BMJ Open Exploration of recovery of people living with severe mental illness (SMI) in low/ middle-income countries (LMICs): a scoping review

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ABSTRACT

Objective To examine the literature on how recovery of people with severe mental illness (SMI) is conceptualised in low/middle-income countries (LMICs), and in particular what factors are thought to facilitate recovery.

Design Scoping review.

Data sources and eligibility We searched 14 electronic databases, hand searched citations and consulted with experts during the period May-December 2019, Eligible studies were independently screened for inclusion and exclusion by two reviewers. Unresolved discrepancies were referred to a third reviewer.

Data extraction and synthesis All bibliographical data and study characteristics were extracted using a data charting form. Selected studies were analysed through a thematic analysis emerging from extracted data.

Results The Preferred Reporting Items for Systematic Reviews and Meta-Analyses flow diagram offers a summary of the results: 4201 titles, 1530 abstracts and 109 fulltext articles were screened. Ten articles were selected for inclusion: two from Turkey, two from India, and one each from China, Swaziland, Indonesia, Egypt, South Africa and Vietnam. Although most studies used qualitative methods, data collection and sampling methods were heterogeneous. One study reported on service provider perspectives while the rest provided perspectives from a combination of service users and caregivers. Three themes emerged from the data analysis. First, studies frame recovery as a personal journey occurring along a continuum. Second, there was an emphasis on social relationships as a facilitator of recovery. Third, spirituality emerged as both a facilitator and an indicator of recovery. These themes were not mutually exclusive and some overlap exists.

Conclusion Although there were commonalities with how high-income countries describe recovery, we also found differences in conceptualisation. These differences in how recovery was understood reflect the importance of framing the personal recovery concept in relation to local needs and contextual issues found in LMICs. This review highlighted the current sparse evidence base and the need to better understand recovery from SMI in LMICs.

INTRODUCTION

Mental, neurological and substance use (MNS) disorders are significant contributors to the global burden of disease, including

Strengths and limitations of this study

- A comprehensive search strategy was used which allowed the literature to be mapped as a basis for further exploration of the concept of recovery in low/ middle-income countries (LMICs) so as to inform research, policy and practice.
- The review aimed to analyse conceptual and theoretical underpinnings of recovery from severe mental illness (SMI) as a construct in LMICs and as such interventions and intervention outcomes were not focused on.
- ▶ Data synthesis was limited to full-text articles available in English only and published between January 1993 and December 2019.
- Grev literature and unpublished studies not available in English were excluded from the scoping review and this practical decision means that potentially relevant papers were excluded.
- Future reviews should search the grey literature extensively as there are non-government organisations active in providing recovery-oriented support and care to people with SMI in LMICs but do not publish in the peer-reviewed literature.

severe mental illness (SMI). The lifetime prevalence of SMI ranges between 1% and 4%. Although this is relatively low in comparison with the prevalence of common mental disorders, people with SMI require complex, long-term interventions.2 Historically, most resources to respond to MNS were allocated to specialised psychiatric hospitals, with limited provision of mental health services at primary levels of care.³

Deinstitutionalisation initiatives in highincome countries (HICs) shifted people out of institutions to community living. The goal of deinstitutionalisation was to promote social inclusion for people with MNS disorders, a goal which remains in progress in low/ middle-income countries (LMICs). The drive to decentralise services has led to an increase



in premature discharge rates, shorter hospital stays and repeated relapses. ⁴⁻⁶ This is exacerbated by a scarcity of community-based resources ⁷ to address the psychosocial needs of service users. ^{3 4 8}

Personal recovery for people with SMI is conceptually distinct from clinical recovery which places more value on medication adherence and symptom remission. At a time when having a diagnosis of SMI was highly stigmatised and the clinical prognosis was poor, the recovery model was initiated by the mental health consumer and survivor movement in HICs. 11 The notion that recovery was impossible for people with SMI was challenged by people living with schizophrenia, some of whom went on to lead meaningful lives post-deinstutionalisation. 12 13

Psychiatric rehabilitation has highlighted the need to manage the functional and disabling consequences of SMI. However, Deegan (a mental health professional and person living with schizophrenia) says that people do not 'get rehabilitated' by others, instead they are active participants in their journey to recover new and valued personal meaning and purpose. Personal recovery thus requires a shift beyond treating symptoms to the development of meaning and purpose across the lifespan. 61415

Recovery means different things to different people, consequently there are multiple interpretations and definitions of recovery. For instance, 17 studies included in a review on the meaning of recovery among people living with schizophrenia, 16 described recovery as both a process and an outcome with multidimensional indicators. Recovery depended on perceptions related to social support, absence of symptoms, minimal to no relapse, regaining regular functioning and resuming responsibilities. This review found that the process of recovery was influenced by acceptance of illness, developing meaning in life, gaining coping mechanisms and regaining functional abilities. 16-18 The complexity embedded in viewing recovery as a process and an outcome with multidimensional indicators has been acknowledged elsewhere. 19-21 Although recovery cannot be defined in a single way, cognisance must be taken that various elements of recovery identified might be common to people's understanding of the experience. Further to this, scholars recommended that clinicians, caregivers and researchers conduct more research to qualitatively explore personal narratives of recovery, develop tools needed to measure recovery and design community-based recovery-oriented services. 16

Most of the research on personal recovery has emerged from a HIC perspective, with little attention given to describing personal recovery from LMIC perspectives. Of the 17 studies in the aforementioned review, ¹⁶ the majority (13) were from HICs (n=13). Similarly, all 97 papers included in a systematic review and narrative synthesis of models of personal recovery ²² originated from just 13 HICs. However, some studies did include ethnic minorities. The value of including perspectives from LMICs and from diverse cultural settings is reflected in the richness and additional themes that emerged from

the studies that included ethnic minorities. These additional themes included stigma, spirituality, culture and the collectivist aspects of recovery. 22 Given that recovery is influenced by social, cultural, political, economic factors and respect for human rights, recovery in LMICs is likely to be influenced by sociocultural context. 23 Consequently, a synthesis of the current body of evidence regarding recovery from SMI of people living in LMICs is warranted so as to offer an alternate perspective to the literature predominantly emanating from HICs; this may allow for more diverse perspectives to emerge. 18 24–26 This scoping review thus sets out to explore and report on how recovery is described, and the factors that influence personal recovery of people with SMI in LMICs.

METHODS

The protocol for this review²⁷ (online supplemental file 1) provides full details on the methods followed, and the protocol title was registered with the Joanna Briggs Institute. Following Arksey and O'Malley's²⁵ methodological framework, this scoping review comprised six iterative stages, namely: (1) identification of the review question; (2) identification of relevant studies; (3) selection of studies; (4) charting the data; (5) collating, summarising and reporting of results; and (6) consultation with stakeholders. The Preferred Reporting Items for Systematic Reviews and Meta-Analyses for scoping reviews checklist was used as a reporting standard for documenting the process and results.²⁵ ²⁸

Stage 1: identify the research question

We developed a broad research question for our literature search, namely, 'What is known about recovery from SMI in LMICs?'

Stage 2: identify the relevant studies

A detailed description of the inclusion and exclusion criteria for study selection has been published²⁷ (online supplemental file 1). Only studies published between 1 January 1993 and December 2019 were included. This covers the roughly 25 years of scholarship since Anthony's seminal work on defining personal recovery from mental illness.¹⁴

To assist us with accessing relevant publications, we consulted two mental health service providers working in the field of recovery while conducting an electronic search. A comprehensive search strategy²⁷ was developed by the first author in collaboration with two librarians (MS and DB). The search strategy and filtering methods were tested using preliminary search terms to comply with searches across different databases. The main filtering methods related to the date range (January 1993–December 2019) (online supplemental file 2).

Databases described in the protocol were searched.²⁷ Grey literature sources were also pursued through Google Scholar searches, contacting study authors and connecting with key personnel involved in recovery-focused

programmes in LMICs. Reference lists of included fulltext papers were hand searched for additional sources. Policy documents, conference abstracts, reviews, opinion pieces and commentaries were excluded although they were reviewed to identify published literature.

Stage 3: literature selection

We followed two independent screening levels for selecting studies for inclusion: (1) title and abstract review; and (2) full-text review. For the first level of review, all citations from the database searches were downloaded into EndNote²⁹ and duplicates were removed. Thereafter, all citations were imported into Rayvan OCRI software³⁰ which allowed reviewers (FG and ZS) to screen and select titles and abstracts independently according to the inclusion and exclusion criteria. Inter-rater agreement was assessed by calculating Cohen's kappa. A score of 0.89 was attained, suggesting good inter-rater reliability.³¹

One hundred and nine articles (n=109) were selected for full-text review and assessed to determine if they met criteria for study inclusion. Disagreements on study selection were minimal (n=10) and based on interpretations of the outcome, diagnosis or intervention type described in the article. Disagreements were resolved through consensus seeking with a third rater (KS). At this level of screening, inter-rater agreement was calculated as 0.86. Figure 1 summarises the literature search and selection process.

Stage 4: charting the data

All 109 articles selected for full review were read. The two reviewers (FG and ZS) independently extracted and summarised data based on Joanna Briggs Institute data extraction template.²⁴ ²⁵ ³² Extracted information included: (1) study title, (2) author, (3) year of study, (4) country, (5) study population, (6) participant

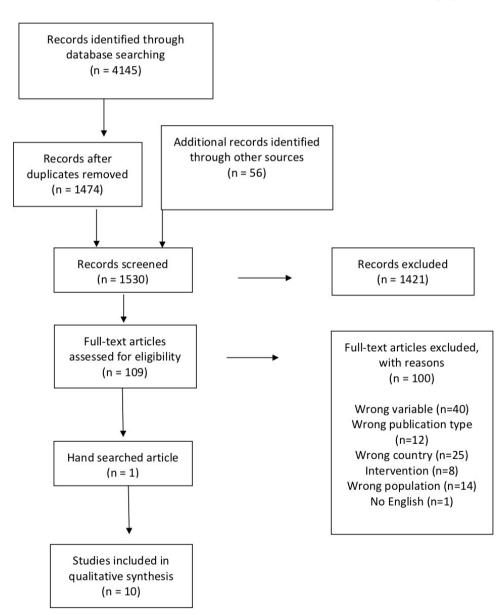


Figure 1 Flow diagram: Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA)/PRISMA extension for scoping review.

characteristics, (7) number of participants, (8) gender distribution, (9) research question and aim, (10) study methodology, (11) study description, (12) data collection methods, (13) data analysis, (14) outcome measures, (15) results, (16) summary of findings, and (17) definitions and conceptual links of recovery. Online supplemental file 2 provides information on the questions applied when charting the data. To ensure rigour, there was flexibility regarding emerging categories for data extraction. Ongoing consultation with the team occurred throughout the process.

The Mixed Methods Appraisal Tool V.2018³³ and the Critical Appraisal Skills Programme qualitative checklist³⁴ were used to assess the quality of the included studies. Additionally, we assessed compliance to ethical standards as part of the quality appraisal process. Indicators included (a) obtaining human research ethics before study commencement, (b) documentation of consent to participate and (c) management of vulnerability given that the focus was on people living with an SMI.

Stage 5: collating, summarising and reporting the results

Collated data were extracted and summarised into a descriptive and narrative synthesis of study characteristics that addressed the review questions. Data were summarised to answer the following questions from LMIC settings: how recovery is conceptualised; what the descriptors of recovery are and what influences recovery. FG completed a qualitative data-driven thematic analysis where findings were coded in qualitative software as recommended by Levac *et al*. using QSR's International NVivo V.11 data analysis software. The coding process was recorded in a memo and reviewed by ZS and KS. All authors contributed to reporting the summarised results.

Stage 6: consultation

During each stage of the review process, the authors consulted with an advisory committee. This advisory committee consists of the research team and key stakeholders including mental health service providers and service users from the public health and non-profit organisation sectors. This committee was constituted from the onset of the project and some members are part of a preexisting group of public mental health specialists. The advisory committee was consulted to gain their opinion on the relevance of the review. They guided access to grey literature, offered their perspectives on the data extraction and charting process, and reviewed the findings. We included the inputs of an advisory committee in this review to enhance its relevance and support collaborative efforts to facilitate consumer participation and public involvement in mental health research.^{32 35}

Patient and public involvement

There was no direct patient involvement in this scoping review. Mental health service user representatives were involved in designing the scoping review protocol and were part of the advisory committee.

RESULTS

Search findings

Of the 1530 articles originally identified, 109 articles were selected for full-text review. Ten were deemed relevant to the research question. Figure 1 outlines the study selection and exclusion process.

The results are presented in two sections: (1) a summary of characteristics and quality of the included studies, and (2) the results of the thematic analysis.

Description of included studies

Table 1 summarises the 10 studies included in this review. All studies were published between 2014 and 2019 and were conducted in: Turkey (n=2), India (n=2), China (n=1), Swaziland (n=1), Indonesia (n=1), Egypt (n=1), South Africa (n=1) and Vietnam (n=1). According to the World Bank classification system, this covered the range of LMICs. Four studies were conducted in upper middle-income countries, one in a middle-income country and five in lower middle-income countries.

The studies followed different study designs (qualitative: n=8; quantitative n=2). Qualitative studies were guided by the following approaches: grounded theory (n=1), phenomenology (n=3), ethnography (n=2) and qualitative descriptive (n=2). In all the qualitative studies, data were collected through semistructured and unstructured interviews. Five studies focused solely on mental health service users (MHSUs) perspectives, 37-41 one described psychiatrists' perspectives, 42 and three offered a combination of MHSU and family members' perspectives. 43-45 One study reported on multiple stakeholder perspectives by including a combination of MHSUs, family members, community members and healers. 46 Although there was heterogeneity across studies in terms of participants, MHSUs' perspectives were central to conceptualising recovery in most studies.

All participants were adults and the majority of studies focused on a diagnosis of schizophrenia (n=8), while two studies looked at first episode psychosis. ⁴¹ ⁴⁴ The age of participants varied between 21 and 70 years, participants had been living with an SMI for between 1 and 40 years and recovery was described as occurring over time. ^{37–40} ⁴³ ⁴⁵ The participant's gender profile was described in six studies. ^{37–40} ⁴³ ⁴⁵ In studies where the gender profile was reported, more men were included in the service user groups with the exception of one study which had more women. ³⁹ One study included only female service users. ⁴⁰ In the two quantitative studies, the majority of caregivers were women.

MHSUs in all of the studies were recruited either directly or indirectly from psychiatric services (n=7) or schizophrenia associations (n=2), with inclusion criteria stipulating a Diagnostic and Statistical Manual of Mental Disorders or International Classification of Diseases, Tenth Revision coding diagnosis. Two studies stipulated that medication adherence was required for participation in the study.^{37 38}



Table 1 Studies included in the review (n=)					
	Authors (year)	Aim(s)	Country; setting according to World Bank classification; recruitment site	Design and methods	Participants
1	Chen <i>et al</i> (2018) ⁴⁵	To develop an instrument to examine the opinions of consumers and their family members regarding autonomous decision-making in family matters	Chengdu, China Upper middle-income country Psychiatric hospital	Quantitative Descriptive	MHSUs: n=182 Women: n=85 Men n=97 Family members: n=182 Women: n=103 Men: n=79
2	de Wet <i>et al</i> (2015) ⁴¹	To investigate how MHSUs with first episode psychosis experience their recovery	Cape Town, South Africa Upper middle-income country Psychiatric hospital research unit	Qualitative Descriptive	MHSUs: n=7 Gender not reported
3	Gandhi <i>et al</i> (2019) ³⁹	To explore patients' perspectives about factors affecting recovery from schizophrenia	Bengaluru, India Lower middle-income country Psychiatric hospital (outpatient department)	Qualitative Grounded theory	MHSUs: n=18 Men: n=7 Women: n=11
4	Gopal <i>et al</i> (2019) ⁴³	To identify clients and family members' perspectives of what constitutes recovery from schizophrenia in the Indian context and to examine gender differences in recovery indicators	Chennai, India Lower middle-income country Psychiatric hospital (outpatient department)	Quantitative Descriptive	MHSUs n=100 Men: n=55 Women: n=45 Caregivers: n=80 Men: n=43 Women: n=37
5	Guner (2014) ³⁷	To understand patients' views and experiences of schizophrenia	Istanbul, Turkey Upper middle-income country Schizophrenia association	Qualitative Descriptive	MHSUs: n=9 Men: n=8 Women: n=1
6	Humphries et al (2015) ⁴²	To understand psychiatrists' views on outcomes for people with schizophrenia in a developing country	Danang, Hanoi, Ho Chi Minh City and Hue, Vietnam Middle-income country Public hospitals	Qualitative Descriptive	Psychiatrists: n=15
7	Nxumalo Ngubane et al (2019) ⁴⁰	To explore the experiences and meanings of recovery for Swazi women living with schizophrenia	Swaziland Lower middle-income country Psychiatric hospital (outpatient department)	Qualitative Phenomenological	MHSUs: n=15 Women only
8	Rashed (2015) ⁴⁶	To explore the use of spirit-based frameworks to take control over symptoms in their cultural contexts		Qualitative Ethnographic	MHSUs: n=2 Men: n=1 Women: n=1

Continued

Tal	Table 1 Continued				
	Authors (year)	Aim(s)	Country; setting according to World Bank classification; recruitment site	Design and methods	Participants
			General hospital with psychiatric service		Family members: n=not specified
					Healers: n=11
					Community members: n=56
9	Soygur et al	To identify the factors contributing to recovery, as observed from the perspectives of patients with schizophrenia working at a supported employment project	Ankara, Turkey	Qualitative Phenomenological	MHSUs: n=24
	(2017) ³⁸		Upper middle-income country		Men: n=15
			Schizophrenia association		Women: n=9
10	Subandi (2015) ⁴⁴	To explore participants' experience of illness and recovery in a Javanese cultural context	Yogyakarta, Java	Qualitative Ethnographic	MHSUs: n=7
			Lower middle-income country		Family members: n=not specified
			Psychiatric hospital		

MHSUs, mental health and substance users.

Themes from included studies

Table 2 provides a summary of the key findings of each of the 10 included studies. The thematic analysis yielded three themes describing recovery as a personal and complex social process involving healthcare providers, family and community members. ^{37–43} ⁴⁵ ⁴⁶ These themes, namely (1) recovery is a personal journey occurring along a continuum, (2) aspects of social relationships supportive of recovery, and (3) finding meaning and hope through spirituality and religion are elaborated below.

Recovery is a personal journey occurring along a continuum

The majority of studies included in this review conceptualised recovery as an ongoing, non-linear process rather than as an event with a finite end. ^{37–40} ⁴³ ⁴⁴ ⁴⁶ The age at onset of illness and duration of illness was highlighted. ^{37–40} ⁴³ ⁴⁵ ⁴⁶ as being a signal of when the recovery process began. Onset of illness was usually noticed by family members first and they facilitated health-seeking behaviour on behalf of the MHSU.

Some studies 40 42 44-46 defined the starting point for the recovery process as being from the time that people were back in their homes and communities and not from the start of their admission to hospital. This was confirmed by the methodological decisions taken in all of the included studies to not include inpatients or make reference to inpatient services as facilitating recovery. One study described an outpatient service where the programme included psychosocial skills training, family and group therapy sessions, and group outings. This is notable that recognising symptoms as cues of relapse was not explored since it appeared that the emphasis was on managing symptoms within the home and community settings after the onset of illness. This approach occurred

after a diagnosis had been established for affected MHSUs. $^{40\,42\,44-46}$

Since the process of recovery was described from the point of discharge, coping strategies related to managing symptoms of their illness and their reintegration within their families and communities emerged as critical facilitators to recovery. MHSUs needed strategies to facilitate this recovery process. Strategies identified in the studies included the development of self-awareness; being able to self-regulate; spirituality including prayer; attending to basic needs; understanding the mental illness; having purpose and hope for the future; gaining a sense of autonomy; engagement in meaningful occupations; contributing to community and adopting a positive attitude to living with a mental illness. 37-41 43 45 46 The studies did not describe the development of these coping strategies. It was also noted that learning to self-manage facilitated the recovery process. ^{37 40 44} Key aspects that MHSUs had to learn to self-manage included their temperament, learning to live with and derive meaning from having a mental illness and being hopeful for their future.

There was a lack of consensus across the studies regarding the use of medication as an indicator of recovery. Some studies emphasised treatment adherence as the first step towards recovery, Table 10 while others viewed the choice to stop taking medication as an indicator of recovery. When describing recovery as it relates to the medical model and clinical recovery, studies appeared to focus on the individual and described contemporary treatment as including a combination of access to medication, health personnel, mental health services at health facilities, and psychosocial interventions. According to Rashed, clinical



Table 2 Summary of findings

Title	author	Vear

 $(2018)^{46}$

Title, author, year

Family Decision Making and Self-**Determination Among Consumers** With Schizophrenia in China: Cross-

Cultural Implications. Chen et al

Key findings

- ▶ Autonomy in decision-making contributes to the MHSUs functioning during recovery.
- ▶ In Asian contexts, it is accepted practice that family members make decisions on behalf of the MHSUs especially with regard to money management and community and daily living.
- Decisions related to psychiatric care are often deferred to health professionals.
- The concept of autonomy for MHSUs includes situations where they endorse and agree with decisions made by family members on their behalf.
- Hearing their voices: The lived experience of recovery from first episode psychosis in schizophrenia in South Africa. de Wet et al (2015)4
- Caring for others and being cared for was identified as the biggest contributor to recovery of persons with schizophrenia.
- Spirituality was seen to build resilience more so than adherence to medication.
- Understanding the mental illness and managing associated stigma influenced disclosure, especially at work.
- Perspectives of consumers in India on factors affecting recovery from schizophrenia. Gandhi et al (2019)39
- MHSUs in India indicated that recovery was affected by facilitators and barriers related to individual, familial and societal influences.
- Holding valued roles in a supportive family structure facilitated the recovery of MHSUs.
- ▶ MHSUs reported that spirituality and their engagement in prayer and religious rituals anchored them and contributed towards their recovery.
- Engaging in activities with others was important for MHSUs as they reported that having meaningful social relationships helped them combat loneliness.
- Barriers to recovery included side effects of medication, inconsistent treatment approaches and religious beliefs which delayed access to treatment.
- What constitutes recovery in schizophrenia? Client and caregiver perspectives from South India. Gopal et al (2019)43
- Recovery according to caregivers and MHSUs entailed being symptom free; being able to work and being independent.
- Recovery was equated with no longer needing medication.
- Recovery was based on MHSUs' subjective feelings and experiences and not on the opinions of family members and health professionals.
- Illness perception in Turkish schizophrenia patients: A qualitative explorative study. Guner (2014)³⁷
- Recovery was variably defined and included a lack of symptoms; being able to work; getting married and having opportunities to become part of community networks.
- ► MHSUs took ownership for their own personal recovery by engaging in meaningful occupations, hobbies and religious practices including prayers.
- In Turkey, almost all MHSUs with schizophrenia live with their families.
- For some, having a supportive family facilitated recovery, but recovery was hindered when the family was overprotective.
- ► Recovery was further hindered by difficulties accessing mental health services.
- MHSUs did not disclose their mental illness for fear of stigma.
- Psychiatrists' perceptions of what determines outcomes for people diagnosed with schizophrenia in Vietnam. Humphries et al (2015)42
- ▶ Access to contemporary treatment was seen as influencing the outcomes for people with schizophrenia. Contemporary treatment is defined as including generic second-generation antipsychotics, staff, facilities and psychosocial interventions.
- ▶ Psychosocial interventions were not described.
- MHSUs feared stigma and this influenced help-seeking behaviour.
- ▶ Psychiatrists reported that in families who hold traditional beliefs, mental illness was attributed to spiritual causes, families access traditional treatment before seeking medical assistance, especially in rural areas.
- ▶ The extended family system allows family members to share the burden of caring for an MHSU, especially in the absence of formal services.

Continued

Tal	Table 2 Continued			
	Title, author, year	Key findings		
		▶ MHSUs were able to access unskilled work in rural parts of Vietnam more readily than MHSUs in urban settings.		
7	The experiences and meanings of recovery for Swazi women living with 'schizophrenia'. Nxumalo Ngubane et al (2019) ⁴⁰	▶ In Swaziland, MHSUs referred to any mental illness as 'an illness of the brain'.		
		► Schizophrenia is not a known term in Swaziland.		
		▶ Diagnostic labels such as schizophrenia were used by health professionals but not shared with MHSUs.		
		► Families and significant others provided emotional and financial support to MHSUs.		
		► The presence of therapeutic rapport between MHSUs and health professionals contributed to personal recovery.		
8	From Powerlessness to Control: Psychosis, spirit possession & recovery in the Western desert of Egypt. Rashed (2015) ⁴⁶	Mental illness was contextualised through spirit-based understanding of the illness and its symptoms.		
		► The relationship MHSUs had with the spirit world was used as a means of describing their recovery journeys.		
		► Clinical recovery was contested as it suggested that mental illness can be cured, whereas personal recovery was described as an ongoing process that did not require medical intervention.		
		► Personal recovery involved MHSUs gaining control over their symptoms.		
		▶ MHSUs were positioned as being actively involved in their recovery rather than as passive recipients of services.		
		► Spirituality was foregrounded through the religion of Islam and Quranic healing in the lives of people with schizophrenia.		
9	Lessons learned from experiencing Mavi at Café (Blue Horse Café)	► This study was located in a therapeutic community and supported employment setting.		
	during six years: A qualitative analysis of factors contributing to recovery from the perspective of schizophrenia patients. Soygur (2016) ⁴⁴	▶ Long-term relational support between MHSUs and stakeholders involved in health service delivery was seen as being central to recovery.		
		► Recovery was promoted through a number of factors which were embedded in supportive relationships and unrestrictive environments.		
		► MHSUs reported that having work gave them a sense of purpose, responsibility and motivation which added meaning to their lives.		
10	Bangkit: The Processes of Recovery	✓ ► MHSUs viewed their recovery in the cultural context in which they lived.		
	from First Episode Psychosis in Java. Subandi (2015) ⁴⁴	▶ Recovery had different aspects to it and involved medical treatment, family care in the home and integration into natural community settings.		
		► MHSUs reported being guided by spirituality which required their active		

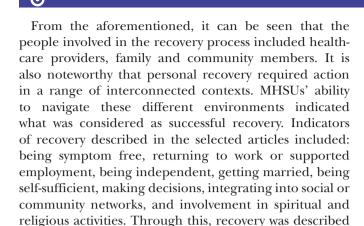
MHSUs, mental health and substance users.

recovery foregrounds biological aspects, implicitly highlighting a curative approach to the illness, often demonstrated through administering medication. However, in exploring psychosis and spirit possession, Rashed⁴⁶ found that recovery was not dependent solely on medication or medical intervention. Instead, recovery was conceptualised as an opportunity to expand personal agency in the way MHSUs respond to their illness, with or without medication.⁴⁶

In the study conducted by Subandi,⁴⁴ the recovery process involved different phases of participation and

integration across social spheres. Recovery as an active process started as MHSUs gained insight into the social isolation that resulted from their mental illness. Social isolation and withdrawal was viewed as the opposite of recovery as it represented inactivity and passivity. Across studies, the effort exerted by MHSUs to expand their social interactions from their immediate physical environment to engaging with family members and other stakeholders in health and community spaces was as an indicator of recovery. 37–41 43–46

participation in the performance of Islamic religious practices.



Aspects of social relationships supportive of recovery

was viewed as an indicator of recovery. 37-46

Across included studies, the perspectives and contributions of healthcare providers, family and community members were identified as crucial to the MHSUs' recovery process. From these studies, it seems that the ways in which these stakeholders engaged with the recovery process potentially facilitated or hindered the recovery journey.^{37–46}

as active participation in daily activities. This participation

Relational support from health professionals was identified as a facilitator of recovery. 37 38 40 41 45 Access to service providers was influenced by the availability of and access to psychiatric treatment. 42 45 Health professionals supported recovery when they communicated clearly, spent time with service users, offered alternative medication options and provided opportunities for engagement in activities. 37 40 On the contrary, the poor continuity of psychiatric care together with limited and brief consultations with a doctor and a focus on access and adherence to medication was seen to limit the recovery process. 37 39 40 46

Sociocultural values and variations in explanatory models of mental illness between the MHSUs and health professionals as well as family members influenced views of recovery. 42 45 46 Family members were more likely to seek help from traditional or spiritual healers before seeking contemporary psychiatric treatment. 42 44 The differences between sociocultural values and explanatory models of mental illness included perceived causes of mental illness, traditional beliefs attributing mental illness to spiritual causes, fear of stigma and community attitudes. For example, discrepancies in the language used to refer to mental illness obscured a common understanding of recovery. 40 MHSUs 40 referred to any mental illness as an 'illness of the brain' (p156) while the diagnostic label of schizophrenia was used by health professionals. For one participant, the voice of her grandmother was soothing to her but unacceptable to her family and health service providers. 40 There was an element of dissonance between how the MHSUs, their families and service providers made sense of the mental illness, with a disconnect occurring around how their symptoms were understood by different parties. 46 When the family, community or health

professionals held different understandings and attitudes towards mental illness, recovery was obstructed.

The studies reported that all participants lived with family members or significant others. ³⁷ ^{39–43} ⁴⁶ Although the complexity of large families was acknowledged,³⁹ 42 the extended family supported recovery through sharing the burden of care in the absence of formal health services. The studies highlighted that family and cultural beliefs along with societal values and expectations were used as indicators of recovery. 39 44 45 These indicators were described in different ways and included whether the individual could take care of or provide for their family as they recovered. 37 39-43 46

Families provided emotional and financial support needed by the MHSUs for daily living during their journey of recovery.³⁷⁻⁴⁴ While the family assumed responsibility for decision-making when the MHSUs were acutely ill, they gave up some of this responsibility as the MHSUs recovered.^{37 45 46} The families' role as stewards of recovery continued in different ways as they provided flexible access to social networks for MHSUs on an ongoing basis. ^{37–44 46} Once MHSUs started engaging in spheres such as personal and social functioning, community and daily living and money management, the family's decision-making in these spheres decreased. 40 45

The importance of social support was recognised as a facilitator of recovery. MHSUs accessed support from different sources which included professionals, family members, therapeutic community networks and supported employment. ³⁷ ⁴² ⁴⁶ Peer support from fellow MHSUs was mentioned once as an important facilitator of recovery.³⁹ Professional support was symptom related, family support involved assisting with tangible aspects such as financial management or with support for community reintegration. Different networks thus facilitated recovery as they provided relational and emotional support to MHSUs.

MHSUs described having social connections and being able to engage in activities alone and with others as key components of personal recovery. 39 43 The MHSUs' social identity and social position in relation to their family, their community and broader society were seen to influence their functioning and social inclusion.^{39 43} Stigma was mentioned as a barrier to recovery but it was not elaborated on in the studies.^{37 40–42}

In four studies, MHSUs reported that being able to work facilitated access to social networks.³⁷ ³⁸ ⁴⁰ ⁴¹ For MHSUs, recovery meant becoming part of a social network where they felt valued and which allowed them to meaningfully contribute to their family and community, whether this was in paid employment or not. 37 38 40 41 One study focused on MHSUs working in a therapeutic community and supported employment setting.³⁸ The emphasis in this study³⁸ was on the relational support and social interactions provided to MHSUs via the supported employment project. While employment was important as it gave MHSUs the opportunity to contribute to the financial situation of the family, its role as a facilitator of social support networks was foregrounded. Recovery as a social process which is influenced positively by collaboration between MHSUs and stakeholders through long-term relational support and encouragement was emphasised.³⁸

Finding meaning and hope through spirituality and religion

Spirituality and religion emerged as resources that the MHSUs and caregivers used for understanding mental illness and the recovery process in 8 of the 10 studies. ^{37 39-42 44-46} Five studies ^{37 39 41 42 45} referred to the significance of spirituality and religion. With regard to religion, Islam was referred to in two studies ^{44 46} and Christianity in one study. ⁴⁰ Across these studies, spiritual and religious practices were seen to build resilience and were identified as contributing to recovery when they were equally valued by MHSUs, their families and the community. ^{37 39-42 44-46}

While in recovery, MHSUs underwent internal spiritual struggles when participating in religious practices outside of their homes. The ways in which this was enacted included directly sharing feelings with God through prayer and not through an intermediary, use of religious rituals to relieve stress; surrendering healing to God's will; resisting spirit possession as an act of faith and personal struggle; and by practically supporting places of worship through engaging in cleaning chores as part of church maintenance. ³⁷ ³⁹ ⁴¹ ⁴⁴ ⁴⁶

MHSUs' relationship with and understanding of the spirit world was seen as an indicator of recovery in one study. 46 Recovery was aided by MHSUs' ability to manage the psychotic symptoms of the mental illness while drawing on the philosophical explanations offered by spirituality. In Egypt, spirit possession featured as part of the experience of psychotic phenomenon and psychosis was not necessarily viewed as a medical problem. 46 According to this study, when MHSUs actively engage in accepted individual and communal religious practices, they are acknowledged as positively navigating personal recovery.

MHSUs reported that spirituality and religious practices provided an anchor for MHSUs and their families as it offered hope for recovery through their own efforts while also having faith and trust in a divine power. Engaging in spiritual practices offered MHSUs structured opportunities to relax, relieve their stress and be hopeful about their future. ^{39 44} Being hopeful about the future required MHSUs to have faith in themselves and their religion as this allowed them to find peace while living with the challenges of having a mental illness. ^{37 40 41 46} Furthermore, spirituality was viewed as a resource which contributed positively to the recovery process when MHSUs used it to instil hope for the future and as a mechanism for making sense of the mental illness.

The views held by MHSUs and their family members regarding the influence of spirituality and religious practices on recovery differed from the views held by health professionals.^{38–40} ⁴² ⁴⁶ In one study, psychiatrists indicated that religious beliefs and practices could hinder the recovery process particularly where symptoms were

attributed to spiritual causes and where traditional treatment was sought before psychiatric treatment. HSUs viewed professional explanations of mental illness as unhelpful when they discounted the role of spirituality or religion in recovery, especially if they used coercion to support their own ideas of recovery. Helping only on medication dispensed by healthcare providers positioned MHSUs as patients and service recipients while adopting a spiritual and cultural interpretation of mental illness, MHSUs were viewed as people who were in control of their illness and who were agents in their own personal recovery process. The focus on clinical recovery and the medical interpretation of mental illness minimised the contribution of spirituality to personal recovery.

DISCUSSION

This review contributes to the growing body of research exploring the meaning of recovery from SMI¹⁵ 16 23 by synthesising qualitative and quantitative research from LMICs. No papers from LMICs fulfilled inclusion criteria before 2014, which suggests that the interest in recovery from SMI has been slower in LMICs than in HICs. The 10 articles selected for inclusion were published between 2014 and 2019 suggesting recent and possibly growing interest in understanding perceptions of recovery in these contexts. While there was heterogeneity in terms of design and setting, all studies endorsed the power of individual narrative accounts of recovery. This review highlighted commonalities but also differences between how studies from HICs and LMICs (1) conceptualise the recovery process; (2) outline the individual, interpersonal and social factors that facilitate or impede recovery; (3) define indicators of recovery; and (4) view the role of spirituality and religion in the recovery process.

Findings suggest that recovery for MHSUs is conceptualised as a personal, non-linear and complex process. While this view is echoed in the literature from HICs, ¹¹ ¹⁶ ²² ²⁶ the starting point of the process differs between HICs and LMICs. In HICs, MHSUs generally have access to specialised inpatient mental healthcare and their recovery journeys were thought to begin pre-discharge. ⁴⁷ In the included studies, none of the MHSUs were inpatients at the time of the study; their recovery journeys were described post-discharge. The conceptualisation of recovery as being non-linear in nature incorporates the frequent occurrence of relapses among this population ⁴ and explains the growing interest in the sociocultural contexts where recovery takes place, ⁴⁸ particularly in LMICs.

Given the variability in sociocultural contexts, a range of individual, interpersonal and social factors were found to facilitate or impede the process of recovery. First, these publications highlighted the role of explanatory models of mental illness including pathways to care and perceptions regarding the use of medication in the process of recovery. Explanatory models of mental illness⁴⁹ provide a framework for understanding SMI and treatment-seeking behaviour in a particular context. Systematic reviews have highlighted



how in many instances traditional healers are often the first point of contact in many LMICs, including African countries. On the literature from HICs, views were mixed regarding the role of clinical recovery emphasising medication adherence. While some studies highlighted the role of medication in facilitating recovery, others emphasised the additional importance of having an awareness of sociocultural and explanatory models of illness as these factors influence MHSUs, caregivers and community members and can either facilitate or impede recovery.

Second, included studies highlighted how recovery requires long-term relational and emotional support provided by family and friends. The included studies did not draw a distinction between Eastern and Western societies but the findings indicate that sociocultural and religious beliefs favour collectivist perspectives which require the involvement of the family throughout MHSUs' recovery journeys. The role of the family in MHSUs' recovery journeys is emphasised differently in studies from HICs and those from LMICs. In HICs, the deinstitutionalisation process shifted care for MHSUs from hospital to community care. This increased the responsibility placed on family members,⁵⁷ but in LMICs access to mental healthcare is a challenge which necessitates that families are involved in the MHSUs' recovery journey from the onset, given the limited availability of community care services. 19

According to several of the included studies, ^{37 39 40 42–45} the family members, including significant others, are responsible for the establishment of supportive living arrangements which involved living with family members, another key facilitator in the process of recovery. These living arrangements seem to reflect norms that are embedded in particular cultures globally and were not viewed as a lack of independence or aspiration. In Western society, gaining independence is seen as an indicator of recovery.¹¹ In contrast, in LMICs, living with supportive family provided MHSUs with opportunities for social cohesion which improved recovery outcomes for MHSUs. 19 58 59 Although supported housing is viewed as a key facilitator of recovery in HICs, 48 60 61 it was not mentioned in any of the included studies described here. Studies in LMICs have examined supported housing for people who are homeless, ⁶² in the absence of family support. Despite the potentially important role that families can play in the recovery of MHSUs, there is limited literature available on how living with family or extended family facilitates recovery in LMICs.⁶³

Similar to HICs, accessing opportunities to build social connectedness and community relationships emerged as a facilitator to recovery. Although research indicates that people with SMI have poorer social connections than the general population, ²² social relationships allow MHSUs to connect with their family, community and work environments. Employment in the skilled or unskilled labour market was found to promote personal recovery of people with SMI. ^{64–68} Although MHSUs have difficulty accessing employment opportunities, in a number of included studies, ^{38 40 42–45} the ability to work was described

as a significant indicator of recovery as well as a means of accessing social networks and supportive relationships which facilitated recovery.

Third, spirituality emerged not only as an important facilitator of the recovery process, but a significant indicator of recovery for MHSUs in LMICs. This is in keeping with a review on religion, spirituality and mental health⁶⁹ in HICs and LMICs which concluded that engagement in religious practices was a facilitator of recovery. On an individual level, religion was seen as a source of emotional support, 70 but the influence of religion on family and cultural perspectives and practices, even for ethnic minorities in HICs, was not reported on in this review. Included studies in the current review described community religious groups as accessible social networks which provide support to MHSUs and their families.⁷¹ As a facilitator of recovery, spirituality was also seen as a way of finding meaning and hope for MHSUs and their families through the rituals and social connections embedded in practice. 56 72

The findings of this scoping review need to be considered in light of some limitations. The review aimed to analyse conceptual and theoretical underpinnings of recovery from SMI in LMICs, as such interventions and intervention outcomes were not focused on. Data synthesis was limited to full-text articles available in English and published between January 1993 and December 2019. This practical decision may have led to potentially relevant unpublished papers in other languages being excluded.

CONCLUSION

This review aimed to examine the literature on how recovery of people with SMI is conceptualised in LMICs, and factors thought to facilitate the recovery process. In this review, we found that understanding of recovery overlapped with those from HICs, but there were important differences. Unlike HICs where independence and autonomy were emphasised, social connectedness and interdependence were emphasised as important indicators of recovery in LMICs. ¹⁹ In addition, family support played a much larger role in LMICs than in HICs. Peer-support services from a range of mental health service providers and MHSUs as a strategy for supporting the recovery process are promoted in HICs. 73 Additionally, spirituality emerged as both a facilitator and an indicator of recovery. In HICs, spirituality is rarely considered in the context of recovery, whereas interdependence with the spiritual realm is viewed as an important indicator and facilitator of recovery in LMICs. These differences highlight the importance of assessing whether tools and measures of recovery from SMI, developed in HICs, capture all of the key indicators of recovery that are important for MHSUs living in LMICs. In conclusion, this review highlights the need to expand the recovery concept to be more inclusive of cultural conceptualisations of recovery and illness in LMICs that extend beyond the individual.



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Open access Protocol

BMJ Open Exploration of recovery of people living with severe mental illness (SMI) in lowincome and middle-income countries (LMIC): a scoping review protocol

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ABSTRACT

Introduction The construct of recovery was conceptualised in high-income countries and its applicability in low-income and middle- income countries is underexplored. A scoping review is proposed to synthesise knowledge, review conceptual overlap and map key elements of recovery from severe mental illness in low-income and middle-income countries. We aim to appraise the literature so as to inform future recoveryoriented services that consider the cultural and contextual influences on recovery from severe mental illness.

Methods and analysis The following electronic databases: MEDLINE via PubMed, SCOPUS (which included contents of Embase), PsycINFO, CINAHL, Africa-Wide Information, PsycARTICLES, Health source: Nursing/ Academic Edition, Academic Search Premier and SocINDEX all via the EBSCOHOST platform, the Latin American and Caribbean Health Sciences Literature, the Cochrane Centre Register of Controlled Trials) and grey literature sources will be searched between May and December 2019. Eligible studies will be independently screened for inclusion and exclusion by two reviewers using a checklist developed for this purpose. Studies published between January 1993 and November 2019 that focus on recovery from severe mental illness in a low-income and middle-income country will be included. Findings will be compared and discrepancies will be discussed. Unresolved discrepancies will be referred to a third reviewer. All bibliographic data and study characteristics will be extracted and thematically analysed using a tool developed through an iterative process by the research team. Indicators will be classified according to a predefined conceptual framework and categorised and described using qualitative content analysis.

Ethics and dissemination The review aims to synthesise information from available publications, hence it does not require ethical approval. The results will be disseminated through publications, conference presentations and future workshops with stakeholders involved within the recovery paradigm of mental health policy and practice. The scoping review title is registered with the Joanna Briggs Institute.

INTRODUCTION

Mental, neurological and substance use (MNS) disorders contribute significantly to the global burden of disease. Whiteford

Strengths and limitations of this study

- ► This scoping review allows for the systematic synthesis of knowledge on recovery from severe mental illness in low-income and middle-income countries (LMICs) in a rigorous and methodological manner.
- This review will highlight the diversity of severe mental illness since there is no agreed on criteria for including mental illnesses into this category.
- Knowledge gaps related to recovery as a multidimensional construct in LMICs will be identified.
- Only conceptual and theoretical underpinnings of recovery as a construct in LMIC will be discussed without a focus on intervention outcomes.
- Data synthesis will be limited to full-text articles available in English only and published between January 1993 and November 2019. Grey literature and unpublished studies in Latin America will be affected by the decision to exclude articles not avail-

et all report that MNS disorders account for 10% of disability-adjusted life years (DALYs). However, it has been argued that the true global burden of mental health problems may be underestimated by more than onethird and that DALYs are closer to 13%.2 The lifetime prevalence of severe mental illness (SMIs) ranges between 1% and 4%. Although this is relatively low in comparison to common mental disorders, SMIs are more disabling and require complex, longterm interventions for the person and their family.2 Given the move towards the deinstitutionalisation of people with psychiatric disorders, shorter hospital stays are resulting in premature discharge rates and repeated relapse, creating a revolving door phenomenon. These frequent hospital readmissions are costly to the healthcare system.⁵

In low-income and middle-income countries (LMICs), many people living with an SMI are not detected and as a result do not receive the treatment they need.^{3 6 7} In a service



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utilisation review of WHO member countries, it was found that the lifetime prevalence of mental disorders among adults was between 12% and 49%. The review found the treatment gap to be wide across the psychiatric disorders examined. The treatment gap for schizophrenia and non-affective psychosis was 32%. The gap for other disorders was: major depressive disorder, 56%; bipolar disorder, 50%; and alcohol abuse and dependence, 78%. While present in all countries, this treatment gap is greatest in LMICs where there is a need to scale up mental health services. The scale is a scale up mental health services.

A systematic review on the scaling up of mental health services in LMICs found that in many countries the majority of resources for mental healthcare are rendered on an inpatient or outpatient basis at large psychiatric hospitals, with little provision of services at primary healthcare facilities or via integration between hospitals and the community. Although there are some nascent programmes to provide services for SMI at primary care facilities, these are generally limited because of a poor integration of mental health into primary healthcare. Additionally, while the lack of coordination between sectors providing mental health services has seen some countries, such as India, have made progress in adopting the District Mental Health Programme, the treatment gap continues in rural areas. 9 10

Despite the drive for deinstitutionalisation and for the rendering of services at decentralised locations, in many countries most of the mental healthcare budget continues to be allocated to large psychiatric hospitals. In these hospitals, the treatment approach is primarily through medication to alleviate acute clinical symptoms, giving little to no attention to the psychosocial needs of service users. ^{4 8 11} Unfortunately, the primary focus on clinical recovery and the limited availability of interventions that focus on rehabilitation and personal recovery further exacerbates the revolving door phenomenon and ongoing treatment gap. ^{4 12}

Recovery-oriented approaches offer an extension to medical models of intervention, as they are not solely focused on symptomatic improvement as a marker of clinical recovery.¹³ Personal recovery for people with SMI is conceptually distinct from the present medical definition of recovery, which is clinical in nature and mostly values symptom remission. 14 15 Personal recovery proposes that a person develops new meaning and purpose in their life over the longitudinal course of their illness. 13 16 Psychiatric rehabilitation has highlighted the need to move beyond treating the symptoms of the illness, to treating its functional and disabling consequences as well. 17 Deegan, a mental health professional and person living with schizophrenia, suggested that mental health service users do not 'get rehabilitated' by others, but instead they are active participants in their journey to recover new and valued personal meaning and purpose. 18

The recovery model was initiated in high-income countries (HICs) with the mental health consumer and survivor movement at a time when having a diagnosis of SMI was highly stigmatised and the prognosis was poor. ¹⁸ The idea that people with an SMI cannot recover was challenged by people living with schizophrenia who were leading meaningful lives in their communities after deinstitutionalisation, highlighting that recovery was not dependant on long-term psychiatric treatment. ^{19 20}For a person living with an SMI, receiving a diagnosis and psychiatric treatment focused on clinical recovery is a necessary step towards recovery, but personal recovery is also critical given the cyclical, non-linear, long-term course of the illness. In Anthony's seminal work, ¹⁷ he defined recovery as:

a deeply personal, unique process of changing ones' attitude, values, feelings, goals, skills and/or roles. It is a way of living a satisfying, hopeful and contributing life even within the limitations caused by illness

Research exploring the meaning of recovery among people living with ${\rm SMI}^{21-23}$ found that recovery means different things to different people, supporting a view that there is no definition of recovery that applies to everyone. A literature review conducted in 2018 explored the meaning of recovery among people living with schizophrenia.²¹ This review included 17 studies explaining the subjective meaning of recovery. A majority of the included studies were qualitative, with a few taking a quantitative approach to exploring the meaning of recovery. Of the 17 papers, only four studies were from LMICs (India (n=2), China and South Africa). The results revealed that recovery is complex, non-linear and perceived as both a process and an outcome. The process was viewed as being individual and it was recommended that more consumer perspectives to inform recovery-oriented interventions, which are aligned to individuals' personal goals and aspirations were needed. The complexity embedded in viewing recovery as a process and an outcome with multidimensional indicators has been documented by others. 24-26 Further to this, it was recommended that clinicians, caregivers and researchers explore more qualitative and personal narratives of recovery, the tools needed to measure recovery and the development of communitybased recovery- oriented services.

The aforementioned review was limited to recovery in people living with schizophrenia and only included four studies from LMICs. There is current debate around whether people with SMI in LMICs have a better prognosis and a call to re-examine the realities of living with an SMI amidst social, cultural and economic changes in LMICs.^{27 28}

Recovery has been viewed as a process or an outcome, with current debates and research seeking to offer conceptual clarity. 12 A systematic review conducted by Leamy $et\ al^{12}$ led to a proposed conceptual framework for personal recovery in mental illness. The review focused on 97 papers from 13 HICs and identified characteristics, processes and stages of recovery. While the review included individuals from black and minority ethnic groups, it did not include any LMICs. 12 The CHIME

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framework emerged from this review process. This frameworkconceptualises personal recovery to incorporate the following concepts: connectedness, hope and optimism about the future, identity, meaning in life and empowerment. This highlights that literature on personal recovery is dominated by research conducted in HICs and that there is a gap in understanding recovery from culturally diverse groups. The service of the servi

Research seeking to understand recovery as a process and an outcome is ongoing.³¹ The complexity of the concept and the range of factors impacting recovery has been the topic of recent studies. One such focal area has been on the relationship between homelessness among people living with SMIs and the contribution of stable housing towards recovery.³² ³³ Additionally, a recent exploration of metacognition and recovery from mental illness challenged conventional treatment to embrace the notion that recovery is unique, individualised and selfdirected and that service users need to be active participants in their recovery processes. 26 A call has been made for mental health service users to be partners in conceptualising recovery as a concept and treatment orientation in order to integrate the notion of recovery into mainstream psychiatry.³¹

A scoping review of systematic reviews and meta-analyses conducted by van Weeghel *et al*²⁹ mapped the concept of recovery and its assessment. It found that recovery is a personal process that is dynamic and evolves over time. ²⁹ Although 25 studies were included in the review, only one study was conducted by authors from India. Conducting a scoping review focusing specifically on studies conducted in LMICs will contribute to the literature on recovery in these specific contexts and will be useful for the following reasons. First, little attention has been given to describing recovery as a personal and individual journey from LMIC perspectives. As recovery for the person with an SMI takes place in a social context through a range of relationships, more understanding about how contexts can help or hinder recovery is needed. ³⁴

Second, individual meaning making within recovery is influenced by social, political, economic and human rights factors but further research is needed to understand the diversity of recovery narratives from the perspectives of different population groups. 35 A systematic review and narrative analysis synthesising descriptions and models of personal recovery was undertaken to inform the development of a conceptual framework to guide recovery oriented research and practice. 12 Studies conducted in HIC specifically the USA featured predominantly in the review which included 97 papers. The review culminated in the emergence of a conceptual framework to describe the characteristics of recovery, the processes of recovery and the stages of recovery. The authors reported a difference in studies which included ethnic minorities and where more emphasis was placed on stigma, spirituality, culture and the collectivist nature of recovery. They acknowledged that this area is underexplored.12

Third, in many African cultures, an individual's personhood is manifested through their agency in relation to spirituality, society and self.³⁶ This may impact on the person and how they experience mental illness and recovery. Understanding cultural concepts and the dynamics of interconnectedness means recognising that personhood in LMICs is weaved into how people experience health, illness and recovery.³⁶ Considering the person as a spiritual and social being provides opportunities to collaborate with caregivers and communities in developing appropriate interventions.³⁶ In countries that are spiritually diverse, multicultural and home to people of different religious backgrounds, it is important to contextualise mental illness and explore health professionals own perspectives on culture, psychiatry and mental health and where mental illness must be contextualised.^{37 38} Given the reasons cited previously, further exploration into the recovery journeys of people living with SMI in LMICs and how they view the dimensions of recovery is warranted.²

A scoping review is proposed as a means of synthesising knowledge on a broadly defined topic and systematically mapping key concepts, theories, evidence and research gaps in the topic area, while still being rigorous and methodological in its approach.^{39 40} While systematic reviews and meta-analyses limit their parameters to research trials and quantitative data synthesis, scoping reviews adopt a broader approach to the narrative integration of evidence.⁴¹ We aim to appraise the definitions of recovery and its current utilisation for people with SMI in LMICs. The review findings could be used to inform future practice applications, which consider the cultural and contextual factors impacting on recovery from SMI outside of HICs. This scoping review title is registered with the Joanna Briggs Institute (JBI) although JBI and the International Prospective Register of Systematic Reviews (PROSPERO) do not provide registry numbers for scoping reviews at this time.

METHODS

A scoping review was deemed as the most suitable method to map existing research on recovery from SMI in LMICs because it allows for the topic to be located, examined, summarised and be presented rapidly and systematically. Additionally, it could serve as a precursor to a systematic review. The proposed review will be conducted using the Arksey and O'Malley, scoping review methodology and will be reported on using the Preferred Reporting Items for Systematic Reviews and Meta-Analyses guidelines with an understanding that there is much development as scoping reviews gain popularity especially in health-related topics. 39 44

Arksey and O'Malley⁴⁰ offer a methodological framework for conducting a scoping review. Their approach describes six stages: (1) identification of the research question, (2) identification of relevant studies, (3) selection of studies, (4) charting the data, (5) collating, summarising

sults and (6) consultation with stakestage is optional according to Arksey
the Cochrane Centre Register of Controlled Trials Grey

and reporting of results and (6) consultation with stakeholders. ⁴⁰ The last stage is optional according to Arksey and O'Malley, ⁴⁰ but others have deemed it a necessary step to aide in methodological rigour. ⁴⁵ Recommendations to enhance the methodology at each stage will be incorporated into this review. ³⁹ ⁴³ ⁴⁵

Stage 1: identifying the research question

In order to guide the search strategy an iterative process of discussion among authors occurred in order to develop and refine the research question. The question needed to be clear enough to inform the subsequent stages while still reflecting the scope of inquiry. Figure 3 Given that scoping studies are focused on summarising the breadth of evidence on a given topic the authors refined the exploratory question to ask the following:

What is known about recovery from SMIs in LMICs?

This broad question was developed to allow for a comprehensive review of the literature in order to review and appraise the definition of recovery and how it is understood in mental health research and practice in LMICs. While many have written about this in HICs, ²³ 46 there is a dearth of such information in LMICs.

Stage 2: identifying relevant studies

Given the aim of the study is to identify primary published and unpublished studies which will answer the research question, a comprehensive search strategy was developed to aid this. Two health sciences librarians assisted the first author in the iterative process of developing a search strategy including inclusion and exclusion criteria. Decisions were made about time frames, language, search terms and search strategies. Trial searches were run to assess whether relevant literature could be identified using the proposed strategy. A discussion of the identified databases, search strategy and selection criteria follow.

Databases

Electronic databases will be searched to identify studies published between January 1993 and November 2019. This period was selected as it covers roughly 25 years in the recovery movement. Personal recovery is a construct that has already been defined by Anthony, hence the authors were looking specifically for literature on this construct. Terms used in other disciplines that could also mean recovery were not included as the focus of the search is on recovery as explicitly defined by the recovery movement. Furthermore, recovery knowledge and attitudes among health professionals will be included if reported in the selected studies.

An expert librarian assisted the first author in identifying information sources that are relevant to the research question. The following databases were selected: MEDLINE via PubMed, SCOPUS (which included contents of Embase), PsycINFO, CINAHL, Africa-Wide Information, PsycARTICLES, Health source: Nursing/Academic Edition, Academic Search Premier and SocINDEX all via the EBSCOHOST platform. Additionally, the Latin

American and Caribbean Health Sciences Literature, the Cochrane Centre Register of Controlled Trials. Grey literature sources will be identified to expand the search and enhance the data sources. Additional sources will be located by means of handsearching reference lists of relevant papers, contacting study authors, searching trial registers and contacting key personnel involved in recovery-focused programmes in LMICs. In this way, peer-reviewed and grey literature from the biomedical sciences, allied health sciences, social sciences and other disciplinary fields will be included.

Search strategy

Two librarians and the first author developed the eligibility criteria for the scoping review. They identified various definitions of SMIs as well as recovery to include in this search strategy. A list of preliminary search terms and filtering methods was developed. The main filtering methods related to the date range of 25 years (January 1993–November 2019). This period was extended so that new publications would not be missed. The search strategy was refined to include Medical Subject Headings (MeSH terms), filters and Boolean operations to comply with searches across different databases. A search strategy for the PubMed database is included in online supplementary file 1. After the initial search, key words and index terms used across the databases were identified and plotted on a table as indicated below (table 1).

There are no universally accepted guidelines to operationalise the concept of recovery and there is no measure of a gold standard of recovery. The CHIME framework is one proposed guide for the development of recovery measures and identifying recovery outcomes for consideration in clinical practice, but this is limited to HICs. In this review, the key concept is personal recovery as defined by the seminal work of Anthony. In the search strategy, the concept of recovery will be explored through the MeSH terms: Psychiatric Rehabilitation; Mental Health Recovery and Recovery of Function as well as through the free-text terms: Recovery OR recover OR psychosocial rehabilitation OR mental health rehabilitation OR psychiatric rehabilitation.

Selection criteria

Articles will be included in the review if they meet the following inclusion and exclusion criteria (table 2).

Stage 3: study selection

The scoping review guideline for identifying and selecting studies informs article selection and data extraction. ⁴⁰ Two screening levels are proposed: a title and abstract review and a full-text review. For the first level of screening, two authors (FG and KS) will independently screen the title and abstracts of all retrieved citations against a few select inclusion criteria. Any articles that seem relevant by either or both reviewers will be included in the full-text review. Next, these two reviewers will independently screen the full-text articles to assess whether they meet

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Table 1 Search strategy terms			
Keyword	Alternative		
Recovery	Recovery OR recover OR psychosocial rehabilitation OR mental health rehabilitation OR psychiatric rehabilitation OR mental health recovery OR Recovery of function OR Quality of life		
Severe mental illness	Severe mental illness OR bipolar OR delusional disorder OR delusional disorders OR major depressive disorder OR major depressive disorder OR schizophrenia OR manic OR manic-depressive OR paranoid disorder OR paranoid disorders OR psychoses OR psychosis OR psychotic disorder OR psychotic disorders OR schizoaffective disorder OR schizoaffective disorders OR Schizophreniform OR serious mental disorder OR serious mental disorder Schizophrenia Spectrum and Other Psychotic Disorders Bipolar and Related Disorders Depressive Disorder		
Low-income and middle- income countries	Deprived Countries OR Deprived Population OR Deprived Populations OR Developing Countries OR Developing Country OR Developing Economies OR Developing Economy OR Developing Nation OR Developing Nations OR Developing Population OR Developing Populations OR Developing World OR LAMI Countries OR LAMI Country OR Less Developed Countries OR Less Developed Countries OR Less Developed Nations OR Less Developed Nations OR Less Developed World OR Lesser Developed Countries OR Lesser Developed Nations OR LAMIC OR LMICS OR Low GDP OR Low GNP OR Low Gross Domestic OR Low Gross National OR Low Income Countries OR Low Income Country OR Low Income Economies OR Low Income Economies OR Low Income Country OR Low Income Population OR Low Income Populations OR Lower GDP OR Iower gross domestic OR Lower Income Countries OR Lower Income Country OR Lower Income Nations OR Lower Income Population OR Lower Income Populations OR Middle Income Population OR Lower Income Populations OR Middle Income Nations OR Middle Income Nations OR Middle Income Nations OR Middle Income Population OR Middle Income Nations OR Poor Nations OR Poor Population OR Poor Populations OR Poor World OR Poorer Country OR Poor Economies OR Poorer Economy OR Poor Nations OR Poorer Population OR Poorer Populations OR Transitional Countries OR Poorer Economies OR Transitional Economies OR Poorer Populations OR Under Developed Country OR Under Developed Country OR Under Developed Country OR Under Developed Countries OR Underdeveloped Countries OR Underdeveloped Country OR Underdeveloped Countries OR Underdeveloped Countries OR Underdeveloped Population OR Underdeveloped		
	Afghanistan OR Albania OR Algeria OR American Samoa OR Angola OR Armenia OR Azerbaijan OR Bangladesh OR Belarus OR Byelarus OR Belorussia OR Belize OR Benin OR Bhutan OR Bolivia OR Bosnia OR Botswana OR Brazil OR Bulgaria OR Burma OR Burkina Faso OR Burundi OR Cabo Verde OR Cape Verde OR Cambodia OR Cameroon OR Central African Republic OR Chad OR China OR Colombia OR Comoros OR Comores OR Comoro OR Congo OR Costa Rica OR Côte d'Ivoire OR Cuba OR Djibouti OR Dominican OR Dominican Republic OR Ecuador OR Egypt OR El Salvador OR Equatorial Guinea OR Eritrea OR Ethiopia OR Fiji OR Gabon OR Gambia OR Gaza OR Georgia OR Georgia Republic OR Ghana OR Grenadines OR Guatemala OR Guinea OR Guinea- Bissau OR Guyana OR Haiti OR Herzegovina OR Honduras OR India OR Indonesia OR Iran OR Iraq OR Jamaica OR Jordan OR Kazakhstan OR Kenya OR Kiribati OR Democratic People's Republic of Korea OR Kosovo OR Kyrgyz OR Kirghizia OR Kirghiz OR Kyrgyzstan OR Lao PDR OR Laos OR Lebanon OR Lesotho OR Liberia OR Libya OR Macedonia OR Madagascar OR Malawi OR Malay OR Malaya OR Malaysia OR Maldives OR Mali OR Marshall Islands OR Mauritania OR Mauritius OR Mexico OR Micronesia OR Moldova OR Mongolia OR Montenegro OR Morocco OR Mozambique OR Myanmar OR Namibia OR Nepal OR Nicaragua OR Niger OR Nigeria OR Pakistan OR Palau OR Panama OR Papua New Guinea OR Paraguay OR Peru OR Philippines OR Principe OR Romania OR Ruanda OR Rwanda OR Samoa OR Sao Tome OR Senegal OR Serbia OR Sierra Leone OR Solomon Islands OR Somalia OR South Africa OR South Sudan OR Sri Lanka OR St Lucia OR St Vincent OR Sudan OR Suriname OR Swaziland OR Syria OR Syrian Arab Republic OR Tajikistan OR Tadzhikistan OR Tadzhikistan OR Tadzhikistan OR Tadzhikistan OR Tadzhikistan OR Uzbek OR Uzbekistan OR Vanuatu OR Venezuela OR Vietnam OR West Bank OR Yemen OR Zambia OR Zimbabwe		



Table 2 Inclusion and exclusion criteria

Criteria for inclusion

- ▶ Published between January 1993 and November 2019.
- Qualitative and quantitative empirical (ie, primary research) study designs.
- ► Theoretical literature on the construct of recovery.
- Studies will be included if they have been conducted in LMICs.
- Peer-reviewed scientific literature.
- ▶ Literature focusing on the population of interest, that is, adults with SMI in LMICs will be included. The diagnosis of SMI includes schizophrenia spectrum disorders, schizoaffective disorders, bipolar affective disorders, major depressive disorder or related psychotic disorders (schizophreniform, delusional disorder, substance induced psychotic disorder or disorders not otherwise specified) as well as those with a comorbid substance use disorder. Studies and reports will be excluded if they do not include the keywords or alternatives as outlined in table 1.
- Explicit mention of severe mental illness and recovery in LMICS.

Criteria for exclusion

- The review will be limited to full-text articles written in English. However, the authors acknowledge that this practical decision could mean that potentially relevant papers are missed.
- Conference abstracts will be excluded although they will be reviewed to see if full reports were published.
- Children will be excluded as the age range for inclusion is 18 years and older.
- ▶ Duplicate articles from the same study will be excluded.
- Policy documents will be excluded although they will be reviewed to access research in LMICs that they might have referenced.
- Studies that occurred only in high-income countries, without an LMIC focus will be excluded.
- Studies must be conducted in LMIC and not in HIC with participants from LMICs.

LMICs, low-income and middle-income countries.

the inclusion and exclusion criteria. At both levels of screening, inter-rater agreement will be assessed by calculating Cohen's kappa. Any disagreement on study selection will be resolved by discussion with a third rater, who will be a senior member of the research team.

Quality appraisal

The Mixed Methods Appraisal Tool V.2018⁴⁸ and the Critical Appraisal Skills Programme Qualitative checklist will be used to assess the quality of included studies although this is not required for a scoping review nor will it influence the inclusion of the study.

Using the aforementioned criteria, the number of studies identified and selected for inclusion in the scoping review will be reported on. A narrative description³⁹ will accompany the search decision flow chart.⁴³ The flow chart will show the results from the search, the removal of duplicates, study selection, full retrieval numbers, additional searches from reference lists and a final summary of decisions related to the search decision and an explanation of the review decision process.³⁹

Stage 4: charting the data

Next, the two reviewers (FG and KS) will independently extract data from the identified articles and chart it. ⁴⁰ A draft data charting form will be created in the MS Excel programme to facilitate this process. ⁴⁰ This form will be pretested and an iterative process of refining the form will be undertaken as the review commences to ensure that all relevant information is extracted. ³⁹

The form will allow the reviewers to confirm study relevance, record study characteristics and extract information relevant to the review question. The following information will be extracted from the articles: (1) study title; (2) author; (3) study population; (4) participant characteristics; (5) research question; (6) study methodology; (7) study description; (8) intervention type (if applicable); (9) intervention duration (if applicable); (10) outcome measures; 11 summary of findings; (12) definitions of key concepts (recovery and SMIs); (13) conceptual links identified; (14) practice implications and (15) recommendations for further development.

While charting the data, it is important to maintain flexibility around including emerging categories and consulting with the team as this occurs. The final version of the charting form along with definitions of included items will be provided as an appendix to the review. This process will facilitate the timeous completion of the review while also maintaining research rigour.

Stage 5: collating, summarising and reporting the results

All results will be collated, summarised and reported on in order to provide a comprehensive and thorough overview of all the reviewed literature. The authors plan to follow an iterative process and not pre-empt or fit findings into what has already been written about recovery in HICs. This will allow new understandings to be uncovered. While the CHIME framework is considered to offer a comprehensive overview of the process of recovery, it has only been used in HICs with predominantly White populations so its applicability with ethnically and culturally diverse groups is unknown. The role of nongovernment organisations and traditional healers in providing services that facilitate recovery for people living with SMIs will be examined if this arises from the scoping review.



A three-step approach 45 of analysing the data, reporting results and applying meaning to results will be followed to provide methodological rigour. Collating and summarising results will include a summary of the types of studies conducted (including the quantity, types of study design, populations, setting) as well as a thematic analysis of the results. More specifically, we will code how studies describe the concept of recovery from SMI and how it is defined and understood in LMICs. The framework used to report the findings will be developed iteratively through the examination of results. Additionally, the authors will report on the broader implications of the review in terms of research, policy and practice.

Stage 6: consultation

While Arksey and O'Malley⁴⁰ view the consultation stage as an optional step, Levac et al⁴⁵ views it as a requirement to ensure methodological rigour. During each stage of the review process, we will consult with an existing stakeholder advisory committee. This advisory committee consists of the research team and key stakeholders including mental health service providers and service users from within the public health and non-profit organisation sectors. This committee has been constituted from the onset of the project and some members are part of a pre-existing group for public mental health specialists. The advisory committee will be consulted to get their views on the relevance of the review, guidance for accessing grey literature and their perspectives on the data extraction process and preliminary findings. Including an advisory committee in the review is informed by a pragmatic approach 49 where the research is treated as a human experience with the understanding that this consultation process is likely to enhance the relevance of this review. It will also support collaborative efforts to facilitate consumer participation, public involvement, access to information and transfer of knowledge.45

Patient and public involvement

There is no patient involvement in the protocol development aspect of the study. Service users forming part of the advisory committee referred to stage 6 will be consulted on findings and dissemination of results.

Ethics and dissemination

Since the scoping review methodology aims at synthesising information from available publications, this study does not require ethical approval. Reviews of primary research allow for the mapping of evidence in fields where the body of literature has not yet been comprehensively reviewed or where working definitions and concepts are still developing. The findings will be made available in different formats to facilitate its dissemination. An article reporting on the results will be submitted for publication in a peer-reviewed journal. It will also be presented at relevant conferences and stakeholder engagements involved in mental health policy and practice. These stakeholders include mental health service users (MHSUs), academics,

policy-makers, researcher and clinicians. Findings will also be shared via newsletters, policy briefs and social media forums.

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Contributors This protocol was developed by the intellectual contributions of all the authors. All authors were involved in developing the review question and conceptualising the approach. FG developed and tested the search terms in consultation with a subject librarian. FG and KS developed the data extraction guideline, and this was revised based on feedback from the other authors. RG, KS and BM provided substantial critique and review of the draft protocol.

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Supplementary file 2

PubMed Search

Population, Concept, Context (PCC)

Population:			
#1	MeSH	Schizophrenia Spectrum and Other Psychotic Disorders	
	terms:	Bipolar and Related Disorders	
		Depressive disorder, Major	
#2	Free text:	Severe mental illness OR bipolar OR delusional disorder OR delusional disorders OR major depressive disorder OR major depressive disorders OR schizophrenia OR manic OR manic-depressive OR paranoid disorder OR paranoid disorders OR psychoses OR psychosis OR psychotic disorder OR psychotic disorders OR schizoaffective disorder OR schizoaffective disorders OR Schizophreniform OR serious mental disorders	
#3	#1 OR #2		
Conc	ept:		
#4	MeSH	Psychiatric Rehabilitation	
	terms:	Mental Health Recovery	
		Recovery of Function	
#5	Free text:	Recovery OR recover OR psychosocial rehabilitation OR mental health rehabilitation OR psychiatric rehabilitation	
#6	#4 OR #5		
Conte	ext: Low and I	Middle Income Countries (LMICS)	
#7	Free text:	Deprived Countries OR Deprived Population OR Deprived Populations OR Developing Country OR Developing Economies OR Developing Economy OR Developing Nation OR Developing Nations OR Developing Population OR Developing Populations OR Developing Population OR Developing Populations OR Developing World OR LAMI Countries OR LAMI Country OR Less Developed Countries OR Less Developed Country OR Less Developed Economies OR Less Developed Nation OR Less Developed Nations OR Less Developed Nations OR Less Developed Nations OR Less Developed Nations OR Lesser Developed Countries OR Lesser Developed Nations OR LMIC OR LMICS OR Low GDP OR Low GNP OR Low Gross Domestic OR Low Gross National OR Low Income Countries OR Low Income Country OR Low Income Economies OR Low Income Population OR Low Income Populations OR Lower GDP OR lower gross domestic OR Lower Income Populations OR Lower Income Country OR Lower Income Countries OR Lower Income Population OR Lower Income Populations OR Middle Income Countries OR Middle Income Nation OR Middle Income Nations OR Middle Income Population OR Middle Income Populations OR Poor Countries OR Poor Country OR Poor Economies OR Poor Populations OR Poor Population OR Poor Populations OR Poor Population OR Poorer Economy OR Poorer Countries OR Poorer Economies OR Transitional Country OR Transitional Countries OR Transitional Country OR Under Developed Countries OR Under Developed World OR Under Served Population OR Under Developed Country O	

#8	Free text:	underdeveloped economies OR underdeveloped nations OR underdeveloped population OR Underserved Nations OR Underserved Population OR Underserved Nations OR Underserved Population OR Underserved Populations OR Underserved Populations Afghanistan OR Albania OR Algeria OR American Samoa OR Angola OR Armenia OR Azerbaijan OR Bangladesh OR Belarus OR Byelarus OR Belorussia OR Belize OR Benin OR Bhutan OR Bolivia OR Bosnia OR Botswana OR Brazil OR Bulgaria OR Burma OR Burkina Faso OR Burundi OR Cabo Verde OR Cape Verde OR Cambodia OR Cameroon OR Central African Republic OR Chad OR China OR Colombia OR Comoros OR Comores OR Comoro OR Congo OR Costa Rica OR Côte d'Ivoire OR Cuba OR Djibouti OR Dominica OR Dominican Republic OR Ecuador OR Egypt OR El Salvador OR Equatorial Guinea OR Eritrea OR Ethiopia OR Fiji OR Gabon OR Gambia OR Gaza OR Georgia OR Georgia Republic OR Ghana OR Grenada OR Grenadines OR Guatemala OR Guinea OR Guinea- Bissau OR Guyana OR Haiti OR Herzegovina OR Hercegovina OR Honduras OR India OR Indonesia OR Iran OR Iraq OR Ivory Coast OR Jamaica OR Jordan OR Kazakhstan OR Kenya OR Kiribati OR Democratic People's Republic of Korea OR Kosovo OR Kyrgyz OR Kirghizia OR Kirghiz OR Kirghiz OR Kirghiz OR Liberia OR Libya OR Macedonia OR Madagascar OR Malawi OR Malay OR Malaya OR Malaysia OR Maldives OR Mali OR Marshall Islands OR Mauritania OR Mauritius OR Mexico OR Micronesia OR Nidova OR Mongolia OR Montenegro OR Morocco OR Mozambique OR Myanmar OR Namibia OR Nepal OR Papua New Guinea OR Paraguay OR Peru OR Philippines OR Principe OR Romania OR Ruanda OR Rwanda OR Samoa OR Sao Tome OR Senegal OR Serbia OR Sierra Leone OR Solomon Islands OR Somalia OR South Africa OR South Sudan OR Sri Lanka OR St Lucia OR St Vincent OR Syrian Arab Republic OR Tajikistan OR Tajikistan OR Tajikistan OR Tanzania OR Thailand OR Timor OR Togo OR Tonga OR Tunisia OR Turkey OR Turkmen OR Turkmenistan OR Vanuatu OR Venezuela OR Vietnam
#9	#7 OR #8	OR West Bank OR Yemen OR Zambia OR Zimbabwe
#10	#3 AND #6	887 Results (290519)
	AND #9	
Filter		e filter used for PubMed as a preliminary search showed that all not yet indexed were excluded when filtered for NOT animals and