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'High schools High on life': Study protocol for an intervention to reduce excessive drinking in Danish high schools

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4 **'High schools High on life': Study protocol for an intervention to reduce excessive**
5 **drinking in Danish high schools**
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Abstract

Introduction: This paper describes the evaluation design of the 'High schools High on life' intervention; a school-based intervention to reduce excessive drinking among high school students in Denmark. The intervention includes a school environmental component to limit access to alcohol at school, a school-educational component to change social norms around alcohol among 1st year students and a parental component addressing parents' knowledge and attitudes towards alcohol.

Methods/Design: The study will employ a cluster-randomized controlled study design and will include a random sample of 16 high schools randomly allocated 1:1 to either intervention or control group. Target group: 1st year high school students. Timeline: Baseline survey: January to March 2019, collected as part of the Danish National Youth Study 2019. Delivery of intervention: April 2019 to March 2020. Follow-up survey: April to May 2020. Primary outcome measure: 30% reduction in mean number of binge-drinking episodes (five or more alcoholic drinks on one occasion) within the last 30 days. Secondary outcome measures: proportion of students who drink alcohol, mean weekly alcohol consumption, alcohol intake at last school party, alcohol intake at the school during last school party, proportion of students who agree to be able to have fun at a party without drinking, and the proportion of students who think alcohol plays a too dominant part at the school. Implementation will be monitored through process evaluation.

Ethics and Dissemination: The Scientific Ethics Committees for the Capital Region of Denmark has declared that the trial is not subject to notification (jnr. 19021957). The study is registered at the Research and Innovation Office at University of Southern Denmark (ref: 10.314) allowing collection of personal data. Results will be published in peer-reviewed journals.

Trial registration: The trial is registered 29th March 2019 prior to randomization at clinicaltrials.gov (Protocol Record 15/4155_2).

Keywords: alcohol; school; intervention; adolescents; social norms; parents; school environment

Strengths and Limitations of this study

- The 'High schools High on life' intervention will provide insights into effective strategies to reduce excessive alcohol consumption among adolescents. Specifically, in a Danish context where excessive drinking is the norm.

- The study will provide knowledge on implementation processes, and intervention effects among different subgroups, and contribute to the literature on cultural changes in alcohol use in educational institutions.

- A longer follow-up period may be required than originally anticipated, to cause and measure cultural changes within high schools.

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Introduction

Alcohol is associated with an increased risk of more than 60 alcohol-related diseases (1) and is estimated to be the leading cause of death among 15-24 year-olds, worldwide (2). Binge drinking (consumption of 5 or more alcoholic drinks on one occasion) is common among adolescents in most western countries, and Danish adolescents have one of the highest levels of drunkenness worldwide (3). The age of drinking onset has increased within the last 30 years (4, 5), however, when young Danes begin high school their alcohol consumption often escalates (6, 7). During high school start, students meet new people, join new peer groups, and attend social events at the high school and outside the school where drinking is the focal point. These experiences contribute to the formation of perceived norms about high school alcohol consumption. Among Danish high school students (15-20-year-olds), 28 % (35 % boys and 24 % girls) have been binge drinking 4 or more times within the last 30 days, and 20 % drink above the Danish Board of Health's high risk drinking limits for adults (21 units a week for men and 14 units a week for women) (8).

In the short-term, alcohol use in adolescence can lead to injuries, homicide, suicide, violence, criminal activity, poor health and risky sexual behavior (9). Furthermore, excessive alcohol use in the teenage years often tracks into and through adulthood, and early drinking onset increases the risk of addiction later in life (10-14).

Beside structural prevention strategies, such as limiting availability through increases in prices and a high minimum purchasing age, interventions in the school setting has been proposed to be one of the most feasible strategies to tackle substance use disorders among adolescents (15). Numerous school-based substance abuse prevention programs have been developed to postpone debut age or reduce use of substances in young adolescents. However, effects of the programs have been mixed (16-18). A systematic review of school-based drug-prevention programs showed that the most effective programs used interactive delivery methods, used peer leaders and focused on affecting peer norms (19). Interventions targeting older adolescents (15-20-year old) are mostly American college interventions (20, 21), high risk interventions based on screening and brief motivational interviewing (22, 23) or web-based personalized normative feedback interventions (24, 25). Systematic reviews suggest that college-based interventions that include educational intervention strategies such as personalized feedback, moderation strategies (on how to avoid drinking too much), expectancy challenge (challenge expectancies of when it is fun and not fun to drink), identification of risky situations, and goal setting are effective in reducing alcohol-related behavior issues among adolescents (18). However, evidence from the American college literature is difficult to transfer to the Danish high school setting, in which alcohol is easily accessible. In Denmark, alcohol is a strongly integrated part of the school culture, and a large group of the students drink excessively with the purpose of intoxication (26, 27). Danish students, in all ages, are allowed to drink and buy alcohol at high

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4 school parties, because high school parties are perceived to be private parties, at which the national age
5 limits of being served or purchasing alcohol (respectively 18 years and 16 years) is not enforced (26). It can
6 be hypothesized that educational strategies cannot stand alone in Denmark and should be combined with
7 school environmental strategies targeting physical, structural, social, and cultural environment for drinking
8 at schools. However, we have not been able to identify previous studies using a multicomponent approach.
9 There is thus a lack of interventions targeting high school students excessive drinking focusing on
10 environmental strategies and social norms approaches to effectively reduce adolescent binge drinking.
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16 The overall aim of the 'High schools High on life' study is to implement and evaluate a multi-
17 component high school-based intervention to reduce excessive drinking among high school students. The
18 aim of this study protocol is to describe the effect and process evaluation design of the 'High schools High
19 on life' intervention.
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25 **Research questions of the effect and process evaluation study:**

- 26 • Can the 'High schools High on life' intervention reduce binge drinking (primary outcome) among 1st
27 year high school students?
- 28 • Can the 'High schools High on life' intervention lead to a lower mean weekly alcohol consumption,
29 a lower alcohol intake at last school party, lower alcohol intake at the school during last school
30 party, and lower proportion of students who think alcohol plays a too dominant part at the school
31 (secondary outcomes) among 1st year high school students?
- 32 • Does the 'High schools High on life' intervention lead to intended positive side effects?
- 33 • Does the 'High schools High on life' intervention lead to any unintended negative side effects?
- 34 • Is the effect of the 'High schools High on life' intervention on the primary outcome preceded by
35 changes in the determinants (mediators)?
- 36 • Is there a different effect of the 'High schools High on life' intervention among girls vs. boys, or
37 students with high SEP vs. low SEP?
- 38 • How does the implementation fidelity affect the effect of 'High schools High on life' intervention?
- 39 • Which factors are important in relation to the implementation of the intervention at high schools?
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51 **Intervention**

52 The intervention 'High schools High on life' was developed in collaboration between researchers, at the
53 Centre for Intervention Research at the National Institute of Public Health, University of Southern Denmark
54 and staff from Section for Cancer Prevention and Information, the Danish Cancer Society.
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58 The 'High schools High on life' intervention builds on a socio-ecological framework which
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4 recognizes that adolescents' drinking behavior is determined by a wide range of interacting factors on
5 multiple levels (28). The multi-component intervention targeting incoming 1st year high school students
6 includes a school environmental component addressing school alcohol policies and norms, a school
7 educational component addressing students' social norms around alcohol and a parental component
8 addressing parents' knowledge and attitudes towards alcohol. The intervention will be delivered in the
9 school year 2019-2020.
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15 **The 'High schools High on life' components**

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18 In the following a short description of the main intervention components and mechanisms of change
19 will be described and illustrated (figure 1). A comprehensive description of the intervention
20 components and development of the intervention is published elsewhere (ref intervention
21 development study).
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26 *School environmental component*

27 The school environmental intervention component is designed to restructure the physical and social school
28 environment by limiting availability of alcohol at schools, creating a clear alcohol policy to be
29 communicated to students, personnel and parents, and to facilitate implementation and enforcement of
30 the school alcohol policy and create social activities not focusing on alcohol. The component consisted of
31 an alcohol policy checklist to guide the school management's development of the school alcohol policy and
32 web-based educations directed at the student social and introduction committees to motivate and guide
33 student members to arrange social activities for their fellow students not focusing on alcohol.
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40 *School educational component*

41 The school educational component is designed to change social norms around alcohol among 1st year
42 students by correcting misperception on rates of peer alcohol use (*behavioral norms*) and the social
43 acceptability of alcohol use (*injunctive norms*), making students reflect on their own alcohol use, and when
44 they perceive it as fun and not fun to drink (29). Further, a pocket movie campaign in which the students
45 promote the ideal of drinking less and experiencing more, inspired by induced compliance theory and a
46 social norms campaign guided by the social norms approach, is included (30, 31). As a voluntary element
47 schools could host (and receive support for) an alcohol-free morning party to give students an experience
48 of partying without drinking.
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55 *Parental component*

56 The parental component is designed to encourage parents of 1st year students to talk to their child about
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4 alcohol and come to a mutual agreement regarding the child's drinking habits. The parental component
5 consists of three separate elements: 1) an information meeting at the school in the beginning of the school
6 year, where the parents are introduced to the school policy, encouraged to support it and discuss alcohol
7 with their child, 2) an information folder about high school students' alcohol use and attitudes, and what
8 parents can do to prevent heavy drinking among their children, and 3) a website which aims to promote
9 skill training among parents in discussing alcohol with the child.
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16 *Figure 1: Program Theory of 'High schools High on life'.*
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20 **Methods and Analysis**

21 **Study Design**

22 Intervention effects will be evaluated in a two-armed cluster-randomized controlled trial. Baseline
23 information will be derived from 1st year students' responses from the Danish National Youth Study 2019,
24 collected from 14 January to 30 March 2019 and follow-up information will be collected from a
25 questionnaire to 1st year students in April to May 2020. The trial is registered prior to randomization at
26 clinicaltrials.org (Protocol Record 15/4155_2). Intervention schools will be asked to introduce the 'High
27 schools High on life' intervention components. Control schools will be asked to continue business as usual
28 in the intervention period (April 2019 – March 2020) and will be offered the intervention afterwards (in the
29 school year starting August 2020). A timeline of the evaluation process is provided in figure 2. The study is
30 considered to be an effectiveness trial as schools will be responsible for the implementation of the
31 intervention. Researchers will however monitor and support the implementation at each school by
32 frequent phone calls, observations at the school, newsletters and e-mail reminders to local coordinators.
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44 *Figure 2: Timeline of the evaluation process*
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48 **Inclusion criteria**

- 49 -High schools which have previously participated in the Danish National Youth Study 2019.
 - 50 -Institutions offering general high school examination
 - 51 -Students older than 15 years of age or younger than 25 years of age
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55 *Recruitment*

56 High school will be recruited from participating high schools in The Danish National Youth Study 2019, 1st
57 year students' responses to this survey will serve as the baseline study for the evaluation of the 'High
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4 schools High on life' intervention. A total of 50 general high schools participated in The Danish National
5 Youth Study 2019 (participation proportion: 33%) and will be invited to participate in 'High schools High on
6 life'. High schools will receive an e-mail invitation to the research project and those who do not respond
7 within two workdays will receive a phone call from the research group to describe the aim of the project in
8 more detail.
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13 14 *Sampling*

15 Participating high schools will be randomly allocated 1:1 to either intervention or control using stratified
16 covariate-constrained randomization (32). The randomization will be stratified on whether the school was
17 an independent general high school or embedded within a broader youth educational institution, school
18 size measured by total number of general high school students, proportion of parents with high educational
19 level and degree of urbanization. Information on parental educational level and degree of urbanization was
20 derived from the Danish National Youth Study 2014, and for institutions that did not participate in 2014
21 information was based on municipality information. The CCR SAS macro was used to balance these
22 variables in the intervention and control schools (33). If schools accept to participate, students are
23 automatically enrolled and assigned to the intervention group the school is randomized to by the project
24 group (figure 3).
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34 *Figure 3: Flowchart of expected number of participating schools and students*
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38 **Data collection**

39 The student baseline questionnaire was based on items from other studies (e.g. The HBSC Study and the
40 Danish National Youth Study 2014) either transferred without any revision or adapted to the high school
41 setting (36, 37). A few items were developed specifically to the 'High Schools High on life' intervention. The
42 questionnaire was tested among four high school students (3 girls and 1 boy) and followed by single
43 interviews about comprehensiveness, layout etc. The questionnaire was modified according to the
44 students' comments and suggestions. The Danish National Youth Study 2019 questionnaire took around 45
45 minutes to answer. All 1st year high school students in intervention and control schools will be asked to
46 answer a study-specific follow-up questionnaire. The follow-up questionnaires will only include questions
47 relevant to the intervention, and take around 15 minutes to answer, as school managers specifically
48 demanded short surveys not to compromise on teaching hours. All student questionnaires will be web-
49 based and answered in the classroom. Table 1 outlines questions answered in the student baseline
50 questionnaire that will be repeated in the follow-up questionnaire (in a similar or modified version).
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4 Researchers will monitor and support the implementation and try to prevent school drop out by frequent
5 phone calls, visits, newsletters and e-mail reminders to local coordinators at schools.
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8 9 **Outcomes**

10 The primary outcome is mean number of binge drinking episodes within the last 30 days. 1st year high
11 school students will be asked *“how many times within the last 30 days have you been drinking 5 or more*
12 *units of alcohol within one occasion?”*. Mean number of binge drinking episodes within the last 30 days
13 were chosen as the primary outcome of the intervention as 1) binge drinking is associated with increased
14 risk injuries in adolescence and on the long term a wide range of diseases (38), 2) episodes of binge drinking
15 is a global measure of risky alcohol use (38) and 3) episodes of binge drinking is a broad measure of risky
16 drinking patterns, that also take into account possible substitute effects e.g. if the alcohol intake moves to
17 outside the school setting. Secondary outcomes are 1) mean weekly alcohol consumption, 2) mean alcohol
18 intake at last school party, 3) mean alcohol intake at the school during last school party, and 4) proportion
19 of students who think alcohol plays a too dominant part at the school (table 1).
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28 Explorative outcomes: intended positive side effects: higher proportion of students feels included in
29 the social community at school, including stratified analysis among students who do not drink or have
30 a low alcohol intake (25% lowest quantile in mean weekly alcohol consumption at baseline among
31 students in both interventions and control group). Unintended negative side effects: higher weekly
32 alcohol intake among students in the intervention group as a response to increased focus on alcohol or
33 a substitution effect where a higher proportion of student in the intervention group have tried
34 marihuana, weed, pot, or other drugs.
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41 42 **Change in determinants (mediators)**

43 As outlined in the program theory (figure 1), we expect to see a difference between intervention and
44 control high schools at follow-up in a range of determinants of excessive drinking addressed by the
45 multiple intervention components. At the high school level, we expect clearer alcohol policies, reduced
46 availability of alcohol, communication of the policy to students and parents, stronger enforcement of
47 the alcohol policy, and more alcohol-free social events at intervention schools compared to control
48 schools. At the student level, we expect larger proportions of students at intervention schools
49 compared to control schools who feel they can have fun without drinking, who are familiar with the
50 high schools' alcohol policy, who talk to their parents about alcohol, and who have rules/agreements
51 with their parents on how much they can drink. Additionally, we expect smaller proportions of
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students who overestimates the alcohol use among their peers and who has felt a social pressure to drink at intervention schools compared to control schools. These variables and their operationalization are presented in table 1.

Table 1: Outcomes and mediators

Variable	Question	Type	Units/categories
Primary outcome			
Binge drinking episodes	<i>How many times within the last 30 days have you been drinking 5 or more units of alcohol within one occasion?</i>	Continuous	Episodes
Secondary outcomes			
Weekly alcohol consumption	<i>How many units of alcohol have you been drinking on each day during the last week?</i>	Continuous	Units of alcohol
Alcohol intake at last school party	<i>How many units of alcohol did you drink at the last high school party you attended?</i>	Continuous	Units of alcohol
Alcohol intake at the school during last school party	<i>How many units of alcohol did you drink at <u>the school</u> during the last high school party you attended?</i>	Continuous	Units of alcohol
Proportion of 1 st year high school students who think alcohol plays a too dominant role at the school	<i>Do you feel that alcohol plays a too dominant role at your high school (e.g. at high school parties, school bars, introduction trips, study tours, the general conversation etc.)?</i>	Binary	Yes/no
Explorative outcomes			
Intended positive side effects			
Proportion of 1 st year high school students who feel included in the social community at school	<i>Are you part of the social community at your school?</i>	Binary	Yes, always or yes, sometimes vs. occasionally or seldom or never

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4 Proportion of 1st year high school *Are you part of the social community at* Binary Yes, always or yes,
5 students who feel included in the *your school?* sometimes vs.
6 social community at school in the occasionally or seldom
7 total student population and or never
8 among students who do not
9 drink or have a low alcohol intake
10 (25% lowest quantile in mean
11 weekly alcohol consumption
12 among 1st year students at
13 baseline).

18 Unintended negative side effect

20
21 Weekly alcohol consumption *How many units of alcohol have you* Continuous Units of alcohol
22 *been drinking on each of the days*
23 *during the last week?*
24
25 Consumption of drugs. *Have you ever tried to smoke* Binary Yes/no
26 *marihuana, weed, or pot?*
27
28 *Have you ever tried other drugs than* Binary Yes/no
29 *marihuana?*
30
31 School party attendance *Have you ever attended a school party?* Binary Yes/no
32
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35 Mediators (determinants)

36
37 A clear alcohol policy *Manager/coordinator questionnaire*
38 *(questions will be developed for follow-*
39 *up)*
40
41 Alcohol policy communicated to *Manager/coordinator questionnaire*
42 students and parents *(questions will be developed for follow-*
43 *up)*
44
45 Enforcement of the alcohol *Manager/coordinator questionnaire*
46 policy *(questions will be developed for follow-*
47 *up)*
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50
51 Binary
52 Student questionnaire: Highly agree or agree vs.
53 *Is it your experience that...* 'neither agree nor
54 *-Alcohol is sold at most social events at* disagree' or disagree or
55 *your high school?* highly disagree
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4		-Students are denied entrance to school		
5		parties or sent home if they are visibly		
6		drunk?		
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9		-Nobody drinks alcohol on introduction		
10		trips?		
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12				
13		-Nobody drinks alcohol on study trips?		
14				
15		-Invitations to school parties do not		
16		encourage heavy drinking?		
17				
18	More alcohol-free social events,	Manager/coordinator questionnaire		
19	than events where alcohol is sold	(questions will be developed for follow-		
20		up)		
21				
22				
23	Proportion of 1 st year high school	At your high school: How many units of	Binary	
24	students who overestimate the	alcohol do you think other young		
25	alcohol use among their peers	people of the same gender and school		Proportion who
26		year as you drank at the last high		overestimates their
27		school party you attended?		peers' mean alcohol
28				intake at the school
29				during last school party
30				
31				
32				
33	Proportion of 1 st year high school	How often have you experienced any of	Binary	Often or sometimes vs.
34	students who have felt a social	the situations described below?		seldom or never
35	pressure to drink			
36		I have felt a pressure to drink more than		
37		I would like to.		
38				
39				
40	Proportion of 1 st year high school	To which degree do you agree in the	Binary	Highly agree or agree vs.
41	students who feel they can have	following(..)- I can have fun at a party		'neither agree nor
42	fun without drinking	without drinking		disagree' or disagree or
43				highly disagree
44				
45				
46	Proportion of 1 st year high school	Do you know if your high school has an	Binary	Yes, we do, and I know
47	students who are familiar with	alcohol policy?		the content vs. yes, we
48	the high schools' alcohol policy			do but I do not know the
49				content or no, we don't,
50				or I do not know if my
51				high school has an
52				alcohol policy
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Proportion of 1 st year high school students who talk to their parents about alcohol	<i>Have you talked to your parents about your use of alcohol?</i>	Binary	Yes, we talk about it regularly vs. yes, we have talked about it once, recently or yes, we talked about it a long time ago or no, we have never talked about it.
Proportion of 1st year high school students who have agreements with their parents on how much they are allowed to drink	<i>Do you have agreements with your parents about your alcohol consumption?</i>	Binary	Yes/no

Planned statistical analysis

A blinded version of the data will be used for data analysis. In the primary analysis, outcomes will be analyzed after the intention-to-treat principle including all students in the arm to which they were allocated independently of whether they received (or completed) the intervention as planned. Intention-to-treat analysis will be supplemented by per protocol analysis taking the implementation dose of intervention components into account (both at the school and the individual level). Multi-level models will be used to account for the clustering of students in schools and school classes. General and generalized linear models will be used to study continuous and binary outcomes. If the model assumptions of the general linear model are not fulfilled, transformation of the outcome will be performed. Non-responses will be handled by weighting based on socio-demographic variables such as sex, parents' socioeconomic position and school region. As the baseline population is different from the follow-up population, all analyses will be adjusted for school level information on baseline outcome level, sex, parental education level and parental income, whether the school was an independent general high school or embedded within a broader youth educational institution, school size measured by total number of general high school students, and degree of urbanization to increase precision. If the number of missing outcomes is larger than ten percent and the results of the primary outcome is significant, a worst-case scenario will be performed for the primary and secondary outcomes as sensitivity analyses. The missing outcome values in the one group will be imputed with the mean value of the primary or secondary outcome of the other group and vice versa.

The primary outcome will be tested with significance level of five percent. Analyses of the pre-defined secondary outcomes will be analyzed with no p-value adjustment due to multiplicity and the

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4 interpretation of these results will be assessed in the light of multiple testing. No significance testing will be
5 performed for the exploratory outcomes.
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8 Differential effects of intervention on the primary outcome by sex and parental educational
9 level will be investigated by stratification (explorative analyses). We hypothesize that boys may experience
10 stronger intervention effects than girls due to higher initial level of binge drinking (39). We have no
11 hypotheses of the direction of socioeconomic differences in intervention effects, as previous research has
12 been inconsistent in the direction of intervention effects in different socio-economic groups (40, 41).
13 We will apply mediation analysis to test our program theory and hypothesized assumptions of whether
14 changes in specific determinants will lead to changes in the primary outcome (42).
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20 **Sample size calculation**

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22 Prior to the study, a sample size calculation was performed using the statistical software STATA v15
23 applying *Sampsi* and *Sampclus* to assess number of high schools and students needed to recruit to evaluate
24 the effects of the intervention. Based on results from the Unplugged program (43), a previous school-based
25 substance abuse prevention program among junior high school students (12-14 year-olds) which has been
26 tested in a large cross-national study in seven European countries, we expected a 30% lower mean number
27 of binge drinking episodes within the last 30 days in the intervention group as compared to the control
28 group at follow-up. The average number of binge-drinking episodes within the last 30 days was estimated
29 based on data from the Danish National Youth Study 2014 (37) with an average of 198 enrolled 1st-year
30 students per high school (cluster size). In 2014, high school students had an average of 2.94 binge drinking
31 episodes within the last 30 days, with a standard deviation of 2.58, and an intraclass correlation of 0.034.
32 Conventional levels of statistical power (0.8) and level of significance (0.05) were used. Under the
33 assumptions above, calculations showed that at least 12 high schools should be recruited for the study to
34 show a 30% reduction in the number of binge-drinking episodes within the last 30 days (six control schools
35 and six intervention schools, equivalent to a total of 2,296 students). Due to the risk of loss to follow-up, we
36 aimed at recruiting an additional 30% of schools, corresponding to 16 high schools and 3,168 students.
37 Flowchart of expected number of participating schools and students is presented in figure 2.
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50 **Process evaluation**

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52 We will perform a process evaluation study in order to explore and assess the implementation process and
53 explain the effect or lack of effect of the intervention. The process evaluation will be inspired by a six step
54 protocol for systematic process evaluation developed by Aarestrup et al. 2014 (44), Grant et al.'s
55 framework for process evaluation of cluster randomized trials of complex interventions (45) and the factors
56 identified by Durlak and DuPre that effects implementation (46). We will combine qualitative and
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4 quantitative methods to gain information on 1) the dose, quality and participant responsiveness of the
5 intervention delivered to school coordinators, parents and the student social- and introduction
6 committees, 2) the dose, quality and student responsiveness of the intervention delivered from school
7 coordinators, parents and social- and introduction committees to 1st year students, 3) factors affecting
8 implementation (community factors, provider characteristics, innovation characteristics, organizational
9 capacity and training and technical assistance) and 4) contamination at intervention and control schools.
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15 Qualitative methods

16 Qualitative data will be collected at intervention schools continuously throughout the implementation
17 period including 1) participant observations of the parent information meeting and students' engagement
18 with the web-based education programs 2) interviews with school coordinators (in person and via
19 telephone), 3) focus group interviews with 1st year students and members of the student social- and
20 introduction committees and 4) log of email and telephone communication between the research team
21 and school coordinators.
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27 Quantitative methods

28 Quantitative data will be collected at follow up (April to May 2020) at intervention- and control schools
29 using student questionnaires and school coordinator telephone interviews. This data will provide
30 information on the intervention dose delivered to school coordinators, the social- and introduction
31 committees, parents and 1st year students, school context and contamination at both intervention and
32 control schools. Website track records will contribute with information on parental use of the High schools
33 High on life website.
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40 Patient and Public involvement

41 No patient involved.
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46 Ethics and dissemination of results

47 Ethics

48 In Denmark, behavioral health promotion interventions are generally not required to notify for ethic
49 approval by the Scientific Ethics Committees (34). The Scientific Ethics Committee for the Capital Region of
50 Denmark has declared that the trial is not subject to notification (jnr. 19021957). The study is registered at
51 the Research an Innovation Office at University of Southern Denmark (ref: 10.314) allowing collection of
52 personal data. When inviting the high schools to participate, school managers received written information
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4 about the study. Students were informed that participation was voluntary, that their information would be
5 used for research purposes only and treated confidentially. Research has been inconclusive regarding the
6 existence of a substitution effect between alcohol and cannabis (35). Possible, unintended negative side
7 effects of the intervention, such as shifting to other drugs as replacement for diminished alcohol use,
8 increased alcohol use due to increased attention to the subject, or other side effects will be monitored in
9 the process evaluation. No other ethical concerns were identified.
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15 16 **Dissemination of results**

17 The trial results will be communicated to other researchers in peer-reviewed journals and scientific
18 conferences. Furthermore, they will be disseminated to the public, schools and public health practitioners
19 through press releases, school health profiles to all participating schools based on questionnaire data and
20 conferences for schools and municipalities working with alcohol prevention.
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26 27 **Discussion**

28 The 'High schools High on life' intervention aims at providing important insights into effective strategies to
29 reduce excessive alcohol consumption among adolescents. Further the study, aims at providing knowledge
30 on implementation processes, and intervention effects among different subgroups, and contribute to the
31 literature on cultural changes in educational institutions.
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36 Trials are expensive and recruitment of schools to research projects can be challenging, it is
37 therefore important to use research data efficiently (47). The recruitment to the intervention was based on
38 existing baseline data which represents an efficient use of data and gives a unique opportunity to study
39 selection bias in participation. However, schools that did not participate in the Danish National Youth Study
40 2019 (67%) were not invited to participate in the evaluation of the 'High schools High on life' intervention.
41 This reduced the number of high schools that was invited to participate and may reproduce selection bias
42 from the Danish National Youth Study 2019.
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47 Schools will mainly deliver the intervention components themselves. The implementation of
48 the intervention components will be followed closely to support and learn from the implementation
49 processes. The project groups' efforts to secure full implementation will be described thoroughly in the
50 process evaluation as it is important to know the schools' specific need for implementation support for
51 future scale up of the intervention.
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Declarations

Authors' Contribution

MG and JST conceived the original idea of the study. VP wrote the first draft of the manuscript. JAR wrote the first draft of the process evaluation section. LCT, RFK and JST advised the evaluation design and statistical analysis. All authors read, revised and approved the final manuscript.

Ethics approval and consent to participate

In Denmark, behavioral health promotion interventions are generally not required to notify for ethic approval by the Scientific Ethics Committees (34). The Scientific Ethics Committees for the Capital Region of Denmark has declared that the trial is not subject to notification (jnr. 19021957). The study is registered at the Research and Innovation Office at University of Southern Denmark (ref: 10.314) allowing collection of personal data. When inviting the high schools to participate, school managers received written information about the study. For all data collection methods, responders were informed about the aim of the study, that participation was voluntary, that their information would be used for research purposes only and treated confidentially. In the written introduction to the electronic questionnaires, responders were asked to agree that they have received information about the study and the use of their data for research and content to participate. Participants could skip questions they did not wish to answer. For the qualitative data collection content to participate was verbal. According to Danish law children can give content based on their maturity and children of the age of 13 years and above can give consent to use of their personal data.

Consent for publication

Not Applicable.

Availability of data and material

The datasets generated and analyzed during the current study are not publicly available due to sensitivity of the data but are available from the corresponding author on reasonable request.

Competing interest

The Danish Cancer Society developed intervention materials based on an ongoing campaign. The Danish Cancer Society had no influence on the study design, data analysis or interpretation of data.

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Trial Sponsor Contact: Anne Mette Bak. The Danish Cancer Society, grants, Strandboulevarden 49, 2100 Copenhagen, Denmark phone: +45 35257257

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For peer review only

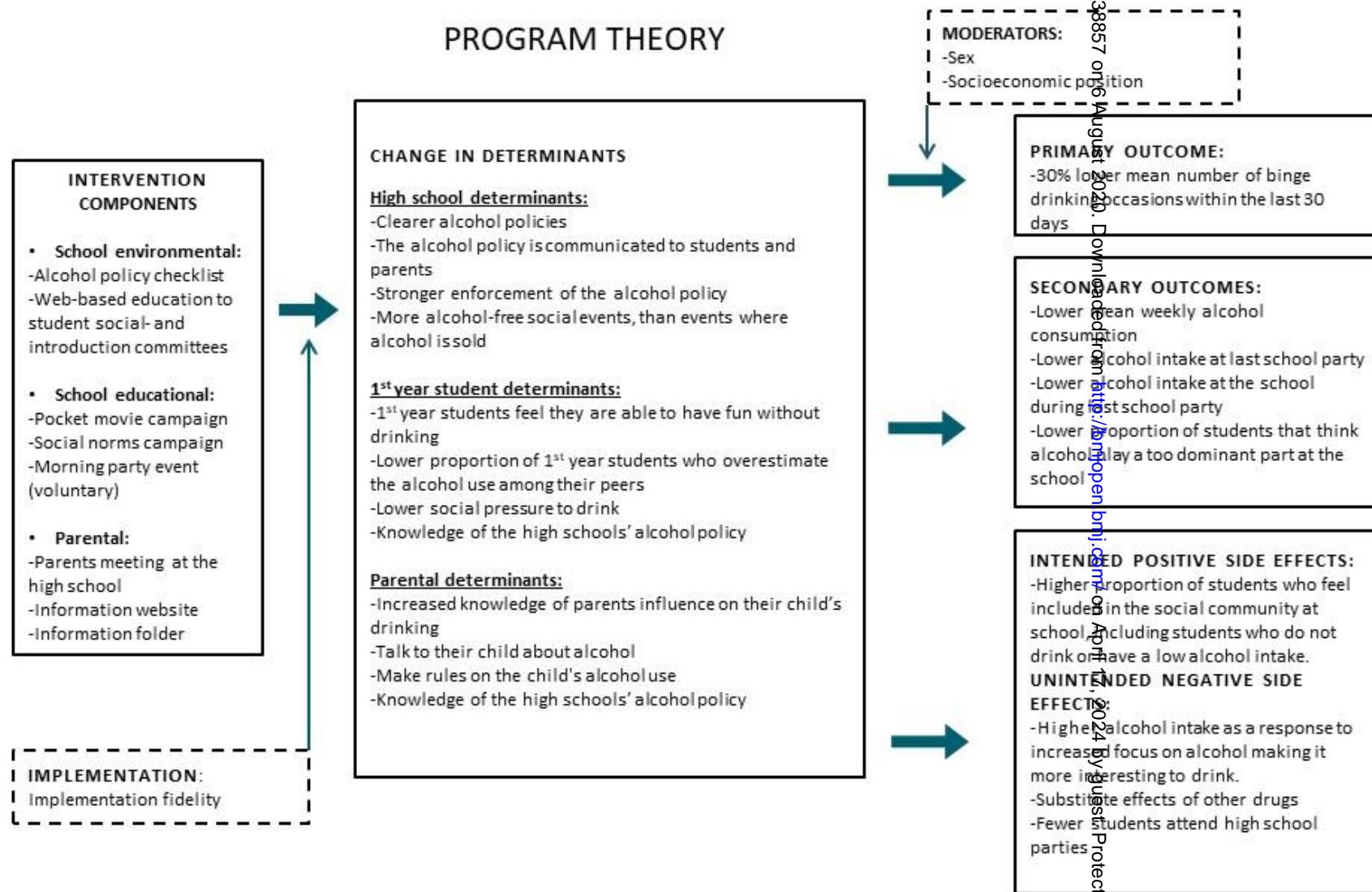


Figure 1: Program Theory of 'High schools High on life'.

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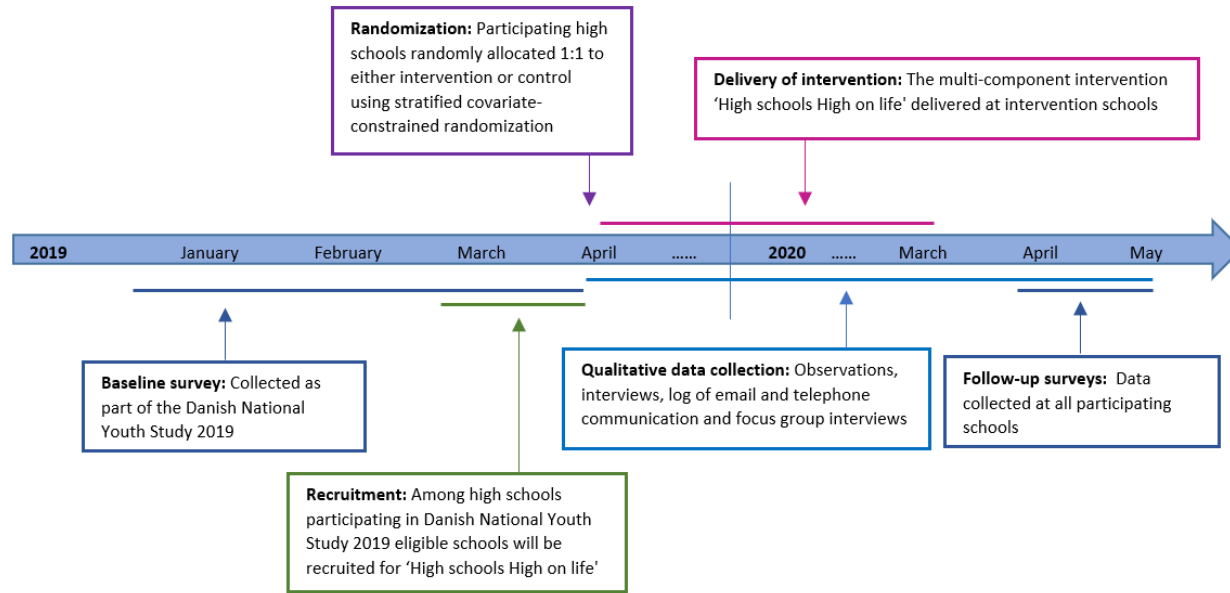


Figure 2: Timeline of the evaluation process

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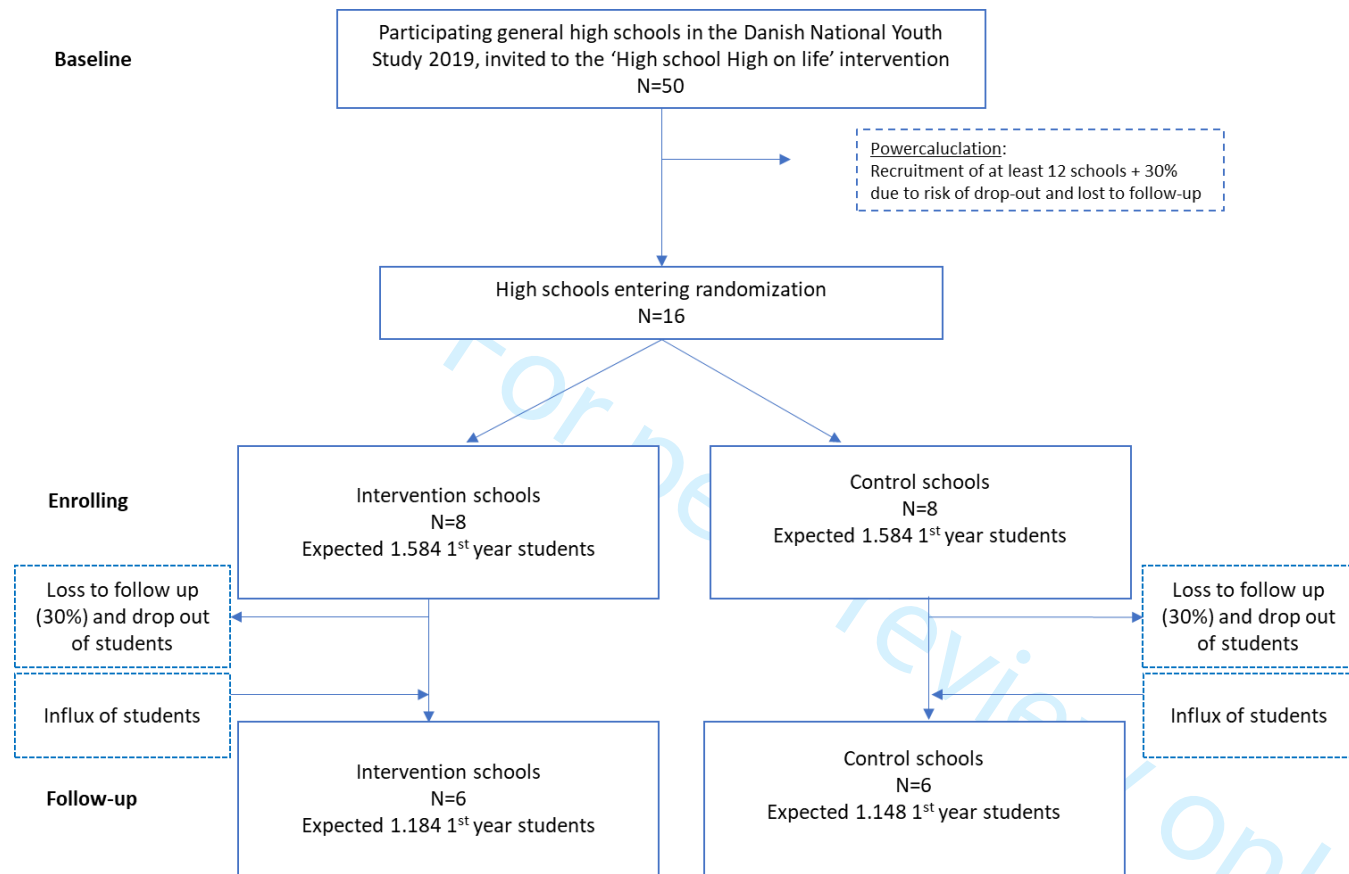


Figure 3: Flowchart of expected number of participating schools and students



SPIRIT 2013 Checklist: Recommended items to address in a clinical trial protocol and related documents*

Section/item	Item No	Description	Addressed in study protocol
Administrative information			
Title	1	Descriptive title identifying the study design, population, interventions, and, if applicable, trial acronym	Titel page
Trial registration	2a	Trial identifier and registry name. If not yet registered, name of intended registry	Titel page
	2b	All items from the World Health Organization Trial Registration Data Set	N/A
Protocol version	3	Date and version identifier	N/A
Funding	4	Sources and types of financial, material, and other support	Titel page
Roles and responsibilities	5a	Names, affiliations, and roles of protocol contributors	Titel page and Declarations: Authors contribution
	5b	Name and contact information for the trial sponsor	Declarations: Funding
	5c	Role of study sponsor and funders, if any, in study design; collection, management, analysis, and interpretation of data; writing of the report; and the decision to submit the report for publication, including whether they will have ultimate authority over any of these activities	Declarations: Funding and Competing interests

1 2 3 4 5 6 7 8 9 10 11 12 13	5d	Composition, roles, and responsibilities of the coordinating centre, steering committee, endpoint adjudication committee, data management team, and other individuals or groups overseeing the trial, if applicable (see Item 21a for data monitoring committee)	Declarations: Authors contribution
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Introduction

14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39	Background and rationale	6a	Description of research question and justification for undertaking the trial, including summary of relevant studies (published and unpublished) examining benefits and harms for each intervention	Background
		6b	Explanation for choice of comparators	Study design
	Objectives	7	Specific objectives or hypotheses	Background: research questions
	Trial design	8	Description of trial design including type of trial (eg, parallel group, crossover, factorial, single group), allocation ratio, and framework (eg, superiority, equivalence, noninferiority, exploratory)	Study design and sampling

Methods: Participants, interventions, and outcomes

40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60	Study setting	9	Description of study settings (eg, community clinic, academic hospital) and list of countries where data will be collected. Reference to where list of study sites can be obtained	Study design
	Eligibility criteria	10	Inclusion and exclusion criteria for participants. If applicable, eligibility criteria for study centres and individuals who will perform the interventions (eg, surgeons, psychotherapists)	Inclusion criteria

1			
2	Interventions	11a	Interventions for each group with sufficient detail to allow replication, including how and when they will be administered
3			Intervention and the ‘High schools High on life’ components
4			Development article also submitted to BMC Public Health
5			
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9		11b	Criteria for discontinuing or modifying allocated interventions for a given trial participant (eg, drug dose change in response to harms, participant request, or improving/worsening disease)
10			N/A
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17		11c	Strategies to improve adherence to intervention protocols, and any procedures for monitoring adherence (eg, drug tablet return, laboratory tests)
18			Study design
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24		11d	Relevant concomitant care and interventions that are permitted or prohibited during the trial
25			N/A
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28	Outcomes	12	Primary, secondary, and other outcomes, including the specific measurement variable (eg, systolic blood pressure), analysis metric (eg, change from baseline, final value, time to event), method of aggregation (eg, median, proportion), and time point for each outcome. Explanation of the clinical relevance of chosen efficacy and harm outcomes is strongly recommended
29			Outcomes and Change in determinants
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35	Participant timeline	13	Time schedule of enrolment, interventions (including any run-ins and washouts), assessments, and visits for participants. A schematic diagram is highly recommended (see Figure)
36			Study design
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2 Sample size 14 Estimated number of participants Sample size calculation
3 needed to achieve study
4 objectives and how it was
5 determined, including clinical and
6 statistical assumptions
7 supporting any sample size
8 calculations
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11 Recruitment 15 Strategies for achieving Recruitment
12 adequate participant enrolment
13 to reach target sample size
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16 **Methods: Assignment of interventions (for controlled**
17 **trials)**
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19 Allocation:

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21 Sequence 16a Method of generating the Sampling
22 generation sequence (eg,
23 computer-generated random
24 numbers), and list of any factors
25 for stratification. To reduce
26 predictability of a random
27 sequence, details of any planned
28 restriction (eg, blocking) should
29 be provided in a separate
30 document that is unavailable to
31 those who enrol participants or
32 assign interventions
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36 Allocation 16b Mechanism of implementing the Sampling
37 concealment allocation sequence (eg, central
38 mechanism telephone; sequentially
39 numbered, opaque, sealed
40 envelopes), describing any steps
41 to conceal the sequence until
42 interventions are assigned
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46 Implementatio 16c Who will generate the allocation Sampling
47 n sequence, who will enrol
48 participants, and who will assign
49 participants to interventions
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51 Blinding 17a Who will be blinded after Planned statistical analysis
52 (masking) assignment to interventions (eg,
53 trial participants, care providers,
54 outcome assessors, data
55 analysts), and how
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- 17b If blinded, circumstances under which unblinding is permissible, and procedure for revealing a participant's allocated intervention during the trial
- N/A

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Methods: Data collection, management, and analysis

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| Data collection methods | 18a | Plans for assessment and collection of outcome, baseline, and other trial data, including any related processes to promote data quality (eg, duplicate measurements, training of assessors) and a description of study instruments (eg, questionnaires, laboratory tests) along with their reliability and validity, if known. Reference to where data collection forms can be found, if not in the protocol | Data collection |
| | 18b | Plans to promote participant retention and complete follow-up, including list of any outcome data to be collected for participants who discontinue or deviate from intervention protocols | Data collection |
| Data management | 19 | Plans for data entry, coding, security, and storage, including any related processes to promote data quality (eg, double data entry; range checks for data values). Reference to where details of data management procedures can be found, if not in the protocol | N/A |
| Statistical methods | 20a | Statistical methods for analysing primary and secondary outcomes. Reference to where other details of the statistical analysis plan can be found, if not in the protocol | Planned Statistical analysis |
| | 20b | Methods for any additional analyses (eg, subgroup and adjusted analyses) | Planned Statistical analysis |

1
2 20c Definition of analysis population Planned Statistical analysis
3 relating to protocol non-
4 adherence (eg, as randomised
5 analysis), and any statistical
6 methods to handle missing data
7 (eg, multiple imputation)
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10 **Methods: Monitoring**

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12 Data monitoring 21a Composition of data monitoring N/A
13 committee (DMC); summary of
14 its role and reporting structure;
15 statement of whether it is
16 independent from the sponsor
17 and competing interests; and
18 reference to where further details
19 about its charter can be found, if
20 not in the protocol. Alternatively,
21 an explanation of why a DMC is
22 not needed
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26 21b Description of any interim N/A
27 analyses and stopping
28 guidelines, including who will
29 have access to these interim
30 results and make the final
31 decision to terminate the trial
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34 Harms 22 Plans for collecting, assessing, Planned Statistical analysis
35 reporting, and managing solicited
36 and spontaneously reported
37 adverse events and other
38 unintended effects of trial
39 interventions or trial conduct
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42 Auditing 23 Frequency and procedures for N/A
43 auditing trial conduct, if any, and
44 whether the process will be
45 independent from investigators
46 and the sponsor
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50 **Ethics and dissemination**

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52 Research ethics 24 Plans for seeking research ethics Ethics
53 approval committee/institutional review
54 board (REC/IRB) approval
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2	Protocol	25	Plans for communicating	Trial registration
3	amendments		important protocol modifications	
4			(eg, changes to eligibility criteria,	
5			outcomes, analyses) to relevant	
6			parties (eg, investigators,	
7			REC/IRBs, trial participants, trial	
8			registries, journals, regulators)	
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10				
11	Consent or	26a	Who will obtain informed consent	Ethics
12	assent		or assent from potential trial	
13			participants or authorised	
14			surrogates, and how (see Item	
15			32)	
16				
17				
18		26b	Additional consent provisions for	N/A
19			collection and use of participant	
20			data and biological specimens in	
21			ancillary studies, if applicable	
22				
23				
24	Confidentiality	27	How personal information about	Ethics
25			potential and enrolled	
26			participants will be collected,	
27			shared, and maintained in order	
28			to protect confidentiality before,	
29			during, and after the trial	
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32	Declaration of	28	Financial and other competing	Declarations: Competing
33	interests		interests for principal	interests
34			investigators for the overall trial	
35			and each study site	
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38	Access to data	29	Statement of who will have	Declaration: Availability of data
39			access to the final trial dataset,	and material
40			and disclosure of contractual	
41			agreements that limit such	
42			access for investigators	
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45	Ancillary and	30	Provisions, if any, for ancillary	N/A
46	post-trial care		and post-trial care, and for	
47			compensation to those who	
48			suffer harm from trial	
49			participation	
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2	Dissemination	31a	Plans for investigators and	Dissemination of results
3	policy		sponsor to communicate trial	
4			results to participants, healthcare	
5			professionals, the public, and	
6			other relevant groups (eg, via	
7			publication, reporting in results	
8			databases, or other data sharing	
9			arrangements), including any	
10			publication restrictions	
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12				
13		31b	Authorship eligibility guidelines	N/A
14			and any intended use of	
15			professional writers	
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18		31c	Plans, if any, for granting public	Declarations: Availability of data
19			access to the full protocol,	and material
20			participant-level dataset, and	
21			statistical code	
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24	Appendices			
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26	Informed	32	Model consent form and other	Ethics
27	consent		related documentation given to	
28	materials		participants and authorised	
29			surrogates	
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32	Biological	33	Plans for collection, laboratory	N/A
33	specimens		evaluation, and storage of	
34			biological specimens for genetic	
35			or molecular analysis in the	
36			current trial and for future use in	
37			ancillary studies, if applicable	
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BMJ Open

Study protocol for a cluster-randomized controlled trial testing the effectiveness of the High schools High on life' intervention on reducing excessive drinking in Danish high schools

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4 **Study protocol for a cluster-randomized controlled trial testing the effectiveness**
5 **of the High schools High on life' intervention on reducing excessive drinking in**
6 **Danish high schools**
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Abstract

Introduction: This paper describes the evaluation design of the 'High schools High on life' intervention; a school-based intervention to reduce excessive drinking among high school students in Denmark. The intervention includes a school environmental component to limit access to alcohol at school, a school-educational component to change social norms around alcohol among 1st year students and a parental component addressing parents' knowledge and attitudes towards alcohol.

Methods/Design: The study will employ a cluster-randomized controlled study design and will include a random sample of 16 high schools randomly allocated 1:1 to either intervention or control group. Target group: 1st year high school students. Timeline: Baseline survey: January to March 2019, collected as part of the Danish National Youth Study 2019. Delivery of intervention: April 2019 to March 2020. Follow-up survey: April to May 2020. Primary outcome measure: 30% reduction in mean number of binge-drinking episodes (five or more alcoholic drinks on one occasion) within the last 30 days. Secondary outcome measures: proportion of students who drink alcohol, mean weekly alcohol consumption, alcohol intake at last school party, alcohol intake at the school during last school party, proportion of students who agree to be able to have fun at a party without drinking, and the proportion of students who think alcohol plays a too dominant part at the school. Implementation will be monitored through process evaluation.

Ethics and Dissemination: The Scientific Ethics Committees for the Capital Region of Denmark has declared that the trial is not subject to notification (jnr. 19021957). The study is registered at the Research and Innovation Office at University of Southern Denmark (ref: 10.314) allowing collection of personal data. Results will be published in peer-reviewed journals.

Trial registration: The trial is registered 29th March 2019 prior to randomization at clinicaltrials.gov (Protocol Record NCT03906500).

Keywords: alcohol; school; intervention; adolescents; social norms; parents; school environment

Strengths and Limitations of this study

- The study will test the effect of the 'High schools High on life' intervention in a cluster randomized controlled trial in a real-life setting.

-The 'High schools High on life' intervention will provide insights into effective strategies to reduce excessive alcohol consumption among Danish adolescents, where excessive drinking is the norm.

- The study will provide knowledge on implementation processes, and intervention effects among different subgroups, and contribute to the literature on cultural changes in alcohol use in educational institutions.

- A longer follow-up period may be required than originally anticipated, to cause and measure cultural changes within high schools.

Introduction

Alcohol is associated with an increased risk of more than 60 alcohol-related diseases (1) and is estimated to be the leading risk factor for death among 15-24 year-olds, worldwide (2). Binge drinking (in Denmark defined as consumption of 5 or more alcoholic drinks (12 grams of pure alcohol) on one occasion) is common among adolescents in most western countries, and Danish adolescents have one of the highest levels of drunkenness worldwide (3). The age of drinking onset has increased within the last 30 years (4, 5), however, when young Danes begin high school their alcohol consumption often escalates (6, 7). During high school start, students meet new people, join new peer groups, and attend social events at the high school and outside the school where drinking is the focal point. These experiences contribute to the formation of perceived norms about high school alcohol consumption. Among Danish high school students (15-20-year-olds), 28 % (35 % boys and 24 % girls) have been binge drinking 4 or more times within the last 30 days, and 20 % drink above the Danish Board of Health's high risk drinking limits for adults (21 units a week for men and 14 units a week for women) (8).

In the short-term, alcohol use in adolescence can lead to injuries, homicide, suicide, violence, criminal activity, poor health and risky sexual behavior (9). Furthermore, excessive alcohol use in the teenage years often tracks into and through adulthood, and early drinking onset increases the risk of high alcohol consumption and alcohol dependence later in life (10-14).

Beside structural prevention strategies, such as limiting availability through increases in prices and a high minimum purchasing age, interventions in the school setting has been proposed to be one of the most feasible strategies to tackle substance use disorders among adolescents (15). Numerous school-based substance abuse prevention programs have been developed to postpone debut age or reduce use of substances in young adolescents. However, effects of the programs have been mixed (16-18). A systematic review of school-based drug-prevention programs showed that the most effective programs used interactive delivery methods, used peer leaders and focused on affecting peer norms (19). Interventions targeting older adolescents (15-20-year old) are mostly American college interventions (20, 21), high risk interventions based on screening and brief motivational interviewing (22, 23) or web-based personalized normative feedback interventions (24, 25). Systematic reviews suggest that college-based interventions that include educational intervention strategies such as personalized feedback, moderation strategies (on how to avoid drinking too much), expectancy challenge (challenge expectancies of when it is fun and not fun to drink), identification of risky situations, and goal setting are effective in reducing alcohol-related behavior issues among adolescents (18). However, evidence from the American college literature is difficult to transfer to the Danish high school setting, in which alcohol is easily accessible. In Denmark, alcohol is a strongly integrated part of the school culture, and a large group of the students drink excessively with the

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4 purpose of intoxication (26, 27). Danish students, in all ages, are allowed to drink and buy alcohol at high
5 school parties, because high school parties are perceived to be private parties, at which the national age
6 limits of being served or purchasing alcohol (respectively 18 years and 16 years) is not enforced (26). It can
7 be hypothesized that educational strategies cannot stand alone in Denmark and should be combined with
8 school environmental strategies targeting physical, structural, social, and cultural environment for drinking
9 at schools. However, we have not been able to identify previous studies using a multicomponent approach.
10 There is thus a lack of interventions targeting high school students excessive drinking focusing on
11 environmental strategies and social norms approaches to effectively reduce adolescent binge drinking.
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18 The overall aim of the 'High schools High on life' study is to implement and evaluate a multi-
19 component high school-based intervention to reduce excessive drinking among high school students. The
20 aim of this study protocol is to describe the effect and process evaluation design of the 'High schools High
21 on life' intervention.
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26 **Hypothesis and research questions of the effect and process evaluation study:**

27 We hypothesize that the 'High schools High on life' intervention will create a 30% reduction in binge
28 drinking episodes within the last 30 days (primary outcome) among 1st year high school students (age 15-17
29 years) at intervention schools compared to control schools. Furthermore, the following research questions
30 will be addressed:
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- 36 • Can the 'High schools High on life' intervention lead to a lower mean weekly alcohol consumption,
37 a lower alcohol intake at last school party, lower alcohol intake at the school during last school
38 party, and lower proportion of students who think alcohol plays a too dominant part at the school
39 (secondary outcomes) among 1st year high school students at intervention schools compared to
40 control schools?
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- 43 • Does the 'High schools High on life' intervention lead to intended positive side effects among 1st
44 year high school students at intervention schools?
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- 47 • Does the 'High schools High on life' intervention lead to any unintended negative side effects
48 among 1st year high school students at intervention schools?
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- 51 • Is the effect of the 'High schools High on life' intervention on the primary outcome preceded by
52 changes in the determinants (mediators) at intervention schools?
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- 55 • Is there a different effect of the 'High schools High on life' intervention among girls vs. boys, or
56 students with high SEP vs. low SEP at intervention schools?
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- How does the implementation fidelity affect the effect of ‘High schools High on life’ intervention at intervention schools?
- Which factors are important in relation to the implementation of the intervention at intervention schools?

Intervention

The ‘High schools High on life’ intervention builds on a socio-ecological framework which recognizes that adolescents’ drinking behavior is determined by a wide range of interacting factors on multiple levels (28). The multi-component intervention targeting incoming 1st year high school students includes a school environmental component addressing school alcohol policies and norms, a school educational component addressing students’ social norms around alcohol and a parental component addressing parents’ knowledge and attitudes towards alcohol. The intervention will be delivered in the school year 2019-2020.

The ‘High schools High on life’ components

The intervention ‘High schools High on life’ was developed in collaboration between researchers, at the Centre for Intervention Research at the National Institute of Public Health, University of Southern Denmark and staff from Section for Cancer Prevention and Information, the Danish Cancer Society in close consultation with school staff, pupils and parents. The development of the intervention was guided and inspired by the planning steps of the Intervention Mapping protocol, the Behavior change wheel, Behavior change techniques and theories, the best available evidence new empirical studies of contextual factors influencing students’ alcohol intake in the Danish high school setting and experiences and ongoing local and national initiatives and campaigns targeting students’ alcohol consumption at Danish high schools (29-32). In the following a short description of the main intervention components and mechanisms of change will be described and illustrated (figure 1). A comprehensive description of the intervention components and development of the intervention will be described elsewhere.

School environmental component

The school environmental intervention component is designed to restructure the physical and social school environment by limiting availability of alcohol at schools, creating a clear alcohol policy to be communicated to students, personnel and parents, and to facilitate implementation and enforcement of the school alcohol policy and create social activities not focusing on alcohol. The component consisted of an alcohol policy checklist to guide the school management’s development of the school alcohol policy and

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4 web-based educations directed at the student social and introduction committees to motivate and guide
5 student members to arrange social activities for their fellow students not focusing on alcohol.
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8 *School educational component*

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10 The school educational component is designed to change social norms around alcohol among 1st year
11 students by correcting misperception on rates of peer alcohol use (*behavioral norms*) and the social
12 acceptability of alcohol use (*injunctive norms*), making students reflect on their own alcohol use, and when
13 they perceive it as fun and not fun to drink (33). Further, a pocket movie campaign in which the students
14 promote the ideal of drinking less and experiencing more, inspired by induced compliance theory and a
15 social norms campaign guided by the social norms approach, is included (34, 35). As a voluntary element
16 schools could host (and receive support for) an alcohol-free morning party to give students an experience
17 of partying without drinking.
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24 *Parental component*

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26 The parental component is designed to encourage parents of 1st year students to talk to their child about
27 alcohol and come to a mutual agreement regarding the child's drinking habits. The parental component
28 consists of three separate elements: 1) an information meeting at the school in the beginning of the school
29 year, where the parents are introduced to the school policy, encouraged to support it and discuss alcohol
30 with their child, 2) an information folder about high school students' alcohol use and attitudes, and what
31 parents can do to prevent heavy drinking among their children, and 3) a website which aims to promote
32 skill training among parents in discussing alcohol with the child.
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39 *Figure 1: Program Theory of 'High schools High on life'.*
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43 **Methods and Analysis**

44 **Study Design**

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46 Intervention effects will be evaluated in a two-armed cluster-randomized controlled trial. Baseline
47 information will be derived 1st year students' responses from the Danish National Youth Study 2019,
48 collected from 14 January to 30 March 2019 and follow-up information will be collected from a
49 questionnaire to 1st year students in April to May 2020. The trial is registered prior to randomization at
50 clinicaltrials.org (Protocol Record 15/4155_2). Intervention schools will be asked to introduce the 'High
51 schools High on life' intervention components. Control schools will be asked to continue business as usual
52 in the intervention period (April 2019 – March 2020) and will be offered the intervention afterwards (in the
53 school year starting August 2020). A timeline of the evaluation process is provided in figure 2. The study is
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4 considered to be an effectiveness trial as schools will be responsible for the implementation of the
5 intervention. Researchers will however monitor and support the implementation at each school by
6 frequent phone calls, observations at the school, newsletters and e-mail reminders to local coordinators.
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11 *Figure 2: Timeline of the evaluation process*
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14 **Inclusion criteria**

- 15 -High schools which have previously participated in the Danish National Youth Study 2019.
 - 16 -Institutions offering general high school examination
 - 17 -1st year high school students
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23 *Recruitment*

24 High school will be recruited from participating high schools in The Danish National Youth Study 2019, 1st
25 year students' responses to this survey will serve as the baseline study for the evaluation of the 'High
26 schools High on life' intervention. A total of 50 general high schools participated in The Danish National
27 Youth Study 2019 (participation proportion: 33%) and will be invited to participate in 'High schools High on
28 life'. High schools will receive an e-mail invitation to the research project and those who do not respond
29 within two workdays will receive a phone call from the research group to describe the aim of the project in
30 more detail.
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38 *Sampling*

39 Participating high schools will be randomly allocated 1:1 to either intervention or control using stratified
40 covariate-constrained randomization (36). The randomization will be stratified on whether the school was
41 an independent general high school or embedded within a broader youth educational institution, school
42 size measured by total number of general high school students, proportion of parents with high educational
43 level and degree of urbanization. Information on parental educational level and degree of urbanization was
44 derived from the Danish National Youth Study 2014, and for institutions that did not participate in 2014
45 information was based on municipality information. The CCR SAS macro was used to balance these
46 variables in the intervention and control schools (37). If schools accept to participate, students are
47 automatically enrolled and assigned to the intervention group the school is randomized to by the project
48 group (figure 3).
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58 *Figure 3: Flowchart of expected number of participating schools and students*
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Data collection

The student baseline questionnaire was based on items from other studies (e.g. The HBSC Study and the Danish National Youth Study 2014) either transferred without any revision or adapted to the high school setting (38, 39). A few items were developed specifically to the 'High Schools High on life' intervention. The questionnaire was tested among four high school students (3 girls and 1 boy) and followed by single interviews about comprehensiveness, layout etc. The questionnaire was modified according to the students' comments and suggestions. The Danish National Youth Study 2019 questionnaire took around 45 minutes to answer. All 1st year high school students in intervention and control schools will be asked to answer a study-specific follow-up questionnaire. The follow-up questionnaires will only include questions relevant to the intervention, and take around 15 minutes to answer, as school managers specifically demanded short surveys not to compromise on teaching hours. All student questionnaires will be web-based and answered in the classroom. Table 1 outlines questions answered in the student baseline questionnaire that will be repeated in the follow-up questionnaire (in a similar or modified version). Researchers will monitor and support the implementation and try to prevent school drop out by frequent phone calls, visits, newsletters and e-mail reminders to local coordinators at schools.

Outcomes

The primary outcome is mean number of binge drinking episodes within the last 30 days. 1st year high school students will be asked *"how many times within the last 30 days have you been drinking 5 or more units of alcohol within one occasion?"*(39). Mean number of binge drinking episodes within the last 30 days were chosen as the primary outcome of the intervention as 1) binge drinking is associated with increased risk injuries in adolescence and on the long term a wide range of diseases (40), 2) episodes of binge drinking is a global measure of risky alcohol use (40) and 3) episodes of binge drinking is a broad measure of risky drinking patterns, that also take into account possible substitute effects e.g. if the alcohol intake moves to outside the school setting. Secondary outcomes are 1) mean weekly alcohol consumption (39), 2) mean alcohol intake at last school party (39), 3) mean alcohol intake at the school during last school party (39), and 4) proportion of students who think alcohol plays a too dominant part at the school (table 1).

Explorative outcomes: intended positive side effects: higher proportion of students feels included in the social community at school, including stratified analysis among students who do not drink or have a low alcohol intake (25% lowest quantile in mean weekly alcohol consumption at baseline among students in both interventions and control group). Unintended negative side effects: higher weekly

alcohol intake among students in the intervention group as a response to increased focus on alcohol or a substitution effect where a higher proportion of student in the intervention group have tried marihuana, weed, pot, or other drugs.

Change in determinants (mediators)

As outlined in the program theory (figure 1), we expect to see a difference between intervention and control high schools at follow-up in a range of determinants of excessive drinking addressed by the multiple intervention components. At the high school level, we expect clearer alcohol policies, reduced availability of alcohol, communication of the policy to students and parents, stronger enforcement of the alcohol policy, and more alcohol-free social events at intervention schools compared to control schools. At the student level, we expect larger proportions of students at intervention schools compared to control schools who feel they can have fun without drinking, who are familiar with the high schools' alcohol policy, who talk to their parents about alcohol, and who have rules/agreements with their parents on how much they can drink. Additionally, we expect smaller proportions of students who overestimates the alcohol use among their peers and who has felt a social pressure to drink at intervention schools compared to control schools. These variables and their operationalization are presented in table 1.

Table 1: Outcomes and mediators

Variable	Question	Type	Units/categories
Primary outcome			
Binge drinking episodes	<i>Student questionnaire: How many times within the last 30 days have you been drinking 5 or more units of alcohol within one occasion?</i>	Continuous	Episodes
Secondary outcomes			
Weekly alcohol consumption	<i>Student questionnaire: How many units of alcohol have you been drinking on each day during the last week?</i>	Continuous	Units of alcohol
Alcohol intake at last school party	<i>Student questionnaire: How many units of alcohol did you drink at the last high school party you attended?</i>	Continuous	Units of alcohol

Alcohol intake at the school during last school party	<i>Student questionnaire: How many units of alcohol did you drink at <u>the school</u> during the last high school party you attended?</i>	Continuous	Units of alcohol
Proportion of 1 st year high school students who think alcohol plays a too dominant role at the school	<i>Student questionnaire: Do you feel that alcohol plays a too dominant role at your high school (e.g. at high school parties, school bars, introduction trips, study tours, the general conversation etc.)?</i>	Binary	Yes/no
Explorative outcomes			
Intended positive side effects			
Proportion of 1 st year high school students who feel included in the social community at school	<i>Student questionnaire: Are you part of the social community at your school?</i>	Binary	Yes, always or yes, sometimes vs. occasionally or seldom or never
Proportion of 1 st year high school students who feel included in the social community at school in the total student population and among students who do not drink or have a low alcohol intake (25% lowest quantile in mean weekly alcohol consumption among 1 st year students at baseline).	<i>Student questionnaire: Are you part of the social community at your school?</i>	Binary	Yes, always or yes, sometimes vs. occasionally or seldom or never
Unintended negative side effect			
Weekly alcohol consumption	<i>Student questionnaire: How many units of alcohol have you been drinking on each of the days during the last week?</i>	Continuous	Units of alcohol
Consumption of drugs.	<i>Student questionnaire: Have you ever tried to smoke marijuana, weed, or pot?</i>	Binary	Yes/no

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	<i>Student questionnaire: Have you ever tried other drugs than marihuana?</i>	Binary	Yes/no
School party attendance	<i>Student questionnaire: Have you ever attended a school party?</i>	Binary	Yes/no
Mediators (determinants)			
A clear alcohol policy	<i>Manager/coordinator questionnaire: In this school year (2019/2020): Did you introduce a new or change your alcohol policy?</i>	Binary	'Yes, we made changes in our alcohol policy' or 'Yes, we introduced a new alcohol policy' vs. 'No, we do not have an alcohol policy' or 'No, we have not changed our alcohol policy'
Alcohol policy communicated to students and parents	<i>Manager/coordinator questionnaire: In this school year (2019/2020): Was the alcohol policy communicated to parents of 1st year students?</i>	Binary	'Yes, at a parent meeting' or 'Yes, written information' vs. No
	<i>Manager/coordinator questionnaire: In this school year (2019/2020): Was the alcohol policy communicated to students?</i>	Categorical	Yes, all students were informed Yes, all 1 st year students were informed Yes, student committees were informed No
Enforcement of the alcohol policy	<i>Student questionnaire: Is it your experience that... -Alcohol is sold at most social events at your high school? -Students are denied entrance to school parties or sent home if they are visibly drunk?</i>	Binary	Highly agree or agree vs. 'neither agree nor disagree' or disagree or highly disagree

		<i>-Nobody drinks alcohol on introduction trips?</i>		
		<i>-Nobody drinks alcohol on study trips?</i>		
		<i>-Invitations to school parties do not encourage heavy drinking?</i>		
More alcohol-free social events, than events where alcohol is sold	<i>Alcohol policy checklist reported by school principals</i>		Binary	Yes/no
	<i>Student questionnaire:</i>			
	Alcohol is sold at most social events outside school hours at my high school			Highly agree or agree vs. 'neither agree nor disagree' or disagree or highly disagree
Proportion of 1 st year high school students who overestimate the alcohol use among their peers	<i>Student questionnaire: At your high school: How many units of alcohol do you think other young people of the same gender and school year as you drank at the last high school party you attended?</i>		Binary	<i>Proportion who overestimates their peers' mean alcohol intake at the school during last school party</i>
Proportion of 1 st year high school students who have felt a social pressure to drink	<i>Student questionnaire: How often have you experienced any of the situations described below?</i> <i>I have felt a pressure to drink more that I would like to.</i>		Binary	Often or sometimes vs. seldom or never
Proportion of 1 st year high school students who feel they can have fun without drinking	<i>Student questionnaire: To which degree do you agree in the following(..)- I can have fun at a party without drinking</i>		Binary	Highly agree or agree vs. 'neither agree nor disagree' or disagree or highly disagree
Proportion of 1 st year high school students who are familiar with the high schools' alcohol policy	<i>Student questionnaire: Do you know if your high school has an alcohol policy?</i>		Binary	Yes, we do, and I know the content vs. yes, we do but I do not know the content or no, we don't, or I do not know if my high school has an alcohol policy

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4	Proportion of 1 st year high school	<i>Student questionnaire: Have you talked</i>	Binary	Yes, we talk about it
5	students who talk to their	<i>to your parents about your use of</i>		regularly vs. yes, we
6	parents about alcohol	<i>alcohol?</i>		have talked about it
7				once, recently or yes, we
8				talked about it a long
9				time ago or no, we have
10				never talked about it.
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14	Proportion of 1st year high	<i>Student questionnaire: Do you have</i>	Binary	Yes/no
15	school students who have	<i>agreements with your parents about</i>		
16	agreements with their parents on	<i>your alcohol consumption?</i>		
17	how much they are allowed to			
18	drink			
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Planned statistical analysis

A blinded version of the data will be used for data analysis. In the primary analysis, outcomes will be analyzed after the intention-to-treat principle including all students in the arm to which they were allocated independently of whether they received (or completed) the intervention as planned. Intention-to-treat analysis will be supplemented by per protocol analysis taking the implementation dose of intervention components into account (both at the school and the individual level). Dose delivered will be measured in the coordinator questionnaire and by observations and will be defined as the number of intervention components delivered as planned) and dose received will be measured in the student questionnaire. and will be defined as the number of intervention components received as planned. Multi-level models will be used to account for the clustering of students in schools and school classes. General and generalized linear models will be used to study continuous and binary outcomes. If the model assumptions of the general linear model are not fulfilled, transformation of the outcome will be performed. Non-responses will be handled by weighting based on socio-democratic variables such as sex, parents' socioeconomic position and school region. As the baseline population is different from the follow-up population, all analyses will be adjusted for school level information on baseline outcome level, sex, parental education level and parental income, whether the school was an independent general high school or embedded within a broader youth educational institution, school size measured by total number of general high school students, and degree of urbanization to increase precision. If the number of missing outcomes is larger than ten percent and the results of the primary outcome is significant, a worst-case scenario will be performed for the primary and secondary outcomes as sensitivity analyses. The missing outcome values in

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4 the one group will be imputed with the mean value of the primary or secondary outcome of the other
5 group and vice versa.
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8 The primary outcome will be tested with significance level of five percent. Analyses of the
9 pre-defined secondary outcomes will be analyzed with no p-value adjustment due to multiplicity and the
10 interpretation of these results will be assessed in the light of multiple testing. No significance testing will be
11 performed for the exploratory outcomes.
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15 Differential effects of intervention on the primary outcome by sex and parental educational
16 level will be investigated by stratification (explorative analyses). We hypothesize that boys may experience
17 stronger intervention effects than girls due to higher initial level of binge drinking (41). We have no
18 hypotheses of the direction of socioeconomic differences in intervention effects, as previous research has
19 been inconsistent in the direction of intervention effects in different socio-economic groups (42, 43).
20 We will apply mediation analysis to test our program theory and hypothesized assumptions of whether
21 changes in specific determinants will lead to changes in the primary outcome (44).
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27 **Sample size calculation**

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29 Prior to the study, a sample size calculation was performed using the statistical software STATA v15
30 applying *Sampsi* and *Sampclus* to assess number of high schools and students needed to recruit to evaluate
31 the effects of the intervention. Based on results from the Unplugged program (45), a previous school-based
32 substance abuse prevention program among junior high school students (12-14 year-olds) which has been
33 tested in a large cross-national study in seven European countries, we expected a 30% lower mean number
34 of binge drinking episodes within the last 30 days in the intervention group as compared to the control
35 group at follow-up. The average number of binge-drinking episodes within the last 30 days was estimated
36 based on data from the Danish National Youth Study 2014 (39) with an average of 198 enrolled 1st-year
37 students per high school (cluster size). In 2014, high school students had an average of 2.94 binge drinking
38 episodes within the last 30 days, with a standard deviation of 2.58, and an intraclass correlation of 0.034.
39 Conventional levels of statistical power (0.8) and level of significance (0.05) were used. Under the
40 assumptions above, calculations showed that at least 12 high schools should be recruited for the study to
41 show a 30% reduction in the number of binge-drinking episodes within the last 30 days (six control schools
42 and six intervention schools, equivalent to a total of 2,296 students). Due to the risk of loss to follow-up, we
43 aimed at recruiting an additional 30% of schools, corresponding to 16 high schools and 3,168 students.
44 Flowchart of expected number of participating schools and students is presented in figure 2.
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57 **Process evaluation**

58 We will perform a process evaluation study in order to explore and assess the implementation process and
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4 explain the effect or lack of effect of the intervention. The process evaluation will be inspired by a six step
5 protocol for systematic process evaluation developed by Aarestrup et al. 2014 (46), Grant et al.'s
6 framework for process evaluation of cluster randomized trials of complex interventions (47) and the factors
7 identified by Durlak and DuPre that effects implementation (48). We will combine qualitative and
8 quantitative methods to gain information on 1) the dose, quality and participant responsiveness of the
9 intervention delivered to school coordinators, parents and the student social- and introduction
10 committees, 2) the dose, quality and student responsiveness of the intervention delivered from school
11 coordinators, parents and social- and introduction committees to 1st year students, 3) factors affecting
12 implementation (community factors, provider characteristics, innovation characteristics, organizational
13 capacity and training and technical assistance) and 4) contamination at intervention and control schools.
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22 Qualitative methods

23 Qualitative data will be collected at intervention schools continuously throughout the implementation
24 period including 1) participant observations of the parent information meeting and students' engagement
25 with the web-based education programs 2) interviews with school coordinators (in person and via
26 telephone), 3) focus group interviews with 1st year students and members of the student social- and
27 introduction committees and 4) log of email and telephone communication between the research team
28 and school coordinators.
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34 Quantitative methods

35 Quantitative data will be collected at follow up (April to May 2020) at intervention- and control schools
36 using student questionnaires and school coordinator telephone interviews. This data will provide
37 information on the intervention dose delivered to school coordinators, the social- and introduction
38 committees, parents and 1st year students, school context and contamination at both intervention and
39 control schools. Website track records will contribute with information on parental use of the High schools
40 High on life website.
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47 Patient and Public involvement

48 No patient involved.
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53 Ethics and dissemination of results

54 Ethics

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57 In Denmark, behavioral health promotion interventions are generally not required to notify for ethic
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4 approval by the Scientific Ethics Committees (49). The Scientific Ethics Committee for the Capital Region of
5 Denmark has declared that the trial is not subject to notification (jnr. 19021957). The study is registered at
6 the Research and Innovation Office at University of Southern Denmark (ref: 10.314) allowing collection of
7 personal data. When inviting the high schools to participate, school managers received written information
8 about the study. Students were informed that participation was voluntary, that their information would be
9 used for research purposes only and treated confidentially. Research has been inconclusive regarding the
10 existence of a substitution effect between alcohol and cannabis (50). Possible, unintended negative side
11 effects of the intervention, such as shifting to other drugs as replacement for diminished alcohol use,
12 increased alcohol use due to increased attention to the subject, or other side effects will be monitored in
13 the process evaluation. No other ethical concerns were identified.

21 22 23 **Dissemination of results**

24 The trial results will be communicated to other researchers in peer-reviewed journals and scientific
25 conferences. Furthermore, they will be disseminated to the public, schools and public health practitioners
26 through press releases, school health profiles to all participating schools based on questionnaire data and
27 conferences for schools and municipalities working with alcohol prevention.

31 32 33 34 **Discussion**

35 The 'High schools High on life' intervention aims at providing important insights into effective strategies to
36 reduce excessive alcohol consumption among adolescents. Further the study, aims at providing knowledge
37 on implementation processes, and intervention effects among different subgroups, and contribute to the
38 literature on cultural changes in educational institutions.

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Trials are expensive and recruitment of schools to research projects can be challenging, it is
therefore important to use research data efficiently (51). The recruitment to the intervention was based on
existing baseline data which represents an efficient use of data and gives a unique opportunity to study
selection bias in participation. However, schools that did not participate in the Danish National Youth Study
2019 (67%) were not invited to participate in the evaluation of the 'High schools High on life' intervention.
This reduced the number of high schools that was invited to participate and may reproduce selection bias
from the Danish National Youth Study 2019.

Schools will mainly deliver the intervention components themselves. The implementation of
the intervention components will be followed closely to support and learn from the implementation
processes. The project groups' efforts to secure full implementation will be described thoroughly in the

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process evaluation as it is important to know the schools' specific need for implementation support for future scale up of the intervention.

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Declarations

Authors' Contribution

MG and JST conceived the original idea of the study. VP wrote the first draft of the manuscript in collaboration with SHH. JAR wrote the first draft of the process evaluation section. LCT, RFK and JST advised the evaluation design and statistical analysis. All authors read, revised and approved the final manuscript.

Ethics approval and consent to participate

In Denmark, behavioral health promotion interventions are generally not required to notify for ethic approval by the Scientific Ethics Committees (49). The Scientific Ethics Committees for the Capital Region of Denmark has declared that the trial is not subject to notification (jnr. 19021957). The study is registered at the Research and Innovation Office at University of Southern Denmark (ref: 10.314) allowing collection of personal data. When inviting the high schools to participate, school managers received written information about the study. For all data collection methods, responders were informed about the aim of the study, that participation was voluntary, that their information would be used for research purposes only and treated confidentially. In the written introduction the electronic questionnaires, responders were asked to agree that they have received information about the study and the use of their data for research and content to participate. Participants could skip questions they did not wish to answer. For the qualitative data collection content to participate was verbal. According to Danish law children can give content based on their maturity and children of the age of 13 years and above can give consent to use of their personal data.

Consent for publication

Not Applicable.

Availability of data and material

The datasets generated and analyzed during the current study are not publicly available due to sensitivity of the data but are available from the corresponding author on reasonable request.

Competing interest

The Danish Cancer Society developed intervention materials based on an ongoing campaign. The Danish Cancer Society had no influence on the study design, data analysis or interpretation of data.

Funding

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Trial Sponsor Contact: Anne Mette Bak. The Danish Cancer Society, grants, Strandboulevarden 49, 2100 Copenhagen, Denmark phone: +45 35257257

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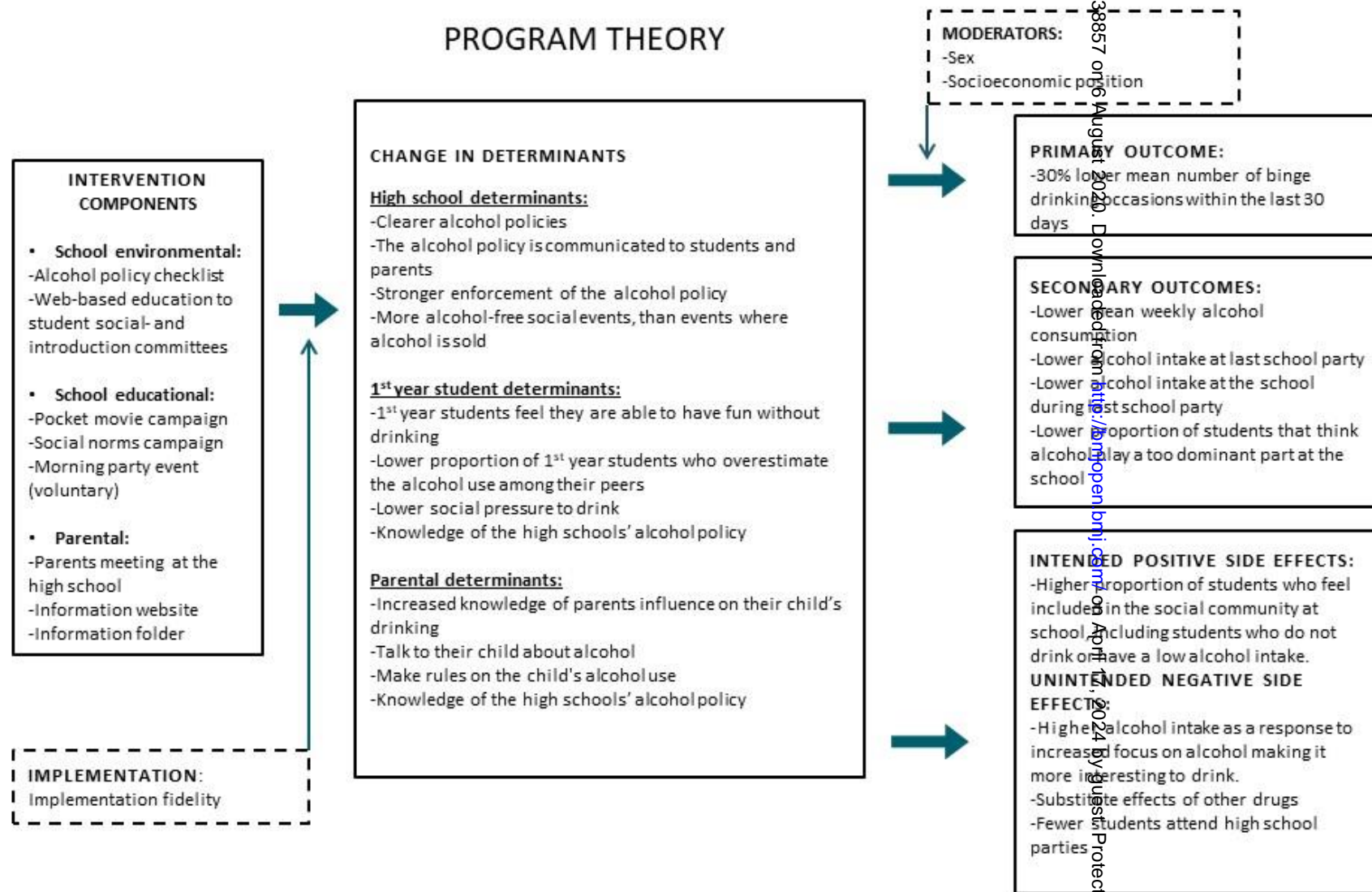


Figure 1: Program Theory of 'High schools High on life'.

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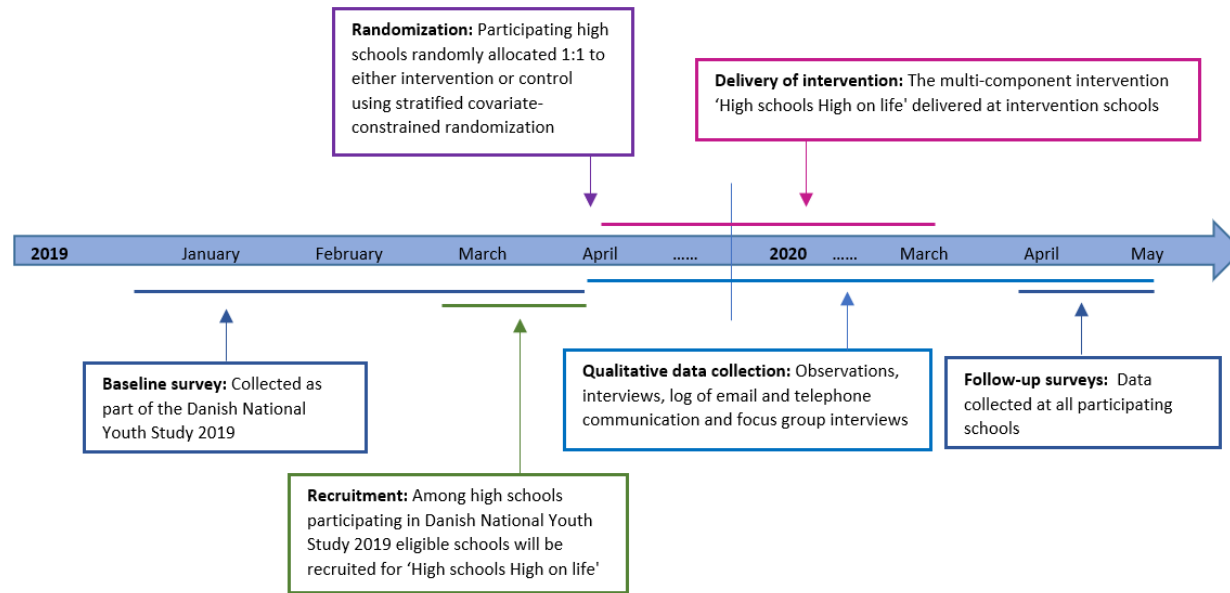


Figure 2: Timeline of the evaluation process

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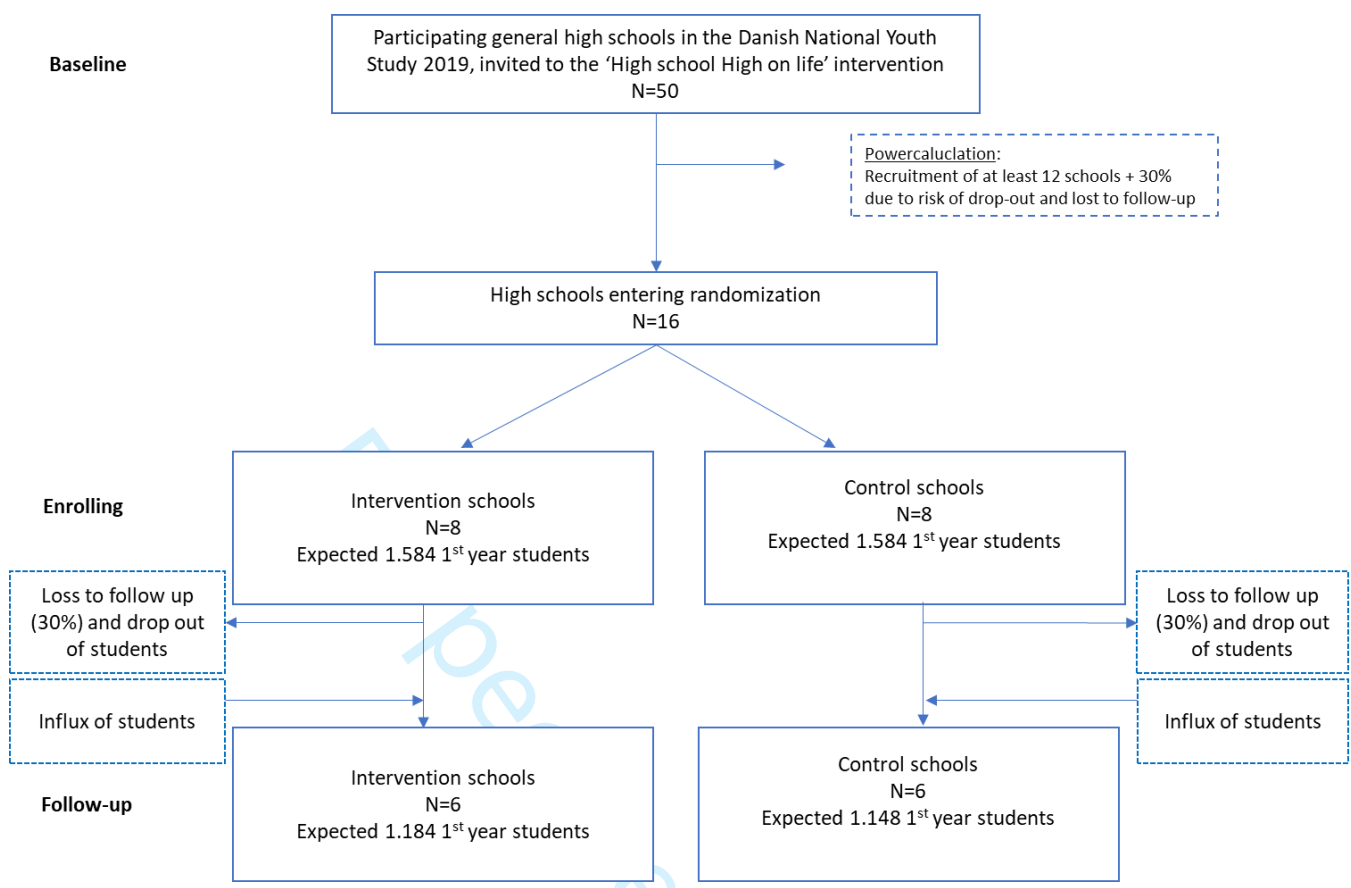


Figure 3: Flowchart of expected number of participating schools and students



SPIRIT 2013 Checklist: Recommended items to address in a clinical trial protocol and related documents*

Section/item	Item No	Description	Addressed in study protocol
Administrative information			
Title	1	Descriptive title identifying the study design, population, interventions, and, if applicable, trial acronym	Titel page (p.1)
Trial registration	2a	Trial identifier and registry name. If not yet registered, name of intended registry	Titel page (p.1)
	2b	All items from the World Health Organization Trial Registration Data Set	N/A
Protocol version	3	Date and version identifier	N/A
Funding	4	Sources and types of financial, material, and other support	Titel page (p.1)
Roles and responsibilities	5a	Names, affiliations, and roles of protocol contributors	Titel page (p.1) and Declarations: Authors contribution (p.20)
	5b	Name and contact information for the trial sponsor	Declarations: Funding (p.21)
	5c	Role of study sponsor and funders, if any, in study design; collection, management, analysis, and interpretation of data; writing of the report; and the decision to submit the report for publication, including whether they will have ultimate authority over any of these activities	Declarations: Funding (p.21) and Competing interests (p.20)

1 2 3 4 5 6 7 8 9 10 11 12 13	5d	Composition, roles, and responsibilities of the coordinating centre, steering committee, endpoint adjudication committee, data management team, and other individuals or groups overseeing the trial, if applicable (see Item 21a for data monitoring committee)	Declarations: Authors contribution (p.20)
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Introduction

14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39	Background and rationale	6a	Description of research question and justification for undertaking the trial, including summary of relevant studies (published and unpublished) examining benefits and harms for each intervention	Introduction (p.4-6)
		6b	Explanation for choice of comparators	Study design (p.7)
	Objectives	7	Specific objectives or hypotheses	Background: research questions (p.4)
	Trial design	8	Description of trial design including type of trial (eg, parallel group, crossover, factorial, single group), allocation ratio, and framework (eg, superiority, equivalence, noninferiority, exploratory)	Study design and sampling (p.7 and 8))

Methods: Participants, interventions, and outcomes

40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60	Study setting	9	Description of study settings (eg, community clinic, academic hospital) and list of countries where data will be collected. Reference to where list of study sites can be obtained	Study design (p.7)
	Eligibility criteria	10	Inclusion and exclusion criteria for participants. If applicable, eligibility criteria for study centres and individuals who will perform the interventions (eg, surgeons, psychotherapists)	Inclusion criteria (p.8)

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2	Interventions	11a	Interventions for each group with sufficient detail to allow replication, including how and when they will be administered
3			Intervention and the ‘High schools High on life’ components (p.5-7)
4			Development article also submitted to BMC Public Health
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10		11b	Criteria for discontinuing or modifying allocated interventions for a given trial participant (eg, drug dose change in response to harms, participant request, or improving/worsening disease)
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18		11c	Strategies to improve adherence to intervention protocols, and any procedures for monitoring adherence (eg, drug tablet return, laboratory tests)
19			Study design (p.7)
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25		11d	Relevant concomitant care and interventions that are permitted or prohibited during the trial
26			N/A
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29	Outcomes	12	Primary, secondary, and other outcomes, including the specific measurement variable (eg, systolic blood pressure), analysis metric (eg, change from baseline, final value, time to event), method of aggregation (eg, median, proportion), and time point for each outcome. Explanation of the clinical relevance of chosen efficacy and harm outcomes is strongly recommended
30			Outcomes and Change in determinants (p.9-10)
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46	Participant timeline	13	Time schedule of enrolment, interventions (including any run-ins and washouts), assessments, and visits for participants. A schematic diagram is highly recommended (see Figure)
47			Study design (p.7)
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2 Sample size 14 Estimated number of participants Sample size calculation (p.14)
3 needed to achieve study
4 objectives and how it was
5 determined, including clinical and
6 statistical assumptions
7 supporting any sample size
8 calculations
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10
11 Recruitment 15 Strategies for achieving Recruitment (p.7)
12 adequate participant enrolment
13 to reach target sample size
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16 **Methods: Assignment of interventions (for controlled**
17 **trials)**
18

19 Allocation:

20
21 Sequence 16a Method of generating the Sampling (p.8)
22 generation (eg,
23 computer-generated random
24 numbers), and list of any factors
25 for stratification. To reduce
26 predictability of a random
27 sequence, details of any planned
28 restriction (eg, blocking) should
29 be provided in a separate
30 document that is unavailable to
31 those who enrol participants or
32 assign interventions
33
34

35
36 Allocation 16b Mechanism of implementing the Sampling (p.8)
37 concealment (eg, central
38 telephone; sequentially
39 numbered, opaque, sealed
40 envelopes), describing any steps
41 to conceal the sequence until
42 interventions are assigned
43
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45
46 Implementatio 16c Who will generate the allocation Sampling (p.8)
47 n (eg, who will enrol
48 participants, and who will assign
49 participants to interventions
50

51 Blinding 17a Who will be blinded after Planned statistical analysis
52 (masking) assignment to interventions (eg, (p.13-14)
53 trial participants, care providers,
54 outcome assessors, data
55 analysts), and how
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- 17b If blinded, circumstances under which unblinding is permissible, and procedure for revealing a participant's allocated intervention during the trial
- N/A

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Methods: Data collection, management, and analysis

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|-------------------------|-----|--|--|
| Data collection methods | 18a | Plans for assessment and collection of outcome, baseline, and other trial data, including any related processes to promote data quality (eg, duplicate measurements, training of assessors) and a description of study instruments (eg, questionnaires, laboratory tests) along with their reliability and validity, if known. Reference to where data collection forms can be found, if not in the protocol | Data collection (p.8) |
| | 18b | Plans to promote participant retention and complete follow-up, including list of any outcome data to be collected for participants who discontinue or deviate from intervention protocols | Data collection (p.8) |
| Data management | 19 | Plans for data entry, coding, security, and storage, including any related processes to promote data quality (eg, double data entry; range checks for data values). Reference to where details of data management procedures can be found, if not in the protocol | N/A |
| Statistical methods | 20a | Statistical methods for analysing primary and secondary outcomes. Reference to where other details of the statistical analysis plan can be found, if not in the protocol | Planned Statistical analysis (p.13-14) |
| | 20b | Methods for any additional analyses (eg, subgroup and adjusted analyses) | Planned Statistical analysis (p.13-14) |

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2		20c	Definition of analysis population
3			relating to protocol non-
4			adherence (eg, as randomised
5			analysis), and any statistical
6			methods to handle missing data
7			(eg, multiple imputation)
8			
9			

Planned Statistical analysis
(p.13-14)

Methods: Monitoring

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11			
12	Data monitoring	21a	Composition of data monitoring
13			committee (DMC); summary of
14			its role and reporting structure;
15			statement of whether it is
16			independent from the sponsor
17			and competing interests; and
18			reference to where further details
19			about its charter can be found, if
20			not in the protocol. Alternatively,
21			an explanation of why a DMC is
22			not needed
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N/A

26		21b	Description of any interim
27			analyses and stopping
28			guidelines, including who will
29			have access to these interim
30			results and make the final
31			decision to terminate the trial
32			
33			

N/A

34	Harms	22	Plans for collecting, assessing,
35			reporting, and managing solicited
36			and spontaneously reported
37			adverse events and other
38			unintended effects of trial
39			interventions or trial conduct
40			
41			

Planned Statistical analysis
(p.13-14)

42	Auditing	23	Frequency and procedures for
43			auditing trial conduct, if any, and
44			whether the process will be
45			independent from investigators
46			and the sponsor
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N/A

Ethics and dissemination

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52	Research ethics	24	Plans for seeking research ethics
53	approval		committee/institutional review
54			board (REC/IRB) approval
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Ethics (p.15-16)

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2	Protocol	25	Plans for communicating	Trial registration (p.2)
3	amendments		important protocol modifications	
4			(eg, changes to eligibility criteria,	
5			outcomes, analyses) to relevant	
6			parties (eg, investigators,	
7			REC/IRBs, trial participants, trial	
8			registries, journals, regulators)	
9				
10				
11	Consent or	26a	Who will obtain informed consent	Ethics (p.15-16)
12	assent		or assent from potential trial	
13			participants or authorised	
14			surrogates, and how (see Item	
15			32)	
16				
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18		26b	Additional consent provisions for	N/A
19			collection and use of participant	
20			data and biological specimens in	
21			ancillary studies, if applicable	
22				
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24	Confidentiality	27	How personal information about	Ethics (p.15-16)
25			potential and enrolled	
26			participants will be collected,	
27			shared, and maintained in order	
28			to protect confidentiality before,	
29			during, and after the trial	
30				
31				
32	Declaration of	28	Financial and other competing	Declarations: Competing
33	interests		interests for principal	interests (p.20)
34			investigators for the overall trial	
35			and each study site	
36				
37				
38	Access to data	29	Statement of who will have	Declaration: Availability of data
39			access to the final trial dataset,	and material (p.20)
40			and disclosure of contractual	
41			agreements that limit such	
42			access for investigators	
43				
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45	Ancillary and	30	Provisions, if any, for ancillary	N/A
46	post-trial care		and post-trial care, and for	
47			compensation to those who	
48			suffer harm from trial	
49			participation	
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2	Dissemination	31a	Plans for investigators and
3	policy		sponsor to communicate trial
4			results to participants, healthcare
5			professionals, the public, and
6			other relevant groups (eg, via
7			publication, reporting in results
8			databases, or other data sharing
9			arrangements), including any
10			publication restrictions
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12			
13		31b	Authorship eligibility guidelines
14			and any intended use of
15			professional writers
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17			
18		31c	Plans, if any, for granting public
19			access to the full protocol,
20			participant-level dataset, and
21			statistical code
22			
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25	Appendices		
26	Informed	32	Model consent form and other
27	consent		related documentation given to
28	materials		participants and authorised
29			surrogates
30			
31			
32	Biological	33	Plans for collection, laboratory
33	specimens		evaluation, and storage of
34			biological specimens for genetic
35			or molecular analysis in the
36			current trial and for future use in
37			ancillary studies, if applicable
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*It is strongly recommended that this checklist be read in conjunction with the SPIRIT 2013 Explanation & Elaboration for important clarification on the items. Amendments to the protocol should be tracked and dated. The SPIRIT checklist is copyrighted by the SPIRIT Group under the Creative Commons "[Attribution-NonCommercial-NoDerivs 3.0 Unported](https://creativecommons.org/licenses/by-nc-nd/3.0/)" license.