Adherence management for patients with cancer taking capecitabine: a prospective two-arm cohort study

Linda Krolop, Yon-Dschun Ko, Peter Florian Schwindt, Claudia Schumacher, Rolf Fimmers, Ulrich Jaehde

INTRODUCTION
Cancer therapy has traditionally been dominated by intravenously administered agents. However, oral anticancer drugs are increasingly used and more than one-quarter of all anticancer drugs currently under development are orally administered. Oral anticancer therapies are highly accepted by patients based on obvious advantages, for example, higher convenience, avoidance of venipuncture and paravesates as well as greater patient autonomy. However, these treatments are also associated with many challenges. Owing to less intense contact between patient and healthcare providers, responsibilities in terms of managing the course of treatment are transferred to...
the patient such as monitoring of doses and toxicity.\hspace{0.5em}^2\hspace{0.5em}^6\hspace{0.5em}^6\hspace{0.5em} In contrast to intravenously administered anticancer treatments, healthcare providers cannot always assume that patients are adherent which is, however, the key prerequisite for treatment success. Multidisciplinary patient care and specific patient education regarding all aspects of the treatment regimen are crucial to maintain adherence.\hspace{0.5em}^5\hspace{0.5em}^9\hspace{0.5em}

Patients of the present study were treated with the chemotherapeutic agent capecitabine, an orally administered prodrug of cytotoxic fluorouracil (5 FU). Capecitabine has an improved tolerability and comparable efficacy compared with infusional or bolus 5 FU\hspace{0.5em}^1\hspace{0.5em}^0\hspace{0.5em}^0\hspace{0.5em} and is frequently used in the treatment of breast, colorectal and gastric cancer. Moreover, ovarian, pancreatic or oesophageal tumours may be treated with capecitabine. One capecitabine cycle consists of 3 weeks, 2 weeks of twice daily drug intake followed by 7 days of break.\hspace{0.5em}^1\hspace{0.5em}^1\hspace{0.5em}

Patient adherence to prescribed treatment regimens for chronic non-oncologic diseases accounts for 50% on average only.\hspace{0.5em}^1\hspace{0.5em}^2\hspace{0.5em}^1\hspace{0.5em}^3\hspace{0.5em} Medication-taking behaviour of patients with cancer is presumed to be particularly adherent, since cancer is a life-threatening disease.\hspace{0.5em}^1\hspace{0.5em}^4\hspace{0.5em}^1\hspace{0.5em}^5\hspace{0.5em}^1\hspace{0.5em}^6\hspace{0.5em} However, adherence rates of oral anticancer agents were reported to range from 16% to 100% depending on the drug and method of measurement.\hspace{0.5em}^1\hspace{0.5em}^5\hspace{0.5em} Exact measurement of adherence is a challenge and existing methods are limited for various reasons.\hspace{0.5em}^1\hspace{0.5em}^9\hspace{0.5em} Best estimation of adherence may be provided by electronic monitoring such as the medication event monitoring system (MEMS, Aardex Group Ltd., Zug, Switzerland).\hspace{0.5em}^2\hspace{0.5em}\hspace{0.5em}^0\hspace{0.5em}

Several studies have been published investigating patient adherence to capecitabine. Partridge et al used MEMS for adherence assessment in older women with early-stage breast cancer. Adherence was defined as the number of doses taken divided by the doses expected. Seventy-five per cent of the included patients were regarded as adherent, that is, they performed more than 80% of the expected openings. Mean adherence accounted for 78% across all cycles.\hspace{0.5em}^2\hspace{0.5em}^1\hspace{0.5em}^2\hspace{0.5em}^2\hspace{0.5em} Winterhalder et al used participant self-reports to explore adherence in patients with gastrointestinal and breast cancer. Any violation of the recommended treatment regimen, according to their diary entries, during the duration of the capecitabine treatment was considered as non-adherence. Ninety-one per cent (161/177) patients were found to be fully adherent, whereas only 9% (16/177) reported some kind of adherence error.\hspace{0.5em}^1\hspace{0.5em}^4\hspace{0.5em} The adherence of 13 younger patients with metastatic breast cancer was assessed using MEMS and the median accounted for 96%. Adherence was defined as observed doses divided by expected doses. Self-reported adherence was assessed additionally and the median was 97% (n=12).\hspace{0.5em}^2\hspace{0.5em}^3\hspace{0.5em} Self-reported non-adherence of 43 patients with breast and colorectal cancer was 23.3%.\hspace{0.5em}^2\hspace{0.5em}^4\hspace{0.5em} Furthermore, the effect of an intensified multidisciplinary pharmaceutical care programme consisting of a combination of written and spoken information on the adherence of patients with cancer taking capecitabine was investigated. Adherence was measured using MEMS and defined as the percentage of days with correct medication taking behaviour. Patients who received pharmaceutical care showed a significantly higher mean daily adherence compared with the control group who received standard care (96.8% vs 87.2%, \(p=0.029\)).\hspace{0.5em}^2\hspace{0.5em}^5

Thus, adherence rates of patients treated with capecitabine are relatively high compared with non-oncologic oral drugs but can still be increased by specific measures.\hspace{0.5em}^2\hspace{0.5em}^5\hspace{0.5em} Conversely, this implies that only some patients treated with capecitabine are in need of an adherence-enhancing intervention and the limited resources could be used more efficiently. Certain patients manage their oral treatment regimen independently and do not benefit from specialized patient care. Therefore, we chose a modular medication management approach in this study. Patients with cancer were screened for their adherence during their first capecitabine cycle to detect potential non-adherers. Initially adherent as well as non-adherent patients received basic pharmaceutical care and adverse event management. Specific adherence support was only applied to initially non-adherent patients.

According to the recently published taxonomy for describing and defining adherence to medications\hspace{0.5em}^2\hspace{0.5em}^6\hspace{0.5em} this study primarily addressed the implementation element of adherence. The aim was to identify initially non-adherent patients and to investigate initially non-adherent and initially adherent patients’ adherence over time. It was hypothesised that adherence of initially adherent patients would remain high over time without specific support and that initially non-adherent patients would benefit from specific adherence support.

**METHODS**

**Study design**
The study was conducted as a prospective, multicentred, two-arm observational cohort study. One study arm consisted of patients classified as initially adherent (baseline daily adherence \(\geq\hspace{0.5em}90\%)\), the other arm of initially non-adherent patients (baseline daily adherence <90%).

**Study setting and sample**
The study was conducted in two oncology outpatient wards and one oncology practice. Data were collected between July 2009 and March 2012. After the identification of eligibility by the collaborating oncologists, the study pharmacist asked the patients if they were willing to participate in the study. In case of acceptance, each participant signed a written informed consent. The study protocol considered a maximum observation period of six capecitabine cycles for every participant. The main inclusion criterion was the initiation of chemotherapy with capecitabine as a single agent or combination therapy for treatment of cancer. Patients had to be capecitabine-naïve, at least 18 years old and able to speak, read and write German. Inclusion had to

\[2\]

take place within 2 weeks after initiation of capecitabine treatment. Exclusion criteria implied any diagnosis of a disease or mental state compromising full understanding of purpose and course of the study. The ethics committee of the University of Bonn, Germany voted positively for this study.

Adherence measurement
Adherence to capecitabine treatment was assessed using the MEMS. Every participant was provided with a MEMS container and asked to use it for storage of capcitabine medication during study participation. For ethical reasons patients were informed about the fact that their adherence was being monitored. The caps of the MEMS containers recorded date and time of every opening. Patients were instructed to open the containers only when taking their capcitabine dose. In case of required refills, patients were requested to schedule refill and regular capcitabine intake at the same time in order to avoid additional openings. If this was not possible or in case of further extraordinary openings, patients were asked to note the respective information on a special documentation sheet. Since uncensored MEMS data might overestimate non-adherence, adherence data were censored according to information derived from notes and interviews (eg, exclusion of self-reported non-monitoring intervals or extra openings, and intake of doses taken from another source than MEMS). Measurement ended after six completed capecitabine cycles or in case of premature treatment discontinuation.

Adherence analysis
Adherence was studied using medication taking profiles uploaded from the MEMS monitors and patients’ information concerning extraordinary incidents. ‘Daily adherence’ was selected as primary endpoint. It was defined as percentage of days with correctly administered capcitabine doses (number of days with correct drug intake divided by number of observed days). In the case of missing MEMS data the corresponding days were not included in the analysis, that is, the number of observed days was reduced accordingly. Adherence was assessed on days with drug intake as well as days during the rest period. A day was considered as adherent only, if two openings of the MEMS monitor were recorded on a day during the drug-intake period (dosing interval ≥6 h) or if no openings were recorded during the rest period.

Different measures of adherence were used. ‘Daily adherence’ was calculated for every individual cycle on the basis of days with and without drug intake. Furthermore, ‘daily intake adherence’ was calculated for every individual cycle on the basis of the drug-intake interval only. This was performed in order to exclude the influence of the intake-free interval on the adherence. Additionally, ‘persistence’ of drug intake was analysed. Duration of physician’s capcitabine prescription was compared with the duration of the actual treatment by the participant.

For the classification of a participant as initially adherent or non-adherent, daily adherence was calculated for the intake period of the first cycle plus first day of the therapy-free interval. This parameter is referred to as ‘baseline daily adherence’. A participant was classified as initially adherent (baseline daily adherence ≥90%) or initially non-adherent (baseline daily adherence <90%). Since no consensual standard for the definition of sufficient adherence exists, the threshold of 90% was defined empirically based on the results of an earlier research project. If assessment of baseline adherence resulted in a participant being initially non-adherent, adherence support was provided before the start of the second intake period.

Modular medication management
In addition to standard care provided by physicians and nurses of the respective study centre, medication management consisted of three modules. A detailed literature search was conducted to identify most valuable components of pharmaceutical care and adherence enhancement. On the basis of the reviewed literature the modules were developed, discussed and adapted. Every study participant received module 1 (basic pharmaceutical care) as well as module 2 (adverse event management). These modules were provided by a registered pharmacist of the Department of Clinical Pharmacy at the University of Bonn, Germany, in collaboration with the attending physicians and nurses. If a participant was initially non-adherent, the pharmacist delivered module 3 (adherence support) to the patient additionally.

Modules 1 and 2 were initiated after inclusion. Module 1 implied detailed medication history taking to perform drug–drug interaction checks and compile an individual medication plan. In case of identified drug-related problems, necessary changes of the medication were made in collaboration with the responsible physician. Patients were educated in detail about the cytotoxic agent capcitabine, its mechanism of action and the individual dosing regimen. Further anticancer agents, supportive therapy and other agents taken regularly were also addressed. Patient counselling was supported by the provision of written information material. Within module 2, patients were educated regarding common adverse effects (eg, hand-foot syndrome and diarrhoea). Prophylaxis, detection and treatment of adverse effects were discussed in detail. If patients took other drugs or were prescribed a concomitant anticancer treatment, they were counselled regarding the adverse effects of these drugs as well. An information brochure regarding prevention and management of adverse effects caused by chemotherapy supported oral counselling.

Since feeding back of electronically compiled adherence data to the patients has been demonstrated to be an effective approach to enhance adherence, module 3 contained a detailed discussion of the patient’s individual adherence results on the basis of cycle 1 MEMS data. Adherence support focused on the identification of...
reasons for non-adherence to define a feasible adherence-enhancing strategy. Since various types of non-adherence exist, strategies to overcome individual barriers to adherence were designed individually. Strategies to improve unintentional non-adherence (eg, due to forgetfulness) included treatment diaries or linking drug intake with a certain act of daily routine (cue dosing). In contrast, intentional non-adherence had to be approached in a completely different manner. If an adverse effect was the reason for not taking capecitabine, management and prevention of further adverse effects were addressed in accordance with module 2. Patients’ expectations and experiences were included in all considerations. Moreover, an increase of the patient’s awareness of the importance of adherence with capecitabine treatment was aimed. Routinely, beginning and end of the current and next capecitabine cycle were explicitly discussed. The content and course of the adherence-supporting session was adapted according to the patients’ medication taking behaviour. If the participant showed a daily adherence <90%, the content of the first counselling session of module 3 was repeated and adherence-enhancing strategies were reassessed, discussed and adapted.

Personal follow-up visits took place at least once every cycle. Between scheduled appointments every participant had the possibility to reach individual advice in person, by telephone or by email.

**Sample size calculation and statistical analysis**

Sample size calculations were based on one-sided exact binomial tests and conducted for the primary endpoint ‘daily adherence’. Available adherence data were analysed with regard to daily adherence of the participant’s first capecitabine cycle. Regarding initially adherent patients a sample size of 45 was required to show with a power $(1-\beta)$ of 80% that >75% of these patients remain being adherent (type I error $(\alpha)=5\%$). The true population value of patients who persist being adherent was assumed to account for $>90\%$. Regarding initially non-adherent patients, a sample size of 30 patients was required to show with a power $(1-\beta)$ of 80% that >80% of these patients become adherent after the adherence support (type I error $(\alpha)=5\%$). The true population value of patients who became adherent was assumed to account for $>95\%$. Finally a dropout rate of 20% was estimated so that a total sample size of 90 patients resulted (54 initially adherent and 36 initially non-adherent patients). Data entry and statistical data analysis were carried out using Excel 2007 (Microsoft, Redmond, Washington, USA) and SPSS V.20 (SPSS Inc., Chicago, Illinois, USA, Statistical Package for the Social Sciences). Appropriate descriptive statistics was used to characterise the patient population and summarise the study results. Data were mostly binary, nominal, ordinal or failed to follow a normal distribution, thus non-parametric testing was utilised consistently. Differences regarding sociodemographic and disease-related characteristics between initially adherent and non-adherent patients were tested using the Fisher’s exact test for nominal data. To explore the relationship between adherence and potential predictors of adherence, Spearman’s rank correlation coefficient was used for comparing two continuous data sets and Mann-Whitney U analysis was used for comparing continuous (not normally distributed) data with binary data sets.

**RESULTS**

During the data collection period participating oncologists assessed in total 97 patients for eligibility, 78 were enrolled in the study. Figure 1 provides a detailed overview of patient recruitment including reasons for

**Figure 1** Patient recruitment flow diagram.

<table>
<thead>
<tr>
<th>First patient in 07/2009, last patient in 11/2011, last patient out 03/2012</th>
</tr>
</thead>
<tbody>
<tr>
<td>Assessed for eligibility (n=97)</td>
</tr>
<tr>
<td>Enrolled (n=78)</td>
</tr>
<tr>
<td>Lost to follow-up (n=5)</td>
</tr>
<tr>
<td>Excluded (n=19)</td>
</tr>
<tr>
<td>– Did not meet inclusion criteria (n=11)</td>
</tr>
<tr>
<td>– Refused to participate (n=8)</td>
</tr>
<tr>
<td>Follow-up</td>
</tr>
<tr>
<td>Analysed (n=73)</td>
</tr>
<tr>
<td>– Withdrew informed consent (n=1)</td>
</tr>
<tr>
<td>– Non-use of MEMS® (n=2)</td>
</tr>
<tr>
<td>– Died before reading MEMS® (n=2)</td>
</tr>
<tr>
<td>Analysis</td>
</tr>
<tr>
<td>Initially adherent (n=58)</td>
</tr>
<tr>
<td>Initially non-adherent (n=15)</td>
</tr>
</tbody>
</table>
exclusion and loss to follow-up. The main reason (seven of eight refusals) for non-participation was perceived stress by the study in addition to their mentally and/or physically impaired condition. Since five patients were not capecitabine-naive, two patients were not able to speak, read and write German and for four patients MEMS use was not possible due to participation in another trial, they were not enrolled.

Patient characteristics
Seventy-three patients were analysed for baseline daily adherence, 58 (79.5%) were initially adherent and 15 (20.5%) initially non-adherent. Table 1 shows that there was no statistically significant difference between initially adherent and non-adherent patients regarding sociodemographic and disease-related characteristics. However, there was a significant difference in the therapy setting (p=0.021, Fisher’s exact test).

Initially adherent patients
Initially adherent patients were observed for a median time of 119.0 days (range 21.0–152.0; IQR=69.8–126.0). During all observed cycles, a high percentage of these patients showed a daily adherence ≥90% (figure 2A). After the sixth cycle, 36 of 37 (97.3%, CI 88.8% to 99.4%) initially adherent patients showed a daily adherence ≥90%. Since the CI does not include 75% it is shown with a type I error of 5% that more than 75% of the initially adherent patients remained adherent after the modular medication management (without specific adherence support).

Figure 2B shows the same kind of data analysis for the daily intake adherence (excluding therapy-free interval).

### Table 1 Sociodemographic and disease-related patient characteristics

<table>
<thead>
<tr>
<th>Sociodemographic characteristics</th>
<th>Initially adherent</th>
<th>Initially non-adherent</th>
<th>p Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Classified age (years)</td>
<td>n</td>
<td>%</td>
<td>n</td>
</tr>
<tr>
<td>≤50</td>
<td>11</td>
<td>19.0</td>
<td>0</td>
</tr>
<tr>
<td>51–60</td>
<td>15</td>
<td>25.9</td>
<td>6</td>
</tr>
<tr>
<td>61–70</td>
<td>17</td>
<td>29.3</td>
<td>3</td>
</tr>
<tr>
<td>71–80</td>
<td>10</td>
<td>17.2</td>
<td>5</td>
</tr>
<tr>
<td>&gt;80</td>
<td>5</td>
<td>8.6</td>
<td>1</td>
</tr>
<tr>
<td>Sex</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Female</td>
<td>44</td>
<td>75.9</td>
<td>10</td>
</tr>
<tr>
<td>Male</td>
<td>14</td>
<td>24.1</td>
<td>5</td>
</tr>
<tr>
<td>Number of additional drugs (excluding PRN drugs)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>≤5</td>
<td>45</td>
<td>77.6</td>
<td>10</td>
</tr>
<tr>
<td>6–10</td>
<td>9</td>
<td>15.5</td>
<td>3</td>
</tr>
<tr>
<td>&gt;10</td>
<td>3</td>
<td>5.2</td>
<td>2</td>
</tr>
<tr>
<td>No answer</td>
<td>1</td>
<td>1.7</td>
<td>0</td>
</tr>
<tr>
<td>Tumour entity</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Breast cancer</td>
<td>21</td>
<td>36.2</td>
<td>7</td>
</tr>
<tr>
<td>Colorectal cancer</td>
<td>25</td>
<td>43.1</td>
<td>7</td>
</tr>
<tr>
<td>Gastric cancer</td>
<td>3</td>
<td>5.2</td>
<td>0</td>
</tr>
<tr>
<td>Oesophageal cancer</td>
<td>1</td>
<td>1.7</td>
<td>1</td>
</tr>
<tr>
<td>Ovarian cancer</td>
<td>3</td>
<td>5.2</td>
<td>0</td>
</tr>
<tr>
<td>Cancer of unknown primary</td>
<td>1</td>
<td>1.7</td>
<td>0</td>
</tr>
<tr>
<td>Pancreatic cancer</td>
<td>3</td>
<td>5.2</td>
<td>0</td>
</tr>
<tr>
<td>Endometrial cancer</td>
<td>1</td>
<td>1.7</td>
<td>0</td>
</tr>
<tr>
<td>Therapy regimen at inclusion</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Monotherapy</td>
<td>35</td>
<td>60.3</td>
<td>7</td>
</tr>
<tr>
<td>Combination therapy</td>
<td>23</td>
<td>39.7</td>
<td>8</td>
</tr>
<tr>
<td>Treatment intention</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Curative</td>
<td>8</td>
<td>13.8</td>
<td>3</td>
</tr>
<tr>
<td>Palliative</td>
<td>50</td>
<td>86.2</td>
<td>12</td>
</tr>
<tr>
<td>Classified time since diagnosis</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>&lt;½ year</td>
<td>15</td>
<td>25.9</td>
<td>4</td>
</tr>
<tr>
<td>½ to 2 years</td>
<td>22</td>
<td>37.9</td>
<td>4</td>
</tr>
<tr>
<td>&gt;2 years</td>
<td>21</td>
<td>36.2</td>
<td>7</td>
</tr>
<tr>
<td>Therapy setting</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Oncology outpatient ward</td>
<td>51</td>
<td>87.9</td>
<td>9</td>
</tr>
<tr>
<td>Oncology practice</td>
<td>7</td>
<td>12.1</td>
<td>6</td>
</tr>
</tbody>
</table>
The fraction of initially adherent patients with a daily intake adherence \( \geq 90\% \) was lower compared with daily adherence reflecting that adherence is lower during intake than rest periods.

Figure 3 demonstrates that variability with regard to daily adherence increased from cycle 1 compared with further cycles. Median daily adherence was 100% in every cycle. Mean daily adherence decreased from 98.9% in cycle 1 to 97.3% in cycle 6. Online supplementary table A provides more detailed information. Although initially adherent patients did not receive specific adherence support, a consistently high median daily adherence in a majority of these patients was observed. Only in exceptional cases median daily adherence was observed to be lower than 90%. Individual daily adherence profiles of each patient over the observation period are provided in online supplementary figure A.

Initially non-adherent patients
Initially non-adherent patients were observed for a median time of 118.0 days (range 35.0–140.0; IQR=96.0–126.0). Figure 2A illustrates the percentage of patients who showed a daily adherence \( \geq 90\% \) during the different cycles. Adherence increased in association with the specific support provided. In cycle 2 the percentage of adherent patients was 80.0% (12/15) compared with 40.0% (6/15) in cycle 1 and it ranged between 75.0% and 84.6% in the following cycles 3–6. After completion of the sixth cycle, daily adherence of six of eight (75.0%, CI 46.0% to 91.3%) initially non-adherent patients accounted for \( \geq 90\% \). Since the CI included 80% which was the cut-off value used for sample size determination of initially non-adherent patients, it could not be proven that >80% of initially non-adherent patients were adherent after the intervention.

Figure 2B shows the percentage of initially non-adherent patients with a daily intake adherence \( \geq 90\% \) over the cycles. In contrast to the initially adherent patients, the fractions of initially non-adherent patients exhibiting a daily adherence \( \geq 90\% \) and a daily intake adherence \( \geq 90\% \) did not exhibit major differences.

Median daily adherence increased from 85.7% in cycle 1 to 97.6% in cycle 6 (see figure 4). Mean daily adherence accounted for 80.8% during the first cycle and was found to be greater than 90% during the application of the adherence support module (see online supplementary table B). Adherence varied widely between patients but also from cycle to cycle in the same patients. Online supplementary figure B shows individual daily adherence profiles of initially non-adherent patients during the course of the study calculated for intake plus rest period.

Potential predictors of adherence
There was no indication of an existing relationship between patients’ daily adherence during the first cycle and their age (Spearman’s \( r=0.009, p=0.941 \)) or gender (\( p=0.891, \text{Mann-Whitney-U test} \)). In addition, there was not any significant association between daily adherence and any further sociodemographic and disease-related characteristics.
Figure 4  Daily adherence of initially non-adherent patients during cycle 1–6 (the median is represented by the black band in every box; bottom and top of each box are the first and third quartiles; circles are 1.5–3 times the box height away from the box; stars are >3 times the box height away from the box).

Persistence
All study patients were persistent during the whole period they were prescribed capecitabine chemotherapy. No patient performed an unauthorised discontinuation of his capecitabine treatment.

However, in 17 of the 58 (29.3%) initially adherent patients capecitabine therapy was discontinued prematurely by the physicians. In 12 patients this decision was taken due to tumour progression. Further reasons for therapy discontinuation were adverse drug reactions (hand-foot syndrome and haemolytic anaemia), hospital admission, the toxicity of a coadministered drug and the patient’s wish to stop treatment. Thirty-six (62.1%) patients completed six cycles as planned, two patients (3.4%) completed less than six capecitabine cycles as planned, one patient (1.7%) died after the completion of the third cycle and two patients quit their study participation during the second cycle.

In 5 of 15 (33.3%) initially non-adherent patients capecitabine therapy was discontinued prematurely due to tumour progression. Eight patients (53.3%) completed six capecitabine cycles as planned, one patient (6.7%) completed five cycles as planned and one patient died during the second cycle.

DISCUSSION
In this study, we applied a systematic screening for non-adherent patients at an early stage of their capecitabine chemotherapy to provide a patient-tailored modular medication management. The results indicate that specific adherence support might improve adherence of initially non-adherent patients to capecitabine and that initially adherent patients’ medication taking behaviour persists over time under basic pharmaceutical care and adverse event management.

Sample size of initially non-adherent patients
A major limitation of our study is the relatively small number of initially non-adherent patients. Instead of the required sample size of 30 initially non-adherent patients, only 15 patients could be enrolled during the study period. Previous data suggested a distribution of 60% initially adherent and 40% initially non-adherent patients.25 The actual distribution within our patient population was 80–20%. This has to be considered before interpreting data of the initially non-adherent patients. However, a clear trend towards an improved adherence over time was observed. Further multicentre studies are needed to provide better generalisable findings.

Adherence screening
For the classification of patients as initially non-adherent or adherent, we used daily adherence of the first drug-intake period plus the first day of the therapy-free interval assessed by MEMS. Consideration of the whole capecitabine cycle would have provided a more complete picture of the participant’s adherence during the first cycle. However, this was not feasible. To initiate adherence support before the start of cycle 2, an exact appointment on day 21 of the first cycle for group allocation would have been necessary. A belated start of the adherence supporting module would have biased the results of initially non-adherent patients.

Our approach using the gold standard of adherence assessment was suitable to discriminate between adhering and non-adhering patients. In theory it would be less costly and labour intensive to identify non-adhering patients alternatively by means of possible predictors, for example, by a specific questionnaire. In general, numerous factors associated with non-adherence to oral anticancer drugs have been identified like, for example, side effects, forgetfulness or disliking aspects of treatment.20 30 On the basis of our data, it was, however, not possible to derive significant information on adherence from sociodemographic or disease-related characteristics, for example, age. Indeed, we observed that the three patients exhibiting the lowest baseline adherence during cycle 1 (28.6%, 57.1% and 64.3%) were of a relatively old age (90, 75 and 79 years). However, from this result it cannot be concluded that adherence decreases with increasing age as there were also elderly patients exhibiting high adherence. Our findings are in line with the findings of Partridge et al1 who did not find an association of adherence and age. Furthermore, Bhattacharya et al did not identify significant associations between self-reported adherence to capecitabine and experience of side effects, beliefs about capecitabine or satisfaction with information. However, the generalisability of that study was also limited by a relatively small sample size.24 Therefore, larger multicentre studies are...
necessary to identify precise predictors of non-adherence to capecitabine.

**Effect of modular medication management**

Adherence rates in our study were higher than those reported by Partridge et al. who found an average overall adherence measured by MEMS (defined as the number of doses taken divided by the number of doses prescribed) between 70% and 80%. Analysing our data the same way, overall adherence values ranged between 98.2% and 100.5% in initially adherent patients and between 93.8% and 102.7% in initially non-adherent patients. High adherence results in this study might be explained by the fact that every participant of the present study received two pharmaceutical care modules during all six cycles. Regardless the specific adherence support, elements of module 1 and 2, such as an individual medication plan and patient counselling regarding prophylaxis, detection and treatment of adverse effects, might have had a beneficial effect on adherence of initially adherent as well as initially non-adherent patients as shown previously.

However, in case of initially non-adherent patients, the provided adherence support might have increased adherence additionally. This finding is consistent with previous results from our working group. Under the provision of intensified pharmaceutical care to 48 patients with breast and colorectal cancer, the intervention group showed an increased mean overall adherence in comparison with the control group. In line with previous results non-persistence did not present a problem in our group of patients.

**Daily adherence versus daily intake adherence**

Daily adherence during the intake periods of each cycle was generally lower compared with daily adherence calculated on the basis of drug intake plus rest period. This implies that adherence to the regimen was better in the rest period when the drug should not be taken, that is, not many patients took the drug by mistake. However, 8 of 15 (53.3%) patients took capcitabine 1 day too long, too short or completely ignored the break. From this finding we conclude that special attention has to be paid to the change of drug-intake days to drug-free days in the first capcitabine cycle. Patients have to be educated in detail regarding this particularity of the capcitabine treatment regimen. The attending healthcare provider should inform the patient exactly on the dates of the intake-free period. Written notes can serve as mnemonic devices. Future studies should facilitate the development of appropriate adherence parameters in order to improve the reflection of the longitudinal aspect of adherence data.

**Adherence management**

Even though daily adherence could be improved in initially non-adherent patients, it has to be pointed out that this patient population did not reach the same adherence level as initially adherent patients. Moreover, interindividual variability of adherence was higher. This finding suggests that a subgroup of patients with low adherence benefits from the adherence-enhancing intervention as suggested by Simons et al. However, a certain number of patients cannot be reached and reveals a resistant medication taking behaviour. Reasons for intentional non-adherence in those patients were difficulties in swallowing tablets due to nausea and emesis caused by capcitabine (despite the provision of antiemetic prophylaxis and treatment), averseness to medication or ‘compensating’ intake for previous non-adherence during treatment break. Unintentional non-adherence was mainly based on forgetfulness. Further research should include a systematic approach to develop strategies for adherence management in those ‘resistant’ patients. The adherence of intentionally non-adherent patients could be enhanced by means of advanced educational interventions. Behavioural interventions such as medication dosette boxes or alarm clocks could be used more extensively in the adherence enhancement of unintentionally non-adherent patients.

**CONCLUSIONS**

In summary, the results of this study demonstrate the potential of an early adherence screening for non-adherence and an individually applied modular medication management to use limited resources most efficiently. The provided adherence support is associated with enhanced adherence of initially non-adherent patients to oral chemotherapy. Moreover, the provision of basic pharmaceutical care and adverse event management was sufficient to maintain adherence in initially adherent patients for at least six cycles. The identification of potential predictors of adherence would facilitate the utilisation and broad application of the proposed adherence screening and modular medication management.

**Acknowledgements** We thank all patients who were willing to participate in our study. Furthermore, we thank Regina Moka and Friederike Schroeder as well as all oncologists and nurses who supported the conduction of our study.

**Contributors** LK and UJ conceived the study design, substantially contributed to data analysis and interpretation, and drafted the manuscript. LK, YDK, PFS and CS were involved in the data collection and provision of multidisciplinary patient care. RF contributed substantially to statistical data analysis and interpretation. UJ is the guarantor. All authors critically reviewed the manuscript and gave their final approval for the version to be published.

**Funding** A supplementary grant was provided by Roche, Basel. However, the researchers were entirely independent during all phases of this work.

**Competing interests** None.

**Patient consent** Obtained.

**Ethics approval** This study was conducted with the approval of the ethics committee of the University of Bonn, Germany (consecutive number 042/09).

**Provenance and peer review** Not commissioned; externally peer reviewed.

**Data sharing statement** Extra data is available by e-mailing Ulrich Jaehde (u.jaehde@uni-bonn.de). All authors, external and internal, had full access to all of the data (including statistical reports and tables) in the study and can
take responsibility for the integrity of the data and the accuracy of the data analysis.

Open Access. This is an Open Access article distributed in accordance with the Creative Commons Attribution Non Commercial (CC BY-NC 3.0) license, which permits others to distribute, remix, adapt, build upon this work non-commercially, and license their derivative works on different terms, provided the original work is properly cited and the use is non-commercial. See: http://creativecommons.org/licenses/by-nc/3.0/

REFERENCES

Adherence management for patients with cancer taking capecitabine: a prospective two-arm cohort study

Linda Krolop, Yon-Dschun Ko, Peter Florian Schwindt, Claudia Schumacher, Rolf Fimmers and Ulrich Jaehde

BMJ Open 2013 3:
doi: 10.1136/bmjopen-2013-003139

Updated information and services can be found at:
http://bmjopen.bmj.com/content/3/7/e003139

These include:

Supplementary Material
Supplementary material can be found at:
http://bmjopen.bmj.com/content/suppl/2013/07/18/bmjopen-2013-003139.DC1

References
This article cites 29 articles, 7 of which you can access for free at:
http://bmjopen.bmj.com/content/3/7/e003139#BIBL

Open Access
This is an Open Access article distributed in accordance with the Creative Commons Attribution Non Commercial (CC BY-NC 3.0) license, which permits others to distribute, remix, adapt, build upon this work non-commercially, and license their derivative works on different terms, provided the original work is properly cited and the use is non-commercial. See: http://creativecommons.org/licenses/by-nc/3.0/

Email alerting service
Receive free email alerts when new articles cite this article. Sign up in the box at the top right corner of the online article.

Topic Collections
Articles on similar topics can be found in the following collections

Health services research (1407)
Medical management (221)
Oncology (406)
Patient-centred medicine (454)
Pharmacology and therapeutics (430)

Notes

To request permissions go to:
http://group.bmj.com/group/rights-licensing/permissions

To order reprints go to:
http://journals.bmj.com/cgi/reprintform

To subscribe to BMJ go to:
http://group.bmj.com/subscribe/