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Concerns, perceived need and competing priorities: A qualitative exploration of decision-making and non-participation in a population-based flexible sigmoidoscopy screening programme to prevent colorectal cancer.

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#### **ABSTRACT**

Objective: Optimising uptake of Colorectal cancer (CRC) screening is important to achieve projected health outcomes. Population-based screening by flexible sigmoidoscopy (FS) was introduced in England in 2013 (NHS Bowel scope screening). Little is known about reactions to the invitation to participate in FS screening, as offered within the context of the Bowel scope programme. We aimed to investigate responses to the screening invitation to inform understanding of decision-making, particularly in relation to non-participation in screening.

**Design:** Qualitative analysis of semi-structured in-depth interviews and written accounts.

**Participants and setting:** People from 31 general practices in the North East and East of England invited to attend FS screening as part of NHS Bowel scope screening programme were sent invitations to take part in the study. We purposively sampled interviewees to ensure a range of accounts in terms of beliefs, screening attendance, sex, and geographical location.

**Results:** 20 screeners and 25 non-screeners were interviewed. Written responses describing reasons for, and circumstances surrounding, non-participation from a further 28 non-screeners were included in the analysis. Thematic Analysis identified a range of reactions to the screening invitation, decision-making processes and barriers to participation. These include: a perceived or actual lack of need; inability to attend; anxiety and fear about bowel preparation, procedures or hospital; inability or reluctance to self-administer an enema; beliefs about low susceptibility to bowel cancer or

treatment; understanding of harm and benefits. The strength, rather than presence, of concerns about the test and perceived need for reassurance were important in the decision to participate for both screeners and non-screeners. Decision-making occurs within the context of previous experiences and day-to-day life.

**Conclusions:** Understanding the reasons for non-participation in FS screening can help inform strategies to improve uptake and may be transferable to other screening programmes.

### Strengths and limitations of this study

- 1. Qualitative methods used within this study allowed an in-depth exploration of the contexts, decision-making processes and emotional reactions rooted within the reasons provided for non-participation in CRC screening.
- Our recruitment strategy allowed for anticipated difficulties in recruiting non-screeners, however, the overall response to our study invitation remained low.
- 3. Purposive sampling ensured that we were able to include accounts from a full range of participants in terms of their beliefs, decision-making and attendance.
- 4. Our sampling allowed us to compare a diverse range of accounts from screeners and non-screeners within and across research sites and screening centres, including participants living in the most deprived areas within the UK.
- 5. Our sample did not include enough respondents from ethnic minority groups to draw conclusions about more specific cultural influences.

#### INTRODUCTION

Colorectal cancer (CRC) screening is important in reducing CRC-related mortality. <sup>1-3</sup> In England, a flexible sigmoidoscopy (FS) test at 55 years has been added to the existing faecal occult blood testing (FOBT) population-based CRC screening programme offered between 60 and 74 years. <sup>4</sup>. Since 2013, the FS programme, termed Bowel scope, has been progressively implemented across the country, and all UK bowel screening centres are expected to have at least one site offering FS screening by the end of 2016, with complete coverage of the population expected around 3 years after that. The primary purpose of FS screening is to prevent CRC by identifying and removing adenomas before they develop malignant changes. It has been shown to reduce both CRC mortality and incidence in the UK, <sup>1,5</sup> Europe and the USA. <sup>6</sup> The effectiveness of any population-based screening programme is reliant on high uptake. At 43.1%, CRC screening uptake is lower than breast or cervical cancer screening (even among women), <sup>7</sup> and uptake for FS is lower than for FOBT. <sup>4,8</sup> Understanding the influences on decision-making and non- participation in FS screening is therefore important to help optimise projected gains in mortality and reduce health inequalities.

A number of socio-demographic, ethnic, and sociological influences on FOBT screening participation have been identified.<sup>9-14</sup> Intervention studies incorporating factors such as general practitioner endorsement,<sup>15</sup> reminders and social networks have shown these can have a positive effect on uptake. However, the evidence is inconsistent <sup>16-17</sup> and effectiveness is likely to be, in part, influenced by the health care context in which the intervention is based. The dynamics of decision-making for FS screening may be quite different, with its high technology, specialist-based approach, a less proactive role required for participants and a different method of invitation. Qualitative research among participants in the UK FS Trial<sup>1</sup> has

identified that most of the effects of demographic and health variables on interest in participation are mediated by socio-cognitive variables, <sup>18</sup> although actual uptake among interested participants is influenced more directly by demographics, health and stress. <sup>19</sup> Non-participation is also reported to be influenced by avoidant attitudes towards screening, other health beliefs, <sup>20,21</sup> fear <sup>22</sup> and deprivation. <sup>23</sup> This research has been undertaken with people offered screening within a research trial context or has focused on intention rather than actual screening behavior. A quantitative analysis of screening uptake in the first 14 months of the English Bowel scope screening programme identified independent effects of deprivation, gender and screening centre on screening participation. <sup>7</sup> We sought to gain a more thorough understanding of the influences on screening participation by conducting an indepth exploration of the responses of members of the public to their invitation to the Bowel scope screening programme. By taking this approach we obtained their reflections on the actual decision-making and experiences, their awareness and understanding of CRC and the contexts surrounding reasons for non-participation.

#### **METHODS**

#### Setting

The study took place across two research sites (the North East and East of England), chosen because of their diverse deprivation profiles and their location within areas covered by two of the first English pilot NHS Bowel scope screening centres. The study was provided a favourable ethical opinion by the NHS Bromley NRES Committee (14/LO/0207).

# Participant recruitment

For each General Practice included in the screening programme, the NHS CRC screening hubs generate a letter inviting patients aged 55 years to attend for FS screening at their local Bowel scope screening centre. Thirty one eligible General Practices (18 North East; 13 East of England) agreed to mail study information to all patients invited for FS screening within the previous six months. The Bowel scope screening invitation process takes eight weeks from initial contact to appointment date and no study information was sent to patients during this time to avoid influencing their decision-making. Recruitment took place between March and December 2014.

Adhering to the principles of purposive sampling, after five months, we restricted recruitment to those people who had not attended screening (non-screeners) to ensure representation of experiences. We continued recruiting in phases until no new themes were emerging within the participant accounts.

Participants returned a sampling questionnaire which gathered information on gender, ethnicity, screening attendance and a series of items to assess attitudes towards cancer screening as well as concerns about the FS test and current symptoms. This information was used to purposively sample participants for interview. Because of the lower rates of agreement to be interviewed among non-screeners (36%) than screeners (61%), we contacted all non-screeners who agreed to be interviewed. The sampling questionnaire included an open question inviting written responses for reasons for non-attendance. Twenty eight people added written responses and these data were analysed thematically in conjunction with interview data. By interviewing people who had undergone and not undergone screening, we were able to explore similarities and differences in beliefs and decision-making processes.

#### Data collection

Semi-structured, face-to-face interviews were undertaken in the participant's home by NH and LB. Interviews were preceded by an explanation of the research, a reiteration that the researcher was not a member of the FS screening team or the GP practice. Written consent was obtained before the interview commenced. A topic guide enabled participants to talk about their reasons for attending or not attending screening, their concerns about FS screening, their understanding of colorectal cancer and their views on screening within the NHS. Interviews lasted between 30-50 minutes and were audio recorded. All written responses to an open ended question included within the sampling questionnaire ("Please let us know below if there were any other reasons or circumstances which meant you were unable to, or did not wish to, take part in Bowel scope screening"), were recorded for analysis.

# Qualitative Analysis

All interviews were transcribed verbatim. Interview transcripts and written responses were analysed using Thematic analysis. <sup>24</sup> After familiarising themselves with the data NH and LB initially worked independently then collaboratively to developed a data-driven coding framework. Once data were organised into codes, NH and LB searched for patterns and developed early themes, exploring similarities and differences between 'screeners' and 'non-screeners'. These themes were reviewed for credibility by referring back to the empirical literature on screening behaviour. Peer validation was sought through sharing with the study steering group. This group comprised of clinicians and academics with expertise in screening research and a service user, with experience of FS screening and an interest in the bowel cancer screening programme.

# RESULTS

We received a total of 214 sampling questionnaires (88 non-screeners; overall response rate 18%), 110 of whom agreed to be contacted for interview (32 non-screeners). Responses to the items on the sampling questionnaire indicated that the majority of screeners and non-screeners held positive beliefs about CRC screening and had concerns about the nature of the FS investigation. No significant differences in responses to the items included on the sampling questionnaire were identified between those who agreed to be interviewed (n=104) and those who did not (n=110). There were demographic differences between the recruitment areas with 71% of respondents from the North East from areas within the two highest quintiles of indices of multiple deprivation in the UK compared with only 4% of those from the East of England. Table 1 summarises the numbers of responses received and numbers of participants interviewed.

#### (Insert Table 1)

Interview data and written comments demonstrated considerable heterogeneity in reported reasons for non-participation and multiple reasons were common. These are summarised in Figure 1 in relation to the stages of the programme invitation, separating the reasons for unwillingness and inability to be screened.

## (Insert Figure 1)

The interview data allowed further in-depth exploration of the contexts, decision-making processes and emotional reactions rooted within the reasons provided for non-participation. These centred primarily around the balancing of concerns in relation to the FS test and a potential cancer diagnosis, beliefs about the need for reassurance, conflicting priorities and practical issues in relation to appointment scheduling. We

use case examples (see table 2) as well as interview quotations to illustrate the complexity of these processes and to highlight some typical experiences of non-screeners in relation to their non-participation.

(insert table 2)

#### Concerns and perceived risk: invasiveness, embarrassment and potential harm

A general lack of awareness regarding the Bowel scope programme due to the early stage of national implementation at the time of our study meant that most interviewees with no prior experience or knowledge of endoscopic procedures described having reacted to their screening invitation with surprise or shock. The decision not to participate in screening for some had been based on a careful consideration of the perceived risks and harms associated with the test (see case study 1). The anticipated "unpleasantness" of the FS procedure, associated both with its "invasiveness" and potential embarrassment for both screeners and non-screeners alike, could however result in strong emotional reactions to the invitation (see case study 2). The FS test was described by some women as more intrusive and embarrassing than breast or cervical cancer screening, which were more easily normalised as part of being a "woman".

The information provided in the screening invitation relating to potential harm and, in particular, bowel puncture had caused additional concern and anxiety for some interviewees.

"I read the bit which stuck in my head that it could puncture your bowel... and I thought oh right I'm not doing that... I was just too scared to have it done" (D-60 Female non-screener)

The bowel preparation (enema) was also described as a barrier. These concerns often only became apparent after the initial decision to attend screening, as the implications associated with the bowel preparation were not always clearly understood until further down the invitation process when the kit and instructions arrived through the post:

"the only reason I cancelled my appointment was because after speaking to an assistant I realised I had to apply the enema an hour before attendance, .. I could not do this at work. I would also feel worried about driving to the hospital after applying an enema!" (C-4 Female non-screener)

# Consideration of outcomes: Perceived need for reassurance and likelihood of a potential cancer diagnosis

The anticipated unpleasantness of the procedures was often outweighed by strong beliefs about the personal need for reassurance offered by screening. It was the strength of perceived need for reassurance, rather than positive beliefs about the value of screening per se, that was more likely to be related to a rapid and/or firm decision to attend despite concerns about the procedure. A commonly reported need to put up with the inconvenience, embarrassment and unpleasantness of the test was evident within the accounts of both screeners and the non-screeners who had wished to take part. However, the need for reassurance could override even intense anxiety about the procedure.

'Panic. I didn't fancy this thing in my bum, but you've got to do it. ... I've got to do this for my peace of mind.' (C-39 Male screener)

Similarly, a strong, and potentially legitimate, perceived lack of need (for example, due to a recent endoscopic investigation, see case study 3) was more likely to result in a firm non-screening decision. While the majority of interviewees, whether they had attended or not, held positive attitudes towards population-based cancer screening in principle and acknowledged the importance of early diagnosis, decision making was more likely to be based on assessments of their own personal perceived susceptibility to bowel cancer. For some people these beliefs were related to healthy behaviour choices or the presence of symptoms:

"I do not feel at risk of bowel disease because I am not a heavy drinker, I hardly ever take pills and I have been vegetarian for 25 years and have an excellent diet and fitness regime, But I still think it's a great idea to offer this screening to people 55+" (D-63 Female non-screener)

Personal experience of any type of cancer, either their own or of close others, seemed to heighten sensitivity to the need for reassurance and the importance of "catching cancer early" (see case study 4). On the other hand, having witnessed suffering of a loved one after a long period of cancer treatment or remission could enhance fatalistic attitudes towards cancer.

"sometimes all these treatments and nothing works, so I think I would just give in at the first hurdle... they (friends with bowel cancer) went through all that battle and nothing worked" (D-60 F non-screener).

A preference not to know the outcome of screening was also described by those with existing physical and mental health conditions, particularly when associated with a reluctance to undergo treatment or a perceived inability to cope with the demands of a cancer diagnosis. Although there were some exceptions, most respondents' accounts described their understanding of FS screening as a diagnostic tool rather than a preventive measure.

#### Responsibilities

A common narrative in the interviews of both screeners and non-screeners was their sense of responsibility to take advantage of the screening opportunity. For some this included being accountable to the wider society, particularly in respect of the use of public (NHS) funding and resources, reporting an awareness that screening and early cancer detection was more cost effective than later treatment and a responsibility to maintain their own health. However, appropriate use of resources was also described as a reason not to attend the Bowel scope appointment.

"I won't have treatment for cancer... So, you know, I just think I'm not wasting people's, the NHS's money or whatever, you know, I'm just not." (D-83 F non-screener)

# Competing priorities and chaotic lives

Fitting in a screening appointment could be problematic when people were living chaotic lives, perhaps in deprived circumstances, caring for ill or disabled children or parents, or were faced with conflicting demands such as ill health. Difficulties attending a screening appointment were exacerbated when there was a sense of not having any reserves left to deal with potentially negative outcomes, other more immediate health concerns, or there were practical issues administering the enema or getting to hospital. The experiences of re-arranging inconvenient appointment times varied by screening centres, but the appointment system was a common barrier to many

of those who had wished to take part and were unable to (see case study 4). Employment was another commonly reported competing priority. While appointments were in the evening and at weekends, the need to request time off work to attend could be a major barrier, even for those who were positive about screening.

#### Decision-making and future intention

Although some interviewees reached a quick and firm decision about screening, decision-making was often described as a dynamic process and was more difficult when dissonant beliefs were held about potential screening outcomes and the need for screening.

'if they found something, how would I react to that? Well I might be better off not knowing. But in the back of my mind that's saying yeah but it's better to know early'. (C-112 female non-screener)

In these instances, decisions were reached with more difficulty and could change more easily and more frequently.

'Many times I say no, I'm not going to do it, I don't want to have that in my body...one minute I was going yes, other minute I was going no...it wasn't an easy decision!' (C-39 Male screener)

Seeking additional information and talking to others was also more likely in these instances. Many non-screeners reported that they would consider taking part in screening in the future or had since decided to take part in FOBT screening when offered after their sixtieth birthday.

#### DISCUSSION

This is the first qualitative study that we are aware of to explore the decision-making of people who have been invited to attend FS screening when offered as an organised population-based programme. Our findings demonstrate that FS screening offered within this context is generally valued and associated with positive attitudes in relation to the importance of early diagnosis of cancer. These attitudes are held by those who do not attend screening as well as those who do. Our in-depth exploration of the contexts, decision-making processes and emotional reactions rooted within the reasons provided for non-participation showed that these centre primarily around the balancing of concerns about the FS test and potential cancer diagnosis, beliefs about the personal need for screening and reassurance, conflicting priorities and practical issues in relation to appointment scheduling. Although knowledge about the preventive benefits of the FS test seemed to be absent in many of the accounts of our participants, our findings confirm that non-participation in FS screening is not necessarily due to a lack of knowledge, unjustified concerns or the lack of intention to attend an appointment. Furthermore, some participants felt their decision not to be screened was a rational and informed choice within the context of their individual circumstances.

One of the strengths of our research is that we were able to compare a diverse range of accounts from screeners and non-screeners within and across research sites and screening centres, including from participants living in the most deprived areas within the UK. Although our recruitment strategy, based on experience from a previous study, <sup>14</sup> allowed for anticipated difficulties in recruiting non-screeners, the overall response to our study invitation was low. We were successful, nonetheless, in purposively sampling a diverse group of participants in terms of their beliefs, decision

making and reasons for non-attendance. Efforts were made to ensure that interviewees did not feel judged about their non-attendance or coerced into future screening decisions. Although a degree of post-hoc rationalisation is possible, our impression was that participants provided open and honest accounts of their experiences. Our sample did not include enough respondents from ethnic minority groups to draw conclusions about more specific cultural influences<sup>25</sup> and transferability of our findings to other regions and screening programmes may be limited.

Our study complements the findings of a previous qualitative study of non-participation nested within in the UK FS Trial, <sup>20</sup> as we were able to include and compare accounts of those with some intention to take part in FS screening but who were unable to, those for whom screening was not necessary and those who had attended screening. In contrast to their findings that practical barriers do not play a major role in screening uptake, we found that barriers, such as inability to attend the screening appointment do play a role, although they have a greater influence on appointment attendance than the initial decision or intention to attend. An analysis of variation in uptake during the first 14 months of the Bowel scope Screening programme<sup>7</sup> identified that centre effects have an independent association with uptake along with deprivation and gender and that those offered out of hours appointments were more likely to attend screening. Our participants' experiences and satisfaction with re-arranging inconvenient appointment times differed between screening centres. For some people, needing to request time off work to attend an appointment was seen to be embarrassing and was not always an appropriate option. The Bowel scope programme needs to continue to facilitate uptake and satisfaction by maximising the flexibility of the appointment scheduling processes and accommodating those who would like to attend but are unable to when initially

invited. Non-screeners who were undecided or unable to attend their initial invitation may benefit from an additional reminder at a later date, however, implications on programme delivery implications would need to be considered. The integration of reminders into the screening programme has been shown to potentially be a feasible option worthy of further research.<sup>26</sup> Further quantitative research is required to ascertain the proportion of non-attenders for whom such changes may be of benefit.

Our findings confirm the importance of the influence of the perceived burden of the FS test, identified by others. 8, 12, 20,27 These concerns were evident across most participants, however, comparisons of accounts between screeners and non-screeners showed that when there is a strong perceived need for reassurance about potential cancer presence, concerns about the invasiveness of the test and other practical barriers were more readily overcome. The concept of "perceived need for reassurance", as described in our analysis, reflects an appraisal response to the activation of emotional reactions triggered by the screening invitation, specifically in relation to beliefs about personal risks and circumstances. Our findings suggest that it is the strength of this perceived need rather than its presence that seems to be a crucial motivational driver of screening behavior. It is directly influenced by beliefs about perceived personal susceptibility or vulnerability to cancer, coping style preferences, emotions and outcome expectancies (including fear and anxieties about the test itself, a potential cancer diagnosis and treatment), beliefs about screening and the socio-cultural context. "Perceived need for reassurance" therefore provides a useful lens through which to understand the decision-making process, as it allows for the involvement of a combination of existing socio-psychological constructs from health behavior theories that have been used to explain and predict screening uptake, such as for example, the

extended Health Belief Model.<sup>28</sup> Crucially, "perceived need for reassurance" also allows for the influence of emotion on behavior and can be seen as being inextricably tied to the appraisal and coping processes that take place when faced with a health threat.<sup>29</sup> The role of emotions and coping strategies in CRC screening behavior has been previously reported. A questionnaire study of a subsample of the UK FS trial participants, for example, concluded that finding thoughts about cancer uncomfortable is associated with lower screening uptake and that different aspects of cancer fear can facilitate and inhibit screening intention and behavior in different ways. <sup>22</sup> The accounts from our participants illustrate and contextualise the strong emotions that can result from receiving a screening invitation associated with concerns not only about the screening process, but also with a potential cancer diagnosis and outcome expectancies associated with treatment beliefs and, for a small minority, general anxiety associated with hospital attendance. Our analysis also, therefore, complements findings by Oster et al 30 that people's decisions to undergo CRC screening vary according to their degree of ambivalence towards finding out their cancer status and concurs with Palmer et al 31 who propose that people do not wish to know the outcome of screening when they view treatment as "futile and unpleasant". Anticipated regret, the decision to take action to avoid experiencing unpleasant emotions associated with not having acted in a particular way, is a more cognitive-based emotional influence on screening uptake that has been found to have a complex relationship with CRC screening behavior in a recent intervention study on FOBT screening<sup>13</sup> and this is reflected within our participants' accounts as an influence on their motivation to be screened.

The reported relationship between the lack of abdominal complaints and lower uptake of CRC screening <sup>8, 27</sup> can also be explained within the context of a lower perceived

need for reassurance. Perceived susceptibility or vulnerability to a particular illness is an important element of many theories used to explain health behaviours such as screening. <sup>18,20</sup> When illness beliefs are associated with a lower perceived susceptibility to cancer, the motivation to be screened may not be strong enough to overcome any associated concerns. Within our participants' accounts, this was linked to healthy lifestyle choices as well as symptoms.

Our analysis demonstrates how decision-making processes in relation to FS screening are firmly situated within, and influenced by, the wider socio-cultural context of people's lives, particularly in relation to their previous experiences with, and/or family history of, cancer. In the UK, uptake of colorectal cancer screening 11,23 and more specifically for FS, 4, 7, 32 is lower in areas of higher deprivation. Further research is needed to explore the mediating effects of factors associated with higher deprivation such as existing physical and mental health problems (that may affect the desire and/or ability to attend the screening appointment), the lack of desire for potential treatment and lower flexibility in employment leave. Difficulties assessing socio-cultural norms around FS screening behavior were commonly alluded to in our data, particularly for those with no prior experience or knowledge of endoscopic procedures. As the Bowel scope programme extends and awareness increases, "normalisation" of FS screening may help to improve uptake <sup>31</sup> and positive experiences of the screening procedures will be important in this regard. The importance of information on how other people deal with particular situations has been highlighted by others <sup>33</sup> and some participants suggested that knowing about the screening experience of others would have been helpful.

Cancer screening is often described in terms of a responsible or moral choice <sup>10, 14, 34</sup>. Our findings demonstrate that the moral responsibility to "catch cancer early" is a common, and often dominant narrative. Even when present, however, these beliefs do not necessarily result in screening uptake and in some instances, non-participation in screening was also be explained in terms of moral choices and responsibilities, particularly within the context of wasting limited publically-funded health care resources. Finally, our analysis highlights the need to acknowledge the dynamic nature of decision-making and screening intention within future research. Intention is often measured and reported as a relatively stable factor, however, our findings support a more variable stability of intention which should be taken into account when interpreting research findings in this area.

#### CONCLUSIONS

In-depth exploration of the contexts, decision-making processes and emotional reactions rooted within the reasons provided for non-participation highlight the need to recognise the heterogeinity of non-screeners, particularly in relation to whether they are unwilling or unable to attend screening. Findings can inform the development and evaluation of targeted interventions and help to understand how psycho-social, provider and healthcare delivery factors interact to influence screening behavior.

### **Contributionship statement:**

GR was the chief investigator. GR, CJR, FMW, DW made substantial contribution to the conception and design of the study. NH & LB undertook review of literature, recruitment, data collection and data analysis. All authors were involved in the conduct of the study and reviewing data. NH wrote the draft of the manuscript and all authors contributed to developing this draft and approving the submitted paper. GR is the guarantor

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**Competing interests:** The authors have no competing interests to declare

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Data Sharing Statement: Datasets are held by the chief investigator

(g.p.rubin@durham.ac.uk). Data sharing consent was not obtained. All data are anonymised with a low risk of identification.

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**Table 1 - Summary of responses** 

|  | Male<br>(East) | Female<br>(East) | Male<br>(North<br>East) | Female<br>(North<br>East) | Total |
|--|----------------|------------------|-------------------------|---------------------------|-------|
| Total questionnaire responses                | 55             | 70               | 34                      | 55                        | 214   |
| Qualitative data Totals                      | 10             | 27               | 11                      | 25                        | 73    |
| Written qualitative comments (non-screeners) | 2              | 11               | 4                       | 11                        | 28    |
| In-depth Interviews (non-<br>screeners)      | 3              | 11               | 3                       | 8                         | 25    |
| In-depth interviews (screeners)              | 5              | 5                | 4                       | 6                         | 20    |

**Table 2 - Case Examples** 

# TYPICAL CASE EXAMPLES – NON-SCREENERS

Case example 1: Female non-screener (D-61) - Decision not to be screened made based on consideration of harms and benefits.

Eileen is a retired midwife/nurse who had lots of experience working within the NHS and dealing with screening related issues. Her father died of bowel cancer years earlier and she described how he suffered for many years before he died. She thought very carefully about her response to the screening invitation and spoke to family members who encouraged her to attend, before calling to cancel her appointment. She attends all other cancer screening programmes and feels that her decision-making process for Bowel scope was very different and more involved than for other types of screenings. "The main thing that struck me was the statistics, you know one in 300 might have cancer, I though well I'm not having that shoved up my arse frankly for the sake of that, you know they might perforate my bowel." She believes that finding bowel cancer early does not necessarily mean that you won't die from it and is not convinced that the Bowel scope programme "can be remotely cost effective".

Case example 2: Male non-screener (D-34) – Believed it is a good thing to do, but unable to overcome concerns about nature of the investigation.

Brian lives in a shared flat. He was shocked at receiving the invitation and initially assumed it was related to ongoing medical investigations for cancer. Once he realised this was not the case, he still felt this was something he probably should do, as "they probably screen people for a reason". He felt very anxious because of the intrusive nature of the test and he talked to his mother, daughter and friends at

the pub about it. They all encouraged him to take part. He also looked up further information about the procedure on the internet. After a long time deliberating, he decided he would go ahead with the screening, "grudgingly, I was kind of just thinking I would have it done". He had not realised that he needed to confirm his attendance and then received a letter saying it had been cancelled. "At that point I thought relief to be honest and I decided to just leave it." He feels screening is a good thing, especially at his age, but had never considered bowel cancer before. His father has died of prostate cancer and Brian feels he would be more in need of prostate cancer screening. "if nothing else I did read about it and it's opened my eyes to bowel cancer, which I'd never thought about at all, so it probably did some good". He would encourage others to take part, but no longer has any intention to himself.

# Case example 3: Female non-screener (C-71) - Bowel scope screening is currently unnecessary

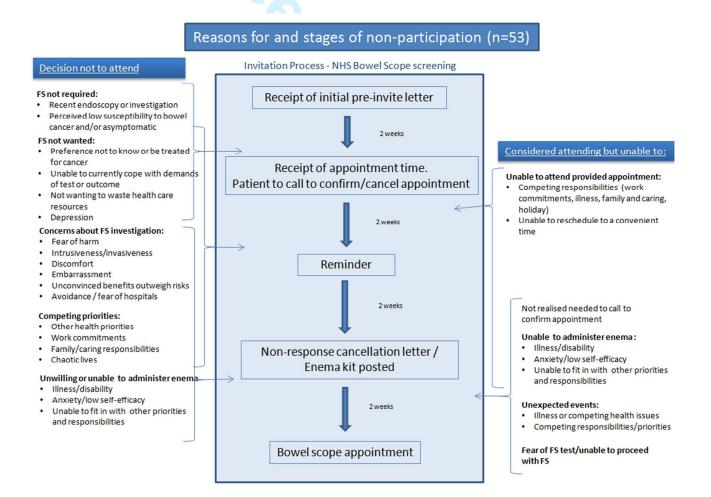
Barbara is retired and lives with her husband in a rural village. Her invitation arrived just 6 weeks after she had undergone a sigmoidoscopy following a GP referral for loose stools and rectal bleeding. "I am very much of the opinion that people should be responsible for their own health but to actually have the NHS just sort of knocking on your door and saying we'd like you to test this out for your peace of mind. I found it very welcome". She recently lost a close friend to bowel cancer and currently has 2 other friends undergoing treatment for bowel cancer. After contacting the helpdesk she was advised FSFS was not required at the moment, but she intends to take up the offer of screening before her 60<sup>th</sup> birthday as she is aware from her friends that the signs can be easily missed and she still has concerns "because of how I am normally, it might be a little bit difficult for me to actually isolate a change that's abnormal".

# Case example 4: Female non-screener (D-44) - Desires to be screened but unable to attend

Rose is a full-time carer for her father and disabled daughter. She has lost her mother and close relatives to bowel cancer and feels concerned about her risks. She had a screening colonoscopy five years earlier and initially believed this was a repeat appointment. When she realised everyone was being invited for screening, she still wanted to take part. She was unable to attend her allocated appointment time. She has called twice to reschedule, but is unable to make an appointment far enough in advance to fit in with her caring responsibilities. "I can only go on these certain dates and they said well we can't give you them dates because we can only go up to a fortnight or so many days. I says well I can't do it then and I was a bit annoyed about that… I rang back and they were filled up again, so I didn't bother." After that "I just forgot, I've got that much going on, I just forgot, that's all". She is

still willing to undertake screening and thinks that she might try again, but is aware she may potentially receive a further surveillance appointment sometime in the future.

Figure 1 – Summary of reasons for non-participation in screening in relation to screening invitation process.



# **BMJ Open**

Concerns, perceived need and competing priorities: A qualitative exploration of decision-making and non-participation in a population-based flexible sigmoidoscopy screening programme to prevent colorectal cancer.

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SCHOLARONE™ Manuscripts Concerns, perceived need and competing priorities: A qualitative exploration of decision-making and non-participation in a population-based flexible sigmoidoscopy screening programme to prevent colorectal cancer.

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### **ABSTRACT**

**Objective:** Optimising uptake of Colorectal cancer (CRC) screening is important to achieve projected health outcomes. Population-based screening by flexible sigmoidoscopy (FS) was introduced in England in 2013 (NHS Bowel scope screening). Little is known about reactions to the invitation to participate in FS screening, as offered within the context of the Bowel scope programme. We aimed to investigate responses to the screening invitation to inform understanding of decision-making, particularly in relation to non-participation in screening.

**Design:** Qualitative analysis of semi-structured in-depth interviews and written accounts.

**Participants and setting:** People from 31 general practices in the North East and East of England invited to attend FS screening as part of NHS Bowel scope screening programme were sent invitations to take part in the study. We purposively sampled interviewees to ensure a range of accounts in terms of beliefs, screening attendance, sex, and geographical location.

**Results:** 20 screeners and 25 non-screeners were interviewed. Written responses describing reasons for, and circumstances surrounding, non-participation from a further 28 non-screeners were included in the analysis. Thematic Analysis identified a range of reactions to the screening invitation, decision-making processes and barriers to participation. These include: a perceived or actual lack of need; inability to attend; anxiety and fear about bowel preparation, procedures or hospital; inability or reluctance to self-administer an enema; beliefs about

low susceptibility to bowel cancer or treatment; understanding of harm and benefits. The strength, rather than presence, of concerns about the test and perceived need for reassurance were important in the decision to participate for both screeners and non-screeners. Decision-making occurs within the context of previous experiences and day-to-day life.

**Conclusions:** Understanding the reasons for non-participation in FS screening can help inform strategies to improve uptake and may be transferable to other screening programmes.

# Strengths and limitations of this study

- 1. Qualitative methods used within this study allowed an in-depth exploration of the contexts, decision-making processes and emotional reactions rooted within the reasons provided for non-participation in CRC screening.
- 2. Our recruitment strategy allowed for anticipated difficulties in recruiting non-screeners, however, the overall response to our study invitation remained low.
- 3. Purposive sampling ensured that we were able to include accounts from a full range of participants in terms of their beliefs, decision-making and attendance.

- 4. Our sampling allowed us to compare a diverse range of accounts from screeners and non-screeners within and across research sites and screening centres, including participants living in the most deprived areas within the UK.
- 5. Our sample did not include enough respondents from ethnic minority groups to draw conclusions about more specific cultural influences.

### INTRODUCTION

Colorectal cancer (CRC) screening is important in reducing CRC-related mortality.<sup>1-3</sup> In England, a flexible sigmoidoscopy (FS) test at 55 years has been added to the existing faecal occult blood testing (FOBT) population-based CRC screening programme offered between 60 and 74 years.<sup>4</sup>. Since 2013, the FS programme, termed Bowel scope, has been progressively implemented across the United Kingdom (UK) through regional bowel screening centres. Each centre covers a geographical population which is served by a number of screening sites (endoscopy units). Each centre is expected to have at least one site offering FS screening by the end of 2016, with complete coverage of the English population expected around 3 years after that. The primary purpose of FS screening is to prevent CRC by identifying and removing adenomas before they develop malignant changes. It has been shown to reduce both CRC mortality and incidence in the UK, <sup>1,5</sup> Europe and the USA.<sup>6</sup> The effectiveness of any population-based screening programme is reliant on high uptake. At 43.1%, CRC

screening uptake is lower than breast or cervical cancer screening (even among women),<sup>7</sup> and uptake for FS is lower than for FOBT.<sup>4, 8</sup> Understanding the influences on decision-making and non-participation in FS screening is therefore important to help optimise projected gains in mortality and reduce health inequalities.

A number of socio-demographic, ethnic, and sociological influences on FOBT screening participation have been identified. 9-14 Intervention studies incorporating factors such as general practitioner endorsement, 15 reminders and social networks have shown these can have a positive effect on uptake. However, the evidence is inconsistent 16-17 and effectiveness is likely to be, in part, influenced by the health care context in which the intervention is based. The dynamics of decision-making for FS screening may be quite different, with its high technology, specialist-based approach, a less proactive role required for participants and a different method of invitation. Qualitative research among participants in the UK FS Trial has identified that most of the effects of demographic and health variables on interest in participation are mediated by socio-cognitive variables, 18 although actual uptake among interested participants is influenced more directly by demographics, health and stress. 19 Non-participation is also reported to be influenced by avoidant attitudes towards screening, other health beliefs, 20,21 fear 22 and deprivation. 23 This research has been undertaken with people offered screening within a research trial context or has focused on intention rather than actual screening behavior. A quantitative analysis of screening uptake in the first 14 months of the English Bowel scope screening programme identified independent effects of deprivation, gender and screening centre on screening participation. We sought to gain a more thorough

understanding of the influences on screening participation by conducting an in-depth exploration of the responses of members of the public to their invitation to the Bowel scope screening programme. By taking this approach we obtained their reflections on their actual decision-making and experiences, their awareness and understanding of CRC and the contexts surrounding reasons for non-participation.

#### **METHODS**

#### Setting

The study took place across two research sites (the North East England and East of England), chosen because of their diverse deprivation profiles and their location within areas covered by two of the first English pilot NHS Bowel scope screening centres. The study was provided a favourable ethical opinion by the NHS Bromley NRES Committee (14/LO/0207).

# Participant recruitment

For each General Practice included in the screening programme, the NHS CRC screening hubs generate a letter inviting patients aged 55 years to attend for FS screening at their local Bowel scope screening centre. Thirty one eligible General Practices (18 North East England; 13 East of England) agreed to mail study information explaining the aims of the study and a study sampling questionnaire to all patients invited for FS screening within the previous six months. The Bowel scope screening invitation process takes eight weeks from

initial contact to appointment date and no study information was sent to patients during this time to avoid influencing their decision-making. Recruitment took place between March and December 2014.

Participants were asked to return the sampling questionnaire directly to the study researchers indicating whether they wished to be contacted for a face-to-face interview. The sampling questionnaire gathered information on gender, ethnicity, screening attendance and a series of items to assess attitudes towards cancer, concerns about the FS test and current bowel symptoms. This information was used to purposively sample participants for interview, helping to ensure we interviewed people who had attended FS screening (screeners) and those who had not attended (non-screeners) including people with a range of attitudes, beliefs and reasons for attending and not attending screening.

Study information was initially sent to 623 eligible patients. There were lower rates of questionnaire return among the non-screeners (36%) than the screeners (61%). To adhere to the principles of qualitative purposive sampling, after 5 months of recruitment we only sent recruitment material to non-screeners, thereby helping to ensure we recruited from this typically hard to reach group. At this stage study information was posted to a further 552 non-screeners in phases until we had interviewed 25 non-screeners and 20 screeners and no new themes were emerging from the participants accounts.

The sampling questionnaire included an open question inviting written responses for reasons for non-attendance. Twenty eight people who did not wish to be interviewed returned written responses and these data were analysed thematically in conjunction with interview data. By interviewing people who had undergone and not undergone screening, we were able to explore similarities and differences in beliefs and decision-making processes.

#### Data collection

Semi-structured, face-to-face interviews were undertaken in the participant's home by NH and LB, both experienced post-doctoral qualitative researchers. Interviews were preceded by an explanation of the research, a reiteration that the researcher was not a member of the FS screening team or the GP practice. Written consent was obtained before the interview commenced. The semi-structured interview guide included general open ended questions on reasons for attending or not-attending screening, concerns about FS screening, understanding of colorectal cancer and views on screening within the NHS. Participant initiated topics were encouraged and pursued during the interviews. Additional interview prompts included knowledge, beliefs and previous experiences of cancer in general and cancer screening more specifically; practicalities, concerns and experiences associated with screening attendance and bowel preparations; and participation in other screening programmes. Interviews lasted between 30-50 minutes and were audio recorded. All written responses to an open ended question included within the sampling questionnaire ("Please let us know below if there were any other reasons or circumstances which meant you were unable to, or did not wish to, take part in Bowel scope screening"), were recorded for analysis.

#### Qualitative Analysis

All interviews were transcribed verbatim. Interview transcripts and written responses were analysed using Thematic analysis. <sup>24</sup> After familiarising themselves with the data NH and LB initially worked independently then collaboratively to developed a data-driven coding framework. Once data were organised into codes, using word processing software, NH and LB searched for patterns and developed early themes, exploring similarities and differences between 'screeners' and 'non-screeners'. These themes were reviewed for credibility by referring back to the empirical literature on screening behaviour. Peer validation was sought through sharing with the study steering group. This group comprised of clinicians and academics with expertise in screening research and a service user, with experience of FS screening and an interest in the bowel cancer screening programme.

#### **RESULTS**

We received a total of 214 sampling questionnaires (88 non-screeners; overall response rate 18%), 110 of whom agreed to be contacted for interview (32 non-screeners). Responses to the items on the sampling questionnaire indicated that the majority of screeners and non-screeners held positive beliefs about CRC screening (screeners 100% n=126; non-screeners 98% n=86). Many had concerns about the nature of the FS investigation (screeners 72% n=91; non-screeners 66% n=58). No significant differences in responses to the items included on the sampling questionnaire were identified between those who agreed to be interviewed (n=104) and those who did not

(n=110). There were demographic differences between the recruitment areas with 71% of respondents from the North East from areas within the two highest quintiles of indices of multiple deprivation in the UK compared with only 4% of those from the East of England. Table 1 summarises the numbers of responses received and numbers of participants interviewed (screeners n=20; non screeners n=25). Appendix 1 and 2 provide a summary of the beliefs and attitudes of those interviewed towards screening along with key facilitators or barriers to attendance at the screening appointment.

(Insert Table 1)

Interview data and written comments demonstrated considerable heterogeneity in reported reasons for non-participation and multiple reasons were common. These are summarised in Figure 1 in relation to the stages of the programme invitation, separating the reasons for unwillingness and inability to be screened.

(Insert Figure 1)

The interview data allowed further in-depth exploration of the contexts, decision-making processes and emotional reactions rooted within the reasons provided for non-participation. These centred primarily around the balancing of concerns in relation to the FS test and a potential cancer diagnosis, beliefs about the need for reassurance, conflicting priorities and practical issues in relation to appointment

scheduling. We use case examples (see table 2) as well as interview quotations to illustrate the complexity of these processes and to highlight some typical experiences of non-screeners in relation to their non-participation.

(insert table 2)

#### Concerns and perceived risk: invasiveness, embarrassment and potential harm

A general lack of awareness regarding the Bowel scope programme due to the early stage of national implementation at the time of our study meant that most interviewees with no prior experience or knowledge of endoscopic procedures described having reacted to their screening invitation with surprise or shock. The decision not to participate in screening for some had been based on a careful consideration of the perceived risks and harms associated with the test (see case study 1). The anticipated "unpleasantness" of the FS procedure, associated both with its "invasiveness" and potential embarrassment for both screeners and non-screeners alike could however result in strong emotional reactions to the invitation (see case study 2). The FS test was described by some women as more intrusive and embarrassing than breast or cervical cancer screening, which were more easily normalised as part of being a "woman".

The information provided in the screening invitation relating to potential harm and, in particular, bowel puncture had caused additional concern and anxiety for some interviewees.

"I read the bit which stuck in my head that it could puncture your bowel... and I thought oh right I'm not doing that... I was just too scared to have it done" (D-60 Female non-screener)

The bowel preparation (enema) was also described as a barrier. These concerns often only became apparent after the initial decision to attend screening, as the implications associated with the bowel preparation were not always clearly understood until further down the invitation process when the kit and instructions arrived through the post:

"the only reason I cancelled my appointment was because after speaking to an assistant I realised I had to apply the enema an hour before attendance, .. I could not do this at work. I would also feel worried about driving to the hospital after applying an enema!" (C-4 Female non-screener)

### Consideration of outcomes: Perceived need for reassurance and likelihood of a potential cancer diagnosis

The anticipated unpleasantness of the procedures was often outweighed by strong beliefs about the personal need for reassurance offered by screening. It was the strength of perceived need for reassurance, rather than positive beliefs about the value of screening per se, that was more likely to be related to a rapid and/or firm decision to attend despite concerns about the procedure. A commonly reported need to put

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up with the inconvenience, embarrassment and unpleasantness of the test was evident within the accounts of both screeners and the non-screeners who had wished to take part. However, the need for reassurance could override even intense anxiety about the procedure.

'Panic. I didn't fancy this thing in my bum, but you've got to do it. ... I've got to do this for my peace of mind.' (C-39 Male screener)

Similarly, a strong, and potentially legitimate, perceived lack of need (for example, due to a recent endoscopic investigation, see case study 3) was more likely to result in a firm non-screening decision. While the majority of interviewees, whether they had attended or not, held positive attitudes towards population-based cancer screening in principle and acknowledged the importance of early diagnosis, decision making was more likely to be based on assessments of their own personal perceived susceptibility to bowel cancer. For some people these beliefs were related to healthy behaviour choices or the presence of symptoms:

"I do not feel at risk of bowel disease because I am not a heavy drinker, I hardly ever take pills and I have been vegetarian for 25 years and have an excellent diet and fitness regime, But I still think it's a great idea to offer this screening to people 55+" (D-63 Female non-screener)

Personal experience of any type of cancer, either their own or of close others, seemed to heighten sensitivity to the need for reassurance and the importance of "catching cancer early" (see case study 4). On the other hand, having witnessed suffering of a loved one after a long period of cancer treatment or remission could enhance fatalistic attitudes towards cancer.

"sometimes all these treatments and nothing works, so I think I would just give in at the first hurdle... they (friends with bowel cancer) went through all that battle and nothing worked" (D-60 F non-screener).

A preference not to know the outcome of screening was also described by those with existing physical and mental health conditions, particularly when associated with a reluctance to undergo treatment or a perceived inability to cope with the demands of a cancer diagnosis. Although there were some exceptions, most respondents' accounts described their understanding of FS screening as a diagnostic tool rather than a preventive measure.

### Responsibilities

A common narrative in the interviews of both screeners and non-screeners was their sense of responsibility to take advantage of the screening opportunity. For some this included being accountable to the wider society, particularly in respect of the use of public (NHS) funding and resources, reporting an awareness that screening and early cancer detection was more cost effective than later treatment and a responsibility to maintain their own health. However, appropriate use of resources was also described as a reason not to attend the Bowel scope appointment.

"I won't have treatment for cancer... So, you know, I just think I'm not wasting people's, the NHS's money or whatever, you know, I'm just not." (D-83 F non-screener)

#### Competing priorities and chaotic lives

Fitting in a screening appointment could be problematic when people were living chaotic lives, perhaps in deprived circumstances, caring for ill or disabled children or parents, or were faced with conflicting demands such as ill health. Difficulties attending a screening appointment were exacerbated when there was a sense of not having any reserves left to deal with potentially negative outcomes, other more immediate health concerns, or there were practical issues administering the enema or getting to hospital. The experiences of rearranging inconvenient appointment times varied by screening centres, but the appointment system was a common barrier to many of those who had wished to take part and were unable to (see case study 4). Employment was another commonly reported competing priority. While appointments were in the evening and at weekends, the need to request time off work to attend could be a major barrier, even for those who were positive about screening.

#### Decision-making and future intention

Although some interviewees reached a quick and firm decision about screening, decision-making was often described as a dynamic process and was more difficult when dissonant beliefs were held about potential screening outcomes and the need for screening.

'if they found something, how would I react to that? Well I might be better off not knowing. But in the back of my mind that's saying yeah but it's better to know early'. (C-112 female non-screener)

In these instances, decisions were reached with more difficulty and could change more easily and more frequently.

'Many times I say no, I'm not going to do it, I don't want to have that in my body...one minute I was going yes, other minute I was going no...it wasn't an easy decision!' (C-39 Male screener)

Seeking additional information and talking to others was also more likely in these instances. Many non-screeners reported that they would consider taking part in screening in the future or had since decided to take part in FOBT screening when offered after their sixtieth birthday.

#### **DISCUSSION**

This is the first qualitative study that we are aware of to explore the decision-making of people who have been invited to attend FS screening when offered as an organised population-based programme. Our findings demonstrate that FS screening offered within this context is generally valued and associated with positive attitudes in relation to the importance of early diagnosis of cancer. These attitudes are held by those who do not attend screening as well as those who do. Our in-depth exploration of the contexts, decision-making

processes and emotional reactions rooted within the reasons provided for non-participation showed that these centre primarily around the balancing of concerns about the FS test and potential cancer diagnosis, beliefs about the personal need for screening and reassurance, conflicting priorities and practical issues in relation to appointment scheduling.

The potential of FS screening to remove pre-cancerous polyps, thereby allowing cancer prevention as well as detection, was absent in many accounts from screeners and non-screeners. When mentioned, this aspect of the screening was rarely described as having had a major influence on their decision making. Our findings, nevertheless demonstrate that non-participation in FS screening is not necessarily due to a lack of knowledge, unjustified concerns or the lack of intention to attend an appointment. Furthermore, some participants felt their decision not to be screened was a rational and informed choice within the context of their individual circumstances.

One of the strengths of our research is that we were able to compare a diverse range of accounts from screeners and non-screeners within and across research sites and screening centres, including from participants living in the most deprived areas within the UK. Although our recruitment strategy, based on experience from a previous study, <sup>14</sup> allowed for anticipated difficulties in recruiting non-screeners, the overall response to our study invitation was low. We were successful, nonetheless, in purposively sampling a diverse group of participants in terms of their beliefs, decision making and reasons for non-attendance. Efforts were made to ensure that interviewees did not feel judged about their non-attendance or coerced into future screening decisions. Although a degree of post-hoc rationalisation is possible, our impression was that participants provided open and honest accounts of their experiences. Our sample did not include enough respondents

from ethnic minority groups to draw conclusions about more specific cultural influences<sup>25</sup> and transferability of our findings to other regions and screening programmes may be limited. Despite including a mix of men and women and people from areas of high and low deprivation, we were not able to identify any influences specific to gender or deprivation. Further focused analysis in this area may, however, be of benefit, particularly as some of the barriers we identified including caring responsibilities, work practices and health benefits which are often gendered or socio-culturally determined.

Our study complements the findings of a previous qualitative study of non-participation nested within in the UK FS Trial, <sup>20</sup> as we were able to include and compare accounts of those with some intention to take part in FS screening but who were unable to, those for whom screening was not necessary and those who had attended screening. In contrast to their findings that practical barriers do not play a major role in screening uptake, we found that barriers, such as inability to attend the screening appointment do play a role, although they have a greater influence on appointment attendance than the initial decision or intention to attend. An analysis of variation in uptake during the first 14 months of the Bowel scope Screening programme<sup>7</sup> identified that centre effects have an independent association with uptake along with deprivation and gender and that those offered out of hours appointments were more likely to attend screening. Our participants' experiences and satisfaction with re-arranging inconvenient appointment times differed between screening centres. For some people, needing to request time off work to attend an appointment was seen to be embarrassing and was not always an appropriate option. Our study was completed in the early stages of the roll out of the Bowel scope programme when population and screening centre coverage was

limited. Our findings indicate, however, that facilitation of uptake and satisfaction can be maximized by ensuring the flexibility of the appointment scheduling processes and accommodating those who would like to attend but are unable to when initially invited. Non-screeners who were undecided or unable to attend their initial invitation may benefit from an additional reminder at a later date. The integration of reminders into the screening programme has more recently also be shown by others to potentially be a feasible option worthy of further research.<sup>26</sup> A more in-depth quantitative analysis on screening uptake, including data on how many people confirm, reschedule or cancel their appointment would be beneficial to ascertain the portion of non-screeners who may benefit from improved flexibility or a safety netting approach to appointment rescheduling. Implications on programme delivery would also need to be considered. At present, Bowel scope clinic lists are 'overbooked' to accommodate non-attenders; a challenge for the programme is to balance service efficiency against capacity to maximize uptake while maintaining satisfaction with the appointment scheduling process and accommodating those who would like to attend but were unable to when initially invited.

Our findings confirm the importance of the influence of the perceived burden of the FS test, identified by others. <sup>8, 12, 20,27</sup> These concerns were evident across most participants, however, comparisons of accounts between screeners and non-screeners showed that when there is a strong perceived need for reassurance about potential cancer presence, concerns about the invasiveness of the test and other practical barriers were more readily overcome. The concept of "perceived need for reassurance", as described in our analysis, reflects an appraisal response to the activation of emotional reactions triggered by the screening invitation, specifically in relation to beliefs about personal risks

and circumstances. Our findings suggest that it is the strength of this perceived need rather than its presence that seems to be a crucial motivational driver of screening behavior. It is directly influenced by beliefs about perceived personal susceptibility or vulnerability to cancer, coping style preferences, emotions and outcome expectancies (including fear and anxieties about the test itself, a potential cancer diagnosis and treatment), beliefs about screening and the socio-cultural context. "Perceived need for reassurance" therefore provides a useful lens through which to understand the decision-making process, as it allows for the involvement of a combination of existing sociopsychological constructs from health behavior theories that have been used to explain and predict screening uptake, such as, for example, the extended Health Belief Model.<sup>28</sup> Crucially, "perceived need for reassurance" also allows for the influence of emotion on behavior and can be seen as being inextricably tied to the appraisal and coping processes that take place when faced with a health threat.<sup>29</sup> The role of emotions and coping strategies in CRC screening behavior has been previously reported. A questionnaire study of a subsample of the UK FS trial participants, for example, concluded that finding thoughts about cancer uncomfortable is associated with lower screening uptake and that different aspects of cancer fear can facilitate and inhibit screening intention and behavior in different ways. 22 The accounts from our participants illustrate and contextualise the strong emotions that can result from receiving a screening invitation associated with concerns not only about the screening process, but also with a potential cancer diagnosis and outcome expectancies associated with treatment beliefs and, for a small minority, general anxiety associated with hospital attendance. Our analysis also, therefore, complements findings by Oster et al 30 that people's decisions to undergo CRC screening vary according to their degree of ambivalence towards finding

out their cancer status and concurs with Palmer et al <sup>31</sup> who propose that people do not wish to know the outcome of screening when they view treatment as "futile and unpleasant". Anticipated regret, the decision to take action to avoid experiencing unpleasant emotions associated with not having acted in a particular way, is a more cognitive-based emotional influence on screening uptake that has been found to have a complex relationship with CRC screening behavior in a recent intervention study on FOBT screening<sup>13</sup> and this is reflected within our participants' accounts as an influence on their motivation to be screened.

The reported relationship between the lack of abdominal complaints and lower uptake of CRC screening <sup>8, 27</sup> can also be explained within the context of a lower perceived need for reassurance. Perceived susceptibility or vulnerability to a particular illness is an important element of many theories used to explain health behaviours such as screening. <sup>18,20</sup> When illness beliefs are associated with a lower perceived susceptibility to cancer, the motivation to be screened may not be strong enough to overcome any associated concerns. Within our participants' accounts, this was linked to healthy lifestyle choices as well as symptoms.

Our analysis demonstrates how decision-making processes in relation to FS screening are firmly situated within, and influenced by, the wider socio-cultural context of people's lives, particularly in relation to their previous experiences with, and/or family history of, cancer. In the UK, uptake of colorectal cancer screening <sup>11</sup>,23 and more specifically for FS,4,7,32 is lower in areas of higher deprivation. Further research is needed to explore the mediating effects of factors associated with higher deprivation such as existing physical and mental health problems (that may affect the desire and/or ability to attend the screening appointment), the lack of desire for potential treatment and lower

flexibility in employment leave. Difficulties assessing socio-cultural norms around FS screening behavior were commonly alluded to in our data, particularly for those with no prior experience or knowledge of endoscopic procedures. As the Bowel scope programme extends and awareness increases, "normalisation" of FS screening may help to improve uptake <sup>31</sup> and positive experiences of the screening procedures will be important in this regard. The importance of information on how other people deal with particular situations has been highlighted by others <sup>33</sup> and some participants suggested that knowing about the screening experience of others would have been helpful.

Cancer screening is often described in terms of a responsible or moral choice<sup>10, 14, 34</sup>. Our findings demonstrate that the moral responsibility to "catch cancer early" is a common, and often dominant narrative. Even when present, however, these beliefs do not necessarily result in screening uptake and in some instances, non-participation in screening was also be explained in terms of moral choices and responsibilities, particularly within the context of wasting limited publically-funded health care resources. Finally, our analysis highlights the need to acknowledge the dynamic nature of decision-making and screening intention within future research. Intention is often measured and reported as a relatively stable factor, however, our findings support a more variable stability of intention which should be taken into account when interpreting research findings in this area.

#### **CONCLUSIONS**

In-depth exploration of the contexts, decision-making processes and emotional reactions rooted within the reasons provided for non-participation highlight the need to recognise the heterogenity of non-screeners, particularly in relation to whether they are unwilling or unable to attend screening. Findings can inform the development and evaluation of targeted interventions and help to understand how psycho-social, provider and healthcare delivery factors interact to influence screening behavior.

#### **Contributionship statement:**

GR was the chief investigator. GR, CJR, FMW, DW made substantial contribution to the conception and design of the study. NH & LB undertook review of literature, recruitment, data collection and data analysis. All authors were involved in the conduct of the study and reviewing data. NH wrote the draft of the manuscript and all authors contributed to developing this draft and approving the submitted paper. GR is the guarantor.

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**Table 1 - Summary of responses** 

|  | Male<br>(East) | Female<br>(East) | Male<br>(North<br>East) | Female<br>(North<br>East) | Total |
|--|----------------|------------------|-------------------------|---------------------------|-------|
| Total questionnaire responses                | 55             | 70               | 34                      | 55                        | 214   |
| Qualitative data Totals                      | 10             | 27               | 11                      | 25                        | 73    |
| Written qualitative comments (non-screeners) | 2              | 11               | 4                       | 11                        | 28    |
| In-depth Interviews (non-<br>screeners)      | 3              | 11               | 3                       | 8                         | 25    |
| In-depth interviews (screeners)              | 5              | 5                | 4                       | 6                         | 20    |
|  |                |                  |                         |                           |       |
|  |                |                  |                         |                           | Sh    |
|  |                |                  |                         |                           |       |

#### **Table 2 - Case Examples**

#### TYPICAL CASE EXAMPLES - NON-SCREENERS

Case example 1: Female non-screener (D-61) - Decision not to be screened made based on consideration of harms and benefits.

Eileen is a retired midwife/nurse who had lots of experience working within the NHS and dealing with screening related issues. Her father died of bowel cancer years earlier and she described how he suffered for many years before he died. She thought very carefully about her response to the screening invitation and spoke to family members who encouraged her to attend, before calling to cancel her appointment. She attends all other cancer screening programmes and feels that her decision-making process for Bowel scope was very different and more involved than for other types of screenings. "The main thing that struck me was the statistics, you know one in 300 might have cancer, I though well I'm not having that shoved up my arse frankly for the sake of that, you know they might perforate my bowel." She believes that finding bowel cancer early does not necessarily mean that you won't die from it and is not convinced that the Bowel scope programme "can be remotely cost effective".

Case example 2: Male non-screener (D-34) – Believed it is a good thing to do, but unable to overcome concerns about nature of the investigation.

Brian lives in a shared flat. He was shocked at receiving the invitation and initially assumed it was related to ongoing medical investigations for cancer. Once he realised this was not the case, he still felt this was something he probably should do, as "they probably screen people for a reason". He felt very anxious because of the intrusive nature of the test and he talked to his mother, daughter and friends at

the pub about it. They all encouraged him to take part. He also looked up further information about the procedure on the internet. After a long time deliberating, he decided he would go ahead with the screening, "grudgingly, I was kind of just thinking I would have it done". He had not realised that he needed to confirm his attendance and then received a letter saying it had been cancelled. "At that point I thought relief to be honest and I decided to just leave it." He feels screening is a good thing, especially at his age, but had never considered bowel cancer before. His father has died of prostate cancer and Brian feels he would be more in need of prostate cancer screening. "if nothing else I did read about it and it's opened my eyes to bowel cancer, which I'd never thought about at all, so it probably did some good". He would encourage others to take part, but no longer has any intention to himself.

Case example 3: Female non-screener (C-71) - Bowel scope screening is currently unnecessary

Barbara is retired and lives with her husband in a rural village. Her invitation arrived just 6 weeks after she had undergone a sigmoidoscopy following a GP referral for loose stools and rectal bleeding. "I am very much of the opinion that people should be responsible for their own health but to actually have the NHS just sort of knocking on your door and saying we'd like you to test this out for your peace of mind. I found it very welcome". She recently lost a close friend to bowel cancer and currently has 2 other friends undergoing treatment for bowel cancer. After contacting the helpdesk she was advised FSFS was not required at the moment, but she intends to take up the offer of screening before her 60<sup>th</sup> birthday as she is aware from her friends that the signs can be easily missed and she still has concerns "because of how I am normally, it might be a little bit difficult for me to

actually isolate a change that's abnormal".

Case example 4: Female non-screener (D-44) - Desires to be screened but unable to attend

Rose is a full-time carer for her father and disabled daughter. She has lost her mother and close relatives to bowel cancer and feels concerned about her risks. She had a screening colonoscopy five years earlier and initially believed this was a repeat appointment. When she realised everyone was being invited for screening, she still wanted to take part. She was unable to attend her allocated appointment time. She has called twice to reschedule, but is unable to make an appointment far enough in advance to fit in with her caring responsibilities. "I can only go on these certain dates and they said well we can't give you them dates because we can only go up to a fortnight or so many days. I says well I can't do it then and I was a bit annoyed about that... I rang back and they were filled up again, so I didn't bother." After that "I just forgot, I've got that much going on, I just forgot, that's all". She is still willing to undertake screening and thinks that she might try again, but is aware she may potentially receive a further surveillance appointment sometime in the future.

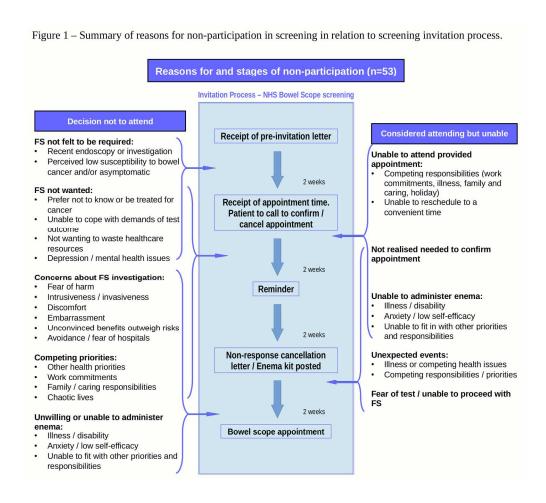


Figure 1- Summary of reasons for non-participation in screening in relation to screening invitation process.

194x177mm (300 x 300 DPI)

omjopen-2016-012304 on 11 Nov

## **Appendix 1 – Interview participant attributes (Non-screeners N=25)**

(Strongly agree = ++; agree= +' disagree= - ; strongly disagree = --; x=missing or n/a)

| Sex | MDI rank (1-5) 5= most depriv ed | bowel cancer<br>screening is important | I have concerning<br>bowel symptoms | I was concerned about test | I was worried about<br>what they might find | I am unable to have<br>test for medical<br>reasons | Previous cancer<br>diagnosis | Cancer concern/susceptibility  | Main reported reason for non-screening   | Would consider in future? |
|-----|----------------------------------|--|-------------------------------------|----------------------------|---|--|------------------------------|--|--|---------------------------|
| F   | X                                | ++                                     | +                                   | +                          | +   | +  |                              | Family history cancer (bowel). Not very concerned as recently tested after presenting with symptoms.   | Endoscopy 3 months earlier, didn't want to waste resources   | ✓                         |
| F   | 2                                | +                                      | -                                   | ++                         | -   | -  |                              | Grandfather had cancer (bowel). Some implied concern believes takes a long time to develop   | Embarrassment about test   | ✓                         |
| M   | 2                                | +                                      | -                                   | ++                         | ++  | -  |                              | Lost friends to cancer but no more concerned<br>with possibility of bowel cancer than any other<br>illness   | Attended but nable to proceed to test due to fear atout potential damage caused by scoping                             | ✓ Only if sedated         |
| F   | 3                                | X                                      | X                                   | X                          | X   | X  |                              | No specific concern. Has many other more prominent health problems   | Not realised inceded to confirm appointment.   | ✓                         |
| F   | 2                                | ++                                     | +                                   |                            |   |  |                              | Lost 1 close friend to cancer (bowel), 1 had a diagnosis (bowel) but is fine and 1 is in terminal stages (bowel). High cancer concern and has current symptoms. Screening would offer reassurance. | Recent endoscopy after bowel change and bleeding   | ✓                         |
| F   | x                                | X                                      | X                                   | X                          | X   | x  |                              | No specific concern  | Hadn't realised needed to call to confirm  | ✓                         |
| F   | 3                                | ++                                     |                                     | +                          | +   |  |                              | No specific concern  | First appointment cancelled by screening centre. Appealment rebooked but clashed with poperation                       | ✓                         |
| M   | 1                                | X                                      | X                                   | X                          | X   | X  |                              | No specific concern  | Recently had colonoscopy. Phoned and advised screening not needed. Would prefer to let seeneone else have appointment. | ✓                         |
| F   | 5                                | ++                                     |                                     | +                          | +   |  |                              | Family history of cancer (breast).   | Unable to get ime off work to attend   | ✓                         |
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|     |                                  |  |                                     |                            |   |  |                              | BMJ Open  | omjopen  | i                         |
|-----|----------------------------------|--|-------------------------------------|----------------------------|---|--|------------------------------|---|--|---------------------------|
|     |                                  |  |                                     |                            |   |  |                              |   | omjopen-2016-012304 on 1   |                           |
| Sex | MDI rank (1-5) 5= most depriv ed | bowel cancer<br>screening is important | I have concerning<br>bowel symptoms | I was concerned about test | I was worried about<br>what they might find | I am unable to have<br>test for medical<br>reasons | Previous cancer<br>diagnosis | Cancer concern/susceptibility   | Main reported reason for non-screening of 20   | Would consider in future? |
| F   | 3 (+)                            | ++                                     | -                                   | Ų                          | +   | <u>-</u>   |                              | Strong family history (bowel) and high cancer concern                                   | Unable to atted appointment due to caring responsabilities   | ✓                         |
| F   | 2                                | ++                                     | -                                   | +                          | -   | -  |                              | No specific concern   | Chronic illne affects ability to get to hospital   | ✓                         |
| F   | 1                                | +                                      |                                     | +                          | -   | -  | 0,                           | No specific concern   | Potential risk of test. Undergoing other medical treatment.  | ✓                         |
| М   | 2                                | ++                                     | -                                   | -                          | -   |  |                              | No specific concern   | Life too chaouc following marriage<br>breakdown  | ✓                         |
| F   | 1                                | ++                                     |                                     |                            |   |  |                              | Lost father to suspected cancer. Hadn't considered own susceptibility. Lives healthily. | All seemed to messy and inconvenient.  Couldn't administer the enema at work   | ?                         |
| F   | 1                                | ++                                     | -                                   |                            | X   | -  |                              | No specific concern   | Panic about haspitals. Last scope 2 years ago was painful. Couldn't administer own enema. So opportunities for sedation.   | ?                         |
| F   | 3                                | ++                                     | +                                   |                            |   |  |                              | 2 friends with cancer and good recovery. Feels less at risk as eats healthily.          | Unable to administer the enema on own due to MS and appointment not convenient, No chances to reschedule.  | ?                         |
| F   | 2                                | +                                      | -                                   | +                          | -   | -  |                              | No specific concern   | Does not feel nhealthy   | ?                         |
| F   | 2                                | +                                      |                                     | +                          | -   |  |                              | No concern. Feels safe in own self care and awareness                                   | Friends reported bad experience. Has ongoing health issues relating to cirrhosis and loes not wish to risk complication due to caring responsibilities for mother. | X                         |
| F   | 1                                | ++                                     | -                                   |                            | x   | -  |                              | No specific concern   | Fear of hospitals. Complicated medical history and signoidoscopy 2 years earlier.  | X                         |
|     |                                  |  |                                     |                            |   |  |                              | llemienen lemi eemleitelekeutlavida   | by copyright.  |                           |

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|     |                                  |  |                                     |                            |   |  |                              |   | omjopen-2016-012304 <b>on</b>   |                           |
| Sex | MDI rank (1-5) 5= most depriv ed | bowel cancer<br>screening is important | I have concerning<br>bowel symptoms | I was concerned about test | I was worried about<br>what they might find | I am unable to have<br>test for medical<br>reasons | Previous cancer<br>diagnosis | Cancer concern/susceptibility   | Main reported reason for non-<br>screening be 220   | Would consider in future? |
| F   | 5                                | +                                      | -                                   | +                          | +   | -  | ✓                            | Lost mother to breast cancer. High concern.   | Has other conflicting responsibilities and concerns about intrusiveness of test   | X                         |
| F   | 2                                | +                                      | (ongoin g bowel sx)                 | +                          | -   | -  |                              | Lost 1 friend recently and 1 currently in palliative care (both bowel ca). Currently on mind and has ongoing bowel symptoms | Main reason was the fear of harm/damage Also belief that bowel cancer is hardro treat.  | X                         |
| F   | 1                                | +                                      |                                     | ++                         | -   |  |                              | No specific concern   | Not realising what test involves until enema arriver post.  | X                         |
| F   | 1                                | +                                      |                                     | ++                         |   |  |                              | Lost father to cancer (bowel ca).   | Not convinced by statistics provided or that benefits butweigh invasiveness of test. Feels treatment can prolong suffering. Attends other cancer screening. | X                         |
| М   | 4                                | +                                      | -                                   | +                          | +   |  |                              | Lost both parents (mother OG ca). High cancer concern.  | Initially, appointment during holiday.  Then decided ie does not want to know if cancer.  | X                         |
| М   | 3 (+)                            | +                                      |                                     | +                          | -   |  |                              | Father (prostate ca) but low bowel cancer concern.  | Unpleasantness of FSIG  | X                         |
|     |                                  |  |                                     |                            |   |  |                              |   | April 9, 2024 by guest. Protected by copyright.   |                           |

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## **Appendix 2 – Interview participant attributes (Screeners N=20)**

(Strongly agree = ++; agree= +' disagree= -; strongly disagree = --; X=missing or n/a)

| Sex | MDI rank<br>(1-5) 5=<br>most<br>deprived | bowel cancer screening is important | I have concerning bowel symptoms | I was concerned about test | I was worried about what<br>they might find | Previous<br>cancer<br>diagnosis | Cancer concern/susceptibility  | Main reported reason for screening  Download  of from              |
|-----|--|-------------------------------------|----------------------------------|----------------------------|---|---------------------------------|--|--|
| M   | 1  | ++                                  |                                  | +                          | +   | ✓                               | High cancer concern  | Had cancer before and knows beneath to of catching things early    |
| M   | 1  | ++                                  | ++                               | +                          | ++  |                                 | Family history of bowel cancer (father and grandfather ). High concern and recently presented to GP with symptoms. | To put mind at rest  |
| M   | 2  | +                                   | -                                | +                          | +   |                                 | Cousin diagnosed with cancer (bowel) and friend. No particular concern but experienced bleeding from haemorrhoids. | Wants peace of mind  |
| М   | 1  | ++                                  | +                                | +                          | +   |                                 | Brother in law and friend with bowel cancer with different outcomes.   | Importance of finding early/reassurance.                           |
| M   | 1  | ++                                  |                                  | +                          | +   |                                 | No specific concerns, feels well.  | Would prefer to know than not. Seemed sensible approach.           |
| М   | 1  | ++                                  | -                                | +                          | -   | ✓                               | High awareness due to own cancer and concerned may have a problem and not know.                                    | Own cancer and awareness of the importance of catching early.      |
| F   | 2  | ++                                  | +                                | +                          | +   |                                 | Uncle with cancer (bowel). High concern due to family history and own symptoms                                     | Wanted reassurance due to uncle's diagnosis and own symptoms.      |
| F   | 1  | ++                                  | +                                | +                          | -   |                                 | High concern due to previous polyps.   | Opportunity for reassurance due to previous polyps.                |
| F   | 1  | ++                                  | -                                | +                          | -   | ✓                               | Some concern.  | Opportunity to catch anything ear sand better chances of survival. |

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|   |   |    |    |    |         |           | BMJ Open  | Concern family history and opportunity for reassurance.   |
|---|---|----|----|----|---------|-----------|---|---|
|   |   |    |    |    |         |           |   | n-2016  |
|   |   |    |    |    |         |           |   | ÷01230  |
| F | 2 | ++ | -  | +  | ++      |           | Lost mother (bowel ca). Concerned about family history.   | Concern family history and opportunity for reassurance.   |
| F | 2 | ++ | -  | ++ | +       |           | Mother diagnosed with cancer (bowel) and father (bladder). High cancer concern  | Didn't want to miss opportunity for reassurance and importance of catching it early. Encouraging people to go for screening is part of her job. |
| F | 3 | ++ | +  | +  | ++      | ✓         | Feels susceptible to any cancer and aware of previous rectal bleeding   | For peace of mind. Has had previous rectal bleeding.  |
| F | 5 | ++ | +  | +  | +       |           | No specific concern.  | Aware of bowel symptoms, and prefer to find out and catch it early.   |
| M | 1 | ++ | -  | +  | +       | <b>A</b>  | No specific concern   | Importance of catching early. Responsible use of resources. Benefits outweigh the unpleasantness.   |
| M | 3 | ++ |    | -  | -       |           | Lost father to cancer (bowel). High cancer concern and experienced symptoms   | Positive about opportunity. Wante creassurance as feels susceptible.  |
| F | 3 | ++ | +  | ++ | -       |           | Aunt diagnosed cancer (bowel) and mother (bladder). Lost friend to cancer (breast). Concerned about susceptibility and symptoms. Does not feel at any higher risk than others, can strike anyone. | Reassurance about ongoing bowel shanges, experience of close relative with bowel cancer.  |
| F | 3 | ++ |    | X  | +       |           | Lost brother to cancer (bowel). High cancer concern   | Thought it was a recall for genetic greening. Wanted reassurance.   |
| M | 3 | ++ | ++ | ++ | +       |           | Mother and father in law died ca and friends at work. General cancer concern.   | Wanted peace of mind. Prevention than cure.   |
| M | 3 | ++ |    | +  | ++      | ✓         | No specific bowel cancer concern until screening invite, but ongoing cancer treatment.  | Thought appointment was related to ongoing cancer investigations/treatment.  Good to have reassurance   |
| F | 1 | +  | +  | ++ | +       |           | Lost 1 friend to cancer (breast) and another had a good outcome. Mother recently had precancerous polyp removed.  | Conscious about bowel cancer, glad for reassurance and good opportunity.  |
|   |   |    |    |    |         |           |   | guest. P  |
|   |   |    |    |    |         |           |   | rotecte   |
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Page 40 of 42

# Consolidated criteria for reporting qualitative studies (COREQ): 32-item checklist

#### Developed from:

Tong A, Sainsbury P, Craig J. Consolidated criteria for reporting qualitative research (COREQ): a 32-item checklist for interviews and focus groups. *International Journal for Quality in Health Care*. 2007. Volume 19, Number 6: pp. 349 – 357

## YOU MUST PROVIDE A RESPONSE FOR ALL ITEMS. ENTER N/A IF NOT APPLICABLE

| No. Item                                    | Guide questions/description  | Reported on Page #  |
|---|--|---|
| Domain 1: Research team and reflexivity     |  |   |
| Personal Characteristics                    |  |   |
| Inter viewer/facilitator                    | Which author/s conducted the inter view or focus group?  | Undertaken by N Hall and L Birt – data collection section page 8                            |
| 2. Credentials                              | What were the researcher's credentials? E.g. PhD, MD   | Both have PhD<br>and extensive<br>qualitative<br>research<br>experience : page<br>8         |
| 3. Occupation                               | What was their occupation at the time of the study?  | Researchers<br>affiliation on title<br>page and<br>occupation at time<br>of study on page 8 |
| 4. Gender                                   | Was the researcher male or female?   | Both female.  |
| 5. Experience and training                  | What experience or training did the researcher have?   | Page 8  |
| Relationship with participants              |  |   |
| 6. Relationship established                 | Was a relationship established prior to study commencement?  | No.   |
| 7. Participant knowledge of the interviewer | What did the participants know about the researcher? e.g. personal goals, reasons for doing the research                                   | Aim of study<br>reported on page<br>6   |
| 8. Interviewer characteristics              | What characteristics were reported about the inter viewer/facilitator? e.g. Bias, assumptions, reasons and interests in the research topic | Reported under qualitative analysis: page 8   |
| Domain 2: study design                      |  |   |
| Theoretical framework                       |  |   |
| Methodological orientation and Theory       | What methodological orientation was stated to underpin the study? e.g. grounded theory, discourse analysis,                                | Used thematic analysis: page 8  |

|                                 | ather agreement in the property of the propert | 1 1   |
|---------------------------------|--|---|
|                                 | ethnography, phenomenology, content analysis   |   |
| Participant selection           |  |   |
| 10. Sampling                    | How were participants selected? e.g.   | Purposive   |
| ,                               | purposive, convenience, consecutive, snowball  | sampling page 8/9   |
| 11. Method of approach          | How were participants approached? e.g.   | Through GP  |
|                                 | face-to-face, telephone, mail, email   | surgeries page 6  |
| 12. Sample size                 | How many participants were in the study?   | 45 interviews: page 6/7 and table 1 and additional data from 28 non screener questionnaires p 7 |
| 13. Non-participation           | How many people refused to participate or dropped out? Reasons?  | Response rate to sampling questionnaire 18%   |
|                                 |  | in results: page 9.   |
| Setting                         |  |   |
| 14. Setting of data             | Where was the data collected? e.g. home,   | In the home page  |
| collection                      | clinic, workplace  | 7   |
| 15. Presence of non-            | Was anyone else present besides the  | No  |
| participants                    | participants and researchers?  | T   |
| 16. Description of sample       | What are the important characteristics of the sample? e.g. demographic data, date  | Tables 1, 3 and 4   |
| Data collection                 |  |   |
| 17. Interview guide             | Were questions, prompts, guides provided by the authors? Was it pilot tested?  | Topic guide used page 8   |
| 18. Repeat interviews           | Were repeat inter views carried out? If yes, how many?   | No  |
| 19. Audio/visual recording      | Did the research use audio or visual recording to collect the data?  | Yes page 8  |
| 20. Field notes                 | Were field notes made during and/or after the inter view or focus group?   | Personal notes<br>kept but did not<br>influence the<br>analysis                                 |
| 21. Duration                    | What was the duration of the inter views or focus group?   | 30-50 minutes page 8  |
| 22. Data saturation             | Was data saturation discussed?   | Yes page 7  |
| 23. Transcripts returned        | Were transcripts returned to participants for comment and/or correction?   | No, not appropriate in this design  |
| Domain 3: analysis and findings |  |   |
| Data analysis                   |  |   |
| 24. Number of data coders       | How many data coders coded the data?   | Two NH and LB page 8  |
| 25. Description of the          | Did authors provide a description of the   | figure 1 provides   |

|                                  |   | non participation   |
|----------------------------------|---|---|
| 26. Derivation of themes         | Were themes identified in advance or derived from the data?   | Inductively from data guided by research aim: page 8              |
| 27. Software                     | What software, if applicable, was used to manage the data?  | Non software used word package page 9                             |
| 28. Participant checking         | Did participants provide feedback on the findings?  | Validation provided by Steering group including lay member: page9 |
| Reporting                        |   |   |
| 29. Quotations presented         | Were participant quotations presented to illustrate the themes/findings? Was each quotation identified? e.g. participant number | Yes throughout results and in box 1 case studies                  |
| 30. Data and findings consistent | Was there consistency between the data presented and the findings?  | Yes in results and discussion section                             |
| 31. Clarity of major themes      | Were major themes clearly presented in the findings?  | Yes in results  |
| 32. Clarity of minor themes      | Is there a description of diverse cases or discussion of minor themes?  | Yes in results discussion   |

Once you have completed this checklist, please save a copy and upload it as part of your submission. When requested to do so as part of the upload process, please select the file type: *Checklist*. You will NOT be able to proceed with submission unless the checklist has been uploaded. Please DO NOT include this checklist as part of the main manuscript document. It must be uploaded as a separate file.

## **BMJ Open**

Concerns, perceived need and competing priorities: A qualitative exploration of decision-making and non-participation in a population-based flexible sigmoidoscopy screening programme to prevent colorectal cancer.

| Journal:                         | BMJ Open  |
|----------------------------------|---|
| Manuscript ID                    | bmjopen-2016-012304.R2  |
| Article Type:                    | Research  |
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| <b>Primary Subject Heading</b> : | Public health   |
| Secondary Subject Heading:       | Oncology, Qualitative research  |
| Keywords:                        | screening, colorectal, cancer, flexible-sigmoidoscopy, non-participation, QUALITATIVE RESEARCH  |

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Concerns, perceived need and competing priorities: A qualitative exploration of decision-making and non-participation in a population-based flexible sigmoidoscopy screening programme to prevent colorectal cancer.

N Hall<sup>1,2</sup>, L Birt<sup>3,4</sup>, C J Rees<sup>5,6</sup>, FM Walter<sup>3</sup>, S Elliot<sup>7</sup>, M Ritchie<sup>6</sup>, D Weller<sup>8</sup>, G Rubin<sup>1</sup>

**Key words:** Colorectal cancer, screening, flexible-sigmoidoscopy, non-participation, qualitative

Word count 5159

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<sup>&</sup>lt;sup>7</sup>Lay member of steering committee

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#### **ABSTRACT**

Objective: Optimising uptake of colorectal cancer (CRC) screening is important to achieve projected health outcomes. Population-based screening by flexible sigmoidoscopy (FS) was introduced in England in 2013 (NHS Bowel scope screening). Little is known about reactions to the invitation to participate in FS screening, as offered within the context of the Bowel scope programme. We aimed to investigate responses to the screening invitation to inform understanding of decision-making, particularly in relation to non-participation in screening.

**Design:** Qualitative analysis of semi-structured in-depth interviews and written accounts.

**Participants and setting:** People from 31 general practices in the North East and East of England invited to attend FS screening as part of NHS Bowel scope screening programme were sent invitations to take part in the study. We purposively sampled interviewees to ensure a range of accounts in terms of beliefs, screening attendance, sex, and geographical location.

Results: 20 screeners and 25 non-screeners were interviewed. Written responses describing reasons for, and circumstances surrounding, non-participation from a further 28 non-screeners were included in the analysis. Thematic Analysis identified a range of reactions to the screening invitation, decision-making processes and barriers to participation. These include: a perceived or actual lack of need; inability to attend; anxiety and fear about bowel preparation, procedures or hospital; inability or reluctance to self-administer an enema; beliefs about low susceptibility to bowel cancer or treatment; understanding of harm and benefits. The strength, rather than presence, of

concerns about the test and perceived need for reassurance were important in the decision to participate for both screeners and non-screeners. Decision-making occurs within the context of previous experiences and day-to-day life.

**Conclusions:** Understanding the reasons for non-participation in FS screening can help inform strategies to improve uptake and may be transferable to other screening programmes.

#### Strengths and limitations of this study

- Qualitative methods used within this study allowed an in-depth exploration of the contexts, decision-making processes and emotional reactions rooted within the reasons provided for non-participation in CRC screening.
- Our recruitment strategy allowed for anticipated difficulties in recruiting non-screeners, however, the overall response to our study invitation remained low.
- Purposive sampling ensured that we were able to include accounts from a full range of participants in terms of their beliefs, decision-making and attendance.
- 4. Our sampling allowed us to compare a diverse range of accounts from screeners and non-screeners within and across research sites and screening centres, including participants living in the most deprived areas within the UK.
- 5. Our sample did not include enough respondents from ethnic minority groups to draw conclusions about more specific cultural influences.

#### INTRODUCTION

Colorectal cancer (CRC) screening is important in reducing CRC-related mortality. 1-3 In England, a flexible sigmoidoscopy (FS) test at 55 years has been added to the existing faecal occult blood testing (FOBT) population-based CRC screening programme offered between 60 and 74 years.<sup>4</sup>. Since 2013, the FS programme, termed Bowel scope, has been progressively implemented across the United Kingdom (UK) through regional bowel screening centres. Each centre covers a geographical population which is served by a number of screening sites (endoscopy units). Each centre is expected to have at least one site offering FS screening by the end of 2016, with complete coverage of the English population expected around 3 years after that. The primary purpose of FS screening is to prevent CRC by identifying and removing adenomas before they develop malignant changes. It has been shown to reduce both CRC mortality and incidence in the UK, <sup>1,5</sup> Europe and the USA.<sup>6</sup> The effectiveness of any populationbased screening programme is reliant on high uptake. At 43.1%, CRC screening uptake is lower than breast or cervical cancer screening (even among women), and uptake for FS is lower than for FOBT. 4,8 Understanding the influences on decision-making and non-participation in FS screening is therefore important to help optimise projected gains in mortality and reduce health inequalities.

A number of socio-demographic, ethnic, and sociological influences on FOBT screening participation have been identified. 9-14 Intervention studies incorporating factors such as general practitioner endorsement, 15 reminders and social networks have shown these can have a positive effect on uptake. However, the evidence is inconsistent 16-17 and effectiveness is likely to be, in part, influenced by the health care context in which the intervention is based. The dynamics of decision-making for FS screening may be quite different, with its high technology, specialist-based

approach, a less proactive role required for participants and a different method of invitation. Qualitative research among participants in the UK FS Trial has identified that most of the effects of demographic and health variables on interest in participation are mediated by socio-cognitive variables, 18 although actual uptake among interested participants is influenced more directly by demographics, health and stress. 19 Non-participation is also reported to be influenced by avoidant attitudes towards screening, other health beliefs, 20,21 fear<sup>22</sup> and deprivation.<sup>23</sup> This research has been undertaken with people offered screening within a research trial context or has focused on intention rather than actual screening behavior. A quantitative analysis of screening uptake in the first 14 months of the English Bowel scope screening programme identified independent effects of deprivation, gender and screening centre on screening participation. We sought to gain a more thorough understanding of the influences on screening participation by conducting an indepth exploration of the responses of members of the public to their invitation to the Bowel scope screening programme. By taking this approach we obtained their reflections on their actual decision-making and experiences, their awareness and understanding of CRC and the contexts surrounding reasons for non-participation.

#### **METHODS**

#### Setting

The study took place across two research sites (the North East England and East of England), chosen because of their diverse deprivation profiles and their location within areas covered by two of the first English pilot NHS Bowel scope screening centres. The

study was provided a favourable ethical opinion by the NHS Bromley NRES Committee (14/LO/0207).

#### Participant recruitment

For each General Practice included in the screening programme, the NHS CRC screening hubs generate a letter inviting patients aged 55 years to attend for FS screening at their local Bowel scope screening centre. Thirty one eligible General Practices (18 North East England; 13 East of England) agreed to mail study information explaining the aims of the study and a study sampling questionnaire to all patients invited for FS screening within the previous six months. The Bowel scope screening invitation process takes eight weeks from initial contact to appointment date and no study information was sent to patients during this time to avoid influencing their decision-making. Recruitment took place between March and December 2014.

Participants were asked to return the sampling questionnaire directly to the study researchers indicating whether they wished to be contacted for a face-to-face interview. The sampling questionnaire gathered information on gender, ethnicity, screening attendance and a series of items to assess attitudes towards cancer, concerns about the FS test and current bowel symptoms. This information was used to purposively sample participants for interview, helping to ensure we interviewed people who had attended FS screening (screeners) and those who had not attended (non-screeners) including people with a range of attitudes, beliefs and reasons for attending and not attending screening.

Study information was initially sent to 623 eligible patients. There were lower rates of questionnaire return among the non-screeners (36%) than the screeners (61%). To

adhere to the principles of qualitative purposive sampling<sup>24</sup>, after 5 months of recruitment we only sent recruitment material to non-screeners, thereby helping to ensure we recruited from this typically hard to reach group. At this stage study information was posted to a further 552 non-screeners in phases until we had interviewed 25 non-screeners and 20 screeners and no new themes were emerging from the participants accounts.

The sampling questionnaire included an open question inviting written responses for reasons for non-attendance. By interviewing people who had undergone and not undergone screening, we were able to explore similarities and differences in beliefs and decision-making processes.

#### Data collection

Semi-structured, face-to-face interviews were undertaken in the participant's home by NH and LB, both experienced post-doctoral qualitative researchers. Interviews were preceded by an explanation of the research, a reiteration that the researcher was not a member of the FS screening team or the GP practice. Written consent was obtained before the interview commenced. The semi-structured interview guide included general open ended questions on reasons for attending or not-attending screening, concerns about FS screening, understanding of colorectal cancer and views on screening within the NHS. Participant initiated topics were encouraged and pursued during the interviews. Additional interview prompts included knowledge, beliefs and previous experiences of cancer in general and cancer screening more specifically; practicalities, concerns and experiences associated with screening attendance and bowel preparations; and participation in other screening programmes. Interviews lasted between 30-50

minutes and were audio recorded. All written responses to an open ended question included within the sampling questionnaire ("Please let us know below if there were any other reasons or circumstances which meant you were unable to, or did not wish to, take part in Bowel scope screening"), were recorded for analysis.

#### Qualitative Analysis

All interviews were transcribed verbatim. Interview transcripts and written responses were analysed using Thematic analysis. <sup>25</sup> After familiarising themselves with the data NH and LB initially worked independently then collaboratively to developed a data-driven coding framework. Once data were organised into codes, using word processing software, NH and LB searched for patterns and developed early themes, exploring similarities and differences between 'screeners' and 'non-screeners'. These themes were reviewed for credibility by referring back to the empirical literature on screening behaviour. Peer validation was sought through sharing with the study steering group. This group comprised of clinicians and academics with expertise in screening research and a service user, with experience of FS screening and an interest in the bowel cancer screening programme.

#### **RESULTS**

We received a total of 214 sampling questionnaires (88 non-screeners; overall response rate 18%), 110 of whom agreed to be contacted for interview (32 non-screeners). Responses to the items on the sampling questionnaire indicated that the majority of screeners and non-screeners held positive beliefs about CRC screening (screeners 100% n=126; non-screeners 98% n=86). Many had concerns about the nature of the FS investigation (screeners 72% n=91; non-screeners 66% n=58). Twenty eight people

who did not wish to be interviewed returned written responses and these data were analysed thematically in conjunction with interview data. No significant differences in responses to the items included on the sampling questionnaire were identified between those who agreed to be interviewed (n=104) and those who did not (n=110). There were demographic differences between the recruitment areas with 71% of respondents from the North East from areas within the two highest quintiles of indices of multiple deprivation in the UK compared with only 4% of those from the East of England. Table 1 summarises the numbers of responses received and numbers of participants interviewed (screeners n=20; non screeners n=25). Appendix 1 and 2 provide a summary of the beliefs and attitudes of those interviewed towards screening along with key facilitators or barriers to attendance at the screening appointment.

(Insert Table 1)

Interview data and written comments demonstrated considerable heterogeneity in reported reasons for non-participation and multiple reasons were common. These are summarised in Figure 1 in relation to the stages of the programme invitation, separating the reasons for unwillingness and inability to be screened.

(Insert Figure 1)

The following section describes further in-depth exploration of the interview data in relation to the contexts, decision-making processes and emotional reactions rooted within the reasons provided for non-participation. These centred primarily around the balancing of concerns in relation to the FS test and a potential cancer diagnosis, beliefs about the need for reassurance, conflicting priorities and practical issues in relation to appointment scheduling. We use case examples (see table 2) as well as interview

quotations to illustrate the complexity of these processes and to highlight some typical experiences of non-screeners in relation to their non-participation.

(insert table 2)

#### Concerns and perceived risk: invasiveness, embarrassment and potential harm

A general lack of awareness regarding the Bowel scope programme due to the early stage of national implementation at the time of our study meant that most interviewees with no prior experience or knowledge of endoscopic procedures described having reacted to their screening invitation with surprise or shock. The decision not to participate in screening for some had been based on a careful consideration of the perceived risks and harms associated with the test (see case study 1). The anticipated "unpleasantness" of the FS procedure, associated both with its "invasiveness" and potential embarrassment for both screeners and non-screeners alike could however result in strong emotional reactions to the invitation (see case study 2). The FS test was described by some women as more intrusive and embarrassing than breast or cervical cancer screening, which were more easily normalised as part of being a "woman".

The information provided in the screening invitation relating to potential harm and, in particular, bowel puncture had caused additional concern and anxiety for some interviewees.

"I read the bit which stuck in my head that it could puncture your bowel... and I thought oh right I'm not doing that... I was just too scared to have it done" (D-60 Female non-screener)

The bowel preparation (enema) was also described as a barrier. These concerns often only became apparent after the initial decision to attend screening, as the implications

associated with the bowel preparation were not always clearly understood until further down the invitation process when the kit and instructions arrived through the post:

"the only reason I cancelled my appointment was because after speaking to an assistant I realised I had to apply the enema an hour before attendance, ... I could not do this at work. I would also feel worried about driving to the hospital after applying an enema!" (C-4 Female non-screener)

# Consideration of outcomes: Perceived need for reassurance and likelihood of a potential cancer diagnosis

The anticipated unpleasantness of the procedures was often outweighed by strong beliefs about the personal need for reassurance offered by screening. It was the strength of perceived need for reassurance, rather than positive beliefs about the value of screening per se, that was more likely to be related to a rapid and/or firm decision to attend despite concerns about the procedure. A commonly reported need to put up with the inconvenience, embarrassment and unpleasantness of the test was evident within the accounts of both screeners and the non-screeners who had wished to take part. However, the need for reassurance could override even intense anxiety about the procedure.

"Panic. I didn't fancy this thing in my bum, but you've got to do it. ... I've got to do this for my peace of mind." (C-39 Male screener)

Similarly, a strong, and potentially legitimate, perceived lack of need (for example, due to a recent endoscopic investigation, see case study 3) was more likely to result in a firm non-screening decision. While the majority of interviewees, whether they had attended

or not, held positive attitudes towards population-based cancer screening in principle and acknowledged the importance of early diagnosis, decision making was more likely to be based on assessments of their own personal perceived susceptibility to bowel cancer. For some people these beliefs were related to healthy behaviour choices or the presence of symptoms:

"I do not feel at risk of bowel disease because I am not a heavy drinker, I hardly ever take pills and I have been vegetarian for 25 years and have an excellent diet and fitness regime, But I still think it's a great idea to offer this screening to people 55+" (D-63 Female non-screener)

Personal experience of any type of cancer, either their own or of close others, seemed to heighten sensitivity to the need for reassurance and the importance of "catching cancer early" (see case study 4). On the other hand, having witnessed suffering of a loved one after a long period of cancer treatment or remission could enhance fatalistic attitudes towards cancer.

"sometimes all these treatments and nothing works, so I think I would just give in at the first hurdle... they (friends with bowel cancer) went through all that battle and nothing worked" (D-60 Female non-screener).

A preference not to know the outcome of screening was also described by those with existing physical and mental health conditions, particularly when associated with a reluctance to undergo treatment or a perceived inability to cope with the demands of a cancer diagnosis. Although there were some exceptions, most respondents' accounts described their understanding of FS screening as a diagnostic tool rather than a preventive measure.

#### Responsibilities

A common narrative in the interviews of both screeners and non-screeners was their sense of responsibility to take advantage of the screening opportunity. For some this included being accountable to the wider society, particularly in respect of the use of public (NHS) funding and resources, reporting an awareness that screening and early cancer detection was more cost effective than later treatment and a responsibility to maintain their own health. However, appropriate use of resources was also described as a reason not to attend the Bowel scope appointment.

"I won't have treatment for cancer... So, you know, I just think I'm not wasting people's, the NHS's money or whatever, you know, I'm just not." (D-83 Female non-screener)

#### Competing priorities and chaotic lives

Fitting in a screening appointment could be problematic when people were living chaotic lives, perhaps in deprived circumstances, caring for ill or disabled children or parents, or were faced with conflicting demands such as ill health. Difficulties attending a screening appointment were exacerbated when there was a sense of not having any reserves left to deal with potentially negative outcomes, other more immediate health concerns, or there were practical issues administering the enema or getting to hospital. The experiences of re-arranging inconvenient appointment times varied by screening centres, but the appointment system was a common barrier to many of those who had wished to take part and were unable to (see case study 4). Employment was another commonly reported competing priority. While appointments

#### Decision-making and future intention

Although some interviewees reached a quick and firm decision about screening, decision-making was often described as a dynamic process and was more difficult when dissonant beliefs were held about potential screening outcomes and the need for screening.

"if they found something, how would I react to that? Well I might be better off not knowing. But in the back of my mind that's saying yeah but it's better to know early." (C-112 Female non-screener)

In these instances, decisions were reached with more difficulty and could change more easily and more frequently.

"Many times I say no, I'm not going to do it, I don't want to have that in my body...one minute I was going yes, other minute I was going no...it wasn't an easy decision!" (C-39 Male screener)

Seeking additional information and talking to others was also more likely in these instances. Many non-screeners reported that they would consider taking part in screening in the future or had since decided to take part in FOBT screening when offered after their sixtieth birthday.

#### **DISCUSSION**

This is the first qualitative study that we are aware of to explore the decision-making of people who have been invited to attend FS screening when offered as an organised population-based programme. Our findings demonstrate that FS screening offered within this context is generally valued and associated with positive attitudes in relation to the importance of early diagnosis of cancer. These attitudes are held by those who do not attend screening as well as those who do. Our in-depth exploration of the contexts, decision-making processes and emotional reactions rooted within the reasons provided for non-participation showed that these centre primarily around the balancing of concerns about the FS test and potential cancer diagnosis, beliefs about the personal need for screening and reassurance, conflicting priorities and practical issues in relation to appointment scheduling.

The potential of FS screening to remove pre-cancerous polyps, thereby allowing cancer prevention as well as detection, was absent in many accounts from screeners and non-screeners. When mentioned, this aspect of the screening was rarely described as having had a major influence on their decision making. Our findings, nevertheless demonstrate that non-participation in FS screening is not necessarily due to a lack of knowledge, unjustified concerns or the lack of intention to attend an appointment. Furthermore, some participants felt their decision not to be screened was a rational and informed choice within the context of their individual circumstances.

One of the strengths of our research is that we were able to compare a diverse range of accounts from screeners and non-screeners within and across research sites and screening centres, including from participants living in the most deprived areas within the UK. Although our recruitment strategy, based on experience from a previous study, allowed for anticipated difficulties in recruiting non-screeners, the overall response

to our study invitation was low. We were successful, nonetheless, in purposively sampling a diverse group of participants in terms of their beliefs, decision making and reasons for non-attendance. We continued interviewing until data saturation was reached i.e. no new themes were emerging from additional accounts. Our recruitment methods were based on qualitative purposive sampling. Further quantitative research would be required to ascertain the frequency of the different identified influences on decision-making within the wider population. The strength of our findings, however, lies within the in-depth exploration of the range of processes and influences involved in screening behavior that is provided by our analysis of the accounts of our participants.

Efforts were made to ensure that interviewees did not feel judged about their non-attendance or coerced into future screening decisions. Although a degree of post-hoc rationalisation is possible, our impression was that participants provided open and honest accounts of their experiences. Our sample did not include enough respondents from ethnic minority groups to draw conclusions about more specific cultural influences<sup>26</sup> and transferability of our findings to other regions and screening programmes may be limited. Despite including a mix of men and women and people from areas of high and low deprivation, we were not able to identify any influences specific to gender or deprivation. Further focused analysis in this area may, however, be of benefit, particularly as some of the barriers we identified including caring responsibilities, work practices and health benefits which are often gendered or socioculturally determined.

Our study complements the findings of a previous qualitative study of non-participation nested within in the UK FS Trial, <sup>20</sup> as we were able to include and compare accounts of those with some intention to take part in FS screening but who were unable to, those for

whom screening was not necessary and those who had attended screening. In contrast to their findings that practical barriers do not play a major role in screening uptake, we found that barriers, such as inability to attend the screening appointment do play a role and that these barriers have a greater influence on actual appointment attendance than the initial decision or intention to attend. An analysis of variation in uptake during the first 14 months of the Bowel scope Screening programme<sup>7</sup> identified that centre effects have an independent association with uptake along with deprivation and gender and that those offered out of hours appointments were more likely to attend screening. Our participants' experiences and satisfaction with re-arranging inconvenient appointment times differed between screening centres. For some people, needing to request time off work to attend an appointment was seen to be embarrassing and was not always an appropriate option. Our study was completed in the early stages of the roll out of the Bowel scope programme when population and screening centre coverage was limited. Our findings indicate, however, that facilitation of uptake and satisfaction can be maximized by ensuring the flexibility of the appointment scheduling processes and accommodating those who would like to attend but are unable to when initially invited. Non-screeners who were undecided or unable to attend their initial invitation may benefit from an additional reminder at a later date. The integration of reminders into the screening programme has more recently also be shown by others to potentially be a feasible option worthy of further research.<sup>27</sup> A more in-depth quantitative analysis on screening uptake, including data on how many people confirm, reschedule or cancel their appointment would be beneficial to ascertain the portion of non-screeners who may benefit from improved flexibility or a safety netting approach to appointment rescheduling. Implications on programme delivery would also need to be considered. At present, Bowel scope clinic lists are 'overbooked' to accommodate non-attenders; a challenge for the programme is to balance service efficiency against capacity to maximize uptake while maintaining satisfaction with the appointment scheduling process and accommodating those who would like to attend but were unable to when initially invited.

Our findings confirm the importance of the influence of the perceived burden of the FS test, identified by others. 8, 12, 20,28 These concerns were evident across most participants, however, comparisons of accounts between screeners and non-screeners showed that when there is a strong perceived need for reassurance about potential cancer presence, concerns about the invasiveness of the test and other practical barriers were more readily overcome. The concept of "perceived need for reassurance", as described in our analysis, reflects an appraisal response to the activation of emotional reactions triggered by the screening invitation, specifically in relation to beliefs about personal risks and circumstances. Our findings suggest that it is the strength of this perceived need rather than its presence that seems to be a crucial motivational driver of screening behavior. It is directly influenced by beliefs about perceived personal susceptibility or vulnerability to cancer, coping style preferences, emotions and outcome expectancies (including fear and anxieties about the test itself, a potential cancer diagnosis and treatment), beliefs about screening and the socio-cultural context. "Perceived need for reassurance" therefore provides a useful lens through which to understand the decision-making process, as it allows for the involvement of a combination of existing socio-psychological constructs from health behavior theories that have been used to explain and predict screening uptake, such as, for example, the extended Health Belief Model.<sup>29</sup> Crucially, "perceived need for reassurance" also

allows for the influence of emotion on behavior and can be seen as being inextricably tied to the appraisal and coping processes that take place when faced with a health threat.<sup>30</sup> The role of emotions and coping strategies in CRC screening behavior has been previously reported. A questionnaire study of a subsample of the UK FS trial participants, for example, concluded that finding thoughts about cancer uncomfortable is associated with lower screening uptake and that different aspects of cancer fear can facilitate and inhibit screening intention and behavior in different ways. <sup>22</sup> The accounts from our participants illustrate and contextualise the strong emotions that can result from receiving a screening invitation associated with concerns not only about the screening process, but also with a potential cancer diagnosis and outcome expectancies associated with treatment beliefs and, for a small minority, general anxiety associated with hospital attendance. Our analysis also, therefore, complements findings by Oster et al <sup>31</sup> that people's decisions to undergo CRC screening vary according to their degree of ambivalence towards finding out their cancer status and concurs with Palmer et al. 32 who propose that people do not wish to know the outcome of screening when they view treatment as "futile and unpleasant". Anticipated regret, the decision to take action to avoid experiencing unpleasant emotions associated with not having acted in a particular way, is a more cognitive-based emotional influence on screening uptake that has been found to have a complex relationship with CRC screening behavior in a recent intervention study on FOBT screening<sup>13</sup> and this is reflected within our participants' accounts as an influence on their motivation to be screened.

The reported relationship between the lack of abdominal complaints and lower uptake of CRC screening <sup>8, 28</sup> can also be explained within the context of a lower perceived need for reassurance. Perceived susceptibility or vulnerability to a particular illness is

an important element of many theories used to explain health behaviours such as screening. <sup>18,20</sup> When illness beliefs are associated with a lower perceived susceptibility to cancer, the motivation to be screened may not be strong enough to overcome any associated concerns. Within our participants' accounts, this was linked to healthy lifestyle choices as well as symptoms.

Our analysis demonstrates how decision-making processes in relation to FS screening are firmly situated within, and influenced by, the wider socio-cultural context of people's lives, particularly in relation to their previous experiences with, and/or family history of, cancer. In the UK, uptake of colorectal cancer screening 11,23 and more specifically for FS <sup>4,7,33</sup> is lower in areas of higher deprivation. Further research is needed to explore the mediating effects of factors associated with higher deprivation such as existing physical and mental health problems (that may affect the desire and/or ability to attend the screening appointment), the lack of desire for potential treatment and lower flexibility in employment leave. Difficulties assessing socio-cultural norms around FS screening behavior were commonly alluded to in our data, particularly for those with no prior experience or knowledge of endoscopic procedures. As the Bowel scope programme extends and awareness increases, "normalisation" of FS screening may help to improve uptake <sup>32</sup> and positive experiences of the screening procedures will be important in this regard. The importance of information on how other people deal with particular situations has been highlighted by others <sup>34</sup> and some participants suggested that knowing about the screening experience of others would have been helpful.

Cancer screening is often described in terms of a responsible or moral choice<sup>10, 14, 35</sup>.

Our findings demonstrate that the moral responsibility to "catch cancer early" is a

common, and often dominant narrative. Even when present, however, these beliefs do not necessarily result in screening uptake and in some instances, non-participation in screening was also be explained in terms of moral choices and responsibilities, particularly within the context of wasting limited publically-funded health care resources. Finally, our analysis highlights the need to acknowledge the dynamic nature of decision-making and screening intention within future research. Intention is often measured and reported as a relatively stable factor, however, our findings support a more variable stability of intention which should be taken into account when interpreting research findings in this area.

#### CONCLUSIONS

In-depth exploration of the contexts, decision-making processes and emotional reactions rooted within the reasons provided for non-participation highlight the need to recognise the heterogeinity of non-screeners, particularly in relation to whether they are unwilling or unable to attend screening. Findings can inform the development and evaluation of targeted interventions and help to understand how psycho-social, provider and healthcare delivery factors interact to influence screening behavior.

#### **Contributionship statement:**

GR was the chief investigator. GR, CJR, FMW, DW made substantial contribution to the conception and design of the study. NH & LB undertook review of literature, recruitment, data collection and data analysis. All authors were involved in the conduct of the study and reviewing data. NH wrote the draft of the manuscript and all authors contributed to developing this draft and approving the submitted paper. GR is the guarantor.

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(g.p.rubin@durham.ac.uk). Data sharing consent was not obtained. All data are anonymised with a low risk of identification.

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**Table 1 - Summary of responses** 

|  | Male<br>(East) | Female<br>(East) | Male<br>(North<br>East) | Female (North East) | Total |
|--|----------------|------------------|-------------------------|---------------------|-------|
| Total questionnaire responses                | 55             | 70               | 34                      | 55                  | 214   |
| Qualitative data Totals                      | 10             | 27               | 11                      | 25                  | 73    |
| Written qualitative comments (non-screeners) | 2              | 11               | 4                       | 11                  | 28    |
| In-depth Interviews (non-<br>screeners)      | 3              | 11               | 3                       | 8                   | 25    |
| In-depth interviews (screeners)              | 5              | 5                | 4                       | 6                   | 20    |
|  |                |                  |                         |                     |       |

#### TYPICAL CASE EXAMPLES – NON-SCREENERS

Case example 1: Female non-screener (D-61) - Decision not to be screened made based on consideration of harms and benefits.

Eileen is a retired midwife/nurse who had lots of experience working within the NHS and dealing with screening related issues. Her father died of bowel cancer years earlier and she described how he suffered for many years before he died. She thought very carefully about her response to the screening invitation and spoke to family members who encouraged her to attend, before calling to cancel her appointment. She attends all other cancer screening programmes and feels that her decision-making process for Bowel scope was very different and more involved than for other types of screenings. "The main thing that struck me was the statistics, you know one in 300 might have cancer, I though well I'm not having that shoved up my arse frankly for the sake of that, you know they might perforate my bowel." She believes that finding bowel cancer early does not necessarily mean that you won't die from it and is not convinced that the Bowel scope programme "can be remotely cost effective".

Case example 2: Male non-screener (D-34) – Believed it is a good thing to do, but unable to overcome concerns about nature of the investigation.

Brian lives in a shared flat. He was shocked at receiving the invitation and initially assumed it was related to ongoing medical investigations for cancer. Once he realised this was not the case, he still felt this was something he probably should do, as "they probably screen people for a reason". He felt very anxious because of the intrusive nature of the test and he talked to his mother, daughter and friends at the pub about it. They all encouraged him to take part. He also looked up further information about the procedure on the internet. After a long time deliberating, he decided he would go ahead with the screening, "grudgingly, I was kind of just thinking I would have it done". He had not realised that he needed to confirm his attendance and then received a letter saying it had been cancelled. "At that point I thought relief to be honest and I decided to just leave it." He feels screening is a good thing, especially at his age, but had never considered bowel cancer before. His father has died of prostate cancer and Brian feels he would be more in need of prostate cancer screening. "if nothing else I did read about it and it's opened my eyes to bowel cancer, which I'd never thought about at all, so it probably did some good". He would encourage others to take part, but no longer has any intention to himself.

# Case example 3: Female non-screener (C-71) - Bowel scope screening is currently unnecessary

Barbara is retired and lives with her husband in a rural village. Her invitation arrived just 6 weeks after she had undergone a sigmoidoscopy following a GP referral for loose stools and rectal bleeding. "I am very much of the opinion that people should be responsible for their own health but to actually have the NHS just sort of knocking on your door and saying we'd like you to test this out for your peace of mind. I found it very welcome". She recently lost a close friend to bowel cancer and currently has 2 other friends undergoing treatment for bowel cancer. After contacting the helpdesk she was advised FSFS was not required at the moment, but she intends to take up the offer of screening before her 60<sup>th</sup> birthday as she is aware from her friends that the signs can be easily missed and she still has concerns "because of how I am normally, it might be a little bit difficult for me to actually isolate a change that's abnormal".

# Case example 4: Female non-screener (D-44) - Desires to be screened but unable to attend

Rose is a full-time carer for her father and disabled daughter. She has lost her mother and close relatives to bowel cancer and feels concerned about her risks. She had a screening colonoscopy five years earlier and initially believed this was a repeat appointment. When she realised everyone was being invited for screening, she still wanted to take part. She was unable to attend her allocated appointment time. She has called twice to reschedule, but is unable to make an appointment far enough in advance to fit in with her caring responsibilities. "I can only go on these certain dates and they said well we can't give you them dates because we can only go up to a fortnight or so many days. I says well I can't do it then and I was a bit annoyed about that... I rang back and they were filled up again, so I didn't bother." After that "I just forgot, I've got that much going on, I just forgot, that's all". She is still willing to undertake screening and thinks that she might try again, but is aware she may potentially receive a further surveillance appointment sometime in the future.

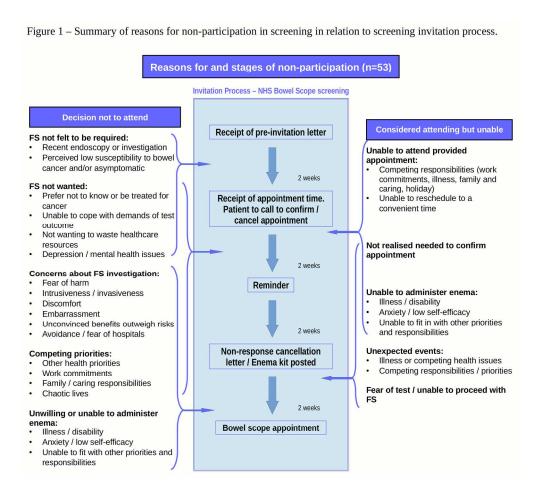


Figure 1- Summary of reasons for non-participation in screening in relation to screening invitation process.

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omjopen-2016-012304 on 11 Nov

#### **Appendix 1 – Interview participant attributes (Non-screeners N=25)**

(Strongly agree = ++; agree= +' disagree= - ; strongly disagree = --; x=missing or n/a)

| Sex | MDI rank (1-5) 5= most depriv ed | bowel cancer<br>screening is important | I have concerning bowel symptoms | I was concerned about test | I was worried about<br>what they might find | I am unable to have<br>test for medical<br>reasons | Previous cancer<br>diagnosis | Cancer concern/susceptibility  | Main reported reason for non-screening Download   | Would consider in future? |
|-----|----------------------------------|--|----------------------------------|----------------------------|---|--|------------------------------|--|---|---------------------------|
| F   | X                                | ++                                     | +                                | +                          | +   | +  |                              | Family history cancer (bowel). Not very concerned as recently tested after presenting with symptoms.   | Endoscopy 3 months earlier, didn't want to waste resources  | ✓                         |
| F   | 2                                | +                                      | -                                | ++                         | -   | -  |                              | Grandfather had cancer (bowel). Some implied concern believes takes a long time to develop   | Embarrassment about test  | ✓                         |
| M   | 2                                | +                                      | -                                | ++                         | ++  | -  |                              | Lost friends to cancer but no more concerned<br>with possibility of bowel cancer than any other<br>illness   | Attended but inable to proceed to test due to fear atout potential damage caused by scoping                           | ✓ Only if sedated         |
| F   | 3                                | X                                      | X                                | X                          | X   | X  |                              | No specific concern. Has many other more prominent health problems   | Not realised irreded to confirm appointment.  | ✓                         |
| F   | 2                                | ++                                     | +                                |                            |   |  |                              | Lost 1 close friend to cancer (bowel), 1 had a diagnosis (bowel) but is fine and 1 is in terminal stages (bowel). High cancer concern and has current symptoms. Screening would offer reassurance. | Recent endoscopy after bowel change and bleeding  | <b>√</b>                  |
| F   | X                                | X                                      | x                                | x                          | X   | x  |                              | No specific concern  | Hadn't realised needed to call to confirm   | ✓                         |
| F   | 3                                | ++                                     |                                  | +                          | +   |  |                              | No specific concern  | First appointment cancelled by screening centre. Appointment rebooked but clashed with poperation                     | ✓                         |
| M   | 1                                | X                                      | X                                | x                          | X   | X  |                              | No specific concern  | Recently had colonoscopy. Phoned and advised screening not needed. Would prefer to let seneone else have appointment. | ✓                         |
| F   | 5                                | ++                                     |                                  | +                          | +   |  |                              | Family history of cancer (breast).   | Unable to gettime off work to attend  | ✓                         |
|     |                                  |  |                                  |                            |   |  |                              |  | by copyright.   |                           |

|     |                                  |  |                                     |                            |   |  |                              | BMJ Open  | omjopen  | F                         |
|-----|----------------------------------|--|-------------------------------------|----------------------------|---|--|------------------------------|---|--|---------------------------|
|     |                                  |  |                                     |                            |   |  |                              |   | omjopen-2016-01230 <b>4 on 1</b>   |                           |
| Sex | MDI rank (1-5) 5= most depriv ed | bowel cancer<br>screening is important | I have concerning<br>bowel symptoms | I was concerned about test | I was worried about<br>what they might find | I am unable to have<br>test for medical<br>reasons | Previous cancer<br>diagnosis | Cancer concern/susceptibility   | Main reported reason for non-screening ber 20  | Would consider in future? |
| F   | 3 (+)                            | ++                                     | -                                   | Ų                          | +   | <u>-</u>   |                              | Strong family history (bowel) and high cancer concern                                   | Unable to atted appointment due to caring responsibilities   | ✓                         |
| F   | 2                                | ++                                     | -                                   | +                          | -   | -  |                              | No specific concern   | Chronic illne affects ability to get to hospital   | ✓                         |
| F   | 1                                | +                                      |                                     | +                          | -   |  | 0,                           | No specific concern   | Potential risk of test. Undergoing other medical treatment.  | ✓                         |
| М   | 2                                | ++                                     | -                                   | -                          | -   |  |                              | No specific concern   | Life too chaouc following marriage breakdown   | ✓                         |
| F   | 1                                | ++                                     |                                     |                            |   |  |                              | Lost father to suspected cancer. Hadn't considered own susceptibility. Lives healthily. | All seemed to messy and inconvenient.  Couldn't administer the enema at work   | ?                         |
| F   | 1                                | ++                                     | -                                   |                            | Х   | -  |                              | No specific concern   | Panic about haspitals. Last scope 2 years ago was painful. Couldn't administer own enema. So opportunities for sedation.   | ?                         |
| F   | 3                                | ++                                     | +                                   |                            |   |  |                              | 2 friends with cancer and good recovery. Feels less at risk as eats healthily.          | Unable to administer the enema on own due to MS an appointment not convenient, No chances to reschedule.   | ?                         |
| F   | 2                                | +                                      | -                                   | +                          | -   | -  |                              | No specific concern   | Does not feel inhealthy  | ?                         |
| F   | 2                                | +                                      |                                     | +                          |   |  |                              | No concern. Feels safe in own self care and awareness                                   | Friends reported bad experience. Has ongoing health issues relating to cirrhosis and does not wish to risk complication due to caring responsibilities for mother. | X                         |
| F   | 1                                | ++                                     | -                                   |                            | x   | -  |                              | No specific concern   | Fear of hospitals. Complicated medical history and sigmoidoscopy 2 years earlier.  | X                         |
|     |                                  |  |                                     | _                          |   |  |                              | /bmionon bmi oom/oito/obout/quido   | by copyright.  |                           |

|     |                                  |  |                                  |                            |   |  |                              | BMJ Open  | omjopen   |                           |
|-----|----------------------------------|--|----------------------------------|----------------------------|---|--|------------------------------|---|---|---------------------------|
|     |                                  |  |                                  |                            |   |  |                              |   | omjopen-2016-012304 on  |                           |
| Sex | MDI rank (1-5) 5= most depriv ed | bowel cancer<br>screening is important | I have concerning bowel symptoms | I was concerned about test | I was worried about<br>what they might find | I am unable to have<br>test for medical<br>reasons | Previous cancer<br>diagnosis | Cancer concern/susceptibility   | Main reported reason for non-screening be 120   | Would consider in future? |
| F   | 5                                | +                                      | -                                | +                          | +   | -  | ✓                            | Lost mother to breast cancer. High concern.   | Has other conflicting responsibilities and concerns about intrusiveness of test   | X                         |
| F   | 2                                | +                                      | (ongoin g bowel sx)              | +                          | -   | -  |                              | Lost 1 friend recently and 1 currently in palliative care (both bowel ca). Currently on mind and has ongoing bowel symptoms | Main reason was the fear of harm/damage Also belief that bowel cancer is hard to treat.   | X                         |
| F   | 1                                | +                                      |                                  | ++                         | -   |  |                              | No specific concern   | Not realising 3 that test involves until enema arrive in post.  | X                         |
| F   | 1                                | +                                      |                                  | ++                         |   |  |                              | Lost father to cancer (bowel ca).   | Not convinced by statistics provided or that benefits antweigh invasiveness of test. Feels treatment can prolong suffering. Attends other cancer screening. | X                         |
| М   | 4                                | +                                      | -                                | +                          | +   |  |                              | Lost both parents (mother OG ca). High cancer concern.  | Initially, appointment during holiday. Then decided ie does not want to know if cancer.   | X                         |
| M   | 3 (+)                            | +                                      |                                  | +                          | -   |  |                              | Father (prostate ca) but low bowel cancer concern.  | Unpleasantness of FSIG  ➤   | X                         |
|     |                                  |  |                                  | For                        | peer re                                     | eview only   | v - http:/                   | /bmjopen.bmj.com/site/about/guide   | April 9, 2024 by guest. Protected by copyright.   |                           |

omjopen-2016-012304 on 11 November

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#### **Appendix 2 – Interview participant attributes (Screeners N=20)**

(Strongly agree = ++; agree= +' disagree= -; strongly disagree = --; X=missing or n/a)

| Sex | MDI rank<br>(1-5) 5=<br>most<br>deprived | bowel cancer screening is important | I have concerning bowel symptoms | I was concerned about test | I was worried about what<br>they might find | Previous<br>cancer<br>diagnosis | Cancer concern/susceptibility  | Main reported reason for screening  Downloaded  from              |
|-----|--|-------------------------------------|----------------------------------|----------------------------|---|---------------------------------|--|---|
| M   | 1  | ++                                  |                                  | +                          | +   | ✓                               | High cancer concern  | Had cancer before and knows beneaths of catching things early     |
| M   | 1  | ++                                  | ++                               | +                          | ++  |                                 | Family history of bowel cancer (father and grandfather ). High concern and recently presented to GP with symptoms. | To put mind at rest   |
| M   | 2  | +                                   | -                                | +                          | +   |                                 | Cousin diagnosed with cancer (bowel) and friend. No particular concern but experienced bleeding from haemorrhoids. | Wants peace of mind   |
| М   | 1  | ++                                  | +                                | +                          | +   |                                 | Brother in law and friend with bowel cancer with different outcomes.   | Importance of finding early/reassurance.                          |
| M   | 1  | ++                                  |                                  | +                          | +   |                                 | No specific concerns, feels well.  | Would prefer to know than not. Segmed sensible approach.          |
| М   | 1  | ++                                  | -                                | +                          | -   | ✓                               | High awareness due to own cancer and concerned may have a problem and not know.                                    | Own cancer and awareness of the importance of catching early.     |
| F   | 2  | ++                                  | +                                | +                          | +   |                                 | Uncle with cancer (bowel). High concern due to family history and own symptoms                                     | Wanted reassurance due to uncle's diagnosis and own symptoms.     |
| F   | 1  | ++                                  | +                                | +                          | -   |                                 | High concern due to previous polyps.   | Opportunity for reassurance due to previous polyps.               |
| F   | 1  | ++                                  | -                                | +                          | -   | ✓                               | Some concern.  | Opportunity to catch anything ear and better chances of survival. |

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|   |   |    |    |    |    |   |   | 4  |
|---|---|----|----|----|----|---|---|--|
| F | 2 | ++ | -  | +  | ++ |   | Lost mother (bowel ca). Concerned about family history.   | Concern family history and opportunity for reassurance.  |
| F | 2 | ++ | -  | ++ | +  |   | Mother diagnosed with cancer (bowel) and father (bladder). High cancer concern  | Didn't want to miss opportunity for eassurance and importance of catching it early. Encouraging people to go for screening is part of her job. |
| F | 3 | ++ | +  | +  | ++ | ✓ | Feels susceptible to any cancer and aware of previous rectal bleeding   | For peace of mind. Has had previous rectal bleeding.   |
| F | 5 | ++ | +  | +  | +  |   | No specific concern.  | Aware of bowel symptoms, and prefer to find out and catch it early.  |
| M | 1 | ++ | -  | +  | +  | A | No specific concern   | Importance of catching early. Responsible use of resources. Benefits outweigh the unpleasantness.  |
| M | 3 | ++ |    | -  | -  |   | Lost father to cancer (bowel). High cancer concern and experienced symptoms   | Positive about opportunity. Wante reassurance as feels susceptible.  |
| F | 3 | ++ | +  | ++ | -  |   | Aunt diagnosed cancer (bowel) and mother (bladder). Lost friend to cancer (breast). Concerned about susceptibility and symptoms. Does not feel at any higher risk than others, can strike anyone. | Reassurance about ongoing bowel shanges, experience of close relative with bowel cancer.   |
| F | 3 | ++ |    | х  | +  |   | Lost brother to cancer (bowel). High cancer concern   | Thought it was a recall for genetic greening. Wanted reassurance.  |
| M | 3 | ++ | ++ | ++ | +  |   | Mother and father in law died ca and friends at work. General cancer concern.   | Wanted peace of mind. Prevention than cure.  |
| M | 3 | ++ |    | +  | ++ | ✓ | No specific bowel cancer concern until screening invite, but ongoing cancer treatment.  | Thought appointment was related to ongoing cancer investigations/treatment.  Good to have reassurance  |
| F | 1 | +  | +  | ++ | +  |   | Lost 1 friend to cancer (breast) and another had a good outcome. Mother recently had precancerous polyp removed.  | Conscious about bowel cancer, gladsfor reassurance and good opportunity.   |
|   |   |    |    |    |    |   |   | guest. Protected by copyright.   |

# Consolidated criteria for reporting qualitative studies (COREQ): 32-item checklist

#### Developed from:

Tong A, Sainsbury P, Craig J. Consolidated criteria for reporting qualitative research (COREQ): a 32-item checklist for interviews and focus groups. *International Journal for Quality in Health Care*. 2007. Volume 19, Number 6: pp. 349 – 357

### YOU MUST PROVIDE A RESPONSE FOR ALL ITEMS. ENTER N/A IF NOT APPLICABLE

| No. Item                                    | Guide questions/description  | Reported on Page #  |
|---|--|---|
| Domain 1: Research team and reflexivity     |  |   |
| Personal Characteristics                    |  |   |
| Inter viewer/facilitator                    | Which author/s conducted the inter view or focus group?  | Undertaken by N Hall and L Birt – data collection section page 8                            |
| 2. Credentials                              | What were the researcher's credentials? E.g. PhD, MD   | Both have PhD<br>and extensive<br>qualitative<br>research<br>experience : page<br>8         |
| 3. Occupation                               | What was their occupation at the time of the study?  | Researchers<br>affiliation on title<br>page and<br>occupation at time<br>of study on page 8 |
| 4. Gender                                   | Was the researcher male or female?   | Both female.  |
| 5. Experience and training                  | What experience or training did the researcher have?   | Page 8  |
| Relationship with participants              |  |   |
| 6. Relationship established                 | Was a relationship established prior to study commencement?  | No.   |
| 7. Participant knowledge of the interviewer | What did the participants know about the researcher? e.g. personal goals, reasons for doing the research                                   | Aim of study<br>reported on page<br>6   |
| 8. Interviewer characteristics              | What characteristics were reported about the inter viewer/facilitator? e.g. Bias, assumptions, reasons and interests in the research topic | Reported under qualitative analysis: page 8   |
| Domain 2: study design                      |  |   |
| Theoretical framework                       |  |   |
| Methodological orientation and Theory       | What methodological orientation was stated to underpin the study? e.g. grounded theory, discourse analysis,                                | Used thematic analysis: page 8  |

|                                    | ethnography, phenomenology, content analysis                                      |   |
|------------------------------------|---|---|
| Participant selection              | anaiysis  |   |
| 10. Sampling                       | How were participants selected? e.g.  | Purposive   |
| To: Camping                        | purposive, convenience, consecutive, snowball                                     | sampling page 8/9   |
| 11. Method of approach             | How were participants approached? e.g. face-to-face, telephone, mail, email       | Through GP surgeries page 6   |
| 12. Sample size                    | How many participants were in the study?  | 45 interviews: page 6/7 and table 1 and additional data from 28 non screener questionnaires p 7 |
| 13. Non-participation              | How many people refused to participate or dropped out? Reasons?                   | Response rate to sampling questionnaire 18% in results: page 9.                                 |
| Setting                            |   |   |
| 14. Setting of data                | Where was the data collected? e.g. home,  | In the home page  |
| collection                         | clinic, workplace   | 7   |
| 15. Presence of non-               | Was anyone else present besides the   | No  |
| participants                       | participants and researchers?   |   |
| 16. Description of sample          | What are the important characteristics of the sample? e.g. demographic data, date | Tables 1, 3 and 4   |
| Data collection                    |   |   |
| 17. Interview guide                | Were questions, prompts, guides provided by the authors? Was it pilot tested?     | Topic guide used page 8   |
| 18. Repeat interviews              | Were repeat inter views carried out? If yes, how many?                            | No  |
| 19. Audio/visual recording         | Did the research use audio or visual recording to collect the data?               | Yes page 8  |
| 20. Field notes                    | Were field notes made during and/or after the inter view or focus group?          | Personal notes<br>kept but did not<br>influence the<br>analysis                                 |
| 21. Duration                       | What was the duration of the inter views or focus group?                          | 30-50 minutes page 8  |
| 22. Data saturation                | Was data saturation discussed?  | Yes page 7  |
| 23. Transcripts returned           | Were transcripts returned to participants for comment and/or correction?          | No, not appropriate in this design  |
| Domain 3: analysis and findings    |   | _   |
| Data analysis                      |   |   |
| 24. Number of data coders          | How many data coders coded the data?  | Two NH and LB page 8  |
| 25. Description of the coding tree | Did authors provide a description of the coding tree?                             | figure 1 provides<br>key codes about  |

|                                  |   | non participation   |
|----------------------------------|---|---|
| 26. Derivation of themes         | Were themes identified in advance or derived from the data?   | Inductively from data guided by research aim: page 8              |
| 27. Software                     | What software, if applicable, was used to manage the data?  | Non software used word package page 9                             |
| 28. Participant checking         | Did participants provide feedback on the findings?  | Validation provided by Steering group including lay member: page9 |
| Reporting                        |   |   |
| 29. Quotations presented         | Were participant quotations presented to illustrate the themes/findings? Was each quotation identified? e.g. participant number | Yes throughout results and in box 1 case studies                  |
| 30. Data and findings consistent | Was there consistency between the data presented and the findings?  | Yes in results and discussion section                             |
| 31. Clarity of major themes      | Were major themes clearly presented in the findings?  | Yes in results  |
| 32. Clarity of minor themes      | Is there a description of diverse cases or discussion of minor themes?  | Yes in results discussion   |

Once you have completed this checklist, please save a copy and upload it as part of your submission. When requested to do so as part of the upload process, please select the file type: *Checklist*. You will NOT be able to proceed with submission unless the checklist has been uploaded. Please DO NOT include this checklist as part of the main manuscript document. It must be uploaded as a separate file.