Assessing the diagnostic accuracy of PCR-based detection of *Streptococcus pneumoniae* from nasopharyngeal swabs collected for viral studies in Canadian adults hospitalised with community-acquired pneumonia: a Serious Outcomes Surveillance (SOS) Network of the Canadian Immunization Research (CIRN) study

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ABSTRACT

**Study design** Detection and serotyping of *Streptococcus pneumoniae* are important to assess the impact of pneumococcal vaccines. This study describes the diagnostic accuracy of PCR-based detection of *S. pneumoniae* directly from nasopharyngeal (NP) swabs collected for respiratory virus studies.

**Methods** Active surveillance for community-acquired pneumonia (CAP) in hospitalised adults was performed from December 2010 to 2013. Detection of pneumococcal CAP (CAPspn) was performed by urine antigen detection (UAD), identification of *S. pneumoniae* in sputum or blood cultures. *S. pneumoniae* was detected in NP swabs using *lytA* and *cpsA* real-time PCR, and serotyping was performed using conventional and real-time multiplex PCRs. For serotyping, the Quellung reaction, PCR-based serotyping or a serotype-specific UAD was used.

**Results** NP swab results were compared against CAP cases where all pneumococcal tests were performed (n=434), or where at least one test was performed (n=1616). CAPspn was identified in 22.1% (96/434) and 14.9% (240/1616), respectively. The sensitivity of NP swab PCR for the detection of *S. pneumoniae* was poor for CAPspn (35.4% (34/96) and 34.17% (82/240)), but high specificity was observed (99.4% (336/338) and 97.89% (1347/1376)). Of the positive NP swabs, a serotype could be deduced by PCR in 88.2% (30/34) and 93.9% (77/82), respectively.

**Conclusions** While further optimisation may be needed to increase the sensitivity of PCR-based detection, its high specificity suggests there is a value for pneumococcal surveillance. With many laboratories archiving specimens for influenza virus surveillance, this specimen type could provide a non-culture-based method for pneumococcal surveillance.

INTRODUCTION

*Streptococcus pneumoniae* is a bacterium that colonises the human oropharynx and nasopharynx and can cause life-threatening infections like community-acquired pneumonia (CAP).1–3 Pneumococcal
polysaccharide and conjugate vaccines have reduced colonisation and the burden of disease caused by some \textit{S. pneumoniae} serotypes, and ongoing surveillance including serotyping of \textit{S. pneumoniae} is important to monitor the impact of pneumococcal vaccines and disease epidemiology.\textsuperscript{4–8}

While traditional methods of serotyping (Quellung reaction) require live organism, PCR-based detection and serotyping of \textit{S. pneumoniae} can be performed on a variety of clinical specimens without culture.\textsuperscript{3–15} Our laboratory previously demonstrated the feasibility of these PCR methods on nasopharyngeal (NP) swabs routinely collected for respiratory virus studies.\textsuperscript{9} The serotype distribution mirrored trends obtained with traditional Quellung serotyping, but the PCR methods were not thoroughly validated with specimens collected from patients with pneumococcal disease.\textsuperscript{9}

We hypothesise that NP colonisation with \textit{S. pneumoniae} should be detected by PCR in cases of pneumococcal CAP (CAP\textsubscript{Spn}), and the serotype distribution should reflect those of cultured \textit{S. pneumoniae} isolates from these CAP\textsubscript{Spn} cases. The objective of this study was to assess the diagnostic accuracy of PCR-based detection and serotyping of \textit{S. pneumoniae} from NP swabs collected for viral studies in clinical CAP cases with laboratory confirmation of CAP\textsubscript{Spn}.

MATERIALS AND METHODS

Study eligibility

This study was performed prospectively as part of the Serious Outcomes Surveillance (SOS) Network of the Canadian Immunization Research Network (CIRN) surveillance for pneumococcal disease.\textsuperscript{16} The CIRN SOS Network has been conducting active surveillance for CAP and invasive pneumococcal disease (IPD) in hospitalised adults since December 2010 at nine acute care hospitals spanning five Canadian provinces (British Columbia, Ontario, Québec, New Brunswick and Nova Scotia).\textsuperscript{16} Dedicated surveillance monitors reviewed daily adult admissions (aged ≥16 years) to medical wards or intensive care units (ICUs) to identify patients with an acute respiratory illness, which were admitted from the community or another acute care hospital with influenza virus infection, CAP, asthma or acute exacerbation of chronic obstructive pulmonary disease, or any other respiratory tract infection or symptoms. Patients were eligible for enrolment if they met the study case definition for CAP. Cases were enrolled consecutively from 1 December 2010 to 31 December 2013 if they met the case definitions and consent was obtained.

CASE DEFINITIONS

A case was considered CAP if a hospitalised patient presented within 72 hours of admission with a new or evolving pulmonary infiltrate on chest radiograph suggesting pneumonia (as interpreted by the treating physician or radiologist), and if there were two or more of the following signs or symptoms of pneumonia:

temperature ≥38°C, cough, sputum production, shortness of breath, pleuritic chest pain, crackles or consolidation on chest examination. Admissions from long-term care facilities were excluded. Pneumococcal CAP (CAP\textsubscript{Spn}) was defined as a CAP case with laboratory confirmation of \textit{S. pneumoniae} using urine antigen detection (UAD) or positive culture from respiratory secretions (sputum or bronchial alveolar lavage). Bacteremic CAP\textsubscript{Spn} was defined as an isolation of \textit{S. pneumoniae} from blood culture in a confirmed CAP case.

ETHICS

This study was approved by the Research Ethics Boards (REB) at each participating hospital. Eligible patients or their legally authorised representative signed written informed consent for participation in the study.

LABORATORY TESTING

All specimens and \textit{S. pneumoniae} isolates recovered from culture at each CIRN SOS hospital were stored at –80°C and shipped in batches on dry ice to the SOS Network central laboratory in Halifax, Nova Scotia. All data were blinded to the laboratory personnel and investigators prior to data analyses, through generation of non-nominal identification codes for each patient and laboratory samples, and importation of data into the Dacima laboratory information system. Sputum and blood specimens were cultured according to routine practices in each CIRN SOS site hospital. Urine specimens were stabilised by adding 25 mM piperazine-N,N′-bis(ethanesulfonic acid) (PIPE) buffer, pH 6.8 (Boston BioProducts, Ashland, Massachusetts, USA) prior to freezing. NP swabs were collected in 3 mL universal transport media (UTM) (Copan Diagnostics) for viral studies and were also subjected to nucleic acid extraction and PCR for \textit{S. pneumoniae} detection and serotyping.

\textit{S pneumoniae} culture from sputum and blood

Briefly, \textit{S. pneumoniae} isolates recovered from blood, or as predominant organisms in sputum, were confirmed by optochin disc susceptibility (Oxoid, Basingstoke, Hampshire, UK) and tube bile solubility analyses using standard laboratory methods.\textsuperscript{17,18} All streptococci were cultured at 35°C in 5% CO\textsubscript{2} on trypticase soy agar with 5% sheep blood (Becton Dickinson, Mississauga, Ontario, Canada). Bacterial growth was harvested from overnight cultures and suspended in PCR-grade water to a McFarland value of approximately 1.0 prior to nucleic acid extraction.

Traditional serotyping of \textit{S pneumoniae} isolates

Quellung serotyping on \textit{S. pneumoniae} isolates is the gold standard for serotyping, and was performed at the National Microbiology Laboratory (NML) (Winnipeg, Manitoba, Canada) using commercial pool, group, type and factor antisera, as recommended by the manufacturer (SSI Diagnostica, Statens Serum Institut, Copenhagen, Denmark).\textsuperscript{19}
Urine antigen detection

Two UAD assays were used in this study. First, urine was tested using the commercial pan-pneumococcal UAD (UADspn) BinaxNOW S. pneumoniae urinary antigen test (Alere Scarborough, Scarborough, Maine, USA) according to manufacturer recommendations. A PCV13-specific assay UAD (UADpcv13) was performed on a Luminex 2.0 instrument as previously described. The UADspn was only able to identify S. pneumoniae capsular polysaccharides in urine, whereas the UADpcv13 was able to identify and provide a serotype.

Detection of S. pneumoniae from NP swabs

Nucleic acids were purified from NP swabs (in UTM) using a MagNA Pure Total Nucleic Acid Isolation kit (Roche, Laval, Québec, Canada) on a MagNA Pure LC instrument, as recommended by the manufacturer. Elution volume was set at 100 µL, and 5 µL served as template for all PCR reactions: lytA and cpsA real-time PCR for the detection of S. pneumoniae, and lytA and cpsA positive NP swabs were subjected to conventional multiplex PCR (cmPCR) or real-time multiplex PCR (rmPCR) for serotyping as previously described.8

Real-time PCR for the lytA or cpsA was carried out as previously described. Briefly, 25 µL reactions consisting of: 1× Taqman Universal PCR Master Mix (Life Technologies), 200 nM of primers (LytA-F and LytA-R or CpsA-F and CpsA-R) and 200 nM of probe (LytA-pb or CpsA-pb) (see online supplementary table S1). Amplifications were performed on an Applied Biosystems 7500 Fast instrument (Life Technologies) as follows: 95°C for 10 min, 45 cycles of 95°C for 15 s and 60°C for 60 s. Threshold cycle values were determined using software provided by the manufacturer. Primers and probes were obtained from Integrated DNA Technologies. Since other viridans group streptococci or other organism could potentially generate false positives (FPs) with lytA detection alone, an NP swab was only considered positive for S. pneumoniae if both lytA and cpsA target were amplified.9 NP swabs positive only by lytA PCR were considered indeterminate and interpreted as negative for S. pneumoniae during method performance evaluation compared with CAPspn cases.9

PCR-based serotype deduction

For rmPCR, amplification conditions were identical to the lytA and cpsA real-time PCR. Each rmPCR reaction was performed in 25 µL reactions consisting of: 1× Platinum Quantitative PCR SuperMix-UDG (Life Technologies), 50 nM of MgCl2, and primer and probes concentrations and combinations listed in (see online supplementary tables S1 and S2, respectively.

For cmPCR reactions, 25 µL reaction volumes consisted of 1× enzyme mix from the Multiplex PCR kit (Qiagen, Toronto, Ontario, Canada) with primer combinations and concentrations listed in online supplementary tables S1 and S3, respectively. All cmPCR reactions contained primers cmCpsA-F and cmCpsA-R which target the capsule biosynthesis gene A (cpsA) that is used as an internal control. Amplification was performed in 96-well plates using a C1000 thermocycler (Biorad Laboratories, Mississauga, Ontario, Canada) as follows: 95°C for 90 s, 35 cycles of 95°C for 30 s, 54°C for 90 s and 72°C for 60 s, followed by 72°C for 10 min. Amplicons were resolved on a 1.2% agarose gel electrophoresis with 10 µg/mL ethidium bromide staining and visualised using a GelDoc XR+ with ImageLab software V5.1 (Biorad Laboratories). Expected amplicon sizes in base pairs are denoted in online supplementary table S1. Oligonucleotides were synthesised by Integrated DNA Technologies (Coralville, Iowa, USA).

Modified gold standard

Since there is no gold standard for the identification of S. pneumoniae, results for NP swabs detection of S. pneumoniae by PCR was compared with two different modified gold standards: (1) CAP cases with NP swabs were obtained and all four pneumococcal tests were performed (sputum and blood culture, and the two UAD methods) (figure 1A) and (2) CAP cases with NP swabs were obtained and any of the pneumococcal tests were performed (figure 1B). A positive result from any UAD or an isolate of S. pneumoniae recovered blood culture was considered a CAPspn case. For sputum, S. pneumoniae was considered a CAPspn case if the culture was predominant over normal flora. NP swabs PCR for detection waswere classified as true positives (TP), true negative (TN), FP or false negative (FN) based on these comparators. Serotyping in S. pneumoniae-positive NP swabs (by lytA/cpsA real-time PCR) was compared against cultured S. pneumoniae isolates characterised by Quellung serotyping or positive results from UADpcv13 in culture-negative CAPspn cases detected solely by antigen testing (figure 2).

STATISTICAL ANALYSIS

NP swab PCR was compared against the two modified gold standards to assess performance characteristics (table 1). χ2 with Fisher’s exact test was used to assess statistical significance of the method comparison and 95% CIs were included for each of the reported parameters: sensitivity (Sn=TP/TP+FN), specificity (Sp=TN/TN+FP), positive likelihood ratio (LR+=Sn/Sp); negative likelihood ratio (LR=100Sn/Sp); pretest probability (TP+FN/TP+TN+FP+FN); pretest odds=pretest probability/(1−pretest probability); post-test odds=pretest odds×LR and the post-test probability=pretest odds×LR. All analyses were performed using SAS V.9.4.

RESULTS

Both CAP and CAPspn were in a patient population of hospitalised adults, most over the age of 65 years with comorbidities and with significant mortality and morbidity (in terms of length of hospital stay, requirement for ICU admission and mechanical ventilation).10 Of the 4769 cases of all-cause CAP enrolled from 1 December 2010 to 31 December 2013, 434 had NP swabs for viral
studies collected concomitantly with sputum, blood and urine (figure 1A). Of the 434 CAP cases, 22.1% (96/434) were identified as CAP Spn (figure 1). Of the 96 CAP Spn cases, 68 (70.8%) were non-bacteremic CAP Spn and 28 (29.2%) were bacteremic CAP Spn (figure 1A). The sensitivity for *S. pneumoniae* detection of CAP Spn using *lytA* and *cpsA* real-time PCR on NP swabs was significantly (p<0.0001) less than the modified gold standard at 35.4% (34/96) (table 1). Only two NP swabs were positive in CAP cases not identified as pneumococcal disease (figure 1B), resulting in high specificity and good post-test probability (table 1).
Of the 4769 cases of all-cause CAP with at least one test for *S. pneumoniae* (UAD SPN, UAD PCV13, sputum culture, or blood culture) (figure 1B), 1616 had NP swabs for viral studies. Of these, 14.8% (240/1616) were identified as CAP Spn (figure 1B). Of the CAP Spn cases, 161 (67.1%) were non-bacteremic CAP Spn, and 79 (32.9%) were bacteremic CAP Spn (figure 1A). The sensitivity for *S. pneumoniae* detection of CAP Spn using *lytA* and *cpsA* real-time PCR on NP swabs was significantly (p<0.0001) less than the modified gold standard at 34.17% (82/240) (table 1). It should be noted that 29 NP swabs were positive in CAP cases not identified as pneumococcal disease (figure 1B), resulting in a lower post-test probability than observed in CAP cases where all pneumococcal tests were performed.

Of the NP swabs identified as *S. pneumoniae* positive by *lytA/cpsA* PCR from CAP Spn cases where all four pneumococcal tests were performed, a serotypeable result was obtained in 88.2% (30/34). The four non-typeable results originated from samples where *lytA* and *cpsA* values were near the detection limit (all Ct values were above 35), suggesting a bacterial load falling below the serotypeable range. For the serotypeable results, 100% concordance was observed compared with UAD PCV13 or Quellung serotyping. The identified serotypes included: 3 (n=10); 7F/A (n=6); 22F/A (n=3); 19A (n=2); 16F (n=2) and one each for serotypes 6A, 6C, 9N/L, 12F/A/B/44/46, 13, 23F and 35B. The two non-CAP Spn positive NP swabs were identified as serotypes 3 and 22F.

Of the positive NP swabs in CAP cases having received any one of the pneumococcal tests, a serotypeable result was obtained in 93.9% (77/82) (figure 2). The four non-serotypeable results from NP positive in CAP Spn cases as well the single non-typeable result from the CAP Spn case were all below the limit of detection for the PCR-based

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**Table 1** Summary of NP swab results compared with the modified gold standard among hospitalised adults with CAP

<table>
<thead>
<tr>
<th>Statistic</th>
<th>NP swab PCR detection* versus CAP all tests† (n=434)</th>
<th>CAP any tests‡ (n=1616)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sensitivity, % (95% CI)</td>
<td>35.42 (25.92 to 45.84)</td>
<td>34.17 (28.17 to 40.17)</td>
</tr>
<tr>
<td>Specificity, % (95% CI)</td>
<td>99.41 (97.88 to 99.93)</td>
<td>97.89 (97.13 to 98.65)</td>
</tr>
<tr>
<td>Positive likelihood ratio (95% CI)</td>
<td>59.85 (14.64 to 244.67)</td>
<td>16.21 (10.86 to 24.20)</td>
</tr>
<tr>
<td>Negative likelihood ratio (95% CI)</td>
<td>0.65 (0.56 to 0.75)</td>
<td>0.67 (0.61 to 0.74)</td>
</tr>
<tr>
<td>Pretest probability, % (95% CI)</td>
<td>22.12 (18.30 to 26.32)</td>
<td>14.85 (13.12 to 16.59)</td>
</tr>
<tr>
<td>Positive post-test probability, % (95% CI)</td>
<td>94.37 (86.84 to 101.90)</td>
<td>73.87 (65.70 to 82.05)</td>
</tr>
<tr>
<td>Negative post-test probability, % (95% CI)</td>
<td>15.58 (12.02 to 19.15)</td>
<td>10.50 (8.95 to 12.05)</td>
</tr>
</tbody>
</table>

*Detection of *Streptococcus pneumoniae* in NP swabs was performed by real-time PCR targeting *lytA* and *cpsA*, and results were compared against modified gold standards in CAP cases where all or any of the following tests were performed: sputum culture, blood culture, pan-pneumococcal urine antigen detection (UAD spn) and/or a 13-valent pneumococcal conjugate vaccine serotype-specific UAD (UAD PCV13). CAP, community-acquired pneumonia; NP, nasopharyngeal; UAD, urine antigen detection.

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DISCUSSION

Laboratory tools for the detection and serotyping of *S. pneumoniae* are important to monitor the impact of pneumococcal vaccines, yet due to the high cost of disease surveillance, few studies go to the extent of comparing laboratory methods to clinical cases defined from CAP patient with pneumococcal disease. Compared with cases meeting the CAP definition, and with laboratory identification of *S. pneumoniae* from either culture-based or antigen-based laboratory tests, the performance of PCR-based detection and serotyping of *S. pneumoniae* from NP swabs collected for viral studies were evaluated. Overall, the sensitivity for *S. pneumoniae* detection was poor, but when positive, the subsequent PCR-based serotyping was concordant to results obtained with traditional serotyping or a serotype-specific UAD.

The poor sensitivity of PCR-based detection from NP swabs collected for viral studies noted in this study may simply be a reflection of its comparator. The performance of NP swab PCR was compared against CAP cases receiving four other pneumococcal detection tests, each of which with its own advantages and limitations. For example, it is known UAD can remain positive for weeks after resolution of CAP, and UAD is less likely affected by factors such as antibiotic exposure compared with sputum or blood cultures. Poor sensitivity was also seen with quantitative *lytA* PCR on NP aspirates from patients with CAP, and an association was observed between *S. pneumoniae* DNA concentrations and disease severity, onset of symptoms and host factors. In this study, the sensitivity for *S. pneumoniae* detection using PCR from NP swabs was poor regardless of disease severity (ie, sensitivity for bacteremic CAP cases was 32.4% (22/68) and 42.9% (12/28) for bacteremic CAP when compared with CAP cases tested with all pneumococcal tests). It should be noted, however, that approximately 80% of patients with CAP received antibiotics within 8 hours of admission to reduce severe outcomes, and the average time between NP swab collection was 2 days, suggesting that the sensitivity of *S. pneumoniae* detection by PCR might have been unfairly compromised. NP swab detection might have been unfairly compromised.

While this study evaluated the performance for *S. pneumoniae* detection by PCR in NP swab collected for viral studies, it was recognised that other specimen types, such as oral and oropharyngeal swabs, were previously shown to perform better than sampling from the nasopharynx to assess pneumococcal colonisation. Since the performance of NP swab PCR is affected by the results of its comparator, a future studies would benefit from a direct comparison between PCR-based detection of pneumococcal DNA in NP swabs and concurrent quantitative *S. pneumoniae* from culture swabs collected from the nasopharynx. Other studies have also suggested broth enrichment prior to *lytA* real-time PCR can enhance the detection of *S. pneumoniae*, particularly for low density colonisation. In this study, culture from the NP swab was not possible due to the formulation of the universal transport medium, which includes antimicrobials to minimise bacterial and fungal contamination. Other formulations are available that enable the recovery of both bacteria and viruses.

To determine the true colonisation rates in the presence and absence of pneumococcal disease, compliance to testing using all laboratory methods would also need to be improved. Of the 4769 CAP cases, only a subset (n=3851) had a pneumococcal test performed, and of these, a smaller proportion had a paired NP swab. This limitation lead to smaller number of CAP cases used as a comparator to assess the NP swab PCR performance. As many tests are not performed routinely in clinical laboratories with the empiric antibiotic treatment of CAP, the best estimation of the contributions of *S. pneumoniae* to CAP during active surveillance is in individuals who had radiography confirmed CAP and the combined use of several laboratory tests (sputum culture, blood culture and urine for two different antigen detection tests). Of 621 cases where all four tests were performed, only 434 (70%) had an NP swab performed. Following a positive PCR in CAP cases where all pneumococcal tests were performed, the post-test probability of pneumococcal disease was 94%. The negative post-test probability remained high at approximately 16% due to the poor sensitivity of NP swab PCR. When NP swab PCR was compared with a less stringent modified gold standard (ie, CAP cases receiving any of the pneumococcal test), the number of cases increased to 1616. Again, the sensitivity was poor, and the specificity and positive post-test probability decreased with 29 positive results obtained solely by NP swab PCR. The possibility of false positive NP PCR results was not likely since detection relies on two genetic targets (*lytA* and *cpsA*), and more likely represented colonisation in the absence of disease, or colonisation in patients with CAP where pneumococcal disease failed to be identified by the other detection methods. With the noted poor sensitivity of NP swab PCR, it would be expected that the detection of *S. pneumoniae* colonisation be compromised in both presence and absence of disease. In this study, *S. pneumoniae* collection, prior antibiotic, progression of disease and host factors such as comorbidities or immunosuppression.
colonisation in CAP cases without pneumococcal disease might be between 0.6% (2/338) and 2.1% (27/1376), depending on the comparator (figure 1). If these rates of colonisation in healthy individuals are truly underestimated, the post-test probabilities and other performance characteristics could also be affected.33 34 The rates of *S. pneumoniae* colonisation are not well defined in older adults compared with children, but recent studies using *lytA* and *cpsA* PCR recently showed values between 5% and 11% for NP swab PCR, and up to 28% with other specimen types, suggesting that colonisation rates in the absence of disease may also be underestimated.35 36

While the sensitivity of the NP swab PCR may require optimisation, the subsequent use of PCR-based serotyping provides valuable information for surveillance. As seen for culture and UAD results in figure 2, PCR-based serotype deduction from NP swabs showed predominance for serotypes 3, 7F, 19A and 22F. This serotype trend mirrored the distribution previously reported from the CIRN SOS Network, and justifies the use of an algorithm-based approach using *lytA* and *cpsA* real-time PCR for *S. pneumoniae* detection, followed by cmPCR and rmPCR.9 16 Of note, all PCR-based serotyping results were concordant to Quellung-based serotyping on *S. pneumoniae* isolates or the previously validated serotype-specific UAD.3 As expected, cmPCR and rmPCR-based serotyping was not as sensitive as *S. pneumoniae* detection using *lytA* and *cpsA* real-time PCR, resulting in a small number of non-serotypeable results.9

Overall, PCR-based detection and serotyping of *S. pneumoniae* add to the repertoire of epidemiological tools for pneumococcal surveillance, without the need for culture and isolation of the organism. Despite the need to improve sensitivity, PCR-based detection and serotyping of *S. pneumoniae* from NP swabs collected for viral studies showed high specificity and serotypes mirrored trends obtained by traditional methods. Many laboratories archive NP swabs for respiratory virus surveillance like influenza virus, and as such, this specimen type would be readily available to rapidly monitor serotype distribution following changes in pneumococcal vaccine programmes. PCR-based detection and serotype deduction of *S. pneumoniae* colonisation from NP swabs offers value for pneumococcal surveillance.

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**Ethics approval** REB committees for each hospital of the CIRN SOS Network in five Canadian provinces.

**Provenance and peer review** Not commissioned; externally peer reviewed.

**Data sharing statement** This study represents a subset of the data collected by the CIRN SOS Network and can be accessed by academic researchers with permission from the principal investigator, SAM (shelly.mcneil@cdha.nshealth.ca).

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