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Cognitive Behavioural Group Therapy for Adolescents with ADHD – Study Protocol for a Randomized Controlled Trial

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ABSTRACT

Introduction Persistence of ADHD into adolescence is a significant burden to patients. Clinical guidelines recommend non-pharmacological therapies for this age group, but the evidence to support this recommendation is sparse. This study aims to study the effect of a 12-week group treatment program for adolescents with ADHD aged 14-18, who still have impairing symptoms after treatment with medication. The primary outcome is change in ADHD symptoms. The secondary outcomes are changes in scores on instruments measuring executive functions, anxiety, depression, sleep problems, self-esteem, self-efficacy and global functioning. We also aim to examine moderators and mediators of the effect of CBT group therapy on ADHD.

Methods and analysis We conduct an RCT of group therapy in adolescents with ADHD recruited from child psychiatric outpatient units in Mid-Norway. Those who meet inclusion criteria and consent to participation are randomised to a 12-week group intervention or to a limited clinical follow-up. Assessments are made at intake (T1), prior to the intervention (T2), after the intervention (T3) and one year after intake to the study (T4) obtaining adolescent, parent and teacher reports at T2 and T3, and adolescent reports at T4. Clinicians blinded to group participation rate all participants as to their functioning at T2, T3 and T4.

Ethics and dissemination The Regional Committee for Medical and Health Research Ethics in South East Norway approved the study protocol (2015/2115). Findings will be disseminated in peer-reviewed scientific journals, and be presented at scientific conferences, to user organisations and at courses attended by families and professionals. Two PhD students will publish and defend dissertations relating to the study. Planned publications include primary and secondary outcomes and fidelity to the intervention. Furthermore, we plan to publish a manual of CBT group therapy in adolescent ADHD to benefit treatment of patients in Norway and elsewhere.

Trial registration Clinical Trials NCT02937142

Strengths and limitations of this study

- A study of CBT group therapy which may yield findings relevant to the population of adolescents with ADHD who still have impairing symptoms after treatment with medication.
- A strength of the present study is its delivery in a real world setting using practising clinical staff and covering a total catchment area.
- A further strength of the study is the use of multiple informants including teacher assessments.
- The findings will not fully answer which psychosocial interventions are beneficial for adolescents with ADHD who for various reasons are not included in the study, decline to participate or are excluded from the study at intake.

INTRODUCTION

Attention-deficit/hyperactivity disorder (ADHD) is a neurodevelopmental disorder, which starts in childhood and is characterised by inattention, impulsivity, and hyperactivity that impair functioning.¹ ADHD persists across the lifespan in a majority of patients, and causes significant impairment across multiple domains of daily functioning. A majority of children with ADHD continue to have symptoms and impairment during adolescence.^{2,3} The core symptoms contribute to impairment in executive functioning, inhibitory control, working memory and motivation. These deficits prevent the acquisition and implementation of compensatory skills such as organizing and planning, leading to difficulties in handling everyday challenges. During adolescence, ADHD is associated with low academic achievement, interpersonal difficulties, substance use disorders, mood disorders and anxiety disorders continuing into adult life.^{3,4} A recent study of comorbidity in a large sample of Norwegian adults with ADHD, shows that both men and women had a 4-9 times higher prevalence of anxiety, depression, bipolar and personality disorders, schizophrenia and substance use disorder (SUD) than the remaining adult population⁵, indicating the potential

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3 for introducing preventive measures in young people with ADHD.
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8 Pharmacotherapy with stimulants, atomoxetine or guanfacine is effective in reducing core
9 symptoms of ADHD in most adolescents with moderate to severe ADHD. Medication may
10 also improve processing speed, work productivity and perseverance.⁶ However,
11 pharmacotherapy alone may not be sufficient to remediate ADHD and its comorbid symptoms
12 and disorders. National and international guidelines for ADHD recommend non-
13 pharmacological therapies as the first-line or add-on treatment for young people with ADHD,
14 ^{7 8} even though there is inadequate evidence to support this recommendation. Specifically,
15 there is limited evidence to support psychological treatments in adolescents with ADHD,
16 which have been less studied than psychological treatments in children.⁹
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27 Cognitive behavioural therapy (CBT) is a well-known psychological treatment for mental
28 disorders across disorders and age groups. Meta analyses have documented significant
29 treatment effects on disorders such as OCD, anxiety, and depression across age groups and in
30 ADHD in adults.^{10 11} There are currently only three published studies on CBT with
31 adolescents with ADHD, and thus little knowledge exists about short term and long-term
32 treatment effects of CBT on ADHD in this age group.¹²⁻¹⁴ Vidal and colleagues¹⁴ found
33 significant improvement in adaptive functioning as well as ADHD symptoms in the treatment
34 group in their RCT of adolescents aged 15-21. Patients with emotional disorders were
35 excluded from the study. It is therefore unknown to what extent CBT treatment could help
36 ADHD patients with comorbid emotional disorders, which are frequent in a teenage
37 population with ADHD.¹⁵
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47 Previous studies on CBT in other conditions than ADHD have found that different moderators
48 and mediators have implications for treatment effects. For example in the treatment of
49 Obsessive Compulsive Disorder, comorbidity, age, sex, and lower quality of life were found
50 to be important moderators and predictive of treatment effect.¹⁶ Age, symptom severity,
51 comorbidity rate and adaptive functioning seem to moderate the effect of CBT in patients
52 with depression.¹⁷ Since research on adolescents with ADHD is scarce, we have very limited
53 knowledge of which moderators make the most impact in this patient group. Of note, the
54 Vidal and colleagues¹⁴ did not reveal any moderating effect of demographic variables in their
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3 study of CBT group therapy outcome.
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6 To date, there is little knowledge about the short-term outcome psychological treatment
7 programs in adolescents with ADHD, and even less knowledge about long-term outcomes.

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9 The present study will therefore fill a gap in the treatment literature. It is crucial to know if
10 clinically relevant changes in psychiatric symptoms and functioning is associated with the
11 applied treatment program and particularly whether the observed changes last over time. The
12 project will include unique longitudinal data and will provide results that can help fill
13 knowledge gaps and promote improved quality and efficacy of services for adolescents with
14 ADHD both nationally and internationally.
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22 Aims

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24 The study Cognitive Behaviour Group Therapy in Adolescents with Attention Deficit
25 Hyperactivity Disorder (*Clinical Trials*, NCT02937142), aims to improve the quality and
26 effectiveness of treatment and care of adolescents with ADHD. We aim to obtain new
27 knowledge related to Cognitive Behavioural Group Therapy in adolescents with ADHD 14-18
28 years of age referred to assessment and treatment at the Child & Adolescent Psychiatric
29 (CAP) Clinic, St. Olav University Hospital, Trondheim, Norway. The primary outcome
30 investigated will be treatment effect on ADHD symptoms, measured on the ADHD rating
31 scale¹⁸, in adolescents in a 12-week manual based CBT group treatment program. We will
32 study the effect after the intervention and at a 12 months follow-up. The secondary outcomes
33 will be characteristics of functional impairment in adolescents with ADHD and the study of
34 possible moderators and mediators of treatment effects. Furthermore, we wish to study the
35 feasibility of the intervention, patient satisfaction and treatment fidelity, and identify therapist
36 factors associated with positive outcomes. The long-term goal of the study group is to publish
37 a Norwegian evidence based treatment manual for the benefit of patients locally and
38 elsewhere.
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56 METHODS AND ANALYSIS

57 Study design

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3 The design is a randomised, controlled, rater blinded study to evaluate the effectiveness of
4 group therapy for adolescents aged 14 to 18 with ADHD. We recruit adolescent patients, who
5 receive medical treatment but still have impairing symptoms into the study. The patients are
6 randomly assigned to the intervention group or a control group. The two groups are followed
7 prospectively to assess the effectiveness of the CBT group program. See Table 1 for the
8 completed SPIRIT (Standard Protocol Items; Recommendations for Intervention Trials)
9 check-list of recommended items to address in a clinical trial process. We make assessments
10 before and after the intervention, and at a one-year follow-up.
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23 **Study Recruitment**

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26 Patients 14 to 18 years of age with a diagnosis of ADHD according to ICD-10¹⁹ are recruited
27 to the study from two Child & Adolescent Psychiatric outpatient units at the St. Olav
28 University Hospital with a catchment area of around 230.000 inhabitants (city of Trondheim
29 and a few surrounding municipalities). Very few private practitioners do assessments and
30 treatment of suspected ADHD adolescents in the area. The number of adolescents with
31 ADHD as the main diagnosis or comorbid diagnosis in the age group 14-18 years in the
32 outpatient clinics was 330 as per February 2019. Most adolescent patients with ADHD are
33 prescribed medication. We assess and recruit a few additional participants in the study from
34 general practitioners responsible for patients discharged from the clinic on stable medication,
35 through user organisations, and through advertisements in media and the local newspaper.
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50 **Participants**

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52 The diagnostic process at the clinic includes information from multiple informants (patients,
53 parents and teachers), including developmental history, somatic status and school functioning.
54 The routine assessment includes interviews with the adolescent and parents, and the
55 administration of various questionnaires. These include an assessment of emotional and
56 behaviour problems with the ASEBA Checklists²⁰ and ADHD-symptoms by the ADHD-
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3 Rating Scale.¹⁸ IQ scores are obtained using Wechsler Intelligence Scales for Children -
4 WISC-IV.²¹ Adaptive functioning is scored using the Children's Global Assessment Scale
5 (CGAS).²² Patients receive education about ADHD, and patients with moderate to severe
6 ADHD symptoms are offered medical treatment (T1).
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13 We evaluate the patients in relation to the inclusion criteria after at least two months of stable
14 medical treatment. Thereafter the adolescent (and parents) are asked to participate in the study
15 through an open invitation brochure providing information about the aim of the project, the
16 randomization process and the intervention. We invite adolescents who consent to participate
17 into the study. At intake, two clinicians, a clinical neuropsychologist and a child and
18 adolescent psychiatrist, interview the adolescents using a semi-structured psychiatric
19 interview, the Kiddie-SADS PL.²³ We also assess executive functions, general adaptive
20 functioning, anxiety and depression, sleep patterns, self-esteem and self-efficacy.
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31 *Inclusion criteria.* A diagnosis of ADHD and a Clinical Global Impression Severity (CGI-S)
32 ²⁴ score ≥ 3 (mildly ill, some impairment in one setting). Participants should receive medical
33 treatment for ADHD, but patients may be included in the study if they have tried medication
34 with little effect or experienced intolerable side effects. Participants with comorbid diagnoses
35 (mild to moderate depressive disorders, anxiety disorders, bipolar disorders and mild degree
36 of autism spectrum disorders) are included in the study. *Exclusion criteria* are psychoses,
37 mental retardation (IQ < 70), ongoing substance use disorder, severe conduct disorder,
38 suicidal behaviour and severe depression.
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50 51 **Procedure**

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53 The recruitment period lasts two years and nine months starting in winter 2017 and ongoing
54 until mid- September 2019. After the initial diagnostic procedures, follow up evaluations are
55 performed immediately after the CBT group program and at 12 months follow up. The
56 participants fill in questionnaires at two time points, before the intervention (T2) and after the
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3 intervention (T3). An interviewer administers three questionnaires during a telephone
4 interview with the adolescents at the 12 months follow-up 9 months after the intervention
5 (T4) (Figure 1). Clinicians blinded to patient assignment complete pre- and post- evaluations.
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12 At a one-year assessment (T4) a telephone interview is performed with the adolescent
13 examining general and adaptive functioning (a score on the CGI and CGAS are obtained),
14 school functioning, emotional and behaviour problems, ADHD symptoms and self-efficacy.
15 All participants medicated for ADHD are asked if they use their medication as prescribed on a
16 weekly basis during the study period. At post-treatment (T3) the participants in the
17 intervention group fill out a questionnaire about satisfaction with the group therapy. In
18 addition, the subjects report on current and past treatment during the past 9 months and their
19 impression of the treatment in a longer perspective. Flowcharts for inclusion of patients,
20 treatment and evaluation, are made according to Consolidated Standard of Reporting Trials ²⁵
21 (see Table 1).
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36 **Control group**

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38 Patients in the control group continue their medical treatment as usual and get one routine
39 medical follow-up during the treatment intervention. They are not supposed to get any
40 psychosocial treatment at the clinic in the study period. However, a crisis with considerable
41 worsening of psychiatric problems might necessitate intervention.
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51 **Randomization**

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54 Eligible participants who have provided written consent are randomly assigned to a CBT
55 group or a control group in a 1:1 ratio. The randomisation is performed using of a computer
56 program supplied by the Unit for Applied Clinical Research, a centre of expertise in the
57 Central Norway Health Region.
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Table 1 SPIRIT table for evaluation of the **Cognitive Behavioural Group Therapy for Adolescents with ADHD – A Randomized Controlled Study**

	Enrolment ¹	Allocation ²	STUDY PERIOD				Close-out
			Post-allocation				
			Pre-	Mid-	Post-	Post+9 months	
		Q1 2017					
		Q2 2017					
Coh1: Q1 2017	Coh1: Q1 2017	Q3 2018	Coh1: Q1 -2017	Coh1: Q1 -2017	Coh1: Q2 -2017	Coh1: Q2 2018	
Coh2: Q3 2017	Coh2: Q3 2017	Q4 2018	Coh2: Q3 -2017	Coh2: Q3 -2017	Coh2: Q4 -2017	Coh2: Q4 2018	
Coh3: Q1 2018	Coh3: Q1 2018	Q5 2019	Coh3: Q1 -2018	Coh3: Q1 -2018	Coh3: Q2 -2018	Coh3: Q2 2019	
Coh4: Q3 2018	Coh4: Q3 2018		Coh4: Q3 -2018	Coh4: Q3 -2018	Coh4: Q4 -2018	Coh4: Q4 2019	
Coh5: Q1 2019	Coh5: Q1 2019		Coh5: Q1 -2019	Coh5: Q1 -2019	Coh5: Q2 -2019	Coh5: Q2 2020	
Coh6: Q3 2019	Coh6: Q3 2019	Q6 2019	Coh6: Q3-2019	Coh6: Q3-2019	Coh6: Q4-2019	Coh6: Q4 2020	Coh6: Q4 2020
ENROLMENT:							
Eligibility screen ³			X				
Informed consent	X						
Allocation		X					
INTERVENTIONS:							
Int.: CBT + medic.			↔				
Ctr.: Medication			↔				
ASSESSMENT:							
Diagnostic evaluation			X				
CGI			X		X	X	
CGAS			X		X	X	
PRIM. OUTCOME:							
ADHD symptoms			X ³		X	X	
SEC. OUTCOMES:							
Behaviour problems	X					X	
Emotional problems	X					X	
Funct. impairment			X		X		
Anxiety			X		X		

Depression			X		X		
Sleep			X		X		
Self-esteem			X		X		
Self-efficacy			X		X	X	
Executive functioning			X		X		
Treatm. satisfaction					X	X	
Treatment fidelity							X

¹Enrollment occurs in the semester of the delivery of the intervention. Each cohort represents a group of adolescents recruited during the semester. ²Allocation (randomization) is conducted at the individual level. ³Study eligibility for individual adolescents is based on ADHD diagnosis and being stable on medication (primary outcome measure).

The Intervention

The research group has developed a manual based on the work of Susan Young and Jessica Bramham.²⁶ These authors have developed a cognitive behaviour therapy program for adults and adolescents with ADHD, which has been modified for adolescents in the present study (see Table 2). The treatment includes group therapy modules addressing core symptoms of ADHD and associated problems. In making a Norwegian adaptation of the Young-Bramham Program, we have collaborated with one of the authors, Professor Susan Young. We tested our manual in a pilot-study at one of the clinics before the study period and made necessary modifications. We found the choice of modules appropriate for the Norwegian clinical population of adolescents with ADHD, however made some language modifications to better suit our young age group of 14-18 year olds. In addition, we removed some details in the material judged less important to our age group to arrive at a slightly simpler format.

Table 2 Core treatment modules in the project research manual

MODULES	
Core symptom modules	What is ADHD?
	Attention
	Memory
	Organization and time management

	Impulsivity
Comorbid disorders and difficulties	Problem solving Communication Anxiety Anger and frustration Sadness and depression Sleep
The future	Preparing for the future

The intervention consists of 12 weekly cognitive behaviour therapy sessions addressing core difficulties and concerns of the adolescent population with ADHD, each session lasting 90 minutes. The manual is structured and includes methods and key points to be worked through in each session. The key points are visualised in a power point presentation. Each group consists of 6-8 participants. Two clinicians conduct the sessions (usually a psychologist working together with either another psychologist, a psychiatrically trained special education teacher or a physician). The group leaders receive manual training and supervision by an experienced adolescent psychiatrist and CBT supervisor. Between sessions, the participants get a weekly phone call by a coach to follow up on home assignments.

Treatment Fidelity

Treatment fidelity in this project has the overall goal of increasing confidence that changes in the dependent variable are attributable to the independent variables. Analysis of treatment fidelity may help to explain study findings, revise interventions for future testing, and increase statistical power and effect size by reducing random and unintended variability.²⁷ All sessions (except session 1 and 12) are videotaped and adherence to the manual and to CBT core principles relevant for this study will be assessed through observation by independent raters of a random selection of around 20% of the sessions. The Competence and Adherence Scale for Cognitive Behavioural Therapy (CAS-CBT)²⁸ covers basic CBT components as well as specific session goals. The user can specify the goals for the particular treatment. The scale was originally developed for the treatment of anxiety disorders, and has shown good to

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3 excellent reliability. Additional items on group dynamics are included. In addition, each group
4 leader will fill in a self-rating scale after each session to evaluate goal achievement in the
5 session and to provide an overall rating of their own work.
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14 **Adherence and dropouts**

16 Treatment adherence is assessed by recording the number of completed CBT sessions. In the
17 case of dropouts, the participants are asked to let the questionnaires filled in before the
18 intervention at T2, remain in the study to be included in the data analysis.
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28 **Outcome measures**

30 Well-established and validated instruments are used to assess psychiatric morbidity and
31 cognitive and over-all functioning at four time-points. When adequate, adolescent, parent and
32 teacher reports are acquired. The study time-points are T1, intake into the CAP-clinic; T2,
33 study baseline assessment; T3, 3-month follow-up and T4, 12-month follow-up after baseline.
34 The following instruments are used to collect data on adolescent psychiatric morbidity
35 including diagnoses, diagnostic classification, symptom load assessment, and psychosocial
36 functioning at one or more time-points T1, T2, T3 and T4 (Table 3).
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45 *ADHD Rating Scale (ADHD-RS)*²⁰ is a questionnaire to be completed by parents (home
46 version) or teachers (school version) to detect ADHD symptoms in children and adolescents.
47 The questionnaire contains 18 questions regarding a child's or an adolescent's behaviour
48 during a specified timeframe rated on a 4-point Likert scale. A self-report version (ADHD-
49 SRS) has been used successfully with adolescents, including treatment studies.²⁹
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55 *K-SADS – PL Schedule for Affective Disorders and Schizophrenia for School-Age Children*
56 *present- Life version*²³ is a semi-structured diagnostic interview designed to assess current
57 and past episodes of psychopathology in children and adolescents. Diagnoses of interest to the
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present study include attention deficit/hyperactivity disorder (AD/HD), anxiety disorders, mood disorders, tic disorders, conduct disorders and sleep disorders.

*Children's Global Assessment Scale (CGAS)*²² is a numeric scale (1 through 100) used to rate the general psychosocial functioning of children under the age of 18. A score above 70 denotes good functioning.

*Clinical Global Impression Severity (CGI-S)*²⁴, is used to rate the severity of a patient's illness at the time of assessment. It is a 7-point scale ranging from 1="Normal, not at all ill" to 7="Among the most extremely ill patients", with 0="Not assessed".

Weiss Functional Impairment Rating Scale for parents and adolescents (WFIRS-P, WFIRS-S),³⁰ are questionnaires appropriate for parent report and adolescent and adult self-report of functional impairment typically affected in ADHD. The questions assess to what degree an individual's behaviour or emotional problems have affected various clinically relevant domains of functioning.

*Screen for Child Anxiety Related Emotional Disorders (SCARED)*³¹ is a 41 items self-report screening questionnaire for anxiety symptoms in youth.

*Mood & Feelings Questionnaire (MFQ)*³² is a 34 items inventory self-report tool that measures depressive symptoms in children and adolescents. One question is from the MFQ parent version.³³

*General Perceived Self Efficacy Scale*³⁴ is a 10-items scale that is designed to assess optimistic self-beliefs to cope with a variety of difficult demands in life.

*Rosenberg Self-Esteem Scale*³⁵ is a 10 items scale widely used self-report instrument for evaluating individual self-esteem in adolescents and adults.

*Behaviour Rating Inventory of Executive Function (BRIEF)*³⁶ parent form (BRIEF-P) is an 86 items assessment of executive function behaviours at home and at school for children and adolescents ages 5–18. The BRIEF self-report (BRIEF-SR) provides an adolescent's or an adult's own view of his or her executive functioning behaviours.

Table 3 Instruments used with participants in the project

	T1:	T2:	T3:	T4*:
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Instruments used in project (informant)	Admission to CAP	Project intake	Outcome at 3 months	Outcome at 12 months
Kiddie-SADS psychiatric interview (S)		x (S)		
ADHD-RS (ADHD-symptoms) (P/T/S)	x (P,T)	x (P,T,S)	x (P,T,S)	x (S)
Children's Global Assessment Scale (CGAS)	x	x	x	x
ASEBA CBCL/YSR/TRF and BP-Monitor(P/T/S)	x (P,T)			x (BP-M, S)
Clinical Global Impression (CGI)		x	x	x
SCARED (Anxiety)(S)		x	x	
Mood & Feelings Questionnaire (MFQ)(S)		x	x	
BRIEF (Executive Functioning) P/T/S	x P,T,S	x (P,T,S)	x (P,T,S)	
Weiss Functional Impairment Rating Scale (P/S)		x	x	
Adolescent Sleep Wake Scale (S)		x	x	
Rosenberg Self-Esteem Scale (S)		x	x	
General Perceived Self-Efficacy Scale (S)		x	x	X (S)
User satisfaction and usefulness of coaching (S)			x	X (S)

S=Self Report P=Parent report T=Teacher Report *TI=Telephone Interview

*ASEBA school-age assessment forms*²⁰ are the CBCL/6-18, completed by parents (120 problem items); the TRF/6-18 (120 problem items), completed by teachers; and the YSR/11-18 (106 problem items and 17 positive qualities items) completed by youths. All three forms include questions related to school, hobbies, chores and inter-personal relations. The forms generate ratings of behavioural and emotional problems and competencies.

*ASEBA-YSR Brief Problem Monitor (YSR-BPM)*²⁰ is a short version of the YSR school-age form used for monitoring and follow-up in research and clinical assessment.

*Adolescent Sleep-Wake Scale*³⁷ is a 28 items scale and widely used measure of sleep quality in adolescents.

Adolescent Interview at one-year follow-up (T4). The telephone interview includes questions about present school situation or other daytime activity, ADHD medication, and furthermore health assessment and treatment in CAP or adult psychiatry, private psychologists or psychiatrists, or primary care during the past nine months. The interviewer administers the ADHD Rating Scale, ASEBA Brief Problem Monitor and General Perceived Self-Efficacy

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3 Scale during the interview. The interviewer scores the CGAS and CGI blinded to information
4 about group participation.
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10 **Medical history and sociodemographic information**

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12 In addition to data collection via validated instruments at T1, T2, T3 and T4, we extract data
13 from medical records, including information about parents SES. Treatment history from T3 to
14 T4 is registered at T4 by type (cognitive, neurobiological, psychodynamic, psycho-
15 educational, social-relational, medication), participant (individual, group, parent, family),
16 number of sessions, length of treatment, in-patient or outpatient, indirect patient work, and
17 counselling from community services. Socioeconomic status will be defined as follows: the
18 highest level of parental education will determine parent SES, divided into four categories (≤ 2
19 years of high school, completed high school + 1 year, ≤ 4 years academy/university, ≥ 5 years
20 four years academy/university).
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34 **Statistics**

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36 Outcome measures at T3 contain ADHD symptom scores, clinician rated functioning, self-
37 and parent reported functioning, self-, parent- and teacher reported executive functioning, and
38 self-reported anxiety, depression, sleep, self-esteem and self-efficacy. Outcome measures at
39 T4 contain ADHD symptom scores, clinician rated functioning, and self-reported functioning,
40 anxiety and depression, and self-efficacy. Data will be analysed using mixed-effect models
41 for longitudinal data. Variables included in the assessment of possible interactions with
42 treatment effect will be age, gender, SES, IQ, type of ADHD, and comorbidity. Clinical and
43 demographic variables will be included in the mixed-effect model to explore these effects.
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45 Unless the participants withdraw the consent to participate, we will use all available data in an
46 intention to treat analyses.
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60 **Sample size**

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3 Sample size was calculated for a two samples student's t-test, for a 6 points difference
4 assuming a standard deviation of 9 on the ADHD Rating Scale.¹⁸ With significance level 5%,
5 we need 37 participants in each group to obtain 80% power. To allow for drop-outs, we aim at
6 including 50 participants in each group, in total 100.
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10 11 12 13 14 15 16 **Ethics and dissemination**

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18 All participating parents and adolescents 16 years or older are required to provide informed
19 consent. The recruitment process explains that participation is voluntary and that participants
20 can withdraw from the study at any time without consequences regarding their treatment in
21 the clinic. The data obtained by questionnaires and clinical assessment is recorded in
22 electronic files that are password protected. Participants receive anonymous study IDs that are
23 stored with the collected data. Identifying information is not stored alongside the parent-, self-
24 or teacher-report data. Only the main investigator and researchers directly involved in data
25 analysis will have access to de-identified data.
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36 The results will be disseminated in peer-reviewed international scientific journals.
37 Furthermore, the study group will present the results of the study at regional, national, and
38 international scientific conferences, in reports to funders, reports to patient advocacy
39 organisations and press releases to news media. Two PhD students will publish and publicly
40 defend dissertations relating to the study. Planned publications include primary and secondary
41 outcomes, feasibility and patient satisfaction with the treatment, and fidelity to the
42 intervention. The CAP clinic and RKBK cooperate extensively with user and patient
43 advocacy organizations, and the research results will be presented to the public during
44 educational seminars arranged by the St. Olav Hospital Learning Centre.
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56 57 **PATIENT AND PUBLIC INVOLVEMENT**

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59 We consulted the user committee in the Clinic of Psychiatry at St. Olav University Hospital,
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3 during the planning state of the study in order to receive feedback to the principal
4 investigator. The ADHD association, Mid-Norway, was informed about the project at its
5 planning stage and recommended it to funding authorities and to members. The CAP clinic
6 has an active co-operative network with user organisations and arranges “learning and
7 mastery” course days where patients with ADHD and family members share their
8 experiences. During the course, clinicians give lectures to patients, families and health care
9 providers. Information about the project has been conveyed on such occasions. We will set up
10 a reference group of adolescents from the Norwegian ADHD association as recently
11 suggested by user organizations. The reference group will aid the project with respect to
12 discussion and dissemination of the results.
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23 DISCUSSION

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26 The results from the project will address key gaps in the literature on the treatment of
27 adolescents with ADHD. The project will provide answers to essential research and clinical
28 questions about the long-term outcome of treating ADHD and co-existing psychiatric
29 symptoms in young people.
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37 A weakness of the present study might be the attrition before study start. The study will not
38 fully answer which psychosocial interventions are beneficial for adolescents with ADHD who
39 for various reasons decline to participate in the study or are excluded from the study at intake.
40 A strength of the study, however, is its delivery in a real world setting using practising clinical
41 staff and covering a total catchment area. Furthermore, compared to the previous RCT of CBT
42 group therapy in adolescent ADHD using waiting list control as the comparison condition,¹⁴
43 the present study employs a different type of control condition with a defined limited clinical
44 follow-up. This is less likely to result in exaggerated short-term effects of the intervention
45 compared to the use of waiting list control.³⁸
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55 A second strength of the present study is the use of multiple informants including teacher
56 assessments in the evaluation of the short-term outcome. Teachers may be as accurate as
57 parents are when evaluating ADHD symptoms³⁹, and provide an important additional
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3 perspective in the assessment of the adolescents. Vidal and colleagues¹⁴ conducted their study
4 in a somewhat older age group than ours and used information from parents and adolescents
5 only. It will be unknown, however, if the teachers in the present study are completely blinded
6 to the assignment of treatment group. At the one-year follow-up, some of our findings may be
7 limited by the fact that the adolescents are the only informants. Self-ratings may be less valid
8 than parent ratings in the prediction of ADHD persistence.⁴⁰
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17 A third strength of our study will be the proposed work related to treatment fidelity. Fidelity,
18 or treatment integrity, as proposed by Dane and Schneider⁴¹ refers to the therapists' ability to
19 follow predefined key components in the program (adherence), as well as the quality of
20 delivery (competence). In addition, dosage, responsiveness from the participants, and program
21 differentiation, meaning avoiding use of central elements from other treatment methods, are
22 relevant factors when measuring fidelity. Fidelity promotes treatment outcome, but still
23 studies including fidelity seem to be lacking.⁴² Enhancing treatment fidelity increases internal
24 validity as well as external validity, and a high degree of treatment fidelity is needed for study
25 replication and for generalisation of the treatment to other treatment facilities.
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36 The results of the present study will generate new knowledge and will potentially improve the
37 quality and effectiveness of treatment for adolescents with ADHD. We will communicate the
38 newly generated knowledge directly to local authorities responsible for healthcare services.
39 Because of this project, clinicians receive training in the delivery of CBT and gain expertise
40 in a new treatment method with the potential to benefit patients with ADHD and facilitate
41 further implementation in daily clinical practice.
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50 Results from this study may be generalised to the regional and national populations. Results
51 will have reduced bias using validated instruments in a clinical population with baseline and
52 follow-up data. To our best knowledge, there is no other study at present, which aims to test
53 the effectiveness of CBT group therapy of adolescents with ADHD, with a program uniquely
54 tailored to the needs of the adolescents and in addition tests for patient satisfaction and
55 treatment fidelity.
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Collaboration

The study is an active collaboration between the Child and Adolescent Psychiatric Clinic, St. Olav University Hospital and the Regional Competence Centre for Youth – Mental Health and Child Welfare, Norwegian University of Science and Technology, Trondheim, Norway. The core research team make up an international, interdisciplinary research group.

TRIAL STATUS

The study has so far recruited 89 patients, will continue to recruit patients through September 2019 and will gather data until December 2020. One PhD-student has been appointed in the project and one more will be appointed in August 2019.

Trial Registration Clinical Trials NCT02937142

Primary Sponsor Child and Adolescent Psychiatric Clinic, St. Olav University Hospital and the Regional Competence Centre for Youth – Mental Health and Child Welfare (RKBU), The Norwegian University of Science and Technology.

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Contributors TSN conceived of the study and wrote the applications for funding. The main research team/steering group undertook the research design: TSN, AMS, PHT and SL. ALJH, the first PhD student in the study, contributed to the CBT treatment manual and participates in the gathering of data. AMS supervises the therapists in the study, while TSN, PHT and SL supervise the PhD students. All authors read and provided substantial contribution to the final version of the study protocol and approved of the final version of the manuscript.

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1
2
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5 Norway Health Trust; The National Research Network for ADHD, Ullevål University
6 Hospital; and the Regional Competence Network for ADHD, RKBU, NTNU.
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10 **Competing interests** PHT has received speaker's fees from MEDICE and Shire within the
11 last three years. AMS has received travel support and congress fee from MEDICE during the
12 last year. The other authors declare no conflicts of interest.
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16 **Patient consent** Not required.
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18 **Ethics approval** The Regional Committee for Medical and Health Research Ethics in South
19 East Norway approved the study protocol (2015/2115).
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23 **Provenance and peer review** Not commissioned; peer reviewed for ethical and funding
24 approval prior to submission.
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27 **Open access**
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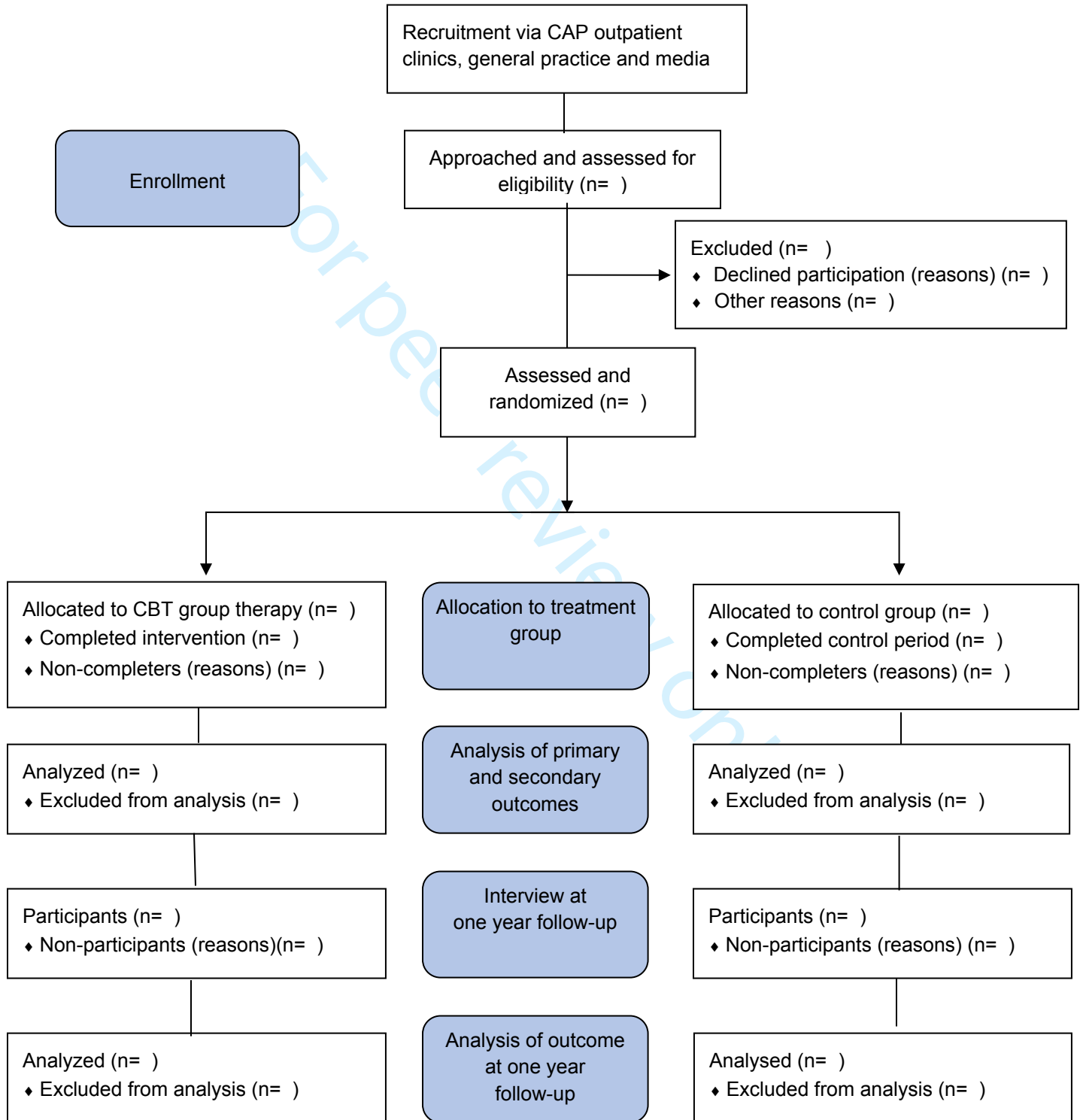
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For peer review only

Figure 1 Flow chart diagram of participants in **Cognitive Behavioural Group Therapy for Adolescents with ADHD – A Randomized Controlled Study**



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Cognitive Behavioural Group Therapy for Adolescents with ADHD - Study Protocol for a Randomised Controlled Trial

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Cognitive Behavioural Group Therapy for Adolescents with ADHD – Study Protocol for a Randomised Controlled Trial

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ABSTRACT

Introduction Persistence of Attention Deficit Hyperactivity Disorder (ADHD) into adolescence is a significant burden to patients. Clinical guidelines recommend non-pharmacological therapies, but the evidence to support this recommendation is sparse. This study aims to study the effect of a 12-week group cognitive behaviour therapy (CBT) program for adolescents with ADHD aged 14-18, who still have impairing symptoms after treatment with medication. We will study the effect of the treatment on ADHD symptoms and examine moderators and mediators of the effect of the treatment on ADHD.

Methods and analysis We conduct a Randomised Controlled Trial (RCT) of CBT group therapy in 96 adolescents with ADHD recruited from child psychiatric outpatient units in Mid-Norway. Those who meet inclusion criteria and consent to participation are randomised to a 12-week group intervention or to a control group receiving treatment as usual. Assessments are made at admission to the clinic, pre-intervention, post-intervention and at post-intervention plus nine months, obtaining adolescent, parent and teacher reports. Clinicians blinded to group participation rate all participants as to their functioning pre-intervention and at the two post-intervention assessment points. The primary outcome is change in symptom scores on the ADHD Rating Scale-IV.

Ethics and dissemination The Regional Committee for Medical and Health Research Ethics in South East Norway approved the study protocol (2015/2115). Findings will be disseminated in peer-reviewed publications and conference presentations, to user organisations and at courses attended by families and professionals. Two PhD students will publish and defend dissertations relating to the study. Planned publications include primary and secondary outcomes and patient satisfaction with the treatment. Furthermore, we plan to publish a manual of CBT group therapy in adolescent ADHD to benefit treatment of patients in Norway and elsewhere.

Trial registration Clinical Trials NCT02937142

Strengths and limitations of this study

- An RCT delivered in a real world setting using practising clinical staff and covering a total catchment area.
- Outcome data collected from multiple informants including parents, adolescents and teachers, and blinded clinician assessment.
- Adequate statistical power to detect a clinically meaningful effect in the primary outcome variable.
- Randomization does not control for therapy moderators and process variables such as comorbidities, level of engagement, readiness for change and family support.
- Bias may be introduced by patients refusing to enter the study or by patients who are not randomised to their treatment of choice.

INTRODUCTION

Attention-deficit/hyperactivity disorder (ADHD) is a neurodevelopmental disorder, which starts in childhood and is characterised by inattention, impulsivity, and hyperactivity that impair functioning.¹ ADHD persists across the lifespan in a majority of patients, and causes significant impairment across multiple domains of daily functioning. A majority of children with ADHD continue to have symptoms and impairment during adolescence.^{2 3} The core symptoms contribute to impairment in executive functioning, inhibitory control, working memory and motivation. These deficits prevent the acquisition and implementation of compensatory skills such as organizing and planning, leading to difficulties in handling everyday challenges. During adolescence, ADHD is associated with low academic achievement, interpersonal difficulties, substance use disorders, mood disorders and anxiety disorders continuing into adult life.^{3 4} A recent study of comorbidity in a large sample of Norwegian adults with ADHD, shows that both men and women had a 4-9 times higher prevalence of anxiety, depression, bipolar and personality disorders, schizophrenia and substance use disorder than the remaining adult population⁵, indicating the potential for introducing preventive measures in young people with ADHD.

Pharmacotherapy with stimulants, atomoxetine or guanfacine is effective in reducing core symptoms of ADHD in most adolescents with moderate to severe ADHD. Medication

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3 may also improve processing speed, work productivity and perseverance.⁶ However,
4 pharmacotherapy alone may not be sufficient to remediate ADHD and its comorbid symptoms
5 and disorders. National and international guidelines for ADHD recommend non-
6 pharmacological therapies as the first-line or add-on treatment for young people with ADHD,
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7⁸ even though there is inadequate evidence to support this recommendation. Specifically,
there is limited evidence to support psychological treatments in adolescents with ADHD
which have been less studied than psychological treatments in children.⁹

Cognitive behavioural therapy (CBT) is a well-known psychological treatment for
mental disorders across disorders and age groups. Meta analyses have documented significant
treatment effects on disorders such as Obsessive Compulsive Disorder (OCD), anxiety, and
depression across age groups and in ADHD in adults.^{10 11} There are currently only three
published studies on CBT with adolescents with ADHD, and thus little knowledge exists
about short term and long-term treatment effects of CBT on ADHD in this age group.¹²⁻¹⁴
Vidal and colleagues¹⁴ found significant improvement in adaptive functioning as well as
ADHD symptoms in the treatment group in their RCT of adolescents aged 15-21. Patients
with emotional disorders were excluded from the study. It is therefore unknown to what
extent CBT treatment could help ADHD patients with comorbid emotional disorders, which
are frequent in a teenage population with ADHD.¹⁵

Previous studies on CBT in other conditions than ADHD have found that different
moderators and mediators have implications for treatment effects. For example in the
treatment of OCD, comorbidity, age, sex, and lower quality of life were found to be important
moderators and predictive of treatment effect.¹⁶ Age, symptom severity, comorbidity rate and
adaptive functioning seem to moderate the effect of CBT in patients with depression.¹⁷ Since
research on adolescents with ADHD is scarce, we have very limited knowledge of which
moderators make the most impact in this patient group. Of note, Vidal and colleagues¹⁴ did
not reveal any moderating effect of demographic variables in their study of CBT group
therapy outcome.

As there is little knowledge about the short-term outcome psychological treatment
programs in adolescents with ADHD, and even less knowledge about long-term outcomes, a
study of CBT group therapy would fill a gap in the treatment literature. It would be crucial to
know if clinically relevant changes in psychiatric symptoms and functioning were associated
with the applied treatment program and particularly whether the observed changes last over
time. Such a project would have the potential to provide results that could help fill knowledge
gaps and promote improved quality and efficacy of services for adolescents with ADHD.

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3 When planning a study of CBT group therapy in adolescents with ADHD, we found
4 no manual in a Scandinavian language suited to the purpose. Two of the authors (TSN and
5 ALH) therefore developed a manual in Norwegian based on the work of Susan Young and
6 Jessica Bramham¹⁸. The Young-Bramham program includes group therapy modules
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8 addressing core symptoms of ADHD and associated problems. In making the Norwegian
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10 adaptation for adolescents, we collaborated with one of the authors, Professor Susan Young,
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12 and tested the manual and the feasibility of the treatment in a small pilot-study. We chose
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14 modules appropriate for a Norwegian clinical population of adolescents with ADHD, and
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16 included the core symptom modules and modules addressing, anxiety, depression, sleep
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18 problems and communication. We made some language modifications to better suit a young
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20 age group of 14-18 year olds. Based on the findings from the pilot study (see Methods) we
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22 concluded that it would be feasible to undertake a study examining the effect of CBT group
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24 treatment in adolescents with ADHD.
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29 Aims

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31 The study Cognitive Behaviour Group Therapy in Adolescents with Attention Deficit
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33 Hyperactivity Disorder (*Clinical Trials*, NCT02937142), aims to improve the quality and
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35 effectiveness of treatment and care of adolescents with ADHD. We aim to obtain new
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37 knowledge related to group CBT in adolescents with ADHD 14-18 years of age referred to
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39 assessment and treatment at the Child & Adolescent Psychiatric (CAP) Clinic, St. Olav
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41 University Hospital, Trondheim, Norway. The primary outcome investigated will be treatment
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43 effect on ADHD symptoms in a 12-week manual based group CBT treatment program. We
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45 will study the effect post treatment and at post treatment plus nine months follow-up. The
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47 secondary outcomes will be characteristics of functional impairment and psychiatric
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49 symptoms and the study of possible moderators and mediators of treatment effects.
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51 Furthermore, we wish to study the feasibility of the intervention, patient satisfaction and
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53 treatment fidelity, and identify therapist factors associated with positive outcomes. The long-
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55 term goal of the study group is to publish a Norwegian evidence based treatment manual for
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57 the benefit of patients locally and elsewhere.
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METHODS AND ANALYSIS

Pilot study

We conducted an 11-week group CBT treatment in eight adolescents with ADHD 15-17 years of age in 2015, based on the first version of the manual. A special education specialist and a psychologist experienced with ADHD conducted the therapy. The participants received a phone call between sessions to remind them about homework assignments as recommended by Lily Hechtman (American Academy of Child & Adolescent Psychiatry, Annual Meeting, San Diego, USA, 2014). Six of the eight adolescents finished the program and filled out a questionnaire about their experience with the therapy. Parents responded to a short telephone interview. The adolescents reported that the program had increased their knowledge of ADHD, that the material presented was useful and that the program was well adapted to personal challenges. They found the proposed techniques understandable. Further, they reported that the group experience was enjoyable and that hearing about other participants' experience was helpful. They were uncertain about planning to use the newly acquired techniques in the future. The parents were generally positive towards the treatment. The majority of the adolescents had made comments at home about the group learning experience and some had started to use new strategies in their schoolwork. Half of the adolescents appeared more self-confident after completing the program. Doing the homework in between sessions and making use of the new strategies in real life was a challenge to the adolescents, as reported by the parents. Based on these findings we concluded that it would be feasible to undertake a study examining the effect of CBT group treatment in adolescents with ADHD. To finalize the study manual we made further language modifications to better suit a young age group of 14-18 year olds. In addition, we removed some details in the material judged less important to this age group. We decided to use telephone coaching in-between sessions to encourage adolescents to extend new strategies into real life situations.

The main study design

The design is a randomised, controlled, rater-blinded study to evaluate the effectiveness of CBT group therapy for adolescents aged 14 to 18 with ADHD. We recruit adolescent patients, who receive medical treatment but still have impairing symptoms into the study. The patients are randomly assigned to the intervention group or a control group who receive treatment as

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3 usual. Eligible participants who provide written consent are randomly assigned to the
4 intervention and control groups in a 1:1 ratio. The randomisation is performed using a
5 computer program supplied by the Unit for Applied Clinical Research, a centre of expertise in
6 the Central Norway Health Region. The two groups are followed prospectively to assess the
7 effectiveness of the CBT group program. We completed the Standard Protocol Items;
8 Recommendations for Intervention Trials (SPIRIT) ¹⁹ checklist of recommended items to
9 address in a clinical trial process. A flow chart for the timeline for recruitment, follow-up
10 assessments and undertaking analyses is shown in Figure 1.
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26 **Study Recruitment**

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28 Patients 14 to 18 years of age with a diagnosis of ADHD according to ICD-10²⁰ are recruited
29 to the study from two Child & Adolescent Psychiatric outpatient units at the St. Olav
30 University Hospital with a catchment area of around 230.000 inhabitants (city of Trondheim
31 and a few surrounding municipalities). The recruitment period lasts two years and nine
32 months starting in winter 2017 and ongoing until mid- September 2019. Very few private
33 practitioners do assessments and treatment of suspected ADHD adolescents in the area. The
34 number of adolescents with ADHD as the main diagnosis or comorbid diagnosis in the age
35 group 14-18 years in the outpatient clinics was 330 as per February 2019. Most adolescent
36 patients with ADHD are prescribed medication. We assess and recruit a few additional
37 participants in the study from general practitioners responsible for patients discharged from
38 the clinic on stable medication, through user organisations, and through advertisements in
39 media and the local newspaper.
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53 **Participants and procedure**

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55 The diagnostic process at the admission to the clinic (T1) includes information from multiple
56 informants (patients, parents and teachers), including developmental history, somatic status
57 and school functioning. The routine assessment includes interviews with the adolescent and
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3 parents, and the administration of various questionnaires. These include an assessment of
4 emotional and behaviour problems with the ASEBA Checklists²¹ and ADHD symptoms by
5 the ADHD Rating Scale-IV (ADHD-RS-IV).²² IQ scores are obtained using Wechsler
6 Intelligence Scales for Children-WISC-IV.²³ Adaptive functioning is scored using the
7 Children's Global Assessment Scale (CGAS).²⁴ Routine clinical treatment include adolescent
8 and parent education about ADHD. Patients with moderate to severe ADHD symptoms are
9 offered medical treatment. A brief intervention for emotional problems may be given before
10 starting medical treatment if indicated. We evaluate the patients in relation to the inclusion
11 criteria after at least one month of stable medical treatment with the same medication and
12 dosage.

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21 *Inclusion criteria.* A diagnosis of ADHD and a Clinical Global Impression Severity
22 (CGI-S)²⁵ score ≥ 3 (mildly ill, some impairment in one setting). Participants should receive
23 medical treatment for ADHD, but patients may be included in the study if they have tried
24 medication with little effect or experienced intolerable side effects. Participants with
25 comorbid diagnoses (mild to moderate depressive disorders, anxiety disorders, bipolar
26 disorders, behavioural disorders, tic disorders and mild degree of autism spectrum disorders)
27 are included in the study. *Exclusion criteria* are psychosis, mental retardation (IQ < 70),
28 ongoing substance use disorder, severe conduct disorder, suicidal behaviour and severe
29 depression.

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36 The adolescent (and parents) are asked to participate in the study through an open
37 invitation brochure providing information about the aim of the project, the randomization
38 process and the intervention. We invite adolescents who consent to participate into the study.
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44 **Assessment procedures**

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46 Two clinicians, a clinical neuropsychologist and a child and adolescent psychiatrist, interview
47 the adolescents using a semi-structured psychiatric interview, the Kiddie-SADS PL.²⁶ We also
48 assess executive functions, general adaptive functioning, anxiety and depression, sleep
49 patterns, self-esteem and self-efficacy. After the pre-intervention assessments (T2), follow up
50 evaluations are performed post-intervention (T3) and at post-intervention plus 9 months (T4).
51 All participants fill in questionnaires at T2 and T3. Participants in the intervention group fill
52 out an additional questionnaire about patient satisfaction with the therapy, at T3. Clinicians
53 blinded to patient assignment complete pre- and post-evaluations. All participants medicated
54 for ADHD are asked during a weekly telephone call if they use their medication as prescribed
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3 and further if they have started psychotherapy or other treatment. During the interview at T4,
4 the participants report on ADHD symptoms, emotional and behavioural problems, school
5 functioning and self-efficacy. The interviewers score general and adaptive functioning. In
6 addition, the subjects are asked about current and past treatment during the past 9 months and
7 their impression of the CBT group therapy in a longer perspective. See Table 1 for a
8 SPIRIT¹⁹ table for the evaluation of the study.
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For peer review only

Table 1 SPIRIT table for evaluation of the **Cognitive Behavioural Group Therapy for Adolescents with ADHD – A Randomised Controlled Trial**

	Enrolment ¹	Allocation ²	STUDY PERIOD				Close-out
			Post-allocation				
			Pre-T2	Mid-T2	Post-T2	Post-+9 months T4	
Coh1: Q1 2017	Coh1: Q1 2017	Q1 2017	Coh1: Q1 -2017	Coh1: Q1 -2017	Coh1: Q2 -2017	Coh1: Q2 2018	
Coh2: Q3 2017	Coh2: Q3 2017	Q2 2017	Coh2: Q3 -2017	Coh2: Q3 -2017	Coh2: Q4 -2017	Coh2: Q4 2018	
Coh3: Q1 2018	Coh3: Q1 2018	Q3 2018	Coh3: Q1 -2018	Coh3: Q1 -2018	Coh3: Q2 -2018	Coh3: Q2 2019	
Coh4: Q3 2018	Coh4: Q3 2018	Q4 2018	Coh4: Q3 -2018	Coh4: Q3 -2018	Coh4: Q4 -2018	Coh4: Q4 2019	
Coh5: Q1 2019	Coh5: Q1 2019	Q5 2019	Coh5: Q1 -2019	Coh5: Q1 -2019	Coh5: Q2 -2019	Coh5: Q2 2020	
Coh6: Q3 2019	Coh6: Q3 2019	Q6 2019	Coh6: Q3-2019	Coh6: Q3-2019	Coh6: Q4-2019	Coh6: Q4-2020	Coh6: Q4-2020
ENROLMENT:							
Eligibility screen ³			X				
Informed consent	X						
Allocation		X					
INTERVENTIONS:							
Int.: CBT + medic.			↔				
Ctr.: Medication			↔				
ASSESSMENT:							
Psychiatric diagnosis ⁴			X				
Illness severity ⁵			X		X	X	
Psychosocial Funct. ⁶			X		X	X	
PRIMARY OUTCOME							
ADHD symptoms ⁷			X ³		X	X	
SECOND OUTCOMES							
Behaviour problems ⁸						X	
Emotional problems ⁸						X	
Functional Impairment ⁹			X		X		
Anxiety ¹⁰			X		X		
Depression ¹¹			X		X		
Sleep ¹²			X		X		
Self-esteem ¹³			X		X		
Self-efficacy ¹⁴			X		X	X	
Executive functioning ¹⁵			X		X		
Treatment satisfaction					X	X	
Treatment fidelity							X

¹Enrollment occurs in the semester of the delivery of the intervention. Each cohort represents a group of adolescents recruited during the semester. ²Allocation (randomization) is conducted at the individual level. ³Study eligibility for individual adolescents is based on ADHD diagnosis and being stable on medication (primary outcome measure). ⁴Kiddie-SADS-PL, ⁵Clinical Global Impression Severity, ⁶Children's Global Assessment Scale, ⁷ADHD-Rating Scale-IV, ⁸ASEBA Brief Problem-Monitor, ⁹Weiss Functional Impairment Rating Scale, ¹⁰SCARED, ¹¹Mood & Feelings Questionnaire, ¹²Adolescents' Sleep-Wake Scale, ¹³Rosenberg Self-Esteem Scale, ¹⁴General

Perceived Self-Efficacy Scale.

Interventions

Table 2 Core treatment modules in the research manual

	MODULES	SESSION
Core symptom modules	What is ADHD?	1
	Attention	2
	Memory	3
	Organization and time management	4
	Impulsivity	5
Comorbid disorders and difficulties	Problem solving	6
	Anxiety	7
	Sadness and depression	8
	Sleep	8
	Communication	9
	Frustration and anger	10
The future	Preparing for the future	11, 12

CBT group. The intervention consists of 12 weekly cognitive behaviour therapy sessions addressing core difficulties and concerns of the adolescent population with ADHD, each session lasting 90 minutes. The last two sessions consists of a review of the contents and planning for the future (Table 2). Parents are not involved in the treatment sessions. The manual is structured and includes methods and key points, which are used in each session. The key points are visualised in a power point presentation. Each group consists of 6-8 participants. Two clinicians conduct the sessions (usually a psychologist working together with either another psychologist, a psychiatrically trained special education teacher or a physician). The group leaders receive manual training and supervision by an experienced adolescent psychiatrist and CBT supervisor. Between sessions, the participants get a weekly phone call by a coach to motivate the adolescents and follow up on home assignments. Participants do not receive any other treatment than CBT group therapy and medication in the 12-week period. One routine medical follow-up is performed during the intervention period, with registration of blood pressure, weight and side effects of medication according to standard clinical follow-up in the CAP clinic.

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3 *Control group.* Participants in the control group continue with medical treatment and receive
4 one routine medical follow-up according to standard clinical follow-up in the same way as the
5 intervention group. This is in accordance with treatment-as-usual, as only medical treatment is
6 offered routinely in the clinic at this stage. Participants who do not use medication receive one
7 session with a clinician to monitor their clinical status. After the post-treatment assessments
8 (at T3), there is no offering to enter a CBT group, but patients may have other treatments
9 according to their clinical needs.
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18 **Treatment Fidelity**

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21 Treatment fidelity in this project has the overall goal of increasing confidence that changes in
22 the dependent variable are attributable to the independent variables. Analysis of treatment
23 fidelity may help to explain study findings, revise interventions for future testing, and increase
24 statistical power and effect size by reducing random and unintended variability.²⁷ All
25 sessions (except session 1 and 12) are videotaped and adherence to the manual and to CBT
26 core principles relevant for this study will be assessed through observations by independent
27 raters from a random selection of around 20% of the sessions. The Competence and
28 Adherence Scale for Cognitive Behavioural Therapy (CAS-CBT)²⁸ covers basic CBT
29 components as well as specific session goals. The user can specify the goals for the particular
30 treatment. The scale was originally developed for the treatment of anxiety disorders. It has
31 shown good to excellent reliability. Additional items on group dynamics are included. In
32 addition, each group leader will fill in a self-rating scale after each session to evaluate goal
33 achievement in the session and to provide an overall rating of their own work.
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47 **Adherence and dropouts**

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49 Treatment adherence is assessed by recording the number of completed CBT sessions. In the
50 case of dropouts, the participants are asked to let the questionnaires filled in before the
51 intervention at T2, remain in the study to be included in the data analysis.
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58 **Outcome measures**

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3 Well-established and validated instruments are used to assess psychiatric morbidity and
4 cognitive and over-all functioning at four time-points. When adequate, adolescent, parent and
5 teacher reports are acquired. The following instruments are used to collect data from various
6 informants on adolescent psychiatric morbidity including diagnoses, diagnostic classification,
7 symptom load assessment, and psychosocial functioning at one or more time-points from T1-
8 T4 (Table 3).
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14 *ADHD Rating Scale IV (ADHD-RS-IV)*²² is a questionnaire completed by parents (home
15 version) or teachers (school version) to detect ADHD symptoms in children and adolescents.
16 The questionnaire contains 18 questions regarding a child's or an adolescent's behaviour
17 during a specified timeframe rated on a 4-point Likert scale.
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22 *K-SADS – PL Schedule for Affective Disorders and Schizophrenia for School-Age Children*
23 *present- Life version*²⁶ is a semi-structured diagnostic interview designed to assess current
24 and past episodes of psychopathology in children and adolescents. Diagnoses of interest to the
25 present study include ADHD, anxiety disorders, mood disorders, tic disorders, conduct
26 disorders and sleep disorders.
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31 *Children's Global Assessment Scale (CGAS)*²⁴ is a numeric scale (1 through 100) used to rate
32 the general psychosocial functioning of children under the age of 18. A score above 70
33 denotes good functioning.
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37 *Clinical Global Impression Severity (CGI-S)*²⁵, is used to rate the severity of a patient's
38 illness at the time of assessment. It is a 7-point scale ranging from 1="Normal, not at all ill" to
39 7="Among the most extremely ill patients", with 0="Not assessed".
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43 *Weiss Functional Impairment Rating Scale* for parents and adolescents (WFIRS-P, WFIRS-S)
44 ²⁹ are questionnaires appropriate for parent report and adolescent and adult self-report of
45 functional impairment typically affected in ADHD. The questions assess to what degree an
46 individual's behaviour or emotional problems have affected various clinically relevant
47 domains of functioning.
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52 *Screen for Child Anxiety Related Emotional Disorders (SCARED)*³⁰ is a 41 items self-report
53 screening questionnaire for anxiety symptoms in youth.
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57 *Mood & Feelings Questionnaire (MFQ)*³¹ is a 33 items inventory self-report tool that
58 measures depressive symptoms in children and adolescents. The last question in the 34 item
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Norwegian version, “I wasn’t as happy as usual even when praised and rewarded”, is from the MFQ parent version.³²

*General Perceived Self Efficacy Scale*³³ is a 10-items scale designed to assess optimistic self-beliefs to cope with a variety of difficult demands in life.

*Rosenberg Self-Esteem Scale*³⁴ is a 10 items scale widely used self-report instrument for evaluating individual self-esteem in adolescents and adults.

*Behaviour Rating Inventory of Executive Function (BRIEF)*³⁵ parent form (BRIEF-P) is an 86 items assessment of executive function behaviours at home and at school for children and adolescents ages 5–18. The BRIEF self-report (BRIEF-SR) provides an adolescent’s or an adult’s own view of his or her executive functioning behaviours.

Table 3 Instruments used with various informants at admission to the CAP clinic and during time points in the study

Instruments used in study (informant)	T1: Admission to CAP	T2: Pre-	T3: Post-	T4*: Post- + 9 months
Kiddie-SADS psychiatric interview (S)		x		
ADHD-RS (ADHD-symptoms) (P,T,S)	X(P,T)	X (P,T,S)	X (P,T,S)	X(S)
Children’s Global Assessment Scale (CGAS)(C)	x	x	x	x
ASEBA YSR Brief Problem-Monitor (S)				x
Clinical Global Impression (CGI) (C)		x	x	x
SCARED (Anxiety)(S)		x	x	
Mood & Feelings Questionnaire (MFQ)(S)		x	x	
BRIEF (Executive Functioning) P,T,S	X (P,T)	X (P,T,S)	X (P,T,S)	
Weiss Functional Impairment Rating Scale (P,S)		x	x	
Adolescent Sleep Wake Scale (S)		x	x	
Rosenberg Self-Esteem Scale (S)		x	x	
General Perceived Self-Efficacy Scale (S)		x	x	x
User satisfaction, usefulness of coaching (S)			x	x

S=Self Report P=Parent report T=Teacher Report C=Clinician evaluation *Telephone Interview

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3 *ASEBA-YSR Brief Problem Monitor* (YSR-BPM)²¹ is a short version of the Youth Self
4 Report school-age form. It includes questions about behavioural and emotional problems and
5 is used for monitoring and follow-up in research and clinical assessment.
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9 *Adolescent Sleep-Wake Scale*³⁶ is a 28 items scale and widely used measure of sleep quality
10 in adolescents.
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13 *Adolescent Interview* at one-year follow-up (T4). The telephone interview includes questions
14 about present school situation or other daytime activity, ADHD medication, and furthermore
15 health assessment and treatment in CAP or adult psychiatry, private psychologists or
16 psychiatrists, or primary care during the past nine months. The interviewer administers the
17 ADHD-RS-IV, YSR-BPM and the General Perceived Self-Efficacy Scale during the
18 interview. The interviewer scores the CGAS and CGI blinded to information about group
19 participation.
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29 **Medical history and sociodemographic information**

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31 In addition to data collection via validated instruments at T1, T2, T3 and T4, we extract data
32 from medical records, including information about parent Socioeconomic Status (SES).
33 Treatment history from T3 to T4 is registered at T4 by type (cognitive, neurobiological,
34 psychodynamic, psycho-educational, social-relational, medication), participant (individual,
35 group, parent, family), number of sessions, length of treatment, in-patient or outpatient,
36 indirect patient work, and counselling from community services. SES will be defined as
37 follows: the highest level of parental education will determine parent SES, divided into four
38 categories (≤ 2 years of high school, completed high school + 1 year, ≤ 4 years
39 academy/university, ≥ 5 years four years academy/university).
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50 **Statistics**

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52 Outcome measures at T3 contain ADHD symptom scores, clinician rated functioning, self-
53 and parent reported functioning, self-, parent- and teacher reported executive functioning, and
54 self-reported anxiety, depression, sleep, self-esteem and self-efficacy. Outcome measures at
55 T4 contain self-reported ADHD symptom scores, clinician rated functioning, self-reported
56 functioning, anxiety and depression, and self-efficacy. Data will be analysed using mixed-
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3 effect models for longitudinal data. Variables included in the assessment of possible
4 interactions with treatment effect will be age, gender, SES, IQ, type of ADHD, and
5 comorbidity. Clinical and demographic variables will be included in the mixed-effect model
6 to explore these effects. Unless the participants withdraw the consent to participate, we will
7 use all available data from participants with relevant outcome data in an intention-to-treat
8 analysis.
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17 **Sample size**

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19 Sample size was calculated for a two samples student's t-test, for a 6 points difference
20 assuming a standard deviation of 9 on the ADHD-RS-IV.²² The rationale for the use of a 6
21 points difference is supported by the work of Coghill and Seth³⁷ who provide a guide for
22 clinical interpretation of scores from the ADHD-RS-IV. They interpret total scores of 19-26
23 after a medication trial as partial response, and 26 % reduction in scores as a good response.
24 The two previous RCTs of CBT in adolescent ADHD used a 6 points difference in ADHD-RS
25 scores¹⁴ or a 30 % reduction in ADHD-RS scores¹³, respectively. With significance level 5 %,
26 we need 37 participants in each group to obtain 80 % power. To allow for dropouts, we aim at
27 including 48 participants in each group, in total 96.
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39 **Ethics and dissemination**

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41 All participating parents and adolescents 16 years or older are required to provide informed
42 consent. The recruitment process explains that participation is voluntary and that participants
43 can withdraw from the study at any time without consequences regarding their treatment in
44 the clinic. All participants receive documents explaining the purpose and procedures in the
45 study. We record the data obtained by questionnaires and clinical assessment in electronic
46 files that are password protected. Participants receive anonymous study IDs that are stored
47 with the collected data. Identifying information is not stored alongside the parent-, self- or
48 teacher-report data. Only the main investigator and researchers directly involved in data
49 analysis will have access to de-identified data.
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56 We will disseminate the results in peer-reviewed international scientific journals.
57 Furthermore, the study group will present the results of the study at regional, national, and
58 international scientific conferences, in reports to funders, reports to patient advocacy
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3 organisations and press releases to news media. Two PhD students will publish and publicly
4 defend dissertations relating to the study. Planned publications include primary and secondary
5 outcomes, feasibility and patient satisfaction with the treatment, and fidelity to the
6 intervention. The CAP clinic and Regional Centre for Child and Youth Mental Health and
7 Child Welfare (RKBU) cooperate extensively with user and patient advocacy organizations,
8 and the research results will be presented to the public during educational seminars arranged
9 by the St. Olav Hospital Learning Centre.
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18 PATIENT AND PUBLIC INVOLVEMENT

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21 We consulted the user committee in the Clinic of Psychiatry at St. Olav University Hospital,
22 during the planning state of the study in order to receive feedback to the principal
23 investigator. The ADHD association, Mid-Norway, was informed about the project at its
24 planning stage and recommended it to funding authorities and to members. The CAP clinic
25 has an active co-operative network with user organisations and arranges “learning and
26 mastery” course days where patients with ADHD and family members share their
27 experiences. During the course, clinicians give lectures to patients, families and health care
28 providers. Information about the project has been conveyed on such occasions. We will set up
29 a reference group of adolescents from the Norwegian ADHD association as recently
30 suggested by user organizations. The reference group will aid the project with respect to
31 discussion and dissemination of the results.
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43 DISCUSSION

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46 To date there are few RCT studies of CBT in adolescent ADHD. We have designed an RCT
47 that aims to test the effectiveness of CBT group therapy, with a program uniquely tailored to
48 the needs of the adolescents. In addition, we will test for patient satisfaction and treatment
49 fidelity. We test the effectiveness of CBT group therapy in a younger age group than a
50 previous RCT of CBT group therapy in adolescents and young adults with ADHD¹⁴.
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53 The present study offers an advantage in its delivery of group CBT in a real world
54 setting using practising clinical staff and covering a total catchment area. The study employs a
55 different type of control condition than previous studies¹⁴ with a defined limited clinical
56 follow-up. This is less likely to result in exaggerated short-term effects of the intervention
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3 compared to the use of waiting list controls.³⁸ Another advantage is the use of multiple
4 informants in the assessment of the outcome. Teachers may be as accurate as parents are
5 when evaluating ADHD symptoms³⁹ and provide an important additional perspective to
6 parents and adolescents.
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10 At the follow-up at 9 months post treatment, some findings may be limited by the fact
11 that the adolescents are the only informants. Self-ratings may be less valid than parent ratings
12 in the prediction of ADHD persistence.⁴⁰ The sample recruitment may be biased in ways that
13 are difficult to measure. For example, participants may be those who are more engaged and
14 ready for change or have better family support. Further, randomization does not control for
15 therapy moderators such as psychiatric comorbidities and personality factors associated with
16 these comorbidities. In addition, bias may be introduced by adolescents who refuse to enter
17 the study, or by adolescents who do not get their treatment of choice after randomization.
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24 If the group CBT is shown to be significantly more effective compared to treatment-
25 as-usual, this may encourage the wider dissemination and utilization of the treatment in the
26 care of adolescents with ADHD. Such initiatives have the potential to increase the number of
27 adolescents who receive effective care to benefit patients in Norway and elsewhere. When
28 designing the study we decided to examine the effect of group CBT as an add-on to
29 medication, as this seems a reasonable first step before studying the effect of the treatment in
30 adolescents who do not receive medication. Steps might be taken to study the feasibility and
31 treatment effects in adolescents who do not use medication in the future.
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40 **Collaboration**

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42 The study is an active collaboration between the Child and Adolescent Psychiatric Clinic, St.
43 Olav University Hospital and the Regional Competence Centre for Youth – Mental Health
44 and Child Welfare, Norwegian University of Science and Technology, Trondheim, Norway.
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46 The core research team make up an international, interdisciplinary research group.
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53 **TRIAL STATUS**

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55 The study has so far recruited 89 patients, will continue to recruit patients through September
56 2019 and will gather data until December 2020. One PhD-student has been appointed in the
57 project and one more will be appointed in August 2019.
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3 **Trial Registration** Clinical Trials NCT02937142
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5 **Primary Sponsor** Child and Adolescent Psychiatric Clinic, St. Olav University Hospital and
6 the Regional Competence Centre for Youth – Mental Health and Child Welfare (RKBU), The
7 Norwegian University of Science and Technology.
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11 **Protocol version** February 2019
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13
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15 significant contribution to the treatment manual.
16
17

18 **Contributors** TSN conceived of the study and wrote the applications for funding. The main
19 research team/steering group undertook the research design: TSN, AMS, PHT and SL. ALJH,
20 the first PhD student in the study, contributed to the CBT treatment manual and participates in
21 the gathering of data. AMS supervises the therapists in the study, while TSN, PHT and SL
22 supervise the PhD students. All authors read and provided substantial contribution to the final
23 version of the study protocol and approved of the final version of the manuscript.
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30 University Hospital and the Regional Competence Centre for Youth–Mental Health and Child
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34 Norway Health Trust; The National Research Network for ADHD, Ullevål University
35 Hospital; and the Regional Competence Network for ADHD, RKBU, NTNU.
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42 **Competing interests** PHT has received speaker's fees from MEDICE and Shire within the
43 last three years. AMS has received travel support and congress fee from MEDICE during the
44 last year. The other authors declare no conflicts of interest.
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48 **Patient consent** Not required.
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51 **Ethics approval** The Regional Committee for Medical and Health Research Ethics in South
52 East Norway approved the study protocol (2015/2115).
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55 **Provenance and peer review** Not commissioned; peer reviewed for ethical and funding
56 approval prior to submission.
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59 **Open access**
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Legend Table 1

¹Enrollment occurs in the semester of the delivery of the intervention. Each cohort represents a group of adolescents recruited during the semester. ²Allocation (randomization) is conducted at the individual level. ³Study eligibility for individual adolescents is based on ADHD diagnosis and being stable on medication (primary outcome measure). ⁴Kiddie-SADS-PL, ⁵Clinical Global Impression Severity, ⁶Children's Global Assessment Scale, ⁷ADHD-Rating Scale-IV, ⁸ASEBA Brief Problem-Monitor, ⁹Weiss Functional Impairment Rating Scale, ¹⁰SCARED, ¹¹Mood & Feelings Questionnaire, ¹²Adolescents' Sleep-Wake Scale, ¹³Rosenberg Self-Esteem Scale, ¹⁴General Perceived Self-Efficacy Scale.

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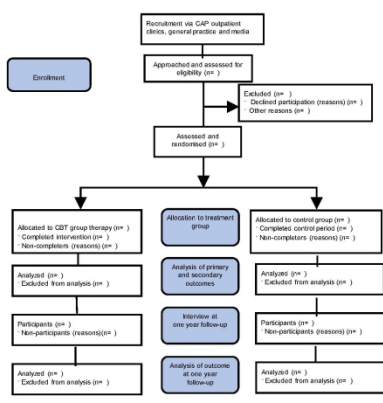
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5 Legend Table 3
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9 S=Self Report P=Parent report T=Teacher Report C=Clinician evaluation *Telephone Interview
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Figure 1 Flow chart diagram of participants in Cognitive Behavioural Group Therapy for Adolescents with ADHD – A Randomised Controlled Study



210x297mm (300 x 300 DPI)



SPIRIT 2013 Checklist: Recommended items to address in a clinical trial protocol and related documents*

Section/item	Item No	Description
Administrative information		
Title	1	Descriptive title identifying the study design, population, interventions, and, if applicable, trial acronym. Pages 1 and 2.
Trial registration	2a	Trial identifier and registry name. If not yet registered, name of intended registry. Page 2.
	2b	All items from the World Health Organization Trial Registration Data Set. N/a
Protocol version	3	Date and version identifier. Page 18.
Funding	4	Sources and types of financial, material, and other support. Page 19.
Roles and responsibilities	5a	Names, affiliations, and roles of protocol contributors. Page 19.
	5b	Name and contact information for the trial sponsor. N/a.
	5c	Role of study sponsor and funders, if any, in study design; collection, management, analysis, and interpretation of data; writing of the report; and the decision to submit the report for publication, including whether they will have ultimate authority over any of these activities. N/a
	5d	Composition, roles, and responsibilities of the coordinating centre, steering committee, endpoint adjudication committee, data management team, and other individuals or groups overseeing the trial, if applicable (see Item 21a for data monitoring committee). Page 19
Introduction		
Background and rationale	6a	Description of research question and justification for undertaking the trial, including summary of relevant studies (published and unpublished) examining benefits and harms for each intervention. Page 4-5
	6b	Explanation for choice of comparators. Page 17-18
Objectives	7	Specific objectives or hypotheses. Page 5

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2 Trial design 8 Description of trial design including type of trial (eg, parallel group,
3 crossover, factorial, single group), allocation ratio, and framework (eg,
4 superiority, equivalence, noninferiority, exploratory). Page 6.
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8 **Methods: Participants, interventions, and outcomes**
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10 Study setting 9 Description of study settings (eg, community clinic, academic hospital)
11 and list of countries where data will be collected. Reference to where
12 list of study sites can be obtained. Page 7
13

14 Eligibility criteria 10 Inclusion and exclusion criteria for participants. If applicable, eligibility
15 criteria for study centres and individuals who will perform the
16 interventions (eg, surgeons, psychotherapists). Page 8.
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19 Interventions 11a Interventions for each group with sufficient detail to allow replication,
20 including how and when they will be administered. Page 11
21

22 11b Criteria for discontinuing or modifying allocated interventions for a
23 given trial participant (eg, drug dose change in response to harms,
24 participant request, or improving/worsening disease). N/a.
25

26 11c Strategies to improve adherence to intervention protocols, and any
27 procedures for monitoring adherence (eg, drug tablet return,
28 laboratory tests). Pages 8
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30 11d Relevant concomitant care and interventions that are permitted or
31 prohibited during the trial. Page 11
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34 Outcomes 12 Primary, secondary, and other outcomes, including the specific
35 measurement variable (eg, systolic blood pressure), analysis metric
36 (eg, change from baseline, final value, time to event), method of
37 aggregation (eg, median, proportion), and time point for each
38 outcome. Explanation of the clinical relevance of chosen efficacy and
39 harm outcomes is strongly recommended. Pages 12-15
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42 Participant 13 Time schedule of enrolment, interventions (including any run-ins and
43 timeline washouts), assessments, and visits for participants. A schematic
44 diagram is highly recommended (see Figure) Table 1, page 10
45

46 Sample size 14 Estimated number of participants needed to achieve study objectives
47 and how it was determined, including clinical and statistical
48 assumptions supporting any sample size calculations. Page 16
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50 Recruitment 15 Strategies for achieving adequate participant enrolment to reach
51 target sample size. Page 8
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54 **Methods: Assignment of interventions (for controlled trials)**
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56 Allocation: Page 10
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2	Sequence	16a	Method of generating the allocation sequence (eg, computer-
3	generation		generated random numbers), and list of any factors for stratification.
4			To reduce predictability of a random sequence, details of any planned
5			restriction (eg, blocking) should be provided in a separate document
6			that is unavailable to those who enrol participants or assign
7			interventions. Page 7
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10	Allocation	16b	Mechanism of implementing the allocation sequence (eg, central
11	concealment		telephone; sequentially numbered, opaque, sealed envelopes),
12	mechanism		describing any steps to conceal the sequence until interventions are
13			assigned. N/a
14			
15	Implementation	16c	Who will generate the allocation sequence, who will enrol participants,
16			and who will assign participants to interventions. Pages 8 and 15
17			
18	Blinding	17a	Who will be blinded after assignment to interventions (eg, trial
19	(masking)		participants, care providers, outcome assessors, data analysts), and
20			how. Page 8.
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23		17b	If blinded, circumstances under which unblinding is permissible, and
24			procedure for revealing a participant's allocated intervention during
25			the trial. n/a
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Methods: Data collection, management, and analysis

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30	Data collection	18a	Plans for assessment and collection of outcome, baseline, and other
31	methods		trial data, including any related processes to promote data quality (eg,
32			duplicate measurements, training of assessors) and a description of
33			study instruments (eg, questionnaires, laboratory tests) along with
34			their reliability and validity, if known. Reference to where data
35			collection forms can be found, if not in the protocol. Pages 8, 12-16
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38		18b	Plans to promote participant retention and complete follow-up,
39			including list of any outcome data to be collected for participants who
40			discontinue or deviate from intervention protocols. Page 12.
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42	Data	19	Plans for data entry, coding, security, and storage, including any
43	management		related processes to promote data quality (eg, double data entry;
44			range checks for data values). Reference to where details of data
45			management procedures can be found, if not in the protocol. Page 16.
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48	Statistical	20a	Statistical methods for analysing primary and secondary outcomes.
49	methods		Reference to where other details of the statistical analysis plan can be
50			found, if not in the protocol. Page 15
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53		20b	Methods for any additional analyses (eg, subgroup and adjusted
54			analyses). N/a
55			
56		20c	Definition of analysis population relating to protocol non-adherence
57			(eg, as randomised analysis), and any statistical methods to handle
58			missing data (eg, multiple imputation) Page 16
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Methods: Monitoring

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24	Data monitoring	21a	Composition of data monitoring committee (DMC); summary of its role and reporting structure; statement of whether it is independent from the sponsor and competing interests; and reference to where further details about its charter can be found, if not in the protocol. Alternatively, an explanation of why a DMC is not needed. N/a
25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60	Harms	22	Plans for collecting, assessing, reporting, and managing solicited and spontaneously reported adverse events and other unintended effects of trial interventions or trial conduct. N/a
	Auditing	23	Frequency and procedures for auditing trial conduct, if any, and whether the process will be independent from investigators and the sponsor. n/a

Ethics and dissemination

26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60	Research ethics approval	24	Plans for seeking research ethics committee/institutional review board (REC/IRB) approval. n/a (study approved 2015)
	Protocol amendments	25	Plans for communicating important protocol modifications (eg, changes to eligibility criteria, outcomes, analyses) to relevant parties (eg, investigators, REC/IRBs, trial participants, trial registries, journals, regulators). N/a
	Consent or assent	26a	Who will obtain informed consent or assent from potential trial participants or authorised surrogates, and how (see Item 32). Page 16
		26b	Additional consent provisions for collection and use of participant data and biological specimens in ancillary studies, if applicable. n/a
	Confidentiality	27	How personal information about potential and enrolled participants will be collected, shared, and maintained in order to protect confidentiality before, during, and after the trial. Page 16.
	Declaration of interests	28	Financial and other competing interests for principal investigators for the overall trial and each study site. Page 19
	Access to data	29	Statement of who will have access to the final trial dataset, and disclosure of contractual agreements that limit such access for investigators. Page 16
	Ancillary and post-trial care	30	Provisions, if any, for ancillary and post-trial care, and for compensation to those who suffer harm from trial participation. Page 12

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| Dissemination
policy | 31a | Plans for investigators and sponsor to communicate trial results to participants, healthcare professionals, the public, and other relevant groups (eg, via publication, reporting in results databases, or other data sharing arrangements), including any publication restrictions. Page 16 |
| | 31b | Authorship eligibility guidelines and any intended use of professional writers. Page 16. |
| | 31c | Plans, if any, for granting public access to the full protocol, participant-level dataset, and statistical code. N/a. |

16 Appendices

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|-------------------------------|----|---|
| Informed consent
materials | 32 | Model consent form and other related documentation given to participants and authorised surrogates. Page 16. |
| Biological
specimens | 33 | Plans for collection, laboratory evaluation, and storage of biological specimens for genetic or molecular analysis in the current trial and for future use in ancillary studies, if applicable. N/a |

25 *It is strongly recommended that this checklist be read in conjunction with the SPIRIT 2013
26 Explanation & Elaboration for important clarification on the items. Amendments to the
27 protocol should be tracked and dated. The SPIRIT checklist is copyrighted by the SPIRIT
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BMJ Open

Cognitive Behavioural Group Therapy for Adolescents with ADHD - Study Protocol for a Randomised Controlled Trial

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Cognitive Behavioural Group Therapy for Adolescents with ADHD – Study Protocol for a Randomised Controlled Trial

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ABSTRACT

Introduction Persistence of Attention Deficit/Hyperactivity Disorder (ADHD) into adolescence is a significant burden to patients. Clinical guidelines recommend non-pharmacological therapies, but the evidence to support this recommendation is sparse. This study aims to evaluate the effect of a 12-week group cognitive behaviour therapy (CBT) program for adolescents with ADHD aged 14-18, who still have impairing symptoms after treatment with medication. We will study the effect of the treatment on ADHD symptoms and examine moderators and mediators of the effect of the treatment on ADHD.

Methods and analysis We conduct a Randomised Controlled Trial (RCT) of CBT group therapy in adolescents with ADHD recruited from child psychiatric outpatient units in Mid-Norway. 99 adolescents who met inclusion criteria and consented to participation have been randomised to a 12-week group intervention or to a control group receiving treatment as usual. Assessments are made at admission to the clinic, pre-intervention, post-intervention and at a nine-month follow-up, obtaining adolescent, parent and teacher reports. Clinicians blinded to group allocation rate all participants as to their functioning pre-intervention and at the two post-intervention assessment points. The primary outcome is change in symptom scores on the ADHD Rating Scale-IV.

Ethics and dissemination The Regional Committee for Medical and Health Research Ethics in South East Norway approved the study protocol (2015/2115). We will disseminate the findings in peer-reviewed publications and conference presentations, to user organisations and at courses attended by families and professionals. Two PhD students will publish and defend dissertations relating to the study. Planned publications include primary and secondary outcomes and patient satisfaction with the treatment. Furthermore, we plan to publish a manual of CBT group therapy in adolescent ADHD to benefit treatment of patients in Norway and elsewhere.

Trial registration Clinical Trials NCT02937142

Strengths and limitations of this study

- An RCT delivered in a real world setting using practising clinical staff and covering a total catchment area.
- Outcome data collected from multiple informants including parents, adolescents and teachers, and blinded clinician assessment.
- Adequate statistical power to detect a clinically meaningful effect in the primary outcome variable.
- Randomisation does not control for therapy moderators and process variables such as comorbidities, level of engagement, readiness for change and family support.
- Bias may be introduced by patients refusing to enter the study or by patients who are not randomised to their treatment of choice.

INTRODUCTION

Attention Deficit/Hyperactivity disorder (ADHD) is a neurodevelopmental disorder, which starts in childhood and is characterised by inattention, impulsivity, and hyperactivity that impair functioning.¹ ADHD persists across the lifespan in a majority of patients, and causes significant impairment across multiple domains of daily functioning. A majority of children with ADHD continue to have symptoms and impairment during adolescence.^{2 3} The core symptoms contribute to impairment in executive functioning, inhibitory control, working memory and motivation. These deficits prevent the acquisition and implementation of compensatory skills such as organizing and planning, leading to difficulties in handling everyday challenges. During adolescence, ADHD is associated with low academic achievement, interpersonal difficulties, substance use disorders, mood disorders and anxiety disorders continuing into adult life.^{3 4} A recent study of comorbidity in a large sample of Norwegian adults with ADHD, shows that both men and women had a 4-9 times higher prevalence of anxiety, depression, bipolar and personality disorders, schizophrenia and substance use disorder than the remaining adult population⁵, indicating the potential for introducing preventive measures in young people with ADHD.

Pharmacotherapy with stimulants, atomoxetine or guanfacine is effective in reducing core symptoms of ADHD in most adolescents with moderate to severe ADHD.⁶ Medication

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2
3 may also improve processing speed, work productivity and perseverance.⁷ However,
4 pharmacotherapy alone may not be sufficient to remediate ADHD and its comorbid symptoms
5 and disorders. National and international guidelines for ADHD recommend non-
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7 pharmacological therapies as the first-line or add-on treatment for young people with ADHD,
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may also improve processing speed, work productivity and perseverance.⁷ However, pharmacotherapy alone may not be sufficient to remediate ADHD and its comorbid symptoms and disorders. National and international guidelines for ADHD recommend non-pharmacological therapies as the first-line or add-on treatment for young people with ADHD,^{8 9} even though there is inadequate evidence to support this recommendation. Specifically, there is limited evidence to support psychological treatments in adolescents with ADHD, which have been less studied than psychological treatments in children.¹⁰

Cognitive behavioural therapy (CBT) is a well-known psychological treatment for mental disorders across disorders and age groups. Meta analyses have documented significant treatment effects on disorders such as Obsessive Compulsive Disorder (OCD), anxiety, and depression across age groups and in ADHD in adults.^{11 12} There are currently only three published studies on CBT with adolescents with ADHD, and thus little knowledge exists about short term and long-term treatment effects of CBT on ADHD in this age group.^{13 14} Vidal and colleagues¹⁵ found significant improvement in adaptive functioning as well as ADHD symptoms in the treatment group in their RCT of adolescents aged 15-21. Patients with emotional disorders were excluded from the study. It is therefore unknown to what extent CBT treatment could help ADHD patients with comorbid emotional disorders, which are frequent in a teenage population with ADHD.¹⁶

Previous studies on CBT in other conditions than ADHD have found that different moderators and mediators have implications for treatment effects. For example in the treatment of OCD in children and adolescents, comorbidity, age, sex, and lower quality of life were found to be important moderators and predictive of treatment effect.¹⁷ Age, symptom severity, comorbidity rate and adaptive functioning seem to moderate the effect of CBT in adolescents with depression.¹⁸ Since research on adolescents with ADHD is scarce, we have very limited knowledge of which moderators make the most impact in this patient group. Of note, Vidal and colleagues¹⁵ did not reveal any moderating effect of demographic variables in their study of CBT group therapy outcome.

As there is little knowledge about the short-term outcome psychological treatment programs in adolescents with ADHD, and even less knowledge about long-term outcomes, a study of CBT group therapy would fill a gap in the treatment literature. It would be crucial to know if clinically relevant changes in psychiatric symptoms and functioning were associated with the applied treatment program and particularly whether the observed changes last over time. Such a project would have the potential to provide results that could help fill knowledge gaps and promote improved quality and efficacy of services for adolescents with ADHD.

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3 When planning a study of CBT group therapy in adolescents with ADHD, we found
4 no manual in a Scandinavian language suited to the purpose. We therefore developed a
5 research manual in Norwegian based on previous work by one of the authors, Susan Young.
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8¹⁹. The CBT program developed by Susan Young and her colleague Jessica Bramham for use
9 with adolescents and adults includes group therapy modules addressing core symptoms of
10 ADHD and associated problems. After making the Norwegian translation, we tested the
11 manual and the feasibility and acceptability of the treatment in an 11-week pilot study with
12 eight adolescents aged 15-18 from a child and adolescent outpatient clinic. We found the
13 weekly manual-based program both feasible and well accepted by both adolescents and
14 parents. When planning a large Randomised Controlled Trial (RCT) to evaluate the effects of
15 the treatment, we made some further adaptations in language, materials and activities to
16 improve the fit to the adolescent age group. We decided to use telephone coaching in-between
17 sessions to encourage the adolescents to extend new strategies into real life situations. We
18 expected this to be important in working with adolescents with ADHD who may have
19 planning and organisation difficulties.
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34 Aims

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37 The study Cognitive Behaviour Group Therapy in Adolescents with Attention Deficit
38 Hyperactivity Disorder (*Clinical Trials*, NCT02937142), aims to improve the quality and
39 effectiveness of treatment and care of adolescents with ADHD. We aim to obtain new
40 knowledge related to group CBT in adolescents with ADHD 14-18 years of age referred to
41 assessment and treatment at the Child & Adolescent Psychiatric (CAP) Clinic, St. Olav
42 University Hospital, Trondheim, Norway. The primary outcome investigated will be treatment
43 effect on ADHD symptoms in a 12-week manual based group CBT treatment program. We
44 will study the effect post treatment and at a nine-month follow-up. The secondary outcomes
45 will be characteristics of functional impairment and psychiatric symptoms and the study of
46 moderators and possible mediators of treatment effects. Furthermore, we wish to study the
47 feasibility of the intervention, patient satisfaction and treatment fidelity, and identify therapist
48 factors associated with positive outcomes. We hypothesise that the CBT group will have
49 fewer ADHD symptoms, less severe ADHD, fewer comorbid problems, higher self-efficacy
50 and better functioning at the end of the treatment and at the 9-month follow-up, than the
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3 control group. We expect adolescents who are older, who have a higher IQ, come from a
4 higher SES background, and have comorbid emotional problems to have a more favourable
5 treatment outcome. We hypothesise that change in anxiety problems during treatment could
6 be a mediator of the primary outcome, as the intervention has a focus on reducing anxiety and
7 coping with the challenges associated with ADHD. We expect adolescents with more ADHD
8 symptoms at intake, a higher degree of ADHD severity, more executive dysfunction
9 problems, lower adaptive functioning and comorbid behaviour disorder to have a less
10 favourable outcome.
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23 METHODS AND ANALYSIS

24 25 **The main study design**

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28 The design is a randomised, controlled, rater-blinded study to evaluate the effectiveness of
29 CBT group therapy for adolescents aged 14 to 18 with ADHD. We recruited adolescent
30 patients, who received medical treatment but still had impairing symptoms into the study. The
31 patients were randomly assigned to the intervention group or a control group who received
32 treatment as usual. Eligible participants who provided written consent were randomly
33 assigned to the intervention and control groups in a 1:1 ratio. The randomisation was
34 performed using a computer program supplied by the Unit for Applied Clinical Research, a
35 centre of expertise in the Central Norway Health Region. The two groups are followed
36 prospectively to assess the effectiveness of the CBT group program. We completed the
37 Standard Protocol Items; Recommendations for Intervention Trials (SPIRIT)²⁰ checklist of
38 recommended items to address in a clinical trial process. A flow chart for the timeline for
39 recruitment, follow-up assessments and undertaking analyses is shown in Figure 1.
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Study Recruitment

Patients 14 to 18 years of age with a diagnosis of ADHD according to ICD-10²¹ were recruited to the study from two Child & Adolescent Psychiatric outpatient units at the St. Olav University Hospital with a catchment area of around 230.000 inhabitants (city of Trondheim and a few surrounding municipalities). The recruitment period lasted two years and nine months starting in winter 2017 and ending in September 2019. Very few private practitioners do assessments and treatment of suspected ADHD adolescents in the area. The number of adolescents with ADHD as the main diagnosis or comorbid diagnosis in the age group 14-18 years in the outpatient clinics was 330 as per February 2019. Most adolescent patients with ADHD are prescribed medication. We assessed and recruited a few additional participants in the study from general practitioners responsible for patients discharged from the clinic on stable medication, through user organisations, and through advertisements in media and the local newspaper.

Participants and procedure

The diagnostic process at the admission to the clinic (T1) includes information from multiple informants (patients, parents and teachers), including developmental history, somatic status and school functioning. The routine assessment includes interviews with the adolescent and parents, and the administration of various questionnaires. These include an assessment of emotional and behaviour problems with the ASEBA Checklists²² and ADHD symptoms by the ADHD Rating Scale-IV (ADHD-RS-IV).²³ IQ scores are obtained using Wechsler Intelligence Scales for Children-WISC-IV.²⁴ Adaptive functioning is scored using the Children's Global Assessment Scale (CGAS).²⁵ Routine clinical treatment include adolescent and parent education about ADHD. Patients with moderate to severe ADHD symptoms are offered medical treatment. A brief intervention for emotional problems may be given before starting medical treatment if indicated. We evaluated the patients in relation to the inclusion criteria after at least one month of stable medical treatment with the same medication and dosage.

Inclusion criteria. A diagnosis of ADHD and a Clinical Global Impression Severity (CGI-S)²⁶ score ≥ 3 (mildly ill, some impairment in one setting). Participants should receive medical treatment for ADHD, but patients could be included in the study if they had tried

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3 medication with little effect or experienced intolerable side effects. Participants with
4 comorbid diagnoses (typically mild to moderate depressive disorders, anxiety disorders,
5 bipolar disorders, behavioural disorders, tic disorders and mild degree of autism spectrum
6 disorders) were included in the study. *Exclusion criteria* were psychosis, mental retardation
7 (IQ < 70), ongoing substance use disorder, severe conduct disorder, suicidal behaviour and
8 severe depression.
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13 The adolescent (and parents) were asked to participate in the study through an open
14 invitation brochure providing information about the aim of the project, the randomisation
15 process and the intervention. We invited adolescents who consented to participate into the
16 study.
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20 21 22 23 **Assessment procedures**

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26 Two clinicians, a clinical neuropsychologist and a child and adolescent psychiatrist,
27 interviewed the adolescents using a semi-structured psychiatric interview, the Kiddie-SADS
28 PL.²⁷ We also assessed executive functions, general adaptive functioning, anxiety and
29 depression, sleep patterns, self-esteem and self-efficacy. After the pre-intervention
30 assessments (T2), follow-up evaluations were performed post-intervention (T3) and at a 9-
31 month follow-up (T4). All participants filled in questionnaires at T2 and T3. Participants in
32 the intervention group filled in an additional questionnaire about patient satisfaction with the
33 therapy, at T3. Clinicians blinded to patient assignment complete pre- and post-evaluations.
34 All participants medicated for ADHD were asked during a weekly telephone call if they use
35 their medication as prescribed and further if they had started psychotherapy or other
36 treatment. During the interview at T4, the participants report on ADHD symptoms, emotional
37 and behavioural problems, school functioning and self-efficacy. The interviewers score
38 general and adaptive functioning. In addition, the interviewer ask about current and past
39 treatment during the past 9 months and the adolescents' impression of the CBT group therapy
40 in a longer perspective. See Table 1 for a SPIRIT²⁰ table for the evaluation of the study.
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Table 1 SPIRIT table for evaluation of the **Cognitive Behavioural Group Therapy for Adolescents with ADHD – A Randomised Controlled Trial**

	STUDY PERIOD						
	Enrolment ¹	Allocation ²	Post-allocation				Close-out
			Pre-T2	Mid-	Post-T2	9-month follow-up T4	
Coh1: Q1 2017	Coh1: Q1 2017	Q1 2017	Coh1: Q1 -2017	Coh1: Q1 -2017	Coh1: Q2 -2017	Coh1: Q2 2018	
Coh2: Q3 2017	Coh2: Q3 2017		Q2 2017	Coh2: Q3 -2017	Coh2: Q3 -2017	Coh2: Q4 -2017	Coh2: Q4 2018
Coh3: Q1 2018	Coh3: Q1 2018	Q3 2018	Coh3: Q1 -2018	Coh3: Q1 -2018	Coh3: Q2 -2018	Coh3: Q2 2019	
Coh4: Q3 2018	Coh4: Q3 2018	Q4 2018	Coh4: Q3 -2018	Coh4: Q3 -2018	Coh4: Q4 -2018	Coh4: Q4 2019	
Coh5: Q1 2019	Coh5: Q1 2019	Q5 2019	Coh5: Q1 -2019	Coh5: Q1 -2019	Coh5: Q2 -2019	Coh5: Q2 2020	
Coh6: Q3 2019	Coh6: Q3 2019	Q6 2019	Coh6: Q3-2019	Coh6: Q3-2019	Coh6: Q4-2019	Coh6: Q4-2020	Coh6: Q4-2020
ENROLMENT:							
Eligibility screen ³			X				
Informed consent	X						
Allocation		X					
INTERVENTIONS:							
<i>Int.: CBT + medic.</i>			↔				
<i>Ctr.: Medication</i>			↔				
ASSESSMENT:							
Psychiatric diagnosis ⁴			X				
Illness severity ⁵			X		X	X	
Psychosocial Funct. ⁶			X		X	X	
PRIMARY OUTCOME							
ADHD symptoms ⁷			X ³		X	X	
SECOND OUTCOMES							
Behaviour problems ⁸						X	
Emotional problems ⁸						X	
Functional Impairment ⁹			X		X		
Anxiety ¹⁰			X		X		
Depression ¹¹			X		X		
Sleep ¹²			X		X		
Self-esteem ¹³			X		X		
Self-efficacy ¹⁴			X		X	X	
Executive functioning ¹⁵			X		X		
Treatment satisfaction					X	X	
Treatment fidelity							X

¹Enrollment occurs in the semester of the delivery of the intervention. Each cohort represents a group of adolescents recruited during the semester. ²Allocation (randomisation) is conducted at the individual level. ³Study eligibility for individual adolescents is based on ADHD diagnosis and being stable on medication (primary outcome measure). ⁴Kiddie-SADS-PL, ⁵Clinical Global Impression Severity, ⁶Children's Global Assessment Scale, ⁷ADHD-Rating Scale-IV, ⁸ASEBA Brief Problem-Monitor, ⁹Weiss Functional Impairment Rating Scale, ¹⁰SCARED, ¹¹Mood & Feelings Questionnaire, ¹²Adolescents' Sleep-Wake Scale, ¹³Rosenberg Self-Esteem Scale, ¹⁴General Perceived Self-Efficacy Scale, ¹⁵Behaviour Rating Inventory of Executive Function.

Interventions

Table 2 Core treatment modules in the research manual

	MODULES	SESSION
Core symptom modules	What is ADHD?	1
	Attention	2
	Memory	3
	Organisation and time management	4
	Impulsivity	5
Comorbid disorders and difficulties	Problem solving	6
	Anxiety	7
	Sadness and depression	8
	Sleep	8
	Communication	9
	Frustration and anger	10
The future	Preparing for the future	11, 12

CBT group. The intervention consists of 12 weekly cognitive behaviour therapy sessions addressing core difficulties and concerns of the adolescent population with ADHD, each session lasting 90 minutes. The last two sessions consists of a review of the contents and planning for the future (Table 2). Parents are not involved in the treatment sessions. The manual is structured and includes methods and key points, which are used in each session. The key points are visualised in a power point presentation. We included six participants in each group. Two clinicians conducted the sessions (usually a psychologist working together with either another psychologist, a psychiatrically trained special education teacher or a physician). The group leaders received manual training and supervision by an experienced adolescent psychiatrist and CBT supervisor. Between sessions, the participants got a weekly phone call by a coach to motivate them and follow up on home assignments. Participants could not receive any other treatment than CBT group therapy and medication in the 12-week period. One routine medical follow-up was performed during the intervention period, with registration of blood pressure, weight and side effects of medication according to standard clinical follow-up in the CAP clinic.

Control group. Participants in the control group continued with medical treatment and received one routine medical follow-up according to standard clinical follow-up in the same

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3 way as the intervention group. This is in accordance with treatment-as-usual, as only medical
4 treatment is offered routinely in the clinic at this stage. As in the CBT group, participants who
5 did not use medication received one session with a clinician to monitor their clinical status.
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7 After the post-treatment assessments (at T3), there was no offering to enter a CBT group, but
8 patients could start other treatments according to their clinical needs.
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15 **Treatment Fidelity**

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17 Treatment fidelity in this project has the overall goal of increasing confidence that changes in
18 the dependent variable are attributable to the independent variables. Analysis of treatment
19 fidelity may help to explain study findings, revise interventions for future testing, and increase
20 statistical power and effect size by reducing random and unintended variability.²⁸ All
21 sessions (except session 1 and 12) were videotaped and adherence to the manual and to CBT
22 core principles relevant for this study will be assessed through observations by independent
23 raters from a random selection of around 20 % of the sessions. The Competence and
24 Adherence Scale for Cognitive Behavioural Therapy (CAS-CBT)²⁹ covers basic CBT
25 components as well as specific session goals. The user can specify the goals for the particular
26 treatment. The scale was originally developed for the treatment of anxiety disorders. It has
27 shown good to excellent reliability. Additional items on group dynamics are included. In
28 addition, each group leader filled in a self-rating scale after each session to evaluate goal
29 achievement in the session and to provide an overall rating of their own work.
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44 **Adherence and dropouts**

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46 We assess treatment adherence by recording the number of completed CBT sessions. In the
47 case of dropouts, the participants were asked to let the questionnaires filled in before the
48 intervention at T2, remain in the study to be included in the data analysis.
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54 **Outcome measures**

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57 Well-established and validated instruments are used to assess psychiatric morbidity and
58 cognitive and over-all functioning at four time-points. When adequate, adolescent, parent and
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3 teacher reports are acquired. The following instruments are used to collect data from various
4 informants on adolescent psychiatric morbidity including diagnoses, diagnostic classification,
5 symptom load assessment, and psychosocial functioning at one or more time-points from T1-
6 T4 (Table 3).
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11 *ADHD Rating Scale IV (ADHD-RS-IV)*²³ is a questionnaire completed by parents (home
12 version) or teachers (school version) to detect ADHD symptoms in children and adolescents.
13 The questionnaire contains 18 questions regarding a child's or an adolescent's behaviour
14 during a specified timeframe rated on a 4-point Likert scale.
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19 *K-SADS – PL Schedule for Affective Disorders and Schizophrenia for School-Age Children*
20 *present- Life version*²⁷ is a semi-structured diagnostic interview designed to assess current
21 and past episodes of psychopathology in children and adolescents. Diagnoses of interest to the
22 present study include ADHD, anxiety disorders, mood disorders, tic disorders, conduct
23 disorders and sleep disorders.
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29 *Children's Global Assessment Scale (CGAS)*²⁵ is a numeric scale (1 through 100) used to rate
30 the general psychosocial functioning of children under the age of 18. A score above 70
31 denotes good functioning.
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35 *Clinical Global Impression Severity (CGI-S)*²⁶, is used to rate the severity of a patient's
36 illness at the time of assessment. It is a 7-point scale ranging from 1="Normal, not at all ill" to
37 7="Among the most extremely ill patients", with 0="Not assessed".
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41 *Weiss Functional Impairment Rating Scale* for parents and adolescents (WFIRS-P, WFIRS-S)
42³⁰ are questionnaires appropriate for parent report and adolescent and adult self-report of
43 functional impairment typically affected in ADHD. The questions assess to what degree an
44 individual's behaviour or emotional problems have affected various clinically relevant
45 domains of functioning.
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50 *Screen for Child Anxiety Related Emotional Disorders (SCARED)*³¹ is a 41 items self-report
51 screening questionnaire for anxiety symptoms in youth.
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55 *Mood & Feelings Questionnaire (MFQ)*³² is a 33 items inventory self-report tool that
56 measures depressive symptoms in children and adolescents. The last question in the 34 item
57 Norwegian version, "I wasn't as happy as usual even when praised and rewarded", is from the
58 MFQ parent version.³³
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General Perceived Self Efficacy Scale ³⁴ is a 10-items scale designed to assess optimistic self-beliefs to cope with a variety of difficult demands in life.

Rosenberg Self-Esteem Scale ³⁵ is a 10 items scale widely used self-report instrument for evaluating individual self-esteem in adolescents and adults.

Behaviour Rating Inventory of Executive Function (BRIEF) ³⁶ parent form (BRIEF-P) is an 86 items assessment of executive function behaviours at home and at school for children and adolescents ages 5–18. The BRIEF self-report (BRIEF-SR) provides an adolescent's or an adult's own view of his or her executive functioning behaviours.

Table 3 Instruments used with various informants at admission to the CAP clinic and during time points in the study

Instruments used in study (informant)	T1: Admission to CAP	T2: Pre-	T3: Post-	T4*: 9-month follow-up
Kiddie-SADS psychiatric interview (S)		x		
ADHD-RS (ADHD-symptoms) (P,T,S)	X(P,T)	X (P,T,S)	X (P,T,S)	X(S)
Children's Global Assessment Scale (CGAS)(C)	x	x	x	x
ASEBA YSR Brief Problem-Monitor (S)				x
Clinical Global Impression (CGI) (C)		x	x	x
SCARED (Anxiety)(S)		x	x	
Mood & Feelings Questionnaire (MFQ)(S)		x	x	
BRIEF (Executive Functioning) P,T,S	X (P,T)	X (P,T,S)	X (P,T,S)	
Weiss Functional Impairment Rating Scale (P,S)		x	x	
Adolescent Sleep Wake Scale (S)		x	x	
Rosenberg Self-Esteem Scale (S)		x	x	
General Perceived Self-Efficacy Scale (S)		x	x	x
User satisfaction, usefulness of coaching (S)			x	x

S=Self Report P=Parent report T=Teacher Report C=Clinician evaluation *Telephone Interview

ASEBA-YSR Brief Problem Monitor (YSR-BPM) ²² is a short version of the Youth Self Report school-age form. It includes questions about behavioural and emotional problems and is used for monitoring and follow-up in research and clinical assessment.

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3 *Adolescent Sleep-Wake Scale*³⁷ is a 28 items scale and widely used measure of sleep quality
4 in adolescents.
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7 *Adolescent Interview* at one-year follow-up (T4). The telephone interview includes questions
8 about present school situation or other daytime activity, ADHD medication, and furthermore
9 health assessment and treatment in CAP or adult psychiatry, private psychologists or
10 psychiatrists, or primary care during the past nine months. The interviewer administers the
11 ADHD-RS-IV, YSR-BPM and the General Perceived Self-Efficacy Scale during the
12 interview. The interviewer scores the CGAS and CGI blinded to information about group
13 participation.
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23 **Medical history and sociodemographic information**

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25 In addition to data collection via validated instruments at T1, T2, T3 and T4, we extract data
26 from medical records, including information about parent Socioeconomic Status (SES).
27 Treatment history from T3 to T4 is registered at T4 by type (cognitive, neurobiological,
28 psychodynamic, psycho-educational, social-relational, medication), participant (individual,
29 group, parent, family), number of sessions, length of treatment, in-patient or outpatient,
30 indirect patient work, and counselling from community services. SES will be defined as
31 follows: the highest level of parental education will determine parent SES, divided into four
32 categories (≤ 2 years of high school, completed high school + 1 year, ≤ 4 years
33 academy/university, ≥ 5 years four years academy/university).
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45 **Statistics**

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47 Outcome measures at T3 contain ADHD symptom scores, clinician rated functioning, self-
48 and parent reported functioning, self-, parent- and teacher reported executive functioning, and
49 self-reported anxiety, depression, sleep, self-esteem and self-efficacy. Outcome measures at
50 T4 contain self-reported ADHD symptom scores, clinician rated functioning, self-reported
51 functioning, anxiety and depression, and self-efficacy. Data will be analysed using mixed-
52 effect models for longitudinal data. Variables included in the assessment of possible
53 interactions with treatment effect will be age, gender, SES, IQ, type of ADHD, and
54 comorbidity. Clinical and demographic variables will be included in the mixed-effect model
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3 to explore these effects. Potential mediators will be defined before carrying out mediation
4 analyses. We will carry out the mediation analyses following recommendations by Hayes and
5 Rockwood.³⁸ Unless the participants withdraw the consent to participate, we will use all
6 available data from participants with relevant outcome data in an intention-to-treat analysis.
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10 11 12 13 **Sample size**

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16 Sample size was calculated for a two samples student's t-test, for a 6 points difference
17 assuming a standard deviation of 9 on the ADHD-RS-IV.²³ The rationale for the use of a 6
18 points difference is supported by the work of Coghill and Seth³⁹ who provide a guide for
19 clinical interpretation of scores from the ADHD-RS-IV. They interpret total scores of 19-26
20 after a medication trial as partial response, and 26 % reduction in scores as a good response.
21 The two previous RCTs of CBT in adolescent ADHD used a 6 points difference in ADHD-RS
22 scores¹⁵ or a 30 % reduction in ADHD-RS scores¹⁴, respectively. With significance level 5
23 %, we needed 37 participants in each group to obtain 80 % power. To allow for dropouts, we
24 aimed at including at least 48 participants in each group, in total 96.
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35 **Ethics and dissemination**

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37 All participating parents and adolescents 16 years or older were required to provide informed
38 consent. The recruitment process explained that participation is voluntary and that participants
39 can withdraw from the study at any time without consequences regarding their treatment in
40 the clinic. All participants received documents explaining the purpose and procedures in the
41 study. We record the data obtained by questionnaires and clinical assessment in electronic
42 files that are password protected. Participants received anonymous study IDs that are stored
43 with the collected data. Identifying information is not stored alongside the parent-, self- or
44 teacher-report data. Only the main investigator and researchers directly involved in data
45 analysis will have access to de-identified data.
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53 We will disseminate the results in peer-reviewed international scientific journals.
54 Furthermore, the study group will present the results of the study at regional, national, and
55 international scientific conferences, in reports to funders, reports to patient advocacy
56 organisations and press releases to news media. Two PhD students will publish and publicly
57 defend dissertations relating to the study. Planned publications include primary and secondary
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3 outcomes, feasibility and patient satisfaction with the treatment and fidelity to the
4 intervention. A main goal of the study group is to publish a Norwegian evidence based
5 manual for the benefit of patients locally and elsewhere. The CAP clinic and Regional Centre
6 for Child and Youth Mental Health and Child Welfare (RKBU) cooperate extensively with
7 user and patient advocacy organisations, and the research results will be presented to the
8 public during educational seminars arranged by the St. Olav Hospital Learning Centre.
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16 PATIENT AND PUBLIC INVOLVEMENT

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19 We consulted the user committee in the Clinic of Psychiatry at St. Olav University Hospital,
20 during the planning state of the study in order to receive feedback to the principal
21 investigator. The ADHD association, Mid-Norway, was informed about the project at its
22 planning stage and recommended it to funding authorities and to members. The CAP clinic
23 has an active co-operative network with user organisations and arranges “learning and
24 mastery” course days where patients with ADHD and family members share their
25 experiences. During the course, clinicians give lectures to patients, families and health care
26 providers. Information about the project has been conveyed on such occasions. We will set up
27 a reference group of adolescents from the Norwegian ADHD association as recently
28 suggested by user organisations. The reference group will aid the project with respect to
29 discussion and dissemination of the results.
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43 DISCUSSION

44 To date there are few RCT studies of CBT in adolescent ADHD. We have designed an RCT
45 that aims to test the effectiveness of CBT group therapy, with a program uniquely tailored to
46 the needs of the adolescents. In addition, we will test for patient satisfaction and treatment
47 feasibility. We test the effectiveness of CBT group therapy in a younger age group than a
48 previous RCT of CBT group therapy in adolescents and young adults with ADHD ¹⁵.
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53 The present study offers an advantage in its delivery of group CBT in a real world
54 setting using practising clinical staff and covering a total catchment area. The study employs a
55 different type of control condition than previous studies ¹⁵ with a defined limited clinical
56 follow-up. This is less likely to result in exaggerated short-term effects of the intervention
57 compared to the use of waiting list controls.⁴⁰ Another advantage is the use of multiple
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3 informants in the assessment of the outcome. Teachers may be as accurate as parents are
4 when evaluating ADHD symptoms ⁴¹ and provide an important additional perspective to
5 parents and adolescents.
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8 At the 9-month follow-up, some findings may be limited by the fact that the
9 adolescents are the only informants. Self-ratings may be less valid than parent ratings in the
10 prediction of ADHD persistence. ⁴² The sample recruitment may be biased in ways that are
11 difficult to measure. For example, participants may be those who are more engaged and ready
12 for change or have better family support. Further, randomisation does not control for therapy
13 moderators such as psychiatric comorbidities and personality factors associated with these
14 comorbidities. In addition, bias may be introduced by adolescents who refuse to enter the
15 study, or by adolescents who do not get their treatment of choice after randomisation.
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18 If the group CBT is shown to be significantly more effective compared to treatment-
19 as-usual, this may encourage the wider dissemination and utilisation of the treatment in the
20 care of adolescents with ADHD. Such initiatives have the potential to increase the number of
21 adolescents who receive effective care to benefit patients in Norway and elsewhere. When
22 designing the study we decided to examine the effect of group CBT as an add-on to
23 medication, as this seems a reasonable first step before studying the effect of the treatment in
24 adolescents who do not receive medication. Steps might be taken to study the feasibility and
25 treatment effects in adolescents who do not use medication in the future.
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38 **Collaboration**

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41 The study is an active collaboration between the Child and Adolescent Psychiatric Clinic, St.
42 Olav University Hospital and the Regional Competence Centre for Youth – Mental Health
43 and Child Welfare, Norwegian University of Science and Technology, Trondheim, Norway.
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45 The core research team make up an international, interdisciplinary research group.
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51 **TRIAL STATUS**

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54 The study has recruited 99 patients by the end of the recruitment period in September 2019.
55 The treatments were finalised in January 2020. Data from the 9-month follow-up are being
56 collected until October 2020. Two PhD-students have been appointed in the project by August
57 2019.
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3 **Trial Registration** Clinical Trials NCT02937142
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5 **Primary Sponsor** Child and Adolescent Psychiatric Clinic, St. Olav University Hospital and
6 the Regional Competence Centre for Youth – Mental Health and Child Welfare (RKBU), The
7 Norwegian University of Science and Technology.
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11 **Protocol version** February 2019
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13
14 **Acknowledgements** The authors wish to thank adolescents, parents and teachers who
15 participate in the study.
16
17

18 **Contributors** TSN conceived of the study and wrote the applications for funding. The main
19 research team/steering group undertook the research design: TSN, AMS, PHT, SY and SL.
20 ALJH, the first PhD student in the study, contributed to the CBT treatment manual in
21 collaboration with TSN and SY and participates in the gathering of data. AMS supervises the
22 therapists in the study, while TSN, AMS, PHT and SL supervise the PhD students. All
23 authors read and provided substantial contribution to the final version of the study protocol
24 and approved of the final version of the manuscript.
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31 **Funding** The study is funded by the Child and Adolescent Psychiatric Clinic, St. Olav
32 University Hospital and the Regional Competence Centre for Youth–Mental Health and Child
33 Welfare (RKBU), The Norwegian University of Science and Technology (NTNU). The
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35 project funding from the Regional Network for Autism, ADHD, and Tourette syndrome, Mid-
36 Norway Health Trust; The National Research Network for ADHD, Ullevål University
37 Hospital; and the Regional Competence Network for ADHD, RKBU, NTNU.
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44 **Competing interests** TSN has received a speaker's fee from Medice in the last year. PHT has
45 received speaker's fees from MEDICE and Shire in the last three years. SY has received
46 honoraria for consultation and/or educational talks in the last 5 years from Janssen, HB
47 Pharma and/or Shire. She is author of the ADHD Child Evaluation (ACE) and ACE+ for
48 adults and lead author of R&R2 for ADHD Youths and Adults. AMS has received travel
49 support and congress fee from MEDICE in the last year. The other authors declare no
50 conflicts of interest.
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57 **Patient consent** Not required.
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Ethics approval The Regional Committee for Medical and Health Research Ethics in South East Norway approved the study protocol (2015/2115).

Provenance and peer review Not commissioned; peer reviewed for ethical and funding approval prior to submission.

Open access

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55 objective markers of persistence and remittance. *J Psychiatr Res* 2016; Nov;82:91-9.
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Legend Table 1

¹Enrollment occurs in the semester of the delivery of the intervention. Each cohort represents a group of adolescents recruited during the semester. ²Allocation (randomisation) is conducted at the individual level. ³Study eligibility for individual adolescents is based on ADHD diagnosis and being stable on medication (primary outcome measure). ⁴Kiddie-SADS-PL, ⁵Clinical Global Impression Severity, ⁶Children's Global Assessment Scale, ⁷ADHD-Rating Scale-IV, ⁸ASEBA Brief Problem-Monitor, ⁹Weiss Functional Impairment Rating Scale, ¹⁰SCARED, ¹¹Mood & Feelings Questionnaire, ¹²Adolescents' Sleep-Wake Scale, ¹³Rosenberg Self-Esteem Scale, ¹⁴General Perceived Self-Efficacy Scale, ¹⁵Behaviour Rating Inventory of Executive Function.

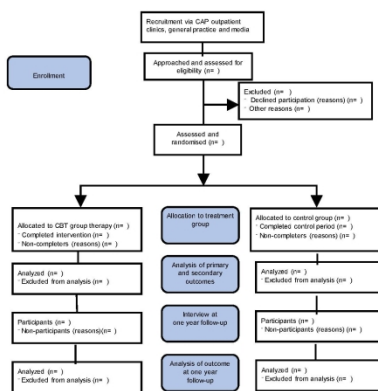
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Legend Table 3

S=Self Report P=Parent report T=Teacher Report C=Clinician evaluation *Telephone Interview

For peer review only

Figure 1 Flow chart diagram of participants in Cognitive Behavioural Group Therapy for Adolescents with ADHD – A Randomised Controlled Study



210x297mm (300 x 300 DPI)



SPIRIT 2013 Checklist: Recommended items to address in a clinical trial protocol and related documents*

Section/item	Item No	Description
Administrative information		
Title	1	Descriptive title identifying the study design, population, interventions, and, if applicable, trial acronym. Pages 1 and 2.
Trial registration	2a	Trial identifier and registry name. If not yet registered, name of intended registry. Page 2.
	2b	All items from the World Health Organization Trial Registration Data Set. N/a
Protocol version	3	Date and version identifier. Page 18.
Funding	4	Sources and types of financial, material, and other support. Page 19.
Roles and responsibilities	5a	Names, affiliations, and roles of protocol contributors. Page 19.
	5b	Name and contact information for the trial sponsor. N/a.
	5c	Role of study sponsor and funders, if any, in study design; collection, management, analysis, and interpretation of data; writing of the report; and the decision to submit the report for publication, including whether they will have ultimate authority over any of these activities. N/a
	5d	Composition, roles, and responsibilities of the coordinating centre, steering committee, endpoint adjudication committee, data management team, and other individuals or groups overseeing the trial, if applicable (see Item 21a for data monitoring committee). Page 19
Introduction		
Background and rationale	6a	Description of research question and justification for undertaking the trial, including summary of relevant studies (published and unpublished) examining benefits and harms for each intervention. Page 4-5
	6b	Explanation for choice of comparators. Page 17-18
Objectives	7	Specific objectives or hypotheses. Page 5

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Trial design	8	Description of trial design including type of trial (eg, parallel group, crossover, factorial, single group), allocation ratio, and framework (eg, superiority, equivalence, noninferiority, exploratory). Page 6.
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Methods: Participants, interventions, and outcomes

Study setting	9	Description of study settings (eg, community clinic, academic hospital) and list of countries where data will be collected. Reference to where list of study sites can be obtained. Page 7
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Eligibility criteria	10	Inclusion and exclusion criteria for participants. If applicable, eligibility criteria for study centres and individuals who will perform the interventions (eg, surgeons, psychotherapists). Page 8.
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Interventions	11a	Interventions for each group with sufficient detail to allow replication, including how and when they will be administered. Page 11
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	11b	Criteria for discontinuing or modifying allocated interventions for a given trial participant (eg, drug dose change in response to harms, participant request, or improving/worsening disease). N/a.
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	11c	Strategies to improve adherence to intervention protocols, and any procedures for monitoring adherence (eg, drug tablet return, laboratory tests). Pages 8
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	11d	Relevant concomitant care and interventions that are permitted or prohibited during the trial. Page 11
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Outcomes	12	Primary, secondary, and other outcomes, including the specific measurement variable (eg, systolic blood pressure), analysis metric (eg, change from baseline, final value, time to event), method of aggregation (eg, median, proportion), and time point for each outcome. Explanation of the clinical relevance of chosen efficacy and harm outcomes is strongly recommended. Pages 12-15
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Participant timeline	13	Time schedule of enrolment, interventions (including any run-ins and washouts), assessments, and visits for participants. A schematic diagram is highly recommended (see Figure) Table 1, page 10
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Sample size	14	Estimated number of participants needed to achieve study objectives and how it was determined, including clinical and statistical assumptions supporting any sample size calculations. Page 16
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Recruitment	15	Strategies for achieving adequate participant enrolment to reach target sample size. Page 8
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Methods: Assignment of interventions (for controlled trials)

Allocation:	Page 10
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2	Sequence	16a	Method of generating the allocation sequence (eg, computer-
3	generation		generated random numbers), and list of any factors for stratification.
4			To reduce predictability of a random sequence, details of any planned
5			restriction (eg, blocking) should be provided in a separate document
6			that is unavailable to those who enrol participants or assign
7			interventions. Page 7
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10	Allocation	16b	Mechanism of implementing the allocation sequence (eg, central
11	concealment		telephone; sequentially numbered, opaque, sealed envelopes),
12	mechanism		describing any steps to conceal the sequence until interventions are
13			assigned. N/a
14			
15	Implementation	16c	Who will generate the allocation sequence, who will enrol participants,
16			and who will assign participants to interventions. Pages 8 and 15
17			
18	Blinding	17a	Who will be blinded after assignment to interventions (eg, trial
19	(masking)		participants, care providers, outcome assessors, data analysts), and
20			how. Page 8.
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23		17b	If blinded, circumstances under which unblinding is permissible, and
24			procedure for revealing a participant's allocated intervention during
25			the trial. n/a
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Methods: Data collection, management, and analysis

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30	Data collection	18a	Plans for assessment and collection of outcome, baseline, and other
31	methods		trial data, including any related processes to promote data quality (eg,
32			duplicate measurements, training of assessors) and a description of
33			study instruments (eg, questionnaires, laboratory tests) along with
34			their reliability and validity, if known. Reference to where data
35			collection forms can be found, if not in the protocol. Pages 8, 12-16
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38		18b	Plans to promote participant retention and complete follow-up,
39			including list of any outcome data to be collected for participants who
40			discontinue or deviate from intervention protocols. Page 12.
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42	Data	19	Plans for data entry, coding, security, and storage, including any
43	management		related processes to promote data quality (eg, double data entry;
44			range checks for data values). Reference to where details of data
45			management procedures can be found, if not in the protocol. Page 16.
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48	Statistical	20a	Statistical methods for analysing primary and secondary outcomes.
49	methods		Reference to where other details of the statistical analysis plan can be
50			found, if not in the protocol. Page 15
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53		20b	Methods for any additional analyses (eg, subgroup and adjusted
54			analyses). N/a
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56		20c	Definition of analysis population relating to protocol non-adherence
57			(eg, as randomised analysis), and any statistical methods to handle
58			missing data (eg, multiple imputation) Page 16
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Methods: Monitoring

Data monitoring	21a	Composition of data monitoring committee (DMC); summary of its role and reporting structure; statement of whether it is independent from the sponsor and competing interests; and reference to where further details about its charter can be found, if not in the protocol. Alternatively, an explanation of why a DMC is not needed. N/a
	21b	Description of any interim analyses and stopping guidelines, including who will have access to these interim results and make the final decision to terminate the trial. n/a
Harms	22	Plans for collecting, assessing, reporting, and managing solicited and spontaneously reported adverse events and other unintended effects of trial interventions or trial conduct. N/a
Auditing	23	Frequency and procedures for auditing trial conduct, if any, and whether the process will be independent from investigators and the sponsor. n/a

Ethics and dissemination

Research ethics approval	24	Plans for seeking research ethics committee/institutional review board (REC/IRB) approval. n/a (study approved 2015)
Protocol amendments	25	Plans for communicating important protocol modifications (eg, changes to eligibility criteria, outcomes, analyses) to relevant parties (eg, investigators, REC/IRBs, trial participants, trial registries, journals, regulators). N/a
Consent or assent	26a	Who will obtain informed consent or assent from potential trial participants or authorised surrogates, and how (see Item 32). Page 16
	26b	Additional consent provisions for collection and use of participant data and biological specimens in ancillary studies, if applicable. n/a
Confidentiality	27	How personal information about potential and enrolled participants will be collected, shared, and maintained in order to protect confidentiality before, during, and after the trial. Page 16.
Declaration of interests	28	Financial and other competing interests for principal investigators for the overall trial and each study site. Page 19
Access to data	29	Statement of who will have access to the final trial dataset, and disclosure of contractual agreements that limit such access for investigators. Page 16
Ancillary and post-trial care	30	Provisions, if any, for ancillary and post-trial care, and for compensation to those who suffer harm from trial participation. Page 12

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| Dissemination
policy | 31a | Plans for investigators and sponsor to communicate trial results to participants, healthcare professionals, the public, and other relevant groups (eg, via publication, reporting in results databases, or other data sharing arrangements), including any publication restrictions. Page 16 |
| | 31b | Authorship eligibility guidelines and any intended use of professional writers. Page 16. |
| | 31c | Plans, if any, for granting public access to the full protocol, participant-level dataset, and statistical code. N/a. |

16 Appendices

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|-------------------------------|----|---|
| Informed consent
materials | 32 | Model consent form and other related documentation given to participants and authorised surrogates. Page 16. |
| Biological
specimens | 33 | Plans for collection, laboratory evaluation, and storage of biological specimens for genetic or molecular analysis in the current trial and for future use in ancillary studies, if applicable. N/a |

25 *It is strongly recommended that this checklist be read in conjunction with the SPIRIT 2013
26 Explanation & Elaboration for important clarification on the items. Amendments to the
27 protocol should be tracked and dated. The SPIRIT checklist is copyrighted by the SPIRIT
28 Group under the Creative Commons "[Attribution-NonCommercial-NoDerivs 3.0 Unported](https://creativecommons.org/licenses/by-nc-nd/3.0/)"
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