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Rational and design of a multicenter, single-blind, randomized, parallel controlled feeding trial evaluating the effect of a Chinese cardiovascular health (CCH) diet in lowering blood pressure and other cardiovascular risk factors

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4 **Rational and design of a multicenter, single-blind, randomized,**
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6 **parallel controlled feeding trial evaluating the effect of a Chinese**
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8 **cardiovascular health (CCH) diet in lowering blood pressure and**
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10 **other cardiovascular risk factors**
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Abstract

Introduction: Unhealthy diet has been identified the number one attributor of total mortality in China, accounting for >20% of total deaths. Although DASH and Mediterranean diets have been proved beneficial in managing cardiovascular disease (CVD) risk factors in Western countries, whether similar healthy diet can be developed that keeps Chinese diet culture but is able to reduce CVD risk factors remains unknown.

Methods/design: The Diet, ExerCIse and CarDiovascular hEalth (DECIDE)-Diet trial is a multicenter, single-blind, randomized controlled feeding trial to evaluate the effect of the Chinese Cardiovascular Health (CCH) diet, in comparison with the Chinese usual diet, in lowering CVD risk factors among community residents with higher cardiovascular risk. A total of 360 adults aged between 25–75 years old and with systolic blood pressure (SBP) at 130–159 mm Hg will be recruited from four centers located in four major Chinese cuisines: Beijing, Shanghai, Guangzhou and Chengdu. After one week of running period with local usual diet, the compliant participants will be randomized into the intervention and control groups on 1:1 ratio for additional four weeks of intervention and follow-up. Body weight will be maintained during the intervention. The primary outcome is 4-week change in SBP from baseline to the end of the study. DECIDE-Diet trial will be the first randomized controlled trial to evaluate the effect of a healthy Chinese diet in lowering CVD risk factors. It will inform the public and policy makers that cardiovascular health is achievable through a healthy diet that remains Chinese food culture unchanged.

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4 **Ethics and dissemination:** This trial adheres to the Declaration of Helsinki and
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6 guidelines of good clinical practice. Signed informed consent would be obtained from
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8
9 all participants. The current trial has been approved by the Peking University
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11 Institutional Review Board (Approval Number: IRB00001052-18094).

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14 **Trail registration number:** ClinicalTrials.gov identifier: NCT03882645.
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For peer review only

Strengths and limitations of this study

- This trial will be the first randomized controlled trial to evaluate the effect of a healthy Chinese diet in lowering cardiovascular risk factors.
- The Chinese Cardiovascular Health (CCH) diet includes different versions according to the major Chinese cuisines to ensure the likeness by local people.
- We will investigate the effect of CCH diet on gut microbiome in this trial and the results might provide additional information linking healthy diet and health outcomes.
- We do not use chemical analyses of the menus during the trial to validate the nutrient targets of CCH diet.

Introduction

Cardiovascular disease (CVD) including ischemic heart disease and stroke remains the leading cause of death worldwide, but its mortality has declined in high-income countries.¹ In contrast, cardiovascular burden in China increased rapidly since 1980, as a consequence of rapid changes in lifestyle, environment, and population aging.^{2,3} Among these changes, dietary changes might be one of the biggest changes and one of the biggest drivers to the increase in the burden of CVD in China. National Nutrition Surveys showed that the average consumption of pork among Chinese residents increased from 37.1 g/day in 1992 to 64.3 g/day in 2012, dietary energy from fat increased from 22.5% to 33.1% in the same period.⁴ Conversely, Chinese are eating much less grains and vegetables in 2012 than in 1992.⁴ Such dramatic changes in food patterns have been confirmed in multiple studies.^{5,6} According to the Global Burden of Diseases (GBD) study 2013, poor diet and high systolic blood pressure (SBP) ranked the first and second risk factor leading to total death and the loss of disability-adjusted life-years (DALYs).⁷ More recently, the GBD study reported that dietary risk factors accounted for 11 million deaths and 255 million DALYs worldwide in 2017.⁸ Among the world's 20 most populous countries, China ranked the 1st on the age-standardized rates of diet-related CVD deaths.⁸ Clearly, China needs to develop effective dietary strategies to improve the health status of the people.

Studies have demonstrated that high intake of sodium, low intake of whole grains, and low intake of fruits are the leading dietary risk factors for deaths and DALYs worldwide.⁸ Several well-known healthy diets with low-sodium, high fruits

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4 and vegetables, and fibers, such as DASH diet and Mediterranean diet, have been
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6 developed in Western countries, and their effects in lowering blood pressure, lipids,
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8 glucose, and cardiovascular events have been proved by randomized controlled trials
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10 (RCTs).⁹⁻¹³ However, these Western diets are hardly accepted by Chinese due to the
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12 different diet culture. China has one fifth of the world total population and Chinese
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14 food is popular around the world. A healthy diet that fits into Chinese culture, tastes
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16 good by Chinese people, affordable to common people, and proved effective in
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18 improving cardiovascular health could bear great significance in prevention and
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20 control of CVD in China, in oversea Chinese communities, and people who like
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22 Chinese food globally. Thus, developing a healthy Chinese diet should also have a big
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24 impact on the global health.
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32 To develop effective lifestyle interventions for prevention and control of CVD in
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34 China, the Diet, ExerCIse and CarDiovascular hEalth (DECIDE) project was initiated
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36 in 2016. As one of five independent DECIDE studies, the DECIDE-Diet trial aims to
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38 develop a tasty, affordable and healthy Chinese diet - Chinese Cardiovascular Health
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40 (CCH) diet - that could improve cardiovascular health of Chinese people.
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43 Specifically, the primary study aim is to determine whether the CCH diet is effective
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45 in reducing SBP, compared with the usual Chinese diet. The secondary study aims
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47 include: 1) to evaluate the effect of the CCH diet in lowering diastolic blood pressure
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49 (DBP), blood glucose, serum lipids, and estimated 10-year risk of cardiovascular
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51 disease; 2) to understand if the intervention effects would be modified by the types of
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53 Chinese cuisine; 3) to compare the participants' likeness of CCH diet with that of
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4 usual Chinese diet; 4) to explore the possible impact of the CCH diet on gut microbial
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6 community, including microbial composition and abundance.
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10 11 **Methods**

12 13 **Study design**

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17 The DECIDE-Diet trial is a four-center, single-blind, randomized controlled feeding
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19 trial among community residents with higher risk of cardiovascular disease. Eligible
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21 participants will be recruited from four centers majored in different style of Chinese
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23 cuisines (Cantonese, Szechuan, Huaiyang, and Shandong), 90 from each. Participants
24
25 will be randomized with stratification by center in a 1:1 ratio into intervention and
26
27 control, after one week of a running period on local usual diet. The intervention group
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29 will receive the CCH diet and the control group will receive local usual diet. Both
30
31 diets will be prepared by cooks hired by the study, according to the menus and recipes
32
33 developed by the study nutritionists. Both diets will use food materials available on
34
35 the local markets at the season, and will be provided free of charge. The interventions
36
37 will last for 4 weeks, and both groups will be followed up on the same time schedules
38
39 for all outcomes measurements. Figure 1 shows the flowchart of this trial.
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48 49 **Participant recruitment**

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51 Four cities selected as the study sites in accordance with the four typical Chinese
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53 cuisines are: Beijing (Shandong cuisine), Shanghai (Huaiyang cuisine), Guangzhou
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55 (Cantonese cuisine), and Chengdu (Szechuan cuisine). Including different diet sites
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57 increases the generalizability of our study results in the future and also gives us
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4 opportunity to examine the possible impact of different Chinese cuisines on the
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6 intervention effect.
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9 Men and women aged between 25 and 75 years old, living in the target
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11 communities for the past six months, with SBP in 130–159 mm Hg are eligible for
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13 this trial. Please refer to the Tables 1 and 2 for details of inclusion and exclusion
14
15 criteria. Potential participants will be screened twice for eligibility before
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17 participating in the run-in phase. At the first screening, a trained lay recruiter will
18
19 screen out the potential participants using a simple questionnaire. At the second
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21 screening, a trained research staff will confirm the eligibility by measuring blood
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23 pressure and checking on the full list of questions on the inclusion and exclusion
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25 criteria.
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32 **Run-in period**

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34 Only participants that passed the second screening will be invited to participate in a 1-
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36 week run-in phase in which they are provided the usual local diet. During this run-in
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38 period, the diet will be measured daily to assess the total energy, salt and cooking oil
39
40 intake, and participants will be asked, on a daily basis, of food preference and
41
42 likeness, in particular the saltiness, and adjustments will be made to best fit in their
43
44 own favorable taste of food. The total energy and nutrients composition, amount of
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46 salt and cooking oil used daily during the run-in phase will be kept unchanged during
47
48 the whole study period in the control group. The total energy intake level of each
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50 participant will be maintained in both groups throughout the next four weeks to avoid
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52 significant weight changes. At the end of the run-in phase, the participants will be
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4 assessed again for their eligibility to the study and adherence to the study protocol.

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6 Any participant who failed to take three or more study meals for any reason during
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8 the week and any participant with mean SBP measured on three occasions (8:00-
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10 10:00 am, 14:00-16:00pm and 18:00 to 20:00pm), 3 readings for each, within 24
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12 hours <130 or ≥ 160 mm Hg will be excluded from the trial.
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16 17 **Randomization**

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19 Participants who pass the run-in phase and complete the baseline data collection on
20
21 the last two days of the run-in, will be randomly assigned in a 1:1 ratio to the
22
23 intervention and control group. We will use a centrally concealed randomization
24
25 procedure stratified by study sites and batches of study participants. We assume that
26
27 each study site need to implement the trial in multiple batches of participants for the
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29 reason of feasibility. However, the multiple batches will introduce possible variances
30
31 in foods by seasons. Thus, the randomization stratified by batches of participants and
32
33 study sites is considered necessary. An statistician centrally based at the study
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35 coordinating center in Peking University Clinical Research Institute will be
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37 responsible for generating the random allocation sequence using SAS version 9.4
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39 (SAS Institute Inc., Cary, NC, USA).
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48 **Blinding**

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50 Due to the nature of dietary intervention, it is impractical to blind the cook, dietitian
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52 and the study staff who are responsible for preparing the foods and taking all
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54 measurements of the diets. However, staffs conducting the outcome assessments will
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56 be blinded to the allocation of intervention assignments. In addition, every effort is
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4 made to blind the study participants to their intervention assignment too. First, they
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6 will not be told which group that they belong to. Second, the two groups will take
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8 their meals in separate rooms. Third, the same menus using similar food materials will
9
10 be used for both groups. For example, both groups will have Kung Pao Chicken at the
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12 same meal, but the one for the intervention group will be the healthy version and the
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14 one for control will be the regular version. In some occasions, a look-like but nutrients
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16 different food materials will be used to replace.
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22 **Intervention**

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24 A 4-week study diet with energy levels measured during the running phase and kept
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26 unchanged for each individual will be used immediately after the run-in phase in both
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28 groups. Both intervention and control diets will be prepared in the study kitchen, and
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30 then delivered to the dining rooms and distributed to each study participant.
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34 Standardized energy foods ranging from 80 kcal to 200 kcal each will be used to
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36 adjust individual energy level when there is a need. The participants will be required
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38 to eat all meals on site except for the breakfast, during the entire study period. But
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40 study meals are allowed to be taken home to eat when the participants are unable to
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42 present and the site investigators agree. In both cases, the photos of the remaining
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44 foods need to be taken and submitted for the amount assessment by trained staff. In
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46 any case, the study participants should consume at least the lunch each day on site,
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48 and more than 80% of study meals during each week. Otherwise, the participant is
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50 considered non-compliant to the study protocol.
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58 China has a unique blend of culturally and geographically diverse regional
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4 cuisines. To maximize the generalizability, four different versions of CCH diet have
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6 been developed according to four major Chinese cuisines: Shandong cuisine (north of
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8 China), Huaiyang cuisine (east of China), Cantonese cuisine (south of China), and
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10 Szechuan cuisine (southwest of China). All four versions of the CCH diet share the
11
12 same nutrient targets (Table 3). The healthy version dishes list was based on a survey
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14 of local residents on the most common and popular local foods, and to ensure the
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16 likeness of flavor by participants the cooking method for each dish was designed by
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18 two registered Chinese national master chefs in collaboration with local cooks.
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25 The control group will receive local usual diet with the same 4-week menus as
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27 CCH diet, but the targets of nutrient compositions, salt, and cooking oil will be kept
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29 the same as that in the run-in phase.
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32 **Measurements and data collection**

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35 The formal baseline data collection should be performed on the last two days of the
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37 run-in phase, which includes a questionnaire interview on demography (age, sex,
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39 occupation, education, marital status, household income, and health insurance),
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41 lifestyle and health behaviors (smoking, drinking, physical activity, and dietary
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43 habits), history of diseases (stroke, myocardial infarction, angina, atrial fibrillation,
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45 heart failure, chronic kidney disease, chronic obstructive pulmonary disease, and
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47 cancer), medications use (antihypertensive drugs, antidiabetic drugs, and lipid-
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49 lowering drugs), food likeness; physical examinations (blood pressure, height, weight,
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51 pulse rate); fasting blood tests (fasting blood glucose [FBG], total cholesterol [TC],
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53 low-density lipoprotein cholesterol [LDL-C], high-density lipoprotein cholesterol
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4 [HDL-C], triglycerides, and serum potassium); spot urine tests (sodium and potassium
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6 excretion); and fecal sample tests on gut microbial community.
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9 The questionnaire will be developed by the study group employing standard
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11 questions from previous studies. For food likeness assessment, a food likeness scale
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13 ranged from 0 to 10 will be developed and used.
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17 Methods of physical measurements: We will use Omron HEM-7136 blood
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19 pressure monitor to measure SBP, DBP and pulse rate. Blood pressure will be taken 3
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21 times within 24 hours at baseline and at the end of this trial: one in the morning
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23 between 8:00 am to 10:00 am, one in the afternoon between 2:00 pm to 4:00 pm, and
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25 one in the evening between 6:00 pm to 8:00 pm, respectively. In each time, 3 readings
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27 should be taken with at least one minute intervals. The average of the nine mean SBP
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29 will be used in our analysis. We will use Tanita HD-366 digital weight scale to
30
31 measure weight. We will stick a Hoechstmass-99202 tape measure vertically to a
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33 vertical wall and a triangle to measure height.
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40 Blood sample and spot urine tests: All blood samples of participants from the
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42 four centers will be collected in their fasting state by qualified nurses. The second
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44 morning urine will be collected to estimate population mean levels of sodium
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46 excretion which reflects dietary sodium intake using Kawasaki's formula.¹⁴
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50 Participants will be carefully instructed on how to accurately collect spot urine by
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52 research staff. Centrifuged serum samples and urine samples will be frozen and
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54 transported to Beijing through complete cold chain and measured in the central
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56 laboratory, Beijing Lawke Health Laboratory. Analysis of FBG (using hexokinase
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4 method), TC, LDL-C, HDL-C (using enzymatic colorimetric assay) and triglycerides
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6 (using colorimetric assay), serum potassium as well as sodium and potassium in urine
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8 (using ISE method) will be carried out on a Roche Cobas c501 automatic
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10 biochemistry analyzer.
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14 Fecal sample collection and tests: Stool collection supplies including sampling
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16 bowl, gloves, fecal collection tube will be given to participants on the day prior to the
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18 sampling. Meanwhile, issues that required attention will be verbally informed to the
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20 participants as well as a paper instruction with figure illustrations. Once the
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22 participant defecates the next morning, the feces of 50 grams per person will be
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24 collected into sterile fecal collection tubes (40ml), and placed in ice box immediately
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26 for storage, and then transported to the central laboratory in Beijing. Fecal DNA will
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28 be extracted from each sample using QIAamp Fast DNA Stool Mini Kit (QIAGEN,
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30 cat. 51604). To investigate the microbial community, shotgun metagenomic and
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32 amplicon sequencing strategies will be utilized.
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40 Dietary intake of foods and nutrients: During the run-in phase, we will record the
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42 actual intake of every food item in every meal of all seven days for all participants, in
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44 order to measure the actual intake of total energy and its compositions, nutrients, and
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46 foods. We will weigh every raw food material after they were cleaned up and before
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48 they were cooked for any particular dish. We will further count on the total number of
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50 shares from that dish and weight the standard share after the cooking is done. In this
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52 way we could calculate the total energy and its compositions and amount of nutrients
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54 intake for the standard share of every study dish as well as every meal that each
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4 participant take in, based on the China Food Composition (2nd Edition).¹⁵ We will
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6 then weigh the leftovers of every dish after the participants finish every meal and
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8 calculate the proportion of leftover accounts for each particular dish shared by the
9
10 participant. When weighing the leftover is not applicable, the proportion of leftovers
11
12 will be estimated by the visual observation method by the trained staff. In our study,
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14 the mean difference in the estimated proportion was 0.5% (95% confidence interval:
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16 -1.1 to 2.1%) by the leftover visual observation and -0.4% (95% confidence interval:
17
18 -1.9 to 1.0%) by leftover photo visual observation (observing photos of leftovers),
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20 respectively, from that by weighing. The intra-observer correlation coefficient (ICC)
21
22 of the leftover visual observation with weighing ranged from 0.714 to 0.960 and the
23
24 ICC of the leftover photo visual observation ranged from 0.756 to 0.959. We will also
25
26 collect information or photos of foods that our participants take from outside of the
27
28 study when it occurs during the study period. Each participant's nutrients intake will
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30 be calculated with adjustment for the leftovers and the external foods the participant
31
32 consumed.
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43 **Follow up schedules**

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45 The same questionnaire interview and examinations will be repeated at the end of this
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47 trial with the same methods by a staff independent to the intervention delivery. In
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49 addition, foods intake including foods other than study foods will be recorded every
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51 day, and blood pressure, body weight and food likeness assessment will be taken
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53 weekly during the trial. The schedule of measurements and visits of this trial has been
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55 summarized in Table 4.
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Data Management

A Web-based Data Management System (DMS) will be used to facilitate data collection and central management during the whole process of the trial. Data will be subjected to a full set of Web-based Data Management System (DMS) validation checks and additional manual data checking procedures to assure quality of data entry. Access to stored information is restricted to authorized personnel only. Paper forms with participant-identifiable information are held in secure, locked filing cabinets within a restricted area of each site. Trial documentation and data will be archived for at least 5 years after the completion of the trial.

Outcomes

The primary outcome is the change in SBP from baseline to the end of study. The mean of nine readings will be used for the calculation of the changes in each participant. The secondary outcomes include change in DBP, fasting blood glucose (FBG), total cholesterol (TC), 10-year CVD risk, gut microbial community, and food likeness, from baseline to the end of study. The 10-year CVD risk will be calculated according to 10-year risk prediction models for ischemic cardiovascular disease derived from the USA-PRC Collaborative Study of Cardiovascular Epidemiology cohort.¹⁶

Sample size

We assume that CCH diet will have an effect size of 3.0 mm Hg in reducing SBP in comparison with the control diet. And we further assume the standard deviation of SBP change will be 8 mm Hg in the control group according to our previous cohort

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4 studies.^{16,17} To have 90% power with a type I error rate of 5% to detect the difference
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6 of 3.0 mm, we would need 165 participants in each arm. Assuming that 10% of study
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8 participants will be lost by the end of the study, we will recruit a total of 360
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10 participants (90 from each center).
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13 14 **Statistical analysis**

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16 All analyses will follow the intention-to-treat principle. We will adopt the multiple
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18 imputation, chained-equations method to impute missing values of primary and
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20 secondary outcomes if participants are lost to the follow-up at the end of this study.
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22 Variables used to impute the missing values of each outcome will include
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24 participants' available values of this outcome (such as baseline values and weekly
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26 measurements) and other variables which are associated with this outcome. We will
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28 create 20 imputed data sets for each outcome and the mean value of this outcome will
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30 be used in our analysis. The primary analysis is linear regression which will be used
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32 to estimate the absolute differences between two groups in both primary and
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34 secondary outcomes, reported as least squared means after adjusting for centers. The
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36 differences in baseline variables between groups will be calculated by using a t-test,
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38 Wilcoxon rank test, or chi-square test. Sensitivity analyses will be performed with
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40 modified intention-to-treat population including participants with observations at the
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42 end of the study, with per-protocol population including those who will consume
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44 more than 80% of study meals. Subgroup analyses will be performed to identify
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46 potential modifiers of the intervention effect, including type of Chinese cuisine
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48 (center), gender, age, baseline blood pressure, glucose, lipids, and estimated 10-year
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4 risk of ischemic cardiovascular disease.
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6 **Ethical and dissemination**

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9 This trial adheres to the Declaration of Helsinki and guidelines of good clinical
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11 practice. Signed informed consent would be obtained from all participants. Participant
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13 data in the DMS are protected by password and only available to users designated by
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15 the study with appropriate authorization levels. De-identified data will be used for
16
17 statistical analysis. The current trial has been approved by the Peking University
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19 Institutional Review Board (Approval Number: IRB00001052-18094) and registered
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21 in clinicaltrials.gov (Identifier: NCT03882645).
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27 The results of this trial will be disseminated through academic conferences, and
28
29 will be published in an international peer-reviewed journal.
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32 **Quality control**

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34 Quality control team was established before the initiation of this study. All the
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36 researchers participating in this study must attend the technical training and pass the
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38 examination organized by the coordinating center, including study protocol, informed
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40 consent, CRF, standard operating procedures of participants' data collections,
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42 collection and preservation methods of biological samples, etc.
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48 All biological samples will be tested in our central laboratories located in
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50 Beijing. The biochemist who performs the measurements will be blinded to the
51
52 participant allocation. And 10% of urine and blood samples will be taken as split
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54 samples to control the quality of laboratory test results. On-site and on-line
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56 monitoring for data verification will be used. Each site will have at least two on-site
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4 monitoring visits, one at the beginning of the trial and one at the end of the trial, to
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6 ensure the implementation of the study according to the protocol and standard
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8 operating procedures. During the study, an appointed staff in the coordinating center
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10 will monitor the major nutrients of study diet, changes of body weight and
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12 medications of each participant in both two groups based on the data in the DMS.
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17 Quality control team could convene executive committee teleconferencing for quality
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19 control if necessary.
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22 **Current status**

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24 The first participant was enrolled on March 22, 2019.
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27 **Patient and public involvement**

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29 No patients or public were involved in design, or conduct, or reporting, or
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31 dissemination of our research.
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37 **Discussion**

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39 To the best of our knowledge, DECIDE-Diet trial will be the first randomized
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41 controlled feeding trial to evaluate the effect of a healthy Chinese diet in reducing
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43 blood pressure and improving CVD risk factor profile among community-based
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45 individuals with higher risk of cardiovascular disease. Previous RCTs in Western
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47 populations have demonstrated that healthy diets such as DASH diet and
48
49 Mediterranean diet could reduce CVD risk factors and hence reduced CVD risk.^{9,10}
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51 The meta-analysis that pooled data of 1917 participants from 20 trials found that
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56 DASH diet was associated with a significant decrease in SBP (−5.2 mm Hg), DBP
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4 (-2.6 mm Hg), TC (-0.20 mmol/L), and LDL-C (-0.10 mmol/L). These changes
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6 predicted a reduction of 13% in the 10-year of Framingham CVD risk.¹⁸
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10 On the basis of evidences from the previous studies on healthy diets in the
11
12 Western populations, the DASH diet in particular, we developed the CCH diet with
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14 similar nutrients structure in terms of energy proportions from fat, protein and
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16 carbohydrate, as well as the amount of sodium, potassium, fiber, vegetables and fruits,
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18 in order to achieve the goal beneficial to cardiovascular health. The major difference
19
20 of the CCH diet from the Western healthy diets is that we see the likeness of food by
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22 Chinese equally important to ensure its widely acceptability. Thus, the CCH diet uses
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24 local food materials, prepared with local ingredients and condiments and traditional
25
26 ways of cooking, according to a menu developed on the basis of a survey of local
27
28 residents. Comparing to the Western diet, Chinese diet is special in many aspects.
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30 First, Chinese usually prefer well cooked and hot meals, but Westerners are used to
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32 have fresh and cool foods. Second, Chinese do not use table salt but salt has critical
33
34 role in Chinese diet. Chinese cooks often cite 'A good cook has a handful of salt', and
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36 that might be a reason why the salt intake level is so high in China. Third, there are
37
38 more varieties of methods of cooking for Chinese diet. In addition to boiling, frying,
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40 roasting and baking, Chinese uses stewing, braising, steaming, sauteing, pickling, etc.
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42 Fourth, the ingredients or seasonings are significantly different between Chinese and
43
44 Western diets. A lot of ingredients or seasonings are commonly used in Chinese
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46 cuisine, such as soy sauce, black or yellow bean sauce, monosodium glutamate,
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48 ginger, spring onion, garlic, mint, coriander, white pepper, and Chinese red pepper,
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4 which are rarely used in Western dietary. Meanwhile, cheese, butter, cream or milk
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6 are hardly found in traditional Chinese diet. Fifth, unlike the Western diet serves
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8 sweet desserts often after every meal, Chinese diet includes sweet dishes but usually
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10 only for festivals or banquets treating guests. Last, Chinese often diet together sharing
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12 their dishes. These differences between Chinese and Western diets indicate the
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14 significance of developing a healthy diet for Chinese, who account for one-fifth of the
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16 world's total population.
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22 Beside the commonalities, Chinese living in different parts of China had their
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24 own specialties in foods, tastes, and ways of cooking, namely different cuisines. Thus,
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26 the CCH diet includes different versions according to the major Chinese cuisines to
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28 ensure the likeness by local people. Due to the same reason, our control diet may vary
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30 from center to center, which was prepared according to the average local nutrients
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32 intake but adjusted according to the participants' likeness of the food taste during the
33
34 run-in period, to better reflect their usual diet. However, different versions of the CCH
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36 diet follow the same targets of nutrients (Table 3) as much as possible. Due to time
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38 and resource constraints we could not develop more versions to cover all Chinese
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40 local cuisines, which should be done when the current version of CCH diet is proved
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42 effective.
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50 In addition to the consideration on the likeness of the CCH diet, we also
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52 considered the affordability important to its scalability. The CCH diet was developed
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54 with a daily total price of 30 to 50 RMB (4 to 7 USD) using local commonly available
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56 food materials. The price is affordable for the wage-earning class in the cities where
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4 the study is conducted. With this consideration, the menus and recipes of CCH diet
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6 would be possible to be accepted by the common people and generate real impact
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9 from the public health point of view.
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12 Although previous trials proved that dietary intervention could improve
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14 cardiovascular health, the mechanisms that links the intervention and outcomes are
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16 still unclear. Recently, several studies reported that gut microbiome might play a key
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18 role linking dietary intervention and cardiovascular risk reduction.¹⁹⁻²¹ However, none
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20 of them used RCT design and thus could establish the causal relationship. Thus, we
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22 will investigate the effect of CCH diet on gut microbiome in this trial and the results
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24 might provide additional information linking healthy diet and health outcomes.
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30 In summary, this trial is the first randomized controlled feeding trial to
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32 rigorously investigate the effects of a Chinese diet (the CCH diet) on cardiovascular
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34 health among community living individuals. The attention paid to the low cost and
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36 good food likeness of the CCH diet makes it bearing huge potential in impacting the
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38 public's cardiovascular health if its expected effects can be proven with the current
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43 study.
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Authors' contributions

Y. Wang and Y. Wu conceived of the original idea for the trial, has been part of the trial design and protocol writing, edited the paper and were overall guarantors. W. Xie obtained ethical approval and has been part of the trial design as well as drafted the protocol. J. Sun, G. Zeng, H. Zhu, Z. Yang, J. Yang, L. Feng, P. Gao, P. Lin, J. Xu, and J. Chen have contributed to the study design, interpretation of the results and commented the paper. All authors approved the final manuscript.

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The Steering Committee of DECIDE-Diet study: Yanfang Wang, Jianqin Sun, Guo Zeng, Huilian Zhu, Zhenquan Yang, Pei Gao, Guansheng Ma, Keji Li, Pao-Hwa Lin, Junshi Chen, and Yangfeng Wu

Competing interests statement

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The authors declare that there is no conflict of interest.

For peer review only

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4 **Figure legends**
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6 **Figure 1.** The flowchart of the Diet, ExerCIse and CarDiovascular hEalth (DECIDE)-
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8 Diet trial. CCH, Chinese Cardiovascular Health; CVD, cardiovascular disease.
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4 **Table 1.** Inclusion criteria.
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- 6 1. Men and women aged 25 to 75 years old.
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8 2. Living in the study communities for the past six months and having no plan to move or
9 travel in the next three months.
10
11 3. Having a mean systolic blood pressure in 130-159 mm Hg, regardless of medication use.
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13 4. Agreed to keep the current medications and dosages unchanged throughout the study.
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15 5. Promised to follow the study diets for 5 weeks, and eat at least 18 study meals per week.
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17 6. Able to have at least one meal per day taken on site;
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19 7. Signed informed consent.
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Table 2. Exclusion criteria.

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1. Fasting blood glucose ≥ 10.0 mmol/L.
 2. Total cholesterol ≥ 7.2 mmol/L.
 3. Any changes in dose and/or type of oral medication for antihypertensive, hypoglycemic or lipid-lowering in the past 3 months.
 4. Insulin injection within 1 month.
 5. Unable or unwilling to change diet for any reason (such as vegetarians).
 6. Relatives of researchers or study administrators.
 7. Already having family members in this study.
 8. Alcohol consumption ≥ 8 drinks per week for women, ≥ 15 drinks per week for men.
 9. BMI ≥ 30 Kg/m², or currently losing weight.
 10. Acute cardiovascular and cerebrovascular events within the past 6 months.
 11. A history of chronic kidney disease, intestinal irritation or asthma.
 12. Current or planned pregnancy prior to end of study, or breast-feeding.
 13. Other serious chronic disease thought to interfere with the effect of the diet or with participation, such as tumor, chronic heart failure, severe depression or other mental disorders, immobilization or unable to move freely.
 14. Allergy of common food (e.g. eggs, seafood, peanuts, etc.).
 15. On special diet due to medical needs.
 16. Acute diseases such as upper respiratory tract infection, fever, severe diarrhea, etc.
 17. Deafness, dementia, and inability to communicate.
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Table 3. Nutrient targets of Chinese cardiovascular health (CCH) diet.

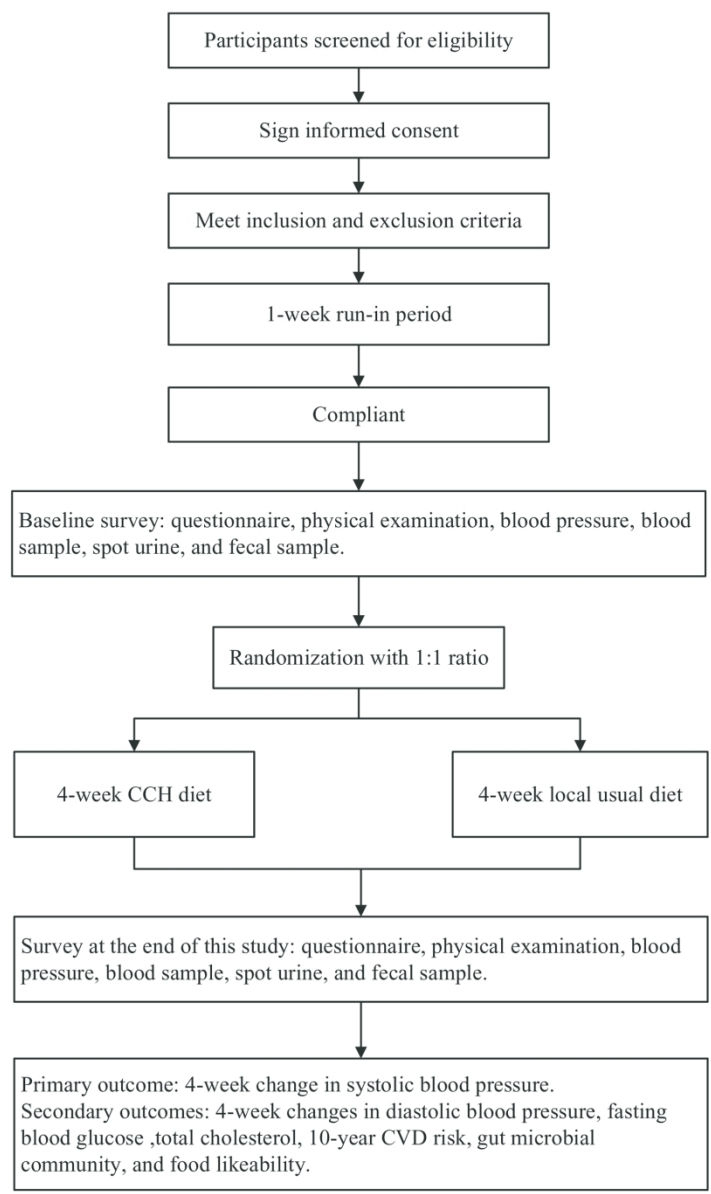
Nutrients	CCH diet
Fat (% of total kcal)	25-27
Saturated	6
Monounsaturated	12
Polyunsaturated	8
Carbohydrates (% of total kcal)	55-60
Protein (% of total kcal)	17-19
Fiber (g/day)	30
Sodium (mg/day)	3000
Potassium (mg/day)	3700
Magnesium (mg/day)	500
Calcium (mg/day)	1200

Table 4. The schedule of measurements and visits of this trial.

	Screening	Run-in*	Follow-up (week)			
			1	2	3	4
Signed informed consent	√					
Eligibility confirmation	√	√				
Questionnaire	√	√				√
Dietary record		√	√	√	√	√
Food likeness assessment		√	√	√	√	√
Height	√					
Weight	√	√	√	√	√	√
Blood pressure and pulse rate	√	√	√	√	√	√
Blood sample		√				√
Spot urine		√				√
Fecal sample		√				√
Reasons for withdrawal		√	√	√	√	√

*Baseline data are collected on the last two days of the run-in period.

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SPIRIT 2013 Checklist: Recommended items to address in a clinical trial protocol and related documents*

Section/item	Item No	Description	Page
Administrative information			
Title	1	Descriptive title identifying the study design, population, interventions, and, if applicable, trial acronym	1
Trial registration	2a	Trial identifier and registry name. If not yet registered, name of intended registry	4
	2b	All items from the World Health Organization Trial Registration Data Set	
Protocol version	3	Date and version identifier	4
Funding	4	Sources and types of financial, material, and other support	25
Roles and responsibilities	5a	Names, affiliations, and roles of protocol contributors	25
	5b	Name and contact information for the trial sponsor	25
	5c	Role of study sponsor and funders, if any, in study design; collection, management, analysis, and interpretation of data; writing of the report; and the decision to submit the report for publication, including whether they will have ultimate authority over any of these activities	25
	5d	Composition, roles, and responsibilities of the coordinating centre, steering committee, endpoint adjudication committee, data management team, and other individuals or groups overseeing the trial, if applicable (see Item 21a for data monitoring committee)	25
Introduction			
Background and rationale	6a	Description of research question and justification for undertaking the trial, including summary of relevant studies (published and unpublished) examining benefits and harms for each intervention	6
	6b	Explanation for choice of comparators	6
Objectives	7	Specific objectives or hypotheses	7-8
Trial design	8	Description of trial design including type of trial (eg, parallel group, crossover, factorial, single group), allocation ratio, and framework (eg, superiority, equivalence, noninferiority, exploratory)	8

Methods: Participants, interventions, and outcomes

Study setting	9	Description of study settings (eg, community clinic, academic hospital) and list of countries where data will be collected. Reference to where list of study sites can be obtained	8
Eligibility criteria	10	Inclusion and exclusion criteria for participants. If applicable, eligibility criteria for study centres and individuals who will perform the interventions (eg, surgeons, psychotherapists)	Table 1, 2
Interventions	11a	Interventions for each group with sufficient detail to allow replication, including how and when they will be administered	11
	11b	Criteria for discontinuing or modifying allocated interventions for a given trial participant (eg, drug dose change in response to harms, participant request, or improving/worsening disease)	11
	11c	Strategies to improve adherence to intervention protocols, and any procedures for monitoring adherence (eg, drug tablet return, laboratory tests)	12
	11d	Relevant concomitant care and interventions that are permitted or prohibited during the trial	12
Outcomes	12	Primary, secondary, and other outcomes, including the specific measurement variable (eg, systolic blood pressure), analysis metric (eg, change from baseline, final value, time to event), method of aggregation (eg, median, proportion), and time point for each outcome. Explanation of the clinical relevance of chosen efficacy and harm outcomes is strongly recommended	16
Participant timeline	13	Time schedule of enrolment, interventions (including any run-ins and washouts), assessments, and visits for participants. A schematic diagram is highly recommended (see Figure)	Table 4
Sample size	14	Estimated number of participants needed to achieve study objectives and how it was determined, including clinical and statistical assumptions supporting any sample size calculations	16-17
Recruitment	15	Strategies for achieving adequate participant enrolment to reach target sample size	8-9

Methods: Assignment of interventions (for controlled trials)

Allocation:

Sequence generation	16a	Method of generating the allocation sequence (eg, computer-generated random numbers), and list of any factors for stratification. To reduce predictability of a random sequence, details of any planned restriction (eg, blocking) should be provided in a separate document that is unavailable to those who enrol participants or assign interventions	9
Allocation concealment mechanism	16b	Mechanism of implementing the allocation sequence (eg, central telephone; sequentially numbered, opaque, sealed envelopes), describing any steps to conceal the sequence until interventions are assigned	9-10

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2	Implementation	16c	Who will generate the allocation sequence, who will enrol participants, and who will assign participants to interventions	
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5	Blinding (masking)	17a	Who will be blinded after assignment to interventions (eg, trial participants, care providers, outcome assessors, data analysts), and how	10-11
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8		17b	If blinded, circumstances under which unblinding is permissible, and procedure for revealing a participant's allocated intervention during the trial	
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11	Methods: Data collection, management, and analysis			
12				
13	Data collection methods	18a	Plans for assessment and collection of outcome, baseline, and other trial data, including any related processes to promote data quality (eg, duplicate measurements, training of assessors) and a description of study instruments (eg, questionnaires, laboratory tests) along with their reliability and validity, if known. Reference to where data collection forms can be found, if not in the protocol	12-15
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21		18b	Plans to promote participant retention and complete follow-up, including list of any outcome data to be collected for participants who discontinue or deviate from intervention protocols	
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26	Data management	19	Plans for data entry, coding, security, and storage, including any related processes to promote data quality (eg, double data entry; range checks for data values). Reference to where details of data management procedures can be found, if not in the protocol	16
27				
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32	Statistical methods	20a	Statistical methods for analysing primary and secondary outcomes. Reference to where other details of the statistical analysis plan can be found, if not in the protocol	17
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36		20b	Methods for any additional analyses (eg, subgroup and adjusted analyses)	
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38		20c	Definition of analysis population relating to protocol non-adherence (eg, as randomised analysis), and any statistical methods to handle missing data (eg, multiple imputation)	
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43	Methods: Monitoring			
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45	Data monitoring	21a	Composition of data monitoring committee (DMC); summary of its role and reporting structure; statement of whether it is independent from the sponsor and competing interests; and reference to where further details about its charter can be found, if not in the protocol. Alternatively, an explanation of why a DMC is not needed	18-19
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51		21b	Description of any interim analyses and stopping guidelines, including who will have access to these interim results and make the final decision to terminate the trial	
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56	Harms	22	Plans for collecting, assessing, reporting, and managing solicited and spontaneously reported adverse events and other unintended effects of trial interventions or trial conduct	18-19
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2	Auditing	23	Frequency and procedures for auditing trial conduct, if any, and whether the process will be independent from investigators and the sponsor	18-19
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5	Ethics and dissemination			
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7	Research ethics approval	24	Plans for seeking research ethics committee/institutional review board (REC/IRB) approval	18
8				
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10	Protocol amendments	25	Plans for communicating important protocol modifications (eg, changes to eligibility criteria, outcomes, analyses) to relevant parties (eg, investigators, REC/IRBs, trial participants, trial registries, journals, regulators)	
11				
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15	Consent or assent	26a	Who will obtain informed consent or assent from potential trial participants or authorised surrogates, and how (see Item 32)	18
16				
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18		26b	Additional consent provisions for collection and use of participant data and biological specimens in ancillary studies, if applicable	
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21	Confidentiality	27	How personal information about potential and enrolled participants will be collected, shared, and maintained in order to protect confidentiality before, during, and after the trial	18
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26	Declaration of interests	28	Financial and other competing interests for principal investigators for the overall trial and each study site	25
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28				
29	Access to data	29	Statement of who will have access to the final trial dataset, and disclosure of contractual agreements that limit such access for investigators	15
30				
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32	Ancillary and post-trial care	30	Provisions, if any, for ancillary and post-trial care, and for compensation to those who suffer harm from trial participation	NA
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35	Dissemination policy	31a	Plans for investigators and sponsor to communicate trial results to participants, healthcare professionals, the public, and other relevant groups (eg, via publication, reporting in results databases, or other data sharing arrangements), including any publication restrictions	18
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41		31b	Authorship eligibility guidelines and any intended use of professional writers	
42				
43		31c	Plans, if any, for granting public access to the full protocol, participant-level dataset, and statistical code	
44				
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46	Appendices			
47				
48	Informed consent materials	32	Model consent form and other related documentation given to participants and authorised surrogates	NA
49				
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51	Biological specimens	33	Plans for collection, laboratory evaluation, and storage of biological specimens for genetic or molecular analysis in the current trial and for future use in ancillary studies, if applicable	12-15
52				
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Protocol of a multicenter, single-blind, randomized, parallel controlled feeding trial evaluating the effect of a Chinese Healthy Heart (CHH) diet in lowering blood pressure and other cardiovascular risk factors

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1 **Protocol of a multicenter, single-blind, randomized, parallel**
2 **controlled feeding trial evaluating the effect of a Chinese Healthy**
3 **Heart (CHH) diet in lowering blood pressure and other**
4 **cardiovascular risk factors**

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19

1 **Abstract**

2 **Introduction:** Unhealthy diet has been identified as the number one attributor of total
3 mortality in China, accounting for more than 20% of total deaths. Although the DASH
4 and Mediterranean diets have been proven beneficial in managing cardiovascular risk
5 factors in Western countries, whether healthy diets with similar cardiovascular
6 benefits can be developed that are consistent with Chinese food culture remains
7 unknown.

8 **Methods/design:** The Diet, ExerCIse and CarDiovascular hEalth (DECIDE)-Diet trial
9 is a multicenter, single-blind, randomized controlled feeding trial to evaluate the
10 effect of the Chinese Healthy Heart (CHH) diet, in comparison with the Chinese usual
11 diet, in lowering cardiovascular risk factors among community residents with
12 increased cardiovascular risk. A total of 360 adults aged between 25–75 years old and
13 with systolic blood pressure (SBP) between 130–159 mm Hg will be recruited from
14 four centers located in four areas representing four major Chinese cuisines: Beijing,
15 Shanghai, Guangzhou and Chengdu. After one week of run-in period with local usual
16 diet, the compliant participants will be randomized to the intervention group with
17 CHH diet or the control group with usual local diet, on 1:1 ratio, for four weeks. Body
18 weight of study participants will be maintained during the entire study period. The
19 primary outcome is the change in SBP from the baseline to the end of the study.

20 DECIDE-Diet trial will be the first randomized controlled feeding trial to evaluate the
21 effect of a CHH diet in lowering cardiovascular risk factors. This trial will provide
22 compelling evidence on the CHH diet in effect of improving cardiovascular health

1 among Chinese food consumers all around the world.

2 **Ethics and dissemination:** This trial adheres to the Declaration of Helsinki and
3 guidelines of Good Clinical Practice. Signed informed consent will be obtained from
4 all participants. The trial has been approved by the Peking University Institutional
5 Review Board (Approval Number: IRB00001052-18094). The results will be
6 disseminated through academic conferences, and publications in international
7 peer-reviewed journals.

8 **Trail registration number:** ClinicalTrials.gov identifier: NCT03882645.

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1 **Strengths and limitations of this study**

- 2 ● This trial will be the first randomized controlled feeding trial to evaluate the
- 3 effect of a Chinese Healthy Heart (CHH) diet in lowering cardiovascular risk factors.
- 4 ● The CHH diet includes different versions according to four major Chinese
- 5 cuisines to ensure the preference by local people.
- 6 ● We will investigate the effect of CHH diet on gut microbiome in this trial and the
- 7 results might provide additional information linking healthy diet and health outcomes.
- 8 ● The food nutrients in the CHH diet will be calculated according to the China
- 9 Food Consumption Table, instead of using chemical analyses of the whole dishes.

1 Introduction

2 Cardiovascular disease (CVD) including ischemic heart disease and stroke remains
3 the leading cause of death worldwide, but its mortality has declined in high-income
4 countries.¹ In contrast, cardiovascular burden in China has increased rapidly since
5 1980s, as a consequence of rapid changes in lifestyle, environment, and population
6 aging.^{2,3} Among these changes, dietary changes might be one of the biggest changes
7 and one of the biggest drivers to the increase in the burden of CVD in China. Data
8 from the China National Nutrition Surveys showed that the average consumption of
9 pork among Chinese residents increased from 37.1 g/day to 64.3 g/day, and dietary
10 energy from fat increased from 22.5% to 33.1% from 1992 to 2012.⁴ Conversely,
11 Chinese people are eating much less grains and vegetables during the same time
12 period.⁴ Such dramatic changes in food pattern have been confirmed in multiple
13 studies.^{5,6} According to the Global Burden of Diseases (GBD) study 2013, unhealthy
14 diet and high systolic blood pressure (SBP) ranked the top 1 and 2 risk factors leading
15 to total death and the loss of disability-adjusted life-years (DALYs).⁷ More recently,
16 the GBD study reported that dietary risk factors accounted for 11 million deaths and
17 255 million DALYs worldwide in 2017.⁸ Among the world's 20 most populated
18 countries, China ranked the 1st on the age-standardized rates of diet-related CVD
19 deaths.⁸ Clearly, China needs to develop effective dietary strategies to improve the
20 health status of its people.

21 Studies have demonstrated that high intake of sodium, low intake of whole grains
22 and fruits are the leading dietary risk factors for deaths and DALYs worldwide.⁸

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4 1 Several healthy diets with low-sodium, high fruits/vegetables, and fibers, such as the
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6 2 DASH diet and Mediterranean diet, have been proven to lower blood pressure, lipids,
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9 3 glucose, and the risk of CVD with randomized controlled trials (RCTs).⁹⁻¹³ However,
10
11 4 these diets have not been tested within Chinese food culture. China has one fifth of
12
13
14 5 the world total population and Chinese food is popular around the world. A healthy
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16
17 6 diet that fits into Chinese food culture and well received by Chinese people and is
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19
20 7 affordable and effective in improving CVD health, could bear great significance in
21
22
23 8 prevention and control of CVD in China, in oversea Chinese communities, and people
24
25
26 9 who like Chinese food globally. The development and evidences in health benefit
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28
29 10 gained on such a diet has the potential to impact a large segment of people globally.

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31 To develop effective lifestyle interventions for prevention and control of CVD in
32
33 12 China, the Diet, ExerCise and CarDiovascular hEalth (DECIDE) program was
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36 13 initiated in 2016. As one of five independent DECIDE projects, the DECIDE-Diet
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39 14 trial aims to develop a tasty, affordable and healthy Chinese diet – the Chinese
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42 15 Healthy Heart (CHH) diet – that could improve CVD health. Specifically, the primary
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45 16 study aim is to determine the effect of the CHH diet in reducing SBP, compared with
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47
48 17 the usual Chinese diet. The secondary study aims are: 1) to evaluate the effect of the
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51 18 CHH diet in lowering diastolic blood pressure (DBP), blood glucose, serum lipids,
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54 19 and estimated 10-year risk of CVD; 2) to understand whether the intervention effects
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57 20 would be modified by the types of Chinese cuisines; 3) to compare the participants'
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60 21 preference of CHH diet with that of usual Chinese diet; 4) to explore the possible
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24 22 impact of the CHH diet on gut microbial community, including microbial composition

1 and abundance. Recent studies have reported that gut microbiome might play a key
2 role in linking the healthy dietary intakes with CVD risk reduction.^{14,15} But the causal
3 relationships between dietary intakes, gut microbial community, and CVD risk factors
4 have not been rigorously studied in randomized controlled studies.

7 **Methods**

8 **Study design**

9 The DECIDE-Diet trial is a four-center, single-blind, randomized controlled feeding
10 trial among community residents with increased risk of CVD. Eligible participants, 90
11 from each center, will be recruited from four centers in four geographical locations
12 representing four different style of Chinese cuisines (Cantonese, Szechuan, Huaiyang,
13 and Shandong). Participants will be randomized with stratification by center in a 1:1
14 ratio into intervention and control, after one week of a run-in period on local usual
15 diet. The intervention group will receive the CHH diet and the control group will
16 receive local usual diet. Both diets will be prepared by cooks hired by the study,
17 according to the menus and recipes developed by the study nutritionists. Both diets
18 will use food materials available on the local markets at the season and will be
19 provided free of charge. The interventions will last for 4 weeks, and both groups will
20 be followed up on the same time schedules for all outcome measurements. Figure 1
21 shows the flowchart of the study participants.

22 **Participant recruitment**

1 Four cities selected as the study sites representing four typical Chinese cuisines are:
2 Beijing (Shandong cuisine), Shanghai (Huaiyang cuisine), Guangzhou (Cantonese
3 cuisine), and Chengdu (Szechuan cuisine). Inclusion of different cuisines increases
4 acceptability of the CHH diet in local residents and demonstrates the generalizability
5 of the CHH diet.

6 Men and women aged between 25 and 75 years old, living in the target
7 communities for at least 6 months prior of the study, with SBP between 130–159 mm
8 Hg are eligible for this trial. Tables 1 and 2 list the details of inclusion and exclusion
9 criteria. Potential participants will complete two screening visits for eligibility before
10 entering the run-in phase. At the first screening visit, a trained lay recruiter will screen
11 the potential participants using a simple questionnaire. At the second screening visit, a
12 trained research staff will confirm the eligibility by measuring blood pressure and
13 checking every inclusion and exclusion criteria.

14 **Run-in period**

15 Only participants that passed the second screening will be invited to participate in the
16 1-week run-in phase during which all participants will be provided with the usual
17 local diet. During the run-in period, amounts of food consumed will be measured
18 daily to assess the total energy, salt and cooking oil intake. Participants will be asked,
19 on a daily basis, of food preference, in particular the saltiness, and adjustments will be
20 made to best fit their preferred taste. The total energy and nutrients composition,
21 amount of salt and cooking oil used daily during the run-in phase will be kept
22 unchanged during the rest of the study period in the control group.

1 The total energy intake level of each participant will be maintained for all individuals
2 in both groups throughout the study to avoid significant weight changes. At the end of
3 the run-in phase, the participants will be assessed again for their eligibility to the
4 study and adherence to the study protocol. Any participant who failed to consume
5 three or more study meals for any reason during the week and any participant with
6 mean SBP measured on three occasions (8:00-10:00 am, 14:00-16:00 pm and 18:00 to
7 20:00 pm), 3 readings for each, within 24 hours and with an averaged reading of <130
8 or ≥ 160 mm Hg will be excluded from further participating the trial.

9 **Randomization**

10 Participants who pass the run-in phase and complete the baseline data collection on
11 the last two days of the run-in, will be randomly assigned in a 1:1 ratio to the
12 intervention and control group. We will use a centrally concealed randomization
13 procedure stratified by study sites and batches of study participants. We assume that
14 each study site needs to implement the trial in multiple batches of participants for the
15 reason of feasibility. However, the multiple batches may introduce possible variances
16 in foods and blood pressure by seasons. Thus, the randomization stratified by batches
17 of participants and study sites is considered necessary. A statistician centrally based at
18 the study coordinating center in Peking University Clinical Research Institute will be
19 responsible for generating the random allocation sequence using SAS version 9.4
20 (SAS Institute Inc., Cary, NC, USA).

21 **Blinding**

22 Due to the nature of dietary intervention, it is impractical to blind the cook, dietitian

1 and the study staff who are responsible for preparing the foods and taking all
2 measurements of the diets. However, staffs conducting the outcome assessments will
3 be blinded to the allocation of intervention assignments. In addition, every effort is
4 made to blind the study participants to their intervention assignment. First, they will
5 not be told of their group assignment. Second, the two groups will consume their
6 meals in separate rooms. Third, the same dishes using similar food materials will be
7 used for both groups at the same meal. For example, both groups will have Kung Pao
8 Chicken at the same meal, but the one for the intervention group will be the healthy
9 version and the one for control will be the regular version. In some occasions, foods
10 that look-alike but contain different nutrients may be used.

11 **Intervention**

12 Both intervention and control diets will be prepared in the study kitchen at each
13 center, and then delivered to the dining rooms and distributed to each study
14 participant. Standardized energy foods, with energy level ranging from 80 kcal to 200
15 kcal each, will be used to provide additional energy for the study participants when
16 needed to assist with maintenance of body weight. The participants will be generally
17 required to eat all their meals on site during the entire study period. Less than 20% of
18 the study meals are allowed to be taken home to eat with investigators permission
19 each week when the participants are unable to consume on site. In these cases, the
20 photos of the remaining foods need to be taken and submitted to the study staff for
21 assessment. Overall, the study participants should consume at least the lunch meal on
22 site each day, and should have more than 80% of the study meals consumed on site

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4 1 each week. Otherwise, the participant is considered non-compliant according to the
5
6 2 study protocol.
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9 3 China has a unique blend of culturally and geographically diverse regional
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11 4 cuisines. To maximize the generalizability and acceptability of the diet, four different
12
13 5 versions of CHH diet have been developed according to four major Chinese cuisines:
14
15 6 Shandong cuisine (north of China), Huaiyang cuisine (east of China), Cantonese
16
17 7 cuisine (south of China), and Szechuan cuisine (southwest of China). All four
18
19 8 versions of the CHH diet share the same nutrient targets (Table 3). The healthy
20
21 9 version dishes menu was based on a survey of local residents on the most common
22
23 10 and popular local foods, and to ensure the preference of flavor by the participants.
24
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30 11 The recipes of CHH diet (intervention diet) were designed by the research team
31
32 12 consisted of medical researchers, physician, nutritionists, registered dietitian, and
33
34 13 chefs of each study center, according to the nutrient composition targets of CHH diet.
35
36 14 See Table 3 for specific targets of each nutrient and the strategies to achieve these
37
38 15 targets. The nutrients composition of a usual Chinese diet in urban China¹⁶ are also
39
40 16 listed in Table 3 for comparison. According to the nutrient targets, the resultant CHH
41
42 17 diet will increase intakes of nuts, seeds and beans or bean product to 200 g per week,
43
44 18 fruits to 150 g per day and vegetables to 300 g per day. A sample one-day menu of
45
46 19 Shandong cuisine is shown in Table 4.
47
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51
52

53 20 The CHH diet was designed to be easily adopted so that it can be applied in a
54
55 21 variety of settings. Specifically, the CHH diet consists of a set of menus that include
56
57 22 two weeks of non-repeating and exchangeable meals for breakfast, lunch and dinner.
58
59
60

1 With fixed recipes and standard cooking procedures, this diet can be easily learned
2 and used by professional cooks and even individuals at home. The flavor and
3 preference of each main dish had been tested before it is incorporated into the CHH
4 diet, to ensure the acceptability by the study participants.

5 The control group will receive local usual diet with the same 4-week menus as
6 CHH diet, but the targets of major nutrients, salt, and cooking oil will be kept the
7 same as that in the run-in phase.

8 **Measurements and data collection**

9 The formal baseline data collection should be performed on the last two days of the
10 run-in phase, which includes a questionnaire interview on demography (age, sex,
11 occupation, education, marital status, household income, and health insurance),
12 lifestyle and health behaviors (smoking, drinking, physical activity, and dietary
13 habits), history of diseases (stroke, myocardial infarction, angina, atrial fibrillation,
14 heart failure, chronic kidney disease, chronic obstructive pulmonary disease, and
15 cancer), medication use (antihypertensive drugs, antidiabetic drugs, and
16 lipid-lowering drugs), food preference; physical examinations (blood pressure, height,
17 weight, pulse rate); fasting blood tests (fasting blood glucose, total cholesterol,
18 low-density lipoprotein cholesterol, high-density lipoprotein cholesterol, triglycerides,
19 and serum potassium); spot urine tests (sodium and potassium excretion); and fecal
20 sample tests on gut microbial community.

21 The questionnaire has been developed by the study team employing standard
22 questions from previous studies. For assessment of food preference, participants will

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3
4 1 be asked at end of each week to rate their preference of the previous week's meals on
5
6 2 a visual analogue scale ranging from 0 to 10 (10 represents "preferred the most"). We
7
8
9 3 will further request more specific information about the foods if someone's scale is
10
11 4 less than 7. Meanwhile, we will also regularly collect their comments about the food
12
13
14 5 during this trial on site.

16
17 6 Methods of physical measurements: We will use Omron HEM-7136 blood
18
19 7 pressure monitor to measure SBP, DBP and pulse rate. Blood pressure will be taken 3
20
21 8 times within 24 hours at baseline and at the end of this trial: one in the morning
22
23 9 between 8:00 am to 10:00 am, one in the afternoon between 2:00 pm to 4:00 pm, and
24
25 10 one in the evening between 6:00 pm to 8:00 pm, respectively. In each time, 3 readings
26
27 11 should be taken with at least one-minute intervals. The average of the nine SBP
28
29 12 readings will be used in our analysis. We will use Tanita HD-366 digital weight scale
30
31 13 to measure body weight. We will stick a Hoechstmass-99202 tape measure vertically
32
33 14 to a vertical wall and a Trigonometric ruler to measure height.

34
35 15 Blood and spot urine samples collection and tests: blood sample of participants
36
37 16 from the four centers will be collected in their fasting state by qualified nurses. The
38
39 17 over-night urine sample of each participant will be collected to estimate population
40
41 18 mean levels of sodium excretion which reflects dietary sodium intake using
42
43 19 Kawasaki's formula.¹⁷ Participants will be carefully instructed on how to accurately
44
45 20 collect spot urine by research staff. Centrifuged serum samples and urine samples will
46
47 21 be frozen and transported to Beijing through complete cold chain and measured in the
48
49 22 central laboratory, Lawke Health Laboratory in Beijing. Analysis of fasting blood
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4 1 glucose (using hexokinase method), total cholesterol, low-density lipoprotein
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6 2 cholesterol, high-density lipoprotein cholesterol (using enzymatic colorimetric assay)
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8
9 3 and triglycerides (using colorimetric assay), serum potassium and urinary sodium and
10
11 4 potassium (using ISE method) will be carried out on a Roche Cobas c501 automatic
12
13
14 5 biochemistry analyzer.

16
17 6 Fecal sample collection and tests: Stool collection supplies including sampling
18
19 7 bowl, gloves, fecal collection tube will be given to participants, with verbal
20
21
22 8 instructions delivered and written instructions distributed on how to collect and
23
24
25 9 deliver the fecal sample to research center. Once the participant defecates the next
26
27 10 morning, an approximate 50-gram feces sample per person will be collected into
28
29
30 11 sterile fecal collection tubes (40 ml), and placed in ice box immediately for storage,
31
32
33 12 and then transporting to the central laboratory in Beijing. Fecal DNA will be extracted
34
35 13 from each sample using QIAamp Fast DNA Stool Mini Kit (QIAGEN, cat. 51604).
36
37
38 14 To investigate the microbial community, shotgun metagenomic and amplicon
39
40 15 sequencing strategies will be utilized.

42
43 16 Dietary intake of foods and nutrients: First, for each food/dish, raw food
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45 17 materials will be weighted after cleaning and before cooking. Then before the food
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47
48 18 serviced to the study participants it will also be measured and recorded. After each
49
50
51 19 meal, the leftover from each participant will be weighted and recorded as well. The
52
53 20 daily average total energy and dietary nutrients taken by each participants can be
54
55
56 21 calculated using the China Food Composition (2nd Edition, volume 1).¹⁸

57
58 22 When weighing the leftover is not applicable, the proportion of leftovers will be
59
60

1 estimated by the visual observation method by the trained staff. The mean difference
2 of the estimated from the weighted proportion was 0.5% (95% confidence interval:
3 -1.1 to 2.1%) for onsite visual observation and -0.4% (95% confidence interval: -1.9
4 to 1.0%) for photo visual observation (observing photos of leftovers), respectively.
5 The intra-observer correlation coefficient (ICC) of the estimated proportion of
6 leftover for onsite visual observation with weighing ranged from 0.714 to 0.960 and
7 the ICC of the estimated proportion of leftover for photo visual observation ranged
8 from 0.756 to 0.959.

9 We will also collect self-reported information before every meal on foods that
10 are taken by the participants but not provided by the study.

11 **Follow up schedules**

12 The same questionnaire interview and physical examinations will be repeated at the
13 end of the trial with the same methods by staffs blinded to the interventions. In
14 addition, blood pressure, body weight, and food preference will be assessed weekly
15 during the trial. If a participant's weight changes more than 2 kg from baseline, we
16 will adjust his/her energy intake right away in order to stabilize weight. The
17 medication use will be monitored, and participants will be reminded to take their
18 prescribed medication daily unless their physicians require to change. The schedule of
19 measurements and visits of this trial has been summarized in Table 5.

20 **Data Management**

21 A Web-based Data Management System (DMS) will be used to facilitate data
22 collection and central management during the whole process of the trial. Data will be

1 subjected to a full set of Web-based DMS validation checks and additional manual
2 data checking procedures to assure quality of data entry. Access to stored information
3 is restricted to authorized personnel only. Paper forms with participant-identifiable
4 information are held in secure, locked filing cabinets within a restricted area of each
5 site. Trial documentation and data will be archived for at least 5 years after the
6 completion of the trial.

7 **Outcomes**

8 The primary outcome is the change in SBP from baseline to the end of study. The
9 mean of nine readings will be used for the calculation of the changes in each
10 participant. The secondary outcomes include change in DBP, fasting blood glucose,
11 total cholesterol, 10-year CVD risk, gut microbial community, and food preference,
12 from baseline to the end of study. The 10-year CVD risk will be calculated according
13 to 10-year risk prediction models for ischemic cardiovascular disease derived from the
14 USA-PRC Collaborative Study of Cardiovascular Epidemiology cohort.¹⁹

15 **Sample size**

16 According to the findings from the DASH diet that successfully reduced SBP by 5.5
17 mm Hg in 8 weeks,⁹ we conservatively assumed that CHH diet will reduce SBP by
18 3.0 mm Hg in comparison with the control diet in 4 weeks. And we further assumed
19 the standard deviation of SBP change will be 8 mm Hg in the control group according
20 to our previous studies.^{19,20} To have 90% power with a type I error rate of 5% to
21 detect the assumed effect size, we would need 165 participants in each arm. Assuming
22 that 10% of study participants will be lost by the end of the study, we will recruit a

1 total of 360 participants (90 from each center).

2 **Statistical analysis**

3 The primary analyses will follow the intention-to-treat principle and will be conducted
4 among participants who have been randomized and also completed the final follow
5 up. The linear regression will be used to estimate the absolute differences between
6 two groups in both primary and secondary outcomes, reported as least squared means
7 after adjusting for centers. The differences in baseline variables between groups will
8 be evaluated by using a t-test, Wilcoxon rank test, or chi-square test. Sensitivity
9 analyses will be performed to adjust for the imbalanced baseline variables if existed
10 and to repeat the analyses with imputed missing values due to the lost to follow ups.
11 We will adopt the multiple imputation, chained-equations method to impute missing
12 values of primary and secondary outcomes if participants are lost to the follow-up at
13 the end of this study. Variables used to impute the missing values of each outcome
14 will include participants' available values of this outcome (such as baseline values
15 and weekly measurements) and other variables which are associated with this
16 outcome. We will create 20 imputed data sets for each outcome and the mean value of
17 this outcome will be used in our analysis. Per-protocol analyses will be conducted
18 among population including those who will consume more than 80% of study meals
19 and completed the final follow up. Subgroup analyses will be performed to identify
20 potential modifiers of the intervention effect, including type of Chinese cuisine,
21 gender, age, baseline multi-morbidity, medications use, blood pressure, glucose, total
22 cholesterol, and estimated 10-year risk of ischemic cardiovascular disease.

1 **Patient and public involvement**

2 No patients or public were involved in the design, conduct, reporting, or
3 dissemination of this research study.

4 **Ethical and dissemination**

5 This trial adheres to the Declaration of Helsinki and guidelines of good clinical
6 practice. Signed informed consent will be obtained from all participants. Participant
7 data in the DMS will be protected by password and only available to users designated
8 by the study with appropriate authorization levels. De-identified data will be used for
9 statistical analysis. The current trial has been approved by the Peking University
10 Institutional Review Board (Approval Number: IRB00001052-18094) and registered
11 in clinicaltrials.gov (Identifier: NCT03882645).

12 The results of this trial will be disseminated through academic conferences, and
13 publications in international peer-reviewed journals.

14 **Quality control**

15 Quality control team was established before the initiation of this study. All the
16 researchers participating in this study must attend the technical training and pass the
17 examination organized by the coordinating center, including study protocol, informed
18 consent, case report form, standard operating procedures of participants' data
19 collections, collection and preservation methods of biological samples.

20 All biological samples will be tested in our central laboratories located in
21 Beijing. The biochemist who performs the measurements will be blinded to the
22 participant's randomization allocation. In addition, 10% of urine and blood samples

1 will be taken as split samples to control the quality of laboratory test results. On-site
2 and on-line monitoring for data verification will be used. Each site will have at least
3 two on-site monitoring visits, one at the beginning of the trial and one at the end of
4 the trial, to ensure the implementation of the study according to the protocol and
5 standard operating procedures. During the study, an appointed staff in the
6 coordinating center will monitor the delivery of the target nutrients of study diet,
7 changes of body weight and medications of each participant in both two groups based
8 on the data in the DMS. Quality control team will convene executive committee
9 teleconferencing for quality control if necessary.

10 **Data sharing plan**

11 Data are available upon reasonable request (Yanfang Wang,
12 pucri_wangyf1225@bjmu.edu.cn).

13 **Current status**

14 The first participant was enrolled on March 22, 2019.

16 **Discussion**

17 To the best of our knowledge, DECIDE-Diet trial will be the first randomized
18 controlled feeding trial to evaluate the effect of a healthy Chinese diet in reducing
19 blood pressure and improving CVD risk factor profile among community-based
20 individuals with increased risk of CVD. Previous RCTs in Western populations have
21 demonstrated that healthy diets such as the DASH diet and Mediterranean diet could
22 reduce CVD risk by reducing CVD risk factors.^{9,10} The meta-analysis that pooled data

1 of 1917 participants from 20 trials found that the DASH diet was associated with a
2 significant decrease in SBP (−5.2 mm Hg), DBP (−2.6 mm Hg), total cholesterol
3 (−0.20 mmol/L), and low-density lipoprotein cholesterol (−0.10 mmol/L). These
4 changes predicted a 13% reduction in the 10-year Framingham CVD risk.²¹

5 Taken the evidences from the previous studies on healthy diets in the Western
6 populations, the DASH diet in particular,⁹ we developed the CHH diet with similar
7 nutrients profile including energy proportions from fat, protein and carbohydrate, as
8 well as the amount of sodium, potassium, fiber, vegetables and fruits, in order to
9 achieve similar CVD benefit observed in previous research. The major difference of
10 the CHH diet from the Western healthy diets is that it was developed with common
11 local Chinese food items and cooking methods to ensure its wide acceptability.²²

12 The menus of the CHH diet also incorporated feedback from surveys of local
13 residents. Comparing to the Western diet, Chinese diet is special in many aspects.
14 First, Chinese usually prefer well cooked and hot meals, but Westerners are used to
15 have raw and cool foods.^{23,24} Second, Chinese do not use table salt but salt has critical
16 role in Chinese diet. Chinese cooks often cite “A good cook has a handful of salt”,
17 and that might be a reason why the salt intake level is so high in China.²² Third, there
18 are more varieties of cooking methods for Chinese diet. In addition to boiling, frying,
19 roasting and baking, Chinese uses stewing, braising, steaming, sauteing, pickling,
20 etc.²⁵ Fourth, the ingredients or seasonings are significantly different between Chinese
21 and Western diets.²⁴ A lot of ingredients or seasonings are commonly used in Chinese
22 cuisine, such as soy sauce, black or yellow bean sauce, monosodium glutamate,

1 ginger, spring onion, garlic, mint, coriander, white pepper, and Chinese red pepper,
2 which are rarely used in Western dietary. Meanwhile, cheese, butter, cream or milk
3 are hardly found in traditional Chinese diet. Fifth, unlike the Western diet serves
4 sweet desserts often after every meal, Chinese diet includes sweet dishes but usually
5 only for festivals or banquets treating guests.²³ Last, Chinese often diet together
6 sharing their dishes.²² These differences between Chinese and Western diets indicate
7 the significance of developing a healthy diet for Chinese, who account for one-fifth of
8 the world's total population.

9 Beside the commonalities, Chinese living in different parts of China had their
10 own specialties in foods, tastes, and ways of cooking, namely different cuisines. Thus,
11 the CHH diet includes different versions according to the major Chinese cuisines to
12 ensure its acceptability in different regions. Similarly, since local usual diet may vary
13 from center to center, the control diet was prepared according to the average local
14 nutrients intake but adjusted according to the participants' preference of the food taste
15 during the run-in period, to better reflect their usual diet. Regardless, different
16 cuisines versions of the CHH diet follow the same targets of nutrients (Table 3). The
17 four major cuisines are estimated to cover over 80% of China's total population.²⁶

18 In addition to the consideration on the acceptability of the CHH diet, we also
19 considered the affordability of the diet which is important to its scalability. The CHH
20 diet was developed with a daily total cost of 30 to 50 RMB (4 to 7 USD) per person
21 using local commonly available food materials. The price is affordable for the
22 wage-earning class in the cities where the study will be conducted. With this

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4 1 consideration, the menus and recipes of CHH diet will be possible to be accepted by
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6 2 the common people and generate real impact from the public health point of view.
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9 3 Although previous trials proved that dietary intervention could improve
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11 4 cardiovascular health, the mechanisms that links the intervention and outcomes are
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13 5 still unclear. Recently, several studies reported that gut microbiome might play a key
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15 6 role linking dietary intervention and CVD risk reduction.²⁷⁻²⁹ However, none of them
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17 7 used RCT design and thus could establish the causal relationship. Thus, we will
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19 8 investigate the effect of CHH diet on gut microbiome in this trial and the results will
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21 9 enhance understanding the role of gut microbiome in the links between healthy diets
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23 10 and health outcomes.
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30 11 In summary, this trial is the first randomized controlled feeding trial to rigorously
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32 12 investigate the effects of a healthy Chinese diet (the CHH diet) on cardiovascular
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34 13 health among community living individuals. This trial is designed with considerations
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36 14 including not only the health effect of CHH diet, but also its acceptability, feasibility
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38 15 and affordability. Findings from this trial has a great potential in impacting the
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40 16 cardiovascular health of many individuals.
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1 **Authors' contributions**

2 Y. Wang and Y. Wu conceived of the original idea for the trial, has been part of the
3 trial design and protocol writing, edited the paper and were overall guarantors. W. Xie
4 obtained ethical approval and has been part of the trial design as well as drafted the
5 protocol. J. Sun, G. Zeng, H. Zhu, Z. Yang, J. Yang, L. Feng, P. Gao, P. Lin, J. Xu,
6 M. Li, and J. Chen have contributed to the study design, interpretation of the results
7 and commented the paper. All authors approved the final manuscript.

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11 Ministry of Science and Technology of China, through the research grant “Diet,
12 ExerCIse and CarDiovascular hEalth, DECIDE project” (2016YFC1300200). The
13 authors are solely responsible for the design and conduct of this study. The funding
14 has no role in the design of this trial and will not have any role during its execution,
15 analyses, interpretation of the data, or decision to submit results.

17 **Acknowledgement**

18 The Steering Committee of DECIDE-Diet study: Yanfang Wang, Jianqin Sun, Guo
19 Zeng, Huilian Zhu, Zhenquan Yang, Pei Gao, Guansheng Ma, Keji Li, Pao-Hwa Lin,
20 Junshi Chen, and Yangfeng Wu

22 **Competing interests statement**

23 The authors declare that there is no conflict of interest.

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1 **Figure legends**

2 **Figure 1.** The flowchart of the Diet, ExerCise and CarDiovascular hEalth
3 (DECIDE)-Diet trial. CHH, Chinese Healthy Heart; CVD, cardiovascular disease.

4

For peer review only

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4 **1 Table 1.** Inclusion criteria.
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- 6 1. Men and women aged 25 to 75 years old.
7
8 2. Living in the study communities for the past six months and having no plan to move or
9 travel in the next three months.
10
11 3. Having a mean systolic blood pressure in 130-159 mm Hg, regardless of medication use.
12
13 4. Agreed to keep the current medications and dosages unchanged throughout the study.
14
15 5. Promised to follow the study diets for 5 weeks, and eat at least 18 study meals per week.
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17 6. Able to have at least one meal per day taken on site;
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19 7. Signed informed consent.
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4 1 **Table 2.** Exclusion criteria.
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- 6 1. Fasting blood glucose ≥ 10.0 mmol/L.
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 - 8 2. Total cholesterol ≥ 7.2 mmol/L.
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 - 10 3. The number of total oral medications for antihypertensive, hypoglycemic or
 - 11 lipid-lowering > 2 for the past 3 months.
 - 12
 - 13 4. Any changes in dose and/or type of oral medication for antihypertensive, hypoglycemic
 - 14 or lipid-lowering in the past 3 months.
 - 15
 - 16 5. Insulin injection within 1 month.
 - 17
 - 18 6. Unable or unwilling to change diet for any reason (such as vegetarians).
 - 19
 - 20 7. Relatives of researchers or study administrators.
 - 21
 - 22 8. Already having family members in this study.
 - 23
 - 24 9. Alcohol consumption ≥ 8 drinks per week for women, ≥ 15 drinks per week for men.
 - 25
 - 26 10. BMI ≥ 30 Kg/m², or currently losing weight.
 - 27
 - 28 11. Acute cardiovascular and cerebrovascular events within the past 6 months.
 - 29
 - 30 12. A history of chronic kidney disease, intestinal irritation or asthma.
 - 31
 - 32 13. Current or planned pregnancy prior to end of study, or breast-feeding.
 - 33
 - 34 14. Other serious chronic disease thought to interfere with the effect of the diet or with
 - 35 participation, such as tumor, chronic heart failure, severe depression or other mental
 - 36 disorders, immobilization or unable to move freely.
 - 37
 - 38 15. Allergy of common food (e.g. eggs, seafood, peanuts, etc.).
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 - 40 16. On special diet due to medical needs.
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 - 42 17. Acute diseases such as upper respiratory tract infection, fever, severe diarrhea, etc.
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 - 44 18. Deafness, dementia, and inability to communicate.
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Table 3. The nutrients composition of a usual Chinese diet in urban China and Chinese Healthy Heart (CHH) diet, and strategies to achieve the CHH diet's nutrients' targets

Nutrients	Usual diet in urban China	CHH diet	Strategies to achieve the CHH diet's target
Energy (kcal)	2053	Individualized	Averaged intake assessed during run-in
Fat (% of total kcal)	33	25-27	Reducing the use of cooking oil by changing cooking method, e.g., replacing deep frying with steaming, and use low-fat or non-fat dairy products
Saturated	-	6	
Monounsaturated	-	12	
Polyunsaturated	-	8	
Carbohydrates (% of total kcal)	55	55-60	Increasing whole grains and limiting the use of monosaccharide
Protein (% of total kcal)	13.5	17-19	Increasing intake of protein from lean meat, beans & milk
Fiber (g/day)	11	30	Increasing use of foods with higher dietary fiber
Sodium (mg/day)	5859	3000	Using less salt in cooking, and replacing regular salt with salt substitute
Potassium (mg/day)	1660	3700	Increasing use of food that contain high amount of potassium, and use of salt substitute
Magnesium (mg/day)	-	500	Using food that contain high amount of Magnesium
Calcium (mg/day)	412	1200	Increasing use of dairy products, lean meat and beans

4

1 **Table 4.** An example of one-day menu of Shandong cuisine.

	Chinese Healthy Heart diet	Control diet
Breakfast	Steamed twisted roll mixed with vegetable Millet congee Boiled egg Boiled Mung bean sprout in sauce with nuts	Steamed twisted roll Millet congee Boiled egg Boiled soybean sprouts in sauce
Lunch	Steamed rice with oats Stir fried cabbage with vermicelli Stewed tofu with shrimp & radish Banana (half) Skim milk	Stir fried rice with meat and bean Stir fried cabbage with bean starch noodle Seaweed and egg soup
Dinner	Steamed bun mixed with corn meal Rice congee with red bean Stir fried enoki mushroom with bell Pepper and dried bean curd Yogurt	Steamed bun stuffed with round pork and celery Rice congee Stir fried mushroom with bell Pepper and dried bean curd Steamed twisted roll

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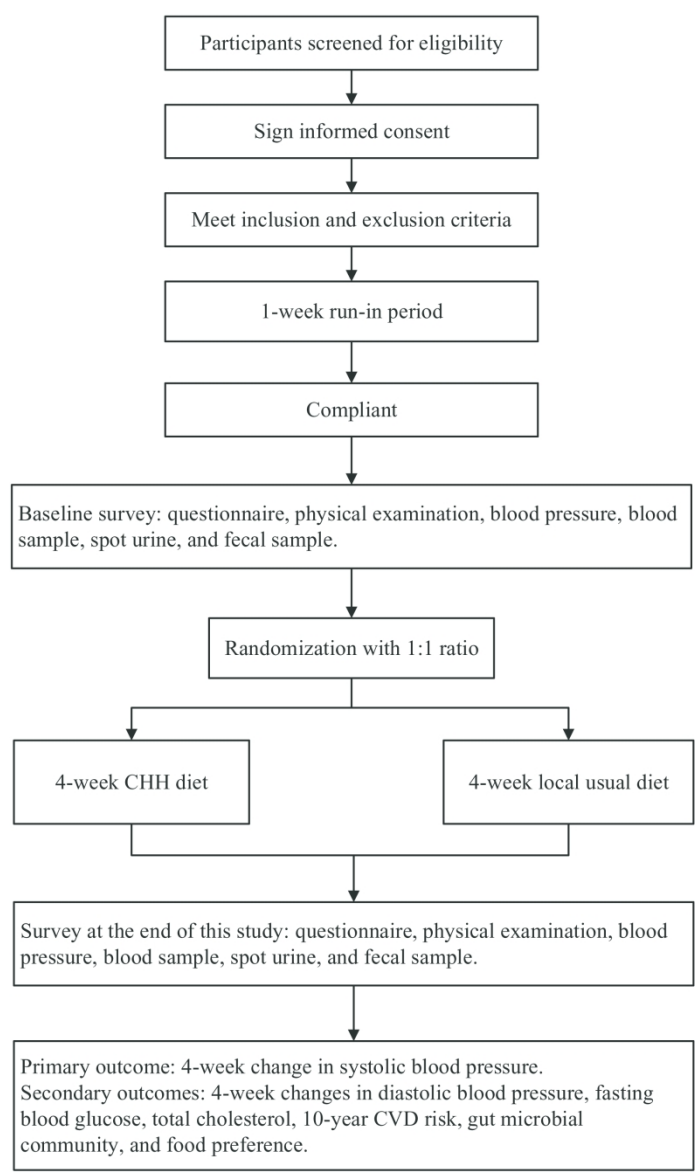
1 **Table 5.** The schedule of measurements and visits of this trial.

	Screening	Run-in*	Follow-up (week)			
			1	2	3	4
Signed informed consent	√					
Eligibility confirmation	√	√				
Questionnaire interview	√	√				√
Dietary record**		√	√	√	√	√
Food preference assessment		√	√	√	√	√
Height	√					
Weight	√	√	√	√	√	√
Blood pressure and pulse rate	√	√	√	√	√	√
Blood sample		√				√
Spot urine		√				√
Fecal sample		√				√
Reasons for withdrawal		√	√	√	√	√

2 *Baseline data are collected on the last two days of the run-in period.

3 **Dietary data will be collected on the daily basis.

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SPIRIT 2013 Checklist: Recommended items to address in a clinical trial protocol and related documents*

Section/item	Item No	Description	Page
Administrative information			
Title	1	Descriptive title identifying the study design, population, interventions, and, if applicable, trial acronym	1
Trial registration	2a	Trial identifier and registry name. If not yet registered, name of intended registry	4
	2b	All items from the World Health Organization Trial Registration Data Set	
Protocol version	3	Date and version identifier	4
Funding	4	Sources and types of financial, material, and other support	27
Roles and responsibilities	5a	Names, affiliations, and roles of protocol contributors	27
	5b	Name and contact information for the trial sponsor	27
	5c	Role of study sponsor and funders, if any, in study design; collection, management, analysis, and interpretation of data; writing of the report; and the decision to submit the report for publication, including whether they will have ultimate authority over any of these activities	27
	5d	Composition, roles, and responsibilities of the coordinating centre, steering committee, endpoint adjudication committee, data management team, and other individuals or groups overseeing the trial, if applicable (see Item 21a for data monitoring committee)	27
Introduction			
Background and rationale	6a	Description of research question and justification for undertaking the trial, including summary of relevant studies (published and unpublished) examining benefits and harms for each intervention	6
	6b	Explanation for choice of comparators	6
Objectives	7	Specific objectives or hypotheses	7-8
Trial design	8	Description of trial design including type of trial (eg, parallel group, crossover, factorial, single group), allocation ratio, and framework (eg, superiority, equivalence, noninferiority, exploratory)	8

Methods: Participants, interventions, and outcomes

Study setting	9	Description of study settings (eg, community clinic, academic hospital) and list of countries where data will be collected. Reference to where list of study sites can be obtained	8
Eligibility criteria	10	Inclusion and exclusion criteria for participants. If applicable, eligibility criteria for study centres and individuals who will perform the interventions (eg, surgeons, psychotherapists)	Table 1, 2
Interventions	11a	Interventions for each group with sufficient detail to allow replication, including how and when they will be administered	11
	11b	Criteria for discontinuing or modifying allocated interventions for a given trial participant (eg, drug dose change in response to harms, participant request, or improving/worsening disease)	11
	11c	Strategies to improve adherence to intervention protocols, and any procedures for monitoring adherence (eg, drug tablet return, laboratory tests)	12
	11d	Relevant concomitant care and interventions that are permitted or prohibited during the trial	12
Outcomes	12	Primary, secondary, and other outcomes, including the specific measurement variable (eg, systolic blood pressure), analysis metric (eg, change from baseline, final value, time to event), method of aggregation (eg, median, proportion), and time point for each outcome. Explanation of the clinical relevance of chosen efficacy and harm outcomes is strongly recommended	17
Participant timeline	13	Time schedule of enrolment, interventions (including any run-ins and washouts), assessments, and visits for participants. A schematic diagram is highly recommended (see Figure)	Table 5
Sample size	14	Estimated number of participants needed to achieve study objectives and how it was determined, including clinical and statistical assumptions supporting any sample size calculations	17-18
Recruitment	15	Strategies for achieving adequate participant enrolment to reach target sample size	8-9

Methods: Assignment of interventions (for controlled trials)

Allocation:

Sequence generation	16a	Method of generating the allocation sequence (eg, computer-generated random numbers), and list of any factors for stratification. To reduce predictability of a random sequence, details of any planned restriction (eg, blocking) should be provided in a separate document that is unavailable to those who enrol participants or assign interventions	10
Allocation concealment mechanism	16b	Mechanism of implementing the allocation sequence (eg, central telephone; sequentially numbered, opaque, sealed envelopes), describing any steps to conceal the sequence until interventions are assigned	10-11

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2	Implementation	16c	Who will generate the allocation sequence, who will enrol participants, and who will assign participants to interventions	
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5	Blinding (masking)	17a	Who will be blinded after assignment to interventions (eg, trial participants, care providers, outcome assessors, data analysts), and how	10-11
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8		17b	If blinded, circumstances under which unblinding is permissible, and procedure for revealing a participant's allocated intervention during the trial	
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11	Methods: Data collection, management, and analysis			
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13	Data collection methods	18a	Plans for assessment and collection of outcome, baseline, and other trial data, including any related processes to promote data quality (eg, duplicate measurements, training of assessors) and a description of study instruments (eg, questionnaires, laboratory tests) along with their reliability and validity, if known. Reference to where data collection forms can be found, if not in the protocol	13-16
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21		18b	Plans to promote participant retention and complete follow-up, including list of any outcome data to be collected for participants who discontinue or deviate from intervention protocols	
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26	Data management	19	Plans for data entry, coding, security, and storage, including any related processes to promote data quality (eg, double data entry; range checks for data values). Reference to where details of data management procedures can be found, if not in the protocol	16-17
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32	Statistical methods	20a	Statistical methods for analysing primary and secondary outcomes. Reference to where other details of the statistical analysis plan can be found, if not in the protocol	18
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36		20b	Methods for any additional analyses (eg, subgroup and adjusted analyses)	
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38		20c	Definition of analysis population relating to protocol non-adherence (eg, as randomised analysis), and any statistical methods to handle missing data (eg, multiple imputation)	
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43	Methods: Monitoring			
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45	Data monitoring	21a	Composition of data monitoring committee (DMC); summary of its role and reporting structure; statement of whether it is independent from the sponsor and competing interests; and reference to where further details about its charter can be found, if not in the protocol. Alternatively, an explanation of why a DMC is not needed	19-20
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51		21b	Description of any interim analyses and stopping guidelines, including who will have access to these interim results and make the final decision to terminate the trial	
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56	Harms	22	Plans for collecting, assessing, reporting, and managing solicited and spontaneously reported adverse events and other unintended effects of trial interventions or trial conduct	19-20
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2	Auditing	23	Frequency and procedures for auditing trial conduct, if any, and whether the process will be independent from investigators and the sponsor	19-20
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5	Ethics and dissemination			
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7	Research ethics approval	24	Plans for seeking research ethics committee/institutional review board (REC/IRB) approval	19
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10	Protocol amendments	25	Plans for communicating important protocol modifications (eg, changes to eligibility criteria, outcomes, analyses) to relevant parties (eg, investigators, REC/IRBs, trial participants, trial registries, journals, regulators)	
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14				
15	Consent or assent	26a	Who will obtain informed consent or assent from potential trial participants or authorised surrogates, and how (see Item 32)	19
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18		26b	Additional consent provisions for collection and use of participant data and biological specimens in ancillary studies, if applicable	
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21	Confidentiality	27	How personal information about potential and enrolled participants will be collected, shared, and maintained in order to protect confidentiality before, during, and after the trial	19
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26	Declaration of interests	28	Financial and other competing interests for principal investigators for the overall trial and each study site	27
27				
28				
29	Access to data	29	Statement of who will have access to the final trial dataset, and disclosure of contractual agreements that limit such access for investigators	15
30				
31				
32	Ancillary and post-trial care	30	Provisions, if any, for ancillary and post-trial care, and for compensation to those who suffer harm from trial participation	NA
33				
34				
35	Dissemination policy	31a	Plans for investigators and sponsor to communicate trial results to participants, healthcare professionals, the public, and other relevant groups (eg, via publication, reporting in results databases, or other data sharing arrangements), including any publication restrictions	19
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41		31b	Authorship eligibility guidelines and any intended use of professional writers	
42				
43		31c	Plans, if any, for granting public access to the full protocol, participant-level dataset, and statistical code	
44				
45				
46	Appendices			
47				
48	Informed consent materials	32	Model consent form and other related documentation given to participants and authorised surrogates	NA
49				
50				
51	Biological specimens	33	Plans for collection, laboratory evaluation, and storage of biological specimens for genetic or molecular analysis in the current trial and for future use in ancillary studies, if applicable	13-16
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