (BPH) and/or benign
123 prostatic enlargement (BPE) causing proven or suspected bladder outflow
124 obstruction (BOO), is increasing globally [1]. This results in a reduced quality of life
125 and increased healthcare expenditure [1, 2]. In Scotland, men with suspected or
126 proven BOO are generally managed in primary care with medication prescribed by
127 general practitioners (GPs) and/or specialist practitioners, with such medication
128 either prescribed by GPs alone, or as part of shared care with specialists such as
129 urologists [1]. In Scotland healthcare services treating men with prostate conditions
130 face increased demand resulting from an ageing population, increased prostate
131 cancer (PCa) investigation [3, 4], and increased awareness of men's health issues.
132 As a result of these combined factors, the increasing burden of bothersome LUTS
133 arising from BOO is likely to impact upon drug prescription patterns. Studies
134 reporting the incidence and prevalence of LUTS in men are predominantly cross-
135 sectional in nature, with widely varying results [5].

136 Scotland's diverse geography provides an ideal landscape to understand how factors
137 that may impact upon prescribing patterns may differ spatially in terms of geography,
138 and longitudinally [6]. Prescribing trends in Scotland vary geographically by
139 urban/rural and sociodemographic classifications [6], and by unique regional cultures
140 or as a result of strong 'social patterning', one example being the so-called 'Glasgow
141 effect' [7, 8]. Furthermore, it has been acknowledged that aspects regarding
142 individual GP medical practices may contribute to prostate health inequalities across
143 the UK [9, 10].

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144 Data regarding the prescription of drugs for the medical management of BOO as a
145 cause for male bothersome LUTS has been used internationally in recent years to
146 increase the understanding of patterns of prescribing within specific populations.
147 This approach can be useful to identify potential demographic inequalities in patient
148 access to healthcare for this condition, and to understand the patient experience,
149 predominantly using longitudinal datasets [11, 12, 13]. However, to date there is
150 limited knowledge of how the prescribing patterns for drugs used to treat BOO may
151 differ both spatially and temporally. The increasing availability of high quality open
152 access healthcare data in Scotland has created the opportunity for a more refined
153 and broader-based analysis of prescribing patterns for BOO.

154 We investigated the possibility that differences may exist in Scotland in the
155 prescribing patterns of the two main classes of medications used to treat bothersome
156 LUTS secondary to BOO. We performed an analysis of publicly available data in
157 order to identify possible variations in prescribing trends that may help inform future
158 prescribing practice.

159 **Material and Methods**

160 Data sources

161 Data was acquired and linked from numerous independent and publicly accessible
162 sources. The dataset comprised information at the level of individual General
163 Practices (GPs) and was been created from the following four sources:

- 164 1. NHS Scotland OpenData: drug prescriptions monthly data
165 (<https://www.opendata.nhs.scot/dataset/prescriptions-in-the-community>)
- 166 2. Information Services Division Scotland (ISD): practice details, deprivation,
167 rurality (<https://www.isdscotland.org/Health-Topics/General-Practice/>)
- 168 3. National Institute for Health and Care Excellence (NICE): daily dosages
169 (<https://www.nice.org.uk>)
- 170 4. National Records of Scotland (NRS): postcodes, health boards
171 ([https://www.nrscotland.gov.uk/statistics-and-data/geography/our-](https://www.nrscotland.gov.uk/statistics-and-data/geography/our-products/scottish-postcode-directory/2018-2)
172 [products/scottish-postcode-directory/2018-2](https://www.nrscotland.gov.uk/statistics-and-data/geography/our-products/scottish-postcode-directory/2018-2))

173 The keys used to link the sources were the unique GP code and date. The ISD and
174 NRS portals provided information regarding both the medical practice (i.e. GP) and
175 their patient population. Table 1 contains a list of the variables extracted from these
176 sources. We used both deprivation and rurality to account for socio-demographic
177 status. In particular, we used the 2016 Scottish Index of Multiple Deprivation (SIMD)
178 [14] and a recoded version of the 2018 Scottish Government Urban Rural
179 Classification [15]. Additionally, the ISD and NRS sources provided GP-level
180 information regarding the size of the individual practice patient list, the gender and
181 age distribution, and the location of the practice (based on postcode and name of
182 relevant Health Board). All GP practices open for the whole study period (October

2015 to November 2019) were included in the dataset (903 of 944, 95.7%, practices in Scotland open as of 1st January 2019). The Scottish Open Data portal provided the prescribed amounts of the drugs of interest per month.

Table 1. Variables extracted from the four data sources and used as covariates in the statistical model.

| Variable | Type | Short description |
|------------------------|---------------------------|---|
| Drug group | Categorical | α-1 blocker or 5-α reductase inhibitor |
| GP patient list size | Numerical, time-varying | Number of registered patients per GP practice |
| GP practice run | Categorical, baseline | Is the practice run by GPs (contract type 17J and 17C), rather than by the NHS (contract type 2C)? Yes/No |
| Dispensing GP practice | Categorical, baseline | Does the GP practice have a license to dispense medicines? Yes/No |
| Males 45p | Numerical, time-varying | Proportion of patients that are males aged ≥45 years |
| Deprived 15 | Numerical, time-varying | Proportion of patients under the 15 th national percentile of deprivation (SIMD) |
| Remote | Categorical, time-varying | Modal rurality index among the patients (Scottish Government 8-fold Urban Rural Classification) recoded as remote (4, 5, 7, 8) or non-remote (1, 2, 3, 6). Yes/No |
| Postcode | Categorical, baseline | GP practice postcode as of 2019 |
| Health board | Categorical, baseline | Name of Scottish Health Board where the GP practice is situated. |

BOO medications analysed

We specifically focussed on the prescribing of α-1 blocking drugs and 5-α reductase inhibitors as these are the only specific medications used for BOO. α-1 blockers are the first-line and most commonly used drugs prescribed for LUTS secondary to BOO [1, 16], whilst 5-α reductase inhibitors are generally recommended where men are considered at high risk of BPH progression due to a significantly enlarged prostate, either as monotherapy or in combination with α-1 blockers [1, 16, 17]. The α-1 blockers alfuzosin and tamsulosin hydrochloride were included in the study, however doxazosin and terazosin were not included as the amounts of these drugs prescribed were negligible, whilst doxazosin may be prescribed for hypertension. The 5-α reductase inhibitors dutasteride and finasteride were both included in this study. When combined with the suggested daily dosages from the NICE website (see Table

2), a proxy for the number of daily doses was constructed by rounding to the nearest integer the following expression:

$$n_{dti} = \frac{\text{\#tablets of drug } d \text{ prescribed during month } t \text{ at practice } i}{\text{daily suggested dosage for drug } j} \#(1)$$

where i , d , and t represent the GP practice, drug, and month respectively. In our dataset, we have observations for $i = 1, \dots, 903$ GP practices over $t = 1, \dots, 50$ months; d indexes the drugs reported in Table 2. The number of daily doses for each of the drug groups was then constructed by summation of the respective drugs' monthly amounts of daily doses. We denote with n_{jti} the number of daily doses of drugs belonging to group $j \in \{\alpha 1 - \text{blocker}, 5 - \alpha \text{ reductase inhibitor}\}$, prescribed during month t , at each GP practice i .

Table 2. Suggested daily dosages of the study α -1 blockers and 5- α reductase inhibitors according to NICE guidelines.

| Drug | Drug group | Suggested daily dosage (NICE) |
|--------------------|---------------------------------|-------------------------------|
| Alfuzosin | α -1 blocker | 2.5 mg 3 times a day |
| Tamsulosin cap/tab | α -1 blocker | 10 mg once daily |
| Dutasteride | 5- α reductase inhibitor | 400mcg once daily |
| Finasteride | 5- α reductase inhibitor | 500mcg once daily |
| | | 5mg once daily |

We then used a Poisson model in order to describe the average number of daily doses of BOO medication prescribed each month, accounting for each of the covariates presented in Table 1, with additional spatial and temporal random effects. Possible interactions between individual GP prescribing pattern, or GP geographical location, with the prescribed drug type were also investigated.

Equation 1 describes the model's functional form, while Table 3 summarises our choices in terms of spatial and temporal structure. Further details of the statistical analysis are provided as supplementary material.

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5 222 $n_{jti} \sim \text{Poisson}(\lambda_{jti})$
6 $\log(\lambda_{jti}) = \alpha + \alpha_{[i]} + \alpha_{[ji]} + \alpha_{[tji]} + \beta_1 \log(\text{list size}_{ti}) + \beta_2 \text{drug group} + \beta_3 \text{contract}_i +$
7 $\beta_4 \text{dispensing}_i + \beta_5 \text{males 45p}_{ti} + \beta_6 \text{deprived 15}_{ti} + \beta_7 \text{remote}_{ti} \#(2)$
8
9 223 where j (drug group), t (month), and i (each GP practice) are as described, and $\alpha_{[i]}$,
10 $\alpha_{[ji]}, \alpha_{[tji]}$ denote the random effects, summarised in Table 3. A detailed discussion of
11 224 the choice of the random components of the model is available in Supplementary file
12 225
13 226 1.

19 227 **Table 3.** Spatial and temporal random effects.

| Parameter | Type | Short description |
|------------------|--------------|--|
| $\alpha_{[i]}$ | Spatial | intrinsic Conditional Auto Regressive (iCAR) |
| $\alpha_{[ji]}$ | Unstructured | Unstructured interaction between GP and drug type |
| $\alpha_{[tji]}$ | Temporal | First-order Auto Regressive (AR1), grouped by health board and drug type |

31 229 Computational analysis

33 230 All computational analyses were carried out in R 3.6.1 [18]. We estimated the model
34 231 parameters within the Integrated Nested Laplace Approximation framework using the
35 232 inla package [19]. The code is available as supplementary file 2, while data and
36 233 shapefiles from an external repository [20].

Results

We investigated the effects of each the available covariates (including GP patient list size; BOO drug group; whether the practice was GP run; whether the GP practice dispensed medication; proportion of males aged 45 years; higher proportion of socio-economic deprivation; and remote/rural location) on the study outcome. Table 4 contains summary statistics on the sample.

Table 4. Summary statistics of the sample, stratified by health board. Number of practices, median and (interquartile range) for continuous variable, proportions for categorical variables. Counts are rounded to the nearest integer, proportions to the second decimal place.

| Health Board | Number of practices | Daily doses prescribed/month | | Patients list size | Run by GP | Dispensing practices | Males 45+ | Highly deprived | Remote practices |
|----------------------|---------------------|------------------------------|----------------|--------------------|-----------|----------------------|----------------|-----------------|------------------|
| | | α -1b | 5- α i | | | | | | |
| Ayrshire and Arran | 53 | 3091 (2563) | 1320 (1192) | 6625 (4831) | 1.00 | 0.06 | 0.25 (0.03) | 0.20 (0.24) | 0.18 |
| Borders | 23 | 2054 (1838) | 1374 (983) | 4862 (3343) | 1.00 | 0.17 | 0.26 (0.01) | 0.00 (0.09) | 0.13 |
| Dumfries and G. | 31 | 2231 (1449) | 966 (782) | 3976 (3492) | 1.00 | 0.39 | 0.27 (0.04) | 0.00 (0.08) | 0.20 |
| Fife | 53 | 2699 (1805) | 1372 (820) | 6367 (4313) | 0.96 | 0.02 | 0.23 (0.03) | 0.13 (0.23) | 0.04 |
| Forth Valley | 51 | 2144 (1767) | 1515 (1260) | 5844 (4606) | 0.94 | 0.02 | 0.23 (0.02) | 0.06 (0.16) | 0.13 |
| G. Glasgow and Clyde | 230 | 1658 (1692) | 826 (924) | 4863 (3620) | 1.00 | 0.01 | 0.21 (0.04) | 0.33 (0.43) | 0.04 |
| Grampian | 70 | 3204 (2595) | 1462 (1228) | 7980 (4875) | 0.94 | 0.17 | 0.24 (0.04) | 0.00 (0.02) | 0.28 |
| Highland | 95 | 1002 (1486) | 504 (810) | 2358 (4467) | 0.85 | 0.39 | 0.27 (0.05) | 0.00 (0.05) | 0.56 |
| Lanarkshire | 97 | 1665 (2161) | 1428 (1406) | 6048 (4570) | 0.99 | 0.02 | 0.22 (0.02) | 0.23 (0.22) | 0.10 |
| Lothian | 112 | 2205 (1700) | 1176 (1008) | 7814 (4229) | 0.95 | 0.00 | 0.21 (0.04) | 0.02 (0.12) | 0.03 |
| Orkney | 6 | 1675 (1217) | 1008 (929) | 2908 (2379) | 0.83 | 0.33 | 0.28 (0.03) | 0.00 (-) | 0.70 |

| | | | | | | | | | |
|------------|----|----------------|----------------|----------------|------|------|----------------|----------------|------|
| Shetland | 10 | 690 (1107) | 253 (560) | 1143 (1913) | 0.20 | 0.70 | 0.24 (0.04) | 0.00 (-) | 0.92 |
| Tayside | 63 | 3103 (2140) | 1645 (1166) | 6685 (4676) | 0.94 | 0.02 | 0.23 (0.05) | 0.05 (0.24) | 0.12 |
| Western I. | 9 | 892 (1891) | 588 (665) | 1389 (4046) | 1.00 | 0.89 | 0.30 (0.06) | 0.00 (-) | 0.76 |

Table 5 summarises the output of the Poisson regression estimates of each of the associated parameters. In a further analysis, we interpreted each of these parameters in terms of relative differences from the model average (with the exception of GP practice list size).

Table 5. Summary of estimated posterior distributions for the fixed effects, containing the model estimates with associated uncertainty, on the rate ratio scale. The posterior distribution associated to the patient list size effect estimate β_1 was not transformed and should be read on the outcome scale, as explained in-text.

| | Mean | SD | 2.5% | 50% | 97.5% | Mode |
|---|-------|--------|-------|-------|-------|-------|
| α – Intercept | 0.208 | 0.008 | 0.192 | 0.208 | 0.225 | 0.208 |
| β_1 – log(GP patient list size) | 0.915 | 0.002 | 0.911 | 0.915 | 0.919 | 0.915 |
| β_2 – Drug group (5- α reductase inhibitors) | 0.536 | 0.009 | 0.518 | 0.535 | 0.554 | 0.536 |
| β_3 – GP run practices | 1.269 | 0.042 | 1.190 | 1.268 | 1.352 | 1.269 |
| β_4 – Dispensing GP practice | 0.918 | 0.024 | 0.871 | 0.917 | 0.966 | 0.918 |
| β_5 – Males aged ≥ 45 years | 1.049 | <0.001 | 1.049 | 1.049 | 1.050 | 1.049 |
| β_6 – Socially deprived area | 1.017 | 0.007 | 1.003 | 1.017 | 1.031 | 1.017 |
| β_7 – Remote/rural GP practice | 1.002 | <0.001 | 1.002 | 1.002 | 1.003 | 1.002 |

The GP practice patient list size was observed to have an effect consistent with an estimated increase of slightly less than one daily dose per month per additional patient (point estimate 0.915). As expected, the prescribed doses of the 5 –

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3 256 α reductase inhibitor drug group were observed to be on average less than the
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5 257 prescribed doses of the $\alpha - 1$ blocker drug group. The estimated relative difference
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7 258 was calculated to be on average 45-48% fewer 5 - α reductase inhibitor drug
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9 259 prescriptions than $\alpha - 1$ blocker drug prescriptions per month (point estimate 46%).
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13 260 We observed that the nature of how the GP practices are individually run are
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15 261 associated with BOO drug prescribing practice, with GP-run practices (rather than by
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17 262 direct NHS-run practices) having larger volumes of these prescriptions. The model
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19 263 results are consistent with a 19-35% (point estimate 27%) increased number of
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21 264 monthly prescriptions for GP-run practices compared with NHS-run practices, all else
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23 265 being equal. This is an interesting result, and to the best of our knowledge no
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25 266 rigorous research has been undertaken to investigate the role that how a GP
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27 267 practice is managed plays on the stability of GP provision of care and, in turn, on
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29 268 help seeking behaviours and clinical outcomes. Conversely, we observed that GP-
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31 269 dispensing practices are associated with 3-13% (point estimate 8%) lower volumes
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33 270 of BOO drug prescriptions, compared to GP practices without a pharmacy, keeping
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35 271 all other variables constant. Practices that dispense usually tend to do so because
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37 272 there is no local community pharmacy, and they need one to fit a need; one way of
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39 273 thinking about this is that having a dispensing licence may reflect an aspect of
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41 274 remoteness that is not captured by the rurality measure we employ. We found the
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43 275 proportion of males aged ≥ 45 years in the GP practice patient list to be positively
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45 276 associated with the volume of BOO prescriptions, with an estimated average
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47 277 increase in the monthly rate of prescription of 4.9% per percentage point. In contrast,
48
49 278 the levels of deprivation, and a remote/rural geographical location of each GP
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51 279 practice, were found to have a negligible association with prescribing practice.
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Next, we investigated the statistical model’s interpolations according to Health Board and drug group (α -1 blocking drugs and 5- α reductase inhibitors) (**Figure 1**). This analysis highlighted a general increase in the volumes of prescriptions for these medications over the 4-year study period. Trends in prescribing were observed to be broadly similar across most Health Boards, with a less prominent increase in less populated areas, which may potentially be attributable to a more stable population structure over the 4-year period of observation, as well as unaccounted for differences in help seeking behaviours. Within most Health Boards the growth in prescriptions for each drug group were almost parallel during the four-year observation period, suggesting consistency in terms of increased BOO drug prescribing. Additional observations included a marked shift in volumes of α -1 blocking drug prescriptions in Lanarkshire between November 2016 and February 2017, however no further specific information regarding this phenomenon was available within the publicly available dataset. In the absence of a reasonable explanation for this singular observation in this one Health Board, this has been treated as an artefact due to a change in data-recording criteria.

Next, we investigated how the statistical model residuals may provide insight into how BOO drug prescribing behaviour differed across Scotland during the 4-year study period. **Figure 2** illustrates histograms of exponentiated residuals for each individual Health Board across Scotland, where a reference value of $1 = e^0$ represents a null residual (i.e. there is no deviation from the model’s predicted average). Each residual is specific to one individual anonymous GP practice. On an exponential scale, a value of 1 indicates a prescribing behaviour in line with the average as described by the statistical model across Scotland during the study period. A value below 1 indicates prescribing volumes higher than expected based

on the model, whilst a value larger than 1 indicates prescribing volumes lower than expected. Asymmetry around the reference value of 1, and/or multiple modes of distribution, suggests a different behaviour of individual GP practices within a Health Board compared against the national average across Scotland.

Using this statistical approach, we generally observed consistency in the prescription of drugs for BOO across Health Boards in Scotland, with most distributions appearing to be approximately unimodal and symmetric around the null residual (i.e. the reference value of $1 = e^0$). However, some interesting patterns of prescribing can be observed, such as the reduced level of BOO drug prescribing in some individual Health Boards (most notably Borders, Forth Valley, Tayside, Orkney and Shetland) compared to the average prescribing rate across Scotland. The reasons for these differences in prescribing pattern in these individual Health Boards are unclear and require further investigation.

Next, we examined the BOO drug prescribing patterns of GP practices with the aim of assessing and visualising possible spatial patterns. Once again using the model residuals, it was possible to identify individual anonymous practices at the extremes of the BOO prescribing distribution, and show their Health Board location on a map. This approach can be useful in order to detect geographic clusters of similar prescribing patterns, while accounting for temporal trends and other potential confounding factors that are already included in the model. For example, the policymaker might be interested in identifying clusters of GP practices where the rates of prescribing are either very low or very high with respect to the national average. We present the results of such analysis in **Figure 3** where, to preserve anonymity of the individual practices, we have aggregated the information at the Health Board level. We denote those GP practices with a model residual below the

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330 2.5% percentile of the overall distribution of residuals as ‘high-volumes prescribers’,
331 and those above the 97.5% percentile as ‘low-volumes prescribers’. We stress that
332 high- and low- are with respect to the national average as described by the model.
333 Moreover, the quantile thresholds are arbitrary and can be adjusted according to the
334 analytical need.

335 We observed that Highland is the Health Board with the largest percentage (~9.5%)
336 of GP practices that were identified as being in the upper tail of prescribing volumes
337 (identified by model residuals <2.5% percentile), followed by Lothian (~5.3%),
338 Dumfries and Galloway (~3.2%), Grampian (~2.9%), Forth Valley (~2%) and Greater
339 Glasgow and Clyde (~1.7%). No ‘high-volume prescriber’ GP practices were found
340 within the remaining Health Boards. On the other hand, Tayside was identified as the
341 Health Board with the largest percentage (~19%) of ‘low-volume prescribers’
342 (identified by model residuals >97.5% percentile). Shetland (10%), Borders (~8.7%),
343 Grampian (~5.7%), Highland (~1.1%), Lothian (~1%), and Greater Glasgow and
344 Clyde (~0.9%) also contained GP practices that prescribed far below the national
345 average. The remaining Health Boards did not contain any ‘low-volume prescribing’
346 practices. The underlying reasons for these observations are currently unclear and
347 require further investigation.

Discussion

This study has investigated possible differences in patterns of medical prescribing for the two most common classes of drugs (i.e. α – 1 blockers and 5 – α reductase inhibitors) used to treat BOO in Scotland over a recent 4-year period (October 2015 - November 2019) using publicly available data from individual GP practices across all Health Boards. In taking this approach, we have assumed that most BOO medication is prescribed by GPs, either practising alone or upon the advice of a specialist prescriber such as a urologist from specialist practice, as is the case throughout the UK. Nevertheless, using this approach and GP prescribing data with this assumption, we generated a study dataset by linking prescribing data to GP practice-specific information (such as their type of contract with the NHS, and their licence to dispense) and summary characteristics of their patient populations (such as patient age, and GP practice-associated levels of deprivation and rurality). To our knowledge, this is the first time that particular characteristics of a GP practice have been studied as potential factors influencing BOO drug prescribing patterns in Scotland. This has enabled us to identify trends in prescribing behaviour that may help inform future practice.

A trend of increased BOO drug prescribing practice was observed throughout the 4-year observation window consistent with an increased incidence of PCa (which is prevalent in men of the same age), an ageing Scottish population, increased awareness of male health issues amongst both patients and GPs, and increased referral of men to secondary care due to a raised PSA [21, 22]. Though gaining a definite understanding of this trend was outside the scope of this study, in order to fully understand the nature of this increase it would be pertinent to (i) identify the relative contribution (or otherwise) made by increased referral to secondary care with

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suspected PCa (as identified by a raised PSA), which might result in increased BOO/BPH prescribing as a secondary consequence of a raised PSA referral, (ii) the currently unaccounted-for impact of increased GP and public awareness and public help seeking behaviour, and (iii) increased focus on PCa management, rather than BPH surgery which may have contributed to the increase in medical drug use for BOO, rather than definitive intervention, though this is speculative. Greater understanding of these issues will facilitate planning to meet demand in primary care services given ongoing capacity shortage, and also secondary care as increased pharmacological treatment could be indicative of future demand for surgery [23].

Whether practices were NHS or GP-run, or had a dispensing pharmacy present within the GP practice, were associated with higher and lower prescribing volumes respectively. While the individual characteristics of GP medical practices are known to differ by region [24] research accounting for these factors is relatively sparse; hence it is unclear why this difference exists. Furthermore, although regional prescribing behaviours were largely uniform, lower levels of prescribing were noted in some individual Health Boards. No clear explanation could be given for this observation, as no possible explanatory factor is common to these Health Boards. An investigation of factors listed above would be pertinent to understand regional differences evident in prescribing behaviours and guide intervention to reduce inequity.

This study found several GP practices operating at the extremes of prescribing, with practices across five Health Boards prescribing considerably more, and practices across seven Health Boards prescribing considerably less, of each drug than other Scottish practices. In particular, 19% of GP practices in Tayside were considered to be ‘low-volume prescribers’, which could partially explain why Tayside was found to

398 have lower overall levels of prescribing. However, there was otherwise no correlation
399 between individual GP practice prescribing behaviours and overall volume of
400 prescriptions within the Health Board. As such, this study found that factors other
401 than GP list size or accepted sociodemographic and geographic measures may be
402 contributing to inequalities in prescribing practice. Further research is needed to
403 identify these factors, although individual GP attitudes and understanding of prostate
404 diseases [9, 10], and Scotland's cultural diversity [7, 8, 18], may be potential
405 explanations.

406 The data used in this research had several limitations. First, the available data only
407 exists in the public domain at an aggregate level (i.e. individual GP practice or
408 patient list), and further information would be helpful in order to identify the reasons
409 why prescribing practices vary at a more granular level (perhaps by associating the
410 prescribing practice with the presence or absence of a GP with a particular interest in
411 men's health issues, as an example). Second, the use of summary data regarding
412 levels of socio-economic deprivation and rurality of patients reduces the accuracy
413 with which one can estimate the shape of their association with the outcome, with
414 these factors likely to be important in terms of access to healthcare and prescribing.
415 Third, not having information about specific GP practice catchment areas made it
416 necessary to use an adjacency matrix based on postcodes, rather than actual
417 distances, resulting in a sub-optimal method of accounting for underlying spatial
418 processes. Fourth, we have not investigated how differences in GP prescribing
419 practices for BOO may impact on the rates of surgical management of this condition.
420 For example, a study in Australia identified regional differences in the surgical
421 management of BOO secondary to BPH [23], and it would be interesting for future
422 research to potentially link BPH prescribing practice with rates of surgical

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423 management of this condition. For example, it might be the case that medical
424 therapy can delay the need for surgery by a number of years, or reduced rates of
425 BPH prescribing in any particular Health Board may be associated with higher rates
426 of surgical intervention. These hypotheses require testing in datasets if they can be
427 linked.

428 It would be interesting for future research to investigate trends and potential
429 inequalities regarding all prostate-related conditions (both benign and malignant)
430 over space and time in other settings and geographical locations. In particular, it
431 would be interesting to investigate whether the trends observed in Scotland may
432 similarly exist in other regions of the UK, and if so, are variations attributable to
433 common factors such as urban/rural and socioeconomic status. Further research to
434 investigate medical practice phenomena as complex as prescribing patterns using
435 publicly available data will support identification of key limitations in practice, and
436 inform data collection and data sharing in the future. In addition, the general nature
437 of the statistical modelling framework we have proposed in this study may be
438 extended to investigate other kinds of models, covariates, and medical conditions in
439 a range of future studies.

440 **Conclusions**

441 The volume of prescriptions of drugs for LUTS secondary to BOO has steadily
442 increased across Scotland during a recent 4-year observation period, consistent with
443 trends in PCa, which similarly affects men of this age. Regional differences in the
444 volume of prescribed drugs, and extremes in individual GP practice prescribing
445 patterns, were found. Whilst prescribing patterns varied in relation to geographic and
446 demographic factors and GP practice list size, this study identified considerable
447 variations that could not easily be accounted for. Potential explanations for these
448 variations include individual GP attitudes and understanding of prostate diseases [9,
449 10], and Scotland's cultural diversity [6, 7, 8].

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Figure Legends

Figure 1:

Statistical model average number of daily doses of each BOO drug type prescribed per month, by individual Scottish Health Board and adjusted for GP practice patient roll size.

Figure 2:

Frequency histograms of the statistical model’s exponentiated residuals for each Scottish Health Board. The vertical dashed line represents the null residual (value=1 on the exponentiated scale), and each small black square represents the average number of daily prescriptions of BOO drugs (combined $\alpha - 1$ blockers and $5 - \alpha$ reductase inhibitors) per month per individual anonymous GP practice within each Health Board. The boxplot below each histogram illustrates the same data distribution (the white point marks the median, the thick line represents the usual box, and the thin line represents the whiskers). Ideally the exponentiated residuals for each GP practice would lie around the null residual (i.e. value=1), however the heterogeneity in prescribing practice is illustrated by increased spread to the left and right of the null residual. A shift of GP practice distributions to the right of the null residual, as seen in Health Boards such as Borders, Fife, Orkney, Shetland and Tayside, illustrates less prescribing of these medications than would be expected.

Figure 3:

Maps of Scotland highlighting the Health Board locations of anonymous GP practices at the extremes of the distribution of BOO drug prescribing. The left panel shows the percentage of GP practices within each Health Board that have been identified (via model residuals) as being high in prescribing volumes with respect to

the national average (i.e. model residuals <2.5% percentile). Similarly, the right panel shows the percentage of GP practices low in prescribing volumes with respect to the national average (i.e. model residuals >97.5% percentile).

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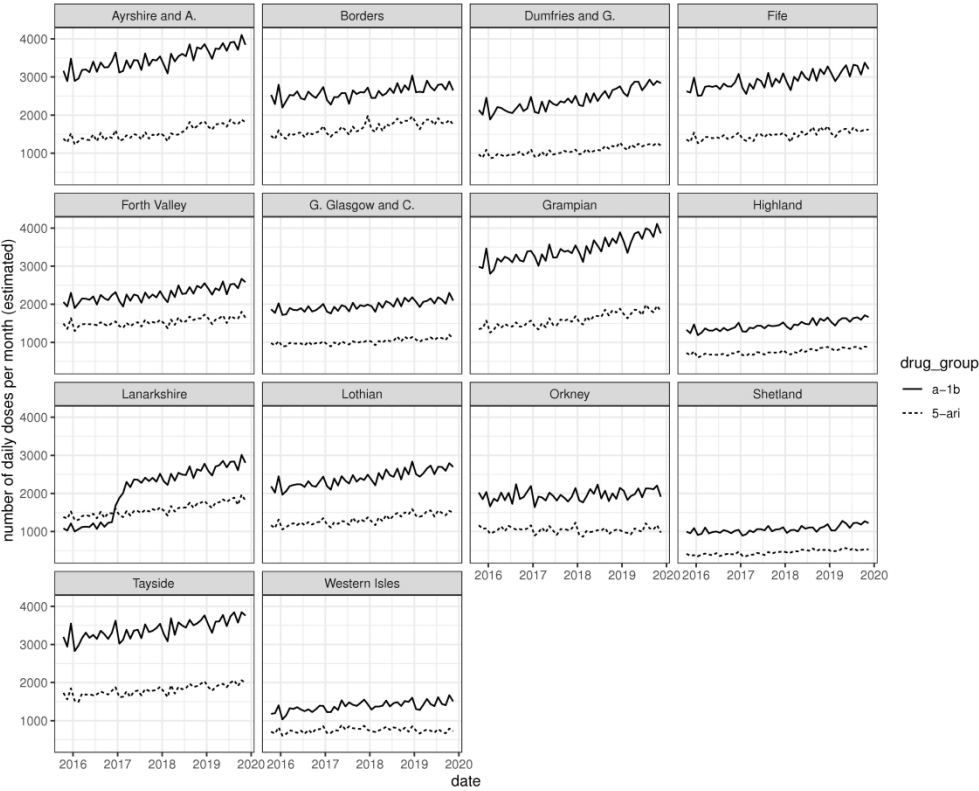
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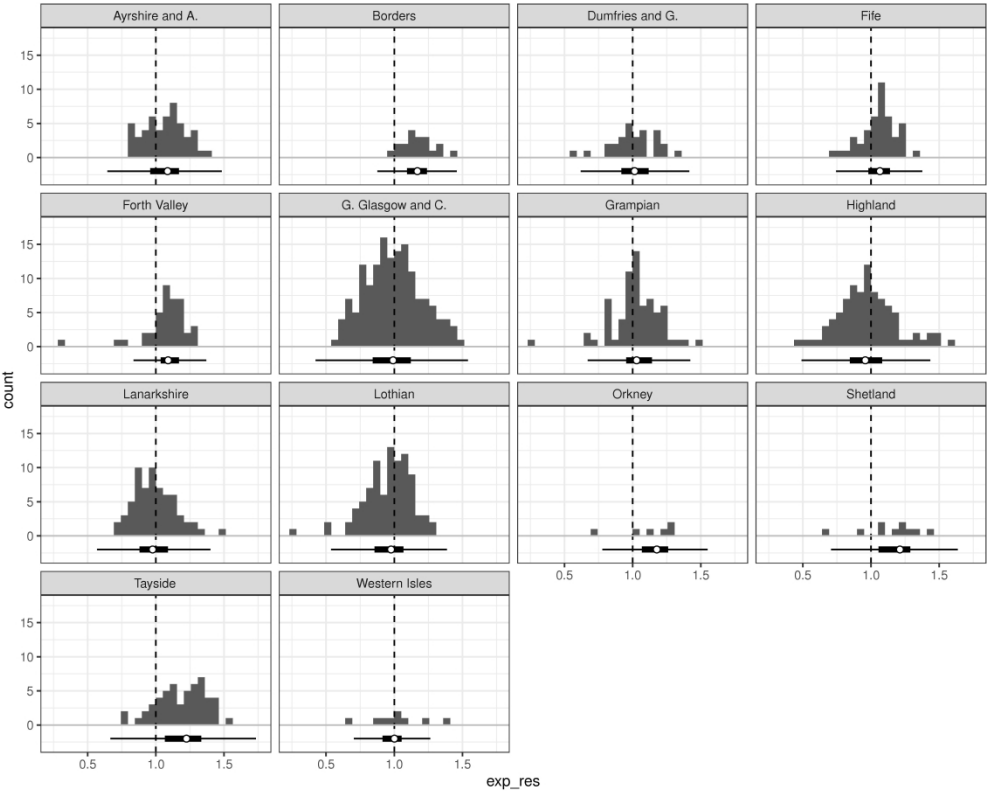
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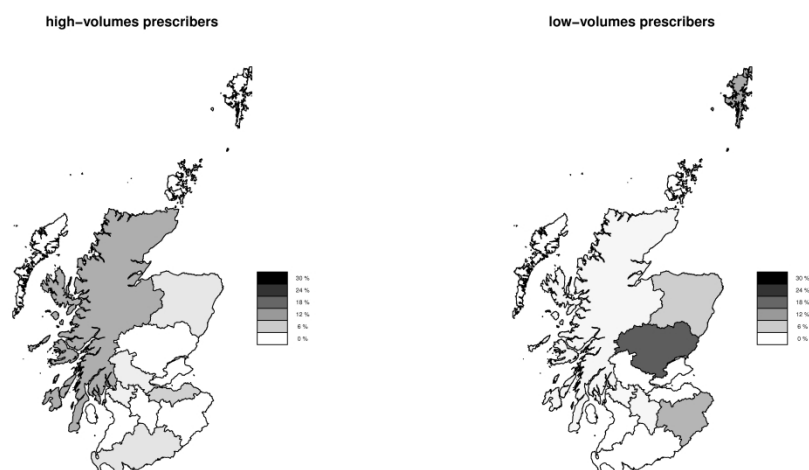
Statistical model average number of daily doses of each BOO drug type prescribed per month, by individual Scottish Health Board and adjusted for GP practice patient roll size.

254x203mm (600 x 600 DPI)



Frequency histograms of the statistical model’s exponentiated residuals for each Scottish Health Board. The vertical dashed line represents the null residual (value=1 on the exponentiated scale), and each small black square represents the average number of daily prescriptions of BOO drugs (combined α -1 blockers and 5- α reductase inhibitors) per month per individual anonymous GP practice within each Health Board. The boxplot below each histogram illustrates the same data distribution (the white point marks the median, the thick line represents the usual box, and the thin line represents the whiskers). Ideally the exponentiated residuals for each GP practice would lie around the null residual (i.e. value=1), however the heterogeneity in prescribing practice is illustrated by increased spread to the left and right of the null residual. A shift of GP practice distributions to the right of the null residual, as seen in Health Boards such as Borders, Fife, Orkney, Shetland and Tayside, illustrates less prescribing of these medications than would be expected.

254x203mm (600 x 600 DPI)



Maps of Scotland highlighting the Health Board locations of anonymous GP practices at the extremes of the distribution of BOO drug prescribing. The left panel shows the percentage of GP practices within each Health Board that have been identified (via model residuals) as being high in prescribing volumes with respect to the national average (i.e. model residuals <2.5% percentile). Similarly, the right panel shows the percentage of GP practices low in prescribing volumes with respect to the national average (i.e. model residuals >97.5% percentile).

355x203mm (600 x 600 DPI)

Technical supplement for the paper “Prescribing patterns for medical treatment of suspected prostatic obstruction: a longitudinal register-based study the Scottish Health and Social Care Open Data”

Authors: Federico Andreis, Richard Bryant, Emanuele Giorgi, Andrea Williamson, Ashleigh Ward

We employ a Bayesian hierarchical Poisson model that estimates spatial and temporal structured patterns. The outcome of interest is the number of daily doses prescribed in a month at a general practice in Scotland, between October 2015 and November 2019. Let n_{jti} denote the number of prescriptions of drugs belonging to group $j \in \{\alpha - 1b, 5 - \alpha ri\}$, for practice $i = 1, \dots, 903$, in month $t = 1, \dots, 50$.

Conditionally on a set of random effects $\alpha_{[i]}$, $\alpha_{[ji]}$ and $\alpha_{[t]}$, let n_{jti} follow a Poisson distribution with parameter λ_{jti} , modelled with an additive linear predictor on a natural logarithm scale:

$$n_{jti} \sim \text{Poisson}(\lambda_{jti})$$
$$\log(\lambda_{jti}) = \alpha + \alpha_{[i]} + \alpha_{[ji]} + \alpha_{[tji]} + \beta_1 \log(\text{list size}_{ti}) + \beta_2 \text{drug group} + \beta_3 \text{contract}_i + \beta_4 \text{dispensing}_i + \beta_5 \text{males 45p}_{ti} + \beta_6 \text{deprived 15}_{ti} + \beta_7 \text{remote}_{ti}$$

The random effects, as described in Table 3 in the paper, relate to:

- A structured component accounting for spatial correlation ($\alpha_{[i]}$)
- An unstructured component accounting for potential interactions between individual practice and type of drug ($\alpha_{[ji]}$)
- A component accounting for temporal correlation, grouped by health board and type of drug ($\alpha_{[t]}$).

23 To model the spatial component $\alpha_{[i]}$, we employ an intrinsic Conditional Auto
24 Regressive (iCAR [1,2,3]) structure that exploits an adjacency matrix based on
25 location of the practices. The postcodes are linked to Easting/Northing coordinates
26 and Voronoi tessellation is used to find nearest neighbours and their distances (this
27 was done using the *caramellar* package, available from github via
28 `devtools::install_github("barryrowlingson/caramellar")`). In this way, the model allows
29 the borrowing of strength of information across neighbouring regions.

30 The component accounting for potential interactions between the individual medical
31 practice and the type of drug prescribed $\alpha_{[ji]}$ is modelled in an unstructured way,
32 using *iid* gaussian terms with common precision parameter [3].

33 The temporal component $\alpha_{[t]}$ is modelled using a first-order autoregressive process
34 [1], allowing grouping by health board and drug type. This approach allows the
35 estimation of a common temporal autocorrelation structure for practices in the same
36 health board and with respect to the same drug group type.

37 An Integrated Nested Laplace Approximation [4] approach is used to approximate
38 the posterior distribution and obtain the estimates, using the *inla* package in R;
39 default prior distributions are used for all parameters. To make estimation
40 computationally easier, a cheap Gaussian approximation is first used and the
41 resulting estimates employed as reasonable starting values for the actual model
42 fitting procedure (details in the R code in Supplement 2). Kullback-Leibler divergence
43 statistics [3] indicate a satisfactory approximation to all marginal posterior densities.

44 Figure 1 contains the summary of the model fit, while Table 1 contains a summary of
45 estimated posterior distributions for the random effects in terms of standard
46 deviations, rather than precision parameters (as in Figure 1). Estimation took

approximately 7 hours on an AMD Ryzen 7 2700x processor with 32GB DDR4 RAM, under Windows 10.

Figure 1. Summary of model fit

```
call:
  c("inla(formula = formula_mod_IV, family = \"poisson\", data = df_joint, \" verbose = TRUE,
  control.compute = control$compute, control.predictor = control$predictor, \" control.inla =
  list(diagonal = 10), control.results = control$results, \" control.fixed = list(prec.intercept =
  0.1), control.mode = list(result = mod_inla_approx_IV, \" restart = TRUE))")
Time used:
  Pre = 0.612, Running = 24085, Post = 4.97, Total = 24091
Fixed effects:
      mean      sd 0.025quant 0.5quant 0.975quant      mode kld
(Intercept)  -1.570 0.040    -1.648   -1.570    -1.492  -1.570  0
drug_group5-ari -0.625 0.017    -0.658   -0.625    -0.591  -0.625  0
gp_runY       0.238 0.033     0.174    0.238     0.302   0.238  0
dispensingY   -0.086 0.026    -0.138   -0.086    -0.035  -0.086  0
log(list_size) 0.915 0.002     0.911    0.915     0.919   0.915  0
prop_m_45p     4.816 0.032     4.754    4.816     4.879   4.816  0
p_15           0.017 0.007     0.003    0.017     0.030   0.017  0
ur_codeRemote  0.002 0.000     0.002    0.002     0.003   0.002  0

Random effects:
  Name      Model
  postcode_n Besags ICAR model
  time_n     AR1 model
  gp_drug    IID model

Model hyperparameters:
      mean      sd 0.025quant 0.5quant 0.975quant      mode
Precision for postcode_n 4.353 0.328     3.753    4.337     5.039   4.302
Precision for time_n     29.235 5.693    18.647   29.144    40.766  29.217
Rho for time_n           0.899 0.013     0.871    0.900     0.923   0.901
GroupRho for time_n      0.685 0.043     0.604    0.683     0.771   0.676
Precision for gp_drug    20.286 0.802    18.736   20.277    21.895  20.269

Expected number of effective parameters(stddev): 4095.95(1.50)
Number of equivalent replicates : 22.01

Deviance Information Criterion (DIC) .....: 4371157.00
Deviance Information Criterion (DIC, saturated) .....: 3605454.27
Effective number of parameters .....: 4097.92

Watanabe-Akaike information criterion (WAIC) ...: 4488316.21
Effective number of parameters .....: 159926.14

Marginal log-Likelihood: -2217597.61
CPO and PIT are computed

Posterior marginals for the linear predictor and
the fitted values are computed
```

Table 1. Summary of estimated posterior distributions for random effects. SD denotes the standard deviation.

| | mean | Sd | 2.5% | 50% | 97.5% | mode |
|--|-------|-------|-------|-------|-------|-------|
| SD of $\alpha_{[i]}$ (spatial) | 0.480 | 0.018 | 0.446 | 0.480 | 0.516 | 0.480 |
| SD of $\alpha_{[ji]}$ (unstructured) | 0.222 | 0.004 | 0.214 | 0.222 | 0.231 | 0.222 |
| SD of $\alpha_{[tji]}$ (temporal) | 0.188 | 0.019 | 0.157 | 0.185 | 0.231 | 0.178 |
| ρ_1 of $\alpha_{[tji]}$ (autocorrelation) | 0.899 | 0.013 | 0.871 | 0.900 | 0.923 | 0.901 |
| ρ_2 of $\alpha_{[tji]}$ (group correlation) | 0.685 | 0.043 | 0.604 | 0.683 | 0.771 | 0.676 |

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```

#####
# Code to reproduce the analyses and the plots in the the manuscript #
# "Prescribing patterns for medical treatment of suspected prostatic #
# obstruction: a longitudinal register-based study the Scottish #
# Health and Social Care Open Data" (2020) #
# Authors: Federico Andreis, Richard Bryant, Emanuele Giorgi, #
# Andrea Williamson, Ashleigh Ward #
#####

#####
# Code by: Federico Andreis #
#####

#####
# libraries #
#####
library(tidyverse) # to manipulate data objects

library(rgdal) # to deal with spatial objects
library(sf)
library(raster)

library(caramellar) # to build the adjacency matrix, not on CRAN
#
devtools::install_github("barryrowlingson/caramellar")

library(INLA) # to fit the model
library(brinla) # to manipulate inla objects

#####
# load the dataset and the shapefile #
#####
dataset <- read_csv('dataset.csv')

map_scotland_hb <- readOGR('SG_NHS_HealthBoards_2018.shp')

#####
# create adjacency matrix #
#####

# create adjacency matrix and transform it into an inla graph
adjacency_graph <- voronoi_adjacency(dataset %>%
  group_by(postcode_n) %>%
  slice(1) %>%

dplyr::select(postcode_n, Easting, Northing) %>%
  ungroup,

postcode_n~Easting+Northing)$Adjacencies %>% inla.read.graph

#####
# fit the model #
#####

# set inla controls
control <-list(predictor=list(compute=TRUE,link=1),
  results=list(return.marginals.random=TRUE,
    return.marginals.predictor=TRUE),

```

```

1
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3       compute=list(hyperpar=TRUE, return.marginals=TRUE,
4                     dic=TRUE, mlik=TRUE, cpo=TRUE, po=TRUE,
5                     waic=TRUE, graph=TRUE, gdensity=TRUE))
6
7 # model specification
8 model <- n_daily_doses~drug_group+gp_run+dispensing+      # fixed effects
9               log(list_size)+prop_m_45p+p_15+ur_code+    # fixed effects
10      f(postcode_n,model='besag',graph=adjacency_graph)+  # structured
11 spatial component (iCAR)
12      f(time_n,model='ar1',group=hb_drug)+                # temporal
13 component (grouped AR1)
14      f(gp_drug,model='iid')                              # unstructured
15 spatial component (iid)
16
17 # fit the model using a cheap Gaussian approximation to obtain reasonable
18 starting values
19 # run time ~18 minutes on a AMD Ryzen 7 2700x processor with 32GB DDR4
20 RAM, under Windows 10
21 cheap_approximation <- inla(model,
22                             family='poisson',
23                             data=dataset,
24                             control.inla=list(diagonal=100,
25                                                 strategy="gaussian",
26                                                 int.strategy="eb"),
27                             control.compute=control$compute,
28                             control.predictor=control$predictor,
29                             control.results=control$results,
30                             verbose=TRUE)
31
32 # use the command
33 #
34 # model_fit <- cheap_approximation
35 #
36 # and ignore the following model fit block to be able to use the rest of
37 the
38 # code without having to wait for the more accurate approximation to be
39 obtained
40 # Note: if you use this approach, the resulting estimates and plots will
41 differ
42 # from those in the paper
43
44
45 # fit the model using the cheap approximation estimates as starting
46 values
47 # note: this step takes ~7 hours on the machine described earlier
48 model_fit <- inla(model,
49                  family='poisson',
50                  data=dataset,
51                  control.inla=list(diagonal=10),
52                  control.fixed = list(prec.intercept = 0.1),
53                  control.compute=control$compute,
54                  control.predictor=control$predictor,
55                  control.results=control$results,
56                  control.mode=list(result=cheap_approximation,
57                                   restart=TRUE),
58                  verbose=TRUE)
59
60 rm(adjacency_graph,control,

```

```

1
2
3     model,cheap_approximation) # clean up
4
5 #####
6 # post-processing of the model output #
7 #####
8
9 # add the fitted values to the dataset and make health board names into
10 factors
11 dataset <- dataset %>%
12   mutate(fitted=model_fit$summary.fitted.values$mean,
13          hb_name=factor(hb_name))
14
15 # obtain predictions by health board and drug group
16 average_fitted <- dataset %>%
17   group_by(time=time,hb_name,drug_group) %>%
18   summarise(avg_fitted=mean(fitted),
19             avg_observed=mean(n_daily_doses))
20
21 # extract the exponentiated spatial residuals and add them to the dataset
22 exp_residuals <- numeric(length(model_fit$marginals.random$postcode_n))
23
24 for (i in 1:length(exp_residuals)) {
25
26   tmp <- model_fit$marginals.random$postcode_n[[i]]
27
28   exp_residuals[i] <- inla.emarginal(exp,tmp) # exponentiate the spatial
29   residuals
30
31 }
32
33 rm(tmp,i) # clean up
34
35 # make the residuals into a tibble that also contains the practice
36 postcodes
37 # and health boards names
38 exp_residuals <- data.frame(postcode_n=1:length(exp_residuals),
39                             postcode=unique(dataset$postcode),
40                             exp_residuals=exp_residuals) %>%
41   mutate(postcode=as.character(postcode)) %>%
42   left_join(.,dataset %>%
43     dplyr::select(postcode,hb_name),
44     by=c('postcode','postcode')) %>%
45   group_by(postcode) %>%
46   slice(1)
47
48 # add the exponentiated residuals to the dataset
49 dataset <- left_join(dataset,
50                      exp_residuals %>%
51                        dplyr::select(postcode_n,exp_residuals),
52                      by='postcode_n')
53
54 # set up the shapefile and data needed to to plot the map in Figure 3
55 geo_df <- dataset
56 coordinates(geo_df) <- ~Easting+Northing
57 crop_map_hb <- crop(map_scotland_hb,geo_df)
58
59 rm(map_scotland_hb) # clean up
60

```

```

1
2
3 # obtain the quantiles of the exponentiate residuals distribution
4 # residuals are constant for each GP practice, extract only one line each
5 dataset_singletons <- dataset %>%
6   group_by(gp_code) %>%
7   slice(1) %>%
8   ungroup
9
10 exp_residuals_quantiles <- quantile(dataset_singletons$exp_residuals,
11                                     p=seq(0,1,by=.025))
12
13 #####
14 # produce the plots in the paper #
15 #####
16
17 # Figure 1
18 average_fitted %>%
19   ggplot(aes(x=time))+
20   geom_line(aes(y=avg_fitted,linetype=drug_group))+
21   theme_bw()+facet_wrap(~hb_name)+
22   xlab('date')+ylab('number of daily doses per month (estimated)')
23
24 # Figure 2
25 exp_residuals %>%
26   group_by(hb_name) %>%
27   mutate(q1=quantile(exp_residuals,.25),
28          q2=quantile(exp_residuals,.5),
29          q3=quantile(exp_residuals,.75),
30          w=1.5*(q3-q1)) %>%
31   ungroup %>%
32   ggplot()+geom_histogram(aes(exp_residuals))+
33   geom_vline(xintercept = 1, lty=2)+
34   ylim(-3,18)+
35   geom_hline(yintercept=0,col='grey')+
36   geom_segment(aes(y=-2,yend=-2,x=q1-w,xend=q1))+
37   geom_segment(aes(y=-2,yend=-2,x=q1,xend=q3),lwd=2)+
38   geom_segment(aes(y=-2,yend=-2,x=q3,xend=q3+w))+
39   geom_point(aes(y=-2,x=q2),lwd=2,pch=16,col='white')+
40   geom_point(aes(y=-2,x=q2),lwd=2,pch=1)+
41   theme_bw()+facet_wrap(~hb_name)
42
43 # Figure 3
44
45 # change quantiles as needed
46 lower_quantile <- 2 # corresponding to 2.5% in the quantiles vector
47 upper_quantile <- 40 # corresponding to 97.5% in the quantiles vector
48
49 # create the proportions of GP practices meeting the quantile
50 requirements
51 prop_q_by_hb <- dataset_singletons %>%
52   group_by(hb_name) %>%
53   summarise(HBCode=first(hb_code),
54             prop_l_q2.5=mean(exp_res<quant_res[2]),
55             prop_g_q97.5=mean(exp_res>quant_res[40]))
56
57 crop_map_hb@data <- crop_map_hb@data %>%
58   left_join(prop_q_by_hb,by='HBCode')
59
60 # define a scaling factor for the grey scale: the max observed proportion

```

```

1
2
3 # is slightly less than 0.2, rescaling aids visualisation
4 scale_factor <- .3
5
6 par(mfrow=c(1,2))
7 plot(crop_map_hb,
8      main='high-volumes prescribers',
9      #border='black',
10     col=grey(1-crop_map_hb$prop_l_q2.5/scale_factor))
11
12 for (i in 0:5) {
13
14     rect(470000,750000+i*20000,520000,750000+(i+1)*20000,
15         col=grey(seq(1,0,length.out=6))[i+1])
16     text(x=545000,y=760000+i*20000,
17         paste0(round(scale_factor*seq(0,1,length.out=6)[i+1]*100,2),' %'),
18         cex=.5)
19 }
20
21 plot(crop_map_hb,
22      main='low-volumes prescribers',
23      #border='black',
24      col=grey(1-crop_map_hb$prop_g_q97.5/scale_factor))
25
26 for (i in 0:5) {
27
28     rect(470000,750000+i*20000,520000,750000+(i+1)*20000,
29         col=grey(seq(1,0,length.out=6))[i+1])
30     text(x=545000,y=760000+i*20000,
31         paste0(round(scale_factor*seq(0,1,length.out=6)[i+1]*100,2),' %'),
32         cex=.5)
33 }
34 par(mfrow=c(1,1))
35
36 rm(prop_q_by_hb,scale_factor,
37     lower_quantile,upper_quantile) # clean up
38
39 #####
40 # posterior distributions summary #
41 #####
42
43 # table of fixed effects posterior estimates
44 model_fit$summary.fixed
45
46 # table of random effects posterior estimates
47 model_fit$summary.random # expressed in terms of precision
48 bri.hyperpar.summary(model_fit) # expressed in terms of standard
49 deviation
50
51
52
53
54
55
56
57
58
59
60

```