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# BMJ Open

## Low-density lipoprotein cholesterol and all-cause mortality: findings from the China Health and Retirement Longitudinal Study

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6 from the China Health and Retirement Longitudinal Study  
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## Abstract

### Objectives

To investigate the relationship between LDL-C and all-cause mortality among middle-aged and elderly Chinese population.

### Design

Prospective cohort study.

### Setting

This study used data from the Chinese Health and Retirement Longitudinal Study (CHARLS).

### Participants

Middle-aged and elderly participants with complete data were enrolled for a 4-year follow-up of total mortality and plasma levels of LDL-C, including 4983 male respondents and 5535 female respondents.

### Results

During a 4-year follow-up, there were 305 and 219 deaths in men and women, respectively. Compared with the first quintile (Q1) of LDL-C, the adjusted hazard ratios (95% confidence intervals) were 0.832 (0.571~1.214) for Q2, 0.752 (0.509~1.110) for Q3, 0.555 (0.364~0.844) for Q4 and 0.643 (0.422~0.979) for Q5 in men. The results from restricted cubic spine (RCS) showed that when the 20th percentile of LDL-C levels was used as the reference, a lower LDL-C concentration was associated with a higher 4-year all-cause mortality risk. By contrast, both quintile analysis and RCS analysis showed a trend for increased mortality in women with low LDL-C concentrations, but the association was not statistically significant.

### Conclusions

We found that a low level of LDL-C was associated with an increased risk of 4-year all-cause mortality in middle-aged and elderly Chinese men. The results suggest the potential harmful effect of a low level of LDL-C on total mortality.

**Keywords** Low-density lipoprotein cholesterol, all-cause mortality, CHARLS

## Strengths and limitations of this study

- This study aimed to investigate the relationship between LDL-C and all-cause mortality among middle-aged and elderly Chinese population, based on high-quality data from a nationally representative longitudinal cohort.
- A low level of LDL-C was found to be associated with an increased risk of 4-year all-cause mortality in middle-aged and elderly Chinese men.
- Although the public's attention focuses on the benefit of lipid lowering, this study highlights the potential harmful effect of low LDL-C.
- The 4-year follow-up period prevented assessing the long-term association between LDL-C and all-cause mortality.
- The cause-specific mortality data were not available, preventing the analysis of the association between LDL-C and cause-specific mortality.

## Introduction

For decades, the mainstream view holds that a high level of low-density lipoprotein cholesterol (LDL-C) is a primary cause of cardiovascular events and mortality[1]. However, many studies have found that LDL-C levels are inversely associated with all-cause mortality in diseased populations, such as patients with chronic hemodialysis[2], intracerebral hemorrhage[3] and heart failure[4]. Most importantly, in a systematic review of 19 cohort studies including 30 cohorts with 68 094 elderly people ( $\geq 60$  years), an inverse association between LDL-C and all-cause mortality was seen in 16 cohorts representing 92% of all participants, and none of the other cohorts found the positive association between LDL-C and all-cause mortality[5]. Since LDL-C has been regarded as “bad cholesterol” for a long time and lipid-lowering drugs have been prescribed even at normal levels of serum cholesterol[6], the impact can be substantial. Therefore, the underlying relationship between LDL-C and all-cause mortality needs to be clarified in large prospective cohorts.

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4 In this study, we aimed to investigate whether LDL-C levels are associated with  
5 all-cause mortality among the middle-aged and elderly Chinese men and women,  
6 based on the longitudinal data from the China Health and Retirement Longitudinal  
7 Study (CHARLS).  
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## 11 12 13 **Methods**

### 14 15 **Study design**

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17 As a nationally representative longitudinal study, CHARLS is designed to collect  
18 a wide range of information on the economic standing, physical and psychological  
19 health, demographics and social networks of a middle-aged and elderly Chinese  
20 population (aged  $\geq 45$  years)[7]. The national baseline survey (wave 1) was conducted  
21 between June 2011 and March 2012 and included 17,708 respondents. The second  
22 wave (wave 2) was carried out in 2013-2014, the third wave (wave 3) in 2014-2015  
23 and the fourth wave (wave 4) in 2015-2016. The detailed design of CHARLS can be  
24 referred to a previous publication[7]. This study was approved by Biomedical Ethics  
25 Review Committee of Peking University, and all participants signed informed  
26 consents.  
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### 36 37 **Study population**

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39 All participants recruited in the national baseline survey were included if they  
40 met the following criteria: 1) aged  $\geq 45$  years, 2) measured plasma levels of LDL-C in  
41 wave 1, 3) successfully followed up in at least one of the subsequent three waves, and  
42 4) without lipid-lowering interventions. Finally, 10518 participants, including 4983  
43 men and 5535 women, were included for subsequent analysis (Figure 1).  
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### 48 49 **Plasma LDL-C measurements and other covariates**

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51 Plasma samples were collected by medically-trained staff and then stored at  
52  $-80^{\circ}\text{C}$  until assayed at Capital Medical University (CMU) laboratory. LDL-C was  
53 measured by the enzymatic colorimetric test, with an analytical range of 3–400 mg/L  
54 and between-assay coefficient of variation of 1.20%. During the testing of the  
55 CHARLS study samples, quality control (QC) samples were used daily. All test  
56 results from QC samples were within two standard deviations of mean QC control  
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4 concentrations. The other covariates collected included age, gender, smoking status,  
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6 drinking status, body mass index (BMI), hypertension (defined by a history of  
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8 hypertension, or systolic blood pressure (SBP)  $\geq$  140mmHg, or diastolic blood  
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10 pressure (DBP)  $\geq$  90 mmHg), high blood sugar (HBS)/diabetes (defined by a history  
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12 of HBS/diabetes, or fasting blood glucose  $\geq$  6.1mmol/L, or non-fasting blood glucose  
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14  $\geq$  7.8mmol/L), a history of cancer, cardiovascular disease, stroke, asthma, lung  
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16 disease, liver disease, digestive disease, kidney disease, arthritis, memory problem  
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18 and psychological problem.

### 19 **All-cause mortality follow-up**

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21 Participants enrolled in wave 1 were followed up in subsequent three waves. In  
22  
23 wave 2, both the interview status (dead or alive) and death time were recorded. In  
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25 waves 3 and 4, only the interview status was recorded. For those who had the exact  
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27 time on all-cause death, the survival time was defined as the interval between the  
28  
29 interview time of wave 1 and the death time. If the exact death time was not available,  
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31 the survival time was computed as the median of the interval between wave 1 and the  
32  
33 specific wave with death information. For those who did not die during the follow-up  
34  
35 period, the survival time was defined as the interval between two interview waves.

### 36 **Patient and public involvement**

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38 Anonymised participant data were used in this study. Patients and the public  
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40 were not involved in the design or conduct, or reporting, or dissemination plans of the  
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42 study.  
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### 44 **Statistical analysis**

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46 Data were presented as median ( $P_{25}$ ~ $P_{75}$ ) for continuous variables and frequency  
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48 (percentage) for categorical variables. Baseline characteristics between or among  
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50 groups were compared by the Wilcoxon rank sum test or Kruskal-Wallis rank sum  
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52 test for continuous variables and by the chi-square test for categorical variables. The  
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54 Cox proportional hazard ratio model was used to estimate the hazard ratios (HRs) and  
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56 95% confidence intervals (CIs) of LDL-C quintiles. In addition, the association  
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58 between all-cause mortality and LDL-C on a continuous scale was further examined  
59  
60 using restricted cubic splines (RCS) incorporated in Cox proportional hazards models.



All statistical analyses were performed by SAS statistical software (version 9.4, Cary, NC). All *P* values were 2-tailed, and the significance level was set at 0.05.

## Results

### Baseline characteristics of the study population

A total of 4983 men and 5535 women were eligible for the final analysis. The median of LDL-C levels in women was significantly higher than that in men ( $P < 0.0001$ , Supplementary Figure S1). Compared with women, men were older, had smaller BMI values and possessed greater smoking rate and drinking rate (all  $P < 0.0001$ ). The prevalence rates of cancer, lung disease, arthritis and digestive disease in women were higher than those in men, but the prevalence rates of asthma and cardiovascular disease were lower in women (all  $P < 0.0001$ , Supplementary Table S1).

### Characteristics of men and women according to the quintiles of LDL-C levels

After stratification by the quintiles of LDL-C levels, BMI, SBP and DBP in men were elevated with ascending quintiles as a whole (All  $P < 0.001$ ) (Supplementary Table S2). The prevalence of HBS/diabetes was highest in the bottom quintile of LDL-C and lowest in the fourth quintile, with prevalence rates of 34.94% and 27.02% respectively. There were no statistical differences among LDL-C quintiles for the other characteristics (e.g. age, smoking, drinking, stroke, cancer, cardiovascular disease, lung disease, liver disease, kidney disease, digestive disease, asthma, arthritis, psychological problem and memory problem) (All  $P > 0.05$ ).

In women, LDL-C quintiles were positively associated with age, BMI, SBP and DBP (All  $P < 0.001$ ) (Supplementary Table S3). The prevalence rates of HBS/diabetes and liver disease in women were significantly different among different LDL-C quintiles (All  $P < 0.001$ ). For the remaining variables (e.g., smoking, drinking, stroke, cancer, cardiovascular disease, lung disease, kidney disease, digestive disease, asthma, arthritis, psychological problem and memory problem), no differences were observed (All  $P > 0.05$ ).

### Associations of LDL-C levels with all-cause mortality

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4 In men, 305 out of 4983 participants died during a four-year follow-up. The  
5 mortality rates were declining with ascending quintiles (Table 1). Compared with the  
6 first quintile, the univariate HRs (95% CIs) were 0.738(0.537~1.014) for the second  
7 quintile; 0.638(0.457~0.890) for the third quintile; 0.521(0.366~0.742) for the fourth  
8 quintile and 0.511(0.358~0.730) for the fifth quintile. After adjustment for a series of  
9 potential confounders, the non-linear association between LDL-C and all-cause  
10 mortality was observed. As compared with the first quintile, the multivariate HRs  
11 (95% CIs) were as follows: second quintile, 0.834(0.572~1.216); third quintile,  
12 0.752(0.510~1.110); fourth quintile, 0.555(0.365~0.845); fifth quintile,  
13 0.643(0.422~0.980). In women, there were 219 deaths during a four-year follow-up.  
14 The mortality rate was highest in the first quintile. After adjustment for potential  
15 confounders, no quintile showed significant lower mortality rates compared with the  
16 first quintile (all  $P > 0.05$ ) (Table 1).  
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29 The quintile analysis indicated that the relationship between LDL-C with  
30 all-cause mortality might be non-linear. Therefore, RCS was further performed to  
31 investigate the association between all-cause mortality and LDL-C on a continuous  
32 scale. The results from RCS showed that when the 20th percentile of LDL-C levels  
33 was used as the reference, lower LDL-C was associated with higher risk of 4-year  
34 all-cause mortality in men, and moderately higher LDL-C possessed lower total  
35 mortality risk, but the association was not statistically significant for very high  
36 LDL-C concentrations (Figure 2). For women, LDL-C was not significantly  
37 associated with 4-year all-cause mortality, but women at lower LDL-C concentrations  
38 were observed with a trend of a higher risk of 4-year total mortality (Figure 2).  
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## 50 Discussion

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52 In this study, we investigated the relationship between LDL-C and 4-year  
53 all-cause mortality among the middle-aged and elderly Chinese population. In men, a  
54 low level of LDL-C was associated with increased mortality risk. In women, LDL-C  
55 was not significantly associated with 4-year all-cause mortality.  
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60 Low-density lipoprotein has been well established as an important cause of

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4 cardiovascular disease (CVD) for decades[1]. Since CVD is the leading cause of  
5 mortality throughout the world, it is logically reasonable that increased LDL-C should  
6 contribute to increased CVD mortality and possibly all-cause mortality. Indeed,  
7 evidences from prospective epidemiologic studies showed a positive association  
8 between non-HDL-C concentration and ischaemic heart disease mortality[8].  
9 However, non-HDL-C includes both LDL-C and very low-density lipoprotein  
10 cholesterol (VLDL-C). It is surprising that the direct association of LDL-C with CVD  
11 mortality was not consistently reported among studies. Abdullah *et al.* (2018)  
12 demonstrated that LDL-C was independently associated with CVD mortality in a low  
13 10-year risk cohort with long-term follow-up[9]. By contrast, Tikhonof *et al.* (2005)  
14 reported that the elderly subjects ( $\geq 65$  years) possessed the highest CVD mortality in  
15 the lowest LDL-C quartile[10]. Meanwhile, many other studies also found no  
16 association between LDL-C and CVD mortality[11-13]. When the results about the  
17 association of LDL-C with CVD mortality were inconsistent, it is more surprising to  
18 find that few studies have reported the positive association between LDL-C and  
19 all-cause mortality. In the study by Abdullah *et al.* (2018), there were already no  
20 associations or minimal positive associations between high LDL-C categories and  
21 all-cause mortality even in univariable Cox analyses[9]. Most remarkably, results of  
22 multivariable Cox analyses in this study were not provided for all-cause mortality,  
23 which could exert a substantial impact on the final association[14]. Actually, a large  
24 number of studies reported no association or even an inverse association between  
25 LDL-C and all-cause mortality, which has been summarized in a systematic review by  
26 Ravnskov *et al.* (2016)[5]. Therefore, although the mainstream view has been  
27 advocating the benefit of lowering high LDL-C, the harmful effect of low LDL-C  
28 may be largely neglected.

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It should be noted that the confounding effect of statin treatment should be  
minimized, as this study excluded those who used lipid-lowering interventions. There  
was also no association between baseline LDL-C and the presence of cancer, stroke  
and CVD neither among men nor among women (Supplementary Tables S2 and S3).  
Moreover, when participants who had died during the first observation year were

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4 excluded, this relationship was not changed. This could relieve the concern that  
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6 serious diseases may lower cholesterol soon before death occurs. We speculate that  
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8 the difference between men and women was due to the much lower LDL-C levels in  
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10 males than in females. This could result in the small sample size of female  
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12 participants with low LDL-C concentrations, leading to insufficient power for the  
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14 association in women. Indeed, there was observed a high risk trend for women at low  
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16 LDL-C concentrations, although the association was not statistically significant.

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18 Several explanations for the unfavorable effects of low LDL-C levels may be  
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20 proposed. LDL-C has been suggested to play an important role in host defense against  
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22 both bacterial and viral pathogens[15]. Indeed, many animal and laboratory  
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24 experiments have shown that LDL could bind to and inactivate a broad range of  
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26 microorganisms and their toxic products[16-18]. This hypothesis may be further  
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28 supported by the recent finding that LDL-C was associated with reduced infectious  
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30 mortality based on the data from 37,250 patients in the international Monitoring  
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32 Dialysis Outcomes (MONDO) database[2]. In addition, it has been proposed that  
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34 LDL-C may have the potential to protect against cancer as many cancer types are  
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36 caused by viruses[19]. Ravnskov *et al.* (2012) reviewed nine cohort studies including  
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38 more than 140,000 individuals followed for 10–30 years and found that low  
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40 cholesterol was associated with cancer[20]. Moreover, cholesterol-lowering  
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42 experiments on rodents have led to cancer as well[21]. In agreement with these  
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44 findings, individuals with familial hypercholesterolaemia have been found to possess  
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46 significantly lower cancer mortality[22]. Therefore, lower LDL-C may contribute to a  
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48 higher risk of death from infection and cancer, which in turn results in increased  
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50 all-cause mortality.

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52 This study demonstrated that middle-aged and elderly Chinese men with low  
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54 LDL-C had an increased risk of all-cause mortality, which calls for special attention  
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56 to be paid to the possible harmful effect of a low level of LDL-C. However, some  
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58 limitations should be noted. First, the follow-up period was limited to 4 years. For a  
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60 longer follow-up time, the associations between LDL-C and all-cause mortality in  
women might be displayed. In addition, cause-specific mortality data were not

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4 available for the time being, preventing the analysis of the association between  
5 LDL-C and cause-specific mortality.  
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7 In China, 4-year total mortality is associated with a low level of plasma LDL-C  
8 in middle-aged and elderly men. The findings in this study may suggest the potential  
9 harmful effect of a low level of LDL-C. More prospective and well-designed studies  
10 are needed to validate the relationship between LDL-C and mortality.  
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## 15 16 17 **Acknowledgements**

18  
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20 Codebook, Version C as of April 2018 developed by the Gateway to Global Aging  
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22 Institute on Ageing (R01 AG030153, RC2 AG036619, R03 AG043052). For more  
23 information, please refer to [www.g2aging.org](http://www.g2aging.org).  
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## 40 41 **Competing interests**

42 None declared.  
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## 46 47 **Patient consent for publication**

48 Obtained  
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## 52 53 **Contributors**

54 CK and YS conceived and designed the research; LZ and CK wrote the manuscript;  
55 and YW and SY performed the data analysis. All authors contributed to the  
56 interpretations of the findings. All authors reviewed the manuscript.  
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## Ethics approval

CHARLS was approved by Biomedical Ethics Review Committee of Peking University, and all participants signed informed consents.

## Data sharing statement

The data used and analysed in this study are publicly available from the China Health and Retirement Longitudinal Study (<http://charls.pku.edu.cn/zh-CN>).

## Figure legends

**Figure 1** Flowchart on the selection of eligible participants.

**Figure 2** Results from restricted cubic splines for the association between LDL-C and 4-year all-cause mortality in men and women, respectively.

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Table 1 Associations between LDL-C and all-cause mortality

	Total	Deaths (%)	Unadjusted		Adjusted*	
			HR (95%CI)	<i>P</i> value	HR (95%CI)	<i>P</i> value
Men						
Q1	991	88(8.88)	1	-	1	-
Q2	1008	67(6.65)	0.733(0.533~1.007)	0.0554	0.832(0.571~1.214)	0.3408
Q3	992	57(5.75)	0.638(0.457~0.891)	0.0083	0.752(0.509~1.110)	0.1512
Q4	1004	47(4.68)	0.519(0.364~0.739)	0.0003	0.555(0.364~0.844)	0.0060
Q5	988	46(4.66)	0.512(0.358~0.731)	0.0002	0.643(0.422~0.979)	0.0397
Women						
Q1	1117	52(4.66)	1	-	1	-
Q2	1102	49(4.45)	0.963(0.652~1.423)	0.8505	1.172(0.732~1.876)	0.5090
Q3	1097	29(2.64)	0.566(0.360~0.892)	0.0141	0.612(0.353~1.061)	0.0800
Q4	1112	41(3.69)	0.793(0.527~1.194)	0.2671	0.836(0.511~1.369)	0.4774
Q5	1107	48(4.34)	0.928(0.627~1.373)	0.7077	0.859(0.533~1.384)	0.5324

\*Adjusted for age, smoking, drinking, BMI, hypertension, HBS/diabetes, history of stroke, cancer, cardiovascular disease, lung disease, liver disease, kidney disease, digestive disease, asthma, arthritis, psychological problem and memory problem.



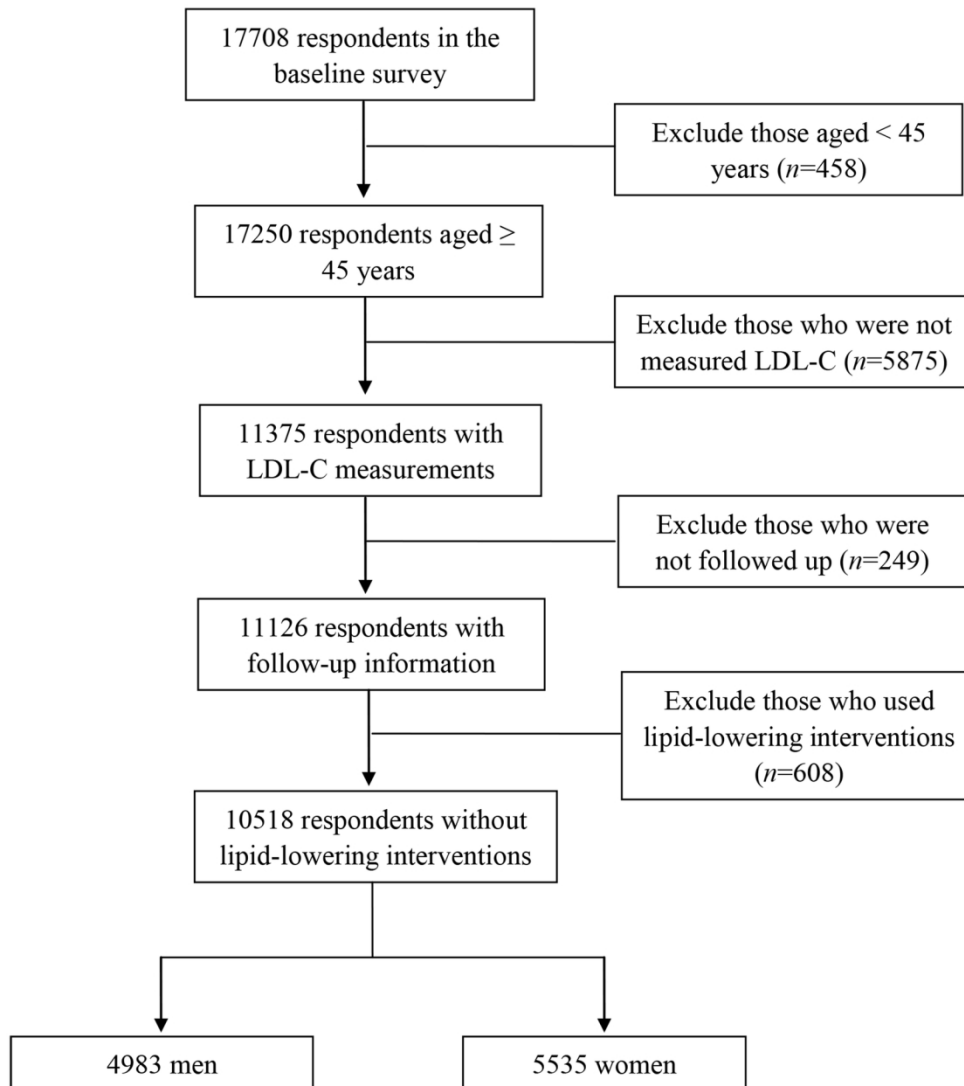


Figure 1 Flowchart on the selection of eligible participants.

151x167mm (300 x 300 DPI)

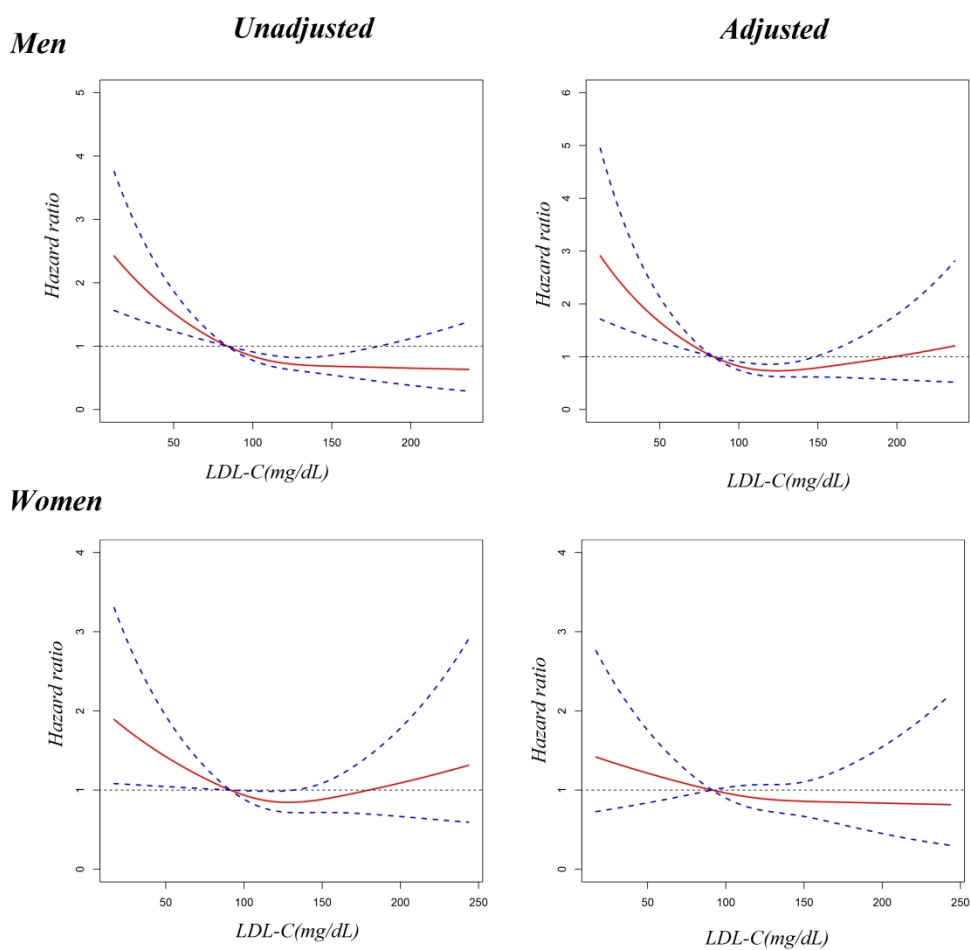


Figure 2 Results from restricted cubic splines for the association between LDL-C and 4-year all-cause mortality in men and women, respectively.

377x370mm (300 x 300 DPI)

## Supplementary materials

### Low-density lipoprotein cholesterol and all-cause mortality: findings from the China Health and Retirement Longitudinal Study

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Supplementary Table S1 Characteristics of the study population

Characteristics	Men (n=4983)	Women (n=5535)	P value
Age-yr	59 (53~66)	57 (51~65)	<0.0001
BMI-kg/m <sup>2</sup>	22.40 (20.35~24.83)	23.51 (21.18~26.14)	<0.0001
SBP-mmHg	127.67 (115.67~141.67)	127.00 (114.00~143.33)	0.3762
DBP-mmHg	75.33 (67.67~83.67)	74.33 (67.00~82.67)	0.0017
Lifestyle-no. (%)			
Smoking ever	3738 (75.24)	420 (7.62)	<0.0001
Drinking ever	3297 (66.43)	826 (15.00)	<0.0001
Disease history-no. (%)			
Hypertension	1942 (44.15)	2290 (46.21)	0.0457
HBS/Diabetes	1461 (30.17)	1565 (29.20)	0.2840
Cancer	40 (0.81)	67 (1.22)	0.0373
Stroke	133 (2.69)	136 (2.47)	0.4948
Cardiovascular disease	499 (10.10)	738 (13.47)	<0.0001
Lung disease	620 (12.54)	508 (9.26)	<0.0001
Arthritis	1548 (31.23)	2243 (40.80)	<0.0001
Liver disease	197 (4.00)	188 (3.44)	0.1321
Kidney disease	309 (6.27)	315 (5.75)	0.2691
Digestive disease	1030 (20.79)	1394 (25.38)	<0.0001
Asthma	283 (5.72)	216 (3.93)	<0.0001
Psychological problem	60 (1.21)	94 (1.72)	0.0337
Memory problem	97 (1.96)	86 (1.57)	0.1235

Note: BMI, body mass index; SBP, systolic blood pressure; DBP, diastolic blood pressure; HBS, high blood sugar.

Supplementary Table S2 Baseline characteristics of participants by quintiles of  
LDL-C in men

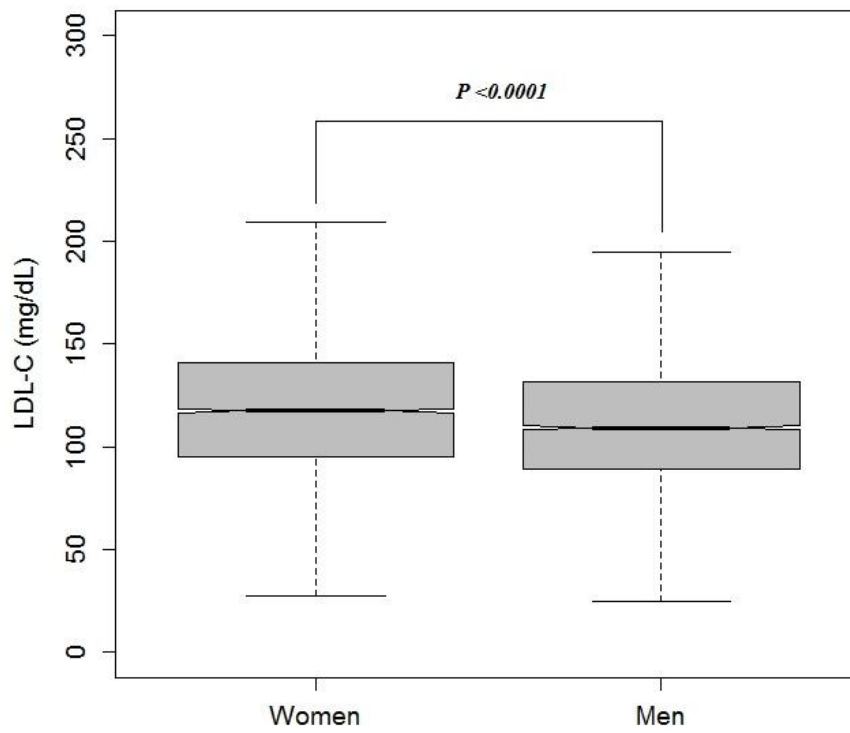
Characteristics	Quintile 1 (n=991) (≤83.89mg/dL)	Quintile 2 (n=1008) (83.89~101.68)	Quintile 3 (n=992) (101.68~117.14)	Quintile 4 (n=1004) (117.14~136.86)	Quintile 5 (n=988) (>136.86)	P value
Age-yr	59 (52~66)	59 (53~67)	59 (52~66)	59 (53~67)	59 (53~65)	0.5405
BMI-kg/m <sup>2</sup>	21.57 (19.78~24.21)	22.18 (20.31~24.39)	22.36 (20.44~24.61)	22.64 (20.65~25.07)	23.27 (20.95~25.68)	<0.0001
SBP-mmHg	126.00 (114.00~140.00)	126.00 (115.33~139.67)	127.00 (114.67~142.00)	128.00 (115.67~142.50)	130.67 (118.00~144.00)	0.0002
DBP-mmHg	75.00 (66.67~83.33)	74.33 (66.67~82.00)	74.67 (67.33~83.33)	75.33 (67.67~83.67)	76.67 (69.33~85.33)	0.0002
Lifestyle-no. (%)						
Smoking ever	755 (76.57)	754 (75.02)	751 (75.94)	759 (75.75)	719 (72.92)	0.3788
Drinking ever	654 (66.40)	663 (66.10)	664 (67.14)	664 (66.40)	652 (66.13)	0.9890
Disease history-no. (%)						
Hypertension	373 (43.52)	354 (40.05)	394 (43.83)	402 (45.07)	419 (48.33)	0.0131
HBS/Diabetes	337 (34.81)	277 (28.35)	267 (27.93)	266 (27.06)	314 (32.78)	0.0003
Cancer	13 (1.32)	7 (0.70)	5 (0.51)	6 (0.60)	9 (0.92)	0.2728
Stroke	26 (2.64)	33 (3.31)	21 (2.13)	25 (2.50)	28 (2.85)	0.5818
Cardiovascular disease	86 (8.78)	98 (9.81)	103 (10.47)	113 (11.31)	99 (10.10)	0.4453
Lung disease	131 (13.37)	135 (13.46)	106 (10.77)	120 (12.00)	128 (13.09)	0.3170
Arthritis	300 (30.43)	332 (33.10)	280 (28.34)	312 (31.23)	324 (33.03)	0.1233
Liver disease	51 (5.23)	42 (4.19)	35 (3.57)	38 (3.81)	31 (3.18)	0.1855
Kidney disease	56 (5.73)	73 (7.29)	59 (6.02)	57 (5.71)	64 (6.56)	0.5518
Digestive disease	211 (21.46)	213 (21.24)	225 (22.82)	203 (20.28)	178 (18.14)	0.1264
Asthma	66 (6.76)	49 (4.89)	43 (4.36)	59 (5.91)	66 (6.72)	0.0754
Psychological problem	14 (1.43)	10 (1.00)	14 (1.42)	14 (1.40)	8 (0.82)	0.6092
Memory problem	25 (2.55)	13 (1.30)	21 (2.13)	19 (1.90)	19 (1.94)	0.3810

Note: BMI, body mass index; SBP, systolic blood pressure; DBP, diastolic blood pressure; HBS, high blood sugar.

Supplementary Table S3 Baseline characteristics of participants by quintiles of  
LDL-C in women

Characteristics	Quintile 1 (n=1117) (≤91.24mg/dL)	Quintile 2 (n=1102) (91.24~109.41)	Quintile 3 (n=1097) (109.41~126.03)	Quintile 4 (n=1112) (126.03~147.49)	Quintile 5 (n=1107) (>147.49)	P value
Age-yr	56 (49~64)	56 (49~64)	57 (50~63)	58 (52~65)	59 (53~66)	<0.0001
BMI-kg/m <sup>2</sup>	23.15 (20.90~25.76)	23.30 (21.01~25.82)	23.39 (21.10~26.02)	23.63 (21.32~26.26)	24.12 (21.57~26.83)	<0.0001
SBP-mmHg	124.67 (112.00~141.00)	125.67 (113.00~142.33)	127.00 (114.67~143.00)	127.50 (114.83~142.33)	130.00 (117.00~146.00)	<0.0001
DBP-mmHg	73.33 (65.67~81.67)	74.33 (66.67~82.67)	74.00 (67.33~82.67)	74.67 (67.67~82.50)	75.33 (67.67~83.33)	0.0102
Lifestyle-no. (%)						
Smoking ever	71 (6.39)	93 (8.49)	78 (7.15)	79 (7.13)	99 (8.97)	0.1289
Drinking ever	173 (15.61)	160 (14.61)	169 (15.50)	165 (14.89)	159 (14.40)	0.9108
Disease history-no. (%)						
Hypertension	425 (42.71)	452 (45.80)	439 (44.98)	459 (46.22)	515 (51.24)	0.0033
HBS/Diabetes	310 (28.78)	290 (27.46)	280 (26.19)	312 (28.94)	373 (34.53)	0.0003
Cancer	14 (1.27)	15 (1.38)	18 (1.66)	14 (1.27)	6 (0.54)	0.1876
Stroke	31 (2.80)	16 (1.47)	27 (2.47)	31 (2.81)	31 (2.81)	0.1910
Cardiovascular disease	144 (13.08)	135 (12.41)	140 (12.89)	166 (15.08)	153 (13.87)	0.3924
Lung disease	110 (9.94)	112 (10.29)	103 (9.45)	91 (8.26)	92 (8.36)	0.3540
Arthritis	454 (41.05)	450 (41.21)	421 (38.55)	454 (41.01)	464 (42.14)	0.5201
Liver disease	53 (4.80)	40 (3.69)	25 (2.31)	43 (3.91)	27 (2.46)	0.0060
Kidney disease	74 (6.71)	62 (5.69)	57 (5.24)	66 (6.01)	56 (5.09)	0.4894
Digestive disease	279 (25.20)	267 (24.45)	269 (24.70)	300 (27.20)	279 (25.34)	0.6078
Asthma	43 (3.89)	43 (3.94)	44 (4.03)	39 (3.54)	47 (4.26)	0.9374
Psychological problem	16 (1.45)	19 (1.74)	23 (2.12)	17 (1.54)	19 (1.73)	0.7936
Memory problem	18 (1.63)	19 (1.74)	11 (1.01)	15 (1.36)	23 (2.09)	0.3240

Note: BMI, body mass index; SBP, systolic blood pressure; DBP, diastolic blood pressure; HBS, high blood sugar.



Supplementary Figure S1 The box-plot of plasma LDL-C levels in middle-aged and elderly Chinese men and women.

**STROBE 2007 (v4) Statement—Checklist of items that should be included in reports of cohort studies**

Section/Topic	Item #	Recommendation	Reported on page #
<b>Title and abstract</b>	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	2
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	2
<b>Introduction</b>			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	3
Objectives	3	State specific objectives, including any prespecified hypotheses	4
<b>Methods</b>			
Study design	4	Present key elements of study design early in the paper	4
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	4,5
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up	4,5
		(b) For matched studies, give matching criteria and number of exposed and unexposed	Not applicable
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	4,5
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	4,5
Bias	9	Describe any efforts to address potential sources of bias	4,7,8,9
Study size	10	Explain how the study size was arrived at	4
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	5
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	5
		(b) Describe any methods used to examine subgroups and interactions	5,6,7
		(c) Explain how missing data were addressed	4,5
		(d) If applicable, explain how loss to follow-up was addressed	5
		(e) Describe any sensitivity analyses	5
<b>Results</b>			



Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	4,5
		(b) Give reasons for non-participation at each stage	4,5
		(c) Consider use of a flow diagram	4
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	6
		(b) Indicate number of participants with missing data for each variable of interest	4
		(c) Summarise follow-up time (eg, average and total amount)	5
Outcome data	15*	Report numbers of outcome events or summary measures over time	7
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	7
		(b) Report category boundaries when continuous variables were categorized	5,7
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	Not applicable
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	6,7,9
<b>Discussion</b>			
Key results	18	Summarise key results with reference to study objectives	7
<b>Limitations</b>			
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	8,9,10
Generalisability	21	Discuss the generalisability (external validity) of the study results	8,9,10
<b>Other information</b>			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	10

\*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

**Note:** An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at [www.strobe-statement.org](http://www.strobe-statement.org).

# BMJ Open

## Low-density lipoprotein cholesterol and all-cause mortality: findings from the China Health and Retirement Longitudinal Study

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Secondary Subject Heading:	Epidemiology, Public health
Keywords:	Risk management < HEALTH SERVICES ADMINISTRATION & MANAGEMENT, PREVENTIVE MEDICINE, PUBLIC HEALTH, EPIDEMIOLOGY

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4 Low-density lipoprotein cholesterol and all-cause mortality: findings  
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6 from the China Health and Retirement Longitudinal Study  
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## Abstract

### Objectives

To investigate the relationship between low-density lipoprotein cholesterol (LDL-C) and all-cause mortality among middle-aged and elderly Chinese population.

### Design

Prospective cohort study.

### Setting

This study used data from the Chinese Health and Retirement Longitudinal Study (CHARLS).

### Participants

Middle-aged and elderly participants with complete data were enrolled for a 4-year follow-up of total mortality and plasma levels of LDL-C, including 4981 male respondents and 5529 female respondents.

### Results

During a 4-year follow-up, there were 305 and 219 deaths in men and women, respectively. Compared with the first quintile (Q1) of LDL-C, the adjusted hazard ratios (95% confidence intervals) were 0.866(0.567~1.325) for Q2, 0.782(0.507~1.206) for Q3, 0.577(0.363~0.916) for Q4 and 0.788(0.497~1.248) for Q5 in men. The results from restricted cubic spine (RCS) showed that when the 20th percentile of LDL-C levels was used as the reference, a lower LDL-C concentration was associated with a higher 4-year all-cause mortality risk. By contrast, both quintile analysis and RCS analysis did not show a statistically significant association in women.

### Conclusions

We found that a very low plasma level of LDL-C was associated with an increased risk of 4-year all-cause mortality in middle-aged and elderly Chinese men. The results suggest the potential harmful effect of a quite low level of LDL-C on total mortality.

**Keywords** Low-density lipoprotein cholesterol, all-cause mortality, CHARLS

## Strengths and limitations of this study

- This study used high-quality data from a nationally representative longitudinal cohort to investigate the relationship between LDL-C and all-cause mortality among middle-aged and elderly Chinese population.
- The use of restricted cubic spline provided a more comprehensive spectrum of the non-linear relation between LDL-C and all-cause mortality.
- Although the public's attention focuses on the benefit of lipid lowering, this study highlights the potential harmful effect of very low LDL-C.
- The 4-year follow-up period prevented the assessment of a long-term association between LDL-C and all-cause mortality.
- The unavailability of cause-specific mortality data prevented the analysis of the association between LDL-C and cause-specific mortality.

## Introduction

For decades, the mainstream view holds that a high level of low-density lipoprotein cholesterol (LDL-C) is a primary cause of cardiovascular events and mortality[1]. However, many studies have found that LDL-C levels are inversely associated with all-cause mortality in diseased populations, such as patients with chronic hemodialysis[2], intracerebral hemorrhage[3] and heart failure[4]. Most importantly, in a systematic review of 19 cohort studies including 30 cohorts with 68 094 elderly people ( $\geq 60$  years), an inverse association between LDL-C and all-cause mortality was seen in 16 cohorts representing 92% of all participants, and none of the other cohorts found the positive association between LDL-C and all-cause mortality[5]. Since LDL-C has been regarded as “bad cholesterol” for a long time and lipid-lowering drugs have been prescribed even at normal levels of serum cholesterol[6], the impact can be substantial. Therefore, the underlying relationship between LDL-C and all-cause mortality needs to be clarified in large prospective cohorts.

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4 In this study, we aimed to investigate whether LDL-C levels are associated with  
5 all-cause mortality among middle-aged and elderly Chinese men and women, based  
6 on the longitudinal data from the China Health and Retirement Longitudinal Study  
7 (CHARLS).  
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## 11 12 13 **Methods**

### 14 15 **Study design**

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17 As a nationally representative longitudinal study, CHARLS is designed to collect  
18 a wide range of information on the economic standing, physical and psychological  
19 health, demographics and social networks of a middle-aged and elderly Chinese  
20 population (aged  $\geq 45$  years)[7]. The national baseline survey (wave 1) was conducted  
21 between June 2011 and March 2012 and included 17,708 respondents. The second  
22 wave (wave 2) was carried out in 2013-2014, the third wave (wave 3) in 2014-2015  
23 and the fourth wave (wave 4) in 2015-2016. The detailed design of CHARLS can be  
24 referred to a previous publication[7]. This study was approved by Biomedical Ethics  
25 Review Committee of Peking University, and all participants signed informed  
26 consents.  
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### 36 37 **Study population**

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39 All participants recruited in the national baseline survey were included if they  
40 met the following criteria: 1) aged  $\geq 45$  years, 2) measured plasma levels of LDL-C in  
41 wave 1, 3) successfully followed up in at least one of the subsequent three waves, and  
42 4) without lipid-lowering interventions. Finally, 10510 participants, including 4981  
43 men and 5529 women, were included for subsequent analysis (Figure 1).  
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### 48 49 **Plasma LDL-C measurements and other covariates**

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51 Plasma samples were collected by medically-trained staff and then stored at  
52  $-80^{\circ}\text{C}$  until assayed at Capital Medical University (CMU) laboratory. LDL-C was  
53 measured by the enzymatic colorimetric test, with an analytical range of 3–400 mg/L  
54 and between-assay coefficient of variation of 1.20%. During the testing of the  
55 CHARLS study samples, quality control (QC) samples were used daily. All test  
56 results from QC samples were within two standard deviations of mean QC control  
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4 concentrations. The other covariates collected included age, gender, smoking status,  
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6 drinking status, body mass index (BMI), educational level, household income, living  
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8 alone status, rural residence, activity of daily living (ADL) disability, high-density  
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10 lipoprotein cholesterol (HDL-C), triglyceride, hemoglobin, hypertension (defined by a  
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12 history of hypertension, or systolic blood pressure (SBP)  $\geq 140$ mmHg, or diastolic  
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14 blood pressure (DBP)  $\geq 90$  mmHg), high blood sugar (HBS)/diabetes (defined by a  
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16 history of HBS/diabetes, or fasting blood glucose  $\geq 6.1$ mmol/L, or non-fasting blood  
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18 glucose  $\geq 7.8$ mmol/L), a history of cancer, cardiovascular disease, stroke, asthma,  
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20 lung disease, liver disease, digestive disease, kidney disease, arthritis, memory  
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22 problem and psychological problem.

### 23 **All-cause mortality follow-up**

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25 Participants enrolled in wave 1 were followed up in subsequent three waves. In  
26  
27 wave 2, both the interview status (dead or alive) and death time were recorded. In  
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29 waves 3 and 4, only the interview status was recorded. For those who had the exact  
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31 time on all-cause death in wave 2, the survival time was defined as the interval  
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33 between the interview time of wave 1 and the death time. If the exact death time was  
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35 not available in waves 3 and 4, the survival time was computed as the median of the  
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37 interval between wave 1 and the specific wave with death information. For those who  
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39 did not die during the follow-up period, the survival time was defined as the interval  
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41 between wave 1 and the last interview wave with follow-up information.

### 42 **Patient and public involvement**

43  
44 Anonymised participant data were used in this study. Patients and the public  
45  
46 were not involved in the design or conduct, or reporting, or dissemination plans of the  
47  
48 study.

### 49 **Statistical analysis**

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51 Data were presented as median ( $P_{25}$ ~ $P_{75}$ ) for continuous variables and frequency  
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53 (percentage) for categorical variables. Baseline characteristics between or among  
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55 groups were compared by the Wilcoxon rank sum test or Kruskal-Wallis rank sum  
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57 test for continuous variables and by the chi-square test for categorical variables. The  
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59 Cox proportional hazard ratio model was used to estimate the hazard ratios (HRs) and  
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4 95% confidence intervals (CIs) of LDL-C quintiles. In addition, the association  
5 between all-cause mortality and LDL-C on a continuous scale was further examined  
6 using restricted cubic splines (RCS) incorporated in Cox proportional hazards models.  
7 Bayesian Information Criterion (BIC) was used to determine the optimal number of  
8 knots in RCS. In this study, 3 knots were used in all RCS analyses, with knot  
9 locations at the 10th, 50th, and 90th percentiles of LDL-C. To be consistent with  
10 quintile analyses, the reference point was the 20th percentile of LDL-C in both men  
11 and women. All statistical analyses were performed by SAS statistical software  
12 (version 9.4, Cary, NC). All *P* values were 2-tailed, and the significance level was set  
13 at 0.05.  
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## 25 **Results**

### 26 **Baseline characteristics of the study population**

27 A total of 4981 men and 5529 women were eligible for the final analysis. The  
28 median of LDL-C levels in women was significantly higher than that in men (*P*  
29 < 0.0001, Supplementary Figure S1). Compared with women, men were older, had  
30 smaller BMI values and possessed greater smoking rate and drinking rate (all *P*  
31 < 0.0001). The prevalence rates of heart disease, arthritis and digestive disease in  
32 women were higher than those in men, but the prevalence rates of asthma and lung  
33 disease were lower in women (all *P* < 0.0001, Table 1).  
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### 42 **Characteristics of men and women according to the quintiles of LDL-C levels**

43 After stratification by the quintiles of LDL-C levels, BMI, SBP, DBP and  
44 hemoglobin in men were elevated with ascending quintiles as a whole (All *P* < 0.001)  
45 (Table 2). The prevalence of HBS/diabetes was highest in the bottom quintile of  
46 LDL-C and lowest in the fourth quintile, with prevalence rates of 34.81% and 27.06%  
47 respectively. There were no statistical differences among LDL-C quintiles for many  
48 other characteristics (e.g. age, smoking, drinking, ADL disability, living alone, stroke,  
49 cancer, heart disease, lung disease, liver disease, kidney disease, digestive disease,  
50 asthma, arthritis, psychological problem and memory problem) (All *P* > 0.05).  
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60 In women, LDL-C quintiles were positively associated with age, BMI, SBP,

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4 DBP and hemoglobin (All  $P < 0.001$ ) (Table 3). The prevalence rates of HBS/diabetes  
5 and liver disease in women were significantly different among different LDL-C  
6 quintiles (All  $P < 0.001$ ). For the remaining variables (e.g., smoking, drinking,  
7 household income, ADL disability, educational level, rural residence, stroke, cancer,  
8 heart disease, lung disease, kidney disease, digestive disease, asthma, arthritis,  
9 psychological problem and memory problem), no differences were observed (All  $P >$   
10 0.05).  
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### 17 **Associations of LDL-C levels with all-cause mortality**

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19 In men, 305 out of 4981 participants died during a four-year follow-up. The  
20 mortality rates were declining with ascending quintiles (Table 4). Compared with the  
21 first quintile, the univariate HRs (95% CIs) were 0.733(0.533~1.007) for the second  
22 quintile; 0.639(0.458~0.892) for the third quintile; 0.519(0.364~0.739) for the fourth  
23 quintile and 0.512(0.359~0.732) for the fifth quintile. After adjustment for a series of  
24 potential confounders, the non-linear association between LDL-C and all-cause  
25 mortality was observed. As compared with the first quintile, the multivariable HRs  
26 (95% CIs) were as follows: second quintile, 0.866(0.567~1.325); third quintile,  
27 0.782(0.507~1.206); fourth quintile, 0.577(0.363~0.916); fifth quintile,  
28 0.788(0.497~1.248). In women, there were 219 deaths during a four-year follow-up.  
29 The mortality rate was highest in the first quintile. After adjustment for potential  
30 confounders, no quintile showed significant lower mortality rates compared with the  
31 first quintile (all  $P > 0.05$ ) (Table 4).  
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45 The quintile analysis indicated that the relationship between LDL-C with  
46 all-cause mortality might be non-linear. Therefore, RCS was further performed to  
47 investigate the association between all-cause mortality and LDL-C on a continuous  
48 scale. The results from RCS showed that when the 20th percentile of LDL-C levels  
49 was used as the reference, lower LDL-C was associated with higher risk of 4-year  
50 all-cause mortality in men, and moderately higher LDL-C possessed lower total  
51 mortality risk, but the association was not statistically significant for much higher  
52 LDL-C concentrations (Figure 2). The sub-group analyses by age indicated that when  
53 the 20th percentile of LDL-C levels was taken as the reference, a lower level of  
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4 LDL-C was associated with a higher risk of 4-year all-cause mortality in both  
5 middle-aged (45~60 years) and elderly ( $\geq 60$  years) men (Figure 3). For women,  
6 LDL-C was not significantly associated with 4-year all-cause mortality (Figures 2 and  
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## Discussion

In this study, we investigated the relationship between LDL-C and 4-year all-cause mortality among the middle-aged and elderly Chinese population. In men, a very low level of LDL-C was associated with increased mortality risk. In women, LDL-C was not significantly associated with 4-year all-cause mortality.

Low-density lipoprotein has been well established as an important cause of cardiovascular disease (CVD) for decades[1]. Since CVD is the leading cause of mortality throughout the world, it is logically reasonable that increased LDL-C should contribute to increased CVD mortality and possibly all-cause mortality. Indeed, evidences from prospective epidemiologic studies showed a positive association between non-HDL-C concentration and ischaemic heart disease mortality[8]. However, non-HDL-C includes both LDL-C and very low-density lipoprotein cholesterol (VLDL-C). It is surprising that the direct association of LDL-C with CVD mortality was not consistently reported among studies. Abdullah *et al.* (2018) demonstrated that LDL-C was independently associated with CVD mortality in a low 10-year risk cohort with long-term follow-up[9]. By contrast, Tikhonof *et al.* (2005) reported that the elderly subjects ( $\geq 65$  years) possessed the highest CVD mortality in the lowest LDL-C quartile[10]. Meanwhile, many other studies also found no association between LDL-C and CVD mortality[11-13]. When the results about the association of LDL-C with CVD mortality were inconsistent, it is more surprising to find that few studies have reported the positive association between LDL-C and all-cause mortality. In the study by Abdullah *et al.* (2018), there were already no associations or minimal positive associations between high LDL-C categories and all-cause mortality even in univariable Cox analyses[9]. Most remarkably, results of multivariable Cox analyses in this study were not provided for all-cause mortality,

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4 which could exert a substantial impact on the final association[14]. Actually, a large  
5 number of studies reported no association or even an inverse association between  
6 LDL-C and all-cause mortality, which has been summarized in a systematic review by  
7 Ravnskov *et al.* (2016)[5]. Therefore, although the mainstream view has been  
8 advocating the benefit of lowering high LDL-C, the harmful effect of very low  
9 LDL-C may be largely neglected.  
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15 It should be noted that the confounding effect of statin treatment should be  
16 minimized, as this study excluded those who used lipid-lowering interventions. There  
17 was also no association between baseline LDL-C and the presence of cancer, stroke  
18 and heart disease in men and women (Tables 2 and 3). Moreover, when participants  
19 who had died during the first observation year were excluded, this relationship was  
20 not changed (Supplementary Table S1 and Figure S2). This could relieve the concern  
21 that serious diseases may lower cholesterol soon before death occurs. One of the  
22 possible reasons for the difference between men and women may be due to fewer  
23 death events in women than in men, which might result in insufficient power for the  
24 association.  
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35 Several explanations for the unfavorable effects of low LDL-C levels may be  
36 proposed. LDL-C has been suggested to play an important role in host defense against  
37 both bacterial and viral pathogens[15]. Indeed, many animal and laboratory  
38 experiments have shown that LDL could bind to and inactivate a broad range of  
39 microorganisms and their toxic products[16-18]. This hypothesis may be further  
40 supported by the recent finding that LDL-C was associated with reduced infectious  
41 mortality based on the data from 37,250 patients in the international Monitoring  
42 Dialysis Outcomes (MONDO) database[2]. In addition, it has been proposed that  
43 LDL-C may have the potential to protect against cancer as many cancer types are  
44 caused by viruses[19]. Ravnskov *et al.* (2012) reviewed nine cohort studies including  
45 more than 140,000 individuals followed for 10–30 years and found that low  
46 cholesterol was associated with cancer[20]. Moreover, cholesterol-lowering  
47 experiments on rodents have led to cancer as well[21]. In agreement with these  
48 findings, individuals with familial hypercholesterolaemia have been found to possess  
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4 significantly lower cancer mortality[22]. Therefore, lower LDL-C may contribute to a  
5 higher risk of death from infection and cancer, which in turn results in increased  
6 all-cause mortality.  
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10 This study demonstrated that middle-aged and elderly Chinese men with low  
11 LDL-C had an increased risk of all-cause mortality, which calls for special attention  
12 to be paid to the possible harmful effect of a very low level of LDL-C. However,  
13 some limitations should be noted. First, the follow-up period was limited to 4 years.  
14 For a longer follow-up time, the associations between LDL-C and all-cause mortality  
15 in women might be displayed. In addition, cause-specific mortality data were not  
16 available for the time being, preventing the analysis of the association between  
17 LDL-C and cause-specific mortality. Moreover, there are issues of multiple testing for  
18 comparisons of characteristics among LDL-C quintiles, which could result in  
19 Type I error inflation. At last, some of the measured co-morbidities were not specified  
20 and detailed in the database, such as lung disease, digestive disease, liver disease,  
21 kidney disease, psychological problem and memory problem.  
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33 In China, 4-year total mortality is associated with a very low level of plasma  
34 LDL-C in middle-aged and elderly men. The findings in this study may suggest the  
35 potential harmful effect of a quite low level of LDL-C. More prospective and  
36 well-designed studies are needed to validate the relationship between LDL-C and  
37 mortality.  
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45  
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50 information, please refer to [www.g2aging.org](http://www.g2aging.org).  
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### **Competing interests**

None declared.

### **Patient consent for publication**

Obtained

### **Contributors**

CK and YS conceived and designed the research; LZ and CK wrote the manuscript; and YW and SY performed the data analysis. All authors contributed to the interpretations of the findings. All authors reviewed the manuscript.

### **Ethics approval**

CHARLS was approved by Biomedical Ethics Review Committee of Peking University, and all participants signed informed consents.

### **Data sharing statement**

The data used and analysed in this study are publicly available from the China Health and Retirement Longitudinal Study (<http://charls.pku.edu.cn/zh-CN>).

### **Figure legends**

**Figure 1** Flowchart on the selection of eligible participants.

**Figure 2** Results from restricted cubic splines for the association between LDL-C and 4-year all-cause mortality in men and women, respectively. The multivariable models were adjusted for age, smoking, drinking, BMI, marital status, household income, educational level, rural residence, ADL disability, HDL-C, triglyceride, hemoglobin, hypertension, HBS/diabetes, history of stroke, cancer, heart disease, lung disease,

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4 liver disease, kidney disease, digestive disease, asthma, arthritis, psychological  
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6 problem and memory problem.

7 **Figure 3** Results from restricted cubic spline for the association between LDL-C and  
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9 4-year all-cause mortality for middle-aged (45~60 years old) and elderly ( $\geq 60$  years  
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11 old) people, respectively. The multivariable models were adjusted for age, smoking,  
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13 drinking, BMI, marital status, household income, educational level, rural residence,  
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15 ADL disability, HDL-C, triglyceride, hemoglobin, hypertension, HBS/diabetes,  
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17 history of stroke, cancer, heart disease, lung disease, liver disease, kidney disease,  
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19 digestive disease, asthma, arthritis, psychological problem and memory problem.  
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Table 1 Characteristics of the study population

Characteristics	Men (n=4981)	Women (n=5529)	P value
Age-yr	59 (53~66)	57 (51~65)	<0.0001
BMI-kg/m <sup>2</sup>	22.40 (20.35~24.83)	23.51 (21.17~26.14)	<0.0001
SBP-mmHg	127.67 (115.67~141.67)	127.00 (114.00~143.33)	0.3764
DBP-mmHg	75.33 (67.67~83.67)	74.33 (67.00~82.67)	0.0017
Above-average household income-no. (%)	2104 (49.68)	2360 (50.32)	0.5468
Education level-no. (%)			<0.0001
1	4287 (86.07)	5134 (92.86)	
2	592 (11.89)	347 (6.28)	
3	102 (2.05)	48 (0.87)	
ADL disability-no. (%)	716 (14.55)	1011 (18.57)	<0.0001
Living alone-no. (%)	471 (9.46)	832 (15.05)	<0.0001
Rural residence-no. (%)	3282 (65.89)	3524 (63.74)	0.0210
Lifestyle-no. (%)			
Smoking ever	3738 (75.24)	420 (7.63)	<0.0001
Drinking ever	3297 (66.43)	826 (15.01)	<0.0001
Disease history-no. (%)			
Hypertension	1904 (43.35)	2245 (45.34)	0.0530
HBS/Diabetes	1460 (30.16)	1564 (29.19)	0.2846
Cancer	40 (0.81)	67 (1.22)	0.0372
Stroke	133 (2.69)	136 (2.48)	0.4958
Heart disease	499 (10.10)	738 (13.47)	<0.0001
Lung disease	620 (12.54)	508 (9.26)	<0.0001
Arthritis	1548 (31.23)	2243 (40.80)	<0.0001
Liver disease	197 (4.00)	188 (3.44)	0.1326
Kidney disease	309 (6.27)	315 (5.75)	0.2701
Digestive disease	1030 (20.79)	1393 (25.37)	<0.0001
Asthma	283 (5.72)	216 (3.93)	<0.0001
Psychological problem	60 (1.21)	94 (1.72)	0.0336
Memory problem	97 (1.96)	86 (1.57)	0.1238
Laboratory measurements			
LDL cholesterol-mg/dL	109.41 (88.92~131.06)	117.91 (96.26~141.50)	<0.0001
Triglyceride-mg/dL	96.46 (69.03~145.14)	110.63 (79.65~159.30)	<0.0001
HDL cholesterol-mg/dL	48.71 (39.43~59.54)	50.64 (41.75~60.31)	<0.0001
Hemoglobin-(g/dL)	15.10 (14.00~16.20)	13.60 (12.50~14.60)	<0.0001

ADL, activity of daily living; BMI, body mass index; SBP, systolic blood pressure; DBP, diastolic blood pressure; HBS, high blood sugar.

Educational level: 1, Less than lower secondary education; 2, Upper secondary & vocational training; 3, Tertiary education.

Table 2 Baseline characteristics of participants by quintiles of LDL-C in men

Characteristics	Quintile 1 (n=991) (≤83.89mg/dL)	Quintile 2 (n=1008) (83.89~101.68)	Quintile 3 (n=991) (101.68~117.14)	Quintile 4 (n=1004) (117.14~136.86)	Quintile 5 (n=987) (>136.86)	P value
Age-yr	59 (52~66)	59 (53~67)	59 (52~66)	59 (53~67)	59 (53~65)	0.5405
BMI-kg/m <sup>2</sup>	21.57 (19.78~24.21)	22.18 (20.31~24.40)	22.36 (20.44~24.61)	22.64 (20.65~25.07)	23.27 (20.95~25.68)	<0.0001
SBP-mmHg	126.00 (114.00~140.00)	126.00 (115.33~139.67)	127.00 (114.67~142.33)	128.00 (115.67~142.50)	130.67 (118.00~144.00)	0.0002
DBP-mmHg	75.00 (66.67~83.33)	74.33 (66.67~82.00)	74.67 (67.33~83.33)	75.33 (67.67~83.67)	76.67 (69.33~85.33)	0.0002
Above-average household income-no. (%)	402 (48.43)	413 (47.91)	407 (48.05)	430 (49.20)	452 (54.99)	0.0186
Educational level-no. (%)						0.0035
1	870 (87.79)	891 (88.39)	821 (82.85)	862 (85.86)	843 (85.41)	
2	105 (10.60)	94 (9.33)	145 (14.63)	123 (12.25)	125 (12.66)	
3	16 (1.61)	23 (2.28)	25 (2.52)	19 (1.93)	19 (1.93)	
ADL disability-no. (%)	149 (15.27)	163 (16.30)	148 (15.10)	141 (14.23)	115 (11.81)	0.0590
Living alone-no. (%)	101 (10.19)	112 (11.11)	85 (8.58)	94 (9.36)	79 (8.00)	0.1264
Rural residence-no. (%)	659 (66.50)	702 (69.64)	634 (63.98)	664 (66.14)	623 (63.12)	0.0216
Lifestyle-no. (%)						
Smoking ever	755 (76.57)	754 (75.02)	751 (75.94)	759 (75.75)	719 (72.92)	0.3788
Drinking ever	654 (66.40)	663 (66.10)	664 (67.14)	664 (66.40)	652 (66.13)	0.9890
Disease history-no. (%)						
Hypertension	359 (42.14)	349 (39.48)	385 (42.87)	397 (44.51)	414 (47.81)	0.0092
HBS/Diabetes	337 (34.81)	277 (28.35)	266 (27.85)	266 (27.06)	314 (32.78)	0.0003
Cancer	13 (1.32)	7 (0.70)	5 (0.51)	6 (0.60)	9 (0.92)	0.2728
Stroke	26 (2.64)	33 (3.31)	21 (2.13)	25 (2.50)	28 (2.85)	0.5818
Heart disease	86 (8.78)	98 (9.81)	103 (10.47)	113 (11.31)	99 (10.10)	0.4453
Lung disease	131 (13.37)	135 (13.46)	106 (10.77)	120 (12.00)	128 (13.09)	0.3170
Arthritis	300 (30.43)	332 (33.10)	280 (28.34)	312 (31.23)	324 (33.03)	0.1233
Liver disease	51 (5.23)	42 (4.19)	35 (3.57)	38 (3.81)	31 (3.18)	0.1855
Kidney disease	56 (5.73)	73 (7.29)	59 (6.02)	57 (5.71)	64 (6.56)	0.5518
Digestive disease	211 (21.46)	213 (21.24)	225 (22.82)	203 (20.28)	178 (18.14)	0.1264
Asthma	66 (6.76)	49 (4.89)	43 (4.36)	59 (5.91)	66 (6.72)	0.0754
Psychological problem	14 (1.43)	10 (1.00)	14 (1.42)	14 (1.40)	8 (0.82)	0.6092
Memory problem	25 (2.55)	13 (1.30)	21 (2.13)	19 (1.90)	19 (1.94)	0.3810
Laboratory measurements						
LDL cholesterol-mg/dL	71.91 (61.47~78.09)	93.56 (88.92~97.81)	109.41 (105.54~113.27)	126.42 (121.39~131.44)	153.87 (144.20~170.49)	<0.0001
Triglyceride-mg/dL	96.46 (65.49~177.88)	88.50 (64.61~129.21)	92.93 (69.03~138.95)	97.35 (72.57~136.29)	108.86 (79.65~152.22)	<0.0001
HDL cholesterol-mg/dL	47.55	49.48	47.93	49.10	48.71	0.0061

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3		(35.95~59.92)	(39.43~60.70)	(39.43~58.76)	(39.82~59.54)	(41.37~58.76)
4		14.80	14.90	15.00	15.10	15.40
5	Hemoglobin-(g/dL)					<0.0001
6		(13.60~16.00)	(13.80~16.10)	(14.00~16.20)	(14.00~16.30)	(14.30~16.50)
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Table 3 Baseline characteristics of participants by quintiles of LDL-C in women

Characteristics	Quintile 1 (n=1114) (≤91.24mg/dL)	Quintile 2 (n=1102) (91.24~109.41)	Quintile 3 (n=1096) (109.41~126.03)	Quintile 4 (n=1111) (126.03~147.68)	Quintile 5 (n=1106) (>147.68)	P value
Age-yr	56 (49~64)	56 (49~64)	57 (50~63)	58 (52~65)	59 (53~66)	<0.0001
BMI-kg/m <sup>2</sup>	23.15 (20.90~25.76)	23.30 (21.01~25.82)	23.39 (21.10~26.02)	23.63 (21.32~26.26)	24.12 (21.57~26.83)	<0.0001
SBP-mmHg	124.67 (112.00~141.00)	125.67 (113.00~142.33)	127.00 (114.67~143.00)	127.67 (114.67~142.33)	130.00 (117.00~146.00)	<0.0001
DBP-mmHg	73.33 (65.67~81.67)	74.33 (66.67~82.67)	74.00 (67.33~82.67)	74.67 (67.67~82.67)	75.33 (67.67~83.33)	0.0103
Above-average household income-no. (%)	458 (48.21)	482 (50.42)	461 (48.99)	497 (53.61)	462 (50.44)	0.1720
Education level-no. (%)						0.4079
	1023 (91.83)	1030 (93.47)	1023 (93.34)	1025 (92.26)	1033 (93.40)	
	76 (6.82)	67 (6.08)	63 (5.75)	76 (6.84)	65 (5.88)	
	15 (1.35)	5 (0.45)	10 (0.91)	10 (0.90)	8 (0.72)	
ADL disability-no. (%)	216 (19.69)	183 (16.90)	188 (17.59)	215 (19.58)	209 (19.05)	0.3416
Living alone-no. (%)	155 (13.91)	162 (14.70)	143 (13.05)	186 (16.74)	186 (16.82)	0.0429
Rural residence-no. (%)	715 (64.18)	695 (63.07)	726 (66.24)	706 (63.55)	682 (61.66)	0.2526
Lifestyle-no. (%)						
Smoking ever	71 (6.39)	93 (8.49)	78 (7.15)	79 (7.14)	99 (8.97)	0.1294
Drinking ever	173 (15.61)	160 (14.61)	169 (15.50)	165 (14.91)	159 (14.40)	0.9112
Disease history-no. (%)						
Hypertension	414 (41.69)	440 (44.58)	431 (44.21)	451 (45.46)	509 (50.70)	0.0014
HBS/Diabetes	310 (28.78)	290 (27.46)	280 (26.19)	311 (28.88)	373 (34.53)	0.0003
Cancer	14 (1.27)	15 (1.38)	18 (1.66)	14 (1.27)	6 (0.54)	0.1876
Stroke	31 (2.80)	16 (1.47)	27 (2.47)	31 (2.81)	31 (2.81)	0.1906
Heart disease	144 (13.08)	135 (12.41)	140 (12.89)	166 (15.09)	153 (13.87)	0.3873
Lung disease	110 (9.94)	112 (10.29)	103 (9.45)	91 (8.27)	92 (8.36)	0.3566
Arthritis	454 (41.05)	450 (41.21)	421 (38.55)	454 (41.05)	464 (42.14)	0.5189
Liver disease	53 (4.80)	40 (3.69)	25 (2.31)	43 (3.91)	27 (2.46)	0.0060
Kidney disease	74 (6.71)	62 (5.69)	57 (5.24)	66 (6.02)	56 (5.09)	0.4886
Digestive disease	279 (25.20)	267 (24.45)	269 (24.70)	299 (27.13)	279 (25.34)	0.6323
Asthma	43 (3.89)	43 (3.94)	44 (4.03)	39 (3.54)	47 (4.26)	0.9384
Psychological problem	16 (1.45)	19 (1.74)	23 (2.12)	17 (1.54)	19 (1.73)	0.7943
Memory problem	18 (1.63)	19 (1.74)	11 (1.01)	15 (1.36)	23 (2.09)	0.3245

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Laboratory measurements						
LDL cholesterol-mg/dL	78.48 (68.04~85.83)	100.90 (96.26~105.54)	117.91 (113.66~121.78)	135.70 (130.28~141.50)	165.08 (155.03~179.00)	<0.0001
Triglyceride-mg/dL	110.63 (73.46~192.93)	103.54 (74.34~153.99)	107.97 (77.88~152.22)	110.63 (82.31~151.34)	125.23 (92.93~162.84)	<0.0001
HDL cholesterol-mg/dL	46.39 (35.57~57.60)	50.64 (40.98~60.70)	51.42 (42.53~60.70)	51.80 (43.69~61.08)	51.80 (44.46~60.31)	<0.0001
Hemoglobin-(g/dL)	13.20 (12.10~14.30)	13.30 (12.30~14.50)	13.60 (12.60~14.70)	13.70 (12.80~14.60)	13.80 (12.80~14.80)	<0.0001

Table 4 Associations between all-cause mortality and LDL-C

	Total	Deaths (%)	Unadjusted		Adjusted*	
			HR (95%CI)	P value	HR (95%CI)	P value
Men						
Q1	991	88(8.88)	1	-	1	-
Q2	1008	67(6.65)	0.733(0.533~1.007)	0.0554	0.866(0.567~1.325)	0.5079
Q3	991	57(5.75)	0.639(0.458~0.892)	0.0084	0.782(0.507~1.206)	0.2651
Q4	1004	47(4.68)	0.519(0.364~0.739)	0.0003	0.577(0.363~0.916)	0.0197
Q5	987	46(4.66)	0.512(0.359~0.732)	0.0002	0.788(0.497~1.248)	0.3093
Women						
Q1	1114	52(4.66)	1	-	1	-
Q2	1102	49(4.45)	0.960(0.650~1.419)	0.8394	1.348(0.816~2.229)	0.2440
Q3	1096	29(2.64)	0.565(0.359~0.890)	0.0138	0.675(0.375~1.214)	0.1889
Q4	1111	41(3.69)	0.792(0.526~1.192)	0.2632	0.974(0.567~1.674)	0.9239
Q5	1106	48(4.34)	0.926(0.625~1.371)	0.7007	1.043(0.620~1.755)	0.8736

\*Adjusted for age, smoking, drinking, BMI, marital status, household income, educational level, rural residence, ADL disability, HDL-C, triglyceride, hemoglobin, hypertension, HBS/diabetes, history of stroke, cancer, heart disease, lung disease, liver disease, kidney disease, digestive disease, asthma, arthritis, psychological problem and memory problem.

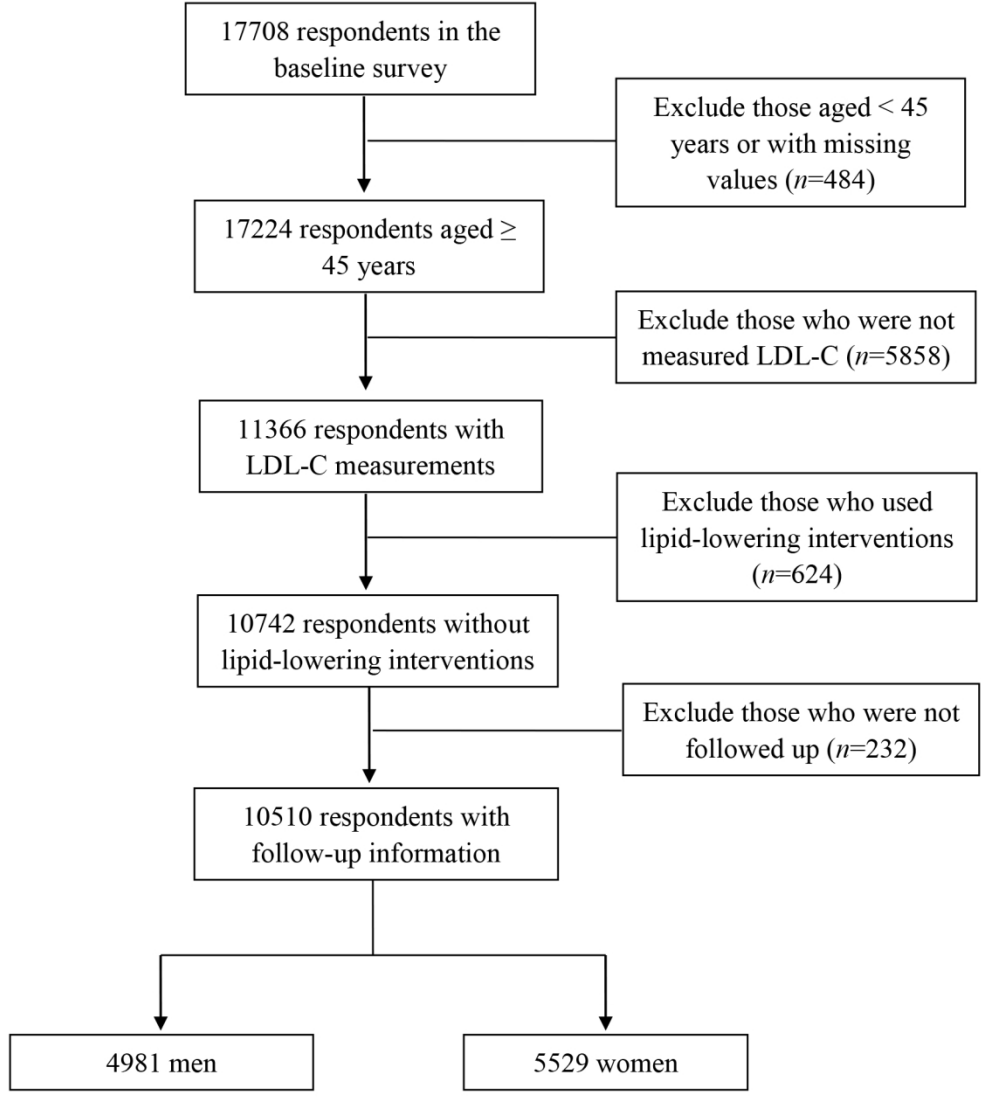


Figure 1 Flowchart on the selection of eligible participants.

151x168mm (300 x 300 DPI)

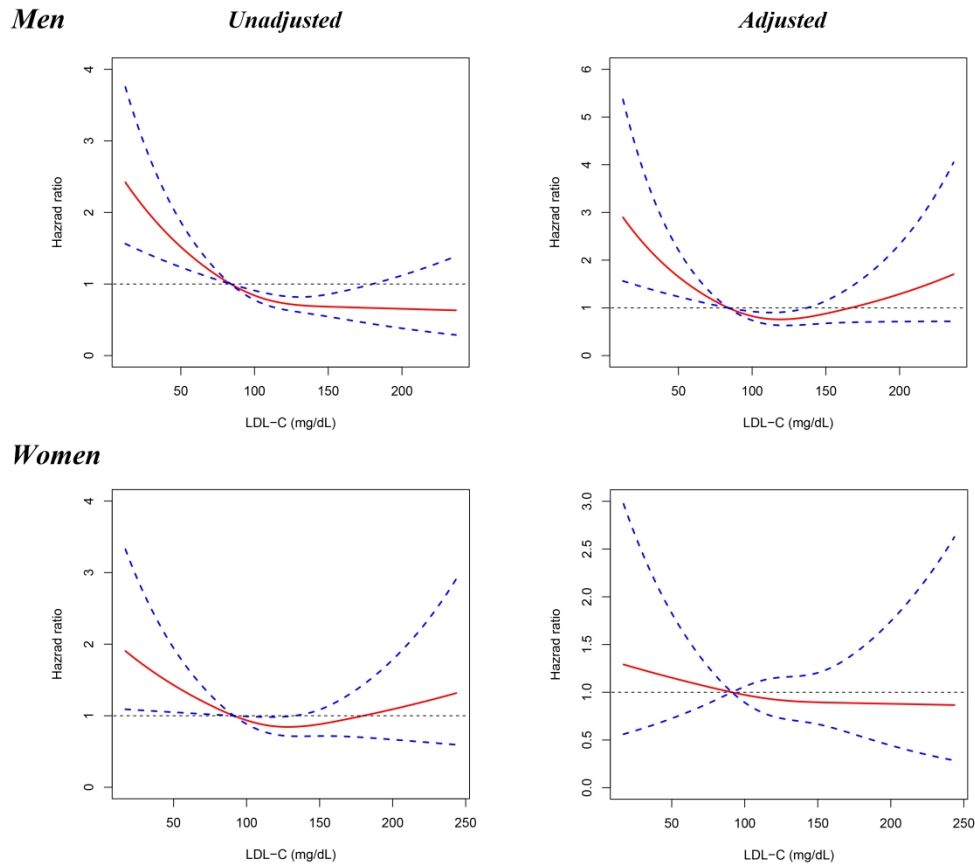


Figure 2 Results from restricted cubic splines for the association between LDL-C and 4-year all-cause mortality in men and women, respectively. The multivariable models were adjusted for age, smoking, drinking, BMI, marital status, household income, educational level, rural residence, ADL disability, HDL-C, triglyceride, hemoglobin, hypertension, HBS/diabetes, history of stroke, cancer, heart disease, lung disease, liver disease, kidney disease, digestive disease, asthma, arthritis, psychological problem and memory problem.

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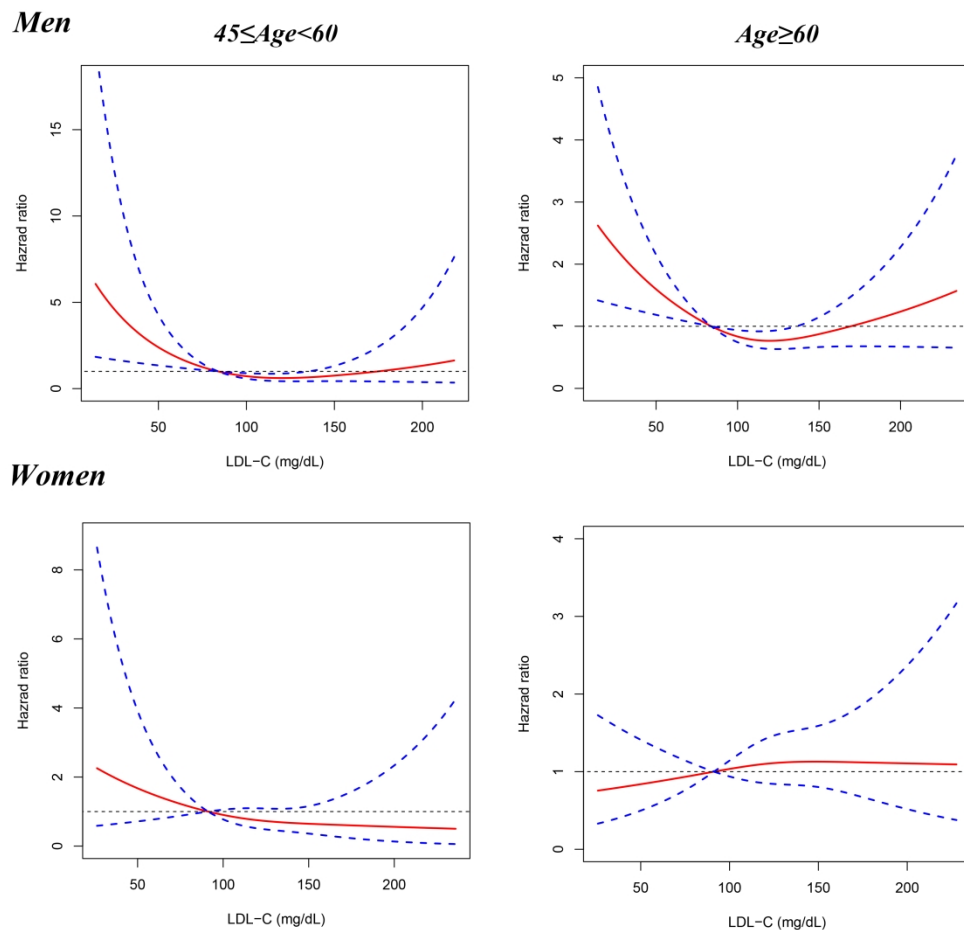


Figure 3 Results from restricted cubic spline for the association between LDL-C and 4-year all-cause mortality for middle-aged (45~60 years old) and elderly ( $\geq 60$  years old) people, respectively. The multivariable models were adjusted for age, smoking, drinking, BMI, marital status, household income, educational level, rural residence, ADL disability, HDL-C, triglyceride, hemoglobin, hypertension, HBS/diabetes, history of stroke, cancer, heart disease, lung disease, liver disease, kidney disease, digestive disease, asthma, arthritis, psychological problem and memory problem.

296x281mm (300 x 300 DPI)

## Supplementary materials

### Low-density lipoprotein cholesterol and all-cause mortality: findings from the China Health and Retirement Longitudinal Study

Liang Zhou <sup>1</sup>, Ying Wu <sup>2</sup>, Shaobo Yu <sup>3</sup>, Yueping Shen <sup>4</sup> and Chaofu Ke <sup>4</sup>

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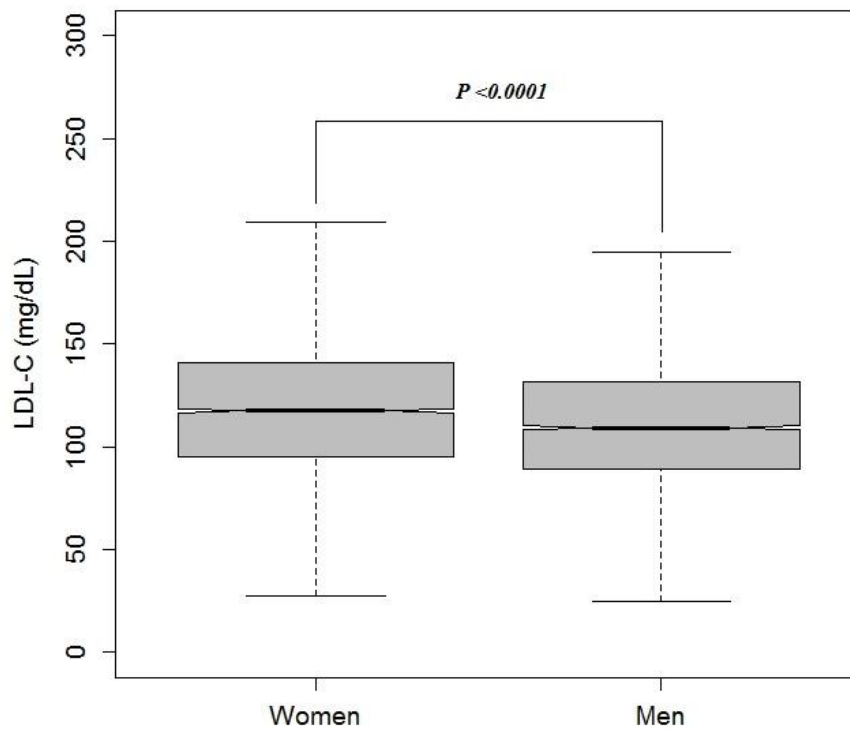


Supplementary Table S1 Associations between all-cause mortality and LDL-C<sup>#</sup>

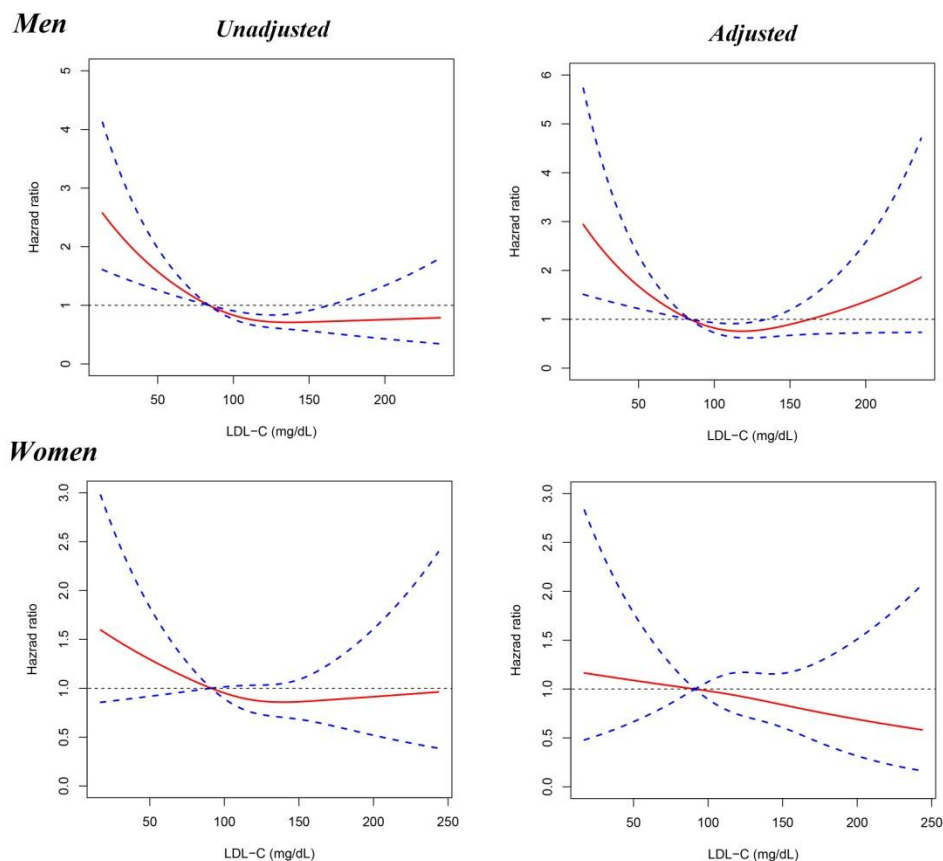
	Total	Deaths (%)	Unadjusted		Adjusted*	
			HR (95%CI)	<i>P</i> value	HR (95%CI)	<i>P</i> value
<b>Men</b>						
Q1	972	69 (7.10)	1	-	1	-
Q2	999	58 (5.81)	0.806(0.568~1.142)	0.2251	0.978(0.623~1.536)	0.9244
Q3	979	45 (4.60)	0.642(0.441~0.934)	0.0205	0.763(0.473~1.231)	0.2681
Q4	992	35 (3.53)	0.491(0.327~0.737)	0.0006	0.532(0.317~0.893)	0.0169
Q5	982	41 (4.18)	0.579(0.394~0.853)	0.0056	0.819(0.498~1.348)	0.4329
<b>Women</b>						
Q1	1106	44 (3.98)	1	-	1	-
Q2	1094	41 (3.75)	0.951(0.622~1.456)	0.8177	1.284(0.755~2.182)	0.3561
Q3	1094	27 (2.47)	0.622(0.385~1.005)	0.0523	0.702(0.383~1.287)	0.2528
Q4	1105	35 (3.17)	0.799(0.513~1.246)	0.3222	0.912(0.514~1.619)	0.7542
Q5	1099	41 (3.73)	0.934(0.610~1.430)	0.7538	0.995(0.576~1.720)	0.9853

<sup>#</sup>Participants who died during the first year were excluded.

\*Adjusted for age, smoking, drinking, BMI, living alone, household income, educational level, rural residence, ADL disability, HDL-C, triglyceride, hemoglobin, hypertension, HBS/diabetes, history of stroke, cancer, heart disease, lung disease, liver disease, kidney disease, digestive disease, asthma, arthritis, psychological problem and memory problem.



Supplementary Figure S1 The box-plot of plasma LDL-C levels in middle-aged and elderly Chinese men and women.



Supplementary Figure S2 Results from restricted cubic splines for the association between LDL-C and 4-year all-cause mortality in men and women (excluding participants who died during the first year). The multivariable models were adjusted for age, smoking, drinking, BMI, living alone, household income, educational level, rural residence, ADL disability, HDL-C, triglyceride, hemoglobin, hypertension, HBS/diabetes, history of stroke, cancer, heart disease, lung disease, liver disease, kidney disease, digestive disease, asthma, arthritis, psychological problem and memory problem.

**STROBE 2007 (v4) Statement—Checklist of items that should be included in reports of cohort studies**

Section/Topic	Item #	Recommendation	Reported on page #
<b>Title and abstract</b>	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	2
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	2
<b>Introduction</b>			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	3
Objectives	3	State specific objectives, including any prespecified hypotheses	4
<b>Methods</b>			
Study design	4	Present key elements of study design early in the paper	4
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	4,5
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up	4,5
		(b) For matched studies, give matching criteria and number of exposed and unexposed	Not applicable
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	4,5
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	4,5
Bias	9	Describe any efforts to address potential sources of bias	4,7,8,9
Study size	10	Explain how the study size was arrived at	4
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	5
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	5,6
		(b) Describe any methods used to examine subgroups and interactions	5,6,7
		(c) Explain how missing data were addressed	4,5
		(d) If applicable, explain how loss to follow-up was addressed	5
		(e) Describe any sensitivity analyses	4,7,8,9
<b>Results</b>			

Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	4,5
		(b) Give reasons for non-participation at each stage	4,5
		(c) Consider use of a flow diagram	4
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	6
		(b) Indicate number of participants with missing data for each variable of interest	4
		(c) Summarise follow-up time (eg, average and total amount)	5
Outcome data	15*	Report numbers of outcome events or summary measures over time	7
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	6,7
		(b) Report category boundaries when continuous variables were categorized	5,6,7
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	Not applicable
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	6,7,8,9
<b>Discussion</b>			
Key results	18	Summarise key results with reference to study objectives	8
<b>Limitations</b>			
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	8,9,10
Generalisability	21	Discuss the generalisability (external validity) of the study results	8,9,10
<b>Other information</b>			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	10,11

\*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

**Note:** An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at [www.strobe-statement.org](http://www.strobe-statement.org).

# BMJ Open

## Low-density lipoprotein cholesterol and all-cause mortality: findings from the China Health and Retirement Longitudinal Study

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Secondary Subject Heading:	Epidemiology, Public health
Keywords:	Risk management < HEALTH SERVICES ADMINISTRATION & MANAGEMENT, PREVENTIVE MEDICINE, PUBLIC HEALTH, EPIDEMIOLOGY

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4 Low-density lipoprotein cholesterol and all-cause mortality: findings  
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6 from the China Health and Retirement Longitudinal Study  
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9 Liang Zhou <sup>1†</sup>, Ying Wu <sup>2†</sup>, Shaobo Yu <sup>3</sup>, Yueping Shen <sup>4\*</sup> and Chaofu Ke <sup>4\*</sup>  
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## Abstract

### Objectives

To investigate the relationship between low-density lipoprotein cholesterol (LDL-C) and all-cause mortality among middle-aged and elderly Chinese population.

### Design

Prospective cohort study.

### Setting

This study used data from the Chinese Health and Retirement Longitudinal Study (CHARLS).

### Participants

Middle-aged and elderly participants with complete data were enrolled for a 4-year follow-up of total mortality and plasma levels of LDL-C, including 4981 male respondents and 5529 female respondents.

### Results

During a 4-year follow-up, there were 305 and 219 deaths in men and women, respectively. Compared with the first quintile (Q1) of LDL-C, the adjusted hazard ratios (95% confidence intervals) were 0.818(0.531~1.260) for Q2, 0.782(0.507~1.208) for Q3, 0.605(0.381~0.962) for Q4 and 0.803(0.506~1.274) for Q5 in men. The results from restricted cubic spine (RCS) showed that when the 20th percentile of LDL-C levels (84mg/dL) was used as the reference, a lower LDL-C concentration (<84mg/dL) was associated with a higher 4-year all-cause mortality risk. By contrast, both quintile analysis and RCS analysis did not show a statistically significant association in women.

### Conclusions

Compared with moderately elevated LDL-C (e.g., 117-137mg/dL), a lower plasma level of LDL-C (e.g.,  $\leq$ 84mg/dL) was associated with an increased risk of 4-year all-cause mortality in middle-aged and elderly Chinese men. The results suggest the potential harmful effect of a quite low level of LDL-C on total mortality.

**Keywords** Low-density lipoprotein cholesterol, all-cause mortality, CHARLS

## Strengths and limitations of this study

- This study used high-quality data from a nationally representative longitudinal cohort to investigate the relationship between LDL-C and all-cause mortality among middle-aged and elderly Chinese population.
- The use of restricted cubic spline provided a more comprehensive spectrum of the non-linear relation between LDL-C and all-cause mortality.
- Although the public's attention focuses on the benefit of lipid lowering, this study highlights the potential harmful effect of very low LDL-C.
- The 4-year follow-up period prevented the assessment of a long-term association between LDL-C and all-cause mortality.
- The unavailability of cause-specific mortality data prevented the analysis of the association between LDL-C and cause-specific mortality.

## Introduction

For decades, the mainstream view holds that a high level of low-density lipoprotein cholesterol (LDL-C) is a primary cause of cardiovascular events and mortality[1]. However, many studies have found that LDL-C levels are inversely associated with all-cause mortality in diseased populations, such as patients with chronic hemodialysis[2], intracerebral hemorrhage[3] and heart failure[4]. Most importantly, in a systematic review of 19 cohort studies including 30 cohorts with 68 094 elderly people ( $\geq 60$  years), an inverse association between LDL-C and all-cause mortality was seen in 16 cohorts representing 92% of all participants, and none of the other cohorts found the positive association between LDL-C and all-cause mortality[5]. Since LDL-C has been regarded as “bad cholesterol” for a long time and lipid-lowering drugs have been prescribed even at normal levels of serum cholesterol[6], the impact can be substantial. Therefore, the underlying relationship between LDL-C and all-cause mortality needs to be clarified in large prospective cohorts.

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4 In this study, we aimed to investigate whether LDL-C levels are associated with  
5 all-cause mortality among middle-aged and elderly Chinese men and women, based  
6 on the longitudinal data from the China Health and Retirement Longitudinal Study  
7 (CHARLS).  
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## 11 12 13 **Methods**

### 14 15 **Study design**

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17 As a nationally representative longitudinal study, CHARLS is designed to collect  
18 a wide range of information on the economic standing, physical and psychological  
19 health, demographics and social networks of a middle-aged and elderly Chinese  
20 population (aged  $\geq 45$  years)[7]. The national baseline survey (wave 1) was conducted  
21 between June 2011 and March 2012 and included 17,708 respondents. The second  
22 wave (wave 2) was carried out in 2013-2014, the third wave (wave 3) in 2014-2015  
23 and the fourth wave (wave 4) in 2015-2016. The detailed design of CHARLS can be  
24 referred to a previous publication[7]. This study was approved by Biomedical Ethics  
25 Review Committee of Peking University, and all participants signed informed  
26 consents.  
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### 36 37 **Study population**

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39 All participants recruited in the national baseline survey were included if they  
40 met the following criteria: 1) aged  $\geq 45$  years, 2) measured plasma levels of LDL-C in  
41 wave 1, 3) successfully followed up in at least one of the subsequent three waves, and  
42 4) without lipid-lowering interventions. Finally, 10510 participants, including 4981  
43 men and 5529 women, were included for subsequent analysis (Figure 1).  
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### 48 49 **Plasma LDL-C measurements and other covariates**

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51 Plasma samples were collected by medically-trained staff and then stored at  
52  $-80^{\circ}\text{C}$  until assayed at Capital Medical University (CMU) laboratory. LDL-C was  
53 measured by the enzymatic colorimetric test, with an analytical range of 3–400 mg/L  
54 and between-assay coefficient of variation of 1.20%. During the testing of the  
55 CHARLS study samples, quality control (QC) samples were used daily. All test  
56 results from QC samples were within two standard deviations of mean QC control  
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4 concentrations. The other covariates collected included age, gender, smoking status,  
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6 drinking status, body mass index (BMI), educational level, household income, living  
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8 alone status, rural residence, activity of daily living (ADL) disability, high-density  
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10 lipoprotein cholesterol (HDL-C), triglyceride, hemoglobin, hypertension (defined by a  
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12 history of hypertension, or systolic blood pressure (SBP)  $\geq$  140mmHg, or diastolic  
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14 blood pressure (DBP)  $\geq$  90 mmHg), high blood sugar (HBS)/diabetes (defined by a  
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16 history of HBS/diabetes, or fasting blood glucose  $\geq$  6.1mmol/L, or non-fasting blood  
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18 glucose  $\geq$  7.8mmol/L), a history of cancer, cardiovascular disease, stroke, asthma,  
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20 lung disease, liver disease, digestive disease, kidney disease, arthritis, memory  
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22 problem and psychological problem. Activity of daily living (ADL) covers the  
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24 following items: dressing, bathing and showering, eating, getting in/out bed, using the  
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26 toilet and controlling urination or defecation. Every item in the ADL scale has a  
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28 four-scale answer for each question: “no difficulty”, “have difficulty but can still do  
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30 it”, “have difficulty and need help”, and “can not do it”. ADL was assigned a value of  
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32 0 if the respondents had no difficulty in all these activities and 1 otherwise. Hand grip  
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34 strength was measured with a dynamometer (Yuejian™ WL-1000, Nantong, China)  
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36 in kilograms (kg) twice on each hand. The mean score of two measures in the  
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38 dominant hand was calculated to define hand grip strength in this study.

### 39 **All-cause mortality follow-up**

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41 Participants enrolled in wave 1 were followed up in subsequent three waves. In  
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43 wave 2, both the interview status (dead or alive) and death time were recorded. In  
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45 waves 3 and 4, only the interview status was recorded. For those who had the exact  
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47 time on all-cause death in wave 2, the survival time was defined as the interval  
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49 between the interview time of wave 1 and the death time. If the exact death time was  
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51 not available in waves 3 and 4, the survival time was computed as the median of the  
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53 interval between wave 1 and the specific wave with death information. For those who  
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55 did not die during the follow-up period, the survival time was defined as the interval  
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57 between wave 1 and the last interview wave with follow-up information.

### 58 **Patient and public involvement**

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60 Anonymised participant data were used in this study. Patients and the public

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4 were not involved in the design or conduct, or reporting, or dissemination plans of the  
5 study.  
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### 7 **Statistical analysis**

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9 Data were presented as median ( $P_{25}\sim P_{75}$ ) for continuous variables and frequency  
10 (percentage) for categorical variables. Baseline characteristics between or among  
11 groups were compared by the Wilcoxon rank sum test or Kruskal-Wallis rank sum  
12 test for continuous variables and by the chi-square test for categorical variables. The  
13 Cox proportional hazard ratio model was used to estimate the hazard ratios (HRs) and  
14 95% confidence intervals (CIs) of LDL-C quintiles. In addition, the association  
15 between all-cause mortality and LDL-C on a continuous scale was further examined  
16 using restricted cubic splines (RCS) incorporated in Cox proportional hazards models.  
17 Bayesian Information Criterion (BIC) was used to determine the optimal number of  
18 knots in RCS. In this study, 3 knots were used in all RCS analyses, with knot  
19 locations at the 10th, 50th, and 90th percentiles of LDL-C. To be consistent with  
20 quintile analyses, the reference point was the 20th percentile of LDL-C in both men  
21 and women. All statistical analyses were performed by SAS statistical software  
22 (version 9.4, Cary, NC). All  $P$  values were 2-tailed, and the significance level was set  
23 at 0.05.  
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## 40 **Results**

### 41 **Baseline characteristics of the study population**

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43 A total of 4981 men and 5529 women were eligible for the final analysis. The  
44 median of LDL-C levels in women was significantly higher than that in men ( $P$   
45  $< 0.0001$ , Supplementary Figure S1). Compared with women, men were older, had  
46 smaller BMI values and possessed greater smoking rate and drinking rate (all  $P$   
47  $< 0.0001$ ). The prevalence rates of heart disease, arthritis and digestive disease in  
48 women were higher than those in men, but the prevalence rates of asthma and lung  
49 disease were lower in women (all  $P < 0.0001$ , Table 1).  
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### 58 **Characteristics of men and women according to the quintiles of LDL-C levels**

59 After stratification by the quintiles of LDL-C levels, BMI, SBP, DBP and  
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4 hemoglobin in men were elevated with ascending quintiles as a whole (All  $P < 0.001$ )  
5 (Table 2). The prevalence of HBS/diabetes was highest in the bottom quintile of  
6 LDL-C and lowest in the fourth quintile, with prevalence rates of 34.81% and 27.06%  
7 respectively. There were no statistical differences among LDL-C quintiles for many  
8 other characteristics (e.g., age, smoking, drinking, ADL disability, living alone, stroke,  
9 cancer, heart disease, lung disease, liver disease, kidney disease, digestive disease,  
10 asthma, arthritis, psychological problem and memory problem) (All  $P > 0.05$ ).  
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17 In women, LDL-C quintiles were positively associated with age, BMI, SBP,  
18 DBP and hemoglobin (All  $P < 0.001$ ) (Table 3). The prevalence rates of HBS/diabetes  
19 and liver disease in women were significantly different among different LDL-C  
20 quintiles (All  $P < 0.001$ ). For the remaining variables (e.g., smoking, drinking,  
21 household income, ADL disability, educational level, rural residence, hand grip  
22 strength, stroke, cancer, heart disease, lung disease, kidney disease, digestive disease,  
23 asthma, arthritis, psychological problem and memory problem), no differences were  
24 observed (All  $P > 0.05$ ).  
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### 33 **Associations of LDL-C levels with all-cause mortality**

34 In men, 305 out of 4981 participants died during a four-year follow-up. The  
35 mortality rates were declining with ascending quintiles (Table 4). Compared with the  
36 first quintile, the univariate HRs (95% CIs) were 0.733(0.533~1.007) for the second  
37 quintile; 0.639(0.458~0.892) for the third quintile; 0.519(0.364~0.739) for the fourth  
38 quintile and 0.512(0.359~0.732) for the fifth quintile. After adjustment for a series of  
39 potential confounders, the non-linear association between LDL-C and all-cause  
40 mortality was observed. As compared with the first quintile, the multivariable HRs  
41 (95% CIs) were as follows: second quintile, 0.818(0.531~1.260); third quintile,  
42 0.782(0.507~1.208); fourth quintile, 0.605(0.381~0.962); fifth quintile,  
43 0.803(0.506~1.274). In women, there were 219 deaths during a four-year follow-up.  
44 The mortality rate was highest in the first quintile. After adjustment for potential  
45 confounders, no quintile showed significant lower mortality rates compared with the  
46 first quintile (all  $P > 0.05$ ) (Table 4).  
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The quintile analysis indicated that the relationship between LDL-C with

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4 all-cause mortality might be non-linear. Therefore, RCS was further performed to  
5 investigate the association between all-cause mortality and LDL-C on a continuous  
6 scale. The results from RCS showed that when the 20th percentile of LDL-C levels  
7 (84mg/dL) was used as the reference, lower LDL-C (<84mg/dL) was associated with  
8 higher risk of 4-year all-cause mortality in men, and moderately higher LDL-C  
9 (84-135mg/dL) possessed lower total mortality risk, but the association was not  
10 statistically significant for much higher LDL-C concentrations (>135mg/dL) (Figure  
11 2). The sub-group analyses by age indicated that when the 20th percentile of LDL-C  
12 levels was taken as the reference, a lower level of LDL-C was associated with a  
13 higher risk of 4-year all-cause mortality in both middle-aged (45~60 years) and  
14 elderly ( $\geq 60$  years) men (Figure 3). For women, LDL-C was not significantly  
15 associated with 4-year all-cause mortality (Figures 2 and 3).

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In addition, we found that 125 out of 4981 men and 89 out of 5529 women had  
LDL-C < 50mg/dL. When participants with LDL-C < 50 mg/dL were excluded, the  
hazard ratio of the fourth LDL-C quintile in men was changed a little with marginal  
statistical significance ( $P=0.0698$ , Supplementary Table S1). Moreover, no  
interactions were found between LDL-C and potential risk factors of mortality, with  
the exception that the interaction between LDL-C and smoking in women was  
statistical significant ( $P=0.0498$ , Supplementary Table S2).

## Discussion

In this study, we investigated the relationship between LDL-C and 4-year  
all-cause mortality among the middle-aged and elderly Chinese population. In men, a  
very low level of LDL-C was associated with increased mortality risk. In women,  
LDL-C was not significantly associated with 4-year all-cause mortality.

Low-density lipoprotein has been well established as an important cause of  
cardiovascular disease (CVD) for decades[1]. Since CVD is the leading cause of  
mortality throughout the world, it is logically reasonable that increased LDL-C should  
contribute to increased CVD mortality and possibly all-cause mortality. Indeed,  
evidences from prospective epidemiologic studies showed a positive association

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4 between non-HDL-C concentration and ischaemic heart disease mortality[8].  
5 However, non-HDL-C includes both LDL-C and very low-density lipoprotein  
6 cholesterol (VLDL-C). It is surprising that the direct association of LDL-C with CVD  
7 mortality was not consistently reported among studies. Abdullah *et al.* (2018)  
8 demonstrated that LDL-C was independently associated with CVD mortality in a low  
9 10-year risk cohort with long-term follow-up[9]. By contrast, Tikhonof *et al.* (2005)  
10 reported that the elderly subjects ( $\geq 65$  years) possessed the highest CVD mortality in  
11 the lowest LDL-C quartile[10]. Meanwhile, many other studies also found no  
12 association between LDL-C and CVD mortality[11-13]. When the results about the  
13 association of LDL-C with CVD mortality were inconsistent, it is more surprising to  
14 find that few studies have reported the positive association between LDL-C and  
15 all-cause mortality. In the study by Abdullah *et al.* (2018), there were already no  
16 associations or minimal positive associations between high LDL-C categories and  
17 all-cause mortality even in univariable Cox analyses[9]. Most remarkably, results of  
18 multivariable Cox analyses in this study were not provided for all-cause mortality,  
19 which could exert a substantial impact on the final association[14]. Actually, a large  
20 number of studies reported no association or even an inverse association between  
21 LDL-C and all-cause mortality, which has been summarized in a systematic review by  
22 Ravnskov *et al.* (2016)[5]. Therefore, very low LDL-C in populations not on lipid  
23 therapy may be associated with harm.

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43 It should be noted that the confounding effect of statin treatment should be  
44 minimized, as this study excluded those who used lipid-lowering interventions. There  
45 was also no association between baseline LDL-C and the presence of cancer, stroke  
46 and heart disease in men and women (Tables 2 and 3). Moreover, when participants  
47 who had died during the first observation year were excluded, this relationship was  
48 not changed (Supplementary Table S3 and Figure S2). This could relieve the concern  
49 that serious diseases may lower cholesterol soon before death occurs. One of the  
50 possible reasons for the difference between men and women may be due to fewer  
51 death events in women than in men, which might result in insufficient power for the  
52 association.  
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Several explanations for the unfavorable effects of low LDL-C levels may be proposed. LDL-C has been suggested to play an important role in host defense against both bacterial and viral pathogens[15]. Indeed, many animal and laboratory experiments have shown that LDL could bind to and inactivate a broad range of microorganisms and their toxic products[16-18]. This hypothesis may be further supported by the recent finding that LDL-C was associated with reduced infectious mortality based on the data from 37,250 patients in the international Monitoring Dialysis Outcomes (MONDO) database[2]. In addition, it has been proposed that LDL-C may have the potential to protect against cancer as many cancer types are caused by viruses[19]. Ravnskov *et al.* (2012) reviewed nine cohort studies including more than 140,000 individuals followed for 10–30 years and found that low cholesterol was associated with cancer[20]. Moreover, cholesterol-lowering experiments on rodents have led to cancer as well[21]. In agreement with these findings, individuals with familial hypercholesterolaemia have been found to possess significantly lower cancer mortality[22]. Therefore, lower LDL-C may contribute to a higher risk of death from infection and cancer, which in turn results in increased all-cause mortality.

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This study demonstrated that middle-aged and elderly Chinese men with very low LDL-C had an increased risk of all-cause mortality, which calls for special attention to be paid to the possible harmful effect of a very low level of LDL-C. However, some limitations should be noted. First, the follow-up period was limited to 4 years. For a longer follow-up time, the associations between LDL-C and all-cause mortality in women might be displayed. Second, cause-specific mortality data were not available for the time being, preventing the analysis of the association between LDL-C and cause-specific mortality. Third, there are issues of multiple testing for comparisons of characteristics among LDL-C quintiles, which could result in Type I error inflation. Fourth, some of the measured co-morbidities were not specified and detailed in the database, such as lung disease, digestive disease, liver disease, kidney disease, psychological problem and memory problem. At last, well-designed, large-scale population studies are needed to formulate the specific LDL-C level(s)

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4 threshold for mortality risk in the future.

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6 In China, compared with moderately elevated LDL-C (e.g., 117-137mg/dL), a  
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8 lower plasma level of LDL-C (e.g.,  $\leq 84$ mg/dL) was associated with an increased risk  
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10 of 4-year all-cause mortality in middle-aged and elderly men. The findings in this  
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12 study may suggest the potential harmful effect of a quite low level of LDL-C. More  
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14 prospective and well-designed studies are needed to validate the relationship between  
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16 LDL-C and mortality.

### 17 18 19 **Acknowledgements**

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22  
23 Codebook, Version C as of April 2018 developed by the Gateway to Global Aging  
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26  
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29 information, please refer to [www.g2aging.org](http://www.g2aging.org).

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37  
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### 39 40 41 42 **Competing interests**

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44 None declared.

### 45 46 47 48 **Patient consent for publication**

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### 51 52 53 54 **Contributors**

55  
56 CK and YS conceived and designed the research; LZ and CK wrote the manuscript;  
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58 and YW and SY performed the data analysis. All authors contributed to the  
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60 interpretations of the findings. All authors reviewed the manuscript.

## Ethics approval

CHARLS was approved by Biomedical Ethics Review Committee of Peking University, and all participants signed informed consents.

## Data sharing statement

The data used and analysed in this study are publicly available from the China Health and Retirement Longitudinal Study (<http://charls.pku.edu.cn/zh-CN>).

## Figure legends

**Figure 1** Flowchart on the selection of eligible participants.

**Figure 2** Results from restricted cubic splines for the association between LDL-C and 4-year all-cause mortality in men and women, respectively. The multivariable models were adjusted for age, smoking, drinking, BMI, marital status, household income, educational level, rural residence, ADL disability, hand grip strength, HDL-C, triglyceride, hemoglobin, hypertension, HBS/diabetes, history of stroke, cancer, heart disease, lung disease, liver disease, kidney disease, digestive disease, asthma, arthritis, psychological problem and memory problem.

**Figure 3** Results from restricted cubic spline for the association between LDL-C and 4-year all-cause mortality for middle-aged (45~60 years old) and elderly ( $\geq 60$  years old) people, respectively. The multivariable models were adjusted for age, smoking, drinking, BMI, marital status, household income, educational level, rural residence, ADL disability, hand grip strength, HDL-C, triglyceride, hemoglobin, hypertension, HBS/diabetes, history of stroke, cancer, heart disease, lung disease, liver disease, kidney disease, digestive disease, asthma, arthritis, psychological problem and memory problem.

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Table 1 Characteristics of the study population

Characteristics	Men (n=4981)	Women (n=5529)	P value
Age-yr	59 (53~66)	57 (51~65)	<0.0001
BMI-kg/m <sup>2</sup>	22.40 (20.35~24.83)	23.51 (21.17~26.14)	<0.0001
SBP-mmHg	127.67 (115.67~141.67)	127.00 (114.00~143.33)	0.3764
DBP-mmHg	75.33 (67.67~83.67)	74.33 (67.00~82.67)	0.0017
Hand grip strength (kg)	36.85 (30.50~43.00)	25.00 (20.00~29.50)	<0.0001
Above-average household income-no. (%)	2104 (49.68)	2360 (50.32)	0.5468
Education level-no. (%)			<0.0001
1	4287 (86.07)	5134 (92.86)	
2	592 (11.89)	347 (6.28)	
3	102 (2.05)	48 (0.87)	
ADL disability-no. (%)	716 (14.55)	1011 (18.57)	<0.0001
Living alone-no. (%)	471 (9.46)	832 (15.05)	<0.0001
Rural residence-no. (%)	3282 (65.89)	3524 (63.74)	0.0210
Lifestyle-no. (%)			
Smoking ever	3738 (75.24)	420 (7.63)	<0.0001
Drinking ever	3297 (66.43)	826 (15.01)	<0.0001
Disease history-no. (%)			
Hypertension	1904 (43.35)	2245 (45.34)	0.0530
HBS/Diabetes	1460 (30.16)	1564 (29.19)	0.2846
Cancer	40 (0.81)	67 (1.22)	0.0372
Stroke	133 (2.69)	136 (2.48)	0.4958
Heart disease	499 (10.10)	738 (13.47)	<0.0001
Lung disease	620 (12.54)	508 (9.26)	<0.0001
Arthritis	1548 (31.23)	2243 (40.80)	<0.0001
Liver disease	197 (4.00)	188 (3.44)	0.1326
Kidney disease	309 (6.27)	315 (5.75)	0.2701
Digestive disease	1030 (20.79)	1393 (25.37)	<0.0001
Asthma	283 (5.72)	216 (3.93)	<0.0001
Psychological problem	60 (1.21)	94 (1.72)	0.0336
Memory problem	97 (1.96)	86 (1.57)	0.1238
Laboratory measurements			
LDL cholesterol-mg/dL	109.41 (88.92~131.06)	117.91 (96.26~141.50)	<0.0001
Triglyceride-mg/dL	96.46 (69.03~145.14)	110.63 (79.65~159.30)	<0.0001
HDL cholesterol-mg/dL	48.71 (39.43~59.54)	50.64 (41.75~60.31)	<0.0001
Hemoglobin-(g/dL)	15.10 (14.00~16.20)	13.60 (12.50~14.60)	<0.0001

ADL, activity of daily living; BMI, body mass index; SBP, systolic blood pressure; DBP, diastolic blood pressure; HBS, high blood sugar.

Educational level: 1, Less than lower secondary education; 2, Upper secondary & vocational training; 3, Tertiary education.

Table 2 Baseline characteristics of participants by quintiles of LDL-C in men

Characteristics	Quintile 1 (n=991) (≤83.89mg/dL)	Quintile 2 (n=1008) (83.89~101.68)	Quintile 3 (n=991) (101.68~117.14)	Quintile 4 (n=1004) (117.14~136.86)	Quintile 5 (n=987) (>136.86)	P value
Age-yr	59 (52~66)	59 (53~67)	59 (52~66)	59 (53~67)	59 (53~65)	0.5405
BMI-kg/m <sup>2</sup>	21.57 (19.78~24.21)	22.18 (20.31~24.40)	22.36 (20.44~24.61)	22.64 (20.65~25.07)	23.27 (20.95~25.68)	<0.0001
SBP-mmHg	126.00 (114.00~140.00)	126.00 (115.33~139.67)	127.00 (114.67~142.33)	128.00 (115.67~142.50)	130.67 (118.00~144.00)	0.0002
DBP-mmHg	75.00 (66.67~83.33)	74.33 (66.67~82.00)	74.67 (67.33~83.33)	75.33 (67.67~83.67)	76.67 (69.33~85.33)	0.0002
Hand grip strength (kg)	35.93 (30.00~42.50)	36.00 (30.00~42.60)	36.93 (30.00~43.00)	37.50 (31.50~43.75)	37.50 (31.50~42.90)	0.0132
Above-average household income-no. (%)	402 (48.43)	413 (47.91)	407 (48.05)	430 (49.20)	452 (54.99)	0.0186
Educational level-no. (%)						0.0035
1	870 (87.79)	891 (88.39)	821 (82.85)	862 (85.86)	843 (85.41)	
2	105 (10.60)	94 (9.33)	145 (14.63)	123 (12.25)	125 (12.66)	
3	16 (1.61)	23 (2.28)	25 (2.52)	19 (1.93)	19 (1.93)	
ADL disability-no. (%)	149 (15.27)	163 (16.30)	148 (15.10)	141 (14.23)	115 (11.81)	0.0590
Living alone-no. (%)	101 (10.19)	112 (11.11)	85 (8.58)	94 (9.36)	79 (8.00)	0.1264
Rural residence-no. (%)	659 (66.50)	702 (69.64)	634 (63.98)	664 (66.14)	623 (63.12)	0.0216
Lifestyle-no. (%)						
Smoking ever	755 (76.57)	754 (75.02)	751 (75.94)	759 (75.75)	719 (72.92)	0.3788
Drinking ever	654 (66.40)	663 (66.10)	664 (67.14)	664 (66.40)	652 (66.13)	0.9890
Disease history-no. (%)						
Hypertension	359 (42.14)	349 (39.48)	385 (42.87)	397 (44.51)	414 (47.81)	0.0092
HBS/Diabetes	337 (34.81)	277 (28.35)	266 (27.85)	266 (27.06)	314 (32.78)	0.0003
Cancer	13 (1.32)	7 (0.70)	5 (0.51)	6 (0.60)	9 (0.92)	0.2728
Stroke	26 (2.64)	33 (3.31)	21 (2.13)	25 (2.50)	28 (2.85)	0.5818
Heart disease	86 (8.78)	98 (9.81)	103 (10.47)	113 (11.31)	99 (10.10)	0.4453
Lung disease	131 (13.37)	135 (13.46)	106 (10.77)	120 (12.00)	128 (13.09)	0.3170
Arthritis	300 (30.43)	332 (33.10)	280 (28.34)	312 (31.23)	324 (33.03)	0.1233
Liver disease	51 (5.23)	42 (4.19)	35 (3.57)	38 (3.81)	31 (3.18)	0.1855
Kidney disease	56 (5.73)	73 (7.29)	59 (6.02)	57 (5.71)	64 (6.56)	0.5518
Digestive disease	211 (21.46)	213 (21.24)	225 (22.82)	203 (20.28)	178 (18.14)	0.1264
Asthma	66 (6.76)	49 (4.89)	43 (4.36)	59 (5.91)	66 (6.72)	0.0754
Psychological problem	14 (1.43)	10 (1.00)	14 (1.42)	14 (1.40)	8 (0.82)	0.6092
Memory problem	25 (2.55)	13 (1.30)	21 (2.13)	19 (1.90)	19 (1.94)	0.3810
Laboratory measurements						
Triglyceride-mg/dL	96.46 (65.49~177.88)	88.50 (64.61~129.21)	92.93 (69.03~138.95)	97.35 (72.57~136.29)	108.86 (79.65~152.22)	<0.0001
HDL cholesterol-mg/dL	47.55	49.48	47.93	49.10	48.71	0.0061

1						
2						
3		(35.95~59.92)	(39.43~60.70)	(39.43~58.76)	(39.82~59.54)	(41.37~58.76)
4		14.80	14.90	15.00	15.10	15.40
5	Hemoglobin-(g/dL)	(13.60~16.00)	(13.80~16.10)	(14.00~16.20)	(14.00~16.30)	(14.30~16.50)
6						<0.0001
7		71.91	93.56	109.41	126.42	153.87
8	LDL cholesterol-mg/dL	(61.47~78.09)	(88.92~97.81)	(105.54~113.27)	(121.39~131.44)	(144.20~170.49)
9						<0.0001

Table 3 Baseline characteristics of participants by quintiles of LDL-C in women

Characteristics	Quintile 1 (n=1114) (≤91.24mg/dL)	Quintile 2 (n=1102) (91.24~109.41)	Quintile 3 (n=1096) (109.41~126.03)	Quintile 4 (n=1111) (126.03~147.68)	Quintile 5 (n=1106) (>147.68)	P value
Age-yr	56 (49~64)	56 (49~64)	57 (50~63)	58 (52~65)	59 (53~66)	<0.0001
BMI-kg/m <sup>2</sup>	23.15 (20.90~25.76)	23.30 (21.01~25.82)	23.39 (21.10~26.02)	23.63 (21.32~26.26)	24.12 (21.57~26.83)	<0.0001
SBP-mmHg	124.67 (112.00~141.00)	125.67 (113.00~142.33)	127.00 (114.67~143.00)	127.67 (114.67~142.33)	130.00 (117.00~146.00)	<0.0001
DBP-mmHg	73.33 (65.67~81.67)	74.33 (66.67~82.67)	74.00 (67.33~82.67)	74.67 (67.67~82.67)	75.33 (67.67~83.33)	0.0103
Hand grip strength (kg)	24.75 (19.80~30.00)	25.00 (20.00~29.50)	25.50 (20.55~30.00)	24.95 (20.00~29.50)	24.50 (20.25~29.50)	0.3187
Above-average household income-no. (%)	458 (48.21)	482 (50.42)	461 (48.99)	497 (53.61)	462 (50.44)	0.1720
Education level-no. (%)						0.4079
	1023 (91.83)	1030 (93.47)	1023 (93.34)	1025 (92.26)	1033 (93.40)	
	76 (6.82)	67 (6.08)	63 (5.75)	76 (6.84)	65 (5.88)	
	15 (1.35)	5 (0.45)	10 (0.91)	10 (0.90)	8 (0.72)	
ADL disability-no. (%)	216 (19.69)	183 (16.90)	188 (17.59)	215 (19.58)	209 (19.05)	0.3416
Living alone-no. (%)	155 (13.91)	162 (14.70)	143 (13.05)	186 (16.74)	186 (16.82)	0.0429
Rural residence-no. (%)	715 (64.18)	695 (63.07)	726 (66.24)	706 (63.55)	682 (61.66)	0.2526
Lifestyle-no. (%)						
Smoking ever	71 (6.39)	93 (8.49)	78 (7.15)	79 (7.14)	99 (8.97)	0.1294
Drinking ever	173 (15.61)	160 (14.61)	169 (15.50)	165 (14.91)	159 (14.40)	0.9112
Disease history-no. (%)						
Hypertension	414 (41.69)	440 (44.58)	431 (44.21)	451 (45.46)	509 (50.70)	0.0014
HBS/Diabetes	310 (28.78)	290 (27.46)	280 (26.19)	311 (28.88)	373 (34.53)	0.0003
Cancer	14 (1.27)	15 (1.38)	18 (1.66)	14 (1.27)	6 (0.54)	0.1876
Stroke	31 (2.80)	16 (1.47)	27 (2.47)	31 (2.81)	31 (2.81)	0.1906
Heart disease	144 (13.08)	135 (12.41)	140 (12.89)	166 (15.09)	153 (13.87)	0.3873
Lung disease	110 (9.94)	112 (10.29)	103 (9.45)	91 (8.27)	92 (8.36)	0.3566
Arthritis	454 (41.05)	450 (41.21)	421 (38.55)	454 (41.05)	464 (42.14)	0.5189
Liver disease	53 (4.80)	40 (3.69)	25 (2.31)	43 (3.91)	27 (2.46)	0.0060
Kidney disease	74 (6.71)	62 (5.69)	57 (5.24)	66 (6.02)	56 (5.09)	0.4886



1							
2							
3	Digestive disease	279 (25.20)	267 (24.45)	269 (24.70)	299 (27.13)	279 (25.34)	0.6323
4	Asthma	43 (3.89)	43 (3.94)	44 (4.03)	39 (3.54)	47 (4.26)	0.9384
5	Psychological problem	16 (1.45)	19 (1.74)	23 (2.12)	17 (1.54)	19 (1.73)	0.7943
6	Memory problem	18 (1.63)	19 (1.74)	11 (1.01)	15 (1.36)	23 (2.09)	0.3245
7							
8	Laboratory measurements						
9							
10	Triglyceride-mg/dL	110.63 (73.46~192.93)	103.54 (74.34~153.99)	107.97 (77.88~152.22)	110.63 (82.31~151.34)	125.23 (92.93~162.84)	<0.0001
11							
12	HDL cholesterol-mg/dL	46.39 (35.57~57.60)	50.64 (40.98~60.70)	51.42 (42.53~60.70)	51.80 (43.69~61.08)	51.80 (44.46~60.31)	<0.0001
13							
14	Hemoglobin-(g/dL)	13.20 (12.10~14.30)	13.30 (12.30~14.50)	13.60 (12.60~14.70)	13.70 (12.80~14.60)	13.80 (12.80~14.80)	<0.0001
15							
16	LDL cholesterol-mg/dL	78.48 (68.04~85.83)	100.90 (96.26~105.54)	117.91 (113.66~121.78)	135.70 (130.28~141.50)	165.08 (155.03~179.00)	<0.0001
17							
18							
19							

Table 4 Associations between all-cause mortality and LDL-C

	Total	Deaths (%)	Unadjusted		Adjusted*	
			HR (95%CI)	P value	HR (95%CI)	P value
Men						
Q1	991	88(8.88)	1	-	1	-
Q2	1008	67(6.65)	0.733(0.533~1.007)	0.0554	0.818(0.531~1.260)	0.3619
Q3	991	57(5.75)	0.639(0.458~0.892)	0.0084	0.782(0.507~1.208)	0.2677
Q4	1004	47(4.68)	0.519(0.364~0.739)	0.0003	0.605(0.381~0.962)	0.0335
Q5	987	46(4.66)	0.512(0.359~0.732)	0.0002	0.803(0.506~1.274)	0.3520
Women						
Q1	1114	52(4.67)	1	-	1	-
Q2	1102	49(4.45)	0.960(0.650~1.419)	0.8394	1.245(0.749~2.071)	0.3985
Q3	1096	29(2.65)	0.565(0.359~0.890)	0.0138	0.626(0.345~1.136)	0.1233
Q4	1111	41(3.69)	0.792(0.526~1.192)	0.2632	0.852(0.489~1.483)	0.5704
Q5	1106	48(4.34)	0.926(0.625~1.371)	0.7007	0.958(0.563~1.630)	0.8736

\*Adjusted for age, smoking, drinking, BMI, marital status, household income, educational level, rural residence, ADL disability, hand grip strength, HDL-C, triglyceride, hemoglobin, hypertension, HBS/diabetes, history of stroke, cancer, heart disease, lung disease, liver disease, kidney disease, digestive disease, asthma, arthritis, psychological problem and memory problem.

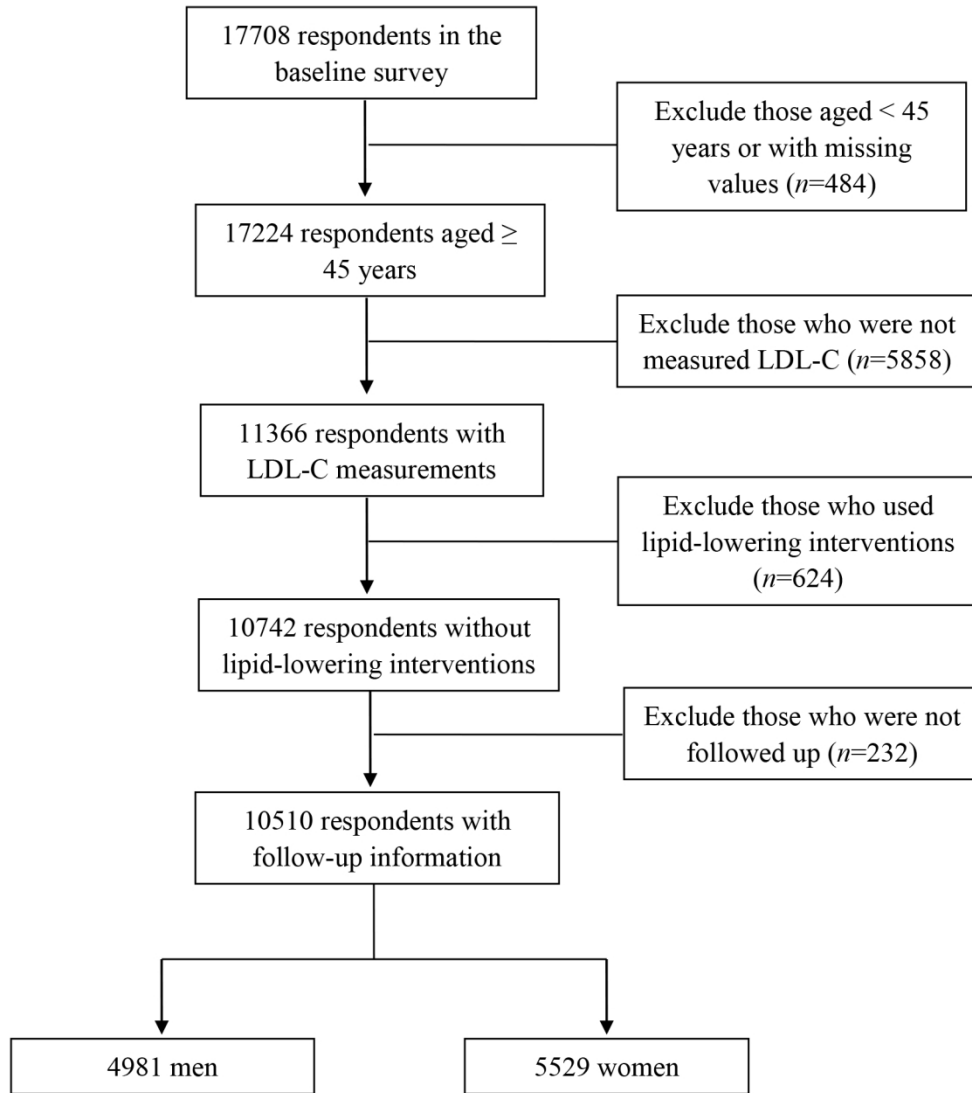


Figure 1 Flowchart on the selection of eligible participants.

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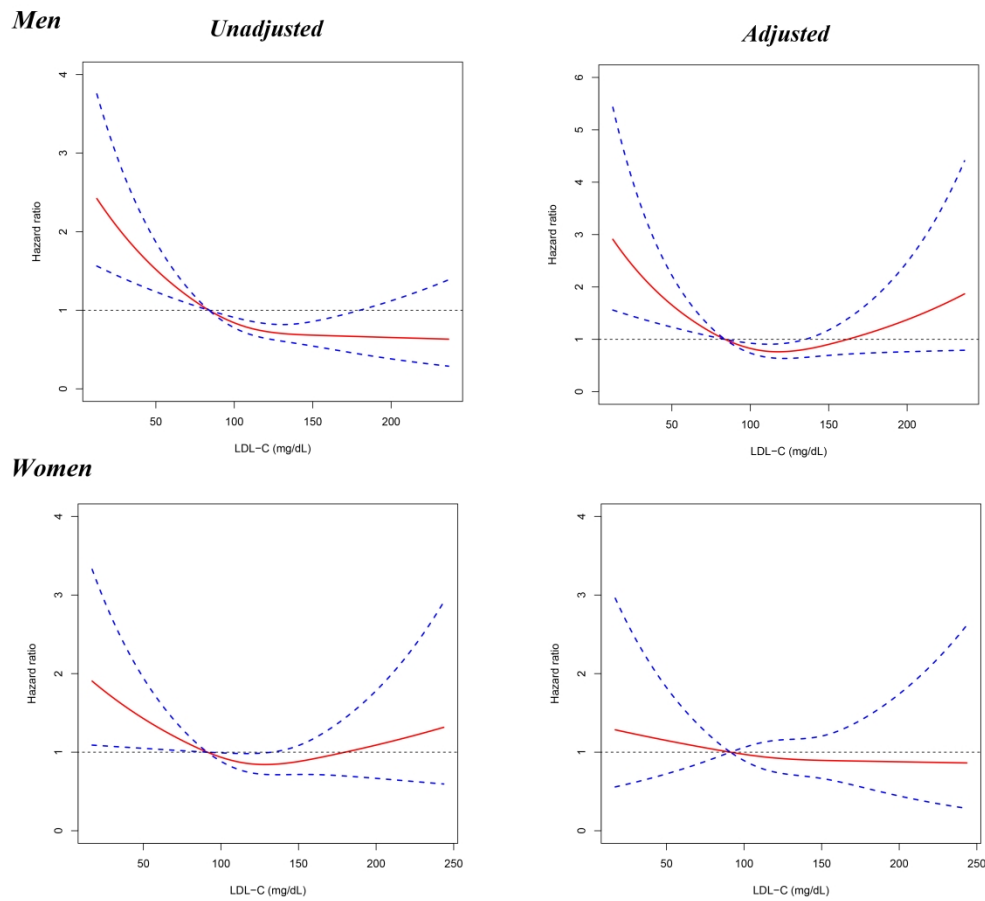


Figure 2 Results from restricted cubic splines for the association between LDL-C and 4-year all-cause mortality in men and women, respectively. The multivariable models were adjusted for age, smoking, drinking, BMI, marital status, household income, educational level, rural residence, ADL disability, hand grip strength, HDL-C, triglyceride, hemoglobin, hypertension, HBS/diabetes, history of stroke, cancer, heart disease, lung disease, liver disease, kidney disease, digestive disease, asthma, arthritis, psychological problem and memory problem.

381x355mm (300 x 300 DPI)

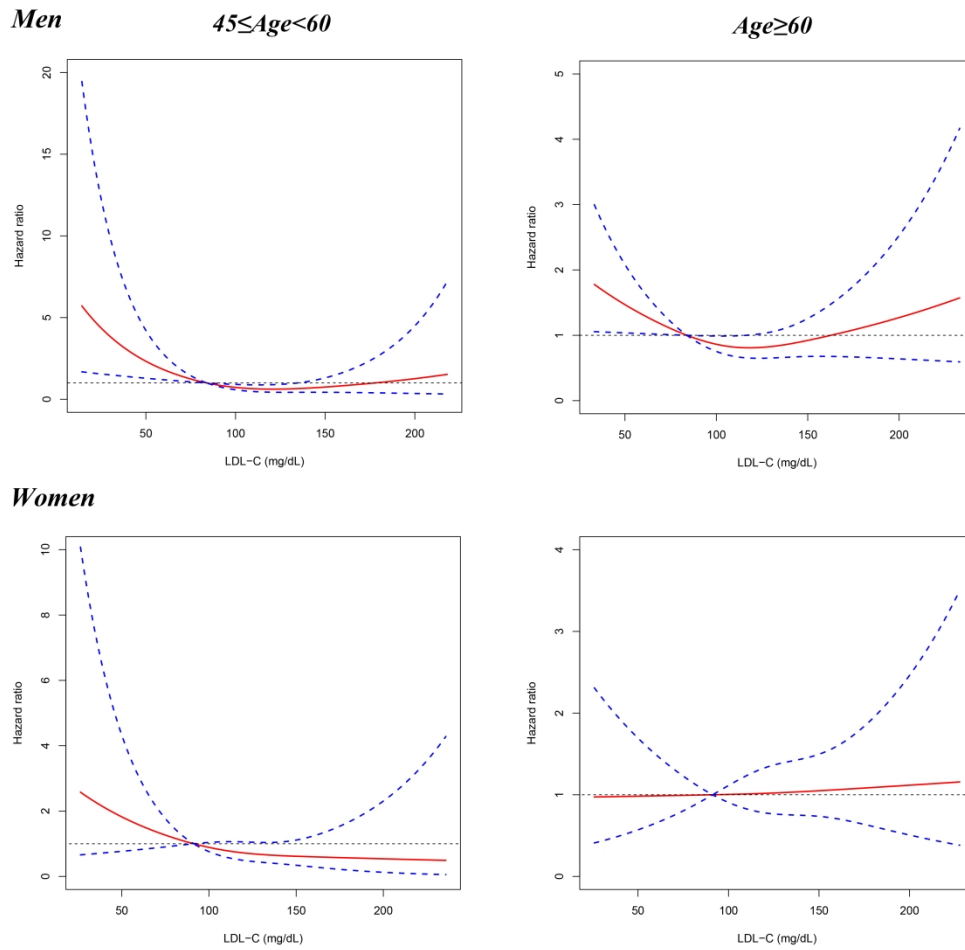


Figure 3 Results from restricted cubic spline for the association between LDL-C and 4-year all-cause mortality for middle-aged (45~60 years old) and elderly (≥ 60 years old) people, respectively. The multivariable models were adjusted for age, smoking, drinking, BMI, marital status, household income, educational level, rural residence, ADL disability, hand grip strength, HDL-C, triglyceride, hemoglobin, hypertension, HBS/diabetes, history of stroke, cancer, heart disease, lung disease, liver disease, kidney disease, digestive disease, asthma, arthritis, psychological problem and memory problem.

366x355mm (300 x 300 DPI)

## Supplementary materials

### Low-density lipoprotein cholesterol and all-cause mortality: findings from the China Health and Retirement Longitudinal Study

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Supplementary Table S1 Associations between all-cause mortality and LDL-C<sup>#</sup>

	Total	Deaths (%)	Unadjusted		Adjusted*	
			HR (95%CI)	<i>P</i> value	HR (95%CI)	<i>P</i> value
<b>Men</b>						
Q1	866	75 (8.66)	1	-	1	-
Q2	1008	67(6.65)	0.750(0.539~1.042)	0.0865	0.863(0.551~1.351)	0.5180
Q3	991	57(5.75)	0.653(0.463~0.922)	0.0155	0.833(0.531~1.307)	0.4261
Q4	1004	47(4.68)	0.531(0.368~0.764)	0.0007	0.643(0.399~1.036)	0.0698
Q5	987	46(4.66)	0.524(0.363~0.756)	0.0006	0.861(0.533~1.390)	0.5406
<b>Women</b>						
Q1	1025	46 (4.49)	1	-	1	-
Q2	1102	49(4.45)	0.998(0.667~1.492)	0.9920	1.231(0.735~2.062)	0.4290
Q3	1096	29(2.65)	0.587(0.369~0.935)	0.0248	0.619(0.340~1.127)	0.1167
Q4	1111	41(3.69)	0.822(0.540~1.253)	0.3628	0.840(0.480~1.472)	0.5430
Q5	1106	48(4.34)	0.962(0.642~1.441)	0.8511	0.939(0.548~1.609)	0.8180

<sup>#</sup>Participants with LDL-C < 50 mg/dL were excluded.

\*Adjusted for age, smoking, drinking, BMI, living alone, household income, educational level, rural residence, ADL disability, hand grip strength, HDL-C, triglyceride, hemoglobin, hypertension, HBS/diabetes, history of stroke, cancer, heart disease, lung disease, liver disease, kidney disease, digestive disease, asthma, arthritis, psychological problem and memory problem.

Supplementary Table S2 Analyses of interactions between LDL-C and potential risk factors

ID	Interaction term	<i>P</i> value in men	<i>P</i> value in women
1	LDL-C*age	0.7323	0.0931
2	LDL-C*obesity (BMI $\geq$ 28)	0.4033	0.4825
3	LDL-C*rural residence	0.1426	0.8102
4	LDL-C*ADL disability	0.4108	0.5052
5	LDL-C*smoking	0.6150	0.0498
6	LDL-C*drinking	0.8680	0.4018
7	LDL-C*hypertension	0.7685	0.8919
8	LDL-C*diabetes	0.7151	0.1260
9	LDL-C*heart disease	0.8480	0.9988
10	LDL-C*stroke	0.1101	0.3961
11	LDL-C*cancer	0.6451	0.6695
12	LDL-C*lung disease	0.6657	0.4847
13	LDL-C*memory disease	0.6225	0.4887
14	LDL-C*kidney disease	0.1251	0.1876
15	LDL-C*arthritis	0.4297	0.1671
16	LDL-C*asthma	0.4187	0.4779
17	LDL-C*liver disease	0.6929	0.7013
18	LDL-C*digestive disease	0.1048	0.4019
19	LDL-C*psychological disease	0.4605	0.3731

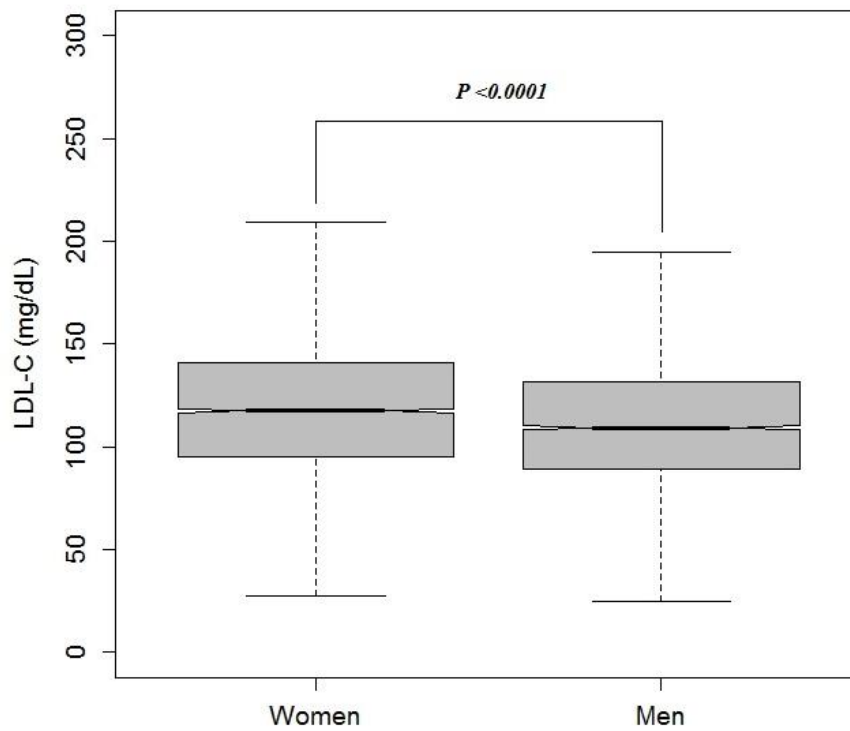
Supplementary Table S3 Associations between all-cause mortality and LDL-C<sup>#</sup>

	Total	Deaths (%)	Unadjusted		Adjusted*	
			HR (95%CI)	<i>P</i> value	HR (95%CI)	<i>P</i> value
<b>Men</b>						
Q1	972	69 (7.10)	1	-	1	-
Q2	999	58 (5.81)	0.806(0.568~1.142)	0.2251	0.921(0.582~1.457)	0.7236
Q3	979	45 (4.60)	0.642(0.441~0.934)	0.0205	0.762(0.472~1.231)	0.2668
Q4	992	35 (3.53)	0.491(0.327~0.737)	0.0006	0.566(0.337~0.951)	0.0315
Q5	982	41 (4.18)	0.579(0.394~0.853)	0.0056	0.841(0.510~1.386)	0.4970
<b>Women</b>						
Q1	1106	44 (3.98)	1	-	1	-
Q2	1094	41 (3.75)	0.951(0.622~1.456)	0.8177	1.171(0.683~2.005)	0.5660
Q3	1094	27 (2.47)	0.622(0.385~1.005)	0.0523	0.648(0.350~1.198)	0.1664
Q4	1105	35 (3.17)	0.799(0.513~1.246)	0.3222	0.815(0.454~1.462)	0.4924
Q5	1099	41 (3.73)	0.934(0.610~1.430)	0.7538	0.910(0.519~1.596)	0.7424

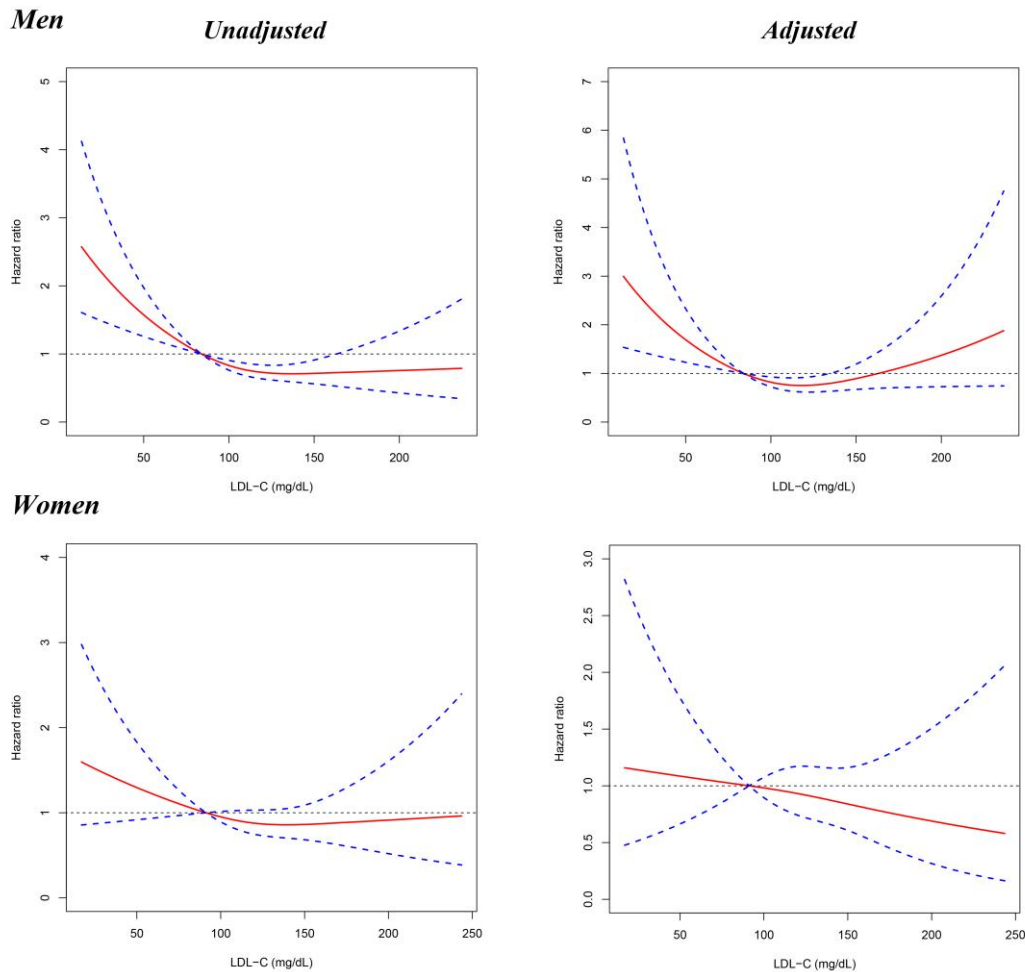
<sup>#</sup>Participants who died during the first year were excluded.

\*Adjusted for age, smoking, drinking, BMI, living alone, household income, educational level, rural residence, ADL disability, hand grip strength, HDL-C, triglyceride, hemoglobin, hypertension, HBS/diabetes, history of stroke, cancer, heart disease, lung disease, liver disease, kidney disease, digestive disease, asthma, arthritis, psychological problem and memory problem.





Supplementary Figure S1 The box-plot of plasma LDL-C levels in middle-aged and elderly Chinese men and women.



Supplementary Figure S2 Results from restricted cubic splines for the association between LDL-C and 4-year all-cause mortality in men and women (excluding participants who died during the first year). The multivariable models were adjusted for age, smoking, drinking, BMI, living alone, household income, educational level, rural residence, ADL disability, hand grip strength, HDL-C, triglyceride, hemoglobin, hypertension, HBS/diabetes, history of stroke, cancer, heart disease, lung disease, liver disease, kidney disease, digestive disease, asthma, arthritis, psychological problem and memory problem.

**STROBE 2007 (v4) Statement—Checklist of items that should be included in reports of cohort studies**

Section/Topic	Item #	Recommendation	Reported on page #
<b>Title and abstract</b>	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	2
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	2
<b>Introduction</b>			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	3
Objectives	3	State specific objectives, including any prespecified hypotheses	4
<b>Methods</b>			
Study design	4	Present key elements of study design early in the paper	4
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	4,5
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up	4,5
		(b) For matched studies, give matching criteria and number of exposed and unexposed	Not applicable
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	4,5
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	4,5
Bias	9	Describe any efforts to address potential sources of bias	4,7,8,9
Study size	10	Explain how the study size was arrived at	4
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	5
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	5,6
		(b) Describe any methods used to examine subgroups and interactions	5,6,7
		(c) Explain how missing data were addressed	4,5
		(d) If applicable, explain how loss to follow-up was addressed	5
		(e) Describe any sensitivity analyses	4,7,8,9
<b>Results</b>			

Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	4,5
		(b) Give reasons for non-participation at each stage	4,5
		(c) Consider use of a flow diagram	4
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	6
		(b) Indicate number of participants with missing data for each variable of interest	4
		(c) Summarise follow-up time (eg, average and total amount)	5
Outcome data	15*	Report numbers of outcome events or summary measures over time	7
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	6,7
		(b) Report category boundaries when continuous variables were categorized	5,6,7
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	Not applicable
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	6,7,8,9
<b>Discussion</b>			
Key results	18	Summarise key results with reference to study objectives	8
<b>Limitations</b>			
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	8,9,10
Generalisability	21	Discuss the generalisability (external validity) of the study results	8,9,10
<b>Other information</b>			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	11

\*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

**Note:** An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at [www.strobe-statement.org](http://www.strobe-statement.org).