A matched-group study protocol to evaluate the implementation of an Integrated Care Pathway programme for chronic obstructive pulmonary disease in Singapore

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ABSTRACT

Introduction: The treatment of chronic obstructive pulmonary disease (COPD) involves different care providers across care sites. This fragmentation of care increases the morbidity and mortality burden, as well as acute health services use. The COPD-Integrated Care Pathway (ICP) was designed and implemented to integrate the care across different sites from primary care to acute hospital and home. It aims to reduce the prevalence of COPD among the population in the catchment, reduce risk of hospital admissions, delay or prevent the progression of the disease and reduce mortality rate by adopting a coordinated and multidisciplinary approach to the management of the patients’ medical conditions. This study on the COPD-ICP programme is undertaken to determine the impact on processes of care, clinical outcomes and acute care utilisation.

Methods and analysis: This will be a retrospective, pre-post, matched-groups study to evaluate the effectiveness of the COPD-ICP programme in improving clinical outcomes and reducing healthcare costs. Programme enrollees (intervention group) and non-enrollees (comparator group) will be matched using propensity scores. Administratively, we set 30% as our target for proportion admission difference between programme and non-programme patients. A sample size of 62 patients in each group will be needed for statistical comparisons to be made at 90% power. Adherence with recommended care elements will be measured at baseline and quarterly during 1-year follow-up. Risk of COPD-related hospitalisations as primary outcome, healthcare costs, disease progression and 1-year mortality during 1-year follow-up will be compared between the groups using generalised linear regression models.

Ethics and dissemination: This protocol describes the implementation and proposed evaluation of the COPD-ICP programme. The described study has received ethical approval from the NHG Domain Specific Review Board (DSRB Ref: 2013/01200). Results of the study will be reported through peer-review publications and presentations at healthcare conferences.

BACKGROUND

Chronic obstructive pulmonary disease (COPD) is a major cause of chronic disease morbidity and mortality worldwide. The disease is a global health problem with a worldwide prevalence of 10.1%.1 In Singapore, COPD is the seventh principal cause of death and the seventh most common condition for hospitalisation.2 COPD patients with complications spent 7.7 days or 79% longer in hospital than patients with COPD without complications.3 The COPD 30-day readmission in JurongHealth is around 30%, which is higher than the all-cause national 30-day readmission rate of 11.6% and other condition-specific readmission rates.4

Strengths and limitations of this study

• This study will use a retrospective pre-post matched-groups design to evaluate the effectiveness of the programme in terms of adherence with processes of care, clinical outcomes, healthcare costs and quality of life.
• This study will use propensity score matching to reduce selection bias due to the lack of randomisation.
• It is envisioned that through this study, the chronic obstructive pulmonary disease-Integrated Care Pathway team will be able to identify potential gaps in the programme implementation and design, and implement necessary changes to improve care. This is in line with the organisation’s aim to deliver patient-centred care.

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The GOLD international standards for COPD advise spirometry for the gold standard for accurate and repeatable measurement of lung function. However, in Singapore, most solo general practice clinics do not offer the spirometer services necessary for early diagnosis of COPD and for the staging of COPD severity to enable appropriate disease management. Patients with COPD in the community experience poor quality of life due to lack of convenient access to pulmonary rehabilitation. Therefore, most patients are diagnosed in the acute care setting and those who experienced repeated exacerbations also obtain care in the specialist outpatient settings.

In response to the need for a cost-effective care model, JurongHealth launched a COPD-Integrated Care Pathway (COPD-ICP) programme in April 2012. This was funded by the Singapore Ministry of Health (MOH). The programme seeks to coordinate care across different healthcare settings. It aims to provide comprehensive care for patients with COPD at different stages of the disease, involving primary, hospital-based, community-based and palliative care.

Similar to other COPD integrated care programmes, the programme envisages coordination of care across different sites from primary to home and hospital care. The objectives of the programme are to:
1. Reduce the prevalence of COPD among the population residing in the Western part of Singapore (catchment area of JurongHealth).
2. Reduce risk of hospital admissions and healthcare costs.
3. Delay or prevent the deterioration of disease condition of patients with COPD.
4. Reduce mortality of patients with COPD.

The programme adopts a coordinated and multidisciplinary approach to the management of patients’ medical conditions. Case managers work with JurongHealth’s multidisciplinary team of doctors, nurses, respiratory technologists, pharmacists, physiotherapists and medical social workers to develop a customised care plan for each patient, empower patients towards self-management through education and help coordinate referrals and patients’ appointments across care sites.

The current scope of our study will focus on the evaluation of the hospital-based segment of the ICP programme. We will use a propensity-score matching method to select a suitable comparator group. Specifically, the aim of our study will be to assess whether the intervention group compared with comparator group has (1) primary outcome: lower risk of COPD-related hospitalisation and (2) secondary outcomes: better adherence to the recommended processes of care, lower overall healthcare and COPD-related inpatient costs, slower disease progression and lower 1-year mortality rate. We will use the Patient Assessment of Chronic Illness Care (PACIC) score to measure patients’ experience of chronic care delivery in congruence with the Chronic Care Model (CCM). In addition, we will also use the COPD Assessment Test (CAT) score to measure COPD control and hence the quality of life of patients with COPD.

METHODS/DESIGN
The regional healthcare system
In Singapore, public healthcare is provided by six regional healthcare systems (RHSs): Alexandra Health, Eastern Health Alliance, National Healthcare Group (NHG), National University Health System (NUHS), JurongHealth and Singapore Health Services (SHS). Together, these RHSs provide 80% of all acute care service. The government primary care clinics under NHG and SHS provide approximately 20% of primary care services consumed.

Target patients
Figure 1 shows the inclusion and exclusion criteria for patients’ enrolment into the COPD-ICP programme. We will exclude patients who have medical conditions other than COPD that are likely to result in death within the next 2 years.

We classify each patient enrolled into the programme based on the Patient Group Classification from updated GOLD guidelines (figure 2).

Intervention
Table 1 shows the recommended key care elements for each group of patients. Various healthcare team members are responsible for administering the respective key care elements (table 2).

With the implementation of the programme, care plans are designed to cater to each patient’s disease severity. Patients are followed up by case managers regularly to ensure that the care elements as aforementioned are strictly adhered to. Case managers will also call the patient 48 h post discharge to reinforce patient education and drugs optimisation, where they play a pivotal role in linking patients to community resources. Hence, with the coordination by case managers, the programme will make care delivery a more seamless and integrated process as compared to when such an initiative is absent.

Evaluation design
A retrospective pre-post, matched-groups design will be implemented for this study. Such a design will be utilised instead of the randomised controlled trial design, as the COPD-ICP programme has been implemented in JurongHealth for almost 2 years. Care resources may also be unnecessarily stretched if two care programmes (usual care and COPD-ICP) are run concurrently.

The study cohort will include individuals diagnosed with COPD who had at least one specialist outpatient visit record in the COPD Registry from April 2012 to December 2013. For our study, we will use the same inclusion and exclusion selection criteria as those for the COPD-ICP programme enrolment (figure 1).

Patients with COPD will be identified based on the
International Classification of Diseases Tenth Revision (ICD-10-AM) diagnostic codes (J40.xx and J47.xx).

Patients in the intervention group will be sampled from programme patients in the COPD Registry who received care from JurongHealth from April 2012 to December 2013. A comparator group will be formed from non-enrolees using the matching method described in later sections. Patients for the comparator group will be sampled from non-programme patients in the COPD Registry who received care from non-JurongHealth institutions from April 2012 to December 2013. All data will be collected over 1-year pre-enrolment and 1-year follow-up postenrolment (3-month interval) for enrolees, and over a 1-year period for non-enrolees. The outcomes will be compared between enrolees and non-enrolees (figure 1).

**Sample size**

Administratively, we set 30% as our target for proportion admission difference between programme and non-programme patients. Thus, a sample size of 56 patients in each group will be needed for statistical comparisons to be made at 90% power. Hence, 62 enrolees (to account for 10% missing data) will be sampled from among those who were enrolled into the programme during the study period and their matching group will be drawn from the comparator group COPD management registry.

**Data sources and data**

The three main sources of data are (1) COPD Registry: patient demographics; clinical information and outcome

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**Figure 1** Identification of the study cohort. COPD, chronic obstructive pulmonary disease; FEV$_1$, forced expiratory volume in 1 s; FVC, forced vital capacity.

**Figure 2** Patient classification based on symptoms and risk of exacerbations from GOLD guidelines.$^{9,10}$ Symptoms of chronic obstructive pulmonary disease (COPD) are assessed using mMRC or COPD Assessment Tool (CAT) score. Patient’s risk of exacerbations is assessed based on the patient’s stage of airflow limitation and/or number of exacerbations that the patient has had over previous 12 months.
variables for enrollees as well as non-enrollees; (2) Patient Case Management (PCM) system database: case managers capture entered data on all recommended key care elements (Table 1) common among the four patient groups; and (3) Health System administrative databases: healthcare utilisation cost. Data for 1-year mortality rate will be captured from National Registry of Diseases Ofi ce (NDRO).

Covariates include patient demographics and socio-economic indicators (age, race, gender, nationality, Medisave/Medifund and Medical social worker referral); programme enrolment date; smoking history; medication; comorbidities; severity of COPD (GOLD classification) and CAT score.

The parameters and outcomes of interest for which data shall be collected have been summarised in Table 3.

### Study outcomes

#### Hospital admissions and healthcare costs

The primary outcome of this study is hospital admission. Hospital admission refers to inpatient episodes at acute care hospitals managed by three regional health clusters (JurongHealth, NHG and NUHS). Total annual healthcare costs refer to the cost of resources utilised at the primary care clinics, emergency departments, specialist outpatient clinics and inpatient wards of these regional health clusters. To define specific COPD-related hospitalisations and inpatient costs, we have adopted the COPD-related hospitalisation ICD-10-codes used in Jiang et al.11

#### Disease progression and 1-year mortality rate

Different medications are used during different disease progression stages.9 Owing to the absence of GOLD...
guidelines in measuring disease progression, we will utilise medication usage to determine the disease progression of patients with COPD. This will be compared between the intervention group and the comparator group. One-year mortality rate is defined as the proportion of patients who died (all causes) during 1-year follow-up for intervention and comparator groups.

Adherence with recommended processes of care and PACIC score
We will use an all-or-none care bundle to monitor adherence with the recommended key care elements for group A, B, C and D patients (table 1) at baseline and 3-month interval. All-or-none care bundle is a process indicator which measures the percentage of patients who adhere with all of the recommended key care elements according to each patient group. In addition, we will use the PACIC score to measure patients’ experience of chronic care delivery. The PACIC score is a 20-question survey used to measure patients’ perception on the congruency of the service with the CCM. CCM is a guideline that recognises six aspects as key to improving quality of chronic disease management. The score obtained from the PACIC assessment tool will allow us to assess if the COPD-ICP programme is aligned with CCM.

Quality of life
As there is no locally validated tool to measure quality of life in patients with COPD and the COPD-specific version of St George’s Respiratory Questionnaire is too long to administer, we will use the CAT score, which is an eight-question health survey, to measure COPD

Table 3 Overall of assessments used in COPD-ICP implementation study

<table>
<thead>
<tr>
<th>Domain</th>
<th>Type of assessment/outcomes</th>
<th>Pre-ICP implementation</th>
<th>Post-ICP implementation</th>
<th>Concurrent comparator group in COPD disease management registry</th>
</tr>
</thead>
<tbody>
<tr>
<td>Baseline demographics</td>
<td>Age, race, gender, nationality, postal code</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>Disease</td>
<td>Disease type, disease duration</td>
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<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>Social-economics</td>
<td>Medisave, Medifund, Medical social worker referral</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>Programme management</td>
<td>Programme enrolment date</td>
<td>✓ (baseline)</td>
<td>X</td>
<td>X</td>
</tr>
<tr>
<td>Quality of life</td>
<td>CAT score</td>
<td>✓ (baseline)</td>
<td>✓</td>
<td>X</td>
</tr>
<tr>
<td>Smoking history</td>
<td>Smoking status, number of years of smoking</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>Key care elements</td>
<td>Refer to table 1</td>
<td>✓ (baseline)</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>Disease severity (based on medication use)</td>
<td>Refer to the 2011 GOLD guidelines summary</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
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<tr>
<td>Comorbidities and complication</td>
<td>Asthma</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td></td>
<td>Depression</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td></td>
<td>Congestive heart failure</td>
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<td>✓</td>
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<tr>
<td></td>
<td>Diabetes</td>
<td>✓</td>
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<td>✓</td>
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<tr>
<td></td>
<td>Hypertension</td>
<td>✓</td>
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<td>✓</td>
</tr>
<tr>
<td></td>
<td>CKD stage 3–5</td>
<td>✓</td>
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<td>✓</td>
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<tr>
<td></td>
<td>Stroke</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
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<tr>
<td></td>
<td>Dyslipidaemia</td>
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<td>✓</td>
<td>✓</td>
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<tr>
<td></td>
<td>Obesity</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td></td>
<td>Others</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>COPD-related health service utilisation</td>
<td>Hospitalisation, average length of stay</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td></td>
<td>Emergency department attendance</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td></td>
<td>Specialist outpatient visit</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
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<tr>
<td></td>
<td>Primary care visit</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>COPD-related cost (DRG)</td>
<td>Direct cost</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>Mortality</td>
<td>Indirect cost</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>Qualitative measures</td>
<td>Patient assessment of chronic illness care</td>
<td>✓</td>
<td>✓</td>
<td>X</td>
</tr>
</tbody>
</table>

CAT, COPD assessment test; CKD, chronic kidney disease; COPD-ICP, chronic obstructive pulmonary disease-integrated care pathway; DRG, diagnosis-related group.


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control in individuals. Scores range from 0 to 40 and lower scores indicate better control. Owing to its strong correlation with the COPD-specific version of the St George’s Respiratory Questionnaire, it has been used as an alternative tool for assessing quality of life of patients with COPD. Enrolees’ CAT score will be measured at baseline and during their follow-up visits within the first year of enrolment. A CAT score difference of 2 or more (or ≥10%) suggests clinically significant changes in the quality of life. The CAT score difference is taken as the difference between the baseline and the best reading within 1 year. This outcome is only available for programme enrolees as CAT score is not routinely collected for non-enrolees.

**Statistical analysis**

Key recommended processes of care (table 1) will be monitored quarterly to track the adherence and progress of the COPD-ICP programme. Patient baseline characteristics from enrolees and non-enrolees will be described with mean and SD for continuous variables and number and percentage for categorical variables. Differences between COPD-ICP enrolees and non-enrolees will be compared using χ² statistics for categorical variables and Wilcoxon rank-sum tests for continuous variables.

Since patients are enrolled into the programme based on the institution that they were seen in, there is likely to be imbalance in baseline characteristics between enrolees and non-enrolees. Hence, we will use propensity score matching to balance the baseline characteristics across enrolees and non-enrolees. We will start off by estimating the propensity score, which is the conditional probability of each patient enrolling into the programme given their baseline characteristics, by using multivariate logistic regression. Covariates to be included in the regression are: age, gender, race, hospital, subsidy term, the number of hospitalisation or emergency attendances in the past year, number and percentage for categorical variables. Differences between COPD-ICP enrolees and non-enrolees will be compared using χ² statistics for categorical variables and Wilcoxon rank-sum tests for continuous variables.

**Hospital admissions, healthcare costs and mortality**

We will compare healthcare costs using a generalised linear model with log link function and γ distribution. For odds of hospital admission and 1-year mortality, we will compare using logistic regression.

**CAT score comparison**

To evaluate the quality of life improvement of the patients with COPD using the CAT score as the outcome, the change in CAT score over the 1-year post enrolment time frame will be examined. A paired-sample t test will be used to compare baseline CAT score and the best achieved CAT score over the 1-year time frame.

**PACIC score**

To evaluate patients’ perception on the programme’s congruency with CCM, the average PACIC score for programme enrolees will be computed and benchmarked with PACIC results of other integrated care programmes in the present literature that have showed substantial congruency to the CCM. At present, recommended cut-offs for CCM concordance is set at ≥3.5 in a study with veterans and at ≥4 in another study with older adults at risk of high healthcare costs.

**Software**

All analyses will be conducted using Stata V.12.

**DISCUSSION**

In designing the COPD-ICP programme, three key principles have been adopted: right-siting, integration and patient-centredness. It also involves the five standards of care: COPD prevention, early diagnosis, management of stable patients with COPD, treatment and support during acute exacerbations, and care and support at end of life. The model of care concept plan is drafted with reference to various evidence-based guidelines such as the GOLD standard, American College of Physicians guideline on diagnosis and management of stable chronic COPD, and MOH COPD Clinical Practice Guidelines (2006).

This programme serves to close current service gaps to provide comprehensive integrated care along the care continuum in the following ways. Training for primary care physicians in the management of COPD has the potential to enhance care standards at their care setting. A multidisciplinary care team comprising of the clinician, case manager, coordinator and other relevant allied health members has been shown to improve clinical outcomes and life expectancy of patients with COPD. Patients admitted for exacerbations are contacted within 48 h from discharge to reinforce patient education and to increase their confidence in self-managing their own condition. Lastly, the case manager plays the role of the liaison between step-down care partners, primary care physicians and patients. This may lower the risk of readmission and reduce the frequency of exacerbation. From an international perspective, similar integrated care models around the world have also shown similar positive results. These evidences further support JurongHealth in launching and maintaining the COPD-ICP programme.

The rationale behind this programme evaluation stems from the motivation to bolster support for the programme and to identify care gaps for improvement. As such, adherence with processes of care and outcomes such as risk of hospitalisation, CAT score and PACIC score will be used by the team to identify any care gaps, so as to improve the COPD-ICP programme. In addition, healthcare costs, disease progression and 1-year mortality rate will also be used to assess the practicality of...
sustaining the programme. Furthermore, this study can also potentially add to the mounting evidence in support of integrated care in the healthcare literature.

This study protocol has several strengths. The PACIC survey will be used to assess patients’ experience of the congruency of care to CCM. This is in line with the organisation’s aim to deliver patient-centred care.

The choice of the matched-group patients using propensity scores will replicate the balance in baseline characteristics between compared cohorts achieved through randomisation. This will in turn reduce the effect of selection bias due to the lack of randomisation. This step will be vital for making valid conclusions from the economic effectiveness analysis.

This study protocol is limited in several areas. First, even though we will use propensity score matching to reduce the selection bias due to non-randomisation, there might be unmeasured confounders that can affect our results. Second, the data collection process will only account for enrolees and non-enrollees who choose to have their follow-up medical appointments at JurongHealth, NHG or NUHS. Owing to the non-captive nature of the healthcare system in Singapore, patients in Singapore are free to choose healthcare providers outside these clusters on an episodic basis. Hence, such exclusion might lead to underestimation. However, these limitations affect the evaluation of the programme only, but not the quality of care provided at any institution.

In conclusion, the COPD-ICP programme aims to equip primary care partners with the adequate knowledge and skills for managing stable patients with COPD and to right-site patients in order to provide excellent and appropriate care while optimising available healthcare resources. With the support from case managers, the programme does so by discharging patients to primary care doctors so that the clinically stable patients can be managed without the need to see a specialist if not clinically necessary. We believe that this evaluation study can provide an evidence-based assessment of the impact and effectiveness of the COPD-ICP programme. The lessons learnt from this study will be fed back to the COPD-ICP programme team and be useful in informing the design evaluations of other ICP programmes nationally.

ETHICS AND DISSEMINATION

This protocol describes the implementation and proposed evaluation of the COPD-ICP programme. The described study has received ethical approval from the NHG Domain Specific Review Board (DSRB Ref: 2013/01200). Results of the study will be reported through peer-review publication and healthcare conference presentations.

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