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Namaste Care in the home setting: Developing initial realist explanatory theories and uncovering unintended outcomes

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Manuscripts

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3 **Namaste Care in the home setting: Developing initial realist explanatory theories and**
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5 **uncovering unintended outcomes**
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Competing Interests

The authors declare no competing interests.

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3 **Data Statement**
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6 Data from the study is not shared as participants consented for their data to be used only in this
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8 study.
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For peer review only

Abstract

Namaste Care, The End of Life Programme for People with Dementia, challenges the misconception that people with dementia are a 'shell'; it provides a holistic approach using the five senses, which can provide positive ways of communicating and emotional responses. Previously used in care homes, this study is the first to explore the pioneering use of Namaste Care in people's own homes.

Objective: To develop initial programme theories detailing if, how and under which circumstances NC works when implemented at home.

Design: A qualitative realist approach following the RAMESES II guidelines was employed to understand not only whether Namaste Care has positive outcomes, but also how these are generated, for whom they happen, and in which circumstances. Programme theories were developed from 3 focus groups with volunteers implementing Namaste Care and 8 interviews with family carers.

Results: Four refined explanatory theories are presented: increasing engagement, respite for family carers, importance of matched volunteers and increasing social interaction.

Conclusions: Namaste Care provides holistic and personalised care to people with both moderate and advanced dementia, improving engagement and reducing social isolation. In the present study carers often chose to use Namaste Care sessions as respite. This was often linked to their frustration of the unavoidable dominance of task-focused care in daily life. Individualised Namaste Care activities thus led to positive outcomes for both people with dementia and their carers.

Keywords: Dementia, Namaste Care, Volunteers, Social Interaction, Respite

Article Summary

Strengths and limitations of this study

- This small and specialist study explores the use of a novel intervention, Namaste Care, in a new setting, the person's own home.
- The article reports two stages related to theory building using a realist approach in order to be rigorous and transparent in the theory development surrounding Namaste Care use in the home setting.
- A limitation of the study is the sample size; while some programme theories were not substantiated by the data, it could be that this was due to the limited sample size.
- Vast differences are acknowledged in the implementation of Namaste Care in people's own homes in comparison to care homes.

Word count: 6850

Introduction

Globally, the numbers of people living with dementia will increase from 50 million in 2018 to 152 million in 2050, a 204% increase (Prince, Comas-Herrera, Knapp, Guerchet, & Karagiannidou, 2016). Despite this, the World Health Organisation (2018) recently highlighted that 146 countries currently do not have a national plan for Dementia. Those countries that do have policies often employ a holistic focus on care (e.g. (Dementia Policy Team, 2016; Department of Health, 2009; Government, 2015), however as the disease progresses often the focus of care shifts toward the physical body (Amella, 2004; Fong, Albuquerque, & Inouye, 2016; Russell et al., 2017; van der Maaden, van der Steen, Henrica C.W. de Vet, Cees M.P.M. Hertogh,

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2
3 & Raymond T.C.M. Koopmans, 2016). This emphasis on physical needs often comes at the
4
5 expense of personhood needs (Penrod et al., 2007).
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8 Activity has been demonstrated to be a positive therapeutic intervention with potential to enhance
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10 quality of life and reduce behavioural symptoms in those with dementia, thus potentially avoiding
11
12 pharmacological treatments (Trahan, Kuo, Carlson, & Gitlin, 2014). There is an increasing body
13
14 of research into non-pharmacological, psychosocial and community-based interventions and
15
16 their impact on quality of life and well-being for people with dementia and their family members
17
18 or carers (Douglas, James, & Ballard, 2004; Moniz-Cook, Vernooij-Dassen, Woods, Orrell, &
19
20 Interdem Network, 2011; Young, Camic, & Tischler, 2015). Accordingly, the 2019 National
21
22 Institute for Health and Care Excellence (NICE) Guidance on Dementia refer to several activities
23
24 that fit under the umbrella of psychosocial and non-interventions including aromatherapy, art,
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26 gardening, baking, reminiscence therapy, music therapy, mindfulness and animal-assisted
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28 therapy (National Institute for Health and Care Excellence, 2019). Furthermore, the guidance
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30 suggests that the activities offered should be based on an understanding of that individual's
31
32 unique set of life experiences, circumstances, preferences, strengths and needs (National Institute
33
34 for Health and Care Excellence, 2019).
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41 Meeting this brief is the 'Namaste Care Programme for those with Dementia (NC)' (Simard,
42
43 2013). As dementia advances, family carers describe a changing relationship and sense of loss,
44
45 which can cause significant distress (Warchol-Biedermann, Mojs, Gregersen, Maibom, &
46
47 Millán-Calenti, 2014). Finding new ways of communicating is important to help the family carer
48
49 and person with dementia to maintain a good quality of life. Namaste Care (NC)
50
51 (<http://www.namastecare.com/>) challenges the perception that people with advanced dementia
52
53 are a 'shell', a 'living death'; it provides a holistic approach based on the five senses. NC can
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55 improve communication and the relationships families and friends have with the person with
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57 dementia (Stacpoole, Hockley, Thompsell, Simard, & Volicer, 2015). NC is a psychosocial
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3 intervention that has been implemented variably internationally (Stacpoole et al., 2015); research
4
5 is beginning to develop understanding about the intervention and its cost implications (Bray,
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7 Brooker, Latham, Wray, & Baines, 2019; Bunn et al., 2018; Froggatt et al., 2018; Nicholls, Chang,
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9 Johnson, & Edenborough, 2013; Smaling et al., 2018; St John & Koffman, 2017; Stacpoole,
10
11 Thompsell, & Hockley, 2016), but to our knowledge has only been formally evaluated in care
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13 home settings. A hospice in the North East of England has made provisions to provide NC in the
14
15 person's own home. This is operationalized through the training of volunteers who are then
16
17 matched with a person with dementia, in terms of personality, abilities and interests, for example.
18
19 Two specialist workers lead the project and orchestrate training, debrief events and matching of
20
21 patients and volunteers. Volunteers visit the person for twenty sessions, which are usually weekly
22
23 and last two hours. Delivery is therefore significantly different to that initially outlined by the
24
25 originator, who suggests that it should be delivered twice a day, seven days a week (Simard, 2007)
26
27 (Table 1). However, stakeholders in a recent review indicated that this was unlikely to be feasible
28
29 in most care homes in the UK (Bunn et al., 2018). The review also found little empirical evidence
30
31 on the optimal 'dose' of sensory interventions, such as NC, although the literature did suggest
32
33 that interventions that are delivered more regularly are important for creating a sense of
34
35 reassurance and familiarity and building trusting relationships between residents and carers.
36
37 Home delivery of the intervention also differs significantly from care home delivery in terms of
38
39 staff impact; use of NC in care homes is also intended to address staff satisfaction by enabling
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41 them to have quality time with residents that is not just focused on task-based activities. However,
42
43 there are similar implications for family members' in the delivery of NC in the home environment,
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45 as volunteers delivering NC encourage their participation. This would engage family members
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47 in quality time with their loved one, as opposed to task focused care.
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Table 1: Summary of differences in delivery of NC in the residential care and home setting.

Residential Care Home	Person's Own Home
Seven days per week, 4 hours per day (2 hours in the morning, 2 hours in the afternoon)	2 hour visits once a week
Varied care home staff carrying out the Namaste session	Consistent volunteer carrying out the Namaste session
Given the frequency of the session, this contributes considerably to the daily care of the resident, as well as hydration levels	Less frequent and so less direct contribution to care and hydration levels
Family most likely not present	Family present in the home and invited to learn about and participate in Namaste Care
Staff satisfaction targeted through improving relationships with residents through non task focused care.	Family engagement targeted through invitation to participate in NC with volunteer and provide non task focused care
Option to have a dedicated space for Namaste Care (a Namaste Room or special area)	Requires creating a suitable environment/atmosphere within someone's home
Potentially unfamiliar surroundings	Familiar surroundings

Healthcare provision in Europe, the USA and Australia has seen an emphasis on providing people with choice around the location of their care and death, frequently with an emphasis on driving care into the community and facilitating home deaths (MacArtney et al., 2016). Despite this, statistics indicate that home deaths in PwD are generally low internationally, with significant variance across countries reported as a product of variability in end of life care provision (Reyniers et al., 2014). Furthermore, unmet needs are common in community-living PwD, and

1
2
3 most are non-medical (Black et al., 2019). Recent research has highlighted that home-based
4 dementia care should identify and address PwD's unmet needs by focusing on both care
5 recipients and caregivers to enable the PwD to remain at home (Black et al., 2019). With current
6 policy driving care into the community, ways to support quality of life for people with dementia
7 in their own homes is pivotal.
8
9

10 This research contributes in two ways to the NC nascent knowledge base. Whilst research to date
11 has demonstrated outcomes in care homes, little is yet understood about how and why they occur.
12 In addition, the unique implementation in a community setting affords the opportunity to explore
13 the impact of home as a novel intervention context.
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27 **Methods**

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30 Realist evaluation is a theory driven approach which seeks to understand not only whether an
31 intervention works, but what it is about it that works, for whom, in what circumstances and why
32 (Pawson & Manzano-Santaella, 2012). It acknowledges that interventions take place within
33 complex social systems (Pawson & Tilley, 1997) and is therefore well suited to studying
34 interventions such as NC.
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42 The formulae Context + Mechanism = Outcome (C+M=O) is used to express this. An
43 intervention offers resources (such as hand massage, for example) which can alter the context
44 into which it is introduced (S Dalkin, Greenhalgh, Jones, Cunningham, & Lhussier, 2015) (C;
45 the person with dementia is experiencing restlessness and agitation), triggering a change in the
46 reasoning of intervention participants (M; patient relaxes and feels more able to engage), leading
47 to a particular outcome (O; the person with dementia is less agitated potentially avoiding a respite
48 admission). CMO configurations are used as explanatory formulae (otherwise referred to as
49 realist programme theories), which are developed and refined with empirical data. As with other
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3 evaluations of person centred interventions (SM Dalkin, Lhussier, Jones, Phillipson, &
4 Cunningham, 2018), the use of a realist approach will help to expose the multiple resources
5 delivered as part of NC, the ways that these may be employed with different people, in diverse
6 situations, and how these generate outcomes. Applying the principles of realist evaluation
7 therefore will determine why NC is successful or unsuccessful, in particular contexts.
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12 This research was approved through Northumbria University Ethical Approval System (reference:
13 HLSCW161705). All participants gave informed consent. Due to the exploratory and small scale
14 nature of the research patients and the public were not involved.
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17
18 A realist approach was operationalized in two phases following the RAMESE II guidelines
19 surrounding the development of programme theory: phase 1 focused on building programme
20 theories with volunteers implementing NC in the community, using focus groups (n = 3, with 8,
21 8 and 11 participants respectively); phase 2 consisted of refining the theories with family carers
22 of people who had received the NC intervention (n=8). Focus groups took place at the hospice
23 and interviews were conducted either at the hospice (n=1) or at the family home (n=7). All focus
24 groups and interviews were digitally recorded. Participants were recruited through the Namaste
25 Leads. Volunteers and family carers had the study explained to them in person by the Namaste
26 Lead; if they were interested in participating they provided their email address and/or telephone
27 number with permission for it to be given to the lead researcher (SD). SD then contacted potential
28 participants to arrange a suitable time and location for interview (family carers) or provided the
29 date and time of the focus group (volunteers). Participation in the focus groups and interviews
30 was voluntary and attendance at one focus group did not assume attendance at subsequent ones.
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52 53 **Setting and referrals**

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56 The hospice is set in the North East of England and covers two areas, one town (population of
57 around 25,000) and one city (population of around 65,000). The hospice delivering Namaste in
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3 the community was founded in 1988 and is a registered charity which also receives some income
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5 from the National Health Service (NHS). The mission of the hospice is to make every day count
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7 for those with life limiting illnesses. Their vision is to be a centre of excellence within the
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9 community and to provide compassionate and individualised care in the right time and place for
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11 the person.
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15 Family carers self-referred to the hospice to request access to NC. They were then matched with
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17 a trained volunteer. The hospice received requests for NC from family carers of people with
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19 severe and milder dementia. In order to be inclusive, as a community intervention, the hospice
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21 provided NC to all, not just to those with advanced Dementia. Referral criteria is provided as
22
23 supplementary information 1. Volunteers visited the person with dementia twenty times, in their
24
25 own home, once per week for 2 hours. Should volunteers encounter issues they reported
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27 immediately to one of the two NC leads, one of whom was a trained Admiral Nurse. NC sessions
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29 were personalised based on the person's 'Life Story', which was completed before NC sessions
30
31 began by the NC Lead and shared with the volunteer. All sessions included multisensory bespoke
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33 activities such as hand massage, aromatherapy and music in those with more advanced dementia,
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35 and exploring the garden, baking and singing in those with milder dementia.
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41 Data was transcribed verbatim and imported into NVivo. A realist logic of analysis employed
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43 CMOC was used to build and refine programme theory (Gilmore, McAuliffe, Power, & Vallières,
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45 2019). Throughout the evaluation, analysis moved iteratively from particular examples, to
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47 refinement of programme theory, use of substantive (or middle range) theory and further iterative
48
49 data collection. This continuous loop of analysis generates a reflexive process, utilising
50
51 retroduction to spark insight and develop meaning. The iterative approach allows the revisiting
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53 of the data as new additional questions emerge and connections are established, thus deepening
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55 the understanding and meaning of the findings (Srivastava & Hopwood, 2009).
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Volunteers are referred to throughout analysis as V1-V12, and family carers as P1 – P8.

Objective: To develop initial programme theories detailing if, how and under which circumstances NC works when implemented at home.

Findings

The findings are presented following the phases of the research, with outcomes stated clearly at the end of each phase.

Phase 1: Building programme theories

1. Impact on People with Dementia (PwD)

Volunteers were introduced to their matched PwD and their carer through the hospice NC Lead. During this informal meeting, the PwD's Life Story was discussed, in the form of a larger document called 'My Namaste Care'. This formed a starting point for creating personalised care based on sensory interactions. This was a key step in matching personalities, histories and interests, which was thought to be significant to the intervention success.

V1, FG1: *It's called My NC. So it's like a life-story template that we use. With, sort of, prompt questions that we work through. But it's capturing those really special memories that might ignite some kind of recognition.*

The life story was thought to be a key intervention component, although volunteers suggested that it was only a basis to work from.

V1, FG1: *There's the things that you plan from the life story. [...] sometimes you don't know what's going to work. So an example, I took some vintage rose body spray stuff to try this week, and I don't know that she likes it. And*

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3 *this lady is not speaking at all now, so I let her smell it. And clear as anything*
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5 *– “Oh, nice...” Was the response I got.*
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10 It is also important to acknowledge that reactions are not always predictable.
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13 **V2, FG3:** *So, the lady that I visit, she's been quite static, really, for the*
14 *time I've been visiting. There's times I try things and I don't get much of a*
15 *response, and then there's other times I get a really lovely response.*
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23 Therefore the life story created a base for volunteers to work from, leading to experimentation
24 with different resources which could engage the PwD, in ways that had previously become
25 difficult.
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30 **V4, FG3:** *Some days, she's needed very little prompting. I mean, we made*
31 *12 cupcakes, one week, and she iced them completely on her own.*
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37 Once the PwD was engaged in sensory activities as part of NC, often a response
38 was observed by the volunteer.
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44 **V2, FG3:** *You might see a difference from her being fairly tense in how she*
45 *is in her body to being more relaxed... Increased eye contact across the*
46 *time, from the beginning of a session to the end. You do see changes like*
47 *that. But they're quite difficult to measure, I think.*
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3 Those who had more advanced dementia also indicated engagement and an emotional response,
4 even if verbal communication was not possible. The volunteers were skilled at picking up non-
5 verbal responses to the intervention.
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10 **V2, FG3:** *You know, it's about getting to know the person. She tells me a*
11 *lot, just with our non-verbals. I was reading this poem [...] There was lots*
12 *and lots of, sort of, film star names that I was reading out as part of this*
13 *poem. And when we got to Marlon Brando, she was like this... (wide*
14 *excited eyes) And when I checked out with her husband, sure enough, he*
15 *was her favourite. So, she was still telling me. She was still communicating*
16 *in her own way.*
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29 From the findings presented above, the following programme theory was developed:
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32 **Programme theory 1:** The volunteer is aware of the person's life story (context).
33 Experimentation based on the life story is used to identify useful personalised activities (resource)
34 which evoke an emotional response from the person with dementia, meaning they engage with
35 the NC worker (reasoning). The outcome can be relaxation, engagement, increase in alertness or
36 emotional response.
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48 **2. Impact on family carers**

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50 One of the guiding principles of NC is to engage the people surrounding the PwD, whether this
51 be care home staff or family carers. Volunteers suggested that often family carers felt that they
52 had no hope and felt a sense of helplessness, which was compounded by a lack of support.
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57 **V1, FG1:** *You know, a lot of people talk now about where they go through*
58 *the medical system, and there's a lot of... It's a very impersonal feeling*
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3 *a lot of the time. Not necessarily with GPs, but with going through the*
4 *hospital system and... You know, it's just... Next. So whether it's just*
5 *that very different, personal feel, it just seems to be very meaningful for*
6 *people.*
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15 In this context, volunteers believed that the weekly visit by the NC volunteer had a
16 significant impact on family carers too, offering acknowledgement, support and hope:
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18 **V6, FG1:** *Families don't like the idea that there's no hope anymore... They*
19 *hate the phrase "There's nothing that can be done." They really don't like*
20 *that. So I think for some families, that sense of hope that actually there is*
21 *something that you can do. You are... Somehow, bringing something very*
22 *positive to that person.*
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33 Such reactions from loved ones led to the realisation that the PwD is still living, thus challenging
34 the idea that those with dementia are a 'living shell', which often led to a feeling of increased
35 hope and wellbeing for family carers.
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40 **V4, FG1:** *So, maybe, seeing that patient smile reminds the husband that,*
41 *you know, she's still in there. Or, you know, laughing or... Or whatever. I*
42 *mean, just the, sort of, difference between the... There's a tendency to think*
43 *the emotional piece has died with the cognitive.*
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50 **V2, FG3:** *And I think he also just enjoys seeing her enjoying herself.*
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3 This inherently acknowledges the PwD is a person capable of feeling, expressing and engaging,
4 even if differently than before. Impact on family carers is thus mediated through this valuing of
5 the PwD, and the close bond they have with them.
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10 However, volunteers were wary of providing what could be thought of as too much hope, being
11 conscious of the potential for family carers to misconstrue or overestimate the potential impact
12 of NC.
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18 **V2, FG3:** *I think it does give them a little bit of hope. The husband of the*
19 *lady that I visit – that's been a bit of a problem [...] unrealistic*
20 *expectations, initially. So he was asking if I was going to get her talking*
21 *again and that kind of thing. So, I think you've got to tread carefully with*
22 *that.*
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33 Following the analysis above, programme theory 2a was built:
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36 **Programme theory 2a:** In a context where family carers have seen their loved one decline and
37 been told there is 'no hope' and received little or impersonal care (context) use of NC to evoke
38 reactions from their loved one (resource) leads to them feeling hopeful and acknowledging that
39 their loved one is still 'living' (reasoning). This leads to increased hope (outcome) and wellbeing
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49 An additional context was highlighted at this point; family carers were often focused on task-
50 based daily care (around cleaning and feeding for example) which took up a great proportion of
51 their time and energy. This meant that they sometimes struggled to engage with NC, as initially
52 expected.
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3 **V1, FG1:** *So it is down to one main carer, often, to do a lot of the...*
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5 *And it does become very functional, very task-based.*
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10 **V6, FG2:** *The husband of the person I see, [...] he asked once how*
11 *things went [...] And he said he felt a little guilty, like “It’s not*
12 *something I have had time to do” or something like that. And I thought*
13 *later that what I should have said is, you know, you do everything else.*
14 *And this is icing on the cake or something. But he expressed this... It*
15 *wasn’t jealousy or anything, it was like just... You know, wishing that*
16 *he had.*
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28 Family carers could enjoy respite because the person focused quality of the NC approach meant
29 that the family carer felt the PwD was in safe hands, offering a level of engagement that they
30 themselves could not always achieve.
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38 **V6, FG2:** *He’s... A couple of days have been sunny and beautiful. And*
39 *he’s very interested in his garden. So he loved the idea that, you know, she*
40 *was being stimulated and cared for. And he could escape to the garden.*
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47 **V4, FG3:** *Occasionally, if she’s having a foot massage, he will sit on the*
48 *sofa and contribute. But, most of the time, he’ll take himself off to do the*
49 *ironing or his crossword – just, sort of, upstairs. And he said that he*
50 *benefits from that little two-hour slot of respite.*
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58 As a result of this analysis, an alternative hypothesis was created:
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3 **Programme theory 2b:** Family carers provide task focused care and have little input from other
4 services (context). A familiar NC volunteer provides 2 hours of interaction with the PwD
5 (resource) which eases off worries about the PwD and allows them to have some respite
6 (reasoning) which leads to an increase in well-being (outcome).
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16 **3. Family Carer use of NC**

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19 As described above, volunteers described how they felt often family carers roles had become task
20 focussed, as opposed to engaging in enjoyable activities with their loved one. This was despite
21 volunteers offering participation to family members. Family carers had shown initial interest in
22 NC, but at this point volunteers assumed that they had not been confident enough to use the
23 techniques themselves.
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31 **V2, FG3:** *I've noticed her husband coming in more and more and*
32 *more. You know, having... You know [...] and I'm showing him what*
33 *I'm doing and he's showing more interest. I don't know whether he*
34 *would ever be confident enough to try it himself.*
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43 The volunteers suggested that if the NC box, which contains all of the items they use with the
44 PwD, was left in their home, family carers may become familiar with it and potentially use some
45 of the techniques introduced by the volunteer. This would enable them to engage with the PwD
46 on a different level than purely task focused.
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53 **V7, FG1:** *I think for some families it'll help take away the, sort of, pure*
54 *task-focused work. You know, that we have to do every day. The*
55 *washing, dressing and the, sort of, general day care... Day-to-day care*
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3 *[...]. I think some families... I can see that opening up to them, to a*
4 *different view of... Of the way they care for the person.*
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10
11 The analysis above resulted in the following programme theory (3):
12

13 **Programme theory 3:** Being often task focused, family carers recognise the value of NC
14 (context). A tailored activity box is left at the person's home (resource). The family are keen to
15 engage in activities that enable them to connect emotionally with the PwD (reasoning). Family
16 use NC independently (outcome).
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23 24 25 26 **4. One on one use of NC with matched volunteer** 27

28
29 Matched volunteers and continuity were identified as a key feature of using NC at home. This
30 was considered as a positive of the home environment as opposed to the traditional use of NC in
31 a care home, where a group environment is employed.
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39 **V1, FG2:** *And I suppose you're getting the same person, as well. So*
40 *you have got that ability to build the relationship*
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47 Matching volunteers with the PwD and allowing them to have one to one sessions regularly
48 resulted in the volunteers understanding the person's likes and dislikes despite often limited
49 verbal abilities.
50
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52

53
54 **V1, FG1:** *I mean, this was probably about week four or five of visits.*

55 *So I sort of know, roughly, what... What relaxes her. So I know a hand*
56 *massage, she'll get quite sleepy and relaxed. What I've learned is that*
57
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3 *if I kind of joke around, that brightens her up. You know, you get a*
4 *response that way. So it is based a little bit on, sort of, observing across*
5 *the weeks what she, sort of, engages with.*
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15 It was also evident that volunteers built up a very strong emotional connection with
16 the PwD that they were matched with.
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21 **V4, FG3:** *And she used my name for the first time, yeah. On Wednesday.*
22 *Which was heart-warming (crying).*
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31 This strong emotional connection in some cases resulted in recognition of the volunteer by the
32 PwD.
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36 **V1, FG1:** *It feels like there's some recognition there [...] she recognises*
37 *how I... How she feels when I'm there. So that emotional connection is*
38 *what... Is, sort of, the link between each week."*
39
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45 This can evoke reactions and a proactivity that might have been largely unseen before.
46 Furthermore, recognition also transcended the place related context of the NC intervention
47 (V10).
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54 **V9, FG3:** *Well, I wash my lady's feet every week. She doesn't like her*
55 *hands to be washed, but she loves to put her feet in water. And, at first,*
56 *I would say, "I'm just going to get the dish, you know..." But now I*
57
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3 *pick the dish up and when I come back her socks and shoes are off.*
4
5 *She's taking them off.*
6
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10 **V10, FG3:** *Well, my lady is going to respite, because her husband has*
11 *been taken into hospital. So, I went to visit her yesterday, and I didn't*
12 *know if she would recognise me in a different situation – but she did,*
13 *straightaway. And she kept saying, over and over, "I'm so glad you*
14 *came."*
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25 The following programme theory was built based on the analysis presented above:
26

27 **Programme theory 4:** One volunteer is aligned to a person with dementia and spends 2 hours
28 per week solely with that person (context). The volunteer therefore has a knowledge history of
29 what works/doesn't work and what the person likes (resources). This allows the volunteer and
30 the person with dementia to develop a strong emotional connection (reasoning). The outcome is
31 an increased engagement which might have previously been thought of as impossible (outcome).
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39 The focus groups with NC volunteers led to the formulation of four programme theories, which
40 focussed on: 1) the life story; 2) hope for family carers; 3) the development of new ways of
41 interacting; 4) the relationship between volunteers and PwD. These initial programme theories
42 were then refined through interviews with family carers.
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52 **Phase 2: Refining and Testing programme theories**

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54 Phase 2 consisted of interviews with family carers of those with dementia who were engaged in
55 NC sessions.
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3 Programme Theory 1, which focussed on the direct response of the PwD to the NC interventions,
4
5 in the context of good knowledge of the person's life story, was well supported by the interviews
6
7 with family carers.
8
9

10 **P4:** *Because they've done their Life Story. You see... My dad [...] liked*
11 *his music with church. So, [Volunteer] has come along with... From*
12 *the sport point of view. Music from Grandstand and, you know... Some*
13 *of those. But he's found You'll Never Walk Alone, which is...*
14 *Although it is music, it's what they used to sing at the church. And just*
15 *played it off his tablet. They were all singing. My mam and [Volunteer]*
16 *were singing to him.*
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30 However, family carers also indicated the importance of social interaction between the person
31 with dementia and the NC volunteer. This was particularly important, but not limited to, those
32 with less advanced dementia.
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38 **P3:** *I think it's valuable. I think it's worthwhile. And I think [PwD's*
39 *Name] definitely gets something out of it, because I think she*
40 *desperately needs that interaction with people.*
41
42
43
44

45 **P4:** *Well, I mean, in the home, like my mam – who will not go out –*
46 *you're taking away an element of isolation. You're bringing an interest*
47 *from outside into her. Which she wouldn't get.*
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56 Whilst professionals emphasised the need to trigger an emotional connection with the PwD,
57 regardless of their verbal abilities, family carers talked more about the value of social interaction.
58
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3 One family carer in particular questioned whether it was specifically interaction with the NC
4
5 volunteer that was important, or whether it was just social interaction in general.
6
7

8 **P8:** *I think she just enjoys any interaction, to be quite honest.*
9

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14 **Refined Programme Theory 1:** As dementia progresses, people's opportunities to engage in
15
16 social interactions that are meaningful to them become more limited (context). Using their
17
18 knowledge of the person's life story to develop a set of bespoke interactional tools and techniques
19
20 (resources), NC volunteers evoke an emotional response in the PwD (reasoning), leading to a set
21
22 of relaxation, engagement and alertness outcomes.
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29 Programme theory 2a confirmed that family carers often felt a lack of hope and helplessness
30
31 about their loved ones dementia, but the theory was less well supported in terms of NC increasing
32
33 that hope through interaction. Family carers indicated that they still found it very difficult to
34
35 interact with their loved one, and struggled not to see them through the same lens as they did
36
37 when they were well.
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42 **P3:** *I can't react to [PwD] the way that a stranger does anymore. I do*
43
44 *my best to react, and interact, with her - to look after her and all the rest*
45
46 *of it. But I'm her carer. I find it... It's not easy for me to, sort of, like*
47
48 *keep on talking to [PwD].*
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54 Some family carers went so far as to think that it was not possible for anyone to communicate
55
56 with their loved one, as they believed dementia prevented this.
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3 **P7:** *So, there's no communication. I can't communicate with him. I*
4 *couldn't ask him... You can ask him if he has a... He scrunches his*
5 *face, or if he cries out, if you ask him what's wrong, have you got a pain,*
6 *he doesn't know. He doesn't know whether he's got a pain. So,*
7 *therefore there's nobody can communicate with him.*

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18 **Programme theory 2a** was formulated as: In a context where family carers have seen their loved
19 one decline and been told there is 'no hope' / 'nothing can be done' and received 'impersonal
20 care' (context) use of NC to promotes reactions from their loved one (resource) leads to them
21 feeling hopeful and acknowledging that their loved one is still 'living' (reasoning). This leads to
22 increased hope (outcome) and wellbeing.

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30 Consistent with realist analysis, where theories are refined, substantiated or rejected as they are
31 tested through empirical data, the lack of substantiation of this theory led to its rejection at this
32 stage. Support was found for the alternative programme theory 2b though, which related to the
33 use of NC as respite for family carers.

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40 **P2:** *It's continuous, basically, when you're looking after somebody with*
41 *Alzheimer's. You know, it's 24... Well, not quite 24-7, but a lot of the*
42 *time. And it's just nice to have a couple of hours to do something*
43 *completely different, you know. And know that they're in safe hands.*

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53 One family carer also felt that her not being present was an advantage as it engaged her mother
54 more in the NC sessions.

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3 **P4:** *I think it's nice for mam, me not being involved. Because, if I'm there,*
4 *mam will look at me to answer questions. Will look at me to make*
5 *conversation. So, I'm better out of the way. It means she has to... And*
6 *she starts talking. So, yeah, I potter on.*
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16 The 2 hour respite provided by NC sessions was particularly appreciated in light of the
17 perceived lack of services to help people with dementia and their family carers.
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19

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21 **P4:** *But I am literally on duty until I get dad into bed, and his last*
22 *eye drops in – that's usually about quarter to ten at night. And*
23 *that's seven days a week [...]. Because I can't take holidays, I can't*
24 *have breaks. I get two hours (official respite), once a fortnight.*
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34 **P7:** *I've had no help whatsoever [...]. They say on there (TV), there's*
35 *people to get help. They don't... You're just left. I mean, I was just left*
36 *to manage on my own...*
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45 As a result of the analysis, **Refined Programme theory 2b** was postulated: Family carers
46 provide continuous care and have little input from other services (context), provision of 2 hours
47 contact with a trained NC volunteer (resource) allows them to concentrate on other things,
48 knowing that the PwD is in safe hands (reasoning) which gives them restorative time and space
49 (outcome).
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3 No support was found for programme theory 3, which suggested that NC would engage family
4 carers and give them knowledge of how to engage in sensory activities with the person with
5 dementia.
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10 **P6:** *And, of course, I want to think they've played music and read poetry*
11 *and massaged the ladies with cream on their hands... Because what my*
12 *problem has been – I can take care of her physically... I can keep her*
13 *safe, I can keep her warm, I can keep her dressed and comfortable... But*
14 *I can do nothing at all to improve the quality of her life, you see.*
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26 One family carer also suggested that she thought her mother would feel uncomfortable if she
27 were to try to use the techniques herself, as she already provided so much care for her, which
28 was time and resource intensive.
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33 **P4:** *They have the time to spend to really draw them out. I haven't. I've*
34 *got to break off to go and do their meals, to get the washing dried... So,*
35 *it's nice that somebody has the time to spend with them, and solely them.*
36 *And mam and dad accept that. When they're not there, they wouldn't do*
37 *that with me.*
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49 **Programme theory 3** was therefore not supported by the family carers' interviews.

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51 Programme theory 4 concerned the importance of having one volunteer aligned to one person
52 with dementia for the 20 sessions of NC.
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3 **P2:** *She got quite emotional herself. You know, which was nice.*
4
5 *I mean... She obviously cared that much, you know. And, yes, we*
6
7 *did very much see her as a friend.*
8
9

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15 Family carers echoed the focus group discussions describing a very strong emotional connection
16
17 between the person with dementia and the NC volunteer. This was often described using
18
19 recognition as a proxy.
20
21

22
23
24 **P3:** *And I think it's just, you know, spending time with her.*
25
26 *Because her eyes do light up, mind, when [Volunteer] comes. So,*
27
28 *there is some sort of recognition. As almost, like, a friend or*
29
30 *relative from [PwD] so... I think from that point of view, that*
31
32 *makes me happy.*
33
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38 Related to the importance of the emotional connection, family carers highlighted the importance
39
40 of having a consistent NC volunteer.
41
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43
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45
46 **P4:** *If you just... One person stops and another person comes in, I*
47
48 *think you're then going to have a knock-on effect that it's going to*
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50 *take, again, two, three sessions before you have the relaxed*
51
52 *atmosphere again.*
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3 **Programme theory 4** was therefore supported, stating that: One volunteer is aligned to a person
4 with dementia and spends 2 hours per week solely with that person (context). The volunteer
5 therefore has a knowledge history of what works/what doesn't work and what the person likes
6 (resources). This allows the volunteer and the person with dementia to develop a strong emotional
7 connection (reasoning). The outcome could be considered as the recognition of the volunteer by
8 the PwD but actually this leads to friendship, which could suggest an increased quality of life for
9 both people.
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23 Interviews with family carers highlighted the importance of the one to one interaction in NC. In
24 a care home setting, NC is usually implemented in a group environment. Family carers discussed
25 group environments in relation to other activities they had tried with their loved ones, or group
26 family situations:
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32 **P4:** *Although I tried to persuade her to go to, like, the dementia cafes*
33 *or singing for the brain and all this type of... No. Won't go.*
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40 Discussions were also then framed to ask about NC in a group environment, as is delivered in
41 care homes:
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47 **P2:** *Yeah, it's far more focused. It's focused on the individual, as*
48 *you say. Plus the fact that in general, quite willingly, she's passive*
49 *in a big group. She has the rest of the group, you know, to take*
50 *over basically. And so she doesn't contribute. Not that she, sort*
51 *of, doesn't want to. She just doesn't feel the need to, if you see*
52 *what I mean? She doesn't feel, sort of, overawed by the group.*
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8 Family carers also described how they liked their loved one to have social interaction, as
9 described in Programme theory 1, but often it caused the person anguish.

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13 **P8:** *I think the thing with [PwD] is it's got to be one-on-one. That*
14 *really... It's sort of the experience with her – if there was time to*
15 *leave her in a group situation... It would just upset her so much.*
16 *And I think... I think she thinks to herself, why am I here with*
17 *these people, who I don't know, and there's something wrong with*
18 *them.*
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30 The interviews with family carers led to refinement of theory 1 (the life story), rejection of theory
31 2a (hope for family carers) and further development of 2b (respite). Theory 3 (development of
32 new ways of interacting) was also rejected, but support was identified for theory 4 (relationship
33 between the volunteer and PwD). The one on one delivery of NC in the home setting was also
34 highlighted by family carers.
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45 **Discussion**

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48 This preliminary study developed initial programme theories for the novel use of NC in peoples'
49 own homes, as opposed to care homes. Including contrasting programme theories 2a and 2b, in
50 total five programme theories were developed from the focus groups with NC volunteers, of these
51 programme theories, 3 were supported (table 2).
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58 **Table 2: Programme theories developed and their refined counterparts**

Programme Theory	Focus Group Developed Theories	Interview refined programme theories
1.	The volunteer is aware of the person's life story (context). Experimentation based on the life story is used to identify useful personalised activities (resource) which evoke an emotional response from the person with dementia, meaning they engage with the NC worker (reasoning). The outcome can be relaxation, engagement, increase in alertness or emotional response.	As dementia progresses, people's opportunities to engage in social interactions that are meaningful to them become more limited (context). Using their knowledge of the person's life story to develop a set of bespoke interactional tools and techniques (resources), NC volunteers evoke an emotional response in the PwD (reasoning), leading to a set of relaxation, engagement and alertness outcomes.
2a.	In a context where carers have seen their loved one decline and been told there is 'no hope' and received little or impersonal care (context) use of NC to evoke reactions from their loved one (resource) leads to them feeling hopeful and acknowledging that their loved one is still 'living' (reasoning). This leads to increased hope (outcome) and wellbeing.	Not supported.
2b.	Carers provide task-focused care and have little input from other services (context). A familiar NC volunteer provides 2 hours of interaction with the PwD (resource) which eases off worries about the PwD and allows them to have some respite (reasoning) which leads to an increase in well-being (outcome).	Carers provide continuous care and have little input from other services (context), provision of 2 hours contact with a trained NC volunteer (resource) allows them to concentrate on other things, knowing that the PwD is in safe hands (reasoning) which gives them restorative time and space (outcome).
3.	Being often task focused, family members recognise the value of NC (context). A tailored activity box is left at the person's home (resource). The family are keen to engage in activities that enable them to connect emotionally with the PwD (reasoning). Family use NC independently (outcome)	Not supported
4.	One volunteer is aligned to a person with dementia and spends 2 hours per week solely with that person (context). The volunteer therefore has a knowledge history of what	One volunteer is aligned to a person with dementia and spends 2 hours per week solely with that person (context). The volunteer therefore has a knowledge history of what works/what

	works/doesn't work and what the person likes (resources). This allows the volunteer and the person with dementia to develop a strong emotional connection (reasoning). The outcome could be considered as the recognition of the volunteer by the PwD but actually this leads to an increased engagement which might have previously been thought of as impossible (outcome).	doesn't work and what the person likes. (resources). This allows the volunteer and the person with dementia to develop a strong emotional connection (reasoning). The outcome could be considered as the recognition of the volunteer by the PwD but actually this leads to friendship, which could suggest an increased quality of life for both people.
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The 'one on one' delivery of NC in the home setting in this study was highlighted by family carers as being preferable, not only because the person was in familiar surroundings but due to the increased engagement this provided. Family carers suggested that their loved one would be more likely to disengage in a group environment. NC aims to engage the senses and using it in the home setting could have the potential to allow more tailored delivery, with fewer distractions.

Evidence suggests that sustained lack of stimulation can be detrimental to people in care homes who suffer from dementia, as it augments the apathy, boredom, depression, and loneliness that often accompany the progression of dementia (Buettner, Lundegren, Lago, Farrell, & Smith, 1996; Cohen-Mansfield, Dakheel-Ali, & Marx, 2009). The same, if not more enhanced, could be assumed for those with dementia who live at home and this could be supported by the preliminary findings of this research. This study and others (Lee, Boltz, Lee, & Algase, 2017; Mabire, Gay, Vrignaud, & Garitte, 2016) have highlighted the importance of social interaction for PwD; PwD living at home have very little interaction with people other than their family and formal carers, due to issues of mobility and anxiety outside of home. Furthermore, family carers expressed an inability to interact with the PwD as they used to, this is in line with observations from another study using NC, which focused on touch (Nicholls et al., 2013).

Cohen-Mansfield et al. (Cohen-Mansfield et al., 2009) suggest a framework for engagement of people with dementia (Figure 1, reproduced). The theoretical framework suggests that

1
2
3 environmental attributes (home setting), stimuli attributes (sensory activities) and person
4 attributes (NC: Life story, matched volunteers and continuity with volunteer), alongside
5 interactions among these attributes, affect engagement with stimuli by PwD. NC in the home
6 environment could be said to be more open to personalised and tailored activities than a care
7 home environment, with a 'one on one' approach and less distractions, such as other residents,
8 therefore making the environment facilitative. Stimuli presented to the PwD in NC are also
9 matched at first with the PwD attributes, through use of the Life Story. Cohen-Mansfield et al.
10 [19] suggest that personalised activities are more likely to engage the PwD (Cohen-Mansfield,
11 Thein, Dakheel-Ali, & Marx, 2010). This conceptual framework concerning engagement of
12 persons with dementia therefore reflects NC well. The authors have also developed a
13 measurement of engagement, which could potentially be used in future research on NC given
14 their complementarity of one another.
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34 **INSERT Figure 1:** A framework for engagement of people with dementia (reproduced from:
35 Cohen-Mansfield, J., M. Dakheel-Ali, and M. Marx, *Engagement in persons with dementia: the*
36 *concept and its measurement*. American Journal of Geriatric Psychiatry 2009. 17(4): p. 299-307).
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42 Image reproduced with permission of the rights holder, Professor Mansfield-Cohen.
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48 Caring for people with dementia can be stressful, lead to family conflicts and cause burnout
49 (Balakrishnan & Fleck, 2017); recent research has highlighted a need for further exploration of
50 family carers' views about care for those with dementia at home (Davies, Maio, Rait, & Iliffe,
51 2014; Miranda-Castillo, Woods, & Orrell, 2013). One of the unintended consequences of NC in
52 the home setting was its use by family carers for respite. NC aims to engage the family, with care
53 home staff encouraging family and friends to join in where appropriate (Stacpoole et al., 2016;
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3 Thompson, Stacpoole, & Hockley, 2014). However, usual use of NC is in a care home setting,
4
5 where family members do not provide the majority of task focused care. The family carers in this
6
7 study described a lack of support and a need for respite, which is supported in the literature
8
9 (Parkinson, Carr, Rushmer, & Abley, 2016). NC provided a weekly two-hour window of respite
10
11 in which family carers could have restorative time and space. Furthermore, the findings suggested
12
13 that the PwD themselves may feel uncomfortable with their family member providing sensory
14
15 stimulation which could be seen as placing additional time demands on family members. Future
16
17 research should investigate whether those who do not know the PwD, such as volunteers and care
18
19 home staff, are better placed to deliver NC.
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23
24 This exploratory research has started to provide explanations of how NC may work in the home
25
26 setting. Future research has been briefly previously outlined, but could also include investigations
27
28 of use of volunteers to deliver NC in care homes, to allow the intervention to also be delivered
29
30 to those with milder dementia. Furthermore, an ethnographic approach to develop further
31
32 understanding of outcomes for those receiving NC would be beneficial.
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40 **Strengths and Limitations**

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42 To our knowledge, this is the first formal evaluation of NC in the home setting. It is also the first
43
44 to explore the use of volunteers to deliver NC. The findings highlight that further research is
45
46 necessary, but outline interesting findings in terms of intervention fidelity and unintended
47
48 outcomes.
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51
52 A limitation of the study is the sample size; while some programme theories were not
53
54 substantiated by the data, it could be that this was due to this particular sample. As in all realist
55
56 research, these findings do not claim finality, but merely the beginning of an explanatory
57
58 endeavour for NC.
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3 A caution should also be outlined in interpreting the findings, due to the vast differences in
4 implementation in people's own homes in comparison to care homes. Adapting an intervention
5 like NC to work in the home environment does bring challenges for evaluation as the intervention
6 itself is inevitably altered to facilitate delivery. In this delivery of the intervention, the 'dose' was
7 different, however, recent research found little empirical evidence on the optimal 'dose' of
8 sensory interventions. Furthermore, the interaction with volunteers as opposed to care home staff
9 warrants further investigation and the inclusion of those with mild dementia poses questions
10 around intervention focus and benefit, given that NC was developed for people with dementia
11 who have physical and cognitive deterioration and are unable to engage with other activities.
12 However, recent research highlights the challenge of examining whether the impact of
13 interventions vary depending on cognitive ability and indicates that further research is needed to
14 assess how psychosocial interventions can be of use across the stages of dementia (Young et al.,
15 2015).

16
17 As is the process for realist research, theories were tested and refined or rejected. We aimed to
18 report as much as possible on the process of analysis in order to be transparent and rigorous.
19 Furthermore, it is important to counteract publication bias of only positive results, although we
20 do not consider the unintended consequences identified in this study negative (that of respite).
21 Finally, it also enables the research field to build on the knowledge created and discourages
22 repeated research in the same area.

51 **Implications for clinicians and policymakers**

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53
54 The research highlights positive outcomes for PwD, volunteers and family members. However,
55 it also highlights that NC may not work in the same way in the persons own home, as it does care
56 homes. This does not detract from the value of NC, but warrants further investigation. It also
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2
3 indicates the unmet needs of family carers. In order to facilitate PwD to live at home and to meet
4
5 the current drive of care into the community, we need to firstly ensure the needs of those with
6
7 dementia and their carers are met, whether these needs be physical, emotional or social.
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10 11 12 13 **Conclusion**

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16 A recent cohort study indicated that people with advanced dementia still often live with
17
18 distressing symptoms (Candy et al., 2017) and that community services are often not tailored to
19
20 their non-medical needs (Black et al., 2019). Longitudinal input focused on improving quality of
21
22 life using personalised interventions such as NC shows promise in optimising life for PwD and
23
24 providing much needed respite for their carers.
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32 **Author Contributions**

33
34 SD drafted the manuscript with conceptual input from ML. NK drafted sections relating to
35
36 Namaste Care. JA drafted sections on palliative aspect of Namaste Care. ML, NK, JA and ST all
37
38 read and commented on drafts and approved the final submitted version.
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27 [ta/file/507981/PM_Dementia-main_acc.pdf](https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment_data/file/507981/PM_Dementia-main_acc.pdf)
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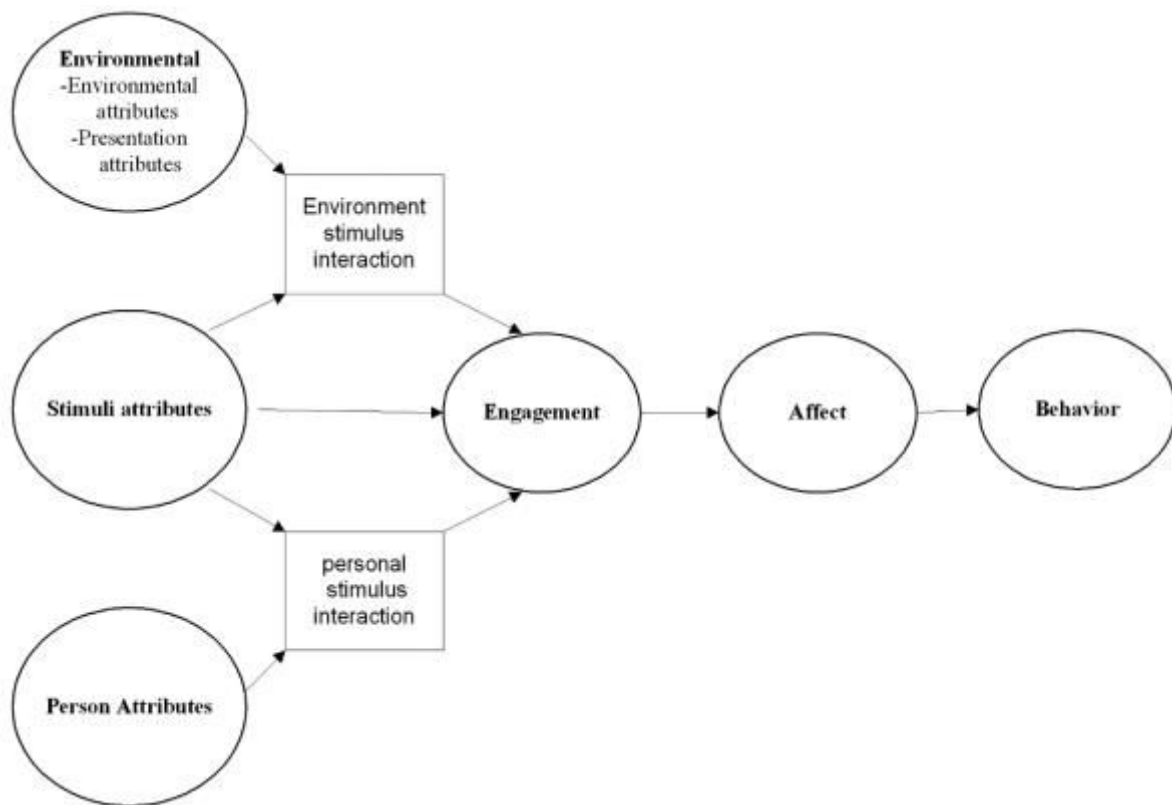


Figure 1: A framework for engagement of people with dementia (reproduced from: Cohen-Mansfield, J., M. Dakheel-Ali, and M. Marx, *Engagement in persons with dementia: the concept and its measurement*. American Journal of Geriatric Psychiatry 2009. **17**(4): p. 299-307).

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Supplementary information 1:

The following criteria are used by the hospice and intended to provide guidance on appropriate referrals for the community based Namaste Care Project.

- The person living with dementia lives at home in the central [location details] or [location details].
- The person living with dementia is most likely in their last year of life.
- The person living with dementia is finding it more difficult to communicate verbally.
- They have become completely dependent on the support of others for activities of daily living.
- They would not now find it easy to leave the house or engage in group activities.
- They would benefit from a gentle, sensory approach, on a one to one basis by a trained volunteer to enhance their wellbeing.
- The person with dementia and/or carer has consented to the referral and is aware that the carer needs to be present in the house during Namaste visits.

RAMESES (Realist And Meta-narrative Evidence Syntheses: Evolving Standards) II: Items to be included when reporting realist evaluations (Wong et al., 2016):

Wong, G., Westhorp, G., Manzano, A., Greenhalgh, J., Jagosh, J., & Greenhalgh, T. (2016). RAMESES II reporting standards for realist evaluations. *BMC Medicine*, 14(96). doi:10.1186/s12916-016-0643-1

	Reported in document (Y/N/Unclear)	Page
ABSTRACT		
Identify the document as a realist evaluation	Y	Pg.1 and throughout
Relevant abstract information	Y	Pg. 4
INTRODUCTION		
Rationale for Evaluation	Y	Pg. 9
Programme Theory	Y	Pg. 11
Evaluation questions, objective and focus	Y	Pg. 11
Ethical approval	Y	Pg. 10
METHODS		
Rationale for using realist evaluation	Y	Pg.9
Environment surrounding the evaluation	Y	Pg. 11
Describe the programme policy, initiative or product evaluated	Y	Pg. 11
Describe and justify the evaluation design	Y	Pg. 9
Data collection methods	Y	Pg. 11
Recruitment process and sampling strategy	Y	Pg. 10
Data analysis	Y	Pg. 11
RESULTS		
Details of Participants	Y	Pg. 11
Main findings	Y	Pg. 12
DISCUSSION		
Summary of findings	Y	Pg. 29
Strengths, limitations and future directions	Y	Pg. 33
Comparison with existing literature	Y	Pg. 32
Conclusion and recommendations	Y	Pg. 35
Funding and conflict of interest	Y	Pg. 2

BMJ Open

Namaste Care in the home setting: Developing initial realist explanatory theories and uncovering unintended outcomes

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Primary Subject Heading:	Mental health
Secondary Subject Heading:	Palliative care, Public health, Qualitative research
Keywords:	Dementia < NEUROLOGY, Namaste Care, Volunteers, Social Interaction, Respite

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Manuscripts

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3 **Namaste Care in the home setting: Developing initial realist explanatory theories and**
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5 **uncovering unintended outcomes**
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Competing Interests

The authors declare no competing interests.

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3 **Data Statement**
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6 Data from the study is not shared as participants consented for their data to be used only in this
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8 study.
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For peer review only

Abstract

The End-Of-Life Namaste Care Program for People with Dementia, challenges the misconception that people with dementia are a 'shell'; it provides a holistic approach using the five senses, which can provide positive ways of communicating and emotional responses. Previously used in care homes, this study is the first to explore the pioneering use of Namaste Care in people's own homes.

Objective: To develop initial programme theories detailing if, how and under which circumstances Namaste Care works when implemented at home.

Design: A qualitative realist approach following the RAMESES II guidelines was employed to understand not only whether Namaste Care has positive outcomes, but also how these are generated, for whom they happen, and in which circumstances.

Setting: A hospice in the North East of England, operating in the community, through volunteers.

Participants: Programme theories were developed from three focus groups with volunteers implementing Namaste Care and eight interviews with family carers.

Intervention: 'Namaste Care Programme for those with Dementia; Namaste Care challenges the perception that people with advanced dementia are a 'shell', a 'living death'; it provides a holistic approach based on the five senses. It is proposed NC can improve communication and the relationships families and friends have with the person with dementia.

Results: Four refined explanatory theories are presented: increasing engagement, respite for family carers, importance of matched volunteers and increasing social interaction.

Conclusions: Namaste Care provides holistic and personalised care to people with both moderate and advanced dementia, improving engagement and reducing social isolation. In the present study carers often chose to use Namaste Care sessions as respite. This was often linked to their

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3 frustration of the unavoidable dominance of task-focused care in daily life. Individualised
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5 Namaste Care activities thus led to positive outcomes for both those with dementia and their
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7 carers.
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11 **Keywords:** Dementia, Namaste Care, Volunteers, Social Interaction, Respite
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16 17 **Article Summary**

18 19 **Strengths and limitations of this study**

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- 23 • This small and specialist study explores the use of a novel intervention, Namaste Care, in
24 a new setting, the person's own home.
 - 25 • The article reports two stages related to theory building using a realist approach in order
26 to be rigorous and transparent in the theory development surrounding Namaste Care use
27 in the home setting.
 - 28 • A limitation of the study is the sample size; while some programme theories were not
29 substantiated by the data, it could be that this was due to the limited sample size.
 - 30 • Vast differences are acknowledged in the implementation of Namaste Care in people's
31 own homes in comparison to care homes.
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47 Word count: 8454
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50 51 **Introduction**

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53 Globally, the numbers of people living with dementia will increase from 50 million in 2018 to
54 152 million in 2050, a 204% increase.[1] Despite this, the World Health Organisation [2] recently
55 highlighted that 146 countries currently do not have a national plan for Dementia. Those
56 countries that do have policies often employ a holistic focus on care (e.g.[3-5] however as the
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3 disease progresses often the focus of care shifts toward the physical body.[6-9] This emphasis on
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5 physical needs often comes at the expense of personhood needs.[10]
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8 Activity has been demonstrated to be a positive therapeutic intervention with potential to enhance
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10 quality of life and reduce behavioural symptoms in those with dementia, thus potentially avoiding
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12 pharmacological treatments.[11] There is an increasing body of research into non-
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14 pharmacological, psychosocial and community-based interventions and their impact on quality
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16 of life and well-being for people with dementia and their family members or carers.[12-14]
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18 Accordingly, the 2019 National Institute for Health and Care Excellence Guidance on Dementia
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20 refers to several activities that fit under the umbrella of psychosocial and non-interventions
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22 including aromatherapy, art, gardening, baking, reminiscence therapy, music therapy,
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24 mindfulness and animal-assisted therapy.[15] Furthermore, the guidance suggests that the
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26 activities offered should be based on an understanding of that individual's unique set of life
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28 experiences, circumstances, preferences, strengths and needs.[15]
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34 Meeting this brief is the 'The End-Of-Life Namaste Care Program for People with Dementia
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36 (NC)'. [16] As dementia advances, family carers describe a changing relationship and sense of
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38 loss, which can cause significant distress.[17] Finding new ways of communicating is important
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40 to help the family carer and person with dementia to maintain a good quality of life. NC
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42 (<http://www.namastecare.com/>) challenges the perception that people with advanced dementia
43
44 are a 'shell', a 'living death'; it provides a holistic approach based on the five senses. NC can
45
46 improve communication and the relationships families and friends have with the person with
47
48 dementia.[18] NC is a psychosocial intervention that has been implemented variably
49
50 internationally; [18] research is beginning to develop understanding about the intervention and
51
52 its cost implications, [19-25] but to our knowledge has only been formally evaluated in care
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54 home settings. A hospice in the North East of England has made provisions to provide NC in the
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56 person's own home. This is operationalised through the training of volunteers who are then
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3 matched with a person with dementia, in terms of personality, abilities and interests, for example.
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5 Two specialist workers lead the project and orchestrate training, debrief events and matching of
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7 patients and volunteers. Volunteers visit the person for twenty sessions, which are usually weekly
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9 and last two hours. Delivery is therefore significantly different to that initially outlined by the
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11 originator, who suggests that it should be delivered twice a day, seven days a week [26] (Table
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13 1). However, stakeholders in a recent review indicated that this was unlikely to be feasible in
14
15 most care homes in the UK.[19] The review also found little empirical evidence on the optimal
16
17 ‘dose’ of sensory interventions, such as NC, although the literature did suggest that interventions
18
19 that are delivered more regularly are important for creating a sense of reassurance and familiarity
20
21 and building trusting relationships between residents and carers. Home delivery of the
22
23 intervention also differs significantly from care home delivery in terms of staff impact; use of
24
25 NC in care homes is also intended to address staff satisfaction by enabling them to have quality
26
27 time with residents that is not just focused on task-based activities. However, there are similar
28
29 implications for family members’ in the delivery of NC in the home environment, as volunteers
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31 delivering NC encourage their participation. This would engage family members in quality time
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33 with their loved one, as opposed to task focused care.
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To our knowledge, this is one of only two hospices in the UK implementing this type of model
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47 for NC; the other service is located in London.

Table 1: Summary of differences in delivery of NC in the residential care and home setting.

Residential Care Home	Person’s Own Home
Seven days per week, 4 hours per day (2 hours in the morning, 2 hours in the afternoon)	2 hour visits once a week
Varied care home staff carrying out the Namaste session	Consistent volunteer carrying out the Namaste session

Given the frequency of the session, this contributes considerably to the daily care of the resident, as well as hydration levels	Less frequent and so less direct contribution to care and hydration levels
Family most likely not present	Family present in the home and invited to learn about and participate in NC
Staff satisfaction targeted through improving relationships with residents through non task focused care.	Family engagement targeted through invitation to participate in NC with volunteer and provide non task focused care
Option to have a dedicated space for NC (a Namaste Room or special area)	Requires creating a suitable environment/atmosphere within someone's home
Potentially unfamiliar surroundings	Familiar surroundings

Healthcare provision in Europe, the USA and Australia has seen an emphasis on providing people with choice around the location of their care and death, frequently with an emphasis on driving care into the community and facilitating home deaths.[27] Despite this, statistics indicate that home deaths in people with dementia are generally low internationally, with significant variance across countries reported as a product of variability in end of life care provision.[28] Furthermore, unmet needs are common in those with dementia living in the community, and most are non-medical.[29] Recent research has highlighted that home-based dementia care should identify and address unmet needs by focusing on both care recipients and caregivers to enable the person with dementia to remain at home.[29] With current policy driving care into the community, ways to support quality of life for people with dementia in their own homes is pivotal.

This research contributes in two ways to the NC nascent knowledge base. Whilst research to date has demonstrated outcomes in care homes, little is yet understood about how and why they occur.

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3 Whilst this study is focused on delivery of NC in the person's own home, it will highlight pivotal
4 contexts (not just related to physical location) and underlying mechanisms, which may also be
5 relevant to the care home setting. The context and mechanisms identified in this research could
6 warrant further research in the care home setting. Secondly, the unique implementation in a
7 community setting affords the opportunity to explore the impact of home as a novel intervention
8 context.

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17 **Objective:** To develop initial programme theories detailing if, how and under which
18 circumstances NC works when implemented at home.
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25 **Methods**

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28 Realist evaluation is a theory driven approach which seeks to understand not only whether an
29 intervention works, but what it is about it that works, for whom, in what circumstances and
30 why.[30] It acknowledges that interventions take place within complex social systems [31] and
31 is therefore well suited to studying interventions such as NC.
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38 The formulae Context + Mechanism = Outcome (C+M=O) is used to express this, with
39 mechanisms consisting of both intervention resources and stakeholder reasoning.[32] An
40 intervention offers resources (Mechanism resource: such as hand massage, for example) which
41 can alter the context into which it is introduced [32] (C; the person with dementia is experiencing
42 restlessness and agitation), triggering a change in the reasoning of intervention participants
43 (Mechanism reasoning; patient relaxes and feels more able to engage), leading to a particular
44 outcome (O; the person with dementia is less agitated potentially avoiding a respite admission).
45 CMO configurations are used as explanatory formulae (otherwise referred to as realist
46 programme theories), which are developed and refined with empirical data. As with other
47 evaluations of person centred interventions,[33] the use of a realist approach will help to expose
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3 the multiple resources delivered as part of NC, the ways that these may be employed with
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5 different people, in diverse situations, and how these generate outcomes. Applying the principles
6
7 of realist evaluation therefore will determine why NC is successful or unsuccessful, in particular
8
9 contexts.
10

11 12 13 **Ethical approval**

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15
16 This research was approved through Northumbria University Ethical Approval System (reference:
17
18 HLSCW161705). All participants gave informed consent.
19

20 21 **Patient and Public Involvement (PPI)**

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23
24 Due to the small-scale nature and limited funding of the research, patients and the public were
25
26 not involved in the development of the research question or design of the study. Members of the
27
28 public from the hospice were consulted on dissemination plans.
29

30 31 32 **Operationalisation of the study**

33
34
35 A realist approach was operationalized in two phases following the RAMESE II guidelines
36
37 surrounding the development of programme theory: phase 1 focused on building programme
38
39 theories with volunteers implementing NC in the community, using focus groups (n = 3, with 8,
40
41 8 and 11 participants respectively, 1 male in each focus group); phase 2 consisted of refining the
42
43 theories with family carers of people who had received the NC intervention (n=8, 6 male, 2
44
45 female). Focus groups took place at the hospice and interviews were conducted either at the
46
47 hospice (n=1) or at the family home (n=7). All focus groups and interviews were digitally
48
49 recorded. Participants were recruited through the Namaste Leads. Volunteers and family carers
50
51 had the study explained to them in person by the Namaste Lead; if they were interested in
52
53 participating they provided their email address and/or telephone number with permission for it
54
55 to be given to the lead researcher (SMD). SMD then contacted potential participants to arrange
56
57 a suitable time and location for interview (family carers) or provided the date and time of the
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1
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3 focus group (volunteers). Participation in the focus groups and interviews was voluntary and
4
5 attendance at one focus group did not assume attendance at subsequent ones. Participants were
6
7 not given any remuneration for the participation in the study, although volunteers were provided
8
9 with lunch at the focus groups.
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12

13 **Setting and referrals**

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15

16 The hospice is set in the North East of England and covers two areas, one town (population of
17
18 around 25,000) and one city (population of around 65,000). The hospice delivering Namaste in
19
20 the community was founded in 1988 and is a registered charity, which also receives some income
21
22 from the National Health Service (NHS).
23
24
25

26 Family carers self-referred to the hospice to request access to NC. They were then matched with
27
28 a trained volunteer. The hospice received requests for NC from family carers of people with
29
30 severe and milder dementia. In order to be inclusive, as a community intervention, the hospice
31
32 provided NC to all, not just to those with advanced Dementia. Referral criteria is provided as
33
34 supplementary information 1. All family carers currently engaged with the hospice at the time of
35
36 the study were invited to participate, by telephone call conducted by one of the NC Leads. Before
37
38 interviews with family carers could be conducted, their loved one must have experienced a
39
40 minimum of 4 NC sessions. This requirement, combined with the hospice's referral criteria
41
42 constituted the inclusion and exclusion criteria for the study.
43
44
45
46

47 Volunteers all began training in NC 3 months prior to the study beginning. They were introduced
48
49 to their matched person with dementia and their carer through the hospice NC Lead at the
50
51 person's home. During this informal meeting, the Life Story of the person with dementia was
52
53 discussed, in the form of a larger document called 'My Namaste Care'. This formed a starting
54
55 point for creating personalised care based on sensory interactions. This was a key step in
56
57 matching personalities, histories and interests, which was thought to be significant to the
58
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1
2
3 intervention success. Volunteers then visited the person with dementia twenty times, in their own
4
5 home, once per week for 2 hours.
6
7

8 Should volunteers encounter issues they reported immediately to one of the two NC leads, one
9
10 of whom was a trained Admiral Nurse. Issues raised with the NN leads included nursing related
11
12 concerns (e.g. pressure sores) or queries about NC delivery (e.g. asking permission to use a
13
14 different approach, such as going outside). NC sessions were personalised based on the person's
15
16 'Life Story', which was completed before NC sessions began by the NC Lead and shared with
17
18 the volunteer. All sessions included multisensory bespoke activities such as hand massage,
19
20 aromatherapy and music in those with more advanced dementia, and exploring the garden, baking
21
22 and singing in those with milder dementia.
23
24
25

26
27 Data was transcribed verbatim and imported into NVivo. A realist logic of analysis employed
28
29 CMOC was used to build and refine programme theory.[34] Throughout the evaluation, analysis
30
31 moved iteratively from particular examples, to refinement of programme theory, use of
32
33 substantive (or middle range) theory and further iterative data collection. This continuous loop
34
35 of analysis generates a reflexive process, utilising retrodution to spark insight and develop
36
37 meaning. Retrodution uses both inductive and deductive logic, as well as insights or hunches to
38
39 identify hidden causal forces that lie behind identified patterns or changes in those patterns.[35]
40
41 The iterative approach adopted in realist evaluation allows the revisiting of the data as new
42
43 additional questions emerge and connections are established, thus deepening the understanding
44
45 and meaning of the findings.[36]
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51 Volunteers are referred to throughout analysis as V1-V12, and family carers as P1 – P8. The
52
53 source of the data is indicated using Focus Group (FG) and then the number of the focus group
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55 (out of 3). For example, the first focus group is referred to as 'FG1'.
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Findings

The findings are presented following the phases of the research, with outcomes stated clearly at the end of each phase.

Phase 1: Building programme theories

1. Impact on People with Dementia

The Life Story was part of a larger document called 'My Namaste Care' and formed a starting point for creating personalised care based on sensory interactions.

V1, FG1: *It's called My NC. So it's like a life-story template that we use. With, sort of, prompt questions that we work through. But it's capturing those really special memories that might ignite some kind of recognition.*

The life story was thought to be a key intervention component, although volunteers suggested that it was only a basis to work from.

V1, FG1: *There's the things that you plan from the life story. [...] sometimes you don't know what's going to work. So an example, I took some vintage rose body spray stuff to try this week, and I don't know that she likes it. And this lady is not speaking at all now, so I let her smell it. And clear as anything – "Oh, nice..." Was the response I got.*

It is also important to acknowledge that reactions are not always predictable.

V2, FG3: *So, the lady that I visit, she's been quite static, really, for the time I've been visiting. There's times I try things and I don't get much of a response, and then there's other times I get a really lovely response.*

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6 Therefore the life story created a base for volunteers to work from, leading to experimentation
7
8 with different resources which could engage the person, in ways that had previously become
9
10 difficult.
11

12
13 **V4, FG3:** *Some days, she's needed very little prompting. I mean, we made*
14
15 *12 cupcakes, one week, and she iced them completely on her own.*
16
17

18
19
20 Once the person was engaged in sensory activities as part of NC, often a response
21
22 was observed by the volunteer.
23
24

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26
27 **V2, FG3:** *You might see a difference from her being fairly tense in how she*
28
29 *is in her body to being more relaxed... Increased eye contact across the*
30
31 *time, from the beginning of a session to the end. You do see changes like*
32
33 *that. But they're quite difficult to measure, I think.*
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39 Those who had more advanced dementia also indicated engagement and an emotional response,
40
41 even if verbal communication was not possible. The volunteers were skilled at picking up non-
42
43 verbal responses to the intervention.
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46
47 **V2, FG3:** *You know, it's about getting to know the person. She tells me a*
48
49 *lot, just with our non-verbals. I was reading this poem [...] There was lots*
50
51 *and lots of, sort of, film star names that I was reading out as part of this*
52
53 *poem. And when we got to Marlon Brando, she was like this... (wide*
54
55 *excited eyes) And when I checked out with her husband, sure enough, he*
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3 *was her favourite. So, she was still telling me. She was still communicating*
4
5 *in her own way.*
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10 From the findings presented above, the following programme theory was developed:
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12
13 **Programme theory 1:** The volunteer is aware of the person's life story (context).
14
15 Experimentation based on the life story is used to identify useful personalised activities (resource)
16
17 which evoke an emotional response from the person with dementia, meaning they engage with
18
19 the NC worker (reasoning). The outcome can be relaxation, engagement, increase in alertness or
20
21 emotional response.
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28 **2. Impact on family carers**

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31 One of the guiding principles of NC is to engage the people surrounding the person with dementia,
32
33 whether this be care home staff or family carers. Volunteers suggested that often family carers
34
35 felt that they had no hope and felt a sense of helplessness, which was compounded by a lack of
36
37 support.
38
39

40
41 **V1, FG1:** *You know, a lot of people talk now about where they go through*
42
43 *the medical system, and there's a lot of... It's a very impersonal feeling*
44
45 *a lot of the time. Not necessarily with GPs, but with going through the*
46
47 *hospital system and... You know, it's just... Next. So whether it's just*
48
49 *that very different, personal feel, it just seems to be very meaningful for*
50
51 *people.*
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57 In this context, volunteers believed that the weekly visit by the NC volunteer had a
58
59 significant impact on family carers too, offering acknowledgement, support and hope:
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3 **V6, FG1:** *Families don't like the idea that there's no hope anymore... They*
4 *hate the phrase "There's nothing that can be done." They really don't like*
5 *that. So I think for some families, that sense of hope that actually there is*
6 *something that you can do. You are... Somehow, bringing something very*
7 *positive to that person.*

17 Such reactions from loved ones led to the realisation that the person is still living, thus
18 challenging the idea that those with dementia are a 'living shell', which often led to a feeling of
19 increased hope and wellbeing for family carers.
20
21

24 **V4, FG1:** *So, maybe, seeing that patient smile reminds the husband that,*
25 *you know, she's still in there. Or, you know, laughing or... Or whatever. I*
26 *mean, just the, sort of, difference between the... There's a tendency to think*
27 *the emotional piece has died with the cognitive.*

34 **V2, FG3:** *And I think he also just enjoys seeing her enjoying herself.*

39 This inherently acknowledges that the person is capable of feeling, expressing and engaging,
40 even if differently than before. Impact on family carers is thus mediated through this valuing of
41 the person with dementia, and the close bond they have with them.
42
43

47 However, volunteers were wary of providing what could be thought of as too much hope, being
48 conscious of the potential for family carers to misconstrue or overestimate the potential impact
49 of NC.
50
51

54 **V2, FG3:** *I think it does give them a little bit of hope. The husband of the*
55 *lady that I visit – that's been a bit of a problem [...] unrealistic*
56 *expectations, initially. So he was asking if I was going to get her talking*
57
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3 *again and that kind of thing. So, I think you've got to tread carefully with*
4
5 *that.*
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10
11 Following the analysis above, programme theory 2a was built:
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13
14 **Programme theory 2a:** In a context where family carers have seen their loved one decline and
15
16 been told there is 'no hope' and received little or impersonal care (context) use of NC to evoke
17
18 reactions from their loved one (resource) leads to them feeling hopeful and acknowledging that
19
20 their loved one is still 'living' (reasoning). This leads to increased hope (outcome) and wellbeing
21
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26
27 An additional context was highlighted at this point; family carers were often focused on task-
28
29 based daily care (around cleaning and feeding for example) which took up a great proportion of
30
31 their time and energy. This meant that they sometimes struggled to engage with NC, as initially
32
33 expected.
34
35

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37 **V1, FG1:** *So it is down to one main carer, often, to do a lot of the...*
38
39 *And it does become very functional, very task-based.*
40

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44 **V6, FG2:** *The husband of the person I see, [...] he asked once how*
45
46 *things went [...] And he said he felt a little guilty, like "It's not*
47
48 *something I have had time to do" or something like that. And I thought*
49
50 *later that what I should have said is, you know, you do everything else.*
51
52 *And this is icing on the cake or something. But he expressed this... It*
53
54 *wasn't jealousy or anything, it was like just... You know, wishing that*
55
56 *he had.*
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3 Family carers could enjoy respite because the person focused quality of the NC approach meant
4 that the family carer felt their family member was in safe hands, offering a level of engagement
5 that they themselves could not always achieve.
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12 **V6, FG2:** *He's... A couple of days have been sunny and beautiful. And*
13 *he's very interested in his garden. So he loved the idea that, you know, she*
14 *was being stimulated and cared for. And he could escape to the garden.*
15
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21 **V4, FG3:** *Occasionally, if she's having a foot massage, he will sit on the*
22 *sofa and contribute. But, most of the time, he'll take himself off to do the*
23 *ironing or his crossword – just, sort of, upstairs. And he said that he*
24 *benefits from that little two-hour slot of respite.*
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33 As a result of this analysis, an alternative hypothesis was created:
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35

36 **Programme theory 2b:** Family carers provide task focused care and have little input from other
37 services (context). A familiar NC volunteer provides 2 hours of interaction with the person with
38 dementia (resource) which eases off worries about the family carer's loved one and allows them
39 to have some respite (reasoning) which leads to an increase in well-being (outcome).
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49 **3. Family Carer use of NC**

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52 As described above, volunteers described how they felt often family carers roles had become task
53 focussed, as opposed to engaging in enjoyable activities with their loved one. This was despite
54 volunteers offering participation to family members. Family carers had shown initial interest in
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3 NC, but at this point volunteers assumed that they had not been confident enough to use the
4
5 techniques themselves.
6
7

8 **V2, FG3:** *I've noticed her husband coming in more and more and*
9 *more. You know, having... You know [...] and I'm showing him what*
10 *I'm doing and he's showing more interest. I don't know whether he*
11 *would ever be confident enough to try it himself.*
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21 The volunteers suggested that if the NC box, which contains all of the items they use with the
22
23 person with dementia, was left in their home, family carers may become familiar with it and
24
25 potentially use some of the techniques introduced by the volunteer. This would enable them to
26
27 engage with the person on a different level than purely task focused.
28
29

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31 **V7, FG1:** *I think for some families it'll help take away the, sort of, pure*
32 *task-focused work. You know, that we have to do every day. The*
33 *washing, dressing and the, sort of, general day care... Day-to-day care*
34 *[...]. I think some families... I can see that opening up to them, to a*
35 *different view of... Of the way they care for the person.*
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45 The analysis above resulted in the following programme theory (3):
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48 **Programme theory 3:** Being often task focused, family carers recognise the value of NC
49
50 (context). A tailored activity box is left at the person's home (resource). The family are keen to
51
52 engage in activities that enable them to connect emotionally with their loved one (reasoning).
53
54 Family use NC independently (outcome).
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4. One on one use of NC with matched volunteer

Matched 'one on one' volunteer time, continuity and consequently relationship building were identified as a key feature of using NC at home, as opposed to offering it in a group environment at the hospice, as part of their adult day care provision. This was considered as a positive of the home environment as opposed to the traditional use of NC in a care home, where a group environment is employed.

V1, FG2: *And I suppose you're getting the same person, as well. So you have got that ability to build the relationship*

Matching volunteers with the person with dementia and allowing them to have one to one sessions regularly resulted in the volunteers understanding the person's likes and dislikes despite often limited verbal abilities.

V1, FG1: *I mean, this was probably about week four or five of visits. So I sort of know, roughly, what... What relaxes her. So I know a hand massage, she'll get quite sleepy and relaxed. What I've learned is that if I kind of joke around, that brightens her up. You know, you get a response that way. So it is based a little bit on, sort of, observing across the weeks what she, sort of, engages with.*

It was also evident that volunteers built up a very strong emotional connection with the person they were matched with.

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2
3 **V4, FG3:** *And she used my name for the first time, yeah. On Wednesday.*
4
5 *Which was heart-warming (crying).*
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12 This strong emotional connection in some cases resulted in recognition of the volunteer by the
13 person with dementia.
14

15
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17 **V1, FG1:** *It feels like there's some recognition there [...] she recognises*
18 *how I... How she feels when I'm there. So that emotional connection is*
19 *what... Is, sort of, the link between each week.*
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27 This can evoke reactions and a proactivity that might have been largely unseen before.
28 Furthermore, recognition also transcended the place related context of the NC intervention
29 (V10).
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36 **V9, FG3:** *Well, I wash my lady's feet every week. She doesn't like her*
37 *hands to be washed, but she loves to put her feet in water. And, at first,*
38 *I would say, "I'm just going to get the dish, you know..." But now I*
39 *pick the dish up and when I come back her socks and shoes are off.*
40 *She's taking them off.*
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50 **V10, FG3:** *Well, my lady is going to respite, because her husband has*
51 *been taken into hospital. So, I went to visit her yesterday, and I didn't*
52 *know if she would recognise me in a different situation – but she did,*
53 *straightaway. And she kept saying, over and over, "I'm so glad you*
54 *came."*
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6 The following programme theory was built based on the analysis presented above:
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9 **Programme theory 4:** One volunteer is aligned to a person with dementia and spends 2 hours
10 per week solely with that person (context). The volunteer therefore has a knowledge history of
11 what works/doesn't work and what the person likes (resources). This allows the volunteer and
12 the person with dementia to develop a strong emotional connection (reasoning). The outcome is
13 an increased engagement which might have previously been thought of as impossible (outcome).
14
15

16
17 The focus groups with NC volunteers led to the formulation of four programme theories, which
18 focussed on: 1) the life story; 2) hope for family carers; 3) the development of new ways of
19 interacting; 4) the relationship between the volunteer and the person with dementia. These initial
20 programme theories were then refined through interviews with family carers.
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34 **Phase 2: Refining and Testing programme theories**

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37 Phase 2 consisted of interviews with family carers of those with dementia who were engaged in
38 NC sessions.
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42 Programme Theory 1, which focussed on the direct response of the person with dementia to the
43 NC interventions, in the context of good knowledge of the person's life story, was well supported
44 by the interviews with family carers.
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50 **P4:** *Because they've done their Life Story. You see... My dad [...] liked*
51 *his music with church. So, [Volunteer] has come along with... From*
52 *the sport point of view. Music from Grandstand and, you know... Some*
53 *of those. But also he's found You'll Never Walk Alone, which is...*
54 *Although it is music, it's what they used to sing at the church. And just*
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3 *played it off his tablet. They were all singing. My mam and [Volunteer]*
4
5 *were singing to him.*
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11 However, family carers also indicated the importance of social interaction between the person
12 with dementia and the NC volunteer. This was particularly important, but not limited to, those
13 with less advanced dementia.
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19 **P3:** *I think it's valuable. I think it's worthwhile. And I think [Person*
20 *with dementia's Name] definitely gets something out of it, because I*
21 *think she desperately needs that interaction with people.*
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25

26
27 **P4:** *Well, I mean, in the home, like my mam – who will not go out –*
28 *you're taking away an element of isolation. You're bringing an interest*
29 *from outside into her. Which she wouldn't get.*
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37 Whilst volunteers emphasised the need to trigger an emotional connection with the person
38 receiving NC, regardless of their verbal abilities, family carers talked more about the value of
39 social interaction. One family carer in particular questioned whether it was specifically
40 interaction with the NC volunteer that was important, or whether it was just social interaction in
41 general.
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49 **P8:** *I think she just enjoys any interaction, to be quite honest.*
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55 **Refined Programme Theory 1:** As dementia progresses, people's opportunities to engage in
56 social interactions that are meaningful to them become more limited (context). Using their
57 knowledge of the person's life story to develop a set of bespoke interactional tools and techniques
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(resources), NC volunteers evoke an emotional response in the person with dementia (reasoning), leading to a set of relaxation, engagement and alertness outcomes.

Programme theory 2a confirmed that family carers often felt a lack of hope and helplessness about their loved ones dementia, but the theory was less well supported in terms of NC increasing that hope through interaction. Family carers indicated that they still found it very difficult to interact with their loved one, and struggled not to see them through the same lens as they did when they were well.

P3: *I can't react to [my wife] the way that a stranger does anymore. I do my best to react, and interact, with her - to look after her and all the rest of it. But I'm her carer. I find it... It's not easy for me to, sort of, like keep on talking to [my wife].*

Some family carers went so far as to think that it was not possible for anyone to communicate with their loved one, as they believed dementia prevented this.

P7: *So, there's no communication. I can't communicate with him. I couldn't ask him... You can ask him if he has a... He scrunches his face, or if he cries out, if you ask him what's wrong, have you got a pain, he doesn't know. He doesn't know whether he's got a pain. So, therefore there's nobody can communicate with him.*

Programme theory 2a was formulated as: In a context where family carers have seen their loved one decline and been told there is 'no hope' / 'nothing can be done' and received 'impersonal

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3 care' (context) use of NC to promotes reactions from their loved one (resource) leads to them
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5 feeling hopeful and acknowledging that their loved one is still 'living' (reasoning). This leads to
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7 increased hope (outcome) and wellbeing.
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10 Consistent with realist analysis, where theories are refined, substantiated or rejected as they are
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12 tested through empirical data, the lack of substantiation of this theory led to its rejection at this
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14 stage. Support was found for the alternative programme theory 2b though, which related to the
15
16 use of NC as respite for family carers.
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21 **P2:** *It's continuous, basically, when you're looking after somebody with*
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23 *Alzheimer's. You know, it's 24... Well, not quite 24-7, but a lot of the*
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25 *time. And it's just nice to have a couple of hours to do something*
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27 *completely different, you know. And know that they're in safe hands.*
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33 One family carer also felt that her not being present was an advantage as it engaged her mother
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35 more in the NC sessions.
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39 **P4:** *I think it's nice for mam, me not being involved. Because, if I'm there,*
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41 *mam will look at me to answer questions. Will look at me to make*
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43 *conversation. So, I'm better out of the way. It means she has to... And*
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45 *she starts talking. So, yeah, I potter on.*
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51 The 2 hour respite provided by NC sessions was particularly appreciated in light of the
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53 perceived lack of services to help people with dementia and their family carers.
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57 **P4:** *But I am literally on duty until I get dad into bed, and his last*
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59 *eye drops in – that's usually about quarter to ten at night. And*
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3 *that's seven days a week [...] Because I can't take holidays, I can't*
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5 *have breaks. I get two hours (official respite), once a fortnight.*
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11 **P7:** *I've had no help whatsoever [...] They say on there (TV), there's*
12 *people to get help. They don't... You're just left. I mean, I was just left*
13 *to manage on my own...*
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22 As a result of the analysis, **Refined Programme theory 2b** was postulated: Family carers
23 provide continuous care and have little input from other services (context), provision of 2 hours
24 contact with a trained NC volunteer (resource) allows them to concentrate on other things,
25 knowing that their family member is in safe hands (reasoning) which gives them restorative time
26 and space (outcome).
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34 No support was found for programme theory 3, which suggested that NC would engage family
35 carers and give them knowledge of how to engage in sensory activities with the person with
36 dementia.
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41 **P6:** *And, of course, I want to think they've played music and read poetry*
42 *and massaged the ladies with cream on their hands... Because what my*
43 *problem has been – I can take care of her physically... I can keep her*
44 *safe, I can keep her warm, I can keep her dressed and comfortable... But*
45 *I can do nothing at all to improve the quality of her life, you see.*
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3 One family carer also suggested that she thought her mother would feel uncomfortable if she
4 were to try to use the techniques herself, as she already provided so much care for her, which
5 was time and resource intensive.
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10 **P4:** *They have the time to spend to really draw them out. I haven't. I've*
11 *got to break off to go and do their meals, to get the washing dried... So,*
12 *it's nice that somebody has the time to spend with them, and solely them.*
13 *And mam and dad accept that. When they're not there, they wouldn't do*
14 *that with me.*
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26 **Programme theory 3** was therefore not supported by the family carers' interviews.

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28 Programme theory 4 concerned the importance of having one volunteer aligned to one person
29 with dementia for the 20 sessions of NC.
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34 **P2:** *She got quite emotional herself. You know, which was nice.*
35 *I mean... She obviously cared that much, you know. And, yes, we*
36 *did very much see her as a friend.*
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46 Family carers echoed the focus group discussions describing a very strong emotional connection
47 between the person with dementia and the NC volunteer. This was often described using
48 recognition as a proxy.
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54 **P3:** *And I think it's just, you know, spending time with her.*
55 *Because her eyes do light up, mind, when [Volunteer] comes. So,*
56 *there is some sort of recognition. As almost, like, a friend or*
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3 *relative from [person's name] so... I think from that point of view,*
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5 *that makes me happy.*
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10 Related to the importance of the emotional connection, family carers highlighted the importance
11 of having a consistent NC volunteer.
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18 **P4:** *If you just... One person stops and another person comes in, I*
19 *think you're then going to have a knock-on effect that it's going to*
20 *take, again, two, three sessions before you have the relaxed*
21 *atmosphere again.*
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30 **Programme theory 4** was therefore supported, stating that: One volunteer is aligned to a person
31 with dementia and spends 2 hours per week solely with that person (context). The volunteer
32 therefore has a knowledge history of what works/what doesn't work and what the person likes
33 (resources). This allows the volunteer and the person with dementia to develop a strong emotional
34 connection (reasoning). The outcome could be considered as the recognition of the volunteer by
35 the person, but actually this leads to friendship, which could suggest an increased quality of life
36 for both people.
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47 Interviews with family carers highlighted the importance of the one to one interaction in NC. In
48 a care home setting, NC is usually implemented in a group environment. Family carers discussed
49 group environments in relation to other activities they had tried with their loved ones, or group
50 family situations:
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57 **P4:** *Although I tried to persuade her to go to, like, the dementia cafes*
58 *or singing for the brain and all this type of... No. Won't go.*
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5 Discussions were also then framed to ask about NC in a group environment, as is delivered in
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8 care homes:
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12 **P2:** *Yeah, it's far more focused. It's focused on the individual, as*
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14 *you say. Plus the fact that in general, quite willingly, she's passive*
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16 *in a big group. She has the rest of the group, you know, to take*
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18 *over basically. And so she doesn't contribute. Not that she, sort*
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20 *of, doesn't want to. She just doesn't feel the need to, if you see*
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22 *what I mean? She doesn't feel, sort of, overawed by the group.*
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31 Family carers also described how they liked their loved one to have social interaction, as
32
33 described in Programme theory 1, but often it caused the person anguish.
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36 **P8:** *I think the thing with [my wife] is it's got to be one-on-one.*
37
38 *That really... It's sort of the experience with her – if there was*
39
40 *time to leave her in a group situation... It would just upset her so*
41
42 *much. And I think... I think she thinks to herself, why am I here*
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44 *with these people, who I don't know, and there's something wrong*
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46 *with them.*
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53 The interviews with family carers led to refinement of theory 1 (the life story), rejection of theory
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55 2a (hope for family carers) and further development of 2b (respite). Theory 3 (development of
56
57 new ways of interacting) was also rejected, but support was identified for theory 4 (relationship
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between the volunteer and the person with dementia). The one on one delivery of NC in the home setting was also highlighted by family carers.

Discussion

This preliminary study developed initial programme theories for the novel use of NC in peoples' own homes, as opposed to care homes. Including contrasting programme theories 2a and 2b, in total five programme theories were developed from the focus groups with NC volunteers, of these programme theories, 3 were supported (table 2).

Table 2: Programme theories developed and their refined counterparts

Programme Theory	Focus Group Developed Theories	Interview refined programme theories
1.	The volunteer is aware of the person's life story (context). Experimentation based on the life story is used to identify useful personalised activities (resource) which evoke an emotional response from the person with dementia, meaning they engage with the NC worker (reasoning). The outcome can be relaxation, engagement, increase in alertness or emotional response.	As dementia progresses, people's opportunities to engage in social interactions that are meaningful to them become more limited (context). Using their knowledge of the person's life story to develop a set of bespoke interactional tools and techniques (resources), NC volunteers evoke an emotional response in the person (reasoning), leading to a set of relaxation, engagement and alertness outcomes.
2a.	In a context where carers have seen their loved one decline and been told there is 'no hope' and received little or impersonal care (context) use of NC to evoke reactions from their loved one (resource) leads to them feeling hopeful and acknowledging that their loved one is still 'living' (reasoning). This leads to increased hope (outcome) and wellbeing.	Not supported.

2b.	Carers provide task-focused care and have little input from other services (context). A familiar NC volunteer provides 2 hours of interaction with the person with dementia (resource) which eases off worries about the person with dementia and allows them to have some respite (reasoning) which leads to an increase in well-being (outcome).	Carers provide continuous care and have little input from other services (context), provision of 2 hours contact with a trained NC volunteer (resource) allows them to concentrate on other things, knowing that the their loved one is in safe hands (reasoning) which gives them restorative time and space (outcome).
3.	Being often task focused, family members recognise the value of NC (context). A tailored activity box is left at the person's home (resource). The family are keen to engage in activities that enable them to connect emotionally with the person (reasoning). Family use NC independently (outcome)	Not supported
4.	One volunteer is aligned to a person with dementia and spends 2 hours per week solely with that person (context). The volunteer therefore has a knowledge history of what works/doesn't work and what the person likes (resources). This allows the volunteer and the person with dementia to develop a strong emotional connection (reasoning). The outcome could be considered as the recognition of the volunteer by the person with dementia but actually this leads to an increased engagement which might have previously been thought of as impossible (outcome).	One volunteer is aligned to a person with dementia and spends 2 hours per week solely with that person (context). The volunteer therefore has a knowledge history of what works/what doesn't work and what the person likes. (resources). This allows the volunteer and the person with dementia to develop a strong emotional connection (reasoning). The outcome could be considered as the recognition of the volunteer by the person with dementia but actually this leads to friendship, which could suggest an increased quality of life for both people (outcome).

The 'one on one' delivery of NC in the home setting in this study was highlighted by family carers as being preferable, not only because the person was in familiar surroundings but due to the increased engagement this provided. Family carers suggested that their loved one would be more likely to disengage in a group environment. NC aims to engage the senses and using it in the home setting could have the potential to allow more tailored delivery, with fewer distractions.

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3 Evidence suggests that sustained lack of stimulation can be detrimental to people in care homes
4 who suffer from dementia, as it augments the apathy, boredom, depression, and loneliness that
5 often accompany the progression of dementia.[37, 38] The same, if not more enhanced, could be
6 assumed for those with dementia who live at home and this could be supported by the preliminary
7 findings of this research. This study and others [39, 40] have highlighted the importance of social
8 interaction for people living with dementia; those living at home with dementia have very little
9 interaction with people other than their family and formal carers, due to issues of mobility and
10 anxiety outside of home. Furthermore, family carers expressed an inability to interact with their
11 loved one as they used to, this is in line with observations from another study using NC, which
12 focused on touch.[20] This finding could warrant further investigation in care homes also.

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27 Cohen-Mansfield et al.[38] suggest a framework for engagement of people with dementia (Figure
28 1, reproduced). The theoretical framework suggests that environmental attributes (home setting),
29 stimuli attributes (sensory activities) and person attributes (NC: Life story, matched volunteers
30 and continuity with volunteer), alongside interactions among these attributes, affect engagement
31 with stimuli by the person who has dementia. NC in the home environment could be said to be
32 more open to personalised and tailored activities than a care home environment, with a 'one on
33 one' approach and less distractions, such as other residents, therefore making the environment
34 facilitative. Stimuli presented to people with dementia in NC are also matched at first with the
35 person's attributes, through use of the Life Story. Cohen-Mansfield et al.[19] suggest that
36 personalised activities are more likely to engage those with dementia.[41] This conceptual
37 framework concerning engagement of persons with dementia therefore reflects NC well and
38 could also be applicable to the use of NC in care homes, as well as in people's own homes. The
39 authors have also developed a measurement of engagement, which could potentially be used in
40 future research on NC given their complementarity of one another.

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3 **INSERT Figure 1:** A framework for engagement of people with dementia (reproduced from:
4
5 Cohen-Mansfield, J., M. Dakheel-Ali, and M. Marx, *Engagement in persons with dementia: the*
6 *concept and its measurement*. American Journal of Geriatric Psychiatry 2009. **17**(4): p. 299-307).
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10 Image reproduced with permission of the rights holder, Professor Mansfield-Cohen.
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17 Caring for people with dementia can be stressful, lead to family conflicts and cause burnout;[42]
18 recent research has highlighted a need for further exploration of family carers' views about care
19 for those with dementia at home.[43, 44] One of the unintended consequences of NC in the home
20 setting was its use by family carers for respite. NC aims to engage the family, with care home
21 staff encouraging family and friends to join in where appropriate.[23, 45] However, usual use of
22 NC is in a care home setting, where family members do not provide the majority of task focused
23 care. The family carers in this study described a lack of support and a need for respite, which is
24 supported in the literature.[46] NC provided a weekly two-hour window of respite in which
25 family carers could have restorative time and space. Furthermore, the findings suggested that the
26 person with dementia may feel uncomfortable with their family member providing sensory
27 stimulation which could be seen as placing additional time demands on family members. Future
28 research should investigate whether those who do not know the person, such as volunteers and
29 care home staff, are better placed to deliver NC.
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47 This exploratory research has started to provide explanations of how NC may work in the home
48 setting. Future research has been briefly previously outlined, but could also include investigations
49 of use of volunteers to deliver NC in care homes, to allow the intervention to also be delivered
50 to those with milder dementia. Furthermore, an ethnographic approach to develop further
51 understanding of outcomes for those receiving NC would be beneficial.
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Strengths and Limitations

To our knowledge, this is the first formal evaluation of NC in the home setting. It is also the first to explore the use of volunteers to deliver NC. The findings highlight that further research is necessary, but outline interesting findings in terms of intervention fidelity and unintended outcomes.

A limitation of the study is the sample size; while some programme theories were not substantiated by the data, it could be that this was due to this particular sample. As in all realist research, these findings do not claim finality, but merely the beginning of an explanatory endeavour for NC.

A caution should also be outlined in interpreting the findings, due to the vast differences in implementation in people's own homes in comparison to care homes. Adapting an intervention like NC to work in the home environment does bring challenges for evaluation as the intervention itself is inevitably altered to facilitate delivery. In this delivery of the intervention, the 'dose' was different, however, recent research found little empirical evidence on the optimal 'dose' of sensory interventions. Furthermore, the interaction with volunteers as opposed to care home staff warrants further investigation and the inclusion of those with mild dementia poses questions around intervention focus and benefit, given that NC was developed for people with dementia who have physical and cognitive deterioration and are unable to engage with other activities. However, recent research highlights the challenge of examining whether the impact of interventions vary depending on cognitive ability and indicates that further research is needed to assess how psychosocial interventions can be of use across the stages of dementia.[14]

As is the process for realist research, theories were tested and refined or rejected. We aimed to report as much as possible on the process of analysis in order to be transparent and rigorous. Furthermore, it is important to counteract publication bias of only positive results, although we

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3 do not consider the unintended consequences identified in this study negative (that of respite).
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5 Finally, it also enables the research field to build on the knowledge created and discourages
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7 repeated research in the same area.
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10 11 12 13 **Implications for clinicians and policymakers**

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16 The research highlights positive outcomes for people with dementia, volunteers and family
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18 members. However, it also highlights that NC may not work in the same way in the persons own
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20 home, as it does care homes. This does not detract from the value of NC, but warrants further
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22 investigation. It also indicates the unmet needs of family carers. In order to facilitate those with
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24 dementia to live at home and to meet the current drive of care into the community, we need to
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26 firstly ensure the needs of those with dementia and their carers are met, whether these needs be
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28 physical, emotional or social.
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36 **Conclusion**

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39 A recent cohort study indicated that people with advanced dementia still often live with
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41 distressing symptoms [47] and that community services are often not tailored to their non-
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43 medical needs.[29] Longitudinal input focused on improving quality of life using personalised
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45 interventions such as NC shows promise in optimising life for those with dementia and also could
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47 provide much needed respite for family carers when delivered in the home setting using
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49 volunteers.
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56 **Author Contributions**

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3 SMD drafted the manuscript with conceptual input from ML. NK drafted sections relating to NC.
4
5 JA drafted sections on palliative aspect of NC. ML, NK, JA and ST all read and commented on
6
7 drafts and approved the final submitted version.
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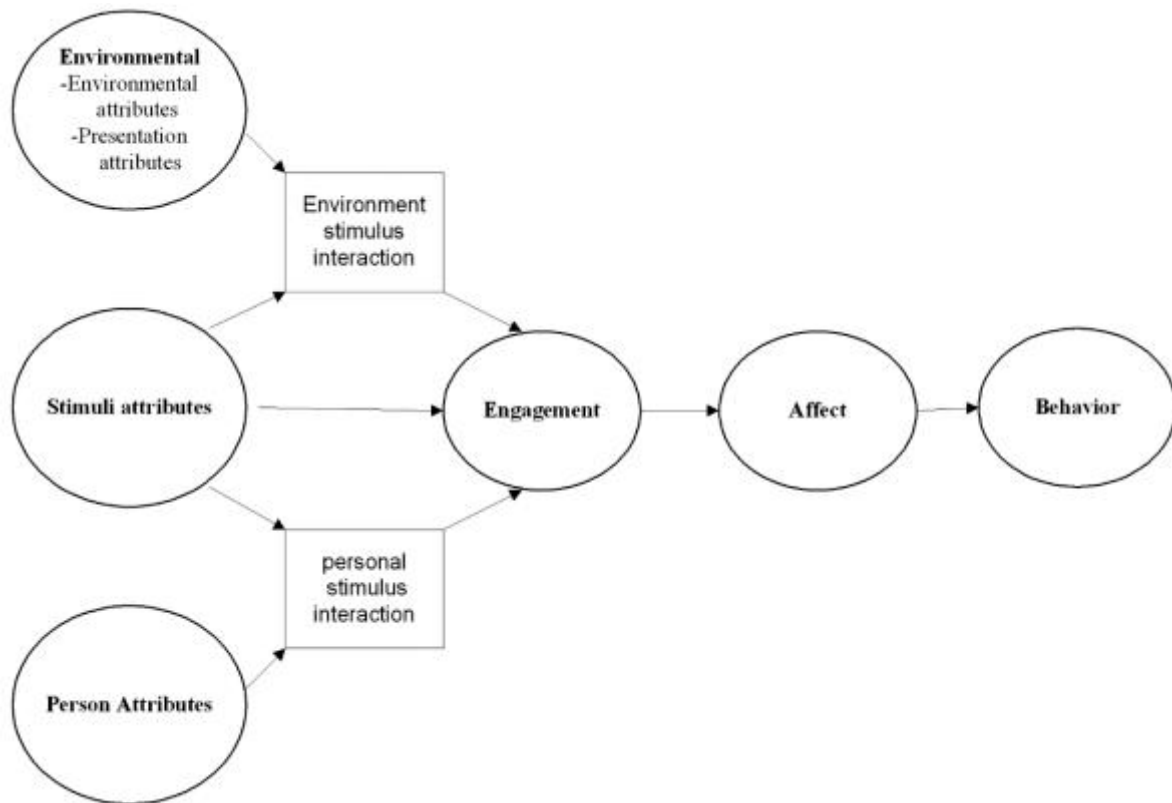


Figure 1: A framework for engagement of people with dementia (reproduced from: Cohen-Mansfield, J., M. Dakheel-Ali, and M. Marx, *Engagement in persons with dementia: the concept and its measurement*. American Journal of Geriatric Psychiatry 2009. **17**(4): p. 299-307).

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Supplementary information 1:

The following criteria are used by the hospice and intended to provide guidance on appropriate referrals for the community based Namaste Care Project.

- The person living with dementia lives at home in the central [location details] or [location details].
- The person living with dementia is most likely in their last year of life.
- The person living with dementia is finding it more difficult to communicate verbally.
- They have become completely dependent on the support of others for activities of daily living.
- They would not now find it easy to leave the house or engage in group activities.
- They would benefit from a gentle, sensory approach, on a one to one basis by a trained volunteer to enhance their wellbeing.
- The person with dementia and/or carer has consented to the referral and is aware that the carer needs to be present in the house during Namaste visits.

RAMESES (Realist And Meta-narrative Evidence Syntheses: Evolving Standards) II: Items to be included when reporting realist evaluations (Wong et al., 2016):

Wong, G., Westhorp, G., Manzano, A., Greenhalgh, J., Jagosh, J., & Greenhalgh, T. (2016). RAMESES II reporting standards for realist evaluations. *BMC Medicine*, 14(96). doi:10.1186/s12916-016-0643-1

	Reported in document (Y/N/Unclear)	Page
ABSTRACT		
Identify the document as a realist evaluation	Y	Pg.1 and throughout
Relevant abstract information	Y	Pg. 4
INTRODUCTION		
Rationale for Evaluation	Y	Pg. 9
Programme Theory	Y	Pg. 11
Evaluation questions, objective and focus	Y	Pg. 11
Ethical approval	Y	Pg. 10
METHODS		
Rationale for using realist evaluation	Y	Pg.9
Environment surrounding the evaluation	Y	Pg. 11
Describe the programme policy, initiative or product evaluated	Y	Pg. 11
Describe and justify the evaluation design	Y	Pg. 9
Data collection methods	Y	Pg. 11
Recruitment process and sampling strategy	Y	Pg. 10
Data analysis	Y	Pg. 11
RESULTS		
Details of Participants	Y	Pg. 11
Main findings	Y	Pg. 12
DISCUSSION		
Summary of findings	Y	Pg. 29
Strengths, limitations and future directions	Y	Pg. 33
Comparison with existing literature	Y	Pg. 32
Conclusion and recommendations	Y	Pg. 35
Funding and conflict of interest	Y	Pg. 2

BMJ Open

Namaste Care in the home setting: Developing initial realist explanatory theories and uncovering unintended outcomes

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Keywords:	Dementia < NEUROLOGY, Namaste Care, Volunteers, Social Interaction, Respite

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Manuscripts

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3 **Namaste Care in the home setting: Developing initial realist explanatory theories and**
4
5 **uncovering unintended outcomes.**
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Competing Interests

The authors declare no competing interests.

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3 **Data Statement**
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6 Data from the study is not shared as participants consented for their data to be used only in this
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8 study.
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For peer review only

Abstract

Introduction: The End-Of-Life Namaste Care Program for People with Dementia, challenges the misconception that people with dementia are a 'shell'; it provides a holistic approach using the five senses, which can provide positive ways of communicating and emotional responses. It is proposed Namaste Care can improve communication and the relationships families and friends have with the person with dementia. Previously used in care homes, this study is the first to explore the pioneering use of Namaste Care in people's own homes.

Objective: To develop initial programme theories detailing if, how and under which circumstances Namaste Care works when implemented at home.

Design: A qualitative realist approach following the RAMESES II guidelines was employed to understand not only whether Namaste Care has positive outcomes, but also how these are generated, for whom they happen, and in which circumstances.

Setting: A hospice in the North East of England, operating in the community, through volunteers.

Participants: Programme theories were developed from three focus groups with volunteers implementing Namaste Care (n=8; n=8; n=11) and eight interviews with family carers (n=8).

Results: Four refined explanatory theories are presented: increasing engagement, respite for family carers, importance of matched volunteers and increasing social interaction. It was identified that whilst Namaste Care achieved some of the same goals in the home setting as it does in the care home setting, it could also function in a different way that promoted socialisation.

Conclusions: Namaste Care provides holistic and personalised care to people with both moderate and advanced dementia, improving engagement and reducing social isolation. In the present study carers often chose to use Namaste Care sessions as respite. This was often linked to their frustration of the unavoidable dominance of task-focused care in daily life. Individualised

Namaste Care activities thus led to positive outcomes for both those with dementia and their carers.

Keywords: Dementia, Namaste Care, Volunteers, Social Interaction, Respite

Article Summary

Strengths and limitations of this study

- This study details the theory building process in realist evaluation.
- Theory *building* was focused upon as opposed to theory *testing*, due to the lack of current evidence surrounding the use of Namaste in the person's own home and the small participant numbers.
- The study uses focus groups and interviews to develop rigorous and transparent programme theories
- A limitation of the study is the sample size; while some programme theories were not substantiated by the data, it could be that this was due to the limited sample size.

Introduction

Globally, the numbers of people living with dementia will increase from 50 million in 2018 to 152 million in 2050, a 204% increase.[1] Despite this, the World Health Organisation [2] recently highlighted that 146 countries currently do not have a national plan for Dementia. Those countries that do have policies often employ a holistic focus on care (e.g.[3-5]) however as the disease progresses often the focus of care shifts toward the physical body.[6-9] This emphasis on physical needs often comes at the expense of personhood needs.[10]

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3 Activity has been demonstrated to be a positive therapeutic intervention with potential to enhance
4 quality of life and reduce behavioural symptoms in those with dementia, thus potentially avoiding
5 pharmacological treatments.[11] There is an increasing body of research into non-
6 pharmacological, psychosocial and community-based interventions and their impact on quality
7 of life and well-being for people with dementia and their family members or carers.[12-14]
8 Accordingly, the 2019 National Institute for Health and Care Excellence Guidance on Dementia
9 refers to several activities that fit under the umbrella of psychosocial and non-interventions
10 including aromatherapy, art, gardening, baking, reminiscence therapy, music therapy,
11 mindfulness and animal-assisted therapy.[15] Furthermore, the guidance suggests that the
12 activities offered should be based on an understanding of that individual's unique set of life
13 experiences, circumstances, preferences, strengths and needs.[15]
14

15 Meeting this brief is the 'The End-Of-Life Namaste Care Program for People with Dementia
16 (NC)'. [16] As dementia advances, family carers describe a changing relationship and sense of
17 loss, which can cause significant distress.[17] Finding new ways of communicating is important
18 to help the family carer and person with dementia to maintain a good quality of life. NC
19 (<http://www.namastecare.com/>) challenges the perception that people with advanced dementia
20 are a 'shell', a 'living death'; it provides a holistic approach based on the five senses. NC can
21 improve communication and the relationships families and friends have with the person with
22 dementia.[18] NC is a psychosocial intervention that has been implemented variably
23 internationally; [18] research is beginning to develop understanding about the intervention and
24 its cost implications, [19-25] but to our knowledge has only been formally evaluated in care
25 home settings. A hospice in the North East of England has made provisions to provide NC in the
26 person's own home. This is operationalised through the training of volunteers who are then
27 matched with a person with dementia, in terms of personality, abilities and interests, for example.
28 Two specialist workers lead the project and orchestrate training, debrief events and matching of
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3 patients and volunteers. Volunteers visit the person for twenty sessions, which are usually weekly
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5 and last two hours. Delivery is therefore significantly different to that initially outlined by the
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7 NC originator, who suggests that it should be delivered twice a day, seven days a week [26]
8
9 (Table 1). However, stakeholders in a recent review indicated that this was unlikely to be feasible
10
11 in most care homes in the UK.[19] The review also found little empirical evidence on the optimal
12
13 ‘dose’ of sensory interventions, such as NC, although the literature did suggest that interventions
14
15 that are delivered more regularly are important for creating a sense of reassurance and familiarity
16
17 and building trusting relationships between residents and carers. Home delivery of the
18
19 intervention also differs significantly from care home delivery in terms of staff impact; use of
20
21 NC in care homes is also intended to address staff satisfaction by enabling them to have quality
22
23 time with residents that is not just focused on task-based activities. However, there are similar
24
25 implications for family members’ in the delivery of NC in the home environment, as volunteers
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27 delivering NC encourage their participation. This would engage family members in quality time
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29 with their loved one, as opposed to task focused care.
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36 To our knowledge, this is one of only two hospices in the UK implementing this type of model
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38 for NC; the other service is located in London.
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41 **Table 1: Summary of differences in delivery of NC in the residential care and home setting.**
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Residential Care Home	Person’s Own Home
Seven days per week, 4 hours per day (2 hours in the morning, 2 hours in the afternoon)	2 hour visits once a week
Varied care home staff carrying out the Namaste session	Consistent volunteer carrying out the Namaste session

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Given the frequency of the session, this contributes considerably to the daily care of the resident, as well as hydration levels	Less frequent and so less direct contribution to care and hydration levels
Family most likely not present	Family present in the home and invited to learn about and participate in NC
Staff satisfaction targeted through improving relationships with residents through non task focused care.	Family engagement targeted through invitation to participate in NC with volunteer and provide non task focused care
Option to have a dedicated space for NC (a Namaste Room or special area)	Requires creating a suitable environment/atmosphere within someone's home
Potentially unfamiliar surroundings	Familiar surroundings

Healthcare provision in Europe, the USA and Australia has seen an emphasis on providing people with choice around the location of their care and death, frequently with an emphasis on driving care into the community and facilitating home deaths.[27] Despite this, statistics indicate that home deaths in people with dementia are generally low internationally, with significant variance across countries reported as a product of variability in end of life care provision.[28] Furthermore, unmet needs are common in those with dementia living in the community, and most are non-medical.[29] Recent research has highlighted that home-based dementia care should identify and address unmet needs by focusing on both care recipients and caregivers to enable the person with dementia to remain at home.[29] With current policy driving care into the community, ways to support quality of life for people with dementia in their own homes is pivotal.

This research contributes in two ways to the NC nascent knowledge base. Whilst research to date has demonstrated outcomes in care homes, little is yet understood about how and why they occur.

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3 Whilst this study is focused on delivery of NC in the person's own home, it will highlight pivotal
4 contexts (not just related to physical location) and underlying mechanisms, which may also be
5 relevant to the care home setting. The context and mechanisms identified in this research could
6 warrant further research in the care home setting. Secondly, the unique implementation in a
7 community setting affords the opportunity to explore the impact of the home as a novel
8 intervention context.
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17 **Objective:** To develop initial programme theories detailing if, how and under which
18 circumstances NC works when implemented at home.
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25 **Methods**

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28 Realist evaluation is a theory driven approach which seeks to understand not only whether an
29 intervention works, but what it is about it that works, for whom, in what circumstances and
30 why.[30] It acknowledges that interventions take place within complex social systems [31] and
31 is therefore well suited to studying interventions such as NC.
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38 The formulae Context + Mechanism = Outcome (C+M=O) is used to express this, with
39 mechanisms consisting of both intervention resources and stakeholder reasoning.[32] An
40 intervention offers resources (Mechanism resource: such as hand massage, for example) which
41 can alter the context into which it is introduced [32] (C; the person with dementia is experiencing
42 restlessness and agitation), triggering a change in the reasoning of intervention participants
43 (Mechanism reasoning; patient relaxes and feels more able to engage), leading to a particular
44 outcome (O; the person with dementia is less agitated potentially avoiding a respite admission).
45 CMO configurations are used as explanatory formulae (otherwise referred to as realist
46 programme theories), which are developed and refined with empirical data. As with other
47 evaluations of person centred interventions,[33] the use of a realist approach will help to expose
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3 the multiple resources delivered as part of NC, the ways that these may be employed with
4 different people, in diverse situations, and how these generate outcomes. Applying the principles
5 of realist evaluation therefore will determine why NC is successful or unsuccessful, in particular
6 contexts.
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11 12 13 **Ethical approval**

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16 This research was approved through Northumbria University Ethical Approval System (reference:
17 HLSCW161705). All participants gave informed consent.
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20 21 **Patient and Public Involvement (PPI)**

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24 Due to the small-scale nature and limited funding of the research, patients and the public were
25 not involved in the development of the research question or design of the study. Members of the
26 public from the hospice were consulted on dissemination plans.
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30 31 **Operationalisation of the study**

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34 A realist approach was operationalized in two phases following the RAMESES II guidelines
35 surrounding the development of programme theory: phase 1 focused on building programme
36 theories with volunteers implementing NC in the community, using focus groups (n = 3, with 8,
37 8 and 11 participants respectively, 1 male in each focus group); phase 2 consisted of refining the
38 theories with family carers of people who had received the NC intervention (n=8, 6 male, 2
39 female). Focus groups took place at the hospice and interviews were conducted either at the
40 hospice (n=1) or at the family home (n=7). All focus groups and interviews were digitally
41 recorded. Participants were recruited through the Namaste Leads. Volunteers and family carers
42 had the study explained to them in person by the Namaste Lead; if they were interested in
43 participating they provided their email address and/or telephone number with permission for it
44 to be given to the lead researcher (SMD). SMD then contacted potential participants to arrange
45 a suitable time and location for interview (family carers) or provided the date and time of the
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3 focus group (volunteers). Participation in the focus groups and interviews was voluntary and
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5 attendance at one focus group did not assume attendance at subsequent ones. Participants were
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7 not given any remuneration for the participation in the study, although volunteers were provided
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9 with lunch at the focus groups.
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12 13 **Setting and referrals** 14

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16 The hospice is set in the North East of England and covers two areas, one town (population of
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18 around 25,000) and one city (population of around 65,000). The hospice delivering Namaste in
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20 the community was founded in 1988 and is a registered charity, which also receives some income
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22 from the National Health Service (NHS).
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26 Family carers self-referred to the hospice to request access to NC. They were then matched with
27
28 a trained volunteer. The hospice received requests for NC from family carers of people with
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30 severe and milder dementia. In order to be inclusive, as a community intervention, the hospice
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32 provided NC to all, not just to those with advanced Dementia. Referral criteria is provided as
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34 supplementary information 1. All family carers currently engaged with NC at the hospice at the
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36 time of the study were invited to participate, by telephone call conducted by one of the NC Leads.
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38 Before interviews with family carers could be conducted, their loved one must have experienced
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40 a minimum of 4 NC sessions. This requirement, combined with the hospice's referral criteria
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42 constituted the inclusion and exclusion criteria for the study.
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47 Volunteers all began training in NC three months prior to the study beginning. They were
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49 introduced to their matched person with dementia and their carer through the hospice NC Lead
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51 at the person's home. During this informal meeting, the Life Story of the person with dementia
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53 was discussed, in the form of a larger document called 'My Namaste Care'. This formed a starting
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55 point for creating personalised care based on sensory interactions. This was a key step in
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57 matching personalities, histories and interests, which was thought to be significant to the
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3 intervention success. Volunteers then visited the person with dementia twenty times, in their own
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5 home, once per week for 2 hours.
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9 Should volunteers encounter issues they reported immediately to one of the two NC leads, one
10
11 of whom was a trained Admiral Nurse. Issues raised with the NC leads included nursing related
12
13 concerns (e.g. pressure sores) or queries about NC delivery (e.g. asking permission to use a
14
15 different approach, such as going outside). NC sessions were personalised based on the person's
16
17 'Life Story', which was completed before NC sessions began by the NC Lead and shared with
18
19 the volunteer. All sessions included multisensory bespoke activities such as hand massage,
20
21 aromatherapy and music in those with more advanced dementia, and exploring the garden, baking
22
23 and singing in those with milder dementia.
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27 Data was transcribed verbatim and imported into NVivo. A realist logic of analysis employing
28
29 CMOC was used to build and refine programme theory.[34] Throughout the evaluation, analysis
30
31 moved iteratively from particular examples, to refinement of programme theory, use of
32
33 substantive (or middle range) theory and further iterative data collection. This continuous loop
34
35 of analysis generates a reflexive process, utilising retrodution to spark insight and develop
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37 meaning. Retrodution uses both inductive and deductive logic, as well as insights or hunches to
38
39 identify hidden causal forces that lie behind identified patterns or changes in those patterns.[35]
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41 The iterative approach adopted in realist evaluation allows the revisiting of the data as new
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43 additional questions emerge and connections are established, thus deepening the understanding
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45 and meaning of the findings.[36]
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51 Volunteers are referred to throughout analysis as V1-V12, and family carers as P1 – P8. The
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53 source of the data is indicated using Focus Group (FG) and then the number of the focus group
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55 (out of 3). For example, the first focus group is referred to as 'FG1'.
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Findings

The findings are presented following the phases of the research.

Phase 1: Building programme theories

1. Impact on People with Dementia

The Life Story was part of a larger document called 'My Namaste Care' and formed a starting point for creating personalised care based on sensory interactions.

V1, FG1: *It's called My NC. So it's like a life-story template that we use. With, sort of, prompt questions that we work through. But it's capturing those really special memories that might ignite some kind of recognition.*

The life story was thought to be a key intervention component, although volunteers suggested that it was only a basis to work from.

V1, FG1: *There's the things that you plan from the life story. [...] sometimes you don't know what's going to work. So an example, I took some vintage rose body spray stuff to try this week, and I don't know that she likes it. And this lady is not speaking at all now, so I let her smell it. And clear as anything – "Oh, nice..." Was the response I got.*

It is also important to acknowledge that reactions of the person with dementia to NC stimuli are not always predictable.

V2, FG3: *So, the lady that I visit, she's been quite static, really, for the time I've been visiting. There's times I try things and I don't get much of a response, and then there's other times I get a really lovely response.*

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6 Therefore the life story created a base for volunteers to work from, leading to experimentation
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8 with different resources which could engage the person, in ways that had previously become
9
10 difficult.
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13 **V4, FG3:** *Some days, she's needed very little prompting. I mean, we made*
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15 *12 cupcakes, one week, and she iced them completely on her own.*
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20 Once the person was engaged in sensory activities as part of NC, often a response
21
22 was observed by the volunteer.
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27 **V2, FG3:** *You might see a difference from her being fairly tense in how she*
28
29 *is in her body to being more relaxed... Increased eye contact across the*
30
31 *time, from the beginning of a session to the end. You do see changes like*
32
33 *that. But they're quite difficult to measure, I think.*
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39 Those who had more advanced dementia also indicated engagement and an emotional response,
40
41 even if verbal communication was not possible. The volunteers were skilled at picking up non-
42
43 verbal responses to the intervention.
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45

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47 **V2, FG3:** *You know, it's about getting to know the person. She tells me a*
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49 *lot, just with our non-verbals. I was reading this poem [...] There was lots*
50
51 *and lots of, sort of, film star names that I was reading out as part of this*
52
53 *poem. And when we got to Marlon Brando, she was like this... (wide*
54
55 *excited eyes) And when I checked out with her husband, sure enough, he*
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3 *was her favourite. So, she was still telling me. She was still communicating*
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5 *in her own way.*
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10 From the findings presented above, the following programme theory was developed:
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12

13 **Programme theory 1:** The volunteer is aware of the person's life story (context).
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15 Experimentation based on the life story is used to identify useful personalised activities (resource)
16
17 which evoke an emotional response from the person with dementia, meaning they engage with
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19 the NC worker (reasoning). The outcome can be relaxation, engagement, increase in alertness or
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21 emotional response.
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28 **2. Impact on family carers**

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31 One of the guiding principles of NC is to engage the people surrounding the person with dementia,
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33 whether this be care home staff or family carers. Volunteers suggested that often family carers
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35 felt that they had no hope and felt a sense of helplessness, which was compounded by a lack of
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37 support.
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41 **V1, FG1:** *You know, a lot of people talk now about where they go through*
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43 *the medical system, and there's a lot of... It's a very impersonal feeling*
44
45 *a lot of the time. Not necessarily with GPs, but with going through the*
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47 *hospital system and... You know, it's just... Next. So whether it's just*
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49 *that very different, personal feel, it just seems to be very meaningful for*
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51 *people.*
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57 In this context, volunteers believed that the weekly visit by the NC volunteer had a
58
59 significant impact on family carers too, offering acknowledgement, support and hope:
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3 **V6, FG1:** *Families don't like the idea that there's no hope anymore... They*
4 *hate the phrase "There's nothing that can be done." They really don't like*
5 *that. So I think for some families, that sense of hope that actually there is*
6 *something that you can do. You are... Somehow, bringing something very*
7 *positive to that person.*

17 Such reactions from loved ones led to the realisation that the person is still living, thus
18 challenging the idea that those with dementia are a 'living shell', which often led to a feeling of
19 increased hope and wellbeing for family carers.
20
21

24 **V4, FG1:** *So, maybe, seeing that patient smile reminds the husband that,*
25 *you know, she's still in there. Or, you know, laughing or... Or whatever. I*
26 *mean, just the, sort of, difference between the... There's a tendency to think*
27 *the emotional piece has died with the cognitive.*

34 **V2, FG3:** *And I think he also just enjoys seeing her enjoying herself.*

39 This inherently acknowledges that the person is capable of feeling, expressing and engaging,
40 even if differently than before. Impact on family carers is thus mediated through this valuing of
41 the person with dementia, and the close bond they have with them.
42
43

47 However, volunteers were wary of providing what could be thought of as too much hope, being
48 conscious of the potential for family carers to misconstrue or overestimate the potential impact
49 of NC.
50
51

54 **V2, FG3:** *I think it does give them a little bit of hope. The husband of the*
55 *lady that I visit – that's been a bit of a problem [...] unrealistic*
56 *expectations, initially. So he was asking if I was going to get her talking*
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3 *again and that kind of thing. So, I think you've got to tread carefully with*
4
5 *that.*
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11 Following the analysis above, programme theory 2a was built:
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14 **Programme theory 2a:** In a context where family carers have seen their loved one decline and
15 been told there is 'no hope' and received little or impersonal care (context) use of NC to evoke
16 reactions from their loved one (resource) leads to them feeling hopeful and acknowledging that
17 their loved one is still 'living' (reasoning). This leads to increased hope (outcome) and wellbeing.
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27 An additional context was highlighted at this point; family carers were often focused on task-
28 based daily care (around cleaning and feeding for example) which took up a great proportion of
29 their time and energy. This meant that they sometimes struggled to engage with NC, as initially
30 expected.
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37 **V1, FG1:** *So it is down to one main carer, often, to do a lot of the...*
38 *And it does become very functional, very task-based.*
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44 **V6, FG2:** *The husband of the person I see, [...] he asked once how*
45 *things went [...] And he said he felt a little guilty, like "It's not*
46 *something I have had time to do" or something like that. And I thought*
47 *later that what I should have said is, you know, you do everything else.*
48 *And this is icing on the cake or something. But he expressed this... It*
49 *wasn't jealousy or anything, it was like just... You know, wishing that*
50 *he had.*
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3 Family carers could enjoy respite because the person focused quality of the NC approach meant
4 that the family carer felt that their family member was in safe hands, offering a level of
5 engagement that they themselves could not always achieve.
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12 **V6, FG2:** *He's... A couple of days have been sunny and beautiful. And*
13 *he's very interested in his garden. So he loved the idea that, you know, she*
14 *was being stimulated and cared for. And he could escape to the garden.*
15
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21 **V4, FG3:** *Occasionally, if she's having a foot massage, he will sit on the*
22 *sofa and contribute. But, most of the time, he'll take himself off to do the*
23 *ironing or his crossword – just, sort of, upstairs. And he said that he*
24 *benefits from that little two-hour slot of respite.*
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33 As a result of this analysis, a rival programme theory was created:
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36 **Programme theory 2b:** Family carers provide task focused care and have little input from other
37 services (context). A familiar NC volunteer provides 2 hours of interaction with the person with
38 dementia (resource) which eases off worries about the family carer's loved one and allows them
39 to have some respite (reasoning) which leads to an increase in well-being (outcome).
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49 **3. Family Carer use of NC**

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52 As described above, volunteers described how they felt often family carers roles had become task
53 focussed, as opposed to engaging in enjoyable activities with their loved one. This was despite
54 volunteers offering participation in NC to family members. Family carers had shown initial
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3 interest in NC, but at this point volunteers assumed that they had not been confident enough to
4
5 use the techniques themselves.
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7

8 **V2, FG3:** *I've noticed her husband coming in more and more and*
9 *more. You know, having... You know [...] and I'm showing him what*
10 *I'm doing and he's showing more interest. I don't know whether he*
11 *would ever be confident enough to try it himself.*
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21 The volunteers suggested that if the NC box, which contains all of the items they use with the
22
23 person with dementia (e.g. music, hand creams) was left in their home, family carers may become
24
25 familiar with it and potentially use some of the techniques introduced by the volunteer. This
26
27 would enable them to engage with the person on a different level than purely task focused.
28
29

30
31 **V7, FG1:** *I think for some families it'll help take away the, sort of, pure*
32 *task-focused work. You know, that we have to do every day. The*
33 *washing, dressing and the, sort of, general day care... Day-to-day care*
34 *[...]. I think some families... I can see that opening up to them, to a*
35 *different view of... Of the way they care for the person.*
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45 The analysis above resulted in the following programme theory (3):
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48 **Programme theory 3:** Being often task focused, family carers recognise the value of NC
49
50 (context). A tailored activity box is left at the person's home (resource). The family are keen to
51
52 engage in activities that enable them to connect emotionally with their loved one (reasoning).
53
54 Family use NC independently (outcome).
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4. 'One on one' use of NC with matched volunteer

Matched 'one on one' volunteer time, continuity and consequently relationship building were identified as a key feature of using NC at home, as opposed to offering it in a group environment at the hospice, as part of their adult day care provision. This was considered as a positive of the home environment as opposed to the traditional use of NC in a care home, where a group environment is employed.

V1, FG2: *And I suppose you're getting the same person, as well. So you have got that ability to build the relationship*

Matching volunteers with the person with dementia and allowing them to have one to one sessions regularly resulted in the volunteers understanding the person's likes and dislikes despite often limited verbal abilities.

V1, FG1: *I mean, this was probably about week four or five of visits. So I sort of know, roughly, what... What relaxes her. So I know a hand massage, she'll get quite sleepy and relaxed. What I've learned is that if I kind of joke around, that brightens her up. You know, you get a response that way. So it is based a little bit on, sort of, observing across the weeks what she, sort of, engages with.*

It was also evident that volunteers built up a very strong emotional connection with the person they were matched with.

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3 **V4, FG3:** *And she used my name for the first time, yeah. On Wednesday.*
4
5 *Which was heart-warming (crying).*
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10 This strong emotional connection in some cases resulted in recognition of the volunteer by the
11 person with dementia.
12
13

14
15 **V1, FG1:** *It feels like there's some recognition there [...] she recognises*
16 *how I... How she feels when I'm there. So that emotional connection is*
17 *what... Is, sort of, the link between each week.*
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24 This can evoke reactions and a proactivity that might have been largely unseen before.
25 Furthermore, recognition also transcended the place related context of the NC intervention
26 (V10).
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31 **V9, FG3:** *Well, I wash my lady's feet every week. She doesn't like her*
32 *hands to be washed, but she loves to put her feet in water. And, at first,*
33 *I would say, "I'm just going to get the dish, you know..." But now I*
34 *pick the dish up and when I come back her socks and shoes are off.*
35 *She's taking them off.*
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45 **V10, FG3:** *Well, my lady is going to respite, because her husband has*
46 *been taken into hospital. So, I went to visit her yesterday, and I didn't*
47 *know if she would recognise me in a different situation – but she did,*
48 *straightaway. And she kept saying, over and over, "I'm so glad you*
49 *came."*
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60 The following programme theory was built based on the analysis presented above:

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3 **Programme theory 4:** One volunteer is aligned to a person with dementia and spends 2 hours
4 per week solely with that person (context). The volunteer therefore has a knowledge history of
5 what works/doesn't work and what the person likes (resources). This allows the volunteer and
6 the person with dementia to develop a strong emotional connection (reasoning). The outcome is
7 an increased engagement which might have previously been thought of as impossible (outcome).
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15 The focus groups with NC volunteers led to the formulation of four programme theories, which
16 focussed on: 1) the life story; 2) hope for family carers; 3) the development of new ways of
17 interacting; 4) the relationship between the volunteer and the person with dementia. These initial
18 programme theories were then refined through interviews with family carers.
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28 **Phase 2: Refining and Testing programme theories**

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31 Phase 2 consisted of interviews with family carers of those with dementia who were engaged in
32 NC sessions.
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36 Programme Theory 1, which focussed on the direct response of the person with dementia to the
37 NC interventions, in the context of good knowledge of the person's life story, was well supported
38 by the interviews with family carers.
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44 **P4:** *Because they've done their Life Story. You see... My dad [...] liked*
45 *his music with church. So, [Volunteer] has come along with... From*
46 *the sport point of view. Music from Grandstand and, you know... Some*
47 *of those. But also he's found You'll Never Walk Alone, which is...*
48 *Although it is music, it's what they used to sing at the church. And just*
49 *played it off his tablet. They were all singing. My mam and [Volunteer]*
50 *were singing to him.*
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3 However, family carers also indicated the importance of social interaction between the person
4 with dementia and the NC volunteer. This was particularly important, but not limited to, those
5 with less advanced dementia.
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10 **P3:** *I think it's valuable. I think it's worthwhile. And I think [Person*
11 *with dementia's Name] definitely gets something out of it, because I*
12 *think she desperately needs that interaction with people.*
13
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18 **P4:** *Well, I mean, in the home, like my mam – who will not go out –*
19 *you're taking away an element of isolation. You're bringing an interest*
20 *from outside into her. Which she wouldn't get.*
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29 Whilst volunteers emphasised the need to trigger an emotional connection with the person
30 receiving NC, regardless of their verbal abilities, family carers talked more about the value of
31 social interaction. One family carer in particular questioned whether it was specifically
32 interaction with the NC volunteer that was important, or whether it was just social interaction in
33 general.
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41 **P8:** *I think she just enjoys any interaction, to be quite honest.*
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47 **Refined Programme Theory 1:** As dementia progresses, people's opportunities to engage in
48 social interactions that are meaningful to them become more limited (context). Using their
49 knowledge of the person's life story to develop a set of bespoke interactional tools and techniques
50 (resources), NC volunteers evoke an emotional response in the person with dementia (reasoning),
51 leading to a set of relaxation, engagement and alertness outcomes.
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3 Programme theory 2a confirmed that family carers often felt a lack of hope and helplessness
4 about their loved ones dementia, but the theory was less well supported in terms of NC increasing
5 that hope through interaction. Family carers indicated that they still found it very difficult to
6 interact with their loved one, and struggled not to see them through the same lens as they did
7 when they were well.
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15 **P3:** *I can't react to [my wife] the way that a stranger does anymore. I*
16 *do my best to react, and interact, with her - to look after her and all the*
17 *rest of it. But I'm her carer. I find it... It's not easy for me to, sort of,*
18 *like keep on talking to [my wife].*
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28 Some family carers went so far as to think that it was not possible for anyone to communicate
29 with their loved one, as they believed dementia prevented this.
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34 **P7:** *So, there's no communication. I can't communicate with him. I*
35 *couldn't ask him... You can ask him if he has a... He scrunches his*
36 *face, or if he cries out, if you ask him what's wrong, have you got a pain,*
37 *he doesn't know. He doesn't know whether he's got a pain. So,*
38 *therefore there's nobody can communicate with him.*
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49 **Programme theory 2a** was formulated as: In a context where family carers have seen their loved
50 one decline and been told there is 'no hope' / 'nothing can be done' and received 'impersonal
51 care' (context) use of NC to promotes reactions from their loved one (resource) leads to them
52 feeling hopeful and acknowledging that their loved one is still 'living' (reasoning). This leads to
53 increased hope (outcome) and wellbeing.
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3 Consistent with realist analysis, where theories are refined, substantiated or rejected as they are
4
5 tested through empirical data, the lack of substantiation of this theory led to its rejection at this
6
7 stage. Support was found for the alternative programme theory 2b though, which related to the
8
9 use of NC as respite for family carers.
10
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13 **P2:** *It's continuous, basically, when you're looking after somebody with*
14
15 *Alzheimer's. You know, it's 24... Well, not quite 24-7, but a lot of the*
16
17 *time. And it's just nice to have a couple of hours to do something*
18
19 *completely different, you know. And know that they're in safe hands.*
20
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26 One family carer also felt that her not being present was an advantage as it engaged her mother
27
28 more in the NC sessions.
29
30

31 **P4:** *I think it's nice for mam, me not being involved. Because, if I'm there,*
32
33 *mam will look at me to answer questions. Will look at me to make*
34
35 *conversation. So, I'm better out of the way. It means she has to... And*
36
37 *she starts talking. So, yeah, I potter on.*
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44 The 2 hour respite provided by NC sessions was particularly appreciated in light of the
45
46 perceived lack of services to help people with dementia and their family carers.
47
48

49 **P4:** *But I am literally on duty until I get dad into bed, and his last*
50
51 *eye drops in – that's usually about quarter to ten at night. And*
52
53 *that's seven days a week [...]* *Because I can't take holidays, I can't*
54
55 *have breaks. I get two hours (official respite), once a fortnight.*
56
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3 **P7:** *I've had no help whatsoever [...] They say on there (TV), there's*
4 *people to get help. They don't... You're just left. I mean, I was just left*
5 *to manage on my own...*
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14 As a result of the analysis, **Refined Programme theory 2b** was postulated: Family carers
15 provide continuous care and have little input from other services (context), provision of 2 hours
16 contact with a trained NC volunteer (resource) allows them to concentrate on other things,
17 knowing that their family member is in safe hands (reasoning) which gives them restorative time
18 and space (outcome).
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26 No support was found for programme theory 3, which suggested that NC would engage family
27 carers and give them knowledge of how to engage in sensory activities with the person with
28 dementia.
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33 **P6:** *And, of course, I want to think they've played music and read poetry*
34 *and massaged the ladies with cream on their hands... Because what my*
35 *problem has been – I can take care of her physically... I can keep her*
36 *safe, I can keep her warm, I can keep her dressed and comfortable... But*
37 *I can do nothing at all to improve the quality of her life, you see.*
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48 One family carer also suggested that she thought her mother would feel uncomfortable if she
49 were to try to use the techniques herself, as she already provided so much care for her, which
50 was time and resource intensive.
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56 **P4:** *They have the time to spend to really draw them out. I haven't. I've*
57 *got to break off to go and do their meals, to get the washing dried... So,*
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3 *it's nice that somebody has the time to spend with them, and solely them.*

4
5 *And mam and dad accept that. When they're not there, they wouldn't do*

6
7 *that with me.*

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13 **Programme theory 3** was therefore not supported by the family carers' interviews.

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15
16 Programme theory 4 concerned the importance of having one volunteer aligned to one person
17
18 with dementia for the 20 sessions of NC.

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21
22 **P2:** *She got quite emotional herself. You know, which was nice.*

23
24 *I mean... She obviously cared that much, you know. And, yes, we*

25
26
27 *did very much see her as a friend.*

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33 Family carers echoed the focus group discussions describing a very strong emotional connection
34
35 between the person with dementia and the NC volunteer. This was often described using
36
37 recognition as a proxy.

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42 **P3:** *And I think it's just, you know, spending time with her.*

43
44 *Because her eyes do light up, mind, when [Volunteer] comes. So,*

45
46 *there is some sort of recognition. As almost, like, a friend or*

47
48 *relative from [person's name] so... I think from that point of view,*

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52 *that makes me happy.*

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57 Related to the importance of the emotional connection, family carers highlighted the importance
58
59 of having a consistent NC volunteer.

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5 **P4:** *If you just... One person stops and another person comes in, I*
6 *think you're then going to have a knock-on effect that it's going to*
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8 *take, again, two, three sessions before you have the relaxed*
9
10 *atmosphere again.*
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18 **Programme theory 4** was therefore supported, stating that: One volunteer is aligned to a person
19 with dementia and spends 2 hours per week solely with that person (context). The volunteer
20 therefore has a knowledge history of what works/what doesn't work and what the person likes
21 (resources). This allows the volunteer and the person with dementia to develop a strong emotional
22 connection (reasoning). The outcome could be considered as the recognition of the volunteer by
23 the person, but actually this leads to friendship, which could suggest an increased quality of life
24 for both people.
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34 Interviews with family carers highlighted the importance of the one to one interaction in NC. In
35 a care home setting, NC is usually implemented in a group environment. Family carers discussed
36 group environments in relation to other activities they had tried with their loved ones, or group
37 family situations:
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44 **P4:** *Although I tried to persuade her to go to, like, the dementia cafes*
45 *or singing for the brain and all this type of... No. Won't go.*
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51 Discussions were also then framed to ask about NC in a group environment, as is delivered in
52 care homes:
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3 **P2:** *Yeah, it's far more focused. It's focused on the individual, as*
4 *you say. Plus the fact that in general, quite willingly, she's passive*
5 *in a big group. She has the rest of the group, you know, to take*
6 *over basically. And so she doesn't contribute. Not that she, sort*
7 *of, doesn't want to. She just doesn't feel the need to, if you see*
8 *what I mean? She doesn't feel, sort of, overawed by the group.*
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22 Family carers also described how they liked their loved one to have social interaction, as
23 described in Programme theory 1, but often it caused the person anguish.
24
25

26
27 **P8:** *I think the thing with [my wife] is it's got to be one-on-one.*
28 *That really... It's sort of the experience with her – if there was*
29 *time to leave her in a group situation... It would just upset her so*
30 *much. And I think... I think she thinks to herself, why am I here*
31 *with these people, who I don't know, and there's something wrong*
32 *with them.*
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44 The interviews with family carers led to refinement of theory 1 (the life story), rejection of theory
45 2a (hope for family carers) and further development of 2b (respite). Theory 3 (development of
46 new ways of interacting) was also rejected, but support was identified for theory 4 (relationship
47 between the volunteer and the person with dementia). The one on one delivery of NC in the home
48 setting was also highlighted by family carers.
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Discussion

This preliminary study developed initial programme theories for the novel use of NC in peoples' own homes, as opposed to care homes. Including contrasting programme theories 2a and 2b, in total five programme theories were developed from the focus groups with NC volunteers, of these programme theories, 3 were supported (table 2).

Table 2: Programme theories developed and their refined counterparts

Programme Theory	Focus Group Developed Theories	Interview refined programme theories
	Refinements indicated in bold italics	
1.	<i>The volunteer is aware of the person's life story (context). Experimentation based on the life story is used to identify useful personalised activities (resource)</i> which evoke an emotional response from the person with dementia, meaning they engage with the NC worker (reasoning). The outcome can be relaxation, engagement, increase in alertness or emotional response.	<i>As dementia progresses, people's opportunities to engage in social interactions that are meaningful to them become more limited (context). Using their knowledge of the person's life story to develop a set of bespoke interactional tools and techniques (resources),</i> NC volunteers evoke an emotional response in the person (reasoning), leading to a set of relaxation, engagement and alertness outcomes.
2a.	In a context where carers have seen their loved one decline and been told there is 'no hope' and received little or impersonal care (context) use of NC to evoke reactions from their loved one (resource) leads to them feeling hopeful and acknowledging that their loved one is still 'living' (reasoning). This leads to increased hope (outcome) and wellbeing.	Not supported.
2b.	Carers provide <i>task-focused</i> care and have little input from other services (context). A familiar NC volunteer provides 2 hours of interaction with the person with dementia (resource) <i>which eases off worries about</i> the person with dementia and allows them to have some respite (reasoning) which leads to an <i>increase in well-being</i> (outcome).	Carers provide <i>continuous</i> care and have little input from other services (context), provision of 2 hours contact with a trained NC volunteer (resource) allows them to concentrate on other things, <i>knowing that the their loved one is in safe hands</i> (reasoning) which gives them <i>restorative time and space</i> (outcome).

3.	Being often task focused, family members recognise the value of NC (context). A tailored activity box is left at the person's home (resource). The family are keen to engage in activities that enable them to connect emotionally with the person (reasoning). Family use NC independently (outcome)	Not supported
4.	One volunteer is aligned to a person with dementia and spends 2 hours per week solely with that person (context). The volunteer therefore has a knowledge history of what works/doesn't work and what the person likes (resources). This allows the volunteer and the person with dementia to develop a strong emotional connection (reasoning). The outcome could be considered as the recognition of the volunteer by the person with dementia <i>but actually this leads to an increased engagement which might have previously been thought of as impossible (outcome).</i>	One volunteer is aligned to a person with dementia and spends 2 hours per week solely with that person (context). The volunteer therefore has a knowledge history of what works/what doesn't work and what the person likes. (resources). This allows the volunteer and the person with dementia to develop a strong emotional connection (reasoning). The outcome could be considered as the recognition of the volunteer by the person with dementia <i>but actually this leads to friendship, which could suggest an increased quality of life for both people (outcome).</i>

The 'one on one' delivery of NC in the home setting in this study was highlighted by family carers as being preferable, not only because the person was in familiar surroundings but due to the increased engagement this provided. Family carers suggested that their loved one would be more likely to disengage in a group environment. NC aims to engage the senses and using it in the home setting could have the potential to allow more tailored delivery, with fewer distractions.

Evidence suggests that sustained lack of stimulation can be detrimental to people in care homes who suffer from dementia, as it augments the apathy, boredom, depression, and loneliness that often accompany the progression of dementia.[37, 38] The same, if not more enhanced, could be assumed for those with dementia who live at home and this could be supported by the preliminary findings of this research. This study and others [39, 40] have highlighted the importance of social interaction for people living with dementia; those living at home with dementia have very little

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3 interaction with people other than their family and formal carers, due to issues of mobility and
4 anxiety outside of home. Furthermore, family carers expressed an inability to interact with their
5 loved one as they used to, this is in line with observations from another study using NC, which
6 focused on touch.[20] This finding could warrant further investigation in care homes also.
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13 Cohen-Mansfield et al.[38] suggest a framework for engagement of people with dementia (Figure
14 1, reproduced). The theoretical framework suggests that environmental attributes (home setting),
15 stimuli attributes (sensory activities) and person attributes (NC: Life story, matched volunteers
16 and continuity with volunteer), alongside interactions among these attributes, affect engagement
17 with stimuli by the person who has dementia. NC in the home environment could be said to be
18 more open to personalised and tailored activities than a care home environment, with a 'one on
19 one' approach and less distractions, such as other residents, therefore making the environment
20 facilitative. Stimuli presented to people with dementia in NC are also matched at first with the
21 person's attributes, through use of the Life Story. Cohen-Mansfield et al.[19] suggest that
22 personalised activities are more likely to engage those with dementia.[41] This conceptual
23 framework concerning engagement of persons with dementia therefore reflects NC well and
24 could also be applicable to the use of NC in care homes, as well as in people's own homes. The
25 authors have also developed a measurement of engagement, which could potentially be used in
26 future research on NC given their complementarity of one another.
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49 **INSERT Figure 1:** A framework for engagement of people with dementia (reproduced from:
50 Cohen-Mansfield, J., M. Dakheel-Ali, and M. Marx, *Engagement in persons with dementia: the*
51 *concept and its measurement*. American Journal of Geriatric Psychiatry 2009. 17(4): p. 299-307).
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3 Caring for people with dementia can be stressful, lead to family conflicts and cause burnout;[42]
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5 recent research has highlighted a need for further exploration of family carers' views about care
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7 for those with dementia at home.[43, 44] One of the unintended consequences of NC in the home
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9 setting was its use by family carers for respite. NC aims to engage the family, with care home
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11 staff encouraging family and friends to join in where appropriate.[23, 45] However, usual use of
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13 NC is in a care home setting, where family members do not provide the majority of task focused
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15 care. The family carers in this study described a lack of support and a need for respite, which is
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17 supported in the literature.[46] NC provided a weekly two-hour window of respite in which
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19 family carers could have restorative time and space. Furthermore, the findings suggested that the
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21 person with dementia may feel uncomfortable with their family member providing sensory
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23 stimulation which could be seen as placing additional time demands on family members. Future
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25 research should investigate whether those who do not know the person, such as volunteers and
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27 care home staff, are better placed to deliver NC.
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34 This exploratory research has started to provide explanations of how NC may work in the home
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36 setting. Future research has been briefly previously outlined, but could also include investigations
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38 into volunteer delivery of NC in care homes, to allow the intervention to also be delivered to
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40 those with milder dementia. Furthermore, an ethnographic approach to develop further
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42 understanding of outcomes for those receiving NC would be beneficial.
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49 **Strengths and Limitations**

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51 To our knowledge, this is the first formal evaluation of NC in the home setting. It is also the first
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53 to explore the use of volunteers to deliver NC. The findings highlight that further research is
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55 necessary, but outline interesting findings in terms of intervention fidelity and unintended
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57 outcomes.
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3 A limitation of the study is the sample size; while some programme theories were not
4 substantiated by the data, it could be that this was due to this particular sample. As in all realist
5 research, these findings do not claim finality, but merely the beginning of an explanatory
6 endeavour for NC.
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13 A caution should also be outlined in interpreting the findings, due to the vast differences in
14 implementation in people's own homes in comparison to care homes. Adapting an intervention
15 like NC to work in the home environment does bring challenges for evaluation as the intervention
16 itself is inevitably altered to facilitate delivery. In this delivery of the intervention, the 'dose' was
17 different, however, recent research found little empirical evidence on the optimal 'dose' of
18 sensory interventions. Furthermore, the interaction with volunteers as opposed to care home staff
19 warrants further investigation and the inclusion of those with mild dementia poses questions
20 around intervention focus and benefit, given that NC was developed for people with dementia
21 who have physical and cognitive deterioration and are unable to engage with other activities.
22 However, recent research highlights the challenge of examining whether the impact of
23 interventions vary depending on cognitive ability and indicates that further research is needed to
24 assess how psychosocial interventions can be of use across the stages of dementia.[14]
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41 As is the process for realist research, theories were tested and refined or rejected. We aimed to
42 report as much as possible on the process of analysis in order to be transparent and rigorous.
43 Furthermore, it is important to counteract publication bias of only positive results, although we
44 do not consider the unintended consequences identified in this study negative (that of respite).
45 Finally, it also enables the research field to build on the knowledge created and discourages
46 repeated research in the same area.
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Implications for clinicians and policymakers

The research highlights positive outcomes for people with dementia, volunteers and family members. However, it also highlights that NC may not work in the same way in the persons own home, as it does in care homes. This does not detract from the value of NC, but warrants further investigation. It also indicates the unmet needs of family carers. In order to facilitate those with dementia to live at home and to meet the current drive of care into the community, we need to firstly ensure the needs of those with dementia and their carers are met, whether these needs be physical, emotional or social.

Conclusion

A recent cohort study indicated that people with advanced dementia still often live with distressing symptoms [47] and that community services are often not tailored to their non-medical needs.[29] Longitudinal input focused on improving quality of life using personalised interventions such as NC shows promise in optimising life for those with dementia and also could provide much needed respite for family carers when delivered in the home setting using volunteers.

Author Contributions

SMD drafted the manuscript with conceptual input from ML. NK drafted sections relating to NC. JA drafted sections on palliative aspect of NC. ML, NK, JA and ST all read and commented on drafts and approved the final submitted version.

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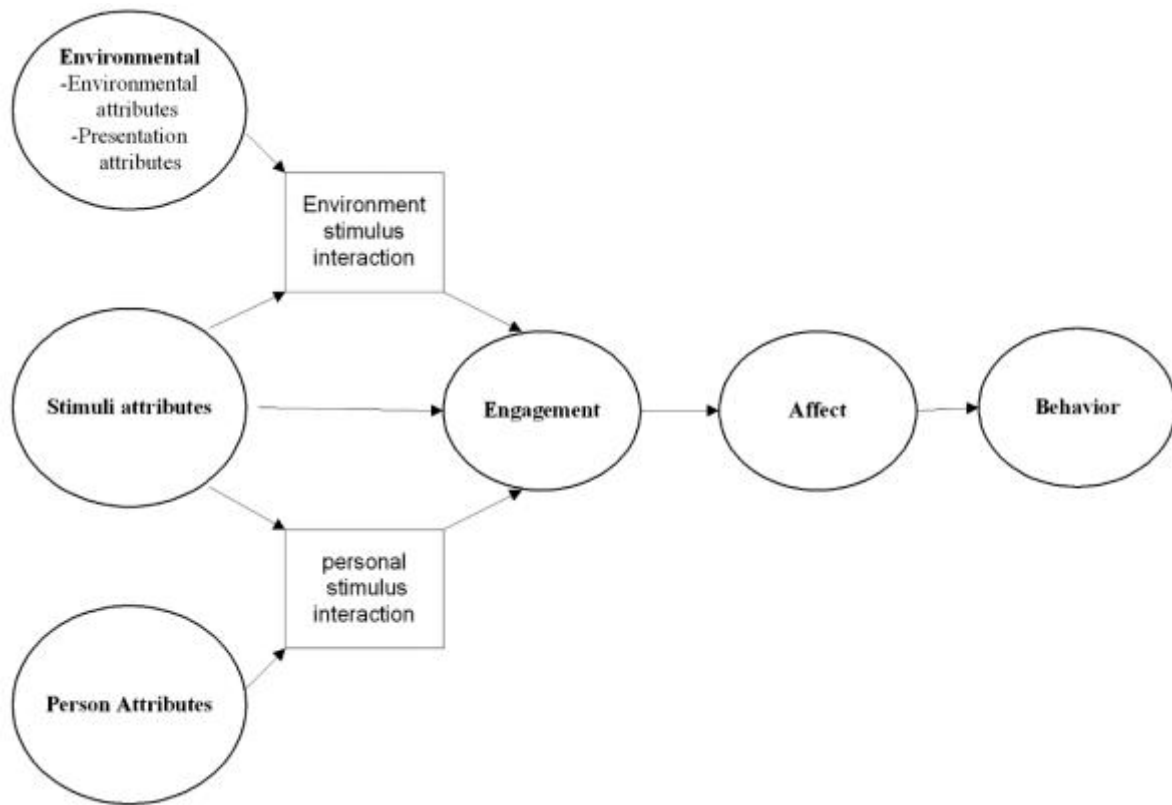


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Supplementary information 1:

The following criteria are used by the hospice and intended to provide guidance on appropriate referrals for the community based Namaste Care Project.

- The person living with dementia lives at home in the central [location details] or [location details].
- The person living with dementia is most likely in their last year of life.
- The person living with dementia is finding it more difficult to communicate verbally.
- They have become completely dependent on the support of others for activities of daily living.
- They would not now find it easy to leave the house or engage in group activities.
- They would benefit from a gentle, sensory approach, on a one to one basis by a trained volunteer to enhance their wellbeing.
- The person with dementia and/or carer has consented to the referral and is aware that the carer needs to be present in the house during Namaste visits.

RAMESES (Realist And Meta-narrative Evidence Syntheses: Evolving Standards) II: Items to be included when reporting realist evaluations (Wong et al., 2016):

Wong, G., Westhorp, G., Manzano, A., Greenhalgh, J., Jagosh, J., & Greenhalgh, T. (2016). RAMESES II reporting standards for realist evaluations. *BMC Medicine*, 14(96). doi:10.1186/s12916-016-0643-1

	Reported in document (Y/N/Unclear)	Page
ABSTRACT		
Identify the document as a realist evaluation	Y	Pg.1 and throughout
Relevant abstract information	Y	Pg. 4
INTRODUCTION		
Rationale for Evaluation	Y	Pg. 9
Programme Theory	Y	Pg. 11
Evaluation questions, objective and focus	Y	Pg. 11
Ethical approval	Y	Pg. 10
METHODS		
Rationale for using realist evaluation	Y	Pg.9
Environment surrounding the evaluation	Y	Pg. 11
Describe the programme policy, initiative or product evaluated	Y	Pg. 11
Describe and justify the evaluation design	Y	Pg. 9
Data collection methods	Y	Pg. 11
Recruitment process and sampling strategy	Y	Pg. 10
Data analysis	Y	Pg. 11
RESULTS		
Details of Participants	Y	Pg. 11
Main findings	Y	Pg. 12
DISCUSSION		
Summary of findings	Y	Pg. 29
Strengths, limitations and future directions	Y	Pg. 33
Comparison with existing literature	Y	Pg. 32
Conclusion and recommendations	Y	Pg. 35
Funding and conflict of interest	Y	Pg. 2