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## **BMJ Open**

## Cohort Profile: the Healthy Life in an Urban Setting (HELIUS) study in Amsterdam, the Netherlands

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#### **Title**

Cohort Profile: the Healthy Life in an Urban Setting (HELIUS) study in Amsterdam, the Netherlands

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#### Abstract

#### Purpose:

Ethnic minority groups usually have a more unfavourable disease risk profile than the host population. In Europe, ethnic inequalities in health have been observed in relatively small studies, with limited possibilities to explore underlying causes. The aim of the HELIUS study is to investigate the causes of (the unequal burden of) diseases across ethnic groups, focusing on three disease categories: cardiovascular diseases, mental health and infectious diseases.

## Participants:

The HELIUS study is a prospective cohort study among the major ethnic groups living in Amsterdam, the Netherlands. Between 2011-2015, a total 24,789 participants (aged 18-70 years) was included at baseline. Similar-sized samples of individuals of Dutch, African Surinamese, South-Asian Surinamese, Ghanaian, Turkish and Moroccan origin were included. Participants filled in an extensive questionnaire and underwent a physical examination that included the collection of biological samples (biobank).

### Findings to date:

Data on physical, behavioural, psycho-social and biological risk factors, and also ethnicity-specific characteristics (e.g. culture, migration history, ethnic identity, socio-economic factors, and discrimination) were collected, as were measures of health outcomes (cardiovascular, mental health, infections). The first results have confirmed large inequalities in health between ethnic groups, such as diabetes and depressive symptoms, and also early markers of disease such as arterial wave reflection and chronic kidney disease, which can only just partially be explained by inequalities in traditional risk factors, such as obesity and SES. In addition, the first results provided important clues for targeting prevention and health care.

#### Future plans:

HELIUS will be used for further research on the underlying causes of ethnic differences in health. Follow-up data will be obtained by repeated measurements and by linkages with existing registries (e.g. hospital data, pharmacy data, insurance data).

#### **Keywords**

Ethnicity, health inequalities, cardiovascular disease, depressive symptoms, mental health, infectious disease, HELIUS study

## Strengths and limitations of this study

- The main strength of our cohort is the inclusion of a large number of participants from several ethnic groups living in the same city, in whom an extensive set of questionnaires, biological samples and physical measurements were collected.
- Outcomes and risk factors are measured using the same methodology across all ethnic groups, including the majority population, which allows direct comparisons between the groups.
- Another major strength is the focus on three disease categories (cardiovascular diseases, mental health and infectious diseases), allowing to investigate potential cross-links between them.
- Response rates were relatively low, possibly resulting in selection bias. Nevertheless, large
  numbers of each ethnic group were included and all socio-economic levels are represented
  in the samples; moreover, our non-response analyses show that socio-economic differences
  between participants and non-participants were very small.

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#### Introduction

Ethnic minority groups usually have a more unfavourable disease risk profile than the host population. For example, the prevalence of viral hepatitis B and C infections,[1] as well as the death risks from almost all infections,[2] are generally higher among ethnic minority groups. Depressive symptoms are more common in ethnic minority groups across Europe.[3] Also, the higher cardiovascular risk among ethnic minorities is well documented; for example, the high prevalence of coronary heart disease among South-Asians [4] and the higher risk of stroke among people originating from Africa.[5]

In Europe, ethnic inequalities in health have been observed in relatively small studies, with limited possibilities to explore underlying causes. In addition, most large-scale population-based studies excluded ethnic minorities,[6] possibly due to the practical challenges to include these groups and because a homogeneous study population increases the internal validity of the study.[7] The 'Healthy Life in an Urban Setting' (HELIUS) study was set up to fill this gap in epidemiological health research in Europe, aiming to provide a knowledge base for the improvement of health care and the prevention of communicable and non-communicable diseases in ethnic minority groups.

The HELIUS study is designed as a prospective cohort study, including six major ethnic groups (including the Dutch as a reference) living in Amsterdam, the Netherlands. The general objective of the HELIUS study is to study the causes of (the unequal burden of) diseases across these ethnic groups, with emphasis on three disease categories: cardiovascular diseases, mental health and infectious diseases.[7] These are all major causes of global disease burden and mortality,[8, 9] while these disease categories are characterised by large ethnic variation, shared risk factors, and complex patterns of causal relations to each other.[10, 11]

### **Cohort description**

Study design

Between January 2011 and December 2015, baseline HELIUS data were collected among Amsterdam residents of Dutch, Surinamese, Ghanaian, Turkish and Moroccan ethnic origin. More information on the migration backgrounds of the Dutch ethnic minority groups included in HELIUS can be found in **Box 1**. Data were collected through a questionnaire (or interview) and a physical examination that included the collection of biological samples.

Ethnic group	Migration history
Surinamese	The Surinamese migrated to the Netherlands from Suriname, a former Dutch colony in South America. Surinamese with an African background ('Creole') are mainly the descendants of West Africans, and those with a South-Asian background ('Hindustani') have their roots in North India. Both groups migrated to Suriname in the nineteenth century. Their migration from Suriname to the Netherlands was mainly due to the unstable political situation in Suriname in 1975 and 1980. Ethnic minority groups with comparable South-Asian and African backgrounds can also be found in other European countries, including the United Kingdom (UK).
Turks and Moroccans	Turks and Moroccans form important migrant groups, not only in the Netherlands but also in other West-European countries (Belgium, France, Spain, Italy, and Germany). Migration from Turkey and Morocco was encouraged in the 1960s and early 1970s to fill labour shortages in unskilled occupations. The initial period of labour migration was followed by a second period (1970–1980) in which many guest workers brought their spouses and children to the Netherlands. Since then, many young Turkish and Moroccan people have chosen partners from their region of origin.
Ghanaians	The migration of Ghanaians to the Netherlands occurred in two phases. The first phase (between 1974 and 1983) was due to economic reasons. The second phase (in the early 1990s) was linked to drought, political instability, and the expulsion of Ghanaians from Nigeria. Ghanaians are also an important migrant group in the UK and Germany.
	Nigeria. Ghanaians are also an important migrant group in the UK and Germany.

People in the age range of 18-70 years were randomly sampled, stratified by ethnic origin, through the municipality register of Amsterdam. This register contains data on country of birth of citizens and of their parents, thus allowing for sampling based on the Dutch standard indicator for ethnic origin.[12] More specifically, a person was defined as of non-Dutch ethnic origin if he/she fulfilled one of two criteria: 1) he/she was born outside the Netherlands and has at least one parent born outside the Netherlands (first generation); or 2) he/she was born in the Netherlands but both parents were born outside the Netherlands (second generation). Participants were considered of Dutch ethnicity if they and both of their parents were born in the Netherlands. After data collection, participants of Surinamese ethnic origin were further classified according to self-reported ethnic origin (obtained by questionnaire) into 'African', 'South-Asian', 'Javanese' or 'other'.[12]

Selected individuals of Dutch, Surinamese, Ghanaian, Turkish and Moroccan ethnic origin received a written invitation combined with written information and a response card. After a positive response, subjects received a confirmation letter of an appointment for a physical examination, and a digital or paper version of the questionnaire (depending on the preference of the subject) to fill out at home. Participants who were unable to complete the questionnaire themselves were offered assistance from a trained ethnically-matched same-sex interviewer. Non-Dutch persons who did not respond to the written invitation letter were visited at home by an ethnically-matched interviewer, to provide additional information if needed (e.g. due to language or reading problems) and to assist in filling out the questionnaire in case the subject was willing to participate in the study. At the physical examination, participants were asked whether they had 18 to 70-year-old family members (parents, siblings, children, partner) living in Amsterdam who would also be willing to participate. If so, a maximum of 3 of these family members were also invited to participate. This multigenerational design enables us to study both family relations as well as different migration generations.

#### Response and participation rates

Of those invited (n=91,609), 28 individuals were deceased before we invited them, and 1562 appeared not to live at the address or recently moved outside of Amsterdam, leading to 90,019 eligible persons for response analyses.

Of the 90,019 persons invited, 35,322 (39%) responded to our written invitation (**Figure 1**). Of those who responded, 20,445 (58%) agreed to participate. Of the 90,019 persons invited, 54,697 (61%) did not respond to our written invitation. We were able to visit 19,307 (35%) of those non-responders at home. Of the 19,307 visited at home, 4344 (23%) agreed to participate, whereas 10,286 (53%) refused to participate. We were unable to contact 4677 (24%), even after 5 visits (both

during day time and in the evening). Therefore, overall, we were able to contact and get a response from 55% (49,952/90,019) of those invited, either by written invitation or after a home visit by an interviewer. Of those, in total 50% (24,789/49,952) agreed to participate, which we define as the 'participation rate'. There were modest ethnic variations in response and participation rates, as shown in **Figure 1**. The most frequently mentioned reasons for not participating were 'no time', 'not interested', or 'having health problems'. Also, 1,217 persons who initially agreed to participate repeatedly did not show up at their appointment, or could not be reached to arrange an appointment and, for these reasons, did not participate.

Finally, of all 90,019 invited persons, baseline data were obtained from 24,789 participants (28%), which we define as the 'response rate'. The response rate also showed some variation across ethnic groups (Figure 1). Of the 24,789 participants, 23,942 participants completed the questionnaire; 23,012 completed the physical examination including the collection of biological samples, and 22,165 participants completed both. Table 1 presents the demographic characteristics at baseline, stratified by the six major ethnic groups. As expected, it shows large variations in both age and educational level (self-reported highest level of education attained, either in the Netherlands or in the country of origin), within and across the ethnic groups.

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**Table 1.** Demographic characteristics of the baseline HELIUS study population by ethnicity.

	Dutch	South-Asian	African	Ghanaian	Turkish	Moroccan
		Surinamese	Surinamese			
N	4,671	3,369	4,458	2,735	4,200	4,502
Age (years)	46.1 ± 14.1	45.1 ± 13.5	47.6 ± 12.8	44.0 ± 11.7	39.9 ± 12.5	39.7 ± 13.1
Age groups						
18-29 years	883 (18.9)	661 (19.6)	611 (13.7)	420 (15.4)	1,139 (27.1)	1,328 (29.5)
30-39 years	824 (17.6)	500 (14.8)	602 (13.5)	477 (17.4)	925 (22.0)	1,058 (23.5)
40-49 years	956 (20.5)	870 (25.8)	1,075 (24.1)	920 (33.6)	1,214 (28.9)	1,047 (23.3)
50-59 years	1,114 (23.8)	902 (26.8)	1,508 (33.8)	811 (29.7)	739 (17.6)	783 (17.4)
60-70 years	894 (19.1)	436 (12.9)	662 (14.8)	107 (3.9)	183 (4.4)	286 (6.4)
Sex						
female	2,525 (54.1)	1,809 (53.7)	2,654 (59.5)	1,671 (61.1)	2,281 (54.3)	2,786 (61.9)
Migration generation						
1 <sup>st</sup> generation	NA	2,545 (75.5)	3,689 (82.8)	2,582 (94.4)	2,885 (68.7)	2,998 (66.6)
Educational level <sup>#</sup>						
Low	153 (3.3)	474 (14.1)	252 (5.7)	684 (28.0)	1,260 (31.1)	1,303 (30.4)
Medium-low	660 (14.3)	1,120 (33.4)	1,602 (36.2)	976 (40.0)	1,008 (25.0)	782 (18.2)
Medium-high	1,018 (22.1)	1,003 (29.9)	1,582 (35.8)	629 (25.8)	1,174 (29.1)	1,468 (34.2)
High	2,784 (60.3)	753 (22.5)	986 (22.3)	152 (6.2)	586 (14.5)	739 (17.2)

Data are presented as mean ± standard deviation, or n (%).

Participants of Javanese Surinamese (n=250), unknown/other Surinamese (n=553), or unknown/other (n=51) ethnic origin are excluded from this table.

<sup>&</sup>lt;sup>#</sup>Low=no schooling or elementary schooling only, Medium-low=lower vocational schooling or lower secondary schooling, Medium-high=intermediate vocational schooling or intermediate/higher secondary schooling, High=higher vocational schooling or university

Differences between participants, non-participants and those not contacted

Among all ethnic groups, women were more likely to participate than men, as evidenced by the higher percentage of women among participants as compared with that of the total random samples (all invited) (Table 2). Among those not contacted, the percentage of women was particularly low (except among Surinamese).

On average, those who participated were slightly older than those who refused to participate (except for the Dutch) or were not contacted. Those not contacted were the youngest among all ethnic groups. **Figure 2** (panel A) shows the response rates by age groups and ethnicity. The highest response was in the age group 45-54 years among the Ghanaian, Turkish and Moroccan groups, whereas in the Dutch and Surinamese groups, the highest response was among those aged 55-64 years. The lower response rates in the Ghanaian, Turkish and Moroccan groups of older age might be due to language difficulties experienced, in particular, in these older groups. Among those contacted, the percentage of those agreeing to participate (participation rate) also differed slightly by age group across all ethnic groups (**Figure 2**, panel B).

To explore to what extent the response was related to socio-economic status (SES), we constructed two SES indicators based on registry data at the level of the six-digit postcodes maintained by the Department of Research and Statistics of the Municipality of Amsterdam: 1) the average property value of dwellings, and 2) the percentage of residents living on a minimum income. This six-digit postcode area is the smallest geographical unit available. On average, these units are 50 by 50 m in size and include 10-20 households. **Table 2** shows that both SES indicators are more favourable (i.e. higher property value and lower percentage of residents living on minimum income) among participants as compared with non-participants. However, the differences are relatively small, particularly when compared with differences in these SES indicators across ethnic groups. The differences in SES indicators between the ethnic groups are in line with the ethnic differences in educational level as measured among participants (**Table 1**), showing the highest educational level among Dutch (highest percentage of medium-high plus high education) and the lowest among Ghanaians (highest percentage of low plus medium-low education).

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**Table 2.** Sex, age and postal code-based socio-economic (SES) indicators among participants, non-participants and those not contacted, by ethnicity.

	Dutch	Surinamese <sup>#</sup>	Ghanaian	Turkish	Moroccan
Sex (% women)					
All invited (random samples)	50.0	54.9	52.9	47.7	49.3
Participants	54.1	57.3	61.1	54.3	61.9
Non-participants	55.5	52.1	48.6	49.9	59.7
Not contacted	44.3	55.1	48.3	42.8	38.9
Age (years)					
All invited (random samples)	43.2 ± 14.6	43.5 ± 13.6	42.8 ± 12.3	37.6 ± 13.3	37.9 ± 13.5
Participants	46.0 ± 14.1	46.2 ± 13.1	43.7 ± 11.8	39.6 ± 12.5	39.5 ± 13.1
Non-participants	47.9 ± 14.7	43.6 ± 13.9	42.2 ± 13.4	37.8 ± 13.5	38.4 ± 14.1
Not contacted	38.8 ± 13.6	41.3 ± 13.4	42.4 ± 12.1	36.5 ± 13.3	37.1 ± 13.3
SES indicator					
(average property value,					
kEuro)					
All invited (random samples)	264.9 ± 139.0	192.3 ± 70.7	$154.3 \pm 47.0$	194.9 ± 61.6	192.8 ± 60.3
Participants	273.6 ± 139.6	196.2 ± 72.0	151.9 ± 43.9	194.4 ± 58.1	195.2 ± 56.9
Non-participants	258.8 ± 136.7	191.9 ± 69.0	150.9 ± 43.0	193.0 ± 61.4	194.5 ± 61.9
Not contacted	261.3 ± 139.4	189.5 ± 70.8	158.1 ± 50.9	196.4 ± 63.4	191.0 ± 60.8
SES indicator					
(% on minimum income)					
All invited (random samples)	10.0 (2.7-20.7)	22.0 (9.5-34.4)	30.0 (18.5-39.4)	25.0 (12.5-36.4)	28.0 (17.1-38.5)
Participants	9.1 (1.7-19.0)	20.9(7.8-33.3)	30.8 (19.2-40.0)	26.1 (13.3-37.0)	28.6 (18.2-38.7)
Non-participants	11.1 (2.9-22.7)	21.8 (9.1-34.6)	31.0 (18.9-40.9)	26.3 (14.3-26.3)	29.0 (17.6-39.1)
Not contacted	10.2 (3.0-20.8)	22.9 (10.9-35.0)	28.6 (17.1-37.9)	23.8 (11.1-35.3)	27.3 (16.3-37.9)

Data are presented as percentages, mean ± SD, or median (interquartile range)

<sup>\*</sup>Non-response data were only available for the Surinamese sample as a whole, because municipality registers do not distinguish between Surinamese subgroups

Measurements of risk factors and health outcomes

Table 3 provides an overview of the variables that were measured either by questionnaire or during the physical examination (more details on measurements are available from the authors upon request). Risk factors include general factors such as physical, behavioural, psycho-social and biological factors, as well as ethnicity-specific characteristics such as culture, migration history, ethnic identity, socio-economic factors, and discrimination. In addition, more extensive measures were measured not in the total study population, but in subsamples only. For example, dietary intake was estimated by an additional extensive food frequency questionnaire in a subsample of about 5200 participants. [13] Table 4 lists the biological samples that were collected (and, for those who gave permission, also stored) and the laboratory measurements which are already available. Faeces microbiome data will be available from a subsample of about 6000 participants; this will provide a unique cohort to extend research on the role of gut microbiota composition in the development of diabetes, cardiovascular diseases and other health outcomes. [14, 15]

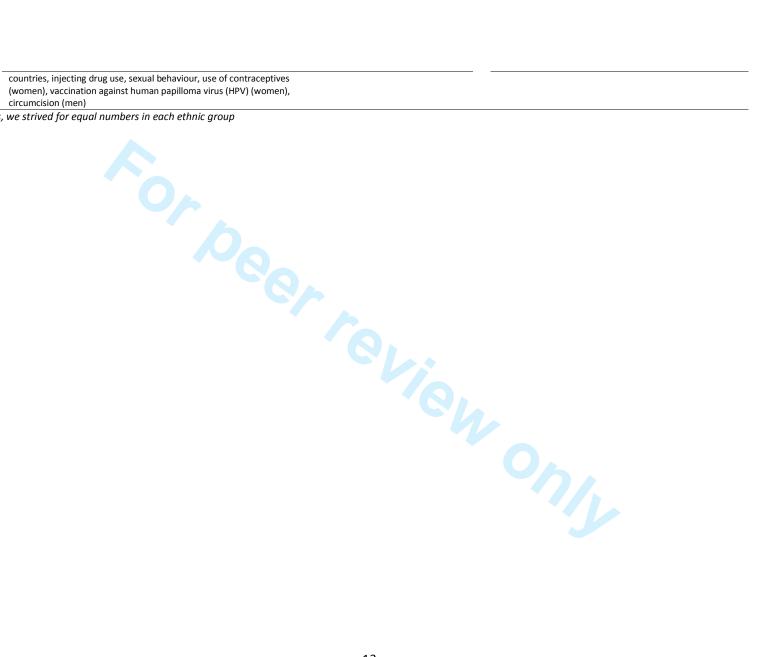
### Cross-cultural validity of measurements

Whenever possible, we used standard validated questionnaires. For example, the Patient Health Questionnaire-9 (PHQ-9) was used to measure depressive symptoms, [16] the SF-12 was used to measure quality of life, [17] and the SQUASH questionnaire was used to assess physical activity. [18] However, because validity studies for these instruments were performed in the Dutch general population or in only a few ethnic groups in the Netherlands only, [19] it was unknown whether they had similar validity and reliability for all the ethnic groups included in HELIUS. Validity studies within the HELIUS study indicated that the PHQ-9 and SF-12 indeed measure the same concepts in all ethnic groups and that there are no systematic differences in reporting between the groups. [20, 21] Also, the validity of self-reported physical activity with the SQUASH questionnaire was similar across ethnic groups. However, consistent with findings in the literature, we observed low agreement between self-reported physical activity and objectively measured physical activity by accelerometer and heart rate monitor. In addition, low test-retest reliability was found for the SQUASH questionnaire in all groups, implying no valid basis for the comparison of physical activity between the different ethnic groups. [22]

**Table 3.** Variables measured by questionnaire and measures obtained during the physical examination.

	Variables questionnaire		Physical examination
Theme	Explanatory factors	Outcomes	Explanatory factors/outcomes
General	Demographic and ethnicity-specific factors: sex, age, marital status, household composition, country of birth of participant and his/her (grand)parents, self-reported ethnicity, migration history, educational level, occupational status, occupational level, religion, cultural distance (acculturation), ethnic identity, perceived ethnic discrimination (Everyday Discrimination Scale)  Health-related behaviours: Smoking, alcohol intake, cannabis use, physical activity (SQUASH questionnaire), weight perception, fruit intake, vegetarian diet, dietary pattern (breakfast, lunch, evening meal), coffee/tea intake, sugary drinks intake  Health care use and related factors: Subjectively measured health literacy (SBS-Q), compliance with medication, perceived quality of GP, health care use (GP, specialists, psychological care, alternative health care), health care use in country of origin	Perceived general health, quality of life (SF-12), list of 20 chronic conditions, functional limitations (in those aged >55 years)	Anthropometry (weight, height, and circumferences of waist, hip, thigh, arm and calf) Body fat percentage (using bioelectrical impedance) Hand grip strength Current medication use  Subsamples*: - physical activity by Actiheart accelerometer and heart ra monitor, 5 days (n≈500) - objectively measured health literacy (REALM-D test) (n≈9700)
Cardiovascular health	<ul> <li>- dietary intake by extensive food-frequency questionnaire (n≈5200)</li> <li>History of high blood pressure/ hypercholesterolaemia/ diabetes (including family history), family history of cardiovascular disease/sudden death, fainting history, age of menarche, age of menopause</li> </ul>	Angina pectoris, possible myocardial infarction, and intermittent claudication (by Rose questionnaire), self-reported and suspected myocardial infarction, self-reported and suspected cerebrovascular events	Blood pressure (sitting position, 5 min of rest, WatchBP Home, Microlife) Electrocardiogram (supine position, MAC 1600 System, GE  Subsamples*: - ankle-brachial blood pressure index (supine position, 7 min of rest, WatchBP Office ABI, Microlife) (n≈14600) - arterial stiffness by oscillometrically measured pulse wav velocity (supine, 10 min of rest, Arteriograph) (n≈15000) - non-invasive haemodynamics such as stroke volume, cardiac output, systemic vascular resistance (supine position, Nexfin) (n≈14500) - glycocalyx measurement (under tongue, Glycocheck) (n≈6800)
Mental health	Perceived social support (DES subscale of SSQT with SSQS), childhood trauma, parental psychiatric history, mastery (Pearlin-Schooler Mastery Scale), neuroticism and extraversion (NEO Five Factor Inventory), stressful life events	Depressive symptoms (PHQ-9), nicotine use-related disorder (Fagerstrom), alcohol use-related disorder (AUDIT), cannabis use- related disorder (CUDIT)	•
Infectious diseases	History and presence of allergy/asthma/rhinitis, family history of allergy/asthma, food allergy, urogenital infections, travel behaviour, use of self-tests, history of blood transfusions, history of surgery in other		Self-reported current respiratory symptoms Self-reported vaginal hygiene (women)

\*In subsamples, we strived for equal numbers in each ethnic group



**Table 4.** Overview of available laboratory measures and stored biological samples.

Type of sample	Laboratory measurements available	Biological samples stored in biobank
Fasting blood	- glucose, HbA1c, haemoglobin, triglycerides, total cholesterol, HDL, LDL (calculated), creatinine	- citrate plasma (-80°C)
(including DNA)	- D-dimer, fibrinogen, Lpa, ApoB, CRP (subsample n≈6000)	- serum (-80°C)
	- cholesteryl ester fatty acids and carotenoids (subsample n≈1000)	- heparin plasma (-80°C)
	- metabolites (subsample n≈500)	- EDTA-plasma (-80°C)
	- acylcarnitines, amino acids, sphingolipids (subsample n≈700)	- whole blood (-80°C)
	- antibodies against human papillomavirus, human T-lymphotopic viris-1, Helicobacter pylory,	- isolated DNA from pellets (4°C)
	Herpesvirus, and Chlamidia trachomatis (subsample n≈4680)	
	- antibodies against hepatitis E (subsample n≈1200)	
	- hepatitis B infection (anti-HBc, anti-HBs, HBeAg, anti-HBe, HBV-DNA) and hepatitis C infection (anti-	
	HCV, HCV RNA) (subsample n≈2990)	
	- whole genome SNP genotypes (GSA Illumina) (subsample n≈12000)†	
Morning urine	- microalbumin, creatinine	- urine (-80°C)
	- urine dipstick: pH, glucose, ketones, leucocytes, nitrite, protein, erythrocytes	
Faeces samples	- faecal microbiome†	Not applicable
(subsample, n≈6000)		
Vaginal swabs	- vaginal human papillomavirus (subsample n≈600)	- vaginal swabs (-20°C)
(subsample, n≈6000)	- vaginal chlamydia trachomatis (n≈1200)	
	- vaginal microbiome (subsample n≈600)	
Nasal and throat swabs	- respiratory viruses (subsample n≈600)	- material (cells, mucus) in medium (-80°C)
(subsample, n≈6600)		
†available by the end of 201	17	

### Longitudinal data

Baseline HELIUS measurements took place in 2011-2015. The goal of HELIUS is to repeat baseline measurements during follow-up examinations every 5-10 years, to enable longitudinal analyses on the relationship between risk factors and cardiovascular diseases, mental health and infectious diseases. In addition, in the participants' written informed consent obtained at baseline, we also asked permission 1) to store biological samples in the HELIUS biobank for future research (94% agreed), 2) to link their individual data to registries containing data relating to the participants' health (such as hospital admissions, pharmacy data, vaccination programs; 90% agreed), 3) to request the official causes of death from Statistics Netherlands (87% agreed), and 4) to approach them for additional studies in the future (sub-studies; 92% agreed). This enables us to not only obtain new laboratory measures at baseline in the future (from stored samples in the biobank), but also to link baseline HELIUS data to follow-up data (risk factors, health outcomes and mortality) obtained from existing registrations. In 2016, a first linkage of HELIUS data to follow-up registry data (health care use data as registered by health care insurance company) was performed and data are currently analysed.

## Findings to date

A list of all publications which are based on data from the HELIUS study is available at this website: <a href="http://www.heliusstudy.nl/nl/researchers/publications">http://www.heliusstudy.nl/nl/researchers/publications</a>. The first results have confirmed large inequalities in health between ethnic groups, such as diabetes and depressive symptoms, which can only partially be explained by inequalities in traditional risk factors, such as obesity and SES. In addition, the first results provided important clues for targeting prevention and health care. Below, we highlight some of these key findings emerging from the HELIUS study.

#### Ethnic inequalities in health

The prevalence of important cardiovascular disease risk factors varies largely between the ethnic groups. **Figure 3-A** shows that, while the prevalence of diabetes in the Dutch group remains below 5%, the prevalence of diabetes ranges from 10 to 12% in participants of African Surinamese, Ghanaian, Turkish, and Moroccan origin, and is particularly high among the South-Asian Surinamese (20%). Ethnic minority groups also have a 1.3 (Moroccans) to 3.6 (Ghanaians) times higher prevalence of hypertension as compared to the Dutch groups, and hypertension prevalence is particularly high in the two groups of African origin (Ghanaians and African Surinamese).[23] The higher prevalence of hypertension among the Turkish and Moroccan groups compared with the Dutch group suggests that risk patterns may be changing unfavourably over time because, just over a decade ago, these groups had a lower prevalence of hypertension compared to the Dutch.[24] A

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large variation is also seen in depressive symptoms, with particularly high prevalence rates of depressed mood among the South-Asian Surinamese (19%), Turkish (23%) and Moroccan (21%) participants (Figure 3-B).

Overweight is one of the most important causes of increased cardiovascular risk and is also associated with depression. [25] As expected, large differences in overweight and obesity were found between the ethnic groups (Figure 3-C). While 60% of the Dutch population is considered to have a normal weight based on their body mass index, this percentage is only about 30% in the ethnic minority groups. Particularly in the Ghanaian and Turkish groups the prevalence of normal weight is very low, and the prevalence of obesity (extreme overweight) is extremely high, i.e. up to 35% as compared with 10% in the Dutch. However, the ethnic inequalities in both hypertension and diabetes are not explained by differences in overweight, or other 'traditional' risk factors such as fat distribution, educational level or health behaviours. [23, 26] Ethnic inequalities were not only observed for cardiovascular risk factors, but also for early markers of cardiovascular disease or markers of end-organ damage, such as arterial wave reflection and chronic kidney disease [27, 28]; again, this could not be attributed to traditional cardiovascular disease risk factors. Our results suggest ethnic inequalities in the aetiology of these diseases and emphasise the importance of further research on the underlying causes of ethnic differences in cardiovascular health.

Within HELIUS we also examined some risk factors that are less often investigated. For example, hand grip strength (a marker of muscle function) was found to be strongly related with diabetes, and large differences in hand grip strength were found between the ethnic groups (highest in Dutch and lowest in South-Asian Surinamese).[29] In addition, short sleep duration (<7 h per night) was more prevalent in the ethnic minority groups as compared to the Dutch [30], and short sleep duration was related to overweight, diabetes and hypertension.[31] However, despite these strong relations, both short sleep duration and hand grip strength only marginally contributed to the large ethnic inequalities in cardiovascular risk factors.[29, 31] Chronic stress is also suggested to contribute to cardiovascular risk, and an important chronic stress factor specific for ethnic minority groups is the level of perceived ethnic discrimination (PED).[32] In HELIUS, a positive association was found between PED and the clustering of traditional cardiovascular risk factors (metabolic syndrome) in some ethnic groups, with PED contributing about 5-7% to the metabolic syndrome in Surinamese and Moroccans.[33] PED was also related to depressive symptoms. In ethnic minority groups, PED is reported to account for about 25% of depressive symptoms.[34]

Human papillomavirus (HPV) is an easily transmittable sexually transmitted infection, and persistent infections with high-risk HPV (hrHPV) types cause nearly all cases of cervical cancer.[35] Higher incidences of cervical cancer (the fourth most common female cancer globally) have been observed among ethnic minority women as compared to women of Dutch origin.[36] In HELIUS, the

seroprevalence of hrHPV seroprevalence in blood, and the prevalence of vaginal high-risk hrHPV infection, differed between the ethnic groups.[37-39] South-Asian Surinamese, Moroccan and Turkish women had an overall lower seroprevalence of hrHPV (22%, 14% and 15%, respectively) as compared to Dutch women (30%).[39] Vaginal hrHPV prevalence was highest in the Dutch (42%) followed by the African Surinamese (32%), Turkish (29%), Ghanaian (26%), Moroccan (26%) and South-Asian Surinamese women (18%).[37] When adjusting for sexual risk behaviour, the odds to be hrHPV positive were similar for all ethnic groups. However, because of the higher incidence of cervical cancer in ethnic minority groups compared with the Dutch,[36] we expected to observe higher (sero)prevalences of hrHPV in ethnic minority women than in Dutch women. Future studies should explore other factors potentially responsible for the higher incidence of cervical cancer in these ethnic minorities (e.g. differences in clearance/ persistence of hrHPV infection, or differences in participation in cervical cancer screening programmes).

#### Prevention and health care

Results of the earlier SUNSET (Surinamese in The Netherlands: Study on Ethnicity and Health) study in 2001-2003 showed that, at that time, Surinamese participants were less often aware of their high blood pressure and, therefore, were also less often treated, as compared with Dutch participants.[40] This suggested a reduced access or quality of health care among Surinamese as compared with the Dutch, which could contribute to ethnic disparities in health. Our recent HELIUS results show that, as compared with the Dutch, the Surinamese groups (and the other ethnic minority groups) are currently even more often aware of their high blood pressure and are more often treated for hypertension. [23] These results suggest an improvement in hypertension management among ethnic minorities in Amsterdam in the last decade. However, despite medical treatment, ethnic minority groups suffering from hypertension still have lower rates of adequately controlled blood pressure levels.[23] A similar pattern is observed for diabetes: although similar or even higher awareness and treatment levels are observed in ethnic minority groups compared with the Dutch, poor glycaemic control is still highly prevalent. [26] As poor blood pressure control and poor glycaemic control are serious risk factors for cardiovascular diseases, it is important to investigate the causes of this poor control among the ethnic minority groups. One important factor to consider is the use of health care outside the Netherlands. In HELIUS we observed that some ethnic minority groups use health care in their country of origin more often than other ethnic minority groups, ranging from 4% among Surinamese to over 20% among Turkish ethnic groups.[41] We need to evaluate to what extent this influences their health care use in the Netherlands and their health.

Of all participants included in HELIUS, although 5% reported a history of cardiovascular disease (myocardial infarction, stroke and/or revascularisation), many of them did not have the risk factors under control; i.e. 33% was still smoking, 76% was overweight, 55% did not achieve the norm for physical activity, 31% had uncontrolled blood pressure, and 58% still had increased LDL-cholesterol levels.[42] These results show that risk factor control in secondary prevention is poor in the majority of individuals with cardiovascular diseases. The results suggest that secondary prevention should perhaps focus on different risk factors, depending on the ethnic origin of the individual patient.

### Strengths and limitations

 The main strengths of our cohort are the inclusion of a large number of participants from several ethnic groups living in the same city, and the collection of an extensive set of questionnaires, biological samples and physical measurements. Outcomes and risk factors are measured based on the same methodology across all ethnic groups, including the majority population.

Several supportive measures were taken to enhance the enrollment of ethnic minority groups. For example, we used ethnic-specific communication strategies, e.g. working with faith communities (churches, mosques) and endorsement from local key figures. In addition, after invitation by mail, we visited participants at home, used translated questionnaires, and had ethnically-matched interviewers and research assistants to provide help during data collection. Despite these labour-intensive measures, response rates were relatively low and this may have resulted in selection bias. However, we were able to include large numbers of each ethnic group in which all social-economic levels are represented; moreover, our non-response analyses show that socio-economic differences between participants and non-participants were very small.

In HELIUS, the unique ethnic differentiation can be utilised to investigate which factors might explain ethnic inequalities in health, such as socio-economic factors, culture, migration history, ethnic identity, discrimination, and genetic factors. Furthermore, we are able to validate existing questionnaires (such as the SF-12 and PHQ-9) across these ethnic groups. The addition of more complex or specific measures performed among subsamples of the cohort (such as gut microbiome data) will provide additional unique data bases. Finally, a major strength is the focus on three disease categories that each are a major cause of the global burden of disease, thereby enabling studies on the potential cross-links between these disease categories.

#### Collaboration

The HELIUS study has an open policy with regard to collaboration with other research groups and welcomes collaborations from a wide variety of disciplines. Information on procedures to acquire the

available data and/or samples are described in the HELIUS Collaboration Policy, which can be found at <a href="www.heliusstudy.nl/nl/researchers/collaboration">www.heliusstudy.nl/nl/researchers/collaboration</a>. In brief, to make use of the available data for research, we request a publication proposal describing background, aim, research questions, methods (analysis plan), and timetable. All proposals should be submitted to HELIUS via the corresponding author of this article, or via <a href="mailto:info@heliusstudy.nl">info@heliusstudy.nl</a>. The proposals are discussed in the HELIUS Executive Board regarding the study aims (compatibility with the general objectives of the HELIUS study/informed consent), the quality of the research proposal, and potential overlap with ongoing studies. After approval, the requested data will be provided after a Data Transfer Agreement has been signed. For more information, please visit our website or contact the corresponding author.

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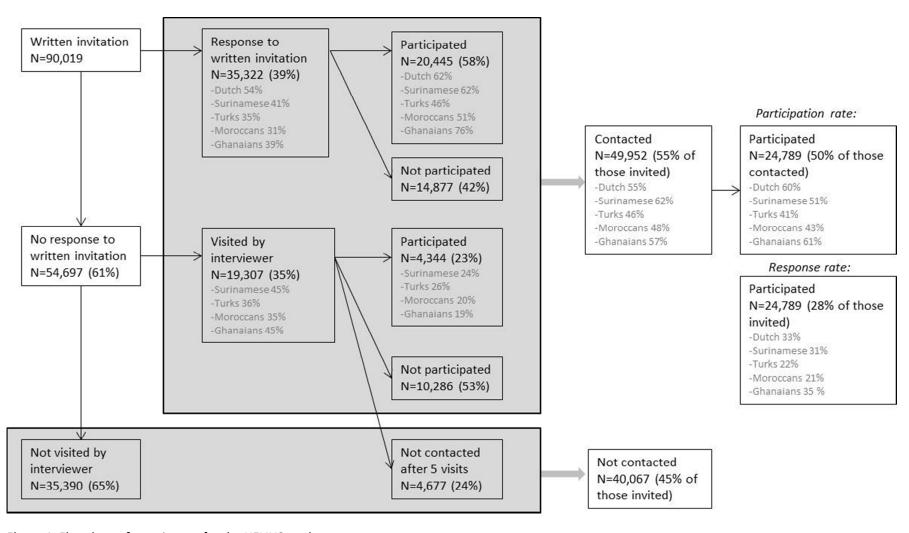
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## Contributors

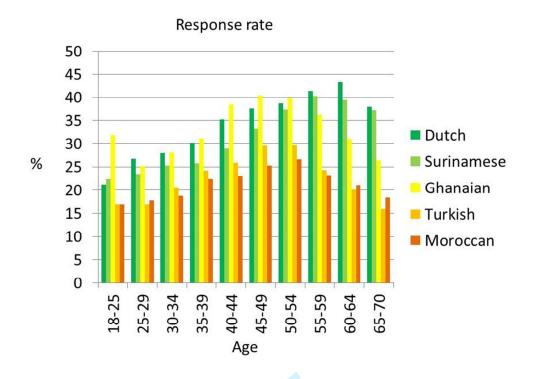
MBS, MP, EMD, RJP, AHZ, KS participated in the conceptual design of the HELIUS study. MBS drafted the manuscript and performed the analyses. MBS, HG, MP, EMD, RJP, AHZ, KS contributed to interpretation of the data and critically revised the manuscript. MBS, HG, MP, EMD, RJP, AHZ, KS read and approved the final version of the manuscript.

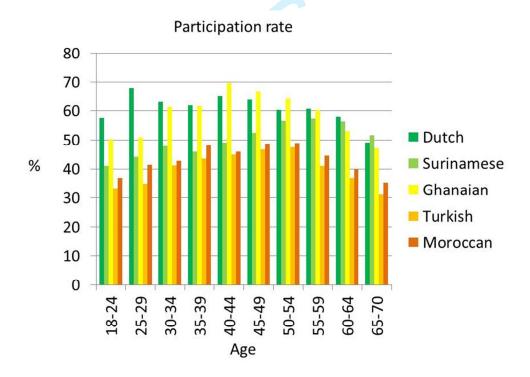
Competing interest: None declared



**Figure 1.** Flowchart of recruitment for the HELIUS study.

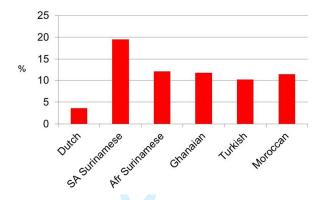
Participation rate: percentage of participants of those contacted; Response rate: percentage of participants of those invited



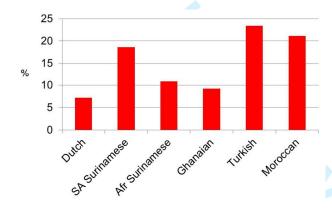


**Figure 2.** Response rate (A) and participation rate (B) by 5-year age groups and ethnicity. *Participation rate: percentage of participants of those contacted; Response rate: percentage of participants of those invited (see also Figure 1)* 

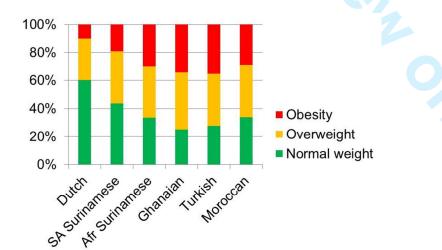
#### A. Diabetes



### B. Depressed mood



## C. Weight status



**Figure 3.** The prevalence of diabetes (A), depressive mood (B) and weight status (C) by ethnicity. Diabetes is defined by self-reported diagnosis of diabetes, fasting glucose  $\geq$ 7.0 mmol/l and/or use of glucose lowering medication; Depressed mood defined as a PHQ-9 sumscore  $\geq$ 10; Obesity defined as a BMI  $\geq$ 30 kg/m²; Overweight defined as a BMI 25-30 kg/m²; Normal weight defined as a BMI <25 kg/m². SA, South-Asian; Afr, African

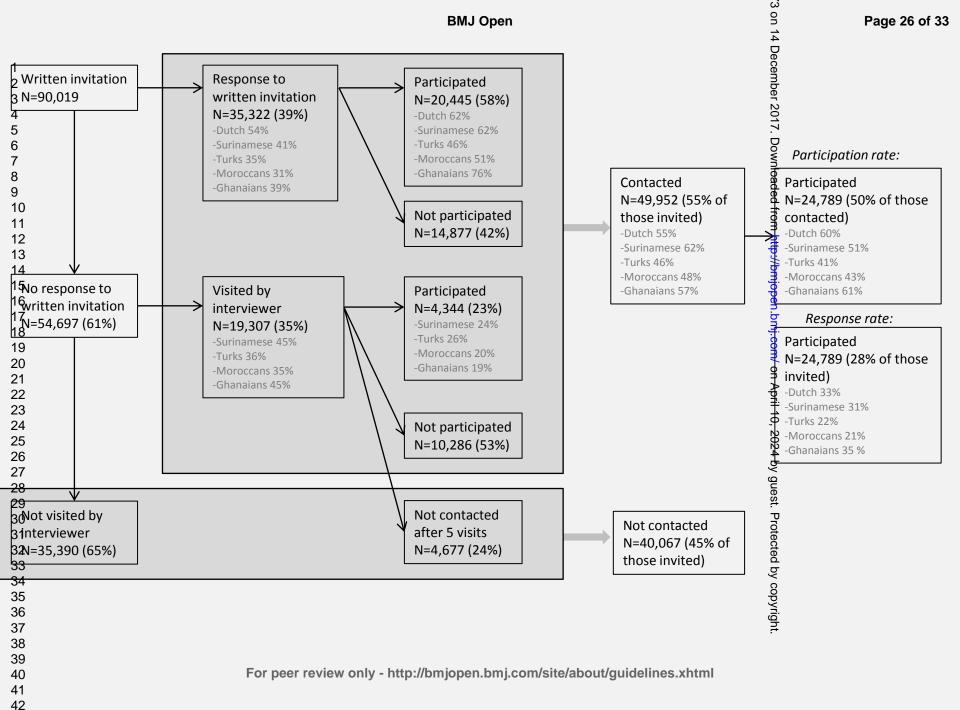
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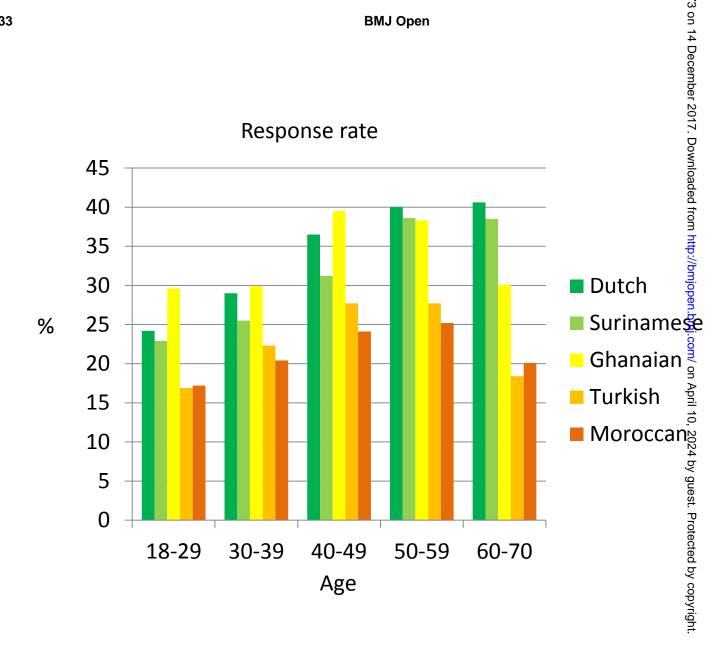
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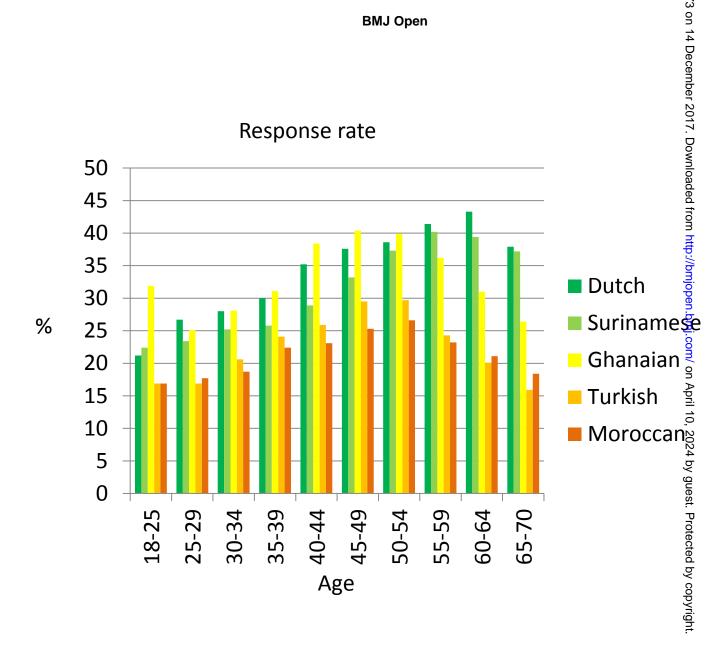
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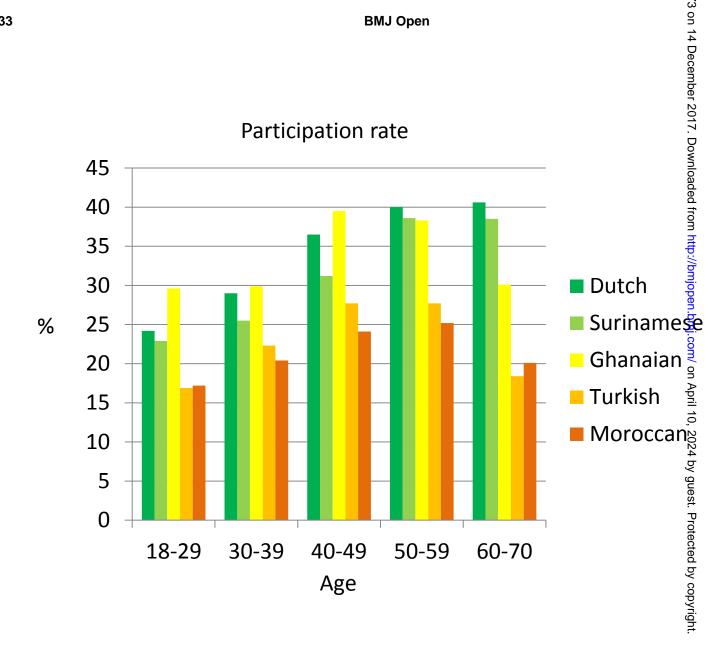
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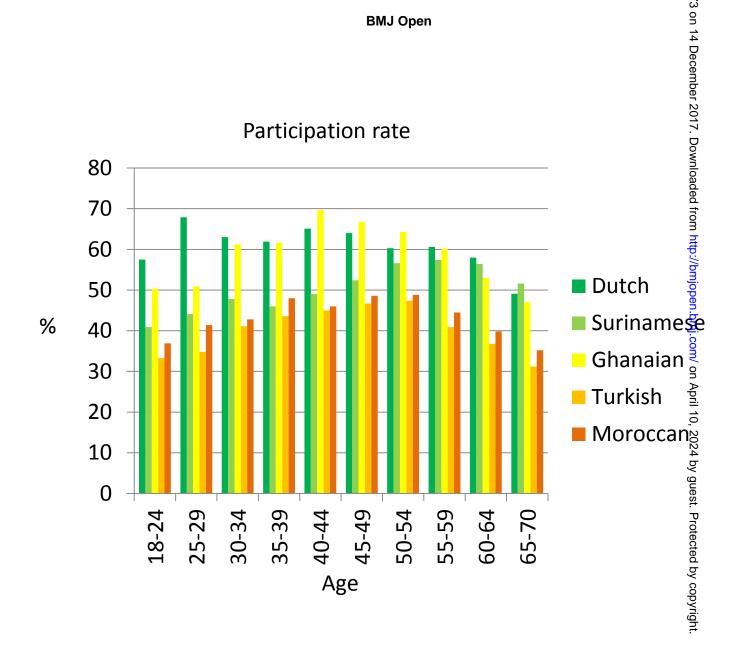
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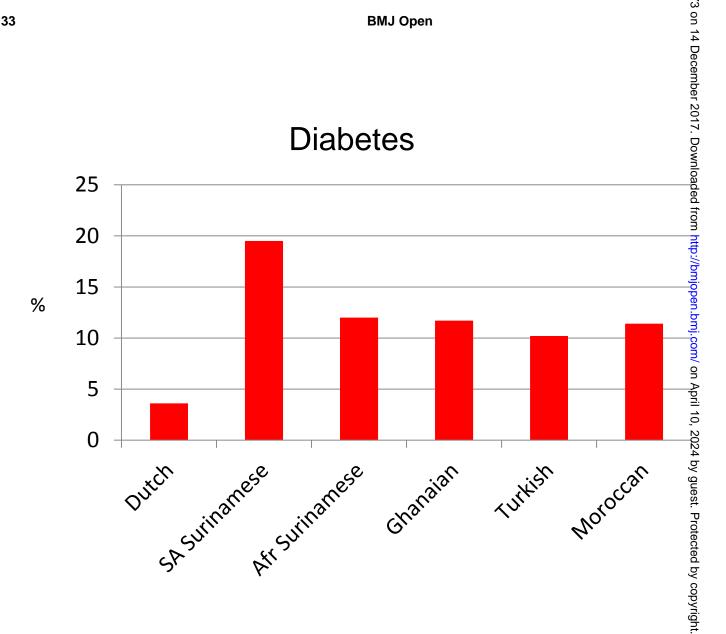




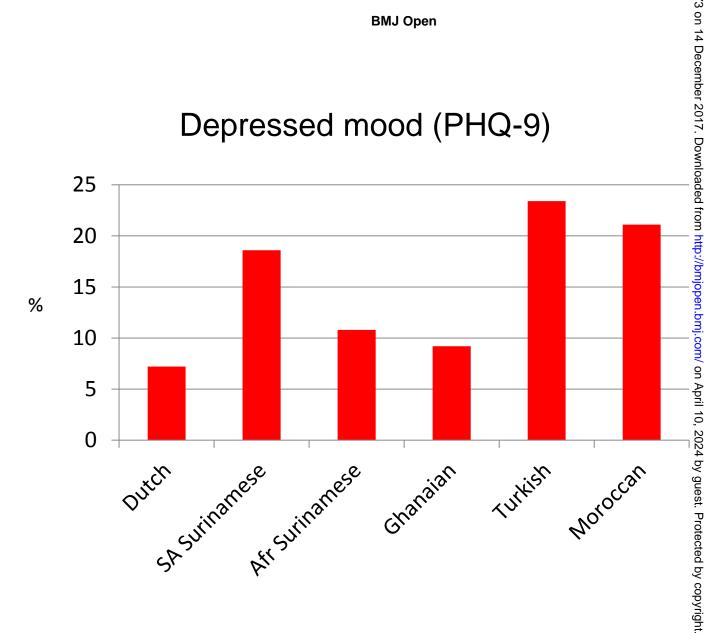


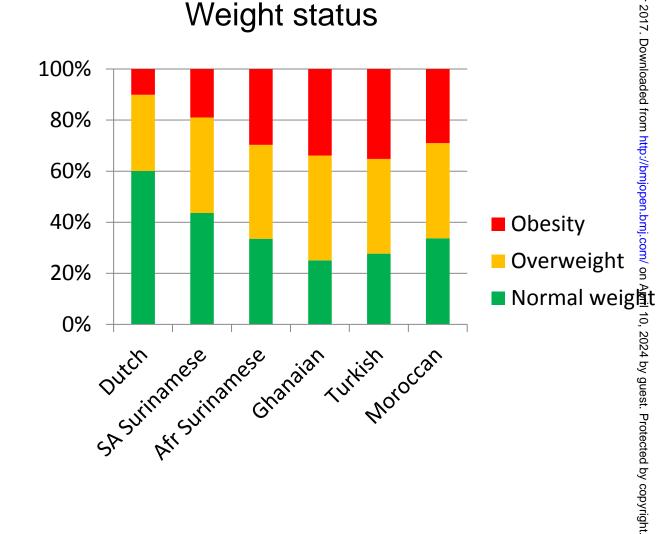






## Depressed mood (PHQ-9)





## **BMJ Open**

## Cohort Profile: the Healthy Life in an Urban Setting (HELIUS) study in Amsterdam, the Netherlands

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<b>Primary Subject Heading</b> :	Public health
Secondary Subject Heading:	Cardiovascular medicine, Diabetes and endocrinology, Epidemiology, Infectious diseases, Mental health
Keywords:	ethnicity, health inequalities, cardiovascular disease, MENTAL HEALTH, infectious disease, HELIUS study

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# Title

Cohort Profile: the Healthy Life in an Urban Setting (HELIUS) study in Amsterdam, the Netherlands

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#### **Abstract**

#### Purpose:

Ethnic minority groups usually have a more unfavourable disease risk profile than the host population. In Europe, ethnic inequalities in health have been observed in relatively small studies, with limited possibilities to explore underlying causes. The aim of the HELIUS study is to investigate the causes of (the unequal burden of) diseases across ethnic groups, focusing on three disease categories: cardiovascular diseases, mental health and infectious diseases.

# Participants:

The HELIUS study is a prospective cohort study among the largest ethnic groups living in Amsterdam, the Netherlands. Between 2011-2015, a total 24,789 participants (aged 18-70 years) was included at baseline. Similar-sized samples of individuals of Dutch, African Surinamese, South-Asian Surinamese, Ghanaian, Turkish and Moroccan origin were included. Participants filled in an extensive questionnaire and underwent a physical examination that included the collection of biological samples (biobank).

# Findings to date:

Data on physical, behavioural, psycho-social and biological risk factors, and also ethnicity-specific characteristics (e.g. culture, migration history, ethnic identity, socio-economic factors, and discrimination) were collected, as were measures of health outcomes (cardiovascular, mental health, infections). The first results have confirmed large inequalities in health between ethnic groups, such as diabetes and depressive symptoms, and also early markers of disease such as arterial wave reflection and chronic kidney disease, which can only just partially be explained by inequalities in traditional risk factors, such as obesity and SES. In addition, the first results provided important clues for targeting prevention and health care.

#### Future plans:

HELIUS will be used for further research on the underlying causes of ethnic differences in health. Follow-up data will be obtained by repeated measurements and by linkages with existing registries (e.g. hospital data, pharmacy data, insurance data).

#### **Keywords**

Ethnicity, health inequalities, cardiovascular disease, depressive symptoms, mental health, infectious disease, HELIUS study

# Strengths and limitations of this study

- The main strength of our cohort is the inclusion of a large number of participants from several ethnic groups living in the same city, in whom an extensive set of questionnaires, biological samples and physical measurements were collected.
- Outcomes and risk factors are measured using the same methodology across all ethnic groups, including the majority population, which allows direct comparisons between the groups.
- Another major strength is the focus on three disease categories (cardiovascular diseases, mental health and infectious diseases), allowing to investigate potential cross-links between them.
- Response rates were relatively low, possibly resulting in selection bias. Nevertheless, large
  numbers of each ethnic group were included and all socio-economic levels are represented
  in the samples; moreover, our non-response analyses show that socio-economic differences
  between participants and non-participants were very small.

#### Introduction

Ethnic minority groups usually have a more unfavourable disease risk profile than the host population. For example, the prevalence of viral hepatitis B and C infections,[1] as well as the death risks from almost all infections,[2] are generally higher among ethnic minority groups. Depressive symptoms are more common in ethnic minority groups across Europe.[3] Also, the higher cardiovascular risk among ethnic minorities is well documented; for example, the high prevalence of coronary heart disease among South-Asians [4] and the higher risk of stroke among people originating from Africa.[5]

In Europe, ethnic inequalities in health have been observed in relatively small studies, with limited possibilities to explore underlying causes. In addition, most large-scale population-based studies excluded ethnic minorities,[6] possibly due to the practical challenges to include these groups and because a homogeneous study population increases the internal validity of the study.[7] The 'Healthy Life in an Urban Setting' (HELIUS) study was set up to fill this gap in epidemiological health research in Europe, aiming to provide a knowledge base for the improvement of health care and the prevention of communicable and non-communicable diseases in ethnic minority groups.[7]

The HELIUS study is designed as a prospective cohort study, including six ethnic groups (including the Dutch as a reference) living in Amsterdam, the Netherlands. The general objective of the HELIUS study is to study the causes of (the unequal burden of) diseases across these ethnic groups, with emphasis on three disease categories: cardiovascular diseases, mental health and infectious diseases.[7] These are all major causes of global disease burden and mortality,[8, 9] while these disease categories are characterised by large ethnic variation, shared risk factors, and complex patterns of causal relations to each other.[10, 11] The aim of this paper is to describe the cohort (design, participation, baseline study population, and measurements), and illustrate some key findings to date. In addition, the strength and limitations of the cohort are described, as well as future plans and the collaboration policy of the study.

# **Cohort description**

Study design

Between January 2011 and December 2015, baseline HELIUS data were collected among Amsterdam residents of Dutch, Surinamese, Ghanaian, Turkish and Moroccan ethnic origin. More information on the migration backgrounds of the Dutch ethnic minority groups included in HELIUS can be found in **Box 1**. Data were collected through a questionnaire (or interview) and a physical examination that included the collection of biological samples. The HELIUS study has been approved by the Ethical Review Board of the Academic Medical Center Amsterdam. All participants provided written informed consent.

Ethnic group	Migration history
Surinamese	The Surinamese migrated to the Netherlands from Suriname, a former Dutch colony in South America. Surinamese with an African background ('Creole') are mainly the descendants of West Africans, and those with a South-Asian background ('Hindustani') have their roots in North India. Both groups migrated to Suriname in the nineteenth century. Their migration from Suriname to the Netherlands was mainly due to the unstable political situation in Suriname in 1975 and 1980. Ethnic minority groups with comparable South-Asian and African backgrounds can also be found in other European countries, including the United Kingdom (UK).
Turks and Moroccans	Turks and Moroccans form important migrant groups, not only in the Netherlands but also in other West-European countries (Belgium, France, Spain, Italy, and Germany). Migration from Turkey and Morocco was encouraged in the 1960s and early 1970s to fill labour shortages in unskilled occupations. The initial period of labour migration was followed by a second period (1970–1980) in which many guest workers brought their spouses and children to the Netherlands. Since then, many young Turkish and Moroccan people have chosen partners from their region of origin.
Ghanaians	The migration of Ghanaians to the Netherlands occurred in two phases. The first phase (between 1974 and 1983) was due to economic reasons. The second phase (in the early 1990s) was linked to drought, political instability, and the expulsion of Ghanaians from Nigeria. Ghanaians are also an important migrant group in the UK and Germany.
	1990s) was linked to drought, political instability, and the expulsion of Ghanaians from Nigeria. Ghanaians are also an important migrant group in the UK and Germany.

#### Recruitment

People in the age range of 18-70 years were randomly sampled, stratified by ethnic origin, through the municipality register of Amsterdam. This register contains data on country of birth of citizens and of their parents, thus allowing for sampling based on the Dutch standard indicator for ethnic origin.[12] More specifically, a person was defined as of non-Dutch ethnic origin if he/she fulfilled one of two criteria: 1) he/she was born outside the Netherlands and has at least one parent born outside the Netherlands (first generation); or 2) he/she was born in the Netherlands but both parents were born outside the Netherlands (second generation). Participants were considered of Dutch ethnicity if they and both of their parents were born in the Netherlands. After data collection, participants of Surinamese ethnic origin were further classified according to self-reported ethnic origin (obtained by questionnaire) into 'African', 'South-Asian', or 'other'.[12]

Selected individuals of Dutch, Surinamese, Ghanaian, Turkish and Moroccan ethnic origin received a written invitation combined with written information and a response card (in Dutch, and also in English for Ghanaian participants, in Turkish for Turkish participants, and Moroccan Arabic for Moroccan participants). After a positive response, subjects received a confirmation letter of an appointment for a physical examination, and a digital or paper version of the questionnaire (depending on the preference of the subject) to fill out at home. Questionnaires were also available in English for Ghanaian participants and in Turkish for Turkish participants. Participants who were unable to complete the questionnaire themselves were offered assistance from a trained ethnicallymatched same-sex interviewer, speaking their preferred language. Non-Dutch persons who did not respond to the written invitation letter were visited at home by an ethnically-matched interviewer, to provide additional information if needed (e.g. due to language or reading problems) and to assist in filling out the questionnaire in case the subject was willing to participate in the study. At the physical examination, participants were asked whether they had 18 to 70-year-old family members (parents, siblings, children, partner) living in Amsterdam who would also be willing to participate. If so, a maximum of 3 of these family members were also invited to participate. This multigenerational design enables us to study both family relations as well as different migration generations.

# Response and participation rates

Of those invited (n=91,609), 28 individuals were deceased before we invited them, and 1562 appeared not to live at the address or recently moved outside of Amsterdam, leading to 90,019 eligible persons for response analyses.

Of the 90,019 persons invited, 35,322 (39%) responded to our written invitation (**Figure 1**). Of those who responded, 20,445 (58%) agreed to participate. Of the 90,019 persons invited, 54,697

(61%) did not respond to our written invitation. We were able to visit 19,307 (35%) of those non-responders at home. Of the 19,307 visited at home, 4344 (23%) agreed to participate, whereas 10,286 (53%) refused to participate. We were unable to contact 4677 (24%), even after 5 visits (both during day time and in the evening). Therefore, overall, we were able to contact and get a response from 55% (49,952/90,019) of those invited, either by written invitation or after a home visit by an interviewer. Of those, in total 50% (24,789/49,952) agreed to participate, which we define as the 'participation rate'. There were modest ethnic variations in response and participation rates, as shown in **Figure 1**. The most frequently mentioned reasons for not participating were 'no time', 'not interested', or 'having health problems'. Also, 1,217 persons who initially agreed to participate repeatedly did not show up at their appointment, or could not be reached to arrange an appointment and, for these reasons, did not participate.

Finally, of all 90,019 invited persons, baseline data were obtained from 24,789 participants (28%), which we define as the 'response rate'. The response rate also showed some variation across ethnic groups (Figure 1). The response among family members was somewhat higher (~40%) than among index persons (~25%), but in the end only 12.9% of all participants were recruited as family members (and part of them were also already selected in the random samples of index persons). Of the 24,789 participants, 23,942 participants completed the questionnaire; 23,012 completed the physical examination including the collection of biological samples, and 22,165 participants completed both. Table 1 presents the demographic characteristics at baseline, stratified by the six major ethnic groups. As expected, it shows large variations in both age and educational level (self-reported highest level of education attained, either in the Netherlands or in the country of origin), within and across the ethnic groups. There were gender differences in educational level in some of the ethnic groups. Among Ghanaian, Turkish and Moroccan participants, the proportion of low education was higher in women than in men, whereas the proportion of medium-low education was lower (data not shown).

**Table 1.** Demographic characteristics of the baseline HELIUS study population by ethnicity.

	Dutch	South-Asian	African	Ghanaian	Turkish	Moroccan
		Surinamese	Surinamese			
N	4,671	3,369	4,458	2,735	4,200	4,502
Age (years)	46.1 ± 14.1	45.1 ± 13.5	47.6 ± 12.8	44.0 ± 11.7	39.9 ± 12.5	39.7 ± 13.1
Age groups						
18-29 years	883 (18.9)	661 (19.6)	611 (13.7)	420 (15.4)	1,139 (27.1)	1,328 (29.5)
30-39 years	824 (17.6)	500 (14.8)	602 (13.5)	477 (17.4)	925 (22.0)	1,058 (23.5)
40-49 years	956 (20.5)	870 (25.8)	1,075 (24.1)	920 (33.6)	1,214 (28.9)	1,047 (23.3)
50-59 years	1,114 (23.8)	902 (26.8)	1,508 (33.8)	811 (29.7)	739 (17.6)	783 (17.4)
60-70 years	894 (19.1)	436 (12.9)	662 (14.8)	107 (3.9)	183 (4.4)	286 (6.4)
Sex						
female	2,525 (54.1)	1,809 (53.7)	2,654 (59.5)	1,671 (61.1)	2,281 (54.3)	2,786 (61.9)
Migration generation						
1 <sup>st</sup> generation	NA	2,545 (75.5)	3,689 (82.8)	2,582 (94.4)	2,885 (68.7)	2,998 (66.6)
Educational level <sup>#</sup>						
Low	153 (3.3)	474 (14.1)	252 (5.7)	684 (28.0)	1,260 (31.1)	1,303 (30.4)
Medium-low	660 (14.3)	1,120 (33.4)	1,602 (36.2)	976 (40.0)	1,008 (25.0)	782 (18.2)
Medium-high	1,018 (22.1)	1,003 (29.9)	1,582 (35.8)	629 (25.8)	1,174 (29.1)	1,468 (34.2)
High	2,784 (60.3)	753 (22.5)	986 (22.3)	152 (6.2)	586 (14.5)	739 (17.2)

Data are presented as mean ± standard deviation, or n (%).

Participants of unknown/other Surinamese (n=803), or unknown/other (n=51) ethnic origin are excluded from this table.

<sup>&</sup>lt;sup>#</sup>Low=no schooling or elementary schooling only, Medium-low=lower vocational schooling or lower secondary schooling, Medium-high=intermediate vocational schooling or intermediate/higher secondary schooling, High=higher vocational schooling or university

Differences between participants, non-participants and those not contacted

Among all ethnic groups, women were more likely to participate than men, as evidenced by the higher percentage of women among participants as compared with that of the total random samples (all invited) (Table 2). Among those not contacted, the percentage of women was particularly low (except among Surinamese).

On average, those who participated were slightly older than those who refused to participate (except for the Dutch) or were not contacted. Those not contacted were the youngest among all ethnic groups. **Figure 2** (panel A) shows the response rates by age groups and ethnicity. The highest response was in the age group 45-54 years among the Ghanaian, Turkish and Moroccan groups, whereas in the Dutch and Surinamese groups, the highest response was among those aged 55-64 years. The lower response rates in the Ghanaian, Turkish and Moroccan groups of older age might be due to language difficulties experienced, in particular, in these older groups. Among those contacted, the percentage of those agreeing to participate (participation rate) also differed slightly by age group across all ethnic groups (**Figure 2**, panel B).

To explore to what extent the response was related to socio-economic status (SES), we constructed two SES indicators based on registry data at the level of the six-digit postcodes maintained by the Department of Research and Statistics of the Municipality of Amsterdam: 1) the average property value of dwellings, and 2) the percentage of residents living on a minimum income. This six-digit postcode area is the smallest geographical unit available. On average, these units are 50 by 50 m in size and include 10-20 households. **Table 2** shows that both SES indicators are more favourable (i.e. higher property value and lower percentage of residents living on minimum income) among participants as compared with non-participants. However, the differences are relatively small, particularly when compared with differences in these SES indicators across ethnic groups. The differences in SES indicators between the ethnic groups are in line with the ethnic differences in individual-level measured educational level as measured among participants (**Table 1**), showing the highest educational level among Dutch (highest percentage of medium-high plus high education) and the lowest among Ghanaians (highest percentage of low plus medium-low education).

**Table 2.** Sex, age and postal code-based socio-economic (SES) indicators among participants, non-participants and those not contacted, by ethnicity.

Dutch	Surinamese <sup>#</sup>	Ghanaian	Turkish	Moroccan
50.0	54.9	52.9	47.7	49.3
54.1	57.3	61.1	54.3	61.9
55.5	52.1	48.6	49.9	59.7
44.3	55.1	48.3	42.8	38.9
43.2 ± 14.6	43.5 ± 13.6	42.8 ± 12.3	37.6 ± 13.3	37.9 ± 13.5
46.0 ± 14.1	46.2 ± 13.1	43.7 ± 11.8	39.6 ± 12.5	39.5 ± 13.1
47.9 ± 14.7	43.6 ± 13.9	42.2 ± 13.4	37.8 ± 13.5	38.4 ± 14.1
38.8 ± 13.6	41.3 ± 13.4	42.4 ± 12.1	36.5 ± 13.3	37.1 ± 13.3
264.9 ± 139.0	192.3 ± 70.7	$154.3 \pm 47.0$	194.9 ± 61.6	192.8 ± 60.3
273.6 ± 139.6	196.2 ± 72.0	151.9 ± 43.9	194.4 ± 58.1	195.2 ± 56.9
258.8 ± 136.7	191.9 ± 69.0	150.9 ± 43.0	193.0 ± 61.4	194.5 ± 61.9
261.3 ± 139.4	189.5 ± 70.8	158.1 ± 50.9	196.4 ± 63.4	191.0 ± 60.8
10.0 (2.7-20.7)	22.0 (9.5-34.4)	30.0 (18.5-39.4)	25.0 (12.5-36.4)	28.0 (17.1-38.5)
9.1 (1.7-19.0)	20.9(7.8-33.3)	30.8 (19.2-40.0)	26.1 (13.3-37.0)	28.6 (18.2-38.7)
11.1 (2.9-22.7)	21.8 (9.1-34.6)	31.0 (18.9-40.9)	26.3 (14.3-26.3)	29.0 (17.6-39.1)
10.2 (3.0-20.8)	22.9 (10.9-35.0)	28.6 (17.1-37.9)	23.8 (11.1-35.3)	27.3 (16.3-37.9)
	50.0 54.1 55.5 44.3 43.2 ± 14.6 46.0 ± 14.1 47.9 ± 14.7 38.8 ± 13.6 264.9 ± 139.0 273.6 ± 139.6 258.8 ± 136.7 261.3 ± 139.4 10.0 (2.7-20.7) 9.1 (1.7-19.0) 11.1 (2.9-22.7) 10.2 (3.0-20.8)	50.0 54.9 54.1 57.3 55.5 52.1 44.3 55.1 43.2 ± 14.6 43.5 ± 13.6 46.0 ± 14.1 46.2 ± 13.1 47.9 ± 14.7 43.6 ± 13.9 38.8 ± 13.6 196.2 ± 72.0 273.6 ± 139.6 196.2 ± 72.0 258.8 ± 136.7 191.9 ± 69.0 261.3 ± 139.4 189.5 ± 70.8 10.0 (2.7-20.7) 22.0 (9.5-34.4) 9.1 (1.7-19.0) 20.9(7.8-33.3) 11.1 (2.9-22.7) 21.8 (9.1-34.6) 10.2 (3.0-20.8) 22.9 (10.9-35.0)	50.0       54.9       52.9         54.1       57.3       61.1         55.5       52.1       48.6         44.3       55.1       48.3         43.2 ± 14.6       43.5 ± 13.6       42.8 ± 12.3         46.0 ± 14.1       46.2 ± 13.1       43.7 ± 11.8         47.9 ± 14.7       43.6 ± 13.9       42.2 ± 13.4         38.8 ± 13.6       41.3 ± 13.4       42.4 ± 12.1         264.9 ± 139.0       192.3 ± 70.7       154.3 ± 47.0         273.6 ± 139.6       196.2 ± 72.0       151.9 ± 43.9         258.8 ± 136.7       191.9 ± 69.0       150.9 ± 43.0         261.3 ± 139.4       189.5 ± 70.8       158.1 ± 50.9         10.0 (2.7-20.7)       22.0 (9.5-34.4)       30.0 (18.5-39.4)         9.1 (1.7-19.0)       20.9(7.8-33.3)       30.8 (19.2-40.0)         11.1 (2.9-22.7)       21.8 (9.1-34.6)       31.0 (18.9-40.9)	$\begin{array}{cccccccccccccccccccccccccccccccccccc$

Data are presented as percentages, mean ± SD, or median (interquartile range)

<sup>\*</sup>Non-response data were only available for the Surinamese sample as a whole, because municipality registers do not distinguish between Surinamese subgroups

Measurements of risk factors and health outcomes

Table 3 provides an overview of the variables that were measured either by questionnaire or during the physical examination (more details on measurements are available from the authors upon request). Risk factors include general factors such as physical, behavioural, psycho-social and biological factors, as well as ethnicity-specific characteristics such as culture, migration history, ethnic identity, socio-economic factors, and discrimination. In addition, more extensive measures were measured not in the total study population, but in subsamples only. For example, dietary intake was estimated by an additional extensive food frequency questionnaire in a subsample of about 5200 participants. [13] Table 4 lists the biological samples that were collected (and, for those who gave permission, also stored) and the laboratory measurements which are already available. Faeces microbiome data will be available from a subsample of about 6000 participants; this will provide a unique cohort to extend research on the role of gut microbiota composition in the development of diabetes, cardiovascular diseases and other health outcomes. [14, 15]

#### Cross-cultural validity of measurements

Whenever possible, we used standard validated questionnaires. For example, the Patient Health Questionnaire-9 (PHQ-9) was used to measure depressive symptoms, [16] the SF-12 was used to measure quality of life, [17] and the SQUASH questionnaire was used to assess physical activity. [18] However, because validity studies for these instruments were performed in the Dutch general population or in only a few ethnic groups in the Netherlands only, [19] it was unknown whether they had similar validity and reliability for all the ethnic groups included in HELIUS. Validity studies within the HELIUS study indicated that the PHQ-9 and SF-12 indeed measure the same concepts in all ethnic groups and that there are no systematic differences in reporting between the groups. [20, 21] Also, the validity of self-reported physical activity with the SQUASH questionnaire was similar across ethnic groups. However, consistent with findings in the literature, we observed low agreement between self-reported physical activity and objectively measured physical activity by accelerometer and heart rate monitor. In addition, low test-retest reliability was found for the SQUASH questionnaire in all groups, implying no valid basis for the comparison of physical activity between the different ethnic groups. [22]

Table 3. Variables measured by questionnaire and measures obtained during the physical examination (extension of Tables from [7])

	Variables questionnaire		Physical examination
Theme	Explanatory factors	Outcomes	Explanatory factors/outcomes
General	Demographic and ethnicity-specific factors: sex, age, marital status, household composition, country of birth of participant and his/her (grand)parents, self-reported ethnicity, migration history, educational level, occupational status, occupational level, religion, cultural distance (acculturation), ethnic identity, perceived ethnic discrimination (Everyday Discrimination Scale)  Health-related behaviours: Smoking, alcohol intake, cannabis use, physical activity (SQUASH questionnaire), weight perception, fruit intake, vegetarian diet, dietary pattern (breakfast, lunch, evening meal), coffee/tea intake, sugary drinks intake  Health care use and related factors: Subjectively measured health literacy (SBS-Q), compliance with medication, perceived quality of GP, health care use (GP, specialists, psychological care, alternative health care), health care use in country of origin  Subsample*:	Perceived general health, quality of life (SF-12), list of 20 chronic conditions, functional limitations (in those aged >55 years)	Anthropometry (weight, height, and circumferences of waist, hip, thigh, arm and calf) Body fat percentage (using bioelectrical impedance) Hand grip strength Current medication use  Subsamples*: - physical activity by Actiheart accelerometer and heart ramonitor, 5 days (n≈500) - objectively measured health literacy (REALM-D test) (n≈9700)
	- dietary intake by extensive food-frequency questionnaire (n≈5200)		
Cardiovascular health	History of high blood pressure/ hypercholesterolaemia/ diabetes (including family history), family history of cardiovascular disease/sudden death, fainting history, age of menarche, age of menopause	Angina pectoris, possible myocardial infarction, and intermittent claudication (by Rose questionnaire), self-reported and suspected myocardial infarction, self-reported and suspected cerebrovascular events	Blood pressure (sitting position, 5 min of rest, WatchBP Home, Microlife) Electrocardiogram (supine position, MAC 1600 System, Gi  Subsamples*: - ankle-brachial blood pressure index (supine position, 7 min of rest, WatchBP Office ABI, Microlife) (n≈14600) - arterial stiffness by oscillometrically measured pulse wavelocity (supine, 10 min of rest, Arteriograph) (n≈15000) - non-invasive haemodynamics such as stroke volume, cardiac output, systemic vascular resistance (supine position, Nexfin) (n≈14500) - glycocalyx measurement (under tongue, Glycocheck) (n≈6800)
Mental health	Perceived social support (DES subscale of SSQT with SSQS), childhood trauma, parental psychiatric history, mastery (Pearlin-Schooler Mastery Scale), neuroticism and extraversion (NEO Five Factor Inventory), stressful life events	Depressive symptoms (PHQ-9), nicotine use-related disorder (Fagerstrom), alcohol use-related disorder (AUDIT), cannabis use- related disorder (CUDIT)	
Infectious diseases	History and presence of allergy/asthma/rhinitis, family history of allergy/asthma, food allergy, urogenital infections, travel behaviour, use of self-tests, history of blood transfusions, history of surgery in other		Self-reported current respiratory symptoms Self-reported vaginal hygiene (women)

\*In subsamples, we strived for equal numbers in each ethnic group

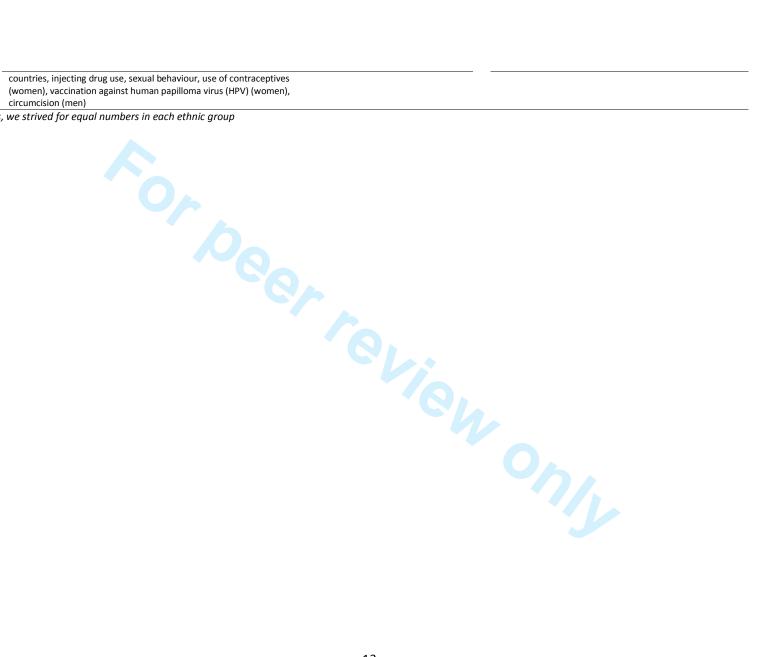


Table 4 Overview of available laboratory measures and stored biological samples

Type of sample	Laboratory measurements available	Biological samples stored in biobank
Fasting blood	- glucose, HbA1c, haemoglobin, triglycerides, total cholesterol, HDL, LDL (calculated), creatinine	- citrate plasma (-80°C)
(including DNA)	- D-dimer, fibrinogen, Lpa, ApoB, CRP (subsample n≈6000)	- serum (-80°C)
	- cholesteryl ester fatty acids and carotenoids (subsample n≈1000)	- heparin plasma (-80°C)
	- metabolites (subsample n≈500)	- EDTA-plasma (-80°C)
	- acylcarnitines, amino acids, sphingolipids (subsample n≈700)	- whole blood (-80°C)
	- antibodies against human papillomavirus, human T-lymphotopic viris-1, Helicobacter pylory,	- isolated DNA from pellets (4°C)
	Herpesvirus, and Chlamidia trachomatis (subsample n≈4680)	
	- antibodies against hepatitis E (subsample n≈1200)	
	- hepatitis B infection (anti-HBc, anti-HBs, HBeAg, anti-HBe, HBV-DNA) and hepatitis C infection (anti-	
	HCV, HCV RNA) (subsample n≈2990)	
	- whole genome SNP genotypes (GSA Illumina) (subsample n≈12000)†	
Morning urine	- microalbumin, creatinine	- urine (-80°C)
	- urine dipstick: pH, glucose, ketones, leucocytes, nitrite, protein, erythrocytes	
Faeces samples	- faecal microbiome†	Not applicable
(subsample, n≈6000)		
Vaginal swabs	- vaginal human papillomavirus (subsample n≈600)	- vaginal swabs (-20°C)
(subsample, n≈6000)	- vaginal chlamydia trachomatis (n≈1200)	
	- vaginal microbiome (subsample n≈600)	
Nasal and throat swabs	- respiratory viruses (subsample n≈600)	- material (cells, mucus) in medium (-80°C)
(subsample, n≈6600)		
†available by the end of 201	7	

 Baseline HELIUS measurements took place in 2011-2015. The goal of HELIUS is to repeat baseline measurements during follow-up examinations every 5-10 years, to enable longitudinal analyses on the relationship between risk factors and cardiovascular diseases, mental health and infectious diseases. In addition, in the participants' written informed consent obtained at baseline, we also asked permission 1) to store biological samples in the HELIUS biobank for future research (94% agreed), 2) to link their individual data to registries containing data relating to the participants' health (such as hospital admissions, pharmacy data, vaccination programs; 90% agreed), 3) to request the official causes of death from Statistics Netherlands (87% agreed), and 4) to approach them for additional studies in the future (sub-studies; 92% agreed). This enables us to not only obtain new laboratory measures at baseline in the future (from stored samples in the biobank), but also to link baseline HELIUS data to follow-up data (risk factors, health outcomes and mortality) obtained from existing registrations. In 2016, a first linkage of HELIUS data to follow-up registry data (health care use data as registered by health care insurance company) was performed and data are currently analysed.

# Findings to date

A list of all publications which are based on data from the HELIUS study is available at this website: <a href="http://www.heliusstudy.nl/nl/researchers/publications">http://www.heliusstudy.nl/nl/researchers/publications</a>. The first results have confirmed large inequalities in health between ethnic groups, such as diabetes and depressive symptoms, which can only partially be explained by inequalities in traditional risk factors, such as obesity and SES. In addition, the first results provided important clues for targeting prevention and health care. Below, we highlight some of these key findings emerging from the HELIUS study.

#### Ethnic inequalities in health

The prevalence of important cardiovascular disease risk factors varies largely between the ethnic groups. **Figure 3-A** shows that, while the prevalence of diabetes in the Dutch group remains below 5%, the prevalence of diabetes ranges from 10 to 12% in participants of African Surinamese, Ghanaian, Turkish, and Moroccan origin, and is particularly high among the South-Asian Surinamese (20%). Ethnic minority groups also have a 1.3 (Moroccans) to 3.6 (Ghanaians) times higher prevalence of hypertension as compared to the Dutch groups, and hypertension prevalence is particularly high in the two groups of African origin (Ghanaians and African Surinamese).[23] The higher prevalence of hypertension among the Turkish and Moroccan groups compared with the Dutch group suggests that risk patterns may be changing unfavourably over time because, just over a decade ago, these groups had a lower prevalence of hypertension compared to the Dutch.[24] A

large variation is also seen in depressive symptoms, with particularly high prevalence rates of depressed mood among the South-Asian Surinamese (19%), Turkish (23%) and Moroccan (21%) participants (Figure 3-B).

Overweight is one of the most important causes of increased cardiovascular risk and is also associated with depression. [25] As expected, large differences in overweight and obesity were found between the ethnic groups (Figure 3-C). While 60% of the Dutch population is considered to have a normal weight based on their body mass index, this percentage is only about 30% in the ethnic minority groups. Particularly in the Ghanaian and Turkish groups the prevalence of normal weight is very low, and the prevalence of obesity (extreme overweight) is extremely high, i.e. up to 35% as compared with 10% in the Dutch. However, the ethnic inequalities in both hypertension and diabetes are not explained by differences in overweight, or other 'traditional' risk factors such as fat distribution, educational level or health behaviours. [23, 26] Ethnic inequalities were not only observed for cardiovascular risk factors, but also for early markers of cardiovascular disease or markers of end-organ damage, such as arterial wave reflection and chronic kidney disease [27, 28]; again, this could not be attributed to traditional cardiovascular disease risk factors. Our results suggest ethnic inequalities in the aetiology of these diseases and emphasise the importance of further research on the underlying causes of ethnic differences in cardiovascular health.

Within HELIUS we also examined some risk factors that are less often investigated. For example, hand grip strength (a marker of muscle function) was found to be strongly related with diabetes, and large differences in hand grip strength were found between the ethnic groups (highest in Dutch and lowest in South-Asian Surinamese).[29] In addition, short sleep duration (<7 h per night) was more prevalent in the ethnic minority groups as compared to the Dutch [30], and short sleep duration was related to overweight, diabetes and hypertension.[31] However, despite these strong relations, both short sleep duration and hand grip strength only marginally contributed to the large ethnic inequalities in cardiovascular risk factors.[29, 31] Chronic stress is also suggested to contribute to cardiovascular risk, and an important chronic stress factor specific for ethnic minority groups is the level of perceived ethnic discrimination (PED).[32] In HELIUS, a positive association was found between PED and the clustering of traditional cardiovascular risk factors (metabolic syndrome) in some ethnic groups, with PED contributing about 5-7% to the metabolic syndrome in Surinamese and Moroccans.[33] PED was also related to depressive symptoms. In ethnic minority groups, PED is reported to account for about 25% of depressive symptoms.[34]

Human papillomavirus (HPV) is an easily transmittable sexually transmitted infection, and persistent infections with high-risk HPV (hrHPV) types cause nearly all cases of cervical cancer.[35] Higher incidences of cervical cancer (the fourth most common female cancer globally) have been observed among ethnic minority women as compared to women of Dutch origin.[36] In HELIUS, the

seroprevalence of hrHPV seroprevalence in blood, and the prevalence of vaginal high-risk hrHPV infection, differed between the ethnic groups.[37-39] South-Asian Surinamese, Moroccan and Turkish women had an overall lower seroprevalence of hrHPV (22%, 14% and 15%, respectively) as compared to Dutch women (30%).[39] Vaginal hrHPV prevalence was highest in the Dutch (42%) followed by the African Surinamese (32%), Turkish (29%), Ghanaian (26%), Moroccan (26%) and South-Asian Surinamese women (18%).[37] When adjusting for sexual risk behaviour, the odds to be hrHPV positive were similar for all ethnic groups. However, because of the higher incidence of cervical cancer in ethnic minority groups compared with the Dutch,[36] we expected to observe higher (sero)prevalences of hrHPV in ethnic minority women than in Dutch women. Future studies should explore other factors potentially responsible for the higher incidence of cervical cancer in these ethnic minorities (e.g. differences in clearance/ persistence of hrHPV infection, or differences in participation in cervical cancer screening programmes).

#### Prevention and health care

Results of the earlier SUNSET (Surinamese in The Netherlands: Study on Ethnicity and Health) study in 2001-2003 showed that, at that time, Surinamese participants were less often aware of their high blood pressure and, therefore, were also less often treated, as compared with Dutch participants.[40] This suggested a reduced access or quality of health care among Surinamese as compared with the Dutch, which could contribute to ethnic disparities in health. Our recent HELIUS results show that, as compared with the Dutch, the Surinamese groups (and the other ethnic minority groups) are currently even more often aware of their high blood pressure and are more often treated for hypertension. [23] These results suggest an improvement in hypertension management among ethnic minorities in Amsterdam in the last decade. However, despite medical treatment, ethnic minority groups suffering from hypertension still have lower rates of adequately controlled blood pressure levels.[23] A similar pattern is observed for diabetes: although similar or even higher awareness and treatment levels are observed in ethnic minority groups compared with the Dutch, poor glycaemic control is still highly prevalent. [26] As poor blood pressure control and poor glycaemic control are serious risk factors for cardiovascular diseases, it is important to investigate the causes of this poor control among the ethnic minority groups. One important factor to consider is the use of health care outside the Netherlands. In HELIUS we observed that some ethnic minority groups use health care in their country of origin more often than other ethnic minority groups, ranging from 4% among Surinamese to over 20% among Turkish ethnic groups.[41] We need to evaluate to what extent this influences their health care use in the Netherlands and their health.

Of all participants included in HELIUS, although 5% reported a history of cardiovascular disease (myocardial infarction, stroke and/or revascularisation), many of them did not have the risk factors under control; i.e. 33% was still smoking, 76% was overweight, 55% did not achieve the norm for physical activity, 31% had uncontrolled blood pressure, and 58% still had increased LDL-cholesterol levels.[42] These results show that risk factor control in secondary prevention is poor in the majority of individuals with cardiovascular diseases. The results suggest that secondary prevention should perhaps focus on different risk factors, depending on the ethnic origin of the individual patient.

#### Strengths and limitations

The main strengths of our cohort are the inclusion of a large number of participants from several ethnic groups living in the same city, and the collection of an extensive set of questionnaires, biological samples and physical measurements. Outcomes and risk factors are measured based on the same methodology across all ethnic groups, including the majority population.

Several supportive measures were taken to enhance the enrollment of ethnic minority groups. For example, we used ethnic-specific communication strategies, e.g. working with faith communities (churches, mosques) and endorsement from local key figures. In addition, after invitation by mail, we visited participants at home, used translated questionnaires, and had ethnically-matched interviewers and research assistants to provide help during data collection. Despite these labour-intensive measures, response rates were relatively low and this may have resulted in selection bias. However, we were able to include large numbers of each ethnic group in which all social-economic levels are represented; moreover, our non-response analyses show that socio-economic differences between participants and non-participants were very small.

In HELIUS, the unique ethnic differentiation can be utilised to investigate which factors might explain ethnic inequalities in health, such as socio-economic factors, culture, migration history, ethnic identity, discrimination, and genetic factors. Furthermore, we are able to validate existing questionnaires (such as the SF-12 and PHQ-9) across these ethnic groups. The addition of more complex or specific measures performed among subsamples of the cohort (such as gut microbiome data) will provide additional unique data bases. Finally, a major strength is the focus on three disease categories that each are a major cause of the global burden of disease, thereby enabling studies on the potential cross-links between these disease categories.

#### Collaboration

The HELIUS study has an open policy with regard to collaboration with other research groups and welcomes collaborations from a wide variety of disciplines. Information on procedures to acquire the

available data and/or samples are described in the HELIUS Collaboration Policy, which can be found at <a href="www.heliusstudy.nl/nl/researchers/collaboration">www.heliusstudy.nl/nl/researchers/collaboration</a>. In brief, to make use of the available data for research, we request a publication proposal describing background, aim, research questions, methods (analysis plan), and timetable. All proposals should be submitted to HELIUS via the corresponding author of this article, or via <a href="mailto:info@heliusstudy.nl">info@heliusstudy.nl</a>. The proposals are discussed in the HELIUS Executive Board regarding the study aims (compatibility with the general objectives of the HELIUS study/informed consent), the quality of the research proposal, and potential overlap with ongoing studies. After approval, the requested data will be provided after a Data Transfer Agreement has been signed. For more information, please visit our website or contact the corresponding author.

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#### **Contributors**

MBS, MP, EMD, RJP, AHZ, KS participated in the conceptual design of the HELIUS study. MBS drafted the manuscript and performed the analyses. MBS, HG, MP, EMD, RJP, AHZ, KS contributed to interpretation of the data and critically revised the manuscript. MBS, HG, MP, EMD, RJP, AHZ, KS read and approved the final version of the manuscript.

Competing interest: None declared

#### Figure legends

**Figure 1.** Flowchart of recruitment for the HELIUS study.

Participation rate: percentage of participants of those contacted; Response rate: percentage of participants of those invited

**Figure 2.** Response rate (A) and participation rate (B) by 5-year age groups and ethnicity.

Participation rate: percentage of participants of those contacted; Response rate: percentage of participants of those invited (see also Figure 1)

**Figure 3.** The prevalence of diabetes (A), depressive mood (B) and weight status (C) by ethnicity. Diabetes is defined by self-reported diagnosis of diabetes, fasting glucose  $\geq$ 7.0 mmol/l and/or use of glucose lowering medication; Depressed mood defined as a PHQ-9 sumscore  $\geq$ 10; Obesity defined as a BMI  $\geq$ 30 kg/m²; Overweight defined as a BMI 25-30 kg/m²; Normal weight defined as a BMI <25 kg/m². SA, South-Asian; Afr, African

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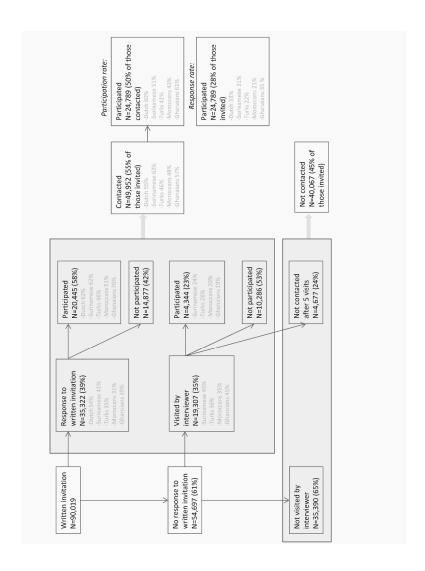
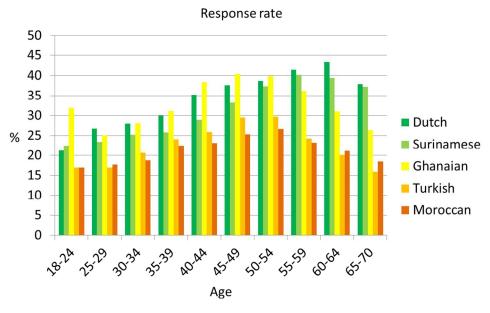


Figure 1. Flowchart of recruitment for the HELIUS study.

Participation rate: percentage of participants of those contacted; Response rate: percentage of participants of those invited

297x420mm (300 x 300 DPI)



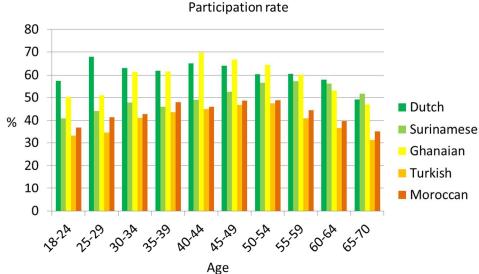


Figure 2. Response rate (A) and participation rate (B) by 5-year age groups and ethnicity.

Participation rate: percentage of participants of those contacted; Response rate: percentage of participants of those invited (see also Figure 1)

109x133mm (300 x 300 DPI)

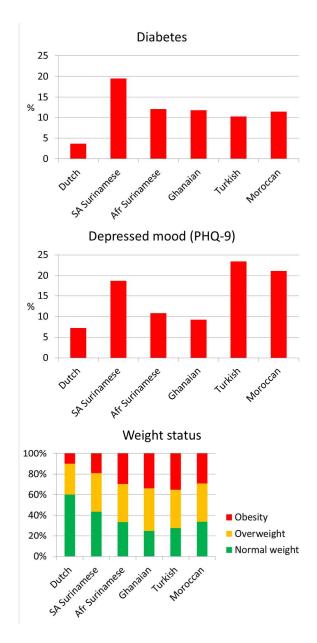


Figure 3. The prevalence of diabetes (A), depressive mood (B) and weight status (C) by ethnicity. Diabetes is defined by self-reported diagnosis of diabetes, fasting glucose ≥7.0 mmol/l and/or use of glucose lowering medication; Depressed mood defined as a PHQ-9 sumscore ≥10; Obesity defined as a BMI ≥30 kg/m2; Overweight defined as a BMI 25-30 kg/m2; Normal weight defined as a BMI <25 kg/m2. SA, South-Asian; Afr, African

85x178mm (300 x 300 DPI)

# STROBE Statement—Checklist of items that should be included in reports of *cohort studies*

	Item No	Decommendation	Dage
Title and abstract	1	Recommendation  (a) Indicate the study's design with a commonly used term in the	Page 1 & 2
Title and abstract	1	title or the abstract	1 W 2
		(b) Provide in the abstract an informative and balanced summary	2
		of what was done and what was found	
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the	4
		investigation being reported	
Objectives	3	State specific objectives, including any prespecified hypotheses	<mark>4</mark>
Methods			
Study design	4	Present key elements of study design early in the paper	4 to 15
Setting	5	Describe the setting, locations, and relevant dates, including	4 to 15
· ·		periods of recruitment, exposure, follow-up, and data collection	
Participants	6	(a) Give the eligibility criteria, and the sources and methods of	<mark>6 &amp; 15</mark>
		selection of participants. Describe methods of follow-up	
		(b) For matched studies, give matching criteria and number of	<mark>NA</mark>
		exposed and unexposed	
Variables	7	Clearly define all outcomes, exposures, predictors, potential	12 to 15
		confounders, and effect modifiers. Give diagnostic criteria, if	(Tables 3&4)
		applicable	
Data sources/	8*	For each variable of interest, give sources of data and details of	12 to 15
measurement		methods of assessment (measurement). Describe comparability of	(Tables 3&4)
		assessment methods if there is more than one group	
Bias	9	Describe any efforts to address potential sources of bias	3 & 18
			(Strengths and
			limitations)
Study size	10	Explain how the study size was arrived at	20 (Figure 1)
Quantitative variables	11	Explain how quantitative variables were handled in the analyses.	NA
		If applicable, describe which groupings were chosen and why	
Statistical methods	12	(a) Describe all statistical methods, including those used to	NA
		control for confounding	
		(b) Describe any methods used to examine subgroups and	NA
		interactions	NT.
		(c) Explain how missing data were addressed	NA NA
		(d) If applicable, explain how loss to follow-up was addressed	NA NA
		(e) Describe any sensitivity analyses	<mark>NA</mark>
Results			
Participants	13*	(a) Report numbers of individuals at each stage of study—eg	6 to 7, and
		numbers potentially eligible, examined for eligibility, confirmed	20 (Figure 1)
		eligible, included in the study, completing follow-up, and	
		analysed	
		(b) Give reasons for non-participation at each stage	7
		(c) Consider use of a flow diagram	20 (Figure 1)
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic,	7 and
		clinical, social) and information on exposures and potential confounders	8 (Table 1)

		(b) Indicate number of participants with missing data for each	NA
		variable of interest	<u>- 11 - 1</u>
		(c) Summarise follow-up time (eg, average and total amount)	NA
Outcome data	15*	Report numbers of outcome events or summary measures over	NA
		time	
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-	NA NA
		adjusted estimates and their precision (eg, 95% confidence	
		interval). Make clear which confounders were adjusted for and	
		why they were included	
		(b) Report category boundaries when continuous variables were	<mark>NA</mark>
		categorized	
		(c) If relevant, consider translating estimates of relative risk into	NA
		absolute risk for a meaningful time period	
Other analyses	17	Report other analyses done—eg analyses of subgroups and	NA
•		interactions, and sensitivity analyses	
Discussion			
Key results	18	Summarise key results with reference to study objectives	15 to 18
			(Findings to
			date)
Limitations	19	Discuss limitations of the study, taking into account sources of	<mark>3 &amp; 18</mark>
		potential bias or imprecision. Discuss both direction and	(Strengths and
		magnitude of any potential bias	limitations)
Interpretation	20	Give a cautious overall interpretation of results considering	15 to 18
		objectives, limitations, multiplicity of analyses, results from	(Findings to
		similar studies, and other relevant evidence	date)
Generalisability	21	Discuss the generalisability (external validity) of the study results	NA NA
Other information			
Funding	22	Give the source of funding and the role of the funders for the	<mark>19</mark>
		present study and, if applicable, for the original study on which	
		the present article is based	

<sup>\*</sup>Give information separately for exposed and unexposed groups.

**Note:** An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at http://www.plosmedicine.org/, Annals of Internal Medicine at http://www.annals.org/, and Epidemiology at http://www.epidem.com/). Information on the STROBE Initiative is available at http://www.strobe-statement.org.

# **BMJ Open**

# Cohort Profile: the Healthy Life in an Urban Setting (HELIUS) study in Amsterdam, the Netherlands

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Secondary Subject Heading:	Cardiovascular medicine, Diabetes and endocrinology, Epidemiology, Infectious diseases, Mental health
Keywords:	ethnicity, health inequalities, cardiovascular disease, MENTAL HEALTH, infectious disease, HELIUS study

SCHOLARONE™ Manuscripts

# Title

Cohort Profile: the Healthy Life in an Urban Setting (HELIUS) study in Amsterdam, the Netherlands

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#### Abstract

#### Purpose:

Ethnic minority groups usually have a more unfavourable disease risk profile than the host population. In Europe, ethnic inequalities in health have been observed in relatively small studies, with limited possibilities to explore underlying causes. The aim of the HELIUS study is to investigate the causes of (the unequal burden of) diseases across ethnic groups, focusing on three disease categories: cardiovascular diseases, mental health and infectious diseases.

# Participants:

The HELIUS study is a prospective cohort study among the largest ethnic groups living in Amsterdam, the Netherlands. Between 2011-2015, a total 24,789 participants (aged 18-70 years) were included at baseline. Similar-sized samples of individuals of Dutch, African Surinamese, South-Asian Surinamese, Ghanaian, Turkish and Moroccan origin were included. Participants filled in an extensive questionnaire and underwent a physical examination that included the collection of biological samples (biobank).

# Findings to date:

Data on physical, behavioural, psycho-social and biological risk factors, and also ethnicity-specific characteristics (e.g. culture, migration history, ethnic identity, socio-economic factors, and discrimination) were collected, as were measures of health outcomes (cardiovascular, mental health, infections). The first results have confirmed large inequalities in health between ethnic groups, such as diabetes and depressive symptoms, and also early markers of disease such as arterial wave reflection and chronic kidney disease, which can only just partially be explained by inequalities in traditional risk factors, such as obesity and SES. In addition, the first results provided important clues for targeting prevention and health care.

#### Future plans:

HELIUS will be used for further research on the underlying causes of ethnic differences in health. Follow-up data will be obtained by repeated measurements and by linkages with existing registries (e.g. hospital data, pharmacy data, insurance data).

#### **Keywords**

Ethnicity, health inequalities, cardiovascular disease, depressive symptoms, mental health, infectious disease, HELIUS study

# Strengths and limitations of this study

- The main strength of our cohort is the inclusion of a large number of participants from several ethnic groups living in the same city, in whom an extensive set of questionnaires, biological samples and physical measurements were collected.
- Outcomes and risk factors were measured using the same methodology across all ethnic groups, including the majority population, which allows direct comparisons between the groups.
- Another major strength is the focus on three disease categories (cardiovascular diseases, mental health and infectious diseases), allowing us to investigate potential cross-links between them.
- Response rates were relatively low, possibly resulting in selection bias. Nevertheless, large
  numbers of each ethnic group were included and all socio-economic levels are represented
  in the samples; moreover, our non-response analyses show that socio-economic differences
  between participants and non-participants were very small.

#### Introduction

Ethnic minority groups usually have a more unfavourable disease risk profile than the host population. For example, the prevalence of viral hepatitis B and C infections,[1] as well as the death risks from almost all infections,[2] are generally higher among ethnic minority groups. Depressive symptoms are more common in ethnic minority groups across Europe.[3] Also, the higher cardiovascular risk among ethnic minorities is well documented; for example, the high prevalence of coronary heart disease among South-Asians [4] and the higher risk of stroke among people originating from Africa.[5]

In Europe, ethnic inequalities in health have been observed in relatively small studies, with limited possibilities to explore underlying causes. In addition, most large-scale population-based studies excluded ethnic minorities,[6] possibly due to the practical challenges to include these groups and because a homogeneous study population increases the internal validity of the study.[7] The 'Healthy Life in an Urban Setting' (HELIUS) study was set up to fill this gap in epidemiological health research in Europe, aiming to provide a knowledge base for the improvement of health care and the prevention of communicable and non-communicable diseases in ethnic minority groups.[7]

The HELIUS study is designed as a prospective cohort study, including six ethnic groups (including the Dutch as a reference) living in Amsterdam, the Netherlands. The general objective of the HELIUS study is to study the causes of (the unequal burden of) diseases across these ethnic groups, with emphasis on three disease categories: cardiovascular diseases, mental health and infectious diseases.[7] These are all major causes of global disease burden and mortality,[8, 9] while these disease categories are characterised by large ethnic variation, shared risk factors, and complex patterns of causal relations to each other.[10, 11] The aim of this paper is to describe the cohort (design, participation, baseline study population, and measurements), and illustrate some key findings to date. In addition, the strength and limitations of the cohort are described, as well as future plans and the collaboration policy of the study.

# **Cohort description**

Study design

Between January 2011 and December 2015, baseline HELIUS data were collected among Amsterdam residents of Dutch, Surinamese, Ghanaian, Turkish and Moroccan ethnic origin. More information on the migration backgrounds of the Dutch ethnic minority groups included in HELIUS can be found in **Box 1**. Data were collected through a questionnaire (or interview) and a physical examination that included the collection of biological samples. The HELIUS study has been approved by the Ethical Review Board of the Academic Medical Center Amsterdam. All participants provided written informed consent.

Ethnic group	Migration history
Surinamese	The Surinamese migrated to the Netherlands from Suriname, a former Dutch colony in South America. Surinamese with an African background (referred to as 'Creole' in the Dutch context) are mainly the descendants of West Africans, and those with a South-Asian background (referred to as 'Hindustani' in the Dutch context) have their roots in North India. Both groups migrated to Suriname in the nineteenth century. Their migration from Suriname to the Netherlands was mainly due to the unstable political situation in Suriname in 1975 and 1980. Ethnic minority groups with comparable South-Asian and African backgrounds can also be found in other European countries, including the United Kingdom (UK).
Turks and Moroccans	Turks and Moroccans form important migrant groups, not only in the Netherlands but also in other West-European countries (Belgium, France, Spain, Italy, and Germany). Migration from Turkey and Morocco was encouraged in the 1960s and early 1970s to fil labour shortages in unskilled occupations. The initial period of labour migration was followed by a second period (1970–1980) in which many guest workers brought their spouses and children to the Netherlands. Since then, many young Turkish and Moroccar people have chosen partners from their region of origin.
Ghanaians	The migration of Ghanaians to the Netherlands occurred in two phases. The first phase (between 1974 and 1983) was due to economic reasons. The second phase (in the early 1990s) was linked to drought, political instability, and the expulsion of Ghanaians from Nigeria. Ghanaians are also an important migrant group in the UK and Germany.
	Nigeria. Ghanaians are also an important migrant group in the UK and Germany.

#### Recruitment

People in the age range of 18-70 years were randomly sampled, stratified by ethnic origin, through the municipality register of Amsterdam. This register contains data on country of birth of citizens and of their parents, thus allowing for sampling based on the widely accepted Dutch standard indicator for ethnic origin. This country of birth indicator of ethnicity has the advantage of being objective and stable over time, and cross-validation studies showed a high correlation between the country of birth indicator and self-identified ethnic group indicator among Turkish, Moroccan and Surinamese people in the Netherlands.[12] More specifically, a person was defined as of non-Dutch ethnic origin if he/she fulfilled one of two criteria: 1) he/she was born outside the Netherlands and has at least one parent born outside the Netherlands (first generation); or 2) he/she was born in the Netherlands but both parents were born outside the Netherlands (second generation). For the Dutch sample, we invited people who were born in the Netherlands and whose parents were born in the Netherlands. A limitation of the country of birth indicator for ethnicity is that people who are born in the same country might have a different ethnic background, which in the Dutch context is applicable to the Surinamese population (see Box 1). Therefore, after data collection, participants of Surinamese ethnic origin were further classified according to self-reported ethnic origin (obtained by questionnaire) into 'African', 'South-Asian', or 'other'.[12]

Selected individuals of Dutch, Surinamese, Ghanaian, Turkish and Moroccan ethnic origin received a written invitation combined with written information and a response card (in Dutch, and also in English for Ghanaian participants, in Turkish for Turkish participants, and Moroccan Arabic for Moroccan participants). After a positive response, subjects received a confirmation letter of an appointment for a physical examination, and a digital or paper version of the questionnaire (depending on the preference of the subject) to fill out at home. Questionnaires were also available in English for Ghanaian participants and in Turkish for Turkish participants. Participants who were unable to complete the questionnaire themselves were offered assistance from a trained ethnicallymatched same-sex interviewer, speaking their preferred language. Non-Dutch persons who did not respond to the written invitation letter were visited at home by an ethnically-matched interviewer, to provide additional information if needed (e.g. due to language or reading problems) and to assist in filling out the questionnaire in case the subject was willing to participate in the study. At the physical examination, participants were asked whether they had 18 to 70-year-old family members (parents, siblings, children, partner) living in Amsterdam who would also be willing to participate. If so, a maximum of 3 of these family members were also invited to participate. This multigenerational design enables us to study both family relations as well as different migration generations.

Response and participation rates

Of those invited (n=91,609), 28 individuals were deceased before we invited them, and 1562 appeared not to live at the address or recently moved outside of Amsterdam, leading to 90,019 eligible persons for response analyses.

Of the 90,019 persons invited, 35,322 (39%) responded to our written invitation (**Figure 1**). Of those who responded, 20,445 (58%) agreed to participate. Of the 90,019 persons invited, 54,697 (61%) did not respond to our written invitation. We were able to visit 19,307 (35%) of those non-responders at home. Of the 19,307 visited at home, 4344 (23%) agreed to participate, whereas 10,286 (53%) refused to participate. We were unable to contact 4677 (24%), even after 5 visits (both during day time and in the evening). Therefore, overall, we were able to contact and get a response from 55% (49,952/90,019) of those invited, either by written invitation or after a home visit by an interviewer. Of those, in total 50% (24,789/49,952) agreed to participate, which we define as the 'participation rate'. There were modest ethnic variations in response and participation rates, as shown in **Figure 1**. The most frequently mentioned reasons for not participating were 'no time', 'not interested', or 'having health problems'. Also, 1,217 persons who initially agreed to participate repeatedly did not show up at their appointment, or could not be reached to arrange an appointment and, for these reasons, did not participate.

Finally, of all 90,019 invited persons, baseline data were obtained from 24,789 participants (28%), which we define as the 'response rate'. The response rate also showed some variation across ethnic groups (Figure 1). The response among family members was somewhat higher (~40%) than among index persons (~25%), but in the end only 12.9% of all participants were recruited as family members (and part of them were also already selected in the random samples of index persons). Of the 24,789 participants, 23,942 participants completed the questionnaire; 23,012 completed the physical examination including the collection of biological samples, and 22,165 participants completed both. Table 1 presents the demographic characteristics at baseline, stratified by the six major ethnic groups. As expected, it shows large variations in both age and educational level (self-reported highest level of education attained, either in the Netherlands or in the country of origin), within and across the ethnic groups. There were gender differences in educational level in some of the ethnic groups. Among Ghanaian, Turkish and Moroccan participants, the proportion of low education was higher in women than in men, whereas the proportion of medium-low education was lower (data not shown).

**Table 1.** Demographic characteristics of the baseline HELIUS study population by ethnicity.

	Dutch	South-Asian	African	Ghanaian	Turkish	Moroccan
		Surinamese	Surinamese			
N	4,671	3,369	4,458	2,735	4,200	4,502
Age (years)	46.1 ± 14.1	45.1 ± 13.5	47.6 ± 12.8	44.0 ± 11.7	39.9 ± 12.5	39.7 ± 13.1
Age groups						
18-29 years	883 (18.9)	661 (19.6)	611 (13.7)	420 (15.4)	1,139 (27.1)	1,328 (29.5)
30-39 years	824 (17.6)	500 (14.8)	602 (13.5)	477 (17.4)	925 (22.0)	1,058 (23.5)
40-49 years	956 (20.5)	870 (25.8)	1,075 (24.1)	920 (33.6)	1,214 (28.9)	1,047 (23.3)
50-59 years	1,114 (23.8)	902 (26.8)	1,508 (33.8)	811 (29.7)	739 (17.6)	783 (17.4)
60-70 years	894 (19.1)	436 (12.9)	662 (14.8)	107 (3.9)	183 (4.4)	286 (6.4)
Sex						
female	2,525 (54.1)	1,809 (53.7)	2,654 (59.5)	1,671 (61.1)	2,281 (54.3)	2,786 (61.9)
Migration generation						
1 <sup>st</sup> generation	NA	2,545 (75.5)	3,689 (82.8)	2,582 (94.4)	2,885 (68.7)	2,998 (66.6)
Educational level <sup>#</sup>						
Low	153 (3.3)	474 (14.1)	252 (5.7)	684 (28.0)	1,260 (31.1)	1,303 (30.4)
Medium-low	660 (14.3)	1,120 (33.4)	1,602 (36.2)	976 (40.0)	1,008 (25.0)	782 (18.2)
Medium-high	1,018 (22.1)	1,003 (29.9)	1,582 (35.8)	629 (25.8)	1,174 (29.1)	1,468 (34.2)
High	2,784 (60.3)	753 (22.5)	986 (22.3)	152 (6.2)	586 (14.5)	739 (17.2)

Data are presented as mean ± standard deviation, or n (%).

Participants of unknown/other Surinamese (n=803), or unknown/other (n=51) ethnic origin are excluded from this table.

<sup>&</sup>lt;sup>#</sup>Low=no schooling or elementary schooling only, Medium-low=lower vocational schooling or lower secondary schooling, Medium-high=intermediate vocational schooling or intermediate/higher secondary schooling, High=higher vocational schooling or university

Differences between participants, non-participants and those not contacted

Among all ethnic groups, women were more likely to participate than men, as evidenced by the higher percentage of women among participants as compared with that of the total random samples (all invited) (Table 2). Among those not contacted, the percentage of women was particularly low (except among Surinamese).

On average, those who participated were slightly older than those who refused to participate (except for the Dutch) or were not contacted. Those not contacted were the youngest among all ethnic groups. **Figure 2** (panel A) shows the response rates by age groups and ethnicity. The highest response was in the age group 45-54 years among the Ghanaian, Turkish and Moroccan groups, whereas in the Dutch and Surinamese groups, the highest response was among those aged 55-64 years. The lower response rates in the Ghanaian, Turkish and Moroccan groups of older age might be due to language difficulties experienced, in particular, in these older groups. Among those contacted, the percentage of those agreeing to participate (participation rate) also differed slightly by age group across all ethnic groups (**Figure 2**, panel B).

To explore to what extent the response was related to socio-economic status (SES), we constructed two SES indicators based on registry data at the level of the six-digit postcodes maintained by the Department of Research and Statistics of the Municipality of Amsterdam: 1) the average property value of dwellings, and 2) the percentage of residents living on a minimum income. This six-digit postcode area is the smallest geographical unit available. On average, these units are 50 by 50 m in size and include 10-20 households. **Table 2** shows that both SES indicators are more favourable (i.e. higher property value and lower percentage of residents living on minimum income) among participants as compared with non-participants. However, the differences are relatively small, particularly when compared with differences in these SES indicators across ethnic groups. The differences in SES indicators between the ethnic groups are in line with the ethnic differences in individual-level measured educational level as measured among participants (**Table 1**), showing the highest educational level among Dutch (highest percentage of medium-high plus high education) and the lowest among Ghanaians (highest percentage of low plus medium-low education).

**Table 2.** Sex, age and postal code-based socio-economic (SES) indicators among participants, non-participants and those not contacted, by ethnicity.

	Dutch	Surinamese <sup>#</sup>	Ghanaian	Turkish	Moroccan
Sex (% women)					
All invited (random samples)	50.0	54.9	52.9	47.7	49.3
Participants	54.1	57.3	61.1	54.3	61.9
Non-participants	55.5	52.1	48.6	49.9	59.7
Not contacted	44.3	55.1	48.3	42.8	38.9
Age (years)					
All invited (random samples)	43.2 ± 14.6	43.5 ± 13.6	42.8 ± 12.3	37.6 ± 13.3	37.9 ± 13.5
Participants	46.0 ± 14.1	46.2 ± 13.1	43.7 ± 11.8	39.6 ± 12.5	39.5 ± 13.1
Non-participants	47.9 ± 14.7	43.6 ± 13.9	42.2 ± 13.4	37.8 ± 13.5	38.4 ± 14.1
Not contacted	38.8 ± 13.6	41.3 ± 13.4	42.4 ± 12.1	36.5 ± 13.3	37.1 ± 13.3
SES indicator					
(average property value,					
kEuro)					
All invited (random samples)	264.9 ± 139.0	192.3 ± 70.7	$154.3 \pm 47.0$	194.9 ± 61.6	192.8 ± 60.3
Participants	273.6 ± 139.6	196.2 ± 72.0	$151.9 \pm 43.9$	194.4 ± 58.1	195.2 ± 56.9
Non-participants	258.8 ± 136.7	191.9 ± 69.0	150.9 ± 43.0	193.0 ± 61.4	194.5 ± 61.9
Not contacted	261.3 ± 139.4	189.5 ± 70.8	158.1 ± 50.9	196.4 ± 63.4	191.0 ± 60.8
SES indicator					
(% on minimum income)					
All invited (random samples)	10.0 (2.7-20.7)	22.0 (9.5-34.4)	30.0 (18.5-39.4)	25.0 (12.5-36.4)	28.0 (17.1-38.5)
Participants	9.1 (1.7-19.0)	20.9(7.8-33.3)	30.8 (19.2-40.0)	26.1 (13.3-37.0)	28.6 (18.2-38.7)
Non-participants	11.1 (2.9-22.7)	21.8 (9.1-34.6)	31.0 (18.9-40.9)	26.3 (14.3-26.3)	29.0 (17.6-39.1)
Not contacted	10.2 (3.0-20.8)	22.9 (10.9-35.0)	28.6 (17.1-37.9)	23.8 (11.1-35.3)	27.3 (16.3-37.9)

Data are presented as percentages, mean ± SD, or median (interquartile range)

<sup>\*</sup>Non-response data were only available for the Surinamese sample as a whole, because municipality registers do not distinguish between Surinamese subgroups

Measurements of risk factors and health outcomes

**Table 3** provides an overview of the variables that were measured either by questionnaire or during the physical examination (more details on measurements are available from the authors upon request). Risk factors include general factors such as physical, behavioural, psycho-social and biological factors, as well as ethnicity-specific characteristics such as culture, migration history, ethnic identity, socio-economic factors, and discrimination. In addition, more extensive measures were measured not in the total study population, but in subsamples only. For example, dietary intake was estimated by an additional extensive food frequency questionnaire in a subsample of about 5200 participants.[13] **Table 4** lists the biological samples that were collected (and, for those who gave permission, also stored) and the laboratory measurements which are already available. Faeces microbiome data will be available from a subsample of about 6000 participants; this will provide a unique cohort to extend research on the role of gut microbiota composition in the development of diabetes, cardiovascular diseases and other health outcomes.[14, 15]

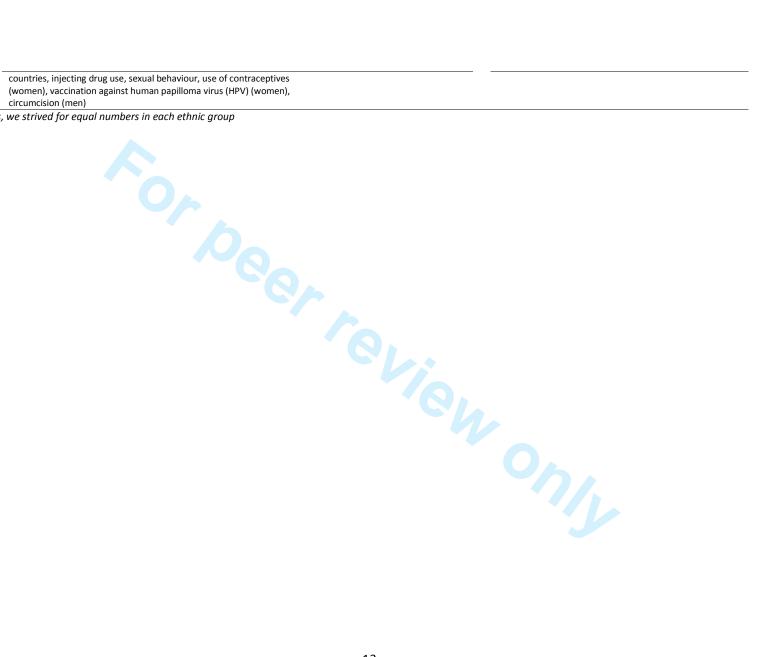
## Cross-cultural validity of measurements

Whenever possible, we used standard validated questionnaires. For example, the Patient Health Questionnaire-9 (PHQ-9) was used to measure depressive symptoms, [16] the SF-12 was used to measure quality of life, [17] and the SQUASH questionnaire was used to assess physical activity. [18] However, because validity studies for these instruments were performed in the Dutch general population or in only a few ethnic groups in the Netherlands only, [19] it was unknown whether they had similar validity and reliability for all the ethnic groups included in HELIUS. Validity studies within the HELIUS study indicated that the PHQ-9 and SF-12 indeed measure the same concepts in all ethnic groups and that there are no systematic differences in reporting between the groups. [20, 21] Also, the validity of self-reported physical activity with the SQUASH questionnaire was similar across ethnic groups. However, consistent with findings in the literature, we observed low agreement between self-reported physical activity and objectively measured physical activity by accelerometer and heart rate monitor. In addition, low test-retest reliability was found for the SQUASH questionnaire in all groups, implying no valid basis for the comparison of physical activity between the different ethnic groups. [22]

Table 3. Variables measured by questionnaire and measures obtained during the physical examination (extension of Tables from [7])

	Variables questionnaire		Physical examination
Theme	Explanatory factors	Outcomes	Explanatory factors/outcomes
General	Demographic and ethnicity-specific factors: sex, age, marital status, household composition, country of birth of participant and his/her (grand)parents, self-reported ethnicity, migration history, educational level, occupational status, occupational level, religion, cultural distance (acculturation), ethnic identity, perceived ethnic discrimination (Everyday Discrimination Scale)  Health-related behaviours: Smoking, alcohol intake, cannabis use, physical activity (SQUASH questionnaire), weight perception, fruit intake, vegetarian diet, dietary pattern (breakfast, lunch, evening meal), coffee/tea intake, sugary drinks intake  Health care use and related factors: Subjectively measured health literacy (SBS-Q), compliance with medication, perceived quality of GP, health care use (GP, specialists, psychological care, alternative health care), health care use in country of origin	Perceived general health, quality of life (SF-12), list of 20 chronic conditions, functional limitations (in those aged >55 years)	Anthropometry (weight, height, and circumferences of waist, hip, thigh, arm and calf) Body fat percentage (using bioelectrical impedance) Hand grip strength Current medication use  Subsamples*: - physical activity by Actiheart accelerometer and heart ramonitor, 5 days (n≈500) - objectively measured health literacy (REALM-D test) (n≈9700)
	Subsample*:		
	- dietary intake by extensive food-frequency questionnaire (n≈5200)		<del></del>
Cardiovascular health	History of high blood pressure/ hypercholesterolaemia/ diabetes (including family history), family history of cardiovascular disease/sudden death, fainting history, age of menarche, age of menopause	Angina pectoris, possible myocardial infarction, and intermittent claudication (by Rose questionnaire), self-reported and suspected myocardial infarction, self-reported and suspected cerebrovascular events	Blood pressure (sitting position, 5 min of rest, WatchBP Home, Microlife) Electrocardiogram (supine position, MAC 1600 System, Gi  Subsamples*: - ankle-brachial blood pressure index (supine position, 7 min of rest, WatchBP Office ABI, Microlife) (n≈14600) - arterial stiffness by oscillometrically measured pulse wavelocity (supine, 10 min of rest, Arteriograph) (n≈15000) - non-invasive haemodynamics such as stroke volume, cardiac output, systemic vascular resistance (supine position, Nexfin) (n≈14500) - glycocalyx measurement (under tongue, Glycocheck) (n≈6800)
Mental health	Perceived social support (DES subscale of SSQT with SSQS), childhood trauma, parental psychiatric history, mastery (Pearlin-Schooler Mastery Scale), neuroticism and extraversion (NEO Five Factor Inventory), stressful life events	Depressive symptoms (PHQ-9), nicotine use-related disorder (Fagerstrom), alcohol use-related disorder (AUDIT), cannabis use- related disorder (CUDIT)	
Infectious diseases	History and presence of allergy/asthma/rhinitis, family history of allergy/asthma, food allergy, urogenital infections, travel behaviour, use of self-tests, history of blood transfusions, history of surgery in other	, ,	Self-reported current respiratory symptoms Self-reported vaginal hygiene (women)

\*In subsamples, we strived for equal numbers in each ethnic group



**Table 4.** Overview of available laboratory measures and stored biological samples.

Type of sample	Laboratory measurements available	Biological samples stored in biobank			
Fasting blood	- glucose, HbA1c, haemoglobin, triglycerides, total cholesterol, HDL, LDL (calculated), creatinine	- citrate plasma (-80°C)			
(including DNA)	- D-dimer, fibrinogen, Lpa, ApoB, CRP (subsample n≈6000)	- serum (-80°C)			
	- cholesteryl ester fatty acids and carotenoids (subsample n≈1000)	- heparin plasma (-80°C)			
	- metabolites (subsample n≈500)	- EDTA-plasma (-80°C)			
	- acylcarnitines, amino acids, sphingolipids (subsample n≈700)	- whole blood (-80°C)			
	- antibodies against human papillomavirus, human T-lymphotopic viris-1, Helicobacter pylory,	- isolated DNA from pellets (4°C)			
	Herpesvirus, and Chlamidia trachomatis (subsample n≈4680)				
	- antibodies against hepatitis E (subsample n≈1200)				
	- hepatitis B infection (anti-HBc, anti-HBs, HBeAg, anti-HBe, HBV-DNA) and hepatitis C infection (anti-				
	HCV, HCV RNA) (subsample n≈2990)				
	- whole genome SNP genotypes (GSA Illumina) (subsample n≈12000)†				
Morning urine	- microalbumin, creatinine	- urine (-80°C)			
	- urine dipstick: pH, glucose, ketones, leucocytes, nitrite, protein, erythrocytes				
Faeces samples	- faecal microbiome†	Not applicable			
(subsample, n≈6000)					
Vaginal swabs	- vaginal human papillomavirus (subsample n≈600)	- vaginal swabs (-20°C)			
(subsample, n≈6000)	- vaginal chlamydia trachomatis (n≈1200)				
	- vaginal microbiome (subsample n≈600)				
Nasal and throat swabs	- respiratory viruses (subsample n≈600)	- material (cells, mucus) in medium (-80°C)			
(subsample, n≈6600)					
†available by the end of 201	17				

 Baseline HELIUS measurements took place in 2011-2015. The goal of HELIUS is to repeat baseline measurements during follow-up examinations every 5-10 years, to enable longitudinal analyses on the relationship between risk factors and cardiovascular diseases, mental health and infectious diseases. In addition, in the participants' written informed consent obtained at baseline, we also asked permission 1) to store biological samples in the HELIUS biobank for future research (94% agreed), 2) to link their individual data to registries containing data relating to the participants' health (such as hospital admissions, pharmacy data, vaccination programs; 90% agreed), 3) to request the official causes of death from Statistics Netherlands (87% agreed), and 4) to approach them for additional studies in the future (sub-studies; 92% agreed). This enables us to not only obtain new laboratory measures at baseline in the future (from stored samples in the biobank), but also to link baseline HELIUS data to follow-up data (risk factors, health outcomes and mortality) obtained from existing registrations. In 2016, a first linkage of HELIUS data to follow-up registry data (health care use data as registered by health care insurance company) was performed and data are currently analysed.

# Findings to date

A list of all publications which are based on data from the HELIUS study is available at this website: <a href="http://www.heliusstudy.nl/nl/researchers/publications">http://www.heliusstudy.nl/nl/researchers/publications</a>. The first results have confirmed large inequalities in health between ethnic groups, such as diabetes and depressive symptoms, which can only partially be explained by inequalities in traditional risk factors, such as obesity and SES. In addition, the first results provided important clues for targeting prevention and health care. Below, we highlight some of these key findings emerging from the HELIUS study.

#### Ethnic inequalities in health

The prevalence of important cardiovascular disease risk factors varies largely between the ethnic groups. **Figure 3-A** shows that, while the prevalence of diabetes in the Dutch group remains below 5%, the prevalence of diabetes ranges from 10 to 12% in participants of African Surinamese, Ghanaian, Turkish, and Moroccan origin, and is particularly high among the South-Asian Surinamese (20%). Ethnic minority groups also have a 1.3 (Moroccans) to 3.6 (Ghanaians) times higher prevalence of hypertension as compared to the Dutch groups, and hypertension prevalence is particularly high in the two groups of African origin (Ghanaians and African Surinamese).[23] The higher prevalence of hypertension among the Turkish and Moroccan groups compared with the Dutch group suggests that risk patterns may be changing unfavourably over time because, just over a decade ago, these groups had a lower prevalence of hypertension compared to the Dutch.[24] A

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large variation is also seen in depressive symptoms, with particularly high prevalence rates of depressed mood among the South-Asian Surinamese (19%), Turkish (23%) and Moroccan (21%) participants (Figure 3-B).

Overweight is one of the most important causes of increased cardiovascular risk and is also associated with depression. [25] As expected, large differences in overweight and obesity were found between the ethnic groups (Figure 3-C). While 60% of the Dutch population is considered to have a normal weight based on their body mass index, this percentage is only about 30% in the ethnic minority groups. Particularly in the Ghanaian and Turkish groups the prevalence of normal weight is very low, and the prevalence of obesity (extreme overweight) is extremely high, i.e. up to 35% as compared with 10% in the Dutch. However, the ethnic inequalities in both hypertension and diabetes are not explained by differences in overweight, or other 'traditional' risk factors such as fat distribution, educational level or health behaviours. [23, 26] Ethnic inequalities were not only observed for cardiovascular risk factors, but also for early markers of cardiovascular disease or markers of end-organ damage, such as arterial wave reflection and chronic kidney disease [27, 28]; again, this could not be attributed to traditional cardiovascular disease risk factors. Our results suggest ethnic inequalities in the aetiology of these diseases and emphasise the importance of further research on the underlying causes of ethnic differences in cardiovascular health.

Within HELIUS we also examined some risk factors that are less often investigated. For example, hand grip strength (a marker of muscle function) was found to be strongly related with diabetes, and large differences in hand grip strength were found between the ethnic groups (highest in Dutch and lowest in South-Asian Surinamese).[29] In addition, short sleep duration (<7 h per night) was more prevalent in the ethnic minority groups as compared to the Dutch [30], and short sleep duration was related to overweight, diabetes and hypertension.[31] However, despite these strong relations, both short sleep duration and hand grip strength only marginally contributed to the large ethnic inequalities in cardiovascular risk factors.[29, 31] Chronic stress is also suggested to contribute to cardiovascular risk, and an important chronic stress factor specific for ethnic minority groups is the level of perceived ethnic discrimination (PED).[32] In HELIUS, a positive association was found between PED and the clustering of traditional cardiovascular risk factors (metabolic syndrome) in some ethnic groups, with PED contributing about 5-7% to the metabolic syndrome in Surinamese and Moroccans.[33] PED was also related to depressive symptoms. In ethnic minority groups, PED is reported to account for about 25% of depressive symptoms.[34]

Human papillomavirus (HPV) is an easily transmittable sexually transmitted infection, and persistent infections with high-risk HPV (hrHPV) types cause nearly all cases of cervical cancer.[35] Higher incidences of cervical cancer (the fourth most common female cancer globally) have been observed among ethnic minority women as compared to women of Dutch origin.[36] In HELIUS, the

seroprevalence of hrHPV seroprevalence in blood, and the prevalence of vaginal high-risk hrHPV infection, differed between the ethnic groups.[37-39] South-Asian Surinamese, Moroccan and Turkish women had an overall lower seroprevalence of hrHPV (22%, 14% and 15%, respectively) as compared to Dutch women (30%).[39] Vaginal hrHPV prevalence was highest in the Dutch (42%) followed by the African Surinamese (32%), Turkish (29%), Ghanaian (26%), Moroccan (26%) and South-Asian Surinamese women (18%).[37] When adjusting for sexual risk behaviour, the odds to be hrHPV positive were similar for all ethnic groups. However, because of the higher incidence of cervical cancer in ethnic minority groups compared with the Dutch,[36] we expected to observe higher (sero)prevalences of hrHPV in ethnic minority women than in Dutch women. Future studies should explore other factors potentially responsible for the higher incidence of cervical cancer in these ethnic minorities (e.g. differences in clearance/ persistence of hrHPV infection, or differences in participation in cervical cancer screening programmes).

#### Prevention and health care

Results of the earlier SUNSET (Surinamese in The Netherlands: Study on Ethnicity and Health) study in 2001-2003 showed that, at that time, Surinamese participants were less often aware of their high blood pressure and, therefore, were also less often treated, as compared with Dutch participants.[40] This suggested a reduced access or quality of health care among Surinamese as compared with the Dutch, which could contribute to ethnic disparities in health. Our recent HELIUS results show that, as compared with the Dutch, the Surinamese groups (and the other ethnic minority groups) are currently even more often aware of their high blood pressure and are more often treated for hypertension. [23] These results suggest an improvement in hypertension management among ethnic minorities in Amsterdam in the last decade. However, despite medical treatment, ethnic minority groups suffering from hypertension still have lower rates of adequately controlled blood pressure levels.[23] A similar pattern is observed for diabetes: although similar or even higher awareness and treatment levels are observed in ethnic minority groups compared with the Dutch, poor glycaemic control is still highly prevalent. [26] As poor blood pressure control and poor glycaemic control are serious risk factors for cardiovascular diseases, it is important to investigate the causes of this poor control among the ethnic minority groups. One important factor to consider is the use of health care outside the Netherlands. In HELIUS we observed that some ethnic minority groups use health care in their country of origin more often than other ethnic minority groups, ranging from 4% among Surinamese to over 20% among Turkish ethnic groups.[41] We need to evaluate to what extent this influences their health care use in the Netherlands and their health.

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Of all participants included in HELIUS, although 5% reported a history of cardiovascular disease (myocardial infarction, stroke and/or revascularisation), many of them did not have the risk factors under control; i.e. 33% was still smoking, 76% was overweight, 55% did not achieve the norm for physical activity, 31% had uncontrolled blood pressure, and 58% still had increased LDL-cholesterol levels.[42] These results show that risk factor control in secondary prevention is poor in the majority of individuals with cardiovascular diseases. The results suggest that secondary prevention should perhaps focus on different risk factors, depending on the ethnic origin of the individual patient.

## Strengths and limitations

The main strengths of our cohort are the inclusion of a large number of participants from several ethnic groups living in the same city, and the collection of an extensive set of questionnaires, biological samples and physical measurements. Outcomes and risk factors are measured based on the same methodology across all ethnic groups, including the majority population.

Several supportive measures were taken to enhance the enrollment of ethnic minority groups. For example, we used ethnic-specific communication strategies, e.g. working with faith communities (churches, mosques) and endorsement from local key figures. In addition, after invitation by mail, we visited participants at home, used translated questionnaires, and had ethnically-matched interviewers and research assistants to provide help during data collection. Despite these labour-intensive measures, response rates were relatively low and this may have resulted in selection bias. However, we were able to include large numbers of each ethnic group in which all social-economic levels are represented; moreover, our non-response analyses show that socio-economic differences between participants and non-participants were very small.

In HELIUS, the unique ethnic differentiation can be utilised to investigate which factors might explain ethnic inequalities in health, such as socio-economic factors, culture, migration history, ethnic identity, discrimination, and genetic factors. Furthermore, we are able to validate existing questionnaires (such as the SF-12 and PHQ-9) across these ethnic groups. The addition of more complex or specific measures performed among subsamples of the cohort (such as gut microbiome data) will provide additional unique data bases. Finally, a major strength is the focus on three disease categories that each are a major cause of the global burden of disease, thereby enabling studies on the potential cross-links between these disease categories.

#### Collaboration

The HELIUS study has an open policy with regard to collaboration with other research groups and welcomes collaborations from a wide variety of disciplines. Information on procedures to acquire the

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available data and/or samples are described in the HELIUS Collaboration Policy, which can be found at <a href="https://www.heliusstudy.nl/nl/researchers/collaboration">www.heliusstudy.nl/nl/researchers/collaboration</a>. In brief, to make use of the available data for research, we request a publication proposal describing background, aim, research questions, methods (analysis plan), and timetable. All proposals should be submitted to HELIUS via the corresponding author of this article, or via <a href="maintended-info@heliusstudy.nl">info@heliusstudy.nl</a>. The proposals are discussed in the HELIUS Executive Board regarding the study aims (compatibility with the general objectives of the HELIUS study/informed consent), the quality of the research proposal, and potential overlap with ongoing studies. After approval, the requested data will be provided after a Data Transfer Agreement has been signed. For more information, please visit our website or contact the corresponding author.

## **Acknowledgements**

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#### **Contributors**

MBS, MP, EMD, RJP, AHZ, KS participated in the conceptual design of the HELIUS study. MBS drafted the manuscript and performed the analyses. MBS, HG, MP, EMD, RJP, AHZ, KS contributed to interpretation of the data and critically revised the manuscript. MBS, HG, MP, EMD, RJP, AHZ, KS read and approved the final version of the manuscript.

Competing interest: None declared

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## Figure legends

**Figure 1.** Flowchart of recruitment for the HELIUS study.

Participation rate: percentage of participants of those contacted; Response rate: percentage of participants of those invited

**Figure 2.** Response rate (A) and participation rate (B) by 5-year age groups and ethnicity.

Participation rate: percentage of participants of those contacted; Response rate: percentage of participants of those invited (see also Figure 1)

**Figure 3.** The prevalence of diabetes (A), depressive mood (B) and weight status (C) by ethnicity. Diabetes is defined by self-reported diagnosis of diabetes, fasting glucose  $\geq$ 7.0 mmol/l and/or use of glucose lowering medication; Depressed mood defined as a PHQ-9 sumscore  $\geq$ 10; Obesity defined as a BMI  $\geq$ 30 kg/m²; Overweight defined as a BMI 25-30 kg/m²; Normal weight defined as a BMI <25 kg/m². SA, South-Asian; Afr, African

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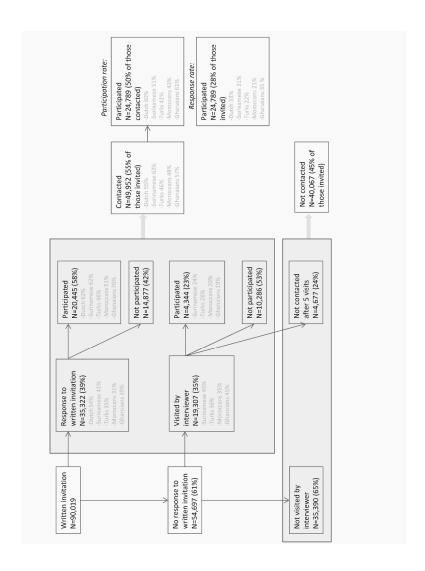
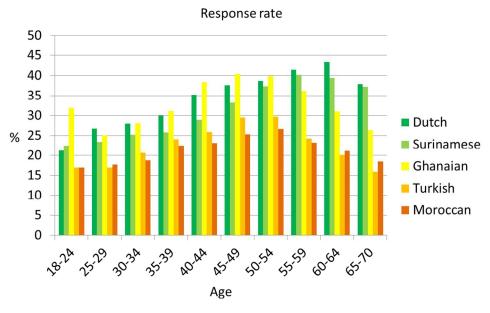


Figure 1. Flowchart of recruitment for the HELIUS study.

Participation rate: percentage of participants of those contacted; Response rate: percentage of participants of those invited

297x420mm (300 x 300 DPI)



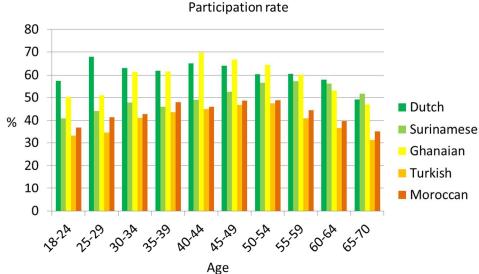


Figure 2. Response rate (A) and participation rate (B) by 5-year age groups and ethnicity.

Participation rate: percentage of participants of those contacted; Response rate: percentage of participants of those invited (see also Figure 1)

109x133mm (300 x 300 DPI)

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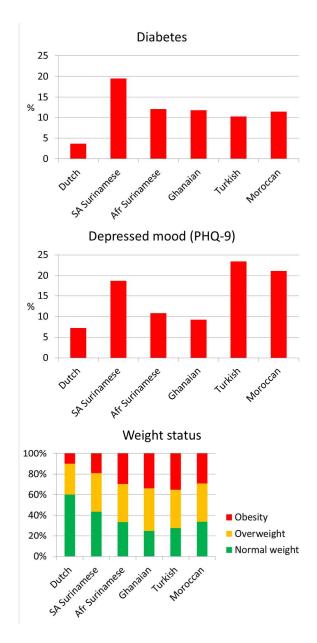


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85x178mm (300 x 300 DPI)

# STROBE Statement—Checklist of items that should be included in reports of *cohort studies*

	Item No	Decommendation	Dage
Title and abstract	1	Recommendation  (a) Indicate the study's design with a commonly used term in the	Page 1 & 2
Title and abstract	1	title or the abstract	1 & 2
		(b) Provide in the abstract an informative and balanced summary	2
		of what was done and what was found	
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the	4
		investigation being reported	
Objectives	3	State specific objectives, including any prespecified hypotheses	<mark>4</mark>
Methods			
Study design	4	Present key elements of study design early in the paper	4 to 15
Setting	5	Describe the setting, locations, and relevant dates, including	4 to 15
· ·		periods of recruitment, exposure, follow-up, and data collection	
Participants	6	(a) Give the eligibility criteria, and the sources and methods of	<mark>6 &amp; 15</mark>
		selection of participants. Describe methods of follow-up	
		(b) For matched studies, give matching criteria and number of	<mark>NA</mark>
		exposed and unexposed	
Variables	7	Clearly define all outcomes, exposures, predictors, potential	12 to 15
		confounders, and effect modifiers. Give diagnostic criteria, if	(Tables 3&4)
		applicable	
Data sources/	8*	For each variable of interest, give sources of data and details of	12 to 15
measurement		methods of assessment (measurement). Describe comparability of	(Tables 3&4)
		assessment methods if there is more than one group	
Bias	9	Describe any efforts to address potential sources of bias	3 & 18
			(Strengths and
			limitations)
Study size	10	Explain how the study size was arrived at	20 (Figure 1)
Quantitative variables	11	Explain how quantitative variables were handled in the analyses.	NA
		If applicable, describe which groupings were chosen and why	
Statistical methods	12	(a) Describe all statistical methods, including those used to	NA
		control for confounding	
		(b) Describe any methods used to examine subgroups and	NA
		interactions	NT.
		(c) Explain how missing data were addressed	NA NA
		(d) If applicable, explain how loss to follow-up was addressed	NA NA
		(e) Describe any sensitivity analyses	<mark>NA</mark>
Results			
Participants	13*	(a) Report numbers of individuals at each stage of study—eg	6 to 7, and
		numbers potentially eligible, examined for eligibility, confirmed	20 (Figure 1)
		eligible, included in the study, completing follow-up, and	
		analysed	
		(b) Give reasons for non-participation at each stage	7
		(c) Consider use of a flow diagram	20 (Figure 1)
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic,	7 and
		clinical, social) and information on exposures and potential confounders	8 (Table 1)

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		(b) Indicate number of participants with missing data for each	<mark>NA</mark>
		variable of interest	
		(c) Summarise follow-up time (eg, average and total amount)	<mark>NA</mark>
Outcome data	15*	Report numbers of outcome events or summary measures over time	NA
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder- adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	NA
		(b) Report category boundaries when continuous variables were categorized	<mark>NA</mark>
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	<mark>NA</mark>
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	NA
Discussion			
Key results	18	Summarise key results with reference to study objectives	15 to 18 (Findings to date)
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	3 & 18 (Strengths and limitations)
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	15 to 18 (Findings to date)
Generalisability	21	Discuss the generalisability (external validity) of the study results	NA
Other information			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	<mark>19</mark>

<sup>\*</sup>Give information separately for exposed and unexposed groups.

**Note:** An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at http://www.plosmedicine.org/, Annals of Internal Medicine at http://www.annals.org/, and Epidemiology at http://www.epidem.com/). Information on the STROBE Initiative is available at http://www.strobe-statement.org.