




# BMJ Open ADVANCE-TBI study protocol: traumatic brain injury outcomes in UK military personnel serving in Afghanistan between 2003 and 2014 – a longitudinal cohort study

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## ABSTRACT

**Introduction** Outcomes of traumatic brain injury (TBI) are highly variable, with cognitive and psychiatric problems often present in survivors, including an increased dementia risk in the long term. Military personnel are at an increased occupational risk of TBI, with high rates of complex polytrauma including TBI characterising the UK campaign in Afghanistan. The Armed Services Trauma and Rehabilitation Outcomes (ADVANCE)-TBI substudy will describe the patterns, associations and long-term outcomes of TBI in the established ADVANCE cohort.

**Methods and analysis** The ADVANCE cohort comprises 579 military personnel exposed to major battlefield trauma requiring medical evacuation, and 566 matched military personnel without major trauma. TBI exposure has been captured at baseline using a standardised interview and registry data, and will be refined at first follow-up visit with the Ohio State Method TBI interview (a National Institute of Neurological Disorders and Stroke TBI common data element). Participants will undergo blood sampling, MRI and detailed neuropsychological assessment longitudinally as part of their follow-up visits every 3–5 years over a 20-year period. Biomarkers of injury, neuroinflammation and degeneration will be quantified in blood, and polygenic risk scores calculated for neurodegeneration. Age-matched healthy volunteers will be recruited as controls for MRI analyses. We will describe TBI exposure across the cohort, and consider any relationship with advanced biomarkers of injury and clinical outcomes including cognitive performance, neuropsychiatric symptom burden and function. The influence of genotype will be assessed. This research will explore the relationship between military head injury exposure and long-term outcomes, providing insights into underlying disease mechanisms and informing prevention interventions.

**Ethics and dissemination** The ADVANCE-TBI substudy has received a favourable opinion from the Ministry of Defence Research Ethics Committee (ref: 2126/MODREC/22). Findings will be disseminated via

## STRENGTHS AND LIMITATIONS OF THIS STUDY

- ⇒ Armed Services Trauma and Rehabilitation Outcomes (ADVANCE)-traumatic brain injury (TBI) integrates with and extends the existing ADVANCE cohort study of 1145 military personnel with detailed longitudinal clinical, physiological, biomarker, genetic and functional assessment.
- ⇒ This substudy is well powered to interrogate the relationship between TBI exposure and long-term clinical outcomes with advanced biomarkers clarifying underlying disease mechanisms.
- ⇒ Results will inform public health prevention strategies in military, sporting and civilian settings, by identifying which types of injury pose the highest risks of progressive problems, and defining who might be at highest risk.
- ⇒ One limitation is that the ADVANCE cohort does not include female personnel, which may influence the generalisability of our findings.
- ⇒ A degree of loss to follow-up is anticipated but this is mitigated by the very large sample size.

publications in peer-reviewed journals and presentations at conferences.

## INTRODUCTION

Traumatic brain injury (TBI) is a significant cause of morbidity and mortality;<sup>1</sup> road traffic accidents, a surrogate for TBI, are the foremost cause of disability in people aged 10–49 years worldwide.<sup>2</sup> In the military setting, complex patterns of injury are produced by battlefield head injury with high rates of blast neurotrauma in recent UK campaigns in Afghanistan and Iraq.<sup>3</sup> Estimates of TBI rates vary depending on definition and ascertainment approaches, but are thought to affect a

range of 5%–30% of service personnel.<sup>4–7</sup> Management of battlefield trauma has improved, such that patients are now surviving injuries but living with greater levels of disability.<sup>8</sup>

A range of neurological and psychiatric problems, including cognitive difficulties, may arise after TBI. These ‘direct effects’ of injury recover to a variable extent. There is also evidence that a proportion of patients will deteriorate late after trauma,<sup>9</sup> with an increased risk of all-subtype dementia associated with TBI.<sup>10</sup> Epidemiological data suggest a dose–response relationship, with greatest risk associated with more severe injuries or repeated TBI.<sup>9–11</sup> There has been particular concern about chronic traumatic encephalopathy in military veterans. This trauma-associated tauopathy is considered a progressive neurodegenerative disease and has been described in a number of combat veterans including after blast.<sup>12–13</sup> The relationship between the types, severity, quantity of head injuries and long-term brain health outcomes remains very uncertain.

Advances in neuroimaging and fluid biomarker technologies provide improved means to sensitively assess patients for the presence of TBI’s possible effects such as neurodegenerative disease, including in pre-symptomatic periods.<sup>14</sup> For example, diffusion tensor imaging (DTI) MRI is highly sensitive to white matter (WM) damage sustained during TBI and may reveal changes, which are not present on conventional imaging such as CT or standard MRI sequences.<sup>15</sup> Given the dynamic changes after TBI, longitudinal imaging (eg, with DTI) may be particularly informative.<sup>16–17</sup> In addition, single-molecule array (Simoa) technology (a bead-based, digitalised version of enzyme-linked sandwich immunoassay) can quantify neuronal breakdown products in blood to subfemtomolar ( $10^{-15}$ ) concentrations, with axonal marker neurofilament light (NFL) highly sensitive to axonal damage and progressive degeneration, which can take place chronically after TBI.<sup>18</sup> Elevations in both NFL and astroglial activation marker (glial fibrillar acidic protein (GFAP)) have been reported as long as 5 years after moderate-severe TBI.<sup>19</sup> Acutely, GFAP peaks within days of injury, whereas NFL plasma concentrations are maximal around 3 weeks post-TBI, making these optimal time points to take clinical samples early post-injury: both markers predict 1-year outcomes, with NFL numerically (but non-significantly) the better predictor.<sup>18</sup> However, longer-term trajectories remain more imprecisely defined. For example, the BIO-AX-TBI cohort showed raised NFL and GFAP at 1 year post-injury,<sup>18–20</sup> with others finding raised NFL (only, not GFAP) at 8 months post-injury without longer-term (>5 years) elevation in either marker.<sup>21</sup> New approaches may also provide an improved understanding of the genetic factors influencing outcomes long after trauma. For instance, the apolipoprotein E  $\epsilon$ 4 (*APOE4*) allele is a major genetic risk factor for late-onset Alzheimer’s disease (AD) and is linked to poor clinical outcomes after TBI.<sup>22–23</sup> Polygenic risk scores (PRSs) are able to include genetic risk loci such as *APOE*, and further incorporate a

large range of risk polymorphisms identified in genome-wide association studies (GWAS), to explain more phenotypic variance than traditional approaches.<sup>24–25</sup>

The prospective ADVANCE (Armed Services Trauma and Rehabilitation Outcomes) Study of combat trauma outcomes was established to investigate the long-term health consequences of battlefield trauma in the UK Afghanistan campaign, ‘Operation Herrick’, between 2002 and 2014. The study protocol is available for a more detailed description.<sup>26</sup> Briefly, in total, 1145 military personnel, around half of whom were exposed to major battlefield trauma alongside frequency matched controls, have been recruited to ADVANCE and undergone detailed baseline evaluation. This comprised comprehensive biological, psychological and social data ascertainment including the presence of head injury at the time of trauma. The consortium has previously reported on cardiovascular changes related to battlefield trauma, with higher rates of metabolic syndrome associated with injury,<sup>27</sup> and adverse effects of combat trauma on mental health.<sup>28</sup>

ADVANCE-TBI, a substudy of ADVANCE, takes advantage of the unique opportunity to leverage recent scientific advances to clarify in detail the patterns of TBI arising from the Afghanistan campaign. It will describe the neurological and psychiatric outcomes of these patients over time, and relate these to head injury exposures. This will provide key insights into disease mechanisms, facilitate the establishment of clinical trials to prevent progressive post-injury problems, improve prognostication and inform strategies to prevent significant injury.

### Research questions

1. What is the prevalence of TBI in the ADVANCE cohort?
2. How does combat TBI relate to evidence of progressive neurodegeneration and brain health? (see hypotheses 1, 2, 3, 4, 5)
3. How do prior or subsequent TBI exposures, or periods of repeated head impact exposure influence long-term health? (see hypotheses 3 and 4)
4. Do genetic risk factors for neurodegeneration modulate relationships between injury and outcome? (see hypothesis 6)
5. How do genetic factors and different environmental exposures (eg, cardiovascular health) influence post-traumatic neurodegeneration? (see hypotheses 6 and 7)

### Core hypotheses

1. Compared with those with no history of TBI, patients after TBI will show MRI evidence of WM damage, specifically reductions in diffusion imaging measures, including fractional anisotropy (FA); and that this will predict poor long-term outcomes including progressive neurodegeneration.
2. Compared with those with no history of TBI, patients after TBI will show evidence of brain atrophy, demonstrated by reduced brain volume on volumetric struc-

- tural T1 MRI and increased brain atrophy rates on serial volumetric T1 MRI.
3. Compared with those with no history of TBI, patients after TBI will show poorer cognitive performance on standardised neuropsychological testing.
  4. Compared with those with no history of TBI, patients after TBI will show higher symptom burden in respect of mood, anxiety and post-traumatic stress.
  5. Compared with those with no history of TBI, patients after TBI will show increased concentrations of plasma biomarkers of trauma in blood, including NFL and GFAP; and these biomarkers will predict progressive neurodegeneration.
  6. In patients with a history of TBI, genetic risk for neurodegeneration will modulate the relationship between injury and biomarkers of neurodegeneration.
  7. Additional (prior or subsequent) TBI exposures will modify the relationship between index injury and neurodegeneration.

## METHODS AND ANALYSIS

We will investigate the long-term neurological, psychiatric and functional outcomes of UK armed services physical battlefield trauma patients with a history of TBI. We will describe the types, severity and morbidity of TBI sustained by military personnel serving in Afghanistan. Additionally, we will describe patterns of brain damage using advanced MRI and relate this to the type of injury sustained (eg, blast/gunshot/blunt force). We will investigate how the type of injury, patterns of TBI in neuroimaging and blood biomarker profiles relate to cognitive performance, and assess whether post-traumatic outcomes are influenced by genetic liability to neurodegenerative disease.

### Participants and sample size

ADVANCE-TBI is a prospective longitudinal substudy of the existing ADVANCE cohort. All ADVANCE participants will be offered the opportunity to enrol into ADVANCE-TBI, which, in brief, adds a 3T MRI scan of brain and cognitive assessment to the usual follow-up visits (see table 1). Initially, this will be on two consecutive follow-up visits 3–5 years apart, which will be extended

subject to funding. A small group (n=30) of volunteers with no history of frontline military service or brain injury will also be recruited to have a single MRI scan of the brain.

The sample size of the ADVANCE cohort has already been established,<sup>26</sup> and as per a number of existing assessments which form part of the core ADVANCE Study (eg, universal dual-energy X-ray absorptiometry scanning, hip/knee/pelvic X-rays), we intend to offer ADVANCE-TBI to all participants.<sup>26</sup> The primary outcomes are (1) ascertainment of exposure to head injury across the ADVANCE cohort; and (2) DTI MRI difference in WM integrity between patients with and without history of TBI. The first outcome is descriptive rather than hypothesis driven.

We anticipate uptake in the region of 80% resulting in a group of around 900 participants, and a conservative estimate of TBI rates within the cohort from previous literature of 7.5%.<sup>4 5</sup> This equates to around 68 individuals with TBI; however, the number is likely to be higher as ADVANCE specifically enrolled patients with major trauma exposure (in ~50%). We will be able to provide a detailed description of the types, quantity and severity of injury across the cohort. As described in the core ADVANCE protocol, we anticipate a drop-out rate of 10% every 5 years, hence, a group size (conservatively) of 590 participants at 20 years.

*Detection of significant trauma-related abnormalities on diffusion tensor MRI:* our previous work has shown a very substantial difference in WM FA on DTI MRI in the chronic phase after moderate-severe TBI versus healthy age-matched controls (Cohen's d=2.2).<sup>29</sup> Power calculations (G\*Power, V.3.1) show that to have 95% power using a two-tailed t-test to detect an effect of this magnitude, with an error probability of 5%, a minimum of seven patients per study arm would be needed. Assuming an 80% enrolment of the cohort, n=900 people, half of whom, that is, 450, have combat trauma exposure, we expect circa 10% to have TBI (n=45). We are therefore well powered to detect differences in WM integrity between patients with combat trauma including and excluding TBI (given a requirement of seven patients).

**Table 1** Study design and planned study assessment time points in ADVANCE core and ADVANCE-TBI substudy

		Planned study visit (years post-recruitment)					
		0	3	6	10	15	20
ADVANCE	Clinical assessment	x	x	x	x	x	x
	DNA	x	–	–	–	–	–
ADVANCE-TBI substudy	MRI of the brain	–	x	x	x	x	x
	Neuropsychology	–	x	x	x	x	x
	Blood biomarkers	x	x	x	x	x	x
All ADVANCE core assessments are performed for all participants, with ADVANCE-TBI substudy facilitating additional MRI of the brain, neuropsychology and blood biomarker analyses. 'x' denotes included; '–' denotes excluded. ADVANCE, Armed Services Trauma and Rehabilitation OutCome; TBI, traumatic brain injury.							

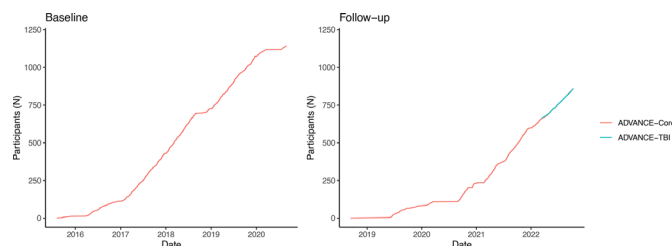


## Entry into the study

Recruitment of the ADVANCE cohort began in March 2016. Defence Statistics provided information from which both groups were recruited. All ADVANCE cohort participants will be offered the opportunity to take part in the ADVANCE-TBI Study. Assenting participants will be formally assessed for eligibility and invited to provide informed written consent. The right of the participant to refuse consent without giving reasons will be respected. Further, the participant will remain free to withdraw from the study and withdraw previously collected data at any time without giving reasons and without prejudicing any further treatment. A copy of the consent will be given to the participant and one filed in the Trial Master File, and within their Ministry of Defence (MOD) medical records (if still serving). The written consent will be taken by an authorised clinician. We will only recruit participants who have mental capacity to provide valid informed consent. Standard procedures will be followed in the event that a participant loses capacity during the course of the study, per Health Research Authority/MOD Research Ethics Committee (MODREC) regulations.

**Inclusion/exclusion:** core ADVANCE inclusion criteria are described in detail elsewhere.<sup>26</sup> Briefly, they comprise UK armed service personnel, male, sustaining physical battlefield trauma, while on deployment in Afghanistan, requiring aeromedical evacuation and direct UK hospital admission from 2003 to 2014. This comprises an 'exposed group', exposed to major battlefield trauma, though not necessarily TBI. A frequency matched 'unexposed group' was also recruited in ADVANCE, without major battlefield trauma requiring aeromedical evacuation. The following are excluded from ADVANCE: females; those unwilling or unable to give informed consent; those with established cardiovascular disease (previous stroke or transient ischaemic attack, ischaemic heart disease, peripheral vascular disease); medical history of diabetes; medical history of renal or liver disease; aged <18 or >50 years at recruitment; active acute infection with systemic features of sepsis, at the time of recruitment. Participants are also excluded from the MRI part of ADVANCE-TBI if there is a contraindication to this imaging modality, for example, due to ferromagnetic implants. We anticipate this will comprise only a very small number of individuals.

**Assessment timeline and content:** TBI-specific assessments will be integrated into the existing schedule of longitudinal follow-ups in the ADVANCE Study. Specifically, this comprises assessments at baseline (complete in 1145 participants), ongoing assessments at 3 years (complete in circa 850 participants), as well as 7, 10, 15 and 20 years after recruitment into ADVANCE. The TBI assessments, in consenting participants, start at their next scheduled ADVANCE Study visit. Recruitment into the ADVANCE-TBI substudy started in May 2022, with approximately 150 participants enrolled so far (figure 1).



**Figure 1** Recruitment within the ADVANCE Study. Cumulative number of patients within the broader ADVANCE Study showing date of baseline assessment and first follow-up visit, with patients recruited into ADVANCE-TBI in green. ADVANCE, Armed Services Trauma and Rehabilitation OutCome; TBI, traumatic brain injury.

## Healthy volunteer assessment

We will recruit 30 age-matched non-ADVANCE healthy volunteers with no history of frontline military service for a single brain MRI scan (3T MRI on Philips Ingenia Elition, scan protocol identical to the other study participants). This is to assist interpretation and broader comparison of the imaging measures acquired in ADVANCE participants. This will be done cross-sectionally. Age matching will be performed to ensure equal proportions in 10-year age bands, compared with patients. These participants will be recruited via email circulation, word of mouth and advertisement material in the form of posters. Healthy volunteer participants may include civilian contractors and MOD health professionals who have not been exposed to combat environments.

**Inclusion for non-ADVANCE healthy volunteers:** age range as per the ADVANCE cohort. **Exclusion criteria:** females; unable to have MRI, for example, due to ferromagnetic implants; those with established vascular disease (previous stroke or transient ischaemic attack, ischaemic heart disease, peripheral vascular disease); medical history of diabetes; medical history of renal or liver disease; history of major head injury, defined as any head injury requiring emergency department attendance or any injury defined as moderate-severe in Mayo classification;<sup>30</sup> history of playing collision sports (Association or American football, rugby, lacrosse, boxing, mixed martial arts) at a semi-professional or professional level, including high-level university sport participation; head injury in last 3 months or a history of frontline military service.

## Identification of injuries, severity ascertainment, periods of repeated head impact exposure

We will use standardised tools to capture and code the numbers, type, severity and sequelae of head injuries within the cohort. National Institute of Neurological Disorders and Stroke common data elements for TBI research will be used where possible to code this information,<sup>31</sup> facilitating standardised comparisons and later sharing of data.

A standardised interview was used at the baseline study visit to capture the participant's medical history including of TBI, recorded on the medical history page

of the ADVANCE Study case report form. Supplementing this, the UK Aeromedical Evacuation and Joint Theatre Trauma Registry provides further detailed information about the acute injury and its early management. Lastly, review of the military medical records will be used to help complete any missing data regarding the TBI exposure and its early management. These are sources to which the ADVANCE Study already has ethical approval to use and access in place.

The Ohio State TBI Identification Method<sup>32</sup> is being used at the first follow-up visit in ADVANCE, providing self-reported retrospective assessment of TBI exposure. This validated tool provides information about significant injuries (or 'concussions') during each person's life-course, as well as capturing periods of time when that individual had frequent exposure to head injuries (such as, for example, participation for several years as a boxer). Any information captured within the Ohio State Questionnaire pertaining to the index injury will be incorporated as above.

We will characterise the trauma exposure by which the patient was defined as 'trauma exposed' for the purpose of the advance study (ie, event requiring aeromedical evacuation from Afghanistan). For clarity, we term this the 'index' injury, in recognition that individuals may have had prior or subsequent to that, which helped to define them as exposed for the purpose of the ADVANCE Study. We will identify groups of participants based on the presence of TBI, associated polytrauma or no trauma at the index event (table 2).

We will use the Mayo classification to define injury severity and stratify the TBI group.<sup>30</sup> This classification approach categorises injury severity and provides a level of confidence about the categorisation, with three broad groups: symptomatic possible, mild probable and moderate-severe (definite). Different categories of information are incorporated to determine a categorisation, and not all data types are required in any individual case. These include clinical features such as duration of post-traumatic amnesia, imaging findings such as the presence of intraparenchymal haematoma and/or symptoms such as dizziness (table 3).

We will also identify non-index injury exposures to TBI and classify these using the Mayo classification, providing a count of moderate-severe and mild probable injuries. Periods of exposure to repeated head impacts, such as in sporting settings, will also be assessed and quantified using the Ohio State Method. This will define periods of exposure to repeated head impacts, capturing type (for instance, type of sport) and duration of exposure.

### Associations of trauma-related imaging change

Given the possibility that TBI may not have been recognised clinically, such as in the context of marked polytrauma with severe injuries elsewhere, we will define a group of participants with trauma-related change on MRI scanning and compare these individuals with those without trauma-related abnormalities on imaging. Features used to define this group may include diffuse vascular injury on susceptibility-weighted imaging (SWI) MRI (ie, microhaemorrhages), evidence of diffuse axonal injury on DTI and focal trauma-related damage on structural imaging.

We will assess for differences including in demographics, occupational exposure and recorded trauma exposures between these groups. Although we will not be able to accurately date the changes on imaging to the index injury versus other events, this will provide an indication of rates of unrecognised radiologically significant TBI within the cohort.

### Assessments during study visits

#### Magnetic resonance imaging

Brain structure and function will be assessed using MRI (Phillips 3T Ingenia Elition). Volumetric T1 will provide brain morphometric data and indicate the presence of any neurodegeneration-associated brain atrophy; fluid-attenuated inversion recovery sequences are acquired to assess specifically for post-traumatic change, including gliosis; diffusion-weighted imaging will be acquired to facilitate DTI assessment of WM tract integrity, SWI to assess evidence of diffuse vascular injury sustained at the time of trauma and resting-state functional MRI to assess

**Table 2** TBI exposure ascertainment

#### Life-course TBI exposure

##### Index injury

(ie, exposed vs unexposed within the ADVANCE Study; defined as requiring medical evacuation for trauma, vs non-trauma exposed control)

##### Battlefield trauma exposed

TBI±extracranial injuries

##### Unexposed

No 'index' injury

##### Additional exposures

Previous or subsequent TBIs (N)  
Repeated head impacts (type, duration)

Characterisation of life-course exposure to TBI. The participant may, for the purposes of the ADVANCE cohort study, be defined as 'exposed' (ie, having a major combat traumatic injury requiring medical evacuation) or 'unexposed' (left column). This is referred to as the 'index' injury. This may or may not include TBI. Separate from this, we will ascertain other injuries ('additional exposures', right column) with TBI.

ADVANCE, Armed SerVices TrAuma and RehabilitationN OutComE; TBI, traumatic brain injury.

**Table 3** TBI severity assessment (Mayo classification)

	TBI severity		
	Moderate-severe (definite)	Mild probable	Symptomatic possible
Clinical features include any of			
Loss of consciousness	Present ≥30 min	Momentary–30 min	—
Post-traumatic amnesia	Present ≥24 hours	Momentary–24 hours	—
Lowest Glasgow Coma Scale	<13	—	—
Neuroimaging shows any of			
	Intracerebral haematoma	Depressed, basilar or linear skull fracture	—
	Subdural haematoma	—	—
	Extradural haematoma	—	—
	Contusion (haemorrhagic)	—	—
	Penetrating injury (of dura)	—	—
	Subarachnoid haemorrhage	—	—
	Brainstem injury	—	—
Symptoms including any of			
	—	—	Visual blurring
	—	—	Mental state change/confusion
	—	—	Dazed
	—	—	Dizziness
	—	—	Focal neurological symptoms
	—	—	Headache
	—	—	Nausea
Classification of TBI severity based on clinical, neuroimaging and symptomatic features. Table adapted from Malec <i>et al.</i> <sup>30</sup> TBI, traumatic brain injury.			

the effect of injury on brain network function. The scan duration is approximately 1 hour (table 4).

Scanning will be performed on one occasion for healthy volunteers, and longitudinally for ADVANCE participants, within the existing longitudinal study visit schedule. We anticipate that all scanning will take place within the radiology department at Defence Medical and Rehabilitation Centre Stanford Hall. All images will be reported

by a consultant neuroradiologist and the imaging reports reviewed by the ADVANCE-TBI Study doctors.

An MRI phantom will be imaged repeatedly during the study period to ensure no unrecognised change in the acquisitions, which might affect longitudinal data collection. We aim to acquire data on the same scanner system with the same parameters longitudinally: if this should not be possible, we will systematically assess for differences

**Table 4** MRI sequences on 3T Philips Ingenia Elition scanner system

Sequence	Voxel size (mm)	Function
Volumetric T1 magnetisation-prepared rapid acquisition with gradient echo	1×1×1	Assessment of atrophy
T2 fluid-attenuated inversion recovery	1×1×1	Assessment for traumatic damage, for example, contusions/gliosis
Susceptibility-weighted imaging	0.6×0.6×1.2	Sensitively assess for traumatic vascular injury ('microhaemorrhages')
Quantitative susceptibility mapping	0.9×0.9×0.9	Assess for iron-related signal abnormality related to neurodegeneration
Diffusion (receiver coil channels 32; directions 64; 2×2×2 b value 1000)		Perform diffusion tensor imaging analysis of white matter microstructural integrity
Resting-state functional MRI	2.6×2.6×2.6	Assess brain network function, connectivity and relationship to structural damage

**Table 5** ADVANCE-TBI neuropsychological testing

Neuropsychological test	Domain
Test of Premorbid Functioning <sup>51</sup>	Establish baseline IQ
Delis-Kaplan Executive Function System Stroop <sup>52</sup>	Executive function
Trail Making test <sup>53</sup>	Executive function, processing speed
Repeatable Battery for the Assessment of Neuropsychological Status <sup>39</sup>	Range of domains including: immediate memory, delayed memory, visuospatial, language and attention
The Dot Counting test <sup>40</sup>	Performance validity
Simple reaction time, via tablet PC, Cognitron testing platform <sup>33</sup>	Reaction time
Choice reaction time, via tablet PC, Cognitron testing platform <sup>33</sup>	Processing speed

ADVANCE, ArmeD SerVices TrAuma and RehabilitationN OutComE; TBI, traumatic brain injury.

and re-image healthy controls if required, and normalise imaging data (eg, via z-scoring approach vs controls) to facilitate comparisons across scanner configurations/systems.

MRI assessment at single time point in healthy controls will facilitate normalisation of diffusion MRI results and hence comparison with other studies. The within-subject longitudinal nature of the other analyses does not necessitate follow-up imaging in healthy volunteers.

#### Neuropsychology, including symptoms associated with TBI, including post-traumatic stress symptoms, sleep quality, anxiety symptoms, depressive symptoms and quality of life

Prior to testing, patients will be asked duration of education, native language, whether they had any reading, writing or spelling difficulties at school, or whether they have colour blindness. The assessments will be conducted by appropriately trained study researchers, overseen by a neuropsychologist. Cognitive function will be assessed using gold-standard pen-and-paper neuropsychological tests, alongside computerised testing of measures such as reaction times and processing speed via an established platform 'Cognitron'.<sup>33</sup> Tests are designed to map a range of areas including premorbid functioning, memory, processing speed, executive functioning and performance validity (table 5).

The ADVANCE Study routinely ascertains validated questionnaires to assess for a range of symptoms relevant to the chronic phase after TBI. A broad range of post-concussion symptoms are assessed using the Rivermead questionnaire,<sup>34</sup> and we will acquire information on sleep quality using the Insomnia Severity Index,<sup>35</sup> neuropsychiatric symptoms, including anxiety (using the Generalised Anxiety Disorder-7 questionnaire<sup>36</sup>), and post-traumatic stress symptoms (Posttraumatic Stress Disorder Checklist for DSM-5 questionnaire<sup>37</sup>). Healthy-related quality of life will be assessed using the validated EQ-5D-5L questionnaire,<sup>38</sup> which assesses five dimensions: spanning mobility, self-care, usual activities, pain/discomfort and anxiety/depression. These measures are collected at present within the core ADVANCE Study (table 6).

#### Cognition: neuropsychological performance across pen-and-paper testing, and computerised measures

We will assess baseline IQ using the test of premorbid functioning. We will use the Delis-Kaplan Executive Function System Stroop test and Trail Making test to assess executive function. The Pearson Repeatable Battery for the Assessment of Neuropsychological Status test<sup>39</sup> will be used to assess a range of cognitive domains, including memory, delay recall, visuospatial, language and attentional performance. The Dot Counting test will be used to assess performance validity.<sup>40</sup> Two tests will be undertaken using a computerised platform 'Cognitron' on an Apple iPad system.<sup>33</sup> These are the simple reaction time test and choice reaction team, providing reaction time and processing speed measures.

#### Analysis overview

##### Neuroimaging: WM injury ascertainment using DTI MRI and diffuse vascular injury on SWI

We will use neuroimaging to identify WM abnormalities associated with diffuse axonal and diffuse vascular injury. Standard approaches such as tract-based spatial statistic (FSL) will be used to generate measures of WM integrity voxelwise for study participants undergoing imaging, generating measures such as FA.<sup>41</sup> We will perform voxel-wise analyses to identify regions of significantly different FA related to TBI exposure.

As previously described, using healthy age-matched controls with no history of frontline military service for

**Table 6** Questionnaire data

Questionnaire	Role
The Ohio State Method <sup>32</sup>	Head injury exposure history
Rivermead questionnaire <sup>34</sup>	Post-concussion symptoms
Generalised Anxiety Disorder-7 <sup>36</sup>	Anxiety symptoms
Insomnia Severity Index <sup>35</sup>	Sleep problems
Posttraumatic Stress Disorder Checklist for DSM-5 <sup>37</sup>	Post-traumatic stress symptoms
EQ-5D-5L questionnaire <sup>38</sup>	Health-related quality of life



comparison, we will produce individual-level WM DTI assessments for ADVANCE participants. This pipeline involves FA assessment within regions previously shown to be sensitive to trauma-related damage, including whole brain WM skeleton, body, genu and splenium of the corpus callosum, the corona radiata (left and right), corticospinal tracts, inferior longitudinal fasciculi and middle cerebellar peduncles.<sup>15</sup> SWI will be used to identify trauma-related diffuse vascular injury.<sup>15</sup> A neuroradiologist will report the scans and comment on any abnormalities, including microhaemorrhage burden.

#### Neuroimaging: brain atrophy rate, determined by brain volume change on serial volumetric MRI

Neurodegeneration can be sensitively measured with volumetric T1 MRI, with atrophy measures shown to correspond to neuronal numbers.<sup>42</sup> Longitudinal methods provide the means to look sensitively for progression over time.<sup>17</sup> Standard tools such as SPM (University College London) will be used to segment structural T1 images and calculate volumes of grey matter (GM), WM and cerebrospinal fluid (CSF) for each individual at each scanning time point. Volumes of GM, WM and CSF will be normalised for head size as needed by dividing each by total intracranial volume (defined as GM+WM+CSF).

To assess for longitudinal changes in brain volume, we will use standard approaches such as SPM V.12 for longitudinal pairwise registration, whereby each patient's baseline scan is iteratively co-registered to the follow-up image.<sup>43</sup> The resulting deformations divided by the inter-scan time interval are captured in a Jacobian determinant map. By registering individual temporal-average space images to standard space (eg, MNI152), we will be able to undertake voxelwise group-level contrasts (FSL randomise), including between participants with and without TBI.

#### Neuroimaging: brain network changes on resting-state functional MRI

We will use functional MRI to investigate brain network function. Brain activation-related changes in cerebral blood flow can be assessed using functional MRI via the blood oxygen level dependent signal. Functional connectivity, reflecting both regional activation and the interaction of brain regions within a network, relates to brain structure (as above), is sensitive to TBI-related damage and can be assessed at rest using standard approaches: we have previously used this approach to demonstrate persistent changes in brain network functional connectivity after TBI.<sup>44</sup> Similar approaches will be employed to test whether battlefield exposure is associated with persistent changes in brain network function.

#### Fluid biomarkers: blood concentrations of neurodegeneration markers NfL and GFAP, with exploratory analyses of amyloid beta 1-42 and 1-40, phosphorylated-tau 181 and total tau

Plasma has been taken at the baseline visit for >1100 participants in ADVANCE and thus far a substantial proportion

of the cohort has undergone their 3-year longitudinal follow-up visit with further plasma sampling. This will continue longitudinally at each ADVANCE Study visit. We will use a digital ELISA platform, specifically the Simoa system (Quanterix, Massachusetts, USA)<sup>45</sup> to provide attomolar ( $10^{-15}$ ) quantification of fluid biomarkers. We will assess the relationship between plasma concentrations of NfL and GFAP and injury exposure using linear models. In exploratory analyses, we will also investigate the relationship between injury and plasma concentrations of amyloid beta 1-42, amyloid beta 1-40, total tau and phosphorylated-tau 181. Other plasma biomarkers of brain injury and neurodegeneration will be analysed in the future as they are developed. Analyses will be performed in keeping with standard operating procedures, set out in the relevant laboratory manuals.

#### Fluid biomarkers: proteomic profile associated with TBI

The Somalogic proteomics discovery platform providing aptamer-based quantification of >7000 proteins is being performed on baseline blood samples from the ADVANCE cohort within the core ADVANCE Study. We will (1) describe the plasma concentrations of, and assess the correlation between fluid biomarkers measured on the Simoa platform and the Somalogic platform (specifically NfL, GFAP and total tau); (2) assess for proteomic evidence of neurodegeneration and inflammation which is specific to battlefield TBI, involving comparison of NfL, GFAP, interleukin (IL)-6:IL-10, interferon gamma:IL-10 and tumour necrosis factor-alpha:IL-10 ratio in patients with and without TBI; (3) perform data-driven determination of clusters associated with brain injury in trauma patients to assess which groups of co-correlating proteins maximally differentiate individuals with and without TBI in those exposed to battlefield trauma, using cluster and factor analysis approaches; (4) assess which proteomic markers are closely correlated with our core candidate markers of neurodegeneration (NfL, GFAP) in patients with TBI; (5) assess the relative contribution of injury and inflammation to autonomic dysfunction after TBI, using measures such as heart rate variability (assessed using the Vicorder system, Skidmore Medical, UK) alongside proteomic markers; and (6) assess how accelerated biological ageing contributes to the chronic consequences of TBI, and if so, to what extent this is modulated by inflammation.

#### Assessment of interaction between neurodegenerative genotype (APOE4, AD PRS), TBI status and outcome measures including fluid biomarkers, brain atrophy and symptom burden

Whole blood was sampled at the ADVANCE Study baseline visit. Consent and ethical approval are placed for genotyping and further analyses. *Extraction and microarray analysis:* DNA will be extracted and single-nucleotide polymorphism (SNP) assessment will be performed using Illumina Global Screening Array. We will use the Illumina Neuro Consortium Array in addition, comprising an additional ~75 K SNPs for variants associated with AD,



Parkinson's and frontotemporal dementia.<sup>46</sup> Individuals and variants with a low call rate will be excluded from further analyses. We will also perform quality checks for genetic sex and remove individuals with a high degree of relatedness, and will check for ancestry using principal component analysis. The derived subpopulation structure in our data will be assessed against reference populations (eg, UK Biobank) and non-Caucasian participants analysed separately.

To increase power and improve signal resolution while limiting the genotyping costs, datasets will be harmonised, phased and imputed with the Next-Generation Genotype Imputation Service, facilitating prediction of SNPs that have not been directly tested (matching measured to reference haplotypes). In order to understand the relative contribution of the *APOE* AD risk allele, status will be imputed using standard microarray approaches to assess SNPs rs429358 and rs7412, and data will undergo quality control as recently described.<sup>47</sup> Individual PRS will be generated as sums of the risk alleles weighted by SNP effect sizes from the most recent AD GWAS.<sup>48</sup> SNPs will be selected on a threshold of  $p \leq 0.5$  for AD.<sup>25 47 49</sup> SNPs will be excluded if they have a linkage disequilibrium  $r^2 > 0.1$  with the most associated SNP in a 1 megabase region. The PRS will be standardised using appropriate population cohorts. In exploratory work, we will assess for mutations in Wallerian degeneration pathways, which might affect neurodegeneration after injury, particularly in the sterile alpha and Toll/IL-1 receptor motif-containing one *SARM1* gene.

### Statistical analysis plan

Across the different strands of work, data inspection and the Kolmogorov-Smirnov test will be used to assess normality of all continuous data. Group-level continuous data will be compared using the unpaired t-test for normally distributed data and the Wilcoxon test for non-parametric data. Analysis of variance will be used for three-way comparisons. In addition, effect sizes and CIs will be reported. Spearman's rank correlation will be used to assess correlations between Simoa and Somalogic biomarkers.

Multivariable linear regression will be used to develop a prediction model for neurodegeneration outcome measures such as brain atrophy rates, fluid biomarkers (GFAP and NFL), cognition and neuropsychiatric symptom burden. Models will include putative predictors whose influence will be explored including exposure to TBI (including severity, number of TBIs), cardiovascular status (eg, indexed by arterial stiffness measures collected in ADVANCE such as pulse wave velocity, or scores such as QRISK<sup>50</sup>), genetic risk for AD, age and time since injury. This relates to hypotheses 1 and 5, involving outcome prediction.

Multivariable linear (or logistic, for categorical measures, as appropriate) regression models will be used to test the association between exposure and outcomes, adjusting a priori for confounders. We will test the effects

of non-index injuries. This approach will be used to test associations described in hypotheses 1, 2, 3, 4, 5, 6 and 7.

Linear mixed-effects models will be used to analyse time-course data such as longitudinally collected biomarker data (fixed effects) accounting within individual (random-effects) repeated measures. We will account for confounders including rank as a surrogate of socioeconomic status and age.

A two-tailed  $p$  value of  $<0.05$  will be considered statistically significant for all comparisons, except where a strong prior hypothesis exists to justify one-tailed testing (eg, brain volume loss, rather than expansion, over time after TBI).

### Limitations

There may be loss to follow-up over the course of the study. However, we do not feel that this will significantly impair our ability to address the core research questions or hypotheses and our group size will likely be well in excess of 500 participants at 20 years. We will test for the imbalances in the data at each time point due to loss to follow-up and may use statistical approaches such as weighted analyses to account for this, if appropriate.

Missing data will be handled by multiple imputation where appropriate. Where assumptions are violated, other missing data imputation methods will be considered. Where the number of missing data is small, complete case analysis will be used.

Inclusion and exclusion criteria were defined at the establishment of the overarching ADVANCE Study. Female service personnel were not included due to the relatively small numbers of female combat trauma casualties during the Afghanistan campaign. As the primary focus of ADVANCE was originally to clarify long-term cardiovascular outcomes of injury, a decision was taken to exclude people with pre-existing cardiovascular disease (eg, diabetes), age-related vascular change (eg, those aged  $>50$  years initially) or active infection at the time of recruitment (which could perturb physiological measurements). As the cohort has already been established, it is not feasible to deviate from these established criteria for substudies such as ADVANCE-TBI. While these criteria reduce the representativeness of the cohort in relation to the military population, we expect that the study will provide scientific insights, which will be more widely generalisable.

### Patient and public involvement

The proposed work has been discussed and formulated with the ADVANCE participant group where there was widespread enthusiasm for the study, and agreement that the research set out in this protocol is needed, acceptable to the participants and feasible to perform.

### ETHICS AND DISSEMINATION

The relevant ethical approvals have been granted by the MODREC (ref: 2126MODREC22). The study will

be performed in accordance with the recommendations guiding ethical research involving human subjects adopted by the 18th World Medical Association General Assembly, Helsinki, Finland (June 1964), and amended at the 64th World Medical Association General Assembly, Fortaleza, Brazil (October 2013). The study will have minimal risk to the participants. Normal safety procedures including standard MRI safety checks (eg, for ferromagnetic metal in the body) will be carried out prior to scans to minimise risk. MRI may be claustrophobic and loud for some participants. Participants will be made as comfortable as possible and will be able to communicate with the radiographer throughout the scan. Should the participant wish to not continue, the scan will be stopped immediately.

### Dissemination strategy

Study findings will be disseminated through participant and stakeholder communications such as the regular ADVANCE participant newsletter and website, and more broadly via manuscripts in peer-reviewed journals and presentations at scientific conferences.

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**Competing interests** HZ has served at scientific advisory boards and/or as a consultant for AbbVie, Alector, ALZPath, Annexon, Apellis, Artery Therapeutics, AZTherapies, CogRx, Denali, Eisai, Nervgen, Novo Nordisk, Pinteon Therapeutics, Red Abbey Labs, reMYND, Passage Bio, Roche, Samumed, Siemens Healthineers, Triplet Therapeutics and Wave; has given lectures in symposia sponsored by Cellectricon, Fujirebio, Alzecure, Biogen and Roche; and is a co-founder of Brain Biomarker Solutions in Gothenburg AB (BBS), which is a part of the GU Ventures Incubator Program (outside submitted work). DJS provides medicolegal services and serves on the Rugby Football Union concussion advisory board.

**Patient and public involvement** Patients and/or the public were involved in the design, or conduct, or reporting, or dissemination plans of this research. Refer to the Methods section for further details.

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