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Cost-utility analysis of LEGO® based therapy for school children and young people with autism spectrum disorder: results from a randomised controlled trial

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Cost-utility analysis of LEGO® based therapy for school children and young people with autism spectrum disorder: results from a randomised controlled trial

Authors

Han-I Wang¹, Barry Wright^{1,2}, Matthew Bursnall³, Cindy Cooper³, Ellen Kingsley², Ann Le Couteur⁴, Dawn Teare³, Katie Biggs³, Kirsty McKendrick³, Gina Gomez de la Cuesta⁵, Tim Chater³, Amy Barr³, Kiera Solaiman³, Anna Packham³, David Marshall¹, Danielle Varley¹, Roshanak Nekooi², Simon Gilbody¹, Steve Parrott¹

¹University of York, York, UK

²Leeds and York Partnership NHS Foundation Trust, Leeds, UK

³CTRU, University of Sheffield, Sheffield, UK

⁴Newcastle University, Newcastle, UK

⁵Play Included CIC

Corresponding author

Name: Dr. Han-I Wang

Email: han-i.wang@york.ac.uk

Address: Department of Health Sciences, Seebohm Rowntree Building, University of York, Heslington, York, YO10 5DD

Tel: 01904-321817

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Abstract

Objectives: To assess the cost-effectiveness of LEGO® based therapy compared to usual support.

Design: cost-utility analysis alongside randomised control trial.

Setting: mainstream primary and secondary schools in the UK.

Participants: 248 children and young people (CYP) with autism spectrum disorder (ASD) aged 7-15 years.

Intervention: LEGO® based therapy is a group social skills intervention designed specifically for CYP with ASD. Through play, CYP learn to use the skills such as joint attention, sharing, communication, and group problem solving. CYP randomised to the intervention arm received 12 weekly sessions of LEGO® based therapy and usual support, while CYP allocated to control arm received usual support only.

Main outcome measures: Average costs based on NHS and personal social services perspective and quality adjusted life years (QALYs) measured by EQ-5D-Y over time horizon of one year were collected during the trial. Incremental cost-effectiveness ratio (ICER) was calculated, and non-parametric bootstrapping was conducted. The uncertainty around the ICER estimates was presented using cost-effectiveness acceptability curve (CEAC). A set of sensitivity analyses were conducted to assess the robustness of the primary findings.

Results: After adjustment and bootstrapping, on average, CYP in LEGO® based therapy group incurred less costs (incremental cost was -£251 (95% CI -£752 to £268)) and gained marginally improvement in QALYs (QALYs gained 0.009 (95% CI -0.008 to 0.028)). The CEAC shows that the probability of LEGO® based therapy being cost-effective was 94% at the WTP threshold of £20,000 per QALY gained. Results of sensitivity analyses were consistent with the primary outcomes.

Conclusion: Compared to usual support, LEGO® based therapy produced marginal reduction in costs and improvement in QALYs. Results from both primary and sensitivity analyses suggested that LEGO® based therapy was likely to be cost-effective.

Trial registration: ISRCTN64852382

Strengths and limitations of this study

1. The first economic evaluation study of LEGO® based therapy.
2. The data are from a large sample size trial in ASD, and the method followed published best-practice guidance.
3. The study accounts for the costs measured from a range of perspectives and the QALYs measured by different instruments.
4. Resource use data were collected retrospectively and may be affected by inaccurate recall.
5. Further model-based evaluation is required to assess long term cost-effectiveness of LEGO® based therapy.

1. Background

Autism spectrum disorders (ASDs) are a group of lifelong developmental conditions defined by impairments in social interactions, communication skills, and presence of restricted and stereotypical behaviours (1). It is estimated that around 120,000 children and young people (CYP)(1.1% of total CYP) in the UK have a diagnosis of ASD (2–4). CYP with ASD have differing health and quality of life outcomes to neurotypically developing people and over their lifetime this is likely to have a financial impact on their families or carers. In the UK, the annual cost of supporting children with ASD has been estimated at between £3.1 and £3.4 billion (in 2011 value) with higher values when there is associated intellectual disability. The main cost driver of the annual support cost was special education (47%) followed by parental productivity loss (12%). Medical services accounted for only 4 % of the total (5).

Due to the substantial financial burden borne by the health care system, education system, and families of CYP with ASD, the Lancet Psychiatry Commission (LPC) emphasizes the need to not only focus on the effectiveness of mental health services, but also on their economic benefits (6). However, with the evolving intervention landscape of ASD, only two economic evaluation studies were found for CYP with ASD (7). One investigated the cost-effectiveness of a communication intervention (8) and another investigated an early intervention programme (9). These preliminary studies suggested that early intervention for children with suspected ASD was cost-effective, but communication-focused therapy for preschool children with ASD was not, with more research being recommended.

LEGO® based therapy (10) is a group based social skills intervention specifically designed for CYP with ASD which does not rely on adult-led teaching of skills. It has become very popular in the UK with many local authorities now recommending its use in schools (11). Despite the growing interest and substantial economic burden of ASD, no economic evaluation study has been done for LEGO® based therapy. Hence, the aim of this study was to assess the cost-effectiveness of LEGO® based therapy. This paper reports the economic evaluation results of LEGO® based therapy for CYP with ASD alongside the Investigating Social Competence and Isolation in children with Autism taking part in LEGO® based therapy clubs In School Environments (I-SOCIALISE) trial (12).

2. Methods

2.1 Trial design and participants

This economic evaluation was embedded in the I-SOCIALISE trial, a multisite, pragmatic, two-arm, school-level cluster randomised controlled trial (RCT) for CYP with ASD. Details of

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I-SOCIALISE trial have been published elsewhere (12). In short, CYP between the ages of seven and 15 years with a diagnosis of ASD were recruited from mainstream primary and secondary schools in the North of England between October 2018 and May 2019. To be eligible, CYP needed to have a diagnosis on the autism spectrum and score 15 or higher on the Social Communication Questionnaire (SCQ) and to be able to follow and understand simple instructions and so engage in the groups. CYP in schools that were allocated by remote randomisation to the intervention arm received 12 sessions of LEGO® based therapy in school for one hour per week. There was some flexibility in weekly delivery to accommodate school timetables. They also received usual support from school, GPs and any other professionals. CYP in schools allocated to the control arm received usual support only. Informed consent and baseline measurements were obtained and completed prior to school randomisation. All CYP were followed up 20 and 52 weeks after randomisation and completed further outcome measures. A flowchart of the study can be found in [Appendix 1](#).

2.2 Intervention

LEGO® based therapy is a group social skills intervention designed specifically for CYP with social communication difficulties such as ASD. CYP build LEGO® models together in small groups with light facilitation by a trained adult (13,14). The CYP work together taking on one of three roles: the engineer, who reads the LEGO® set instructions; the supplier, who finds the correct pieces according to the instructions from the engineer; and the builder, who builds the model with the pieces from the supplier and the instructions from the engineer. Key elements of the intervention include the use of CYP-led collaborative building and learning through play which promote the learning and use of such skills as joint attention, sharing, communication, and group problem solving. The trained adult takes a guiding role rather than an explicitly directive one, allowing the CYP to work together and solve their own challenges. Group rules and rewards are used to foster motivation and engagement in social interactions.

2.3 Outcomes

The health outcomes for the current study were quality adjusted life years (QALYs) measured by the EQ-5D-Y (proxy version) (15) and the Child Health Utility 9D (CHU-9D) (16). EQ-5D-Y (proxy version) is a five-item questionnaire that allows a proxy person (i.e. parent/guardian) to complete the measure for CYP. The EQ-5D-Y instrument comprises five dimensions (mobility, looking after themselves, doing usual activities, having any pain or discomfort, and feeling worried or sad) and has been shown to be a reliable and valid instrument for use in CYP and adolescents (15). The CHU-9D is a CYP-completed nine-item questionnaire comprising nine dimensions: worried, sad, pain, tired, annoyed, schoolwork/homework, sleep, daily routine, and able to join in activities (16).

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5 Individual-level responses to EQ-5D-Y and CHU-9D were used to estimate utilities based on
6 UK population valuation sets (17,18). A utility represents a CYP's health state and is
7 constrained between 0 and 1, where 0 refers to death and 1 perfect health. The estimated
8 utilities at baseline and follow-up were further joint using the area under the curve
9 approach (19) to calculate QALYs.
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14 **2.4 cost measurement**

15 Two cost perspectives were considered in this study: 1) a NHS and personal social service
16 (NHS/PSS) perspective, which included costs related to healthcare and social services, and 2)
17 a societal perspective, which additionally included costs of education-related services,
18 parental out-of pocket expenses (such as childcare and private courses), and parental
19 productivity costs (time off work due to child's autism).
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24 **Cost of the intervention**

25 Cost of the intervention included the cost of training and the cost of delivering LEGO® based
26 therapy. Training costs were measured by the time spent by the trainer and included travel
27 costs and the cost of materials used in the training. Costs associated with delivering the
28 LEGO® based therapy were measured based on the time spent by facilitators to plan and
29 conduct sessions and included relevant overhead costs. All relevant data were collected
30 using the tailored questionnaires completed by the study team and therapists.
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36 **Cost of the service use**

37 Service use was collected using the tailored questionnaires (completed by the
38 parent/guardian and separately by an associated teacher of each CYP in the study who knew
39 the CYP well), which was originally developed based on Barrett's study (20) and has been
40 successfully adapted for use in school-based trials (21,22). The parent/guardian-completed
41 questionnaire captured data on the use of health and social services, school-based services
42 (including school-based health services, general and intervention support), parental private
43 expenses, and parental productivity costs. Teacher-completed questionnaires captured any
44 school-based interventions/support and the implications of a CYP's behaviour on school
45 resource.
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52 Service use was multiplied by unit costs to arrive at total cost in each arm. Unit costs of
53 health and social service use were obtained from published sources (i.e. Reference Cost
54 2018 (23), Personal Social Services Research Unit 2018 (24), and Prescription Cost Analysis –
55 England 2018 (25)), national survey (i.e. Childcare Costs Survey 2018 (26)), and government
56 departments (i.e. Department for Education 2018 (27) and Green Book 2018 (28)). Privately
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paid services were separately estimated via market prices, while productivity losses were calculated using the human capital approach, which involves multiplying time off work by UK average salary (29).

All the costs were expressed in 2018 UK sterling. Discounting of costs and QALYs was not applied, as the study time horizon was one year.

2.5 Missing data

All eligible CYPs who had both utility and cost data at any time point were referred to as complete cases. While, the eligible CYPs who had missing utility and cost data but had complete baseline assessments were referred to as base case. The identified missing utility and cost data were imputed using multiple imputation method via chained equations (30). Imputation was based on trial arm, age, gender, study site, and utility scores and Social Communication Questionnaire (SCQ) scores at baseline.

2.6 Statistical and economic analyses

The primary analysis was to calculate incremental cost-effectiveness ratio (ICER) based on the costs from NHS/PSS perspective and the QALYs measured by EQ-5D-Y. To account for uncertainty around ICER and imbalanced utility and costs at baseline, seemingly unrelated regression equations (SURE) that controlled for baseline utility (31), costs, age, gender, and SCQ scores were bootstrapped 5,000 times. The SURE approach was recommended by Glick and colleagues (19), which considers the distribution of the dependent variable and any correlation found between cost and QALY outcomes. While non-parametric bootstrap re-sampling method was suggested by Briggs and colleagues (32), as the distribution of regression residuals was likely to be skewed (33). The 5,000 bootstrapped iterations were represented graphically on the cost-effectiveness plane (CE-plane), and the cost-effectiveness acceptability curve (CEAC) was generated by plotting the probability of the intervention being cost-effective over a range of willingness-to-pay (WTP) thresholds (34). The calculated ICERs were against the national WTP threshold of £20,000-£30,000 per QALY gained to decide whether the LEGO® based therapy is cost-effectiveness (35).

To assess the robustness of our findings, a set of sensitivity analyses were conducted. First, a cost-utility analysis (CUA) (34) using complete cases was conducted to assess the impact of the missing data. Second, a CUA was performed from a NHS/PSS and education perspective to account for the economic impact from the education system. Third, a CUA was performed from a societal perspective to account for all the economic impact outside the NHS/PSS perspective, including parental productivity costs. Finally, a CUA that used the CHU-9D instead of the EQ-5D-Y to estimate QALYs based on the UK population tariff (18)

was conducted to assess the impact of outcome measurement instrument.

All analyses were performed on an intention-to-treat basis using Stata version 16 ((StataCorp, College Station, Texas, USA).

2.7 Ethical approval and informed consent

This study was funded by the National Institute for Health Research (NIHR) Public Health Research (PHR) programme (PHR15/49/32), and the International Standard Randomised Controlled Trial Number is ISRCTN64852382 (2). Positive ethical opinion has been obtained via the University of York Research Ethics Committee (18/HRA/0101), and the written informed consent was obtained from parents on behalf of their child. Children assented to be part of the groups and did not proceed if they were not willing.

2.8 Patient and Public Involvement

No patient involved.

3. Results

3.1 Participants

A total of 284 CYP with ASD were recruited in the trial. After removing 34 ineligible CYP and 2 CYP who were not eligible for multiple imputation due to missing baseline utilities, 248 CYP with ASD were available for primary analysis (126 were allocated to LEGO® based therapy and 122 to usual support). This sample constitutes the base-case group. On the other hand, only 139 (56.0%) CYP had both EQ-5D and resource use (from the NHS and PSS perspective) data at all three data collection time points. This sample constitutes the complete-case group.

Descriptive statistics of CYP's baseline characteristics for both complete-case and base-case are presented in [Table 1](#). As shown, more than third-quarters of the CYP in the LEGO® based therapy and the usual care arms were male, and more than 50% of the CYP in both arms were in primary school age (ranging from 7 to 11 years old). Differences in the SCQ scores at the baseline were marginal across arms and samples. Overall, the baseline characteristics are consistent across samples (base-case and complete-case).

Table 1: Baseline characteristics by trial arm

Baseline characteristics	Base case (n=248)		Complete case (n=139)	
	LEGO® based therapy (N=126)	Usual support (N=122)	LEGO® based therapy (N=80)	Usual support (N=59)
Gender, n (%)				

Male	101 (80.2%)	91 (74.6%)	68 (85.0%)	43 (72.9%)
Age (years), n (%)				
7-11	83 (65.9%)	79 (64.8%)	54 (67.5%)	43 (72.9%)
11-15	43 (34.1%)	43 (35.2%)	26 (32.5%)	16 (27.1%)
Mean (sd)	9.7 (2.3)	9.8 (2.2)	9.6 (2.2)	9.6 (2.2)
Year from diagnosis				
Mean (sd)	3.4 (2.7)	3.6 (2.8)	3.2 (2.4)	3.6 (3.0)
Social Communication				
Questionnaire (SCQ) scores				
Mean (sd)	25.1 (5.2)	24.2 (5.2)	24.9 (5.1)	24.1 (5.0)
EQ-5D				
Mean (sd)	0.79 (0.11)	0.76 (0.11)	0.79 (0.12)	0.77 (0.11)
Site, n (%)				
Leeds	37 (29.4%)	38 (31.2%)	31 (38.8%)	18 (30.5%)
Sheffield	70 (55.6%)	67 (54.9%)	34 (42.5%)	31 (52.5%)
York	19 (15.1%)	17 (13.9%)	15 (18.7%)	10 (17.0%)
Number of intervention sessions				
Mean (sd)	10.3 (2.3)	-	10.5 (2.2)	-

3.2 Costs

On average, the estimated intervention cost per session per CYP was £6.5 (£2.45 for training and £4.05 for intervention delivery). The main cost driver of training costs was trainer fees, while the main cost drivers of intervention delivery costs were the costs for preparation and delivery the intervention, and the costs for LEGO® materials ([Appendix 2](#)).

In terms of service costs, the average total service costs over 52 weeks to the NHS providers (after imputation) were £524 (95%CI: £428 to 808) for the LEGO® based therapy arm compared with £678 (95% CI: £427 to 928) for the usual care arm. The cost difference is larger when the societal perspective was considered, as CYP in the LEGO® based therapy arm incurred less costs across all the perspectives. The largest cost differences occurred in education related services followed by healthcare and social services. It is worth noting that some of cost differences were likely to have been driven by high-cost cases. For instance, in complete-case, higher average cost of Child and Adolescent Mental Health Services (CAMHS)-related services in the usual care arm was driven by two high-cost cases, and higher average cost of school-based health related services in the LEGO® based therapy arm was driven by one high-cost case. These high-cost cases were kept in the analysis, as they were plausible. However, due to the high-cost cases, the cost differences need to be interpreted with caution. A more detailed overview on the service costs over 52 weeks and the resource use are presented in [Table 2](#) and [Appendix 3](#), respectively.

Table 2: Average costs of service use in one year by trial arm

	Base case		Complete case	
	LEGO® based therapy (n=126), £ (95% CI)	Usual support (n=122), £ (95% CI)	LEGO® based therapy (n=80), £ (95% CI)	Usual support (n=59), £ (95% CI)
NHS and PSS	524 (372, 675)	678 (427, 928)	618 (428, 808)	752 (420, 1,083)
Community-based services				
CAMHS related	77 (40, 114)	233 (37, 428)	117 (50, 184)	267 (19, 516)
Non-CAMHS related	115 (79, 151)	99 (69, 130)	120 (78, 161)	107 (67, 148)
Hospital-based services				
Mental health related	20 (6, 33)	45 (11, 79)	19 (4, 33)	53 (-1, 107)
Non-mental health related	60 (29, 92)	86 (37, 136)	79 (30, 128)	89 (31, 147)
Medications				
Mental health related	195 (115, 275)	129 (74, 185)	211 (121, 301)	142 (75, 208)
Non-mental health related	57 (18, 97)	85 (25, 145)	73 (22, 124)	93 (19, 167)
Education system related	1,204 (949, 1,458)	1,437 (1,082, 1,792)	1,388 (989, 1,787)	1,633 (1,041, 2,224)
School-based health	164 (62, 267)	88 (20, 156)	182 (48, 316)	100 (13, 186)
Intervention support	712 (496, 927)	948 (645, 1,250)	793 (458, 1,128)	1,070 (615, 1,526)
General support	327 (242, 413)	401 (262, 541)	368 (245, 492)	473 (237, 709)
Private expenses	211 (129, 293)	317 (189, 445)	192 (105, 280)	329 (171, 487)
Childcare	211 (129, 293)	317 (189, 445)	192 (105, 280)	329 (171, 487)
Productivity	95 (57, 132)	114 (64, 164)	104 (62, 146)	111 (53, 170)
Parental productivity loss	95 (57, 132)	114 (64, 164)	104 (62, 146)	111 (53, 170)
Total costs	2,033 (1,710, 2,357)	2,546 (2,087, 3,005)	2,278 (1,775, 2,781)	2,819 (2,123, 3,515)

CAMHS: Child and Adolescent Mental Health Services, including child psychiatrist, child psychotherapist, child psychologist, clinical psychologist, mental health nurse, family therapist, and Primary mental health worker (PMHW)

Allied health professionals included community nurse, community paediatrician, occupational therapist, physiotherapist, and Speech and Language therapist

Social care services included social care worker, home care worker, family support worker, drug and alcohol support worker, and Helpline (e.g. Samaritans)

Childcare included paid childcare, after school club, religious club, and special clubs for autism children

3.3 Outcomes

Table 3 shows the mean EQ-5D-Y (3L proxy) and CHU-9D utility scores between the two arms of the trial at each time point when scores were not imputed (complete case) and when scores were imputed (base case). As shown, in both arms, there was no significant change in EQ-5D-Y or CHU-9D utility scores from baseline to 52 weeks. The fluctuations between baseline and 20 weeks, and between 20 weeks and 52 weeks, were small in both the base and the complete cases. After calculation using the area under the curve approach, it is found that the LEGO® based therapy produced marginally higher mean QALYs (0.03 QALYs) compared to the usual support regardless of the instrument used. Further details for the responses of EQ-5D and CHU-9D in each domain can be found in Appendix 4 and Appendix 5, respectively.

Table 3: Average EQ-5D-Y and CHU-9D utility scores by trial arm

Time point	Base case		Complete case	
	LEGO® based therapy (n=126), mean (95% CI)	Usual support (n=122) mean (95% CI)	LEGO® based therapy (n=80), mean (95% CI)	Usual support (n=59) mean (95% CI)
EQ-5D-Y				
Baseline	0.79 (0.77, 0.81)	0.76 (0.74, 0.79)	0.79 (0.77, 0.81)	0.77 (0.74, 0.79)
20 weeks	0.78 (0.76, 0.81)	0.76 (0.74, 0.78)	0.79 (0.76, 0.81)	0.75 (0.74, 0.79)
52 weeks	0.79 (0.76, 0.81)	0.76 (0.74, 0.79)	0.80 (0.77, 0.82)	0.75 (0.73, 0.80)
Total QALYs	0.79 (0.77, 0.80)	0.76 (0.74, 0.78)	0.79 (0.77, 0.81)	0.76 (0.74, 0.79)
CHU-9D				
Baseline	0.83 (0.80, 0.85)	0.81 (0.79, 0.84)	0.84 (0.81, 0.87)	0.83 (0.80, 0.86)
20 weeks	0.84 (0.82, 0.86)	0.80 (0.78, 0.83)	0.83 (0.80, 0.86)	0.78 (0.74, 0.82)
52 weeks	0.83 (0.81, 0.85)	0.80 (0.77, 0.83)	0.81 (0.78, 0.84)	0.81 (0.77, 0.85)
Total QALYs	0.83 (0.82, 0.85)	0.80 (0.78, 0.82)	0.82 (0.80, 0.85)	0.80 (0.76, 0.83)

3.4 Cost-utility analysis (primary analysis)

After accounting for uncertainty and unbalanced baseline utility and characteristics, on average, CYP with ASD receiving LEGO® based therapy incurred £251 (95% CI - £268 to £752) less costs from the NHS/PSS perspective and gained 0.009 (95% CI -0.008 to 0.028) extra QALYs measured by EQ-5D-Y than those having usual support. The bootstrapped ICER results are presented in Figure 1A, and the probabilities of LEGO® based therapy being cost-effective over a range of WTP threshold are presented in Figure 1B. As shown, the simulated estimates were largely below the threshold line, and the probability of LEGO® based therapy being cost-effective is 94% at the WTP threshold of £20,000. The findings

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3 suggest that the LEGO® based therapy was likely to be cost-effective, although the
4 incremental costs and QALYs were marginal.
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8 **3.5 sensitivity analysis**

9 Results of sensitivity analyses are detailed in [Appendix 6](#). The mean incremental cost and
10 QALY estimates from the complete-case were along the line of the based-case scenario,
11 yielding a negative cost per QALY gained. Sensitivity analyses using a societal perspective to
12 measure costs and the CHU-9D to measure QALYs were also conducted. In both sensitivity
13 analyses, the ICER pairs lay below the recommended NICE threshold (£20,000-30,000/QALY
14 gained), and the majority of the bootstrapped estimates sat in the fourth quadrant ([Figure](#)
15 [2](#)), suggesting that LEGO® based therapy was likely to be cost-effective.
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22 **4. Discussion**

23 **4.1 Principal findings**

24 To the best of our knowledge, this is the first study evaluating the cost-effectiveness of
25 LEGO® based therapy for CYP with ASD. Compared to usual care, the LEGO® based therapy
26 marginally decreased the service use costs and increased the QALYs from the NHS/PSS
27 perspective. This is evident in both primary and sensitivity analyses, which considered costs
28 derived from various perspectives and QALYs measured by different instruments.
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35 **4.2 Implications of study**

36 The average QALYs measured by both the EQ-5D-Y (proxy version) and the CHU9D for those
37 in the intervention arm were higher compared to the control arm. Although the differences
38 are small, it is observed that the bootstrap estimates plotted on the CE planes for both
39 primary and sensitivity analyses were mainly in the fourth quadrant (bottom right-hand
40 quadrant). This indicates that after taking uncertainty into consideration and adjusting for
41 the imbalanced baseline utility, the differences in QALYs remain positive. It is also worth
42 noting that such differences were unaffected regardless who filled the questionnaire. Both
43 the parent-completed (EQ-5D) and CYP-completed (CHU9D) questionnaires showed the
44 same positive differences.
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52 The study also shows a reduction in average total NHS/PSS costs (albeit with wide
53 confidence intervals), particularly through attendance at Child and Adolescent Mental
54 Health Services (CAMHS). As mentioned in section 3.2, the lowered CAMHS costs found in
55 the LEGO® based therapy arm was more related to a small number of CYP receiving high
56 levels of high-tariff CAMHS support in the control arm (usual support) than the intervention
57 arm rather than a general drop across the whole group. Such a finding indicates that CYP
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3 who had co-occurring emotional and behavioural problems seemed to be receiving
4 approximately similar support from CAMHS across both trial arms, and LEGO® based
5 therapy did not overshadow the needs of CAMHS support. It was also found that, at the
6 baseline, CYP in LEGO® based therapy arm had higher frequency of CAMHS support
7 compared to control arm. Given the high threshold for receiving CAMHS support, it is likely
8 that the CYP in LEGO® based therapy arm had more severe needs at baseline. However,
9 after the intervention, CYP in LEGO® based therapy arm had similar support from CAMHS as
10 those in control arm (see above) suggesting some amelioration effect or a reduced need for
11 such high level support. Based on the literature of general research on CAMHS referrals,
12 such reduction could be because the LEGO® based therapy improves co-morbid problems of
13 CYP and consequently leads to a reduced likelihood of referral to CAMHS (36) or stops the
14 CYP being seen by CAMHS (37). However, it is also possible that the school-based LEGO®
15 based therapy improves a CYP's social skills and leads to less distress or conflict and may
16 subsequently reduce the likelihood for referral to CAMHS (38) because of reduced levels of
17 teacher and parent/guardian concern. Research to date, however, suggests higher parental
18 anxiety tends to reduce referral rates not increase them in many circumstances (39).
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29 The reduction in school intervention costs was also observed in this study. One possible
30 explanation is that CYP in a LEGO® based therapy intervention might be less likely to be put
31 forward for other interventions (e.g., the social use of language programme (SULP) (40)).
32 Another possible reason might include a belief by a parent/guardian that an active
33 intervention is happening, and so for this reason taking part in another intervention at the
34 same time is not necessary. While both possible explanations might be valid, there was
35 evidence that schools reported a wide range of other interventions being received by CYP
36 with ASD, including Social Stories™, visual schedules, 1:1 mentoring, and others. Whether
37 LEGO® based therapy reduced certain type of interventions but increases other type of
38 interventions remains unclear. Further investigations would be needed to explore this.
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45 **4.3 Strengths and limitations**

46 This is the first study evaluating the cost-effectiveness of LEGO® based therapy and one of
47 only a few economic evaluation studies for CYP with ASD (8,9). Such a study is important
48 because there is a growing popularity of LEGO® based therapy in the UK. Furthermore, since
49 detailed resource use in school was able to be collected via teacher-completed
50 questionnaire, our study managed to capture the cost difference in school in a more
51 granular manner and reflect the reality in school better. Additionally, our study accounts for
52 the costs measured from a range of perspectives and the QALYs measured by different
53 instruments. The approach not only ensures the robustness of our findings but also can help
54 policy makers from different sectors to make informed decision. Finally, this study has a
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3 large sample size compared to other similar trials in ASD (8,41) and is one of the few ASD
4 intervention studies to date follow up to one year (8,42). This would make our results more
5 generalizable and robust compared to the similar study with small sample size or shorter
6 term follow-up.
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11 Despite the strengths mentioned above, our study was subject to three limitations. Firstly,
12 funding sources for a few types of staff was not always clear. For example, speech and
13 language therapists can be funded by NHS, schools or local authorities. Such diversity causes
14 difficulties when it comes to costing and reporting the results, as detailed information about
15 funders for each member of staff involved was unavailable. Several assumptions have been
16 made based on service locations and published guidelines (i.e. the unit costs of Health and
17 Social Care from PSSRU 2018) for costing. Hence, the summarised cost results for different
18 perspectives need to be treated with caution. However, both arms were treated the same
19 way and the overall costs (from the societal perspective) should remain robust. Secondly, a
20 small number of high but plausible values were observed in cost estimations. Although the
21 values did not affect the cost-effectiveness conclusions (data not shown), such values can
22 potentially bias the cost reduction results of LEGO® based therapy. Hence, care should be
23 taken when interpreting the cost estimates. It is none-the-less important to include this real
24 world data and be aware of this for future studies. Thirdly, the calculated intervention costs
25 might have been underestimated. This is because several items associated with training and
26 intervention sessions were not costed, due to data constraints. These included opportunity
27 costs of trainee time, opportunity costs of school venue for delivering interventions,
28 recruitment cost if intervention rolled out, and supervision costs. However, this is unlikely to
29 have affected the results of the dominance of the intervention over usual support, as these
30 costs are considered to be small. This is especially the case after the allocating to every CYP
31 for every session. Further research on the exploration and measurement of the costs with
32 considerations is desirable. Finally, our economic analysis only assessed the short-term
33 cost-effectiveness of LEGO® based therapy between baseline and 52-week follow-up. The
34 cost-effectiveness beyond the one year timeframe remains unknown. Further model-based
35 evaluation is required to assess longer term cost-effectiveness (i.e. end of school age, early
36 adulthood or lifetime) and allow for the measurement of CYP's lost productivity in
37 adulthood.
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53 **4.4 Future work**

54 Our study measured the short-term cost-effectiveness of LEGO® based therapy on CYP with
55 ASD over one year follow-up. For the long-term cost-effectiveness of LEGO® based therapy,
56 a modelled-based economic evaluation study would be desirable to allow life-time
57 cost-effectiveness and children's lost productivity during adulthood to be measured.
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5. Conclusion

This study demonstrates the potential cost-effectiveness of delivering LEGO® based therapy to CYP with ASD in mainstream school settings. The findings will be of interest to NHS health and social care providers, local authorities, families and community professionals including school staff members.

For peer review only

Authors' contributions

HW and SP were the trial health economists and analysed the data with HW taking the lead in writing the manuscript. BW, CC, ALC, DT, KB, GGDLC, DM, DV and SG conceived the study idea and designed the project. MB and DT were the study statisticians and involved in the analysis and interpretation of the data. EK and KM managed the trial, and TC, AB, KS, AP and RN were involved in the acquisition and management of the data. All authors contributed to data interpretation and have read and approved the final manuscript.

Competing interests

The research team was aware that the LEGO® name is a registered trademark and followed the fair use policy in regard to the LEGO® brand throughout the duration of the trial. **Gina Gomez de la Cuesta** co-authored the LEGO® based therapy manual which formed the basis of the intervention delivered in the trial. The co-authors of the manual have given us full permission to use the manual without license and to develop an abridged version. They have also stated their support for us in writing our own version and will become co-authors on any future publications. **Gina Gomez de la Cuesta** has also agreed for the team to adapt the fidelity checklist used in her previous study. **Gina Gomez de la Cuesta** is a Director of Play Included a community interest company that offers training and resources for interventions involving play bricks for children. We have provisional agreement with Jessica Kingsley Publishers who have expressed interest in publishing the abridged manual. However, we are not tied to them as a publisher. There are no other financial and/or competing interests to declare.

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Data sharing statement

No additional data available. However, Stata code that used for this study is available from the corresponding author, Han-I Wang, upon reasonable request.

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7
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11
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43 trial.

44
45 The research team are aware that the LEGO® name is a registered trademark and will follow
46 the fair use policy in regard to the LEGO® brand throughout the duration of the trial. The
47 team have been in discussion with LEGO, and they have agreed for the use of this term for
48 the project and its outputs, but not over the longer term. After PPI work, we have paired the
49 term LEGO® based therapy with the new term Play Brick Therapy, which we suggest is used
50 henceforth.

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56 **Disclaimer**

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58 The views and opinions expressed by authors in this publication are those of the authors
59 and do not necessarily reflect those of the NHS, the NIHR, NETSCC, the PHR programme or
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3 the Department of Health and Social Care.
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6 **Patient consent form**

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8 Not required.
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11 **Ethical approval**

12 Ethics approval has been obtained via the University of York Research Ethics Committee
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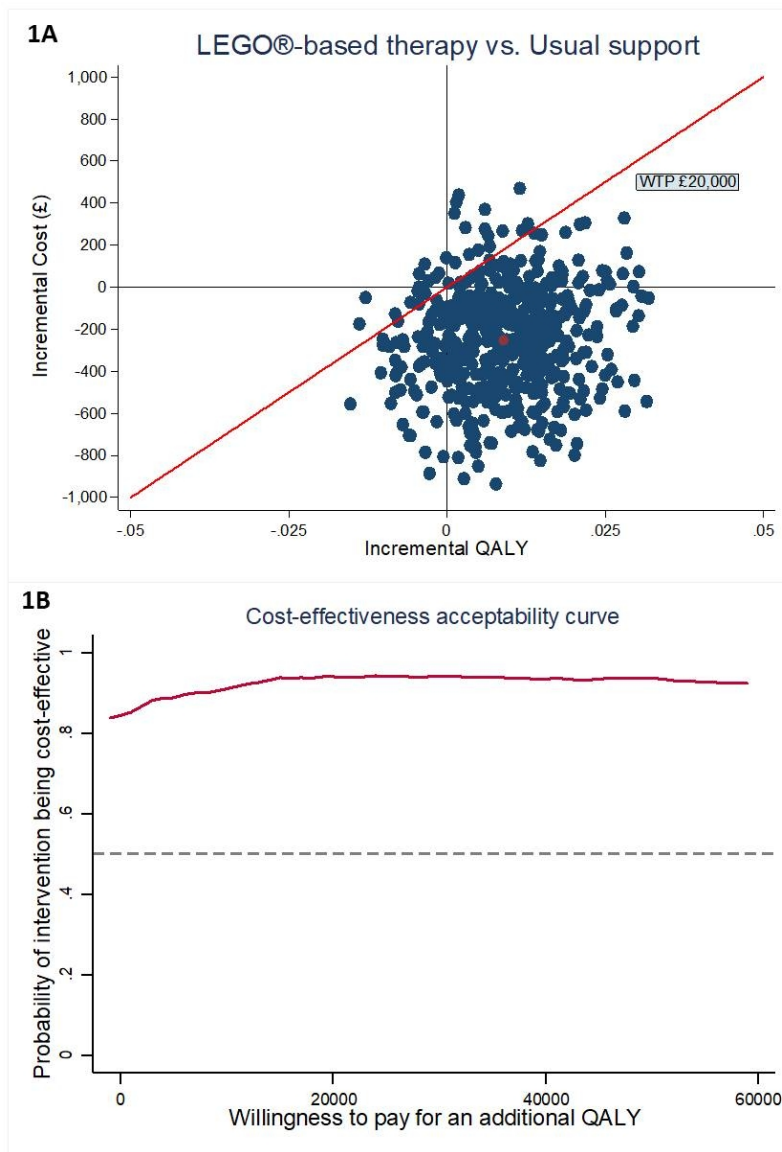


Figure 1: Cost-effectiveness plane and CEAC of primary analysis

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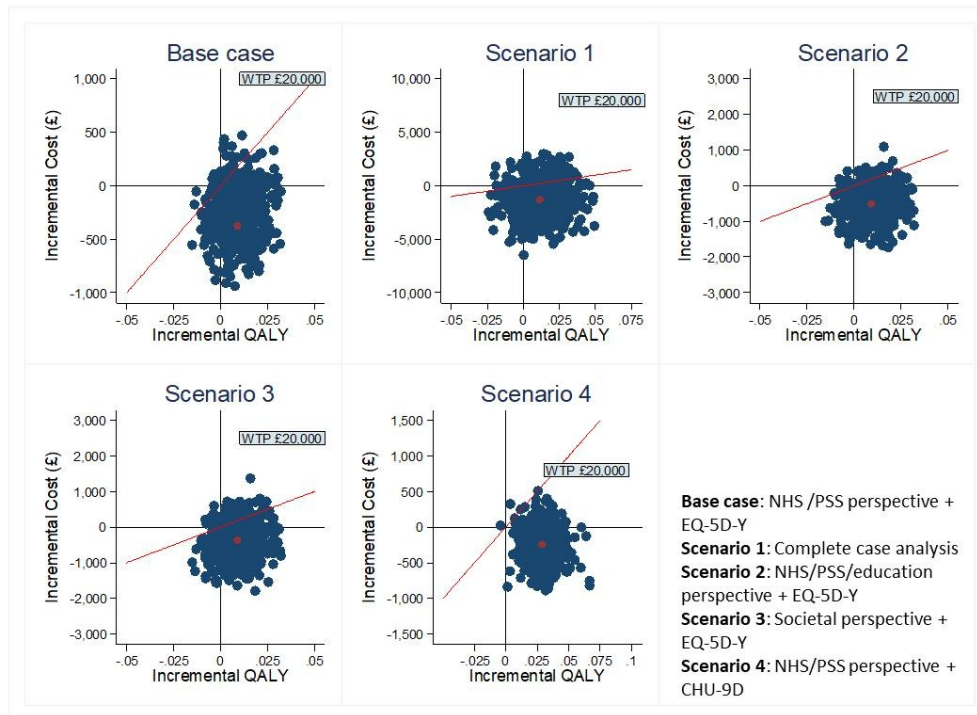
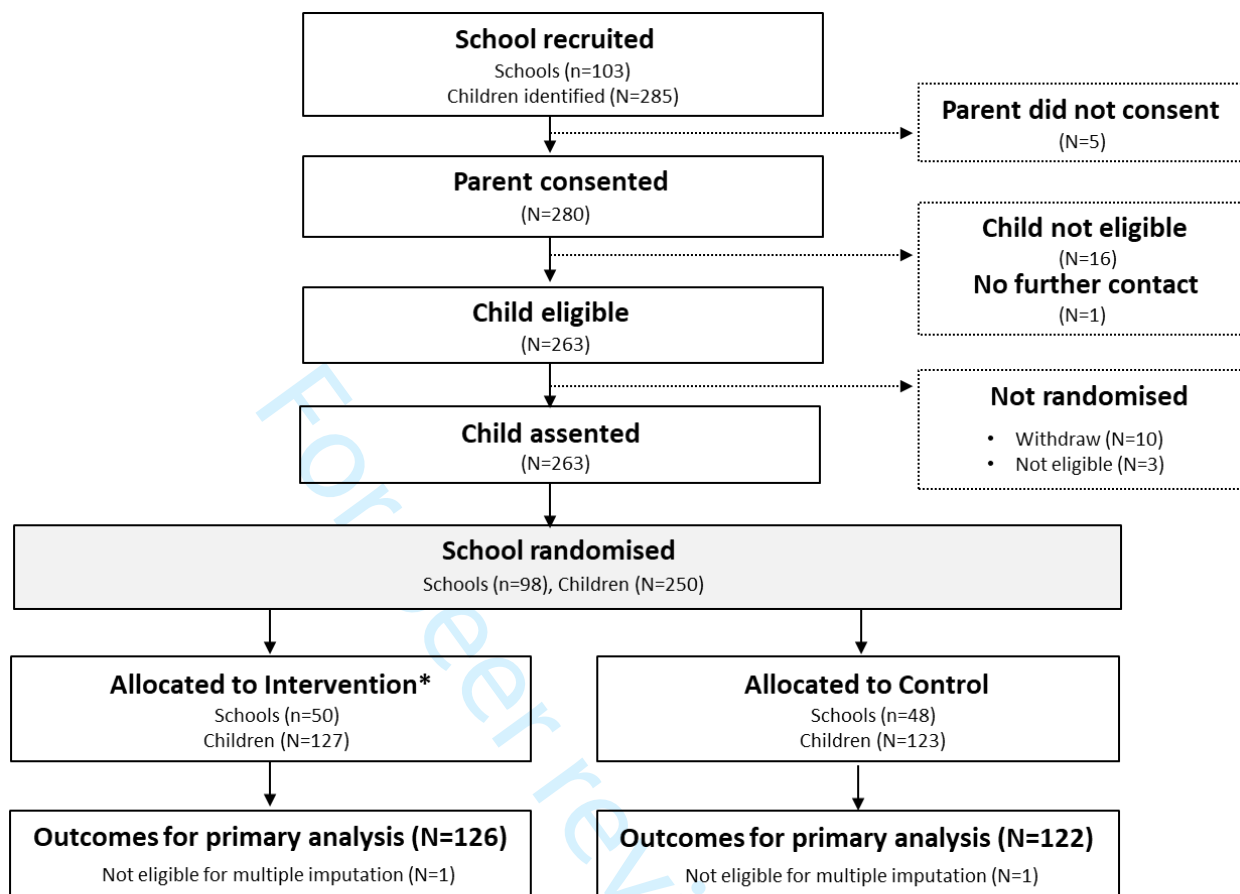


Figure 2: Cost-effectiveness planes of sensitivity analyses

90x70mm (300 x 300 DPI)

Appendix 1: Flow diagram



*following ITT principles, school (n=1) children (N=3) allocated to control, but received intervention are included in the control arm

Appendix 2: Intervention costs by trial arm (based on the records without missing data, n=123)

	Total cost	Time per session per CYP (mins) (95% CI)	Cost per session per CYP (95% CI)	Cost per CYP (95% CI)
Training costs				
Trainer fee	£4,262		£2.01	
Refreshment costs	£10		£0.00	
Costs for materials and consumables*	£178		£0.08	
Trainer's travel costs	£740		£0.35	
Total	£5,685		£2.45	£25.29 (24.30 – 26.29)
Intervention costs				
LEGO® bricks	£3,903		£1.84	£18.97 (18.23 – 19.70)
Costs for materials and consumable*	£580.5		£0.27	£2.78 (2.68 – 2.89)
Intervention (staff time)				
Planning		3.0 (2.7 – 3.3)	£0.55 (0.50 – 0.60)	£5.46 (4.51 – 6.40)
Set-up		1.7 (1.6 – 1.7)	£0.31 (0.29 – 0.32)	£3.11 (2.76 – 3.46)
Delivery		18.4 (18.1 – 18.6)	£3.53 (3.45 – 3.61)	£35.50 (32.82 – 38.11)
Clear-up		1.8 (1.7 – 1.9)	£0.33 (0.31 – 0.34)	£3.35 (2.98 – 3.71)
Additional work post session**		1.3 (1.1 – 1.5)	£0.26 (0.22 – 0.29)	£2.11 (1.51 – 2.71)
Help from other staff (staff time)				
Set-up and clear-up		0.1 (0.1 – 0.2)	£0.02 (0.01 – 0.03)	£0.25 (0.12 – 0.40)
Additional work post session**		0.1 (0.0 – 0.1)	£0.01 (0.01 – 0.02)	£0.14 (0.04 – 0.23)
Total			£7.12 (6.99 – 7.26)	£71.67 (67.58 – 75.75)

* Costs for pens, paper, file folders, Post-it notes, printing, manual, etc.

** Post-session additional work that was intervention-related, such as administration work, following-up individual CYP, giving brief feedback to teacher, etc.

Appendix 3: Average service use by trial arm (complete case, n=139)

Unit	Baseline		0-20 weeks		20-52 weeks		
	LEGO® based therapy, N=80 Mean (sd)	Usual care, N=59 Mean (sd)	LEGO® based therapy, N=80 Mean (sd)	Usual support, N=59 Mean (sd)	LEGO® based therapy, N=80 Mean (sd)	Usual support, N=59 Mean (sd)	
NHS and PSS							
Community-based services							
CAMHS related	Session	0.70 (2.76)	0.31 (0.88)	0.23 (0.88)	0.36 (1.06)	0.63 (2.81)	0.54 (2.46)
Non-CAMHS related							
GP	Appointment	0.45 (0.97)	0.24 (0.50)	0.39 (1.02)	0.22 (0.49)	0.71 (1.41)	0.66 (0.96)
Allied health professionals	Appointment	0.44 (0.93)	0.68 (2.20)	0.43 (1.00)	0.34 (0.71)	0.78 (1.96)	0.69 (1.56)
Social care services	Appointment	0.49 (1.65)	0.47 (1.34)	0.26 (1.00)	0.39 (0.11)	0.99 (2.62)	0.80 (2.06)
Hospital-based services / acute services							
Emergency services	Visit	0.19 (0.80)	0.12 (0.46)	0.13 (0.43)	0.03 (0.26)	0.20 (0.60)	0.17 (0.62)
Inpatient stay							
Mental health related	Night	-	-	-	-	-	-
Non-mental health related	Night	0.01 (0.11)	-	0.01 (0.11)	-	-	-
Outpatient visit / day case							
Mental health related	Visit	0.06 (0.37)	0.10 (0.44)	0.08 (0.38)	0.07 (0.31)	0.05 (0.22)	0.29 (1.37)
Non-mental health related	Visit	0.31 (1.71)	0.17 (0.59)	0.19 (0.75)	0.24 (0.63)	0.09 (0.40)	0.24 (0.95)
Medication							
Mental health related	Type	0.36 (0.80)	0.20 (0.48)	0.43 (0.81)	0.27 (0.55)	0.48 (0.87)	0.32 (0.51)
Non-mental health related	Type	0.33 (0.62)	0.41 (0.93)	0.41 (0.90)	0.53 (0.33)	0.38 (0.79)	0.59 (1.23)
Education system related							

School-based health	Hour	2.60 (10.00)	2.17 (6.94)	1.40 (5.80)	3.12 (10.51)	8.80 (32.99)	2.17 (10.06)
General support*	Hour	8.54 (7.26)	7.93 (12.68)	7.48 (9.25)	9.37 (17.34)	18.94 (30.32)	27.32 (45.13)
Intervention support*	Hour	5.50 (10.50)	4.66 (6.74)	6.78 (8.28)	7.15 (11.03)	8.37 (7.97)	7.72 (10.56)
Private expenses – out of pocket							
Childcare	Session	3.05 (7.35)	4.10 (11.05)	4.14 (9.30)	5.98 (12.37)	5.86 (13.58)	12.29 (25.20)
Productivity							
Parental productivity	Day	0.45 (1.18)	0.31 (0.93)	0.45 (1.04)	0.52 (1.51)	0.58 (1.13)	0.59 (1.40)

CAMHS: Child and Adolescent Mental Health Services, including child psychiatrist, child psychotherapist, child psychologist, clinical psychologist, mental health nurse, family therapist, and Primary mental health worker (PMHW)

Allied health professionals included community nurse, community paediatrician, occupational therapist, physiotherapist, and Speech and Language therapist

Social care services included social care worker, home care worker, family support worker, drug and alcohol support worker, and Helpline (e.g. Samaritans)

Childcare included paid childcare, after school club, religious club, and special clubs for autism children

*based on 117 teacher-reported questionnaires (68 from I-socialise arm and 48 from usual care arm)

Appendix 4: EQ-5D-Y responses by trial arms by data collection time points

Usual support (n=59)	Baseline			20 Weeks			52 Weeks		
	Level 1	Level 2	Level 3	Level 1	Level 2	Level 3	Level 1	Level 2	Level 3
	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)
Mobility	52 (88.1)	7 (11.9)	-	46 (78.0)	13 (22.0)	-	46 (78.0)	13 (22.0)	-
Self-care	17 (28.8)	32 (54.2)	10 (17.0)	16 (27.1)	37 (62.7)	6 (10.2)	14 (23.7)	35 (59.3)	10 (17.0)
Usual activity	25 (42.4)	29 (49.1)	5 (8.5)	25 (42.4)	27 (45.8)	7 (11.8)	22 (37.3)	30 (50.8)	7 (11.9)
Pain/discomfort	45 (76.3)	13 (22.0)	1 (1.7)	37 (62.7)	22 (37.3)	-	38 (64.4)	21 (35.6)	-
Anxiety/depression	15 (25.4)	35 (59.3)	9 (15.3)	16 (27.1)	34 (57.6)	9 (15.3)	18 (30.5)	26 (44.1)	15 (25.4)
LEGO® based therapy (n=80)	Baseline			20 Weeks			52 Weeks		
	Level 1	Level 2	Level 3	Level 1	Level 2	Level 3	Level 1	Level 2	Level 3
	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)
Mobility	66 (82.5)	11 (13.7)	3 (3.8)	61 (76.2)	16 (20.0)	3 (3.8)	64 (80.0)	13 (16.2)	3 (3.8)
Self-care	25 (31.3)	36 (45.0)	19 (23.7)	26 (32.5)	42 (52.5)	12 (15.0)	29 (36.3)	36 (45.0)	15 (18.7)
Usual activity	33 (41.2)	41 (51.2)	6 (7.5)	35 (43.7)	36 (45.0)	9 (11.3)	43 (53.7)	33 (41.3)	4 (5.0)
Pain/discomfort	64 (80.0)	14 (17.5)	2 (2.5)	63 (78.7)	13 (16.3)	4 (5.0)	59 (73.8)	19 (23.7)	2 (2.5)
Anxiety/depression	40 (50.0)	33 (41.3)	7 (8.7)	37 (46.2)	34 (42.5)	9 (11.3)	39 (48.7)	29 (36.3)	12 (15.0)

*Level 1: none, Level 2: some, Level 3: extreme

Appendix 5: CHU-9D responses by trial arms by data collection time points

Usual support (n=45)	Baseline					20 weeks					52 weeks				
	Level 1	Level 2	Level 3	Level 4	Level 5	Level 1	Level 2	Level 3	Level 4	Level 5	Level 1	Level 2	Level 3	Level 4	Level 5
Worried	20 (44.4)	16 (35.6)	7 (15.6)	-	2 (4.4)	22 (48.9)	12 (26.7)	5 (11.1)	3 (6.7)	3 (6.7)	26 (57.8)	13 (28.9)	1 (2.2)	3 (6.7)	2 (4.4)
Sad	32 (71.1)	8 (17.8)	2 (4.4)	2 (4.4)	1 (2.2)	29 (64.4)	6 (13.3)	5 (11.1)	4 (8.9)	1 (2.2)	34 (75.6)	4 (8.9)	2 (4.4)	1 (2.2)	4 (8.9)
Annoyed	27 (60.0)	13 (28.9)	4 (8.9)	-	1 (2.2)	27 (60.0)	10 (22.2)	5 (11.1)	-	3 (6.7)	27 (60.0)	10 (22.2)	5 (11.1)	2 (4.4)	1 (2.2)
Tired	9 (20.0)	15 (33.3)	8 (17.8)	5 (11.1)	8 (17.8)	12 (26.7)	13 (28.9)	9 (20.0)	5 (11.1)	6 (13.3)	10 (22.2)	16 (35.6)	6 (13.3)	6 (13.3)	7 (15.6)
Pain	28 (62.2)	6 (13.3)	6 (13.3)	3 (6.7)	2 (4.4)	21 (46.7)	11 (24.4)	4 (8.9)	2 (4.4)	7 (15.6)	24 (53.3)	12 (26.7)	7 (15.6)	-	2 (4.4)
Sleep	21 (46.7)	12 (26.7)	5 (11.1)	3 (6.7)	4 (8.9)	20 (44.4)	3 (6.7)	7 (15.6)	9 (20.0)	6 (13.3)	17 (37.8)	16 (35.6)	4 (8.9)	4 (8.9)	4 (8.9)
Daily routine	23 (51.1)	12 (26.7)	4 (8.9)	3 (6.7)	3 (6.7)	19 (42.2)	11 (24.4)	7 (15.6)	4 (8.9)	4 (8.9)	24 (53.3)	10 (22.2)	5 (11.1)	3 (6.7)	3 (6.7)
Work	27 (60.0)	15 (33.3)	2 (4.4)	1 (2.2)	-	23 (51.1)	11 (24.4)	7 (15.6)	1 (2.2)	3 (6.7)	28 (62.2)	7 (15.6)	7 (15.6)	1 (2.2)	2 (4.4)
Able to join activities	23 (51.1)	6 (13.3)	10 (22.2)	3 (6.7)	3 (6.7)	10 (22.2)	14 (31.1)	10 (22.2)	9 (20.0)	2 (4.4)	20 (44.4)	10 (22.2)	10 (22.2)	1 (2.2)	4 (8.9)
LEGO [®] based therapy (n=51)	Baseline					20 weeks					52 weeks				
	Level 1	Level 2	Level 3	Level 4	Level 5	Level 1	Level 2	Level 3	Level 4	Level 5	Level 1	Level 2	Level 3	Level 4	Level 5
Worried	34 (66.7)	8 (15.7)	3 (5.9)	2 (3.9)	4 (7.8)	33 (64.7)	6 (11.8)	7 (13.7)	1 (2.0)	4 (7.8)	34 (66.7)	7 (13.7)	6 (11.8)	3 (5.9)	1 (2.0)
Sad	40 (78.4)	5 (9.8)	2 (3.9)	1 (2.0)	3 (5.9)	37 (72.5)	5 (9.8)	5 (9.8)	1 (2.0)	3 (5.9)	36 (70.6)	6 (11.8)	6 (11.8)	2 (3.9)	1 (2.0)
Annoyed	37 (72.5)	7 (13.7)	4 (7.8)	2 (3.9)	1 (2.0)	34 (66.7)	10 (19.6)	5 (9.8)	-	2 (3.9)	37 (72.5)	8 (15.7)	3 (5.9)	1 (2.0)	2 (3.9)
Tired	14 (27.5)	19 (37.3)	5 (9.8)	3 (5.9)	10 (19.6)	17 (33.3)	19 (37.3)	4 (7.8)	7 (13.7)	4 (7.8)	13 (25.5)	19 (37.3)	6 (11.8)	4 (7.8)	9 (17.6)
Pain	33 (64.7)	9 (17.6)	3 (5.9)	1 (2.0)	5 (9.8)	28 (54.9)	9 (17.6)	7 (13.7)	3 (5.9)	4 (7.8)	27 (52.9)	13 (25.5)	6 (11.8)	3 (5.9)	2 (3.9)
Sleep	26 (51.0)	10 (19.6)	6 (11.8)	3 (5.9)	6 (11.8)	23 (45.1)	8 (15.7)	8 (15.7)	4 (7.8)	8 (15.7)	20 (39.2)	11 (21.6)	11 (21.6)	3 (5.9)	6 (11.8)
Daily routine	29 (56.9)	8 (15.7)	4 (7.8)	4 (7.8)	6 (11.8)	24 (47.1)	15 (29.4)	7 (13.7)	3 (5.9)	2 (3.9)	27 (52.9)	12 (23.5)	8 (15.7)	3 (5.9)	1 (2.0)
Work	34 (66.7)	7 (13.7)	6 (11.8)	1 (2.0)	3 (5.9)	33 (64.7)	10 (19.6)	5 (9.8)	3 (5.9)	-	32 (62.7)	11 (21.6)	4 (7.8)	2 (3.9)	2 (3.9)
Able to join activities	30 (58.8)	6 (11.8)	5 (9.8)	4 (7.8)	6 (11.8)	24 (47.1)	8 (15.7)	6 (11.8)	8 (15.7)	5 (9.8)	20 (39.2)	10 (19.6)	7 (13.7)	8 (15.7)	6 (11.8)

* Level 1: No, Level 2: A little bit, Level 3: A bit, Level 4: Quite a lot, Level 5 Very

Appendix 6: Sensitivity analyses

LEGO® based therapy vs. usual support	Incremental costs (£), (95% CI)	Incremental QALYs (95% CI)	ICER (£/QALY gained), (95% CI)
Scenario 1: Complete case analysis from NHS perspective	-1,280 (-4,578 to 2,081)	0.011 (-0.017 to 0.040)	Dominant
Scenario 2: CUA from NHS and education perspective	-511 (-1,452 to 392)	0.009 (-0.008 to 0.028)	Dominant
Scenario 3: CUA from societal perspective	-376 (-1,377 to 595)	0.009 (-0.008, 0.028)	Dominant
Scenario 4: Assume outcomes were measured using CHU-9D	-246 (-719 to 246)	0.029 (0.009 to 0.049)	Dominant

CHEERS Checklist

Items to include when reporting economic evaluations of health interventions

The ISPOR CHEERS Task Force Report, Consolidated Health Economic Evaluation Reporting Standards (CHEERS)—Explanation and Elaboration: A Report of the ISPOR Health Economic Evaluations Publication Guidelines Good Reporting Practices Task Force, provides examples and further discussion of the 24-item CHEERS Checklist and the CHEERS Statement. It may be accessed via the Value in Health or via the ISPOR Health Economic Evaluation Publication Guidelines – CHEERS: Good Reporting Practices webpage:

<http://www.ispor.org/TaskForces/EconomicPubGuidelines.asp>

Section/item	Item No	Recommendation	Reported on page No/ line No
Title and abstract			
Title	1	Identify the study as an economic evaluation or use more specific terms such as “cost-effectiveness analysis”, and describe the interventions compared.	Page 1
Abstract	2	Provide a structured summary of objectives, perspective, setting, methods (including study design and inputs), results (including base case and uncertainty analyses), and conclusions.	Page 2
Introduction			
Background and objectives	3	Provide an explicit statement of the broader context for the study. Present the study question and its relevance for health policy or practice decisions.	Page 4, Section 1
Methods			
Target population and subgroups	4	Describe characteristics of the base case population and subgroups analysed, including why they were chosen.	Page 4, Section 2.1
Setting and location	5	State relevant aspects of the system(s) in which the decision(s) need(s) to be made.	Page 5, Section 2.1
Study perspective	6	Describe the perspective of the study and relate this to the costs being evaluated.	Page 6, Section 2.4
Comparators	7	Describe the interventions or strategies being compared and state why they were chosen.	Page 5, Section 2.1
Time horizon	8	State the time horizon(s) over which costs and consequences are being evaluated and say why	Page 7, Section 2.4

		appropriate.	
Discount rate	9	Report the choice of discount rate(s) used for costs and outcomes and say why appropriate.	Page 7, Section 2.4
Choice of health outcomes	10	Describe what outcomes were used as the measure(s) of benefit in the evaluation and their relevance for the type of analysis performed.	Sections 2.3 and 2.4
Measurement of effectiveness	11a	Single study-based estimates: Describe fully the design features of the single effectiveness study and why the single study was a sufficient source of clinical effectiveness data.	Page 4, Section 2.1
	11b	Synthesis-based estimates: Describe fully the methods used for identification of included studies and synthesis of clinical effectiveness data.	NA
Measurement and valuation of preference based outcomes	12	If applicable, describe the population and methods used to elicit preferences for outcomes.	Page5, Section 2.3
Estimating resources and costs	13a	<i>Single study-based economic evaluation:</i> Describe approaches used to estimate resource use associated with the alternative interventions. Describe primary or secondary research methods for valuing each resource item in terms of its unit cost. Describe any adjustments made to approximate to opportunity costs.	NA
	13b	<i>Model-based economic evaluation:</i> Describe approaches and data sources used to estimate resource use associated with model health states. Describe primary or secondary research methods for valuing each resource item in terms of its unit cost. Describe any adjustments made to approximate to opportunity costs.	Page7, Section 2.4
Currency, price date, and conversion	14	Report the dates of the estimated resource quantities and unit costs. Describe methods for adjusting estimated unit costs to the year of reported costs if necessary. Describe methods for converting costs into a common currency base and the exchange rate.	NA
Choice of model	15	Describe and give reasons for the specific type of decision-analytical model used. Providing a figure to show model structure is strongly recommended.	NA

Assumptions	16	Describe all structural or other assumptions underpinning the decision-analytical model.	NA
Analytical methods	17	Describe all analytical methods supporting the evaluation. This could include methods for dealing with skewed, missing, or censored data; extrapolation methods; methods for pooling data; approaches to validate or make adjustments (such as half cycle corrections) to a model; and methods for handling population heterogeneity and uncertainty.	Sections 2.5 and 2.6
Results			
Study parameters	18	Report the values, ranges, references, and, if used, probability distributions for all parameters. Report reasons or sources for distributions used to represent uncertainty where appropriate. Providing a table to show the input values is strongly recommended.	NA
Incremental costs and outcomes	19	For each intervention, report mean values for the main categories of estimated costs and outcomes of interest, as well as mean differences between the comparator groups. If applicable, report incremental cost-effectiveness ratios.	Sections 3.2, 3.3 and 3.4
Characterising uncertainty	20a	<i>Single study-based economic evaluation:</i> Describe the effects of sampling uncertainty for the estimated incremental cost and incremental effectiveness parameters, together with the impact of methodological assumptions (such as discount rate, study perspective).	Section 3.4 and 3.5
	20b	<i>Model-based economic evaluation:</i> Describe the effects on the results of uncertainty for all input parameters, and uncertainty related to the structure of the model and assumptions.	NA
Characterising heterogeneity	21	If applicable, report differences in costs, outcomes, or cost-effectiveness that can be explained by variations between subgroups of patients with different baseline characteristics or other observed variability in effects that are not reducible by more information.	NA
Discussion			
Study findings, limitations,	22	Summarise key study findings and describe how they support the conclusions reached. Discuss limitations	Page 12, Section 4

generalisability, and current knowledge		and the generalisability of the findings and how the findings fit with current knowledge.	
Other			
Source of funding	23	Describe how the study was funded and the role of the funder in the identification, design, conduct, and reporting of the analysis. Describe other non-monetary sources of support.	Page 16
Conflicts of interest	24	Describe any potential for conflict of interest of study contributors in accordance with journal policy. In the absence of a journal policy, we recommend authors comply with International Committee of Medical Journal Editors recommendations.	Page 16

For consistency, the CHEERS Statement checklist format is based on the format of the CONSORT statement checklist

The ISPOR CHEERS Task Force Report provides examples and further discussion of the 24-item CHEERS Checklist and the CHEERS Statement. It may be accessed via the Value in Health link or via the ISPOR Health Economic Evaluation Publication Guidelines – CHEERS: Good Reporting Practices webpage:

<http://www.ispor.org/TaskForces/EconomicPubGuidelines.asp>

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Cost-utility analysis of LEGO® based therapy for school children and young people with autism spectrum disorder: results from a randomised controlled trial

Authors

Han-I Wang¹, Barry Wright^{1,2}, Matthew Bursnall³, Cindy Cooper³, Ellen Kingsley², Ann Le Couteur⁴, Dawn Teare³, Katie Biggs³, Kirsty McKendrick³, Gina Gomez de la Cuesta⁵, Tim Chater³, Amy Barr³, Kiera Solaiman³, Anna Packham³, David Marshall¹, Danielle Varley¹, Roshanak Nekooi², Simon Gilbody¹, Steve Parrott¹

¹University of York, York, UK

²Leeds and York Partnership NHS Foundation Trust, Leeds, UK

³CTRU, University of Sheffield, Sheffield, UK

⁴Newcastle University, Newcastle, UK

⁵Play Included CIC

Corresponding author

Name: Dr. Han-I Wang

Email: han-i.wang@york.ac.uk

Address: Department of Health Sciences, Seebohm Rowntree Building, University of York, Heslington, York, YO10 5DD

Tel: 01904-321817

Key words: cost, effectiveness, EQ-5D-Y, LEGO, Play Brick Therapy, autism, ASD, RCT

Word count: 4472

Abstract

Objectives: To assess the cost-effectiveness of LEGO® based therapy compared to usual support.

Design: cost-utility analysis alongside randomised control trial.

Setting: mainstream primary and secondary schools in the UK.

Participants: 248 children and young people (CYP) with autism spectrum disorder (ASD) aged 7-15 years.

Intervention: LEGO® based therapy is a group social skills intervention designed specifically for CYP with ASD. Through play, CYP learn to use the skills such as joint attention, sharing, communication, and group problem solving. CYP randomised to the intervention arm received 12 weekly sessions of LEGO® based therapy and usual support, while CYP allocated to control arm received usual support only.

Main outcome measures: Average costs based on NHS and personal social services perspective and quality adjusted life years (QALYs) measured by EQ-5D-Y over time horizon of one year were collected during the trial. Incremental cost-effectiveness ratio (ICER) was calculated, and non-parametric bootstrapping was conducted. The uncertainty around the ICER estimates was presented using cost-effectiveness acceptability curve (CEAC). A set of sensitivity analyses were conducted to assess the robustness of the primary findings.

Results: After adjustment and bootstrapping, on average, CYP in LEGO® based therapy group incurred less costs (incremental cost was -£251 (95% CI -£752 to £268)) and gained marginally improvement in QALYs (QALYs gained 0.009 (95% CI -0.008 to 0.028)). The CEAC shows that the probability of LEGO® based therapy being cost-effective was 94% at the WTP threshold of £20,000 per QALY gained. Results of sensitivity analyses were consistent with the primary outcomes.

Conclusion: Compared to usual support, LEGO® based therapy produced marginal reduction in costs and improvement in QALYs. Results from both primary and sensitivity analyses suggested that LEGO® based therapy was likely to be cost-effective.

Trial registration: ISRCTN64852382

Strengths and limitations of this study

1. The first economic evaluation study of LEGO® based therapy.
2. The data are from a large sample size trial in ASD, and the method followed published best-practice guidance.
3. The study accounts for the costs measured from a range of perspectives and the QALYs measured by different instruments.
4. Resource use data were collected retrospectively and may be affected by inaccurate recall.
5. Further model-based evaluation is required to assess long term cost-effectiveness of LEGO® based therapy.

1. Background

Autism spectrum disorders (ASDs) are a group of lifelong developmental conditions defined by impairments in social interactions, communication skills, and presence of restricted and stereotypical behaviours (1). It is estimated that around 120,000 children and young people (CYP)(1.1% of total CYP) in the UK have a diagnosis of ASD (2–4). CYP with ASD have differing health and quality of life outcomes to neurotypically developing people and over their lifetime this is likely to have a financial impact on their families or carers. In the UK, the annual cost of supporting children with ASD has been estimated at between £3.1 and £3.4 billion (in 2011 value) with higher values when there is associated intellectual disability. The main cost driver of the annual support cost was special education (47%) followed by parental productivity loss (12%). Medical services accounted for only 4 % of the total (5).

Due to the substantial financial burden borne by the health care system, education system, and families of CYP with ASD, the Lancet Psychiatry Commission (LPC) emphasizes the need to not only focus on the effectiveness of mental health services, but also on their economic benefits (6). However, with the evolving intervention landscape of ASD, only two economic evaluation studies were found for CYP with ASD (7). One investigated the cost-effectiveness of a communication intervention (8) and another investigated an early intervention programme (9). These preliminary studies suggested that early intervention for children with suspected ASD was cost-effective, but communication-focused therapy for preschool children with ASD was not, with more research being recommended.

LEGO® based therapy (10) is a group based social skills intervention specifically designed for CYP with ASD which does not rely on adult-led teaching of skills. It has become very popular in the UK with many local authorities now recommending its use in schools (11). Despite the growing interest and substantial economic burden of ASD, no economic evaluation study has been done for LEGO® based therapy. Hence, the aim of this study was to assess the cost-effectiveness of LEGO® based therapy. This paper reports the economic evaluation results of LEGO® based therapy for CYP with ASD alongside the Investigating Social Competence and Isolation in children with Autism taking part in LEGO® based therapy clubs In School Environments (I-SOCIALISE) trial (12).

2. Methods

2.1 Trial design and participants

This economic evaluation was embedded in the I-SOCIALISE trial, a multisite, pragmatic, two-arm, school-level cluster randomised controlled trial (RCT) for CYP with ASD. Details of

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2
3 I-SOCIALISE trial have been published elsewhere (12). In short, CYP between the ages of
4 seven and 15 years with a diagnosis of ASD were recruited from mainstream primary and
5 secondary schools in the North of England between October 2018 and May 2019.
6
7 Parents/guardians and schools were invited to speak on the phone or face-to-face to discuss
8 the eligibility of CYP in their school and their potential involvement in the study. CYP were
9 included in the study if they met study inclusion criteria which included aged between seven
10 and 15 years with a clinical diagnosis of ASD, a score of 15 or higher on the Social
11 Communication Questionnaire (SCQ), the ability to understand simple instructions, no
12 serious impairments which would prevent participation, and were attending mainstream
13 schools in the north of England (see Appendix 1). CYP in schools that were allocated by
14 remote randomisation to the intervention arm received a one-hour session of LEGO® based
15 therapy in school once per week for the 12-week period. On average, around three CYP
16 were in each session. The decision about number of sessions and the duration per session
17 were based on recommendations of the co-author and experienced LEGO-therapy trainer
18 (Gina Comez de la Cuesta), the published training manual and in line with previous studies
19 on school based intervention (such as Social Stories (13)). There was some flexibility in
20 weekly delivery to accommodate school timetables. CYP also received usual support, while
21 CYP in schools allocated to the control arm received usual support only. Usual support
22 includes any support the CYP with ASD was receiving at the time from school, general
23 practitioners (GPs) or other professionals. The usual support from school includes the
24 support from specialist teaching teams for autism as well as other interventions such as the
25 Picture Exchange Communication System, visual supports and timetables and Social Stories.
26 To investigate the efficacy and cost-effectiveness of LEGO® based therapy whilst controlling
27 for any impact obtained from usual support, CYP received LEGO® based therapy and usual
28 support were compared to usual support alone, rather than to another similar intervention.
29 Informed consent and baseline measurements were obtained and completed prior to school
30 randomisation. All CYP were followed up 20 and 52 weeks after randomisation and
31 completed further outcome measures. A flowchart of the study can be found in [Appendix 2](#).
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47 **2.2 Intervention**

48 LEGO® based therapy is a group social skills intervention designed specifically for CYP with
49 social communication difficulties such as ASD. CYP build LEGO® models together in small
50 groups with light facilitation by a trained adult (14,15). The CYP work together taking on one
51 of three roles: the engineer, who reads the LEGO® set instructions; the supplier, who finds
52 the correct pieces according to the instructions from the engineer; and the builder, who
53 builds the model with the pieces from the supplier and the instructions from the engineer.
54 Key elements of the intervention include the use of CYP-led collaborative building and
55 learning through play which promote the learning and use of such skills as joint attention,
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3 sharing, communication, and group problem solving. The trained adult takes a guiding role
4 rather than an explicitly directive one, allowing the CYP to work together and solve their
5 own challenges. Group rules and rewards are used to foster motivation and engagement in
6 social interactions.
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10 **2.3 Outcomes**

11 The health outcomes for the current study were quality adjusted life years (QALYs)
12 measured by the EQ-5D-Y (3L proxy version) (16) and the Child Health Utility 9D (CHU-9D)
13 (17). EQ-5D-Y (3L proxy version) is a five-item with three-severity level questionnaire that
14 allows a proxy person (i.e. parent/guardian) to complete the measure for CYP. The EQ-5D-Y
15 instrument comprises five dimensions (mobility, looking after themselves, doing usual
16 activities, having any pain or discomfort, and feeling worried or sad) and has been shown to
17 be a reliable and valid instrument for use in CYP and adolescents (16). The CHU-9D is a
18 CYP-completed nine-item questionnaire comprising nine dimensions: worried, sad, pain,
19 tired, annoyed, schoolwork/homework, sleep, daily routine, and able to join in activities
20 (17).
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29 Individual-level responses to EQ-5D-Y and CHU-9D were used to estimate utilities based on
30 UK population valuation sets (18,19). A utility represents a CYP's health state and is
31 constrained between 0 and 1, where 0 refers to death and 1 perfect health. The estimated
32 utilities at baseline and follow-up were further joint using the area under the curve
33 approach (20) to calculate QALYs.
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38 **2.4 cost measurement**

39 Two cost perspectives were considered in this study: 1) a NHS and personal social service
40 (NHS/PSS) perspective, which included costs related to healthcare (including hospital-based
41 services, such as inpatient stays, outpatient visits and emergency care, and services outside
42 a hospital setting, such as GP visits, Child and Adolescent Mental Health Services, and
43 services provided by allied health professionals (e.g. community paediatrician)) and social
44 services (including social care worker, home care worker, family support worker, and
45 Helpline (e.g. Samaritans)), and 2) a societal perspective, which additionally included costs
46 of education-related services, parental out-of pocket expenses (such as childcare and
47 private courses), and parental productivity costs (time off work due to child's autism)
48 without taking social charges (any payments or contributions for social benefits) into
49 consideration..
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58 **Cost of the intervention**

59 Cost of the intervention included the cost of training and the cost of delivering LEGO® based
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3 therapy. Training costs were measured by the time spent by the trainer and included travel
4 costs and the cost of materials used in the training. Costs associated with delivering the
5 LEGO® based therapy were measured based on the time spent by facilitators to plan and
6 conduct sessions and included relevant overhead costs. All relevant data were collected
7 using the tailored questionnaires completed by the study team and therapists.
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11 12 **Cost of the service use**

13 Service use was collected using the tailored questionnaires (completed by the
14 parent/guardian and separately by an associated teacher of each CYP in the study who knew
15 the CYP well), which was originally developed based on Barrett's study (21) and has been
16 successfully adapted for use in school-based trials (22,23). The parent/guardian-completed
17 questionnaire captured data on the use of health and social services, school-based services
18 (including school-based health services, general and intervention support), parental private
19 expenses, and parental productivity costs. Teacher-completed questionnaires captured any
20 school-based interventions/support and the implications of a CYP's behaviour on school
21 resource.
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29 Service use was multiplied by unit costs to arrive at total cost in each arm. Unit costs of
30 health and social service use were obtained from published sources (i.e. Reference Cost
31 2018 (24), Personal Social Services Research Unit 2018 (25), and Prescription Cost Analysis –
32 England 2018 (26)), national survey (i.e. Childcare Costs Survey 2018 (27)), and government
33 departments (i.e. Department for Education 2018 (28) and Green Book 2018 (29)). Privately
34 paid services were separately estimated via market prices, while productivity losses were
35 calculated using the human capital approach, which involves multiplying time off work by
36 UK average salary (30).
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42 All the costs were expressed in 2018 UK sterling. Discounting of costs and QALYs was not
43 applied, as the study time horizon was one year.
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47 **2.5 Missing data**

48 All eligible CYPs who had both utility and cost data at any time point were referred to as
49 complete cases. While, the eligible CYPs who had missing utility and cost data but had
50 complete baseline assessments were referred to as base case. The identified missing utility
51 and cost data were imputed using multiple imputation method via chained equations (31).
52 Imputation was based on trial arm, age, gender, study site, and utility scores and Social
53 Communication Questionnaire (SCQ) scores at baseline.
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2.6 Statistical and economic analyses

The primary analysis was to calculate incremental cost-effectiveness ratio (ICER) based on the costs from NHS/PSS perspective and the QALYs measured by EQ-5D-Y. To account for uncertainty around ICER and imbalanced utility and costs at baseline, seemingly unrelated regression equations (SURE) that adjusted standard errors for clustering and controlled for baseline utility (32), costs, age, gender, and SCQ scores were bootstrapped 5,000 times. The SURE approach was recommended by Glick and colleagues (19), which considers the distribution of the dependent variable and any correlation found between cost and QALY outcomes. While non-parametric bootstrap re-sampling method was suggested by Briggs and colleagues (33), as the distribution of regression residuals was likely to be skewed (34). The 5,000 bootstrapped iterations were represented graphically on the cost-effectiveness plane (CE-plane), and the cost-effectiveness acceptability curve (CEAC) was generated by plotting the probability of the intervention being cost-effective over a range of willingness-to-pay (WTP) thresholds (35). The calculated ICERs were against the national WTP threshold of £20,000-£30,000 per QALY gained to decide whether the LEGO® based therapy is cost-effectiveness (36).

To assess the robustness of our findings, a set of sensitivity analyses were conducted. First, a cost-utility analysis (CUA) (35) using complete cases was conducted to assess the impact of the missing data. Second, a CUA was performed from a NHS/PSS and education perspective to account for the economic impact from the education system. Third, a CUA was performed from a societal perspective to account for all the economic impact outside the NHS/PSS perspective, including parental productivity costs. Finally, a CUA that used the CHU-9D instead of the EQ-5D-Y to estimate QALYs based on the UK population tariff (19) was conducted to assess the impact of outcome measurement instrument.

All analyses were performed on an intention-to-treat basis using Stata version 16 ((StataCorp, College Station, Texas, USA).

2.7 Ethical approval and informed consent

This study was funded by the National Institute for Health Research (NIHR) Public Health Research (PHR) programme (PHR15/49/32), and the International Standard Randomised Controlled Trial Number is ISRCTN64852382 (2). Positive ethical opinion has been obtained via the University of York Research Ethics Committee (18/HRA/0101), and the written informed consent was obtained from parents on behalf of their child. Children assented to be part of the groups and did not proceed if they were not willing.

2.8 Patient and Public Involvement

No patient involved.

3. Results

3.1 Participants

A total of 284 CYP with ASD were recruited in the trial. After removing 34 ineligible CYP and 2 CYP who were not eligible for multiple imputation due to missing baseline utilities, 248 CYP with ASD were available for primary analysis (126 were allocated to LEGO® based therapy and 122 to usual support). This sample constitutes the base-case group. On the other hand, only 139 (56.0%) CYP had both EQ-5D and resource use (from the NHS and PSS perspective) data at all three data collection time points. This sample constitutes the complete-case group. Overall, 27.8% of cost or QALY measurements were missing and were imputed for primary analysis.

Descriptive statistics of CYP's baseline characteristics for both complete-case and base-case are presented in [Table 1](#). As shown, more than third-quarters of the CYP in the LEGO® based therapy and the usual care arms were male, and more than 50% of the CYP in both arms were in primary school age (ranging from 7 to 11 years old). Differences in the SCQ scores at the baseline were marginal across arms and samples. Overall, the baseline characteristics are consistent across samples (base-case and complete-case).

Table 1: Baseline characteristics by trial arm

Baseline characteristics	Base case (n=248)		Complete case (n=139)	
	LEGO® based therapy (N=126)	Usual support (N=122)	LEGO® based therapy (N=80)	Usual support (N=59)
Gender, n (%)				
Male	101 (80.2%)	91 (74.6%)	68 (85.0%)	43 (72.9%)
Age (years), n (%)				
7-11	83 (65.9%)	79 (64.8%)	54 (67.5%)	43 (72.9%)
11-15	43 (34.1%)	43 (35.2%)	26 (32.5%)	16 (27.1%)
Mean (sd)	9.7 (2.3)	9.8 (2.2)	9.6 (2.2)	9.6 (2.2)
Year from diagnosis				
Mean (sd)	3.4 (2.7)	3.6 (2.8)	3.2 (2.4)	3.6 (3.0)
Social Communication Questionnaire (SCQ) scores				
Mean (sd)	25.1 (5.2)	24.2 (5.2)	24.9 (5.1)	24.1 (5.0)
EQ-5D				
Mean (sd)	0.79 (0.11)	0.76 (0.11)	0.79 (0.12)	0.77 (0.11)
Site, n (%)				
Leeds	37 (29.4%)	38 (31.2%)	31 (38.8%)	18 (30.5%)
Sheffield	70 (55.6%)	67 (54.9%)	34 (42.5%)	31 (52.5%)

York	19 (15.1%)	17 (13.9%)	15 (18.7%)	10 (17.0%)
Number of intervention sessions				
Mean (sd)	10.3 (2.3)	-	10.5 (2.2)	-

3.2 Costs

On average, the estimated intervention cost per session per CYP was £6.5 (£2.45 for training and £4.05 for intervention delivery). The main cost driver of training costs was trainer fees, while the main cost drivers of intervention delivery costs were the costs for preparation and delivery the intervention, and the costs for LEGO® materials ([Appendix 2](#)).

In terms of service costs, the average total service costs over 52 weeks to the NHS providers (after imputation) were £524 (95%CI: £428 to 808) for the LEGO® based therapy arm compared with £678 (95% CI: £427 to 928) for the usual care arm. The cost difference is larger when the societal perspective was considered, as CYP in the LEGO® based therapy arm incurred less costs across all the perspectives. The largest cost differences occurred in education related services followed by healthcare and social services. It is worth noting that some of cost differences were likely to have been driven by high-cost cases. For instance, in complete-case, higher average cost of Child and Adolescent Mental Health Services (CAMHS)-related services in the usual care arm was driven by two high-cost cases, and higher average cost of school-based health related services in the LEGO® based therapy arm was driven by one high-cost case. These high-cost cases were kept in the analysis, as they were plausible. However, due to the high-cost cases, the cost differences need to be interpreted with caution. A more detailed overview on the service costs over 52 weeks and the resource use are presented in [Table 2](#) and [Appendix 3](#), respectively.

Table 2: Average costs of service use in one year by trial arm

	Base case		Complete case	
	LEGO® based therapy (n=126), £ (95% CI)	Usual support (n=122), £ (95% CI)	LEGO® based therapy (n=80), £ (95% CI)	Usual support (n=59), £ (95% CI)
NHS and PSS	524 (372, 675)	678 (427, 928)	618 (428, 808)	752 (420, 1,083)
Community-based services				
CAMHS related	77 (40, 114)	233 (37, 428)	117 (50, 184)	267 (19, 516)
Non-CAMHS related	115 (79, 151)	99 (69, 130)	120 (78, 161)	107 (67, 148)
Hospital-based services				
Mental health related	20 (6, 33)	45 (11, 79)	19 (4, 33)	53 (-1, 107)
Non-mental health related	60 (29, 92)	86 (37, 136)	79 (30, 128)	89 (31, 147)
Medications				
Mental health related	195 (115, 275)	129 (74, 185)	211 (121, 301)	142 (75, 208)
Non-mental health related	57 (18, 97)	85 (25, 145)	73 (22, 124)	93 (19, 167)
Education system related	1,204 (949, 1,458)	1,437 (1,082, 1,792)	1,388 (989, 1,787)	1,633 (1,041, 2,224)
School-based health	164 (62, 267)	88 (20, 156)	182 (48, 316)	100 (13, 186)
Intervention support	712 (496, 927)	948 (645, 1,250)	793 (458, 1,128)	1,070 (615, 1,526)
General support	327 (242, 413)	401 (262, 541)	368 (245, 492)	473 (237, 709)
Private expenses	211 (129, 293)	317 (189, 445)	192 (105, 280)	329 (171, 487)
Childcare	211 (129, 293)	317 (189, 445)	192 (105, 280)	329 (171, 487)
Productivity	95 (57, 132)	114 (64, 164)	104 (62, 146)	111 (53, 170)
Parental productivity loss	95 (57, 132)	114 (64, 164)	104 (62, 146)	111 (53, 170)
Total costs	2,033 (1,710, 2,357)	2,546 (2,087, 3,005)	2,278 (1,775, 2,781)	2,819 (2,123, 3,515)

Community-based services: health services provided outside of a hospital setting, including services provided by GPs, by applied health professionals (community nurse, community paediatrician, occupational therapist, physiotherapist, and Speech and Language therapist for non-CAMHS related services, and child psychiatrist, child psychotherapist, child psychologist, clinical psychologist, mental health nurse, and Primary mental health worker for CAMHS related services) and by social services (social care worker, home care worker, family support worker, and Helpline (e.g. Samaritans))

CAMHS: Child and Adolescent Mental Health Services, including child psychiatrist, child psychotherapist, child psychologist, clinical psychologist, mental health nurse, and primary mental health worker (PMHW)

Hospital-based services: health services provided in a hospital setting, including inpatient stays, outpatient visits and emergency services.

Childcare included paid childcare, after school club, religious club, and special clubs for autism children

3.3 Outcomes

Table 3 shows the mean EQ-5D-Y (3L proxy) and CHU-9D utility scores between the two arms of the trial at each time point when scores were not imputed (complete case) and when scores were imputed (base case). As shown, in both arms, there was no significant change in EQ-5D-Y or CHU-9D utility scores from baseline to 52 weeks. The fluctuations between baseline and 20 weeks, and between 20 weeks and 52 weeks, were small in both the base and the complete cases. After calculation using the area under the curve approach, it is found that the LEGO® based therapy produced marginally higher mean QALYs (0.03 QALYs) compared to the usual support regardless of the instrument used. Further details for the responses of EQ-5D and CHU-9D in each domain can be found in [Appendix 4](#) and [Appendix 5](#), respectively.

Table 3: Average EQ-5D-Y and CHU-9D utility scores by trial arm

Time point	Base case		Complete case	
	LEGO® based therapy (n=126), mean (95% CI)	Usual support (n=122) mean (95% CI)	LEGO® based therapy (n=80), mean (95% CI)	Usual support (n=59) mean (95% CI)
EQ-5D-Y				
Baseline	0.79 (0.77, 0.81)	0.76 (0.74, 0.79)	0.79 (0.77, 0.81)	0.77 (0.74, 0.79)
20 weeks	0.78 (0.76, 0.81)	0.76 (0.74, 0.78)	0.79 (0.76, 0.81)	0.75 (0.74, 0.79)
52 weeks	0.79 (0.76, 0.81)	0.76 (0.74, 0.79)	0.80 (0.77, 0.82)	0.75 (0.73, 0.80)
Total QALYs	0.79 (0.77, 0.80)	0.76 (0.74, 0.78)	0.79 (0.77, 0.81)	0.76 (0.74, 0.79)
CHU-9D				
Baseline	0.83 (0.80, 0.85)	0.81 (0.79, 0.84)	0.84 (0.81, 0.87)	0.83 (0.80, 0.86)
20 weeks	0.84 (0.82, 0.86)	0.80 (0.78, 0.83)	0.83 (0.80, 0.86)	0.78 (0.74, 0.82)
52 weeks	0.83 (0.81, 0.85)	0.80 (0.77, 0.83)	0.81 (0.78, 0.84)	0.81 (0.77, 0.85)
Total QALYs	0.83 (0.82, 0.85)	0.80 (0.78, 0.82)	0.82 (0.80, 0.85)	0.80 (0.76, 0.83)

3.4 Cost-utility analysis (primary analysis)

After accounting for uncertainty and unbalanced baseline utility and characteristics, on average, CYP with ASD receiving LEGO® based therapy incurred £251 (95% CI - £268 to £752) less costs from the NHS/PSS perspective and gained 0.009 (95% CI -0.008 to 0.028) extra QALYs measured by EQ-5D-Y than those having usual support. The bootstrapped ICER results are presented in [Figure 1A](#), and the probabilities of LEGO® based therapy being cost-effective over a range of WTP threshold are presented in [Figure 1B](#). As shown, the simulated estimates were largely below the threshold line, and the probability of LEGO® based therapy being cost-effective is 94% at the WTP threshold of £20,000. The findings

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3 suggest that the LEGO® based therapy was likely to be cost-effective, although the
4 incremental costs and QALYs were marginal.
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8 **3.5 sensitivity analysis**

9 Results of sensitivity analyses are detailed in [Appendix 6](#). The mean incremental cost and
10 QALY estimates from the complete-case were along the line of the based-case scenario,
11 yielding a negative cost per QALY gained. Sensitivity analyses using a societal perspective to
12 measure costs and the CHU-9D to measure QALYs were also conducted. In both sensitivity
13 analyses, the ICER pairs lay below the recommended NICE threshold (£20,000-30,000/QALY
14 gained), and the majority of the bootstrapped estimates sat in the bottom right quadrant
15 ([Figure 2](#)), suggesting that LEGO® based therapy was likely to be cost-effective.
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23 **4. Discussion**

24 **4.1 Principal findings**

25 To the best of our knowledge, this is the first study evaluating the cost-effectiveness of
26 LEGO® based therapy for CYP with ASD. Compared to usual care, the LEGO® based therapy
27 marginally decreased the service use costs and increased the QALYs from the NHS/PSS
28 perspective. This is evident in both primary and sensitivity analyses, which considered costs
29 derived from various perspectives and QALYs measured by different instruments.
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35 **4.2 Implications of study**

36 The average QALYs measured by both the EQ-5D-Y (proxy version) and the CHU9D for those
37 in the intervention arm were higher compared to the control arm. Although the differences
38 are small, it is observed that the bootstrap estimates plotted on the CE planes for both
39 primary and sensitivity analyses were mainly in the bottom right quadrant. This indicates
40 that after taking uncertainty into consideration and adjusting for the imbalanced baseline
41 utility, the differences in QALYs remain positive. It is also worth noting that such differences
42 were unaffected regardless who filled the questionnaire. Both the parent-completed
43 (EQ-5D) and CYP-completed (CHU9D) questionnaires showed the same positive differences.
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50 The study also shows a reduction in average total NHS/PSS costs (albeit with wide
51 confidence intervals), particularly through attendance at Child and Adolescent Mental
52 Health Services (CAMHS). As mentioned in section 3.2, the lowered CAMHS costs found in
53 the LEGO® based therapy arm was more related to a small number of CYP receiving high
54 levels of high-tariff CAMHS support in the control arm (usual support) than the intervention
55 arm rather than a general drop across the whole group. Such a finding indicates that CYP
56 who had co-occurring emotional and behavioural problems seemed to be receiving
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3 approximately similar support from CAMHS across both trial arms, and LEGO® based
4 therapy did not overshadow the needs of CAMHS support. It was also found that, at the
5 baseline, CYP in LEGO® based therapy arm had higher frequency of CAMHS support
6 compared to control arm. Given the high threshold for receiving CAMHS support, it is likely
7 that the CYP in LEGO® based therapy arm had more severe needs at baseline. However,
8 after the intervention, CYP in LEGO® based therapy arm had similar support from CAMHS as
9 those in control arm (see above) suggesting some amelioration effect or a reduced need for
10 such high level support. Based on the literature of general research on CAMHS referrals,
11 such reduction could be because the LEGO® based therapy improves co-morbid problems of
12 CYP and consequently leads to a reduced likelihood of referral to CAMHS (37) or stops the
13 CYP being seen by CAMHS (38). However, it is also possible that the school-based LEGO®
14 based therapy improves a CYP's social skills and leads to less distress or conflict and may
15 subsequently reduce the likelihood for referral to CAMHS (39) because of reduced levels of
16 teacher and parent/guardian concern. Research to date, however, suggests higher parental
17 anxiety tends to reduce referral rates not increase them in many circumstances (40).
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27 The reduction in school intervention costs was also observed in this study. One possible
28 explanation is that CYP in a LEGO® based therapy intervention might be less likely to be put
29 forward for other interventions (e.g., the social use of language programme (SULP) (41)).
30 Another possible reason might include a belief by a parent/guardian that an active
31 intervention is happening, and so for this reason taking part in another intervention at the
32 same time is not necessary. While both possible explanations might be valid, there was
33 evidence that schools reported a wide range of other interventions being received by CYP
34 with ASD, including Social Stories™, visual schedules, 1:1 mentoring, and others. Whether
35 LEGO® based therapy reduced certain type of interventions but increases other type of
36 interventions remains unclear. Further investigations would be needed to explore this.
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44 Finally, the bootstrapping results on the cost-effectiveness plane (Figure 1A and 2)
45 demonstrate the dispersion of iterations. It is observed that the confidence intervals for
46 incremental costs and incremental QALYs were wide, both in primary and sensitivity
47 analyses. This indicated high levels of uncertainty around the estimate of the incremental
48 costs and QALYs and, consequently, wide confidence intervals of the estimated ICERs. The
49 phenomenon may be due to the small average cost reduction and small mean QALY gained,
50 but large variation among the CYP. This could be also because the EQ-5D-Y instrument can
51 be less responsive or sensitive to small changes in mental health (42). Although the
52 confidence intervals for the ICERs were wide, the LEGO® based therapy remains highly likely
53 to be cost-effective, as the majority of cost-QALY pairs were below the £20,000 threshold
54 (Figure 1 and 2).
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4.3 Strengths and limitations

This is the first study evaluating the cost-effectiveness of LEGO® based therapy and one of only a few economic evaluation studies for CYP with ASD (8,9). Such a study is important because there is a growing popularity of LEGO® based therapy in the UK. Furthermore, since detailed resource use in school was able to be collected via teacher-completed questionnaire, our study managed to capture the cost difference in school in a more granular manner and reflect the reality in school better. Additionally, our study accounts for the costs measured from a range of perspectives and the QALYs measured by different instruments. The approach not only ensures the robustness of our findings but also can help policy makers from different sectors to make informed decision. This is particularly true in the UK setting, as organisations such as the Department for Education (DfE), the Department of Health and Social Care (DH), and the local authorities are working together to ensure CYP with special educational needs and disabilities (SEND) properly supported based on the SEND Code of Practice 2014 (43) and the Children and Families Act 2014 (44). Some other considerations beyond cost effectiveness, such as acceptability and equality of access may be also taken into account by decision makers. Findings of strong acceptability to schools, children, CYP and their families were reported elsewhere (45), whereas equality of access would need further exploration in the future, as at present we do not have sufficient data to undertake any form of statistical analysis. Finally, this study has a large sample size compared to other similar trials in ASD (8,46) and is one of the few ASD intervention studies to date follow up to one year (8,47). This would make our results more generalizable and robust compared to the similar study with small sample size or shorter term follow-up.

Despite the strengths mentioned above, our study was subject to a few limitations. Firstly, funding sources for a few types of staff was not always clear. For example, speech and language therapists can be funded by NHS, schools or local authorities. Such diversity causes difficulties when it comes to costing and reporting the results, as detailed information about funders for each member of staff involved was unavailable. Several assumptions have been made based on service locations and published guidelines (i.e. the unit costs of Health and Social Care from PSSRU 2018) for costing. Hence, the summarised cost results for different perspectives need to be treated with caution. However, both arms were treated the same way and the overall costs (from the societal perspective) should remain robust. Secondly, a small number of high but plausible values were observed in cost estimations. Although the values did not affect the cost-effectiveness conclusions (data not shown), such values can potentially bias the cost reduction results of LEGO® based therapy. Hence, care should be taken when interpreting the cost estimates. It is none-the-less important to include this real world data and be aware of this for future studies. Thirdly, the calculated intervention costs

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3 might have been underestimated. This is because several items associated with training and
4 intervention sessions were not costed, due to data constraints. These included opportunity
5 costs of trainee time, opportunity costs of school venue for delivering interventions,
6 recruitment cost if intervention rolled out, and supervision costs. However, this is unlikely to
7 have affected the results of the dominance of the intervention over usual support, as these
8 costs are considered to be small. This is especially the case after the allocating to every CYP
9 for every session. Further research on the exploration and measurement of the costs with
10 considerations is desirable. Finally, our study used SURE to model the uncertainty around
11 the incremental costs and QALYs and account for their correlation. Alternatively, costs and
12 QALYs can be modelled separately using generalised linear models without considering the
13 correlation. It is beyond the scope of our study to compare the two methods. However,
14 further research on the method comparison and their impacts on the results are desirable in
15 order to draw robust conclusions.
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24 **4.4 Future work**

25 Our study measured the short-term cost-effectiveness of LEGO® based therapy on CYP with
26 ASD over one year follow-up. For the long-term cost-effectiveness of LEGO® based therapy,
27 a modelled-based economic evaluation study would be desirable to allow life-time
28 cost-effectiveness and children's lost productivity during adulthood to be measured. Further
29 research is also needed on exploring potential impacts on other outcomes such as academic
30 achievement or quality of life of other family members. In future research, it would be also
31 helpful to explore whether a longer duration of intervention (e.g. a full school year) or more
32 frequent sessions (e.g. twice a week) would further improve outcomes while remaining
33 cost-effective.
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42 **5. Conclusion**

43 This study demonstrates the potential cost-effectiveness of delivering LEGO® based therapy
44 to CYP with ASD in mainstream school settings. The findings will be of interest to NHS health
45 and social care providers, local authorities, families and community professionals including
46 school staff members.
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3 **Figures**
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6 Figure 1: Cost-effectiveness plane and CEAC of primary analysis
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8 Figure 2: Cost-effectiveness planes of sensitivity analyses
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For peer review only

Authors' contributions

HW and SP were the trial health economists and analysed the data with HW taking the lead in writing the manuscript. BW, CC, ALC, DT, KB, GGDLC, DM, DV and SG conceived the study idea and designed the project. MB and DT were the study statisticians and involved in the analysis and interpretation of the data. EK and KM managed the trial, and TC, AB, KS, AP and RN were involved in the acquisition and management of the data. All authors contributed to data interpretation and have read and approved the final manuscript.

Competing interests

The research team was aware that the LEGO® name is a registered trademark and followed the fair use policy in regard to the LEGO® brand throughout the duration of the trial. **Gina Gomez de la Cuesta** co-authored the LEGO® based therapy manual which formed the basis of the intervention delivered in the trial. The co-authors of the manual have given us full permission to use the manual without license and to develop an abridged version. They have also stated their support for us in writing our own version and will become co-authors on any future publications. **Gina Gomez de la Cuesta** has also agreed for the team to adapt the fidelity checklist used in her previous study. **Gina Gomez de la Cuesta** is a Director of Play Included a community interest company that offers training and resources for interventions involving play bricks for children. We have provisional agreement with Jessica Kingsley Publishers who have expressed interest in publishing the abridged manual. However, we are not tied to them as a publisher. There are no other financial and/or competing interests to declare.

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Data sharing statement

No additional data available. However, Stata code that used for this study is available from the corresponding author, Han-I Wang, upon reasonable request.

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56 **Disclaimer**

57
58 The views and opinions expressed by authors in this publication are those of the authors
59 and do not necessarily reflect those of the NHS, the NIHR, NETSCC, the PHR programme or
60

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2
3 the Department of Health and Social Care.
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6 **Patient consent form**

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12 Ethics approval has been obtained via the University of York Research Ethics Committee
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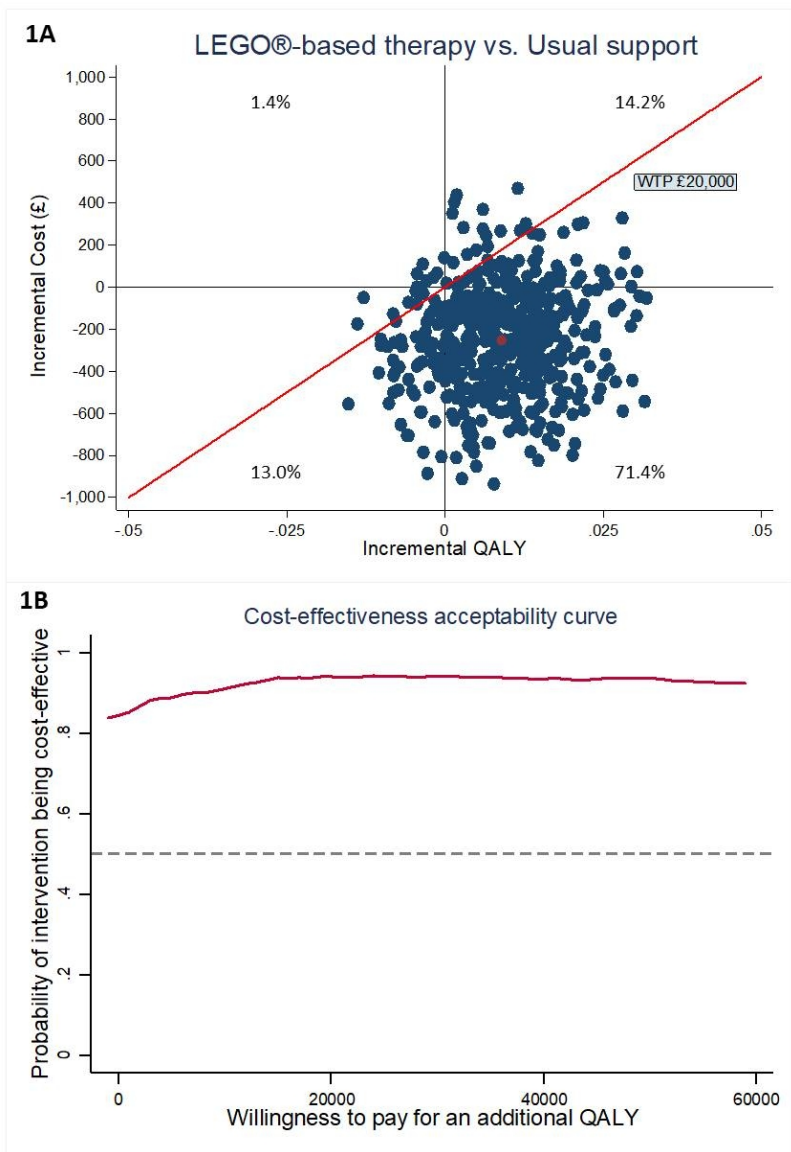


Figure 1: Cost-effectiveness plane and CEAC of primary analysis

Cost-effectiveness plane and CEAC of primary analysis

142x212mm (150 x 150 DPI)

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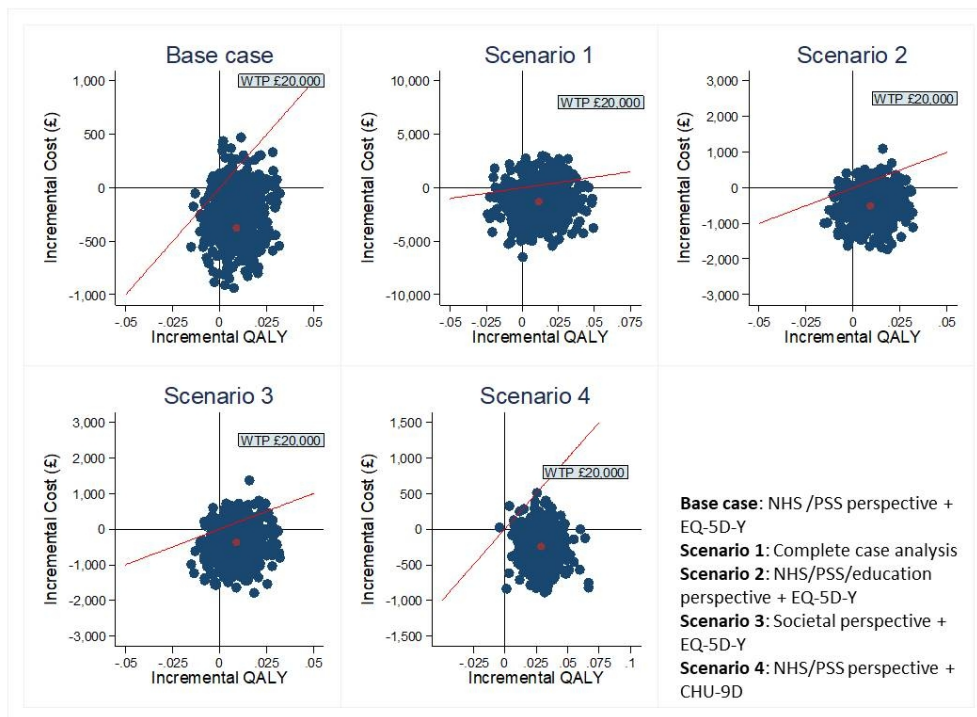


Figure 2: Cost-effectiveness planes of sensitivity analyses

Cost-effectiveness planes of sensitivity analyses

90x70mm (300 x 300 DPI)

Appendix 1: Inclusion and exclusion criteria

Inclusion criteria:

A participant was included if the CYP:

- Was aged between 7 and 15 years at the time of randomisation of the school.
- Attended a mainstream school in years two to 10.
- The CYP and parent/guardian had a sufficient understanding of English to be able to provide informed assent/informed consent (as appropriate) and read the LEGO®-based therapy instructions.
- Had an ASD clinical diagnosis from a qualified assessing clinician or team [based on best-practice guidance leading to an ICD-10 or DSM-5 diagnosis as reported by the CYP's parent/guardian and in the CYP's school records (this may have included the school's special educational needs (SEN) register, an individual education plan (IEP), individual health care plan, my support plan (MSPs), education health care plans (EHCPs), individual learning plans (ILP's) or equivalent).
- Had the ability to follow and understand simple instructions (as determined by the associated teacher/TA or parent/guardian).
- Scored 15 or higher on the Social Communication Questionnaire.

A school was included if:

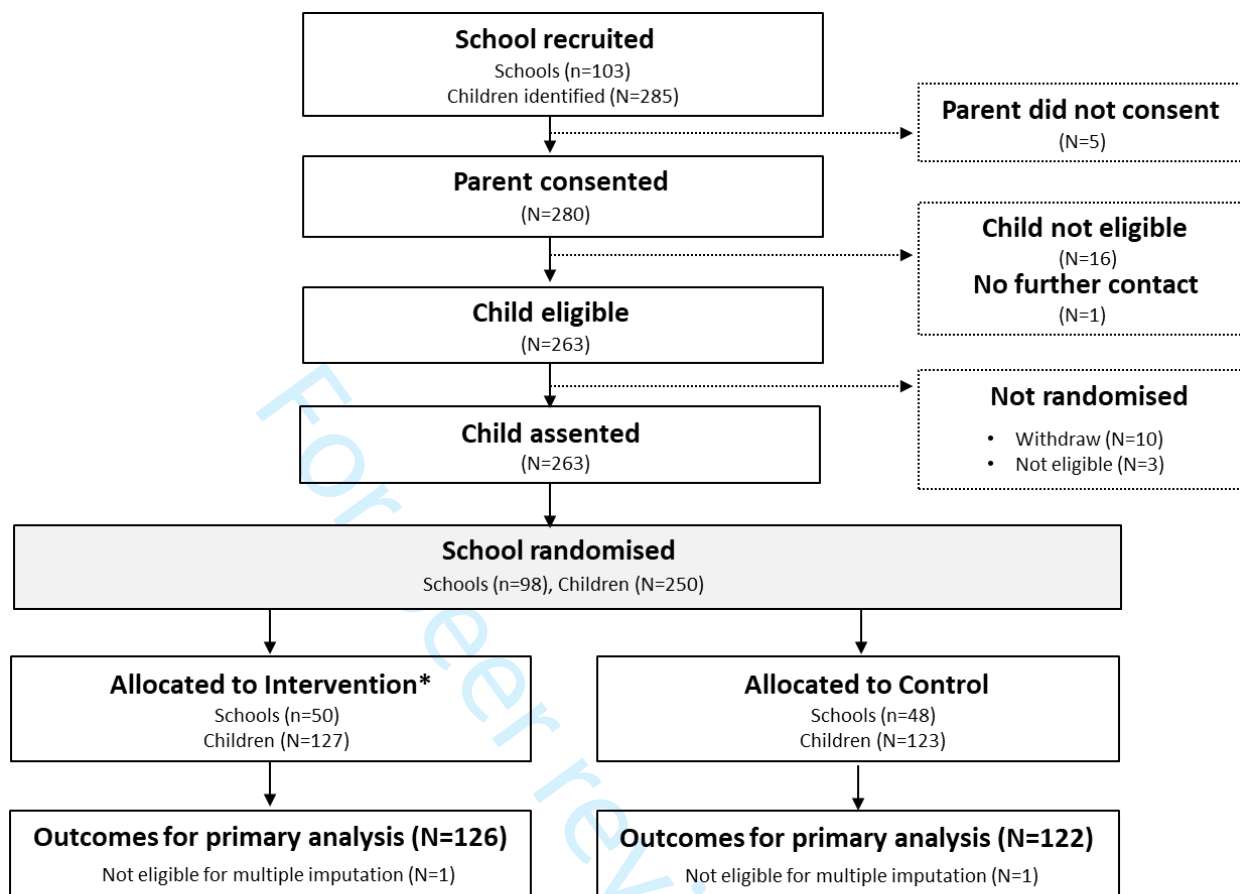
- It was a mainstream school located in Leeds, York, Sheffield or surrounding areas in the North of England. This excludes specialist and independent schools.
- It had not used LEGO®-based therapy with the CYP in the current or preceding school term. For research purposes, LEGO®-based therapy was defined as meeting all of the main fidelity checklist criteria.
- They had at least one CYP diagnosed with ASD (in line with CYP inclusion criteria above)

Exclusion criteria:

A participant (CYP) was not eligible to take part in the study if:

- They had physical impairments which would prevent them participating in the activities (as assessed by the associated teacher/TA).

Appendix 2: Flow diagram



*following ITT principles, school (n=1) children (N=3) allocated to control, but received intervention are included in the control arm

Appendix 3: Intervention costs by trial arm

	Total cost (£)	Cost per session per child (£)
Training costs		
Trainer fee	£4,262	£2.01
Refreshment costs	£10	£0.00
Consumable costs	£178	£0.08
Trainer's travel costs	£740	£0.35
Total	£5,685	£2.45
Intervention costs		
LEGO	£3,903	£1.84
Intervention	£4,027	£1.90
Additional help	£77.3	£0.04
Consumables	£580.5	£0.27
Total	£8,587.8	£4.05

Appendix 4: Average service use by trial arm (complete case, n=139)

		Baseline		0-20 weeks		20-52 weeks	
Unit		LEGO®-based therapy, N=80	Usual care, N=59	LEGO®-based therapy, N=80	Usual support, N=59	LEGO®-based therapy, N=80	Usual support, N=59
		Mean (sd)	Mean (sd)	Mean (sd)	Mean (sd)	Mean (sd)	Mean (sd)
NHS and PSS							
Community-based services							
CAMHS related	Session	0.70 (2.76)	0.31 (0.88)	0.23 (0.88)	0.36 (1.06)	0.63 (2.81)	0.54 (2.46)
Non-CAMHS related							
GP	Appointment	0.45 (0.97)	0.24 (0.50)	0.39 (1.02)	0.22 (0.49)	0.71 (1.41)	0.66 (0.96)
Allied health professionals	Appointment	0.44 (0.93)	0.68 (2.20)	0.43 (1.00)	0.34 (0.71)	0.78 (1.96)	0.69 (1.56)
Social care services	Appointment	0.49 (1.65)	0.47 (1.34)	0.26 (1.00)	0.39 (0.11)	0.99 (2.62)	0.80 (2.06)
Hospital-based services / acute services							
Emergency services	Visit	0.19 (0.80)	0.12 (0.46)	0.13 (0.43)	0.03 (0.26)	0.20 (0.60)	0.17 (0.62)
Inpatient stay							
Mental health related	Night	-	-	-	-	-	-
Non-mental health related	Night	0.01 (0.11)	-	0.01 (0.11)	-	-	-
Outpatient visit / day case							
Mental health related	Visit	0.06 (0.37)	0.10 (0.44)	0.08 (0.38)	0.07 (0.31)	0.05 (0.22)	0.29 (1.37)
Non-mental health related	Visit	0.31 (1.71)	0.17 (0.59)	0.19 (0.75)	0.24 (0.63)	0.09 (0.40)	0.24 (0.95)
Medication							
Mental health related	Type	0.36 (0.80)	0.20 (0.48)	0.43 (0.81)	0.27 (0.55)	0.48 (0.87)	0.32 (0.51)
Non-mental health related	Type	0.33 (0.62)	0.41 (0.93)	0.41 (0.90)	0.53 (0.33)	0.38 (0.79)	0.59 (1.23)
Education system related							

School-based health	Hour	2.60 (10.00)	2.17 (6.94)	1.40 (5.80)	3.12 (10.51)	8.80 (32.99)	2.17 (10.06)
General support*	Hour	8.54 (7.26)	7.93 (12.68)	7.48 (9.25)	9.37 (17.34)	18.94 (30.32)	27.32 (45.13)
Intervention support*	Hour	5.50 (10.50)	4.66 (6.74)	6.78 (8.28)	7.15 (11.03)	8.37 (7.97)	7.72 (10.56)
Private expenses – out of pocket							
Childcare	Session	3.05 (7.35)	4.10 (11.05)	4.14 (9.30)	5.98 (12.37)	5.86 (13.58)	12.29 (25.20)
Productivity							
Parental productivity	Day	0.45 (1.18)	0.31 (0.93)	0.45 (1.04)	0.52 (1.51)	0.58 (1.13)	0.59 (1.40)

CAMHS: Child and Adolescent Mental Health Services, including child psychiatrist, child psychotherapist, child psychologist, clinical psychologist, mental health nurse, family therapist, and Primary mental health worker (PMHW)

Allied health professionals included community nurse, community paediatrician, occupational therapist, physiotherapist, and Speech and Language therapist

Social care services included social care worker, home care worker, family support worker, drug and alcohol support worker, and Helpline (e.g. Samaritans)

Childcare included paid childcare, after school club, religious club, and special clubs for autism children

*based on 117 teacher-reported questionnaires (68 from I-socialise arm and 48 from usual care arm)

Appendix 5: EQ-5D-Y responses by trial arms by data collection time points

Usual support (n=59)	Baseline			20 Weeks			52 Weeks		
	Level 1	Level 2	Level 3	Level 1	Level 2	Level 3	Level 1	Level 2	Level 3
	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)
Mobility	52 (88.1)	7 (11.9)	-	46 (78.0)	13 (22.0)	-	46 (78.0)	13 (22.0)	-
Self-care	17 (28.8)	32 (54.2)	10 (17.0)	16 (27.1)	37 (62.7)	6 (10.2)	14 (23.7)	35 (59.3)	10 (17.0)
Usual activity	25 (42.4)	29 (49.1)	5 (8.5)	25 (42.4)	27 (45.8)	7 (11.8)	22 (37.3)	30 (50.8)	7 (11.9)
Pain/discomfort	45 (76.3)	13 (22.0)	1 (1.7)	37 (62.7)	22 (37.3)	-	38 (64.4)	21 (35.6)	-
Anxiety/depression	15 (25.4)	35 (59.3)	9 (15.3)	16 (27.1)	34 (57.6)	9 (15.3)	18 (30.5)	26 (44.1)	15 (25.4)
Lego-based therapy (n=80)	Baseline			20 Weeks			52 Weeks		
	Level 1	Level 2	Level 3	Level 1	Level 2	Level 3	Level 1	Level 2	Level 3
	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)
Mobility	66 (82.5)	11 (13.7)	3 (3.8)	61 (76.2)	16 (20.0)	3 (3.8)	64 (80.0)	13 (16.2)	3 (3.8)
Self-care	25 (31.3)	36 (45.0)	19 (23.7)	26 (32.5)	42 (52.5)	12 (15.0)	29 (36.3)	36 (45.0)	15 (18.7)
Usual activity	33 (41.2)	41 (51.2)	6 (7.5)	35 (43.7)	36 (45.0)	9 (11.3)	43 (53.7)	33 (41.3)	4 (5.0)
Pain/discomfort	64 (80.0)	14 (17.5)	2 (2.5)	63 (78.7)	13 (16.3)	4 (5.0)	59 (73.8)	19 (23.7)	2 (2.5)
Anxiety/depression	40 (50.0)	33 (41.3)	7 (8.7)	37 (46.2)	34 (42.5)	9 (11.3)	39 (48.7)	29 (36.3)	12 (15.0)

*Level 1: none, Level 2: some, Level 3: extreme

Appendix 6: CHU-9D responses by trial arms by data collection time points

	Usual support (n=45)					Baseline					20 weeks					52 weeks				
	Level 1	Level 2	Level 3	Level 4	Level 5	Level 1	Level 2	Level 3	Level 4	Level 5	Level 1	Level 2	Level 3	Level 4	Level 5					
Worried	20 (44.4)	16 (35.6)	7 (15.6)	-	2 (4.4)	22 (48.9)	12 (26.7)	5 (11.1)	3 (6.7)	3 (6.7)	26 (57.8)	13 (28.9)	1 (2.2)	3 (6.7)	2 (4.4)					
Sad	32 (71.1)	8 (17.8)	2 (4.4)	2 (4.4)	1 (2.2)	29 (64.4)	6 (13.3)	5 (11.1)	4 (8.9)	1 (2.2)	34 (75.6)	4 (8.9)	2 (4.4)	1 (2.2)	4 (8.9)					
Annoyed	27 (60.0)	13 (28.9)	4 (8.9)	-	1 (2.2)	27 (60.0)	10 (22.2)	5 (11.1)	-	3 (6.7)	27 (60.0)	10 (22.2)	5 (11.1)	2 (4.4)	1 (2.2)					
Tired	9 (20.0)	15 (33.3)	8 (17.8)	5 (11.1)	8 (17.8)	12 (26.7)	13 (28.9)	9 (20.0)	5 (11.1)	6 (13.3)	10 (22.2)	16 (35.6)	6 (13.3)	6 (13.3)	7 (15.6)					
Pain	28 (62.2)	6 (13.3)	6 (13.3)	3 (6.7)	2 (4.4)	21 (46.7)	11 (24.4)	4 (8.9)	2 (4.4)	7 (15.6)	24 (53.3)	12 (26.7)	7 (15.6)	-	2 (4.4)					
Sleep	21 (46.7)	12 (26.7)	5 (11.1)	3 (6.7)	4 (8.9)	20 (44.4)	3 (6.7)	7 (15.6)	9 (20.0)	6 (13.3)	17 (37.8)	16 (35.6)	4 (8.9)	4 (8.9)	4 (8.9)					
Daily routine	23 (51.1)	12 (26.7)	4 (8.9)	3 (6.7)	3 (6.7)	19 (42.2)	11 (24.4)	7 (15.6)	4 (8.9)	4 (8.9)	24 (53.3)	10 (22.2)	5 (11.1)	3 (6.7)	3 (6.7)					
Work	27 (60.0)	15 (33.3)	2 (4.4)	1 (2.2)	-	23 (51.1)	11 (24.4)	7 (15.6)	1 (2.2)	3 (6.7)	28 (62.2)	7 (15.6)	7 (15.6)	1 (2.2)	2 (4.4)					
Able to join activities	23 (51.1)	6 (13.3)	10 (22.2)	3 (6.7)	3 (6.7)	10 (22.2)	14 (31.1)	10 (22.2)	9 (20.0)	2 (4.4)	20 (44.4)	10 (22.2)	10 (22.2)	1 (2.2)	4 (8.9)					
	Lego-based therapy (n=51)					Baseline					20 weeks					52 weeks				
	Level 1	Level 2	Level 3	Level 4	Level 5	Level 1	Level 2	Level 3	Level 4	Level 5	Level 1	Level 2	Level 3	Level 4	Level 5					
Worried	34 (66.7)	8 (15.7)	3 (5.9)	2 (3.9)	4 (7.8)	33 (64.7)	6 (11.8)	7 (13.7)	1 (2.0)	4 (7.8)	34 (66.7)	7 (13.7)	6 (11.8)	3 (5.9)	1 (2.0)					
Sad	40 (78.4)	5 (9.8)	2 (3.9)	1 (2.0)	3 (5.9)	37 (72.5)	5 (9.8)	5 (9.8)	1 (2.0)	3 (5.9)	36 (70.6)	6 (11.8)	6 (11.8)	2 (3.9)	1 (2.0)					
Annoyed	37 (72.5)	7 (13.7)	4 (7.8)	2 (3.9)	1 (2.0)	34 (66.7)	10 (19.6)	5 (9.8)	-	2 (3.9)	37 (72.5)	8 (15.7)	3 (5.9)	1 (2.0)	2 (3.9)					
Tired	14 (27.5)	19 (37.3)	5 (9.8)	3 (5.9)	10 (19.6)	17 (33.3)	19 (37.3)	4 (7.8)	7 (13.7)	4 (7.8)	13 (25.5)	19 (37.3)	6 (11.8)	4 (7.8)	9 (17.6)					
Pain	33 (64.7)	9 (17.6)	3 (5.9)	1 (2.0)	5 (9.8)	28 (54.9)	9 (17.6)	7 (13.7)	3 (5.9)	4 (7.8)	27 (52.9)	13 (25.5)	6 (11.8)	3 (5.9)	2 (3.9)					
Sleep	26 (51.0)	10 (19.6)	6 (11.8)	3 (5.9)	6 (11.8)	23 (45.1)	8 (15.7)	8 (15.7)	4 (7.8)	8 (15.7)	20 (39.2)	11 (21.6)	11 (21.6)	3 (5.9)	6 (11.8)					
Daily routine	29 (56.9)	8 (15.7)	4 (7.8)	4 (7.8)	6 (11.8)	24 (47.1)	15 (29.4)	7 (13.7)	3 (5.9)	2 (3.9)	27 (52.9)	12 (23.5)	8 (15.7)	3 (5.9)	1 (2.0)					
Work	34 (66.7)	7 (13.7)	6 (11.8)	1 (2.0)	3 (5.9)	33 (64.7)	10 (19.6)	5 (9.8)	3 (5.9)	-	32 (62.7)	11 (21.6)	4 (7.8)	2 (3.9)	2 (3.9)					
Able to join activities	30 (58.8)	6 (11.8)	5 (9.8)	4 (7.8)	6 (11.8)	24 (47.1)	8 (15.7)	6 (11.8)	8 (15.7)	5 (9.8)	20 (39.2)	10 (19.6)	7 (13.7)	8 (15.7)	6 (11.8)					

* Level 1: No, Level 2: A little bit, Level 3: A bit, Level 4: Quite a lot, Level 5 Very

Appendix 7: Sensitivity analyses

LEGO®-based therapy vs. usual support	Incremental costs (£), (95% CI)	Incremental QALYs (95% CI)	ICER (£/QALY gained), (95% CI)
Scenario 1: Complete case analysis from NHS perspective	-1,280 (-4,578 to 2,081)	0.011 (-0.017 to 0.040)	Dominant
Scenario 2: CUA from NHS and education perspective	-511 (-1,452 to 392)	0.009 (-0.008 to 0.028)	Dominant
Scenario 3: CUA from societal perspective	-376 (-1,377 to 595)	0.009 (-0.008, 0.028)	Dominant
Scenario 4: Assume outcomes were measured using CHU-9D	-246 (-719 to 246)	0.029 (0.009 to 0.049)	Dominant

CHEERS Checklist

Items to include when reporting economic evaluations of health interventions

The ISPOR CHEERS Task Force Report, Consolidated Health Economic Evaluation Reporting Standards (CHEERS)—Explanation and Elaboration: A Report of the ISPOR Health Economic Evaluations Publication Guidelines Good Reporting Practices Task Force, provides examples and further discussion of the 24-item CHEERS Checklist and the CHEERS Statement. It may be accessed via the Value in Health or via the ISPOR Health Economic Evaluation Publication Guidelines – CHEERS: Good Reporting Practices webpage:

<http://www.ispor.org/TaskForces/EconomicPubGuidelines.asp>

Section/item	Item No	Recommendation	Reported on page No/ line No
Title and abstract			
Title	1	Identify the study as an economic evaluation or use more specific terms such as “cost-effectiveness analysis”, and describe the interventions compared.	Page 1
Abstract	2	Provide a structured summary of objectives, perspective, setting, methods (including study design and inputs), results (including base case and uncertainty analyses), and conclusions.	Page 2
Introduction			
Background and objectives	3	Provide an explicit statement of the broader context for the study. Present the study question and its relevance for health policy or practice decisions.	Page 4, Section 1
Methods			
Target population and subgroups	4	Describe characteristics of the base case population and subgroups analysed, including why they were chosen.	Section 2.1
Setting and location	5	State relevant aspects of the system(s) in which the decision(s) need(s) to be made.	Section 2.1
Study perspective	6	Describe the perspective of the study and relate this to the costs being evaluated.	Section 2.4
Comparators	7	Describe the interventions or strategies being compared and state why they were chosen.	Section 2.1
Time horizon	8	State the time horizon(s) over which costs and consequences are being evaluated and say why	Section 2.4

		appropriate.	
Discount rate	9	Report the choice of discount rate(s) used for costs and outcomes and say why appropriate.	Section 2.4
Choice of health outcomes	10	Describe what outcomes were used as the measure(s) of benefit in the evaluation and their relevance for the type of analysis performed.	Sections 2.3 and 2.4
Measurement of effectiveness	11a	Single study-based estimates: Describe fully the design features of the single effectiveness study and why the single study was a sufficient source of clinical effectiveness data.	Section 2.1
	11b	Synthesis-based estimates: Describe fully the methods used for identification of included studies and synthesis of clinical effectiveness data.	NA
Measurement and valuation of preference based outcomes	12	If applicable, describe the population and methods used to elicit preferences for outcomes.	Section 2.3
Estimating resources and costs	13a	<i>Single study-based economic evaluation:</i> Describe approaches used to estimate resource use associated with the alternative interventions. Describe primary or secondary research methods for valuing each resource item in terms of its unit cost. Describe any adjustments made to approximate to opportunity costs.	Section 2.4
	13b	<i>Model-based economic evaluation:</i> Describe approaches and data sources used to estimate resource use associated with model health states. Describe primary or secondary research methods for valuing each resource item in terms of its unit cost. Describe any adjustments made to approximate to opportunity costs.	NA
Currency, price date, and conversion	14	Report the dates of the estimated resource quantities and unit costs. Describe methods for adjusting estimated unit costs to the year of reported costs if necessary. Describe methods for converting costs into a common currency base and the exchange rate.	Section 2.4
Choice of model	15	Describe and give reasons for the specific type of decision-analytical model used. Providing a figure to show model structure is strongly recommended.	NA

Assumptions	16	Describe all structural or other assumptions underpinning the decision-analytical model.	NA
Analytical methods	17	Describe all analytical methods supporting the evaluation. This could include methods for dealing with skewed, missing, or censored data; extrapolation methods; methods for pooling data; approaches to validate or make adjustments (such as half cycle corrections) to a model; and methods for handling population heterogeneity and uncertainty.	Sections 2.5 and 2.6
Results			
Study parameters	18	Report the values, ranges, references, and, if used, probability distributions for all parameters. Report reasons or sources for distributions used to represent uncertainty where appropriate. Providing a table to show the input values is strongly recommended.	NA
Incremental costs and outcomes	19	For each intervention, report mean values for the main categories of estimated costs and outcomes of interest, as well as mean differences between the comparator groups. If applicable, report incremental cost-effectiveness ratios.	Sections 3.2, 3.3 and 3.4
Characterising uncertainty	20a	<i>Single study-based economic evaluation:</i> Describe the effects of sampling uncertainty for the estimated incremental cost and incremental effectiveness parameters, together with the impact of methodological assumptions (such as discount rate, study perspective).	Section 3.4 and 3.5
	20b	<i>Model-based economic evaluation:</i> Describe the effects on the results of uncertainty for all input parameters, and uncertainty related to the structure of the model and assumptions.	NA
Characterising heterogeneity	21	If applicable, report differences in costs, outcomes, or cost-effectiveness that can be explained by variations between subgroups of patients with different baseline characteristics or other observed variability in effects that are not reducible by more information.	NA
Discussion			
Study findings, limitations,	22	Summarise key study findings and describe how they support the conclusions reached. Discuss limitations	Section 4

generalisability, and current knowledge		and the generalisability of the findings and how the findings fit with current knowledge.	
Other			
Source of funding	23	Describe how the study was funded and the role of the funder in the identification, design, conduct, and reporting of the analysis. Describe other non-monetary sources of support.	Page 17
Conflicts of interest	24	Describe any potential for conflict of interest of study contributors in accordance with journal policy. In the absence of a journal policy, we recommend authors comply with International Committee of Medical Journal Editors recommendations.	Page 17

For consistency, the CHEERS Statement checklist format is based on the format of the CONSORT statement checklist

The ISPOR CHEERS Task Force Report provides examples and further discussion of the 24-item CHEERS Checklist and the CHEERS Statement. It may be accessed via the Value in Health link or via the ISPOR Health Economic Evaluation Publication Guidelines – CHEERS: Good Reporting Practices webpage:

<http://www.ispor.org/TaskForces/EconomicPubGuidelines.asp>

The citation for the CHEERS Task Force Report is:

Husereau D, Drummond M, Petrou S, et al. Consolidated health economic evaluation reporting standards (CHEERS)—Explanation and elaboration: A report of the ISPOR health economic evaluations publication guidelines good reporting practices task force. *Value Health* 2013;16:231-50.