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PipEracillin Tazobactam versus mERoPENem for treatment of bloodstream infections caused by cephalosporin-resistant Enterobacteriaceae - a non-inferiority randomized controlled trial (PeterPen)

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Strengths and limitations of the study

- The question of whether combination beta-lactam beta-lactamase inhibitors are non-inferior to carbapenems for the treatment of ESBL infections remains unanswered.
- We propose an open-label, randomized controlled trial comparing piperacillintazobactam with meropenem for treatment of bloodstream infections with cephalosporin-resistant *Escherichia coli* and *Klebsiella*.
- Mortality at 30-days and treatment failure at day seven are the co-primary endpoints.

• A sample size of 542 patients per arm was calculated.

Background

Extended-spectrum β-lactamase (ESBL) producing Enterobacteriaceae, once limited to hospital-acquired infections, have now become prevalent in the community (1) and pose a serious public health threat (2). Mortality rates following ESBL bloodstream infections (BSIs) are high, with 30-day mortality ranging from 17% in *Escherichia coli* to 34% in *Klebsiella pneumoniae* ESBL BSI in a contemporary large cohort (3), reinforcing the need for optimal treatment of these infections (4). Carbapenems have traditionally been considered the treatment of choice for Enterobacteriaceae producing ESBL or AmpC due to concerns over imprecision of phenotypic susceptibility testing and the potential of an inoculum effect (5). However extensive use of carbapenems is associated with the emergence of both carbapenemase producing and non-carbapenemase producing carbapenem-resistant Gram negative bacteria (2).

Several retrospective observational studies compared treatment with carbapenems and beta-lactam beta-lactamase inhibitors (BLBLI) for BSIs caused by ESBL-producing Enterobacteriaceae. These studies differed in the pathogens evaluated (*Klebsiella* spp. vs. *E. coli* vs. all Enterobacteriaceae), the type and dose of BLBLI or carbapenem used, the site of infection primarily assessed, whether empirical or definitive treatment was evaluated, and the outcome defined. Paterson et al were the first to demonstrate significantly lower 14-day mortality with carbapenems, establishing a dogma of carbapenem's advantage in ESBL *K. pneumoniae* BSIs more than 15 years ago (6). Studies published later were inconsistent regarding the apparent efficacy of BLBLI; however, the bulk of the published observational data show no difference between empiric or definitive treatment with BLBLIs vs. carbapenems (6–10). The MERINO trial by Harris et al was the first randomized controlled trial (RCT) to

compare piperacillin-tazobactam (PTZ) with meropenem for ESBL-producing Enterobacteriaceae BSI (11). This multicenter non-inferiority trial enrolled adults with ceftriaxone-resistant (presumed ESBL-producing) E. coli or Klebsiella spp. The trial originally targeted a sample size of 454 patients was terminated prematurely on the third interim analysis since demonstration of non-inferiority by end of enrolment was deemed unlikely. At termination, the overall 30-day mortality among 379 patients included in the analysis was 7.9% (30 events), with 23/187 (12.3%) deaths in those treated with PTZ vs. 7/191 (3.7%) in those treated with meropenem (risk difference 8.6%, 97.5% one sided confidence interval $-\infty$ to 14.5). Thus, PTZ could not be demonstrated to be non-inferior to meropenem. Re-calculation of the risk difference as 2-sided 95% CI shows a significant difference between groups (risk difference 8.6 (3.3% to 14.5%)). Phenotypic ESBL production was confirmed in 86% of isolates (85% of E. coli and 92.5% of Klebsiella spp.). Most patients had a urinary tract infection (UTI, 60.9%) and most BSIs were caused by E. coli (86.5%). The risk difference (2-sided 95% CIs) among patients with UTI (RD 3.7, 95% CI -2 to 10.7, N=230) was lower than the risk difference among patients with a non-UTI source (RD 14.1, 95% CI 3.6-24.5, N=148). The risk difference for *Klebsiella spp.* (RD 23.1, 95% CI 8.1-42.3, N=51) was larger than that for E. coli (RD 6.3, 95% CI 0.7-12.6, N=328).

Rationale for replication

While the MERINO trial was the first RCT comparing PTZ to meropenem for ESBL bacteremia, allowing estimation of effects without selection bias, there are several reasons justifying further RCTs. The 3-fold difference in mortality between arms is striking, and was never observed previously in a randomized comparison between antibiotics. Such results warrant confirmation given the profound practice implications. Several factors in the trial design favored non-inferiority, including the

recruitment of patients with mild sepsis (median Pitt score one at randomization, with 40.7% of patients having resolved signs of infection at randomization), relatively short duration of the intervention (median six days out of the median 13 days of treatment for the bacteremia) and "contamination" of drug exposure between the two groups, due to use of the comparator for empirical treatment and stepdown therapy after the minimal duration of the intervention of four days. Considering these, the large difference in mortality observed between groups is even more striking.

Several factors in the MERINO trial design are worth discussion. Primarily, the underlying assumptions which informed the non-inferiority sample size calculation. In MERINO, the sample size calculation assumed 14% mortality for meropenem and 10% mortality for PTZ with a 5% non-inferiority margin. This was not included in the initial manuscript but later appeared as an erratum (12). The *a priori* assumption that mortality would be 4% lower for PTZ allows for a smaller total sample size, but does so reliant on an assumption which is not supported by the observational evidence. Removing that assumption and assuming that PTZ mortality would also be 14% (with the same one-sided alpha 2.5%, 80% power, and 10% loss to follow-up) yields a sample size of 1683. Therefore, the MERINO trial as conducted was terminated after recruiting 22.5% of the sample size required under a more realistic estimate of PTZ mortality. An underpowered non-inferiority trial is at high risk of concluding "could not demonstrate non-inferiority".

Moreover, the interim analysis at that point (379 patients with 30 deaths), might have occurred at a time-point allowing random overestimation of the difference (13). A systematic review comparing trials stopped early for benefit vs. trials that tested the same interventions but completing recruitment showed that trials stopped early for benefit exaggerate effects, especially when the number of events is small (14,15).

Approximately half of RCTs performed subsequent to a trial being stopped for benefit, assessing the same intervention, confirmed the terminated trial's benefit while the other half found no difference or significance in the opposite direction (16).

Authors of the MERINO trial are currently investigating the reliability of VITEK and gradient strips for determination of PTZ resistance (17) as well as the association between genetic resistance mechanisms and PTZ minimal inhibitory concentrations (MICs) (18,19). The MERINO investigators assessed PTZ MICs of 321/379 isolates by broth microdilution (BMD) in a central laboratory and found that 17.8% and 6.4% were resistant to PTZ by EUCAST and CLSI criteria, respectively (18). Also blaOXA-1 genes were highly prevalent (67%) in the MERINO trial (11). This may explain the high failure rate seen with PTZ, as co-carriage of OXA-1 and CTX-M-15 (the most common ESBL gene in the MERINO trial) is associated with PTZ MICs as high as 8-16 mcg/mL (20). These MICs, although still susceptible, have a much higher chance (up to 20%) for inadequate PTZ pharmacokinetics when using the dosing strategies employed in MERINO (21).

Other reasons for replication have been raised following the trial's publication (22). These include: imbalances between treatment groups; differences between sites with respect to the effect shown; the large number of deaths due to terminal cancer; and the pharmacokinetically non-optimized administration schedule of PTZ, particularly with respect to organisms with PTZ MICs above 2mcg/L.

We are therefore left with clinical equipoise regarding the treatment of ESBL infections with carbapenems as compared to BLBLIs. Microbiological and clinical data suggest a possible benefit to carbapenems. However, many centers do not treat patients with ESBL infections routinely with a carbapenem, due to the ecological impact on these and other patients. This is especially true for centers with high

endemicity of carbapenem-resistant Gram-negative bacteria and high rates of ESBL infections. Accepting without reservation the superiority of carbapenems as shown in the MERINO trial will increase their use dramatically for the treatment of all ESBL-positive bacteremias, spilling by default also to empirical treatment and treatment of non-bacteremic ESBL infections. The implication of switching to a primary carbapenem strategy for ESBLs is concerning in settings where ESBLs and carbapenem-resistant Gram-negative bacteria are frequent. At a time of increasing drug-resistance on one hand and on the other a serious lack of new antibiotics under development (23), it seems imprudent to embrace the MERINO findings without further corroboration.

For these reasons, we plan a second RCT comparing PTZ to meropenem for bacteremia caused by third-generation cephalosporin non-susceptible *E. coli* and *Klebsiella spp*. We aim to show the non-inferiority of PTZ to meropenem. This is a replication trial attempting to address the findings and potential shortcomings of the MERINO trial. Learning from the MERINO experience, we hope to also improve the standardization of microbiological methods, baseline variable data collection, and sample size issues.

Methods

Design

The study is a multicenter randomized controlled non-inferiority open-label trial.

Study hypothesis and aims

We aim to evaluate the effect of definitive treatment with meropenem vs. PTZ, both given as extended-infusions, on the outcome of patients with bacteremia due to PTZ

susceptible, third-generation cephalosporin-non-susceptible *E. coli* and *Klebsiella spp*. (assumed ESBL-producing Enterobacteriaceae). We aim to demonstrate that PTZ is non-inferior to meropenem.

Setting

The study will be conducted in three countries: in Israel at the Rambam Health Care Campus (RHCC), Rabin Medical Center (Beilinson Hospital), Tel-Aviv Sourasky Medical Center, Soroka Medical Center, Meir Medical Center, and Sheba Medical Center; in Italy at Modena University Hospital, and in Canada at the McGill University Health Centre and Jewish General Hospital of Montreal. We are currently recruiting other centers in all study countries.

Inclusion and exclusion criteria

We will include adults with community or hospital-acquired monomicrobial BSI with *E. coli* or *Klebsiella spp.* non-susceptible to third generation cephalosporins and susceptible to both PTZ and meropenem. Detailed inclusion and exclusion criteria are listed in Table 1.

Inclusion will be based on antibiotic susceptibility testing performed locally (Table 2). We will ask all participating laboratories to document local MICs for PTZ and meropenem for the study patients. The index culture will be kept frozen at -70°C for subsequent antimicrobial susceptibility confirmation and genotypic ESBL testing in a reference laboratory using optimized uniform methodology. The primary analysis will be performed as randomized (based on local susceptibility testing). A secondary analysis will be performed based on the reference laboratory susceptibility test using the EUCAST and CLSI standards that will apply at the time of analysis (24,25).

Patients in whom an exclusion criterion arises after randomization will be included in the intention to treat population.

Patient randomization

Patients will be randomized to PTZ or meropenem in a 1:1 ratio. Randomization will be done by a computer-generated list of random numbers allocated centrally through a web site, stratified by country; infecting organism (*E. coli* vs. *Klebsiella spp.*); source of infection (UTI vs other); and empirical antibiotics (covering antibiotics in the first 24 hours from culture taking or non-covering). The random sequence will be generated using random permuted blocks of 4 to 8.

Intervention

The intervention group will receive PTZ 4.5 grams q6h and the control group will receive meropenem 1 gram q8h. Dose adjustments for patients with renal insufficiency are listed in Table 3. For both treatment arms the first dose will be administered as a 30-minute bolus and the following doses will be administered as three hours prolonged infusion. If patients receive PTZ or meropenem empirically using other dosing regimens they will switch to the trial dosing regimen, without a bolus infusion if the same antibiotic is continued.

The study drug will be administered for a minimum of four to five days to complete at least seven days of antibiotic treatment. The use of other antibiotics will not be allowed in the first week of treatment.

In order to maximize the ability of additional centers to join, minimize the study infrastructure required in each center, and contain study costs for this, as yet unfunded international trial, we have chosen to perform this trial open label. For the primary endpoint of mortality, which is objective, we do not anticipate any risk of bias. For the

second primary endpoint, and any subjective secondary endpoints, these will be adjudicated and analyzed by blinded members of the study team.

Pharmacokinetic / pharmacodynamic considerations

Dosing strategies of β -lactams for patients with sepsis is a matter of debate and ongoing study. Nonetheless, studies on population pharmacokinetics for PTZ show that up to 20% of patients with an isolate with an MIC of 2mcg/L treated with 4.5g q8h by intermittent infusion will not achieve the conservative pharmacokinetic target of at least 50% of the dosing interval (50% fT>MIC) (21,26). Increasing the frequency to q6h improves this to about 10% at 2mcg/L but this again reaches 20% at an MIC of 8mcg/L which is still considered susceptible by both EUCAST and the CLSI (24,25). Another study evaluating therapeutic drug monitoring for β -lactams showed that bolus administration of PTZ 4.5g q6h was insufficient in up to 49% of patients to achieve the study's pharmacokinetic/pharmacodynamic target (27). Taking into consideration that patients may be obese (28), have augmented renal clearance (29) and/or have febrile neutropenia (30) only reinforces the need for high-dose extended-infusion of PTZ. A recently-published systematic review and meta-analysis on continuous/prolonged vs. intermittent infusion of β-lactams has shown reduced mortality with continuous/prolonged infusion (31), lending further support for an optimized PTZ dosing schedule in future trials.

Prior to starting this trial, we conducted a survey among interested sites regarding current and recommended dosing practices. Seven of 16 centers in Israel, Italy and Canada stated they currently use either four-daily dosing of PTZ and/or extended infusion. Two thirds recommended either 4.5g PTZ q6h extended infusion or individualized dosing (using high dose extended infusion for obese, febrile neutropenia, high MIC and severe sepsis).

As we believe that one of the MERINO shortcomings is the sub-optimal PTZ dosing strategy; taking into consideration the previously mentioned pharmacokinetic studies favoring a q6h extended infusion; and realizing that some PTZ susceptibility tests are imprecise (17,32) and we could inadvertently include patients with higher MICs; we chose a PTZ dosing of 4.5g q6h extended infusion. While we were intrigued by individualized dosing, we believed that since this is more complicated and might not be applied similarly across sites, the external validity of our trial might be compromised.

We considered a meropenem dose of 1 gram TID sufficient, since this was the dose studied in the MERINO trial for the same indications and this was the common dose used in the study centers. Pharmacokinetic/pharmacodynamics studies support this dosing regimen, especially when using extended infusions (33) and for the organisms in this study which will all be carbapenem susceptible with low MICs. We chose to give the meropenem as extended infusion so that non-inferiority would be demonstrated against the best case administration of meropenem.

Outcome measures

We defined two co-primary endpoints, the first being all-cause mortality at day 30 from randomization and the second being treatment failure at day seven from randomization. Treatment failure was defined as death, fever above 38°C in the 48 hours before the time point, symptoms attributed to the focus of infection still present, Sequential Failure Organ Assessment (SOFA) score (34) increasing, or blood cultures positive with the index pathogen by the time point assessed (Table 4). These outcomes were selected according to consensus recommendations developed for clinical trials regarding BSIs (35).

Secondary outcomes include all-cause mortality at 14 and 90 days; treatment failure at 14 and 30 days; microbiological failure defined as positive blood cultures with index pathogen at seven and 14 days; relapsed BSI at 30 and 90 days defined as recurrent positive blood cultures with index pathogen after prior sterilization; metastatic infections with index pathogen; secondary infections; *Clostridioides difficile* associated diarrhea; hospital re-admissions; development of resistance to study drugs in clinical isolates; carriage of carbapenem-resistant Enterobacteriaceae (carbapenemase-producing and non-producing); total in-hospital days; total antibiotic days; liver function test abnormalities; allergic reactions; renal failure and other adverse events.

Subgroup analyses will be performed for the primary outcome of 30-day mortality by infecting organism ($E.\ coli$ vs. $Klebsiella\ spp.$); INCREMENT score ($<11\ vs.\ge11$) (36); bacteremia source (UTI vs. non-UTI); covering empirical therapy given in the first 24 hours; patients not receiving the comparator drug empirically; and excluding patients with an uncontrolled focus of infection.

Microbiological methods

All laboratories from centers participating in the study are ISO 9001 accredited laboratories. Following growth in blood culture, isolates will be identified using automated methods (Vitek 2, BD Phoenix, Vitek MS, MALDI biotyper). Antibiotic susceptibilities will be determined according to local practices, using either automated methods, disk diffusion or gradient diffusion methods, and interpreted using either CLSI or EUCAST breakpoints as per local protocols. All isolates will be made available for future testing by a central laboratory where antibiotic susceptibility will

be determined using BMD and interpreted according to EUCAST standards. We will also determine and characterize the presence of ESBL and ampC genes.

Assessment and follow up

All patients will be followed up till day 90 post randomization. During hospitalization patients will be visited by infectious diseases specialists as needed. Management decisions, such as diagnostic evaluation, other medical/surgical procedures and discharge from hospital will be left to the discretion of the treating physicians. We will not mandate diagnostic testing further than those defined for outcome collection and these will be done as clinically indicated.

Data will be collected from the study visits, laboratory reports and the electronic health record. Following discharge, we will document re-admission and survival status through the national electronic patient files in Israel, through regional databases in Italy, and through local data and direct patient contact (text/email/phone/mail) in Canada. Anonymous data will be entered into a central case report form (CRF) designed in REDCap, a secure web application.

Sample size

For the mortality endpoint we calculated a sample size of 542 patients per arm assuming a 12.5% mortality rate in the control group with a 5% non-inferiority margin and a 1-sided hypothesis with 5% α -risk and 80% power (37). The assumed mortality rate of 12.5% was based on rates reported in contemporary observational studies (17.3%) (7–9) and the MERINO RCT (7.9%) (11).

The sample size calculation for the treatment failure outcome assumes a 25% failure rate at seven days in the control group. To test for non-inferiority of PTZ compared to

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meropenem with a 1-sided 5% α -risk, 80% power and a non-inferiority margin of 10% we will need 232 patients per study group.

Monitoring and trial management

The trial will be monitored centrally by the coordinating center at RHCC. Data entry will be monitored continuously on RedCap, checking for timely data entry, missing data or suspected faulty data. Inconsistencies and logical rules have been pre-defined to allow detection of such events. We will employ a risk-based strategy, with sparse on-site monitoring based on central inspection of the data. A steering committee has been nominated and the trial will be followed by an independent safety monitoring board.

Statistical analysis

We plan an interim analysis after recruitment of 250, 500 and 750 patients. The trial will be stopped if an extreme difference between groups of p<0.001 will be observed for the primary outcome of 30-day mortality. The difference was chosen based on the MERINO trial stopping rule (11) and following the Haybittle-Peto rule (38,39) that preserves the overall type I error rate at 0.05. The sample size of the first interim analysis was selected based on the minimal sample size required to reach a difference with p<0.001 presuming that the maximal difference between groups that we will reach is the one observed in the MERINO trial (11).

The primary analysis will include all randomized patients following local susceptibility testing. A secondary analysis will exclude patients in whom major errors in susceptibility compared to BMD will be detected. A per protocol analysis will include patients fulfilling inclusion based on central lab adjudication of

susceptibilities, without exclusion criteria and receiving the allocated intervention for at least four calendar days.

Patients' baseline characteristics will be displayed descriptively. Outcome variables will be compared using the chi-square test, Student's t-test or the Mann–Whitney U test, as appropriate. Risk differences for dichotomous outcomes will be computed with 95% confidence intervals. Non-inferiority will be fulfilled if the upper value of the 1-sided 95% CI for the risk difference of meropenem compared to PTZ will be equal or lower to the defined non-inferiority margin.

Ethics

The ethics of recruiting patients into this study, after the MERINO trial, are embedded in the considerations we previously raised. These concern the possibility that their chance finding will not be observed in a larger repetition trial and some improvement in the study design through obtaining a larger sample size and improving PTZ pharmacokinetics. With these considerations, the study was approved by the ethics committees of the above Israeli hospitals and is awaiting approval in other hospitals. In Canada, institutional ethics approval has been provisionally granted and the study will commence after Health Canada approval has been granted for a study involving off-label use of approved pharmaceuticals.

Patient and public involvement

We have not involved patients or the public in the trial's design and planning.

Funding

We have not succeeded in obtaining funding for the study from the Israeli Ministry of Health. The study received the support of ESCMID through the society's Research Grant programme 2020 (30,000 Euro) which will be used for the ethics and insurance

requirements of the Italian sites and for onsite study visits. The Canadian sites are seeking funding through the Canadian Institutes of Health Research. Should the study receive substantial funding we plan to recruit additional hospitals who do not have the infrastructure to recruit into clinical trials without specific funding and to revise the protocol, mainly with respect to the microbiological methods so as to enable local laboratories to perform BMD and test for resistance genes in real time.

Competing interests

None declared.

Authors' contributions

All authors contributed to conception, design, trial management and planned data analysis.

RB, FK, DY and MP contributed to trial database and randomization site design.
RB and MP wrote the first draft of the manuscript.

All authors revised the protocol critically for important intellectual content and approved the final manuscript.

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Table 1: Inclusion and exclusion criteria

Inc	lusion criteria	Ex	clusion criteria
•	Adults (age ≥ 18 years)	•	More than 72 hours elapsed since
•	New onset BSI due to E. coli or		initial blood culture taken, regardless
	Klebsiella spp. in one or more blood		of the time covering antibiotics were
	cultures associated with evidence of		started
	infection	•	Polymicrobial bacteremia defined as
•	The microorganism will have to be		either growth of two or more
	non-susceptible to third generation		different species of microorganisms
	cephalosporins (ceftriaxone and/or		in the same blood culture, or growth
	ceftazidime) and susceptible to both		of different species in two or more
	PTZ and meropenem		separate blood cultures within the
•	We will permit the inclusion of		same episode of infection
	bacteremias due to E. coli or	•	Patients with prior bacteremia or
	Klebsiella spp. with concomitant		infection that have not completed
	growth in blood of skin commensals		antimicrobial therapy for the
	considered as contaminants.		previous infectious episode.
		•	Patients with septic shock at the time
			of enrollment and randomization,
			defined as at least 2 measurements
			of systolic blood pressure < 90
			mmHg and/or use of vasopressors
			(dopamine>15μg/kg/min,
			adrenalin>0.1µg/kg/min,

noradrenalin>0.1µg/kg/min,
vasopressin any dose) in the 12
hours prior to randomization. In the
absence of the use of vasopressors, a
systolic blood pressure <90 would
need to represent a deviation for the
patient's known normal blood
pressure.

- BSI due to specific infections known at the time of randomization: endocarditis / endovascular infections, osteomyelitis (not resected), central nervous system infections
- Allergy to any of the study drugs confirmed by history taken by the investigator
- Previous enrollment in this trial
- Concurrent participation in another interventional clinical trial
- Imminent death (researcher's assessment of expected death within 48 hours of recruitment after discussion with treating team)

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Table 2: CLSI and EUCAST breakpoint definitions for susceptibility

	CLSI M100-ED28: 2018. 28th		EUCAST v 9 (January, 2019)	
	Edition (25)		(24)	
	MIC	Disk diffusion	MIC	Disk diffusion
	(mg/L)	(mm)	(mg/L)	(mm)
Ceftriaxone	≤1	≥23	≤1	≥25
Ceftazidime	<u><4</u>	≥21	≤1	≥22
PTZ	≤16	≥21	≤8	≥20
Meropenem	≤1	≥23	≤2	≥22
Imipenem	≤1	≥23	≤2	≥22

CLSI- clinical and laboratory standards institute; EUCAST- European committee on antimicrobial susceptibility testing; MIC- minimal inhibitory concentration

Table 3: Dose adjustment for study antibiotics

	Meropenem	Piperacillin tazobactam
CrCl>50ml/min*	1g q8h	4.5g q6h
CrCl 26-50ml/min*	1g q12h	3.375g q6h (only if CCT<40)
CrCl 10-25ml/min*	0.5g q12h	2.25g q6h
CrCl<10ml/min*	0.5g q24h	2.25g q6h
Hemodialysis	0.5g q24h (+0.5g AD)	2.25g q8h (+0.75g AD)
Peritoneal dialysis	0.5g q24h	2.25g q8h
Continuous renal	1g q12h	4.5g q8h
replacement therapy	0	

^{*}CrCl should be expressed in mL/min/1.73m2, using the modification of diet in renal disease (MDRD) formula, Cockroft and Gault equation or other means.

AD- after dialysis

In Canada, to conform with the existing product monograph and accounting for the unavailability of the 3.375g dosage form in most hospitals the following piperacillintazobactam dosing strategy will be used (as extended infusion of 3 hours).

	Piperacillin-tazobactam
CCT>40ml/min	4.5g QID
CCT 20-40ml/min	4.5g TID
CCT 10-20ml/min	2.25g QID
CCT<10ml/min	2.25g QID
Hemodialysis	2.25g TID (+0.75g AD)
Peritoneal dialysis	2.25g TID
Continuous renal replacement therapy	4.5g TID

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Table 4: Outcomes

Outcome	Definition
30-day all-cause	
mortality (co-	
primary outcome)	
	Composite of the following by day 7:
	Death
Treatment failure	• Fever above 38°C in the last 48 hours
at day 7 (co-	Symptoms attributed to the focus of infection still
primary outcome)	present
	SOFA score increasing
	Blood cultures positive with the index pathogen
14- and 90-day	
all-cause	
mortality	
Treatment failure	A - 1-C 1 -1
at 14 & 30 days	As defined above
Microbiological	Positive blood cultures with index pathogen at days 4-7 and
failure at 7 & 14	
days	11-14
Relapsed BSI at	Positive blood cultures with index pathogen following prior
30 & 90 days	sterilization at days 30 and 90
Metastatic focus	Isolation of index pathogen from non-blood specimen related
of infection	to metastatic spread of infection by day 90

	Development of either clinically or microbiologically		
Superinfection	documented infection within 90 days according to CDC		
	surveillance definitions of health-care associated infections for		
	bacterial infections		
Resistant	Clinical isolates resistant to PTZ and meropenem and any		
infection	carbapenem-resistant bacteria		
Resistant	Carriage of CPE and non-CPE CRE in-hospital till day 90,		
colonization	detected by weekly rectal surveillance of carriage while in-		
	hospital		
Re-admissions	Number of hospital re-admissions until day 90		
CDI	Clostridioides difficile associated diarrhea till 90 days		
	Abnormal liver enzymes and bilirubin		
	• Renal failure using the RIFLE (40) criteria by day 30		
	but we will not rely on urine output because it is not		
	properly or accurately documented in many non-ICU		
Adverse events	inpatient units		
	Leukopenia, neutropenia, thrombocytopenia		
	Drug hypersensitivity		
	Diarrhea		
	• Seizures		

SOFA, Sequential Organ Failure Assessment; BSI, bloodstream infection; CDC, Centers for Disease Control and Prevention; PTZ, piperacillin tazobactam; CPE, cabapenemase-producing Enterobacteriaceae; CRE, Carbapenem-resistant Enterobacteriaceae; CDI, *Clostridioides difficile* infection

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SPIRIT 2013 Checklist: Recommended items to address in a clinical trial protocol and related documents*

Section/item	Item No	Description	
Administrative in	format	ion	
Done Title	1	Descriptive title identifying the study design, population, interventions, and, if applicable, trial acronym	
Done Trial registration	2a	Trial identifier and registry name. If not yet registered, name of intended registry	
	2b	All items from the World Health Organization Trial Registration Data Set	
N/R Protocol version	3	Date and version identifier	
Done Funding	4	Sources and types of financial, material, and other support	
Done Roles and	5a	Names, affiliations, and roles of protocol contributors	
responsibilities	5b	Name and contact information for the trial sponsor	
	5c	Role of study sponsor and funders, if any, in study design; collection, management, analysis, and interpretation of data; writing of the report; and the decision to submit the report for publication, including whether they will have ultimate authority over any of these activities	
	5d	Composition, roles, and responsibilities of the coordinating centre, steering committee, endpoint adjudication committee, data management team, and other individuals or groups overseeing the trial, if applicable (see Item 21a for data monitoring committee)	
Introduction			
Done Background and rationale	6a	Description of research question and justification for undertaking the trial, including summary of relevant studies (published and unpublished) examining benefits and harms for each intervention	
	6b	Explanation for choice of comparators	
Done Objectives	7	Specific objectives or hypotheses	

Done Trial design 8 Description of trial design including type of trial (eg, parallel group, crossover, factorial, single group), allocation ratio, and framework (eg, superiority, equivalence, noninferiority, exploratory)

Methods: Participants, interventions, and outcomes

Done Study setting	9	Description of study settings (eg, community clinic, academic hospital) and list of countries where data will be collected. Reference to where list of study sites can be obtained	
Done Eligibility criteria	10	Inclusion and exclusion criteria for participants. If applicable, eligibility criteria for study centres and individuals who will perform the interventions (eg, surgeons, psychotherapists)	
Done Interventions	11a	Interventions for each group with sufficient detail to allow replication, including how and when they will be administered	
	11b	Criteria for discontinuing or modifying allocated interventions for a given trial participant (eg, drug dose change in response to harms, participant request, or improving/worsening disease)	
	11c	Strategies to improve adherence to intervention protocols, and any procedures for monitoring adherence (eg, drug tablet return, laboratory tests)	
	11d	Relevant concomitant care and interventions that are permitted or prohibited during the trial	
Done Outcomes	Primary, secondary, and other outcomes, including the sp measurement variable (eg, systolic blood pressure), analy (eg, change from baseline, final value, time to event), met aggregation (eg, median, proportion), and time point for ea outcome. Explanation of the clinical relevance of chosen e harm outcomes is strongly recommended		
Done Participant timeline	13	Time schedule of enrolment, interventions (including any run-ins and washouts), assessments, and visits for participants. A schematic diagram is highly recommended (see Figure)	
Done Sample size	14	Estimated number of participants needed to achieve study objectives and how it was determined, including clinical and statistical assumptions supporting any sample size calculations	
Done Recruitment	15	Strategies for achieving adequate participant enrolment to reach target sample size	

Methods: Assignment of interventions (for controlled trials)

Allocation:

Done Sequence generation	16a	Method of generating the allocation sequence (eg, computer- generated random numbers), and list of any factors for stratification. To reduce predictability of a random sequence, details of any planner restriction (eg, blocking) should be provided in a separate document that is unavailable to those who enrol participants or assign interventions	
Done Allocation concealment mechanism	16b	Mechanism of implementing the allocation sequence (eg, central telephone; sequentially numbered, opaque, sealed envelopes), describing any steps to conceal the sequence until interventions are assigned	
Done Implementation	16c	Who will generate the allocation sequence, who will enrol participants, and who will assign participants to interventions	
N/R Blinding (masking)	17a	Who will be blinded after assignment to interventions (eg, trial participants, care providers, outcome assessors, data analysts), and how	
	17b	If blinded, circumstances under which unblinding is permissible, and procedure for revealing a participant's allocated intervention during the trial	

Methods: Data collection, management, and analysis

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Done Data collection methods	18a	Plans for assessment and collection of outcome, baseline, and other trial data, including any related processes to promote data quality (eg, duplicate measurements, training of assessors) and a description of study instruments (eg, questionnaires, laboratory tests) along with their reliability and validity, if known. Reference to where data collection forms can be found, if not in the protocol
	18b	Plans to promote participant retention and complete follow-up, including list of any outcome data to be collected for participants who discontinue or deviate from intervention protocols
Done Data management	19	Plans for data entry, coding, security, and storage, including any related processes to promote data quality (eg, double data entry; range checks for data values). Reference to where details of data management procedures can be found, if not in the protocol
Done Statistical methods	20a	Statistical methods for analysing primary and secondary outcomes. Reference to where other details of the statistical analysis plan can be found, if not in the protocol
	20b	Methods for any additional analyses (eg, subgroup and adjusted analyses)
	20c	Definition of analysis population relating to protocol non-adherence (eg, as randomised analysis), and any statistical methods to handle missing data (eg, multiple imputation)

Methods: Monitoring

Done Data monitoring	21a	Composition of data monitoring committee (DMC); summary of its roand reporting structure; statement of whether it is independent from the sponsor and competing interests; and reference to where further details about its charter can be found, if not in the protocol. Alternatively, an explanation of why a DMC is not needed		
	21b	Description of any interim analyses and stopping guidelines, including who will have access to these interim results and make the final decision to terminate the trial		
Done Harms	22	Plans for collecting, assessing, reporting, and managing solicited and spontaneously reported adverse events and other unintended effects of trial interventions or trial conduct		
Done Auditing	23	Frequency and procedures for auditing trial conduct, if any, and whether the process will be independent from investigators and the sponsor		
Ethics and dissemination				

Done Research ethics approval	24	Plans for seeking research ethics committee/institutional review board (REC/IRB) approval	
N/R Protocol amendments	25	Plans for communicating important protocol modifications (eg, changes to eligibility criteria, outcomes, analyses) to relevant parties (eg, investigators, REC/IRBs, trial participants, trial registries, journals, regulators)	
Done Consent or assent	26a	Who will obtain informed consent or assent from potential trial participants or authorised surrogates, and how (see Item 32)	
	26b	Additional consent provisions for collection and use of participant data and biological specimens in ancillary studies, if applicable	
Done Confidentiality	27	How personal information about potential and enrolled participants will be collected, shared, and maintained in order to protect confidentiality before, during, and after the trial	
Done Declaration of interests	28	Financial and other competing interests for principal investigators for the overall trial and each study site	
Done Access to data	29	Statement of who will have access to the final trial dataset, and disclosure of contractual agreements that limit such access for investigators	
N/R Ancillary and post-trial care	30	Provisions, if any, for ancillary and post-trial care, and for compensation to those who suffer harm from trial participation	

Done Dissemination policy	31a	Plans for investigators and sponsor to communicate trial results to participants, healthcare professionals, the public, and other relevant groups (eg, via publication, reporting in results databases, or other data sharing arrangements), including any publication restrictions		
31b		Authorship eligibility guidelines and any intended use of professional writers		
	31c	Plans, if any, for granting public access to the full protocol, participal level dataset, and statistical code		
Appendices				
N/R Informed consent materials	32	Model consent form and other related documentation given to participants and authorised surrogates		
Done Biological specimens	33	Plans for collection, laboratory evaluation, and storage of biological specimens for genetic or molecular analysis in the current trial and for future use in ancillary studies, if applicable		

^{*}It is strongly recommended that this checklist be read in conjunction with the SPIRIT 2013 Explanation & Elaboration for important clarification on the items. Amendments to the protocol should be tracked and dated. The SPIRIT checklist is copyrighted by the SPIRIT Group under the Creative Commons "Attribution-NonCommercial-NoDerivs 3.0 Unported" license.

BMJ Open

PipEracillin Tazobactam versus mERoPENem for treatment of bloodstream infections caused by third generation cephalosporin-resistant Enterobacteriaceae – a study protocol for a non-inferiority open label randomized controlled trial (PeterPen)

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Primary Subject Heading :	Infectious diseases
Secondary Subject Heading:	Infectious diseases, Pharmacology and therapeutics, Research methods
Keywords:	Epidemiology < INFECTIOUS DISEASES, Infection control < INFECTIOUS DISEASES, MICROBIOLOGY

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PipEracillin Tazobactam versus mERoPENem for treatment of bloodstream infections caused by third generation cephalosporinresistant Enterobacteriaceae – a study protocol for a non-inferiority open label randomized controlled trial (PeterPen)

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Abstract

Introduction: The optimal treatment for ESBL-producing Enterobacteriaceae bloodstream infections has yet to be defined. Retrospective studies have shown conflicting results, with most data suggesting the non-inferiority of beta-lactam beta-lactamase inhibitor combinations compared to carbapenems. However, the recently published MERINO trial failed to demonstrate the non-inferiority of piperacillin-tazobactam to meropen. The potential implications of the MERINO trial are profound, as widespread adoption of carbapenem treatment will have detrimental effects on antimicrobial stewardship in areas endemic for ESBL and carbapenem-resistant bacteria. Therefore, we believe that it is justified to re-examine the comparison in a second randomized controlled trial prior to changing clinical practice.

Methods and analysis: PeterPen is a multicenter, investigator-initiated, open-label, randomized controlled non-inferiority trial, comparing piperacillin-tazobactam with meropenem for third generation cephalosporin-resistant *Escherichia coli* and *Klebsiella* bloodstream infections. The study is currently being conducted in 6 centers in Israel and 1 in Canada with other centers from Israel, Italy and Canada expected to join. The two primary outcomes are all-cause mortality at day 30 from enrollment and treatment failure at day seven (death, fever above 38°C in the last 48 hours, continuous symptoms, increasing Sequential Organ Failure Assessment (SOFA) score, or persistent blood cultures with the index pathogen). A sample size of 1084 patients was calculated for the mortality end point assuming a 12.5% mortality rate in the control group with a 5% non-inferiority margin and assuming 100% follow-up for this outcome.

Ethics and dissemination: The study is approved by local and national ethics committees as required. Results will be published and trial data will be made available.

Trial registration: ClinicalTrials.gov NCT 03671967 registered 13 September 2018; Israeli Ministry of Health trials register MOH_2018-12-25_004857 registered 25 December 2018.

Key words: extended spectrum beta-lactamase, carbapenem, beta-lactam beta-lactamase inhibitor, randomized controlled trial

Strengths and limitations of the study

- The study addresses a question of critical importance to antibiotic stewardship.
- Assuming the sample size estimates are correct, this pragmatic randomized controlled trial will provide a more definitive answer.
- Susceptibilities determined by automated methods may underestimate
 piperacillin-tazobactam resistance and resistance genes will not be available in
 real-time. Hence there will be a small risk of misclassified patients.
- Antibiotic levels will not be tested to direct dosing; however extended infusion regimens have been chosen to match high expected predicted target attainment for most patients.
- The study will reflect current standard of care provided to patients

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Background

Extended-spectrum β-lactamase (ESBL) producing Enterobacteriaceae, once limited to hospital-acquired infections, have now become prevalent in the community [1] and pose a serious public health threat [2]. Mortality rates following ESBL bloodstream infections (BSIs) are high, with 30-day mortality ranging from 17% in *Escherichia coli* to 34% in *Klebsiella pneumoniae* ESBL BSI in a contemporary large cohort [3], reinforcing the need for optimal treatment of these infections [4]. Carbapenems have traditionally been considered the treatment of choice for Enterobacteriaceae producing ESBL or AmpC due to concerns over imprecision of phenotypic susceptibility testing and the potential of an inoculum effect [5]. However extensive use of carbapenems is associated with the emergence of both carbapenemase producing and non-carbapenemase producing carbapenem-resistant Gram negative bacteria [2].

Several retrospective observational studies compared treatment with carbapenems and beta-lactam beta-lactamase inhibitors (BLBLI) for BSIs caused by ESBL-producing Enterobacteriaceae. These studies differed in the pathogens evaluated (*Klebsiella* spp. vs. *E. coli* vs. all Enterobacteriaceae), the type and dose of BLBLI or carbapenem used, the site of infection primarily assessed, whether empirical or definitive treatment was evaluated, and the outcome defined. Paterson et al were the first to demonstrate significantly lower 14-day mortality with carbapenems, establishing the dogma of a carbapenem advantage in ESBL *K. pneumoniae* BSIs more than 15 years ago [6]. Studies published later were inconsistent regarding the apparent efficacy of BLBLI; however, the bulk of the published observational data show no difference between empiric or definitive treatment with BLBLIs vs. carbapenems [6–10]. The MERINO trial by Harris et al was the first randomized controlled trial (RCT) to

compare piperacillin-tazobactam (PTZ) with meropenem for ESBL-producing Enterobacteriaceae BSI [11]. This multicenter non-inferiority trial enrolled adults with ceftriaxone-resistant (presumed ESBL-producing) E. coli or Klebsiella spp. The trial originally targeted a sample size of 454 patients and was terminated prematurely on the third interim analysis since demonstration of non-inferiority by end of enrolment was deemed unlikely. At termination, the overall 30-day mortality among 379 patients included in the analysis was 7.9% (30 events), with 23/187 (12.3%) deaths in those treated with PTZ vs. 7/191 (3.7%) in those treated with meropenem (risk difference 8.6%, 97.5% one sided confidence interval $-\infty$ to 14.5). Thus, PTZ could not be demonstrated to be non-inferior to meropenem. Re-calculation of the risk difference as 2-sided 95% CI shows a significant difference between groups (risk difference 8.6 (3.3% to 14.5%)). Most deaths were related to underlying cancer. Phenotypic ESBL production was confirmed in 86% of isolates (85% of E. coli and 92.5% of Klebsiella spp.). Most patients had a urinary tract infection (UTI, 60.9%) and most BSIs were caused by E. coli (86.5%). The risk difference (2-sided 95% CIs) among patients with UTI (RD 3.7, 95% CI -2 to 10.7, N=230) was lower than the risk difference among patients with a non-UTI source (RD 14.1, 95% CI 3.6-24.5, N=148). The risk difference for Klebsiella spp. (RD 23.1, 95% CI 8.1-42.3, N=51) was larger than that for E. coli (RD 6.3, 95% CI 0.7-12.6, N=328).

Rationale for replication

While the MERINO trial was the first RCT comparing PTZ to meropenem for ESBL bacteremia, allowing estimation of effects without selection bias, there are several reasons justifying further RCTs. The 3-fold difference in mortality between arms is striking and such a mortality difference was never observed previously in a randomized comparison between antibiotics. Such results warrant confirmation given

the profound practice implications. Several factors in the trial design favored non-inferiority, including the recruitment of patients with mild sepsis (median Pitt score one at randomization, with 40.7% of patients having resolved signs of infection at randomization), relatively short duration of the intervention (median six days out of the median 13 days of treatment for the bacteremia) and "contamination" of drug exposure between the two groups, due to use of the comparator for empirical treatment and stepdown therapy after the minimal duration of the intervention of four days. Considering these, the large difference in mortality observed between groups is even more surprising.

Several factors in the MERINO trial design are worth discussion. Primarily, the underlying assumptions which informed the non-inferiority sample size calculation. In MERINO, the sample size calculation assumed 14% mortality for meropenem and 10% mortality for PTZ with a 5% non-inferiority margin. This was not included in the initial manuscript but later appeared as an erratum [12]. The *a priori* assumption that mortality would be 4% lower for PTZ allows for a smaller total sample size but does so reliant on an assumption which is not supported by the observational evidence. Removing that assumption and assuming that PTZ mortality would also be 14% (with the same one-sided alpha 2.5%, 80% power, and 10% loss to follow-up) yields a sample size of 1683. Therefore, the MERINO trial as conducted was terminated after recruiting 22.5% of the sample size required under a more realistic estimate of PTZ mortality. An underpowered non-inferiority trial is at high risk of concluding "could not demonstrate non-inferiority".

Moreover, the interim analysis at that point (379 patients with 30 deaths), might have occurred at a time-point allowing random overestimation of the difference [13]. A systematic review comparing trials stopped early for benefit vs. trials that tested the

same interventions but completing recruitment showed that trials stopped early for benefit exaggerate effects, especially when the number of events is small [14,15].

Approximately half of RCTs performed subsequent to a trial being stopped for benefit, assessing the same intervention, confirmed the terminated trial's benefit while the other half found no difference or significance in the opposite direction [16].

Authors of the MERINO trial are currently investigating the reliability of VITEK and gradient strips for determination of PTZ resistance [17] as well as the association between genetic resistance mechanisms and PTZ minimal inhibitory concentrations (MICs) [18,19]. The MERINO investigators assessed PTZ MICs of 321/379 isolates by broth microdilution (BMD) in a central laboratory and found that 17.8% and 6.4% were resistant to PTZ by EUCAST and CLSI criteria, respectively [18]. Also blaOXA-1 genes were highly prevalent (67%) in the MERINO trial [11]. This may explain the high failure rate seen with PTZ, as co-carriage of OXA-1 and CTX-M-15 (the most common ESBL gene in the MERINO trial) is associated with PTZ MICs as high as 8-16 mcg/mL [20]. These MICs, although still susceptible, have a much higher chance (up to 20%) for inadequate PTZ pharmacokinetics when using the dosing strategies employed in MERINO [21].

Other reasons for replication have been raised following the trial's publication [22]. These include: imbalances between treatment groups; differences between sites with respect to the effect shown; the large number of deaths due to terminal cancer; and the pharmacokinetically non-optimized administration schedule of PTZ, particularly with respect to organisms with PTZ MICs above 2mcg/L.

We are therefore left with clinical equipoise regarding the treatment of ESBL infections with carbapenems as compared to BLBLIs. Microbiological and clinical trial data suggest a possible benefit to carbapenems. However, many centers do not

treat patients with ESBL infections routinely with a carbapenem, due to the ecological impact on these and other patients. This is especially true for centers with high endemicity of carbapenem-resistant Gram-negative bacteria and high rates of ESBL infections. Accepting without reservation the superiority of carbapenems based on the MERINO trial will increase their use dramatically for the treatment of all ESBL-positive bacteremias, spilling by default also to empirical treatment and treatment of non-bacteremic ESBL infections. The implication of switching to a primary carbapenem strategy for ESBLs is concerning in settings where ESBLs and carbapenem-resistant Gram-negative bacteria are frequent. At a time of increasing drug-resistance on one hand and on the other a serious lack of new antibiotics under development [23], it seems imprudent to embrace the MERINO findings without further corroboration.

For these reasons, we plan a second RCT comparing PTZ to meropenem for bacteremia caused by third-generation cephalosporin non-susceptible *E. coli* and *Klebsiella spp*. We aim to show non-inferiority of PTZ to meropenem. This is a replication trial attempting to address the findings and potential shortcomings of the MERINO trial. Learning from the MERINO experience, we hope to also improve the standardization of microbiological methods, baseline variable data collection, and sample size issues.

Methods

Design

The study is a multicenter randomized controlled non-inferiority open-label trial.

Study hypothesis and aims

We aim to evaluate the effect of definitive treatment with meropenem vs. PTZ, both given as extended-infusions, on the outcome of patients with bacteremia due to PTZ susceptible, third-generation cephalosporin-non-susceptible *E. coli* and *Klebsiella spp*. (assumed ESBL-producing Enterobacteriaceae). We aim to demonstrate that PTZ is non-inferior to meropenem.

Setting

The study will be conducted in three countries: in Israel at the Rambam Health Care Campus (RHCC), Rabin Medical Center (Beilinson Hospital), Tel-Aviv Sourasky Medical Center, Soroka Medical Center, Meir Medical Center, and Sheba Medical Center; in Italy at Modena University Hospital, and in Canada at the McGill University Health Centre and the Jewish General Hospital. We are currently recruiting other centers in all study countries. RHCC is the sponsor and assumes responsibility for the trial.

Inclusion and exclusion criteria

We will include adults with community or hospital-acquired monomicrobial BSI with *E. coli* or *Klebsiella spp.* non-susceptible to third generation cephalosporins and susceptible to both PTZ and meropenem. Detailed inclusion and exclusion criteria are listed in Table 1. Patients in whom exclusion criteria arise after randomization will be included in the intention to treat population.

Inclusion will be based on antibiotic susceptibility testing performed locally (Table 2). We will ask all participating laboratories to document local MICs for PTZ and meropenem for the study patients. The index culture will be kept frozen at -70°C for subsequent antimicrobial susceptibility confirmation and genotypic ESBL testing in a reference laboratory using optimized uniform methodology including BMD. The

primary analysis will be performed as randomized (based on local susceptibility testing). A secondary analysis will be performed based on the reference laboratory susceptibility test using the EUCAST and CLSI standards that will apply at the time of analysis [24,25].

Table 2: CLSI and EUCAST breakpoint definitions for susceptibility

	CLSI M100)-ED28: 2018. 28 th	EUCAST v 9 (January, 2019)	
	Edition [25]]	[24]	
	MIC	Disk diffusion	MIC	Disk diffusion
	(mg/L)	(mm)	(mg/L)	(mm)
Ceftriaxone	≤1	≥23	≤1	≥25
Ceftazidime	≤4	≥21	≤1	≥22
PTZ	≤16	≥21	≤8	≥20
Meropenem	≤1	≥23	≤2	≥22
Imipenem	≤1	≥23	≤2	≥22

CLSI- clinical and laboratory standards institute; EUCAST- European committee on antimicrobial susceptibility testing; MIC- minimal inhibitory concentration

Patient randomization

Patients will be randomized to PTZ or meropenem in a 1:1 ratio. Randomization will be done by a computer-generated list of random numbers allocated centrally in REDCap [26], stratified by country; infecting organism (*E. coli* vs. *Klebsiella spp.*); source of infection (UTI vs other); and empirical antibiotics (covering antibiotics in the first 24 hours from culture taken or non-covering). The random sequence will be generated using random permuted blocks of 4 to 8.

Intervention

The intervention group will receive PTZ 4.5 grams q6h and the control group will receive meropenem 1 gram q8h. Dose adjustments for patients with renal insufficiency are listed in Table 3. For each treatment arm, the first dose will be administered as a 30-minute bolus and the following doses will be administered as three hours prolonged infusion. If patients receive PTZ or meropenem empirically using other dosing regimens they will switch to the trial dosing regimen, without a bolus infusion if the same antibiotic is continued.

The study drug will be administered for a minimum of four to five days to complete at least seven days of antibiotic treatment. We will make a great effort to ensure that patients will complete treatment with the assigned treatment arm. Switch to the alternate arm antibiotic class or other antibiotics will not be permitted in the first week of treatment, unless treatment fails or for secondary infections. Crossovers, if they occur will be analyzed using appropriate statistical methods [27].

In order to maximize the ability of additional centers to join, minimize the study infrastructure required in each center, and contain study costs for this, as yet unfunded international trial, we have chosen to perform this trial open label. This is also essential as blinding a q8h drug vs. a q6h drug mathematically challenging. For the primary endpoint of mortality, which is objective, we do not anticipate risk of detection bias. The second primary endpoint, and any subjective secondary endpoints, will be adjudicated and analyzed by blinded members of the study team based on discrete variables collected.

Pharmacokinetic / pharmacodynamic considerations

Dosing strategies of β -lactams for patients with sepsis is a matter of debate and ongoing study. Nonetheless, studies on population pharmacokinetics for PTZ show that up to 20% of patients with an isolate with an MIC of 2mcg/L treated with 4.5g

q8h by intermittent infusion will not achieve the conservative pharmacokinetic target of at least 50% of the dosing interval (50% fT>MIC) [21,28]. Increasing the frequency to q6h improves this to about 10% at 2mcg/L but this again reaches 20% at an MIC of 8mcg/L which is still considered susceptible by both EUCAST and the CLSI [24,25]. Another study evaluating therapeutic drug monitoring for β-lactams showed that bolus administration of PTZ 4.5g q6h was insufficient in up to 49% of patients to achieve the study's pharmacokinetic/pharmacodynamic target [29]. Taking into consideration that patients may be obese [30], have augmented renal clearance [31] and/or have febrile neutropenia [32] only reinforces the need for high-dose extended-infusion of PTZ. A recently-published systematic review and meta-analysis on continuous/prolonged vs. intermittent infusion of β-lactams has shown reduced mortality with continuous/prolonged infusion [33], lending further support for an optimized PTZ dosing schedule in future trials. Dosing for patients with continuous renal replacement therapy by type of dialysis and flow rate; we based dosing on a contemporary literature review [34].

Prior to starting this trial, we conducted a survey among interested sites regarding current and recommended dosing practices. Seven of 16 centers in Israel, Italy and Canada stated they currently use either four-daily dosing of PTZ and/or extended infusion. Two thirds recommended either 4.5g PTZ q6h extended infusion or individualized dosing (using high dose extended infusion for obese, febrile neutropenia, high MIC and severe sepsis).

As we believe that one of the MERINO shortcomings is the sub-optimal PTZ dosing strategy; taking into consideration the previously mentioned pharmacokinetic studies favoring a q6h extended infusion; and realizing that some PTZ susceptibility tests are imprecise [17,35] and we could inadvertently include patients with higher MICs; we

chose a PTZ dosing of 4.5g q6h extended infusion. While we were intrigued by individualized dosing, we believed that since this is more complicated and might not be applied similarly across sites, the external validity of our trial might be compromised.

We considered a meropenem dose of 1 gram TID sufficient, since this was the dose studied in the MERINO trial for the same indications and this was the common dose used in the study centers. Pharmacokinetic/pharmacodynamics studies support this dosing regimen, especially when using extended infusions [36] and for the organisms in this study which will all be carbapenem susceptible with low MICs. We chose to give the meropenem as extended infusion so that non-inferiority would be demonstrated against the best-case administration of meropenem.

Outcome measures

We defined two co-primary endpoints, the first being all-cause mortality at day 30 from randomization and the second being treatment failure at day seven from randomization. Treatment failure was defined as death, fever above 38°C in the 48 hours before the time point, symptoms attributed to the focus of infection still present, Sequential Failure Organ Assessment (SOFA) score [37] increasing, or blood cultures positive with the index pathogen by the time point assessed (Table 4). These outcomes were selected according to consensus recommendations for endpoints in clinical trials regarding BSIs [38].

Secondary outcomes include all-cause mortality at 14 and 90 days; treatment failure at 14 and 30 days; microbiological failure defined as positive blood cultures with index pathogen at seven and 14 days; relapsed BSI at 30 and 90 days defined as recurrent positive blood cultures with index pathogen after prior sterilization; metastatic infections with index pathogen; secondary infections; *Clostridioides difficile*

associated diarrhea; hospital re-admissions; development of resistance to study drugs in clinical isolates; carriage of carbapenem-resistant Enterobacteriaceae (carbapenemase-producing and non-producing); total in-hospital days; total antibiotic days; liver function test abnormalities; allergic reactions; renal failure and other pre-

Subgroup analyses will be performed for the primary outcome of 30-day mortality by infecting organism ($E.\ coli\ vs.\ Klebsiella\ spp.$); INCREMENT score ($<11\ vs.\ge11$) [39]; bacteremia source (UTI vs. non-UTI); covering empirical therapy given in the first 24 hours; patients not receiving the comparator drug empirically; and excluding patients with an uncontrolled focus of infection.

Table 4: Outcomes

defined adverse events.

Outcome	Definition		
30-day all-cause	—		
mortality (co-			
primary outcome)	7		
	Composite of the following by day 7:		
	• Death		
Treatment failure	• Fever above 38°C in the last 48 hours		
at day 7 (co-	Symptoms attributed to the focus of infection still		
primary outcome)	present		
	SOFA score increasing		
	Blood cultures positive with the index pathogen		

14- and 90-day	
all-cause	
mortality	
Treatment failure	As defined above
at 14 & 30 days	715 defined above
Microbiological	Positive blood cultures with index pathogen at days 4-7 and
failure at 7 & 14	11-14
days	
Relapsed BSI at	Positive blood cultures with index pathogen following prior
30 & 90 days	sterilization at days 30 and 90
Metastatic focus	Isolation of index pathogen from non-blood specimen related
of infection	to metastatic spread of infection by day 90
	Development of either clinically or microbiologically
Superinfection	documented infection within 90 days according to CDC
Superimection	surveillance definitions of health-care associated infections for
	bacterial infections
Resistant	Clinical isolates resistant to PTZ and meropenem and any
infection	carbapenem-resistant bacteria
Resistant	Carriage of CPE and non-CPE CRE in-hospital till day 90,
	detected by weekly rectal surveillance of carriage while in-
colonization	hospital
Re-admissions	Number of hospital re-admissions until day 90
CDI	Clostridioides difficile associated diarrhea till 90 days
Adverse events	Abnormal liver enzymes and bilirubin

- Renal failure using the RIFLE [40] criteria by day 30 but we will not rely on urine output because it is not properly or accurately documented in many non-ICU inpatient units
- Leukopenia, neutropenia, thrombocytopenia
- Drug hypersensitivity
- Diarrhea
- Seizures

SOFA, Sequential Organ Failure Assessment; BSI, bloodstream infection; CDC, Centers for Disease Control and Prevention; PTZ, piperacillin tazobactam; CPE, cabapenemase-producing Enterobacteriaceae; CRE, Carbapenem-resistant Enterobacteriaceae; CDI, *Clostridioides difficile* infection

Microbiological methods

All laboratories from centers participating in the study are ISO 9001 accredited laboratories. Following growth in blood culture, isolates will be identified using automated methods (Vitek 2, BD Phoenix, Vitek MS, MALDI biotyper). Antibiotic susceptibilities will be determined according to local practices, using either automated methods, disk diffusion, gradient diffusion, or a combination of these methods, and interpreted using either CLSI or EUCAST breakpoints as per local protocols. All isolates will be made available for future testing by a central laboratory where antibiotic susceptibility will be determined using BMD and interpreted according to EUCAST standards. Central laboratory personnel will be blinded to trial outcomes and to local antibiotic susceptibility test results. We will also determine and characterize the presence of ESBL and ampC genes using PCR.

Assessment and follow up

Patients will be identified based on laboratory reports of Gram-negative bacteremia. All patients will be followed up till day 90 post randomization in-hospital and on readmissions. During hospitalization patients will be visited by infectious diseases specialists as needed. Management decisions, such as diagnostic evaluation, other medical/surgical procedures and discharge from hospital will be left to the discretion of the treating physicians. Defined adverse events will be collected from the patients' charts and continuation of therapy will be similarly left of the discretion of treating physicians. We will not mandate diagnostic testing further than those defined for outcome collection and these will be done as clinically indicated. Patients will not be asked to return for study visits after discharge.

Data will be collected from the study visits, laboratory reports and the electronic health record. Following discharge, we will document re-admissions with outcome events during readmissions; and survival status through the national electronic patient files in Israel, through regional databases in Italy, and through local data and direct patient contact (text/email/phone/mail) in Canada. Anonymous data will be entered into a central case report form (CRF) designed in REDCap, a secure web application.

Sample size

For the mortality endpoint we calculated a sample size of 542 patients per arm assuming a 12.5% mortality rate in the control group with a 5% non-inferiority margin and a 1-sided hypothesis with 5% α-risk and 80% power [41]. The assumed mortality rate of 12.5% was based on rates reported in contemporary observational studies (17.3%) [7–9] and the MERINO RCT (7.9%) [11]. We do not assume loss to follow-up given complete 90 day follow-up in 719 patients with bloodstream infections in two previous RCTs performed by our group [42,43].

The sample size calculation for the treatment failure outcome assumes a 25% failure rate at seven days in the control group. To test for non-inferiority of PTZ compared to meropenem with a 1-sided 5% α -risk, 80% power and a non-inferiority margin of 10% we will need 232 patients per study group.

Monitoring and trial management

The trial will be monitored centrally by the coordinating center at RHCC. Data entry will be monitored continuously on REDCap, checking for timely data entry, missing data or suspected faulty data. Inconsistencies and logical rules have been pre-defined to allow detection of such events. We will employ a risk-based strategy, with sparse on-site monitoring based on central inspection of the data. A steering committee has been nominated (the PIs and selected investigators representing all countries) and the trial will be followed by an independent safety monitoring board (two infectious diseases specialists and one pharmacologist, all expert in clinical trials and external to the study centers).

Statistical analysis

We plan an interim analysis after recruitment of 250, 500 and 750 patients. The trial will be stopped if an extreme difference between groups of p<0.001 will be observed for the primary outcome of 30-day mortality. The difference was chosen based on the MERINO trial stopping rule [11] and following the Haybittle-Peto rule [44,45] that preserves the overall type I error rate at 0.05. The sample size of the first interim analysis was selected based on the minimal sample size required to reach a difference with p<0.001 presuming that the maximal difference between groups that we will reach is the one observed in the MERINO trial [11].

The primary analysis will include all randomized patients following local susceptibility testing. A secondary analysis will exclude patients in whom major errors in susceptibility compared to BMD will be detected. A per protocol analysis will include patients fulfilling inclusion based on central lab adjudication of susceptibilities, without exclusion criteria and receiving the allocated intervention for at least four calendar days.

Patients' baseline characteristics will be displayed descriptively. Outcome variables will be compared using the chi-square test, Student's t-test or the Mann–Whitney U test, as appropriate. Risk differences for dichotomous outcomes will be computed with 95% confidence intervals. Non-inferiority will be fulfilled if the upper value of the 1-sided 95% CI for the risk difference of meropenem compared to PTZ will be equal or lower to the defined non-inferiority margin.

Ethics and dissemination

The ethics of recruiting patients into this study, after the MERINO trial, are embedded in the considerations we previously raised. These concern the possibility that their chance finding will not be observed in a larger repetition trial and some improvement in the study design through obtaining a larger sample size and improving PTZ pharmacokinetics. With these considerations, the study was approved by the ethics committees of the above Israeli hospitals and is awaiting approval in other hospitals. In Canada, institutional ethics approval has been granted for the Province of Quebec and the study has received approval from Health Canada as required for studies involving off-label use of approved pharmaceuticals.

Results of the study, whether completed or not, will be analyzed and made available through publication. De-identified individual patient data collected during the trial

will be made available for an unlimited time period following publication of trial results. Data will be available for researchers who provide a methodologically sound proposal and contingent on both the researchers' and our ethics committee approval and the signing of a data sharing agreement.

Patient and public involvement

We have not involved patients or the public in the trial's design and planning. We plan to conduct a survey for bacteremia survivors and the public on the acceptability the consensus endpoints defined for BSIs [38].

Funding

We have not succeeded in obtaining funding for the study from the Israeli Ministry of Health. The study received the support of ESCMID through the society's Research Grant programme 2020 (30,000 Euro) which will be used for the ethics and insurance requirements of the Italian sites and for onsite study visits. The Canadian sites are seeking funding through the Canadian Institutes of Health Research. Should the study receive substantial funding we plan to recruit additional hospitals who currently do not have the infrastructure to recruit into clinical trials and to revise the protocol, mainly with respect to the microbiological methods so as to enable local laboratories to perform BMD and test for resistance genes in real time.

Competing interests

None declared.

Authors' contributions

RB, FK, CM, YG, MC, GR, LN, RBA, AT, MHS, MPC, TCL, LL, DY, MP contributed to conception, design, trial management and planned data analysis.

RB, FK, DY and MP contributed to trial database and randomization site design.

RB and MP wrote the first draft of the manuscript.

All authors revised the protocol critically for important intellectual content and approved the final manuscript.

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 Table 1: Inclusion and exclusion criteria

Inclusion criteria			Exclusion criteria		
•	Adults (age ≥ 18 years)	•	More than 72 hours elapsed since		
•	New onset BSI due to E. coli or		initial blood culture taken, regardless		
	Klebsiella spp. in one or more blood		of the time covering antibiotics were		
	cultures associated with evidence of		started		
	infection	•	Polymicrobial bacteremia defined as		
•	The microorganism will have to be		either growth of two or more		
	non-susceptible to third generation		different species of microorganisms		
	cephalosporins (ceftriaxone and/or		in the same blood culture, or growth		
	ceftazidime) and susceptible to both		of different species in two or more		
	PTZ and meropenem		separate blood cultures within the		
•	We will permit the inclusion of	V .	same episode of infection		
	bacteremias due to E. coli or	•	Patients with prior bacteremia or		
	Klebsiella spp. with concomitant		infection that have not completed		
	growth in blood of skin commensals		antimicrobial therapy for the		
	considered as contaminants.		previous infectious episode.		
		•	Patients with septic shock at the time		
			of enrollment and randomization,		
			defined as at least 2 measurements		
			of systolic blood pressure < 90		
			mmHg and/or use of vasopressors		
			(dopamine>15μg/kg/min,		
			adrenalin>0.1μg/kg/min,		

noradrenalin>0.1µg/kg/min,
vasopressin any dose) in the 12
hours prior to randomization. In the
absence of the use of vasopressors, a
systolic blood pressure <90 would
need to represent a deviation for the
patient's known normal blood
pressure.

- BSI due to specific infections known at the time of randomization: endocarditis / endovascular infections, osteomyelitis (not resected), central nervous system infections
- Allergy to any of the study drugs confirmed by history taken by the investigator
- Previous enrollment in this trial
- Concurrent participation in another interventional clinical trial
- Imminent death (researcher's assessment of expected death within 48 hours of recruitment after discussion with treating team)

Table 3: Dose adjustment for study antibiotics

A. All sites

	Meropenem	Piperacillin tazobactam
CrCl>50ml/min*	1g q8h	4.5g q6h
CrCl 26-50ml/min*	1g q12h	3.375g q6h (only if CCT<40)
CrCl 10-25ml/min*	0.5g q12h	2.25g q6h
CrCl<10ml/min*	0.5g q24h	2.25g q6h
Hemodialysis	0.5g q24h (+0.5g AD)	2.25g q8h (+0.75g AD)
Peritoneal dialysis	0.5g q24h	2.25g q8h
Continuous renal	By flow rate based on re	commendations in:
replacement therapy	https://doi.org/10.3389/f	phar.2020.00786

^{*}CrCl should be expressed in mL/min/1.73m2, using the modification of diet in renal disease (MDRD) formula, Cockroft and Gault equation or other means.

AD- after dialysis

B. In Canadian sites **

	Piperacillin-tazobactam
CCT>40ml/min	4.5g QID
CCT 20-40ml/min	4.5g TID
CCT 10-20ml/min	2.25g QID
CCT<10ml/min	2.25g QID
Hemodialysis	2.25g TID (+0.75g AD)
Peritoneal dialysis	2.25g TID
Continuous renal replacement therapy	As above, by flow rate

** In Canada, to conform with the existing product monograph and accounting for the unavailability of the 3.375g dosage form in most hospitals the following piperacillintazobactam dosing strategy will be used (as extended infusion of 3 hours).



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SPIRIT 2013 Checklist: Recommended items to address in a clinical trial protocol and related documents*

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	Description
1	PipEracillin Tazobactam versus mERoPENem for treatment of bloodstream infections caused by third generation cephalosporin-resistant Enterobacteriaceae – a study protocol for an open-label non-inferiority randomized controlled trial (PeterPen)
4	NCT 03671967
	September 10 V1
18	The study received the support of ESCMID through the society's Research Grant programme 2020 (30,000 Euro).
17	Rambam Health Care Campus (RHCC) is the sponsor of the study. The principal investigators are Bitterman Roni and Paul Mical both from RHCC. The trial is not funded. The sponsor takes responsibilities for coordinating the protocol writing, design of the trial's database, randomization scheme and data collection and randomization tools, recruiting investigators and introducing the protocol to new sites. The sponsor will perform the monitoring as specified in the protocol and will ensure all ethics regulations are followed in the trial sites. The sponsor is responsible for the trial database that will be shared with all investigators and will perform the primary analyses. All investigators will review the analysis and provide input. The roles and responsibilities of the data monitoring committee have been described separately.
5-9	Optimal treatment for ESBL-producing Enterobacteriaceae bloodstream infections has yet to be defined. Retrospective studies have shown conflicting results, with the majority of data suggesting the non-inferiority of beta-lactam beta-lactamase inhibitor combinations compared to carbapenems. The recently published MERINO trial reported the contrary with an apparent survival advantage to meropenem over piperacillin-tazobactam. The potential implications of the MERINO trial are profound, as widespread adoption of carbapenem treatment will have detrimental effects on antimicrobial stewardship in areas endemic for ESBL and carbapenem-resistant bacteria. Therefore, we believe that it is justified to re-examine the comparison in a second randomized controlled trial prior to changing clinical practice forever.
	18

Objectives	9	Piperacillin tazobactam is non-inferior to meropenem for treatment of third generation cephalosporin-resistant E. coli and Klebsiella BSI
Trial design	9	Open label non-inferiority randomized controlled trial
	<u> </u>	nterventions, and outcomes
Study setting	10	Study is conducted at 6 hospitals in Israel, 1 hospital in Italy and 2 hospitals in Canada. More hospitals are joining.
Eligibility criteria	10-	 Adults (age ≥ 18 years) New onset BSI due to <i>E. coli</i> or <i>Klebsiella spp</i>. in one or more blood cultures associated with evidence of infection The microorganism will have to be non-susceptible to third generation cephalosporins (ceftriaxone and/or ceftazidime) and susceptible to both PTZ and meropenem Exclusion More than 72 hours elapsed since initial blood culture taken, regardless of the time covering antibiotics were started Polymicrobial bacteremia Patients with prior bacteremia or infection that have not completed antimicrobial therapy for the previous infectious episode. Patients with septic shock at the time of enrollment BSI due to specific infections known at the time of randomization: endocarditis / endovascular infections, osteomyelitis (not resected), central nervous system infections Allergy to any of the study drugs Previous enrollment in this trial Concurrent participation in another interventional clinical trial Imminent death
Interventions	11	The intervention group will receive PTZ 4.5 grams q6h and the control group will receive meropenem 1 gram q8h. Dose adjustments for patients with renal insufficiency are specified. The study drug will be administered for a minimum of four to five days to complete at least seven days of antibiotic treatment
	11	Research coordinators and investigators will monitor adherence to study protocol
	11	Concomitant antibiotics are prohibited during the first week of the trial, unless secondary infections arise post-randomization an mandate change.
Outcomes	13- 14	We defined two co-primary endpoints, the first being all-cause mortality at day 30 from randomization and the second being treatment failure at day seven from randomization. Secondary outcomes include all-cause mortality at 14 and 90 days; treatment failure at 14 and 30 days; microbiological failure defined as positive blood cultures with index pathogen at seven and 14 days;

relapsed BSI at 30 and 90 days defined as recurrent positive blood cultures with index pathogen; secondary infections; Clostridioides difficile associated diarrhea; hospital re-admissions; development of resistance to study drugs in clinical isolates; carriage of carbapenem-resistant Enterobacteriaceae (carbapenemase-producing and non-producing); total in-hospital days; total antibiotic days; liver function test abnormalities; allergic reactions; renal failure and other adverse events. The mortality outcome was chosen as this is the most relevant patient-related outcome and since it is not subject to bias. Both primary outcomes were selected based on a consensus statement of endpoints for such a trial. Participant timeline Randomization has to occur within 72 hours from the time index blood culture was taken. We minimized need for mandatory tests and have limited it to blood chemistry, CBC and blood gases obtained on randomization and select time points (only as long as patient is hospitalized). Sample size 15- For the mortality endpoint we calculated a sample size of 542 patients per arm assuming a 12.5% mortality rate in the control group with a 5% non-inferiority margin and a 1-sided hypothesis with 5% or-risk and 80% power. The assumed mortality rate of 12.5% was based on rates reported in contemporary observational studies (17.3%) and the MERINO RCT (7.9%). The sample size calculation for the treatment failure outcome assumes a 25% failure rate at seven days in the control group. To test for non-inferiority of PTZ compared to meropenem with a 1-sided 5% or-risk, 80% power and a non-inferiority margin of 10% we will need 232 patients per study group. Recruitment Recruitment will be initiated by researchers and based on laboratory-derived reports. Each center has flexibility can modify according to the common practice in place. Methods: Assignment of interventions (for controlled trials) Allocation: Sequence 11 Computer-generated list of random numbers generation Allocation 15 Done by researches in			
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	methods		

		files in Israel, through regional databases in Italy, and through local
		data and direct patient contact (text/email/phone/mail) in Canada.
		All data will be collected for patients deviating from trial protocol
Data	15	Anonymous data will be entered into a central case report form (CRF)
management		designed in REDCap, a secure web application.
Statistical	16-	The primary analysis will include all randomized patients following
methods	17	local susceptibility testing. A secondary analysis will exclude patients in whom major errors in susceptibility compared to BMD will be detected. A per protocol analysis will include patients fulfilling inclusion based on central lab adjudication of susceptibilities, without exclusion criteria and receiving the allocated intervention for at least four calendar days. Patients' baseline characteristics will be displayed descriptively. Outcome variables will be compared using the chi-square test, Student's t-test or the Mann–Whitney U test, as appropriate. Risk differences for dichotomous outcomes will be computed with 95% confidence intervals. Non-inferiority will be fulfilled if the upper value of the 1-sided 95% CI for the risk difference of meropenem compared to PTZ will be equal or lower to the defined non-inferiority margin. Subgroup analyses will be performed for the primary outcome of 30-day mortality by infecting organism (<i>E. coli</i> vs. <i>Klebsiella spp.</i>); INCREMENT score (< 11 vs. ≥11); bacteremia source (UTI vs. non-UTI); covering empirical therapy given in the first 24 hours; patients not receiving the comparator drug empirically; and excluding patients with an uncontrolled focus of infection.
Methods: Monito	orina	
Data monitoring	16	The trial will be monitored centrally by the coordinating center at RHCC. Data entry will be monitored continuously on RedCap, checking for timely data entry, missing data or suspected faulty data. Inconsistencies and logical rules have been pre-defined to allow detection of such events. We will employ a risk-based strategy, with sparse on-site monitoring based on central inspection of the data.
	16	We plan an interim analysis after recruitment of 250, 500 and 750 patients. The trial will be stopped if an extreme difference between groups of p<0.001 will be observed for the primary outcome of 30-day mortality. The difference was chosen based on the MERINO trial stopping rule and following the Haybittle-Peto rule that preserves the overall type I error rate at 0.05. The sample size of the first interim analysis was selected based on the minimal sample size required to reach a difference with p<0.001 presuming that the maximal difference between groups that we will reach is the one observed in the MERINO trial.
Harms	16	All adverse events data will be collected into RedCap. Serious
Auditing	16	adverse events will also be reported to local IRB. Monitoring will be mainly remote with minimal on-site monitoring
	ı ın	

Ethics and disser	minatio	on
Research ethics	17	IRB approval has been obtained in the specified centers and other
approval		centers are still seeking.
Protocol		Protocol amendments will be distributed to participating sites by
amendments		coordinating center.
Consent or		Each center will obtain informed consent according to local
assent		regulations
Confidentiality	15	All data will be shared anonymously
Declaration of interests	18	Principal investigators have no conflicts of interest
Access to data		The coordinating center (RHCC) will have access to the final combined data set.
Ancillary and		Insurance for trial participants is obtained according to local
post-trial care		regulations
Dissemination	18	De-identified individual patient data collected during the trial will be
policy		made available for an unlimited time period following publication of
		trial results. Data will be available for researchers who provide a
		methodologically sound proposal and contingent on both the
		researchers' and our ethics committee approval and the signing of a
		data sharing agreement
		We do not intend to use professional writers.
Appendices		
Informed consent	17	Local consent forms have been generated by each participating
materials		center.
Biological	10	Blood sample for drug monitoring will be collected and stored for
specimens		future analysis. The index bacteria isolate will be stored by each center for further analysis.