Current practice of venous thromboembolism prevention in acute trusts: a qualitative study

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ABSTRACT

Objective: To explore the current practice of venous thromboembolism (VTE) prevention in acute trusts.

Design: A qualitative research design was used to explore the perceived current practice of thromboprophylaxis, and knowledge and experience of VTE prevention. Data were collected via interviews with personnel from acute trusts and other relevant organisations and charities. Constant comparison was used to generate themes grounded in the data.

Setting: The UK.

Participants: 17 participants, sampled due to their expertise and knowledge in the field of VTE, were interviewed for the study.

Results: No one felt directly responsible for VTE risk assessment and treatment in acute trusts. There were concerns whether any action takes place based on the risk assessment. Low levels of VTE knowledge existed throughout the system.

Conclusions: Our study highlights the importance of continuous training to prevent VTE risk assessment being considered a tick box exercise and for clinicians to understand the significance of the procedure to ensure that VTE preventative measures are administered. It is essential that acute trust staff acknowledge that VTE prevention is the responsibility of everyone involved in a patient’s care. Concerns remain around prophylaxis treatment, administration and contraindications.

INTRODUCTION

Venous thromboembolism (VTE) is a substantial healthcare problem, resulting in mortality, morbidity and economic cost.1 In 2005, VTE was estimated by the Health Select Committee to cost the National Health Service (NHS) £640 million a year to manage.2 Mortality due to VTE after hospital admission is greater than the combined total of deaths from breast cancer, AIDS, prostate cancer and road-traffic incidents each year in the UK.3 Most hospitalised patients have one or more risk factors for VTE and around 60% of people undergoing major orthopaedic surgery will suffer a deep vein thrombosis (DVT) without preventative treatment.4 Acute medical patients have a 10–20% risk of developing a DVT.5 VTE is one of the most common complications to occur in patients with cancer6 and is associated with a significant reduction in survival.7 The risk of VTE can be reduced with the use of anticoagulants.5

In 2007, the National Institute for Health and Care Excellence (NICE) published a clinical guideline offering best-practice advice for reducing the risk of VTE in inpatients undergoing surgery.8 9 In 2010, the NICE guidelines recommended that VTE risk assessment be undertaken at admission (and repeated after 24 h) and appropriate prophylaxis be provided where indicated.10

Commissioning for Quality and Innovation (CQUIN) agreements were introduced in June 2010 and required all acute trusts in the UK to risk assess for VTE at least 90% of patients to avoid financial penalties. Acute trusts are responsible for the management of hospitals in England.11

Alongside these initiatives, an All Parliamentary Thrombosis Group (APPTG) survey found that implementation of risk

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Strengths and limitations of this study

- Participants have in-depth knowledge and experience of hospital venous thromboembolism (VTE) measures and may be in positions to identify areas of excellence in the process and also those areas that may fall short.
- First study to explore this issue with this group of participants.
- Participants from sites that provide leadership and promote best practice in VTE prevention.
- Examples of poor practice in other less prestigious sites may have gone unexplored.
- Snowball sampling has been criticised for selection bias which limits the validity of the sample.
methods and analysis
A qualitative research design was used. Data were collected via face to face and telephone interviews with an actively selected, purposive sample of personnel from acute trusts, relevant UK organisations and charities involved in the prevention of VTE. To achieve the most productive sample, key informants were identified (by DF, an expert in VTE thromboprophylaxis) to best represent the research focus, followed by snowball sampling, which involves asking key informants to recommend other appropriate people for interview and is particularly appropriate for accessing the type of participants being sought. Our sample was selected to reflect the diversity within a given population in order to obtain a rich perspective of opinion and comprised experts working in the field of VTE who would have knowledge and experience of VTE prevention.

Prior to the interview, participants were emailed an information pack comprising a covering letter and a participant information sheet. They were asked to complete a consent form at the time of contact or provided verbal consent for telephone interviews. Face-to-face interviews lasted between 30 and 50 min and telephone interviews 12 and 15 min. All interviews were conducted by the same researcher (LM). Interviews examined the current practice and knowledge of thromboprophylaxis, interdisciplinary communication, perceived barriers to VTE management, training provision and future requirements. The semistructured interview schedule covered the following topics: an examination of the regular and required provision of prophylaxis; concerns regarding prophylaxis treatment; an exploration of the education provided to patients and the training provision and future requirements for VTE prophylaxis management.

All interviews were digitally recorded with the permission of each participant. The contents of the recordings were transcribed verbatim and the resultant audiotapes/digital files stored in a password protected computer file. Transcripts were identified by code number only and participants were not identified in any written material resulting from the interviews. The recorded and transcribed semistructured interview data were analysed using constant comparative methods and managed using NVivo V9 software. LM independently reviewed all the transcripts and developed codes in an iterative process to identify emerging patterns in the data and an initial coding framework. Similarities and differences were identified within and across the transcripts. By comparing each part of the data, analytical categories were established and key concepts selected. Final themes were reviewed and agreed between SG, EM and DF to enhance reliability.

RESULTS
Participants
Seventeen participants agreed to be interviewed for the study. Fifteen were face to face and two via telephone. They originated from 12 separate organisations, including a series of trusts ranging from small trusts with no VTE specialists to large trusts with specialist VTE teams. Four of these organisations were National VTE Prevention Programme Exemplar sites, consisting of hospitals that have demonstrated excellence in their work to prevent VTE.

Participants were: two physicians, a consultant haematologist, a consultant VTE lead, a VTE nurse, a critical care charge nurse, a consultant nurse for anticoagulation, a nurse tutor/VTE committee member, a VTE prevention lead nurse, a VTE trainer, a clinical medicines management pharmacist, charity directors, (one and two), from two separate VTE charities, a primary care trust commissioner, a scientific advisor for haematology, a specialist scientific lead for patient devices and a community pharmacist. Charity director 2 and the community pharmacist took part via a telephone interview.

Four main themes regarding participants’ perceptions of thromboprophylaxis practice in acute trusts emerged from the data and the results are presented under these themes:

- Current attitudes to risk assessment
- Staff education and training
- Specific training requirements in acute care, lack of skills, critical dose clarity
- Budget implications

Representative quotations that illustrate typical responses and a range of views have been selected to reflect these themes.

Current attitudes to risk assessment
It was suggested that some junior doctors, although recognising the need for risk assessment and the
provision of preventative treatment, do not feel that it is their responsibility to carry it out. The advantages of having a champion to promote the issue in each establishment were highlighted.

There are still hospitals that are failing to do it, who don’t have a champion pushing it forward. It’s still quite difficult to win the hearts and mind of certain groups and the junior doctors don’t seem to feel, they can see the need, but they feel it’s not their responsibility. (Charity director 1)

Furthermore, it was suggested that risk assessment is regarded as little more than a tick box exercise, creating concerns that the results may not be acted on.

There is very little awareness; there is little awareness amongst secondary care staff because many see this as a chore, as a tick box exercise. (Physician 2)

There was a suggestion that the effectiveness of the process should be based on more than completion of the risk assessment form, a situation brought about by CQUIN payments. CQUIN payments are made according to the number of patients risk assessed with no regard to whether treatment procedures have been put into place. A call for policing of the risk assessment procedure suggests that it may not always be carried out correctly.

One of the weaknesses of the current strategy is that the outcome that is being measured is the number of risk assessment forms completed. The focus needs to be on whether they have been completed correctly and clinicians have acted on that assessment. People think that it is about identifying whether a patient is at risk of thrombosis but a risk assessment tool is also there to identify whether a patient is at risk of complications of thrombosis, could incentivise staff and help to improve the regular and required provision of prophylaxis and therefore it is essential that the information is used to guide practice. People are judged on completion of risk assessment forms, not necessarily the execution of the result of that form. (Consultant and VTE lead)

… the government has taken a role with CQUIN targets that’s really pushed it to the forefront to everyone’s minds but it wouldn’t harm them if they actually funded some extra nursing staff to police the risk assessments that are being done and that they are being done correctly. (VTE trainer)

There were concerns that risk assessment practice might slip if the incentives of CQUIN targets are removed. There was a perception that some trusts will think it unimportant. A VTE prevention lead felt that having staff dedicated to the role would help to maintain targets.

I like the CQUIN targets because it does give incentive and if the CQUIN doesn’t stay around I hope things won’t slip. I wonder if some trusts, where they haven’t got as big a team as we have, they haven’t got a person dedicated to the role, if when they don’t have to collect the data for CQUIN it’s going to come off radar. I think it will affect some trusts more than others. Some trusts will just think it doesn’t matter. (VTE prevention lead)

Participants considered it necessary to establish that what is in place is actually working and suggest there is evidence that it is not. This partly stemmed from the perception that no one feels directly responsible and that there exist low levels of understanding.

From the hospitals point of view they need to understand that risk assessment and treatment of the patient is not just one person’s responsibility. It becomes the responsibility of everyone who is involved in treating that person. (Charity director 2)

A successful example of how to overcome the problem of a diminished sense of responsibility is in establishing knowledge of where the responsibility falls within each individual member of the clinical team, along with a backup system to make sure that risk assessment is being carried out.

All patients should be risk assessed the moment they are going to be admitted and the junior doctors know it is going to be their responsibility and in the absence of junior doctors the senior doctors know that it is someone’s responsibility in the team and it might fall on them to do it. They are meant to do the risk assessment and prescribe the appropriate thromboprophylaxis. The nursing staff and the pharmacist staff remind or prompt the doctors if it is not being done and make sure that it is. It’s sort of a three pronged attack. (VTE trainer)

Participants suggested that having sight of the outcome data, to confirm the belief that conducting risk assessment has reduced incidences of hospital acquired thrombosis, could incentivise staff and help to improve risk assessment for DVT.

Having outcome data that demonstrates conducting risk assessment has made a difference in reducing the incidence of hospital associated thrombosis. (Consultant Nurse for anticoagulation)

Staff education and training
A consultant nurse called for the education of clinicians and patients to improve the regular and required provision of prophylaxis.

Ensuring both clinicians and patients are educated on appropriate thromboprophylaxis and that the resources are available. (Consultant Nurse for anticoagulation)

In addition, ongoing training that will prevent the risk assessment becoming a tick box exercise and continued awareness promotion was deemed necessary to develop an understanding of why the exercise is so important.
It can just end up being another piece of paper, another tick box exercise. I think that’s where the importance of the training comes in because you need people to understand why it’s so important. (Nurse tutor and VTE committee member)

There were examples of low levels of knowledge of VTE risk and prevention among staff in some acute trusts, even in orthopaedic hospitals where the majority of patients will be assessed at high risk. There was clearly a requirement for improved staff understanding without which there will remain an inability to pass on vital information to patients. Participants suggested that VTE prevention education be included as a complete module during medical training.

The major deficiencies are actually among health professionals and that we need to address those first before we start educating patients anymore. (Physician 1)

When I’m doing training...it’s only an awareness not -signs, symptoms, prevention, risk assessment. It is improving now because we’ve done a lot of work but even the knowledge amongst people who work in hospitals, in an orthopaedic hospital where it’s always been higher risk, is low. If it’s low for that group then the patients themselves are unlikely to have a huge amount of knowledge. Your medical training, nurse training there should be a whole module on VTE and the risks. (Nurse tutor, VTE committee member)

In the absence of specialist staff for VTE prevention, individual trusts are developing their own literature for the education of junior doctors and patients.

Bigger trusts have specific thrombosis teams or VTE nurses...we don’t have that but we have just put together a document that is going through the approval process so hopefully that will help. (Nurse tutor, VTE committee member)

We have followed the NICE guidance and written our own local trust guidance and that’s available on the intranet and available in a little booklet form that we give to the junior doctors. (VTE trainer)

A considerable variation in VTE teaching for a range of medical staff was identified, and a charity director suggests improvements in education are needed.

I’ve been looking at education and its huge variability in the amount of teaching that medical students get in haematology where most of the VTE teaching is concerned. So it varies from virtually nothing to eight weeks haematology teaching between the different medical schools and if one looks at the nursing syllabus—the midwives have nothing, there’s no module at all on VTE and the nursing modules vary so there is a huge need for improvement in education. (Charity director 1)

Critical care staff who see one or two incidences of VTE a month felt they do not know enough about thromboprophylaxis.

I see 1 or 2 cases of VTE a month. I don’t think I have enough knowledge or information about VTE and thromboprophylaxis. (Critical care charge nurse)

Similarly, training to cover the management of VTEs may be inadequate.

The thing we don’t cover so well at the moment is the management of suspected or actual VTEs.... (Nurse tutor, VTE committee member)

A consultant nurse suggested that clinicians should devote the time necessary to complete a short training session to promote awareness that risk assessment is a continuous process should a patient’s condition change.

It is a simple 2 minute process if done as part of the clerking procedure. It should also be thought about on the ward rounds and thereafter as the patient’s condition changes. This requires clinicians to be VTE risk aware and that requires time to complete training on VTE prevention of about 15 to 30 minutes. (Consultant Nurse for anticoagulation)

Even when there is a clear training programme in place, a nurse tutor suggested that attention can slip and compliance rates drop off.

You almost have to police it. You think, ‘right they’ve got that now, they know that every patient needs to be risk assessed’ but then something else will come along that takes their attention for a while and before you know it, it’s starting to drop off again. (Nurse tutor, VTE committee member)

However, that said, there were examples of excellent in staff commitment, responsibility and training. In several acute trusts, training is now mandatory.

VTE training is mandatory in our organisation and this is a very useful driver. We provide slots on all induction programmes for new doctors and nurses, regular lunchtime teaching for pharmacists and an established link nurse/midwife network with study days and monthly lunchtime meetings incorporating teaching. Teaching of new FY1’s who are involved with VTE trust wide audit. (Consultant Nurse for anticoagulation)

We ask everyone that has direct patient contact to complete the e-learning VTE module, which is mandatory and we also provide, for the nurses specifically, some VTE awareness sessions. (Clinical nurse tutor)

I do a lot of teaching with the staff and I’m also trying to encourage- train the trainer. All of the adult wards have link nurses who have attended special training and we’re encouraging them to teach the other nurses as well. We also do teaching with the doctors to hopefully get them to do things correctly in the first place. (VTE prevention lead nurse)
Specific training requirements in acute care

Lack of skills

It was recognised that a document outlining the appropriate treatment is required in some hospitals because they do not have specialist teams to manage VTE. This was most evident in specialist orthopaedic hospitals where staff skills are appropriate to their specialist nature with little knowledge of other medical conditions that may have relevance to surgery and VTE risk factors. Explicitly, orthopaedic surgeons are knowledgeable with regard to risk factors related to surgery and anaesthetics but do not see cases of VTE, because they are referred to a general hospital and they may be unfamiliar with risk factors associated with cancers and other comorbidities.

For some patients there are other risk factors. It would be hard to have a form that covers every eventuality. Even though we are orthopaedic specialty only, within orthopaedics there are actually spinal, oncology patients, hips and knees etc. Even within that small group there are lots of different risk factors. (Clinical nurse tutor)

The thing we don’t cover so well at the moment is the management of suspected or actual VTEs. Because, we are a specialist orthopaedic Trust so we don’t have the input of, I mean a lot of bigger Trusts have specific thrombosis teams or VTE nurses...In this Trust we don’t have that but we have just put together a document that is going through the approval process so hopefully that will help. I’m not saying patients just don’t get the appropriate treatment but I think maybe the actual process gets a bit blurred sometimes. (Orthopaedic nurse tutor)

Critical dose clarity

Participants presented some specific examples where medical knowledge appears to be lacking with regard to VTE prevention and medication. One example was the apparent confusion around giving reduced dosage appropriate to age and renal function.

The concerns that I have is that, it’s the definite guidelines for when you give a reduced dose, between forty and twenty. And I think a lot of the more junior clinical staff, junior doctors, don’t quite understand when to go for forty versus twenty, when you’re looking at age and renal function and things like that. And it’s sort of a bit, it’s a bit arbitrary. I would think it would be junior doctors needing the training in their medical, somewhere. (Acute Trust Pharmacist)

A pharmacist suggested that improved documentation would provide a useful checking system when a medication dose has been reduced so that the pharmacy can see the significance of a changed dosage.

Sometimes the consultants might reduce a patient’s Enoxaparin dose to twenty, we’re not always sure why. So maybe some documentation somewhere in the notes to understand why the VTE medication has been reduced because normally it’s reduced if their renal function’s poor, but sometimes it’s reduced and their renal function’s fine, or it can be reduced if a wound is oozing. But sometimes neither of those are there and we’re left to, there’s no information as to why the patient’s dose has been reduced. (Medicines Management Pharmacist)

When asked whether there were any concerns regarding the required provision of thromboprophylaxis, a critical care charge nurse enquired, ‘if patient is on warfarin do we still give it?’ When asked if training was required, the nurse asked, ‘do we need TEDs and Enoxaparin?’ There is an apparent need for further training involving: exceptions to the rules, combining treatments, reducing doses according to comorbidities and understanding the implications of a patient being on warfarin. Further, a participant inferred that a clinical barrier to the prevention of VTE may be caused by surgeons who think that prophylaxis causes bleeding on surgical wounds.

Some surgeons, particularly in orthopaedic surgery perceive that prophylaxis causes bleeds in the wound. (Charity director 2)

Immobility is a causal mechanism for VTE and there was some confusion regarding a patient’s apparent mobility that requires clarification across the NHS. The NICE guidelines regarding reduced mobility are defined as; ongoing reduced mobility relative to their normal state. The following statement could indicate that some patients are not receiving appropriate thromboprophylaxis.

The main problem that causes confusion is with regard to the medical patients and the definition of mobility. We’ve done quite a lot of training on that recently and amended the risk assessment tool to add in the definition of mobility as defined by the NICE guidance. ‘Cause we’ve found a lot of people were thinking if the patients not bed bound then they’ve got normal mobility. They’re missing out on thromboprophylaxis. We’ve done a lot of work with that definition of mobility to try and increase awareness. I still feel that it’s, a little bit confusing. (VTE prevention lead)

Budget implications

The NICE report recognises that VTE is hidden from the radar of surgical clinicians because patients are being discharged from hospital relatively quickly and a VTE develops after the patient has left hospital. A consultant observes that, if a VTE event is prevented by the prescription paid for from the surgeons budget, the saving has no direct effect or benefit to the surgeon’s department.

The cost comes out of orthopaedic surgeons direct budget and the guidelines have come in and the recommendations are made to them but they are not given any additional funds to deliver it. Likewise any financial
savings on preventing hospital acquired thrombosis is not
fed back to them. So they are being expected to spend
more for a problem they don’t perceive exists because
they don’t see it and by doing it they don’t get any addi-
tional benefit. (Consultant, VTE lead)

Similarly, a nurse tutor suggested that the use of more
expensive drugs may be cost effective because they
would be easier to administer (oral, rather than self-
 injection that many patients cannot manage on their
own) and would reduce district nursing costs.

...the cost of Rivaroxaban and things like that because if
they were cheaper then you could move away from
Clexane which would release up nursing time, you
wouldn’t need district nursing at all. (Nurse tutor, VTE
committee member)

Overall, the prevention of VTE is considered to be
cost effective for the NHS.

There should be no financial barriers as the prevention
of VTE saves money in the long term for the NHS.
(Charity director 2)

Further budget implications which emerged included
cost and time barriers relating to training staff to com-
plete the risk assessment and complete it without errors
and having the right facilities, in terms of sufficient
numbers of staff, to carry that training out effectively. A
VTE prevention lead invested considerable time in the
motivation of staff to feel passionate about risk assess-
ment so that they correctly complete the task.

Potential barriers are time, if areas are understaffed,
training—if you haven’t got the facilities to train people
how to complete the risk assessment correctly then you
might get errors and to a large extent staff awareness and
motivation we put a lot of time into trying engage with
the staff to get them motivated to feel passionate about
VTE and if we can do that we feel they’re more likely to
do the risk assessment forms. If they don’t really care
then it gets left so we put a lot of investment in trying to
get people feel passionate about it. I guess that is finan-
cial, having the staff to do that. (VTE prevention lead)

DISCUSSION

This study has highlighted a number of issues, particu-
larly the confusion over responsibility for VTE risk
assessment and treatment. Despite the belief of many
participants in this study that VTE prophylaxis was well
implemented in their hospital, participants from acute
trusts, charities and organisations provided examples of
low levels of knowledge of VTE risk and prevention and
revealed examples of poor medical knowledge and
understanding, including uncertainty over reduced
mobility. These areas point to specific training require-
ments. While VTE nurses and trainers strive to motivate
clinical staff to accept the task of risk assessment as a
habitual part of daily clinical practice, our findings
suggest that some junior doctors do not feel that it is
their responsibility. Such a concern was raised in the
Francis enquiry that found that assumptions were made
that important functions were being carried out by
others. Further, the report suggests that new doctors
are vulnerable to being misled by poor practice and may
not raise concerns (Ref. 23 (18.103 page 1225)).

Similarly, the Francis Report identified a failure to
communicate the knowledge of any concerns and, in
our study, it is apparent that shortcomings in VTE pre-
vention exist at an individual level, are identified at the
ward level and, currently, are not escalated. There is no
guarantee that concerns regarding VTE management
are raised and addressed appropriately. Our study alone
has brought these issues to light.

Several participants highlight the suggestion that
importance of continuous training is important to
prevent risk assessment becoming ‘a tick box exercise’
and for clinicians to understand the significance of the
procedure to ensure follow-on action. Despite govern-
ment intervention, concerns remain around prophylaxis
treatment, administration and contraindications. The
ENDORSE study found that less than 40% of at-risk hos-
pitalised medical patients receive the recommended
prophylaxis. The Endorse study reinforces the necessity
to improve implementation of available guidelines for
evaluating VTE risk and to implement measures that
ensure that at-risk patients receive appropriate
prophylaxis. A VTE trainer calls for additional funding for
extra nursing staff to police the risk assessment process.

There is evidence of reduced mortality associated with
improved prophylaxis rates in the UK. However, studies
suggest that there is an overprescribing of
prophylaxis in low-risk patients. There remains a need
for caution in terms of prescribing prophylaxis for
patients at low risk of VTE.

Strengths and limitations

The strength of our study is that it examines the opin-
ions of healthcare professionals in acute trusts and rele-
vant organisations who have in-depth knowledge and
experience of hospital VTE measures and may be in
positions to identify areas of excellence in the process
and also those areas that may fall short. This is the first
study to explore this issue with this group of
participants.

Several participants came from institutions that belong
to the National VTE Prevention Programme Exemplar
Centre Network. These sites provide leadership and
promote best practice in VTE prevention and are
selected because of their existing track record of excel-
len VTE prevention and care. They carry a ‘kite-mark’
for good practice in VTE care and share clinical best
practice, educational and audit material, provide advice
regarding VTE care and collaborate on clinical research into VTE. As such, these participants may have experienced a more proactive attitude to VTE prevention. This may be considered a strength of the study, particularly if they are able to identify a weakness within their exemplary status, but may equally be considered a limitation in that there may be cases of poor practice in other less prestigious sites that have gone unexplored. This study sought healthcare professionals’ opinions and it may be that an observational study of what actually happens in day-to-day clinical practice might highlight other issues.

Snowball sampling has been criticised for selection bias which limits the validity of the sample. To minimise selection bias, participants were sourced from an extensive base, ranging across acute trust personnel, commissioning bodies, individuals from the community and charities, resulting in a wide range of participants from all areas of VTE prevention. The sample size is within the range recommended to allow for data saturation to be reached.

CONCLUSIONS
This study provides important insights into those aspects of VTE prevention that are perceived to continue to create concern in acute trusts. Even when dedicated VTE management support is available, some healthcare professionals appear unsure of preventative measures.

In light of the suggestion that the teaching of VTE prevention varies widely across medical schools, training in VTE prevention would benefit from being fully addressed at this stage in a medical student’s education. It is essential that all healthcare professionals recognise the importance of VTE risk assessment and appropriate preventative measures and be encouraged to acknowledge that the process does not end at risk assessment but is an ongoing procedure throughout a patient’s hospitalisation that becomes the responsibility of everyone involved in the patient’s care.

Despite evidence of improved mortality rates associated with implementing VTE prophylaxis, this study demonstrates the need for ongoing engagement with, and education of, acute trust personnel in order to ensure continuing quality improvement and the use of cost-effective measures to reduce the burden of VTE after hospitalisation.

Contributors DF, EM, SG and AW had the original idea for the study and led the funding application. EM and AW wrote the study protocol. LM and SH contributed to the development of the protocol. LM conducted the interviews, led the qualitative analysis and drafted the paper. SG, EM and DF agreed on the analysis and reviewed the draft versions. All authors approved the final version of the paper.

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