



BMJ Open is committed to open peer review. As part of this commitment we make the peer review history of every article we publish publicly available.

When an article is published we post the peer reviewers' comments and the authors' responses online. We also post the versions of the paper that were used during peer review. These are the versions that the peer review comments apply to.

The versions of the paper that follow are the versions that were submitted during the peer review process. They are not the versions of record or the final published versions. They should not be cited or distributed as the published version of this manuscript.

BMJ Open is an open access journal and the full, final, typeset and author-corrected version of record of the manuscript is available on our site with no access controls, subscription charges or pay-per-view fees (<http://bmjopen.bmj.com>).

If you have any questions on BMJ Open's open peer review process please email info.bmjopen@bmj.com

BMJ Open

Use of music to enhance sleep and psychological outcomes in critically ill patients: a protocol for systematic review and meta-analysis

Journal:	<i>BMJ Open</i>
Manuscript ID	bmjopen-2020-037561
Article Type:	Protocol
Date Submitted by the Author:	07-Feb-2020
Complete List of Authors:	Chen, Lixia; Affiliated Zhongshan Hospital of Dalian University, Li, Jianhua; Affiliated Zhongshan Hospital of Dalian University Cui, Li; Affiliated Zhongshan Hospital of Dalian University Liu, Xiaoli; Peking University People's Hospital Niu, Qinyan; Affiliated Zhongshan Hospital of Dalian University Qu, Siqi; Affiliated Zhongshan Hospital of Dalian University Ji, Daihong; Affiliated Zhongshan Hospital of Dalian University
Keywords:	Adult intensive & critical care < ANAESTHETICS, Sleep medicine < ANAESTHETICS, Adult intensive & critical care < INTENSIVE & CRITICAL CARE

SCHOLARONE™
Manuscripts



I, the Submitting Author has the right to grant and does grant on behalf of all authors of the Work (as defined in the below author licence), an exclusive licence and/or a non-exclusive licence for contributions from authors who are: i) UK Crown employees; ii) where BMJ has agreed a CC-BY licence shall apply, and/or iii) in accordance with the terms applicable for US Federal Government officers or employees acting as part of their official duties; on a worldwide, perpetual, irrevocable, royalty-free basis to BMJ Publishing Group Ltd ("BMJ") its licensees and where the relevant Journal is co-owned by BMJ to the co-owners of the Journal, to publish the Work in this journal and any other BMJ products and to exploit all rights, as set out in our [licence](#).

The Submitting Author accepts and understands that any supply made under these terms is made by BMJ to the Submitting Author unless you are acting as an employee on behalf of your employer or a postgraduate student of an affiliated institution which is paying any applicable article publishing charge ("APC") for Open Access articles. Where the Submitting Author wishes to make the Work available on an Open Access basis (and intends to pay the relevant APC), the terms of reuse of such Open Access shall be governed by a Creative Commons licence – details of these licences and which [Creative Commons](#) licence will apply to this Work are set out in our licence referred to above.

Other than as permitted in any relevant BMJ Author's Self Archiving Policies, I confirm this Work has not been accepted for publication elsewhere, is not being considered for publication elsewhere and does not duplicate material already published. I confirm all authors consent to publication of this Work and authorise the granting of this licence.

Use of music to enhance sleep and psychological outcomes in critically ill patients: a protocol for systematic review and meta-analysis

Lixia Chen, Jianhua Li, Li Cui, Xiaoli Liu, Qinyan Niu, Siqi Qu, Daihong Ji*

Author affiliations

Lixia Chen, Affiliated Zhongshan hospital of Dalian University, Nurse

Jianhua Li, Affiliated Zhongshan hospital of Dalian University, Professor of nursing

Li Cui, Affiliated Zhongshan hospital of Dalian University, Professor of nursing

Xiaoli Liu, Peking University People's Hospital, Nurse

Qinyan Niu, Dalian University, Student

Siqi Qu, Dalian University, Student

Daihong Ji, Affiliated Zhongshan hospital of Dalian University, Professor of nursing

Correspondence to Daihong Ji:

e-mail: yourfriend.123@163.com

Contributors

LX was responsible for drafting the article or revising it critically for important intellectual content. JH and CL conducted final approval of the version to be submitted. DH, SQ and QY were responsible for the conception and design of the study, XL supervised the work. All authors approved the final version of the article.

Funding

This work was funded by the Department of Science and Technology of Liaoning Province (No. 20180550221)

Competing interests None declared

Provenance and peer review Not commissioned; externally peer reviewed

Exclusive Licence

I, the Submitting Author has the right to grant and does grant on behalf of all authors of the Work (as defined in the below author licence), an exclusive licence and/or a non-exclusive licence for contributions from authors who are: i) UK Crown employees; ii) where BMJ has agreed a CC-BY licence shall apply, and/or iii) in accordance with the terms applicable for US Federal Government officers or employees acting as part of their official duties; on a worldwide, perpetual, irrevocable, royalty-free basis to BMJ Publishing Group Ltd ("BMJ") its licensees and where the relevant Journal is co-owned by BMJ to the co-owners of the Journal, to publish the Work in BMJ Open and any other BMJ products and to exploit all rights, as set out in our licence.

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Abstract

Introduction Music as a non-pharmacological intervention, is widely used in various populations with positive results, yet the evidence for music on sleep and psychological outcomes in critically ill patients is less clear. It is essential to better understand the impact of music listening for critically ill patients to optimise care and minimise risk of harm. We will assess whether music listening improves sleep and psychological outcomes in critically ill patients.

Methods and analysis We will systematically search scientific databases: PubMed, Embase, CINAHL, PsycINFO, Web of Science, Scopus, ProQuest, the Cochrane Central Register of Controlled Trials, China Biological Medicine Database, China National Knowledge Infrastructure Library, Wan fang databases, VIP Database for Chinese Technical Periodicals, and the Chinese Clinical Trial Registry. All databases will be searched for articles published from their start date to January 2020. Music therapy journals and reference lists in some articles will be hand-searched. Gray literature will also be searched. We will include randomized and quasi-randomized controlled trials of music listening to improve sleep and psychological outcomes in critically ill patients. The primary outcomes will be sleep-related outcomes, the secondary outcomes will be anxiety score, depression score and physiological outcomes. Two reviewers will independently verify study eligibility and methodological quality; disagreements will be resolved by a third reviewer or discussion. The risk of bias will be independently determined using the Cochrane Risk of Bias Tool. Data will be extracted from eligible studies by two researchers. RevMan (version 5.3) will be used for meta-analysis. Additionally, the CONSORT checklist will be used to examine the quality of the papers.

Ethics and Dissemination This work will review existing trial data and will not introduce new patient data or interventions, ethics committee approval is not required. We will disseminate this protocol in a related peer-reviewed journal.

PROSPERO Registration Number CRD42019147202

Strengths and limitations of this study

This research identify the effectiveness of music listening for sleep, anxiety, and depression in critically ill patients. A comprehensive search strategy will be used in a large number of databases to maximise the identification of all eligible studies.

Primary screening of the studies, data collection and validation, methodological quality assessment, data extraction and analysis will be performed independently by two researchers with extensive experience in systematic review methodology, to minimise the probability of personal biases.

In this study, the investigators will confine the analysis to articles published in Chinese or English, French, German, Spanish, etc will not be searched or included. This limitation may cause language bias.

For peer review only

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

INTRODUCTION

Rationale

Sleep disturbance is a frequent problem among patients in intensive care units (ICUs)¹. Sleep quality and quantity² are negatively affected by the ICU environment (e.g., noise, lights), patient-care activities, symptoms of the patient’s underlying illness, and by mechanical ventilation^{3 4}. It is characterized by prolonged sleep onset latency, short sleep duration, frequent awakenings, non-restorative sleep, and decreased sleep efficiency (percentage of time in bed spent asleep). Sleep disturbance has been associated with numerous adverse consequences in critically ill patients, including impairments in immune function, memory, wound healing, and inspiratory muscle endurance; higher rates of delirium; and increased overall morbidity and mortality⁵.

An increase in psychological problems, such as anxiety and depression, has also been found in critically ill patients^{6 7}. One study estimated that 70%–80% of critically ill patients suffer anxiety related to fear, sleeplessness, pain, discomfort, thirst, and disease-related symptoms; patients on assisted ventilation were especially prone to anxiety because of their need for frequent suctioning, inability to breath independently or talk, and general discomfort. It has also been found that one-half of patients experienced a high level of depression during the ICU stay⁸. In turn, unmanaged anxiety and depression have been associated with harmful effects on disease recovery and overall well-being: prolonged weaning from ventilation and recovery time⁹, increased work of breathing, fatigue¹⁰, and acute elevations in blood pressure¹¹, increase the depression incidence in ICU survivors¹². Wewalka found that pre-existing depressive mood at the time of ICU admission was an independent risk factor for 28-day mortality in medical ICU patients¹³.

Sufficient evidence showed that sleep disturbance, anxiety and depression were detrimental to their disease recovery and psychological well-being. Research has also reported the interplay of sleep disturbance, anxiety, and depression¹⁴. Anxiety and depression are both risk factors for sleep disturbance, and disturbed sleep pattern in turn increases emotional distress, leading to higher levels of anxiety and depression^{15 16}. Therefore, nurses as key staffs in the ICU, need to provide effective interventions to address all of these.

Pharmacological and non-pharmacological interventions are proposed to manage sleep and psychological distress in the ICU. Pharmacological therapy is generally the first line of treatment^{17 18}. However, pharmacological therapy itself has been associated with numerous adverse effects and complications, including memory loss, prolongation of mechanical ventilation, altered sleep stages, longer length of hospitalization, tolerance, bradycardia, hypotension, residual daytime effects, dysmotility, weakness, and delirium^{19 20}. Additionally, the medications used are expensive. To avoid

1
2
3 133 this, a number of researchers have developed alternative, non-pharmacological therapies to improve
4
5 134 sleep, anxiety, and depression in ICU patients, with positive results²¹⁻²³.

6
7 135 The use of music is one such non-pharmacological intervention adopted by nurses. As far back
8
9 136 as the early 1800s, Florence Nightingale²⁴ described the importance of music and its healing effect
10
11 137 on patients. Music therapy and music listening are common form of music intervention, it is very
12
13 138 important to distinguish them. According to some studies, music therapy is normally implemented by
14
15 139 trained music therapists. Music listening is defined as listening to recorded music via any form of
16
17 140 music device, or live music, without interaction with a music therapist, as a form of music
18
19 141 intervention, it is provided by medical or healthcare professionals or is self-administered by the
20
21 142 patient^{25 26}. More recently, music listening has been widely used in various diseases, such as
22
23 143 Parkinson disease²⁷, Alzheimer disease²⁸, and cancer²⁹, to assuage emotional, physiological, and
24
25 144 psychological symptoms. A growing number of studies, in adults of all ages, have demonstrated the
26
27 145 positive effects of music listening on anxiety, depression, stress, and pain³⁰⁻³², in various medical and
28
29 146 surgical conditions. Repeated studies have specifically reported music listening improved sleep in
30
31 147 critically ill patients^{23 33 34}. Furthermore, music listening is inexpensive, relatively easy to carry out,
32
33 148 and safe compared with pharmacological intervention³⁵, benefits that are favourably received by
34
35 149 patients. Thus, music listening is a potentially viable alternative treatment option.

36
37 150 Clinical trials have provided support for the effectiveness of music therapy in the healthcare
38
39 151 setting, psychophysiological theory³⁶ also provides clues to its mechanism of action. Earlier authors
40
41 152 described that sleep improvement is mediated by the relaxing, distracting effect of ‘soothing’
42
43 153 music³⁷. Music with a slow tempo of 60–80 beats per minute mirrors the heart rate and reduces
44
45 154 neuroendocrine and sympathetic nervous system activity, resulting in relaxation. Further, the
46
47 155 peaceful atmosphere created by soothing music in the ICU setting is a mood enhancer, reducing
48
49 156 anxiety and depression, and lowering treatment-related stress. Elsewhere, other authors described the
50
51 157 effect of music in modulating mood and emotions at the cortical level, through stimulation of self-
52
53 158 image and intellect³⁸. Clinical trials have also shown that listening to music reduced anxiety and
54
55 159 stress responses—which can lead to greater relaxation and improvement of sleep^{39 40}.

56
57 160 Research on the impact of music listening for ICU patients has evolved during the past 20 years,
58
59 161 several researchers studied the effects of music listening on sleep and psychological outcomes in
60
61 162 critically ill patients. For example, recent studies reporting that music listening may improve stress,
62
63 163 anxiety^{7 41}, depression⁴² and sleep^{43 44} in ICU patients. The intervention involves different types of
64
65 164 music to improve sleep and psychological symptoms, such as low volume, nature sounds, soothing
66
67 165 music, Mozart piano, etc. Music listening can be provided by specific tools (e.g. Mp3 or earphones
68
69 166 or loudspeaker). The choice of music may be determined by the researcher or by participants

1
2
3 167 themselves. The duration, frequency, and timing of music exposure has also varied among studies.
4
5 168 Although clinical trials have been performed to investigate the effects of music listening on sleep and
6
7 169 psychological outcomes, their safety and efficacy for critically ill patients have not been established.
8
9 170 Most of these studies have suffered from small sample size^{34 45}, making it nearly impossible to
10 171 achieve statistically significant results. The impact of the music listening may differ due to the
11
12 172 different design of the intervention (study design, methods of intervention, and types of music). One
13
14 173 systematic review have assessed the efficacy of music interventions for reducing anxiety in
15 174 mechanically ventilated patients²⁶, the author only included mechanically ventilated patients. A
16
17 175 recent systematic review also evaluated the effectiveness of music therapy to reduce stress and
18
19 176 anxiety in critically ill patients⁴⁶. In 2015, another review reported that music therapy appeared to be
20
21 177 safe to improve sleep but did not do a meta-analysis, and further randomized controlled trials were
22 178 required to assess efficacy⁴⁷. The 2018 Pain, Agitation/ sedation, Delirium, Immobility
23
24 179 (rehabilitation/mobilization), and Sleep (disruption) (PADIS) guideline also suggest no high quality
25
26 180 evidence to prove that music could improve sleep in critically ill adults¹.

27 181 Until now, despite the large number of relevant studies, music listening has not been
28
29 182 implemented as a therapeutic intervention in everyday critical care because information about
30
31 183 effectiveness has not been synthesised and disseminated universally. So, we assess effectiveness of
32
33 184 music listening in improvement of sleep, anxiety and depression in critically ill patients, and
34 185 investigate relevant subgroups (timing of intervention, type of intervention, severity of disease,
35
36 186 mechanical ventilation status, and study site).

37
38 187
39 188 **OBJECTIVES**

40
41 189 This systematic review and meta-analysis aim to integrate the scientific research on the use of music
42
43 190 listening to promote sleep, anxiety, and depression for critically ill patients in ICU. We attempt to
44
45 191 answer the following research questions:

- 46 192 1. What are the effects of music listening on sleep quality and quantity in critically ill patients?
47
48 193 2. What are the effects of music listening on anxiety, depression and physiological outcomes in
49
50 194 critically ill patients?

51
52 195 **METHODS AND ANALYSIS**

53 196 This is a quantitative systematic review protocol. We will follow the Preferred Reporting Items for
54
55 197 Systematic Review and Meta-Analysis Protocols (PRISMA-P) guidelines to complete and report the
56
57 198 study protocol⁴⁸. This systematic review protocol has been registered in PROSPERO (PROSPERO
58
59 199 Registration Number CRD 42019147202)

60 200 **Inclusion/Exclusion criteria**

Types of participants

Studies will be selected for inclusion if their subjects meet the criteria:

- adult patient in the ICU,
- non-sedated,
- ventilated or non-ventilated,
- Glasgow Coma Scale score ≥ 14 ,
- admittance to the ICU ≥ 24 hours.

We will exclude studies whose subjects were:

- diagnosed with / had overt signs or symptoms of obstructive sleep apnoea or hearing damage,
- terminally ill or in palliative care,
- diagnosed with dementia or neurologic disease.

Types of intervention and comparison

We will include any study of improved sleep or psychological outcomes in which music listening and standard care with standard care alone, or standard care with other interventions in critically ill patients.

Types of outcome measures

At least one of the following outcomes must have been reported in the study:

Primary outcomes

- Sleep outcomes
 1. Sleep quality
 2. Sleep onset latency
 3. Total sleep time
 4. Number of awakenings
 5. Sleep efficiency (percent of time in bed spent asleep)

Sleep outcomes are measured using a variety of methods. Subjective perception of sleep is measured through validated self-report tools, including the Richards–Campbell Sleep Questionnaire (RCSQ)⁴⁹, Pittsburgh Sleep Quality Index (PSQI)⁵⁰, and the Verran and Synder-Halpern (VSH) Sleep Scale⁵¹; objective measurement of sleep is done with polysomnography, actigraphy, bispectral index (BIS) monitoring, or electroencephalography (EEG).

Secondary outcomes

- Psychological outcomes
 1. Anxiety

2. Depression

We will include trials that measured psychological outcomes using standardized questionnaires with established reliability and validity, including Hospital-based Anxiety and Depression Scales⁵², the Visual Analogue Scale for Anxiety (VAS-A)⁵³, the Spielberger State-Trait anxiety Inventory⁵⁴, and the Beck Anxiety Inventory⁵⁵.

- Physiological outcomes (heart rate, blood pressure, respiratory rate)

Types of study designs

We will include any interventional study, including randomized and quasi-randomized controlled trials.

Data source and search strategy

To identify eligible studies, we will search electronic databases, including: PubMed, Embase, CINAHL, PsycINFO, Web of Science, Scopus, ProQuest, the Cochrane Central Register of Controlled Trials, China Biological Medicine Database, China National Knowledge Infrastructure Library, Wang fang databases, VIP Database for Chinese Technical Periodicals, the Chinese Clinical Trial Registry. The databases will be searched from their start date to October 2019. Additionally, we will hand-search music therapy journals and the reference lists in some articles, as well as gray literature.

A health sciences librarian will design the search. Table 1 shows the search strategy, employing both keywords and Medical Subject Heading (MeSH) terms, that will be used to search PUBMED; this will be adapted for the other databases.

Selection of studies

All articles retrieved through the search of the selected databases will be imported to Endnote, from which duplicate references will be removed. Two members of the research team (SQ and QY) will independently review the title/abstract of each article to verify each study meets the inclusion criteria; if a title or abstract is unclear, the two researchers will review the full article. Disagreements will be resolved by a third researcher (LX) or through discussion until consensus is reached. The reason for all exclusions will be recorded.

Data collection and validation

Two researchers (JH and CL) will independently extract data from the included studies, using the Cochrane Collaboration Data Collection Form⁵⁶. In the event of questions or missing data in the original text, the researchers will contact the author to obtain the relevant data. The results of data extraction will be compared to exclude any differences, and any disagreement will be resolved by a third researcher (LX) or through discussion and consensus.

From all included studies, we will collect the following data:

1
2
3 267 1. Research and publication information, including the title, journal (volume, page number) or if
4 unpublished, the author, year of publication, country, and setting, the language of publication, and
5 268 funding sources;
6
7 269

8 270 2. Study design, including the type of design, inclusion criteria, exclusion criteria,
9 randomization method (including concealment and blinding), and losses to follow up;
10 271
11

12 272 3. Characteristics of the subjects, including total sample size, number of participants in the
13 intervention and control groups, gender, age, diagnosis, disease severity (Acute Physiology and
14 273 Chronic Health Evaluation [APACHE] II scores), comorbidities, and mechanical ventilation status;
15 274
16

17 275 4. Intervention details, including type of music, control of music selection (by participant or
18 researcher), the frequency, duration, and timing of music listening, and the format/devices used (e.g.,
19 276 headphone, loudspeaker); and
20
21 277

22 278 5. Outcomes, including the methods of assessment of sleep, anxiety, and depression, pre- and
23 post-test means or change scores, and standard deviations.
24 279
25

26 280 **Methodological quality assessment**

27 281 The risk of bias and quality of the included studies will be evaluated using the Cochrane
28 Collaboration's Risk for Bias tool⁵⁷, which evaluates seven sources of study bias: 1) random
29 282 sequence generation, 2) allocation concealment, 3) performance bias, 4) detection bias, 5) incomplete
30 outcome data, 6) selective reporting, and 7) other bias. Two researchers (LX and XL) will
31 283 independently grade each element as 'low risk', 'high risk', or 'unclear risk'⁵⁸. Inconsistencies will
32 be resolved through discussion and consensus, or by a third researcher (DH). For quality assessment
33 284 of quasi-randomized controlled trials, an appropriate assessment will be completed.
34 285
35
36 286
37
38 287

39 288 **Data synthesis and analysis**

40
41 289 A meta-analysis will be conducted once there are a sufficient number of studies showing
42 homogeneity. The statistical analysis will be performed using RevMan 5.3.5 software. Continuous
43 290 data will be expressed with the odds ratio (OR) and its 95% confidence interval (CI). The level of
44 heterogeneity of the included studies will be determined with the I² statistic and P value⁵⁹. If P>0.1
45 291 and I²<50%, suggesting no statistical heterogeneity, a meta-analysis will be performed using a fixed-
46 292 effects model; if I²>50%, a random-effects model will be used to analyse the clinical heterogeneity.
47 Subgroup analysis will be performed by: timing of intervention, type of intervention, severity of
48 293 disease (APACHE II score <25, 25–35, >35), mechanical ventilation status (ventilated patients
49 versus non-ventilated patients), and study site (surgical ICU patients versus medical ICU patients).
50 294 Sensitivity analysis will be used to determine the stability of the results, and Egger's regression test
51 and funnel plots will be used to assess potential publication bias. If data pooling is not possible,
52 295
53 296
54
55 297
56
57 298
58 299
59
60

quantitative data will be presented in a narrative review of the study primary and secondary outcomes, using thematic summaries and tables.

Validity and reliability /Rigour

The study protocol will use systematic review and meta-analytic methods, following the Cochrane Collaboration recommendations for performing a systematic review. The results will be reported according to the PRISMA-P guidelines⁶⁰. Additionally, the CONSORT checklist will be used to examine the quality of the papers.

DISCUSSION

This paper presents the protocol for a systematic review of the literature examining the effects of music listening on sleep and psychological outcomes in critically ill patients. The study was undertaken to answer questions about the effectiveness of music listening in this population and to our knowledge, is the first to analyse the body of Chinese and English research on this topic. Properly powered, intervention studies provide strong evidence, so this meta-analysis of the existing evidence will permit conclusions about the efficacy of music listening on sleep, anxiety, and depression in critically ill patients. Results from this project will provide recommendations for the use of music listening in this population and will support nurses and other health practitioners in their promotion of mental health. Additionally, by identifying existing lacunae in the literature, our results will prompt further research.

Reference

1. Devlin JW, Y S, C G, et al. Clinical Practice Guidelines for the Prevention and Management of Pain, Agitation/Sedation, Delirium, Immobility, and Sleep Disruption in Adult Patients in the ICU. *Critical Care Medicine* 2018;46(9):e825.
2. Pisani MA, Frieze RS, Gehlbach BK, et al. Sleep in the intensive care unit. *Am J Respir Crit Care Med* 2015;191(7):731-8. doi: 10.1164/rccm.201411-2099CI
3. Pulak LM, Jensen L. Sleep in the Intensive Care Unit: A Review. *Journal of intensive care medicine* 2016;31(1):14-23. doi: 10.1177/0885066614538749 [published Online First: 2014/06/12]
4. Elliott R, McKinley S, Cistulli P, et al. Characterisation of sleep in intensive care using 24-hour polysomnography: an observational study. *Critical care (London, England)* 2013;17(2):R46. doi: 10.1186/cc12565
5. Delaney LJ, Van Haren F, Lopez V. Sleeping on a problem: the impact of sleep disturbance on intensive care patients - a clinical review. *Ann Intensive Care* 2015;5:3. doi: 10.1186/s13613-015-0043-2
6. Oh J, Sohn JH, Shin CS, et al. Mutual Relationship between Anxiety and Pain in the Intensive Care Unit and Its Effect on Medications ☆. *Journal of critical care* 2015;30(5):1043-48.
7. Lee CH, Lee CY, Hsu MY, et al. Effects of Music Intervention on State Anxiety and Physiological Indices in Patients Undergoing Mechanical Ventilation in the Intensive Care Unit. *Biological research for nursing* 2017;19(2):137-44.
8. Treggiari-Venzi M, Borgeat A, Fuchs-Buder T, et al. Overnight sedation with midazolam or propofol in the ICU: Effects on sleep quality, anxiety and depression. *Intensive Care Medicine* 1996;22(11):1186-90.

9. Wong HL, Lopeznahas V, Molassiotis A. Effects of music therapy on anxiety in ventilator-dependent patients. *Heart & Lung the Journal of Acute & Critical Care* 2001;30(5):376-87.
10. Hetland B, Lindquist R, Chlan LL. The influence of music during mechanical ventilation and weaning from mechanical ventilation: A review. *Heart & Lung* 2015;44(5):416-25.
11. Loomba RS, Arora R, Shah PH, et al. Effects of music on systolic blood pressure, diastolic blood pressure, and heart rate: a meta-analysis. *Indian Heart Journal* 2012;64(3):309-13.
12. Davydow DS, Gifford JM, Desai SV, et al. Depression in general intensive care unit survivors: a systematic review. *Intensive Care Medicine* 2009;35(5):796-809.
13. Wewalka M, Warszawska J, Strunz V, et al. Depression as an independent risk factor for mortality in critically ill patients. *Psychosomatic Medicine* 2015;77(2):106-13.
14. Ding Q, Redeker NS, Pisani MA, et al. Factors Influencing Patients' Sleep in the Intensive Care Unit: Perceptions of Patients and Clinical Staff. *American Journal of Critical Care* 2017;26(4):278-86.
15. Treggiari VM, Borgeat ABT, Gachoud JP, et al. Overnight sedation with midazolam or propofol in the ICU: effects on sleep quality, anxiety and depression. *Intensive Care Medicine* 1996;22(11):1186-90.
16. Kamdar BB, Needham DM, Collop NA. Sleep Deprivation in Critical Illness: Its Role in Physical and Psychological Recovery. *Journal of intensive care medicine* 2012;27(2):97.
17. Feren S, Schweitzer PK, Walsh JK. Pharmacotherapy for insomnia. *Handb Clin Neurol* 2008;24(1):93-105.
18. Mofredj A, Alaya S, Tassaioust K, et al. Music therapy, a review of the potential therapeutic benefits for the critically ill. *Journal of critical care* 2016;35:195-9. doi: 10.1016/j.jcrc.2016.05.021 [published Online First: 2016/08/03]
19. DeMartinis NA, Kamath J, Winokur A. New approaches for the treatment of sleep disorders. *Advances in Pharmacology*: Elsevier 2009:187-235.
20. Arroliga AC, Thompson BT, Ancukiewicz M, et al. Use of sedatives, opioids, and neuromuscular blocking agents in patients with acute lung injury and acute respiratory distress syndrome*. *Critical care medicine* 2008;36(4):1083.
21. Kozasa EH, Hachul H, Monson C, et al. Mind-body interventions for the treatment of insomnia: a review. *Brazilian Journal of Psychiatry* 2010;32(4):437-43.
22. Han L, Li JP, Sit JW, et al. Effects of music intervention on physiological stress response and anxiety level of mechanically ventilated patients in China: a randomised controlled trial. *Journal of clinical nursing* 2010;19(7-8):978-87.
23. Hu RF, Jiang XY, Chen J, et al. Non-pharmacological interventions for sleep promotion in the intensive care unit. *Cochrane Database of Systematic Reviews* 2015(10)
24. Bulechek GM, McCloskey JC. Nursing interventions: Treatments for nursing diagnoses. *AJN The American Journal of Nursing* 1985;85(12):1350.
25. Chan MF, Zi YW, Thayala NV. The effectiveness of music listening in reducing depressive symptoms in adults: A systematic review. *Complementary Therapies in Medicine* 2011;19(6):332-48.
26. Bradt J, Dileo C. Cochrane review: Music interventions for mechanically ventilated patients. *Journal of Evidence-Based Medicine* 2015;8(1):56-56.
27. Cock VCD, Dotov DG, Ihalainen P, et al. Rhythmic abilities and musical training in Parkinson's disease: do they help? *Npj Parkinsons Dis* 2018;4(1):8.
28. Guess H. Alzheimer's disease and the impact of music therapy a systematic literature review. 2017
29. Bradt J, Dileo C, Magill L, et al. Music interventions for improving psychological and physical outcomes in cancer patients. *Cochrane Database of Systematic Reviews* 2016(8)
30. Marie C, Wendy C, Mary Anne H. Music and its effect on anxiety in short waiting periods: a critical appraisal. *Journal of clinical nursing* 2010;14(2):145-55.
31. Bradshaw DH, Brown CJ, Cepeda MS, et al. Music for pain relief 2011.
32. Chan MF, Chan EA, Mok E. Effects of music on depression and sleep quality in elderly people: A randomised controlled trial. *Complementary Therapies in Medicine* 2010;18(3):150-59.

33. Ryu MJ, Park JS, Park H. Effect of sleep-inducing music on sleep in persons with percutaneous transluminal coronary angiography in the cardiac care unit. *Journal of clinical nursing* 2012;21(5-6):728-35.
34. Su CP, Lai HL, Chang ET, et al. A randomized controlled trial of the effects of listening to non-commercial music on quality of nocturnal sleep and relaxation indices in patients in medical intensive care unit. *Journal of advanced nursing* 2013;69(6):1377-89.
35. Cepeda MS, Carr DB, Lau J, et al. Music for pain relief. *Cochrane Database of Systematic Reviews* 2006(2)
36. Chang H-K, Peng T-C, Wang J-H, et al. Psychophysiological responses to sedative music in patients awaiting cardiac catheterization examination: a randomized controlled trial. *Journal of Cardiovascular Nursing* 2011;26(5):E11-E18.
37. Hui-Ling L, Yin-Ming L. The effect of music on biochemical markers and self-perceived stress among first-line nurses: a randomized controlled crossover trial. *Journal of Advanced Nursing* 2011;67(11):2414-24.
38. Koelsch S, Jäncke L. Music and the heart. *European heart journal* 2015;36(44):3043-49.
39. Zhang JM, Wang P, Yao JX, et al. Music interventions for psychological and physical outcomes in cancer: a systematic review and meta-analysis. *Supportive Care in Cancer Official Journal of the Multinational Association of Supportive Care in Cancer* 2012;20(12):3043-53.
40. Dileo C, Bradt J. Music therapy: applications to stress management. *Principles and Practice of Stress Management, 3rd ed New York: Guilford* 2007
41. Marie C, Wendy C, Philip S, et al. The effect of music on discomfort experienced by intensive care unit patients during turning: a randomized cross-over study. *International journal of nursing practice* 2010;16(2):125-31.
42. Clinical research of the effect of music therapy on ICU patients with loneliness, anxiety and depression. *China Health Industry* 2013
43. Chiu-Ping S, Hui-Ling L, En-Ting C, et al. A randomized controlled trial of the effects of listening to non-commercial music on quality of nocturnal sleep and relaxation indices in patients in medical intensive care unit. *Journal of Advanced Nursing* 2013;69(6):1377-89.
44. Hu RF, Jiang XY, Hegadoren KM, et al. Effects of earplugs and eye masks combined with relaxing music on sleep, melatonin and cortisol levels in ICU patients: a randomized controlled trial. *Critical Care* 2015;19(1):1-9.
45. Papathanassoglou ED, Hadjibalassi M, Miltiadous P, et al. Effects of an integrative nursing intervention on pain in critically ill patients: a pilot clinical trial. *American Journal of Critical Care* 2018;27(3):172-85.
46. Umbrello M, Sorrenti T, Mistraretti G, et al. Music therapy reduces stress and anxiety in critically ill patients: a systematic review of randomized clinical trials. *Minerva anesthesiologica* 2019;85(8):886.
47. Sandoval CP. Nonpharmacological Interventions for Sleep Promotion in the Intensive Care Unit. *Critical care nurse* 2014;37(2):100-02.
48. Shamseer L, Moher D, Clarke M, et al. Preferred reporting items for systematic review and meta-analysis protocols (PRISMA-P) 2015: elaboration and explanation. *BMJ (Clinical research ed)* 2015;349(jan02 1):g7647-g47.
49. Richards KC, O'Sullivan PS, Phillips RL. Measurement of sleep in critically ill patients. *Journal of Nursing Measurement* 2000;8(2):-.
50. Buysse DJ, III CFR, Monk TH, et al. The Pittsburgh sleep quality index: A new instrument for psychiatric practice and research. *Psychiatry research* 1989;28(2):193-213.
51. Snyder-Halpern R, Verran JA. Instrumentation to describe subjective sleep characteristics in healthy subjects. *Research in Nursing & Health* 1987;10(3):155-63.
52. Snaith RP. The Hospital Anxiety And Depression Scale. *Acta Psychiatrica Scandinavica* 1983;67(6):361.
53. Hornblow AR, Kidson MA. The Visual Analogue Scale for Anxiety: A validation study. *Australian & New Zealand Journal of Psychiatry* 1976;10(4):339-41.

-
54. Marteau TM, Bekker H. The development of a six-item short-form of the state scale of the Spielberger State—Trait Anxiety Inventory (STAI). *British Journal of Clinical Psychology* 1992;31(3):301-06.
55. Fydrich T, Dowdall D, Chambless DL. Reliability and validity of the beck anxiety inventory. *Journal of Anxiety Disorders* 1992;6(1):55–61.
56. Higgins J, Green S. Cochrane handbook for systematic reviews of interventions Version 5.1. 0 [updated March 2011]. 2011. *The Cochrane Collaboration* 2018
57. Shuster JJ. Review: Cochrane handbook for systematic reviews for interventions, Version 5.1.0, published 3/2011. Julian P.T. Higgins and Sally Green, Editors. *Research Synthesis Methods* 2011;2(2):126-30.
58. Higgins JP, Altman DG, Gøtzsche PC, et al. The Cochrane Collaboration's tool for assessing risk of bias in randomised trials. *BMJ (Clinical research ed)* 2011;343:d5928.
59. Higgins JP, Thompson SG. Quantifying heterogeneity in a meta-analysis. *Statistics in medicine* 2002;21(11):1539-58.
60. Knobloch K, Yoon U, Vogt PM. Preferred reporting items for systematic reviews and meta-analyses (PRISMA) statement and publication bias. *Revista Española De Nutrición Humana Y Dietética* 2009;18(3):e123.
- For peer review only

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Table 1 Search strategy for PubMed

Filter: Humans
1 music [Mesh] OR ‘music therapy’ [Mesh] OR music* OR listen*
2 sleep [Mesh] OR sleep Disorders, Circadian Rhythm [Mesh] OR sleep* OR insomnia* OR wakeful* OR sleepless*
3 anxiety [Mesh] OR fear [Mesh] OR stress OR psychological OR depression [Mesh] OR depress* OR mood disorders [Mesh]
4 2 OR 3
5 critical illness [Mesh]) OR critical care [Mesh] OR ‘intensive care units’ [Mesh] OR ventilators, mechanical [Mesh] OR respiration, artificial [Mesh] OR intensive care OR ICU
6 1 AND 4 AND 5
7 infant* OR neonat* OR infant, premature [Mesh] OR infant, newborn [Mesh] OR Intensive Care Units, pediatric [Mesh]
8 6 NOT 7



PRISMA 2009 Checklist

Section / topic	#	Checklist item	Reported on page #
TITLE			
Title	1	Identify the report as a systematic review, meta-analysis, or both.	1
ABSTRACT			
Structured summary	2	Provide a structured summary including, as applicable: background; objectives; data sources; study eligibility criteria; participants; and interventions; study appraisal and synthesis methods; results; limitations; conclusions and implications of key findings; systematic review registration number.	2
INTRODUCTION			
Rationale	3	Describe the rationale for the review in the context of what is already known.	4
Objectives	4	Provide an explicit statement of questions being addressed with reference to participants, interventions, comparisons, outcomes, and study design (PICOS).	6
METHODS			
Protocol and registration	5	Indicate if a review protocol exists, if and where it can be accessed (e.g., Web address), and if available, provide registration information including registration number.	2
Eligibility criteria	6	Specify study characteristics (e.g., PICOS, length of follow-up) and report characteristics (e.g., years considered, language, publication status) used as criteria for eligibility, giving rationale.	7-8
Information sources	7	Describe all information sources (e.g., databases with dates of coverage, contact with study authors to identify additional studies) in the search and date last searched.	8
Search	8	Present full electronic search strategy for at least one database, including any limits used, such that it could be repeated.	8
Study selection	9	State the process for selecting studies (i.e., screening, eligibility, included in systematic review, and, if applicable, included in the meta-analysis).	8
Data collection process	10	Describe method of data extraction from reports (e.g., piloted forms, independently, in duplicate) and any processes for obtaining and confirming data from investigators.	8
Data items	11	List and define all variables for which data were sought (e.g., PICOS, funding sources) and any assumptions and simplifications made.	8
Risk of bias in individual studies	12	Describe methods used for assessing risk of bias of individual studies (including specification of whether this was done at the study or outcome level), and how this information is to be used in any data synthesis.	9
Summary measures	13	State the principal summary measures (e.g., risk ratio, difference in means).	9
Synthesis of results	14	Describe the methods of handling data and combining results of studies, if done, including measures of consistency (e.g., I^2) for each meta-analysis.	9



PRISMA 2009 Checklist

Page 1 of 2

Section/topic	#	Checklist item	Reported on page #
Risk of bias across studies	15	Specify any assessment of risk of bias that may affect the cumulative evidence (e.g., publication bias, selective reporting within studies).	9
Additional analyses	16	Describe methods of additional analyses (e.g., sensitivity or subgroup analyses, meta-regression), if done, indicating which were pre-specified.	9
RESULTS			
Study selection	17	Give numbers of studies screened, assessed for eligibility, and included in the review, with reasons for exclusions at each stage, ideally with a flow diagram.	
Study characteristics	18	For each study, present characteristics for which data were extracted (e.g., study size, PICO, follow-up period) and provide the citations.	
Risk of bias within studies	19	Present data on risk of bias of each study and, if available, any outcome level assessment (see item 12).	
Results of individual studies	20	For all outcomes considered (benefits or harms), present, for each study: (a) simple summary data for each intervention group (b) effect estimates and confidence intervals, ideally with a forest plot.	
Synthesis of results	21	Present results of each meta-analysis done, including confidence intervals and measures of consistency.	
Risk of bias across studies	22	Present results of any assessment of risk of bias across studies (see Item 15).	
Additional analysis	23	Give results of additional analyses, if done (e.g., sensitivity or subgroup analyses, meta-regression [see Item 16]).	
DISCUSSION			
Summary of evidence	24	Summarize the main findings including the strength of evidence for each main outcome; consider their relevance to key groups (e.g., healthcare providers, users, and policy makers).	
Limitations	25	Discuss limitations at study and outcome level (e.g., risk of bias), and at review-level (e.g., incomplete retrieval of identified research, reporting bias).	
Conclusions	26	Provide a general interpretation of the results in the context of other evidence, and implications for future research.	
FUNDING			
Funding	27	Describe sources of funding for the systematic review and other support (e.g., supply of data; role of funders for the systematic review).	1

From: Moher D, Liberati A, Tetzlaff J, Altman DG, The PRISMA Group (2009). Preferred Reporting Items for Systematic Reviews and Meta-Analyses: The PRISMA Statement. PLoS Med 6(6): e1000097. doi:10.1371/journal.pmed1000097

For more information, visit: www.prisma-statement.org.

BMJ Open

Use of music to enhance sleep and psychological outcomes in critically ill patients: a protocol for systematic review and meta-analysis

Journal:	<i>BMJ Open</i>
Manuscript ID	bmjopen-2020-037561.R1
Article Type:	Protocol
Date Submitted by the Author:	26-Jun-2020
Complete List of Authors:	Chen, Lixia; Affiliated Zhongshan Hospital of Dalian University Li, Jianhua; Affiliated Zhongshan Hospital of Dalian University Cui, Li; Affiliated Zhongshan Hospital of Dalian University Liu, Xiaoli; Peking University People's Hospital Han, Cuihua; Affiliated Zhongshan Hospital of Dalian University Qu, Siqi; Affiliated Zhongshan Hospital of Dalian University Ji, Daihong; Affiliated Zhongshan Hospital of Dalian University
Primary Subject Heading:	Intensive care
Secondary Subject Heading:	Intensive care, Mental health
Keywords:	Adult intensive & critical care < ANAESTHETICS, Sleep medicine < ANAESTHETICS, Adult intensive & critical care < INTENSIVE & CRITICAL CARE

SCHOLARONE™
Manuscripts



I, the Submitting Author has the right to grant and does grant on behalf of all authors of the Work (as defined in the below author licence), an exclusive licence and/or a non-exclusive licence for contributions from authors who are: i) UK Crown employees; ii) where BMJ has agreed a CC-BY licence shall apply, and/or iii) in accordance with the terms applicable for US Federal Government officers or employees acting as part of their official duties; on a worldwide, perpetual, irrevocable, royalty-free basis to BMJ Publishing Group Ltd ("BMJ") its licensees and where the relevant Journal is co-owned by BMJ to the co-owners of the Journal, to publish the Work in this journal and any other BMJ products and to exploit all rights, as set out in our [licence](#).

The Submitting Author accepts and understands that any supply made under these terms is made by BMJ to the Submitting Author unless you are acting as an employee on behalf of your employer or a postgraduate student of an affiliated institution which is paying any applicable article publishing charge ("APC") for Open Access articles. Where the Submitting Author wishes to make the Work available on an Open Access basis (and intends to pay the relevant APC), the terms of reuse of such Open Access shall be governed by a Creative Commons licence – details of these licences and which [Creative Commons](#) licence will apply to this Work are set out in our licence referred to above.

Other than as permitted in any relevant BMJ Author's Self Archiving Policies, I confirm this Work has not been accepted for publication elsewhere, is not being considered for publication elsewhere and does not duplicate material already published. I confirm all authors consent to publication of this Work and authorise the granting of this licence.

Use of music to enhance sleep and psychological outcomes in critically ill patients: a protocol for systematic review and meta-analysis

Lixia Chen¹, Jianhua Li¹, Li Cui¹, Xiaoli Liu³, Cuihua Han¹, Siqi Qu², Daihong Ji^{1*}

Author affiliations

¹ Department of Nursing, Affiliated Zhongshan Hospital of Dalian University, Dalian 116001, China

² School of Nursing, Dalian University, Dalian 116622, China

³ Peking University People's Hospital, Beijing 100044, China

Correspondence to

Daihong Ji: yourfriend.123@163.com

Contributors

Lixia Chen was responsible for drafting the article or revising it critically for important intellectual content. Jianhua Li and Li Cui conducted final approval of the version to be submitted. Xiaoli Liu, Siqi Qu, and Cuihua Han were responsible for the conception and design of the study, Daihong Ji supervised the work. All authors approved the final version of the article.

Funding

This work was funded by the Department of Science and Technology of Liaoning Province (No. 20180550221)

Provenance and peer review

Not commissioned; externally peer reviewed

Competing interests

There are no competing interests for any author.

Exclusive licence

I, the Submitting Author has the right to grant and does grant on behalf of all authors of the Work (as defined in the below author licence), an exclusive licence and/or a non-exclusive licence for contributions from authors who are: i) UK Crown employees; ii) where BMJ has agreed a CC-BY licence shall apply, and/or iii) in accordance with the terms applicable for US Federal Government officers or employees acting as part of their official duties; on a worldwide, perpetual, irrevocable, royalty-free basis to BMJ Publishing Group Ltd ("BMJ")

1
2
3
4 28
5
6 29
7
8 30
9
10 31
11
12 32
13
14 33
15
16 34
17
18 35
19
20 36
21
22 37
23
24 38
25
26 39
27
28 40
29
30 41
31
32 42
33
34 43
35
36 44
37
38 45
39
40 46
41
42 47
43
44 48
45
46 49
47
48 50
49
50 51
51
52 52
53
54 53
55
56 54
57
58 55
59
60 56
57

its licensees and where the relevant Journal is co-owned by BMJ to the co-owners of the Journal, to publish the
Work in BMJ Open and any other BMJ products and to exploit all rights, as set out in our licence.

For peer review only

Abstract

Introduction Music listening as a non-pharmacological intervention, is widely used in various populations with positive results, yet the evidence for music listening on sleep and psychological outcomes in critically ill patients is less clear. It is essential to better understand the impact of music listening for critically ill patients to optimise care and minimise risk of harm. We will assess whether music listening improves sleep and psychological outcomes in critically ill patients.

Methods and analysis We will systematically search scientific databases: PubMed, Embase, CINAHL, PsycINFO, Web of Science, Scopus, ProQuest, the Cochrane Central Register of Controlled Trials, China Biological Medicine Database, China National Knowledge Infrastructure Library, Wan fang databases, VIP Database for Chinese Technical Periodicals, and the Chinese Clinical Trial Registry. All databases will be searched for articles published from inception to 10 June 2020. Music therapy journals and reference lists in some articles will be hand-searched. Gray literature will also be searched. We will include randomized and quasi-randomized controlled trials of music listening to improve sleep and psychological outcomes in critically ill patients. The primary outcomes will be sleep-related outcomes, the secondary outcomes will be anxiety score, depression score and physiological outcomes. Two reviewers will independently verify study eligibility and methodological quality; disagreements will be resolved by a third reviewer or discussion. The risk of bias will be independently determined using the Cochrane Risk of Bias Tool. Data will be extracted from eligible studies by two researchers. RevMan (version 5.3) will be used for meta-analysis. Additionally, the CONSORT checklist will be used to examine the quality of the papers.

Ethics and Dissemination This work will review existing trial data and will not introduce new patient data or interventions, ethics committee approval is not required. We will disseminate this protocol in a related peer-reviewed journal.

PROSPERO Registration Number CRD42019147202

Strengths and limitations of this study

- We plan to employ robust international gold-standard methodology and comprehensive search strategy to reduce bias.
- We will assess the quality of the articles included using a validated tool.

1
2
3
4 87
5
6 88
7
8 89
9
10 90
11
12 91
13 92
14
15 93
16
17 94
18
19 95
20
21 96
22
23 97
24
25 98
26
27 99
28
29 100
30
31 101
32
33 102
34
35 103
36
37 104
38
39 105
40
41 106
42
43 107
44
45 108
46
47 109
48
49 110
50
51 111
52
53 112
54
55 113
56
57 114
58
59 115
60 116

-
- Subgroup analysis will be performed when possible to elaborate intervention or subject characteristics correlated with increased effectiveness.
 - If there is high heterogeneity across studies, which may increase the difficulties to interpret a meta- analysis.
 - Limited in that the systematic review protocol will only include articles in English and Chinese.

For peer review only

INTRODUCTION

Rationale

Sleep disturbance is a frequent problem among patients in intensive care units (ICUs)^{1 2}. Sleep quality and quantity³ are negatively affected by the ICU environment (e.g., noise, lights), patient-care activities, symptoms of the patient's underlying illness, and by mechanical ventilation^{4 5}. It is characterized by prolonged sleep onset latency, short sleep duration, frequent awakenings, non-restorative sleep, and decreased sleep efficiency (percentage of time in bed spent asleep). Sleep disturbance has been associated with numerous adverse consequences in critically ill patients, including impairments in immune function, memory, wound healing, and inspiratory muscle endurance; higher rates of delirium; and increased overall morbidity and mortality⁶.

An increase in psychological problems, such as anxiety and depression, has also been found in critically ill patients^{7 8}. One study⁹ estimated that 70%–80% of critically ill patients suffer anxiety related to fear, sleeplessness, pain, discomfort, thirst, and disease-related symptoms; patients on assisted ventilation were especially prone to anxiety because of their need for frequent suctioning, inability to breath independently or talk, and general discomfort. It has also been found that one-half of patients experienced a high level of depression during the ICU stay⁹. In turn, unmanaged anxiety and depression have been associated with harmful effects on disease recovery and overall well-being: prolonged weaning from ventilation and recovery time¹⁰, increased work of breathing, fatigue¹¹, and acute elevations in blood pressure¹², increase the depression incidence in ICU survivors¹³. Wewalka found that pre-existing depressive mood at the time of ICU admission was an independent risk factor for 28-day mortality in medical ICU patients¹⁴.

Sufficient evidence showed that sleep disturbance, anxiety and depression were detrimental to their disease recovery and psychological well-being. Research has also reported the interplay of sleep disturbance, anxiety, and depression¹⁵. Anxiety and depression are both risk factors for sleep disturbance, and disturbed sleep pattern in turn increases emotional distress, leading to higher levels of anxiety and depression^{16 17}. Therefore, nurses as key staffs in the ICU, need to provide effective interventions to address all of these.

Pharmacological and non-pharmacological interventions are proposed to manage sleep and psychological distress in the ICU. Pharmacological therapy is generally the first line of treatment^{18 19}. However, pharmacological therapy itself has been associated with numerous adverse effects and

1
2
3
4 147 complications, including memory loss, prolongation of mechanical ventilation, altered sleep stages,
5
6 148 longer length of hospitalization, tolerance, bradycardia, hypotension, residual daytime effects,
7
8 149 dysmotility, weakness, and delirium^{20 21}. Additionally, the medications used are expensive. To avoid
9
10 150 this, a number of researchers have developed alternative, non-pharmacological therapies to improve
11
12 151 sleep, anxiety, and depression in ICU patients, with positive results²²⁻²⁴. In recent years, the results of
13
14 152 music intervention studies had led scientists to pay close attention to the relationship between music
15
16 153 and sleep in various types of patients. This has resulted in an increase in the use of music therapy and
17
18 154 music listening (sometimes called music medicine²⁵).

19 155 Music is a non-pharmacological intervention that can be adopted by nurses. It is relatively easy
20
21 156 to implement, cost effective, safe and has no negative impacts²⁶. As far back as the early 1800s,
22
23 157 Florence Nightingale²⁷ described the importance of music and its healing effect on patients. Music
24
25 158 therapy and music listening are common forms of music interventions that are similar but have
26
27 159 distinct features. Music therapy is defined as the clinical and evidence-based use of music
28
29 160 interventions to realize individualized clinical goals within a therapeutic relationship. It is conducted
30
31 161 by a licensed music therapist, and centres on the dynamic musical interaction between the music
32
33 162 therapist and the patient, verbal processing of the music experience, and implementation and
34
35 163 alteration of recorded music (tempo, volume, intensity) according to the patient's psychological and
36
37 164 physiological state to induce a relaxation response, etc²⁸. The use of environmental music therapy,
38
39 165 which is a method of live music therapy, has been increasing in recent years^{29 30}. Music listening is
40
41 166 defined as passive listening to recorded music via any form of music playback device (e.g. listening
42
43 167 to a MP3 through earphones or a loudspeaker) or listening to live music, without interacting with a
44
45 168 music therapist or theoretical framework. It can be provided by medical or healthcare professionals
46
47 169 or self-administered by a patient, and patients may or may not be involved in selecting the music^{31 32}.
48
49 170 It is necessary to distinguish the two interventions in clinical practice³³ due to the varying levels of
50
51 171 training in the fundamentals of music and its therapeutic applications. For instance, music therapists
52
53 172 receive specialized training in the aforementioned areas²⁵. Music listening can also facilitate music
54
55 173 therapy, and compared with music therapy, music listening is easier to implement in the ICU, is
56
57 174 more convenient and is low-cost. More recently, music listening has been widely used in various
58
59 175 diseases, such as Parkinson disease³⁴, Alzheimer disease³⁵, and cancer³⁶, to assuage emotional,
60 176 physiological, and psychological symptoms. A growing number of studies, in adults of all ages, have

demonstrated the positive effects of music listening on anxiety, depression, stress, and pain^{37 38}, in various medical and surgical conditions. Repeated studies have specifically reported music listening improved sleep in critically ill patients^{24 39 40}. Furthermore, music listening is inexpensive, relatively easy to carry out, and safe compared with pharmacological intervention²⁶, benefits that are favourably received by patients. Thus, music listening is a potentially viable alternative treatment option.

Clinical trials have provided support for the effectiveness of music intervention in the healthcare setting, psychophysiological theory⁴¹ also provides clues to its mechanism of action. Music is made up of many key elements, including rhythm, pitch, harmony, and melody. These music elements play a comprehensive role in the degree to which music can promote sleep in patients⁴². Earlier authors described that sleep improvement is mediated by the relaxing, distracting effect of 'soothing' music⁴³. Music with a slow tempo of 60–80 beats per minute mirrors the heart rate and reduces neuroendocrine and sympathetic nervous system activity, resulting in relaxation. Further, the peaceful atmosphere created by soothing music in the ICU setting is a mood enhancer, reducing anxiety and depression, and lowering treatment-related stress. Elsewhere, other authors described the effect of music in modulating mood and emotions at the cortical level, through stimulation of self-image and intellect⁴⁴. Clinical trials have also shown that listening to music reduced anxiety and stress responses—which can lead to greater relaxation and improvement of sleep^{45 46}.

Research on the impact of music listening for ICU patients has evolved during the past 20 years, several researchers studied the effects of music listening on sleep and psychological outcomes in critically ill patients. For example, recent studies reporting that music listening may improve stress, anxiety^{8 47}, depression⁴⁸ and sleep^{49 50} in ICU patients. The intervention involves different types of music to improve sleep and psychological symptoms, such as low volume, nature sounds, soothing music, Mozart piano, etc. Music listening can be provided by specific tools (e.g. Mp3 or earphones or loudspeaker). The choice of music may be determined by the researcher or by participants themselves. The duration, frequency, and timing of music exposure has also varied among studies. Although clinical trials have been performed to investigate the effects of music listening on sleep and psychological outcomes, their safety and efficacy for critically ill patients have not been established. Most of these studies have suffered from small sample size^{40 51}, making it nearly impossible to

1
2
3
4 207 achieve statistically significant results. The impact of the music listening may differ due to the
5
6 208 different design of the intervention (study design, methods of intervention, and types of music). One
7
8 209 systematic review have assessed the efficacy of music interventions for reducing anxiety in
9
10 210 mechanically ventilated patients³², the author only included mechanically ventilated patients. A
11
12 211 recent systematic review also evaluated the effectiveness of music therapy to reduce stress and
13
14 212 anxiety in critically ill patients⁵². In 2015, another review reported that music therapy appeared to be
15
16 213 safe to improve sleep but did not do a meta-analysis, and further randomized controlled trials were
17
18 214 required to assess efficacy⁵³. The 2018 Pain, Agitation/ sedation, Delirium, Immobility
19
20 215 (rehabilitation/mobilization), and Sleep (disruption) (PADIS) guideline also suggest no high quality
21
22 216 evidence to prove that music could improve sleep in critically ill adults¹.

23 217 Until now, despite the large number of relevant studies, music listening has not been
24
25 218 implemented as a therapeutic intervention in everyday critical care because information about
26
27 219 effectiveness has not been synthesised and disseminated universally. So, we assess effectiveness of
28
29 220 music listening in improvement of sleep, anxiety and depression in critically ill patients, and
30
31 221 investigate relevant subgroups (timing of intervention, type of intervention, severity of disease,
32
33 222 mechanical ventilation status, and study site).

34
35 223
36
37 224 **OBJECTIVES**

38
39 225 This systematic review and meta-analysis aim to integrate the scientific research on the use of music
40
41 226 listening to promote sleep, anxiety, and depression for critically ill patients in ICU. We attempt to
42
43 227 answer the following research questions:

- 44
45 228 1. What are the effects of music listening on sleep quality and quantity in critically ill patients?
46
47 229 2. What are the effects of music listening on anxiety, depression and physiological outcomes in
48
49 230 critically ill patients?

50
51 231 **METHODS AND ANALYSIS**

52
53 232 This is a quantitative systematic review protocol. We will follow the Preferred Reporting Items for
54
55 233 Systematic Review and Meta-Analysis Protocols (PRISMA-P) guidelines to complete and report the
56
57 234 study protocol⁵⁴. This systematic review protocol has been registered in PROSPERO (PROSPERO
58
59 235 Registration Number CRD 42019147202)

60 236 **Patient and public involvement**

No patient and public involved in this study.

Inclusion/Exclusion criteria

Types of participants

Studies will be selected for inclusion if their subjects meet the criteria:

- adult patient in the ICU (age>18 years old),
- being conscious and clear (Glasgow Coma Scale score ≥ 14),
- ventilated or non-ventilated,
- admittance to the ICU ≥ 24 hours.

We will exclude studies whose subjects had:

- hearing damage,
- been diagnosed with / had overt signs or symptoms of obstructive sleep apnea,
- been diagnosed with dementia or neurologic disease,
- severe signs or symptoms of psychological illness, such as hallucinations, delusions, and behavioral disorders, etc.

Types of intervention and comparison

We will include any study in which sleep or psychological variables were considered as outcomes of music listening combined with standard care vs. standard care alone or standard care with other interventions in critically ill patients.

Types of outcome measures

At least one of the following outcomes must have been reported in the study:

Primary outcomes

- Sleep outcomes
 1. Sleep quality
 2. Sleep onset latency
 3. Total sleep time
 4. Number of awakenings
 5. Sleep efficiency (percent of time in bed spent asleep)

Sleep outcomes are measured using a variety of methods. Subjective perception of sleep is measured through validated self-report tools, including the Richards–Campbell Sleep Questionnaire (RCSQ)⁵⁵,

1
2
3
4 266 Pittsburgh Sleep Quality Index (PSQI)⁵⁶, and the Verran and Synder-Halpern (VSH) Sleep Scale⁵⁷;
5
6 267 objective measurement of sleep is done with polysomnography, actigraphy, bispectral index (BIS)
7
8 268 monitoring, or electroencephalography (EEG).

9
10 269 **Secondary outcomes**

- 11
12 270 ● Psychological outcomes
13
14 271 1. Anxiety
15
16 272 2. Depression

17 273 We will include trials that measured psychological outcomes using standardized questionnaires with
18
19 274 established reliability and validity, including Hospital-based Anxiety and Depression Scales⁵⁸, the
20
21 275 Visual Analogue Scale for Anxiety (VAS-A)⁵⁹, the Spielberger State-Trait anxiety Inventory⁶⁰, and
22
23 276 the Beck Anxiety Inventory⁶¹.

- 24
25 277 ● Physiological outcomes (heart rate, blood pressure, respiratory rate)

26
27 278 **Types of study designs**

28
29 279 We will include any interventional study, including randomized and quasi-randomized controlled
30
31 280 trials.

32
33 281 **Data source and search strategy**

34
35 282 To identify eligible studies, we will search electronic databases, including: PubMed, Embase,
36
37 283 CINAHL, PsycINFO, Web of Science, Scopus, ProQuest, the Cochrane Central Register of
38
39 284 Controlled Trials, China Biological Medicine Database, China National Knowledge Infrastructure
40
41 285 Library, Wang fang databases, VIP Database for Chinese Technical Periodicals, the Chinese Clinical
42
43 286 Trial Registry. The databases will be searched from their start date to October 2019. Additionally, we
44
45 287 will hand-search music therapy journals and the reference lists in some articles, as well as gray
46
47 288 literature.

48
49 289 A health sciences librarian will design the search. Table 1 shows the search strategy, employing
50
51 290 both keywords and Medical Subject Heading (MeSH) terms, that will be used to search PUBMED;
52
53 291 this will be adapted for the other databases.

54 292
55
56 293
57
58 294
59
60 295

Table 1 Search strategy for PubMed

Filter: Humans

1 music [Mesh] OR 'music therapy' [Mesh] OR music medicine OR music* OR listen*

2 sleep [Mesh] OR sleep Disorders, Circadian Rhythm [Mesh] OR sleep* OR insomnia* OR
wakeful* OR sleepless*

3 anxiety [Mesh] OR fear [Mesh] OR stress OR psychological OR depression [Mesh] OR depress*
OR mood disorders [Mesh]

4 2 OR 3

5 critical illness [Mesh] OR critical care [Mesh] OR 'intensive care units' [Mesh] OR ventilators,
mechanical [Mesh] OR respiration, artificial [Mesh] OR intensive care OR ICU

6 1 AND 4 AND 5

7 infant* OR neonat* OR infant, premature [Mesh] OR infant, newborn [Mesh] OR Intensive Care
Units, pediatric [Mesh]

8 6 NOT 7

Selection of studies

All articles retrieved through the search of the selected databases will be imported to Endnote, from which duplicate references will be removed. Two members of the research team (SQ and CH) will independently review the title/abstract of each article to verify each study meets the inclusion criteria; if a title or abstract is unclear, the two researchers will review the full article. Disagreements will be resolved by a third researcher (LX) or through discussion until consensus is reached. The reason for all exclusions will be recorded.

Data collection and validation

Two researchers (JH and CL) will independently extract data from the included studies, using the Cochrane Collaboration Data Collection Form⁶². In the event of questions or missing data in the original text, the researchers will contact the author to obtain the relevant data. The results of data extraction will be compared to exclude any differences, and any disagreement will be resolved by a third researcher (LX) or through discussion and consensus.

From all included studies, we will collect the following data:

1
2
3
4 312
5
6 313
7
8 314
9
10 315
11
12 316
13
14 317
15
16 318
17
18 319
19 320
20
21 321
22
23 322
24
25 323
26
27 324
28
29 325
30
31 326
32
33 327
34
35 328
36
37 329
38
39 330
40
41 331
42
43 332
44
45 333
46
47 334
48
49 335
50
51 336
52
53 337
54
55 338
56
57 339
58 340
59
60 341

-
1. Research and publication information, including the title, journal (volume, page number) or if unpublished, the author, year of publication, country, and setting, the language of publication, and funding sources;
 2. Study design, including the type of design, inclusion criteria, exclusion criteria, randomization method (including concealment and blinding), and losses to follow up;
 3. Characteristics of the subjects, including total sample size, number of participants in the intervention and control groups, gender, age, diagnosis, disease severity (Acute Physiology and Chronic Health Evaluation [APACHE] II scores), comorbidities, and mechanical ventilation status;
 4. Intervention details, including type of music, control of music selection (by participant or researcher), the frequency, duration, and timing of music listening, and the format/devices used (e.g., headphone, loudspeaker); and
 5. Outcomes, including the methods of assessment of sleep, anxiety, and depression, pre- and post-test means or change scores, and standard deviations.

Methodological quality assessment

The risk of bias and quality of the included studies will be evaluated using the Cochrane Collaboration's Risk for Bias tool, which evaluates seven sources of study bias: 1) random sequence generation, 2) allocation concealment, 3) performance bias, 4) detection bias, 5) incomplete outcome data, 6) selective reporting, and 7) other bias. Two researchers (LX and XL) will independently grade each element as 'low risk', 'high risk', or 'unclear risk'⁶³. Inconsistencies will be resolved through discussion and consensus, or by a third researcher (DH). For quality assessment of quasi-randomized controlled trials, an appropriate assessment will be completed.

Data synthesis and analysis

A meta-analysis will be conducted once there are a sufficient number of studies showing homogeneity. The statistical analysis will be performed using RevMan 5.3.5 software. Continuous data will be expressed with the odds ratio (OR) and its 95% confidence interval (CI). The level of heterogeneity of the included studies will be determined with the I² statistic and P value⁶⁴. If P>0.1 and I²<50%, suggesting no statistical heterogeneity, a meta-analysis will be performed using a fixed-effects model; if I²>50%, a random-effects model will be used to analyse the clinical heterogeneity. Subgroup analysis will be performed by: timing of intervention, type of intervention, severity of disease (APACHE II score <25, 25–35, >35), mechanical ventilation status (ventilated patients

versus non-ventilated patients), and study site (surgical ICU patients versus medical ICU patients). Sensitivity analysis will be used to determine the stability of the results, and Egger's regression test and funnel plots will be used to assess potential publication bias. If data pooling is not possible, quantitative data will be presented in a narrative review of the study primary and secondary outcomes, using thematic summaries and tables.

Validity and reliability /Rigour

The study protocol will use systematic review and meta-analytic methods, following the Cochrane Collaboration recommendations for performing a systematic review. The results will be reported according to the PRISMA-P guidelines⁶⁵. Additionally, the CONSORT checklist will be used to examine the quality of the papers.

DISCUSSION

This paper presents the protocol for a systematic review of the literature examining the effects of music listening on sleep and psychological outcomes in critically ill patients. The study was undertaken to answer questions about the effectiveness of music listening in this population. Properly powered, intervention studies provide strong evidence, so this meta-analysis of the existing evidence will permit conclusions about the efficacy of music listening on sleep, anxiety, and depression in critically ill patients. Results from this project will provide recommendations for the use of music listening in this population and will support nurses and other health practitioners in their promotion of mental health. Additionally, by identifying existing lacunae in the literature, our results will prompt further research.

ETHICS AND DISSEMINATION

This work will review existing trial data and will not introduce new patient data or interventions. Thus, ethical committee approval is not required. This systematic review protocol will follow the PRISMA checklist. We will disseminate this protocol in a related peer-reviewed journal or at conferences.

1
2
3
4 371
5
6 372
7
8 373
9
10 374
11
12 375
13
14 376
15
16 377
17
18 378
19
20 379
21
22 380
23
24 381
25
26 382
27
28 383
29
30 384
31
32 385
33
34 386
35
36 387
37
38 388
39
40 389
41
42 390
43 391
44
45 392
46
47 393
48
49 394
50
51 395
52
53 396
54
55 397
56
57 398
58
59
60

Reference

1. Devlin JW, Skrobik Y, Gélinas C, et al. Clinical Practice Guidelines for the Prevention and Management of Pain, Agitation/Sedation, Delirium, Immobility, and Sleep Disruption in Adult Patients in the ICU. *Crit Care Med* 2018;46(9):e825.

2. Hweidi IM, Nizamli FM. Stressors in intensive care units in Syria: patients' perceptions. *J Res Nur* 2015;20(2):114-26.

3. Pisani MA, Friese RS, Gehlbach BK, et al. Sleep in the intensive care unit. *Am J Respir Crit Care Med* 2015;191(7):731-8.

4. Pulak LM, Jensen L. Sleep in the Intensive Care Unit: A Review. *J Intensive Care Med* 2016;31(1):14-23.

5. Elliott R, McKinley S, Cistulli P, et al. Characterisation of sleep in intensive care using 24-hour polysomnography: an observational study. *Crit care (London, England)* 2013;17(2):R46.

6. Delaney LJ, Van Haren F, Lopez V. Sleeping on a problem: the impact of sleep disturbance on intensive care patients - a clinical review. *Ann Intensive Care* 2015;5:3.

7. Oh J, Sohn JH, Shin CS, et al. Mutual Relationship between Anxiety and Pain in the Intensive Care Unit and Its Effect on Medications. *J Crit Care* 2015;30(5):1043-48.

8. Lee CH, Lee CY, Hsu MY, et al. Effects of Music Intervention on State Anxiety and Physiological Indices in Patients Undergoing Mechanical Ventilation in the Intensive Care Unit. *Biol Res Nurs* 2017;19(2):137-44.

9. Treggiari-Venzi M, Borgeat A, Fuchs-Buder T, et al. Overnight sedation with midazolam or propofol in the ICU: Effects on sleep quality, anxiety and depression. *Intens Care Med* 1996;22(11):1186-90.

10. Wong HL, Lopeznahas V, Molassiotis A. Effects of music therapy on anxiety in ventilator-dependent patients. *Heart & Lung* 2001;30(5):376-87.

11. Hetland B, Lindquist R, Chlan LL. The influence of music during mechanical ventilation and weaning from mechanical ventilation: A review. *Heart & Lung* 2015;44(5):416-25.

12. Loomba RS, Arora R, Shah PH, et al. Effects of music on systolic blood pressure, diastolic blood pressure, and heart rate: a meta-analysis. *Indian Heart* 2012;64(3):309-13.

13. Davydow DS, Gifford JM, Desai SV, et al. Depression in general intensive care unit survivors: a systematic review. *Intensive Care Med* 2009;35(5):796-809.

-
14. Wewalka M, Warszawska J, Strunz V, et al. Depression as an independent risk factor for mortality in critically ill patients. *Psychosomatic Med* 2015;77(2):106-13.
 15. Ding Q, Redeker NS, Pisani MA, et al. Factors Influencing Patients' Sleep in the Intensive Care Unit: Perceptions of Patients and Clinical Staff. *Am J Crit Care* 2017;26(4):278-86.
 16. Treggiari VM, Borgeat ABT, Gachoud JP, et al. Overnight sedation with midazolam or propofol in the ICU: effects on sleep quality, anxiety and depression. *Intens Care Med* 1996;22(11):1186-90.
 17. Kamdar BB, Needham DM, Collop NA. Sleep Deprivation in Critical Illness: Its Role in Physical and Psychological Recovery. *J Intensive Care Med* 2012;27(2):97.
 18. Feren S, Schweitzer PK, Walsh JK. Pharmacotherapy for insomnia. *Handb Clin Neurol* 2008;24(1):93-105.
 19. Mofredj A, Alaya S, Tassaoust K, et al. Music therapy, a review of the potential therapeutic benefits for the critically ill. *J Crit Care* 2016;35:195-9.
 20. DeMartinis NA, Kamath J, Winokur A. New approaches for the treatment of sleep disorders. *Adv Pharmacol* 2009:187-235.
 21. Arroliga AC, Thompson BT, Ancukiewicz M, et al. Use of sedatives, opioids, and neuromuscular blocking agents in patients with acute lung injury and acute respiratory distress syndrome. *Crit Care Med* 2008;36(4):1083.
 22. Kozasa EH, Hachul H, Monson C, et al. Mind-body interventions for the treatment of insomnia: a review. *Braz J Psychiatry* 2010;32(4):437-43.
 23. Han L, Li JP, Sit JW, et al. Effects of music intervention on physiological stress response and anxiety level of mechanically ventilated patients in China: a randomised controlled trial. *J Clin Nurs* 2010;19(7-8):978-87.
 24. Hu RF, Jiang XY, Chen J, et al. Non-pharmacological interventions for sleep promotion in the intensive care unit. *Cochrane Db Syst Rev* 2015(10).
 25. Yinger OS, Gooding L. Music Therapy and Music Medicine for Children and Adolescents. *Child Adolesc Psychiatr Clin N Am* 2014;23(3):535-53.
 26. Cepeda MS, Carr DB, Lau J, et al. Music for pain relief. *Cochrane Db Syst Rev* 2006(2).
 27. Bulechek GM, McCloskey JC. Nursing interventions: Treatments for nursing diagnoses. *Am J Nurs* 1985;85(12):1350.
-

28. Golino AJ, Leone R, Gollenberg A, et al. Impact of an Active Music Therapy Intervention on Intensive Care Patients. *Am J Crit Care* 2019;28(1):48-55.
29. Canga B, Hahm CL, Lucido D, et al. Environmental Music Therapy: A Pilot Study on the Effects of Music Therapy in a Chemotherapy Infusion Suite. *Music & Med* 2012;4(4):221-30.
30. Zhang JW, Doherty MA, Mahoney JF. Environmental Music in a Hospital Setting: Considerations of Music Therapists and Performing Musicians. *Music and Med* 2018;10(2):71-79.
31. Chan MF, Zi YW, Thayala NV. The effectiveness of music listening in reducing depressive symptoms in adults: A systematic review. *Complement Ther Med* 2011;19(6):332-48.
32. Bradt J, Dileo C. Cochrane review: Music interventions for mechanically ventilated patients. *J Evid Based Med* 2015;8(1):56-56.
33. Hansen IP, Langhorn L, Dreyer P. Effects of music during daytime rest in the intensive care unit. *Nurs Crit Care* 2018;23(4):207-13.
34. Cock VCD, Dotov DG, Ihalainen P, et al. Rhythmic abilities and musical training in Parkinson's disease: do they help? *Npj Parkinsons Dis* 2018;4(1):8.
35. Guess, Hayley. Alzheimer's Disease and the Impact of Music Therapy: A Systematic Literature Review[J]. *James Madison Undergraduate Research Journal* 2018.
36. Bradt J, Dileo C, Magill L, et al. Music interventions for improving psychological and physical outcomes in cancer patients. *Cochrane Db Syst Rev* 2016(8).
37. Marie C, Wendy C, Mary Anne H. Music and its effect on anxiety in short waiting periods: a critical appraisal. *J Clin Nurs* 2010;14(2):145-55.
38. Chan MF, Chan EA, Mok E. Effects of music on depression and sleep quality in elderly people: A randomised controlled trial. *Complement Ther Med* 2010;18(3):150-59.
39. Ryu MJ, Park JS, Park H. Effect of sleep-inducing music on sleep in persons with percutaneous transluminal coronary angiography in the cardiac care unit. *J Clin Nurs* 2012;21(5-6):728-35.
40. Su CP, Lai HL, Chang ET, et al. A randomized controlled trial of the effects of listening to non-commercial music on quality of nocturnal sleep and relaxation indices in patients in medical intensive care unit. *J Adv Nurs* 2013;69(6):1377-89.
41. Chang HK, Peng TC, Wang JH, et al. Psychophysiological responses to sedative music in patients awaiting cardiac catheterization examination: a randomized controlled trial. *J Cardiovasc Nurs* 2011;26(5):E11-E18.

-
42. Loewy J. Music therapy as a potential intervention for sleep improvement. *Nat Sci Sleep* 2020;12:1.
 43. Lai HL, Li YM. The effect of music on biochemical markers and self-perceived stress among first-line nurses: a randomized controlled crossover trial. *J Adv Nurs* 2011;67(11):2414-24.
 44. Koelsch S, Jäncke L. Music and the heart. *Eur Heart J* 2015;36(44):3043-49.
 45. Zhang JM, Wang P, Yao JX, et al. Music interventions for psychological and physical outcomes in cancer: a systematic review and meta-analysis. *Support Care Cancer* 2012;20(12):3043-53.
 46. Dileo C, Bradt J. Music therapy: applications to stress management. *Principles and Practice of Stress Management, 3rd ed New York: Guilford* 2007.
 47. Marie C, Wendy C, Philip S, et al. The effect of music on discomfort experienced by intensive care unit patients during turning: a randomized cross-over study. *Int J Nurs Stud* 2010;16(2):125-31.
 48. Yanhong Z, Jie M. Clinical research of the effect of music therapy on ICU patients with loneliness, anxiety and depression. *China Health Industry* 2013.
 49. Su CP, Lai HL, Chang ET, et al. A randomized controlled trial of the effects of listening to non-commercial music on quality of nocturnal sleep and relaxation indices in patients in medical intensive care unit. *J Adv Nurs* 2013;69(6):1377-89.
 50. Hu RF, Jiang XY, Hegadoren KM, et al. Effects of earplugs and eye masks combined with relaxing music on sleep, melatonin and cortisol levels in ICU patients: a randomized controlled trial. *Crit Care* 2015;19(1):1-9.
 51. Papathanassoglou ED, Hadjibalassi M, Miltiadous P, et al. Effects of an integrative nursing intervention on pain in critically ill patients: a pilot clinical trial. *Am J Crit Care* 2018;27(3):172-85.
 52. Umbrello M, Sorrenti T, Mistraretti G, et al. Music therapy reduces stress and anxiety in critically ill patients: a systematic review of randomized clinical trials. *Minerva Anesthesiol* 2019;85(8):886.
 53. Sandoval CP. Nonpharmacological Interventions for Sleep Promotion in the Intensive Care Unit. *Crit Care Nurse* 2014;37(2):100-02.
 54. Shamseer L, Moher D, Clarke M, et al. Preferred reporting items for systematic review and meta-analysis protocols (PRISMA-P) 2015: elaboration and explanation. *BMJ* 2015;349(jan021):g7647-g47.
 55. Richards KC, O'Sullivan PS, Phillips RL. Measurement of sleep in critically ill patients. *J Nurs Meas* 2000;8(2).
 56. Buysse DJ, Reynolds CF, Monk TH, et al. The Pittsburgh sleep quality index: A new instrument for psychiatric practice and research. *Psychiatry Res* 1989;28(2):193-213.
-

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

57. Snyder-Halpern R, Verran JA. Instrumentation to describe subjective sleep characteristics in healthy subjects. *Res Nurs Health* 1987;10(3):155-63.

58. Snaith RP. The Hospital Anxiety And Depression Scale. *Acta Psychiatrica Scandinavica* 1983;67(6):361.

59. Hornblow AR, Kidson MA. The Visual Analogue Scale for Anxiety: A validation study. *Aust N Z J Psychiatry* 1976;10(4):339-41.

60. Marteau TM, Bekker H. The development of a six-item short-form of the state scale of the Spielberger State—Trait Anxiety Inventory (STAI). *Br J Clin Psychol* 1992;31(3):301-06.

61. Fydrich T, Dowdall D, Chambless DL. Reliability and validity of the beck anxiety inventory. *J Anxiety Disord* 1992;6(1):55–61.

62. Higgins J, Green S. Cochrane handbook for systematic reviews of interventions Version 5.1. 0 [updated March 2011]. *The Cochrane Collaboration* 2011.

63. Higgins JP, Altman DG, Gøtzsche PC, et al. The Cochrane Collaboration’s tool for assessing risk of bias in randomised trials. *BMJ* 2011;343:d5928.

64. Higgins JP, Thompson SG. Quantifying heterogeneity in a meta-analysis. *Stat Med* 2002;21(11):1539-58.

65. Knobloch K, Yoon U, Vogt PM. Preferred reporting items for systematic reviews and meta-analyses (PRISMA) statement and publication bias. *J Craniomaxillofac Surg* 2009;39(2):91-92.



PRISMA 2009 Checklist

Section / topic	#	Checklist item	Reported on page #
TITLE			
Title	1	Identify the report as a systematic review, meta-analysis, or both.	1
ABSTRACT			
Structured summary	2	Provide a structured summary including, as applicable: background; objectives; data sources; study eligibility criteria; participants; and interventions; study appraisal and synthesis methods; results; limitations; conclusions and implications of key findings; systematic review registration number.	2
INTRODUCTION			
Rationale	3	Describe the rationale for the review in the context of what is already known.	4
Objectives	4	Provide an explicit statement of questions being addressed with reference to participants, interventions, comparisons, outcomes, and study design (PICOS).	7
METHODS			
Protocol and registration	5	Indicate if a review protocol exists, if and where it can be accessed (e.g., Web address), and if available, provide registration information including registration number.	7
Eligibility criteria	6	Specify study characteristics (e.g., PICOS, length of follow-up) and report characteristics (e.g., years considered, language, publication status) used as criteria for eligibility, giving rationale.	7-8
Information sources	7	Describe all information sources (e.g., databases with dates of coverage, contact with study authors to identify additional studies) in the search and date last searched.	8
Search	8	Present full electronic search strategy for at least one database, including any limits used, such that it could be repeated.	9
Study selection	9	State the process for selecting studies (i.e., screening, eligibility, included in systematic review, and, if applicable, included in the meta-analysis).	9
Data collection process	10	Describe method of data extraction from reports (e.g., piloted forms, independently, in duplicate) and any processes for obtaining and confirming data from investigators.	9
Data items	11	List and define all variables for which data were sought (e.g., PICOS, funding sources) and any assumptions and simplifications made.	10
Risk of bias in individual studies	12	Describe methods used for assessing risk of bias of individual studies (including specification of whether this was done at the study or outcome level), and how this information is to be used in any data synthesis.	10
Summary measures	13	State the principal summary measures (e.g., risk ratio, difference in means).	10
Synthesis of results	14	Describe the methods of handling data and combining results of studies, if done, including measures of consistency (e.g., I^2) for each meta-analysis.	10



PRISMA 2009 Checklist

Page 1 of 2

Section/topic	#	Checklist item	Reported on page #
Risk of bias across studies	15	Specify any assessment of risk of bias that may affect the cumulative evidence (e.g., publication bias, selective reporting within studies).	10
Additional analyses	16	Describe methods of additional analyses (e.g., sensitivity or subgroup analyses, meta-regression), if done, indicating which were pre-specified.	10
RESULTS			
Study selection	17	Give numbers of studies screened, assessed for eligibility, and included in the review, with reasons for exclusions at each stage, ideally with a flow diagram.	
Study characteristics	18	For each study, present characteristics for which data were extracted (e.g., study size, PICO, follow-up period) and provide the citations.	
Risk of bias within studies	19	Present data on risk of bias of each study and, if available, any outcome level assessment (see item 12).	
Results of individual studies	20	For all outcomes considered (benefits or harms), present, for each study: (a) simple summary data for each intervention group (b) effect estimates and confidence intervals, ideally with a forest plot.	
Synthesis of results	21	Present results of each meta-analysis done, including confidence intervals and measures of consistency.	
Risk of bias across studies	22	Present results of any assessment of risk of bias across studies (see Item 15).	
Additional analysis	23	Give results of additional analyses, if done (e.g., sensitivity or subgroup analyses, meta-regression [see Item 16]).	
DISCUSSION			
Summary of evidence	24	Summarize the main findings including the strength of evidence for each main outcome; consider their relevance to key groups (e.g., healthcare providers, users, and policy makers).	
Limitations	25	Discuss limitations at study and outcome level (e.g., risk of bias), and at review-level (e.g., incomplete retrieval of identified research, reporting bias).	
Conclusions	26	Provide a general interpretation of the results in the context of other evidence, and implications for future research.	
FUNDING			
Funding	27	Describe sources of funding for the systematic review and other support (e.g., supply of data; role of funders for the systematic review).	1

From: Moher D, Liberati A, Tetzlaff J, Altman DG, The PRISMA Group (2009). Preferred Reporting Items for Systematic Reviews and Meta-Analyses: The PRISMA Statement. PLoS Med 6(6): e1000097. doi:10.1371/journal.pmed1000097

For more information, visit: www.prisma-statement.org.

BMJ Open

Use of music to enhance sleep and psychological outcomes in critically ill patients: a protocol for systematic review and meta-analysis

Journal:	<i>BMJ Open</i>
Manuscript ID	bmjopen-2020-037561.R2
Article Type:	Protocol
Date Submitted by the Author:	27-Oct-2020
Complete List of Authors:	Chen, Lixia; Affiliated Zhongshan Hospital of Dalian University Wang, Fang; The Second Affiliated Hospital of the University of South China Li, Jianhua; Affiliated Zhongshan Hospital of Dalian University Cui, Li; Affiliated Zhongshan Hospital of Dalian University Liu, Xiaoli; Peking University People's Hospital Han, Cuihua; Affiliated Zhongshan Hospital of Dalian University Qu, Siqi; Dalian University Wang, Liang; Sichuan Provincial People's Hospital Ji, Daihong; Affiliated Zhongshan Hospital of Dalian University
Primary Subject Heading:	Intensive care
Secondary Subject Heading:	Intensive care, Mental health
Keywords:	Adult intensive & critical care < ANAESTHETICS, Sleep medicine < ANAESTHETICS, Adult intensive & critical care < INTENSIVE & CRITICAL CARE

SCHOLARONE™
Manuscripts



I, the Submitting Author has the right to grant and does grant on behalf of all authors of the Work (as defined in the below author licence), an exclusive licence and/or a non-exclusive licence for contributions from authors who are: i) UK Crown employees; ii) where BMJ has agreed a CC-BY licence shall apply, and/or iii) in accordance with the terms applicable for US Federal Government officers or employees acting as part of their official duties; on a worldwide, perpetual, irrevocable, royalty-free basis to BMJ Publishing Group Ltd ("BMJ") its licensees and where the relevant Journal is co-owned by BMJ to the co-owners of the Journal, to publish the Work in this journal and any other BMJ products and to exploit all rights, as set out in our [licence](#).

The Submitting Author accepts and understands that any supply made under these terms is made by BMJ to the Submitting Author unless you are acting as an employee on behalf of your employer or a postgraduate student of an affiliated institution which is paying any applicable article publishing charge ("APC") for Open Access articles. Where the Submitting Author wishes to make the Work available on an Open Access basis (and intends to pay the relevant APC), the terms of reuse of such Open Access shall be governed by a Creative Commons licence – details of these licences and which [Creative Commons](#) licence will apply to this Work are set out in our licence referred to above.

Other than as permitted in any relevant BMJ Author's Self Archiving Policies, I confirm this Work has not been accepted for publication elsewhere, is not being considered for publication elsewhere and does not duplicate material already published. I confirm all authors consent to publication of this Work and authorise the granting of this licence.

Use of music to enhance sleep and psychological outcomes in critically ill patients: a protocol for systematic review and meta-analysis

Lixia Chen¹, Fang Wang^{2#}, Jianhua Li¹, Li Cui¹, Xiaoli Liu³, Cuihua Han¹, Siqi Qu⁴, Liang Wang^{5*}, Daihong Ji^{1*}

Author affiliations

1. Department of Nursing, Affiliated Zhongshan Hospital of Dalian University, Dalian, China

2. Hemopurification Center, The Second Affiliated Hospital, University of South China, Hengyang, China

3. Operating room, Peking University People's Hospital, Beijing, China

4. School of Nursing, Dalian University, Dalian, China

5. Intensive Care Unit, Sichuan Provincial Renmin Hospital, Chengdu, China

These authors contributed equally to this work and should be regarded as co-first authors.

*Corresponding Author: Daihong Ji, Liang Wang E-mail: yourfriend.123@163.com

Contributors

Lixia Chen, Fang Wang and Liang Wang were responsible for drafting the article or revising it critically for important intellectual content. Jianhua Li and Li Cui conducted final approval of the version to be submitted.

Daihong Ji, Siqi Qu and Cuihua Han were responsible for the conception and design of the study, Xiaoli Liu supervised the work. All authors approved the final version of the article.

Funding

This work was funded by the Department of Science and Technology of Liaoning Province (No. 20180550221)

Competing interests None declared

Provenance and peer review Not commissioned; externally peer reviewed

Exclusive Licence

I, the Submitting Author has the right to grant and does grant on behalf of all authors of the Work (as defined in the below author licence), an exclusive licence and/or a non-exclusive licence for contributions from authors who are:

i) UK Crown employees; ii) where BMJ has agreed a CC-BY licence shall apply, and/or iii) in accordance with the terms applicable for US Federal Government officers or employees acting as part of their official duties; on a

1
2
3
4 27
5
6 28
7
8 29
9
10 30
11
12 31
13
14 32
15
16 33
17
18 34
19
20 35
21
22 36
23
24 37
25
26 38
27
28 39
29
30 40
31
32 41
33
34 42
35
36 43
37
38 44
39
40 45
41
42 46
43
44 47
45
46 48
47
48 49
49
50 50
51
52 51
53
54 52
55
56 53
57
58 54
59
60 55
56

worldwide, perpetual, irrevocable, royalty-free basis to BMJ Publishing Group Ltd (“BMJ”) its licensees and where the relevant Journal is co-owned by BMJ to the co-owners of the Journal, to publish the Work in BMJ Open and any other BMJ products and to exploit all rights, as set out in our licence.

For peer review only

Abstract

Introduction Music listening as a non-pharmacological intervention, is widely used in various populations with positive results, yet the evidence for music listening on sleep and psychological outcomes in critically ill patients is less clear. It is essential to better understand the impact of music listening for critically ill patients to optimise care and minimise risk of harm. We will assess whether music listening improves sleep and psychological outcomes in critically ill patients.

Methods and analysis We will systematically search scientific databases: PubMed, Embase, CINAHL, PsycINFO, Web of Science, Scopus, ProQuest, the Cochrane Central Register of Controlled Trials, China Biological Medicine Database, China National Knowledge Infrastructure Library, Wan fang databases, VIP Database for Chinese Technical Periodicals, and the Chinese Clinical Trial Registry. All databases will be searched for articles published from inception to 10 June 2020. Music therapy journals and reference lists in some articles will be hand-searched. Gray literature will also be searched. We will include randomized and quasi-randomized controlled trials of music listening to improve sleep and psychological outcomes in critically ill patients. The primary outcomes will be sleep-related outcomes, the secondary outcomes will be anxiety score, depression score and physiological outcomes. Two reviewers will independently verify study eligibility and methodological quality; disagreements will be resolved by a third reviewer or discussion. The risk of bias will be independently determined using the Cochrane Risk of Bias Tool. Data will be extracted from eligible studies by two researchers. RevMan (version 5.3) will be used for meta-analysis. Additionally, the CONSORT checklist will be used to examine the quality of the papers.

Ethics and Dissemination This work will review existing trial data and will not introduce new patient data or interventions, ethics committee approval is not required. We will disseminate this protocol in a related peer-reviewed journal.

PROSPERO Registration Number CRD42019147202

Strengths and limitations of this study

- We plan to employ robust international gold-standard methodology and comprehensive search strategy to reduce bias.
- We will assess the quality of the articles included using a validated tool.

1
2
3
4 86
5
6 87
7
8 88
9
10 89
11
12 90
13 91
14
15 92
16
17 93
18
19 94
20
21 95
22
23 96
24
25 97
26
27 98
28
29 99
30
31 100
32
33 101
34
35 102
36
37 103
38
39 104
40
41 105
42
43 106
44
45 107
46
47 108
48
49 109
50
51 110
52
53 111
54
55 112
56
57 113
58
59 114
60 115

-
- Subgroup analysis will be performed when possible to elaborate intervention or subject characteristics correlated with increased effectiveness.
 - If there is high heterogeneity across studies, which may increase the difficulties to interpret a meta- analysis.
 - Limited in that the systematic review protocol will only include articles in English and Chinese

For peer review only

INTRODUCTION

Rationale

Sleep disturbance is a frequent problem among patients in intensive care units (ICUs)^{1 2}. Sleep quality and quantity³ are negatively affected by the ICU environment (e.g., noise, lights), patient-care activities, symptoms of the patient's underlying illness, and by mechanical ventilation^{4 5}. It is characterized by prolonged sleep onset latency, short sleep duration, frequent awakenings, non-restorative sleep, and decreased sleep efficiency (percentage of time in bed spent asleep). Sleep disturbance has been associated with numerous adverse consequences in critically ill patients, including impairments in immune function, memory, wound healing, and inspiratory muscle endurance; higher rates of delirium; and increased overall morbidity and mortality⁶.

An increase in psychological problems, such as anxiety and depression, has also been found in critically ill patients^{7 8}. One study⁹ estimated that 70%–80% of critically ill patients suffer anxiety related to fear, sleeplessness, pain, discomfort, thirst, and disease-related symptoms; patients on assisted ventilation were especially prone to anxiety because of their need for frequent suctioning, inability to breath independently or talk, and general discomfort. It has also been found that one-half of patients experienced a high level of depression during the ICU stay⁹. In turn, unmanaged anxiety and depression have been associated with harmful effects on disease recovery and overall well-being: prolonged weaning from ventilation and recovery time¹⁰, increased work of breathing, fatigue¹¹, and acute elevations in blood pressure¹², increase the depression incidence in ICU survivors¹³. Wewalka found that pre-existing depressive mood at the time of ICU admission was an independent risk factor for 28-day mortality in medical ICU patients¹⁴.

Sufficient evidence showed that sleep disturbance, anxiety and depression were detrimental to their disease recovery and psychological well-being. Research has also reported the interplay of sleep disturbance, anxiety, and depression¹⁵. Anxiety and depression are both risk factors for sleep disturbance, and disturbed sleep pattern in turn increases emotional distress, leading to higher levels of anxiety and depression^{16 17}. Therefore, nurses as key staffs in the ICU, need to provide effective interventions to address all of these.

Pharmacological and non-pharmacological interventions are proposed to manage sleep and psychological distress in the ICU. Pharmacological therapy is generally the first line of treatment^{18 19}. However, pharmacological therapy itself has been associated with numerous adverse effects and

1
2
3
4 146 complications, including memory loss, prolongation of mechanical ventilation, altered sleep stages,
5
6 147 longer length of hospitalization, tolerance, bradycardia, hypotension, residual daytime effects,
7
8 148 dysmotility, weakness, and delirium^{20 21}. Additionally, the medications used are expensive. To avoid
9
10 149 this, a number of researchers have developed alternative, non-pharmacological therapies to improve
11
12 150 sleep, anxiety, and depression in ICU patients, with positive results²²⁻²⁴. In recent years, the results of
13
14 151 music intervention studies had led scientists to pay close attention to the relationship between music
15
16 152 and sleep in various types of patients. This has resulted in an increase in the use of music therapy and
17
18 153 music listening (sometimes called music medicine²⁵).

19 154 Music can be defined as the organization of the tone over the time, it is one of the most
20
21 155 pleasurable experiences for the human being. As far back as the early 1800s, Florence Nightingale²⁶
22
23 156 described the importance of music and its healing effect on patients, the music implemented in
24
25 157 hospitals was live music. With the development of music discipline and science, more and more
26
27 158 recorded music was used, music equipment used were mainly portable stereos, wall-mounted
28
29 159 speakers, or mp3 player²⁷. In medicine, music as a non-pharmacological be adopted by medical staff,
30
31 160 the intervention involves different types of music, such as low volume, nature sounds, soothing
32
33 161 music, Mozart piano, etc. It is relatively easy to implement, cost effective, safe and has no negative
34
35 162 impacts²⁸. Music therapy and music listening are common forms of music application that are similar
36
37 163 but have distinct features. Music therapy is defined as the clinical and evidence-based use of music
38
39 164 to realize individualized clinical goals within a therapeutic relationship. It is conducted by a certified
40
41 165 music therapist, and centres on the dynamic musical interaction between the music therapist and the
42
43 166 patient, verbal processing of the music experience, and implementation and alteration of music
44
45 167 (tempo, volume, intensity) according to the patient's need^{29 30}. The music therapy is consist of active
46
47 168 and passive forms, active part refers to therapy needing patient participation in process, while passive
48
49 169 part refers to therapy composing only of listening to music and without participation. But, no matter
50
51 170 active or passive, music therapy is an evidence-based practice conducted by certified music
52
53 171 therapists. In the course of therapy, music elements, such as melody, rhythm, tempo, harmony were
54
55 172 considered by music therapists³¹. The use of environmental music therapy (EMT), which is a method
56
57 173 within the field of music therapy, has been increasing in recent years^{32 33}. EMT, involving live music
58
59 174 to address a chaotic intensive care environment, helping to create a less tense atmosphere by trained,
60 175 certified professionals. They apply live music to meet the psychological, physical and cultural needs

of caregivers, patients and staff in the hospital environment. Previous study have also verified the safety and effectiveness of EMT³⁴. Music listening is defined as passive listening to recorded music via any form of music playback device (e.g. listening to a MP3 through earphones or a loudspeaker) or listening to live music, without interacting with a music therapist or theoretical framework. It can be provided by medical or healthcare professionals or self-administered by a patient, and patients may or may not be involved in selecting the music^{35 36}. Although music-based application are used in both music listening and music therapy, it is important to distinguish the two interventions in clinical practice³⁷ due to the varying levels of training in the fundamentals of music and its therapeutic applications. For instance, music therapists receive specialized training in the aforementioned areas²⁵. The effectiveness of music therapy is mostly caused by the active musical interaction between the patient and the music therapist, this is why numerous studies have indicated music therapy is more effective than music listening. However, most of the patients in ICU are critically ill and weak, patients may not have enough energy to interact with a music therapist. Music listening may be a preference, compared with music therapy, music listening could be used by more patients. And music listening has been widely used in various diseases, such as Parkinson disease³⁸, Alzheimer disease³⁹, and cancer⁴⁰, to assuage emotional, physiological, and psychological symptoms. A growing number of studies, in adults of all ages, have demonstrated the positive effects of music listening on anxiety, depression, stress, and pain^{41 42}, in various medical and surgical conditions. Repeated studies have specifically reported music listening improved sleep in critically ill patients^{24 43 44}. Furthermore, music listening is inexpensive, relatively easy to carry out, and safe compared with pharmacological intervention²⁸, benefits that are favourably received by patients. Thus, music listening is a potentially viable alternative treatment option.

Clinical trials have provided support for the effectiveness of music application in the healthcare setting, psychophysiological theory⁴⁵ also provides clues to its mechanism of action. Music is made up of many key elements, including rhythm, pitch, harmony, and melody. These music elements play a comprehensive role in the degree to which music can promote sleep in patients⁴⁶. Earlier authors described that sleep improvement is mediated by the relaxing, distracting effect of ‘soothing’ music⁴⁷. Music with a slow tempo of 60–80 beats per minute mirrors the heart rate and reduces neuroendocrine and sympathetic nervous system activity, resulting in relaxation. Further, the peaceful atmosphere created by soothing music in the ICU setting is a mood enhancer, reducing

1
2
3
4 206 anxiety and depression, and lowering treatment-related stress. Elsewhere, other authors described the
5
6 207 effect of music in modulating mood and emotions at the cortical level, through stimulation of self-
7
8 208 image and intellect⁴⁸. Clinical trials have also shown that listening to music reduced anxiety and
9
10 209 stress responses—which can lead to greater relaxation and improvement of sleep^{49 50}.

11
12 210 Research on the impact of music listening for ICU patients has evolved during the past 20 years,
13
14 211 several researchers studied the effects of music listening on sleep and psychological outcomes in
15
16 212 critically ill patients. For example, recent studies reporting that music listening may improve stress,
17
18 213 anxiety^{8 51}, depression⁵² and sleep^{53 54} in ICU patients. The intervention involves different types of
19
20 214 music to improve sleep and psychological symptoms, such as low volume, nature sounds, soothing
21
22 215 music, Mozart piano, etc. Music listening can be provided by specific tools (e.g. Mp3 or earphones
23
24 216 or loudspeaker). The choice of music may be determined by the researcher or by participants
25
26 217 themselves. The duration, frequency, and timing of music exposure has also varied among studies.
27
28 218 Although clinical trials have been performed to investigate the effects of music listening on sleep and
29
30 219 psychological outcomes, their safety and efficacy for critically ill patients have not been established.
31
32 220 Most of these studies have suffered from small sample size^{44 55}, making it nearly impossible to
33
34 221 achieve statistically significant results. The impact of the music listening may differ due to the
35
36 222 different design of the intervention (study design, methods of intervention, and types of music). One
37
38 223 systematic review have assessed the efficacy of music application for reducing anxiety in
39
40 224 mechanically ventilated patients³⁶, the author only included mechanically ventilated patients. A
41
42 225 recent systematic review also evaluated the effectiveness of music therapy to reduce stress and
43
44 226 anxiety in critically ill patients⁵⁶. In 2015, another review reported that music therapy appeared to be
45
46 227 safe to improve sleep but did not do a meta-analysis, and further randomized controlled trials were
47
48 228 required to assess efficacy⁵⁷. The 2018 Pain, Agitation/ sedation, Delirium, Immobility
49
50 229 (rehabilitation/mobilization), and Sleep (disruption) (PADIS) guideline also suggest no high quality
51
52 230 evidence to prove that music could improve sleep in critically ill adults¹.

53
54 231 Until now, despite the large number of relevant studies, music listening has not been
55
56 232 implemented as a therapeutic intervention in everyday critical care because information about
57
58 233 effectiveness has not been synthesised and disseminated universally. So, we assess effectiveness of
59
60 234 music listening in improvement of sleep, anxiety and depression in critically ill patients, and

investigate relevant subgroups (timing of intervention, type of intervention, severity of disease, mechanical ventilation status, and study site).

OBJECTIVES

This systematic review and meta-analysis aim to integrate the scientific research on the use of music listening to promote sleep, anxiety, and depression for critically ill patients in ICU. We attempt to answer the following research questions:

1. What are the effects of music listening on sleep quality and quantity in critically ill patients?
2. What are the effects of music listening on anxiety, depression and physiological outcomes in critically ill patients?

METHODS AND ANALYSIS

This is a quantitative systematic review protocol. We will follow the Preferred Reporting Items for Systematic Review and Meta-Analysis Protocols (PRISMA-P) guidelines to complete and report the study protocol⁵⁸. This systematic review protocol has been registered in PROSPERO (PROSPERO Registration Number CRD 42019147202)

Patient and public involvement

No patient and public involved in this study.

Inclusion/Exclusion criteria

Types of participants

Studies will be selected for inclusion if their subjects meet the criteria:

- adult patient in the ICU (age > 18 years old),
- being conscious and clear (Glasgow Coma Scale score ≥ 14),
- ventilated or non-ventilated,
- admittance to the ICU ≥ 24 hours.

We will exclude studies whose subjects had:

- hearing damage,
- been diagnosed with / had overt signs or symptoms of obstructive sleep apnea,
- been diagnosed with dementia or neurologic disease,
- severe signs or symptoms of psychological illness, such as hallucinations, delusions, and

behavioral disorders, etc.

Types of intervention and comparison

We will include any study in which sleep or psychological variables were considered as outcomes of music listening combined with standard care vs. standard care alone or standard care with other interventions in critically ill patients.

Types of outcome measures

At least one of the following outcomes must have been reported in the study:

Primary outcomes

- Sleep outcomes
 1. Sleep quality
 2. Sleep onset latency
 3. Total sleep time
 4. Number of awakenings
 5. Sleep efficiency (percent of time in bed spent asleep)

Sleep outcomes are measured using a variety of methods. Subjective perception of sleep is measured through validated self-report tools, including the Richards–Campbell Sleep Questionnaire (RCSQ)⁵⁹, Pittsburgh Sleep Quality Index (PSQI)⁶⁰, and the Verran and Synder-Halpern (VSH) Sleep Scale⁶¹; objective measurement of sleep is done with polysomnography, actigraphy, bispectral index (BIS) monitoring, or electroencephalography (EEG).

Secondary outcomes

- Psychological outcomes
 1. Anxiety
 2. Depression

We will include trials that measured psychological outcomes using standardized questionnaires with established reliability and validity, including Hospital-based Anxiety and Depression Scales⁶², the Visual Analogue Scale for Anxiety (VAS-A)⁶³, the Spielberger State-Trait anxiety Inventory⁶⁴, and the Beck Anxiety Inventory⁶⁵.

- Physiological outcomes (heart rate, blood pressure, respiratory rate)

Types of study designs

We will include any interventional study, including randomized and quasi-randomized controlled

trials.

Data source and search strategy

To identify eligible studies, we will search electronic databases, including: PubMed, Embase, CINAHL, PsycINFO, Web of Science, Scopus, ProQuest, the Cochrane Central Register of Controlled Trials, China Biological Medicine Database, China National Knowledge Infrastructure Library, Wang fang databases, VIP Database for Chinese Technical Periodicals, the Chinese Clinical Trial Registry. The databases will be searched from their start date to October 2020. Additionally, we will hand-search music therapy journals and the reference lists in some articles, as well as gray literature.

A health sciences librarian will design the search. Table 1 shows the search strategy, employing both keywords and Medical Subject Heading (MeSH) terms, that will be used to search PUBMED; this will be adapted for the other databases.

Table 1 Search strategy for PubMed

Filter: Humans

1 music [Mesh] OR 'music therapy' [Mesh] OR music medicine OR music* OR listen*

2 sleep [Mesh] OR sleep Disorders, Circadian Rhythm [Mesh] OR sleep* OR insomnia* OR wakeful* OR sleepless*

3 anxiety [Mesh] OR fear [Mesh] OR stress OR psychological OR depression [Mesh] OR depress* OR mood disorders [Mesh]

4 2 OR 3

5 critical illness [Mesh]) OR critical care [Mesh] OR 'intensive care units' [Mesh] OR ventilators, mechanical [Mesh] OR respiration, artificial [Mesh] OR intensive care OR ICU

6 1 AND 4 AND 5

7 infant* OR neonat* OR infant, premature [Mesh] OR infant, newborn [Mesh] OR Intensive Care Units, pediatric [Mesh]

8 6 NOT 7

Selection of studies

1
2
3
4 310 All articles retrieved through the search of the selected databases will be imported to Endnote, from
5
6 311 which duplicate references will be removed. Two members of the research team (SQ and CH) will
7
8 312 independently review the title/abstract of each article to verify each study meets the inclusion
9
10 313 criteria; if a title or abstract is unclear, the two researchers will review the full article. Disagreements
11
12 314 will be resolved by a third researcher (LX) or through discussion until consensus is reached. The
13
14 315 reason for all exclusions will be recorded.

15 316 **Data collection and validation**

16
17 317 Two researchers (JH and CL) will independently extract data from the included studies, using the
18
19 318 Cochrane Collaboration Data Collection Form⁶⁶. In the event of questions or missing data in the
20
21 319 original text, the researchers will contact the author to obtain the relevant data. The results of data
22
23 320 extraction will be compared to exclude any differences, and any disagreement will be resolved by a
24
25 321 third researcher (LX) or through discussion and consensus.

26
27 322 From all included studies, we will collect the following data:

- 28
29 323 1. Research and publication information, including the title, journal (volume, page number) or if
30
31 324 unpublished, the author, year of publication, country, and setting, the language of publication, and
32
33 325 funding sources;
34
35 326 2. Study design, including the type of design, inclusion criteria, exclusion criteria,
36
37 327 randomization method (including concealment and blinding), and losses to follow up;
38
39 328 3. Characteristics of the subjects, including total sample size, number of participants in the
40
41 329 intervention and control groups, gender, age, diagnosis, disease severity (Acute Physiology and
42
43 330 Chronic Health Evaluation [APACHE] II scores), comorbidities, and mechanical ventilation status;
44
45 331 4. Intervention details, including type of music, control of music selection (by participant or
46
47 332 researcher), the frequency, duration, and timing of music listening, and the format/devices used (e.g.,
48
49 333 headphone, loudspeaker); and
50
51 334 5. Outcomes, including the methods of assessment of sleep, anxiety, and depression, pre- and
52
53 335 post-test means or change scores, and standard deviations.

54 336 **Methodological quality assessment**

55
56 337 The risk of bias and quality of the included studies will be evaluated using the Cochrane
57
58 338 Collaboration's Risk for Bias tool⁶⁶, which evaluates seven sources of study bias: 1) random
59
60 339 sequence generation, 2) allocation concealment, 3) performance bias, 4) detection bias, 5) incomplete

outcome data, 6) selective reporting, and 7) other bias. Two researchers (LX and XL) will independently grade each element as 'low risk', 'high risk', or 'unclear risk'⁶⁷. Inconsistencies will be resolved through discussion and consensus, or by a third researcher (DH). For quality assessment of quasi-randomized controlled trials, an appropriate assessment will be completed.

Data synthesis and analysis

A meta-analysis will be conducted once there are a sufficient number of studies showing homogeneity. The statistical analysis will be performed using RevMan 5.3.5 software. Continuous data will be expressed with the odds ratio (OR) and its 95% confidence interval (CI). The level of heterogeneity of the included studies will be determined with the I^2 statistic and P value⁶⁸. If $P > 0.1$ and $I^2 < 50\%$, suggesting no statistical heterogeneity, a meta-analysis will be performed using a fixed-effects model; if $I^2 > 50\%$, a random-effects model will be used to analyse the clinical heterogeneity. Subgroup analysis will be performed by: timing of intervention, type of intervention, severity of disease (APACHE II score < 25 , $25-35$, > 35), mechanical ventilation status (ventilated patients versus non-ventilated patients), and study site (surgical ICU patients versus medical ICU patients). Sensitivity analysis will be used to determine the stability of the results, and Egger's regression test and funnel plots will be used to assess potential publication bias. If data pooling is not possible, quantitative data will be presented in a narrative review of the study primary and secondary outcomes, using thematic summaries and tables.

Validity and reliability /Rigour

The study protocol will use systematic review and meta-analytic methods, following the Cochrane Collaboration recommendations for performing a systematic review. The results will be reported according to the PRISMA-P guidelines⁶⁹. Additionally, the CONSORT checklist will be used to examine the quality of the papers.

DISCUSSION

This paper presents the protocol for a systematic review of the literature examining the effects of music listening on sleep and psychological outcomes in critically ill patients. The study was undertaken to answer questions about the effectiveness of music listening in this population. Properly powered, intervention studies provide strong evidence, so this meta-analysis of the existing evidence will permit conclusions about the efficacy of music listening on sleep, anxiety, and

depression in critically ill patients. Results from this project will provide recommendations for the use of music listening in this population and will support nurses and other health practitioners in their promotion of mental health. Additionally, by identifying existing lacunae in the literature, our results will prompt further research.

ETHICS AND DISSEMINATION

This work will review existing trial data and will not introduce new patient data or interventions. Thus, ethical committee approval is not required. This systematic review protocol will follow the PRISMA checklist. We will disseminate this protocol in a related peer-reviewed journal or at conferences.

Competing interests None declared.

Reference

1. Devlin JW, Y S, C G, et al. Clinical Practice Guidelines for the Prevention and Management of Pain, Agitation/Sedation, Delirium, Immobility, and Sleep Disruption in Adult Patients in the ICU. *Critical Care Medicine* 2018;46(9):e825.
2. Hweidi IM, Nizamli FM. Stressors in intensive care units in Syria: patients' perceptions. *Journal of Research in Nursing* 2015;20(2):114-26.
3. Pisani MA, Friese RS, Gehlbach BK, et al. Sleep in the intensive care unit. *Am J Respir Crit Care Med* 2015;191(7):731-8.
4. Pulak LM, Jensen L. Sleep in the Intensive Care Unit: A Review. *J Intensive Care Med* 2016;31(1):14-23.
5. Elliott R, McKinley S, Cistulli P, et al. Characterisation of sleep in intensive care using 24-hour polysomnography: an observational study. *Critical care (London, England)* 2013;17(2):R46. doi: 10.1186/cc12565
6. Delaney LJ, Van Haren F, Lopez V. Sleeping on a problem: the impact of sleep disturbance on intensive care patients - a clinical review. *Ann Intensive Care* 2015;5:3.
7. Oh J, Sohn JH, Shin CS, et al. Mutual Relationship between Anxiety and Pain in the Intensive Care Unit and Its Effect on Medications. *Journal of critical care* 2015;30(5):1043-48.
8. Lee CH, Lee CY, Hsu MY, et al. Effects of Music Intervention on State Anxiety and Physiological Indices in Patients Undergoing Mechanical Ventilation in the Intensive Care Unit. *Biol Res Nurs* 2017;19(2):137-44.
9. Treggiari-Venzi M, Borgeat A, Fuchs-Buder T, et al. Overnight sedation with midazolam or propofol in the ICU: Effects on sleep quality, anxiety and depression. *Intensive Care Med* 1996;22(11):1186-90.
10. Wong HL, Lopeznahas V, Molassiotis A. Effects of music therapy on anxiety in ventilator-dependent patients. *Heart & Lung* 2001;30(5):376-87.
11. Hetland B, Lindquist R, Chlan LL. The influence of music during mechanical ventilation and weaning from mechanical ventilation: A review. *Heart & Lung* 2015;44(5):416-25.

12. Loomba RS, Arora R, Shah PH, et al. Effects of music on systolic blood pressure, diastolic blood pressure, and heart rate: a meta-analysis. *Indian Heart J* 2012;64(3):309-13.
13. Davydow DS, Gifford JM, Desai SV, et al. Depression in general intensive care unit survivors: a systematic review. *Intensive Care Med* 2009;35(5):796-809.
14. Wewalka M, Warszawska J, Strunz V, et al. Depression as an independent risk factor for mortality in critically ill patients. *Psychosomatic Med* 2015;77(2):106-13.
15. Ding Q, Redeker NS, Pisani MA, et al. Factors Influencing Patients' Sleep in the Intensive Care Unit: Perceptions of Patients and Clinical Staff. *AM J CRIT CARE* 2017;26(4):278-86.
16. Treggiari VM, Borgeat ABT, Gachoud JP, et al. Overnight sedation with midazolam or propofol in the ICU: effects on sleep quality, anxiety and depression. *Intensive Care Med* 1996;22(11):1186-90.
17. Kamdar BB, Needham DM, Collop NA. Sleep Deprivation in Critical Illness: Its Role in Physical and Psychological Recovery. *J Intensive Care Med* 2012;27(2):97.
18. Feren S, Schweitzer PK, Walsh JK. Pharmacotherapy for insomnia. *Handb Clin Neurol* 2008;24(1):93-105.
19. Mofredj A, Alaya S, Tassaioust K, et al. Music therapy, a review of the potential therapeutic benefits for the critically ill. *J Crit Care* 2016;35:195-9.
20. DeMartinis NA, Kamath J, Winokur A. New approaches for the treatment of sleep disorders. *Advances in Pharmacology* 2009:187-235.
21. Arroliga AC, Thompson BT, Ancukiewicz M, et al. Use of sedatives, opioids, and neuromuscular blocking agents in patients with acute lung injury and acute respiratory distress syndrome*. *Critl Care Med* 2008;36(4):1083.
22. Kozasa EH, Hachul H, Monson C, et al. Mind-body interventions for the treatment of insomnia: a review. *Braz J Psychiat* 2010;32(4):437-43.
23. Han L, Li JP, Sit JW, et al. Effects of music intervention on physiological stress response and anxiety level of mechanically ventilated patients in China: a randomised controlled trial. *J Clin Nurs* 2010;19(7-8):978-87.
24. Hu RF, Jiang XY, Chen J, et al. Non-pharmacological interventions for sleep promotion in the intensive care unit. *Cochrane Db Syst Rev* 2015(10)
25. Yinger OS, Gooding L. Music Therapy and Music Medicine for Children and Adolescents. *Child Adolesc Psychiatr Clin N Am* 2014;23(3):535-53.
26. Bulechek GM, McCloskey JC. Nursing interventions: Treatments for nursing diagnoses. *Am J Nurs* 1985;85(12):1350.
27. Lee WP, Wu PY, Lee MY, et al. Music listening alleviates anxiety and physiological responses in patients receiving spinal anesthesia. *Complement Ther Med* 2017;31:8-13.
28. Cepeda MS, Carr DB, Lau J, et al. Music for pain relief. *Cochrane database of systematic reviews* 2006(2)
29. Golino AJ, Leone R, Gollenberg A, et al. Impact of an Active Music Therapy Intervention on Intensive Care Patients. *Am J Crit Care* 2019;28(1):48-55.
30. Mofredj A, Alaya S, Tassaioust K, et al. Music therapy, a review of the potential therapeutic benefits for the critically ill. *J Crit Care* 2016:195-99.
31. Bernatzky G, Presch M, Anderson M, et al. Emotional foundations of music as a non-pharmacological pain management tool in modern medicine. *Neuro Biobehav Rev* 2011;35(9):1989-99.
32. Canga B, Hahm CL, Lucido D, et al. Environmental Music Therapy: A Pilot Study on the Effects of Music Therapy in a Chemotherapy Infusion Suite. *Music & Med* 2012;4(4):221-30.
33. Zhang JW, Doherty MA, Mahoney JF. Environmental Music in a Hospital Setting: Considerations of Music Therapists and Performing Musicians. *Music & Med* 2018;10(2):71-79.

34. Chang-Lit W, Loewy J, Fox J, et al. Project Sleep: The Role and Effect of a Comprehensive, Multidisciplinary Music Therapy Quality Improvement Program. *Journal of sleep and sleep disorder research* 2018;1(2):26-41.

35. Chan MF, Zi YW, Thayala NV. The effectiveness of music listening in reducing depressive symptoms in adults: A systematic review. *Complement Ther Med* 2011;19(6):332-48.

36. Bradt J, Dileo C. Cochrane review: Music interventions for mechanically ventilated patients. *J Evidence-Based Med* 2015;8(1):56-56.

37. Hansen IP, Langhorn L, Dreyer P. Effects of music during daytime rest in the intensive care unit. *Nurs Crit Care* 2018;23(4):207-13.

38. Cock VCD, Dotov DG, Ihalainen P, et al. Rhythmic abilities and musical training in Parkinson's disease: do they help? *Npj Parkinsons Dis* 2018;4(1):8.

39. Guess, Hayley. Alzheimer's Disease and the Impact of Music Therapy: A Systematic Literature Review. *JMURJ*, 2018, 5(1):2-2.

40. Bradt J, Dileo C, Magill L, et al. Music interventions for improving psychological and physical outcomes in cancer patients. *Cochrane Db Syst Rev* 2016(8): CD006911.

41. Marie C, Wendy C, Mary Anne H. Music and its effect on anxiety in short waiting periods: a critical appraisal. *J Clin Nurs* 2010;14(2):145-55.

42. Chan MF, Chan EA, Mok E. Effects of music on depression and sleep quality in elderly people: A randomised controlled trial. *Complement Ther Med* 2010;18(3):150-59.

43. Ryu MJ, Park JS, Park H. Effect of sleep-inducing music on sleep in persons with percutaneous transluminal coronary angiography in the cardiac care unit. *J Clin Nurs* 2012;21(5-6):728-35.

44. Su CP, Lai HL, Chang ET, et al. A randomized controlled trial of the effects of listening to non-commercial music on quality of nocturnal sleep and relaxation indices in patients in medical intensive care unit. *J Adv Nurs* 2013;69(6):1377-89.

45. Chang H-K, Peng T-C, Wang J-H, et al. Psychophysiological responses to sedative music in patients awaiting cardiac catheterization examination: a randomized controlled trial. *J Cardiovasc Nurs* 2011;26(5):E11-E18.

46. Loewy J. Music therapy as a potential intervention for sleep improvement. *Nat Sci Sleep* 2020;12:1.

47. Hui-Ling L, Yin-Ming L. The effect of music on biochemical markers and self-perceived stress among first-line nurses: a randomized controlled crossover trial. *J Adv Nurs* 2011;67(11):2414-24.

48. Koelsch S, Jäncke L. Music and the heart. *Eur Heart J* 2015;36(44):3043-49.

49. Zhang JM, Wang P, Yao JX, et al. Music interventions for psychological and physical outcomes in cancer: a systematic review and meta-analysis. *Support Care Cancer* 2012;20(12):3043-53.

50. Dileo C, Bradt J. Music therapy: applications to stress management. *Principles and Practice of Stress Management, 3rd ed New York: Guilford* 2007

51. Marie C, Wendy C, Philip S, et al. The effect of music on discomfort experienced by intensive care unit patients during turning: a randomized cross-over study. *Int J Nurs Pract* 2010;16(2):125-31.

52. Clinical research of the effect of music therapy on ICU patients with loneliness, anxiety and depression. *China Health Industry* 2013

53. Chiu-Ping S, Hui-Ling L, En-Ting C, et al. A randomized controlled trial of the effects of listening to non-commercial music on quality of nocturnal sleep and relaxation indices in patients in medical intensive care unit. *J Adv Nurs* 2013;69(6):1377-89.

54. Hu RF, Jiang XY, Hegadoren KM, et al. Effects of earplugs and eye masks combined with relaxing music on sleep, melatonin and cortisol levels in ICU patients: a randomized controlled trial. *Crit Care* 2015;19(1):1-9.
55. Papathanassoglou ED, Hadjibalassi M, Miltiadows P, et al. Effects of an integrative nursing intervention on pain in critically ill patients: a pilot clinical trial. *Am J Crit Care* 2018;27(3):172-85.
56. Umbrello M, Sorrenti T, Mistracetti G, et al. Music therapy reduces stress and anxiety in critically ill patients: a systematic review of randomized clinical trials. *Minerva Anestesiol* 2019;85(8):886.
57. Sandoval CP. Nonpharmacological Interventions for Sleep Promotion in the Intensive Care Unit. *Crit Care Nurse* 2014;37(2):100-02.
58. Shamseer L, Moher D, Clarke M, et al. Preferred reporting items for systematic review and meta-analysis protocols (PRISMA-P) 2015: elaboration and explanation. *BMJ* 2015;349(jan021):g7647-g47.
59. Richards KC, O'Sullivan PS, Phillips RL. Measurement of sleep in critically ill patients. *J Nurs Measurement* 2000;8(2).
60. Buysse DJ, III CFR, Monk TH, et al. The Pittsburgh sleep quality index: A new instrument for psychiatric practice and research. *Psychiat Res* 1989;28(2):193-213.
61. Snyder-Halpern R, Verran JA. Instrumentation to describe subjective sleep characteristics in healthy subjects. *Res Nurs Health* 1987;10(3):155-63.
62. Snaith RP. The Hospital Anxiety And Depression Scale. *Acta Psychiatrica Scandinavica* 1983;67(6):361.
63. Hornblow AR, Kidson MA. The Visual Analogue Scale for Anxiety: A validation study. *Austr NZ J Psychiat* 1976;10(4):339-41.
64. Marteau TM, Bekker H. The development of a six-item short-form of the state scale of the Spielberger State—Trait Anxiety Inventory (STAI). *Brit J Clin Psychol* 1992;31(3):301-06.
65. Fydrich T, Dowdall D, Chambless DL. Reliability and validity of the beck anxiety inventory. *J Anxiety Disord* 1992;6(1):55–61.
66. Higgins J, Green S. Cochrane Handbook for Systematic Reviews of Interventions. *Cochrane Db Syst Rev* (Online), 2011, 2011(14).
67. Higgins JP, Altman DG, Gøtzsche PC, et al. The Cochrane Collaboration's tool for assessing risk of bias in randomised trials. *BMJ* 2011;343:d5928.
68. Higgins JP, Thompson SG. Quantifying heterogeneity in a meta-analysis. *Stat Med* 2002;21(11):1539-58.
69. Knobloch K, Yoon U, Vogt PM. Preferred reporting items for systematic reviews and meta-analyses (PRISMA) statement and publication bias. *J Craniomaxillofac Surg* 2010, 39(2):91-92.



PRISMA 2009 Checklist

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47

Section / topic	#	Checklist item	Reported on page #
TITLE			
Title	1	Identify the report as a systematic review, meta-analysis, or both.	1
ABSTRACT			
Structured summary	2	Provide a structured summary including, as applicable: background; objectives; data sources; study eligibility criteria, participants, and interventions; study appraisal and synthesis methods; results; limitations; conclusions and implications of key findings; systematic review registration number.	3
INTRODUCTION			
Rationale	3	Describe the rationale for the review in the context of what is already known.	4
Objectives	4	Provide an explicit statement of questions being addressed with reference to participants, interventions, comparisons, outcomes, and study design (PICOS).	8
METHODS			
Protocol and registration	5	Indicate if a review protocol exists, if and where it can be accessed (e.g., Web address), and if available, provide registration information including registration number.	8
Eligibility criteria	6	Specify study characteristics (e.g., PICOS, length of follow-up) and report characteristics (e.g., years considered, language, publication status) used as criteria for eligibility, giving rationale.	8-9
Information sources	7	Describe all information sources (e.g., databases with dates of coverage, contact with study authors to identify additional studies) in the search and date last searched.	10
Search	8	Present full electronic search strategy for at least one database, including any limits used, such that it could be repeated.	10
Study selection	9	State the process for selecting studies (i.e., screening, eligibility, included in systematic review, and, if applicable, included in the meta-analysis).	11
Data collection process	10	Describe method of data extraction from reports (e.g., piloted forms, independently, in duplicate) and any processes for obtaining and confirming data from investigators.	11
Data items	11	List and define all variables for which data were sought (e.g., PICOS, funding sources) and any assumptions and simplifications made.	11
Risk of bias in individual studies	12	Describe methods used for assessing risk of bias of individual studies (including specification of whether this was done at the study or outcome level), and how this information is to be used in any data synthesis.	12
Summary measures	13	State the principal summary measures (e.g., risk ratio, difference in means).	12
Synthesis of results	14	Describe the methods of handling data and combining results of studies, if done, including measures of consistency (e.g., I ²) for each meta-analysis.	12

1136/bmjopen-2020-037561 on 10 May 2025. Downloaded from <http://bmjopen.bmj.com/> by guest. Protected by copyright.



PRISMA 2009 Checklist

Page 1 of 2

Section/topic	#	Checklist item	Reported on page #
Risk of bias across studies	15	Specify any assessment of risk of bias that may affect the cumulative evidence (e.g., publication bias, selective reporting within studies).	12
Additional analyses	16	Describe methods of additional analyses (e.g., sensitivity or subgroup analyses, meta-regression), if done, indicating which were pre-specified.	12
RESULTS			
Study selection	17	Give numbers of studies screened, assessed for eligibility, and included in the review, with reasons for exclusions at each stage, ideally with a flow diagram.	
Study characteristics	18	For each study, present characteristics for which data were extracted (e.g., study size, PICO, follow-up period) and provide the citations.	
Risk of bias within studies	19	Present data on risk of bias of each study and, if available, any outcome level assessment (see item 12).	
Results of individual studies	20	For all outcomes considered (benefits or harms), present, for each study: (a) simple summary data for each intervention group (b) effect estimates and confidence intervals, ideally with a forest plot.	
Synthesis of results	21	Present results of each meta-analysis done, including confidence intervals and measures of consistency.	
Risk of bias across studies	22	Present results of any assessment of risk of bias across studies (see Item 15).	
Additional analysis	23	Give results of additional analyses, if done (e.g., sensitivity or subgroup analyses, meta-regression [see Item 16]).	
DISCUSSION			
Summary of evidence	24	Summarize the main findings including the strength of evidence for each main outcome; consider their relevance to key groups (e.g., healthcare providers, users, and policy makers).	
Limitations	25	Discuss limitations at study and outcome level (e.g., risk of bias), and at review-level (e.g., incomplete retrieval of identified research, reporting bias).	
Conclusions	26	Provide a general interpretation of the results in the context of other evidence, and implications for future research.	
FUNDING			
Funding	27	Describe sources of funding for the systematic review and other support (e.g., supply of data; role of funders for the systematic review).	1

From: Moher D, Liberati A, Tetzlaff J, Altman DG, The PRISMA Group (2009). Preferred Reporting Items for Systematic Reviews and Meta-Analyses: The PRISMA Statement. PLoS Med 6(6): e1000097. doi:10.1371/journal.pmed1000097

For more information, visit: www.prisma-statement.org.

Page 2 of 2

For peer review only - <http://bmjopen.bmj.com/site/about/guidelines.xhtml>

1136/bmjopen-2020-03761 on 11 May 2021. Downloaded from <http://bmjopen.bmj.com/> by guest. Protected by copyright.

BMJ Open

Use of music to enhance sleep and psychological outcomes in critically ill patients: a protocol for a systematic review and meta-analysis

Journal:	<i>BMJ Open</i>
Manuscript ID	bmjopen-2020-037561.R3
Article Type:	Protocol
Date Submitted by the Author:	18-Feb-2021
Complete List of Authors:	Chen, Lixia; Affiliated Zhongshan Hospital of Dalian University Wang, Fang; The Second Affiliated Hospital of the University of South China Li, Jianhua; Affiliated Zhongshan Hospital of Dalian University Cui, Li; Affiliated Zhongshan Hospital of Dalian University Liu, Xiaoli; Peking University People's Hospital Han, Cuihua; Affiliated Zhongshan Hospital of Dalian University Qu, Siqi; Dalian University Wang, Liang; Sichuan Provincial People's Hospital Ji, Daihong; Affiliated Zhongshan Hospital of Dalian University
Primary Subject Heading:	Intensive care
Secondary Subject Heading:	Intensive care, Mental health
Keywords:	Adult intensive & critical care < ANAESTHETICS, Sleep medicine < ANAESTHETICS, Adult intensive & critical care < INTENSIVE & CRITICAL CARE

SCHOLARONE™
Manuscripts



I, the Submitting Author has the right to grant and does grant on behalf of all authors of the Work (as defined in the below author licence), an exclusive licence and/or a non-exclusive licence for contributions from authors who are: i) UK Crown employees; ii) where BMJ has agreed a CC-BY licence shall apply, and/or iii) in accordance with the terms applicable for US Federal Government officers or employees acting as part of their official duties; on a worldwide, perpetual, irrevocable, royalty-free basis to BMJ Publishing Group Ltd ("BMJ") its licensees and where the relevant Journal is co-owned by BMJ to the co-owners of the Journal, to publish the Work in this journal and any other BMJ products and to exploit all rights, as set out in our [licence](#).

The Submitting Author accepts and understands that any supply made under these terms is made by BMJ to the Submitting Author unless you are acting as an employee on behalf of your employer or a postgraduate student of an affiliated institution which is paying any applicable article publishing charge ("APC") for Open Access articles. Where the Submitting Author wishes to make the Work available on an Open Access basis (and intends to pay the relevant APC), the terms of reuse of such Open Access shall be governed by a Creative Commons licence – details of these licences and which [Creative Commons](#) licence will apply to this Work are set out in our licence referred to above.

Other than as permitted in any relevant BMJ Author's Self Archiving Policies, I confirm this Work has not been accepted for publication elsewhere, is not being considered for publication elsewhere and does not duplicate material already published. I confirm all authors consent to publication of this Work and authorise the granting of this licence.

Use of music to enhance sleep and psychological outcomes in critically ill patients: a protocol for a systematic review and meta-analysis

Lixia Chen¹, Fang Wang^{2#}, Jianhua Li¹, Li Cui¹, Xiaoli Liu³, Cuihua Han¹, Siqi Qu⁴, Liang Wang^{5*}, Daihong Ji^{1*}

Authors' affiliations

¹. Department of Nursing, Affiliated Zhongshan Hospital of Dalian University, Dalian, China

². Hemopurification Center, The Second Affiliated Hospital, University of South China, Hengyang, China

³. Operating room, Peking University People's Hospital, Beijing, China

⁴. School of Nursing, Dalian University, Dalian, China

⁵. Intensive Care Unit, Sichuan Provincial Renmin Hospital, Chengdu, China

These authors contributed equally to this work and should be regarded as co-first authors.

*Corresponding Author: Daihong Ji, Liang Wang Email: yourfriend.123@163.com

Contributors

Lixia Chen, Fang Wang, and Liang Wang were responsible for drafting the article and revising it critically for important intellectual content. Jianhua Li and Li Cui conducted final approval of the version to be submitted. Daihong Ji, Siqi Qu, and Cuihua Han were responsible for the conception and design of the study, Xiaoli Liu supervised the work. All authors approved the final version of the article.

Funding

This work was funded by the Journal of Chinese Medical Association (CMAJH-NRI2019024).

Competing interests None declared.

Provenance and peer review Not commissioned; externally peer reviewed.

Exclusive License

I, the Submitting Author, have the right to grant and do grant on behalf of all authors of the Work (as defined in the below author license) an exclusive license and/or a non-exclusive license for contributions from authors who are: i) UK Crown employees; ii) where BMJ has agreed a CC-BY license shall apply, and/or iii) in accordance with the terms applicable for US Federal Government officers or employees acting as part of their official duties; on a

1
2
3
4 27
5
6 28
7
8 29
9
10 30
11
12 31
13
14 32
15
16 33
17
18 34
19
20 35
21
22 36
23
24 37
25
26 38
27
28 39
29
30 40
31
32 41
33
34 42
35
36 43
37
38 44
39
40 45
41
42 46
43
44 47
45
46 48
47
48 49
49
50 50
51
52 51
53
54 52
55
56 53
57
58 54
59
60 55
56

worldwide, perpetual, irrevocable, royalty-free basis to BMJ Publishing Group Ltd (“BMJ”) its licensees and where the relevant Journal is co-owned by BMJ to the co-owners of the Journal, to publish the Work in BMJ Open and any other BMJ products and to exploit all rights, as set out in our license.

For peer review only

Abstract

Introduction: Music listening is used as a non-pharmacological intervention in various populations with positive results; however, evidence for its effect on sleep and psychological outcomes in critically ill patients remains unclear. It is essential to understand the impact of music listening for critically ill patients to optimize care and minimize the risk for harm. We will assess whether music listening improves sleep and psychological outcomes in critically ill patients.

Methods and analysis: We will systematically search scientific databases for relevant studies, including PubMed, Embase, CINAHL, PsycINFO, Web of Science, Scopus, ProQuest, the Cochrane Central Register of Controlled Trials, China Biological Medicine Database, China National Knowledge Infrastructure Library, Wan fang databases, VIP Database for Chinese Technical Periodicals, and the Chinese Clinical Trial Registry. Databases will be searched for articles published from inception to June 10, 2020. Music therapy journals and reference lists in some articles will be hand-searched. Gray literature will also be searched. We will include randomized and quasi-randomized controlled trials that used music listening to improve sleep and psychological outcomes in critically ill patients. The primary outcomes will be sleep-related outcomes, and secondary outcomes will be anxiety and depression scores and physiological outcomes. Two reviewers will independently verify study eligibility and methodological quality; disagreements will be resolved by a third reviewer or discussion. The risk of bias will be independently determined using the Cochrane Risk of Bias Tool. The CONSORT checklist will be used to examine the quality of included papers. Data will be extracted from eligible studies by two researchers. RevMan (version 5.3) will be used for meta-analysis.

Ethics and Dissemination: This work will review existing trial data and will not introduce new patient data or interventions; therefore, ethics committee approval is not required. We will disseminate this protocol in a related peer-reviewed journal.

PROSPERO Registration Number CRD42019147202.

Strengths and limitations of this study

- We plan to employ robust international gold-standard methodology and a comprehensive search strategy to reduce bias.
- We will assess the quality of included articles using a validated tool.

1
2
3
4 87
5
6 88
7
8 89
9
10 90
11 91
12
13 92
14
15 93
16
17 94
18
19 95
20
21 96
22
23 97
24
25 98
26
27 99
28
29 100
30
31 101
32
33 102
34
35 103
36
37 104
38
39 105
40
41 106
42
43 107
44
45 108
46
47 109
48
49 110
50
51 111
52
53 112
54
55 113
56
57 114
58
59 115
60 116

-
- Subgroup analyses will be performed when possible to elaborate intervention or participant characteristics correlated with increased effectiveness.
 - High heterogeneity across studies may increase the difficulty in interpreting a meta-analysis.
 - A limitation will be that the systematic review protocol will only include articles published in English and Chinese.

For peer review only

INTRODUCTION

Rationale

Sleep disturbance is a frequent problem among patients in the intensive care unit (ICU)^{1 2}. Sleep quality and quantity are negatively affected by the ICU environment (e.g., noise, lights)³, patient-care activities, symptoms of the patient's underlying illness, and mechanical ventilation^{4 5}. In this context, sleep is characterized by prolonged sleep onset latency, short sleep duration, frequent awakenings, non-restorative sleep, and decreased sleep efficiency (percentage of time in bed spent asleep). Sleep disturbance has been associated with numerous adverse consequences in critically ill patients, including impairments in immune function, memory, wound healing, and inspiratory muscle endurance, along with higher rates of delirium and increased overall morbidity and mortality⁶.

An increase in psychological problems (e.g., anxiety and depression) has also been found in critically ill patients^{7 8}. One study⁹ estimated that 70%–80% of critically ill patients experienced anxiety related to fear, sleeplessness, pain, discomfort, thirst, and disease-related symptoms. That study also reported patients on assisted ventilation were especially prone to anxiety because of their need for frequent suctioning, inability to breathe independently or talk, and general discomfort. In addition, around half of patients experienced a high level of depression during their ICU stay⁹. Unmanaged anxiety and depression have been associated with harmful effects on disease recovery and overall well-being, including prolonged weaning from ventilation and recovery time¹⁰, increased work for breathing, fatigue¹¹, acute elevated blood pressure¹², and an increased depression incidence in ICU survivors¹³. Wewalka found that pre-existing depressive mood at the time of ICU admission was an independent risk factor for 28-day mortality among patients in the medical ICU¹⁴.

Sufficient evidence shows that sleep disturbance, anxiety, and depression are detrimental to disease recovery and psychological well-being. Research has also reported an interplay of sleep disturbance, anxiety, and depression¹⁵. Anxiety and depression are both risk factors for sleep disturbance and disturbed sleep pattern increases emotional distress, which in turn lead to higher levels of anxiety and depression^{16 17}. Therefore, as key ICU staff, nurses need to provide effective interventions to address these issues.

Pharmacological and non-pharmacological interventions are used to manage sleep and psychological distress in the ICU. Pharmacological therapy is generally the first-line treatment^{18 19}. However, pharmacological therapy has been associated with numerous adverse effects and

1
2
3
4 147 complications, including memory loss, prolongation of mechanical ventilation, altered sleep stages,
5
6 148 longer length of hospitalization, tolerance, bradycardia, hypotension, residual daytime effects,
7
8 149 dysmotility, weakness, and delirium^{20 21}. In addition, the medications used are expensive. To avoid
9
10 150 these issues, researchers have developed alternative, non-pharmacological therapies to improve
11
12 151 sleep, anxiety, and depression among patients in the ICU, and positive results have been reported²²⁻
13
14 152 ²⁴. The results of recent music intervention studies have drawn attention to the relationship between
15
16 153 music and sleep in various patient groups. This resulted in increased use of music therapy and music
17
18 154 listening (sometimes called music medicine²⁵).

19 155 Music can be defined as the organization of tone over the time, and is one of the most
20
21 156 pleasurable experiences for a human being. In the early 1800s, Florence Nightingale²⁶ described the
22
23 157 importance of music and its healing effect on patients; the music first implemented in hospitals was
24
25 158 live music. With the development of the music discipline and science, more recorded music was used
26
27 159 and played to patients using musical equipment such as portable stereos, wall-mounted speakers, or
28
29 160 devices such as Mp3 players²⁷. Music as a non-pharmacological intervention has been adopted by
30
31 161 medical staff, with these interventions involving different types of music, such as low volume, nature
32
33 162 sounds, soothing music, and Mozart piano recordings. As an intervention, music is relatively easy to
34
35 163 implement, cost effective, safe, and has no negative impacts²⁸. Music therapy and music listening are
36
37 164 common forms of music application that are similar but have distinct features. Music therapy is
38
39 165 defined as the clinical and evidence-based use of music to realize individualized clinical goals within
40
41 166 a therapeutic relationship. It is conducted by certified music therapists, and centers on the dynamic
42
43 167 musical interaction between the music therapist and the patient, verbal processing of the music
44
45 168 experience, and implementation and alteration of music (tempo, volume, intensity) according to the
46
47 169 patient's needs^{29 30}. Music therapy consists of active and passive forms. The active form refers to
48
49 170 therapy that needs patient participation in the process, whereas the passive form refers to therapy that
50
51 171 comprises listening to music without participation. Irrespective of whether it is active or passive,
52
53 172 music therapy is an evidence-based practice conducted by certified music therapists. During therapy,
54
55 173 music therapists consider elements of music such as melody, rhythm, tempo, and harmony³¹. The use
56
57 174 of environmental music therapy (EMT), which is type of music therapy, has increased in recent
58
59 175 years^{32 33}. EMT involves trained, certified professionals using live music to address a chaotic
60 176 intensive care environment and help to create a less tense atmosphere. They apply live music to meet

the psychological, physical, and cultural needs of caregivers, patients, and staff in the hospital environment. A previous study verified the safety and effectiveness of EMT³⁴.

Music listening is defined as passive listening to recorded music via any form of music playback device (e.g., earphones or speakers) or listening to live music without interacting with a music therapist or theoretical framework. It can be provided by medical or healthcare professionals or self-administered by a patient, and patients may or may not be involved in selecting the music^{35 36}. Although music-based applications are used in both music listening and music therapy, it is important to distinguish the two interventions in clinical practice³⁷ because of the varying levels of training in the fundamentals of music and its therapeutic applications. For example, music therapists have received specialized training in the aforementioned areas²⁵. The effectiveness of music therapy is mostly attributable to the active musical interaction between the patient and the music therapist, which is why numerous studies suggest music therapy is more effective than music listening. However, most patients in the ICU are critically ill and weak, and may not have enough energy to interact with a music therapist. Music listening may therefore be preferred, as compared with music therapy, it may be used by more patients. Music listening has also been widely used to assuage emotional, physiological, and psychological symptoms in various diseases, such as Parkinson's disease³⁸, Alzheimer's disease³⁹, and cancer⁴⁰. A growing number of studies involving adults of all ages with various medical and surgical conditions have demonstrated the positive effects of music listening on anxiety, depression, stress, and pain^{41 42}. Repeated studies have specifically reported music listening improved sleep in critically ill patients^{24 43 44}. Furthermore, music listening is inexpensive, relatively easy to implement, and safe compared with pharmacological interventions²⁸; these benefits are favorably received by patients. Therefore, music listening is a potentially viable alternative treatment option.

Clinical trials have provided support for the effectiveness of the application of music in the healthcare setting. Psychophysiological theory⁴⁵ also provides clues to its mechanism of action. Music comprises many key elements, including rhythm, pitch, harmony, and melody. These elements play a comprehensive role in the degree to which music can promote sleep in patients⁴⁶. Previous authors described that sleep improvement is mediated by the relaxing, distracting effect of "soothing" music⁴⁷. Music with a slow tempo (e.g., 60–80 beats per minute) mirrors the heart rate and reduces neuroendocrine and sympathetic nervous system activity, resulting in relaxation.

1
2
3
4 207 Furthermore, the peaceful atmosphere created by soothing music in the ICU setting is a mood
5
6 208 enhancer, reduces anxiety and depression, and lowers treatment-related stress. Other authors
7
8 209 described the effect of music in modulating mood and emotions at the cortical level through
9
10 210 stimulation of self-image and intellect⁴⁸. Clinical trials have also shown that listening to music
11
12 211 reduced anxiety and stress responses, which can lead to greater relaxation and improved sleep^{49 50}.

13
14 212 Research on the impact of music listening for patients in the ICU has evolved over the past 20
15
16 213 years, and several researchers have studied the effects of music listening on sleep and psychological
17
18 214 outcomes in critically ill patients. For example, recent studies reported that music listening may
19
20 215 improve stress, anxiety^{8 51}, depression⁵², and sleep^{53 54} in ICU patients. As an intervention, music
21
22 216 listening involves using different types of music to improve sleep and psychological symptoms (e.g.,
23
24 217 low volume, nature sounds, soothing music, classical music). Music listening can be provided by
25
26 218 specific tools (e.g., Mp3 format via earphones or loudspeaker). The choice of music may be
27
28 219 determined by the researcher or by participants themselves. The duration, frequency, and timing of
29
30 220 music exposure has also varied among studies. Although clinical trials have been performed to
31
32 221 investigate the effects of music listening on sleep and psychological outcomes, their safety and
33
34 222 efficacy for critically ill patients have not been established. In addition, most of these studies used
35
36 223 small sample sizes^{44 55}, making it nearly impossible to achieve statistically significant results. The
37
38 224 impact of music listening may also differ because of different intervention designs (study design,
39
40 225 method of intervention, and type of music). A previous systematic review assessed the efficacy of
41
42 226 music application for reducing anxiety among mechanically ventilated patients³⁶, but only included
43
44 227 mechanically ventilated patients. A recent systematic review also evaluated the effectiveness of
45
46 228 music therapy to reduce stress and anxiety in critically ill patients⁵⁶. In 2015, another review reported
47
48 229 that music therapy appeared to be safe to improve sleep; however, that study did not perform a meta-
49
50 230 analysis and noted further randomized controlled trials were required to assess efficacy⁵⁷. The 2018
51
52 231 Pain, Agitation/sedation, Delirium, Immobility (rehabilitation/mobilization), and Sleep (disruption)
53
54 232 (PADIS) guideline also suggests there is no high-quality evidence to prove that music could improve
55
56 233 sleep in critically ill adults¹.

56
57 234 Despite the large number of relevant studies, music listening has not been implemented as a
58
59 235 therapeutic intervention in everyday critical care because information about its effectiveness has not
60
61 236 been synthesized and disseminated universally. Therefore, we aim to assess the effectiveness of

music listening in improving sleep, anxiety, and depression in critically ill patients, and investigate relevant subgroups (i.e., timing of intervention, type of intervention, severity of disease, mechanical ventilation status, and study site).

OBJECTIVES

This systematic review and meta-analysis aims to integrate available scientific research on the use of music listening to promote sleep and reduce anxiety and depression for critically ill patients in the ICU. We will attempt to answer the following research questions.

1. What are the effects of music listening on sleep quality and quantity in critically ill patients?
2. What are the effects of music listening on anxiety, depression, and physiological outcomes in critically ill patients?

METHODS AND ANALYSIS

This paper presents a quantitative systematic review protocol. We will follow the Preferred Reporting Items for Systematic Review and Meta-Analysis Protocols (PRISMA-P) guidelines to complete and report the study protocol⁵⁸. This systematic review protocol has been registered in PROSPERO (PROSPERO Registration Number CRD 42019147202).

Patient and public involvement

There is no patient or public involvement in this study.

Inclusion/exclusion criteria

Types of participants

Studies will be selected for inclusion if their participants meet specific criteria:

- adult patients in the ICU (aged ≥ 18 years),
- conscious and clear (Glasgow Coma Scale score ≥ 14),
- ventilated or non-ventilated,
- admitted to the ICU ≥ 24 hours.

We will exclude studies that included participants with:

- hearing damage,
- a diagnosis of or overt signs or symptoms of obstructive sleep apnea,
- a diagnosis of dementia or neurologic disease,

- severe signs or symptoms of psychological illness, such as hallucinations, delusions, and behavioral disorders.

Types of intervention and comparison

We will include any study that considered sleep or psychological variables as outcomes of music listening combined with standard care versus standard care alone or standard care with other interventions in critically ill patients.

Types of outcome measures

At least one of the following outcomes must have been reported in the study.

Primary outcomes

- Sleep outcomes
 1. Sleep quality
 2. Sleep onset latency
 3. Total sleep time
 4. Number of awakenings
 5. Sleep efficiency (percent of time in bed spent asleep)

Sleep outcomes may be measured using a variety of methods. Subjective perception of sleep is measured through validated self-report tools, including the Richards–Campbell Sleep Questionnaire⁵⁹, Pittsburgh Sleep Quality Index⁶⁰, and the Verran and Synder-Halpern Sleep Scale⁶¹. Objective measurement of sleep is performed with polysomnography, actigraphy, bispectral index monitoring, or electroencephalography.

Secondary outcomes

- Psychological outcomes
 1. Anxiety
 2. Depression

We will include trials that measured psychological outcomes using standardized questionnaires with established reliability and validity, including Hospital-based Anxiety and Depression Scales⁶², the Visual Analogue Scale for Anxiety⁶³, the Spielberger State-Trait Anxiety Inventory⁶⁴, and the Beck Anxiety Inventory⁶⁵.

- Physiological outcomes (heart rate, blood pressure, respiratory rate)

Types of study designs

We will include any interventional study, including randomized and quasi-randomized controlled trials.

Data source and search strategy

To identify eligible studies, we will search electronic databases, including PubMed, Embase, CINAHL, PsycINFO, Web of Science, Scopus, ProQuest, the Cochrane Central Register of Controlled Trials, China Biological Medicine Database, China National Knowledge Infrastructure Library, Wang Fang databases, VIP Database for Chinese Technical Periodicals, and the Chinese Clinical Trial Registry. These databases will be searched from inception to June 10, 2020. In addition, we will hand-search music therapy journals and the reference lists of relevant articles, as well as gray literature.

A health sciences librarian will design the search. Table 1 shows the search strategy with both keywords and Medical Subject Heading terms that will be used to search PubMed; this strategy will be adapted as appropriate for other databases.

Table 1. Search strategy for PubMed

Filter: Humans
1 music [Mesh] OR 'music therapy' [Mesh] OR music medicine OR music* OR listen*
2 sleep [Mesh] OR sleep Disorders, Circadian Rhythm [Mesh] OR sleep* OR insomnia* OR wakeful* OR sleepless*
3 anxiety [Mesh] OR fear [Mesh] OR stress OR psychological OR depression [Mesh] OR depress* OR mood disorders [Mesh]
4 2 OR 3
5 critical illness [Mesh]) OR critical care [Mesh] OR 'intensive care units' [Mesh] OR ventilators, mechanical [Mesh] OR respiration, artificial [Mesh] OR intensive care OR ICU
6 1 AND 4 AND 5
7 infant* OR neonat* OR infant, premature [Mesh] OR infant, newborn [Mesh] OR Intensive Care Units, pediatric [Mesh]
8 6 NOT 7

Selection of studies

1
2
3
4 313 All articles retrieved through the search of the selected databases will be imported into Endnote, and
5
6 314 duplicate references will be removed. Two members of the research team (SQ and CH) will
7
8 315 independently review the title/abstract of each article to verify that each study meets the inclusion
9
10 316 criteria. If a title or abstract is unclear, the two researchers will review the full article. Disagreements
11
12 317 will be resolved by a third researcher (LX) or through discussion until consensus is reached. The
13
14 318 reasons for all exclusions will be recorded.

15 319 **Data collection and validation**

16
17 320 Two researchers (JH and CL) will independently extract data from the included studies using the
18
19 321 Cochrane Collaboration Data Collection Form⁶⁶. In the event of questions about or missing data in
20
21 322 the original text, the researchers will contact the authors to obtain the relevant data. The results of
22
23 323 data extraction will be compared to exclude any differences, and any disagreement will be resolved
24
25 324 by a third researcher (LX) or through discussion and consensus.

26
27 325 From all included studies, we will collect the following data.

28
29 326 1. Research and publication information, including the title, journal (volume, page number) or if
30
31 327 unpublished, the author, year of publication, country, setting, language of publication, and funding
32
33 328 sources.

34
35 329 2. Study design, including the type of design, inclusion criteria, exclusion criteria,
36
37 330 randomization method (including concealment and blinding), and loss to follow up.

38
39 331 3. Characteristics of the participants, including total sample size, number of participants in the
40
41 332 intervention and control groups, gender, age, diagnosis, disease severity (Acute Physiology and
42
43 333 Chronic Health Evaluation [APACHE] II scores), comorbidities, and mechanical ventilation status.

44
45 334 4. Intervention details, including type of music, control of music selection (by participant or
46
47 335 researcher), the frequency, duration, and timing of music listening, and the format/devices used (e.g.,
48
49 336 headphone, loudspeaker).

50
51 337 5. Outcomes, including the methods of assessment of sleep, anxiety, and depression, pre- and
52
53 338 post-test means or change scores, and standard deviations.

54 339 **Methodological quality assessment**

55
56 340 The risk of bias and quality of the included studies will be evaluated using the Cochrane
57
58 341 Collaboration Risk of Bias Tool⁶⁶, which evaluates seven sources of study bias: 1) random sequence
59
60 342 generation, 2) allocation concealment, 3) performance bias, 4) detection bias, 5) incomplete outcome

data, 6) selective reporting, and 7) other bias. Two researchers (LX and XL) will independently grade each element as low risk, high risk, or unclear risk⁶⁷. Inconsistencies will be resolved through discussion and consensus, or by a third researcher (DH). An appropriate assessment will be completed for the quality assessment of quasi-randomized controlled trials.

Data synthesis and analysis

A meta-analysis will be conducted when there are sufficient studies showing homogeneity. Statistical analyses will be performed using RevMan 5.3.5 software. Continuous data will be expressed with odds ratios and 95% confidence intervals. The level of heterogeneity of the included studies will be determined with the I^2 statistic and P -value⁶⁸. If there is statistical heterogeneity ($P>0.1$ and $I^2<50\%$), a meta-analysis will be performed using a fixed-effects model. A random-effects model will be used to analyze clinical heterogeneity if $I^2>50\%$. Subgroup analyses will be performed by timing of intervention, type of intervention, severity of disease (APACHE II score <25 , $25-35$, >35), mechanical ventilation status (ventilated patients vs. non-ventilated patients), and study site (surgical ICU patients vs. medical ICU patients). Sensitivity analyses will be used to determine the stability of the results, and Egger's regression test and funnel plots will be used to assess potential publication bias. If data pooling is not possible, quantitative data will be presented in a narrative review of the study primary and secondary outcomes using thematic summaries and tables.

Validity and reliability/rigor

The study protocol will use systematic review and meta-analytic methods and follow the Cochrane Collaboration recommendations for performing a systematic review. The results will be reported according to the PRISMA-P guidelines⁶⁹. In addition, the CONSORT checklist will be used to examine the quality of the included papers.

DISCUSSION

This paper presents the protocol for a systematic review of the literature examining the effects of music listening on sleep and psychological outcomes among critically ill patients. The study will be undertaken to answer questions about the effectiveness of music listening in this population. Properly powered, intervention studies provide strong evidence; therefore, this meta-analysis of existing evidence will permit conclusions about the efficacy of music listening on sleep, anxiety, and depression among critically ill patients. Results from this study will provide recommendations for the

1
2
3
4 373
5
6 374
7
8 375
9
10 376
11
12 377
13
14 378
15
16 379
17
18 380
19
20 381
21
22 382
23
24 383
25
26 384
27
28 385
29
30 386
31
32 387
33
34 388
35
36 389
37
38 390
39
40 391
41
42 392
43
44 393
45
46 394
47
48 395
49
50 396
51
52 397
53
54 398
55
56 399
57
58 400
59
60 401
61
62 402
63
64 403
65
66 404
67
68 405
69
70 406
71
72 407
73
74 408
75
76 409
77
78 410
79
80 411
81
82 412
83
84 413
85
86 414
87
88 415
89
90 416
91
92 417
93
94 418
95
96 419
97
98 420
99
100 421

use of music listening in this population and support nurses and other health practitioners in their promotion of mental health. In addition, by identifying existing lacunae in the literature, our results will prompt further research.

ETHICS AND DISSEMINATION

This work will review existing trial data and will not introduce new patient data or interventions; therefore, ethical committee approval is not required. This systematic review protocol will follow the PRISMA checklist. We will disseminate this protocol in a related peer-reviewed journal or at conferences.

Competing interests None declared.

ACKNOWLEDGEMENT

We thank Eleanor Scharf, MSc(A), from Liwen Bianji, Edanz Editing China (www.liwenbianji.cn/ac), for editing the English text of a draft of this manuscript.

References

1. Devlin JW, Y S, C G, et al. Clinical Practice Guidelines for the Prevention and Management of Pain, Agitation/Sedation, Delirium, Immobility, and Sleep Disruption in Adult Patients in the ICU. *Crit Care Med* 2018;46(9):e825.
2. Hweidi IM, Nizamli FM. Stressors in intensive care units in Syria: patients' perceptions. *J Res Nur* 2015;20(2):114-26.
3. Pisani MA, Friese RS, Gehlbach BK, et al. Sleep in the intensive care unit. *Am J Respir Crit Care Med* 2015;191(7):731-8.
4. Pulak LM, Jensen L. Sleep in the Intensive Care Unit: A Review. *J Intensive Care Med* 2016;31(1):14-23.
5. Elliott R, McKinley S, Cistulli P, et al. Characterisation of sleep in intensive care using 24-hour polysomnography: an observational study. *Critical care (London, England)* 2013;17(2):R46.
6. Delaney LJ, Van Haren F, Lopez V. Sleeping on a problem: the impact of sleep disturbance on intensive care patients - a clinical review. *Ann Intensive Care* 2015;5:3.
7. Oh J, Sohn JH, Shin CS, et al. Mutual Relationship between Anxiety and Pain in the Intensive Care Unit and Its Effect on Medications. *J Crit care* 2015;30(5):1043-48.
8. Lee CH, Lee CY, Hsu MY, et al. Effects of Music Intervention on State Anxiety and Physiological Indices in Patients Undergoing Mechanical Ventilation in the Intensive Care Unit. *Biol Res Nurs* 2017;19(2):137-44.
9. Treggiari-Venzi M, Borgeat A, Fuchs-Buder T, et al. Overnight sedation with midazolam or propofol in the ICU: Effects on sleep quality, anxiety and depression. *Intensive Care Med* 1996;22(11):1186-90.
10. Wong HL, Lopeznahas V, Molassiotis A. Effects of music therapy on anxiety in ventilator-dependent patients. *Heart & Lung* 2001;30(5):376-87.

11. Hetland B, Lindquist R, Chlan LL. The influence of music during mechanical ventilation and weaning from mechanical ventilation: A review. *Heart & Lung* 2015;44(5):416-25.
12. Loomba RS, Arora R, Shah PH, et al. Effects of music on systolic blood pressure, diastolic blood pressure, and heart rate: a meta-analysis. *Indian Heart J* 2012;64(3):309-13.
13. Davydow DS, Gifford JM, Desai SV, et al. Depression in general intensive care unit survivors: a systematic review. *Intensive Care Med* 2009;35(5):796-809.
14. Wewalka M, Warszawska J, Strunz V, et al. Depression as an independent risk factor for mortality in critically ill patients. *Psychosomatic Med* 2015;77(2):106-13.
15. Ding Q, Redeker NS, Pisani MA, et al. Factors Influencing Patients' Sleep in the Intensive Care Unit: Perceptions of Patients and Clinical Staff. *AM J CRIT CARE* 2017;26(4):278-86.
16. Treggiari VM, Borgeat ABT, Gachoud JP, et al. Overnight sedation with midazolam or propofol in the ICU: effects on sleep quality, anxiety and depression. *Intensive Care Med* 1996;22(11):1186-90.
17. Kamdar BB, Needham DM, Collop NA. Sleep Deprivation in Critical Illness: Its Role in Physical and Psychological Recovery. *J Intensive Care Med* 2012;27(2):97.
18. Feren S, Schweitzer PK, Walsh JK. Pharmacotherapy for insomnia. *Handb Clin Neurol* 2008;24(1):93-105.
19. Mofredj A, Alaya S, Tassaioust K, et al. Music therapy, a review of the potential therapeutic benefits for the critically ill. *J Crit Care* 2016;35:195-9.
20. DeMartinis NA, Kamath J, Winokur A. New approaches for the treatment of sleep disorders. *Advances in Pharmacology* 2009:187-235.
21. Arroliga AC, Thompson BT, Ancukiewicz M, et al. Use of sedatives, opioids, and neuromuscular blocking agents in patients with acute lung injury and acute respiratory distress syndrome*. *Critl Care Med* 2008;36(4):1083.
22. Kozasa EH, Hachul H, Monson C, et al. Mind-body interventions for the treatment of insomnia: a review. *Braz J Psychiat* 2010;32(4):437-43.
23. Han L, Li JP, Sit JW, et al. Effects of music intervention on physiological stress response and anxiety level of mechanically ventilated patients in China: a randomised controlled trial. *J Clin Nurs* 2010;19(7-8):978-87.
24. Hu RF, Jiang XY, Chen J, et al. Non-pharmacological interventions for sleep promotion in the intensive care unit. *Cochrane Db Syst Rev* 2015(10).
25. Yinger OS, Gooding L. Music Therapy and Music Medicine for Children and Adolescents. *Child Adolesc Psychiatr Clin N Am* 2014;23(3):535-53.
26. Bulechek GM, McCloskey JC. Nursing interventions: Treatments for nursing diagnoses. *Am J Nurs* 1985;85(12):1350.
27. Lee WP, Wu PY, Lee MY, et al. Music listening alleviates anxiety and physiological responses in patients receiving spinal anesthesia. *Complement Ther Med* 2017;31:8-13.
28. Cepeda MS, Carr DB, Lau J, et al. Music for pain relief. *Cochrane Db Syst Rev* 2004, 2.
29. Golino AJ, Leone R, Gollenberg A, et al. Impact of an Active Music Therapy Intervention on Intensive Care Patients. *Am J Crit Care* 2019;28(1):48-55.
30. Mofredj A, Alaya S, Tassaioust K, et al. Music therapy, a review of the potential therapeutic benefits for the critically ill. *J Crit Care* 2016:195-99.
31. Bernatzky G, Presch M, Anderson M, et al. Emotional foundations of music as a non-pharmacological pain management tool in modern medicine. *Neuro Biobehav Rev* 2011;35(9):1989-99.
32. Canga B, Hahm CL, Lucido D, et al. Environmental Music Therapy: A Pilot Study on the Effects of Music Therapy in a Chemotherapy Infusion Suite. *Music & Med* 2012;4(4):221-30.

33. Zhang JW, Doherty MA, Mahoney JF. Environmental Music in a Hospital Setting: Considerations of Music Therapists and Performing Musicians. *Music & Med* 2018;10(2):71-79.
34. Chang-Lit W, Loewy J, Fox J, et al. Project Sleep: The Role and Effect of a Comprehensive, Multidisciplinary Music Therapy Quality Improvement Program. *Journal of sleep and sleep disorder research* 2018;1(2):26-41.
35. Chan MF, Zi YW, Thayala NV. The effectiveness of music listening in reducing depressive symptoms in adults: A systematic review. *Complement Ther Med* 2011;19(6):332-48.
36. Bradt J, Dileo C. Cochrane review: Music interventions for mechanically ventilated patients. *J Evidence-Based Med* 2015;8(1):56-56.
37. Hansen IP, Langhorn L, Dreyer P. Effects of music during daytime rest in the intensive care unit. *Nurs Crit Care* 2018;23(4):207-13.
38. Cock VCD, Dotov DG, Ihalainen P, et al. Rhythmic abilities and musical training in Parkinson's disease: do they help? *Npj Parkinsons Dis* 2018;4(1):8.
39. Guess, Hayley. Alzheimer's Disease and the Impact of Music Therapy: A Systematic Literature Review. *JMURJ*, 2018, 5(1):2-2.
40. Bradt J, Dileo C, Magill L, et al. Music interventions for improving psychological and physical outcomes in cancer patients. *Cochrane Db Syst Rev* 2016(8): CD006911.
41. Marie C, Wendy C, Mary Anne H. Music and its effect on anxiety in short waiting periods: a critical appraisal. *J Clin Nurs* 2010;14(2):145-55.
42. Chan MF, Chan EA, Mok E. Effects of music on depression and sleep quality in elderly people: A randomised controlled trial. *Complement Ther Med* 2010;18(3):150-59.
43. Ryu MJ, Park JS, Park H. Effect of sleep-inducing music on sleep in persons with percutaneous transluminal coronary angiography in the cardiac care unit. *J Clin Nurs* 2012;21(5-6):728-35.
44. Su CP, Lai HL, Chang ET, et al. A randomized controlled trial of the effects of listening to non-commercial music on quality of nocturnal sleep and relaxation indices in patients in medical intensive care unit. *J Adv Nurs* 2013;69(6):1377-89.
45. Chang H-K, Peng T-C, Wang J-H, et al. Psychophysiological responses to sedative music in patients awaiting cardiac catheterization examination: a randomized controlled trial. *J Cardiovasc Nurs* 2011;26(5):E11-E18.
46. Loewy J. Music therapy as a potential intervention for sleep improvement. *Nat Sci Sleep* 2020;12:1.
47. Hui-Ling L, Yin-Ming L. The effect of music on biochemical markers and self-perceived stress among first-line nurses: a randomized controlled crossover trial. *J Adv Nurs* 2011;67(11):2414-24.
48. Koelsch S, Jäncke L. Music and the heart. *Eur Heart J* 2015;36(44):3043-49.
49. Zhang JM, Wang P, Yao JX, et al. Music interventions for psychological and physical outcomes in cancer: a systematic review and meta-analysis. *Support Care Cancer* 2012;20(12):3043-53.
50. Dileo C, Bradt J. Music therapy: applications to stress management. *Principles and Practice of Stress Management, 3rd ed New York: Guilford* 2007.
51. Marie C, Wendy C, Philip S, et al. The effect of music on discomfort experienced by intensive care unit patients during turning: a randomized cross-over study. *Int J Nurs Pract* 2010;16(2):125-31.
52. Yanhong Z, MENG J. Clinical research of the effect of music therapy on ICU patients with loneliness, anxiety and depression. *China Health Industry* 2013.
53. Chiu-Ping S, Hui-Ling L, En-Ting C, et al. A randomized controlled trial of the effects of listening to non-commercial music on quality of nocturnal sleep and relaxation indices in patients in medical intensive care unit. *J Adv Nurs* 2013;69(6):1377-89.

54. Hu RF, Jiang XY, Hegadoren KM, et al. Effects of earplugs and eye masks combined with relaxing music on sleep, melatonin and cortisol levels in ICU patients: a randomized controlled trial. *Crit Care* 2015;19(1):1-9.
55. Papathanassoglou ED, Hadjibalassi M, Miltiadows P, et al. Effects of an integrative nursing intervention on pain in critically ill patients: a pilot clinical trial. *Am J Crit Care* 2018;27(3):172-85.
56. Umbrello M, Sorrenti T, Mistraretti G, et al. Music therapy reduces stress and anxiety in critically ill patients: a systematic review of randomized clinical trials. *Minerva Anestesiol* 2019;85(8):886.
57. Sandoval CP. Nonpharmacological Interventions for Sleep Promotion in the Intensive Care Unit. *Crit Care Nurse* 2014;37(2):100-02.
58. Shamseer L, Moher D, Clarke M, et al. Preferred reporting items for systematic review and meta-analysis protocols (PRISMA-P) 2015: elaboration and explanation. *BMJ* 2015;349(jan021):g7647-g47.
59. Richards KC, O'Sullivan PS, Phillips RL. Measurement of sleep in critically ill patients. *J Nurs Measurement* 2000;8(2).
60. Buysse DJ, III CFR, Monk TH, et al. The Pittsburgh sleep quality index: A new instrument for psychiatric practice and research. *Psychiat Res* 1989;28(2):193-213.
61. Snyder-Halpern R, Verran JA. Instrumentation to describe subjective sleep characteristics in healthy subjects. *Res Nurs Health* 1987;10(3):155-63.
62. Snaith RP. The Hospital Anxiety And Depression Scale. *Acta Psychiatrica Scandinavica* 1983;67(6):361.
63. Hornblow AR, Kidson MA. The Visual Analogue Scale for Anxiety: A validation study. *Austr NZ J Psychiat* 1976;10(4):339-41.
64. Marteau TM, Bekker H. The development of a six-item short-form of the state scale of the Spielberger State—Trait Anxiety Inventory (STAI). *Brit J Clin Psychol* 1992;31(3):301-06.
65. Fydrich T, Dowdall D, Chambless DL. Reliability and validity of the beck anxiety inventory. *J Anxiety Disord* 1992;6(1):55–61.
66. Higgins J, Green S. Cochrane Handbook for Systematic Reviews of Interventions. *Cochrane Db Syst Rev* (Online), 2011, 2011(14).
67. Higgins JP, Altman DG, Gøtzsche PC, et al. The Cochrane Collaboration's tool for assessing risk of bias in randomised trials. *BMJ* 2011;343:d5928.
68. Higgins JP, Thompson SG. Quantifying heterogeneity in a meta-analysis. *Stat Med* 2002;21(11):1539-58.
69. Knobloch K, Yoon U, Vogt PM. Preferred reporting items for systematic reviews and meta-analyses (PRISMA) statement and publication bias. *J Craniomaxillofac Surg* 2010, 39(2):91-92.



PRISMA 2009 Checklist

Section / topic	#	Checklist item	Reported on page #
TITLE			
Title	1	Identify the report as a systematic review, meta-analysis, or both.	1
ABSTRACT			
Structured summary	2	Provide a structured summary including, as applicable: background; objectives; data sources; study eligibility criteria, participants, and interventions; study appraisal and synthesis methods; results; limitations; conclusions and implications of key findings; systematic review registration number.	3
INTRODUCTION			
Rationale	3	Describe the rationale for the review in the context of what is already known.	4
Objectives	4	Provide an explicit statement of questions being addressed with reference to participants, interventions, comparisons, outcomes, and study design (PICOS).	8
METHODS			
Protocol and registration	5	Indicate if a review protocol exists, if and where it can be accessed (e.g., Web address), and if available, provide registration information including registration number.	8
Eligibility criteria	6	Specify study characteristics (e.g., PICOS, length of follow-up) and report characteristics (e.g., years considered, language, publication status) used as criteria for eligibility, giving rationale.	8-9
Information sources	7	Describe all information sources (e.g., databases with dates of coverage, contact with study authors to identify additional studies) in the search and date last searched.	10
Search	8	Present full electronic search strategy for at least one database, including any limits used, such that it could be repeated.	10
Study selection	9	State the process for selecting studies (i.e., screening, eligibility, included in systematic review, and, if applicable, included in the meta-analysis).	11
Data collection process	10	Describe method of data extraction from reports (e.g., piloted forms, independently, in duplicate) and any processes for obtaining and confirming data from investigators.	11
Data items	11	List and define all variables for which data were sought (e.g., PICOS, funding sources) and any assumptions and simplifications made.	11
Risk of bias in individual studies	12	Describe methods used for assessing risk of bias of individual studies (including specification of whether this was done at the study or outcome level), and how this information is to be used in any data synthesis.	12
Summary measures	13	State the principal summary measures (e.g., risk ratio, difference in means).	12
Synthesis of results	14	Describe the methods of handling data and combining results of studies, if done, including measures of consistency (e.g., I ²) for each meta-analysis.	12

1136/bmjopen-2020-037561 on 10 May 2025. Downloaded from <http://bmjopen.bmj.com/> by guest. Protected by copyright.



PRISMA 2009 Checklist

Page 1 of 2

Section/topic	#	Checklist item	Reported on page #
Risk of bias across studies	15	Specify any assessment of risk of bias that may affect the cumulative evidence (e.g., publication bias, selective reporting within studies).	12
Additional analyses	16	Describe methods of additional analyses (e.g., sensitivity or subgroup analyses, meta-regression), if done, indicating which were pre-specified.	12
RESULTS			
Study selection	17	Give numbers of studies screened, assessed for eligibility, and included in the review, with reasons for exclusions at each stage, ideally with a flow diagram.	
Study characteristics	18	For each study, present characteristics for which data were extracted (e.g., study size, PICO, follow-up period) and provide the citations.	
Risk of bias within studies	19	Present data on risk of bias of each study and, if available, any outcome level assessment (see item 12).	
Results of individual studies	20	For all outcomes considered (benefits or harms), present, for each study: (a) simple summary data for each intervention group (b) effect estimates and confidence intervals, ideally with a forest plot.	
Synthesis of results	21	Present results of each meta-analysis done, including confidence intervals and measures of consistency.	
Risk of bias across studies	22	Present results of any assessment of risk of bias across studies (see Item 15).	
Additional analysis	23	Give results of additional analyses, if done (e.g., sensitivity or subgroup analyses, meta-regression [see Item 16]).	
DISCUSSION			
Summary of evidence	24	Summarize the main findings including the strength of evidence for each main outcome; consider their relevance to key groups (e.g., healthcare providers, users, and policy makers).	
Limitations	25	Discuss limitations at study and outcome level (e.g., risk of bias), and at review-level (e.g., incomplete retrieval of identified research, reporting bias).	
Conclusions	26	Provide a general interpretation of the results in the context of other evidence, and implications for future research.	
FUNDING			
Funding	27	Describe sources of funding for the systematic review and other support (e.g., supply of data; role of funders for the systematic review).	1

From: Moher D, Liberati A, Tetzlaff J, Altman DG, The PRISMA Group (2009). Preferred Reporting Items for Systematic Reviews and Meta-Analyses: The PRISMA Statement. PLoS Med 6(6): e1000097. doi:10.1371/journal.pmed1000097

For more information, visit: www.prisma-statement.org.

Page 2 of 2

For peer review only - <http://bmjopen.bmj.com/site/about/guidelines.xhtml>

1136/bmjopen-2020-03761 on 11 May 2021. Downloaded from <http://bmjopen.bmj.com/> by guest. Protected by copyright.