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Investigating the Characteristics and Needs of Frequently Readmitting Hospital Patients - A Cross-Sectional Study

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Investigating the Characteristics and Needs of Frequently Readmitting Hospital Patients- A Cross-Sectional Study

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ABSTRACT

OBJECTIVES: This study forms the user requirements phase of the OPTIMAL project, which, through a predictive model and supportive intervention, aims to decrease early hospital readmissions. This phase aims to investigate the needs and characteristics of patients who had been readmitted to hospital ≥ 2 in the past 12 months.

SETTING: This was a cross-sectional study involving recent patients from Croydon University Hospital (CUH), London, UK

PARTICIPANTS: A total of 347 patients responded to a postal questionnaire, a response rate of 12.7%. To meet the inclusion criteria, participants needed to be aged ≥ 18 and have been admitted ≥2 times in the previous 12 months (August 2014-July 2015) to CUH.

PRIMARY AND SECONDARY OUTCOMES: To profile patients identified as frequent re-admitters to assess gaps in care at discharge or post discharge. Additionally, to understand the patients' experience of admission, discharge and post discharge care.

RESULTS: The range of admissions in the past 12 months was 2-30, with a mean of 2.8. At discharge 72.4%, (n= 231/347) were not given a contact for out of hours help. Regression analysis identified patient factors that were significantly associated with increased admissions, which included age (p=0.009), being in receipt of care (p=0.006) and admission due to a fall (p=0.008), but not receiving polypharmacy. Post-discharge, nearly half of patients (47.5%, n=145/305) were concerned about being readmitted to the hospital. In the first 30 days after discharge, over half of patients (54.5% n=189/347) had no contact from a health care professional.

CONCLUSION: Considering that social care needs were more of a determinant of readmission risk than medical needs, rectifying the lack of integration, communication and the under-utilisation of existing patient services could prevent avoidable problems during the transition of care and help decrease the likelihood of hospital readmission.

STRENGTHS AND LIMITATIONS OF THIS STUDY

- 1. This study identified that existing support services may be underutilised by patients postdischarge, highlighting the need to increase local service knowledge and referrals.
- 2. The study is representative of the population around CUH and is limited by the memory of the respondents.
- 3. The study gave an insight into the patient journey from admission, discharge to postdischarge, providing a holistic picture of patients' experiences. To our knowledge this is the first study covering all three periods.
- 4. Not all patients fully completed the questionnaire, hence, statistical significance was not achieved for the whole questionnaire.
- 5. The study highlighted that patients with social care needs were more likely to be readmitted than those with complex medical needs.

Introduction

A desire to reduce the increasing cost of healthcare provision is an impetus for many countries to search for new ways to both increase efficiency and improve the quality of hospital care. Reducing the cost of early hospital readmissions is an objective with clear benefits for both providers and patients[1].

In the UK, readmissions were estimated to cost the NHS £2.4 billion in 2012-2013, which is 19% of the total emergency admission cost of £12.5 billion.[2] Since 2011, UK hospitals have been financially penalised for patient readmissions occurring within 30 days of discharge.[3]

The UK financial penalty was introduced in 2011 to discourage hospitals from attempting to free up beds by discharging patients before they were ready.[3]However, not all readmissions are due to sub-optimal patient care and many readmissions may be unavoidable and appropriate, for example where patients are chronically or terminally ill.[4][5] Two UK studies found around 60% of early readmissions were due to the same reason as the primary admission, suggesting that these could have been reduced by medication reviews, better discharge communication and a rapid response to preventable issues. [6][7]

Both polypharmacy and chronic conditions such as chronic obstructive pulmonary disease (COPD), cardiovascular disease (CVD) and diabetes have been found to be associated with high readmissions rates and increased needs following discharge.[8][9] Accurately identifying patients as high risk enables resources to be channelled specifically to these patients through supportive interventions, rather than providing for all patients, many of whom may not be at risk of readmission. Several predictive models have been developed in the UK such as PARR-30[10] and in Canada the LACE[11] with relatively good predictive accuracy.

Evaluating the effectiveness of interventions designed to prevent early readmissions is problematic due to the lack of robust studies with good methodologies.[9] Intervention types which have been studied, often in combination include: Extensive discharge planning, telephone calls, home visits, a 24- hour hot line and patient education.[9] The provision of follow up telephone calls is a common intervention, with variation in the number and length of calls and profession of caller. The most successful results included both pre and post-discharge interventions. [12]

Schemes for supporting patients with their medications in the community were introduced into community pharmacies in 2005. Medicine Use Reviews (MURs) and New Medicines Service (NMS) 4

can support patients with medication adherence as well as identifying interactions and other problems. The NMS is specifically targeted at patients with long term conditions such as COPD to support patients starting a new medication[13].

The need for successful management of the pre and post-discharge period is highlighted in the National Institute for health and Care Excellence (NICE) Guidelines[14], developed in 2015 to help with the transition of adult patients with social care needs from hospital to the community. These guidelines emphasise the importance of the transition of care being co-ordinated using good communication. All healthcare professionals (HCPs) involved with the care of the patient in hospital and the community, should be included in the communication loop, with all patients/carers being provided with a medication list and a care plan with a single HCP responsible for co-ordinating the discharge for both social and medical needs.

This paper reports on the first stage of the OPTIMAL project, funded by Innovate UK. The OPTIMAL project encompasses the development of a predictive risk model, together with a supportive post-discharge patient intervention with the aim of reducing early hospital readmission. Although the success of both predictive risk models and interventions to prevent hospital readmission have been developed and studied separately before, this is the first time, to our knowledge, that a predictive model and a preventative intervention have been integrated to support patients.

The aim of this study was to undertake a needs assessment to investigate any common characteristics of patients identified as frequent admitters (≥2 in the past 12 months) and understand their experiences of both the discharge process and the immediate post-discharge period, with any difficulties which could contribute to readmission. This will assist in the development of an appropriate post-discharge intervention for patients identified at high risk of readmission.

METHODS

A cross-sectional study was carried out at Croydon University Hospital (CUH). Patients were considered for inclusion in the study if they met the following criteria: ≥18 years, a home address on the CUH database, experienced ≥ 2 admissions to CUH in the past 12 months (August 2014- July 2015) and were discharged between > 30 days and < 12 months ago. Paediatric, oncology and maternity patients were excluded from the study. CUH research and development (R&D) department using patient records identified a total of 2732 patients who met these inclusion criteria. To provide a confidence level of 95% and a confidence interval of 5%, the sample size was calculated as 337 patients. As a low response rate may be expected from postal survey, all 2732 patients were invited to complete the postal questionnaire. An explanatory letter was sent with the questionnaire together with a pre-paid return envelope. The questionnaire was only made available in English and no reminders were sent.

Ethical approval was obtained from Kingston University Delegated Research Ethics Committee (Ref: 1415/035) and approved by the R&D department by CUH as a service evaluation.

A quantitative cross-sectional questionnaire survey was designed using a mixture of open and closed questions. The validated tools AUDIT-C (a brief alcohol screening tool used to identify alcohol dependency)[15] and a medical health literacy score[16] were incorporated together with other questions which investigated patient experience and knowledge of medication and discharge counselling. The questionnaire was in four sections: Firstly, demographic information, collecting personal information such as age, as well as medication list and current medical conditions.

Secondly, understanding the patient's admission experience, the reason for the patient's attendance at A&E and satisfaction with the admission process. Thirdly, the patient's discharge experience, investigating patients' involvement in their discharge planning and the provision of medication counselling. Finally, understanding the patients' post-discharge experience, the discharge support received by patients, as well as patients' confidence in managing their health and coping at home post-discharge.

Pilot

After receiving ethics approval, a pilot study was conducted which involved asking 10 patients from the discharge lounge at CUH to complete the survey for validation. Minor changes were made to the questionnaire. To prevent any bias, the findings from the pilot were not included in the final results.

Patient and Public Involvement

The study was a follow up study from 50 patients at the Trust who indicated mixed experience in counselling and shared decision making during admission. As part of the funding, the researchers the researchers agreed to inform patients/public of the outcome of the study which was done in the public engagement forums within the Trust.

Data Analysis

The responses from the returned questionnaires were analysed using IBM SPSS ver. 23* through descriptive statistics and the Chi-squared test for independence, with a level of significance set at 5% (p<0.05). A comorbidity polypharmacy score (CPS) was calculated (defined as the total of the number of pre-trauma comorbidities and the number of pre-admission medications in trauma patients \geq 45 years). Our modified calculation was performed for all patients \geq 45 years, using the number of medications specified in the questionnaire, together with the number of existing complaints recorded. A three question Audit-C score [15]was calculated, with each question having a possible score of 0-4 and giving a total score in the range between 0-12. A score of \geq 5 is considered positive, indicating a higher risk of alcohol consumption. A single question health literacy tool was utilised giving scores of 1-5, with scores >2 indicating some difficulty reading printed health material. The number of medications most associated with adverse drug reactions (ADR) resulting in hospital admission was also recorded for each patient[17].

A linear regression analysis was carried out on the data to help identify significant patient characteristics which may have contributed to a greater number of admissions in the previous 12

months. This was carried out by adding a dependent variable column "frequent_admitter" to the data which was then assigned 1 if a patient's admissions in the previous year were >2 or 0 if \leq 2. The independent variables included in the regression analysis were: admission reason, (ethnicity, condition complexity indicator (which was set if a patient described their existing situation as complex/complicated or reported \geq 2 conditions), a care indicator (identified by patients who were in receipt of some home care), CPS, patient age, number of medications. Any rows where any of these variables was missing was dropped from the regression analysis, thus leaving 169 patients to be included in the analysis.

RESULTS

The questionnaires were sent to 2722 patients, 347 were completed and returned giving a response rate of 12.7%. Valid percentages are reported due to respondents not always fully completing the questionnaire.

The most common reasons given for the last admission were respiratory problems such as asthma and COPD (15.0%, n=52). Nearly 10% (n=33) of patients were admitted due to a fall. Nearly a third (n=107) of patients reported more than one condition or described their condition as complex (Table 1).

Table 1 Demographics and Medical Conditions of responders

Parameter Number of Patients				
Age				
Mean Age (years)	69.2 (Range 18-100) sd 18.2 n=334			
	Gender			
Male 46.0% n=155/337				
Female 54.0% n=182/337				
Ethnicity				
White 75.1% n=250/333				
Black	10.2% n=34/333			
Chinese 0.9% n=3/333				
Mixed 2.7% n=9/333				
Asian 7.5% n=25/333				
Other	2.7% n=9/333			
Prefer not to say	0.9%n=3			
Medical History				

No. Of admissions in past 12 months	Mean=2.8				
Range 2-30	Mode= 2				
No. of admissions in last 30 days	n=32				
Range=1-6					
Most Common Reasons For Last Admission n=347					
Respiratory problems eg Asthma,	15.0% n=52				
Breathing difficulties, Chest					
Infection, COPD, pneumonia					
Pain: Chest Paint	5.2% n=18				
Other Pain	5.8% n=20				
Fall	9.5% n=33				
Infections other than Chest	8.1% n=28				
Cardiac conditions	6.16% n=23				
Other	38.0% n=132				
Not specified	11.8% n=41				
Most Common Existing M	ledical Conditions n=347				
Cardiac Conditions	17% n=59				
Paradiata Cariffic	45.00/52				
Respiratory Conditions	15.0% n=52				
High Blood Pressure	11.8% n=41				
Diabetes	12.1% n=42				
No existing condition specified	35.4% n=123				
>1 Long term condition (LTC) or	29.1% n=101				
described as "complex"					

Over a quarter (28.8%, n=99/344) of patients lived alone and less than 5% (4.4% n=15/344) lived in a care home. Not all patients had someone to care for them; 26.7% (n=88/330) reported that they had no available care. Only 13.1% (n=43/328) of patients currently smoked, which is less than the UK average of 19% [18]. However, 39.3 % (n=129/328) described themselves as ex-smokers. Nearly a third of patients had a limited health literacy score (29.8%, n=101/339) and over 15% (16.6%, 30/180) had a positive AUDIT-C score associated with a higher alcohol consumption risk.

Admission

Over half of patients were referred to A&E by an HCP (59.3%, n=204/344), with just over a third (34.9%, n=120/344) of patients reporting that a family member or they themselves made the decision. Although, two-thirds of patients (69%, n=234/339) were consulted regarding admission and care decisions, nearly all patients (93.1%, n=311/334) wanted to be more involved with these

decisions. The most frequently expressed comments about the admission experience concerned communication problems and the lack of provision of information (41.1%, n=35/85).

Regression Analysis

Four variables were found to be significant predictors of >2 admissions in the previous 12 months. These were admission for a fall (p=0.008), not identifying as having a complex condition or reporting <2 conditions (p=0.002), age (p=0.009) and being in receipt of care at home (p=0.006). Additionally, the overall regression is significant according to the F test (F=0.03).

Discharge

Nearly half of patients, (44.1%, n=146/331) were not informed of the discharge decision 24 hours in advance, including 43.4% (n=43/99) of those who lived alone.

Over half of patients, (56.0%, n=187/334) were discharged from the hospital on a weekday between 12 noon and 6pm. However, a quarter of patients (22.2%, n=74/334) were discharged between 6pm-6am and 17.6% (n=13/74) of them lived alone with an average age of 71.2 years.

Nearly three-quarters (70.1% n=234/334) of patients agreed that the decisions regarding the discharge procedure were clearly explained (Table 2). However, only a third of patients (37.9%, n=119/314) were provided with information to enable them to detect signs of deteriorating health. Furthermore, nearly three quarters of patients, (72.4% n=231) were not provided with contacts for out-of-hours support. Less than a third of patients were referred to a post-discharge service and less than half of respondents reported joining this service (Table 2).

Table 2 Patients' Discharge Experience

Patient Discharge Experience	Number of Patients
Received discharge information from a doctor	54.3% n=188/346
Felt the decisions at discharge were clearly explained	70.1% n=234/334
Was fully consulted in the decision of being discharged	67.9% n=226/333
Received a written copy of care plan	46.8% n=146/312

Told about signs or signals to watch out indicating health	37.9% n=119/314
was worsening	
Told who to contact if health deteriorated	70.6%n=84/119
Not told who to contact for out of hours help	72.4% n=231/319
Referred to a post-discharge service	30.2% n=95/315
Patient joined the post-discharge service	48.4% n=46/95
Provided with details of local support groups	19.9% n=63

Patients were asked their opinion about their discharge procedure and the main concerns expressed were the poor provision of information and communication difficulties at all levels. Patients' concerns included the lack of communication between hospital staff and the patients / patients' families (48.6% n=35/72), including two elderly patients discharged without informing their families. One patient stated: "more co-ordination is needed between the pharmacy and wards." Patients were also concerned about long waiting times (36.1% n=26/72), with 42.3% (n=11/26) of the waiting times involving a delay in receiving medications.

Medications

Over half of patients had their medications changed whilst in hospital (51.6%, n=176/341), but over a quarter of these patients, 28.4% (n=50/176) did not receive any counselling. Three quarters of patients (77.1%, n=165/214) agreed that medication information was explained in a way they could understand. However, 40.7% (n=81/199) would have liked more information regarding their medications.

The average number of medications per patient was 6.4 with of a range of 0 to 29. Only 13 patients (4.0%, n=13/321) reported taking no medications. Nearly two thirds (65.7%, n=211) of patients were taking ≥ 5 medications. The most common prescribed medication classes are shown in table 3.

Table 3 Most Common Medication Classes

Medication Class	Number of Patients n=236
Proton Pump Inhibitors	45.3% n=107
Statins	44.5% n=105
Antiplatelet drug	35.6% n=84

ACEI/ARBs (Angiotensin Converting	33.9% n=80
Enzyme Inhibitors/ Angiotensin	
Receptor Blockers)	
Beta Blockers	33.931.4% n=80
Calcium Channel Blockers	27.5% n=65
Loop Diuretics	22.0.% n=52
Opiod analgesics (Including tramadol)	13.6% n=32
Oral Anti-Coagulants	14.4% n=34
Beta2 Agonists	14.8% n=35

Some of the medication combinations found are not routinely recommended, due to being identified as risky [19]. For example, 11.0% (n=25/236) of patients were taking the high-risk combination of two or more anti-platelet drugs or an antiplatelet drug together with the anti-coagulant warfarin. Also 4% (n=10/236) were taking the high-risk triple combination of an (Angiotensin Converting Enzyme Inhibitors/ Angiotensin Receptor Blockers) ACEI/ARB, an (Non-Steroidal Anti-Inflammatory Drug (NSAID) and a diuretic.

Over half of patients (55.9%, n=132/236) were prescribed two or more 2 medications that could put them at high risk of admission due to an ADR (Table 4).

Table 4 High Risk Drugs[17]

Number of High Risk Meds	Number of Patients n =236
>5	0.85% n=2
5	6.4% n=15
4	5.9% n=14
3	20.8% n=49
2	22.0% n=52
1	22.0% n=52
0	22.0% n=52

The average calculated CPS score was 7.5. Scores greater than 7 are associated with an increased risk of falls and length of hospital stay, complications, short term and one year mortality [20], over 40% of patients (n=135/313) had scores >7 and 20 patients were considered as severe or morbid with scores between 15 and 32.

Post-Discharge Experience

While 72.2% (n=244/338) of patients were confident in managing their own health, nearly half (47.5% n=145/305) had concerns about being readmitted to the hospital, with two patients feeling that their last admission was due to medicine errors that could have been avoided. Receiving medication counselling in hospital (57.3%, n=191/333) was significantly associated with patients feeling more confident in the management of their health care issues (p=0.013). Over 85% of patients (86.1% n=260/302), were confident in managing their supply of medicines, but were less confident in managing their social care issues (58.0%, n=119/205) and healthcare issues (65.9%, n=170/258).

Over half of patients (55.3% n=163/295) were very satisfied or satisfied with the available support post-discharge in managing their health needs. However, less than half of patients were satisfied (45.2% n=95/210) with the support for their social care needs.

During the crucial first 30 days post-discharge from hospital, over half (54.5%, n=189/347) of patients did not receive any contact from a hospital, GP, pharmacy, or other post-discharge service. Only 17.6%, (n=61/347) of patients reported being contacted by their GP. During this time, patients were also very reticent to contact an HCP themselves, with only 12.1% (n=42/347) of patients reporting initiating contact.

Just under a quarter of patients (24.2% n=84/347) were contacted by other post-discharge services, of the 58 patients who specified a service, half were contacted by community or other nurse, but only three patients were referred to the respiratory HOT clinic, [21](a rapid access clinic to help patients with COPD avoid hospitalisation).

Community pharmacy support services were not well utilised post-discharge and only 5.3%, (n=17/319) of patients were referred to MUR, with 76.1% (n=242/318) of patients being unaware of MUR services. However, 55.0% (n=175/318) of patients were interested in receiving this service. Similarly, 84.0% (n=274/326) of patients were not referred to NMS, with 55.6% (n=179/322) of patients being interested in receiving this service.

DISCUSSION

This questionnaire-based study followed patients that had ≥ 2 hospital admissions/year living in the vicinity of CUH from admission, through discharge to post-discharge. Despite the low response rate, this is the first study that captures the complete patient journey from admission, discharge, through to post-discharge care. Furthermore, it identified characteristics of patients with high admission rates. A strength of this is the holistic nature of the reported data, which provides a comprehensive picture of these patients' experience of the support they were given, their physical health and medication when discharged from hospital. The data highlights a wide range of areas for improving patient support, including communication, utilisation and integration of services and medication counselling.

The study had several limitations: Firstly, it is representative of the population around CUH and is limited by the memory of the respondents. Secondly, not all patients fully completed the questionnaire, hence, statistical significance was not achieved for the whole questionnaire. Thirdly, as the questionnaire was only available in English, this limited the study to participants who had sufficient English, the black population was also under represented at 10.2% compared to the 2011 census figure of 20.2%. [22]Three quarters (75.1%) of patients described themselves ethnically as white, which is an over representation when compared to the Croydon borough 2011 census figure of 47.3%. [22]Fourthly, the regression analysis was constrained by the lack of a control group.

Regression analysis identified 4 patient characteristics associated with higher admission. It is interesting that two of these factors: falls and being in receipt of care, both require liaison with other services post-discharge to provide adequate support in the patient's home. Suffering from falls is a well-known cause of hospital readmission and corroborates with other studies [23][24]), but being in receipt of care is, as far as we are aware a novel, though not surprising reason for readmission. The only admission reason (see Table 1) that was significantly associated with increased admissions was

having a fall. In fact, falling was the second most common reason for admission as reported by nearly 10% of patients. Polypharmacy, higher CPS score and identifying one's condition as complex or having ≥2 existing conditions were not associated with higher numbers of admissions in this study. Medications may often be implicated in falls with an increased risk for patients found even with < 5 medications, however the medication class may be deemed to be more significant than the number. [25][26] Nevertheless, a higher CPS and taking ≥ 5 medications has been associated with an increase in falls by other studies. [27] Nearly 50% of patients had a CPS score ≥ 7 and 65% were taking 5 or more medications. It must be noted that in this study, medications and conditions were self-reported. However, these did not act as predictors of readmission, thus highlighting that social care needs are superseding medical needs in determining readmission risk. Additionally, it may be that patients who were more aware of their health situation were also conscious of their need for their medications and thus were more likely to adhere to their medications [28] and ask for support from HCP before hospitalisation was required. This may explain why those who identified their condition as less complex had a significantly higher rate of readmission.

Receipt of medication counselling was significantly associated with patient confidence in managing health (p=0.013). Medicine combinations were reported which could have been questioned, such as patients taking two anti-platelet drugs or an anti-platelet drug with warfarin, which can lead to an increase risk of bleeding.[29] Ten patients were taking the combination of a NSAID, ACEI/ARB together with a diuretic, this combination is associated with an increased risk of acute kidney injury.[29] Community pharmacists being the most accessible HCP, are well placed to identify medications which cause adverse events to patients and increase their risk of falls. Patients were not referred to, and had a lack of awareness of community pharmacy medicine information schemes - MUR or NMS. These schemes provide another opportunity to identify and investigate medication interactions. This was a missed opportunity for medication support post-discharge in the community. In fact, an initiative at CUH that piloted the provision of domiciliary MUR to

housebound 'high risk' patients by community pharmacists resulted in reported avoidance of hospitalisation.[30][31]

Although nearly three quarters of patients felt consulted in the decisions leading to their discharge, patients expressed dissatisfaction with the discharge process, with long waiting times, delays and poor communication reported as the most common complaints. These findings correlate with an AGE UK report [32] investigating older people's experience of hospital readmission. Delays in discharge and lack of information are upsetting and confusing, especially for some older patients. Patients should at least be provided with updates as to the progress of their discharge. Although this study is limited to the experiences of the population around Croydon, a study from Liverpool Hospital UK [33] reported similar percentages of patients (70%) who felt that discharge decisions were explained, with the long wait for discharge medications also having a negative influence on the discharge experience.

Nearly 50% of patients were worried about being readmitted to hospital and commented on finding the experience stressful and wanting to avoid readmission. Good communication and information sharing supports the transition from hospital and helps prevent readmission[14][34]. Contact information should be provided in case of a short-term crisis, which should be proactive rather than waiting for a more serious problem to arise. However, it was found that nearly 40% of patients were not provided with the signs of deterioration of their condition and nearly three quarters of patients were not provided with details of who to contact if this situation arose. This lack of information could result in patients returning to hospital. Additionally, patients' carers and families were not always informed of the discharge, making it hard for them to adequately support the patient at home.

Poor integration of services was found both within the hospital and between primary and secondary care providers. Patients with social care needs should be contacted by a GP or community nurse within 24-72 hours of discharge.[14] However, less than 20% of patients were contacted by their GP

within 30 days of discharge. A further 12.1% contacted a HCP themselves. Additionally, patients were not being referred to post-discharge services which could have supported them. Despite 20 patients reporting suffering from COPD and 13 of these patients reporting respiratory problems/exacerbation as the reason for admission, only 3 patients were referred to the respiratory HOT clinic at CUH[21] which provides an integrated team of multidisciplinary HCPs. Nearly a third of patients were dissatisfied with their social care, thus it is not surprising that those receiving care were more at risk of readmission. A lack of transition of care was reported, with a need for low level practical support during the first few days after discharge. This is a shared outcome with the AGE UK report. [32]

More integrated support such as that provided by Lewisham Integrated Medicines Optimisation Scheme (LIMOS) [35] can break through traditional boundaries of care, but as these authors note such links with services take time to build. With an increasing aging population with more multimorbidities, the integration of service delivery across different clinical areas becomes more important to provide appropriate individual care, rather than the current disease-focused practice.[36] A move to a shared responsibility,[37] is required across multiple areas- social, voluntary and clinical, to provide the integrated personalised care patients need.

CONCLUSIONS

The study highlighted gaps in care during the patient discharge journey. Admission for a fall and receipt of care were significantly associated with higher admission rates. Additionally, it reports for the first time, that social care is a more important determinant of readmission in a predominantly older population than medical care. Before discharge, patients lacked medication counselling, information on symptoms of deteriorating health, or HCP to contact if this situation arose. An improvement in communications at all levels would benefit patients, ensuring patients are informed of delays and decisions. Additionally, patients' confidence in their care being well managed may be increased by demonstrating that communication channels are open between different HCPs. Post-

discharge, patients were lacking referrals to relevant services which could have supported them. The study highlighted that transitional care is fragmented between different services of primary, secondary and social care as well as the voluntary sector. This lack of integration is causing patients avoidable difficulties. Improvement could be made by increasing HCP awareness of the available services, both voluntary and statutory, in the local area and encouraging links. Integrating services would increase the utilisation of existing resources, such as community pharmacy medicine support schemes, hospital services, e.g. respiratory HOT clinics as well as voluntary services, with care pathways utilising all relevant services across each sector.

CONTRIBUTORSHIP STATEMENT RK was the principal investigator of the study. She was responsible for the design of the study. She also organised and co-ordinated all aspects of this research. GF worked alongside RK to draft the publication. BS contributed to data collection. The analysis of the results was carried out by RK, GF, BS, SE, YK, AS and JC.

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BMJ Open STROBE 2007 (v4) Statement—Checklist of items that should be included in reports of cross-sectional studies

Section/Topic	Item #	Recommendation	Reported on page #	
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	1	
		(b) Provide in the abstract an informative and balanced summary of what was done and what was done	1, 2	
Introduction		202		
Background/rationale	nd/rationale 2 Explain the scientific background and rationale for the investigation being reported			
Objectives	3	State specific objectives, including any prespecified hypotheses	5	
Methods		oade		
Study design	4	Present key elements of study design early in the paper	6	
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, folk w-up, and data collection	6	
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of participants	6	
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Gige diagnostic criteria, if applicable		
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group		
Bias	9	Describe any efforts to address potential sources of bias		
Study size	10	Explain how the study size was arrived at	6	
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which growings were chosen and why	7	
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	7	
		(b) Describe any methods used to examine subgroups and interactions		
		(c) Explain how missing data were addressed (d) If applicable, describe analytical methods taking account of sampling strategy		
		(e) Describe any sensitivity analyses		
Results		(e) Describe any sensitivity analyses Opp Gh		

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Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examin of for eligibility,	8
		confirmed eligible, included in the study, completing follow-up, and analysed	
		(b) Give reasons for non-participation at each stage	
		(c) Consider use of a flow diagram	
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential	8
		confounders	
		(b) Indicate number of participants with missing data for each variable of interest	
Outcome data	15*	Report numbers of outcome events or summary measures	8-13
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence	
		interval). Make clear which confounders were adjusted for and why they were included	
		(b) Report category boundaries when continuous variables were categorized	
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	
Discussion		nt p ./	
Key results	18	Summarise key results with reference to study objectives	14
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	14
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	16-17
Generalisability	21	Discuss the generalisability (external validity) of the study results	16-17
Other information		April	
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on	18
		which the present article is based	

^{*}Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in the control studies.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at http://www.plosmedicine.org/, Annals of Internal Medicine at http://www.annals.org/, and Epidemiology at http://www.epidem.com/). Information on the STROBE Initiative is available at www.spobe-statement.org.

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Investigating the Characteristics and Needs of Frequently Admitting Hospital Patients - A Cross-Sectional Study

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ABSTRACT

- **OBJECTIVES:** This study forms the user requirements phase of the OPTIMAL project, which, through
- 9 a predictive model and supportive intervention, aims to decrease early hospital readmissions. This
- 10 phase aims to investigate the needs and characteristics of patients who had been admitted to
- 11 hospital ≥2 times in the past 12 months.
- **SETTING:** This was a cross-sectional study involving recent patients from Croydon University Hospital
- 13 (CUH), London, UK
- 14 PARTICIPANTS: A total of 347 patients responded to a postal questionnaire, a response rate of
- 15 12.7%. To meet the inclusion criteria, participants needed to be aged ≥ 18 and have been admitted
- 16 ≥2 times in the previous 12 months (August 2014-July 2015) to CUH.
- 17 PRIMARY AND SECONDARY OUTCOMES: To profile patients identified as frequent admitters to
- assess gaps in care at discharge or post-discharge. Additionally, to understand the patients'
- 19 experience of admission, discharge and post-discharge care.
- **RESULTS:** The range of admissions in the past 12 months was 2-30, with a mean of 2.8. At discharge
- 21 72.4%, (n= 231/347) were not given a contact for out of hours help. Regression analysis identified
- 22 patient factors that were significantly associated with frequent admissions (>2 in 12 months), which
- 23 included age (p=0.008), being in receipt of care (p=0.005) and admission due to a fall (p=0.01), but
- not receiving polypharmacy. Post-discharge, 41.8% (n=145/347) were concerned about being

- 1 readmitted to the hospital. In the first 30 days after discharge, over half of patients (54.5%
- 2 n=189/347) had no contact from a health care professional.
- **CONCLUSION:** Considering that social care needs were more of a determinant of admission risk than
- 4 medical needs, rectifying the lack of integration, communication and the under-utilisation of existing
- 5 patient services could prevent avoidable problems during the transition of care and help decrease
- 6 the likelihood of hospital readmission.

STRENGTHS AND LIMITATIONS OF THIS STUDY

- The study evaluated the patient journey from admission, discharge to post-discharge, providing a holistic picture of patients' experiences
- The study successfully implemented a cross-sectional questionnaire across a diverse sample population using a postal survey method with no reminders sent
 - The target sample included all patients ≥18 years of age who experienced ≥2 admissions in the past 12 months at CUH
 - 4. The study utilised linear regression analysis to identify significant contributing factors to patients being admitted >2 times in a 12 month period
 - 5. The study is representative of patients admitted only to CUH and is limited by the memory of the respondents

Introduction

- A desire to reduce the increasing cost of healthcare provision is an impetus for many countries to
- 23 search for new ways to both increase efficiency and improve the quality of hospital care. Reducing

- 1 the cost of early hospital readmissions is an objective with clear benefits for both providers and
- 2 patients.[1]

- 3 In the UK, readmissions were estimated to cost the NHS £2.4 billion in 2012-2013, which is 19% of
- 4 the total emergency admission cost of £12.5 billion.[2] Since 2011, UK hospitals have been financially
 - penalised for patient readmissions occurring within 30 days of discharge, which is considered as
- 6 early readmission.[3]
- 7 The UK financial penalty was introduced in 2011 to discourage hospitals from attempting to free up
- 8 beds by discharging patients before they were ready.[3] However, not all early readmissions are due
- 9 to sub-optimal patient care and many readmissions may be unavoidable and appropriate, for
- 10 example where patients are chronically or terminally ill.[4][5] Two UK studies found around 60% of
- early readmissions were due to the same reason as the primary admission, suggesting that these
- 12 could have been reduced by medication reviews, better discharge communication and a rapid
- response to preventable issues.[6][7]
- 14 Both polypharmacy and chronic conditions such as chronic obstructive pulmonary disease (COPD),
- 15 cardiovascular disease (CVD) and diabetes have been found to be associated with readmission rates
- and increased needs following discharge.[8][9] Accurately identifying patients as high risk enables
- 17 resources to be channelled specifically to these patients through supportive interventions, rather
- than providing for all patients, many of whom may not be at risk of readmission. Several predictive
- models have been developed in the UK such as PARR-30[10] and in Canada the LACE[11] with
- 20 relatively good predictive accuracy.
- 21 Evaluating the effectiveness of interventions designed to prevent early readmissions is problematic
- due to the lack of robust studies with good methodologies.[9] Intervention types which have been
- studied, often in combination include: extensive discharge planning, telephone calls, home visits, a
- 24 24-hour hot line and patient education.[9] The provision of follow up telephone calls is a common

- 1 intervention, with variation in the number and length of calls and profession of caller. The most
- 2 successful results included both pre and post-discharge interventions.[12]
- 3 Schemes for supporting patients with their medications in the community were introduced into
- 4 community pharmacies in 2005. Medicine Use Reviews (MURs) and New Medicines Service (NMS)
- 5 can support patients with medication adherence as well as identifying interactions and other
- 6 problems. The NMS is specifically targeted at patients with long term conditions such as COPD to
- 7 support patients starting a new medication.[13]
- 8 The need for successful management of the pre and post-discharge period is highlighted in the
- 9 National Institute for health and Care Excellence (NICE) Guidelines[14], developed in 2015 to help
- with the transition of adult patients with social care needs from hospital to the community. These
- guidelines emphasise the importance of the transition of care being co-ordinated using good
- 12 communication. All healthcare professionals (HCPs) involved with the care of the patient in hospital
- and the community, should be included in the communication loop, with all patients/carers being
- provided with a medication list and a care plan with a single HCP responsible for co-ordinating the
- discharge for both social and medical needs.
- 16 This paper reports on the first stage of the OPTIMAL project[15], funded by Innovate UK. The
- 17 OPTIMAL project encompasses the development of a predictive risk model, together with a
- 18 supportive post-discharge patient intervention with the aim of reducing early hospital readmission.
- 19 Although the success of both predictive risk models and interventions to prevent hospital
- 20 readmission have been developed and studied separately before, this is the first time, to our
- 21 knowledge, that a predictive model and a preventative intervention have been integrated to support
- 22 patients.
- 23 The aim of this study was to undertake a needs assessment to investigate any common
- 24 characteristics of patients admitted more than one time to CUH in a period of 12 months and
- understand their experiences of both the discharge process and the immediate post-discharge

- 1 period. The study also sought to determine factors contributing to frequent admission (>2 in 12
- 2 months). This will assist in the development of an appropriate post-discharge intervention for
- 3 patients identified at high risk of readmission.

METHODS

- 6 A cross-sectional study was carried out at Croydon University Hospital (CUH). Patients were
- 7 considered for inclusion in the study if they met the following criteria: ≥18 years, a home address on
- 8 the CUH database, experienced ≥ 2 admissions to CUH in the past 12 months (August 2014- July
- 9 2015). Paediatric, oncology and maternity patients were excluded from the study. CUH research
- and development (R&D) department using patient records identified a total of 2732 patients who
- met the inclusion criteria. To provide a confidence level of 95% and a confidence interval of 5%, the
- sample size was calculated as 337 patients. As a low response rate may be expected from postal
- survey, all 2732 patients were invited to complete the postal questionnaire (Supplementary File). An
- 14 explanatory letter was sent with the questionnaire together with a pre-paid return envelope. The
- 15 questionnaire was only made available in English and no reminders were sent.
- 16 Ethical approval was obtained from Kingston University Delegated Research Ethics Committee (Ref:
- 17 1415/035) and approved by the R&D department by CUH as a service evaluation.
- 18 A quantitative cross-sectional questionnaire survey was designed using a mixture of open and closed
- 19 questions. The validated tools AUDIT-C (a brief alcohol screening tool used to identify alcohol
- 20 dependency)[15] and a medical health literacy score[16] were incorporated together with other
- 21 questions which investigated patient experience and knowledge of medication and discharge
- counselling. The questionnaire was in four sections: Firstly, demographic information, collecting
- personal information such as age, as well as medication list and current medical conditions.
- Secondly, understanding the patient's admission experience, the reason for the patient's attendance
- 25 at A&E and satisfaction with the admission process. Thirdly, the patient's discharge experience,

- 1 investigating patients' involvement in their discharge planning and the provision of medication
- 2 counselling. Finally, understanding the patients' post-discharge experience, the discharge support
- 3 received by patients, as well as patients' confidence in managing their health and coping at home
- 4 post-discharge. The experience sought was based on the patient's most recent admission.
- 5 Pilot
- 6 After receiving ethics approval, a pilot study was conducted which involved asking 10 patients from
- 7 the discharge lounge at CUH to complete the survey for validation. Minor changes were made to the
- 8 questionnaire. To prevent any bias, the findings from the pilot were not included in the final results.
- 9 Patient and Public Involvement
- 10 The study was a follow up study from 50 patients at the Trust who indicated mixed experience in
- counselling and shared decision making during admission. As part of the funding, the researchers
- 12 agreed to inform patients/public of the outcome of the study. This was completed via the public
- 13 engagement forums within the Trust.

Data Analysis

- 15 The responses from the returned questionnaires were analysed using IBM SPSS ver. 23° through
- descriptive statistics and the Chi-squared test for independence, with a level of significance set at 5%
- 17 (p<0.05). A comorbidity polypharmacy score (CPS) was calculated (defined as the total of the
- 18 number of pre-trauma comorbidities and the number of pre-admission medications in trauma
- 19 patients ≥45 years). Our modified calculation was performed for all patients ≥45 years, using the
- 20 number of medications specified in the questionnaire, together with the number of existing
- comorbidities recorded. A three question Audit-C score[15] was calculated, with each question
- having a possible score of 0-4 and giving a total score in the range between 0-12. A score of ≥ 5 is
- considered positive, indicating a higher risk of alcohol consumption. A single question health literacy
- tool was utilised giving scores of 1-5, with scores >2 indicating some difficulty reading printed health

Parameter	Number (n,%)	Mean (SD)	Range	Mode
Age (n=334)	334, 100.0%	69.2 (18.2)	18-100	84
Gender (n=337)				
Male	155, 46.0%			
Female	182, 54.0%			
Ethnicity (n=333)				

- 1 material. The number of medications most associated with adverse drug reactions (ADR) resulting in
- 2 hospital admission was also recorded for each patient.[17]
- 3 A linear regression analysis was carried out on the data to help identify significant patient
- 4 characteristics which may have contributed to a greater number of admissions in the previous 12
- 5 months. This was carried out by adding a dependent variable column "frequent_admitter" to the
- 6 data which was then assigned 1 if a patient's admissions in the previous year were >2 or 0 if ≤2. The
- 7 independent variables included in the regression analysis were: admission reason, ethnicity,
- 8 condition complexity indicator (which was set if a patient described their existing situation as
- 9 complex/complicated or reported ≥ 2 conditions), a care indicator (identified by patients who were
- in receipt of some home care), CPS, patient age, number of medications. Any row where any of
- these variables were missing was excluded, thus leaving 169 patients to be included in the
- 12 regression analysis.

RESULTS

- 14 The questionnaires were sent to 2722 patients, 347 were completed and returned giving a response
- 15 rate of 12.7%.
- 16 The most common reasons given for the last admission were respiratory problems such as asthma
- and COPD (15.0%, n=52). Nearly 10% (n=33) of patients were admitted due to a fall. Nearly a third
- 18 (n=101) of patients reported more than one condition or described their condition as complex (Table
- 19 1).
- 20 Table 1 Demographics and Medical Conditions of responders

White	250, 75.1%			
Black	34, 10.2%			
Chinese	3, 0.9%			
Mixed	9, 2.7%			
Asian	25, 7.5%			
Other	9, 2.7%			
Prefer not to say	3, 0.9%			
Medical History				
No. of admission in previous 12 months*1	347, 100.0%	2.8 (1.9)	2-30	2
No. of admission in previous 30 days*1	32, 10.8%	1.4 (0.9)	0-6	1
Most Common Reason for Last				
Admission (n=347)				
Respiratory Conditions	52, 15.0%			
Chest Pain	18, 5.2%			
Other Pain	20, 5.8%			
Fall	33, 9.5%			
Infections excl. Chest	28, 8.1%			
Cardiac Conditions	23, 6.2%			
Other	132, 38.0%			
Not Specified	41, 11.8%			
Most Common Existing				
Medical Conditions (n=347)				
Cardiac Conditions	59, 17.0%			
Respiratory Conditions	52, 15.0%			
Hypertension	41, 11.8%			
Diabetes	42, 12.1%			
None Specified	123, 35.4%	7		
>1 Long Term Condition (LTC)	101, 29.1%			
or Described as Complex				

^{*1} Number of patients admitted within previous 12 months and 30 days. Mean (SD), Range and Mode reflect number of admissions per patient sample.

Over a quarter (28.8%, n=99/344) of patients lived alone and less than 5% (4.4% n=15/344) lived in a

care home. Not all patients had someone to care for them; 26.7% (n=88/330) reported that they

had no available care. Only 13.1% (n=43/328) of patients currently smoked, which is less than the

- 1 UK average of 19%.[18] However, 39.3 % (n=129/328) described themselves as ex-smokers. Nearly a
- third of patients had a limited health literacy score (29.8%, n=101/339) and over 15% (16.6%,
- 3 30/180) had a positive AUDIT-C score associated with a higher alcohol consumption risk.

4 Admission

- 5 Over half of patients were referred to A&E by an HCP (58.8%, n=204/347), with just over a third
- 6 (34.6%, n=120/347) of patients reporting that a family member or they themselves made the
- 7 decision. Although, two-thirds of patients (67.4%, n=234/347) were consulted regarding admission
- 8 and care decisions, most patients (89.6%, n=311/347) wanted to be more involved with these
- 9 decisions. The most frequently expressed comments about the admission experience concerned
- communication problems and the lack of provision of information (41.1%, n=35/85).

Regression Analysis

- 12 Four variables were found to be significantly associated with >2 admissions in the previous 12
- months. These were admission for a fall (p=0.01), not identifying as having a complex condition or
- reporting <2 conditions (p=0.003), age (p=0.008) and being in receipt of care at home (p=0.005).
- 15 Additionally, the overall regression is significant according to the F test (F=0.04). These factors were
- still significant for the sample when analysing only those patients ≥55years of age (F=0.007). The
- only change was that admission due to infection became significant in this sample (p=0.002). For
- patients with admissions >3 in 12 months CPS was found to be an additionally significant factor
- 19 (p=0.002).

Discharge

- 21 Nearly half of patients, (42.1%, n=146/347) were not informed of the discharge decision 24 hours in
- advance, including 43.4% (n=43/99) of those who lived alone.

- Over half of patients, (54.0%, n=187/347) were discharged from the hospital on a weekday between
- 2 12 noon and 6pm. However, about a quarter of patients (21.3%, n=74/347) were discharged
- between 6pm-6am with 17.6% (n=13/74) of them living alone with an average age of 71.2 years.
- 4 Two thirds (67.4% n=234/347) of patients agreed that the decisions regarding the discharge
- 5 procedure were clearly explained (Table 2). However, only a third of patients (34.3%, n=119/347)
- 6 were provided with information to enable them to detect signs of deteriorating health. Furthermore,
- 7 only a third of patients (33.4% n=116/347) were provided with contacts for out-of-hours support.
- 8 Less than a third of patients were referred to a post-discharge service and less than half of
- 9 respondents reported joining this service (Table 2).

Table 2 Patients' Discharge Experience

Patient Discharge Experience (n=347)	Number (n,%)
Received discharge information from a doctor	188, 54.2%
Felt the decisions at discharge were clearly explained	234, 67.4%
Was fully consulted in the decision of being discharged	226, 65.1%
Received a written copy of care plan	146, 42.1%
Told about signs or signals to watch out indicating health was worsening	119, 34.3%
Told who to contact if health deteriorated	84, 24.2%
Told who to contact for out of hours help	116, 33.4%
Referred to a post-discharge service	95, 27.4%
Patient joined the post-discharge service (n=95)	46, 48.4%
Provided with details of local support groups	63, 18.2%

- 12 When patients were asked their opinion about their discharge procedure, 72 patients responded.
- 13 The main concerns expressed were the poor provision of information and communication difficulties
- 14 at all levels. Patients' concerns included the lack of communication between hospital staff and the
- patients/patients' families (48.6% n=35/72), including two elderly patients discharged without 11

- 1 informing their families. One patient stated: "more co-ordination is needed between the pharmacy
- 2 and wards." Patients were also concerned about long waiting times (36.1% n=26/72), with 42.3%
- 3 (n=11/26) of the waiting times involving a delay in receiving medications.

Medications

- 5 Two-thirds of patients reported taking at least one regular medication (67.4%, n=234/347). Three-
- 6 quarters of these patients experienced changes to their medications whilst in hospital (75.2%,
- 7 n=176/234), but over a quarter of these patients (28.4%, n=50/176) did not receive any counselling.
- 8 Over two-thirds of patients (70.5%, n=165/234) agreed that medication information was explained
- 9 in a way they could understand. However, 34.6% (n=81/234) would have liked more information
- 10 regarding their medications.
- 11 The average number of medications per patient was 4.2 with of a range of 0 to 25. Nearly two-thirds
- 12 (65.0%, n=152/234) of patients were taking \geq 5 medications. The most commonly prescribed
- medication classes are shown in Table 3.

Table 3 Most Common Medication Classes

Medication Class (n=234)	Number (n,%)
Proton Pump Inhibitors	107, 45.3%
Statins	105, 44.5%
Antiplatelet drug	84, 35.6%

ACEI/ARBs (Angiotensin Converting Enzyme Inhibitors/ Angiotensin Receptor Blockers)	80, 33.9%
Beta Blockers	80, 33.9%
Calcium Channel Blockers	65, 27.5%
Loop Diuretics	52, 22.0%
Opioid analgesics (Including tramadol)	32, 13.6%
Oral Anti-Coagulants	34, 14.4%
B-2 Agonists	35, 14.8%

- 2 Some of the medication combinations found are not routinely recommended, due to being
- 3 identified as risky.[19] For example, 10.7% (n=25/234) of patients were taking the high-risk
- 4 combination of two or more anti-platelet drugs or an antiplatelet drug together with the anti-
- 5 coagulant warfarin. Also 4.3% (n=10/234) were taking the high-risk triple combination of an
- 6 (Angiotensin Converting Enzyme Inhibitors (ACEI)/ Angiotensin Receptor Blockers (ARB)), a Non-
- 7 Steroidal Anti-Inflammatory Drug (NSAID) and a diuretic.
- 8 Over half of patients (56.4%, n=132/234) were prescribed two or more 2 medications that could put
- 9 them at high risk of admission due to an ADR (Table 4).

Table 4 High Risk Drugs

Number of High-Risk Meds (n=234)	Number (n,%)
>5	2, 0.9%
5	15, 6.4%
4	14, 5.9%
3	49, 20.8%
2	52, 22.0%
1	52, 22.0%
0	50, 22.0%

- The average calculated CPS score was 7.5. Scores greater than 7 are associated with an increased
- risk of falls and length of hospital stay, complications, short term and one year mortality [20], over
- 40% of patients (n=135/313) had scores >7 and 20 patients were considered as severe or morbid
- with scores between 15 and 32.

Post-Discharge Experience

While 70.3% (n=244/347) of patients were confident in managing their own health, 41.8% (n=145/347) had concerns about being readmitted to the hospital, with two patients feeling that their last admission was due to medicine errors that could have been avoided. Receiving medication counselling in hospital (55.1%, n=191/347) was significantly associated with patients feeling more confident in the management of their health care issues (p=0.013). Three-quarters of patients (74.9% n=260/347), were confident in managing their supply of medicines, but were less confident in managing their social care issues (34.3%, n=119/347) and healthcare issues (48.9%, n=170/347). Almost half of patients (46.9% n=163/347) were very satisfied or satisfied with the available support post-discharge in managing their health needs. However, less than a third of patients were satisfied (27.4% n=95/347) with the support for their social care needs. During the crucial first 30 days post-discharge from hospital, over half (54.5%, n=189/347) of patients did not receive any contact from a hospital, GP, pharmacy, or other post-discharge service. Only 17.6%, (n=61/347) of patients reported being contacted by their GP. During this time, patients were also very reticent to contact an HCP themselves, with only 12.1% (n=42/347) of patients reporting initiating contact. Just under a quarter of patients (24.2% n=84/347) were contacted by other post-discharge services, of the 58 patients who specified a service, half were contacted by community or other nurse, but only 15% (n=3/20) of patients suffering from COPD, 13 of which were admitted with a respiratory problem/exacerbation, were referred to the respiratory HOT clinic (a rapid access clinic to help patients with COPD avoid hospitalisation).[21] Community pharmacy support services were not well utilised post-discharge and only 4.0%, (n=17/347) of patients were referred to MUR, with 69.7% (n=242/347) of patients being unaware of MUR services. However, 50.4% (n=175/347) of patients were interested in receiving this service.

- 1 Similarly, 78.9% (n=274/347) of patients were not referred to NMS, with 51.6% (n=179/347) of
- 2 patients being interested in receiving this service.

DISCUSSION

- 4 This questionnaire-based study followed patients that had ≥2 hospital admissions/year living in the
- 5 vicinity of CUH from admission, through discharge to post-discharge. Despite the low response rate,
- 6 this is the first study that captures the complete patient journey from admission, discharge, through
- 7 to post-discharge care. Furthermore, it identified characteristics of patients with high admission
- 8 rates. A strength of this is the holistic nature of the reported data, which provides a comprehensive
- 9 picture of these patients' experience of the support they were given, their physical health and
- 10 medication when discharged from hospital. The data highlights a wide range of areas for improving
- patient support, including communication, utilisation and integration of services and medication
- 12 counselling.
- 13 The study had several limitations: Firstly, it is representative of the population around CUH and
- admissions to that Trust only, as well as being limited by the memory of the respondents. Secondly,
- 15 not all patients fully completed the questionnaire, hence, statistical significance was not achieved for
- the whole questionnaire. Thirdly, as the questionnaire was only available in English, this limited the
- 17 study to participants who had sufficient English, the black population was also under represented at
- 18 10.2% compared to the 2011 census figure of 20.2%.[22] Three quarters (75.1%) of patients
- described themselves ethnically as white, which is an over representation when compared to the
- 20 Croydon borough 2011 census figure of 47.3%.[22]
- 21 Regression analysis identified four patient characteristics associated with higher admission. It is
- interesting that two of these factors: falls and being in receipt of care, both require liaison with other
- services post-discharge to provide adequate support in the patient's home. Suffering from falls is a
- 24 well-known cause of hospital admission and corroborates with other studies[23][24], but being in
- receipt of care is, as far as we are aware a novel, though not surprising reason for admission. Falling

- 1 was the second most common reason for admission as reported by nearly 10% of patients.
- 2 Polypharmacy, higher CPS score and identifying one's condition as complex or having >2 existing
- 3 conditions were not significantly associated with >2 admissions in 12 months. However, a higher CPS
- 4 score was found to be a significant contributor to high levels of admission (>3 in 12 months).
- 5 Medications may often be implicated in falls with an increased risk for patients even those taking < 5
- 6 medications, however the medication class may be deemed to be more significant than the
- 7 number.[25][26] Nevertheless, a higher CPS has been associated with an increase in falls by other
- 8 studies, which may explain why this study found this factor to be significant for those that had >3
- 9 admissions in 12 months.[27][28] Nearly 50% of patients had a CPS score ≥ 7 and 65% were taking 5
- or more medications. An Australian study observed a median increase from 3 to 6 annual
- attendances in the emergency department (ED) for those ≥65 years old who presented with
- 12 comorbidities and polypharmacy (≥5 medications), among other factors.[28]
- 13 There is additional evidence to suggest that co-morbidities are a significant factor when predicting
- early readmission. The Charlson Index, which predicts 10-year mortality based on patients'
- 15 comorbidities, was found to be significantly associated with readmission within 28 days for patients
- scoring ≥3 in a retrospective observational study by Li et al.[29] Interestingly, Considine et al[30]
- 17 found that comorbidities were not significant predictors of readmission ≤1 day post-discharge for
- patients from acute-care, however health service use was notable in the 6-months preceding the
- index admission with ≥1 ED attendance or ≥1 hospital admission in 42.6% (n=579) and 40.7% (n=553)
- 20 respectively. Although our study focused primarily on frequent admission as opposed to
- 21 readmission, the latter study could provide an explanation of why co-morbidities were only a
- predictor of high admission rate (>3 in 12 months).[30]
- 23 It must be noted that in this study, medications and conditions were self-reported. However, these
- 24 were not found to be significantly associated with frequent admission (>2 in 12 months), thus

- 1 highlighting that social care needs are superseding medical needs in determining increased
- 2 admission risk with medical needs becoming significant in those with >3 admissions in 12 months.
- 3 Receipt of medication counselling was significantly associated with patient confidence in managing
- 4 health (p=0.013). Medicine combinations were reported which could have been questioned, such as
- 5 patients taking two anti-platelet drugs or an anti-platelet drug with warfarin, which can lead to an
- 6 increase risk of bleeding.[31] Ten patients were taking the combination of NSAID, ACEI/ARB
- 7 together with a diuretic, this combination is associated with an increased risk of acute kidney
- 8 injury.[32] Community pharmacists being the most accessible HCP, are well placed to identify
- 9 medications which cause adverse events to patients and increase their risk of falls. Patients were not
- 10 referred to and had a lack of awareness of community pharmacy medicine information schemes -
- MUR or NMS. This was a missed opportunity for medication support post-discharge in the
- 12 community. In fact, an initiative at CUH that piloted the provision of domiciliary MUR to housebound
- 13 'high risk' patients by community pharmacists resulted in reported avoidance of
- 14 hospitalisation.[33][34]
- 15 Although nearly three quarters of patients felt consulted in the decisions leading to their discharge,
- patients expressed dissatisfaction with the discharge process, with long waiting times, delays and
- poor communication reported as the most common complaints. These findings correlate with an
- 18 AGE UK report[35] investigating older people's experience of hospital readmission. Delays in
- 19 discharge and lack of information are upsetting and confusing. Patients should at least be provided
- with updates as to the progress of their discharge. Although this study is limited to the experiences
- of the population around Croydon, a study from Liverpool Hospital UK[36] reported similar
- percentages of patients (70%) who felt that discharge decisions were explained, with the long wait
- for discharge medications also having a negative influence on the discharge experience.
- 24 Nearly 50% of patients were worried about being readmitted to hospital and commented on finding
- 25 the experience stressful and wanting to avoid readmission. Good communication and information

the AGE UK report.[35]

sharing supports the transition from hospital and helps prevent readmission.[14][37] Contact

information should be provided in case of a short-term crisis, which should be proactive rather than

waiting for a more serious problem to arise. However, it was found that nearly 40% of patients were

not provided with the signs of deterioration of their condition and nearly three quarters of patients

were not provided with details of who to contact if this situation arose. This lack of information

could result in patients returning to hospital. Additionally, patients' carers and families were not

always informed of the discharge, making it hard for them to adequately support the patient at

8 home.

Poor integration of services was found both within the hospital and between primary and secondary care providers. Patients with social care needs should be contacted by a GP or community nurse within 24-72 hours of discharge.[14] However, less than 20% of patients were contacted by their GP within 30 days of discharge. A further 12.1% contacted a HCP themselves. Additionally, patients were not being referred to post-discharge services which could have supported them. Despite 20 patients reporting suffering from COPD and 13 of these patients reporting respiratory problems/exacerbation as the reason for admission, only 3 patients were referred to the respiratory HOT clinic at CUH[21] which provides an integrated team of multidisciplinary HCPs. Nearly a third of patients were dissatisfied with their social care, thus it is not surprising that those receiving care were more at risk of frequent admission. A lack of transition of care was reported, with a need for

More integrated support such as that provided by Lewisham Integrated Medicines Optimisation Scheme (LIMOS)[38] can break through traditional boundaries of care, but as these authors note such links with services take time to build. With an increasing aging population with more multimorbidities, the integration of service delivery across different clinical areas becomes more important to provide appropriate individual care, rather than the current disease-focused

low level practical support during the first few days after discharge. This is a shared outcome with

- 1 practice.[39] A move to a shared responsibility, is required across multiple areas- social, voluntary
- and clinical, to provide the integrated personalised care that patients need.[40]

CONCLUSIONS

- 4 The study highlighted gaps in care during the patient discharge journey. Admission for a fall and
- 5 receipt of care were significantly associated with higher admission rates. Additionally, it reports for
- 6 the first time, that social care is an important determinant of frequent admission (>2 in 12 months)
- 7 in a predominantly older population. Before discharge, patients lacked medication counselling,
- 8 information on symptoms of deteriorating health, or HCP to contact if this situation arose. An
- 9 improvement in communications at all levels would benefit patients, ensuring patients are informed
- of delays and decisions. Additionally, patients' confidence in their care being well managed may be
- increased by demonstrating that communication channels are open between different HCPs. Post-
- discharge, patients were lacking referrals to relevant services which could have supported them. The
- study highlighted that transitional care is fragmented between different services of primary,
- secondary and social care as well as the voluntary sector. This lack of integration is causing patients
- 15 avoidable difficulties. Improvement could be made by increasing HCP awareness of the available
- 16 services, both voluntary and statutory, in the local area and encouraging links. Integrating services
- would increase the utilisation of existing resources, such as community pharmacy medicine support
- 18 schemes, hospital services, e.g. respiratory HOT clinics as well as voluntary services, with care
- 19 pathways utilising all relevant services across each sector.
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- for the design of the study. She also organised and co-ordinated all aspects of this research. GF
- 23 worked alongside RK to draft the publication. BO contributed to data collection. The analysis of the
- results was carried out by RK, GF, BP, SNG, YK, AS, JW and JC.

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Section A: YOUR ADMISSION EXPERIENCE

This part is about your experience while you were being admitted and treated at Croydon University Hospital.

)			•	ur last admi:	ssion at	ا د	Fallering arguingtion by a deater haveleng did
	Croydon	Universit	y Hospita	I? 		6)	Following examination by a doctor, how long did you wait before you were admitted to a bed in the ward? 1-2 Hours
	NA/In a lata a	المحالة المحالة		14 4- 40	F3		☐ 2-3 Hours
)		ided that	you need	to go to A&	E?		3-4 Hours
	☐ Self					ĺ	☐ > 4 Hours (please specify)
	☐ Famil☐ GP	У					
	_	ılance pa	ramedic			7)	To what extent do you agree/disagree that the
		t know	rameare			,,	following were explained to you in a way you could
	_	(please s	pecify)				
		()	, , ,				clearly understand
						i	The reasons for your admission
)	Did you t	ry to seel	k help fro	m any of the	following	1	☐ Strongly Disagree
	before at	tending A	A&E? (plea	se select all the	at apply)		☐ Disagree☐ Neutral
	□ None	. I went d	lirectly to	A&E			☐ Agree
	☐ Callin		, , , , ,				☐ Strongly Agree
		acting GP				(C)	The decisions regarding your care, treatment,
	☐ Visitir	ng Walk-i	n centre				and/or procedure
	☐ Self-C	are from	the Pharr	macy			Strongly Disagree
	☐ Comr	nunity nu	irses				☐ Disagree
	☐ HOT (Clinics					☐ Neutral
	☐ Other	(please s	pecify)			1	☐ Agree
							☐ Strongly Agree
						8)	Were you consulted regarding the decisions about
)	_		d you arri	ve to A&E?(¢	olease select		your care, treatment, and/or procedure on
Γ	one box or		120000	Com	12midnight	1	admission?
	Day/Tim	6am- 12noo	12noon -	6pm- 12midnigh	12midnight		☐ Yes
	е	n	6pm	t	6am		□ No
f	Weekda		'			٥,	☐ Can't Remember
	y (Mon-					9)	In the future, would you like to be involved in
L	Fri)						decisions about your care, treatment, or procedure?
	Weeken						☐ Yes
	d (Sat- Sun)						□ No
`)		your arr	rival at A8	kE, how long	did you wait	10	Can't Remember
•				by a doctor?	-	10) What could have improved your experience while
	☐ 1-2 H			.,			being admitted to the hospital?
	☐ 2-3 H						
	☐ 3-4 H						
	_	ours (pleas	se specify)				
PR	OPRIETARY	RIGHTS ST	TATEMENT				

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Section B: YOUR DISCHARGE EXPERIENCE

The following part is about your experience while you were being **discharged from the hospital**.

6				
7	1)	Were you informed 24 hours in advance about the	5)	Was there any change in your medicines during
8		discharge decision?		your last hospital admission?
9		☐ Yes		☐ Yes
10		□ No		□ No
11		☐ Can't Remember		☐ Can't Remember
12	٥١		6)	Were you provided with any counselling about
	2)	What day/time were you discharged from the	٠,	your medication(s)?
14		hospital? (Please tick one box only)		☐ Yes (go to 7)
15		Pou/Time 6am- 12noon- 6pm- 12midnight-		
16		Day/Time 12noon 6pm 12midnight 6am		☐ No (go to 12)
17		Weekday	_,	☐ Can't Remember
18 19		(Mon-Fri)	7)	Who provided you with the information related to
20	İ	Weekend		your medication(s) at discharge?
21		(Sat-Sun)		(please select all that apply)
	3)	Who provided you with the information related to		□ Doctor
23	٠,			□ Nurse
24		your discharge? (please select all that apply)		☐ Pharmacist
25		□ Doctor	_	☐ Other please specify
26		□ Nurse		
27		☐ Pharmacist		
28		☐ No one	8)	How was this information given to you?
29	_	☐ Other (please specify)		☐ Verbally
30				□ Written
31				☐ Verbally & Written
32	4)	To what extent do you agree/disagree with the	9)	What resources were you given to help you take
33	•	following:	31	your medicine(s)? (please select all that apply)
34	i	The decisions regarding my discharge were		Patient information leaflet in box
35 36	·	explained to me in a way I could clearly understand		Medication reminder card
37		☐ Strongly Disagree		Medication record book
38				
39		<u> </u>		Poster or brochure
40		—		None
41		☐ Agree	Г	☐ Other (please specify)
42		☐ Strongly Agree		
43	II	,		
44		discharged from the hospital	10	To what extent do you agree/disagree with the
45		☐ Strongly Disagree		following:
46		□ Disagree	i	The information about my medication(s) were
47		☐ Neutral		given/explained to me in a way I could clearly
48		☐ Agree		understand
49		☐ Strongly Agree		☐ Strongly Disagree
50	ii	i Staff took my preferences into account in deciding		☐ Disagree
51		how my health care will be managed when I will		☐ Neutral
52		leave the hospital		☐ Agree
53		☐ Strongly Disagree		-
54		☐ Disagree		☐ Strongly Agree
55 56		☐ Neutral	ii	8
50 57		_ _		my medicines
58				☐ Strongly Disagree
59		☐ Strongly Agree		☐ Disagree
60				☐ Neutral
				☐ Agree
				☐ Strongly Agree

11) Please rate your satisfaction with regards to the following information about your medication, if provided during the counselling session:

Please tick one box for each row	Very Unsatisfied	Unsatisfied	Neutral	Satisfied	Very Satisfied	Not provided
Purpose of your medicine(s)						
How to take/use the medicine(s)						
Important side effects						
Actions to take if you get any important side effects.						
Lifestyle changes associated with taking your medicine(s)						

1 1	(2) Community pharmacies are offering a New Medicines Service (NMS) which is an open conversation between you
2	and the pharmacist to discuss any concerns you may have about your new medicine(s) - for example side effects
3	i Are you aware of this service?
4	• Yes
5	■ No
6	
7	ii Were you offered/referred to this service upon discharge?
8	 Yes
9	No
10	■ Not Sure
11	iii Would you have been interested to be referred to this service?
12	■ Yes
13	■ No
14	■ Not Sure
15	
	13) Community pharmacies are offering a Medicines Use Review (MUR) which is an open conversation between you
17	and the pharmacist to discuss your medications after you were discharged from the hospital or periodically.
18	i Are you aware of this service?
19	 Yes
20	■ No
21	ii Were you offered/referred to this service upon discharge?
22	■ Yes
23	■ No
24	■ Not Sure
25	
26	iii Would you have been interested to be referred to this service?
27	■ Yes
28	■ No
29	 Not Sure
³⁰ 1	(4) When discharged from the hospital, were you given a written copy of your care plan?
31	☐ Yes
32	□ No (go to 15)
33	
34 35	i If yes, did you understand what was in this care plan?
36	
	□ Yes
37 39	□ No
38 39	15) Were you referred to a post-discharge service? (e.g. hospital avoidance team, hot clinics, telehealth, community services
40	nurse, social care)
	□ Yes
41 42	□ No (go to 16)
42	☐ No (go to 16) i If yes, please specify
43	
44 45	
45 46	
46 47	
47 40	ii Were you offered a choice to select those services?
48	☐ Yes
49 50	□ No
50	iii Have you joined any of these services yet?
51	☐ Yes
52 52	□ No
53 54	
54	16) Were you told about signs/signals of worsening or decline of your health to watch out for?
55 56	□ Yes
56	□ No (go to 17)
57 50	i If yes, were you given details of who to contact if this happened?
58	☐ Yes
59	□ No
60 1	17) Were you given contacts for out-of-hours help?
_	☐ Yes
	□ No

18)	Breathe Easy groups, etc)
	☐ Yes ☐ No
19)	What could have improved your experience while being discharged from the hospital?

following:

Section C: YOUR POST-DISCHARGE EXPERIENCE

This part is about your experience since your last hospital discharge

1	How confident are you in managing your health? Not at all confident Not confident Confident Completely Confident		out being				
i	Why is that?		Why is that	.?			
3	Please rate your satisfaction with regards to the fo		11	Nantonal	C-+:	Marin	N-4
	Please tick one box for each row	Very Unsatisfied	Unsatisfied	Neutral	Satisfied	Very Satisfied	Not Applicable
	The available support to manage your health after you were discharged from the hospital						
	Social care support after being discharged from the hospital (e.g. help in your home, community support, etc)						
	Occupational needs support (e.g. walking aids)						
i ii	given any follow up appointments? Yes No (please go to 5) Not Sure (please go to 5) If yes, who was the follow up appointment with? (please select all that apply) GP Nurse Hospital Outpatient Pharmacist Other (please specify) Were you able to attend all these appointments? Yes No	5	Stro Dis Net Agr Stro Stro Agr Stro Dis Appoint Stro Dis Net Agr Stro Dis Net Stro Net Agr Stro Net Agr Stro Agr	pointmer congly Dis- agree utral ree congly Agr easily kee ments congly Dis- agree utral ree congly Agr to have r tronically congly Dis- agree utral ree utral ree congly Agr	nts agree ree agree ree ny appointi r (e.g. smarr	ord of my ments availa t phone cale	able for
i۱	To what extent do you agree/disagree with the		hospital? Yes		. ~		

i

	 Don't remember (please go to 6) 		-	By text mes	sage (SMS)		
i	If yes, how? (please select all that apply)		•	Other (pleas	e specify)		
	By phone call						
	By letter						
	■ By email		iii Whe	n?			
	By text message (SMS)			1 week afte	r discharge		
	Other (please specify)			2 week afte	_		
	очно (разоворому)			3 week afte	_		
			-	4 week afte	•		
ii	When?		_		_		
"			•	Other (pleas	е ѕресіју)		
	 1 week after discharge 						
	 2 week after discharge 						
	 3 week after discharge 			_	-	r you were dis	charged
	 4 week after discharge 			the hospit			
	 Other (please specify) 					of the follow	ing
			(ple	ase select all t	hat apply)		
			•	GP			
6	Have you been contacted by any other post-		•	Hospital			
	discharge service team? (e.g. hospital avoidar	nce	•	Pharmacy T	eam		
	team, hot clinics, telehealth, community servi	ices	•	Post-discha	rge Service		
	nurse, social care)		•	None			
	Yes		•	Other (pleas	e specify)		
	■ No (please go to 7)						
	■ Don't remember (please go to 7)						
i	If yes, who was it? (please specify)		ii Dio	you contac	t any of the	following (pleas	se select
•	п уесунте насти (риссе среситу)			that apply)	,	.	
			•	GP			
			-	Hospital			
			-	Pharmacy T	eam		
				Post-discha			
				None	Ü		
	27.			Other (pleas	rase specify)		
ii	How? (please select all that apply)			P			
	By phone call						
	By letter						
	By email						
8_	How confident are you regarding the manage	ment of th	ne followin	g:			
	Please tick one box for each row	Not at all	Not	Neither	Confident	Completely	Not
	Please lick one box for each row	confident	confiden	t		Confident	applicable
		_			_		
	Your supply of medicines						
	Your social care issues						
	Your healthcare issues				П	П	
	Tour realiticale issues						

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Section D: SOME INFORMATION ABOUT YOU

1)	How many times were you admitted to the hospital
in	the last 12 months?
in	the last 30 days?
1)	In what year were you born?
2)	What is the first part of your postcode? (E.g. if your post code is CR7 7YE, please write CR7)
3)	What is your gender? □ Male □Female
4)	How would you describe your ethnicity?
	■ White
	■ Black
	■ Chinese
	Mixed
	■ Asian
	■ Other
	Prefer not to say
5)	What is/are the main language(s) spoken at your home?
6)	How often do you need to have someone help you when you read instructions, pamphlets, o
	other written material from your doctor or pharmacy
	■ Never
	Rarely
	 Sometimes
	■ Often
	 Always
7)	Do you suffer from any medical conditions? If yes please specify
8)	How often do you have a drink containing alcohol?
	■ Never (please skip to 11)
	 Monthly or less
	2 to 4 times a MONTH

- 2 to 3 times a WEEK
- 4 or more times a week
- 9) How many drinks of alcohol do you drink on a typical day when you are drinking?



- 1 or 2 drinks
- 3 or 4 drinks
- 5 or 6 drinks
- 7 or 8 or 9 drinks
- 10 or more drinks

10)	Н	ow often have you had 6 or more units on a single occasion in the last year?
	•	Never
	•	Less than monthly
	•	Monthly
	•	Weekly
	•	Daily or almost daily
11)	Ha	ave you ever smoked a cigarette, a cigar or a pipe?
	•	Yes
	•	No (please go to 12)
i	Ye	es, and I am currently a smoker
		How frequently do you smoke cigarettes?
		 Regularly
		• Occasionally
		(b) How many cigarettes do you smoke per day?
		• 10 or Less
		• 11-20
		• 21-30 • 31 an Maria
::	Va	■ 31 or More
ii	res	s, but I am an ex-smoker
		 How frequently did you smoke cigarettes? Regularly
		Occasionally
		(b) About how many cigarettes did you smoke in a day?
		10 or Less
		☐ 11-20
		☐ 21-30
		☐ 31 or More
		(c) For approximately how many years did you smoke cigarettes regularly?
	_	(d) How long ago did you stop smoking cigarettes?
	L	
III	Are	e you currently using e-cigarettes?
		Yes
121		No ow many regular medicines are you currently taking?
12)	П	ow many regular medicines are you currently taking!
12)	Н	ow often do you miss doses of your medicine(s), cut down or stop taking them?
13)		Frequently (more than once a week)
		Occasionally (once a week)
		Rarely (once a month)
		Very rarely (once every 6 month)
		Never
14)	ㅁ De	o you experience any of the following problems with your medicines which make it difficult
,		or you to take your medicine(s)? (please select all that apply)
		Forget
		Cost
		Difficulty understanding the instructions
		per le la
		Difficulty opening containers

	•	ninistration (e.g. difficulty i	in using Inhalers, swallowing tablets, or injection site,
_	etc)		
	Other (please spe	cify)	
15) Usı	ually, how are vo	our medicines supplied to	o vou?
	_	Sur mountaines supplied to	<u>- 100</u> .
	•	Paracetamol	
	labelled	Simon and the second se	
	box/bottle	10 Granting	
	Blister pack		
	Medicine	ACT CONTROL CO	
	Dosettes	The state of the s	
16\ If k	nown nlesse lis	et your current medicines	s names
10) 11 K	nown, piease ns	t your current medicines	
17) Wh	o lives with you	at home?	
_, G	I live alone		
	I live with a fam	nily member	
	I live with a frie	-	
	I live in a care h	ome	
	Other please sp	ecify	
			Fyou?
18) Do	-	one who can take care of	ryou?
	Yes		
i.	No Who provides y	ou with home care?	
ı. 	Family member		
	Friend		
	Carer		
	Other please sp	ecify	
ii.		s a week are he/she/they	available to you?
	< 1day		
	1-2 days		
	3-4 days		
🗆	5-7 days	9.11.	
iii.	wnen are they	available to you?	

- Weekdays only
- ☐ Weekend only
- ___ Both

BMJ Open STROBE 2007 (v4) Statement—Checklist of items that should be included in reports of cross-sectional studies

Section/Topic	Item #	Recommendation 9 ຂ	Reported on page #
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	1
		(b) Provide in the abstract an informative and balanced summary of what was done and what was done	1-3
Introduction		202	
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	3-6
Objectives	3	State specific objectives, including any prespecified hypotheses	5,6
Methods		o pade	
Study design	4	Present key elements of study design early in the paper	6
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, folk w-up, and data collection	6
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of participants	6
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Gige diagnostic criteria, if applicable	
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	
Bias	9	Describe any efforts to address potential sources of bias	
Study size	10	Explain how the study size was arrived at	6
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which grownings were chosen and why	7,8
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	7,8
		(b) Describe any methods used to examine subgroups and interactions	
		(c) Explain how missing data were addressed (d) If applicable, describe analytical methods taking account of sampling strategy	
		(e) Describe any sensitivity analyses	
Results		(e) Describe any sensitivity analyses Opp Gh	

Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	8
		(b) Give reasons for non-participation at each stage	
		(c) Consider use of a flow diagram	
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	8
		(b) Indicate number of participants with missing data for each variable of interest	
Outcome data	15*	Report numbers of outcome events or summary measures	8-14
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence	
		interval). Make clear which confounders were adjusted for and why they were included	
		(b) Report category boundaries when continuous variables were categorized	
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	
Discussion		n tp .	
Key results	18	Summarise key results with reference to study objectives	15
Limitations	· · · · · · · · · · · · · · · · · · ·		15
Interpretation 20 Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence		15-19	
Generalisability	21	Discuss the generalisability (external validity) of the study results	16-19
Other information		April	
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the present study and the applicable, for the present study and the role of the funders for the present study and the applicable, for the present study and the applicable applicable.	20
		which the present article is based	

^{*}Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in the control studies.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at http://www.plosmedicine@grg/, Annals of Internal Medicine at http://www.annals.org/, and Epidemiology at http://www.epidem.com/). Information on the STROBE Initiative is available at www.spobe-statement.org.

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Investigating the Characteristics and Needs of Frequently Admitting Hospital Patients- A CrossSectional Study in the United Kingdom

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- 2 Sectional Study in the United Kingdom
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- 33 Care

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ABSTRACT

- **OBJECTIVES:** This study forms the user requirements phase of the OPTIMAL project, which, through
- 9 a predictive model and supportive intervention, aims to decrease early hospital readmissions. This
- 10 phase aims to investigate the needs and characteristics of patients who had been admitted to
- 11 hospital ≥2 times in the past 12 months.
- **SETTING:** This was a cross-sectional study involving patients from Croydon University Hospital (CUH),
- 13 London, UK
- 14 PARTICIPANTS: A total of 347 patients responded to a postal questionnaire, a response rate of
- 15 12.7%. To meet the inclusion criteria, participants needed to be aged ≥ 18 and have been admitted
- 16 ≥2 times in the previous 12 months (August 2014-July 2015) to CUH.
- 17 PRIMARY AND SECONDARY OUTCOMES: To profile patients identified as frequent admitters to
- 18 assess gaps in care at discharge or post-discharge. Additionally, to understand the patients'
- 19 experience of admission, discharge and post-discharge care.
- **RESULTS:** The range of admissions in the past 12 months was 2-30, with a mean of 2.8. At discharge
- 21 72.4%, (n= 231/347) were not given a contact for out of hours help. Regression analysis identified
- 22 patient factors that were significantly associated with frequent admissions (>2 in 12 months), which
- 23 included age (p=0.008), being in receipt of care (p=0.005) and admission due to a fall (p=0.01), but
- not receiving polypharmacy. Post-discharge, 41.8% (n=145/347) were concerned about being

- 1 readmitted to the hospital. In the first 30 days after discharge, over half of patients (54.5%
- 2 n=189/347) had no contact from a health care professional.
- **CONCLUSION:** Considering that social care needs were more of a determinant of admission risk than
- 4 medical needs, rectifying the lack of integration, communication and the under-utilisation of existing
- 5 patient services could prevent avoidable problems during the transition of care and help decrease
- 6 the likelihood of hospital readmission.

STRENGTHS AND LIMITATIONS OF THIS STUDY

- The study evaluated the patient journey from admission, discharge to post-discharge, providing a holistic picture of patients' experiences
- The study successfully implemented a cross-sectional questionnaire across a diverse sample population using a postal survey method with no reminders sent
 - The target sample included all patients ≥18 years of age who experienced ≥2 admissions in the past 12 months at CUH
 - 4. The study utilised linear regression analysis to identify significant contributing factors to patients being admitted >2 times in a 12 month period
 - 5. The study is representative of patients admitted only to CUH and is limited by the memory of the respondents

Introduction

- 22 A desire to reduce the increasing cost of healthcare provision is an impetus for many countries to
- 23 search for new ways to both increase efficiency and improve the quality of hospital care. Reducing

- 1 the cost of early hospital readmissions is an objective with clear benefits for both providers and
- 2 patients.[1]
- 3 In the UK, readmissions were estimated to cost the NHS £2.4 billion in 2012-2013, which is 19% of
- 4 the total emergency admission cost of £12.5 billion.[2] Since 2011, UK hospitals have been financially
- 5 penalised for patient readmissions occurring within 30 days of discharge, which is considered as
- 6 early readmission.[3]
- 7 The UK financial penalty was introduced in 2011 to discourage hospitals from attempting to free up
- 8 beds by discharging patients before they were ready.[3] However, not all early readmissions are due
- 9 to sub-optimal patient care and many readmissions may be unavoidable and appropriate, for
- 10 example where patients are chronically or terminally ill.[4][5] Two UK studies found around 60% of
- early readmissions were due to the same reason as the primary admission, suggesting that these
- 12 could have been reduced by medication reviews, better discharge communication and a rapid
- response to preventable issues.[6][7]
- 14 Both polypharmacy and chronic conditions such as chronic obstructive pulmonary disease (COPD),
- 15 cardiovascular disease (CVD) and diabetes have been found to be associated with readmission rates
- and increased needs following discharge.[8][9] Accurately identifying patients as high risk enables
- 17 resources to be channelled specifically to these patients through supportive interventions, rather
- than providing for all patients, many of whom may not be at risk of readmission. Several predictive
- models have been developed in the UK such as PARR-30[10] and in Canada the LACE[11] with
- 20 relatively good predictive accuracy.
- 21 Evaluating the effectiveness of interventions designed to prevent early readmissions is problematic
- due to the lack of robust studies with good methodologies.[9] Intervention types which have been
- 23 studied, often in combination include: extensive discharge planning, telephone calls, home visits, a
- 24 24-hour hot line and patient education.[9] The provision of follow up telephone calls is a common

- 1 intervention, with variation in the number and length of calls and profession of caller. The most
- 2 successful results included both pre and post-discharge interventions.[12]
- 3 Schemes for supporting patients with their medications in the community were introduced into
- 4 community pharmacies in 2005. Medicine Use Reviews (MURs) and New Medicines Service (NMS)
- 5 can support patients with medication adherence as well as identifying interactions and other
- 6 problems. The NMS is specifically targeted at patients with long term conditions such as COPD to
- 7 support patients starting a new medication.[13]
- 8 The need for successful management of the pre and post-discharge period is highlighted in the
- 9 National Institute for health and Care Excellence (NICE) Guidelines[14], developed in 2015 to help
- with the transition of adult patients with social care needs from hospital to the community. These
- guidelines emphasise the importance of the transition of care being co-ordinated using good
- communication. All healthcare professionals (HCPs) involved with the care of the patient in hospital
- and the community, should be included in the communication loop, with all patients/carers being
- provided with a medication list and a care plan with a single HCP responsible for co-ordinating the
- discharge for both social and medical needs.
- 16 This paper reports on the first stage of the OPTIMAL project[15], funded by Innovate UK. The
- 17 OPTIMAL project encompasses the development of a predictive risk model, together with a
- supportive post-discharge patient intervention with the aim of reducing early hospital readmission.
- 19 Although the success of both predictive risk models and interventions to prevent hospital
- 20 readmission have been developed and studied separately before, this is the first time, to our
- 21 knowledge, that a predictive model and a preventative intervention have been integrated to support
- 22 patients.
- 23 The aim of this study was to undertake a needs assessment to investigate any common
- 24 characteristics of patients admitted more than one time to CUH in a period of 12 months and
- understand their experiences of both the discharge process and the immediate post-discharge

- 1 period. The study also sought to determine factors contributing to frequent admission (>2 in 12
- 2 months). This will assist in the development of an appropriate post-discharge intervention for
- 3 patients identified at high risk of readmission.

METHODS

- 6 A cross-sectional study was carried out at Croydon University Hospital (CUH). Patients were
- 7 considered for inclusion in the study if they met the following criteria: ≥18 years, a home address on
- 8 the CUH database, experienced ≥ 2 admissions to CUH in the past 12 months (August 2014- July
- 9 2015). Paediatric, oncology and maternity patients were excluded from the study. CUH research
- and development (R&D) department using patient records identified a total of 2722 patients who
- 11 met the inclusion criteria. To provide a confidence level of 95% and a confidence interval of 5%, the
- sample size was calculated as 337 patients. As a low response rate may be expected from postal
- survey, all 2722 patients were invited to complete the postal questionnaire (Supplementary File). An
- 14 explanatory letter was sent with the questionnaire together with a pre-paid return envelope. The
- 15 questionnaire was only made available in English and no reminders were sent.
- 16 Ethical approval was obtained from Kingston University Delegated Research Ethics Committee (Ref:
- 17 1415/035) and approved by the R&D department by CUH as a service evaluation.
- 18 A quantitative cross-sectional questionnaire survey was designed using a mixture of open and closed
- 19 questions. The validated tools AUDIT-C (a brief alcohol screening tool used to identify alcohol
- 20 dependency)[16] and a medical health literacy score[17] were incorporated together with other
- 21 questions which investigated patient experience and knowledge of medication and discharge
- counselling. The questionnaire was in four sections: Firstly, demographic information, collecting
- personal information such as age, as well as medication list and current medical conditions.
- Secondly, understanding the patient's admission experience, the reason for the patient's attendance
- 25 at A&E and satisfaction with the admission process. Thirdly, the patient's discharge experience,

- 1 investigating patients' involvement in their discharge planning and the provision of medication
- 2 counselling. Finally, understanding the patients' post-discharge experience, the discharge support
- 3 received by patients, as well as patients' confidence in managing their health and coping at home
- 4 post-discharge. The experience sought was based on the patient's most recent admission.

5 Pilot

- 6 After receiving ethics approval, a pilot study was conducted which involved asking 10 patients from
- 7 the discharge lounge at CUH to complete the survey for validation. Minor changes were made to the
- 8 questionnaire. To prevent any bias, the findings from the pilot were not included in the final results.

9 Patient and Public Involvement

- 10 The study was a follow up study from 50 patients at the Trust who indicated mixed experience in
- counselling and shared decision making during admission. As part of the funding, the researchers
- agreed to inform patients/public of the outcome of the study. This was completed via the public
- 13 engagement forums within the Trust.

Data Analysis

- 15 The responses from the returned questionnaires were analysed using IBM SPSS ver. 23° through
- descriptive statistics and the Chi-squared test for independence, with a level of significance set at 5%
- 17 (p<0.05). A comorbidity polypharmacy score (CPS) was calculated (defined as the total of the
- 18 number of pre-trauma comorbidities and the number of pre-admission medications in trauma
- patients ≥45 years). Our modified calculation was performed for all patients ≥45 years, using the
- 20 number of medications specified in the questionnaire, together with the number of existing
- comorbidities recorded. A three question Audit-C score[16] was calculated, with each question
- having a possible score of 0-4 and giving a total score in the range between 0-12. A score of ≥ 5 is
- considered positive, indicating a higher risk of alcohol consumption. A single question health literacy
- tool was utilised giving scores of 1-5, with scores >2 indicating some difficulty reading printed health

	Parameter	Number (n,%)	Mean (SD)	Range	Mode	
1	material.[17] The number of medication	s most associated w	ith adverse dr	ug reaction	c (ADD)]
	illaterial.[17] The number of illeulcation	is illust associated w	illi auveise uii	ug i caction	3 (ADN)	

- material.[17] The number of medications most associated with adverse drug reactions (ADR)
- resulting in hospital admission was also recorded for each patient.
- A linear regression analysis was carried out on the data to help identify significant patient
- characteristics which may have contributed to a greater number of admissions in the previous 12
- months. This was carried out by adding a dependent variable column "frequent_admitter" to the
- data which was then assigned 1 if a patient's admissions in the previous year were >2 or 0 if ≤2. The
- independent variables included in the regression analysis were: admission reason, ethnicity,
- condition complexity indicator (which was set if a patient described their existing situation as
- complex/complicated or reported ≥ 2 conditions), a care indicator (identified by patients who were
- in receipt of some home care), CPS, patient age, gender and number of medications. The linear
- regression was repeated to understand the contributors to very frequent admissions, which was >3
- in the previous 12 months and for those over 55 years of age with >2 admissions in the previous 12
- months. Any row where any of these variables were missing was excluded, thus leaving 169 patients
- to be included in the regression analysis This number was 137 patients when only including those
- greater than 55 years of age in the regression analysis.

RESULTS

- The questionnaires were sent to 2722 patients, 347 were completed and returned giving a response
- rate of 12.7%.
- The most common reasons given for the last admission were respiratory problems such as asthma
- and COPD (15.0%, n=52). Nearly 10% (n=33) of patients were admitted due to a fall. Nearly a third
- (n=101) of patients reported more than one condition or described their condition as complex (Table
- 1).
- Table 1 Demographics and Medical Conditions of responders

Age (n=334)	334, 100.0%	69.2 (18.2)	18-100	84
Gender (n=337)				
Male	155, 46.0%			
Female	182, 54.0%			
Ethnicity (n=333)				
White	250, 75.1%			
Black	34, 10.2%			
Asian	25, 7.5%			
Other	24, 7.2%			
Medical History				
No. of admission in previous 12 months*1	347, 100.0%	2.8 (1.9)	2-30	2
No. of admission in previous 30 days*1	32, 10.8%	1.4 (0.9)	0-6	1
Most Common Reason for Last Admission (n=347)				
Respiratory Conditions	52, 15.0%			
Chest Pain	18, 5.2%			
Other Pain	20, 5.8%			
Fall	33, 9.5%			
Infections excl. Chest	28, 8.1%			
Cardiac Conditions	23, 6.2%			
Other	132, 38.0%			
Not Specified	41, 11.8%			
Most Common Existing				
Medical Conditions (n=347)				
Cardiac Conditions	59, 17.0%			
Respiratory Conditions	52, 15.0%			
Hypertension	41, 11.8%	7_		
Diabetes	42, 12.1%			
None Specified	123, 35.4%			
>1 Long Term Condition (LTC)	101, 29.1%			
or Described as Complex				

^{*1} Number of patients admitted within previous 12 months and 30 days. Mean (SD), Range and Mode reflect number of admissions per patient sample.

- Over a quarter (28.8%, n=99/344) of patients lived alone and less than 5% (4.4% n=15/344) lived in a
- 2 care home. Not all patients had someone to care for them; 26.7% (n=88/330) reported that they
- had no available care. Only 13.1% (n=43/328) of patients currently smoked, which is less than the
- 4 UK average of 19%.[18] However, 39.3 % (n=129/328) described themselves as ex-smokers. Nearly a
- 5 third of patients had a limited health literacy score (29.8%, n=101/339) and over 15% (16.6%,
- 6 30/180) had a positive AUDIT-C score associated with a higher alcohol consumption risk.

Admission

- 8 Over half of patients were referred to A&E by an HCP (58.8%, n=204/347), with just over a third
- 9 (34.6%, n=120/347) of patients reporting that a family member or they themselves made the
- decision. Although, two-thirds of patients (67.4%, n=234/347) were consulted regarding admission
- and care decisions, most patients (89.6%, n=311/347) wanted to be more involved with these
- 12 decisions. The most frequently expressed comments about the admission experience concerned
- 13 communication problems and the lack of provision of information (41.1%, n=35/85).

Regression Analysis

- 15 Five variables were found to be significantly associated with >2 admissions in the previous 12
- months. These were admission for a fall (p=0.01), not identifying as having a complex condition or
- reporting <2 conditions (p=0.003), age (p=0.008), male gender (p=0.007) and being in receipt of care
- at home (p=0.005). Additionally, the overall regression is significant according to the F test (F=0.04).
- 19 These factors were still significant for the sample when analysing only those patients ≥55years of age
- 20 (F=0.007). The only change was that admission due to infection became significant in this sample
- 21 (p=0.002). For patients with admissions >3 in 12 months CPS was found to be an additionally
- significant factor (p=0.02). All other independent variables were not found to have a statistically
- significant contribution to the frequency of admission.

Discharge

- 1 Nearly half of patients, (42.1%, n=146/347) were not informed of the discharge decision 24 hours in
- advance, including 43.4% (n=43/99) of those who lived alone.
- 3 Over half of patients, (54.0%, n=187/347) were discharged from the hospital on a weekday between
- 4 12 noon and 6pm. However, about a quarter of patients (21.3%, n=74/347) were discharged
- 5 between 6pm-6am with 17.6% (n=13/74) of them living alone with an average age of 71.2 years.
- 6 Two thirds (67.4% n=234/347) of patients agreed that the decisions regarding the discharge
- 7 procedure were clearly explained (Table 2). However, only a third of patients (34.3%, n=119/347)
- 8 were provided with information to enable them to detect signs of deteriorating health. Furthermore,
- 9 only a third of patients (33.4% n=116/347) were provided with contacts for out-of-hours support.
- 10 Less than a third of patients were referred to a post-discharge service and less than half of
- respondents reported joining this service (Table 2).

Table 2 Patients' Discharge Experience

Patient Discharge Experience (n=347)	Number (n,%)
Received discharge information from a doctor	188, 54.2%
Felt the decisions at discharge were clearly explained	234, 67.4%
Was fully consulted in the decision of being discharged	226, 65.1%
Received a written copy of care plan	146, 42.1%
Told about signs or signals to watch out indicating health was worsening	119, 34.3%
Told who to contact if health deteriorated	84, 24.2%
Told who to contact for out of hours help	116, 33.4%
Referred to a post-discharge service	95, 27.4%
Patient joined the post-discharge service (n=95)	46, 48.4%
Provided with details of local support groups	63, 18.2%

- 1 When patients were asked their opinion about their discharge procedure, 72 patients responded.
- 2 The main concerns expressed were the poor provision of information and communication difficulties
- 3 at all levels. Patients' concerns included the lack of communication between hospital staff and the
- 4 patients/patients' families (48.6% n=35/72), including two elderly patients discharged without
- 5 informing their families. One patient stated: "more co-ordination is needed between the pharmacy
- 6 and wards." Patients were also concerned about long waiting times (36.1% n=26/72), with 42.3%
- 7 (n=11/26) of the waiting times involving a delay in receiving medications.

Medications

- 9 Two-thirds of patients reported taking at least one regular medication (67.4%, n=234/347). Three-
- quarters of these patients experienced changes to their medications whilst in hospital (75.2%,
- n=176/234), but over a quarter of these patients (28.4%, n=50/176) did not receive any counselling.
- Over two-thirds of patients (70.5%, n=165/234) agreed that medication information was explained
- in a way they could understand. However, 34.6% (n=81/234) would have liked more information
- 14 regarding their medications.
- 15 The average number of medications per patient was 4.2 with of a range of 0 to 25. Nearly two-thirds
- 16 (65.0%, n=152/234) of patients were taking \geq 5 medications. The most commonly prescribed
- 17 medication classes are shown in Table 3.

1 Table 3 Most Common Medication Classes

Medication Class (n=234)	Number (n,%)
Proton Pump Inhibitors	107, 45.3%
Statins	105, 44.5%
Antiplatelet drug	84, 35.6%
ACEI/ARBs (Angiotensin Converting Enzyme Inhibitors/ Angiotensin Receptor Blockers)	80, 33.9%
Beta Blockers	80, 33.9%
Calcium Channel Blockers	65, 27.5%
Loop Diuretics	52, 22.0%
Opioid analgesics (Including tramadol)	32, 13.6%
Oral Anti-Coagulants	34, 14.4%
B-2 Agonists	35, 14.8%

- 3 Some of the medication combinations found are not routinely recommended, due to being
- 4 identified as risky.[19] For example, 10.7% (n=25/234) of patients were taking the high-risk
- 5 combination of two or more anti-platelet drugs or an antiplatelet drug together with the anti-
- 6 coagulant warfarin. Also 4.3% (n=10/234) were taking the high-risk triple combination of an
- 7 (Angiotensin Converting Enzyme Inhibitors (ACEI)/ Angiotensin Receptor Blockers (ARB)), a Non-
- 8 Steroidal Anti-Inflammatory Drug (NSAID) and a diuretic.
- 9 Over half of patients (56.4%, n=132/234) were prescribed two or more 2 medications that could put
- them at high risk of admission due to an ADR (Table 4).[20]

11 Table 4 High Risk Drugs

Number of High-Risk Meds (n=234)	Number (n,%)
>5	2, 0.9%
5	15, 6.4%
4	14, 5.9%
3	49, 20.8%
2	52, 22.0%
1	52, 22.0%
0	50, 22.0%

- 1 The average calculated CPS score was 7.5. Scores greater than 7 are associated with an increased
- 2 risk of falls and length of hospital stay, complications, short term and one year mortality [21], over
- 3 40% of patients (n=135/313) had scores >7 and 20 patients were considered as severe or morbid
- 4 with scores between 15 and 32.

Post-Discharge Experience

- 6 While 70.3% (n=244/347) of patients were confident in managing their own health, 41.8%
- 7 (n=145/347) had concerns about being readmitted to the hospital, with two patients feeling that
- 8 their last admission was due to medicine errors that could have been avoided. Receiving medication
- 9 counselling in hospital (55.1%, n=191/347) was significantly associated with patients feeling more
- confident in the management of their health care issues (p=0.013). Three-quarters of patients
- 11 (74.9% n=260/347), were confident in managing their supply of medicines, but were less confident
- in managing their social care issues (34.3%, n=119/347) and healthcare issues (48.9%, n=170/347).
- 13 Almost half of patients (46.9% n=163/347) were very satisfied or satisfied with the available support
- 14 post-discharge in managing their health needs. However, less than a third of patients were satisfied
- 15 (27.4% n=95/347) with the support for their social care needs.
- During the crucial first 30 days post-discharge from hospital, over half (54.5%, n=189/347) of
- patients did not receive any contact from a hospital, GP, pharmacy, or other post-discharge service.
- Only 17.6%, (n=61/347) of patients reported being contacted by their GP. During this time, patients
- were also very reticent to contact an HCP themselves, with only 12.1% (n=42/347) of patients
- 20 reporting initiating contact.
- 21 Just under a quarter of patients (24.2% n=84/347) were contacted by other post-discharge services,
- of the 58 patients who specified a service, half were contacted by community or other nurse, but
- 23 only 15% (n=3/20) of patients suffering from COPD, 13 of which were admitted with a respiratory

- 1 problem/exacerbation, were referred to the respiratory HOT clinic (a rapid access clinic to help
- 2 patients with COPD avoid hospitalisation).[22]
- 3 Community pharmacy support services were not well utilised post-discharge and only 4.0%,
- 4 (n=17/347) of patients were referred to MUR, with 69.7% (n=242/347) of patients being unaware of
- 5 MUR services. However, 50.4% (n=175/347) of patients were interested in receiving this service.
- 6 Similarly, 78.9% (n=274/347) of patients were not referred to NMS, with 51.6% (n=179/347) of
- 7 patients being interested in receiving this service.

DISCUSSION

- 9 This questionnaire-based study followed patients that had ≥2 hospital admissions/year living in the
- vicinity of CUH from admission, through discharge to post-discharge. Despite the low response rate,
- this is the first study that captures the complete patient journey from admission, discharge, through
- to post-discharge care. Furthermore, it identified characteristics of patients with high admission
- rates. A strength of this is the holistic nature of the reported data, which provides a comprehensive
- picture of these patients' experience of the support they were given, their physical health and
- 15 medication when discharged from hospital. The data highlights a wide range of areas for improving
- 16 patient support, including communication, utilisation and integration of services and medication
- 17 counselling.
- 18 The study had several limitations: Firstly, it is representative of the population around CUH and
- 19 admissions to that Trust only, as well as being limited by the memory of the respondents. Secondly,
- 20 not all patients fully completed the questionnaire, hence, statistical significance was not achieved for
- 21 the whole questionnaire. Thirdly, as the questionnaire was only available in English, this limited the
- study to participants who had sufficient English, the Black population was also under represented at
- 23 10.2% compared to the 2011 census figure of 20.2%.[23] Three quarters (75.1%) of patients
- 24 described themselves ethnically as White, which is an over representation when compared to the
- 25 Croydon borough 2011 census figure of 47.3%.[23]

Regression analysis identified five patient characteristics associated with higher admission. It is interesting that two of these factors: falls and being in receipt of care, both require liaison with other services post-discharge to provide adequate support in the patient's home. Suffering from falls is a well-known cause of hospital admission and corroborates with other studies[24][25], but being in receipt of care is, as far as we are aware a novel, though not surprising reason for admission. The male gender has previously been associated with increased admission, specifically in older people, which is pertinent to our study given the mean age of 69.2 years among participants.[26] Falling was the second most common reason for admission as reported by nearly 10% of patients. Polypharmacy, higher CPS score and identifying one's condition as complex or having >2 existing conditions were not significantly associated with >2 admissions in 12 months. However, a higher CPS score was found to be a significant contributor to high levels of admission (>3 in 12 months). Medications may often be implicated in falls with an increased risk for patients even those taking < 5 medications, however the medication class may be deemed to be more significant than the number.[27][28] Nevertheless, a higher CPS has been associated with an increase in falls by other studies, which may explain why this study found this factor to be significant for those that had >3 admissions in 12 months.[29][30] Nearly 50% of patients had a CPS score ≥ 7 and 65% were taking 5 or more medications. An Australian study observed a median increase from 3 to 6 annual attendances in the emergency department (ED) for those ≥65 years old who presented with comorbidities and polypharmacy (≥5 medications), among other factors.[29] There is additional evidence to suggest that co-morbidities are a significant factor when predicting early readmission. The Charlson Index, which predicts 10-year mortality based on patients' comorbidities, was found to be significantly associated with readmission within 28 days for patients scoring ≥3 in a retrospective observational study by Li et al.[30] Interestingly, Considine et al[31] found that comorbidities were not significant predictors of readmission ≤1 day post-discharge for patients from acute-care, however health service use was notable in the 6-months preceding the index admission with ≥1 ED attendance or ≥1 hospital admission in 42.6% (n=579) and 40.7% (n=553)

- 1 respectively. Although our study focused primarily on frequent admission as opposed to
- 2 readmission, the latter study could provide an explanation of why co-morbidities were only a
- 3 predictor of high admission rate (>3 in 12 months).[31]
- 4 It must be noted that in this study, medications and conditions were self-reported. However, these
- 5 were not found to be significantly associated with frequent admission (>2 in 12 months), thus
- 6 highlighting that social care needs are superseding medical needs in determining increased
- 7 admission risk with medical needs becoming significant in those with >3 admissions in 12 months.
- 8 Receipt of medication counselling was significantly associated with patient confidence in managing
- 9 health (p=0.013). Medicine combinations were reported which could have been questioned, such as
- 10 patients taking two anti-platelet drugs or an anti-platelet drug with warfarin, which can lead to an
- increase risk of bleeding.[19] Ten patients were taking the combination of NSAID, ACEI/ARB
- together with a diuretic, this combination is associated with an increased risk of acute kidney
- injury.[32] Community pharmacists being the most accessible HCP, are well placed to identify
- medications which cause adverse events to patients and increase their risk of falls. Patients were not
- 15 referred to and had a lack of awareness of community pharmacy medicine information schemes -
- MUR or NMS. This was a missed opportunity for medication support post-discharge in the
- 17 community. In fact, an initiative at CUH that piloted the provision of domiciliary MUR to housebound
- 18 'high risk' patients by community pharmacists resulted in reported avoidance of
- 19 hospitalisation.[33][34]
- 20 Although nearly three quarters of patients felt consulted in the decisions leading to their discharge,
- 21 patients expressed dissatisfaction with the discharge process, with long waiting times, delays and
- 22 poor communication reported as the most common complaints. These findings correlate with an
- 23 AGE UK report[35] investigating older people's experience of hospital readmission. Delays in
- 24 discharge and lack of information are upsetting and confusing. Patients should at least be provided
- with updates as to the progress of their discharge. Although this study is limited to the experiences

- of the population around Croydon, a study from Liverpool Hospital UK[36] reported similar
- 2 percentages of patients (70%) who felt that discharge decisions were explained, with the long wait
- 3 for discharge medications also having a negative influence on the discharge experience.
- 4 Nearly 50% of patients were worried about being readmitted to hospital and commented on finding
- 5 the experience stressful and wanting to avoid readmission. Good communication and information
- 6 sharing supports the transition from hospital and helps prevent readmission.[14][37] Contact
- 7 information should be provided in case of a short-term crisis, which should be proactive rather than
- 8 waiting for a more serious problem to arise. However, it was found that nearly 40% of patients were
- 9 not provided with the signs of deterioration of their condition and nearly three quarters of patients
- 10 were not provided with details of who to contact if this situation arose. This lack of information
- could result in patients returning to hospital. Additionally, patients' carers and families were not
- always informed of the discharge, making it hard for them to adequately support the patient at
- 13 home.
- 14 Poor integration of services was found both within the hospital and between primary and secondary
- 15 care providers. Patients with social care needs should be contacted by a GP or community nurse
- 16 within 24-72 hours of discharge.[14] However, less than 20% of patients were contacted by their GP
- within 30 days of discharge. A further 12.1% contacted a HCP themselves. Additionally, patients
- were not being referred to post-discharge services which could have supported them. Despite 20
- 19 patients reporting suffering from COPD and 13 of these patients reporting respiratory
- 20 problems/exacerbation as the reason for admission, only 3 patients were referred to the respiratory
- 21 HOT clinic at CUH[22] which provides an integrated team of multidisciplinary HCPs. Nearly a third of
- patients were dissatisfied with their social care, thus it is not surprising that those receiving care
- were more at risk of frequent admission. A lack of transition of care was reported, with a need for
- low level practical support during the first few days after discharge. This is a shared outcome with
- 25 the AGE UK report.[35]

- 1 More integrated support such as that provided by Lewisham Integrated Medicines Optimisation
- 2 Scheme (LIMOS)[38] can break through traditional boundaries of care, but as these authors note
- 3 such links with services take time to build. With an increasing aging population with more multi
 - morbidities, the integration of service delivery across different clinical areas becomes more
- 5 important to provide appropriate individual care, rather than the current disease-focused
- 6 practice.[39] A move to a shared responsibility, is required across multiple areas- social, voluntary
- 7 and clinical, to provide the integrated personalised care that patients need.[40]

CONCLUSIONS

The study highlighted gaps in care during the patient discharge journey. Admission for a fall and receipt of care were significantly associated with higher admission rates. Additionally, it reports for the first time, that social care is an important determinant of frequent admission (>2 in 12 months) in a predominantly older population. Before discharge, patients lacked medication counselling, information on symptoms of deteriorating health, or HCP to contact if this situation arose. An improvement in communications at all levels would benefit patients, ensuring patients are informed of delays and decisions. Additionally, patients' confidence in their care being well managed may be increased by demonstrating that communication channels are open between different HCPs. Postdischarge, patients were lacking referrals to relevant services which could have supported them. The study highlighted that transitional care is fragmented between different services of primary, secondary and social care as well as the voluntary sector. This lack of integration is causing patients avoidable difficulties. Improvement could be made by increasing HCP awareness of the available services, both voluntary and statutory, in the local area and encouraging links. Integrating services would increase the utilisation of existing resources, such as community pharmacy medicine support schemes, hospital services, e.g. respiratory HOT clinics as well as voluntary services, with care pathways utilising all relevant services across each sector.

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- 3 worked alongside RK to draft the publication. BO contributed to data collection. The analysis of the
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Section A: YOUR ADMISSION EXPERIENCE

This part is about your experience while you were being admitted and treated at Croydon University Hospital.

roydon University Hospital?	 Following examination by a doctor, how long did you wait before you were admitted to a bed in the ward? 1-2 Hours
	☐ 2-3 Hours
Vho decided that you need to go to A&E?	☐ 3-4 Hours
] Self	> 4 Hours (please specify)
] Family	
GP Ambulance paramedic	7) To what extent do you garee /diseases that the
I don't know	7) To what extent do you agree/disagree that the
Other (please specify)	following were explained to you in a way you could
Garlet (picase specify)	clearly understand
	i The reasons for your admission
id you try to seek help from any of the following	☐ Strongly Disagree
efore attending A&E? (please select all that apply)	□ Disagree□ Neutral
None. I went directly to A&E	☐ Agree
Calling 111	☐ Strongly Agree
Contacting GP	ii The decisions regarding your care, treatment,
] Visiting Walk-in centre	and/or procedure
Self-Care from the Pharmacy	☐ Strongly Disagree
Community nurses	Disagree
HOT Clinics	☐ Neutral
Other (please specify)	☐ Agree
	Strongly Agree
What day/time did you arrive to A&E?(please select	8) Were you consulted regarding the decisions about
ne box only)	your care, treatment, and/or procedure on
6am- 12noon 6pm- 12midnight	admission?
ay/Tim 12noo - 12midnigh -	☐ Yes
e n 6pm t 6am	□ No
Veekda	Can't RememberIn the future, would you like to be involved in
(Mon-	decisions about your care, treatment, or procedure
Fri) Veeken	•
d (Sat-	☐ Yes ☐ No
Sun)	☐ Can't Remember
ollowing your arrival at A&E, how long did you wait	10) What could have improved your experience while
efore you were examined by a doctor?	being admitted to the hospital?
] 1-2 Hours	wom g warmitted to the noophian
2-3 Hours	
] 3-4 Hours	
> 4 Hours (please specify)	
	<u> </u>

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Section B: YOUR DISCHARGE EXPERIENCE

The following part is about your experience while you were being **discharged from the hospital**.

	1)	Were you informed 24 hours in advance about the	5)	Was there any change in your medicines during
8 9		discharge decision?		your last hospital admission?
10		☐ Yes		Yes
11		□ No		□ No
12		☐ Can't Remember		☐ Can't Remember
	2)	What day/time were you discharged from the	6)	Were you provided with any counselling about
14		hospital? (Please tick one box only)		your medication(s)? ☐ Yes (go to 7)
15		Day/Time 6am- 12noon- 6pm- 12midnight-		
16 17		12noon 6pm 12midnight 6am		☐ No (go to 12) ☐ Can't Remember
18	Ī	Weekday	71	Who provided you with the information related to
19		(Mon-Fri)	7)	•
20		Weekend		your medication(s) at discharge? (please select all that apply)
21		(Sat-Sun)		Doctor
22	3)	Who provided you with the information related to		□ Nurse
23		your discharge? (please select all that apply)		☐ Pharmacist
24		□ Doctor		☐ Other please specify
25 26		☐ Nurse	Ī	
20 27		☐ Pharmacist		
28		☐ No one	8)	How was this information given to you?
29		☐ Other (please specify)	_,	☐ Verbally
30				☐ Written
31				☐ Verbally & Written
32	4)	To what extent do you agree/disagree with the	9)	What resources were you given to help you take
33	-	following:		your medicine(s)? (please select all that apply)
34 35	i	The decisions regarding my discharge were		Patient information leaflet in box
36		explained to me in a way I could clearly understand		Medication reminder card
37		☐ Strongly Disagree		Medication record book
38		☐ Disagree		Poster or brochure
39		☐ Neutral		None
40		☐ Agree		☐ Other (please specify)
41		☐ Strongly Agree	ſ	Other (pieuse speeny)
42	ii			
43		discharged from the hospital	10	To what extent do you agree/disagree with the
44 45		☐ Strongly Disagree	10	
45 46		☐ Disagree		following:
47		☐ Neutral	į	The information about my medication(s) were
48		☐ Agree		given/explained to me in a way I could clearly
49		☐ Strongly Agree		understand
50	ii	— <u></u>		☐ Strongly Disagree
51		how my health care will be managed when I will		☐ Disagree
52		leave the hospital		☐ Neutral
53		Strongly Disagree		☐ Agree
54				☐ Strongly Agree
55 56		☐ Disagree	ii	
56 57		□ Neutral □ Agree		my medicines
58		☐ Agree		☐ Strongly Disagree
59		☐ Strongly Agree		☐ Disagree
60				☐ Neutral
				☐ Agree
				☐ Strongly Agree

11) Please rate your satisfaction with regards to the following information about your medication, if provided during the counselling session:

Please tick one box for each row	Very Unsatisfied	Unsatisfied	Neutral	Satisfied	Very Satisfied	Not provided
Purpose of your medicine(s)						
How to take/use the medicine(s)						
Important side effects						
Actions to take if you get any important side effects.						
Lifestyle changes associated with taking your medicine(s)						

<u> </u>	12) Community pharmacies are offering a New Medicines Service (NMS) which is an open conversation between you and the pharmacist to discuss any concerns you may have about your new medicine(s) - for example side effects
3	i Are you aware of this service?
†	■ Yes
5	■ No
7	ii Were you offered/referred to this service upon discharge?
3	 Yes
) ^	■ No
10 11	 Not Sure
12	iii Would you have been interested to be referred to this service?
13	 Yes
14	NoNot Sure
15 16	
17	and the pharmacist to discuss your medications after you were discharged from the hospital or periodically.
18	i Are you aware of this service?
19	■ Yes
20	■ No
21 22	ii Were you offered/referred to this service upon discharge?
23	 Yes
24	■ No
25	 Not Sure
26	iii Would you have been interested to be referred to this service?
27 28	■ Yes
29	NoNot Sure
30	14) When discharged from the hospital, were you given a written copy of your care plan?
31	Yes
32 33	□ No (go to 15)
34	
35	i If yes, did you understand what was in this care plan?
36	☐ Yes
37	□ No
38 39	15) Were you referred to a post-discharge service? (e.g. hospital avoidance team, hot clinics, telehealth, community services
10	nurse, social care)
11	☐ Yes
12	☐ No (go to 16) i If yes, please specify
13	if yes, please specify
14 15	
16	
17	ii Were you offered a choice to select those services?
18	□ Yes
19	□ No
50 51	iii Have you joined any of these services yet?
52	□ Yes
53	□ No
54	16) Were you told about signs/signals of worsening or decline of your health to watch out for?
55 56	☐ Yes
57	No (go to 17)If yes, were you given details of who to contact if this happened?
8	The Yes were you given details of who to contact if this happened? ☐ Yes
59	□ No
50	17) Were you given contacts for out-of-hours help?
	☐ Yes
	□ No
	For poor review only - http://hmiepon.hmi.com/site/ahout/quidelines.yhtml

18)	Were you given information about local support groups? (E.g. Diabetes UK, Age UK, Cardiac Support Group, Breathe Easy groups, etc)
	□ Yes □ No
19)	What could have improved your experience while being discharged from the hospital?

following:

Section C: YOUR POST-DISCHARGE EXPERIENCE

This part is about your experience since your last hospital discharge

1	How confident are you in managing your health? Not at all confident Not confident Confident Completely Confident Why is that?	į	readmitted t Very Wo Not Wo Not wor Why is that	o the ho orried I rried rried at al	spital?	out being	
3	Please rate your satisfaction with regards to the fo	llowing					
	Please tick one box for each row	Very Unsatisfied	Unsatisfied	Neutral	Satisfied	Very Satisfied	Not Applicable
	The available support to manage your health after you were discharged from the hospital						
	Social care support after being discharged from the hospital (e.g. help in your home, community support, etc)						
	Occupational needs support (e.g. walking aids)						
i ii	given any follow up appointments? Yes No (please go to 5) Not Sure (please go to 5) If yes, who was the follow up appointment with? (please select all that apply) GP Nurse Hospital Outpatient Pharmacist Other (please specify) Were you able to attend all these appointments? Yes No	5	Stro Dis Net Agr Stro Stro Stro Stro Stro Stro Stro Str	pointmer congly Dis- agree utral ree congly Agr easily kee ments congly Dis- agree utral ree congly Agr to have r tronically congly Dis- agree utral ree utral ree congly Agr	nts agree ree agree my appoint r (e.g. smar	cord of my ments availa t phone cale	able for
i۱	To what extent do you agree/disagree with the		hospital? • Yes				

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ii	When?		• (Other (pleas	e specify)		
	1 week after discharge						
	 2 week after discharge 						
	 3 week after discharge 			_	-	r you were dis	charged
	 4 week after discharge 			the hospit			
	 Other (please specify) 					of the follow	ing
				ıse select all t 	hat apply)		
				GP			
6	Have you been contacted by any other post-	•		Hospital			
	discharge service team? (e.g. hospital avoida	ance		Pharmacy T			
	team, hot clinics, telehealth, community serv	vices	• F	Post-discha	rge Service		
	nurse, social care)		• [None			
	■ Yes		• (Other (pleas	e specify)		
	No (please go to 7)						
	 Don't remember (please go to 7) 						
i	If yes, who was it? (please specify)		ii Did	you contac	t any of the	following (plea	se select
				at apply)			
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			• H	Hospital			
				harmacy T	eam		
			• [Post-discha	rge Service		
				None			
ii	How? (please select all that apply)		• (Other (pleas	e specify)		
"	By phone call						
	By letter						
	By email		<u>_</u>				
	- by email						
0	Herrican fident are you recording the manage		a fallaiaa				
8	How confident are you regarding the manag		_		Caufidant	Camandatali	NI-+
	Please tick one box for each row	Not at all confident	Not confident	Neither	Confident	Completely Confident	Not
		connuent	connuent			Conndent	applicable
	Your supply of medicines						
		_	_	_	_	_	
	Your social care issues						
	TOUI SOCIAL CATE ISSUES			Ш		Ш	
	Your healthcare issues	\square				\square	

Section D: SOME INFORMATION ABOUT YOU

1)	How many times were you admitted to the hospital
in	the last 12 months?
in	the last 30 days?
1)	In what year were you born?
2)	What is the first part of your postcode? (E.g. if your post code is CR7 7YE, please write CR7)
3)	What is your gender? □ Male □Female
4)	How would you describe your ethnicity? White Black Chinese Mixed Asian Other Prefer not to say
5)	What is/are the main language(s) spoken at your home?
6)	How often do you need to have someone help you when you read instructions, pamphlets, or
	other written material from your doctor or pharmacy
	 Never
	Rarely
	 Sometimes
	■ Often
٦١	Always Power suffer from any medical conditions? If you place a positive
7)	Do you suffer from any medical conditions? If yes please specify
8)	How often do you have a drink containing alcohol?
	 Never (please skip to 11)
	 Monthly or less

- 2 to 4 times a MONTH
- 2 to 3 times a WEEK
- 4 or more times a week
- 9) How many drinks of alcohol do you drink on a typical day when you are drinking?



- 1 or 2 drinks
- 3 or 4 drinks
- 5 or 6 drinks
- 7 or 8 or 9 drinks
- 10 **or** more drinks

■ Never
 Less than monthly
 Monthly Weekly
 Weekly Poilty or almost dailty
Daily or almost daily11) Have you ever smoked a cigarette, a cigar or a pipe?
• Yes
No (please go to 12)
i Yes, and I am currently a smoker
How frequently do you smoke cigarettes?
■ Regularly
Occasionally
(b) How many cigarettes do you smoke per day?
■ 10 or Less
■ 11-20 ■
21-30
■ 31 or More
ii Yes, but I am an ex-smoker
 How frequently did you smoke cigarettes?
■ Regularly
• Occasionally
(b) About how many cigarettes did you smoke in a day?
☐ 10 or Less
☐ 11-20 ☐ 21-30
☐ 21-30 ☐ 31 or More
(c) For approximately how many years did you smoke cigarettes regularly?
(c) Tot approximately now many years did you smoke digatettes regularly:
(d) How long ago did you stop smoking cigarettes?
(d) How long ago did you stop smoking cigarettes?
(d) How long ago did you stop smoking cigarettes? iii Are you currently using e-cigarettes?
(d) How long ago did you stop smoking cigarettes? iii Are you currently using e-cigarettes? ☐ Yes
(d) How long ago did you stop smoking cigarettes? iii Are you currently using e-cigarettes? Yes No
(d) How long ago did you stop smoking cigarettes? iii Are you currently using e-cigarettes? ☐ Yes
(d) How long ago did you stop smoking cigarettes? iii Are you currently using e-cigarettes? Yes No
(d) How long ago did you stop smoking cigarettes? iii Are you currently using e-cigarettes? Yes No 12) How many regular medicines are you currently taking?
(d) How long ago did you stop smoking cigarettes? iii Are you currently using e-cigarettes? Yes No 12) How many regular medicines are you currently taking? 13) How often do you miss doses of your medicine(s), cut down or stop taking them?
(d) How long ago did you stop smoking cigarettes? iii Are you currently using e-cigarettes? Yes No 12) How many regular medicines are you currently taking?
(d) How long ago did you stop smoking cigarettes? iii Are you currently using e-cigarettes? Yes No 12) How many regular medicines are you currently taking? 13) How often do you miss doses of your medicine(s), cut down or stop taking them? Frequently (more than once a week)
(d) How long ago did you stop smoking cigarettes? iii Are you currently using e-cigarettes? Yes No 12) How many regular medicines are you currently taking? Frequently (more than once a week) Occasionally (once a week)
(d) How long ago did you stop smoking cigarettes? Yes
(d) How long ago did you stop smoking cigarettes? Yes
(d) How long ago did you stop smoking cigarettes? Yes
(d) How long ago did you stop smoking cigarettes? Yes
(d) How long ago did you stop smoking cigarettes? Yes
(d) How long ago did you stop smoking cigarettes? Yes
(d) How long ago did you stop smoking cigarettes? Yes

			ninistration (e.g. difficulty in using Inhalers, swallowing tablets, or injection site
		etc)	
	Ш	Other (please spe	стуу
15)	Usu	ally, how are y	our medicines supplied to you?
		Original or	
		labelled	Paracetamol
		box/bottle	10 Granton
		Blister pack	
		Medicine Dosettes	
16)	If kı	nown, please li	et your current medicines names
		· ·	
17)		o lives with you	at home?
	_	I live alone I live with a fan	aily mombar
		I live with a frie	
	_	I live in a care h	
	_	Other please sp	
		Other picase sp	3.7
10\	Do :	vou have some	one who can take care of you?
10)		you have some Yes	one who can take care or you:
	_	No	
i	_		you with home care?
•		Family membe	
		Friend	
	_	Carer	
	_	Other please sp	pecify
ii			s a week are he/she/they available to you?
		< 1day	
		1-2 days	
		3-4 days	
		5-7 days	
iii		When are they	available to you?

- ☐ Weekdays only
- □ Weekend only
- □ Both

BMJ Open STROBE 2007 (v4) Statement—Checklist of items that should be included in reports of cross-sectional studies

		<u>, </u>	
Section/Topic	Item #	Recommendation 9 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	Reported on page #
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	1
		(b) Provide in the abstract an informative and balanced summary of what was done and what was dound	1, 2
Introduction		202	
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	3-5
Objectives	3	State specific objectives, including any prespecified hypotheses	5
Methods		oade	
Study design	4	Present key elements of study design early in the paper	6
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, foliow-up, and data collection	6
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of participants	6
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	N/A
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	N/A
Bias	9	Describe any efforts to address potential sources of bias	N/A
Study size	10	Explain how the study size was arrived at	6
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which grownings were chosen and why	7
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	7
		(b) Describe any methods used to examine subgroups and interactions	N/A
		(c) Explain how missing data were addressed	N/A
		(d) If applicable, describe analytical methods taking account of sampling strategy	N/A
		(e) Describe any sensitivity analyses	N/A
Results		(c) Describe any sensitivity analyses	

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Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examin for eligibility,	8
		confirmed eligible, included in the study, completing follow-up, and analysed	
		(b) Give reasons for non-participation at each stage	N/A
		(c) Consider use of a flow diagram	N/A
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential	8
		confounders	
		(b) Indicate number of participants with missing data for each variable of interest	N/A
Outcome data	15*	Report numbers of outcome events or summary measures	8-13
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence	N/A
		interval). Make clear which confounders were adjusted for and why they were included	
		(b) Report category boundaries when continuous variables were categorized	N/A
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	N/A
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	N/A
Discussion		http://	
Key results	18	Summarise key results with reference to study objectives	14
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	14
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	16-17
Generalisability	21	Discuss the generalisability (external validity) of the study results	16-17
Other information		April	
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on	18
		which the present article is based	

^{*}Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in the control studies.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at http://www.plosmedicine.org/, Annals of Internal Medicine at http://www.annals.org/, and Epidemiology at http://www.epidem.com/). Information on the STROBE Initiative is available at www.spobe-statement.org.