Protocol

BMJ Open Prospective, observational, single-centre cohort study with an independent control group matched for age and sex aimed at investigating the significance of cholinergic activity in patients with schizophrenia: study protocol of the CLASH-study

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ABSTRACT

To cite: Schick B, Barth E, Mayer B, *et al.* Prospective, observational, singlecentre cohort study with an independent control group matched for age and sex aimed at investigating the significance of cholinergic activity in patients with schizophrenia: study protocol of the CLASH-study. *BMJ Open* 2021;**11**:e050501. doi:10.1136/ bmjopen-2021-050501

Prepublication history for this paper is available online. To view these files, please visit the journal online (http://dx.doi. org/10.1136/bmjopen-2021-050501).

Received 21 February 2021 Accepted 22 November 2021

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Correspondence to

Dr Benedikt Schick; benedikt-1.zujalovic@uni-ulm. de Introduction Alterations in the cholinergic metabolism may cause various clinical symptoms of schizophrenia. In addition to the 'monoamine hypothesis,' neuroinflammation is also discussed as a cause of schizophrenia. To date, there has been no evidence of alterations in the central cholinergic transmitter balance in patients with schizophrenia under clinical conditions. By contrast, studies in critically ill patients have established the measurement of acetylcholinesterase activity as a suitable surrogate parameter of central cholinergic transmitter balance/possible pathophysiological changes. Butyrylcholinesterase activity has been established as a parameter indicating possible (neuro)inflammatory processes. Both parameters can now be measured using a point-ofcare approach. Therefore, the primary objective of this study is to investigate whether acetylcholinesterase and butyrylcholinesterase activity differs in patients with various forms of schizophrenia. Secondary objectives address the possible association between acetylcholinesterase and butyrylcholinesterase activity and (1) schizophrenic symptoms using the Positive and Negative Syndrome Scale, (2) the quantity of antipsychotics taken and (3) the duration of illness. Methods and analysis The study is designed as a prospective, observational cohort study with one independent control group. It is being carried out at the

Department of Psychiatry and Psychotherapy III, Ulm

University Hospital, Germany. Patient enrolment started

is in January 2022. The enrolment period was set from

October 2020 to December 2021 (extension required due

to SARS-CoV-2 pandemic). The sample size is calculated

after referral to a postacute ward over a period of three

consecutive days. The matched control group will be

on hospital admission (acute symptomatology) and

at 50 patients in each group. Esterase activity is measured

created after reaching 50 patients with schizophrenia. This

in October 2020, and the anticipated end of the study

Strengths and limitations of this study

- CLASH is the first study to investigate the clinical relevance of acetylcholinesterase and butyrylcholinesterase activity (BChE) measured using point-ofcare methods in patients with schizophrenia.
- The study design with a control group matched for age and sex as well as the planned subgroup analysis allow for a statistically correct analysis of the different aims of the study.
- The most significant limitation is the choice of BChE as a marker of neuroinflammation, since it is unclear whether BChE activity is specific enough to identify the neuroinflammatory component as a cause of schizophrenia.

will be followed by a comprehensive statistical analysis of the data set.

Ethics and dissemination The study was registered prospectively in the German Clinical Trials Register (DRKS-ID: DRKS00023143,URL: https://www.drks.de/drks_web/navigate.do?navigationId=trial.HTML&TRIAL_ID= DRKS00023143) after approval by the ethics committee of the University of Ulm, Germany Trial Code No. 280/20. Trial registration number DRKS00023143; Pre-results.

INTRODUCTION

Schizophrenia is a severe psychiatric illness with a lifetime prevalence of 1.0%.¹ The suicide risk of patients with this condition is significantly higher than that of the general population.² Among other things, this is attributable to the usually high intensity of patient suffering and delusions. In terms of clinical aspects, symptoms can be categorised as 'positive' and 'negative'.³ Positive

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symptoms include delusional paranoid experiences, hallucinations, distortions of self-experience, thought disorders and hyperkinetic-catatonic symptoms. Negative symptoms include anhedonia, asociality, avolition, apathy and a blunted affect, maybe even hypokinetic-catatonic symptoms.^{4 5} The International Statistical Classification of Diseases, 10th Revision and Related Health Problems (chapter V (F)) of the WHO differentiates various forms of schizophrenia in Section F2, similarly to the Diagnostic and Statistical Manual of Mental Disorders (DSM) used in English-speaking countries. Despite all of the diagnostic criteria specified, schizophrenia remains a diagnosis of exclusion.⁶

The elusive nature of the disease when it comes to diagnosis is also reflected in the various pathophysiological explanatory hypotheses for schizophrenia. As a currently accepted doctrine, the dopamine hypothesis holds that a disturbance in the central dopamine homeostasis leads to the typical hallucinatory symptoms of schizophrenia." While positive symptoms are attributed to hyperactive dopaminergic mesolimbic regions, negative symptoms might be associated with a deficiency in dopaminergic activity in the frontal mesocortical regions. This is also the rationale for pharmacological treatment with dopamine antagonists when treating hallucinations and delusions.⁸ However, the dopamine hypothesis is of limited validity, as it cannot adequately explain the clinical manifestations of cognitive impairment such as learning and memory deficits.9

Cholinergic system, acetylcholinesterase activity and schizophrenia

In patients with schizophrenia, imaging and postmortem studies have postulated the theory that alterations in the cholinergic transmitter balance act as a partial aspect of pathophysiologic changes. $^{10\mathcharmonamed{$ activity of the muscarinic M1-M4 receptors and changes at the nicotinic n-alpha-7-acetylcholine receptor were identified.¹⁴⁻¹⁶ Among other things, acetylcholine mediates perception, attention and cognitive performance via central muscarinergic receptors. By binding to nicotinic acetylcholine receptors located in the central nervous system (CNS), it also assists with the modulation of the neuronal excitation level and is thus involved in learning and memory competence. Alterations in in the central cholinergic transmitter balance are often reflected in symptoms such as learning, memory, and attention disorders, which can manifest clinically in the form of delirium and dementia syndrome, but also in patients with schizophrenia. It is currently not possible to assess cholinergic transmitter balance under clinical conditions because of the short half-life of its transmitter, acetylcholine. As a surrogate marker of the central cholinergic transmitter balance, erythroyctic acetylcholinesterase (AChE) activity offers an approximate reflection of the activity of AChE in the CSF (cerebrospinal fluid) and has become established as such, especially in the field of critical care medicine.17-19

The negative symptomatology can be explained by a disturbance in the central cholinergic transmitter homoeostasis.²⁰ Case-control studies focusing on indirect parasympathomimetics such as donepezile, rivastigmine and arecoline, which can cross into the CNS, support this hypothesis.^{21–26} Studies in critically ill, delirious patients as well as patients with Alzheimer's disease, support the theory of central cholinergic transmitter deficiency based on comparable clinical symptoms such as learning, memory and attention deficits.^{17 18 27 28} Since most schizophrenic spectrum disorders are usually chronic and sometimes lifelong, patients are at a high risk of suffering from iatrogenic central cholinergic dysfunction due to the long-term use of potentially anticholinergic drugs such as most antipsychotics, benzodiazepines and antidepressants.^{29 30} Schizophrenic patients are often heavy smokers.^{31 32} It is postulated that the heavy consumption of nicotine is a kind of self-therapy on the part of the patient aimed at compensating for a possible cholinergic deficit, be it medicinal or diseaserelated.³³⁻³⁶ The type and amount of daily nicotine consumption must, therefore, be considered in the context of measuring acetylcholinesterase and butyrylcholinesterase activity (BChE) in both patients and the control group, as falsely high measured values may occur. The study design does not include an analysis of genetic factors that may be associated with alterations in central cholinergic transmission in patients with schizophrenia.^{16 37 38} However, genetic factors should be considered in the discussion of pathophysiological alterations in central cholinergic transmitter balance as a cause of cholinergic deficit in schizophrenics. To date, the evidence available regarding disturbances of the central cholinergic transmitter balance or cholinergic neurons in patients with schizophrenia is limited. A number of systematic reviews address the need for research in this area.^{38–40} Therefore, unlike previous approaches, this study attempts to objectify a change in the central cholinergic metabolism on the basis of point-of-care measurements of erythrocytic AChE activity.

Neuroinflammation and BChE

The neuroinflammation theorem is another explanatory approach to the pathogenesis of schizophrenia spectrum disorders.^{41–46} It is common knowledge that central cholinergic metabolism interacts with the immune response via the cholinergic-anti-inflammatory reflex arc.^{47 48} In contrast to neuronally expressed AChE, BChE is predominantly synthesised by immunologically active glial cells in the CNS, which highlights its importance as an inflammatory marker.^{49–51} Various studies have shown dysregulation of proinflammatory and anti-inflammatory molecules in patients with schizophrenia.^{52–54} Neuroimaging studies show partial activation of microglia in these patients. BChE activity, as an essential parameter of this study, is produced predominantly by immunologically

active glial cells in the CNS. Studies in critically ill patients have already established a decrease in BChE activity as both an inflammatory marker and an outcome parameter.^{49 55 56} Contrary to a study by Mabrouk we assume that a decrease rather than an increase in plasma BChE activity is observed in schizophrenic patients where (neuro)inflammation is considered as a possible cause.⁵⁷ The reason for our assumption is that the BChE activity is shown to correlate negatively with the inflammatory level.^{49 58-60} Furthermore, in our study, a 3-day measurement sequence is performed that takes into account the inter- and intraindividual variability of both BChE and AChE activity. Considering the interaction of cholinergic metabolism with the immune system, referred to as the cholinergic anti-inflammatory reflex arc, it is obvious why the joint measurement of both AChE and BChE activity may be of interest in patients with schizophrenia. Based on the research of Tracey, the following mechanistic consideration describes the cholinergic-anti-inflammatory reflex: Proinflammatory molecules lead to activation of the vagal afferents. As a result, increased acetylcholine is released via vagal afferents. ACh binds to the alpha-7n-acetylcholine receptor expressed on immunologically active cells. The activated immune cells release more antiinflammatory molecules and thereby control inflammation.⁶¹ Various studies have shown that disruption of this reflex arc results in excessive inflammation. By contrast, a significant anti-inflammatory response could be achieved via electrical or pharmacological stimulation of the vagus nerve.^{62 63}

Taking into consideration the aforementioned theorems, the aim of this study is to evaluate whether AChE and BChE activity are altered in patients with schizophrenia compared with a healthy control group and whether, as a secondary endpoint, changes in AChE and/ or BChE activity correlate with different clinical manifestations of schizophrenia as a result of subgrouping within the schizophrenic patient collective.

The ability to measure both AChE and BChE activity using point-of-care diagnostic methods offers the option to establish a systematic survey of patients with schizophreniform disorders in the clinical setting.

METHODS/DESIGN

The CLASH study is designed as a prospective, observational, single-centre cohort study with one independent control group, matched by age and sex. Patient enrolment started in October 2020, and the anticipated end of the study is in January 2022. The enrolment period was set from October 2020 to December 2021. At the time of data collection, all patients were receiving inpatient or outpatient treatment at the Department of Psychiatry and Psychotherapy III, Ulm University Hospital, Germany.

Study population

All patients of the Department of Psychiatry and Psychotherapy III at the University Hospital Ulm, Germany, whose disorder is classified as schizophrenia spectrum on hospital admission according to the current version of DSM-5 are potentially eligible for inclusion. In the course of data evaluation, a distinction is then made between whether the diagnosis is an initial one or whether the patient already suffers from a schizophreniform disorder. The control group is recruited from healthy volunteers who are matched for sex and age. The following inclusion and exclusion criteria apply to patients with schizophrenia.

Inclusion criteria

- ► Age \geq 18 years.
- Schizophrenia classified by DSM (pre-existing or first episode).
- Verbal and written patient consent before the start of measurements.

Exclusion criteria

- ► Age <18 years.
- Cognitive impairment that makes study participation impossible.
- Severe visual and hearing impairments.
- ▶ Patients who are unable to give informed consent.
- Insufficient German language skills, making communication impossible.

Inclusion and exclusion criteria for the matched group of healthy subjects are defined as follows:

- Age ≥ 18 years.
- ► Anamnestic exclusion of a previous psychiatric disorder.
- Verbal and written volunteer informed consent before the start of measurements.

The exclusion criteria are the same as those for patients with schizophrenia.

The following patient-related data were collected during the hospital treatment:

- Age at enrolment.
- ► Sex.

- Length of stay.
- Continuous and on-demand medication, number of potential anticholinergic medication according to Anticholinergic Cognitive Burden (ACB) scale.
- ► Nicotine consumption (pack-years) and current number of cigarettes smoked per day actually/trans-dermal nicotine application in mg.
- ► Time of first appearance of the disease.
- ▶ Results of psychological test examinations PANNS, Mini-Mental State Examination (MMSE), Self-Evaluation of Negative Symptoms (SNS).
- ► List of predictors of schizophrenia.

Measurement of AChE and BChE activity

Erythrocytic AChE and plasma BChE activity is measured using point-of-care diagnostics (LISA-ChE,

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Köhler Chemie-CE certified). This is a colorimetric procedure based on the Ellman method modified by Worek et al. Measurement of erythrocytic AChE activity is performed by hydrolysis of the thioester acetylthiocholine. The thioalcohol formed is reacted with Ellmans reagent (DTNB 5,5'-dithiobis-2-nitrobenzoic acid). The 3-carboxy-4-nitrobenzenethiolate anion (TNB) anion obtained turns yellow in a neutral or basic solution and can be determined photometrically at 470 nm

by absorbance change. The result is expressed in U/gHb. Similarly to the measurement described above, s-butyrylthiocholine iodide is used as substrate for the measurement of BChE activity. The result is expressed in U/l. For a more detailed description of the chemical background, we refer the reader to the work of Worek et al.⁶⁴ The measurement itself is carried out by making a minimal stab incision on a fingertip with a lancet once a day, similar to the measurement of blood

Table 1 Overview of the study procedure Selection of eligible patients						
 Reason for admission: Exacerbation of the disease Symptoms: Severe disorganisation in thinking and acting External or internal hazard 	 Reason for admission: Exacerbation of the disease Symptoms: No severe disorganisation in thinking and acting No external or internal hazard 	 Reason for admission: Chronic schizophrenia and poor functional level Symptoms: Inability to maintain existing levels of functioning in the activities of daily life 				
Admission ward: Acute closed ward	 Admission ward: Open ward Outpatient clinic 	Admission ward: • Outpatient clinic				
Time of measurement of AChE and BChE activity						
 First measurement: EDTA blood (1x) as part of the admission laboratory tests Additional tube but no additional venous puncture 	 First measurement: EDTA blood (1×) as part of the admission laboratory tests Additional tube but no additional venous puncture 	 First measurement: EDTA blood (1×) as part of the admission laboratory tests Additional tube but no additional venous puncture 				
 Patient education: Takes place before the subsequent me If the patient is capable of education o always a prerequisite. If participation in the study is declined, The measured values from the admissi 	r has a legal guardian, oral consent as well no further data will be collected.	as the patient's ability to cooperate is				
 Subsequent measurements: Day 1 to day 3: ▶ If consent is obtained, measurement taken on the acute care ward; otherwise after transfer 	Subsequent measurements: Day 1 to day 3: ► Open ward or outpatient clinic	Subsequent measurements: Day 1 to day 3: ► Outpatient clinic				
 Collection of patient-related data (age, sex, height, weight, BMI, nicotine consumption, school-leaving qualification etc): Takes place after completion of the AChE and BChE measurements. Written documentation in the sample sheets Creation of a database on a computer belonging to the university network (no patient-specific data on our personal laptops) 						
 Collection of disease specific data: Takes place in consultation with the pa Checklist of predictors of schizophreni Positive and Negative Syndrome Scale Mini-Mental Status Test Self-reported negative syndromes Further data to be collected: Routine laboratory parameters 	tient on any day of the 3-day measuremen a	it sequence.				
The red color indicates patients with further tre outpatient clinic. AChE, acetylcholinesterase activity; BChE, but	atment in the acute closed war.The blue color ir yrylcholinesterase activity; BMI, body mass inde					

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Table 2 Sample size calculation

Two-group t-test of equal means					
	AChE activity		BChE activity		
Test significance level α	0.050	0.050	0.050	0.050	
Two sided	2	2	2	2	
Group 1 mean, μ_1	34.30	34.30	2695.60	2596.60	
Group 2 mean, $\mu_{_2}$	32.60	30.90	2560.80	2426.00	
Difference in means, $\mu_1 - \mu_2$	1.70	3.40	134.80	269.60	
Common SD, σ	2.50	2.50	150.0	150.0	
Effect size, $\delta = (\mu_1 - \mu_2)/\sigma$	0.680	1.360	0.899	1.797	
Power (%)	80	80	80	80	
n per group	35	10	21	6	

AChE, acetylcholinesterase activity; BChE, butyrylcholinesterase activity.

glucose. Every $2 \times 10 \,\mu$ L of the blood drops collected are transferred to a heparin coated glass capillary. The blood is then transferred into reagent containers as directed in the manufacturer's instructions. A result is available after 5 min.

Objectives of the study

The primary objective of the study is to investigate whether:

- 1. AChE activity differs in patients with various forms of schizophrenia compared with a healthy population matched for age and sex.
- 2. BChE activity differs in patients with various forms of schizophrenia compared with a healthy population matched for age and sex.

The following questions have been defined as secondary objectives:

- 1. Is there a statistically significant correlation between AChE and/or BChE activity and the number of points achieved in the Positive and Negative Syndrome Scale (PANSS)?
- 2. Is there a correlation between the course of AChE, BChE activity over time and the quantity of antipsychotics (total dose, dose per 24 hours)?
- 3. Does the length of illness (related to the initial diagnosis of schizophrenia) correlate with the intraindividual and interindividual course of AChE, BChE activity?

Patient recruitment and study procedures

Table 1 provides an overview of the study procedure. After screening for inclusion and exclusion criteria, the reason for admission is documented for all potentially eligible patients, with a focus on disease length and symptoms. This is intended for later retrospective subgroup analysis. Based on the admission laboratory tests conducted, baseline measurement of AChE and BChE activity is performed from EDTA blood. All further measurements of esterase activities are taken from capillary blood. If patients remain in the closed acute ward, the 3-day measurement cycle (1 measurement each of AChE and BChE activity daily) starts in this ward. If it is expected that patients can be transferred to an open ward or to outpatient hospital care within 3–5 days after admission, the 3-day measurement cycle begins on these wards. The latency between the baseline measurement and the 3-day measurement cycle is intended in particular to record the effects of therapy initiation or drug changes on the cholinergic transmitter balance. All drugs administered are examined for their potential anticholinergic effect according to the classification on the ACB Scale. The intraindividual and interindividual course can be depicted most accurately over several days.

The clinical rating scales are collected as follows: The MMSE score is calculated on the first day of the 3-day measurement series. On the second day of the 3-day measurement series, possible predictors such as positive family history, previous brain disorders, maternal drug or alcohol use during pregnancy, psychosocial stress factors, expressed emotions and substance abuse as mentioned in the S3 Guideline on Schizophrenia published by the German Society for Psychiatry and Psychotherapy, Psychosomatics, among others, are recorded.⁶⁵ The SNS is subsequently provided to the patient, who is asked to complete the form and return on the next day of measurement.

Statistical analysis

Data are collected in Microsoft Excel 2019 (Microsoft, Redmond, Washington, USA) and statistically analysed using Sigma Plot V.14 for Windows (Systat Software, Erkrath, Germany) and SAS V.9.4 (SAS Institute). Quantitative data were expressed as medians with interquartile ranges and were compared for nonparametric distributions using the Wilcoxon matched pairs test. For the primary endpoint, we assume that a change in AChE, BChE activity is detectable between patients with schizophrenia and healthy subjects. Although there is a lack of evidence to support this assumption as the methodology has not yet been applied to patients with schizophrenia, we know from our own studies in critically ill patients that there is a time-dependent increase or decrease in AChE and BChE activity in the setting of delirium or septic-associated encephalopathy.17 18 66 Statistical analysis was performed using unpaired Mann-Whitney tests. Retrospective subgrouping will be performed for the secondary endpoints (group 1: initial diagnosis schizophrenia, therapy-naive until hospital admission; group 2: initial diagnosis of schizophrenia, pretherapy with medication (psychiatrically indicated drugs are relevant); group 3: known schizophrenia-another disorder that led to admission to psychiatry wards). The subgroups are further divided into patients with predominantly positive or negative symptoms. Whether patients have predominantly positive or negative symptoms is determined by the assessment of the principal investigator according to the PANSS. The statistical evaluation of the determinants will

be conducted by multivariate analysis. Depending on the size of the subgroups, further appropriate statistical tests will be applied.

Power and sample size calculation

Table 2 shows the determinants of the sample sizecalculation.

The number of patients calculated for AChE activity is n=35, while the number for BChE activity is n=21. Thus, the higher sample size for AChE activity is authoritative. It is assumed that 10 patients (28.5%) will drop out of the study prematurely. Therefore, we plan to include a total of n=50 patients with schizophrenia and n=50 as comparison group of healthy subjects, matched by age and sex.

Ethics and dissemination

The study was approved by the ethics committee of the University Ulm, Germany, Trial Code No. 280/20 (09.09.2020). The study and study protocol conform to the Declaration of Helsinki in its current form. Written informed consent will be obtained from all patients or another surrogate decision-maker as appropriate. For the CLASH study, we intend to publish the study plan as well as the results in a scientific journal.

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Correction notice This article has been corrected since it first published. Author name 'Carlos Schönfeldt-Lecuona' has been updated.

Contributors BS, EB and CS-L planned the study and wrote the manuscript in equal measure. BM carried out the sample size calculation and is supporting the study as well as the analysis of the results as a statistical expert. He also corrected the manuscript. C-LW and TH perform the measurements as doctoral students under supervision and are involved in the editing of the manuscript.

Funding The authors have not declared a specific grant for this research from any funding agency in the public, commercial or not-for-profit sectors.

Competing interests BS and EB have received lecture fees from Köhler Chemie in the past.

Patient and public involvement Patients and/or the public were not involved in the design, or conduct, or reporting, or dissemination plans of this research.

Patient consent for publication Not applicable.

Provenance and peer review Not commissioned; externally peer reviewed.

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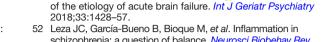
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